

October 12, 2006

TO: Members of the Board of Regents  
Ex-officio Representatives to the Board of Regents

FROM: Michele M. Sams, Secretary of the Board of Regents

RE: Schedule of Meetings

**THURSDAY, OCTOBER 19, 2006**

<b>10 a.m.–11:20 a.m.</b>	<b>142 Gerberding Hall</b>	<b>FINANCE, AUDIT AND FACILITIES COMMITTEE:</b> Regents Jewell (Chr), Blake, Brotman, Cole, Kiga, Proctor
<b>11:30 a.m.–12:45 p.m.</b>	<b>142 Gerberding Hall</b>	<b>COMMITTEE OF THE WHOLE:</b> Regents Kiga (Chair), Barer, Blake, Brotman, Cole, Faubion, Gates, Jewell, Proctor, Simon
<b>12:50 p.m.–2:40 p.m.</b>	<b>142 Gerberding Hall</b>	<b>ACADEMIC &amp; STUDENT AFFAIRS COMMITTEE:</b> Regents Barer (Chr), Cole, Faubion, Gates, Kiga, Simon
<b>3:00 p.m.</b>	<b>Petersen Room Allen Library</b>	<b>REGULAR MEETING OF BOARD OF REGENTS</b>



UNIVERSITY OF WASHINGTON  
BOARD OF REGENTS

October 12, 2006

**TO: Members of the Academic and Student Affairs Committee**  
Regents Barer (Chair), Cole, Faubion, Gates, Kiga, Simon

**FROM: Michele M. Sams, Secretary of the Board of Regents**

**RE: Meeting of Committee on 10/19/06 (12:50 p.m.–2:40 p.m., 142 Gerberding Hall)**

The following topics are noted for discussion at the meeting of the committee on *Thursday, October 19, 2006*. Items requiring action by the full Board of Regents are marked "DRAFT."

1. **Academic and Administrative Appointments** ACTION A-1  
Phyllis M. Wise, Provost and Vice President for Academic Affairs
2. **“Students First” Scholarship Matching Initiative** ACTION A-14  
Phyllis M. Wise  
Ana Mari Cauce, Executive Vice Provost  
Connie Kravas, Vice President for Development and Alumni Relations
3. **Husky Promise** ACTION A-15  
Phyllis M. Wise  
Ana Mari Cauce  
Connie Kravas
4. **Inlandboatmen’s Union of the Pacific Collective Bargaining Agreement – UW Ratification** ACTION A-2  
Mindy Kornberg, Vice President, Human Resources  
Lou Pisano, Executive Director of Labor Relations, Human Resources  
Gary R. Quarfoth, Interim Vice Provost for Planning \*  
Budgeting
5. **SEIU Local 925 Nonsupervisory Collective Bargaining Agreement – UW Ratification** ACTION A-3  
Mindy Kornberg  
Lou Pisano  
Gary R. Quarfoth
6. **SEIU Local 925 Supervisory Collective Bargaining Agreement – UW Ratification** ACTION A-4  
Mindy Kornberg  
Lou Pisano  
Gary R. Quarfoth
7. **SEIU Local 925 Healthcare Professional/Laboratory Technical Collective Bargaining Agreement – UW Ratification** ACTION A-5  
Mindy Kornberg  
Lou Pisano  
Gary R. Quarfoth

8. **SEIU Local 925 Research Technologist Supervisor Collective Bargaining Agreement – UW Ratification** ACTION A-6  
Mindy Kornberg  
Lou Pisano  
Gary R. Quarfoth
9. **SEIU Local 925 Research Technologist Collective Bargaining Agreement – UW Ratification** ACTION A-7  
Mindy Kornberg  
Lou Pisano  
Gary R. Quarfoth
10. **UW Police Officers Association Collective Bargaining Agreement – UW Ratification** ACTION A-8  
Mindy Kornberg  
Lou Pisano  
Gary R. Quarfoth
11. **Washington Federation of State Employees Collective Bargaining Agreement – UW Ratification** ACTION A-9  
Mindy Kornberg  
Lou Pisano  
Gary R. Quarfoth
12. **WFSE Police Management Association Collective Bargaining Agreement – UW Ratification** ACTION A-10  
Mindy Kornberg  
Lou Pisano  
Gary R. Quarfoth
13. **Washington State Nurses Association Collective Bargaining Agreement – UW Ratification** ACTION A-11  
Mindy Kornberg  
Lou Pisano  
Gary R. Quarfoth
14. **North of 45th Work Group Final Recommendations** INFORMATION A-12  
**Eric S. Godfrey**, Vice Provost for Student Life  
**Carol S. Niccolls**, Special Counsel to the President
15. **The Learning Initiative** INFORMATION A-13  
Ana Mari Cauce  
**Ron Irving**, Interim Dean, College of Arts and Sciences  
**Paul LePore**, Assistant Dean, College of Arts and Sciences  
**Daryl Pedigo**, Senior Lecturer, Physics  
**Hannah Chi**, Coordinator, Summer Bridge Program
16. **Other Business** INFORMATION



UNIVERSITY OF WASHINGTON  
BOARD OF REGENTS

October 12, 2006

**TO: Members of the Committee of the Whole**  
Regents Kiga (Chair), Barer, Blake, Brotman, Cole,  
Faubion, Gates, Jewell, Proctor, Simon

**FROM: Michele M. Sams, Secretary of the Board of Regents**

**RE: Meeting of Committee on 10/19/06 (11:30 a.m.–12:45 p.m.,  
142 Gerberding Hall)**

The following topics are noted for discussion at the meeting of the committee on *Thursday, October 19, 2006*. Items requiring action by the full Board of Regents are marked "DRAFT."

1. **Executive Session** (to evaluate the performance of a public employee)
2. **2005–2006 President Evaluation**
3. **Executive Session** (to discuss with legal counsel representing the University legal risks of a proposed action or current practice that the University has identified when public discussion of the legal risks is likely to result in an adverse legal or financial consequence to the agency)
4. **Closed Session – Labor Relations** (RCW 42.30.130)

**INFORMATION**



UNIVERSITY OF WASHINGTON  
BOARD OF REGENTS

October 12, 2006

**TO: Members of the Finance, Audit and Facilities Committee**  
Regents Jewell (Chair), Blake, Brotman, Cole, Kiga, Proctor

**FROM: Michele M. Sams, Secretary of the Board of Regents**

**RE: Meeting of Committee on 10/19/06 (10 a.m.–11:25 a.m., 142 Gerberding Hall)**

The following topics are noted for discussion at the meeting of the committee on *Thursday, October 19, 2006*. Items requiring action by the full Board of Regents are marked "DRAFT."

1. **Grant and Contract Awards – July and August, 2006** ACTION F-2  
Weldon E. Ihrig, Executive Vice President
2. **Extension of Appointments of Insurance Brokers of Record** ACTION F-3  
Elizabeth A Cherry, Director, Risk Management
3. **Purchase – Angiography Systems** ACTION F-4  
Kerry L. Kahl, Senior Director, Strategic Sourcing
4. **Actions Taken Under Delegated Authority** INFORMATION F-5  
Richard Chapman, Associate V. P. for Capital Projects
5. **UWMC & UWHSC AA/BB/RR Wings Fire Alarm Replacement** ACTION F-6  
– **Engineer Appointment**  
Richard Chapman
6. **Consolidated Bagley Lab Projects – Establish Budget / Delegate Authority for Contract Award** ACTION F-7  
Richard Chapman  
Olivia Yang, Director, Special Projects Group, Capital Projects Office
7. **Seattle Campus – SPU Pump Station Generator Serving Foege Building** ACTION F-8  
Jeanette L. Henderson, Director of Real Estate
8. **UW Tacoma Assembly Hall - Project Presentation** ACTION F-9  
Richard Chapman  
Olivia Yang, Director, Special Projects Group, Capital Projects Office  
Olivia Yang
9. **Capital Projects Semi Annual Report** INFORMATION F-10  
Richard Chapman
10. **Appointment of External Auditors** ACTION F-11  
Maureen Rhea, Director of Audits, Internal Audit  
Ann Anderson, Asst. Vice President, Financial Management & Controller

11. **UW Medicine Board Financial Update** **INFORMATION** F-12  
**Orin Smith**, Chair, UW Medicine Board  
**Bruce Ferguson**, Associate Vice President, Chief Financial Officer, Office of the Vice President for Medical Affairs
12. **UW Tower (SAFECO) Update** **INFORMATION** F-15  
Weldon E. Ihrig  
**Gary R. Quarfoth**, Interim Vice Provost for Planning and Budgeting
13. **Report of Contributions - August, 2006** **INFORMATION** F-1  
**Walter G. Dryfoos**, Associate V. P., Advancement Services, Development & Alumni Relations  
**Connie Kravas**, Vice President for Development and Alumni Relations
14. **UWINCO Update** **INFORMATION** F-13  
**Keith Ferguson**, Chief Investment Officer
15. **Consolidated Endowment Fund** **INFORMATION** F-14  
Keith Ferguson
16. **Other Business** **INFORMATION**

**AGENDA**

**BOARD OF REGENTS**

University of Washington

**October 19, 2006**

3:00 p.m. – Petersen Room, Allen Library

- I. CALL TO ORDER** (Item No.)
- II. ROLL CALL**
- III. CONFIRM AGENDA**
- IV. REPORT OF THE CHAIR OF THE BOARD OF REGENTS: Regent Kiga**
- V. REPORT OF THE UNIVERSITY PRESIDENT: Dr. Wise**
- VI. CONSENT AGENDA**

Approval of Minutes of Meeting of September 21, 2006

Inlandboatmen’s Union of the Pacific Collective Bargaining Agreement – UW Ratification A-2

SEIU Local 925 Nonsupervisory Collective Bargaining Agreement – UW Ratification A-3

SEIU Local 925 Supervisory Collective Bargaining Agreement – UW Ratification A-4

SEIU Local 925 Healthcare Professional/Laboratory Technical Collective Bargaining Agreement – UW Ratification A-5

SEIU Local 925 Research Technologist Supervisor Collective Bargaining Agreement – UW Ratification A-6

SEIU Local 925 Research Technologist Collective Bargaining Agreement – UW Ratification A-7

UW Police Officers Association Collective Bargaining Agreement – UW Ratification A-8

Washington Federation of State Employees Collective Bargaining Agreement – UW Ratification A-9

WFSE Police Management Association Collective Bargaining Agreement – UW Ratification A-10

Washington State Nurses Association Collective Bargaining Agreement – UW Ratification	A-11
“Students First” Scholarship Matching Initiative	A-14
Husky Promise	A-15
Grant and Contract Awards – July and August, 2006	F-2
Extension of Appointments of Insurance Brokers of Record	F-3
Purchase – Angiography Systems	F-4
UWMC & UWHSC AA/BB/RR Wings Fire Alarm Replacement – Engineer Appointment	F-6
Consolidated Bagley Lab Projects – Establish Budget / Delegate Authority for Contract Award	F-7
Seattle Campus – SPU Pump Station Generator Serving Foege Building	F-8
UW Tacoma Assembly Hall - Project Presentation	F-9
Appointment of External Auditors	F-11

## VII. STANDING COMMITTEES

### **A. Academic and Student Affairs Committee: Regent Barer - Chair**

Academic and Administrative Appointments ( <b>ACTION</b> )	A-1
North of 45th Work Group Final Recommendations (Information only) Postponed until the November 2006 meeting.	A-12
The Learning Initiative (Information only) Postponed until a later date.	A-13

### **B. Finance, Audit and Facilities Committee: Regent Jewell - Chair**

Report of Contributions - August, 2006 (Information only)	F-1
Actions Taken Under Delegated Authority (Information only)	F-5
Capital Projects Semi Annual Report (Information only)	F-10
UW Medicine Board Financial Update (Information only)	F-12
UWINCO Update (Information only)	F-13
Consolidated Endowment Fund (Information only)	F-14



UW Tower (SAFECO) Update (Information only)

F-15

**C. Committee of the Whole: Regent Kiga - Chair**

2005–2006 President Evaluation

**VIII. OTHER BUSINESS**

Reports from ex-officio representatives to the Board:

**ASUW President – Mr. Cullen P. White**

**GPSS President – Ms. Kimberly A. Friese**

**Alumni Association President – Mr. Gregg Blodgett**

**Faculty Senate Chair – Professor Gail Stygall**

**IX. DATE FOR NEXT REGULAR MEETING: November 16, 2006**

**XI. ADJOURNMENT**

# MINUTES

## **BOARD OF REGENTS University of Washington October 19, 2006**

The Board of Regents held its regular meeting on Thursday, October 19, 2006, beginning at 3:00 p.m. in the Petersen Room of the Allen Library. The notice of the meeting was appropriately provided to the public and the press.

### **CALL TO ORDER**

### **ROLL CALL**

Assistant Secretary Keith called the roll: Present were Regents Kiga (presiding), Barer, Blake, Brotman, Cole, Faubion, Jewell, Proctor, Simon; Dr. Wise, Ms. Warren, Ms. Sams; ex-officio representatives: Mr. White, Ms. Friese, Mr. Blodgett, Professor Stygall.

Absent: Regent Gates, President Emmert

### **CONFIRM AGENDA**

The agenda was confirmed as presented.

### **REPORT OF THE CHAIR OF THE BOARD OF REGENTS: Regent Kiga**

On behalf of the Board, Regent Kiga extended a warm welcome to new Regent Kristianne Blake. He noted Regent Blake has a broad array of work experience and has been actively involved in many civic activities.

Regent Blake said she was pleased to be a member of the Board and is looking forward to working with the Regents and the administration.

Regent Kiga then announced committee memberships, which are displayed below and on the Regents' Web site.

#### ACADEMIC AND STUDENT AFFAIRS COMMITTEE

**Chair**  
**Vice Chair**

**Stanley H. Barer**  
**Herb Simon**  
Craig W. Cole  
Jennifer K. Faubion  
William H. Gates  
Frederick C. Kiga

#### FINANCE, AUDIT AND FACILITIES COMMITTEE

**Chair**  
**Vice Chair**

**Sally Jewell**  
**Kristianne Blake**  
Jeffrey H. Brotman  
Craig W. Cole  
Frederick C. Kiga  
Constance L. Proctor

Regent Kiga noted at its meeting earlier in the day, the Committee of the Whole discussed compensation for the President of the University of Washington. Over the summer the Board, under the leadership of then Board Chair Sally Jewell, conducted a thorough evaluation of the performance of President Mark A. Emmert. Regent Kiga asked Regent Jewell to summarize the evaluation process and to present the proposed compensation plan for the President.

Regent Jewell reported that a third party, George Corcoran of Corcoran Consulting Group, was contracted to help craft the performance evaluation instrument and to compile the responses confidentially. The respondents to the survey were all of the regents and most of the members of the President's Cabinet. Regent Jewell noted that it was one of the most consistently positive evaluations of an executive's performance that she had seen. Regent Jewell and Regent Kiga reported that they had discussed the evaluation results with Dr. Emmert. The Board noted that the performance of the University, its clarity of direction and its reputation had improved materially under Dr. Emmert's leadership.

Regent Jewell reported that the Board had completed an analysis of the pay ranges for positions comparable to Dr. Emmert's and recommended that the board approve a base pay increase for President Emmert of 5% resulting in an annual salary of \$518,700, retroactive to September 1, 2006. She also recommended that his annual deferred compensation be increased by \$80,000 per year with the first payment as soon as practical, and annually in June thereafter. These contributions would be available for Dr. Emmert's retirement, with each installment vesting three years from the date of the award. This award supplements the five year \$120,000 annual contribution to deferred compensation that President Emmert was previously awarded, which vests at the end of his first five years in the position.

**MOTION:** Upon the motion made by Regent Jewell, and second by Regent Cole, the Board voted unanimously to approve the Second Amendment to the Employment Contract for University of Washington President Mark A. Emmert as noted below. Regent Faubion abstained from the discussion and vote.

1. The President's annual salary shall be increased by 5% to \$518,700 effective Sept. 1, 2006.
2. In addition to the deferred compensation program provided in the contract, a second program of deferred compensation be provided, with the following elements:
  - a. On, or as soon as practical after, September 29, 2006, and each June 14 thereafter through the period of the President's service in the position of President of the University, deferred compensation in the amount of \$80,000 will be credited to a University account established for that purpose. The President shall not have a vested right to any amount so credited in any given year, or any investment earnings thereon, unless and

until he has completed three additional years of service as President of the University from the date of such credit, except that:

- (1) in the event his service as President is terminated by the Board for a reason that would constitute neither a breach of this contract nor grounds for loss of his faculty appointment under section E of the contract, he shall be entitled to the balance in the account; and
  - (2) in the event his service as President is terminated due to his disability or death, he (or his designated beneficiary) will be entitled to the amount of the account balance.
3. that the Chair of the Board of Regents be authorized to sign an amendment to the President's employment contract consistent with the terms described.

Regent Cole remarked that the motion has his wholehearted support. He added that it is important for everyone to recognize that the University of Washington is among the top 20 universities in the world. The relative market with which it competes is all the major public and private universities in the country. He said it is important to understand that the University of Washington is not just a nice college in Washington State, rather it is an institution of worldwide importance and that it takes excellent leadership. Regent Cole noted that President Emmert is very devoted to the University of Washington and that the state of Washington is very fortunate to have him in this leadership position.

#### **REPORT OF THE PRESIDENT OF THE UNIVERSITY: Provost Phyllis Wise**

Provost Wise reported that President Emmert is currently traveling to Korean and Taiwan with Governor Christine Gregoire's delegation. Dr. Wise said she has had e-mails from him and talked with him by phone and he wished he could be present today. He is meeting with leaders of institutions of higher learning, business people and alumni. He reported the alumni of the University of Washington who are in these two countries are devoted to this institution and are very proud to be alumni. The people he meets with that do not know about the University of Washington are amazed at what we have to offer.

#### **CONSENT AGENDA**

Regent Kiga noted there were 21 items for approval on the consent agenda, and asked if any items should be removed. Regent Barer requested that items A-14, "Students First" Scholarship Matching Initiative, and A-15, Husky Promise, be removed from the consent agenda and returned to the report of the Academic and Student Affairs Committee for discussion and action. Noting there were now 19 items on the consent agenda, Regent Kiga called for a motion.

**MOTION:** Upon the recommendation of the Chair of the Board and the motion made by Regent Proctor, seconded by Regent Jewell, the Board voted to approve the 19 items on the consent agenda as shown below:

**Minutes for the meeting of September 21, 2006**

**Inlandboatmen's Union of the Pacific Collective Bargaining Agreement – UW Ratification** (Agenda no. A-2)

It was the recommendation of the Administration that the Board of Regents approves Collective Bargaining Agreement between the University of Washington and the Inlandboatmen's Union of the Pacific (IBU) that covers approximately 15 employees on the Thomas G. Thompson Research Vessel. The duration of the Agreement is from July 1, 2007 through June 30, 2009.

See Attachment A-2.

**SEIU Local 925 Nonsupervisory Collective Bargaining Agreement – UW Ratification** (Agenda no. A-3)

It was the recommendation of the Administration that the Board of Regents approve the Collective Bargaining Agreement between the University of Washington and SEIU Local 925 that covers approximately 4,588 Nonsupervisory employees on all UW Campuses, UW Medical Center and Harborview Medical Center. In addition, certain provisions of the Agreement will cover regular temporary employees who enter the bargaining unit upon completion of 350 hours of bargaining unit work within a consecutive 12 month period. The duration of the Agreement is from July 1, 2007 through June 30, 2009.

See Attachment A-3.

**SEIU Local 925 Supervisory Collective Bargaining Agreement – UW Ratification** (Agenda no. A-4)

It was the recommendation of the Administration that the Board of Regents approve the Collective Bargaining Agreement between the University of Washington and SEIU Local 925 that covers approximately 428 Supervisory employees on all UW Campuses, UW Medical Center and Harborview Medical Center. In addition, certain provisions of the Agreement will cover regular temporary employees who enter the bargaining unit upon completion of 350 hours of bargaining unit work within a consecutive 12 month period. The duration of the Agreement is from July 1, 2007 through June 30, 2009.

See Attachment A-4.

**SEIU Local 925 Healthcare Professional/Laboratory Technical Collective Bargaining Agreement – UW Ratification** (Agenda no. A-5)

It was the recommendation of the Administration that the Board of Regents approve the Collective Bargaining Agreement between the University of Washington and SEIU Local 925 that covers approximately 862 Healthcare Professional/ Laboratory Technical employees at UW Medical Center. In addition, certain provisions of the Agreement will cover regular temporary employees who enter the bargaining unit upon completion of 350 hours of bargaining unit work within a consecutive 12 month period. The duration of this Agreement is from July 1, 2007 through June 30, 2009.

See Attachment A-5.

**SEIU Local 925 Research Technologist Supervisor Collective Bargaining Agreement – UW Ratification** (Agenda no. A-6)

It was the recommendation of the Administration that the Board of Regents approve the Collective Bargaining Agreement between the University of Washington and SEIU Local 925 that covers approximately 12 Research Technologist Supervisors on all UW Campuses, UW Medical Center and Harborview Medical Center. In addition, certain provisions of the Agreement will cover regular temporary employees who enter the bargaining unit upon completion of 350 hours of bargaining unit work within a consecutive 12 month period. The duration of the Agreement is from July 1, 2007 through June 30, 2009.

See Attachment A-6.

**SEIU Local 925 Research Technologist Collective Bargaining Agreement – UW Ratification** (Agenda no. A-7)

It was the recommendation of the Administration that the Board of Regents approve the Collective Bargaining Agreement between the University of Washington and SEIU Local 925 that covers approximately 263 Research Technologists on all UW Campuses, UW Medical Center and Harborview Medical Center. In addition, certain provisions of the Agreement will cover regular temporary employees who enter the bargaining unit upon completion of 350 hours of bargaining unit work within a consecutive 12 month period. The duration of this Agreement is from July 1, 2007 through June 30, 2009.

See Attachment A-7.

**UW Police Officers Association Collective Bargaining Agreement – UW Ratification** (Agenda no. A-8)

It was the recommendation of the Administration that the Board of Regents approve the Collective Bargaining Agreement between the University of Washington and the UW Police Officers Association that covers approximately 34 employees at the University of Washington. The duration of the Agreement is from July 1, 2007, through June 30, 2009.

See Attachment A-8.

**Washington Federation of State Employees Collective Bargaining Agreement – UW Ratification** (Agenda no. A-9)

It was the recommendation of the Administration that the Board of Regents approve a Master Collective Bargaining Agreement between the University of Washington and the Washington Federation of State Employees (WFSE) that covers approximately 2,716 employees in six bargaining units at the University of Washington, UW Medical Center and Harborview Medical Center. The duration of the Agreement is from July 1, 2007 through June 30, 2009.

See Attachment A-9.

**WFSE Police Management Association Collective Bargaining Agreement – UW Ratification** (Agenda no. A-10)

It was the recommendation of the Administration that the Board of Regents approve the Collective Bargaining Agreement between the University of Washington and Washington Federation of State Employees/Police Management Association that covers approximately 12 employees at the University of Washington. The duration of the Agreement is from July 1, 2007 through June 30, 2009.

See Attachment A-10.

**Washington State Nurses Association Collective Bargaining Agreement – UW Ratification** (Agenda no. A-11)

It was the recommendation of the Administration that the Board of Regents approve the Collective Bargaining Agreement between the University of Washington and Washington State Nurses Association/Registered Nurses that covers approximately 1,207 employees at the University of Washington Medical Center. The duration of the Agreement is from July 1, 2007 through June 30, 2009.

See Attachment A-11.

**Grant and Contract Awards – July, 2006** (Agenda no. F-2)

It was the recommendation of the administration and the Finance, Audit and Facilities Committee that the Board of Regents accept Grant and Contract Awards for the month of July and August, in the total amount of \$64,712,090 and \$135,544,100 respectively.

See Attachment F-2.

**Extension of Appointments of Insurance Brokers of Record** (Agenda no. F-3)

It was the recommendation of the administration and the Finance, Audit and Facilities Committee that the Board of Regents extend the appointments as Insurance Brokers of Record Parker, Smith & Feek, Inc. for property, casualty and miscellaneous insurance policies and Mercer Health and Benefits, LLC for the student health plans, until Dec. 1, 2011, subject to satisfactory performance.

See Attachment F-3.

**Purchase – Angiography Systems** (Agenda no. F-4)

It was the recommendation of the administration and the Finance, Audit and Facilities Committee that the President be delegated authority to execute contracts as appropriate with Philips Medical Systems, Inc. in an amount not to exceed \$7,650,000 plus sales tax, for acquisition of angiography systems for Harborview Medical Center; and to execute

amendments to such contracts as necessary provided the increase is less than 10% of the not to exceed total amount, and such amendments are reported to the Board of Regents no less often than quarterly.

See Attachment F-4.

**UWMC & UWHSC AA/BB/RR Wings Fire Alarm Replacement – Engineer Appointment** (Agenda no. F-6)

It was the recommendation of the administration and the Finance, Audit and Facilities Committee that the President be delegated authority to award a design contract for the University of Washington Medical Center (UWMC) & University of Washington Health Sciences Center (UWHSC) AA/BB/RR Wings Fire Alarm Replacement project to the firm of Sparling, subject to successful negotiation of an engineering agreement.

See Attachment F-6.

**Consolidated Bagley Lab Projects – Establish Budget / Delegate Authority for Contract Award** (Agenda no. F-7)

It was the recommendation of the administration and the Finance, Audit and Facilities Committee that the Consolidated Bagley Lab Projects budget be established at \$9,900,000 and the President be delegated authority to award a construction contract, subject to no significant changes in the scope, the forecast cost being within 10% of the budget and funding being in place.

See Attachment F-7.

**Seattle Campus – SPU Pump Station Generator Serving Foege Building** (Agenda no. F-8)

It was the recommendation of the Finance, Audit and Facilities Committee that the Board of Regents authorize the President to execute an easement granting the City of Seattle, Seattle Public Utilities the right to locate a generator on 289 square feet of University property on Boat Street south of the Marine Studies building, and all related documents which are needed to carry out the purpose of the easement.

See Attachment F-8.

**UW Tacoma Assembly Hall - Project Presentation** (Agenda no. F-9)

It was the recommendation of the administration and the Finance, Audit and Facilities Committee that the President be delegated authority to award construction contracts, subject to no significant change in the scope, the forecast cost being within 10% of the budget and funding being in place.

The design has been submitted to the University for review and approval. This design presentation is for information only.

See Attachment F-9.



**Appointment of External Auditors** (Agenda no. F-11)

It was the recommendation of the administration and the Finance, Audit and Facilities Committee that the Board of Regents appoint:

KPMG as external auditor for the University Financial Report, the University of Washington Medical Center, Harborview Medical Center, UW Intercollegiate Athletics and UW Parking Services for four years ending June 30, 2010, with an option to extend in two year segments, through fiscal year ending, June 30, 2016.

See Attachment F-11.

**STANDING COMMITTEES**

**ACADEMIC AND STUDENT AFFAIRS COMMITTEE:** Regent Barer, Chair

**Academic and Administrative Appointments** (Agenda no. A-1)

At the request of Regent Barer, Provost Wise highlighted appointments where an administrator, professorship, dean or chair was included.

**MOTION:** Upon the recommendation of the administration and the motion made by Regent Proctor, seconded by Regent Jewell, the Board voted to approve the personnel appointments. Regent Faubion abstained from the discussion and vote.

**Husky Promise** (Agenda no. A-15) See Attachment A-15.

Regent Barer stated that under this program all State Need Grant or Pell Grant Eligible Washington state resident undergraduate students at the University of Washington will be guaranteed that their tuition and fees will be covered by a combination of federal, state, and university funds. These funds will be in the form of scholarships or grants with no debt obligation or requirement.

Students must apply for financial aid on-time (by February 28 priority date) and they must be making satisfactory academic progress (at least 36 credits a year, complete degree within 5 years). They must also be residents of the state of Washington working on their first undergraduate degree and maintain full-time status as students.

**MOTION:** Upon the recommendation of the administration and the motion made by Regent Cole, second by Regent Brotman, the Board voted unanimously to approve Husky Promise.

Regent Brotman said Husky Promise is an amazing statement by the University of Washington and it is an historic moment for the University. He said he couldn't be more pleased to be associated with the University and this proposal. This is the culmination of a decision that all students can attend the University of Washington irrespective of their

means. He applauded the Regents and administrator who have worked so hard on this. A round of applause was heard.

**“Students First” Scholarship Matching Initiative** (Agenda no. A-14)

Regent Barer reported earlier in the day the ‘Students First’ initiative was unanimously approved by the Academic and Student Affairs Committee. This new initiative to promote private contributions for need-based student scholarships is to be called “**Students First.**” The program will provide a 1:2 match for endowments created under its auspices. This will be accomplished by augmenting each endowment by 50 percent via University unrealized gains in the institutions Invested Funds pool.

Gift terms for the Students First initiative will be \$100,000 minimum endowment for need-based scholarships, committed between November 1, 2006 and June 30, 2008, with payment in full by June 30, 2013. Once the endowment is fully funded (with minimum gift(s) totaling \$100,000) and invested, the corpus will be matched by the University on a 50% basis. A donor may make an outright gift of \$100,000 or more, or choose to enter into a pledge commitment to be fulfilled over a period of up to five years.

Proceeds from endowments and match will be used for tuition and fees for UW students who qualify for financial aid. A student’s need is determined by the University’s standard process which begins with the student filling-out the Free Application for Federal Student Aid (FAFSA) form.

Donors may establish scholarships for students on all campuses and at all levels. Undergraduate scholarships will be given to freshman/transfer students and renewable, contingent on satisfactory academic progress, through graduation (for a maximum of 4years). Upon completion of an undergraduate degree, if a student chooses to continue at the graduate level or professional school, this same program will be available for graduate and professional students.

**MOTION:** Upon the recommendation of the administration and the motion made by Regent Simon, seconded by Regent Brotman, the Board voted unanimously to approve “Students First” Scholarship Matching Initiative.

Regent Barer make very clear that the programs will be supplement in the coming weeks and months with detailed instructions, qualifications and procedures by which students and donors can participate in these programs.

Regent Proctor remarked that this is a wonderful opportunity for students in Washington State. Students from all over the state whose families may not have the means to pay for tuition and other college expenses have the opportunity to go to school because of the generosity of other people and the University’s ability to match their generosity through unrealized gains. Regent Proctor thanked University staff members for their ingenuity in identifying this resource. She said the program will have long-term implications for our state and that when the life of one person is also changed, it potentially changes the lives of lots of other people.

On behalf of the Board, Regent Kiga thanked the administration for bringing the two proposals forward. He noted there had been rather robust and attenuated discussions about them earlier in the day, which produced a greater, fuller understanding on behalf of all the Regents. He stated that as a University, it sends a strong message and quoted President Emmert's who often says "the University is elite but not elitist."

**FINANCE, AUDIT AND FACILITIES COMMITTEE: Regent Kiga, Chair**

**Report of Contributions - August, 2006** (Agenda no. F-1) (Information only)

Regent Jewell said the committee had a good report on alumni participation in giving programs. In 2000, 14.2% of UW alumni participated in giving programs as compared to 11.4% in other public research and doctoral institutions and nearly 21% for private institutions. In 2004-2005, participation by alumni grew from 14.2% to 18.5%, now exceeding other public institutions that are similar to us by over 8% and exceeding private research and doctoral institutions by .001%. She congratulated Development staff on their great work.

**Actions Taken Under Delegated Authority** (Agenda no. F-5) (Information only)

**Capital Projects Semi Annual Report** (Agenda no. F-10) (Information only)

**UW Medicine Board Financial Update** (Agenda no. F-12) (Information only)

Regent Jewell also reported that the committee had a presentation by Mr. Orin Smith, Chair of the UW Medicine Board, on the performance of the Medical Center. The Board of Regents are the oversight body for UW Medicine, the various hospitals, UWMC, Harborview and the School of Medicine, and UW Physicians. She commented that there is good performance on the part of those organizations and noted they continue to work on improving operations.

**UWINCO Update** (Agenda no. F-13) (Information only)

The committee also had a report on UWINCO, the University of Washington Consolidated Fund. Regent Jewell said the committee is pleased to see the University of Washington ranked #1 in terms of performance at similar institutions. She credited Regent Jeff Brotman, Chair of UWINCO, for convincing a group of very bright individuals to volunteer their time to help provide advice to Keith Ferguson, UW Chief Investment Officer and V'ella Warren, Vice President for Financial Management and her investment staff who have done a wonderful job of exceeding the benchmarks in managing the University's assets.

**Consolidated Endowment Fund** (Agenda no. F-14) (Information only)

**COMMITTEE OF THE WHOLE: Regent Kiga, Cole**

See Report of the Chair of the Board of Regents (above).

## **REPORTS FROM EX OFFICIO REPRESENTATIVES TO THE BOARD OF REGENTS**

### **ASUW President:** Mr. Cullen P. White

Mr. White noted homecoming is Saturday, October 28. He thanked Regent Brotman for donating flatbed Costco carts. The ASUW is hosting the first Husky Homecoming Dawg Float Competition. The carts will be leased out to registered student organizations so they can create miniature homecoming floats. The judging will occur on Friday, October 27. Mr. White announced the ASUW Office of Government Relations in partnership with WashPIRG (Washington Public Interest Research Group) registered 1,900 students to vote. He asked the Board to note on their calendars the upcoming ASUW Pre-Session Reception which will be held on Wednesday, November 29, at 5 p.m., the location to be announced at a later date.

### **GPSS President:** Ms. Kimberly A. Friese

Ms. Friese expressed her appreciation to the Board of Regents and the administration for the "Students First" Scholarship Matching Initiative. She said the graduate and professional student population will look forward to five years from now when they will be eligible for scholarships from this program.

Ms. Friese reported that she has been meeting with Mr. Eric Godfrey, Vice Provost for Student Life, to further the discussion of childcare on campus. Ideas are being developed for a pre-summit, during which a cross section of individuals from across campus will brainstorm a few core ideas for discussion at a larger summit.

### **Alumni Association President:** Mr. Gregg Blodgett

Mr. Blodgett congratulated the Board of Regents for their action on Husky Promise and the "Students First" initiative, noting it is very exciting to the alumni, who no doubt will be a significant contributing group in supporting the scholarships. He reminded the Board the Alumni Association raised almost \$1 million for scholarships over the last five years.

Mr. Blodgett reported the Alumni Association continues to expand its outreach in terms of being involved on all three campuses. The Alumni Association Executive Committee will hold its October meeting on the Bothell campus, and in early 2007, its meeting will be hosted by Regent Herb Simon on the Tacoma campus.

### **Faculty Senate Chair:** Professor Gail Stygall

Professor Stygall reported the Faculty Senate's first meeting of the academic year will be held on Thursday, October 26. She noted there were two items of interest on the agenda. The first involves interdisciplinary research and the second addresses proposal for the future of the SR 520 Evergreen Point Bridge.

Prof. Stygall said she would keep the Board informed throughout the year as issues reach the Faculty Senate agenda.

#### **DATE FOR NEXT MEETING**

The next regular meeting of the Board of Regents will be held on Thursday, November 16, 2006.

#### **ADJOURNMENT**

The regular meeting was adjourned at 4:00 p.m.



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Michele M. Sams  
Secretary of the Board of Regents

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Academic and Administrative Appointments

RECOMMENDED ACTION:

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents approve the appointments to the University faculty and administration as presented on the attached list.

Attachment: Personnel Recommendations



## **RESERVE OFFICERS TRAINING CORPS**

### DEPARTMENT OF NAVAL SCIENCE

#### **NEW APPOINTMENTS**

##### **PESCADOR, MATTHEW**

(BA, 2000, CALIFORNIA STATE UNIVERSITY, NORTHRIDGE) TO BE ASSISTANT PROFESSOR OF NAVAL SCIENCE WITHOUT SALARY FROM THE UNIVERSITY, EFFECTIVE 11/1/2006. (LIEUTENANT PESCADOR IS CURRENTLY AN OFFICER NAVAL AVIATOR IN THE US NAVY.)

## **COLLEGE OF ARCHITECTURE AND URBAN PLANNING**

### DEPARTMENT OF URBAN DESIGN AND PLANNING

#### **NEW APPOINTMENTS**

##### **STEINER, RUTH LORRAINE**

(BA, 1979, LAWRENCE UNIVERSITY; MBA, 1982, UNIVERSITY OF WISCONSIN-MILWAUKEE; MCP, 1988, UNIVERSITY OF CALIFORNIA (BERKELEY); PHD, 1996, UNIVERSITY OF CALIFORNIA (BERKELEY)) TO BE VISITING ASSOCIATE PROFESSOR, PART-TIME, OF URBAN DESIGN AND PLANNING AT A SALARY RATE OF \$22,944 OVER SIX MONTHS, EFFECTIVE 9/16/2006. (DR. STEINER IS AN ASSOCIATE PROFESSOR OF URBAN AND REGIONAL PLANNING AT THE UNIVERISTY OF FLORIDA.)

## **COLLEGE OF ARTS AND SCIENCES**

### DEPARTMENT OF ANTHROPOLOGY

#### **NEW APPOINTMENTS**

##### **WELLAND, SASHA**

(BA, 1991, STANFORD UNIVERSITY; MA, 1998, UNIVERSITY OF CALIFORNIA (SANTA CRUZ); PHD, 2005, UNIVERSITY OF CALIFORNIA (SANTA CRUZ)) TO BE ASSISTANT PROFESSOR OF ANTHROPOLOGY AND ASSISTANT PROFESSOR OF WOMEN STUDIES AT A SALARY RATE OF \$58,005 OVER NINE MONTHS, EFFECTIVE 9/16/2006. (PRIOR TO THIS APPOINTMENT, DR. WELLAND WAS A LECTURER IN THE SAME DEPARTMENTS.)

### DEPARTMENT OF BIOLOGY

#### **NEW APPOINTMENTS**

##### **DI STILIO, VERONICA**

(BA, 1990, UNIVERSITY OF BUENOS AIRES (ARGENTINA); PHD, 1998, UNIVERSITY OF MASSACHUSETTS) TO BE ASSISTANT PROFESSOR OF BIOLOGY AT A SALARY RATE OF \$65,007 OVER NINE MONTHS, EFFECTIVE 9/16/2006. (PRIOR TO THIS APPOINTMENT, DR. DI STILIO WAS AN ACTING ASSISTANT PROFESSOR TEMPORARY IN THE SAME DEPARTMENT.)

### DEPARTMENT OF HISTORY

#### **ENDOWED APPOINTMENTS**

##### **JONAS, RAYMOND ANTHONY**

(BA, 1976, UNIVERSITY OF CALIFORNIA (DAVIS); MA, 1980, UNIVERSITY OF CALIFORNIA (DAVIS); PHD, 1985, UNIVERSITY OF CALIFORNIA (BERKELEY)) TO BE HOLDER OF THE GIOVANNI AND AMNE COSTIGAN ENDOWED PROFESSORSHIP IN HISTORY OVER NINE MONTHS, EFFECTIVE 9/1/2006. (PROFESSOR JONAS WILL CONTINUE AS PROFESSOR OF HISTORY AND ADJUNCT PROFESSOR OF FRENCH AND ITALIAN STUDIES.)



JACKSON SCHOOL OF INTERNATIONAL STUDIES

**NEW APPOINTMENTS**

**LATSCH, WOLFRAM**

(BA, 1990, UNIVERSITY OF SUSSEX (UK); MSc, 1991, UNIVERSITY OF OXFORD (UK); DPhil, 2000, UNIVERSITY OF OXFORD (UK)) TO BE ASSISTANT PROFESSOR OF INTERNATIONAL STUDIES AT A SALARY RATE OF \$59,004 OVER NINE MONTHS, EFFECTIVE 9/16/2006. (PRIOR TO THIS APPOINTMENT, DR. LATSCH WAS A LECTURER IN THE SAME DEPARTMENT.)

DEPARTMENT OF NEAR EAST LANGUAGES AND CIVILIZATION

**NEW APPOINTMENTS**

**BROWN, JONATHAN**

(BA, 2000, GEORGETOWN UNIVERSITY; PHD, 2006, UNIVERSITY OF CHICAGO) TO BE ASSISTANT PROFESSOR OF NEAR EAST LANGUAGES AND CIVILIZATION AT A SALARY RATE OF \$55,008 OVER NINE MONTHS, EFFECTIVE 9/16/2006. (PHD AWARDED AUGUST 2006 FROM THE UNIVERSITY OF CHICAGO.)

DEPARTMENT OF PSYCHOLOGY

**ENDOWED APPOINTMENTS**

**BEAUCHAINE, THEODORE PATRICK**

(BS, 1993, PORTLAND STATE UNIVERSITY; MA, 1997, STATE UNIVERSITY OF NEW YORK (STONY BROOK); PHD, 2000, STATE UNIV OF NEW YORK (STONY BROOK)) TO BE HOLDER OF THE ROBERT BOLLES AND YASUKO ENDO ENDOWED ARTS AND SCIENCES FUND ENDOWED PROFESSORSHIP OVER NINE MONTHS, EFFECTIVE 7/1/2006. (PROFESSOR BEAUCHAINE WILL CONTINUE AS ASSOCIATE PROFESSOR OF PSYCHOLOGY.)

SCHOOL OF DRAMA

**NEW APPOINTMENTS**

**TROUT, DEBORAH**

(BA, 1979, UNIVERSITY OF COLORADO (BOULDER); MFA, 1994, YALE UNIVERSITY) TO BE SENIOR LECTURER OF DRAMA AT A SALARY RATE OF \$53,118 OVER NINE MONTHS, EFFECTIVE 9/16/2006.

SCHOOL OF MUSIC

**ENDOWED APPOINTMENTS**

**SHEPPARD, CRAIG DOUGLASS**

(BSC, 1970, JUILLIARD SCHOOL; MSc, 1971, JUILLIARD SCHOOL) TO BE HOLDER OF THE DONALD E. PETERSEN ENDOWMENT FOR EXCELLENCE ENDOWED PROFESSORSHIP OVER NINE MONTHS, EFFECTIVE 9/16/2006. (PROFESSOR SHEPPARD WILL CONTINUE AS PROFESSOR OF MUSIC.)

**COLLEGE OF ENGINEERING**

DEPARTMENT OF CIVIL AND ENVIRONMENTAL ENGINEERING

**ENDOWED APPOINTMENTS**

**BRETT, MICHAEL THOMAS**

(BSC, 1983, HUMBOLDT STATE UNIVERSITY; MSc, 1985, UNIVERSITY OF MAINE; PHD, 1990, ) TO BE HOLDER OF THE JOHN R. KIELY ENDOWED PROFESSORSHIP IN CIVIL ENGINEERING OVER NINE MONTHS, EFFECTIVE 9/16/2006. (PROFESSOR BRETT WILL CONTINUE AS ASSOCIATE PROFESSOR OF CIVIL AND ENVIRONMENTAL ENGINEERING.)

**LARSON, TIMOTHY**

(BS, 1968, LEHIGH UNIVERSITY; MS, 1972, UNIVERSITY OF WASHINGTON; PHD, 1976, UNIVERSITY OF WASHINGTON) TO BE HOLDER OF THE ALLAN AND INGER OSBERG ENDOWED PROFESSORSHIP IN CIVIL ENGINEERING OVER NINE MONTHS, EFFECTIVE 9/16/2006. (PROFESSOR LARSON WILL CONTINUE AS PROFESSOR OF CIVIL AND ENVIRONMENTAL ENGINEERING AND ADJUNCT PROFESSOR OF ENVIRONMENTAL AND OCCUPATIONAL HEALTH SERVICES.)

**LEHMAN, DAWN ELLEN**

(BS, 1989, TUFTS UNIVERSITY; MEng, 1992, UNIVERSITY OF CALIFORNIA (BERKELEY); PHD, 1998, UNIVERSITY OF CALIFORNIA (BERKELEY)) TO BE HOLDER OF THE JOHN R. KIELY ENDOWED PROFESSORSHIP IN CIVIL ENGINEERING OVER NINE MONTHS, EFFECTIVE 9/16/2006. (PROFESSOR LEHMAN WILL CONTINUE AS ASSISTANT PROFESSOR OF CIVIL AND ENVIRONMENTAL ENGINEERING.)

**ROEDER, CHARLES WILLIAM**

(BS, 1969, UNIVERSITY OF COLORADO (BOULDER); MS, 1971, UNIVERSITY OF ILLINOIS; PHD, 1977, UNIVERSITY OF CALIFORNIA (BERKELEY)) TO BE HOLDER OF THE ALLAN AND INGER OSBERG ENDOWED PROFESSORSHIP IN CIVIL ENGINEERING OVER NINE MONTHS, EFFECTIVE 9/16/2006. (PROFESSOR ROEDER WILL CONTINUE AS PROFESSOR OF CIVIL AND ENVIRONMENTAL ENGINEERING.)

**WANG, YINHAI**

(BSCE, 1989, TSINGHUA UNIVERSITY (CHINA); MSCE, 1991, TSINGHUA UNIVERSITY (CHINA); PHD, 1998, UNIVERSITY OF TOKYO (JAPAN)) TO BE HOLDER OF THE THOMAS AND MARILYN NIELSON ENDOWED FUND IN ENGINEERING ENDOWED PROFESSORSHIP OVER NINE MONTHS, EFFECTIVE 9/16/2006. (PROFESSOR WANG WILL CONTINUE AS ASSISTANT PROFESSOR OF CIVIL AND ENVIRONMENTAL ENGINEERING.)

**SCHOOL OF DENTISTRY**

DEPARTMENT OF ORAL BIOLOGY

**NEW APPOINTMENTS**

**PRESLAND, RICHARD BRIAN**

(BS, 1979, UNIVERSITY OF OTAGO (NEW ZEALAND); MS, 1982, UNIVERSITY OF OTAGO (NEW ZEALAND); PHD, 1987, UNIVERSITY OF ADELAIDE (AUSTRALIA)) TO BE ASSOCIATE PROFESSOR OF ORAL BIOLOGY AT A SALARY RATE OF \$90,000 OVER TWELVE MONTHS, EFFECTIVE 9/1/2006. (PRIOR TO THIS APPOINTMENT, DR. PRESLAND WAS A RESEARCH ASSOCIATE PROFESSOR IN THE SAME DEPARTMENT.)

**SCHOOL OF MEDICINE**

DEPARTMENT OF MEDICINE

**ENDOWED APPOINTMENTS**

**ABKOWITZ, JANIS**

(BA, 1972, HARVARD UNIVERSITY; MD, 1977, HARVARD UNIVERSITY) TO BE HOLDER OF THE CLEMENT A. FINCH ENDOWED PROFESSORSHIP IN HEMATOLOGY, EFFECTIVE 9/1/2006. (DR. ABKOWITZ WILL CONTINUE AS PROFESSOR WITHOUT TENURE OF MEDICINE AND ADJUNCT PROFESSOR OF GENOME SCIENCES.)

**NEW APPOINTMENTS**

**CHIN, MICHAEL THOMAS**

(BA, 1983, PRINCETON UNIVERSITY; PHD, 1988, UNIVERSITY OF ROCHESTER; MD, 1991, UNIVERSITY OF ROCHESTER) TO BE ASSOCIATE PROFESSOR WITHOUT TENURE OF MEDICINE AT A SALARY RATE OF \$193,500 OVER TWELVE MONTHS, EFFECTIVE 9/1/2006. (PRIOR TO THIS APPOINTMENT, DR. CHIN WAS AN ASSISTANT PROFESSOR OF MEDICINE AT HARVARD UNIVERSITY.)

**FIX, OREN**

(BS, 1995, CORNELL UNIVERSITY; MD, 1999, STATE UNIVERSITY OF NEW YORK (BUFFALO); MS, 2005, BOSTON UNIVERSITY) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF MEDICINE AT A SALARY RATE OF \$160,008 OVER TWELVE MONTHS, EFFECTIVE 9/6/2006. (PRIOR TO THIS APPOINTMENT, DR. FIX WAS AN INSTRUCTOR IN MEDICINE AT THE UNIVERSITY OF CALIFORNIA, SAN FRANCISCO.)

DEPARTMENT OF OBSTETRICS AND GYNECOLOGY

**NEW APPOINTMENTS**

**CHIANG, SEINE**

(BA, 1986, WHITMAN COLLEGE; MD, 1990, OREGON HEALTH SCIENCES UNIVERSITY) TO BE ASSOCIATE PROFESSOR WITHOUT TENURE OF OBSTETRICS AND GYNECOLOGY AT A SALARY RATE OF \$90,000 OVER TWELVE MONTHS, EFFECTIVE 9/1/2006. (PRIOR TO THIS APPOINTMENT, DR. CHIANG WAS AN ASSOCIATE PROFESSOR OF OBSTETRICS AND GYNECOLOGY AT THE UNIVERSITY OF ALABAMA, BIRMINGHAM.)

DEPARTMENT OF OPHTHALMOLOGY

**NEW APPOINTMENTS**

**TOWER, ROBERT NEILL**

(BA, 1995, BAYLOR UNIVERSITY; MD, 1999, UNIVERSITY OF TEXAS (SOUTHWESTERN)) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF OPHTHALMOLOGY AT A SALARY RATE OF \$70,008 OVER TWELVE MONTHS, EFFECTIVE 9/18/2006. (PRIOR TO THIS APPOINTMENT, DR. TOWER WAS IN PRIVATE PRACTICE.)

**SCHOOL OF MEDICINE**

DEPARTMENT OF ORTHOPAEDICS AND SPORTS MEDICINE

**NEW APPOINTMENTS**

**WEISSTEIN, JASON S.**

(BA, 1991, UNIVERSITY OF PENNSYLVANIA; MPH, 1994, COLUMBIA UNIVERSITY; MD, 1998, MT SINAI SCHOOL OF MEDICINE) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF ORTHOPAEDICS AND SPORTS MEDICINE AT A SALARY RATE OF \$65,628 OVER TWELVE MONTHS, EFFECTIVE 9/1/2006. (PRIOR TO THIS APPOINTMENT, DR. WEISSTEIN WAS A DIRECTOR OF ORTHOPAEDIC ONCOLOGY AT THE TUCSON ORTHOPAEDIC INSTITUTE.)

DEPARTMENT OF PEDIATRICS

**NEW APPOINTMENTS**

**WARGULA, JENNIFER**

(BA, 1990, UNIVERSITY OF PENNSYLVANIA; MD, 1994, STATE UNIVERSITY OF NEW YORK (BUFFALO); MS, 2001, UNIVERSITY OF CINCINNATI) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF PEDIATRICS AT A SALARY RATE OF \$69,000 OVER TWELVE MONTHS, EFFECTIVE 10/1/2006. (PRIOR TO THIS APPOINTMENT, DR. WARGULA WAS A DIRECTOR OF RHEUMATOLOGY AT THE SHRINERS HOSPITAL FOR CHILDREN.)

DEPARTMENT OF RADIOLOGY

**NEW APPOINTMENTS**

**HOFFER, FREDRIC A.**

(BA, 1971, ST. OLAF COLLEGE; MD, 1975, UNIVERSITY OF IOWA) TO BE PROFESSOR WITHOUT TENURE OF RADIOLOGY PAID DIRECT BY CHILDREN'S HOSPITAL AND REGIONAL MEDICAL CENTER EFFECTIVE 9/1/2006. (PRIOR TO THIS APPOINTMENT, DR. HOFFER WAS A PROFESSOR OF PEDIATRIC RADIOLOGY AT THE UNIVERSITY OF TENNESSEE.)

DEPARTMENT OF SURGERY

**NEW APPOINTMENTS**

**KEAGLE, JENNIFER NEWMAN**

(BA, 1992, NORTHWESTERN UNIVERSITY; MD, 1996, NORTHWESTERN UNIVERSITY) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF SURGERY PAID DIRECT BY CHILDREN'S HOSPITAL AND REGIONAL MEDICAL CENTER EFFECTIVE 9/15/2006. (PRIOR TO THIS APPOINTMENT, DR. KEAGLE WAS A FELLOW AT THE UNIVERSITY OF CALIFORNIA, LOS ANGELES.)

DEPARTMENT OF UROLOGY

**NEW APPOINTMENTS**

**CHITALEY, KANCHAN**

(BA, 1997, MIAMI UNIVERSITY (OHIO); PHD, 2002, UNIVERSITY OF MICHIGAN) TO BE RESEARCH ASSISTANT PROFESSOR OF UROLOGY AT A SALARY RATE OF \$72,000 OVER TWELVE MONTHS, EFFECTIVE 10/1/2006. (PRIOR TO THIS APPOINTMENT, DR. CHITALEY WAS AN ACTING ASSISTANT PROFESSOR-TEMPORARY IN THE SAME DEPARTMENT.)

**SCHOOL OF PHARMACY**

DEPARTMENT OF MEDICINAL CHEMISTRY

**NEW APPOINTMENTS**

**TOTAH, RHEEM**

(BSC, 1995, BIRZEIT UNIVERSITY (PALESTINE); MSc, 2000, UNIVERSITY OF KANSAS; PHD, 2002, UNIVERSITY OF KANSAS) TO BE ASSISTANT PROFESSOR OF MEDICINAL CHEMISTRY AT A SALARY RATE OF \$85,008 OVER TWELVE MONTHS, EFFECTIVE 9/27/2006. (PRIOR TO THIS APPOINTMENT, DR. TOTAH WAS AN ACTING ASSISTANT PROFESSOR-TEMPORARY IN THE SAME DEPARTMENT.)

**SCHOOL OF PUBLIC HEALTH AND COMMUNITY MEDICINE**

DEPARTMENT OF PATHOBIOLOGY

**ADMINISTRATIVE APPOINTMENTS**

**CONNELL, FREDERICK**

(BA, 1968, HARVARD UNIVERSITY; MD, 1972, NEW YORK UNIVERSITY; MPH, 1978, UNIVERSITY OF WASHINGTON) TO BE ACTING CHAIR OF PATHOBIOLOGY, EFFECTIVE 8/1/2006. (DR. CONNELL WILL CONTINUE AS PROFESSOR OF HEALTH SERVICES AND ADJUNCT PROFESSOR OF PEDIATRICS AND OF EPIDEMIOLOGY AND ASSOCIATE DEAN FOR ACADEMIC AFFAIRS IN THE SCHOOL OF PUBLIC HEALTH AND COMMUNITY MEDICINE.)

**UNIVERSITY OF WASHINGTON, TACOMA**

MILGARD SCHOOL OF BUSINESS

**NEW APPOINTMENTS**

**LENG, FEI**

(BA, 1997, DONGBEI UNIVERSITY (CHINA); MA, 2001, UNIV OF INTERNATIONAL BUS & ECON(CHINA); MA, 2004, UNIVERSITY OF TENNESSEE; PHD, 2006, UNIVERSITY OF TENNESSEE) TO BE ASSISTANT PROFESSOR OF BUSINESS AT A SALARY RATE OF \$108,000 OVER NINE MONTHS, EFFECTIVE 9/16/2006. (PHD AWARDED MAY 2006.)

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Inlandboatmen's Union of the Pacific  
Collective Bargaining Agreement – UW Ratification

RECOMMENDED ACTION:

It is the recommendation of the Administration that the Board of Regents approves Collective Bargaining Agreement between the University of Washington and the Inlandboatmen's Union of the Pacific (IBU) that covers approximately 15 employees on the Thomas G. Thompson Research Vessel. The duration of the Agreement is from July 1, 2007 through June 30, 2009.

BACKGROUND:

This is a successor Agreement for this bargaining unit negotiated under the Personnel System Reform Act of 2002.

Following is a summary of the critical elements of the Agreement:

Efficiency:

- Contemporary references to State Law in the contract

Compensations:

July 1, 2007

- 3.2 percent across-the-board compensation increase
- Continuation of a 1.6 percent across-the-board compensation increase from the current 2005-2007 contract and payment of a \$756 lump sum (as required by State Healthcare Coalition grievance settlement)

July 1, 2008

- 2.0 percent across-the-board compensation increase
- Addition of new top step on the salary range

## VII. STANDING COMMITTEES

## A. Academic and Student Affairs Committee

SEIU Local 925 Nonsupervisory  
Collective Bargaining Agreement – UW RatificationRECOMMENDED ACTION:

It is the recommendation of the Administration that the Board of Regents approve the Collective Bargaining Agreement between the University of Washington and SEIU Local 925 that covers approximately 4,588 Nonsupervisory employees on all UW Campuses, UW Medical Center and Harborview Medical Center. In addition, certain provisions of the Agreement will cover regular temporary employees who enter the bargaining unit upon completion of 350 hours of bargaining unit work within a consecutive 12 month period. The duration of the Agreement is from July 1, 2007 through June 30, 2009.

BACKGROUND:

This is a successor Agreement for this bargaining unit negotiated under the Personnel System Reform Act of 2002.

Following is a summary of the critical elements of the Agreement:

Efficiencies:

- Expedited review process for future professional staff exemptions
- Withdrawal of the Union's challenge to approximately 70 professional staff exemptions (July 1, 2005 – August 16, 2006)

Compensations:

## July 1, 2007

- 3.2 percent across-the-board compensation increase
- Continuation of a 1.6 percent across-the-board compensation increase from the current 2005-2007 contract and payment of a \$756 lump sum (as required by the State Healthcare Coalition grievance settlement)
- Market adjustments for classifications below 80 percent of market

## July 1, 2008

- 3.0 percent across-the-board compensation increase
- There was no extra salary step provided in this Agreement

## VII. STANDING COMMITTEES

## A. Academic and Student Affairs Committee

SEIU Local 925 Supervisory  
Collective Bargaining Agreement – UW RatificationRECOMMENDED ACTION:

It is the recommendation of the Administration that the Board of Regents approve the Collective Bargaining Agreement between the University of Washington and SEIU Local 925 that covers approximately 428 Supervisory employees on all UW Campuses, UW Medical Center and Harborview Medical Center. In addition, certain provisions of the Agreement will cover regular temporary employees who enter the bargaining unit upon completion of 350 hours of bargaining unit work within a consecutive 12 month period. The duration of the Agreement is from July 1, 2007 through June 30, 2009.

BACKGROUND:

This is a successor Agreement for this bargaining unit negotiated under the Personnel System Reform Act of 2002.

Following is a summary of the critical elements of the Agreement:

Efficiencies:

- Expedited review process for future professional staff exemptions
- Withdrawal of the Union's challenge to approximately 70 professional staff exemptions (July 1, 2005 – August 16, 2006)

Compensations:

## July 1, 2007

- 3.2 percent across-the-board compensation increase
- Continuation of a 1.6 percent across-the-board compensation increase from the current 2005-2007 contract and payment of a \$756 lump sum (as required by the State Healthcare Coalition grievance settlement)
- Market adjustments for classifications below 80 percent of market

## July 1, 2008

- 3.0 percent across-the-board compensation increase
- There was no extra salary step provided in this Agreement

## VII. STANDING COMMITTEES

### A. Academic and Student Affairs Committee

#### SEIU Local 925 Healthcare Professional/Laboratory Technical Collective Bargaining Agreement – UW Ratification

##### RECOMMENDED ACTION:

It is the recommendation of the Administration that the Board of Regents approve the Collective Bargaining Agreement between the University of Washington and SEIU Local 925 that covers approximately 862 Healthcare Professional/ Laboratory Technical employees at UW Medical Center. In addition, certain provisions of the Agreement will cover regular temporary employees who enter the bargaining unit upon completion of 350 hours of bargaining unit work within a consecutive 12 month period. The duration of this Agreement is from July 1, 2007 through June 30, 2009.

##### BACKGROUND:

This is a successor Agreement for this bargaining unit negotiated under the Personnel System Reform Act of 2002.

Following is a summary of the critical elements of the Agreement:

##### Efficiencies:

- Expedited review process for future professional staff exemptions
- Withdrawal of the Union's challenge to approximately 70 professional staff exemptions (July 1, 2005 – August 16, 2006)

##### Compensations:

###### July 1, 2007

- 3.2 percent across-the-board compensation increase
- Continuation of a 1.6 percent across-the-board compensation increase from the current 2005-2007 contract and payment of a \$756 lump sum (as required by the State Healthcare Coalition grievance settlement)
- Market adjustments for classifications below 80 percent of market
- Increase in Advance Certification/Registration pay to 50 cents per hour

###### July 1, 2008

- 3.0 percent across-the-board compensation increase
- There was no extra salary step provided in this Agreement



## VII. STANDING COMMITTEES

## A. Academic and Student Affairs Committee

SEIU Local 925 Research Technologist Supervisor  
Collective Bargaining Agreement – UW RatificationRECOMMENDED ACTION:

It is the recommendation of the Administration that the Board of Regents approve the Collective Bargaining Agreement between the University of Washington and SEIU Local 925 that covers approximately 12 Research Technologist Supervisors on all UW Campuses, UW Medical Center and Harborview Medical Center. In addition, certain provisions of the Agreement will cover regular temporary employees who enter the bargaining unit upon completion of 350 hours of bargaining unit work within a consecutive 12 month period. The duration of the Agreement is from July 1, 2007 through June 30, 2009.

BACKGROUND:

This is a successor Agreement for this bargaining unit negotiated under the Personnel System Reform Act of 2002.

Following is a summary of the critical elements of the Agreement:

Efficiencies:

- Expedited review process for future professional staff exemptions
- Withdrawal of the Union's challenge to approximately 70 professional staff exemptions (July 1, 2005 – August 16, 2006)

Compensations:

## July 1, 2007

- 3.2 percent across-the-board compensation increase
- Continuation of a 1.6 percent across-the-board compensation increase from the current 2005-2007 contract and payment of a \$756 lump sum (as required by the State Healthcare Coalition grievance settlement)

## July 1, 2008

- 3.0 percent across-the-board compensation increase
- There was no extra salary step provided in this Agreement

## VII. STANDING COMMITTEES

### A. Academic and Student Affairs Committee

#### SEIU Local 925 Research Technologist Collective Bargaining Agreement – UW Ratification

#### RECOMMENDED ACTION:

It is the recommendation of the Administration that the Board of Regents approve the Collective Bargaining Agreement between the University of Washington and SEIU Local 925 that covers approximately 263 Research Technologists on all UW Campuses, UW Medical Center and Harborview Medical Center. In addition, certain provisions of the Agreement will cover regular temporary employees who enter the bargaining unit upon completion of 350 hours of bargaining unit work within a consecutive 12 month period. The duration of this Agreement is from July 1, 2007 through June 30, 2009.

#### BACKGROUND:

This is a successor Agreement for this bargaining unit negotiated under the Personnel System Reform Act of 2002.

Following is a summary of the critical elements of the Agreement:

#### Efficiencies:

- Expedited review process for future professional staff exemptions
- Withdrawal of the Union's challenge to approximately 70 professional staff exemptions (July 1, 2005 – August 16, 2006)

#### Compensations:

##### July 1, 2007

- 3.2 percent across-the-board compensation increase
- Continuation of a temporary 1.6 percent across-the-board compensation increase from the current 2005-2007 contract and payment of a \$756 lump sum (as required by the State Healthcare Coalition grievance settlement)

##### July 1, 2008

- 3.0 percent across-the-board compensation increase
- There was no extra salary step provided in this Agreement

## VII. STANDING COMMITTEES

## A. Academic and Student Affairs Committee

UW Police Officers Association  
Collective Bargaining Agreement – UW RatificationRECOMMENDED ACTION:

It is the recommendation of the Administration that the Board of Regents approve the Collective Bargaining Agreement between the University of Washington and the UW Police Officers Association that covers approximately 34 employees at the University of Washington. The duration of the Agreement is from July 1, 2007, through June 30, 2009.

BACKGROUND:

This is a successor Agreement for this bargaining unit negotiated under the Personnel System Reform Act of 2002.

Following is a summary of the critical elements of the Agreement:

Efficiency:

- Strengthened management rights language and indemnification language regarding dues deductions

Compensations:

## July 1, 2007

- 3.2 percent across-the-board compensation increase
- Continuation of a 1.6 percent across-the-board compensation increase from the current 2005-2007 contract and payment of a \$756 lump sum (as required by State Healthcare Coalition grievance settlement)
- Additional one percent for longevity pay at 25 years (to 5 percent)
- Enhanced vacation schedule (SEIU Local 925 schedule)
- Accelerated increment step on salary range for recruitment and retention purposes

## July 1, 2008

- 2.0 percent across-the-board compensation increase
- Addition of new top step on the salary range

## VII. STANDING COMMITTEES

## A. Academic and Student Affairs Committee

Washington Federation of State Employees  
Collective Bargaining Agreement – UW RatificationRECOMMENDED ACTION:

It is the recommendation of the Administration that the Board of Regents approve a Master Collective Bargaining Agreement between the University of Washington and the Washington Federation of State Employees (WFSE) that covers approximately 2,716 employees in six bargaining units at the University of Washington, UW Medical Center and Harborview Medical Center. The duration of the Agreement is from July 1, 2007 through June 30, 2009.

BACKGROUND:

This is a successor Agreement negotiated for these bargaining units under the Personnel System Reform Act of 2002.

Following is a summary of the critical elements of the Master Agreement:

Efficiency:

- Ability to subcontract in accordance with the provisions of RCW 41.06.142

Compensations:

## July 1, 2007

- 3.2 percent across-the-board compensation increase
- Continuation of a 1.6 percent across-the-board compensation increase from the current 2005-2007 contract and payment of a \$756 lump sum (as required by State Healthcare Coalition grievance settlement)
- Market adjustment for classifications below 80 percent of market
- Increase in evening and night shift differential for custodians to 65 cents per hour
- Recruitment/Retention adjustment for Refrigeration Mechanics, Control Technician, Electricians, and Facilities Maintenance Operations Specialists
- Enhanced vacation schedule (SEIU Local 925 schedule)

## July 1, 2008

- 2.0 percent across-the-board compensation increase
- Addition of new top step on the salary range

## VII. STANDING COMMITTEES

## A. Academic and Student Affairs Committee

WFSE Police Management Association  
Collective Bargaining Agreement – UW RatificationRECOMMENDED ACTION:

It is the recommendation of the Administration that the Board of Regents approve the Collective Bargaining Agreement between the University of Washington and Washington Federation of State Employees/Police Management Association that covers approximately 12 employees at the University of Washington. The duration of the Agreement is from July 1, 2007 through June 30, 2009.

BACKGROUND:

This is a successor Agreement for this bargaining unit negotiated under the Personnel System Reform Act of 2002.

Following is a summary of the critical elements of the Agreement:

Efficiencies:

- Strengthened management rights language and indemnification language regarding dues deductions
- Elimination of Police Disability Supplement for new promotions after July 1, 2005

Compensations:

## July 1, 2007

- 3.2 percent across-the-board compensation increase
- Payment of a \$756 lump sum (as required by State Healthcare Coalition grievance settlement)
- Longevity pay beginning at year 5 through year 25
- Enhanced vacation schedule (SEIU Local 925 schedule)

## July 1, 2008

- 2.0 percent across-the-board compensation increase
- Addition of a new top step on the salary range

## VII. STANDING COMMITTEES

## A. Academic and Student Affairs Committee

Washington State Nurses Association  
Collective Bargaining Agreement – UW RatificationRECOMMENDED ACTION:

It is the recommendation of the Administration that the Board of Regents approve the Collective Bargaining Agreement between the University of Washington and Washington State Nurses Association/Registered Nurses that covers approximately 1,207 employees at the University of Washington Medical Center. The duration of the Agreement is from July 1, 2007 through June 30, 2009.

BACKGROUND:

This is a successor Agreement for this bargaining unit negotiated under the Personnel System Reform Act of 2002.

Following is a summary of the critical elements of the Agreement:

Efficiencies:

- Balanced pay rates between RN 2 and RN 3 to guarantee consistent promotional increases
- Maintained all management rights and clarified the layoff process

Compensations:

## January 1, 2007

- 2 percent across-the-board increase (this is in addition to the 2 percent across-the-board increase negotiated in the previous contract also to be effective on January 1, 2007)

## July 1, 2007

- 2 percent across-the-board increase to the RN 2 compensation scale, RN 3 scale becomes 8 percent higher at each corresponding step
- New step at 24 years
- Payment of a \$756 lump sum (as required by State Healthcare Coalition grievance settlement)

## January 1, 2008

- 2 percent across-the-board increase to the RN 2 compensation scale, RN 3 scale retains 8 percent differential

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Washington State Nurses Association

Collective Bargaining Agreement – UW Ratification (continued p. 2)

July 1, 2008

- 2 percent across-the-board increase to the RN 2 compensation scale, RN 3 scale retains 8 percent differential

June 16, 2009 (final pay period of contract cycle)

- New 26 year step

Premium Pay Increases:

July 1, 2007

- Evening Differential \$2.50 per hour (\$.25 increase)
- Night Differential \$4.25 per hour (\$.25 increase)
- Charge Nurse \$2.00 per (\$.50 increase)
- Standby \$4.00 per hour (\$.25 increase)
- STAT Nurse \$4.00 per hour (\$1.00 increase)

July 2, 2008

- Charge Nurse \$2.25 per hour (\$.25 increase)

VII. STANDING COMMITTEES

A. Academic & Student Affairs Committee

North of 45<sup>th</sup> Work Group Final Recommendations

Due to time constraints, this information item was postponed until the November meeting.



VII. STANDING COMMITTEE

A. Academic and Student Affairs Committee

The Learning Initiative

Due to time constraints, this item was postponed until a later date.

## VII. STANDING COMMITTEES

## A. Academic and Student Affairs Committee

“Students First” Scholarship Matching Initiative

## RECOMMENDED ACTION:

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents approve a new initiative to promote private contributions for need-based student scholarships to be called “**Students First.**” The program will provide a 1:2 match for endowments created under its auspices. This will be accomplished by augmenting each endowment by 50 percent via University unrealized gains in the institutions Invested Funds pool.

## BACKGROUND:

The UW has an unwavering commitment to access and believes that expense should not prevent a student who has been admitted from receiving a UW education. More than ever, private scholarships are essential for students who come from lower and middle-income families. The purpose of the Students First Scholarship Matching Initiative is to be a catalyst to encourage significant new donor contributions for this vital purpose.

Gift terms for the Students First initiative will be \$100,000 minimum endowment for need-based scholarships, committed between November 1, 2006 and June 30, 2008, with payment in full by June 30, 2013. Once the endowment is fully funded (with minimum gift(s) totaling \$100,000) and invested, the corpus will be matched by the University on a 50% basis. A donor may make an outright gift of \$100,000 or more, or choose to enter into a pledge commitment to be fulfilled over a period of up to five years.

Proceeds from endowments and match will be used for tuition and fees for UW students who qualify for financial aid. A student’s need is determined by the university’s standard process which begins with the student filling-out the Free Application for Federal Student Aid (FAFSA) form.

Donors may establish scholarships for students on all campuses and at all levels. Undergraduate scholarships will be given to freshman/transfer students and renewable, contingent on satisfactory academic progress, through graduation (for a maximum of 4-years).

## VII. STANDING COMMITTEES

## A. Academic and Student Affairs Committee

Husky Promise

## RECOMMENDED ACTION:

It is the recommendation of the administration that the Board of Regents approve a new financial aid program called the “Husky Promise.” Under this program all State Need Grant or Pell Grant Eligible Washington state resident undergraduate students at the University of Washington will be guaranteed that their tuition and fees will be covered by a combination of federal, state, and university funds. These funds will be in the form of scholarships or grants with no debt obligation or requirement.

Students must apply for financial aid on-time (by February 28 priority date) and they must be making satisfactory academic progress (at least 36 credits a year, complete degree within 5 years). They must also be residents of the State of Washington working on their first undergraduate degree and maintain full-time status as students.

## BACKGROUND:

The “Husky Promise” is a public-private partnership, made possible by combining federal and state funds, with university funds generated through tuition and private donations, to meet the tuition and fee costs for our low to lower middle income students. The income cut-off for the SNG is 65% of the median family income in the State of Washington. In 2006-2007, this was \$46,500 for a family of four. Based on 2006 figures, we expect the program to cover approximately 5,200 students each year in our Seattle, Tacoma, and Bothell campuses.

Specifics of the plan will be re-evaluated on a biennial basis if there have been substantial changes to the Pell or SNG program. Our guarantee is tied to present criteria for eligibility (e.g. the 65% MFI mark).

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

**UW**

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**Report of Contributions**

University of Washington  
University of Washington Foundation

August, 2006

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NOTES AS OF AUGUST 31, 2006

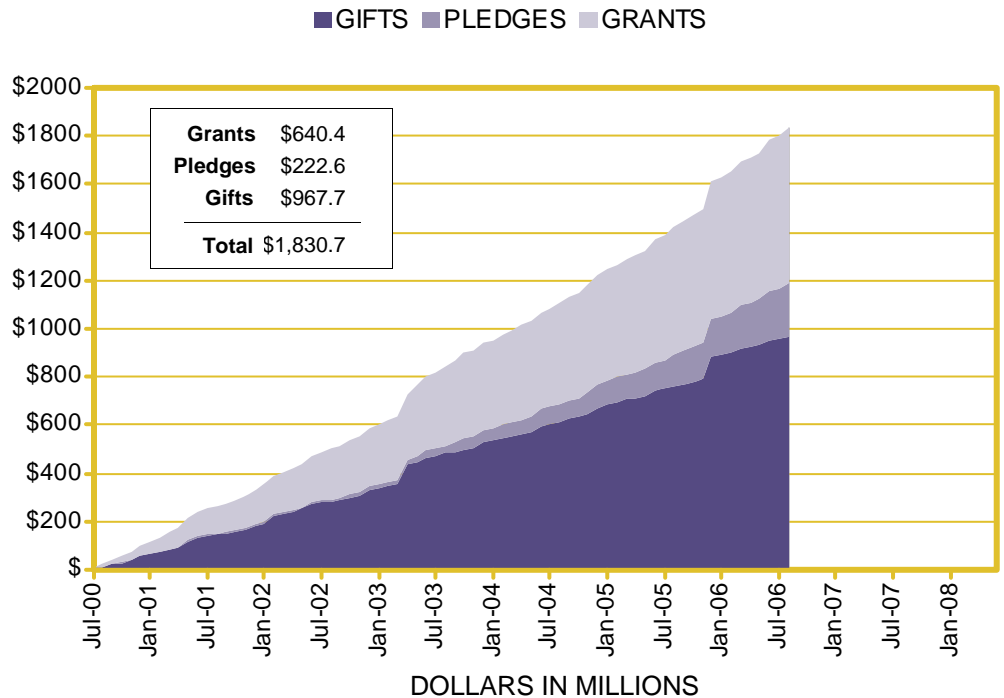
DATA POINTS

\$1,830,720,074 has been raised toward our campaign goal of \$2 billion.

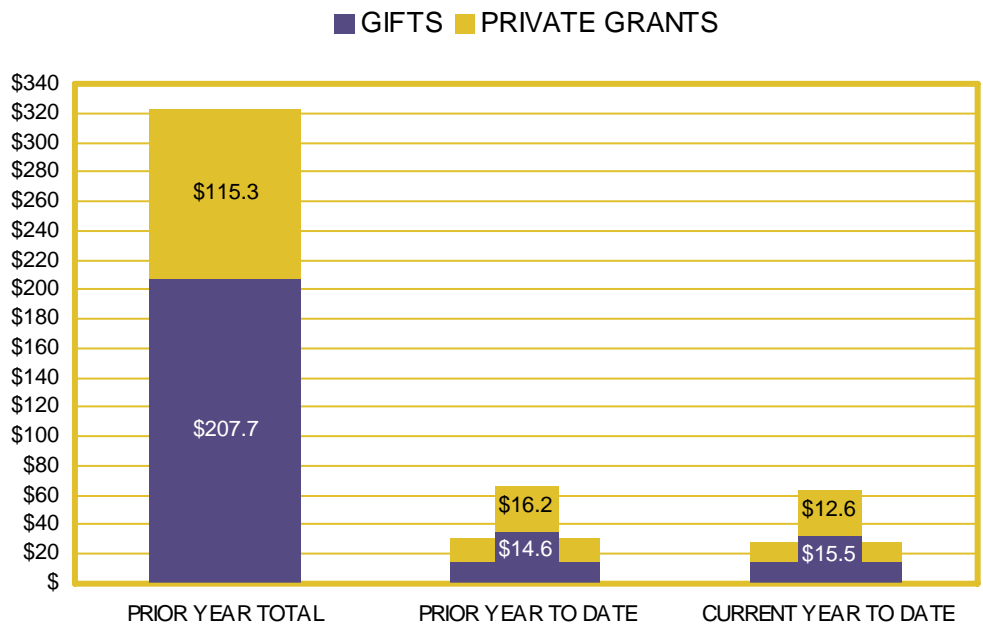
The UW received \$16.9 million in total private voluntary support (\$9.2 million in gifts and \$7.7 in grants) in August.

Areas including Architecture, Arts and Sciences, Business School, Dentistry, Information School, Intercollegiate Athletics, Pharmacy, Public Health, UW Alumni Association and UW Tacoma are ahead of last year's year-to-date totals.

FUNDRAISING PROGRESS SINCE JULY 1, 2000



CURRENT GIFT AND PRIVATE GRANT TOTALS



## AUGUST 2006 GIFTS AND IMPACT

Selected gifts representing private support for one of the University of Washington's key fundraising priorities -- student, faculty, program and facility support.

### **Arthur and Sue Buerk – \$756,486 to the Business School**

- Arthur and Sue Buerk's ongoing relationship with the University of Washington during the past 20 years has resulted in gifts of service, leadership and financial support. This most recent gift represents a payment on a \$1 million pledge to the Arthur and Sue Buerk Leadership Endowment to support Business School Leadership at the Dean's discretion.
- Arthur Buerk was the first director of development at the UW, and continues to lend his leadership to fundraising efforts. He also serves as Chairman of the Business School Advisory Board.
- The Buerks also support Business graduate students via the Buerk Endowed Faculty Fellowship.

### **Robert W. Lundeen – \$25,000 to UW Medicine**

- Mr. Lundeen, retired Chairman of the Dow Chemical Company, established the Betty Lundeen Memorial Endowment Fund in honor of his late wife. Through his estate, he will give \$500,000 to provide scholarships for students interested in practicing medicine in rural communities.
- The New England Journal of Medicine reports that of the nation's 500 poorest counties, 459 are rural. These areas continue to have a shortage of health professionals, and doctors in remote locations are often called upon to diagnose and treat a wide range of conditions, from minor to complex. This gift will allow for scholarship support for these medical students to continue in perpetuity.
- Mr. and Mrs. Lundeen worked to increase access to quality health care for residents of rural communities. Today, Mr. Lundeen continues his involvement as a member of UW Medicine's Campaign Cabinet and its Scholarship and Student Support Committee, and by serving as the chair of UW Medicine's Campaign Partnerships.

### **VillageReach - \$22,636 to the Evans School of Public Affairs**

- This gift will provide support for an Evans School student to do research around areas of interest to VillageReach. This research assistant will work under the leadership of an Evans School faculty member. Students work in the field and VillageReach offices and share findings with faculty and colleagues.
- VillageReach is a Seattle-based nonprofit organization that works to improve health and quality of life in the most remote areas of developing countries.
- VillageReach works with governments, communities, and other partners to extend the reach of the health system and improve the quality of services in effective and sustainable ways. Currently, the project provides monthly deliveries of medical supplies and gasoline to fuel lamps and generators to 88 health facilities in Mozambique serving a population of 1.5 million.





# REPORT OF CONTRIBUTIONS

August 2006

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## **CAMPAIGN UW: CREATING FUTURES**

Report prepared by: Office of Development and Alumni Relations  
Advancement Services, Information Management

9/15/2006 09:41 AM



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\*All Campaign totals represented are from July 1, 2000 through the end of the preceding calendar month. Please note that grant revenue totals in Campaign Reports may contain clinical trials. Fundraising totals from all affiliated non-profit organizations are also included in UW Campaign totals.

The UW Campaign Executive Committee developed the counting policy for Campaign reports, based on Council for Advancement and Support of Education (CASE) campaign counting standards.

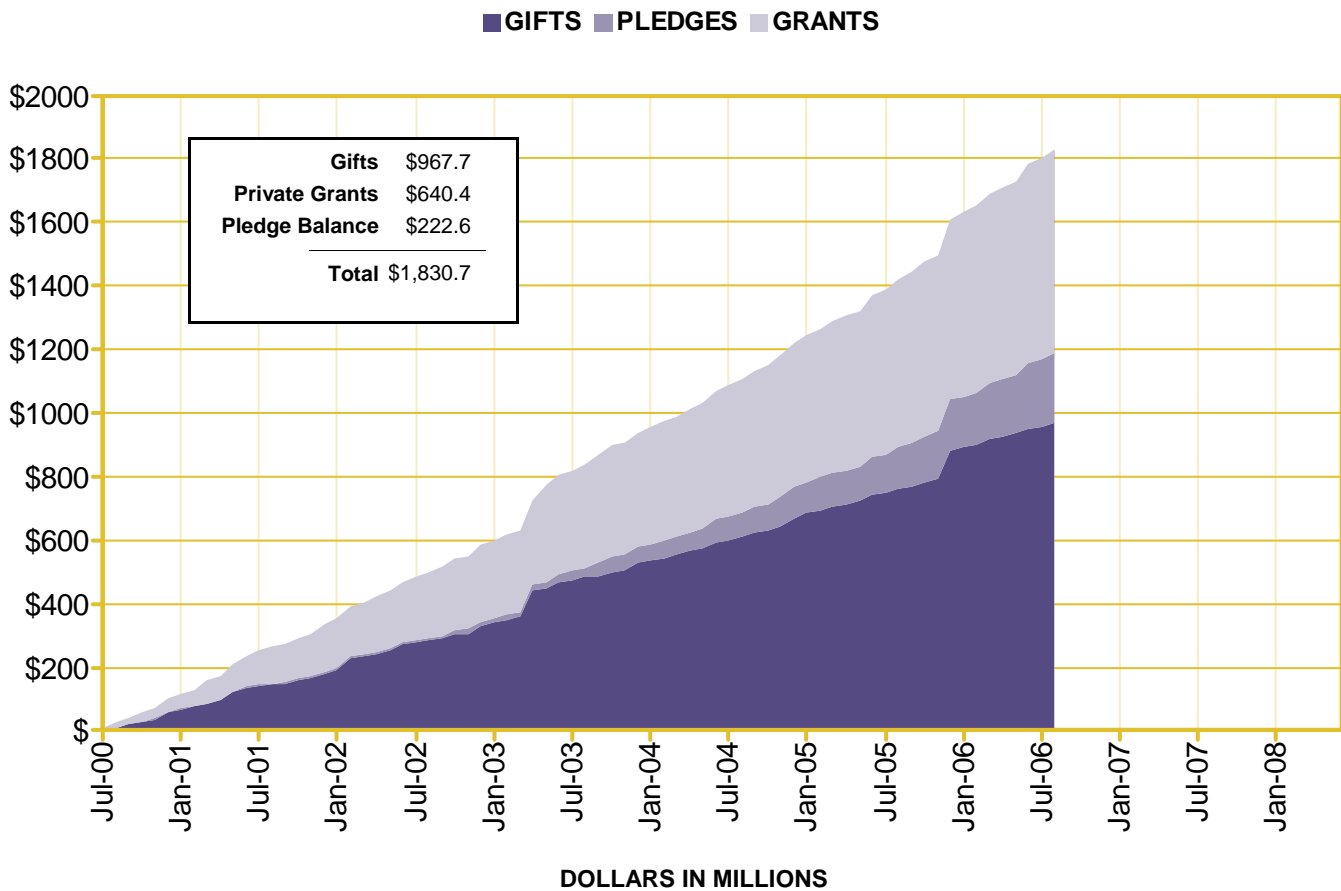
Annual reporting is July 1, 2006 through the end of the preceding calendar month.

Job Number: 72051  
August 2006

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## CAMPAIGN PROGRESS SINCE JULY 1, 2000



Source: UW Office of Development

Summarizes Total Private Voluntary Support since July 1, 2000. Testamentary Commitments included in Pledge Balance total. All dollar totals in millions.

Job Number: 72051  
 August 2006

Fundraising Progress Since July 1, 2000



## CAMPAIGN PROGRESS BY GIVING LEVEL

<b>DOLLARS RAISED</b>							
<b>Gifts, Pledges &amp; Grants</b>							
Giving Level *	Alumni	Non Alumni	Family Fndns.	Corporations	Foundations	Other Orgs.	Total
\$100M+	\$0	\$32,720	\$211,951,271	\$0	\$0	\$0	\$211,983,991
\$50M - \$99,999,999	\$0	\$0	\$0	\$0	\$0	\$0	\$0
\$10M - \$49,999,999	\$44,699,909	\$58,730,734	\$33,292,500	\$56,483,817	\$95,765,773	\$12,022,751	\$300,995,485
\$5M - \$9,999,999	\$28,510,103	\$5,110,750	\$0	\$56,543,997	\$36,685,503	\$69,228,776	\$196,079,129
\$1M - \$4,999,999	\$108,685,395	\$63,135,049	\$27,782,592	\$115,491,887	\$99,709,359	\$82,555,377	\$497,359,659
\$100,000 - \$999,999	\$90,465,118	\$68,005,111	\$21,208,924	\$116,973,229	\$61,326,622	\$49,540,262	\$407,519,266
\$25,000 - \$99,999	\$23,811,126	\$18,271,832	\$2,635,681	\$28,864,679	\$7,197,991	\$10,129,506	\$90,910,816
\$10,000 - \$24,999	\$16,303,499	\$11,942,061	\$909,060	\$9,201,299	\$1,489,663	\$2,147,947	\$41,993,530
\$5,000 - \$9,999	\$11,373,279	\$8,700,046	\$280,403	\$4,854,972	\$579,725	\$695,683	\$26,484,108
\$2,000 - \$4,999	\$9,472,976	\$7,761,605	\$73,948	\$3,217,827	\$249,751	\$436,520	\$21,212,627
\$1,000 - \$1,999	\$5,120,329	\$4,152,720	\$22,676	\$1,345,225	\$76,641	\$209,173	\$10,926,764
\$500 - \$999	\$4,791,103	\$3,521,782	\$5,365	\$666,166	\$29,789	\$88,785	\$9,102,990
\$250 - \$499	\$3,293,004	\$4,697,409	\$1,150	\$289,011	\$10,538	\$43,081	\$8,334,193
\$100 - \$249	\$2,921,871	\$1,951,527	\$2,565	\$270,347	\$7,482	\$30,790	\$5,184,582
\$1 - \$99	\$1,405,529	\$1,146,466	\$189	\$69,425	\$998	\$10,327	\$2,632,933
<b>Total</b>	<b>\$350,853,242</b>	<b>\$257,159,814</b>	<b>\$298,166,323</b>	<b>\$394,271,880</b>	<b>\$303,129,837</b>	<b>\$227,138,978</b>	<b>\$1,830,720,074</b>
<b>DONOR COUNTS</b>							
Giving Level	Alumni	Non Alumni	Family Fndns.	Corporations	Foundations	Other Orgs.	Total
\$100M+	0	2	1	0	0	0	3
\$50M - \$99,999,999	0	0	0	0	0	0	0
\$10M - \$49,999,999	11	8	4	4	6	1	34
\$5M - \$9,999,999	6	5	0	8	6	9	34
\$1M - \$4,999,999	88	85	13	55	43	45	329
\$100,000 - \$999,999	515	563	67	435	184	172	1,936
\$25,000 - \$99,999	944	944	62	632	149	202	2,933
\$10,000 - \$24,999	1,969	1,858	61	688	103	147	4,826
\$5,000 - \$9,999	2,891	2,678	50	810	97	112	6,638
\$2,000 - \$4,999	4,974	5,109	26	1,223	85	158	11,575
\$1,000 - \$1,999	5,895	5,978	20	1,222	63	180	13,358
\$500 - \$999	9,984	9,241	11	1,219	49	150	20,654
\$250 - \$499	12,190	18,344	4	1,006	33	139	31,716
\$100 - \$249	23,101	19,788	17	2,107	54	242	45,309
\$1 - \$99	39,637	39,491	4	1,791	22	294	81,239
<b>Total</b>	<b>102,205</b>	<b>104,094</b>	<b>340</b>	<b>11,200</b>	<b>894</b>	<b>1,851</b>	<b>220,584</b>

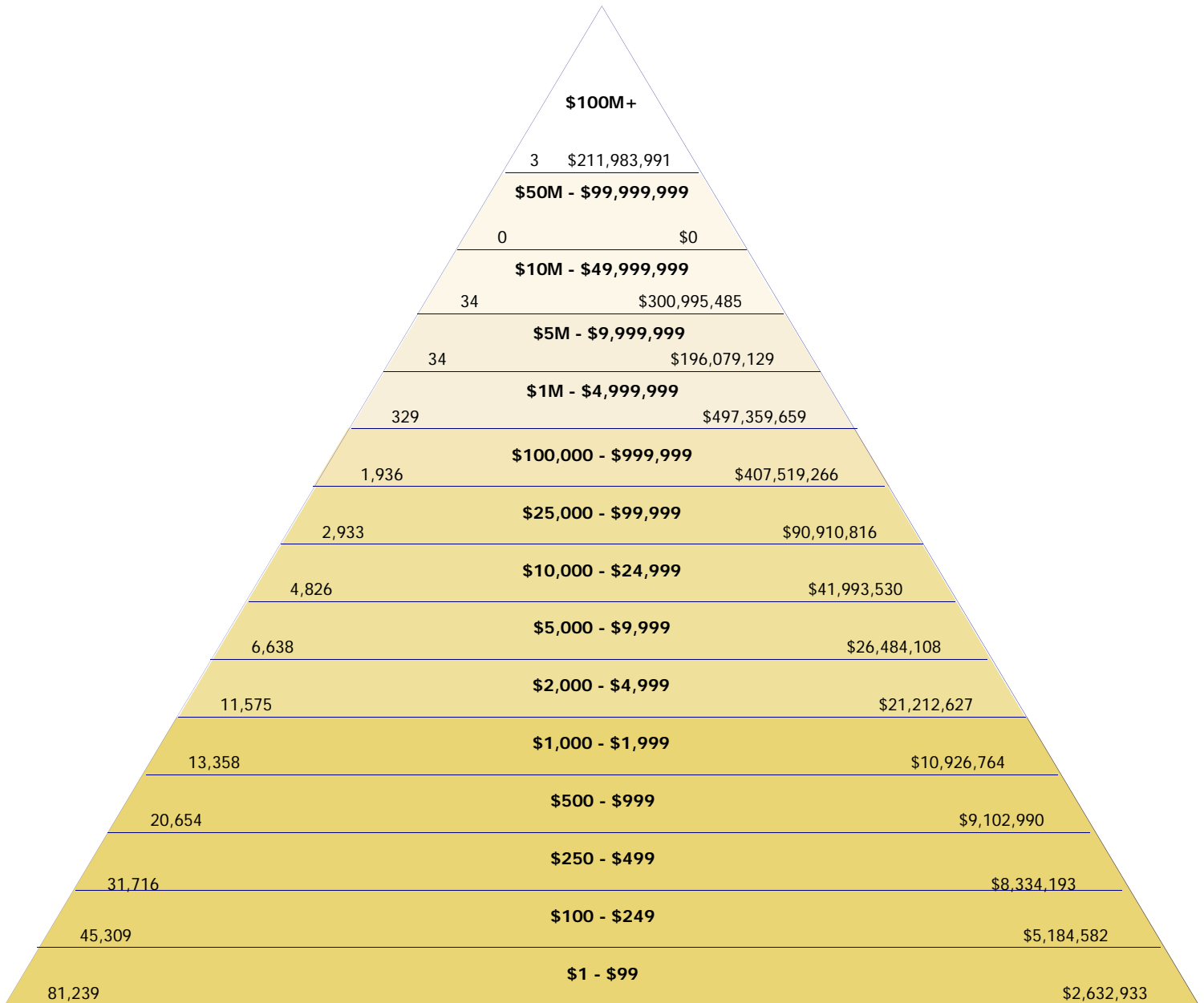
Source: UW Office of Development & Alumni Relations

This report shows the count of distinct donors and campaign total by giving level and donor type since July 1, 2000.

\*\*"Giving Level" is determined by summing all gift record types (including grants)



CAMPAIGN PROGRESS BY PYRAMID, SINCE JULY 1, 2000



220,584 Donor Count

Campaign Total: \$1,830,720,074

\*Campaign Working Goal: \$2,000,000,000

Percent Complete: 91.5%

Source: UW Office of Development

The counts of distinct donors and fundraising totals by giving level are shown.

\*Unit campaign goals are still being finalized.

Pyramid Levels are determined by summing all gift record types (including grants)



## CAMPAIGN PROGRESS BY CONSTITUENCY

	GIFTS	Irrevocable Deferred Gifts		PLEDGES	Testamentary Commitments		GRANTS	Campaign - Private Voluntary Support		
		Donor Value	Discount Value		Donor Value	Discount Value		TOTAL	Working Goal	% of Goal
UW Medicine	\$272,712,454	\$11,148,133	\$5,932,972	\$21,476,250	\$21,317,853	\$6,907,784	\$325,921,051	\$652,575,741	\$1,000,000,000	65.3%
Architecture	\$7,193,627	\$2,244,117	\$658,000	\$1,742,815	\$2,225,000	\$1,294,992	\$3,721,923	\$17,127,482	\$19,000,000	90.1%
Arts and Sciences	\$95,199,107	\$6,466,437	\$3,964,379	\$11,809,496	\$34,894,000	\$21,617,603	\$64,674,998	\$213,044,037	\$240,000,000	88.8%
Broadcast Services	\$41,765,820	\$0	\$0	\$13,187	\$0	\$0	\$0	\$41,779,007	N/A	N/A
Business School	\$54,982,148	\$1,024,449	\$709,750	\$38,916,682	\$3,580,271	\$2,317,929	\$339,988	\$98,843,538	\$135,000,000	73.2%
Dentistry	\$7,100,566	\$246,992	\$110,376	\$1,178,901	\$775,000	\$431,459	\$3,846,299	\$13,147,759	\$15,000,000	87.7%
Education	\$9,596,156	\$28,552	\$10,686	\$3,410,976	\$2,050,000	\$1,261,668	\$12,793,134	\$27,878,818	\$23,000,000	121.2%
Engineering	\$125,403,207	\$2,077,016	\$1,130,806	\$7,551,370	\$4,100,011	\$1,692,976	\$71,257,746	\$210,389,349	\$250,000,000	84.2%
Evans Schl. of Pub. Affairs	\$9,459,545	\$57,994	\$69,964	\$386,123	\$500,000	\$265,793	\$36,574,871	\$46,978,533	\$40,000,000	117.4%
Forest Resources	\$8,624,359	\$646,572	\$516,844	\$398,845	\$2,500,000	\$1,266,903	\$3,426,726	\$15,596,501	\$17,700,000	88.1%
Friday Harbor Labs	\$5,888,509	\$153,242	\$78,775	\$350,781	\$4,755,000	\$2,790,432	\$862,743	\$12,010,276	\$12,000,000	100.1%
Information School	\$2,775,407	\$0	\$0	\$145,203	\$100,000	\$64,666	\$1,420,959	\$4,441,568	\$5,000,000	88.8%
Intercollegiate Athletics	\$92,984,720	\$246,570	\$166,700	\$7,728,724	\$210,000	\$133,886	\$0	\$101,170,014	\$110,000,000	92.0%
Law	\$58,870,825	\$7,026	\$4,595	\$992,033	\$30,000	\$20,631	\$989,542	\$60,889,426	\$70,000,000	87.0%
Libraries	\$5,484,693	\$442,146	\$351,456	\$49,633	\$797,000	\$389,915	\$475,708	\$7,249,179	\$9,000,000	80.5%
Nursing	\$8,869,187	\$285,000	\$210,008	\$1,226,370	\$3,322,799	\$1,693,681	\$7,923,559	\$21,626,915	\$24,000,000	90.1%
Ocean and Fisheries	\$11,842,397	\$5,812,500	\$2,679,448	\$76,141	\$0	\$0	\$22,583,006	\$40,314,043	\$34,000,000	118.6%
Pharmacy	\$8,459,433	\$0	\$0	\$479,950	\$0	\$0	\$4,300,699	\$13,240,081	\$10,260,000	129.0%
President's Funds	\$5,383,859	\$738,472	\$543,577	\$426,204	\$2,750,000	\$1,353,977	\$0	\$9,298,535	N/A	N/A
Public Health	\$13,535,706	\$50,259	\$33,185	\$839,738	\$0	\$0	\$60,248,188	\$74,673,891	\$90,000,000	83.0%
Scholar. & Student Progs.	\$29,672,195	\$654,684	\$334,149	\$1,923,824	\$3,035,000	\$1,778,584	\$864,332	\$36,150,034	\$40,000,000	90.4%
Social Work	\$2,003,048	\$290,566	\$158,012	\$55,753	\$0	\$0	\$5,280,271	\$7,629,638	\$10,000,000	76.3%
University Press	\$1,961,851	\$83,788	\$62,116	\$23,360	\$1,300,000	\$1,421,362	\$0	\$3,368,999	\$3,000,000	112.3%
University Support	\$32,316,564	\$1,510,063	\$757,793	\$10,701,376	\$11,300,000	\$4,855,765	\$12,090,227	\$67,918,229	N/A	N/A
UW Bothell	\$2,201,832	\$0	\$0	\$51,165	\$0	\$0	\$750,500	\$3,003,497	\$5,700,000	52.7%
UW Tacoma	\$18,990,818	\$195,000	\$173,651	\$8,189,474	\$2,925,000	\$1,657,696	\$74,690	\$30,374,982	\$30,000,000	101.2%
<b>All UW Total</b>	<b>\$933,278,032</b>	<b>\$34,409,577</b>	<b>\$18,657,242</b>	<b>\$120,144,372</b>	<b>\$102,466,934</b>	<b>\$53,217,702</b>	<b>\$640,421,160</b>	<b>\$1,830,720,074</b>	<b>\$2,000,000,000</b>	<b>91.5%</b>

Source: UW Office of Development

Fundraising progress toward campaign working goals by constituency area (school/college/program). Campaign total is the sum of gifts, grants, active pledges and donor values of irrevocable deferred gifts and testamentary commitments. "N/A" is not applicable. 1 - "Pledges" are those in active status only. 2 - "Grants" are private grants only. 3 - Unit campaign working goals are still being finalized.

Job Number: 72051  
August 2006

Campaign Progress by Constituency - Since July 2000



**CAMPAIGN FUNDING THEME PROGRESS - JULY 2000 - PRESENT**

THEME	CURRENT USE	ENDOWMENT	TOTAL
Student Support	\$82,024,200	\$155,206,153	\$237,230,354
Faculty Support	\$81,958,631	\$114,988,387	\$196,947,018
Program Support for Faculty and Students	\$809,918,987	\$119,535,186	\$929,454,173
Capital	\$277,786,988	\$1,778,269	\$279,565,257
Unrestricted	\$157,378,076	\$30,145,197	\$187,523,273
<b>Total</b>	<b>\$1,409,066,882</b>	<b>\$421,653,192</b>	<b>\$1,830,720,074</b>
Goal	\$1,600,000,000	\$400,000,000	\$2,000,000,000
% to Goal	88.1%	105.4%	91.5%

Source: UW Office of Development

This report shows contribution totals by campaign theme/priority since July 1, 2000.

Job Number: 72051  
 August 2006

Campaign Theme Progress



**DEVELOPMENT SUMMARY - TOTAL PRIVATE VOLUNTARY SUPPORT**

AREA	YEAR TO DATE DONOR VALUES		
	GIFTS	PRIVATE GRANTS	TOTAL
UW Seattle			
UW Medicine	\$4,742,313	\$8,631,876	\$13,374,189
Architecture	\$174,945		\$174,945
Arts and Sciences	\$1,998,400	\$908,962	\$2,907,362
Broadcast Services	\$267,063		\$267,063
Business School	\$1,391,474		\$1,391,474
Dentistry	\$191,934		\$191,934
Education	\$37,736	\$12,694	\$50,430
Engineering	\$1,923,300	\$537,127	\$2,460,427
Evans Schl. of Pub. Affairs	\$29,749	\$202,308	\$232,057
Forest Resources	\$94,789	\$32,441	\$127,230
Graduate School	\$609	\$23,301	\$23,910
Information School	\$21,507	\$173,724	\$195,231
Intercollegiate Athletics	\$2,329,413		\$2,329,413
Law	\$139,365		\$139,365
Libraries	\$25,561		\$25,561
Nursing	\$375,875	\$100,539	\$476,414
Ocean and Fisheries	\$49,372	\$190,105	\$239,477
Pharmacy	\$154,070	\$45,195	\$199,265
President's Funds	\$25,032		\$25,032
Public Health	\$78,705	\$1,688,381	\$1,767,087
Scholar. & Student Progs.	\$67,904		\$67,904
Social Work	\$13,573		\$13,573
UW Alumni Association	\$196,595		\$196,595
University Press	\$25,833		\$25,833
University Support	\$573,232	\$55,000	\$628,232
UW Bothell	\$26,803		\$26,803
UW Tacoma	\$565,337		\$565,337
<b>All UW Total</b>	<b>\$15,520,501</b>	<b>\$12,601,654</b>	<b>\$28,122,155</b>

Source: UW Office of Development

Contribution totals for the major Development areas of the University are shown.

Job Number: 72051

August 2006

Development Area Summary - Total Private Voluntary Support



## DEVELOPMENT SUMMARY - GIFTS AND PRIVATE GRANTS

AREA	CURRENT MONTH		YEAR TO DATE		PRIOR YEAR TO DATE		PRIOR FISCAL YEAR	
	Donors	Value	Donors	Value	Donors	Value	Donors	Value
UW Seattle								
UW Medicine	1,984	\$9,978,099	2,562	\$13,374,189	2,776	\$13,842,569	18,379	\$108,952,952
Architecture	28	\$137,580	74	\$174,945	37	\$65,313	1,316	\$5,313,848
Arts and Sciences	1,189	\$1,412,313	1,932	\$2,907,362	1,726	\$2,647,782	16,381	\$26,555,640
Broadcast Services	793	\$172,243	872	\$267,063	1,106	\$770,649	3,307	\$8,323,416
Business School	333	\$1,076,254	512	\$1,391,474	404	\$727,784	4,406	\$10,411,034
Dentistry	112	\$97,863	229	\$191,934	88	\$64,591	1,363	\$1,760,021
Education	90	\$32,977	125	\$50,430	115	\$272,621	1,895	\$2,916,481
Engineering	219	\$1,293,223	331	\$2,460,427	295	\$3,149,789	4,224	\$38,867,802
Evans Schl. of Pub. Affairs	32	\$221,640	64	\$232,057	87	\$648,910	630	\$5,997,460
Forest Resources	33	\$55,997	63	\$127,230	80	\$426,086	1,024	\$3,078,045
Graduate School	8	\$229	12	\$23,910	31	\$319,513	683	\$2,470,722
Information School	45	\$3,675	83	\$195,231	47	\$72,040	610	\$811,461
Intercollegiate Athletics	490	\$383,156	2,465	\$2,329,413	1,589	\$1,443,121	20,414	\$17,642,469
Law	47	\$92,426	102	\$139,365	129	\$307,687	1,987	\$36,175,919
Libraries	64	\$12,173	117	\$25,561	136	\$121,060	4,256	\$1,343,782
Nursing	57	\$319,170	110	\$476,414	101	\$740,442	1,814	\$2,856,427
Ocean and Fisheries	60	\$128,070	70	\$239,477	53	\$1,299,969	708	\$14,035,193
Pharmacy	49	\$66,540	92	\$199,265	87	\$119,080	1,219	\$1,896,947
President's Funds	75	\$12,020	122	\$25,032	223	\$100,559	1,812	\$711,430
Public Health	76	\$214,215	118	\$1,767,087	113	\$1,673,088	793	\$8,346,379
Scholar. & Student Progs.	172	\$19,644	229	\$67,904	438	\$632,173	3,203	\$8,712,482
Social Work	22	\$8,974	44	\$13,573	62	\$67,560	821	\$1,187,969
UW Alumni Association	1,648	\$76,030	4,761	\$196,595	3,264	\$163,390	21,560	\$1,117,494
University Press	16	\$9,019	34	\$25,833	140	\$95,640	417	\$512,227
University Support	77	\$546,836	564	\$628,232	243	\$441,848	2,803	\$8,933,954
UW Bothell	33	\$17,442	40	\$26,803	35	\$608,912	533	\$893,379
UW Tacoma	62	\$504,062	95	\$565,337	120	\$51,150	770	\$3,180,471
<b>All UW Unique Total</b>	<b>7,440</b>	<b>\$16,891,878</b>	<b>14,878</b>	<b>\$28,122,155</b>	<b>12,724</b>	<b>\$30,873,340</b>	<b>97,876</b>	<b>\$323,005,417</b>

Source: UW Office of Development

The number of donors and contribution totals for the major Development areas of the University are shown. Dollar values are based on donor values.

Job Number: 72051

August 2006

Development Area Summary - Gifts and Private Grants





## DEVELOPMENT SUMMARY - GIFTS

AREA	CURRENT MONTH		YEAR TO DATE		PRIOR YEAR TO DATE		PRIOR FISCAL YEAR	
	Donors	Value	Donors	Value	Donors	Value	Donors	Value
UW Seattle								
UW Medicine	1,910	\$4,059,048	2,457	\$4,742,313	2,658	\$3,385,392	18,096	\$49,328,735
Architecture	28	\$137,580	74	\$174,945	36	\$62,813	1,313	\$3,114,700
Arts and Sciences	1,176	\$513,642	1,916	\$1,998,400	1,712	\$1,616,816	16,304	\$18,125,791
Broadcast Services	793	\$172,243	872	\$267,063	1,106	\$770,649	3,307	\$8,323,416
Business School	333	\$1,076,254	512	\$1,391,474	404	\$727,784	4,403	\$10,271,846
Dentistry	112	\$97,863	229	\$191,934	87	\$59,591	1,353	\$1,419,981
Education	89	\$20,283	124	\$37,736	115	\$272,621	1,888	\$1,790,300
Engineering	213	\$985,071	323	\$1,923,300	285	\$1,633,091	4,157	\$20,093,019
Evans Schl. of Pub. Affairs	30	\$24,615	61	\$29,749	81	\$45,337	615	\$780,802
Forest Resources	30	\$23,556	60	\$94,789	76	\$320,550	1,010	\$2,485,778
Graduate School	8	\$229	11	\$609	30	\$248,205	681	\$2,352,479
Information School	45	\$3,675	80	\$21,507	44	\$43,304	605	\$401,173
Intercollegiate Athletics	490	\$383,156	2,465	\$2,329,413	1,589	\$1,443,121	20,414	\$17,642,469
Law	47	\$92,426	102	\$139,365	129	\$307,687	1,985	\$36,124,321
Libraries	64	\$12,173	117	\$25,561	136	\$121,060	4,254	\$1,278,400
Nursing	55	\$268,631	107	\$375,875	93	\$487,321	1,795	\$1,638,532
Ocean and Fisheries	57	\$41,996	66	\$49,372	47	\$1,099,236	676	\$7,379,889
Pharmacy	48	\$28,326	90	\$154,070	87	\$119,080	1,214	\$1,650,696
President's Funds	75	\$12,020	122	\$25,032	223	\$100,559	1,812	\$711,430
Public Health	71	\$51,982	111	\$78,705	101	\$419,894	744	\$891,850
Scholar. & Student Progs.	172	\$19,644	229	\$67,904	438	\$632,173	3,201	\$8,473,021
Social Work	22	\$8,974	44	\$13,573	61	\$30,084	814	\$582,220
UW Alumni Association	1,648	\$76,030	4,761	\$196,595	3,264	\$163,390	21,560	\$1,117,494
University Press	16	\$9,019	34	\$25,833	140	\$95,640	417	\$512,227
University Support	76	\$521,836	562	\$573,232	241	\$362,213	2,796	\$7,884,789
UW Bothell	33	\$17,442	40	\$26,803	34	\$8,912	532	\$193,379
UW Tacoma	62	\$504,062	95	\$565,337	120	\$51,150	769	\$3,175,481
<b>All UW Unique Total</b>	<b>7,342</b>	<b>\$9,161,784</b>	<b>14,747</b>	<b>\$15,520,501</b>	<b>12,571</b>	<b>\$14,627,686</b>	<b>97,391</b>	<b>\$207,744,231</b>

Source: UW Office of Development

The number of donors and contribution totals (gifts only) for the major Development areas of the University are shown. Dollar values are based on donor values.

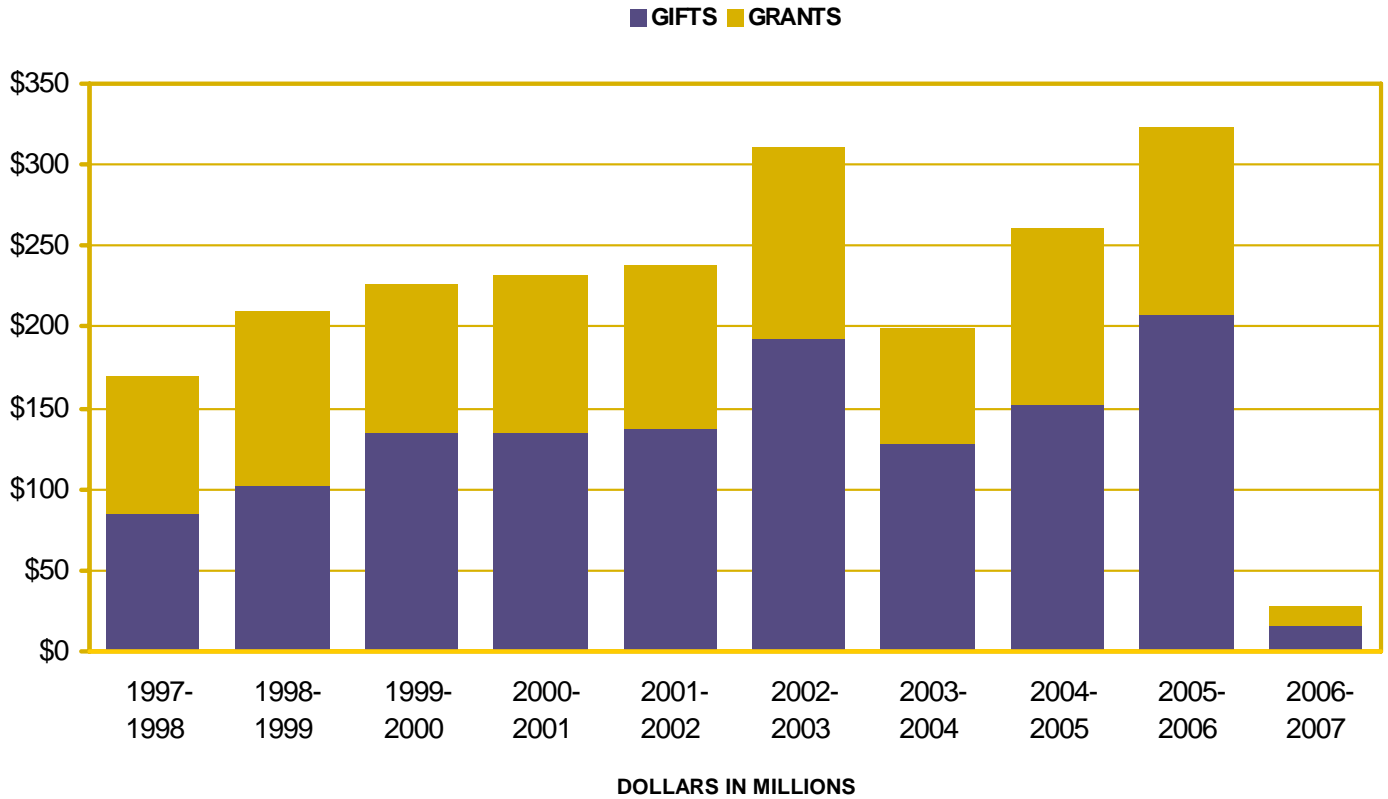
Job Number: 72051

August 2006

Development Area Summary - Gifts



COMPLETE FISCAL YEAR COMPARISON OF TOTAL CONTRIBUTIONS RECEIVED



FISCAL YEAR	GIFTS	GRANTS	TOTAL CONTRIBUTIONS
2006- 2007	\$15,520,501	\$12,601,654	\$28,122,155
2005- 2006	\$207,744,231	\$115,261,186	\$323,005,417
2004- 2005	\$151,969,925	\$108,802,371	\$260,772,296
2003- 2004	\$128,174,367	\$71,603,323	\$199,777,690
2002- 2003	\$192,573,183	\$118,677,722	\$311,250,905
2001- 2002	\$137,959,340	\$100,820,547	\$238,779,887
2000- 2001	\$134,805,190	\$97,112,979	\$231,918,169
1999- 2000	\$134,037,997	\$91,536,165	\$225,574,162
1998- 1999	\$102,925,077	\$107,619,586	\$210,544,663
1997- 1998	\$84,718,016	\$85,276,615	\$169,994,631

Source: UW Office of Development

This graph compares the current fiscal year's contribution totals to each of the previous nine fiscal year's contribution totals.

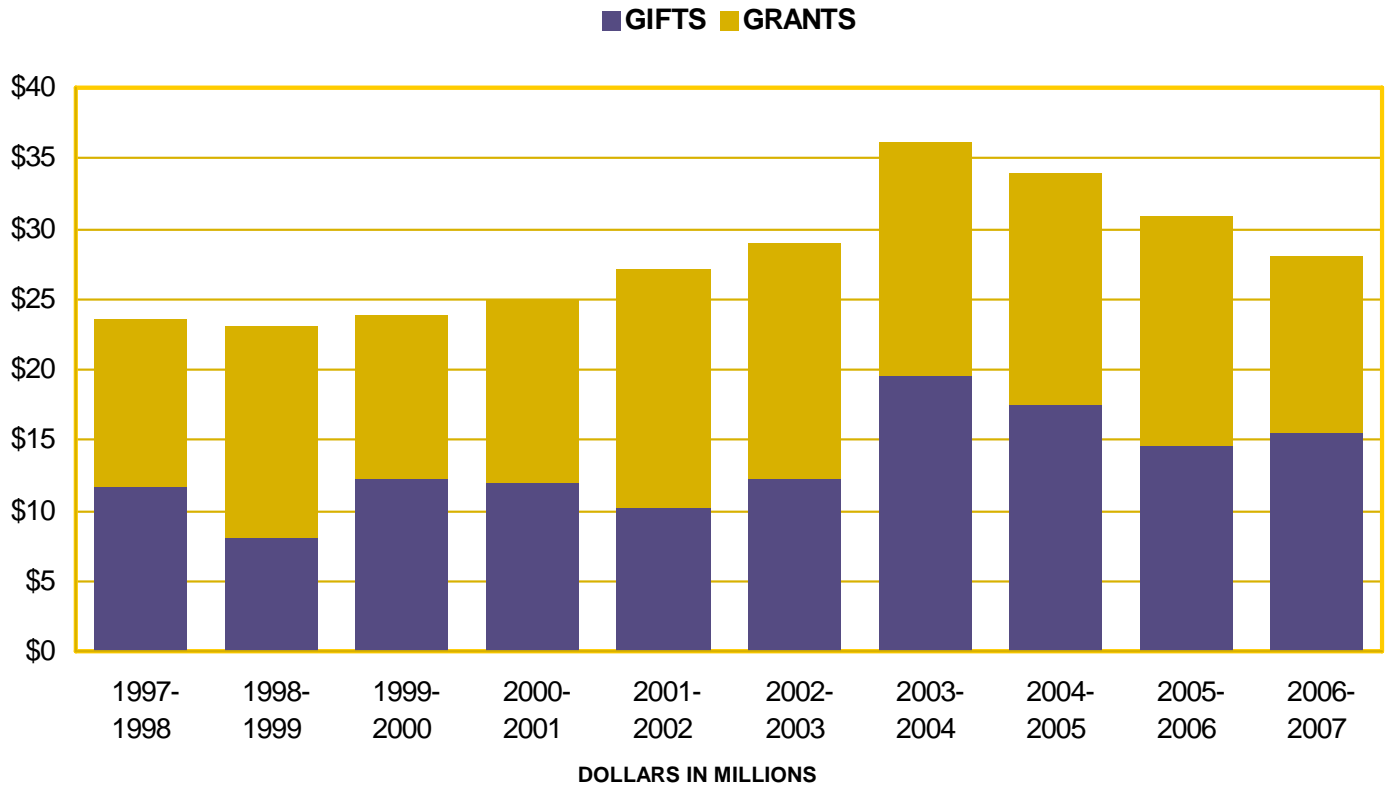
Job Number: 72051

August 2006

Fiscal Year Totals Graph



## YEAR TO DATE CONTRIBUTION TOTALS



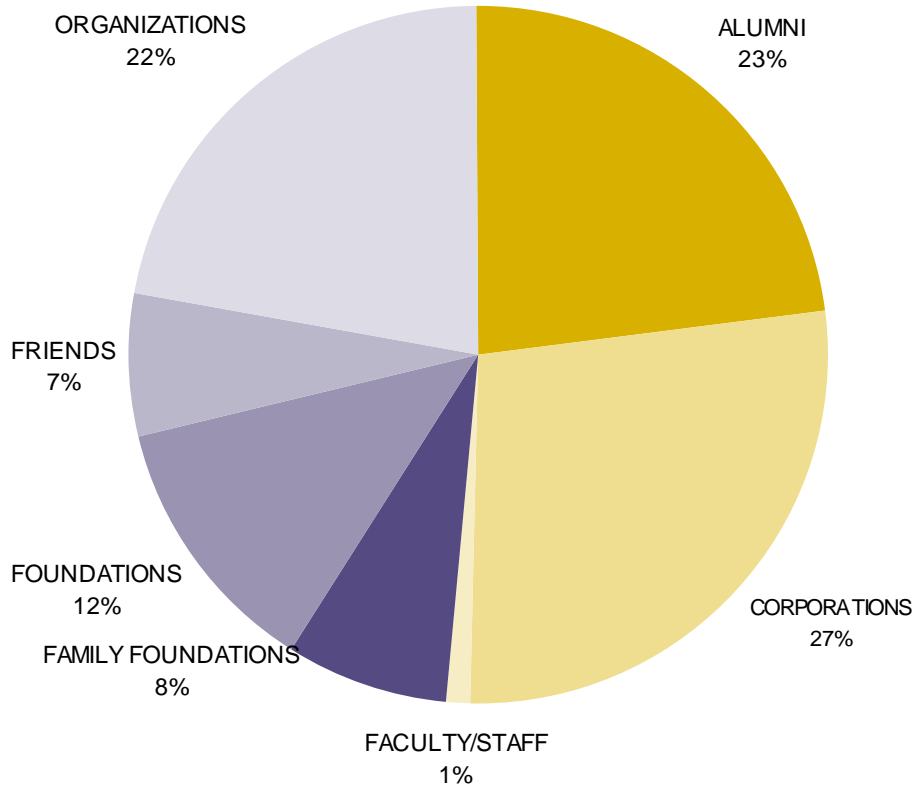
FISCAL YEAR	GIFTS	GRANTS	TOTAL CONTRIBUTIONS
2006- 2007	\$15,520,501	\$12,601,654	\$28,122,155
2005- 2006	\$14,627,686	\$16,245,654	\$30,873,340
2004- 2005	\$17,530,695	\$16,433,767	\$33,964,462
2003- 2004	\$19,537,721	\$16,597,595	\$36,135,316
2002- 2003	\$12,230,893	\$16,786,392	\$29,017,285
2001- 2002	\$10,186,072	\$16,972,711	\$27,158,784
2000- 2001	\$11,971,851	\$12,973,413	\$24,945,264
1999- 2000	\$12,243,052	\$11,670,323	\$23,913,375
1998- 1999	\$8,001,600	\$15,168,144	\$23,169,744
1997- 1998	\$11,676,263	\$11,927,707	\$23,603,970

Source: UW Office of Development

This graph compares the current fiscal year's contribution totals to each of the previous nine fiscal year's contribution totals.



DEVELOPMENT ACTIVITY BY DONOR TYPE IN CURRENT FISCAL YEAR



DONOR TYPE	YEAR TO DATE		PRIOR YEAR TO DATE		PRIOR FISCAL YEAR	
	Donors	Value	Donors	Value	Donors	Value
Alumni	8,164	\$6,468,813	6,518	\$3,056,863	51,440	\$59,214,863
Corporations	452	\$7,692,942	545	\$7,942,503	3,523	\$62,670,936
Faculty/Staff	1,419	\$276,568	1,412	\$223,119	3,701	\$2,957,964
Family Foundations	21	\$2,125,279	33	\$4,557,622	173	\$82,336,824
Foundations	96	\$3,402,586	122	\$7,024,580	444	\$48,525,785
Friends	4,628	\$1,887,082	4,042	\$1,454,192	38,220	\$27,738,180
Organizations	111	\$6,268,884	91	\$6,614,461	558	\$39,560,865

Source: UW Office of Development

This graph shows the sources of contributions for the current year to date. Dollar values are based on donor value.

Job Number: 72051  
 August 2006

Development Activity by Donor Type in Current Fiscal Year Chart



## ALUMNI PARTICIPATION BY CONSTITUENCY

AREA	CURRENT FISCAL YEAR TO DATE			PREVIOUS FISCAL YEAR TO DATE			
	Solicitible	Donors	Partic. Rate	Solicitible	Donors	Partic. Rate	Final %
UW Seattle							
UW Medicine	16,867	497	2.9%	16,049	460	2.9%	20.4%
Architecture	7,709	169	2.2%	7,393	122	1.7%	18.7%
Arts and Sciences	138,301	3,795	2.7%	126,129	2,913	2.3%	15.6%
Business School	36,594	1,431	3.9%	34,923	1,087	3.1%	20.2%
Dentistry	4,525	210	4.6%	4,388	150	3.4%	27.4%
Education	19,116	473	2.5%	18,434	463	2.5%	19.2%
Engineering	31,945	706	2.2%	30,120	517	1.7%	15.7%
Evans School of Public Affairs	2,119	94	4.4%	1,964	73	3.7%	25.4%
Forest Resources	4,603	71	1.5%	4,446	75	1.7%	16.5%
Interdisc. Grad. Programs	1,463	52	3.6%	1,296	43	3.3%	17.3%
Interdisc. Undergrad. Programs	170	16	9.4%	119	2	1.7%	10.9%
Interschool Programs	353	12	3.4%	286	9	3.1%	14.7%
Information School	4,202	144	3.4%	3,976	116	2.9%	22.4%
Law	7,593	204	2.7%	7,320	217	3.0%	24.1%
School of Nursing	8,469	296	3.5%	8,181	259	3.2%	23.0%
Ocean & Fisheries	3,866	79	2.0%	3,642	65	1.8%	18.6%
Pharmacy	3,364	109	3.2%	3,255	97	3.0%	29.1%
Public Health	4,104	147	3.6%	3,766	123	3.3%	20.5%
Social Work	6,232	183	2.9%	5,804	153	2.6%	16.8%
UW Bothell	5,245	113	2.2%	4,324	98	2.3%	13.5%
UW Tacoma	5,850	128	2.2%	4,892	92	1.9%	11.8%
Unspecified	11,278	309	2.7%	11,097	312	2.8%	20.6%
<b>All UW Total</b>	<b>297,648</b>	<b>8,164</b>	<b>2.7%</b>	<b>276,793</b>	<b>6,518</b>	<b>2.4%</b>	<b>17.2%</b>

Source: UW Office of Development

Job Number: 72051  
August 2006

Alumni Participation



VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Grant and Contract Awards – July and August, 2006

RECOMMENDED ACTION:

It is the recommendation of the administration and the Finance and Audit Committee that the Board of Regents accept the Grant and Contract Awards as presented on the attached list.

Attachment: Grant and Contract Awards Summary  
Report of Grant and Contract Awards of  
\$1,000,000 or More

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# **Grant and Contract Awards Summary**

**to**

**The Board of Regents**

**of the**

**University of Washington**

**for**

**July 2006**

**Office of Research**

**Office of Sponsored Programs**

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## JULY HIGHLIGHTS

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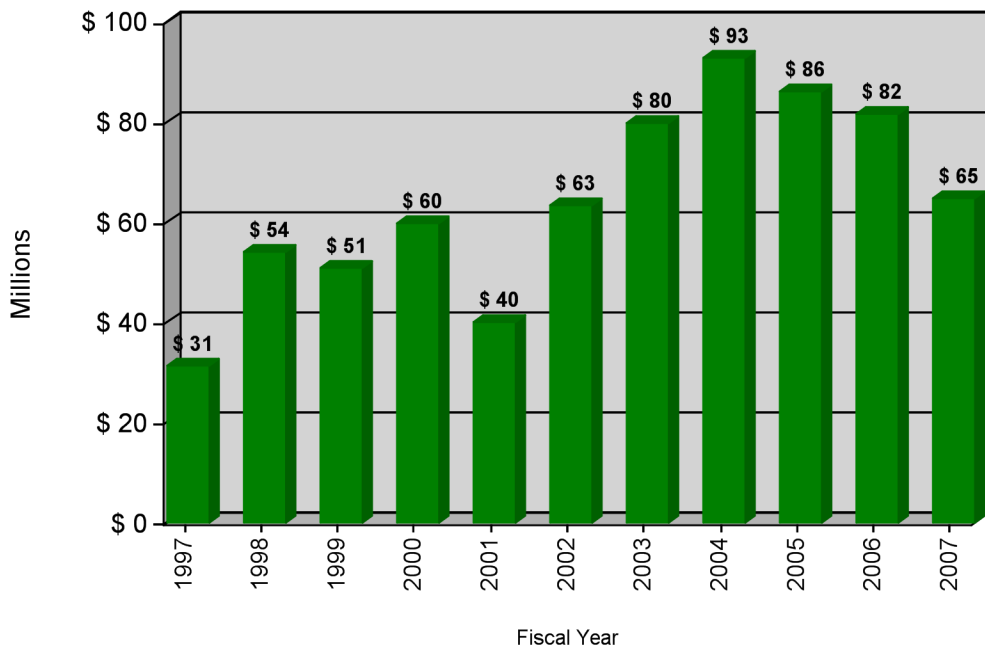
The National Science Foundation awarded a grant in the amount of \$2,000,000 for a project under the direction of Associate Professor Geoffrey Boynton of the Department of Psychology entitled “Acquisition of a 3-Tesla Magnetic Resonance Imaging (MRI) Scanner for Functional Studies of the Human Brain.” Functional magnetic resonance imaging (fMRI) is a powerful tool for measuring local changes in cerebral blood dynamics non-invasively, and for inferring changes in neural activity with a resolution of approximately 1 mm. The technique is one of the most important methodological advancements in neuroscience, particularly for studies of the neural correlates of behavior among intact organisms. The acquisition of this scanner will have a significant and immediate impact on the large community of brain science researchers at the UW, and will dramatically strengthen ongoing research, education, and public outreach activities.

The National Institutes of Health awarded a grant in the amount of \$773,926 for a project under the direction of Associate Professor Dimitri Christakis of the Department of Pediatrics entitled “Internet Based Patient-Centered Asthma Management System.” The purpose of this study is to develop and test an evidence-based, interactive internet program’s ability to improve the quality of care for young children with asthma by enhancing parents’ asthma care skills and activating parents to discuss key processes of asthma care with their child’s health care provider. AsthmaNet differs from other asthma interventions (including computerized interventions) in that it delivers evidence-based guidelines and asthma management tools to parents of children with asthma between the ages of two and ten years mindful of their interest and self-management skills and provides periodic, automated, tailored, feedback to parents and providers to help motivate and maintain asthma management skills and behaviors of both parents and providers during clinical encounters. AsthmaNet will result in improved processes and outcomes of care for children with asthma.

The National Institutes of Health awarded a grant in the amount of \$20,000 for a conference under the direction of Professor Buddy Ratner of the Department of Bioengineering entitled “First International Symposium on Wound Healing and Technology (WHAT I).” WHAT I is significantly different from other wound-healing conferences in that this symposium will emphasize advanced approaches to wound healing using biomaterials, controlled release, tissue engineering, and other engineering methodologies. Wound healing is a critical area for discussion because scientists still lack a clear fundamental understanding of chronic wound failures, despite decades of basic research. The conference will focus on the collaboration between materials scientists, engineers, biologists, and physicians involved in laboratory and clinical research to advance wound healing technology.

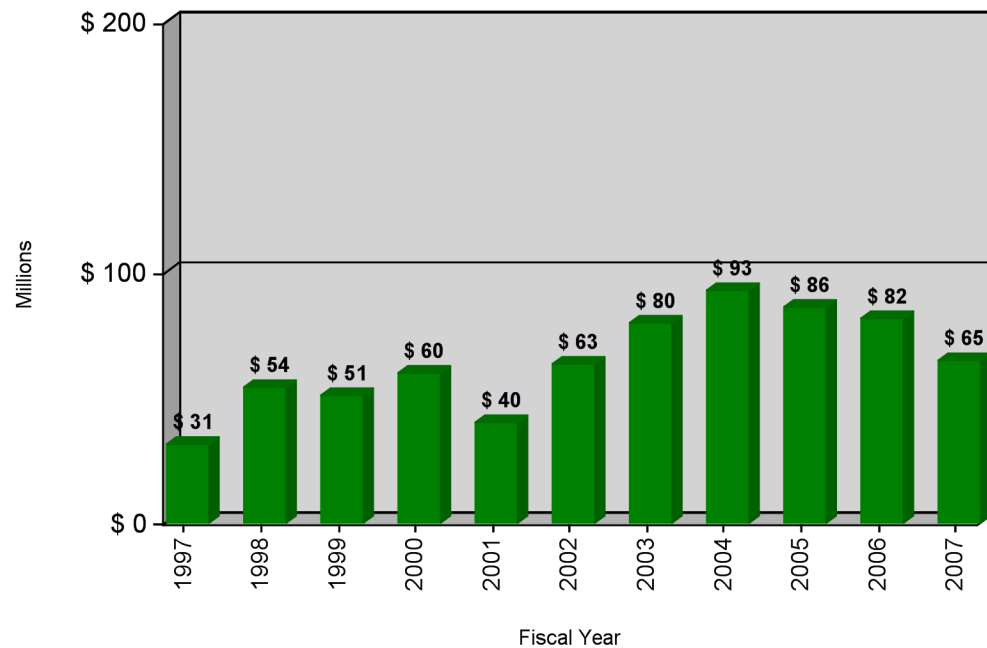
### July Only

#### Comparison of Grant & Contract Awards



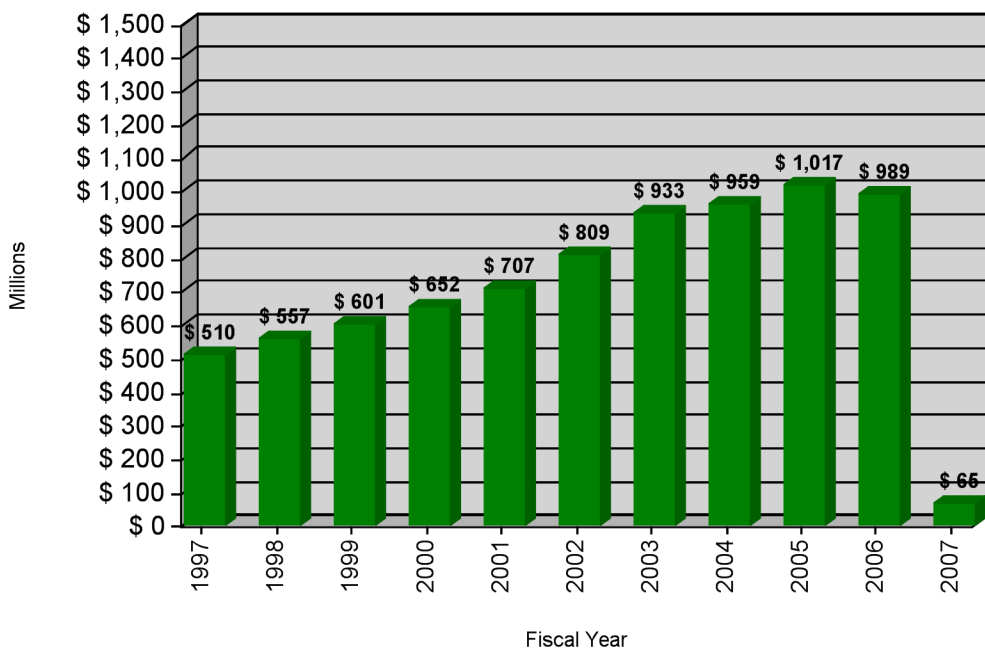
### Fiscal Year to Date

#### Comparison of Grant & Contract Awards



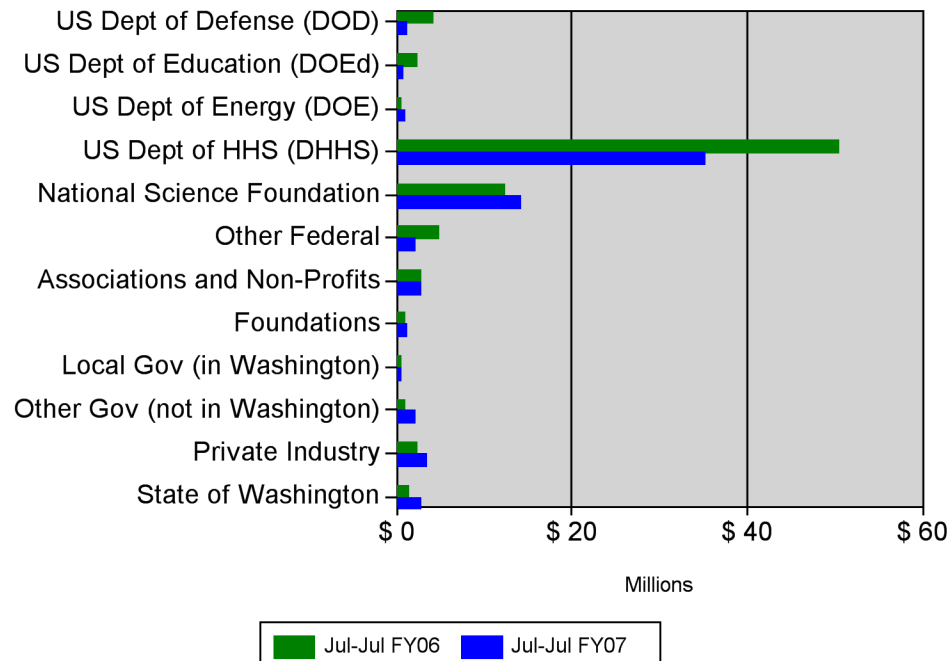
### Complete Fiscal Year

#### Comparison of Grant & Contract Awards



### Fiscal Year to Date

#### Two-Year Comparison of Grant and Contract Awards



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**Summary of Grant and Contract Awards**

**Fiscal Year 2006-2007**

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<b>Month</b>	<b>RESEARCH AND OTHER</b>		<b>TRAINING</b>		<b>Total Grants and Contracts</b>
	<b>Federal</b>	<b>Non-Federal</b>	<b>Federal</b>	<b>Non-Federal</b>	
July	\$ 47,127,420	\$ 10,699,020	\$ 6,191,659	\$ 693,994	\$ 64,712,090
<b>FY07 to Date</b>	<b>\$47,127,416</b>	<b>\$10,699,024</b>	<b>\$6,191,659</b>	<b>\$693,994</b>	<b>\$64,712,093</b>
<b>FY06 to Date</b>	<b>\$67,382,735</b>	<b>\$7,378,507</b>	<b>\$6,295,934</b>	<b>\$434,028</b>	<b>\$81,491,204</b>
<b>Over (Under) Previous Year</b>	<b>(\$20,255,319)</b>	<b>\$3,320,517</b>	<b>(\$104,275)</b>	<b>\$259,966</b>	<b>(\$16,779,111)</b>

*Assuming acceptance of all awards by the Board of Regents*

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**Comparison of Grant and Contract Awards by Agency****Fiscal Years 2005-2006 and 2006-2007**

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<b>Agency</b>	<b>Jul-Jul FY06</b>	<b>Jul-Jul FY07</b>
US Department of Defense (DOD)	\$ 4,015,761	\$ 943,514
US Department of Education (DOEd)	\$ 2,249,858	\$ 541,373
US Department of Energy (DOE)	\$ 404,598	\$ 872,000
US Department of Health and Human Services (DHHS)	\$ 50,239,976	\$ 34,939,334
National Science Foundation (NSF)	\$ 12,218,743	\$ 14,122,829
Other Federal	\$ 4,549,733	\$ 1,900,025
<b>Subtotal for Federal :</b>	<b>\$ 73,678,669</b>	<b>\$ 53,319,075</b>
Associations and Non-Profits	\$ 2,683,412	\$ 2,650,337
Foundations	\$ 764,215	\$ 1,119,753
Local Government (in Washington)	\$ 160,489	\$ 97,634
Other Government (not in Washington)	\$ 819,292	\$ 1,813,530
Private Industry	\$ 2,154,006	\$ 3,183,497
State of Washington	\$ 1,231,121	\$ 2,528,268
<b>Subtotal for Non-Federal :</b>	<b>\$ 7,812,535</b>	<b>\$ 11,393,018</b>
<b>Grand Total :</b>	<b>\$ 81,491,204</b>	<b>\$ 64,712,093</b>

**Amount of Increase (Decrease) : (\$ 16,779,111)****Percent of Increase (Decrease) : (20.6 %)***Assuming acceptance of all awards by the Board of Regents*

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**Comparison of Grant and Contract Awards by School/College**Fiscal Years 2005-2006 and 2006-2007

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School/College	Jul-Jul FY06	Jul-Jul FY07
<b>Upper Campus</b>		
Architecture and Urban Planning		\$ 59,923
Arts and Sciences	\$ 4,588,131	\$ 11,119,459
Business Administration		\$ 29,800
Education	\$ 2,249,858	
Engineering	\$ 9,806,129	\$ 5,738,247
Evans School of Public Affairs	\$ 115,000	\$ 5,283
Forest Resources	\$ 575,614	\$ 765,332
Graduate School	\$ 1,326,225	\$ 23,301
Information School	\$ 46,731	\$ 190,289
Law	\$ 60,863	
Ocean and Fishery Sciences	\$ 8,427,059	\$ 3,515,755
Office of Research	\$ 106,345	\$ 525,335
Social Work	\$ 639,521	\$ 439,789
VP Educational Partnerships	\$ 35,853	
<b>Subtotal :</b>	<b>\$ 27,977,329</b>	<b>\$ 22,412,513</b>
<b>Health Sciences</b>		
Dentistry	\$ 2,512,225	\$ 1,115,574
Health Sciences Admin	\$ 3,271,915	\$ 5,625,550
Medicine	\$ 32,246,433	\$ 27,617,732
Nursing	\$ 1,104,812	\$ 1,096,885
Pharmacy	\$ 319,994	\$ 319,462
Public Health and Community Medicine	\$ 14,054,855	\$ 6,524,378
<b>Subtotal :</b>	<b>\$ 53,510,234</b>	<b>\$ 42,299,580</b>
<b>Special Programs</b>		
<b>Subtotal :</b>		
<b>Other UW Campuses</b>		
Bothell	\$ 3,641	
<b>Subtotal :</b>	<b>\$ 3,641</b>	
<b>Grand Total :</b>	<b>\$ 81,491,204</b>	<b>\$ 64,712,093</b>

*Assuming acceptance of all awards by the Board of Regents*

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**List of Awards for Arts and Sciences****Fiscal Years 2005-2006 and 2006-2007**

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<b>Department</b>	<b>Jul-Jul FY06</b>	<b>Jul-Jul FY07</b>
Center for Statistics and the Social Sciences	\$ 85,211	\$0
Center for Studies in Demography and Ecology	\$ 133,658	\$ 30,000
Department of Anthropology	\$ 25,000	\$0
Department of Applied Mathematics	\$ 183,834	\$ 422,167
Department of Astronomy	\$ 173,653	\$ 64,996
Department of Atmospheric Sciences	\$ 235,171	\$ 586,457
Department of Biology	\$ 276,750	\$ 2,132,494
Department of Chemistry	\$ 1,487,246	\$ 4,241,244
Department of Communication	\$0	\$ 1,100
Department of Earth and Space Sciences	\$ 130,732	\$ 295,634
Department of Economics	\$ 7,250	\$0
Department of History	\$0	\$ 5,300
Department of Mathematics	\$0	\$ 171,289
Department of Near Eastern Languages and Civilization	\$ 17,500	\$0
Department of Physics	\$ 1,397,999	\$ 137,759
Department of Political Science	\$0	\$ 23,948
Department of Psychology	\$ 303,200	\$ 2,583,059
Department of Sociology	\$0	\$ 3,846
Department of Speech and Hearing Sciences	\$0	\$ 345,785
Department of Statistics	\$ 3,427	\$0
Institute for Nuclear Theory	\$ 120,000	\$0
Public Performing Arts	\$ 2,500	\$ 11,165
School of Art	\$0	\$ 48,000
Thomas Burke Memorial Washington State Museum	\$ 5,000	\$ 15,216
<b>Total :</b>	<b>\$ 4,588,130</b>	<b>\$ 11,119,460</b>

*Assuming acceptance of all awards by the Board of Regents*

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**Summary of Grant Awards**

**Fiscal Year 2006-2007**

*Excluding private awards from Foundations, Industry, Associations and Others*

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<b>Month</b>	<b>RESEARCH AND OTHER</b>		<b>TRAINING</b>		<b>Total Grants</b>
	<b>Federal</b>	<b>Non-Federal</b>	<b>Federal</b>	<b>Non-Federal</b>	
July	\$ 46,515,120	\$ 3,501,075	\$ 6,191,659	\$ 116,675	\$ 56,324,530
<b>Year to Date</b>	<b>\$ 46,515,120</b>	<b>\$ 3,501,075</b>	<b>\$ 6,191,659</b>	<b>\$ 116,675</b>	<b>\$ 56,324,530</b>

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*Assuming acceptance of all awards by the Board of Regents*

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**Summary of Grant Awards**

**Fiscal Year 2006-2007**

*Private awards from Foundations, Industry, Associations and Others*

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<b>Month</b>	<b>RESEARCH AND OTHER</b>	<b>TRAINING</b>	<b>Total Grants</b>
July	\$ 4,648,699	\$ 61,206	\$ 4,709,905
<b>Year to Date</b>	<b>\$ 4,648,699</b>	<b>\$ 61,206</b>	<b>\$ 4,709,905</b>

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*Assuming acceptance of all awards by the Board of Regents*



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**Summary of Contract Awards**

**Fiscal Year 2006-2007**

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<b>Month</b>	<b>RESEARCH AND OTHER</b>		<b>TRAINING</b>	<b>Total Contracts</b>
	<b>Federal</b>	<b>Non-Federal</b>	<b>Non-Federal</b>	
July	\$ 612,297	\$ 2,549,250	\$ 516,113	\$ 3,677,660
<b>Year to Date</b>	<b>\$ 612,297</b>	<b>\$ 2,549,250</b>	<b>\$ 516,113</b>	<b>\$ 3,677,660</b>

*Assuming acceptance of all awards by the Board of Regents*

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**Report of Grant and Contract Awards  
of \$1,000,000 or More**

**July 2006**

**Requiring action of  
The Board of Regents  
of the  
University of Washington**

**Office of Research  
Office of Sponsored Programs**

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**Detail of Public Grant Awards**

**Federal**

**US Department of Health and Human Services (DHHS)**

**Health Resources and Services Administration (HRSA)**

To: Bernadette Lalonde, Research Associate Professor \$ 2,188,001  
 Department of Health Services  
 For: Regional AETC Program  
 Eff: 7/1/2006

**Total for Health Resources and Services Administration (HRSA): \$ 2,188,001**

**National Institutes of Health (NIH)**

*National Institute of Child Health and Human Development (NICHD)*

To: Michael J Guralnick, Director \$ 2,610,214  
 Chdd Administration  
 For: Research in Mental Retardation and Child Development  
 Eff: 7/1/2006

*Total for National Institute of Child Health and Human Development (NICHD): \$ 2,610,214*

*National Institute of Mental Health (NIMH)*

To: Geraldine Dawson, Professor \$ 1,733,335  
 Department of Psychology  
 For: UW STAART Center of Excellence  
 Eff: 5/13/2003

*Total for National Institute of Mental Health (NIMH): \$ 1,733,335*

*National Library of Medicine (NLM)*

To: Peter Tarczy-hornoch, Assoc Professor \$ 1,013,437  
 Department of Medical Education  
 For: Biomedical and Health Informatics Training Program  
 Eff: 7/1/2006

*Total for National Library of Medicine (NLM): \$ 1,013,437*

**Total for National Institutes of Health (NIH): \$ 5,356,986**

**Total for US Department of Health and Human Services (DHHS): \$ 7,544,987**

**National Science Foundation (NSF)**

**National Science Foundation (NSF)**

To: Cynthia J. Atman, Director \$ 2,082,568  
 Industrial Engineering  
 For: Center for the Advancement of Engineering Education  
 Eff: 1/1/2003

To: Luca Comai, Professor \$ 1,548,318  
 Department of Biology  
 For: VCA: Functional genomics of plant polyploids  
 Eff: 8/25/2005

To: Larry R. Dalton, Professor \$ 3,604,000  
 Department of Chemistry  
 For: Science & Technology Center on Materials and Devices for Information  
 Eff: 8/1/2002

To: Geoffrey M Boynton, Faculty \$ 2,000,000

Detail of Public Grant Awards

Federal

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**National Science Foundation (NSF)**

**National Science Foundation (NSF)**

Department of Psychology

For: Acquisition of a 3-Tesla Magnetic Resonance Imaging (MRI)  
Scanner for Functional Studies of the Human Brain

Eff: 9/1/2006

**Total for National Science Foundation (NSF):** \$ 9,234,886

**Total for National Science Foundation (NSF):** \$ 9,234,886

**Total for Federal:** \$ 16,779,873

**Total Public Grants:** \$ 16,779,873

**Grand Total for all Awards** \$ 16,779,873

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# **Grant and Contract Awards Summary**

**to**

**The Board of Regents**

**of the**

**University of Washington**

**for**

**August 2006**

**Office of Research**

**Office of Sponsored Programs**

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Award Highlights	3
Graphical Summary of Award Activity	4
Summary of Grant and Contract Awards	5
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## AUGUST HIGHLIGHTS

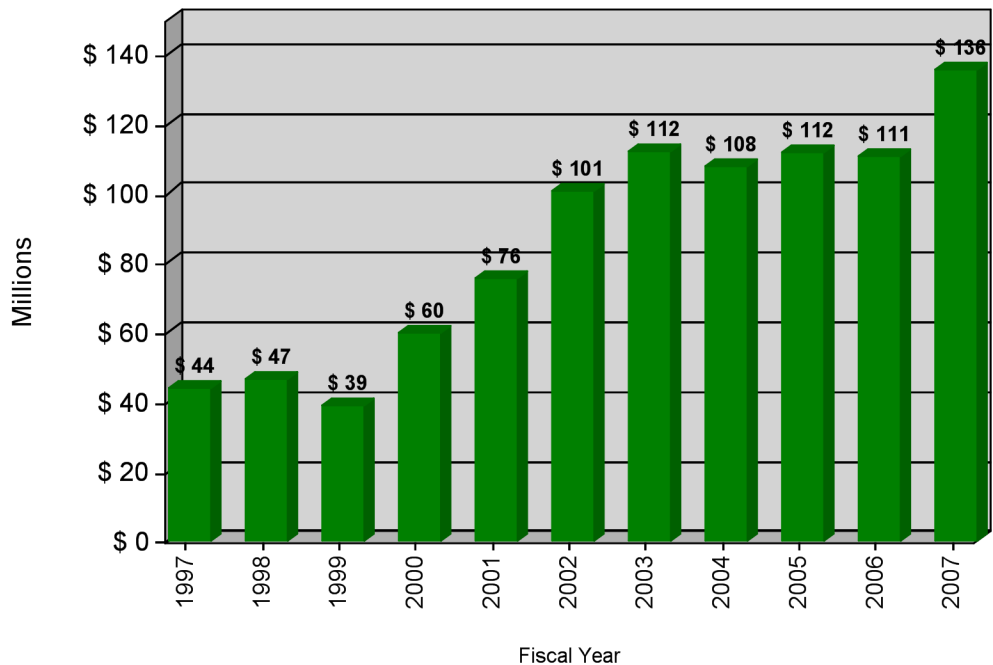
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The U.S. Department of Education awarded a grant in the amount of \$2,148,879 for a project under the direction of Associate Professor Douglas A. Cheney of the Experimental Education Unit entitled “Evidence-Based Interventions for Severe Behavior Problems.” The purpose of this project is for an intervention at 18 schools using evidence-based practice to improve the social and academic functioning of approximately 400 students. Three Washington school districts have been recruited to participate in this program. A measurement model will be used to assess the constructs of school engagement, academic success, social competence and problem behavior using standardized measures.

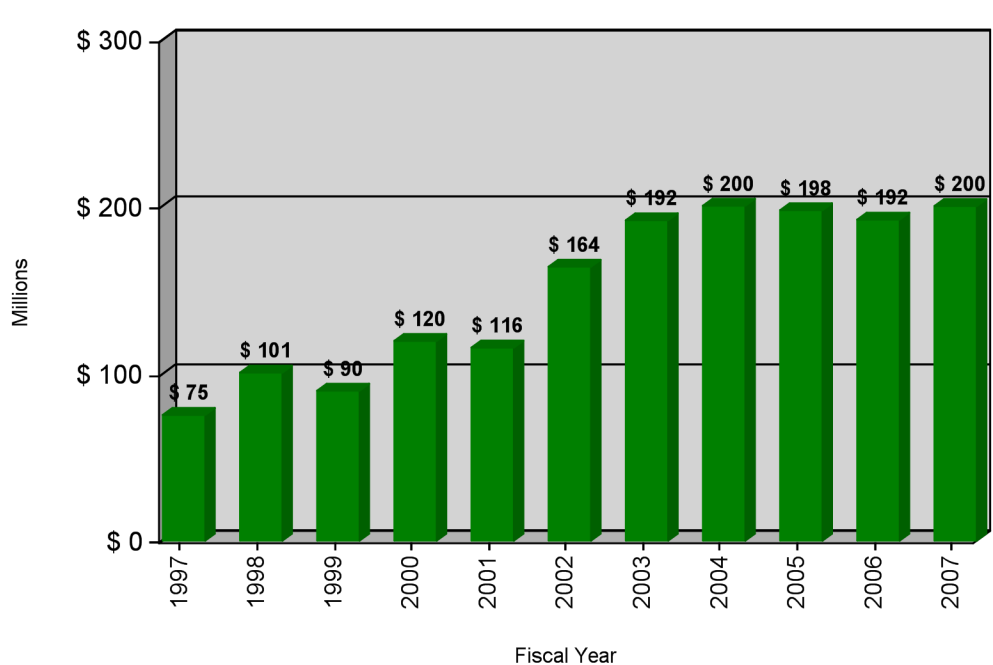
The National Science Foundation awarded a grant in the amount of \$955,933 for a project under the direction of Professor Thomas E. Anderson of the Computer Science and Engineering Department entitled “MRI Development: Enabling Lightweight Planetary Scale Services.” The Internet’s vulnerabilities are being exposed due to high use and its abilities to support new applications and assimilate new technologies have become increasingly limited. To meet this need, several years ago researchers created a testbed called PlanetLab. The goals of this project are to improve the cost-effectiveness of NSF’s current and future planetary testbeds and to reduce by an order of magnitude the startup time for new PlanetLab/Global Environment for Network Investigations researchers to develop and deploy an experiment.

The Paul G. Allen Family Foundations awarded a grant in the amount of \$300,000 for a project under the direction of Associate Professor Shannon K. Dudley of the department of Music for a project entitled “Seattle Partnership for American Popular Music (SPAPM).” SPAPM is a collaborative alliance between the UW School of Music, Experience Music Project (EMP) and KEXP radio. This funding will support the educational work of SPAPM for the next three years. The goal of the program is to improve and expand American popular music education and scholarship in both formal learning environments at the UW and public schools and in the broader communities reached through EMP’s exhibits and KEXP’s radio programming. The result will expose music fans to new questions about culture and history and give music students a meaningful exposure to the great variety of genres and styles that constitute “American” music.

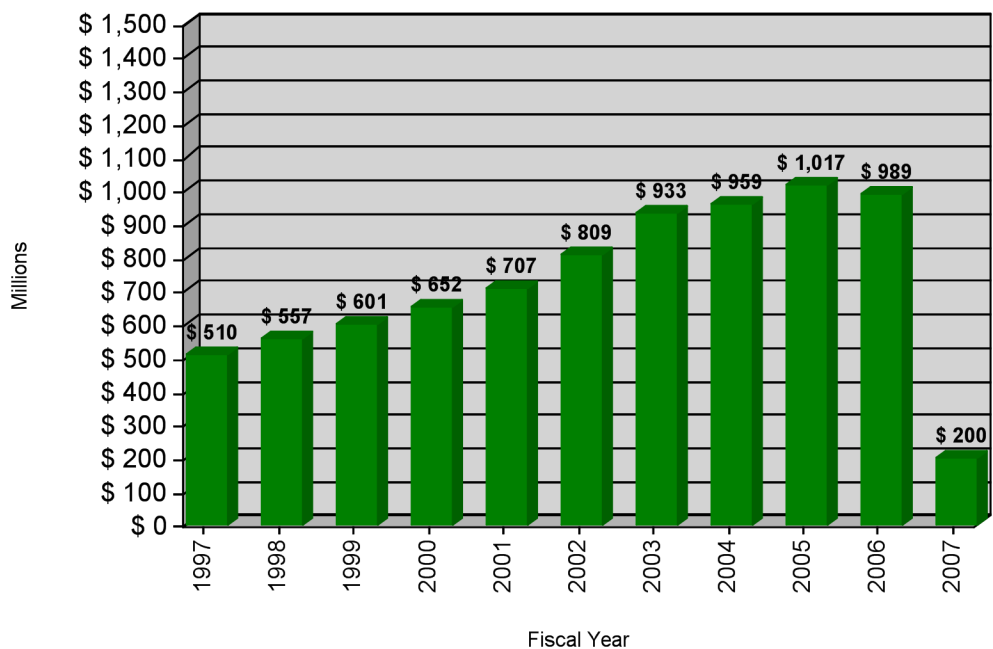
**August Only**  
**Comparison of Grant & Contract Awards**



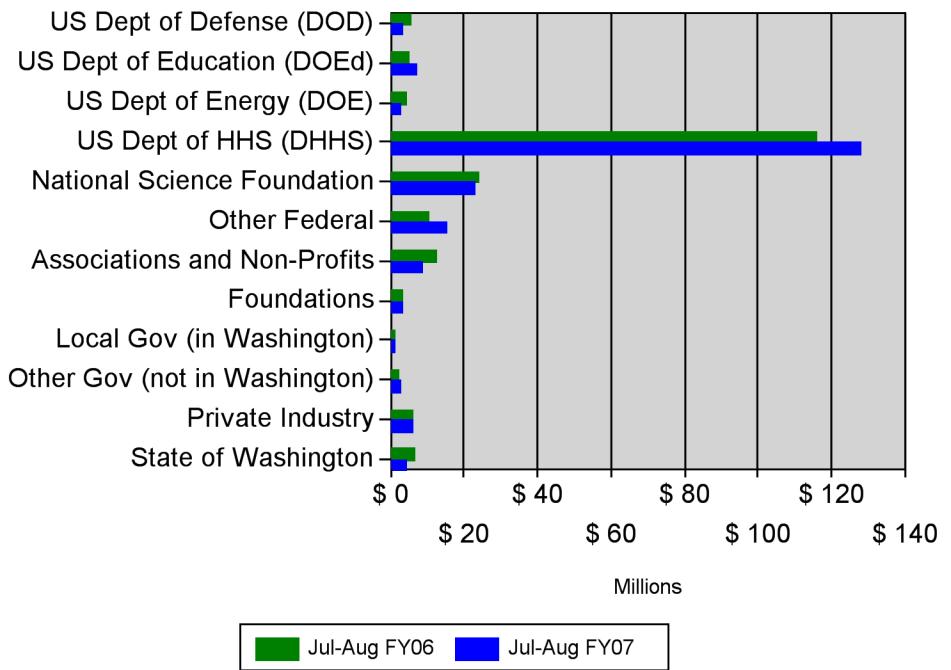
**Fiscal Year to Date**  
**Comparison of Grant & Contract Awards**



**Complete Fiscal Year**  
**Comparison of Grant & Contract Awards**



**Fiscal Year to Date**  
**Two-Year Comparison of Grant and Contract Awards**





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**Summary of Grant and Contract Awards**

Fiscal Year 2006-2007

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<b>Month</b>	<b>RESEARCH AND OTHER</b>		<b>TRAINING</b>		<b>Total Grants and Contracts</b>
	<b>Federal</b>	<b>Non-Federal</b>	<b>Federal</b>	<b>Non-Federal</b>	
July	\$ 47,127,420	\$ 10,699,020	\$ 6,191,659	\$ 693,994	\$ 64,712,090
August	\$ 117,139,800	\$ 11,804,940	\$ 6,156,148	\$ 443,216	\$ 135,544,100
<b>FY07 to Date</b>	<b>\$164,267,254</b>	<b>\$22,503,967</b>	<b>\$12,347,807</b>	<b>\$1,137,210</b>	<b>\$200,256,238</b>
<b>FY06 to Date</b>	<b>\$151,985,276</b>	<b>\$25,285,084</b>	<b>\$10,665,668</b>	<b>\$4,144,999</b>	<b>\$192,081,027</b>
<b>Over (Under) Previous Year</b>	<b>\$12,281,978</b>	<b>(\$2,781,117)</b>	<b>\$1,682,139</b>	<b>(\$3,007,789)</b>	<b>\$8,175,211</b>

*Assuming acceptance of all awards by the Board of Regents*

**Comparison of Grant and Contract Awards by Agency**

**Fiscal Years 2005-2006 and 2006-2007**

<b>Agency</b>	<b>Jul-Aug FY06</b>	<b>Jul-Aug FY07</b>
US Department of Defense (DOD)	\$ 5,199,039	\$ 2,921,439
US Department of Education (DOEd)	\$ 4,422,471	\$ 6,982,358
US Department of Energy (DOE)	\$ 4,133,949	\$ 2,135,480
US Department of Health and Human Services (DHHS)	\$ 115,462,541	\$ 127,422,238
National Science Foundation (NSF)	\$ 23,472,501	\$ 22,465,902
Other Federal	\$ 9,960,443	\$ 14,687,644
<b>Subtotal for Federal :</b>	<b>\$ 162,650,944</b>	<b>\$ 176,615,061</b>
Associations and Non-Profits	\$ 12,022,290	\$ 8,593,145
Foundations	\$ 2,992,847	\$ 2,758,423
Local Government (in Washington)	\$ 331,562	\$ 359,148
Other Government (not in Washington)	\$ 1,744,428	\$ 2,615,908
Private Industry	\$ 5,899,804	\$ 5,475,243
State of Washington	\$ 6,439,152	\$ 3,839,311
<b>Subtotal for Non-Federal :</b>	<b>\$ 29,430,083</b>	<b>\$ 23,641,177</b>
<b>Grand Total :</b>	<b>\$ 192,081,027</b>	<b>\$ 200,256,238</b>

**Amount of Increase (Decrease) : \$ 8,175,211**  
**Percent of Increase (Decrease) : 4.3 %**

*Assuming acceptance of all awards by the Board of Regents*

**Comparison of Grant and Contract Awards by School/College**

Fiscal Years 2005-2006 and 2006-2007

School/College	Jul-Aug FY06	Jul-Aug FY07
<b>Upper Campus</b>		
Architecture and Urban Planning	\$ 28,281	\$ 109,923
Arts and Sciences	\$ 14,445,704	\$ 18,759,481
Business Administration	\$ 159,102	\$ 29,800
Director of Libraries	\$ 277,810	
Education	\$ 2,262,332	\$ 3,591,185
Engineering	\$ 23,118,826	\$ 16,691,786
Evans School of Public Affairs	\$ 303,350	\$ 552,308
Forest Resources	\$ 1,538,465	\$ 1,202,503
Graduate School	\$ 1,397,533	\$ 23,301
Information School	\$ 145,362	\$ 199,801
Law	\$ 223,296	
Ocean and Fishery Sciences	\$ 13,433,683	\$ 9,102,512
Office of Research	\$ 4,714,996	\$ 13,216,038
Social Work	\$ 1,961,588	\$ 1,136,383
Undergraduate Education	\$ 63,813	
VP Educational Partnerships	\$ 55,853	\$ 81,347
VP Minority Affairs	\$ 508,849	\$ 2,176,608
VP Student Life	\$ 5,500	
<b>Subtotal :</b>	<b>\$ 64,644,343</b>	<b>\$ 66,872,976</b>
<b>Health Sciences</b>		
Dentistry	\$ 4,407,180	\$ 1,293,736
Health Sciences Admin	\$ 5,056,421	\$ 6,832,887
Medicine	\$ 87,681,978	\$ 97,915,437
Nursing	\$ 3,197,292	\$ 3,303,977
Pharmacy	\$ 3,193,032	\$ 2,523,209
Public Health and Community Medicine	\$ 22,803,619	\$ 20,740,466
<b>Subtotal :</b>	<b>\$ 126,339,522</b>	<b>\$ 132,609,712</b>
<b>Special Programs</b>		
<b>Subtotal :</b>		
<b>Other UW Campuses</b>		
Bothell	\$ 603,641	\$ 588,830
Tacoma	\$ 493,521	\$ 184,720
<b>Subtotal :</b>	<b>\$ 1,097,162</b>	<b>\$ 773,550</b>
<b>Grand Total :</b>	<b>\$ 192,081,027</b>	<b>\$ 200,256,238</b>

*Assuming acceptance of all awards by the Board of Regents*

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**List of Awards for Arts and Sciences****Fiscal Years 2005-2006 and 2006-2007**

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<b>Department</b>	<b>Jul-Aug FY06</b>	<b>Jul-Aug FY07</b>
Center for Statistics and the Social Sciences	\$ 85,211	\$0
Center for Studies in Demography and Ecology	\$ 194,230	\$ 422,154
Department of Anthropology	\$ 25,000	\$ 44,850
Department of Applied Mathematics	\$ 677,970	\$ 579,869
Department of Astronomy	\$ 592,056	\$ 823,314
Department of Atmospheric Sciences	\$ 1,314,907	\$ 2,074,241
Department of Biology	\$ 1,170,723	\$ 2,827,983
Department of Chemistry	\$ 2,809,841	\$ 5,090,320
Department of Communication	\$0	\$ 201,100
Department of Earth and Space Sciences	\$ 1,015,327	\$ 476,822
Department of Economics	\$ 7,250	\$0
Department of History	\$0	\$ 70,694
Department of Mathematics	\$ 109,000	\$ 203,760
Department of Near Eastern Languages and Civilization	\$ 17,500	\$0
Department of Physics	\$ 1,844,538	\$ 436,751
Department of Political Science	\$0	\$ 69,696
Department of Psychology	\$ 1,186,698	\$ 4,371,287
Department of Sociology	\$ 158,388	\$ 3,846
Department of Speech and Hearing Sciences	\$ 498,138	\$ 558,013
Department of Statistics	\$ 334,507	\$ 20,000
Henry M. Jackson School of International Studies	\$ 17,920	\$0
Institute for Nuclear Theory	\$ 2,373,000	\$ 50,000
Law, Societies and Justice Program	\$0	\$ 50,000
Public Performing Arts	\$ 2,500	\$ 21,565
School of Art	\$0	\$ 48,000
School of Music	\$0	\$ 300,000
Thomas Burke Memorial Washington State Museum	\$ 11,000	\$ 15,216
<b>Total :</b>	<b>\$ 14,445,700</b>	<b>\$ 18,759,480</b>

*Assuming acceptance of all awards by the Board of Regents*

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**Summary of Grant Awards****Fiscal Year 2006-2007***Excluding private awards from Foundations, Industry, Associations and Others*

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<b>Month</b>	<b>RESEARCH AND OTHER</b>		<b>TRAINING</b>		<b>Total Grants</b>
	<b>Federal</b>	<b>Non-Federal</b>	<b>Federal</b>	<b>Non-Federal</b>	
July	\$ 46,515,120	\$ 3,501,075	\$ 6,191,659	\$ 116,675	\$ 56,324,530
August	\$ 115,103,000	\$ 1,805,011	\$ 6,156,148	\$ 0	\$ 123,064,100
<b>Year to Date</b>	<b>\$ 161,618,100</b>	<b>\$ 5,306,086</b>	<b>\$ 12,347,810</b>	<b>\$ 116,675</b>	<b>\$ 179,388,700</b>

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*Assuming acceptance of all awards by the Board of Regents*

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**Summary of Grant Awards****Fiscal Year 2006-2007***Private awards from Foundations, Industry, Associations and Others*

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<b>Month</b>	<b>RESEARCH AND OTHER</b>	<b>TRAINING</b>	<b>Total Grants</b>
July	\$ 4,648,699	\$ 61,206	\$ 4,709,905
August	\$ 7,104,350	\$ 184,174	\$ 7,288,524
<b>Year to Date</b>	<b>\$ 11,753,050</b>	<b>\$ 245,380</b>	<b>\$ 11,998,430</b>

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*Assuming acceptance of all awards by the Board of Regents*

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**Summary of Contract Awards**

**Fiscal Year 2006-2007**

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<b>Month</b>	<b>RESEARCH AND OTHER</b>		<b>TRAINING</b>	<b>Total Contracts</b>
	<b>Federal</b>	<b>Non-Federal</b>	<b>Non-Federal</b>	
July	\$ 612,297	\$ 2,549,250	\$ 516,113	\$ 3,677,660
August	\$ 2,036,860	\$ 2,895,583	\$ 259,042	\$ 5,191,485
<b>Year to Date</b>	<b>\$ 2,649,157</b>	<b>\$ 5,444,833</b>	<b>\$ 775,155</b>	<b>\$ 8,869,144</b>

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*Assuming acceptance of all awards by the Board of Regents*

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**Report of Grant and Contract Awards  
of \$1,000,000 or More**

**August 2006**

**Requiring action of  
The Board of Regents  
of the  
University of Washington**

**Office of Research  
Office of Sponsored Programs**

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**Detail of Public Grant Awards**

**Federal**

**US Department of Education (DOEd)**

**US Department of Education (DOEd)**

To:	Douglas A. Cheney, Associate Professor Dept Of Education	\$ 2,148,879
For:	Evidence-Based Interventions for Severe Behavior Problems: UW Evidence-Based Practices Project	
Eff:	10/1/2006	
To:	Ilene Sharon Schwartz, Professor Dept Of Education	\$ 1,000,000
For:	{GC-1 #141717} CFDA 84.325G Center for Training Personnel to Provide Evidence-Based Educational Services to Students with Autism Spectrum Disorders	
Eff:	1/1/2007	
To:	Loueta D. Johnson, Director VP MINORITY AFFAIRS	\$ 2,176,608
For:	Two Valleys One Vision GEAR UP Program	
Eff:	9/1/2006	

**Total for US Department of Education (DOEd):** **\$ 5,325,487**

**Total for US Department of Education (DOEd):** **\$ 5,325,487**

**US Department of Health and Human Services (DHHS)**

**Centers for Disease Control and Prevention (CDC)**

To:	James P Logerfo Sr., Professor Department of Health Services	\$ 3,257,700
For:	Health Promotion Research Center and Special Interest Projects	
Eff:	9/30/2006	

**Total for Centers for Disease Control and Prevention (CDC):** **\$ 3,257,700**

**National Institute for Occupational Safety and Health (NIOSH)**

To:	Richard A. Fenske, Professor Department of Environmental and Occupational Health Sciences	\$ 1,377,583
For:	Pacific Northwest Agricultural Safety and Health Center	
Eff:	9/30/2006	

**Total for National Institute for Occupational Safety and Health (NIOSH):** **\$ 1,377,583**

**National Institutes of Health (NIH)**

To:	Stephen Mark Schwartz, Professor Department of Pathology	\$ 2,104,328
For:	GENOMIC AND GENETIC APPROACHES TO PLAQUE RUPTURE	
Eff:	9/1/2006	
To:	Scott S. Emerson, Professor Department of Biostatistics	\$ 6,193,836
For:	EMS Network Data Coordinating Center	
Eff:	7/1/2006	
To:	Peter S. Rabinovitch, Professor Department of Pathology	\$ 1,843,916
For:	Gene Action in the Pathobiology of Aging	
Eff:	9/1/2006	
To:	Deirdre R. Meldrum, Professor	\$ 3,723,095

**Detail of Public Grant Awards**

**Federal**

**US Department of Health and Human Services (DHHS)**

**National Institutes of Health (NIH)**

Electrical Engineering

For: CEGS: Microscale Life Sciences Center

Eff: 8/1/2005

To: Sidney D Nelson, Dean \$ 1,073,300  
Dean of Pharmacy

For: Washington National Primate Research Center

Eff: 5/1/2005

To: Connie L. Celum, Professor \$ 3,638,673  
Department of Medicine

For: Acyclovir to Prevent HIV Acquisition in HSV-2+ Persons

Eff: 8/1/2006

To: Connie L. Celum, Professor \$ 1,482,575  
Department of Medicine

For: Acyclovir to Prevent HIV Acquisition in HSV-2+ Persons-  
Supplement #2

Eff: 7/1/2004

To: G Stamatoyannopoulos, Professor \$ 2,223,676  
Department of Medicine

For: Stem Cell Gene Therapy for Hemoglobinopathies

Eff: 9/1/2006

To: G Stamatoyannopoulos, Professor \$ 2,458,200  
Department of Medicine

For: Identification of Functional DNA Elements by HSqPCR

Eff: 8/8/2006

*National Center for Research Resources (NCRR)*

To: Paul G Ramsey, Dean \$ 5,182,258  
Principal Accts

For: UW General Clinical Research Center Non-competing Renewal

Eff: 4/1/2006

*Total for National Center for Research Resources (NCRR):* \$ 5,182,258

*National Heart, Lung, and Blood Institute (NHLBI)*

To: Charles E. Murry, Professor \$ 1,928,910  
Department of Pathology

For: Mechanisms of Cardiovascular Reaction to Injury

Eff: 8/1/2006

To: Deborah A Nickerson, Professor \$ 2,504,333  
Department of Genome Sciences

For: UW-FHCRC Variation Discovery Resource

Eff: 8/1/2006

To: Thomas R. Martin, Professor \$ 3,240,787  
Department of Medicine

For: SCCOR in Translational Reserach in Acute Lung Injury

Eff: 7/1/2006

*Total for National Heart, Lung, and Blood Institute (NHLBI):* \$ 7,674,030

*National Human Genome Research Institute (NHGRI)*

**Detail of Public Grant Awards**

**Federal**

**US Department of Health and Human Services (DHHS)**

**National Institutes of Health (NIH)**

*National Human Genome Research Institute (NHGRI)*

To: Stanley Fields, Professor \$ 1,021,612  
 Department of Genome Sciences  
 For: Interdisciplinary Training in Genomic Sciences  
 Eff: 9/1/2006

*Total for National Human Genome Research Institute (NHGRI):* \$ 1,021,612

*National Institute of Diabetes and Digestive and Kidney Diseases (NIDDK)*

To: Walter E Stamm, Professor \$ 1,204,133  
 Department of Medicine  
 For: Pathogenic Mechanisms in UTI  
 Eff: 7/1/2006

To: Michael W Schwartz, Professor \$ 1,172,071  
 Department of Medicine  
 For: Hypothalamic Control of Food Intake and Body Weight  
 Eff: 8/1/2006

*Total for National Institute of Diabetes and Digestive and Kidney Diseases (NIDDK):* \$ 2,376,204

*National Institute of General Medical Sciences (NIGMS)*

To: Garrett M. Odell, Professor \$ 2,041,058  
 Department of Biology  
 For: Gene Networks: From Molecules to Mechanistic Models  
 Eff: 8/1/2006

To: Allan E. Rettie, Professor \$ 1,534,432  
 Department of Medicinal Chemistry  
 For: Drug Interactions  
 Eff: 8/1/2006

*Total for National Institute of General Medical Sciences (NIGMS):* \$ 3,575,490

**Total for National Institutes of Health (NIH):** \$ 44,571,193

**Total for US Department of Health and Human Services (DHHS):** \$ 49,206,476

**Other Federal**

**National Oceanic and Atmospheric Administration (NOAA)**

To: John M Wallace, Director \$ 6,466,459  
 Joint Institute for Study of the Atmosphere and Ocean (JISAO)  
 For: JISAO Task II  
 Eff: 7/1/2005

To: John M Wallace, Director \$ 2,684,000  
 Joint Institute for Study of the Atmosphere and Ocean (JISAO)  
 For: The Argo Project: Global Ocean Observations for Understanding  
 and Prediction of Climate Variability  
 Eff: 7/1/2006

**Total for National Oceanic and Atmospheric Administration (NOAA):** \$ 9,150,459

**Total for Other Federal:** \$ 9,150,459

**Detail of Public Grant Awards**

**Federal**

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<b>Total for Other Federal:</b>	<b>\$ 9,150,459</b>
<b>Total for Federal:</b>	<b>\$ 63,682,422</b>
<b>Total Public Grants:</b>	<b>\$ 63,682,422</b>
<b>Grand Total for all Awards</b>	<b>\$ 63,682,422</b>

**VII. STANDING COMMITTEES**

Finance, Audit and Facilities Committee

**B. Extension of Appointments of Insurance Brokers of Record****RECOMMENDED ACTION:**

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the Board of Regents extend the appointments as Insurance Brokers of Record Parker, Smith & Feek, Inc. for property, casualty and miscellaneous insurance policies and Mercer Health and Benefits, LLC for the student health plans, until Dec. 1, 2011, subject to satisfactory performance.

**BACKGROUND:**

The University uses insurance brokers to obtain the best possible insurance coverage from worldwide insurance carriers and to consult on risk management and insurance matters. In 2001, the Board appointed Parker, Smith & Feek for property insurance coverage and Marsh & McLennan for casualty and miscellaneous insurance coverage and the student health plans. Both appointments were for a five year period with an option to extend for an additional 5 years. In 2004, after the University's key casualty broker transferred from Marsh to Parker, Smith & Feek, and after an open competition, the Board appointed Parker, Smith & Feek for casualty and miscellaneous insurance coverage for the remainder of the original term, with an option to extend. At that time, the University also clarified that Mercer Health & Benefits, LLC, a sister corporation of Marsh, was directly appointed for the student health plans without the involvement of Marsh.

The services and expertise of both of the incumbent firms have fully satisfied the University's requirements. The appointments will expire this December unless extended.

To prepare a recommendation about the extension of the appointments, a panel including the Executive Director, Risk Management, the Director of Risk Financing, the Insurance Coordinator and the Senior Director, Strategic Sourcing undertook a review process which included:

1) a review of the recent literature about insurance broker evaluation techniques, focusing on the Risk and Insurance Management Society quality improvement study of 2004-05;

2) customer satisfaction interviews with insurance purchasers throughout the University, including the chief financial officers of UWMC and HMC, the Manager of Marine Operations, the Director of the Office of Student Life, the Contracts Manager and Director of Business Services for the Capital Projects Office, the Director of the Benefits Office and the Manager of Claim Services;

## VII. STANDING COMMITTEES

### Finance, Audit and Facilities Committee

#### Extension of Appointments of Insurance Brokers of Record (continued p.2)

3) a review of the compensation of each firm, including contingent commissions, for the past 5 years; and

4) discussions with the key service providers of each firm, in which insurance placements, related consulting services, corporate integrity, compensation, brokerage staff education and opportunities for improvement were addressed.

The panel found that Parker, Smith & Feek has demonstrated its commitment to the University by its excellent service delivery, attention to quality and market-responsive compensation arrangements. Its regional depth and breadth of expertise is enhanced by its membership in Assurex, an international insurance brokerage consortium which has been useful in placing coverage for the University's global activities. Its total compensation for the past fiscal year was about \$570,000 on a premium volume of about \$6,950,000, or 8% compared to the property/casualty industry average of 11%.

Mercer has worked diligently to develop new products and approaches for the student health plans and has provided uncompensated consulting services for Intercollegiate Athletics, the UW-Bothell and UW-Tacoma student bodies, and the Benefits Office. It pays special attention to the regulatory issues affecting the health plans so that the University will remain in full compliance with all relevant laws. Its compensation for the past fiscal year was about \$275,000 on a premium volume of \$17,136,000, or 1.6% compared to the benefits industry average of 2-3%.

The panel concluded that the appointment of both firms should be extended.

**VII. STANDING COMMITTEES****B. Finance, Audit and Facilities Committee**Purchase – Angiography SystemsRECOMMENDED ACTION:

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the President be delegated authority to execute contracts as appropriate with Philips Medical Systems, Inc. in an amount not to exceed \$7,650,000 plus sales tax, for acquisition of angiography systems for Harborview Medical Center; and to execute amendments to such contracts as necessary provided the increase is less than 10% of the not to exceed total amount, and such amendments are reported to the Board of Regents no less often than quarterly.

BACKGROUND:

Harborview Medical Center (HMC) provides a full complement of services in the neurosciences, caring for traumatic head injuries, and for individuals with central nervous system conditions such as stroke, epilepsy, brain tumors and others. HMC doctors have developed a comprehensive, multi-disciplinary service to diagnose and treat complex conditions of the brain. HMC strategic initiatives include growth in these services through its Neuroscience Center of Emphasis.

The treatment of neurological diseases is rapidly evolving, and new imaging techniques can improve the accuracy of diagnosis and expand treatment options. Angiography is an important imaging technique for neurological services, using x-ray pictures inside blood vessels to determine if the vessels are narrowed, leaking, enlarged or blocked. When used in conjunction with non-invasive treatments such as stents and embolizations, patients can be treated in the angiography suite rather than requiring surgery in the operating room.

A new, fourth angiography system is required to support the growth in services for the HMC neuroscience program. As part of its strategic plan for neurosciences, HMC will replace the two older angiography systems after the new system is installed, and upgrade its third system in order to provide the imaging techniques needed for enhanced diagnosis and treatment options.

HMC issued a competitive request for proposals for the new/upgraded angiography systems. Three responses were received. The proposal from Philips Medical Systems was determined to be most responsive to HMC's requirements, and offered the best financial terms for the additional system and the older system replacements and upgrade.

## VII. STANDING COMMITTEES

### B. Finance, Audit and Facilities Committee

#### Purchase – Angiography Systems (continued p. 2)

The new angiography system will be installed in the coming year. Replacement of the two older systems and upgrade of the third will be scheduled over two to three years. While Philips has guaranteed the pricing on these systems, it is likely that new technical features will become available during these years, which it would be valuable for HMC to include in its acquisitions. Therefore, authority is requested to amend these purchases by up to ten percent over the current not to exceed price of \$7,650,000 plus sales tax, and to report such actions taken to the Board of Regents no less often than quarterly.

Funding for the project costs is available from patient revenues.

This request has been approved by the HMC Executive Director, the HMC Board of Trustees, the Vice President for Medical Affairs and Dean of the School of Medicine, and the Executive Vice President.



VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority

Pursuant to the Standing Orders of the Board of Regents, Delegation of Authority, and to the delegation of authority from the President of the University to the Executive Vice President in Executive Order No. 1, to take action for projects or contracts that exceed \$1,000,000 in value or cost but are less than \$5,000,000, the Administration may approve and execute all instruments.

**Part I: Report of Actions Taken Under General Delegated Authority**

**More Hall Fire Sprinkler and Alarm Upgrade, Project No. 200608**

**Action Reported: Contract Award/Budget Adjustment**

On September 1, 2006 a construction contract was awarded to Western Ventures of Mountlake Terrace for the More Hall Fire Sprinkler and Alarm project in the amount of \$888,000. Two bids were received. The high bid was \$984,200. The original budget assumptions were overly conservative and with the actual contract value established, the original budget can be reduced from \$1,895,000 to \$1,292,200.

More Hall is an 83,000 square foot classroom and science research building that was constructed in 1946. The scope of this project includes retrofitting the fire sprinkler system, replacing the fire alarm panel and replacing fire alarm field devices as necessary to offer complete coverage to all floors in all areas.

Western Ventures is a general contractor that has successfully performed on several projects for the University of Washington including University of Washington Medical Center (UWMC) PET/CT, Harborview Medical Center (HMC) Elevator Upgrades, UWMC Comparative Medicine, Lander Hall Communications and Stevens Court.

The construction contract notice to proceed was issued on September 18<sup>th</sup> and substantial completion is anticipated in June 2007.

The project budget was \$1,895,000. The new project budget is \$1,292,200 and reflects the actual bid results. Funding is available from the 2005-2007 safety budget.

<b>Budget Summary:</b>	<b>Current Apprv'd Budget</b>	<b>Forecast Cost At Completion</b>
Total Consultant Svcs	\$154,358	\$100,736
Total Construction Cost*	\$1,574,565	\$1,060,175
Other Costs	\$26,001	\$40,998
Project Administration	\$140,076	\$90,291
<b>Total Project Budget</b>	<b>\$1,895,000</b>	<b>\$1,292,200</b>

\* Includes construction contract amount, contingencies and state sales tax.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority (continued p. 2)

**UWMC Chilled Water Upgrade Project, Project No. 10624  
Action Reported: Budget Adjustment**

On January 26, 2005 a design and construction contract, under the existing Energy Services Company (ESCO) contract agreement was awarded to McKinstry Company and reported to the Board of Regents in February 2005. The budget reported at the time was \$1,729,158. The budget has increased to \$2,054,917.

This project provides for future energy savings and efficiencies through the purchase of new, more efficient equipment. The existing UWMC chilled water system was undersized and inefficient for its present usage and for the planned process cooling needs of UWMC. The construction was completed on July 31, 2005, as scheduled, to meet the demands of UWMC projects slated to go on line from August 2005 through December 2006.

The project cost has increased by an estimated \$358,169 for a new project total of \$2,087,237. The cost increase was due to owner requested scope changes during construction: to provide additional redundancy within the cooling system by connecting multiple chilled water systems together to create a looped system; replacement of existing piping and valves, and a new non-water treatment for the cooling tower to match existing systems.

The cost of the improvements and budget increase is financed through the State of Washington's Equipment Lease/Purchase Program. UWMC Patient Revenue is the funding source for the lease payments. It is anticipated that future energy savings and Seattle City Light rebates will offset the lease payments.

<b>Budget Summary:</b>	<b>Original Approved Budget 12/22/2004</b>	<b>Forecast/Actual</b>
Total Consult Services	\$11,421	\$1,790
Total Construction Cost*	\$1,628,508	\$1,908,577
Other Costs	\$2,079	\$0
Project Administration	\$87,150	\$144,550
<b>Total Project Budget</b>	<b>\$1,729,158</b>	<b>\$2,054,917</b>

\*Includes design and construction contract amount, contingencies, design build costs and sales tax

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority (continued p. 3)

**UWMC Angiography Replacement, Project No. 201375  
Action Reported: Establish Budget / Appoint Architect**

On August 18, 2006 an agreement for architectural services was awarded to Taylor Gregory Butterfield Architects for the UWMC Angiography Replacement project under their existing Master Agreement for Architectural Services for the UWMC. The project budget is established at \$1,145,826.

Taylor Gregory Butterfield Architects has an extensive history in hospital renovations, with particular focus on radiology departments. In addition to many projects they have worked on at the Seattle campus such as the UWMC 3-T MRI project and several projects at Harborview, they have also successfully completed similar renovations for other hospitals in the region.

The value of the design contract is \$66,277. The budget for consultant services is \$159,290. The balance of the design budget is intended for hazardous materials design, commissioning, and miscellaneous services as well as the completed pre-design study.

The Angiography Replacement project consists of approximately 1,850 square feet within the existing Angiography area. Phase I, to be completed by UW Facilities Services, includes the expansion of the physicians' and schedulers' work area. Phase II includes the enlargement of the Body Single Plane room, reconfiguring the existing control room, and creating separate rooms for the tray prep and clean storage. The UWMC will be purchasing new Angiography equipment, under a separate contract, to replace the equipment currently being used.

The design will be completed by the end of October 2006 and construction completed in March 2007 for occupancy in April 2007.

The project budget is established at \$1,145,826. Funding is available from UWMC Patient Revenue.

<b>Budget Summary:</b>	<b>Current Apprv'd Budget</b>	<b>Forecast Cost At Completion</b>
Total Consultant Svcs	\$159,291	\$159,291
Total Construction Cost	\$876,934	\$876,934
Other Costs	\$15,396	\$15,396
Project Administration	\$94,205	\$94,205
<b>Total Project Budget</b>	<b>\$1,145,826</b>	<b>\$1,145,826</b>

## VII. STANDING COMMITTEES

### B. Finance, Audit and Facilities Committee

#### Actions Taken Under Delegated Authority (continued p. 4)

#### **Action Reported: UWMC Equipment Financing**

UWMC closed a 5-year equipment lease agreement with Banc of America Leasing & Capital on October 5, 2006, in the amount of \$3,167,031.06, at 3.64 percent interest. The proceeds will reimburse UWMC for the purchase of MRI equipment from Philips Medical Systems (North America).

#### **Part II: Report of Actions Taken Under Specific Delegated Authority**

##### **Savery Hall Renovation**

##### **Action Reported: GC/CM Contract Award**

In November 2005, the Board of Regents authorized the use of general contractor/construction manager (GC/CM) contracting and authority was delegated to the President to award construction contracts for the Savery Hall Renovation project. Hoffman Construction was the highest scoring firm in the selection process described below and was selected for preconstruction phase services and for maximum allowable construction cost (MACC) negotiations. An Agreement for Preconstruction Services, dated September 15, 2006, has been completed. The Capital Projects Office anticipates awarding the GC/CM contract in spring 2006, subject to satisfactory performance of preconstruction services, reaching a mutually agreed upon Guaranteed Contract Cost, and availability of funding.

##### Project Description

Savery Hall, located on the Arts and Sciences quadrangle of the Seattle campus, was built in two phases. The north structure was built in 1916 and the south addition in 1919. Savery Hall is a major instructional building with 35 percent of the assignable area in classroom use. Altogether there are 25 general assignment classrooms in Savery Hall, with a total of 1,025 seats. Savery Hall houses the College of Arts and Sciences' Departments of Economics, Philosophy, and Sociology, and also provides space for the Center of Social Science Computation and Research (CSSCR), a computer resource center that provides facilities and support of all the social science units. The project is proposed to renew the facility for the current occupants. The approved project budget is \$61,200,000.

##### Scope of the Project

Savery Hall, with 102,105 gross square feet, is planned for a major renovation in 2007-2009. Savery Hall has been identified as one of the most critical renovation projects on the Seattle Campus. Savery Hall has been evaluated for seismic conditions and will be strengthened to better resist earthquakes and tie the two building structures together. There are numerous ornamental masonry attachments that should be better secured to the building's structure. The building exterior will be cleaned and sealed and architectural features will be preserved where appropriate in the building hallways and common areas. The project scope is proposed to include correction of seismic deficiencies, as well as a

## VII. STANDING COMMITTEES

### B. Finance, Audit and Facilities Committee

#### Actions Taken Under Delegated Authority (continued p. 5)

replacement of the electrical, lighting, mechanical, and communications systems. Replacing of the existing elevator, adding a second elevator, modifications to restrooms, ramping and other access improvements will also be included in the renovation to bring this heavily used instructional building into current ADA compliance.

#### Selection Process

On May 1, 2006 a Public Hearing was held to allow comments on the University's public notice for the Preliminary Determination to use the GC/CM contracting procedure. No comments were received and on May 3, 2006 the Final Determination was issued. On May 10, 2006, the Capital Projects Office published a request for proposals for GC/CM services. Two firms submitted proposals and the selection panel, composed of members from the Capital Projects Office, Building Committee, Department of Construction Administration and SRG Architects, evaluated and scored the proposals based on a maximum of 50 points. Both firms were selected for interviews on June 28, 2006 and the selection panel scored the firms based on a maximum of 35 points. Both firms were selected to receive a Request for Final Proposal. On August 10, 2006 bids for fee and specified general conditions were opened and scored based on a maximum of 15 points. Based on the results of the final overall scoring of the proposals, interviews and final proposals, Hoffman Construction Company had the highest overall score.

Hoffman Construction Company has been the GC/CM for a number of recent buildings on the University campus including the Intramural Activities Building (IMA), University of Washington Medical Center Surgery Pavilion, and the William H. Foege Building (Bioengineering and Genome Sciences). They also recently completed the renovation of Roosevelt High School for the Seattle School District.

Enclosure I: GC/CM Selection – Tabulation Form, Savery Hall Renovation

#### **Clark Hall Renovation**

##### **Action Reported: GC/CM Contract**

In November 2005, the Board of Regents authorized the use of general contractor/construction manager (GC/CM) contracting and authority was delegated to the President to award construction contracts for the Clark Hall Renovation project. Absher Construction Company was the highest scoring firm in the selection process described below and was selected for preconstruction phase services and for maximum allowable construction cost (MACC) negotiations. An Agreement for Preconstruction Services, dated October 2, 2006, has been completed. The Capital Projects Office anticipates awarding the GC/CM contract in spring 2007 subject to: satisfactory performance of preconstruction services; reaching a mutually agreed upon Guaranteed Contract Cost; and availability of funding.

## VII. STANDING COMMITTEES

### B. Finance, Audit and Facilities Committee

#### Actions Taken Under Delegated Authority (continued p. 6)

##### Project Description

Clark Hall, constructed in 1896, is one of the oldest buildings on the Seattle campus. It is on the Washington Heritage Register and originally served as the women's dormitory building and now houses instructional programs for the Navy, Army and Air Force students pursuing a University of Washington degree. The building has 30,568 gross square feet and is primarily used for instructional space and offices, including three general assignment classrooms with a total of 85 stations, one class laboratory, and three computer laboratories. The project is proposed to renew the facility for the current occupants. The approved project budget is \$18,100,000.

##### Scope of the Project

Clark Hall, with 30,568 gross square feet, is planned for a major renovation in 2007-2009. The project scope will include correction of seismic deficiencies of this wooden framed masonry building. The building exterior will be completely renewed to stop the water infiltration currently penetrating the masonry walls. The renovation will include upgrading all major building systems, correcting seismic deficiencies, improving accessibility, including adding an elevator, addressing life and safety code conditions, performing asbestos abatement, and providing updated facilities for instructional programs. All electrical, lighting, mechanical, and communications systems will be replaced, and the windows and doors will be upgraded to correct code deficiencies and reduce operating costs. An elevator will be added to this three-story building, and access to restrooms throughout the building will be improved to bring the facility into current ADA compliance. A major renovation will also allow for changes that make more efficient use of the existing space.

##### Selection Process

On May 1, 2006 a Public Hearing was held to allow comments on the University's public notice of the Preliminary Determination to use the GC/CM contracting procedure. No comments were received and on May 3, 2006 the Final Determination was issued. On May 10, 2006, the Capital Projects Office published a request for proposals for GC/CM services. Five firms submitted proposals and the selection panel, composed of members from the Capital Projects Office, Building Committee, Department of Construction Administration and Mahlum Architects, evaluated and scored the proposals based on a maximum of 50 points. Four firms were selected for interviews on June 27, 2006 and the selection panel scored the firms based on a maximum of 35 points. All four firms were selected to receive a Request for Final Proposal. On August 10, 2006 bids for fee and specified general conditions were opened and scored based on a maximum of 15 points. Based on the results of the final overall scoring of the proposals, interviews and final proposals, Absher Construction Company had the highest overall score.

Absher Construction Company is one of the State's most experienced GC/CM firms with fourteen projects completed or underway under Washington State GC/CM guidelines. This is the first GC/CM project on the University campus for Absher, though over their sixty-six year history they have constructed or renovated more than 130 major educational projects. Recent major projects include the United States Courthouse, a joint

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority (continued p. 7)

venture with J. A. Jones Construction, and the in progress of renovating Cleveland High School for the Seattle School District.

Enclosure II: GC/CM Selection – Tabulation Form, Clark Hall Renovation





GC/CM Name	Absher Construction	Hoffman Construction				
	<b>Proposal Evaluations</b>					
Rater #1	40.5	45.0				
Rater #2	39.0	46.0				
Rater #3	38.0	46.0				
Rater #4	40.0	47.0				
Rater #5	37.0	45.0				
Rater #6	35.0	47.0				
<b>Average Score (max. 50)</b>	<b>38.25</b>	<b>46.00</b>				

<b>Interviews</b>						
Rater #1	23.0	31.0				
Rater #2	26.0	30.0				
Rater #3	16.0	31.0				
Rater #4	26.0	32.0				
Rater #5	20.0	34.0				
Rater #6	21.0	34.0				
<b>Average Score (max. 35)</b>	<b>22.00</b>	<b>32.00</b>				
<b>Total Proposal and Interview</b>	<b>60.25</b>	<b>78.00</b>				

<b>Final Proposals (\$30,000,000 MACC)</b>						
Contractor's Fee Percentage	6.50%	7.35%				
Contractor's Fee Amount	\$1,950,000	\$2,205,000				
Specified General Conditions Amount	\$1,550,000	\$1,205,000				
Final Proposal Bid Number	\$3,500,000	<b>\$3,410,000</b>				
Low Conforming Proposal	\$3,410,000	\$3,410,000				
Difference	\$90,000	\$0				
Percentage Within Low Proposal	2.64%	0.00%				
<b>Proposal Score* (max. 15)</b>	<b>14</b>	<b>15</b>				

<b>Total Score (max. 100)</b>	<b>74.25</b>	<b>93.00</b>				
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**Final Proposal Scoring Key\***

Low Conforming Proposal	15 points
Proposals within 5% of Low Proposal	14 points
Proposals within 10% of Low Proposal	12 points
Proposals within 15% of Low Proposal	9 points
Proposals within 20% of Low Proposal	5 points
Others	0 points

**Final Ranking**

<b>1st</b>	<u>Hoffman</u>
<b>2nd</b>	<u>Absher</u>
<b>3rd</b>	_____
<b>4th</b>	_____



GC/CM Name	Absher Construction	Bayley Construction	BNB Builders	Rafn Company	WG Clark Construction
	<b>Proposal Evaluations</b>				
Rater #1	41.0	40.0	31.5	42.0	39.0
Rater #2	35.0	37.0	25.0	38.0	34.0
Rater #3	38.0	40.0	26.0	39.0	36.0
Rater #4	39.0	39.0	29.0	42.0	36.0
Rater #5	41.0	38.0	27.0	41.0	39.0
<b>Average Score (max. 50)</b>	<b>38.80</b>	<b>38.80</b>	<b>27.70</b>	<b>40.40</b>	<b>36.80</b>

<b>Interviews</b>						
Rater #1		23.0	23.0		27.0	23.0
Rater #2		25.0	27.0		31.0	26.0
Rater #3		26.0	27.0		30.0	25.0
Rater #4		25.0	28.0		31.0	27.0
Rater #5		22.0	25.0		28.0	22.0
Rater #6		24.0	25.0		30.0	25.0
<b>Average Score (max. 35)</b>		<b>24.17</b>	<b>25.83</b>		<b>29.50</b>	<b>24.67</b>
<b>Total Proposal and Interview</b>		<b>62.97</b>	<b>64.63</b>		<b>69.90</b>	<b>61.47</b>

<b>Final Proposals (\$9,000,000 MACC)</b>					
Contractor's Fee Percentage	7.20%	5.60%		7.86%	7.46%
Contractor's Fee Amount	\$648,000	\$504,000		\$707,400	\$671,400
Specified General Conditions Amount	\$685,000	\$899,900		\$1,007,405	\$912,782
Final Proposal Bid Number	<b>\$1,333,000</b>	\$1,403,900		\$1,714,805	\$1,584,182
Low Conforming Proposal	\$1,333,000	\$1,333,000		\$1,333,000	\$1,333,000
Difference	\$0	\$70,900		\$381,805	\$251,182
Percentage Within Low Proposal	0.00%	5.32%		28.64%	18.84%
<b>Proposal Score* (max. 15)</b>	<b>15</b>	<b>12</b>		<b>0</b>	<b>5</b>

<b>Total Score (max. 100)</b>	<b>77.97</b>	<b>76.63</b>		<b>69.90</b>	<b>66.47</b>
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**Final Proposal Scoring Key\***

Low Conforming Proposal	15 points
Proposals within 5% of Low Proposal	14 points
Proposals within 10% of Low Proposal	12 points
Proposals within 15% of Low Proposal	9 points
Proposals within 20% of Low Proposal	5 points
Others	0 points

**Final Ranking**

<b>1st</b>	<b>Absher</b>
<b>2nd</b>	<b>Bayley</b>
<b>3rd</b>	<b>Rafn</b>
<b>4th</b>	<b>WG Clark</b>



**VII. STANDING COMMITTEES****B. Finance, Audit and Facilities Committee****UWMC & UWHSC AA/BB/RR Wings Fire Alarm Replacement – Engineer Appointment****RECOMMENDED ACTION:**

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the President be delegated authority to award a design contract for the University of Washington Medical Center (UWMC) & University of Washington Health Sciences Center (UWHSC) AA/BB/RR Wings Fire Alarm Replacement project to the firm of Sparling, subject to successful negotiation of an engineering agreement.

**BACKGROUND:**

The UWMC and adjacent UWHSC Wings AA/BB/RR at the UW Seattle campus consist of a 1,250,000 sq. ft. building area served by a single fire alarm system. The system was installed in the late 1980s and the technology is outdated. The overall goal of the project is to replace the aging fire alarm system with new technology. Potential other goals include the reuse of existing infrastructure, minimizing disruption in critical areas, consolidating zones, and construction phasing priorities. The project will be designed in its entirety and then constructed on a phased basis. Project bid packages will be developed and issued for bidding based on available funding and recommended corresponding project scope. The construction of the individual project phases will be completed over a period of 3 to 10 years. The buildings are currently occupied facilities, and will remain occupied throughout all phases of the fire alarm system replacement.

In July 2006, the Capital Projects Office advertised for firms interested in providing engineering services. Two firms responded to the Request for Qualifications for this project, and both firms were interviewed by the selection committee on September 6, 2006. It is the selection committee's recommendation that Sparling be appointed design engineer for this project.

Sparling has a long history at the University of Washington. The firm currently has master agreements for electrical and life safety consulting services at the university. The firm has completed numerous projects at the university including UWMC Surgery Pavilion, UWMC Regional Heart Center, and Center for Human Development & Disability (CHDD) Fire Alarm Replacement. Sparling also completed the study for the replacement of the UWMC/HSC Fire Alarm Replacement.

## VII. STANDING COMMITTEES

### B. Finance, Audit and Facilities Committee

#### UWMC & UWHSC AA/BB/RR Wings Fire Alarm Replacement – Engineer Appointment (continued p. 2)

The estimated project budget for the entire fire alarm system replacement is in the range of \$5.5M and \$9.5M. It is anticipated that the work will be done in phases. Each phase is anticipated to have a project budget of under \$5.0M and will be individually reported to the Regents as the phases are developed. The first project phase will be reported to the Regents when a specific budget is established. The UW Medical Center will initially provide \$1,500,000 for the complete design and first phase of construction. The \$1,500,000 will be funded from the UW Medical Center Fiscal Year 2007 Capital Budget. Subsequent project funding will be provided by the UW Medical Center for the medical center project phases and from Capital Safety funding managed by Environmental Health & Safety for the Health Science project phases.

**VII. STANDING COMMITTEES****B. Finance, Audit and Facilities Committee****Consolidated Bagley Lab Projects – Establish Budget / Delegate Authority for Contract Award****RECOMMENDED ACTION:**

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the Consolidated Bagley Lab Projects budget be established at \$9,900,000 and the President be delegated authority to award a construction contract, subject to no significant changes in the scope, the forecast cost being within 10% of the budget and funding being in place.

**BACKGROUND:**

The Consolidated Bagley Lab Project combines three separately designed laboratory projects in Bagley Hall for the purposes of bidding and constructing the improvements as a single project. The three projects being combined are the Bagley Hall 2<sup>nd</sup> Floor Undergraduate Instructional Lab, 3<sup>rd</sup> Floor West Photonics Remodel and 4<sup>th</sup> Floor Research Lab. These three projects were previously reported to the Board of Regents as actions taken under delegated authority for award of architectural services to Stock & Associates and establishment of project budgets as each project separately is under \$5,000,000.

The Bagley Hall 2<sup>nd</sup> Floor Undergraduate Instructional Lab, with a budget of \$2,600,000, will renovate a laboratory for freshman chemistry instruction, providing two studio-lab spaces, each with lab benches for 24 students, and a common instrument room, including renovation of other support spaces and the second floor corridors. The Bagley Hall 3<sup>rd</sup> Floor West Photonics Remodel, with a budget of \$4,300,000, will remodel existing office space into photonics research labs. The Bagley Hall 4<sup>th</sup> Floor Research Lab Alterations project, with a budget of \$3,000,000, will renovate three 4th floor labs and an office, that were vacated by Bioengineering for generic chemistry use, increasing the number of fume hoods in the labs and fully renovating one of the lab suites.

The Bagley Hall 3<sup>rd</sup> floor Photonics project was delayed several times pending funding. Most recently it was put on hold for approximately six months pending resolution of the grant funding from DARPA. The project design is nearly complete.

Combining the projects into a single construction contract will facilitate construction administration, reduce the impact on the building tenants through the use of a single contractor, increase construction coordination, make better use of a limited lay down and staging area, and increase competition and pricing for bids.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Consolidated Bagley Lab Projects – Establish Budget / Delegate Authority for Contract Award (continued p. 2)

The design for all three projects will be completed this month; construction is anticipated from January 2007 to August 2007 for occupancy in September 2007, in time for fall quarter.

The total combined budget for the three projects is \$9,900,000. Funding is available from the College of Arts and Sciences \$1,700,000, a non-state revenue grant \$2,000,000, and University Central Funds of \$6,200,000.

ENCLOSURE: Capital Projects Office Project Summary



**UNIVERSITY OF WASHINGTON  
CAPITAL PROJECTS OFFICE - SUMMARY PROJECT BUDGET  
STANDARD PROCUREMENT**

**Enclosure**

PROJECT: Consolidated Bagley Hall Projects

Project Numbers: 10586,  
200366 & 200376

ESTIMATED DATE OF COMPLETION: August 2007

Current Approved Budget*	Forecast Cost at Completion
--------------------------------	-----------------------------------

**BUDGET SUMMARY:**

<b>A. ACQUISITION</b>		
<b>B. CONSULTANT SERVICES</b>		
1. PREDESIGN	\$89,855	\$77,404
2. BASIC DESIGN SERVICES	\$715,478	\$724,350
3. EXTRA SERVICES/REIMBURSABLES	\$132,225	\$169,030
4. OTHER SERVICES	\$58,271	\$58,449
5. DESIGN SERVICES CONTINGENCY	\$205,161	\$81,696
<b>TOTAL CONSULTANT SERVICES</b>	<b>\$1,200,990</b>	<b>\$1,110,929</b>
 <b>C. PRIMARY CONSTRUCTION CONTRACTS</b>		
1. CONSTRUCTION CONTRACT	\$5,809,703	\$6,161,017
2. OTHER CONTRACTS	\$51,896	\$51,896
SUBTOTAL CONSTRUCTION	\$5,861,599	\$6,212,913
3. CONSTRUCTION CONTINGENCY	\$1,161,288	\$1,023,979
4. SALES TAX	\$618,071	\$312,678
<b>TOTAL CONSTRUCTION COST</b>	<b>\$7,640,958</b>	<b>\$7,549,570</b>
 <b>D. EQUIPMENT</b>	 \$151,985	 \$151,985
<b>E. ARTWORK</b>	\$28,037	\$28,037
<b>F. OTHER COSTS</b>	\$186,792	\$186,792
<b>G. PROJECT ADMINISTRATION</b>	\$691,238	\$691,238
<b>H. RELATED PROJECTS/MITIGATION COSTS</b>		
<b>TOTAL PROJECT BUDGET</b>	<b>\$9,900,000</b>	<b>\$9,718,551</b>
 <b>SOURCE OF FUNDS:</b>		
UW CENTRAL FUNDS	\$6,200,000	\$6,200,000
OTHER REVENUE / DEPARTMENTAL	\$1,700,000	\$1,700,000
NON-STATE REVENUE GRANT/GIFT/DONOR	\$2,000,000	\$2,000,000
<b>TOTAL SOURCE OF FUNDS</b>	<b>\$9,900,000</b>	<b>\$9,900,000</b>

\* Sum of three separately established budgets.

**VII. STANDING COMMITTEES****B. Finance, Audit and Facilities Committee**Seattle Campus – SPU Pump Station Generator Serving Foege BuildingRECOMMENDED ACTION:

It is the recommendation of the Finance, Audit and Facilities Committee that the Board of Regents authorize the President to execute an easement granting the City of Seattle, Seattle Public Utilities the right to locate a generator on 289 square feet of University property on Boat Street south of the Marine Studies building, and all related documents which are needed to carry out the purpose of the easement.

BACKGROUND:

The proposed easement will allow Seattle Public Utilities (SPU) to install a self-contained diesel-fueled generator to prevent sewage overflow which might occur due to power failure at SPU's pump station number 48. Pump station 48, located on Brooklyn Avenue near Boat Street, primarily serves University south campus and Health Sciences wastewater flow, including the additional flow generated by the construction and occupancy of the Foege Building. During planning phases of the Foege Building, SPU agreed to installation of a generator as part of a tiered approach to improve Pump Station 48, which will allow additional time to investigate the extent of flows from the Foege Building and determine the scope of future upgrades to the existing pump station.

The proposed easement has been reviewed by the University's Environmental Health and Safety Office, the Project Review Board and Engineering Services. Comments have been incorporated into the installation and staging plan. The installation is likely to occur in November, 2006.

The easement has been recommended for approval by the Executive Vice President and the Director of Real Estate.

**VII. STANDING COMMITTEES****B. Finance, Audit and Facilities Committee**UW Tacoma Assembly Hall - Project PresentationRECOMMENDED ACTION:

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the President be delegated authority to award construction contracts, subject to no significant change in the scope, the forecast cost being within 10% of the budget and funding being in place.

The design has been submitted to the University for review and approval. This design presentation is for information only.

Project Description

This project will replace the existing UW Tacoma (UWT) facility known as “the Dawg Shed” with a new 20,250 gross square foot Assembly Hall to meet the need for a large multi-purpose gathering space on campus. The site is located in the heart of the central campus, east of the library, flanked on the south by the Cherry Parkes building, on the north by the Walsh Gardner building and on the east by Pacific Avenue.

The building will function as an assembly space (for 350-500 people) for lecture events, banquets, career fairs, and student exhibits. At other times, the space will be used as a student commons for studying and as a student gathering place. Three multi-purpose collaboration rooms are also included. The facility will include storage space, a service/catering kitchen, toilet rooms and audio video control room necessary to support the assembly function. The ground floor space on Pacific Avenue will be constructed as pedestrian-oriented retail space.

Previous Action

The project was first presented to the Board of Regents in September 2005 at which time the President was delegated authority to award a design contract to Thomas Hacker Architects. At the May 2006 meeting, authority was delegated to establish the budget at \$12,070,000.

Scope of the Project

This project will address a critical need of the Tacoma campus by providing a student gathering space (commons) for study, interaction, and relaxation, as well as providing space for 350-500 people for larger academic lectures, student activities, conference gatherings, banquets, social activities and many other campus uses. It is the intent that this “student commons function” will co-exist within the same program area as the “assembly space”.

Vii. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

UW Tacoma Assembly Hall - Project Presentation (continued p. 2)

In addition, it will provide several informal student group education rooms, referred to as collaboration rooms, as well as the City-required, pedestrian-oriented, retail frontage along Pacific Avenue.

Schedule

Architect Selection	September 15, 2005
Updated Programming Phase Complete	March 31, 2006
Design Start (Schematic Design)	April 3, 2006
Design Complete (Design Development)	December 22, 2006
Construction Documents Complete*	July 13, 2007
Authorization to Bid and Award*	July 13, 2007
Construction Start*	September 24, 2007
Construction Substantially Complete*	November 1, 2008
Occupancy*	January 5, 2009

\* The Capital Projects Office is working with UWT to try and achieve an earlier construction completion in August 2008 for occupancy in September 2008.

Contracting Strategy

It is the recommendation of the Capital Projects Office to use the standard low-bid public works contracting procedure and to bid the demolition and building as separate contracts. By contracting for the demolition and building separately, we will be able to accelerate the overall completion of the project. The simplicity and scale of the building did not necessitate the additional effort and complexity of using the alternate public works procedure, General Contractor/Construction Manager (GC/CM).

Current Project Status

The schematic design submittal and cost estimate were prepared and submitted by Thomas Hacker Architects in July. The schematic design was reviewed and approved by the Architectural Commission in their September 2006 meeting. Currently, the project is on budget at \$12,070,000. The project is in the design development phase, and the University is working with the design team to refine the design and meet the project budget.

Vii. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

UW Tacoma Assembly Hall - Project Presentation (continued p. 3)

Significant Risks or Opportunities

- The current budget includes the estimated amount required to achieve the recent State requirement of LEED Silver for this project.
- UWT plans to raise \$4,064,000 in donor funds for this project to enhance the design of the assembly hall. UWT has committed its fund reserves in the event it is not able to raise the full amount of donor funds.
- Extraordinary escalation due to market conditions in the Puget Sound area commercial building marketplace has greatly increased construction costs, requiring additional donor funds to meet the desired program.
- Possible hazardous soils within the building site.

Vii. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

UW Tacoma Assembly Hall - Project Presentation (continued p. 4)

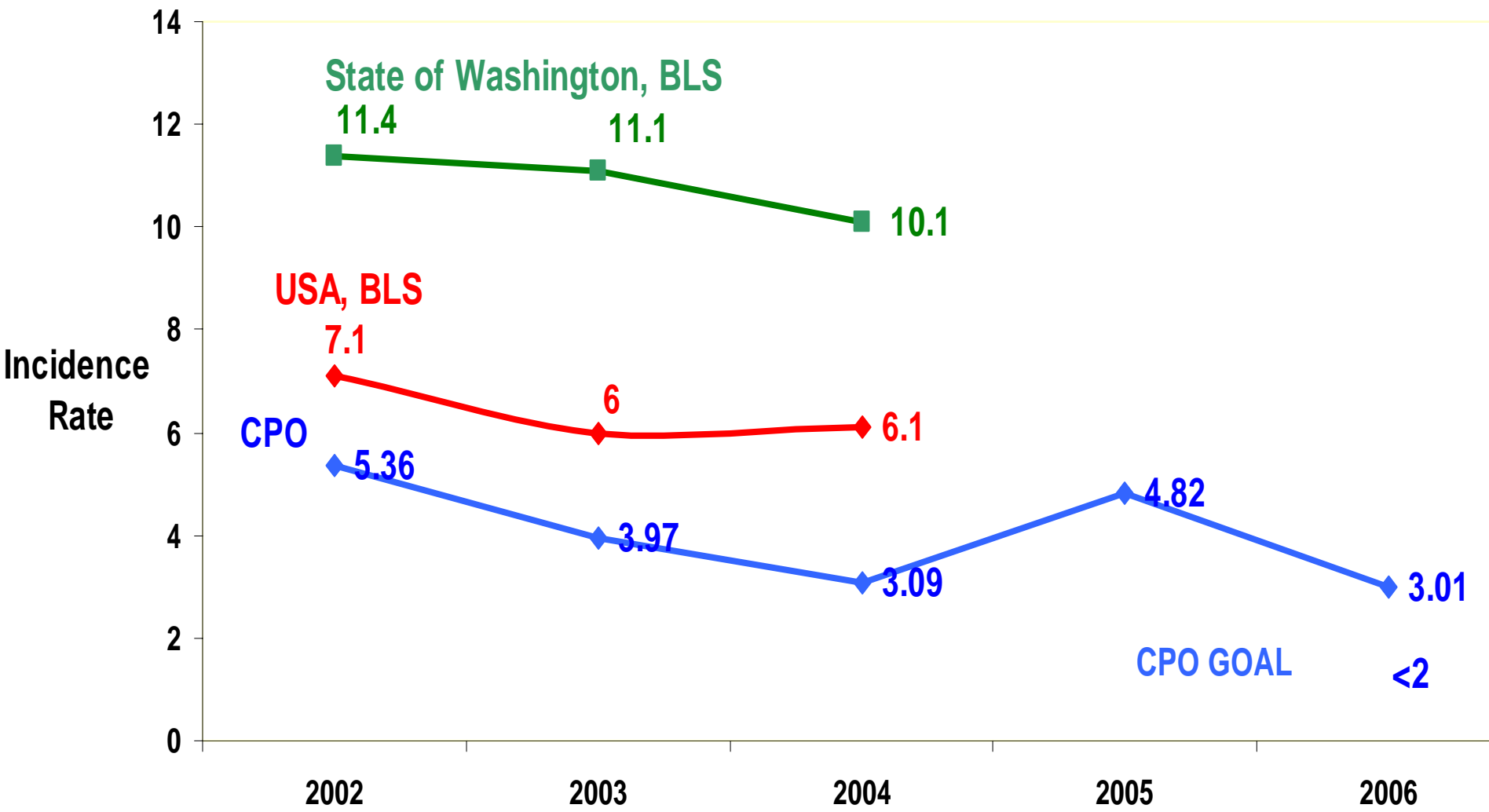
<u>Project Budget</u>	<u>Total Escalated Cost*</u>	<u>% of TPC</u>
Pre-Schematic Design Services	\$193,428	1.6%
A/E Basic Design Services	\$382,342	3.2%
Extra Services	\$631,731	5.2%
Other Services	\$489,042	4.1%
Design Services Contingency	\$264,557	2.2%
<hr/>		
Consultant Services	\$1,961,100	16.2%
Construction	\$7,100,000	58.8%
Construction Contingencies	\$1,421,057	11.8%
Sales Tax	\$750,318	6.2%
<hr/>		
Construction	\$9,271,375	76.8%
Equipment	\$0	0.0%
Artwork	\$19,983	0.2%
Other costs	\$244,214	2.0%
Project Management	\$573,328	4.8%
<hr/>		
Other	\$837,525	6.9%
<hr/>		
Total Project Cost (TPC)*	\$12,070,000	100.0%
<u>Included in Above:</u>		
Escalation through March 2008	\$824,943	6.8%
<u>Source of Funds</u>		
2005 - 2007 State Appropriation	\$7,500,000	62.1%
UW Tacoma Donor Funds	\$4,064,000	33.7%
UW Tacoma Retail Revenue	\$368,393	3.1%
UW Tacoma and UW Central Funds	\$137,607	1.1%
<hr/>		
Total	\$12,070,000	100.0%

\* Escalated to midpoint of construction March 2008



# Capital Projects Office Status Report March 2006 - September 2006

# TRIR Calendar Year





# Project Statistics

- ▶ 20 Approved Major Projects (-5)
- ▶ Budgets of Active Projects \$1.1 Billion
- ▶ Five Major Project Closed Out (+3)
- ▶ 300 Active Special Projects (+5)
- ▶ Special Projects Budgets \$168 Million (-30)
- ▶ 75 Special Projects Closed Out (+12) (\$32M)

# Construction Conditions

- ▶ Local 302 Strike
  - Architecture
  - Guggenheim
  - Harborview
  - Educational Outreach
  - Stevens Way
- ▶ Labor
  - Laborer Negotiations 2007
  - Availability
- ▶ Material & Equipment Availability
- ▶ Contractors & Subcontractors

# Cost Issues

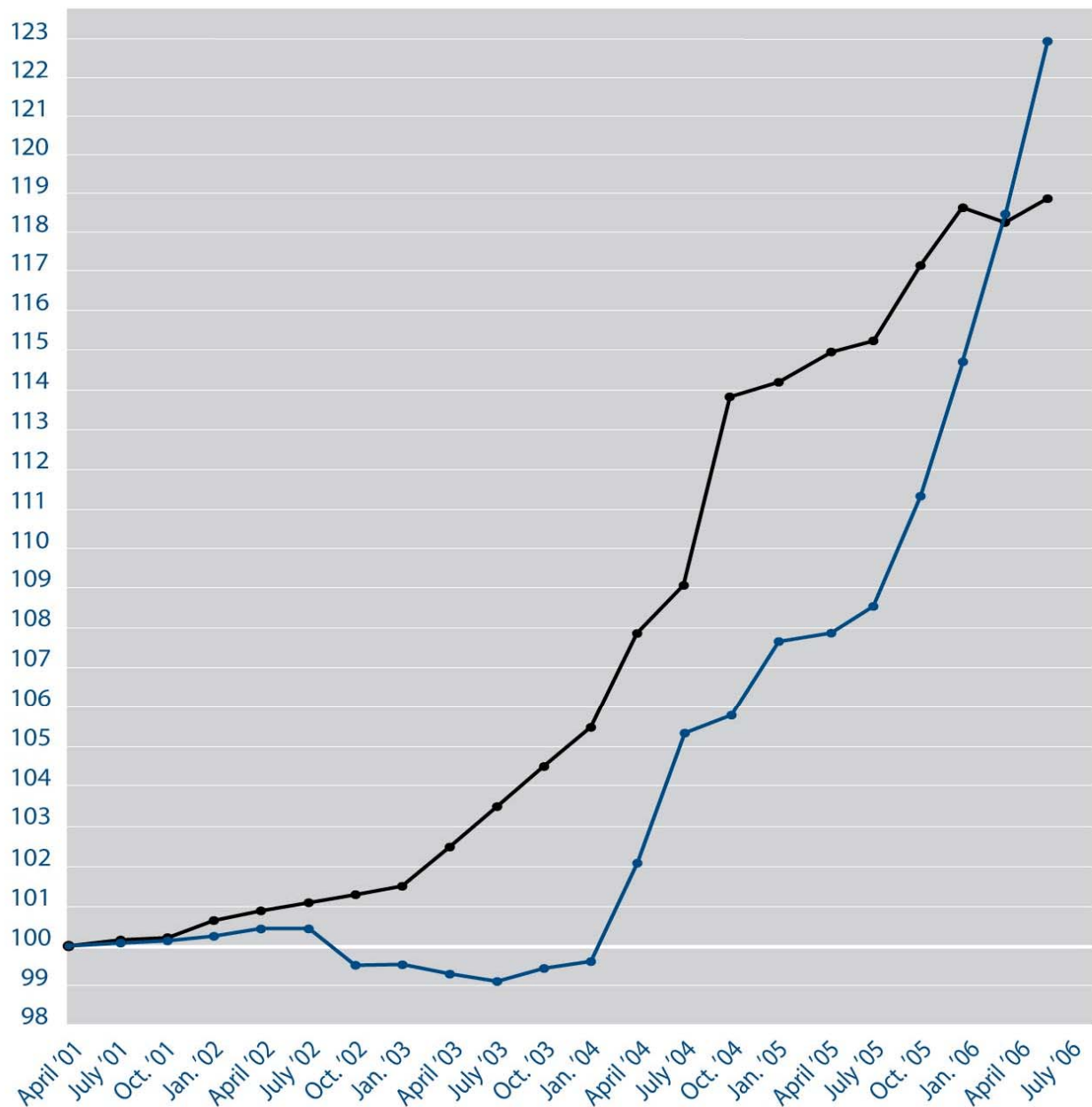
- ▶ 2001 - 2006 Escalation 19% - 23%
- ▶ 2006 Forecast Escalation 10%
- ▶ Market Condition
- ▶ Forecast

# Construction Cost Index Comparison

	Rider Hunt Seattle	ENR
July 2001	100.11	100.14
October 2001	100.20	100.22
January 2002	100.33	100.65
April 2002	100.45	100.87
July 2002	100.45	101.09
October 2002	99.52	101.30
January 2003	99.58	101.52
April 2003	99.34	102.50
July 2003	99.12	103.47
October 2003	99.42	104.48
January 2004	99.65	105.48
April 2004	102.12	107.84
July 2004	105.37	109.04
October 2004	105.80	113.81
January 2005	107.66	114.19
April 2005	107.88	114.95
July 2005	108.56	115.22
October 2005	111.36	117.12
January 2006	114.65	118.64
April 2006	118.44	118.24
July 2006	122.95	118.86

Base index April 2001 = 100  
Recalibrated April 2005

— Rider Hunt Seattle Construction Cost Index  
— ENR National Construction Cost Index



# CPO - Sustainability

*Certified Silver* 

Registered

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UW Tacoma 2B 

Nordheim Court

Merrill Hall 

R & T Building

Guggenheim

Architecture

Educational

Outreach

Playhouse

Savery Hall

Clark Hall

UWT Hall

The Capital Projects Office employs 25 of the 50  
LEED accredited professionals at UW

# Major Projects Closed

	Budget / Actual \$M	F'cst / In Use
Allen Center	70 / 64.5 ↑	9/03 - 10/03 ■
E-Power II	6.7 / 5.7 ↑	9/05 - 12/05 ↓
Terry Lander	8.0 / 8.2 ↓	9/04 - 9/04 ■
IMA Expansion	43.4 / 43.4 ■	8/03 - 11/03 ↓
Merrill Hall	7.1 / 8.3 ↓	12/05 - 1/06 ■

# Emerging Projects

- ▶ UWMC Expansion Project
- ▶ Business School Phase 2

# Major Projects in Closeout

	Budget/Fcst \$M	Use Date / F'cst
UWMC Cardiac/Emerg.	7.2 / 6.9 ↑	11/05 - 10/05 ↑
Tacoma Phase 2B	44.4 / 44.4 ■	10/03 - 1/04 ↓
Biochemistry J-Wing	8.5 / 8.2 ↑	5/06 - 5/06 ■
Golf Driving Range	8.0 / 1.5	8/04 - cancelled
Regional Heart	9.8 / 10.1 ↓	12/05 - 4/06 ↓
Research and Technology	29.9 / 29.8 ■	9/05 - 3/06 ↓
Johnson Hall	55.3 / 55.4 ■	10/05 - 12/05 ↓
Wm. Foege Building (Bio-E)	150 / 150 ■	3/06 - 7/06 ↓
UWT Garage	7.5 / 7.5 ■	12/05 - 1/06 ■



# Major Projects in Bid / Construction

	Budget/Fcst \$M	Use Date / F'cst
Architecture Hall	24.5 / 24.5 ■	9/07 - 9/07 ■
Ed. Outreach	17.9 / 17.9 ■	2/07 - None
Guggenheim Hall	28.3 / 31.6 ↓	9/07 - 9/07 ■
Harborview Bond	294.6 / 294.6 ■	4/08 - 6/08 ↓ (IEB)
W. Campus Gar.	12.8 / 16.1 ↓	3/07 - None

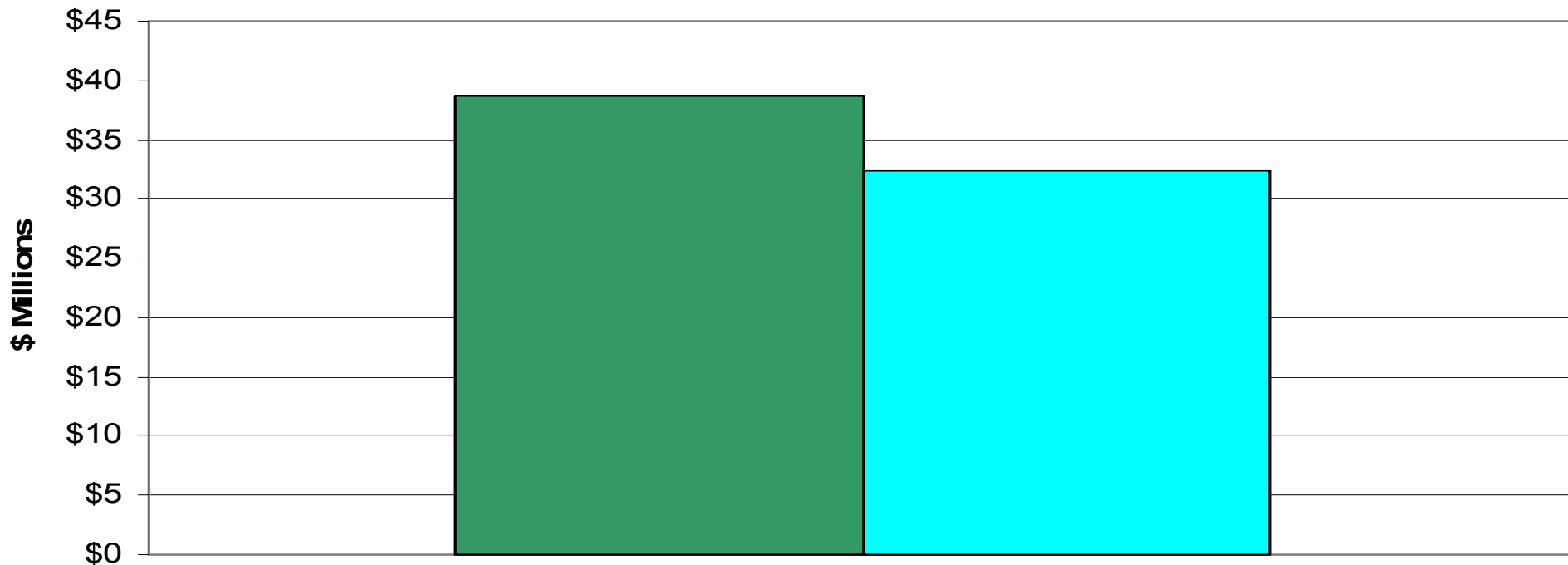
# Major Projects in Design

	Budget/Fcst \$M		Use Date / F'cst	
Clark Hall	18.1 / 18.1	■	3/09 - 3/09	■
Playhouse	9.9 / 9.9	■	9/08 - 9/08	■
UWT Hall	10.8 / 10.8	■	9/08 - 9/08	■
Savery Hall	61.2 / 61.5	↓	7/09 - 7/09	■
HSC H-Wing	18.2 / 18.2	■	9/08 - 10/08	↓
Business School	80 / 80	■	9/10 - 9/10	■

# Special Project Closures

Closed Special Projects 3/06 - 9/06

**Budget** **Cost**



# Number of Project Contracts Issued March 2006 - September 2006

	W/MBE	Others
Designers/Consultants	3	61
Contractors	4	36

# UWT - ASSEMBLY HALL







# EXISTING PACIFIC AVENUE STREETScape



# PROPOSED PACIFIC AVENUE STREETSCAPE





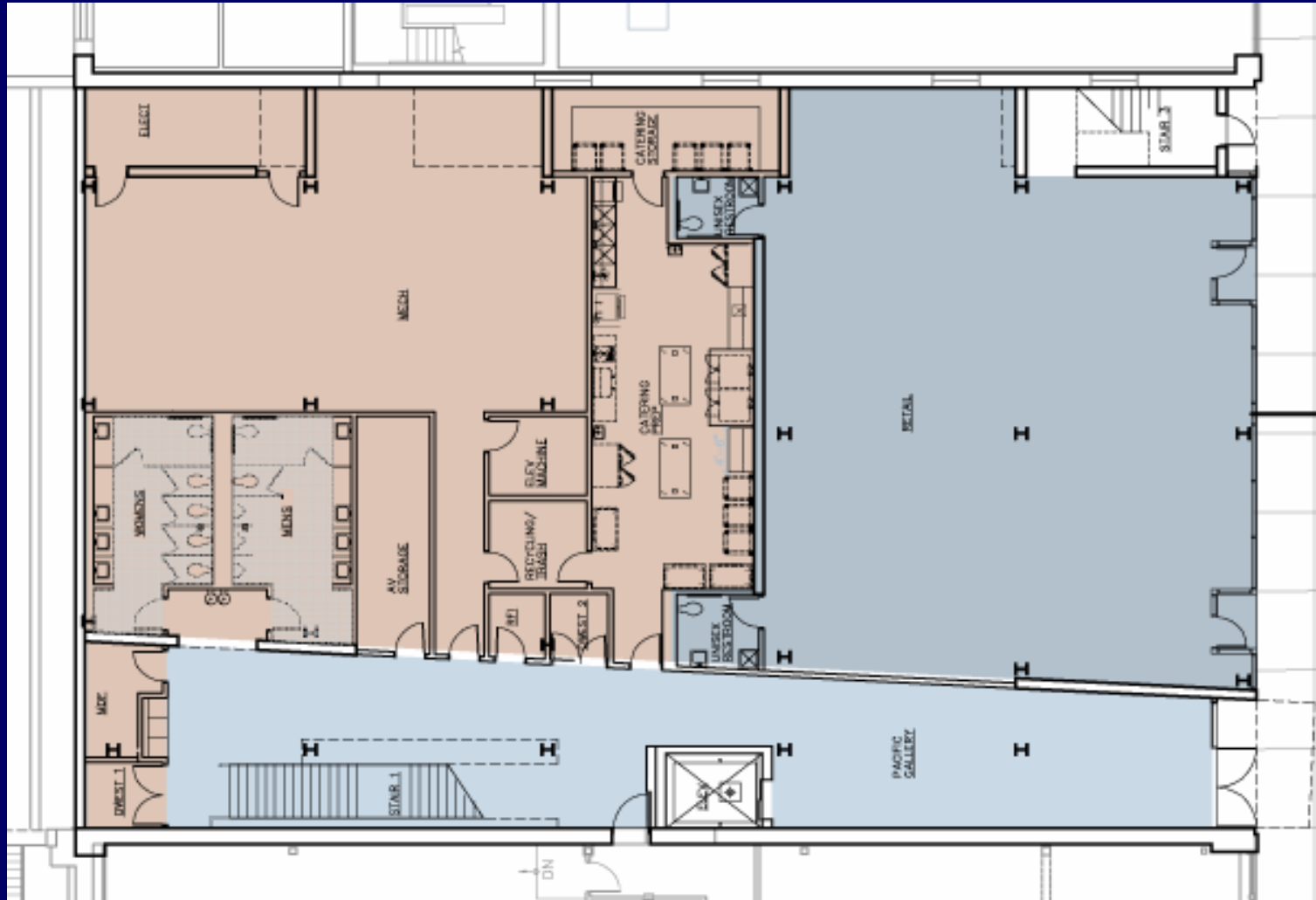
# EXISTING CAMPUS STREETScape



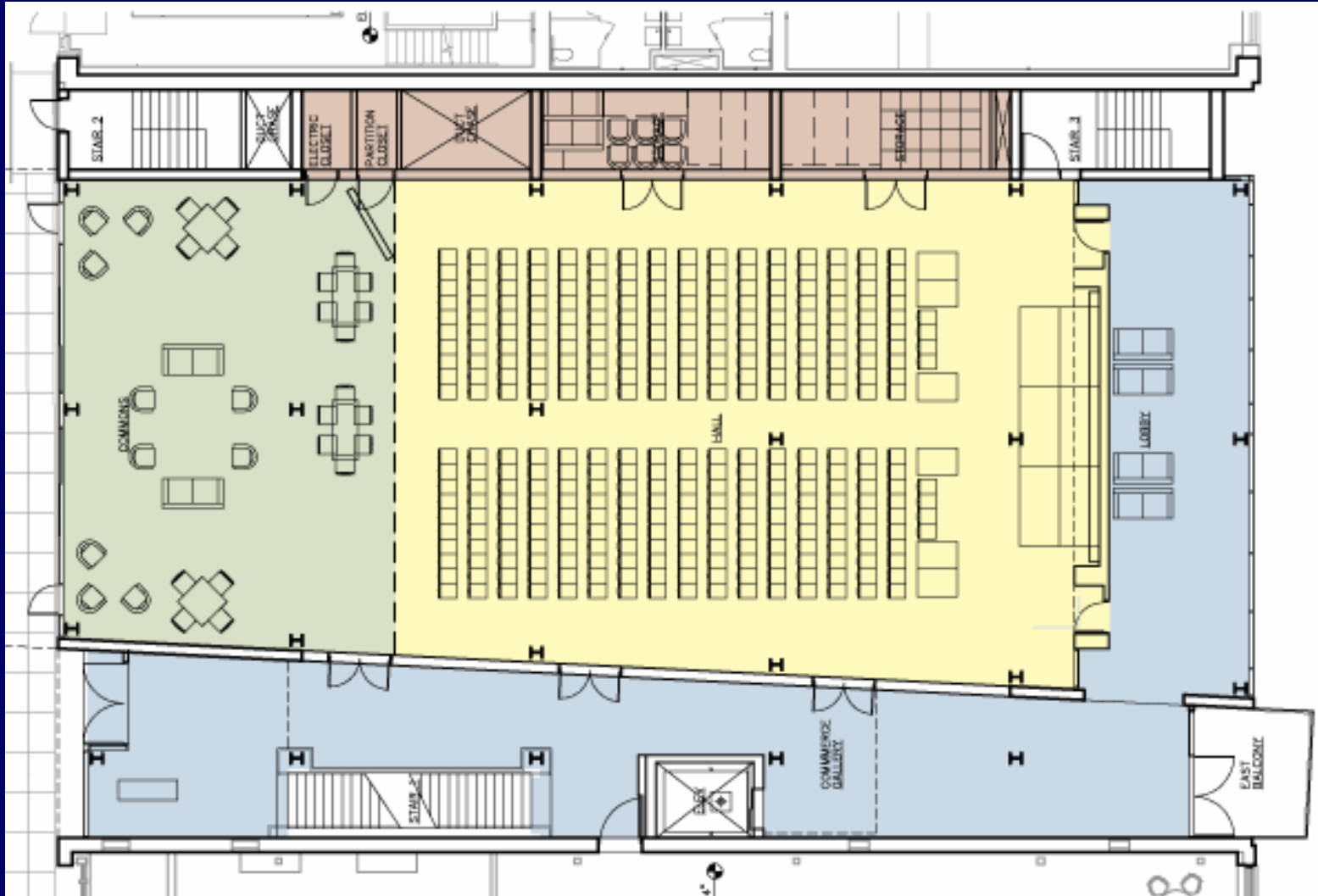
# PROPOSED CAMPUS STREETScape



# LEVEL ONE FLOOR PLAN

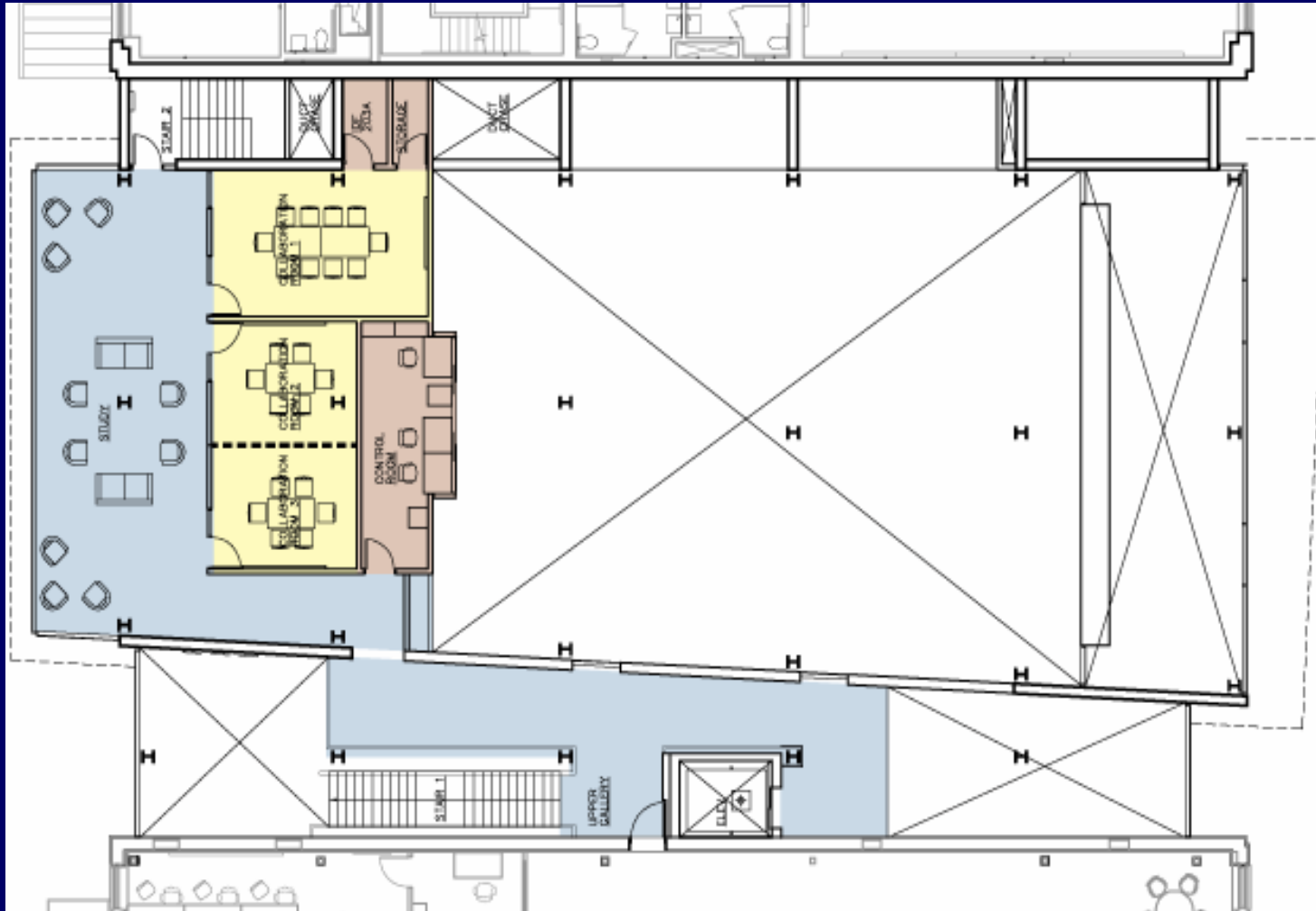


# LEVEL TWO FLOOR PLAN





# LEVEL THREE FLOOR PLAN



**VII. STANDING COMMITTEES****B. Finance, Audit and Facilities Committee**Appointment of External AuditorsRECOMMENDED ACTION:

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the Board of Regents appoint:

KPMG as external auditor for the University Financial Report, the University of Washington Medical Center, Harborview Medical Center, UW Intercollegiate Athletics and UW Parking Services for four years ending June 30, 2010, with an option to extend in two year segments, through fiscal year ending, June 30, 2016.

BACKGROUND

Beginning several years ago, the University of Washington consolidated numerous external financial audits into a comprehensive audit of the University's financial statements. The current external audit contract, with KPMG, will expire with the audit of fiscal year ending June 30, 2006.

In June, 2006, an advisory committee convened to review the University's audit needs and oversee the selection process for external audit services. Committee members included the Treasurer of the Board of Regents; UW Controller; UW Director of Financial Accounting; the Senior Associate Athletic Director - Business & Finance for Intercollegiate Athletics; UW Assistant Director for Transportation Services; UW Director of Finance and Administration for Facilities Services; UW Medicine Associate Vice President and CFO; UWMC Finance Committee Chair; UWMC Associate Executive Director & CFO; UWMC Controller; Harborview Finance Committee Chair; Harborview CFO; Harborview Assistant Controller; UW Senior Director, Strategic Sourcing for Business Services; and the Director of Internal Audit.

A formal request for proposal was issued; 3 firms responded. Each audit firm was interviewed for all or a portion of the contract. The advisory committee considered the experience, technical skills, and responsiveness of the local audit team, the teams' staffing and experience on hospital audits and cost reporting issues, the firms' national expertise on higher education issues, accessibility of national advisors, the ability of the senior partners to provide Medical Center and University Board support, and the cost.

After careful review and discussion, the advisory committee recommended the selection of KPMG for the University Financial Report, UW Intercollegiate Athletics, UW Parking Services, UWMC and Harborview Medical Center.

While the cost of the proposed contract increases over current pricing, components of the cost proposal were less than other responding firms. In

## VII. STANDING COMMITTEES

### B. Finance, Audit and Facilities Committee

#### Appointment of External Auditors (continued p. 2)

addition, the level of satisfaction with current audit services provided by KPMG, in addition to the firm's national presence, contributed to their selection.

The proposal has been reviewed and approved by the University administration. The UWMC (Board) Committee and the Harborview Medical Center Executive (Board) Committee concur with the appointment of KPMG to audit the medical centers, subject to approval by the University Board of Regents.

Enclosures

# Comparison of Proposed 2007 Audit Fees for Finalists

Enclosure

	KPMG	Moss Adams	Peterson Sullivan	Current Contract	Proposed Contract
<b>UW Financials</b>					
Financial Statements	\$400,000	\$417,000	\$325,000	\$362,500	\$400,000
Athletics	\$52,800	\$53,600 (a)	\$45,600 (b)	\$50,800	\$52,800
Parking	\$14,400	\$19,500	\$15,000	\$13,600	\$14,400
Expenses	included	\$25,000	included	\$25,900	included
<b>Total</b>	<b>\$467,200</b>	<b>\$515,100</b>	<b>\$385,600</b>	<b>\$452,800</b>	<b>\$467,200</b>
<b>Medical Centers</b>					
UWMC	\$156,800	\$150,000		\$130,600	\$156,800
Consolidated Laundry	\$17,600	\$18,000		\$15,800	\$17,600
Service League	\$6,400	\$7,000		\$5,800	\$6,400
Harborview	\$152,000	\$157,500		\$134,300	\$152,000
Expenses	included	\$12,000		included	included
<b>Total</b>	<b>\$332,800</b>	<b>\$344,500</b>		<b>\$286,500</b>	<b>\$332,800</b>
<b>Grand Total</b>	<b>\$800,000</b>	<b>\$859,600</b>		<b>\$739,300</b>	<b>\$800,000</b>

(a) Fees for NCAA procedures and Husky Fever audit estimated by UW for comparability (\$149/hour x 180 hours).

(b) Fees for the Husky Fever audit estimated by UW for comparability (\$142/hour x 110 hours).



# Comparison of Proposed 2007-2010 Audit Fees Enclosure

## Total Costs of Four Year Contract

	KPMG	Moss Adams	Peterson Sullivan	Proposed Contract
<b>UW Financials</b>				
Financial Statements	\$1,724,100	\$1,824,000	\$1,340,500	\$1,724,100
Athletics	\$227,700	\$224,500 (a)	\$185,400 (b)	\$227,700
Parking	\$62,100	\$85,300	\$61,500	\$62,100
Expenses	included	<i>\$106,000</i>	included	included
<b>Total</b>	<b><u>\$2,013,900</u></b>	<b><u>\$2,239,800</u></b>	<b><u>\$1,587,400</u></b>	<b><u>\$2,013,900</u></b>
<b>Medical Centers</b>				
UWMC	\$675,800	\$666,250		\$675,800
Consolidated Laundry	\$75,900	\$80,000		\$75,900
Service League	\$27,600	\$31,000		\$27,600
Harborview	\$655,200	\$699,250		\$655,200
Expenses	included	<i>\$50,175</i>		included
<b>Total</b>	<b><u>\$1,434,500</u></b>	<b><u>\$1,526,675</u></b>		<b><u>\$1,434,500</u></b>
<b>Grand Total</b>	<b><u>\$3,448,400</u></b>	<b><u>\$3,766,475</u></b>		<b><u>\$3,448,400</u></b>

(a) Fees for NCAA procedures and Husky Fever audit estimated by UW for comparability (\$149/hour x 180 hours).

(b) Fees for the Husky Fever audit estimated by UW for comparability (\$142/hour x 110 hours).

VII. STANDING COMMITTEE

B. Finance, Audit and Facilities Committee

UW Medicine Board Financial Update

See attached information.



**UW MEDICINE BOARD**  
**ANNUAL FINANCIAL REPORT TO THE UW BOARD OF REGENTS**

**OCTOBER 19, 2006**

## OVERVIEW AND EXECUTIVE SUMMARY

UW Medicine financial results in FY 2006 remain stable. The clinical programs recorded continued growth and positive financial performance. Research funding was stable, however, the flat growth in the NIH budget has stalled the consistent growth that had been experienced in the past decade. State support improved as a result of the FY 2006 supplemental budget that provided operating support for the South Lake Union Phase 2, the Foege building, and for the new Department of Global Health .

Key financial highlights for FY 2006 include:

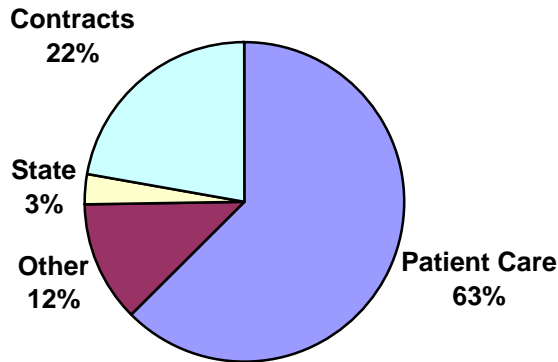
- The balance sheet for UW Medicine's clinical programs remains stable with a ratio of current assets to current liabilities of 1.8 to 1 and very low debt. Cash remains the weak spot on the balance sheet, although there was some improvement over the prior year.
- The clinical programs outperformed budget by a wide margin due to strong volumes, improved reimbursement, improved payer mix, and tight expense control. Operating margins were 3.1% at UW Medical Center and 2.2% at Harborview
- The fund balance for the School of Medicine declined, reflecting construction payments for the Foege Building. The impact related to flattening of the NIH budget at the national level was offset somewhat by the addition of research space at South Lake Union and improved indirect cost rates.
- UW Medicine development recorded its second-best year ever, raising \$109 million in support. This included a 45% increase in alumni giving, a 35% increase in average gift amount in the annual donor fund, and 100% participation from UW Medicine leadership.

The consolidated financial report for UW Medicine is included as an appendix to this report.

## KEY STATISTICS & RESULTS

UW Medicine revenues were approximately \$2.08 billion in FY 2005. This represents an increase of approximately 6 % compared to FY 2004. The following charts summarize the sources of financial support for UW Medicine.

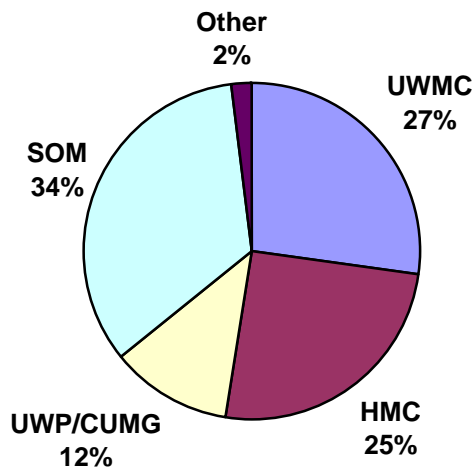
### Fiscal Year 2006 – Revenue by source



**Total Revenue: \$2.08 billion**

(Other includes support from Wyoming, Alaska, Montana and Idaho, revenue from endowments and gifts, and cost centers.)

### Fiscal Year 2006– Revenue by entity



**Total Revenue: \$2.08 billion**

(Other includes Airlift Northwest and the Consolidated Laundry.)

UW Medicine financial support comes predominantly from patient services revenues (63%) and research grants and contracts (22%). The following sections highlight the performance in each of these areas.

## PATIENT CARE

The following table summarizes net clinical revenue from patient services provided by UW Medicine medical centers, faculty physicians, and Airlift Northwest in FY 2006. This table does not include research grants and other funds received by the School of Medicine.

UW Medicine Clinical Organization	Net Patient Revenue
Harborview Medical Center	\$ 497,858,000
UW Medical Center	\$ 548,418,000
UW Physicians	\$ 173,957,000
UW Physicians Network	\$ 22,702,000
Children's University Medical Group	\$ 40,844,000
SCCA (outpatient clinic only)*	\$ 135,300,000
Airlift Northwest	\$ 28,200,000
<b>Total</b>	<b>\$1,447,279,000</b>

\*Inpatient revenues for the SCCA 20 bed unit are included in the UWMC total.

Net income from operations was \$25.3 million (un-audited), or 2% of operating revenue. The net income included \$11.7 million at Harborview and \$13.6 million at UW Medical Center.

## 5-YEAR PERFORMANCE COMPARISON

The patient care activity of UW Medicine remains very strong. The following tables summarize the clinical activity for the owned and managed components of UW Medicine.

### Harborview Medical Center

Statistic	FY 2002	FY 2003	FY 2004	FY 2005	FY 2006
Admissions	16,758	17,314	19,087	18,375	18,777
Short Stay Patients	3,876	4,938	4,249	4,598	3,662
Patient Days	124,449	125,408	131,355	125,189	129,831
Outpatient visits	200,809	207,833	221,413	221,159	223,916
Emergency visits	85,809	88,081	87,634	79,112	81,073
Average Length of Stay	7.3 days	7.4 days	6.9 days	6.8 days	6.9 days

### UW Medical Center

Statistic	FY 2002	FY 2003	FY 2004	FY 2005	FY 2006
Admissions	16,517	16,966	17,919	18,086	18,120
Patient Days	111,612	111,688	118,209	118,455	114,542
Outpatient visits	331,303	333,784	350,062	352,927	333,099
Emergency Visits	34,873	33,892	35,462	35,547	32,838
Average Length of Stay	6.8 days	6.6 days	6.6 days	6.5 days	6.3 days

### UW Physicians Network

Statistic	FY 2002	FY 2003	FY 2004	FY 2005	FY 2006
Clinic Visits	220,400	225,167	232,458	237,732	227,929
New Patients	21,610	17,970	18,377	16,925	15,784

### Seattle Cancer Care Alliance

Statistic	FY 2002	FY 2003	FY 2004	FY 2005	FY 2006
Clinic Visits	30,885	35,130	38,149	38,774	44,084
Admissions	352	365	372	444	

\*Clinic visits reflect only five months of operations in FY 2001. Inpatient admissions are for the SCCA 20 bed unit at UWMC and are included in the UWMC admissions total.

### RESEARCH PROGRAMS

UW Medicine research continues to grow. UW School of Medicine awards through the University of Washington totaled \$460.4 million in FY 04, an increase of 6.5% over FY 03, and 48% of the total grant awards to the UW. Total awards to UW Medicine faculty in FY04 were greater than \$700 million.

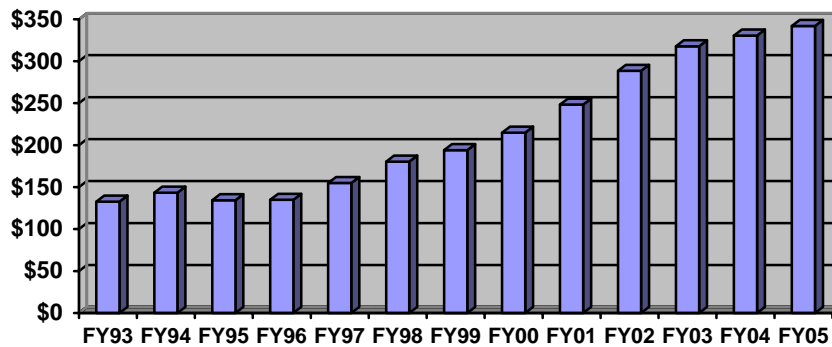
NIH awards represent almost three-fourths of the total awards received by UW Medicine faculty. The following chart tracks the growth of NIH awards (managed by the UW) to UW Medicine faculty over the past 12 federal fiscal years (year ending September 30).



## *NIH Awards to UW School of Medicine managed at UW*

*Average annual rate of increase: 8.2%*

\$ in millions



There were, in federal fiscal year 2004, 1,082 separate NIH awards to 704 Principal Investigators. There are an estimated 1,073 regular and research faculty working on NIH research grants. In addition to NIH awards managed by the UW, School of Medicine faculty receive NIH awards that are managed by affiliated institutions (e.g., FHCRC, Children's). Total NIH awards to UW Medicine faculty in federal fiscal year 2005 were \$538.8 million. UW Medicine faculty were second in the country among all medical schools, and first among public institutions, in NIH research funding based on FY 2005 awards.

### **LOOKING FORWARD – FY 2007**

There are several major program and fiscal policy issues at the federal and state level that will have an impact on UW Medicine's financial affairs. These include the prospect of little or no growth in the NIH budget for extramural research, federal limitations on Medicaid funding in support of the medically indigent and on Medicare reimbursement for physicians services, and Washington state revisions to the basic hospital reimbursement system and the specific program used to pay for hospital services at UW Medical Center and Harborview Medical Center.

August 28, 2006

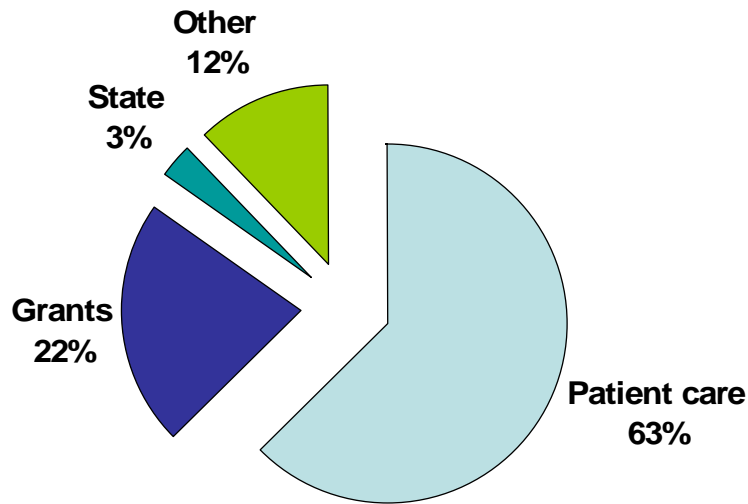
# UW Medicine

**Fiscal Year Ended June 30, 2006**  
**Unaudited**

# UW Medicine Revenue FY 2006

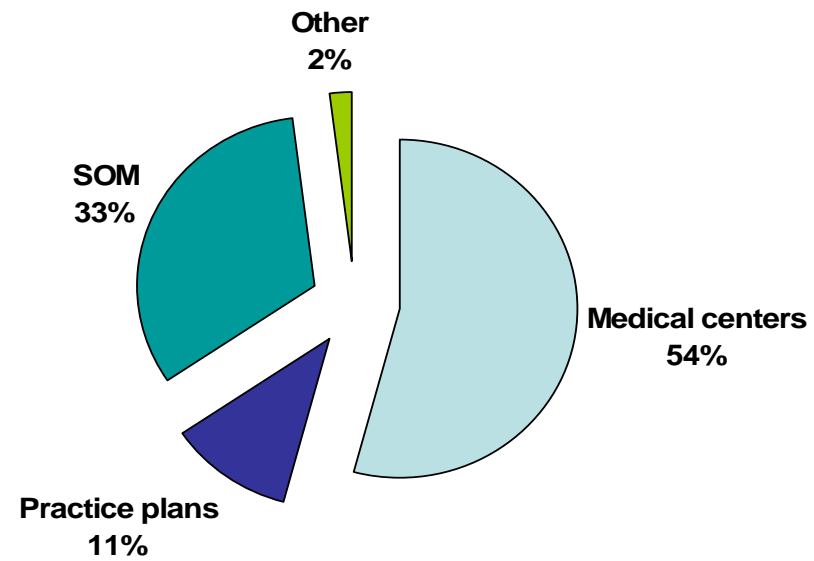
Total revenue: \$2.1 billion

By activity



Other includes WAMI contracts, revenue from endowments, gifts, and cost centers

By organizational units



Other includes Air Lift Northwest & the Consolidated Laundry

# Highlights

- Balance sheet remains stable with a strong ratio of current assets to current liabilities (1.8:1) and very low debt. Cash remains the weak spot in the balance sheet, although there has been improvement since last year.
- UW Medicine outperformed budget by a wide margin due to strong volumes, improved reimbursement, improved payer mix, and tight expense control. Operating margins were 3.1% at UWMC and 2.2% at Harborview.
- The School of Medicine had a reduction in fund balance attributable to the construction payments for the Foege building. The concern related to flattening of NIH funding at the national level has been offset somewhat by the addition of research space at South Lake Union and improved indirect cost rates.
- Primary concerns going into FY07 are:
  - Lack of growth in NIH funding
  - Changes in Medicaid payments to hospitals affecting both the per case rate and the add on payments for the medically indigent
  - Continued downward pressure on Medicare physician payments

# UW Medicine

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## Listing of Entities

### **Medical Centers/Practice Plans/Other**

- University of Washington Medical Center
- Harborview Medical Center
- University of Washington Physicians
- Children's University Medical Group
- UW Physicians Network
- Airlift Northwest
- Consolidated Laundry

**University of Washington School of Medicine**

**UW Medicine**  
**Medical Centers/Practice Plans**  
**(excludes School of Medicine)**

**Consolidated Balance Sheet**  
**Comparison June 30, 2005 and June 30, 2006**  
**(in 000's)**

<b><u>Assets:</u></b>	<b>6/30/05</b>	<b>06/30/06</b>
Cash and Investments	\$ 318,603	\$ 341,891
Other Current Assets	256,663	262,007
Property Plant and Equipment	556,233	598,130
Other Assets	245,250	241,350
<b>Total Unrestricted Fund Assets</b>	<b>\$ 1,376,749</b>	<b>\$ 1,443,378</b>
<b><u>Liabilities and Fund Balance:</u></b>		
Current Liabilities	\$ 304,005	\$ 336,994
Other Liabilities	633	1,023
Long Term Debt (Net of Current Portion)	134,056	122,837
Fund Balance	938,055	982,524
<b>Total Unrestricted Fund Liabilities &amp; Fund Balances</b>	<b>\$ 1,376,749</b>	<b>\$ 1,443,378</b>

➤ **Cash & Investments increase \$23 million**

- UWMC increase \$21 million due to net income and higher payables
- UWP increase \$10 million due to favorable patient account collections
- ALNW decrease \$8 million due to second helicopter purchase and debt repayment

➤ **Current Assets increase \$5 million**

- HMC increase \$6 million due from other funds

➤ **Plant and Equipment increase \$101 million**

- HMC increase \$61 million due to construction in progress and equipment
- UWMC increase \$38 million due to construction in progress and equipment

➤ **Current Liabilities increase \$33 million**

- HMC increase \$13 million due to contractual agencies, credit balances and accrued payroll
- UWMC increase \$10 million due to vendor and other payables
- UWP increase \$10 million due to departments and CMF

➤ **Long term debt decrease \$11 million**

- UWMC decrease \$6 million due to bond principal and capital lease payments
- ALNW decrease \$4 million due to debt payments

➤ **Fund Balance increase \$44 million**

- HMC increase \$23 million due to net income and restricted fund increase
- UWMC increase \$22 million due to net income



**UW Medicine**  
**Medical Centers/Practice Plans**  
(excludes School of Medicine)

**Consolidated Statement of Revenues and Expenses**  
**For the Year to Date Ended June 30, 2006**  
(In 000's)

	<u>Actual YTD</u>	<u>Budget YTD</u>
<b><u>Revenue:</u></b>		
Patient Service Revenue	\$ 1,301,423	\$ 1,250,702
State Appropriation	15,910	15,770
Other Revenue	81,782	77,268
<b>Total Revenues</b>	<u>1,399,115</u>	<u>1,343,740</u>
<b><u>Expenses:</u></b>		
Salaries, Wages and Benefits	791,531	768,191
Supplies and Other Expenses	468,860	457,118
Depreciation	65,098	69,020
Interest, Insurance and Taxes	6,281	6,873
<b>Total Expenses</b>	<u>1,331,771</u>	<u>1,301,202</u>
<b>Income before CMF Assessment &amp; Departmental Distributions</b>	67,344	42,538
<b>CMF Assessments &amp; Departmental Distributions</b>	<u>(42,045)</u>	<u>(39,301)</u>
<b>Net Operating Income</b>	25,299	3,237
<b>Nonoperating Gains (Losses)</b>	<u>14,285</u>	<u>7,705</u>
<b>Revenues and Gains In Excess of Expense and Losses</b>	<u>\$ 39,584</u>	<u>\$ 10,942</u>

■ Income from Operations was \$25,299 actual vs. \$3,237 budget

	Actual	Budget
HMC	11,699	3,811
UWMC	18,065	9,695
UWPN	(4,313)	(4,830)
All Other	(2,304)	(4,093)

- HMC – due to volume, lower than anticipated deductions from revenue
- UWMC -due to volume, lower than anticipated deductions from revenue
- UWPN – due to favorable reimbursement per visit
- All Others- ALNW board approved a revised budget in January 2006

■ CUMG and UWP income is distributed to departments and CMF

	<u>Actual</u>	<u>Budget</u>
CUMG	10,982	10,258
UWP	31,063	29,043

■ Non-Operating Gain \$14,285 actual vs. \$7,705 budget

- HMC \$2,351 favorable variance due to investment income
- UWMC \$4,943 favorable variance due to favorable SCCA performance

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<b>Net Income</b>	<b>\$ 39,584</b>
<b>Add back depreciation</b>	<b>65,098</b>
<b>Increase in current assets</b>	<b>(5,344)</b>
<b>Increase in current liabilities</b>	<b>32,990</b>
<b>Capital Expenditures</b>	<b>(106,996)</b>
<b>Decrease in other assets</b>	<b>3,900</b>
<b>Decrease in Long Term Debt</b>	<b>(11,219)</b>
<b>Increase in other liabilities</b>	<b>389</b>
<b>Transfers and other changes to fund balance</b>	<b><u>4,885</u></b>
<b>Net Change in Cash and Investments</b>	<b><u><u>\$ 23,288</u></u></b>

	<b>UWMC</b>	<b>HMC</b>	<b>UWP</b>	<b>CUMG</b>	<b>UWPN</b>	<b>All Other</b>	<b>Totals/ Averages</b>
Operating Income (In 000's)	\$ 18,065	\$ 11,699	-	-	\$ (4,313)	\$ (2,304)	\$23,147 <sup>1</sup>
Total Income (In 000's)	\$ 24,706	\$ 15,400	-	-	\$ (313)	\$ (1,721)	\$ 38,072 <sup>1</sup>
Net Working Capital (In 000's)	\$ 131,200	\$ 117,047	\$ (1,685)	\$ (18)	\$ 4,672	\$ 15,686	\$ 266,903
<b><u>PERCENTAGES</u></b>							
Operating Margin %	3.1%	2.2%			(40.4%)	(6.7%)	1.6%
Total Margin %	4.2%	2.9%	-	-	(2.9%)	(5.0%)	2.7%
Debt / Equity	0.31	0.02	-	-	-	0.18	0.12
Days Cash	85	67	N/A	N/A	69	134	N/A
Days A/R (gross)	80	91	49	49	35	65	N/A

<sup>1</sup> Before eliminations

Medical Centers' benchmark comparison

<b>Ratio/Indicator</b>	<b>Moody's "A" benchmark</b>	<b>UW Medical Center</b>	<b>Harborview Medical Center</b>
Operating margin	2.6%	3.1%	2.2%
Excess margin	5.2%	4.2%	2.9%
Debt to capitalization	37.7%	25.3%	2.5%
Days cash on hand	166.0	84.8	66.5
Cash to debt	118.6%	104.7%	831.8%
Days in A/R (net)	51.4	57.6	63.2

Note: Comparisons of net patient revenue, debt service coverage, and debt to cash flow are only computed on an annual basis.

## UWP benchmark\* comparisons

<b>Ratio/indicator</b>	<b>Comparison Group</b>	<b>UWP</b>
Gross Charges (\$M)	396.8	404.5
Net Collection Rate	90.2%	92.3%
Days in AR	52.6	49.1
AR>180 days	8.5%	8.0%
Denial Rate	10.2%	12.0%
% of Net Collections within 60 days	62.0%	79%
Commercial payer %	46.0%	45.2%

\* Benchmark group is 15 similarly sized practice plans.

**State funds:** State appropriated funds allocated to the School of Medicine by the University.

**Off-campus facilities ICR/RCR funds:** Indirect cost recovery of off-campus facilities costs (ICR) and research cost recovery (RCR). These funds are assigned to the School of Medicine by UW Central Accounting, and then allocated to School of Medicine departments (including the Dean's Office). These funds may be carried forward from year to year. The ending balance of RCR funds represents funds available to departments but unspent at year end.

**Endowment income** is earnings on endowments available for spending (i.e. not re-invested in the endowment). Gifts are funds received from donors. Endowment income and gifts are used to fund School of Medicine operations, subject to any donor restrictions.

**Self-sustaining programs** provide goods or services to other entities, either within or outside of the UW. The responsible department establishes the rates for goods and services. The rates are adjusted annually to account for over-under recoveries in the previous period. Balances at year end are primarily operating reserves and excess recoveries that will be resolved during the subsequent year rate calculation. Self-sustaining programs include resident training, WWAMI, Comparative Medicine and other department programs.

**Clearing/other:** Clearing accounts are mainly used for transfers of funds from the practice plans to the SOM departments (e.g., fund transfers for physician salaries). The funds are credited first to the clearing account, and then an entry is processed to distribute the funds to the departments. Any balance in clearing accounts relates to timing. Agency accounts represent funds received and expended on an activity approved by the University, but the University does not provide the funds (e.g., when a faculty member is editor of a publication and incurs expenditures and reimbursement related to that activity).

**Research and training funds** are funds held on account in the UW accounting system (Dean's Office as well as department accounts). The source of funds is practice plan or affiliated entity transfers to the School of Medicine. The transfers are made for expense reimbursement, or to fund School of Medicine operations.

**Grant and contract revenue** is recognized only to the extent of current period expenditures. Grant revenues are typically expended by the end of the grant period (not necessarily the University's fiscal period). Grant revenues are credited in a method that may not match the actual spending pattern on the grant.

**Endowments** are the principal balance (at cost) of endowments held in the UW Central Endowment Fund (CEF), including contributions and re-invested earnings.

	FY05 <u>6/30/05</u>	FY06 <u>6/30/06</u>
Revenues	784,664	847,171
Expenditures	774,320	850,670
Revenues in excess of expenditures	10,344	(3,499)
<b>Beginning fund balance</b>	<b>387,278</b>	<b>397,622</b>
<b>Ending fund balance</b>	<b>397,622</b>	<b>394,123</b>
<b>Unexpended grant and contract revenue</b>	<b><u>413,902</u></b>	<b><u>378,273</u></b>



	<b>FY05</b> <b><u>6/30/05</u></b>	<b>FY06</b> <b><u>6/30/06</u></b>
State funds (Note 1)	54,450	55,044
Off Campus Facilities ICR/RCR (Note 2)	31,600	47,577
Endowment Income & Gifts:		
Restricted (Note 3)	27,380	24,755
Unrestricted	6,172	7,311
Self-Sustaining	94,256	97,322
Clearing & Other	45,469	50,831
Research & Training (Note 4)	67,114	67,763
Grants & Contracts (Note 5)	437,519	462,309
Endowment Principal (at cost)	20,704	34,259
<b>Total Revenue</b>	<b><u>784,664</u></b>	<b><u>847,171</u></b>

Notes:

Note 1: State appropriation revenue is assigned at the beginning of each biennium. Spending may vary from the 1st to the 2nd year of the biennium, but total spending must not exceed the biennial appropriation. In general, state appropriations are spent to zero by end of biennium. University policy allows some carry-forward of unspent balances from biennium to biennium on a limited basis. Revenues are recognized to the extent of expenditures for each reporting period, therefore the fund balance will be \$0 at the end of the reporting period. The change in spending of state funds from FY05 to FY06 is within the normal range of spending fluctuation from year to year.

Note 2: FY06 off-campus facilities ICR/RCR increased from FY05 to FY06 due to increase in RCR from UW Central and ICR earned on research at additional locations (mainly the Brotman Building).

Note 3: FY05 restricted revenue restated from 6/30/05 report, from \$7M to \$27M reflect \$20M transfer of funds from SOM to UW capital projects department in support of the construction of the Foegen Building. The transaction was recorded as a 'reverse revenue', this report reclassifies the transaction to an expense. This is consistent with FY06 treatment of Foegen Building construction costs.

Note 4: Research & Training revenue includes transfers from UWP and CUMG Clinical Medicine funds and hospital support to fund spending at the UW. Spending fluctuates from year to year by department, depending on availability of other fund sources.

Note 5: Grants and contracts revenue is recognized to the extent of expenditures, and spending fluctuates depending on awards received. Although NIH intends to slow the rate of increase in grants awarded in the future, the School of Medicine continues to show an increase in grant activity as a result of the addition of facilities (the Brotman Building, the Foegen Building), which provides additional research space.

	<b>FY05</b>	<b>FY06</b>
	<b><u>6/30/05</u></b>	<b><u>6/30/06</u></b>
Salary/benefits	436,230	462,115
Supplies and other exp (Note 2)	226,177	283,746
Equipment	19,652	13,242
Grants/stipends (Note 3)	9,962	12,395
Debt redemption (Note 4)	3,796	3,879
Indirect cost (Note 5)	96,305	97,470
Cost transfers (Note 6)	(17,802)	(22,177)
<b>Total Expenditures (Note 1)</b>	<b><u>774,320</u></b>	<b><u>850,670</u></b>

Notes:

Note 1: Some School of Medicine expenditures are recorded in hospital or practice plan income statements. Examples include hospital-based operations for the Department of Laboratory Medicine and resident salaries.

Note 2: Supplies expense includes \$20M in FY05 and \$51M in FY06 related to fund transfers to UW Capital Projects Department in support of the construction of the Foege Building. This report reclassifies the FY05 \$20M funds transfer from 'reverse revenue' to expenditure.

Note 3: FY06 grant/stipends expense increased as a result of the change in classification of Family Medicine resident capitation payments from supplies and other expense to grants/stipends.

Note 4: Increase in debt redemption from FY05 to FY06 is due to the Brotman Building debt service payments.

Note 5: Indirect cost: Grant revenues include a direct and indirect component. The indirect component is a percentage of direct costs (the rate varies among grantors). Individual grants are charged indirect cost, and the expense is offset by indirect cost revenue.

Note 6: Cost transfers. Nets out expenditures recorded in multiple entities within the School of Medicine.

	<b><u>FY05</u></b> <b><u>6/30/05</u></b>	<i>% of</i> <i>Total</i>	<b><u>FY06</u></b> <b><u>6/30/06</u></b>	<i>% of</i> <i>Total</i>
State funds (Note 1)	0		0	
Off Campus Facilities ICR/RCR (Note 2)	14,191	4%	15,593	4%
Endowment Income & Gifts:				
Restricted (Note 3)	116,285		71,684	
Unrestricted	12,180		14,390	
Total Endowment Income & Gifts	128,465	32%	86,074	22%
Self-Sustaining	17,047		18,737	
Clearing & Other	1,008		51	
Research & Training (Note 4)	21,439	5%	23,938	6%
<b>Subtotal</b>	<b>182,150</b>	<b>46%</b>	<b>144,393</b>	<b>37%</b>
Grants & Contracts (Note 5)	0		0	
Endowment Principal (at cost) (Note 6)	215,472	54%	249,730	63%
<b>Ending Fund Balance (Note 7)</b>	<b><u>397,622</u></b>	<b>100%</b>	<b><u>394,123</u></b>	<b>100%</b>

See notes on next page

Notes to ending fund balance as of 6/30/05 and 6/30/06:

Note 1: State appropriation revenue is assigned at the beginning of each biennium. Spending may vary from the 1st to the 2nd year of the biennium, but total spending does not exceed the biennial appropriation. In general, state appropriations are spent to zero by end of biennium. University policy allows some carryforward of unspent balances from biennium to biennium on a limited basis. Revenue is recognized to the extent of expenditures, therefore fund balance will be \$0 at the end of the reporting period. Note: Board Report issued last year included FY05 state funds ending balance of \$1.9M. Reporting methodology was modified in FY06. This report has been restated to decrease FY05 revenue and FY05 fund balance by \$1.9M. The funds were available to be spent in BI05 (FY06 and FY07), and will be recorded as expenditure during the reporting period they are actually spent.

Note 2: RCR revenue increased from FY05 to FY06; spending of RCR funds varies between time periods based on availability of other fund sources. RCR is used to fund facilities debt service and operations, as well as other operations expense.

Note 3: The ending balance of restricted gifts includes the Genome Sciences construction fund (\$54M at 6/30/05 and \$3M at 6/30/06). The \$45M decrease in restricted gifts ending fund balance from 6/30/05 to 6/30/06 includes a \$51M transfer to the UW capital projects department in support of the construction of the Foege Building.

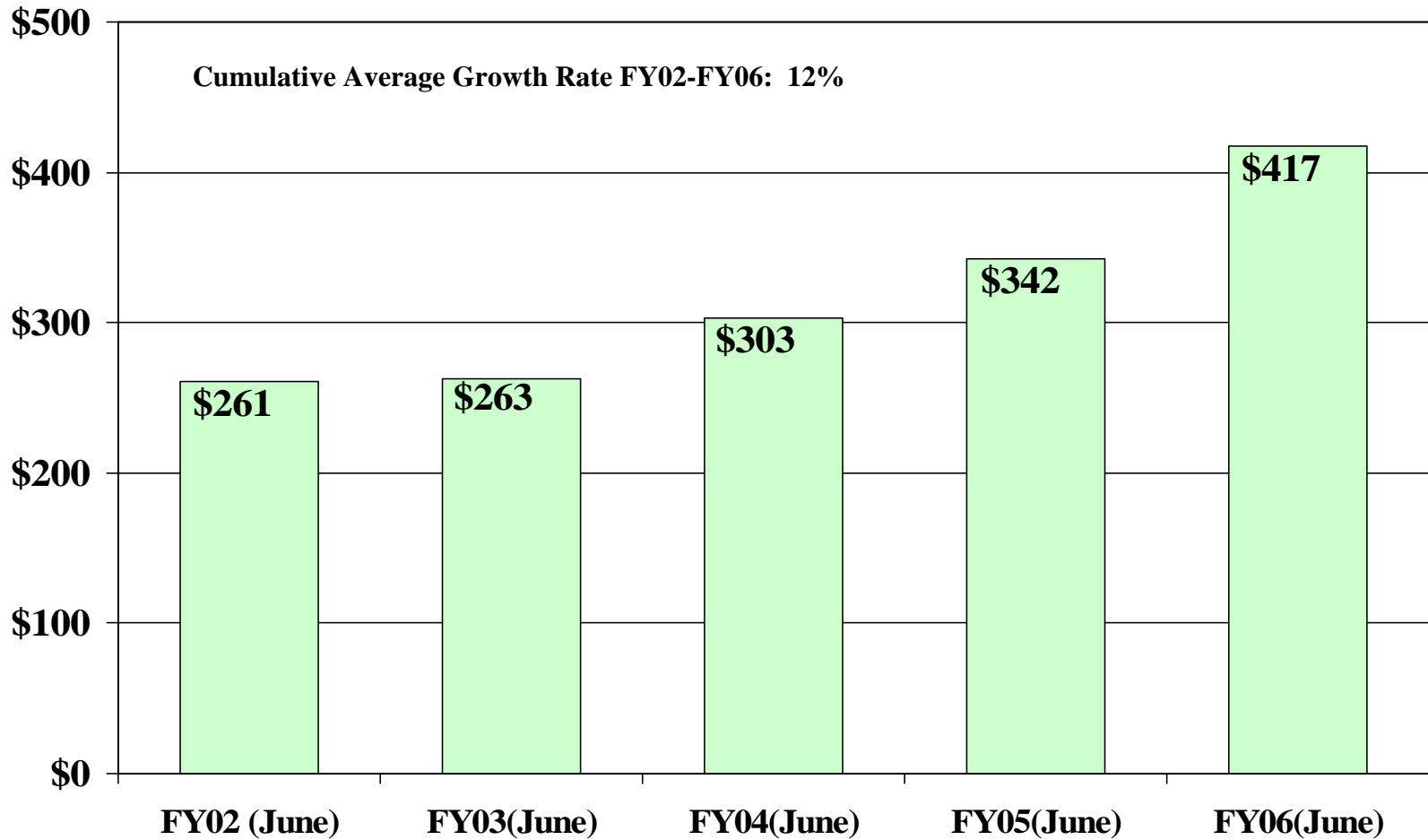
Note 4: Research and Training funds are funds held on account in the UW accounting system (Dean's Office as well as department accounts). The source of funds is practice plan or affiliated entity transfers to the School of Medicine. The transfers are made for expense reimbursement, or to fund School of Medicine operations. The majority of R&T funds are held at the practice plans (recorded as a liability by the practice plans) and are not included in this fund balance.

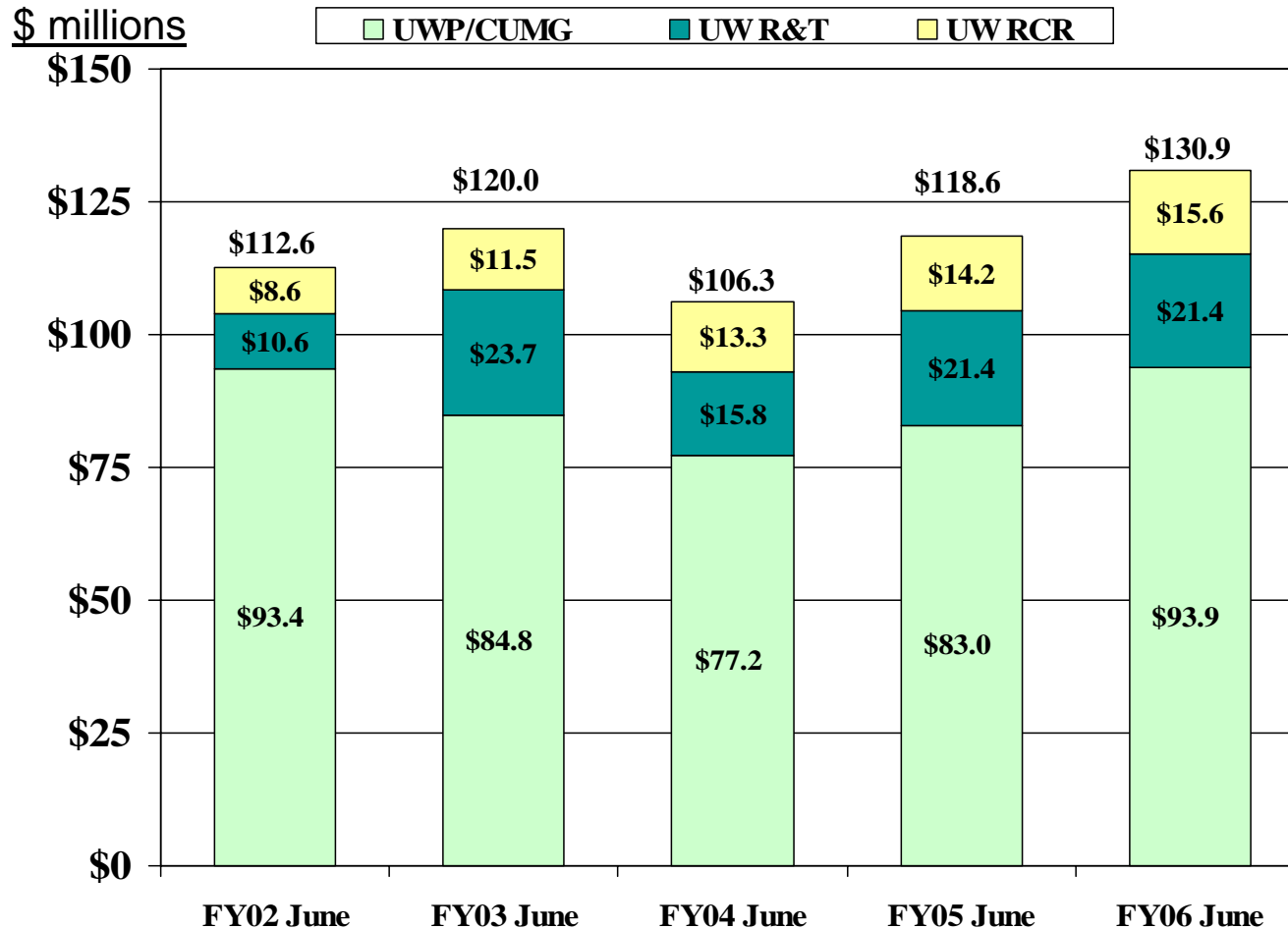
Note 5: Grant revenue is recognized to the extent of expenditures for each reporting period. As a result the ending fund balance is \$0. Unexpended grant and contract revenue is \$414M at 6/30/05 and \$378M at 6/30/06. The \$36M decline is due mainly to the spending down of large grants during the time period (\$20M in Department of Medicine due to HIV and ITEAC grants; \$10M in Biochemistry due to \$4M NCRR renovation grant and \$6M SPGG (Structural Genomes of Pathogenic Protozoa).

Note 6: Market value of endowments is \$342M at 6/30/05 and \$399M at 6/30/06. The increase includes additions to endowments as well as investment earnings.

Note 7: 6/30/05 ending fund balance decreased \$1.9M from \$399,547 on the June 2005 report to \$397,622 on the June 2006 report. The methodology for recognizing state appropriations in School of Medicine financial statements has been modified to recognize revenues as expenditures are made. The assignment of state funds from the UW Provost Office to the School of Medicine is permission to spend (a memo entry in the UW books), not recording of revenue. The \$1.9M from prior biennium was rolled forward as 'available to spend' in current biennium.

\$ millions





R&T funding is mainly clinical distributions, investment income and hospital support. Transfers from the practice plan reserves to the UW R&T accounts are made on a regular basis to fund research, training, and operational activities. UW R&T increased \$13M from FY02 to FY03 due mainly to \$6M hospital support and \$2M IME-DME reimbursement of expenditures. FY04 decrease due to federal billing investigation settlement costs. Balances can be carried forward from year to year, and spending can fluctuate depending on other available fund sources during the year. Source: UW-FIN data and UWP/CUMG reserve statements. Includes Dean's Office and all departments and UWP Lab Medicine and Hall Health payable.

# UNIVERSITY OF WASHINGTON

## INVESTMENT PERFORMANCE REPORT—PEER UNIVERSITY COMPARISON

FOURTH QUARTER FISCAL YEAR 2006

*As of June 30, 2006*



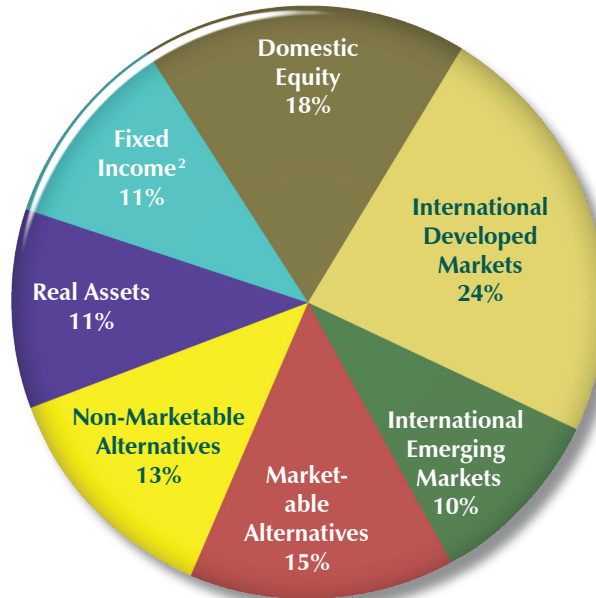
TREASURER, BOARD OF REGENTS  
TREASURY OFFICE

Prepared for the October 19, 2006 Board of Regents Meeting

# ASSET ALLOCATION

As of June 30, 2006

Consolidated Endowment Fund<sup>1</sup>—\$1,690 MM



Dollars in Millions

	Current Allocation		Policy Target	Policy Range
Domestic Equity	\$299	18%	18%	10%–40%
International Developed Markets	\$399	24%	20%	10%–35%
International Emerging Markets	\$163	10%	10%	5%–15%
Marketable Alternatives	\$246	15%	16%	5%–25%
Non-Marketable Alternatives	\$213	13%	12%	5%–20%
<b>Equity Fund</b>	<b>\$1,321</b>	<b>78%</b>	<b>76%</b>	<b>60%–90%</b>
Real Assets Fund	\$180	11%	12%	5%–20%
Fixed Income Fund <sup>2</sup>	\$188	11%	12%	5%–35%
<b>Total Consolidated Endowment Fund</b>	<b>\$1,690</b>	<b>100%</b>		

<sup>1</sup> Estimated net foreign currency exposure: 43% <sup>2</sup> Includes allocation to cash



# CONSOLIDATED ENDOWMENT FUND (CEF)

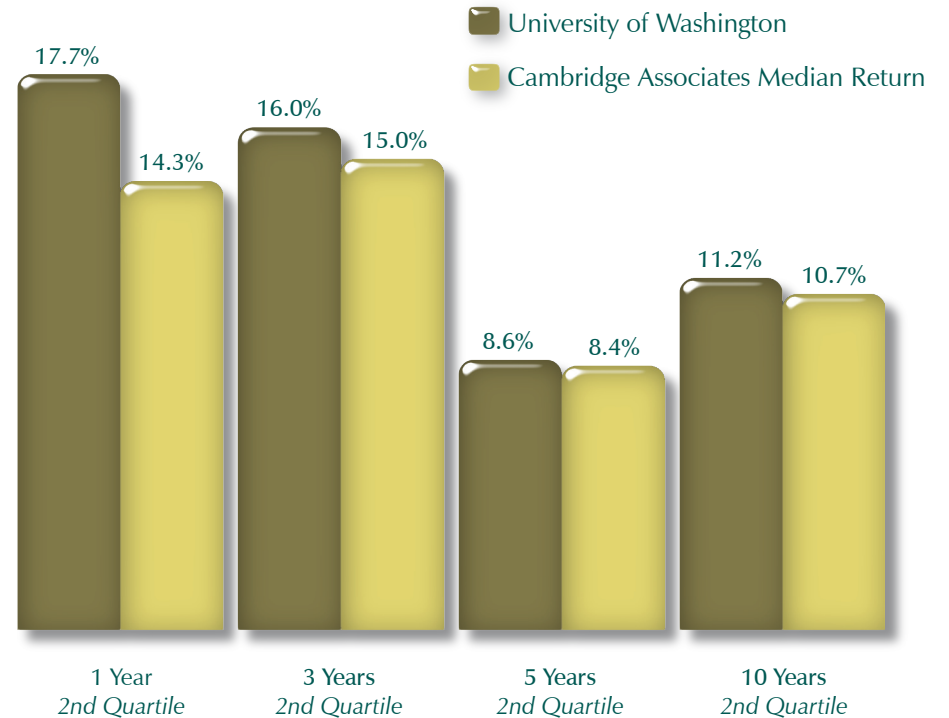
For the Quarter ending June 30, 2006

Total Returns As of 6/30/06 <sup>1</sup> (%)

	4 <sup>th</sup> Qtr FY 2006	1-Year	5-Year	10-Year
<b>Total CEF Return</b>	<b>-0.3</b>	<b>17.7</b>	<b>8.6</b>	<b>11.2</b>
Strategy Weighted Policy Benchmark	0.5	16.1	6.4	10.9
<b>Equity Fund</b>	<b>-0.7</b>	<b>19.7</b>	<b>8.2</b>	<b>11.7</b>
Weighted Policy Benchmark	0.2	19.5	5.8	11.4
<b>Real Assets Fund</b>	<b>2.4</b>	<b>20.2</b>	—	—
Policy Benchmark	2.7	13.5	—	—
<b>Fixed Income Fund</b>	<b>0.4</b>	<b>2.7</b>	<b>5.5</b>	<b>6.6</b>
Policy Benchmark (LB Govt Bond)	0.0	-1.2	4.7	6.0

<sup>1</sup> Average Annual Compound Return

Cambridge Associates Top 50<sup>1</sup> Universe Performance  
for periods ending 6/30/06



<sup>1</sup> Cambridge Associates College and University Investment Pool Top 50 (by investment pool market value)

## VII. STANDING COMMITTEES

## B. Finance, Audit and Facilities Committee

University of Washington Consolidated Endowment Fund  
Co-Investment Thesis  
*October 2006*

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<b><i>Description:</i></b>	Selective investments in direct private real estate and private equity deals
<b><i>Objective:</i></b>	Enhanced returns
<b><i>Source:</i></b>	The University's existing pool of investment managers
<b><i>Risk Control:</i></b>	Size limits placed on individual direct investments
<b><i>Policy:</i></b>	Allowable in policy – not implemented to date
<b><i>Process:</i></b>	Subject to the same ethical, legal and financial due diligence as other investments held by the University
<b><i>Notification:</i></b>	UWINCO and the administration prior to implementation of specific deals

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The co-investment thesis has been reviewed by the University of Washington Investment Committee (UWINCO) and senior administration.

VII. STANDING COMMITTEE

B. Finance, Audit and Facilities Committee

UW Tower (Safeco) Update

Oral report will be provided at the meeting