



June 4, 2009

TO: Members of the Board of Regents
Ex-officio Representatives to the Board of Regents

FROM: Joan Goldblatt, Secretary of the Board of Regents

RE: Schedule of Meetings

THURSDAY, JUNE 11, 2009

9:00 a.m.	UW Tower Board Room, 22 nd Floor	REGULAR MEETING OF BOARD OF REGENTS
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*The following times are approximate.
Committees will meet concurrently.*

9:45 to 11:10 a.m.	UW Tower Board Room, 22 nd Floor	FINANCE, AUDIT AND FACILITIES COMMITTEE: Regents Blake (Chr), Brotman, Jewell, Kiga, Simon
10:15 to 11:10 a.m.	UW Tower Magnolia Room, 22 nd Floor	ACADEMIC & STUDENT AFFAIRS COMMITTEE: Regents Simon (Chr), Barer, Gates, Proctor, Willynck
11:10 a.m.		Break
11:25 a.m.	UW Tower Board Room, 22 nd Floor	REGULAR MEETING OF BOARD OF REGENTS RECONVENES
3:30 p.m.	Meany Hall	UNIVERSITY OF WASHINGTON RECOGNITION CEREMONY

To request disability accommodation, contact the Disability Services Office at: 206.543.6450 (voice), 206.543.6452 (TTY), 206.685.7264 (fax), or email at dso@u.washington.edu. The University of Washington makes every effort to honor disability accommodation requests. Requests can be responded to most effectively if received as far in advance of the event as possible, preferably at least 10 days.

1.1/206-09
6/11/09



AGENDA

BOARD OF REGENTS University of Washington

June 11, 2009
9:00 a.m.
UW Tower
Board Room, 22nd Floor

(Item No.)

I. CALL TO ORDER

Adjourn to committee meetings.

The Regular Meeting of the Board of Regents will reconvene at approximately 11:25 a.m.

II. ROLL CALL

III. CONFIRM AGENDA

IV. REPORT OF THE CHAIR OF THE BOARD OF REGENTS: Regent Cole

Resolution of Appreciation to Jean-Paul Willynck

BP-1

V. REPORT OF THE UNIVERSITY PRESIDENT: Dr. Emmert

Proposed Fiscal Year 2010 Operations and Capital Budgets and Tuition 2009-2010

F-12

Phyllis Wise, Provost and Executive Vice President

Paul Jenny, Vice Provost, Planning and Budgeting

Husky Union Building Renovation and Addition – Review Project Concept and Funding (Information only)

UP-1

Eric Godfrey, Vice President and Vice Provost, Student Life

Lincoln Johnson, Assistant Vice Provost for Campus Life, Student Life

Jon Lebo, Associate Director for Student Life Projects, Capital Projects Office

Wayne Sugai, Assistant Treasurer, Treasury Office

Hall Health Primary Care Center Remodel – Review Project Concept and Funding (Information only)

UP-2

Eric Godfrey

David C. Dugdale, Professor, Department of Internal Medicine and Division of General Internal Medicine, and Director, Hall Health Primary Care Center

Jon Lebo

Wayne Sugai

Ethnic Cultural Center Expansion – Review Project Concept and Funding (Information only)

UP-3

Sheila Edwards Lange, Vice President, Minority Affairs and Vice Provost, Diversity

Eric Godfrey

Jon Lebo

Wayne Sugai

VI. CONSENT AGENDA

Approval of Minutes of Meeting of May 14, 2009

UW Medicine Board Appointments and Reappointments A–2

Changes in UW Medicine Board Bylaws A–3

Granting of Degrees for 2008-09 A–4

Establishment of the Graduate Certificate in Women Studies A–5

Services and Activities Fee – University of Washington Bothell: 2009-10
Distribution of Fees and Allocation of Funds A–6

Student Technology Fee Adjustment for the UW Bothell Campus A–7

Services and Activities Fee – University of Washington, Tacoma: Distribution
of Fees and Allocation of Funds A–8

Services and Activities Fee – University of Washington, Seattle: 2009-10
Operating and Capital Allocations A–9

Reorganizations Within the College of the Environment A–11

Grant and Contract Awards – April, 2009 F–1

On-Call Medical Master Term Agreement – Select Architect F–2

Approval to Refinance UNISYS Contract F–3

UW Seattle Parking and U-PASS Rate Revisions F–7

UW Bothell Phase 3 – Review Project Predesign, Select Architect, and
Delegate Authority to Award a Design Contract F–8

Molecular Engineering Interdisciplinary Academic Building (MEIAB) –
Approve Debt Funding and Budget Adjustment F–9

Burke Museum Project – Select Architect and Delegate Authority to Award a
Design Contract F–10

VII. STANDING COMMITTEES**A. Academic and Student Affairs Committee: Regent Simon – Chair**

Academic and Administrative Appointments (**ACTION**) A–1

UW Bothell Student Housing (Information only) A–10

B. Finance, Audit and Facilities Committee: Regent Blake – Chair

Actions Taken Under Delegated Authority (Information only) F–4

Report of Contributions – April, 2009 (Information only) F–5

Investment Performance Report, Third Quarter Fiscal Year 2009 (Information only) F–6

UW Medicine at South Lake Union – Phase III Option Amendment (Information only) F–11

VIII. OTHER BUSINESS

Reports from ex-officio representatives to the Board:

Faculty Senate Chair – Professor David Lovell

ASUW President – Mr. Anttimo Bennett

GPSS President – Mr. Jake Faleschini

Alumni Association President – Mr. Rick Osterhout

IX. EXECUTIVE SESSION

(to review the performance of public employees)

X. DATE FOR NEXT REGULAR MEETING: July 16, 2009**XI. ADJOURNMENT**



**UNIVERSITY OF WASHINGTON
BOARD OF REGENTS**

**Finance, Audit and Facilities Committee
Regents Blake (Chair), Brotman, Jewell, Kiga, Simon**

**June 11, 2009
9:45 to 11:10 a.m.
UW Tower
Board Room, 22nd Floor**

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|----|--|--------------------|-----|
| 1. | Grant and Contract Awards Summary– April, 2009
Phyllis M. Wise, Provost and Executive Vice President | ACTION | F–1 |
| 2. | On-Call Medical Master Term Agreement – Select Architect
Richard Chapman, Associate Vice President, Capital Projects Office | ACTION | F–2 |
| 3. | Approval to Refinance UNISYS Contract
Kelli Trosvig, Chief Operating Officer (Interim), UW Technology
Wayne Sugai, Assistant Treasurer, Treasury Office | ACTION | F–3 |
| 4. | Actions Taken Under Delegated Authority
Richard Chapman | INFORMATION | F–4 |
| 5. | Report of Contributions – April, 2009
Walter G. Dryfoos, Associate Vice President, Advancement Services
Connie Kravas, Vice President, University Advancement | INFORMATION | F–5 |
| 6. | Investment Performance Report, Third Quarter Fiscal Year 2009
Keith Ferguson, Chief Investment Officer, Treasury Office | INFORMATION | F–6 |
| 7. | UW Seattle Parking and U-PASS Rate Revisions
Charles Kennedy, Associate Vice President, Facilities Services
Josh Kavanagh, Director, Transportation Services, Facilities Services | ACTION | F–7 |
| 8. | UW Bothell Phase 3 – Review Project Predesign, Select Architect, and Delegate Authority to Award a Design Contract
Kenyon Chan, Chancellor, UW Bothell
Richard Chapman
Eric Smith, Director, Capital Projects South, Capital Projects Office | ACTION | F–8 |
| 9. | Molecular Engineering Interdisciplinary Academic Building (MEIAB) – Approve Debt Funding and Budget Adjustment
Paul Jenny, Vice Provost, Planning and Budgeting
Chris Malins, Senior Associate Treasurer, Treasury Office
Gregory R. Miller, Professor, Civil and Environmental Engineering
Steve Tatge, Architect, LEED AP Senior Project Manager, Capital Projects Office | ACTION | F–9 |

10. **Burke Museum Project – Select Architect and Delegate Authority to Award a Design Contract** **ACTION** F-10
Richard Chapman
John Palewicz, Director, Capital Projects Central
Julie Stein, Director, Burke Museum
11. **UW Medicine at South Lake Union – Phase III Option Amendment** **INFORMATION** F-11
Ruth Mahan, Vice Dean for Administration and Finance, School of Medicine
Paul Ramsey, CEO, UW Medicine, Executive Vice President for Medical Affairs, and Dean of the School of Medicine
12. **Proposed Fiscal Year 2010 Operations and Capital Budgets and Tuition 2009-2010** **ACTION** F-12
13. **Other Business**



**UNIVERSITY OF WASHINGTON
BOARD OF REGENTS**

**Academic and Student Affairs Committee
Regents Simon (Chair), Barer, Gates, Proctor, Willynck**

**June 11, 2009
10:15 to 11:10 a.m.
UW Tower
Magnolia Room, 22nd Floor**

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|----|---|---------------|------------|
| 1. | Academic and Administrative Appointments
Phyllis M. Wise, Provost and Executive Vice President | ACTION | A-1 |
| 2. | UW Medicine Board Appointments and Reappointments
Paul G. Ramsey, CEO, UW Medicine, Executive Vice President for Medical Affairs and Dean of the School of Medicine | ACTION | A-2 |
| 3. | Changes in UW Medicine Board Bylaws
Paul G. Ramsey | ACTION | A-3 |
| 4. | Granting of Degrees for 2008-09
Phyllis M. Wise | ACTION | A-4 |
| 5. | Establishment of the Graduate Certificate in Women Studies
James Antony, Associate Dean for Academic Affairs, The Graduate School
Nancy J. Kenney, Associate Professor, Women Studies
Shirley J. Yee, Associate Professor, Women Studies | ACTION | A-5 |
| 6. | Services and Activities Fee – University of Washington Bothell: 2009-10 Distribution of Fees and Allocation of Funds
Kenyon Chan, Chancellor, UW Bothell
Jennifer Droege, Director Student Life, UW Bothell
Amira Davis, Student Technology Fee Committee Chair, UW Bothell
Alan Li, Services and Activities Fee Committee Chair, UW Bothell | ACTION | A-6 |
| 7. | Student Technology Fee Adjustment for the UW Bothell Campus
Kenyon Chan
Jennifer Droege
Amira Davis
Alan Li | ACTION | A-7 |

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|-----|---|--------------------|------|
| 8. | Services and Activities Fee – University of Washington, Tacoma: Distribution of Fees and Allocation of Funds
Patricia Spakes , Chancellor, UW Tacoma
Cedric Howard , Associate Vice Chancellor, UW Tacoma
Kathleen Burdo , Chair of the 2008-09 Services and Fees Committee, UW Tacoma | ACTION | A–8 |
| 9. | Services and Activities Fee – University of Washington, Seattle: 2009-10 Operating and Capital Allocations
Eric Godfrey , Vice President, Vice Provost for Student Life
Matt York , Senior, Chair of Services and Activities Fee Committee | ACTION | A–9 |
| 10. | UW Bothell Student Housing
Kenyon Chan
Jennifer Droege | INFORMATION | A–10 |
| 11. | Reorganizations Within the College of the Environment
Phyllis M. Wise | ACTION | A–11 |
| 12. | Other Business | | |

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Academic and Administrative Appointments

RECOMMENDED ACTION:

It is the recommendation of the administration and the Academic and Student Affairs Committee the Board of Regents approve the appointments to the University faculty and administration as presented on the attached list.

Attachment: Personnel Recommendations

COLLEGE OF BUILT ENVIRONMENTS

DEPARTMENT OF LANDSCAPE ARCHITECTURE

ADMINISTRATIVE APPOINTMENTS

HOU, JEFFREY

(BArch, 1990, COOPER UNION; MLA, 1993, UNIVERSITY OF PENNSYLVANIA; MArch, 1994, UNIVERSITY OF CALIFORNIA (BERKELEY); PHD, 2001, UNIVERSITY OF CALIFORNIA (BERKELEY)) TO BE CHAIR OF LANDSCAPE ARCHITECTURE, EFFECTIVE 6/16/2009. (DR. HOU WILL CONTINUE AS ASSOCIATE PROFESSOR OF LANDSCAPE ARCHITECTURE.)

DEPARTMENT OF URBAN DESIGN AND PLANNING

NEW APPOINTMENTS

SHEN, QING

(BS, 1982, ZHEJIANG UNIVERSITY (CHINA); MA, 1986, UNIVERSITY OF BRISTOL (UK); PHD, 1993, UNIVERSITY OF CALIFORNIA (BERKELEY)) TO BE CHAIR AND PROFESSOR OF URBAN DESIGN AND PLANNING, EFFECTIVE 7/1/2009. (DR. SHEN IS CURRENTLY THE ASSOCIATE DEAN FOR ACADEMIC AFFAIRS AND PROFESSOR OF URBAN STUDIES AND PLANNING AT THE UNIVERSITY OF MARYLAND, COLLEGE PARK.)

BITTER, CHRISTOPHER

(BA, 1990, UNIVERSITY OF MICHIGAN; MA, 1996, UNIVERSITY OF ARIZONA; PHD, 2008, UNIVERSITY OF ARIZONA) TO BE ASSISTANT PROFESSOR OF URBAN DESIGN AND PLANNING AT A SALARY RATE OF \$88,002 OVER NINE MONTHS, EFFECTIVE 7/1/2009. (DR. BITTER IS A LECTURER IN GEOGRAPHY AT THE UNIVERSITY OF ARIZONA.)

COLLEGE OF ARTS AND SCIENCES

SCHOOL OF ART

NEW APPOINTMENTS

KHULLAR, SONAL

(BA, 2000, WELLESLEY COLLEGE; MA, 2004, UNIVERSITY OF CALIFORNIA (BERKELEY); PHD, 2009, UNIVERSITY OF CALIFORNIA (BERKELEY)) TO BE ASSISTANT PROFESSOR OF ART AT A SALARY RATE OF \$60,003 OVER NINE MONTHS, EFFECTIVE 9/16/2009.

SCHOOL OF MUSIC

ADMINISTRATIVE APPOINTMENTS

KARPEN, RICHARD

(BA, 1983, CITY UNIVERSITY OF NEW YORK; MA, 1986, STANFORD UNIVERSITY; DMA, 1989, STANFORD UNIVERSITY) TO BE DIRECTOR OF THE SCHOOL OF MUSIC, EFFECTIVE 7/1/2009. (DR. KARPEN WILL CONTINUE AS PROFESSOR OF MUSIC AND DIVISIONAL DEAN FOR RESEARCH AND INFRASTRUCTURE IN THE COLLEGE OF ARTS AND SCIENCES.)

MICHAEL G. FOSTER SCHOOL OF BUSINESS

DEPARTMENT OF ACCOUNTING

ENDOWED APPOINTMENTS

HODGE, FRANK DOUGLAS

(BA, 1988, CARROLL COLLEGE (MONTANA); MBA, 1996, INDIANA UNIVERSITY; PHD, 2000, INDIANA UNIVERSITY) TO BE HOLDER OF THE HERBERT O. WHITTEN ENDOWED PROFESSORSHIP IN ACCOUNTING, EFFECTIVE 7/1/2009. (PROFESSOR HODGE WILL CONTINUE AS ASSOCIATE PROFESSOR OF ACCOUNTING.)

RAJGOPAL, SHIVARAM

(BA, 1988, UNIVERSITY OF BOMBAY (INDIA); PHD, 1998, UNIVERSITY OF IOWA) TO BE HOLDER OF THE JULIUS ROLLER PROFESSORSHIP IN ACCOUNTING, EFFECTIVE 7/1/2009. (PROFESSOR RAJGOPAL WILL CONTINUE AS PROFESSOR OF ACCOUNTING.)

SOLIMAN, MARK TALAAT

(BS, 1993, CALIFORNIA STATE POLY U - POMONA; MS, 1999, SEATTLE UNIVERSITY; PHD, 2003, UNIVERSITY OF MICHIGAN) TO BE HOLDER OF THE WILLIAM A FOWLER ENDOWED PROFESSORSHIP IN BUSINESS, EFFECTIVE 7/1/2009. (PROFESSOR SOLIMAN WILL CONTINUE AS ASSOCIATE PROFESSOR OF ACCOUNTING.)

DEPARTMENT OF FINANCE AND BUSINESS ECONOMICS**ENDOWED APPOINTMENTS****KARPOFF, JONATHAN MARK**

(BA, 1978, UNIVERSITY OF ALASKA; MA, 1980, UNIVERSITY OF CALIFORNIA (LOS ANGELES); PHD, 1982, UNIVERSITY OF CALIFORNIA (LOS ANGELES)) TO BE HOLDER OF THE WASHINGTON MUTUAL ENDOWED CHAIR IN INNOVATION, EFFECTIVE 7/1/2009. (PROFESSOR KARPOFF WILL CONTINUE AS PROFESSOR OF FINANCE AND BUSINESS ECONOMICS.)

DEPARTMENT OF INFORMATION SYSTEMS AND OPERATIONS MANAGEMENT**NEW APPOINTMENTS****STUDER-ELLIS, ERICH**

(BS, 1983, INDIANA UNIVERSITY; MBA, 1986, INDIANA UNIVERSITY; PHD, 1996, DUKE UNIVERSITY) TO BE SENIOR LECTURER FULL-TIME OF INFORMATION SYSTEMS AND OPERATIONS MANAGEMENT AT A SALARY RATE OF \$124,992 OVER NINE MONTHS, EFFECTIVE 9/16/2009. (DR. STUDER-ELLIS IS CURRENTLY A TEACHING FELLOW AT THE UNIVERSITY OF MARYLAND.)

DEPARTMENT OF MANAGEMENT AND ORGANIZATION**ENDOWED APPOINTMENTS****BIGLEY, GREGORY ALLAN**

(BA, 1984, UNIVERSITY OF CALIFORNIA (LOS ANGELES); MBA, 1991, UNIVERSITY OF CALIFORNIA (IRVINE); PHD, 1995, UNIVERSITY OF CALIFORNIA (IRVINE)) TO BE HOLDER OF THE LONGBRAKE ENDOWED PROFESSORSHIP IN INNOVATION, EFFECTIVE 7/1/2009. (PROFESSOR BIGLEY WILL CONTINUE AS ASSOCIATE PROFESSOR OF MANAGEMENT AND ORGANIZATION.)

ADMINISTRATIVE APPOINTMENTS**CHEN, XIAO-PING**

(BS, 1985, HANGZHOU UNIVERSITY; MEd, 1988, HANGZHOU UNIVERSITY; MA, 1992, UNIVERSITY OF ILLINOIS; PHD, 1998, UNIVERSITY OF ILLINOIS) TO BE CHAIR OF MANAGEMENT AND ORGANIZATION, EFFECTIVE 7/1/2009. (DR. CHEN WILL CONTINUE AS PROFESSOR OF MANAGEMENT AND ORGANIZATION.)

DEPARTMENT OF MARKETING AND INTERNATIONAL BUSINESS**ENDOWED APPOINTMENTS****FOREHAND, MARK ROBECK**

(BA, 1992, STANFORD UNIVERSITY; PHD, 1997, STANFORD UNIVERSITY) TO BE HOLDER OF THE PIGOTT FAMILY PROFESSORSHIP IN BUSINESS ADMINISTRATION, EFFECTIVE 7/1/2009. (PROFESSOR FOREHAND WILL CONTINUE AS ASSOCIATE PROFESSOR OF MARKETING AND INTERNATIONAL BUSINESS.)

PALMATIER, ROBERT W.

(BSENG, 1983, GEORGIA INSTITUTE OF TECHNOLOGY; MSEE, 1984, GEORGIA INSTITUTE OF TECHNOLOGY; MBA, 1989, GEORGIA INSTITUTE OF TECHNOLOGY; PHD, 2004, UNIVERSITY OF MISSOURI) TO BE HOLDER OF THE JOHN C. NARVER ENDOWED CHAIR IN BUSINESS ADMINISTRATION, EFFECTIVE 7/1/2009. (PROFESSOR PALMATIER WILL CONTINUE AS ASSISTANT PROFESSOR OF MARKETING AND INTERNATIONAL BUSINESS.)

COLLEGE OF ENGINEERING**DEPARTMENT OF BIOENGINEERING****ENDOWED APPOINTMENTS****PUN, SUZIE**

(BS, 1996, STANFORD UNIVERSITY; MS, 1998, CALIFORNIA INSTITUTE OF TECHNOLOGY; PHD, 2000, CALIFORNIA INSTITUTE OF TECHNOLOGY) TO BE HOLDER OF THE ROBERT F. RUSHMER TERM PROFESSORSHIP, EFFECTIVE 6/16/2009. (PROFESSOR PUN WILL CONTINUE AS ASSISTANT PROFESSOR OF BIOENGINEERING.)

INFORMATION SCHOOL**INFORMATION SCHOOL****NEW APPOINTMENTS****LEE, JIN HA**

(BA, 2000, EWHA UNIVERSITY (KOREA); MS, 2002, UNIVERSITY OF ILLINOIS; PHD, 2008, UNIVERSITY OF ILLINOIS) TO BE ASSISTANT PROFESSOR IN THE INFORMATION SCHOOL AT A SALARY RATE OF \$83,007 OVER NINE MONTHS, EFFECTIVE 5/4/2009.

SCHOOL OF LAW**LAW****ADMINISTRATIVE APPOINTMENTS****CALANDRILLO, STEVE**

(BA, 1994, UNIVERSITY OF CALIFORNIA (BERKELEY); JD, 1998, HARVARD UNIVERSITY) TO BE ASSOCIATE DEAN OF LAW, EFFECTIVE 6/16/2009. (DR. CALANDRILLO WILL CONTINUE AS PROFESSOR OF LAW.)

HOTCHKISS, MARY

(BA, 1975, DUKE UNIVERSITY; MLS, 1978, CATHOLIC UNIVERSITY OF AMERICA; JD, 1983, WASHINGTON UNIVERSITY; LLM, 1985, GEORGE WASHINGTON UNIVERSITY) TO BE ASSOCIATE DEAN OF LAW, EFFECTIVE 6/16/2009. (DR. HOTCHKISS WILL CONTINUE AS SENIOR LECTURER OF LAW.)

DANIEL J. EVANS SCHOOL OF PUBLIC AFFAIRS**EVANS SCHOOL OF PUBLIC AFFAIRS****NEW APPOINTMENTS****MARLOWE, JUSTIN**

(BS, 1999, NORTHERN MICHIGAN UNIVERSITY; MPA, 2001, NORTHERN MICHIGAN UNIVERSITY; MA, 2001, UNIVERSITY OF WISCONSIN-MILWAUKEE; PHD, 2004, UNIVERSITY OF WISCONSIN-MILWAUKEE) TO BE ASSISTANT PROFESSOR OF PUBLIC AFFAIRS AT A SALARY RATE OF \$93,006 OVER NINE MONTHS, EFFECTIVE 9/16/2009. (DR. MARLOWE IS CURRENTLY AN ASSISTANT PROFESSOR AT THE UNIVERSITY OF KANSAS.)

SCHOOL OF MEDICINE

DEPARTMENT OF ANESTHESIOLOGY AND PAIN MEDICINE

ENDOWED APPOINTMENTS

LAM, ARTHUR

(MD, 1974, UNIVERSITY OF WESTERN ONTARIO (CANADA)) TO BE HOLDER OF THE MEDICAL EDUCATION AND RESEARCH TERM PROFESSORSHIP, EFFECTIVE 7/1/2009. (DR. LAM WILL CONTINUE AS PROFESSOR WITHOUT TENURE OF ANESTHESIOLOGY AND PAIN MEDICINE AND PROFESSOR WITHOUT TENURE OF NEUROLOGICAL SURGERY.)

DEPARTMENT OF GLOBAL HEALTH

NEW APPOINTMENTS

CHUNG, MICHAEL

(BA, 1990, OBERLIN COLLEGE; MPH, 1998, HARVARD UNIVERSITY; MD, 1998, HARVARD UNIVERSITY) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF GLOBAL HEALTH – MEDICINE, ASSISTANT PROFESSOR WITHOUT TENURE OF GLOBAL HEALTH – PUBLIC HEALTH AND ASSISTANT PROFESSOR WITHOUT TENURE OF MEDICINE AT A SALARY RATE OF \$126,000 OVER TWELVE MONTHS, EFFECTIVE 5/1/2009. (PRIOR TO THIS APPOINTMENT, DR. CHUNG WAS AN ACTING INSTRUCTOR IN THE DEPARTMENT OF MEDICINE.)

WALSON, JUDD

(BA, 1993, PITZER COLLEGE; MPH, 2000, TUFTS UNIVERSITY; MD, 2000, TUFTS UNIVERSITY) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF GLOBAL HEALTH – MEDICINE, ASSISTANT PROFESSOR WITHOUT TENURE OF GLOBAL HEALTH – PUBLIC HEALTH, ASSISTANT PROFESSOR WITHOUT TENURE OF PEDIATRICS AND ASSISTANT PROFESSOR WITHOUT TENURE OF MEDICINE AT A SALARY RATE OF \$122,004 OVER TWELVE MONTHS, EFFECTIVE 5/1/2009. (PRIOR TO THIS APPOINTMENT, DR. WALSON WAS AN ACTING INSTRUCTOR IN THE DEPARTMENT OF MEDICINE.)

DEPARTMENT OF MEDICINE

NEW APPOINTMENTS

GIBBONS, EDWARD

(BS, 1972, GEORGETOWN UNIVERSITY; MD, 1978, UNIVERSITY OF CHICAGO) TO BE ASSOCIATE PROFESSOR WITHOUT TENURE OF MEDICINE AT A SALARY RATE OF \$139,008 OVER TWELVE MONTHS, EFFECTIVE 5/1/2009. (PRIOR TO THIS APPOINTMENT, DR. GIBBONS WAS THE CHIEF OF THE HEART INSTITUTE, CARDIOLOGY AND ENDOCRINOLOGY AT VIRGINIA MASON MEDICAL CENTER.)

HOHL, TOBIAS

(BS, 1993, DUKE UNIVERSITY; MD, 2001, CORNELL UNIVERSITY; PHD, 2001, CORNELL UNIVERSITY) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF MEDICINE PAID DIRECT BY FRED HUTCHINSON CANCER RESEARCH CENTER EFFECTIVE 5/1/2009. (PRIOR TO THIS APPOINTMENT, DR. HOHL WAS AN ATTENDING PHYSICIAN AT MEMORIAL SLOAN-KETTERING CANCER CENTER.)

SCHOOL OF MEDICINE

DEPARTMENT OF OPHTHALMOLOGY

ENDOWED APPOINTMENTS

NEITZ, JOHN

(BA, 1979, CALIFORNIA STATE UNIVERSITY, SAN JOSE; PHD, 1986, UNIVERSITY OF CALIFORNIA (SANTA BARBARA)) TO BE HOLDER OF THE BISHOP PROFESSORSHIP OF OPHTHALMOLOGY, EFFECTIVE 5/1/2009. (DR. NEITZ WILL CONTINUE AS PROFESSOR WITHOUT TENURE OF OPHTHALMOLOGY AND ADJUNCT PROFESSOR OF BIOLOGICAL STRUCTURE.)

DEPARTMENT OF RADIOLOGY

NEW APPOINTMENTS

LOGGERFO, SUNG EUN

(BA, 1992, WESTERN WASHINGTON UNIVERSITY; MD, 2002, UNIVERSITY OF WASHINGTON) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF RADIOLOGY AT A SALARY RATE OF \$104,004 OVER TWELVE MONTHS, EFFECTIVE 7/1/2009. (DR. LOGGERFO IS CURRENTLY AN ACTING ASSISTANT PROFESSOR - TEMPORARY IN THE SAME DEPARTMENT.)

DEPARTMENT OF UROLOGY

ENDOWED APPOINTMENTS

LIN, DANIEL

(BS, 1989, STANFORD UNIVERSITY; MD, 1994, VANDERBILT UNIVERSITY) TO BE HOLDER OF THE JESSIE H. BRIDGES ENDOWED PROFESSORSHIP IN PROSTATE CANCER RESEARCH, EFFECTIVE 5/1/2009. (DR. LIN WILL CONTINUE AS ASSOCIATE PROFESSOR WITHOUT TENURE OF UROLOGY.)

SCHOOL OF PUBLIC HEALTH

DEPARTMENT OF EPIDEMIOLOGY

NEW APPOINTMENTS

NEWCOMB, POLLY ANN

(BA, 1978, EVERGREEN STATE COLLEGE; BS, 1978, EVERGREEN STATE COLLEGE; MPH, 1982, UNIVERSITY OF WASHINGTON; PHD, 1986, UNIVERSITY OF WASHINGTON) TO BE RESEARCH PROFESSOR OF EPIDEMIOLOGY PAID DIRECT BY FRED HUTCHINSON CANCER RESEARCH CENTER, EFFECTIVE 7/1/2009. (DR. NEWCOMB IS CURRENTLY AN AFFILIATE ASSOCIATE PROFESSOR IN THE SAME DEPARTMENT.)

UNIVERSITY OF WASHINGTON, BOTHELL

EDUCATION PROGRAM

NEW APPOINTMENTS

TZOU, CARRIE T.

(BS, 1996, STANFORD UNIVERSITY; MS, 2000, VANDERBILT UNIVERSITY; PHD, 2008, NORTHWESTERN UNIVERSITY; BA, 1996, STANFORD UNIVERSITY) TO BE ASSISTANT PROFESSOR OF EDUCATION AT A SALARY RATE OF \$70,002 OVER NINE MONTHS, EFFECTIVE 9/16/2009. (DR. TZOU IS CURRENTLY A RESEARCH ASSOCIATE IN THE SAME PROGRAM.)

VII. STANDING COMMITTEE

A. Academic and Student Affairs Committee

University of Washington Medicine Board Appointment and ReappointmentsRECOMMENDED ACTION:

It is the recommendation of the University President and the Academic and Student Affairs Committee that the Board of Regents make the following new appointment and reappointments to the UW Medicine Board:

New Appointment

Alan Frazier

July 1, 2009 – June 30, 2012

Reappointments

Mike Garvey

February 1, 2009 – January 31, 2012

Allan Golston

November 1, 2008 – October 31, 2011

Charlotte Guyman

July 1, 2009 – June 30, 2010

Julie Nordstrom

November 1, 2008 – October 31, 2011

Dennis Okamoto

October 1, 2009 – September 30, 2012

JoAnn Taricani

July 1, 2008 – June 30 2011

BACKGROUND

Article 1, Section 1 of the UW Medicine Board Bylaws states, “The UW Medicine Board (the “Board”) shall consist of sixteen (16) members, including the President of the University and the CEO/EVPMA/Dean, who shall serve as *ex officio* members of the Board with full voice and vote. The Board members shall be appointed by the Board of Regents. The Chair of the Board of Regents shall appoint the Chairperson of the UW Medicine Board. At least one Board member shall be a member of the Board of Regents. One Board member shall be a member of the faculty of the University nominated by the President of the University. The remaining twelve (12) Board members shall be nominated by the President of the University from among people who have broad public perspectives and do not represent any special interest group.”

Alan Frazier is the founder and managing partner of Frazier Healthcare Ventures. Since 1991 Frazier Healthcare has raised seven venture capital funds representing \$1.8 billion in committed capital and has invested in over 100 emerging life sciences companies. Mr. Frazier assists with evaluating biopharmaceutical, medical device and growth equity investments. He has been integrally involved in growing several successful early-stage life science companies into national prominence such as Immunex, Affymax and Affymetrix and has secured premiere deal flow through early-stage investments such as Tularik and CV Therapeutic on behalf of Frazier Healthcare. Currently Mr. Frazier serves on the boards of Alexza Pharmaceuticals, Ascension Orthopedics,

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

University of Washington Medicine Board Appointment and Reappointments (continued, p. 2)

Cadence Pharmaceuticals, Calixa Therapeutics, Nuon Pharmaceuticals, Portola Pharmaceuticals, and Trilogy Health. Mr. Frazier is also a member of the UW Medicine Industry Advisory Committee. It is recommended that Mr. Frazier be appointed to the UW Medicine Board for a three year term ending June 30, 2012.

Michael D. Garvey is Chairman of Saltchuk Resources, Inc., a holding company with investments primarily in the maritime industry. Formerly, Mr. Garvey practiced law with the firms of Preston Thorgrimson (1964-1965) and Garvey, Schubert & Barer (1965-1988) of which he was a founding member. He is presently Counsel for Garvey, Schubert & Barer. He has served on the Board of Directors of Hazleton Laboratories Corporation, Ste. Michelle Vintners, K-2 Ski Company, and Columbia River Farms Corporation. It is recommended that Mr. Garvey be reappointed to the UW Medicine Board for a three year term ending January 31, 2012.

Allan C. Golston is the Program President for the Bill and Melinda Gates Foundation. He oversees education, U.S. libraries, and Pacific Northwest grant programs, as well as U.S. Program Advocacy and Special Initiatives. Mr. Golston, who joined the foundation in 1999, most recently served as chief financial and administrative officer. Mr. Golston's professional experience spans the fields of health care, software development, consulting, and public accounting. He is a certified public accountant and holds a master's degree in business administration from Seattle University and a bachelor's of science in accounting from the University of Colorado. Before joining the Gates Foundation, Mr. Golston served as director of finance for Swedish Health Services, director of finance and controller for the University of Colorado Hospital, and director of business analysis and product development at MIS, Inc. He is an active community volunteer in several nonprofit regional and national organizations and has served on a number of boards, including New Futures, Philanthropy Northwest, and the Public Library of Science. He was a fellow of the British-American Project and a delegate to the United States/Japan Leadership Program. It is recommended that Mr. Golston be reappointed to the UW Medicine Board for a three year term ending October 31, 2011.

Charlotte Guyman was formerly general manager of MSN Internet sales and marketing for Microsoft, and has worked on MSNBC and several Microsoft consumer project lines. Her experience includes development of learning software for adults and children and the pioneering Internet application, Expedia. She has been general manager of Kids and Games software for Microsoft, as well as director of international marketing and director of consumer division marketing.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

University of Washington Medicine Board Appointment and Reappointments (continued, p. 3)

Ms. Guyman currently serves on the Berkshire Hathaway Board. It is recommended that Ms. Guyman be reappointed for a one year term ending June 30, 2010 so she can serve as chair of the UW Medicine Board.

Julie Nordstrom is an attorney who worked for the firm of Stafford, Frey, Cooper, and Stewart and clerked for Justice James Dolliver of the Washington State Supreme Court. She is an active community volunteer and has served on the boards of Seattle Children's Home and Child Haven. Ms. Nordstrom co-chaired the Best of Health Luncheon Series for UW Medical Center and worked with Children's Hospital and Regional Medical Center to develop a Therapeutic Development Network. It is recommended that Julie Nordstrom be reappointed to the UW Medicine Board for a three year term ending October 31, 2011.

Dennis I. Okamoto retired in 1996 as regional vice president for UW West, now Qwest. He served in Governor Booth Gardner's cabinet as director of the Department of Revenue and is a past president of the Japan America Society. Mr. Okamoto served on the UW Medical Center and UW Medicine Boards from 1994 to 2003, including a term as chair of the UW Medicine Board. He has served on the boards of AAA of Washington/Inland, Watermark Credit Union, and Washington Technology Center. It is recommended that Mr. Okamoto be reappointed to the UW Medicine Board for a three year term ending September 30, 2012.

JoAnn Taricani is Associate Professor of Music History. She received her Master's degree in American Music History in 1977 and her doctorate in Renaissance Music History in 1986 from the University of Pennsylvania. Professor Taricani is a tireless member of the campus community and has served as a Faculty Legislative Representative, Deputy Legislative Representative, a member of the President's Task Force on Enrollment, Faculty Senate, Faculty Council, President's Task Force on Public Service and Outreach, Chair of the Academic Advisory Committee on Facilities and Special Committee on Legislative Matters, in addition to a wide range of other school and college committees. It is recommended that Dr. Taricani be reappointed as the faculty representative to the UW Medicine Board for a three-year term ending June 30, 2011.

Under the Bylaws of the UW Medicine Board it states that "the term of office of each appointed Board member shall be three years. No appointed Board member may serve more than three successive three-year terms...."

Attachment: List of members of the UW Medicine Board

UNIVERSITY OF WASHINGTON

MEDICINE BOARD

	<u>Term of Recommended Appointment</u>	<u>Changes</u>
Sally Jewell	10/1/07 – 9/30/10	
Mark Emmert President University of Washington	Ex-Officio	
Alan Frazier <i>Appointment</i>	7/1/09 to 6/30/12	<i>New</i>
Michael D. Garvey	2/1/09 to 1/31/12 <i>Reappointment</i>	
Allan C. Golston	11/1/08 to 10/31/11 <i>Reappointment</i>	
Jerry Grinstein	10/1/07 to 9/30/10	
Charlotte Guyman	7/1/09 to 6/30/10 <i>Reappointment</i>	
Shan Mullin	9/1/07 to 8/31/10	
Julie Nordstrom	11/1/08 to 10/31/11 <i>Reappointment</i>	
Dennis Okamoto	10/1/06 to 9/30/09	
Herman Sarkowsky	10/1/07 to 9/30/10	
Orin Smith	7/1/06 to 6/30/09	
Paul G. Ramsey, M.D. CEO UW Medicine Executive Vice President for Medical Affairs and Dean of the School of Medicine	Ex-Officio	
JoAnn Taricani	7/1/08 to 6/30/11 <i>Reappointment</i>	

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Changes in UW Medicine Board Bylaws

RECOMMENDED ACTION:

It is the recommendation of the President that the Board of Regents adopt the following amendments to the Bylaws of the University of Washington Medicine Board.

BACKGROUND:

Prior to July 2004, the approval of hospital privileges was delegated by the UW Medicine Board to the Board's Joint Conference Committee. In July 2004, the UW Medicine Board recommended and the UW Regents approved an amendment to Article V, Section 5.3.1, Joint Conference Committee Purpose. The amendment delegated authority for expedited privileging to the Medical Staff Advisory Committee (MSAC). This was considered to be a technical amendment to bring the bylaws into conformance with the JCAHO requirements for expedited approval of hospital privileges.

The July 2004 amendment was predicated on a revision to the JCAHO requirements that permitted expedited privileging to be delegated provided that there were two members of the governing board on the entity receiving the delegation. This was interpreted as two voting members of the Board's Joint Conference Committee, a requirement that would be satisfied by the UWMC Medical Director and UWMC Chief of Staff, both of whom are voting members of the Joint Conference Committee and MSAC. However, the UW Attorney General's office has advised us that this does not satisfy the requirement since neither are voting members of the UW Medicine Board.

The proposed revisions to the UW Medicine Board bylaws are as follows:

Amend Article I, Section 1.2.2, Governance Powers and Duties, Article V, University of Washington Medical Center Committees, and Article VIII, Medical Staff. This amendment will bring the process of expedited approval of hospital privileges into compliance with The Joint Commission (TJC) requirements.

Amend Article IV, Section 4.5, Finance and Audit Committee. This amendment will add the Finance and Audit Committee as a UW Medicine-level committee

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Changes in UW Medicine Board Bylaws (continued p. 2)

Amend Article IV, Section 4.2.2, Composition of the Executive Committee. This amendment will add the chair of the Finance and Audit Committee to the Executive Committee membership.

Amend Article V, Section 5.1, University of Washington Medical Center Committees. This amendment will add the UW Medicine Clinical Operations Officer as a voting member of the UWMC-specific committees – facilities, finance, and joint conference.

These changes have been approved by the UW Medicine Board.

1.2.2. Governance Powers and Duties

a. Determine objectives and policies pertinent to the delivery of patient **care** services of the UWMC.

1. This function includes the adoption and maintenance of a statement on patient care missions and goals.

2. The UW Medicine Board may delegate responsibility for appointment of clinical service chiefs to the Joint Conference Committee of the UW Medicine Board. The UW Medicine Board may delegate to the chiefs of the clinical services (i) responsibility for maintaining the quality of medical care in their services as set forth in the approved medical staff bylaws, rules and regulations and (ii) responsibility for recommending an applicant's appointment or reappointment to the UWMC medical staff and privileges for such an applicant.

b. Approve and adopt policies pertaining to admission of patients to the inpatient, outpatient, short stay, and emergency services of the UWMC.

c. Establish an organized medical staff and dental staff by determining which categories of practitioners are eligible candidates for appointment to the medical staff.

1. Criteria for selection to the medical and dental staff shall include individual character, competence, training, experience, and judgment and may include, in conjunction therewith, board certification; provided, however, that under no circumstances shall the accordance of staff membership or professional privileges in UWMC be dependent solely upon certification, fellowship, or membership in a specialty body or society.

2. The responsibilities and structure of the medical staff shall be as set forth in Article VIII below.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Changes in UW Medicine Board Bylaws (continued p. 3)

d. Approve bylaws, rules, and regulations of the UWMC medical and dental staffs.

e. Appoint and suspend or remove any member of the medical and dental staffs **after considering the recommendations of the existing members of the medical staff** and under the applicable provisions of the medical and dental staff bylaws.

1. **To expedite initial appointments to medical and dental staff membership and granting of privileges, reappointment to membership, or renewal or voluntary modification of privileges consistent with medical and dental staff criteria for expedited credentialing and privileging, the UW Medicine Board may delegate its authority to render those expedited decisions to the UWMC Joint Conference Committee.**

2. **Where medical and dental staff criteria for expedited credentialing privileging is not met, medical and dental staff appointment and reappointment and professional privileging decisions shall remain with the UW Medicine Board.**

f. Create and maintain mechanisms for continuing assessment and improvement of the quality of patient care, including but not limited to special studies, regular reports and the creation of standing and special committees. Such mechanisms shall include consultation with the medical staff on patient care matters.

g. Review and approve qualification and periodic evaluation criteria for the key positions of UWMC Executive Director and Medical Director. Appointments to each of these positions are subject to approval by the Board.

h. Maintain general supervision over the use of UWMC resources:

1. Review and approve all operating and capital budgets and make recommendations to the University administration and the Board of Regents.

2. Authorize revisions in charges for UWMC services based on the operating and capital budgets as approved.

3. Review and accept monthly reports on UWMC operating income and expenditures, utilization of services, and patient statistics.

4. Assist in the development of additional sources of income necessary to maintain the UWMC as a leading professional institution.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Changes in UW Medicine Board Bylaws (continued p. 4)

i. Review recommendations for physical facilities development plans for the UWMC and make recommendations to the University administration and the Board of Regents.

In carrying out its responsibilities as identified in Section 1.2, the Board shall seek continuing guidance from health professionals, management specialists and others with professional expertise.

ARTICLE IV UW MEDICINE STANDING COMMITTEES

Section 4.2. Executive Committee

4.2.1. Purpose. The Executive Committee, as requested by the CEO/EVPMA/Dean, shall review and provide strategic advice on issues for presentation to the Board and shall have the power to transact such business of the Board between regular meetings of the Board as the Board may hereafter authorize. All actions of the Executive Committee shall be reported to the full Board at its next regular meeting.

4.2.2. Composition. The Executive Committee of the Board shall consist of the chairperson of the Board, who shall serve as the chairperson of the Executive Committee; the vice chairperson of the Board; the **CEO/EVPMA/Dean**; the chairperson of the UWMC Committees (Joint Conference, Facilities, Finance); the chairperson of the Compliance Committee; and **the chairperson of the Finance and Audit Committee.**

4.2.3. Meetings. The Executive Committee shall meet as deemed necessary by the chairperson and the CEO/EVPMA/Dean.

4.2.4. Quorum. A majority of the voting members of the committee shall constitute a quorum.

Section 4.5 Finance and Audit Committee

4.5.1 Purpose. The Finance and Audit Committee shall be responsible for reviewing the financial results, plans and audits of UW Medicine and its component organizations for the purpose of assessing the overall financial risks and capacities of UW Medicine and the congruity of the financial management, plans, and objectives of UW Medicine.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Changes in UW Medicine Board Bylaws (continued p. 5)

4.5.2 Composition. The voting members of the Finance and Audit Committee shall consist of at least four Board members, including the chairperson of the Committee as appointed by the Chair of the UW Medicine Board, the Chair of the UWMC Committees of the Board, the CEO/EVPMA/Dean, and one additional Board member appointed by the Board Chair.

4.5.3 Meetings. The Finance and Audit Committee shall meet at the call of the Chair person, but not less than quarterly.

4.5.4 Quorum. A majority of the voting members of the committee shall constitute a quorum.

ARTICLE V

UNIVERSITY OF WASHINGTON MEDICAL CENTER COMMITTEES

Section 5.2. Joint Conference Committee

5.2.1. Purpose. The Joint Conference Committee shall serve as an advisory committee to the Board by providing a forum in which representatives of the Board, medical staff and UWMC administration, shall jointly consider UWMC policy matters governing medical practice and review quality assurance reports. ~~The Medical Staff Advisory Committee shall also have delegated authority from the Board to render final decisions regarding expedited approval of medical staff initial appointments, reappointments, additional to privileges, terminations, and other modifications to clinical privileges. The Medical Staff Advisory Committee shall present its final decisions taken under the expedited approval process actions to the Board through the Joint Conference Committee for information purposes.~~

5.2.2. Composition. The voting members of the Joint Conference Committee shall consist of at least three Board members appointed annually by the chairperson of the Board, one of whom shall serve as the chairperson of this Joint Conference Committee; the chairperson of the Board or his/her designate, **the Clinical Operations Officer/VPMA**, the UWMC Medical Director, the UWMC Executive Director, the Chief of Staff, and one member of the medical staff nominated by the Medical Staff Administrative Committee for a one-year period. Non-voting members of the committee are the Director of Nursing Services; three faculty from clinical departments of the School of Medicine appointed by the CEO/EVPMA/Dean for one year; one member of the Housestaff Association designated by its president; and the CEO/EVPMA/Dean or his/her designee.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Changes in UW Medicine Board Bylaws (continued p. 6)

5.2.3. Meetings. The Joint Conference Committee shall meet at the call of the chairperson, **but not less than quarterly as often as necessary to perform its duties.**

5.2.4. Quorum. A majority of the voting members of the committee shall constitute a quorum.

5.2.5. Expedited Medical Staff Credentialing and Privileging. The Joint Conference Committee shall have delegated authority from the Board to render final decisions regarding expedited approval of medical staff initial appointments, reappointments, additions to privileges, and voluntary modifications to clinical privileges. The Joint Conference Committee shall present its final decisions taken under the expedited approval process to the Board for information purposes.

5.2.6. Clinical Services. The Joint Conference Committee shall have the delegated authority from the Board to appoint the chief of each clinical service. Appointment shall be made consistent with the provisions of section 8.9 below. The Joint Conference Committee shall recommend to the UW Medicine Board the establishment of any new clinical services. Recommendations shall be made consistent with the provisions of section 8.9 below. Approval of new clinical services shall remain with the UW Medicine Board.

Section 5.3. Finance Committee

5.3.1. Purpose. The Finance Committee shall be responsible for advising the Board on financial matters as they relate to the UWMC and its shared services, including reviewing the annual audit, annual budgets, and monthly financial reports. The Finance Committee shall be responsible for reviewing and approving the UWMC Audit Policy and ensuring that the policy complies with the Sarbanes Oxley principles adopted by the UW Medicine Board. The Finance Committee shall also review programs, long-range financial plans, budget plans and proposals for rate-setting revenues, before they are submitted to the Board for final action.

5.3.2. Composition. The voting members of the Finance Committee shall consist of at least three Board members appointed annually by the chairperson of the Board, one of whom shall be chairperson of the Finance Committee, **the Clinical Operations Officer/VPMA**, the UWMC Executive Director, and the UWMC Medical Director. ~~Non-voting members of the committee are the Chief of Staff or Chief of Staff Elect, and the CEO/EVPMADean or his/her designee.~~

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Changes in UW Medicine Board Bylaws (continued p. 7)

5.3.3. Meetings. The Finance Committee shall meet at the call of the chairperson, ~~as often as necessary to perform its duties, but not less than quarterly.~~

5.3.4. Quorum. A majority of the voting members of the committee shall constitute a quorum.

Section 5.4. Facilities Committee

5.4.1. Purpose. The Facilities Committee shall have general supervision over and shall make recommendations to the Board concerning the program plans for UWMC and the physical use and status of the facilities to house the UWMC and its shared services.

5.4.2. Composition. The voting members of the Facilities Committee shall consist of at least three Board members appointed annually by the chairperson of the Board, one of whom shall be chairperson of the Facilities Committee, **the Clinical Operations Officer/VPMA**, the UWMC Executive Director, and the UWMC Medical Director. Non-voting members are the Health Sciences Facilities Officer and the CEO/EVPMA/Dean or his/her designee.

5.4.3. Meetings. The Facilities Committee shall meet at the call of the chairperson, ~~as often as necessary to perform its duties, but not less than quarterly.~~

5.4.4. Quorum. A majority of the voting members of the committee shall constitute a quorum.

ARTICLE VIII MEDICAL STAFF

Section 8.1. General

The responsibility for standards of medical care in the UWMC has been delegated by the Board of Regents to the Board. The Board holds the Medical Staff organization accountable to the Board for establishing and maintaining standards of medical care. The Board **shall** approve or disapprove the Medical Staff Bylaws, **rules and regulations and may approve or disapprove** such standards of medical care as may be established from time to time.

Section 8.2. Medical Staff

For purposes of Article VIII of these Bylaws, the words "Medical Staff" shall include all physicians and dentists who are authorized to attend patients in the

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Changes in UW Medicine Board Bylaws (continued p. 8)

UWMC or in any other medical care activity administered by the UWMC, and may include such other professionals as the Medical Staff Bylaws designate.

Section 8.3. Organization of the Medical Staff

The Board shall approve and authorize the organization of the Medical Staff so as to discharge those duties and responsibilities assigned to it by the Board and specifically to accomplish the following purposes, among others:

a. To monitor the quality of medical care in the UWMC and make recommendations to the Board so that all patients admitted to or treated at any of the facilities, departments or services of the UWMC receive continuous high quality medical care;

b. To recommend to the **UW Medicine Board, or where an expedited process is appropriate, to the Joint Conference Committee of the UW Medicine Board** the appointment or reappointment of an applicant to the Medical Staff of the UWMC, the clinical privileges such applicant shall enjoy in the UWMC and appropriate action that may be necessary in connection with any member of the Medical Staff, to the end that at all times there shall be a high level of professional performance of all persons authorized to practice in the UWMC.

c. To represent the Medical Staff of the UWMC and to provide the means whereby issues concerning the Medical Staff and the UWMC may be discussed both within the Medical Staff organization and by representatives of the Medical Staff with the **Joint Conference Committee of the UW Medicine Board** and management of the UWMC.

d. To establish specific rules and regulations governing actions of members of the Medical Staff.

Section 8.4. Medical Staff Bylaws

The Medical Staff organization shall recommend to the ~~Joint Conference Committee of the UW Medicine Board~~, revisions of the existing Medical Staff Bylaws, rules and regulations setting forth the Medical Staff organization and governance for maintaining such bylaws, rules and regulations in such a manner as to accomplish the purposes set forth in Section 8.3. When such bylaws, rules and regulations are adopted by the ~~Joint Conference Committee of the UW Medicine Board~~, they shall become effective and become part of the bylaws, rules and regulations of the Medical Staff.

Section 8.5. Appointment to the Medical Staff and Assignment of Clinical Privileges

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Changes in UW Medicine Board Bylaws (continued p. 9)

Upon recommendation of the Medical Staff and in accordance with the Medical Staff Bylaws, the **UW Medicine Board, or where an expedited process is appropriate, the Joint Conference Committee of the UW Medicine Board** may appoint to membership on the Medical Staff of the UWMC, physicians, dentists and other professionals who meet the personal and professional qualifications prescribed in the Medical Staff Bylaws. Appointment to the Medical Staff carries with it full responsibility for the treatment of individual UWMC patients subject to such limitations as may be imposed by the ~~Joint Conference Committee of the UW Medicine Board~~ or the bylaws, rules and regulations of the Medical Staff.

Appointments and reappointments to the Medical Staff shall be made in accordance with the appointment and reappointment procedures set forth in the Medical Staff Bylaws. Whenever the **Joint Conference Committee of the UW Medicine Board** does not concur in a Medical Staff recommendation relative to Medical Staff appointment, reappointment or the granting, ~~curtailment, suspension or revocation~~ of clinical privileges, said recommendation shall be referred to the ~~Joint Conference Committee~~ **UW Medicine Board for decision reconsideration prior to a final decision being reached by the Board.**

Section 8.6. Appointment and Reappointment Requirements

Each person initially appointed to membership on the Medical Staff of the UWMC shall be provided with a current copy of the bylaws, rules and regulations and shall submit to the Medical Director a signed written statement agreeing to abide by them. Each member of the Medical Staff shall as a minimum be required to:

- a. Provide care and supervision to all patients within the UWMC for whom such Medical Staff member has responsibility.
- b. Abide by the UW Medicine bylaws, the Medical Staff Bylaws, rules and regulations, and the policies and directives that may be in force during the time such person is a member of the Medical Staff of the UWMC.
- c. Accept committee assignments and such other duties and responsibilities as may be reasonably assigned by the **Joint Conference Committee of the UW Medicine Board** or the Medical Staff.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Changes in UW Medicine Board Bylaws (continued p. 10)

Section 8.7. Appointment of Salaried Physicians and Dentists for Administrative or Medico-Administrative Purposes

Physicians or dentists employed by the University at UWMC for administrative or medico-administrative purposes shall be subject to the faculty exempt personnel policies in effect for the UWMC at the time as it relates to his/her employment relationship and to the Medical Staff Bylaws, rules and regulations as it is related to his/her Medical Staff appointment. Their clinical privileges to admit and treat patients-are defined in accordance with the Medical Staff Bylaws. Termination of either the employment appointment or medical staff appointment shall not affect the other unless it is agreed in advance that they are mutually dependent and this agreement is documented in a letter of agreement, signed by the physician or dentist and the UWMC and approved by the appropriate Dean or his/her designee.

Section 8.8. Medical Staff Administrative Committee

8.8.1. Purpose. The Medical Staff Administrative Committee shall establish and maintain a framework for self-government and a means of accountability to the **Joint Conference Committee of the UW Medicine Board**, in accordance with the medical administrative unit for the medical staff. It shall concern itself primarily with the quality of medical care within the UWMC. It shall receive and act upon all medical staff committee reports and make recommendations regarding medical staff status and privileges to the **UW Medicine Board or, where an expedited process is appropriate, to the Joint Conference Committee of the UW Medicine Board**. The specific duties of the Medical Staff Administrative Committee are **set forth** in the Medical Staff Bylaws.

8.8.2. Composition. The medical board of the medical staff shall be known as the Medical Staff Administrative Committee which shall be composed of the Chief of Staff, the Chief of Staff-Elect, the chiefs of clinical services, three (3) at-large members elected by the medical staff, the Medical Director, and the Executive Director. The Medical Director, who is appointed by the CEO/EVPMA/Dean, subject to approval by the Board, shall serve as chairperson of the Medical Staff Administrative Committee and shall represent the Medical Staff Administrative Committee at meetings of the **Joint Conference Committee of the UW Medicine Board**.

8.8.3. Meetings. The Medical Staff Administrative Committee shall meet monthly. Minutes of the meetings shall be provided to all

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Changes in UW Medicine Board Bylaws (continued p. 11)

members of the Committee, the Associate Dean for Clinical Affairs, the Executive Director of the UWMC, the CEO/EVPMA/Dean, the Dean of the School of Dentistry, and the deans of other professional schools whose faculty have appointment on the medical and dental staffs.

Section 8.9. UWMC Clinical Services

8.9.1. Appointment of Chief. Appointment of the chief of each clinical service is subject to concurrence of the **Joint Conference Committee of the UW Medicine** Board on the joint recommendation of the dean of the applicable professional school and the Executive Director. If the chief of a clinical service is to be someone other than the chairperson of the corresponding department in the medical or dental school, the recommendation of the departmental chairperson is also required. All such appointments shall be reviewed periodically by the **Joint Conference Committee of the UW Medicine** Board. Chiefs of clinical services vacancies may be filled on an interim basis at any time by action of the dean of the appropriate professional school, after consulting with the Board.

8.9.2. Delegation. **Upon UW Medicine Board approval of medical staff bylaws, rules, or regulations containing such a provision,** the chiefs of the clinical services **have delegated** responsibility for maintaining the quality of medical care in their services and for recommending an applicant's appointment or reappointment to the Medical Staff of the UWMC and privileges for such an applicant.

8.9.3. New Services. **The Joint Conference Committee of the UW Medicine** Board may **recommend to the UW Medicine Board** the establishment of new clinical services upon the joint concurrence of the dean of the applicable professional school and the Executive Director. **Approval of new clinical services shall remain with the UW Medicine Board.**

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VII. STANDING COMMITTEE**A. Academic and Student Affairs Committee**Granting of Degrees for 2008-2009RECOMMENDED ACTION:

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents approve the granting of degrees to those individuals who, in the judgment of the faculty, have satisfied the requirements for their respective degrees during the 2008-2009 academic year.

BACKGROUND:

The statutes of the State of Washington require that the Board of Regents approve the granting of degrees to those individuals who have satisfied the requirements for their respective degrees. Similar action is taken each year by the Board of Regents.

Approximately 12,535 degrees will be awarded this academic year. For work completed at the University of Washington, Seattle, students will receive an estimated 10,895 degrees, specifically: 7,200 bachelor's degrees, 2,550 master's degrees, 515 professional degrees and 630 doctoral degrees. For work completed at the University of Washington, Bothell, students will receive about 720 degrees, including 610 bachelor's degrees and 110 master's degrees. For work completed at the University of Washington, Tacoma, students will receive about 920 degrees, including 750 bachelor's degrees and 170 master's degrees.

Last year a total of 12,206 degrees were awarded: At Seattle, 6,961 bachelor's degrees, 2,632 master's degrees, 504 professional degrees (Law, 172 , Medicine, 169 , Dentistry, 52, Pharmacy, 111), and 622 doctoral degrees; at Bothell, 555 bachelor's degrees and 107 master's degrees; and at Tacoma, 661 bachelor's degrees and 164 master's degrees.

A. Academic and Student Affairs Committee

Establishment of the Graduate Certificate in Women StudiesRECOMMENDED ACTION

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents grant authority to the graduate faculty in the Department of Women Studies to offer the Graduate Certificate in Women Studies, effective Autumn Quarter, 2009. The certificate program will have provisional status with a review to be scheduled in the 2014-2015 academic year. At such time that continuing status is granted, a ten-year review cycle would begin.

BACKGROUND

In April 2009, the Graduate School received a proposal from the graduate faculty in the Department of Women Studies requesting authority to offer the Graduate Certificate in Women Studies. Implementation is planned for Autumn Quarter 2009, with the program anticipating between four and seven enrolled students at any given time.

The Women Studies Graduate Certificate Program will allow students outside of the Department of Women Studies to obtain a foundational understanding of feminist theory and analysis and give students the opportunity to integrate gender, women's, and feminist studies in their respective disciplines.

The Department of Women Studies has offered a similar certificate informally for the past seventeen years. Women Studies began the certificate in 1992, before it had departmental status, in order to recognize substantial women studies coursework completed by students matriculating through other graduate programs at the University of Washington. Since that time the informal certificate has served students from graduate and professional programs who want to pursue and document their advanced knowledge and skills in the interdisciplinary field of women's studies. Demand has been consistent, with 83 students completing the informal certificate since 1992. The department anticipates equal demand for the program after it has been formalized.

The Graduate School Council met on May 21, 2009, to discuss the proposal for the Graduate Certificate in Women Studies. The Council recommended unanimously that the proposal should be approved and that it be forwarded to the Board of Regents for final approval. The Vice Provost and Dean of the Graduate School concurred with the Council's recommendation.

The College of Arts & Sciences Dean's Office and the Provost have reviewed and approved the recommendation. Approval by the Higher Education Coordinating Board is not required for the Graduate Certificate proposal.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Services and Activities Fee – University of Washington Bothell;
2009-10 Distribution of Fees and Allocation of FundsRECOMMENDED ACTION

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents approve for the University of Washington Bothell:

- 1) Recommended Services and Activities Fee Budget for 2009-10; and
- 2) Recommendation for Long Term Fund Expenditures.

BACKGROUND

Services and Activities Fees at the UW Bothell and Tacoma campuses are collected separately from the Services and Activities Fees at the Seattle campus, but the process is handled in like manner. As provided under RCW 28B.15.045, a student committee recommends the annual allocations to the Board of Regents for approval. The Board of Regents, at the September 27, 1991 meeting, approved the Guidelines that established the Services and Activities Fees (SAF) Committee for the Bothell campus and its operating procedures.

The Board of Regents is authorized to increase the Services and Activities Fee annually by a percentage not to exceed the annual percentage increase in tuition.

The quarterly rate history of the Services and Activities Fee at UW Bothell is:

1991-92	\$76	2000-01	\$83
1992-93	\$76	2001-02	\$83
1993-94	\$79	2002-03	\$83
1994-95	\$81	2003-04	\$86
1995-96	\$84	2004-05	\$89
1996-97	\$87	2005-06	\$91
1997-98	\$90	2006-07	\$93
1998-99	\$93	2007-08	\$95
1999-00	\$93	2008-09	\$101

A. Academic and Student Affairs Committee

Services and Activities Fee – University of Washington Bothell;
2009-10 Distribution of Fees and Allocation of Funds (continued, p. 2)

1) Recommended Services and Activities Fee Budget for the University of Washington Bothell:

A. Services and Activities Fee for 2009-10

The SAF Committee recommends that the quarterly fee payable by full-time students be raised \$7 per student, per quarter (from \$101 to \$108), the maximum allowable amount under Initiative 601, for the 2009-10 academic year. The fee revenue was calculated conservatively by using 96% of the estimated annualized FTE target of 2,045 for three quarters (Autumn, Winter, and Spring), plus an estimated fee collection of \$48,000 for Summer 2009. The total estimated fee collection for all four quarters (2009-10) is \$658,282 which is illustrated in Schedule 1, below.

Schedule 1: Distribution of Fees		
2,045 FTE @ \$108 X 3 Quarters*	\$	662,580
Estimated Summer 2007 Revenue		48,000
Less 4% contingency for under enrollment		(28,423)
Net Revenue	\$	682,157
Less Mandated Loan Fund (3.5%)		(23,875)
Revised Net Revenue	\$	658,282

B. 2009-10 Allocation of Student Activities and Services Funds

ASUWB	\$87,151
Campus Events Board	87,517
Career Center	115,496
Childcare Voucher Program	22,500
CSS Speakers Series	4,860
Delta Epsilon Chi	9,800
Intercultural Club	7,975
<i>Literary Journal</i>	10,050
Newspaper	6,750
<i>Policy Journal</i>	5,200
Quantitative Skills Center	7,033
Recreation and Wellness Program	96,400

A. Academic and Student Affairs Committee

Services and Activities Fee – University of Washington Bothell;
2009-10 Distribution of Fees and Allocation of Funds (continued, p. 3)

Restaurants on Campus	4,400
Student Entrepreneurs' Network	2,940
SAF Contingency Fund	32,122
Student Life Operations	152,338
Teacher Education Professional Development	5,750
Total	\$658,282

C. Proposed Operating Expenditures for SAF Funds

If specifically authorized by the Services and Activities Fee Committee at the time of allocation, the following expenditures are acceptable in support of bona fide school-related curricular or extracurricular functions, activities, or programs participated in by UWB students in the furtherance of their education:

1. Ordinary supplies, purchased services or equipment necessary to conduct the student function, activity, or program. Business cards may not be purchased with Services and Activities Fees.
2. Compensation for students or other University employees engaged in activities or services that directly involve or support currently enrolled UWB students such as student government, student activities, student life, financial aid, counseling, testing, placement, and security. Compensation is established once per year, during the Annual Budget cycle, and cannot be modified during the Contingency cycle.
3. Necessary and reasonable fees, meals, lodging, and transportation expenses for entertainers, lecturers, guest speakers and others who provide personal services on a contractual basis.
4. Trophies, plaques or medals, certificates of award or articles of personal property that are of nominal value (\$50 or less) given to currently enrolled UWB students as recognition for participation, achievement, or excellence as part of the functions of student organizations, activities, or programs. Articles of clothing may not be purchased with Services and Activities Fees unless expressly stated otherwise by the SAF committee at the time of allocation.
5. Promotional Items are designed to promote any student organization, group, or funded project or service are limited to a total value of \$200 per quarter unless expressly stated otherwise by the Services and Activities Fee Committee at the time of allocation. Food and publicity (for specific events) are not considered a promotional item.

A. Academic and Student Affairs Committee

Services and Activities Fee – University of Washington Bothell;
2009-10 Distribution of Fees and Allocation of Funds (continued, p. 4)

6. Cost of childcare for children of currently enrolled UWB students who are participating in UWB programs held on the UWB campus.
7. Travel Awards can only be granted to currently enrolled UWB students to participate in approved student functions, activities, or programs. Awards are limited to paying accommodations, registration fees, and incidental expenses such as costs associated with renting a state vehicle (may not exceed \$10 per day). Approved travel awards are reimbursements and are reimbursed only when receipts are presented. All travel must comport with established UW travel policies and procedures (e.g., travel must be approved in advance using the Travel Authorization Form and a Travel Expense Voucher must be completed and approved before any reimbursements are made). Travel must benefit the broader student community through participation upon return from the trip. Maximum reimbursement will be \$500 per student and \$2,500 per group unless expressly stated otherwise by the SAF Committee.
8. Food and refreshments may be purchased for on-campus UWB-student functions (including award receptions, training programs), activities, or programs. Such funds are intended to support activities and programs open to the general student body. Funds are not intended to support routine meetings associated with student organizations (student government, campus events board, SAF, etc.). Services and Activities Fees may not be used to purchase or serve alcoholic beverages. In regards to off-campus activities, only 25% of the specific event funds can be used to purchase meals and/or refreshments.
9. Consistent with state law, any expenditure of Services and Activities Fees, including loans, is considered a prohibited gift when made for the direct benefit of private individuals or groups. State law also prohibits contributions of Services and Activities Fees or property to a political candidate or cause in connection with any local, state, or federal election.
10. Services and Activities Fees shall not be used as fundraising contributions; matching funds for fundraising purposes; or for any expenses related to a meetings, events, or activities of which the principal purpose is fundraising.

D. 2009-10 Budget Notes and Funding Stipulations

Associated Students of the University of Washington Bothell (\$87,151)

A. Academic and Student Affairs Committee

Services and Activities Fee – University of Washington Bothell;
2009-10 Distribution of Fees and Allocation of Funds (continued, p. 5)

ASUWB's budget request was funded as follows:

Compensation

President and Vice President

(1005 hours x \$12/hour x 2 positions) \$24,120

Executive Representatives

(555 hours x \$12/hr x 3 positions) \$19,980

Benefits (11% of the above)

\$ 4,851

Total

\$48,951

Officer Training and Development

\$ 9,850

Up to \$1,500 per officer x 5 officers + 1 advisor plus money to for transition programming between outgoing and incoming officers

ASUWB Programs

\$11,400

- \$2500 spring elections party
- \$2500 signature event
- \$2000 Husky Huddles and Student Town Halls
- \$100 distinguished professor/staff award
- \$300 5 umbrellas and 2 umbrella stands
- \$2500 student planner bookmarks
- \$1500 cram nights

Transportation

\$750

Mileage

reimbursement for ASUWB officers to attend local meetings

Supply Stations

\$200

Office supplies to restock supply stations around campus

Student Academic Enhancement Funds (SAEF)

\$16,000

Administered by ASUWB, grants of up to \$1,500 are available to help fund students' educational travel in accordance with the following priorities:

1. The first priority for funding will be given to those students who have been offered the opportunity to present a research paper or project at a professional conference and who have the endorsement of a UWB faculty member in his or her discipline.

A. Academic and Student Affairs Committee

Services and Activities Fee – University of Washington Bothell;
2009-10 Distribution of Fees and Allocation of Funds (continued, p. 6)

2. The second priority for funding will be given to those students who wish to enhance their current leadership role on campus by attending a skills-building conference or workshop. The student's application must be endorsed by the Director of Student Life.
3. The third priority for funding will be given to those students who wish to attend a conference or workshop in order to create or enhance a project or service that will directly benefit UWB students. The student's application must be endorsed by a UWB faculty or staff member intimately familiar with the project.

The stipend for the president and vice president was reduced by the \$2,010 each. The committee also did not fund parking passes for each of the officers as requested.

Campus Events Board (\$87,517)

A total of \$550 is reduced from CEB's original request: phone lines and office supplies. Those will be funded through the Student Life request. The programmers' stipends were adjusted to \$12/hour so as to be equitable with ASUWB stipends.

Compensation

5 Programmers

(37 weeks x 10 hours per week x \$12 per hour) \$22, 200

Benefits (11%) \$ 2,442

Total \$24,642

Training and Development \$ 8,250

Up to \$1,500 per programmer (n=5) to attend the NACA regional conference plus one advisor (@ \$750)

CEB-Sponsored Events \$54,625

Career Center (\$115,496)

The committee recommended two changes to the Career Centers original request: reducing the average hourly rate of the Career Peer Advisors from \$15 to \$14/hour, and reducing printing costs by \$1,000.

Compensation

Hourly peer advisors (60 hours per week x 40 weeks @\$14/hr.)\$33,600

Benefits for peer advisors (11%) \$ 3,696

A. Academic and Student Affairs Committee

Services and Activities Fee – University of Washington Bothell;
2009-10 Distribution of Fees and Allocation of Funds (continued, p. 7)

0.75 FTE professional Employer Relations Coordinator	\$37,500
Benefits for Coordinator (32%)	<u>\$ 12,000</u>
Total	\$86,796

Honoraria

10 seminars @ \$250 each	\$ 2,500
MBA career coach	<u>\$ 4,200</u>
Total	\$ 6,700

Facilities

20 events @ \$300 each	\$ 6,000
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<u>Printing/Publicity</u>	\$ 2,000
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<u>Refreshments and Decorations</u>	\$ 5,000
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<u>Software and Licenses</u>	\$ 7,000
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<u>Equipment Rentals and Purchases</u>	\$ 2,000
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Childcare Assistance Program (\$22,500)

The recommended funding for Childcare is at the same level as in 2008-09 (\$25,000). The committee then applied a 10% penalty because the request was submitted 2 days late. The committee understands that more students desire assistance than what funds permit. (\$35,000 was requested.)

CSS Speakers Series (\$4,860)

The committee recommended full funding for the series (\$5,400). The committee then applied a 10% penalty because the request was submitted 1 day late.

Delta Epsilon Chi (\$9,800)

The committee agreed to fund state conference fees for 36 students (\$4,680) and national fees for 20 students (\$2,600), as well as hotel costs associated with the state conference (\$2,520). Due to the size of this award, DEC is not eligible for additional club funding in 2009-10.

Intercultural Club (\$7,975)

A. Academic and Student Affairs Committee

Services and Activities Fee – University of Washington Bothell;
2009-10 Distribution of Fees and Allocation of Funds (continued, p. 8)

The committee reduced printing costs to \$500 and reduced food and utensils for Intercultural Night by half, as only about half of the participants at the event are currently enrolled UW Bothell students. The committee recommends that UW Bothell students not be charged to attend Intercultural Night. Due to the size of this award, the Intercultural Club is not eligible for additional club funding in 2009-10.

Literary Journal (\$10,050)

The Journal's request of \$10,300 is reduced by \$250 for supplies. General office supplies will be made available to the Journal through the Office of Student Life. The committee strongly recommends that the printing of the journal be done in as sustainable a manner as possible, and that the journal explore online or other forms of distribution in the future.

Newspaper (\$6,750)

The committee approved full funding for an online newspaper, however, they are only releasing stipends for autumn quarter. Stipends for winter and spring quarters will be released if the newspaper submits the following documents to the SAF committee by the end of autumn quarter: a business plan for the future of the paper, including a plan for how to staff the paper from year to year, and usage statistics for autumn quarter issues.

Literary Journal (\$10,050)

The Journal's request of \$10,300 is reduced by \$250 for supplies. General office supplies will be made available to the Journal through the Office of Student Life. The committee strongly recommends that the printing of the journal be done in as sustainable a manner as possible, and that the journal explore online or other forms of distribution in the future.

Quantitative Skills Center (\$7,033)

The committee funded two tutors for 11 hours:

Tutors (30 weeks x 11 hours/week x \$12/hour x 2 positions): \$7,920

Benefits (11%) \$871

Total

\$8,791

The committee then applied a 20% penalty as the request was submitted 16 days late.

A. Academic and Student Affairs Committee

Services and Activities Fee – University of Washington Bothell;
2009-10 Distribution of Fees and Allocation of Funds (continued, p. 9)

Recreation and Wellness Program (\$96,400)

The committee recommended full funding for the recreation and wellness program:

Program Coordinator (.1.0 FTE)	\$45,000
Benefits (32%)	\$14,400
Recreation league fees	\$11,000
Fitness classes (on campus)	\$ 6,500
Recreational outings and sporting events	\$ 2,000
Wellness programs and seminars	\$ 7,500
Fitness and wellness equipment and supplies	\$ 2,000
Maintenance of fitness room equipment	\$ 3,000
Contingency for unanticipated operations of fitness center	\$ 5,000

Restaurants on Campus (\$4,400)

The committee recommended full funding for the Restaurants on Campus program (\$5,500). The committee then applied a 20% penalty because the request was submitted 23 days late.

Student Entrepreneur's Network (\$2,940)

The committee funded registrations for the entrepreneurs' retreat and constant contact membership. Funding for spring dinner and other events were not funded because it was unclear how many UW Bothell students participate in these events (vs. alumni and other outside attendees) and if the programs would be held on campus. Due to the size of this award, the Student Entrepreneur's Network is not eligible for additional club funding in 2009-10.

Student Life Operations (\$152,524)

Printing and photocopying was reduced by \$1,232. Otherwise, full funding is recommended for Student Life Operations.

Compensation

Program Coordinator salary	\$45,000
Coordinator's benefits (32%)	\$14,400
Hourly marketing assistant (15 hrs/wk x 44 wks x \$13/hr)	\$ 8,580
Student Assistants (40 hrs/wk x 40 weeks x \$10/hr)	\$15,600

A. Academic and Student Affairs Committee

Services and Activities Fee – University of Washington Bothell;
2009-10 Distribution of Fees and Allocation of Funds (continued, p. 10)

Graduate student stipends	\$ 3,000
Hourly positions' benefits (11%)	<u>\$ 2,990</u>
Total	\$89,570
<u>Honoraria</u>	\$ 6,000
<u>Facilities</u>	\$4,000
<u>Telecommunications</u>	
7 handsets, data ports, and long distance charges for ASUWB, CEB, Student Life, and student organization offices	\$ 4,000
<u>Printing and Publicity</u>	\$ 2,768
<u>Transportation</u>	
For alternative spring break and leadership retreats	\$ 2,000
<u>Club Funding</u>	\$30,000
<u>Food/Refreshments</u>	\$ 6,000
<u>Office supplies and misc. expenses for student offices</u>	\$ 8,000

Teacher Certification Professional Development and Mentoring (\$5,750)

The committee recommends full funding for the T-cert Programs. The committee strongly recommends that portions of the development days be open to all students on campus where appropriate.

2) Recommended Long-Term Fund Expenditures

In addition to the annual operating budget for 2009-10, the committee is recommending funding for one long-term project: design costs for a joint student center with Cascadia Community College (\$50,000). The Cascadia SAF Committee has also recommended funding for \$50,000. This project will be financed through the long-term fund, with a balance of approximately \$1.9 million.

A. Academic and Student Affairs Committee

Student Technology Fee Adjustment for the UW Bothell CampusRECOMMENDED ACTION

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents approves an increase in the Student Technology Fee at the UW Bothell campus by 5% from its current level of \$40/quarter to \$42/quarter for the 2009-10 academic year.

Consistent with past policy originally established in 2000, the Student Technology Fee shall be mandatory and charged to all full-time students and a proportionate amount charged to part-time students. In keeping with current practice, the Student Technology Fee shall not be levied during Summer Quarter.

The University of Washington Bothell Student Technology Fee Committee has approved an annual expenditure plan for Student Technology Fee revenues for 2009-10. November 1 through February 1 shall be the agreed upon period in which the student government association (ASUWB) can exercise their annual statutory prerogative to eliminate the Student Technology Fee by majority vote.

BACKGROUND

The UW Bothell Student Technology Fee has been set at \$40/quarter since its inception in Fall 2000.

At its April 17, 2009 meeting, the Student Technology Fee Committee formulated a recommendation regarding the level of the Student Technology Fee. After considering trends in allocations and requests over the history of the fee's existence, the committee decided to recommend to ASUWB that the level of the fee be increased. This recommendation was based two factors: first, on the committee's belief that the programs and services funded by the Student Technology Fee continue to provide substantial benefit to students; and second, on the committee's recognition that for the past two years, the Student Technology Fee has been set at a level that is not collecting sufficient funds to provide awards to all of the proposals that the committee considers worthwhile.

This recommendation to increase the amount of the Student Technology Fee at the UW Bothell campus was unanimously approved by ASUWB on May 4, 2009.

VII. STANDING COMMITTEE

A. Academic and Student Affairs Committee

Services and Activities Fee - University of Washington, Tacoma:
Distribution of Fee and Allocation of FundsRECOMMENDED ACTION:

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents approve the following Services and Activities Fee proposals for the University of Washington Tacoma:

- 1) an increase in the Services and Activities Fee for academic year 2009-10,
- 2) the distribution of Services and Activities Fee for 2009-10; and
- 3) the operating budgets and expenditures recommended for 2009-10.

BACKGROUND:

Services and Activities Fees at UW Tacoma and UW Bothell are collected separately from the Services and Activities Fee at the Seattle campus, but the process is handled in like manner for each campus. As provided under RCW 28B.15.045, a student committee proposes the annual program priorities and budget allocation levels to the Board of Regents for approval. The Board of Regents has approved the Services and Activities Fee (SAF) Guidelines that established the Services and Activities Fee (SAF) Committee for UW Tacoma and its operating procedures.

The Board of Regents is authorized to increase the Services and Activities Fee by an amount not to exceed the annual percentage increase in undergraduate tuition authorized by the legislature in the Omnibus Budget Bill.

The history of the level of the Services and Activities Fee at UW Tacoma is:

1992-93	\$ 76	2000-01	\$ 91
1993-94	\$ 76	2001-02	\$ 91
1994-95	\$ 81	2002-03	\$ 97
1995-96	\$ 83	2003-04	\$ 97
1996-97	\$ 83	2005-06	\$103
1997-98	\$ 85	2006-07	\$106
1998-99	\$ 87	2007-08	\$113
1999-00	\$ 89	2008-09	\$120
		2009-10	\$136

The SAF Committee at UW Tacoma has recommended the following for academic year 2009-2010. Chancellor Patricia Spakes has reviewed and concurs in these recommendations.

Services and Activities Fee - University of Washington, Tacoma:
Distribution of Fees and Allocation of Funds (continued – p. 2)

1. Level of Fee:

The quarterly fee payable by a full-time student should be increased to \$136 per quarter for a full-time student for the 2009-10 academic year. This is the maximum amount permitted by law as implemented by the Board of Regents.

2. Distribution of Fees:

Based upon estimated revenue of \$1,036,232 for fiscal year 2009-10, the Committee recommends that the fees be distributed as follows:

	2008-09 % Distribution	2008-09 Distribution based on \$120 fee	2009-10 % Distribution	2009-10 Distribution Based on \$136 fee
Student Activities and Services	78.7%	\$788,935	93%	\$964,059
Long-term student loans:	3.5%	\$35,100	3.5%	\$36,268
Long-term development	17.8%	\$178,965	3.5%	\$35,905
Total		\$1,003,000		\$1,036,232

3. 2009-10 Budget Allocations for Student Activities and Services

The Committee recommends the following distribution for Student Activities and Services for the 2009-10 year.

Childcare assistance program	40,000
Health Services	\$230,000
Student Activities Board:	
Wages and benefits	\$53,156
Programming Fund	\$60,000
Equipment	\$500
Operational Costs	\$7,500
Registered Student Organizations	
Operational Costs	\$2,500
Budget Funds, staffing	\$13,280
Club Fairs	\$3,000

(continued on next page)

Services and Activities Fee - University of Washington, Tacoma:
Distribution of Fees and Allocation of Funds (continued – p. 3)

2008-09 Budget Allocations for Student Activities and Services- cont'd

Student Conference & Training	\$50,000
Ledger	\$74,919
Tahoma West	\$31,400
Student Life	\$209,433
ASUWT (Student Government) – Stipends and Operations	\$81,454
Longshoremen's Hall – Staffing and Communications	\$52,348
Volunteer Services	\$5,569
Campus Event Fund	<u>\$49,000</u>
TOTAL	\$964,059

Allocations which are unspent at the end of the fiscal year will revert to the contingency operating budget. Any additional revenue generated as a result of implementation of a fee in the amount of \$120 or due to excess enrollment will remain in the Long Term Development fund.

ATTACHMENTS:

- 1) April 29, 2009 memorandum from Kathleen Burdo, UWT Services and Activities Fee Committee, to Dr. Patricia Spakes, UWT Chancellor
- 2) March 31, 2009 letter of dissent for SAFC Core Values from Zach Lee
- 3) March 31, 2009 letter of dissent for Childcare Assistance Program from Zach Lee
- 4) 2009-2010 SAFC Preliminary Annual Allocation Recommendations
- 5) SAFC Operational Guidelines
- 6) UW Tacoma SAFC Annual and Special Allocation Processes
- 7) May 5, 2009 memorandum from Dr. Patricia Spakes to Kathleen Burdo

April 29, 2009

Ms. Patricia Spakes
Chancellor
University of Washington Tacoma
Box 358
Tacoma, WA 98402

Dear Chancellor Spakes,

On Friday, March 20th, the Services & Activities Fee Committee (SAFC) met to discuss and approve recommendations regarding the collection and allocation of the Services & Activities Fee for the 2009-2010 academic year. This recommendation is the result of a lengthy process involving committee orientations, proposal presentations, and establishment of core values.

We believe that should you and the Board of Regents adopt our recommendations, the Fee will optimally fund a diverse array of services and activities which are aligned with our newly identified core values and which will benefit the students at the University of Washington Tacoma.

SAFC Core Values

Before determining funding for annual allocations, the Committee identified and discussed its core values and obligations. It was then decided by a two-thirds majority, with one dissenting opinion (letter is attached); the core values and obligations of the UW Tacoma SAFC include everything that we chose to recommend for funding this year. The programs and services funded this year are as follows: the Childcare Assistance Program, the Longshoreman's Hall and Fitness Center, Health Services, Tahoma West, Student Life/Student Involvement, the Student Conference and Training Fund, the Student Activities Board (SAB), the Campus Event Fund (CEF), Registered Student Organizations (RSOs), Volunteer Services, the Ledger, and the Associated Students of the University of Washington Tacoma (ASUWT). While committee members felt that some of these programs constituted a more "primary" core, while others played a more "secondary" role, the decision was made to set a precedent by establishing these programs as a part of our core values and obligations. This list of core values is neither comprehensive nor static.

2009-2010 UW Tacoma Service and Activities Fee Level

The Board of Regents is authorized to increase the Services & Activities Fee by an amount not to exceed the annual percentage increase in undergraduate tuition authorized by the legislature in the Omnibus Budget Bill. The Committee recommends raising the Services & Activities Fee by the maximum amount allowed by law. Although it is projected the allowable increase will exceed 7%, our budget recommendations are based off this conservative estimate.

Unspent/Unallocated Funds

The Committee recommends that all unspent funds from the 2008-2009 academic year be placed in the SAFC Contingency fund. We further recommend that all unallocated funds for the 2009-2010 academic year be placed in the SAFC Long-Term Fund.

Long Term Fund Annual Allocation Percentage

The Committee recommends the Long Term Fund annual allocation percentage for the 2009-2010 academic year be set at 0%. This conclusion was reached in light of the fact there is approximately \$1.75 million in this fund yet there is no stated purpose for its usage. Therefore, it was determined that to continue the accumulation of capital was not the best use of students' money. The Committee advises next year's Committee to explore development of a stated purpose for this fund and/or determine alternative usage.

Contingency Fund Annual Allocation Percentage

The Committee recommends the Contingency Fund annual allocation percentage for the 2009-2010 academic year be set at 0%. This determination was based on the fact the current balance on the Contingency Fund exceeds the recommended cap of \$250,000 listed in the proposed new guidelines and that access to contingency funds has been made more restrictive.

SAFC Operational Budget

The Committee recommends the SAFC Operational budget percentage for 2009-2010 be set at .25%. The SAFC Operational budget pays for administrative, training, and staffing costs necessary for the committee to function in an efficient and effective manner.

Program Allocations

During program allocations the Committee considered requests for funding utilizing a variety of factors, which included but were not limited to: defining the service as a Core Value, level of benefit to the students, and completing a line-by-line budget review of actual usage, projected expenditures, and staffing costs. This was done in an effort to enhance fiscal efficiency and full use of SAF funds before increasing the amount allocated to any given program. Additionally, due to the recent wage and hiring freeze instituted by the Legislature, staffing costs were maintained at current levels.

Childcare Assistance Program - \$40,000

With the exception of one member, whose dissenting opinion is attached, the Committee approved funding for the Childcare Assistance Program. This program is important to the diversity of our campus and benefits a different group of students (parents) than most of the other programs we have recommended to receive funding. This program was reduced due to the fact that usage numbers continue to decline. Therefore, the Committee recommends an allocation of \$40,000 for the Childcare Assistance Program for 2009-2010.

Longshoremen's Hall/Fitness Center - \$52,348

The Longshoremen's Hall and the Fitness Center provide recreational, educational, and fitness opportunities to the students of UW Tacoma, all of which are essential to the four-year student experience. Therefore, the Committee recommends an allocation of \$52,348 for the Longshoremen's Hall and the Fitness Center for 2009-2010.

Health Services - \$230,000

Health Services is a student driven request for funding. Students voiced their opinions about the high demand for Health Services at UW Tacoma using a multitude of means including; informal and formal surveys, student forums, petitions, and in other ways through their student government, ASUWT. The Committee recognized its role and responsibility to see that SAFs are used for services and activities that improve the quality of life for our students and to recommend funding for services that students deem to be in high demand. However, as a Committee, we also have the responsibility to ensure that we know exactly what student money is being used for. Thus, the Committee recommends an allocation of up to \$230,000 for an RFP for Health Services for 2009-2010, with the stipulation that an SAFC member must serve on the RFP Committee.

Tahoma West - \$31,400

Tahoma West provides a venue for students to express their art, culture, and literature through a student-centered publication. Although this service was deemed valuable, the Committee did have some concerns about whether student funds were being efficiently spent when it came to advertising. To ensure prudent spending in this area the Committee reduced their allocation recommendation accordingly and recommends an allocation of \$31,400 for Tahoma West for 2009-2010.

Student Involvement - \$209,433

After discussions, as well as advice from advisers, ex-officios, and university administrative officials, the Committee decided to recommend increased funding for Student Involvement, although not at the level of increase requested. This is due to the fact the Committee decided not to fund the salary and benefits associated with the Associate Director of Student Involvement, which has historically been funded by the university. The Committee decided to establish a precedent that the senior administrative position should continue to be funded by the University as a signal of their contribution to the students' co-curricular experience. Thus, the Committee recommends an allocation of \$209,433 for Student Involvement for 2009-2010.

Student Conference and Training Fund - \$50,000

The Student Conference and Training fund provides development opportunities for students at UW Tacoma. The Committee deemed this service to students important, even though concerns were voiced about how many students this fund actually impacts, and whether the individuals who are sent to conferences and training give back to the campus community by utilizing their new knowledge in a campus context or passing on the knowledge. The Committee recommends an allocation of \$50,000 for the Student Conference and Training for 2009-2010.

Student Activities Board (SAB) - \$121,156

The Committee recognized the important role the Student Activities Board plays on the campus and in our community, which placed it high on our list of priorities for funding. Diverse programming that promotes student engagement, provides opportunities to build a sense of student community, and offers stress relief activities were deemed essential to student development and success. Therefore, the Committee recommends an allocation of \$121,156 for the Student Activities Board (SAB) for 2009-2010.

Campus Event Fund (CEF) - \$49,000

The Committee supports funding for the Campus Event Fund as it allows opportunities for students who are not directly involved with the SAB to be involved in the event planning process. Through this fund students can submit a request for funding to bring a broad spectrum of activities to our campus, which enhances campus life. However, since concern was voiced in regards to the large amount of unspent CEF funds for the 2008-2009 budget, the Committee could not justify an increase in this fund, and in fact recommends a slight decrease. With these things in mind, the Committee recommends an allocation of \$49,000 for the Campus Event Fund (CEF) for 2009-2010.

Registered Student Organizations (RSOs) - \$18,780

Registered Student Organizations are an integral part of student and campus life. They provide an opportunity for student engagement, camaraderie, and leadership development. RSOs also provide students with an opportunity to come together with people of similar interests, cultures, and viewpoints. As such, the Committee recommends an allocation of \$18,780 for Registered Student Organizations (RSOs) for 2009-2010.

Volunteer Services - \$5,569

Volunteer Services is a new service being offered through Student Involvement. The committee determined this service to be an appropriate and timely program to implement with the recent calls to service from our new administration and the campus community. Therefore, formalizing a volunteer services program, which enhances opportunities for civic engagement, was deemed a must by the Committee. The Committee recommends an allocation of \$5,569 for Volunteer Services for 2009-2010.

Ledger - \$74,919

A student newspaper is clearly a key part of an active and engaged student life – this fact was not disputed. However, there was some contention of the necessity of a half-time Publications Adviser, and the majority of the discussion about the Ledger centered on this issue. The majority of the Committee determined the position necessary, although it might need to be reevaluated in the future as we continue to evolve into a four-year institution and the rate of turnover at the Ledger decreases. In the interim, the Committee determined by two-thirds majority (with one abstention) the position was necessary and should be funded. The Committee recommends an allocation of \$74,919 for The Ledger for 2009-2010.

Associated Students of the University of Washington Tacoma (ASUWT) - \$81,454

The ASUWT request this year sought increased funding primarily for restructuring of their department, which is being formulated into a new Constitution and By-laws. However, since these governing documents have yet to be sent to the students for approval, the Committee decided to not fund these new positions. Additionally, we were informed by the ASUWT they had built \$8,000 of "fluff" into their budget request. The Committee recommended a significantly reduced budget allocation upon review of actual expenditures, approved student positions, and reduction of the areas identified as fluff. However, when it came to the student lobbyist position an increase was recommended based off of previous hours worked. Therefore, the Committee recommends an allocation of \$81,454 for the Associated Students of the University of Washington Tacoma (ASUWT) for 2009-2010.

Closing Comments

The Committee believes that if you and the Board of Regents approve these recommendations, these services and activities will positively enhance the lives of the students at UW Tacoma. We thank you for your time and consideration.

Respectfully,

A handwritten signature in cursive script, appearing to read "Kathleen Burdo".

Kathleen Burdo
2008-2009 Chair
Services and Activities Fee Committee

March 31, 2009

Zachary Lee
UWT SAFC Member
36027 6th Ave SW
Federal Way, WA 98023

Chancellor Patricia Spakes
University of Washington Tacoma
1900 Commerce St
Tacoma, WA 98405

Cc: Kathleen Burdo

Dear Chancellor Spakes,

This letter is intended to express my reasons for dissenting against the SAFC Core Values that has been recommended for approval by the Services and Activities Fees Committee of 2008-2009.

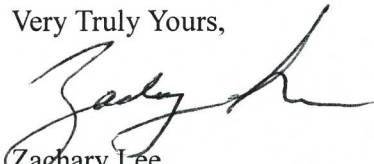
The reason I have chosen to dissent against the proposed Core Values is due to the inclusion of the Childcare Assistance Program. As mentioned in the letter of dissent for the Childcare Assistance Program, this service does not serve the majority of the student body, but is a service that every student must pay into.

Additionally, this service serves the *children* of students, not the students themselves. As such, this program should not be considered as a core value of the SAFC. This program will definitely benefit minute amount students with children. But it definitely does not benefit all students whom have chosen not to have children.

Ultimately, the responsibility of childrearing rests on the parent, not the students of a university.

Thank you for your attention. I trust that you will make the most realistic, utilitarian, and moral decision in these trying economic times.

Very Truly Yours,



Zachary Lee
SAFC Member

March 31, 2009

Zachary Lee
UWT SAFC Member
36027 6th Ave SW
Federal Way, WA 98023

Chancellor Patricia Spakes
University of Washington Tacoma
1900 Commerce St
Tacoma, WA 98405

Cc: Kathleen Burdo

Dear Chancellor Spakes,

This letter is intended to express my reasons for dissenting against the Childcare Assistance Program that has been recommended for approval by the Services and Activities Fees Committee of 2009.

Personally, I do not believe that the Childcare Assistance Program promotes an equal opportunity service for all students. This service helps fund childcare assistance such as daycare and other similar services to the children of parents that are currently enrolled at this university. This service does not fund students whom have chosen not to have children but still show financial need due to other life choices or hobbies. What I am attempting to say is, that in the great majority of cases, childrearing is a conscious choice. A portion of all individual student funds are being allocated to serve students whom have *chosen* to have children. Although I do not condone the choice to birth and nurture a child, I honestly do not believe that the responsibility of financing this endeavor should rest upon all currently enrolled students, especially those whom have chosen to abstain from childrearing.

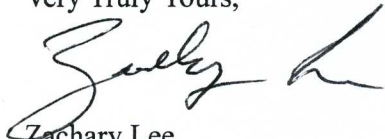
By funding the Childcare Assistance Program we are sending a very devastating message across campus. The message is that childrearing is a choice, a choice that the University of Washington Tacoma promotes, and that this promotion is realized in the allocation amounts of \$200 per quarter per part-time student, and \$400 per quarter per full-time student. Students that have chosen to have children while attending this university are being rewarded for their decision to reproduce, while students whom have chosen not to reproduce are not rewarded. In all fairness, if this University decides to continue to fund the Childcare Assistance Program, the University should also seek to fund other preposterous endeavors such as a Petcare Assistance Program that would aid all students whom have chosen to acquire pets.

In a time of great financial struggle, with budget cuts and tuition increases, I do not intend to make light of this process. However, I believe student funds could be appropriated in better and more equitable ways than childrearing services that most students on campus do not entertain, but that all students pay into.

Last, I would like to bring to your attention the problem of limited board diversity of the SAFC in respects to gender. I am the only male voting member currently serving on the board, and I believe that this arrangement has swayed the vote for this program in a biased manner. In the future, I respectfully recommend that the selection process for committee members be more devoted to board diversity in terms of race, religion, campus affiliation, gender, and age.

Thank you for your attention. I trust that you will make the most realistic, utilitarian, and moral decision in these trying economic times.

Very Truly Yours,

A handwritten signature in black ink, appearing to read 'Zachary Lee', with a stylized flourish at the end.

Zachary Lee
SAFC Member

2009-2010

SAFC Preliminary Annual Allocation Recommendations

TITLES OF PROPOSALS	08-09 Allocations	09-10 Requests	09-10 Approvals	09-10 Comments/Stipulations
CAMPUS EVENT FUND (CEF)				
Autumn Event Applications		\$16,500.00	\$16,000.00	
Winter Event Applications		\$16,500.00	\$16,000.00	
Spring Event Applications		\$16,500.00	\$16,000.00	
Misc.		\$1,000.00	\$1,000.00	
Total:	\$0.00	\$50,500.00	\$49,000.00	
REGISTERED STUDENT ORGANIZATIONS (RSO)				
RSO Resource Center Operational Funds	\$3,500.00	\$2,500.00	\$2,500.00	
Individual RSO Budget Funds	\$0.00	\$7,200.00	\$7,200.00	
RSO Student Assistant	\$0.00	\$7,600.00	\$6,080.00	
SPARK Financial Incentive Program	\$13,500.00	\$0.00	\$0.00	
Club Fairs & Block Party	\$1,500.00	\$3,500.00	\$3,000.00	
Total:	\$18,500.00	\$20,800.00	\$18,780.00	
VOLUNTEER SERVICES				
Operational Costs		\$500.00	\$500.00	
Volunteer Services Fair		\$300.00	\$300.00	
Volunteer Meetings, Events & Programs		\$2,200.00	\$2,000.00	
Office Student Assistant		\$4,620.00	\$2,769.00	
Total:	\$0.00	\$7,620.00	\$5,569.00	
LEDGER (07-08 Given Lump Sum for Ops)				
Publication Advisor	\$15,000.00	\$35,919.00	\$35,919.00	
Staff Stipends	\$25,000.00	\$30,000.00	\$25,000.00	
Printing	\$17,000.00	\$17,000.00	\$17,000.00	
Photography	\$0.00	\$0.00	\$0.00	
Equipment	\$0.00	\$0.00	\$0.00	
Operations	\$2,000.00	\$2,000.00	\$2,000.00	
Office Expense	\$2,000.00	\$2,200.00	\$2,000.00	
Projected Ad Revenue	\$0.00	-\$7,000.00	-\$7,000.00	
Total:	\$61,000.00	\$80,119.00	\$74,919.00	
ASUWT				
President	\$12,600.00	\$18,984.00	\$14,125.00	
Vice-President	\$10,080.00	\$13,668.00	\$10,170.00	
Chair of Judiciary Affairs	\$3,920.00	\$678.00	\$0.00	
Senators (8)	\$31,360.00	\$35,168.00	\$37,968.00	
Elections Board Chair	\$0.00	\$518.00	\$0.00	
Financial & Budget	\$0.00	\$2,600.00	\$0.00	
Office Assistant	\$3,500.00	\$1,983.00	\$1,983.00	
Legislative Liason	\$1,750.00	\$6,743.00	\$5,018.00	
Community & Public Relations	\$3,000.00	\$5,500.00	\$3,000.00	
Copies	\$1,500.00	\$1,100.00	\$1,100.00	
Office Supplies/Postage	\$2,000.00	\$1,500.00	\$1,500.00	
Telephone Expense	\$2,400.00	\$2,090.00	\$2,090.00	
Travel & Per Diem	\$3,000.00	\$4,500.00	\$2,500.00	
WSL	\$2,000.00	\$4,500.00	\$2,000.00	
Total:	\$77,110.00	\$99,532.00	\$81,454.00	
Grand Total:	\$756,435.00	\$1,173,699.00	\$964,059.00	

2009-2010

SAFC Preliminary Annual Allocation Recommendations

TITLES OF PROPOSALS	08-09 Allocations	09-10 Requests	09-10 Approvals	09-10 Comments/Stipulations
CHILDCARE ASSISTANCE PROGRAM				
CAP Grants	\$54,000.00	\$42,000.00	\$39,000.00	
Operations	\$1,000.00	\$1,000.00	\$1,000.00	
Total:	\$55,000.00	\$43,000.00	\$40,000.00	
LONGSHOREMEN'S HALL/FITNESS CENTER				
Longshoremen's Hall Staffing (Academic Year)	\$40,320.00	\$26,880.00	\$22,600.00	
Longshoremen's Hall Staffing (Summer)	\$5,376.00	\$0.00	\$0.00	
Fitness Center Staffing (Academic Year)	\$20,160.00	\$24,192.00	\$20,340.00	
Fitness Center Staffing (Summer)	\$4,032.00	\$4,838.00	\$4,068.00	
Operating Expenses	\$2,787.00	\$2,340.00	\$2,340.00	
Equipment	\$5,000.00	\$2,500.00	\$2,000.00	
Marketing & Promotions	\$2,000.00	\$1,000.00	\$1,000.00	
Total:	\$79,675.00	\$61,750.00	\$52,348.00	
HEALTH SERVICES				
RFP	\$0.00	\$300,000.00	230,000	
Total:	\$0.00	\$300,000.00	\$230,000.00	Funded up to 230,000 with the stipulation that an SAFC member must serve on the RFP Committee
TAHOMA WEST				
Printing	\$19,500.00	\$24,253.86	\$22,000.00	
Advertising and Promotion expenses	\$2,200.00	\$2,200.00	\$2,000.00	
Office Expense	\$1,450.00	\$1,446.14	\$1,400.00	
Stipends	\$5,000.00	\$5,000.00	\$5,000.00	
Annual Release Recognition Event	\$1,000.00	\$1,000.00	\$1,000.00	
Total:	\$29,150.00	\$33,900.00	\$31,400.00	
STUDENT LIFE/STUDENT INVOLVEMENT				
Associate Director		\$71,355.00	0.00	
Coordinator for Student Leadership		\$55,068.00	55,068.00	
Coordinator for Student Programs		\$55,068.00	55,068.00	
Program Coordinator		\$58,917.00	58,917.00	
Student Hourly Assistants		\$44,070.00	29,380.00	
Operating Costs		\$5,000.00	3,500.00	
Student Recognition Event		\$7,500.00	7,500.00	
Total:	\$195,000.00	\$296,978.00	\$209,433.00	
STUDENT CONFERENCE AND TRAINING FUND				
	\$80,000.00	\$50,000.00	\$50,000.00	
Total:	\$80,000.00	\$50,000.00	\$50,000.00	
STUDENT ACTIVITIES BOARD (SAB)				
Wages & Benefits	\$43,500.00	\$61,000.00	\$53,156.00	
Event Budgets	\$60,000.00	\$60,000.00	\$60,000.00	
Equipment (non-tech)		\$500.00	\$500.00	
Campus Event Fund (CEF)	\$50,000.00	\$0.00	\$0.00	
SAB Development Fund	\$0.00	\$0.00	\$0.00	
Operational Costs	\$7,500.00	\$8,000.00	\$7,500.00	
Total:	\$161,000.00	\$129,500.00	\$121,156.00	



Services and Activities Fee Committee Operational Guidelines

I. Services & Activities Fees

- A. The Services and Activities Fee (the "S&A" Fee) is authorized by state statute for the express purpose of funding student activities and programs. This may include, but is not limited to, the operation of student related services, acquisition, construction, equipping, and betterment of lands, buildings, and facilities. (RCW 28B.10.300)
- B. S&A Fees are defined as "fees, other than tuition and fees, charged to all students registering at the...state universities." (RCW 28B.15.041) For the purpose of these Guidelines, the S&A Fee is defined as a compilation of such fees charged to all students registering at the University of Washington Tacoma campus."
- C. S&A Fees and revenues generated by programs and activities funded by such fees are deposited and expended through the offices of the University's budget and financial accounting systems, the responsibility for which resides with the University's chief fiscal officer. The S&A Fee and associated revenues are subject to University policies, regulations, and procedures, and to the Budget and Accounting Act of the State of Washington. (RCW 43.88)
- D. The allowable level of the S&A Fee is authorized by the State Legislature.
- F. In addition to the laws, rules and regulations governing the use of S&A Fees, two provisions of the State Constitution impact the use of public funds (S&A Fee funds are considered to be public funds). The first is Article VIII, Section 5 that prohibits the making gifts or loans of money or property from public funds. A gift exists when there is a "transfer of property without consideration and with donative intent". The second is Article I, Section 11 that prohibits public money or property being appropriated for or applied to any religious worship, exercise or instruction, or the support of any religious establishment.
- G. The S&A Fee Long-term Fund (also known as the reserve fund) shall consist of all unallocated revenue derived from the collection of Services and Activities Fees from students and accrued interest.
- H. The S&A Fee Contingency Fund (also known as the special allocation fund) shall consist of funds which are derived through annual allocations and reversion of previous year unspent funds.

- I. Members of the Board of Regents shall adhere to the principle that desires of the Service and Activities Fee Committee (SAFC) be given priority consideration on funding items that do not fall into the categories of pre-existing contractual obligations, bond covenant agreements, or stability of programs affecting students.
- J. With the exception of any funds needed for bond covenant obligations, once the annual budget for expending S&A Fees is approved by the Board of Regents, funds shall not be shifted from funds budgeted for associated students or departmentally related categories or the reserve fund until the administration provides written justification to the SAFC and the Board of Regents give their express approval.

II. Committee on Services and Activities Fees

The Services and Activities Fee Committee (hereafter called the Committee) is appointed by the Chancellor of the University of Washington, Tacoma pursuant to RCW 28B.15.045 to review all requests for funding from Services and Activities Fees, to recommend program priorities and budget levels, and to serve in an advisory capacity to the Chancellor.

A. Membership

- 1. The Committee shall consist of up to seven voting members, and four non-voting exofficio members.
- 2. The voting members shall be regularly enrolled students at UW Tacoma, recommended by the Associated Students of the University of Washington, Tacoma (ASUWT) Student Government and appointed by the Chancellor.
- 3. When making its recommendations for Committee appointments, the ASUWT student government should strive to recommend a Committee that represents diverse student interests, and wherever possible, provide for a continuity of membership through individual willingness to commit to serve more than one term.
- 4. All of the voting members shall be recommended by the third Friday in May.
- 5. The ex-officio members shall be:
 - a. A staff employee from Student Affairs, to be recommended by the Associate Vice Chancellor for Student Affairs;
 - b. A faculty member, to be recommended by the Faculty Assembly;

- c. A staff employee from the Office of the Vice Chancellor for Administrative Services (AS), to be recommended by the Vice Chancellor for Administrative Services; and
- d. A Compliance Officer, appointed at the discretion of the Chancellor.

B. Term of Membership

1. The voting members recommended by the second Monday in May shall be appointed for one-year terms running from July 1 to June 15.
2. The ex-officio members from Student Affairs, AS and the Compliance Officer shall be appointed to indefinite terms, serving at the discretion of the Chancellor. The faculty ex-officio member shall be appointed to a renewable one-year term running from October 1 to September 30.
3. Any vacancies in membership will be replaced in the same manner provide for new appointments and for the unexpired term of the original appointment.

C. Responsibilities of Voting Members

1. The committee shall elect a chair from its membership at the first regular or special meeting.
2. Attend all meetings unless excused by the chair.
3. Develop and maintain effective communication within the Committee and the campus community.
4. Demonstrate a willingness to engage in constructive dialogue on any issue being considered by the Committee and actively participate in the deliberations of the Committee.
5. Adhere to all rules and regulations governing the Committee.
6. A member whose conduct is deemed unethical or whose performance clearly demonstrates a lack of commitment to the discharge of their responsibilities may be recommended for removal from the Committee by either a unanimous vote of the remaining voting members of the Committee or at the sole discretion of the Chancellor.
7. Respond to all campus queries within five (5) business days.
8. Abstain from discussing, deliberating, or voting on any proposal submitted by a group, department, or organization of which they are affiliated with the exception of annual SAFC request(s).

9. Recommend funding for the employment of Committee staff using funds generated by the S&A Fee, if deemed necessary, under the following conditions.
 - a. Each staff position must have a written job description detailing qualifications and expectations of the position.
 - b. The Committee Chair will act as a liaison between the Committee and the Committee Staff.
 - c. University employees filling Committee Staff positions may also work for other University entities with costs of employment shared proportionate to the hours rendered between the Committee and such other entity.

D. Responsibilities of Ex-Officio Members and the Compliance Officer

1. Advise the Committee on the laws and regulations of the state and the policies and procedures of the university pertaining to Services and Activities Fees.
2. Advise the Committee on procedural questions pertaining to the conduct of meetings.
3. Provide the Committee with summaries of fund balances in accounts funded by S&A Fees and projections of revenue and expenditures.
4. Advise the Committee on the status of registered student organizations at UW Tacoma.
5. Assist the Committee with matters of continuity and historical perspective as required for the Committee to effectively and efficiently act on requests to fund programs and budgets.
6. Provide the Committee with perspectives of the campus climate and the university community.

E. Committee Meetings

1. Meetings shall be held on a regularly scheduled basis, not less than two per academic year.
2. The Compliance Officer shall serve as interim chair of the Committee until a chair is elected by its membership.
 - a. The Compliance Officer will be a neutral, non-voting member who maintains compliance with the relevant laws of the state of Washington, University policies, parliamentary procedures, as well as the guidelines laid out in this document.

3. Special meetings may be called by the Committee chair; at the request of three (3) or more members of the Committee; at the request of three (3) or more members of the campus community; at the request of the Compliance Officer; or at the request of the Chancellor.
4. The Committee chair shall post notifications of all meetings in compliance with these guidelines and the Open Public Meetings Act (OPMA) and shall be responsible for presiding over such meetings. An acting chair will be designated, by the chair, should it be necessary for him/her to be absent from any meeting.
5. An agenda and a copy of all funding requests to be considered by the Committee will be sent to members of the committee and be publicly posted in compliance with the OPMA by the chair or the chair's designee.
6. A quorum required for the conduct of business at any meeting shall consist of two-thirds of the current voting members and one ex-officio member of the Committee.
7. Proxies will not be permitted for voting.
8. All meetings shall be conducted in accordance with the most current edition of Robert's Rules of Parliamentary Procedures, Newly Revised. Deviations from such procedures will be at the discretion of the chair.
9. Program and budget decisions of the Committee shall be made in open public meetings of the Committee, and the reasons for the decisions shall be discussed at those meetings. Minutes will be taken at all meetings and the unofficial minutes shall be publicly posted within five (5) business days after a meeting. The minutes shall include the results of all program and budget decisions made by the Committee.
10. The Committee shall provide full disclosure to the University community concerning programs or budgets funded from S&A Fees.

III. Budgeting

A. General

1. RCW 28B.15 defines the authority to collect S&A Fees, the general purposes for which the fees may be used, and the budgeting process for administering their expenditure. The law specifically states: "It is the intent of the legislature that students will propose budgetary recommendations for consideration by the college or university administration and governing board to the extent that such budget recommendations are intended to be funded by services and activities fees" and "The legislature recognizes that institutional governing boards have a responsibility to manage and protect institutions of higher education." It is clear that the legislature deemed that the mechanism for

student input in the S&A Fee process is through participation in the budget proposal process, but that the Board of Regents retains ultimate responsibility and authority for the S&A Fees budget.

2. Any member of the campus community may submit a request for funding through either the annual budgeting or special allocation process, with the exception of:
 - a. Event Funding
 - i. Event funding requests shall be at the sole discretion of the SAB, who derive their funds through the annual budgeting process
 - b. Academic Funding
 - i. Academic funding requests shall only be considered if the request is for services and/or activities that are not directly related to an academic program.
3. The Committee shall establish both the annual budget and special allocation processes. Procedures and criteria adopted by the Committee for the submission of budget requests shall apply to every proposer and shall not discriminate on the basis of race, color, national origin, gender, sexual orientation, religion, political orientation, or physical or mental ability.
4. The Committee has the responsibility to review all proposals submitted for funding from S&A Fees, whether for capital expenditures or operating programs and budgets.
5. All funding proposals must contain adequate information which will include, at a minimum, the following:
 - a. Detailed line-item breakdown of proposed expenditures (e.g., salaries, travel, supplies, services, etc.);
 - b. Verification of strategic plan alignment
 - c. Additional funding sources being sought or available to fund the program or event; and
 - d. Revenues expected to be derived from the program or event.
 - e. An accountability of funds expended if previously funded
6. Budget allocation decisions of the Committee shall be posted on the SAFC website and sent to the requester within ten (10) business days of its respective action.
7. Allocations of S&A Fees to fund operating budgets are valid and available for expenditure during the ensuing fiscal year only. All unspent and unencumbered funds, at the end of each fiscal year, shall revert to the Contingency Fund and shall be carried forward for future reallocation.
8. Each fiscal year shall begin on July 1 and end on June 30.

B. Annual Budgeting Process

1. The annual budget process will be the primary process for recommending the distribution of S&A Fees for the ensuing fiscal year. As a part of the annual budget process, the Committee shall:
 - a. Formulate a recommendation for the level of the S&A Fees to be assessed during the ensuing fiscal year.
 - b. Place in the Long Term Fund up to five (5) % of the projected incoming S&A Fees, not to exceed \$2,000,000 dollars, unless a designated purpose has been defined and approved.
 - c. Place in the Contingency Fund up to five (5) % of the projected incoming S&A Fees, not to exceed \$250,000.00, unless a designated purpose has been defined and approved.
2. Program and budget proposals considered during the annual budget process will be to fund general annual operating costs necessary to run an organization, department, or service. Annual budgets will not include capital expenditures or planned expenditures for specific events or activities, with the exception of the SAB.
3. Not later than the second Monday in February of each year, the Committee will announce the annual budget request submission process
4. Annual budget request forms and all supporting documentation must be returned to the Committee not later than 12:00pm (noon) the second Monday in March. Late submissions will be accepted and/or reviewed at the sole discretion of the SAFC.
5. When considering annual allocation requests, the Committee may require the proposer (or their duly appointed representative) to be present to answer questions of the Committee.
6. The Chancellor may meet with the Committee at appropriate intervals during its annual budget formulation process to respond to emergent ideas and issues and to apprise the Committee of the general position of the administration. The Chancellor may respond in writing to specific written proposals submitted by the Committee and take other actions as needed to assure that the lines of communication to the Committee remain open.
7. The Committee will release preliminary program and budget allocation recommendations no later than the first Friday in April. An appeal of the Committee's decision on any specific budget request may be made as described in Section III C 1) by the end of business on the second Friday in April, at which time no further appeals will be accepted.
8. The Committee will respond to all allocation appeals as described in Section III C 1) not later than the end of business on the fourth Friday in April.

9. In addition to allocations to fund specific requests that have been approved by the Committee, the annual budget will contain an allocation to a budget line item entitled "Contingency". The purpose of the "Contingency" budget is to provide the necessary flexibility during the budget execution year to authorize special allocations for capital project expenditures or for emergency expenditures that could not be specifically identified or foreseen at the time of the preparation and submission of the annual budget. Allocations or authorization of expenditures from the "Contingency" budget will be accomplished through the special allocation process.
10. The chair shall transmit the final annual budget recommendations of the Committee with supporting documentation (including mandatory dissenting opinions on any decision of the Committee that was not unanimous) to the Chancellor not later than the first Friday in May.
11. Within fourteen (14) business days after receipt of the Committee's annual budget recommendations, the Chancellor will provide a written response to the Committee. In formulating the response to the Committee and/or recommendations to the Board of Regents, the Chancellor may seek the views of other affected university groups. In the event that the Chancellor disagrees with any of the Committee budget distribution recommendations, the UWT dispute resolution process described in Section III C 2) a. will be invoked.
12. At the time that the Chancellor submits his/her proposed budget recommendations for the expenditure of S&A Fees to the Board of Regents, he/she shall also submit a copy of the Committee recommendations, along with any supporting documentation provided by the Committee, and a copy of the administration's response to the Committee recommendations. If a dispute exists between the Chancellor and Committee which has not been resolved by the UWT dispute resolution process, the UW dispute resolution process described in Section III C 2) b. will be invoked.
13. The Board of Regents may take action on those portions of the S&A Fee budget not in dispute and shall consider the results, if any, of the dispute resolution committee appointed in accordance with the dispute resolution process described in Section III C 2) b.
14. At the point in the review process at which recommendations on the distribution of S&A Fee budget and dispute resolutions are presented to the Board of Regents, the Board shall provide opportunity for the Committee to present its view.
15. Upon approval of the Board of Regents, the annual budget will be provided to the UWT Finance Office for establishment of budget numbers and recording of the necessary revenue transfers in the university accounting system to implement the approved distribution of funds.

16. The Committee may appeal to the Board of Regents if they feel that these guidelines have not been followed, that their participation has been unduly curtailed, or that S&A Fee funds have been used for purposes outside of these guidelines. The decision of the Board of Regents shall be final.

C. Annual Budget Allocation Appeals and Disputes

1. Budget Allocation Appeal

- a. If a proposer or any other student objects to a budget allocation of Services and Activities Fee funds that has been made by the Committee, a written appeal must be submitted to the Committee chair by 12:00 noon on the second Friday in April. An extension of the deadline shall not be granted.
- b. An appeal must allege a violation of the State Constitution, applicable state laws, applicable University policies or regulations, or a material misrepresentation of facts that may serve to invalidate the allocation. **An objection based solely on disagreement with the amount of the approved allocation will not be grounds for an appeal.** The burden of proof that such a violation has occurred shall be on the proposer or individual making the allegation.
- c. A special meeting of the Committee will be called by the chair to address the appeal within 5 business days of the receipt of the appeal. The Committee must have responded to all appeals not later than the fourth Friday in April. The proposer or individual filing the appeal will be required to attend the special meeting of the Committee at which the appeal is considered.
- d. If the appeal is denied, the chair shall transmit a copy of the appeal and the results of the Committee's decision (with all supporting documentation) for consideration by the Chancellor. The decision of the Chancellor will be final.

2. Budget Allocation Disputes

a. UWT Allocation Dispute Resolution Process

- i. If, during the review of the final annual budget recommendations submitted by the Committee, the administration should dispute any of the recommended allocations, the Chancellor shall provide the Committee chair with written notification of the disputed allocation that includes the administration's rationale or justification.
- ii. Within 5 business days after receipt of written notification of a disputed allocation recommendation, the chair shall schedule a special meeting of the Committee with the Chancellor for the

purpose of making a good faith effort to resolve the dispute.

- iii. In the event that the dispute is not resolved within fourteen (14) business days from the date of the initial special meeting called to consider the dispute, the Chancellor will provide the Committee with the administration's written response to the Committee's final annual budget recommendations, which will include any unresolved dispute. A copy of the response, together with a copy of the Committee's recommendations and any supporting documentation, will be submitted to the Board of Regents with the Chancellor's proposed budget recommendations for the expenditure of S&A Fees.

b. UW Dispute Resolution Process

- i. Upon receipt of the administration's written response to the Committee's final annual budget recommendations that includes an unresolved dispute, the Committee chair shall convene a dispute resolution committee.
- ii. The dispute resolution committee shall be selected as follows: The Chancellor shall appoint one non-voting advisory member; the Board of Regents shall appoint two voting members; and the Committee Chair shall appoint two student members of the Committee as voting members, and one student representing the Committee who will chair the dispute resolution committee and be non-voting member, except in the case of a tie vote.
- iii. The Board of Regents shall consider the results, if any, of the dispute resolution committee, and shall provide opportunity for the Committee to present its view, prior to taking action on any disputed portion of the budget.

D. Special Allocation Process

- 1. The special allocation process is designed to provide funding for capital expenditures or for emergency expenditures that could not be specifically identified or foreseen at the time of the preparation and submission of the annual budget by entities that have been identified as an Committee Core Value.
- 2. In general, the funding of special allocation requests shall be made from the "Contingency" operating budget line item that was included in the annual budget. If there is insufficient funding in the "Contingency" budget, the Committee may submit a request through the Chancellor to the Board of Regents for approval of an additional distribution from the reserve fund.

3. A call for special allocation requests will be announced by the Committee in Winter quarter. All special allocation requests received shall be placed under the new business section of the agenda for the next meeting.
4. When considering special allocation requests, the Committee may require the proposer (or their duly appointed representative) to be present to answer questions of the Committee.
5. The Committee will release and post decisions on special allocation requests not later than five (5) business days after the meeting at which the allocation was considered.
6. An appeal of the Committee's decision on any specific special allocation may be made as described in Section III E within five (5) business days of the decision being released, after which no appeals will be accepted.
7. Decisions on appeals will be made within five (5) business days of receipt of the appeal.
8. Upon final approval of a special allocation, the Committee chair shall authorize the Compliance Officer to work with the UW Tacoma Finance Office to establish a budget number and transfer revenue from the "Contingency" budget to implement the approved allocation.
9. Any change in the intent of an approved allocation that alters its objectives as originally described and appropriated must have the approval of the Committee before any change occurs.

E. Special Allocation Appeal

1. If a proposer or any other student objects to an allocation of S&A Fee funds that has been made by the Committee, a written appeal must be submitted to the Committee chair by the end of business on the fifth (5) business day after the Committee posted the allocation decisions. An extension of the deadline shall not be granted.
2. An appeal must allege a violation of the State Constitution, applicable state laws, applicable University regulations or a misrepresentation of facts that may serve to invalidate the allocation. **An objection based solely on disagreement with the amount of the approved allocation will not be grounds for an appeal.** The burden of proof that such a violation has occurred shall be on the proposer or individual making the allegation.
3. A special meeting of the Committee will be called by the chairperson to address the appeal within 5 business days of the receipt of the appeal. The proposer or individual filing the appeal will be required to attend the special

meeting of the Committee at which the appeal is considered.

4. If the appeal is denied, the chair shall transmit a copy of the appeal and the results of the Committee's decision (with all supporting documentation) for consideration by the Chancellor. The decision of the Chancellor will be final.

A. STIPULATIONS

1. The Committee may place stipulations on the use of funds and/or recommend guidelines in the operations of a program as long as they are in compliance with university policy, state and federal law. All stipulations shall be binding.
2. Actual expenditures shall not exceed the amount of approved budget allocations without prior approval of the Committee.
3. If prior notification to the Committee is not possible, the University entity in question must submit sufficient reasons and documentation.

The Board of Regents approved the original version of these guidelines on September 27, 1991; subsequent revisions were approved on June 14, 2002, June 10, 2005, and June 8, 2006. The Board of Regents approved the present version on _____.

UW Tacoma
Service and Activities Fee Committee (SAFC)
Annual & Special Allocation Processes

Committee Training

All members of the Services and Activities Fee Committee (SAFC) hereon referred to as the Committee will be trained in the operations of the Committee, briefed on current discussions relating to the S&A Fee, and other materials deemed necessary by the Committee chair, Committee ex-officio's, or the University administration.

Committee Work Schedule

Following Committee training the Committee will prepare the work schedule for the term of the Committee. The work schedule will include the period during which unit orientations will be given, the date on which budget requests are due, the period during which budget hearings will be held, and the date for the final budget deliberation.

The Committee will also approve at this time:

- All budget request forms to be completed by units seeking funding; and
- General criteria by which budget requests will be evaluated.

Annual Allocation

- **Continuation of Funding**
 - A core service which has been funded previously with S&A Fees and is requesting for the same amount or less shall provide the following information to the Committee. If deemed necessary the requester may be asked to appear before the Committee to respond to questions.
 - A brief summary of their service and amount of funding being requested;
 - Documentation of strategic alignment and program assessment and;
 - A budget breakdown from the previous year.
- **Increase of Previous Funding**
 - A core service which has been funded previously with S&A Fees and is requesting an increase in funding shall provide the following information to the Committee. If deemed necessary, the requestor may be asked to appear before the Committee to respond to questions.
 - A brief summary of their service;

- Justification for increased funding and the total amount being requested.
- Documentation of strategic alignment and program assessment;
- A budget breakdown from the previous year.

• **New Funding Requests**

- New units seeking funding from the S&A Fee must give a program orientation to the Committee prior to the submission of their budget request.
- This program orientation will include a detailed descriptive of the proposed service(s), documentation of strategic plan alignment, an itemized budget, justification for a request for S&A Fee funding, and a signature from the head of the department or division this service would report too.
- Requesters may be requested to submit materials prior to their orientation for the committee to review.
- The Committee may request additional information if deemed necessary.

Budget Hearings

- The Committee may call for any unit submitting a budget request to answer questions regarding the unit's services, including those related but not directly funded by the S&A Fee, current status of any capital assets, previous budget breakdowns, and the current budget request.
- Should the Committee determine a single hearing is insufficient to discuss all issues presented in a unit's request, additional hearings may be scheduled.
- The Committee may not recommend any extraordinary changes without holding a hearing with the impacted unit.
- Any committee member may send written questions to a unit seeking funding which will be answered as fully as possible. Written questions and answers must be distributed to other Committee members.

Final Budget Deliberations

- Following the completion of all budget hearings and any additional meetings the Committee may require, the Committee will hold final S&A Fee budget recommendation deliberations.
- The Committee chair should invite all units requesting funding, officials from the Student Governments, campus press, and the public at large.
- During the final budget recommendation deliberation the Committee must approve:
 - The amount to be recommended for allocation to each unit requesting funding for the following academic year; and

- The level of the fee to be recommended for the following academic year.
- The percentage of the fee to be placed in the Long Term and Contingency Fund
- The percentage of the fee to be assigned for Committee operations.
- The Committee may also decide any additional issues as deemed appropriate.
- During these deliberations the Committee chair will recognize those in attendance to make comments on pending decisions. The Committee chair is authorized to close the comment period on the particular question after everyone present has had the opportunity to speak at least once.

Committee Chair's Letter

- Following the final budget recommendation deliberations the Committee chair will draft a letter to the Chancellor detailing the decisions of the Committee and the associated rationales for each decision.
- The Chair will ensure letters of dissent are included with the letter.
- The letter will be distributed to the Committee for review and comment prior to being sent to the Chancellor.

Administrative Review

- Upon receipt of the Chair's Letter, the Chancellor will review the recommendations of the Committee. The Chancellor may review any materials provided to the Committee in its review, but should refrain from considering materials not available to the Committee.
- If the Chancellor disagrees with any of the Committee recommendations it will notify the Committee in writing. The Chair will call a Committee meeting to discuss the disagreement with the Chancellor. The Committee may decide to change the recommendation to conform with the concerns of the Chancellor. However, should the Committee reject those concerns the matter will be referred to the Dispute Resolution Process.
- If the University Administration agrees with all of the Committee recommendations it will draft a letter to the Board of Regents stating that opinion. The Committee Chair's letter will be attached to the letter to the Board of Regents.

Special Allocation Process

- **Capital Expenditure**
 - Requestors seeking funding for a capital expense must provide, at minimum, the following information to the Committee prior to a hearing taking place;

- A detailed descriptive of the proposed service(s), documentation of strategic plan alignment, an itemized budget, justification for a request for S&A Fee funding, documentation of any and other funding sources, and a signature from the head of the division this service would fall under.
- **Emergency Funding**
 - Core programs and services seeking funding for an emergency expense must provide, at minimum, the following information to the Committee prior to a hearing taking place;
 - A justification for emergency funding, an itemized budget and a signature from the head of the division this service would fall under.

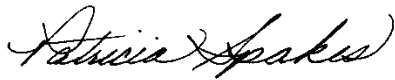
May 5, 2009

Kathleen Burdo
Chair
UWT Services & Activities Committee

Dear Kathleen:

Thank you for submitting the recommendations of the Services and Activities Fee dated April 29, 2009. I accept the recommendations, and extend thanks to you and the committee for your thoughtful deliberations.

Sincerely,

A handwritten signature in black ink, appearing to read "Patricia Spakes", written in a cursive style.

Patricia Spakes
Chancellor

VII. STANDING COMMITTEE

A. Academic and Student Affairs Committee

Services and Activities Fee—University of Washington, Seattle: 2009–10
Operating and Capital AllocationsRECOMMENDED ACTION:

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents approve for the Seattle campus:

- 1) Raising the Services & Activities (S&A) Fee level for 2009–10 from \$108 per full-time student per quarter to \$113; and
- 2) Allocating \$11,823,182 for 2009–10 S&A Fee operating and capital funds.

BACKGROUND:

Each year, on the basis of recommendations by the administration and the S&A Fee Committee*, the Board of Regents approves annual S&A Fee allocations for the Seattle campus. Additional allocations may be approved during a given year.

The present recommendations grew out of S&A Fee Committee discussions over the course of the 2008–09 academic year—discussions that included at different times representatives of the units supported by S&A Fee income. The S&A Fee Committee submitted its written recommendations to the Vice President and Vice Provost for Student Life on May 29, 2009 (Attachment I). In addition, the Committee proposed that \$1 of the \$113 fee be set aside to offset lost revenue from Hall Health Primary Care Center and Housing and Food Services while the HUB and Hall Health are under construction. The administration concurred with the recommendation of the fee assessment level and all operating and minor capital allocations. (Attachment II).

The 2008 – 09 and recommended 2009 – 10 distributions of the quarterly S&A Fee are displayed below:

	Full-time 2008 – 09	Full-time 2009 – 10
Long Term Loan Fund	\$3.78	\$3.96
Facilities and Programming Account	<u>\$104.22</u>	<u>\$109.04</u>
Total	\$108	\$113

* By statute, recommendations of the Committee are determined by the votes of its student members—three and four of whom, respectively, represent the GPSS and the ASUW. The rules call for three administrators and two faculty members to serve on the Committee in nonvoting, advisory roles.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Services and Activities Fee—University of Washington, Seattle: 2009 – 10 Operating and Capital Allocations (continued, p. 2)

The 2008 – 09 and anticipated 2009 – 10 revenues and expenditures are displayed in Attachment III. The proposed operations and capital budgets for each program and a brief discussion of their rationale are detailed below.

Associated Students of the University of Washington (ASUW) – \$719,012 (Operations)

The recommended allocation would support ongoing functions, staff salaries and general operating costs of ASUW. The allocation represents a decrease of \$ 17,830 (2.4%) for operations over the last academic year.

Classroom Support Services - \$71,163 (Operations)

The recommended increase of \$1,133 (1.6%) would continue to support wages of student staff.

Counseling Center - \$247,438 (Operations)

The recommended increase of \$37,197 (17.7%) would allow the Counseling Center to continue to offer visits to the Center at no cost to students.

Ethnic Cultural Center/Theater (ECC/T) - \$582,275 (Operations)

This recommended allocation of \$582,275 would continue to support the ongoing functions, staff and general operations of the Ethnic Cultural Center/Theater at the same level as 2008 – 09.

Graduate and Professional Student Senate (GPSS) - \$272,300

The recommended decrease of \$9,994 (3.5%) would support ongoing functions, staff salaries and general operating costs of GPSS. There was not a significant change from last year's request; however there were increases to the travel budget portion. This will expand the Travel Grant program which funds graduate student travel to academic conferences.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Services and Activities Fee—University of Washington, Seattle: 2009 – 10 Operating and Capital Allocations (continued, p. 3)

Hall Health Primary Care Center (HHPCC) - \$ 6,126,489 (Operations)

Hall Health Primary Care Center continues to provide excellent on-campus care for students, faculty, staff, and the community. This represents an increase of \$71,673 (1.2%) over the 2008 – 09 fiscal year.

Q Center - \$90,264 (Operations) and \$3,000 (Capital)

The recommended allocation of \$90,264 to the Q Center reflects support of the continued demands of students, faculty, staff, alumni and allies of the Center.

Recreational Sports Programs (RSP) - \$ 1,747,281 (Operations) and \$100,000 (Capital)

The recommended allocation will support wages and related increases for facilities staff and represents a \$121,769 (7.5%) increase in operating expenses. The capital allocation will provide the standard \$100,000 in maintenance funding necessary to keep RSP facilities functional.

Services & Activities Fee Committee - \$ 16,619 (Operations)

The recommended allocation provides for tuition and related fees for the Committee Chairperson and the salary of the SAF Coordinator; representing a decrease of \$23,878 (59%) due to the shift from a classified staff person to a temporary student staff person as SAF Coordinator.

Student Activities & Union Facilities (SAUF) - \$543,785 (Operations)

The recommended increase of \$6,320 (1%) will fund salaries for SAUF staff and operations costs for the Resource Center.

Student Legal Services (SLS) - \$139,929 (Operations)

The recommended allocation of \$139,929 will continue to maintain the essential operations of the office and the salaries of its staff.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Services and Activities Fee—University of Washington, Seattle: 2009 – 10
Operating and Capital Allocations (continued, p. 4)

Student Parent Resource Center - \$947,815 (Operations) and \$11,507 (Capital)

This funding level represents an increase of \$7,713 (0.8%) over the operations level for 2008 – 09.

Student Publications - \$200,000 (Operations)

The recommended allocation of \$200,000 is consistent with last year's funding level.

ATTACHMENTS

- I. May 29, 2009 letter to Mr. Eric Godfrey, Vice President and Vice Provost for Student Life, from Mr. Matt York, Chair, Services and Activities Fee Committee
- II. June 1, 2009 letter to Mr. Matt York, Chair, Services and Activities Fee Committee, from Mr. Eric Godfrey, Vice President and Vice Provost for Student Life
- III. 2008 – 09 Budget and 2009 – 10 Services and Activities Fee Revenue and Expense Projections



Services & Activities Fee Committee Committee Recommendation

May 29, 2009

Mr. Eric Godfrey
Vice Provost for Student Life
University of Washington
Schmitz 476
Seattle, Washington 98195

Dear Mr. Godfrey

On May 22 the Services & Activities Fee Committee met to approve recommendations regarding the collection and allocation of the Services & Activities Fee for the 2009-10 academic school year. This recommendation is the result of a lengthy process involving orientation and budget hearings as well as thoughtful and critical analysis from a considerably bright committee membership. The recommendation is broken down into components: recommended operational allocations, recommended capital allocations, recommended implementation of the new Capital Expenditures Policy, and a fee level. The Committee believes that the Regents should adopt our recommendation; the Fee will optimally fund a broad array of services and activities for the students at the University of Washington.

This year was a particularly challenging year for the Committee. The Committee's projected revenue is dependent upon the number of full-time student enrollments (FTEs). While the deep budgets cuts at the University do not directly affect the Services & Activities Fee revenue, the Committee was instructed to plan for a 1,300 decrease in FTEs. This represents a significant decrease to the Committee's operating budget for the 2009 - 2010 fiscal year, forcing tough decisions.

Institutional memory is an invaluable asset for any committee, particularly for a budgeting committee with as complex a process as SAF. We have been extremely fortunate to have University advisers who carry knowledge of the budgeting process and historical information, but the voting bloc and driving force of the committee is the students. It is extremely important that the students understand the SAF process thoroughly, and there is no better way of learning the process than experiencing it. It is my hope that when making committee appointments for the 2009 - 2010 SAF Committee, a candidates ability and willingness to serve multiple terms is taken into consideration.

The Committee also approved three large capital projects: renovations of the Husky Union Building, Hall Health Primary Care Center, and the Ethnic Cultural Center. These recommendations will be sent in a separate letter.

Operational Allocation Recommendations

ASUW – \$719,012

ASUW reassessed their method of calculating earned income and SAF request. As a result, this year's request is a \$20,000 decrease from last years funding level. In addition to this decrease, the Committee recommends the ASUW spend down their fund balance by \$15,000 as a symbol of leadership during tough economic times.

Classroom Support Services – \$71,163

This amount continues to fund the wages of the students operating the valuable and widely used student technology loan program.

Counseling Center – \$247,438

The Committee understands the importance of mental health programs and recommends funding this increase in its entirety.

Mental Health programs are extremely important but severely under funded at the University of Washington; yet, SAF does not have the resources to solve the problem independently. The committee strongly urges that the Counseling Center, along with the University at large, continue to lobby support for increased state funding for such a vital service to students.

The Daily – \$200,000

This amount is consistent funding at last year's levels. While The Daily adjusts it's expenditures based on its lines of revenue, the committee encourages them to provide a more accurate earned income estimate for next year.

Ethnic Cultural Center / Theater – \$582,275

The ECC continues to foster one of the strongest, most passionate communities of students on the University of Washington campus. This recommended allocation would support the ongoing functions, staff and general operations of the Ethnic Cultural Center / Theater.

GPSS – \$272,300

While GPSS' request remained consistent with last year's request, the Committee recommends a decrease of \$10,000. Similar to ASUW, we recommend that GPSS spend down their fund balance by \$10,000 as a symbol of leadership during tough economic times.

Hall Health Primary Care Center – \$6,126,489

Hall Health continues to provide excellent care for students on campus as well as faculty, staff, and the community. HHPCC has demonstrated its commitment to keeping the costs of health care as accessible to students as possible. This amount reflects an increase of about \$70,000 over last years level, largely to offset the increased cost of the increased payroll loading rates.

Q Center – \$90,264

The Q Center continues to be an extremely effective program, and the Committee would like to see it grow. However, we do not feel that is the right time for growth given the economic climate. This amount represents funding at last year's level.

Recreational Sports Program – \$1,747,281

Growth in student use of Recreational Sports Program has greatly outpaced growth in SAF funding. The Program does a very good job of providing top quality service to students and needs increased funding to maintain its operations. This amount is a \$120,000 increase over last year's level.

S & A Fee Committee Operations – \$16,619

This amount funds the operations and tuition stipend of the SAF Chair position. This is a significant decrease from the previous year due to a shift in the committee support person from a classified staff position to a temporary student position.

Student Activities and Union Facilities – \$543,785

The Committee recommends funding at a level consistent with the previous year. This continues funding the student advisers, RSO Event Fund and Resource Center as well as the other excellent services students receive at the HUB.

The Resource Center is extremely valuable to all student organizations on campus, but is operating near capacity. If the efficiency cannot be improved with current resources, more funding may be needed in the future.

Student Legal Services – \$139,929

This level is consistent with the previous year. SLS provides a great, accessible, and affordable option for common legal issues among students.

Student Parent Resource Center – \$947,815

This amount represents funding at levels consistent with the previous year.

*Capital Allocation Recommendations***Q Center - \$3,000**

This would fund new library shelves for the Q Center office

Recreational Sports Program - \$100,000

This allocation will support a number of RSP capital maintenance projects.

Resource Center - \$4,305

This will cover table replacements for registered student organizations use.

Student Parent Resource Center - \$11,507

This amount is to fund office supplies and equipment for a relocation.

Capital Expenditures Policy

The Committee passed a new policy to more easily and responsibly plan for capital expenditures. Historically, the Committee coupled capital and operational funding for each unit, but due to large variance in capital expenditure requests from year to year, the increase in the fee would fluctuate along with surpluses and deficits. The intention of this policy is smoothen out this fluctuation and plan responsibly and accurately. There are key numbers to this new policy: the target amount of the general fund and the percentage of total available fee revenue to allocate towards the capital expenditure fund. As per the policy, both of these numbers must be reassessed by the 2013 - 2014 SAF Committee.

Target Amount of General Fund - \$5,500,000

After years of discussing and debating what an appropriate fund balance level would be, the Committee decided on \$5.5 million. This reflects the average level of our general fund over the past decade as well as the amount needed to fund SAF units at minimal operations for half a year. The Committee feels that this amount is sufficient to fund unforeseen costs that might arise. Once the fund balance reaches this target level, any additional revenues and surpluses will be rolled over into the capital expenditures fund. Our fund balance currently holds approximately \$6.7 million, thus the Capital Expenditures Fund will start with approximately \$1.2 million.

Capital Expenditures Fund Revenue - 3%

The Committee recommends that 3% of the total revenue be allocated toward the capital expenditures fund. This amount was carefully calculated using historical data as well as projected capital expenditures over the next decade (see attached workbook). At this level, the Committee should be able to fund all future capital maintenance costs while maintaining padding for capital improvements and unforeseen capital expenditures.

Fee Level Recommendation

The Services & Activities Fee Committee recommends the Fee be increased from \$108 to \$113. \$112 is for the committee's operational and capital recommendations, and the remaining \$1 goes toward the specific purpose of preparing for lost operational revenue during construction of the large capital projects. While the HUB and HHPCC are under construction, Housing and Food Services and HHPCC will realize lost revenues from limited and/or closed operations. The Committee is expected to cover this estimated \$3.6 million loss. After weighing the various options, the Committee found it fiscally responsible to begin preparing for this cost now. The Committee recommends \$1 of the \$113 be set aside for the specific purpose of preparing for this cost while \$112 will cover

the operational allocations and build the Capital Expenditure Fund. This provides an estimated \$11.7 million in operational funding, \$360,000 for the Capital Expenditures Fund, and \$100,000 to be saved for the future lost operational cost due to the capital projects.

Closing Comments

The committee believes that if the Regents adopt these recommendations, the units will be able to expand their services provided to students. These recommendations will also lay the groundwork for new or improved facilities that will positively impact the student life of our campus. We believe this funding, combined with the unique talents of the unit directors and staff, ensures a successful future for student services and activities.

Sincerely,

Matt York
2008 - 2009 Chair
Services & Activities Fee Committee



UNIVERSITY *of* WASHINGTON

ERIC S. GODFREY

Vice President & Vice Provost for Student Life

June 1, 2009

Mr. Matt York
Chair
Services and Activities Fee Committee

Dear Matt,

Thank you for your letter of May 29, 2009, providing the details of the allocations proposed by the Services and Activities Fee (S&A Fee) Committee for 2009 – 10. Of particular note, the proposal calls for a \$5 increase in the quarterly S&A Fee, from \$108 per full-time student to \$113. An adjustment of this level enables the committee to continue and enhance important programs and balance expenditures with revenues.

The Committee's recommended allocations are thoughtful and strategic. After careful review, the administration concurs in the recommendations for fee assessment levels and all operational and minor capital allocations.

The budget will be presented to the Board of Regents for its consideration and action at its meeting on June 11, information on which will be communicated to you in the near future. I look forward to making this presentation with you.

As always, your leadership and collaboration have been greatly appreciated.

Sincerely yours,

A handwritten signature in black ink, appearing to read 'Eric S. Godfrey'.

Eric S. Godfrey
Vice President & Vice Provost

ESG:mhk

cc: Provost Phyllis M. Wise w/enclosure
Members of the Services and
Activities Fee Committee
Mr. Anttimo Bennett w/enclosure
Mr. Jake Faleschini w/enclosure

476 Schmitz Box 355831 Seattle, WA 98195-5831

main 206.543.4972 fax 206.543.2965 egodfrey@u.washington.edu

A-9.2/206-09

6/11/09

	Actual 2001-2002	Actual 2002-2003	Actual 2003-2004	Actual 2004-2005	Actual 2005-2006	Actual 2006-2007	Actual 2007-2008	Projected 2008-2009	Projected 2009-2010
Fund Balance Beginning of Year	5,917,345	6,272,182	6,445,559	6,455,132	6,610,405	5,828,440	6,249,913	6,721,370	5,500,000
REVENUES									
SAF Fee Revenue	8,909,979	9,131,303	9,218,283	9,215,823	9,690,350	10,465,027	11,228,522	11,354,864	11,998,750
Interest	340,381	349,096	189,796	205,342	186,615	267,046	300,753	267,000	150,000
TOTAL REVENUE	9,250,360	9,480,399	9,408,079	9,421,165	9,876,965	10,732,073	11,529,275	11,621,864	12,148,750
Annual Debt Service	(673,509)	(610,609)	(639,691)	(95,504)	-	-	-	-	
TOTAL AVAILABLE REVENUE	8,576,851	8,869,790	8,768,388	9,325,661	9,876,965	10,732,073	11,529,275	11,621,864	12,148,750
EXPENSES									
Total Operating Expenses	8,064,205	8,408,374	8,873,292	9,020,931	9,860,864	10,264,426	10,928,950	11,485,809	11,704,370
Total Capital Expenses	157,809	238,039	52,402	149,457	179,402	176,600	195,660	141,317	118,812
Special Allocations						320,331			
TOTAL EXPENSES	8,222,014	8,646,413	8,925,694	9,170,388	10,040,266	10,761,357	11,124,610	11,627,126	11,823,182
NET INCOME	354,837	223,377	(157,306)	155,273	(163,301)	(29,284)	404,665	(5,262)	325,568
Childcare Center Match	-	(50,000)	-	-	-	-	-		
Adjustment			166,879	-	(618,664)	450,757	66,792		
Transfer to Capital Expenditure Fund								1,216,108	325,568
Operating Expenses									
ASUW	-	-	-	-	473,990	586,432	689,159	755,092	719,012
ASUW Night Ride	-	-	-	-	34,820	-	-	-	
Childcare Operations	74,046	73,193	68,713	72,483	72,214	72,214	87,379	96,741	98,557
Childcare Subsidy	697,475	707,035	720,390	727,214	746,030	746,030	773,256	793,361	849,258
Classroom Support Services	-	-	28,237	28,160	56,732	65,620	66,156	70,030	71,163
Counseling Center	-	-	-	-	40,000	154,423	179,746	210,241	247,438
Ethnic Cultural Center Operations	300,000	410,809	436,906	449,854	477,944	498,316	555,619	582,275	582,275
Friday Harbor Labs	-	-	4,200	-	-	-	-	-	
GPSS	-	-	-	-	217,049	241,463	252,188	282,294	272,300
Health Center Operations	5,249,345	5,414,606	5,522,898	5,583,650	5,583,650	5,583,650	5,901,380	6,054,816	6,126,489
Q-Center	-	-	-	-	35,000	49,250	55,498	90,264	90,264
Rec Sports Operations	1,366,924	1,404,992	1,601,747	1,629,810	1,607,880	1,527,985	1,580,478	1,625,512	1,747,281
RUCKUS	-	-	4,172	4,925	4,925	-	-	-	
SAFC Operations	10,708	22,611	11,546	25,141	10,236	41,326	31,869	40,497	16,619
SOURCE (Resource Center)	38,000	43,804	69,980	-	-	-	-	149,503	156,603
Student Activities and Union	184,330	184,553	269,837	349,613	369,530	376,515	420,297	387,182	387,182
Student Legal Service Operations	79,207	81,972	84,867	100,282	130,864	124,202	135,853	147,929	139,929
Student Publications	-	-	-	-	-	197,000	200,072	200,072	200,000
Students Orgs. Event Fund	15,000	15,000	-	-	-	-	-	-	
UW CARES Escort Service	49,170	49,799	49,799	49,799	-	-	-	-	
TOTAL OPERATING EXPENSES	8,064,205	8,408,374	8,873,292	9,020,931	9,860,864	10,264,426	10,928,950	11,485,809	11,704,370

	Actual 2001-2002	Actual 2002-2003	Actual 2003-2004	Actual 2004-2005	Actual 2005-2006	Actual 2006-2007	Actual 2007-2008	Projected 2008-2009	Projected 2009-2010
CAPITAL EXPENSES									
Childcare Capital	-	-	-	-	-	2,000	-	-	11,507
Counseling Center Capital	-	-	-	-	-	5,000	-	1,000	0
Ethnic Cultural Center Capital	60,000	54,031	4,577	4,460	44,602	28,300	13,403	5,810	0
Hall Health Center Capital	10,000	95,281	30,000	30,000	30,000	-	-	-	0
Q-Center Capital	-	-	-	-	-	4,000	5,147	-	3,000
Recreational Sports Capital	75,000	75,000	-	100,000	100,000	100,000	100,000	100,000	100,000
Resource Center	-	-	-	-	-	-	-	-	4,305
SAFC Operations Capital	-	-	-	-	-	2,500	12,000	-	0
SOURCE Capital	2,000	-	-	4,000	-	-	-	780	0
Student Activities and Union Capital	-	-	-	-	-		-	-	0
Student Legal Services Capital	10,809	13,727	17,825	10,997	4,800	4,800	5,110	3,727	0
Student Publications Capital	-	-	-	-	-	30,000	60,000	30,000	0
TOTAL CAPITAL EXPENSES	157,809	238,039	52,402	149,457	179,402	176,600	195,660	141,317	118,812
Fund Balance End of Year	6,272,182	6,445,559	6,455,132	6,610,405	5,828,440	6,249,913	6,721,370	5,500,000	5,500,000

VII. STANDING COMMITTEES**A. Academic and Student Affairs Committee**University of Washington Bothell Student HousingRECOMMENDATION:

This is an information item. The Regents will be asked to take action to approve the rent rates at the July Regents meeting.

BACKGROUND:

- The University of Washington Bothell began accepting freshmen in 2006.
- The freshman class has grown from 135 in the first year to an expected 340 for autumn 2009.
- Student recruitment has expanded to include the entire state of Washington.
- Freshman and sophomore students expect a more robust student life program.
- We are pursuing a student center, expanded food service and student housing to meet the needs of our growing student population.
- In the long run, we intend to build or acquire student housing.

As a first step, the University of Washington Bothell is planning to master lease a small apartment building near the campus and manage the property for student housing beginning autumn quarter 2009.

A request for proposals (RFP) was sent out to apartment complexes within a 1.5 mile radius from campus in early winter. Twelve proposals were received. A team from the UW Real Estate Office, UW Bothell Administration and Planning, and UW Bothell Student Life reviewed the RFPs, toured facilities, and selected the preferred property. The UW Real Estate Office is developing a Letter of Intent to the property owner proposing a one year lease, with five one year options.

During the past several months, UW Bothell representatives have consulted with the Provost's Office, the Office of Planning and Budgeting (including the Capital and Space Planning Office), the Attorney General's Office, Risk Management, the Treasury Office and representatives from UW Housing and Food Services to ensure that necessary analysis has been completed and all appropriate steps taken prior to implementing this initial housing program. The Chancellor and Vice Chancellor have also discussed this plan with the Mayor and City Manager of Bothell and have ensured that applicable City codes and zoning support the proposal.

The apartment complex will house up to 44 students, plus two undergraduate or graduate community advisors (similar to a resident advisor). All apartments are

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

University of Washington Bothell Student Housing (continued, p. 2)

one bedroom, one bathroom and will be fully furnished. The complex is approximately one quarter mile from campus and is within walking distance to shops, restaurants, and bus lines. There will be student life programming specifically designed for the residential community. A financial pro forma has been prepared to ensure that the housing program can operate on a self-sustaining basis including facility costs, student life programming, insurance, community advisory expenses and all other expenses. Based on this pro forma the following rental rates are proposed:

Shared one bedroom: \$625/month

Single one bedroom: \$1100/month (maximum of 5 units will be singles)

Rates are per person and include all utilities (water, electricity, basic cable, and internet) except phone service. Students will sign a twelve month contract, with an option for a nine month contract.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Reorganizations Within the College of the Environment

RECOMMENDED ACTION:

It is the recommendation of the administration and the Academic and Student Affairs Committee that the following academic program consolidations be effective July 1, 2009:

Consolidation of the College of Forest Resources, as the School of Forest Resources, into the College of the Environment;

Reorganization of the Department of Atmospheric Sciences, with its relocation from the College of Arts and Sciences to the College of the Environment;

Reorganization of the Department of Earth and Space Sciences, with its relocation from the College of Arts and Sciences to the College of the Environment;

Reorganization of the School of Marine Affairs, with its relocation from the College of Ocean and Fishery Sciences to the College of the Environment;

Reorganization of the Program on the Environment, with its relocation from the College of Arts and Sciences to the College of the Environment.

REVIEW PROCESS:

During the 2008-09, academic year the processes prescribed in the Faculty Code (Section 26-41: Procedures for Reorganization, Consolidation, and Elimination of Programs) were completed to effect these proposed reorganization actions. These processes provided for extensive review and consultation across the institution and effected constituencies. Each of the proceedings resulted in a recommendation that the proposed reorganizations be implemented.

RATIONALE FOR REORGANIZATION:

The reorganization of the units into the College of the Environment is the first step in establishing a solid foundation from which the University of Washington will endeavor to build the strongest and most capable environmental research and teaching institution in the world.

ATTACHMENT

Letter from President Emmert, dated June 3, 2009, "Final decision regarding the proposed transition of the College of Forest Resources into a School of Forest Resources within the College of the Environment"



UNIVERSITY OF WASHINGTON

OFFICE OF THE PRESIDENT

Mark A. Emmert, President

June 3, 2009

TO: Board of Regents
Phyllis Wise, Provost
B. Bruce Bare, Dean, College of Forest Resources
Gordon Bradley, Faculty Chair, College of Forest Resources
Dennis L. Hartmann, Interim Dean, College of the Environment
David Lovell, Chair, Faculty Senate

FROM: Mark A. Emmert
President

RE: Final decision regarding the proposed transition of the College of Forest Resources into a School of Forest Resources within the College of the Environment

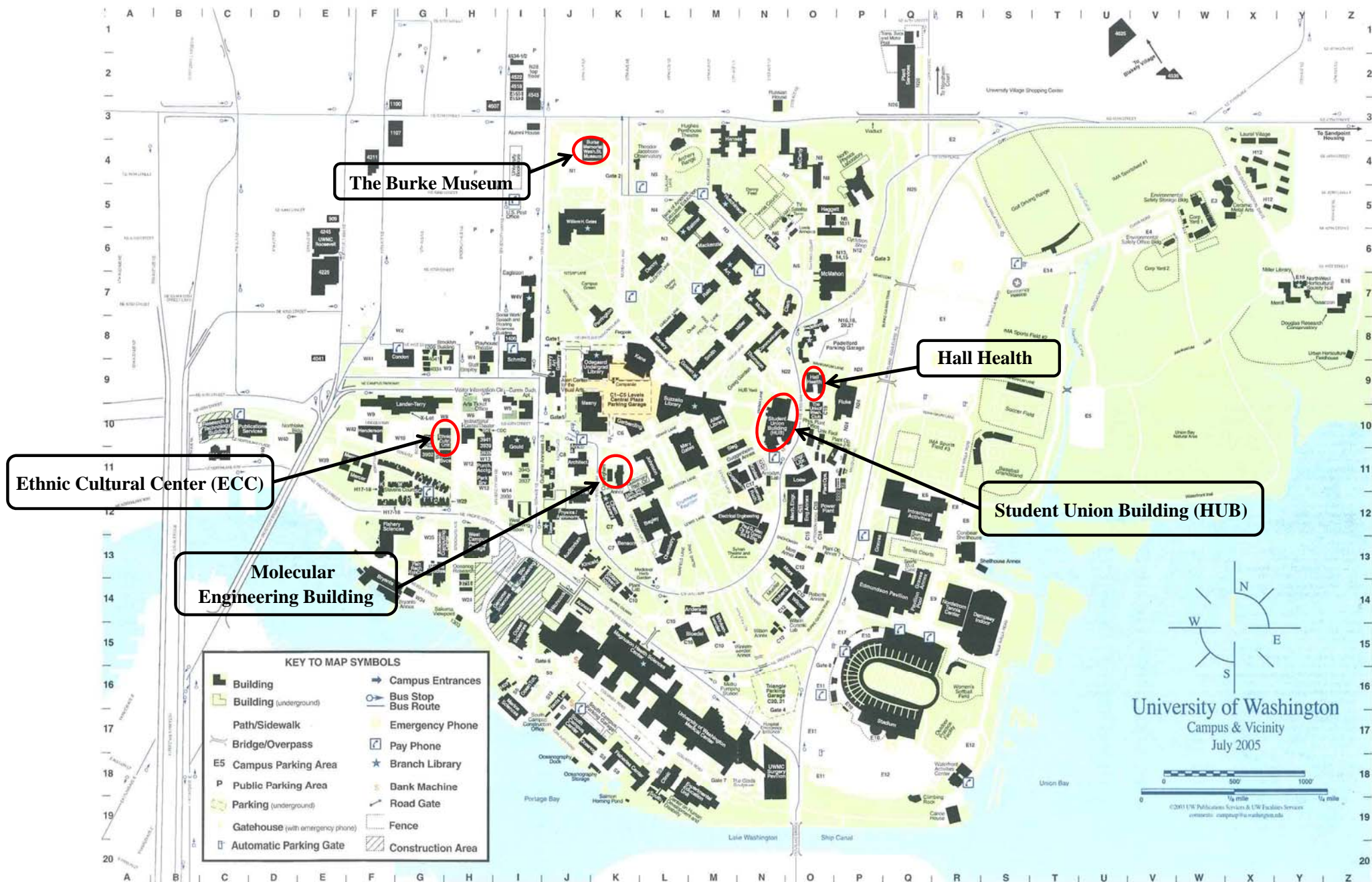
At its February 9, 2009, meeting, the Senate Committee on Planning and Budgeting concurred with Provost Wise's recommendation to initiate a review for consolidation of the College of Forest Resources into the College of the Environment. As prescribed in the Faculty Code (Section 26-41: Reorganization, Consolidation & Elimination of Programs), the Secretary of the Faculty then published the detailed proposal for this reorganization in a Class C bulletin on April 2, 2009, and the Chair of the Faculty Senate appointed a Review Committee to conduct an open review of the Provost's proposal. The Review Committee delivered its written recommendations to me on May 4, 2009.

After review of the Provost's proposal and the Review Committee's recommendations, and having conferred with the Senate Committee on Planning and Budgeting on May 18, 2009, I have decided to move forward on the Provost's proposal to transition the College of Forest Resources into a School of Forest Resources within the College of the Environment.

The College of Forest Resources will be joining four other academic units that have already undergone the review process outlined in the Faculty Code to join the College of the Environment on July 1, 2009. These units include the Department of Atmospheric Sciences, the Department of Earth and Space Sciences, the School of Marine Affairs, and the Program on the Environment. In addition, the Joint Institute for the Study of the Atmosphere and Ocean (JISAO) has already been transferred from the Office of Research into the College of the

Environment. This is a solid foundation from which the University of Washington will endeavor to build the strongest and most capable environmental research and teaching institution in the world.

For more than 100 years, the University of Washington's College of Forest Resources has been our state's premier educational program for training and educating professionals to manage critical natural resources for industry, public agencies, non-profit organizations, and private landowners. It also has been a vibrant research enterprise, providing answers and leadership on a multitude of environmental and natural resource issues of regional and global importance. With this strong tradition of excellence and a mission dedicated to generating and disseminating knowledge for the stewardship of natural and managed environments and the sustainable use of their products and services through teaching, research, and outreach, I expect the School of Forest Resources to flourish in its new role as a cornerstone of the UW's new College of the Environment.



VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Grant and Contract Awards – April, 2009

RECOMMENDED ACTION:

It is the recommendation of the administration and the Finance and Audit Committee that the Board of Regents accept the Grant and Contract Awards as presented on the attached list.

Attachment: Grant and Contract Awards Summary
Report of Grant and Contract Awards of
\$1,000,000 or More

Grant and Contract Awards Summary

to

The Board of Regents

of the

University of Washington

for

April 2009

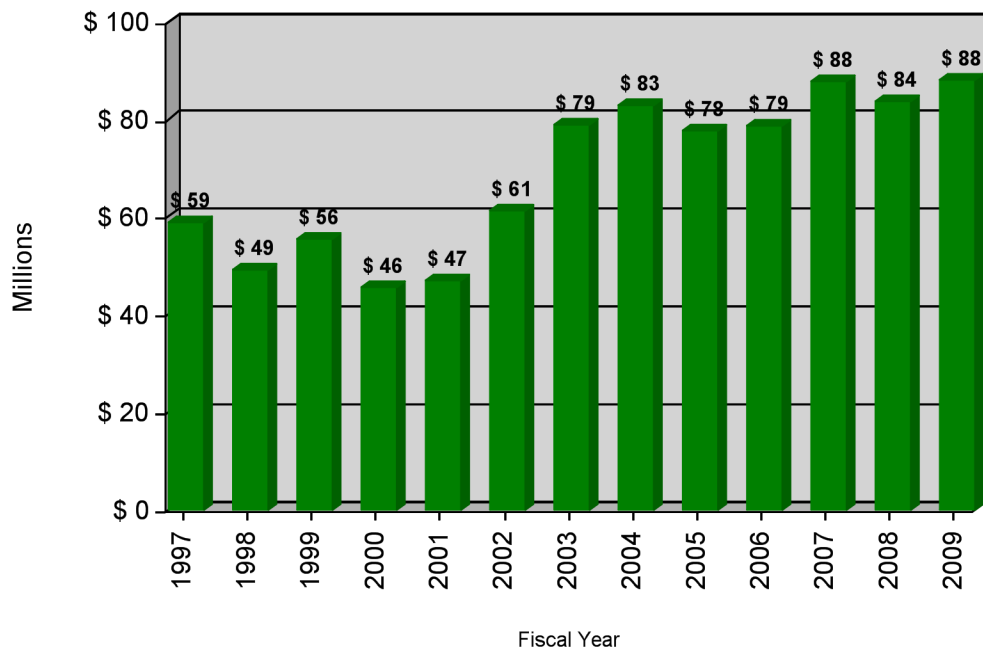
Office of Research

Office of Sponsored Programs

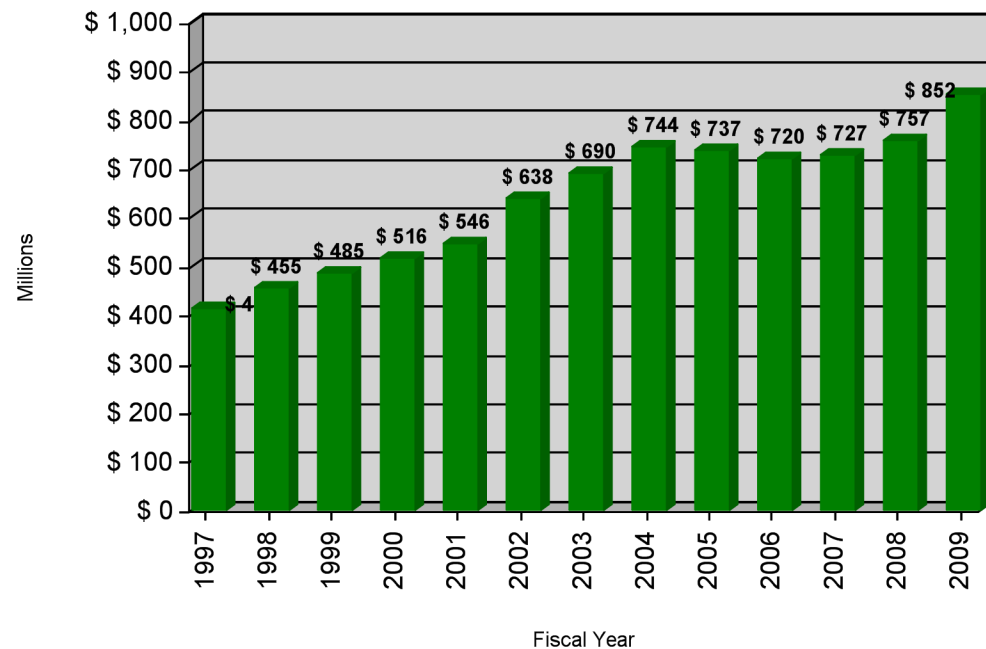
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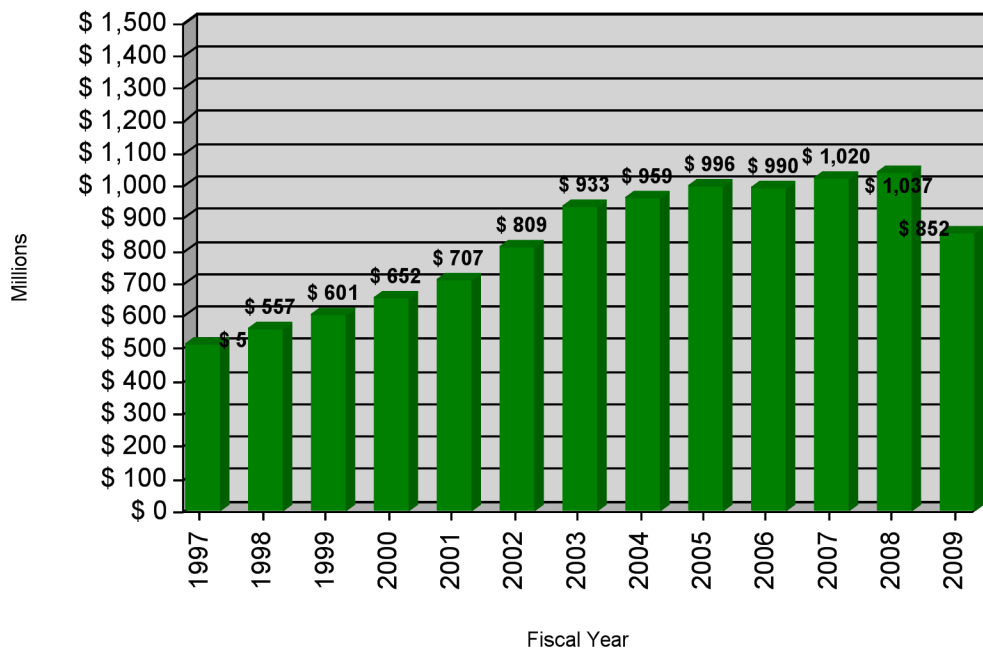
April Only
Comparison of Grant & Contract Awards



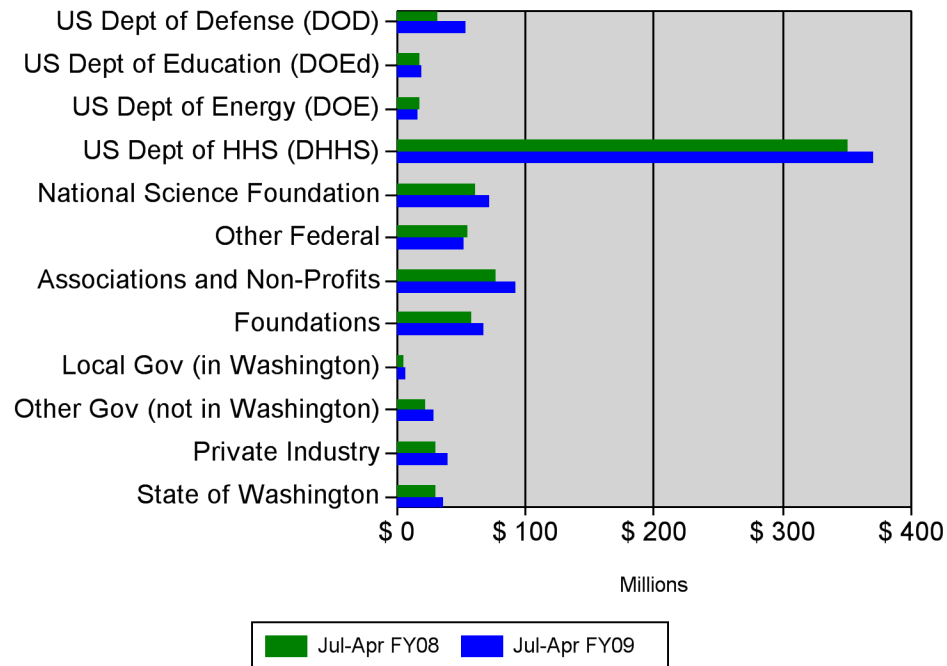
Fiscal Year to Date
Comparison of Grant & Contract Awards



Complete Fiscal Year
Comparison of Grant & Contract Awards



Fiscal Year to Date
Two-Year Comparison of Grant and Contract Awards



Summary of Grant and Contract Awards

Fiscal Year 2008-2009

Month	RESEARCH AND OTHER		TRAINING		Total Grants and Contracts
	Federal	Non-Federal	Federal	Non-Federal	
July	\$ 86,111,310	\$ 16,220,680	\$ 9,776,757	\$ 3,001,990	\$ 115,110,700
August	\$ 59,011,790	\$ 22,463,880	\$ 7,944,685	\$ 1,036,159	\$ 90,456,520
September	\$ 105,344,800	\$ 20,093,510	\$ 9,282,558	\$ 1,091,509	\$ 135,812,400
October	\$ 63,252,340	\$ 52,224,910	\$ 6,130,011	\$ 2,279,804	\$ 123,887,100
November	\$ 22,820,940	\$ 33,654,000	\$ 1,145,695	\$ 2,762,181	\$ 60,382,820
December	\$ 34,707,560	\$ 22,962,860	\$ 102,730	\$ 979,880	\$ 58,753,020
January	\$ 30,733,770	\$ 31,658,590	\$ 1,314,516	\$ 909,721	\$ 64,616,590
February	\$ 38,494,480	\$ 16,934,460	\$ 1,170,230	\$ 1,320,559	\$ 57,919,730
March	\$ 34,910,240	\$ 18,161,900	\$ 3,185,760	\$ 102,937	\$ 56,360,840
April	\$ 56,275,350	\$ 20,173,500	\$ 11,124,150	\$ 571,993	\$ 88,144,990
FY09 to Date	\$531,662,574	\$254,548,303	\$51,177,089	\$14,056,733	\$851,444,700
FY08 to Date	\$490,516,900	\$203,725,690	\$42,701,256	\$19,849,608	\$756,793,455
Over (Under) Previous Year	\$41,145,675	\$50,822,613	\$8,475,833	(\$5,792,875)	\$94,651,245

Assuming acceptance of all awards by the Board of Regents

Comparison of Grant and Contract Awards by Agency Fiscal Years 2007-2008 and 2008-2009		
Agency	Jul-Apr FY08	Jul-Apr FY09
US Department of Defense (DOD)	\$ 31,948,561	\$ 53,110,773
US Department of Education (DOEd)	\$ 17,504,862	\$ 19,425,615
US Department of Energy (DOE)	\$ 17,487,543	\$ 15,576,568
US Department of Health and Human Services (DHHS)	\$ 350,654,559	\$ 370,573,583
National Science Foundation (NSF)	\$ 60,772,893	\$ 72,337,569
Other Federal	\$ 54,849,738	\$ 51,815,556
Subtotal for Federal :	\$ 533,218,156	\$ 582,839,663
Associations and Non-Profits	\$ 77,130,107	\$ 91,687,105
Foundations	\$ 57,394,192	\$ 67,357,037
Local Government (in Washington)	\$ 6,018,534	\$ 6,267,441
Other Government (not in Washington)	\$ 22,841,124	\$ 27,980,578
Private Industry	\$ 30,453,907	\$ 39,504,050
State of Washington	\$ 29,737,435	\$ 35,808,825
Subtotal for Non-Federal :	\$ 223,575,299	\$ 268,605,037
Grand Total :	\$ 756,793,455	\$ 851,444,700
Amount of Increase (Decrease) :		\$ 94,651,245
Percent of Increase (Decrease) :		12.5 %

Assuming acceptance of all awards by the Board of Regents

Comparison of Grant and Contract Awards by School/College

Fiscal Years 2007-2008 and 2008-2009

School/College		Jul-Apr FY08	Jul-Apr FY09
Upper Campus			
	Architecture and Urban Planning	\$ 940,319	\$ 1,916,765
	Arts and Sciences	\$ 74,657,360	\$ 78,002,647
	Business Administration	\$ 740,458	\$ 770,000
	College of the Environment		\$ 120,088
	Computing & Communications	\$ 30,000	
	Director of Libraries	\$ 116,500	\$ 6,860,485
	Education	\$ 2,951,845	\$ 9,162,873
	Educational Outreach	\$ 287,668	\$ 96,400
	Engineering	\$ 60,932,277	\$ 69,317,539
	Evans School of Public Affairs	\$ 3,538,635	\$ 3,472,452
	Executive Vice President	\$ 208,000	\$ 1,451,647
	Forest Resources	\$ 4,930,125	\$ 7,411,814
	Graduate School	\$ 1,058,653	\$ 4,668,556
	Information School	\$ 5,329,239	\$ 5,433,363
	Law	\$ 409,734	\$ 2,775,906
	Ocean and Fishery Sciences	\$ 73,486,314	\$ 72,556,527
	Office of Research	\$ 18,236,159	\$ 16,770,005
	Provost	\$ 200,000	\$ 112,947
	Social Work	\$ 11,430,598	\$ 11,710,823
	Undergraduate Education	\$ 363,372	\$ 272,265
	VP Educational Partnerships	\$ 43,745	\$ 30,000
	VP Minority Affairs	\$ 7,118,112	\$ 6,617,602
	Subtotal :	\$ 267,009,113	\$ 299,530,703
Health Sciences			
	Dentistry	\$ 10,135,366	\$ 7,607,794
	Medicine	\$ 371,744,408	\$ 432,380,549
	Nursing	\$ 11,158,074	\$ 10,445,386
	Pharmacy	\$ 7,683,748	\$ 8,122,739
	Public Health and Community Medicine	\$ 58,413,257	\$ 59,804,986
	Subtotal :	\$ 459,134,853	\$ 518,361,453
Special Programs			
	Alcohol and Drug Abuse Institute	\$ 4,126,521	\$ 3,094,050
	CHDD Administration	\$ 7,571,760	\$ 5,066,478
	Regional Primate Center	\$ 16,722,351	\$ 20,076,037
	Subtotal :	\$ 28,420,632	\$ 28,236,565
Other UW Campuses			
	Bothell	\$ 1,301,517	\$ 4,804,721
	Tacoma	\$ 322,285	\$ 511,258

Total : \$ 74,657,360 \$ 78,002,650

Assuming acceptance of all awards by the Board of Regents

Summary of Grant Awards

Fiscal Year 2008-2009

Excluding private awards from Foundations, Industry, Associations and Others

Month	RESEARCH AND OTHER		TRAINING		Total Grants
	Federal	Non-Federal	Federal	Non-Federal	
July	\$ 84,699,550	\$ 3,384,315	\$ 9,776,757	\$ 226,080	\$ 98,086,700
August	\$ 53,590,660	\$ 5,137,674	\$ 7,914,685	\$ 0	\$ 66,643,020
September	\$ 90,787,430	\$ 3,932,069	\$ 9,263,558	\$ 164,451	\$ 104,147,500
October	\$ 57,813,560	\$ 8,630,334	\$ 6,130,011	\$ 155,787	\$ 72,729,690
November	\$ 17,799,160	\$ 976,483	\$ 1,145,695	\$ 606,373	\$ 20,527,710
December	\$ 24,735,870	\$ 4,139,161	\$ 102,730	\$ 193,486	\$ 29,171,250
January	\$ 28,362,900	\$ 12,267,870	\$ 1,290,516	\$ 680,002	\$ 42,601,290
February	\$ 34,430,480	\$ 2,027,890	\$ 1,170,230	\$ 750,000	\$ 38,378,600
March	\$ 32,394,290	\$ 1,626,292	\$ 3,185,760	\$ 0	\$ 37,206,340
April	\$ 55,444,090	\$ 1,766,909	\$ 11,124,150	\$ 34,525	\$ 68,369,670
Year to Date	\$ 480,058,000	\$ 43,889,000	\$ 51,104,090	\$ 2,810,704	\$ 577,861,800

Assuming acceptance of all awards by the Board of Regents

Summary of Grant Awards**Fiscal Year 2008-2009***Private awards from Foundations, Industry, Associations and Others*

Month	RESEARCH AND OTHER	TRAINING	Total Grants
July	\$ 6,878,668	\$ 512,428	\$ 7,391,096
August	\$ 12,186,370	\$ 397,418	\$ 12,583,790
September	\$ 10,619,370	\$ 83,395	\$ 10,702,760
October	\$ 34,771,180	\$ 440,967	\$ 35,212,150
November	\$ 24,972,490	\$ 1,185,445	\$ 26,157,940
December	\$ 8,611,615	\$ 64,676	\$ 8,676,291
January	\$ 15,142,940	\$ 95,814	\$ 15,238,760
February	\$ 10,627,290	\$ 12,376	\$ 10,639,660
March	\$ 9,523,421	\$ 0	\$ 9,523,421
April	\$ 11,164,340	\$ 39,291	\$ 11,203,630
Year to Date	\$ 144,497,700	\$ 2,831,810	\$ 147,329,500

Assuming acceptance of all awards by the Board of Regents

Summary of Contract Awards					
Fiscal Year 2008-2009					
Month	RESEARCH AND OTHER		TRAINING		Total Contracts
	Federal	Non-Federal	Federal	Non-Federal	
July	\$ 1,411,756	\$ 5,957,698	\$ 0	\$ 2,263,482	\$ 9,632,936
August	\$ 5,421,129	\$ 5,139,839	\$ 30,000	\$ 638,741	\$ 11,229,710
September	\$ 14,557,370	\$ 5,542,074	\$ 19,000	\$ 843,663	\$ 20,962,110
October	\$ 5,438,786	\$ 8,823,394	\$ 0	\$ 1,683,050	\$ 15,945,230
November	\$ 5,021,777	\$ 7,705,027	\$ 0	\$ 970,363	\$ 13,697,170
December	\$ 9,971,688	\$ 10,212,080	\$ 0	\$ 721,718	\$ 20,905,490
January	\$ 2,370,864	\$ 4,247,778	\$ 24,000	\$ 133,905	\$ 6,776,547
February	\$ 4,063,997	\$ 4,279,290	\$ 0	\$ 558,183	\$ 8,901,470
March	\$ 2,515,948	\$ 7,012,189	\$ 0	\$ 102,937	\$ 9,631,074
April	\$ 831,263	\$ 7,242,258	\$ 0	\$ 498,177	\$ 8,571,698
Year to Date	\$ 51,604,580	\$ 66,161,630	\$ 73,000	\$ 8,414,219	\$ 126,253,400

Assuming acceptance of all awards by the Board of Regents

**Report of Grant and Contract Awards
of \$1,000,000 or More**

April 2009

**Requiring action of
The Board of Regents
of the
University of Washington**

**Office of Research
Office of Sponsored Programs**

Detail of Public Grant Awards

Federal

US Department of Health and Human Services (DHHS)

Health Resources and Services Administration (HRSA)

To: King K. Holmes, Professor \$ 9,183,081
Department of Medicine

For: International AIDS Education and Training Center

Eff: 4/1/2009 Classified: No

Total for Health Resources and Services Administration (HRSA): \$ 9,183,081

National Institutes of Health (NIH)

To: Murray Raskind, Professor \$ 2,546,891
Department of Psychiatry

For: Alzheimer's Disease Research Center

Eff: 5/1/2009 Classified: No

To: David Eaton, Professor \$ 1,484,487
Department of Environmental and Occupational Health Sciences

For: Center for Ecogenetics and Environmental Health

Eff: 4/1/2009 Classified: No

To: Michael J Gale, Associate Professor \$ 2,164,329
Department of Immunology

For: Immune Control of Flavivirus Infection

Eff: 5/1/2009 Classified: No

National Human Genome Research Institute (NHGRI)

To: Robert H Waterston, Professor \$ 1,324,464
Department of Genome Sciences

For: Global Identification of transcribed elements in the C. elegans

Eff: 4/1/2009 Classified: No

Total for National Human Genome Research Institute (NHGRI): \$ 1,324,464

National Institute of Environmental Health and Science (NIEHS)

To: Harvey Checkoway, Professor \$ 2,415,820
Department of Environmental and Occupational Health Sciences

For: Effect-Related Biomarkers of Environmental Neurotoxic Exposures

Eff: 4/20/2009 Classified: No

Total for National Institute of Environmental Health and Science (NIEHS): \$ 2,415,820

Total for National Institutes of Health (NIH): \$ 9,935,991

Total for US Department of Health and Human Services (DHHS): \$ 19,119,072

Total for Federal: \$ 19,119,072

Total Public Grants: \$ 19,119,072

Detail of Private Grant Awards

Foundations

Bill and Melinda Gates Foundation

To: Christopher J Murray, Professor \$ 3,410,580
Global Health

For: Disease Control Priorities Network

Eff: 4/1/2009

Classified: No

Total for Bill and Melinda Gates Foundation: \$ 3,410,580

Total for Foundations: \$ 3,410,580

Total Private Grants: \$ 3,410,580

Grand Total for all Awards \$ 22,529,652

VII. STANDING COMMITTEES**B. Finance, Audit and Facilities Committee****On-Call Medical Master Term Agreement – Select Architect****RECOMMENDED ACTION:**

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the President be delegated authority to award an on-call Medical Master Term Agreement to NBBJ, subject to successful negotiation of the architectural and professional services agreement. This architectural agreement will replace an existing master term agreement with Taylor Gregory Butterfield Architects that expired May 30, 2009.

In the event of an unsuccessful negotiation with the selected firm, it is requested that authority be delegated to open negotiations with Zimmer Gunsul Frasca Architects LLP, the firm recommended as first alternate.

BACKGROUND:

The Capital Projects Office intends to select an architectural firm for an on-call Master Term Agreement for various medical projects located at the University of Washington Medical Center (UWMC) and Harborview Medical Center (HMC). As individual projects arise, the parties will negotiate the scope of work and fee and formalize it in a project authorization. Project authorizations under the Master Term Agreement will be executed prior to the expiration of the Master Term Agreement, and will be limited to those with a total project budget (including design, construction, contingency and other costs) of less than \$4 million.

The Master Term Agreement will have a total project value of \$10 million and will be for an initial one-year period with an option to extend the Agreement for two additional one-year periods (for a maximum of three years). If the consultant performs well on work awarded during the first one-year period then it is the intention of the Capital Projects Office to continue architectural services with the same consultant for a subsequent one-year period. It is anticipated that working with a consultant with successful recent experience in the design and delivery of projects for the University of Washington may result in the production of better design documents in a more timely fashion. It also assures that planning work initiated at the latter end of the first one-year period can be executed by the same consultant in the second one-year period.

In February 2009, the Capital Projects Office advertised for firms interested in providing on-call architectural services. Eighteen firms responded to the Request

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

On-Call Medical Architectural Agreement – Select Architect (continued p. 2)

for Qualifications, and four firms were interviewed on April 2, 2009. It is the interview team's recommendation that NBBJ be awarded the Master Term Agreement for on-call medical architectural services.

NBBJ is a Seattle firm established in 1943 and currently employs 414 locally and 703 companywide. NBBJ has provided services to the University of Washington at multiple UWMC and HMC projects, including the HMC Ninth and Jefferson Building, Surgery Pavilion, UWMC Lobby Renovation and the UWMC Tower Expansion Project, to name a few. NBBJ has also provided services for a broad range of project types to other medical facilities including Swedish Medical Center, Valley Medical Center and Virginal Mason Medical Center.

VII. STANDING COMMITTEES**B. Finance, Audit and Facilities Committee**Approval to Refinance UNISYS ContractRECOMMENDATION:

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the Board of Regents:

- (1) Approve a financing contract with Key Government Finance/UNISYS Leasing Worldwide in the amount of \$5.4 million to finance the extension of an existing software license and the acquisition of data storage equipment.
- (2) Delegate to the President or his designee, the authority to execute documents as required to complete this financing transaction.

BACKGROUND

On March 19, 2009, UW Technology reported the extension of the software license and the replacement of data storage equipment for the University's UNISYS computer system to the Board of Regents as acquisitions executed under general delegated authority.

A sole source purchase order in the amount of \$3,389,999, including sales tax, was executed with UNISYS Corporation for a two-year extension of the 2006 hardware and software agreement for the University's three administrative computers. A second sole source purchase order in the amount of \$1,920,523, including sales tax, was separately executed with UNISYS Corporation for replacement of data storage for administrative computing systems. Both actions were approved by the Director of the Washington State Department of Information Services.

The software license has been extended and the computer equipment has been purchased and delivered. The bulk of the equipment has been tested and placed in service, and testing and installation of the final components is expected in the next two to three months.

A request for proposals for a financing contract in the total amount of \$5.4 million was issued on April 6, 2009. Three proposals were received and evaluated for cost and performance. Key Government Finance/UNISYS Leasing Worldwide was awarded the contract on May 14, 2009, with an effective interest rate offer of

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Approval to Refinance UNISYS Contract (continued p. 2)

4.05 percent over a 30-month amortization period for the software portion and a 48-month amortization period for the hardware portion.

PROJECT BUDGET AND FUNDING:

The financing contract is in the total amount of \$5.4 million. Funding for both acquisitions were made from University operating budgets. The proceeds of the financing transaction will be used to reimburse these operating budgets.

PREVIOUS ACTION

March 19, 2009 – Report to Board of Regents regarding extension of software license and replacement of data storage equipment under general delegated authority.

REVIEW AND APPROVALS

This recommendation has been reviewed and approved by the Senior Vice President and the Vice Provost for Planning and Budgeting.

VII. STANDING COMMITTEES**B. Finance, Audit and Facilities Committee**Actions Taken Under Delegated Authority

Pursuant to the Standing Orders of the Board of Regents, Delegation of Authority, and to the delegation of authority from the President of the University to the Senior Vice President in Administrative Order No. 1, to take action for projects or contracts that exceed \$1,000,000 in value or cost but are less than \$5,000,000, the Administration may approve and execute all instruments.

REPORT OF ACTIONS TAKEN UNDER GENERAL DELEGATED AUTHORITY –
CAPITAL PROJECT BUDGETS

1. Harborview Medical Center Hydrotherapy Renovation, Project No. 201893
Action Reported: Award Construction Contract and Budget Adjustment

On April 20, a construction contract was awarded to Regency NW Construction, Inc. in the amount of \$244,000 for the Harborview Medical Center Hydrotherapy Renovation, Project 201893. Seven bids were received for this project; the highest bid was \$396,000. The budgeted construction cost was \$545,610, with an estimate prepared prior to bid of \$329,000.

Regency NW is a Bellevue, WA, company that has been in business since 1995. This contractor specializes in general construction and public works tenant improvements. Regency NW has worked on numerous buildings at the Seattle campus of the University of Washington and at Harborview Medical Center. Recent projects that Regency NW has completed include UWMC NW220 Autozone, Phase 2 Chemistry Lab, HMC GEH Biplane Installation, and HSC 6th Floor Renovation, Aquatics and Veterinary Services Relocation. Other current projects include HMC 1WH Single Planes Installation, Suzzallo Caulking Improvements Phase 2, and HSC I-Wing Surgery Corridor Alterations.

The project is being designed to meet three goals: (1) increase the capacity of the Burn Center to treat patient wounds and burns, (2) create a more private, comfortable and less stressful patient experience, and (3) improve the HMC staff's ability to deliver high quality care with more efficient and effective facilities.

The most significant portion of the design focuses on Room 8EH-33, where three semi-private tank treatment spaces will be replaced by two private wet stretcher rooms. Both of the private rooms will incorporate color-corrected procedure lighting for better wound diagnostics and treatment, more effectively located supply storage and better control and access to water sources and medical gasses. In addition, two adjacent rooms (8EH-38 & 8EH-47) will be renovated to accommodate hydrotherapy tanks, also to be used for burn

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority (continued p. 2)

treatment. The patient experience will be enhanced with dimmable lighting, non-institutional colors and finishes, and the accommodation of video, sound and virtual reality systems.

The project budget was revised to \$595,000, a decrease of \$405,000, from the original budget of \$1,000,000. This revision reflects receipt of favorable bids on April 9, 2009. While the scope of work did not change, the low bid was significantly under the original budget. The facility will be able to provide additional furnishings and equipment due to the favorable bid conditions.

The \$1,000,000 funding for this project came from an HMC donor. A stipulation of the funding mandates the project be under construction by December of 2009. HMC prefers Rooms EH-38 & EH-47 be completed by the end of June 2009, for use when the unit gets heavy use during the Fourth of July season. Therefore, CPO's goal is to meet substantial completion of this piece of the construction by June 30. The remainder of the project is to reach substantial completion by early October 2009. HMC collected the funds from the project donor upon receipt of the City of Seattle construction permit. In the interim, HMC provided funds for the services prior to construction.

Budget Summary:	Current Approved Budget:	Forecast Cost At Completion:
Total Consultant Svcs.	\$ 179,283	\$ 151,993
Total Construction Cost*	\$ 713,863	\$ 307,258
Equipment/Furniture	\$ 3,068	\$ 57,822
Other Costs	\$ 10,118	\$ 13,690
Project Administration	\$ 93,668	\$ 64,237
Total Project Budget	\$ 1,000,000	\$ 595,000

*Includes construction contract amount, contingencies and state sales tax.

2. Engineering Library & Loew Hall Fire Safety, Project No. 202750 Action Reported: Award Construction Contract and Budget Adjustment

On March 13, 2009, a construction contract was awarded to Regency NW Construction, Inc., in the amount of \$654,466 for the Engineering Library & Loew Hall Fire Safety project. Six bids were received for this project: the highest bid was \$879,000. The budgeted construction cost was \$1,126,000.

Regency NW is a Bellevue, WA, company that has been in business since 1995. This contractor specializes in general construction and public works tenant improvements. Regency NW has worked on numerous buildings at the Seattle campus of the University

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority (continued p. 3)

of Washington and at Harborview Medical Center. Recent projects that Regency NW has completed include UWMC NW220 Autozone, Phase 2 Chemistry Lab, HMC GEH Biplane Installation, and HSC 6th Floor Renovation, Aquatics and Veterinary Services Relocation. Other current projects include HMC 1WH Single Planes Installation, Suzzallo Caulking Improvements Phase 2, and HSC I-Wing Surgery Corridor Alterations.

This project replaces fire alarm systems throughout the Engineering Library and Loew Hall. Fire protection sprinklers will be added throughout the Engineering Library, and on the ground floor of Loew Hall. Each building will have separate systems.

The project budget for the Engineering Library and Loew Hall Fire Safety project was revised to \$1,065,000, a decrease of \$625,000 from the original budget of \$1,690,000. This revision reflects receipt of favorable bids on April 9, 2009. While the scope of work did not change, the low bid was significantly under the original budget.

All funding is from Capital Safety through Environmental Health & Safety. The unneeded funding has been returned to Capital Safety.

Budget Summary:	Current Approved Budget:	Forecast Cost At Completion:
Total Consultant Svcs.	\$ 122,759	\$ 111,972
Total Construction Cost*	\$ 1,397,000	\$ 824,388
Other Costs	\$ 44,886	\$ 43,640
Project Administration	\$ 125,355	\$ 85,000
Total Project Budget	\$ 1,690,000	\$ 1,065,000

*Includes construction contract amount, contingencies and state sales tax.

3. Institute for Learning and Brain Sciences Equipment Installation, Project No. 202358 Action Reported: Select Architect/Adopt Budget

On May 12, 2009, an architectural agreement was awarded to Clark Kjos Architects for design services for this project. The agreement amount for basic services is \$73,966 versus a budget value of \$254,884 for design consultants. The balance of the design budget is intended for hazardous materials consultant, commissioning, testing, and associated feasibility work.

Clark Kjos Architects completed a predesign for the Institute for Learning and Brain Sciences Equipment Installation project in August, 2008. At the time, the project was expected to cost less than \$1,000,000. The selection of Clark Kjos was made by selecting

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority (continued p. 4)

and interviewing three firms from the roster. The three firms interviewed were: BCRA Inc., Clark/KJOS Architects and Decker Architects LLC.

Upon completion of the predesign in April 2009, and upon final decision of the project scope, the project value was determined to be \$1,200,000.

Clark Kjos' experience includes over 500 healthcare projects in the past 17 years. They specialize in phased renovation of operational medical facilities. Clark Kjos has a staff of 45 and offices in both Portland and Seattle. The firm has completed projects for the Harborview Medical Center including the Single Planes Radiation Equipment project. Their medical experience ranges from the VA Medical Center, Northwest Kidney Centers, St. Joseph Hospital, Seattle Children's Hospital and Swedish Medical Center.

The Institute for Learning and Brain Sciences (I-LABS), a unit of College of Arts and Science, is remodeling a portion of its space located on the second floor of the Old Fisheries Building to house new MEG brain imaging equipment. When completed, this will be the first brain imaging facility dedicated to the study of child brain development in the nation. Design is expected to be completed by July, 2009, with construction beginning in August and lasting through January, 2010.

The project budget is established at \$1,200,000, with the forecast reflecting a savings of \$25,440. Funding of \$1,174,560 is provided from the College of Arts and Sciences, central funding, donor, and the state Life Sciences Defense Fund.

Budget Summary:	Current Approved Budget:	Forecast Cost At Completion:
Total Consultant Services	\$254,884	\$237,744
Total Construction Cost*	\$774,653	\$765,176
Other Costs	\$66,454	\$67,631
Project Administration	\$104,009	\$104,009
Total Project Budget	\$1,200,000	\$1,174,560

* Includes construction contract amount, contingencies and state sales tax.

4. University of Washington Medical Center Satellite Radiology Department,
Project No. 202783
Action Reported: Select Architect/Adopt Budget

On February 25, 2009, an architectural agreement was awarded to BCRA Inc., for the University of Washington Medical Center (UWMC) Satellite Radiology project. In August 2008, the Capital Projects Office selected three firms from the roster based on qualifications. Three firms were interviewed on October 22, 2008: BCRA Inc., Buffalo

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority (continued p. 5)

Architects and Rolluda Architects. The agreement amount is \$111,286 for basic services versus a budget value of \$239,258 for design consultants. The balance of the design budget is intended for Hazardous Materials consultation, commissioning, testing, and a previously completed predesign.

Located in Tacoma, WA, BCRA Inc. has 40 years of diverse design and planning expertise. BCRA has been providing design services for Medical Diagnostic Imaging Centers and Ambulatory Surgical Centers, as well as inpatient services in full hospital settings. Their experience at the University of Washington includes UWMC Roosevelt I/II Tenant Improvements, as well as miscellaneous Tacoma projects.

The University of Washington Medical Center is expanding the Radiology Services at a location convenient to the Emergency Department. The goal is to create new procedure rooms including: Radiology Room, Ultra Sound and general X-ray Rooms, and a new CT Room. The project will also include ancillary spaces such as Dressing Room, Control Room, Staff Workroom, and Toilet Room. The overall gross area is approximately 2,856 square feet (SF) located at the NE240 SCOR Suite. Design is expected to be completed by June 2009, with construction beginning in August and lasting through January 2010.

The project budget is established at \$1,552,700. Funding of \$1,552,700 is provided from the University of Washington Medical Center.

Budget Summary:	Current Approved Budget:	Forecast Cost At Completion:
Total Consultant Services	\$239,258	\$239,258
Total Construction Cost*	\$1,191,885	\$1,191,885
Other Costs	\$18,397	\$18,397
Project Administration	\$103,160	\$103,160
Total Project Budget	\$1,552,700	\$1,552,700

* Includes construction contract amount, contingencies and state sales tax.

B. Finance, Audit and Facilities Committee

UW

Report of
Contributions

University of Washington
University of Washington Foundation

April, 2009



UNIVERSITY OF WASHINGTON

All Areas

REPORT OF CONTRIBUTIONS

April 2009

REPORT BY: UNIVERSITY ADVANCEMENT, ADVANCEMENT SERVICES, INFORMATION MANAGEMENT

ANNUAL PROGRESS BY CONSTITUENCY

School	Current Month				Year to Date			
	Gifts	Grants	Total	Donors ¹	Gifts	Grants	Total	Donors
UW Medicine	\$2,055,966	\$8,298,419	\$10,354,385	3,022	\$27,676,348	\$83,601,156	\$111,277,504	14,892
Arts and Sciences	\$1,106,661	\$385,267	\$1,491,928	1,600	\$14,822,952	\$5,943,405	\$20,766,356	13,266
Broadcast Services	\$111,464	\$0	\$111,464	338	\$2,782,874	\$0	\$2,782,874	1,519
Built Environments	\$77,055	\$65,500	\$142,555	183	\$1,064,888	\$993,000	\$2,057,888	1,228
Business School	\$446,471	\$0	\$446,471	336	\$22,584,430	\$0	\$22,584,430	3,774
Dentistry	\$105,890	\$0	\$105,890	228	\$1,530,504	\$748,460	\$2,278,964	1,304
Education	\$163,206	\$10,000	\$173,206	200	\$2,183,786	\$1,002,694	\$3,186,480	1,396
Engineering	\$560,254	\$632,971	\$1,193,225	399	\$9,834,561	\$5,887,836	\$15,722,397	3,869
Environment	\$0	\$0	\$0	0	\$4,671,093	\$0	\$4,671,093	27
Evans School of Public Affairs	\$2,518	\$60,000	\$62,518	45	\$564,226	\$1,922,267	\$2,486,493	398
Forest Resources	\$150,232	\$55,092	\$205,324	167	\$760,137	\$1,494,681	\$2,254,818	971
Friday Harbor Labs	\$19,736	\$0	\$19,736	44	\$1,145,258	\$0	\$1,145,258	351
Information School	\$56,890	\$350,000	\$406,890	36	\$742,635	\$862,000	\$1,604,635	554
Intercollegiate Athletics	\$701,999	\$0	\$701,999	1,553	\$11,147,149	\$0	\$11,147,149	12,149
Minority Affairs	\$11,363	\$0	\$11,363	189	\$147,515	\$200,000	\$347,515	383
Law	\$57,298	\$0	\$57,298	120	\$2,283,029	\$0	\$2,283,029	1,663
Libraries	\$59,237	\$0	\$59,237	870	\$899,619	\$0	\$899,619	4,364
Nursing	\$42,458	\$310,651	\$353,109	117	\$2,034,252	\$1,082,211	\$3,116,463	1,487
Ocean and Fishery Sciences	\$13,623	\$79,988	\$93,611	76	\$1,201,490	\$8,123,552	\$9,325,042	673
Pharmacy	\$246,120	\$0	\$246,120	81	\$1,084,840	\$598,870	\$1,683,710	905
President's Funds	\$26,559	\$0	\$26,559	141	\$2,888,516	\$0	\$2,888,516	1,538
Public Health	\$11,996	\$2,047,888	\$2,059,884	123	\$730,329	\$13,040,324	\$13,770,653	707
Social Work	\$7,125	\$0	\$7,125	27	\$1,131,867	\$50,100	\$1,181,967	642
Student Affairs	\$1,378,980	\$0	\$1,378,980	282	\$2,573,303	\$0	\$2,573,303	2,544
Undergraduate Academic Affairs	\$10,500	\$0	\$10,500	65	\$395,092	\$20,000	\$415,092	318
University Press	\$30,290	\$0	\$30,290	14	\$183,304	\$0	\$183,304	155
UW Alumni Association	\$118,563	\$0	\$118,563	2,508	\$754,510	\$0	\$754,510	15,033
UW Bothell	\$10,255	\$254,590	\$264,845	109	\$256,184	\$1,829,590	\$2,085,774	506
UW Tacoma	\$63,511	\$0	\$63,511	134	\$1,819,248	\$23,965	\$1,843,213	645
Other University Support	\$1,134,931	\$0	\$1,134,931	143	\$4,765,304	\$739,791	\$5,505,095	1,923
Total	\$8,781,152	\$12,550,366	\$21,331,518	12,453	\$124,659,244	\$128,163,902	\$252,823,146	76,171

MONTHLY HIGHLIGHTS

The UW received \$21.33M in total private voluntary support (\$8.78M in gifts and \$12.55M in grants) in the current month.

Areas including UW Medicine, Built Environments, Business School, Education, Environment, Forest Resources, Friday Harbor Labs, Minority Affairs, Law, Nursing, Public Health, Student Affairs, Undergraduate Academic Affairs and UW Bothell are ahead of last year's year-to-date totals.

¹ Donors are defined as those entities who have a credit amount of greater than \$0.00.

The donor total at the bottom of the chart is not a cumulative total of the rows above. The donor total is the number of unique donors who have been credited with a gift to the UW during the given time period.

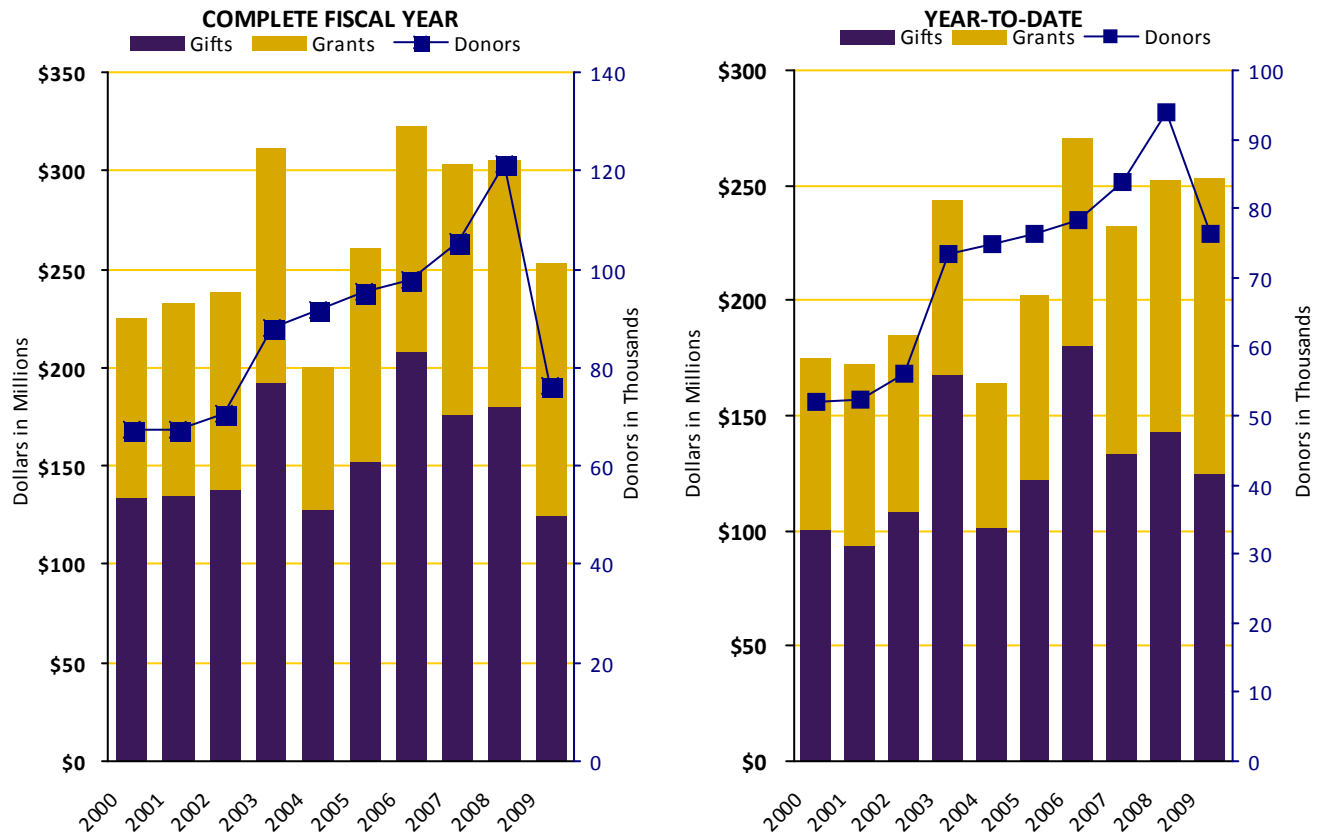
Source: University Advancement, Information Management Report # devrpts_s09053

DEVELOPMENT SUMMARY BY CONSTITUENCY

School	Current Month		Year to Date		Prior Year to Date		Prior Year Total	
	Total	Donors	Total	Donors	Total	Donors	Total	Donors
UW Medicine	\$10,354,385	3,022	\$111,277,504	14,892	\$111,231,300	17,033	\$128,084,404	18,602
Arts and Sciences	\$1,491,928	1,600	\$20,766,356	13,266	\$28,356,832	13,184	\$36,159,265	15,040
Broadcast Services	\$111,464	338	\$2,782,874	1,519	\$7,591,160	14,239	\$12,124,630	21,710
Built Environments	\$142,555	183	\$2,057,888	1,228	\$1,611,610	1,176	\$2,552,681	1,467
Business School	\$446,471	336	\$22,584,430	3,774	\$11,292,111	4,152	\$13,215,754	4,667
Dentistry	\$105,890	228	\$2,278,964	1,304	\$3,211,726	1,197	\$3,479,430	1,340
Education	\$173,206	200	\$3,186,480	1,396	\$2,533,000	1,409	\$2,778,307	1,637
Engineering	\$1,193,225	399	\$15,722,397	3,869	\$17,733,669	4,132	\$22,826,602	4,653
Environment	\$0	0	\$4,671,093	27	\$0	0	\$0	0
Evans School of Public Affairs	\$62,518	45	\$2,486,493	398	\$2,984,676	373	\$3,350,952	493
Forest Resources	\$205,324	167	\$2,254,818	971	\$1,928,443	1,007	\$2,552,419	1,151
Friday Harbor Labs	\$19,736	44	\$1,145,258	351	\$519,134	421	\$553,591	454
Information School	\$406,890	36	\$1,604,635	554	\$3,162,060	567	\$3,893,390	639
Intercollegiate Athletics	\$701,999	1,553	\$11,147,149	12,149	\$15,226,049	13,151	\$20,268,275	25,073
Minority Affairs	\$11,363	189	\$347,515	383	\$0	0	\$0	0
Law	\$57,298	120	\$2,283,029	1,663	\$2,121,029	1,847	\$2,865,343	2,166
Libraries	\$59,237	870	\$899,619	4,364	\$1,413,862	4,365	\$1,599,016	4,714
Nursing	\$353,109	117	\$3,116,463	1,487	\$2,817,948	1,642	\$3,155,721	1,775
Ocean and Fishery Sciences	\$93,611	76	\$9,325,042	673	\$10,024,612	752	\$12,310,301	829
Pharmacy	\$246,120	81	\$1,683,710	905	\$2,839,876	1,036	\$3,038,164	1,127
President's Funds	\$26,559	141	\$2,888,516	1,538	\$596,004	1,933	\$961,234	2,240
Public Health	\$2,059,884	123	\$13,770,653	707	\$9,304,731	672	\$9,774,188	732
Scholarships and Student Programs	\$0	0	\$0	0	\$6,343,115	5,473	\$7,075,190	6,407
Social Work	\$7,125	27	\$1,181,967	642	\$1,405,932	821	\$1,633,711	895
Student Affairs	\$1,378,980	282	\$2,573,303	2,544	\$0	0	\$0	0
Undergraduate Academic Affairs	\$10,500	65	\$415,092	318	\$0	0	\$0	0
University Press	\$30,290	14	\$183,304	155	\$347,379	279	\$414,094	293
UW Alumni Association	\$118,563	2,508	\$754,510	15,033	\$833,349	15,988	\$1,146,912	21,851
UW Bothell	\$264,845	109	\$2,085,774	506	\$128,880	440	\$302,577	795
UW Tacoma	\$63,511	134	\$1,843,213	645	\$2,513,793	638	\$4,420,119	967
Other University Support	\$1,134,931	143	\$5,505,095	1,923	\$4,164,076	1,833	\$4,423,387	2,518
Total ¹	\$21,331,518	12,453	\$252,823,146	76,171	\$252,236,353	93,875	\$304,959,657	121,447

¹ The donor total at the bottom of the chart is not a cumulative total of the rows above. The donor total is the number of unique donors who have been credited with a gift to the UW during the given time period.

FISCAL YEAR COMPARISON OF TOTAL CONTRIBUTIONS



Fiscal Year	Complete Fiscal Year				Year to Date			
	Gifts	Grants	Total	Donors	Gifts	Grants	Total	Donors
2008-2009	\$124,659,244	\$128,163,902	\$252,823,146	76,171	\$124,659,244	\$128,163,902	\$252,823,146	76,171
2007-2008	\$180,735,444	\$124,224,214	\$304,959,657	121,447	\$142,940,995	\$109,295,358	\$252,236,353	93,875
2006-2007	\$176,490,215	\$126,399,369	\$302,889,584	105,353	\$133,126,824	\$99,466,674	\$232,593,498	83,674
2005-2006	\$207,744,231	\$115,261,186	\$323,005,417	97,876	\$180,494,199	\$90,387,665	\$270,881,863	78,460
2004-2005	\$151,969,925	\$108,802,371	\$260,772,296	95,227	\$122,122,874	\$80,143,890	\$202,266,764	76,167
2003-2004	\$128,174,367	\$71,603,323	\$199,777,690	91,903	\$101,365,172	\$62,684,981	\$164,050,153	74,985
2002-2003	\$192,573,183	\$118,677,722	\$311,250,905	88,259	\$168,025,960	\$75,232,159	\$243,258,119	73,546
2001-2002	\$137,959,340	\$100,820,547	\$238,779,887	70,560	\$108,064,405	\$76,888,322	\$184,952,727	55,977
2000-2001	\$134,797,642	\$97,112,979	\$231,910,621	67,307	\$94,000,176	\$78,829,602	\$172,829,778	52,440
1999-2000	\$134,037,997	\$91,536,165	\$225,574,162	67,351	\$100,697,725	\$74,876,551	\$175,574,276	52,082

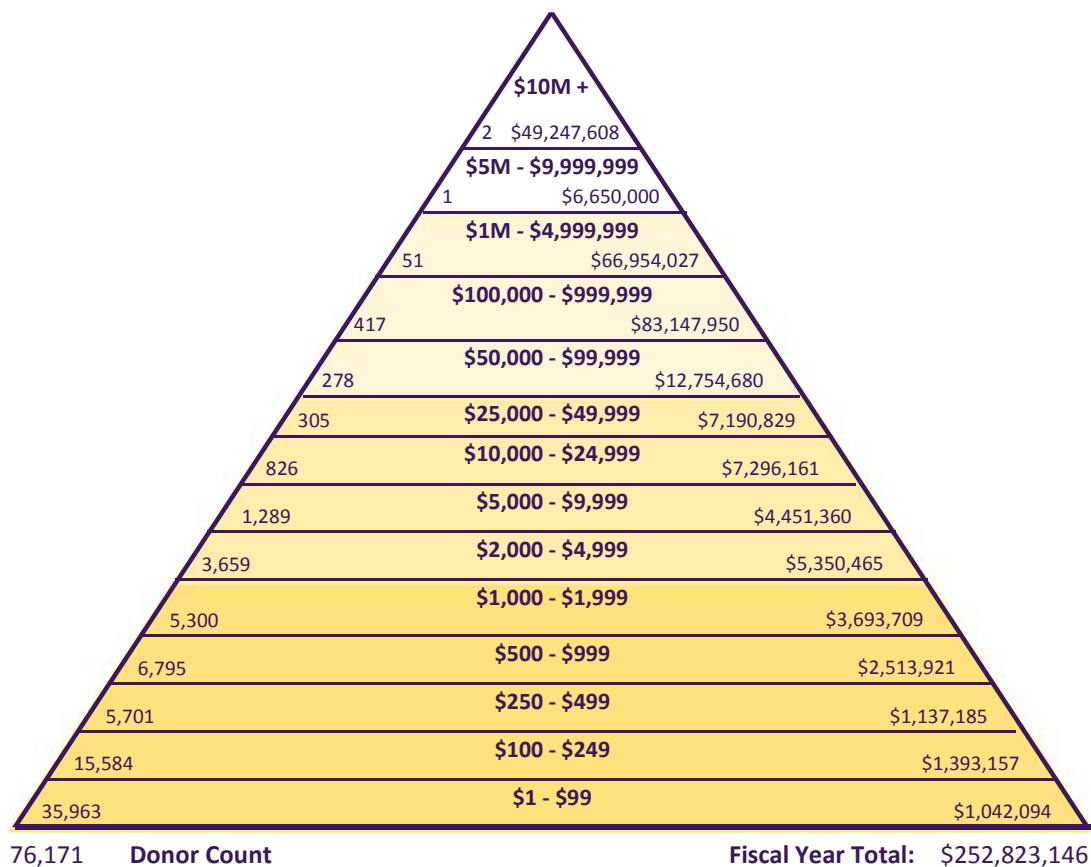
ANNUAL FUNDING THEME PROGRESS

Theme	Year to Date		
	Current Use	Endowment	Total
Student Support	\$7,433,012	\$15,171,802	\$22,604,814
Faculty Support	\$4,638,406	\$11,974,233	\$16,612,639
Program Support for Faculty and Students	\$155,191,961	\$15,499,630	\$170,691,590
Capital	\$17,616,126	\$1,460	\$17,617,586
Excellence Funds	\$22,746,629	\$2,549,888	\$25,296,517
Total	\$207,626,134	\$45,197,012	\$252,823,146

DEVELOPMENT ACTIVITY BY DONOR TYPE

Donor Type	Year to Date		Prior Year to Date		Prior Fiscal Year	
	Donors	Total	Donors	Total	Donors	Total
Alumni	39,986	\$31,647,148	44,661	\$47,875,600	55,089	\$57,128,097
Corporations	2,354	\$46,933,969	2,570	\$49,968,217	3,255	\$61,590,177
Family Foundations	153	\$12,108,643	157	\$10,735,636	172	\$13,349,493
Foundations	370	\$71,230,171	380	\$67,735,047	431	\$75,371,489
Non-Alumni	32,781	\$37,226,070	45,741	\$28,307,967	61,914	\$40,209,765
Organizations	527	\$53,677,147	492	\$47,613,886	586	\$57,285,487
Total	76,171	\$252,823,146	94,001	\$252,236,353	121,447	\$304,934,507

DEVELOPMENT ACTIVITY PYRAMID



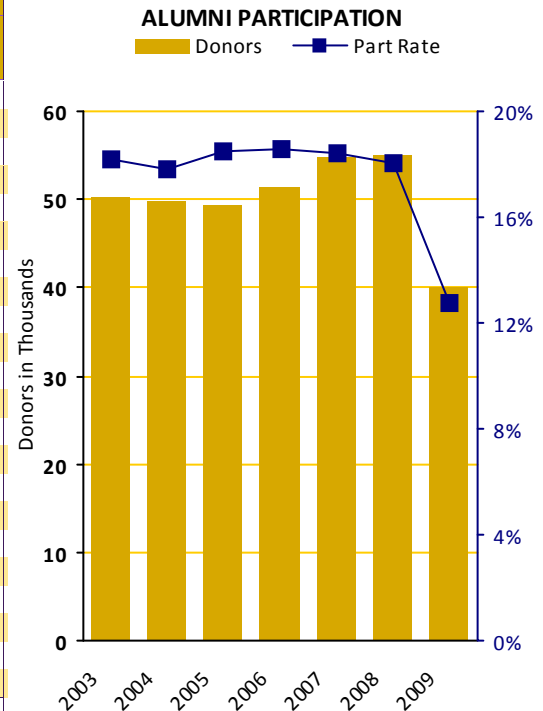
ANNUAL PROGRESS BY GIVING LEVEL

Giving Level	Alumni	Non Alumni	Family Fndns.	Corporations	Foundations	Other Orgs.	Total
\$10M +	\$0	\$0	\$0	\$0	\$34,094,143	\$15,153,465	\$49,247,608
\$5M - \$9,999,999	\$0	\$6,650,000	\$0	\$0	\$0	\$0	\$6,650,000
\$1M - \$4,999,999	\$7,444,560	\$10,473,416	\$6,438,543	\$11,427,470	\$14,641,812	\$16,528,225	\$66,954,027
\$100,000 - \$999,999	\$10,009,695	\$9,782,458	\$4,151,050	\$23,704,151	\$17,728,508	\$17,772,087	\$83,147,950
\$50,000 - \$99,999	\$1,428,485	\$1,347,090	\$424,461	\$4,912,438	\$2,745,271	\$1,896,936	\$12,754,680
\$25,000 - \$49,999	\$1,089,621	\$1,193,118	\$557,475	\$2,295,073	\$965,218	\$1,090,324	\$7,190,829
\$10,000 - \$24,999	\$1,920,512	\$1,392,962	\$348,926	\$2,182,421	\$693,450	\$757,889	\$7,296,161
\$5,000 - \$9,999	\$1,733,128	\$1,122,654	\$101,304	\$1,078,907	\$191,074	\$224,292	\$4,451,360
\$2,000 - \$4,999	\$2,624,307	\$1,704,892	\$56,851	\$704,934	\$103,227	\$156,254	\$5,350,465
\$1,000 - \$1,999	\$1,824,292	\$1,384,497	\$26,932	\$351,901	\$47,003	\$59,084	\$3,693,709
\$500 - \$999	\$1,384,297	\$922,532	\$2,550	\$173,197	\$10,111	\$21,233	\$2,513,921
\$250 - \$499	\$661,450	\$412,838	\$250	\$49,145	\$4,642	\$8,860	\$1,137,185
\$100 - \$249	\$846,870	\$492,426	\$300	\$41,504	\$5,040	\$7,017	\$1,393,157
\$1 - \$99	\$679,929	\$347,186	\$0	\$12,828	\$672	\$1,480	\$1,042,094
Total	\$31,647,148	\$37,226,070	\$12,108,643	\$46,933,969	\$71,230,171	\$53,677,147	\$252,823,146

Giving Level	Alumni	Non Alumni	Family Fndns.	Corporations	Foundations	Other Orgs.	Total
\$10M +	0	0	0	0	1	1	2
\$5M - \$9,999,999	0	1	0	0	0	0	1
\$1M - \$4,999,999	10	13	4	8	7	9	51
\$100,000 - \$999,999	74	103	25	101	57	57	417
\$50,000 - \$99,999	55	73	8	72	40	30	278
\$25,000 - \$49,999	61	93	18	71	31	31	305
\$10,000 - \$24,999	244	307	25	153	48	49	826
\$5,000 - \$9,999	514	500	21	187	29	38	1,289
\$2,000 - \$4,999	1,657	1,604	20	284	36	58	3,659
\$1,000 - \$1,999	2,383	2,502	24	306	37	48	5,300
\$500 - \$999	3,319	3,111	4	306	18	37	6,795
\$250 - \$499	2,888	2,593	1	175	15	29	5,701
\$100 - \$249	8,233	6,918	3	337	33	60	15,584
\$1 - \$99	20,548	14,963	0	354	18	80	35,963
Total	39,986	32,781	153	2,354	370	527	76,171

ALUMNI PARTICIPATION BY CONSTITUENCY (CURRENT FISCAL YEAR)

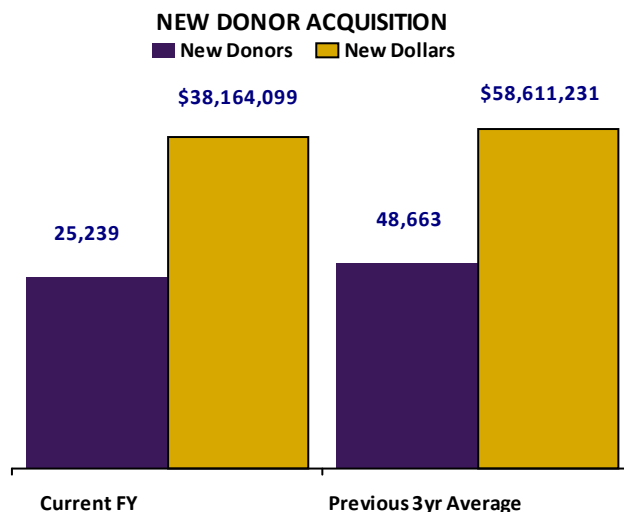
Area	Solicitable	To UW		To Unit	
		Year to Date		Year to Date	
		Donors	Part Rate	Donors	Part Rate
UW Medicine	19,109	2,978	15.58%	2,083	10.90%
Arts and Sciences	145,069	16,585	11.43%	6,150	4.24%
Business School	37,913	5,841	15.41%	2,101	5.54%
Built Environments	8,096	1,141	14.09%	564	6.97%
Dentistry	4,501	1,023	22.73%	615	13.66%
Education	18,944	2,812	14.84%	637	3.36%
Engineering	32,856	4,022	12.24%	2,123	6.46%
Evans School of Public Affairs	2,394	422	17.63%	190	7.94%
Forest Resources	4,601	582	12.65%	326	7.09%
Interdisc. Grad. Programs	1,719	208	12.10%		
Interdisc. Undergrad. Programs	247	18	7.29%		
Interschool Programs	493	56	11.36%		
Information School	4,516	755	16.72%	360	7.97%
Law	7,755	1,485	19.15%	915	11.80%
School of Nursing	8,661	1,523	17.58%	902	10.41%
Ocean & Fisheries	4,038	564	13.97%	333	8.25%
Pharmacy	3,529	762	21.59%	582	16.49%
Public Health	4,465	679	15.21%	285	6.38%
Social Work	6,428	811	12.62%	406	6.32%
UW Bothell	6,635	623	9.39%	299	4.51%
UW Tacoma	7,685	581	7.56%	341	4.44%
Unspecified	11,813	1,711	14.48%		
ALL UW TOTAL	314,219	39,986	12.73%		



ALUMNI PARTICIPATION BY CONSTITUENCY (PREVIOUS FISCAL YEAR)

Area	Solicitable	To UW				To Unit			
		Year to Date		FY Total		Year to Date		FY Total	
		Donors	Part Rate	Donors	Part Rate	Donors	Part Rate	Donors	PFFY Final
UW Medicine	18,010	3,159	17.54%	3,822	21.22%	2,033	11.29%	2,243	12.45%
Arts and Sciences	141,595	17,777	12.55%	23,047	16.28%	5,425	3.83%	5,948	4.20%
Business School	37,430	6,393	17.08%	8,231	21.99%	2,282	6.10%	2,559	6.84%
Built Environments	7,965	1,204	15.12%	1,563	19.62%	533	6.69%	617	7.75%
Dentistry	4,579	1,036	22.63%	1,215	26.53%	563	12.30%	627	13.69%
Education	18,902	3,128	16.55%	3,870	20.47%	540	2.86%	589	3.12%
Engineering	32,393	4,444	13.72%	5,569	17.19%	2,075	6.41%	2,310	7.13%
Evans School of Public Affairs	2,329	467	20.05%	619	26.58%	168	7.21%	190	8.16%
Forest Resources	4,603	637	13.84%	801	17.40%	297	6.45%	324	7.04%
Interdisc. Grad. Programs	1,622	226	13.93%	293	18.06%				
Interdisc. Undergrad. Programs	225	7	3.11%	22	9.78%				
Interschool Programs	453	40	8.83%	70	15.45%				
Information School	4,370	822	18.81%	1,004	22.97%	366	8.38%	405	9.27%
Law	7,780	1,617	20.78%	1,942	24.96%	986	12.67%	1,086	13.96%
School of Nursing	8,598	1,663	19.34%	1,980	23.03%	944	10.98%	1,018	11.84%
Ocean & Fisheries	3,911	604	15.44%	788	20.15%	307	7.85%	331	8.46%
Pharmacy	3,426	830	24.23%	997	29.10%	594	17.34%	648	18.91%
Public Health	4,318	652	15.10%	793	18.36%	255	5.91%	276	6.39%
Social Work	6,404	895	13.98%	1,105	17.25%	454	7.09%	496	7.75%
UW Bothell	6,084	574	9.43%	873	14.35%	188	3.09%	224	3.68%
UW Tacoma	7,003	554	7.91%	927	13.24%	230	3.28%	313	4.47%
Unspecified	10,866	1,922	17.69%	2,433	22.39%				
ALL UW TOTAL	305,971	43,071	14.08%	55,089	18.00%				

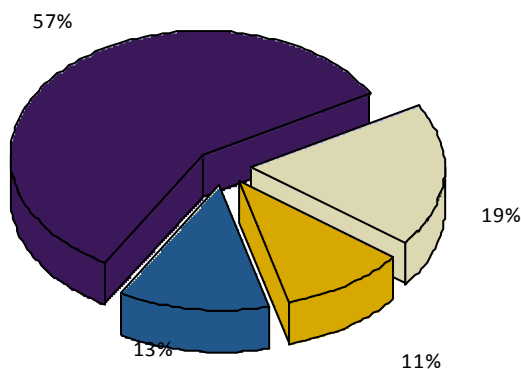
KEY PERFORMANCE INDICATORS



	New Donors	New Dollars
Current FY	25,239	\$38,164,099
Previous 3yr Average	48,663	\$58,611,231

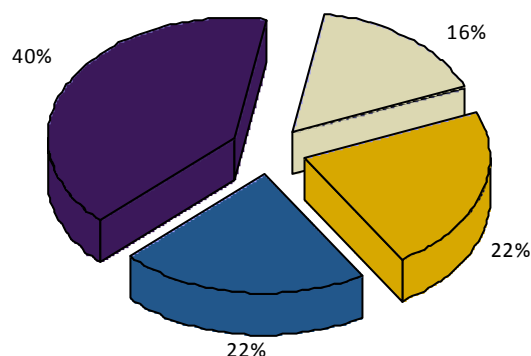
DONOR RETENTION (CURRENT FY)

■ Returning Donor - Decreased Giving
 ■ Returning Donor - Increased Giving
 ■ Returning Donor - Same Giving
 ■ Yet to Return as Donor



DONOR RETENTION (PREVIOUS 3YR AVG)

■ Returning Donor - Decreased Giving
 ■ Returning Donor - Increased Giving
 ■ Returning Donor - Same Giving
 ■ Yet to Return as Donor



	Donors	Dollars
Returning Donor - Decreased Giving	22,501	\$65,664,902
Returning Donor - Increased Giving	12,981	\$142,360,105
Returning Donor - Same Giving	15,450	\$6,634,040
Yet to Return as Donor	70,507	

	Donors	Dollars
Returning Donor - Decreased Giving	16,124	\$65,278,811
Returning Donor - Increased Giving	22,023	\$178,192,234
Returning Donor - Same Giving	21,414	\$8,169,227
Yet to Return as Donor	39,919	

Donor counts may vary slightly due to donor crediting preferences.

Three-year averages are based on fiscal year totals and do not reflect year-to-date status.

Source: University Advancement, Information Management Report # devrpts_s09053

REPORT OF CONTRIBUTIONS, UNIVERSITY OF WASHINGTON April 2009

All Areas

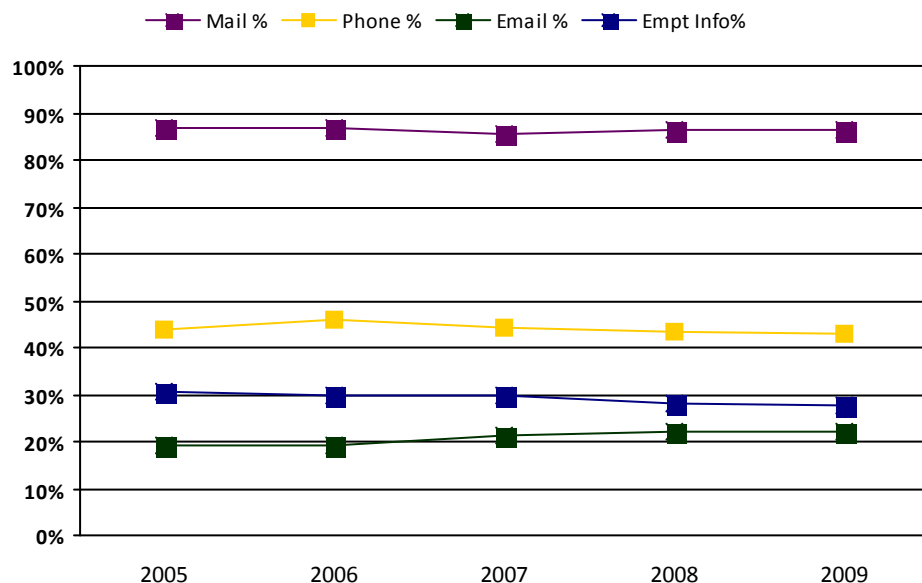
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6/11/09

STATE OF THE DATABASE

School	Alumni	Friends	Total	Mailable	Mail %	Phonable	Phone %	Emailable	Email %	Emp Info	Emp %
UW Medicine	22,616	37,099	59,715	55,331	92.66%	32,524	54.47%	12,621	21.14%	17,917	30.00%
Arts and Sciences	178,033	18,597	196,630	165,892	84.37%	76,274	38.79%	43,130	21.93%	52,834	26.87%
Broadcast Services	0	38,006	38,006	37,432	98.49%	13,981	36.79%	4,702	12.37%	6,820	17.94%
Built Environments	9,463	1,712	11,175	9,946	89.00%	5,252	47.00%	2,608	23.34%	4,314	38.60%
Business School	46,276	3,896	50,172	42,426	84.56%	21,622	43.10%	13,955	27.81%	21,371	42.60%
Dentistry	5,058	1,569	6,627	6,161	92.97%	3,969	59.89%	1,747	26.36%	2,018	30.45%
Education	25,372	2,393	27,765	21,392	77.05%	11,437	41.19%	3,876	13.96%	8,027	28.91%
Engineering	40,879	4,032	44,911	37,194	82.82%	18,705	41.65%	10,014	22.30%	17,036	37.93%
Environment	0	35	35	35	100.00%	27	77.14%	20	57.14%	16	45.71%
Evans School of Public Affairs	2,706	585	3,291	3,080	93.59%	1,839	55.88%	1,469	44.64%	1,811	55.03%
Forest Resources	5,643	1,699	7,342	6,297	85.77%	3,569	48.61%	1,606	21.87%	2,713	36.95%
Graduate School	1,965	1,249	3,214	3,050	94.90%	2,038	63.41%	1,436	44.68%	1,522	47.36%
Information School	5,708	399	6,107	5,075	83.10%	3,193	52.28%	1,628	26.66%	2,489	40.76%
Intercollegiate Athletics	0	8,827	8,827	8,686	98.40%	6,878	77.92%	3,775	42.77%	3,603	40.82%
Minority Affairs	0	928	928	914	98.49%	710	76.51%	456	49.14%	515	55.50%
Law	8,777	1,695	10,472	9,620	91.86%	5,160	49.27%	4,120	39.34%	4,721	45.08%
Libraries	0	11,932	11,932	11,788	98.79%	10,108	84.71%	2,130	17.85%	2,187	18.33%
Nursing	10,766	1,443	12,209	10,141	83.06%	5,716	46.82%	2,585	21.17%	4,770	39.07%
Ocean and Fishery Sciences	4,920	814	5,734	4,883	85.16%	2,552	44.51%	1,285	22.41%	2,271	39.61%
Pharmacy	4,168	802	4,970	4,323	86.98%	2,498	50.26%	1,302	26.20%	2,245	45.17%
President's Funds	0	4,099	4,099	4,032	98.37%	3,237	78.97%	1,381	33.69%	2,211	53.94%
Public Health	5,117	848	5,965	5,462	91.57%	3,049	51.11%	2,340	39.23%	2,797	46.89%
Social Work	7,781	837	8,618	7,385	85.69%	3,530	40.96%	1,655	19.20%	2,951	34.24%
Student Affairs	0	9,512	9,512	9,381	98.62%	7,391	77.70%	3,389	35.63%	4,320	45.42%
Undergraduate Academic Affairs	0	1,038	1,038	1,015	97.78%	777	74.86%	446	42.97%	421	40.56%
University Press	0	813	813	780	95.94%	556	68.39%	256	31.49%	297	36.53%
UW Alumni Association	0	41,418	41,418	40,678	98.21%	26,686	64.43%	17,880	43.17%	16,940	40.90%
UW Bothell	7,479	895	8,374	7,879	94.09%	4,039	48.23%	2,707	32.33%	1,474	17.60%
UW Tacoma	8,571	924	9,495	8,924	93.99%	4,587	48.31%	2,621	27.60%	1,385	14.59%
Other University Support	858	7,647	8,505	8,190	96.30%	6,162	72.45%	3,610	42.45%	2,095	24.63%
Unspecified School	16,767	0	16,767	11,765	70.17%	6,543	39.02%	1,885	11.24%	3,746	22.34%
No Degree\Gift Affiliation	0	262,399	262,399	238,903	91.05%	149,094	56.82%	30,153	11.49%	47,225	18.00%
Total	387,331	373,363	760,694	667,492	87.75%	361,384	47.51%	130,591	17.17%	185,296	24.36%

Database Trends



VII. STANDING COMMITTEE

B. Finance, Audit and Facilities Committee

Investment Performance Report, Third Quarter Fiscal Year 2009

For information only.

Attachment: University of Washington, Investment Performance Report, Third
Quarter Fiscal Year 2009

UNIVERSITY OF WASHINGTON

INVESTMENT PERFORMANCE REPORT

THIRD QUARTER FISCAL YEAR 2009

As of March 31, 2009



TREASURER BOARD OF REGENTS
TREASURY OFFICE

Published May 2009

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Summary of Actions Taken Under Board Delegated Authorities: January 1, 2009 through April 30, 2009	6

TREASURY ASSETS¹

As of 3/31/09—2.8 Billion

Dollars in Millions



Endowment & Similar Funds

Endowment Funds	\$1,212
Operating Funds	<u>296</u>
Consolidated Endowment Fund	1,515
Life Income Trusts	57
Outside Trusts	40
Non-CEF Endowments	16
Permanent Fund ⁶	<u>29</u>
	<u>\$1,657</u>

Operating & Reserve Funds

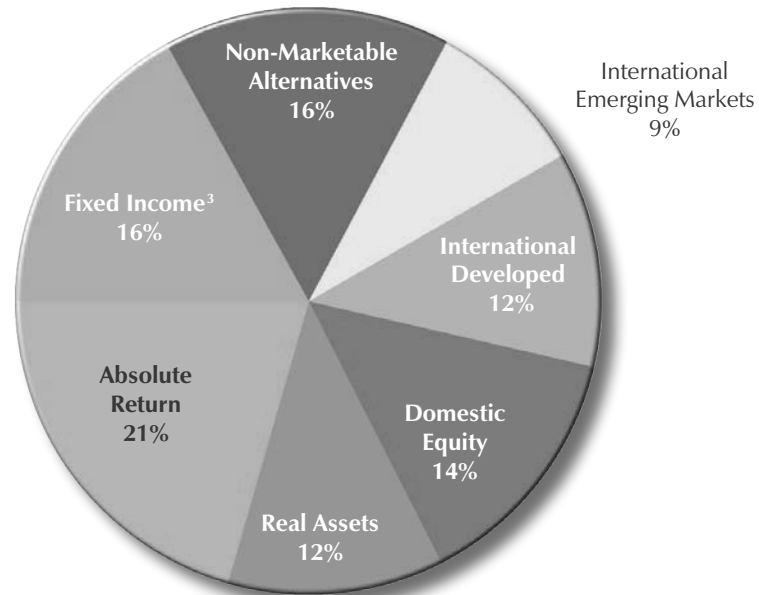
Invested Funds ^{2 3}	\$999
Bond Retirement Fund ⁴	14
Building Fund ⁴	27
Debt Service Reserve Funds ⁵	12
Bond Proceeds ⁷	<u>41</u>
	<u>\$1,093</u>

1. Includes assets whose management falls under the auspices of the Finance & Audit Committee of the Board of Regents. Excludes Metro Tract and Forest Trust Lands. All dollar amounts are expressed in millions and represent market values.
2. The Invested Funds holds Consolidated Endowment Fund units valued at \$300. To avoid double counting the dollars are included only in the CEF totals.
3. In June 2002 the Board of Regents authorized the establishment of a captive insurance company Portage Bay Insurance (PBI). The previous insurance vehicle the Self Insurance Revolving Fund (SIRF) will close after existing claims are resolved. Current balances: PBI \$70.4; SIRF \$2.8.
4. General obligation bond reserve fund on deposit with the state of Washington.
5. Required reserve funds for locally issued bonds (TSB Properties 1.2 Twenty Fifth Ave Prop \$2.4 2004 Parking \$1.4 2002 Housing & Dining \$1.5 2004 Housing & Dining \$0.6 Roosevelt 1 \$2.4 and Roosevelt 2 \$2.0 & Commodore Duchess \$0.6)
6. Proceeds from sale of land grants and subsequent investment returns on deposit with the state of Washington.
7. Construction project funds which have not yet been disbursed (IMA \$7.0 200 R&T \$11.7 2004 Parking \$5.7 Internal Lending Program \$4.9 & 2007 General Revenue Bond \$12.0)

ASSET ALLOCATION

As of March 31, 2009

Consolidated Endowment Fund¹—\$1,515 MM



Dollars in Millions

Current Allocation ²			Policy Target	Policy Range
Non-Marketable Alternatives	\$246	16%	12%	5%–25%
International Emerging Markets	140	9%	13%	5%–35%
International Developed Markets	176	12%	16%	5%–35%
Domestic Equity	208	14%	15%	5%–35%
Equity Fund	<u>\$771</u>	<u>51%</u>	56%	45%–75%
Real Assets Fund	\$185	12%	15%	5%–25%
Absolute Return	312	21%	18%	5%–25%
Fixed Income Fund ³	247	16%	11%	5%–35%
Total Consolidated Endowment Fund	<u>\$1515</u>	<u>100%</u>		

1. International exposure: 37%; net foreign currency exposure: 32%.

2. Current exposure percentage may not add to 100% due to rounding.

3. Includes allocation to cash.

CONSOLIDATED ENDOWMENT FUND (CEF)

For the Quarter ending March 31, 2009

Total Returns As of 3/31/09 ¹ (%)

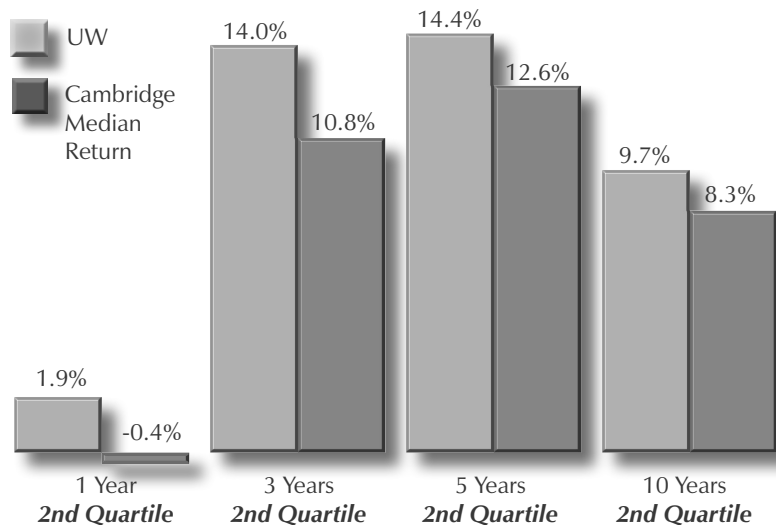
	3 rd Qtr FY 2009	FY '09 to Date	5-Year	10-Year
Total CEF Return	-6.8	-29.8	3.1	5.3
Strategy Weighted Policy Benchmark	-8.1	-24.9	3.3	4.0
Equity Fund	-9.2	-35.6	1.8	4.5
Weighted Policy Benchmark	-10.8	-36.7	0.4	2.0
Real Assets Fund	-11.8	-36.6	4.8	—
Policy Benchmark	-11.9	-19.4	7.3	—
Absolute Return Fund	-1.8	-23.6	—	—
Policy Benchmark	-1.2	-6.9	—	—
Fixed Income Fund	-0.9	-3.3	3.1	4.6
Policy Benchmark (LB Govt Bond)	-1.0	9.0	5.2	6.2

¹ Average Annual Compound Return

Activity (in Millions)

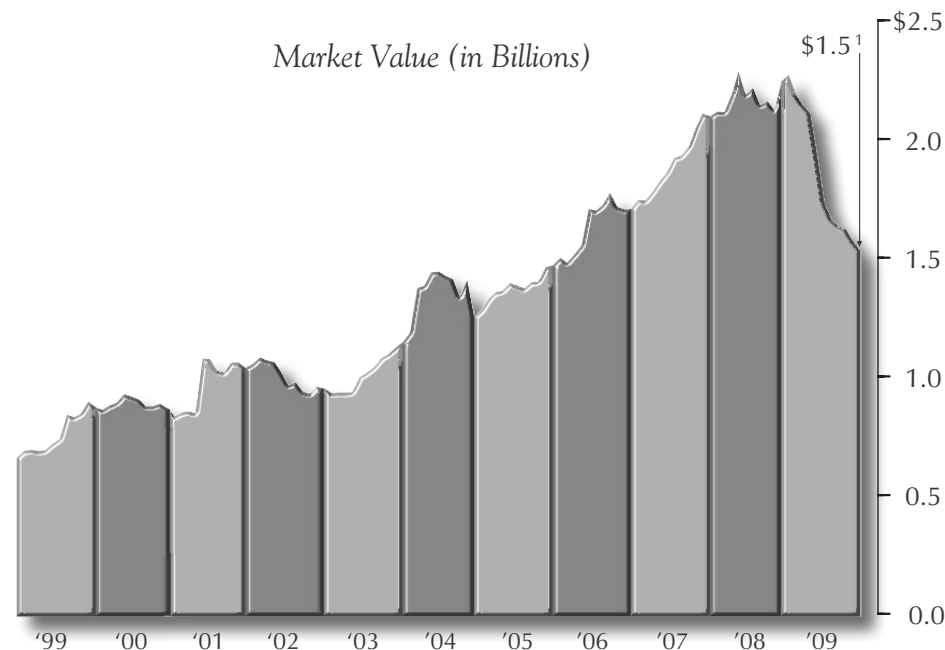
	FYTD 2009	FY 2008	FY 2007	5 Years	10 Years
Beginning Balance	2,161	2,074	1,690	1,427	631
Gifts	73	99	66	419	630
Transfers	6	8	9	59	94
Total Additions	80	107	75	478	724
Operating Funds Purchases	1	44	15	96	360
Net Investment Return	-649	53	392	-3	532
Distributions	-63	-94	-81	-398	-614
Administrative Fees	-3	-4	-4	-17	-26
Advancement Support	-11	-17	-14	-68	-92
Ending Balance	\$1,515	\$2,161	\$2,074	\$1,515	\$1,515
Net Change	-\$646	\$88	\$384	\$89	\$884

UW & Cambridge Associates ¹ Returns for periods ending 6/30/08



¹ Cambridge Associates College and University Investment Pool Top 50 (by Investment Pool Market Value)

Market Value (in Billions)



¹ Reflects inclusion of IF units in CEF starting 7/01—value without would be \$1.2

PERFORMANCE UPDATE

Total Return¹ As of 3/31/09

	3 rd Qtr FY '09	1 Year	3 Years	5 Years	10 Years
Consolidated Endowment Fund	-6.8	-29.2	-4.1	3.1	5.3
Equity Fund	-9.2	-35.7	-7.0	1.8	4.5
Non-Marketable Alternatives²	-13.0	-20.6	6.1	10.9	10.9
Global Equity ³	-7.2	—	—	—	—
International Emerging Markets	-2.0	-47.3	-3.6	—	—
International Developed Markets	-12.2	-42.2	-13.6	-1.2	0.2
Domestic Equity	-4.3	-33.4	-12.1	-3.4	1.1
Real Assets Fund	-11.8	-32.7	-4.6	4.8	—
Absolute Return Fund ³	-1.8	—	—	—	—
Fixed Income Fund	-0.9	-3.0	3.2	3.1	4.6
Public Market Indices					
<i>Equity Indices</i>					
MSCI ACWI	-10.6	-46.2	-12.8	-0.2	0.9
Russell 3000	-10.8	-38.2	-13.6	-4.6	-2.3
NASDAQ	-3.1	-35.9	-14.5	-6.0	-5.1
NAREIT	-31.9	-58.2	-25.1	-8.6	3.9
Dow Jones Industrial Average	-12.5	-36.1	-9.6	-3.7	-0.4
MSCI EAFE	-13.9	-46.2	-14.1	-1.7	-0.5
MSCI EMF	1.0	-46.9	-7.9	6.2	7.6
<i>Fixed Income Indices</i>					
LB Government Bond	-1.0	7.0	8.1	5.2	6.2
CG World Bond Index (unhedged to USD)	-4.8	-3.7	7.7	4.6	5.8

Sources: Cambridge Associates and Northern Trust

¹ Total Return: average annual compound return (dividend or interest plus capital appreciation or depreciation)

² Provided by Cambridge Associates on a quarter lag.

³ New strategies reflecting data starting 7/1/2008.

INVESTED FUNDS (IF)

For the Quarter ending March 31, 2009

Total Return¹ (%)

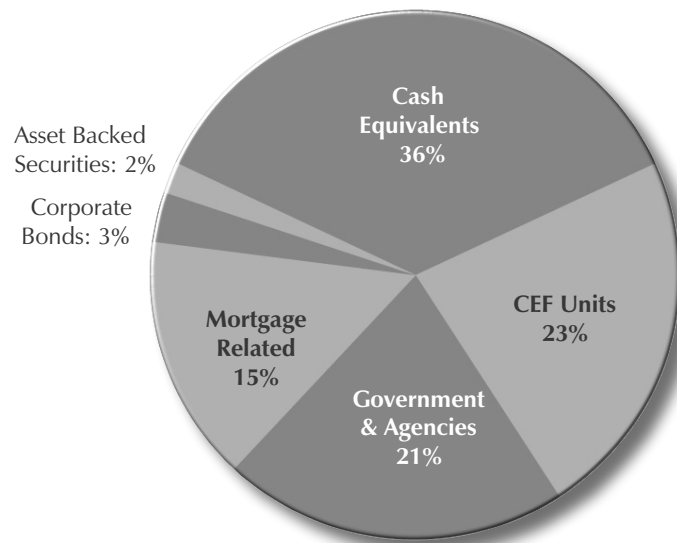
	3 rd Qtr FY 2009	1-Year	5-Year	10-Year
Cash	0.6	2.7	3.8	4.8
Citi 2 Yr Treasury	0.4	4.7	4.0	4.8
Liquidity	0.7	3.7	4.0	5.4
LB Intermediate Govt Bond	-0.1	6.0	4.8	5.8
IF excluding CEF units	0.7	3.6	4.0	5.3
Weighted Benchmark	0.1	5.5	4.6	5.5
IF including CEF units	-1.2	-7.4	4.0	5.3
Weighted Benchmark	-2.0	-3.9	4.6	5.3

¹ Average Annual Compound Return

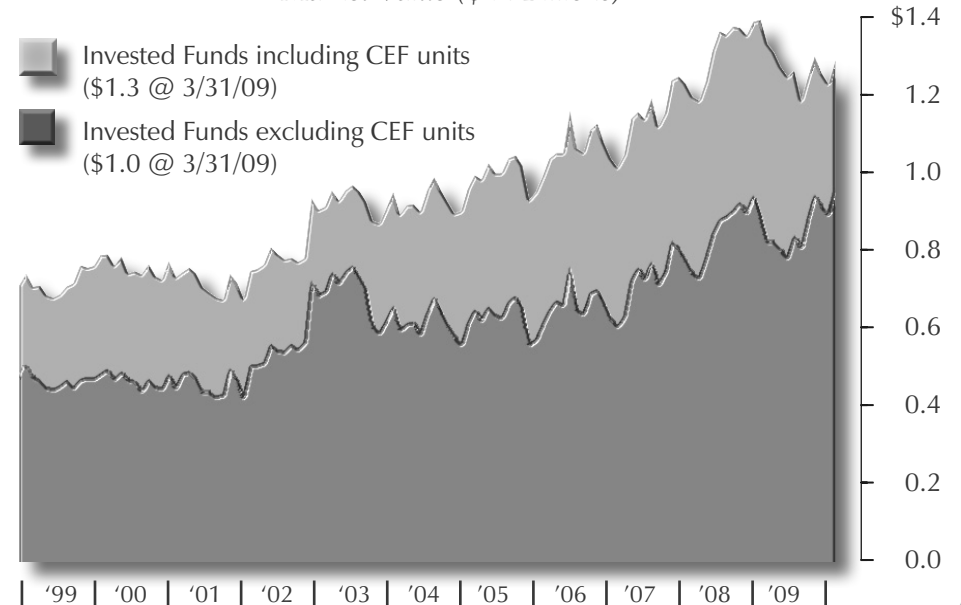
Fund Allocation by Pool (\$ in Millions)

	Fund Allocation		Range	Duration Actual	Maximum
Cash Pool	\$362	28%	10%–40%	0.5	3.0 yrs
Liquidity Pool	637	49%	30%–60%	3.5	4.3
Total Cash & Liq. Pool	\$999	77%			
CEF Units held by IF		23%	15%–40%		
Total Invested Funds		100%			

Mix of Investments¹



Market Value (\$ in Billions)



SUMMARY OF ACTIONS TAKEN UNDER BOARD DELEGATED AUTHORITIES

JANUARY 1, 2009 THROUGH APRIL 30, 2009 (\$ IN MILLIONS)

By the Chief Investment Officer

Public Markets

<u>Approved</u>	<u>Action</u>	<u>Manager / Fund</u>	<u>Strategy</u>	<u>Investment (\$MM)</u>
01/09/09	Termination	Atlantis China	International Emerging Markets	-\$3.0
01/16/09	New	State Street Currency Hedge	International Developed	\$40.0
01/29/09	Termination	Brandywine	Fixed Income	-\$40.0
01/29/09	New	Wellington Management	Fixed Income	\$15.9
02/20/09	Redemption	Ward Ferry	International Emerging Markets	-\$2.4
02/20/09	Liquidation	Morgan Stanley Best Ideas Fund	Real Estate	-\$8.0
03/13/09	Reduction	GMO	International Developed	-\$10.0
03/13/09	Reduction	Arrowstreet	International Developed	-\$10.0
03/13/09	Reduction	Axiom	International Developed	-\$10.0
03/13/09	Reduction	Tygh Capital Management	Domestic Equity	-\$4.0
03/13/09	Reduction	Rainier Investment Management	Domestic Equity	-\$5.0
03/13/09	New	State Street S&P 500 Futures	Domestic Equity (\$40 notional)	\$8 collateral
04/01/09	New	State Street S&P 500 Futures	Domestic Equity (\$10 notional)	\$2 collateral
04/30/09	New	Dynamo	International Emerging Markets	\$5.0

Private Markets

<u>Approved</u>	<u>Action</u>	<u>Manager / Fund</u>	<u>Strategy</u>	<u>Investment (\$MM)</u>
02/12/09	Reup	TA Associates Fund XI	Non-Marketable Alternatives	\$8.0

VII. STANDING COMMITTEES**B. Finance, Audit and Facilities Committee****UW Seattle Parking and U-PASS Rate Revisions****RECOMMENDED ACTION:**

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the Board of Regents adopt the attached “Seattle Campus Parking and U-PASS Fee Schedule” effective June 26, 2009 for summer quarter products and effective July 1, 2009 for daily, monthly, and annual products.

BACKGROUND:

The University transportation system has expenditures that include transit contracts, other transportation demand management expenses, parking system operational expenses, debt service, and capital projects. The program is funded with U-PASS fees, parking fees and fines, and central sources. Between FY08 and FY10, the transit cost center will have increased by approximately 79%. This increase originates with an unprecedented series of fare increases by King County Metro Transit and other transit agencies, triggered in part by their own budget shortfalls from declining sales tax proceeds. Fare increases are further compounded by an increase in transit utilization by University commuters of nearly 30%.

Before looking to rate increases to close the U-PASS program budget deficit, Transportation Services took a \$1.5M self-imposed budget cut which can be implemented without significantly impacting service in the near term; this action will offset over ten percent of the projected budget shortfall.

There was significant public process around rate setting. Following the approval of the rate proposal by the University Transportation Committee, a public hearing was held to accept testimony on the proposed parking and U-PASS fee schedules. Notices of the public hearing were published in the University of Washington’s online events calendar, *University Week*, and *The Daily*. Additional briefings were made to student, faculty, and professional staff governing bodies and to SEIU 925. Interested parties were invited to contribute written and oral comments at the hearing and via the Commuter Services website.

Public comments included concerns about the poor timing of the increase given the economic climate, layoffs, and salary freeze; debate about the way in which costs of the transportation program are distributed among users of the various commute modes; and concern that the fee proposal was regressive in nature. The

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

UW Seattle Parking and U-PASS Rate Revisions (continued p. 2)

fee increases were endorsed in approximately 20% of public comments and comments from constituency meetings included appreciation for efforts to control costs and to engage members of the public in the fee approval process.

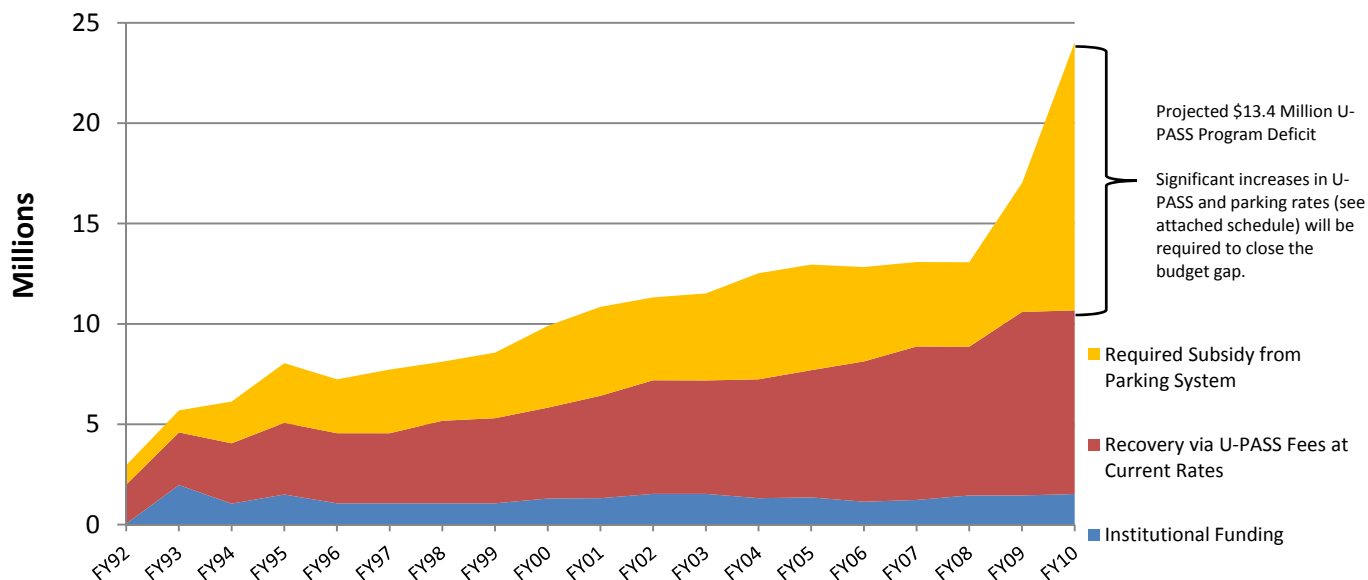
The proposed fee schedule includes an average increase of 25% in parking rates and 75-98% in U-PASS rates. While these are significant when viewed as percentages, they are modest when viewed in real dollars. The most popular product categories, U-PASSes and SOV parking, increased by less than eighty five cents per day.

Attachments

1. U-PASS Cost & Cost Recovery Overview
2. Value Generation for U-PASS Users
3. Proposed U-PASS and Seattle Parking Product Rates – 2009-2010

UNIVERSITY OF WASHINGTON
Transportation services

U-PASS COST & COST RECOVERY OVERVIEW



This chart demonstrates the pressure on the U-PASS funding model brought on by the current financial crisis. As students, faculty, and staff have experienced economic pressures, they have shifted from driving to transit at an accelerated rate (>10% increase in transit ridership each year since 2006). Transit agencies, which receive the majority of their operating dollars from sales tax proceeds, have been struggling and have raised fares by over 35% in the last year. The net effect is an increase of 65% in the University's transit costs in an 18 month period.

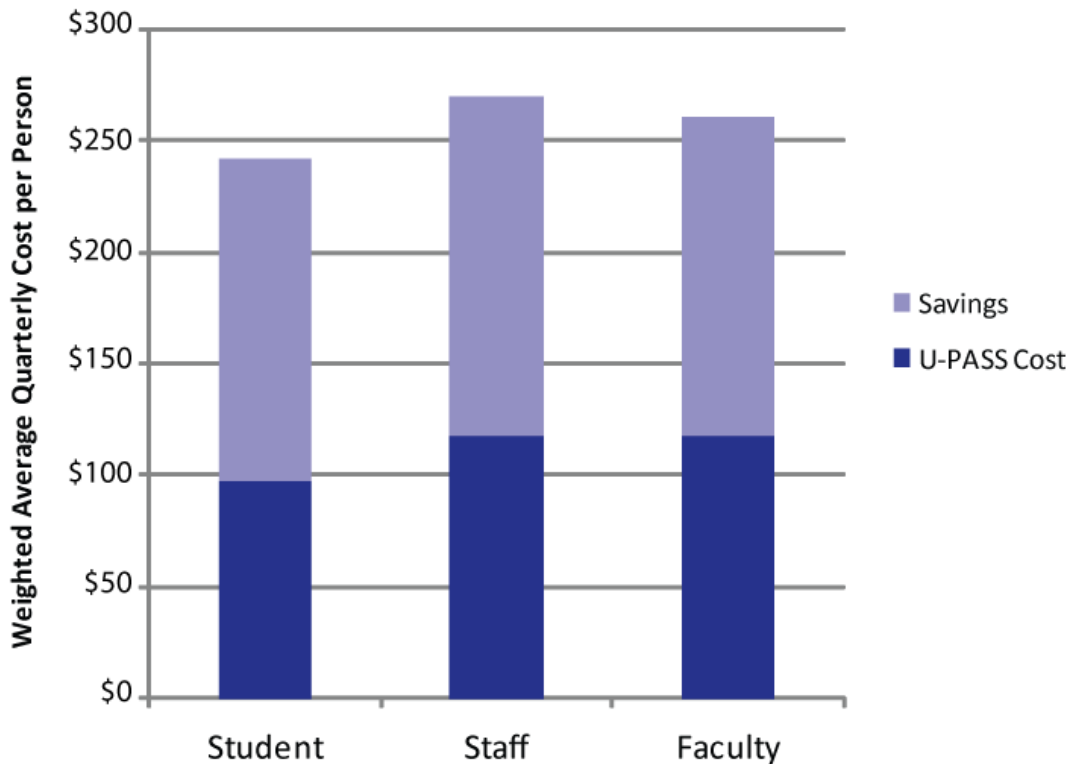
Institutional support for the U-PASS program has been nearly flat at approximately \$1.5M annually since the beginning of the program, falling from 35% in FY93 to just over 6% (projected) in FY10. Correcting this is proving challenging in the current economic environment.

The parking system has been able to provide an annual U-PASS subsidy in the \$3-5M range. With declining participation in the parking system and increasing sales and parking tax burdens, steep parking rate increases are necessary to maintain this subsidy. Because parking fee increases have the effect of further accelerating the shift to transit, increasing parking costs by the same percentage as U-PASS would exacerbate our deficit. However, the real dollar increase for parking (\$25/month) remains significantly higher than the increase in U-PASS (approximately \$16.50/month).

ATTACHMENT 1

UNIVERSITY OF WASHINGTON
Transportation services

VALUE GENERATION FOR U-PASS USERS



This chart demonstrates that even with the increased rates, the U-PASS program delivers significant value to transit users. The combined height of the stacked bar represents the average quarterly cost of the least expensive transit pass product(s) customers in each category would need to purchase to make their daily commutes. (Students, faculty, and staff have different average costs because of where they tend to live, relative to the University.) The dark blue portion of each column represents the U-PASS costs for that user group and the light blue represents real savings that the program generates for participants, even after rate increases.

These savings reflect transit costs only and do not affect additional categories of savings enjoyed by U-PASS participants including: tax savings via pretax payroll deduction, access to discounted parking through U-PASS “occasional parking” products, and access to savings on retail goods and services through the U-PASS merchant discount program.

ATTACHMENT 2

Proposed U-PASS and Parking Product Rates – 2009-2010 (June 9, 2009)

U-PASS	Term	Current Price	2010 Proposed Rate	Percentage Change
Type of U-PASS				
Student U-PASS	Monthly	\$16.67	\$33.00	98%
Faculty Staff U-PASS	Monthly	\$23.33	\$40.00	71%
U-Powered U-PASS (Pilot)	Annual	"New"	\$40.00	
Student Withdrawal Fees				
U-PASS fee for students who withdraw through the seventh day of the quarter without returning the U-PASS	Each	\$100.00	\$200.00	100%
U-PASS fee for students who withdraw after the seventh day of the quarter without returning the U-PASS	Each	\$50.00	\$99.00	100%
Student U-PASS & Husky Card Replacement Fees				
Student U-PASS Sticker - after the first week of the quarter	Each	\$20.00	\$40.00	100%
Student Husky Card Replacement (non-photo)	Each	\$5.00	\$5.00	0%
Student Husky Card Replacement (photo)	Each	\$10.00	\$10.00	0%
Faculty Staff U-PASS Replacement Fees				
First Replacement	Each	\$30.00	\$50.00	67%
for 1st quarter	Each	\$30.00	\$50.00	67%
for each additional quarter the U-PASS remains valid	Each	\$25.00	\$40.00	60%
Second Replacement	Each	\$40.00	\$70.00	75%
for 1st quarter	Each	\$40.00	\$70.00	75%
for each additional quarter the U-PASS remains valid	Each	\$35.00	\$60.00	71%

Permits	Term	Current Price	Proposed Rate	%
Annual Permits				
Single Occupancy Vehicle (SOV)	Monthly	\$95.00	\$120.00	26%
Carpool (2 person or 3 person) requires U-PASS	Monthly	\$20.40	\$20.40	0%
Night Permits (4:00 p.m. to 8:00 a.m. and Saturday 7 a.m. to noon)	Monthly	\$31.67	\$40.00	26%
Swing Permits (2:30 p.m. to 8:00 a.m. and Saturday 7 a.m. to noon)	Monthly	\$46.67	\$60.00	29%
Assigned [Managed] Space (e.g., Roosevelt Commons)	Monthly	\$95.00	\$120.00	26%
Reserved Space	Monthly	\$190.00	\$240.00	26%
Motorcycle, Scooter, Moped	Monthly	\$31.67	\$40.00	26%
Retiree when employed 40% FTE or less	Monthly	\$38.33	\$48.00	25%
Department Out of Area	Monthly	\$6.25	\$10.00	60%
University owned / operated vehicles	Annual		\$120.00	
Special Designators				
"UL" Universal Limited Designator	Monthly	\$6.67	\$10.00	50%
"U" Universal Designator	Monthly	\$9.17	\$15.00	64%
"S" Service Designator	Monthly	\$18.75	\$30.00	60%

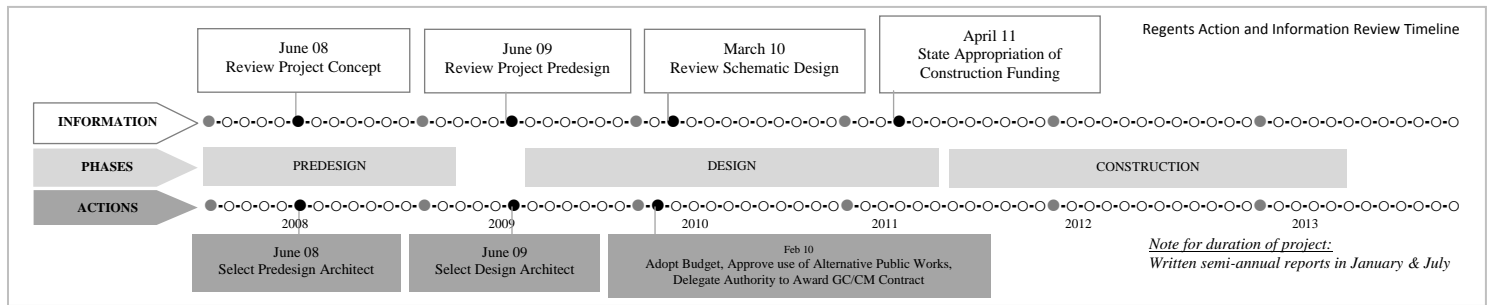
Permits	Term	Current Price	Proposed Rate	%
Commuter Tickets				
Individual Commuter Tickets	Each	\$6.00	\$7.00	17%
Faculty/Staff Individual Commuter Tickets requires U-PASS	Each	\$3.50	\$3.50	0%
U-Powered U-PASS Commuter Ticket (Pilot)	Each	\$3.00	\$3.00	0%
Departmental Commuter Tickets (for guests)	Each	\$12.00	\$15.00	25%
10% fee for Tickets returned for refund	Each	\$1.20	\$1.50	25%
Night Dept Commuter Tickets (for guests)	Each	\$6.00	\$6.00	0%
10% fee for Tickets returned for refund	Each	\$0.60	\$0.60	0%
VIP Departmental Visitor (in 5 Ticket Booklet)	Booklet	\$180.00	\$200.00	11%
10% fee for Tickets returned for refund	Each	\$18.00	\$20.00	11%
President's Club Permits	Each	\$6.00	\$7.50	25%
Short Term Permits				
Daytime - University Community	per day	\$4.75	\$6.00	26%
10% fee for expired permits returned by dept for refund	per day	\$0.48	\$0.60	25%
Evening - University Community	per day	\$1.50	\$2.00	33%
10% fee for expired permits returned by dept for refund	per day	\$0.15	\$0.20	33%
Daytime - Visitors	per day	\$12.00	\$15.00	25%
Evening - Visitors	per day	\$6.00	\$6.00	0%
Campus Gatehouse Issued Permits				
Daytime Rates - entrance between 6 a.m. and 4 p.m. weekdays				
Daily average				
0-30 minutes	Each	\$2.00	\$2.00	0%
30 minutes to 1 hour	Each	\$3.00	\$3.00	0%
over 1 hour to 2 hours	Each	\$6.00	\$6.00	0%
over 2 hours to 3 hours	Each	\$9.00	\$9.00	0%
over 3 hours to 4 hours	Each	\$11.00	\$12.00	9%
over 4 hours	Each	\$12.00	\$15.00	25%
Evening Rates - entrance between 4 p.m. and 9 p.m. weekdays and Saturdays 7 a.m. to noon				
Evening average				
0-30 minutes		\$2.00	\$2.00	0%
30 minutes to 1 hour		\$3.00	\$3.00	0%
over 1 hour		\$6.00	\$6.00	0%
after 8 pm weekdays & 11am Saturdays - no refunds		\$3.00	\$3.00	0%
Carpool (2 person or 3 person) requires U-PASS				
Husky Card debit - no refunds		\$1.02	\$1.02	0%
Motorcycle		\$5.00	\$6.00	20%
Night Extension (valid only w/night permit)				
3:30 - 4:00 p.m. entrance time		\$2.00	\$2.00	0%
3:00 - 3:30 p.m. entrance time		\$3.00	\$3.00	0%
2:30 - 3:00 p.m. entrance time		\$6.00	\$7.00	17%

Permits	Term	Current Price	Proposed Rate	%
Parking Card Permit - billed to UW department				
Daytime entrance		\$12.00	\$15.00	25%
Evening/Weekend entrance		\$6.00	\$6.00	0%
Retirees	Daily	\$1.00	\$6.00	500%
Special Events				
Football and events in excess of 24,000 in attendance				
Automobiles				
3 or more persons		\$15.00	\$15.00	0%
Fewer than 3 persons		\$25.00	\$25.00	0%
Motorhomes		\$50.00	\$50.00	0%
Buses		\$50.00	\$75.00	50%
All other events requiring special staffing		\$12.00	\$15.00	25%
CPG Special Event with staffing		\$8.00	\$10.00	25%
Pay per Use - UW Faculty, Staff & Affiliates only				
Individual User with U-PASS				
up to 4 days a pay period	each day	\$3.50	\$3.50	0%
5 or more days a pay period including first 4	each day	\$4.75	\$5.00	5%
Individual User without U-PASS				
up to 8 days a pay period	each day	\$4.75	\$6.00	26%
9 or more day's including first 8	each day	\$5.50	\$7.00	27%
Carpool per vehicle - requires U-PASS	each day	\$1.02	\$1.02	0%
Mechanically Issued Fees				
Lot E1				
Husky Card debit	daily	\$3.00	\$4.00	33%
Cash	daily	\$6.00	\$7.00	17%
Carpool - requires U-PASS				
Husky Card debit - fee per vehicle	daily	\$0.80	\$0.80	0%
Parking Meters	hourly	\$3.00	\$3.00	0%
Pay and Display Dispensers				
Lot E4 daytime dispensers	daily	\$3.00	\$3.00	0%
Tubby Graves/IMA	hourly	\$3.00	\$3.00	0%
West Campus evening dispensers	evening	\$5.00	\$5.00	0%
Pay by Space - Daytime - 30 minute limit	hourly	\$4.00	\$4.00	0%
Miscellaneous Fees				
UW Parking Card Replacement Fee	Each	\$25.00	\$25.00	0%
Replacement Permit Fees				
First Replacement	Each	\$20.00	\$25.00	25%
Second Replacement	Each	\$30.00	\$35.00	17%
UW Passport Card Fee	Each		\$10.00	
Validation Coupons Handling Fee	each	\$0.15	\$0.20	33%

Permits	Term	Current Price	Proposed Rate	%
Bike Locker Fees				
Key Deposit	One-time	\$80.00	\$85.00	6%
Locker Rental (annual)	Annual	\$70.00	\$120.00	71%
Bike Enclosure Rental	Annual	\$30.00	\$40.00	33%
Triangle Garage and Select Locations in Extended Hour Pilot Program				
Daytime Rates - entrance between 6 a.m. and 4 p.m. weekdays				
Validated patient & patient visitor rates				
0-30 minutes		\$2.00	\$2.00	0%
30 minutes to 1 hour		\$3.00	\$3.00	0%
over 1 hour to 2 hours		\$6.00	\$6.00	0%
over 2 hours to 3 hours		\$9.00	\$9.00	0%
over 3 hours to 4 hours		\$11.00	\$12.00	9%
over 4 hours		\$12.00	\$15.00	25%
Evening Rates - entry 4pm-9pm weekdays & Saturdays 7am-4pm				
0-30 minutes		\$2.00	\$2.00	0%
30 minutes to 1 hour		\$3.00	\$3.00	0%
over 1 hour		\$6.00	\$6.00	0%
Lost Ticket		\$12.00	\$15.00	25%
In/Out Privileges Card (Only with validation)		\$12.00	\$15.00	25%
Parking Card Permit - billed to UW department				
Daytime entry		\$12.00	\$15.00	25%
Evening/Weekend entry		\$6.00	\$6.00	0%

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

UW Bothell Phase 3 – Review Project Predesign, Select Architect and Delegate Authority to Award a Design ContractRECOMMENDED ACTION:

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the President be delegated authority to award a design contract for the UW Bothell Phase 3 project to the firm of THA Architecture, subject to successful contract negotiations.

In the event of an unsuccessful negotiation with the selected firm, it is requested that authority be delegated to open negotiations with ZGF Architects, the firm recommended as first alternate.

In May 2009, the Capital Projects Office advertised for firms interested in providing design services. Fifteen firms responded to the Request for Qualifications for this project, and three firms were interviewed by the Architectural Commission on June 8, 2009: Cannon Design, THA Architecture, and ZGF Architects. It is the Commission's recommendation that THA Architecture be appointed design architect for this project. The Architectural Commission is charged with identifying the most qualified firm as well as one or two alternate qualified firms, if any, ensuring that negotiations can continue in a timely manner. The alternate firm recommended by the Architectural Commission is ZGF Architects.

Founded in 1983, Portland-based THA Architects is 49-person firm devoted to projects with an educational focus. THA has designed 30 higher education buildings, both new and renovation work, for 17 colleges and universities throughout the west. This firm has completed two projects for the UW: the Architecture Hall renovation on the Seattle campus and Philip Hall on the Tacoma campus. Their design work on the Denny Hall renovation is currently on hold.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

UW Bothell Phase 3 – Review Project Predesign, Select Architect and Delegate Authority to Award a Design Contract (continued p. 2)

due to state funding decisions. At present, they are the architects for the UW Tacoma-Phase 3 project, which is commencing construction in July, 2009.

BACKGROUND:

Following the legislative appropriation of \$150,000 for predesign of the UW Bothell Phase 3 project, the BOR approved the selection of a project architect in June 2008. A predesign contract was awarded to that firm, who completed the predesign in February 2009.

Pending enactment into law, the Legislature has appropriated funding of \$5 million in the 2009-11 biennium to complete the design of the project. Rather than proceed into design with the previously selected firm, the UW Bothell and central administrations have determined that it is in the best interest of the project to proceed with a different design firm.

As envisioned in the predesign, the Phase 3 building will include a mix of larger classrooms, innovative, state of art instructional laboratory facilities, collaborative learning spaces, faculty offices, an open access computer lab, and a divisible lecture hall/performance space. The facility will also include a teaching and learning center for long term faculty development and for meeting the needs of freshmen and sophomores.

In addition to designing the building, the architect's scope of services will include assistance to UW Bothell in selecting the building site. This effort will involve review and refreshment of the Campus Master Plan as necessary to provide context for the appropriate location of the new building.

Although this project anticipates development of 71,000-90,000 gross square feet, there are a number of critical issues that will impact this target: (1) Significant environmental impact risks are associated with the development of any of the sites due to the presence of streams and wetlands on the campus; (2) The development of highly flexible academic space is critical to UW Bothell's ability to serve their new undergraduate population; science labs that are easily convertible to art labs demand more complex infrastructure; (3) A 250-seat tiered auditorium is included in this building that will fulfill the University's need for a large gathering space. This space will also be designed to meet flexibility requirements, with rotating floor plates that will break the room down into three separate academic spaces for general instruction.

VII. STANDING COMMITTEES

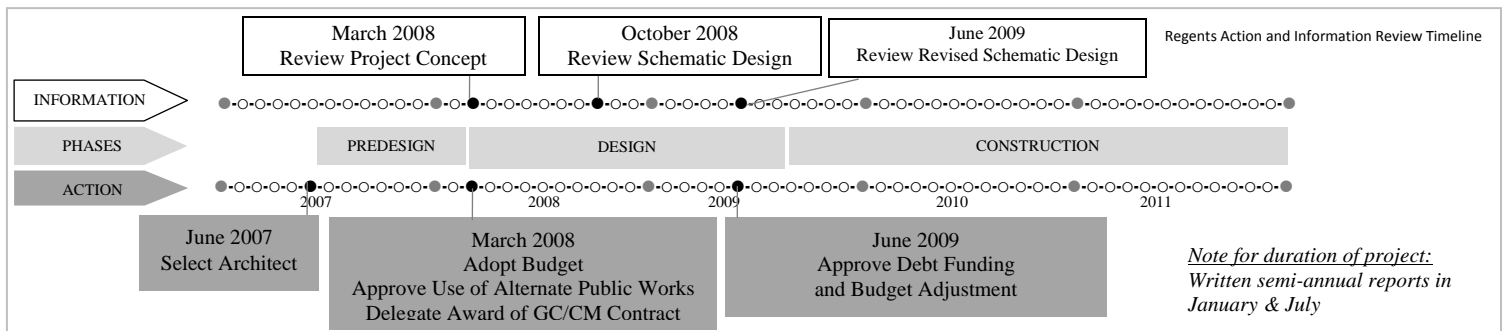
B. Finance, Audit and Facilities Committee

UW Bothell Phase 3 – Review Project Predesign, Select Architect and Delegate Authority to Award a Design Contract (continued p. 3)

As noted in the predesign, construction funding for this project was anticipated in the 2013-15 biennium. With the expected mid-point of construction established as 2014, the total project cost for UW Bothell Phase 3 will be approximately \$68,000,000.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Molecular Engineering Interdisciplinary Academic Building (MEIAB) – Approve Debt Funding and Budget AdjustmentRECOMMENDED ACTION:

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the Board of Regents approve:

- 1) Revision of the project budget and scope for the Molecular Engineering Building from a \$78,500,000, 77,000 gross square foot (GSF) three-story building to a newly recommended 89,300 GSF four-story building. The revised budget for design and construction is \$77,723,000, and the total project budget is \$78,500,000; and
- 2) The use of the Internal Lending Program to fund up to \$74,000,000 for design, construction, and equipment.

PROJECT DESCRIPTION:

The Molecular Engineering Interdisciplinary Academic Building (MEIAB) will accommodate growth anticipated in this emerging field. This project will be divided into a Research Lab portion and an Ultra-Sensitive Ground Contact Lab portion, each with support space. Phase 1 is recommended to be modified to add a fourth floor of shell and core space resulting in the same 49,000 GSF of finished space, with a new total of 40,300 GSF of shell space for a building total of 89,300 GSF. To the extent that project savings from the established budget are available, these funds may be used to build out additional finished space. Approximately 12,900 GSF of ground contact laboratory shell space is in the base scope, and the tenant improvement of this space is being designed to be fully built out as an alternate. This alternate is being designed to enable the University to potentially benefit from either a favorable construction market, or in anticipation of receipt of grant funding from the National Institute of Standards and Technology.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Molecular Engineering Interdisciplinary Academic Building (MEIAB) – Approve Debt Funding and Budget Adjustment (continued p. 2)

A second phase remains to be anticipated resulting in a total for both phases 1 and 2 of approximately 160,000 gross square feet. The Phase 1 scope also includes the relocation of Cunningham Hall to a site west of Parrington Hall, and the demolition of the existing Johnson Hall Annex. The revised exterior elevation rendering of a 4-floor Phase 1 and a 4-floor Phase 2 is shown in attachment 4. The currently approved scope shown in the October 2008 Regents meeting for the same elevation is shown in attachment 5.

The project will be located on the Johnson Hall Annex site referenced in the Campus Master plan as the 25C site.

PREVIOUS ACTION:

The project was first presented to the Board of Regents in June 2007 and the President was delegated authority to award design contracts to Zimmer Gunsul Frasca (ZGF) Architects. At the March 2008 meeting, the Project Presentation was made to the Finance, Audit and Facilities Committee; the project budget was established at \$78,500,000; the use of alternative public works utilizing the General Contractor/Construction Manager (GC/CM) method of contracting was approved; and the President was delegated authority to award construction contracts, subject to no significant change in scope, the forecast cost being within 10% of the budget and funding being in place. The pre-construction contract was awarded to Hoffman Construction on August 7, 2008. The Schematic Design was presented at the October, 2008 meeting.

SCOPE OF THE PROJECT:

The facility will be home for the Institute for Molecular Engineering and Sciences and will provide administrative support for this new group. These administrative spaces, along with the faculty and staff offices, student workstations, and conference/seminar spaces, will support the laboratory functions which make up approximately 80% of the programmed area of the facility.

The research laboratories provide space for three distinct program directions: new faculty; new initiatives; and shared instrumentation laboratories. These spaces will support faculty research in the areas of bio-chemistry, micro-biology, chemistry and other related fields. The laboratories will be used by faculty and graduate students for collaborative and individual research and are located immediately adjacent to office zones to facilitate interaction and collaboration. The instrumentation laboratory spaces are ground contact open labs to house the

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Molecular Engineering Interdisciplinary Academic Building (MEIAB) – Approve Debt Funding and Budget Adjustment (continued p. 3)

vibration-sensitive, specialty equipment that is envisioned as a shared resource for both the building and the University.

The building is being designed to be certified at LEED Silver level consistent with RCW 39.35D.

FINANCING PLAN:

The sources and uses of funds for the project are below:

Sources of Funds

Internal Lending Program	73,500,000
State Capital Appropriations	<u>5,000,000</u>
Total Sources of Funds	78,500,000

Uses of Funds

Design Costs	8,397,000
Construction Costs	62,835,000
Equipment / Other	<u>6,491,000</u>
Total Design and Construction	77,723,000
Cost of Issuance	<u>777,000</u>
Total Uses of Funds	78,500,000

The debt service on the ILP loan will be repaid from two sources: UW building account revenues and indirect cost revenue.

Revenue from the Building Fee and trust lands will service \$53.5M of the project cost or about \$3.7M per year. This revenue has averaged \$9.5M annually over the last three years. It is expected to grow to nearly \$14M by 2012. Building fees and trust land revenue are deposited in the Bond Retirement Account, a non-appropriated fund on deposit with the State Treasurer. By statute, there is a minimum balance requirement in this fund equal to three years of debt service. Remaining balances after debt service and minimum balance requirements are transferred to the Building Account for capital expenditures as needed.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Molecular Engineering Interdisciplinary Academic Building (MEIAB) – Approve Debt Funding and Budget Adjustment (continued p. 4)

Indirect Cost Recovery (ICR) revenue will service up to \$20M on the project cost or \$1.4M per year. The Molecular Engineering Building will allow the College of Engineering to house new faculty and increase research for existing faculty; Engineering projects that these new research grants will increase indirect cost recovery by approximately \$1.4 million annually.

The Treasury Office has reviewed indirect cost projections with the Office of the Provost and believes that incremental and existing ICR will be sufficient to pay the debt.

SCHEDULE:

Architect Selection	June 2007
Pre-design	July 2007 to December 2007
Design	April 2008 to December 2009
Award Pre-Construction Contract	August 2008
Construction	August 2009 to October 2011
Occupancy and Use	January 2012

CURRENT PROJECT STATUS:

Rather than funding the project, the State's capital budget for the 2009-11 biennium included an authorization allowing the University to issue bonds to pay for the construction of the Phase 1 building. The amount authorized was approximately \$4 million less than what was requested, and this shortfall would be restored by the recommended financing plan.

The 20% Construction Document design submittal and cost estimate have been prepared by ZGF Architects, and their estimate reconciled with that prepared by Hoffman Construction. The cost estimate is approximately 8% under the currently approved project's construction budget of \$51,841,188. Depending on the results of the bidding, it is possible that additional savings may be achievable within the newly requested project scope. The College of Engineering also intends to seek grant funding of \$10.4 million to supplement a \$3 million match by the University, and these funds would be targeted to pay buildout shell space created by this budget, and the buildout of the ground-contact instrumentation laboratories. In the event that grant funding is received and the base scope of the project is achieved for less than the budgeted amount, any savings will be used for further build-out of shelled space rather than a reduction in borrowing. In that event, the project budget and scope would exceed this request by 10% and would be brought to the Board of Regents for approval before proceeding.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Molecular Engineering Interdisciplinary Academic Building (MEIAB) – Approve Debt Funding and Budget Adjustment (continued p. 5)

An early work package, including the relocation of Cunningham Hall, demolition of Johnson Hall Annex, shoring, mass excavation, and certain utilities, is ready to be issued for bids promptly upon approval of the use of the ILP recommended above. The remainder of the project will be bid in August, 2009.

SIGNIFICANT RISKS OR OPPORTUNITIES:

This is an opportunity to provide a signature building expressive of the University's research capabilities at one of the major campus entries.

The weakened construction market provides the potential to realize additional shell space at a favorable cost.

NIST grant funding is a significant opportunity to realize additional finished space.

REVIEW AND APPROVALS

This recommendation has been reviewed and approved by the Senior Vice President and the Vice Provost for Budgeting and Planning.

Attachments:

1. Project Budget
2. Indirect Cost Recovery Summary, 2008 - 2017
3. UW Bond Retirement Account Summary, 2006 - 2015
4. Phase 1 and Phase 2 Schematic Design rendering
5. Phase 1 and Phase 2 Current rendering

PROJECT BUDGET

	<u>Total Escalated Cost*</u>	<u>% of TPC</u>
<i>Design</i>		
<i>Pre-Schematic Design Services</i>	\$689,731	0.89%
<i>A/E Basic Design Services</i>	\$3,789,386	4.88%
<i>Extra Services</i>	\$2,059,914	2.65%
<i>Other Services</i>	\$1,236,498	1.59%
<i>Design Services Contingency</i>	\$727,446	0.94%
<i>Consultant Services</i>	\$8,502,975	10.94%
 <i>GC/CM Construction Cost (base scope)</i>	 \$52,594,045	 67.67%
<i>Other Contracts</i>	\$0	0.00%
<i>Construction Contingencies</i>	\$6,358,304	8.18%
<i>Sales Tax (pending deferral)</i>	\$2,800,000	3.60%
 <i>Construction*</i>	 \$62,834,747	 79.45%
 <i>Equipment</i>	 \$1,000,790	 1.29%
<i>Artwork</i>	\$179,256	0.23%
<i>Other costs</i>	\$2,967,630	3.82%
<i>Project Management</i>	\$3,320,000	4.27%
 <i>Other</i>	 \$7,467,676	 9.61%
 <i>Total Design and Construction Cost</i>	 \$77,723,000	 100.00%
 <i>Cost of Issuance</i>	 \$777,000	 1.00%
 <i>Total Project Budget</i>	 \$78,500,000	
 <u>Source of Funds</u>		
<i>Internal Lending Program</i>	\$73,500,000	94.57%
<i>State Capital Appropriations</i>	\$5,000,000	6.43%
<i>Total</i>	\$78,500,000	100.00%

* Includes modest labor and materials escalation to midpoint of construction

ATTACHMENT 1

ICR Summary, 2008-2017 (\$000)

	<u>2008</u>	<u>2009</u>	<u>2010</u>	<u>2011</u>	<u>2012</u>	<u>2013</u>	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2017</u>
<u>Sources</u>										
Indirect Cost Recovery (1)	198,000	199,600	211,000	213,000	216,000	221,000	227,000	232,000	237,000	242,000
<u>Uses</u>										
Research Cost Recovery (RCR)	65,780	66,990	68,211	70,302	71,280	72,930	74,910	76,560	78,210	79,860
Administrative Support	48,370	45,000	52,000	50,828	51,173	52,090	53,530	54,729	55,929	57,129
Utilities, Insurance, Property Rental	23,520	24,508	26,000	26,000	26,110	26,710	27,325	27,953	28,596	29,254
Research Support	21,750	18,000	15,974	15,000	15,060	16,029	17,505	17,967	18,044	18,490
Current Debt Service (2)	14,180	16,712	18,035	18,573	18,482	18,602	18,622	19,202	20,132	20,132
New Debt Service for Molecular Engineering (3)				677	1,085	1,369	1,369	1,369	1,369	1,369
Specific Building/Function	13,500	18,390	20,780	21,620	22,810	23,270	23,740	24,220	24,720	25,766
Transfer to Capital	10,900	10,000	10,000	10,000	10,000	10,000	10,000	10,000	10,000	10,000
Total Uses	198,000	199,600	211,000	213,000	216,000	221,000	227,000	232,000	237,000	242,000

NOTES:

(1) Federal Stimulus Research Funding (ARRA) is anticipated to increase FY 2010 and 2011 ICR source and Administrative support uses.

(2) Current Debt service includes Animal Facilities and J-Wing at previously indicated scheduled payment levels.

(3) Assume loan funded to expenditures, \$19.9M, 5.5%, and a 30 year term.

UW Bond Retirement Account

	Actual			Projected						
	FY 06	FY 07	FY 08	FY 09	FY 10	FY 11	FY 12	FY 13	FY 14	FY 15
Revenue										
50 % of Total Tuition Building Fee (1)	5,448,785	6,047,764	6,853,584	6,853,584	7,786,500	9,225,500	9,857,000	10,945,000	11,697,000	12,503,000
Timber Sales Lease Revenue (2)	1,652,000	1,573,115	2,327,847	2,327,847	2,327,847	2,327,847	2,327,847	2,327,847	2,327,847	2,327,847
Interest on Permanent Account (2)	1,328,645	1,633,620	1,654,230	1,654,230	1,654,230	1,654,230	1,654,230	1,654,230	1,654,230	1,654,230
Total Revenue	8,429,430	9,254,499	10,835,661	10,835,661	11,768,577	13,207,577	13,839,077	14,927,077	15,679,077	16,485,077
Debt Service										
Debt Service on Molecular Engineering Building (3)	-	-	-	-	3,684,116	3,684,116	3,684,116	3,684,116	3,684,116	3,684,116
Total Revenue After Debt Service	8,429,430	9,254,499	10,835,661	10,835,661	8,084,461	9,523,461	10,154,961	11,242,961	11,994,961	12,800,961
Transfer to the Building Account (4)	13,000,000	4,500,000	9,000,000	10,000,000	8,000,000	9,000,000	10,000,000	11,000,000	11,000,000	12,000,000
Revenue After Debt Service and Transfers	(4,570,570)	4,754,499	1,835,661	835,661	84,461	523,461	154,961	242,961	994,961	800,961
Beginning Balance	9,538,159	4,967,589	9,722,088	11,557,749	12,393,410	12,477,871	13,001,332	13,156,294	13,399,255	14,394,216
Plus Revenue After Debt Service and Transfers	(4,570,570)	4,754,499	1,835,661	835,661	84,461	523,461	154,961	242,961	994,961	800,961
Ending Balance UW Bond Retirement Account	4,967,589	9,722,088	11,557,749	12,393,410	12,477,871	13,001,332	13,156,294	13,399,255	14,394,216	15,195,177
Less Minimum Balance Requirement (5)	-	-	-	-	11,052,347	11,052,347	11,052,347	11,052,347	11,052,347	11,052,347
Equals Available Balance	4,967,589	9,722,088	11,557,749	12,393,410	1,425,524	1,948,985	2,103,946	2,346,908	3,341,869	4,142,830

NOTES:

- (1) Half of tuition building fee revenue is deposited into the UW Building Account.
- (2) Timber sales and Interest on Permanent Account revenue projections are based on FY 2008 actuals with no growth.
- (3) \$53.5 million in debt over 30 years at 5.5% including 1% cost of issuance.
- (4) After minimum balance requirements and debt service, residual revenue is transferred to the Building Account.
- (5) RCW 28B.20.725 requires a minimum of three years debt service remain in the Bond Retirement Account before any transfers can be made to the Building Account.

ATTACHMENT 3



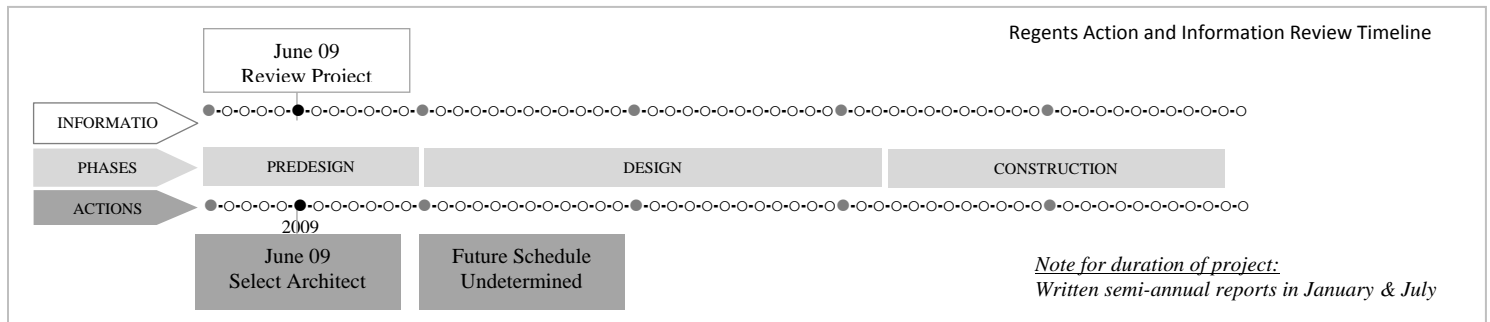
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VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Burke Museum Project – Select Architect and Delegate Authority to Award a Design ContractRECOMMENDED ACTION:

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the President be delegated authority to award a design contract for the Burke Museum Project with the firm of Olson Sundberg Kundig Allen Architects, subject to successful negotiation of an architectural agreement.

In the event of an unsuccessful negotiation with the selected firm, it is requested that authority be delegated to open negotiations with THA Architecture, the firm recommended as first alternate.

In April 2009, the Capital Projects Office advertised for firms interested in providing architectural services. Thirty two firms responded to the Request for Qualifications for this project, and three firms were interviewed by the Architectural Commission on June 8, 2009: Jones & Jones Architects, Olson Sundberg Kundig Allen Architects, and THA Architecture. It is the Commission's recommendation that Olson Sundberg Kundig Allen Architects be appointed design architect for this project. The Architectural Commission is charged with identifying the most qualified firm as well as one or two alternate qualified firms, if any, ensuring that negotiations can continue in a timely manner. The alternate firm recommended by the Architectural Commission is THA Architecture.

Olson Sundberg Kundig Allen Architects, established in Seattle in 1971 as Olson/Walker Architects, has grown to include a professional staff of approximately 82, including architects and interior designers. The firm has worked with many museums and similar institutions on pre-design and programming studies, new buildings, renovations and exhibit design. These include museums like the Wing Luke Asian Museum in Seattle, the Frye Art

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Burke Museum Project – Select Architect and Delegate Authority to Award a Design Contract

Museum in Seattle, the Seattle Asian Art Museum Renovation, the Whatcom Museum in Bellingham, the Noah's Ark at the Skirball Cultural Center in Los Angeles, and Washington State History Museum in Tacoma. Olson Sundberg Kundig Allen Architects (OSKA) has not previously worked at the UW. OSKA received the American Institute of Architect's 2009 AIA Architecture Firm Award. This annual AIA Award – often referred to as the "Firm of the Year" award - is the highest honor the national AIA bestows on an architectural firm and recognizes a practice that consistently has produced distinguished architecture for at least ten years. Tom Kundig, OSKA's lead designer for the Burke project, has undergraduate and graduate architecture degrees from the UW and received the 2008 Smithsonian Cooper-Hewitt Design Award and 2007 Academy Award in Architecture from the American Academy of Arts and Letters.

BACKGROUND:

The Burke Museum building, constructed in 1962, is a two story brick building with two mezzanines with approximately 65,000 gross square feet (GSF). This pre-design is intended to clarify the facility needs and associated options for a major expansion of the Burke Museum to address the current limitations and shortcomings of the existing building, which does not meet contemporary standards for museum environments, collections storage, or public use facilities. The pre-design will review pressing issues including, but not limited to: adequate space for collections, exhibits, and other programs; adequate climate controls to protect and preserve collections; security; public amenities (restrooms, café, shop); exhibit galleries and associated staging, production and storage areas; pre-K-grade 20 education program spaces (and associated staging, production, and storage areas); flexible public gathering and programming space(s); safe and appropriate collections work, lab, and storage spaces; and staff work and meeting spaces. The pre-design will also address infrastructure, seismic, life safety, and accessibility deficiencies in the current museum building, as well as site issues related to on-site expansion. The program includes allocation of space for: Research and Collections, Exhibits, Education, Public Programs and Events, Museum Store and Café (and other visitor amenities), Offices and Operations.

The end project will expand the Burke Museum at its present location on the northwest corner of the UW campus through renovation and addition, or demolition and new construction. The building site is identified on the University's Master Plan and encompasses the current site of the museum and adjacent spaces extending north, south, east, and west. One of the main goals of the predesign is to provide a much better definition of the needs of the Burke

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Burke Museum Project – Select Architect and Delegate Authority to Award a Design Contract

Museum and how this project would be able to meet these requirements. Some preliminary parameters have been assumed: a project budget of \$50 million; total project space needs will be between 80,000 to 120,000 GSF; and that a LEED Platinum Certification is desired.

The Burke Museum Project's new building is expected to be an architecturally noteworthy facility that makes visible the museum's core institutional values of sustainability, excellence, respect, stewardship, creativity, and community engagement. The new museum should make the museum's collections and research accessible and engaging, integrate a cross-disciplinary approach to realizing the museum's vision, and facilitate meaningful visitor engagement with exhibitions, on-site programs, public amenities, and outreach services. Additional requirements will include addressing issues of long-term flexibility in the design of galleries and public spaces, and implementing sustainable design practices that function efficiently and can be maintained with limited resources.

The renovation will include upgrading all major building systems; improving accessibility, seismic resistance, and life and safety code conditions; performing asbestos abatement; and providing updated facilities for instruction and presentation. Disability access will be improved to bring the building into current ADA compliance.

The future design and construction of the Burke Museum Project is contingent upon receiving a combination of state and private funding. The predesign document will be used in this fund raising effort. Funding of \$300,000 for the predesign is from State Building Construction funds.

VII. STANDING COMMITTEES**B. Finance, Audit & Facilities Committee****UW Medicine at South Lake Union - Phase III Option Amendment****INFORMATION**

This purpose of this presentation is to provide a status update of the University's South Lake Union Biomedical Research Project. This item is for information only.

PREVIOUS ACTIONS

- As approved by the Board of Regents on April 17, 2003, renovation of the Blue Flame Building, later renamed as the Brotman Building, was completed in 2004 and is fully occupied.
- As approved by the Board of Regents on March 17, 2005, Phase II of the Project was completed in the summer of 2008 and also is now fully occupied.
- As approved by the Board of Regents on July 19, 2007, the Phase III Option Agreement was amended to extend the exercise date from 2007 until March 15, 2010 and to extend substantially the development schedule for the site to allow for development in sub-phases that would be completed by the end of 2018 (as compared to 2013 under the original Option Agreement). The amendments also provided for preliminary planning work to be done in support of the development as a project cost to be borne by the University and an additional deferred option fee, increased over time, payable only in the event the University ultimately elects not to exercise the option.

BACKGROUND

Given changed economic conditions over the past year and an opportunity to improve the efficiency and increase the amount of any further development on the Phase III site through a change in zoning of the Phase III site, the parties have agreed to further modify the Phase III Option Agreement.

- The schedule for increases in the deferred option fee which would be payable if the University did not exercise the option has been extended.
- Additional planning work has been agreed to, both to pursue the zoning change and maintain the development schedule anticipated by the existing agreement in the event the option is exercised. The cost to be borne by the University for the additional planning work and pursuit of the zoning

VII. STANDING COMMITTEES

B. Finance, Audit & Facilities Committee

UW Medicine at South Lake Union - Phase III Option Amendment (continued p. 2)

change will be no more than \$500,000 and will be capitalized as a project cost if the option is exercised.

Both measures improve the potential value of the project and make it more attractive given that UW Medicine research programs have continued to grow at a substantial rate, leaving UW Medicine with inadequate space to accommodate that growth on an ongoing basis. Additionally, recent actions at the federal level to provide economic stimulus funding and allow federal funding for expanded stem cell research are providing major opportunities for additional biomedical research support.

REVIEW AND APPROVALS

The modifications to the Option Agreement and the required expenditure for and benefits from the additional planning costs and change in zoning have been reviewed and approved by the Chief Executive Officer of UW Medicine, the Senior Vice President, and the Director of Real Estate.

VII. STANDING COMMITTEE

B. Finance, Audit and Facilities Committee

Proposed Fiscal Year 2010 Operations and Capital Budgets and Tuition 2009-2010

RECOMMENDED ACTION

It is the recommendation of the administration that the Board of Regents, pursuant to its authority under RCW 28B.20.130, the Bylaws of the Board of Regents, and Board of Regents Standing Order No. 1, approve the Fiscal Year 2010 operating and capital budgets for the University of Washington that are presented in the following text and tables. In this action item, the Board of Regents, in its sole and independent discretion:

- Adopts the Fiscal Year 2010 operating budget;
- Establishes tuition rates for all tuition categories for the 2009-10 academic year;
- Changes selected fees for Fiscal Year 2010;
- Under existing delegated authorities, authorizes the administration to revise other academic fees for the 2009-10 academic year; the fee increases approved under this authority must be consistent with the limitations specified in the “Proposed 2009-11 Fee Increases Requiring Legislative Authorization” document that is included in Appendix 3; the Board of Regents has determined that fee increases that are consistent with these limitations are reasonable and necessary; and
- Adopts the Fiscal Year 2010 capital budget.

Financial Context for the Proposed FY 2010 Operating and Capital Budgets

The FY 2010 budget proposal that is being presented to the Board of Regents is divided into four areas:

- The three parts of the Operating Budget:
 - the Core Education Budget;
 - the Restricted Programs Budget;
 - the Academic Enhancement/Support Budget; and
- The Capital Budget.

The FY 2010 proposed operating budget is summarized in Table 1; the FY 2010 proposed capital budget is presented in Table 5. The financial context for FY 2010 is different for the Core Education, Restricted Programs, Academic Enhancement/Support, and Capital Budgets.

Core Education Budget Financial Context. The financial context for the FY 2010 Core Education Budget is primarily shaped by a number of actions that occurred in the recently completed session of the Washington State legislature. First, the UW's State General Fund allocation for FY 2010 reflects a reduction of just under \$95 million per year in state support compared to the originally adopted level of state support for FY 2009. Second, because of the magnitude of that reduction in state support for FY 2010, the legislature authorized the UW to increase undergraduate resident tuition by 14% for FY 2010. Third, the legislature extended the Board of Regents authority to set tuition for the undergraduate non-resident, graduate and professional tuition categories for another four years – through fiscal year 2013.

Restricted Operating Budget Financial Context. One of the few bright spots in the FY 2010 budget is the grant-related part of this budget. Direct expenditures on grants and contracts are projected to increase in FY 2010. The UW had been having a good year on grant and contract awards during FY 2009 even before the federal stimulus program provided a substantial increase to both the NSF and NIH research budgets. As a result, both the direct expenditures on grants and contracts and the associated indirect cost recovery are predicted to have increases in FY 2010.

Expenditures from gift and endowment budgets are predicted to decrease substantially in FY 2010. This decrease is a direct result of the change in endowment payout methodology that the Board of Regents has adopted in response to the projected returns for the Consolidated Endowment Fund during FY 2009 and over the next few years.

State restricted funds (small appropriations from the Accident Fund and the Medical Aid Fund that support certain public health-related activities as well as a small state appropriation for bio-toxin monitoring) change slightly in FY 2010 based on legislative actions.

Academic Enhancement/Support Budget Financial Context. Most of the University's Academic Enhancement/Support functions have been impacted by state and local economic conditions. Many of these functions have had to adjust their operations in both the current fiscal year and for Fiscal Year 2010. One example would be the decision that Intercollegiate Athletics has made to eliminate the men's and women's swimming programs in FY 2010. While they are having to make adjustments, the University's Academic Enhancement/Support functions have a stable outlook for FY 2010. The University's large auxiliary business enterprises (UW Medical Center, Educational Outreach, Housing and Food Services, Intercollegiate Athletics, and Parking and Transportation Services) are all financially stable and slow growth is expected in FY 2010.

Capital Budget Financial Context. The proposed UW Capital Budget for FY 2010 provides funding for a number of capital projects. For state-supported capital projects, the proposed budget reflects actions taken by the legislature in the 2009-11 state capital budget. Unfortunately, in the 2009-11 state capital budget, significantly less funding was provided for UW projects than in recent state capital budgets. The proposed UW Capital Budget for FY 2010 also includes funding for a number of capital projects that are supported by non-state funds from enterprise units, donors, indirect cost recovery, student building fee and local bonds.

Approach to FY 2010 Budget Decisions

Due to the economic circumstances that the UW faces in FY 2010, the primary focus of budget decisions is how to absorb budget reductions in a manner that is consistent with our vision and core values and that minimizes the impacts on students. The FY 2010 annual budget development process was guided by the university's vision statement and core values below:

The University of Washington educates a diverse student body to become responsible global citizens and future leaders through a challenging learning environment informed by cutting-edge scholarship.

Discovery is at the heart of our university.

We discover timely solutions to the world's most complex problems and enrich the lives of people throughout our community, the state of Washington, the nation, and the world.

The core values are:

Integrity ~ Diversity ~ Excellence ~ Collaboration ~ Innovation ~ Respect

The President and Provost described the principles that they wanted Deans, Vice Presidents, Vice Provosts and Chancellors to utilize in identifying potential budget reductions for FY 2010 in their 1/22/2009 letter to campus leaders:

As units begin to develop business plans that articulate the impact of budget reductions, it is important that we individually and collectively remain true to our vision: *Discovery is at the heart of our University*. Our shared values of *integrity, diversity, excellence, collaboration, innovation, and respect* must guide our decisions.

Keeping our vision and values in mind, we have developed the following principles, in consultation with the Board of Deans and Chancellors (BODC), the Senate Committee on Planning and Budgeting (SCPB), and the President's Cabinet, that will guide our difficult budget decisions. As a premier public research university, we must:

- Do our best to ensure access to excellence for new and continuing students.
- Promote and enable cutting-edge research and scholarship.
- Ensure that decisions that impact other programs and units are made in consultation with the impacted unit.
- Explore administrative efficiencies to allow larger cuts in these activities to preserve research and instruction.

- Use vacant positions and non-salary expenditures to achieve budget reductions and preserve existing faculty and staff. Filled tenure and tenure-track positions should not be reduced.
- Continue to invest very strategically to take advantage of opportunities that will position the UW as a world leader when the economy rebounds.

Campus units were asked to submit business plans by mid-February that were developed with consideration of these principles and that discussed how the unit would respond to an 8%, 10% or 12% reduction in central support. The business plans that were submitted to the provost were posted on the Office of Planning and Budgeting web site.

In March and April, the provost met with all Deans, Vice Presidents, Vice Provosts and Chancellors to discuss the business plans that they had prepared. After the completion of these meetings and the end of the legislative session, the Provost and President made decisions on how budget cuts that would be required to balance the FY 2010 budget would be distributed to colleges, schools, administrative units and to the UW Bothell and UW Tacoma campuses. The size and distribution of these cuts is discussed in more detail in the following section.

FY 2010 Core Education Budget Discussion

The proposed FY 2010 Core Education Budget is presented in Table 2; proposed temporary investments from fund balance are shown in Table 3. Proposed tuition increases for the 2009-10 academic year are presented in Appendix 2.

Changes in Revenues

The changes in revenues supporting the FY 2010 Core Education Budget are summarized below:

Revenue Source	FY 2009 Adopted	FY 2010 Proposed	Change
State General Fund	401,707,000	320,627,000	(81,080,000)
Tuition Operating Fee	295,500,000	330,558,000	35,058,000
Designated Operating Fund	57,215,000	55,502,000	(1,713,000)
Subtotal: Ongoing Core Ed Revenues	754,422,000	706,687,000	(47,735,000)
Use of Fund Balance for Temp Exp	3,000,000	11,000,000	8,000,000
TOTAL REVENUES	757,422,000	717,687,000	(39,735,000)

Comments on Changes in Revenues

Budgeted ongoing revenues in the proposed FY 2010 Core Education Budget are \$47,735,000 (6.3%) lower than budgeted revenues that supported this budget in FY 2009. State General Fund revenues (which in this presentation also include Education Legacy Trust Account and General Fund-Federal Stimulus appropriations) decrease by \$81,080,000 and Designated Operating Fund revenues decrease by \$1,713,000. These decreases in ongoing revenues are partially offset by an increase in tuition operating fee revenue. In the proposed FY 2010 budget, \$11,000,000 in fund balance is used for temporary expenditures. These proposed changes in revenues for FY 2010 are discussed below.

State General Fund. State General Fund revenue for FY 2010 reflects actions taken by the state legislature when it adopted the 2009-11 state operating budget. State General Fund support for FY 2010 decreased by \$81,080,000 compared to the level of support in adopted FY 2009 budget.

Note: cross-referencing numbers between the state biennial budget and the UW budget is a complicated endeavor. The actual State General Fund budget cut for FY 2010 (after factoring out federal stimulus funds) for the UW in the 2009-11 State Operating Budget was \$94,724,000. The change in State General Fund in the table above is a lower figure than that because the State budget also provided additional State support to the UW in FY 2010 – primarily a large allocation to restore a temporary reduction in benefits expenses that was implemented for FY 2009, but some other smaller allocations as well.

Tuition Operating Fee. The proposed FY 2010 Core Education Budget assumes that the proposed tuition increases for the 2009-10 academic year shown in Appendix 2 are adopted. Appendix 2 shows the proposed tuition change for all tuition categories for the 2009-10

academic year; for informational purposes, both the percentage increase and the dollar increase recommended for each tuition category are shown. Appendix 2 also shows how the proposed tuition and fees for the university would compare to the projected 2009-10 academic year tuition and fee rates for the Higher Education Coordinating Board 24 Comparison Group.

In the 2009-11 state operating budget bill, the legislature limited the amount that undergraduate resident tuition could be increased for the 2009-10 academic year to a 14% increase. In the 2009 legislative session, the legislature passed Substitute Senate Bill 5734 which grants the Board of Regents authority to set tuition for the undergraduate non-resident, and for all graduate and professional tuition categories for the 2009-10 academic year through the 2012-13 academic year.

For the 2009-10 academic year, the administration is recommending that:

Undergraduate resident tuition be increased by 14% (an increase of \$875);

Undergraduate non-resident tuition be increased by 5% (an increase of \$1,133);

Both resident and non-resident tuition for Graduate Tier 1 be increased by 7%;

The tuition differential between Graduate Tier II and Graduate Tier I remain at \$500 (for both the resident and non-resident categories);

The tuition differential between Graduate Tier III and Graduate Tier II remain at \$500 (for both the resident and non-resident categories);

Both resident and non-resident tuition for Pharm.D., Medicine and Dentistry be increased by 10 percent;

Both resident and non-resident tuition for Law be increased by 14 percent;

Both the resident and the non-resident tuition for incoming students in Public Affairs Master categories be increased by 10% (this is a program that has a cohort-based tuition model; as a result, there is no tuition increase for continuing students);

At UW Seattle, both resident and the non-resident tuition for the Master of Nursing/Doctor of Nursing Practice category increase by 14%;

The tuition for the Master of Nursing programs at UW Bothell and UW Tacoma continue to be set at the Graduate Tier 2 level;

At UW Seattle, both resident and non-resident tuition for incoming students in the Business Administration Master category increase by 10% (this is a program that has a cohort-based tuition model; as a result, there is no tuition increase for continuing students);

At UW Bothell, both resident and non-resident tuition for incoming students in the Business Administration Master category increase by 7% (this is a program that has a cohort-based tuition model; as a result, there is no tuition increase for continuing students);

At UW Tacoma, both resident and non-resident tuition for the Business Administration Master category increase by 7%;

A new tuition category be established for selected Master degree programs in the College of Built Environments (Master degree programs in Architecture, Landscape Architecture and Urban Planning would be in this new category);

A new tuition category be established for the Master of Library and Information Science degree program;

Tuition charges for post-baccalaureate and non-matriculated students be adjusted consistent with the policy that has been used for tuition for these students since these tuition categories were established. Under this policy, post-baccalaureate and non-matriculated students taking undergraduate courses pay at the resident or non-resident undergraduate tuition rate established for that academic year; post-baccalaureate and non-matriculated students taking one or more graduate courses pay at the resident or non-resident Graduate Tier III tuition rate established for that academic year;

Master and Ph.D. students in the College of Engineering (both resident and non-resident) be charged at the Graduate Tier 3 rate; these students are currently charged at the Graduate Tier 1 rate;

Master of Science and Ph.D. students in the School of Nursing (both resident and non-resident) be charged at the Graduate Tier 3 rate; these students are currently charged at the Graduate Tier 1 rate.

Designated Operating Fund. The Designated Operating Fund revenues that support the Core Education Budget are shown in the table below:

Revenue Source	FY 2009 Adopted	FY 2010 Proposed	Change
Summer Quarter Tuition	27,270,000	29,997,000	2,727,000
Investment Income	20,000,000	15,000,000	(5,000,000)
Miscellaneous Fees	4,800,000	5,595,000	795,000
UWB & UWT Admin Overhead	4,490,000	4,255,000	(235,000)
Administrative Allowances	655,000	655,000	0
TOTAL REVENUES	57,215,000	55,502,000	(1,713,000)

Summer Quarter tuition revenue is projected to increase by \$2,727,000 for FY 2010 due to increased tuition rates. The investment income revenue estimate for FY 2010 is decreased by \$5,000,000 over the FY 2009 level. We expect that because of continued uncertainty and

volatility in the financial markets, investment income in FY 2010 will be somewhat below historical levels. Overhead revenue from the UW/Bothell and UW/Tacoma campuses is projected to decrease by \$235,000. This overhead calculation is based on the size of the core education budget at the UW Bothell and UW Tacoma campuses – and as these budgets are decreasing due to budget cuts, the overhead assessment that each campus pays decreases slightly in FY 2010. The expected revenue from administrative allowances related to certain financial aid programs is not anticipated to increase for FY 2010.

The “miscellaneous fees” category is projected to increase by \$795,000 due to increases in three fees:

A proposed increase for the undergraduate application fee from \$50 to \$60 for US students and from \$50 to \$75 dollars for international students;

A proposed increase for the graduate application fee from \$50 to \$65; and

A proposed increase for the transcript fee from \$4 to \$9.

At the proposed levels, all three of these fees are below the average of peer institutions.

Use of Fund Balance. The proposed FY 2010 budget uses \$11 million of fund balance: \$1 million in support for the Chief Investment Office Pilot Project (the final year of a five-year commitment) and \$10 million that will be used to bridge the impact of budget reductions on our academic mission.

FY 2010 Core Education Budget Allocations

The new allocations in the FY 2010 Core Education Budget are summarized below:

<u>Core Education Budget</u>	<u>FY 2010 Proposed</u>
<u>UW Seattle Budget Reductions:</u>	
FY 2009 Reductions - Academic Units	(2,775,000)
FY 2009 Reductions - Administrative Units	(2,713,000)
FY 2010 Reductions - Academic Units	(35,922,000)
FY 2010 Reductions - Administrative Units	(26,187,000)
FY 2010 Reductions - Eliminate Equipment Allocation	(4,000,000)
Subtotal for UW Seattle Budget Reductions	(71,597,000)
<u>UW Bothell Budget Reductions</u>	
FY 2009 Reductions	(822,000)
FY 2010 Reductions	(3,117,000)
Subtotal for UW Bothell Budget Reductions	(3,939,000)
<u>UW Tacoma Budget Reductions</u>	
FY 2009 Reductions	(1,057,000)
FY 2010 Reductions	(3,994,000)
Subtotal for UW Tacoma Budget Reductions	(5,051,000)
 TOTAL BUDGET REDUCTIONS	 (80,587,000)
<u>Compensation Adjustments</u>	
Restoration of temporary benefit reduction of FY2009	17,356,000
Other Benefit Budget Adjustments	582,000
TA/RA Salary Increase (2.5%)	664,000
Faculty Promotions	650,000
Subtotal	19,252,000
<u>Other Adjustments</u>	
Required Cost Increases/Adjustments	10,409,000
Legislative Actions	3,893,000
	14,302,000
 <u>Use of Fund Balance</u>	 11,000,000

Comments on FY 2010 Core Education Budget Allocations

The incremental FY 2010 Core Education Budget changes are listed in the table above and are discussed in more detail below. The base budget against which these changes are applied is the Regents adopted budget for FY 2009. Because of this, in the budget reductions sections below, both budget reductions that were implemented in FY 2009 after the Board of Regents adopted the budget and budget reductions that will need to be implemented in FY 2010 are shown.

UW Seattle Budget Reductions. The total budget reduction for the UW Seattle campus is \$71,597,000. Of this amount, \$5,488,000 has already been implemented in FY 2009. In FY 2009, academic units budgets were cut by 0.75% and administrative unit budgets were cut by 1.5%; the total FY 2009 cut for academic units, including UW Medical Center and Harborview Medical Center was \$2,775,000 and for administrative units was \$2,713,000.

In FY 2010, budget cuts range from 9% to 14% for academic units. The specific budget cut levels are as follows:

9.0% cut: College of Arts and Sciences;

9.5% cut: Business School, College of Engineering, School of Medicine, Medical Affairs, School of Public Health, and the academic support allocation that is provided to UW Medical Center and Harborview Medical Center;

10% cut: School of Dentistry, School of Nursing, and School of Pharmacy;

11% cut: College of Built Environments, College of Education, College of the Environment, College of Forest Resources, College of Ocean and Fisheries Sciences, School of Social Work, and Undergraduate Academic Affairs;

12% cut: Educational Outreach, Evans School of Public Affairs, Information School and Law School;

14% cut: Graduate School.

As is discussed in one of the sections that follows, in FY 2010 the administration is proposing that for academic units the budget cuts listed above be partially offset for one year through an allocation from fund balance. With this one year allocation, the effective budget cut rate for academic units for FY 2010 range from 5% - 9%.

In FY 2010, the budget cuts range from 8% to 16% for administrative units. The specific budget cut levels are as follows:

8% cut: Office of Research;

10% cut: UW Technology;

11% cut: University Advancement;

12% cut: University Libraries, Student Life, and Minority Affairs;

15% cut: Health Sciences Administration and Office of Technology Transfer;

16% cut: Attorney General's Office, External Affairs, Human Resources, Planning and Budgeting, President's Office, Provost's Office, Office of Information Management, and Finance and Facilities.

In addition to the budget cuts discussed above, the \$4,000,000 equipment allocation that has been distributed annually to colleges, schools and administrative units is eliminated.

UW Bothell Budget Reductions. The total budget reduction for the UW Bothell campus is \$3,939,000. Of this amount, \$822,000 was implemented in FY 2009 and \$3,117,000 will be implemented in FY 2010. The Chancellor's Office is conducting a process at the UW Bothell campus to determine how these cuts will be distributed between academic and administrative functions.

UW Tacoma Budget Reductions. The total budget reduction for the UW Tacoma campus is \$5,051,000. Of this amount, \$1,057,000 was implemented in FY 2009 and \$3,994,000 will be implemented in FY 2010. The Chancellor's Office is conducting a process at the UW Tacoma campus to determine how these cuts will be distributed between academic and administrative functions.

Compensation Adjustments. The large change in this category, an increase of \$17,356,000, is a restoration of a temporary reduction in benefits costs that the legislature implemented in the FY 2009 budget. As the governor and the legislature constructed the FY 2010 budget, funding to restore this temporary reduction was provided in FY 2010.

Only two pay increase allocations are made in this proposed budget. First, \$650,000 is provided for faculty promotions. Secondly, the University has a contractual commitment to provide teaching and research assistants a 2.5% pay increase in FY 2010.

Other Adjustments. This category includes \$14,302,000 in adjustments in the FY 2010 budget. Of that total, \$3,893,000 is associated with specific legislative budget allocations and \$10,409,000 is associated with changes in various institutional budgets. Details of the specific allocations for both of these subcategories are provided in Appendix 1 and are discussed below.

There were a number of specific budget actions that the State legislature took in the 2009-11 state operating budget. These actions are: an allocation of \$2,175,000 of additional support for the School of Medicine's WWAMI program and the School of Dentistry's RIDE program in Spokane; an allocation of \$218,000 for operations and maintenance costs for the Assembly Hall Building at UW Tacoma; an allocation of \$150,000 to the Ruckelshaus Center for work with the Nurse Staffing Committee; an allocation of \$50,000 to the Center for International Trade in Forest Products.

In addition to the allocations specified in the previous paragraph, the 2009-11 state budget required that a portion of the revenue associated with undergraduate resident tuition increases greater than 7% be set aside for additional financial aid for undergraduate resident students. The proposed tuition increase for undergraduate resident students in this budget proposal is 14% - and under the legislative language, this would require that \$1.3 million be set aside for additional financial aid for undergraduate resident students.

FY 2010 changes for various institutional budgets are shown in Appendix 1. “Institutional” budgets include cost changes for utilities, property rentals, risk management, debt service and other institutional budgets. In FY 2010, \$2,860,000 is provided for increased utility costs, \$971,000 is provided for increased risk management/insurance costs, \$347,000 is provided for increased debt service costs and \$1,400,000 is provided for UW Tower operations costs. The allocation of FY 2010 tuition increase revenue to the UW Bothell campus (\$1,531,000) and the UW Tacoma campus (\$1,962,000) is also reflected in this category.

Use of Fund Balance for Temporary Expenditures. The proposed budget for FY 2010 includes a temporary allocation of \$11,000,000 of fund balance to support two activities, shown in Table 3. A number of years ago, the Board of Regents made a commitment to provide \$1,000,000 per year for five years to support the Chief Investment Office Pilot Project; FY 2010 is the final year of that five-year commitment.

As part of the FY 2010 budget, the President and Provost are proposing that \$10 million in fund balance be used to bridge the impact of budget reductions on our academic mission. This one-time allocation will be differentially allocated across academic units based on a weighted calculation of the student credit hours taught at the lower division, upper division and graduate level. Deans will be directed to utilize this temporary allocation to fund teaching assistants, lecturers, and other academic positions that would have otherwise been eliminated in FY 2010. This one-time allocation will give academic units some additional time to transition to a lower permanent funding level.

Increases in Financial Aid Allocations for FY 2010

The level of financial aid that the university can offer to students is an important component of its ability to attract a diverse and excellent student body. The proposed FY 2010 Core Education Budget increases financial aid allocations for both undergraduate and graduate/professional students by providing both additional financial aid grants and additional tuition waivers. The total amount of financial aid provided from these two sources and the incremental increase in the FY 2010 budget are shown in the table below:

Increases in Financial Aid Allocations for FY 2010

(Based on the Application of Current Financial Aid Policy and the Tuition Increases in the Proposed FY 2010 Budget)

	FY 2009 Adopted	FY 2010 Proposed	Difference
Undergraduate Merit/Need Waivers	\$8,136,000	\$9,039,600	\$903,600
<u>Undergraduate Financial Aid Grants</u>	<u>\$8,300,600</u>	<u>\$9,090,200</u>	<u>\$789,600</u>
Subtotal: Undergraduate Merit/Need Waivers & Grants	\$16,436,600	\$18,129,800	\$1,693,200
Graduate Merit/Need Waivers	\$3,917,000	\$4,352,400	\$435,400
<u>Graduate Financial Aid Grants</u>	<u>\$3,557,400</u>	<u>\$3,895,800</u>	<u>\$338,400</u>
Subtotal: Graduate Merit/Need Waivers & Grants	\$7,474,400	\$8,248,200	\$773,800
TA/RA Tuition Waivers	\$49,760,000	\$53,204,000	\$3,444,000
<u>Other Tuition Waivers</u>	<u>\$12,414,000</u>	<u>\$13,531,000</u>	<u>\$1,117,000</u>
Subtotal: Teaching/Research & Other Tuition Waivers	\$62,174,000	\$66,735,000	\$4,561,000
Total All Waivers	\$86,085,000	\$93,113,000	\$7,028,000

The UW's current financial aid policy of utilizing 3.5 percent of tuition operating fee collections for financial aid grants and providing merit/need tuition waivers equal to 4 percent of tuition costs will provide \$1,693,200 in additional financial aid resources to needy undergraduate resident students in the 2009-10 academic year.

The 14 percent increase in the undergraduate resident tuition for the 2009-10 academic year will generate \$2,200,000 in additional unfunded need for undergraduate students in the 2009-10 academic year. The Regents have adopted a policy of meeting at least 55 percent of this additional need through financial aid grants and tuition waivers. To meet this policy goal next year given a 14 percent increase in undergraduate resident tuition, \$1,210,000 in additional grant and tuition waiver financial aid has to be made available to undergraduate resident students next year. The increase of \$1,693,200 in financial aid grants and tuition waivers available for undergraduate students in FY 2010 exceeds the commitment made by the Regents by \$483,200. Note that these figures do not include an additional \$1,300,000 in financial aid grants that will be provided to needy undergraduate resident students as a result of the legislative requirement to utilize a portion of the tuition revenue generated by the undergraduate resident tuition increase for financial aid grants.

Given the proposed tuition levels for the 2009-10 academic year, graduate appointees qualifying for the non-resident tuition differential waiver and for the operating fee tuition waiver will have \$3,444,000 in additional tuition waiver benefits in FY 2010. In addition, the UW's current financial aid policy of utilizing 3.5 percent of tuition operating fee collections for financial aid grants and providing merit/need tuition waivers equal to 4 percent of tuition will make \$773,800 of additional financial aid available to graduate and professional students in the 2009-10 academic year.

FY 2010 Restricted Operating Budget Discussion

The proposed FY 2010 Restricted Programs Budget is presented in Table 4.

Changes in Revenues

The changes in revenues supporting the FY 2010 Restricted Programs Budget are summarized below:

Revenue Source	FY 2009 Adopted	FY 2010 Proposed	Change
Grant and Contract Direct Costs	832,402,000	898,994,000	66,592,000
Grant and Contract Indirect Costs	203,000,000	211,000,000	8,000,000
Gifts	130,485,000	84,815,000	(45,670,000)
State Restricted Funds	6,742,000	6,855,000	113,000
TOTAL REVENUES	1,172,629,000	1,201,664,000	29,035,000

Comments on Changes in Revenues

Budgeted revenues in the proposed FY 2010 Restricted Programs Budget increase by \$29,035,000 over the FY 2009 budgeted level: grant and contract direct cost increases by \$66,592,000; grant and contract indirect cost increases by \$8,000,000; gift and endowment revenue is projected to decrease by \$45,670,000; and State Restricted Funds increase by \$113,000. These proposed changes in revenues are discussed below.

Grant and Contract Direct Cost. Direct costs for grants and contracts are projected to increase by 8 percent in FY 2010 – an increase of \$66,592,000 over the current fiscal year. Even prior to seeing the effects of any federal stimulus related grants, grant and contract awards in FY 2009 were up substantially.

Grant and Contract Indirect Cost. As direct costs for grants and contracts are projected to be slightly higher in FY 2010 than in FY 2009, and as new indirect cost rates are still phasing in, grant and contract indirect cost recovery is expected to increase by \$8,000,000 - an increase of 4 percent over the budgeted level for FY 2009.

Gifts. Revenues to gift and endowment spending accounts are projected to decrease by \$45,670,000 in FY 2010. This decrease is a direct result of the change in endowment payout methodology that the Board of Regents has adopted in response to the projected returns for the Consolidated Endowment Fund during FY 2009 and over the next few years.

State Restricted Funds. The School of Public Health receives a small amount of appropriated state funding from the Accident Account and the Medical Aid Account for specific activities performed by the Department of Environmental Health. In addition, there is a small appropriation from the Biotoxin Account that is part of this category. Changes in revenues for

FY 2010 (an increase of \$113,000 over FY 2009) for these State Restricted Funds simply reflect changes in state appropriations.

FY 2010 Restricted Programs Budget Allocations

The discussion in this section is limited to how the administration proposes to allocate additional indirect cost recovery resources that are anticipated in FY 2010. Expenditures for grant and contract direct cost, almost all gifts, and state restricted funds budgets can only be used for the purposes specified by the granting agency, donor or legislature. Thus, annual expenditures for these areas are assumed to be equal to budgeted levels. The university does have discretion over how indirect cost recovery revenues are allocated.

The new allocations in FY 2010 supported by indirect cost recovery resources are summarized below.

<u>Restricted Budget - Indirect Cost Recovery</u>	<u>FY 2010 Proposed</u>
<u>Compensation Adjustments</u>	
Restoration of temporary benefit reduction of FY2009	1,083,000
<u>Investments in Research Excellence</u>	
Research Cost Recovery Allocation Change	3,558,000
Dedicated Indirect Cost Recovery Changes	2,000,000
Subtotal	5,558,000
<u>Required Cost Increases/Adjustments</u>	1,659,000

Comments on FY 2010 Indirect Cost Recovery Budget Allocations

Compensation Adjustments. The change in this category, an increase of \$1,083,000, is a restoration of a temporary reduction in benefits costs that the legislature implemented in the FY 2009 budget.

Investments in Research Excellence. By policy, the university allocates the portion of indirect cost recovery revenues that is associated with college/school grant administration back to the colleges/schools based on their actual grant activity in the prior fiscal year. This allocation is called the “research cost recovery” allocation and it is increased by \$3,558,000 in FY 2010 in order to get the budgeted level equal to the actual research cost recovery allocation for FY 2009.

Annual adjustments to certain budgets that are dedicated to specific purposes, such as paying for the operations and maintenance costs of particular buildings (South Lake Union buildings, Harborview Research and Training, other Harborview research space, etc.), are also included in this category. As research activity at the South Lake Union 2 building has been ramping up, the allocation of revenue to support operations and maintenance of that building has been increased.

Required Cost Increases. The indirect cost recovery budget picks up a share of estimated cost increases for utilities, risk management and for other critical institutional investments approved by the President and Provost – these allocations are shown in Appendix 1.

FY 2010 Academic Enhancement/Support Budget Discussion

There are four areas included in the Academic Enhancement/Support Budget: UW Medical Center, auxiliary enterprises, auxiliary educational activities, and institutional overhead activities that support the other functions. Auxiliary enterprises include: Housing and Food Services, Intercollegiate Athletics, Parking, internal service units (Stores, Motor Pool, Publication Services, etc.), Student Government, Recreational Sports, and miscellaneous other activities. Auxiliary educational activities include: continuing education, conferences, the medical resident program, the WWAMI Program in the School of Medicine, and miscellaneous activities. The University charges institutional overhead to all of these activities to recover the cost of central services utilized by these academic enhancement/support activities.

The projected changes in revenue for academic enhancement/support activity are shown in the table below:

Revenue Source	FY 2009 Adopted	FY 2010 Proposed	Change
UW Medical Center	637,798,000	663,310,000	25,512,000
Auxiliary Enterprises	317,112,000	329,796,000	12,684,000
Auxiliary Educational Activities	190,161,000	197,767,000	7,606,000
Institutional Overhead	15,268,000	15,768,000	500,000
TOTAL REVENUES	1,160,339,000	1,206,641,000	46,302,000

Based on financial results over the last few years, inflationary increases in revenues have been projected for FY 2010 for UW Medical Center, auxiliary enterprise, and auxiliary educational activities. The projected increase in institutional overhead revenue is based on both actual collections in the current fiscal year and projected revenue increases for those units that pay institutional overhead.

With the exception of institutional overhead resources, the Academic Enhancement/Support Budget resources can only be spent for specified purposes and annual expenditures are assumed to be equal to budgeted levels.

Initiative 960 Tuition and Fee Increase Process

Initiative 960 was passed by the voters of the State of Washington in November 2007. Under Initiative 960, all state agency fee increases (including tuition) must receive legislative approval prior to implementation. The state legislature has chosen to utilize a two-step process for approving fee increases. First, as part of the normal legislative budget process, state agencies submit information about expected increases for various categories of fees that have been defined by the State Office of Financial Management and the state legislature. In July 2008 as part of their approval of the University of Washington's 2009-11 state operating and capital budget request, the Board of Regents approved a framework that set limits on increases for various academic fee categories for Fiscal Year 2010. The "Proposed 2009-11 Fee Increases Requiring Legislative Authorization" document that the University of Washington submitted to the state legislature during the 2009 legislative session is shown in Appendix 3.

The legislative approval for fee increases is included in Section 603 of the 2009-11 state biennial operating budget. The language in this section sets a specific increase level for undergraduate resident tuition. For all other fee increase categories, the Board of Regents is authorized to increase fees "by amounts judged reasonable and necessary by the governing board." For many fees (tuition rates for the state-subsidized academic program, services and activities fees, etc.), the Board of Regents specifically approves fee increases. For fee increases that are implemented under authority that the Board of Regents has delegated to the president and provost, the Board of Regents determines (as part of their action in adopting the Fiscal Year 2010 UW budget) that fee increases that are consistent with the limitations specified in Appendix 3 are reasonable and necessary.

Fiscal Year 2010 Capital Budget Discussion

The proposed FY 2010 Capital Budget is presented in Table 5 and includes approval of \$65,500,000 in state capital funding appropriated in the 2009 legislative session. The FY 2010 Capital Budget also includes \$501,976,013 in non-state funds from the UW building account, indirect cost recovery, enterprise unit funds, unrestricted operating funds, UW local bonds, and federal grants, for a total of \$567,476,013 in capital funds for FY 2010 from both state and non-state sources. There is only one numerical change in the capital budget numbers compared to those that were in the May Regents Information Item. The budget for the Molecular Engineering building was adjusted by \$10,400,000 to account for the likely receipt of a National Institute of Standards and Technology grant in support of construction of the building, and decreased by \$54,000 as a result of a technical adjustment to the figures.

Note that in the display of the capital budget, dollar amounts are shown in the year in which the commitment to proceed with a project is made.

In the revenue section of Table 5, debt-funded projects are shown by funding category (i.e. the funding source for debt service). For the debt-funded projects, the payment source for debt service is shown in the table below:

Project Name	Debt Payment Source	Debt Funds
Molecular Engineering Building Phase I – C	Building Fee and Indirect Cost Recovery	73,500,000
Student Housing - New Residence Hall Ph I	Student Housing Fees	158,300,000
HUB Renovation and Expansion	Student Activities Fee	124,964,000
Hall Health Remodel	Student Activities Fee	9,700,000
Ethnic Cultural Center Expansion	Student Activities Fee	14,550,000
		381,014,000

State Funding for Major Projects

State funding of \$34 million was appropriated for a portion of UW Tacoma Phase 3 (the renovation of the Joy Building), \$5 million for the design phase for UW Bothell Phase 3, and predesign funding for two Seattle campus projects - \$200,000 for the Anderson Hall renovation and \$300,000 for the House of Knowledge Longhouse. Taken together, these allocations of state funding for major projects total \$39,500,000. In the state capital budgeting framework, a “major” project is one with a total cost of \$5,000,000 or more.

Note that as is listed in the initial paragraph of this section, the total state capital funding appropriated for the UW in the 2009 legislative session is \$65,500,000. In addition to the \$39,500,000 in state funding that was appropriated for major projects, \$26,000,000 in state funding was appropriated to support “minor works” projects – these are a variety of smaller building renovation and renewal projects (roof replacements, elevator replacements, electrical transformer upgrades, minor reconfigurations of space, etc.).

Program and Infrastructure Investments

The Molecular Engineering Building construction will be funded from \$73,500,000 of UW revenue bonds. The Legislature authorized the repayment of debt service for \$53.5 million from the UW building account and debt service for the remaining \$20 million will be paid from indirect cost recovery.

Funding of \$8 million for the Safe Campus Fire Alarm Replacement project was appropriated from the UW building account.

Federal Funds

Federal grants for major projects totaling \$68.4 million will be requested this summer. They include \$10.4 million for Molecular Engineering Building, \$15 million for Primate Center facilities improvements, \$15 million for animal facilities in the HSC, \$15 million for the renovation of J-Wing for Microbiology, \$6 million for the Guthrie Hall renovation for Psychology and \$7 million for necessary improvements to biosafety level three laboratories in the health sciences.

Major Projects for Seattle Campus Students

The first phase of the Student Housing project will construct new residence halls in the west campus for an estimated cost of \$158,300,000. The debt for this housing will be repaid from student housing revenue and central university funds.

Three projects are proposed to be funded from debt to be repaid from increases in student fees - the HUB renovation and expansion, the Hall Health remodel and the Ethnic Cultural Center Expansion.

Minor Works

In addition to the major projects described above, in FY 2010, \$34,175,000 is appropriated for facilities preservation, safety and utilities improvements, and \$5,000,000 for facilities modernization. An estimated \$13,037,000 of non-local funds is provided for facilities modernization.

Table 1
University of Washington Fiscal Year 2010 Proposed Operating Budget

Budget Category	FY 2009 Adopted	FY 2010 Proposed
CORE EDUCATION BUDGET		
Core Education Budget		
Revenues		
State General Fund	401,707,000	320,627,000
Tuition Operating Fee	295,500,000	330,558,000
Designated Operating Fund	57,215,000	55,502,000
Subtotal: Ongoing Core Education Revenues	754,422,000	706,687,000
Use of Fund Balance for Temporary Expenditures	3,000,000	11,000,000
Total Revenues	757,422,000	717,687,000
Expenditures		
Ongoing Core Education Expenditures	754,422,000	706,687,000
One-time/temporary Expenditures	3,000,000	11,000,000
Total Expenditures	757,422,000	717,687,000
RESTRICTED OPERATING BUDGET		
Revenues		
Grant and Contract Direct Costs	832,402,000	898,994,000
Grant and Contract Indirect Costs	203,000,000	211,000,000
Gifts	130,485,000	84,815,000
State Restricted Funds	6,742,000	6,855,000
Total Revenues	1,172,629,000	1,201,664,000
Expenditures	1,172,629,000	1,201,664,000
ACADEMIC ENHANCEMENT/SUPPORT BUDGET		
Revenues		
UW Medical Center	637,798,000	663,310,000
Auxiliary Enterprises	317,112,000	329,796,000
Auxiliary Educational Activities	190,161,000	197,767,000
Institutional Overhead	15,268,000	15,768,000
Total Revenues	1,160,339,000	1,206,641,000
Expenditures	1,160,339,000	1,206,641,000
TOTAL OPERATING BUDGET		
Revenues	3,090,390,000	3,125,992,000
Expenditures	3,090,390,000	3,125,992,000

Table 2
Proposed Budget
Core Education Operating Budget for Fiscal Year 2010

	FY 2009 Adopted	FY 2010 Proposed	Comment
<u>REVENUES</u>			
State General Fund	401,707,000	320,627,000	
Tuition Operating Fee	295,500,000	330,558,000	
Designated Operating Fund	57,215,000	55,502,000	
Subtotal: Ongoing Core Ed Revenues	754,422,000	706,687,000	
Use of Fund Balance for Temporary Expenditures	3,000,000	11,000,000	
TOTAL REVENUES	757,422,000	717,687,000	
<u>EXPENDITURES</u>			
<u>Adjusted Base Budget</u>	757,422,000	753,720,000	
<u>UW Seattle Budget Reductions:</u>			
FY2009 Reductions - Academic Units		(2,775,000)	
FY 2009 Reductions - Administrative Units		(2,713,000)	
FY 2010 Reductions - Academic Units		(35,922,000)	
FY 2010 Reductions - Administrative Units		(26,187,000)	
FY 2010 Reductions - Eliminate Equipment Allocation		(4,000,000)	
Subtotal for UW Seattle Budget Reductions		(71,597,000)	
<u>UW Bothell Budget Reductions</u>			
FY 2009 Reductions		(822,000)	
FY 2010 Reductions		(3,117,000)	
Subtotal for UW Bothell Budget Reductions		(3,939,000)	
<u>UW Tacoma Budget Reductions</u>			
FY 2009 Reductions		(1,057,000)	
FY 2010 Reductions		(3,994,000)	
Subtotal for UW Tacoma Budget Reductions		(5,051,000)	
TOTAL BUDGET REDUCTIONS		(80,587,000)	
<u>Compensation Adjustments</u>			
Restoration of temporary benefit reduction of FY2009		17,356,000	
Other Benefit Budget Adjustments		582,000	
TA/RA Salary Increase (2.5%)		664,000	
Faculty Promotions		650,000	
Subtotal		19,252,000	
<u>Other Adjustments</u>			
Required Cost Increases/Adjustments		10,409,000	See Appendix 1
Legislative Actions		3,893,000	See Appendix 1
Subtotal		14,302,000	
SUBTOTAL: CORE EDUCATION EXPENDITURES		706,687,000	
<u>Use of Fund Balance</u>		11,000,000	See Table 3
TOTAL EXPENDITURES		717,687,000	

Table 3
Core Education Budget
Proposed Temporary Investments from Fund Balance for Fiscal Year 2010

Temporary Investments	FY 2010 Proposed	Comments
Chief Investment Office Pilot Project	1,000,000	<i>Final year of five-year commitment</i>
One-time Funding for Academic Programs	10,000,000	<i>Bridge funding for FY2010 reduction</i>
TOTAL EXPENDITURES	11,000,000	

Table 4
Proposed Budget
Restricted Programs Budget for Fiscal Year 2010

	FY 2009 Adopted	FY 2010 Proposed	Comments
<u>REVENUES</u>			
Grant and Contract Direct Cost	832,402,000	898,994,000	
Grant and Contract Indirect Cost	203,000,000	211,000,000	
Gifts	130,485,000	84,815,000	
State Restricted Funds	6,742,000	6,855,000	
TOTAL REVENUES	1,172,629,000	1,201,664,000	
<u>EXPENDITURES</u>			
Grant and Contract Direct Cost	832,402,000	898,994,000	
Gifts	130,485,000	84,815,000	
State Restricted Funds	6,742,000	6,855,000	
Subtotal	969,629,000	990,664,000	
<u>Indirect Cost Recovery:</u>			
<u>Adjusted Base ICR Budget</u>	203,000,000	202,700,000	
<u>Compensation Adjustments</u>			
Restoration of temporary benefit reduction of FY2009		1,083,000	
<u>Investments in Research Excellence</u>			
Research Cost Recovery Allocation Change		3,558,000	<i>See Appendix 1</i>
Dedicated Indirect Cost Recovery Changes		2,000,000	<i>See Appendix 1</i>
Subtotal		5,558,000	
<u>Required Cost Increases/Adjustments</u>		1,659,000	<i>See Appendix 1</i>
TOTAL INDIRECT COST RECOVERY BUDGET		211,000,000	
TOTAL EXPENDITURES		1,201,664,000	

Table 5
Proposed Capital Budget for Fiscal Year 2010

FY 2010 Capital Budget Proposal	FY 2010 Proposed	FY 2011 Planned
REVENUE		
<u>Funding for FY 2009 Projects</u>		
Projects Approved in FY 2009	356,836,123	
Substantially Completed Projects	21,238,000	
Previously Approved Continuing Projects	335,598,123	
<u>Funding for FY 2010 and 2011 Projects</u>		
State Funds	65,500,000	
Non-State Funds		
UW Building Account - Local Funds	34,087,500	12,912,500
Indirect Cost Recovery - Local Funds	10,000,000	10,000,000
Enterprise Unit Funds	5,437,513	
Transfer from Unrestricted Local Funds	3,037,000	3,037,000
UW Debt (Internal Lending Program)	381,014,000	
Projects Funded by Student Housing Fees	158,300,000	
Projects Funded by Student Activity Fee	149,214,000	
Projects Funded by Student Building Fee	53,500,000	
Projects Funded by Indirect Cost Recovery	20,000,000	
Federal Grants	68,400,000	
Subtotal, Non-State Funds	501,976,013	25,949,500
Total, New Funds	567,476,013	
TOTAL REVENUE	903,074,136	25,949,500
<u>EXPENDITURE COMMITMENTS</u>		
Previously Approved Continuing Projects	335,598,123	
<u>Proposed FY 2010 Projects</u>		
Major Projects		
Anderson Hall - P/D	200,000	
House of Knowledge Longhouse - P/D	300,000	
Molecular Engineering Building Phase I - C (ICR)	83,900,000	
Safe Campus	8,000,000	
Tacoma Phase 3 - C	34,000,000	
Bothell Phase 3 - P	5,000,000	
Animal Facilities Improvements (ICR)	30,000,000	
MHSc Center J-1/J-2 Microbiology Renovation	15,000,000	
Guthrie Hall Renovation	6,000,000	
BSL-3 Labs Renovation	7,000,000	
Student Housing - New Residence Hall Ph I (Housing)	158,300,000	
HUB Renovation and Expansion (SAF)	128,300,000	
Hall Health Remodel (SAF)	10,851,513	
Ethnic Cultural Center Expansion (SAF)	15,500,000	
Subtotal, Major Projects	502,351,513	
Minor Projects		
Minor Works - Facility Preservation	34,175,000	
Minor Works - Program	18,037,000	13,037,000
Subtotal, Minor Projects	52,212,000	13,037,000
Preventative Facility Maintenance and Building System Repairs	12,912,500	12,912,500
Total, New Projects for FY 10 and FY 11	567,476,013	25,949,500
TOTAL EXPENDITURE COMMITMENTS	903,074,136	25,949,500
P - Planning, D-Design, C-Construction		

Appendix 1
REQUIRED COST INCREASES/ADJUSTMENTS

Item	FY 2010 Change	FY 2010 Amount to Core Educ.	FY 2010 Amount to ICR
<u>Institutional Budgets</u>			
Utilities:			
Electricity	446,000	352,000	94,000
Natural gas	2,838,000	2,436,000	402,000
Water/sewer	76,000	60,000	16,000
Solid waste	15,000	12,000	3,000
Subtotal utilities:	3,375,000	2,860,000	515,000
Other institutional budgets:			
Property rentals-general	222,000	175,000	47,000
Prop. rental-Sand Point central	302,000	239,000	63,000
Prop. rental-Sand Point unassigned	(250,000)	(198,000)	(52,000)
Friday Harbor Labs	175,000	139,000	36,000
ESCO Loan Payments	275,000	275,000	0
Institutional overhead offset	(500,000)	(500,000)	0
Risk management	971,000	971,000	0
Investment Management Fees	155,000	155,000	0
RV Thompson Ship Time	210,000	210,000	0
Transportation subsidy	150,000	118,000	32,000
Revolving fund budget adjustments	140,000	140,000	0
Disabled Student Services	104,000	104,000	0
Subtotal other institutional budgets:	1,954,000	1,828,000	126,000
<u>Debt Service</u>			
Animal facilities upgrades debt service	609,000	0	609,000
Benjamin Hall & Foege Building debt service	428,000	0	428,000
Physics/Astronomy Building	(36,000)	(36,000)	0
Foege Building	(164,000)	0	(164,000)
Oceanography & Fishery Sciences Building	(13,000)	0	(13,000)
Harborview Research & Training Building	(5,000)	0	(5,000)
UNISYS Mainframe Financing	29,000	29,000	0
HEC Ed Pavillion seismic	48,000	48,000	0
J-wing Floors 1&2 Renovation	593,000	0	593,000
Energy Efficiency Project - 4545 15 Ave NE	388,000	306,000	82,000
Subtotal Debt Service	1,877,000	347,000	1,530,000
<u>UW Tower</u>			
UW Tower Operations (Additional UW Funding)	1,500,000	1,400,000	100,000
Subtotal UW Tower	1,500,000	1,400,000	100,000

Appendix 1 (Continued)
REQUIRED COST INCREASES/ADJUSTMENTS

Item	FY 2010 Change	FY 2010 Amount to Core Educ.	FY 2010 Amount to ICR
<u>Other Issues</u>			
Benjamin Hall O&M payment	288,000	0	288,000
WWAMI offset (assuming 10% tuition incr)	200,000	200,000	0
Reduce ICR Transfer to Capital	(900,000)	0	(900,000)
Summer quarter cost increase	181,000	181,000	0
UW Bothell - FY10 Tuition Increase Allocation	1,531,000	1,531,000	0
UW Tacoma - FY10 Tuition Increase Allocation	1,962,000	1,962,000	0
UW Bothell - FY10 Summer Qtr Tuition Increase Allocation	45,000	45,000	0
UW Tacoma - FY10 Summer Qtr Tuition Increase Allocation	55,000	55,000	0
Subtotal Other Issues	3,362,000	3,974,000	(612,000)
Subtotal Required Cost Increases	12,068,000	10,409,000	1,659,000
<u>Research Cost Recovery Policy Allocation</u>			
RCR adjustment to FY09 actual	3,558,000	0	3,558,000
FY10 RCR change	0	0	0
Subtotal Policy Application	3,558,000	0	3,558,000
<u>Dedicated Indirect Cost Recovery Dollars</u>			
South Lake Union 2	2,000,000	0	2,000,000
Subtotal Dedicated Indirect Cost Recovery	2,000,000	0	2,000,000
Subtotal Required Cost Increases	5,558,000	0	5,558,000
<u>Legislative Actions</u>			
WWAMI / Ride (Incremental Funding)	2,175,000	2,175,000	0
UW Tacoma Assembly Bldg O&M	218,000	218,000	0
Ruckelshaus Center-Nurse Staffing Committee	150,000	150,000	0
CINTRAFOR	50,000	50,000	0
Additional Financial Aid for UG Residents	1,300,000	1,300,000	0
Subtotal Legislative Actions	3,893,000	3,893,000	0
TOTAL REQUIRED COST INCREASES/ADJUSTMENTS	21,519,000	14,302,000	7,217,000

Appendix 2
University of Washington
2009-10 Proposed Tuition and Mandatory Fees

Tuition Category	University of Washington						HECB 24 Comparison Group Projection		
	2008-09 Tuition	Proposed Tuition	2009-10 Proposed Tuition and Fees Dollar Increase	Percent Increase	Estimated Fees	Proposed Tuition & Fees	2008-09 Peer Tuition & Fees	2009-10 Peer Tuition & Fees	2009-10 Peer Percent Increase
Undergraduate									
Undergraduate Resident	6,250	7,125	875	14%	552	7,677	8,665	9,117	5.22%
Undergraduate Nonresident	22,667	23,800	1,133	5%	552	24,352	23,137	24,284	4.96%
Graduate									
Graduate Tier I Resident	9,495	10,160	665	7%	552	10,712	10,043	10,568	5.23%
Graduate Tier I Nonresident	21,967	23,500	1,533	7%	552	24,052	21,302	21,977	3.17%
Graduate Tier II Resident	9,995	10,660	665	7%	552	11,212			
Graduate Tier II Nonresident	22,467	24,000	1,533	7%	552	24,552			
Graduate Tier III Resident	10,495	11,160	665	6%	552	11,712			
Graduate Tier III Nonresident	22,967	24,500	1,533	7%	552	25,052			
Master of Library and Information Science Resident	10,495	11,540	1,045	10%	552	12,092			
Master of Library and Information Science Nonresident	22,967	25,260	2,293	10%	552	25,812			
Master of Public Affairs Resident (<i>incoming</i>)	11,000	12,100	1,100	10%	552	12,652			
Master of Public Affairs Master (<i>incoming</i>)	22,500	24,750	2,250	10%	552	25,302			
Master of Public Affairs Resident (<i>continuing</i>)		11,000				11,000			
Master of Public Affairs Nonresident (<i>continuing</i>)		22,500				22,500			
Master of Architecture, Master of Landscape Architecture, Master of Urban Planning Resident		12,130				12,130			
Master of Architecture, Master of Landscape Architecture, Master of Urban Planning Nonresident		26,540				26,540			
Doctor of Pharmacy Resident	14,202	15,620	1,418	10%	552	16,172	16,376	17,512	6.94%
Doctor of Pharmacy Nonresident	28,111	30,920	2,809	10%	552	31,472	30,157	31,673	5.03%
Law JD Resident	19,033	21,700	2,667	14%	552	22,252	20,990	22,261	6.06%
Law JD Nonresident	28,257	32,210	3,953	14%	552	32,762	33,597	35,144	4.60%
Medicine Resident	18,570	20,430	1,860	10%	552	20,982	26,243	27,567	5.05%
Medicine Nonresident	44,975	49,470	4,495	10%	552	50,022	43,381	44,661	2.95%
Dentistry Resident	18,570	20,430	1,860	10%	552	20,982	27,084	28,591	5.56%
Dentistry Nonresident	44,975	49,470	4,495	10%	552	50,022	46,702	48,227	3.27%
Master of Nursing and Doctor of Nursing Practice UW Seattle									
Master of Nursing/Doctor of Nursing Practice Resident	13,380	15,250	1,870	14%	552	15,802			
Master of Nursing/Doctor of Nursing Practice Nonresident	26,485	30,190	3,705	14%	552	30,742			
UW Bothell/UW Tacoma									
Master of Nursing Resident	9,995	10,660	665	7%	480	11,140			
Master of Nursing Nonresident	22,467	24,000	1,533	7%	480	24,480			

Appendix 2
University of Washington
2009-10 Proposed Tuition and Mandatory Fees

Tuition Category	University of Washington						HECB 24 Comparison Group Projection		
	2008-09 Tuition	Proposed Tuition	2009-10 Proposed Tuition and Fees Dollar Increase	Percent Increase	Estimated Fees	Proposed Tuition & Fees	2008-09 Peer Tuition & Fees	2009-10 Peer Tuition & Fees	2009-10 Peer Percent Increase
Business Administration Master Degrees									
UW Seattle									
Master of Business Administration Resident (<i>incoming</i>)	21,230	23,350	2,120	10%	552	23,902	20,528	21,740	5.90%
Master of Business Administration Nonresident (<i>incoming</i>)	31,900	35,090	3,190	10%	552	35,642	30,626	32,229	5.23%
Master of Business Administration Resident (<i>continuing</i>)		21,230				21,230			
Master of Business Administration Nonresident (<i>continuing</i>)		31,900				31,900			
UW Bothell									
Master of Business Administration Resident (<i>incoming</i>)	18,587	19,890	1,303	7%	423	20,313			
Master of Business Administration Nonresident (<i>incoming</i>)	24,717	26,450	1,733	7%	423	26,873			
Master of Business Administration Resident (<i>continuing</i>)		18,587				18,587			
Master of Business Administration Nonresident (<i>continuing</i>)		24,717				24,717			
UW Tacoma									
Master of Business Administration Resident	14,750	15,780	1,030	7%	480	16,260			
Master of Business Administration Nonresident	26,818	28,700	1,882	7%	480	29,180			
Post-baccalaureate and Non-matriculated									
Post- baccalaureate Resident									
taking only undergraduate courses	6,250	7,125	875	14%	552	7,677			
taking one or more graduate courses	10,368	11,160	792	8%	552	11,712			
Post- baccalaureate Nonresident									
taking only undergraduate courses	23,099	23,800	701	3%	552	24,352			
taking one or more graduate courses	23,017	24,500	1,483	6%	552	25,052			
Non-matriculated Resident									
taking only undergraduate courses	6,250	7,125	875	14%	552	7,677			
taking one or more graduate courses	10,368	11,160	792	8%	552	11,712			
Non-matriculated Nonresident									
taking only undergraduate courses	23,099	23,800	701	3%	552	24,352			
taking one or more graduate courses	23,017	24,500	1,483	6%	552	25,052			

NOTES:

HECB 24 Comparison refers to the 2008-09 UW - HECB 24 Tuition Comparison prepared by the Office of Planning and Budgeting
Public Affairs Master was a new cohort tuition model in 2008-09; 2009-10 will be the first year of the "continuing" tuition rate
Tuition is not increased in the second year of cohort programs (Business Administration, Public Affairs)
2009-10 HECB 24 projections are based on five-year trend estimates

Appendix 3
University of Washington
2009-10 Proposed Fee Increases Requiring Legislative Authorization

[A]	[B]	[C]	[D]	[E]	[F]	[G]	[H]	[I]	[J]	[K]	[L]
		Current Year (FY 09)			FY 10			FY 11			
Specific Fee	Purpose	# Payers	Rate	Total Revenue	Proposed Rate	Percent Increase	Additional Revenue	Proposed Rate	Percent Increase	Additional Revenue	Explanatory Comments
Course & Lab Fees (under \$100)	Covers the costs for course fees or lab fees associated with a specific course.	15,200+	\$5 - \$100	\$2,502,116	up to \$110	up to 10%	\$250,212	up to \$121	up to 10%	\$275,233	These fees cover the costs of course materials and lab fees associated with a specific course and are \$100 or less. There are numerous fees included within this category and small increases may result in a large percentage increase. It is unknown at this time how much costs associated with these fees will increase so a 10% average increase was estimated. 75% of the courses with course fees charge \$100 or less.
Course & Lab Fees (over \$100)	Covers the costs for course fees or lab fees associated with a specific course.	800+	\$101-\$675		\$110 - \$743	up to 10%		\$121 - \$817	up to 10%		These fees cover the costs of course materials and lab fees associated with a specific course and are over \$100. There are numerous fees included within this category and small increases may result in a large percentage increase. It is unknown at this time how much costs associated with these fees will increase so a 10% average increase was estimated. 15% of the courses with course fees charge over \$100.
Services & Activities Fee	Funds student activities and programs such as ASUW & GPSS Activities, Student Publications, Student Loan Fund, Hall Health Primary Care Center, recreational sports programs, childcare, student legal services, Ethnic Cultural Center, Student Union facilities and UW CARES.	47,400	up to \$108/qtr	\$15,258,839	up to \$116/qtr	up to 7%	\$1,068,119	up to \$124/qtr	up to 7%	\$1,142,887	The Student Services and Activities Fee Committee determines/makes recommendations on the expenditures of these fees.
Technology Fee	Funds technology resources for general student use.	47,400	up to \$41/qtr	\$3,953,384	up to \$44/qtr	up to 7%	\$276,737	up to \$47/qtr	up to 7%	\$296,108	The Student Technology Fee Committee determines/makes recommendations on the expenditures of these fees.
Other Administrative/Academic Fees	Covers the costs of miscellaneous administrative/academic activities.	n/a	\$25-\$2,000	\$4,800,000	\$25-\$2,200	up to 10%	\$480,000	\$25-\$2,420	up to 10%	\$528,000	Fees are increased as needed to cover cost increases. There are numerous miscellaneous fees in this category and small increases in these fees may result in a large percentage increase. Since cost increases are unknown at this time, a 10% average increase was assumed for this category.
Fee-Based Degrees, Fee-Based Non-Credit, Fee-Based Credit, etc (with individual students)	Fees cover the cost of operating various fee-based educational offerings.	n/a	\$5-\$17,820	\$48,150,168	up to \$19,067	8%	\$3,961,759	up to \$19,500	4%	\$2,076,038	At this time, the level of fee increases needed to cover costs is unknown. The current estimated average fee increase is in the 4-5% range with great variation from that in some programs for three main reasons: 1) those having fees restructured in response to cost analysis indicating less than full cost recovery, 2) programs being restructured to cover additional program elements, and 3) fees that are pass-through from commercial vendors (e.g., international student health insurance). Gross revenue for the fee-based program category is expected to increase from 4-8% over the next biennium exceeding rate increases because of growth in the number of fee-based programs. While revenues will increase on average by 8 percent in FY2010, it is estimated that individual fees may increase by up to 38 percent. In FY2011, revenues will increase by about 4 percent and it is estimated that individual fees may increase by up to 35%. If fees can't increase to cover costs, these programs will be eliminated.

Appendix 3
University of Washington
2009-10 Proposed Fee Increases Requiring Legislative Authorization

[A]	[B]	[C]	[D]	[E]	[F]	[G]	[H]	[I]	[J]	[K]	[L]
		Current Year (FY 09)			FY 10			FY 11			
Specific Fee	Purpose	# Payers	Rate	Total Revenue	Proposed Rate	Percent Increase	Additional Revenue	Proposed Rate	Percent Increase	Additional Revenue	Explanatory Comments
Contract Instructional Offerings (with organizations)	Fees cover the cost of operating various fee-based contract educational offerings, both international and domestic.	n/a	\$4,100-\$440,800	\$1,305,000	up to \$650,560	11%	\$143,550	up to \$800,000	11%	\$157,905	This category includes contracts for educational offerings with organizations ranging from school districts to international universities. Contracts may be for more than one student and may span multiple years. As an example, the contract for \$440,800 is with Waseda University in Japan for academic offerings for 29 participants. At this time, the level of fee increases needed to cover costs is unknown. The current estimated average fee increase is in the 20% range with significant variation from that in some programs. Underlying factors for fee changes fall into three main categories: 1) programs having fees restructured in response to cost analysis indicating less than full cost recovery, 2) programs being restructured to cover additional program elements and enrollments, and 3) fees that are pass-through from commercial vendors (e.g., international student health insurance). Gross revenue for the contract instructional offerings category is expected to increase over 20% over the next biennium largely due to growth in enrollments in these programs. While gross revenues will increase on average by 11 percent in FY2010, it is estimated that individual fees may increase by up to 70 percent. In FY2011, gross revenues will increase by about 11 percent and it is estimated that individual fees may increase by up to 45%. If fees can't increase to cover costs, these programs will be constrained, decreasing potential new revenue into the state from many international clients.
Student Facilities Renovation Fee	New fee to cover debt service associated with the renovation of the HUB, Hall Health, and the Ethnic Cultural Center.	42,113	n/a	n/a	n/a	n/a	n/a	up to \$102/quarter	n/a	\$4,000,000	The Student Facilities Renovation Fee is a new services and activities fee which will go into effect Spring 2011 (March 2011). The fee will be used to pay approximately \$154.3 million in debt service related to the renovation of three buildings on the Seattle campus and will be charged only to students enrolled at the Seattle campus. This is a student generated fee proposal and was approved by the Services and Activity Fee Committee. The fee will generate \$4.0 million in revenue in FY11, and \$10 million a year thereafter. Estimates of the fee level and revenue are preliminary and may change as further information is gathered on the project. Without legislative approval of this fee, the University will unable to issue debt for these projects.
Summer Quarter (residents)	Tuition charges for undergraduate residents enrolled in Summer Quarter.	12,929	\$2,083	\$10,713,798	up to \$2,375	up to 14%	\$749,966	up to \$2,708	up to 14%	\$802,463	Costs of programs offered during summer quarter are covered by summer quarter tuition. Summer quarter tuition is typically tied to tuition charged in the trailing spring quarter. An increase of 14 percent is being assumed as a placeholder. Without a fee increase, there will be reduced program offerings during the summer.
Summer Quarter (non-residents and graduate programs)	Tuition charges for undergraduate non-residents and graduate students enrolled in Summer Quarter.	4,138	up to \$14,992	\$16,906,667	up to \$17,240	up to 15%	\$2,536,000	up to \$19,826	up to 15%	\$2,916,400	Costs of programs offered during summer quarter are covered by summer quarter tuition. Without a fee increase, there will be reduced program offerings during the summer.

Guidelines

Columns [A] & [B]

Please identify the fee and its purpose reasonably specific terms. Group fees into meaningful categories (e.g. special lab & course fees, extension courses), but please do not use broad general categories such as "other mandatory fees". If a particular fee or group of fees is proposed for a particularly large percentage increase (e.g. more than 5% per year), please break it into a separate category. Tuition and building fees do not need to be included on this form, nor do fees which your AAG confirms are primarily commercial in nature (e.g. parking, dorms, dining, etc.)

Column [C]

Please provide the approximate number of persons who will pay the fee this year.

Column [D]

When several different fees are included within a category, please provide a payer-weighted average, rather than a range, and describe the range in column [L].

Column [E]

Please identify the approximate amount of revenue expected to be generated by the fee this year.

Columns [F], [G], [I], & [J]

Please identify the weighted average amount and percentage by which the fee or group of fees is proposed to increase each year. Again, please do not use ranges. If a particular fee or set of fees is proposed for a particularly large (e.g. more

Columns [H] & [K]

Please identify the estimated amount of *additional* revenue that is expected to be generated by the proposed fee increase.

Column [L]

Please use this space to identify the maximum annual percentage by which fees in this category are expected to increase each year, and to explain the reason or need for any unusually large percentage increases.

FY 2010 Proposed Budget and UW Peer Comparisons

June, 2009

University of Washington
Office of Planning and Budgeting

An overview of the core components of the UW budget.



- ❖ Capital Budget
- ❖ Operating Budget
 - *Core Education Budget* (State General Fund, Tuition Operating Fee, and Designated Operating Fund)
 - *Restricted Operating Budget* (Grant and Contract Direct Costs, Grant and Contract Indirect Costs, Gifts and State Restricted Funds)
 - *Academic Enhancement/Support Budget* (UW Medical Center, Auxiliary Enterprises, Auxiliary Educational Activities, Institutional Overhead)

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State support for the capital budget was reduced by nearly 55%.



- ❖ The legislature provided \$65.5 million in capital funding for the 2009-11 biennium, compared to \$146.9 in the previous biennium. Funded projects include:
 - \$34 million for the renovation of the Joy Building at Tacoma and \$5 million for the design of UW Bothell Phase 3.
 - \$500,000 in pre-design funding for the renovation of Anderson Hall and the House of Knowledge Longhouse project.
 - Facilities preservation, safety and utilities improvements, and modernization.
- ❖ \$492 million in non-state funds (e.g. UW building account, ICR, enterprise funds, bonds, and federal stimulus grants) will be used to fund major projects and activities including:
 - Construction of a molecular engineering building at UW Seattle
 - Safe campus fire alarm replacement
 - Primate Center facilities and bio-safety level three laboratory improvements
 - Renovations of J-Wing for microbiology and Guthrie Hall for psychology
 - New resident halls on west campus and Hall Health remodel
 - HUB renovation and expansion and Ethnic Cultural Center Expansion

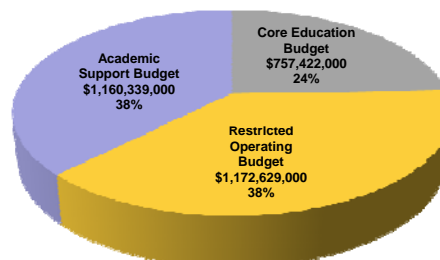
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Composition of the UW Operating Budget, as adopted for FY 2009.



- ❖ The FY 2009 UW operating budget adopted by the Board of Regents approved \$3.1 billion for annual operating expenditures. Note that if Harborview medical center was included in these figures, the total would be \$3.7 billion.

Total Expenditures, FY 2009



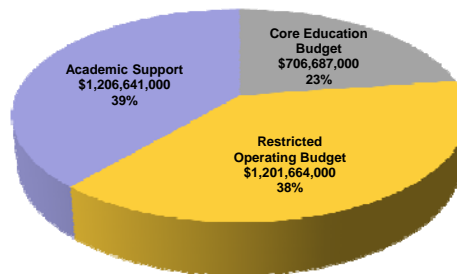
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The proposed UW operating budget for FY 2010, compared to FY 2009.



- ❖ A net reduction of 6.3 percent for the Core Education Budget (**Note: does not include one-time \$11 million use of fund balance in 2010**).
- ❖ An increase of 2.5 percent in the Restricted Operating Budget
- ❖ An increase of 4.0 percent in the Academic Support Budget

Total Expenditures, FY 2010



University of Washington
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Resources for the UW will be reduced significantly in 2010.



- ❖ Reduction in the State General Fund allocation almost \$95 million for FY 2010.*
- ❖ Provision of one-time federal stimulus money.
- ❖ Authorization of 14 percent tuition increase for resident undergraduates.
- ❖ Re-authorization of authority to set all other tuition categories.
- ❖ Declining Gifts and Endowment payouts.
- ❖ Material increases in grants and contract awards.
- ❖ Slow growth for Academic Enhancement and Support functions.

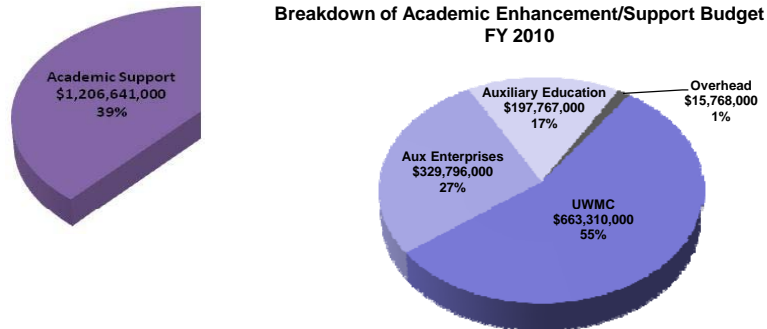
* *Note that cross referencing numbers between the state biennial budget and the UW budget is complicated. For the purpose of this presentation we are looking at incremental budget changes compared to the originally adopted UW budget for FY 2009.

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The UW Academic Support budget has a stable outlook for FY 2010.

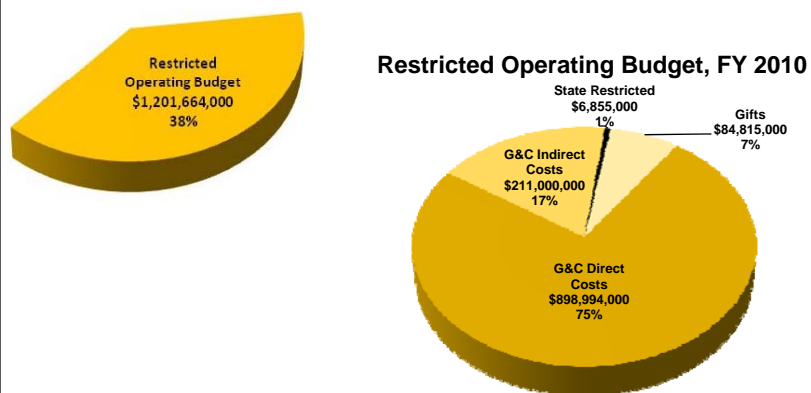


- ❖ Slow growth is expected through FY 2010 for the UW's large auxiliary business enterprises, including the UW Medical Center, Educational Outreach, Housing and Food Services, Intercollegiate Athletics, and Parking and Transportation Services.



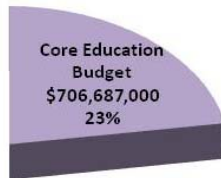
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The UW Restricted Operating budget will experience modest growth in 2010.

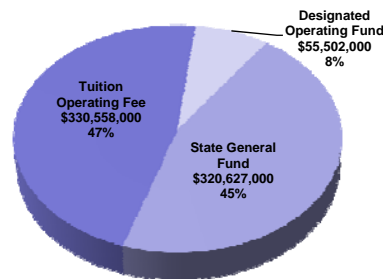


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The UW Core Education budget revenues will decline 6.3% in FY 2010.



Breakdown of Core Education Budget, FY 2010



Note: does not include one-time \$11 million use of fund balance in 2010

University of Washington
Office of Planning and Budgeting

How will core education budget reductions affect the UW's relative position amongst peers?



The UW compares its overall funding per FTE (the sum of state appropriations and tuition & fee revenue per FTE student) to three peer groups:

- ❖ OFM Peer Group:
 - The **AVERAGE** is established as the UW comparison point for this group.
- ❖ HECB Peer Institutions:
 - The **75th PERCENTILE** is established as the UW comparison point for this group.
- ❖ Global Challenge Peers:
 - The **60th PERCENTILE** is established as the UW comparison point for this group.

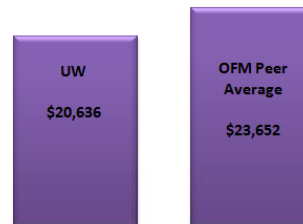
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OFM Peer Group: The Office of Financial Management created a list of eight peers over 20 years ago.



- University of Arizona
- University of California, Berkeley
- University of California, Los Angeles
- University of Illinois, Urbana/Champaign
- University of Iowa
- University of Michigan, Ann Arbor
- University of North Carolina, Chapel Hill
- University of Oregon with Oregon Health Sciences Center

2007-08 Funding per FTE
UW and OFM Peer Average



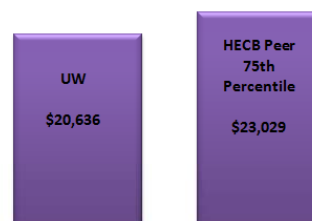
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Office of Planning and Budgeting

HECB Peers: The Higher Education Coordinating Board created a list of 24 peer institutions several years later.



- Cornell University, Contract Colleges
- Michigan State University
- Ohio State University
- Texas A&M University, College Station
- University of Arizona
- University of California, Davis
- University of California, Irvine
- University of California, Los Angeles
- University of California, San Diego
- University of Cincinnati
- University of Florida
- University of Hawaii
- University of Illinois, Chicago
- University of Iowa
- University of Kentucky
- University of Michigan, Ann Arbor
- University of Minnesota, Twin Cities
- University of Missouri, Columbia
- University of New Mexico
- University of North Carolina, Chapel Hill
- University of Pittsburgh
- University of Virginia
- University of Wisconsin, Madison
- University of Utah

2007-08 Funding per FTE
UW and HECB Peer 75th Percentile



University of Washington
Office of Planning and Budgeting

Global Challenge Peers: Legislation in 2007 established group of state flagships with medical schools.



California

- University of California, Davis
- University of California, Irvine
- University of California, Los Angeles
- University of California, San Diego

Colorado

- University of Colorado, Boulder
- University of Colorado, Denver with Health Sciences

Connecticut

- University of Connecticut with School of Medicine and Dentistry

Maryland

- University of Maryland at College Park and at Baltimore

Massachusetts

- University of Massachusetts at Amherst and at Worcester

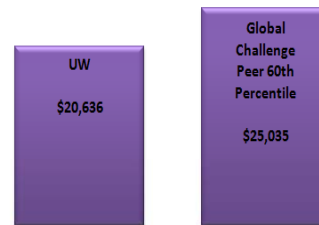
New Jersey

- Rutgers University with University of Medicine and Dentistry of New Jersey

Virginia

- University of Virginia

2007-08 Funding per FTE
UW and Global Challenge Peer 60th Percentile

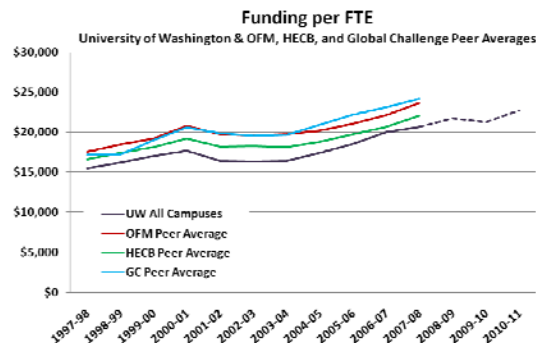


University of Washington
Office of Planning and Budgeting

The UW is currently behind the established per student funding targets for all three peer groups.

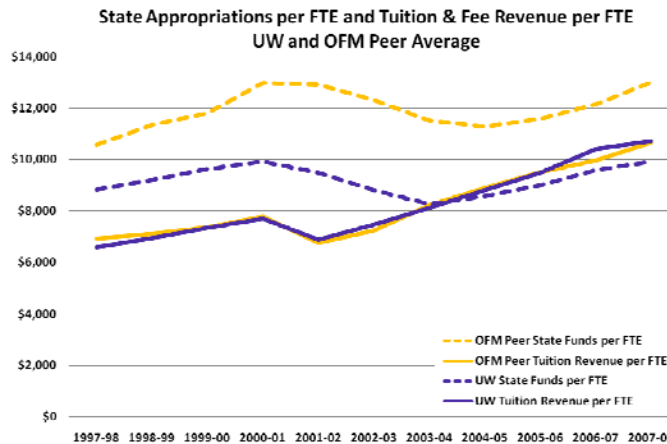


- ❖ The UW has not only consistently lagged behind the specific targets set for each peer group, but also behind the AVERAGE funding per FTE student in each of the groups.



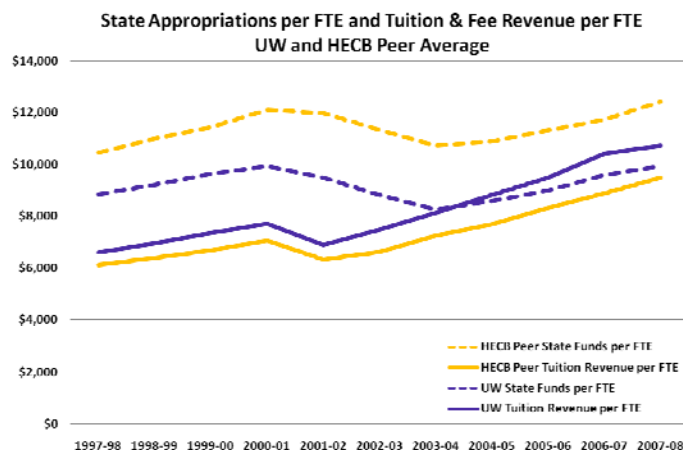
University of Washington
Office of Planning and Budgeting

UW tuition revenue per FTE closely mirrors the OFM peer average, while state support is widely disparate.



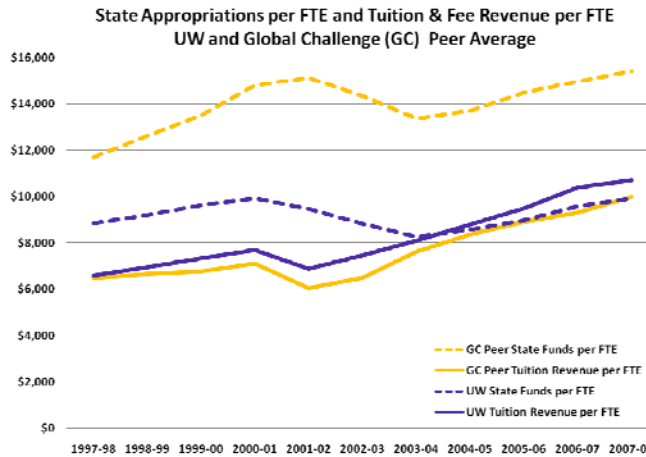
University of Washington
Office of Planning and Budgeting

Lower levels of state support also drive the difference between the UW and average funding for HECB peers.



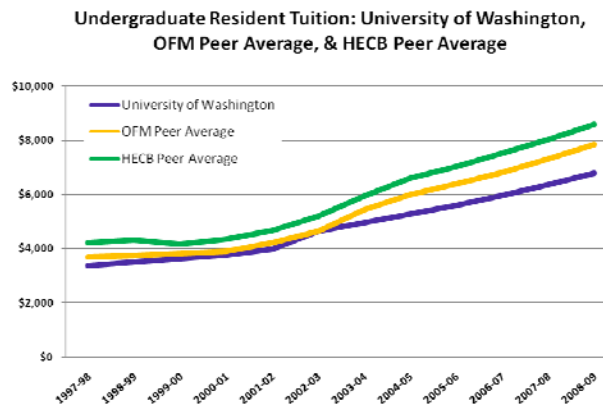
University of Washington
Office of Planning and Budgeting

With little variation, the average funding per FTE amongst GC peers shows the same pattern.



University of Washington
Office of Planning and Budgeting

The gap between UW undergraduate resident tuition and average peer tuition has increased over time.

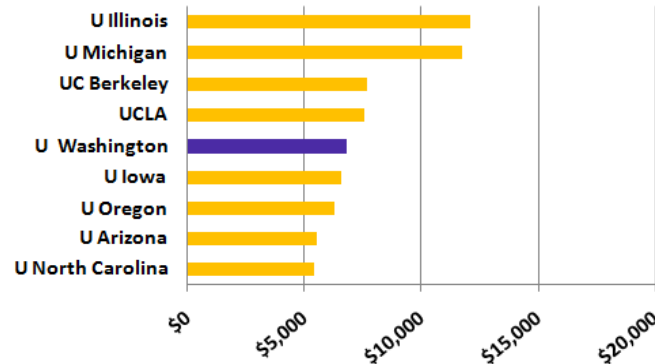


University of Washington
Office of Planning and Budgeting

Undergraduate Resident tuition at the UW has room to grow while maintaining relative affordability.



2008-09 Undergraduate Resident Tuition & Fees
University of Washington and OFM Peers

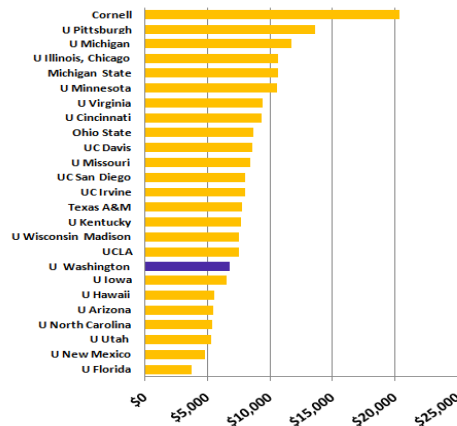


University of Washington
Office of Planning and Budgeting

Undergraduate resident tuition at the UW is below the HECB peer median.

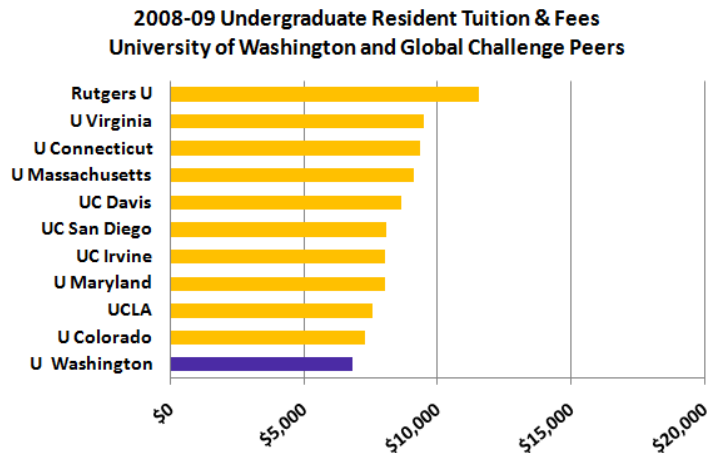


2008-09 Undergraduate Resident Tuition & Fees
University of Washington and HECB Peers



University of Washington
Office of Planning and Budgeting

The UW has maintained the lowest undergraduate tuition rate of all global challenge peers.



University of Washington
Office of Planning and Budgeting

**University of Washington
Board of Regents
Resolution of Appreciation to
Jean-Paul Willynck**

WHEREAS, in accordance with Washington state law, Governor Chris Gregoire appointed Jean-Paul Willynck, a senior Urban Studies student at the University of Washington Tacoma, to serve the prescribed one-year term, from July 8, 2008 to June 30, 2009, as the student member of the University of Washington Board of Regents; and

WHEREAS, as the first student Regent appointed from the University of Washington Tacoma, he served the Board with great distinction, bringing to Board deliberations an informed and committed student perspective; and

WHEREAS, prior to his appointment as a Regent, his involvement in student life at the Tacoma campus, through ASUWT's Legislative Advocacy Committee and as a founder of the Commuters' Association, demonstrated his exceptional leadership skills and contributed significantly to the enhancement of the student experience at Tacoma; and

WHEREAS, his comprehension of and appreciation for the three-campus structure of the University, and his inclusion of and reaching out to students from all three campuses made his term on the Board especially effective in representing the views of all UW students; and

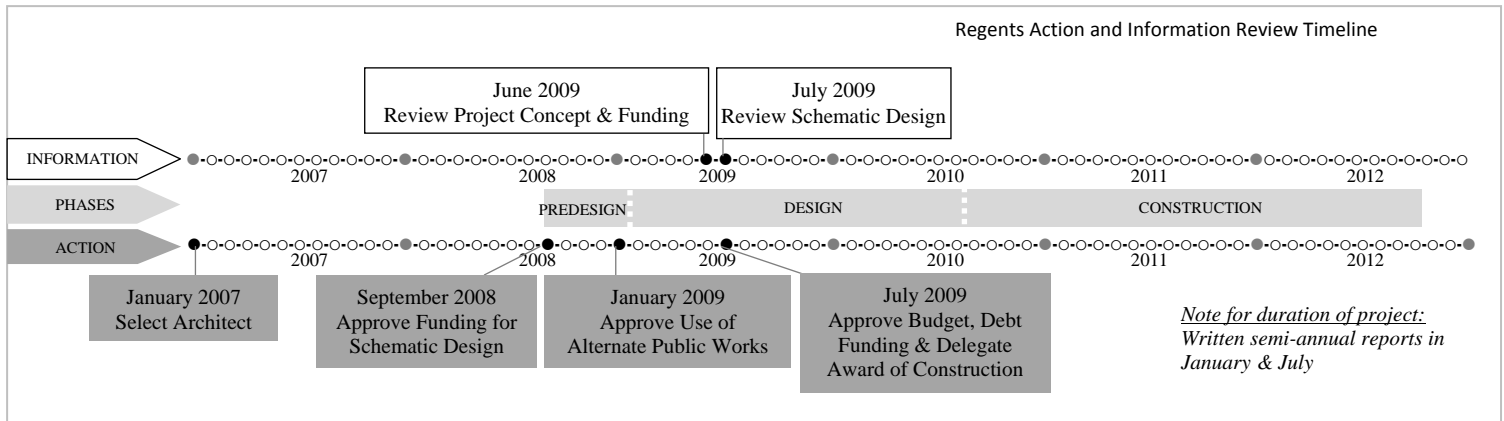
WHEREAS, his belief in the value of diversity to ensure that students from all backgrounds have the opportunity to attend the University of Washington and study in a welcoming and supportive environment have added immeasurably to the Board's commitment to student access, particularly in constrained budgetary times; and

WHEREAS, he has devoted himself to serving in an open and collaborative way, spending many hours seeking out student opinion at all three campuses and being inclusive of all on issues of importance to the Board and the University; and

WHEREAS, his intelligence, strong work ethic, superb communications skills, and warm friendliness have made him an exemplary colleague and Regent;

NOW, THEREFORE, BE IT RESOLVED: That the members of this Board express to Jean-Paul Willynck their gratitude on behalf of the entire University community, in particular the tri-campus student body, and their own personal thanks for his outstanding service, dedication and hard work, that we wish him continued success and happiness in all his endeavors, and that this resolution be spread upon the minutes of the Board as a permanent record of the Board's sincere appreciation.

V. REPORT OF THE UNIVERSITY PRESIDENT

Husky Union Building Renovation and Addition – Review Project Concept and FundingINFORMATION:

The purpose of this presentation is to review the project concept and funding for the Husky Union Building (HUB) Renovation and Expansion project prior to the completion of the schematic design phase. We will return in July 2009 to present the schematic design, request approval of the budget, debt funding and delegation of the construction award.

BACKGROUND:

The mission of the HUB is to serve as the primary campus community center for students, faculty, staff, alumni and guests; provide and support a program of cultural, educational, social, recreational service activities; offer amenities and services for the diverse needs and activities of the campus community; and to create a sense of community. This project seeks to achieve University and HUB goals such as: strengthening campus community by increasing programs and services; encouraging interactions between students, faculty and staff; cultivating engagement between student leaders; fostering tradition and affiliation; and enhancing student involvement.

In 2006-2007, the Services and Activities Fee Committee (SAFC) co-funded with Student Union Activities Facilities (SAUF) a master plan proposal to assess and outline long-range goals related to changing campus needs and lifestyles, aging infrastructure, and code compliance for the HUB. The projects grew out of SAFC discussion over the course of the 2007-08 academic year. Over the summer of 2008, with consultation between University administration and student leaders, it was determined that the scope of the HUB renovation and expansion should be scaled back from the initial proposal of \$188 million to a range of \$140 - \$150 million.

V. REPORT OF THE UNIVERSITY PRESIDENT

Husky Union Building Renovation and Addition – Review Project Concept and Funding (continued p. 2)

In September 2008, the Board of Regents approved funding for the predesign and schematic design phases for the HUB Renovation and Expansion project. The predesign and schematic design processes would determine the actual scope, timing, potential phasing, program and space needs, and project budget for the project.

During winter and spring quarters of 2009, this project along with the other two Student Life projects – Hall Health Primary Care Center and Ethnic Cultural Center Expansion – were presented to the Associated Students of University of Washington (ASUW), Graduate and Professional Student Senate (GPSS), and SAFC to determine whether or not the students would advocate for the issuance of bonds for project construction. With overwhelming support, the ASUW and GPSS endorsed the projects and SAFC voted on May 22, 2009 to establish a new student fee to pay for all of the Student Life projects.

SCHEDULE:

Architect Selection	January 2007
Master Plan	February 2007 – May 2008
Predesign	September 2008 – February 2009
Design	February 2009 – September 2010
Construction	October 2010- November 2012
Occupancy	January 2013

PROJECT BUDGET AND FUNDING:

The preliminary project budget is \$128.3 million. This is a reduction from the earlier \$140 – \$150 million estimated budget to reflect the current competitive pricing in the construction market and the absence of private contribution opportunities. We anticipated raising \$20 million in private contributions. However, an assessment of the viability of private contributions indicated that it is not feasible in the current economic conditions.

This project will be funded from a new student fee that will be used to pay off a 30-year loan from the University's Internal Lending Program (ILP). On May 22 SAFC approved a new student fee of up to \$95 per quarter for all three Student Life projects. The new fee for the HUB portion will take effect in the winter quarter of the 2012-2013 academic year.

The ILP loan, including loan and accrued interest costs, is estimated at \$125.7 million. The project will also receive \$369,000 from SAUF, \$2.6 million from student fee reserves, and \$4.6 million from existing bond proceeds. Central

V. REPORT OF THE UNIVERSITY PRESIDENT

Husky Union Building Renovation and Addition – Review Project Concept and Funding (continued p. 3)

administration will make debt service payments on \$20 million of project costs over the 30-year loan period.

<u>Sources</u>	<u>\$ Million</u>
SAUF	\$ 0.37
Existing bond proceeds	4.63
SAF reserves	2.60
New student fee	3.25
Interest earnings	0.04
ILP loan (including loan & interest costs)	126.06
Total, Sources	<u>\$ 136.95</u>

<u>Uses</u>	
Project design & construction cost	\$ 125.74
Revenue mitigation payment to HFS	2.60
ILP loan & interest costs	8.61
Total, Uses	<u>\$ 136.95</u>

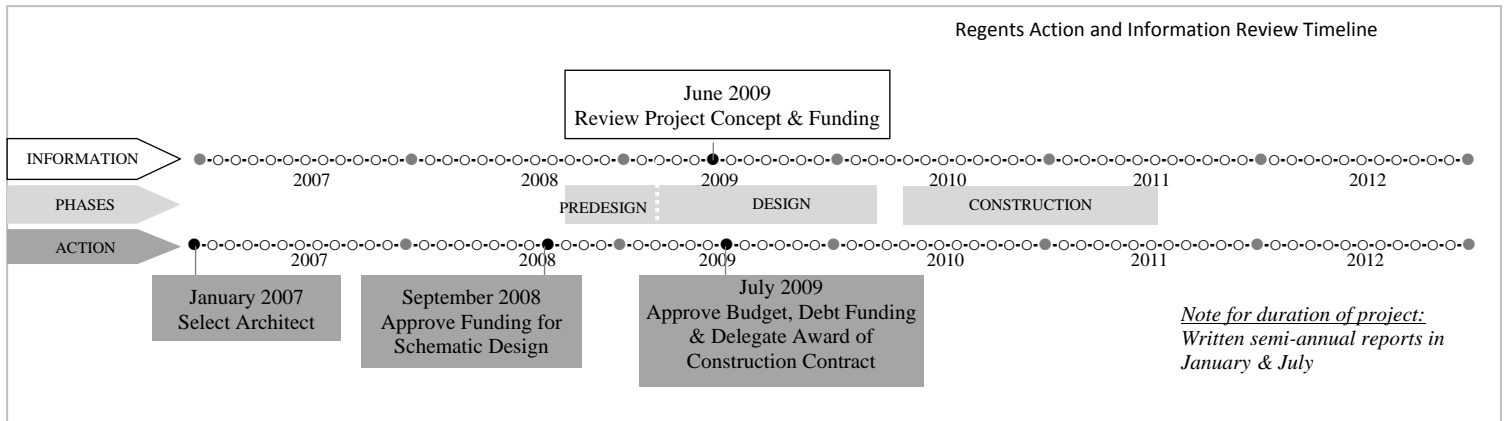
PREVIOUS ACTIONS:

January 2007 – Architect Appointment

September 2008 – Funding approved for predesign and schematic design phases

January 2009 – Use of alternative public works utilizing General Contractor / Construction Manager (GC/CM) method for construction approved

V. REPORT OF THE UNIVERSITY PRESIDENT

Hall Health Primary Care Center Remodel – Review Project Concept and FundingINFORMATION:

The purpose of this presentation is to review the project concept and funding for the Hall Health Primary Care Center (HHPCC) Remodel project prior to the completion of the schematic design phase. We will return in July 2009 to request approval of the budget, debt funding, and delegation of the construction award.

BACKGROUND:

The mission of the HHPCC is “to facilitate the academic success of UW students and the well-being of all of our patients through a commitment to high quality patient-centered health care.” University of Washington students may receive services at HHPCC, and, furthermore, those who have paid the SAF fee receive a portfolio of subsidized services with no “out-of-pocket” expense. Non-students may also use HHPCC on a fee-for-service basis. This generates revenue that reduces the cost of care for the student population. In fiscal year 2008, HHPCC had 84,965 visits (10% more than FY 2007) of which 69% were by students.

In 2007-2008 a feasibility study was undertaken to assess how the existing building might be modified to better fulfill the goals of the HHPCC. The major programmatic goals for the remodel project are to enhance the quality of care, improve student access to care, allow for future growth, increase student access to mental health services, generate additional revenue, and provide adequate space for special programs. The feasibility study identified significant factors and deficiencies that currently inhibit HHPCC from achieving its goals. These include inadequate clinic space, inefficiencies in patient and staff flow, suboptimal privacy, an inability to accommodate changing operational care models, and general wear and tear on the facility leading to a suboptimal environment of care. This project will extensively renovate the ground floor, first

V. REPORT OF THE UNIVERSITY PRESIDENT

Hall Health Primary Care Center Remodel – Review Project Concept and Funding (continued p. 2)

floor, and a portion of the third floor of the existing building, and construct an addition of approximately 2,600 square feet on two floors in what is currently the south service court.

In September 2008, the Board of Regents approved funding for the predesign and schematic design phases for the Hall Health Primary Care Center Remodel project. The predesign and schematic design processes will determine the actual scope, timing, potential phasing, program and space needs, and project budget for the project.

During winter and spring quarters of 2009, this project along with the other two Student Life projects – HUB Renovation and Expansion and Ethnic Cultural Center Expansion – were presented to the Associated Students of University of Washington (ASUW), Graduate and Professional Student Senate (GPSS), and SAFC to determine whether or not the students would advocate for the issuance of bonds for project construction. With overwhelming support, the ASUW and GPSS endorsed the projects and SAFC voted on May 22, 2009 to recommend to the University administration that they issue bonds to pay for the construction for all of the Student Life projects.

SCHEDULE:

Architect Selection	September 2008
Predesign	October 2008 – March 2009
Design	March 2009 – March 2010
Bidding/Award	March 2010 – May 2010
Construction	May 2010 - July 2011
Completion	August 2011

PROJECT BUDGET AND FUNDING:

The preliminary project budget is \$10.1 million.

This project will be funded from a new student fee that will be used to pay off a 30-year loan from the University's Internal Lending Program (ILP). On May 22 SAFC approved a new student fee of up to \$95 per quarter for all three Student Life projects. The new fee for the Hall Health portion will take effect in the autumn quarter of the 2011-2012 academic year.

The ILP loan, including loan and accrued interest costs, is estimated at \$7.6 million. The project will also receive \$100,000 from Hall Health Primary Care Center, \$400,000 from Environmental Health & Safety, \$1.0 million from student fee reserves, and \$1.0 million from existing bond proceeds.

V. REPORT OF THE UNIVERSITY PRESIDENT

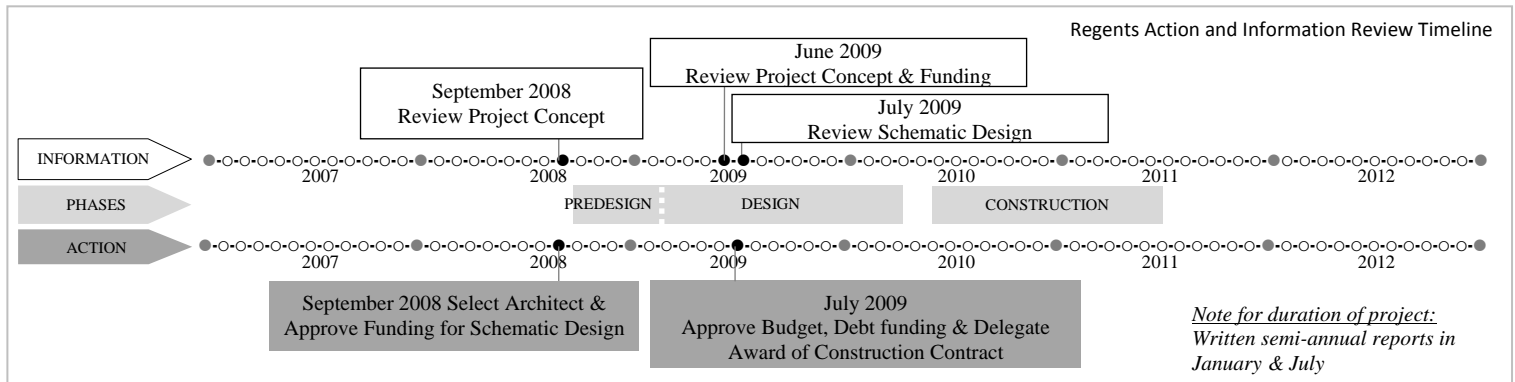
Hall Health Primary Care Center Remodel – Review Project Concept and Funding (continued p. 3)

<u>Sources</u>	<u>\$ Million</u>
Hall Health Primary Care Center	\$ 0.10
Environmental Health & Safety	0.40
Existing bond proceeds	1.03
SAF reserves	1.00
New student fee	0.20
Interest earnings	0.02
ILP loan (including loan & interest costs)	7.59
Total, Sources	<u>\$ 10.34</u>
<u>Uses</u>	
Project design & construction cost	\$ 9.15
Revenue mitigation payment to Hall Health	1.00
ILP loan & interest costs	0.19
Total, Uses	<u>\$ 10.34</u>

PREVIOUS ACTION:

September 2008 – Funding approval for predesign and schematic design phases

V. REPORT OF THE UNIVERSITY PRESIDENT

Ethnic Cultural Center Expansion - Review Project Concept and FundingINFORMATION:

The purpose of this presentation is to review the project concept and funding for the Ethnic Cultural Center (ECC) Expansion project prior to the completion of the schematic design phase. We will return in July 2009 to present the schematic design, request approval of the budget, debt funding, and delegation of the construction award.

BACKGROUND:

The ECC promotes an inclusive and educational environment by providing programs and services which enhance the communication and exchange of multicultural perspectives and values. Students and student organizations collaborate, develop and implement programs while building leadership and organizational skills. The ECC is considered a national model for University-based multi-cultural student centers. The existing 10,603 square feet ECC is a single-story light wood frame building located at the southwest corner of NE 40th Street and Brooklyn Avenue NE in west campus. The building is 39 years old and no longer adequately supports the program that began back in 1970.

In May 2007, the Service and Activities Fee Committee (SAFC) co-funded a feasibility study to assess and outline long-range goals related to changing student user needs and lifestyles, aging infrastructure, and code compliance for the ECC. Based on extensive student interviews, focus groups, and numerous open forums, a conceptual/programmatic option was generated. Based upon that study the following goals were identified: preservation of the ECC as a “safe zone” for minority students where ethnically diverse students will feel “at home;” student leadership and involvement are cornerstones of the ECC program; the building must be “of the students, for the students, and by the students” to be truly successful; this facility should enhance and further the image of the UW as a national leader in diversity programs; eliminate chronic shortages of meeting and

V. REPORT OF THE UNIVERSITY PRESIDENT

Ethnic Cultural Center Expansion - Review Project Concept and Funding (continued p. 2)

office space for student organizations; and provide for a reasonable amount of future capacity for long term growth of the program. This project will replace the existing ECC with a three-story building of approximately 25,000 square feet.

In September 2008, the Board of Regents approved funding for the predesign and schematic design phases for the ECC Expansion project. The predesign and schematic design processes will determine the actual scope, timing, potential phasing, program and space needs, and project budget for the project.

During winter and spring quarters of 2009, this project along with the other two Student Life projects - HUB Renovation and Expansion and Hall Health Primary Care Center - were presented to the Associated Students of University of Washington (ASUW), Graduate and Professional Student Senate (GPSS), and SAFC to determine whether or not the students would advocate for the issuance of bonds for project construction. With overwhelming support the ASUW and GPSS endorsed the projects, and SAFC voted on May 22, 2009 to recommend the establishment of a new student fee to pay for all of the Student Life projects.

SCHEDULE:

Architect Selection	September 2008
Predesign	October 2008 – March 2009
Design	March 2009 – April 2010
Bidding / Award	April 2010 – June 2010
Construction	June 2010 - August 2011
Occupancy	September 2011

PROJECT BUDGET AND FUNDING:

The preliminary project budget is \$15.5 million.

This project will be funded from a new student fee that will be used to pay off a 30-year loan from the University's Internal Lending Program (ILP). On May 22 SAFC approved a new student fee of up to \$95 per quarter for all three Student Life projects. The new fee for the ECC portion will take effect in the autumn quarter of the 2011-2012 academic year.

The ILP loan, including loan and accrued interest costs, is estimated at \$15.2 million. The project will also receive \$950,000 from existing bond proceeds.

V. REPORT OF THE UNIVERSITY PRESIDENT

Ethnic Cultural Center Expansion - Review Project Concept and Funding
(continued p. 3)

<u>Sources</u>	<u>\$ Million</u>
Existing bond proceeds	\$ 0.95
New student fee	0.06
Interest earnings	0.01
ILP loan (including loan & interest costs)	15.21
Total, Sources	<u>\$ 16.23</u>
<u>Uses</u>	
Project design & construction cost	\$ 15.50
ILP loan & interest costs	0.73
Total, Uses	<u>\$ 16.23</u>

PREVIOUS ACTIONS:

September 2008 – Architect appointment, review project concept and funding approval for predesign and schematic design phases

MINUTES

BOARD OF REGENTS University of Washington

June 11, 2009

The Board of Regents held its regular meeting on Thursday, June 11, 2009, beginning at 9 a.m. in the UW Tower Board Room. The notice of the meeting was appropriately provided to the public and the media.

CALL TO ORDER

The Chair of the Board, Regent Cole, directed the Regents to adjourn into meetings of the Academic and Student Affairs and Finance, Audit, and Facilities Committees.

The Regular Meeting of the Board re-convened at 11:25 a.m.

ROLL CALL

Assistant Secretary Keith called the roll: Present were Regents Craig Cole (presiding), Stan Barer, Kristianne Blake, Jeff Brotman, William Gates, Sally Jewell, Fred Kiga, Jean-Paul Willynck; President Mark Emmert, Provost Phyllis Wise, Ms. V'ella Warren, Ms. Joan Goldblatt; ex-officio representatives: Professor David Lovell, Mr. Anttimo Bennett, Mr. Jake Faleschini, Mr. Rick Osterhout.

Absent: Regents Connie Proctor and Herb Simon

CONFIRM AGENDA

The agenda was confirmed as presented. Regent Cole noted item A-11 was added to the Consent Agenda, with no objections from members of the Board.

REPORT OF THE CHAIR OF THE BOARD OF REGENTS: Regent Cole

Regent Cole asked attendees to observe a moment of silence in sympathy for Regent Proctor, and her family, in memory of her brother, Byron Leigh, who passed away recently.

Resolution of Appreciation to Jean-Paul Willynck (Agenda no. BP-1)

MOTION: Upon the recommendation of the Chair of the Board and the motion made by Regent Jewell, seconded by Regent Blake, the Board voted to approve the Resolution of Appreciation to Jean-Paul Willynck. Regent Willynck abstained from the discussion and vote.

See Attachment BP-1.

Regent Willynck expressed his appreciation for the opportunity to serve as a Student Regent. He acknowledged the dedication and commitment of the administration to the University of Washington. He commended and acknowledged the student leaders in attendance at the meeting.

Regent Cole thanked the members of the university community; President Emmert and the administration; and the representatives of the University's shared governance, for their hard work on a difficult budget. Regent Cole thanked Governor Gregoire for her leadership and support of the University.

Regent Cole noted the Regents held a well-attended Special Meeting on May 28. Regents received input on various issues and referred these to the administration for appropriate consideration.

REPORT OF THE UNIVERSITY PRESIDENT: Dr. Emmert

President Emmert asked Paul Jenny, Vice Provost for Planning and Budgeting, to review the 2010 proposed budget with the Regents. Dr. Emmert said many of those in attendance at the meeting also attended the Special Meeting held on May 28, where a number of substantive issues were raised. The administration has considered these issues and provided responses addressing these concerns. The primary issues were:

- Custodial staff shift changes. The University delayed the implementation date of the change.
- Women's Center budget. The Provost met with Center leadership, and agreed to temporarily reduce the amount of the budget cut to 16%, similar to the budget cut of other administrative units at university, and delayed the implementation of the 50% budget cut while seeking alternate solutions.
- Temporary fund balances. During his presentation, Vice Provost Jenny answered questions about the temporary fund balances used to cover budget reductions.
- Swimming program. There is an ability to raise annual operating funds, and target fundraising amounts have been identified. For long term sustainability, and to be competitive, the program requires funding for new facilities.

Regent Cole added that normally the proposed budget, agenda item F-12, would be approved by the Finance, Audit, and Facilities Committee, but due to the extraordinary and unusual economic situation, the Committee has referred it to the full board for consideration.

Vice Provost Jenny reminded the Regents the proposed budget was presented to them for information at the May meeting of the Board. Mr. Jenny highlighted additional information and changes in the materials, and provided an overview on capital and operating budgets proposed for fiscal year 2010, as well as the 2009-10 tuition rates. Mr. Jenny highlighted comparisons with peer institutions. Questions and discussion followed Mr. Jenny's presentation.

President Emmert, commenting on the difficult budget process, said the administration is tasked with maintaining access, affordability, and quality at the University of Washington, and praised the work as extraordinary in balancing those goals with the budget.

Questions and discussion followed regarding student financial aid, scholarships, tax credits, and making students and their parents aware of this information.

During the budget item presentation, a number of people entered the meeting room and disrupted the meeting. Regent Cole warned the individuals they were interrupting and disrupting the meeting and asked the group to either be quiet or leave the area. Regent Cole reminded the group that they had an opportunity to present their opinions at the Special Meeting held on May 28. To accommodate the group, Regent Cole offered the opportunity to designate a spokesperson to state the opinions of the group. The group agreed, and chose to read a statement in unison.

The crowd continued in an unruly manner and Regent Cole said, "This now constitutes an unlawful disruption of the meeting." He said people are welcome to attend and observe the meeting, but warned there was no lawful right to disrupt the meeting. Following numerous warnings from Board Chair Cole, and UWPD Chief Vinson, Regent Cole determined, and announced, the orderly conduct of the meeting was infeasible due to the interruption of a group of persons. At 12:15 p.m. Regent Cole declared the meeting recessed for a period of fifteen minutes, until 12:30 p.m., at which time the meeting would reconvene in the Magnolia Room. Board members consented to this action.

At 12:30 p.m. the meeting reconvened and continued in the Magnolia Room.

The Board continued with discussion and questions regarding the budget presentation.

Proposed Fiscal Year 2010 Operations and Capital Budgets and Tuition 2009-2010
(Agenda no. F-12)

It is the recommendation of the administration that the Board of Regents, pursuant to its authority under RCW 28B.20.130, the Bylaws of the Board of Regents, and Board of Regents Standing Order No. 1, approve the Fiscal Year 2010 operating and capital budgets for the University of Washington that are presented in the following text and tables. In this action item, the Board of Regents, in its sole and independent discretion:

- Adopts the Fiscal Year 2010 operating budget;
- Establishes tuition rates for all tuition categories for the 2009-10 academic year;
- Changes selected fees for Fiscal Year 2010;
- Under existing delegated authorities, authorizes the administration to revise other academic fees for the 2009-10 academic year; the fee increases approved under this authority must be consistent with the limitations specified in the "Proposed 2009-11 Fee Increases Requiring Legislative Authorization" document that is

- included in Appendix 3; the Board of Regents has determined that fee increases that are consistent with these limitations are reasonable and necessary; and
- Adopts the Fiscal Year 2010 capital budget.

MOTION: Upon the recommendation of the Chair of the Board and the motion made by Regent Jewell, seconded by Regent Kiga, the Board voted to approve the Proposed Fiscal Year 2010 Operating and Capital Budgets and Tuition 2009-10.

See Attachment F-12.

President Emmert introduced University of Washington undergraduate student Sam Sudar. In February, Mr. Sudar was selected as recipient of the Gates Cambridge Scholarship, supporting graduate study at the University of Cambridge England. Mr. Sudar graduated from Mark Morris High School in Longview, Washington, and is pursuing majors in neurobiology, philosophy and English and a minor in music. Established in October 2000 by the Bill & Melinda Gates Foundation, Gates Cambridge scholarships enable outstanding graduate students from outside the United Kingdom to study at the University of Cambridge. Scholarships are awarded based on a student's intellectual ability, leadership capacity, and desire to use their knowledge to contribute to society throughout the world by providing service to their communities and applying their talents and knowledge to improve the lives of others. Mr. Sudar has also been named a Bonderman fellow, which funds travel. Sudar plans to travel to South America and South East Asia.

Mr. Sudar told the Regents he is the son of two University of Washington alumni. Sudar said the University offered him many different opportunities, and he told the Regents he has taken advantage of all the University has to offer. At Cambridge, Mr. Sudar plans to study regenerative medicine, specifically of the spinal cord.

Eric Godfrey, Vice President and Vice Provost for Student Life; Lincoln Johnson, Assistant Vice Provost for Campus Life; Jon Lebo, Associate Director for Student Life Projects, Capital Projects Office; and Wayne Sugai, Assistant Treasurer, Treasury Office provided information on the Husky Union Building Renovation and Addition, Hall Health Primary Care Center Remodel, and the Ethnic Cultural Expansion.

Dr. David Dugdale, Professor, Department of Internal Medicine and Division of General Internal Medicine, and Director, Hall Health Care Primary Care Center provided additional information regarding Hall Health.

Sheila Edwards Lange, Vice President, Minority Affairs and Vice Provost Diversity provided background on the Ethnic Cultural Center Expansion.

Presenters shared their visions for the specific projects, and how these visions could be converted into reality to meet the needs of students. Regents received details of the financing for the projects.

Husky Union Building Renovation and Addition – Review Project Concept and Funding (Agenda no. UP-1) (Information only)

See Attachment UP-1.

Hall Health Primary Care Center Remodel – Review Project Concept and Funding (Agenda no. UP-2) (Information only)

See Attachment UP-2.

Ethnic Cultural Center Expansion – Review Project Concept and Funding (Agenda no. UP-3) (Information only)

See Attachment UP-3.

President Emmert introduced student athletes and coaches from the University's three national championship teams: women's cross-country, men's crew, and women's softball.

Women's Softball Coach, Heather Tarr, shared her experience as a student athlete at University of Washington, and introduced team members Amanda Fleischman, Ashley Tuiasosopo, Morgan Stuart, and Danielle Lawrie.

Women's Cross Country team member Brooke Anderson introduced herself.

Men's Crew Coach Michael Callahan introduced two members of the team – Team Captain Rob Gibson and Will Crothers, both from Kingston Ontario.

Athletic Director Scott Woodward thanked the Regents for their support of student athletes, allowing them to compete at the highest level.

CONSENT AGENDA

Regent Cole noted there were seventeen items for approval on the consent agenda, including the addition of A-11, and called for a motion.

MOTION: Upon the recommendation of the Chair of the Board and the motion made by Regent Brotman, seconded by Regent Jewell the Board voted to approve the seventeen items on the consent agenda as shown below:

Minutes for the meeting of May 14, 2009

UW Medicine Board Appointments and Reappointments (Agenda no. A-2)

It is the recommendation of the University President and the Academic and Student Affairs Committee that the Board of Regents make the following new appointment and reappointments to the UW Medicine Board:

New Appointment

Alan Frazier	July 1, 2009 – June 30, 2012
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Reappointments

Mike Garvey	February 1, 2009 – January 31, 2012
Allan Golston	November 1, 2008 – October 31, 2011
Charlotte Guyman	July 1, 2009 – June 30, 2010
Julie Nordstrom	November 1, 2008 – October 31, 2011
Dennis Okamoto	October 1, 2009 – September 30, 2012
JoAnn Taricani	July 1, 2008 – June 30 2011

See Attachment A-2.

Changes in UW Medicine Board Bylaws (Agenda no. A-3)

It is the recommendation of the President that the Board of Regents adopt the proposed amendments to the Bylaws of the University of Washington Medicine Board.

See Attachment A-3.

Granting of Degrees for 2008-09 (Agenda no. A-4)

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents approve the granting of degrees to those individuals who, in the judgment of the faculty, have satisfied the requirements for their respective degrees during the 2008-2009 academic year.

See Attachment A-4.

Establishment of the Graduate Certificate in Women Studies (Agenda no. A-5)

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents grant authority to the graduate faculty in the Department of Women Studies to offer the Graduate Certificate in Women Studies, effective Autumn Quarter, 2009. The certificate program will have provisional status with a review to be scheduled in the 2014-2015 academic year. At such time that continuing status is granted, a ten-year review cycle would begin.

See Attachment A-5.

Services and Activities Fee – University of Washington Bothell; 2009-10 Distribution of Fees and Allocation of Funds (Agenda no. A-6)

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents approve for the University of Washington Bothell:

- 1) Recommended Services and Activities Fee Budget for 2009-10; and
- 2) Recommendation for Long Term Fund Expenditures.

See Attachment A-6.

Student Technology Fee Adjustment for the UW Bothell Campus (Agenda no. A-7)

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents approves an increase in the Student Technology Fee at the UW Bothell campus by 5% from its current level of \$40/quarter to \$42/quarter for the 2009-10 academic year.

See Attachment A-7.

Services and Activities Fee – University of Washington, Tacoma: Distribution of Fees and Allocation of Funds (Agenda no. A-8)

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents approve the following Services and Activities Fee proposals for the University of Washington Tacoma:

- 1) an increase in the Services and Activities Fee for academic year 2009-10,
- 2) the distribution of Services and Activities Fee for 2009-10; and
- 3) the operating budgets and expenditures recommended for 2009-10.

See Attachment A-8.

Services and Activities Fee – University of Washington, Seattle: 2009-10 Operating and Capital Allocations (Agenda no. A-9)

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents approve for the Seattle campus:

- 1) Raising the Services & Activities (S&A) Fee level for 2009-10 from \$108 per full-time student per quarter to \$113; and
- 2) Allocating \$11,823,182 for 2009-10 S&A Fee operating and capital funds.

See Attachment A-9.

Reorganizations Within the College of the Environment (Agenda no. A-11)

It is the recommendation of the administration and the Academic and Student Affairs Committee that the following academic program consolidations be effective July 1, 2009:

Consolidation of the College of Forest Resources, as the School of Forest Resources, into the College of the Environment;

Reorganization of the Department of Atmospheric Sciences, with its relocation from the College of Arts and Sciences to the College of the Environment;

Reorganization of the Department of Earth and Space Sciences, with its relocation from the College of Arts and Sciences to the College of the Environment;

Reorganization of the School of Marine Affairs, with its relocation from the College of Ocean and Fishery Sciences to the College of the Environment;

Reorganization of the Program on the Environment, with its relocation from the College of Arts and Sciences to the College of the Environment.

See Attachment A-11.

Grant and Contract Awards – April, 2009 (Agenda no. F-1)

It was the recommendation of the administration and the Finance, Audit and Facilities Committee the Board of Regents accept Grant and Contract Awards for the month of April, 2009, in the total amount of \$88,144,990.

See Attachment F-1.

On-Call Medical Master Term Agreement – Select Architect (Agenda no. F-2)

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the President be delegated authority to award an on-call Medical Master Term Agreement to NBBJ, subject to successful negotiation of the architectural and professional services agreement. This architectural agreement will replace an existing master term agreement with Taylor Gregory Butterfield Architects that expired May 30, 2009.

In the event of an unsuccessful negotiation with the selected firm, it is requested that authority be delegated to open negotiations with Zimmer Gunsul Frasca Architects LLP, the firm recommended as first alternate.

See Attachment F-2.

Approval to Refinance UNISYS Contract (Agenda no. F-3)

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the Board of Regents:

- 1) Approve a financing contract with Key Government Finance/UNISYS Leasing Worldwide in the amount of \$5.4 million to finance the extension of an existing software license and the acquisition of data storage equipment.
- 2) Delegate to the President or his designee, the authority to execute documents as required to complete this financing transaction.

See Attachment F-3.

UW Seattle Parking and U-PASS Rate Revisions (Agenda no. F-7)

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the Board of Regents adopt the attached “Seattle Campus Parking and U-PASS Fee Schedule” effective June 26, 2009 for summer quarter products and effective July 1, 2009 for daily, monthly, and annual products.

See Attachment F-7.

UW Bothell Phase 3 – Review Project Predesign, Select Architect, and Delegate Authority to Award a Design Contract (Agenda no. F-8)

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the President be delegated authority to award a design contract for the UW Bothell Phase 3 project to the firm of THA Architecture, subject to successful contract negotiations.

In the event of an unsuccessful negotiation with the selected firm, it is requested that authority be delegated to open negotiations with ZGF Architects, the firm recommended as first alternate.

See Attachment F-8.

Molecular Engineering Interdisciplinary Academic Building (MEIAB) – Approve Debt Funding and Budget Adjustment (Agenda no. F-9)

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the Board of Regents approve:

- 1) Revision of the project budget and scope for the Molecular Engineering Building from a \$78,500,000, 77,000 gross square foot (GSF) three-story building to a newly recommended 89,300 GSF four-story building. The revised budget for design and construction is \$77,723,000, and the total project budget is \$78,500,000; and
- 2) The use of the Internal Lending Program to fund up to \$74,000,000 for design, construction, and equipment.

See Attachment F-9.

Burke Museum Project – Select Architect and Delegate Authority to Award a Design Contract (Agenda no. F-10)

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the President be delegated authority to award a design contract for the Burke Museum Project with the firm of Olson Sundberg Kundig Allen Architects, subject to successful negotiation of an architectural agreement.

In the event of an unsuccessful negotiation with the selected firm, it is requested that authority be delegated to open negotiations with THA Architecture, the firm recommended as first alternate.

See Attachment F-10.

STANDING COMMITTEES

ACADEMIC AND STUDENT AFFAIRS COMMITTEE: Regent Barer

Regent Barer asked Provost Wise if she wished to highlight specific appointments.

Academic and Administrative Appointments (Agenda no. A-1)

MOTION: Upon the recommendation of the administration and the motion made by Regent Barer, seconded by Regent Gates, the Board voted to approve the personnel appointments. Regent Willynck abstained from the discussion and vote.

See Attachment A-1.

University of Washington Bothell Student Housing (Agenda no. A-10) (Information only)

Regent Barer reported the Academic and Student Affairs Committee received a briefing regarding UW Bothell's initial entry into student housing, specifically a one-year lease, with six extensions, on a 24-unit apartment building. This item is scheduled to come to the Board for approval at the July meeting.

See Attachment A-10.

FINANCE, AUDIT AND FACILITIES COMMITTEE: Regent Blake, Chair

Actions Taken Under Delegated Authority (Agenda no. F-4) (Information only)

See Attachment F-4.

Report of Contributions – April, 2009 (Agenda no. F-5) (Information only)

The total gifts received in April, 2009, was \$21,331,518, the total for the year to date is \$252,823,146.

See Attachment F-5.

Investment Performance Report, Third Quarter Fiscal Year 2009 (Agenda no. F-6) (Information only)

See Attachment F-6.

UW Medicine at South Lake Union – Phase III Option Amendment (Agenda no. F-11) (Information only)

See Attachment F-11.

Regent Blake highlighted items from the Finance, Audit, and Facilities Committee meeting. The Board voted to approve the Parking and U-PASS rate revisions on the Consent Agenda, adopting a percentage increase in the cost of the U-PASS for students. Due to an increased use of subsidized transit, less single occupancy vehicles park on campus.

UW Bothell representatives presented Phase III of the campus design. The Board approved the request to award an architect contract.

The Committee discussed the Molecular Engineering and Interdisciplinary Academic Building. This project was previously approved but returned to the Board due to a change in project scope. The original scope of the project included an initial three-floor building (Phase 1), followed by a second five-floor building (Phase 2). Due to a decrease in construction costs, the revised proposal reflected building the first building with four floors. The additional floor would not be “built out,” rather, it would be a “shell.” The University hopes to receive federal grant funding to finish the fourth floor of the Phase 1 building. This would require another change in scope.

The Regents approved awarding an architect contract for predesign of the Burke Museum. This could place the museum in a position to raise funds for a renovation through private support.

Regents received an update on Medical Center activities in the South Lake Union area. Regents requested a tour of the South Lake Union space, as well as research space at Harborview, to learn about the different types of activities at each location. The possibility was raised of holding a Board of Regents meeting at the South Lake Union facility.

REPORTS FROM EX OFFICIO REPRESENTATIVES TO THE BOARD OF REGENTS

Faculty Senate Chair: Professor David Lovell

Professor Lovell reminded Regents he will attend one additional meeting in his role as Faculty Senate Chair. Professor Bruce Ballick is the incoming Chair. Dr. Ballick has shared his plan to implement a process for Regents to periodically visit departments to learn about research and teaching; student involvement in research; and the strategic impact of these activities on UW's mission. The initial visit is scheduled for September 17, following the Board meeting. Regents will visit the Department of Astronomy.

Dr. Lovell reported the faculty salary policy discussion continues. In order to be more flexible and responsive, faculty leadership plans to move forward with reconsideration of the faculty code. The Faculty Council on Faculty Affairs is charged with this task, and a special committee will be appointed. Senate leadership continues to work on a proposal to restructure the faculty senate.

Lovell said there are plans to consider an alternate internal budgeting model, known as "activity based budgeting." A Faculty Senate committee will convene to look into this.

Dr. Lovell said the University should keep a clear distinction between the focus areas and its strategic plan. He noted the University is a place where confrontation and discussion can occur together.

ASUW President: Mr. Anttimo Bennett

Mr. Bennett introduced ASUW Seattle President-elect, Tim Mensing and ASUW Bothell President-elect, Xheni Diko. Jonathan Long is the ASUW Tacoma President-elect. Mr. Bennett characterized the last year as good and challenging. He expressed his appreciation to the Regents, President Emmert, and Provost Wise for being instrumental in their service to students. He noted Regent Cole's sense of humor, humility and integrity in leading the Regents. President Emmert thanked Mr. Bennett for his service.

GPSS President: Mr. Jake Faleschini

Mr. Faleschini thanked Anttimo Bennett for his work as ASUW President. Mr. Faleschini thanked the Regents for their leadership during the challenging year. He extended special thanks to Vice Provost and Vice President for Student Life, Eric Godfrey, and thanked student leaders, staff, and volunteers. Mr. Faleschini offered special thanks to Provost Wise and President Emmert for maintaining open doors, the time they spent with him, and their willingness to engage in emerging issues.

Alumni Association President: Mr. Rick Osterhout

Mr. Osterhout reported 12,500 people will graduate from the University this month. 5,000 graduates are expected to participate in the commencement ceremony on Saturday, June 13. Mr. Osterhout announced 1,300 students have joined the Alumni Association as annual or life members and purchased "grad packs."

Mr. Osterhout described the move of the Alumni Association to the "S" Wing of the UW Tower.

Mr. Osterhout told the Regents about the Husky Summer Celebration events throughout the country, including the recent 36th annual salmon barbeque in New York and Husky Night at the Mariners on July 10.

UWAA Executive Director, Paul Rucker, spoke briefly and said he looks forward to working with the Board and the alumni community.

President Emmert and Regent Cole thanked Mr. Osterhout for his service to the University and the Alumni association.

DATE FOR NEXT MEETING

The next regular meeting of the Board of Regents will be held on Thursday, July 16, 2009, on campus.

EXECUTIVE SESSION

Regent Cole called for the Regents to meet in an executive session to review the performance of a public employee.

ADJOURNMENT

The regular meeting reconvened and was adjourned at 3:25 p.m.



Joan Goldblatt
Secretary of the Board of Regents

Approved at the meeting of the Board on July 16, 2009.