



January 14, 2010

TO: Members of the Board of Regents
Ex-officio Representatives to the Board of Regents
FROM: Joan Goldblatt, Secretary of the Board of Regents
RE: Schedule of Meetings

JANUARY 20, 2010

4:30 to 5:30 p.m.	UW Regional Ocean Observatory Office 909 NE Boat Street	FACULTY PRESENTATION Professor John R. Delaney
6:00 to 8:30 p.m.	Hill-Crest	DINNER FOR REGENTS AND OTHER GUESTS

JANUARY 21, 2010

8:30 to 11:05 a.m.	UW Tower Board Room, 22 nd Floor	ACADEMIC & STUDENT AFFAIRS COMMITTEE: Regents Barer (Chr), Gates, Golden, Harrell, Jewell
11:15 a.m. to 1:30 p.m.	UW Tower Board Room, 22 nd Floor	FINANCE, AUDIT AND FACILITIES COMMITTEE: Regents Blake (Chr), Brotman, Cole, Jewell, Smith
1:45 to 2:30 p.m.	UW Tower Board Room, 22 nd Floor	ACADEMIC & STUDENT AFFAIRS COMMITTEE: Regents Barer (Chr), Gates, Golden, Harrell, Jewell
		<i>in Joint Session with</i>
		FINANCE, AUDIT AND FACILITIES COMMITTEE: Regents Blake (Chr), Brotman, Cole, Jewell, Smith
3:00 p.m.	UW Tower Board Room, 22 nd Floor	REGULAR MEETING OF BOARD OF REGENTS

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AGENDA

BOARD OF REGENTS University of Washington

January 21, 2010

3:00 p.m.

UW Tower

Board Room, 22nd Floor

(Item No.)

- I. **CALL TO ORDER**
- II. **ROLL CALL**
- III. **CONFIRM AGENDA**
- IV. **REPORT OF THE CHAIR OF THE BOARD OF REGENTS: Regent Simon**
Resolution of Appreciation to Frederick C. Kiga (Action) BP-1
- V. **REPORT OF THE UNIVERSITY PRESIDENT: Dr. Emmert**
- VI. **CONSENT AGENDA**
Approval of Minutes of Meeting of November 19, 2009
Approval of Minutes of Special Meeting of November 28, 2009
University of Washington Medicine Board Bylaws Changes A-2
Grant and Contract Awards Summary – October and November, 2009 F-1
UW Bothell Phase 3 – Approve Alternative Public Works GC/CM and Delegate Authority to Award a Construction Contract F-2
Metropolitan Tract – 2010 Unico Capital and Tenant Improvement Budget F-3
UW Medical Center Expansion Project – Approve Budget Adjustment and Funding Plan F-9
Student Housing Phase III – Terry and Lander Halls Renovation – Select Architect, Delegate Authority to Award Design Contract, Approve Use of Alternative Public Works, and Delegate Authority to Award Construction Contract F-10
Safe Campus Project – Select Architect and Delegate Authority to Award a Design Contract F-11
Anderson Hall – Select Architect and Delegate Authority to Award a Design Contract F-12

VII. STANDING COMMITTEES**A. Academic and Student Affairs Committee: Regent Barer – Chair**

Academic and Administrative Appointments (ACTION)	A-1
Student Perspectives on the Undergraduate Academic Experience (Information only)	A-3
Public Opinion Poll Results (Information only)	A-4
UWTV (Information only)	A-5
COP Governing Boards Committee Report (Information only)	A-6

Joint Session**A. Academic and Student Affairs Committee: Regent Barer – Chair****B. Finance and Audit Committee: Regent Blake – Chair**

Background Information on Various Academic Fees (Information only)	F-16
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B. Finance, Audit and Facilities Committee: Regent Blake – Chair

Metropolitan Tract Performance Report for the Quarter Ended September 30, 2009 (Information only)	F-4
Report of Contributions – October and November, 2009 (Information only)	F-5
Actions Taken Under Delegated Authority (Information only)	F-6
Sound Transit Construction Schedule (Information only)	F-7
Rainier Vista Pedestrian Land Bridge – Informational Update (Information only)	F-8
Lewis Hall – Design Review Update (Information only)	F-13
Advisory Committee on Real Estate (ACRE) Update (Information only)	F-14
2009 Audit Reports of the UW – KPMG (Information only)	F-15

VIII. OTHER BUSINESS

Reports from ex-officio representatives to the Board:

Faculty Senate Chair – Professor Bruce Balick

ASUW President – Mr. Tim Mensing

GPSS President – Mr. Jake Faleschini

Alumni Association President – Mr. Eddie Pasatiempo

IX. DATE FOR NEXT REGULAR MEETING: February 18, 2010

X. ADJOURNMENT



**UNIVERSITY OF WASHINGTON
BOARD OF REGENTS**

Academic and Student Affairs Committee
Regents Barer (Chair), Gates, Golden, Harrell, Jewell

**January 21, 2010
8:30 to 11:05 a.m.
UW Tower
Board Room, 22nd Floor**

1. **Academic and Administrative Appointments** **ACTION** A-1
Phyllis M. Wise, Provost and Executive Vice President
2. **University of Washington Medicine Board Bylaws Changes** **ACTION** A-2
Bruce Ferguson, Chief Financial Officer, UW Medicine,
Vice President for Medical Affairs, School of Medicine
3. **Student Perspectives on the Undergraduate Academic Experience** **INFORMATION** A-3
Ed Taylor, Vice Provost and Dean, Undergraduate Academic Affairs
Ana Mari Cauce, Dean, College of Arts and Sciences
Sheila Edwards Lange, Vice President Minority Affairs,
Vice Provost Diversity
4. **Public Opinion Poll Results** **INFORMATION** A-4
Randy Hodgins, Vice President, Office of External Relations
Key Nutall, Director of Strategy & New Media Marketing
Anne Fennessy, Cocker Fennessy Firm
5. **UWTV** **INFORMATION** A-5
Randy Hodgins
John Haslam, General Manager, UWTV
Phillippa Kassover, Senior Director, UWTV
6. **COP Governing Boards Committee Report** **INFORMATION** A-6
Craig Cole, Regent
7. **Other Business**



UNIVERSITY OF WASHINGTON
BOARD OF REGENTS

Finance, Audit and Facilities Committee
Regents Blake (Chair), Brotman, Cole, Jewell, Smith

January 21, 2010
11:15 a.m. to 1:30 p.m.
UW Tower
Board Room, 22nd Floor

1. **Grant and Contract Awards Summary – October and November, 2009** ACTION F-1
Phyllis M. Wise, Provost and Executive Vice President
2. **UW Bothell Phase 3 – Approve Alternative Public Works GC/CM and Delegate Authority to Award a Construction Contract** ACTION F-2
Richard Chapman, Associate Vice President, Capital Projects Office
Eric Smith, Interim Director, Major Capital Projects, Capital Projects Office
3. **Metropolitan Tract – 2010 Unico Capital and Tenant Improvement Budget** ACTION F-3
Jeanette Henderson, Director, Real Estate Office
Lisa Stewart, Principal, Urbis Partners, LLC
4. **Metropolitan Tract Performance Report for the Quarter Ended September 30, 2009** INFORMATION F-4
Jeannette Henderson
Lisa Stewart
V’Ella Warren, Senior Vice President
5. **Report of Contributions–October and November, 2009** INFORMATION F-5
Walter G. Dryfoos, Associate Vice President, Advancement Services
Connie Kravas, Vice President, University Advancement
6. **Actions Taken Under Delegated Authority** INFORMATION F-6
Richard Chapman
7. **Sound Transit Construction Schedule** INFORMATION F-7
Joni Earl, CEO, Sound Transit
8. **Rainier Vista Pedestrian Land Bridge – Informational Update** INFORMATION F-8
Richard Chapman
Kristine Kenney, University Landscape Architect

9. **UW Medical Center Expansion Project – Approve Budget Adjustment and Funding Plan** **ACTION** F-9
Johnese Spisso, Vice President Medical Affairs, UW & COO, UW Medicine
Bruce Ferguson, CFO, UW Medicine
Steve Zieniewicz, Executive Director, UW Medical Center
Doug Breckel, Associate Vice President, Treasury Office
10. **Student Housing Phase III – Terry and Lander Halls Renovation – Select Architect, Delegate Authority to Award Design Contract, Approve Use of Alternative Public Works, and Delegate Authority to Award Construction Contract** **ACTION** F-10
Jon Lebo, Interim Director, Student Life Projects, Capital Projects Office
Pamela Schreiber, Director, Housing & Food Services
11. **Safe Campus Project – Select Architect and Delegate Authority to Award a Design Contract** **ACTION** F-11
Richard Chapman
Brad Spencer, Interim Director, Special Projects Group, Capital Projects Office
12. **Anderson Hall – Select Architect and Delegate Authority to Award a Design Contract** **ACTION** F-12
Richard Chapman
John Palewicz, Interim Director, Program Management, Capital Projects Office
13. **Lewis Hall – Design Review Update** **INFORMATION** F-13
Richard Chapman
John Palewicz
14. **Advisory Committee on Real Estate (ACRE) Update** **INFORMATION** F-14
Jeanette Henderson
15. **2009 Audit Reports of the UW – KPMG** **INFORMATION** F-15
Richard Cordova, Executive Director, Internal Audit
Steve Huebner, Partner, KPMG
16. **Executive Session**
(to review the performance of public employees)
17. **Executive Session**
(to consider the minimum price at which real estate will be offered for sale or lease when public knowledge regarding such consideration would cause a likelihood of decreased price)
18. **Other Business**



**UNIVERSITY OF WASHINGTON
BOARD OF REGENTS**

Academic and Student Affairs Committee
Regents Barer (Chr), Gates, Golden, Harrell, Jewell

In Joint Session with

Finance, Audit and Facilities Committee
Regents Blake (Chr), Brotman, Cole, Jewell, Smith

**January 21, 2010
1:45 to 2:30 p.m.
UW Tower
Board Room, 22nd Floor**

1. **Executive Session**

(to discuss with legal counsel representing the agency litigation or potential litigation to which the agency, is, or is likely to become, a party, when public knowledge regarding the discussion is likely to result in an adverse legal or financial consequence to the agency)

2. **Background Information on Various Academic Fees**

Gary Quarfoth, Associate Vice Provost, Planning and Budgeting

Ed Taylor, Dean and Vice Provost, Undergraduate Academic Affairs

Gerald Baldasty, Dean and Vice Provost, Graduate School

Eric Godfrey, Vice President and Vice Provost, Student Life

INFORMATION F-16

3. **Other Business**

OFFICIAL MINUTES

MINUTES

**BOARD OF REGENTS
University of Washington**

January 21, 2010

The Board of Regents held its regular meeting on Thursday, January 21, 2010, beginning at 3:15 p.m. in the Board Room on the 22nd floor of the UW Tower. The notice of the meeting was appropriately provided to the public and the media.

CALL TO ORDER

ROLL CALL

Assistant Secretary Keith called the roll. Present were Regents Simon (presiding), Barer, Blake, Cole, Gates, Golden, Harrell, Jewell, Smith; Dr. Emmert, Dr. Wise, Ms. Warren, Ms. Goldblatt; ex-officio representatives: Professor Balick, Mr. Mensing, Mr. Faleschini, Mr. Pasatiempo.

Absent: Regent Brotman

CONFIRM AGENDA

The agenda was confirmed as presented.

REPORT OF THE CHAIR OF THE BOARD OF REGENTS: Regent Simon

Regent Simon welcomed Orin Smith to the Board and invited Regent Smith to tell the Board about his background. Orin Smith was appointed by Governor Gregoire on December 10, 2009. Regent Smith said he has a long time interest in education at all levels. He said he believes in excellence and access. Regent Smith has served on, and chaired, the University of Washington Medicine Board and the UW Foundation Board.

Resolution of Appreciation to Frederick C. Kiga (Agenda no. BP-1) (Action)

Regent Simon read the Resolution of Appreciation to outgoing Regent Frederick C. Kiga, whose term ended on December 9, 2009.

MOTION: Upon the recommendation of the Chair of the Board, and the motion made by Regent Blake, seconded by Regent Jewell, the Board voted to approve the Resolution of Appreciation to Frederick C. Kiga.

See Attachment BP-1.

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REPORT OF THE UNIVERSITY PRESIDENT: Dr. Emmert

Dr. Emmert updated the Regents about Husky Promise. The Husky Promise guarantees that full tuition and standard fees will be covered by grant or scholarship support for eligible Washington state students. This program has been in place for four years. Dr. Emmert said 25% of the University's undergraduate students are currently covered by the Husky Promise. Dr. Emmert introduced Randy Hodgins, Vice President for External Relations, who introduced his staff from UW Marketing, Key Nuttall, Director of Strategy and New Media, and Dawn Weyant, Senior Marketing Manager. Mr. Nutall and Ms. Weyant described a multi-media campaign created to increase awareness of the Husky Promise. Regents watched the television ads and viewed the print materials. President Emmert said he plans to visit high schools throughout the state of Washington to meet with students, and get the message out to families that Husky Promise is available to them. The placement of the media ads is being paid for with private, non-state, funds.

Questions and discussion followed the presentation.

CONSENT AGENDA

Regent Simon noted there were ten items for approval on the consent agenda, and called for a motion.

MOTION: Upon the recommendation of the Chair of the Board and the motion made by Regent Barer, seconded by Regent Jewell, the Board voted to approve the ten items on the consent agenda as shown below:

Minutes for the meeting of November 19, 2009

Minutes for the Special meeting of November 28, 2009

University of Washington Medicine Board Bylaws Changes (Agenda no. A-2)

It was the recommendation of the President that the Board of Regents adopt the following amendments to the Bylaws of the University of Washington Medicine Board:

- Add NWH as a component of UW Medicine and add two NWH Board members as full members of the UW Medicine Board;
- Clarify that Harborview Trustees act on behalf of King County;
- Add Airlift Northwest (ALNW) as a named component of UW Medicine; and
- Clarify that board members serving as Chair and Vice Chair may exceed their three term maximum in order to complete their term as officers of the Board.

See Attachment A-2.

Grant and Contract Awards – October and November, 2009 (Agenda no. F-1)

It was the recommendation of the administration and the Finance, Audit and Facilities Committee the Board of Regents accept Grant and Contract Awards for the month of October, 2009, in the total amount of \$152,835,500, and for the month of November, 2009, in the total amount of \$86,113,060.

See Attachment F-1.

UW Bothell Phase 3 – Approve Alternative Public Works GC/CM and Delegate Authority to Award a Construction Contract (Agenda no. F-2)

It was the recommendation of the administration and the Finance, Audit and Facilities Committee that the Board of Regents approve the use of the GC/CM alternative public works process and that the President be delegated authority to award the GC/CM construction contract to the selected firm, subject to the contract being within the approved project budget and funding being in place.

See Attachment F-2.

Metropolitan Tract – 2010 Unico Capital and Tenant Improvement Budget (Agenda no. F-3)

It was the recommendation of the Finance, Audit and Facilities Committee that the Board of Regents approve reimbursement of up to \$6.36 million in capital expenditures by Unico Properties, LLC, for capital and tenant improvements requested in its 2010 Capital Expenditure Plan for the office buildings on the Metropolitan Tract. The \$6.36 million is comprised of up to \$1.94 million for capital improvements and up to \$4.42 million for tenant improvements.

See Attachment F-3.

UW Medical Center Expansion Project – Approve Budget Adjustment and Funding Plan (Agenda no. F-9)

It was the recommendation of the administration and the Finance, Audit and Facilities Committee that the Board of Regents approve the addition of a vertical shell of three floors to the existing project scope and an increase in the previously approved project budget for the UW Medical Center (UWMC) Expansion Project (Phase 1) from \$170,000,000 to \$204,000,000.

See Attachment F-9.

Student Housing Phase III – Terry and Lander Halls Renovation – Select Architect, Delegate Authority to Award Design Contract, Approve Use of Alternative Public Works, and Delegate Authority to Award Construction Contract (Agenda no. F-10)

It was the recommendation of the administration and the Finance, Audit and Facilities Committee that the President be delegated authority to award a design contract for the Student Housing Phase III: Terry and Lander Halls Renovation project to the firm of

Mithun Architects, subject to successful negotiation of an architectural agreement. In the event of an unsuccessful negotiation with the selected firm, a delegation of authority is requested to open negotiations with the alternate team of Hewitt Architects and Hanbury, Evans, Wright, Vlattas + Company.

The administration and the Finance, Audit and Facilities Committee also recommend that on the Student Housing Phase III: Terry and Lander Halls Renovation project, the use of alternative public works utilizing the General Contractor / Construction Manager (GC/CM) method of contracting be approved and that the President be delegated authority to award the preconstruction and construction GC/CM contracts to the selected contractor, subject to the scope, budget, and funding remaining within 10 percent of the established budget.

See Attachment F-10.

Safe Campus Project – Select Architect and Delegate Authority to Award a Design Contract (Agenda no. F-11)

It was the recommendation of the Administration and the Finance, Audit and Facilities Committee that the President be delegated authority to award a design contract for the Safe Campus Project with the firm of EHS Design, Inc, subject to successful negotiation of an architectural agreement. This project involves modification of existing fire alarm panels in more than 143 buildings on the Seattle campus. It will provide “state of the art” central monitoring of alarms and broadcast mass notification to the campus community in the event of natural disaster, civil unrest, terrorism, or other public safety threats. In the event of an unsuccessful negotiation with the selected firm, it was requested that authority be delegated to open negotiations with S. M. Stemper Architects, PLLC; the firm recommended as first alternate.

See Attachment F-11.

Anderson Hall – Select Architect and Delegate Authority to Award a Design Contract (Agenda no. F-12)

It was the recommendation of the administration and the Finance, Audit and Facilities Committee that the President be delegated authority to award a design contract for the Anderson Hall Renovation Project with the architectural firm, Mahlum, subject to successful negotiation of an architectural agreement.

See Attachment F-12.

STANDING COMMITTEES

ACADEMIC AND STUDENT AFFAIRS COMMITTEE: Regent Barer, Chair

Academic and Administrative Appointments (Agenda no. A-1)

January 21, 2010

MOTION: Upon the recommendation of the administration and the motion made by Regent Jewell, seconded by Regent Cole, the Board voted to approve the personnel appointments. Regent Golden abstained from the discussion and vote.

See Attachment A-1.

Student Perspectives on the Undergraduate Academic Experience (Agenda no. A-3)
(Information only)

Regent Barer praised the presentations by a group of students on their undergraduate academic experience at the University of Washington. Regents had the opportunity to ask these students questions. Students expressed a common concern about the current job market. Lack of employment encourages students to consider pursuing advance degrees. Students cited excellence of education as a priority. Regents asked about the students' social and emotional experience at the University, and their feelings regarding campus safety. Female students spoke of their concern about campus safety. Male students also expressed concern about being on campus after dark. All praised the night walk and night ride programs. Regent Cole reiterated that students praised the service and support of the UW Police Department, along with the educational programs offered by UWPD.

See Attachment A-3.

Public Opinion Poll Results (Agenda no. A-4) (Information only)

Regents received data from a recent public opinion poll. 77% of people in Washington state held a highly favorable opinion of the UW. Only 5% held an unfavorable opinion. These percentages have stayed constant over time. Regent Barer expressed his concern that people surveyed were unaware of the Husky Promise. When polled on their view of public funding priorities, only 4% rated four-year colleges as their top priority, while support for community colleges was cited as a higher priority.

See Attachment A-4.

UWTV (Agenda no. A-5) (Information only)

UWTV presented a revamped mission, program, and business plan. UWTV's goal is to be financially self-sustaining. The channel is available in cable packages state-wide. With the goal of expanding viewership, UWTV plans to change programming to be more interesting to viewers and tell the story of the University of Washington.

See Attachment A-5.

COP Governing Boards Committee Report (Agenda no. A-6) (Information only)

See Attachment A-6.

FINANCE, AUDIT AND FACILITIES COMMITTEE: Regent Blake, Chair

Regent Blake highlighted item F-9 and said it was approved by the Regents on the consent agenda. Due to favorable construction rates, the first phase of the UW Medical Center expansion construction project was expanded to build out the shell of phase two, adding three floors without incurring additional debt.

Report of Contributions – October and November, 2009 (Agenda no. F-5)
(Information only)

The total gifts received in October, 2009, was \$29,290,387, and the total gifts received in November, 2009, was \$17,215,170. The total for the year-to-date is \$117,558,635.

See Attachment F-5.

Metropolitan Tract Performance Report for the Quarter Ended September 30, 2009
(Agenda no. F-4) (Information only)

See Attachment F-4.

Actions Taken Under Delegated Authority (Agenda no. F-6) (Information only)

See Attachment F-6.

Sound Transit Construction Schedule (Agenda no. F-7) (Information only)

Joni Earl, CEO of Sound Transit, provided Regents with an update on the construction of Sound Transit's University Station, located near Husky Stadium. The major phase of construction will last about three years and cover a significant portion of the area around the stadium. Regents expressed concern about the UW becoming the Northern terminus for Sound Transit and asked Ms. Earl about the likelihood of the line continuing from the University to Northgate. Ms. Earl was optimistic about the plans and funding for extending the line north of the UW.

See Attachment F-7.

Rainier Vista Pedestrian Land Bridge – Informational Update (Agenda no. F-8)
(Information only)

Regents heard more about the plan to update and upgrade the Rainier Vista area, and the opportunity to coordinate the project with Sound Transit and the City of Seattle. The upgrade will affect how traffic and pedestrians move through the area, and would be more efficient and attractive.

See Attachment F-8.

Lewis Hall – Design Review Update (Agenda no. F-13) (Information only)

See Attachment F-13.

Advisory Committee on Real Estate (ACRE) Update (Agenda no. F-14) (Information only)

See Attachment F-14.

2009 Audit Reports of the UW and UWMC – KPMG (Agenda no. F-15) (Information only)

KPMG, the University's auditors, presented a report of the recent audit to the Regents. Regent Blake said the audit went smoothly. The presentation included discussion with the auditors about risks and opportunities within the University structure which were identified in the audit report.

See Attachment F-15.

Background Information on Various Academic Fees (Agenda no. F-16) (Information only)

Regents received an informative presentation regarding fees charged at the University. The report included a history of which fees can or can't be charged; the difference between fees and tuition; the impact of fees on students; and what is considered in the decision to charge fees.

See Attachment F-16.

REPORTS FROM EX OFFICIO REPRESENTATIVES TO THE BOARD OF REGENTS

Faculty Senate Chair: Professor Bruce Balick

Professor Balick invited Regents to attend the annual faculty lecture given by Professor Peter Ward, on January 27.

Dr. Balick updated the Regents on the status of the restructuring of the Faculty Senate. This restructuring will affect the form, but not the function, of the Senate. It will make the size of the Senate more suitable to achieving its function and provide more voice and influence to individual members of the Senate. The current proposal under consideration provides for one senator to every forty faculty members, rather than the current ratio of one to every fifteen. Senators will be elected from colleges rather than departments.

Dr. Balick provided Regents with some background on faculty salary policies and practices over the last five years. The data shared with the Regents demonstrate the number of state-funded faculty positions at various ranks at UW Seattle have barely changed. Faculty FTEs at UW Bothell and UW Tacoma have increased 50 % and 35% respectively, but Dr. Balick said these were purposeful increases. Salaries in each faculty rank (Full, Associate, and Assistant Professor) have progressed. Average faculty ages are unchanged except at UW Tacoma. Dr. Balick said the five year figures demonstrate a model for good salary and career progression, rewarding merit and service. Dr. Balick

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said looking to the future of faculty salaries, given the constraints of the economy, salary progression would not be available to retain top faculty. Outside support, in the form of research grants, demonstrates the value of faculty in various disciplines, especially in the sciences. The marketplace would determine comparative salaries.

Dr. Balick said Activity-Based Budgeting (ABB) will allow central funds for core activities to follow student activities. Possibly, as student enrollment changes from college to college, some colleges would have more funding and others would receive less. This could impact faculty salary disparities from college to college.

Professor Balick identified some trends to watch and consider in the future of salary policy. He said shifts in the demographics of incoming students could change the high demand fields of study. This is potentially a national trend. Endowed professorships offer support, but many endowed professorships are restricted to support for specific disciplines. This factor could impact the university's strategic planning, and could create the effect of two-tiered salary levels within same department.

Questions and discussion followed.

ASUW President: Mr. Tim Mensing

Mr. Mensing said ASUW student groups were working to support efforts to assist those affected by the recent earthquake in Haiti.

Mr. Mensing reported ASUW efforts are focused on advocacy during the current legislative session. From January 25 through 28 ASUW is sponsoring an awareness week to register students to vote and engage them in advocacy issues. He said resolutions in favor of affordable text books passed in ASUW and GPSS. Lower text book prices would provide financial relief to students.

Mr. Mensing said ASUW leadership is working to maintain the important UPass program.

In the area of campus safety, ASUW leadership is working on implementing a neighborhood watch program in the area located north of 45th. Mr. Mensing suggested the use of motion sensing lights would be an effective safety tool on campus. He reiterated the importance of the night ride and night walk services provided for students.

Mr. Mensing reported UW Bothell received 1,400 freshman applicants to date, an increase from the 1,200 applications received last year. UW Bothell has selected a yet-to-be named female Husky mascot.

GPSS President: Mr. Jake Faleschini.

Mr. Faleschini said GPSS, with ASUW, has been working with the Office of Student Life to identify a set of shared principles. These principles are referred to as the Husky Creed by undergraduates and as Husky Principles by graduate and professional students.

Mr. Faleschini read the current version of the Husky Principles approved by the GPSS Senate in a recently passed resolution:

“The values upheld at the University of Washington serve as the foundation for excellence in higher education. As a member of the UW community, I will strive to practice high standards of honesty and integrity; respect the dignity and right of all people; support freedom of thought and expression; pursue ongoing intellectual and personal development; engage in critical thinking and discovery; and improve our university community and the world.”

Mr. Faleschini said GPSS and the Office of Student Life are working together to develop a health care web portal which would offer students a single point of access for all information related to campus health services.

Student Advocates for Higher Education (SAGE) will hold a lobby day in April in Washington, D.C. The group plans to advocate for higher education funding at the federal level. They will also monitor language in the health care reform bill to ensure student health care insurance programs are maintained.

Mr. Faleschini expressed his concern about potential state budget cuts in need-based aid for undergraduates. Mr. Faleschini pointed out that the Governor’s current proposed budget eliminates all state aid for graduate and professional students (he refers to the “second round” budget). GPSS members are advocating for state support of child care, mental health access, and other basic need issues for graduate students, which Mr. Faleschini said, disproportionately affects minority and women students.

Mr. Faleschini praised the student survey conducted by Regent Golden.

Alumni Association President: Mr. Eddie Pasatiempo

Mr. Pasatiempo announced three upcoming alumni events.

In January, UWAA will sponsor the 35th annual alumni history lecture series. The three-part lecture is entitled “The Treasures of Greece: Myth Becomes History.”

On Wednesday, January 27, the UWAA will hold a diversity networking reception, in partnership with the office of Minority Affairs and Diversity. The reception will provide current students and recent graduates an opportunity to network with alumni and friends of the university about potential jobs and other relationships. Two to three hundred people are expected to attend the event.

The UWAA will sponsor “Jump Start,” a pre-game rally and barbeque in the Don James Center prior to the UW basketball game against Arizona, on Thursday, February 4.

Mr. Pasatiempo announced the UW and UWAA have refreshed and renegotiated their twenty-year old operating agreement. The new operating agreement clarifies and strengthens the relationship between the UWAA and the University. The agreement

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provides clarity and understanding around the roles and responsibilities of the UWAA as an independent 501c3 organization.

Mr. Pasatiempo announced a new civic engagement program called UWImpact, an interactive civic advocacy resource sponsored by the UW Alumni Association. It is a web portal to access information and data on issues affecting the University of Washington. The portal provides opportunities for alumni and friends to take action to support the University. The web address is www.uwimpact.org. Next week an announcement will be sent to the 56,000 members of the UWAA. Regents will receive a demonstration and update at a future Board meeting.

DATE FOR NEXT MEETING

The next regular meeting of the Board of Regents will be held on Thursday, February 18, 2010, on campus.

ADJOURNMENT

The regular meeting was adjourned at 4:50 p.m.



Joan Goldblatt
Secretary of the Board of Regents

Approved at the meeting of the Board of Regents on February 18, 2010.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Academic and Administrative Appointments

RECOMMENDED ACTION:

It is the recommendation of the administration and the Academic and Student Affairs Committee the Board of Regents approve the appointments to the University faculty and administration as presented on the attached list.

Attachment
Personnel Recommendations

RESERVE OFFICERS TRAINING CORPS

DEPARTMENT OF NAVAL SCIENCE

NEW APPOINTMENTS

ROBERTSON, SEAN

(BS, 2004, SOUTHERN ILLINOIS UNIVERSITY) TO BE ASSISTANT PROFESSOR OF NAVAL SCIENCE PAID DIRECT BY SOURCES OTHER THAN THE UNIVERSITY EFFECTIVE 5/15/2010. (CAPTAIN ROBERTSON IS CURRENTLY SERVING AS THE MARINE AIR COMMAND CONTROL SPECIALIST AND INSTRUCTOR FOR THE MARINE AVIATION WEAPONS AND TACTICS SQUADRON IN YUMA, ARIZONA.)

COLLEGE OF ARTS AND SCIENCES

DEPARTMENT OF BIOLOGY

NEW APPOINTMENTS

PARRISH, JAY Z

(BA, 1998, VANDERBILT UNIVERSITY; PHD, 2002, UNIVERSITY OF COLORADO (BOULDER)) TO BE ASSISTANT PROFESSOR OF BIOLOGY AT A SALARY RATE OF \$77,004 OVER NINE MONTHS, EFFECTIVE 2/16/2010. (DR. PARRISH IS CURRENTLY AN ASSOCIATE SPECIALIST AT THE UNIVERSITY OF CALIFORNIA, SAN FRANCISCO.)

DEPARTMENT OF ECONOMICS

ENDOWED APPOINTMENTS

GUO, JANG-TING

(BA, 1985, NATIONAL TAIWAN UNIVERSITY; MA, 1989, UNIVERSITY OF CALIFORNIA (LOS ANGELES); PHD, 1993, UNIVERSITY OF CALIFORNIA (LOS ANGELES)) TO BE VISITING PROFESSOR OF ECONOMICS AND HOLDER OF THE CASTOR PROFESSORSHIP IN ECONOMICS OVER THREE MONTHS, EFFECTIVE 3/16/2010.

DEPARTMENT OF PHYSICS

NEW APPOINTMENTS

FORBES, MICHAEL

(BSC, 1999, UNIVERSITY OF BRITISH COLUMBIA (CANADA); MSc, 2001, UNIVERSITY OF BRITISH COLUMBIA (CANADA); PHD, 2005, MASSACHUSETTS INSTITUTE OF TECHNOLOGY) TO BE RESEARCH ASSISTANT PROFESSOR OF PHYSICS AT A SALARY RATE OF \$60,360 OVER TWELVE MONTHS, EFFECTIVE 2/1/2010.

DEPARTMENT OF POLITICAL SCIENCE

ADMINISTRATIVE APPOINTMENTS

MAJESKI, STEPHEN

(BA, 1973, BATES COLLEGE; MA, 1975, UNIVERSITY OF NEW HAMPSHIRE; PHD, 1981, INDIANA UNIVERSITY) TO BE ASSOCIATE DEAN FOR RESEARCH ADMINISTRATION AND INFRASTRUCTURE, EFFECTIVE 12/16/2009. (DR. MAJESKI WILL CONTINUE AS PROFESSOR AND CHAIR OF POLITICAL SCIENCE.)

NEW APPOINTMENTS

MENALDO, VICTOR A

(BA, 1999, COLORADO COLLEGE; MA, 2003, CLAREMONT GRADUATE SCHOOL; PHD, 2009, STANFORD UNIVERSITY) TO BE ASSISTANT PROFESSOR OF POLITICAL SCIENCE AT A SALARY RATE OF \$75,006 OVER NINE MONTHS, EFFECTIVE 9/24/2009. (PHD AWARDED SEPTEMBER 24, 2009 FROM STANFORD UNIVERSITY.)

DEPARTMENT OF STATISTICS

ADMINISTRATIVE APPOINTMENTS

WAKEFIELD, JONATHAN

(BSC, 1985, UNIVERSITY OF NOTTINGHAM (UK); PHD, 1992, UNIVERSITY OF NOTTINGHAM (UK)) TO BE ACTING CHAIR OF STATISTICS, EFFECTIVE 11/16/2009. (DR. WAKEFIELD WILL CONTINUE AS PROFESSOR OF STATISTICS AND OF BIostatISTICS.)

MICHAEL G. FOSTER SCHOOL OF BUSINESS

DEPARTMENT OF ACCOUNTING

NEW APPOINTMENTS

TANLU, LLOYD

(BS, 1994, ATENEO DE MANILA UNIVERSITY (PHILIPPINES); BA, 1995, ATENEO DE MANILA UNIVERSITY (PHILIPPINES); MA, 1999, BRANDEIS UNIVERSITY; PHD, 2009, HARVARD UNIVERSITY) TO BE ASSISTANT PROFESSOR OF ACCOUNTING AT A SALARY RATE OF \$170,001 OVER NINE MONTHS, EFFECTIVE 11/3/2009. (PHD AWARDED NOVEMBER 3, 2009 FROM HARVARD UNIVERSITY.)

DEPARTMENT OF FINANCE AND BUSINESS ECONOMICS

ENDOWED APPOINTMENTS

SIEGEL, STEPHAN

(BSC, 1995, BAYREUTH UNIVERSITY (GERMANY); MPhil, 2002, COLUMBIA UNIVERSITY; PHD, 2006, COLUMBIA UNIVERSITY) TO BE HOLDER OF THE LONG ENDOWED PROFESSORSHIP OVER NINE MONTHS, EFFECTIVE 1/1/2010. (PROFESSOR SIEGEL WILL CONTINUE AS ASSISTANT PROFESSOR OF FINANCE AND BUSINESS ECONOMICS.)

COLLEGE OF ENGINEERING

DEPARTMENT OF BIOENGINEERING

NEW APPOINTMENTS

TAYLOR, ALYSSA

(BS, 2003, UNIVERSITY OF CALIFORNIA (DAVIS)) TO BE LECTURER FULL-TIME, COMPETITIVE RECRUITMENT IN BIOENGINEERING AT A SALARY RATE OF \$49,500 OVER NINE MONTHS, EFFECTIVE 3/16/2010.

DEPARTMENT OF CHEMICAL ENGINEERING

ADMINISTRATIVE APPOINTMENTS

CASTNER, DAVID

(BS, 1975, OREGON STATE UNIVERSITY; PHD, 1979, UNIVERSITY OF CALIFORNIA (BERKELEY)) TO BE ASSOCIATE DEAN FOR INFRASTRUCTURE, EFFECTIVE 12/16/2009. (DR. CASTNER WILL CONTINUE AS PROFESSOR OF CHEMICAL ENGINEERING AND BIOENGINEERING.)

DEPARTMENT OF MECHANICAL ENGINEERING

ENDOWED APPOINTMENTS

CHUNG, JAE-HYUN

(BS, 1995, SUNGKYUNKWAN UNIVERSITY (KOREA); MS, 1997, SUNGKYUNKWAN UNIVERSITY (KOREA); PHD, 2004, NORTHWESTERN UNIVERSITY) TO BE HOLDER OF THE BRYAN T. MCMINN ENDOWED RESEARCH PROFESSORSHIP IN MECHANICAL ENGINEERING, EFFECTIVE 3/16/2010. (PROFESSOR CHUNG WILL CONTINUE AS ASSISTANT PROFESSOR OF MECHANICAL ENGINEERING.)

SCHOOL OF DENTISTRY

DEPARTMENT OF ORAL AND MAXILLOFACIAL SURGERY

ADMINISTRATIVE APPOINTMENTS

BLOOMQUIST, DALE

(MS, 1973, GEORGETOWN UNIVERSITY; DDS, 1969, UNIVERSITY OF WASHINGTON) TO BE ACTING CHAIR OF ORAL AND MAXILLOFACIAL SURGERY, EFFECTIVE 1/1/2010. (DR BLOOMQUIST WILL CONTINUE AS ASSOCIATE PROFESSOR EMERITUS IN THE SAME DEPARTMENT.)

SCHOOL OF MEDICINE

DEPARTMENT OF ANESTHESIOLOGY AND PAIN MEDICINE

NEW APPOINTMENTS

BOLLAG, LAURENT

(MD, 1999, UNIVERSITY OF ZURICH (SWITZERLAND)) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF ANESTHESIOLOGY AND PAIN MEDICINE AT A SALARY RATE OF \$69,768 OVER TWELVE MONTHS, EFFECTIVE 1/2/2010. (PRIOR TO THIS APPOINTMENT, DR. BOLLAG WAS AN ACTING ASSISTANT PROFESSOR - TEMPORARY IN THE SAME DEPARTMENT.)

DAGAL, ARMAGAN

(MD, 1993, DOKUZ EYLUL UNIVERSITY (TURKEY)) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF ANESTHESIOLOGY AND PAIN MEDICINE AT A SALARY RATE OF \$69,768 OVER TWELVE MONTHS, EFFECTIVE 1/2/2010. (PRIOR TO THIS APPOINTMENT, DR. DAGAL WAS SPECIALIST REGISTRAR IN ANESTHESIOLOGY AT THE NOTTINGHAM & EAST HIGHLANDS SCHOOL OF ANESTHESIA, UNITED KINGDOM.)

JOFFE, AARON

(BS, 1993, UNIVERSITY OF ARIZONA; DO, 1998, MIDWESTERN UNIVERSITY) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF ANESTHESIOLOGY AND PAIN MEDICINE AT A SALARY RATE OF \$69,768 OVER TWELVE MONTHS, EFFECTIVE 1/2/2010. (PRIOR TO THIS APPOINTMENT, DR. JOFFE WAS A CLINICAL ASSISTANT PROFESSOR AT THE UNIVERSITY OF WISCONSIN.)

RAMAIAH, RAMESH

(MBBS, 1990, JAWAHARLAL NEHRU UNIVERSITY (INDIA)) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF ANESTHESIOLOGY AND PAIN MEDICINE AT A SALARY RATE OF \$78,564 OVER TWELVE MONTHS, EFFECTIVE 1/2/2010. (PRIOR TO THIS APPOINTMENT, DR. RAMAIAH WAS AN ACTING ASSISTANT PROFESSOR - TEMPORARY IN THE SAME DEPARTMENT.)

SHARMA, DEEPAK

(MBBS, 1998, UNIVERSITY OF RAJASTHAN; MD, 2003, UNIVERSITY OF RAJASTHAN) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF ANESTHESIOLOGY AND PAIN MEDICINE AT A SALARY RATE OF \$69,768 OVER TWELVE MONTHS, EFFECTIVE 11/1/2009. (PRIOR TO THIS APPOINTMENT, DR. SHARMA WAS AN ACTING ASSISTANT PROFESSOR - TEMPORARY IN THE SAME DEPARTMENT.)

DEPARTMENT OF BIOENGINEERING

NEW APPOINTMENTS

LAI, JR-IUAN (JAMES)

(BS, 1999, UNIVERSITY OF MINNESOTA; MS, 2003, POLYTECHNIC INSTITUTE OF BROOKLYN; PHD, 2005, POLYTECHNIC INSTITUTE OF BROOKLYN) TO BE RESEARCH ASSISTANT PROFESSOR OF BIOENGINEERING AT A SALARY RATE OF \$79,992 OVER TWELVE MONTHS, EFFECTIVE 11/1/2009. (PRIOR TO THIS APPOINTMENT, DR. LAI WAS A SENIOR FELLOW IN THE SAME DEPARTMENT.)

FAMILY MEDICINE

ADMINISTRATIVE APPOINTMENTS

ALLEN, SUZANNE

(BS, 1988, UNIVERSITY OF WASHINGTON; MD, 1992, GEORGE WASHINGTON UNIVERSITY; MPH, 1995, GEORGE WASHINGTON UNIVERSITY) TO BE ASSOCIATE DEAN OF REGIONAL AFFAIRS FOR THE SCHOOL OF MEDICINE OVER TWELVE MONTHS, EFFECTIVE 12/1/2009. (DR. ALLEN WILL CONTINUE AS CLINICAL ASSOCIATE PROFESSOR OF FAMILY MEDICINE.)

DEPARTMENT OF GENOME SCIENCES

NEW APPOINTMENTS

BORENSTEIN, ELHANAN

(BSCE, 1996, TEL AVIV UNIVERSITY (ISRAEL); PHD, 2007, TEL AVIV UNIVERSITY (ISRAEL)) TO BE ASSISTANT PROFESSOR OF GENOME SCIENCES AT A SALARY RATE OF \$113,004 OVER TWELVE MONTHS, EFFECTIVE 12/10/2009. (PRIOR TO THIS APPOINTMENT, DR. BORENSTEIN WAS A POSTDOCTORAL FELLOW AT STANFORD UNIVERSITY.)

DEPARTMENT OF GLOBAL HEALTH

NEW APPOINTMENTS

FRIESEN, JENNIFER

(BA, 2001, MACALESTER COLLEGE; MS, 2005, YALE UNIVERSITY; PHD, 2006, YALE UNIVERSITY) TO BE RESEARCH ASSISTANT PROFESSOR OF GLOBAL HEALTH PAID DIRECT BY FRED HUTCHINSON CANCER RESEARCH CENTER EFFECTIVE 12/1/2009. (PRIOR TO THIS APPOINTMENT, DR. FRIESEN WAS AN ASSISTANT MEMBER AT FRED HUTCHINSON CANCER RESEARCH CENTER.)

DEPARTMENT OF MEDICAL EDUCATION AND BIOMEDICAL INFORMATICS

NEW APPOINTMENTS

LARSON, ERIC

(BA, 1980, UNIVERSITY OF MINNESOTA; MS, 1985, UNIVERSITY OF CALGARY (CANADA); PHD, 1995, UNIVERSITY OF WASHINGTON) TO BE RESEARCH ASSOCIATE PROFESSOR OF MEDICAL EDUCATION AND BIOMEDICAL INFORMATICS AT A SALARY RATE OF \$92,004 OVER TWELVE MONTHS, EFFECTIVE 12/1/2009. (PRIOR TO THIS APPOINTMENT, DR. LARSON WAS A RESEARCH SCIENTIST IN THE SAME DEPARTMENT.)

SABIN, JANICE

(BA, 1972, BOSTON UNIVERSITY; MSW, 2001, UNIVERSITY OF WASHINGTON; PHD, 2006, UNIVERSITY OF WASHINGTON) TO BE RESEARCH ASSISTANT PROFESSOR OF MEDICAL EDUCATION AND BIOMEDICAL INFORMATICS AT A SALARY RATE OF \$78,000 OVER TWELVE MONTHS, EFFECTIVE 11/16/2009. (PRIOR TO THIS APPOINTMENT, DR. SABIN WAS AN ACTING ASSISTANT PROFESSOR - TEMPORARY IN THE SAME DEPARTMENT.)

WICK, KEREN

(BA, 1990, SAN FRANCISCO STATE; MA, 1991, UNIVERSITY OF LEEDS (UK); PHD, 1996, UNIVERSITY OF LEEDS (UK)) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF MEDICAL EDUCATION AND BIOMEDICAL INFORMATICS AT A SALARY RATE OF \$75,000 OVER TWELVE MONTHS, EFFECTIVE 12/1/2009. (PRIOR TO THIS APPOINTMENT, DR. WICKS WAS A RESEARCH COORDINATOR IN THE SAME DEPARTMENT.)

DEPARTMENT OF MEDICAL EDUCATION AND BIOMEDICAL INFORMATICS

NEW APPOINTMENTS

YETISGEN-YILDIZ, MELIHA

(BS, 1997, BILKENT UNIVERSITY (TURKEY); MS, 2000, MIDDLE EAST TECHNICAL UNIVERSITY (TURKEY; PHD, 2007, UNIVERSITY OF WASHINGTON) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF MEDICAL EDUCATION AND BIOMEDICAL INFORMATICS AT A SALARY RATE OF \$92,004 OVER TWELVE MONTHS, EFFECTIVE 12/1/2009. (PRIOR TO THIS APPOINTMENT, DR. YETISGEN-YILDIZ WAS A TEXT MINING RESEARCHER AT KIHA SOFTWARE, INC. IN SEATTLE, WA.)

DEPARTMENT OF MEDICINE

NEW APPOINTMENTS

DAVIDSON, HEATHER

(BS, 1997, BROWN UNIVERSITY; MD, 2001, UNIVERSITY OF VIRGINIA) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF MEDICINE PAID DIRECT BY VETERANS AFFAIRS PUGET SOUND HEALTH CARE SYSTEM EFFECTIVE 1/2/2010. (PRIOR TO THIS APPOINTMENT, DR. DAVIDSON WAS AN ACTING ASSISTANT PROFESSOR - TEMPORARY IN THE SAME DEPARTMENT.)

KONKLE, BARBARA

(BA, 1975, NORTHWESTERN UNIVERSITY; MD, 1979, VANDERBILT UNIVERSITY) TO BE PROFESSOR WITHOUT TENURE OF MEDICINE PAID DIRECT BY SOURCES OTHER THAN THE UNIVERSITY EFFECTIVE 11/1/2009. (PRIOR TO THIS APPOINTMENT, DR. KONKLE WAS A PROFESSOR OF MEDICINE, PATHOLOGY AND LABORATORY MEDICINE AT THE UNIVERSITY OF PENNSYLVANIA.)

DEPARTMENT OF MICROBIOLOGY

NEW APPOINTMENTS

CHOI, SANG HO

(BA, 1982, SEOUL NATIONAL UNIVERSITY (KOREA); MS, 1985, KOREA ADVANCED INST OF SCIENCE AND TECH; PHD, 1992, UNIVERSITY OF IOWA) TO BE VISITING ASSOCIATE PROFESSOR OF MICROBIOLOGY AT A SALARY RATE OF \$63,396 OVER TWELVE MONTHS, EFFECTIVE 12/21/2009. (DR. CHOI IS AN ASSOCIATE PROFESSOR AT SEOUL NATIONAL UNIVERSITY IN KOREA.)

DEPARTMENT OF NEUROLOGY

NEW APPOINTMENTS

KOLLROS, PETER

(BA, 1975, NORTHWESTERN UNIVERSITY; PHD, 1981, UNIVERSITY OF CHICAGO; MD, 1982, UNIVERSITY OF CHICAGO) TO BE ASSOCIATE PROFESSOR WITHOUT TENURE OF NEUROLOGY PAID DIRECT BY SEATTLE CHILDREN'S EFFECTIVE 1/4/2010. (PRIOR TO THIS APPOINTMENT, DR. KOLLROS WAS A PRIVATE PRACTICE NEUROLOGIST.)

DEPARTMENT OF ORTHOPAEDICS AND SPORTS MEDICINE

ADMINISTRATIVE APPOINTMENTS

CHAPMAN, JENS

(BM, 1979, UNIVERSITY OF REGENSBURG (GERMANY); MD, 1983, TECHNICAL UNIVERSITY OF MUNICH (GERMANY)) TO BE ACTING CHAIR OF ORTHOPAEDICS AND SPORTS MEDICINE, EFFECTIVE 12/1/2009. (DR. CHAPMAN WILL CONTINUE AS PROFESSOR WITHOUT TENURE OF ORTHOPAEDICS AND SPORTS MEDICINE AND OF NEUROLOGICAL SURGERY.)

DEPARTMENT OF PATHOLOGY

NEW APPOINTMENTS

MAJESKY, MARK

(BA, 1977, SAN JOSE STATE UNIVERSITY; PHD, 1984, UNIVERSITY OF WASHINGTON) TO BE PROFESSOR WITHOUT TENURE OF PATHOLOGY AT A SALARY RATE OF \$200,004 OVER TWELVE MONTHS, EFFECTIVE 11/1/2009. (PRIOR TO THIS APPOINTMENT, DR. MAJESKY WAS A PROFESSOR OF MEDICINE AND GENETICS AT THE UNIVERSITY OF NORTH CAROLINA, CHAPEL HILL.)

DEPARTMENT OF PEDIATRICS

NEW APPOINTMENTS

WAGNER, THOR

(BA, 1996, PRINCETON UNIVERSITY; MD, 2000, TEMPLE UNIVERSITY) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF PEDIATRICS PAID DIRECT BY SEATTLE CHILDREN'S EFFECTIVE 1/2/2010. (PRIOR TO THIS APPOINTMENT, DR. WAGNER WAS AN ACTING INSTRUCTOR IN THE SAME DEPARTMENT.)

DEPARTMENT OF PSYCHIATRY AND BEHAVIORAL SCIENCES

NEW APPOINTMENTS

YAZDI, AMIR

(BA, 1993, MASHHAD UNIVERSITY (IRAN); MS, 1994, TEHRAN UNIVERSITY (IRAN); PHD, 2003, UNIVERSITY OF SHEFFIELD) TO BE VISITING ASSISTANT PROFESSOR OF PSYCHIATRY AND BEHAVIORAL SCIENCES AT A SALARY RATE OF \$50,400 OVER TWELVE MONTHS, EFFECTIVE 12/16/2009. (DR. YAZDI IS AN ASSISTANT PROFESSOR AT MASHHAD UNIVERSITY, IRAN.)

DEPARTMENT OF RADIOLOGY

NEW APPOINTMENTS

FINK, KATHLEEN

(BS, 1995, CALIFORNIA INSTITUTE OF TECHNOLOGY; MD, 2000, INDIANA UNIVERSITY) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF RADIOLOGY AT A SALARY RATE OF \$104,004 OVER TWELVE MONTHS, EFFECTIVE 1/2/2010. (PRIOR TO THIS APPOINTMENT, DR. FINK WAS AN ACTING INSTRUCTOR IN THE SAME DEPARTMENT.)

LINNAU, KEN

(MD, 1996, UNIVERSITY OF VIENNA (AUSTRIA); MS, 2006, UNIVERSITY OF WASHINGTON) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF RADIOLOGY AT A SALARY RATE OF \$101,208 OVER TWELVE MONTHS, EFFECTIVE 12/1/2009. (PRIOR TO THIS APPOINTMENT, DR. LINNAU WAS AN ACTING INSTRUCTOR IN THE SAME DEPARTMENT.)

SCHOOL OF NURSING

DEPARTMENT OF BIOBEHAVIORAL NURSING AND HEALTH SYSTEMS

ENDOWED APPOINTMENTS

WOODS, SUSAN

(BS, 1973, UNIVERSITY OF WASHINGTON; MA, 1975, UNIVERSITY OF WASHINGTON; PHD, 1991, OREGON HEALTH SCIENCES UNIVERSITY) TO BE HOLDER OF THE UWMC NURSING TERM PROFESSORSHIP IN NURSING LEADERSHIP OVER NINE MONTHS, RETROACTIVE TO 9/16/2009. (PROFESSOR WOODS WILL CONTINUE AS PROFESSOR OF BIOBEHAVIORAL NURSING AND HEALTH SYSTEMS.)

DEPARTMENT OF FAMILY AND CHILD NURSING

ADMINISTRATIVE APPOINTMENTS

BRANDT, PATRICIA

(BS, 1966, MARQUETTE UNIVERSITY; MS, 1968, UNIVERSITY OF COLORADO (BOULDER); PHD, 1981, UNIVERSITY OF WASHINGTON) TO BE CHAIR OF FAMILY AND CHILD NURSING, EFFECTIVE 12/16/2009. (DR. BRANDT WILL CONTINUE AS PROFESSOR IN THE SAME DEPARTMENT.)

SCHOOL OF PHARMACY

DEPARTMENT OF PHARMACEUTICS

NEW APPOINTMENTS

LIN, YVONNE SARAH

(BA, 1994, UNIVERSITY OF CALIFORNIA (BERKELEY); PHD, 2002, UNIVERSITY OF WASHINGTON) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF PHARMACEUTICS AT A SALARY RATE OF \$90,000 OVER TWELVE MONTHS, EFFECTIVE 7/1/2010. (DR. LIN IS CURRENTLY AN ACTING ASSISTANT PROFESSOR TEMPORARY IN THE SAME DEPARTMENT.)

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

University of Washington Medicine Board Bylaws Changes

RECOMMENDED ACTION:

It is the recommendation of the President that the Board of Regents adopt the following amendments to the Bylaws of the University of Washington Medicine Board.

BACKGROUND:

The University of Washington (UW) and Northwest Hospital & Medical Center (NWH) affiliation agreement became effective January 1, 2010. The proposed bylaws changes add NWH as a component of UW Medicine and add two NWH Board members as full members of the UW Medicine Board. These changes bring the bylaws into conformance with the provisions of the affiliation agreement.

The bylaws changes also include three amendments not related to the UW/NWH affiliation. These amendments clarify that the Harborview Trustees act on behalf of King County, add Airlift Northwest (ALNW) as a named component of UW Medicine, and clarify that board members serving as Chair and Vice Chair may exceed their three term maximum in order to complete their term as officers of the Board. All of these proposed changes are highlighted.

Attachment

UW Medicine Board Bylaws Revised January, 2010

UW MEDICINE

1959 NE Pacific Street
Seattle, Washington 98195

BOARD BYLAWS
REVISED JANUARY 2010

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UW MEDICINE BOARD BYLAWS

PREAMBLE

Whereas, the Board of Regents (the “Board of Regents”) of the University of Washington (the “University”) is empowered by the legislature under RCW 28B.20.060 and RCW 28B.20.300 to establish, operate and maintain a school of medicine; and

Whereas, the Board of Regents is empowered by the legislature under RCW 28B.20.440 to operate, maintain, control and supervise a hospital to be used as a patient care and teaching resource for the health sciences professional schools; and

Whereas, the University has operated a licensed hospital on its campus since 1959 that has been formally designated the University of Washington Medical Center (“UWMC”); and

Whereas, the University has, pursuant to RCW 36.62.290, contracted with the Trustees of Harborview Medical Center (“Harborview”), acting on behalf of King County, to provide for hospital, hospital management, and medical services, in conjunction with teaching and research activities at Harborview since January, 1970; and

Whereas, the University has entered into an Affiliation with Health Resources Northwest and Northwest Hospital & Medical Center, effective January 1, 2010, that establishes the University as the sole corporate member of UW Medicine/Northwest d/b/a Northwest Hospital & Medical Center (“NWH”); and

Whereas, UW Medicine is a term that refers collectively to the University of Washington School of Medicine (“UWSOM”), UWMC, Harborview, The Association of University Physicians d/b/a University of Washington Physicians (“UWP”), NWH, Airlift Northwest (“ALNW”), UW Physicians Network (“UWPN”) and the University’s membership in Children’s University Medical Group (“CUMG”) and the Seattle Cancer Care Alliance (“SCCA”); and

Whereas, the clinical programs of UW Medicine for the purpose of these Bylaws include inpatient, outpatient, short stay, and emergency hospital services at the UWMC, Harborview, NWH, and UWPN clinics; services shared with other hospitals and health care providers in its licensed on-campus hospital facility and off-campus units where extensions of its services are provided; arrangements with auxiliary and affiliate entities to promote high-quality patient care and advances in medical research and medical services; and strategic directions of the UWSOM’s academic programs; and

Whereas, the President of the University (“the President”) and the Board of Regents have delegated to the CEO, UW Medicine, Executive Vice President for Medical Affairs and Dean of UWSOM (the “CEO/EVPMA/Dean”) chief executive responsibility for overseeing, planning, and coordinating the resources of the entities referred to as UW Medicine; and negotiating, executing and maintaining extramural affiliation, and operating agreements involving the entities referred to as UW Medicine; and

Whereas, the Board of Regents has determined that a board should be established to advise the Board of Regents, the President, and the CEO/EVPMA/Dean in carrying out their responsibilities and authority with respect to UW Medicine as described above; now therefore:

The Board of Regents hereby charges the UW Medicine Board (“the Board”), subject to the authority of the Board of Regents, and established University agreements and policies, with advising the Board of Regents, the President and the CEO/EVPMA/Dean regarding the operation and governance of UW Medicine. The Board shall also be charged with governance of the patient care aspects of the UWMC.

Except as specifically otherwise provided in this document, the President of the University, the CEO/EVPMA/Dean, and the Executive Director of the UWMC shall retain their delegated authority and responsibility for the administration and operation of the UWMC and other aspects of the UW MEDICINE. Further, the Board of Trustees of Harborview shall retain all authority provided in its governing documents and by the Management and Operations Contract with the University. Additionally, the boards of UWP, CUMG, UWPN, NWH, ALNW, and the SCCA shall retain all authority provided in their respective governing documents. The role of the UW Medicine Board shall not create a partnership or other separate legal entity of the entities referred to as UW Medicine. The entities of UW Medicine that are separate legal entities shall retain that status. Contracts among the entities are not superseded or amended by these Bylaws and these Bylaws do not create joint and several liability among them.

ARTICLE I UW MEDICINE BOARD

Section 1.1. UW Medicine Board Membership

The UW Medicine Board (the “Board”) shall consist of sixteen (16) members, including the President of the University and the CEO/EVPMA/Dean, who shall serve as *ex officio* members of the Board with full voice and vote. The Board members shall be appointed by the Board of Regents. The Chair of the Board of Regents shall appoint the Chairperson of the UW Medicine Board. At least one Board member shall be a member of the Board of Regents. One Board member shall be a member of the faculty of the University nominated by the President of the University. Pursuant to the Affiliation between the University, Health Resources Northwest, and NWH effective January 1, 2010, two NWH Trustees designated by the NWH Board (the “NWH Designated Board Members”) will serve as members of the UW Medicine Board. The remaining ten (10) Board members shall be nominated by the President of the University from among people who have broad public perspectives and do not represent any special interest group.

The term of office of each appointed Board member shall be three years. No appointed Board member may serve more than three successive three-year terms unless the person is serving as chair or vice chair of the Board. If a person is serving as chair or vice chair, the term may be extended to complete their role as an officer of the Board. The terms of Board members shall be staggered so that the final terms of no more than one-third (1/3) of the members will expire simultaneously on the last day of June in any one year. Whenever more than one third (1/3) of

the members' final terms expire simultaneously, lots will be drawn to determine which expiring term shall be extended for one more year to eliminate this condition. The chairperson shall not have a vote at meetings of the Board except to break a tie among those present and voting.

The Board may grant a leave of absence to a member for a period not to exceed one year. If the leave of absence is less than six months, the term of the Board member will not change. If the leave of absence exceeds six months, the term of the Board member will be extended by one year.

In addition to the Board members, the Deans of the Schools of Dentistry, Nursing, Pharmacy, Public Health, and Social Work shall be invited to attend Board meetings and to present agenda items to the secretary. All agendas and insert materials of the Board meetings shall be provided by the secretary to all of the described positions.

Section 1.2. Powers and Duties

The Board shall have responsibility for

- a. advising the Board of Regents, the President and the CEO/EVPMA/Dean regarding the operation and governance of those aspects of UW Medicine relating to the development and strategic allocation of resources;
- b. strategic aspects of academic programs, including the development of off-campus research facilities;
- c. the planning and delivery of medical services, including oversight of the physician services provided through the UWP;
- d. implementation and effectiveness of programs **that** assure compliance with federal and state laws, rules and regulations, University rules and regulations, and contract terms that govern UW Medicine's clinical, research, and training activities ("compliance programs"); and,
- e. the management of current and future extramural affiliation and operating agreements, including those executed by the University with regard to Harborview, **NWH, ALNW**, SCCA, and UWP.

This Board shall also be charged with governance of the UWMC, including ensuring the provision of high-quality patient care by the medical and dental hospital staffs in a manner responsive to the University's academic needs, responsibility for appointments to the medical and dental staffs, the delineation of medical and dental staff clinical privileges, and the approval, adoption and amendment of the medical and dental staff bylaws.

Subject to the Board of Regents' ultimate authority, and consistent with the Board's duty to advise the Board of Regents, the President and the CEO/EVPMA/Dean with respect to the exercise of their authority, the following specific powers and duties have been delegated to the Board by the Board of Regents:

1.2.1. Advisory Duties

- a. Provide general advice and policy guidance for UW Medicine strategic planning and program development and define the role of present and future UW Medicine programs and facilities, collaborative relationships, and auxiliary organizations within state or regional structures for the delivery of health services.
- b. Represent and advocate for UW Medicine in relations with communities, other health care providers, and outside agencies.
- c. Advise the Board of Regents, the President, and the CEO/EVPMA/Dean regarding the development and strategic allocation of UW Medicine resources.
- d. Advise the Board of Regents, the President, and the CEO/EVPMA/Dean regarding strategic aspects of the UWSOM's academic programs.
- e. Advise the Board of Regents, the President, and the CEO/EVPMA/Dean concerning the provision of medical services, including without limitation, physician services pursuant to the Agreement between the University and UWP dated December 8, 1983.
- f. Advise the Board of Regents, the President and the CEO/EVPMA/Dean concerning management of extramural affiliation and operating agreements now existing or which may be developed, including without limitation, the operating agreements between the University and UWP, Harborview, the SCCA, and the UWPN respectively.
- g. Advise the Board of Regents, the President, and the CEO/EVPMA/Dean regarding the implementation and effectiveness of compliance programs, including but not limited to advising on key compliance policies; compliance program infrastructure and reporting relationships; scope of authority of key positions; ongoing assessment of compliance risks; and level of resources dedicated to the compliance programs.

1.2.2. UWMC Governance Powers and Duties

- a. Determine objectives and policies pertinent to the delivery of patient care services of the UWMC.
 1. This function includes the adoption and maintenance of a statement on patient care missions and goals.
 2. The UW Medicine Board may delegate responsibility for appointment of clinical service chiefs to the Joint Conference Committee of the UW Medicine Board. The UW Medicine Board may delegate to the chiefs of the clinical services (i) responsibility for maintaining the quality of medical care in their services as set forth in the approved medical staff bylaws, rules and regulations and (ii) responsibility for

- recommending an applicant's appointment or reappointment to the UWMC medical staff and privileges for such an applicant.
- b. Approve and adopt policies pertaining to admission of patients to the inpatient, outpatient, short stay, and emergency services of the UWMC.
 - c. Establish an organized medical staff and dental staff by determining which categories of practitioners are eligible candidates for appointment to the medical staff.
 - 1. Criteria for selection to the medical and dental staff shall include individual character, competence, training, experience, and judgment and may include, in conjunction therewith, board certification; provided, however, that under no circumstances shall the accordance of staff membership or professional privileges in UWMC be dependent solely upon certification, fellowship, or membership in a specialty body or society.
 - 2. The responsibilities and structure of the medical staff shall be as set forth in Article VIII below.
 - d. Approve bylaws, rules, and regulations of the UWMC medical and dental staffs.
 - e. Appoint and suspend or remove any member of the medical and dental staffs after considering the recommendations of the existing members of the medical staff and under the applicable provisions of the medical and dental staff bylaws.
 - 1. To expedite initial appointments to medical and dental staff membership and granting of privileges, reappointment to membership, or renewal or voluntary modification of privileges consistent with medical and dental staff criteria for expedited credentialing and privileging, the UW Medicine Board may delegate its authority to render those expedited decisions to the UWMC Joint Conference Committee.
 - 2. Where medical and dental staff criteria for expedited credentialing privileging is not met, medical and dental staff appointment and reappointment and professional privileging decisions shall remain with the UW Medicine Board.
 - f. Create and maintain mechanisms for continuing assessment and improvement of the quality of patient care, including but not limited to special studies, regular reports and the creation of standing and special committees. Such mechanisms shall include consultation with the medical staff on patient care matters.
 - g. Review and approve qualification and periodic evaluation criteria for the key positions of UWMC Executive Director and Medical Director. Appointments to each of these positions are subject to approval by the Board.
 - h. Maintain general supervision over the use of UWMC resources:

1. Review and approve all operating and capital budgets and make recommendations to the University administration and the Board of Regents.
 2. Authorize revisions in charges for UWMC services based on the operating and capital budgets as approved.
 3. Review and accept monthly reports on UWMC operating income and expenditures, utilization of services, and patient statistics.
 4. Assist in the development of additional sources of income necessary to maintain the UWMC as a leading professional institution.
- i. Review recommendations for physical facilities development plans for the UWMC and make recommendations to the University administration and the Board of Regents.

In carrying out its responsibilities as identified in Section 1.2, the Board shall seek continuing guidance from health professionals, management specialists, and others with professional expertise.

Section 1.3. Accountability

The Board shall be accountable to the Board of Regents and the President, and the CEO/EVPMA/Dean of the University.

The President of the University holds the CEO/EVPMA/Dean accountable to him/her directly for all aspects of health services provided by all University faculty and staff (i) at the UWMC, Harborview, NWH, and (ii) through affiliation, operating, patient care or other agreements with UW Medicine.

Among the delegated duties of the CEO/EVPMA/Dean is to serve as the chief medical officer of the UWMC, responsible for medical affairs. In carrying out their individual and joint duties related to patient services as the senior officers of the UWMC, the Executive Director of the UWMC and the CEO/EVPMA/Dean are accountable to the UW Medicine Board, which in turn is accountable to the Board of Regents.

Section 1.4. Reports to Board of Regents

The UW Medicine Board shall report to the Board of Regents three times a year.

1.4.1. Annual Meeting with Board of Regents. Each spring the Board shall meet with the Board of Regents to advise the Board of Regents and the President on the operation and governance of specific aspects of UW Medicine relating to:

- the development and strategic allocation of resources;
- strategic aspects of academic programs, including the development of off-campus research facilities;

- the planning and delivery of medical services, including oversight of those services provided through the UWP;
- implementation and effectiveness of compliance programs;
- the management of current and future extramural affiliation and operating agreements, including those executed by the University with Harborview, the SCCA, and UWPN; and
- the status of, and plans for patient care at the UWMC.

1.4.2. Annual Financial Report. Each fall the Chairperson of the Board and such Board members as may be of assistance, shall appear before the Board of Regents and the President to report on the financial condition of UW Medicine;

1.4.3. Annual Compliance Report. Each winter the Chairperson of the Board and such Board members as may be of assistance, shall appear before the Board of Regents and the President to report on the effectiveness of UW Medicine compliance programs, including but not limited to advising on key compliance policies, the status of compliance program infrastructure and reporting relationships; the scope of authority of key positions; the current assessment of compliance risks; and the level of resources dedicated to the compliance programs.

Section 1.5. Board Year

The Board year, including Board member appointments and Board officer terms, shall be from July 1 to June 30.

Section 1.6. Meetings and Notice

1.6.1. Regular Meetings. Regular meetings of the Board shall be held at least quarterly, the dates and places of which shall be determined by the chairperson at least one month in advance, and notice of which shall be given in accordance with Chapter 42.30 RCW.

1.6.2. Special Meetings. Special meetings may be called by the chairperson at any time, or by a majority of the members of the Board, provided that written notice to all Board members and to others as required by Chapter 42.30 RCW shall be given not less than twenty-four hours prior to the meeting, stating the time, place and business to be transacted at the meeting.

1.6.3. Procedure. Except as otherwise specified in these Bylaws, all meetings of the Board and its committees shall be conducted in accordance with the latest revision of Roberts Rules of Order.

Section 1.7. Quorum

A majority of the Board shall constitute a quorum.

Section 1.8. Vacancies

Whenever a vacancy occurs on the Board, for whatever reason, notice shall immediately be given to the President of the University so that a member may be appointed as soon as possible by the Board of Regents to fill the unexpired term. The Board may submit names of individuals recommended by the Board for the President's consideration.

Section 1.9. Removal

The Board may recommend to the Board of Regents that a member of the Board be removed. This action may be taken at any meeting by two-thirds vote of the entire Board, provided that the Board gives the affected member at least ten (10) days' written notice of such intended action and advises the affected member of the basis for such action. The Board member affected shall have the right to be heard and to explain to the Board why he/she should not be removed. Recommendation for suspension shall be made directly to the Board of Regents and shall not be effective until approved by the Board of Regents.

Any Board member who has three (3) unexcused absences for three (3) consecutive Board meetings or five (5) unexcused absences for Board meetings in an academic calendar year (July 1 through June 30), as determined by the secretary, shall be automatically removed.

Section 1.10. Liability

All Board members and persons serving at the request of the Board shall, in the performance of their duties as members of the Board or appointees of the Board, be designated insureds, as "agents" under RCW 28B.20.250, under the general liability coverage of the University. Each Board member or person serving at the request of the Board shall be protected against costs and expenses, including legal fees, in connection with the defense of any action, suit or proceeding in which the member or person serving at the request of the Board shall be made a party by reason of being a member or appointee of the Board. Such protection is subject to the condition that such person shall have acted in good faith and within the scope of his or her duties, and subject further to the specific exemption and qualifications stated in either the University liability policy and RCW 23B.20.250 through 28B.20.255 which statute authorizes the indemnification of Regents, trustees, officers, and employees or agents of the University in relation to such matters.

Section 1.11. Compensation of Board and Board Committee Members

No Board member or any member of any committee appointed by the Board shall receive any compensation for services rendered in his/her capacity as a Board or committee member. However, nothing herein shall be construed to preclude any Board member or committee member from receiving compensation from UW Medicine for other services actually rendered, a per diem for attending UW Medicine Board meetings, or reimbursement for expenses incurred for serving UW Medicine as a Board member or committee member or in any other capacity, all in accordance with established University practices and procedures, RCW 42.52, and RCW 43.03.050 and 43.03.060, as now existing or hereafter amended.

Section 1.12. Conflict of Interest

No Board member or any person appointed by the Board in any capacity may act as an agent for any person or organization where such an act would create a conflict of interest with the terms of the person's service to UW Medicine or to the Board. The policies, rules and regulations adopted by the University relating to conflict of interest and the provisions of RCW 42.52 shall apply to any person appointed by the Board in any capacity and to the Board members themselves.

**ARTICLE II
OFFICERS**

Section 2.1. Officers

The officers of the Board shall be members of the Board and shall consist of a chairperson, vice chairperson, and such other officers as the Board may deem advisable. The Chairperson is appointed by the Chair of the Board of Regents. The vice chair and other officers shall be elected annually by the Board. No officer may serve more than two successive terms in the same office.

Section 2.2. Chairperson

The chairperson of the Board shall appoint such committee members as are specified under these Bylaws; shall preside at all meetings of the Board; shall serve as an ex-officio member, without vote, on all standing and special committees, unless otherwise specified in the Bylaws; and shall perform all of the acts usually attendant upon the office of the chairperson or which may be set forth by these Bylaws or by the Board.

Section 2.3. Vice Chairperson

During the absence of the chairperson or while he/she is unable to act, the vice chairperson shall perform the duties and exercise the powers of the chairperson.

**ARTICLE III
ADMINISTRATION**

Section 3.1. Appointment of Administrative Officers

3.1.1. Secretary of the Board. A secretary of the Board shall be appointed by the CEO/EVPMA/Dean, with such duties as shall be provided in these Bylaws and otherwise determined by the CEO/EVPMA/Dean. The secretary of the Board shall provide or cause to be provided all secretarial assistance to the Board and its committees; assist the chairperson in developing an agenda for each meeting; keep records of the minutes of the meetings of the Board and furnish copies of such minutes to each Board member, to the CEO/EVPMA/Dean, to the deans of the health sciences schools, to the President of the University, and others, in accordance with these Bylaws; and shall assure that copies of all minutes of the Board and its

committees are promptly filed with the secretary of the Board of Regents. The secretary of the Board shall regularly advise the Board of Health Sciences Deans and the CEO/EVPMA/Dean of the activities and actions of the Board. The CEO/EVPMA/Dean shall be custodian of and shall faithfully keep, or cause to be kept, all records, books, documents and other valuable papers relating to the UW Medicine Board. The secretary shall be responsible for arranging all meeting notices and for attending to all correspondence that may be ordered by the Board.

3.1.2. Executive Director. The Executive Director of the UWMC shall be appointed by the President of the University, upon the recommendation of the CEO/EVPMA/Dean and subject to approval by the UW Medicine Board. Among the delegated duties of the Executive Director is to serve as the chief executive officer of the UWMC with overall responsibility for institutional management and direction.

The Executive Director, as chief executive officer of the UWMC, shall, subject to University policy and shared service agreements in effect, be responsible for maintaining overall direction of the UWMC within the policies established by the Board. The Executive Director is accountable to the Board and the CEO/EVPMA/Dean.

In the event that the Executive Director is unable to perform the functions of the office, the CEO/EVPMA/Dean may designate an acting Executive Director until such time as a permanent Executive Director is appointed.

ARTICLE IV UW MEDICINE STANDING COMMITTEES

Section 4.1. Committee Designations

The Board shall approve the appointment of an Executive Committee and a Compliance Committee, and such other committees as it may from time to time deem advisable.

Section 4.2. Executive Committee

4.2.1. Purpose. The Executive Committee, as requested by the CEO/EVPMA/Dean, shall review and provide strategic advice on issues for presentation to the Board and shall have the power to transact such business of the Board between regular meetings of the Board as the Board may hereafter authorize. All actions of the Executive Committee shall be reported to the full Board at its next regular meeting.

4.2.2. Composition. The Executive Committee of the Board shall consist of the chairperson of the Board, who shall serve as the chairperson of the Executive Committee; the vice chairperson of the Board; the CEO/EVPMA/Dean; the chairperson of the UWMC Committees (Joint Conference, Facilities, Finance); the chairperson of the Compliance Committee; and the chairperson of the Finance and Audit Committee

4.2.3. Meetings. The Executive Committee shall meet as deemed necessary by the chairperson and the CEO/EVPMA/Dean.

4.2.4. Quorum. A majority of the voting members of the committee shall constitute a quorum.

Section 4.4. Compliance Committee

4.4.1. Purpose. The Compliance Committee shall be responsible for reviewing and evaluating the compliance programs of UW Medicine component entities and preparing the Chairperson to advise the Board of Regents, the President, and the CEO/EVPMA/Dean regarding the implementation and effectiveness of UW Medicine Compliance programs, including but not limited to advising on key compliance policies; compliance program infrastructure and reporting relationships; scope of authority of key positions; ongoing assessment of compliance risks; and level of resources dedicated to the compliance programs.

4.4.2. Composition. The voting members of the Compliance Committee shall consist of at least three Board members, including the chairperson of the Board, and may include up to three community members who are not members of the Board, all as appointed annually by the chairperson of the Board in consultation with the Chair of the Board of Regents, the President and the CEO/EVPMA/Dean. The chairperson of the Compliance Committee shall be appointed by the chairperson of the Board, with the approval of the President and the CEO/EVPMA/Dean.

4.4.3. Meetings. The Compliance Committee shall meet at the call of the chairperson as often as necessary, but not less than quarterly to perform its duties.

4.4.4. Quorum. A majority of the voting members of the committee shall constitute a quorum.

Section 4.5 Finance and Audit Committee

4.5.1 Purpose. The Finance and Audit Committee shall be responsible for reviewing the financial results, plans and audits of UW Medicine and its component organizations for the purpose of assessing the overall financial risks and capacities of UW Medicine and the congruity of the financial management, plans, and objectives of UW Medicine.

4.5.2. Composition. The voting members of the Finance and Audit Committee shall consist of at least four Board members, including the chairperson of the Committee as appointed by the Chair of the UW Medicine Board, the Chair of the UWMC Committees of the Board, the CEO/EVPMA/Dean, one additional Board member appointed by the Board Chair, and up to three community members who are not members of the Board, all as appointed annually by the chairperson of the Board in consultation with the Chair of the Board of Regents, the President and the CEO/EVPMA/Dean..

4.5.3. Meetings. The Finance and Audit Committee shall meet at the call of the Chair person, but not less than quarterly.

4.5.4. Quorum. A majority of the voting members of the committee shall constitute a quorum.

ARTICLE V UNIVERSITY OF WASHINGTON MEDICAL CENTER COMMITTEES

Section 5.1. University of Washington Medical Center Committees

The Board shall approve the appointment of a Joint Conference Committee, a Finance Committee, a Facilities Committee and such other committees as it shall from time to time deem advisable for the governance of the UWMC.

Section 5.2. Joint Conference Committee

5.2.1. Purpose. The Joint Conference Committee shall serve as an advisory committee to the Board by providing a forum in which representatives of the Board, medical staff and UWMC administration, shall jointly consider UWMC policy matters governing medical practice and review quality assurance reports.

5.2.2. Composition. The voting members of the Joint Conference Committee shall consist of at least three Board members appointed annually by the chairperson of the Board, one of whom shall serve as the chairperson of this Joint Conference Committee, the chairperson of the Board or his/her designate, the Clinical Operations Officer/VPMA, the UWMC Medical Director, the UWMC Executive Director, the Chief of Staff, one member of the medical staff nominated by the Medical Staff Administrative Committee for a one-year period, and up to three community members who are not members of the Board, all as appointed annually by the chairperson of the Board in consultation with the Chair of the Board of Regents, the President and the CEO/EVPMA/Dean. Non-voting members of the committee are the Chief Nursing Officer; three faculty from clinical departments of the School of Medicine appointed by the CEO/EVPMA/Dean for one year; one member of the Housestaff Association designated by its president; and the CEO/EVPMA/Dean or his/her designee.

5.2.3. Meetings. The Joint Conference Committee shall meet at the call of the chairperson, but not less than quarterly.

5.2.4. Quorum. A majority of the voting members of the committee shall constitute a quorum.

5.2.5. Expedited Medical Staff Credentialing and Privileging. The Joint Conference Committee shall have delegated authority from the Board to render final decisions regarding expedited approval of medical staff initial appointments, reappointments, additions to

privileges, and voluntary modifications to clinical privileges. At least two Board members of the Joint Conference Committee must vote for Committee actions on privileges to be valid. The Joint Conference Committee shall present its final decisions taken under the expedited approval process to the Board for information purposes.

5.2.6. Clinical Services. The Joint Conference Committee shall have the delegated authority from the Board to appoint the chief of each clinical service. Appointment shall be made consistent with the provisions of section 8.9 below. The Joint Conference Committee shall recommend to the UW Medicine Board the establishment of any new clinical services. Recommendations shall be made consistent with the provisions of section 8.9 below. Approval of new clinical services shall remain with the UW Medicine Board.

Section 5.3. Finance Committee

5.3.1. Purpose. The Finance Committee shall be responsible for advising the Board on financial matters as they relate to the UWMC and its shared services, including reviewing the annual audit, annual budgets, and monthly financial reports. The Finance Committee shall be responsible for reviewing and approving the UWMC Audit Policy and ensuring that the policy complies with the Sarbanes Oxley principles adopted by the UW Medicine Board. The Finance Committee shall also review programs, long-range financial plans, budget plans and proposals for rate-setting revenues, before they are submitted to the Board for final action.

5.3.2. Composition. The voting members of the Finance Committee shall consist of at least three Board members appointed annually by the chairperson of the Board, one of whom shall be chairperson of the Finance Committee, the Clinical Operations Officer/VPMA, the UWMC Executive Director, the UWMC Medical Director, and up to three community members who are not members of the Board, all as appointed annually by the chairperson of the Board in consultation with the Chair of the Board of Regents, the President and the CEO/EVPMA/Dean. Non-voting members of the committee are the Chief Nursing Officer; the Chief of Staff; three faculty from clinical departments of the School of Medicine appointed by the CEO/EVPMA/Dean for one year; one member of the Housestaff Association designated by its president; and the CEO/EVPMA/Dean or his/her designee.

5.3.3. Meetings. The Finance Committee shall meet at the call of the chairperson, but not less than quarterly.

5.3.4. Quorum. A majority of the voting members of the committee shall constitute a quorum.

Section 5.4. Facilities Committee

5.4.1. Purpose. The Facilities Committee shall have general supervision over and shall make recommendations to the Board concerning the program plans for UWMC and the physical use and status of the facilities to house the UWMC and its shared services.

5.4.2. Composition. The voting members of the Facilities Committee shall consist of at least three Board members appointed annually by the chairperson of the Board, one of whom shall be chairperson of the Facilities Committee, the Clinical Operations Officer/VPMA, the UWMC Executive Director, the UWMC Medical Director, and up to three community members who are not members of the Board, all as appointed annually by the chairperson of the Board in consultation with the Chair of the Board of Regents, the President and the CEO/EVPMA/Dean. Non-voting members of the committee are the Chief Nursing Officer; the Chief of Staff; three faculty from clinical departments of the School of Medicine appointed by the CEO/EVPMA/Dean for one year; one member of the Housestaff Association designated by its president; the Health Sciences Facilities Officer; and the CEO/EVPMA/Dean or his/her designee.

5.4.3. Meetings. The Facilities Committee shall meet at the call of the chairperson, but not less than quarterly.

5.4.4. Quorum. A majority of the voting members of the committee shall constitute a quorum.

ARTICLE VI SPECIAL COMMITTEES

Section 6.1. Other Committees

Other special or ad hoc committees of the Board may be established by the Board.

ARTICLE VII RELATIONS OF UW MEDICINE TO ACADEMIC AND RESEARCH PROGRAMS OF THE HEALTH SCIENCES CENTER

Section 7.1. General

The deans of the health sciences professional schools have been delegated broad responsibilities by the President for overall management and direction of the teaching and research programs conducted by the faculty of their respective schools. The health sciences professional schools carry out a significant portion of their education and research programs in the UWMC and other affiliated medical centers, particularly those activities that require a patient care setting. The Board shall lend its best efforts to assure that the programs of the health sciences professional schools are effectively supported in collaboration with service programs of UW Medicine.

In order to assure excellence in both academic and patient programs, which are typically interrelated, collaborative decision-making between the Board and the deans of the professional schools shall be encouraged by the CEO/EVPMA/Dean.

ARTICLE VIII MEDICAL STAFF

Section 8.1. General

The responsibility for standards of medical care in the UWMC has been delegated by the Board of Regents to the Board. The Board holds the Medical Staff organization accountable to the Board for establishing and maintaining standards of medical care. The Board shall approve or disapprove the Medical Staff Bylaws, rules and regulations and may approve or disapprove such standards of medical care as may be established from time to time.

Section 8.2. Medical Staff

For purposes of Article VIII of these Bylaws, the words “Medical Staff” shall include all physicians and dentists who are authorized to attend patients in the UWMC or in any other medical care activity administered by the UWMC, and may include such other professionals as the Medical Staff Bylaws designate.

Section 8.3. Organization of the Medical Staff

The Board shall approve and authorize the organization of the Medical Staff so as to discharge those duties and responsibilities assigned to it by the Board and specifically to accomplish the following purposes, among others:

- a. To monitor the quality of medical care in the UWMC and make recommendations to the Board so that all patients admitted to or treated at any of the facilities, departments or services of the UWMC receive continuous high quality medical care;
- b. To recommend to the UW Medicine Board, or where an expedited process is appropriate, to the Joint Conference Committee of the UW Medicine Board the appointment or reappointment of an applicant to the Medical Staff of the UWMC, the clinical privileges such applicant shall enjoy in the UWMC and appropriate action that may be necessary in connection with any member of the Medical Staff, to the end that at all times there shall be a high level of professional performance of all persons authorized to practice in the UWMC.
- c. To represent the Medical Staff of the UWMC and to provide the means whereby issues concerning the Medical Staff and the UWMC may be discussed both within the Medical Staff organization and by representatives of the Medical Staff with the Joint Conference Committee of the UW Medicine Board and management of the UWMC.
- d. To establish specific rules and regulations governing actions of members of the Medical Staff.

Section 8.4. Medical Staff Bylaws

The Medical Staff organization shall recommend to the UW Medicine Board, revisions of the existing Medical Staff Bylaws, rules and regulations setting forth the Medical Staff organization

and governance for maintaining such bylaws, rules and regulations in such a manner as to accomplish the purposes set forth in Section 8.3. When such bylaws, rules and regulations are adopted by the UW Medicine Board, they shall become effective and become part of the bylaws, rules and regulations of the Medical Staff.

Section 8.5. Appointment to the Medical Staff and Assignment of Clinical Privileges

Upon recommendation of the Medical Staff and in accordance with the Medical Staff Bylaws, the UW Medicine Board, or where an expedited process is appropriate, the Joint Conference Committee of the UW Medicine Board may appoint to membership on the Medical Staff of the UWMC, physicians, dentists and other professionals who meet the personal and professional qualifications prescribed in the Medical Staff Bylaws. Appointment to the Medical Staff carries with it full responsibility for the treatment of individual UWMC patients subject to such limitations as may be imposed by the UW Medicine Board or the bylaws, rules and regulations of the Medical Staff.

Appointments and reappointments to the Medical Staff shall be made in accordance with the appointment and reappointment procedures set forth in the Medical Staff Bylaws. Whenever the Joint Conference Committee of the UW Medicine Board does not concur in a Medical Staff recommendation relative to Medical Staff appointment, reappointment or the granting of clinical privileges, said recommendation shall be referred to the UW Medicine Board for decision.

Section 8.6. Appointment and Reappointment Requirements

Each person initially appointed to membership on the Medical Staff of the UWMC shall be provided with a current copy of the bylaws, rules and regulations and shall submit to the Medical Director a signed written statement agreeing to abide by them. Each member of the Medical Staff shall as a minimum be required to:

- a. Provide care and supervision to all patients within the UWMC for whom such Medical Staff member has responsibility.
- b. Abide by the UW Medicine bylaws, the Medical Staff Bylaws, rules and regulations, and the policies and directives that may be in force during the time such person is a member of the Medical Staff of the UWMC.
- c. Accept committee assignments and such other duties and responsibilities as may be reasonably assigned by the Joint Conference Committee of the UW Medicine Board or the Medical Staff.

Section 8.7. Appointment of Salaried Physicians and Dentists for Administrative or Medico-Administrative Purposes

Physicians or dentists employed by the University at UWMC for administrative or medico-administrative purposes shall be subject to the faculty exempt personnel policies in effect for the UWMC at the time as it relates to his/her employment relationship and to the Medical Staff

Bylaws, rules and regulations as it is related to his/her Medical Staff appointment. Their clinical privileges to admit and treat patients-are defined in accordance with the Medical Staff Bylaws. Termination of either the employment appointment or medical staff appointment shall not affect the other unless it is agreed in advance that they are mutually dependent and this agreement is documented in a letter of agreement, signed by the physician or dentist and the UWMC and approved by the appropriate Dean or his/her designee.

Section 8.8. Medical Staff Administrative Committee

8.8.1. Purpose. The Medical Staff Administrative Committee shall establish and maintain a framework for self-government and a means of accountability to the Joint Conference Committee of the UW Medicine Board, in accordance with the medical administrative unit for the medical staff. It shall concern itself primarily with the quality of medical care within the UWMC. It shall receive and act upon all medical staff committee reports and make recommendations regarding medical staff status and privileges to the UW Medicine Board or, where an expedited process is appropriate, to the Joint Conference Committee of the UW Medicine Board. The specific duties of the Medical Staff Administrative Committee are set forth in the Medical Staff Bylaws.

8.8.2. Composition. The medical board of the medical staff shall be known as the Medical Staff Administrative Committee which shall be composed of the Chief of Staff, the Chief of Staff-Elect, the chiefs of clinical services, three (3) at-large members elected by the medical staff, the Medical Director, and the Executive Director. The Medical Director, who is appointed by the CEO/EVPMA/Dean, subject to approval by the Board, shall serve as chairperson of the Medical Staff Administrative Committee and shall represent the Medical Staff Administrative Committee at meetings of the Joint Conference Committee of the UW Medicine Board.

8.8.3. Meetings. The Medical Staff Administrative Committee shall meet monthly. Minutes of the meetings shall be provided to all members of the Committee, the Associate Dean for Clinical Affairs, the Executive Director of the UWMC, the CEO/EVPMA/Dean, the Dean of the School of Dentistry, and the deans of other professional schools whose faculty have appointment on the medical and dental staffs.

Section 8.9. UWMC Clinical Services

8.9.1. Appointment of Chief. Appointment of the chief of each clinical service is subject to concurrence of the Joint Conference Committee of the UW Medicine Board on the joint recommendation of the dean of the applicable professional school and the Executive Director. If the chief of a clinical service is to be someone other than the chairperson of the corresponding department in the medical or dental school, the recommendation of the departmental chairperson is also required. All such appointments shall be reviewed periodically by the Joint Conference Committee of the UW Medicine Board. Chiefs of clinical services vacancies may be filled on an interim basis at any time by action of the dean of the appropriate professional school, after consulting with the Board.

8.9.2. Delegation. Upon UW Medicine Board approval of medical staff bylaws, rules, or regulations containing such a provision, the chiefs of the clinical services have delegated responsibility for maintaining the quality of medical care in their services and for recommending an applicant's appointment or reappointment to the Medical Staff of the UWMC and privileges for such an applicant.

8.9.3. New Services. The Joint Conference Committee of the UW Medicine Board may recommend to the UW Medicine Board the establishment of new clinical services upon the joint concurrence of the dean of the applicable professional school and the Executive Director. Approval of new clinical services shall remain with the UW Medicine Board.

8.9.4. Accountability. The CEO/EVPMA/Dean serves as the chief medical officer of the UWMC and in this capacity is accountable directly to the Board in matters relating to medical care. Members of the clinical services of the UWMC are accountable to the Medical Director, who is accountable to the CEO/EVPMA/Dean.

ARTICLE IX AUXILIARY ORGANIZATIONS

Section 9.1. General

Organizations auxiliary to UW Medicine and UWMC, such as the Service League, may be established only under bylaws approved by the UW Medicine Board. Such bylaws must, at a minimum, define the purpose, organizational structure, officers, procedures for conducting business, reporting requirements, and the amendment procedures.

Section 9.2. Bylaws

The approved bylaws of the auxiliary organization and any amendments thereto, shall be kept as a permanent record by UW Medicine Board and filed with the minutes of UW Medicine Board meeting at which said bylaws or amendments thereto were approved by UW Medicine Board.

Section 9.3. Oversight by Board

An approved auxiliary organization's relationship with UW Medicine shall be subject to the oversight of the appropriate Board committee.

ARTICLE X AMENDMENTS

These Bylaws are reviewed annually and updated as needed. Changes in these Bylaws, whether amendments, additions, deletions or replacements, may be made by a two-thirds vote of the entire Board at a meeting of which prior notice of the meeting and the proposed action shall have been given. Such changes shall be subject to approval by the CEO/EVPMA/Dean and the Board of Regents.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Student Perspectives on the Undergraduate Academic Experience

For information only.

Material will be distributed at the meeting.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Public Opinion Poll Results

For information only.
Material will be distributed at the meeting.

Public Opinion Research

University of Washington



MOORE INFORMATION
OPINION RESEARCH • STRATEGIC ANALYSIS

**Cocker
Fennessy**

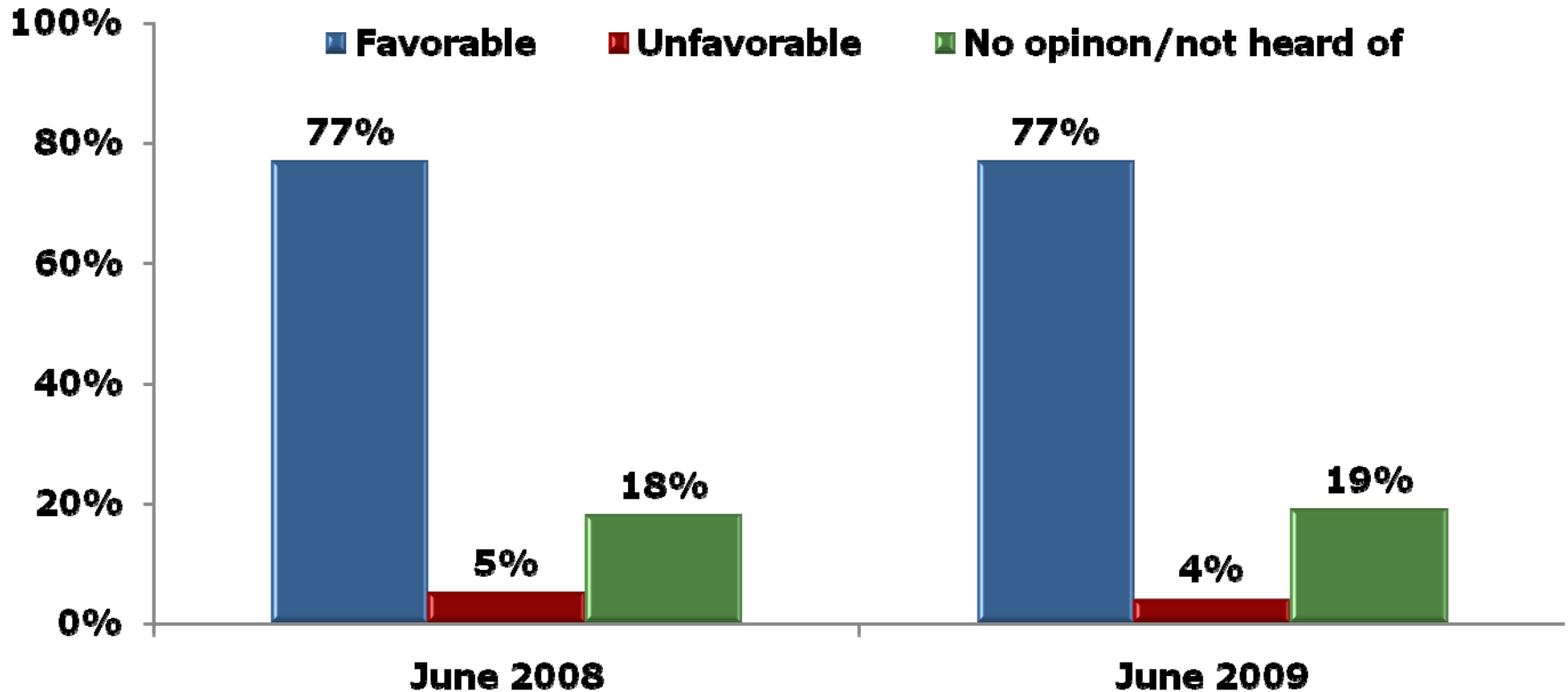
General Overview

An annual assessment of current public views of the University of Washington

- Initial baseline study was completed in 2008
- Used to inform decisions and frame communications
- Conducted for UW by a partnership of Cocker Fennessy Inc. & Moore Information Inc.
- Telephone survey of a representative sample of 500 voters statewide
- Focus groups and other qualitative interviews help inform the survey
- Typically completed in June each year

UW Image Remains Widely Positive

"In general, do you have a very favorable, a somewhat favorable, a somewhat unfavorable, or very unfavorable opinion of the University of Washington?"



Opinions of UW

Most impressed with UW (very favorable)

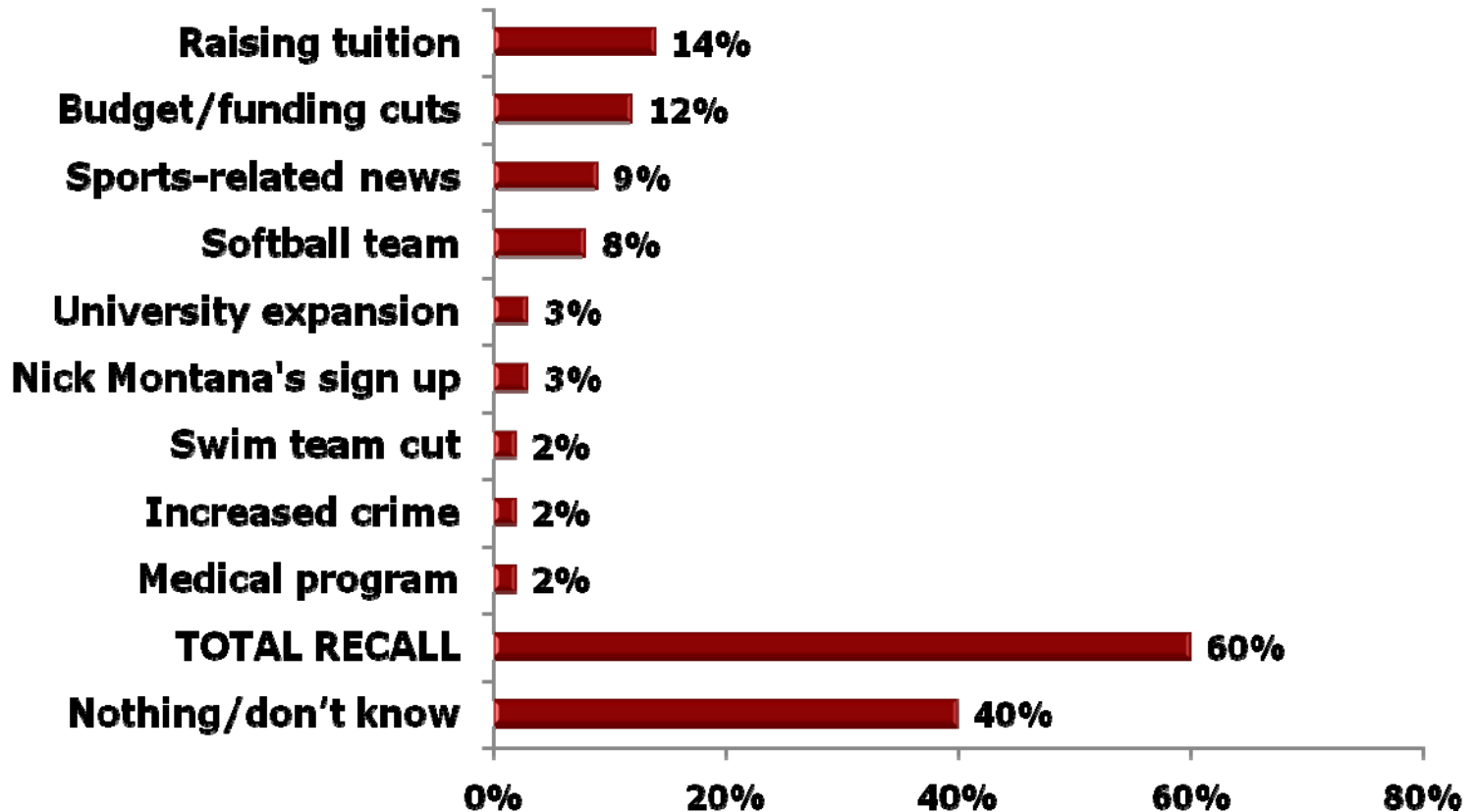
- ❑ Those who feel a connection to UW
- ❑ Seniors (age 65+)
- ❑ Western Washington residents outside Central Puget Sound Region
- ❑ Long-time WA residents

Least likely to have an opinion of UW

- ❑ Lower income voters (<\$75K)
- ❑ No connection with UW
- ❑ 0-15 years education
- ❑ Newer state residents
- ❑ Reside outside King County

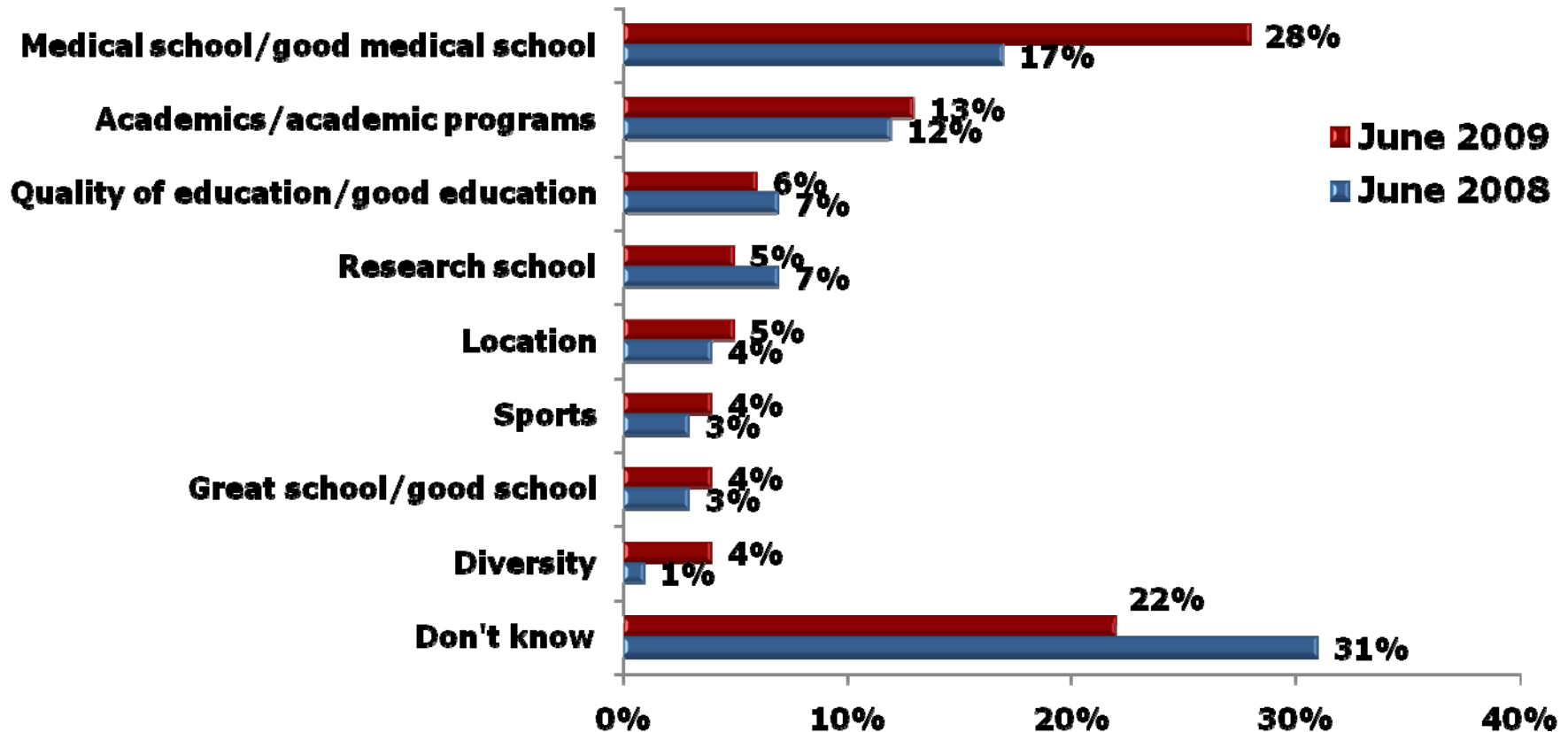
Recall of Key Issues

"In the past few months, what have you seen, read, or heard in the news about the University of Washington?"



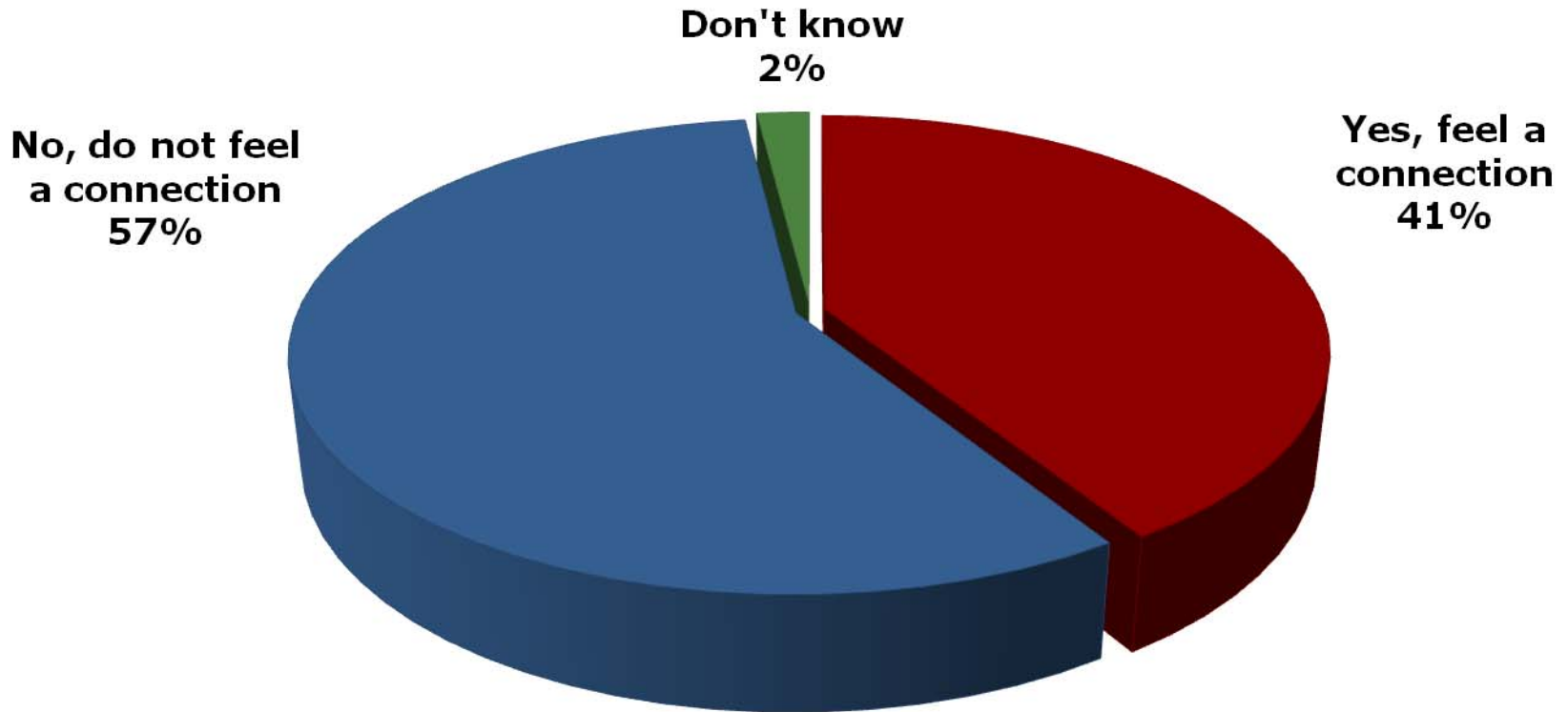
Medical School Seen as UW's Biggest Strength

"What do you think are the major strengths of the University of Washington?"



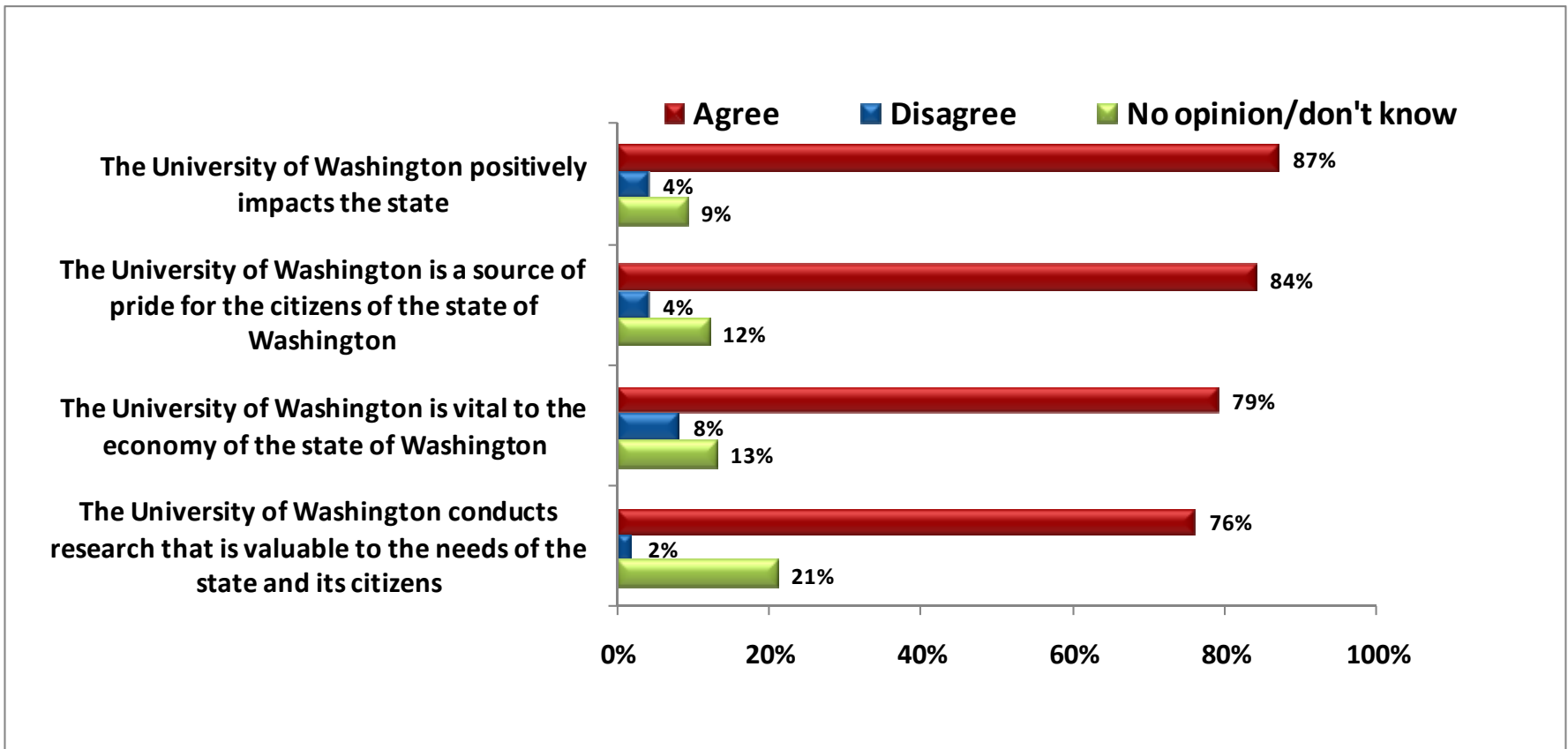
Four-in-Ten Have a Connection to UW

"Do you feel you have a connection to the University of Washington, or not?"



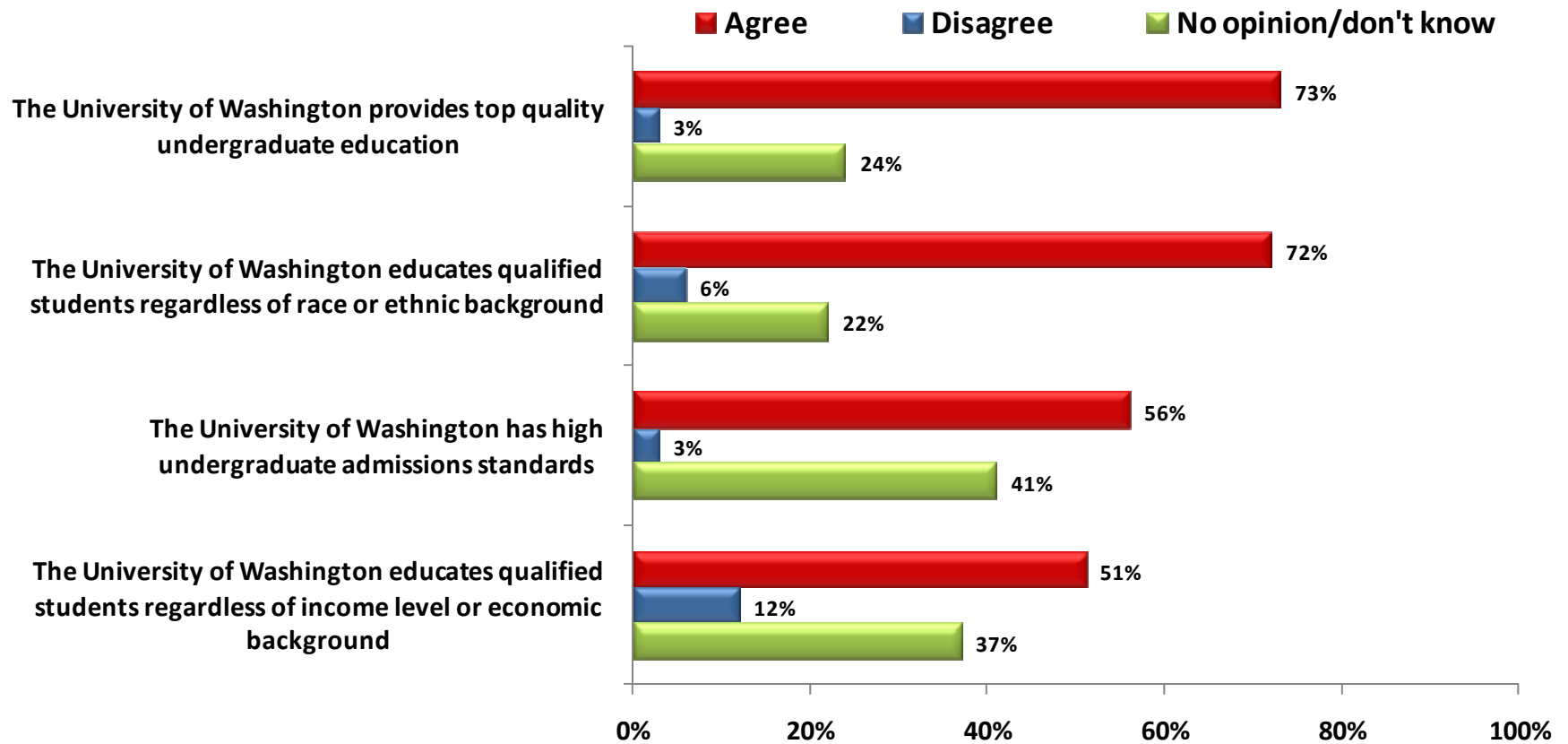
UW Themes and Messages

"Please tell me if you strongly agree, somewhat agree, somewhat disagree, or strongly disagree with each statement."



UW Themes and Messages

"Please tell me if you strongly agree, somewhat agree, somewhat disagree, or strongly disagree with each statement."



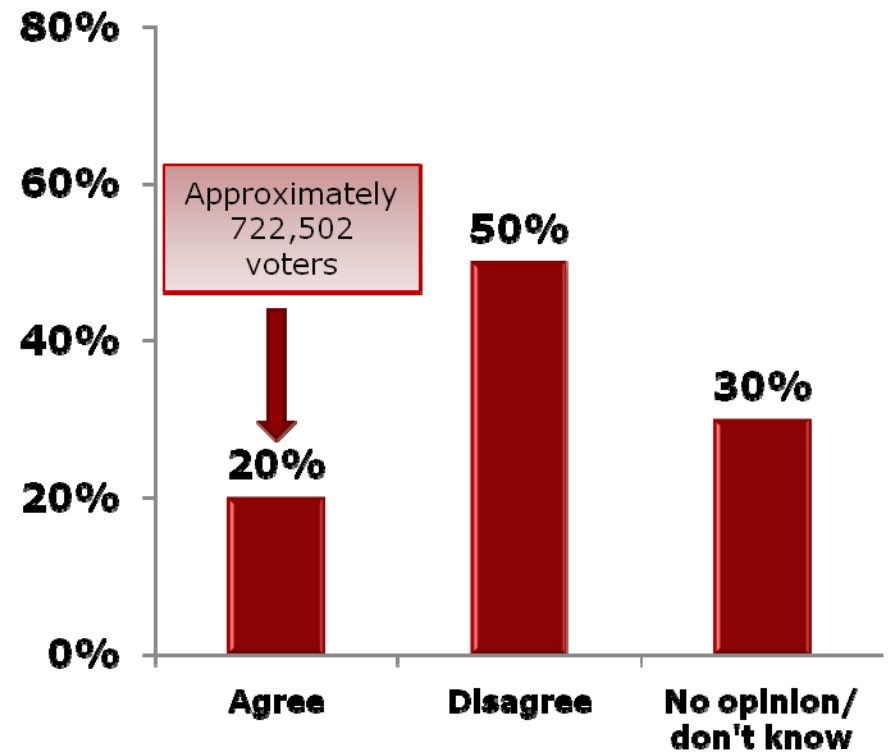
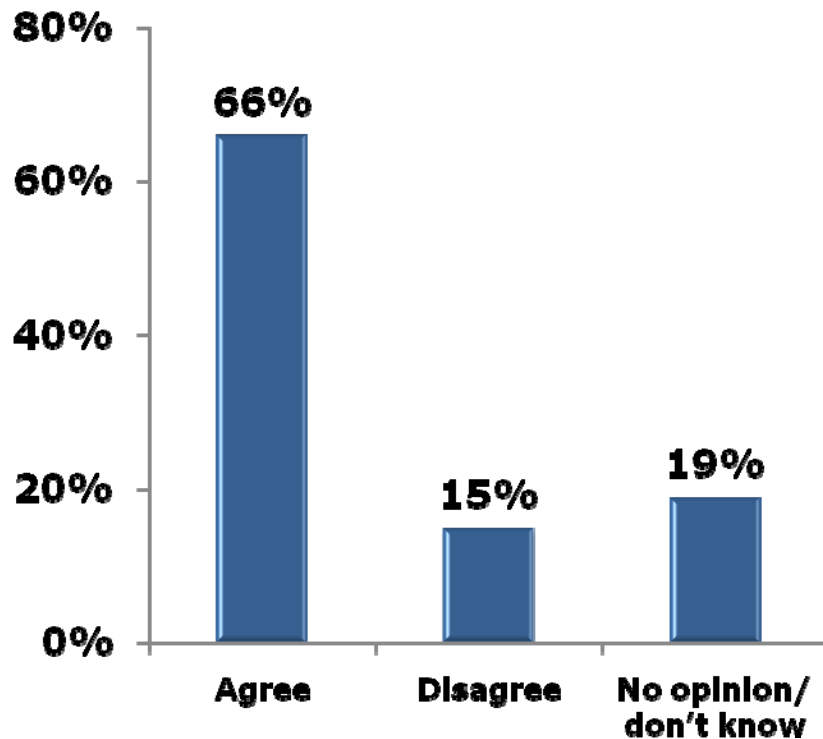
UW Research, Quality of Education & Ethnic Diversity

	Strongly agree 2008	Strongly agree 2009	Net Shift
The University of Washington conducts research that is valuable to the needs of the state and its citizens	56%	46%	-10%
The University of Washington provides top quality undergraduate education	53%	41%	-12%
The University of Washington educates qualified students regardless of race or ethnic background	56%	48%	-8%

UW is Perceived by Many as an Elite Institution, But Not “Elitist”

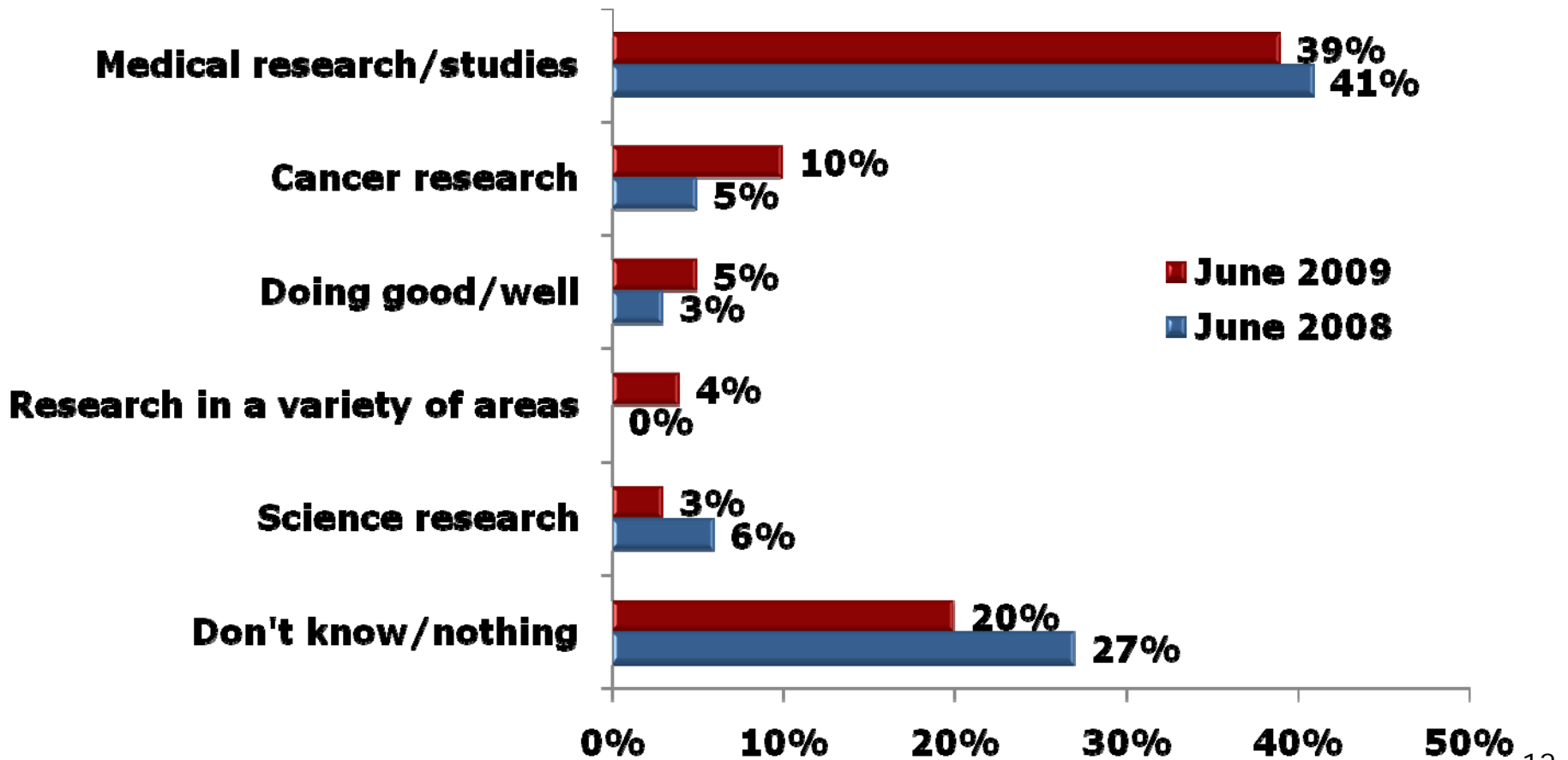
“The University of Washington is an elite university.”

“The University of Washington is elitist and arrogant in its attitudes.”



University Research

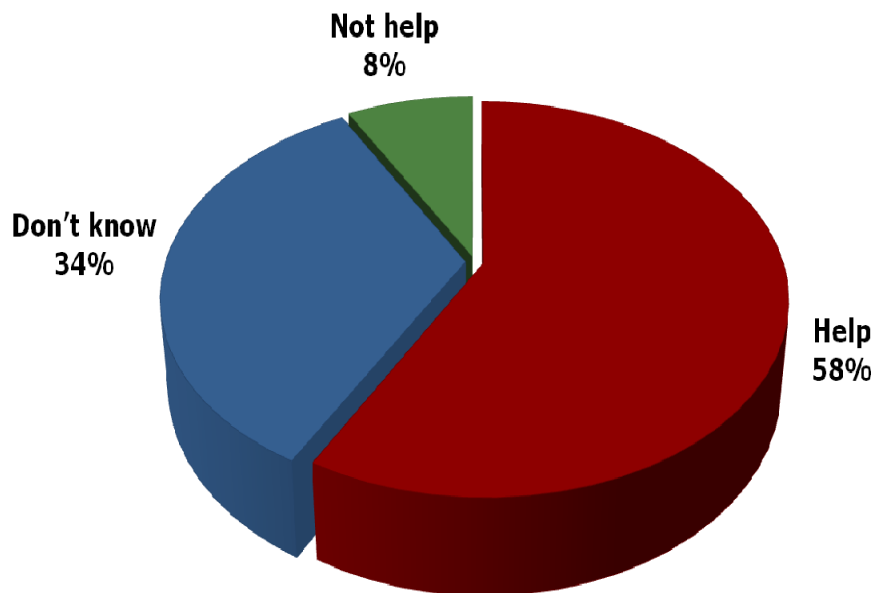
"What is the first thing you think of when someone says 'research at University of Washington'?"



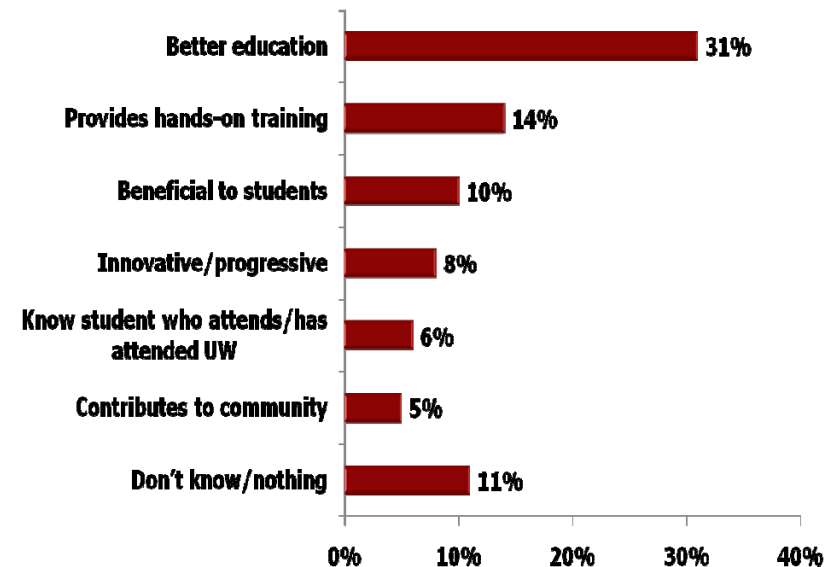
UW Research & the Undergraduate Experience

"In your opinion, does research at the University of Washington help the undergraduate educational experience at the University of Washington, or not?"

Does it help?

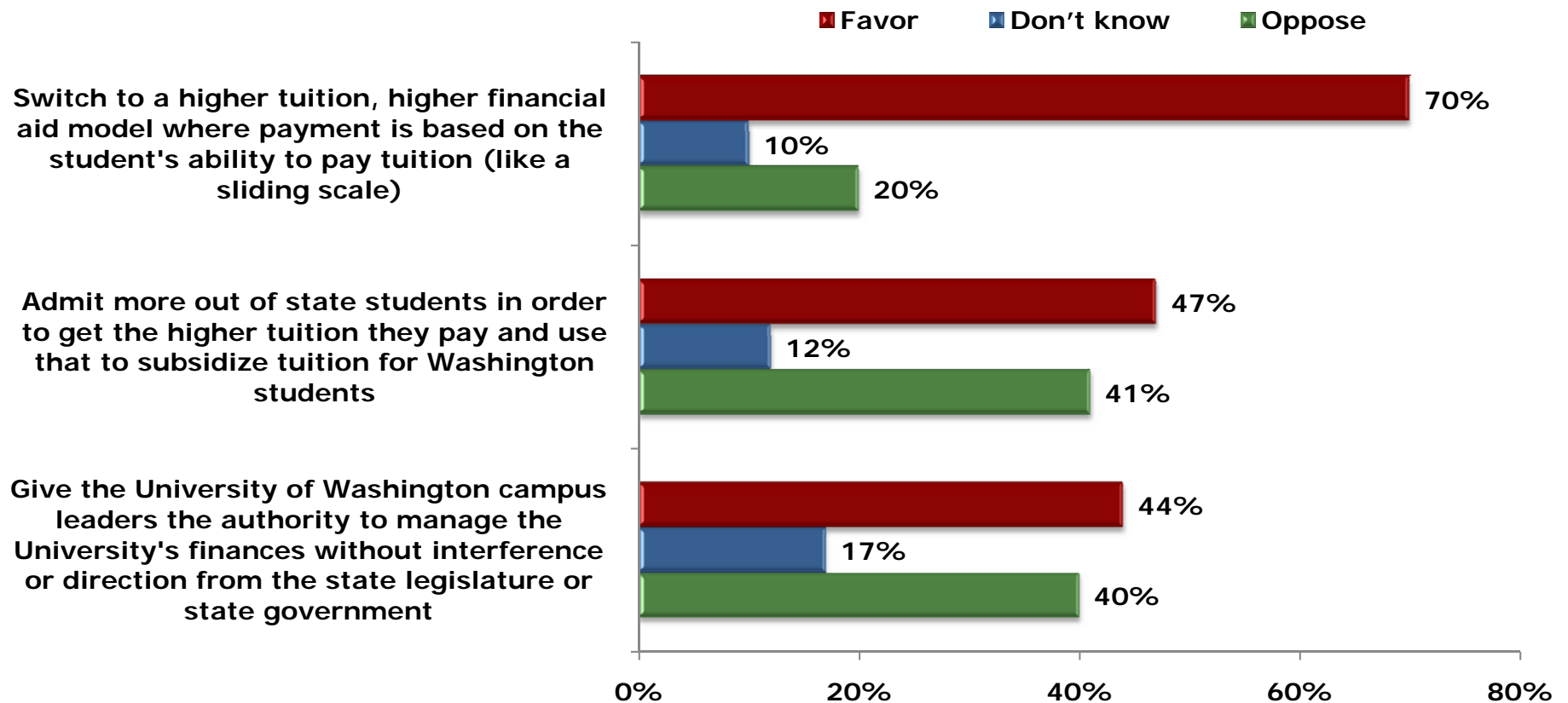


Why?



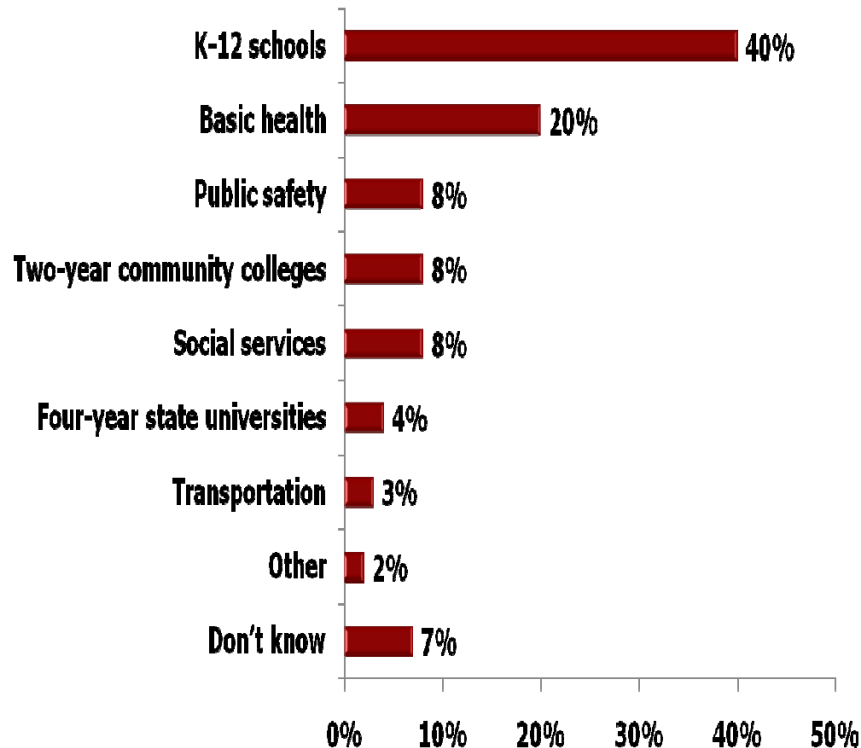
Alternate Budget Solutions

"Here are some proposals to help the University of Washington address budget cuts. Please tell me if you favor or oppose each."



Priorities for Public Funding

"In these difficult times when the state is looking at prioritizing what can and cannot be funded, which one of the following do you think should receive highest priority for funding?"



For comparison, here is what voters said a decade ago...

Education in K-12	40%
Job Training, etc.	27%
Health Coverage	26%
Crime Prevention	25%
Roads & Highways	25%
Child Care Subsidies	18%
State Colleges & Universities	13%
Other	2%

Source: Boeing Company public opinion survey 1998

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

UWTV

For information only.
Material will be distributed at the meeting.



Background

The University of Washington faces an environment of limited resources.

The University has a goal for UWTV to be the centerpiece of its communication efforts while achieving fiscal self sufficiency.

UWTV's new business plan will ensure clear direction, consistency with the mission and input from stakeholders.

UWTV's Strengths and Opportunities

Strengths

- UWTV offers video distribution on three screens (TV, web, mobile) unprecedented for a university
- UWTV programs are distributed nationally reaching 40 million homes via a consortium of Universities (RC).
- Millions of online viewers watch UW programs nationally and internationally.
- UWTV has built a talented staff with exceptional creative and technology skills

Opportunities

- Not yet a central resource for UW
- Only departments with available funds produce video
- Audience not yet large enough for ratings-based revenue



UNIVERSITY of WASHINGTON

UWTV Business Plan

3 Screen Anytime/Anywhere Media

2009:

- Mobile video audience up 70%
- Online video surpassed email in activity
- Television consumption is at a record high (141 hr/month)

" American consumers appear to be adding video consumption platforms, not replacing them, and media multi-tasking is part of the equation."

Nielsen: Q2 '09



UNIVERSITY of WASHINGTON

UWTV Business Plan

UWTV fast-facts

2,000,000

- # of homes in Washington with access to UWTV
- More people watch UWTV than read The New York Times, Wall Street Journal or USA Today

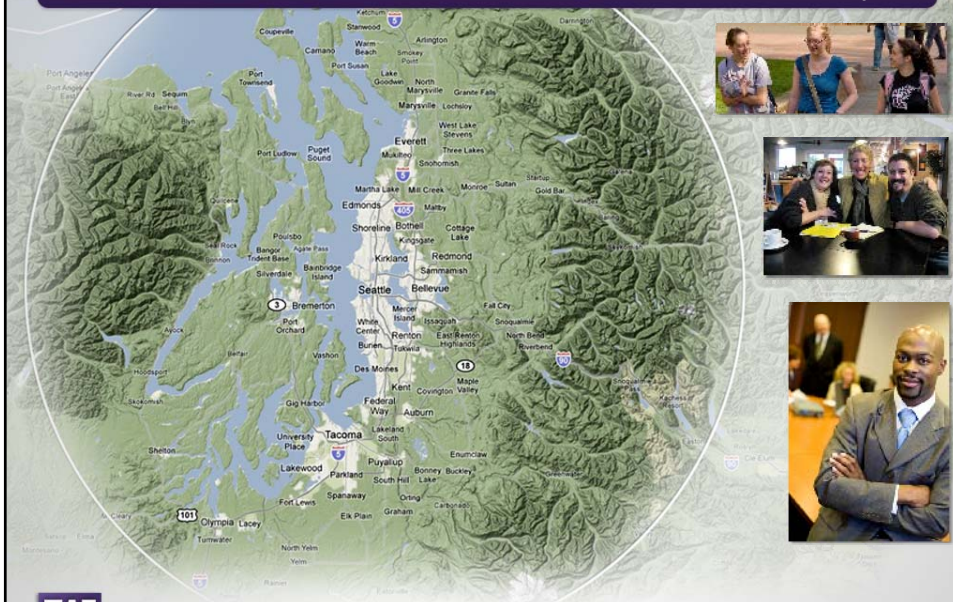
40,000,000

- # of homes with access to Research Channel programming
- 1/3 of all U.S. households

9,750,000

- # of programs downloaded or streamed from UWTV.org and our iTunes U and YouTube sites (6/1/08 - 7/1/09)

70% of UW Alumni live within 75 miles of campus



Business Plan Process



UNIVERSITY of WASHINGTON

UWTV Business Plan

Mission Statement

To harness the power of video communications to showcase the important contributions the University of Washington makes to the state of Washington and around the world.

To support the communications goals of UW organizations by telling their stories of discovery, scholarship and campus life.

To practice sound business management in order to achieve financial self sufficiency over time.



UNIVERSITY of WASHINGTON

UWTV Business Plan

Core Objectives

Financial Management

Audience Development

Revenue Development

Marketing & Communications

Operations

Organizational Development



UNIVERSITY of WASHINGTON

UWTV Business Plan

Audience Development

UWTV will develop a unique and valued audience by delivering original UW programming that appeals to its viewers.

Key Strategic Areas

- Research and measurement
- Program development
- Marketing and messaging



UNIVERSITY of WASHINGTON

UWTV Business Plan

Audience Development

What is already underway...

- New Audience Research
- New Programming (Husky Classics, The Daily Double Shot, Women's Softball, Upon Reflection, Washington Business)
- Stronger messaging

HUSKY
Classics

HUSKY SOFTBALL

THE DAILY
OF THE UNIVERSITY OF WASHINGTON



UNIVERSITY of WASHINGTON

UWTV Business Plan

Revenue Development

UWTV will focus its efforts, resources and direction that will help it achieve financial self sufficiency over time.

Key Strategic Areas

- Client development/Outreach
- Establish rates and programs that will appeal to the broadest possible client base
- Develop robust sales/advancement resources



UNIVERSITY of WASHINGTON

UWTV Business Plan

Revenue Development

What is already underway...

- New Rates/Programs
- Outreach
- Developing a Marketing/Communications business model/culture



UNIVERSITY of WASHINGTON

UWTV Business Plan

UWTV's Five Year Business Plan

A foundation built on **diverse** sources of funding

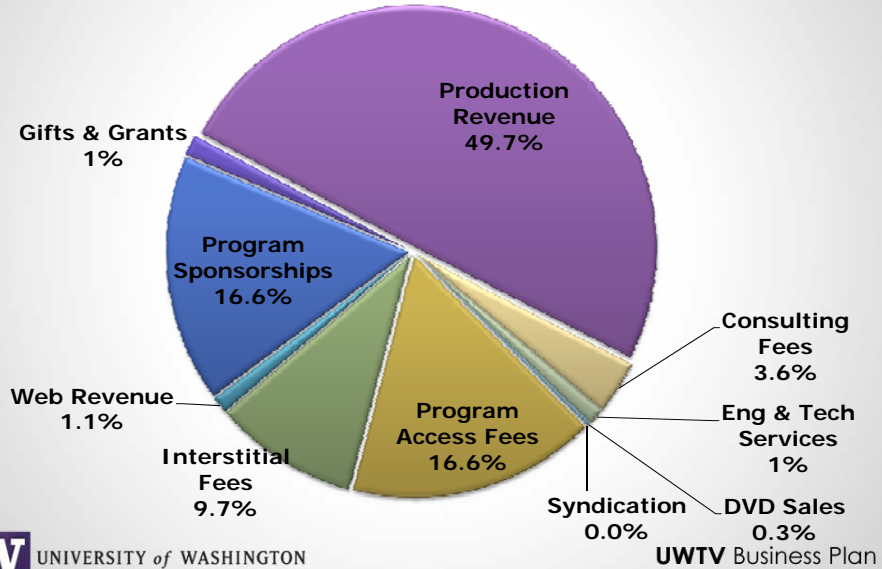


UNIVERSITY of WASHINGTON

UWTV Business Plan

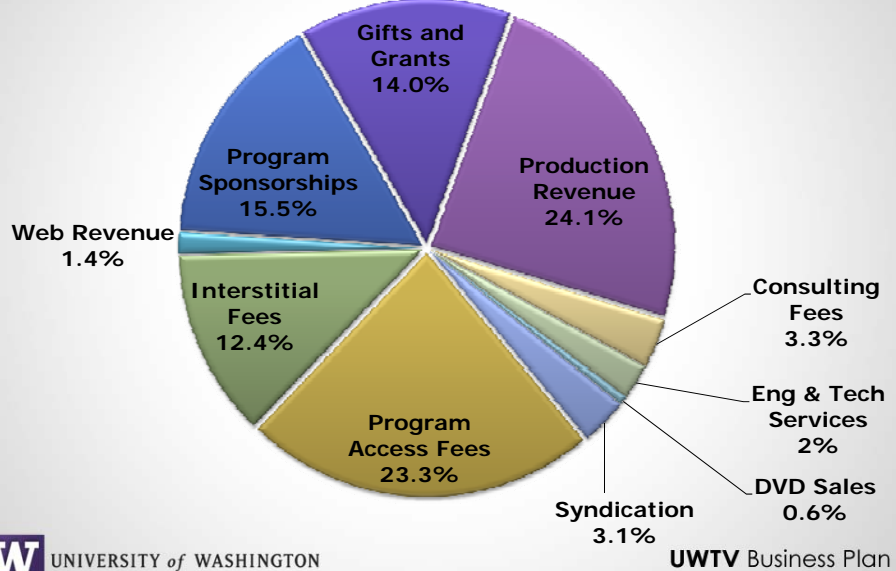
UWTV's Five Year Business Plan

Year 1

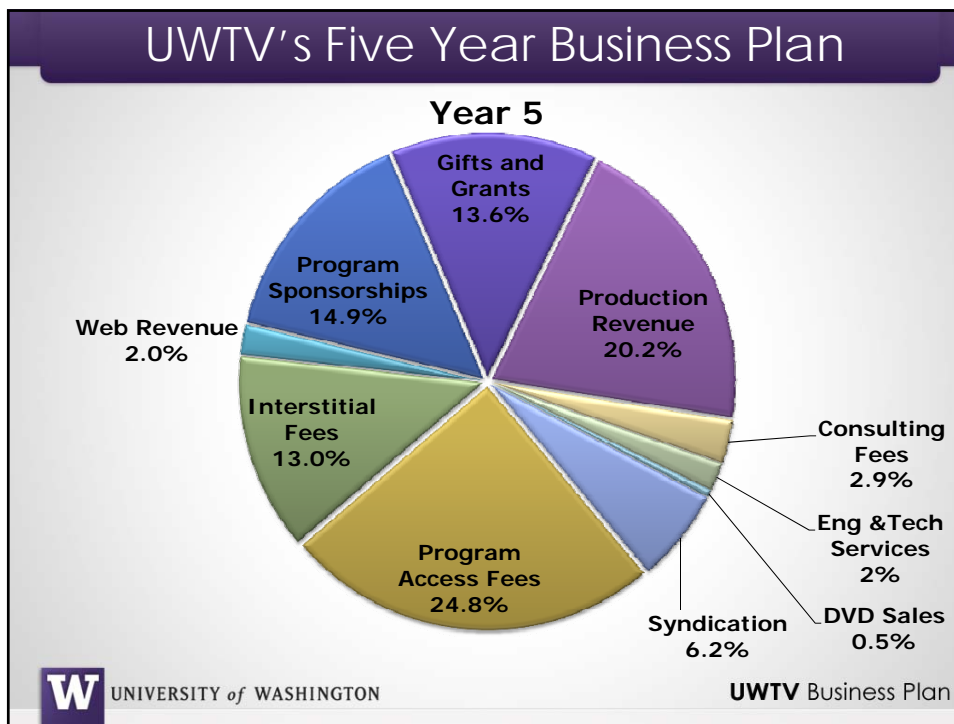


UWTV's Five Year Business Plan

Year 3



UWTV's Five Year Business Plan



Marketing and Communications

UWTV will become a front porch for UW and a communications tool for UW units.

Key Strategic Areas

- Understand our audiences
- Have a consistent message
- Optimize: Effectively use all media



Marketing and Communications

What is already underway...

Marketing and Communications

- Development of key messages
- Developing co-marketing relationships (ICA, The Daily, KUOW)
- UWTV logo redesign

The new logo



Thank
YOU!

W UNIVERSITY of WASHINGTON

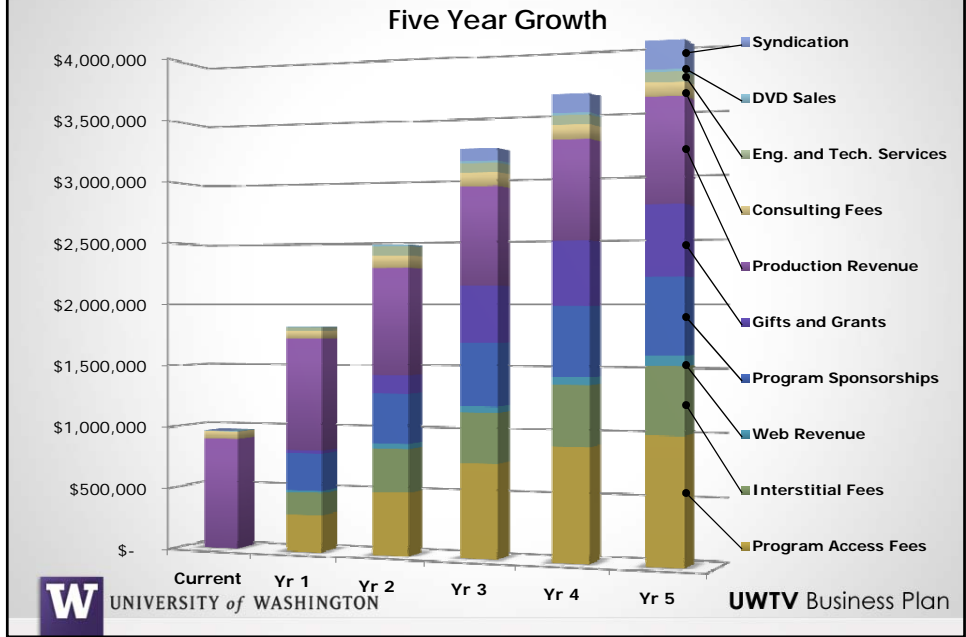
UWTV Business Plan

Q & A

W UNIVERSITY of WASHINGTON

UWTV Business Plan

UWTV's Five Year Business Plan



VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

COP Governing Boards Committee Report

This will be an oral report for information only.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Grant and Contract Awards Summary – October and November, 2009

RECOMMENDED ACTION:

It is the recommendation of the administration and the Finance and Audit Committee that the Board of Regents accept the Grant and Contract Awards as presented on the attached list.

Attachment:

Grant and Contract Awards Summaries
Report of Grant and Contract Awards of \$1,000,000 or More

Grant and Contract Awards Summary

to

The Board of Regents

of the

University of Washington

for

October 2009

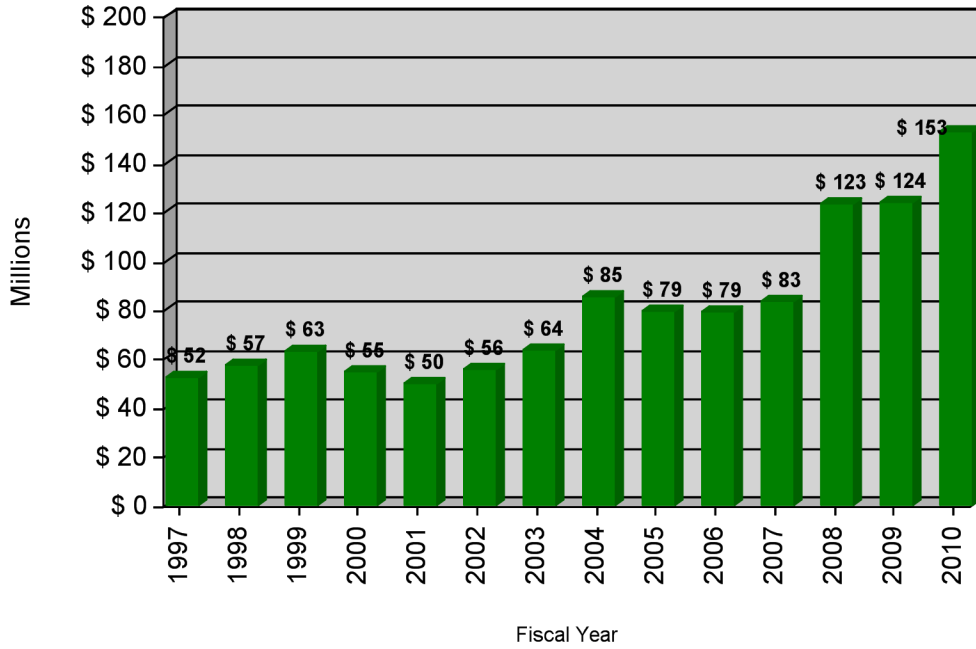
Office of Research

Office of Sponsored Programs

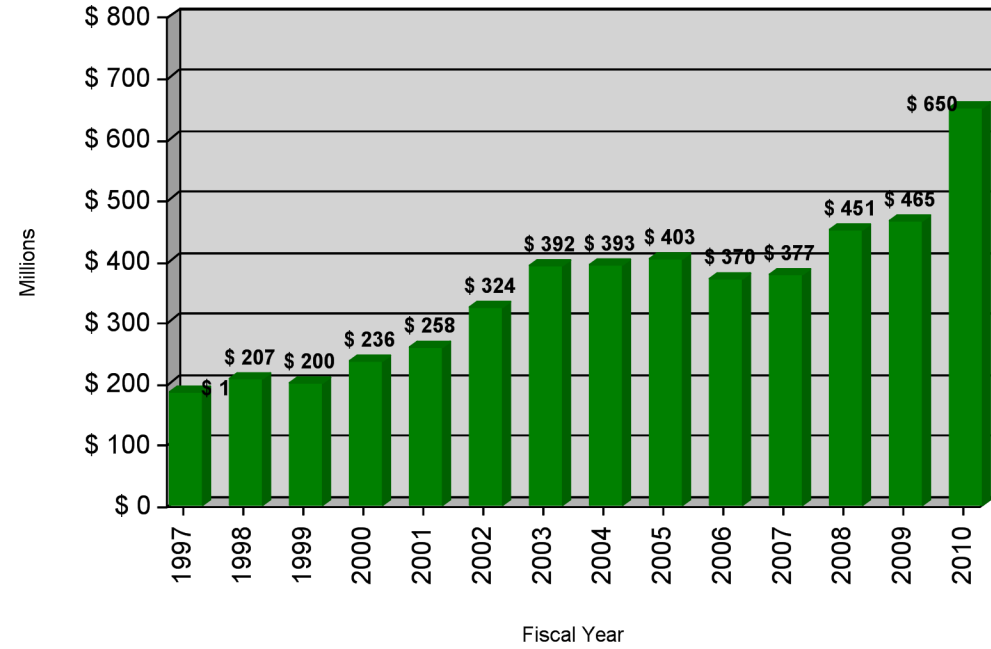
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Report of Grant & Contract Awards over \$1,000,000	11

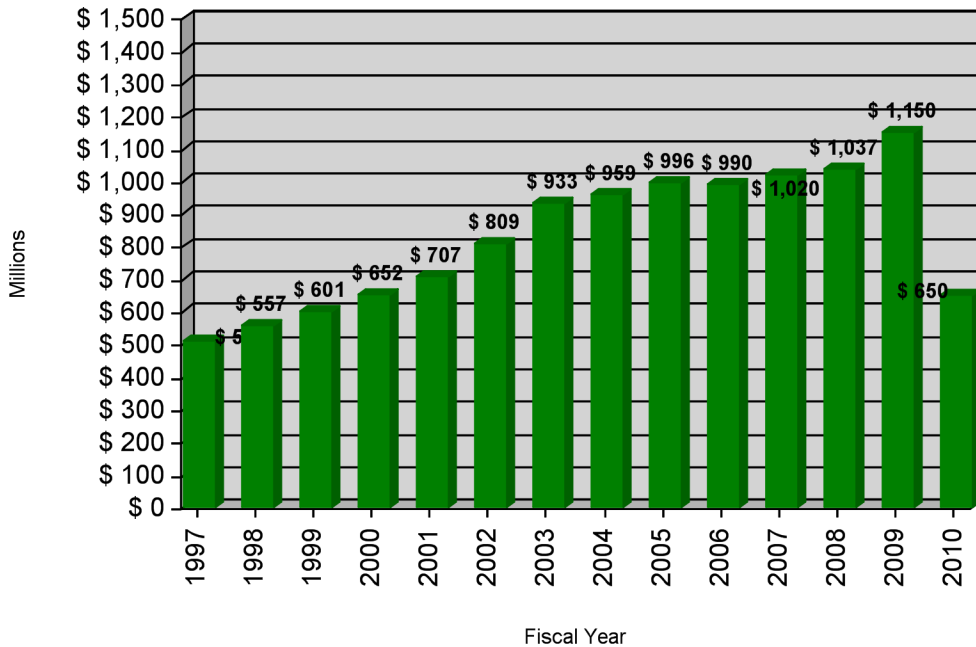
October Only
Comparison of Grant & Contract Awards



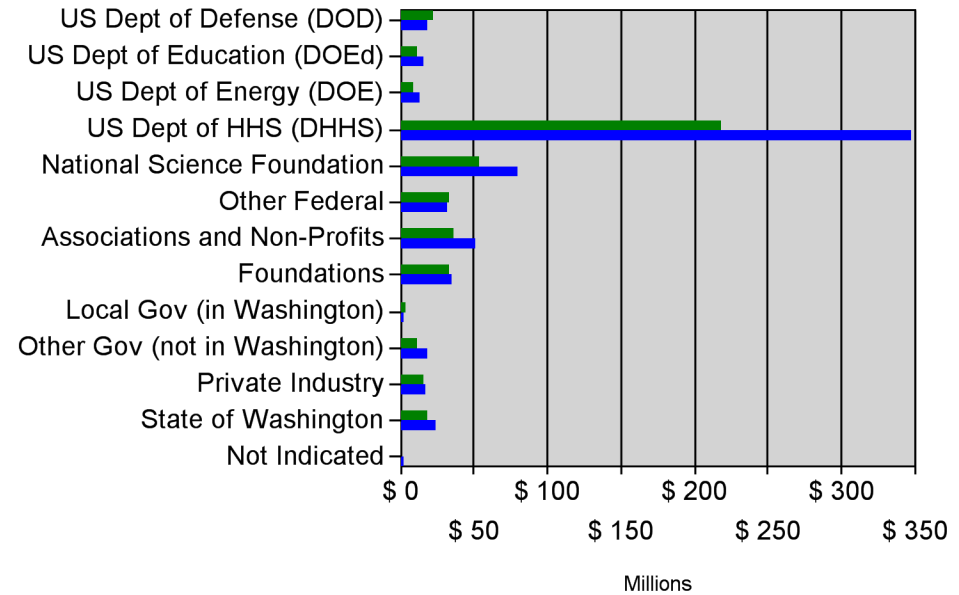
Fiscal Year to Date
Comparison of Grant & Contract Awards



Complete Fiscal Year
Comparison of Grant & Contract Awards



Fiscal Year to Date
Two-Year Comparison of Grant and Contract Awards



Legend: ■ Jul-Oct FY09 ■ Jul-Oct FY10

Summary of Grant and Contract Awards

Fiscal Year 2009-2010

Month	RESEARCH AND OTHER		TRAINING		Total Grants and Contracts
	Federal	Non-Federal	Federal	Non-Federal	
July	\$ 92,953,080	\$ 29,256,340	\$ 15,030,890	\$ 1,904,177	\$ 139,144,500
August	\$ 125,313,800	\$ 30,716,240	\$ 5,900,316	\$ 1,531,602	\$ 163,462,000
September	\$ 126,511,000	\$ 35,953,430	\$ 32,079,670	\$ 395,123	\$ 194,939,200
October	\$ 77,578,540	\$ 45,219,880	\$ 28,673,290	\$ 1,363,737	\$ 152,835,500
FY10 to Date	\$422,356,366	\$141,145,891	\$81,684,165	\$5,194,639	\$650,381,061
FY09 to Date	\$313,990,140	\$110,721,842	\$33,134,011	\$7,409,462	\$465,255,455
Over (Under) Previous Year	\$108,366,226	\$30,424,048	\$48,550,154	(\$2,214,823)	\$185,125,605

Assuming acceptance of all awards by the Board of Regents

Comparison of Grant and Contract Awards by Agency

Fiscal Years 2008-2009 and 2009-2010

Agency	Jul-Oct FY09	Jul-Oct FY10
US Department of Defense (DOD)	\$ 22,360,397	\$ 17,659,903
US Department of Education (DOEd)	\$ 11,083,706	\$ 15,216,395
US Department of Energy (DOE)	\$ 8,607,749	\$ 12,812,178
US Department of Health and Human Services (DHHS)	\$ 218,015,347	\$ 347,629,842
National Science Foundation (NSF)	\$ 53,409,417	\$ 78,838,921
Other Federal	\$ 33,647,535	\$ 31,883,292
Subtotal for Federal :	\$ 347,124,151	\$ 504,040,531
Associations and Non-Profits	\$ 36,262,155	\$ 51,063,466
Foundations	\$ 33,551,728	\$ 34,444,769
Local Government (in Washington)	\$ 3,033,593	\$ 1,493,148
Other Government (not in Washington)	\$ 11,950,566	\$ 18,876,920
Private Industry	\$ 14,851,700	\$ 17,059,995
State of Washington	\$ 18,481,561	\$ 23,402,232
Not Indicated	\$ 0	\$ 48,909
Subtotal for Non-Federal :	\$ 118,131,304	\$ 146,389,439
Grand Total :	\$ 465,255,455	\$ 650,429,970
Amount of Increase (Decrease) :		\$ 185,174,514
Percent of Increase (Decrease) :		39.8 %

Assuming acceptance of all awards by the Board of Regents

Comparison of Grant and Contract Awards by School/College

Fiscal Years 2008-2009 and 2009-2010

School/College	Jul-Oct FY09	Jul-Oct FY10
Upper Campus		
Architecture and Urban Planning	\$ 530,700	\$ 345,958
Arts and Sciences	\$ 44,556,488	\$ 55,921,197
College of the Environment		\$ 21,869,077
Director of Libraries		\$ 132,000
Education	\$ 5,171,421	\$ 2,457,832
Educational Outreach	\$ 39,001	
Engineering	\$ 39,519,496	\$ 45,962,171
Evans School of Public Affairs	\$ 1,242,603	\$ 674,805
Executive Vice President	\$ 621,238	
Forest Resources	\$ 4,681,319	\$ 1,260,792
Foster School of Business	\$ 770,000	\$ 1,293,084
Graduate School	\$ 1,604,632	\$ 3,799,291
Information School	\$ 3,744,006	\$ 1,922,548
Law	\$ 1,258,309	\$ 411,995
Ocean and Fishery Sciences	\$ 34,805,617	\$ 27,027,927
Office of Research	\$ 15,410,052	\$ 16,516,528
Social Work	\$ 7,695,247	\$ 10,705,021
Undergraduate Education	\$ 66,492	
VP Educational Partnerships	\$ 30,000	
VP Minority Affairs	\$ 6,337,599	\$ 4,802,313
VP Student Affairs		\$ 280,137
VP Student Life		\$ 20,715
Subtotal :	\$ 168,084,219	\$ 195,403,391
Health Sciences		
Dentistry	\$ 5,334,648	\$ 4,112,278
Medicine	\$ 228,076,280	\$ 371,391,147
Nursing	\$ 6,816,464	\$ 10,746,386
Pharmacy	\$ 4,727,328	\$ 7,313,510
Public Health	\$ 31,806,203	\$ 47,535,645
Subtotal :	\$ 276,760,923	\$ 441,098,965
Special Programs		
Alcohol and Drug Abuse Institute	\$ 2,060,091	\$ 2,660,034
CHDD Administration	\$ 3,064,560	\$ 5,450,501
Regional Primate Center	\$ 13,624,369	\$ 4,055,193
Subtotal :	\$ 18,749,020	\$ 12,165,728
Other UW Campuses		
Bothell	\$ 1,221,350	\$ 1,498,816
Tacoma	\$ 439,943	\$ 87,964

School/College		Jul-Oct FY09	Jul-Oct FY10
Other UW Campuses	Subtotal :	\$ 1,661,293	\$ 1,586,780
Not Indicated Bothell			\$ 175,105
	Subtotal :		\$ 175,105
	Grand Total :	\$ 465,255,455	\$ 650,429,970

Assuming acceptance of all awards by the Board of Regents

Summary of Grant Awards**Fiscal Year 2009-2010***Excluding private awards from Foundations, Industry, Associations and Others*

Month	RESEARCH AND OTHER		TRAINING		Total Grants
	Federal	Non-Federal	Federal	Non-Federal	
July	\$ 91,428,820	\$ 4,713,717	\$ 15,030,890	\$ 955,270	\$ 112,128,700
August	\$ 119,211,600	\$ 10,426,390	\$ 5,900,316	\$ 998,571	\$ 136,536,800
September	\$ 118,571,000	\$ 3,619,947	\$ 31,878,100	\$ 155,656	\$ 154,224,700
October	\$ 72,006,290	\$ 9,217,262	\$ 28,673,290	\$ 172,632	\$ 110,069,500
Year to Date	\$ 401,217,600	\$ 27,977,310	\$ 81,482,600	\$ 2,282,129	\$ 512,959,700

Assuming acceptance of all awards by the Board of Regents

Summary of Grant Awards

Fiscal Year 2009-2010

Private awards from Foundations, Industry, Associations and Others

Month	RESEARCH AND OTHER	TRAINING	Total Grants
July	\$ 12,968,550	\$ 64,396	\$ 13,032,950
August	\$ 13,367,960	\$ 304,231	\$ 13,672,190
September	\$ 26,139,530	\$ 239,467	\$ 26,378,990
October	\$ 29,279,300	\$ 567,213	\$ 29,846,510
Year to Date	\$ 81,755,340	\$ 1,175,307	\$ 82,930,640

Assuming acceptance of all awards by the Board of Regents

Summary of Contract Awards

Fiscal Year 2009-2010

Month	RESEARCH AND OTHER		TRAINING		Total Contracts
	Federal	Non-Federal	Federal	Non-Federal	
July	\$ 1,524,264	\$ 11,574,070	\$ 0	\$ 884,511	\$ 13,982,840
August	\$ 6,102,208	\$ 6,921,895	\$ 0	\$ 228,800	\$ 13,252,900
September	\$ 7,939,990	\$ 6,193,956	\$ 201,565	\$ 0	\$ 14,335,510
October	\$ 5,572,260	\$ 6,723,323	\$ 0	\$ 623,892	\$ 12,919,470
Year to Date	\$ 21,138,720	\$ 31,413,240	\$ 201,565	\$ 1,737,203	\$ 54,490,730

Assuming acceptance of all awards by the Board of Regents

**Report of Grant and Contract Awards
of \$1,000,000 or More**

October 2009

**Requiring action of
The Board of Regents
of the
University of Washington**

**Office of Research
Office of Sponsored Programs**

Detail of Public Grant Awards

Federal

US Department of Health and Human Services (DHHS)

Health Resources and Services Administration (HRSA)

To: King K. Holmes, Professor \$ 26,935,661
 Department of Medicine
 For: International AIDS Education and Training Center
 Eff: 4/1/2009 Classified: No

Total for Health Resources and Services Administration (HRSA): \$ 26,935,661

National Institutes of Health (NIH)

To: Donald L. Patrick, Professor \$ 1,600,303
 Department of Health Services
 For: Patient reported outcomes in routine clinical care of patients infected
 with HIV
 Eff: 9/30/2009 Classified: No

To: Larry Kessler, Professor \$ 1,949,377
 Department of Health Services
 For: ARRA ADVancing Innovative Comparative Effectiveness research-
 cancer diagnostics-ADVICE
 Eff: 9/28/2009 Classified: No

To: Michael Bamshad, Professor \$ 2,594,102
 Department of Pediatrics
 For: NHLBI Lung Cohorts Sequencing Project
 Eff: 9/30/2009 Classified: No

To: Dedra Buchwald, Professor \$ 3,586,247
 Department of Medicine
 For: Building a Unique Resource: A National, Community-based Twin
 Repository
 Eff: 9/30/2009 Classified: No

To: Alan Chait, Professor \$ 1,020,122
 Department of Medicine
 For: Clinical Nutrition Research Unit
 Eff: 7/1/2009 Classified: No

National Center for Research Resources (NCRR)

To: Mary L. Disis, Professor \$ 2,444,713
 Department of Medicine
 For: Institute for Translational Health Sciences (ITHS)
 Eff: 6/1/2009 Classified: No

To: Mary L. Disis, Professor \$ 9,921,720
 Department of Medicine
 For: Institute for Translational Health Sciences (ITHS)
 Eff: 6/1/2009 Classified: No

Total for National Center for Research Resources (NCRR): \$ 12,366,433

National Heart, Lung, and Blood Institute (NHLBI)

To: Christopher Murray, Professor \$ 1,626,800
 Public Health and Community Medicine
 For: Novel Methods of Measuring Health Disparities
 Eff: 9/30/2009 Classified: No

Total for National Heart, Lung, and Blood Institute (NHLBI): \$ 1,626,800

Detail of Public Grant Awards

Federal

US Department of Health and Human Services (DHHS)

National Institutes of Health (NIH)

National Human Genome Research Institute (NHGRI)

To: Deborah Nickerson, Professor \$ 1,960,613
 Department of Genome Sciences

For: Next Generation Mendelian Genetics

Eff: 9/30/2009 Classified: No

Total for National Human Genome Research Institute (NHGRI): \$ 1,960,613

National Institute of Child Health and Human Development (NICHD)

To: Michael Guralnick, Director \$ 1,204,975
 CHDD Administration

For: Fragile X Research Center

Eff: 7/1/2009 Classified: No

Total for National Institute of Child Health and Human Development (NICHD): \$ 1,204,975

National Institute of Mental Health (NIMH)

To: Evan Eichler, Professor \$ 1,139,256
 Department of Genome Sciences

For: Genomic Identification of Autism Loci

Eff: 9/30/2009 Classified: No

Total for National Institute of Mental Health (NIMH): \$ 1,139,256

Total for National Institutes of Health (NIH): \$ 29,048,228

Total for US Department of Health and Human Services (DHHS): \$ 55,983,889

Other Federal

National Oceanic and Atmospheric Administration (NOAA)

To: Thomas Ackerman, Director \$ 6,856,314
 College of the Environment

For: Joint Institute for the Study of the Atmosphere and Ocean: Task I
 and II

Eff: 4/2/2001 Classified: No

Total for National Oceanic and Atmospheric Administration (NOAA): \$ 6,856,314

Total for Other Federal: \$ 6,856,314

Total for Federal: \$ 62,840,203

State of Washington

Washington State Higher Education Coordinating Board

To: Mary Lidstrom, Vice Provost \$ 1,022,640
 Office Of Research

For: Innovation Research Team (IRT) Program

Eff: 7/1/2009 Classified: No

Total for Washington State Higher Education Coordinating Board: \$ 1,022,640

Washington State Life Sciences Discovery Fund Authority (LSDFA)

To: Deborah Nickerson, Professor \$ 1,999,650
 Department of Genome Sciences

Detail of Public Grant Awards

State of Washington

Washington State Life Sciences Discovery Fund Authority (LSDFA)

For: Northwest Genomics Center

Eff: 10/19/2009

Classified: No

Total for Washington State Life Sciences Discovery Fund Authority (LSDFA): **\$ 1,999,650**

Total for State of Washington: **\$ 3,022,290**

Total Public Grants: **\$ 65,862,493**

Detail of Private Grant Awards

Foundations

Bill and Melinda Gates Foundation

To:	Pradipsinh Rathod, Professor Department of Chemistry	\$ 1,000,000
For:	GCE Phase 2 - Strategies to Disable Hypermutagenesis in Malaria Parasites	
Eff:	11/1/2009	Classified: No
To:	Christopher J Murray, Professor Global Health	\$ 5,000,000
For:	Health Metrics Institute Proposal	
Eff:	5/1/2007	Classified: No
To:	Christopher J Murray, Professor Global Health	\$ 2,867,543
For:	Copy of Global Burden of Disease	
Eff:	3/8/2008	Classified: No

Total for Bill and Melinda Gates Foundation: \$ 8,867,543

Total for Foundations: \$ 8,867,543

Total Private Grants: \$ 8,867,543

Detail of Contract Awards

Federal

US Department of Health and Human Services (DHHS)

National Institutes of Health (NIH)

National Heart, Lung, and Blood Institute (NHLBI)

To: Richard A Kronmal, Professor \$ 1,012,225
 Department of Biostatistics

For: Multi-Ethnic Study of Atherosclerosis

Eff: 12/21/1998 Classified: No

To: Richard A Kronmal, Professor \$ 1,527,471
 Department of Biostatistics

For: Multi-Ethnic Study of Atherosclerosis: MESA SHARE

Eff: 12/21/1998 Classified: No

Total for National Heart, Lung, and Blood Institute (NHLBI): \$ 2,539,696

National Institute of Environmental Health and Science (NIEHS)

To: Deborah A Nickerson, Professor \$ 1,097,833
 Department of Genome Sciences

For: Identification of Single Nucleotide Polymorphisms (SNPs) in Disease
 Susceptibility Genes

Eff: 9/30/2008 Classified: No

*Total for National Institute of Environmental Health and Science
 (NIEHS):* \$ 1,097,833

Total for National Institutes of Health (NIH): \$ 3,637,529

Total for US Department of Health and Human Services (DHHS): \$ 3,637,529

Total for Federal: \$ 3,637,529

Associations and Non-Profits

Health Alliance International (HAI)

To: King K. Holmes, Chair \$ 1,985,389
 Global Health

For: Mozambique Health Committee 5

Eff: 5/1/1992 Classified: No

Total for Health Alliance International (HAI): \$ 1,985,389

Total for Associations and Non-Profits: \$ 1,985,389

Total Contracts: \$ 5,622,918

Grand Total for all Awards \$ 80,352,954

Grant and Contract Awards Summary

to

The Board of Regents

of the

University of Washington

for

November 2009

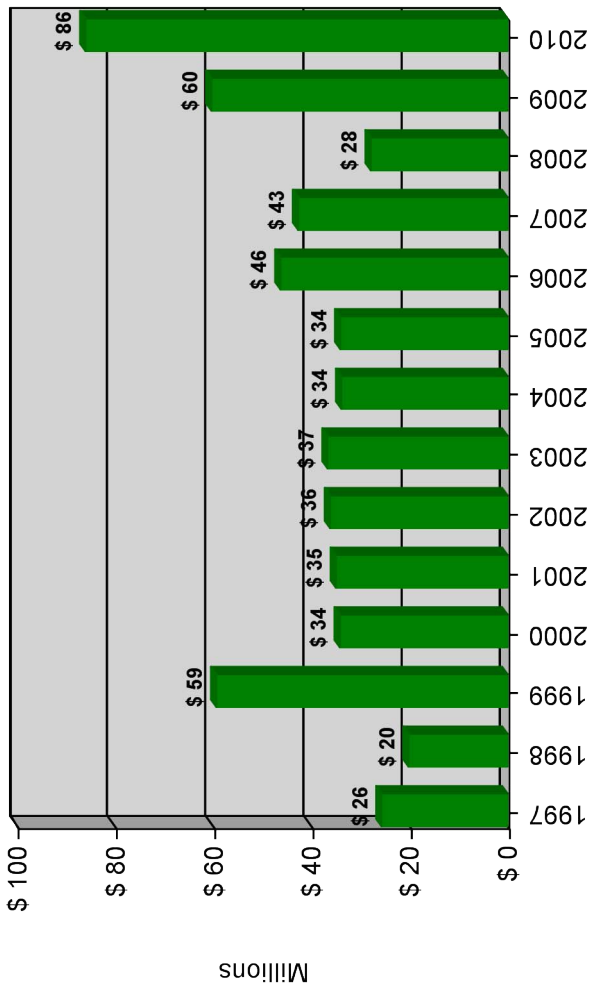
Office of Research

Office of Sponsored Programs

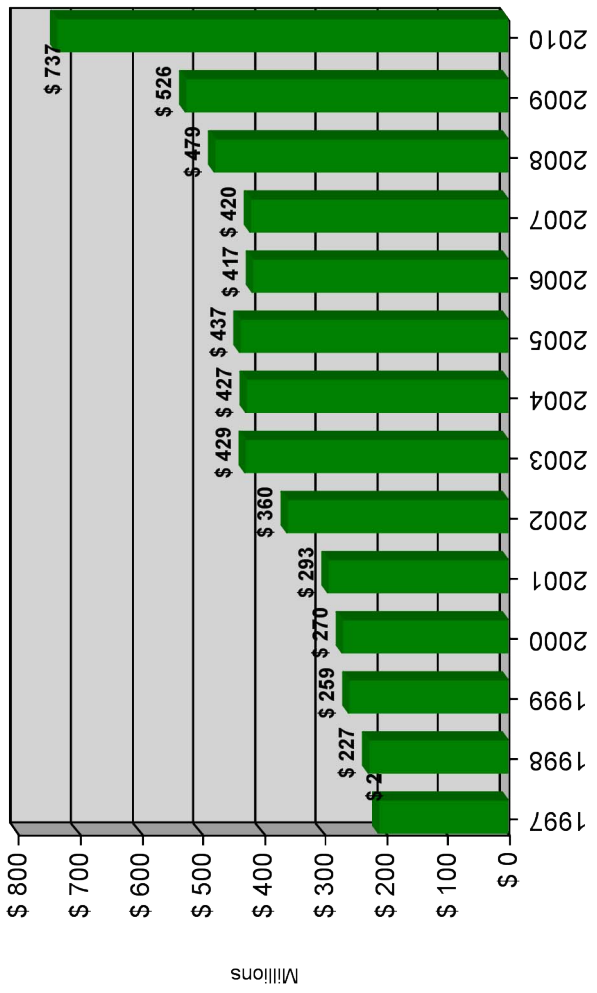
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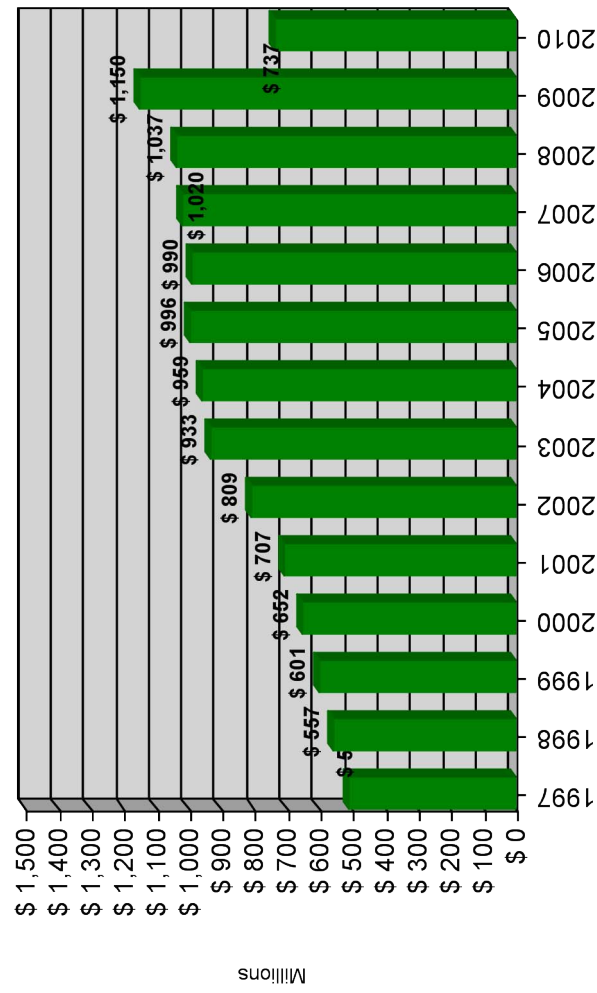
November Only Comparison of Grant & Contract Awards



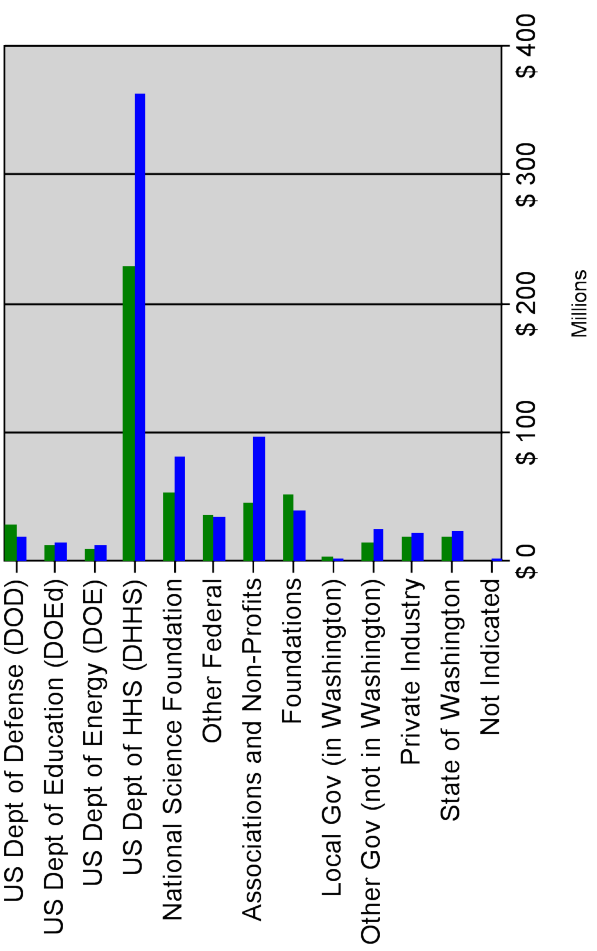
Fiscal Year to Date Comparison of Grant & Contract Awards



Complete Fiscal Year Comparison of Grant & Contract Awards



Fiscal Year to Date Two-Year Comparison of Grant and Contract Awards



Summary of Grant and Contract AwardsFiscal Year 2009-2010

Month	RESEARCH AND OTHER		TRAINING		Total Grants and Contracts
	Federal	Non-Federal	Federal	Non-Federal	
July	\$ 92,953,080	\$ 29,256,340	\$ 15,030,890	\$ 1,904,177	\$ 139,144,500
August	\$ 125,313,800	\$ 30,716,240	\$ 5,900,316	\$ 1,531,602	\$ 163,462,000
September	\$ 126,511,000	\$ 35,953,430	\$ 32,079,670	\$ 395,123	\$ 194,939,200
October	\$ 77,578,540	\$ 45,219,880	\$ 28,673,290	\$ 1,363,737	\$ 152,835,500
November	\$ 22,820,040	\$ 62,201,130	\$ 366,285	\$ 725,599	\$ 86,113,060
FY10 to Date	\$445,176,406	\$203,347,021	\$82,050,450	\$5,920,238	\$736,494,115
FY09 to Date	\$336,811,076	\$144,375,845	\$34,279,706	\$10,171,643	\$525,638,271
Over (Under) Previous Year	\$108,365,330	\$58,971,176	\$47,770,744	(\$4,251,405)	\$210,855,845

Assuming acceptance of all awards by the Board of Regents

Comparison of Grant and Contract Awards by Agency

Fiscal Years 2008-2009 and 2009-2010

Agency	Jul-Nov FY09	Jul-Nov FY10
US Department of Defense (DOD)	\$ 29,028,589	\$ 20,049,024
US Department of Education (DOEd)	\$ 13,591,431	\$ 15,229,695
US Department of Energy (DOE)	\$ 9,207,341	\$ 13,787,892
US Department of Health and Human Services (DHHS)	\$ 228,881,710	\$ 362,151,976
National Science Foundation (NSF)	\$ 53,409,417	\$ 81,580,612
Other Federal	\$ 36,972,294	\$ 34,427,657
Subtotal for Federal :	\$ 371,090,782	\$ 527,226,856
Associations and Non-Profits	\$ 46,327,629	\$ 96,981,779
Foundations	\$ 51,195,064	\$ 38,993,380
Local Government (in Washington)	\$ 3,343,480	\$ 1,746,798
Other Government (not in Washington)	\$ 14,605,203	\$ 24,838,402
Private Industry	\$ 19,265,039	\$ 22,585,458
State of Washington	\$ 19,811,073	\$ 24,121,444
Not Indicated	\$ 0	\$ 48,909
Subtotal for Non-Federal :	\$ 154,547,489	\$ 209,316,168
Grand Total :	\$ 525,638,271	\$ 736,543,024
Amount of Increase (Decrease) :		\$ 210,904,754
Percent of Increase (Decrease) :		40.1 %

Assuming acceptance of all awards by the Board of Regents

Comparison of Grant and Contract Awards by School/College

Fiscal Years 2008-2009 and 2009-2010

School/College	Jul-Nov FY09	Jul-Nov FY10
Upper Campus		
Architecture and Urban Planning	\$ 546,435	\$ 437,693
Arts and Sciences	\$ 48,800,246	\$ 56,601,094
College of the Environment		\$ 22,941,091
Director of Libraries	\$ 15,000	\$ 132,000
Education	\$ 5,983,240	\$ 2,644,080
Educational Outreach	\$ 39,001	
Engineering	\$ 42,517,492	\$ 53,699,878
Evans School of Public Affairs	\$ 1,350,502	\$ 974,805
Executive Vice President	\$ 621,238	
Forest Resources	\$ 4,757,677	\$ 1,293,285
Foster School of Business	\$ 770,000	\$ 1,306,384
Graduate School	\$ 1,675,131	\$ 3,802,291
Information School	\$ 4,761,506	\$ 2,544,062
Law	\$ 1,258,309	\$ 439,707
Ocean and Fishery Sciences	\$ 44,138,384	\$ 65,165,274
Office of Research	\$ 15,674,845	\$ 17,775,994
Social Work	\$ 8,828,859	\$ 10,739,479
Undergraduate Education	\$ 201,568	\$ 123,276
VP Educational Partnerships	\$ 30,000	
VP Minority Affairs	\$ 6,337,599	\$ 4,802,313
VP Student Affairs		\$ 280,137
VP Student Life		\$ 20,715
Subtotal :	\$ 188,307,032	\$ 245,723,558
Health Sciences		
Dentistry	\$ 5,665,248	\$ 4,368,509
Medicine	\$ 262,799,531	\$ 398,330,906
Nursing	\$ 7,519,391	\$ 11,067,049
Pharmacy	\$ 4,917,910	\$ 8,170,562
Public Health	\$ 35,636,195	\$ 51,484,775
Subtotal :	\$ 316,538,275	\$ 473,421,801
Special Programs		
Alcohol and Drug Abuse Institute	\$ 2,060,091	\$ 2,660,034
CHDD Administration	\$ 3,064,560	\$ 5,450,501
Regional Primate Center	\$ 14,007,020	\$ 7,392,646
Subtotal :	\$ 19,131,671	\$ 15,503,181
Other UW Campuses		
Bothell	\$ 1,221,350	\$ 1,623,816
Tacoma	\$ 439,943	\$ 95,564

School/College		Jul-Nov FY09	Jul-Nov FY10
Other UW Campuses	Subtotal :	\$ 1,661,293	\$ 1,719,380
Not Indicated Bothell			\$ 175,105
	Subtotal :		\$ 175,105
	Grand Total :	\$ 525,638,271	\$ 736,543,024

Assuming acceptance of all awards by the Board of Regents

Summary of Grant Awards

Fiscal Year 2009-2010

Excluding private awards from Foundations, Industry, Associations and Others

Month	RESEARCH AND OTHER		TRAINING		Total Grants
	Federal	Non-Federal	Federal	Non-Federal	
July	\$ 91,428,820	\$ 4,713,717	\$ 15,030,890	\$ 955,270	\$ 112,128,700
August	\$ 119,211,600	\$ 10,426,390	\$ 5,900,316	\$ 998,571	\$ 136,536,800
September	\$ 118,571,000	\$ 3,619,947	\$ 31,878,100	\$ 155,656	\$ 154,224,700
October	\$ 72,006,290	\$ 9,217,262	\$ 28,673,290	\$ 172,632	\$ 110,069,500
November	\$ 16,219,290	\$ 4,912,430	\$ 366,285	\$ 89,960	\$ 21,587,960
Year to Date	\$ 417,436,900	\$ 32,889,740	\$ 81,848,890	\$ 2,372,089	\$ 534,547,600

Assuming acceptance of all awards by the Board of Regents

Summary of Grant Awards

Fiscal Year 2009-2010

Private awards from Foundations, Industry, Associations and Others

Month	RESEARCH AND OTHER	TRAINING	Total Grants
July	\$ 12,968,550	\$ 64,396	\$ 13,032,950
August	\$ 13,367,960	\$ 304,231	\$ 13,672,190
September	\$ 26,139,530	\$ 239,467	\$ 26,378,990
October	\$ 29,279,300	\$ 567,213	\$ 29,846,510
November	\$ 12,100,210	\$ 201,993	\$ 12,302,200
Year to Date	\$ 93,855,540	\$ 1,377,300	\$ 95,232,850

Assuming acceptance of all awards by the Board of Regents

Summary of Contract Awards

Fiscal Year 2009-2010

Month	RESEARCH AND OTHER		TRAINING		Total Contracts
	Federal	Non-Federal	Federal	Non-Federal	
July	\$ 1,524,264	\$ 11,574,070	\$ 0	\$ 884,511	\$ 13,982,840
August	\$ 6,102,208	\$ 6,921,895	\$ 0	\$ 228,800	\$ 13,252,900
September	\$ 7,939,990	\$ 6,193,956	\$ 201,565	\$ 0	\$ 14,335,510
October	\$ 5,572,260	\$ 6,723,323	\$ 0	\$ 623,892	\$ 12,919,470
November	\$ 6,600,753	\$ 45,188,490	\$ 0	\$ 433,646	\$ 52,222,890
Year to Date	\$ 27,739,470	\$ 76,601,730	\$ 201,565	\$ 2,170,849	\$ 106,713,600

Assuming acceptance of all awards by the Board of Regents

**Report of Grant and Contract Awards
of \$1,000,000 or More**

November 2009

**Requiring action of
The Board of Regents
of the
University of Washington**

**Office of Research
Office of Sponsored Programs**

Detail of Public Grant Awards

Federal

US Department of Defense (DOD)

US Army Research Office (ARO)

To: Pedro Morais Domingos, Associate Professor
Computer Science and Engineering \$ 1,250,000

For: A Unified Approach to Abductive Inference

Eff: 6/2/2008 Classified: No

Total for US Army Research Office (ARO): \$ 1,250,000

Total for US Department of Defense (DOD): \$ 1,250,000

US Department of Health and Human Services (DHHS)

National Institutes of Health (NIH)

To: John M. Harlan, Professor
Department of Medicine \$ 2,484,291

For: Copy of Copy of BIOLOGY OF THE ARTERY WALL AND
ATHEROSCLEROSIS

Eff: 12/1/2009 Classified: No

National Center for Research Resources (NCRR)

To: Martha Somerman, Dean
Dean of Dentistry \$ 3,022,453

For: Washington Primate Center FY48 Suppl IT Consort-etc

Eff: 9/29/2009 Classified: No

Total for National Center for Research Resources (NCRR): \$ 3,022,453

Total for National Institutes of Health (NIH): \$ 5,506,744

Total for US Department of Health and Human Services (DHHS): \$ 5,506,744

National Science Foundation (NSF)

National Science Foundation (NSF)

To: Babak Amirparviz, Associate Professor
Electrical Engineering \$ 1,994,306

For: EFRI-BioSA: Second Window

Eff: 10/1/2009 Classified: No

Total for National Science Foundation (NSF): \$ 1,994,306

Total for National Science Foundation (NSF): \$ 1,994,306

Total for Federal: \$ 8,751,050

Other Government (not in Washington)

Arizona State University

To: Babak Amirparviz, Associate Professor
Electrical Engineering \$ 2,065,999

For: CEGS: Microscales Life Sciences Center

Eff: 8/1/2009 Classified: No

Total for Arizona State University: \$ 2,065,999

Total for Other Government (not in Washington): \$ 2,065,999

Total Public Grants: \$ 10,817,049

Detail of Private Grant Awards

Foundations

Bill and Melinda Gates Foundation

To: Emmanuela Gakidou, Assoc Professor \$ 1,992,601
Global Health

For: Malaria Country Progress Assessment Project

Eff: 11/1/2009

Classified: No

Total for Bill and Melinda Gates Foundation: \$ 1,992,601

Total for Foundations: \$ 1,992,601

Total Private Grants: \$ 1,992,601

Detail of Contract Awards

Federal

US Department of Health and Human Services (DHHS)

National Institutes of Health (NIH)

National Heart, Lung, and Blood Institute (NHLBI)

To: Deborah A Nickerson, Professor \$ 1,872,286
Department of Genome Sciences

For: UW NHLBI Resequencing Center (supp 2)

Eff: 9/30/2004 Classified: No

Total for National Heart, Lung, and Blood Institute (NHLBI): \$ 1,872,286

National Institute of Allergy and Infectious Diseases (NIAID)

To: Michael Gerald Katze, Professor \$ 2,280,000
Department of Microbiology

For: A Systems Biology Approach to Emerging Respiratory Viral
Diseases

Eff: 9/26/2008 Classified: No

*Total for National Institute of Allergy and Infectious Diseases
(NIAID):* \$ 2,280,000

Total for National Institutes of Health (NIH): \$ 4,152,286

Total for US Department of Health and Human Services (DHHS): \$ 4,152,286

Total for Federal: \$ 4,152,286

Associations and Non-Profits

Consortium for Ocean Leadership, Inc.

To: John R. Delaney, Professor \$ 35,887,804
School of Oceanography

For: Ocean Observatories Initiative: Regional Scale Nodes

Eff: 9/1/2009 Classified: No

Total for Consortium for Ocean Leadership, Inc.: \$ 35,887,804

Wake Forest University

To: Santica M. Marcovina, Research Professor \$ 1,348,427
Department of Medicine

For: Central Chemistry Laboratory (ACCORD)

Eff: 10/1/2000 Classified: No

Total for Wake Forest University: \$ 1,348,427

Total for Associations and Non-Profits: \$ 37,236,231

Private Industry

Pfizer US Pharmaceuticals Group

To: Vivian Oehler, Asst Professor \$ 1,321,079
Department of Medicine

For: A Phase 1 Study to Evaluate the Safety, Pharmacokinetics, and
Pharmacodynamics of PF-04449913, An oral Hedgehog Inhibitor,
administered as single agent in select hematologic Malignancies or
in combination with Dasatinib in chronic myeloid leukemia

Eff: 10/13/2009 Classified: No

Total for Pfizer US Pharmaceuticals Group: \$ 1,321,079

Total for Private Industry: \$ 1,321,079

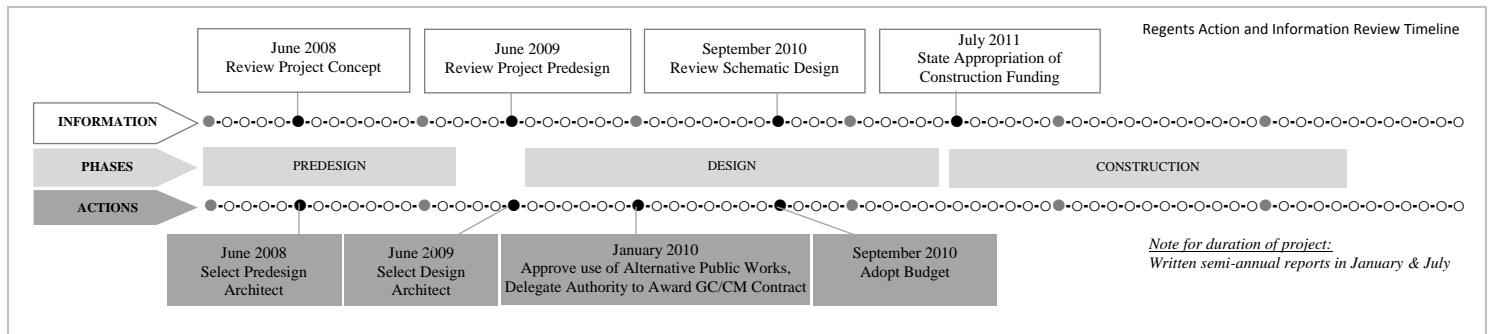
Detail of Contract Awards

Total for Private Industry:	\$ 1,321,079
Total Contracts:	\$ 42,709,596
Grand Total for all Awards	\$ 55,519,246

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

UW Bothell Phase 3 – Approve Use of Alternative Public Works and Delegate Authority to Award GC/CM Contract



RECOMMENDED ACTION:

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the Board of Regents approve the use of the GC/CM alternative public works process and that the President be delegated authority to award the GC/CM construction contract to the selected firm, subject to the contract being within the approved project budget and funding being in place.

PROJECT DESCRIPTION:

The UW Bothell Phase 3 project will provide additional academic space to support new and expanded degree programs at the Bothell campus and accommodate an increased campus capacity of at least 600 new full-time equivalent (FTE) students in approximately 71,000-85,000 square feet.

PREVIOUS ACTIONS:

In June 2008, the Board of Regents delegated authority to the President to award a pre-design contract to Mithun Architects. At its June 2009 meeting, the Board of Regents approved the award of the design contract to THA Architecture.

PROJECT SCOPE:

This project will provide for general capacity expansion, including instructional labs necessary to support new science and technology programs, a 250-seat lecture hall/performance space, and classrooms that are sized and configured to meet the needs of the lower division curriculum that was initiated in 2006.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

UW Bothell Phase 3 – Approve Use of Alternative Public Works and Delegate Authority to Award GC/CM Contract (continued p. 2)

The proposed facility will provide an efficient mix of new space to foster collaboration and meet the needs of the campus as a four-year institution. The increased capacity will facilitate the development of programs specifically targeted toward career paths in Science, Technology, Engineering and Math (STEM), and Health. Directly related infrastructure upgrades and site improvements will be considered part of the project.

PROJECT SCHEDULE AND BUDGET:

Design funding of \$5,000,000 was appropriated by the legislature in the 2009-2011 biennium. Initial efforts of the architect have been focused on an update to the Bothell campus master plan. Functional and technical programming is now underway, with schematic design scheduled to commence in April 2010.

It is anticipated that the 2011-2013 UW capital request will include construction funding for the Phase 3 project. Subject to construction funding in the 2011-2013 biennium, construction would commence in the summer of 2011, with occupancy occurring in the fall quarter of 2013.

As originally envisioned, construction funding for this project was anticipated in the 2013-15 biennium. With the expected mid-point of construction established as 2014, the total project cost for UW Bothell Phase 3 would be approximately \$68,000,000. Following completion of programming and preliminary design in the summer of 2010, a proposed project budget that reflects construction funding in the 2011-2013 biennium will be presented to the Board of Regents for approval.

CONTRACTING STRATEGY:

The recommendation of the Capital Projects Office (CPO) is to use the alternate public works contracting procedure, General Contractor/Construction Manager (GC/CM), authorized by RCW 39.10 for construction of this project. The use of a GC/CM has been absolutely critical to the success of the University's large and complex projects. During design, the GC/CM will be able to provide detailed construction scheduling, input into procedures and specifications, input into design constructability issues, coordination of construction documents, determine construction logistics and needed lay-down areas, provide detailed cost estimates and investigation of existing conditions. To help meet the overall project schedule, the GC/CM is able to bid out and start construction on early work

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

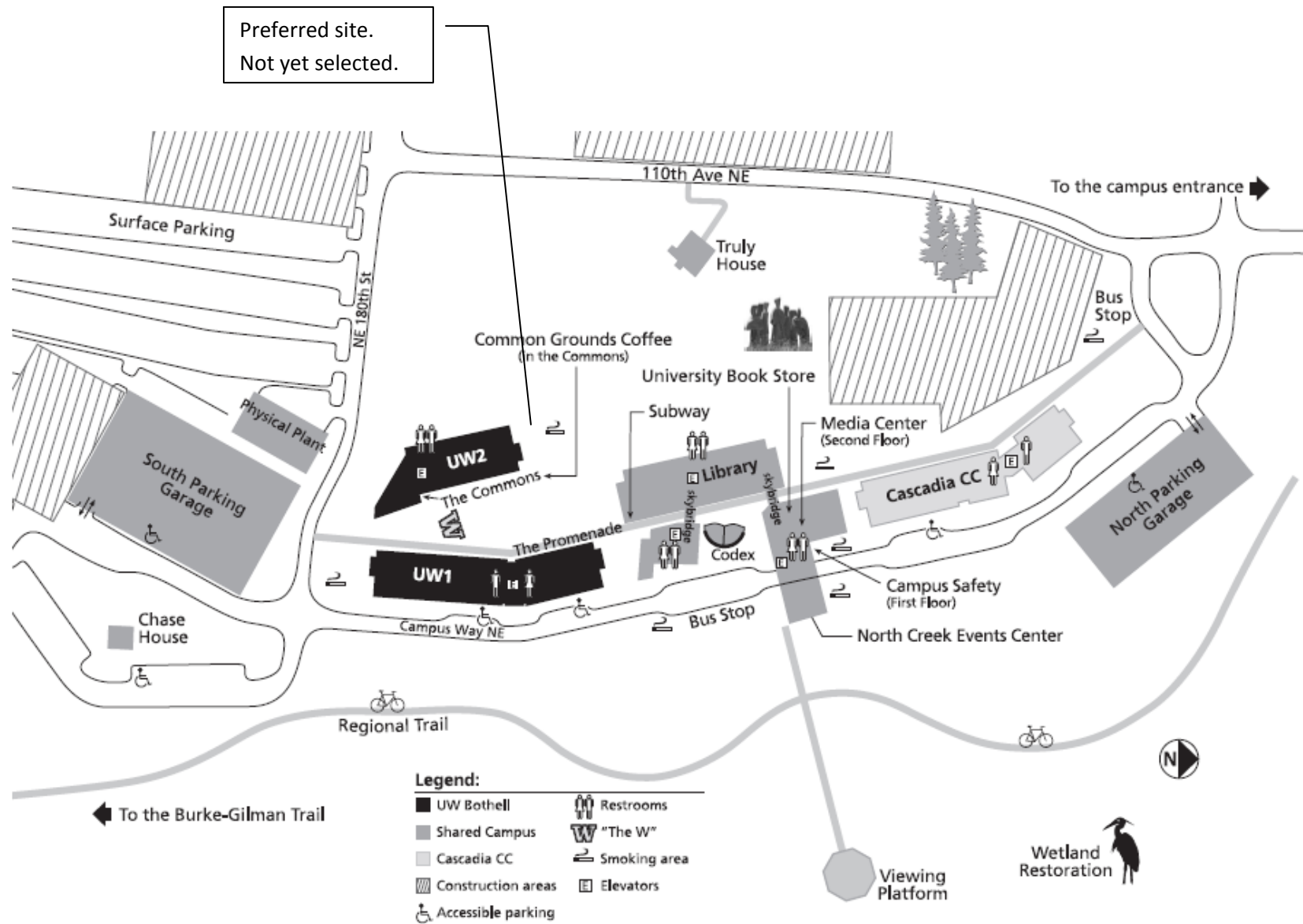
UW Bothell Phase 3 – Approve Use of Alternative Public Works and Delegate Authority to Award GC/CM Contract (continued p. 3)

packages before all of the project construction documents are 100% complete, if there are compelling reasons to do so.

CPO is continuously seeking to improve its delivery of capital projects by adopting industry best practices to the extent allowable by statute. One such practice is to form the core project team as early in the project as possible. To that end, the intent is to have a GC/CM chosen and under contract for preconstruction services concurrent with the commencement of schematic design. A construction contract will not be awarded to the GC/CM until the Board of Regents has approved the project budget and funding has been provided.

Attachment

UW Bothell campus map



Attachment 1

VII. STANDING COMMITTEES**B. Finance, Audit & Facilities Committee**Metropolitan Tract - 2010 Unico Capital Expenditure BudgetRECOMMENDED ACTION:

It is the recommendation of the Finance, Audit and Facilities Committee that the Board of Regents approve reimbursement of up to \$6.36 million in capital expenditures by Unico Properties, LLC, for capital and tenant improvements requested in its 2010 Capital Expenditure Plan for the office buildings on the Metropolitan Tract. The \$6.36 million is comprised of up to \$1.94 million for capital improvements and up to \$4.42 million for tenant improvements.

BACKGROUND:

Under the terms of the University's lease with Unico Properties, LLC, the University is required to reimburse Unico for capital and tenant improvements.

The lease with Unico requires the University to deposit an amount equal to 5% of gross revenues generated by the buildings (approximately \$1.75M in 2009) into the Metropolitan Tract New Building Fund. All capital expenditures are paid first from this account with any balance coming from the University's rental proceeds from the lease. However, Unico must obtain the University's approval for any capital improvements, and there is no obligation to utilize the New Building Fund in any given year.

The lease with Unico requires the University to reimburse Unico for tenant improvements subject to achieving agreed upon rent and return thresholds in accordance with an annual Tenant Improvement Plan consistent with "Seattle Market Standards." The University undertakes a collaborative effort with Unico each year to establish the Tenant Improvement Plan. Each lease then completed during the year is measured against the budget established as part of the Tenant Improvement Plan prior to approval of reimbursement of the tenant improvement costs.

CAPITAL IMPROVEMENTS

The recommended 2010 capital improvement reimbursement is \$1.94 million. These investments are intended to improve the quality of the buildings in order to be competitive in the leasing market, as well as enhance energy efficiency and promote sustainability. In light of the challenging leasing market, the 2010 capital improvements are focused on upgrading common areas to support leasing requirements, upgrading outdated ceiling and lighting systems, and continuing to

VII. STANDING COMMITTEES

B. Finance, Audit & Facilities Committee

Metropolitan Tract - 2010 Unico Capital Expenditure Budget (continued p. 2)

extend sprinklers into tenant premises. The Financial Center will receive a new cooling tower in 2010.

TENANT IMPROVEMENTS

The remaining \$4.42 million recommended for reimbursement is allocated to tenant improvements. This amount is based on anticipated leasing of existing vacant space and leases due to expire in 2010, and the anticipated amount of investment necessary for occupancy of this space by new tenants. However, it is likely that actual expenditures will be less than this amount unless all budgeted leasing is achieved. Budgeted leasing during 2010 is based on projected leasing of approximately 135,000 square feet and an average tenant improvement investment of \$29.00/sf.

2010	Capital Improvements	Tenant Improvements	Total Capital Expenditures
Rainier Tower & Square	435,993	1,869,550	2,305,543
Financial Center	912,938	584,020	1,496,958
IBM Building	245,696	290,315	536,011
Puget Sound Plaza	285,848	1,264,696	1,550,544
Skinner Building	39,183	410,661	449,844
Met Tract Studies	25,000		25,000
TOTAL	\$1,944,658	\$4,419,242	\$6,363,900

REVIEW AND APPROVALS

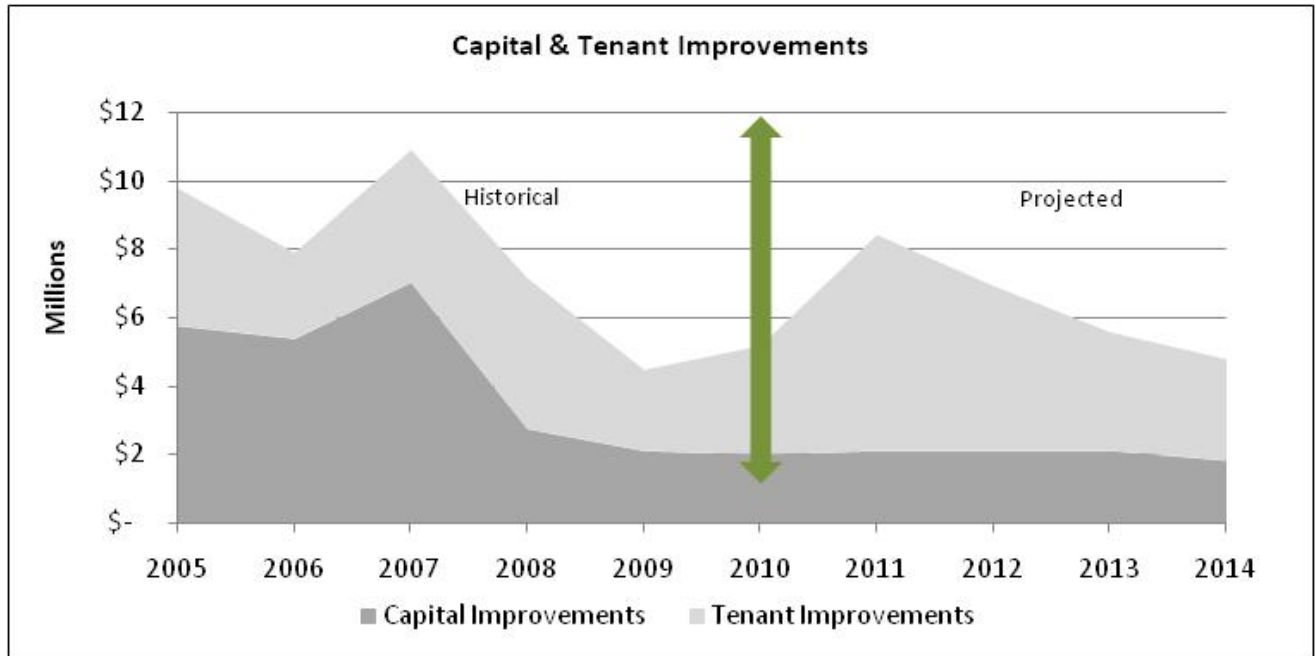
This recommendation has been reviewed by the Senior Vice President, the Associate Vice President for Treasury, and the Director of Real Estate.

Attachment

Projected Tenant Improvements and Capital Improvements

Projected Tenant Improvements and Capital Improvements

For Reference Only. The projected tenant improvements are based on leasing assumptions and known lease expirations.



ATTACHMENT

VII. STANDING COMMITTEES

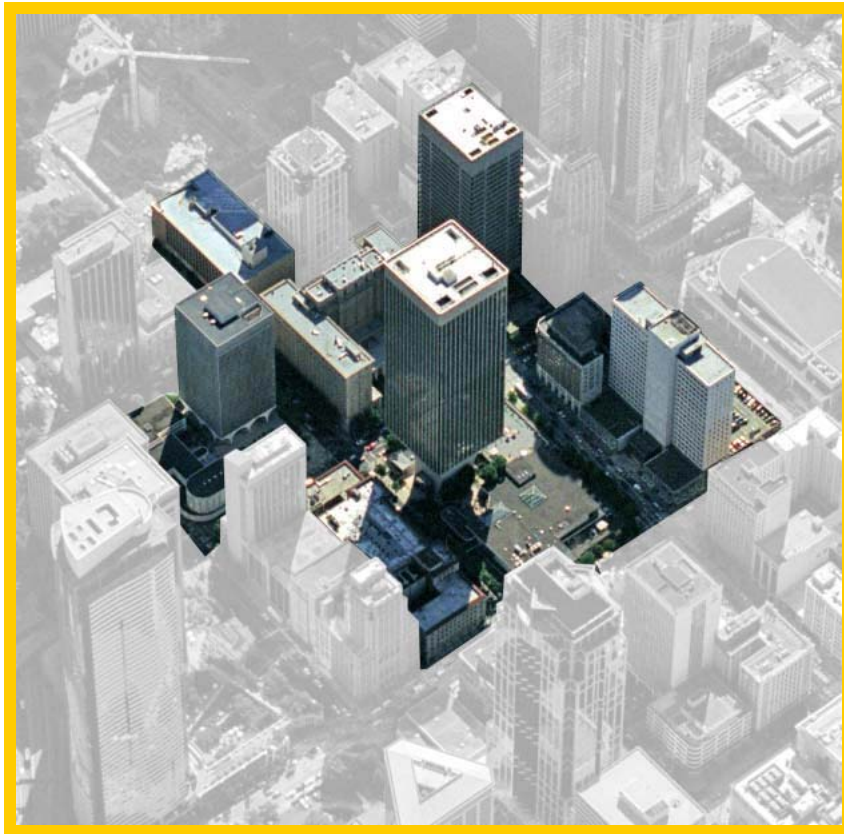
B. Finance, Audit and Facilities Committee

Metropolitan Tract Performance Report for the Quarter Ended September 30, 2009

Attachment

Metropolitan Tract performance Report for the Quarter Ended September 30, 2009

METROPOLITAN TRACT
PERFORMANCE REPORT
For the Quarter Ended
September 30, 2009

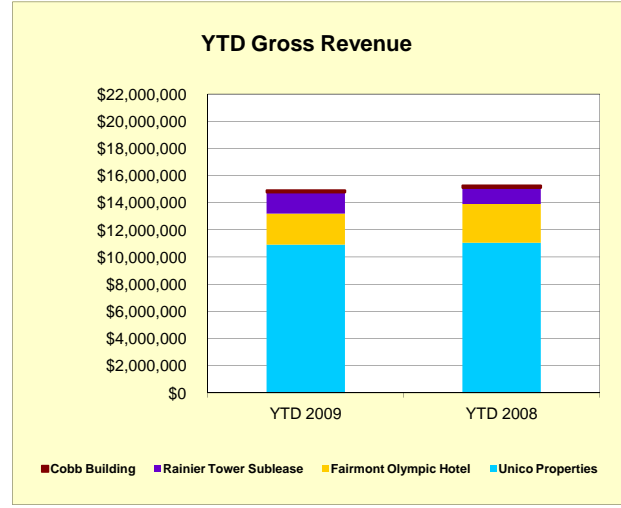
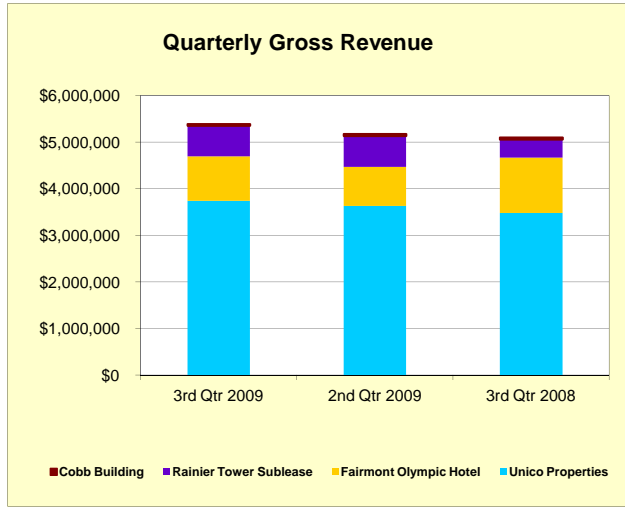


Finance, Audit & Facilities Committee

January 21, 2010

University of Washington Metropolitan Tract

CONSOLIDATED METROPOLITAN TRACT PROPERTIES
Quarterly Summary
Quarter Ending September 30, 2009



CURRENT QUARTER							
Gross Revenue to University	3rd Qtr 2009	Prior Qtr		Change from Prior Qtr		Change from Prior Year	
		2nd Qtr 2009	3rd Qtr 2008	\$	%	\$	%
Unico Properties	3,744,738	3,630,836	3,479,187	113,902	3.1%	265,551	7.6%
Rainier Tower Sublease	653,135	665,664	389,006	(12,529)	(1.9%)	264,129	67.9%
Fairmont Olympic Hotel	954,517	841,680	1,192,744	112,837	13.4%	(238,227)	(20.0%)
Cobb Building	38,500	38,500	38,500	0	0.0%	0	0.0%
TOTAL GROSS REVENUE	\$5,390,890	\$5,176,680	\$5,099,437	\$214,210	4.1%	\$291,453	5.7%

Gross Revenue to University	CALENDAR YEAR TO DATE				ANNUAL	
	YTD 2009	YTD 2008	Change from Prior YTD		Projected 2009	Actual 2008
			\$	%		
Unico Properties	10,912,820	11,054,626	(141,807)	(1.3%)	16,261,201	14,554,378
Rainier Tower Sublease	1,576,339	1,210,762	365,577	30.2%	1,543,482	1,706,280
Fairmont Olympic Hotel	2,274,541	2,845,187	(570,646)	(20.1%)	3,197,140	3,587,295
Cobb Building	154,000	154,000	0	0.0%	154,000	154,000
TOTAL GROSS REVENUE	\$14,917,699	\$15,264,576	(\$346,876)	(2.3%)	\$21,155,822	\$20,001,953

12/31/08 INTERNAL VALUATION		
	YE 2008 Gross Market Value	YE 2007 Gross Market Value
Unico Managed Properties ¹	\$366,228,000	\$501,700,000
Fairmont Olympic Hotel	\$110,842,000	\$168,300,000
Cobb Building ²	\$28,700,000	\$36,100,000
TOTAL	\$505,770,000	\$706,100,000

¹ Includes the Rainier Tower Sublease

² Represents UW's land value; not improvements

CONSOLIDATED METROPOLITAN TRACT PROPERTIES

Quarterly Summary

Quarter Ending September 30, 2009

CONSOLIDATED METROPOLITAN TRACT SUMMARY

The Metropolitan Tract generated \$5.3M of rent revenue for the University during the third quarter of 2009. When compared to the previous quarter, this is a 4.1% increase.

UNICO PROPERTIES

The third quarter's gross revenue from the Unico Properties was up 3.1% from the second quarter of 2009, generating just over \$3.7M. Office saw an increase in revenue while Retail saw a decrease of 5.9%. Parking showed no change from second quarter because it is a fixed rent amount. This quarter to quarter increase is due primarily to the timing of IBM's rent adjustment pursuant to their give-back of the 7th floor at the IBM Building. Compared to the year-ago quarter, there was an increase of 7.6% with all categories except Retail showing a gain in revenue. The slow economy has factored significantly into the sales seen by the retail sector. Year-to-date rent results also show a 1.3% decline over 2008.

RAINIER TOWER SUBLEASE

The Rainier Tower Sublease generated \$653,135 in operating cash flow during the third quarter. The year to date increase from 2008 to 2009 can be attributed to additional expenses in 2008 related to the land valuation increase in the lease as well as scheduled rent increases in the subleases.

FAIRMONT OLYMPIC HOTEL

The third quarter of 2009 saw an increase of 13.4% over second quarter with a yield of \$954,517 to the University. Despite the much needed boost in sales, the hotel saw a revenue decrease of 20% when compared to 2008. The significant increase in revenue during the third quarter is due in large part to the seasonal increase expected in the hotel industry.

INTERNAL VALUATION

The numbers presented represent the overall value of the real estate as estimated by Heitman and Urbis Partners as of 12/31/08, but do not account for the leasehold encumbrances.

MARKET UPDATE

OFFICE MARKET

Seattle's office market continues to remain stronger than most of the major national cities, with the Urban Land Institute ranking it in eighth place on the nation's top real-estate investment locations list. Despite the favorable report, vacancy has continued to rise sharply due to fewer lease deals and more than 2 million square feet of new office space being delivered to the market without tenant occupants. Unemployment has risen to 8.9%, with more small business failing and the larger employers laying off where possible in order to keep their doors open. Investors and developers are predicting similar conditions throughout 2010, almost to the point of writing off the year before it starts. Most are looking ahead to 2011 and beyond, hoping to see the economy begin to recover.

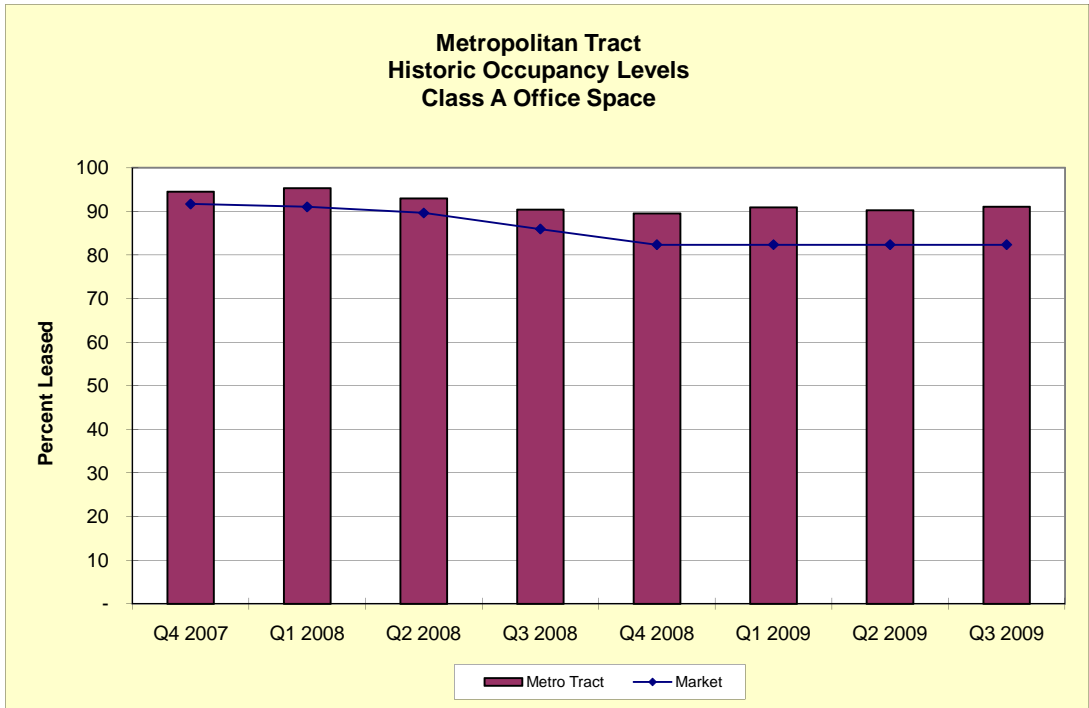
HOTEL MARKET

The biggest development in the hotel market during the third quarter of 2009 was Starwood Capital Group's decision to fill in the hole originally dug for the construction of 1 Hotel & Residences. Due to the decline in the economy, the 1 Hotel project came to a grinding halt. The hole at Second Avenue and Pine Street, originally dug in 2007, sat empty for over a year. Starwood then submitted a permit to the city requesting to fill in the hole and return the location to a parking lot. *The Seattle Times* reported that this was the first in-fill of a building request the City has ever received. The Seattle market is still being touted as one of the healthiest in the country, despite predictions that hotel construction will have dropped over 25% in 2009 and will drop another 17% in 2010. The slowing of the hotel market has begun to affect area retailers. Store owners who relied on hotel patrons for their customer base are struggling to stay open in an economy where no one is traveling, for business or pleasure. Some retailers are doing their best to weather the storm, helped by landlords giving incentive deals for them to stay, while others are closing down shop or relocating to less expensive space. The travel industry is predicted to remain slow through 2010, with no significant increase in patronage or revenue until well in to 2011.

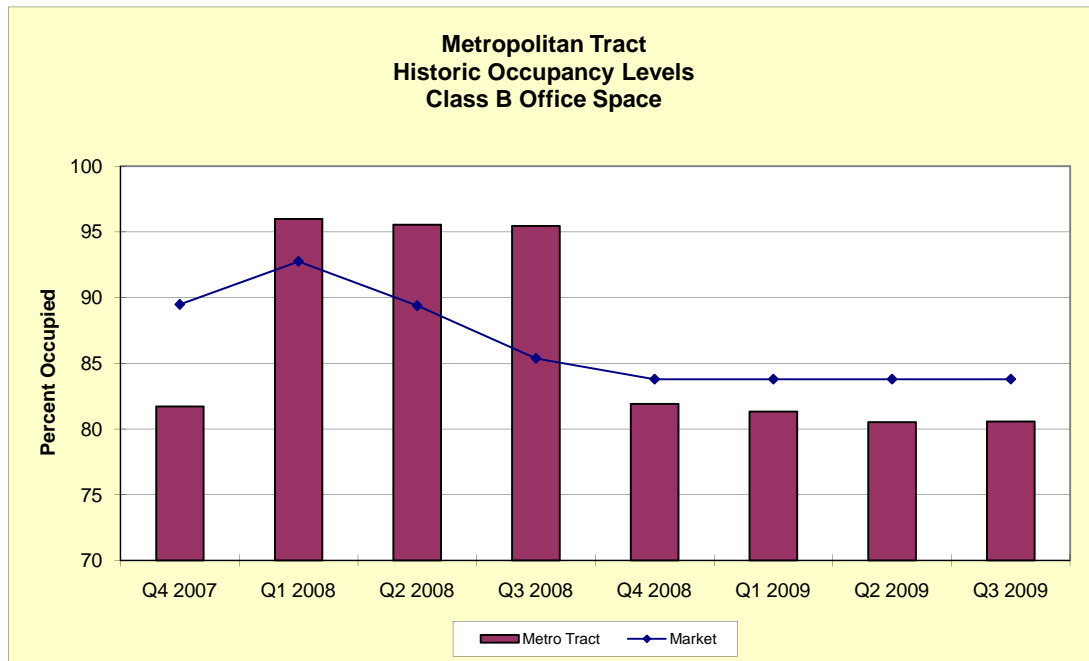
OFFICE BUILDING OCCUPANCY COMPARISON CHARTS

Last Eight Quarters

Quarter Ending September 30, 2009

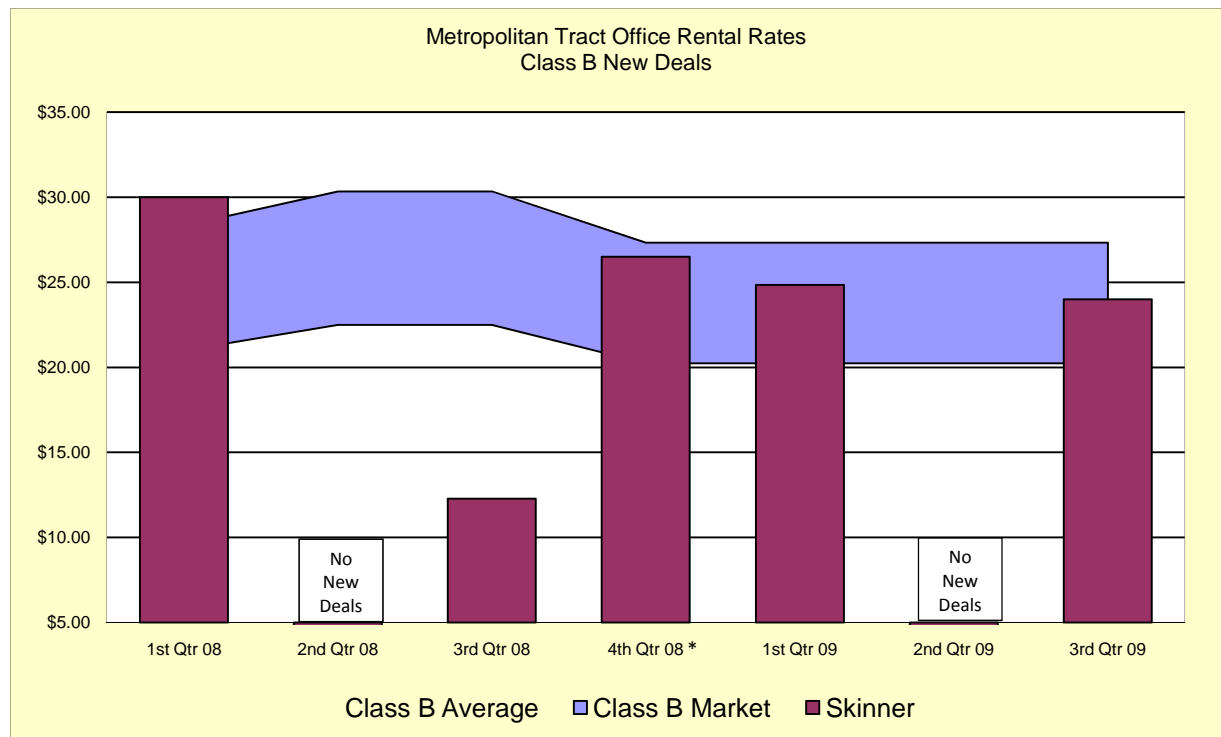
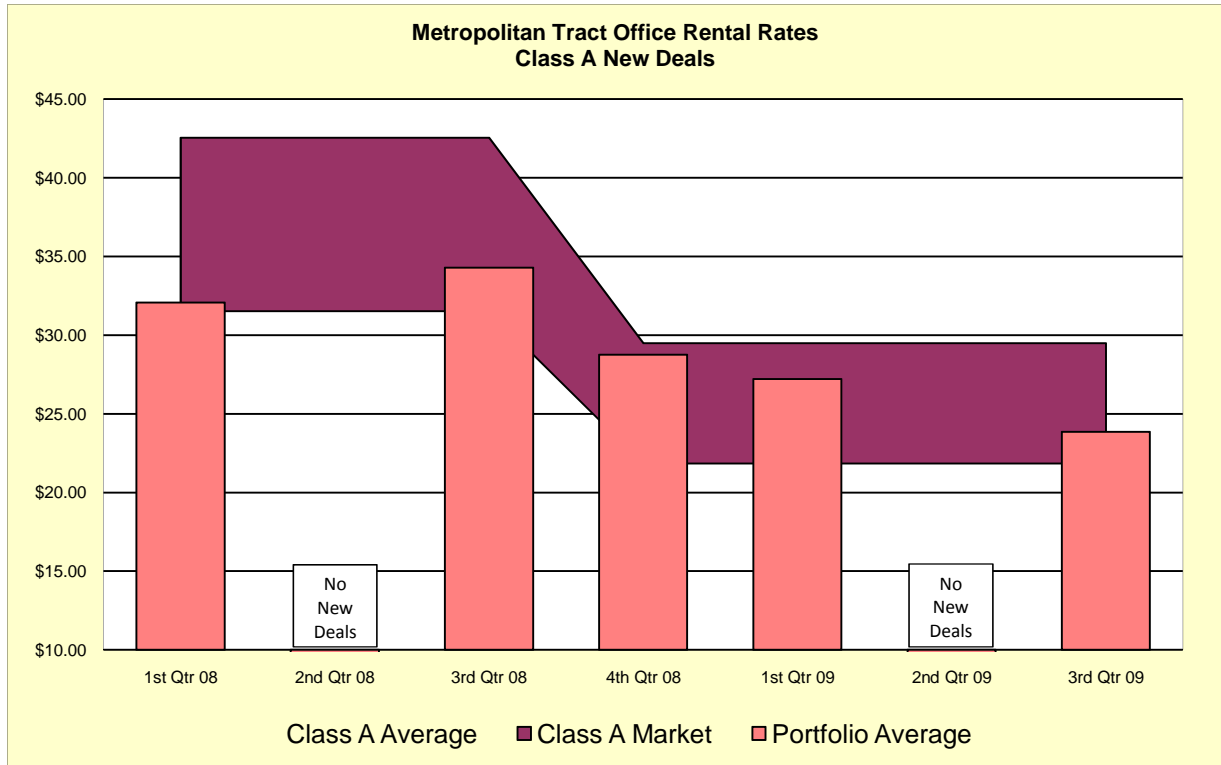


Includes Rainier Tower, Puget Sound Plaza, IBM Building and Financial Center



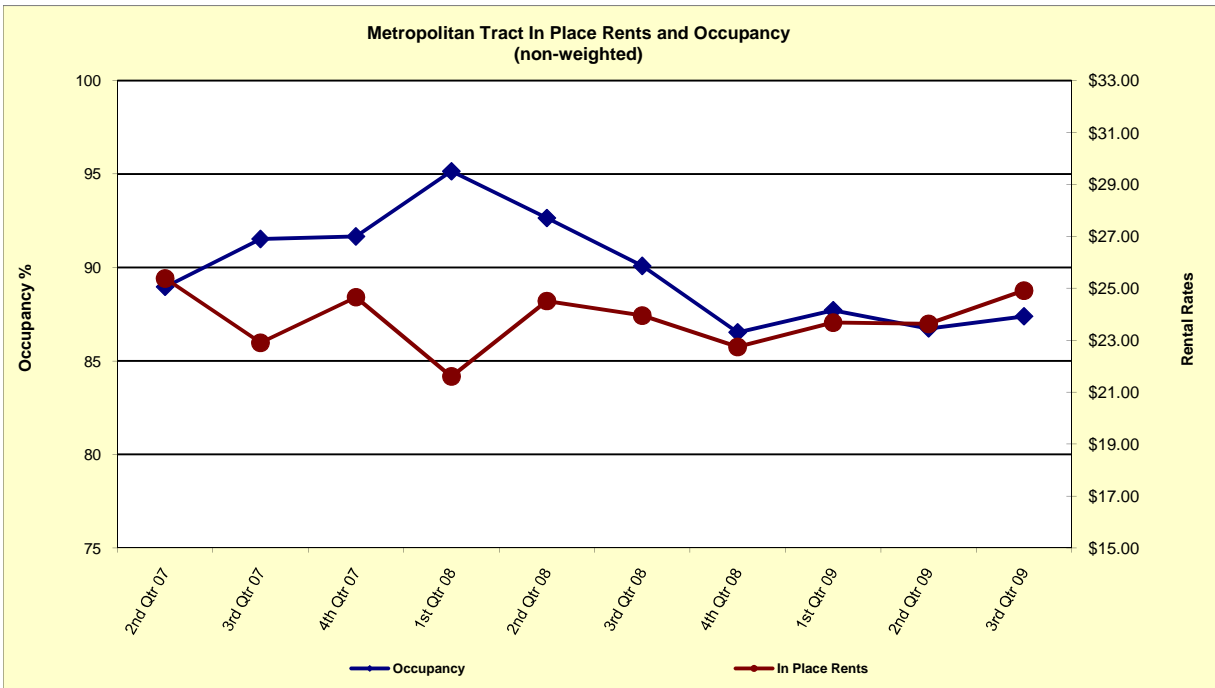
Includes Skinner Building

OFFICE BUILDING RENTAL RATE COMPARISON
 Last Seven Quarters
 Quarter Ending September 30, 2009

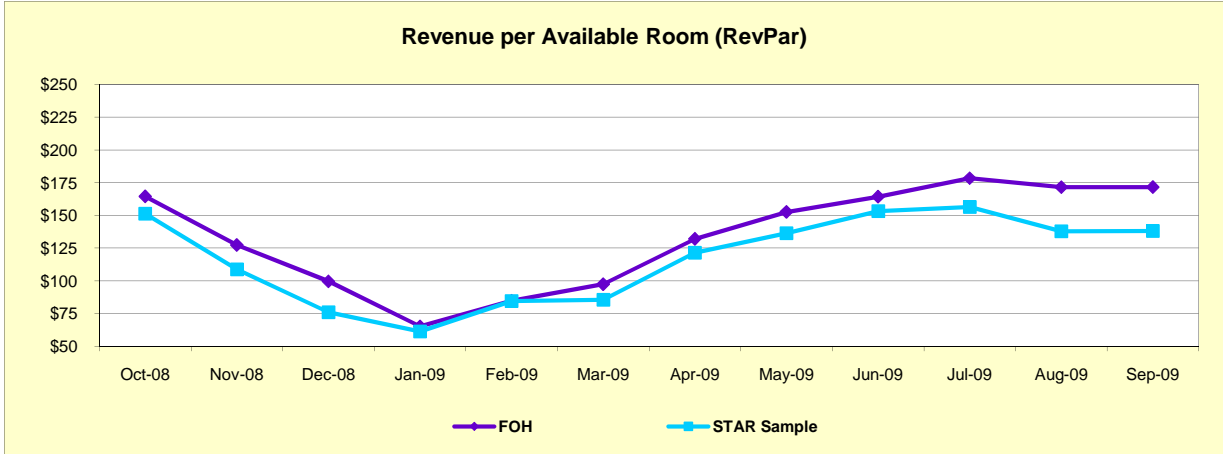


* Completed deal for basement portion of 5th Avenue Theater

University of Washington Metropolitan Tract
OFFICE BUILDING RENTAL RATE COMPARISON
 Last 3 Years
 Quarter Ending September 30, 2009

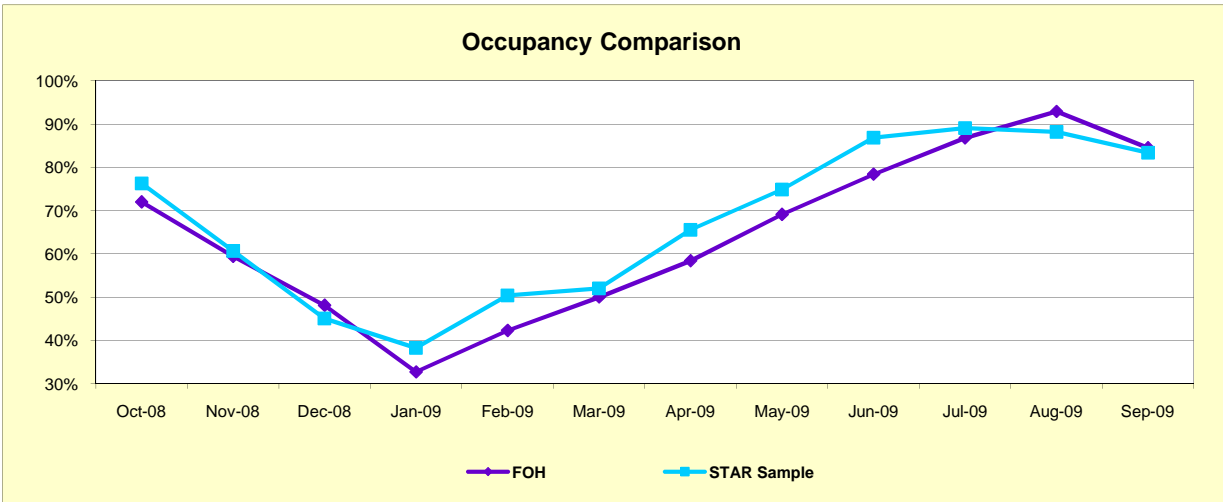


FAIRMONT OLYMPIC HOTEL MARKET COMPARISONS
Twelve Months Ended September 30, 2008



RevPar 12-months Average	Sep-09	Sep-08	Change from Prior Year \$
FOH	\$134.09	\$166.35	(\$32.27)
STAR Sample Avg <1>	\$117.55	\$140.64	(\$23.10)

RevPar Current Month Ended	Sep-09	Sep-08	Change from Prior Year %
FOH	\$171.51	\$217.89	(\$46.38)
STAR Sample Avg <1>	\$138.13	\$176.60	(\$38.47)

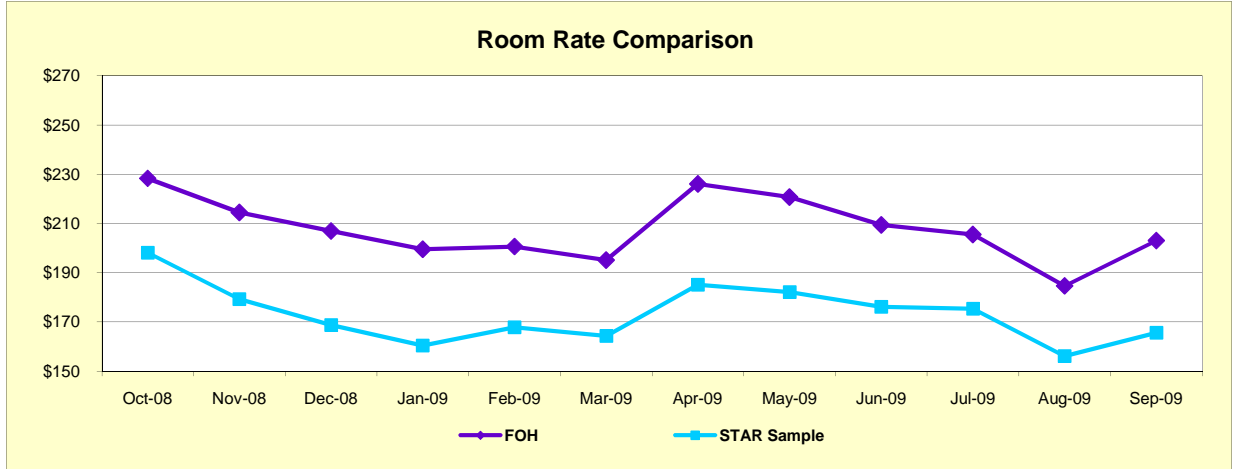


Occupancy 12-months Average	Sep-09	Sep-08	Change from Prior Year %
FOH	64.6%	69.3%	(4.8%)
STAR Sample Avg <1>	67.6%	71.9%	(4.4%)

Occupancy Current Month Ended	Sep-09	Sep-08	Change from Prior Year %
FOH	84.5%	83.9%	0.6%
STAR Sample Avg <1>	83.4%	83.4%	0.0%

<1> Information is based on Smith Travel Research (STAR) Report for the Sheraton Hotel, Hotel Vintage Park, Hotel Monaco, Seattle Alexis, Sorrento, Westin, W Hotel, Madison and Inn at the Market.

FAIRMONT OLYMPIC HOTEL MARKET COMPARISONS
Twelve Months Ended September 30, 2008



Average Daily Rate 12-months Average	Sep-09	Sep-08	Change from Prior Year \$
FOH	\$207.83	\$237.70	(\$29.87)
STAR Sample Avg <1>	\$173.24	\$193.81	(\$20.57)

Average Daily Rate Month Ended	Sep-09	Sep-08	Change from Prior Year \$
FOH	\$203.05	\$259.74	(\$56.69)
STAR Sample Avg <1>	\$165.56	\$211.71	(\$46.15)

<1> Information is based on Smith Travel Research (STAR) Report for the Sheraton Hotel, Hotel Vintage Park, Hotel Monaco, Seattle Alexis, Sorrento, Westin, W Hotel, Madison and Inn at the Market.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

UW

**Report of
Contributions**

University of Washington
University of Washington Foundation

October and November, 2009

The logo is a white, stylized letter 'W' set against a dark purple square background, located in the top right corner of the page.The background image shows a wide, paved walkway on a university campus. In the foreground, two people are walking away from the camera; one is wearing a dark jacket and the other a white shirt with a red backpack. The path is lined with large, leafy green trees. In the background, there are several large, historic-style buildings with brick and stone facades, some with Gothic architectural features like pointed arches. The sky is clear and blue.

UNIVERSITY of WASHINGTON | *Foundation*

Report of Contributions

All Areas

October 2009

Report By: University Advancement, Advancement Services, Information Management

ANNUAL PROGRESS BY CONSTITUENCY

School	Current Month				Year to Date			
	Gifts	Grants	Total	Donors ¹	Gifts	Grants	Total	Donors
UW Medicine	\$1,854,017	\$12,475,854	\$14,329,870	3,477	\$13,183,824	\$44,732,671	\$57,916,494	5,665
Arts and Sciences	\$1,037,567	\$1,907,672	\$2,945,239	2,157	\$3,330,082	\$4,157,228	\$7,487,310	4,853
Broadcast Services	\$87,069	\$0	\$87,069	299	\$485,508	\$0	\$485,508	915
Built Environments	\$34,138	\$37,908	\$72,046	174	\$140,811	\$151,408	\$292,219	385
Business School	\$196,167	\$0	\$196,167	648	\$1,538,758	\$30,000	\$1,568,758	1,726
Dentistry	\$223,517	\$0	\$223,517	263	\$902,654	\$241,323	\$1,143,977	520
Education	\$32,228	\$307,670	\$339,898	115	\$374,469	\$794,413	\$1,168,882	571
Engineering	\$797,313	\$1,790,437	\$2,587,749	924	\$3,372,962	\$3,826,067	\$7,199,029	1,705
Environment	\$168,530	\$107,967	\$276,497	132	\$509,560	\$244,840	\$754,400	319
Evans School of Public Affairs	\$39,866	\$0	\$39,866	59	\$115,337	\$0	\$115,337	128
Forest Resources	\$5,000	\$0	\$5,000	2	\$32,786	\$0	\$32,786	3
Graduate School	\$432,954	\$0	\$432,954	89	\$513,391	\$0	\$513,391	118
Information School	\$11,026	\$0	\$11,026	123	\$79,536	\$575,000	\$654,536	321
Intercollegiate Athletics	\$356,523	\$0	\$356,523	524	\$3,066,652	\$0	\$3,066,652	3,511
Law	\$40,675	\$0	\$40,675	125	\$375,604	\$2,500	\$378,104	340
Libraries	\$52,132	\$0	\$52,132	231	\$205,716	\$0	\$205,716	1,257
Minority Affairs	\$9,605	\$25,000	\$34,605	126	\$138,402	\$25,000	\$163,402	205
Nursing	\$131,074	\$0	\$131,074	139	\$1,527,457	\$51,344	\$1,578,801	412
Ocean and Fishery Sciences	\$31,104	\$228,078	\$259,182	72	\$104,445	\$2,422,214	\$2,526,659	141
Pharmacy	\$114,272	\$203,595	\$317,867	107	\$390,733	\$576,654	\$967,387	259
President's Funds	\$58,013	\$0	\$58,013	152	\$147,169	\$0	\$147,169	432
Public Health	\$30,222	\$5,555,058	\$5,585,280	136	\$222,874	\$9,162,638	\$9,385,512	267
Social Work	\$2,949	\$0	\$2,949	41	\$47,853	\$55,912	\$103,765	117
Student Affairs	\$44,303	\$0	\$44,303	285	\$659,951	\$0	\$659,951	773
Undergraduate Academic Affairs	\$17,341	\$0	\$17,341	41	\$92,457	\$0	\$92,457	191
University Press	\$3,220	\$0	\$3,220	12	\$50,570	\$0	\$50,570	30
UW Alumni Association	\$69,386	\$0	\$69,386	1,453	\$237,224	\$0	\$237,224	5,047
UW Bothell	\$5,026	\$634,100	\$639,126	41	\$70,774	\$634,100	\$704,874	123
UW Tacoma	\$27,369	\$0	\$27,369	115	\$247,598	\$0	\$247,598	320
Other University Support	\$104,442	\$0	\$104,442	244	\$466,171	\$226,331	\$692,502	520
Total	\$6,017,049	\$23,273,338	\$29,290,387	11,573	\$32,631,330	\$67,909,642	\$100,540,973	28,617

MONTHLY HIGHLIGHTS

The UW received \$29.29M in total private voluntary support (\$6.02M in gifts and \$23.27M in grants) in the current month.

Areas including UW Medicine, Dentistry, Engineering, Intercollegiate Athletics, Minority Affairs, Pharmacy, Public Health, Social Work, Student Affairs and UW Bothell are ahead of last year's year-to-date totals.

¹ Donors are defined as those entities who have a credit amount of greater than \$0.00.

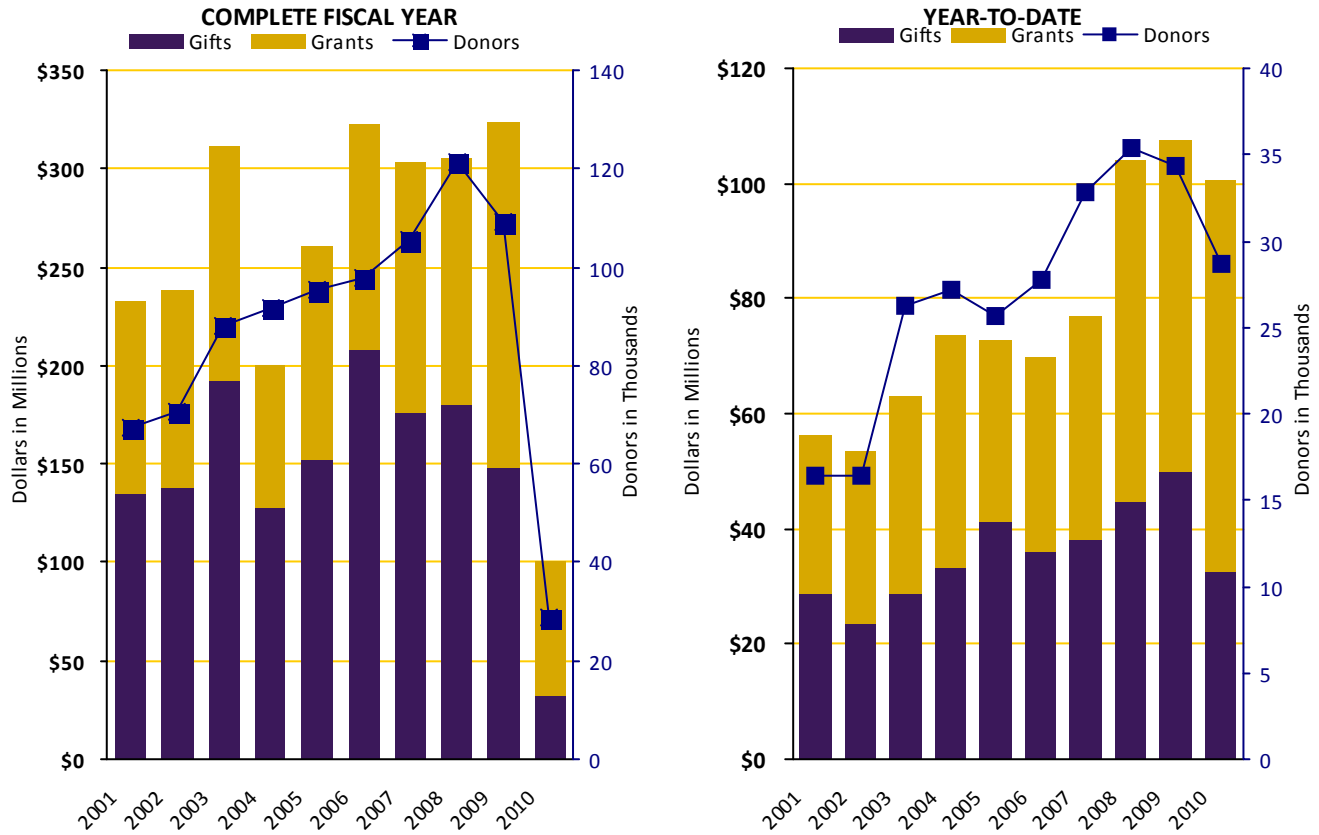
The donor total at the bottom of the chart is not a cumulative total of the rows above. The donor total is the number of unique donors who have been credited with a gift to the UW during the given time period.

DEVELOPMENT SUMMARY BY CONSTITUENCY

School	Current Month		Year to Date		Prior Year to Date		Prior Year Total	
	Total	Donors	Total	Donors	Total	Donors	Total	Donors
UW Medicine	\$14,329,870	3,477	\$57,916,494	5,665	\$52,402,877	6,194	\$156,190,821	16,799
Arts and Sciences	\$2,945,239	2,157	\$7,487,310	4,853	\$9,500,468	4,990	\$25,589,815	14,817
Broadcast Services	\$87,069	299	\$485,508	915	\$1,098,853	5,349	\$4,427,717	16,191
Built Environments	\$72,046	174	\$292,219	385	\$298,861	260	\$2,127,643	1,341
Business School	\$196,167	648	\$1,568,758	1,726	\$10,310,537	1,856	\$25,381,696	4,210
Dentistry	\$223,517	263	\$1,143,977	520	\$494,484	476	\$2,541,366	1,584
Education	\$339,898	115	\$1,168,882	571	\$1,522,425	677	\$3,724,956	1,667
Engineering	\$2,587,749	924	\$7,199,029	1,705	\$6,895,397	1,788	\$18,855,120	4,260
Environment	\$276,497	132	\$754,400	319	\$4,314,994	4	\$4,679,781	27
Evans School of Public Affairs	\$39,866	59	\$115,337	128	\$459,628	136	\$2,718,803	480
Forest Resources	\$5,000	2	\$32,786	3	\$891,560	243	\$3,451,299	1,096
Graduate School	\$432,954	89	\$513,391	118	\$916,592	100	\$1,602,622	324
Information School	\$11,026	123	\$654,536	321	\$753,323	312	\$1,679,278	609
Intercollegiate Athletics	\$356,523	524	\$3,066,652	3,511	\$2,808,344	3,207	\$15,659,243	23,317
Law	\$40,675	125	\$378,104	340	\$555,830	368	\$2,665,941	1,935
Libraries	\$52,132	231	\$205,716	1,257	\$402,373	842	\$1,171,951	5,280
Minority Affairs	\$34,605	126	\$163,402	205	\$46,136	199	\$388,492	511
Nursing	\$131,074	139	\$1,578,801	412	\$1,637,385	372	\$3,549,704	1,678
Ocean and Fishery Sciences	\$259,182	72	\$2,526,659	141	\$5,574,283	186	\$10,157,709	740
Pharmacy	\$317,867	107	\$967,387	259	\$744,454	240	\$2,098,248	1,034
President's Funds	\$58,013	152	\$147,169	432	\$1,799,755	544	\$5,761,734	1,691
Public Health	\$5,585,280	136	\$9,385,512	267	\$2,028,452	265	\$15,492,932	792
Social Work	\$2,949	41	\$103,765	117	\$61,848	157	\$1,289,956	700
Student Affairs	\$44,303	285	\$659,951	773	\$470,819	833	\$2,906,525	3,000
Undergraduate Academic Affairs	\$17,341	41	\$92,457	191	\$246,307	98	\$424,576	353
University Press	\$3,220	12	\$50,570	30	\$69,761	36	\$219,219	167
UW Alumni Association	\$69,386	1,453	\$237,224	5,047	\$313,809	6,105	\$1,001,508	19,763
UW Bothell	\$639,126	41	\$704,874	123	\$161,938	138	\$2,229,545	592
UW Tacoma	\$27,369	115	\$247,598	320	\$259,615	236	\$3,656,827	792
Other University Support	\$104,442	244	\$692,502	520	\$598,096	971	\$2,433,450	2,220
Total¹	\$29,290,387	11,573	\$100,540,973	28,617	\$107,639,204	34,295	\$324,078,477	109,083

¹ The donor total at the bottom of the chart is not a cumulative total of the rows above. The donor total is the number of unique donors who have been credited with a gift to the UW during the given time period.

FISCAL YEAR COMPARISON OF TOTAL CONTRIBUTIONS



Fiscal Year	Complete Fiscal Year				Year to Date			
	Gifts	Grants	Total	Donors	Gifts	Grants	Total	Donors
2009-2010	\$32,631,330	\$67,909,642	\$100,540,973	28,617	\$32,631,330	\$67,909,642	\$100,540,973	28,617
2008-2009	\$148,364,809	\$175,713,667	\$324,078,477	109,083	\$49,857,078	\$57,782,127	\$107,639,204	34,295
2007-2008	\$180,735,444	\$124,224,214	\$304,959,657	121,447	\$44,642,366	\$59,284,704	\$103,927,070	35,334
2006-2007	\$176,490,215	\$126,399,369	\$302,889,584	105,353	\$38,054,245	\$38,896,258	\$76,950,503	32,831
2005-2006	\$207,744,231	\$115,261,186	\$323,005,417	97,876	\$35,905,189	\$33,783,873	\$69,689,062	27,797
2004-2005	\$151,969,925	\$108,802,371	\$260,772,296	95,227	\$41,342,960	\$31,333,435	\$72,676,395	25,706
2003-2004	\$128,174,367	\$71,603,323	\$199,777,690	91,903	\$33,364,731	\$40,123,767	\$73,488,498	27,121
2002-2003	\$192,573,183	\$118,677,722	\$311,250,905	88,259	\$28,948,199	\$34,187,741	\$63,135,940	26,222
2001-2002	\$137,959,340	\$100,820,547	\$238,779,887	70,560	\$23,646,905	\$29,726,587	\$53,373,492	16,409
2000-2001	\$134,797,642	\$97,112,979	\$231,910,621	67,307	\$28,936,585	\$27,192,951	\$56,129,536	16,433

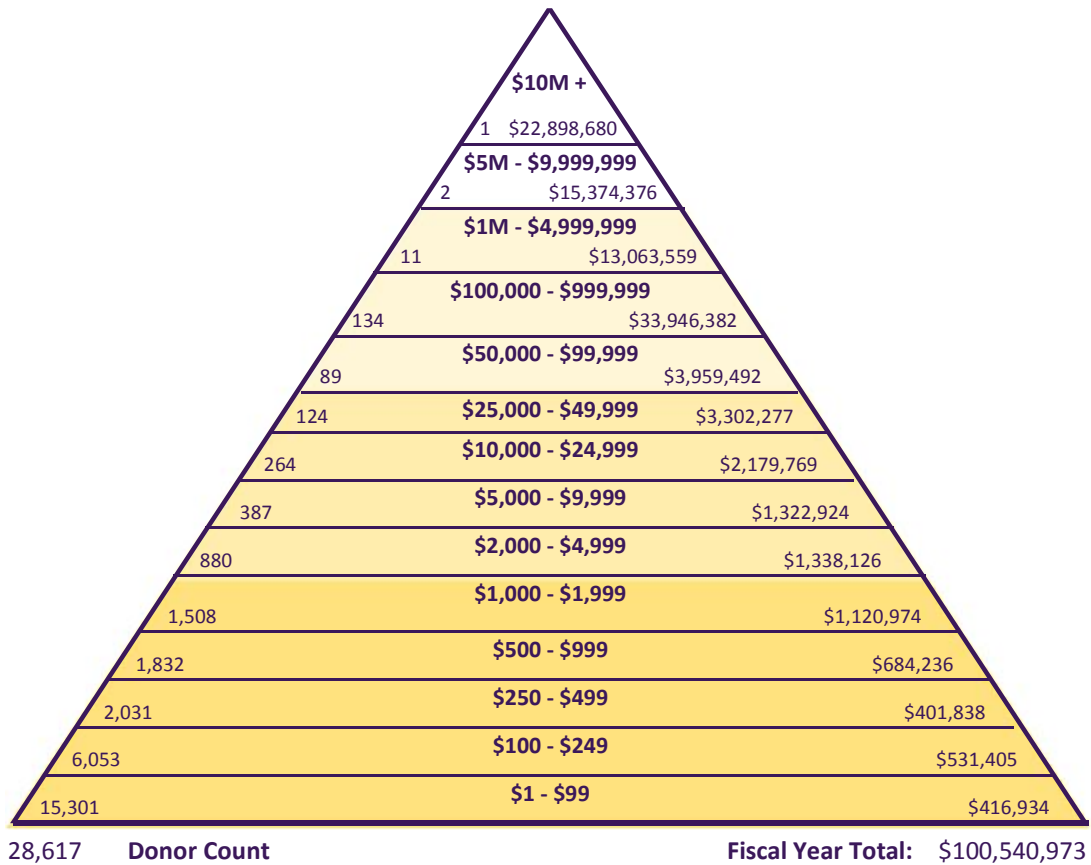
ANNUAL FUNDING THEME PROGRESS

Theme	Year to Date		
	Current Use	Endowment	Total
Student Support	\$2,496,990	\$3,763,863	\$6,260,853
Faculty Support	\$3,481,624	\$1,406,291	\$4,887,915
Program Support for Faculty and Students	\$79,276,773	\$2,162,508	\$81,439,281
Capital	\$1,512,055	\$1,970	\$1,514,025
Excellence Funds	\$6,401,920	\$36,979	\$6,438,899
Total	\$93,169,362	\$7,371,611	\$100,540,973

DEVELOPMENT ACTIVITY BY DONOR TYPE

Donor Type	Year to Date		Prior Year to Date		Prior Fiscal Year	
	Donors	Total	Donors	Total	Donors	Total
Alumni	15,849	\$7,086,794	17,054	\$12,937,792	49,981	\$40,529,614
Corporations	831	\$12,672,656	944	\$16,658,053	3,029	\$79,017,981
Family Foundations	60	\$1,487,320	62	\$4,821,250	173	\$15,996,206
Foundations	166	\$38,581,650	182	\$33,477,919	427	\$83,293,447
Non-Alumni	11,491	\$10,486,670	15,851	\$16,204,737	54,849	\$41,916,304
Organizations	220	\$30,225,883	250	\$23,539,452	624	\$63,324,926
Total	28,617	\$100,540,973	34,343	\$107,639,204	109,083	\$324,078,477

DEVELOPMENT ACTIVITY PYRAMID



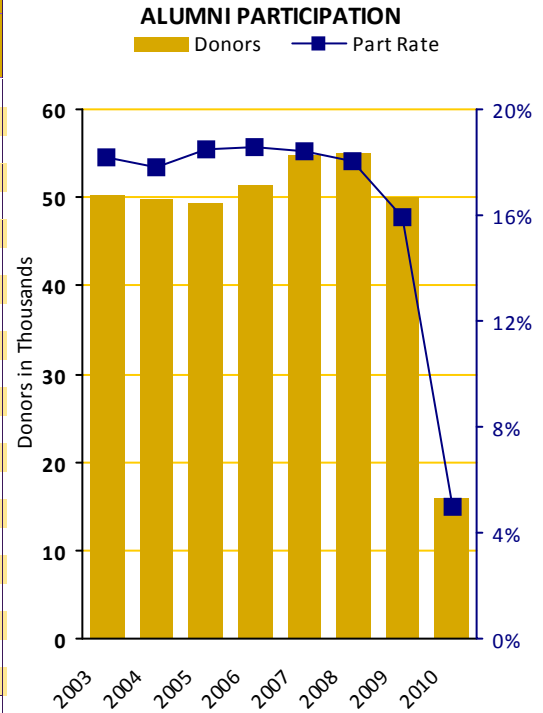
ANNUAL PROGRESS BY GIVING LEVEL

Giving Level	Alumni	Non Alumni	Family Fndns.	Corporations	Foundations	Other Orgs.	Total
\$10M +	\$0	\$0	\$0	\$0	\$22,898,680	\$0	\$22,898,680
\$5M - \$9,999,999	\$0	\$5,401,529	\$0	\$0	\$0	\$9,972,847	\$15,374,376
\$1M - \$4,999,999	\$0	\$0	\$0	\$1,106,956	\$1,136,000	\$10,820,603	\$13,063,559
\$100,000 - \$999,999	\$2,935,011	\$2,023,997	\$655,000	\$8,143,787	\$12,682,886	\$7,505,701	\$33,946,382
\$50,000 - \$99,999	\$376,299	\$514,943	\$348,083	\$994,143	\$1,003,281	\$722,741	\$3,959,492
\$25,000 - \$49,999	\$375,302	\$404,324	\$230,880	\$1,045,483	\$568,820	\$677,467	\$3,302,277
\$10,000 - \$24,999	\$553,256	\$312,561	\$185,340	\$692,796	\$159,782	\$276,035	\$2,179,769
\$5,000 - \$9,999	\$460,507	\$312,455	\$44,680	\$312,315	\$66,788	\$126,179	\$1,322,924
\$2,000 - \$4,999	\$616,493	\$378,710	\$11,000	\$200,560	\$43,098	\$88,265	\$1,338,126
\$1,000 - \$1,999	\$580,233	\$404,777	\$11,036	\$92,070	\$11,124	\$21,733	\$1,120,974
\$500 - \$999	\$348,788	\$272,563	\$1,000	\$45,771	\$7,990	\$8,125	\$684,236
\$250 - \$499	\$223,213	\$157,151	\$0	\$16,786	\$1,100	\$3,588	\$401,838
\$100 - \$249	\$334,505	\$176,927	\$300	\$16,368	\$1,581	\$1,725	\$531,405
\$1 - \$99	\$283,186	\$126,732	\$1	\$5,620	\$521	\$873	\$416,934
Total	\$7,086,794	\$10,486,670	\$1,487,320	\$12,672,656	\$38,581,650	\$30,225,883	\$100,540,973

Giving Level	Alumni	Non Alumni	Family Fndns.	Corporations	Foundations	Other Orgs.	Total
\$10M +	0	0	0	0	1	0	1
\$5M - \$9,999,999	0	1	0	0	0	1	2
\$1M - \$4,999,999	0	2	0	1	1	7	11
\$100,000 - \$999,999	16	23	5	32	35	23	134
\$50,000 - \$99,999	15	27	6	14	16	11	89
\$25,000 - \$49,999	21	29	7	31	17	19	124
\$10,000 - \$24,999	81	83	13	56	14	17	264
\$5,000 - \$9,999	148	133	11	60	15	20	387
\$2,000 - \$4,999	416	337	4	80	14	29	880
\$1,000 - \$1,999	707	688	8	78	9	18	1,508
\$500 - \$999	853	868	2	81	12	16	1,832
\$250 - \$499	1,000	958	0	58	3	12	2,031
\$100 - \$249	3,358	2,534	3	129	13	16	6,053
\$1 - \$99	9,234	5,808	1	211	16	31	15,301
Total	15,849	11,491	60	831	166	220	28,617

ALUMNI PARTICIPATION BY CONSTITUENCY (CURRENT FISCAL YEAR)

Area	Solicitable	To UW		To Unit	
		Year to Date		Year to Date	
		Donors	Part Rate	Donors	Part Rate
UW Medicine	19,127	1,209	6.32%	1,032	5.40%
Arts and Sciences	146,824	6,883	4.69%	3,279	2.23%
Business School	38,262	2,546	6.65%	1,478	3.86%
Built Environments	8,184	356	4.35%	106	1.30%
Dentistry	4,540	386	8.50%	321	7.07%
Education	18,841	1,061	5.63%	106	0.56%
Engineering	32,965	1,771	5.37%	1,436	4.36%
Evans School of Public Affairs	2,496	144	5.77%	42	1.68%
Forest Resources	4,612	121	2.62%	1	0.02%
Interdisc. Grad. Programs	1,817	97	5.34%		
Interdisc. Undergrad. Programs	258	8	3.10%		
Interscholar Programs	520	27	5.19%		
Information School	4,614	409	8.86%	293	6.35%
Law	7,905	446	5.64%	196	2.48%
School of Nursing	8,644	511	5.91%	209	2.42%
Ocean & Fisheries	4,076	170	4.17%	51	1.25%
Pharmacy	3,547	234	6.60%	133	3.75%
Public Health	4,580	255	5.57%	66	1.44%
Social Work	6,522	280	4.29%	67	1.03%
UW Bothell	6,995	255	3.65%	23	0.33%
UW Tacoma	8,050	249	3.09%	87	1.08%
Unspecified	11,600	611	5.27%		
ALL UW TOTAL	317,522	15,849	4.99%		



ALUMNI PARTICIPATION BY CONSTITUENCY (PREVIOUS FISCAL YEAR)

Area	Solicitable	To UW				To Unit			
		Year to Date		FY Total		Year to Date		FY Total	
		Donors	Part Rate	Donors	Part Rate	Donors	Part Rate	Donors	PFY Final
UW Medicine	19,109	1,057	5.53%	3,576	18.71%	592	3.10%	2,279	11.93%
Arts and Sciences	145,069	7,110	4.90%	21,029	14.50%	2,290	1.58%	6,553	4.52%
Business School	37,913	2,646	6.98%	7,343	19.37%	1,119	2.95%	2,345	6.19%
Built Environments	8,096	370	4.57%	1,410	17.42%	99	1.22%	576	7.11%
Dentistry	4,501	416	9.24%	1,237	27.48%	245	5.44%	722	16.04%
Education	18,944	1,103	5.82%	3,494	18.44%	144	0.76%	620	3.27%
Engineering	32,856	1,862	5.67%	4,994	15.20%	1,035	3.15%	2,374	7.23%
Evans School of Public Affairs	2,394	161	6.73%	529	22.10%	42	1.75%	189	7.89%
Forest Resources	4,601	165	3.59%	713	15.50%	58	1.26%	329	7.15%
Interdisc. Grad. Programs	1,719	90	5.24%	261	15.18%				
Interdisc. Undergrad. Programs	247	12	4.86%	27	10.93%				
Interscholar Programs	493	25	5.07%	74	15.01%				
Information School	4,516	394	8.72%	932	20.64%	207	4.58%	395	8.75%
Law	7,755	442	5.70%	1,792	23.11%	191	2.46%	987	12.73%
School of Nursing	8,661	511	5.90%	1,804	20.83%	183	2.11%	944	10.90%
Ocean & Fisheries	4,038	179	4.43%	681	16.86%	61	1.51%	335	8.30%
Pharmacy	3,529	208	5.89%	895	25.36%	120	3.40%	594	16.83%
Public Health	4,465	253	5.67%	803	17.98%	59	1.32%	288	6.45%
Social Work	6,428	303	4.71%	972	15.12%	81	1.26%	427	6.64%
UW Bothell	6,635	251	3.78%	799	12.04%	42	0.63%	253	3.81%
UW Tacoma	7,685	247	3.21%	793	10.32%	77	1.00%	305	3.97%
Unspecified	11,813	649	5.49%	2,160	18.28%				
ALL UW TOTAL	314,219	16,263	5.18%	49,980	15.91%				

The University of Washington Alumni Association is the broad-based engagement vehicle for University Advancement and the University of Washington. Through its strategically designed programs, the UW Alumni Association invites alumni, donors and friends to engage in the life of the UW. Research indicates that engaged alumni and friends are more inclined to support the University and its students. The UW Alumni Association is proud to develop a solid base of support for the University of Washington.



UWAA Member Giving by Constituency

School	Solicitable Alumni	Members ¹	Member Donors	Alumni Giving	
				Members	Non Members
UW Medicine	19,127	1,842	395	21.44%	3.97%
Arts and Sciences	146,824	18,489	2,382	12.88%	2.15%
Business School	38,262	7,117	1,139	16.00%	2.86%
Built Environments	8,184	1,110	119	10.72%	2.01%
Dentistry	4,540	986	187	18.97%	3.91%
Education	18,841	3,071	449	14.62%	2.42%
Engineering	32,965	4,486	681	15.18%	2.68%
Evans School of Public Affairs	2,496	280	44	15.71%	2.89%
Forest Resources	4,612	637	45	7.06%	0.88%
Interdisc. Grad. Programs	1,817	156	21	13.46%	3.07%
Interdisc. Undergrad. Progra	258	20	2	10.00%	1.26%
Interscholar Programs	520	46	4	8.70%	2.74%
Information School	4,614	746	135	18.10%	5.58%
Law	7,905	1,021	165	16.16%	2.85%
School of Nursing	8,644	1,328	175	13.18%	3.36%
Ocean & Fisheries	4,076	471	62	13.16%	1.72%
Pharmacy	3,547	613	99	16.15%	3.20%
Public Health	4,580	411	70	17.03%	3.45%
Social Work	6,522	609	75	12.32%	2.52%
UW Bothell	6,995	619	38	6.14%	1.57%
UW Tacoma	8,050	628	55	8.76%	1.19%
Unspecified	11,600	2,497	324	12.98%	1.61%
Non-Alumni		6,994	1,816	25.97%	
Total	317,522	51,604	7,769	15.06%	3.20%

Alumni Activity

1 in 3 registrants at 2009 UW events were UWAA members
1 in 12 registrants at 2009 UW events were UW donors
2 in 3 registrants at 2009 UW events were Solicitable Alumni
1 in 25 UWAA members attended a 2009 UW event
1 in 3 2008-2009 Football/Basketball season ticket holders were UWAA members
1 in 8 UWAA members were 2008-2009 Football/Basketball season ticket holders

Top 10 Membership by Class Year

Class Year	Part. Rate	Class Year	Population
1955	23.11%	2009	1,363
1953	22.31%	1971	1,101
1946	22.14%	1973	1,055
1954	22.10%	1974	1,053
1959	22.06%	1972	1,019
1956	21.53%	1970	1,019
1952	21.32%	1976	1,011
1950	21.08%	1975	987
1958	20.47%	1977	963
1951	20.45%	2008	951

Activity Participation - Rolling 3 Year Total

School ²	Participants	Part. Donors	% Donors	Alum Non-Part.	Alum Non-Par Donor	% Non-Part Donor
UW Medicine	3,032	2,490	82.12%	23,380	3,451	14.76%
Arts and Sciences	9,555	2,594	27.15%	177,466	8,099	4.56%
Built Environments	819	460	56.17%	9,626	892	9.27%
Business School	4,468	1,304	29.19%	47,679	3,334	6.99%
Dentistry	1,729	734	42.45%	3,468	359	10.35%
Education	1,482	359	24.22%	27,675	968	3.50%
Engineering	1,928	723	37.50%	43,042	3,270	7.60%
Environment	384	384	100.00%			
Evans School of Public Affairs	489	186	38.04%	2,505	386	15.41%
Graduate School	261	172	65.90%	1,370	2	0.15%
Information School	566	174	30.74%	6,439	553	8.59%
Law	1,510	710	47.02%	9,932	1,258	12.67%
Libraries	694	694	100.00%			
Nursing	715	368	51.47%	11,163	1,417	12.69%
Ocean & Fishery Sciences	263	103	39.16%	4,676	412	8.81%
Pharmacy	340	210	61.76%	4,973	775	15.58%
Public Health	440	171	38.86%	4,207	390	9.27%
Social Work	585	182	31.11%	7,863	729	9.27%
UW Bothell	507	175	34.52%	7,419	618	8.33%
UW Tacoma	301	159	52.82%	9,088	843	9.28%

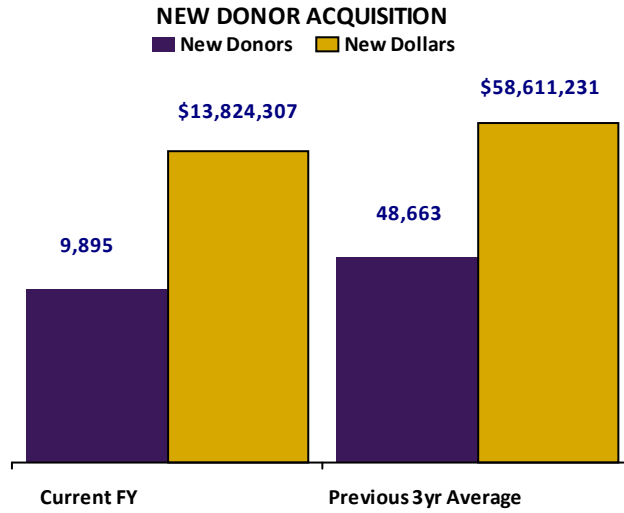
¹ Members include paid Annual Members, Lifetime Members, and TPC Level Donors

² Activity is based on positive RSVP, host, speaker, or participant at a unit event.

Source: University Advancement, Information Management Report # devrpts_s09114

Source: University of Washington Alumni Association

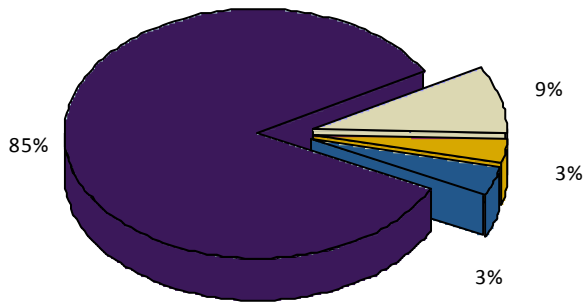
KEY PERFORMANCE INDICATORS



	New Donors	New Dollars
Current FY	9,895	\$13,824,307
Previous 3yr Average	48,663	\$58,611,231

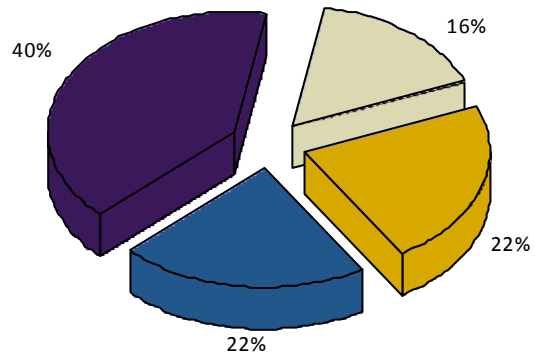
DONOR RETENTION (CURRENT FY)

- Returning Donor - Decreased Giving
- Returning Donor - Increased Giving
- Returning Donor - Same Giving
- Yet to Return as Donor



DONOR RETENTION (PREVIOUS 3YR AVG)

- Returning Donor - Decreased Giving
- Returning Donor - Increased Giving
- Returning Donor - Same Giving
- Yet to Return as Donor



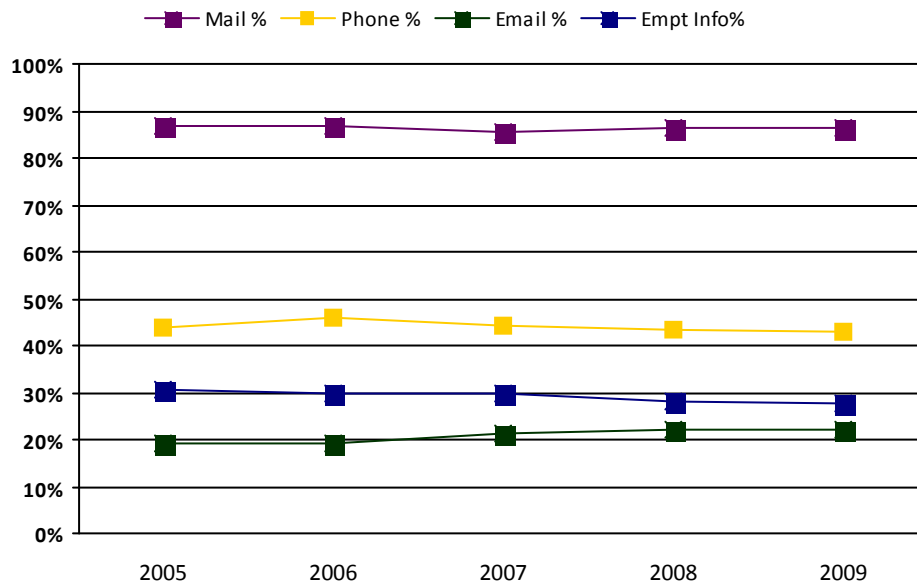
	Donors	Dollars		Donors	Dollars
Returning Donor - Decreased Giving	10,652	\$58,528,930	Returning Donor - Decreased Giving	16,124	\$65,278,811
Returning Donor - Increased Giving	3,880	\$26,558,825	Returning Donor - Increased Giving	22,023	\$178,192,234
Returning Donor - Same Giving	4,190	\$1,628,711	Returning Donor - Same Giving	21,414	\$8,169,227
Yet to Return as Donor	102,710		Yet to Return as Donor	39,919	

Donor counts may vary slightly due to donor crediting preferences.
 Three-year averages are based on fiscal year totals and do not reflect year-to-date status.

STATE OF THE DATABASE

School	Alumni	Friends	Total	Mailable	Mail %	Phonable	Phone %	Emailable	Email %	Emp Info	Emp %
UW Medicine	23,056	32,071	55,127	50,700	91.97%	29,841	54.13%	12,395	22.48%	17,065	30.96%
Arts and Sciences	181,436	16,758	198,194	166,516	84.02%	76,194	38.44%	47,481	23.96%	52,818	26.65%
Broadcast Services	0	37,211	37,211	36,443	97.94%	14,718	39.55%	4,807	12.92%	6,649	17.87%
Built Environments	9,624	1,718	11,342	10,088	88.94%	5,328	46.98%	2,827	24.93%	4,365	38.49%
Business School	47,142	3,575	50,717	42,752	84.30%	21,780	42.94%	14,975	29.53%	21,679	42.75%
Dentistry	5,114	1,490	6,604	6,123	92.72%	3,937	59.62%	1,888	28.59%	2,043	30.94%
Education	25,438	2,180	27,618	21,220	76.83%	11,250	40.73%	4,044	14.64%	7,950	28.79%
Engineering	41,414	3,691	45,105	37,221	82.52%	18,593	41.22%	10,603	23.51%	16,988	37.66%
Environment	0	3,066	3,066	2,971	96.90%	2,310	75.34%	1,093	35.65%	1,246	40.64%
Evans School of Public Affairs	2,827	478	3,305	3,088	93.43%	1,814	54.89%	1,587	48.02%	1,799	54.43%
Forest Resources	5,681	2	5,683	4,690	82.53%	2,275	40.03%	1,248	21.96%	2,254	39.66%
Graduate School	2,050	919	2,969	2,790	93.97%	1,781	59.99%	1,325	44.63%	1,378	46.41%
Information School	5,867	371	6,238	5,173	82.93%	3,208	51.43%	1,728	27.70%	2,636	42.26%
Intercollegiate Athletics	0	8,667	8,667	8,531	98.43%	6,786	78.30%	3,864	44.58%	3,516	40.57%
Law	8,959	1,569	10,528	9,593	91.12%	5,172	49.13%	4,316	41.00%	4,741	45.03%
Libraries	0	11,345	11,345	11,140	98.19%	9,307	82.04%	2,849	25.11%	2,224	19.60%
Minority Affairs	0	955	955	939	98.32%	736	77.07%	488	51.10%	530	55.50%
Nursing	10,918	1,380	12,298	10,196	82.91%	5,724	46.54%	2,739	22.27%	4,758	38.69%
Ocean and Fishery Sciences	4,977	555	5,532	4,671	84.44%	2,381	43.04%	1,274	23.03%	2,167	39.17%
Pharmacy	4,261	742	5,003	4,341	86.77%	2,500	49.97%	1,353	27.04%	2,223	44.43%
President's Funds	0	3,707	3,707	3,648	98.41%	2,942	79.36%	1,286	34.69%	1,959	52.85%
Public Health	5,254	785	6,039	5,510	91.24%	3,045	50.42%	2,403	39.79%	2,790	46.20%
Social Work	7,915	740	8,655	7,391	85.40%	3,503	40.47%	1,715	19.82%	2,917	33.70%
Student Affairs	0	8,933	8,933	8,808	98.60%	6,919	77.45%	3,317	37.13%	3,985	44.61%
Undergraduate Academic Affairs	0	1,037	1,037	1,006	97.01%	777	74.93%	487	46.96%	417	40.21%
University Press	0	568	568	546	96.13%	397	69.89%	212	37.32%	222	39.08%
UW Alumni Association	0	39,000	39,000	38,147	97.81%	24,874	63.78%	19,130	49.05%	15,199	38.97%
UW Bothell	7,916	817	8,733	8,185	93.72%	4,220	48.32%	3,107	35.58%	1,503	17.21%
UW Tacoma	9,241	840	10,081	9,424	93.48%	4,929	48.89%	3,198	31.72%	1,421	14.10%
Other University Support	953	5,960	6,913	6,599	95.46%	4,783	69.19%	3,211	46.45%	1,772	25.63%
Unspecified School	16,617	0	16,617	11,550	69.51%	6,330	38.09%	1,905	11.46%	3,720	22.39%
No Degree\Gift Affiliation	0	275,750	275,750	249,700	90.55%	148,405	53.82%	26,736	9.70%	48,481	17.58%
Total	394,561	377,766	772,327	674,510	87.33%	358,620	46.43%	135,584	17.56%	186,680	24.17%

Database Trends



The logo is a white, stylized letter 'W' set against a dark purple square background, located in the top right corner of the page.The background image shows a wide, paved walkway on a university campus. Two people are walking away from the camera in the center. The path is lined with large, leafy green trees. In the background, there are brick buildings with Gothic-style architecture, including a prominent tower. The sky is clear and blue.

UNIVERSITY of WASHINGTON | *Foundation*

Report of Contributions

All Areas

November 2009

Report By: University Advancement, Advancement Services, Information Management

ANNUAL PROGRESS BY CONSTITUENCY

School	Current Month				Year to Date			
	Gifts	Grants	Total	Donors ¹	Gifts	Grants	Total	Donors
UW Medicine	\$1,825,501	\$5,194,299	\$7,019,799	3,171	\$15,009,327	\$49,785,260	\$64,794,586	7,396
Arts and Sciences	\$516,361	\$32,797	\$549,158	1,781	\$3,846,621	\$4,190,025	\$8,036,646	6,228
Broadcast Services	\$49,428	\$0	\$49,428	589	\$533,368	\$0	\$533,368	1,108
Built Environments	\$17,332	\$0	\$17,332	98	\$158,122	\$151,408	\$309,530	471
Business School	\$444,987	\$0	\$444,987	387	\$1,983,745	\$30,000	\$2,013,745	2,066
Dentistry	\$98,546	\$0	\$98,546	194	\$1,001,200	\$241,323	\$1,242,523	671
Education	\$388,939	\$124,000	\$512,939	89	\$763,408	\$918,413	\$1,681,821	612
Engineering	\$452,080	\$346,017	\$798,097	527	\$3,825,042	\$4,172,084	\$7,997,126	2,155
Environment	\$2,050,546	\$32,493	\$2,083,038	151	\$2,565,106	\$277,333	\$2,842,439	450
Evans School of Public Affairs	\$29,900	\$300,000	\$329,900	43	\$145,237	\$300,000	\$445,237	152
Forest Resources	\$0	\$0	\$0	0	\$27,786	\$0	\$27,786	1
Graduate School	\$181,949	\$0	\$181,949	37	\$695,340	\$0	\$695,340	143
Information School	\$30,507	\$201,250	\$231,757	92	\$110,043	\$776,250	\$886,293	395
Intercollegiate Athletics	\$237,714	\$0	\$237,714	439	\$3,299,627	\$0	\$3,299,627	3,831
Law	\$154,702	\$0	\$154,702	152	\$530,307	\$2,500	\$532,807	470
Libraries	\$14,976	\$0	\$14,976	235	\$220,692	\$0	\$220,692	1,454
Minority Affairs	\$33,479	\$0	\$33,479	122	\$171,896	\$25,000	\$196,896	221
Nursing	\$72,936	\$0	\$72,936	133	\$1,550,693	\$51,344	\$1,602,037	500
Ocean and Fishery Sciences	\$86,442	\$274,118	\$360,560	60	\$190,887	\$2,696,332	\$2,887,219	174
Pharmacy	\$64,995	\$600,905	\$665,900	104	\$455,728	\$1,177,559	\$1,633,287	334
President's Funds	\$429,322	\$0	\$429,322	147	\$576,491	\$0	\$576,491	527
Public Health	\$77,172	\$1,364,098	\$1,441,270	120	\$300,046	\$10,526,735	\$10,826,782	302
Social Work	\$28,325	\$24,336	\$52,661	121	\$76,178	\$80,248	\$156,426	225
Student Affairs	\$61,260	\$0	\$61,260	236	\$721,211	\$0	\$721,211	975
Undergraduate Academic Affairs	\$199,130	\$123,276	\$322,406	43	\$291,587	\$123,276	\$414,863	224
University Press	\$59,725	\$0	\$59,725	12	\$110,295	\$0	\$110,295	37
UW Alumni Association	\$56,690	\$0	\$56,690	1,274	\$293,914	\$0	\$293,914	6,283
UW Bothell	\$25,734	\$125,000	\$150,734	84	\$96,507	\$759,100	\$855,607	175
UW Tacoma	\$625,106	\$0	\$625,106	84	\$872,704	\$0	\$872,704	349
Other University Support	\$158,800	\$0	\$158,800	175	\$625,006	\$226,331	\$851,337	634
Total	\$8,472,583	\$8,742,588	\$17,215,170	9,988	\$41,048,115	\$76,510,520	\$117,558,635	35,094

MONTHLY HIGHLIGHTS

The UW received \$17.22M in total private voluntary support (\$8.47M in gifts and \$8.74M in grants) in the current month.

Areas including Dentistry, Engineering, Information School, Intercollegiate Athletics, Minority Affairs, Pharmacy, Public Health, Social Work, Student Affairs, Undergraduate Academic Affairs, University Press and UW Bothell are ahead of last year's year-to-date totals.

¹ Donors are defined as those entities who have a credit amount of greater than \$0.00.

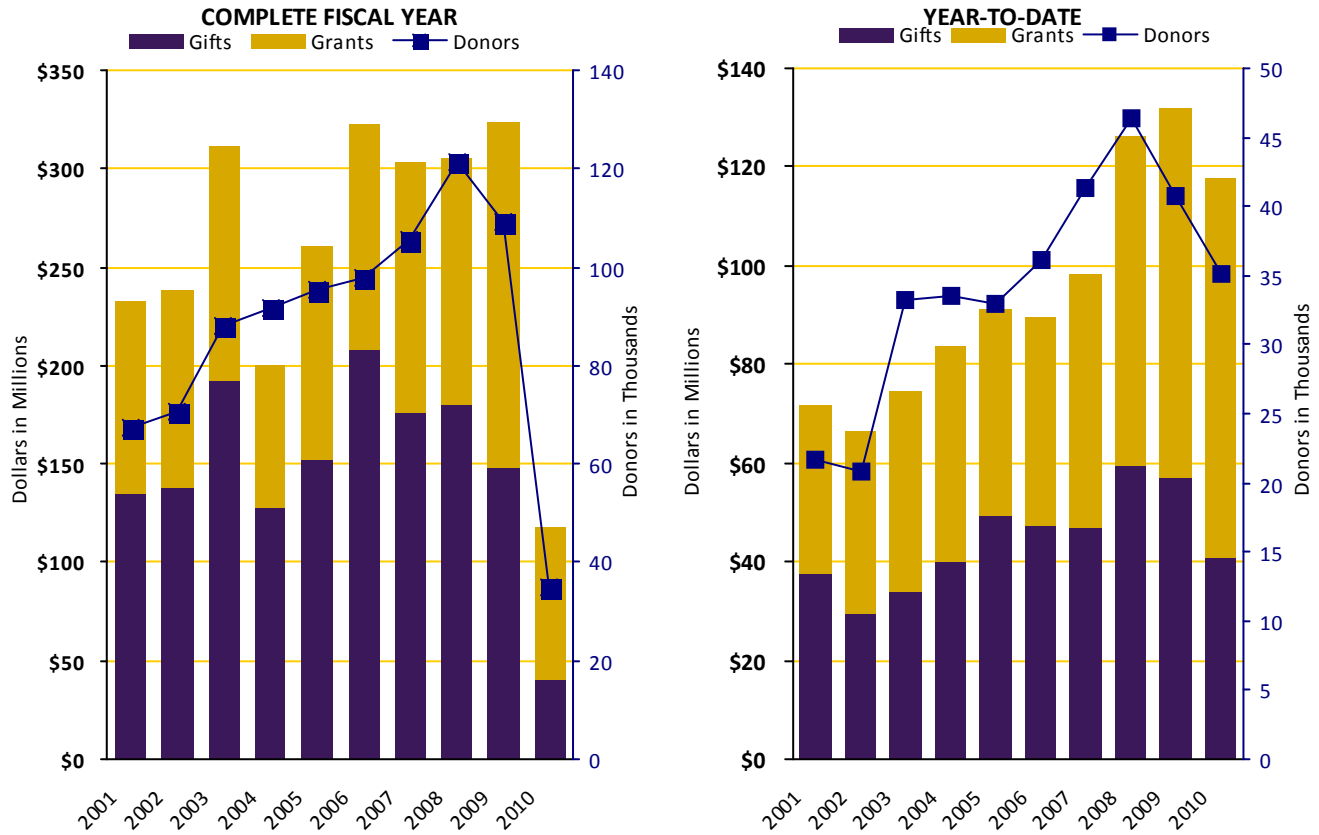
The donor total at the bottom of the chart is not a cumulative total of the rows above. The donor total is the number of unique donors who have been credited with a gift to the UW during the given time period.

DEVELOPMENT SUMMARY BY CONSTITUENCY

School	Current Month		Year to Date		Prior Year to Date		Prior Year Total	
	Total	Donors	Total	Donors	Total	Donors	Total	Donors
UW Medicine	\$7,019,799	3,171	\$64,794,586	7,396	\$65,163,364	7,467	\$156,190,821	16,799
Arts and Sciences	\$549,158	1,781	\$8,036,646	6,228	\$10,135,327	6,389	\$25,589,815	14,817
Broadcast Services	\$49,428	589	\$533,368	1,108	\$1,203,091	5,916	\$4,427,717	16,191
Built Environments	\$17,332	98	\$309,530	471	\$322,471	335	\$2,127,643	1,341
Business School	\$444,987	387	\$2,013,745	2,066	\$11,162,597	2,145	\$25,381,696	4,210
Dentistry	\$98,546	194	\$1,242,523	671	\$1,028,556	573	\$2,541,366	1,584
Education	\$512,939	89	\$1,681,821	612	\$1,827,018	723	\$3,724,956	1,667
Engineering	\$798,097	527	\$7,997,126	2,155	\$7,330,812	2,142	\$18,855,120	4,260
Environment	\$2,083,038	151	\$2,842,439	450	\$4,415,344	11	\$4,679,781	27
Evans School of Public Affairs	\$329,900	43	\$445,237	152	\$482,881	160	\$2,718,803	480
Forest Resources	\$0	0	\$27,786	1	\$968,643	287	\$3,451,299	1,096
Graduate School	\$181,949	37	\$695,340	143	\$1,063,936	112	\$1,602,622	324
Information School	\$231,757	92	\$886,293	395	\$816,524	368	\$1,679,278	609
Intercollegiate Athletics	\$237,714	439	\$3,299,627	3,831	\$2,905,104	3,355	\$15,659,243	23,317
Law	\$154,702	152	\$532,807	470	\$615,296	541	\$2,665,941	1,935
Libraries	\$14,976	235	\$220,692	1,454	\$465,745	976	\$1,171,951	5,280
Minority Affairs	\$33,479	122	\$196,896	221	\$49,339	209	\$388,492	511
Nursing	\$72,936	133	\$1,602,037	500	\$2,133,501	558	\$3,549,704	1,678
Ocean and Fishery Sciences	\$360,560	60	\$2,887,219	174	\$5,679,718	230	\$10,157,709	740
Pharmacy	\$665,900	104	\$1,633,287	334	\$869,325	292	\$2,098,248	1,034
President's Funds	\$429,322	147	\$576,491	527	\$1,809,749	614	\$5,761,734	1,691
Public Health	\$1,441,270	120	\$10,826,782	302	\$8,298,223	306	\$15,492,932	792
Social Work	\$52,661	121	\$156,426	225	\$109,482	261	\$1,289,956	700
Student Affairs	\$61,260	236	\$721,211	975	\$522,165	1,065	\$2,906,525	3,000
Undergraduate Academic Affairs	\$322,406	43	\$414,863	224	\$256,762	106	\$424,576	353
University Press	\$59,725	12	\$110,295	37	\$86,561	41	\$219,219	167
UW Alumni Association	\$56,690	1,274	\$293,914	6,283	\$393,603	7,596	\$1,001,508	19,763
UW Bothell	\$150,734	84	\$855,607	175	\$166,153	196	\$2,229,545	592
UW Tacoma	\$625,106	84	\$872,704	349	\$892,205	267	\$3,656,827	792
Other University Support	\$158,800	175	\$851,337	634	\$657,211	1,268	\$2,433,450	2,220
Total¹	\$17,215,170	9,988	\$117,558,635	35,094	\$131,830,703	40,721	\$324,078,477	109,083

¹ The donor total at the bottom of the chart is not a cumulative total of the rows above. The donor total is the number of unique donors who have been credited with a gift to the UW during the given time period.

FISCAL YEAR COMPARISON OF TOTAL CONTRIBUTIONS



Fiscal Year	Complete Fiscal Year				Year to Date			
	Gifts	Grants	Total	Donors	Gifts	Grants	Total	Donors
2009-2010	\$41,048,115	\$76,510,520	\$117,558,635	35,094	\$41,048,115	\$76,510,520	\$117,558,635	35,094
2008-2009	\$148,364,809	\$175,713,667	\$324,078,477	109,083	\$56,891,087	\$74,939,616	\$131,830,703	40,721
2007-2008	\$180,735,444	\$124,224,214	\$304,959,657	121,447	\$59,530,856	\$66,905,100	\$126,435,956	46,419
2006-2007	\$176,490,215	\$126,399,369	\$302,889,584	105,353	\$47,080,249	\$51,097,282	\$98,177,531	41,262
2005-2006	\$207,744,231	\$115,261,186	\$323,005,417	97,876	\$47,328,278	\$41,920,482	\$89,248,760	36,130
2004-2005	\$151,969,925	\$108,802,371	\$260,772,296	95,227	\$49,499,496	\$41,615,811	\$91,115,307	32,944
2003-2004	\$128,174,367	\$71,603,323	\$199,777,690	91,903	\$40,115,399	\$43,839,685	\$83,955,084	33,564
2002-2003	\$192,573,183	\$118,677,722	\$311,250,905	88,259	\$34,187,163	\$40,154,560	\$74,341,723	33,166
2001-2002	\$137,959,340	\$100,820,547	\$238,779,887	70,560	\$29,673,079	\$36,734,510	\$66,407,590	20,860
2000-2001	\$134,797,642	\$97,112,979	\$231,910,621	67,307	\$37,543,479	\$34,169,985	\$71,713,464	21,674

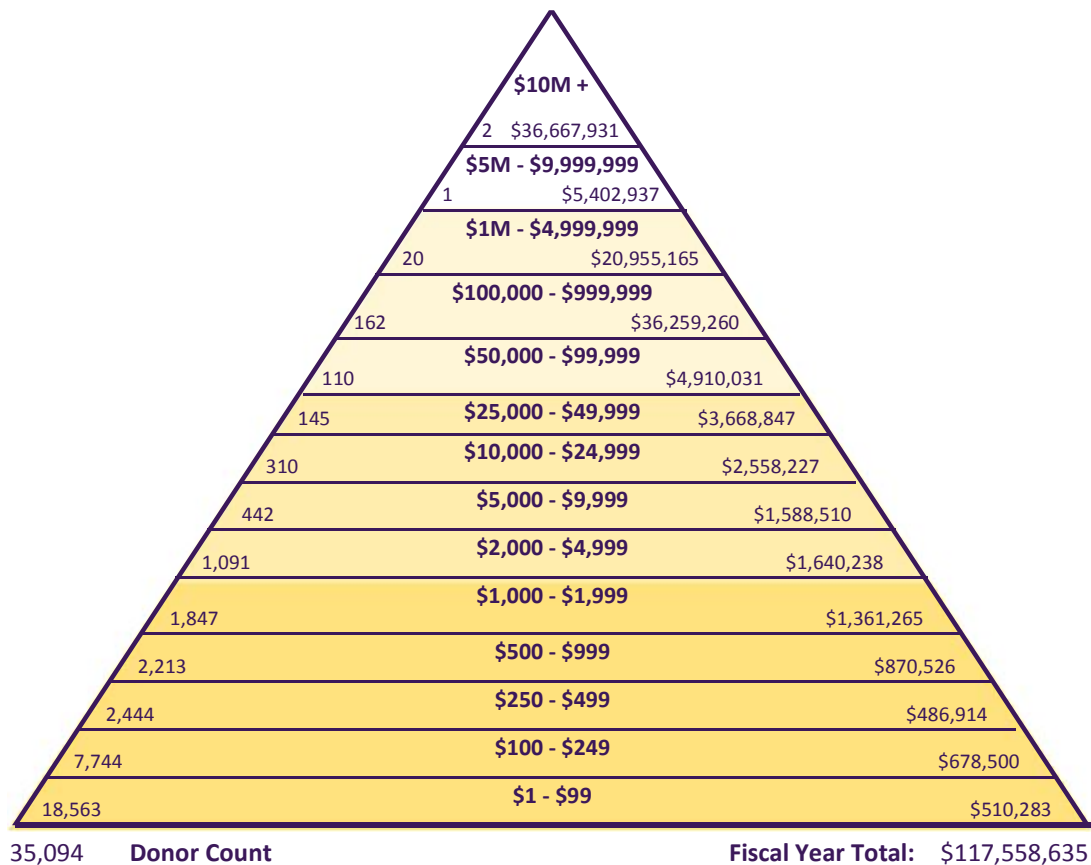
ANNUAL FUNDING THEME PROGRESS

Theme	Year to Date		
	Current Use	Endowment	Total
Student Support	\$3,024,375	\$4,267,188	\$7,291,563
Faculty Support	\$3,662,741	\$3,657,585	\$7,320,326
Program Support for Faculty and Students	\$90,121,506	\$2,944,646	\$93,066,152
Capital	\$1,943,082	\$1,970	\$1,945,052
Excellence Funds	\$7,841,954	\$93,589	\$7,935,543
Total	\$106,593,658	\$10,964,977	\$117,558,635

DEVELOPMENT ACTIVITY BY DONOR TYPE

Donor Type	Year to Date		Prior Year to Date		Prior Fiscal Year	
	Donors	Total	Donors	Total	Donors	Total
Alumni	19,297	\$8,698,683	20,582	\$14,160,339	49,981	\$40,529,614
Corporations	994	\$16,055,933	1,075	\$20,845,817	3,029	\$79,017,981
Family Foundations	74	\$5,105,154	70	\$4,983,878	173	\$15,996,206
Foundations	191	\$42,516,517	208	\$47,504,204	427	\$83,293,447
Non-Alumni	14,278	\$11,331,169	18,556	\$17,542,640	54,849	\$41,916,304
Organizations	260	\$33,851,178	281	\$26,793,825	624	\$63,324,926
Total	35,094	\$117,558,635	40,772	\$131,830,703	109,083	\$324,078,477

DEVELOPMENT ACTIVITY PYRAMID



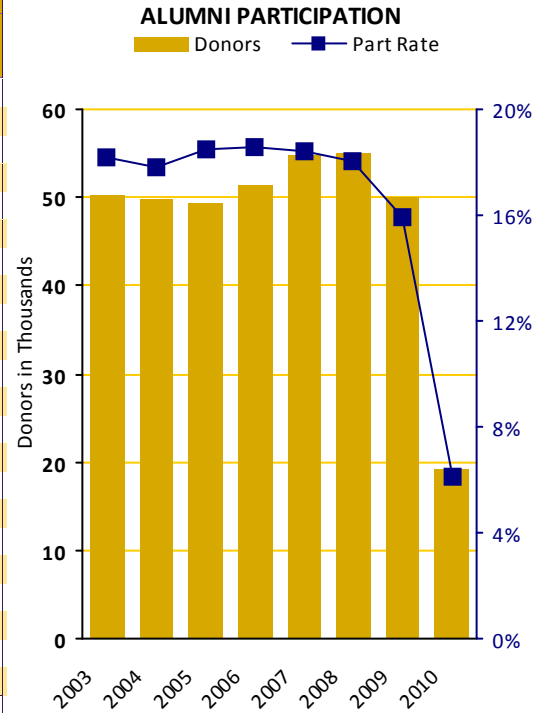
ANNUAL PROGRESS BY GIVING LEVEL

Giving Level	Alumni	Non Alumni	Family Fndns.	Corporations	Foundations	Other Orgs.	Total
\$10M +	\$0	\$0	\$0	\$0	\$24,893,648	\$11,774,283	\$36,667,931
\$5M - \$9,999,999	\$0	\$5,402,937	\$0	\$0	\$0	\$0	\$5,402,937
\$1M - \$4,999,999	\$0	\$0	\$3,000,000	\$3,323,558	\$2,106,789	\$12,524,818	\$20,955,165
\$100,000 - \$999,999	\$3,609,511	\$2,272,167	\$1,212,334	\$8,635,678	\$13,211,250	\$7,318,320	\$36,259,260
\$50,000 - \$99,999	\$557,752	\$515,043	\$348,083	\$1,321,269	\$1,312,035	\$855,849	\$4,910,031
\$25,000 - \$49,999	\$333,199	\$470,416	\$230,880	\$1,245,277	\$629,520	\$759,555	\$3,668,847
\$10,000 - \$24,999	\$655,660	\$424,493	\$220,340	\$738,796	\$215,397	\$303,541	\$2,558,227
\$5,000 - \$9,999	\$554,075	\$371,087	\$63,680	\$345,686	\$78,589	\$175,394	\$1,588,510
\$2,000 - \$4,999	\$769,708	\$493,798	\$14,000	\$230,502	\$41,460	\$90,771	\$1,640,238
\$1,000 - \$1,999	\$697,069	\$489,010	\$14,036	\$115,978	\$13,504	\$31,670	\$1,361,265
\$500 - \$999	\$474,170	\$325,878	\$1,500	\$49,607	\$9,908	\$9,464	\$870,526
\$250 - \$499	\$270,891	\$188,754	\$0	\$21,081	\$1,990	\$4,198	\$486,914
\$100 - \$249	\$430,122	\$222,202	\$300	\$21,651	\$1,881	\$2,344	\$678,500
\$1 - \$99	\$346,529	\$155,384	\$1	\$6,851	\$546	\$973	\$510,283
Total	\$8,698,683	\$11,331,169	\$5,105,154	\$16,055,933	\$42,516,517	\$33,851,178	\$117,558,635

Giving Level	Alumni	Non Alumni	Family Fndns.	Corporations	Foundations	Other Orgs.	Total
\$10M +	0	0	0	0	1	1	2
\$5M - \$9,999,999	0	1	0	0	0	0	1
\$1M - \$4,999,999	1	4	2	3	2	8	20
\$100,000 - \$999,999	23	35	7	35	38	24	162
\$50,000 - \$99,999	19	33	6	19	20	13	110
\$25,000 - \$49,999	25	35	7	38	19	21	145
\$10,000 - \$24,999	97	101	16	59	17	20	310
\$5,000 - \$9,999	173	148	14	64	16	27	442
\$2,000 - \$4,999	508	440	5	94	13	31	1,091
\$1,000 - \$1,999	863	838	10	98	11	27	1,847
\$500 - \$999	1,022	1,063	3	91	15	19	2,213
\$250 - \$499	1,207	1,145	0	72	6	14	2,444
\$100 - \$249	4,299	3,234	3	172	16	20	7,744
\$1 - \$99	11,060	7,201	1	249	17	35	18,563
Total	19,297	14,278	74	994	191	260	35,094

ALUMNI PARTICIPATION BY CONSTITUENCY (CURRENT FISCAL YEAR)

Area	Solicitable	To UW		To Unit	
		Year to Date		Year to Date	
		Donors	Part Rate	Donors	Part Rate
UW Medicine	19,127	1,599	8.36%	1,394	7.29%
Arts and Sciences	146,824	8,266	5.63%	3,885	2.65%
Business School	38,262	3,006	7.86%	1,636	4.28%
Built Environments	8,184	446	5.45%	287	3.51%
Dentistry	4,540	511	11.26%	390	8.59%
Education	18,841	1,255	6.66%	123	0.65%
Engineering	32,965	2,168	6.58%	1,618	4.91%
Evans School of Public Affairs	2,496	173	6.93%	54	2.16%
Forest Resources	4,612	169	3.66%		
Interdisc. Grad. Programs	1,817	119	6.55%		
Interdisc. Undergrad. Programs	258	9	3.49%		
Interschool Programs	520	31	5.96%		
Information School	4,614	491	10.64%	331	7.17%
Law	7,905	550	6.96%	252	3.19%
School of Nursing	8,644	627	7.25%	257	2.97%
Ocean & Fisheries	4,076	209	5.13%	59	1.45%
Pharmacy	3,547	289	8.15%	175	4.93%
Public Health	4,580	298	6.51%	77	1.68%
Social Work	6,522	367	5.63%	225	3.45%
UW Bothell	6,995	293	4.19%	30	0.43%
UW Tacoma	8,050	277	3.44%	91	1.13%
Unspecified	11,600	733	6.32%		
ALL UW TOTAL	317,522	19,297	6.08%		



ALUMNI PARTICIPATION BY CONSTITUENCY (PREVIOUS FISCAL YEAR)

Area	Solicitable	To UW				To Unit			
		Year to Date		FY Total		Year to Date		FY Total	
		Donors	Part Rate	Donors	Part Rate	Donors	Part Rate	Donors	PFY Final
UW Medicine	19,109	1,351	7.07%	3,576	18.71%	806	4.22%	2,279	11.93%
Arts and Sciences	145,069	8,652	5.96%	21,029	14.50%	3,087	2.13%	6,553	4.52%
Business School	37,913	3,051	8.05%	7,343	19.37%	1,287	3.39%	2,345	6.19%
Built Environments	8,096	453	5.60%	1,410	17.42%	140	1.73%	576	7.11%
Dentistry	4,501	500	11.11%	1,237	27.48%	292	6.49%	722	16.04%
Education	18,944	1,319	6.96%	3,494	18.44%	169	0.89%	620	3.27%
Engineering	32,856	2,208	6.72%	4,994	15.20%	1,233	3.75%	2,374	7.23%
Evans School of Public Affairs	2,394	192	8.02%	529	22.10%	48	2.01%	189	7.89%
Forest Resources	4,601	202	4.39%	713	15.50%	72	1.56%	329	7.15%
Interdisc. Grad. Programs	1,719	106	6.17%	261	15.18%				
Interdisc. Undergrad. Programs	247	14	5.67%	27	10.93%				
Interschool Programs	493	28	5.68%	74	15.01%				
Information School	4,516	463	10.25%	932	20.64%	243	5.38%	395	8.75%
Law	7,755	595	7.67%	1,792	23.11%	286	3.69%	987	12.73%
School of Nursing	8,661	680	7.85%	1,804	20.83%	307	3.54%	944	10.90%
Ocean & Fisheries	4,038	220	5.45%	681	16.86%	73	1.81%	335	8.30%
Pharmacy	3,529	259	7.34%	895	25.36%	149	4.22%	594	16.83%
Public Health	4,465	296	6.63%	803	17.98%	74	1.66%	288	6.45%
Social Work	6,428	392	6.10%	972	15.12%	151	2.35%	427	6.64%
UW Bothell	6,635	324	4.88%	799	12.04%	69	1.04%	253	3.81%
UW Tacoma	7,685	277	3.60%	793	10.32%	87	1.13%	305	3.97%
Unspecified	11,813	782	6.62%	2,160	18.28%				
ALL UW TOTAL	314,219	19,742	6.28%	49,980	15.91%				

The University of Washington Alumni Association is the broad-based engagement vehicle for University Advancement and the University of Washington. Through its strategically designed programs, the UW Alumni Association invites alumni, donors and friends to engage in the life of the UW. Research indicates that engaged alumni and friends are more inclined to support the University and its students. The UW Alumni Association is proud to develop a solid base of support for the University of Washington.



UWAA Member Giving by Constituency

School	Solicitable Alumni	Members ¹	Member	Alumni Giving	
			Donors	Members	Non Members
UW Medicine	19,127	1,861	486	26.11%	5.53%
Arts and Sciences	146,824	18,495	2,778	15.02%	2.65%
Business School	38,262	7,122	1,271	17.85%	3.56%
Built Environments	8,184	1,114	164	14.72%	2.33%
Dentistry	4,540	998	223	22.34%	5.79%
Education	18,841	3,093	510	16.49%	2.96%
Engineering	32,965	4,491	776	17.28%	3.46%
Evans School of Public Affairs	2,496	281	53	18.86%	3.57%
Forest Resources	4,612	639	64	10.02%	1.23%
Interdisc. Grad. Programs	1,817	159	25	15.72%	3.80%
Interdisc. Undergrad. Progra	258	20	2	10.00%	1.68%
Interscholar Programs	520	45	4	8.89%	3.37%
Information School	4,614	742	159	21.43%	6.77%
Law	7,905	1,026	197	19.20%	3.71%
School of Nursing	8,644	1,335	205	15.36%	4.13%
Ocean & Fisheries	4,076	474	67	14.14%	2.19%
Pharmacy	3,547	613	126	20.55%	4.16%
Public Health	4,580	413	80	19.37%	4.06%
Social Work	6,522	605	103	17.02%	3.40%
UW Bothell	6,995	606	47	7.76%	1.69%
UW Tacoma	8,050	621	57	9.18%	1.29%
Unspecified	11,600	2,516	373	14.83%	1.93%
Non-Alumni		7,484	2,144	28.65%	
Total	317,522	52,181	9,077	17.40%	4.02%

Alumni Activity

1 in 3 registrants at 2009 UW events were UWAA members
1 in 12 registrants at 2009 UW events were UW donors
2 in 3 registrants at 2009 UW events were Solicitable Alumni
1 in 25 UWAA members attended a 2009 UW event
1 in 3 2008-2009 Football/Basketball season ticket holders were UWAA members
1 in 8 UWAA members were 2008-2009 Football/Basketball season ticket holders

Top 10 Membership by Class Year

Class Year	Part. Rate	Class Year	Population
1955	23.16%	2009	1,389
1953	22.26%	1971	1,094
1959	22.19%	1974	1,055
1946	22.14%	1973	1,054
1954	22.05%	1970	1,023
1956	21.39%	1972	1,017
1952	21.28%	1976	1,015
1950	21.01%	1975	988
1958	20.59%	1977	966
1957	20.48%	2008	934

Activity Participation - Rolling 3 Year Total

School ²	Participants	Part. Donors	% Donors	Alum Non-Part.	Alum Non-Par Donor	% Non-Part Donor
UW Medicine	3,120	2,554	81.86%	23,334	3,430	14.70%
Arts and Sciences	10,139	2,683	26.46%	176,924	8,051	4.55%
Built Environments	868	491	56.57%	9,598	882	9.19%
Business School	4,614	1,346	29.17%	47,552	3,304	6.95%
Dentistry	1,745	741	42.46%	3,455	356	10.30%
Education	1,551	367	23.66%	27,602	965	3.50%
Engineering	2,089	771	36.91%	42,894	3,236	7.54%
Environment	406	406	100.00%			
Evans School of Public Affairs	510	197	38.63%	2,487	378	15.20%
Graduate School	271	177	65.31%	1,365	2	0.15%
Information School	574	174	30.31%	6,430	553	8.60%
Law	1,695	763	45.01%	9,750	1,209	12.40%
Libraries	718	718	100.00%			
Nursing	766	387	50.52%	11,117	1,404	12.63%
Ocean & Fishery Sciences	299	109	36.45%	4,643	408	8.79%
Pharmacy	342	211	61.70%	4,971	774	15.57%
Public Health	448	172	38.39%	4,200	390	9.29%
Social Work	603	188	31.18%	7,847	725	9.24%
UW Bothell	525	179	34.10%	7,403	616	8.32%
UW Tacoma	309	161	52.10%	9,080	841	9.26%

¹ Members include paid Annual Members, Lifetime Members, and TPC Level Donors

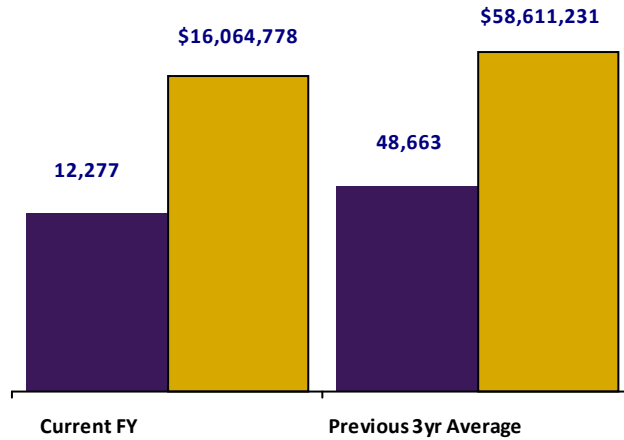
² Activity is based on positive RSVP, host, speaker, or participant at a unit event.

Source: University Advancement, Information Management Report # devrpts_s09125

Source: University of Washington Alumni Association

KEY PERFORMANCE INDICATORS

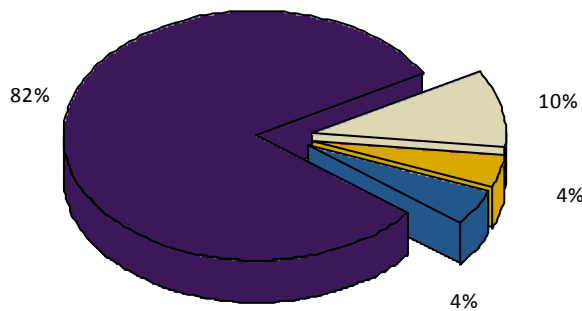
NEW DONOR ACQUISITION



	New Donors	New Dollars
Current FY	12,277	\$16,064,778
Previous 3yr Average	48,663	\$58,611,231

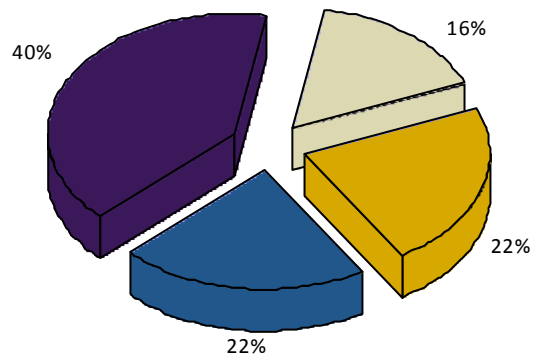
DONOR RETENTION (CURRENT FY)

- Returning Donor - Decreased Giving
- Returning Donor - Increased Giving
- Returning Donor - Same Giving
- Yet to Return as Donor



DONOR RETENTION (PREVIOUS 3YR AVG)

- Returning Donor - Decreased Giving
- Returning Donor - Increased Giving
- Returning Donor - Same Giving
- Yet to Return as Donor



	Donors	Dollars
Returning Donor - Decreased Giving	12,273	\$68,268,408
Returning Donor - Increased Giving	5,094	\$31,430,039
Returning Donor - Same Giving	5,450	\$1,795,210
Yet to Return as Donor	98,615	

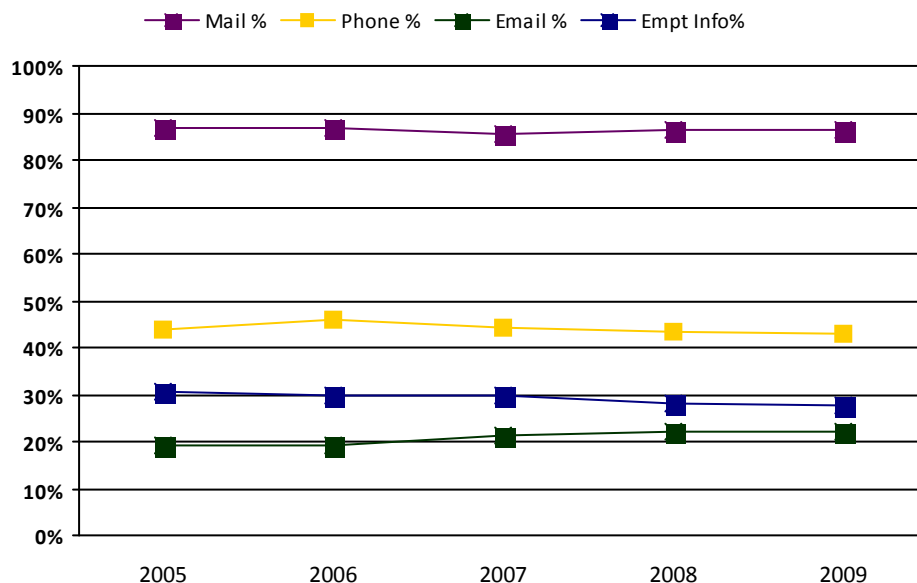
	Donors	Dollars
Returning Donor - Decreased Giving	16,124	\$65,278,811
Returning Donor - Increased Giving	22,023	\$178,192,234
Returning Donor - Same Giving	21,414	\$8,169,227
Yet to Return as Donor	39,919	

Donor counts may vary slightly due to donor crediting preferences.
 Three-year averages are based on fiscal year totals and do not reflect year-to-date status.

STATE OF THE DATABASE

School	Alumni	Friends	Total	Mailable	Mail %	Phonable	Phone %	Emailable	Email %	Emp Info	Emp %
UW Medicine	23,048	32,499	55,547	51,075	91.95%	29,936	53.89%	12,544	22.58%	17,128	30.84%
Arts and Sciences	181,414	16,955	198,369	166,606	83.99%	75,939	38.28%	47,832	24.11%	52,870	26.65%
Broadcast Services	0	37,268	37,268	36,479	97.88%	14,644	39.29%	4,872	13.07%	6,711	18.01%
Built Environments	9,624	1,623	11,247	9,994	88.86%	5,244	46.63%	2,791	24.82%	4,327	38.47%
Business School	47,134	3,607	50,741	42,754	84.26%	21,759	42.88%	15,091	29.74%	21,718	42.80%
Dentistry	5,112	1,507	6,619	6,128	92.58%	3,940	59.53%	1,904	28.77%	2,052	31.00%
Education	25,429	2,188	27,617	21,208	76.79%	11,200	40.55%	4,082	14.78%	7,951	28.79%
Engineering	41,399	3,744	45,143	37,237	82.49%	18,559	41.11%	10,676	23.65%	17,003	37.66%
Environment	0	3,096	3,096	2,996	96.77%	2,323	75.03%	1,111	35.89%	1,257	40.60%
Evans School of Public Affairs	2,826	479	3,305	3,086	93.37%	1,802	54.52%	1,591	48.14%	1,803	54.55%
Forest Resources	5,674	1	5,675	4,680	82.47%	2,265	39.91%	1,257	22.15%	2,249	39.63%
Graduate School	2,050	929	2,979	2,799	93.96%	1,773	59.52%	1,330	44.65%	1,386	46.53%
Information School	5,862	378	6,240	5,174	82.92%	3,200	51.28%	1,764	28.27%	2,640	42.31%
Intercollegiate Athletics	0	8,787	8,787	8,637	98.29%	6,870	78.18%	3,922	44.63%	3,553	40.43%
Law	8,953	1,595	10,548	9,595	90.97%	5,161	48.93%	4,368	41.41%	4,755	45.08%
Libraries	0	11,432	11,432	11,217	98.12%	9,314	81.47%	2,903	25.39%	2,256	19.73%
Minority Affairs	0	961	961	944	98.23%	737	76.69%	496	51.61%	531	55.25%
Nursing	10,916	1,392	12,308	10,188	82.78%	5,692	46.25%	2,779	22.58%	4,755	38.63%
Ocean and Fishery Sciences	4,977	557	5,534	4,671	84.41%	2,373	42.88%	1,289	23.29%	2,169	39.19%
Pharmacy	4,256	748	5,004	4,342	86.77%	2,505	50.06%	1,363	27.24%	2,224	44.44%
President's Funds	0	3,742	3,742	3,679	98.32%	2,940	78.57%	1,311	35.03%	1,971	52.67%
Public Health	5,254	792	6,046	5,510	91.13%	3,028	50.08%	2,415	39.94%	2,792	46.18%
Social Work	7,912	761	8,673	7,401	85.33%	3,502	40.38%	1,738	20.04%	2,923	33.70%
Student Affairs	0	9,013	9,013	8,877	98.49%	6,935	76.94%	3,370	37.39%	4,020	44.60%
Undergraduate Academic Affairs	0	1,055	1,055	1,022	96.87%	783	74.22%	501	47.49%	419	39.72%
University Press	0	570	570	545	95.61%	397	69.65%	215	37.72%	222	38.95%
UW Alumni Association	0	39,272	39,272	38,372	97.71%	24,858	63.30%	19,511	49.68%	15,331	39.04%
UW Bothell	7,916	842	8,758	8,208	93.72%	4,208	48.05%	3,126	35.69%	1,504	17.17%
UW Tacoma	9,241	844	10,085	9,426	93.47%	4,904	48.63%	3,220	31.93%	1,427	14.15%
Other University Support	953	6,218	7,171	6,835	95.31%	4,937	68.85%	3,362	46.88%	1,908	26.61%
Unspecified School	16,610	0	16,610	11,537	69.46%	6,298	37.92%	1,922	11.57%	3,719	22.39%
No Degree\Gift Affiliation	0	276,348	276,348	250,110	90.51%	148,304	53.67%	27,175	9.83%	49,028	17.74%
Total	394,473	379,261	773,734	675,463	87.30%	358,071	46.28%	136,931	17.70%	187,427	24.22%

Database Trends



VII. STANDING COMMITTEES**B. Finance, Audit and Facilities Committee**Actions Taken Under Delegated Authority

Pursuant to the Standing Orders of the Board of Regents, Delegation of Authority, and to the delegation of authority from the President of the University to the Senior Vice President in Administrative Order No. 1, to take action for projects or contracts that exceed \$1,000,000 in value or cost but are less than \$5,000,000, the Administration may approve and execute all instruments.

REPORT OF ACTIONS TAKEN UNDER GENERAL DELEGATED AUTHORITY –
CAPITAL PROJECT BUDGETS

1. UWMC Fire Alarm Replacement, Project No. 201412
Action Reported: Delegate Award of Construction Contract

On November 17, 2009, a construction contract was awarded to Valley Electric Co. of Mount Vernon, Inc. in the amount of \$1,357,905 for Phase II of the UWMC Fire Alarm Replacement Project No. 201412. Five bids were received; the highest bid was \$1,857,000. The budgeted construction cost was \$1,738,000.

Valley Electric is a full service electrical construction company based in Everett, WA. The company has experience as a prime contractor and subcontractor with a wide variety of project types for private and public owners. Valley Electric has worked on many University of Washington projects, including UW Tower Data Center, UW Libraries Remote Shelving at Sand Point, Bagley 95 Laser Lab, and numerous projects at the Medical Center.

This project designs and replaces the existing fire alarm system consisting of six loops currently protecting the entire University of Washington Medical Center, with the exception of the Surgery Pavilion. Also included are Wings AA, BB, and RR of the Health Sciences Center. As funding is made available, the project will install a fully addressable fire alarm system that is fully integrated with the existing fire alarm system components and building infrastructure (HVAC, elevators, etc.) systems. Phase I, which has been completed, consisted of the complete design of the entire replacement system and the installation of two of the loops. This construction contract is for Phase II which will replace the last four loops.

Construction activities for Phase II began in December, 2009 with completion anticipated in August, 2010.

The project budget is \$3,940,000. Funding of \$2,747,500 is provided by the University of Washington Medical Center, and the remaining \$1,192,500 is provided by capital safety budget funds through Environmental Health and

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority (continued p. 2)

Safety. Budget and forecasts below include both Phase I and Phase II costs. Due to favorable bid results, this project is now being forecasted at a savings of \$355,000.

Budget Summary:	Current Apprv'd Budget	Forecast Cost At Completion
Total Consultant Svcs	\$455,263	\$459,039
Total Construction Cost*	\$2,867,352	\$2,472,011
Other Costs	\$292,237	\$328,802
Project Administration	\$325,148	\$325,148
Total Project Budget	\$3,940,000	\$3,585,000

* Includes construction contract amount, contingencies and state sales tax.

2. UWMC 3NN/3NE Otolaryngology Renovation, Project No. 202995 Action Reported: Delegate Award of Construction Contract

On December 16, 2009, a construction contract was awarded to Lease Crutcher Lewis in the amount of \$556,707 for Phases II through IV of the UWMC 3NN/3NE Otolaryngology Renovation project. This contract amount includes the base bid plus alternate two. Nine bids were received for this project; the highest base bid was \$685,000. The budgeted construction cost for this contract was \$581,000.

Lease Crutcher Lewis, formed in 1989, is a local general contractor with a strong project portfolio in constructing pharmaceutical, bio-technology laboratory, and healthcare facilities. In recent years, the company has been partnering with Swedish Hospital and Fred Hutchinson Cancer Research Center in delivering high profile projects successfully.

The UWMC is consolidating the Otolaryngology and Eye Clinic located on the 3rd floor to improve patient care delivery services and optimize efficiency. The project consists of interior finishes upgrade, consolidation of patient waiting areas, addition of new hearing booths, and creation of new eye exam rooms. The project is phased to maintain existing operations. Project delivery is through a combination of Job Order Contract (Phase I) and competitive bid process (Phases II thru IV) to meet schedule demand.

The budget forecast based on the bid results is \$1,374,585. The approved budget is \$1,400,000.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority (continued p. 3)

The construction activities for Phase II thru IV will begin in January 2010 with completion anticipated in January 2011. Occupancy is expected in February 2011.

Budget Summary:	Current Approved Budget	Forecast Cost At Completion
Total Consultant Services	\$209,948	\$209,948
Total Construction Cost*	\$1,064,331	\$1,041,924
Other Costs	\$14,512	\$11,504
Project Administration	\$111,209	\$111,209
Total Project Budget	\$1,400,000	\$1,374,585

* Includes construction contract amount, contingencies and state sales tax.

3. Hutchinson Pool Decommission & Program Change Project No. 203052 Action Reported: Select Architect, Adopt Budget

On December 1, 2009, an architectural agreement was awarded to NAC Architecture, for the Hutchinson Pool Decommission & Program Change project under their existing Master Term for Architectural Services contract. The agreement amount is \$104,041 for basic services versus a budget value of \$189,864 for design consultants. The balance of the design budget is intended for Hazardous Materials consultant, previously completed pre-design services and a future contract for Construction Administration.

NAC Architecture (formerly Northwest Architectural Company) was formed in Spokane, Washington, in 1979 and they opened their Seattle office in 1983. NAC Architecture has a successful track record of higher education and medical facility projects as well as a broad background in high profile historic preservation projects.

The scope of this project includes the decommissioning of the existing swimming pool at Hutchinson Hall, along with the removal of all pool support equipment and systems. The existing locker/shower areas, pool area and other ancillary spaces will be converted into a new Drama Scene Design Studio of the UW School of Drama.

The project budget is established at \$1,665,000. Funding of \$1,665,000 is provided from the following source budgets: \$710,000 Provost Office Account Program Renewal Funding, \$585,000 Arts & Sciences Minor Capital Repair Fund, and \$370,000 Student Life and Student Life/Housing and Food Services.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority (continued p. 4)

Budget Summary:	Current Approved Budget	Forecast Cost At Completion
Total Consultant Services	\$189,864	\$189,864
Total Construction Cost*	\$1,318,837	\$1,318,837
Other Costs	\$26,719	\$26,719
Project Administration	\$129,580	\$129,580
Total Project Budget	\$1,665,000	\$1,665,000

* Includes construction contract amount, contingencies and state sales tax.

4. Campus Chilled Water, Pressure Independent Control (PIC) Valve ESCO -Phase II Project No. 202695
Action Reported: Appoint Engineer, Establish Budget, and Delegate Award of Construction Contract

On October 16, 2009, agreements for design and construction services under an existing Energy Services Company (ESCO) contract were awarded to McKinstry Essention (McKinstry) via the inter-agency agreement with the state of Washington, Department of General Administration. The total value of the ESCO contracts is \$4,666,012 for the Campus Chilled Water, PIC Valve ESCO – Phase II project.

McKinstry, established in Seattle in 1960, is one of the leading mechanical design, construction and facility management services firms in the Pacific Northwest. Recent campus projects totaling over \$30 million completed by McKinstry include Benjamin Hall for Interdisciplinary Research and the Fisheries Teaching and Research Center.

This project will replace 147 old inefficient building cooling coil control valves in 38 campus buildings with new energy efficient PIC valves and allow removal of existing energy wasting bypass valves. This will reduce chilled water loop pump energy use and reduce cooling tower fan energy use. Improved control of the chilled water system will reduce the number of chillers required and create spare capacity in the system. The project anticipates a Seattle City Light energy conservation incentive rebate of \$1,074,702, and annual electricity utility cost savings of \$480,428. The project will result in a carbon reduction equivalent of 4,796 metric tons. The anticipated life of the improvement is 20 years.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority (continued p. 5)

The project budget is \$4,910,369. The project will be funded with bond proceeds secured by the Washington State Treasury, State Agency Lease Purchase Program and repaid through future utility cost savings over the ten year term of the loan.

Budget Summary:	Current Approved Budget	Forecast Cost At Completion
Total Consultant Svcs	\$18,900	\$18,900
Total Construction Cost*	\$4,666,012	\$4,666,012
Other Costs	\$16,100	\$16,100
Project Administration	\$209,357	\$209,357
Total Project Budget	\$4,910,369	\$4,910,369

* Includes construction contract amount, contingencies and state sales tax.

REPORT OF ACTIONS TAKEN UNDER SPECIFIC DELEGATED AUTHORITY –
CAPITAL PROJECT BUDGETS

1. Ocean Observatories Initiative Regional Scale Nodes Primary
Infrastructure Project
Action Reported: Award Design-Build Contract

On November 5, 2009, a design-build contract was awarded to L3 Communications MariPro for the Ocean Observatories Initiative (OOI) Regional Scale Nodes (RSN) Primary Infrastructure Project. The value of the design-build contract is \$76,639,938. Authority to award the contract was delegated by the Board of Regents to the President in October 2009.

L-3 Communications MariPro, Inc. is a subsidiary of L-3 Communications Corporation, a Fortune 500 company with a diverse product portfolio used across aerospace and defense platforms in support of the Department of Defense, Department of Homeland Security and the intelligence community. L-3 Communications MariPro has specific expertise in complex cable sensor systems design and marine installations worldwide. L3 Communications MariPro was selected from among five qualified bidders under the guidelines of the Washington State Alternative Public Works design-build statute.

The RSN will be a cabled ocean observatory in the northeast Pacific Ocean, which will be used to conduct transformational, multidisciplinary ocean science. Funded by the National Science Foundation (NSF), the RSN project is under the direction of the Consortium for Ocean Leadership (OL). In March 2007, the

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority (continued p. 6)

University of Washington was named by OL as the Implementing Organization (IO) for the RSN project. As the RSN IO, the University will develop, design and construct the RSN primary infrastructure.

The RSN will instrument two areas of the Juan de Fuca tectonic plate in the Northeast Pacific Ocean. The Canadian government's NEPTUNE (Northeast Pacific Time-series Undersea Networked Experiments) array is currently being installed on the northern third of the same plate. Together these two systems will monitor the Juan de Fuca plate to allow the science community to conduct experiments. Permanent electro-optical seafloor cables will connect seafloor nodes at two locations and will provide power and high bandwidth for sensors, instruments, and underwater vehicles. This high power and bandwidth capability will allow experimental access from below, on the seafloor, within the water column, and across the air-sea interface. The major component of the construction efforts will be the RSN Primary Infrastructure contract. The Primary Infrastructure consists of the cable, power feed, communications, and seafloor distribution nodes.

The total project budget for the RSN project is \$165.6M, as shown in the table below. As approved by NSF, the University will receive direct funding of \$127.3M to cover the RSN budgeted costs. OL will retain the contingency funds of \$38.3M, earmarked to the RSN project, for release as necessary.

Budget Summary:	Current Approved Budget	Forecast Cost At Completion
Project Management	\$13,700,000	\$13,700,000
System Engineering	\$4,000,000	\$4,000,000
Sub-System Development	\$20,600,000	\$20,600,000
*Implementation	\$127,300,000	\$127,300,000
Total Project Budget	\$165,600,000	\$165,600,000

* Includes construction contract amount, contingencies and state sales tax.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Sound Transit Construction Schedule

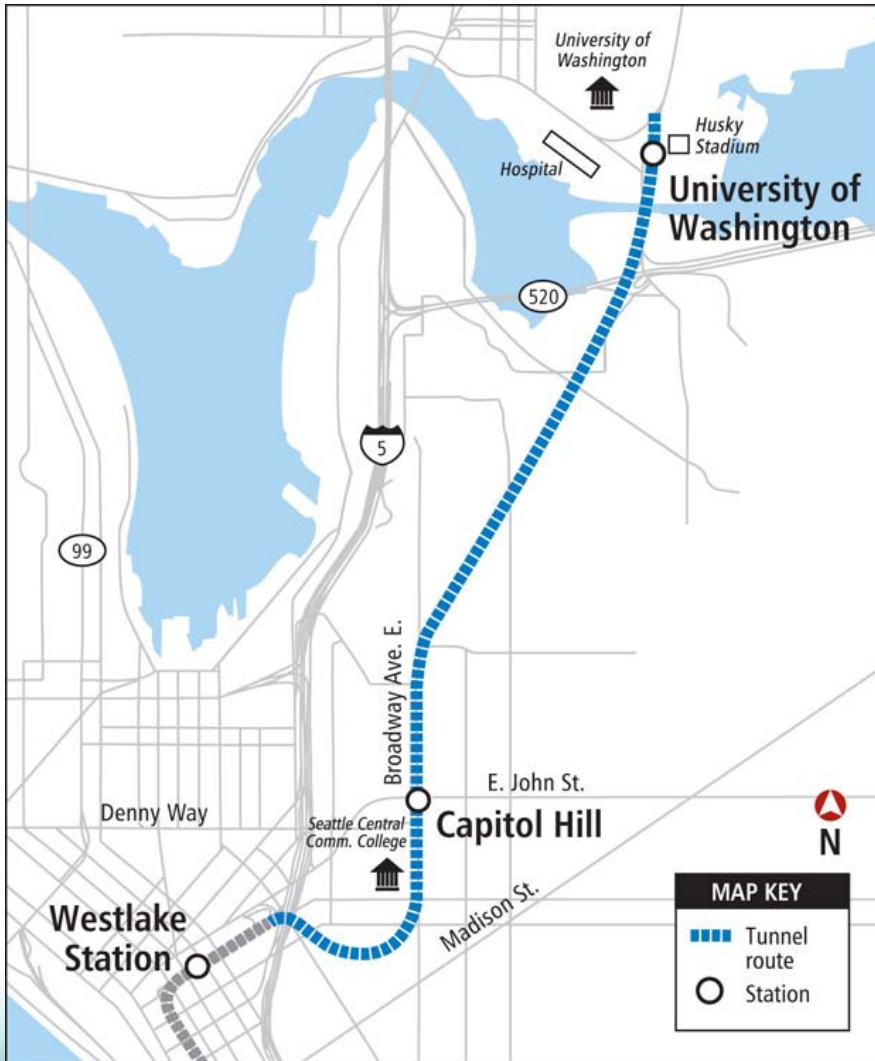
For information only.

Materials will be distributed at the meeting.

University Link UW Station Construction Update

UW Board of Regents Briefing
January 21, 2010

University Link



- 3.15-mile extension of Initial Segment and Airport Link
 - Capitol Hill Station
 - UW Station and crossover
- Schedule:
 - Design: completed
 - Construction: 6 years
 - System testing: 6 months
 - Open for service: 2016
- Capital budget: \$1.78 billion
- Adds 70,000 daily riders, 114,000 riders systemwide (2030)

UW/ST Agreement Background

- Master Implementation Agreement (MIA) completed in 2007 and addressed:
 - design and construction approval process
 - vibration and EMI mitigation
 - parking relocation
 - temporary and permanent easements
 - Funds UW staffing for project support
 - grants ST access to UW site

U-Link Implementation Approach

- FTA approved \$813 million grant in January 2009
- Ground breaking at UW site on March 6, 2009
- 3 smaller site preparation contracts (2 completed)
- 2 major tunnel contracts (NTP issued)
 - UW to Capitol Hill
 - Capitol Hill to Pine Street
- 2 station finishes contracts
- Systems installation contract
- Project complete, service starts Sept. 2016

UW Station

- Underground station located adjacent to Husky Stadium
 - Pedestrian bridge provides access to campus, buses and Burke Gilman Trail
- Travel time:
 - 6 minutes to Westlake
- 25,000 daily boardings
 - 70% of riders would use bridge
 - Escalators, elevators, stair and bike access
 - Grade separated crossing of BG Trail required
- Station design began in 2007; held several public design review meetings
- UW Regents approved station and bridge design in November 2008
- City Council approved bridge permit in April 2009



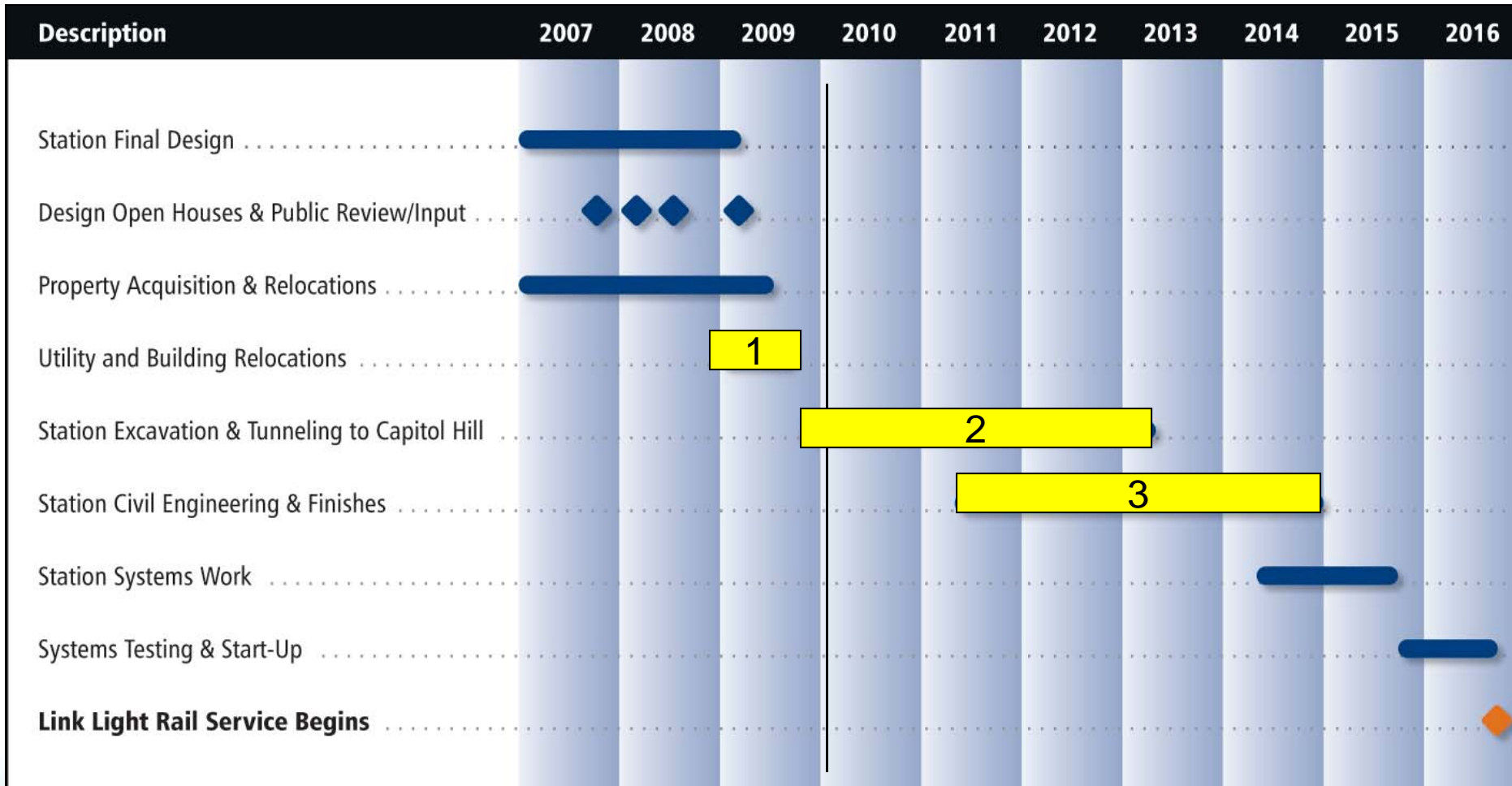
UNIVERSITY OF WASHINGTON STATION

UNIVERSITY LINK



SITE PLAN AT BRIDGE

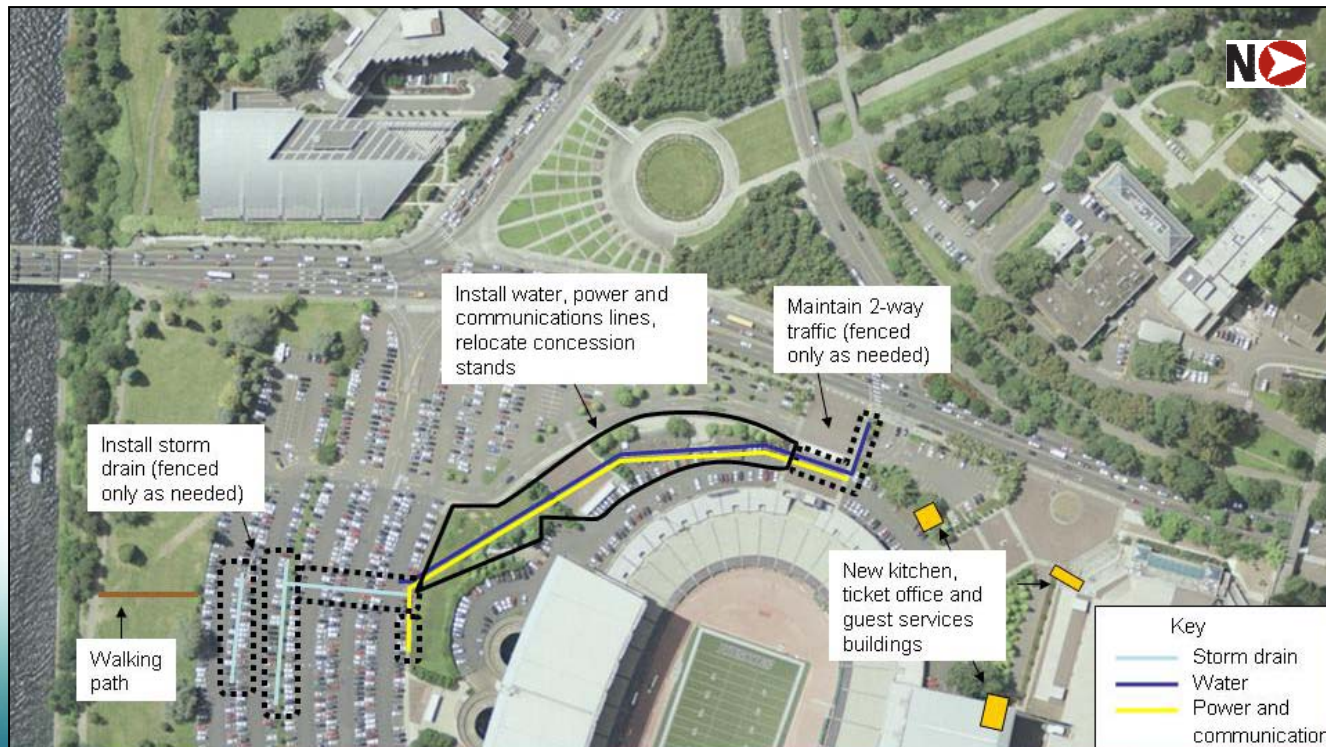
UW Station Construction Schedule



1. Utility Relocation (Completed)

2008 - 2009

- Relocated water and power utilities near Husky Stadium
- Installed storm drain
- Demolished and replaced stadium concession, ticket, restroom buildings



2. Excavation/Tunneling

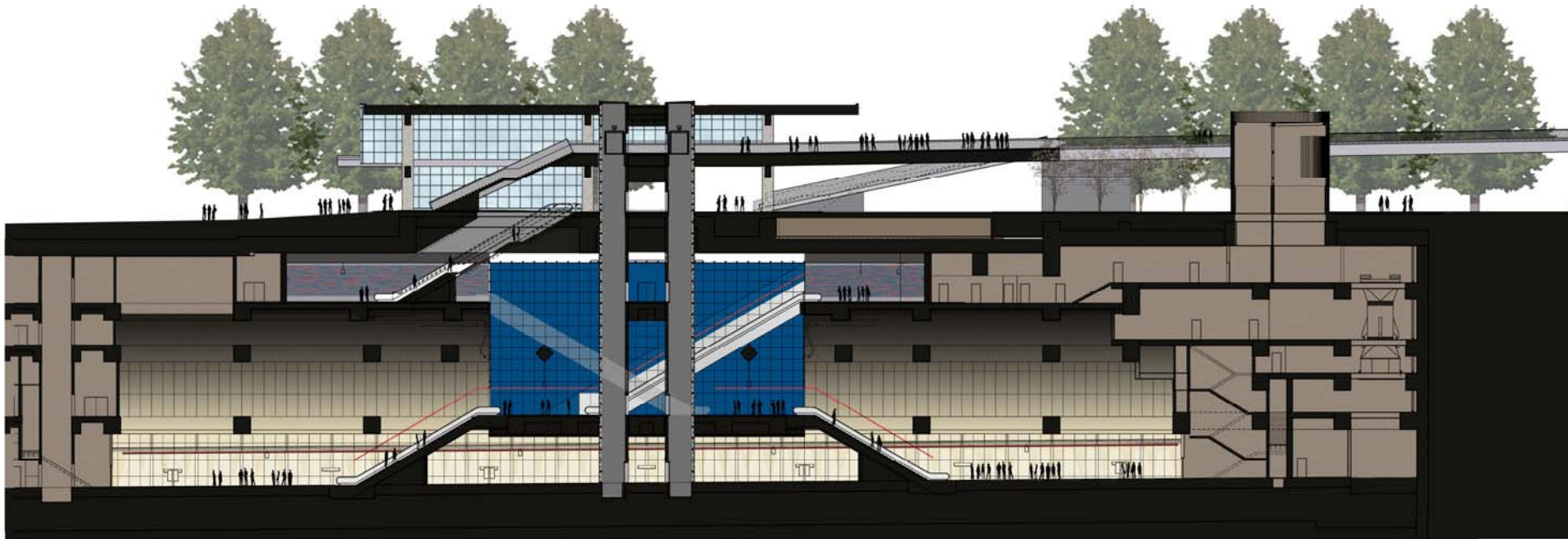
2010 - 2013

- Prepare site, build roads and utilities
- Build station walls, excavate crossover 'box'
- Construct tunnels to Capitol Hill Station
- Site work now underway



3. Station Construction

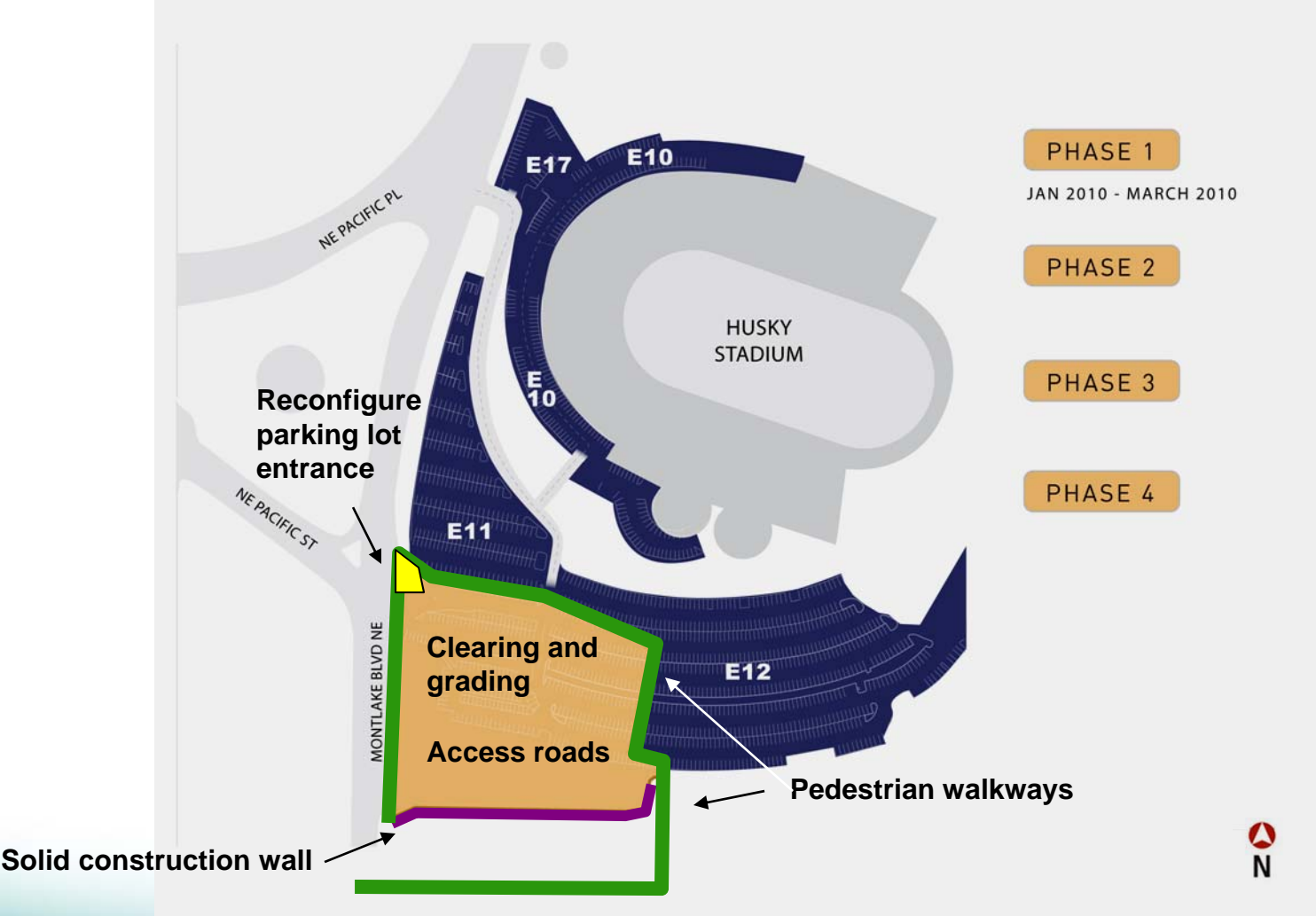
2011 - 2015



- Build station mezzanine levels, entrances, plaza, bridge
- Restore University parking lot and stadium gates

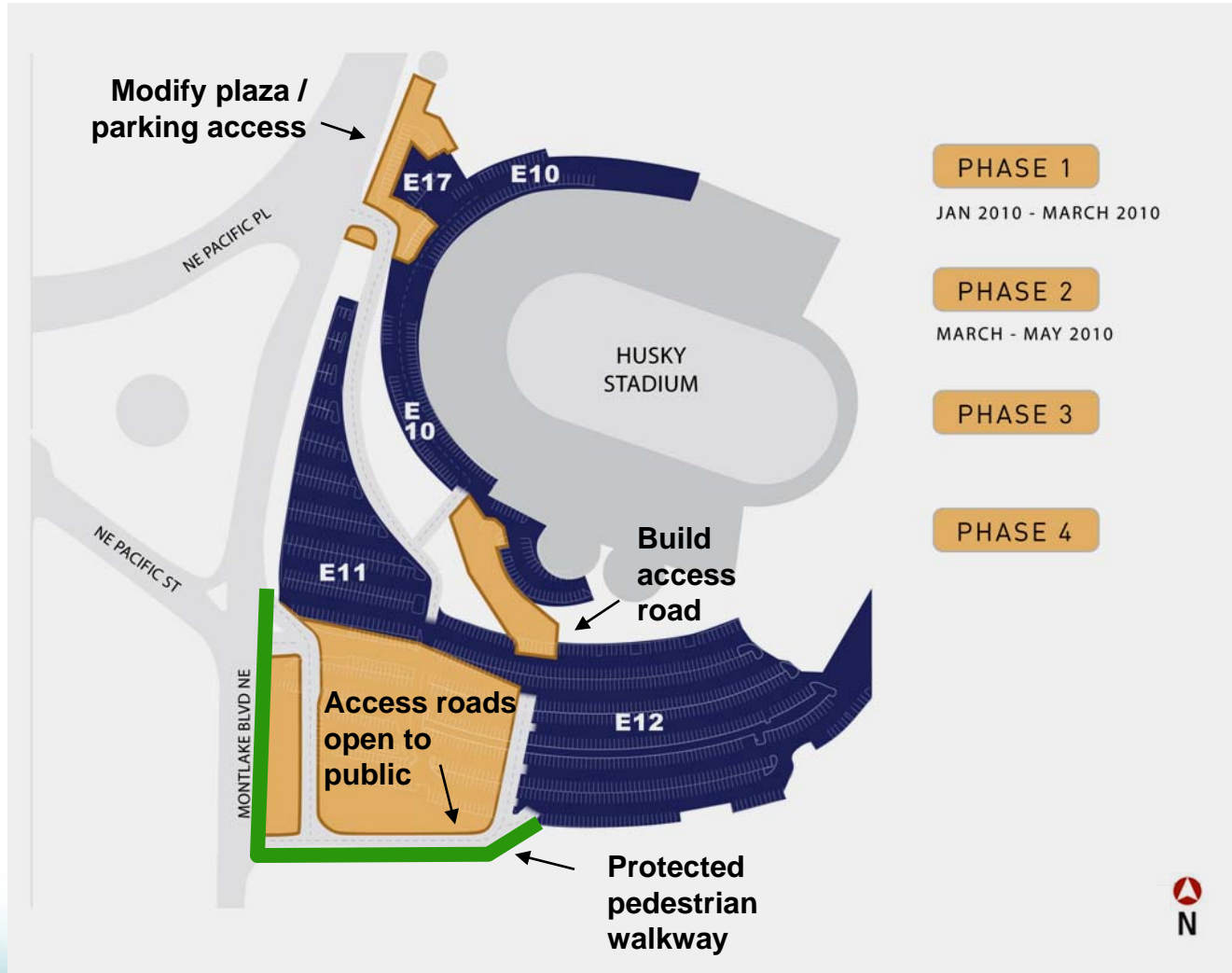
Construction Staging Plan - Phase 1

January 2010 to March 2010



Staging Plan - Phase 2

March 2010 – May 2010



Staging Plan - Phases 3 & 4

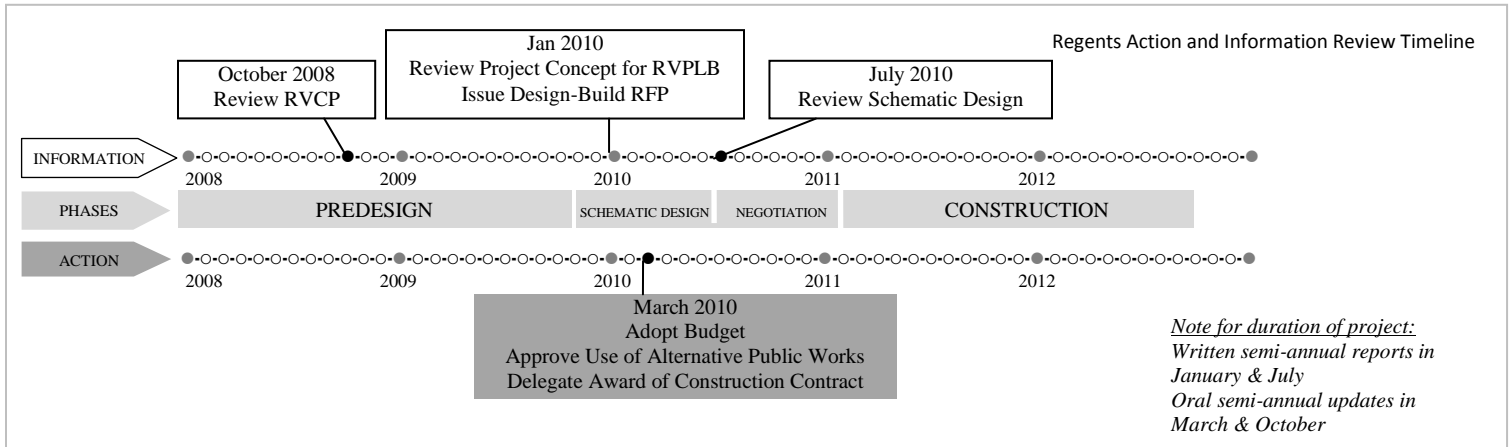
May 2010 to June 2013



VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Rainier Vista Pedestrian Land Bridge – Informational Update



INFORMATION:

This is an informational update on progress being made toward advancing the lower portion of the Rainier Vista Concept Plan (RVCP) with a proposed pedestrian land bridge.

PROJECT DESCRIPTION:

Sound Transit is required to have a grade separated crossing along the Burke Gilman Trail as part of the pedestrian access to and from the train station at Husky Stadium. Sound Transit’s solution was to provide a 600 foot long pedestrian bridge over Montlake Blvd and Pacific Place to connect the station to the south end of Rainier Vista.

During the permitting review, Seattle Department of Transportation (SDOT) requested a study be performed to see if there was an equal, if not better, alternative to the bridge. A committee comprised of Sound Transit (ST), the University of Washington (UW) and SDOT concluded there was indeed a better alternative that used the RVCP as the basis of the design.

SCOPE OF THE PROJECT:

The scope of the Rainier Vista Pedestrian Land Bridge (RVPLB) project lowers Pacific Place NE and constructs a land bridge that will connect the Montlake triangle with lower Rainier Vista in a seamless pedestrian experience. Transit patrons will access the triangle via a combination of existing crosswalks and new

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Rainier Vista Pedestrian Land Bridge – Informational Update (continued p. 2)

mid-block crossing along Montlake. This mid-block crossing will be funded and installed by SDOT.

The project will be constructed in two phases. The first phase is a design-build package responsible for lowering Pacific Place, constructing the land bridge, realigning the Burke-Gilman trail, installing required lighting and rough grading the triangle and lower Rainier Vista. The second phase is a design-bid-build landscape package responsible for restoration of the surface treatment for the triangle and lower Rainier Vista.

The RVPLB project is proceeding with Schematic Design and development of the RFP for the design-build package simultaneously. Statements of Qualifications were submitted in early December by ten teams. Upon review and scoring by committee, four teams were selected to be shortlisted and invited to prepare proposals by the end of March 2010. At that time, the University will determine if the project is financially feasible. If it is not, the default will be to construct the bridge initially proposed by Sound Transit. The four shortlisted teams are:

- Graham/ABKJ
- Mortenson/Mowat/CH2M Hill
- Sellen/KPFF/Bright/Merlino
- Tri-State/INCA

The project would be jointly funded by the University, SDOT and Sound Transit for a total project cost of \$18.7 million. Each agency has agreed to contribute \$4 million to the project, with Sound Transit's contribution to be supplemented by the \$6.7 million it had planned to use for the sky bridge.

- Project budget: \$18.7 million
- D-B land bridge construction budget: \$10.7 million
- Surface landscape construction budget: \$4 million
- Other costs (consultants, project management, contingency) \$4 million

PROPOSED SCHEDULE:

- Short list design build firms December 2009
- Sign interagency agreement January 2010
- Review design build proposals April 2010
- UW decision to proceed April 2010

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Rainier Vista Pedestrian Land Bridge – Informational Update (continued p. 3)

- Start Construction 1Q 2011
- Project Complete September 2012

PREVIOUS ACTIONS:

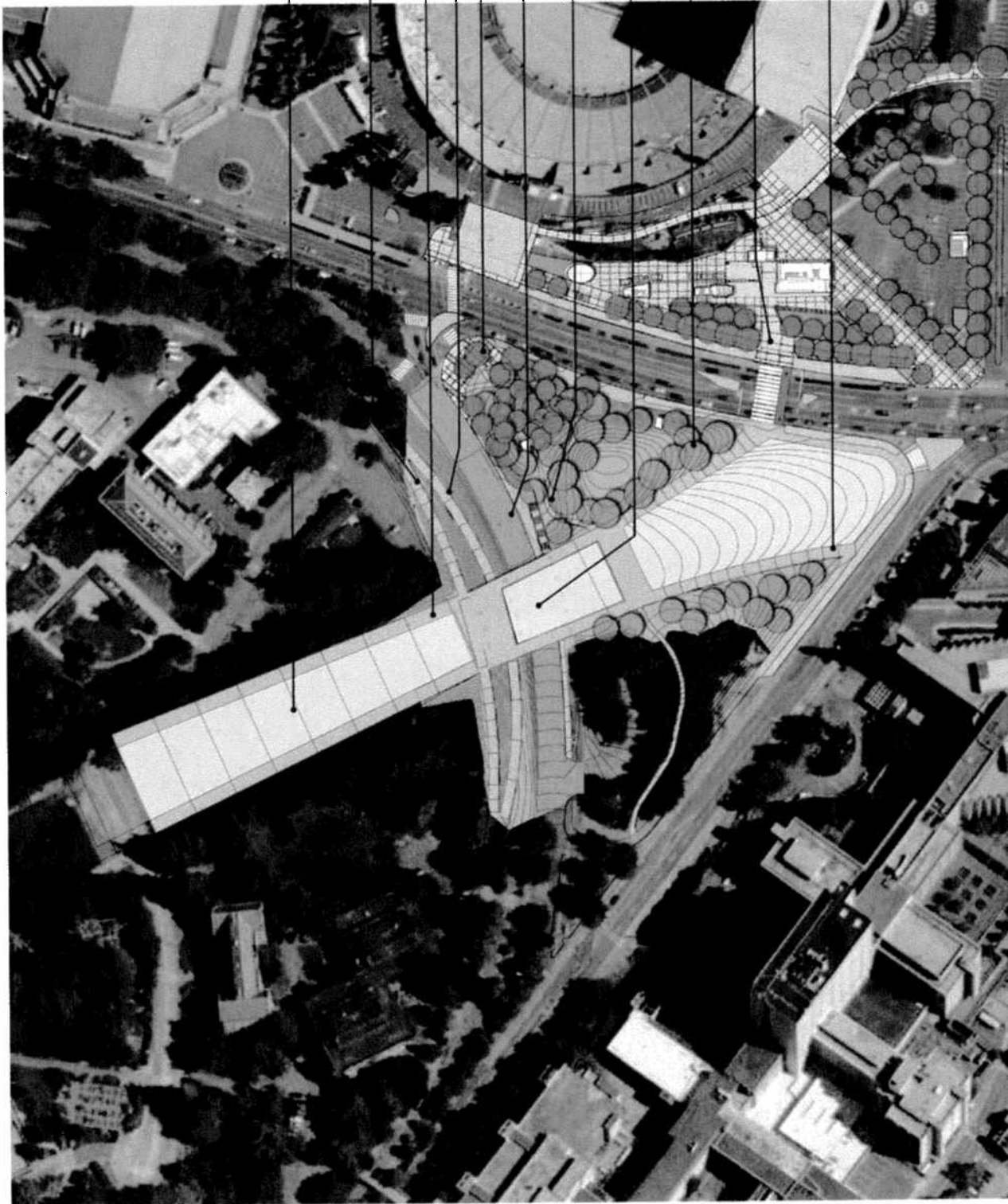
October 2008 – Rainier Vista Concept Plan (information only)

Attachment

Rainier Vista Pedestrian Bridge Concept

SOURCES:

- Rainier Vista Concept Plan
University of Washington
(June 2008)
- Michael Van Valkenburgh Assoc.
Sound Transit
Link Contract U 250
UW Station Finishes (May 2009)



FILLED DEPRESSION VIA
CONSTRUCTION SPOILS

BGT PEDESTRIAN/BIKE
ACCESS TO CAMPUS

PEDESTRIAN PATHS

BGT BIKE THRUWAY

PLAZA (see NORTH PLAN)

DEPRESSED ROADWAY
ON PACIFIC PLACE

ADA ACCESSIBLE PATH
TO PLAZA

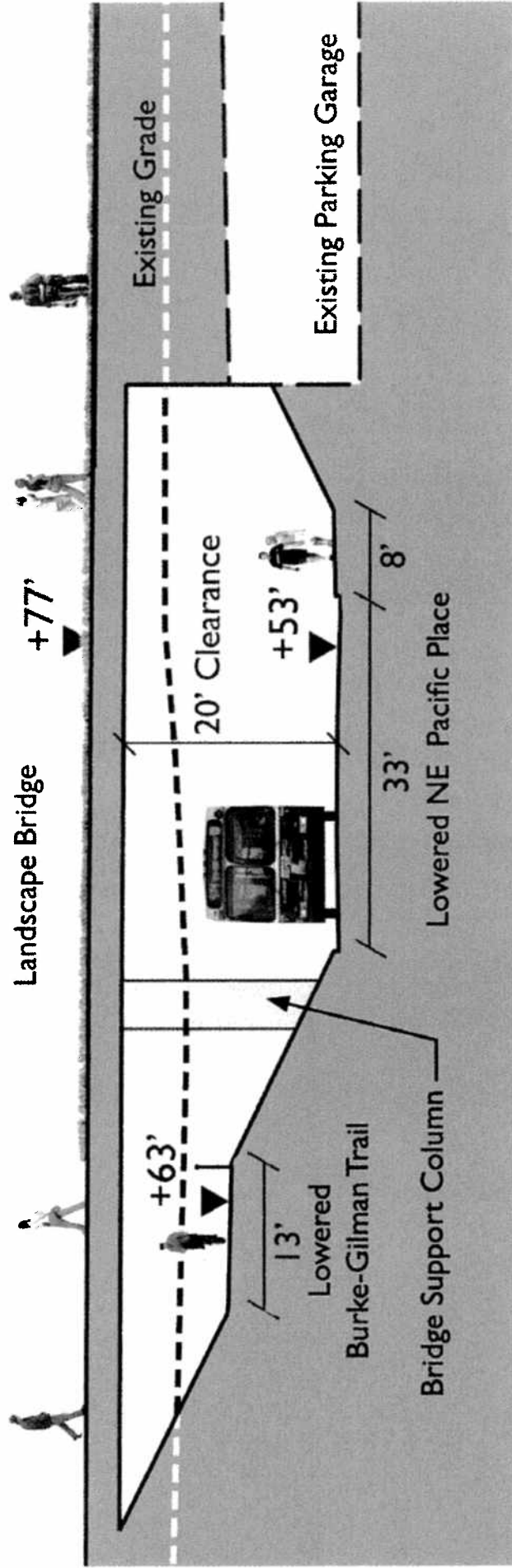
LANDBRIDGE OVER
PACIFIC PLACE & BURKE
GILMAN TRAIL

ENHANCED RAINIER VISTA
CORRIDOR PLANTING

SOUND STATION
CONNECTION TO
MID-BLOCK CROSSING
(see MID-BLOCK PLAN)

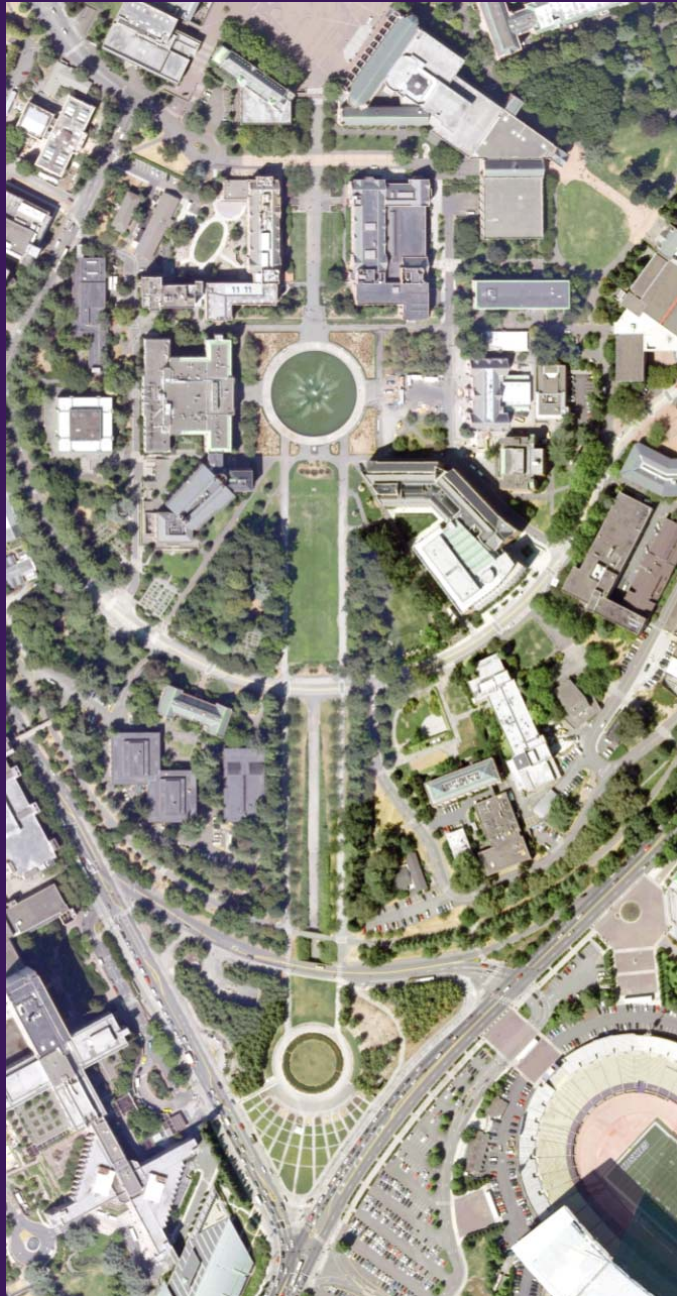
ADA ACCESSIBLE PATH
TO CAMPUS

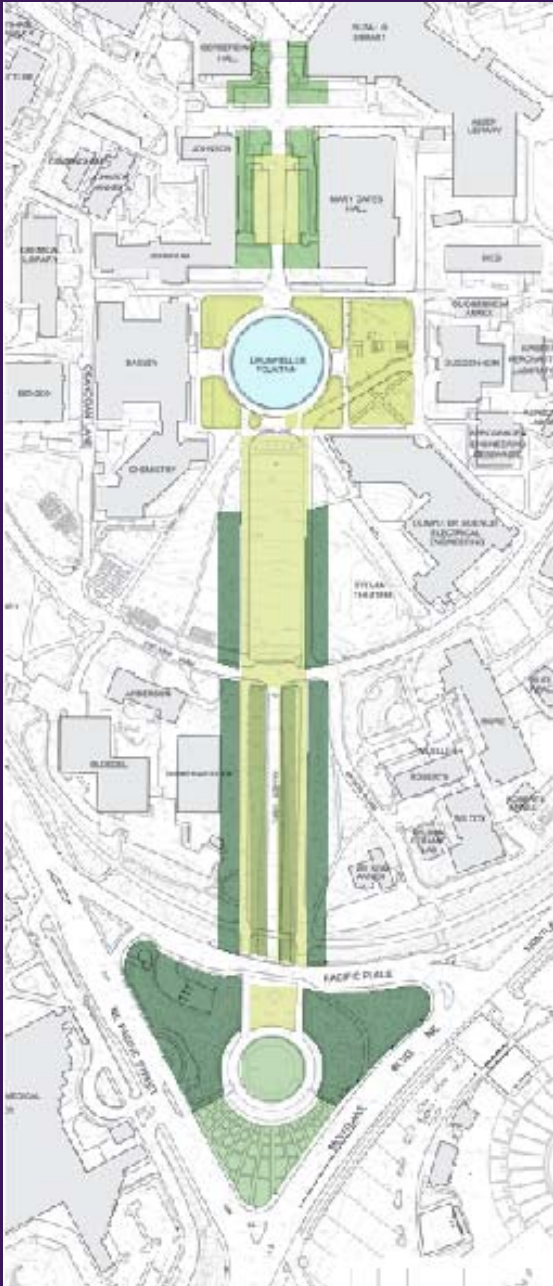




Proposed Cross Section - Detail







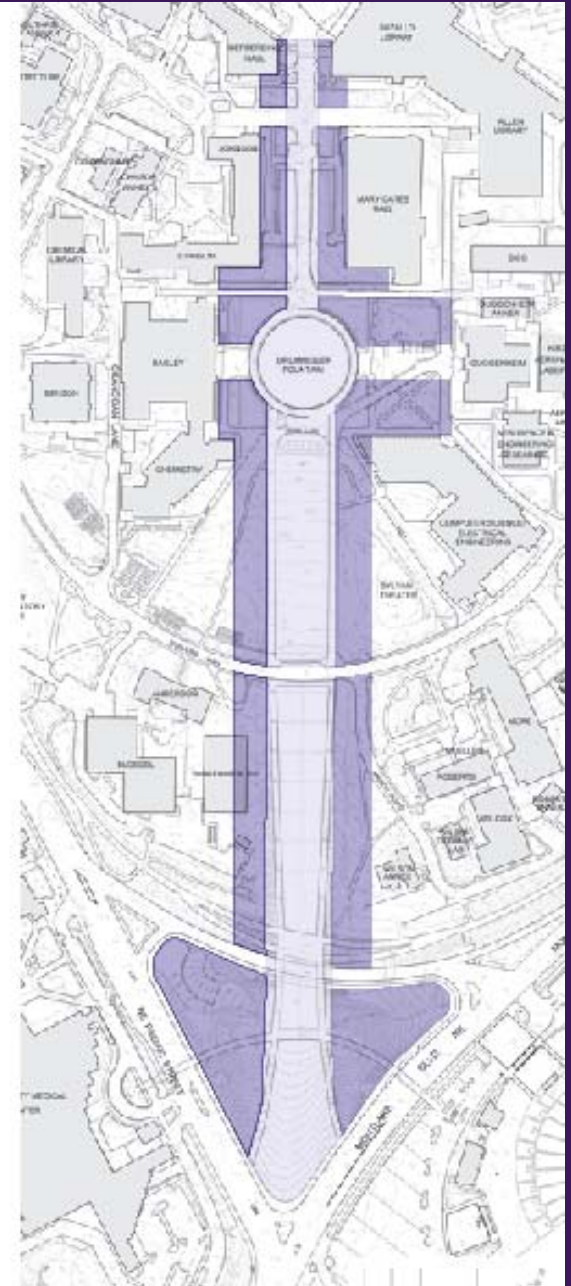
strengthen edges

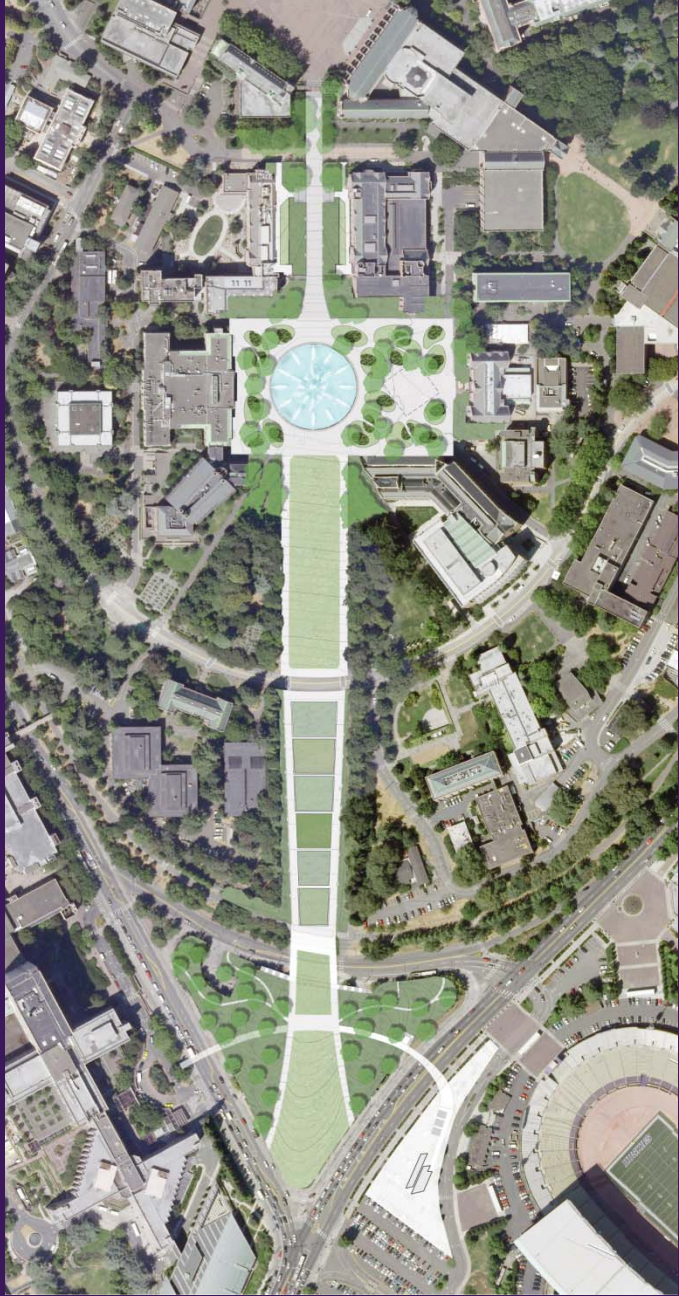
simplify center

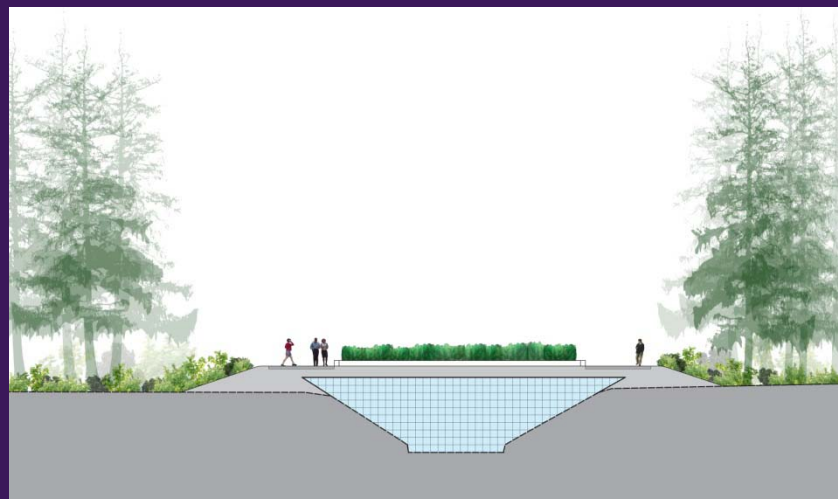
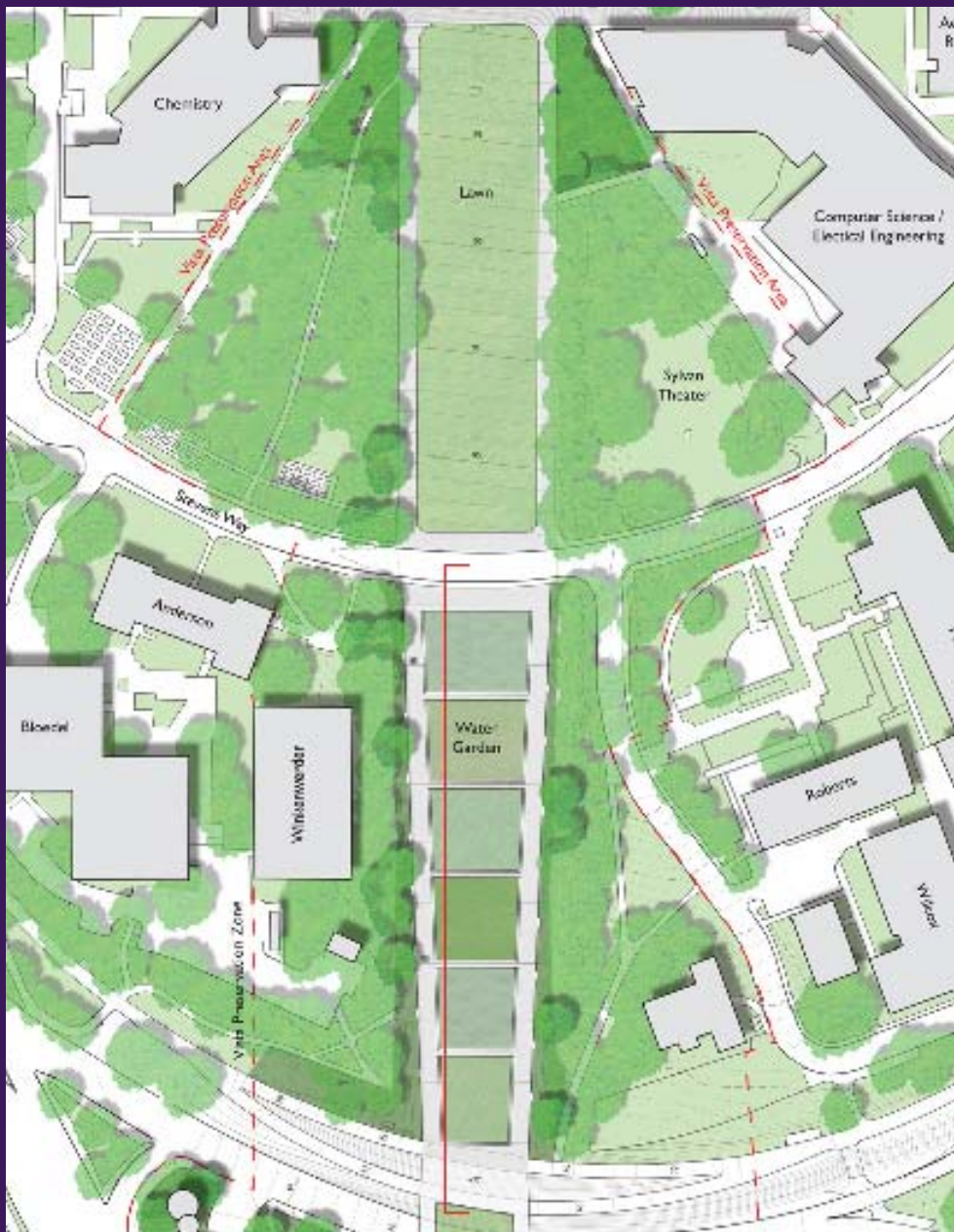


integrate disparate sections

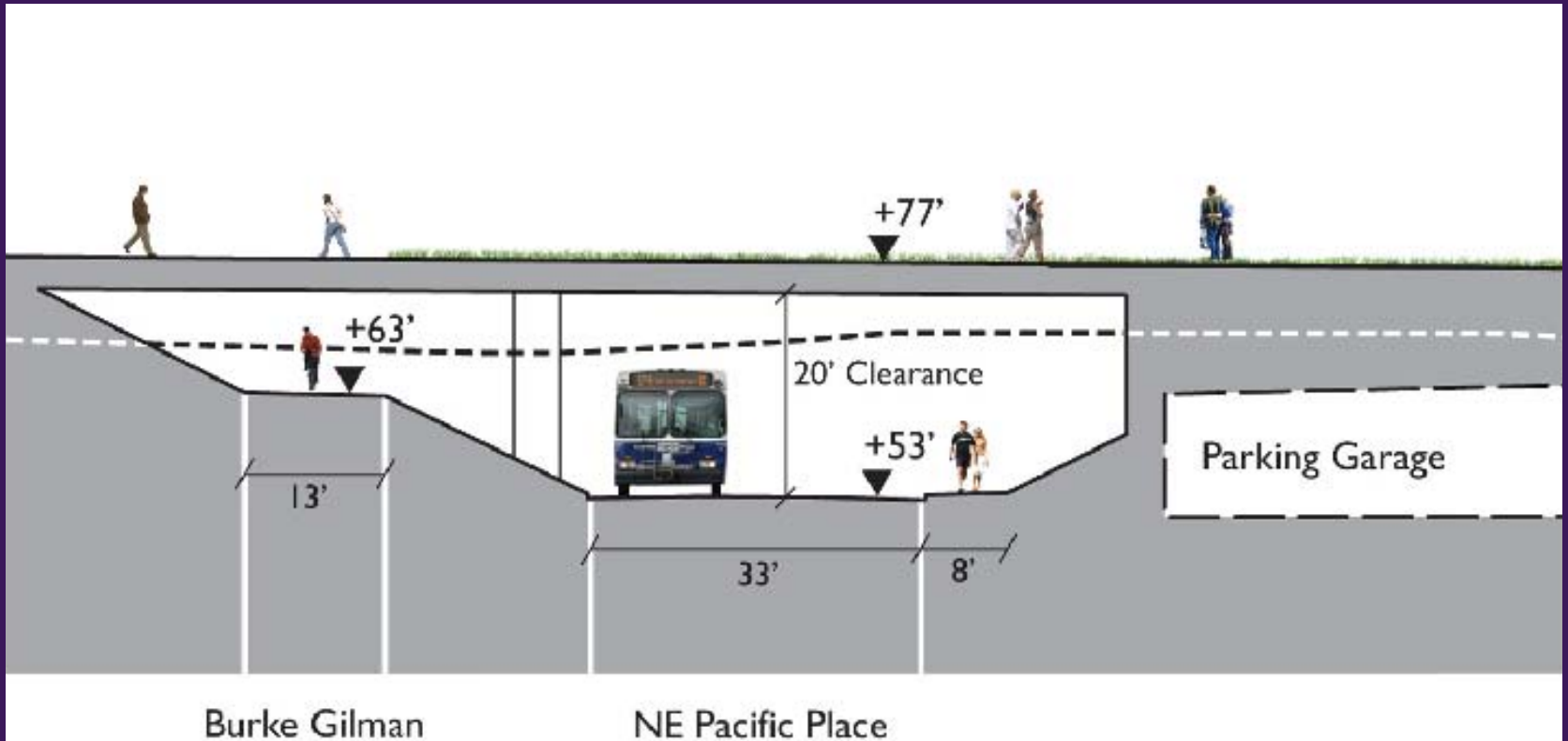
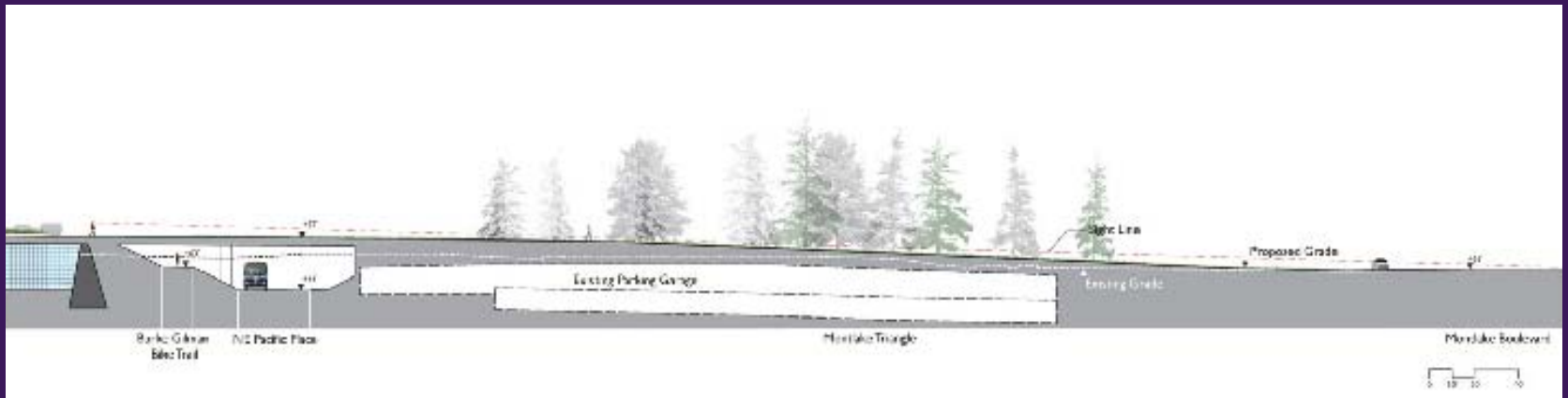
improve comfort

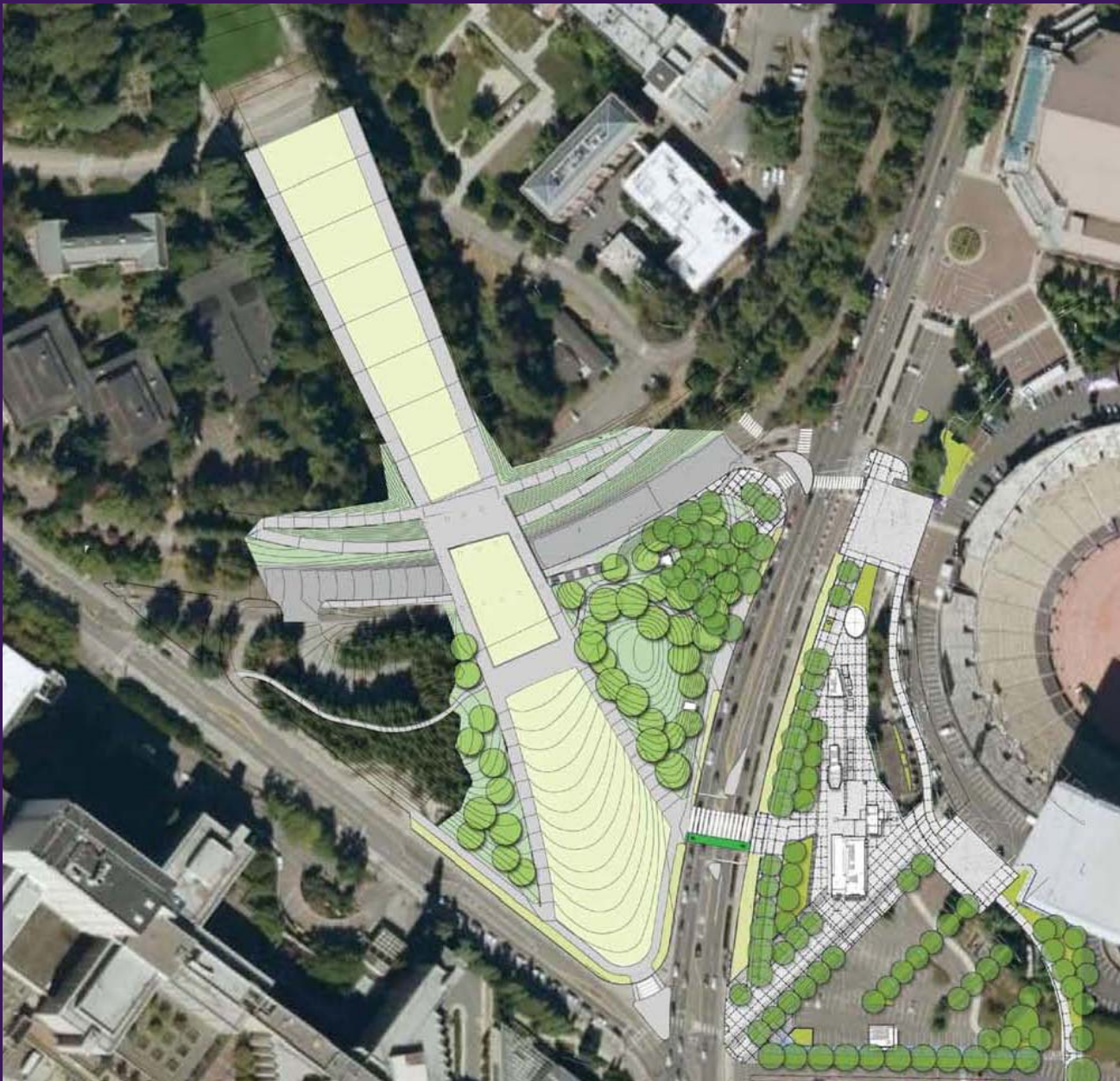




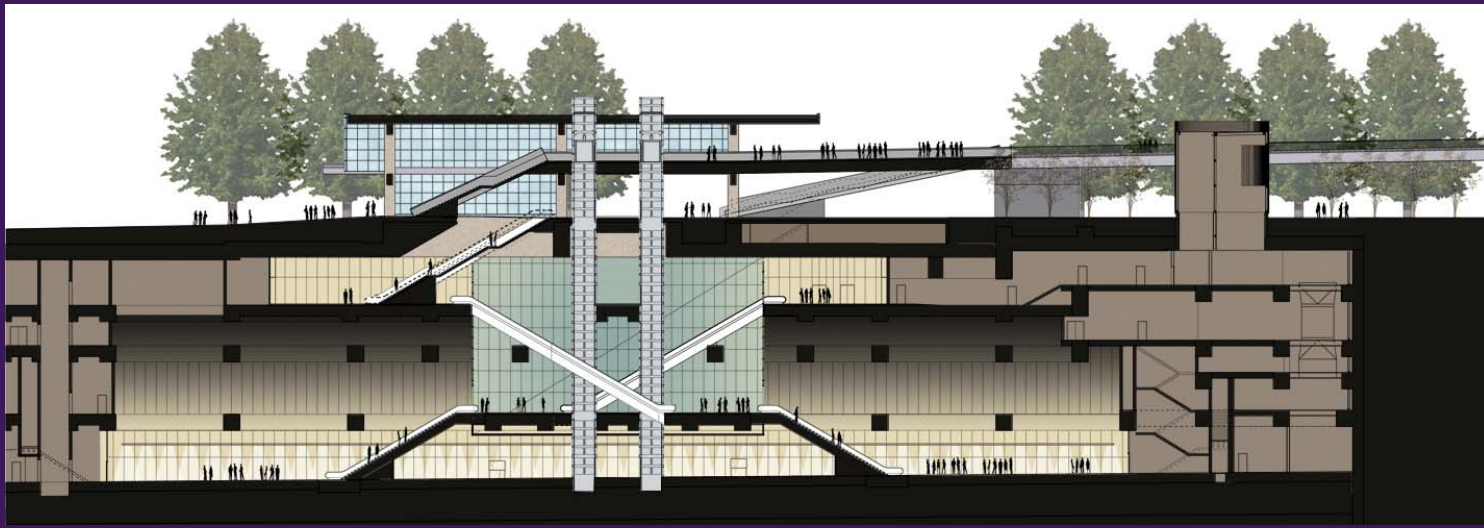








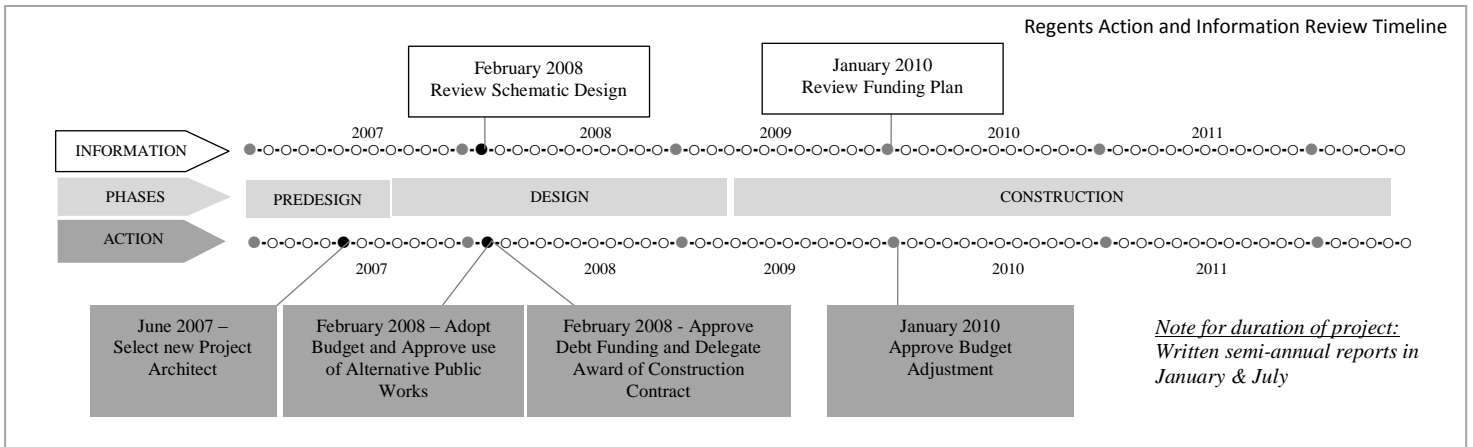




VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

UW Medical Center Expansion Project – Approve Budget Adjustment and Funding Plan



RECOMMENDED ACTION:

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the Board of Regents approve the addition of a vertical shell of three floors to the existing project scope and an increase in the previously approved project budget for the UW Medical Center (UWMC) Expansion Project (Phase 1) from \$170,000,000 to \$204,000,000.

PROJECT DESCRIPTION:

The project is a physical expansion, connected to the south edge of the existing UWMC, which will provide critically needed inpatient units, teaching and resident support space to meet existing and planned patient care, training and medical school admissions requirements.

PREVIOUS ACTIONS:

In May 2006, the Board of Regents delegated authority to the President to award a design contract to Anshen & Allen. Following pre-design, the administration was unable to reach an acceptable design contract with Anshen & Allen. At its June 2007 meeting, the Board of Regents approved the award of the design contract to NBBJ.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

UW Medical Center Expansion Project – Approve Budget Adjustment and Review Funding Plan (continued p. 2)

In February 2008, the Board of Regents adopted the current project budget, approved the use of alternative public works, approved the financing plan and delegated authority to the President to award the construction contract.

PROJECT SCOPE:

The UWMC Expansion Project will be completed in two phases. Phase 1, which is currently under construction, includes a five-story hospital addition of approximately 163,000 GSF, including a mechanical/electrical mezzanine level and mechanical basement and penthouse spaces configured to accommodate a future vertical expansion, approximately 10,000 GSF of loading dock and interior renovations and connections to the existing hospital towers, and substantial on-site emergency power, chilled water and oxygen system infrastructure. Phase 1 will provide a new Neonatal Intensive Care Unit (high-risk level III NICU), a 32-bed adult surgical oncology unit, diagnostic imaging expansion, shelled space for eight future operating rooms, a reconfigured loading dock, and mechanical and electrical infrastructure for current and future expansion needs.

As initially planned, Phase 2 would be a future vertical expansion of Phase 1, adding the additional floors needed for acute care inpatient nursing units. However, construction of a vertical shell of three additional floors with the Phase 1 project would take advantage of both favorable current construction market conditions and the UW Medical Center's FY 2009 financial performance (that exceeded budget forecasts). The vertical shell would add the structure and exterior enclosure of floors six through eight. It would include minimal lighting and heat, extension of all elevators to floor eight, air handling units to serve some of the program areas that will be built out later, and the mechanical equipment penthouse on the rooftop. Housing for a third emergency generator would also be built.

Construction of the vertical shell as part of Phase 1 would also reduce the operational impacts and disruption of patient care that is expected to occur during construction of Phase 2 – on top of a building that is fully occupied with patients. It would also allow UW Medical Center to plan and eventually construct interior program elements on the top three floors as floor-by-floor tenant improvements that could be added as funding becomes available and as patient care needs increase.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

UW Medical Center Expansion Project – Approve Budget Adjustment and Review Funding Plan (continued p. 3)

Other benefits:

- Reduces construction inflation risk associated with Phase 2
- Saves approximately \$9 million in overall project costs

PROJECT SCHEDULE:

Construction of Phase 1 is currently scheduled for completion in January 2012, with occupancy by the end of March 2012. Construction of the vertical shell of floors six through eight would extend the construction period by four months (to May 2012).

PROJECT BUDGET:

This action requests a \$34,000,000 increase in the Phase 1 project budget to accommodate the incorporation of the vertical shell of floors six through eight into the Phase 1 project scope.

NEED FOR PHASE TWO:

Phase 2 of the expansion project remains a priority for the future. Based on current inpatient census and occupancy levels across the current health system sites (Harborview and UW Medical Center), UW Medical Center anticipates that the new inpatient unit in Phase 1 will be fully occupied when it opens. The affiliation of Northwest Hospital and Medical Center with UW Medicine in January 2010 will provide an opportunity to better meet the secondary care needs of UW Medicine patients that currently have limited access in our system. It also provides for increased volumes of tertiary and quaternary care for UW Medical Center and Harborview based on future needs from the Northwest Hospital site. UW Medical Center remains the site where UW Medicine provides tertiary and quaternary services in oncology and solid organ transplantation, which require significant capital investments that must be well utilized to be economically viable. The additional inpatient capacity that Phase 2 will provide is necessary to meet the needs of these tertiary and quaternary patient care programs and to assist in eventually replacing the obsolete portions of the original 1959 University Hospital.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

UW Medical Center Expansion Project – Approve Budget Adjustment and Review Funding Plan (continued p. 4)

SOURCES AND USES OF FUNDS:

Projected costs and sources of funding are estimated in the table below. Debt funding will be repaid from UWMC revenues.

		UWMC Expansion - Jan 2010 (\$000)	
		<u>2009 Plan</u>	<u>Proposed 2010 Plan</u>
Uses of Funds			
Phase 1		\$ 170,000	204,000
Phase 2		\$ 140,000	97,000
Total Uses		\$ 310,000	301,000
Sources of Funds			
Phase 1			
Debt		\$ 160,000	160,000
Equity	UWMC	\$ 10,000	44,000
		\$ 170,000	204,000
Phase 2			
Debt		\$ 110,000	71,000
Equity	UWMC	\$ 30,000	26,000
		\$ 140,000	97,000
Total Sources		\$ 310,000	301,000

The proposed increase in equity and reduction in debt will reduce total project annual debt service by about \$2.9 million.

FINANCIAL ANALYSIS:

The Board of Regents approved Phase 1 of the UWMC expansion plan in 2008, following UW Treasury's update of the 2007 UWMC credit analysis that was submitted earlier to the Board in conjunction with the hospital expansion program. The update examined past and projected UWMC financial performance and identified the potential impact of certain risks facing UWMC.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

UW Medical Center Expansion Project – Approve Budget Adjustment and Review Funding Plan (continued p. 5)

The primary differences between UWMC's 2010 and the 2009 projections are:

- Continuing positive impact of revenue and expense initiatives achieved in 2009
- Reduced payments from Medicare and Medicaid
- Increased inflation in employee benefits

Other key assumptions (such as patient volume, payor mix, and the effect of ongoing revenue and expense initiatives) are not materially different. Debt service coverage is projected to remain well above the 1.25 coverage minimum specified in the internal loan agreement with UW Treasury.

Compared to the same period last year, results for the first four months of the current fiscal year are favorable (relative to budgeted levels).

- Net patient revenue is up by 9%
- Net operating income is up by 22%
- Operating margin to date in FY2010 has been 5.7% (versus 5.1% during the same period last year)

UWMC Financial Results (\$ in millions)		Projected										
Ratios/Indicators	UWMC Target (1)	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020
Profitability												
Operating Margin	>2.0%	3.9%	3.9%	2.8%	2.4%	2.6%	2.5%	2.1%	1.9%	1.9%	2.1%	1.9%
Operating Cash Flow Margin	>9.0%	9.8%	9.6%	9.9%	9.9%	10.0%	9.9%	9.8%	9.5%	9.2%	9.3%	8.9%
Debt Capacity												
Debt Service Coverage	>4.0	4.8	3.5	3.4	3.4	3.6	3.0	3.1	3.2	3.3	3.5	3.5
Debt to Cash Flow	<4.0	2.1	3.2	3.5	3.2	3.0	3.2	3.4	3.1	2.9	2.6	2.5
Debt to Capitalization	<40.0%	21.6%	29.2%	29.7%	28.5%	27.5%	29.3%	29.5%	27.9%	26.3%	24.7%	23.1%
Liquidity												
Days Cash on Hand	>100	110.1	136.3	121.6	121.3	123.8	125.8	113.6	112.5	111.7	110.6	109.7
Cash to Debt	>100.0%	154.0%	124.4%	111.4%	119.8%	129.4%	121.8%	110.0%	119.6%	131.0%	142.9%	157.6%
Other												
Days in A/R (Net)	<50	75.3	55.0	54.0	53.0	52.0	51.0	50.0	50.0	50.0	50.0	50.0

(1) Performance targets are set at figures comparable to Moody's "A" rating category levels.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

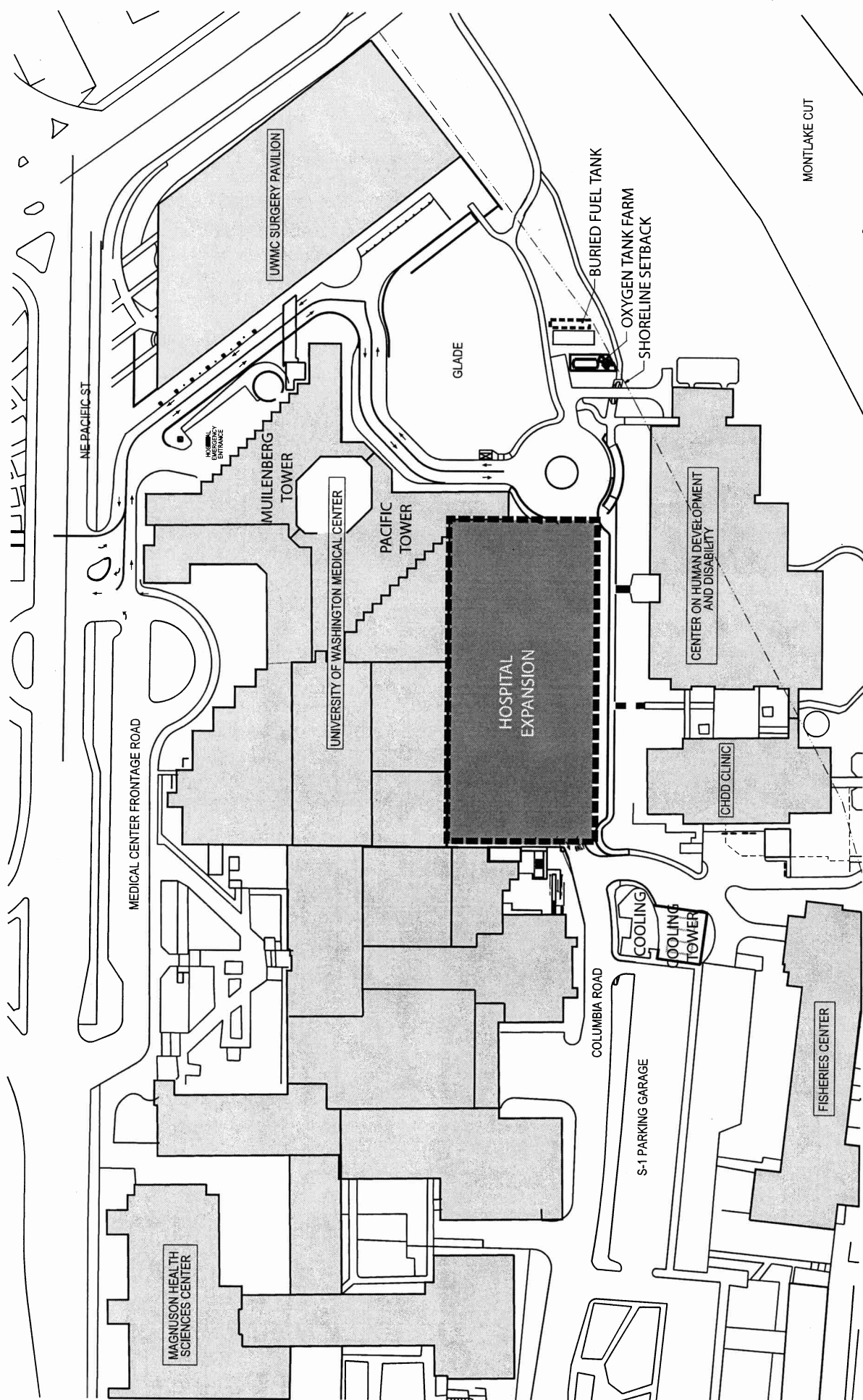
UW Medical Center Expansion Project – Approve Budget Adjustment and Review Funding Plan (continued p. 6)

INTERNAL REVIEW AND APPROVALS:

The proposed increase in the scope of Phase 1 and the associated funding plan has been reviewed and approved by the UW Medicine Board, the Senior Vice President, and the Vice Provost for Planning and Budgeting.

Attachment

UW Medical Center map



F-9.1/201-10
1/21/10

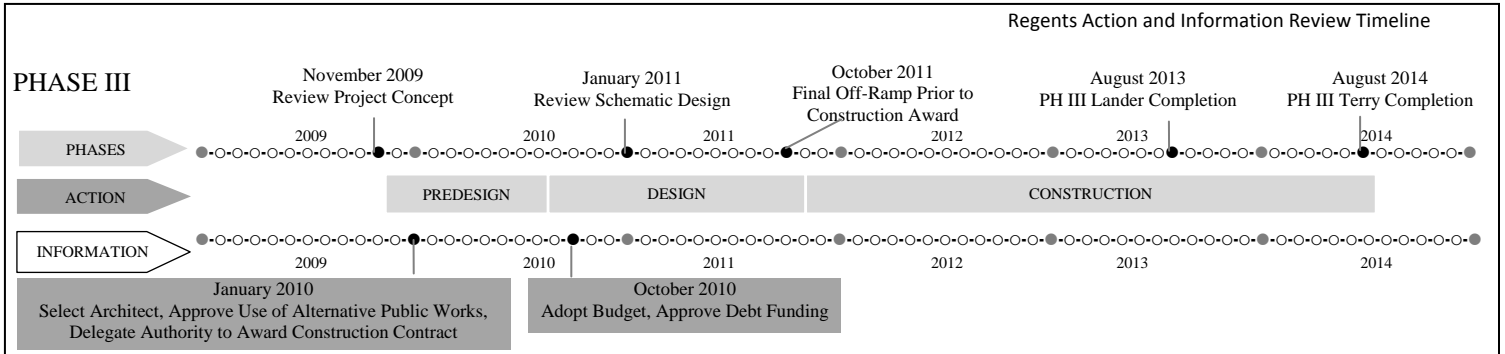
Attachment 1

01 COMPOSITE SITE PLAN
0 25 50 100

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Student Housing Phase III: Terry and Lander Halls Renovation – Select Architect, Delegate Authority to Award Design Contract, Approve Use of Alternative Public Works, and Delegate Authority to Award Construction Contract



Note for duration of project: written semi-annual reports in January & July. oral semi-annual updates in March & October

RECOMMENDED ACTION:

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the President be delegated authority to award a design contract for the Student Housing Phase III: Terry and Lander Halls Renovation project to the firm of Mithun Architects, subject to successful negotiation of an architectural agreement. In the event of an unsuccessful negotiation with the selected firm, a delegation of authority is requested to open negotiations with the alternate team of Hewitt Architects and Hanbury, Evans, Wright, Vlattas + Company.

The administration and the Finance, Audit and Facilities Committee also recommend that on the Student Housing Phase III: Terry and Lander Halls Renovation project, the use of alternative public works utilizing the General Contractor / Construction Manager (GC/CM) method of contracting be approved and that the President be delegated authority to award the preconstruction and construction GC/CM contracts to the selected contractor, subject to the scope, budget, and funding remaining within 10 percent of the established budget.

These approvals will allow placing a GC/CM under contract for preconstruction services concurrent with the pre-design phase. A request for approval of the project budget and financing plan for Student Housing Phase III: Terry and Lander Halls Renovation project will be presented to the Board in October 2010. A construction contract will not be awarded to the GC/CM until the Board has reviewed the project status at the final off-ramp presentation, which is scheduled

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Student Housing Phase III: Terry and Lander Halls Renovation – Select Architect, Delegate Authority to Award Design Contract, Approve Use of Alternative Public Works, and Delegate Authority to Award Construction Contract (continued p. 2)

for October 2011. The initial design work is estimated at \$1.5 million and will be funded with Housing and Food Services reserves.

BACKGROUND:

Housing and Food Services (HFS) developed a Comprehensive Housing Master Plan which was presented to the Board of Regents in March 2008. Since then, the development of four sites in West Campus (31W, 32W, 33W and 35W) have been underway with the completion of sites 31W and 33W slated for fall quarter 2011 and completion of sites 32W and 35W slated for fall quarter of 2012. Those sites will add approximately 1,650 beds to the student housing inventory.

The proposed project will substantially renovate the Terry and Lander Halls located on NE Campus Parkway. This is the start of the renovation phase of the existing residence halls operated by Housing and Food Services. Terry and Lander Halls, which were constructed in the 1950's, are in need of substantial infrastructure replacement, seismic upgrades, and improvements to accommodate changes in the “live, learn” environment for today’s students.

The preliminary project cost for renovating Terry and Lander Halls is \$75 - \$90 million. The project is planned as a single project with the two buildings renovated separately. Lander Hall will be renovated first with construction starting in the summer of 2012 for occupancy in fall quarter 2013. Terry Hall renovation will start in the summer of 2013 for occupancy in fall quarter 2014. HFS will use existing reserves to fund the initial design phase, which is estimated to cost approximately \$1.5 million.

ARCHITECT SELECTION:

The project is intended to be designed by a single design team to take advantage of the similarities of Terry and Lander Halls and the overlapping construction schedules. The Architectural Commission is charged with identifying the most qualified architectural firm as well as one or two alternate qualified firms that would ensure that negotiations can continue in a timely manner.

In October 2009, the Capital Projects Office advertised for firms interested in providing architectural services for the renovation of Terry and Lander Halls. Thirteen firms responded to the Request for Qualifications and three firms were interviewed by the Architectural Commission in December 2009 – Mithun

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Student Housing Phase III: Terry and Lander Halls Renovation – Select Architect, Delegate Authority to Award Design Contract, Approve Use of Alternative Public Works, and Delegate Authority to Award Construction Contract (continued p. 3)

Architects, the team of Hewitt Architects and Hanbury Evans Wright Vlattas + Company, and the team of LMN Architects and Solomon Cordwell Buenz (SCB) Architects.

The Commission recommended that Mithun Architects, a local firm, be appointed design architect for this project. Mithun brings extensive experience in the design of student housing and has worked with the University of Washington on UW Tacoma's Court 17 Apartments and UW Seattle's Radford Court and Nordheim Court apartments.

The Architectural Commission recommended the team of Hewitt Architects and Hanbury Evans Wright Vlattas + Company as alternate.

CONSTRUCTION CONTRACTING STRATEGY:

The Capital Projects Office recommends the use of the alternative public works contracting procedure, General Contractor/Construction Manager (GC/CM), authorized by RCW 39.10 for construction of this project.

The use of a GC/CM during design has been critical to the successful construction and renovation of many recent UW buildings. Because construction time on the Terry and Lander Hall renovation project will be limited to thirteen months per building, early bidding for labor and equipment will be necessary to meet the project schedule. The GC/CM will be able to bid out and start construction on phased work packages.

The intent is to have the GC/CM selected and under contract for preconstruction services before the end of the predesign phase to take advantage of the favorable construction market. Early selection of the GC/CM is expected to improve project delivery through greater involvement in project budget development, examination of existing conditions, assistance in developing contracting strategies for early equipment procurement, and subcontractor involvement during the design phase.

The Capital Projects Office plans to expand the use of computer 3-D design modeling and to share the model with the GC/CM and subcontractors. Early bidding of various building systems is also being explored as a way to get subcontractor expertise and involvement during the design phase. This collaborative approach is expected to reduce project costs and improve product

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Student Housing Phase III: Terry and Lander Halls Renovation – Select Architect, Delegate Authority to Award Design Contract, Approve Use of Alternative Public Works, and Delegate Authority to Award Construction Contract (continued p. 4)

quality through improved tradecraft involvement and better design and construction coordination.

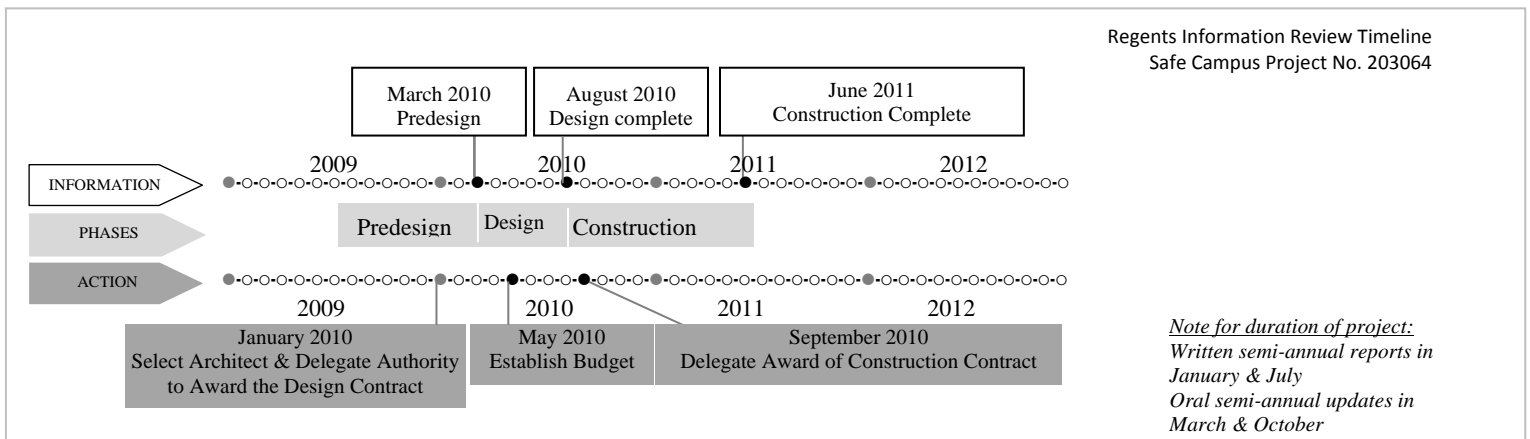
FUTURE BOARD OF REGENTS ACTION

The Treasury Office will complete a credit analysis of HFS's residence hall renovation project prior to Board action in October 2010 for project budget and financing approval. The financing plan for the Terry and Lander Halls Renovation project will include identification of off-ramps and will coincide with the October 2010 reporting date for the second set of off-ramps for the Housing Master Plan's Phase I that was approved by the Board in May 2009.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Safe Campus Project – Select Architect and Delegate Authority to Award a Design Contract



RECOMMENDED ACTION:

It is the recommendation of the Administration and the Finance, Audit and Facilities Committee that the President be delegated authority to award a design contract for the Safe Campus Project with the firm of EHS Design, Inc, subject to successful negotiation of an architectural agreement. This project involves modification of existing fire alarm panels in more than 143 buildings on the Seattle campus. It will provide “state of the art” central monitoring of alarms and broadcast mass notification to the campus community in the event of natural disaster, civil unrest, terrorism, or other public safety threats. In the event of an unsuccessful negotiation with the selected firm, it is requested that authority be delegated to open negotiations with S. M. Stemper Architects, PLLC; the firm recommended as first alternate.

BACKGROUND:

In November 2009, the Capital Projects Office advertised for firms interested in providing consulting services. Seven firms responded to the Request for Qualifications for this project, and EHS Design, Inc. and S. M. Stemper Architects were interviewed by the selection panel on December 10, 2009. It is the selection panel’s recommendation that EHS Design, Inc. be appointed the design architect for this project. The selection panel was charged with identifying the most qualified firm as well as one or more alternate qualified firms, if any, ensuring that negotiations can continue in a timely manner. The alternate firm recommended by the selection panel is S. M. Stemper Architects.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Safe Campus Project – Select Architect and Delegate Authority to Award a Design Contract (continued p. 2)

EHS Design, Inc. is an architectural and interior design firm established in Seattle in 1977. The firm has extensive experience in facilities infrastructure planning, and implementation by proto-typical design solutions for interior renovation projects. The firm has experience working with the UW since 2008. EHS Design is currently working on communications infrastructure improvement projects for Gowen Hall and the Mechanical Engineering Building.

The existing Seattle campus, Central Fire Alarm Receiving System (McCulloh Loop) was installed in the 1960's. The system's mechanical components are beyond their useful life and no longer cost effective to maintain.

The Safe Campus project will replace the central fire alarm monitoring system to:

- Provide digital communications hardware and software for data logging, fire alarm status; data collection, reporting and analysis; and interactive graphic displays for rapid emergency response.
- Enhance broadcast communication systems and provide "Mass Voice Notification" to 94 buildings.
- Initiate a building wide "Ventilation Shutdown" in select facilities.

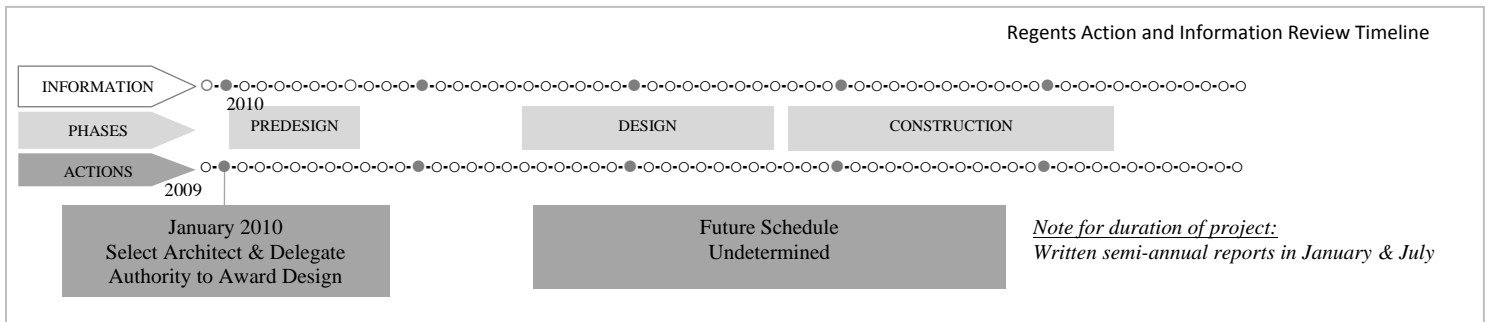
Design is expected to be completed by August 2010 with construction beginning in September, and lasting through June of 2011.

A request for board action to establish the project budget is anticipated in April 2010, pending completion of preliminary system planning and design analysis. The budget for the current phase of planning is \$120,000. Funding for this project is \$8 million as allocated by the legislature for the '09-'11 biennium from the UW building account.

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B. Finance, Audit and Facilities Committee

Anderson Hall – Select Architect and Delegate Authority to Award a Design Contract



RECOMMENDED ACTION:

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the President be delegated authority to award a design contract for the Anderson Hall Renovation Project with the architectural firm, Mahlum, subject to successful negotiation of an architectural agreement.

BACKGROUND:

In October 2009, the Capital Projects Office advertised for firms interested in providing architectural services. Seventeen firms responded to the Request for Qualifications for this project, and three firms were interviewed by the Architectural Commission on December 7, 2009: Mithun, SRG Partnership, and Mahlum. It is the Commission’s recommendation that Mahlum be appointed design architect for this project. The Architectural Commission is charged with identifying the most qualified firm as well as one or two alternate qualified firms, if any, ensuring that negotiations can continue in a timely manner. An alternate firm was not selected.

Mahlum brings to this effort seventy years of experience in the planning and design of college and university facilities. Mahlum holds the collective knowledge and firm resources of a 90 person planning, architecture, and interiors organization with offices in Seattle and Portland. They have designed projects ranging from \$1 million to \$175 million in construction value, and have been recognized for design excellence from local, regional, and national organizations for projects completed in the Northwest. The team has work extensively with the University of Washington, designing significant campus projects that total nearly \$300 million in construction value including historic renovations and sustainable design projects.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Anderson Hall Renovation – Select Architect and Delegate Authority to Award a Design Contract (continued p. 2)

Recent relevant experience includes:

- UW Clark Hall, a “Restore the Core” historic renovation in consideration of obtaining a LEED gold or platinum certification
- UW Suzzallo Library, historic renovation
- Miller Hall, Western Washington University, historic renovation
- Hunthausen Hall Renovation, Seattle University
- Cleveland High School, Seattle School District

Anderson Hall houses the College of the Environment’s School of Forest Resources, The Ecosystems Sciences and Conservation Division, and the Institute for Forest Resources. The renovation will include classrooms, labs, faculty offices, administrative offices, TA offices, and student advising offices. The gross area of the building is 33,543 square feet. The total project budget is \$21.75 million and the total construction cost (TCC) is approximately \$16.2 million. The State appropriated \$200,000 for the predesign in the 2009-11 biennium capital budget. It is anticipated that design funding will be requested in the 2011-13 biennium, and construction funding in the 2013-15 biennium.

Anderson Hall is a building designed by Bebb & Gould Architects in the campus gothic style on the University of Washington’s Seattle campus. Anderson Hall was constructed in 1925 and had a partial interior renovation in 1968. Anderson Hall has not had a major infrastructure upgrade in over 40 years - and some systems are even older.

Faculty cannot carry out many modern teaching activities in Anderson Hall due to the constraints of the antiquated building systems. Insufficient audio visual equipment, communication and electrical service, lighting, ventilation, and other systems limit the functionality and utilization of teaching and research spaces.

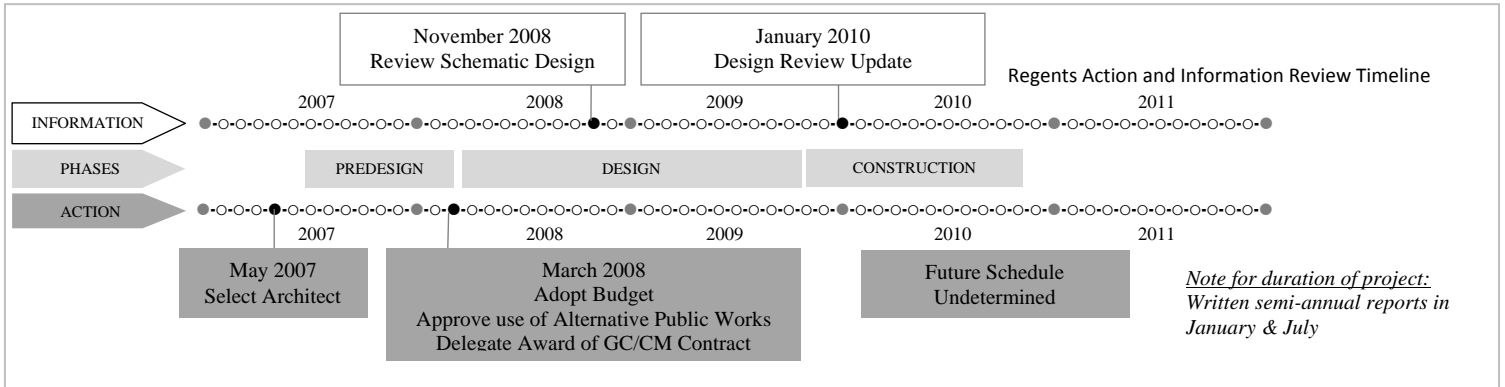
The building does not meet modern building code requirements. The lack of a building elevator makes the upper floor inaccessible to mobility impaired individuals. The fire alarm system is currently not compatible with the campus-wide system, and the building is not outfitted with fire sprinklers.

A full major building renovation is proposed that will allow Anderson Hall to last for future generations of students. A renovation of Anderson Hall provides an opportunity to improve seismic performance, accessibility, safety, maintainability, energy and water consumption, and provide other modern sustainable building standards. A major renovation will allow for the reconfiguration of all interior spaces to significantly improve the efficiency and functionality of the building.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Lewis Hall – Design Review Update



INFORMATION:

This presentation is an update of the project design and is for information only.

PROJECT DESCRIPTION:

Lewis Hall was constructed in 1899 as a dormitory and is one of the oldest buildings on the Seattle campus. It is a part of the University’s “Restore the Core” program of major building renovations. The current Business School occupants will temporarily move to Condon Hall and will then be relocated to the new PACCAR Hall. The Information School (iSchool) assignment to Lewis Hall will allow for the iSchool’s Mary Gates Hall space to be used to consolidate key student services currently located in several other campus buildings. The relocated iSchool will be able to physically express its identity as a separate school as well as move into a space that has been specifically designed for a program that is a highly collaborative culture that fosters collegiality, inclusiveness, creativity, and innovation.

PREVIOUS ACTIONS:

At the May 2007 Board of Regents meeting, the President was delegated authority to award design contracts to Mithun Architects for the Lewis Hall Renovation project. At the March 2008 Board of Regents meeting, the project budget of \$25,130,000 was established; the use of alternative public works utilizing the General Contractor/Construction Manager (GC/CM) method of contracting was approved; and the President was delegated authority to award a construction contract, subject to no significant change in the scope, the forecast cost being

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Lewis Hall –Design Review Update (continued p. 2)

within 10% of the budget and funding being in place. At the November 2008 meeting, the schematic design was presented for information.

SCOPE OF THE PROJECT:

Lewis Hall is proposed as the new home of the iSchool and the renovation and addition will be designed to fit the iSchool's program, history, style, and image. The program's history from Librarianship to the current information age is reflected in the architecture with the contrasting styles of the historic Lewis Hall and the new addition. The program includes learning spaces, faculty offices, administrative and collaboration spaces. In order to accommodate the iSchool's current space needs of 17,800 assignable square feet (asf) an addition of 5,800 asf will be added to the existing 31,415 gsf, 12,000 asf, building. This project will provide all new major building systems utilizing sustainability goals to achieve a State-mandated Leadership in Energy and Environmental Design (LEED) Silver certification; address seismic, life safety and accessibility requirements; and ensure the preservation of this historic building.

SCHEDULE:

Pre-design	July 2007 to December 2007
Design	April 2008 to September 2009 (On hold at design development)
Construction Permit	To be determined
Construction	To be determined
Occupancy	To be determined

CURRENT PROJECT STATUS:

The State did not appropriate construction funding during the 2009 legislative session to meet the previous project schedule to start construction in the Fall of 2009. The project was put on hold September 2009 at the end of the design development phase. Though design funding was previously allocated the decision was made to not complete construction documents until construction funding was approved.

As the project moved from schematic design to design development, the exterior design of the addition went through a number of refinements that resulted in a simpler overall visual expression that included reducing the overall height of the addition by incorporating a basement mechanical area.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Lewis Hall –Design Review Update (continued p. 3)

SIGNIFICANT RISKS OR OPPORTUNITIES:

This project comes an opportunity to develop a new identity and physical presence for the iSchool that represents the evolution from Librarianship to the current information age.

The addition to the existing building must be sensitively designed with respect to the historic nature of the original structure without adversely impacting the architectural and historic significance of the building.

The State previously approved the \$2,000,000 design funding for the 2007-2009 Biennium, and will need to approve construction funding of \$23,130,000 to allow the project to move forward.

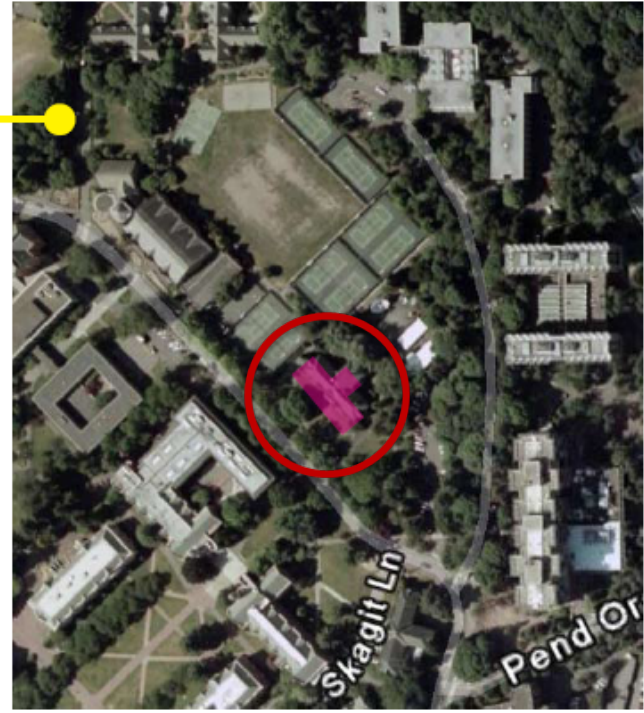
Attachment

Design Review Update Presentation

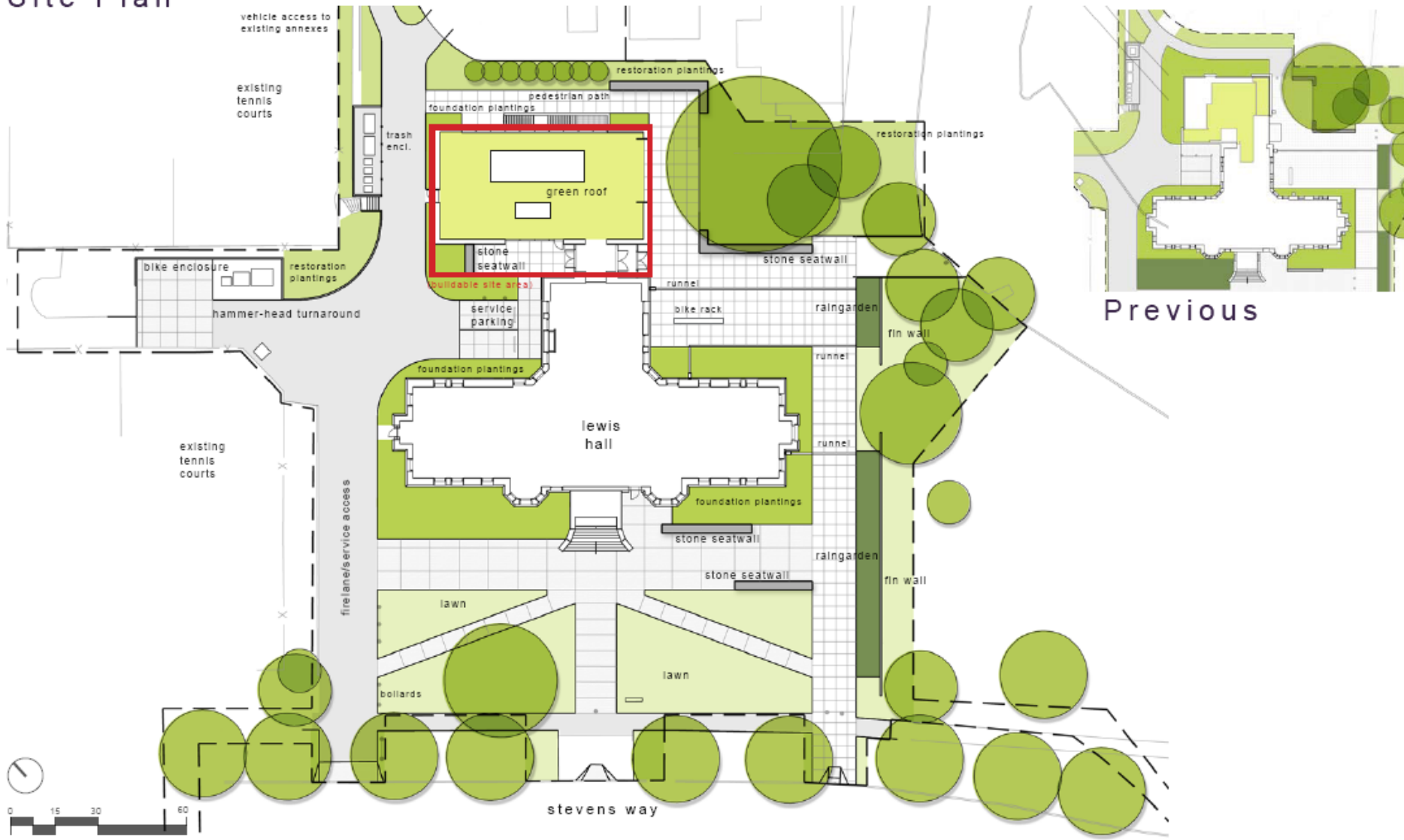


LEWIS HALL : DESIGN REVIEW UPDATE

Aerial View / Context



Site Plan



Previous

Perspective View



Previous

East Courtyard

Elevations



Previous East Elevation



East Elevation



Previous North Elevation



North Elevation

Elevations



Previous West Elevation



West Elevation



Previous West Courtyard



West Courtyard

Perspective View



East Courtyard

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Advisory Committee on Real Estate (ACRE) Update

For information only.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

2009 Audit Report of the UW – KPMG

For information only.

Attachment

The 2009 Annual Report will be available after the meeting of the Board, subject to the Board's acceptance of the report.

The 2009 University of Washington Annual Report will be available on-line at:

www.annualreport.uw.edu

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

In Joint Session With

B. Finance, Audit and Facilities Committee

Background Information on Various Academic Fees

INFORMATION:

This information item has been prepared in response to a request from the Board of Regents for the administration to summarize the legal and policy context that governs fees charged to UW students other than tuition, as well as to provide some information on how much revenue is collected on an annual basis from such fees.

Attachment

Background Information on Various Academic Fees

Background Information on Various Academic Fees

January 2010

This information item has been prepared in response to a request from the Board of Regents for the administration to summarize the legal and policy context that governs fees other than tuition that are charged to UW students, as well as to provide some information on how much revenue is collected on an annual basis from such fees. At the start, it is important to distinguish between two broad categories of fees and charges that the university imposes on students (and others). First, there are fees that directly relate to the core academic program at the UW and to the provision of core academic services. Second, there are numerous rates and charges that the university assesses in its proprietary capacity in connection with the sale of a broad range of goods and services. The legal context for these two categories of fees and charges differs significantly.

Fees that relate to the academic program at the UW include tuition, services and activities fees, technology fees, course fees, orientation fees, application fees, fees for credit courses and/or degree programs offered through UW Educational Outreach, etc. Proprietary charges for the sale of goods and services include the rates charged for various patient services provided at UW Medical Center, rates charged by Housing and Food Services for the sale of food at retail food service establishments, rates charged by Transportation Services for parking on the UW campus, etc. This discussion focuses on the first category of fees and charges— fees that relate directly to the academic program at the UW.

Legal/Policy Context Surrounding University of Washington Fee Setting

The legal/policy context surrounding establishing and modifying academic fees at the UW is complicated. Various layers of the legal and policy framework that governs this area are discussed below.

General Fee Setting Authority Granted to the Board of Regents

State law gives the UW Board of Regents broad authority to set various fees that are necessary to run the university with two important (and related) exceptions. The first exception is that the legislature has reserved for itself the authority to set tuition rates for undergraduate resident students. The second exception stems from the fact that any fee that would apply to all students is within the statutory definition of tuition **unless** it is within one of the specific categories of charges that is expressly excluded from that definition (such as the services and activity fee and the technology fee) by the same statute. As a practical matter, this means that any fee imposed on all students has to be explicitly approved by the legislature – as the legislature has done with enabling language that describes how both services and activity fees and technology fees that can be charged to all students can be established.

Initiatives/Legal Rulings/Legislative Actions Limiting UW Fee Setting Authority

Over the last seventeen years, there have been a number of initiatives, legal rulings and legislative actions that have either limited or clarified the UW's fee setting authority. These items are listed in chronological order below:

Initiative 601. Initiative 601 was passed by the voters of the state in November 1993. While people are most familiar with the provisions of I-601 that limit the growth in the state General Fund budget, I-601 also placed restrictions on the amount that various fees charged by state agencies could be increased on an annual basis. I-601 limited the annual percentage increase in certain fees to no more than a fiscal growth factor based on a combination of inflation and population growth. A 2/2/94 Attorney General Opinion outlined which fees were covered by I-601 provisions. Generally, "proprietary charges" - such as the rates charged for food, sporting events and parking - are not subject to the initiative's limitations. The fee increase limitations imposed by I-601 were superseded by Initiative 960 (which is discussed below).

The Energy Fee Lawsuit. As part of the approval of the UW budget for Fiscal Year 2002, the UW Board of Regents approved the implementation of a temporary "energy fee." This action was taken during the time period when the west coast energy crisis was causing extraordinary increases in energy costs. The energy fee was \$3/credit/quarter for the UW Seattle campus and \$1/credit/quarter for the UW Bothell and UW Tacoma campuses. The energy fee was approved at a special meeting of the Board of Regents on 8/15/2001.

Jasmin Weaver, who had been the president of ASUW for the previous academic year, immediately filed a lawsuit on behalf of all students claiming that the university could not legally implement the energy fee. The argument made was that with the combination of raising undergraduate resident tuition by the maximum allowed by the legislature and implementing the energy fee, the UW was exceeding the legislatively specified limit on how much undergraduate resident tuition could be increased. On 11/9/2001, the King County Superior Court issued a summary judgment that prevented implementation of the energy fee. The most relevant part of this summary judgment says:

The Court has concluded as a matter of law that this special fee, against which plaintiff has filed this action, is to be charged to "all students registering" at the University of Washington in the manner contemplated by RCW 28B.15.031 because it is to apply to all students without any exception other than is already applied to off-campus and other special courses and programs exempted from the definition of "operating fees" by operation of RCW 28B.15.031. As a result, the challenged fee fits within the definition of "operating fees" under RCW

28.B.031. In turn, operating fees are themselves explicitly included within the definition of “tuition fees” set forth in RCW 28B.15.020(2).

Under RCW 28B.15.020 and 28B.15.031, defendant does not, by the mere expediency of affixing a new label of “special fee” to it, have the legal authority to exempt an energy fee or surcharge from the limits imposed by the State Legislature on the amount of tuition which may be charged to students registering at the state’s colleges and universities.

Engrossed Substitute Senate Bill (ESSB) 5448. On May 12, 2003, the state legislature passed ESSB 5448 which gave the UW Board of Regents the authority to set tuition for all categories of students except for undergraduate resident students; this authority was granted for the 2003-04 academic year through the 2008-09 academic year.

Initiative 960. Initiative 960 was passed by the voters of the state in November 2007. The provision of I-960 relevant to this discussion is that it requires legislative approval for the implementation of any new fee or an increase to any existing fee. During the 2008 legislative session, the governor and legislature implemented a two step process for higher education institutions to comply with I-960 requirements. First, as part of their budget submissions to OFM and the legislature, higher education institutions must submit proposed percentage increase limits for various categories of fees. Second, language authorizing the Board of Regents to increase various categories of fees is included in the operating budget bill; this language authorizes the Board of Regents to increase fees by amounts that the Board determines to be “reasonable and necessary.” The Board or Regents approves the general fee increase limits proposed by the UW as part of its approval of the university’s state budget request; these fee increase limits are also approved by the Board of Regents as part of its approval of the UW’s annual budget. The categories of fees and charges covered by I-960 are the same as those covered by I-601.

Substitute Senate Bill (SSB) 5734. In the 2009 legislative session, the state legislature passed SSB 5734 which extended the authority previously granted to the UW Board of Regents to set tuition for all categories of students except for undergraduate resident students; this authority was granted for the 2009-10 academic year through the 2012-13 academic year.

UW Policy Context for Fee Setting

Both the establishment of new academic fees and increases to existing fees are governed by two things. First, the Board of Regents has reserved the authority to approve certain fees; these include: tuition rates for the state-subsidized academic program; services and activities fees; technology fees; Summer Quarter tuition; residence hall and family housing rates; and other fees intended to cover instructional costs (with “instructional costs” in this context defined as faculty or teaching assistant time). Essentially all other fee approvals are delegated to the

president, who has then delegated fee approvals to both the provost, to deans and to vice presidents.

There is an official UW Administrative Policy Statement (APS 33.1 – User Fee Approval Policy) that specifies both who has the authority to approve certain fees and what costs can be recovered with various fees. In general, the president approves “university wide” fees, and a number of specific fees are enumerated. The Provost approves any course fee greater than \$50/quarter and various “instructional related fees” not tied to specific courses (such as fees to cover immunizations for various health sciences students who perform clinical work in hospitals as part of their education). Deans are authorized to approve course fees of less than \$50/quarter.

In response to concerns voiced by ASUW, in 2004 and 2005 the university went through an extensive process to revise and update the User Fee Approval Policy. A group with representatives from ASUW, the Faculty Senate Committee on Planning and Budgeting, and the Office of Planning and Budgeting worked to clarify a number of elements of the policy; the policy currently in place represents the outcome of that process.

How Common are Course and Other Instructional Fees and How Much Revenue Do They Produce?

Table 1 provides information on how much revenue was generated by course and other instructional fees by college by department for FY 2007, FY 2008 and FY 2009. All of the revenue generated by these fees goes directly to the college/department charging the fee. Table 2 provides a few examples of the course fee amounts associated with selected courses.

There are 861 recorded course fees billed through Student Fiscal Services; just over 7% of courses have course fees associated with them. The dollar amount of course fees ranges from \$3 to \$701. In FY 2009, the average course fee was \$45. The total revenue from course fees billed through Student Fiscal Services from all campuses for FY 2009 was \$2,394,976. There are a few instances where a course or other instructional fee is charged to students, but is not charged through Student Fiscal Services as part of the student’s quarterly billing of tuition and fees.

For comparison, in FY 2009 the Services and Activities Fee charged to students generated \$11,640,000 in revenue, the Technology Fee charged to students generated \$4,291,000 and the IMA Bond Fee charged to UW Seattle students generated \$3,124,000. Budgeted tuition operating fee revenue for FY 2009 was \$295,500,000.

What are Examples of Other Academic Fees Charged to Either Students or Applicants for Admission?

There are a number of other academic fees that are charged to either students or to individuals that are applying for admission to the UW where the revenue generated by the fees is collected centrally and is then allocated back to the campus unit providing the service the fee is paying for as part of the Core Education budget allocations to those units. Examples of such fees include: the undergraduate application fee; the graduate application fee; and the transcript fee.

For context, the undergraduate application fee is currently \$60 for US students and \$75 for international students, the graduate application fee is currently \$65 and the transcript fee is currently \$9. All three of these fees were increased by the Board of Regents as part of their action approving the UW's Fiscal Year 2010 budget. In FY 2009, the undergraduate application fee collection totaled approximately \$1.2 million, the graduate application fee collection totaled approximately \$1.1 million, and the transcript fee collection totaled approximately \$175,000.

The primary instance where there are large "other academic fees" that are charged to students outside of the regular billing of tuition and fees is in the School of Dentistry. Consistent with how things are done in other dentistry schools, in addition to tuition students pay large fees for dental kits that provide most of the materials needed for basic clinical training: dental instruments; model teeth; gold and other materials used for fillings, etc. The current fees for these dental kits are: \$5,424 for first year dental students; \$4,996 for second year dental students; \$1,890 for third year dental students; and \$250 for fourth year dental students.

How Similar is What the UW Does in the Academic Fee Realm to What Similar Institutions Do?

Detailed information on the frequency and size of course and other academic fees at peer institutions is generally not readily available on the web sites of those institutions. Based on general discussions with staff at a number of peer institutions, we are confident in saying that the number and amount of course and other academic fees at the UW is similar to that of peer institutions. Course fees are common at most institutions for courses in the sciences and engineering that have laboratory sections associated with them, and for courses that have field placements as part of required training. Office of Planning and Budgeting staff are working with a few other peer institutions to see if more detailed information on course and other academic fees at those institutions can be obtained.

It is important to note that the tuition and fee comparisons with peer institutions that are provided to the Board of Regents each year as part of the annual approval of the UW's budget only include tuition plus fees charged to all students (e.g., the equivalents at the other institutions to the services and activity fee and the technology fee at the UW).

Table 1
University of Washington
Academic Course Fee Revenue for Academic Years 2006-07 through 2008-09
by College/School and Department

College/School and Department	2006-07	2007-08	2008-09
Office of the Provost			
Army	2,750	5,325	6,700
Subtotal	2,750	5,325	6,700
College of Built Environments			
Architecture	87,227	98,927	103,147
Construction Managemnt	35,494	36,684	32,329
Landscape Architecture	26,350	24,000	22,000
Urban Design & Planning	29,890	32,850	22,630
Subtotal	178,961	192,461	180,106
College of Arts & Sciences			
Art	198,498	198,824	270,331
Dance	31,242	30,688	33,082
Drama	920	1,410	2,150
Music	158,179	168,214	177,325
Comp Lit	6,600	8,085	8,700
Classics			3,030
Romance Languages			3,235
Astronomy	15,250	15,570	15,510
Chemistry	397,100	394,350	405,050
Earth & Space Sciences	65,985	65,786	77,130
Physics	173,060	190,020	192,610
Psychology	5,310	5,560	5,490
Speech & Hearing Sciences	24,570	21,965	23,195
Biology	191,635	205,695	205,575
Anthropology	5,137	5,688	6,310
International Studies	90		40
History			1,110
Subtotal	1,273,576	1,311,855	1,429,873
College of Education			
Department Of Education	54,064	53,208	46,264
Subtotal	54,064	53,208	46,264

Table 1, Academic Course Fees continued from previous page

College/School and Department	2006-07	2007-08	2008-09
College of Engineering			
Dean Engineering	8,750	8,825	9,050
Aeronautics And Astronomy	3,850	3,810	3,115
Chemical Engineering	10	20	20
Civil & Environmental Engineering	22,410	20,175	23,510
Electrical Engineering	104,150	99,120	96,115
Computer Science & Engineering	11,600	11,900	11,700
Mechanical Engineering	21,875	21,540	27,570
Material Science & Engineering	375	375	375
Subtotal	173,020	165,765	171,455
College of Ocean & Fishery Sciences			
School of Aquatic & Fishery Science	9,850	11,400	15,450
School Of Oceanography	3,850	2,450	9,690
Program On The Environment	1,985	1,560	1,730
Subtotal	15,685	15,410	26,870
College of the Environment			
Forest Resources	49,769	71,050	76,393
Subtotal	49,769	71,050	76,393
The Information School			
The Information School	2,385	1,665	2,700
Subtotal	2,385	1,665	2,700
School Of Social Work			
School Of Social Work	35,870	33,030	31,660
Subtotal	35,870	33,030	31,660
School of Dentistry			
Endodontics			1,100
Orthodontics			1,375
Restorative Dentistry			29,700
Subtotal			32,175
School of Medicine			
Department Of Medicine	52,270	50,825	49,845
Rehabilitation Medicine	43,125	44,840	47,613
Biochemistry			2,650
Subtotal	95,395	95,665	100,108
School of Nursing			
Dean Nursing	10,615	8,410	33,760
Subtotal	10,615	8,410	33,760

Table 1, Academic Course Fees continued from previous page

College/School and Department	2006-07	2007-08	2008-09
School of Pharmacy			
Pharmaceutics	3,440	3,440	3,440
Pharmacy-Dean'S Office	61,080	59,660	67,170
Subtotal	64,520	63,100	70,610
Bothell Campus			
Bothell-Software Sys	8,010	6,840	9,392
Bothell Education	36,239	40,362	56,125
Bothell Interdisciplinary A & S	11,291	13,836	19,897
Bothell Nursing	3,080	3,745	5,215
Subtotal	58,620	64,783	90,629
Tacoma Campus			
Tacoma Education	27,776	26,656	40,992
Tacoma Interdisc A & S	22,165	40,697	46,872
Tacoma Urban Studies	2,100	2,275	1,725
Tacoma Nursing	4,824	5,832	6,084
Subtotal	56,865	75,460	95,673
TOTAL ALL COURSE FEES	2,072,095	2,157,187	2,394,976

Table 2
 University of Washington
Example of Academic Course Fees
 data from Academic Year 2008-09

Course Number	Course Name	College	Graduate/ Undergraduate	Quarterly Course Fee Amount
120	Introduction to Chemistry	Coll Arts & Sciences	Undergraduate	50
119	Introduction to Biology	Coll Arts & Sciences	Undergraduate	25
300	Applied Music	Coll Arts & Sciences	Undergraduate	120
190	Introduction to Drawing	Coll Arts & Sciences	Undergraduate	15
501	Clinical Nursing	School Of Nursing	Graduate	60
351	Hardware/Software Interface	College of Engineering	Undergraduate	50
502	Advanced Practicum in Classroom Teaching	College Of Education	Graduate	200

IV. REPORT OF THE CHAIR OF THE BOARD OF REGENTS

**University of Washington
Board of Regents
Resolution of Appreciation to
Frederick C. Kiga**

WHEREAS, Frederick C. Kiga has completed his term as a member of the University of Washington Board of Regents, contributing over five years of exemplary service to the Board, the University of Washington, and the citizens of the state; and

WHEREAS, after earning three degrees from the University of Washington, he embarked upon a distinguished career in business, government, and the aerospace industry; and

WHEREAS, he provided outstanding leadership and served the Board in numerous capacities, including election as Board Chair in 2006-07 and serving as chair of the Finance, Audit and Facilities Committee in 2005-06; and

WHEREAS, from 2006-2009 he served as one of two Board representatives to the University of Washington Investment Committee (UWINCO), providing wise oversight and guidance to the Board on matters pertaining to investment policy and strategy; and

WHEREAS, his genuine commitment to education and opportunity resulted in his applying the highest standards of excellence to the operation of this large enterprise that is the University of Washington; and

WHEREAS, his deep understanding of public policy formulation and his financial acumen and insights have contributed greatly to strengthening the University's management and fiscal practices; and

WHEREAS, his keen analysis, sound judgment, and diligence in carrying out his Regental responsibilities have contributed immensely to the work of the Board and to the health and vitality of the University, especially in these economically challenging times;

NOW, THEREFORE, BE IT RESOLVED: That the members of the Board express to Fred Kiga their gratitude on behalf of the entire University community and their own personal thanks for his exemplary service, his friendship and collegiality, and his outstanding contributions to the work of the Board, that we wish him continued success in all his endeavors, and that this resolution be spread upon the minutes of the Board as a permanent record of the Board's sincere appreciation.