Returning to In-Person Research: Decision Tree
5/14/2020

Note: The information provided below refers to the gradual reopening of research during the Governor’s Phase 1 directive. Since this is a rapidly moving area, please check the UW Coronavirus website often for updates.

Note: Special restrictions are still in place for certain types of research, which supersede other allowances. At this time, even if your research may be eligible based on the guidance below, it is still restricted under these human subjects, travel, and field work directives:

- human subjects research
- research requiring travel
- research involving fieldwork (link coming soon)

Note: Each dean-level unit may have specific requirements in addition to those listed here. Be certain to check your local requirements before acting on the information here.

The decision to begin to return to in-person research should be based on following the decision tree at the bottom of this document and in close consultation with your department chair or director, and College or School.

What areas of in-person* research are allowable under the Governor’s Phase 1 for reopening the state directive?

*in-person refers to an in-person, face-to-face, and/or facility-based activity.

Note: All research must be carried out while maintaining social and physical distancing of at least 6 feet at all times as required by state workplace health and safety regulations. In VERY RARE INSTANCES, the University will consider exceptions to social and physical distancing if all other options to accomplish the essential work are not possible. Pre-approval from the department, additional procedures and personal protective equipment (PPE) would be required.

Areas of research previously allowed (still allowed):

- Research that will help deal with the pandemic.
- Public health research.
- Research that has the potential to lead to therapies to treat human health problems.
- Research that will help the nation recover after the pandemic eases.
- Research involving long-term experiments, or maintaining vital equipment, cell lines, animals, and other time-sensitive research items, for which a pause would cause undue harm and/or cost.
- Some areas of research that involve human subjects, travel, or field work that are already allowed. Please check the links above for more information and for additional allowable research that will be announced in the next few weeks.
• Research involving long-term experiments, or maintaining vital equipment, cell lines, animals, and other time-sensitive research items, for which a pause would cause undue harm and/or cost.

Newly added areas of research as of Phase 1, as part of “essential services”:

• All areas of research that are **required to meet an upcoming deadline**.
• All facilities that support research, if the support cannot be carried out remotely.

All in-person research is subject to a set of **requirements**. All personnel carrying out in-person research must adhere to these requirements.

I have reviewed this guidance, including the decision tree below, and I am still not sure my research qualifies, who can I ask?

Send your question to research@uw.edu with the subject line COVID-19 and we will reply as soon as possible.

**Decision Tree**

Decision tree to determine whether your in-person research meets criteria for operation under the Stay Home, Stay Healthy directive

1. Is your research allowable?

   Question 1a. Will your research help deal with the pandemic, help the nation recover after the pandemic eases, fall into the category of public health research, or have the potential to lead to therapies to treat human health problems?
   
   If yes, go to question 2.

   Question 1b. Is your research required to meet an upcoming deadline?
   
   If yes, go to question 2.

   Question 1c. Do you help support a facility that supports research?
   
   If yes, go to question 2.

   Question 1d. Does your research meet the criteria for continuation for research involving human subjects, travel, or field work?
   
   If yes, go to question 2.

   *If you answer yes to any of these questions, go to question 2. If you cannot answer yes to any of these questions, you are not allowed to carry out your research during Phase 1.*

2. Safety standards--can you accommodate all of the safety standards below?

   Question 2a. Can you maintain social distancing of at least 6 feet at all times when researchers are in the facility? This will preclude teaching new techniques to others, unless it can be accomplished while maintaining at least 6 feet distance. It will also preclude techniques that need more than 2 hands,
unless an alternate approach can be devised. It will also preclude dangerous experiments that require two people in close proximity. In some cases, it will require strict scheduling.

If yes, go to question 2b.

If no, but you believe you have an extraordinary circumstance, contact HSD for human subjects research, or EH&S for all other research.

Question 2b. Can you carry out frequent laboratory decontamination procedures? This requires access to disinfecting solutions or wipes, and a schedule to wipe down surfaces regularly, wipe down common equipment before and after use, and wipe down lab benchtops before and after use.

If yes, go to question 2c.

Question 2c. Can you maintain personal safety with appropriate personal protective equipment and frequent hand-washing?

If yes, go to question 3.

If you answer yes to all of these questions, go to question 3. If you answer no to any one of them, you are not allowed to carry out your research during Phase 1.

3. Personnel

Question 3a. Do you have personnel available to carry out the research? It may be difficult to hire new personnel under the current situation.

If yes, go to question 3b.

If no, you are not allowed to carry out your research during Phase 1.

Question 3b. Are the personnel you need to do the experiments willing to come into your facility to carry them out? You cannot pressure or require them to work, unless they are critical personnel for specific tasks. In that case, you still cannot ask them to do more than is required by their designation.

If yes, you are approved for carrying out in-person research, subject to any additional requirements your local unit may have in place and the current restrictions on some human subjects research, travel, and field work. Please be sure to minimize the number of personnel in your research space at any one time.

If no, you are not allowed to carry out in-person research during the Governor’s Phase 1.

All in-person research is required to meet the requirements and safety standards noted here: Requirements and Responsibilities for Return to In-person Research

Consult your departmental, school or college requirements on approvals or reporting of your return to research plans.