

To facilitate understanding of how to complete the RR Status Form, below are several scenarios that reflect different circumstances that would warrant completion of an RR Status Form.

Case Study 1 – Fulltime (1.0 FTE) faculty with appointment of Assistant Professor, Without Tenure (WOT), with substantial department support for teaching and other scholarly activities loses external funding with no additional temporary source of funds.

Case Study 2 – Fulltime research faculty who loses a major source of support with only a small amount of department support for scholarly activities, including grant writing.

Case Study 3 – Full-time research faculty member with many collaborative grants loses some sources of support but covers some, but not all, of the loss by increased effort on other existing grants.

Case Study 4 – Part-time faculty member loses internal funding and expects to receive external funding while on GIM RR Status.

Case study 1: Illustration of impact of RR Status-Assistant Professor (WOT)

- Faculty member A has a 1.0 FTE Institutional Base Salary (IBS) of \$10,000/month
- Faculty member A receives 70% funding from sponsored research and 30% funding from institutional sources for teaching and scholarly activities
- Faculty member A is funded on three grants, two at 25%, and one at 20% effort
- One of the three grants expires and the faculty member is unable to identify funding for 25% of his salary through working on new projects, and no bridge or other funding is available through department, school, college or university sources (after discussion with his/her respective Chairs/Directors/Deans). Part B would be filled out as follows:

	IBS (%responsibility) prior to loss of funding	Source of funding (UW budget #)	IBS (% responsibility) with Reduced Responsibility Status	Source of funding (UW budget #)
IBS (monthly full-time rate)	\$10,000 (100%)	-	\$10,000 (100%)	-
Salary distribution				
NIH R01AG-12345	\$2,500 (25%)	65-0000	\$0 (0%)	-
NIH P01CA-98765	\$2,500 (25%)	65-0001	\$2,500 (25%)	65-0001
NSF 12345	\$2,000 (20%)	65-0002	\$2,000 (20%)	65-0002
Institutional scholarly activities (e.g., grant writing, teaching, clinical, service, ADS, ENS)	3,000 (30%)	10-1234	3,000 (30%)	10-1234
Total paid monthly IBS	\$10,000 (100%)	-	\$7,500 (75%)	-

Case study 2: Illustration of impact of GIM Reduced Responsibility Status-Research Associate Professor

- Research faculty member B has a 1.0 FTE IBS of \$10,000/month
- Faculty member B is 95% grant funded and 5% funded by the department/school for scholarly activities, including grant writing
- Faculty member B is funded on two grants, one at 50% and one at 45% effort
- Grant B (supporting 45% of salary) ends and the faculty member is unable to identify funding for 45% of his salary through working on new projects, although his Chair has agreed to increase his support for teaching a new course by 20%. No bridge or other funding is available through department, school, college, or university sources (after discussion with his/her respective chairs/directors/deans). Part B would be filled out as follows:

	IBS (% responsibility) prior to loss of funding	Source of funding (UW budget #)	IBS (% responsibility) with GIM Reduced Responsibility Status	Source of funding (UW budget #)
IBS (monthly full-time rate)	\$10,000 (100%)	-	\$10,000 (100%)	-
Salary distribution				
NIH R01AG-12345	\$5,000 (50%)	65-0000	\$5,000 (50%)	65-0000
NIH P01CA-98765	\$4,500 (45%)	65-0001	\$0 (0%)	-
Institutional scholarly activities (e.g., grant writing, teaching, clinical, service, ADS, ENS)	500 (5%)	10-1234	500 (5%) \$2,000 (20%)	10-1234 75-0123
Total paid monthly IBS	\$10,000 (100%)	-	\$7,500 (75%)	-

Note that under GIM 38, this faculty member is free to spend 25% of her time in uncompensated effort (in addition to the 5% still covered by her department) writing grant proposals, if he/she so chooses. The continued support of her 5% effort from the department for institutional scholarly activities is consistent with the guidance provided in GIM 35, and continues even though she has lost some of her external support.

Case study 3: Illustration of impact of RR Status-Research Associate Professor with extensive collaborative roles

- Research faculty member C has a 1.0 FTE IBS of \$10,000/month
- Faculty member C is 90% grant funded and 10% funded by the department/school for scholarly activities, including grant writing
- Faculty member C is funded on eight grants, one for which she is PI, at 20% and seven collaborative grants at 10% responsibility each
- Two of the seven collaborative grants end. She is able to increase her responsibility on one other grant by 10%, but is unable to identify funding for 10% of her salary through working on new projects, and no bridge or other funding is available through department, school, college or university sources (after discussion with their respective chairs/directors/deans). Part B would be filled out as follows:

	IBS (% responsibility) prior to loss of funding	Source of funding (UW budget #)	IBS (% responsibility) with GIM Reduced Responsibility Status	Source of funding (UW budget #)
IBS (monthly full-time rate)	\$10,000 (100%)	-	\$10,000 (100%)	-
Salary distribution				
NIH R01KR123456	\$2,000 (20%)	65-0000	\$2,000 (20%)	65-0000
NIH CA123456	\$1,000 (10%)	65-0001	\$2,000 (20%)	65-0001
NIH CA 567899	\$1,000 (10%)	65-0002	\$0 (0%)	-
NIH CA987654	\$1,000 (10%)	62-9875	\$1,000 (10%)	62-9875
Jones Foundation	\$1,000 (10%)	62-4567	\$1,000 (10%)	62-4567
UCLA subcontract	\$1,000 (10%)	62-8796	\$0 (0%)	-
Univ Michigan subcontract	\$1,000 (10%)	62-4875	\$1,000 (10%)	62-4875
Pilot project UW	\$1,000 (10%)	75-6789	\$1,000 (10%)	75-6789
Institutional scholarly activities (e.g., grant writing, teaching, clinical, service, ADS, ENS)	\$1,000 (10%)	10-1234	\$1,000 (10%)	10-1234
Total paid monthly IBS	\$10,000 (100%)	-	\$9,000 (90%)	-

This faculty member would be free to spend 10% of her time in uncompensated effort writing grants, if he/she so chooses. The 10% effort previously provided by the department for institutional scholarly activities, including grant writing, continues, per GIM 35.

Case study 4: Illustration of impact of RR Status-Part Time Associate Professor with no previous sources of external support loses some internal support and seeks outside funding

- Faculty member D has a 0.75 FTE IBS of \$7,500/month
- Faculty member D is 75% funded by the department/school for scholarly activities, but has no external support
- Faculty member D loses 25% internal support for teaching a course, and hopes to acquire outside funding to return to 75% FTE.

	IBS (% responsibility) prior to loss of funding	Source of funding (UW budget #)	IBS (% responsibility) with GIM Reduced Responsibility Status	Source of funding (UW budget #)
IBS (monthly full-time rate)	\$10,000 (100%)	-	\$10,000 (100%)	-
Salary distribution				
Institutional scholarly activities (e.g., grant writing, teaching, clinical, service, ADS, ENS)	\$7,500 (75%) (part-time by choice)	10-1234	\$5,000 (50%)	10-1234
Total paid monthly IBS	\$7,500 (75%)	-	\$5,000 (50%)	-

If faculty member D is successful in acquiring outside funding, Part C of the form would be completed, showing that she had returned to the desired, previous 75% FTE part-time level of support with external support, as shown below.

Part C: Distribution of responsibilities immediately AFTER return to Full Responsibility Status: (To be completed once additional funding is returned to the initial level shown in Part A.)

	IBS (% responsibility)	Source(s) of funding (UW budget #)
IBS (monthly full-time rate)	\$10,000 (100%)	-
Salary distribution		
Grant from Harvey Foundation	\$2,500 (25%)	75-0123
Institutional scholarly activities (e.g., grant writing, teaching, clinical, service, ADS, ENS)	\$5,000 (50%) (part-time by choice)	10-1234
Total paid monthly IBS	\$7,500 (75%)	-