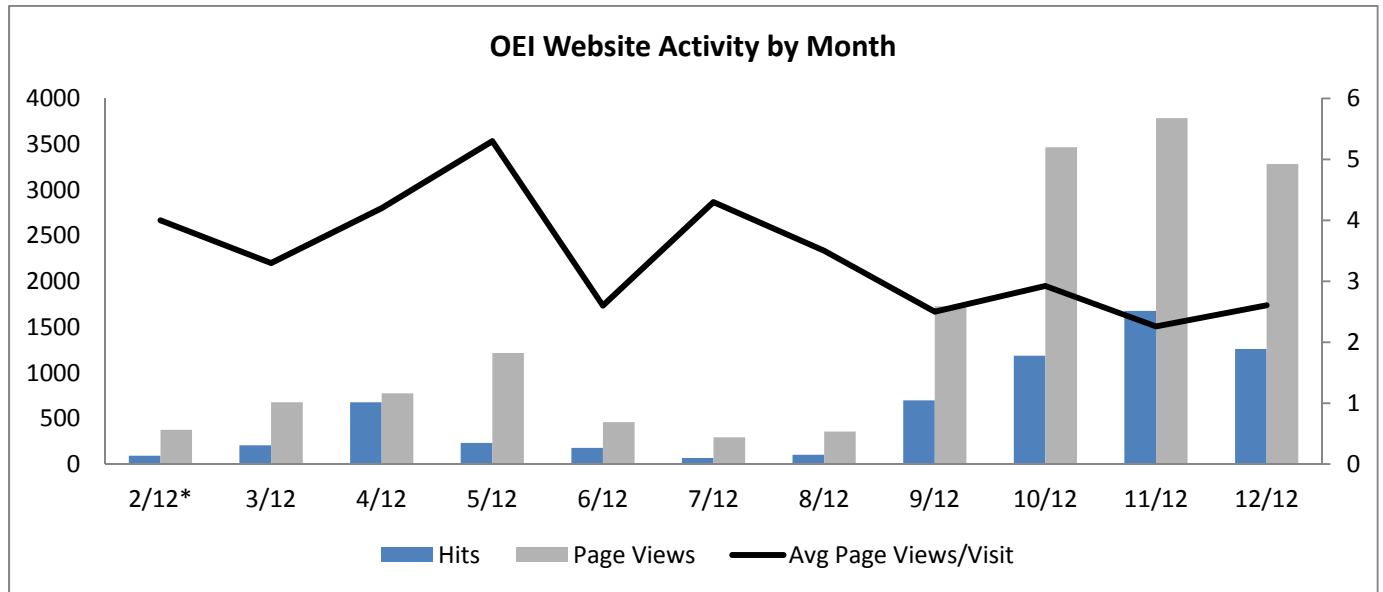




CUSTOMER SERVICE

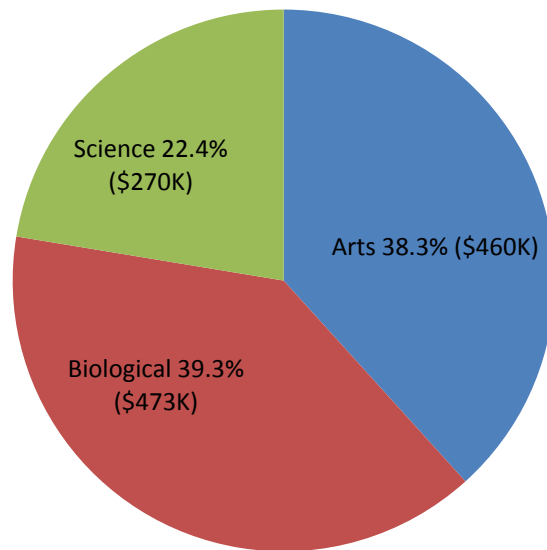
Office of Research Central: Complex Proposals Management Group (CPMG)

Principal Investigator/Dept. (most recent first)	Proposal	Sponsor	Award Amount	Status	# UW Collaborators	# External Partners	CPMG Staff Hours
Callahan Jackson School of International Studies	Advancing Democracy And Producing Transformations in Information & Technology for Myanmar (ADAPT-IT)	USAID	\$1,999,856/4 years	Pending	3 (iSchool, Library, Evans School)	2	KPL: 16 MG: 31 LS: 8 TOT: 55
Eaton Environmental & Occupational Health Sci, School of Public Health	Tobacco Centers of Regulatory Science for Research (P50)	NIH	\$4,111,094/5 years	Pending	2	1	KPL: 15 MG: 15 TOT: 30
Murry Pathology, School of Medicine	Seattle Center for Accelerated Innovations	NIH	\$24,000,000/7 years	Pending	1	2	BNT: 127 KPL: 42 TOT: 169
Sheehan Cardiology, School of Medicine	Center for Scientific Research	NFLPA	\$96,709,174/9 years	Denied	8		BNT: 29 KPL: 20 TOT: 49
Wasserheit Global Health, School of Medicine and School of Public Health	STRAIT	USAID	\$24,997,343/5 years	1 of 17 finalists Reverse Site Visit Denied	6	9	BNT: 441 KPL: 197 M Goldman: 100 (on loan from Global Health) TOT: 666
Christie Marine and Environmental Affairs, College of the Environment	COCEC	USAID	\$10,000,000/5 years	Not invited to submit full proposal	2	7	BNT: 33 KPL: 47 TOT: 80
Raftery Anesthesiology, School of Medicine	Northwest Metabolomics Research Center	NIH	\$11,435,773/5 years	Denied	4	2	BT: 22 KPL: 20 TOT: 42
Murray Oceanography, College of the Environment	C-OARE: Center for Ocean Acidification Research and Education	NSG	\$25,000,000/5 yrs Not awarded	Denied	2	4	BNT: 111 KPL: 81 TOT: 192

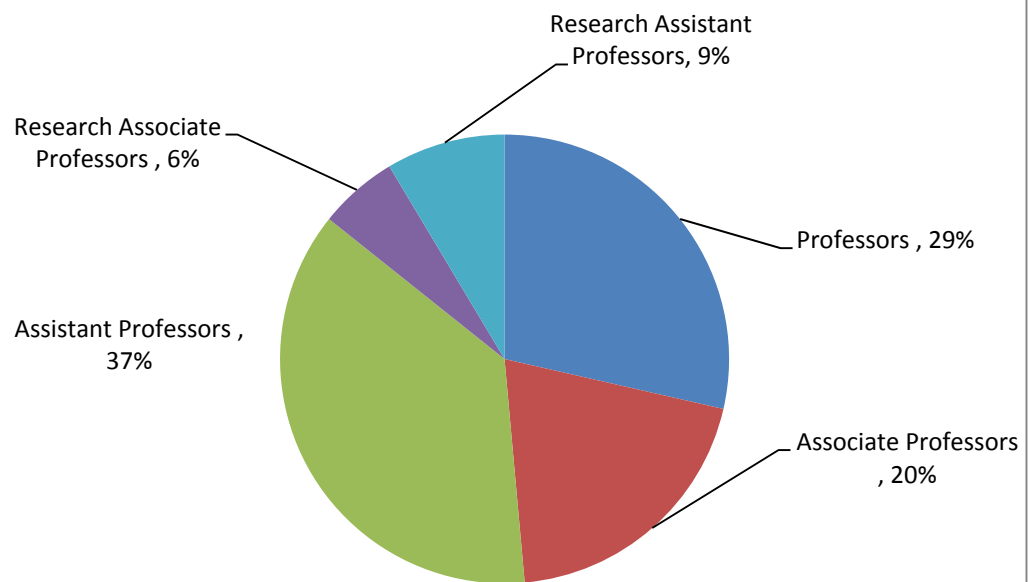


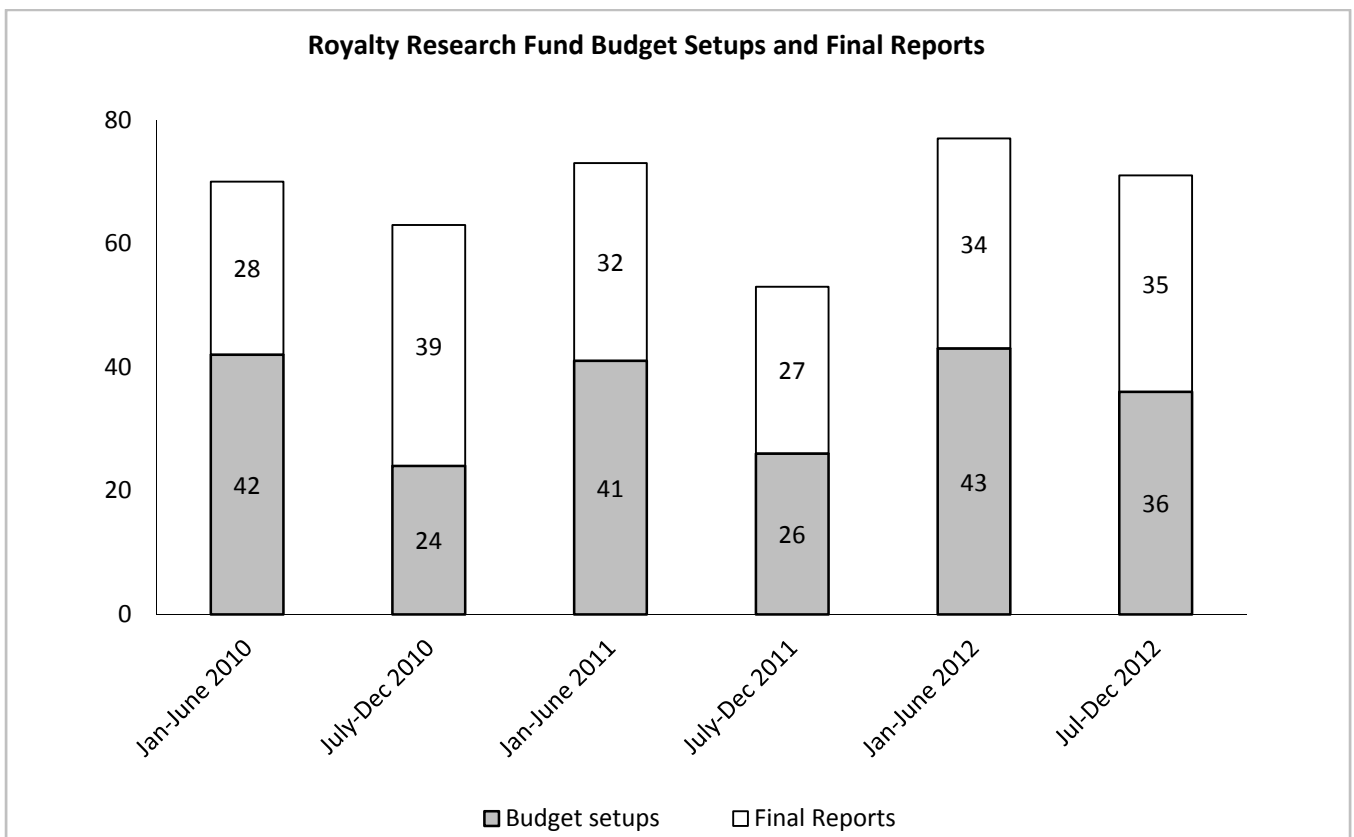
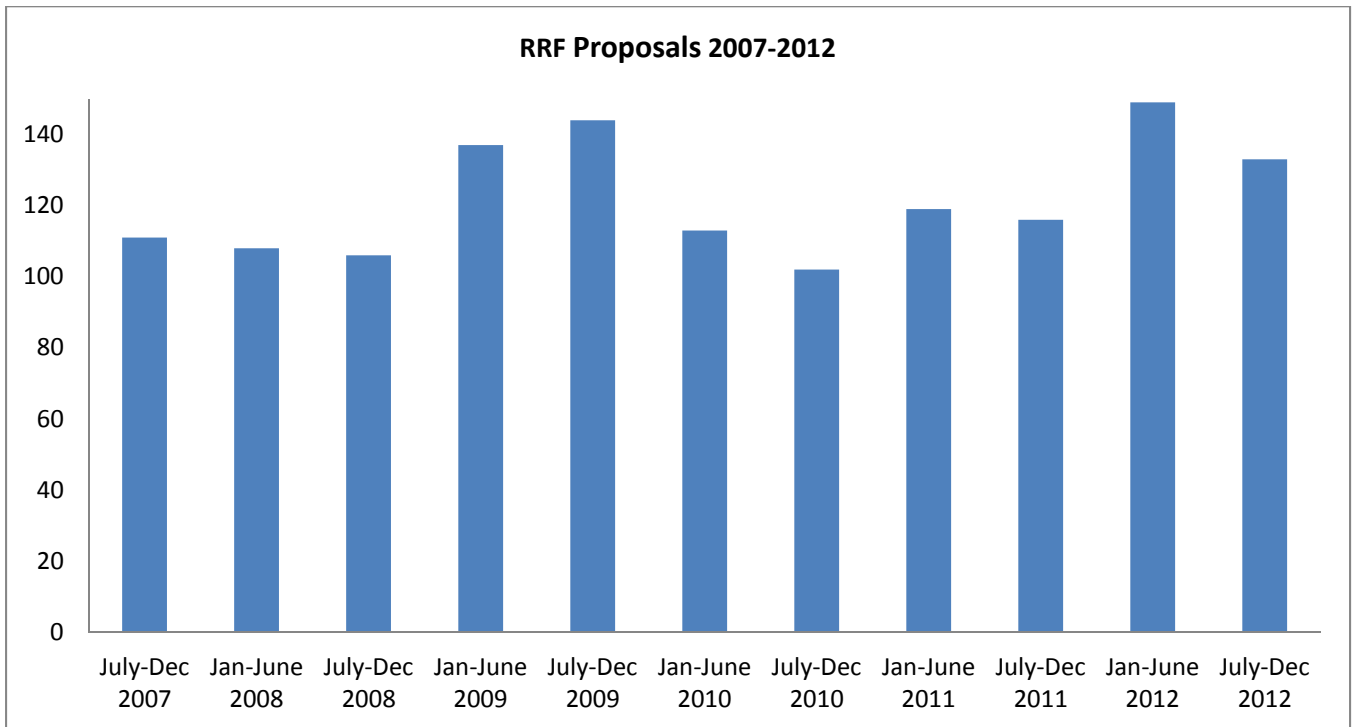
*Since inception

RRF Awards by Subcommittee, Fall 2012



RRF Awards by Rank, Fall 2012



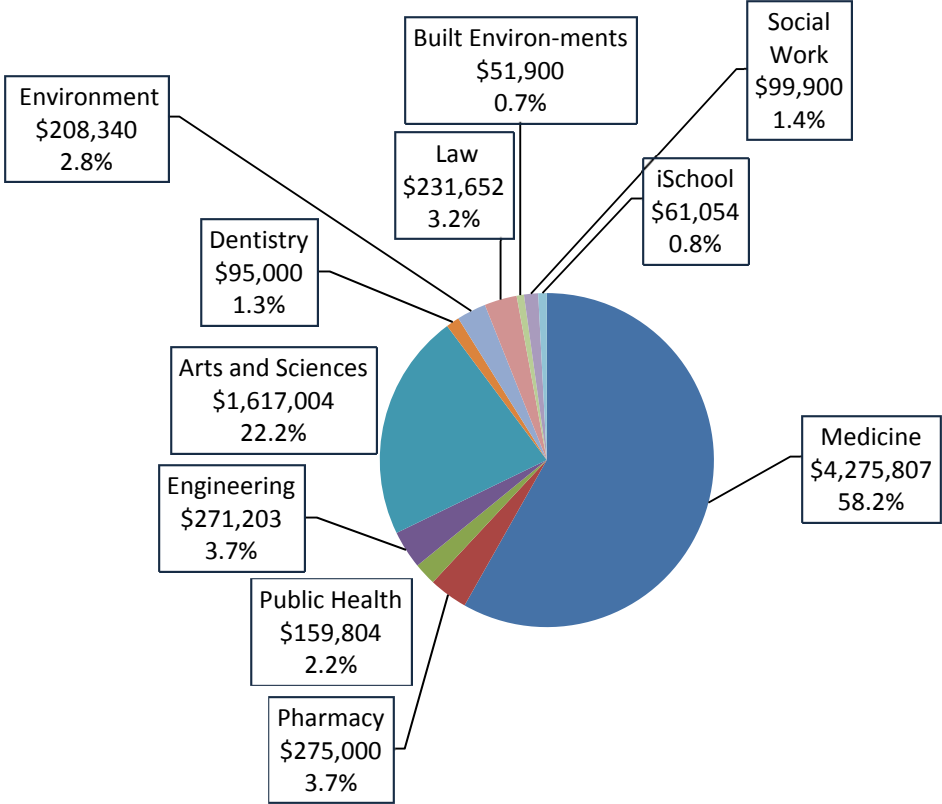


Office of Research Central: Bridge Funding

Provost Bridge Awards - External Funding Received by School and College/Direct and Indirect Cost - December 2006 - December 2011

School/College Provost Bridge Award Total from 12/06-12/11	December 2006	May 2007	December 2007	May 2008	December 2008	May 2009	December 2009	May 2010	December 2010	May 2011	December 2011	TOTAL	Return on Investment
Arts and Sciences Total Bridge distr.: \$1,313,480	0	4,807,811	2,664,541	953,558	3,502,354	1,867,243	5,533,237	2,842,486	1,345,162	1,615,000	0	25,131,392	19.1
Built Environments Total Bridge distr.: \$51,900	0	0	0	0	0	0	0	0	0	0	0	0	0
Dentistry Total Bridge distr.: \$95,000	0	0	0	1,716,000	0	0	0	0	0	0	0	1,716,000	18.1
Engineering Total Bridge distr.: \$219,191	250,000	1,600,800	0	0	947,086	0	177,500	0	0	0	0	2,975,386	13.6
Environment Total Bridge distr.: \$172,885	0	0	581,587	0	5,175,481	20,000	0	0	66,000	0	115,000	5,958,068	34.5
Law Total Bridge distr.: \$132,934	0	0	0	0	0	0	0	0	90,000	0	0	90,000	0.7
Medicine Total Bridge distr.: \$3,533,850	7,181,516	3,815,799	2,572,976	3,476,070	4,921,236	1,065,864	4,130,847	1,721,358	7,556,048	0	3,184,677	39,626,391	11.2
Pharmacy Total Bridge distr.: \$225,000	1,363,553	0	0	3,044,386	0	0	0	0	0	0	0	4,407,939	19.6
Public Health Total Bridge distr.: \$159,804	1,613,230	12,500	1,756,480	0	0	0	0	0	0	496,053	0	3,878,263	18.5
Social Work Total Bridge distr.: \$49,900	0	0	0	0	0	0	0	0	0	0	766,402	766,402	15.4
TOTAL	10,408,299	10,236,910	7,575,584	9,190,014	14,546,157	2,953,107	9,841,584	4,563,844	9,057,210	2,111,053	4,066,079	84,549,841	

Provost Bridge Awards by School and College 12/06-12/12



**Provost Bridge Awards by School and College
December 2006 - December 2012**

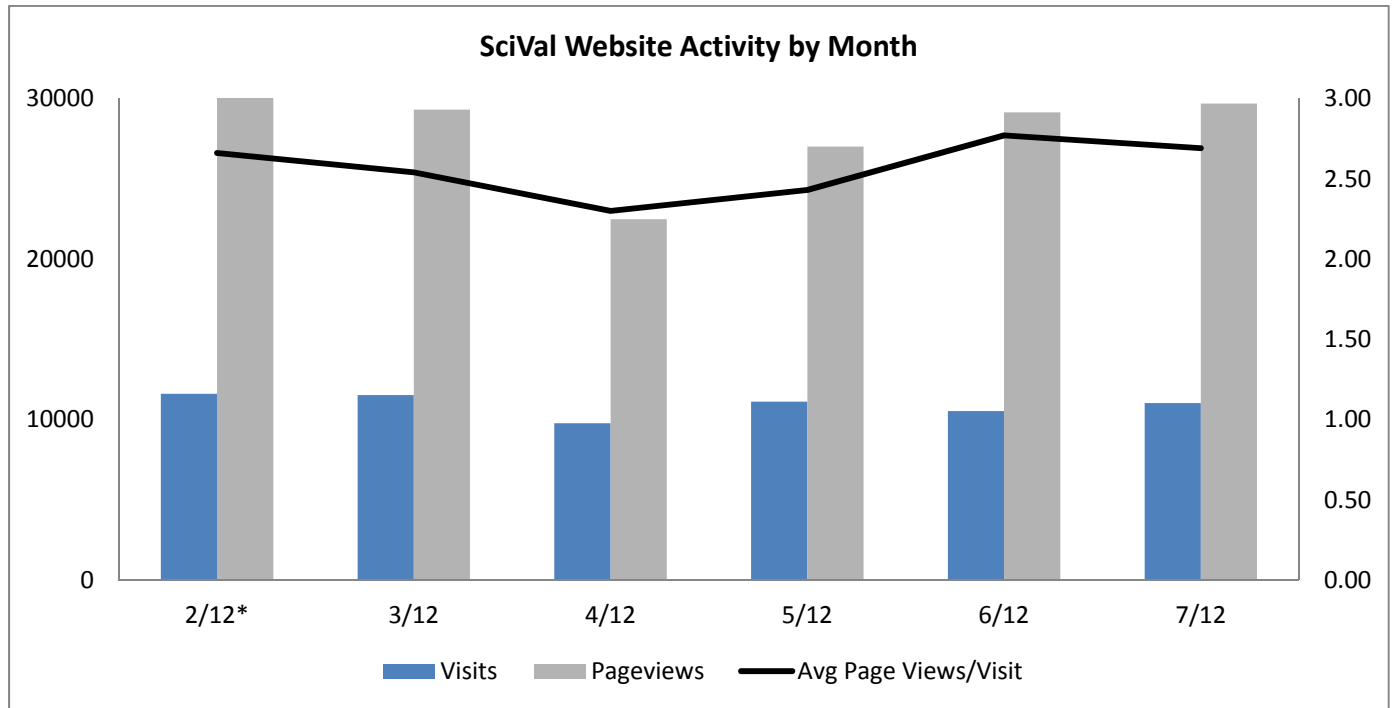
Bridge Awards by Cycle MO/YR	Medicine	Pharmacy	Public Health	Engin- eering	Arts and Sciences	Dent-istry	Environ- ment	Law	Built Environ- ments	Social Work	iSchool	Total
December, 2006	367,000	85,000	48,000	50,000	0	0	0	0	0	0	0	550,000
May, 2007	211,000	0	50,000	40,000	174,000	0	0	0	0	0	0	475,000
December, 2007	356,548	0	61,804	0	118,562	45,000	0	0	0	0	0	581,914
May, 2008	390,000	97,000	0	30,000	49,000	50,000	0	0	0	0	0	616,000
December, 2008	347,000	0	0	50,000	256,000	0	0	0	0	0	0	653,000
May, 2009	272,000	43,000	0	0	90,000	0	50,000	0	0	0	0	455,000
December, 2009	314,312	0	0	49,191	96,134	0	0	0	0	0	0	459,637
May, 2010	249,394	0	0	0	92,530	0	25,000	0	0	0	0	366,924
December, 2010	248,924	0	0	0	243,627	0	30,000	50,000	25,950	0	0	598,501
May, 2011	248,924	0	0	0	193,627	0	30,000	50,000	25,950	0	0	548,501
December, 2011	528,748	0	0	0	0	0	37,885	32,934	0	49,900	0	649,467
May, 2012	350,000	50,000	0	0	99,373	0	35,455	48,718	0	50,000	12,250	645,796
December, 2012	391,957	0	0	52,012	204,151	0	0	50,000	0	0	48,804	746,924
Total	\$4,275,807	\$275,000	\$159,804	\$271,203	\$1,617,004	\$95,000	\$208,340	\$231,652	\$51,900	\$99,900	\$61,054	\$7,346,663
% of Total	58.2%	3.7%	2.2%	3.7%	22.0%	1.3%	2.8%	3.2%	0.7%	1.4%	0.8%	99.2%

Success of Bridge Funding Program - December 2006 - December 2011

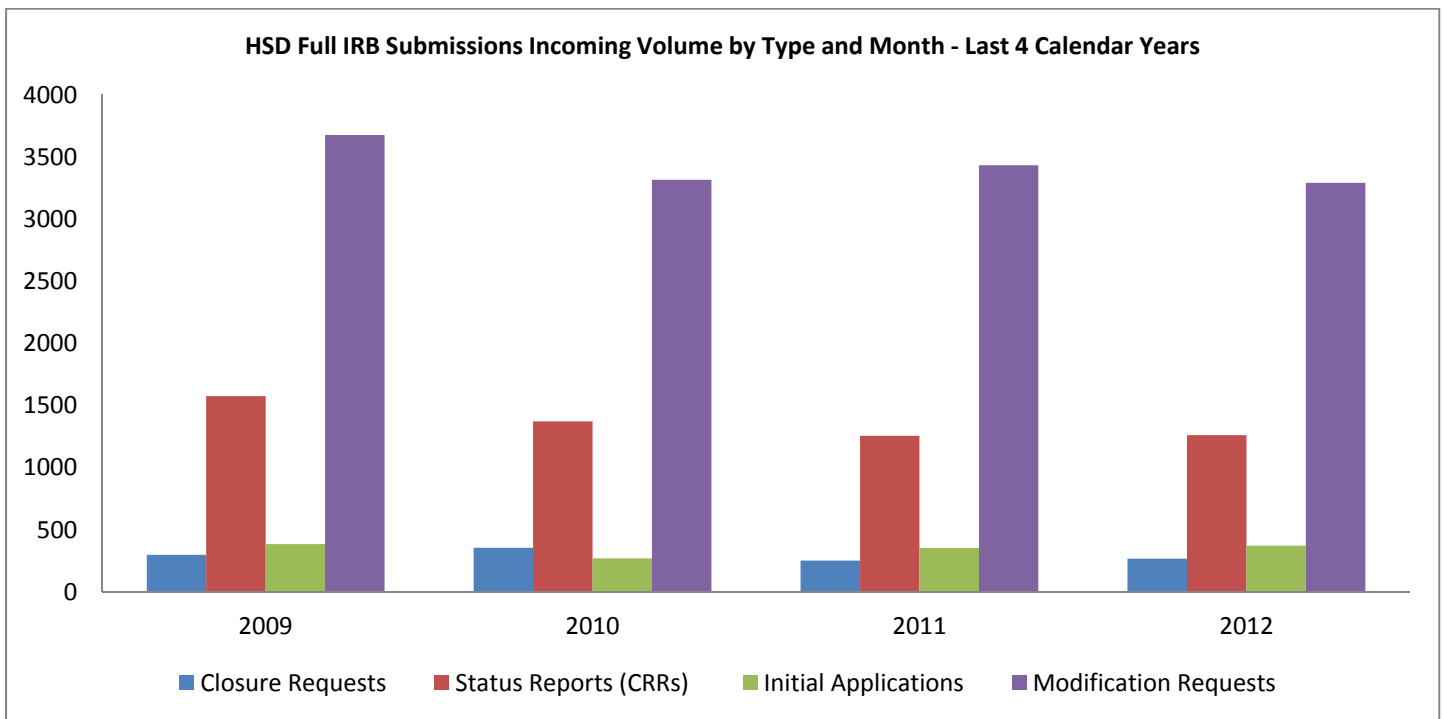
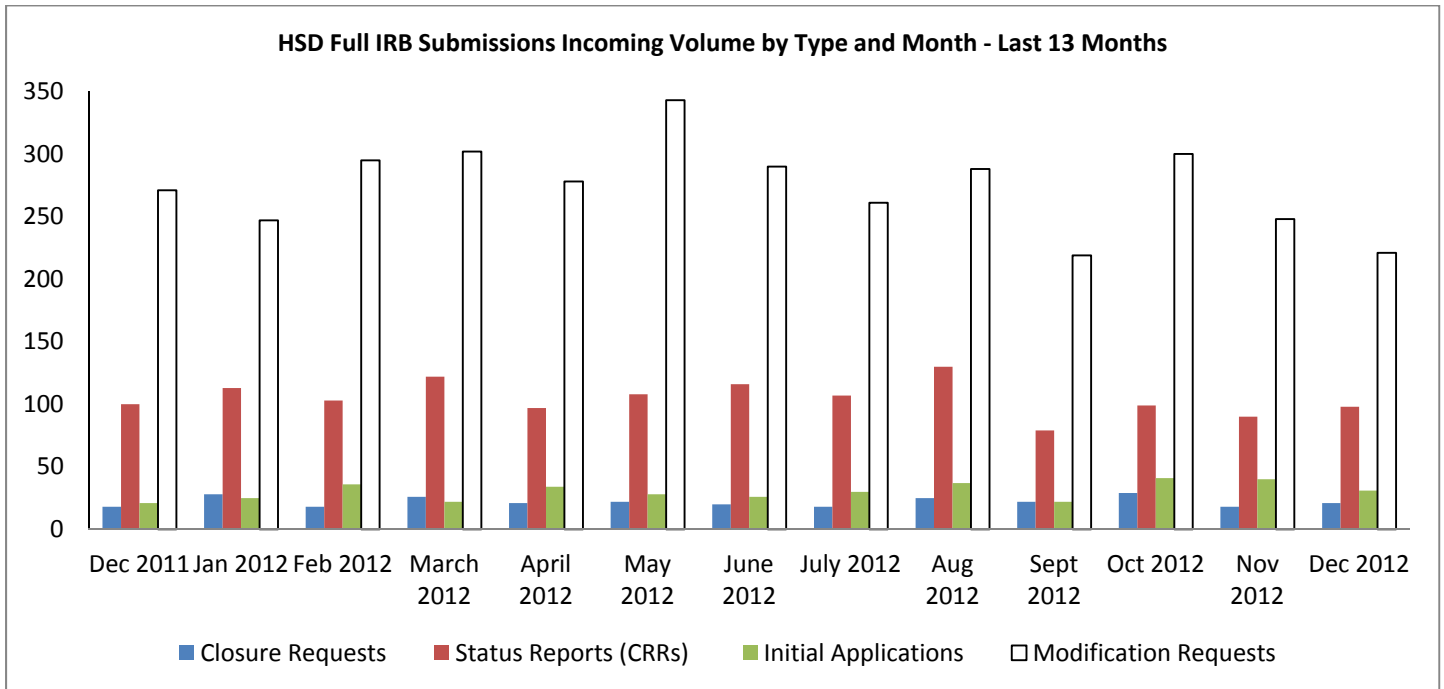
Submission Date	Total Distribution Provost¹	Total Distribution Matching¹	Total Provost and Matching	Number of Bridge Awards	Awardees Receiving External Funding within 1.5 yrs of award	% Re-ceiving Funding	External Grants Obtained (direct and indirect costs)	Return on Investment (fold return)
December 2006	\$504,581	\$517,748	\$1,022,329	13	10	77%	\$10,408,299	10.2
May 2007	\$372,287	\$388,270	\$760,557	13	12	92%	\$10,236,910	13.5
December 2007	\$503,444	\$560,724	\$1,064,168	15	8	53%	\$7,575,584	7.1
May 2008	\$563,220	\$559,524	\$1,122,744	12	6	50%	\$9,190,014	8.2
December 2008¹	\$577,006.00	\$583,768.00	\$1,160,774	15	9	60%	\$14,546,157	12.5
May 2009¹	\$401,668	\$406,137	\$807,805	10	6	60%	\$2,953,107	3.7
December 2009¹	\$394,871	\$394,870	\$789,741	12	10	83%	\$9,841,584	12.5
May 2010¹	\$302,176	\$303,365	\$605,541	7	4	57%	\$4,563,844	7.5
December 2010²	\$361,247	\$361,246	\$722,493	11	8	73%	\$9,057,210	12.5
May, 2011^{1,2}	\$207,450	\$207,018	\$414,468	5	2	40%	\$2,111,053	5.1
December, 2011^{1,2}	\$467,449	\$481,264	\$948,713	9	7	77%	\$4,066,079	4.3
Total	\$4,655,399	\$4,763,934	\$9,419,333	122	82	65.6%³	\$84,549,841	9.0

NOTES:

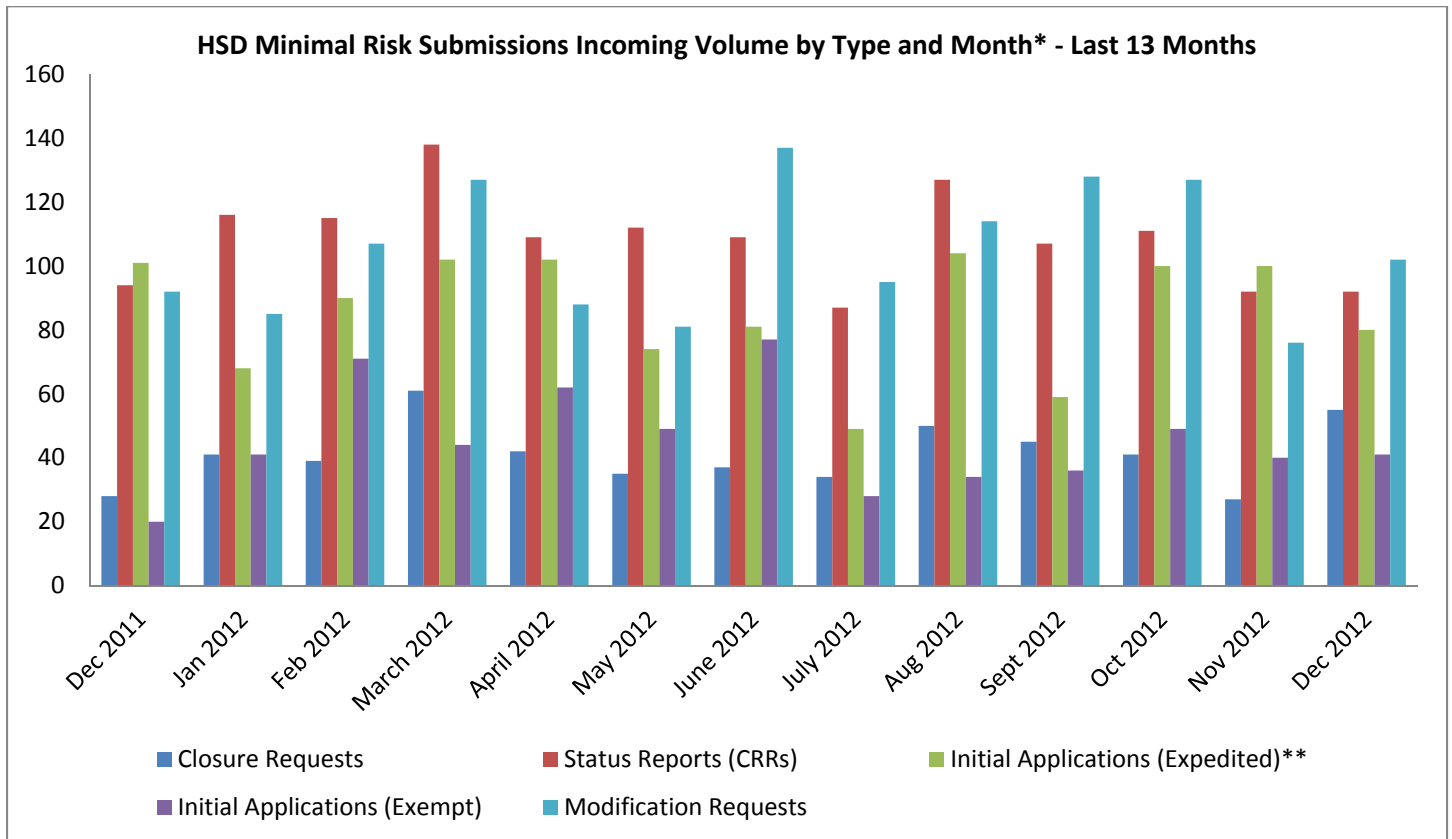
1. The total represented is the total bridge funding distribution less returned funds
2. Does not include awards currently in extension
3. Average



*Since inception



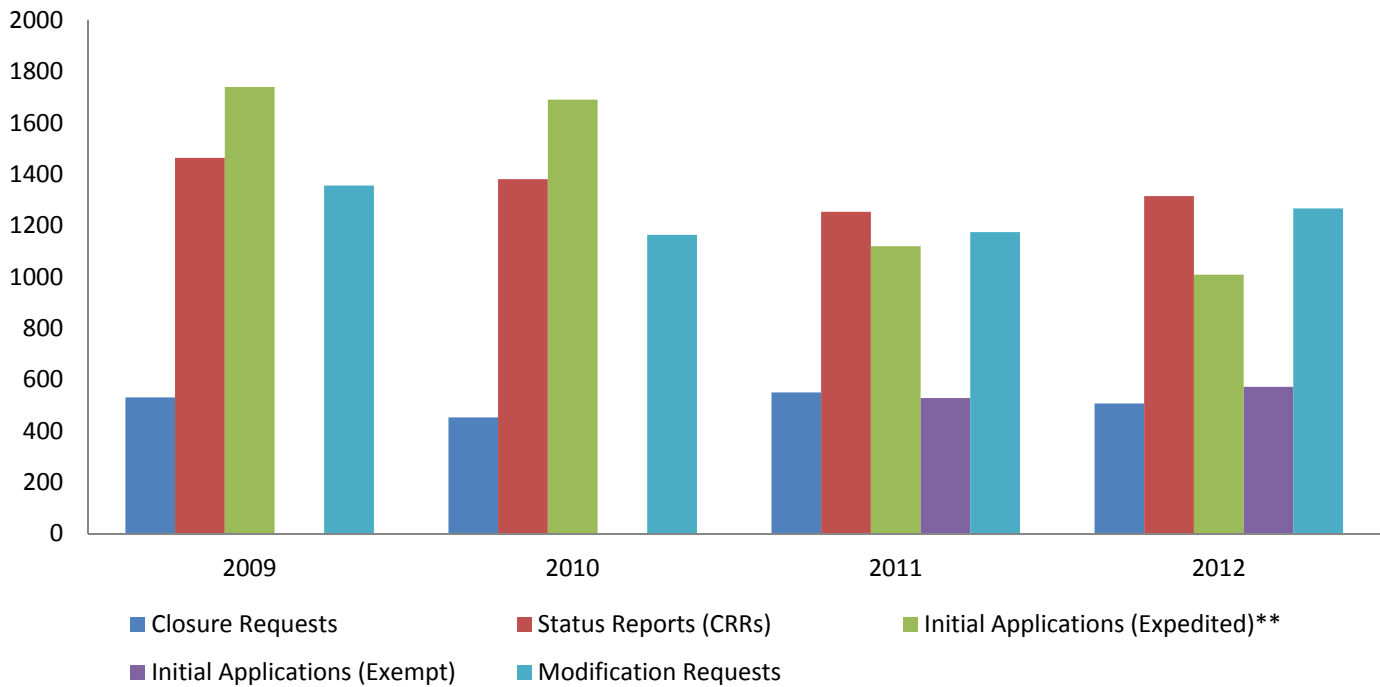
These two graphs show incoming submissions for HSD’s full IRBs to be relatively stable. However, submission types have not been weighted to show the different amount of work each submission type represents for researchers and for HSD staff. For example, a new study application that needs full IRB review requires significantly more work and time on the part of both HSD staff and researchers than does a closure request.



*For the purposes of this chart, this category includes Medical Records Review and Use of Non-Identifiable Biological Specimens/Data Review Determination.

**These totals reflect the type of form initially submitted and do not reflect any subsequent transfers (e.g., full IRB initial application later determined to qualify for expedited review).

HSD Minimal Risk Submissions Incoming Volume by Type and Month* - Last 4 Calendar Years

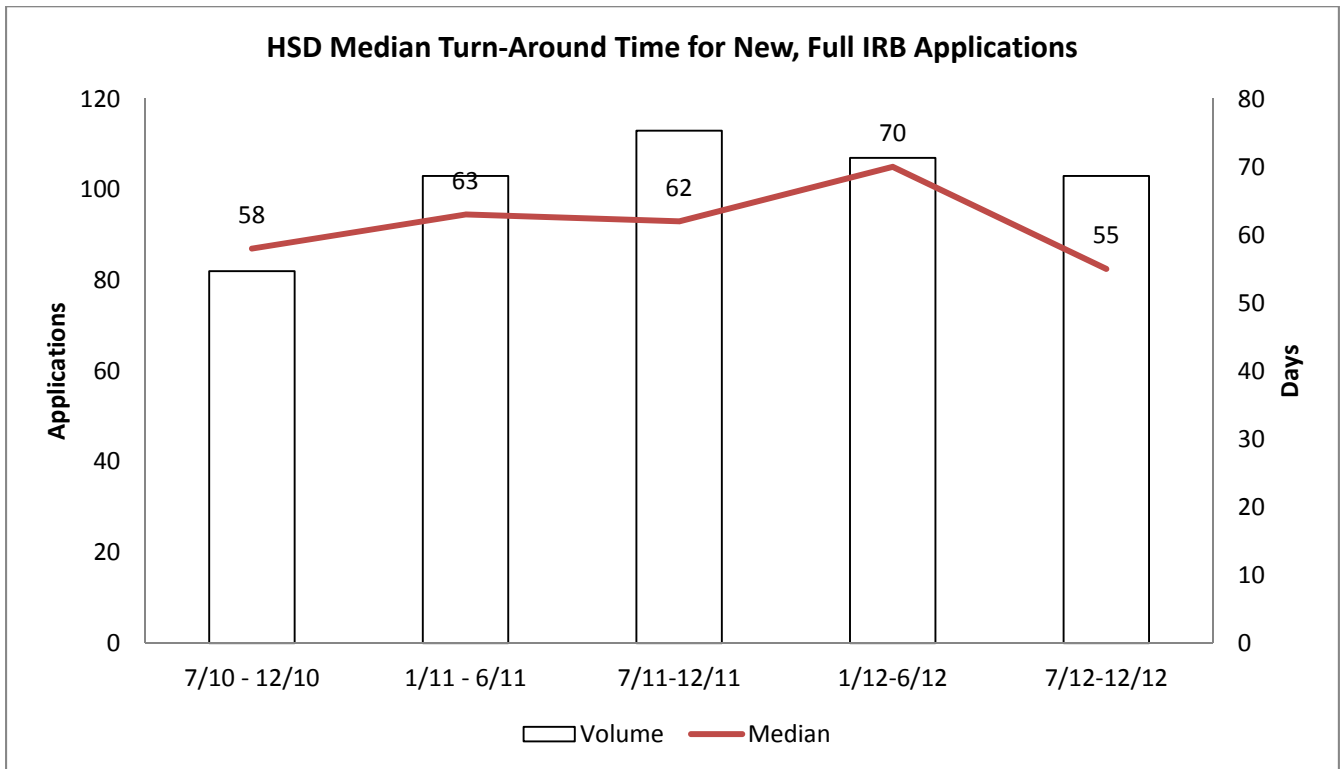


*For the purposes of this chart, this category includes Medical Records Review and Use of Non-Identifiable Biological Specimens/Data Review Determination.

**These totals reflect the type of form initially submitted and do not reflect any subsequent transfers (e.g., full IRB initial application later determined to qualify for expedited review).

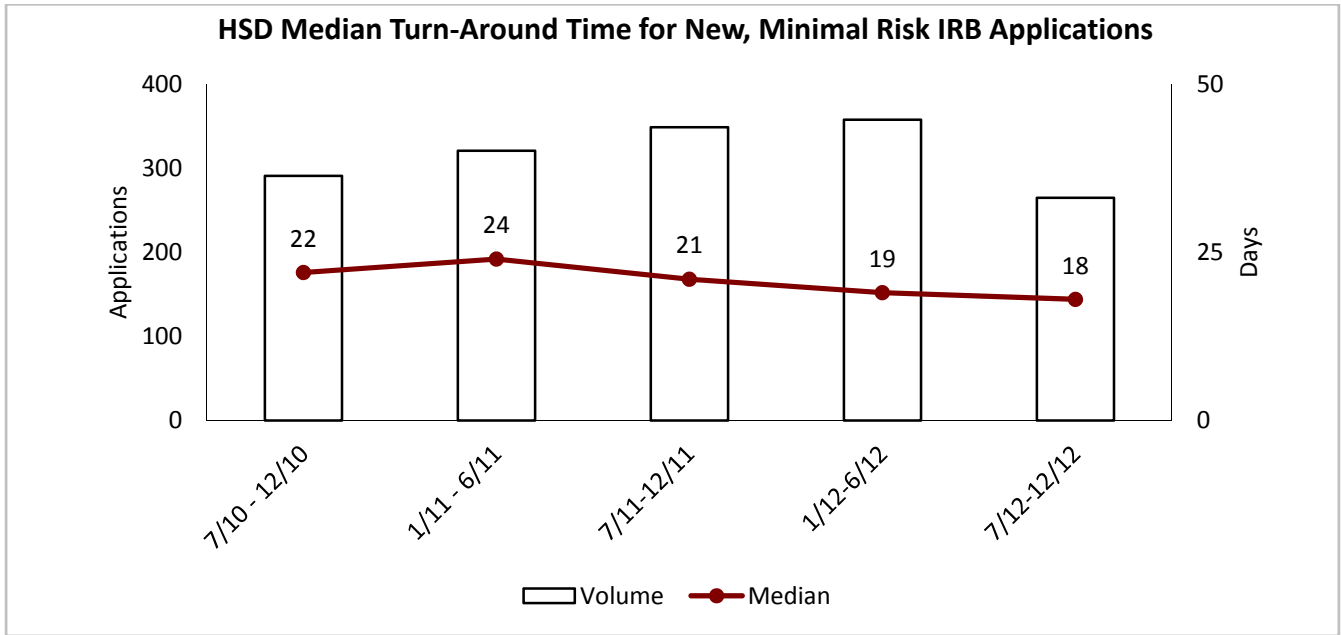
*** Initial Applications (Exempt) were included in Initial Applications (Expedited) for 2009 and 2010.

We believe the decrease in new expedited applications and new requests for Exempt status in the above graph is a reflection of recent HSD process improvement efforts. We have focused on taking advantage of flexibility in Federal regulations which allows us to eliminate work efforts where the risk to human subjects is low, decreasing our need to review some items.

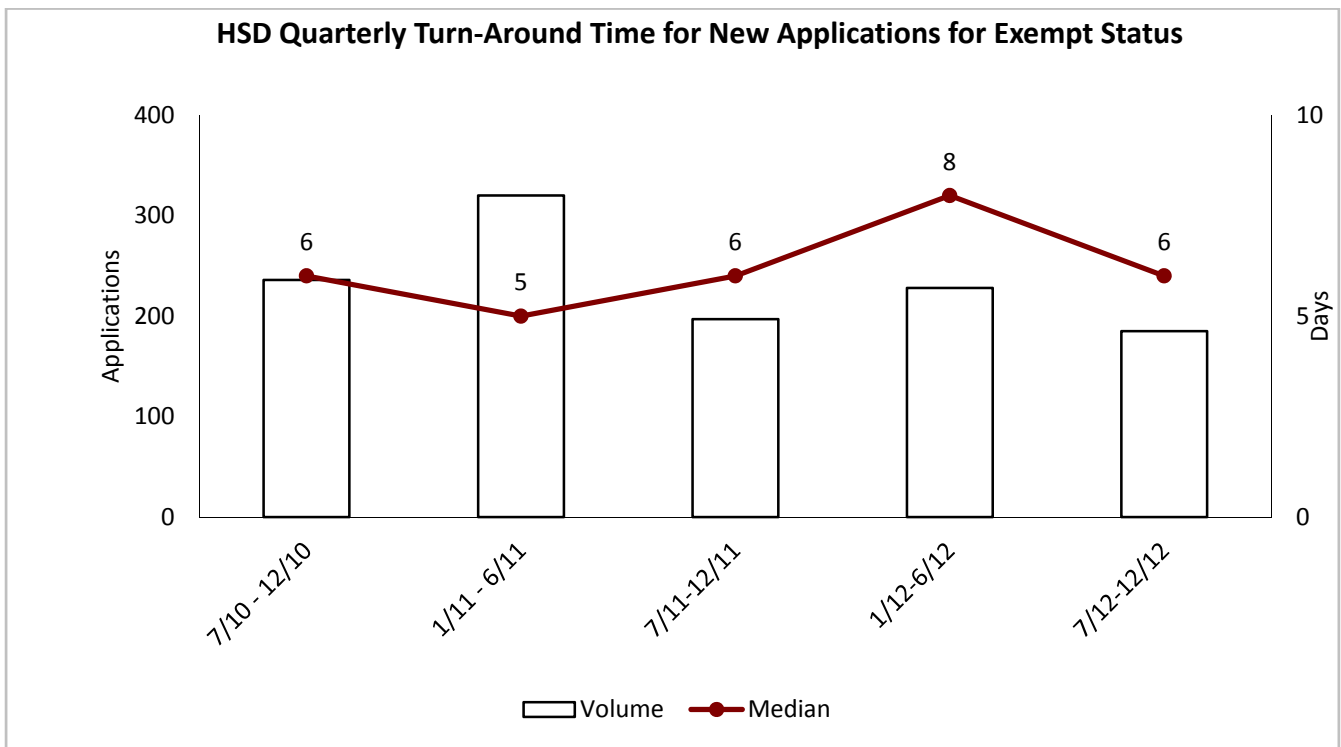


We note the dramatic drop in overall turn-around time (TAT) for new full IRB applications. However it is not clear at this time whether the drop is genuine or an anomaly, or if the TAT of 71 business days from the first six months of 2012 is the anomaly and the TAT of 55 days represents a continued downward trend. We will continue to monitor TAT over the next several reporting periods to answer these questions.

It is important to note that for all turn-around time metrics (including expedited and exempt applications) the overall TAT includes the researcher’s time preparing and submitting responses to requests and correspondence from HSD staff and the IRB. Due to limitations with our current database, we are not able to separate out the amount of time an item is “on HSD’s desk” and the time it is “on the researcher’s desk.”

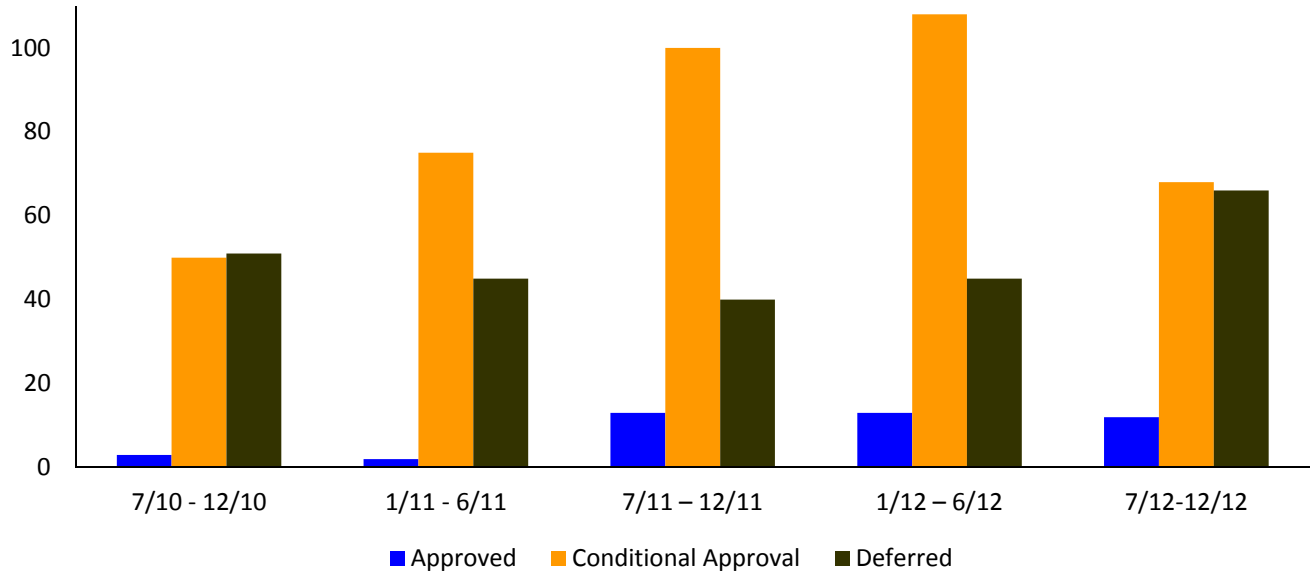


Turn-around time for minimal risk applications (also called “expedited” applications) has remained stable despite significant staff turnover in the four positions that handle these types of applications. Over the past three reporting periods, four of the eight minimal risk staff positions were either vacant or filled by new hires



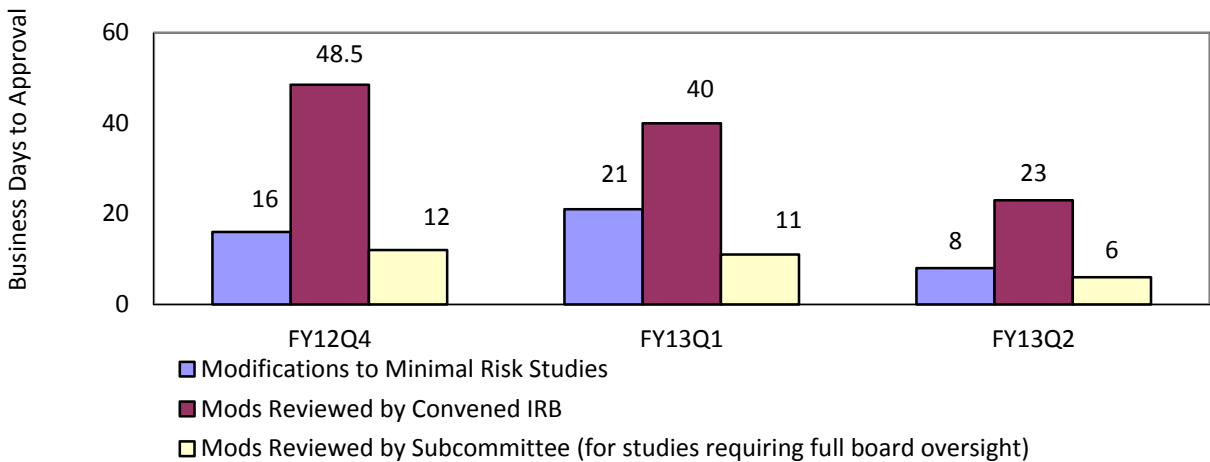
TAT for applications for Exempt Status has remained stable or increased slightly over the past three reporting periods. We believe this is related to a major revision of the Request for Exempt Status form. The new form is longer and requests more information initially from the researcher, and thus takes a little longer for staff to review. However, the amount of back-and-forth between staff and the researcher is likely decreased due to more complete information being provided on the form. Another factor likely contributing to the minor increase in TAT is again the high staff turnover for the past three reporting periods in the staff positions that handle Exempt Status Requests.

HSD Distribution of Outcomes of First Full IRB Review



These profiles of the three possible outcomes of the first full IRB review are reflections of the amount of work and back-and-forth with researchers each involves. For example, more deferrals mean more and longer deferral letters for researchers to respond to, and their responses must wait for the next available IRB agenda for re-review. An increase in applications fully approved at the first IRB review (which can be seen in this graph for the current reporting period) means no further back-and-forth with the researcher. It is possible that the increase in applications approved at the first IRB review is related to the decrease in overall TAT for full IRB applications. We will monitor both metrics closely over the next several reporting periods to determine if this is a permanent change.

HSD Turn-Around Time for Modifications (in Business Days)



At over 4600 modifications a year, they are a significant part of the workload for HSD staff, as well as for researchers. One reason for the higher TAT for modifications reviewed by the convened IRB is that they are tied to the two-week IRB meeting cycle.

Frequencies of Changes Requested in Minimal Risk Modifications

Researcher, Staff Change	22.0%	22.8%	23.3%
Procedures	18.5%	17.1%	16.6%
Consent, Assent Forms	14.3%	14.0%	12.8%
HIPAA, Confd Agreement	9.9%	9.8%	10.7%
Population	8.7%	9.2%	8.6%
Recruitment	8.7%	8.0%	8.2%
Funding	6.5%	6.4%	7.7%
Sites, Locations, Institutions	4.1%	4.2%	3.3%
Compliance Related	3.0%	3.8%	5.1%
Purpose	1.9%	2.7%	1.9%
Waiver of Doc. Of Consent	1.2%	1.2%	0.6%
Protocol Amend., Invest. Brochure	0.5%	50.0%	0.4%
Waiver of Consent, Assent	0.5%	0.3%	0.6%
Conflict of Interest	0.2%	0.0%	0.0%
not defined	0.2%	0.0%	0.0%
	FY12Q4	FY13 Q1	FY13Q2

Frequencies of Changes Requested in Modifications Reviewed by Convened IRB

Procedures	22.4%	22.8%	22.3%
Consent, Assent Forms	19.3%	19.8%	18.1%
Recruitment	11.9%	13.9%	13.6%
Researcher, Staff Change	8.8%	9.9%	11.4%
Population	11.0%	9.7%	7.8%
HIPAA, Confd Agreement	5.0%	5.4%	6.4%
Sites, Locations, Institutions	3.1%	3.2%	2.5%
Waiver of Doc. Of Consent	3.1%	2.7%	3.9%
Waiver of Consent, Assent	2.9%	2.7%	3.6%
Compliance Related	2.1%	2.4%	3.3%
Funding	2.4%	2.1%	0.8%
Protocol Amend., Invest. Brochure	3.3%	1.9%	2.2%
Purpose	1.9%	1.9%	2.5%
not defined	0.2%	1.3%	1.1%
Procedures	22.4%	0.3%	0.3%
	FY12Q4	FY13 Q1	FY13Q2

Frequencies of Changes Requested in Modifications Reviewed by Subcommittee (for studies requiring full board oversight)

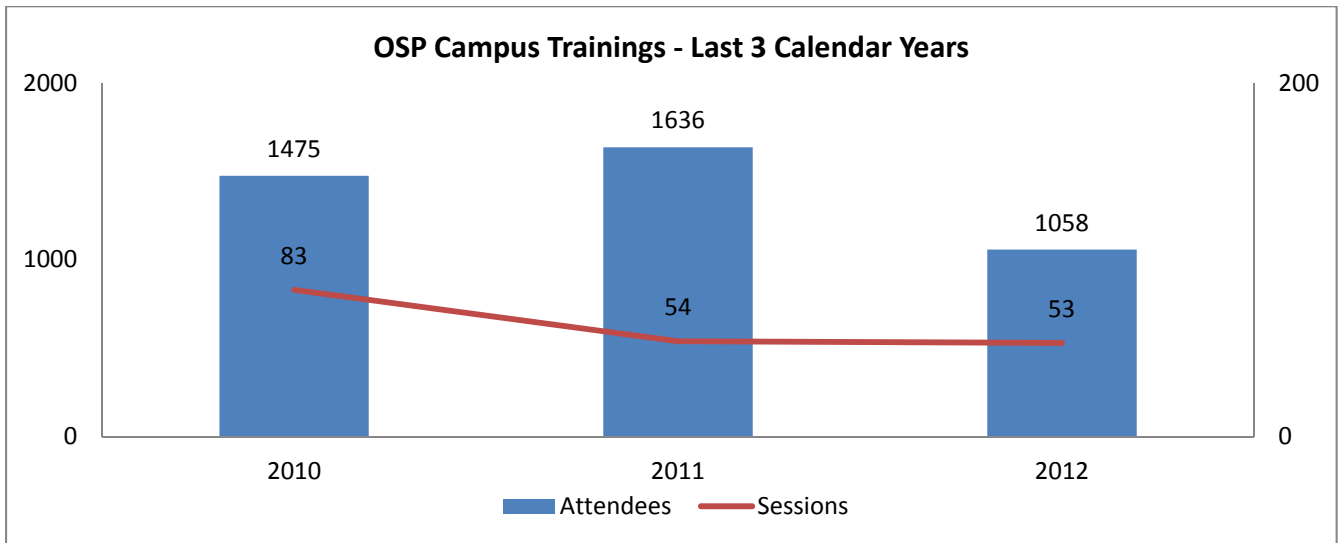
Researcher, Staff Change	17.1%	19.2%	19.4%
Procedures	17.7%	17.4%	18.8%
Consent, Assent Forms	15.5%	16.8%	17.5%
Compliance Related	17.1%	14.9%	13.4%
Recruitment	9.6%	8.9%	8.9%
HIPAA, Confd Agreement	5.8%	6.0%	5.8%
Funding	4.5%	4.5%	4.1%
Population	4.3%	3.7%	4.1%
Protocol Amend., Invest. Brochure	3.5%	3.3%	2.9%
Sites, Locations, Institutions	2.8%	2.2%	2.1%
Offsite AE	0.0%	1.3%	0.9%
not defined	0.7%	0.6%	0.6%
Purpose	0.6%	0.6%	0.8%
Waiver of Doc. Of Consent	0.4%	0.3%	0.2%
Waiver of Consent, Assent	0.2%	0.3%	0.4%
Conflict of Interest	0.4%	0.1%	0.0%

FY12Q4 FY13 Q1 FY13Q2

Because modifications are such a large percentage of our workload, we are able to and interested in looking at a much more finely-grained analysis of the types of modification requests we received, partly to help us identify the best areas for future process improvement.

Trainings and Outreach

Unit	Session Title	Action (Training/ Outreach)	Sessions Within the Last 3 Months	Total Attendees Within the Last 3 Months
CPMG	Society of Research Administrators	Outreach	1	1
OEI	Washington SeaGrant	Outreach		
OEI	UW-IT Leadership Training	Training	1	30
ORIS	SAGE 101	Training	3	29
ORIS	SAGE 102: Grant Runner	Training	2	7
ORIS	SAGE Budget	Training	3	21
ORIS	SPAERC Read-Only	Training	2	11
ORIS	FIDS 101	Training	2	30
ORIS	Successful Grants for Graduate Students	Outreach	1	3
ORIS	SAGE for POD	Training	1	10
ORIS	FIDS: Completing a New Disclosure (Web-Based Training)	Training	n/a	43
OSP	Contracts Gone Wild	Training	1	10
OSP	FEC Beyond the Basics - Modifying an FEC Using Comments and Adjusting Cost Share	Training	1	13
OSP	FEC Beyond the Basics - Modifying an FEC, Changes Outside eFECs and Recertifications	Training	1	11
OSP	Grant and Contract Fiscal Administration: Compliance	Training	1	9
OSP	Grants.gov: The Basics	Training	1	3
OSP	How to Prepare a Basic Budget	Training	1	9
OSP	Introduction to Faculty Effort Certification	Training	1	27
OSP	Introduction to Grant and Contract Certification (GCCR)	Training	1	26
OSP	Introduction to Research Administration	Training	1	16
OSP	Post-Award Financial Administration: Processes, Offices and Best Practices	Training	1	44
OSP	What's Audit got to do with it?	Training	1	11



Many of the courses in the program are offered in response to campus need. If there is a reduced campus need (as evidence by the number of people waitlisted or who have signed up for an alert), we don't offer as many sessions. In 2010 many of these classes, particularly the core courses, were being taught for the first time. There was a huge upswing of people attending new courses. As a result, we offered particular courses multiple times in order to address campus need. Early on in the program we were also using smaller venues to offer campus more scheduling options. One of the many downsides of administering a program with no budget is that we are extremely limited in the spaces available to us and often have a great deal of difficulty obtaining suitable space in which to hold classes. As a result of these issues, we have offered fewer sessions in subsequent years.

Guidance, Training and Outreach - Development & Updating

Unit	Name	Action (Development / Update)	Description
OEI	Cornell University	Outreach	Met with Kathy Burkgren & other Cornell University staff to discuss OEI
OEI	ITECH	Outreach	Exploring OD interventions with ITECH leadership
HSD	New HSD Staff Training Program	Began developing modules for new staff training (including Criteria for Approval, Minimal Risk and Exempt Review, etc).	Developing new training program for all internal HSD staff, including training new hires, continuing education for existing staff, and professional development.
ORIS	Successful Grants for Graduate Students	Development	Collaborated with OSP and UW Libraries to develop an information session providing graduate students with an overview of the research lifecycle and practical tips for submitting grant proposals.
ORIS	Reviewing eGC1s in SAGE Approvals	Development	Web-based training course available on the ORIS Learning page for eGC1 reviewers in academic units. The course walks through how to review an eGC1 and then approve or return it.
ORIS	FIDS: Completing a New Disclosure	Update	Updated the content for this web-based training course available on the ORIS Learning page to reflect changes to FIDS in the November 2012 maintenance release.