



RESOURCE OPTIMIZATION

CPMG	<ul style="list-style-type: none"> CPMG recently helped UW PIs submit 3 multi-million dollar grants.
OR Central	<ul style="list-style-type: none"> Fostering Research Collaboration site launched New processes for SFI reviews implemented
OSP	<ul style="list-style-type: none"> Subcontracts turn-around time was below 3 days for the 6th straight quarter Participated in GIM 10 drafting and implementation of new PHS FCOI rules
HSD	<ul style="list-style-type: none"> Turn-around time for new, full IRB applications is at its lowest (median of 47 business days) since HSD began calculating this metric.
ORIS	<ul style="list-style-type: none"> FCOI - Web Products Adherence to Federal requirements Conflict of Interest - Improved compliance for UW Limited Submission Tool 1.0 - Efficiency gains for PI/Applicant and OR Central

Office of Research Central: Complex Proposals Management Group (CPMG)

CPMG Highlights: November 2011-November 2012

- Submissions: 7 proposals
- Funding Pursued: \$192 million
- Status: 1 writing, 2 pending, 4 not awarded
- Schools/Colleges of PIs: School of Medicine, School of Public Health, College of the Environment
- Customer satisfaction rating: average 4.6/5
- Clients give 4.9/5 rating to the statement that the proposal was significantly enhanced by CPMG assistance
- 1/3 of clients state they would not have done the proposal without CPMG assistance

Projects Completed

Unit	Projects Completed in Last 3 Months	Measurement of Efficiency/ Savings Achieved/ Adding Value
CPMG	3 proposals completed	total of \$139 million in funds potential
CPMG	3 post project surveys tabulated	

Projects Completed

Unit	Projects Completed in Last 3 Months	Measurement of Efficiency/ Savings Achieved/ Adding Value
OEI	OEI/ITHS Process improvement for online training	Project launched in July, online training now available to requestors on campus
OEI	School of Dentistry Phase 1: Procurement Management Case for Change	Identified total potential savings of \$130,000 - \$360,000
OEI	Health Sciences Administration: Payroll Process Improvement	Increased capacity: Will reduce the # of staff performing payroll coordination tasks from a total of 9 to 1-2 staff

Projects In Progress

Unit	Current Project	Milestones Achieved as of 9/30/2012	Expected Measurement of Efficiency/ Savings Achieved/ Adding Value
OEI	OAW Employee & Customer Survey	Customer survey completed, analyzed & analysis presented to management; customer survey developed, being launched in Nov	Data for development of strategic plan
OEI	OAW Process Improvement	Survey analysis done as part of input into this	Full execution on strategic plan, including decreased costs, increased capacity, invest in people & infrastructure
OEI	OAW Strategic Planning	Data gathered via employee survey	Full execution on strategic plan, including decreased costs, increased capacity, invest in people & infrastructure
OEI	Washington SeaGrant Administrative Improvements	Scope of work done 10/2; project design begun	Unfunded but mandated increasing administrative duties incorporated such that resources are being optimized, including the scarce human resources
OEI	EH&S Tapp	Project design begun, 7/2012	Full execution on strategic plan, including decreased costs, increased capacity, invest in people & infrastructure
OEI	APL Strategy Plan Implementation	Draft strategy map 9/2012; revised scopes of work 10/2012; draft strategy implementation tools 10/2012	Full execution on strategic plan, including decreased costs, increased capacity, invest in people & infrastructure
OEI	School of Dentistry Phase 2: Purchasing management improvement	Job Description for Purchasing Manager for Centralized Purchasing	Process improvements, decreased costs
OEI	Global Health ECO Process Improvement	Final findings and recommendations 7/2012	Increased staff capacity & improved service delivery to more than 450 undergraduate & graduate students ehrolled.
OEI	UW Police Dept. Process Improvement	Process assessment current state 7/2012; analysis and action plan 8/2012; telestaff future state 9/2012	Increased capacity, increased customer service level
OEI	School of Law Business Office	Draft current state & vision 8/2012	Increased capacity, increased customer

	Process Assessment and Redesign		service level
OEI	School of Law Faculty Secretaries Workflow Assessment and Recommendations	Draft scope of work 7/2012; analysis & findings presentation 9/2012	Increased capacity, increased customer service level
OEI	UW-IT BPDF	change management for HR Payroll project presentation 8/2012	Successful adoption of process changes
OEI	School of Social Work improvements	Draft scope of work 9/2012	Invest in people & infrastructure, increased capacity, build culture of continuous improvement

Human Subjects Division (HSD)

Projects Completed

Unit	Projects Completed in Last 3 Months	Measurement of Efficiency/ Savings Achieved/ Adding Value
HSD	Website Homebox Revision	Increase ease for researchers in navigating the HSD website; Reduce staff burden by decreasing calls to the office
HSD	Developing of 'Use of Identifiable Specimens Data' form	Expands form to include specimens as well as records; Significantly clarifies & streamlines review process for researchers and IRB staff

Projects In Progress

Unit	Current Project	Milestones Achieved as of 9/30/2012	Expected Measurement of Efficiency/ Savings Achieved/ Adding Value
HSD	Website Navigation	Outlined navigation needs, sent initial thoughts to ORIS	Increase ease for researchers in navigating the HSD website; Reduce staff burden by decreasing calls to the office
HSD	Needs Assessment for Research Roadmap	62% of process mapping completed	Enable HSD to convert to an electronic application system
HSD	File Standardization	New file system created, initial study phase implemented to standardize all federally funded files	Increase efficiency in reviewing files for staff and IRB members

Office of Research Information Services (ORIS)

Projects Completed

Unit	Projects Completed in Last 3 Months	Measurement of Efficiency/ Savings Achieved/ Adding Value
ORIS	Limited Submission Tool 1.0	Efficiency gains for PI/Applicant and OR Central
ORIS	Support CMS Overhaul	
ORIS	FCOI - Web Products	Adherence to federal requirement
ORIS	SPAERC Support site	SPAERC support site that includes the guide and FAQ for this module
ORIS	SPAERC User Guide	Easier for customers to find help for SPAERC. Consistent knowledge of SPAERC in single location.

Projects In Progress

Unit	Current Project	Milestones Achieved as of 9/30/2012	Expected Measurement of Efficiency/ Savings Achieved/ Adding Value
ORIS	OrgCode		Proper reporting and accurate ORG funding relationships
ORIS	"Living" Program Management List	25.00%	Be able to more effectively report on all the work that ORIS does
ORIS	Update SAGE Budget to include Preliminary Benefit Rates	25.00%	More revenue (direct and indirect) for research grants. Proposed budgets will be more accurate and awards less likely to be under funded.
ORIS	Data Source Analysis - SAGE	40.00%	Proposal and Award data will be available in the EDW and integrated with other institutional data
ORIS	Fostering Collaboration Website Content	75.00%	Helps foster collaboration amongst researchers
ORIS	RADC to EDW Phase1	85.00%	analytical award data available to campus
ORIS	FCOI Training Record Lookup and Manual Entry Phase 1	50.00%	Reduced campus and external partner burden for verifying FCOI Training status.
ORIS	Conflict of Interest	99.00%	Improved research compliance for UW
ORIS	EH&S - Consolidation of Databases	0.00%	Availability of Performance Metrics across the department, transparency of data across EH&S
ORIS	Backup Infrastructure Rebuild: Phase 1	65.00%	Backup more information, more frequently, and decrease the time and cost to restore data.
ORIS	Virtual Server Rebuild	75.00%	This will add necessary server capacity and improve server stability.
ORIS	Request for Information - OAW		Formulation of the feasibility of solutions options for future compliance systems
ORIS	Required Training - Phase 1 (Completed Training)		Ability to view training completion record across all 10 datasources. Increase operational efficiency for researcher, who are required to contact each individual units in order to obtain completion records.
ORIS	Unified Content Repository Phase 1	10.00%	Unified and aligned websites and content
ORIS	Data Source Analysis - FIN	0.00%	will enable integrated FIN data to awards data in the EDW data model
ORIS	Data Source Analysis - HR	0.00%	will enable people data to be integrated with award data in the EDW
ORIS	Virtual Desktop Testing Infrastructure	5.00%	Increased efficiency and effectiveness with software testing.
ORIS	Sponsor Data in SAGE (EDW Master Data)	25.00%	improved sponsor driven reporting and overall data quality improvements
ORIS	BoR Prototype	50.00%	Revised BoR including 5 years of award data and trending
ORIS	Data Transparency - Phase 1 (Protocol Status)		Display protocol status for EHS, OAW, HSD, CRBB protocols
ORIS	OSP RAPPT Project Planning & Mentorship	75.00%	Strengthen business operations for process improvement project execution; strengthen business relationship
ORIS	DSS Infrastructure	40.00%	Increased efficiency and effectiveness for the Data Team.
ORIS	HSD - Needs Evaluation		Discovery of process improvement opportunities, business requirement for future system solution, strengthen business relationship
ORIS	SharePoint Deployment: OSP	50.00%	Increased access to information for OSP staff. Consolidation of information.
ORIS	VIVO Phase 1	10.00%	Increased collaboration amongst researchers and reduced administrative burden in maintaining profiles
ORIS	Data Source Analysis - OAW	0.00%	enable OAW data in the compliance portion of the RAD

			data model
ORIS	Data Source Analysis - EH&S	0.00%	enable EH&S as a part of the compliance portion of the RAD data model
ORIS	Data Source Analysis - HSD	0.00%	enable HSD data within the compliance portion of the RAD data model

Office of Sponsored Programs

Projects Completed

Unit	Projects Completed in Last 3 Months	Measurement of Efficiency/ Savings Achieved/ Adding Value
OSP	Participation in GIM 10 drafting and implementation of new PHS FCOI rules	Value: Revamp of OSP processes of tracking and reporting now comply with the new PHS regulations and replace an outdated paper process
OSP	Working with C4C to finalize new Microsoft master research agreement	Value & Efficiency : A viable Master agreement with this sponsor clarifies the working relationship between the UW and Microsoft and allows investigators to engage with this sponsor without lengthy contract negotiations.
OSP	Revision of Affiliation Agreements with Children's	Value & Efficiency : This agreement with clarifies the working relationship between the UW and Children's.

Projects In Progress

Unit	Current Project	Milestones Achieved as of 9/30/2012	Expected Measurement of Efficiency/ Savings Achieved/ Adding Value
OSP	Review of options for faculty submission of eSNAP proposals	Outreach to peer institutions to determine feasibility and institutional experience	Streamlines process and effort for faculty in submitting progress reports to NIH. The extension of this project to all federal sponsors allows OSP to achieve a level of consistency in the process between all deferral agencies
OSP	Faculty Grants Management	OSP has begun a content review of materials for both the online and in person. On HOLD for resources	More accurate and up to date information presented to the faculty regarding research administration.
OSP	RPPR Reporting	1. DHHS review done and check list established to standardize review across all of OSP. 2. Began work on clarifying documentation and communication to campus concerning HRSA progress reports	Streamlined progress reports and submission process for all DHS agencies.
OSP	Established new IACUC process	Completed process redesign	Clarified and standardized process between IACUC and OSP will streamline back and forth process and save processing time. The result is clearer communication to campus and faster review and attention to compliance issues.
OSP	Optional budgeting models for Industry contracts	1. Held brainstorming session with Carson Simoes regarding SOM data and analysis of potential ROI. 2. Identified sponsors or funding types to	A look at costing models which are better suited to Industry sponsors will assist the University in recovering the true cost of carrying out research funded by not-for-profit sponsors

		<p>exclude from the data we analyze (e.g. industry sponsored clinical trials).</p> <p>3. Applied one total budget model (e.g. LSDF model) to a B&M Gates award, to capture traditional F&A type costs as direct costs, to extent we could justify. Project on HOLD pending resources and scheduling</p>	
OSP	Revision of Affiliation Agreements with Group Health	Work group initiated	Standardization of agreements with these two sponsors will reduce contract negotiation time which will benefit researchers by faster turnaround times.
OSP	Standards for International MOUs	Work group has re-engaged after being on hold.	Standardization of agreements will reduce negotiation time thereby lightening then burden on faculty
OSP	Revision of the NCE process when project investigators have FCOI	Reviewed process and designed guidance for OSP staff	New process and guidance is designed to avoid multiplicity of emails, resulting confusion and delays in processing extensions.
OSP	FCOI Reporting to NIH	<ol style="list-style-type: none"> 1. Reviewed and tested new NIH reporting system. 2. Adjusted staffing levels to accommodate new NIH reporting Requirements. 3. Drafted FCOI reporting template to capture NIH required reporting information. 4. Begun drafting staff guidance and training for OSP Staff. 	Standardization of reporting process and information will ensure efficient and timely reporting of sponsor requirements.