



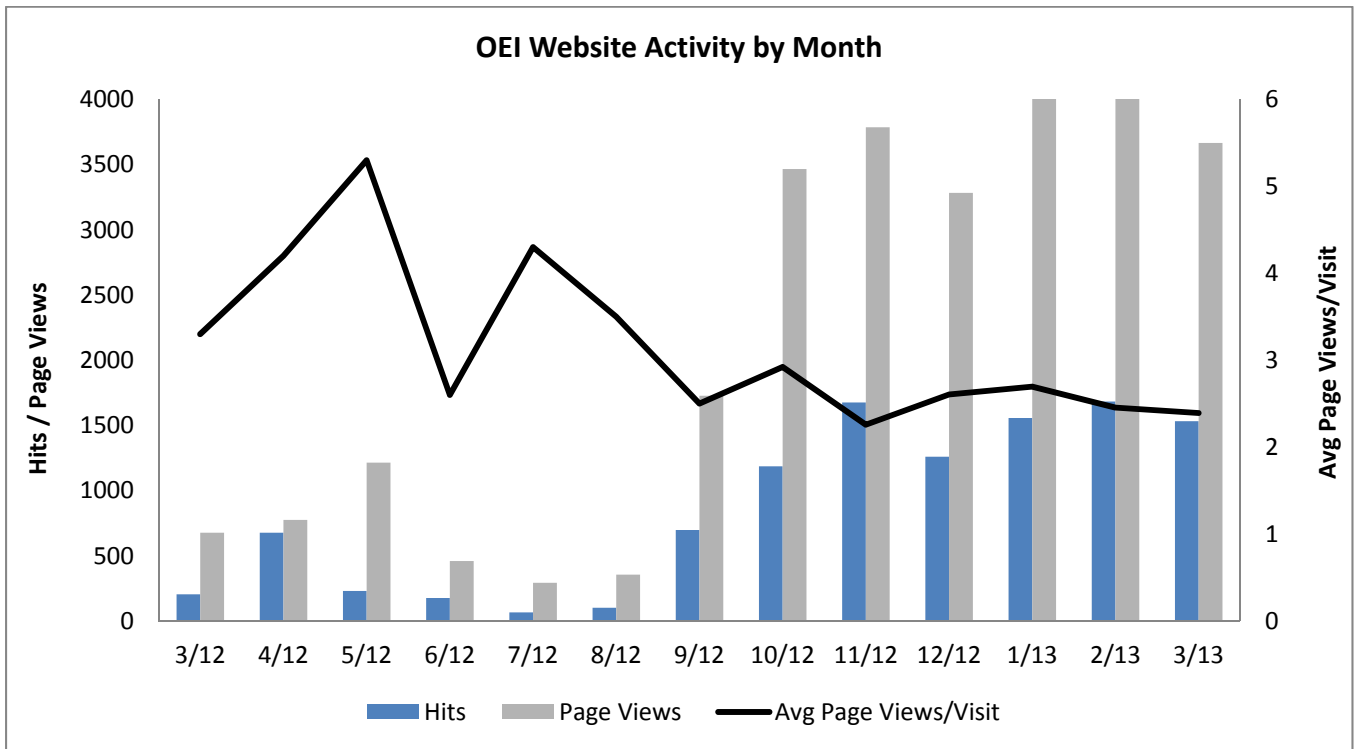
CUSTOMER SERVICE

Office of Research Central: Complex Proposals Management Group (CPMG)

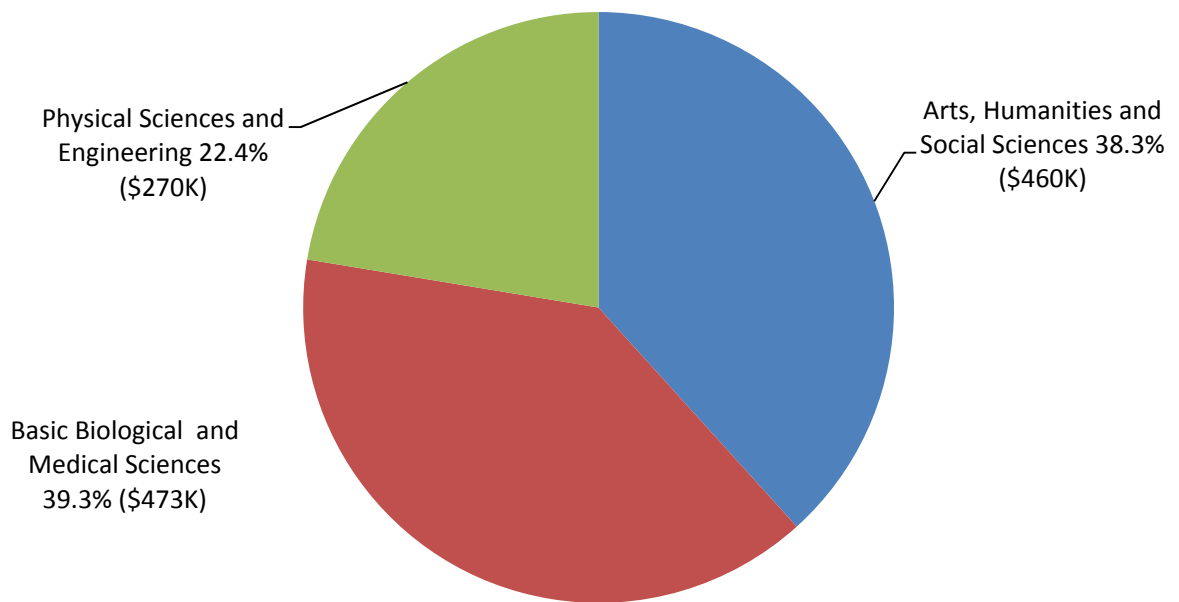
Principal Investigator/Dept. (most recent first)	Proposal	Sponsor	Award Amount	Status	# UW Collaborators	# External Partners	CPMG Staff Hours
Jarvik, School of Medicine	Clinical Sites for an Undiagnosed Disease Network	NIH	\$4,000,000/5 years	In progress	5 (various SOM depts.)		BNT: 42 KPL: 9.5
Wang College of Engineering	University Transportation Center	DOT	\$2,750,000/4 years	Pending	2	8	BNT: 37 KPL: 4.5 TOT: 41.5
Callahan Jackson School of International Studies	Advancing Democracy And Producing Transformations in Information & Technology for Myanmar (ADAPT-IT)	USAID	\$1,999,856/4 years	Invited to submit full proposal /In progress	3 (iSchool, Library, Evans School)	2-4	KPL: 25.5 MG: 31 LS: 8 TOT: 64.5
Eaton Environmental & Occupational Health Sci, School of Public Health	Tobacco Centers of Regulatory Science for Research (P50)	NIH	\$4,111,094/5 years	Pending	2	1	KPL: 15 MG: 15 TOT: 30
Murry Pathology, School of Medicine	Seattle Center for Accelerated Innovations	NIH	\$24,000,000 /7 years	Denied	1	2	BNT: 127 KPL: 42 TOT: 169
Sheehan Cardiology, School of Medicine	Center for Scientific Research	NFLPA	\$96,709,174 /9 years	Denied	8		BNT: 29 KPL: 20 TOT: 49
Wasserheit Global Health, School of Medicine and School of Public Health	STRAIT	USAID	\$24,997,343 /5 years	1 of 17 finalists Reverse Site Visit Denied	6	9	BNT: 441 KPL: 197 M Goldman: 100 (on loan from Global Health) TOT: 666
Christie Marine and Environmental Affairs, College of the Environment	COCEC	USAID	\$10,000,000 /5 years	Not invited to submit full proposal	2	7	BNT: 33 KPL: 47 TOT: 80
Raftery Anesthesiology,	Northwest Metabolomics	NIH	\$11,435,773 /5 years	Denied	4	2	BT: 22 KPL: 20

School of Medicine	Research Center						TOT: 42
Murray Oceanography, College of the Environment	C-OARE: Center for Ocean Acidification Research and Education	NSG	\$25,000,000 / 5 yrs Not awarded	Denied	2	4	BNT: 111 KPL: 81 TOT: 192

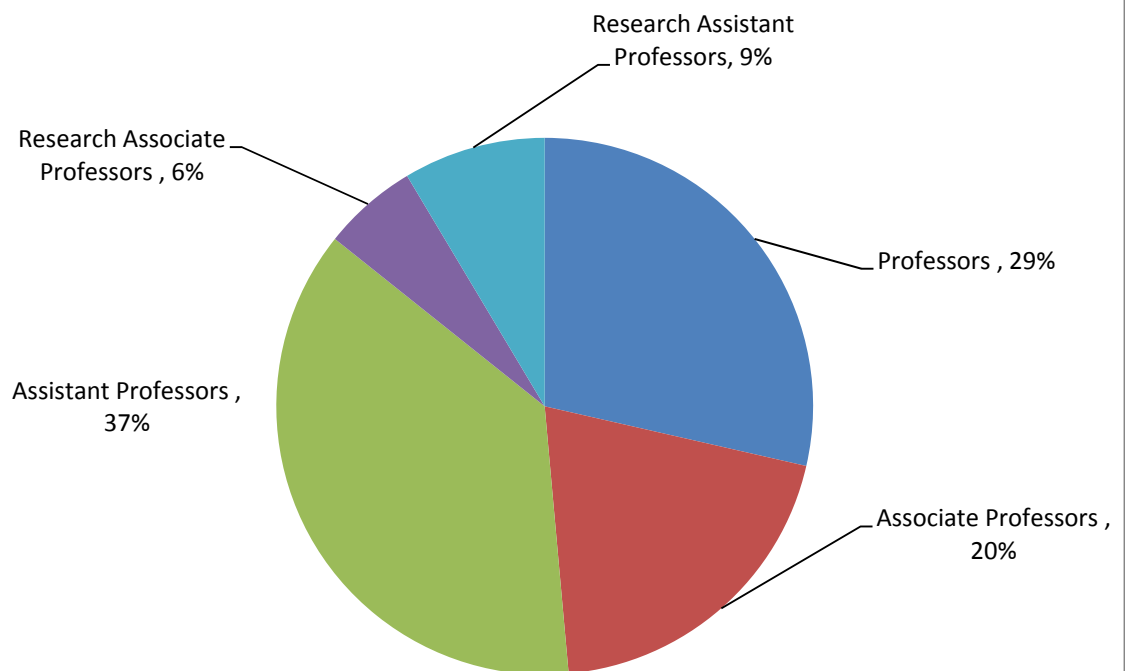
Office of Research Central: Organizational Effectiveness Initiative (OEI)

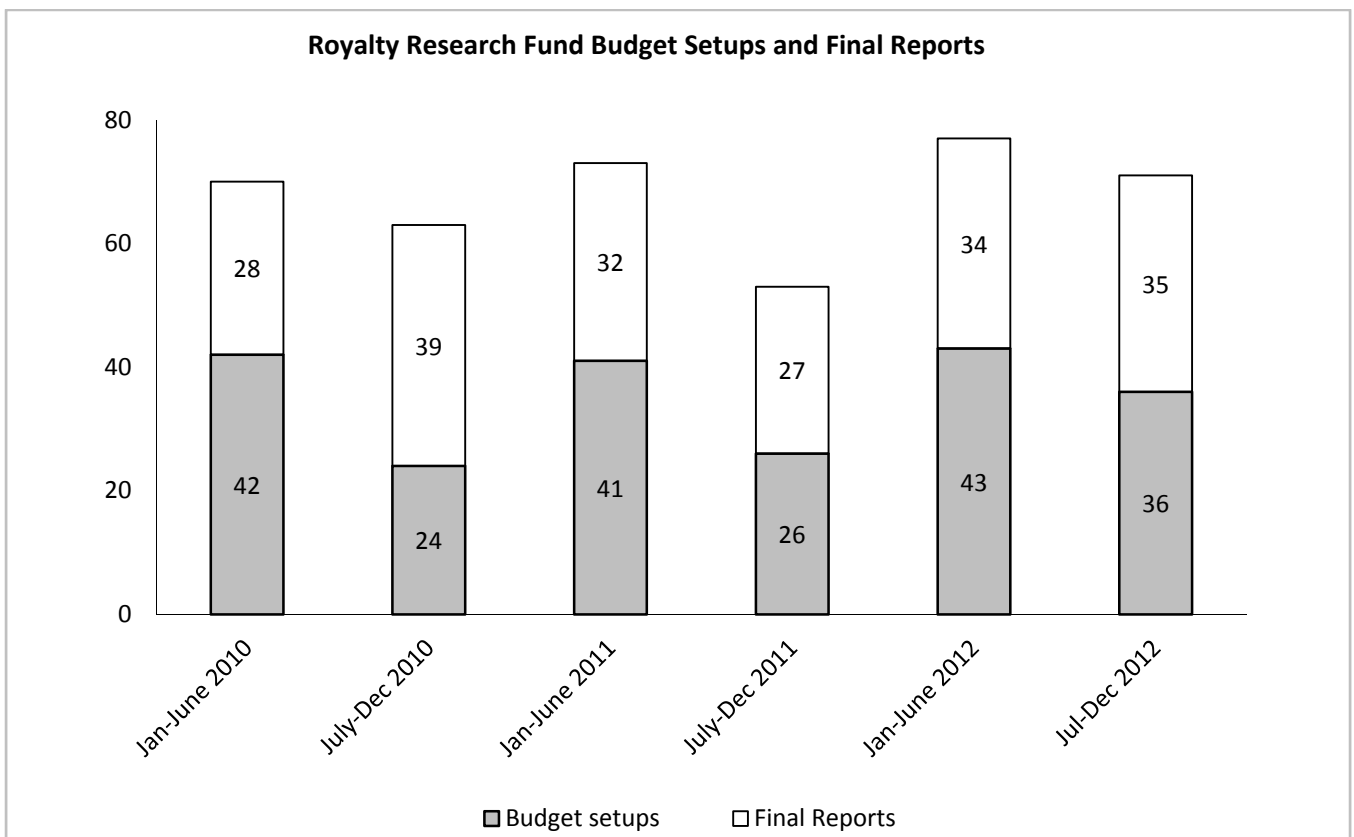
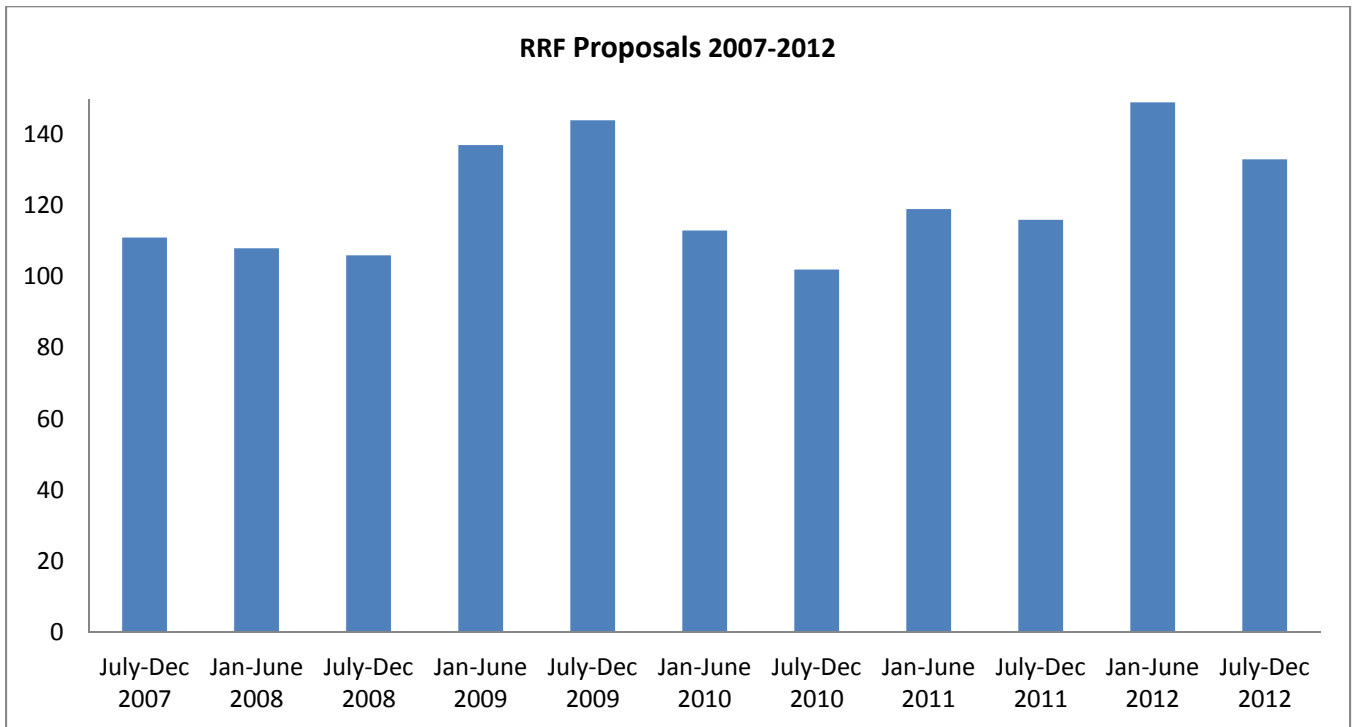


RRF Awards by Subcommittee, Fall 2012



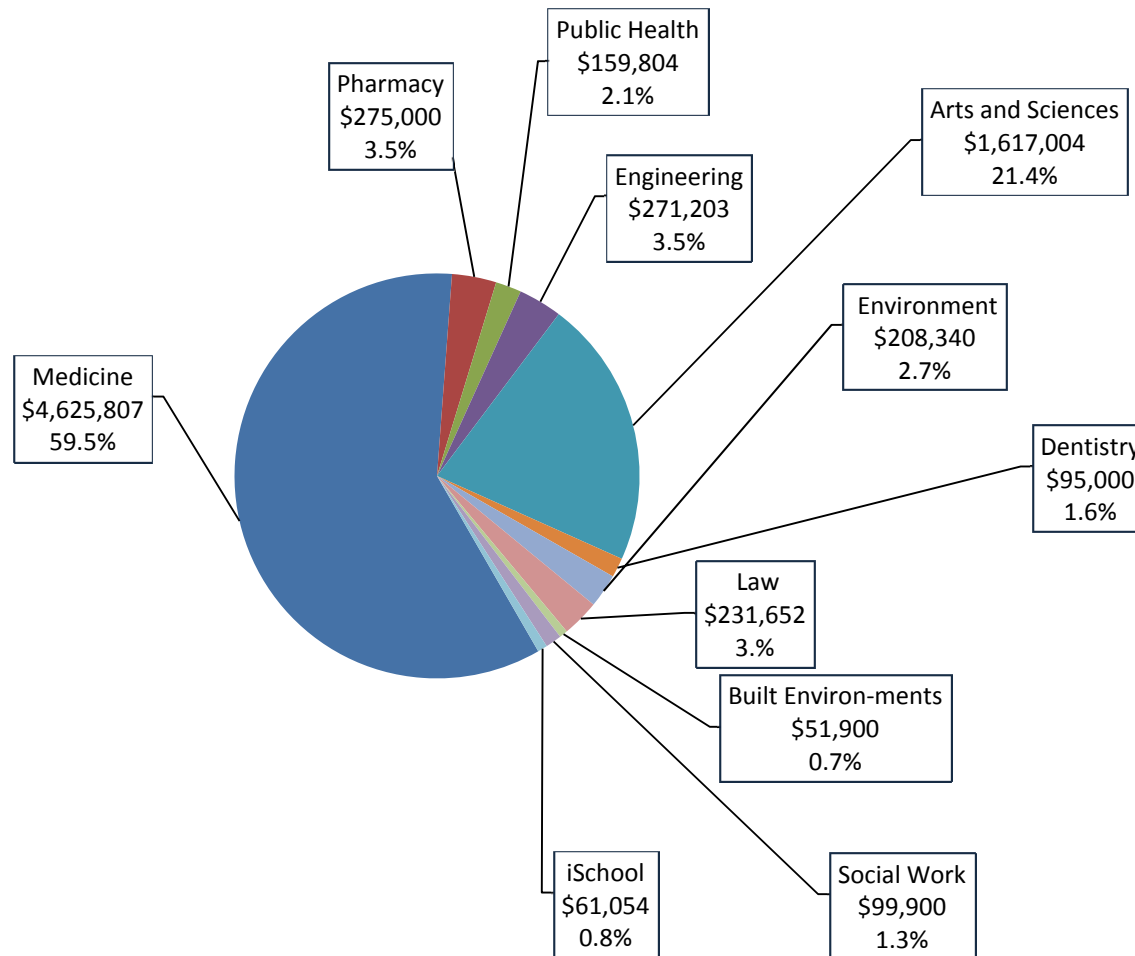
RRF Awards by Rank, Fall 2012





Provost Bridge Awards External Funding Received by School and College/Direct and Indirect Cost December 2006 - December 2011 (5/16/13)													
School/College Provost Bridge Award Total from 12/06-12/11	December 2006	May 2007	December 2007	May 2008	December 2008	May 2009	December 2009	May 2010	December 2010	May 2011	December 2011	TOTAL	Return on Investment
Arts and Sciences Total Bridge distribution: \$1,666,969	0	4,807,811	2,664,541	953,558	3,502,354	1,867,243	5,533,237	2,842,486	1,345,162	2,065,000	0	25,581,392	15.3
Built Environments Total Bridge distribution: \$51,900	0	0	0	0	0	0	0	0	0	0	0	0	0
Dentistry Total Bridge distribution: \$121,168	0	0	0	1,716,000	0	0	0	0	0	0	0	1,716,000	14.2
Engineering Total Bridge distribution: \$271,203	250,000	1,600,800	0	0	947,086	0	177,500	0	0	0	0	2,975,386	11
Environment Total Bridge distribution: \$208,340	0	0	581,587	0	5,175,481	20,000	0	0	66,000	0	115,000	5,958,068	28.6
Law Total Bridge distribution: \$231,652	0	0	0	0	0	0	0	0	90,000	0	0	90,000	0.4
Medicine Total Bridge distribution: \$4,625,807	7,181,516	3,815,799	2,572,976	3,476,070	4,921,236	1,065,864	4,130,847	1,721,358	7,556,048	0	3,184,677	39,626,391	8.6
Pharmacy Total Bridge distribution: \$275,000	1,363,553	0	0	3,044,386	0	0	0	0	0	0	0	4,407,939	16
Public Health Total Bridge distribution: \$159,804	1,613,230	12,500	1,756,480	0	0	0	0	0	0	496,053	0	3,878,263	24.3
iSchool Total Bridge distribution: \$61,054	0	0	0	0	0	0	0	0	0	0	0	0	0
Social Work Total Bridge distribution: \$99,900	0	0	0	0	0	0	0	0	0	0	766,402	766,402	7.7
TOTAL	10,408,299	10,236,910	7,575,584	9,190,014	14,546,157	2,953,107	9,841,584	4,563,844	9,057,210	2,561,053	4,066,079	84,999,841	

Provost Bridge Awards by School and College 12/06-5/13



**Provost Bridge Awards by School and College
December 2006 - May 2013**

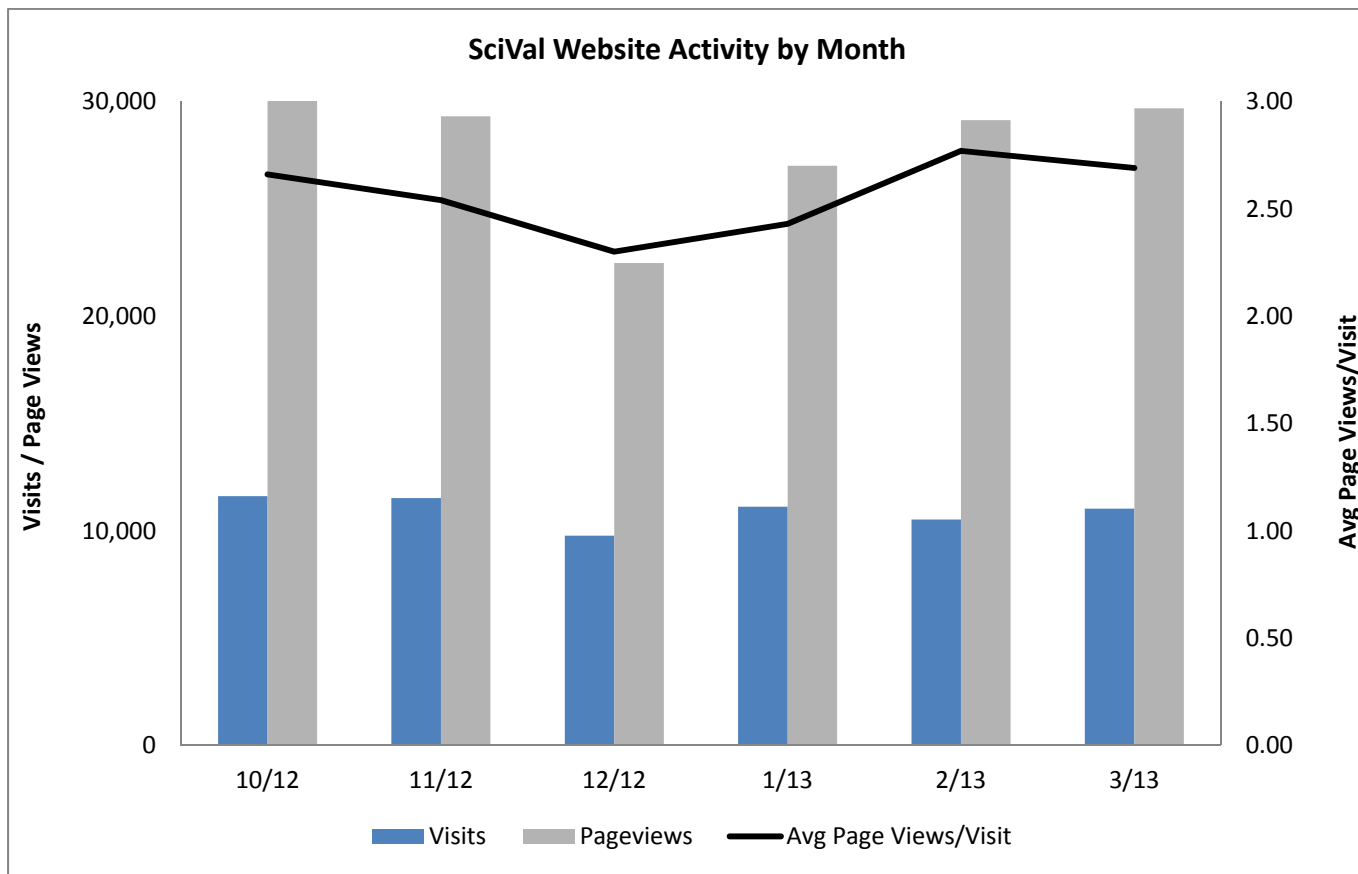
Bridge Awards by Cycle MO/YR	Medicine	Pharmacy	Public Health	Engineering	Arts and Sciences	Dentistry	Environment	Law	Built Environments	Social Work	iSchool	Total
December, 2006	367,000	85,000	48,000	50,000	0	0	0	0	0	0	0	550,000
May, 2007	211,000	0	50,000	40,000	174,000	0	0	0	0	0	0	475,000
December, 2007	356,548	0	61,804	0	118,562	45,000	0	0	0	0	0	581,914
May, 2008	390,000	97,000	0	30,000	49,000	50,000	0	0	0	0	0	616,000
December, 2008	347,000	0	0	50,000	256,000	0	0	0	0	0	0	653,000
May, 2009	272,000	43,000	0	0	90,000	0	50,000	0	0	0	0	455,000
December, 2009	314,312	0	0	49,191	96,134	0	0	0	0	0	0	459,637
May, 2010	249,394	0	0	0	92,530	0	25,000	0	0	0	0	366,924
December, 2010	248,924	0	0	0	243,627	0	30,000	50,000	25,950	0	0	598,501
May, 2011	248,924	0	0	0	193,627	0	30,000	50,000	25,950	0	0	548,501
December, 2011	528,748	0	0	0	0	0	37,885	32,934	0	49,900	0	649,467
May, 2012	350,000	50,000	0	0	99,373	0	35,455	48,718	0	50,000	12,250	645,796
December, 2012	391,957	0	0	52,012	204,151	0	0	50,000	0	0	48,804	746,924
May, 2013	350,000	0	0	0	49,965	26,168	0	0	0	0	0	426,133
Total	\$4,625,807	\$275,000	\$159,804	\$271,203	\$1,666,969	\$121,168	\$208,340	\$231,652	\$51,900	\$99,900	\$61,054	\$7,772,796
% of Total	59.5%	3.5%	2.1%	3.5%	21.4%	1.6%	2.7%	3.0%	0.7%	1.3%	0.8%	99.2%

**Success of Bridge Funding Program
December 2006 - December 2011**

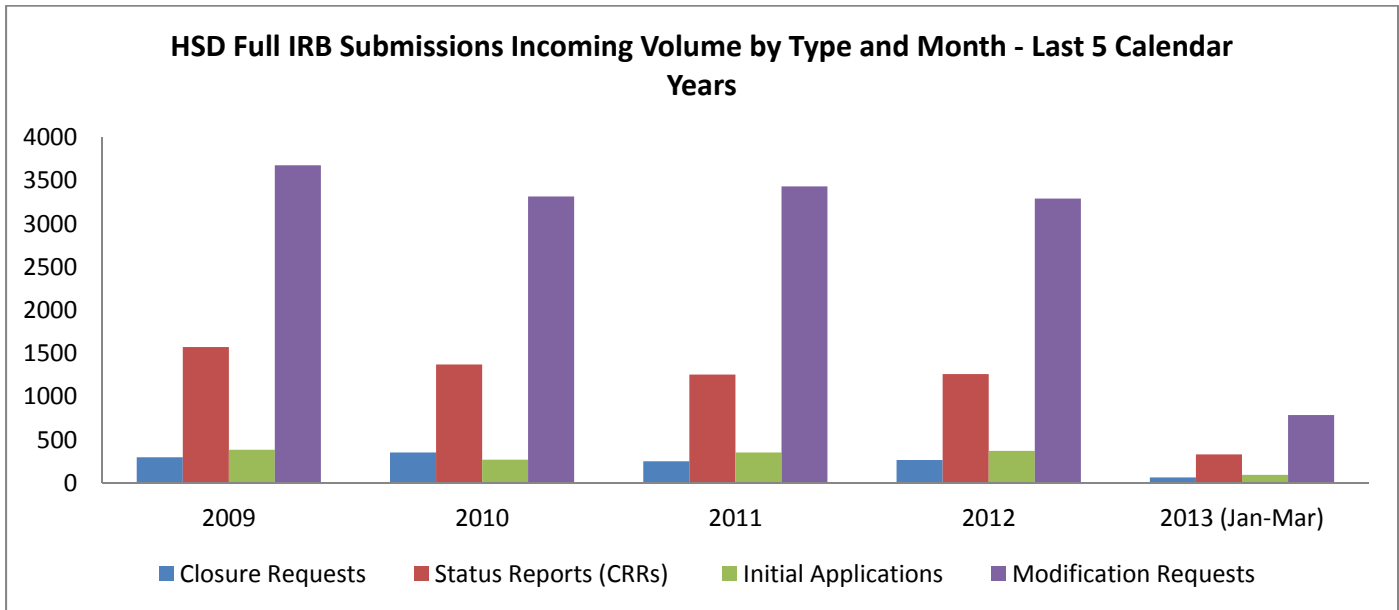
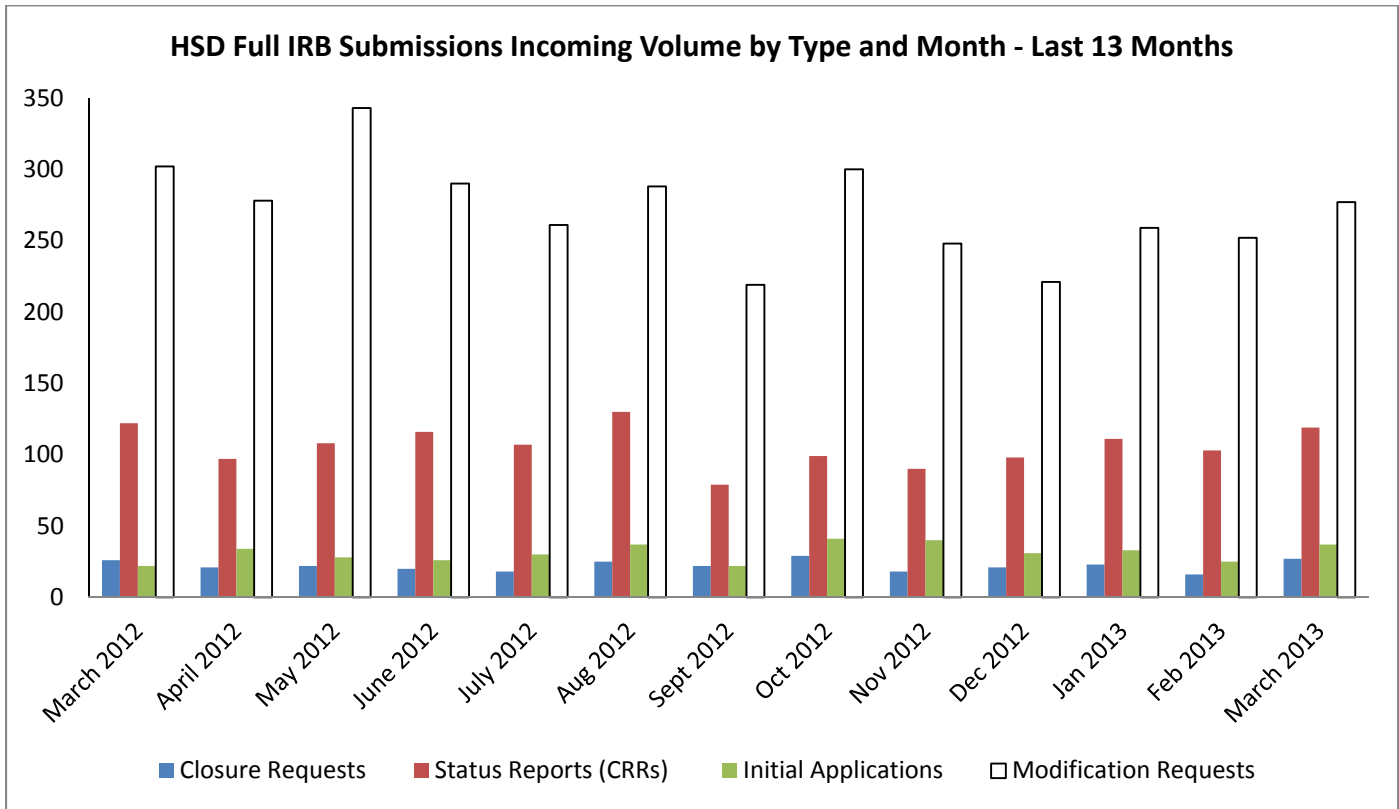
Submission Date	Total Distribution Provost ¹	Total Distribution Matching ¹	Total Provost and Matching	Number of Bridge Awards	Awardees Receiving External Funding within 1.5 yrs of award	% Receiving Funding	External Grants Obtained (direct and indirect costs)	Return on Investment (fold return)
December 2006	\$504,581	\$517,748	\$1,022,329	13	10	77%	\$10,408,299	10.2
May 2007	\$372,287	\$388,270	\$760,557	13	12	92%	\$10,236,910	13.5
December 2007	\$503,444	\$560,724	\$1,064,168	15	8	53%	\$7,575,584	7.1
May 2008	\$563,220	\$559,524	\$1,122,744	12	6	50%	\$9,190,014	8.2
December 2008¹	\$577,006.00	\$583,768.00	\$1,160,774	15	9	60%	\$14,546,157	12.5
May 2009¹	\$401,668	\$406,137	\$807,805	10	6	60%	\$2,953,107	3.7
December 2009¹	\$394,871	\$394,870	\$789,741	12	10	83%	\$9,841,584	12.5
May 2010¹	\$301,163	\$303,365	\$604,528	7	4	57%	\$4,563,844	7.5
December 2010²	\$454,874	\$454,873	\$909,747	11	8	73%	\$9,057,210	10.0
May, 2011^{1,2}	\$306,995	\$306,494	\$613,489	7	3	43%	\$2,561,053	4.2
December, 2011^{1,2}	\$417,449	\$431,264	\$848,713	9	7	77%	\$4,066,079	4.8
Total	\$4,797,558	\$4,907,037	\$9,704,595	124	83	65.6%³	\$84,999,841	8.6³

NOTES:

1. The total represented is the total bridge funding distribution less returned funds
2. Does not include awards currently in extension
3. Average

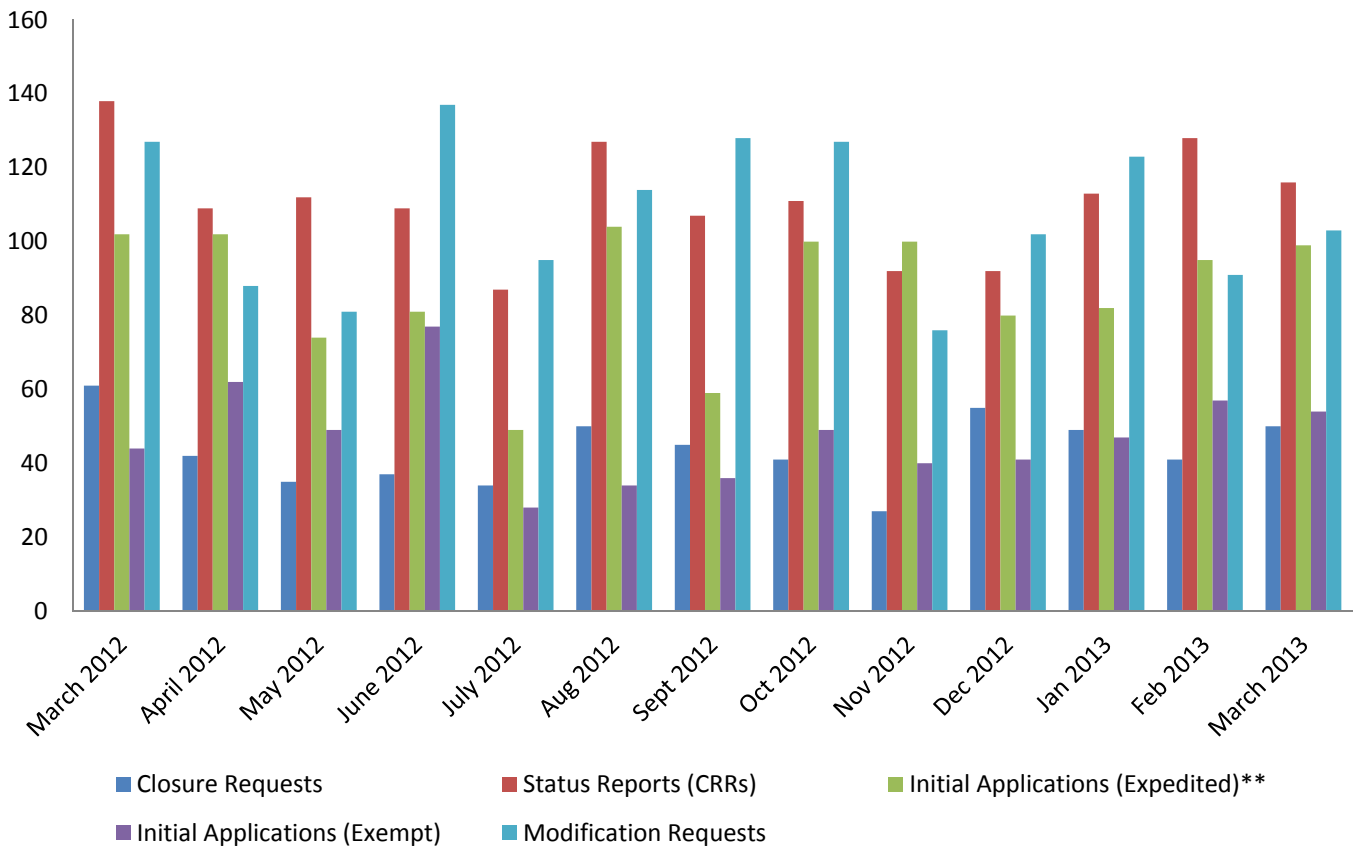


*Since inception



These two graphs show incoming submissions for HSD’s full IRBs to be relatively stable. However, submission types have not been weighted to show the different amount of work each submission type represents for researchers and for HSD staff. For example, a new study application that needs full IRB review requires significantly more work and time on the part of both HSD staff and researchers than does a closure request.

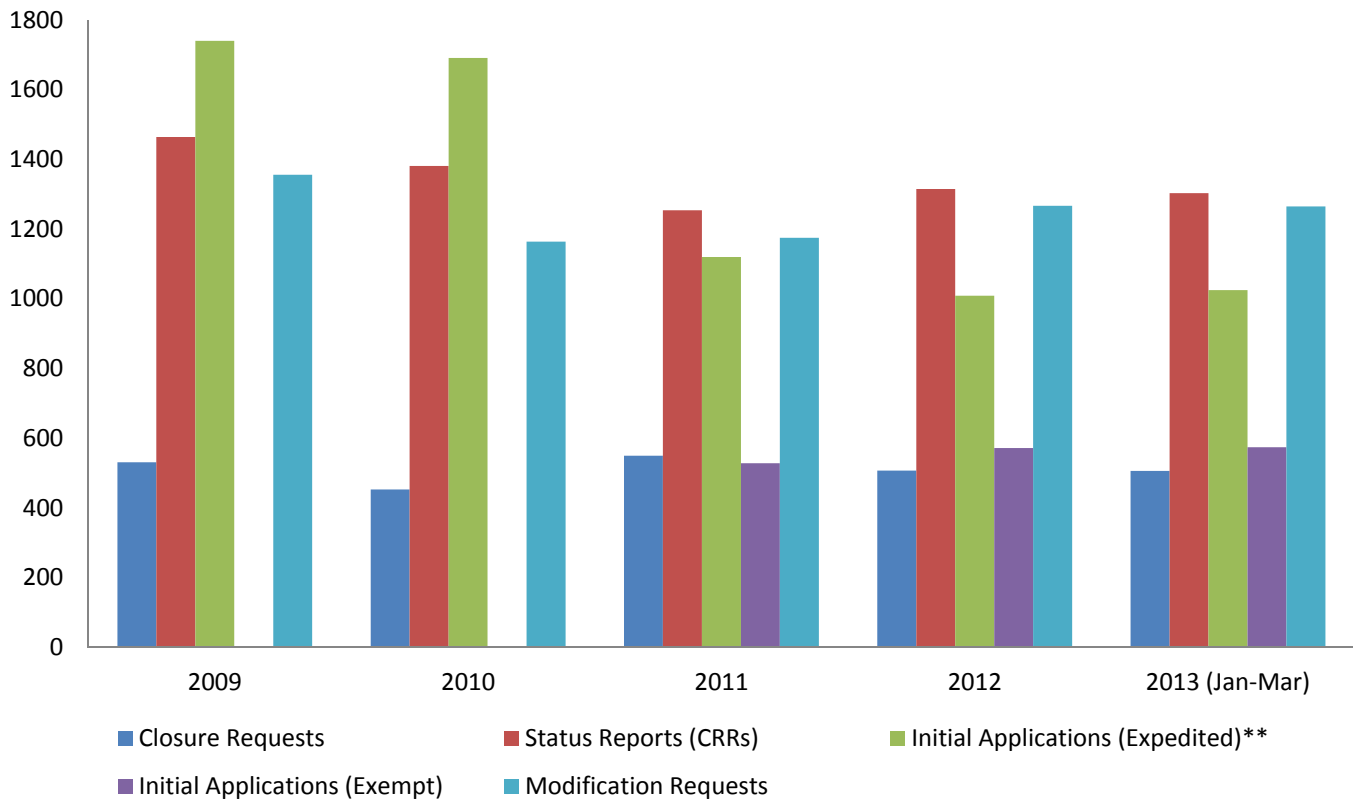
HSD Minimal Risk Submissions Incoming Volume by Type and Month* - Last 13 Months



*For the purposes of this chart, this category includes Medical Records Review and Use of Non-Identifiable Biological Specimens/Data Review Determination.

**These totals reflect the type of form initially submitted and do not reflect any subsequent transfers (e.g., full IRB initial application later determined to qualify for expedited review).

HSD Minimal Risk Submissions Incoming Volume by Type and Month* - Last 5 Calendar Years



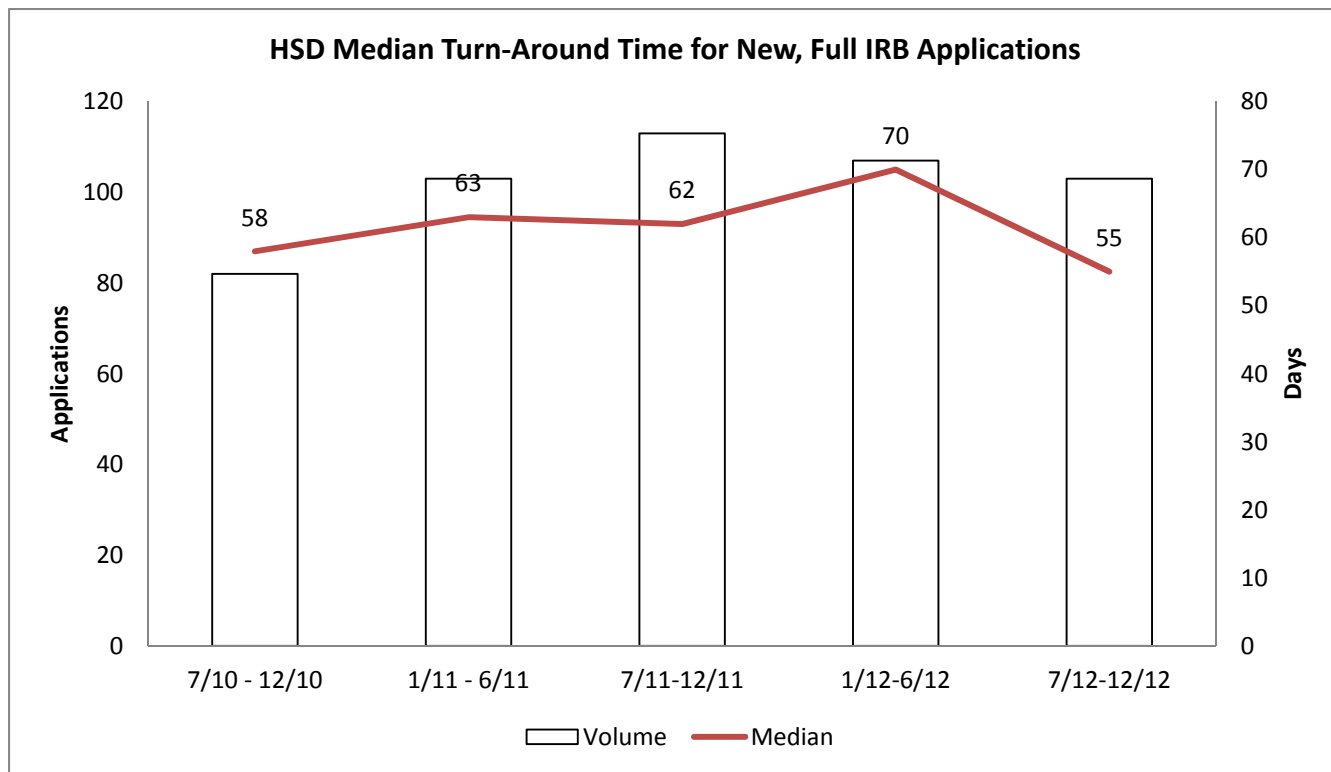
*For the purposes of this chart, this category includes Medical Records Review and Use of Non-Identifiable Biological Specimens/Data Review Determination.

**These totals reflect the type of form initially submitted and do not reflect any subsequent transfers (e.g., full IRB initial application later determined to qualify for expedited review).

*** Initial Applications (Exempt) were included in Initial Applications (Expedited) for 2009 and 2010.

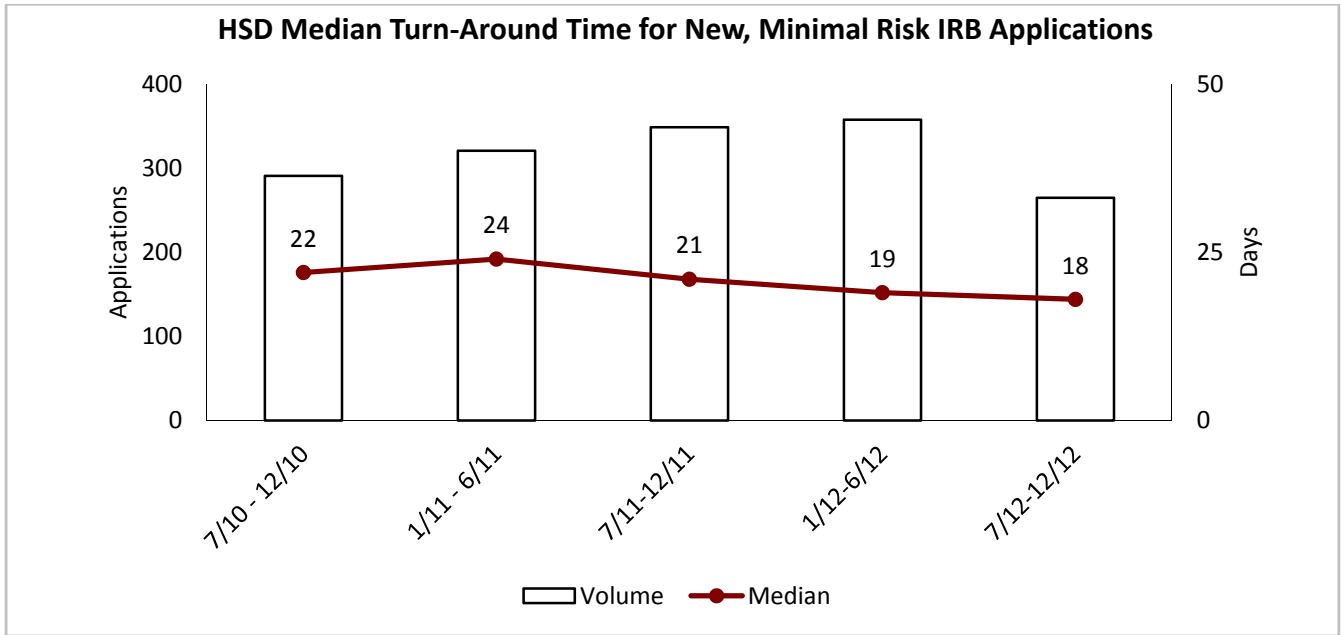
We believe the decrease in new expedited applications and new requests for Exempt status in the above graph is a reflection of recent HSD process improvement efforts. We have focused on taking advantage of flexibility in Federal regulations which allows us to eliminate work efforts where the risk to human subjects is low, decreasing our need to review some items.

***NOTE:** HSD is no longer calculating Turn-Around Times (TAT), distribution of IRB outcomes, or modification frequencies on a quarterly basis. As of Jan 2013, Turn-Around Time metrics will be available in early Feb each year for the period of July-December of the year prior, and in early August for the period of January-June of that year. However, HSD HAS added target Turn-Around Times for full board and expedited applications and ranges.

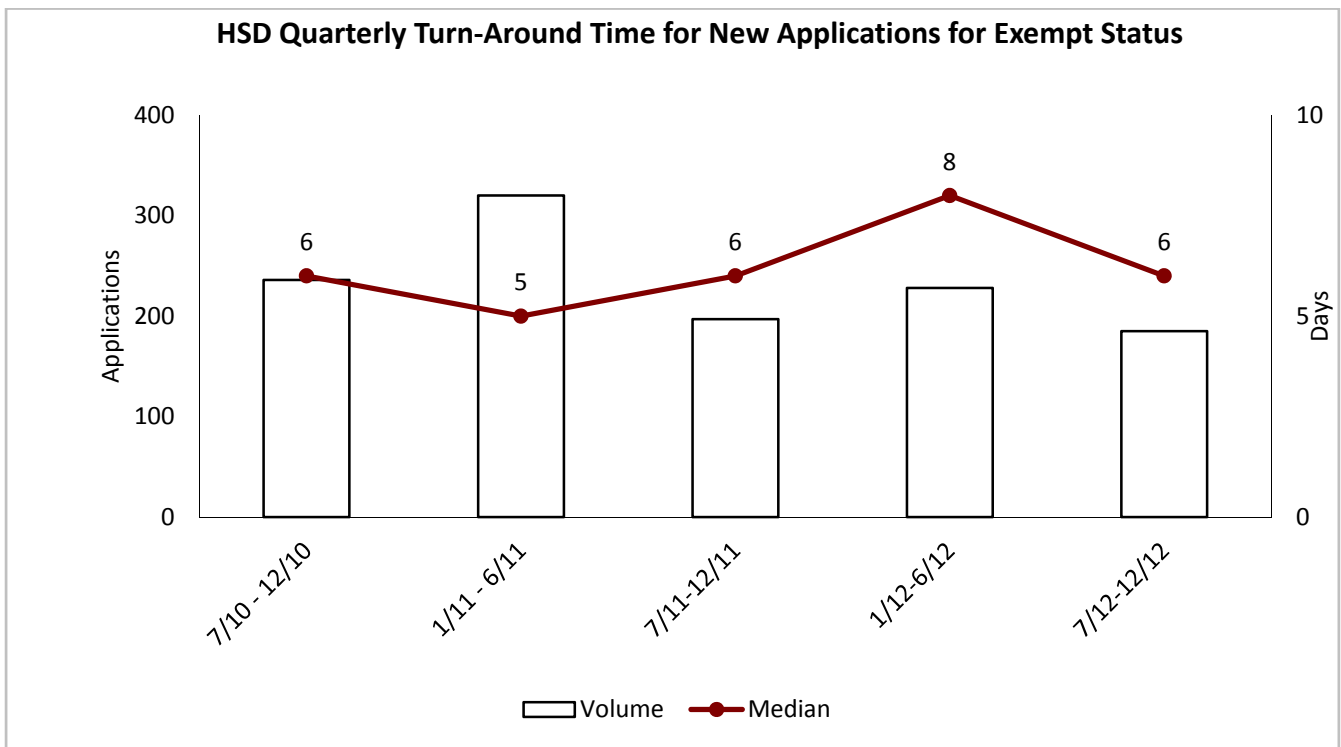


We note the dramatic drop in overall turn-around time (TAT) for new full IRB applications. However it is not clear at this time whether the drop is genuine or an anomaly, or if the TAT of 71 business days from the first six months of 2012 is the anomaly and the TAT of 55 days represents a continued downward trend. We will continue to monitor TAT over the next several reporting periods to answer these questions.

It is important to note that for all turn-around time metrics (including expedited and exempt applications) the overall TAT includes the researcher’s time preparing and submitting responses to requests and correspondence from HSD staff and the IRB. Due to limitations with our current database, we are not able to separate out the amount of time an item is “on HSD’s desk” and the time it is “on the researcher’s desk.”

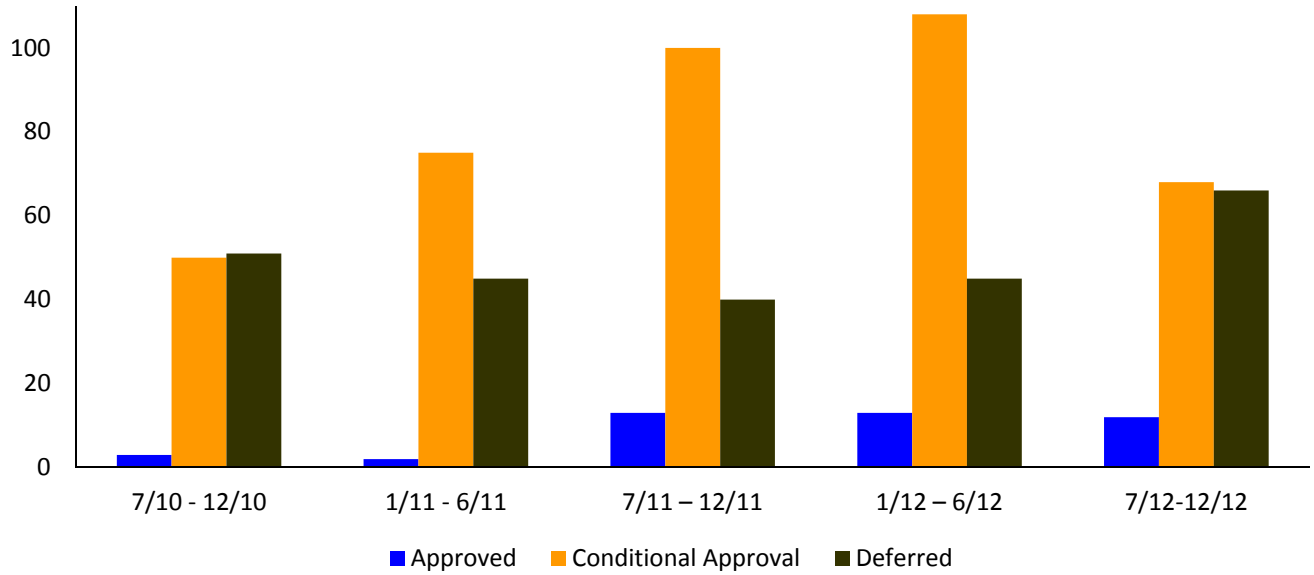


Turn-around time for minimal risk applications (also called “expedited” applications) has remained stable despite significant staff turnover in the four positions that handle these types of applications. Over the past three reporting periods, four of the eight minimal risk staff positions were either vacant or filled by new hires



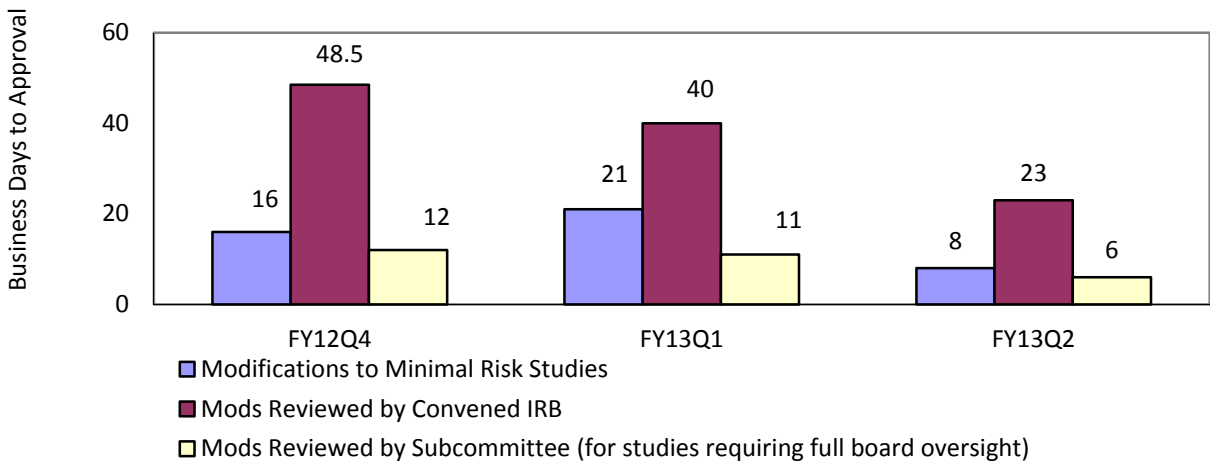
TAT for applications for Exempt Status has remained stable or increased slightly over the past three reporting periods. We believe this is related to a major revision of the Request for Exempt Status form. The new form is longer and requests more information initially from the researcher, and thus takes a little longer for staff to review. However, the amount of back-and-forth between staff and the researcher is likely decreased due to more complete information being provided on the form. Another factor likely contributing to the minor increase in TAT is again the high staff turnover for the past three reporting periods in the staff positions that handle Exempt Status Requests.

HSD Distribution of Outcomes of First Full IRB Review



These profiles of the three possible outcomes of the first full IRB review are reflections of the amount of work and back-and-forth with researchers each involves. For example, more deferrals mean more and longer deferral letters for researchers to respond to, and their responses must wait for the next available IRB agenda for re-review. An increase in applications fully approved at the first IRB review (which can be seen in this graph for the current reporting period) means no further back-and-forth with the researcher. It is possible that the increase in applications approved at the first IRB review is related to the decrease in overall TAT for full IRB applications. We will monitor both metrics closely over the next several reporting periods to determine if this is a permanent change.

HSD Turn-Around Time for Modifications (in Business Days)



At over 4600 modifications a year, they are a significant part of the workload for HSD staff, as well as for researchers. One reason for the higher TAT for modifications reviewed by the convened IRB is that they are tied to the two-week IRB meeting cycle.

Frequencies of Changes Requested in Minimal Risk Modifications

Researcher, Staff Change	22.0%	22.8%	23.3%
Procedures	18.5%	17.1%	16.6%
Consent, Assent Forms	14.3%	14.0%	12.8%
HIPAA, Confd Agreement	9.9%	9.8%	10.7%
Population	8.7%	9.2%	8.6%
Recruitment	8.7%	8.0%	8.2%
Funding	6.5%	6.4%	7.7%
Sites, Locations, Institutions	4.1%	4.2%	3.3%
Compliance Related	3.0%	3.8%	5.1%
Purpose	1.9%	2.7%	1.9%
Waiver of Doc. Of Consent	1.2%	1.2%	0.6%
Protocol Amend., Invest. Brochure	0.5%	50.0%	0.4%
Waiver of Consent, Assent	0.5%	0.3%	0.6%
Conflict of Interest	0.2%	0.0%	0.0%
not defined	0.2%	0.0%	0.0%
	FY12Q4	FY13 Q1	FY13Q2

Frequencies of Changes Requested in Modifications Reviewed by Convened IRB

Procedures	22.4%	22.8%	22.3%
Consent, Assent Forms	19.3%	19.8%	18.1%
Recruitment	11.9%	13.9%	13.6%
Researcher, Staff Change	8.8%	9.9%	11.4%
Population	11.0%	9.7%	7.8%
HIPAA, Confd Agreement	5.0%	5.4%	6.4%
Sites, Locations, Institutions	3.1%	3.2%	2.5%
Waiver of Doc. Of Consent	3.1%	2.7%	3.9%
Waiver of Consent, Assent	2.9%	2.7%	3.6%
Compliance Related	2.1%	2.4%	3.3%
Funding	2.4%	2.1%	0.8%
Protocol Amend., Invest. Brochure	3.3%	1.9%	2.2%
Purpose	1.9%	1.9%	2.5%
not defined	0.2%	1.3%	1.1%
Procedures	22.4%	0.3%	0.3%
	FY12Q4	FY13 Q1	FY13Q2

Frequencies of Changes Requested in Modifications Reviewed by Subcommittee (for studies requiring full board oversight)

Researcher, Staff Change	17.1%	19.2%	19.4%
Procedures	17.7%	17.4%	18.8%
Consent, Assent Forms	15.5%	16.8%	17.5%
Compliance Related	17.1%	14.9%	13.4%
Recruitment	9.6%	8.9%	8.9%
HIPAA, Confd Agreement	5.8%	6.0%	5.8%
Funding	4.5%	4.5%	4.1%
Population	4.3%	3.7%	4.1%
Protocol Amend., Invest. Brochure	3.5%	3.3%	2.9%
Sites, Locations, Institutions	2.8%	2.2%	2.1%
Offsite AE	0.0%	1.3%	0.9%
not defined	0.7%	0.6%	0.6%
Purpose	0.6%	0.6%	0.8%
Waiver of Doc. Of Consent	0.4%	0.3%	0.2%
Waiver of Consent, Assent	0.2%	0.3%	0.4%
Conflict of Interest	0.4%	0.1%	0.0%

FY12Q4 FY13 Q1 FY13Q2

Because modifications are such a large percentage of our workload, we are able to and interested in looking at a much more finely-grained analysis of the types of modification requests we received, partly to help us identify the best areas for future process improvement.

Trainings and Outreach

Unit	Session Title	Action (Training/Outreach)	Sessions Within the Last 3 Months	Total Attendees Within the Last 3 Months
OEI	School of Nursing : Project Management Basics	Training	1	8
ORIS	SAGE 101	Training	3	28
ORIS	SAGE Budget	Training	2	13
ORIS	SAGE 102: Grant Runner	Training	3	21
ORIS	FIDS 101	Training	2	27
ORIS	Research Data and the EDW	Training	3	41
OSP	Contracts Gone Wild	Training	1	3
OSP	Chost Share Essentials	Training	1	10
OSP	eFECS for FEC Coordinators	Training	1	4
OSP	Faculty Cost Share - Calculate it Right	Training	1	9
OSP	Modifying an FEC - Adjusting Cost Share	Training	1	8
OSP	Modifying an FEC - Recertifications	Training	1	12
OSP	Grant & Contract Fiscal Administration	Training	1	12
OSP	How To Prepare A Basic Budget	Training	1	12
OSP	Intro To Faculty Effort Certification	Training	1	7
OSP	Intro to GCCR	Training	1	12

OSP	Intro to Research Administration	Training	1	17
OSP	Managing Research Administration Files	Training	1	15
OSP	Subcontract Monitoring Guidelines for Pis and Depts.	Training	1	15
OSP	The Nuts And Bolts of Administering Subcontracts	Training	1	16
OSP	FGM In Person Training	Training	1	30
OSP	FGM Online Training	Training	1	42
CPMG	Society of Research Administrators	Training	1	1

Guidance, Training and Outreach - Development & Updating

Unit	Name	Action (Development / Update)	Description
OEI	School of Nursing	selection process coaching, facilitation	Director Interview Team member: Selected first slate of OD/HR Director in the School of Nursing
OEI	ITHS All Staff Meeting	Update/development	All Staff meeting, update on Strategic Plan, introduction to staff
OEI	Lean Agile training with UW-IT	development	OEI representation, professional development
HSD	Training Program for New Staff	Development	Continued developing materials and modules for training of new HSD staff
HSD	Training Program for Existing Staff: SOP Renovation	Development	Creating and implementing a training program for all HSD staff for learning about HSD's new set of standard operating procedures and associated tools. This includes monthly staff training retreats and breakout modules targeted to different staff positions
ORIS	Research Data and the EDW	Development	Collaborated with ORIS and UW IT Decision Support teams to develop and pilot a training program for for research unites on business intelligence solutions utilizing the UW Enterprise Data Warehouses.
OSP	Visit to Hematology	Development	Meet and greet tp establish working relationships with new team assignments
OSP	Visit to IHME	Development	Visit to discuss and clarify operations for sponsor GAVI
OSP	Visit with APL	Development	Meet and greet tp establish wokring relationships with new team assignments
OSP	Visit with Fisheries	Development	Meet and greet tp establish wokring relationships with new team assignments