Background

In early 2009, the UW Technology Working Group (WG) formed sub-teams to advance the following goals:

- Establish a sustainable model for funding technology strategy and operations, including state (GOF), local (DOF), and recharge models
- Develop internal financial reporting mechanisms and models to assure accountability and control of resources
- Determine service delivery models for UW Technology in administrative and research information technology, looking at short- and long-term University needs
- Strengthen institutional oversight of self sustaining activities and broader controls for deficits and financial risk management
- Improve the organizational climate within UW Technology

The sub-teams completed their work with the following results:

<table>
<thead>
<tr>
<th>SubTeam</th>
<th>Goal</th>
<th>Current Status</th>
<th>Next Steps</th>
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</thead>
<tbody>
<tr>
<td>COMPLETE</td>
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<tr>
<td>UWTV</td>
<td>To develop a self sustaining business plan</td>
<td>Complete. Upon approval by President, UWTV transferred to External Relations effective October 2009.</td>
<td>None</td>
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<tr>
<td>UW Tech Internal Financial Reporting</td>
<td>To develop financial and management reports for UW Technology</td>
<td>Complete. Reports reviewed by WG and will be used by UW Technology Business &amp; Finance to inform managers of budget status.</td>
<td>None</td>
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<td>Deficit Policy</td>
<td>To develop a University-wide deficit policy</td>
<td>Complete. WG approved draft policy Nov 4 and “handoff” to Planning &amp; Budgeting (P&amp;B) for final implementation.</td>
<td>P&amp;B work with Rules Coordination Office to establish deficit resolution policy as an Administrative Policy Statement (APS) by April 2010.</td>
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<td>Financial Risk</td>
<td>To create a framework for oversight of self sustaining units</td>
<td>Complete. WG approved draft policy Nov 4 to include annual review of top 6-8 non-audited units by P&amp;B/FM.</td>
<td>Ongoing. FM schedule review of top 6-8 non-audited units on an annual basis.</td>
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<td><strong>UW Tech Climate Culture and Engagement</strong></td>
<td>To identify and recommend program to build and sustain trust throughout UW Tech and facilitate resiliency and staff engagement</td>
<td><strong>Complete.</strong> WG approved recommendations Nov 4 and “handoff” to Kelli Trosvig and V’Ella Warren for next steps.</td>
<td>None</td>
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<td><strong>NEARLY COMPLETE</strong></td>
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<td><strong>Financial Management Awareness</strong></td>
<td>To provide Vice Provosts, Vice Presidents, Deans, and Chancellors the necessary tools, training, and information to effectively manage the financial responsibilities for their organizations</td>
<td><strong>Nearly Complete.</strong> The team developed a Web-based portal and financial toolkit to assist UW senior leaders. Initial draft approved by WG Nov 18.</td>
<td>Finalize toolkit as a Web page, and collect feedback by April 2010.</td>
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<td><strong>Technology Strategy Governance</strong></td>
<td>To develop framework for evaluating technology opportunities that better align with institutional strategies</td>
<td><strong>Nearly Complete.</strong> The WG recommended establishing a strategic advisory group and is providing potential membership to President/Provost for final approval.</td>
<td>Initial rollout Summer/Fall 2010.</td>
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<td><strong>EFFORTS TO CONCLUDE 2010</strong></td>
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<td><strong>Funding/Recharge Model</strong></td>
<td>To develop a sustainable funding model for supporting UW Technology</td>
<td>Consultant (WTC) working with sub-team, Implementation Team, and WG established recharge fee based on per capita and identified basic services.</td>
<td>Continue campus communication. Implement July 1, 2010.</td>
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<td><strong>Service Delivery Model (“General” and Research)</strong></td>
<td>To ensure services align with needs of campus and researchers, in particular</td>
<td>Incorporated into Funding/Recharge Model efforts.</td>
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<td><strong>Funding Commitment Process</strong></td>
<td>To develop process for explicit documentation/approval for funding commitments</td>
<td>P&amp;B presented draft funding commitment approval process to WG at March 24, 2010 meeting.</td>
<td>Link funding commitment approval process with Financial Awareness Toolkit.</td>
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<td><strong>Expand Role of Management Accounting and Analysis (MAA)</strong></td>
<td>To consider broadening recharge review process to include wider scope of potential recharge activities</td>
<td>MAA presented draft recommendations to WG; will incorporate feedback and review at April WG meeting.</td>
<td>WG discussion April 2010.</td>
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