



RESOURCE OPTIMIZATION

Highlights and Trends

Between 10/1/12-12/31/12:

CPMG	<ul style="list-style-type: none">▪ Submissions: 8 proposals▪ Funding Pursued: \$199 million▪ Status: 3 pending, 5 not awarded▪ Over 25 UW collaborations; 25 external partners named – including Microsoft, Humanosphere, PATH, NOAA
OSP	<ul style="list-style-type: none">▪ Clarified and standardized processes between IACUC and OSP with an eye towards streamlining the process between the two offices. This effort will eliminate unnecessary duplication of effort, resulting in clearer communication to campus, faster review and better attention to compliance issues.▪ Developed a standard review matrix for No Cost Extensions with SFI. This project provided guidance around the review, standardization of processing and improved communication with PI and campus for extensions with SFI.▪ GIM 19 and "lock down" feature of eGC1s: provided consistency of treatment for proposals during the submission process. It also improved the process by ensuring quality submissions of proposals to sponsors.
HSD	<ul style="list-style-type: none">▪ Updates to two crucial HSD forms (13-11 and modification form) will help reduce incomplete and incorrect submissions, reducing approval time▪ Hired Training Specialist to begin developing and implementing new HSD staff training program
ORIS	<ul style="list-style-type: none">▪ Rebuilt virtual and terminal servers, resulting in a more stable infrastructure▪ Began Backup Infrastructure Rebuild project for OR's business continuity plan
ORC	<ul style="list-style-type: none">▪ With the FY13Q1 launch of FIDS, all financial interests (significant or otherwise) are tracked, making the number of "received" and "approved" items markedly increased.▪ In the past 5 years, the Limited Submissions workload has risen: opportunities have increased 77%, pre-proposal submissions have increased 34%, and pre-proposals selected to go forward have increased 23%. These increases also signify more funding opportunities for campus.▪ Bridge Fund awardees from the December, 2006 through May, 2011 have received \$81M in external funding with their return on investment ranging from 0.7 to 33.8

Projects Completed

Unit	Projects Completed in Last 3 Months	Measurement of Efficiency/ Savings Achieved/ Adding Value
CPMG	Strategic plan completed (including a work plan, marketing/outreach plan, Resource catalog, and evaluation review)	More efficient operations
CPMG	Marketing plan completed	Outreach activities systematized and tracked
CPMG	Website completed	Greater visibility of CPMG
HSD	Update to Question VII.F.3 on Human Subjects Initial Application (13-11)	Clarified question and responses will reduce the number of incorrect submissions, reducing overall IRB turnaround time.
HSD	Modification Screening Update	Additional question on re-consent will reduce number of incorrect/incomplete modifications submitted for review
ORIS	Fostering Collaboration Website Content	Helps foster collaboration amongst researchers
ORIS	SPAERC User Guide	Easier for customers to find help for SPAERC. Consistent knowledge of SPAERC in single location.
ORIS	Backup Infrastructure Rebuild: Phase 1	Backup more information, more frequently, and decrease the time and cost to restore data.
ORIS	Virtual Server Rebuild	This will add necessary server capacity and improve server stability.
ORIS	SharePoint Deployment: UW ISP	Consolidation of and access to information.
ORIS	Rebuild Terminal Server	Increased system stability, performance and functionality.
ORIS	SPAERC Support site	SPAERC support site that includes the guide and FAQ for this module
ORIS	Contacts List Redesign	Redesign the front-end of OSP Contacts List to allow better searching and discovery correct contact for a grant. Consider the feasibility of adding a backend admin tool to manage this contacts list to reduce burden on Web Triage and improve the time-to-accuracy for the OSP contact data.
OSP	Established new IACUC process	Clarified and standardized process between IACUC and OSP will streamline back and forth process and save processing time, The result is clearer communication to campus and faster review and attention to compliance issues.
OSP	Award Intake for Electronically Received Federal Awards	Standardized the OSP award intake process for federal awards and developed process documents and job aids for future training purposes
OSP	Research Affiliate Programs (RAPS) - OSP Process	Solidified and documented the OSP process for dealing with RAPS. This created operational consistency for this funding mechanism
OSP	NCE SFI review job aid and training	Developed a standard review matrix for No Cost Extensions with SFI. Trained all PCs in the process. This project provided guidance around the review, standardization of processing and improved communication with PI and campus for extensions with SFI.
OSP	GIM 19 and "lock down" feature of eGC1s	This joint project with ORIS provided consistency of treatment for proposals during the submission process. It also improved the process by ensuring quality submissions and preventing double work for OSP.

Projects In Progress

Unit	Current Project	Milestones Achieved as of 12/31/2012	Expected Measurement of Efficiency/ Savings Achieved/ Adding Value
CPMG	Resources	All resource document types identified, uses identified (web, internal, for clients only). Rough drafts of most documents completed.	Clients can download resource documents as needed, resource documents also serve as an outreach tool, and make our work more efficient
CPMG	Evaluations	Two meetings with OEI completed. Goals and targeted metrics identified	More effective evaluation instruments
CPMG	Outreach/ Marketing/ Communication	Activity: October 4 email communication from VP of Research to deans of research in SOM, COE, COEnv,SPH, College of Arts & Sci announcing availability of CPMG Services Activity: October 31 CMPG announcement on the Research Home Page, Community Update section Activity: November 2 Poster Showcase from Fostering Collaboration Initiative at Danz Lecture series Activity: November 15 Fostering Research Collaboration – web site announcement Activity: November 12 Campus Update (Announcement) Activity: December meeting with Kathy Bracy, School of Nursing	Increased visibility of CPMG services
HSD	File Standardization	All new files put into new file system; continued retrofitting of previous files during annual review	Increase efficiency in reviewing files for staff and IRB members
HSD	HSD Needs Assessment	85% of process mapping and 77% of process vetting complete	Enable HSD to convert to an electronic application system
HSD	Regulatory Checklist Restructuring	Reviewed all regulatory checklists and identified errors and redundancies.	Increased consistency in review and reduced redundancies, allowing for quicker review
HSD	HSD Staff FAQ Site	Developed beta site and forwarded to management team for review and feedback	A centralized area for answers to frequently asked questions will reduce HSD staff wait times on answers from the management team
OEI	Washington SeaGrant: administrative improvements	- Scopes of Work for work processes & people project done 11/2012 - Current state 12/2012 - Process mapping 12/2012 - Draft org chart 12/2012 - Leadership coaching	Improved morale and work environments-more in control and less crisis driven; Clearer expectations of work, workload, timelines, and authority. Increase efficiency and effectiveness of leadership team and program. Clear roles and responsibilities for each key function and program need, updated job descriptions where necessary. Value-added structure with most waste removed from current practices
OEI	APL: Strategy Plan Implementation	- Revised scopes of work & draft strategy implementation tools 10/2012 - Improvement efforts begun 10/2012 -Leadership coaching	Full execution on strategic plan, including decreased costs, increased capacity, invest in people & infrastructure

OEI	EH&S: PPMG	- Final strategic map & plan done 10/2012 - All staff retreat 11/2012 Implementation projects begun 11/2012 - Improvement project planning group initiated 12/2012 - Leadership coaching	- Full execution on strategic plan, including decreased costs, increased capacity, invest in people & infrastructure
OEI	Office of Animal Welfare: Customer & Employee Survey	Customer Survey completed 12/2012; analysis in process	Data for development of strategic plan
OEI	Office of Animal Welfare: Strategic Planning	Data gathered via customer survey 12/2012	Full execution on strategic plan, including decreased costs, increased capacity, invest in people & infrastructure
OEI	Office of Animal Welfare: Process Improvement	Data gathered via customer survey 12/2013	Full execution on strategic plan, including decreased costs, increased capacity, invest in people & infrastructure
OEI	UW Police Dept: Process Improvement	Proposed leave, overtime, payroll process future state done 11/2012	Increased capacity, increased customer service level
OEI	School of Law: Faculty Secretaries workflow assessment & recommendations	Faculty support survey done 11/2012; results & recommendations 12/2012	Increased capacity, increased customer service level
OEI	School of Law: Business Office process assessment & redesign	Processes analyzed, recommendations made to evaluate & redesign travel & budget reconciliation processes. Kick off scheduled for early 2013.	Increased capacity, increased customer service level
OEI	School of Dentistry Phase 2: Purchasing Process Improvements	Analyzed sole source vendor options & options for purchasing & inventory control software.	Process improvements, decreased costs
OEI	UW - IT: BDPF	Completed stakeholders' analysis with Core Team members	Successful adoption of process changes
ORIS	Required Training - Phase 1 (Completed Training)		Ability to display, by UW NetID, the training completion record for the following training data sources: EH&S, OAW, FCOI, HSD, Sponsored Training, WaNPRC, C4C, CRBB, DCM, and BRI.
ORIS	Automated Account set-up (aka Bridge Lite) ANALYSIS		Ability to automatically populate FIN tables with SAGE data. Initial data to "bridge" TBD. One way transaction. Efficiency gain and quality of data input into FIN system
ORIS	Automate account set-up (SAGE Data		Management of award through a central UI and consistent real time data in FIN

	Bridge to FAS Final Phase)		
ORIS	Unified Content Repository	60%	Align unit content partners in a unified content strategy with system that create and maintained structured content the allows us to deliver content and communications to multiple mediums in targeted or personalized manner.
ORIS	Data Source Analysis - SAGE	40%	Proposal and Award data will be available in the EDW and integrated with other institutional data
ORIS	New Virtual Server Infrastructure	70%	Expanded virtual server infrastructure will provide the ability to decommission critical hardware that is nearly end of life, and provide the infrastructure for keeping up with an increasing demand for server systems.
ORIS	Email Archive Solution	5%	Significant decrease in support costs. Increase in system performance and stability.
ORIS	Virtual Desktop Testing Infrastructure	5%	Increased efficiency and effectiveness with software testing.
ORIS	HSD - Needs Evaluation	80%	Discovery of process improvement opportunities, business requirement for future system solution, strengthen business relationship
ORIS	Sponsor Data in SAGE (EDW Master Data)	25%	Improved sponsor driven reporting and overall data quality improvements
ORIS	BoR Prototype	50%	Revised BoR including 5 years of award data and trending
ORIS	DSS Infrastructure	25%	Increased efficiency and effectiveness for the Data Team for remote development
ORIS	Request for Information - OAW	90%	Request for Information process for OAW and EHS to determine vendor for system build
ORIS	VIVO Phase 1	20%	Increased collaboration amongst researchers and reduced administrative burden in maintaining profiles
ORIS	ORIS Portfolio Management Framework	25%	Be able to more effectively report on work that ORIS does, efficiently allocate resources, and forecast and schedule future projects with minimal interruption
ORIS	RADC to EDW Phase1	85%	Analytical award data available to campus
ORIS	FCOI Training Record Lookup and Manual Entry Phase 1	75%	Reduced campus and external partner burden for verifying FCOI Training status.

ORIS	Update SAGE Budget to include Preliminary Benefit Rates	75%	More revenue (direct and indirect) for research grants. Proposed budgets will be more accurate and awards less likely to be under funded.
ORIS	ARRA to ORIS Migration	0%	Continued support of ARRA reporting while covering growing federal reporting requirements
ORIS	Rebuild Backup Infrastructure: Phase 2	1%	Greatly improved disaster recovery and access to critical backup data.
OSP	Review of options for faculty submission of eSNAP proposals	Out reach to peer institutions to determine feasibility and institutional experience	Streamlines process and effort for faculty in submitting progress reports to NIH. The extension of this project to all federal sponsors allows OSP to achieve a level of consistency in the process between all deferral agencies
OSP	Faculty Grants Management	OSP has begun a content review of materials for both the online and in person. On HOLD for resources	More accurate and up to date information presented to the faculty regarding research administration.
OSP	RPPR Reporting	1. DHHS review done and check list established to standardize review across all of OSP. 2. Begun work on clarifying documentation and communication to campus concerning HRSA progress reports	Streamlined progress reports and submission process for all DHS agencies.
OSP	Optional budgeting models for Industry contracts	1. Held brainstorming session with Carson Simoes regarding SOM data and analyzation of potential ROI. 2. - Identified sponsors or funding types to exclude from the data we analyze (e.g. industry sponsored clinical trials). 3. Applied one total budget model (e.g. LSDF model) to a B&M Gates award, to capture traditional F&A type costs as direct costs, to extent we could justify. Project on HOLD pending resources and scheduling	A look at costing models which are better suited to Industry sponsors will assist the University in recovering the true cost of carrying out research funded by not-for-profit sponsors
OSP	Revision of Affiliation Agreements with Group Health	Work group initiated	Standardization of agreements with these two sponsors will reduce contract negotiation time which will benefit researchers by faster turnaround times.
OSP	Standards for International MOUs	Work group has re-engaged after being on hold.	Standardization of agreements will reduce negotiation time thereby lightening then burden on faculty
OSP	Revision of the NCE process when project investigators have FCOI	Reviewed process and designed guidance for OSP staff	New process and guidance is designed to avoid multiplicity of emails, resulting confusion and delays in processing extensions.
OSP	FCOI Reporting to NIH	1. Reviewed and tested new NIH reporting system. 2. Adjusted staffing levels to accommodate new NIH reporting Requirements. 3. Drafted FCOI reporting template to capture NIH required reporting information. 4. Begun drafting staff guidance and training for OSP	Standardization of reporting process and information will ensure efficient and timely reporting of sponsor requirements.

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