AGENDA
BOARD OF REGENTS
University of Washington

October 16, 2008
3:00 p.m.
UW Tower, Room T–22

I. CALL TO ORDER
II. ROLL CALL
III. CONFIRM AGENDA
IV. REPORT OF THE CHAIR OF THE BOARD OF REGENTS: Regent Cole
V. REPORT OF THE UNIVERSITY PRESIDENT: Dr. Emmert
VI. CONSENT AGENDA

Approval of Minutes of Meeting of September 18, 2008

Establishment of the Master of Laws in Health Law A–2
H
Human Resources, Benefits Office–UW Retirement Plan A–3
Grant and Contract Awards Summary–June, 2008 F–2
Hall Health Primary Care Center Clinical Units Remodel–Select Architect F–3
Benjamin D. Hall Interdisciplinary Research Building Applied Physics Laboratories Tenant Improvements–Approve Budget Adjustment F–4
UWMC NN 1st Floor Radiation Oncology Renovation–Approve Budget Adjustment F–5
Student Administration System Development–Kuali Project F–8
SEIU Local 925 Supervisory Collective Bargaining Agreement–UW Ratification F–9
SEIU Local 925 Research Technologist Collective Bargaining Agreement–UW Ratification F–10
SEIU Local 925 Research Technologist Supervisor Collective Bargaining Agreement–UW Ratification F–11
SEIU Local 925 Nonsupervisory Collective Bargaining Agreement–UW Ratification F–12
Washington Federation of State Employees Collective Bargaining Agreement–UW Ratification F–13
UW Police Officers Association Collective Bargaining Agreement–UW Ratification F–14
SEIU Local 1199NW Collective Bargaining Agreement–UW Ratification \( F–15 \)

SEIU Local 925 Healthcare Professional/Laboratory Technical Collective Bargaining Agreement–UW Ratification \( F–16 \)

Inlandboatmen’s Union of the Pacific Collective Bargaining Agreement–UW Ratification \( F–17 \)

WFSE Police Management Association Collective Bargaining Agreement–UW Ratification \( F–18 \)

Washington State Nurses Association Collective Bargaining Agreement–UW Ratification \( F–19 \)

Husky Stadium Renovation–Approval of Pre-Development Contract \( F–26 \)

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee: Regent Simon – Chair

Academic and Administrative Appointments (ACTION) \( A–1 \)

Accreditation Update (Information only) \( A–4 \)

Joint Session

A. Academic and Student Affairs Committee: Regent Simon – Chair

B. Finance and Audit Committee: Regent Blake – Chair

UW Tacoma Master Plan (Information only) \( F–27 \)

UW Technology Transfer (Information only) \( F–28 \)

UW Medicine Board Financial Report (Information only) \( F–29 \)

B. Finance, Audit and Facilities Committee: Regent Blake – Chair

Report of Contributions–July and August, 2008 (Information only) \( F–1 \)

Actions Taken Under Delegated Authority (Information only) \( F–6 \)

Global Economic Update (Information only) \( F–7 \)

Report of Contributions Campaign Summary July 1, 2000–June 30, 2008 (Information only) \( F–20 \)

Rainier Vista Concept Plan (Information only) \( F–21 \)

Molecular Engineering Interdisciplinary Academic Building (MEIAB)–Review Schematic Design (Information only) \( F–22 \)

Capital Projects Office Semi-Annual Status Report (Information only) \( F–23 \)

Internal Lending Program Quarterly Report (Information only) \( F–24 \)
VIII. OTHER BUSINESS

Reports from ex-officio representatives to the Board:

Faculty Senate Chair – Professor David Lovell
ASUW President – Mr. Anttimo Bennett
GPSS President – Mr. Jake Faleschini
Alumni Association President – Mr. Rick Osterhout

IX. DATE FOR NEXT REGULAR MEETING: November 20, 2008

X. ADJOURNMENT
1. **Academic and Administrative Appointments**
   Phyllis M. Wise, Provost and Executive Vice President

2. **Establishment of the Master of Laws in Health Law**
   James S. Antony, Associate Dean for Academic Programs, The Graduate School
   Patricia Kuszler, Professor, School of Law
   Jennifer Snider, Program Manager, School of Law
   Gregory Hicks, Dean, School of Law

3. **Human Resources, Benefits Office–UW Retirement Plan**
   Kathleen Dwyer, Director of Benefits & Work-Life

4. **Accreditation Update**
   Douglas Wadden, Executive Vice Provost
   Ed Taylor, Dean, Vice Provost, Undergraduate Academic Affairs
   Ana Mari Cauce, Dean, College of Arts and Sciences
   Gerald Baldasty, Interim Dean, Vice Provost, The Graduate School
   James S. Antony

5. **Other Business**
1. **Grant and Contract Awards Summary–June, 2008**  
   Phyllis M. Wise, Provost and Executive Vice President  
   **ACTION** F–2

2. **Hall Health Primary Care Center Clinical Units Remodel–Select Architect**  
   Richard Chapman, Associate Vice President, Capital Projects Office  
   **ACTION** F–3

3. **Benjamin D. Hall Interdisciplinary Research Building Applied Physics Laboratories Tenant Improvements–Approve Budget Adjustment**  
   Richard Chapman  
   **ACTION** F–4

4. **UWMC NN 1st Floor Radiation Oncology Renovation–Approve Budget Adjustment**  
   Richard Chapman  
   **ACTION** F–5

5. **Actions Taken Under Delegated Authority**  
   Richard Chapman  
   **INFORMATION** F–6

   Walter G. Dryfoos, Associate Vice President, University Advancement  
   Connie Kravas, Vice President, University Advancement  
   **INFORMATION** F–1

7. **Global Economic Update**  
   Andrew Hunt, Founder and Consultant Economist, Andrew Hunt Economics  
   **INFORMATION** F–7

8. **UWINCO Update**  
   Keith Ferguson, Chief Investment Officer, Treasury Office  
   Douglas W. Breckel, Associate Vice President, Treasury Office  
   **INFORMATION** F–25

9. **Executive Session**  
   (to discuss with legal counsel representing the agency litigation to which the governing body or agency is or may be a party, when public knowledge regarding the discussion is likely to result in an adverse legal or financial consequence to the agency)

10. **Student Administration System Development–Kuali Project**  
    Sara Gomez, Vice Provost/Chief Information Officer, Office of Information Management  
    **ACTION** F–8
11. **SEIU Local 925 Supervisory Collective Bargaining Agreement–UW Ratification**
   Mindy Kornberg, Vice President, Human Resources
   Lou Pisano, Assistant Vice President of Labor Relations,
   Human Resources Labor Relations
   Paul Jenny, Vice Provost, Planning and Budgeting

   **ACTION** F–9

12. **SEIU Local 925 Research Technologist Collective Bargaining Agreement–UW Ratification**
   Mindy Kornberg
   Lou Pisano
   Paul Jenny

   **ACTION** F–10

13. **SEIU Local 925 Research Technologist Supervisor Collective Bargaining Agreement–UW Ratification**
   Mindy Kornberg
   Lou Pisano
   Paul Jenny

   **ACTION** F–11

14. **SEIU Local 925 Nonsupervisory Collective Bargaining Agreement–UW Ratification**
   Mindy Kornberg
   Lou Pisano
   Paul Jenny

   **ACTION** F–12

15. **Washington Federation of State Employees Collective Bargaining Agreement–UW Ratification**
   Mindy Kornberg
   Lou Pisano
   Paul Jenny

   **ACTION** F–13

16. **UW Police Officers Association Collective Bargaining Agreement–UW Ratification**
   Mindy Kornberg
   Lou Pisano
   Paul Jenny

   **ACTION** F–14

17. **SEIU Local 1199NW Collective Bargaining Agreement–UW Ratification**
   Mindy Kornberg
   Lou Pisano
   Paul Jenny

   **ACTION** F–15

18. **SEIU Local 925 Healthcare Professional/Laboratory Technical Collective Bargaining Agreement–UW Ratification**
   Mindy Kornberg
   Lou Pisano
   Paul Jenny

   **ACTION** F–16

19. **Inlandboatmen’s Union of the Pacific Collective Bargaining Agreement–UW Ratification**
   Mindy Kornberg
   Lou Pisano
   Paul Jenny

   **ACTION** F–17
20. **WFSE Police Management Association Collective Bargaining Agreement–UW Ratification**
   Mindy Kornberg
   Lou Pisano
   Paul Jenny
   **ACTION** F–18

    Mindy Kornberg
    Lou Pisano
    Paul Jenny
    **ACTION** F–19

22. **Report of Contributions Campaign Summary**
    **July 1, 2000–June 30, 2008**
    Walter G. Dryfoos
    Connie Kravas
    **INFORMATION** F–20

23. **Rainier Vista Concept Plan**
    Kristine Kenney, University Landscape Architect
    Richard Chapman
    **INFORMATION** F–21

24. **Molecular Engineering Interdisciplinary Academic Building (MEIAB)–Review Schematic Design**
    Richard Chapman
    **INFORMATION** F–22

25. **Capital Projects Office Semi-Annual Status Report**
    Richard Chapman
    **INFORMATION** F–23

26. **Internal Lending Program Quarterly Report**
    Chris Malins, Senior Associate Treasurer, Treasury Office
    **INFORMATION** F–24

27. **Other Business**
1. Husky Stadium Renovation—Approval of Pre-Development Contract  
   Scott Woodward, Athletic Director  
   John Palewicz, Director, Capital Projects Central, Capital Projects Office

2. UW Tacoma Master Plan  
   Patricia Spakes, Chancellor, UW Tacoma  
   Ysabel Trinidad, Vice Chancellor, Administrative Services, UW Tacoma  
   Beth Rushing, Vice Chancellor for Academic Affairs, UW Tacoma  
   Lee Copeland, Architectural Advisor to the University of Washington  
   Brodie Bain, Principal, Mithun  
   Elisabeth Goldstein, Programmer and Planner, Mithun

3. UW Technology Transfer  
   Linden Rhoads, Vice Provost for Technology Transfer

4. UW Medicine Board Financial Report  
   Orin Smith, Chair, UW Medicine Board  
   Paul Ramsey, CEO, UW Medicine, Executive Vice President for Medical Affairs, and Dean of the School of Medicine

5. Other Business
The Board of Regents held its regular meeting on Thursday, October 16, 2008, beginning at 3:00 p.m. in Room T-22, UW Tower. The notice of the meeting was appropriately provided to the public and the press.

CALL TO ORDER

ROLL CALL

Assistant Secretary Keith called the roll: Present were Regents Cole (presiding), Blake, Gates, Jewell, Kiga, Proctor, Simon, Willynck; Dr. Emmert, Dr. Wise, Ms. Warren, Ms. Goldblatt; ex-officio representatives: Professor Lovell, Mr. Bennett, Mr. Faleschini, Mr. Osterhout.

Absent: Regents Barer and Brotman

CONFIRM AGENDA

The agenda was confirmed as presented.

REPORT OF THE CHAIR OF THE BOARD OF REGENTS: Regent Cole

Regent Cole reported President Emmert was elected to the National Academy of Public Administration, a non-profit, independent coalition of top public management and organizational leaders, who tackle the nation’s most critical and complex challenges.

The Academy’s Fellows include current and former Cabinet officers, members of Congress, governors, mayors, state legislators, diplomats, business executives, local public managers, foundation executives, and scholars. Fellows are selected by current Academy members based on their sustained contribution to the field of public administration though public service or scholarship. New Fellows will be introduced to the Academy during the 2008 fall meeting, which will take place November 19 to 21, in Washington, D.C.

Regent Cole praised President Emmert for his accomplishment as a great leader and public administrator.

Regent Cole reported Provost Phyllis Wise is one of two UW faculty members who have been elected to the Institute of Medicine, a national resource for independent, scientifically informed analysis and recommendations on human health issues. Along with her service as Provost and Executive Vice President, Wise is a professor of physiology, biophysics, biology, obstetrics, and gynecology.
Regent Cole expressed what a great honor these appointments are for the University’s two top administrators. Regents and attendees applauded Provost Wise and President Emmert.

REPORT OF THE UNIVERSITY PRESIDENT: Dr. Emmert

President Emmert said the institution is proud of Provost Wise’s election to the Institute of Medicine. Professor Lawrence Corey from Laboratory Medicine and Microbiology in the School of Medicine was elected as well.

The President commended Professor David Montgomery, from the Department of Earth and Space Sciences, for being named one of 25 MacArthur Fellows, receiving a “genius grant.” The University now has thirteen faculty members who are MacArthur award winners.

President Emmert introduced Nursing School Dean Marla Salmon and School of Pharmacy Dean Thomas Baillie.

Marla Salmon was appointed Dean of the UW Nursing School on October 1, 2008, following an extensive national search. The School has been ranked number one since 1984, when the rankings began. Dr. Marla Salmon joined the UW from Emory University in Atlanta, Georgia, where she was Professor of Health Policy and Management; Director of the Lillian Carter Center of International Nursing; and Dean of the Nell Hodgson Woodruff School of Nursing.

Dr. Salmon’s research interests include national and international workforce policy and development, health care reform and policy, and public health and community nursing. She received a bachelor’s degrees in political science and nursing from the University of Portland, a Doctor of Science from the Johns Hopkins University School of Hygiene and Public Health, and a Master of Science from the University of Portland School of Nursing.

Dean Salmon expressed how daunting and remarkable it is to be the Dean at the number one school of nursing in the world. She added with the high ranking comes a tremendous responsibility, and said things can be accomplished uniquely at the UW to make a difference in the world, with research and science education service, that can’t be done at other Universities. Regent Gates asked Dean Salmon what can be done at the UW that can’t be done elsewhere. Dean Salomon explained we need to assure there are an adequate number of nurses to deliver services, to reach people, and create a context in which people can care for themselves. She added the fundamental challenge faced globally is there will never again be the number of nurses or health professionals there have been in the past. The challenge is how to assure the capacity to deliver services and reach people.

Dean Salmon said she feels the solution lies in innovation and the interface between technology, information science, and the built environment. The people served by nursing are the hub, rather than nursing itself. Nursing forms a unique community to
deploy on behalf of caring, with a global responsibility across disciplines and boundaries.

Regent Proctor expressed concern regarding the number of nurses the United States takes from the world to bring to this country. Dean Salmon explained in her work at the Lillian Carter Center for International Nursing there was a commitment to capacity-building and the development of reasonable trade policy and reciprocity to build infrastructure and programs. She is committed to the development of solutions.

The President said when Dean Salmon interviewed for the position, she asked him if there was a commitment by the UW’s administration to do the right thing at the cost of the number one ranking, and he and Provost Wise assured her the commitment was there.

President Emmert introduced School of Pharmacy Dean Thomas Baillie whose appointment at the University began October 1, 2008. Dean Baillie returned to the University of Washington following a career at Merck. Baillie began his academic career at the University of London, held an appointment at UCSF, and had a distinguished career on the faculty at the University of Washington. Baillie holds a Bachelors of Science in chemistry, a Ph.D. in organic chemistry, and D.Sc. in chemistry from the University of Glasgow. He earned a Masters in Science in biochemistry from the University of London.

Dean Baillie told the Regents he feels the UW offers great opportunity, and said Pharmacy as a discipline is at a crossroads due to the rapidly changing nature of health care delivery. Pharmacists have an important role to play, switching from the traditional focus of dispensing drugs to an advisory capacity, based on the trust people have in them. The UW School of Pharmacy, in Dean Baillie’s opinion, is one of the best anywhere in the world, and it has an opportunity to grow and develop.

Dean Baillie explained his training is in chemistry; he is not a pharmacist. He said the faculty, President Emmert, and Provost Wise were interested in his leadership ability. At Merck he received experience in leading a large complex, multidisciplinary organization.

Regent Proctor asked Dean Baillie about the condition of the facilities at the School of Pharmacy. Dean Baillie said during his previous time on the UW faculty, the School of Pharmacy was located in Bagley, so the facilities are somewhat improved but there is an opportunity to further enhance the facilities.

The President commented, with the hiring of Deans Baillie and Salmon, Provost Wise has assembled a remarkable group of Deans. Regents Gates inquired as to the status of the Law School Dean search. Provost Wise assured him it was progressing.

In closing, President Emmert commented on the current and upcoming economic challenges. The State biennium budget session will face impingements. The President told the Regents the UW has to work hard to retain the progress it gained in recent
years. The UW will need to maintain momentum to keep current with the competition; it must avoid losing ground where great academic progress has been made, and position itself for the next decade. The President said the University is committed to the delivery of quality education.

Regent Jewell asked the President how the “credit crunch” is impacting students’ ability to get loans. The President explained the UW has a direct lending program, so does not rely on the commercial banking industry for student loans, although some students in graduate and professional schools obtain loans in that market. Vice Provost Eric Godfrey reiterated the UW participates in the federal direct lending program which protects us from the ups and downs of the financial sector, so the majority of students have loans available to them. Godfrey’s office is working one-on-one with students with other loan sources to identity private lending alternatives. The UW is one of the inaugural schools in the loan program established during the Clinton administration under the Higher Education Act.

Regent Simon asked if students are leaving school to work because of the financial situation and the economy. GPSS President Jake Faleschini said graduate students are feeling the crunch. The President said there is a long term trend of economic down cycles bringing more students into higher education, especially into professional programs. This is especially true in the community college system. ASUW President Anttimo Bennett added more students need to work while they are in school.

Provost Wise said not only did UW accept its largest freshman class on all three campuses, but the number of students who are sophomores, juniors, and seniors (because they stayed in school) has increased. UW’s Seattle campus has about 1,000 more students than it did last year.

Regent Cole asked if this was also true at other institutions. Provost Wise replied she does not have those figures yet, but she expects the trend to lead to higher retention and graduation rates.

CONSENT AGENDA

Regent Cole then said there were twenty items for approval on the consent agenda, and called for a motion.

**MOTION:** Upon the recommendation of the Chair of the Board and the motion made by Regent Jewell, seconded by Regent Kiga, the Board voted to approve the twenty items on the consent agenda as shown below. Regent Willynck abstained from the vote.

Minutes for the meeting of September 18, 2008

**Establishment of the Master of Laws in Health Law** (Agenda no. A–2)

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents grant authority to the School of Law to offer the
Master of Laws in Health Law degree program, effective Autumn Quarter 2009. The
degree program will have provisional status with a review to be scheduled in the 2014-
2015 academic year. At such time that continuing status is granted, a ten-year review
cycle would begin.

See Attachment A–2.

**Human Resources Benefits Office–UW Retirement Plan** (Agenda no. A–3)

It is the Recommendation of the Academic and Student Affairs Committee that the Board
of Regents approve and adopt the University of Washington Retirement Plan and
University of Washington 401(a) Supplemental Retirement Plan, each as amended and
restated effective January 1, 2009 (collectively, the “Plans”), in or substantially in the
form presented to this meeting.

It is further recommended that the Board of Regents authorize the officers of the
University to make such changes in the Plans, not substantially at variance with the
documents presented to this meeting, to execute the Plans in the University’s name, and
to take such other actions as they deem necessary or appropriate to implement the Plans,
including (without limitation) establishment and funding (up to $1,000) of a trust for the
401(a) Supplemental Retirement Plan, execution of a trust agreement with one or more
trustees, submission of governmental filings, including one or more applications to the
Internal Revenue Service for determination letters, preparation and execution of
additional documentation to provide the intended benefits, and communications with
employees.

See Attachment A–3.

**Grant and Contract Awards Summary–June, 2008** (Agenda no. F–2)

It was the recommendation of the administration and the Finance, Audit and Facilities
Committee the Board of Regents accept Grant and Contract Awards for the month of
June, in the total amount of $205,930,900.

See Attachment F–2.

**Hall Health Primary Care Center Clinical Units Remodel–Select Architect** (Agenda
no. F–3)

It is the recommendation of the administration and the Finance, Audit and Facilities
Committee that the President be delegated authority to award a design contract to the firm
of Miller Hayashi Architects for the Hall Health Primary Care Clinic (HHPCC) Remodel
project, subject to successful negotiation of an architectural agreement.

In the event of an unsuccessful negotiation with the selected firm, it is requested that
authority be delegated to open negotiations with Taylor Gregory Butterfield Architects
and Clark Kjos Architects, the firms recommended as first and second alternates,
respectively.
See Attachment F–3.

**Benjamin D. Hall Interdisciplinary Research Building Applied Physics Laboratories Tenant Improvements–Approve Budget Adjustment**  (Agenda no. F–4)

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the Benjamin D. Hall Interdisciplinary Research Building Applied Physics Laboratories (APL) Tenant Improvements project budget be increased from $5,675,000 to $8,700,000 and that the President be delegated authority to award a construction change order to the firm of M. A. Mortenson Company subject to no further significant changes in the scope; the forecast cost being within 10% of the revised budget, and funding being in place.

See Attachment F–4.

**UWMC NN 1st Floor Radiation Oncology Renovation–Approve Budget Adjustment**  (Agenda no. F–5)

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the Board of Regents approve an increase in the total project budget for the University of Washington Medical Center (UWMC) NN 1st Floor Radiation Oncology Renovation project from $4,177,107 to $5,300,000.

See Attachment F–5.

**Student Administration System Development–Kuali Project**  (Agenda no. F–8)

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the President or the President’s authorized representative be delegated authority to enter into appropriate contracts and related expenditures in an amount not to exceed $6,250,000 to become a Kuali Foundation Partner and to participate in development of student administration systems software.

This commitment includes $5,000,000 to become a Kuali Foundation Partner ($1,000,000 per year over five years). In addition, the University of Washington (UW) has budgeted $1,250,000 in operating expenses to support the project, ($250,000 per year over five years). This includes funding for staff training, travel, equipment, and contingency funds.

See Attachment F–8.

**SEIU Local 925 Supervisory Collective Bargaining Agreement–UW Ratification**  (Agenda no. F–9)

It is the recommendation of the Administration that the Board of Regents approve the Collective Bargaining Agreement between the University of Washington and SEIU Local 925 that covers approximately 435 Supervisory employees on all UW Campuses, UW
Medical Center and Harborview Medical Center. In addition, certain provisions of the Agreement will cover regular temporary employees who enter the bargaining unit upon completion of 350 hours of bargaining unit work within a consecutive 12 month period. The duration of the Agreement is from July 1, 2009 through June 30, 2011.

See Attachment F–9.

**SEIU Local 925 Research Technologist Collective Bargaining Agreement–UW Ratification** (Agenda no. F–10)

It is the recommendation of the Administration that the Board of Regents approve the Collective Bargaining Agreement between the University of Washington and SEIU Local 925 that covers approximately 216 Research Technologists on all UW Campuses, UW Medical Center and Harborview Medical Center. In addition, certain provisions of the Agreement will cover regular temporary employees who enter the bargaining unit upon completion of 350 hours of bargaining unit work within a consecutive 12 month period. The duration of this Agreement is from July 1, 2009 through June 30, 2011.

See Attachment F–10.

**SEIU Local 925 Research Technologist Supervisor Collective Bargaining Agreement–UW Ratification** (Agenda no. F–11)

It is the recommendation of the Administration that the Board of Regents approve the Collective Bargaining Agreement between the University of Washington and SEIU Local 925 that covers approximately 11 Research Technologist Supervisors on all UW Campuses, UW Medical Center and Harborview Medical Center. In addition, certain provisions of the Agreement will cover regular temporary employees who enter the bargaining unit upon completion of 350 hours of bargaining unit work within a consecutive 12 month period. The duration of the Agreement is from July 1, 2009 through June 30, 2011.

See Attachment F–11.

**SEIU Local 925 Nonsupervisory Collective Bargaining Agreement–UW Ratification** (Agenda no. F–12)

It is the recommendation of the Administration that the Board of Regents approve the Collective Bargaining Agreement between the University of Washington and SEIU Local 925 that covers approximately 4,500 Nonsupervisory employees on all UW Campuses, UW Medical Center and Harborview Medical Center. In addition, certain provisions of the Agreement will cover regular temporary employees who enter the bargaining unit upon completion of 350 hours of bargaining unit work within a consecutive 12 month period. The duration of the Agreement is from July 1, 2009 through June 30, 2011.

See Attachment F–12.

It is the recommendation of the Administration that the Board of Regents approve a Master Collective Bargaining Agreement between the University of Washington and the Washington Federation of State Employees (WFSE) that covers approximately 2,793 employees in six bargaining units at the University of Washington, UW Medical Center and Harborview Medical Center. The duration of the Agreement is from July 1, 2009 through June 30, 2011.

See Attachment F–13.

UW Police Officers Association Collective Bargaining Agreement–UW Ratification (Agenda no. F–14)

It is the recommendation of the Administration that the Board of Regents approve the Collective Bargaining Agreement between the University of Washington and the UW Police Officers Association that covers approximately 40 employees at the University of Washington. The duration of the Agreement is from July 1, 2009, through June 30, 2011.

See Attachment F–14.

SEIU Local 1199NW Collective Bargaining Agreement–UW Ratification (Agenda no. F–15)

It is the recommendation of the Administration that the Board of Regents approve the Master Collective Bargaining Agreement between the University of Washington and SEIU Local 1199NW that covers approximately 1,555 employees (Registered Nurse, Professional/Technical, Social Worker, Health Care Specialist, Respiratory Therapist/Anesthesiology Technician Bargaining Units) at Harborview Medical Center. The duration of the Agreement is from July 1, 2009 through June 30, 2011.

See Attachment F–15.

SEIU Local 925 Healthcare Professional/Laboratory Technical Collective Bargaining Agreement–UW Ratification (Agenda no. F–16)

It is the recommendation of the Administration that the Board of Regents approve the Collective Bargaining Agreement between the University of Washington and SEIU Local 925 that covers approximately 845 Healthcare Professional/ Laboratory Technical employees at UW Medical Center. In addition, certain provisions of the Agreement will cover regular temporary employees who enter the bargaining unit upon completion of 350 hours of bargaining unit work within a consecutive 12 month period. The duration of this Agreement is from July 1, 2009 through June 30, 2011.

See Attachment F–16.
**Inland Boatmen’s Union of the Pacific Collective Bargaining Agreement–UW Ratification** (Agenda no. F–17)

It is the recommendation of the Administration that the Board of Regents approves Collective Bargaining Agreement between the University of Washington and the Inlandboatmen’s Union of the Pacific (IBU) that covers approximately 18 employees on the Thomas G. Thompson Research Vessel. The duration of the Agreement is from July 1, 2009 through June 30, 2011.

See Attachment F–17.


It is the recommendation of the Administration that the Board of Regents approve the Collective Bargaining Agreement between the University of Washington and Washington Federation of State Employees/Police Management Association that covers approximately 11 employees at the University of Washington. The duration of the Agreement is from July 1, 2009 through June 30, 2011.

See Attachment F–18.


It is the recommendation of the Administration that the Board of Regents approve the Collective Bargaining Agreement between the University of Washington and the Washington State Nurses Association that covers approximately 1,195 Registered Nurses at UW Medical Center. The duration of the Agreement is from July 1, 2009 through June 30, 2011.

See Attachment F–19.

**Husky Stadium Renovation–Approval of Pre–Development Contract** (Agenda no. F–26)

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the President be delegated authority to sign an Agreement for Pre-Development services for the Husky Stadium Renovation and Football Operations Building with the Selected Developer, Hines Interests Limited Partnership, subject to the successful negotiation of the agreement.

In the event of an unsuccessful negotiation with the Selected Developer, it is requested that authority be delegated to open negotiations and sign a pre-development contract with Nitze-Stagen & Co., Inc., the firm recommended as the alternate.

See Attachment F–26.
STANDING COMMITTEES

ACADEMIC AND STUDENT AFFAIRS COMMITTEE: Regent Simon, Chair

Academic and Administrative Appointments (Agenda no. A–1)

MOTION: Upon the recommendation of the administration and the motion made by Regent Proctor, seconded by Regent Gates, the Board voted to approve the personnel appointments. Regent Willynck abstained from the vote.

Regent Simon described highlights from the Academic and Student Affairs Committee meeting. Regent Simon said the Board approved two action items. The first was the establishment of the Master of Laws in Health Law. This is a self-sustaining program to provide graduate and professional level study and research in the use of law to promote social justice and improve individual and global health.

The second was a change to the UW Retirement Plan for faculty, staff, and librarians, to bring it into compliance with new IRS regulation.

Accreditation Update (Agenda no. A–4) (Information only)

Regent Simon told the Board Arts & Sciences Dean Ana Mari Cauce and Executive Vice Provost Doug Wadden provided the Regents with an update on the visit from the Northwest Commission on Colleges and Universities for the Spring 2008 Regular Interim Evaluation. The accreditation of the University has been reaffirmed.

The Commission asked about the long-term definition of the three campuses. To respond to the Commission’s concerns, the leadership of the three campuses will continue to discuss the multi-campus relationships. 2013 will be the next accreditation review.

Regent Simon said the Commission congratulated the University, and requested a progress report in autumn 2009.

ACADEMIC AND STUDENT AFFAIRS COMMITTEE: Regent Simon, Chair
in joint session with

FINANCE, AUDIT AND FACILITIES COMMITTEE: Regent Blake, Chair

UW Tacoma Master Plan (Agenda no. F–27) (Information only)

Regent Blake described the presentation the Regents received from members of the Tacoma campus of a Campus Master Plan for the future. This long-range vision provides a context for approval of individual projects. The plan will allow the campus to accommodate up to 10,000 students. This item will return to the Board for action at the next meeting.

UW Technology Transfer (Agenda no. F–28) (Information only)
Regent Blake reported Linden Rhoads provided an update on the UW’s Office of Technology Transfer, and the Regents look forward to hearing future reports. Ms. Blake said Rhoads sees the Office as an important tool for recruitment and retention.

**UW Medicine Board Financial Report** (Agenda no. F–29) (Information only)

The Regents received an update on the finances of the UW Medicine Board. The Medicine Board is aware of possible challenges due to economic impacts in upcoming year, especially as it relates to a potential increase in uncompensated care. On behalf of the Board of Regents, Regent Blake offered her thanks to Orin Smith for his service as Chair of the UW Medicine Board.

**FINANCE, AUDIT AND FACILITIES COMMITTEE: Regent Blake, Chair**


The amount of total gifts received in July, 2008 was $19,560,872, $7,765,598 for August, 2008, and the total for the year to date was $27,326,670.

Regent Blake described the report from Vice President of Advancement, Connie Kravas and Associate Vice President of Advancement Services, Walt Dryfoos. Kravas and Dryfoos told the Board July and August contributions remain strong. As a result of the economy, the University, as is normal with academic and educational institutions, may see a decrease in contributions when donors choose to direct their contributions to social services, which they may perceive as having a higher need. UW Advancement does not expect a significant drop off in donations to the University.

**Actions Taken Under Delegated Authority** (Agenda no. F–6) (Information only)

**Global Economic Update** (Agenda no. F–7) (Information only)

Global Economist Andrew Hunt provided the Board with an overview of the economy. Keith Ferguson followed Hunt’s report with a status update on UW’s investments, describing the University as well-positioned but not immune. Through September 30, 2008 UW’s investments were down 18% since beginning of the calendar year; with a larger decrease in recent weeks. The UW has seen negative returns on its endowment, but Ferguson remains excited about potential investment opportunities in late 2009 or early 2010. Ms. Blake acknowledged the hard work of the UW’s Treasury office and its benefit to the University.


Regent Blake reported Connie Kravas and Walt Dryfoos provided the Committee with a summary of the recently completed campaign, highlighting participation statistics. Donations from alumni were significant and hopefully will continue. Kravas and Dryfoos are optimistic about receiving ongoing support from first-time contributors, transforming them into long-time donors.
Rainier Vista Concept Plan (Agenda no. F–21) (Information only)

The Regents received a presentation of a concept plan and vision for the Rainier Vista and questioned the cost and funding for the plan. Advancement would need to identify donors to fund the addition to the campus.

Molecular Engineering Interdisciplinary Academic Building (MEIAB)–Review Schematic Design (Agenda no. F–22) (Information only)


Due to time constraints, this item was removed from the committee agenda and will be included for information at the November, 2008 meeting.

Internal Lending Program Quarterly Report (Agenda no. F–24) (Information only)

The Regents received a quarterly update on the University’s Internal Lending Program, which included a discussion of the challenges of the current credit markets, including the UW’s ability, from its cash position, not to be compelled to enter the market to fund projects. The University currently has $10 million in commercial paper that will roll in the first part of December. If the University is unable, or if rates are inappropriate at that time, to roll that commercial paper, the University’s position would allow it to fund its projects with cash.

Regent Proctor commended the staff and administration for their extraordinary work over the last few weeks during the challenging economic times. The Finance, Audit, and Facilities Committee expressed appreciation for the Treasury staff’s hard work to secure the University’s financial position.

UWINCO Update (Agenda no. F–25) (Information only)

REPORTS FROM EX OFFICIO REPRESENTATIVES TO THE BOARD OF REGENTS

Faculty Senate Chair:  Professor David Lovell

Professor Lovell updated the Board on Faculty Senate activities including those pertaining to the RCEP process (Reorganization, Consolidation, and Elimination of Programs). Faculty legislation is pending to streamline the process to allow for more open discussion. The proposed changes would allow faculty from outside, as well as inside, the affected units to voice concerns and provide advice to the Provost. If approved, the proposed changes would be effective in July, 2009.

Another Faculty Senate agenda issue is the College of the Environment. The Senate plays no direct role, but the faculty governance structure assures formation of the College reflects a civil and well-informed discussion among the departments and units affected. Because of the effective date of RCEP changes, the RCEPs undertaken in association
with the formation of the College of the Environment would fall under the current procedures.

Professor Lovell described his role as that of assuring the decisions made by Regents and the administration, which affect the welfare of the faculty, are decisions that include faculty advice and counsel. UW faculty feel legitimately entitled to play a role in decision-making, due to the knowledge of their subject matter as well as knowledge of students, and their understanding of what is necessary for a productive work environment. UW faculty members feel a responsibility to the educational mission of the university. Professor Lovell asked how faculty can play a constructive role in conversations about policies. He encouraged informal relationships, and said these had been strengthened over the last few years of faculty governance in collaboration with administration, and increasingly with the Board of Regents.

Professor Lovell described faculty governance as including nominated and elected faculty councils in different areas. He feels the most important committee is the Senate Committee on Planning and Budgeting (SCPB), which meets weekly and is active in examining the University’s finances and priorities. The complexity of the budget is challenging; Professor Lovell is confident in Vice Provost Jenny’s ability to provide better and more useful information to the Committee. He feels progress is being made, especially in the area of understanding salaries.

Faculty Senate leadership is making an effort to invigorate a number of faculty councils including Educational Outreach and Multicultural Affairs. Councils promising to be particularly active in the coming year include Tri-Campus Policy and Research. These councils are critical now because the current scholarly model, devoted to ascertaining and disseminating the truth, is changing. Educational Outreach and Tri-Campus are areas where there will be perennial issues regarding decision-making processes and policies. The goal is to figure out how to adapt governance structures and make them more efficient and constructive. Professor Lovell hopes to work on restructuring the Senate to make it a smaller body which represents and reflects local information from schools and colleges.

Professor Lovell said the Faculty Senate supports a resolution to add a faculty member to the Board of Regents, and sees this as a long-term project. The Senate has modified its proposal. Meanwhile, Professor Lovell is interested in building informal relationships with the Regents. He extended an invitation to Regents to continue to work with faculty, and hopes for informal get-togethers. Regents are invited and welcome to attend meetings of the Senate Committee on Planning and Budgeting, or other Faculty Senate meetings.

Professor Lovell announced Speaker of the House Frank Chopp is scheduled to attend the upcoming Senate meeting to share concerns.

Regent Jewell requested specific meeting invitations be sent to Regents through the Office of the Board of Regents. Regent Cole highlighted the system used by the Regents office staff for invitations to the Board.
Regent Cole expressed his belief that faculty members are part of the leadership of the institution, and said Regents are committed to operating in a system of shared governance. The Regents work through the President and Provost, and feel strongly they need support from faculty to solve problems. Cole said faculty members are the heart of the institution and the Regents appreciate and welcome constructive engagement.

Regent Cole invited faculty members, students, and alumni to attend committee meetings.

Regent Proctor expressed her appreciation to the faculty for meeting with the Board and illuminating their research and the academic workings of the institution. Regent Proctor stated the Regents believe in the quality of the faculty and what the faculty does, and believe in students and want to support them. Proctor said Regents are passionate about faculty and students.

**ASUW President:** Mr. Anttimi Bennett

Mr. Bennett acknowledged a number of fellow student leaders in attendance at the meeting, including ASUW Bothell President, Emily Anderson, and ASUW Tacoma President, Tommy Bauer. Regent Cole asked students to introduce themselves.

Mr. Bennett reported on ASUW leaders’ recent activities, and said they formed a Tri-Campus Committee in collaboration with Ms. Anderson and Mr. Bauer, with a goal to work together, collaborate, and strengthen communications. The group held a recent meeting to discuss lobbying efforts and strengthen the student voice in Olympia.

Mr. Bennett said the Tacoma student leadership is working to resolve space issues. He reported UW Tacoma and UW Bothell leaders are advocating for representatives from their campuses on the University Bookstore Board to provide input from each campus.

Mr. Bennett reported the ASUW leadership is holding preliminary discussions regarding representatives to the Board of Regents from the Tacoma and Bothell campuses.

The ASUW Seattle leadership is currently crafting their legislative agenda in preparation for a challenging legislative session.

Students have been involved in the search for the UW Police Chief and Mr. Bennett said what a great opportunity it has been to participate in process. Mr. Bennett is impressed by all the candidates, and he believes the university will make a good selection.

ASUW student leaders have been actively involved in voter registration and encouraging students to vote. They have sponsored debate-watching events in the Undergraduate Library and in the School of Social Work.

Mr. Bennett concluded his remarks by noting his belief that affirmations correspond with action, and he led meeting attendees in an exercise of rousing affirmations.
**GPSS President**: Mr. Jake Faleschini

GPSS President Jake Faleschini thanked the Board and said it was a pleasure to attend the meeting. He also thanked Mr. Bennett and commended the ASUW group for the work they have done together this year to form a unified vision. Mr. Faleschini also noted ASUW as an incredible group to work with.

Mr. Faleschini told the Board GPSS recently held its initial meeting, and for the first time in a number of years, filled all of its standing committees to maximum capacity. A newly formed committee will work on annual graduate program reviews. Mr. Faleschini said he believes the GPSS leadership is active, engaged, and ready to serve the community to an extent not seen in perhaps generations.

Mr. Faleschini reported on continuing plans for the GPSS-sponsored Higher Education Summit on Thursday, November 20, in the Don James Room at Husky Stadium.

GPSS held the first Autumn quarter social at the HUB, jointly sponsored by the Office of Student Life and the Graduate School. It was attended by 800 to 1,000 students. A local jazz and blues band, “Author Unknown,” played at the social.

GPSS helped with a voter registration drive. The group will continue with efforts to encourage registered students to vote. Regent Jewell encouraged Mr. Faleschini to encourage students to vote the entire ballot.

GPSS is working with ASUW, the Provost, and the President to solidify a position on local control of tuition. Mr. Faleschini identified this as a “big issue this year.” In a memorandum released recently to the HEC board, GPSS asked that tuition be kept reasonable, affordable and predictable. They also asked that the quality of education, and social services received, be commensurate with tuition increases, and that financial aid levels increase at the same rate as tuition. Mr. Faleschini added he looks forward to working with the Regents, President, Provost, and student leadership to assure the UW speaks in a unified voice to the legislature. He acknowledged the difficulty of this coming year in Olympia, and wants to make sure all are working together to get the best for the students and the University. Mr. Faleschini concluding by expressing his appreciation to the administration for including funding for mental health care and child care for students in the operating budget request to the legislature.

**Alumni Association President**: Mr. Rick Osterhout

UWAA President Rick Osterhout updated the Board on the financial position of the Association. The UWAA’s $14 million endowment is included in the University’s Combined Endowment Fund, and has doubled in the past six years. Mr. Osterhout feels the Association is fiscally sound, with operational income above budget, and membership up about 3% over last year with about 55,000 members. Recently, renewals have been strong.

In the area of “Husky Sprit” Mr. Osterhout told the Regents the Warm-Up pre-game event at Arizona was attended by a record number of 750 people, which is a strong
indicator of spirit. Final numbers are pending, but the amount raised for scholarships at
the recent Dawg Dash is expected to be in excess of the $10,000 raised last year.

Mr. Osterhout discussed UWAA’s Constituent Relations, headed by Paul Rucker, which
partners with 20 on-campus units. As these partnerships develop, UWAA gains the
ability to target a specific audience with common interests for particular events from a
broad-based constituency with varying interests.

To sum up the status of Husky Spirit, Mr. Osterhout said, “The attitude is excellent in the
purple and gold section.”

UWAA is launching a theme of advocacy, and Randy Hodgins, UW’s Director of State
Relations, will be a speaker at Higher Education day. UWAA continues to look for areas
to support the mission of the university.

Next, Mr. Osterhout described some upcoming UWAA events. In the area of lifelong
learning, the Arts & Sciences Lecture Series begins on October 29, 2008 and the
Provost’s Distinguished Lecture is November 13.

Homecoming events include a rally on October 17, preceded by a reception featuring the
“Wondrous 100,” with 35 of them confirmed to attend, including President of Wellesley
University, Kim Bottomley, and Rod Moore, President of the National Bar Association.
Governor Gregoire has designated October 17 as “Purple and Gold Day.” The 14th annual
Bridging the Gap Breakfast for the Multi-Cultural Alumni Partnership (MAP) will be
held on October 18. Regents are encouraged to attend. Regent Proctor said we are
honoring extraordinary people who have done much for the university. Additional event
information is available at uwalum.com.

Regent Jewell reported she represented the University on the first ride on Sound Transit’s
link light rail from Othello Station to Tukwila. She reminded Regents of the proposition
on November’s ballot to extend the light rail system north to Lynwood from its current
terminus at Husky Stadium. It is the final item on the ballot. Jewell cited statistics
showing a 41% fall off in voting between president and governor, with only 59% of those
who vote for President voting in the governor’s race; very few people get to the end of
the ballot. Jewell encouraged voters to recognize the responsibility of voting a full ballot.

Regent Gates asked if the endowment formulas were covered at the Finance Audit and
Facilities committee meeting, and was advised this item will be presented at the
November meeting.

Regent Willynck invited ASUW Bothell and Tacoma presidents to provide a briefing to
the Regents.

**ASUW Bothell President, Emily Anderson**
Ms. Anderson described a recent activity designed to strengthen the University’s
relationship with the City of Bothell by putting up 46 University of Washington/Bothell
banners along Bothell’s Main Street.
ASUW Tacoma President, Tommy Bauer

Mr. Bauer reported Autumn Quarter 2008 was the first time students have lived in on-campus housing at UW Tacoma. Twenty students are living in private on-campus housing at the Court 17 apartments. He hopes UW Tacoma will move forward to expand student housing to levels corresponding to those on the Seattle campus.

As Mr. Bauer previously reported, ASUW Tacoma leaders have worked toward access to campus facilities for expanded hours. Library building hours were to increase by 25%, but this year’s hiring freeze precluded this. Working with the administration, student leaders have arranged to allow students access to an on campus facility so they may increase the number of hours they can study on campus each day. Mr. Bauer said this should be effective at the end of Autumn quarter or the beginning of Winter quarter.

Regent Jewell announced Provost Wise will be honored on Thursday, November 20, by the Puget Sound Business Journal as a 2008 Woman of Influence.

Regent Cole announced there will be a joint meeting with the WSU Regents at WSU campus in Pullman on Friday, November 21. The Apple Cup, which will be held in Pullman this year, begins at noon on Saturday, November 22. Regent Cole requested feedback from the Board regarding the 2009 meeting schedule.

Regent Cole was pleased to announce Erin Lennon, 2007-08 Student Regent, passed the Washington State bar exam.

DATE FOR NEXT MEETING

The next regular meeting of the Board of Regents will be held on Thursday, November 20, 2008, in the Petersen Room of the Allen Library.

ADJOURNMENT

The regular meeting was adjourned at 4:30 p.m.

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Joan Goldblatt
Secretary of the Board of Regents
VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Academic and Administrative Appointments

RECOMMENDED ACTION:

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents approve the appointments to the University faculty and administration as presented on the attached list.

Attachment: Personnel Recommendations
LIBRARY

ADMINISTRATIVE APPOINTMENTS

FUGATE, CYNTHIA
(BA, 1974, MIAMI UNIVERSITY (OHIO); MS, 1982, UNIVERSITY OF ILLINOIS) TO BE ASSOCIATE DEAN OF UNIVERSITY LIBRARIES, EFFECTIVE 9/1/2008. (MS. FUGATE WILL CONTINUE AS DIRECTOR OF UW BOTHELL/CASCADIA COMMUNITY COLLEGE LIBRARY AND ASSOCIATE DEAN OF UNIVERSITY LIBRARIES.)

LEADLEY, SARAH P.

RAMBO, NEIL

COLLEGE OF ARTS AND SCIENCES

DEPARTMENT OF EARTH AND SPACE SCIENCES

NEW APPOINTMENTS

WOOD, STEPHEN E.
(BS, 1990, UNIVERSITY OF NORTH CAROLINA; PHD, 1999, UNIVERSITY OF CALIFORNIA (LOS ANGELES)) TO BE RESEARCH ASSISTANT PROFESSOR OF EARTH AND SPACE SCIENCES AT A SALARY RATE OF $75,000 OVER TWELVE MONTHS, EFFECTIVE 9/16/2008. (PRIOR TO THIS APPOINTMENT, DR. WOOD WAS A RESEARCH SCIENTIST IN THE SAME DEPARTMENT.)

DEPARTMENT OF ENGLISH

ENDOWED APPOINTMENTS

SEARLE, LEROY

DEPARTMENT OF HISTORY

ENDOWED APPOINTMENTS

GLENN, SUSAN
(BA, 1973, SAN DIEGO STATE UNIVERSITY; MA, 1975, UNIVERSITY OF CALIFORNIA (SAN DIEGO); PHD, 1983, UNIVERSITY OF CALIFORNIA (BERKELEY)) TO BE HOLDER OF THE HOWARD AND FRANCES KELLER ENDOWED PROFESSORSHIP IN HISTORY, EFFECTIVE 9/16/2008. (PROFESSOR GLENN WILL CONTINUE AS PROFESSOR OF HISTORY AND ADJUNCT PROFESSOR OF WOMEN STUDIES.)
JACKSON SCHOOL OF INTERNATIONAL STUDIES

ENDOWED APPOINTMENTS

BARZILAI, GAD
(BA, 1980, BAR-ILAM UNIVERSITY (ISRAEL); MA, 1982, BAR-ILAM UNIVERSITY (ISRAEL); LLB, 1982, TEL AVIV UNIVERSITY (ISRAEL); PHD, 1988, HEBREW UNIVERSITY (ISRAEL)) TO BE HOLDER OF THE LUCIA S. AND HERBERT L. PRUZAN ENDOWED PROFESSORSHIP IN JEWISH STUDIES, EFFECTIVE 7/1/2008. (PROFESSOR BARZILAI WILL CONTINUE AS PROFESSOR OF INTERNATIONAL STUDIES AND PROFESSOR OF LAW, SOCIETIES AND JUSTICE.)

MICHAEL G. FOSTER SCHOOL OF BUSINESS

DEPARTMENT OF INFORMATION SYSTEMS AND OPERATIONS MANAGEMENT

NEW APPOINTMENTS

MAMANI, HAMED
(BS, 2003, SHARIF UNIVERSITY OF TECHNOLOGY (IRAN); PHD, 2008, MASSACHUSETTS INSTITUTE OF TECHNOLOGY) TO BE ASSISTANT PROFESSOR OF INFORMATION SYSTEMS AND OPERATIONS MANAGEMENT AT A SALARY RATE OF $139,995 OVER NINE MONTHS, EFFECTIVE 9/17/2008. (PHD RECEIVED SEPTEMBER 17, 2008 FROM THE MASSACHUSETTS INSTITUTE OF TECHNOLOGY.)

DEPARTMENT OF MANAGEMENT AND ORGANIZATION

NEW APPOINTMENTS

AVOLIO, BRUCE
(BA, 1975, STATE UNIV OF NEW YORK (ONEONTA); MA, 1978, UNIVERSITY OF AKRON; PHD, 1981, UNIVERSITY OF AKRON) TO BE PROFESSOR OF MANAGEMENT AND ORGANIZATION AT A SALARY RATE OF $216,000 OVER NINE MONTHS, EFFECTIVE 9/16/2008. (PRIOR TO THIS APPOINTMENT DR. AVOLIO WAS THE CLIFTON CHAIR IN LEADERSHIP AT THE UNIVERSITY OF NEBRASKA.)

COLLEGE OF ENGINEERING

DEPARTMENT OF AERONAUTICS AND ASTRONAUTICS

NEW APPOINTMENTS

YOU, SETTHIVOINE
(MSc, 1997, IMPERIAL COLLEGE (UK); PHD, 2002, IMPERIAL COLLEGE (UK)) TO BE ASSISTANT PROFESSOR OF AERONAUTICS AND ASTRONAUTICS AT A SALARY RATE OF $84,600 OVER NINE MONTHS, EFFECTIVE 9/16/2009.

DEPARTMENT OF CHEMICAL ENGINEERING

ENDOWED APPOINTMENTS

JIANG, SHAOYI
(BS, 1985, HUA QIAO UNIVERSITY (CHINA); MS, 1988, NANJING UNIVERSITY OF TECHNOLOGY (CHINA); PHD, 1993, CORNELL UNIVERSITY) TO BE HOLDER OF THE BOEING-ROUNDHILL ENDOWED PROFESSORSHIP, EFFECTIVE 10/1/2008. (PROFESSOR JIANG WILL CONTINUE AS PROFESSOR OF CHEMICAL ENGINEERING.)

DEPARTMENT OF COMPUTER SCIENCE AND ENGINEERING

NEW APPOINTMENTS

PATEL, SHWETAK NARAN
(BS, 2003, GEORGIA INSTITUTE OF TECHNOLOGY; PHD, 2008, GEORGIA INSTITUTE OF TECHNOLOGY) TO BE ASSISTANT PROFESSOR OF COMPUTER SCIENCE AND ENGINEERING AT A SALARY RATE OF $93,600 OVER NINE MONTHS, EFFECTIVE 9/1/2008. (PHD RECEIVED AUGUST 1, 2008 FROM THE GEORGIA INSTITUTE OF TECHNOLOGY.)
DEPARTMENT OF MATERIALS SCIENCE AND ENGINEERING

ENDOWED APPOINTMENTS

CAO, GUOZHONG

NEW APPOINTMENTS

TAMERLER-BEHAR, CANDAN
(BSC, 1989, BOGAZICI UNIVERSITY (ISTANBUL); MSc, 1991, BOGAZICI UNIVERSITY (ISTANBUL); PHD, 1997, BOGAZICI UNIVERSITY (ISTANBUL)) TO BE VISITING PROFESSOR OF MATERIALS SCIENCE AND ENGINEERING AT A SALARY RATE OF $58,500 OVER NINE MONTHS, EFFECTIVE 7/15/2008. (DR. TAMERLER-BEHAR IS A PROFESSOR AT ISTANBUL TECHNICAL UNIVERSITY.)

COLLEGE OF OCEAN AND FISHERY SCIENCES

SCHOOL OF AQUATIC AND FISHERY SCIENCES

ENDOWED APPOINTMENTS

BEAUCHAMP, DAVID

ESSINGTON, TIMOTHY

PARRISH, JULIA

SCHINDLER, DANIEL

SCHOOL OF OCEANOGRAPHY

ENDOWED APPOINTMENTS

ARMBRUST, E. VIRGINIA
SCHOOL OF DENTISTRY

DEPARTMENT OF RESTORATIVE DENTISTRY

ADMINISTRATIVE APPOINTMENTS

CHAN, DANIEL
(DMD, 1979, UNIVERSITY OF THE PHILIPPINES; MS, 1984, UNIVERSITY OF IOWA; DDS, 1988, UNIVERSITY OF IOWA) TO BE PROFESSOR OF RESTORATIVE DENTISTRY AND ASSOCIATE DEAN FOR CLINICAL SERVICES AT A SALARY RATE OF $180,000 OVER TWELVE MONTHS, EFFECTIVE 10/1/2008. (PRIOR TO THIS APPOINTMENT, DR. CHAN WAS A PROFESSOR OF ORAL REHABILITATION AND DIRECTOR OF OPERATIVE DENTISTRY AT THE MEDICAL COLLEGE OF GEORGIA, SCHOOL OF DENTISTRY.)

SCHOOL OF MEDICINE

DEPARTMENT OF ANESTHESIOLOGY

NEW APPOINTMENTS

HABERKERN, CHARLES

THILEN, STEPHAN
(MD, 1981, KAROLINSKA INSTITUTE (SWEDEN)) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF ANESTHESIOLOGY AT A SALARY RATE OF $69,768 OVER TWELVE MONTHS, EFFECTIVE 9/1/2008. (PRIOR TO THIS APPOINTMENT, DR. THILEN WAS A REGISTRAR IN THE INTENSIVE CARE UNIT AT FLINDERS MEDICAL CENTRE IN ADELAIDE, AUSRTALIA.)

DEPARTMENT OF LABORATORY MEDICINE

NEW APPOINTMENTS

PEREIRA, SHALINI
(BS, 1987, UNIVERSITY OF BOMBAY (INDIA); MS, 1989, UNIVERSITY OF BOMBAY (INDIA); MS, 1991, UNIVERSITY OF ROCHESTER; PHD, 1996, UNIVERSITY OF ROCHESTER) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF LABORATORY MEDICINE PAID DIRECT BY SOURCES OTHER THAN THE UNIVERSITY EFFECTIVE 9/1/2008. (PRIOR TO THIS APPOINTMENT, DR. PEREIRA WAS A CLINICAL ASSISTANT PROFESSOR OF PATHOLOGY AT STANFORD UNIVERSITY.)

WU, DAVID
(BS, 1995, UNIVERSITY OF ILLINOIS; PHD, 2001, MASSACHUSETTS INSTITUTE OF TECHNOLOGY; MD, 2003, HARVARD UNIVERSITY) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF LABORATORY MEDICINE AT A SALARY RATE OF $102,996 OVER TWELVE MONTHS, EFFECTIVE 10/1/2008. (PRIOR TO THIS APPOINTMENT, DR. WU WAS A CLINICAL FELLOW IN THE DEPARTMENT OF PATHOLOGY AT BRIGHAM AND WOMEN'S HOSPITAL, BOSTON, MASSACHUSETTS.)

DEPARTMENT OF MEDICINE

NEW APPOINTMENTS

CHEN, PETER
(BS, 1994, UNIVERSITY OF TEXAS (AUSTIN); MD, 1999, BAYLOR COLLEGE OF MEDICINE) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF MEDICINE AT A SALARY RATE OF $130,008 OVER TWELVE MONTHS, EFFECTIVE 9/1/2008. (PRIOR TO THIS APPOINTMENT, DR. CHEN WAS AN ACTING INSTRUCTOR IN THE SAME DEPARTMENT.)
JEFFERSON, JONATHAN ASHLEY
(MBBCh, 1989, QUEEN'S UNIVERSITY OF BELFAST (IRELAND); MD, 1995, QUEEN'S UNIVERSITY OF BELFAST (IRELAND)) TO BE ASSOCIATE PROFESSOR WITHOUT TENURE OF MEDICINE AT A SALARY RATE OF $162,000 OVER TWELVE MONTHS, EFFECTIVE 9/1/2008. (PRIOR TO THIS APPOINTMENT, DR. JEFFERSON WAS A CLINICAL ASSISTANT PROFESSOR-SALARIED IN THE SAME DEPARTMENT.)

LUKS, ANDREW
(BA, 1990, DUKE UNIVERSITY; MA, 1993, STANFORD UNIVERSITY; MD, 2000, UNIVERSITY OF CALIFORNIA (SAN DIEGO)) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF MEDICINE AT A SALARY RATE OF $130,008 OVER TWELVE MONTHS, EFFECTIVE 9/1/2008. (PRIOR TO THIS APPOINTMENT, DR. LUKS WAS AN ACTING INSTRUCTOR IN THE SAME DEPARTMENT.)

MARGOLIN, KIM
(BS, 1974, UNIVERSITY OF CALIFORNIA (LOS ANGELES); MD, 1979, STANFORD UNIVERSITY) TO BE PROFESSOR WITHOUT TENURE OF MEDICINE AT A SALARY RATE OF $250,008 OVER TWELVE MONTHS, EFFECTIVE 10/1/2008. (PRIOR TO THIS APPOINTMENT, DR. MARGOLIN WAS ASSOCIATE DIRECTOR FOR CLINICAL RESEARCH IN THE DIVISION OF MEDICAL ONCOLOGY AT THE CITY OF HOPE CANCER CENTER.)

MOSTAGHEL, ELAHE
(BA, 1992, HARVARD UNIVERSITY; MD, 2000, DUKE UNIVERSITY; PHD, 2000, DUKE UNIVERSITY) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF MEDICINE PAID DIRECT BY FRED HUTCHINSON CANCER RESEARCH CENTER EFFECTIVE 10/1/2008. (PRIOR TO THIS APPOINTMENT, DR. MOSTAGHEL WAS AN ACTING INSTRUCTOR IN THE SAME DEPARTMENT.)

SCOTT, BART
(BS, 1991, UNIVERSITY OF ALABAMA; MD, 1996, UNIVERSITY OF SOUTH ALABAMA) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF MEDICINE PAID DIRECT BY FRED HUTCHINSON CANCER RESEARCH CENTER EFFECTIVE 9/1/2008. (PRIOR TO THIS APPOINTMENT, DR. SCOTT WAS AN ACTING INSTRUCTOR IN THE SAME DEPARTMENT.)

WARREN, SAMUEL
(BA, 1993, OCCIDENTAL COLLEGE; MD, 1999, STANFORD UNIVERSITY) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF MEDICINE AT A SALARY RATE OF $138,324 OVER TWELVE MONTHS, EFFECTIVE 9/1/2008. (PRIOR TO THIS APPOINTMENT, DR. WARREN WAS A CLINICAL ASSISTANT PROFESSOR - SALARIED IN THE SAME DEPARTMENT.)

DEPARTMENT OF OBSTETRICS AND GYNECOLOGY
NEW APPOINTMENTS
LIN, KATHLEEN
(BS, 1995, YALE UNIVERSITY; MD, 1999, ALBERT EINSTEIN COLLEGE OF MEDICINE) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF OBSTETRICS AND GYNECOLOGY AT A SALARY RATE OF $144,996 OVER TWELVE MONTHS, EFFECTIVE 8/18/2008. (PRIOR TO THIS APPOINTMENT, DR. LIN WAS A FELLOW AT THE UNIVERSITY OF PENNSYLVANIA.)

DEPARTMENT OF OPHTHALMOLOGY
NEW APPOINTMENTS
VEMULAKONDA, GURUNADH
(BA, 1998, WASHINGTON UNIVERSITY; MD, 2002, WASHINGTON UNIVERSITY) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF OPHTHALMOLOGY AT A SALARY RATE OF $90,000 OVER TWELVE MONTHS, EFFECTIVE 9/1/2008. (PRIOR TO THIS APPOINTMENT, DR. VEMULAKONDA WAS A VITREORETINAL SURGERY FELLOW AT THE CASEY EYE INSTITUTE AT OREGON HEALTH SCIENCES UNIVERSITY.)
DEPARTMENT OF ORTHOPAEDICS AND SPORTS MEDICINE

NEW APPOINTMENTS

GARDINER, EDITH
(BS, 1977, DUKE UNIVERSITY; MPhil, 1982, YALE UNIVERSITY; PHD, 1988, YALE UNIVERSITY) TO BE VISITING ASSOCIATE PROFESSOR OF ORTHOPAEDICS AND SPORTS MEDICINE PAID DIRECT BY SOURCES OTHER THAN THE UNIVERSITY EFFECTIVE 9/1/2008. (DR. GARDINER IS ASSOCIATE PROFESSOR AND HEAD, BONE BIOLOGY GROUP, METABOLIC MEDICINE PROGRAM AT THE UNIVERSITY OF QUEENSLAND, AUSTRALIA.)

DEPARTMENT OF PATHOLOGY

NEW APPOINTMENTS

FANG, MIN
(MD, 1990, SHANGHAI UNIVERSITY (CHINA); PHD, 1998, UNIVERSITY OF ALABAMA) TO BE ASSOCIATE PROFESSOR WITHOUT TENURE OF PATHOLOGY PAID DIRECT BY FRED HUTCHINSON CANCER RESEARCH CENTER EFFECTIVE 9/1/2008. (PRIOR TO THIS APPOINTMENT, DR. FANG WAS AN ASSOCIATE PROFESSOR OF GENETICS AND DEVELOPMENTAL BIOLOGY AT THE UNIVERSITY OF CONNECTICUT.)

DEPARTMENT OF PEDIATRICS

NEW APPOINTMENTS

MCADAMS, RYAN
(BS, 1994, UNIVERSITY OF SAINT THOMAS; MD, 1998, MEDICAL COLLEGE OF WISCONSIN) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF PEDIATRICS AT A SALARY RATE OF $112,008 OVER TWELVE MONTHS, EFFECTIVE 10/1/2008. (PRIOR TO THIS APPOINTMENT, DR. MCADAMS WAS A STAFF NEONATOLOGIST AT US NAVY HOSPITAL IN OKINAWA, JAPAN.)

DEPARTMENT OF PSYCHIATRY AND BEHAVIORAL SCIENCES

NEW APPOINTMENTS

ATKINS, DAVID
(BA, 1994, STANFORD UNIVERSITY; MA, 1995, STANFORD UNIVERSITY; PHD, 2003, UNIVERSITY OF WASHINGTON) TO BE RESEARCH ASSOCIATE PROFESSOR OF PSYCHIATRY AND BEHAVIORAL SCIENCES AT A SALARY RATE OF $90,000 OVER TWELVE MONTHS, EFFECTIVE 9/1/2008. (PRIOR TO THIS APPOINTMENT, DR. ATKINS WAS AN ASSOCIATE PROFESSOR AT FULLER THEOLOGICAL SEMINARY.)

KERNS, SUZANNE
(BS, 1997, PENNSYLVANIA STATE UNIVERSITY; MA, 2001, UNIVERSITY OF SOUTH CAROLINA; PHD, 2006, UNIVERSITY OF SOUTH CAROLINA) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF PSYCHIATRY AND BEHAVIORAL SCIENCES AT A SALARY RATE OF $80,076 OVER TWELVE MONTHS, EFFECTIVE 9/1/2008. (PRIOR TO THIS APPOINTMENT, DR. KERNS WAS AN ACTING ASSISTANT PROFESSOR - TEMPORARY IN THE SAME DEPARTMENT.)

DEPARTMENT OF RADIATION ONCOLOGY

NEW APPOINTMENTS

SANDISON, GEORGE
(BS, 1978, UNIVERSITY OF MANCHESTER (UK); MSc, 1979, UNIVERSITY OF LONDON (UK); PHD, 1987, UNIVERSITY OF MANITOBA (CANADA)) TO BE PROFESSOR WITHOUT TENURE OF RADIATION ONCOLOGY AT A SALARY RATE OF $225,000 OVER TWELVE MONTHS, EFFECTIVE 9/1/2008. (PRIOR TO THIS APPOINTMENT, DR. SANDISON WAS ASSOCIATE DEAN, COLLEGE OF PHARMACY, NURSING AND HEALTH SCIENCES, PROFESSOR AND HEAD, SCHOOL OF HEALTH SCIENCES AT PURDUE UNIVERSITY.)
SCHOOL OF NURSING

DEPARTMENT OF FAMILY AND CHILD NURSING

ADMINISTRATIVE APPOINTMENTS

BOOTH-LAFORCE, CATHRYN

SCHOOL OF PHARMACY

DEPARTMENT OF MEDICINAL CHEMISTRY

ENDOWED APPOINTMENTS

NELSON, SIDNEY
(BS, 1968, UNIVERSITY OF WASHINGTON; PHD, 1974, UNIVERSITY OF CALIFORNIA (SAN FRANCISCO)) TO BE HOLDER OF THE ENDOwed PROFESSORSHIP IN DRUG METABOLISM, EFFECTIVE 10/1/2008. (PROFESSOR NELSON WILL CONTINUE AS PROFESSOR OF MEDICINAL CHEMISTRY.)

UNIVERSITY OF WASHINGTON, TACOMA

MILGARD SCHOOL OF BUSINESS

NEW APPOINTMENTS

SALVADOR, ROMMEL
VII. STANDING COMMITTEE

A. Academic and Student Affairs Committee

Establishment of the Master of Laws in Health Law

RECOMMENDED ACTION

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents grant authority to the School of Law to offer the Master of Laws in Health Law degree program, effective Autumn Quarter 2009. The degree program will have provisional status with a review to be scheduled in the 2014-2015 academic year. At such time that continuing status is granted, a ten-year review cycle would begin.

BACKGROUND

In February 2008, the Graduate School received a proposal from the graduate faculty in the School of Law requesting authority to offer the self-sustaining Master of Laws (LL.M.) in Health Law degree program. Implementation is planned for Autumn Quarter 2009, with the program anticipating 33 degrees granted per year at maturity.

The overarching goal of the LL.M. in Health Law program is to provide graduate and professional level study and research in the use of law to promote social justice and improve individual and global health. The program will offer specialized legal education in all facets of health law, including public and private health system organizations and finance; public health law; global health and social justice; regulation of health care providers, researchers and entities; medical negligence; and the rights of patients and populations in the domestic, international and comparative contexts. The program builds on existing resources in the Law School’s Center for Law in Science and Global Health, which currently offers a J.D. concentration track in health law. The center also collaborates across campus on programs involving health law.

The growing interest in domestic and international health law and policy is clearly reflected in the students applying to, and being accepted to, the J.D. program. The new prominence of the UW in global health has accentuated student interest, and some national scholars have described the field of health law as currently entering a “golden age.” The LL.M. in Health Law program anticipates marketing the program to recent law graduates in addition to practicing attorneys who seek to either refocus or enhance their careers.

In April, 2008, the Vice Provost and Dean of the Graduate School appointed two reviewers to evaluate the Master of Laws in Health Law proposal. One reviewer directs a health law program at a recognized law school; the other directs an institute for bioethics, health policy, and law at a medical school. The reviewers both supported approval of the degree program. Each reviewer made specific recommendations on the program, to which the program provided detailed responses.
VII. STANDING COMMITTEE

A. Academic and Student Affairs Committee

Establishment of the Master of Laws in Health Law (continued p. 2)

The Graduate School Council met on May 29, 2008, to discuss the LL.M. in Health Law degree proposal. The Council recommended unanimously that the proposal be forwarded to the Higher Education Coordinating Board for review and approval. The Vice Provost and Dean of the Graduate School concurred with this recommendation.

On September 8, 2008, the Higher Education Coordinating Board Education Committee discussed the LL.M. in Health Law proposal, which was then considered by the full Higher Education Coordinating Board on September 18, 2008. The Board approved the proposal by the School of Law at the University of Washington to offer the Master of Laws in Health Law.

The Vice Provost and Dean of the Graduate School, the Dean of the School of Law, and the Provost have reviewed and approved the recommendation. The Higher Education Coordinating Board will be informed of the Board of Regents’ action on the LL.M. in Health Law.
VII. STANDING COMMITTEE

A. Academic and Student Affairs Committee

Human Resources, Benefits Office – UW Retirement Plan

RECOMMENDED ACTION

It is the Recommendation of the Academic and Student Affairs Committee that the Board of Regents approve and adopt the University of Washington Retirement Plan and University of Washington 401(a) Supplemental Retirement Plan, each as amended and restated effective January 1, 2009 (collectively, the “Plans”), in or substantially in the form presented to this meeting.

It is further recommended that the Board of Regents authorize the officers of the University to make such changes in the Plans, not substantially at variance with the documents presented to this meeting, to execute the Plans in the University’s name, and to take such other actions as they deem necessary or appropriate to implement the Plans, including (without limitation) establishment and funding (up to $1,000) of a trust for the 401(a) Supplemental Retirement Plan, execution of a trust agreement with one or more trustees, submission of governmental filings, including one or more applications to the Internal Revenue Service for determination letters, preparation and execution of additional documentation to provide the intended benefits, and communications with employees.

EXPLANATION OF PROPOSED CHANGES

As outlined at the September Regents' meeting, the Internal Revenue Service recently updated IRC § 403 regulations for the first time since 1964. The regulations require all employers’ plan documents to be in compliance with new provisions and mandatory language starting January 1, 2009.

Under the regulations, the existing UWRP must be split into two plans because the Supplemental Benefit cannot continue to be part of an IRC § 403(b) plan. It is more appropriately treated as a separate IRC § 401(a) plan. The two plans will exist in parallel, with participants enrolled in both plans.

The state of Washington public higher education institutions are working together to make required changes to their plans with the assistance of a Special Assistant Attorney General, who specializes in retirement plans, acting as our common legal counsel. This is to ensure consistency among the plans’ provisions and compliance with state law. The Faculty Council on Benefits and Retirement as well as the Professional Staff Association have both been updated on the required changes. No changes have been made to plan eligibility or participation rules. Copies of the final plan documents are attached. Minor changes may be necessary to obtain an IRS determination letter on the tax-favored status of this new Supplemental Plan, for which we anticipate filing an application in January.

Attachment: FINAL: University of Washington Retirement Plan
FINAL: University of Washington Supplemental Retirement Plan
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1. Establishment of Plan

The University of Washington Board of Regents established the University of Washington Retirement Plan as of September 1, 1939. This plan document sets forth the provisions of the Plan, as amended through January 1, 2009. This Plan is a governmental plan as defined in Internal Revenue Code Section 414(d), and is intended to satisfy the provisions of Section 403(b) of the Internal Revenue Code.

2. Definitions

The terms and phrases defined in this Article have the following meanings throughout this plan document.

2.1. **Accumulation Account** means the separate account established for each Participant with a Fund Sponsor. The current value of a Participant's Accumulation Account includes all Plan Contributions to the Fund Sponsor, less expense charges, and reflecting investment experience.

2.2. **Annuity Contract** means a non-transferable contract described in Section 403(b)(1) of the Code, that is issued by an insurance company qualified to issue annuities in the State of Washington and that includes payment in the form of an annuity.

2.3. **Beneficiary** means (a) with the written consent of the Participant's spouse, if any, such person or persons who shall have been designated by the Participant in writing duly executed and filed with the Fund Sponsor(s) or (b) if no such person survives the Participant, the surviving spouse of the Participant. A new designation may be made at any time before the Participant or Beneficiary has started to receive annuity payments under the Plan; any such new designation shall be subject to the conditions of this Section 2.3.

2.4. **Board** means the Board of Regents of the University of Washington.

2.5. **Break in Service** means termination of all UW employment and appointments for a full calendar month.


2.7. **Compensation** means the amount paid by UW to a Participant as regular pay for normally scheduled hours, including regular summer pay and sea pay, together with any paid leave, perquisite or differential pay at a flat amount independent of time, but excluding earnings incidental to an individual's status as a student, differentials paid at other than a flat amount independent of time, leave cash-out payments, and additional pays and excluding any settlement, severance or tenure purchase payments and any other amounts excluded by contract. Compensation shall be determined before taking into account any salary reduction under Code Section 125, 132, 403(b), or 457(a).
2.8. **Custodial Account** means the group or individual custodial account or accounts, described in Section 403(b)(7) of the Code, established for a Participant to hold assets of the Plan.

2.9. **Eligible Employee** means any employee of UW who is employed in an Eligible Position other than an employee who has retired from a position which is covered by RCW 28B.10.400 et seq. and who is not yet contributing to the Plan during the Optional Period.

2.10. **Eligible Position** means an academic, research, librarian, professional, or other position designated by the Board that requires at least fifty percent of the normal full-time workload per month in at least six consecutive months. However, a position held by a person on a fee, retainer, or special contract basis, or as an incident to the private practice of a profession or to the employee's education, is not an Eligible Position. The job classes of resident (job code 0328), chief resident (job code 0329) and fellow (job code 0444) shall also be considered Eligible Positions. An Eligible Employee, once having begun participation in this Plan, shall be deemed to be employed in an Eligible Position even if his or her position no longer requires at least fifty percent of the normal full-time workload per month in at least six consecutive months, so long as the position otherwise qualifies as an Eligible Position.

2.11. **Fund Sponsor** means an insurance, variable annuity, or investment company that provides Funding Vehicles available to Participants under this Plan.

2.12. **Funding Vehicles** means the Annuity Contracts and Custodial Accounts available for investing contributions under this Plan, as specifically approved by UW under Section 5.1.

2.13. **Optional Period** means the period beginning on an individual's first day as an Eligible Employee (or first day as an Eligible Employee following a Break in Service) and ending on the first day of the first pay period following the second anniversary of his or her date of employment in an eligible position, or, if earlier, upon termination of the individual's status as an Eligible Employee.

2.14. **Participant** means any Eligible Employee who participates in the Plan in accordance with Section 3.

2.15. **Plan** means the University of Washington Retirement Plan as set forth in this document as it may be amended from time to time in accordance with Section 10.1.

2.16. **Plan Contributions** means contributions by UW and the Participant under this Plan.

2.17. **Plan Year** means a 12 consecutive month period beginning on July 1st and ending on June 30th through June 30, 2009; the six consecutive month period beginning on July 1, 2009, and ending December 31, 2009; and each calendar year thereafter.

2.18. **Related Employer** means any entity which is under common control with UW under Section 414(b) or (c) of the Code.

2.19. **Retirement Age**: normal retirement age means the last day of the calendar month in which age 65 is attained; early retirement age means the last day of the calendar month in which either
(a) age 62 is attained or (b) age 55 is attained with ten or more Years of Service completed without a Break in Service.

2.20. **UW** means the University of Washington.


3. **Eligibility and Participation**

3.1. **Participation.**

(a) An Eligible Employee may begin participation in this Plan during his or her Optional Period and is required to begin participation in this Plan no later than the last day of the Optional Period. Once having begun participation in this Plan, a Participant cannot cease participation while employed in an Eligible Position.

(b) A participant in a Washington State Retirement System who is moved to or whose position is converted to an Eligible Position, may make an irrevocable election to participate in this Plan or remain in the Washington State Retirement System by making the election no later than thirty days following the date of the move or conversion or such later date as the UW Benefits and WorkLife Office gives notice to the participant. If no timely election is made, the participant will remain in the Washington State Retirement System. Such election shall be made available only once in an individual’s unbroken service to UW regardless of future changes of position, and enrollment in this Plan shall be irrevocable. For this purpose, “unbroken service” means service without a Break in Service.

(c) A Participant who has participated in this Plan for at least two years and who is moved to or whose position is converted to a position that qualifies for participation in a Washington State Retirement System, may make an irrevocable election to participate in the Washington State Retirement System or remain in this Plan by making the election no later than thirty days following the date of the move or conversion or such later date as the UW Benefits and WorkLife Office gives notice to the participant, provided that the initial enrollment in this Plan was not irrevocable as provided above. If no timely election is made, the Participant will remain irrevocably in this Plan.

(d) A person who is hired by UW in a position that is not an Eligible Position but is eligible for participation in the Washington State Public Employees Retirement System, and who, immediately prior to his or her hire date, has for at least two consecutive years made or benefited from contributions under a retirement plan underwritten by a Fund Sponsor with a public university or college in the State of Washington, may irrevocably elect to participate in this Plan if the election is made within thirty days after his or her hire. If no election is made, the person will become a participant in the Washington State Public Employees Retirement System from the first day of employment.
(e) Each Participant is entitled to the benefits of and is bound by this Plan, including all amendments that may be adopted.

3.2. **Enrollment in Plan.** An Eligible Employee must complete and return to the UW Benefits and WorkLife Office the appropriate UWRP enrollment form(s). Forms for the Fund Sponsor(s) and for the Funding Vehicle(s) selected must be returned to the Fund Sponsor(s) or as directed by the UW Benefits and WorkLife Office.

3.3. **Cessation of Participation.** An employee's participation in the Plan will cease if:

(a) he or she retires or otherwise severs from employment with UW and all Related Employers; or

(b) the Plan is terminated in accordance with Section 10.1; or

(c) he or she is transferred or reclassified to a position that is not an Eligible Position, and he or she does not remain a Participant in this Plan under Section 3.1(c); or

(d) having remained a Participant under Section 3.1(c), or having become a Participant under Section 3.1(d), he or she is transferred or reclassified to a position that is not an Eligible Position and does not qualify for participation in another Washington State Retirement System.

4. **Contributions.**

4.1. **Plan Contributions.** A Participant must contribute five percent of Compensation from date of participation until the end of the pay period during which his or her 35th birthday occurs and seven and one-half percent thereafter. A Participant may elect an increased contribution rate of ten percent of Compensation beginning with the first pay period following that pay period during which he or she reaches age 50. Such election may be revoked and thereafter re-elected at the option of the Participant. UW will make a matching contribution equal to each Participant contribution and will transmit all Plan Contributions to the Fund Sponsor.

4.2. **Income Tax Deferral.**

(a) Except as provided in (b) below, Plan Contributions shall be made on a tax-deferred basis as authorized under Section 403(b) of the Code.

(b) Participant contributions shall be made on a non-tax-deferred basis for certain participants who so elected on an irrevocable basis prior to January 1, 1994.

4.3. **Limit on Salary Taken into Account.** In addition to other applicable limitations stated in the Plan and notwithstanding any other provision of the Plan to the contrary, for employees who become Participants on or after July 1, 1996, the Compensation taken into account under Section 4.1 for any Plan Year may not exceed $230,000, as adjusted by the Commissioner of Internal Revenue from time to time for increases in the cost of living (half the annual limit for the six-month Plan Year ending December 31, 2009).
4.4. **Leave of Absence.**

(a) During an authorized leave of absence with pay, Plan Contributions will continue to be made. Plan Contributions will be calculated based on the actual Compensation UW pays to the Participant during the leave of absence.

(b) To the extent required by the Uniformed Services Employment and Reemployment Rights Act of 1994, Participants who are absent from employment by reason of service in the uniformed service of the United States shall be entitled to make up contributions that they would have made had they remained in employment during the period of service and to benefit from UW matching contributions.

(c) A Participant who returns to employment with UW immediately following an authorized leave of absence, other than an absence described in (b) above, and remains employed by UW for at least two years after such return, may contribute within five years after such return an amount equal to the total amount that would have been contributed had the Participant not been on leave (including any amount UW would have contributed) less any contributions under (a) or (b) above with respect to the same leave. The contributions shall be based on the average of the Participant's Compensation at the time the leave of absence was authorized and the time the Participant resumes employment. UW will not match such contributions.

4.5. **Rollovers or Transfers to the Plan.** Rollovers or transfers from other plans, accounts or annuities to the Plan will not be accepted.

4.6. **Allocation of Plan Contributions.** A Participant may allocate Plan Contributions among Funding Vehicles in any whole-number percentages that total 100 percent.

4.7. **Vesting of Contributions.** Each contract and certificate issued in accordance with the provisions of the Plan is the property of the Participant. Amounts attributable to Plan Contributions shall be nonforfeitable. However, Plan Contributions based on a mistake of fact, including Plan Contributions made for an employee who has not completed and returned the enrollment forms required by Section 3.2, shall be returned to UW if UW so requests as provided in Section 9.6.

4.8. **Annual Statement.** At least once a year a Fund Sponsor will send each Participant a report summarizing the status of his or her Accumulation Account. A Participant may obtain similar reports or illustrations upon termination of employment or at any other time by writing directly to the Fund Sponsor.

4.9. **No Reversion.** Under no circumstances will any Plan Contributions revert to, be paid to, or inure to the benefit of, directly or indirectly, UW, except as provided in Section 4.7 or Section 4.10.

4.10. **Maximum Contribution.** Plan Contributions for a Participant for any calendar year, together with contributions for the Participant under any other plan subject to Section 402(g) or 403(b) of the Code, shall not exceed the limitations in Code Sections 402(g) and 415 of the
Code, to the extent applicable, except as permitted by Code Section 414(v). The limitations of Code Sections 402(g), 414(v) and 415 are herein incorporated by reference. If the limitations are exceeded because the Participant is also participating in another plan required to be aggregated with this Plan for the purposes of Code Sections 402(g), 414(v) or 415, and such other plan is maintained by UW or a Related Employer, or UW receives from the Participant sufficient information concerning his or her participation in such other plan, then the extent to which annual contributions under this Plan will be reduced, as compared with the extent to which annual benefits or contributions under any other plans will be reduced, will be determined by UW. If the reduction is under this Plan, UW will advise the affected Participant of any limitations on his or her Plan Contributions required by this section. UW may, in its sole discretion, cause any contribution in excess of the foregoing limitations, adjusted for income, gains, losses or expenses attributable to such excess contribution, to be returned to UW or distributed to the Participant to the extent permitted by applicable law.

5. Fund Sponsors and Funding Vehicles.

5.1. **Fund Sponsors and Funding Vehicles.** Plan Contributions are invested in one or more Funding Vehicles made available by UW to Participants under this Plan. UW's current choice of Fund Sponsors and Funding Vehicles is not intended to limit future additions or deletions by UW of Fund Sponsors and Funding Vehicles. The Administrator shall maintain a list of all Fund Sponsors under the Plan. Such list is hereby incorporated as part of the Plan. Each Fund Sponsor and UW shall exchange such information as may be necessary to satisfy Section 403(b) of the Code or other requirements of applicable law. In the case of a Fund Sponsor which is not eligible to receive contributions under the Plan (including a Fund Sponsor which has ceased to be a Fund Sponsor eligible to receive contributions under the Plan, the Employer shall keep the Fund Sponsor informed of the name and contact information of the Plan administrator in order to coordinate information necessary to satisfy section 403(b) of the Code or other requirements of applicable law.

(a) **Fund Transfers.** Subject to a Funding Vehicle's rules for transfers and in accordance with the provisions of the Code governing the deferral of income tax with respect to Accumulation Accounts, a Participant may specify that a part or all of his or her Accumulation Account in one Funding Vehicle be transferred to another Funding Vehicle. However, an investment transfer that includes an investment with a Fund Sponsor that is not eligible to receive contributions under Section 4 (referred to below as an exchange) is not permitted.

5.2. **Fund Review Committee.**

(a) **Responsibility.** The Fund Review Committee is a standing body responsible for reviewing the performance of Fund Sponsors and Funding Vehicles available through the University of Washington Retirement Plan (UWRP) and Voluntary Investment Program (VIP). The Committee will also evaluate and recommend whether new Fund Sponsors or Funding Vehicles should be added and whether existing Fund Sponsors or Funding Vehicles should be removed.
If a Funding Vehicle or Fund Sponsor is removed, it shall not be available for subsequent contributions except as otherwise prescribed by the Committee. In addition, the Committee may determine that existing accumulations shall be transferred to one or more other Funding Vehicles specified by the Committee.

The Committee, in coordination with the UW Benefits and WorkLife Office, is to determine the frequency of the performance reviews. In evaluating existing Fund Sponsors and Fund Vehicles, the Committee will monitor and review performance data, analyze participants’ comments, requests and concerns, and conduct public meetings on proposed changes. Draft recommendations of the Committee will be presented to and discussed with the Faculty Council on Retirement, Insurance and Benefits. Final recommendations for changes are to be submitted to the Executive Vice President.

The UW Benefits and WorkLife Office shall provide support for the Committee’s activities. The Committee may also appoint such advisors and adopt such guidelines, policies or procedures as it deems appropriate.

(b) Membership. The Committee shall be appointed by, and serve at the pleasure of, the Executive Vice President of UW, who will consult with the Faculty Council on Retirement, Insurance and Benefits in selecting the members. The membership shall include the following representatives and officials:

- One representative of the Faculty Council on Retirement, Insurance and Benefits
- One or more representatives of the Faculty, as recommended by the Faculty Senate Executive Committee
- One representative of the Librarians, as recommended by the Association of Librarians of the University of Washington
- One representative of the Professional Staff, as recommended by the Professional Staff Organization
- One UWRP participant representing the Classified or Contract Classified Staff, as recommended by the Vice President, Human Resources
- One retired UWRP representative, as recommended by the UW Retirement Association
- Ex officio, voting members:
  - UW Director of Benefits & WorkLife
  - UW Treasurer, Board of Regents
- Non-voting member:
  - Representative from a four-year institution of higher education in the State of Washington other than the University of Washington
In appointing members, those groups recommending members and the Executive Vice President shall seek to identify individuals who have expertise in the areas of tax and pension law for 403(b) or similar retirement plans, or of finance, investment, or economics.

The Executive Vice President shall designate the Chair of the Committee.

Term of office for members shall be staggered, three year terms. The Committee shall meet as often as it deems appropriate, but not less than every six months. The Chair of the Committee may appoint such committee officers, advisors and sub-committees as needed.

5.3. **Third Party Trading.** The Participant may assign responsibility for investment elections and other transactions under the Plan to another party, in such manner as may be determined from time to time by the UW Benefits and WorkLife Office.

6. **Retirement.**

6.1. **Retirement Because of Age.** On the first of any month after attaining Retirement Age, a Participant who is actively employed by UW may elect to retire by submitting a written application to the UW Benefits and WorkLife Office.

6.2. **Retirement Because of Condition of Health.** A retirement because of condition of health may be approved by the President of UW upon request by a Participant who is actively employed by UW or by the administrative officer concerned. The basis for approval is whether continued service by the Participant is likely to seriously impair or endanger the Participant's health, or if the Participant is permanently unable to carry on his or her usual duties because of health. A request for retirement because of condition of health is referred to the Director of Benefits & WorkLife, who will convene the Special Board on Retirement Because of Health. The recommendations of the Special Board on Retirement Because of Health are presented to the President of UW. The Special Board consists of the Dean of the School of Medicine, the Director of Benefits & WorkLife, one physician appointed by the Faculty Senate for a term of three years, and two Participant representatives selected by the Vice President, Human Resources after consultation with the Faculty Senate and other Participant groups.

6.3. **Retiree Reemployment.** means the reemployment of a former Participant up to 40% of full time following the date of retirement under Section 6.1. Such reemployment shall be subject to all applicable UW rules. A reemployed retiree is not a Participant under the Plan.

7. **Benefits.**

7.1. **Retirement Benefits.** After a Participant retires under the Plan or his or her employment with UW and any related Employer earlier terminates, the Participant may access 100% of the retirement accumulations. The Participant should contact the Fund Sponsor to determine the variety of payment options offered. Any election hereunder requires the written consent of the Participant's spouse, if any, in accordance with Section 7.3.
7.2. **Death Benefits.** On the death of a Participant, the entire value of each Accumulation Account is payable to the Beneficiary or Beneficiaries named by the Participant under one of the options offered by the Fund Sponsor. However, to the extent that the account has been applied to purchase an annuity, payments shall be made only if and to the extent provided by the form of annuity.

7.3. **Application for Benefits; Spousal Consent.** To begin receiving benefits, the Participant or Beneficiary must write directly to the Fund Sponsor. The Fund Sponsor will provide the necessary forms to the Participant or the Beneficiary. The Fund Sponsor will pay benefits upon receipt of a satisfactorily completed application for benefits and supporting documents. In any case in which Section 2.2 or 7.1 requires the consent of the Participant's spouse, the consent must be in writing, must acknowledge the effect of the election or action to which the consent applies, and must be witnessed by a notary public. Unless the consent expressly provides that the Participant may make further elections without further consent of the spouse, the consent will be effective only with respect to the specific election of form of benefit, or Beneficiary, or both, to which the consent relates. Spousal consent will be effective only with respect to that spouse. Spousal consent will not be required if it is established to the satisfaction of the UW Benefits and WorkLife Office that the spouse cannot be located.

7.4. **Minimum Distribution Requirements.**

(a) All distributions under this Plan will be made in accordance with Code sections 403(b)(10) and 401(a)(9), as each is amended and in effect from time to time, and regulations thereunder. The entire Accumulation Account of each Participant will be distributed over a period not to exceed the life (or life expectancy) of the Participant or over the lives (or life expectancies) of the Participant and a designated Beneficiary. Minimum distributions must begin no later than April 1 of the calendar year following the calendar year in which the Participant attains age 70 1/2, or, if later, April 1 following the calendar year in which the Participant retires from UW. Notwithstanding the above, the Accumulation Account of each Participant as of December 31, 1986 will be distributed in accordance with IRS Regulation 1.403(b)-6(e)(6). The Participant (or Beneficiary, after the Participant's death) may elect whether to use the permissive recalculation rule for life expectancies under Code Section 401(a)(9)(D). Upon the Participant's death after the time benefits are required to begin hereunder, any remaining benefits will be distributed at least as rapidly as under the method of distribution in effect at the time of the Participant's death.

(b) If the Participant dies before benefit payments are required to begin under the preceding paragraph, any benefits payable to (or for the benefit of) a designated Beneficiary will be paid by the end of the fifth full calendar year after the Participant's death, or will be paid beginning no later than the end of the first full calendar year after the Participant's death over the life of the designated Beneficiary or over a period not exceeding the life expectancy of the designated Beneficiary. If the designated Beneficiary is the surviving spouse, payment may be delayed until the date the Participant would have attained age 70 1/2.
(c) In applying the foregoing rules, each Annuity Contract or Custodial Account shall be treated as an individual retirement account (IRA) and distribution shall be made in accordance with the provisions of Section 1.408-8 of the IRS regulations, except as provided in Section 1.403(b)-6(e) of the Regulations.

7.5. **Withdrawals, Loans, Benefit Distributions.** Withdrawals and/or benefit distributions are not available prior to severance from employment with UW and all Related Employers. Loans are not available.

7.6. **Rollover Distributions.**

(a) A Participant or the Beneficiary of a deceased Participant (or a Participant’s spouse or former spouse who is an alternate payee under a domestic relations order, as defined in section 414(p) of the Code) who is entitled to an eligible rollover distribution (as defined in section 402(c)(4) of the Code) from the Plan may elect to have any portion of that distribution paid directly to an eligible retirement plan (as defined in section 402(c)(8)(B) of the Code) specified by the Participant in a direct rollover. In the case of a distribution to a Beneficiary who at the time of the Participant’s death was neither the spouse of the Participant nor the spouse or former spouse of the participant who is an alternate payee under a domestic relations order, a direct rollover is payable only to an individual retirement account or individual retirement annuity (IRA) that has been established on behalf of the Beneficiary as an inherited IRA (within the meaning of section 408(d)(3)(C) of the Code).

(b) Each Fund Sponsor shall be separately responsible for providing, within a reasonable time period before making an initial eligible rollover distribution, an explanation to the Participant of his or her right to elect a direct rollover and the income tax withholding consequences of not electing a direct rollover.

8. **Administration**

8.1. **Plan Administration.** UW is the administrator of this Plan and has designated the UW Benefits and WorkLife Office to be responsible for the day to day administration of the Plan.

8.2. **Authority of UW.** UW shall have final authority to determine all questions concerning eligibility and contributions under the Plan, to interpret all terms of the Plan, including any uncertain terms, and to decide any disputes arising under and all questions concerning administration of the Plan. Any determination made by UW shall be given deference, if it is subject to judicial review, and shall be overturned only if it is arbitrary and capricious.

9. **Miscellaneous**

9.1. **Non-Alienation of Benefits.** Except as provided in this section, no benefit under the Plan may at any time be subject in any manner to alienation, encumbrance, the claims of creditors, or legal process. No participant will have power in any manner to transfer, assign, alienate, or in any way encumber his or her benefits under the Plan, or any part thereof, and any attempt to do so will be void and of no effect. This Plan will comply with any judgment, decree or order that
establishes the rights of another person to all or a portion of a Participant's benefit under this Plan to the extent that it is treated as a qualified domestic relations order under Code Section 414(p). Such payment shall be made without regard to whether the Participant is eligible for a distribution of benefits under the Plan. UW shall establish reasonable procedures for determining the status of any such decree or order and for effectuating distribution pursuant to the domestic relations order.

9.2. **Plan Does Not Affect Employment.** Nothing in this Plan is a commitment or agreement by any person to continue his or her employment with UW, and nothing in this Plan is a commitment on the part of UW to continue the employment or the rate of compensation of any person for any period. All employees of UW will remain subject to nonrenewal, discharge or discipline to the same extent as if the Plan had never been put into effect.

9.3. **Claims of Other Persons.** The Plan does not give any Participant or any other person, firm, or corporation any legal or equitable right against UW, or its officers, employees, or Regents, except for the rights that are specifically provided for in this Plan or created in accordance with the terms and provisions of this Plan.

9.4. **Contracts and Certificates.** In the event there is any inconsistency or ambiguity between the terms of the Plan and the terms of the contracts between the Fund Sponsor and UW and/or the Participants and any contracts and/or certificates issued to a Participant under the Plan, the terms of the Plan control.

9.5. **Requests for Information.** Any request for information concerning eligibility, participation, contributions, or other aspects of the operation of the Plan should be in writing and directed to the UW Benefits and WorkLife Office. Requests for information concerning the Fund Sponsor, the Funding Vehicle(s), their terms, conditions and interpretations thereof, claims thereunder, any requests for review of such claims, and service of legal process may be directed in writing to the Fund Sponsor.

9.6. **Mistaken Contributions.** If any contribution (or any portion of a contribution) is made to the Plan by a good faith mistake of fact, then within one year after the payment of the contribution, and upon receipt in good order of a proper request approved by the UW Benefits and WorkLife Office, the amount of the mistaken contribution (adjusted for any income or loss in value, if any, allocable thereto) shall be returned directly to the Participant or, to the extent required or permitted by the UW Benefits and WorkLife Office, to UW.

9.7. **Governing Law.** The provisions of the Plan are governed by and construed in accordance with the laws of the State of Washington.

10. **Amendment and Termination.**

10.1. **Amendment and Termination.** The Board reserves the right at any time to amend or terminate the Plan, in whole or in part, or to discontinue any further contributions or payments under the Plan. If the Plan is terminated or if Plan Contributions are completely discontinued, UW will notify all Participants. As of the date of complete or partial termination, all Accumulation Accounts will remain nonforfeitable.
10.2. **Distribution Upon Termination of the Plan.** UW may provide that, in connection with a termination of the Plan and subject to any restrictions contained in the Annuity Contracts and Custodial Account agreements, all Accumulation Accounts will be distributed, provided that UW and any Related Employer on the date of termination do not make contributions to an alternative section 403(b) contract that is not part of the Plan during the period beginning on the date of plan termination and ending 12 months after the distribution of all assets from the Plan, except as permitted by IRS regulations.

10.3. **Limitation.** Notwithstanding the provisions of Section 10.1, the Board shall not make any amendment to the Plan that operates to recapture for UW any contributions previously made under this Plan except to the extent permitted by Section 4.7 or 4.10.
UNIVERSITY OF WASHINGTON
401(a) SUPPLEMENTAL RETIREMENT PLAN

Amended and Restated, Effective January 1, 2009
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ARTICLE 1. INTRODUCTION

1.1. Amendment and Restatement. This document amends, restates, and continues Part III of the University of Washington Retirement Plan (UWRP), as in effect on December 31, 2008, which previously set out the terms and conditions of the supplemental retirement benefit provided for in RCW 28B.10.400(3), as amended.

1.2. 401(a) Status. The Plan, as set forth herein and previously in the UWRP document, is a governmental plan as defined in Section 410(d) of the Code intended to qualify under Section 401(a) of the Code, and shall be construed accordingly.

ARTICLE 2. DEFINITIONS

2.1. “Average Annual Salary” means the average annual Basic Salary paid to a Participant for his or her highest 24 consecutive Months of Service.

2.2. “Basic Salary” means the salary received by a Participant under the terms of his or her appointment, including any summer appointments, but excluding other extended appointments. Basic Salary shall be determined before taking into account any salary reduction under Code sections 125, 132, 403(b) or 457(a). Basic Salary shall exclude leave cash-out payments, any settlement, severance or tenure purchase payments, and any other amounts that are not taken into account in computing UWRP contributions. For any Participant who first became a participant in this Plan or the UWRP on or after July 1, 1996, Basic Salary shall not be taken into account for any Plan Year in excess of $230,000 (or such other limit as shall apply for such Plan Year under Section 401(a) (17) of the Code).

2.3. “Beneficiary” means the surviving spouse of the Participant or, with the written consent of such spouse, if any, such other person or persons as shall have an insurable interest in the Participant’s life and shall have been nominated by written designation duly executed and filed with the UW Benefits and WorkLife Office.

2.4. “Board” means the Board of Regents of the University of Washington.

2.5. “Break in Service” means termination of all UW employment and appointments for a full calendar month.


2.7. “Eligible Employee” means any employee of UW who is employed in an Eligible Position, other than an employee who has retired from a position which is covered by RCW 28B.10.400 et seq.

2.8. “Eligible Position” means an academic, research, librarian, professional, or other salaried position designated by the Board that requires at least fifty percent of the normal full-time workload per month in at least six consecutive months. However, a position held by a person on a fee, retainer, or special contract basis, or as an incident to the private practice of a profession or to the employee's education, is not an Eligible Position. The job classes of resident
(job code 0328), chief resident (job code 0329) and fellow (job code 0444) shall also be considered Eligible Positions. An Eligible Employee, once having begun participation in this Plan, shall be deemed to be employed in an Eligible Position even if his or her position no longer requires at least fifty percent of the normal full-time workload per month in at least six consecutive months, so long as the position otherwise qualifies as an Eligible Position.

2.9. “Fixed Annuity” means a Retirement Annuity contract issued by Teachers Insurance and Annuity Association (“TIAA”) under which 100% of the accumulation is invested in the TIAA Traditional Account.

2.10. “Month of Service” is a calendar month throughout which the employee is employed in an Eligible Position with 50 percent or more of the normal full time work load. If the employee is employed in an Eligible Position for only a fraction of a month, prorated credit shall be given for that month.

2.11. “Participant” means any Eligible Employee who participates in the Plan in accordance with Article 3.

2.12. “Plan” means the University of Washington 401(a) Supplemental Retirement Plan set forth in this document as it may be amended from time to time and, prior to January 1, 2009, as set forth in Part III of the UWRP plan document.

2.13. “Plan Year” means the calendar year.

2.14. “Retirement Age”: “Normal Retirement Age means the last day of the calendar month in which age 65 is attained; Early Retirement Age means the last day of the calendar month in which age 62 is attained.

2.15. “Trust” means the trust established to hold and invest assets of the Plan.

2.16. “Trustee” means the trustee or trustees appointed by UW to administer the Trust.


2.18. “UW” means the University of Washington.

2.19. “UWRP” means the University of Washington Retirement Plan.

2.20. “Variable Annuity” means a variable Retirement Annuity certificate issued by College Retirement Equities Fund (“CREF”) under which the entire accumulation is invested in the CREF Stock Account.

2.21. “Year of Service” is a Plan Year in which the employee completes at least five Months of Service, excluding Months of Service before a prior Break in Service (if any) and, after July 1, 1979, any Months of Service for which no contributions were made under the UWRP or any other plan established pursuant to RCW 28B.10.400. Authorized leaves of absence will also be included, as will periods of absence in the uniformed services to the extent provided in
USERRA. A Participant's Years of Service will also include his or her credited Years of Service in a position covered by RCW 28B.10.400 et seq. or in a UW position covered by a Washington State Retirement System, provided that, with regard to the Washington State Retirement System, (a) the Participant transfers directly from the System to the UWRP, (b) the Participant was vested and will receive a retirement income benefit from such System, (c) service that has been withdrawn does not count, and (d) the Participant signs a release within 60 days after the application for benefits, as needed to obtain the relevant information from the System. Any retirement income benefit that he or she is eligible to receive under the System attributable to Years of Service that are covered under the preceding sentence shall be included in the assumed benefit offset described in Section 5.3. Except as otherwise provided in USERRA, an Employee may receive credit for no more than two years during his or her entire working career for periods of authorized leave without pay, provided that the Employee contributes both the Employer and Employee contributions under the UWRP while on authorized leave, and returns to the employment of UW immediately following the leave for a period of not less than two years. The Employee and Employer contributions shall be based on the average of the Employee’s compensation at the time the leave of absence was authorized and the time the Employee resumes employment. However, the benefit provided by this Plan shall be based only on the Participant’s compensation earned from employment with UW.

ARTICLE 3. PARTICIPATION

3.1. Commencement of participation. An Eligible Employee begins (or began) participation in this Plan on the later of (a) the date he or she begins (or began) participation in the UWRP or (b) the beginning of the first period for which the Eligible Employee has made a contribution under the UWRP.

3.2. Cessation of participation. A Participant will continue to be a Participant so long as he or she continues to contribute under the UWRP (except while on authorized leave), and shall cease to be a Participant in this Plan when he or she ceases to contribute under the UWRP.

ARTICLE 4. RETIREMENT

4.1. Retirement because of age. On the first of any month after attaining age 62, a Participant who is actively employed by UW may elect to retire by submitting a written resignation to his or her superior and a retirement application to the UW Benefits and WorkLife Office. A person is ineligible for any benefit under this Plan if he or she ceases to be a Participant prior to age 62 for reasons other than retirement because of condition of health as described in Section 4.2.

4.2. Retirement because of condition of health. A retirement because of condition of health may be approved by the President of UW upon request by a Participant or by the administrative officer concerned. The basis for approval is whether continued service by the Participant is likely to seriously impair or endanger the Participant's health, or if the Participant is permanently unable to carry on his or her usual duties because of health. A request for retirement because of condition of health is referred to the Director of Benefits & WorkLife, who will convene the Special Board on Retirement Because of Health. The recommendations of the Special Board on Retirement Because of Health are presented to the President of UW.
Special Board consists of the Dean of the School of Medicine, the Director of Benefits & WorkLife, one physician appointed by the Faculty Senate for a term of three years, and two Participant representatives selected by the Vice President, Human Resources after consultation with the Faculty Senate and other Participant groups.

4.3. **Retiree reemployment** means the reemployment of a former Participant up to 40% of full time following the date of retirement under Section 4.1. Such reemployment shall be subject to all applicable UW rules. Such reemployment after retirement will not be counted as service under the Plan nor result in any eligibility for increased benefits under the Plan. A reemployed retiree who retired under this Plan or the UWRP is not a Participant under the Plan.

ARTICLE 5. BENEFITS

5.1. **Eligibility for benefit.** A Participant is eligible for a benefit under this Plan if, at retirement, all of the following are true:

(a) The Participant has reached age 62 or retires under Section 4.2, and

(b) The number of his or her Years of Service is ten or more, and

(c) The amount of his or her benefit, as calculated under Section 5.2, is a positive amount.

5.2. **Amount of benefit.** The monthly amount of lifetime benefit payable to an eligible retired Participant is the amount determined by UW at the time of retirement to be the excess, if any, of

(a) one-twelfth of two percent of the Participant's Average Annual Salary multiplied by the number of his or her Years of Service (such product not to exceed one-twelfth of 50% of the Participant's Average Annual Salary) over

(b) the amount of the assumed annuity benefit offset the retired Participant would receive in the first month of retirement, calculated as provided in Section 5.3.

The percentage factor in (a) above shall be 1.5 percent instead of 2 percent for any Month of Service commencing on or after July 1, 1974, during any portion of which the Participant, having attained the age of fifty, had not elected to participate in the UWRP at the 10% plan contribution rate. The benefit hereunder is reduced by .5% times the number of full calendar months that benefit payments begin prior to Normal Retirement Age; however, if the Participant was given a retirement because of condition of health under Section 4.2, this reduction will not apply.

5.3. **Assumed annuity benefit offset.** The assumed annuity benefit offset for a married Participant is equal to the amount of monthly benefit from the Fixed and Variable Annuities calculated as a joint and survivor annuity with two-thirds of the benefit to the survivor, with the Participant's spouse as the survivor (using the spouse's actual age, if within five years of the Participant's age; otherwise, using the age closest to the spouse's age that is five years less than or five years greater than the Participant's age). For an unmarried Participant, the benefit offset is calculated as a single life annuity with a ten-year guaranteed period. In either case, the
assumed annuity benefit offset will be the amount estimated by UW at the time of retirement. The following assumptions shall be used in computing the assumed annuity benefit offset:

(a) Benefit calculations related to contributions under the UWRP shall be computed on the assumption that the Participant had allocated 50 percent of such contributions to the Fixed Annuity and 50 percent of such contributions to the Variable Annuity and made no subsequent transfers from these accounts.

(b) Any portion of a Participant's accumulation account under the UWRP which is awarded by a court to such person's spouse under a domestic relations order is included in any subsequent calculation of the benefit under the UWRP as if such portion had remained in the Participant's accumulation account under the UWRP until the date of retirement.

(c) Annuity accumulations attributable to any additional voluntary employee contributions, beyond those provided for in the UWRP, and any contributions paid through employers other than State of Washington institutions of higher education, are excluded.

(d) All benefits that a retired Participant is eligible to receive from a plan established pursuant to RCW 28B.10.400 or (to the extent attributable to Years of Service) from a Washington State Retirement System shall be included in the assumed annuity benefit offset to the same extent as if received from the UWRP.

5.4. Alternative method of benefit calculation. The monthly amount of the benefit for a participant whose Months of Service began not later than October 1, 1955, is the greater of:

(a) The benefit defined in Section 5.2; or

(b) The supplemental benefit that would have been provided the Participant under the rules of the UWRP in effect on June 30, 1974.

5.5. Death benefit. If a Participant dies while eligible for benefits under the Plan after attaining age 62, the benefit, if any, payable to the Participant’s Beneficiary shall be equal to the benefit that the Beneficiary would have received if the Participant had begun to receive retirement income on the first day of the month following the month in which the Participant's death occurs and had elected a two-thirds benefit to survivor option with the Beneficiary as the survivor.

5.6. Form of distribution. Benefits under the Plan are to be received as lifetime income and may only be made over (a) the life of the retiree, or (b) at the written election of the retiree, the lives of the retiree and a Beneficiary, in any form of lifetime annuity made available by UW that does not include a guarantee period. If option (b) is chosen, the actuarially equivalent income shall be computed using the dividend, interest and mortality basis then in effect for the Fixed Annuity. Any form of distribution hereunder to a married Participant, other than a joint and survivor form under which the spouse to whom the Participant is married at the time of the election hereunder receives a survivor annuity equal to 50% or more of the lifetime annuity payable to the Participant, requires the consent of the Participant's spouse at the time of
the election hereunder, in accordance with Section 5.7. Once an election has been made hereunder, the form of distribution may not be changed at any later time for any reason, including (without limitation) remarriage of the Participant, provided that in the event of a Beneficiary’s death (or any other change in circumstances) before the starting date of any annuity payments a new Beneficiary may be designated by the Participant prior to that starting date. Benefit payments are made in equal monthly installments or more frequently, consistent with UW’s payroll practices. At the election of UW, the supplemental payment may be made at longer intervals if the installments for a Participant or his or her Beneficiary would otherwise be less than a minimum amount established from time to time by the UW Benefits and WorkLife Office.

5.7. **Application for benefits; spousal consent.** To begin receiving benefits, the Participant or Beneficiary must write directly to the UW Benefits and WorkLife Office. The UW Benefits and WorkLife Office will provide the necessary forms to the Participant or the Beneficiary. UW will pay benefits upon receipt of a satisfactorily completed application for benefits and supporting documents. In any case in which the consent of the Participant's spouse is required, the consent must be in writing, must acknowledge the effect of the election or action to which the consent applies, and must be witnessed by a notary public. Unless the consent expressly provides that the Participant may make further elections without further consent of the spouse, the consent will be effective only with respect to the specific election of form of benefit, or Beneficiary, or both, to which the consent relates. Spousal consent will be effective only with respect to that spouse. Spousal consent will not be required if it is established to the satisfaction of the UW Benefits and WorkLife Office that the spouse cannot be located.

5.8. **Application for benefits.** Procedures for calculation of the benefits under this Plan are initiated by submitting an "Application for Retirement" form to the UW Benefits and WorkLife Office.

5.9. **Benefit payments.** Any benefits that become payable under this Article 5 will be paid from the general assets of UW, unless paid from the Trust. If benefits are paid from general assets of UW, they shall be treated as a contribution to the Trust and payment by the Trust. Nothing in this Plan will be construed to create a trust or obligate UW to segregate a fund, purchase an annuity contract, or fund in any other way the future payment of any benefits under this Plan.

5.10. **Minimum distributions.** All benefits under this Plan will be made in accordance with Code Section 401(a)(9) and the regulations thereunder. Minimum distributions must begin no later than April 1 of the calendar year following the calendar year in which the Participant attains age 70½ or, if later, April 1 following the calendar year in which the Participant retires from UW. Upon the Participant’s death after the time benefits are required to begin hereunder, any remaining benefits will be distributed at least as rapidly as under the method of distribution in effect at the time of the Participant’s death. If the Participant dies before benefit payments are required to begin under the second sentence of this Section 5.10, any benefits payable to (or for the benefit of) the Beneficiary will be paid beginning no later than the date the Participant would have attained age 70 1/2 over the life of the Beneficiary.
5.11. **Maximum benefit.** Benefits under the Plan shall not exceed the limitation of Section 415(b) of the Code, to the extent applicable.

5.12. **Forfeitures.** Forfeitures shall not be applied to increase the benefits any employee would otherwise receive under the Plan.

**ARTICLE 6. ADMINISTRATION**

6.1. **Plan administration.** UW is the administrator of this Plan and has designated the UW Benefits and WorkLife Office to be responsible for the day to day administration of the Plan.

6.2. **Authority of UW.** UW shall have final authority to determine all questions concerning eligibility and benefits under the Plan, to interpret all terms of the Plan, including any uncertain terms, and to decide any disputes arising under and all questions concerning administration of the Plan. Any determination made by UW shall be given deference, if it is subject to judicial review, and shall be overturned only if it is arbitrary and capricious.

6.3. **Requests for information.** Any request for information concerning eligibility, participation, benefits, or other aspects of the operation of the Plan should be in writing and directed to the UW Benefits and WorkLife Office.

6.4. **Payment of expenses.** All reasonable costs and expenses incident to the administration of the Plan and the Trust, including but not limited to legal, accounting, and Trustee fees, shall be paid by the Trust unless UW elects to pay such expenses. Notwithstanding the foregoing, any and all expenses relating to settlor functions such as creation or termination of the Plan shall be paid by UW and may not be paid from the Trust.

**ARTICLE 7. FUNDING**

7.1. **Trust agreement or declaration.** UW shall appoint a Trustee (which may include UW itself) and enter into a trust agreement or declaration of trust. The Trustee will receive and invest all contributions, if any, made under the Plan to the Trust and all income derived therefrom. UW may remove a Trustee and may appoint a successor or additional Trustees and may divide their duties and responsibilities as it sees fit.

7.2. **Exclusive benefit of Participants.** All assets of the Trust shall be held for the exclusive purpose of providing benefits to Participants and Beneficiaries under the Plan and defraying reasonable expenses of administering the Plan and as otherwise permitted by law and the Plan. In no event shall it be possible at any time prior to the satisfaction of all liabilities under Plan for any part of the assets of the Trust, whether principal or income, to be used for or diverted to purposes other than those stated herein.

7.3. **Return of contributions.** Nothing herein shall prohibit a return to UW, within one year after payment, of excess sums contributed to the Trust as a result of a good faith mistake of fact. In addition, in the event that the Commissioner of Internal Revenue (or his or her delegate) determines that the Plan is not initially qualified under the Code, any UW contributions made to the Plan shall be returned to UW within one year after the date the initial qualification is denied.
ARTICLE 8. AMENDMENT AND TERMINATION

8.1. Amendment and termination. The Board reserves the right at any time to amend or terminate the Plan, in whole or in part, to the extent permitted by law. If the Plan is terminated, UW will notify all Participants. All benefits accrued to the date of termination will be nonforfeitable to the extent funded or as otherwise required by law. No amendment shall be effective if it permits any part of the Trust assets (other than such part as is required to pay taxes and administration expenses) to be used for or diverted to any purpose other than for the exclusive benefit of the Participants or their Beneficiaries, or permits any portion of the Trust assets to revert to or become property of UW, except as permitted by law.

8.2. Limitation. Notwithstanding the provisions of Section 8.1, the Board shall not make any amendment to the Plan that operates to recapture for UW any contributions previously made under this Plan except to the extent permitted by law.

ARTICLE 9. MISCELLANEOUS

9.1. Non-alienation of benefits. Except as provided in this section, no benefit under the Plan may at any time be subject in any manner to alienation, encumbrance, the claims of creditors, or legal process. No participant will have power in any manner to transfer, assign, alienate, or in any way encumber his or her benefits under the Plan, or any part thereof, and any attempt to do so will be void and of no effect. This Plan will comply with any judgment, decree or order that establishes the rights of another person to all or a portion of a Participant's benefit under this Plan to the extent that it is treated as a qualified domestic relations order under Code Section 414(p).

9.2. Plan does not affect employment. Nothing in this Plan is a commitment or agreement by any person to continue his or her employment with UW, and nothing in this Plan is a commitment on the part of UW to continue the employment or the rate of compensation of any person for any period. All employees of UW will remain subject to nonrenewal, discharge or discipline to the same extent as if the Plan had never been put into effect.

9.3. Claims of other persons. The Plan does not give any Participant or any other person, firm, or corporation any legal or equitable right against UW, or its officers, employees, or Regents, except for the rights that are specifically provided for in this Plan or created in accordance with the terms and provisions of this Plan.

9.4. Governing law. Except as provided under federal law, the provisions of the Plan are governed by and construed in accordance with the laws of the State of Washington.
VII. STANDING COMMITTEE

A. Academic and Student Affairs Committee

Accreditation Update

See Attachment.
July 31, 2008

Dr. Mark Emmert  
President  
University of Washington  
301 Gerberding Hall  
Box 351230  
Seattle, WA 98195

Dear President Emmert:

On behalf of the Northwest Commission on Colleges and Universities, I write to inform you that the accreditation of the University of Washington has been reaffirmed on the basis of the Spring 2008 Regular Interim Evaluation. Congratulations on receiving this continued recognition.

In taking this action, however, the Commission requests that the University of Washington prepare a progress report in fall 2009 to again address Recommendations 1 and 2 of the Spring 2003 Comprehensive Evaluation Report. A copy of these recommendations is enclosed for your reference.

If you have any questions, please do not hesitate to contact me.

We will write in spring 2009 regarding the Fall 2009 Progress Report.

Best wishes for a rewarding year.

Sincerely,

Sandra E. Elman  
President

SEE:rb

Enclosure: Recommendations

cc: Mr. Douglas J. Wadden, Executive Vice Provost  
Mr. Stanley H. Barer, Chair, Board of Regents  
Mr. Bill Grinstein, Chair, Higher Education Coordinating Board
1. The Committee notes some inconsistencies in perceptions of future relationships among the three UW campuses. The self-study describes the continuing “transformation from a university with three campuses to a coordinated multicampus university,” but these words appear to have little meaning on any of the three campuses. There is no apparent reconciliation of the clear diversity of the three campus missions and the concept of “one university.” The consequences of the resulting confusion may become serious if not addressed carefully and thoughtfully, as the Committee now recommends (Standard 1.A.1).

2. The Committee commends the University’s multiple strategies for academic assessment, but still it must be noted that the University of Washington remains far from the goal of setting learning objectives for all students and measuring progress toward those objectives to facilitate continuous improvement. The Committee recommends continuing and accelerated efforts toward these ends (Standard 2.B.1, 2.B.2, 2.B.3 and Policy 2.2).

3. The Committee recognizes the impressive success of the University of Washington thus far in managing scarce resources without perceptible loss of quality in its core mission, but recommends considering the broadest possible range of strategies to achieve this critical objective, even including the elimination of academic programs of diminishing priority (Standard 2.A.1).

4. The Committee observed a pervasive concern about the need to maintain (or restore) competitive compensation, even if this action requires reallocation of resources with locally adverse consequences. The Committee recommends that the University persist in its current plans to set a modest minimum goal for compensation increases to be achieved even in the face of revenue shortfalls that require budget cuts (Standard 4.A.4).

5. The Committee recommends that the concerns of the campus information technologists regarding network security be addressed (Standard 5.E.3; Standard 8.B.2).

6. The Committee recognizes the sincere efforts to advance the diversity agenda at the University of Washington, but no one should be satisfied with the results. The Committee recommends that the UW undertake additional efforts to increase the number of faculty and students from underrepresented groups (Standard 3.D.2; Standard 6.1).
VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

UW

Report of Contributions
University of Washington
University of Washington Foundation

July and August, 2008
## PROGRESS BY CONSTITUENCY

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Donors are defined as those entities with a credit amount of greater than $0.00.

Source: University Advancement

REPORT OF CONTRIBUTIONS, UNIVERSITY OF WASHINGTON • JULY 2008
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Source: University Advancement
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Donors are defined as those entities with a credit amount of greater than $0.00.

Source: University Advancement
### FUNDING THEME PROGRESS

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</table>

Source: University Advancement

REPORT OF CONTRIBUTIONS, UNIVERSITY OF WASHINGTON • AUGUST 2008
VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Grant and Contract Awards – June, 2008

RECOMMENDED ACTION:

It is the recommendation of the administration and the Finance and Audit Committee that the Board of Regents accept the Grant and Contract Awards as presented on the attached list.

Attachment: Grant and Contract Awards Summary Report of Grant and Contract Awards of $1,000,000 or More
Grant and Contract Awards Summary

to

The Board of Regents

of the

University of Washington

for

June 2008

Office of Research

Office of Sponsored Programs
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<th>Page</th>
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<td>14</td>
</tr>
</tbody>
</table>
The National Science Foundation awarded a grant in the amount of $484,089 for a project under the direction of Research Professor Ka Kit Tung of the Department of Applied Mathematics entitled “ATM-GEO/ATM-Climate & Large-Scale Dynamics.” Some new methods of data analysis in time and space domains are used to study global climate variability in both the troposphere and the stratosphere. Researchers will study the global patterns of warming and cooling and attempt to separate warming response to solar variation from that by greenhouse warming, using the new methods of data analysis. Researchers will also extract from data statistically significant perturbations to the polar stratosphere by El Nino-southern Oscillation, by solar cycle and by Quasi-Biennial Oscillation, to understand how these “external” perturbations interact with each other. It is hoped that by the end of the proposed project, the mechanism responsible for the climate response to solar-cycle forcing can be elucidated through the proposed data and model analyses, and the response to several of the natural external factors better quantified.

The University of Alabama, Birmingham awarded a grant in the amount of $1,022,358 for a project under the direction of Dr. Mari M. Kitahata of the Department of Medicine entitled “CNICS Infrastructure Development.” The primary goal of this project is to expand the research capabilities of the existing Center for AIDS Research (CFAR) Network of Integrated Clinic Systems (CNICS). The mission of the CFARS is to foster collaborative and interdisciplinary research, support HIV research career development of young investigators, and serve HIV investigators at affiliated institutions. This project will add CFAR sites to the project, extending the data types captured by the database system, and develop technical and analytic tools to import HIV resistance data directly from clinical laboratories into the CNICS central data repository.

Swedish Medical Center awarded a grant in the amount of $84,778 for a project under the direction of Dr. Michelle Williams of the Department of Epidemiology entitled “Ambient Air Pollution, Preeclampsia, and Preterm Delivery.” Recent studies suggest that elevated air pollutant exposures may lead to preterm delivery, even at air pollutant levels typical of those in many US cities. In this study, participants during early pregnancy will be followed until delivery. The researchers will design models that use local traffic, weather, and population characteristics to predict monthly ambient concentrations of fine particulate matter and carbon monoxide. These models will be used to estimate study participants' exposure to both pollutants before and during pregnancy. The research team will test whether these air pollutant exposures are associated with subsequent risk of preeclampsia &preterm delivery using multivariable logistic regression procedures. Study results could contribute to knowledge regarding the causes and mechanisms involved in preeclampsia and preterm delivery.
### Summary of Grant and Contract Awards

**Fiscal Year 2007-2008**

<table>
<thead>
<tr>
<th>Month</th>
<th>RESEARCH AND OTHER</th>
<th>TRAINING</th>
<th>Total Grants and Contracts</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Federal</td>
<td>Non-Federal</td>
<td>Federal</td>
</tr>
<tr>
<td>July</td>
<td>$ 54,687,260</td>
<td>$ 14,982,400</td>
<td>$ 7,034,788</td>
</tr>
<tr>
<td>August</td>
<td>$ 112,417,000</td>
<td>$ 21,120,610</td>
<td>$ 9,765,255</td>
</tr>
<tr>
<td>September</td>
<td>$ 62,869,760</td>
<td>$ 24,344,880</td>
<td>$ 11,411,270</td>
</tr>
<tr>
<td>October</td>
<td>$ 75,921,240</td>
<td>$ 38,888,260</td>
<td>$ 6,410,827</td>
</tr>
<tr>
<td>November</td>
<td>$ 11,290,950</td>
<td>$ 14,589,700</td>
<td>$ 319,941</td>
</tr>
<tr>
<td>December</td>
<td>$ 16,090,690</td>
<td>$ 16,532,470</td>
<td>$ 385,596</td>
</tr>
<tr>
<td>January</td>
<td>$ 19,244,150</td>
<td>$ 17,965,880</td>
<td>$ 912,526</td>
</tr>
<tr>
<td>February</td>
<td>$ 27,736,200</td>
<td>$ 18,007,790</td>
<td>$ 1,215,807</td>
</tr>
<tr>
<td>March</td>
<td>$ 51,435,740</td>
<td>$ 17,396,780</td>
<td>$ 1,188,447</td>
</tr>
<tr>
<td>April</td>
<td>$ 58,838,250</td>
<td>$ 19,785,490</td>
<td>$ 4,528,914</td>
</tr>
<tr>
<td>May</td>
<td>$ 53,983,140</td>
<td>$ 12,896,290</td>
<td>$ 7,422,283</td>
</tr>
<tr>
<td>June</td>
<td>$ 111,400,300</td>
<td>$ 35,112,880</td>
<td>$ 53,552,070</td>
</tr>
</tbody>
</table>

**Summary**

<table>
<thead>
<tr>
<th></th>
<th>FY08 to Date</th>
<th>FY07 to Date</th>
<th>Over (Under) Previous Year</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>$655,708,512</td>
<td>$706,053,154</td>
<td>($50,344,642)</td>
</tr>
<tr>
<td></td>
<td>$251,829,639</td>
<td>$210,807,846</td>
<td>$41,021,793</td>
</tr>
<tr>
<td></td>
<td>$103,675,606</td>
<td>$86,706,450</td>
<td>$16,969,156</td>
</tr>
<tr>
<td></td>
<td>$25,930,966</td>
<td>$15,921,161</td>
<td>$10,009,805</td>
</tr>
<tr>
<td></td>
<td>$1,037,144,723</td>
<td>$1,019,488,611</td>
<td>$17,656,112</td>
</tr>
</tbody>
</table>

*Assuming acceptance of all awards by the Board of Regents*
Comparison of Grant and Contract Awards by Agency  
Fiscal Years 2006-2007 and 2007-2008

<table>
<thead>
<tr>
<th>Agency</th>
<th>FY07</th>
<th>FY08</th>
</tr>
</thead>
<tbody>
<tr>
<td>US Department of Defense (DOD)</td>
<td>$60,315,941</td>
<td>$44,022,228</td>
</tr>
<tr>
<td>US Department of Education (DOEd)</td>
<td>$50,295,288</td>
<td>$44,565,739</td>
</tr>
<tr>
<td>US Department of Energy (DOE)</td>
<td>$20,313,626</td>
<td>$23,435,374</td>
</tr>
<tr>
<td>US Department of Health and Human Services (DHHS)</td>
<td>$508,408,954</td>
<td>$505,971,144</td>
</tr>
<tr>
<td>National Science Foundation (NSF)</td>
<td>$94,987,402</td>
<td>$80,999,822</td>
</tr>
<tr>
<td>Other Federal</td>
<td>$58,438,394</td>
<td>$60,389,811</td>
</tr>
</tbody>
</table>

Subtotal for Federal : $792,759,604 $759,384,119

- Associations and Non-Profits                                       | $89,826,627 | $94,652,802 |
- Foundations                                                         | $55,262,860 | $76,800,793 |
- Local Government (in Washington)                                    | $5,448,040  | $6,739,238  |
- Other Government (not in Washington)                                | $24,860,430 | $26,864,913 |
- Private Industry                                                    | $33,787,903 | $37,244,416 |
- State of Washington                                                 | $17,523,614 | $35,456,591 |
- Not Indicated                                                       | $19,533     | $1,853     |

Subtotal for Non-Federal : $226,729,007 $277,760,605

Grand Total : $1,019,488,611 $1,037,144,723

Amount of Increase (Decrease) : $17,656,112
Percent of Increase (Decrease) : 1.7%

Assuming acceptance of all awards by the Board of Regents
### Comparison of Grant and Contract Awards by School/College
#### Fiscal Years 2006-2007 and 2007-2008

<table>
<thead>
<tr>
<th>School/College</th>
<th>FY07</th>
<th>FY08</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Upper Campus</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Architecture and Urban Planning</td>
<td>$967,374</td>
<td>$997,831</td>
</tr>
<tr>
<td>Arts and Sciences</td>
<td>$99,394,609</td>
<td>$90,817,105</td>
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<tr>
<td>Business Administration</td>
<td>$113,418</td>
<td>$740,458</td>
</tr>
<tr>
<td>Computing &amp; Communications</td>
<td>$100,000</td>
<td>$30,000</td>
</tr>
<tr>
<td>Director of Libraries</td>
<td>$2,229,727</td>
<td>$2,054,839</td>
</tr>
<tr>
<td>Education</td>
<td>$16,474,007</td>
<td>$8,610,455</td>
</tr>
<tr>
<td>Educational Outreach</td>
<td>$439,400</td>
<td>$287,668</td>
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<tr>
<td>Engineering</td>
<td>$79,423,507</td>
<td>$75,096,662</td>
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<td>Evans School of Public Affairs</td>
<td>$6,616,071</td>
<td>$4,288,966</td>
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<td>Executive Vice President</td>
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<td>$208,000</td>
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<tr>
<td>Forest Resources</td>
<td>$6,030,573</td>
<td>$5,801,137</td>
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<tr>
<td>Graduate School</td>
<td>$4,964,821</td>
<td>$1,808,653</td>
</tr>
<tr>
<td>Information School</td>
<td>$1,788,301</td>
<td>$5,573,549</td>
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<tr>
<td>Law</td>
<td>$2,069,680</td>
<td>$409,734</td>
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<tr>
<td>Ocean and Fishery Sciences</td>
<td>$89,468,286</td>
<td>$100,290,485</td>
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<tr>
<td>Office of Research</td>
<td>$19,742,658</td>
<td>$18,633,875</td>
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<tr>
<td>Provost</td>
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<td>Social Work</td>
<td>$16,657,687</td>
<td>$16,773,527</td>
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<td>Undergraduate Education</td>
<td>$1,250,161</td>
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<td>VP Educational Partnerships</td>
<td>$131,347</td>
<td>$43,745</td>
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<tr>
<td>VP Minority Affairs</td>
<td>$5,442,213</td>
<td>$7,138,112</td>
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<tr>
<td>VP Student Affairs</td>
<td>$473,341</td>
<td>$299,444</td>
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<tr>
<td>VP Student Life</td>
<td>$23,620,339</td>
<td>$25,954,377</td>
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<tr>
<td><strong>Subtotal</strong></td>
<td>$377,397,520</td>
<td>$366,421,994</td>
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<tr>
<td><strong>Health Sciences</strong></td>
<td></td>
<td></td>
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<tr>
<td>Dentistry</td>
<td>$10,270,646</td>
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<tr>
<td>Health Sciences Admin</td>
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<tr>
<td>Medical Centers</td>
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</tr>
<tr>
<td>Medicine</td>
<td>$496,439,575</td>
<td>$522,264,782</td>
</tr>
<tr>
<td>Nursing</td>
<td>$14,830,863</td>
<td>$16,085,771</td>
</tr>
<tr>
<td>Pharmacy</td>
<td>$13,175,785</td>
<td>$8,399,533</td>
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<tr>
<td>Public Health and Community Medicine</td>
<td>$80,918,388</td>
<td>$79,499,584</td>
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<tr>
<td><strong>Subtotal</strong></td>
<td>$615,697,256</td>
<td>$638,864,491</td>
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<tr>
<td><strong>Special Programs</strong></td>
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<td></td>
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<tr>
<td>Alcohol and Drug Abuse Institute</td>
<td>$1,673,433</td>
<td>$4,940,461</td>
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<tr>
<td>CHDD Administration</td>
<td>$10,549,341</td>
<td>$7,596,760</td>
</tr>
<tr>
<td>Regional Primate Center</td>
<td>$13,257,942</td>
<td>$17,006,272</td>
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<tr>
<td><strong>Subtotal</strong></td>
<td>$25,480,716</td>
<td>$29,543,493</td>
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</table>

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### Other UW Campuses

<table>
<thead>
<tr>
<th>School/College</th>
<th>FY07</th>
<th>FY08</th>
</tr>
</thead>
<tbody>
<tr>
<td>Bothell</td>
<td>$680,323</td>
<td>$1,495,868</td>
</tr>
<tr>
<td>Tacoma</td>
<td>$232,796</td>
<td>$322,285</td>
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<tr>
<td><strong>Subtotal</strong></td>
<td><strong>$913,119</strong></td>
<td><strong>$1,818,153</strong></td>
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<tr>
<td>Not Indicated</td>
<td>Not Indicated</td>
<td></td>
</tr>
<tr>
<td><strong>Subtotal</strong></td>
<td><strong>$720,593</strong></td>
<td><strong>$720,593</strong></td>
</tr>
<tr>
<td><strong>Grand Total</strong></td>
<td><strong>$1,019,488,611</strong></td>
<td><strong>$1,037,144,723</strong></td>
</tr>
</tbody>
</table>

Assuming acceptance of all awards by the Board of Regents
<table>
<thead>
<tr>
<th>Department</th>
<th>FY07</th>
<th>FY08</th>
</tr>
</thead>
<tbody>
<tr>
<td>Center for Statistics and the Social Sciences</td>
<td>$809,139</td>
<td>$1,057,167</td>
</tr>
<tr>
<td>Center for Studies in Demography and Ecology</td>
<td>$2,204,034</td>
<td>$3,059,705</td>
</tr>
<tr>
<td>Department of Anthropology</td>
<td>$223,974</td>
<td>$249,016</td>
</tr>
<tr>
<td>Department of Applied Mathematics</td>
<td>$1,755,667</td>
<td>$999,617</td>
</tr>
<tr>
<td>Department of Asian Languages and Literature</td>
<td>$91,760</td>
<td>$0</td>
</tr>
<tr>
<td>Department of Astronomy</td>
<td>$3,659,866</td>
<td>$5,271,019</td>
</tr>
<tr>
<td>Department of Atmospheric Sciences</td>
<td>$7,816,465</td>
<td>$4,555,256</td>
</tr>
<tr>
<td>Department of Biology</td>
<td>$10,638,370</td>
<td>$6,442,472</td>
</tr>
<tr>
<td>Department of Chemistry</td>
<td>$21,773,610</td>
<td>$22,922,050</td>
</tr>
<tr>
<td>Department of Communication</td>
<td>$1,541,100</td>
<td>$1,395,680</td>
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<td>Department of Comparative Literature</td>
<td>$46,178</td>
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<tr>
<td>Department of Earth and Space Sciences</td>
<td>$6,742,383</td>
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<td>Department of Economics</td>
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<td>$6,575</td>
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<td>Department of English</td>
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<td>Department of Geography</td>
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<td>Department of Germanics</td>
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<td>$98,000</td>
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<td>Department of History</td>
<td>$70,694</td>
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<td>Department of Linguistics</td>
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<td>Department of Physics</td>
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<td>Department of Political Science</td>
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<td>Department of Psychology</td>
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<td>$9,502,144</td>
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<td>Department of Romance Languages</td>
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<td>$0</td>
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<tr>
<td>Department of Scandinavian Studies</td>
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<td>Department of Sociology</td>
<td>$170,649</td>
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<tr>
<td>Department of Speech and Hearing Sciences</td>
<td>$2,309,561</td>
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<td>Department of Statistics</td>
<td>$1,292,971</td>
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</tr>
<tr>
<td>Department of Women Studies</td>
<td>$23,592</td>
<td>$55,000</td>
</tr>
<tr>
<td>Gaining Early Awareness and Readiness for Undergraduate Programs</td>
<td>$750,000</td>
<td>$790,660</td>
</tr>
<tr>
<td>Henry M. Jackson School of International Studies</td>
<td>$7,395,238</td>
<td>$4,216,753</td>
</tr>
<tr>
<td>Institute for Nuclear Theory</td>
<td>$4,031,400</td>
<td>$3,274,000</td>
</tr>
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<td>Language Learning Center</td>
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<td>$76,000</td>
</tr>
<tr>
<td>Law, Societies and Justice Program</td>
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<td>$71,745</td>
</tr>
<tr>
<td>Public Performing Arts</td>
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<td>$193,213</td>
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<tr>
<td>School of Art</td>
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</tr>
<tr>
<td>School of Drama</td>
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<td>$75,000</td>
</tr>
<tr>
<td>School of Music</td>
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<td>$600,000</td>
</tr>
<tr>
<td>Thomas Burke Memorial Washington State Museum</td>
<td>$553,981</td>
<td>$127,634</td>
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<tr>
<td>Department</td>
<td>FY07</td>
<td>FY08</td>
</tr>
<tr>
<td>------------</td>
<td>--------</td>
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</tr>
<tr>
<td>Total</td>
<td>$99,394,610</td>
<td>$90,817,100</td>
</tr>
</tbody>
</table>

Assuming acceptance of all awards by the Board of Regents
### Summary of Grant Awards

**Fiscal Year 2007-2008**

*Excluding private awards from Foundations, Industry, Associations and Others*

<table>
<thead>
<tr>
<th>Month</th>
<th>RESEARCH AND OTHER</th>
<th>TRAINING</th>
<th>Total Grants</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Federal</td>
<td>Non-Federal</td>
<td>Federal</td>
</tr>
<tr>
<td>July</td>
<td>$54,190,780</td>
<td>$1,031,886</td>
<td>$7,034,788</td>
</tr>
<tr>
<td>August</td>
<td>$107,638,100</td>
<td>$2,487,815</td>
<td>$9,762,278</td>
</tr>
<tr>
<td>September</td>
<td>$54,737,540</td>
<td>$855,616</td>
<td>$11,410,000</td>
</tr>
<tr>
<td>October</td>
<td>$69,439,460</td>
<td>$3,387,818</td>
<td>$6,360,827</td>
</tr>
<tr>
<td>November</td>
<td>$7,672,178</td>
<td>$2,205,321</td>
<td>$319,941</td>
</tr>
<tr>
<td>December</td>
<td>$13,839,270</td>
<td>$1,071,375</td>
<td>$385,596</td>
</tr>
<tr>
<td>January</td>
<td>$16,138,890</td>
<td>$3,928,468</td>
<td>$624,062</td>
</tr>
<tr>
<td>February</td>
<td>$24,350,010</td>
<td>$1,202,499</td>
<td>$1,215,807</td>
</tr>
<tr>
<td>March</td>
<td>$47,558,410</td>
<td>$3,106,175</td>
<td>$1,188,447</td>
</tr>
<tr>
<td>April</td>
<td>$52,965,980</td>
<td>$1,425,991</td>
<td>$4,504,414</td>
</tr>
<tr>
<td>May</td>
<td>$47,763,140</td>
<td>$323,848</td>
<td>$7,422,283</td>
</tr>
<tr>
<td>June</td>
<td>$105,359,400</td>
<td>$2,674,500</td>
<td>$53,552,070</td>
</tr>
<tr>
<td><strong>Year to Date</strong></td>
<td>$601,653,200</td>
<td>$23,701,310</td>
<td>$103,780,500</td>
</tr>
</tbody>
</table>

*Assuming acceptance of all awards by the Board of Regents*
## Summary of Grant Awards

**Fiscal Year 2007-2008**

*Private awards from Foundations, Industry, Associations and Others*

<table>
<thead>
<tr>
<th>Month</th>
<th>RESEARCH AND OTHER</th>
<th>TRAINING</th>
<th>Total Grants</th>
</tr>
</thead>
<tbody>
<tr>
<td>July</td>
<td>$5,463,786</td>
<td>$223,067</td>
<td>$5,686,853</td>
</tr>
<tr>
<td>August</td>
<td>$12,792,000</td>
<td>$604,407</td>
<td>$13,396,400</td>
</tr>
<tr>
<td>September</td>
<td>$16,434,390</td>
<td>$237,863</td>
<td>$16,672,250</td>
</tr>
<tr>
<td>October</td>
<td>$25,846,500</td>
<td>$258,263</td>
<td>$26,104,770</td>
</tr>
<tr>
<td>November</td>
<td>$6,912,596</td>
<td>$240,676</td>
<td>$7,153,272</td>
</tr>
<tr>
<td>December</td>
<td>$9,944,877</td>
<td>$1,380,140</td>
<td>$11,325,020</td>
</tr>
<tr>
<td>January</td>
<td>$8,045,577</td>
<td>$700</td>
<td>$8,046,277</td>
</tr>
<tr>
<td>February</td>
<td>$10,692,720</td>
<td>$493,319</td>
<td>$11,186,040</td>
</tr>
<tr>
<td>March</td>
<td>$8,725,010</td>
<td>$942,268</td>
<td>$9,667,278</td>
</tr>
<tr>
<td>April</td>
<td>$11,726,810</td>
<td>$152,967</td>
<td>$11,879,780</td>
</tr>
<tr>
<td>May</td>
<td>$5,394,955</td>
<td>$168,915</td>
<td>$5,563,870</td>
</tr>
<tr>
<td>June</td>
<td>$25,005,180</td>
<td>$745,744</td>
<td>$25,750,930</td>
</tr>
</tbody>
</table>

| Year to Date | $146,984,400 | $5,448,329 | $152,432,700 |

*Assuming acceptance of all awards by the Board of Regents*
### Summary of Contract Awards  
**Fiscal Year 2007-2008**

<table>
<thead>
<tr>
<th>Month</th>
<th>RESEARCH AND OTHER</th>
<th>TRAINING</th>
<th>Total Contracts</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Federal</td>
<td>Non-Federal</td>
<td>Federal</td>
</tr>
<tr>
<td>July</td>
<td>$ 496,481</td>
<td>$ 8,486,723</td>
<td>$ 0</td>
</tr>
<tr>
<td>August</td>
<td>$ 4,778,947</td>
<td>$ 5,840,805</td>
<td>$ 2,977</td>
</tr>
<tr>
<td>September</td>
<td>$ 8,132,220</td>
<td>$ 7,054,878</td>
<td>$ 1,273</td>
</tr>
<tr>
<td>October</td>
<td>$ 6,481,776</td>
<td>$ 9,653,934</td>
<td>$ 50,000</td>
</tr>
<tr>
<td>November</td>
<td>$ 3,618,770</td>
<td>$ 5,471,781</td>
<td>$ 0</td>
</tr>
<tr>
<td>December</td>
<td>$ 2,251,427</td>
<td>$ 5,516,219</td>
<td>$ 0</td>
</tr>
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<td>January</td>
<td>$ 3,105,263</td>
<td>$ 5,991,835</td>
<td>$ 288,464</td>
</tr>
<tr>
<td>February</td>
<td>$ 3,386,189</td>
<td>$ 6,112,575</td>
<td>$ 0</td>
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<tr>
<td>March</td>
<td>$ 3,877,332</td>
<td>$ 5,565,595</td>
<td>$ 0</td>
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<td>April</td>
<td>$ 5,872,264</td>
<td>$ 6,632,691</td>
<td>$ 24,500</td>
</tr>
<tr>
<td>May</td>
<td>$ 6,220,002</td>
<td>$ 7,177,491</td>
<td>$ 0</td>
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<tr>
<td>June</td>
<td>$ 6,040,864</td>
<td>$ 7,433,196</td>
<td>$ 0</td>
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<td><strong>Year to Date</strong></td>
<td><strong>$ 54,261,540</strong></td>
<td><strong>$ 80,937,720</strong></td>
<td><strong>$ 367,214</strong></td>
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</table>

*Assuming acceptance of all awards by the Board of Regents*
Report of Grant and Contract Awards of $1,000,000 or More

June 2008

Requiring action of

The Board of Regents

of the

University of Washington

Office of Research

Office of Sponsored Programs
US Department of Education (DOEd)

Office of Federal Student Aid (FSA)

To: Sharon K. Lewis, Director
For: Pell Grant
Eff: 7/1/2007
Total for Office of Federal Student Aid (FSA): $ 20,380,000

To: Sharon K. Lewis, Director
For: State Work Study
Eff: 7/1/2007
Total for Office of Federal Student Aid (FSA): $ 1,375,000

To: Sharon K. Lewis, Director
For: Federal Work Study
Eff: 7/1/2007
Total for Office of Federal Student Aid (FSA): $ 2,680,000

To: Sharon K. Lewis, Director
For: Supplemental Educational Opportunity Grant Program
Eff: 7/1/2007
Total for Office of Federal Student Aid (FSA): $ 1,519,377

US Department of Health and Human Services (DHHS)

Centers for Disease Control and Prevention (CDC)

To: Noah S. Seixas, Professor
For: Education and Research Center
Eff: 7/1/2008
Total for Centers for Disease Control and Prevention (CDC): $ 1,212,932

Health Resources and Services Administration (HRSA)

To: King K. Holmes, Professor
For: International AIDS Education and Training Center
Eff: 4/1/2006
Total for Health Resources and Services Administration (HRSA): $ 43,151,011

National Institute for Occupational Safety and Health (NIOSH)

To: Richard A. Fenske, Professor
For: Pacific Northwest Agricultural Safety and Health Center
Eff: 9/30/2006
Total for National Institute for Occupational Safety and Health (NIOSH): $ 1,387,258

National Institutes of Health (NIH)

To: Walter A Kukull, Director
Total for National Institutes of Health (NIH): $ 2,652,535
US Department of Health and Human Services (DHHS)

National Institutes of Health (NIH)

Department of Epidemiology
For: National Alzheimer's Coordinating Center
Eff: 7/1/2008
To: Bruce M. Psaty, Professor
Department of Medicine
Eff: 6/1/2008
To: Murray Raskind, Professor
Department of Psychiatry
Eff: 5/1/2008
To: Buddy D Ratner, Professor
Bioengineering
Eff: 6/1/2008

National Human Genome Research Institute (NHGRI)
To: Bruce Spencer Weir, Professor
Department of Biostatistics
For: Genome Wide Association Coordinating Center (GENEVA)
Eff: 6/1/2008

Total for National Human Genome Research Institute (NHGRI): $1,125,716

National Institute of Child Health and Human Development (NICHD)
To: William J Bremner, Professor
Department of Medicine
For: Male Contraception Research Center Grant
Eff: 3/1/2008

Total for National Institute of Child Health and Human Development (NICHD): $1,667,448

National Institute of Diabetes and Digestive and Kidney Diseases (NIDDK)
To: Jerry P Palmer, Professor
Department of Medicine
For: Diabetes Endocrinology Research Center
Eff: 12/1/2007

Total for National Institute of Diabetes and Digestive and Kidney Diseases (NIDDK): $1,303,066

Total for National Institutes of Health (NIH): $14,388,547

Total for US Department of Health and Human Services (DHHS): $60,139,748
### Federal

**National Science Foundation (NSF)**

<table>
<thead>
<tr>
<th>To:</th>
<th>Russell E Mc Duff, Director/Chair</th>
</tr>
</thead>
<tbody>
<tr>
<td>School:</td>
<td>School of Oceanography</td>
</tr>
<tr>
<td>Eff:</td>
<td>3/1/2005</td>
</tr>
</tbody>
</table>

**To:** John D Bransford, Professor  
Department of Education  
For: The LIFE Center: Learning in Informal and Formal Environments  
Eff: 7/2/2004  

**Total for National Science Foundation (NSF):**  
$ 9,258,890

**Total for Federal:**  
$ 95,353,015

### Other Government (not in Washington)

**University of Alabama, Birmingham**

<table>
<thead>
<tr>
<th>To:</th>
<th>Mari M. Kitahata, Assoc Professor</th>
</tr>
</thead>
<tbody>
<tr>
<td>School:</td>
<td>Department of Medicine</td>
</tr>
<tr>
<td>For:</td>
<td>Unsolicited R24 for the CFAR Network of Integrated</td>
</tr>
<tr>
<td>Eff:</td>
<td>9/1/2007</td>
</tr>
</tbody>
</table>

**Total for University of Alabama, Birmingham:**  
$ 1,022,358

**Total for Other Government (not in Washington):**  
$ 1,022,358

**Total Public Grants:**  
$ 96,375,373
## Associations and Non-Profits

**Joint Oceanographic Institutions, Inc.**
- **To:** John R. Delaney, Professor
- **School of Oceanography**
- **For:** The University of Washington's Application to Become the Implementing Organization for the Regional Cabled Observatory
- **Eff:** 3/30/2007
- **Total for Joint Oceanographic Institutions, Inc.:** $1,808,573

## Foundations

**Bill and Melinda Gates Foundation**
- **To:** Christopher J Murray, Professor
- **Global Health**
- **For:** Health Metrics Institute Proposal
- **Eff:** 5/1/2007
- **Total for Bill and Melinda Gates Foundation:** $8,583,291

**Gordon and Betty Moore Foundation**
- **To:** Ray Hilborn, Professor
- **School of Aquatic and Fishery Science**
- **For:** Biocomplexity and management of Pacific salmon
- **Eff:** 6/1/2008
- **Total for Gordon and Betty Moore Foundation:** $3,600,000

**Total for Foundations:** $15,474,469

**Total Private Grants:** $17,283,042
**Federal**

**US Department of Health and Human Services (DHHS)**

- **National Institutes of Health (NIH)**
  - **National Institute of Allergy and Infectious Diseases (NIAID)**
    - To: Dorothy L Patton, Professor
    - Department of Obstetrics and Gynecology
    - For: Topical Microbicide Safety and Efficacy Evaluation in Nonhuman Primates
    - Eff: 5/31/2007
    - Classified: No
    - Total for National Institute of Allergy and Infectious Diseases (NIAID): $2,648,000
    - Total for National Institutes of Health (NIH): $2,648,000
    - Total for US Department of Health and Human Services (DHHS): $2,648,000
    - Total for Federal: $2,648,000

**Associations and Non-Profits**

- **George Washington University**
  - To: Santica M. Marcovina, Research Professor
  - Department of Medicine
  - For: Diabetes Prevention Program Outcome Study (DPPOS) - CBL
  - Eff: 2/1/2008
  - Classified: No
  - Total for George Washington University: $1,294,976
  - Total for Associations and Non-Profits: $2,295,191

**State of Washington**

- **Washington State Department of Social and Health Services (DSHS)**
  - To: Edwina Uehara, Dean
  - School of Social Work
  - For: Child Welfare Training and Advancement Program 08-09
  - Eff: 7/1/2008
  - Classified: No
  - Total for Washington State Department of Social and Health Services (DSHS): $3,844,442
  - Total for State of Washington: $3,844,442
  - Total Contracts: $8,787,633
  - Grand Total for all Awards: $122,446,048
B. Finance, Audit and Facilities Committee

Hall Health Primary Care Center Clinical Units Remodel – Select Architect

RECOMMENDED ACTION:

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the President be delegated authority to award a design contract to the firm of Miller Hayashi Architects for the Hall Health Primary Care Clinic (HHPCC) Remodel project, subject to successful negotiation of an architectural agreement.

In the event of an unsuccessful negotiation with the selected firm, it is requested that authority be delegated to open negotiations with Taylor Gregory Butterfield Architects and Clark Kjos Architects, the firms recommended as first and second alternates, respectively.

It is intended that this project will be brought back to the Board of Regents in 2009 for further project approvals.

BACKGROUND:

The project consists of partial interior renovation of the Hall Health Center, including the ground floor, first and third floors, and a two-story addition of approximately 2,600 square feet on the south side in the service courtyard. The existing building was constructed in 1936 and expanded in 1975. The HHPCC provides healthcare services for University of Washington students and staff. Once complete, this project will enhance the quality of care, improve student access to care, allow for future growth, increase student access to mental health services, generate additional revenue, and provide adequate space for special programs.

In September 2008, the Capital Projects Office advertised for firms interested in providing architectural services. Nine firms responded to the Request for Qualifications for this project and a Capital Projects Evaluation Committee including representatives from the HHPCC and the Capital Projects Office...
B. Finance, Audit and Facilities Committee

Hall Health Primary Care Center Clinical Units Remodel – Select Architect
(continued p. 2)

interviewed three firms on September 24, 2008: Clark Kjos Architects, Miller Hayashi Architects and Taylor Gregory Butterfield Architects. It is the recommendation of the Evaluation Committee that Miller Hayashi Architects be appointed design architect for this project. The Evaluation Committee is charged with identifying the most qualified firm as well as one or two alternate qualified firms, if any, ensuring negotiations can continue in a timely manner. The alternate firms recommended by the Evaluation Committee are Taylor Gregory Butterfield Architects and Clark Kjos Architects, respectively.

Miller Hayashi Architects is a local architectural firm located in Seattle and has a professional staff of 12. The firm is committed to a vision of architecture as an inclusive social art. Although Miller Hayashi Architects have not done any work on UW campus, the firm has established a successful track record creating well-received public buildings. These projects include not-for-profit health care centers such as the King County Community Health Center, the Puget Sound Neighborhood Health Care Centers, and the International Community Health Services. Miller Hayashi Architects practice a hands-on approach of principal involvement in all phases of a project. The firm is founded on the belief in the potential of architecture to enrich both function and site in an act of imagination.

The Predesign will begin in November 2008, with completion of the design anticipated in December 2009. Construction is to start in January 2010, and will be phased to keep the facility in operation. Full occupancy is scheduled for January 2011.

At the conclusion of the feasibility phase the project is estimated to be approximately $9.7 million, which includes $1 million for lost revenue due to reduced operations during the construction phase. The project cost will be refined during the Predesign phase. Initial funding of $1,031,513 for the Predesign and Schematic Design phases will be funded from the Student Services and Activity Fees.
**VII. STANDING COMMITTEES**

**B. Finance, Audit and Facilities Committee**

**Benjamin D. Hall Interdisciplinary Research Building Applied Physics Laboratories Tenant Improvements – Approve Budget Adjustment**

<table>
<thead>
<tr>
<th>INFORMATION</th>
<th>DESIGN</th>
<th>CONSTRUCTION</th>
</tr>
</thead>
<tbody>
<tr>
<td>2008</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2009</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2010</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2011</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2012</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**RECOMMENDED ACTION:**

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the Benjamin D. Hall Interdisciplinary Research Building Applied Physics Laboratories (APL) Tenant Improvements project budget be increased from $5,675,000 to $8,700,000 and that the President be delegated authority to award a construction change order to the firm of M. A. Mortenson Company subject to no further significant changes in the scope; the forecast cost being within 10% of the revised budget, and funding being in place.

**BACKGROUND:**

This project will construct 33,469 useable square feet of office and laboratory space on the first, second and fifth floors for the Applied Physics Laboratory. Currently, no classified research is anticipated associated with these tenant improvements.

A Design Build Operate Maintain (DBOM) contract was awarded to M. A. Mortenson on June 22, 2004 to design and construct the core and shell of the Benjamin D. Hall Interdisciplinary Research (formerly known as the Research and Technology Building) and to design and construct initial and future tenant improvements, provide utility services, operation, maintenance, and building management for 30 years.

Design and construction will be performed by the same design building team (M.A. Mortenson, Chernoff-Thompson and McKinstry) that performed the core and shell work. M.A. Mortenson has a long history with UW projects including the construction of the Paul G. Allen Center for Computer Science & Engineering and the recent renovation of Architecture Hall.
VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Benjamin D. Hall Interdisciplinary Research Building Applied Physics Laboratories
Tenant Improvements – Approve Budget Adjustment (continued p. 2)

The project budget has increased for several reasons, primarily the desire for APL to identify, build out and fit out several labs including a computer server room on the first floor. The amount of useable square feet remains constant.

Design has progressed to the Design Development stage and is anticipated to complete in December 2008 for the start of construction in early 2009. Project completion and occupancy is scheduled for October 2009.

Project funding of $8,700,000 is from Tenant Improvement Federal funds obtained by APL. Sales tax is included in the budget as this research tenant is not likely to qualify for tax deferral status.

Attachment:
Capital Projects Office Project Budget Summary
# UNIVERSITY OF WASHINGTON
## CAPITAL PROJECTS OFFICE - SUMMARY PROJECT BUDGET
### ALTERNATIVE PROCUREMENT (GC/CM OR D-B)

**PROJECT:** Ben Hall APL Tenant Improvement  
**Project Number:** 202510

**ESTIMATED DATE OF COMPLETION:** October 2009

## BUDGET SUMMARY:

### A. ACQUISITION

### B. CONSULTANT SERVICES

1. **PREDESIGN**  
   - **Current Forecast**  
   - **Approved Cost**  
   - **Estimated Budget at Completion**

2. **BASIC DESIGN SERVICES**

3. **EXTRA SERVICES/REIMBURSABLES**

4. **OTHER SERVICES**

5. **DESIGN SERVICES CONTINGENCY**

**TOTAL CONSULTANT SERVICES**

### C. PRIMARY CONSTRUCTION CONTRACTS

1. **MAX.ALLOW.CONST.COST (MACC)**

2. **GC/CM OR DB COSTS**

3. **SALES TAX ON CONSTRUCTION**

   **GUARANTEED CONTRACT COST**

4. **CONSTRUCTION CONTINGENCY**

5. **SALES TAX ON CONTINGENCY**

**TOTAL CONSTRUCTION COSTS**

### D. EQUIPMENT

**Current Forecast**  
**Approved Cost**  
**Estimated Budget at Completion**

### E. ARTWORK

### F. OTHER COSTS

### G. PROJECT ADMINISTRATION

### H. RELATED PROJS/MITIGATION

**TOTAL PROJECT BUDGET**

### SOURCE OF FUNDS:

- **UW BUILDING ACCOUNT/BRF**
- **STATE GEN'L FUND GO BONDS/NOTES**
- **UW NON-STATE REVENUE**
- **FEDERAL FUNDING OBTAINED BY APL**

**TOTAL SOURCE OF FUNDS**

---

**ATTACHMENT**

F-4.1/2010-08  
10/16/08
VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

UWMC NN 1st Floor Radiation Oncology Renovation – Approve Budget Adjustment

RECOMMENDED ACTION:

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the Board of Regents approve an increase in the total project budget for the University of Washington Medical Center (UWMC) NN 1st Floor Radiation Oncology Renovation project from $4,177,107 to $5,300,000.

BACKGROUND:

This project renovates the UWMC’s three existing linear accelerator vaults, and creates a new vault in NN-119 for an added fourth linear accelerator - for a total of four vaults. It also creates a new remodeled sub-waiting and changing area for radiation oncology patients, an expanded physicians’ workroom space, provides renovated control spaces for each of the accelerator vaults and creates a new patient holding room. In addition, the project will also renovate and prepare room NN-115 and associated control room space for a new Computed Tomography (CT)/Simulator device.

Phased with the construction work will be the refurbishment of two existing linear accelerators, installation of a new linear accelerator, a CT/Simulator, and a new Synergy Hexapod linear accelerator. The UWMC Radiation Oncology Department will purchase and install the equipment separately from this project.

PREVIOUS ACTION:

The following actions were reported under delegated authority.
B. Finance, Audit and Facilities Committee

UWMC NN-1st Floor Radiation Oncology Renovation – Approve Budget Adjustment (continued p. 2)

In September 2007, reported that an agreement for architectural services was awarded to Taylor Gregory Butterfield Architects under their master services architectural agreement.

In February 2008, reported that the project budget was established at $4,177,107 for this project.

In May 2008, reported that a construction contract was awarded to Kirtley-Cole Associates LLC for this project. The low bid received was $2,551,709, compared to a budgeted construction cost of $2,328,724. The project budget forecast was revised to $4,498,936 to reflect the higher than budgeted bids.

CURRENT PROJECT STATUS:

Since the construction contract was awarded, the project has encountered several unanticipated changes. In response to a review comment from the Washington State Department of Health (DoH) “C” was determined to be classified as an operating room. While there was no change in the previously approved patient procedures in Vault C, it was DOH’s interpretation that procedures being performed needed to conform to operating room requirements. Due to the lengthy review process this interpretation was made after the start of construction. Only Vault C was affected, because it is the only vault used to perform the procedures that resulted in the reclassification. This reclassification triggered significant mechanical, electrical, and life safety system modifications to meet DoH guidelines. The forecast cost of these changes is approximately $500,000 and five week schedule delay. A high-density concrete wall for the new vault in NN-119 could not be cast-in-place as designed so a high-density concrete block wall was substituted for an additional cost of approximately $150,000. Unknown site conditions such as excessive concrete structure, mechanical and electrical systems have contributed to the remainder of the budget increase.

Construction activities began April 7, 2008. As a result of the added scope of work the completion is delayed from May 2009 to June 2009. Occupancy will be phased as each linear accelerator installation or refurbishment is completed.

Funding, including the forecast increased cost for the project is from University of Washington Medical Center patient revenues.

Attachment: Capital Projects Office Budget Summary

F-5/210-08,10/16/08
### UNIVERSITY OF WASHINGTON

CAPITAL PROJECTS OFFICE • SUMMARY PROJECT BUDGET

**PROJECT:** UWMC NN 1st Floor Radiation Oncology Renovation  
**Number:** 201841

**Estimated Date of Completion:** June 2009

#### BUDGET SUMMARY:

<table>
<thead>
<tr>
<th>Category</th>
<th>Current Approved</th>
<th>Forecast Cost at Completion</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>A. ACQUISITION</strong></td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td><strong>B. CONSULTANT SERVICES</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1. PREDESIGN</td>
<td>53,000</td>
<td>43,000</td>
</tr>
<tr>
<td>2. BASIC DESIGN SERVICES</td>
<td>301,000</td>
<td>296,000</td>
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<tr>
<td>3. EXTRA SERVICES/REIMBURSABLES</td>
<td>36,000</td>
<td>156,000</td>
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<tr>
<td>4. OTHER SERVICES</td>
<td>125,000</td>
<td>142,000</td>
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<tr>
<td>5. DESIGN SERVICES CONTINGENCY</td>
<td>119,000</td>
<td>135,000</td>
</tr>
<tr>
<td><strong>TOTAL CONSULTANT SERVICES</strong></td>
<td>634,000</td>
<td>772,000</td>
</tr>
<tr>
<td><strong>C. PRIMARY CONSTRUCTION CONTRACTS</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1. MAX ALLOW, CONST. COST (MACC)</td>
<td>2,434,000</td>
<td>2,627,000</td>
</tr>
<tr>
<td>2. GC/CM OR DB COSTS</td>
<td>0</td>
<td>0</td>
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<tr>
<td>3. SALES TAX ON CONSTRUCTION</td>
<td>217,000</td>
<td>236,000</td>
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<tr>
<td><strong>GUARANTEED CONTRACT COST</strong></td>
<td>2,651,000</td>
<td>2,863,000</td>
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<tr>
<td>4. CONSTRUCTION CONTINGENCY</td>
<td>487,000</td>
<td>1,202,000</td>
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<tr>
<td>5. SALES TAX ON CONTINGENCY</td>
<td>34,000</td>
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<td><strong>TOTAL CONSTRUCTION COSTS</strong></td>
<td>3,172,000</td>
<td>4,167,000</td>
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<tr>
<td><strong>D. EQUIPMENT</strong></td>
<td>3,000</td>
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</tr>
<tr>
<td><strong>E. ARTWORK</strong></td>
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<td>0</td>
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<tr>
<td><strong>F. OTHER COSTS</strong></td>
<td>77,000</td>
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<tr>
<td><strong>G. PROJECT ADMINISTRATION</strong></td>
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<td>291,000</td>
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<tr>
<td><strong>H. RELATED PROJS/MITIGATION</strong></td>
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<td>0</td>
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<tr>
<td><strong>TOTAL PROJECT BUDGET</strong></td>
<td>4,177,000</td>
<td>5,300,000</td>
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</table>

#### SOURCE OF FUNDS:

- UW BUILDING ACCOUNT/BRF
- STATE GENL FUND GO BONDS/NOTES
- UW NON-STATE REVENUE
  
<table>
<thead>
<tr>
<th>Source</th>
<th>Current</th>
<th>Forecast</th>
</tr>
</thead>
<tbody>
<tr>
<td>UW NON-STATE REVENUE</td>
<td>4,499,000</td>
<td>5,300,000</td>
</tr>
<tr>
<td><strong>TOTAL SOURCE OF FUNDS</strong></td>
<td>4,499,000</td>
<td>5,300,000</td>
</tr>
</tbody>
</table>

F-5.1/210-08  
10/16/08
VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority

Pursuant to the Standing Orders of the Board of Regents, Delegation of Authority, and to the delegation of authority from the President of the University to the Senior Vice President for Finance and Facilities in Administrative Order No. 1, to take action for projects or contracts that exceed $1,000,000 in value or cost but are less than $5,000,000, the Administration may approve and execute all instruments.

1. Report of Actions Taken Under General Delegated Authority

CAPITAL PROJECTS

Project Name: Bank of America Arena ICA Golf Training Center
Project No.: 202024
Action Reported: Select Architect and Adopt Budget

On December 14, 2007, an Agreement for Architectural Services contract was awarded to ARC Architects of Seattle, Washington, in the amount of $115,979 for the Bank of America Arena ICA Golf Training Center, Project No. 202024.

The Bank of America Arena ICA Golf Training Center was established to create a home and training center for the Husky Men’s and Women’s Golf Teams. The vision is a state-of-art indoor training facility that will celebrate and expose Husky Golf (past, present and future) to the greater UW Campus. Located on the south concourse of the Arena, the facility is 5,000 square feet and includes putting and chipping area, state-of-the-art swing and putting analysis, team lockers and lounge, coach conferencing area, club repair area and areas for team display. The training center will be transparent to the main concourse of the Arena.

The project schedule is:
Design 12/07 to 9/08
Bid & Award 9/08 to 11/08
Construction 11/08 to 4/09
Closeout 4/09 to 12/09

All funding for this project is being provided by donors to UW Golf.
B. Finance, Audit and Facilities Committee

Actions Taken Under General Delegated Authority (continued p. 2)

<table>
<thead>
<tr>
<th>Estimated Budget Summary</th>
<th>Current Approved Budget</th>
<th>Forecast Cost at Completion</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total Consultant Services</td>
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<td>$ 320,248</td>
</tr>
<tr>
<td>Total Construction Cost *</td>
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<td>$ 1,453,917</td>
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<tr>
<td>Other Costs</td>
<td>$ 73,302</td>
<td>$ 73,302</td>
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<td>Project Administration</td>
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<tr>
<td>Total Project Budget</td>
<td>$ 2,000,000</td>
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</tr>
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</table>

* Includes construction contract amount, contingencies and state sales tax

Project Name: Harborview Medical Center 1WH Single Planes Installation  
Project No.: 202245  
Action Reported: Select Architect and Adopt Budget

On May 21, 2008, an agreement for Architectural Services was awarded to Clark/Kjos Architects for the Harborview Single Planes Installation, Project No. 202245. The value of the design contract is $78,369 and the proposed budget for all consultant services for the project is $159,647. The balance of the design budget is intended for further design refinement, site investigations and construction phase services. The project budget was established at $1,000,000.

Clark/Kjos Architects has a successful track record for healthcare renovation projects throughout the Western Washington region including Swedish Medical Center, Good Samaritan Hospital in Puyallup, Seattle Children’s Hospital and many others. Its mission is to improve quality of life through design.

This radiology project will mark the completion of Harborview Medical Center’s radiology program upgrades. It is the third and final project in the angiography upgrade program. This project will renovate rooms 1WH-324 and 1WH-325 so that they are ready for the installation of two separate single plane (x-ray) machines - one in each room. The work includes updating finishes, new casework, and changes to the mechanical and electrical infrastructure to support the new equipment. The new Philips single plane machines will be Owner-supplied and installed.

Construction is expected to begin in December 2008. Construction will be phased with anticipation of the first patient being seen late in the first quarter of 2009. The second room is anticipated to be complete in late May 2009.
B. Finance, Audit and Facilities Committee

**Actions Taken Under General Delegated Authority** (continued p. 3)

The project budget was established at $1,000,000. Funding for this project is being provided by King County through Harborview Medical Center’s Capital Improvement Program.

<table>
<thead>
<tr>
<th>Budget Summary</th>
<th>Current Approved Budget</th>
<th>Forecast Cost at Completion</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total Consultant Services</td>
<td>$159,647</td>
<td>$159,647</td>
</tr>
<tr>
<td>Total Construction Cost*</td>
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<td>$745,856</td>
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<tr>
<td>Other Costs</td>
<td>$9,046</td>
<td>$9,046</td>
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<tr>
<td>Project Administration</td>
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<td>$85,451</td>
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<tr>
<td>Total Project Budget</td>
<td>$1,000,000</td>
<td>$1,000,000</td>
</tr>
</tbody>
</table>

*Includes construction contract amount, contingencies and state sales tax

**ACQUISITION OF GOODS AND SERVICES**

**Project Name:** Department of Psychology  
**Action Reported:** Research MRI System

The Department of Psychology has purchased a Philips Medical Systems Achieva Quasar 3.0T MRI system. The system will be used for research in functional brain imaging changes across several research areas, including dyslexia and autism, and to explore possible links between genetics and the structural/metabolic changes as defined with MR studies.

A sole source purchase order was issued to Philips Medical Systems based on the unique capabilities of the Philips scanner that are especially suited to neuro-imaging. The total contract amount is not to exceed $2,100,000 and is sales tax exempt. The University participates in a national pricing organization that evaluates proposed purchases and compares new quotations to recent purchases by other organizations. The pricing for this purchase is competitive and is considered to be fair and reasonable.

Funding for this purchase is available from a National Science Foundation grant.

F-6/210-08
10/16/08
VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Global Economic Update

No action is required – this update is for comment and general information.

Biography
Andrew Hunt

Andrew Hunt is Founder and Consultant Economist at Andrew Hunt Economics. The consultancy was formed in 2001 when he left Dresdner Asset Management, where he had been Chief International Economist. He first joined Dresdner in 1987 after a brief internship at Lehman Brothers. He worked for four years in the Dresdner London office, covering the G7 Economies, before moving to Hong Kong in 1991 in order to spend more time working in the Pacific Area.

Whilst in Hong Kong, he formed strong links with many regional central banks, and the local hedge fund community. Consequently, he was heavily involved in the events surrounding the Asian Crisis. In 1997, he returned to London and took up his international role in the renamed Dresdner RCM Global Investors. During his time at DRCM, he was a frequent visitor to Australia in both research and marketing capacities.

Since 2001, he has operated his own economics consultancy. His current client list includes around 40 large fund managers, hedge funds and family offices, although he also consults to some multinational companies and central banks. In order to fulfill his research role, he travels extensively in the USA, Europe and the Asia Pacific region.
VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Student Administration System Development – Kuali Project

RECOMMENDED ACTION:

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the President or the President’s authorized representative be delegated authority to enter into appropriate contracts and related expenditures in an amount not to exceed $6,250,000 to become a Kuali Foundation Partner and to participate in development of student administration systems software.

This commitment includes $5,000,000 to become a Kuali Foundation Partner ($1,000,000 per year over five years). In addition, the University of Washington (UW) has budgeted $1,250,000 in operating expenses to support the project, ($250,000 per year over five years). This includes funding for staff training, travel, equipment, and contingency funds.

BACKGROUND:

The UW has been invited to be a founding partner in the Kuali Student Services System, a consortium of leading higher education institutions that is developing a next-generation student system.

The UW’s current student administration system is an inflexible, 26-year-old, homegrown, legacy mainframe application that does not collect the information critical to effective operations and decision-making. Many other leading higher education institutions have a similar problem and several have joined together to develop a modern student administrative system called Kuali Student. The consortium consists of six other founding partners:

- The University of British Columbia
- The University of California (Berkeley)
- The University of Maryland (College Park)
- Florida State University
- San Joaquin Delta College
- University of Southern California

A next-generation student administrative system has the potential to radically improve the educational quality and experience for students. With better tracking of educational outcomes against expected performance, the UW will be able to raise the bar on developing new types of educational programs and new methods of instruction. The UW needs a student administrative system that includes the
flexibility to define and measure educational programs and outcomes in a variety of ways. The current legacy system does not provide that flexibility.

Joining the Kuali Student partnership now will allow the UW to have a voice in deciding critical design issues, so that the final product has a high probability of meeting the UW’s needs. The UW’s early involvement will also enable the University community to leverage the resources of partner institutions in redesigning student administrative processes to maximize efficiencies and flexibility.

**The Kuali Student Project**

The Kuali Student project will deliver a flexible, scalable, cost-effective system that can be configured to meet the business requirements of many institutions. It will be modular, open-source, and standards-based with a full service-oriented architecture. Kuali Student plans to deliver functional systems in two phases:

### Phase One includes:
- Curriculum development
- Customer contact
- Enrollment, grades and official academic record
- Degree audit and academic evaluation
- Student financials (tuition, billing, payment)

### Phase Two includes:
- Admissions
- Scheduling (curriculum resources, faculty, room availability)
- Awards and financial aid

Student-related functions that are outside the scope of the Kuali project include:
- Recruitment
- Housing applications
- Alumni

**Becoming a Founding Partner**

As a founding partner, the UW is required to commit $1,000,000 per year for five years, which includes a combination of staff resources dedicated to Kuali work and cash paid to the Foundation. In addition, the UW has budgeted $250,000 per
B. Finance, Audit and Facilities Committee

Student Administration System Development – Kuali Project (continued p. 3)

year for five years for support and operating costs including hardware, travel by participating staff to Kuali meetings, staff training, and contingency funds.

The University will continually assess its participation in Kuali to assure that UW needs are being addressed in functional design. The University’s commitment is to the Kuali student development effort. Any recommendation to implement Kuali systems will be treated as a separate investment decision and assessed for its cost-benefits, level of risk, and likelihood of success.

Funding for the UW’s participation is available from University operating funds. The Washington State Department of Information Services and the Information Services Board, which has oversight for information technology investments by state agencies and institutions, will consider this proposed development plan once it is approved by the Regents. This request has been approved by the Chief Technology Officer, the Vice Provost for Information Management, the Vice Provost for Student Life, the Senior Vice President for Finance and Facilities, and the Provost and Executive Vice President.

More information about Kuali Student is available at:  [http://student.kuali.org/](http://student.kuali.org/)

*Other Successful Consortium Efforts*

Higher education institutions have a demonstrated track record of successfully collaborating on large-scale, multifaceted projects to solve complex technology problems. A few of the numerous examples of successful collaborations are listed below. These higher education consortiums offer many advantages, which is why they have attracted the participation of leading institutions nationally, but there are also risks.

**Advantages:**

- Consortiums offer a forum where several institutions can join together; share resources, knowledge and expertise; and produce a better, more cost effective product than any one of them could have alone.
- Consortiums offer an opportunity for institutions to design solutions that fit their unique needs while also avoiding becoming locked into a particular vendor package and its required upgrades, or being subject to the churn of the vendor marketplace, where mergers and acquisitions are common.
- Once core systems and modules are released, other institutions adopt them and develop additional modules, which in turn spurs further adoption and
VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Student Administration System Development – Kuali Project (continued p. 4)

development, and innovation flourishes, which benefits the entire consortium.

Risks:
- The biggest risk of a consortium is that it will not be able to sustain its initial momentum, and the project will flounder. This risk can be mitigated in several ways, including through developing a strong governance and project management framework and by involving commercial vendors who can help provide the ongoing structure and support required to maintain and evolve the product. Even if the consortium does fail, consortium members still walk away with increased knowledge and expertise. The alternative is to invest in a vendor package, which carries its own array of associated risks, or for an individual institution to develop the product on its own, which would be cost prohibitive.

The Kuali Foundation has learned the lessons of other consortium efforts and has developed a strong governance and project management framework and has attracted commercial firms as affiliates.

The following provides some examples of successful higher education consortiums.

Kuali Foundation

The Kuali Foundation is a non-profit organization responsible for developing and sustaining a comprehensive suite of administrative software that meets the needs of all higher education institutions. The Kuali Foundation manages a portfolio of enterprise software applications for colleges and universities including Kuali Financial System, Kuali Research Administration, and Kuali Student. Consortium members are colleges, universities, commercial firms and interested organizations that share a common vision of open, modular, and distributed systems for their software requirements.

Kuali began as a collaborative effort among a few universities to develop an enterprise-level financial system. Based upon its initial success, the project was able to secure grant funding from the Andrew W. Mellon Foundation. This support has allowed the founding partners to expand the development community to more schools. Foundation members currently include Cornell University, Indiana University, Massachusetts Institute of Technology, Pennsylvania State University, University of California (Berkeley), University of California (San
VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Student Administration System Development – Kuali Project (continued p. 5)

Diego), among others. As the partnership has grown, it has attracted commercial affiliates including IBM, Sun Microsystems, and Syntel.

More information on the Kuali Foundation: www.kauli.org

**Kuali Financial System**

As noted above, the Kuali Financial System project was the first undertaken by the consortium. The Kuali Financial Systems project is working to create a comprehensive suite of financial software that meets the needs of all Carnegie Class institutions. The project started in 2005 and is focused on developing an enterprise-level financial system based on Indiana University’s Financial Information System.

The Kuali Financial System project includes a collaborative approach to design, an open development platform, and a Web-based operating environment. Kuali has had three releases to date, with the final release of the functionality scheduled to be completed and available December 2008.

The following higher education institutions plan to implement the Kuali Financial System:

<table>
<thead>
<tr>
<th>Institution</th>
<th>Expected Start Date</th>
<th>Estimated Completion Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cornell University</td>
<td>January 2007</td>
<td>2012</td>
</tr>
<tr>
<td>Colorado State University</td>
<td>Fall 2007</td>
<td>July 2009</td>
</tr>
<tr>
<td>Indiana University</td>
<td>Spring 2008</td>
<td>2011</td>
</tr>
<tr>
<td>University of Arizona</td>
<td>Spring 2008</td>
<td>July 2009</td>
</tr>
<tr>
<td>Michigan State University</td>
<td>Fall 2008</td>
<td>October 2009</td>
</tr>
<tr>
<td>University of California (Davis)</td>
<td>2008</td>
<td>2012</td>
</tr>
<tr>
<td>University of Hawaii</td>
<td>2008</td>
<td>2010-2011</td>
</tr>
<tr>
<td>San Joaquin Delta Community College</td>
<td>Fall 2009</td>
<td>July 2010</td>
</tr>
<tr>
<td>University of California (Irvine)</td>
<td>2009</td>
<td>2011</td>
</tr>
<tr>
<td>University of Southern California</td>
<td>2010</td>
<td>Undetermined</td>
</tr>
</tbody>
</table>
B. Finance, Audit and Facilities Committee

For more information about the Kuali Financial project see: 
http://www.kuali.org/communities/kfs/

Sakai

Another example of a successful software consortium effort in higher education is the Sakai project. Sakai is an open source Courseware Management System that features a set of software tools designed to help instructors, researchers, and students collaborate online in support of their work. The project was started in 2004 when Stanford, Michigan, Indiana, MIT, and Berkeley decided to collaborate on developing a common Courseware Management System rather than continuing their homegrown systems or licensing software from a commercial vendor. Sakai now has over 100 members including the UW.

More information about Sakai: http://www.sakaiproject.org/portal

UPortal

UPortal is a free, open-standards, and sharable portal that was developed by a consortium of higher education institutions. The portal was developed to be an abridged and customized version of an institution’s Web presence, or a "pocket-sized" version of the campus Web.

UPortal is a collaborative development project that began in 2001 when a group of 20 institutions, including Princeton, Yale, Cornell, the University of British Columbia, Georgetown University, and Boston College recognized the need to leverage the potential of the portal for their institutional Web presence, to project the institutional image, and to promote the use of Java technology. The project was sponsored by Sun Microsystems and supported with a grant from the Andrew W. Mellon Foundation. The project has enjoyed considerable success with more than 600 production deployments, and it remains the most widely used open source portal in higher education.

More information about UPortal: http://www.uportal.org/
VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

SEIU Local 925 Supervisory Collective Bargaining Agreement – UW Ratification

RECOMMENDED ACTION:

It is the recommendation of the Administration that the Board of Regents approve the Collective Bargaining Agreement between the University of Washington and SEIU Local 925 that covers approximately 435 Supervisory employees on all UW Campuses, UW Medical Center and Harborview Medical Center. In addition, certain provisions of the Agreement will cover regular temporary employees who enter the bargaining unit upon completion of 350 hours of bargaining unit work within a consecutive 12 month period. The duration of the Agreement is from July 1, 2009 through June 30, 2011.

BACKGROUND:

This is a successor Agreement for this bargaining unit negotiated under the Personnel System Reform Act of 2002 and pursuant to RCW 41.80.

Following is a summary of the critical elements of the Agreement:

Efficiencies:

- Recognition of University's right to move to a rolling twelve (12) month period for FMLA.
- Employees will be required to use accrued leave for FMLA prior to going on leave without pay. Employees can maintain a balance of 80 hours of accrued leave.
- Clarified that arbitrator fees and costs will be shared equally by the parties.
- Deleted language requiring employees to return jury duty compensation.

Wages:

A one-time payment of $100.00 for full-time permanent FTE's and a one-time payment of $50.00 for less than full-time permanent FTE's to be paid within sixty (60) days of contract ratification by SEIU Local 925 bargaining unit members.
VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

SEIU Local 925 Supervisory Collective Bargaining Agreement – UW Ratification
(continued p. 2)

July 1, 2009
- 2.25 percent across-the-board wage increase
- Market adjustments for classifications below 80 percent of market

July 1, 2010
  2.5 percent across-the-board wage increase
SEIU Local 925 Research Technologist Collective Bargaining Agreement – UW Ratification

RECOMMENDED ACTION:

It is the recommendation of the Administration that the Board of Regents approve the Collective Bargaining Agreement between the University of Washington and SEIU Local 925 that covers approximately 216 Research Technologists on all UW Campuses, UW Medical Center and Harborview Medical Center. In addition, certain provisions of the Agreement will cover regular temporary employees who enter the bargaining unit upon completion of 350 hours of bargaining unit work within a consecutive 12 month period. The duration of this Agreement is from July 1, 2009 through June 30, 2011.

BACKGROUND:

This is a successor Agreement for this bargaining unit negotiated under the Personnel System Reform Act of 2002 and pursuant to RCW 41.80.

Following is a summary of the critical elements of the Agreement:

Efficiencies:

- Recognition of University's right to move to a rolling twelve (12) month period for FMLA.

- Employees will be required to use accrued leave for FMLA prior to going on leave without pay. Employees can maintain a balance of 80 hours of accrued leave.

- Clarified that arbitrator fees and costs will be shared equally by the parties.

- Deleted language requiring employees to return jury duty compensation.

Wages:

A one-time payment of $100.00 for full-time permanent FTE's and a one-time payment of $50.00 for less than full-time permanent FTE's to be paid
VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

SEIU Local 925 Research Technologist Collective Bargaining Agreement – UW Ratification (continued p. 2)

within sixty (60) days of contract ratification by SEIU Local 925 bargaining unit members.

July 1, 2009
- 2.25 percent across-the-board wage increase
- Market adjustments for classifications below 80 percent of market

July 1, 2010
- 2.5 percent across-the-board wage increase
VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

SEIU Local 925 Research Technologist Supervisor Collective Bargaining Agreement – UW Ratification

RECOMMENDED ACTION:

It is the recommendation of the Administration that the Board of Regents approve the Collective Bargaining Agreement between the University of Washington and SEIU Local 925 that covers approximately 11 Research Technologist Supervisors on all UW Campuses, UW Medical Center and Harborview Medical Center. In addition, certain provisions of the Agreement will cover regular temporary employees who enter the bargaining unit upon completion of 350 hours of bargaining unit work within a consecutive 12 month period. The duration of the Agreement is from July 1, 2009 through June 30, 2011.

BACKGROUND:

This is a successor Agreement for this bargaining unit negotiated under the Personnel System Reform Act of 2002 and pursuant to RCW 41.80..

Following is a summary of the critical elements of the Agreement:

Efficiencies:

- Recognition of University's right to move to a rolling twelve (12) month period for FMLA.

- Employees will be required to use accrued leave for FMLA prior to going on leave without pay. Employees can maintain a balance of 80 hours of accrued leave.

- Clarified that arbitrator fees and costs will be shared equally by the parties.

- Deleted language requiring employees to return jury duty compensation.

Wages:

A one-time payment of $100.00 for full-time permanent FTE's and a one-time payment of $50.00 for less than full-time permanent FTE's to be paid
B. Finance, Audit and Facilities Committee

SEIU Local 925 Research Technologist Supervisor Collective Bargaining Agreement – UW Ratification (continued p. 2)

within sixty (60) days of contract ratification by SEIU Local 925 bargaining unit members.

July 1, 2009

• 2.25 percent across-the-board wage increase
• Market adjustments for classifications below 80 percent of market

July 1, 2010

• 2.5 percent across-the-board wage increase
VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

SEIU Local 925 Nonsupervisory Collective Bargaining Agreement – UW Ratification

RECOMMENDED ACTION:

It is the recommendation of the Administration that the Board of Regents approve the Collective Bargaining Agreement between the University of Washington and SEIU Local 925 that covers approximately 4,500 Nonsupervisory employees on all UW Campuses, UW Medical Center and Harborview Medical Center. In addition, certain provisions of the Agreement will cover regular temporary employees who enter the bargaining unit upon completion of 350 hours of bargaining unit work within a consecutive 12 month period. The duration of the Agreement is from July 1, 2009 through June 30, 2011.

BACKGROUND:

This is a successor Agreement for this bargaining unit negotiated under the Personnel System Reform Act of 2002 and pursuant to RCW 41.80.

Following is a summary of the critical elements of the Agreement:

Efficiencies:

• Recognition of University's right to move to a rolling twelve (12) month period for FMLA.

• Employees will be required to use accrued leave for FMLA prior to going on leave without pay. Employees can maintain a balance of 80 hours of accrued leave.

• Clarified that arbitrator fees and costs will be shared equally by the parties.

• Deleted language requiring employees to return jury duty compensation.

Wages:

A one-time payment of $100.00 for full-time permanent FTE’s and a one-time payment of $50.00 for less than full-time permanent FTE’s to be paid
VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

SEIU Local 925 Nonsupervisory Collective Bargaining Agreement – UW Ratification (continued p. 2)

within sixty (60) days of contract ratification by SEIU Local 925 bargaining unit members.

July 1, 2009

- 2.25 percent across-the-board wage increase
- Market adjustments for classifications below 80 percent of market

July 1, 2010

- 2.5 percent across-the-board wage increase
VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Washington Federation of State Employees Collective Bargaining Agreement – UW Ratification

RECOMMENDED ACTION:

It is the recommendation of the Administration that the Board of Regents approve a Master Collective Bargaining Agreement between the University of Washington and the Washington Federation of State Employees (WFSE) that covers approximately 2,793 employees in six bargaining units at the University of Washington, UW Medical Center and Harborview Medical Center. The duration of the Agreement is from July 1, 2009 through June 30, 2011.

BACKGROUND:

This is a successor Agreement negotiated for these bargaining units under the Personnel System Reform Act of 2002 and pursuant to RCW 41.80.

Following is a summary of the critical elements of the Master Agreement:

Efficiencies:

- Recognition of University's right to move to a rolling twelve (12) month period for FMLA.
- Employees will be required to use accrued leave for FMLA prior to going on leave without pay. Employees can maintain a balance of 120 hours of accrued leave.
- Clarified hours worked on a holiday will not count as time worked for the purpose of calculating overtime.
- Clarified that arbitrator fees and costs will be shared equally by the parties.
- Deleted language requiring employees to return jury duty compensation.

Wages:

Recruitment and Retention adjustments from two to four ranges will be made for certain skilled trades classifications (Plumber, Plumber Lead,
VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Washington Federation of State Employees Collective Bargaining Agreement – UW Ratification (continued p. 2)


July 1, 2009

- 2.25 percent across-the-board wage increase
- Market adjustments for classifications below 80 percent of market (approximately 290 employees)
- Increase in evening and night shift differential to one dollar ($1.00) for all eligible employees and to one dollar and twenty-five cents ($1.25) for custodians working nights

July 1, 2010

- 2.25 percent across-the-board wage increase
VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

UW Police Officers Association Collective Bargaining Agreement – UW Ratification

RECOMMENDED ACTION:

It is the recommendation of the Administration that the Board of Regents approve the Collective Bargaining Agreement between the University of Washington and the UW Police Officers Association that covers approximately 40 employees at the University of Washington. The duration of the Agreement is from July 1, 2009, through June 30, 2011.

BACKGROUND:

This is a successor Agreement for this bargaining unit negotiated under the Personnel System Reform Act of 2002 and pursuant to RCW 41.80.

Following is a summary of the critical elements of the Agreement:

Efficiencies:

- Added definition of Family consistent with other contracts.
- Recognition of University's right to move to a rolling twelve (12) month period for FMLA.
- Employees will be required to use accrued leave for FMLA prior to going on leave without pay. Employees can maintain a balance of 80 hours of accrued leave.

Wages:

July 1, 2009
- 2.25 percent across-the-board wage increase
- Modified Longevity pay and added retention tier at three (3) years of service

July 1, 2010
- New Top Step "M" (rather than an across-the-board wage increase)
VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

SEIU Local 1199NW Collective Bargaining Agreement – UW Ratification

RECOMMENDED ACTION:

It is the recommendation of the Administration that the Board of Regents approve the Master Collective Bargaining Agreement between the University of Washington and SEIU Local 1199NW that covers approximately 1,555 employees (Registered Nurse, Professional/Technical, Social Worker, Health Care Specialist, Respiratory Therapist/Anesthesiology Technician Bargaining Units) at Harborview Medical Center. The duration of the Agreement is from July 1, 2009 through June 30, 2011.

BACKGROUND:

This is a successor Master Agreement for these bargaining units negotiated under the Personnel System Reform Act of 2002 and pursuant to RCW 41.80.

Following is a summary of the critical elements of the Agreement:

Efficiencies:

- Addition of Airlift Northwest bargaining unit to Master Agreement with specific provision applying to only ALNW RN's.

- Recognition of University's right to move to a rolling twelve (12) month period for FMLA.

- Employees will be required to use accrued leave for FMLA prior to going on leave without pay. Employees can maintain a balance of 160 hours of accrued leave.

- Deleted language requiring employees to return jury duty compensation.

Wages:

Registered Nurses (including Airlift Northwest RN’s)

July 1, 2009

- 2.5 percent across-the-board wage increase
B. Finance, Audit and Facilities Committee

SEIU Local 1199NW Collective Bargaining Agreement – UW Ratification
(continued p. 2)

July 1, 2010
- 2.5 percent across-the-board wage increase
- New 28 year step at 2 percent more than previous step
- Increase in Charge Nurse premium by $.25 to $2.25
- Increase in Night Shift premium by $.25 to $4.00

January 1, 2011
- 2.5 percent across-the-board wage increase

Social Workers and Health Care Specialists

July 1, 2009
- 2.0 percent across-the-board wage increase

July 1, 2010
- 2.0 percent across-the-board wage increase
- Increase in Night Shift premium by $.25 to $4.00 for Health Care Specialists only

January 1, 2011
- 2.0 percent across-the-board wage increases
- New step on pay range that is 3.0 percent more than previous step

Respiratory Care Practitioners

January 1, 2009
- 5.0 percent Market adjustments

July 1, 2009
- 2.0 percent across-the-board wage increase
- Increase in Certification Premium by $.25 to $.75

July 1, 2010
- 2.0 percent across-the-board wage increase
- Increase in Certification Premium by $.25 to $1.00
- New step on pay range that is 3.0 percent more than previous step

January 1, 2011
- 2.0 percent across-the-board wage increase
Anesthesia Technicians

January 1, 2009
- 1.0 percent market adjustment

July 1, 2009
- 3.0 percent across-the-board wage increase
- Increase in Certification Premium by $.25 to $.75

July 1, 2010
- 3.0 percent across-the-board wage increase
- Increase in Certification Premium by $.25 to $1.00
- New step on pay range that is 3.0 more than previous step

January 1, 2011
- 3.0 percent across-the-board wage increase

Imaging Classifications

January 1, 2009
- 1.0 percent market adjustment for all classifications

July 1, 2009
- 2.5 percent across-the-board wage increase (Sonographers and Radiology Techs)
- 3.5 percent across-the-board wage increase (CT, Angio, MRI, Nuclear Medicine, Leads)
- Increase in Certification Premium by $.25 to $.75 for all classes

July 1, 2010
- 2.5 percent across-the-board wage increase (Sonographers and Radiology Techs)
- 3.5 percent across-the-board wage increase (CT, Angio, MRI, Nuclear Medicine, Leads)
- Increase in Certification Premium by $.25 to $1.00 for all classes

January 1, 2011
- 2.0 percent across-the-board wage increase for all classes
VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

SEIU Local 1199NW Collective Bargaining Agreement – UW Ratification
(continued p. 4)

June 1, 2011
- New step that is 3.0 percent more than previous step for all classes
VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

SEIU Local 925 Healthcare Professional/Laboratory Technical Collective Bargaining Agreement – UW Ratification

RECOMMENDED ACTION:

It is the recommendation of the Administration that the Board of Regents approve the Collective Bargaining Agreement between the University of Washington and SEIU Local 925 that covers approximately 845 Healthcare Professional/Laboratory Technical employees at UW Medical Center. In addition, certain provisions of the Agreement will cover regular temporary employees who enter the bargaining unit upon completion of 350 hours of bargaining unit work within a consecutive 12 month period. The duration of this Agreement is from July 1, 2009 through June 30, 2011.

BACKGROUND:

This is a successor Agreement for this bargaining unit negotiated under the Personnel System Reform Act of 2002 and pursuant to RCW 41.80.

Following is a summary of the critical elements of the Agreement:

Efficiencies:

- Recognition of University's right to move to a rolling twelve (12) month period for FMLA.
- Employees will be required to use accrued leave for FMLA prior to going on leave without pay. Employees can maintain a balance of 80 hours of accrued leave.
- Clarified that arbitrator fees and costs will be shared equally by the parties.
- Deleted language requiring employees to return jury duty compensation.

Wages:

A one-time payment of $100.00 for full-time permanent FTE's and a one-time payment of $50.00 for less than full-time permanent FTE's to be paid
VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

SEIU Local 925 Healthcare Professional/Laboratory Technical Collective
Bargaining Agreement – UW Ratification (continued p. 2)

within sixty (60) days of contract ratification by SEIU Local 925 bargaining unit members.

July 1, 2009
• 2.25 percent across-the-board wage increase
• Market adjustments for certain classifications below 80 percent of market (approximately 324 employees)

July 1, 2010
• 2.25 percent across-the-board wage increase

Wages: (SEIU Local 925/SEIU Local 1199NW Identical Titles Only):

Respiratory Care Practitioners

January 1, 2009
• 5.0 percent Market adjustments

July 1, 2009
• 2.0 percent across-the-board wage increase
• Increase in Certification Premium by $.25 to $.75

July 1, 2010
• 2.0 percent across-the-board wage increase
• Increase in Certification Premium by $.25 to $1.00
• New step on pay range that is 3.0 percent more than previous step

January 1, 2011
• 2.0 percent across-the-board wage increase

Anesthesia Technicians

January 1, 2009
• 1.0 percent market adjustment

July 1, 2009
• 3.0 percent across-the-board wage increase
• Increase in Certification Premium by $.25 to $.75
B. Finance, Audit and Facilities Committee

SEIU Local 925 Healthcare Professional/Laboratory Technical Collective
Bargaining Agreement – UW Ratification (continued p. 3)

July 1, 2010
- 3.0 percent across-the-board wage increase
- Increase in Certification Premium by $.25 to $1.00
- New step on pay range that is 3.0 more than previous step

January 1, 2011
- 3.0 percent across-the-board wage increase

**Imaging Classifications**

January 1, 2009
- 1.0 percent market adjustment for all classifications

July 1, 2009
- 2.5 percent across-the-board wage increase (Sonographers and Radiology Techs)
- 3.5 percent across-the-board wage increase (CT, Angio, MRI, Nuclear Medicine, Leads)
- Increase in Certification Premium by $.25 to $.75 for all classes

July 1, 2010
- 2.5 percent across-the-board wage increase (Sonographers and Radiology Techs)
- 3.5 percent across-the-board wage increase (CT, Angio, MRI, Nuclear Medicine, Leads)
- Increase in Certification Premium by $.25 to $1.00 for all classes

January 1, 2011
- 2.0 percent across-the-board wage increase for all classes

June 1, 2011
- New step that is 3.0 percent more than previous step for all classes
VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

**Inlandboatmen’s Union of the Pacific Collective Bargaining Agreement – UW Ratification**

**RECOMMENDED ACTION:**

It is the recommendation of the Administration that the Board of Regents approves Collective Bargaining Agreement between the University of Washington and the Inlandboatmen’s Union of the Pacific (IBU) that covers approximately 18 employees on the Thomas G. Thompson Research Vessel. The duration of the Agreement is from July 1, 2009 through June 30, 2011.

**BACKGROUND:**

This is a successor Agreement for this bargaining unit negotiated under the Personnel System Reform Act of 2002 and pursuant to RCW 41.80.

Following is a summary of the critical elements of the Agreement:

**Efficiencies:**

- Recognition of University's right to move to a rolling twelve (12) month period for FMLA.
- Contemporary references to State Law in the contract.

**Wages:**

September 1, 2009
- 2.25 percent across-the-board wage increase

September 1, 2010
- 2.25 percent across-the-board wage increase
WFSE Police Management Association Collective Bargaining Agreement – UW Ratification

RECOMMENDED ACTION:

It is the recommendation of the Administration that the Board of Regents approve the Collective Bargaining Agreement between the University of Washington and Washington Federation of State Employees/Police Management Association that covers approximately 11 employees at the University of Washington. The duration of the Agreement is from July 1, 2009 through June 30, 2011.

BACKGROUND:

This is a successor Agreement for this bargaining unit negotiated under the Personnel System Reform Act of 2002 and pursuant to RCW 41.80.

Following is a summary of the critical elements of the Agreement:

Efficiencies:

- Recognition of University's right to move to a rolling twelve (12) month period for FMLA.

- Employees will be required to use accrued leave for FMLA prior to going on leave without pay. Employees can maintain a balance of 120 hours of accrued leave.

- Sergeant-to-Officer differential more competitive relative to local departments to retain experienced police management staff.

- Plain clothes clothing allowance changed from a one time per assignment allowance of $500 to an annual $200.00 allowance.

- Overtime shall be earned at a minimum of three (3) hours per assignment for planned recharge overtime.

- Lieutenants will receive one and one-half (1-1/2) times the employee’s regular rate for recharge special events, as well as pre-approved special projects and assignments.
VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

WFSE Police Management Association Collective Bargaining Agreement – UW Ratification (continued p. 2)

Wages:

July 1, 2009
- 2.25 percent across-the-board wage increase
- Added new longevity tier of 6% at 30 years of service

January 1, 2010
- Market alignment adjustment - 0.5 percent increase in differential between Sergeants and Officers

July 1, 2010
- New Top Step "M" (rather than an across-the-board wage increase)
- Market alignment adjustment - 0.5 percent increase in differential between Sergeants and Officers

January 1, 2011
- Market alignment adjustment - 0.5 percent increase in differential between Sergeants and Officers

F–18/210-08
10/16/08
VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Washington State Nurses Association Collective Bargaining Agreement – UW Ratification

RECOMMENDED ACTION:

It is the recommendation of the Administration that the Board of Regents approve the Collective Bargaining Agreement between the University of Washington and the Washington State Nurses Association that covers approximately 1,195 Registered Nurses at UW Medical Center. The duration of the Agreement is from July 1, 2009 through June 30, 2011.

BACKGROUND:

This is a successor Agreement for this bargaining unit negotiated under the Personnel System Reform Act of 2002 and pursuant to RCW 41.80.

Following is a summary of the critical elements of the Agreement:

Efficiencies:

- Recognition of University's right to move to a rolling twelve (12) month period for FMLA.
- Employees will be required to use accrued leave for FMLA prior to going on leave without pay. Employees can maintain a balance of 80 hours of accrued vacation leave.
- Eliminated overtime for successive weekend work; increased premium for extra weekend work to double time.
- Clarified pay for union stewards during representational meetings.

Wages:

July 1, 2009

- 3.0 percent across-the-board wage increase
- Increase in Charge Nurse premium by $.25 to $2.50
B. Finance, Audit and Facilities Committee

Washington State Nurses Association Collective Bargaining Agreement – UW Ratification (continued p. 2)

July 1, 2010
- 2.0 percent across-the-board wage increase
- New 28 year step at 2 percent more than previous step (year 26)

January 1, 2011
- 2.5 percent across-the-board wage increase
VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee


See attached report.
CAMPAIGN UW
CREATING FUTURES

REPORT OF CONTRIBUTIONS
CAMPAIGN SUMMARY

JULY 1, 2000 – JUNE 30, 2008

REPORT BY: UNIVERSITY ADVANCEMENT, ADVANCEMENT SERVICES, INFORMATION MANAGEMENT
Campaign UW: A Summary

CAMPAIGN UW...THE NUMBERS...

- **1,248,768** individual gift transactions were received
- **657** planned gifts were secured
- **6,324** gifts via stock were received
- **23,455** donors took advantage of matching programs resulting in **44,403** matched gift transactions
- **3,586** of **5,222** Regental Laureates, Presidential Laureates, Laureates and Benefactors contributed to Campaign UW
- **33,736** gifts were received by www.uwfoundation.org
- **9,691** students contributed to Campaign UW

CAMPAIGN UW...THE DOLLARS...

- **$206,346,222** was received from planned gifts
- **$133,592,865** was received via stock gifts
- **$11,573,131** was received from participating corporations who match employee contributions
- **$1,094** was the average gift size
- **$8,807,122** was raised by students who work at the Student Calling Program
- **$233,717,326** was received from first-time donors during Campaign UW
- **$5,115,733** was raised from people who gave between $1 and $100 total to Campaign UW

CAMPAIGN UW...THE DONORS...

- Average age of Campaign UW donor (for whom we have DOB) is **55**
- **73.5%** of donors are from Washington State, **69.13%** within a 50 mile radius of campus
- **50** of the **50** United States had a donor to Campaign UW
- Donors from **87** foreign countries contributed to Campaign UW
- **40.1%** of UW alumni contributed to Campaign UW
- **151,507** people categorized as ‘friends’ gave to Campaign UW
In this report Donors are defined as those giving their first-ever gift to the University of Washington during Campaign UW: Creating Futures. The giving amount is by new donors during their first fiscal year of giving.
**Campaign UW: Donor Frequency**

In this report, Donors are defined as anyone who is credited with giving to Campaign UW: Creating Futures. The amount is the sum of gifts, grants, active pledges, donor values of irrevocable deferred gifts and testamentary commitments.
This report defines a VIP Donor as a member of the Board of Regents, the Foundation Board, and/or a Campaign Committee member who gave during Campaign UW: Creating Futures. Unique donors are defined as those donors who gave during the campaign exclusive of the groups they belong to (accounting for membership overlap).
### Annual Giving Donors per appeal type

<table>
<thead>
<tr>
<th>FY Year</th>
<th>Direct Mail</th>
<th>Student Calling</th>
<th>Online</th>
<th>FY Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>2001</td>
<td>14,273</td>
<td>17,289</td>
<td>191</td>
<td>31,753</td>
</tr>
<tr>
<td>2002</td>
<td>14,544</td>
<td>19,925</td>
<td>366</td>
<td>34,835</td>
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<tr>
<td>2003</td>
<td>10,428</td>
<td>21,158</td>
<td>773</td>
<td>32,359</td>
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<tr>
<td>2004</td>
<td>13,826</td>
<td>19,520</td>
<td>1,377</td>
<td>34,723</td>
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<tr>
<td>2005</td>
<td>15,644</td>
<td>22,532</td>
<td>3,799</td>
<td>41,975</td>
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<tr>
<td>2006</td>
<td>16,750</td>
<td>22,281</td>
<td>7,568</td>
<td>46,599</td>
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<tr>
<td>2007</td>
<td>18,454</td>
<td>22,038</td>
<td>9,975</td>
<td>50,467</td>
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<tr>
<td>2008</td>
<td>16,618</td>
<td>25,471</td>
<td>11,493</td>
<td>53,582</td>
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<tr>
<td><strong>Total</strong></td>
<td><strong>120,537</strong></td>
<td><strong>170,214</strong></td>
<td><strong>35,542</strong></td>
<td><strong>326,293</strong></td>
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</tbody>
</table>

### Annual Giving Amounts per appeal type

<table>
<thead>
<tr>
<th>FY Year</th>
<th>Direct Mail</th>
<th>Student Calling</th>
<th>Online</th>
<th>FY Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>2001</td>
<td>$1,876,852</td>
<td>$899,346</td>
<td>$34,208</td>
<td>$2,810,407</td>
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<tr>
<td>2002</td>
<td>$1,830,379</td>
<td>$1,003,622</td>
<td>$75,923</td>
<td>$2,909,924</td>
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<td>2003</td>
<td>$1,308,661</td>
<td>$1,146,676</td>
<td>$149,984</td>
<td>$2,605,321</td>
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<tr>
<td>2004</td>
<td>$1,905,321</td>
<td>$989,586</td>
<td>$318,335</td>
<td>$3,213,242</td>
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<tr>
<td>2005</td>
<td>$2,442,534</td>
<td>$1,092,453</td>
<td>$1,221,733</td>
<td>$4,756,720</td>
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<tr>
<td>2006</td>
<td>$2,566,102</td>
<td>$1,140,440</td>
<td>$1,962,121</td>
<td>$5,668,664</td>
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<tr>
<td>2007</td>
<td>$3,218,864</td>
<td>$1,135,993</td>
<td>$2,538,412</td>
<td>$6,893,269</td>
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<tr>
<td>2008</td>
<td>$2,639,095</td>
<td>$1,294,076</td>
<td>$3,567,267</td>
<td>$7,500,438</td>
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<tr>
<td><strong>Total</strong></td>
<td><strong>$17,787,809</strong></td>
<td><strong>$8,702,192</strong></td>
<td><strong>$9,867,983</strong></td>
<td><strong>$36,357,984</strong></td>
</tr>
</tbody>
</table>

This report defines a donor who is credited with giving through a direct mail, student calling, or online appeal during Campaign UW: Creating Futures. The amount is calculated based on the annual giving to each of those appeals.
Campaign UW: Endowments

- **$621,538,860** in New Endowment Commitments
- **1,388** New Endowments were established, including:
  - **444** New Endowed Scholarships
  - **240** New Endowed Graduate Fellowships
  - **122** New Endowed Professorships
  - **57** New Endowed Chairs

- **4,839** Participants in the Students First matching campaign resulting in **$78,527,656** in donor contributions, which generated **$39,289,847** in matching, for a total of **$117,817,503** in new endowed scholarship and graduate fellowship support.
  - **224** new endowments created

- **2,157** Participants in the two Matching Gift Initiative matching campaigns resulting in **$95,216,200** in donor contributions, which was matched by **$45,000,000** of money from the University and Founders’, for a total of **$140,216,200** in new endowed scholarship, fellowship, professorship, and chair support.
  - **249** new endowments created
  - Founders who contributed to the Matching Gift Initiative did so selflessly, without the benefit of a naming opportunity, so that others could create more robust endowments themselves.

- **1,188** Participants in the Faculty Staff Retiree Campaign for Students matching campaign resulting in **$4,816,992** in donor contributions, matched by **$4,329,223** from the University, for a total of **$9,146,215** in new endowed student support (scholarships, fellowships, awards).
  - **565** new endowments created

- In addition to the new endowments created by donors utilizing the University’s numerous matching campaigns, many donors chose to give new money to existing endowments, greatly enhancing our ability to support students, faculty, and programs at the University of Washington.
Campaign UW: Development Research and Relationship Management

- Major Donor Profile
  - Average Age of a Major Donor: 68

### Major Donor Breakdown

<table>
<thead>
<tr>
<th>Donor Group</th>
<th>Donors</th>
<th>% Donors</th>
<th>Gift Amount</th>
<th>% Gifts</th>
</tr>
</thead>
<tbody>
<tr>
<td>Alumni</td>
<td>2262</td>
<td>31.6%</td>
<td>$425,614,374</td>
<td>16.9%</td>
</tr>
<tr>
<td>Non-Alumni</td>
<td>2334</td>
<td>32.6%</td>
<td>$313,062,038</td>
<td>12.4%</td>
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<tr>
<td>Corporations</td>
<td>1384</td>
<td>19.4%</td>
<td>$485,850,094</td>
<td>19.3%</td>
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<tr>
<td>Family Fndns.</td>
<td>183</td>
<td>2.6%</td>
<td>$142,894,108</td>
<td>5.7%</td>
</tr>
<tr>
<td>Foundations</td>
<td>478</td>
<td>6.7%</td>
<td>$819,937,943</td>
<td>32.5%</td>
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<tr>
<td>Organizations</td>
<td>508</td>
<td>7.1%</td>
<td>$336,151,217</td>
<td>13.3%</td>
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<tr>
<td><strong>Total</strong></td>
<td><strong>7149</strong></td>
<td><strong>100.0%</strong></td>
<td><strong>$2,523,509,774</strong></td>
<td><strong>100.0%</strong></td>
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</table>

### Major Gift Alumni Class Year Breakdown

<table>
<thead>
<tr>
<th>Class Year</th>
<th>Donors</th>
<th>Gift Amount</th>
<th>Class Year</th>
<th>Donors</th>
<th>Gift Amount</th>
<th>Class Year</th>
<th>Donors</th>
<th>Gift Amount</th>
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<tr>
<td>1909</td>
<td>1</td>
<td>$1,159,490</td>
<td>1949</td>
<td>35</td>
<td>$9,891,352</td>
<td>1978</td>
<td>40</td>
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<td>1914</td>
<td>1</td>
<td>$658,989</td>
<td>1950</td>
<td>40</td>
<td>$10,435,103</td>
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<td>1915</td>
<td>1</td>
<td>$635,892</td>
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<td>27</td>
<td>$3,403,721</td>
<td>1980</td>
<td>36</td>
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<td>1922</td>
<td>1</td>
<td>$0</td>
<td>1952</td>
<td>32</td>
<td>$5,321,171</td>
<td>1981</td>
<td>28</td>
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<td>1924</td>
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<td>1953</td>
<td>48</td>
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<td>1</td>
<td>$1,647,662</td>
<td>1954</td>
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<td>$8,540,062</td>
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<td>1955</td>
<td>58</td>
<td>$28,191,502</td>
<td>1984</td>
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<td>$4,329,940</td>
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<td>1927</td>
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<td>$7,053,378</td>
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<td>1928</td>
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<td>29</td>
<td>$1,291,623</td>
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<td>1929</td>
<td>5</td>
<td>$1,050,197</td>
<td>1958</td>
<td>35</td>
<td>$7,885,596</td>
<td>1987</td>
<td>30</td>
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<tr>
<td>1930</td>
<td>6</td>
<td>$3,339,651</td>
<td>1959</td>
<td>78</td>
<td>$15,769,213</td>
<td>1988</td>
<td>23</td>
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<td>1931</td>
<td>9</td>
<td>$4,901,232</td>
<td>1960</td>
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<td>$3,626,890</td>
<td>1989</td>
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<td>1932</td>
<td>7</td>
<td>$1,796,612</td>
<td>1961</td>
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<td>$7,955,236</td>
<td>1990</td>
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<td>1933</td>
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<td>$2,524,773</td>
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<td>$8,957,445</td>
<td>1991</td>
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<td>$526,386</td>
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<td>1934</td>
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<td>$459,377</td>
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<td>61</td>
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<td>1936</td>
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<td>44</td>
<td>$6,725,764</td>
<td>1994</td>
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<td>1937</td>
<td>12</td>
<td>$5,702,492</td>
<td>1966</td>
<td>51</td>
<td>$3,412,570</td>
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| Unspecified | 35 | $31,826,744 |

Major donors are calculated based on credit amount of $25,000 or greater during Campaign UW: Creating Futures. Giving amount is calculated as the amount counted towards the campaign total. When counting donors a spouse is credited as a donor even if they have themselves made a monetary donation to the university, an alumnus may be married to an alumnus from a different class year.
### Campaign UW: Development Research and Relationship Management

- **Major Donor Locations**
  - Donors in the Puget Sound Region: 4,534

#### United States (Major Gifts & Grants)

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**Addr. Change Pending**: 250 $978,054,937
This report defines donors as those who have been credited with giving $25,000 or more to Campaign UW: Creating Futures. The total gifts are calculated by how much has been donated by the donors who's campaign total falls in the given gift range.
New policies and procedures were put in place in 2003 that increased the number of contact reports.
Submitted Proposals and Commitments by Fiscal Year

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This report shows the number of proposals submitted during Campaign UW: Creating Futures. The dollar amounts shown are the commitments made by the donor and do not necessarily reflect the actual dollars received.
293,436 Donors

$2,684,260,820

CAMPAIGN UW: CREATING FUTURES
VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Rainier Vista Concept Plan

INFORMATION:

This presentation is to share the concept plan developed for the improvements to the Rainier Vista. The concept plan was completed in June 2008 and discussion with University Advancement is underway to establish a mechanism for fundraising. No action is required – this update is for comment and general information.

PROJECT DESCRIPTION:

Rainier Vista is something special; there are few places in the American landscape where the city and the wilderness complement one another so vividly. Mt. Rainier remains the constant in this landscape while the life of the University of Washington continues to expand. There are many ways to greatly strengthen the central role that the Rainier Vista plays in defining the University’s landscape identity.

Acknowledging the history of the site, contributing to a resilient campus ecology, increasing environmental sustainability and accommodating the pressures of contemporary use are all goals for the development of a framework that will guide the evolution of this iconic campus landscape. Opportunities to leverage strategic infrastructural upgrades to open up funding for a landscape renewal, as well as maximizing the benefit to the University of the proposed Sound Transit station to create a major new multi-modal gateway to the campus in which the Rainier Vista will play an iconic role will be explored.

The objectives of the study are as follows:

- Strengthen physical pedestrian connections and provide code-compliant, universal accessibility where possible for the entire length of the Vista, to all buildings fronting the Vista and to all adjoining walkways.
- Refine and improve the overall quality of the plant collections, providing distinguishing characteristics for each outdoor room and highlighting seasonal changes while maintaining a harmonious transition throughout the Vista.
- Diversify opportunities for gathering, seating, studying and passive recreation appropriate to the historic forms of the Vista landscape established of the last 100 years.
VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Rainier Vista Concept Plan (continued p. 2)

- Establish details for site elements (walls, pavement, seating, etc) constructed from simple, durable materials to reinforce the historic context while improving the overall quality of the pedestrian experience.
- Create a setting that is safe and provides access and the feeling of security at all times of day.
- Explore opportunities to minimize impacts on the natural environment and provide educational opportunities by supporting sustainable practices with innovative materials and technology.
- Develop creative recognition of the historic development of the campus over the past 100 years with the ability to continue the representation over the next 100 plus years.

The outcome of the study was to delineate individual projects, with associated costs, that could be installed over time as funding becomes available.

Funding for the development of the concept plan was approved by the Executive Vice President and University Advancement. The schedule for the plan was as follows:

- Study Budget $250,000
- Research & Analysis October - November 2007
- Concept Refinement January – April 2008
- Final Documentation April – June 2008

NEXT STEPS:

- Work with University Advancement to strategize fundraising for the project(s).
- Continue to coordinate with various city agencies to develop mutually agreeable design of the Montlake triangle area.
- Continue to work with Sound Transit to develop preferred bridge alternative.
Rainier Vista Concept Plan

Michael Van Valkenburgh Associates, Inc. Landscape Architects
June 2008
Rainier Vista Concept Plan

2016: A Walk Up the Renewed Vista
What is the Rainier Vista?
1909 - 2008: Vista History
2008: The Vista Today
Vista Preservation
The Sustainable Vista

Rainier Vista: Improvements Over Time
Upper Vista
Sciences Quadrangle
Lower Vista
Montlake Triangle
Vista Seating and Paving Concept
Infrastructure
Acknowledgements & References

Michael Van Valkenburgh Associates, Inc., Landscape Architects
www.mvvainc.com
231 Concord Avenue
Cambridge, MA 02138

SvR Design Company, Consulting Engineers
www.svrdesign.com
1205 Second Avenue
Suite 200
Seattle, WA 98101
2016: A Walk Up the Renewed Vista

Imagine, for a moment, that you are a student arriving at the University of Washington in the year 2016 for your first class of the day at Kane Hall. Emerging from the new light rail station at Husky stadium, you will cross a new pedestrian bridge over Montlake Boulevard and arrive, on campus, directly in the middle of the Rainier Vista, with a view up to Drumheller Fountain and your destination beyond. Starting on a wide expanse of lawn at the Montlake Triangle, framed by tall evergreens that afford filtered views to Husky Stadium on the one side and the UW Medical Center on the other, you will cross over Pacific Place and the Burke-Gilman Trail on a landscape bridge. Once over the bridge, which feels just like a continuous part of the Vista landscape, you approach a series of low water gardens, alive with color and plants and providing places to sit and rest. If you have a little reading to do before class and it is a nice day, you might stop and sit down on one of the low site walls, taking in the view and enjoying the atmosphere. Let’s imagine that you are in a hurry, however, and move forward up the broad paths at the Vista edges.

Crossing Stevens Way, you continue along the path, the tall evergreens of the Vista edge on one side and open lawn on the other; the lawn will be vibrant and green, despite the numerous games and events that it hosts throughout the year. As a well-informed student, you will be pleased to reflect on the fact that this landscape beauty did not come at the cost of using environmental toxins like fertilizers or pesticides, but instead was made possible through a restructuring of the soils that allows for heavy uses without long-term damage. Approaching the Drumheller Fountain, you will be further gratified by the idea that this beautiful display of water is achieved sustainably, using collected rainwater rather than potable drinking water. You will also recognize in Drumheller a symbol of a university-wide commitment to sustainability in that the large basin of the pond is used as water storage and treatment, feeding irrigation systems that maintain the lawn below and cleaning the water so that it might be released back into the lake without causing harm. Only the most aware student would find pride in the fact that the beautiful landscape also represents an ecological achievement in the separation of stormwater from sewer lines, but there are certainly civil engineering and environmental science students who would be pleased to know this to be true.

Emerging into the new Sciences Quadrangle during peak pedestrian flow between classes, you will find it full of students, some taking the quickest route from one building to another, others sitting around the edge of Frosh Pond, planning where to meet for lunch or discussing the Huskies’ recent stunning victory in the Apple Cup. This large space
will be easy to navigate because of the multitude of paths, but it also
will have an intimacy created by a loose canopy of trees, large masses
of flowering shrubs, and an abundance of seating opportunities and
lawn areas. You might feel a strong desire to sit down and enjoy the
spectacle for a while, but the sad fact is that you are late for class and
must keep moving forwards.

As you continue up the Vista between Mary Gates and Johnson Halls,
you will be framed by a diversity of shrubs and trees on either side,
which may call to mind particularly cherished moments of seasonal
splendor, like the flowers of early spring or the bright colors of fall.
Benches are set into the shrub beds, right on the edge of the main path,
and looking up to Mary Gates Hall or Johnson Hall, you will be struck
by how elegant the architecture looks as it strikes the ground and how
well the lawn panels around the buildings complement the Collegiate
Gothic style of the architecture. The pleasure of walking through
this space will be amplified by the knowledge that it has just recently
been regraded and repaved so that it is completely and comfortably
accessible by wheelchair.

Dashing up the stairs past Suzzallo Library, you will see your
destination directly in front of you, but perhaps you won’t go there
directly. Instead, you will take just a moment to turn back and look out
down the full length of the Rainier Vista, pleased to note that the traffic
and the confusion of the Montlake Intersection is mostly hidden by the
raised ground level in the center of Montlake Triangle. If you are very
lucky, the mountain will be out and you will enjoy one of the signature
moments of this landscape, a recognized national treasure. Even if the
mountain is not out, however, you might stand there a moment and
reflect on how perfectly this Vista and this landscape have come to
serve the daily life of the campus in a way that is consistent with the
mission and the values of the University. Looking to the future, you
might start thinking about the day that you will stand in this same spot,
in your cap and gown, posing for a photo, eager to retrace those same
steps in the direction of Hec Edmondson Pavilion where you will pick
up your degree. Better not dwell too long on that thought, however,
because the doors are closing and class is just about to begin.
What is the Rainier Vista?
The Past, Present and Future of the University of Washington’s Iconic Landscape

Although all universities have landscapes that are highly treasured by students and alumni alike, very few institutions are blessed with anything like the Rainier Vista. The development of a Concept Plan for this landscape starts with the recognition that this is a nationally important historic landscape whose greatest strength is that it has managed to preserve its core identity while continuing to evolve in exciting and meaningful ways. The mixture of mighty evergreens and campus buildings that frame its open center creates a uniquely sublime connection between the rarified world of higher education and the rawness of the distant wilderness. More than just another view to Mt. Rainier, the experience of the Vista’s signature moment lifts the spirit and humbles the soul simultaneously.

Beyond its emotive power, however, the Rainier Vista is also a multifaceted space that by virtue of its vast territory is able to absorb numerous functions, uses, identities, and scales. To begin with, it is the beloved centerpiece of the oldest educational institution on the west coast (the UW was founded in 1861 with development of its current site beginning in 1894). Once a dense forested hillside, the site that became the Rainier Vista was initially cleared for the UW by the organizers of the Alaska-Yukon-Pacific Exposition (AYPE) in 1909. After a fleeting moment as a magnificent Beaux Arts fairground, the Vista, along with the Arts Quad, became the frame around which the university was constructed.

From a contemporary standpoint, the Rainier Vista is an active landscape space, particularly the portion between Drumheller Fountain and Red Square, and the iconic image of the University for faculty, students, staff, and alumni. Given the strong site lines up into the campus from the Montlake Intersection, it is also the public face the UW presents to the larger community. This symbolic function makes the Vista an ideal vehicle for communicating the University’s values and mission to the many communities within and beyond the campus. For instance, how is the UW’s commitment to sustainability supported by the resource-hungry 5 feet deep and 20,000 square foot Frosh Pond? Balancing the competing concerns of historic continuity and contemporary resource management, the Concept Plan proposes the integration of Frosh Pond into a broader system of rainwater interception, water storage, and irrigation. While still operating as a symbol of the UW’s past, Frosh Pond - made visible from a distance by Drumheller Fountain - can become a symbol of the university’s commitment to a sustainable future.

Throughout its history, the Rainier Vista has evolved to meet the needs of the contemporary university. The construction of the Sound Transit station at Husky Stadium and an increased concentration of bus traffic around the Montlake Triangle will make Rainier Vista a major commuter entry onto campus. The Concept Plan seeks to unite the operations of the station with the Vista in a way that is legible, functional, and welcoming. Although hardly used at present, Montlake Triangle might eventually be transformed into one of the University’s signature landscapes, becoming an integral part of daily arrivals and departures as well as creating additional space to serve the needs of less frequent events like football games, graduation, and the opening day crew races.

As the 21st century progresses, there is no doubt that the Rainier Vista will become even stronger as the image of UW and even more integrated into the daily life of the campus. The Concept Plan lays the groundwork that will insure that this escalation in use is accompanied by improvements in function and experience. The implementation of the Concept Plan initiatives will build additional value into this cherished landscape, preserving its history and ensuring its continued vitality in the years to come.
The Rainier Vista has developed unevenly as a landscape space. Over the course of a decade, the site that it occupies went from practically raw wilderness to fully realized Beaux Arts “city” to empty formal grounds. The subsequent ninety years saw the Vista transform gradually as a landscape and expand in its function as the iconic centerpiece of the modern University of Washington.

In 1906, as planning was underway for the Alaska-Yukon-Pacific Exposition (AYPE), the University of Washington, which had been in its northeast Seattle location for a decade, was in desperate need of more classrooms but without the resources to realize them. The exposition’s planners asked that the University’s Board of Regents allow the AYPE to occupy the lower two-thirds of campus, which were completely uncleared at this point. According to Herbert Condon, who was the business comptroller at the time, “the principal inducement the University authorities had, to comply with the suggestion of the joint use of the campus, was the prospect of reducing this wild forest to a finished campus.”

The landscape architect hired to plan the fair was John Charles Olmsted, of the Olmsted Brothers firm from Brookline, Massachusetts. Involved in an ongoing relationship with the Seattle Parks Department, Olmsted had been working on a master plan for Seattle Parks as well as a master plan for the University (1904) that was supplanted by exposition planning. The AYPE plan would transform the southwestern corner of campus from a dense wood to an open slope with an elaborate formal design of walks and gardens, pools and fountains. While the original Olmsted plan for the university had been fairly inward-looking, the AYPE
The boldest permanent accomplishment of the AYPE from a landscape perspective was the creation of a view to the distant wilderness and the suggestion of a direct relationship between the life of a developing metropolis and the raw nature represented by Mt. Rainier. Seattle is a city that has abundant natural views to the Cascades, the Olympics, Lake Washington, and Puget Sound. The formal layout of the Rainier Vista gave this general condition a special distinction, bringing the distant ends of the city/wilderness spectrum together in a single landscape. Ironically, the actual wilderness of the campus, which had been envisioned by Edmond Meany, one of the original founders of the University, as an opportunity to develop an arboretum, was largely eliminated through these efforts. Alongside Rainier Vista, the remaining large trees framed the edges of the central space. The abundance of cleared land after the exhibition eventually permitted a wide distribution of structures over the tract as a whole. The southernmost pedestrian entry to the fair, coming from the direction of Capitol Hill, went under the Burlington Northern Tracks bridge which was completed in 1888.

The University’s architectural inheritance from the AYPE was small. After the closing of the exhibition, five permanent buildings remained (one of which still exists today) as well as a scattering of temporary structures that were ostentatiously but flimsily built for a fleeting life that had been unexpectedly prolonged for University purposes. The Olmsteds developed a 1914 plan for the university that is partially reflected in the
subsequent work of the Seattle firm of Bebb & Gould, who were hired in 1915 to proceed with planning. Carl Gould, who led the firm’s efforts, was also charged with developing the University’s new Department of Architecture. Gould’s 1915 “Revised General Plan of the University of Washington,” commonly known as the Regents Plan, employs Beaux Arts design symmetry and classical formality. The plan unifies the planning dynamics of the lower and upper campus and relates the main axis of the Arts Quadrangle with the main axis of the AYPE, referred to as the Science Quadrangle, in a great central quadrangle (originally called Central Plaza, now known as Red Square).

In 1917 the Lake Washington Ship Canal was completed; the lake was lowered by 8.8 feet, and fill from the dredging added approximately one hundred acres to the campus. The Montlake intersection end of the Vista became a major vehicular entry onto campus, with traffic initially going up the Rainier Vista as far as where Stevens Lane is today. As Pacific Place emerged as a major road around the University, it was built on a bridge that ran parallel to the rail line and over the Montlake entrance. After construction of Husky Stadium in 1920, much of the Montlake Triangle was given over to parking.

An overall lack of resources, combined with America’s entry into World War I resulted in a Vista landscape that was largely empty, for many years. Construction started with the 1926 wing of Suzzallo Library. The Science Quad and the buildings that flank Rainier Vista only began to be built in the late 1920s and 1930s. In 1934 Bebb and Gould, the University’s supervising architects, were asked by the regents to update the 1915 plan. The 1935 report reaffirmed the basic design
principles of earlier plans but also recommended certain modifications, such as lowering the building densities south of the Science Quad. The 1936 Regents Plan by Bebb and Gould seems to suggest abandoning the Vista as a vehicular entryway, instead allowing the Vista landscape to cross over both the rail line and Pacific Place. This option was not adopted. Instead, the automobile took over more and more of the central Vista space with parking spots between Physics and Johnson Halls and around Frosh Pond.

In 1931, the University hired Butler S. Sturtevant as campus landscape architect, a staff position he held until 1939. Work that he oversaw using WPA funds include 1936 designs for the junction of Rainier Vista and “Central Walk” (Stevens Way) with Bebb and Gould; the Rainier Vista approach and surrounds for Frosh Pond (1936); renovation of Drumheller Fountain (1935-36) and drawings for the southerly closure of Rainier Vista.

The 1962 Development Plan incorporated many of the proposals of earlier plans all the way back to the Regents Plan. In addition to a renovation of Drumheller Fountain during this era, improvements included a greatly reduced presence of the automobile on the Vista.

In the 1970’s, the Central Quad, or Red Square, was built. Kane Hall became the northern terminus of the Vista. In 1971, the railway line was abandoned, in 1973 the right of way was acquired by the city, and in 1978 the Burke-Gilman Trail was dedicated. The southern entrance to the Vista remained a driving entrance onto the university up until the construction of the Triangle Parking Garage in 1985.
Identity & Scale

The grand scale of the Rainier Vista is successful in relation to its larger context, but there has been only mixed success when it comes to integrating smaller scale daily activities into the landscape.

The Concept Plan looks for a more successful mediation between these two scales by rethinking some of the incremental changes over time, which by and large have not acknowledged the Vista’s core identity and scale. These include issues like paving choices that underplay the importance of the landscape, grades and surfaces that do not meet ADA standards for accessibility, hierarchical confusion between the Vista and the spaces that cross it, and certain instances of planting strategies that fail to support either the spatial, aesthetic or ecological function of the space. Similarly, the numerous intersections with regional traffic intersections, including Stevens Way, the Burke-Gilman Trail, and Pacific Place, erode the cohesion of the Vista and diminish the experience of the landscape.

Identity and scale issues that need to be addressed include integrating the monumental site elements, like Drumheller Fountain and Frosh Pond, more successfully into the experience of their immediate landscapes, and making better use of the Montlake Triangle intersection as a prime opportunity to craft a positive public image for the UW in the larger community.
Function & Use

At present, the Vista is a large landscape that is used unequally along its length. The pathways of the upper Vista are at full capacity between classes whereas the Lower Vista and Montlake Triangle are only lightly populated. The upcoming construction of the Sound Transit station will create a new constituency for this lower landscape, increasing its usefulness and putting greater demands on the space.

One initial premise of the Concept Plan was to create additional uses, both large and small, along the length of the Vista that will encourage many of these commuters to stop and enjoy the landscape rather than simply pass through it. This anticipated increase in the use of the Vista presents challenges with respect to the operations and maintenance of the landscape. Although it is a very large space, to date the successful operation of the Vista has relied on concentrated resources in very centralized locations, for instance the use of water at Frosh Pond and Drumheller Fountain or the maintenance that is required to care for the rose gardens.

A modest short-term investment in restructuring the landscape to perform more sustainably would work to eliminate wasteful practices while preserving the valued qualities of the space. Not only would this greatly increase the long-term efficiency of the Rainier Vista, it might improve its overall experience even as it is being asked to perform a greater role in campus life.
2008: The Vista Today

Drumheller Fountain is an iconic campus element but its water and power use is inconsistent with the University's sustainability goals.

The granular path surface on the Lower Vista does not meet accessibility standards.

At the Burke-Gilman Trail pedestrians and bicycles are in conflict.
At Pacific Place pedestrians and vehicles are in conflict.

The patterned brick and concrete paving at the Grant Lane cross-axis interrupts the Vista paving.

The main axis has a high capacity of use and is extremely busy between classes. The grade exceeds accessibility standards.

The plantings between Mary Gates Hall and Johnson Hall are at the scale of the architecture rather than the scale of the Vista.

There are few gathering places around Frosh Pond.

The accessible ramp at Bagley Hall is poorly integrated.

A series of construction projects has severely degraded the landscape in front of Guggenheim Hall.

The Vista edges are thin and the shrub layer includes invasive species.

The depressed access road is no longer in use and creates a visual interruption to the larger sweep of the Rainier Vista.

The cherry trees confine the Vista experience and block views.

At Pacific Place pedestrians and vehicles are in conflict.

The views of Husky Stadium from the Vista are poor.

The scale, materials, and expression of the landscape in the Montlake Triangle are in a poor and deteriorating condition.
Washington Park Arboretum (1.25 miles)

To Mount Rainier (60 miles)
As the University considers the future of the Rainier Vista and the campus as a whole, an official definition of contributing features should be agreed upon as the first step in ensuring that subsequent construction in the Vista’s vicinity preserves the central gesture of the space as well as its active architectural and landscape edges. New construction within the defined preservation area and view corridor should be analyzed for potential visual impact on the views to and from the Vista.

The Collegiate Gothic architecture of the upper Vista is a fundamental component to the success of the landscape as are the Sylvan Theater and Island Grove, themselves treasured campus landmarks, which flank the Vista below Drumheller Fountain and above Stevens Way. The depth and height of the wooded areas along the stretch of the landscape of the Vista between Stevens Way and Pacific Place are also important to the experience of the Vista. The quality of the wooded edge needs to be preserved; invasive species should be removed and the plantings should be reinvigorated with native understory shrubs, perennials, and a mix of deciduous and evergreen canopy trees. Likewise, Montlake Triangle, the terminus of the “official” Vista, contributes to the outward-looking experience and allows the opportunity for cars passing by to look up into the university from the intersection; it should be left free of elements that do not contribute directly to this experience.

Given that the centerpiece of the Vista landscape is a mountain that is 60 miles away, the “borrowed” landscape of the Vista includes an additional vast territory beyond the boundaries of the UW campus. The UW should preserve this larger scale aspect of the landscape to the degree that it can. As the university lands continue in front of Husky Stadium and down towards the Waterfront Activities Center, for instance, the layering effect of the tall trees and edges of the Montlake Cut provide a foreground landscape that is also important to our basic understanding of what makes the Rainier Vista one of the nation’s premier landscape spaces.
Proposed Stormwater Infrastructure Concept

1. Roof Runoff - direct to fountain cistern
2. Pathway Runoff - direct to fountain cistern
3. Road Runoff - direct to wet cell treatment
4. Fountain Cistern - beneath false floor in basin
5. Aeration Treatment - of cistern water
6. Pipe to Wet Cell Treatment - circulate fountain water
7. Wet Cell Treatment - water gardens & subsurface treatment
8. Lower Cistern - within volume of filled-in access road
9. Pipe to Fountain - return cleansed water
10. Irrigation Lines - pressurized and gravity fed
The Sustainable Vista

As the first major landscape project of the 21st century at the University of Washington, the renewed Rainier Vista presents an opportunity to demonstrate the applicability of sustainable practices, both visible and invisible, even in the most historically sensitive landscapes. Looking at the larger operations of the large-scale landscape, the Concept Plan treats pond water, stormwater runoff, water collection, site drainage, and irrigation as an integrated system of cleaning, recirculation, and reuse.

As demonstrated in the adjacent diagram, stormwater from surrounding buildings and pathways is collected in a separated storm drainage system while the sanitary sewer plumbing within buildings surrounding the corridor is rerouted to a dedicated sanitary sewer line. The stormwater runoff is directed to prefabricated cisterns installed within Frosh Pond. Operating in part as a system of water conservation, additional cistern volume reduces the effective depth and volume of water within the visible parts of Frosh Pond. Given that the water depth in the pond is currently about 5 feet, however, it is unlikely that this reduction in depth will affect the appearance of the pond.

Down slope, stormwater runoff from Stevens Way and surrounding buildings will be pre-treated and discharged to prefabricated cisterns located within the ramping area of the lower Rainier Vista. The two cisterns are to be hydraulically connected and overflows of the cisterns will be discharged to the 84-inch combined sewer overflow pipe, thereby reducing storm flows into the Metro sewer system.

The active water within Frosh Pond passes through a recirculation system comprised of vegetated cells installed above the lower Vista cisterns. The cells will be planted with a variety of wetland and emergent plants to provide varying levels of water treatment and visual appeal.

Reused stormwater fulfills the landscape irrigation demands along the Rainer Vista. Treated water will be pumped to the upper cisterns for reuse in the irrigation system surrounding the Sciences Quadrangle, Mary Gates and Johnson Halls and the area north of Stevens Way. Treated water is also used as fountain evaporation makeup water. Water stored in the lower Vista cistern irrigates the area of the Vista south of Stevens Way, including the area adjacent to Montlake Boulevard.
Rainier Vista: Improvements over Time

Experienced as a sequence of spaces, the large-scale gesture of the Rainier Vista breaks into several smaller landscapes that are important in their own right. Within each area the Concept Plan proposes specific measures intended to improve the experience and function of individual spaces while also reinforcing the strength of the Vista as a whole. The experiential aspects of the proposed landscape are described in the following section and related functional improvements are addressed in the Vista Infrastructure section on pages 49 - 57.

Work within the Upper Vista, between Red Square and Thurston Lane, involves regrading and repaving as well as improvements to the planted edges of the space. A complete landscape renovation is proposed for the Sciences Quadrangle, tying an environmentally-motivated reengineering of Drumheller Fountain and Frosh Pond into an overall plan to renew an important campus space that has served as a construction staging area for much of the last decade. Projects within the Lower Vista, between the Sciences Quadrangle and Pacific Place, include restructuring the large lawn, and constructing a system of water treatment gardens above a new stormwater cistern. Improvements at Montlake Triangle include the lowering of Pacific Place, a new landscape bridge to the Husky Stadium Station, and the introduction of a landscape that invites use and gathering at a location that will quickly become a major campus entryway and community crossroads with the completion of the new Sound Transit station on Montlake Boulevard.
Mary Gates Hall and Johnson Hall are important contributing elements of the Rainier Vista. The role they play in defining the edges of the Vista will be greatly strengthened by replacing the overgrown shrubs of the upper level with a flat lawn while simultaneously replacing the lawn that is adjacent to the pathways with a beautiful and highly textured shrub border. Bringing lawn to the terrace levels of the buildings will allow for greater visibility along the upper walkways, thus improving safety, and creating new informal seating opportunities that look down onto the space and perhaps out into the Vista. This will also allow for a clearer expression of the Collegiate Gothic architectural style of Mary Gates Hall and Johnson Hall which is currently obscured by the tall shrubs. Bringing the shrubs to the level of the Vista will tighten the experience of the space, minimize the presence of the basement level walls of the buildings, and create new opportunities for eye-level seasonal displays. Benches will be notched into the shrub borders, creating new spaces to sit and watch your classmates walk by, or perhaps just for enjoying the Vista landscape.

This portion of the upper Vista is very well used and nearly filled to capacity between classes. One major accomplishment of its redesign will be to make subtle
adjustments to the slope so that it may be accessible by wheelchair and thus available for all students to enjoy. The patched asphalt paving will be replaced with a subtle gray unit paver that will begin at the base of the steps leading from Red Square, crossing over Grant Lane, and terminating at the threshold to Sciences Quad.

According to the Utility Master Plan, utility improvements associated with the Johnson Hall renovation are slated to begin after 2008 and include separation of the sanitary sewer and storm drainage system. Work shown in the Rainier Vista Concept Plan associated with the pathway between Johnson and Mary Gates Halls stormwater collection and diversion to Drumheller Fountain/Frosh Pond can be completed in coordination with the Johnson Hall Utility Improvements. These improvements will reduce capacity concerns associated with the pump station located on Pacific Place. Work may also include installation of a dedicated storm drain line routing additional stormwater runoff from Red Square to Drumheller Fountain cistern constructed as part of the Sciences Quadrangle project.
Drumheller Fountain
with Stormwater Cisterns

New Fountain Jets
along Pond Edge

Widened Seat Wall

New Seat Wall

New Steps & ADA Paths

Shrub and Flower Beds

Bagley Hall

Johnson Hall

Shrubs
The landscape between Bagley and Guggenheim Halls, which includes Frosh Pond, was first identified as the “Sciences Quadrangle” in early planning for the University. Although the programming of the buildings was consistent with this description, the evolution of the landscape has tended to segment the space rather than treat it as a single large landscape in the manner of the Arts Quad.

The buildings surrounding the new Sciences Quadrangle will look down on a compelling patterning of the landscape that will undergo subtle changes in color and texture throughout the seasons of the year. The new design treats the Sciences Quadrangle as a single, continuous space that relates to the scale of Frosh Pond and Drumheller Fountain while also supporting a variety of uses. An abraded network of pathways paved in radiating basalt cobbles flows through the space and facilitates direct connections across campus and between buildings. The space and ground plane is enlivened through planting, including canopy trees that create a sense of intimacy without blocking eye-level views and bursts of shrubs to create seasonal interest. A more welcoming, human-scale occupation of the space will be created through improved seating around Drumheller Fountain, benches throughout the plan, and lawn areas. A large paved area in front of Guggenheim Hall will accommodate outdoor gatherings and events, thus taking pressure off some of the campus gardens that are currently being used for this purpose.
The Sciences Quadrangle project would include the construction of cisterns within the Drumheller Fountain/Frosh Pond. The installation of the cisterns serves the purpose of capturing stormwater runoff from the surrounding area and reducing the volume of “active water” within Frosh Pond. Work in this area will include the installation of a subsurface irrigation system in the Quadrangle’s planted areas. Once the active volume of water is reduced from the 2 million gallons, a viable treatment and recirculation approach can be implemented (see Lower Vista).

Infrastructure associated with the proposed irrigation system will be installed with dual water distribution and cistern supply capability. Additional investigation will be required to determine if, in the interim, the cistern water can act as emergency backup water supply for the Power Plant.

Creating a cistern below the fountain and within the pond, and capturing stormwater runoff, will likely require a Washington State Water Rights Permit. Although there are several reasons to believe that this project might serve as an excellent test case for this kind of program, this process may take several years before the cisterns are allowed to be operational and connected to the surrounding irrigation systems.
The lawn directly south of the Sciences Quadrangle will be restructured with designed soils so that it may continue to provide unprogrammed open space for informal gatherings and low level sporting activities without creating long-term compaction issues. The pathways that run on either side of the lawn will be paved with chip and seal bituminous concrete, making this space accessible by wheelchair while maintaining the current appearance. The planted edges of the Vista will be managed; unwanted exotics and invasives will be removed and replaced with appropriate native plants.

The lowered roadway that occupies the center of the Vista south of Stevens Way (a vestigial remnant of what was once an entry onto campus) will be filled in with a cistern. The existing cherry trees will be removed and the landscape will be elevated somewhat to meet the grade of the landscape crossing in the direction of the Montlake triangle. A series of water gardens will terrace down the slope, each featuring a different level of interaction between the plants and the inundated soil. At the end of each water terrace, a low wall running roughly east-west will create new sitting opportunities within the space of the Vista itself. Approaches to improving the conditions of paving and planting at the edges of the space will be similar to what is described for the lawn south of the Sciences Quadrangle.

No major utility upgrades for this area were identified in the Utility Master Plan; however, future improvements associated with the Power Plant may impact this area. It is advisable that construction of these improvements be completed and established before the Sound Transit station is completed and commuters are routed through the area. The bulk of the work associated with the pond recirculation system, water quality treatment cells, and lower cistern is to be completed as part of this phase. Work will include construction of a cistern within the ramping section of the un-used road, at the parking garage. Vegetated water quality treatment cells are proposed to be constructed over the cistern. These cells will be cast-in-place concrete and will provide filtration treatment as water moves from each cell. High flows during storm events will be allowed to cascade from each cell and will overflow to the dedicated storm drain constructed as part of the Montlake Triangle project.
A new landscape bridge will be constructed over a newly lowered Pacific Place, forming a direct landscape connection between the Rainier Vista and the Montlake Triangle. Bicycle traffic along the Burke-Gilman trail will bypass this new pedestrian intersection on a separated bikeway that will also dip underneath the pedestrian crossing. The new high point in the Triangle will offer views out to Lake Washington and the Montlake Cut while also serving as an active crossroads of commuters arriving at and leaving the University and Medical Center as well as regional transit users making transfers between buses or between a bus and light rail.

Aside from the large paved area at the high point, the central space of the Triangle will be largely clear with a lawn or meadow that slopes down towards the Montlake intersection. To the east and west, tall evergreens will frame the space, providing filtered views to Husky Stadium and to the Medical Center. A new bridge across Montlake Boulevard, built as part of the Sound Transit Station, but coordinated with improvements to the Vista, will be accessed at grade from the main space of the Triangle, leading to stairs or an elevator that will deliver pedestrians to ground level in front of the stadium.

One of the goals identified by the Utility Master Plan is to separate sanitary sewer flows from storm drainage runoff and re-establish natural runoff discharge locations in the south Campus by the end of 2009. Separation of sanitary sewer flows associated with buildings would be completed before the Montlake Triangle work. The ultimate discharge location would be modified from connecting to the 138-inch Metro sewer line and a new dedicated storm drain line will connect to the 84-inch Metro combined sewer overflow. These improvements will have benefit for the capacity of the sewer lift station and reduce the potential for combined sewer discharges to Portage Bay. This project may present an excellent opportunity for partnering with SPU and/or King County Metro. The bulk of the improvements during this project are associated with the connectivity with Sound Transit. Work in this area will include the installation of a subsurface irrigation system.
Montlake Triangle

Proposed Cross Section - Detail

- Landscape Bridge
- +77'
- +63'
- 13'
- Lowered Burke-Gilman Trail
- Bridge Support Column
- +53'
- 20' Clearance
- 33'
- Lowered NE Pacific Place
- 8'

- Existing Grade
- Existing Parking Garage

- Pedestrian Bridge to SoundTransit Station Beyond

- Montlake Boulevard
- PROPOSED GRADE
- +63'
Vista Seating & Pavement Plan

**Gray Unit Pavers**
The existing paving between the steps leading to Red Square and Drumheller Fountain is badly worn and has required repeated patching. Given the prominence of the Collegiate Gothic architecture framing the Vista in this location, a similarly elegant material expression of the ground plane will reinforce the idea that the space itself is important.

**Basalt Cobbles**
The new Sciences Quadrangle will be unified by a landscape that speaks to the scale of the fountain, the rectilinearity of the space, and the passage of many students in multiple directions. Cobbles support the diverse functions of this landscape because they are both non-directional and responsive to curved forms and straight lines. Basalt is a material that is elegant and durable, evocative of local rock formations and suitable to define the experience of one of the University’s three major plaza spaces.

**Chip and Seal**
The lower reaches of the Vista will become more extensively used as greater numbers of commuters arrive via the Montlake Triangle. Replacing the gravel with chip and seal asphalt will suit the still casual use of these landscape spaces while making the pathways accessible by wheelchair.

**UW Bench**
This classic hardwood bench will be embedded within planted edges throughout the Vista.

**Stone Benches and Seatwalls**
Throughout the length of the Vista stone benches and seatwalls provide new places to sit, meet, and enjoy the Vista experience, particularly around Drumheller Fountain, the Sciences Quadrangle, and within the water gardens.
Vista Infrastructure

When fully realized, the following utility improvements will allow the Rainier Vista to perform as an integrated water collection, storage, treatment, and distribution system while also achieving many longstanding University goals with respect to optimizing and upgrading utilities infrastructure. Through association with these improvements, the expanse of Frosh Pond and the 100 foot jet of Drumheller Fountain will keep their historic significance while also becoming highly visible symbols of the UW’s activities as a research institution, its commitment to ongoing self-improvement with respect to sustainability, and its leadership role in the community. Although not so readily visible, additional utility initiatives like the separation of the combined sewer line, a stormwater collection system, and cisterns tied to automated irrigation systems, will also contribute to a space where the health and beauty of the built landscape contribute to the health and beauty of the larger ecological context.

The Vista has always been a place of learning as well as a place of gathering. The sustainability initiatives introduced into the basic infrastructure of the Vista will provide an opportunity to present students with a real-life application of an interdisciplinary approach to the built environment. As a living laboratory for biology, civil engineering, environmental science, forestry and horticulture, the Vista can become an object of further study within the University community.
Existing Stormwater Infrastructure
**Existing Utility Network**

The existing campus utility system is a complex and largely invisible network of direct buried utilities and utility piping routed through underground concrete tunnels. The range of utilities that support the functions of the University include water distribution systems, irrigation systems, electrical power services, lighting and backup power, natural gas, central cooling water, steam and condensate, compressed air and sanitary sewer and storm sewer utilities.

**Power Plant Utility Tunnels**

The Power Plant facility is located east of the Rainier Vista and houses the University's main boilers, generators, and fuel oil storage. The Power Plant supplies compressed air, chilled water, and emergency power through the utility tunnels running across the campus. Utility tunnels related to the Power Plant cross the Rainier Vista at five locations: the entrance to Johnson Hall, the North side of Drumheller Fountain, the South side of Drumheller Fountain, Stevens Way, and the Triangle Parking Garage.

**Water Distribution System**

The University's water distribution system, which is supplied by the City of Seattle, serves each building's domestic service, fire protection, and irrigation demands. The University is currently partnering with Seattle Public Utilities (SPU) to provide conservation measures which reduce the campus water usage. Along the Rainier Vista, there are water main interconnection crossings at Grant Lane, Thurston Lane and Stevens Way. The utility maps show an additional interconnection to the larger water distribution system between Pacific and Stevens Way. Currently, there are no water lines connecting the Drumheller Fountain area to Stevens Way.

**Storm Drainage and Sewer**

A large part of the campus has a separated sewer system and it is a goal of the University to eliminate the remaining areas with combined sewer systems. The Rainier Vista, except for a portion at the north end of the assessment area, between Red Square and Grant Lane, is currently served by a combined sewer system. The pathways, landscaped areas between Grant Lane and Thurston Lane and portions of the roof runoff from Mary Gates Hall and Johnson Hall are collected in a combined storm sewer and routed to the 12-inch storm sewer line running down the Rainier Vista corridor where they are “combined” with sewer flows from Johnson Hall and Mary Gates Hall. Additional runoff comes from the paths and landscapes associated with Guggenheim Hall and Bagley Hall. The sewer main also conveys stormwater runoff from the area south of Thurston Lane, the Rainier Vista Lawns, Sylvan Theater, a 300-foot stretch of Stevens Way and Drumheller Fountain. This is a significant area that contributes stormwater flows to the 138-inch Metro sewer trunk line at Pacific Place where it is pumped west along Pacific Street.

The sewer trunk line connects to an existing 84-inch combined sewer overflow that runs under the Medical Center and discharges to Portage Bay. The aggregate flows during storm events reduce the sewer pump capacity, thereby reducing the effectiveness of the sewer system. During large storm events, the addition of storm waters to this system creates the potential for the pumping system to be overwhelmed with combined storm water runoff and sewer flows. In these cases, sewer flows would overflow to the 84-inch pipe and discharge directly into the waterway. At the time of this assessment, information regarding the condition of the 12-inch sewer mainline or the frequency of combined sewer overflows to Portage Bay was not available.

**Irrigation System**

Approximately 250 acres of the 643 acre campus is irrigated. Most of the irrigated areas are manually irrigated and not connected to irrigation deduct meters. The absence of deduct metering contributes to additional sewer charges to the University from SPU. Measures for reducing the SPU charges currently being undertaken by University of Washington Facilities Program include introducing more automatic irrigation systems, the installation of deduct meters at areas with irrigation demand, and the installation of network controllers that use historic evaporation and plant transpiration to adjust irrigation supply.
The landscape irrigation systems serving the areas around the Rainier Vista are generally associated with the adjacent buildings. The only area along the Rainier Vista served by an automatic irrigation system is the area between Stevens Way and Pacific Place. The area adjacent to the Drumheller Fountain is irrigated manually. According to the University Maintenance Department, this piecemeal approach to irrigation leaves some areas of the Vista landscape without adequate coverage.

**Drumheller Fountain and Frosh Pond**

Drumheller Fountain and Frosh Pond are located near the top of the Rainier Vista. The pond area is approximately 0.7 acres and at approximately 5 feet deep, it has a dead storage volume of approximately 1 million gallons. The additional depth from the seating wall to the current water surface elevation may provide up to an additional 1 million gallons. The fountain is supplied by water line pumps running from Bagley Hall to the center of Frosh Pond. Water losses from the pond caused by evaporation are made up from the UW water distribution and are supplied by the SPU. The fountain has recently undergone improvements to reduce water losses caused by exfiltration through cracks at the concrete bottom of the pool. Currently Frosh Pond water quality is not monitored or filtered and pond water turn over is accomplished with water supplied from the water distribution system. Discharge from Drumheller Fountain and Frosh Pond is directed to the combined 12-inch sewer running along the Rainier Vista and ultimately discharges into the 138-inch Metro sewer trunk line at Pacific Place. According to the Drumheller Fountain & Frosh Pond Feasibility Study and observations the major contributor to the poor pond water quality is from guano loading.
Planned Improvements

Independent of the development of the Rainier Vista Concept Plan, a number of utility system improvements are being developed or considered by the UW. Building-related improvements planned along the Vista include utility upgrades for Johnson Hall and the replacement of existing footing drains for Bagley Hall. Larger scale initiatives that will affect the overall utility system, like Sound Transit’s Husky Stadium Station or new building projects, like the new Microbiology building, are also likely to be completed within the next decade. We have included the Utility Milestone Timeline for reference.

Power Plant Future Improvements

There are a number of emergency backup power systems, cooling water, and steam generation improvement projects identified in the Utility Master Plan. As most of these services are routed through the utility tunnels, any Power Plant improvement projects will have little impact to the Rainier Vista. However, the following long term goals may impact the Rainier Vista by adding new tunnels or requiring additional area within the corridor: alternate steam system routes to the west from the Power Plant, a central cooling system chilled water storage tank on South Campus, and cable extensions to the electrical system through South Campus.

Water Distribution Upgrades

According to the Utility Master Plan, the Power Plant requires a backup water supply system. The backup water supply system needs to be installed to support the flow of water during a SPU water supply system failure. Water would be supplied using a pumping system that draws water from Lake Washington. Other upgrades identified by the Utility Master Plan include a replacement of the water main along Montlake Boulevard and continued partnering with SPU for a water conservation program.

Irrigation System Upgrades

The Rainier Vista is a mix of manual, automatic, and networked irrigation systems. As discussed in the Utility Master Plan, the University is foremost concerned with conserving water. The University of Washington has established a program to install network controllers that use historic evaporation and plant transpiration to adjust irrigation supply. Additional measures for reducing SPU charges are to install deduct meters at areas with irrigation demand. In particular, the area adjacent to Drumheller Fountain/Frosh Pond, extending down to Stevens Way, requires upgraded irrigation systems.

Storm and Sewer System Upgrades

A 12-inch combined sewer line runs most of the length of the Rainier Vista. The line directs sewer flows from adjacent buildings to the Metro trunk line at Pacific Place. Additionally, discharge from Drumheller Fountain and stormwater runoff is also directed to this line. The University of Washington has identified this line as deficient and would like to see it separated in accordance with University of Washington and SPU sustainability goals. A proposed storm drain line, from Pacific Place to the Metro 84-inch combined sewer outfall near NE Pacific St., would fulfill the goal of separation of this sewer system. The Utility Master Plan also notes that, due to capacity concerns, the sewer pump station at the west corner of the Montlake Triangle is in need of replacement. Separation of the storm sewer system will alleviate capacity on the existing system.
Proposed Stormwater Infrastructure
Rainier Vista Concept Plan
Infrastructure Proposals

Upper Vista
Utility improvements associated with the Johnson Hall renovation are slated to begin after 2008 and include separation of the sanitary sewer and storm drainage system. These improvements will reduce capacity concerns associated with the pump station located on Pacific Place. Work in this area may also include installation of a dedicated storm drain line routing additional stormwater runoff from Red Square to the cistern constructed as part of the Sciences Quadrangle renovation.

The Sciences Quadrangle
This project area includes the construction of cisterns within Frosh Pond. The installation of the cisterns serves the purpose of capturing stormwater runoff from the surrounding area and reducing the volume of “active water” within Frosh Pond. Work in this area will include the installation of a subsurface irrigation system for the planted areas of the Sciences Quadrangle. Infrastructure associated with the proposed irrigation system should be installed with dual water distribution and cistern supply capability. Additional investigation will be required to determine if, in the interim, the cistern water can act as emergency backup water supply for the Power Plant.

Currently, there are state regulations that tightly control the collection of rain water. Creating a cistern below the fountain and within the pond, and capturing stormwater runoff, will likely require a Washington State Water Rights Permit. Although there are clear environmental, operational, and economic reasons for pursuing this strategy, the laws are currently written to protect the “waters of the State” from being collected for reuse and the regulatory process may take several years before the cisterns are allowed to be operational and connected to the surrounding irrigation systems.

Lower Vista
Work will include construction of a cistern within the incline of the abandoned roadway that currently runs from Stevens Way into the Triangle Parking Garage. A series of water gardens are proposed to be constructed over the cistern. Each zone of the garden is an independent vegetated water quality treatment cell, constructed of cast-in-place concrete, which provides filtration treatment as water moves from cell to cell. High flows during storm events will be allowed to cascade through the cells and will overflow to the dedicated storm drain constructed as part of the Montlake Triangle project area.

Montlake Triangle
One of the goals identified by the Utility Master Plan is to separate sanitary sewer flows from storm drainage runoff and reestablish natural runoff discharge locations in the south Campus by the end of 2009. Separation of sanitary sewer flows associated with buildings would be completed as part of the other project areas. The ultimate discharge location would be modified from connecting to the 138-inch Metro sewer line, and a new dedicated storm drain line will connect to the 84-inch Metro combined sewer overflow. These improvements will reduce the potential for combined sewer discharges overflow, increase the capacity of the sewer lift station, and reduce the potential for combined sewer discharges to Portage Bay.

The bulk of other site improvements in this portion of the project are associated with connecting Montlake Triangle with the Sound Transit station and the Medical Center, as well as the associated lowering of Pacific Place. Work in this area will also include the installation of a subsurface irrigation system.
Upper Vista

- Install walking path stormwater collection
- Install irrigation system with landscape
- Separate storm & sewer flows from Mary Gates and Johnson Hall
- Install new utilities for Johnson Hall
- Potentially revise Red Square drainage system

Sciences Quadrangle

- Install walking path stormwater collection system
- Install cistern, pump, and stubs for future recirculation system
- Repair Bagley Hall foundation drain
- Install irrigation system with conventional feed until water rights permit is accepted
**Montlake Triangle**

- Install irrigation system with temporary connection to water system
- Install cutover for storm drains to CSO overflow

**Lower Vista**

- Install cisterns & water quality planters
- Install recirculation system & pump.
- Rehabilitate 12” sewer line
- Separate storm & sewer flows from Electrical Engineering building
- Collect Stevens Way stormwater
- Separate storm and sewer flows from Bloedel Hall
- Upgrade utilities associated with buildings at South Campus
- Install irrigation system with landscape
Acknowledgements

**Rainier Vista Concept Plan Steering Committee**

Lee Copeland  
Brian Dudgeon  
Jon Hooper  
Maggi Johnson  
Kristine Kenney  
Kurt Kiefer  
Jan Labyak  
Iain Robertson  
John Wetzel

**University Landscape Advisory Committee**

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Robert Edmonds  
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Jon Hooper  
Margaret Johnson  
Norm Johnston  
Jennifer Jones  
Kurt Kiefer  
Kristine Kenney  
Nancy Rottle  
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Rod White  
Daniel Winterbottom  
Darlene Zabowski

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Dale Cole  
Lee Copeland  
Daniel Friedman (Chair)  
Weldon Ihrig  
Linda Jewell  
Stephen Kieran  
Linda Lane  
Norman Pfeiffer  
John Schaufelberger  
Cathy Simon

**Other Groups that have Reviewed and Commented on the Plan Include:**

UW Office of Development and Alumni Relations  
UW Office of Regional Affairs  
Sound Transit University Station Design Team  
Friends of Seattle Olmsted Parks  
Seattle Design Commission  
SDOT Skybridge Review Committee

References

**History**


**Images**

University of Washington Libraries, Special Collections  
Museum of History and Industry
VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Molecular Engineering Interdisciplinary Academic Building (MEIAB) – Review Schematic Design

INFORMATION:

The Schematic Design phase of the project has been completed. This presentation is for information only.

PROJECT DESCRIPTION:

The Molecular Engineering Interdisciplinary Academic Building (MEIAB) will accommodate growth anticipated in this emerging field. This project will be divided into a Research Lab portion and an Ultra-Sensitive Ground Contact Lab portion, each with support space. Phase 1 is programmed for 49,000 gross square feet (GSF), with an additional 28,000 GSF of shell space for a total of 77,000 GSF. A second phase is anticipated resulting in a total for both phases of approximately 160,000 GSF. The initial phase for design of the building must provide ground contact and basement levels to suit instrumentation labs with ultra-low vibration and electromagnetic interference requirements, as well as above-ground preparatory laboratories and flexible Molecular Engineering research and teaching laboratories. With an emphasis on interdisciplinary research, the new building will facilitate the connection of students, faculty and staff across many disciplines. A primary goal for the building is to create technologically rich supportive spaces that provide a high degree of operational flexibility to allow fast and inexpensive changes to accommodate rapidly changing research needs.

The project will be located on the Johnson Hall Annex site referenced in the Campus Master plan as the 25C site.
Molecular Engineering Interdisciplinary Academic Building (MEIAB) – Review Schematic Design (continued p. 2)

PREVIOUS ACTION:

The project was first presented to the Board of Regents in June 2007 and the President was delegated authority to award design contracts to Zimmer Gunsul Frasca (ZGF) Architects. At the March 2008 meeting, the Project Presentation was made to the Finance, Audit and Facilities Committee and the project budget was established at $78,500,000; the use of alternative public works utilizing the General Contractor/Construction Manager (GC/CM) method of contracting was approved; and the President was delegated authority to award construction contracts, subject to no significant change in scope, the forecast cost being within 10% of the budget and funding being in place. This pre-construction contract was awarded to Hoffman Construction on August 7, 2008.

SCOPE OF THE PROJECT:

The facility will be home for the Institute for Molecular Engineering and Sciences and will provide administrative support for this new group. These administrative spaces, along with the faculty and staff offices, student workstations, and conference/seminar spaces will support the laboratory functions which make up approximately 80% of the programmed area of the facility.

The overall program envisions 160,000 GSF that are divided into two phases: Phase One finished space with approximately 49,000 GSF to be funded through a request to the State for $62,500,000; Phase One shell space with approximately 28,000 GSF funded through University general revenue bonds for $16,000,000; and a future Phase Two of approximately 83,000 GSF. Phase One scope includes redevelopment of the 25C site and some infrastructure for the future Phase Two. The Phase One scope will also include the relocation of Cunningham Hall to a site to be determined on campus, and the demolition of the existing Johnson Hall Annex.

The research laboratories provide space for three distinct program directions: new faculty; new initiatives; and shared instrumentation laboratories. These spaces will support faculty research in the areas of bio-chemistry, micro-biology, chemistry and other related fields. The laboratories will be used by faculty and graduate students for collaborative and individual research and are located immediately adjacent to office zones to facilitate interaction and collaboration. The instrumentation laboratory spaces are ground contact open labs to house the vibration-sensitive, specialty equipment that is envisioned as a shared resource for both the building and the University.
VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Molecular Engineering Interdisciplinary Academic Building (MEIAB) – Review Schematic Design (continued p. 3)

SCHEDULE:

- Architect Selection: June 2007
- Pre-design: July 2007 to December 2007
- Design: April 2008 to December 2009
- Award Pre-Construction Contract: August 2008
- Construction: December 2009 to October 2011
- Occupancy and Use: January 2012

CURRENT PROJECT STATUS:

Under Delegated Authority, the Capital Projects Office entered into a Preconstruction Services agreement with Hoffman Construction as the General Contractor/Construction Manager after a selection process which saw five firms submit qualifications, four firms interviewed, and three firms selected to submit proposals for their fee and General Conditions costs.

The schematic design submittal and cost estimate have been prepared by ZGF Architects, and their estimate reconciled with that prepared by Hoffman Construction. Currently, the cost estimate is approximately 3% over the construction budget of $51,500,000. Several cost reduction measures are being considered, and it is expected that the project will be brought back on budget with no impact to its function or quality.

SIGNIFICANT RISKS OR OPPORTUNITIES:

The State has approved funding of $5 million for pre-design and design. The remaining balance of the $62.5 million total in state funds must be appropriated in the 2009 legislative session.

A major opportunity is to provide a signature building expressive of the University’s research capabilities at one of the major campus entries.

Further, there is an opportunity to create or improve several outdoor spaces adjacent to one of the main entries to the campus, including a new courtyard formed with Johnson Hall, improvements along Stevens Way, and ultimately, a new circle and site improvements at Grant Lane.
### Project Budget

<table>
<thead>
<tr>
<th>Cost Category</th>
<th>Total Escalated Cost*</th>
<th>% of TPC</th>
</tr>
</thead>
<tbody>
<tr>
<td>Pre-Schematic Design Services</td>
<td>$563,630</td>
<td>0.72%</td>
</tr>
<tr>
<td>A/E Basic Design Services</td>
<td>$3,359,000</td>
<td>4.28%</td>
</tr>
<tr>
<td>Extra Services</td>
<td>$1,935,748</td>
<td>2.47%</td>
</tr>
<tr>
<td>Other Services</td>
<td>$1,658,494</td>
<td>2.11%</td>
</tr>
<tr>
<td>Design Services Contingency</td>
<td>$713,524</td>
<td>0.91%</td>
</tr>
<tr>
<td>Consultant Services</td>
<td>$8,230,396</td>
<td>10.48%</td>
</tr>
<tr>
<td>GC/CM Construction Cost</td>
<td>$51,803,998</td>
<td>65.99%</td>
</tr>
<tr>
<td>Other Contracts</td>
<td>$0</td>
<td>0%</td>
</tr>
<tr>
<td>Construction Contingencies</td>
<td>$6,062,575</td>
<td>7.72%</td>
</tr>
<tr>
<td>Sales Tax</td>
<td>$5,135,255</td>
<td>6.54%</td>
</tr>
<tr>
<td>Construction</td>
<td>$63,001,828</td>
<td>80.26%</td>
</tr>
<tr>
<td>Equipment</td>
<td>$1,000,790</td>
<td>1.27%</td>
</tr>
<tr>
<td>Artwork</td>
<td>$195,000</td>
<td>0.25%</td>
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<tr>
<td>Other costs</td>
<td>$2,751,986</td>
<td>3.51%</td>
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<tr>
<td>Project Management</td>
<td>$3,320,000</td>
<td>4.23%</td>
</tr>
<tr>
<td>Other</td>
<td>$7,267,776</td>
<td>9.26%</td>
</tr>
<tr>
<td>Total Project Cost (TPC)*</td>
<td>$78,500,000</td>
<td>100.00%</td>
</tr>
</tbody>
</table>

* Escalated to construction midpoint (Nov’10)

### Source of Funds

<table>
<thead>
<tr>
<th>Source of Funds</th>
<th>Amount</th>
<th>% of Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>State Funds</td>
<td>$62,500,000</td>
<td>79.62%</td>
</tr>
<tr>
<td>University of Washington Funds</td>
<td>$16,000,000</td>
<td>20.38%</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>$78,500,000</strong></td>
<td><strong>100.00%</strong></td>
</tr>
</tbody>
</table>
VII. STANDING COMMITTEE

B. Finance, Audit, and Facilities Committee

Capital Projects Office Semi-Annual Status Report

For information only.

Slides will be presented at the meeting.
VII. STANDING COMMITTEE

B. Finance, Audit and Facilities Committee

Internal Lending Program Quarterly Report

For information only.
Virtually all municipal bond issues have been delayed until liquidity returns to the market.

Investor demand for both long and short term municipal debt fell drastically as investors moved funds into federal government securities. Commercial paper market is still suffering from a lack of liquidity. Very little commercial paper is being currently issued.

As institutional investors retreat to the sidelines, retail investors represent a larger share of the few transactions that are being completed.

Over the last three weeks, both short and long term interest rates have experienced significant volatility. If the UW were able to borrow today, the all-in interest rate would be about 5.5%.

(dollars in millions)

**Interest Rates**

- UW Wtd. Avg Cost of Funds
- UW Long Term Borrowing Rate
- UW Short Term Borrowing Rate

**Cash Position**

- Beginning Balance: $42.9
- Internal Payments: 12.5
- External Debt Service: (7.5)
- Expenses: (1.2)
- End Balance: $46.7

**External Borrowing**

<table>
<thead>
<tr>
<th>Debt Issued To Fund ILP</th>
<th>Issued FY 09</th>
<th>Total Outstanding</th>
<th>Wtd. Avg. Rate</th>
<th>Wtd. Avg. Maturity (yrs)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Commercial Paper</td>
<td>$7</td>
<td>$10</td>
<td>1.6%</td>
<td>0.2</td>
</tr>
<tr>
<td>Variable Rate</td>
<td>0</td>
<td>0</td>
<td>n/a</td>
<td>n/a</td>
</tr>
<tr>
<td>Fixed Rate</td>
<td>0</td>
<td>561</td>
<td>4.7%</td>
<td>14.1</td>
</tr>
<tr>
<td><strong>TOTAL</strong></td>
<td><strong>$7</strong></td>
<td><strong>$571</strong></td>
<td><strong>4.6%</strong></td>
<td><strong>13.8</strong></td>
</tr>
</tbody>
</table>

| Non - ILP Debt          | 0            | 392               | 5.1%           | 13.1                    |
| **TOTAL DEBT**          | **$7**       | **$963**          | **4.8%**       | **13.5**                |

**NOTE:** FY09 Authorized Debt Remaining $90M of $100M

**Internal Lending**

- Approved Funding
  - Internal Loans
  - Cash
- Total Project Budget: $342

- Funded to Date
  - Internal Loans
  - Cash
- Total Funded to Date: $18
# Internal Lending Program - Approved Funding

<table>
<thead>
<tr>
<th>Project Name</th>
<th>Date Authorized</th>
<th>Debt</th>
<th>Cash</th>
<th>Total Project Budget</th>
</tr>
</thead>
<tbody>
<tr>
<td>4545 ESCO</td>
<td>Feb-08 *</td>
<td>4</td>
<td>0</td>
<td>4</td>
</tr>
<tr>
<td>West Campus Garage</td>
<td>Oct-07</td>
<td>3</td>
<td>15</td>
<td>18</td>
</tr>
<tr>
<td>UWM Expansion</td>
<td>Feb-08</td>
<td>156</td>
<td>10</td>
<td>166</td>
</tr>
<tr>
<td>Pediatric Dentistry</td>
<td>Mar-08</td>
<td>11</td>
<td>6</td>
<td>17</td>
</tr>
<tr>
<td>Tower Improvements</td>
<td>Mar-08</td>
<td>13</td>
<td>0</td>
<td>13</td>
</tr>
<tr>
<td>AAALAC Projects</td>
<td>Mar-08</td>
<td>25</td>
<td>3</td>
<td>28</td>
</tr>
<tr>
<td>PACCAR Business School</td>
<td>Jul-08</td>
<td>30</td>
<td>65</td>
<td>95</td>
</tr>
<tr>
<td>J-Wing</td>
<td>Sep-08</td>
<td>16</td>
<td>8</td>
<td>24</td>
</tr>
</tbody>
</table>

| Total                 | 258             | 107  | 365  |

* NOTE: Approved under delegated authority.

---

## Project Funding By Source

### FY 2009

- **Total Debt Authorized for FY 09**: $100 MM
- **Remaining Budget**
- **Debt**
- **Cash**

 timelines:
- **Jun-08**
- **Sep-08**
- **Dec-08**
- **Mar-09**
- **Jun-09**
VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

UWINCO Update

See attached information.
Consolidated Endowment Fund\(^1\) Asset Allocation

$2,087 Million as of August 31, 2008

<table>
<thead>
<tr>
<th>Category</th>
<th>Current Allocation (^2)</th>
<th>Policy Target</th>
<th>Policy Range</th>
</tr>
</thead>
<tbody>
<tr>
<td>Non-Marketable Alternatives</td>
<td>$293 14%</td>
<td>12%</td>
<td>5%–25%</td>
</tr>
<tr>
<td>International Emerging Markets</td>
<td>$240 12%</td>
<td>13%</td>
<td>5%–35%</td>
</tr>
<tr>
<td>International Developed Markets</td>
<td>$354 17%</td>
<td>16%</td>
<td>5%–35%</td>
</tr>
<tr>
<td>Domestic Equity</td>
<td>$325 16%</td>
<td>15%</td>
<td>5%–35%</td>
</tr>
<tr>
<td>Equity Fund</td>
<td>$1,213 58%</td>
<td>56%</td>
<td>45%–75%</td>
</tr>
<tr>
<td>Real Assets Fund</td>
<td>$293 14%</td>
<td>15%</td>
<td>5%–25%</td>
</tr>
<tr>
<td>Absolute Return</td>
<td>$339 16%</td>
<td>18%</td>
<td>5%–25%</td>
</tr>
<tr>
<td>Fixed Income Fund(^3)</td>
<td>$243 12%</td>
<td>11%</td>
<td>5%–35%</td>
</tr>
<tr>
<td><strong>Total Consolidated Endowment Fund</strong></td>
<td><strong>$2,087 100%</strong></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

1. At 6/30/08 international exposure: 43%, foreign currency exposure: 41%
2. Current exposures may not add to 100% due to rounding
3. Includes allocation to cash
## Consolidated Endowment Fund Performance
August 31, 2008

<table>
<thead>
<tr>
<th>Fund Type</th>
<th>1 Year</th>
<th>3 Year</th>
<th>5 Year</th>
<th>10 Year</th>
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</thead>
<tbody>
<tr>
<td><strong>Total CEF Return</strong></td>
<td>-2.9</td>
<td>10.9</td>
<td>12.9</td>
<td>10.6</td>
</tr>
<tr>
<td>Policy Benchmark</td>
<td>-1.1</td>
<td>9.7</td>
<td>11.6</td>
<td>8.9</td>
</tr>
<tr>
<td><strong>Equity Fund</strong></td>
<td>-5.3</td>
<td>11.5</td>
<td>13.7</td>
<td>11.2</td>
</tr>
<tr>
<td>Policy Benchmark</td>
<td>-5.7</td>
<td>10.1</td>
<td>12.2</td>
<td>8.9</td>
</tr>
<tr>
<td><strong>Real Assets Fund</strong></td>
<td>6.6</td>
<td>11.8</td>
<td>15.4</td>
<td>NA</td>
</tr>
<tr>
<td>Policy Benchmark</td>
<td>14.6</td>
<td>9.3</td>
<td>14.8</td>
<td>NA</td>
</tr>
<tr>
<td><strong>Absolute Return</strong></td>
<td>NA</td>
<td>NA</td>
<td>NA</td>
<td>NA</td>
</tr>
<tr>
<td>Policy Benchmark</td>
<td>NA</td>
<td>NA</td>
<td>NA</td>
<td>NA</td>
</tr>
<tr>
<td><strong>Fixed Income Fund</strong></td>
<td>2.8</td>
<td>4.3</td>
<td>4.7</td>
<td>5.5</td>
</tr>
<tr>
<td>Policy Benchmark</td>
<td>8.1</td>
<td>5.0</td>
<td>4.9</td>
<td>5.6</td>
</tr>
</tbody>
</table>

1 New policy benchmark effective July 1, 2008
2 Includes Absolute Return ( Marketable Alternatives) prior to July 1, 2008
RECOMMENDED ACTION:

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the President be delegated authority to sign an Agreement for Pre-Development services for the Husky Stadium Renovation and Football Operations Building with the Selected Developer, Hines Interests Limited Partnership, subject to the successful negotiation of the agreement.

In the event of an unsuccessful negotiation with the Selected Developer, it is requested that authority be delegated to open negotiations and sign a pre-development contract with Nitze-Stagen & Co., Inc., the firm recommended as the alternate.

BACKGROUND:

In March 2005, the firm of HOK Sport Architects was awarded a design contract to undertake a comprehensive plan for the East Campus and to define and study specific projects including Husky Stadium. The ICA Master Plan was completed in October 2006. Included in this Plan was a master plan design for the renovation of Husky Stadium to correct deficiencies, meet current Americans with Disabilities Act (ADA) standards for accessibility and to upgrade the fan experience.

The general work elements of the recommended Husky Stadium master plan include: replace the lower seating bowl; remove and relocate the track; lower the
B. Finance, Audit and Facilities Committee

Husky Stadium Renovation – Approval of Pre-Development Contract (continued p. 2)

football field about eight feet and add additional seating; renovate and update the south stands and concourse including concessions and toilet facilities; renovate the north concourse including concessions and toilet facilities; replace the west end bowl, closer to the football field, with new seating, concourse, concessions and toilets; replace the press box; upgrade site utilities; upgrade stadium services including utilities, support space, sound system, elevators and seismic.

The design team refined the Husky Stadium conceptual design and provided order of magnitude cost estimates for the proposed work. The need to provide an optimal training and learning environment to maximize the student athletes’ time as well as assist in recruiting for football was identified and resulted in the recommendation for a Football Operations Support Building. The conceptual design proposed a building of approximately 70,000 gross square feet that should be located either adjacent to or integral with the Stadium. This building would contain weight training, equipment, lockers, video support, meeting and congregating areas, coaches’ offices, public spaces and football displays and other football team-related services. The conceptual design project cost estimate, assuming starting construction in December 2009, was $250 million for the Stadium Renovation and $50 million for the Football Operations Support Building. The University has proposed funding this project with one half State funding for the renovation, seismic and ADA work, and one half private funding for Stadium upgrades and football building from donations and seat revenues.

During this period, Sound Transit was finalizing the plans for the Husky Stadium Station. This station is to be located immediately west of Husky Stadium, with construction to start in the fall of 2009, take 5.5 years until completion and use up to six acres for the construction lay down area. Recognizing the magnitude of impact this will have with the Stadium construction, as well as the events planned in the Stadium, the Stadium Committee recommended that the University adopt specialized contracting methods to protect the interests of the University. Their recommendation was to engage a team composed of a developer, architect and contractor to deliver the Stadium project in the quickest and most economical manner as well as have all members of the design and construction team available early on to most effectively coordinate with the Sound Transit work.

The President signed an emergency declaration in January 2008 to establish rules for these contracting regulations. A Request for Proposals (RFP) was issued to select a development team with the intent to start construction at the conclusion of the 2008 football season. The 2008 State Legislature did not allocate funding for this work and the emergency declaration was rescinded. The RFP was put on hold in March 2008 pending permanent rules being finalized in July 2008. With
B. Finance, Audit and Facilities Committee

Husky Stadium Renovation – Approval of Pre-Development Contract (continued p. 3)

adoption of these rules, the RFP was re-started July 18, 2008. On September 4, 2008, two teams submitted proposals: Hines Interests Limited Partnership, Mortenson Construction, HOK Sport Architects and Magnusson Klemencic Associates; and Nitze-Stagen & Co. Inc., Turner Construction and Ellerbe Becket Architects. Both teams were interviewed on October 2, and the recommendation of the Selection Committee is that the team of Hines Interests Limited Partnership be the Selected Developer.

Hines will provide management, leadership, and serve as the developer. Their projects include the University of Minnesota’s TCF Bank Stadium, the Padres’ Petco Park, and the Houston Rockets’ Toyota Center. Mortenson will provide construction services as general contractor and is currently working with the other team members in the University of Minnesota’s TCF Bank Stadium. Mortenson has performed more than $3 billion worth of commercial construction in the Puget Sound area. HOK Sport, which will be the lead designer, is a firm with more than 25 years of experience and 30 NCAA Division football stadiums. Magnusson Klemencic Associates (MKA) will provide structural engineering. MKA has provided engineering services to the University of Washington Athletic department for more than 30 years.

The budgeted amount for the total Pre-Development Agreement is $3 million. Phase 1, to be completed January 15, 2009 is $1 million; and Phase 2, to be completed May 15, 2009 is $2 million. At the completion of Phase 2, the intent will be to negotiate the Development Agreement for the project. Funding for the Pre-Development will be from Intercollegiate Athletics funds.
### Operating Income and Expenses for the Past Three Years

<table>
<thead>
<tr>
<th></th>
<th>Audited Actuals</th>
<th>Projection</th>
<th>Projection</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Operating Income</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Gate Revenues</td>
<td>$18,144 k</td>
<td>$23,830 k</td>
<td>$22,796 k</td>
</tr>
<tr>
<td>Contributions (except for facilities)</td>
<td>17,389</td>
<td>11,466</td>
<td>11,697</td>
</tr>
<tr>
<td>NCAA and Conference Distributions</td>
<td>8,423</td>
<td>9,677</td>
<td>9,731</td>
</tr>
<tr>
<td>Multimedia Rights (radio, scoreboards, local TV etc.)</td>
<td>4,776</td>
<td>5,425</td>
<td>5,384</td>
</tr>
<tr>
<td>Other Sponsorships, Donated Advertising and Supplies</td>
<td>3,447</td>
<td>3,555</td>
<td>3,565</td>
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<td>State Funded Tuition Waivers</td>
<td>1,704</td>
<td>1,850</td>
<td>1,979</td>
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<tr>
<td>Concessions / Souvenirs / Parking / Boat Moorage</td>
<td>1,105</td>
<td>1,305</td>
<td>1,335</td>
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<tr>
<td>Investment Income</td>
<td>4,550</td>
<td>1,800</td>
<td>3,150</td>
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<tr>
<td>Other Revenue</td>
<td>1,388</td>
<td>837</td>
<td>1,121</td>
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<td><strong>Total Operating Revenues</strong></td>
<td><strong>$60,926 k</strong></td>
<td><strong>$59,745 k</strong></td>
<td><strong>$60,758 k</strong></td>
</tr>
</tbody>
</table>

| **Operating Expenses** |            |            |            |
| Salaries / Benefits   | $20,902 k  | $23,515 k  | $24,522 k  |
| Financial Aid         | 7,262       | 8,044      | 8,566      |
| Team and Administrative Travel | 4,754      | 4,385      | 4,163      |
| Day of Game           | 3,262       | 3,750      | 3,783      |
| Guarantees Paid to Visiting Schools | 2,371      | 3,487      | 2,111      |
| Donated Advertising and Supplies | 2,389      | 2,470      | 2,518      |
| Supplies / Equipment  | 1,805       | 1,825      | 1,882      |
| Institutional Overhead / Utilities / Maintenance | 3,935      | 4,365      | 4,598      |
| Other Expenses        | 5,413       | 5,574      | 5,962      |
| **Total Operating Expenses** | **$52,093 k** | **$57,415 k** | **$58,105 k** |

**Net Increase (Decrease) from Operations**

|                      | $8,833 k     | $2,330 k   | $2,653 k   |

*1 Detail of other expenses for 2008-2009 on page three.
### Operating Income and Expenses for the Past Three Years

<table>
<thead>
<tr>
<th></th>
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<tr>
<td><strong>Beginning Fund Balance</strong></td>
<td>$16,378 k</td>
<td>$12,867 k</td>
<td>$14,069 k</td>
<td>$11,143 k</td>
<td>$16,781 k</td>
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<tr>
<td><strong>Sources</strong></td>
<td></td>
<td></td>
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<td></td>
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<tr>
<td>Net Increase (Decrease) from Operations</td>
<td>$2,653 k</td>
<td>$2,040 k</td>
<td>$412 k</td>
<td>$9,076 k</td>
<td>($422) k</td>
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<td>Contributions for facilities (outstanding pledges)</td>
<td>1,200</td>
<td>500</td>
<td>200</td>
<td>100</td>
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<td>Building for Excellence Fund (capital portion)</td>
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<td><strong>Total Sources of Funds</strong></td>
<td>$6,028 k</td>
<td>$4,740 k</td>
<td>$612 k</td>
<td>$9,176 k</td>
<td>($422) k</td>
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<tr>
<td><strong>Uses</strong></td>
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<td></td>
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<td></td>
<td></td>
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<tr>
<td>Capital Projects</td>
<td>$8,400 k</td>
<td>$2,400 k</td>
<td>$2,400 k</td>
<td>$2,400 k</td>
<td>$2,400 k</td>
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<tr>
<td>Debt Service for Dempsey Indoor</td>
<td>1,139</td>
<td>1,138</td>
<td>1,138</td>
<td>1,138</td>
<td>1,138</td>
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<tr>
<td><strong>Total Uses of Funds</strong></td>
<td>$9,539 k</td>
<td>$3,538 k</td>
<td>$3,538 k</td>
<td>$3,538 k</td>
<td>$3,538 k</td>
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<tr>
<td><strong>Net Increase (Decrease) to Fund Balance</strong></td>
<td>($3,511) k</td>
<td>$1,202 k</td>
<td>($2,926) k</td>
<td>$5,638 k</td>
<td>($3,960) k</td>
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<tr>
<td><strong>Ending Fund Balance</strong></td>
<td>$12,867 k</td>
<td>$14,069 k</td>
<td>$11,143 k</td>
<td>$16,781 k</td>
<td>$12,821 k</td>
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### Capital Obligations

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<tbody>
<tr>
<td>Principal Balance on Bonds for Dempsey Indoor</td>
<td>$5,690 k</td>
<td>$4,655 k</td>
<td>$3,575 k</td>
<td>$2,440 k</td>
<td>$1,250 k</td>
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<tr>
<td><strong>Total Capital Obligations</strong></td>
<td>$5,690 k</td>
<td>$4,655 k</td>
<td>$3,575 k</td>
<td>$2,440 k</td>
<td>$1,250 k</td>
</tr>
</tbody>
</table>
VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

In Joint Session with

B. Finance, Audit and Facilities Committee

University of Washington Tacoma Master Plan Update

INFORMATION

An update to the 2003 UW Tacoma Master Plan has been prepared in response to program changes underway. The campus has transitioned from an upper-division and graduate campus to a full, four-year university. As a result, changes in programs are necessary to accommodate freshmen and sophomores. This includes planning for additions to academic programs, student services, recreation and student housing not included to as great an extent in the 2003 UW Tacoma Master Plan.

Presentations have been made to the City of Tacoma, Planning Commission and City Council. Both the Commission and the City Council have acknowledged receipt and review of the UW Tacoma Master Plan.

BACKGROUND:

In 1993, the University of Washington Tacoma’s (UWT) first Campus Master Plan was completed and set the initial vision for a new higher education campus located in the Warehouse District of downtown Tacoma. This location positioned UWT to be an active participant in the development of a vital urban district.

Two subsequent major construction phases created the campus’ current learning spaces, faculty and staff offices, and university library to support the University’s mission to provide upper level degree programs to a population of 2,000 student FTEs. Anticipating steady growth of the campus, the University then completed a 2003 Master Plan that further developed the framework of the campus and corresponding architectural and landscape guidelines.

UWT continues to expand its program and services. In the Fall of 2006, UWT began to enroll freshmen and sophomores with requests from the student body to provide housing. With UWT’s transition from an upper division and graduate commuter campus to a four-year full service university with student housing, an update to the 2003 Master Plan was required. This includes an update to the long term plan as well as articulation of the next phases of development specific to meeting the new mission.
VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

*In Joint Session with*

B. Finance, Audit and Facilities Committee

University of Washington Tacoma Master Plan Update (continued p. 2)

The major goals of the 2008 Campus Master Plan Update are to enhance the urban character of the existing campus and provide opportunities to strengthen a sense of community as a four-year, residential institution by providing:

- A central open space (the ‘heart’ of campus) and various smaller green spaces throughout the campus.
- Pedestrian connections up the hill.
- An integration of uses (between residential, student life, and academics) that will accommodate 10,000 FTEs.
  - The full build-out of the campus within the campus boundaries will accommodate 10,000 FTE’s with an average height of 4 stories.
  - Housing facilities will accommodate approximately 12% of the student population.
- A pathway aligned with a view of Mt. Rainier leading into the campus from the corner of 17th Street and Tacoma Avenue.
- Market Street remains open through the campus, and 19th Street between Market and Fawcett is closed to vehicular traffic.
- Careful consideration of accessibility in north-south walkways and east-west access.
- Opportunities for retail and private development, to include potential partnerships, are also identified.

In conjunction with this master plan, an infrastructure master plan is also being developed with a focus on identifying strategies for centralized or decentralized service, infrastructure updates as the campus grows, and sustainable strategies for energy, carbon, and water. The master plan integrates many of these strategies such as filtering stormwater with rain gardens and developing sustainability guidelines for buildings.

**Guiding Principles of the UW Tacoma Campus Master Plan**

To create a plan for a physical campus environment which supports the institution’s mission, vision, values, and future needs, the Campus Master Plan’s guiding principles use the three visionary principles and four core values from the UW Tacoma Strategic Plan as the framework.
VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

In Joint Session with

B. Finance, Audit and Facilities Committee

University of Washington Tacoma Master Plan Update (continued p. 3)

Vision

Within the next ten years, UW Tacoma will become a more comprehensive institution that will respond with distinction to the needs of the region, state, nation and the world. UW Tacoma will achieve distinctiveness as an urban campus of the University of Washington through its commitment to three principles:

- **Access to an exceptional university education**;
- **An interdisciplinary approach to knowledge and discovery in the 21st century**;
- **A strong and mutually supportive relationship between the campus and its surrounding communities**.

As the campus grows, UW Tacoma will strengthen its learning culture, research, institutional structures, and academic and co-curricular programs necessary to embody these three commitments and to uphold the standards of excellence, shared governance and academic freedom that are hallmarks of the University of Washington. It will also develop and implement assessment plans that serve as measurable benchmarks for institutional progress.

The core values of the institution—Excellence, Community, Diversity and Innovation—will shape the specific goals and methods UW Tacoma chooses to address these commitments and build its distinction as a campus.

Values

Our fundamental purpose is to educate students for life as global citizens. UW Tacoma is a distinctive expression of the University of Washington that provides access to an exceptional education for citizens who choose to live and learn in the South Puget Sound region.

We recognize that an excellent education connects knowledge across disciplines. At UW Tacoma, excellence is founded on integrity, dedication and collaboration. We believe that learning is a vehicle that advances students toward fulfilling lives and meaningful careers.

Our community of learners is strengthened by a diversity of voices. Listening to the UW Tacoma community, we have identified four core values that guide us: Excellence, Community, Diversity and Innovation. We share these values and strive to live them.

I. Enhance and Develop the Campus

A. Create a Unique Sense of Place and Identity

Create an aesthetic quality appropriate to the campus as a whole. In response to enrollment growth, the campus should retain and improve the character of the existing campus, open spaces, and views, while developing new facilities and outdoor spaces to support anticipated future needs for programs, activities, services, and gathering. Identify the elements and character of the
VII.  STANDING COMMITTEES

A.  Academic and Student Affairs Committee

In Joint Session with

B.  Finance, Audit and Facilities Committee

University of Washington Tacoma Master Plan Update (continued p. 4)

extisting buildings that should be expressed throughout campus as it grows. Design beautiful buildings and landscapes that consider and embody state of the art campus and urban planning as well as architectural best practices in an historic, multi-use district.

B.  Develop Quality Facilities

Provide facilities that inspire, function well, and are flexible and efficient. Develop facilities, outdoor spaces, and infrastructure that are flexible, adaptable, enduring and responsive to technological advancements

C.  Respect Its Stature

Honor the stature of the University of Washington and the historic structure of downtown Tacoma through a harmonious marriage of environmental design, planning, spaces, and form with the surrounding community.

D.  Ensure Stewardship

Ensure stewardship of the existing campus, maintaining and protecting the value of UW Tacoma’s physical resources, character, history, and open space. Changes to the campus should improve and enhance the value and quality of the campus, encouraging preservation of historic resources while allowing for the development of new forms and styles of building ultimately creating a rich, multi-faceted, inspiring learning environment.

E.  Cultivate Funding

The development of a compelling, comprehensive, well-conceived, inspiring plan is essential to the cultivation of funding. Support communication of current needs, as well as long term plans tied to student enrollments and projections and regional economic and workforce benefits to stakeholders and funding decision-makers. Explore opportunities for public-private partnerships particularly for buildings and spaces that lend themselves to such ventures such as student union, performing arts, library, or sports and recreation facilities. Recognize that a growing campus presents many opportunities for the naming of buildings, interior features, objects, spaces, or outdoor areas in recognition of individuals or organizations who are the University’s benefactors.
VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

In Joint Session with

B. Finance, Audit and Facilities Committee

University of Washington Tacoma Master Plan Update (continued p. 5)

F. Communicate Resources
   Provide a variety of communication tools and methods (maps, wayfinding, signage) to inform, orient and direct diverse users of campus resources as well as the casual visitor.

G. Respect and Conserve the Environment
   Value the environment and strive to promote sustainability through the conservation of existing buildings, adaptive reuse and design of new resource-efficient structures, and the operations and practices implemented throughout the campus. Strive for natural light in buildings. Become a model and learning laboratory of sustainability.

H. Include Public Art and Sculpture
   Provide sources of inspiration for students, faculty, staff and the community.

II. Provide Access to an Exceptional University Education

A. Create a Model Environment of Innovation
   Develop a learning laboratory of sustainability; represent an outstanding example of conserving historic buildings and adapting them to contemporary use. Provide new buildings to facilitate and support innovations in learning, research and service to the community. Innovation can be realized through an environment which is flexible, economically open to change, and responsive to new directions in learning and the nature of new disciplines.

B. Develop Interactive Learning Spaces
   Provide collaborative, flexible, multi-functional classrooms and other learning spaces of varying capacities capable of supporting a variety of pedagogical approaches to teaching and learning.

C. Provide Accessibility
   Ensure access to and within the campus, maximize appropriate vehicular travel, emphasize universal access pedestrian routes, and promote the design of environments usable by all people to the greatest extent possible.
VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

In Joint Session with

B. Finance, Audit and Facilities Committee

University of Washington Tacoma Master Plan Update (continued p. 6)

D. Promote Safety
Create a safe and healthy environment, with personal and workplace safety considerations integral to planning and design of circulation elements, buildings, and open spaces. Employ design elements that support emergency response/preparedness activities; provide service access that does not conflict with pedestrian traffic.

E. Encourage Efficiency
Encourage efficiency and economy in campus operations, with advantageous locations for facilities and adjacencies of uses.

F. Maximize Flexibility
Provide the maximum amount of flexibility in order to best accommodate future growth and be able to respond to and take advantage of unforeseen opportunities.

G. Anticipate Trends in Technology
Develop the campus that over time will remain at the cutting edge. Incorporate infrastructure that is flexible and adaptable.

III. Connect Knowledge Across Disciplines

A. Activate the Campus
Support the transition to a full-service, 4-year university, with the development of a 24-7 campus environment inclusive of student housing, student services, activities, recreation, and culture. Ensure spaces that promote active and passive activities which are safe.

B. Create a Live/Learn Community
Create a live/learn community through the integration of academics with student life, housing, recreation, and culture. Support learning, sharing, and discovery that occur within and beyond the classroom. Prepare students for participation in a global economy.
VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

In Joint Session with

B. Finance, Audit and Facilities Committee

University of Washington Tacoma Master Plan Update (continued p. 7)

C. Provide Adjacencies that Promote Collaboration and Interaction
   Maximize opportunities for interaction between students, faculty, staff, and
   the neighboring community. Create a level of density and proximity of
   disciplines that overlaps and blurs boundaries.

IV. Create Bonds with the Community

A. Value the Community
   Recognize the importance of the surrounding communities, and strive to
   achieve synergistic working relationships with these communities. Promote
   community access and participation that is diverse to improve the quality of
   life and public benefits for all.

B. Develop Community Interaction
   Encourage and support the development of student, faculty and staff housing
   in the adjacent community. Subscribe to work-study relationships and
   possible development of adjacent business incubator space. Provide learning
   opportunities, cultural activities, and recreational activities on campus that are
   accessible to the community. Support appropriate commercial activity.
   Encourage collaborative ventures with the community through public-private
   partnerships as one option for the funding of facilities.

C. Support Public Circulation through the Campus
   Support the pedestrian, bike and wheelchair access along and through the
   railroad right-of-way. Continue to support improvements to public
   transportation and maintain porous campus boundaries.

V. Support Diversity

A. Grow a Diverse Student, Faculty and Staff Community
   The UW student body includes a significantly diverse community,
   representative of different ethnic groups, cultures, ages, and family structures.
   Provide a wide variety of services to support the needs of these students.

B. Celebrate and Enhance Diversity
   Identify locations for activities, exhibits, memorials, art, and other cultural
   activities representing a diversity in form and tradition. The campus should
VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

In Joint Session with

B. Finance, Audit and Facilities Committee

University of Washington Tacoma Master Plan Update (continued p. 8)

express the nature of a dynamic institution of higher learning serving a diverse student body in an urban environment.

UW TACOMA SEPA REVIEW:

The University published a Draft Supplemental Environmental Impact Statement on December 12, 1994 and a Final Environmental Impact Statement was issued on April 28, 1995.

The current version of the Tacoma Master Plan will be reviewed and analyzed to determine if the University can rely on the existing environmental documentation or provide supplemental environmental information and analysis.
UW Tacoma Master Plan Update - A 21st Century Campus

Sustainability          Urban Campus          Flexibility
UW Tacoma Master Plan Update

- The Master Plan Update was developed based upon the feedback we have received from information gathering sessions with the campus and neighboring community.

- The major goals of the Master Plan Update are to enhance the urban character of the existing campus and provide opportunities to strengthen a sense of community as a four year residential institution by providing:
  - A central open space (a ‘heart’ of campus) and various smaller green spaces throughout the campus
  - Pedestrian connections up the hill
  - An integration of uses (between residential, student life, and academics) that will accommodate 10,000 – 12,000 FTEs
    - The full build-out of the campus will accommodate 10,000 FTEs with an average height of 4 stories
    - The housing facilities will accommodate approximately 12% of the student population

- A pathway aligned with a view of Mt. Rainier leads into the campus from the corner of 17th St. and Tacoma Ave.

- Market Street remains open through the campus, and 19th Street between Market and Fawcett is closed to vehicular traffic.

- Careful consideration for accessibility will be included in north-south walkways and east-west access through buildings by elevator.

- Opportunities for retail and private development are also identified.

- The Infrastructure Master Plan will inform opportunities to promote sustainability on the campus such as collecting and filtering stormwater with rain gardens.
## Academic, Student Life & Support

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<tr>
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<th>Existing + Phase 3</th>
<th>5,000 FTEs</th>
<th>10,000 FTEs</th>
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<td>Total Headcount</td>
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<td>6,320</td>
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<td><strong>Total FTEs</strong></td>
<td>2,173</td>
<td>5,138</td>
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<tr>
<td>Undergraduates</td>
<td>1,817</td>
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<td>Graduates</td>
<td>356</td>
<td>925</td>
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<td><strong>Square Foot Estimates by Space Type</strong></td>
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<td>Academic</td>
<td>97,200</td>
<td>189,400</td>
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<td>Faculty &amp; Staff Office</td>
<td>102,400</td>
<td>120,600</td>
<td>234,600</td>
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<td>Library/Study</td>
<td>25,700</td>
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<td><strong>Total Square Footage Estimate (ASF)</strong></td>
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<td><strong>Total Square Foot Estimate (GSF)</strong></td>
<td>482,200</td>
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<td>1,698,200</td>
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## Residential Program

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<th>Number of students living on-campus</th>
<th>648</th>
<th>1,261</th>
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<tr>
<td>(12.5% of total Undergraduate Headcount)</td>
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<td><strong>Total Residential ASF</strong></td>
<td>191,000</td>
<td>372,000</td>
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<tr>
<td><strong>Building Efficiency</strong></td>
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<tr>
<td><strong>Total Residential GSF</strong></td>
<td>273,000</td>
<td>531,000</td>
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</table>
Street Sections

Prairie Trail Section
BNSF Railroad ROW

Market Street Section

Stormwater Flow Diagram

Legend

- Cleansing swales at street level
- Opportunities for expressing stormwater
- Shallow pipes under pedestrian right-of-way

*Stormwater flow includes available “off-site” stormwater, captured and reused at the intersection of S. 19th Street and Tacoma Avenue.

This location could provide 15 million gallons of stormwater per year.
Water Balance Diagram - Centralized stormwater and greywater reuse

Legend
- Grey water from flow fixtures
- Stormwater from roofs
- Pipe return

Storage: 8650
- Only (1) pump: $55k
- 4 pumps: $60k
- 5000 LF supply pipe: $300k
- 5000 LF return pipe: $500k
- $1.5M total

Purple Pipe Return
Grey Water from Flow Fixtures
Stormwater from Roofs

Notes:
1. Alternate purple pipe return in utilidor

Pump, see note 1
10,000 CU FT for 2 day tank - 64% 300 LF

Storage to handle entire campus flush fixture demand
10,000 CU FT for campus monthly irrigation
Campus Operating Costs

Campus Square Foot Growth, 520%
Campus Operating Cost Growth, only 210%
Campus Condenser Water Loop Option

Remove Heat

- Cooling Tower

Building draws heat or cooling as needed from loop.

Add Heat

- Geothermal
- BioFuels
- Sewer Pipe HX
- Solar HW

Campus Condenser Water Loop
Campus Carbon Neutral Strategy

- Efficiency
- 5% Photovoltaic
- 10% Solar Thermal
- 10% Wind
- 20% Geothermal
- 40% Green Power
- 15% Biofuels
- Grid Power and Natural Gas
VII. STANDING COMMITTEES

A. Academic & Student Affairs

In Joint Session With

B. Finance, Audit and Facilities Committee

UW Technology Transfer

See attached report.
UW Technology Transfer

Report to the Regents

Linden Rhoads
Vice Provost – UW TechTransfer
October 2008
Traditional TT Practice

Service bureau reacting to disclosures

- Many mandates/stakeholders
  - Revenue
  - Industry relations
  - Service to researchers
  - Impact

- Distraction from outbound licensing
  - Compliance/risk management
  - Anything IP-related
Traditional metrics FY03-FY07

- Research $ (1B/yr)
- Disclosures
- Licenses
- Licenses w/$
- Revenue
- Start ups

UW TechTransfer
Modern Practice = BizDev Office

World-class commercialization support for our researchers

Take initiative – upstream involvement

- Recruitment & retention
- Strategic plans for research projects
- Engage industry early and often – people and ideas as well as dollars

Guided invention
Execution Plan

- Support UW entrepreneurs
  - Key hires to truly staff UW LaunchPad
  - Entrepreneurs in residence (E.I.R.s)
  - Market research by MBA students

- Early evaluation of technologies
  - Industry advisors

- Strategic thrusts
  - Research commons
  - Invest in IP
  - Develop GAP funding resources/programs
New UWTT Advisors

Rich Barton, CEO Zillow, Benchmark Capital Venture Partner
Brian Bershad, Fremont Google Office
Erik Blachford, CEO Terrapass, former CEO Expedia
Jeff Blackburn, SVP Business Development Amazon.com
Sam Brasch, Frazier Healthcare Ventures
Bill Bryant, Draper Fisher Jurvetson Venture Partner
Jon DeVaan, SVP Windows Platform, Microsoft Corporation
Russ Daggatt, Denny Blaine Capital, former CEO Teledesic
Nick Hanauer, Second Avenue Partners, founder aQuantive
John Harris, CEO NeuroBionics, founder Heartstream
Vaho Rebasso, Boeing Information Technology CTO
Metrics for our new mission

- Prospective faculty/grad students meeting with UWTT
- Researcher participation in guided invention sessions
- Researchers (new/veteran) working with LaunchPad
- UW-originating startups
- Venture investment in UW startups over time/years out
- Private equity: held/realized proceeds
- Venture capital firms backing UW startups
- UWTT speculative investment in IP (patent budget)
- % of innovations and patents that are licensed over 5 year period from speculative investment
The Gap in funding for dissemination

**Product Innovation Process**
(Environment, Electronics, Autos, Utilities…)

Adapted in part from John Preston 1993 Testimony before U.S. Subcommittee on Space, Science & Technology
Sources for GAP/early funding

- TGIF (WRF + UW)
- New WRF programs
- Coulter Foundation Funds/Endowment
- WSIB Bridge fund
- Accelerator?
- IT Accelerator?
- Another foundation?
UWTT Operations

- 50 employees - many with high demand advanced technical degrees, legal and business skills
- Annual budget of $9.6M
  - $4.4M from operations
  - $6.0M+ from WRF sunsets in 2014
  - $3.0M+ on IP – 50% is “speculative”
UWTT Needs

- 10 year commitment to our plan/budget
- Support for gap fund initiatives
- New IT infrastructure
- Investment in strategic IP assets
- Promotion of TT function (recruitment tool)
- Industry coordination, advisors, E.I.R.s
Contact Information

Linden Rhoads
UW Vice Provost – TechTransfer
lrhoads@u.washington.edu
206-543-0905
VII. STANDING COMMITTEES

A. Academic & Student Affairs
   
   In Joint Session With

B. Finance, Audit and Facilities Committee

UW Medicine Board Financial Report

See attached information.
OVERVIEW AND EXECUTIVE SUMMARY

UW Medicine financial results in FY 2008 remain stable. The clinical programs recorded continued growth and positive financial performance. Research funding in UW Medicine increased despite the flat growth in the NIH budget nationally. State support improved as a result of the FY 2007-09 budget that provided $6.3 million for the Department of Global Health and the Institute for Health Metrics and Evaluation, $3.8 million for the WWAMI expansion in Spokane, and capital funds to expand and improve School of Medicine classrooms and teaching space to accommodate the expanded medical student class size.

Key financial highlights for FY 2008 include:

- The balance sheet for UW Medicine’s clinical programs remains stable. Cash increased, but remains the weak spot on the balance sheet. Debt ratios, however, remain very strong due to the relatively low level of debt at the medical centers.

- Harborview completed construction of the Maleng Inpatient Building, adding 50 beds and 8 operating rooms. This will result in a substantial increase in depreciation in FY 2009.

- The second phase of UW Medicine at Lake Union opened, adding 200,000 square feet of wet lab research space and 100,000 square feet of administrative and dry lab space.

- UW Medical Center and Harborview outperformed budget due to strong volumes, improved reimbursement, high case mix, and control of operating expenses. Actual operating margins were $27.7 million (4.1%) at UW Medical Center and $16.3 million (2.6%) at Harborview.
UW Medicine provided over $150 million of charity care as measured by foregone charges, with Harborview Medical Center accounting for 80% of this amount. Based on Department of Health information, UW Medicine provides 54% of the charity care provided by King County hospitals and 25% of the total provided statewide.

Both medical centers recorded significant reductions in days outstanding in accounts receivable, reflecting improvements in revenue cycle management.

UWP cash receipts exceeded budget by $5.9 million (3%). Cash per unit of output (relative value units or RVU’s) increased by 6.2%, resulting in a comparable increase in support to School of Medicine clinical departments.

The UW Physicians Network and Airlift Northwest both recorded losses in excess of budget expectations. Rapid process improvement measures were implemented to control expenses and reduce ongoing losses including the closure of the Auburn UWPN Clinic and consolidation of patient care within the other six clinic sites and the closure of the ALNW Wenatchee and Ketchikan bases, with coverage provided from the Seattle and Juneau bases.

The fund balance for the School of Medicine increased by 2.3%, reflecting growth in endowment funds.

UW Medicine research grew by $26 million (5.2%) for UW-based research grants in FY 2008 compared to FY 2007. NIH awards to all UW Medicine faculty at all sites have grown substantially over the last few years, and the rate of growth has exceeded that of all of the other top 10 United States research institutions.

UW Medicine exceeded its capital campaign goal of $1 billion. Campaign totals in gifts and pledges increased by $177 million, from $866 million to $1,040 million. The total number of donors remained constant at more than 18,000, exceeded on campus only by Intercollegiate Athletics and memberships in the UW Alumni Association.

Approximately $450 million of total revenues are transferred between various UW Medicine entities to support the teaching, research and patient care activities of the organization. In FY 2008, this included $175 million from the UWP and CUMG to support the School of Medicine faculty salaries, clinical department operations, and the Dean’s office, $82 million from the medical centers to SOM clinical departments to provide faculty and program support, $37 million from the medical centers to the SOM to support resident training, and $23 million from the medical centers, practice plans, and SOM to support the central administrative and service functions of UW Medicine.

The consolidated financial report for UW Medicine is included as an appendix to this report.
KEY STATISTICS & RESULTS

UW Medicine revenues were $2.4 billion in FY 2008. This represents an increase of approximately 11% compared to FY 2007. The following charts summarize the sources of financial support for UW Medicine. Over the last five years (FY 2003-2008), total UW Medicine revenue has increased at an average rate of 8% per year. These increases have occurred in the setting of a flat NIH federal budget and a very competitive local health care market.

Fiscal Year 2008 – Revenue by source

![Pie chart showing revenue distribution]

Total Revenue: $2.4 billion

(Other includes support from Wyoming, Alaska, Montana and Idaho, revenue from endowments and gifts, and cost centers.)

Fiscal Year 2008 – Revenue by entity
Total Revenue: $2.4 billion

(Other includes Airlift Northwest and the Consolidated Laundry.)

UW Medicine financial support comes predominantly from patient care revenues (63%) and research grants and contracts (21%). The following sections highlight the performance in each of these areas.

**Patient Care**

The following table summarizes net clinical revenue from patient services provided by UW Medicine medical centers, faculty physicians, and Airlift Northwest in FY 2007 and FY 2008. This table does not include research grants and other funds received by the School of Medicine.

<table>
<thead>
<tr>
<th>UW Medicine Clinical Organization</th>
<th>Net Patient Revenue (000’s)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>2008 (unaudited)</td>
</tr>
<tr>
<td>Harborview Medical Center</td>
<td>$ 588,194</td>
</tr>
<tr>
<td>UW Medical Center</td>
<td>$ 638,934</td>
</tr>
<tr>
<td>UW Physicians</td>
<td>$ 160,721</td>
</tr>
<tr>
<td>UW Physicians Network</td>
<td>$ 22,514</td>
</tr>
<tr>
<td>Children’s University Medical Group</td>
<td>$ 47,151</td>
</tr>
<tr>
<td>SCCA (outpatient clinic only)*</td>
<td>$ 165,246</td>
</tr>
<tr>
<td>Airlift Northwest</td>
<td>$ 31,946</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>$1,654,706</strong></td>
</tr>
</tbody>
</table>

*Inpatient revenues for the SCCA 20 bed unit are included in the UWMC total.

Net income from operations for FY 2008 was $69.8 million, or 4.3% of operating revenue. The net operating income included $16.3 million at Harborview, $27.7 million at UW Medical Center, and $33.5 million at UWP and CUMG prior to distributions to the School of Medicine. The net income was offset by operating losses in Airlift Northwest ($4.1 million) and UW Physicians Network ($5.9 million). All of the net
income generated by UWP and CUMG is distributed to the School of Medicine departments and Dean’s office.

The following tables provide benchmark comparisons for the medical centers.

<table>
<thead>
<tr>
<th>Ratio/Indicator</th>
<th>Moody’s “A”</th>
<th>UW Medical Center</th>
<th>Harborview Medical Center</th>
</tr>
</thead>
<tbody>
<tr>
<td>Operating margin</td>
<td>2.6%</td>
<td>4.1%</td>
<td>2.6%</td>
</tr>
<tr>
<td>Debt to capitalization</td>
<td>35.3%</td>
<td>19.4%</td>
<td>1.3%</td>
</tr>
<tr>
<td>Days cash on hand</td>
<td>180</td>
<td>105.9</td>
<td>105.1</td>
</tr>
<tr>
<td>Days in A/R (net)</td>
<td>50.1</td>
<td>53.7</td>
<td>62.3</td>
</tr>
</tbody>
</table>

These comparisons reflect four important points:

- Operating margins at Harborview are affected by the high percentage of uninsured and under-insured patients served;
- The Harborview debt to capitalization number reflects the capital support provided by King County through voter-approved general obligation bonds;
- Days cash on hand is below benchmark at both medical centers, again reflecting the high percentage of uninsured and under-insured patients; and
- Days in accounts receivable are above benchmark but improved by 10% at both medical centers during FY 2008 as we prepare for the conversion of the hospital billing system.

**5-YEAR PERFORMANCE COMPARISON**

The patient care activity of UW Medicine remains very strong and stable, with the exception of UWPN where physician turnover has resulted in significant volume declines. Growth has been limited by very high occupancy levels at both medical centers. The following tables summarize the clinical activity for the owned and managed components of UW Medicine.

**Harborview Medical Center**

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Admissions &amp; short stays</td>
<td>22,336</td>
<td>22,973</td>
<td>22,439</td>
<td>21,147</td>
<td>21,764</td>
</tr>
<tr>
<td>Patient Days</td>
<td>131,355</td>
<td>125,189</td>
<td>129,831</td>
<td>133,345</td>
<td>136,662</td>
</tr>
<tr>
<td>Outpatient visits</td>
<td>221,413</td>
<td>221,159</td>
<td>223,916</td>
<td>218,229</td>
<td>230,315</td>
</tr>
<tr>
<td>Emergency visits</td>
<td>87,634</td>
<td>79,112</td>
<td>81,073</td>
<td>76,491</td>
<td>68,987</td>
</tr>
<tr>
<td>Average Length of Stay</td>
<td>6.9 days</td>
<td>6.8 days</td>
<td>6.9 days</td>
<td>7.2 days</td>
<td>7.4 days</td>
</tr>
</tbody>
</table>

**UW Medical Center**

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Admissions</td>
<td>17,919</td>
<td>18,086</td>
<td>18,120</td>
<td>18,866</td>
<td>18,993</td>
</tr>
<tr>
<td>Patient Days</td>
<td>118,209</td>
<td>118,455</td>
<td>114,542</td>
<td>115,659</td>
<td>115,270</td>
</tr>
<tr>
<td>Outpatient visits</td>
<td>350,062</td>
<td>352,927</td>
<td>333,099</td>
<td>327,297</td>
<td>324,812</td>
</tr>
<tr>
<td>Emergency Visits *</td>
<td>35,462</td>
<td>35,547</td>
<td>32,838</td>
<td>27,868</td>
<td>29,038</td>
</tr>
<tr>
<td>Average Length of Stay</td>
<td>6.6 days</td>
<td>6.5 days</td>
<td>6.3 days</td>
<td>6.1 days</td>
<td>6.1 days</td>
</tr>
</tbody>
</table>
* Emergency visit count methodology changed in FY 2007

**UW Physicians Network**

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Clinic Visits</td>
<td>232,458</td>
<td>237,732</td>
<td>227,929</td>
<td>218,561</td>
<td>201,645</td>
</tr>
<tr>
<td>New Patients</td>
<td>18,377</td>
<td>16,925</td>
<td>15,784</td>
<td>14,867</td>
<td>11,768</td>
</tr>
</tbody>
</table>

**Seattle Cancer Care Alliance**

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Clinic Visits</td>
<td>38,149</td>
<td>38,774</td>
<td>44,084</td>
<td>43,230</td>
<td>48,928</td>
</tr>
<tr>
<td>Admissions</td>
<td>372</td>
<td>444</td>
<td>455</td>
<td>428</td>
<td>573</td>
</tr>
</tbody>
</table>

Inpatient admissions are for the SCCA 20 bed unit at UWMC and are included in the UWMC admissions total.

**RESEARCH PROGRAMS**

UW Medicine research continues to grow. UW School of Medicine awards through the University of Washington totaled $522 million in FY 08. This was a $26 million (5.24%) increase over FY 2007.

NIH awards represent almost three-fourths of the total awards received by UW Medicine faculty. The following chart tracks the growth of NIH awards to UW Medicine faculty over the past 14 federal fiscal years (year ending September 30).

**NIH Grant Awards to UW Medicine**

($ in millions)

![Bar chart showing NIH Grant Awards to UW Medicine from FY 93 to FY 07](chart.png)

Note: Average annual growth rate: 8.6% for Total Faculty; 6.9% for UW-based faculty.
There were, in federal fiscal year 2007, 797 separate NIH awards to 526 UWSOM-based Principal Investigators. There are an estimated 795 regular and research faculty working on NIH research grants made to UW-based School of Medicine faculty. In addition to NIH awards managed by the UW, School of Medicine faculty receive NIH awards that are managed by affiliated institutions (e.g., FH CRC, Children’s). Total NIH awards to UW Medicine faculty in federal fiscal year 2007 were $579.7 million, an increase of $6.4 million (1%) over federal fiscal year 2006. UW Medicine faculty were second in the country among all medical schools, and first among public medical schools, in NIH research funding based on FY 2007 awards. The growth in NIH funding to UW School of Medicine faculty over the past six years has significantly exceeded the comparable growth of our peer institutions.

<table>
<thead>
<tr>
<th>Institution</th>
<th>2002 NIH Awards</th>
<th>2007 NIH Awards</th>
<th>% change</th>
</tr>
</thead>
<tbody>
<tr>
<td>Univ. of Washington</td>
<td>$431.5</td>
<td>$579.7</td>
<td>34.3%</td>
</tr>
<tr>
<td>Yale</td>
<td>$253.6</td>
<td>$320.2</td>
<td>26.3%</td>
</tr>
<tr>
<td>UCLA</td>
<td>$340.5</td>
<td>$426.7</td>
<td>25.3%</td>
</tr>
<tr>
<td>Michigan</td>
<td>$255.7</td>
<td>$320.2</td>
<td>25.2%</td>
</tr>
<tr>
<td>Harvard</td>
<td>$957.8</td>
<td>$1178.5</td>
<td>23.0%</td>
</tr>
<tr>
<td>Johns Hopkins</td>
<td>$372.6</td>
<td>$450.8</td>
<td>21.0%</td>
</tr>
<tr>
<td>UCSF</td>
<td>$368.7</td>
<td>$442.7</td>
<td>20.1%</td>
</tr>
<tr>
<td>Univ. of Pennsylvania</td>
<td>$431.4</td>
<td>$486.8</td>
<td>12.8%</td>
</tr>
<tr>
<td>Washington Univ.</td>
<td>$320.4</td>
<td>$347.0</td>
<td>8.3%</td>
</tr>
<tr>
<td>Baylor</td>
<td>$382.8</td>
<td>$413.1</td>
<td>7.9%</td>
</tr>
</tbody>
</table>

UW Medicine’s comparative success in NIH funding is due to a number of factors, including the interdisciplinary breadth of our research programs, the addition of new laboratory space at South Lake Union and the Portage Bay campus, and the increased emphasis on 21st century science such as genomics, proteomics, regenerative medicine, and global health research.

LOOKING FORWARD – FY 2009

Fiscal year 2009 will see major elections at the national and state levels, a major biennial budget session in the Washington legislature, and more local impacts of the economic downturn. UW Medicine is firmly committed to our mission of improving the health of the public, and we will continue to advance our mission by striving for excellence in our teaching, research, and patient care activities. The following paragraphs highlight several of the key activities that will mark FY 2009.

Strategic planning. UW Medicine has launched a major strategic planning project that will conclude in the Spring of 2009. The planning effort will address all three academic activities that support our mission to improve the health of the public – clinical care, teaching, and research.
**Harborview expansion.** Harborview completed the Maleng Building inpatient expansion in June 2008, adding 50 inpatient beds and 8 operating rooms. The expansion will provided some much-needed relief to the very high occupancy levels at Harborview. Over the past two fiscal years, Harborview has averaged 100% occupancy. The “Ninth and Jefferson” building (NJB) will open in FY 2009, providing a substantial increase in outpatient clinics, administrative, teaching, and research space on the Harborview campus. The NJB will house several innovative programs including the UW Medicine Eye Institute and programs for the Department of Global Health.

**UWMC ground breaking.** UWMC will break ground on Phase I of the inpatient expansion building in early 2009. The building will house a new and expanded Neonatal Intensive Care Unit and a new oncology inpatient unit. There will also be shelled-in space for radiology and operating rooms.

**Legislative session.** UW Medicine has three major priorities for the 2009-11 state budget.
- $6 million in increased support for the health professional teaching programs at UWMC and Harborview, increasing annual support for each hospital from $8 million to $10 million in the second year of the 2009-11 biennium;
- $4.5 million in increased support for the operations and maintenance costs of research facilities, increasing annual support from $2.4 million to $6.9 million; and
- $2.0 million in support of the Institute for Health Metrics and Evaluation’s work to identify the interventions having the greatest impact on the health of communities in the US.

**General economy.** The slow down in the US and Washington state economy raises several key concerns for UW Medicine.
- The increase in the number of uninsured and underinsured patients results in increased pressure on the payer mix of public institutions such as UWMC and Harborview. This challenge, coupled with pressure from public and private insurers to limit, avoid, or defer rate increases, will result in pressure on the operating margins of our medical centers and practice plans.
- Federal budget pressures continue to limit increases in overall NIH funding levels, intensifying competition for new grant awards and limiting increases in budget levels for existing and renewed grants.
- The economic pressures on practicing physicians makes it more difficult to achieve our goals of increasing the number of primary care physicians and the number of physicians practicing in rural and underserved communities throughout the WWAMI region.
UW Medicine

Consolidated financial statements
Fiscal Year Ended June 30, 2008
(unaudited)
Clinical programs

- University of Washington Medical Center
- Harborview Medical Center
- University of Washington Physicians
- Children’s University Medical Group
- UW Physicians Network
- Airlift Northwest
- Consolidated Laundry

University of Washington School of Medicine
UW Medicine
Financial statement highlights

• The financial statements reflect building projects and IT investments at the medical centers, significant reductions in accounts receivable, and favorable operating performance at the medical centers and practice plans

• There were operating losses in excess of budget at UWPN and AirLift NW, and non-operating losses on investments at UWP and HMC

• The balance sheet reflects a continuation of strong debt ratios, solid operating performance relative to the Moody’s benchmarks; cash on hand is the one element of the balance sheet that remains below Moody’s benchmark for A-rated hospitals

• School of Medicine reserve balances declined due to unrealized losses on invested funds and departmental draws to meet operating expenses
### Consolidated Balance Sheet
Comparison June 30, and June 30, 2008

($ in 000's)

<table>
<thead>
<tr>
<th></th>
<th>6/30/2007</th>
<th>6/30/2008</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Assets:</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Cash and Investments</td>
<td>$448,397</td>
<td>$455,195</td>
</tr>
<tr>
<td>Other Current Assets</td>
<td>297,000</td>
<td>292,724</td>
</tr>
<tr>
<td>Property Plant and Equipment</td>
<td>665,766</td>
<td>721,356</td>
</tr>
<tr>
<td>Other Assets</td>
<td>127,008</td>
<td>110,049</td>
</tr>
<tr>
<td><strong>Total Unrestricted Fund Assets</strong></td>
<td><strong>$1,538,170</strong></td>
<td><strong>$1,579,325</strong></td>
</tr>
</tbody>
</table>

<p>| | | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Liabilities and Fund Balance:</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Current Liabilities</td>
<td>$380,404</td>
<td>$378,853</td>
</tr>
<tr>
<td>Other Liabilities</td>
<td>5,287</td>
<td>5,374</td>
</tr>
<tr>
<td>Long Term Debt (Net of Current Portion)</td>
<td>113,911</td>
<td>101,387</td>
</tr>
<tr>
<td>Fund Balance</td>
<td>1,038,569</td>
<td>1,093,711</td>
</tr>
<tr>
<td><strong>Total Unrestricted Fund Liabilities &amp; Fund Balances</strong></td>
<td><strong>$1,538,170</strong></td>
<td><strong>$1,579,324</strong></td>
</tr>
</tbody>
</table>
UW Medicine
Highlights for the Year to Date Ended June 30, 2008

Balance Sheet Highlights:

- **Cash & Investments increase $7 million**
  - ALNW decrease $6 million due to operating losses
  - UWMC increase $31 million from due to reductions in accounts receivable, and slower capital expenditures
  - UWP decrease $14 million due to investment losses and departments’ use of reserves

- **Current Assets decrease $4 million**
  - HMC decrease $5 million due to decrease in other receivables

- **Plant and Equipment increase $106 million (net of retirements)**
  - HMC increase $76 million due to building construction and equipment
  - UWMC increase $27 million due to design costs for the expansion, radiation oncology equipment, remodeling, other equipment, and IT costs
➢ **Other Assets decrease $17 million**  
  o HMC decrease $22 million due to decrease in restricted funds used for hospital expansion and ALNW investment loss

➢ **Current Liabilities decrease $2 million**  
  o HMC increase $10 million due to construction payables and accounts payable  
  o UWP decrease $14 million due to decrease in the market value of investment, and departments’ uses of funds

➢ **Long term debt decrease $13 million**  
  o UWMC decrease $11 million due to bond principle and capital lease payments

➢ **Fund Balance increase $55 million**  
  o ALNW decrease $3 million due to loss  
  o HMC increase $23 million due to net income and fund balance transfer  
  o UWMC increase $36 million due to net income
### Consolidated Statement of Revenues and Expenses

For the Year to Date Ended June 30, 2008
($ in 000's)

<table>
<thead>
<tr>
<th></th>
<th>Actual YTD</th>
<th>Budget YTD</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Revenue:</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Patient Service Revenue</td>
<td>$ 1,502,736</td>
<td>$ 1,422,068</td>
</tr>
<tr>
<td>State Appropriation</td>
<td>16,036</td>
<td>15,875</td>
</tr>
<tr>
<td>Other Revenue</td>
<td>105,975</td>
<td>99,053</td>
</tr>
<tr>
<td><strong>Total Revenues</strong></td>
<td>1,624,747</td>
<td>1,536,995</td>
</tr>
<tr>
<td><strong>Expenses:</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Salaries, Wages and Benefits</td>
<td>927,750</td>
<td>891,580</td>
</tr>
<tr>
<td>Supplies and Other Expenses</td>
<td>556,551</td>
<td>525,112</td>
</tr>
<tr>
<td>Depreciation</td>
<td>65,279</td>
<td>66,878</td>
</tr>
<tr>
<td>Interest, Insurance and Taxes</td>
<td>5,330</td>
<td>8,351</td>
</tr>
<tr>
<td><strong>Total Expenses</strong></td>
<td>1,554,910</td>
<td>1,491,921</td>
</tr>
<tr>
<td>Income before CMF Assessment &amp; Departmental Distributions</td>
<td>69,837</td>
<td>45,075</td>
</tr>
<tr>
<td>CMF Assessments &amp; Departmental Distributions</td>
<td>(33,478)</td>
<td>(30,840)</td>
</tr>
<tr>
<td><strong>Net Operating Income</strong></td>
<td>36,359</td>
<td>14,234</td>
</tr>
<tr>
<td>Nonoperating Gains (Losses)</td>
<td>15,332</td>
<td>15,600</td>
</tr>
<tr>
<td><strong>Revenues and Gains In Excess of Expense and Losses</strong></td>
<td>$ 51,691</td>
<td>$ 29,834</td>
</tr>
</tbody>
</table>
Income from Operations was $36,359 actual vs. $14,234 budget

<table>
<thead>
<tr>
<th></th>
<th>Actual</th>
<th>Budget</th>
</tr>
</thead>
<tbody>
<tr>
<td>HMC</td>
<td>16,326</td>
<td>(286)</td>
</tr>
<tr>
<td>UWMC</td>
<td>27,712</td>
<td>19,259</td>
</tr>
<tr>
<td>UWPN</td>
<td>(5,880)</td>
<td>(4,605)</td>
</tr>
<tr>
<td>All Other</td>
<td>(4,734)</td>
<td>(1,117)</td>
</tr>
</tbody>
</table>

- HMC – favorable net revenue due to occupancy and favorable payer mix
- UWMC – favorable net revenue due to favorable case mix and contractual allowances
- UWPN – physician vacancies resulted in lower visit volume
- All Others – due to ALNW unfavorable financial performance

CUMG and UMP income distributed to departments and CMF

<table>
<thead>
<tr>
<th></th>
<th>Actual</th>
<th>Budget</th>
</tr>
</thead>
<tbody>
<tr>
<td>CUMG</td>
<td>12,803</td>
<td>11,580</td>
</tr>
<tr>
<td>UWP</td>
<td>20,675</td>
<td>19,260</td>
</tr>
</tbody>
</table>

Non-Operating Gain $15,332 actual vs. $15,411 budget

- HMC $(3,420) unfavorable variance due to investment income
- UWMC $3,074 favorable variance due to favorable interest and higher cash balances
<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Net Income</td>
<td>$51,691</td>
</tr>
<tr>
<td>Add back depreciation</td>
<td>65,279</td>
</tr>
<tr>
<td>Decrease in current assets</td>
<td>4,276</td>
</tr>
<tr>
<td>Decrease in current liabilities</td>
<td>(1,551)</td>
</tr>
<tr>
<td>Capital Expenditures</td>
<td>(120,870)</td>
</tr>
<tr>
<td>Decrease in other assets</td>
<td>16,958</td>
</tr>
<tr>
<td>Decrease in Long Term Debt</td>
<td>(12,523)</td>
</tr>
<tr>
<td>Increase in other liabilities</td>
<td>87</td>
</tr>
<tr>
<td>Transfers and other changes to fund balance</td>
<td>3,451</td>
</tr>
<tr>
<td>Net Change in Cash and Investments</td>
<td>$6,798</td>
</tr>
</tbody>
</table>
# Key Statistics
For the Year to Date Ended June 30, 2008

## Medical Centers’ Benchmark Comparisons

<table>
<thead>
<tr>
<th>Ratio/Indicator</th>
<th>Moody's &quot;A&quot;</th>
<th>UW Medical Center</th>
<th>Harborview Medical Center</th>
</tr>
</thead>
<tbody>
<tr>
<td>Net Patient Revenue</td>
<td>$412</td>
<td>$639</td>
<td>$588</td>
</tr>
<tr>
<td>Operating Margin</td>
<td>2.6%</td>
<td>4.1%</td>
<td>2.6%</td>
</tr>
<tr>
<td>Excess Margin</td>
<td>5.6%</td>
<td>5.3%</td>
<td>3.0%</td>
</tr>
<tr>
<td>Debt Service Coverage</td>
<td>4.9</td>
<td>4.9</td>
<td>38.4</td>
</tr>
<tr>
<td>Debt to Cash Flow</td>
<td>3.2</td>
<td>1.4</td>
<td>0.2</td>
</tr>
<tr>
<td>Debt to Capitalization</td>
<td>35.3%</td>
<td>19.4%</td>
<td>1.3%</td>
</tr>
<tr>
<td>Days Cash on Hand</td>
<td>180.0</td>
<td>105.9</td>
<td>105.1</td>
</tr>
<tr>
<td>Cash to Debt</td>
<td>133.9%</td>
<td>175.3%</td>
<td>2168.2%</td>
</tr>
<tr>
<td>Days in A/R (Net)</td>
<td>50.1</td>
<td>53.7</td>
<td>62.3</td>
</tr>
<tr>
<td>Ratio/Indicator</td>
<td>Comparison Group</td>
<td>UWP</td>
<td></td>
</tr>
<tr>
<td>---------------------------------------</td>
<td>------------------</td>
<td>-------</td>
<td></td>
</tr>
<tr>
<td>Gross Charges ($M)</td>
<td>436.9</td>
<td>442.3</td>
<td></td>
</tr>
<tr>
<td>Net Collection Rate</td>
<td>92.3%</td>
<td>92.2%</td>
<td></td>
</tr>
<tr>
<td>Days in AR</td>
<td>48</td>
<td>41</td>
<td></td>
</tr>
<tr>
<td>AR &gt; 180 days</td>
<td>13.4%</td>
<td>5.8%</td>
<td></td>
</tr>
<tr>
<td>Denial Rate</td>
<td>9.8%</td>
<td>9.0%</td>
<td></td>
</tr>
<tr>
<td>Commercial payer %</td>
<td>45.1%</td>
<td>47.7%</td>
<td></td>
</tr>
<tr>
<td>Credit balances as % of AR</td>
<td>3.7%</td>
<td>4.0%</td>
<td></td>
</tr>
<tr>
<td>Medicaid % of payer mix</td>
<td>12.0%</td>
<td>16.8%</td>
<td></td>
</tr>
<tr>
<td>Medicare % of payer mix</td>
<td>24.6%</td>
<td>22.2%</td>
<td></td>
</tr>
<tr>
<td>Payment rate to collection agency</td>
<td>21.1%</td>
<td>23.0%</td>
<td></td>
</tr>
</tbody>
</table>

* Benchmark group is 15 similarly sized practice plans.
Definitions:

**State funds**: State appropriated funds allocated to the School of Medicine by the University. Revenue is recognized to the extent of expenditures.

**Off-campus facilities ICR/RCR funds**: Indirect cost recovery of off-campus facilities costs (ICR) and research cost recovery (RCR). These funds are assigned to the School of Medicine by UW Provost Office, then allocated to School of Medicine departments (including the Dean's Office). These funds may be carried forward from year to year. Revenue is recognized to the extent of expenditures.

**Endowment income** is earnings on endowments available for spending (i.e. not re-invested in the endowment). Gifts are funds received from donors. Endowment income and gifts are used to fund School of Medicine operations, subject to any donor restrictions.

**Self-sustaining** programs provide goods or services to other entities, either within or outside of the UW. The responsible department establishes the rates for goods and services. The rates are adjusted annually to account for over-under recoveries in the previous period. Balances at period end are primarily operating reserves and excess recoveries that will be resolved during the subsequent year rate calculation. Self-sustaining programs include resident training, WWAMI, Lab Medicine, Comparative Medicine and other department programs. Revenue is recognized when received.

**Clearing/other**: Clearing accounts are mainly used for transfers of funds from the practice plans to the SOM departments (e.g., fund transfers for physician salaries). The funds are credited first to the clearing account, and then an entry is processed to distribute the funds to the departments. Any balance in clearing accounts relates to timing. Agency accounts represent funds received and expended on an activity approved by the University, but the University does not provide the funds (e.g., when a faculty member is editor of a publication and incurs expenditures and reimbursement related to that activity).

**Research and Training** funds are funds held on account in the UW accounting system (Dean's Office as well as department accounts). The source of funds is practice plan or affiliated entity transfers to the School of Medicine. The transfers are made for expense reimbursement, or to fund School of Medicine operations.

**Grant and contract** revenue is recognized to the extent of current period expenditures. Grant revenues are typically expended by the end of the grant period (not necessarily the University's fiscal period). Grant revenues are credited in a method that may not match the actual spending pattern on the grant.

**Endowments** are the principal balance (at cost) of endowments held in the UW Central Endowment Fund (CEF), including contributions and re-invested earnings.
## Revenue & Expenditure Comparison

For the year ended June 30, 2007 and 2008 ($ in 000’s)

<table>
<thead>
<tr>
<th></th>
<th>FY07</th>
<th>FY08</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>6/30/07</strong></td>
<td><strong>6/30/08</strong></td>
<td></td>
</tr>
<tr>
<td>Revenues</td>
<td>844,139</td>
<td>947,427</td>
</tr>
<tr>
<td>Expenditures</td>
<td>814,484</td>
<td>937,849</td>
</tr>
<tr>
<td>Revenues in excess of expenditures</td>
<td>29,655</td>
<td>9,578</td>
</tr>
<tr>
<td>Beginning fund balance</td>
<td>378,530</td>
<td>408,185</td>
</tr>
<tr>
<td>Ending fund balance</td>
<td>408,185</td>
<td>417,763</td>
</tr>
<tr>
<td>Unexpended grant and contract revenue</td>
<td>394,108</td>
<td>372,601</td>
</tr>
</tbody>
</table>
## Revenue Details

For the year ended June 30, 2007 and 2008 ($ in 000’s)

<table>
<thead>
<tr>
<th></th>
<th>FY07</th>
<th>FY08</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>6/30/07</td>
<td>6/30/08</td>
</tr>
<tr>
<td>State funds (Note 1)</td>
<td>63,970</td>
<td>71,639</td>
</tr>
<tr>
<td>Off Campus Facilities ICR/RCR (Note 2)</td>
<td>27,327</td>
<td>30,266</td>
</tr>
<tr>
<td>Endowment Income &amp; Gifts:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Restricted</td>
<td>32,049</td>
<td>33,923</td>
</tr>
<tr>
<td>Unrestricted</td>
<td>6,609</td>
<td>10,343</td>
</tr>
<tr>
<td>Self-Sustaining (Note 3)</td>
<td>95,363</td>
<td>113,921</td>
</tr>
<tr>
<td>Clearing &amp; Other</td>
<td>60,571</td>
<td>63,196</td>
</tr>
<tr>
<td>Research &amp; Training (Note 4)</td>
<td>79,509</td>
<td>88,524</td>
</tr>
<tr>
<td>Grants &amp; Contracts (Note 5)</td>
<td>465,844</td>
<td>505,774</td>
</tr>
<tr>
<td>Endowment Principal (at cost)  (Note 6)</td>
<td>12,897</td>
<td>29,841</td>
</tr>
<tr>
<td><strong>Total Revenue</strong></td>
<td><strong>844,139</strong></td>
<td><strong>947,427</strong></td>
</tr>
</tbody>
</table>

See notes on next page
Notes to revenue detail for the years ended 6/30/07 and 6/30/08:

Note 1: State appropriation revenue is assigned at the beginning of each biennium. Spending may vary from the 1st to the 2nd year of the biennium, but total spending does not exceed the biennial appropriation. In general, state appropriations are spent to zero by end of biennium. University policy allows some carryforward of unspent balances from biennium to biennium on a limited basis. Revenues are recognized to the extent of expenditures for each reporting period.

Note 2: ICR/RCR revenues are recognized to the extent of expenditures for each reporting period. University policy allows carryforward of unspent funds to the next year. Unspent funds are rolled forward as 'available to spend' in current year, and revenue will be recognized as expenditures are made.

Note 3: Self-sustaining revenue increase due mainly to timing of receipts. UW accounting system is cash basis; revenue is recognized when received.

Note 4: Research & Training revenue includes transfers from UWP and CUMG Clinical Medicine funds and hospital support to fund spending at the UW. UW accounting system is cash basis; revenue is recognized when received.

Note 5: Grants and contract revenue is recognized to the extent of expenditures, and expenditures are higher in year-to-date FY08 over FY07.

Note 6: Additions to endowment principal increased FY08 over FY07 due to increased donor activity.
<table>
<thead>
<tr>
<th></th>
<th>FY07</th>
<th>FY08</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>6/30/07</td>
<td>6/30/08</td>
</tr>
<tr>
<td>Salary/benefits (Note 1)</td>
<td>493,411</td>
<td>545,005</td>
</tr>
<tr>
<td>Supplies and other exp</td>
<td>223,579</td>
<td>276,476</td>
</tr>
<tr>
<td>Equipment</td>
<td>19,914</td>
<td>18,319</td>
</tr>
<tr>
<td>Grants/stipends</td>
<td>13,062</td>
<td>13,998</td>
</tr>
<tr>
<td>Debt redemption (Note 2)</td>
<td>3,276</td>
<td>3,240</td>
</tr>
<tr>
<td>Indirect cost (Note 3)</td>
<td>97,976</td>
<td>105,078</td>
</tr>
<tr>
<td>Cost transfers (Note 4)</td>
<td>(36,734)</td>
<td>(24,267)</td>
</tr>
<tr>
<td><strong>Total Expenditures (Note 5)</strong></td>
<td><strong>814,484</strong></td>
<td><strong>937,849</strong></td>
</tr>
</tbody>
</table>

Notes:

Note 1: Salary/benefits increase includes ramp-up of Global Health Department activities.

Note 2: Variance from FY07 to FY08 due to timing of debt service payments.

Note 3: Indirect cost: Grant revenues include a direct and indirect component. The indirect component is a percentage of direct costs (the rate varies among sponsors). Individual grants are charged indirect cost, and the expense is offset by indirect cost revenue.

Note 4: Cost transfers: FY08 decrease from FY07 due to change in coding of resident program reimbursements (mainly salary).

Note 5: Some School of Medicine expenditures are recorded in hospital or practice plan income statements. Examples include hospital-based operations for the Department of Laboratory Medicine and resident salaries.
### Comparison of Fund Balance by Source

**June 30, 2007 and 2008 ($ in 000’s)**

<table>
<thead>
<tr>
<th>Source</th>
<th>FY 07</th>
<th>% of FY 07</th>
<th>FY 08</th>
<th>% of FY 08</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>6/30/07</td>
<td>Total</td>
<td>6/30/08</td>
<td>Total</td>
</tr>
<tr>
<td>State funds <em>(Note 1)</em></td>
<td>0</td>
<td>0%</td>
<td>0</td>
<td>0%</td>
</tr>
<tr>
<td>Off Campus Facilities ICR/RCR <em>(Note 2)</em></td>
<td>0</td>
<td>0%</td>
<td>0</td>
<td>0%</td>
</tr>
<tr>
<td><strong>Endowment Income &amp; Gifts:</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Restricted</td>
<td>83,741</td>
<td>0%</td>
<td>82,179</td>
<td>0%</td>
</tr>
<tr>
<td>Unrestricted</td>
<td>14,834</td>
<td>0%</td>
<td>15,969</td>
<td>0%</td>
</tr>
<tr>
<td>Total Endowment Income &amp; Gifts</td>
<td>98,575</td>
<td>24%</td>
<td>98,148</td>
<td>23%</td>
</tr>
<tr>
<td>**Self-Sustaining <em>(Note 3)</em></td>
<td>20,509</td>
<td>0%</td>
<td>13,807</td>
<td>0%</td>
</tr>
<tr>
<td>Clearing &amp; Other</td>
<td>(53)</td>
<td>0%</td>
<td>(653)</td>
<td>0%</td>
</tr>
<tr>
<td>Research &amp; Training <em>(Note 4)</em></td>
<td>26,527</td>
<td>0%</td>
<td>13,994</td>
<td>0%</td>
</tr>
<tr>
<td><strong>Subtotal</strong></td>
<td>145,558</td>
<td>36%</td>
<td>125,296</td>
<td>30%</td>
</tr>
<tr>
<td>**Grants &amp; Contracts <em>(Note 5)</em></td>
<td>0</td>
<td>0%</td>
<td>0</td>
<td>0%</td>
</tr>
<tr>
<td>**Endowment Principal (at cost) <em>(Note 6)</em></td>
<td>262,627</td>
<td>0%</td>
<td>292,467</td>
<td>0%</td>
</tr>
<tr>
<td><strong>Ending Fund Balance</strong></td>
<td>408,185</td>
<td>100%</td>
<td>417,763</td>
<td>100%</td>
</tr>
</tbody>
</table>

*See notes on next page*
Comparison of Fund Balance by Source
June 30, 2007 and 2008

Notes to ending fund balance as of 6/30/07 and 6/30/08:

Note 1: State appropriation revenue is assigned at the beginning of each biennium. Spending may vary from the 1st to the 2nd year of the biennium, but total spending does not exceed the biennial appropriation. In general, state appropriations are spent to zero by end of biennium. University policy allows some carryforward of unspent balances from biennium to biennium on a limited basis. Revenues are recognized to the extent of expenditures for each reporting period.

Note 2: ICR/RCR revenues are recognized to the extent of expenditures for each reporting period. University policy allows carryforward of unspent funds to the next year. Unspent funds are rolled forward as 'available to spend' in current year, and revenue will be recognized as expenditures are made.

Note 3: Self-sustaining ending fund balance decrease is due to use of equipment reserve funds (Comp Med) and timing of reimbursements. The ending fund balance reflects revenues on a cash basis and does not include receivables from programs such as resident and ITECH.

Note 4: Research and Training funds are funds held at the UW for the Dean's Office as well as department accounts. The source of funds is practice plan or affiliated entity transfers to the School of Medicine. The transfers are made for expense reimbursement or to fund School of Medicine operations. The majority of R&T funds are held at the practice plans (recorded as a liability by the practice plans) and are not included in this fund balance. The ending fund balance reflects revenues on a cash basis and does not include receivables due from the hospitals under department funding agreements. The decrease in ending fund balance from FY07 to FY08 was a planned re-allocation of Dean's Office fund balance between the UW and the CMF reserve held at UWP.

Note 5: Grant revenue is recognized to the extent of expenditures for each reporting period. As a result the ending fund balance is $0. Unexpended grant and contract revenue is $394M at 6/30/07 and $373M at 6/30/08.

Note 6: Market value of endowments is $508M at 6/30/07 and $527M at 6/30/08. The increase includes additions to endowments as well as investment earnings.
Consolidated Endowment Market Value
FY04-FY08 ($ in millions)

Cumulative Average Growth Rate FY04-FY08: 15%

Source: Endowment Market Value Report, UW Treasury Office
<table>
<thead>
<tr>
<th></th>
<th>FY04(June)</th>
<th>FY05(June)</th>
<th>FY06(June)</th>
<th>FY07(June)</th>
<th>FY08(June)</th>
</tr>
</thead>
<tbody>
<tr>
<td>UWP/CUMG</td>
<td>$103.9</td>
<td>$115.9</td>
<td>$127.1</td>
<td>$138.4</td>
<td>$118.3</td>
</tr>
<tr>
<td>UW R&amp;T</td>
<td>$15.4</td>
<td>$19.4</td>
<td>$20.8</td>
<td>$25.2</td>
<td>$11.6</td>
</tr>
<tr>
<td>UW RCR</td>
<td>$13.3</td>
<td>$14.2</td>
<td>$15.6</td>
<td>$19.2</td>
<td>$23.8</td>
</tr>
</tbody>
</table>

R&T/RCR Reserve Balances – UW and Practice Plans
FY04-FY08 ($ in millions)

See notes on following page
Notes to R&T/RCR Reserve Balances slide:

1. UWP and CUMG reserve balances: Includes Dean’s Office reserve balances, Department reserve balances and Lab Medicine payable. Excludes Hall Health payable. FY08 ending fund balance is preliminary. It includes the effect of $4.2M investment loss, and does not include the 6/30/08 incentive pay-out. This report will be updated when final numbers are available.

2. UW R&T fund balance is mainly unspent transfers from practice plan reserves and hospital support. Includes Dean’s Office and SOM Departments, excludes Hall Health R&T. Transfers from the practice plan reserves to the UW R&T accounts are made on a regular basis to fund research, training and operational activities. Balances can be carried forward from year to year, and spending can fluctuate depending on other available fund sources during the year. Decrease in FY04 due to settlement costs. FY08 balance includes the effect of planned transfer of Dean’s Office funds to UWP. A/R from hospitals not recorded.

3. UW RCR: Prior period fund balances may change due to the biennial nature of the UW accounting system (the changes are not material).