



## AGENDA

### BOARD OF REGENTS University of Washington

**October 16, 2008**  
3:00 p.m.  
UW Tower, Room T-22

(Item No.)

- I. CALL TO ORDER**
- II. ROLL CALL**
- III. CONFIRM AGENDA**
- IV. REPORT OF THE CHAIR OF THE BOARD OF REGENTS: Regent Cole**
- V. REPORT OF THE UNIVERSITY PRESIDENT: Dr. Emmert**
- VI. CONSENT AGENDA**

Approval of Minutes of Meeting of September 18, 2008

Establishment of the Master of Laws in Health Law A-2

Human Resources, Benefits Office–UW Retirement Plan A-3

Grant and Contract Awards Summary–June, 2008 F-2

Hall Health Primary Care Center Clinical Units Remodel–Select Architect F-3

Benjamin D. Hall Interdisciplinary Research Building Applied Physics  
Laboratories Tenant Improvements–Approve Budget Adjustment F-4

UWMC NN 1st Floor Radiation Oncology Renovation–Approve Budget  
Adjustment F-5

Student Administration System Development–Kuali Project F-8

SEIU Local 925 Supervisory Collective Bargaining Agreement–UW  
Ratification F-9

SEIU Local 925 Research Technologist Collective Bargaining Agreement–UW  
Ratification F-10

SEIU Local 925 Research Technologist Supervisor Collective Bargaining  
Agreement–UW Ratification F-11

SEIU Local 925 Nonsupervisory Collective Bargaining Agreement–UW  
Ratification F-12

Washington Federation of State Employees Collective Bargaining Agreement–  
UW Ratification F-13

UW Police Officers Association Collective Bargaining Agreement–UW  
Ratification F-14



SEIU Local 1199NW Collective Bargaining Agreement–UW Ratification	F–15
SEIU Local 925 Healthcare Professional/Laboratory Technical Collective Bargaining Agreement–UW Ratification	F–16
Inlandboatmen’s Union of the Pacific Collective Bargaining Agreement–UW Ratification	F–17
WFSE Police Management Association Collective Bargaining Agreement–UW Ratification	F–18
Washington State Nurses Association Collective Bargaining Agreement–UW Ratification	F–19
Husky Stadium Renovation–Approval of Pre-Development Contract	F–26

## VII. STANDING COMMITTEES

### **A. Academic and Student Affairs Committee: Regent Simon – Chair**

Academic and Administrative Appointments ( <b>ACTION</b> )	A–1
Accreditation Update (Information only)	A–4

#### *Joint Session*

### **A. Academic and Student Affairs Committee: Regent Simon – Chair**

### **B. Finance and Audit Committee: Regent Blake – Chair**

UW Tacoma Master Plan (Information only)	F–27
UW Technology Transfer (Information only)	F–28
UW Medicine Board Financial Report (Information only)	F–29

### **B. Finance, Audit and Facilities Committee: Regent Blake – Chair**

Report of Contributions–July and August, 2008 (Information only)	F–1
Actions Taken Under Delegated Authority (Information only)	F–6
Global Economic Update (Information only)	F–7
Report of Contributions Campaign Summary July 1, 2000–June 30, 2008 (Information only)	F–20
Rainier Vista Concept Plan (Information only)	F–21
Molecular Engineering Interdisciplinary Academic Building (MEIAB)–Review Schematic Design (Information only)	F–22
Capital Projects Office Semi-Annual Status Report (Information only)	F–23
Internal Lending Program Quarterly Report (Information only)	F–24

UWINCO Update (Information only)

F–25

**VIII. OTHER BUSINESS**

Reports from ex-officio representatives to the Board:

**Faculty Senate Chair – Professor David Lovell**

**ASUW President – Mr. Anttimo Bennett**

**GPSS President – Mr. Jake Faleschini**

**Alumni Association President – Mr. Rick Osterhout**

**IX. DATE FOR NEXT REGULAR MEETING:** November 20, 2008

**X. ADJOURNMENT**



**UNIVERSITY OF WASHINGTON  
BOARD OF REGENTS**

**Members of the Academic and Student Affairs Committee**  
Regents Simon (Chair), Barer, Gates, Proctor, Willynck

**October 16, 2008  
9:30 a.m. to 10:10 a.m.  
UW Tower, Room T-22**

1. **Academic and Administrative Appointments** **ACTION** A-1  
Phyllis M. Wise, Provost and Executive Vice President
2. **Establishment of the Master of Laws in Health Law** **ACTION** A-2  
James S. Antony, Associate Dean for Academic Programs,  
The Graduate School  
Patricia Kuszler, Professor, School of Law  
Jennifer Snider, Program Manager, School of Law  
Gregory Hicks, Dean, School of Law
3. **Human Resources, Benefits Office–UW Retirement Plan** **ACTION** A-3  
Kathleen Dwyer, Director of Benefits & Work/Life
4. **Accreditation Update** **INFORMATION** A-4  
Douglas Wadden, Executive Vice Provost  
Ed Taylor, Dean, Vice Provost, Undergraduate Academic  
Affairs  
Ana Mari Cauce, Dean, College of Arts and Sciences  
Gerald Baldasty, Interim Dean, Vice Provost, The Graduate  
School  
James S. Antony
5. **Other Business**



UNIVERSITY OF WASHINGTON  
BOARD OF REGENTS

Finance, Audit and Facilities Committee  
Regents Blake (Chair), Brotman, Jewell, Kiga, Simon

October 16, 2008  
10:20 a.m. to 12:55 p.m.  
UW Tower, Room T-22

1. **Grant and Contract Awards Summary–June, 2008** ACTION F–2  
Phyllis M. Wise, Provost and Executive Vice President
2. **Hall Health Primary Care Center Clinical Units Remodel–Select Architect** ACTION F–3  
Richard Chapman, Associate Vice President, Capital Projects Office
3. **Benjamin D. Hall Interdisciplinary Research Building Applied Physics Laboratories Tenant Improvements–Approve Budget Adjustment** ACTION F–4  
Richard Chapman
4. **UWMC NN 1st Floor Radiation Oncology Renovation–Approve Budget Adjustment** ACTION F–5  
Richard Chapman
5. **Actions Taken Under Delegated Authority** INFORMATION F–6  
Richard Chapman
6. **Report of Contributions–July and August, 2008** INFORMATION F–1  
Walter G. Dryfoos, Associate Vice President, University Advancement  
Connie Kravas, Vice President, University Advancement
7. **Global Economic Update** INFORMATION F–7  
Andrew Hunt, Founder and Consultant Economist, Andrew Hunt Economics
8. **UWINCO Update** INFORMATION F–25  
Keith Ferguson, Chief Investment Officer, Treasury Office  
Douglas W. Breckel, Associate Vice President, Treasury Office
9. **Executive Session**  
(to discuss with legal counsel representing the agency litigation to which the governing body or agency is or may be a party, when public knowledge regarding the discussion is likely to result in an adverse legal or financial consequence to the agency)
10. **Student Administration System Development–Kuali Project** ACTION F–8  
Sara Gomez, Vice Provost/Chief Information Officer, Office of Information Management

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|-----|--|-------------|
| 11. | <b>SEIU Local 925 Supervisory Collective Bargaining Agreement–<br/>UW Ratification</b><br>Mindy Kornberg, Vice President, Human Resources<br>Lou Pisano, Assistant Vice President of Labor Relations,<br>Human Resources Labor Relations<br>Paul Jenny, Vice Provost, Planning and Budgeting | ACTION F–9  |
| 12. | <b>SEIU Local 925 Research Technologist Collective Bargaining<br/>Agreement–UW Ratification</b><br>Mindy Kornberg<br>Lou Pisano<br>Paul Jenny  | ACTION F–10 |
| 13. | <b>SEIU Local 925 Research Technologist Supervisor Collective<br/>Bargaining Agreement–UW Ratification</b><br>Mindy Kornberg<br>Lou Pisano<br>Paul Jenny   | ACTION F–11 |
| 14. | <b>SEIU Local 925 Nonsupervisory Collective Bargaining<br/>Agreement–UW Ratification</b><br>Mindy Kornberg<br>Lou Pisano<br>Paul Jenny   | ACTION F–12 |
| 15. | <b>Washington Federation of State Employees Collective<br/>Bargaining Agreement–UW Ratification</b><br>Mindy Kornberg<br>Lou Pisano<br>Paul Jenny  | ACTION F–13 |
| 16. | <b>UW Police Officers Association Collective Bargaining<br/>Agreement–UW Ratification</b><br>Mindy Kornberg<br>Lou Pisano<br>Paul Jenny  | ACTION F–14 |
| 17. | <b>SEIU Local 1199NW Collective Bargaining Agreement–UW<br/>Ratification</b><br>Mindy Kornberg<br>Lou Pisano<br>Paul Jenny   | ACTION F–15 |
| 18. | <b>SEIU Local 925 Healthcare Professional/Laboratory Technical<br/>Collective Bargaining Agreement–UW Ratification</b><br>Mindy Kornberg<br>Lou Pisano<br>Paul Jenny   | ACTION F–16 |
| 19. | <b>Inlandboatmen’s Union of the Pacific Collective Bargaining<br/>Agreement–UW Ratification</b><br>Mindy Kornberg<br>Lou Pisano<br>Paul Jenny  | ACTION F–17 |

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| 20. | <b>WFSE Police Management Association Collective Bargaining Agreement–UW Ratification</b><br>Mindy Kornberg<br>Lou Pisano<br>Paul Jenny  | <b>ACTION</b> F–18      |
| 21. | <b>Washington State Nurses Association Collective Bargaining Agreement–UW Ratification</b><br>Mindy Kornberg<br>Lou Pisano<br>Paul Jenny | <b>ACTION</b> F–19      |
| 22. | <b>Report of Contributions Campaign Summary<br/>July 1, 2000–June 30, 2008</b><br>Walter G. Dryfoos<br>Connie Kravas                     | <b>INFORMATION</b> F–20 |
| 23. | <b>Rainier Vista Concept Plan</b><br><b>Kristine Kenney</b> , University Landscape Architect<br>Richard Chapman                          | <b>INFORMATION</b> F–21 |
| 24. | <b>Molecular Engineering Interdisciplinary Academic Building (MEIAB)–Review Schematic Design</b><br>Richard Chapman                      | <b>INFORMATION</b> F–22 |
| 25. | <b>Capital Projects Office Semi-Annual Status Report</b><br>Richard Chapman  | <b>INFORMATION</b> F–23 |
| 26. | <b>Internal Lending Program Quarterly Report</b><br><b>Chris Malins</b> , Senior Associate Treasurer, Treasury Office                    | <b>INFORMATION</b> F–24 |
| 27. | <b>Other Business</b>  |                         |



**UNIVERSITY OF WASHINGTON  
BOARD OF REGENTS**

**Academic and Student Affairs Committee**

Regents Simon (Chr), Barer, Gates, Proctor, Willynck

*In Joint Session with*

**Finance, Audit and Facilities Committee**

Regents Blake (Chr), Brotman, Jewell, Kiga, Simon

**October 16, 2008**

**1:05 p.m. to 2:40 p.m.**

**UW Tower, Room T-22**

1. **Husky Stadium Renovation–Approval of Pre-Development Contract** **ACTION** F-26  
**Scott Woodward**, Athletic Director  
**John Palewicz**, Director, Capital Projects Central, Capital Projects Office
2. **UW Tacoma Master Plan** **INFORMATION** F-27  
**Patricia Spakes**, Chancellor, UW Tacoma  
**Ysabel Trinidad**, Vice Chancellor, Administrative Services, UW Tacoma  
**Beth Rushing**, Vice Chancellor for Academic Affairs, UW Tacoma  
**Lee Copeland**, Architectural Advisor to the University of Washington  
**Brodie Bain**, Principal, Mithun  
**Elisabeth Goldstein**, Programmer and Planner, Mithun
3. **UW Technology Transfer** **INFORMATION** F-28  
**Linden Rhoads**, Vice Provost for Technology Transfer
4. **UW Medicine Board Financial Report** **INFORMATION** F-29  
**Orin Smith**, Chair, UW Medicine Board  
**Paul Ramsey**, CEO, UW Medicine, Executive Vice President for Medical Affairs, and Dean of the School of Medicine
5. **Other Business**

## **MINUTES**

### **BOARD OF REGENTS University of Washington**

**October 16, 2008**

The Board of Regents held its regular meeting on Thursday, October 16, 2008, beginning at 3:00 p.m. in Room T-22, UW Tower. The notice of the meeting was appropriately provided to the public and the press.

#### **CALL TO ORDER**

#### **ROLL CALL**

Assistant Secretary Keith called the roll: Present were Regents Cole (presiding), Blake, Gates, Jewell, Kiga, Proctor, Simon, Willynck; Dr. Emmert, Dr. Wise, Ms. Warren, Ms. Goldblatt; ex-officio representatives: Professor Lovell, Mr. Bennett, Mr. Faleschini, Mr. Osterhout.

Absent: Regents Barer and Brotman

#### **CONFIRM AGENDA**

The agenda was confirmed as presented.

#### **REPORT OF THE CHAIR OF THE BOARD OF REGENTS: Regent Cole**

Regent Cole reported President Emmert was elected to the National Academy of Public Administration, a non-profit, independent coalition of top public management and organizational leaders, who tackle the nation's most critical and complex challenges.

The Academy's Fellows include current and former Cabinet officers, members of Congress, governors, mayors, state legislators, diplomats, business executives, local public managers, foundation executives, and scholars. Fellows are selected by current Academy members based on their sustained contribution to the field of public administration through public service or scholarship. New Fellows will be introduced to the Academy during the 2008 fall meeting, which will take place November 19 to 21, in Washington, D.C.

Regent Cole praised President Emmert for his accomplishment as a great leader and public administrator.

Regent Cole reported Provost Phyllis Wise is one of two UW faculty members who have been elected to the Institute of Medicine, a national resource for independent, scientifically informed analysis and recommendations on human health issues. Along with her service as Provost and Executive Vice President, Wise is a professor of physiology, biophysics, biology, obstetrics, and gynecology.



Regent Cole expressed what a great honor these appointments are for the University's two top administrators. Regents and attendees applauded Provost Wise and President Emmert.

**REPORT OF THE UNIVERSITY PRESIDENT: Dr. Emmert**

President Emmert said the institution is proud of Provost Wise's election to the Institute of Medicine. Professor Lawrence Corey from Laboratory Medicine and Microbiology in the School of Medicine was elected as well.

The President commended Professor David Montgomery, from the Department of Earth and Space Sciences, for being named one of 25 MacArthur Fellows, receiving a "genius grant." The University now has thirteen faculty members who are MacArthur award winners.

President Emmert introduced Nursing School Dean Marla Salmon and School of Pharmacy Dean Thomas Baillie.

Marla Salmon was appointed Dean of the UW Nursing School on October 1, 2008, following an extensive national search. The School has been ranked number one since 1984, when the rankings began. Dr. Marla Salmon joined the UW from Emory University in Atlanta, Georgia, where she was Professor of Health Policy and Management; Director of the Lillian Carter Center of International Nursing; and Dean of the Nell Hodgson Woodruff School of Nursing.

Dr. Salmon's research interests include national and international workforce policy and development, health care reform and policy, and public health and community nursing. She received a bachelor's degrees in political science and nursing from the University of Portland, a Doctor of Science from the Johns Hopkins University School of Hygiene and Public Health, and a Master of Science from the University of Portland School of Nursing.

Dean Salmon expressed how daunting and remarkable it is to be the Dean at the number one school of nursing in the world. She added with the high ranking comes a tremendous responsibility, and said things can be accomplished uniquely at the UW to make a difference in the world, with research and science education service, that can't be done at other Universities. Regent Gates asked Dean Salmon what can be done at the UW that can't be done elsewhere. Dean Salmon explained we need to assure there are an adequate number of nurses to deliver services, to reach people, and create a context in which people can care for themselves. She added the fundamental challenge faced globally is there will never again be the number of nurses or health professionals there have been in the past. The challenge is how to assure the capacity to deliver services and reach people.

Dean Salmon said she feels the solution lies in innovation and the interface between technology, information science, and the built environment. The people served by nursing are the hub, rather than nursing itself. Nursing forms a unique community to

deploy on behalf of caring, with a global responsibility across disciplines and boundaries.

Regent Proctor expressed concern regarding the number of nurses the United States takes from the world to bring to this country. Dean Salmon explained in her work at the Lillian Carter Center for International Nursing there was a commitment to capacity-building and the development of reasonable trade policy and reciprocity to build infrastructure and programs. She is committed to the development of solutions.

The President said when Dean Salmon interviewed for the position, she asked him if there was a commitment by the UW's administration to do the right thing at the cost of the number one ranking, and he and Provost Wise assured her the commitment was there.

President Emmert introduced School of Pharmacy Dean Thomas Baillie whose appointment at the University began October 1, 2008. Dean Baillie returned to the University of Washington following a career at Merck. Baillie began his academic career at the University of London, held an appointment at UCSF, and had a distinguished career on the faculty at the University of Washington. Baillie holds a Bachelors of Science in chemistry, a Ph.D. in organic chemistry, and D.Sc. in chemistry from the University of Glasgow. He earned a Masters in Science in biochemistry from the University of London.

Dean Baillie told the Regents he feels the UW offers great opportunity, and said Pharmacy as a discipline is at a crossroads due to the rapidly changing nature of health care delivery. Pharmacists have an important role to play, switching from the traditional focus of dispensing drugs to an advisory capacity, based on the trust people have in them. The UW School of Pharmacy, in Dean Baillie's opinion, is one of the best anywhere in the world, and it has an opportunity to grow and develop.

Dean Baillie explained his training is in chemistry; he is not a pharmacist. He said the faculty, President Emmert, and Provost Wise were interested in his leadership ability. At Merck he received experience in leading a large complex, multidisciplinary organization.

Regent Proctor asked Dean Baillie about the condition of the facilities at the School of Pharmacy. Dean Baillie said during his previous time on the UW faculty, the School of Pharmacy was located in Bagley, so the facilities are somewhat improved but there is an opportunity to further enhance the facilities.

The President commented, with the hiring of Deans Baillie and Salmon, Provost Wise has assembled a remarkable group of Deans. Regents Gates inquired as to the status of the Law School Dean search. Provost Wise assured him it was progressing.

In closing, President Emmert commented on the current and upcoming economic challenges. The State biennium budget session will face impingements. The President told the Regents the UW has to work hard to retain the progress it gained in recent

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years. The UW will need to maintain momentum to keep current with the competition; it must avoid losing ground where great academic progress has been made, and position itself for the next decade. The President said the University is committed to the delivery of quality education.

Regent Jewell asked the President how the “credit crunch” is impacting students’ ability to get loans. The President explained the UW has a direct lending program, so does not rely on the commercial banking industry for student loans, although some students in graduate and professional schools obtain loans in that market. Vice Provost Eric Godfrey reiterated the UW participates in the federal direct lending program which protects us from the ups and downs of the financial sector, so the majority of students have loans available to them. Godfrey’s office is working one-on-one with students with other loan sources to identify private lending alternatives. The UW is one of the inaugural schools in the loan program established during the Clinton administration under the Higher Education Act.

Regent Simon asked if students are leaving school to work because of the financial situation and the economy. GPSS President Jake Faleschini said graduate students are feeling the crunch. The President said there is a long term trend of economic down cycles bringing more students into higher education, especially into professional programs. This is especially true in the community college system. ASUW President Anttimo Bennett added more students need to work while they are in school.

Provost Wise said not only did UW accept its largest freshman class on all three campuses, but the number of students who are sophomores, juniors, and seniors (because they stayed in school) has increased. UW’s Seattle campus has about 1,000 more students than it did last year.

Regent Cole asked if this was also true at other institutions. Provost Wise replied she does not have those figures yet, but she expects the trend to lead to higher retention and graduation rates.

## **CONSENT AGENDA**

Regent Cole then said there were twenty items for approval on the consent agenda, and called for a motion.

**MOTION:** Upon the recommendation of the Chair of the Board and the motion made by Regent Jewell, seconded by Regent Kiga, the Board voted to approve the twenty items on the consent agenda as shown below. Regent Willynck abstained from the vote.

## **Minutes for the meeting of September 18, 2008**

### **Establishment of the Master of Laws in Health Law** (Agenda no. A-2)

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents grant authority to the School of Law to offer the

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Master of Laws in Health Law degree program, effective Autumn Quarter 2009. The degree program will have provisional status with a review to be scheduled in the 2014-2015 academic year. At such time that continuing status is granted, a ten-year review cycle would begin.

See Attachment A-2.

**Human Resources Benefits Office–UW Retirement Plan** (Agenda no. A-3)

It is the Recommendation of the Academic and Student Affairs Committee that the Board of Regents approve and adopt the University of Washington Retirement Plan and University of Washington 401(a) Supplemental Retirement Plan, each as amended and restated effective January 1, 2009 (collectively, the “Plans”), in or substantially in the form presented to this meeting.

It is further recommended that the Board of Regents authorize the officers of the University to make such changes in the Plans, not substantially at variance with the documents presented to this meeting, to execute the Plans in the University’s name, and to take such other actions as they deem necessary or appropriate to implement the Plans, including (without limitation) establishment and funding (up to \$1,000) of a trust for the 401(a) Supplemental Retirement Plan, execution of a trust agreement with one or more trustees, submission of governmental filings, including one or more applications to the Internal Revenue Service for determination letters, preparation and execution of additional documentation to provide the intended benefits, and communications with employees.

See Attachment A-3.

**Grant and Contract Awards Summary–June, 2008** (Agenda no. F-2)

It was the recommendation of the administration and the Finance, Audit and Facilities Committee the Board of Regents accept Grant and Contract Awards for the month of June, in the total amount of \$205,930,900.

See Attachment F-2.

**Hall Health Primary Care Center Clinical Units Remodel–Select Architect** (Agenda no. F-3)

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the President be delegated authority to award a design contract to the firm of Miller Hayashi Architects for the Hall Health Primary Care Clinic (HHPCC) Remodel project, subject to successful negotiation of an architectural agreement.

In the event of an unsuccessful negotiation with the selected firm, it is requested that authority be delegated to open negotiations with Taylor Gregory Butterfield Architects and Clark Kjos Architects, the firms recommended as first and second alternates, respectively.

See Attachment F-3.

**Benjamin D. Hall Interdisciplinary Research Building Applied Physics Laboratories Tenant Improvements–Approve Budget Adjustment** (Agenda no. F-4)

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the Benjamin D. Hall Interdisciplinary Research Building Applied Physics Laboratories (APL) Tenant Improvements project budget be increased from \$5,675,000 to \$8,700,000 and that the President be delegated authority to award a construction change order to the firm of M. A. Mortenson Company subject to no further significant changes in the scope; the forecast cost being within 10% of the revised budget, and funding being in place.

See Attachment F-4.

**UWMC NN 1st Floor Radiation Oncology Renovation–Approve Budget Adjustment** (Agenda no. F-5)

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the Board of Regents approve an increase in the total project budget for the University of Washington Medical Center (UWMC) NN 1<sup>st</sup> Floor Radiation Oncology Renovation project from \$4,177,107 to \$5,300,000.

See Attachment F-5.

**Student Administration System Development–Kuali Project** (Agenda no. F-8)

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the President or the President's authorized representative be delegated authority to enter into appropriate contracts and related expenditures in an amount not to exceed \$6,250,000 to become a Kuali Foundation Partner and to participate in development of student administration systems software.

This commitment includes \$5,000,000 to become a Kuali Foundation Partner (\$1,000,000 per year over five years). In addition, the University of Washington (UW) has budgeted \$1,250,000 in operating expenses to support the project, (\$250,000 per year over five years). This includes funding for staff training, travel, equipment, and contingency funds.

See Attachment F-8.

**SEIU Local 925 Supervisory Collective Bargaining Agreement–UW Ratification** (Agenda no. F-9)

It is the recommendation of the Administration that the Board of Regents approve the Collective Bargaining Agreement between the University of Washington and SEIU Local 925 that covers approximately 435 Supervisory employees on all UW Campuses, UW

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Medical Center and Harborview Medical Center. In addition, certain provisions of the Agreement will cover regular temporary employees who enter the bargaining unit upon completion of 350 hours of bargaining unit work within a consecutive 12 month period. The duration of the Agreement is from July 1, 2009 through June 30, 2011.

See Attachment F-9.

**SEIU Local 925 Research Technologist Collective Bargaining Agreement–UW Ratification** (Agenda no. F-10)

It is the recommendation of the Administration that the Board of Regents approve the Collective Bargaining Agreement between the University of Washington and SEIU Local 925 that covers approximately 216 Research Technologists on all UW Campuses, UW Medical Center and Harborview Medical Center. In addition, certain provisions of the Agreement will cover regular temporary employees who enter the bargaining unit upon completion of 350 hours of bargaining unit work within a consecutive 12 month period. The duration of this Agreement is from July 1, 2009 through June 30, 2011.

See Attachment F-10.

**SEIU Local 925 Research Technologist Supervisor Collective Bargaining Agreement–UW Ratification** (Agenda no. F-11)

It is the recommendation of the Administration that the Board of Regents approve the Collective Bargaining Agreement between the University of Washington and SEIU Local 925 that covers approximately 11 Research Technologist Supervisors on all UW Campuses, UW Medical Center and Harborview Medical Center. In addition, certain provisions of the Agreement will cover regular temporary employees who enter the bargaining unit upon completion of 350 hours of bargaining unit work within a consecutive 12 month period. The duration of the Agreement is from July 1, 2009 through June 30, 2011.

See Attachment F-11.

**SEIU Local 925 Nonsupervisory Collective Bargaining Agreement–UW Ratification** (Agenda no. F-12)

It is the recommendation of the Administration that the Board of Regents approve the Collective Bargaining Agreement between the University of Washington and SEIU Local 925 that covers approximately 4,500 Nonsupervisory employees on all UW Campuses, UW Medical Center and Harborview Medical Center. In addition, certain provisions of the Agreement will cover regular temporary employees who enter the bargaining unit upon completion of 350 hours of bargaining unit work within a consecutive 12 month period. The duration of the Agreement is from July 1, 2009 through June 30, 2011.

See Attachment F-12.

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**Washington Federation of State Employees Collective Bargaining Agreement–UW Ratification** (Agenda no. F–13)

It is the recommendation of the Administration that the Board of Regents approve a Master Collective Bargaining Agreement between the University of Washington and the Washington Federation of State Employees (WFSE) that covers approximately 2,793 employees in six bargaining units at the University of Washington, UW Medical Center and Harborview Medical Center. The duration of the Agreement is from July 1, 2009 through June 30, 2011.

See Attachment F–13.

**UW Police Officers Association Collective Bargaining Agreement–UW Ratification** (Agenda no. F–14)

It is the recommendation of the Administration that the Board of Regents approve the Collective Bargaining Agreement between the University of Washington and the UW Police Officers Association that covers approximately 40 employees at the University of Washington. The duration of the Agreement is from July 1, 2009, through June 30, 2011.

See Attachment F–14.

**SEIU Local 1199NW Collective Bargaining Agreement–UW Ratification** (Agenda no. F–15)

It is the recommendation of the Administration that the Board of Regents approve the Master Collective Bargaining Agreement between the University of Washington and SEIU Local 1199NW that covers approximately 1,555 employees (Registered Nurse, Professional/Technical, Social Worker, Health Care Specialist, Respiratory Therapist/Anesthesiology Technician Bargaining Units) at Harborview Medical Center. The duration of the Agreement is from July 1, 2009 through June 30, 2011.

See Attachment F–15.

**SEIU Local 925 Healthcare Professional/Laboratory Technical Collective Bargaining Agreement–UW Ratification** (Agenda no. F–16)

It is the recommendation of the Administration that the Board of Regents approve the Collective Bargaining Agreement between the University of Washington and SEIU Local 925 that covers approximately 845 Healthcare Professional/ Laboratory Technical employees at UW Medical Center. In addition, certain provisions of the Agreement will cover regular temporary employees who enter the bargaining unit upon completion of 350 hours of bargaining unit work within a consecutive 12 month period. The duration of this Agreement is from July 1, 2009 through June 30, 2011.

See Attachment F–16.

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**Inland Boatmen's Union of the Pacific Collective Bargaining Agreement–UW Ratification** (Agenda no. F–17)

It is the recommendation of the Administration that the Board of Regents approves Collective Bargaining Agreement between the University of Washington and the Inlandboatmen's Union of the Pacific (IBU) that covers approximately 18 employees on the Thomas G. Thompson Research Vessel. The duration of the Agreement is from July 1, 2009 through June 30, 2011.

See Attachment F–17.

**WFSE Police Management Association Collective Bargaining Agreement–UW Ratification** (Agenda no. F–18)

It is the recommendation of the Administration that the Board of Regents approve the Collective Bargaining Agreement between the University of Washington and Washington Federation of State Employees/Police Management Association that covers approximately 11 employees at the University of Washington. The duration of the Agreement is from July 1, 2009 through June 30, 2011.

See Attachment F–18.

**Washington State Nurses Association Collective Bargaining Agreement–UW Ratification** (Agenda no. F–19)

It is the recommendation of the Administration that the Board of Regents approve the Collective Bargaining Agreement between the University of Washington and the Washington State Nurses Association that covers approximately 1,195 Registered Nurses at UW Medical Center. The duration of the Agreement is from July 1, 2009 through June 30, 2011.

See Attachment F–19.

**Husky Stadium Renovation–Approval of Pre–Development Contract** (Agenda no. F–26)

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the President be delegated authority to sign an Agreement for Pre-Development services for the Husky Stadium Renovation and Football Operations Building with the Selected Developer, Hines Interests Limited Partnership, subject to the successful negotiation of the agreement.

In the event of an unsuccessful negotiation with the Selected Developer, it is requested that authority be delegated to open negotiations and sign a pre-development contract with Nitze-Stagen & Co., Inc., the firm recommended as the alternate.

See Attachment F–26.



## STANDING COMMITTEES

### **ACADEMIC AND STUDENT AFFAIRS COMMITTEE:** Regent Simon, Chair

#### **Academic and Administrative Appointments** (Agenda no. A-1)

**MOTION:** Upon the recommendation of the administration and the motion made by Regent Proctor, seconded by Regent Gates, the Board voted to approve the personnel appointments. Regent Willynck abstained from the vote.

Regent Simon described highlights from the Academic and Student Affairs Committee meeting. Regent Simon said the Board approved two action items. The first was the establishment of the Master of Laws in Health Law. This is a self-sustaining program to provide graduate and professional level study and research in the use of law to promote social justice and improve individual and global health.

The second was a change to the UW Retirement Plan for faculty, staff, and librarians, to bring it into compliance with new IRS regulation.

#### **Accreditation Update** (Agenda no. A-4) (Information only)

Regent Simon told the Board Arts & Sciences Dean Ana Mari Cauce and Executive Vice Provost Doug Wadden provided the Regents with an update on the visit from the Northwest Commission on Colleges and Universities for the Spring 2008 Regular Interim Evaluation. The accreditation of the University has been reaffirmed.

The Commission asked about the long-term definition of the three campuses. To respond to the Commission's concerns, the leadership of the three campuses will continue to discuss the multi-campus relationships. 2013 will be the next accreditation review.

Regent Simon said the Commission congratulated the University, and requested a progress report in autumn 2009.

### **ACADEMIC AND STUDENT AFFAIRS COMMITTEE:** Regent Simon, Chair in joint session with

### **FINANCE, AUDIT AND FACILITIES COMMITTEE:** Regent Blake, Chair

#### **UW Tacoma Master Plan** (Agenda no. F-27) (Information only)

Regent Blake described the presentation the Regents received from members of the Tacoma campus of a Campus Master Plan for the future. This long-range vision provides a context for approval of individual projects. The plan will allow the campus to accommodate up to 10,000 students. This item will return to the Board for action at the next meeting.

#### **UW Technology Transfer** (Agenda no. F-28) (Information only)

Regent Blake reported Linden Rhoads provided an update on the UW's Office of Technology Transfer, and the Regents look forward to hearing future reports. Ms. Blake said Rhoads sees the Office as an important tool for recruitment and retention.

**UW Medicine Board Financial Report** (Agenda no. F-29) (Information only)

The Regents received an update on the finances of the UW Medicine Board. The Medicine Board is aware of possible challenges due to economic impacts in upcoming year, especially as it relates to a potential increase in uncompensated care. On behalf of the Board of Regents, Regent Blake offered her thanks to Orin Smith for his service as Chair of the UW Medicine Board.

**FINANCE, AUDIT AND FACILITIES COMMITTEE: Regent Blake, Chair**

**Report of Contributions – July and August, 2008** (Agenda no. F-1) (Information only)

The amount of total gifts received in July, 2008 was \$19,560,872, \$7,765,598 for August, 2008, and the total for the year to date was \$27,326,670.

Regent Blake described the report from Vice President of Advancement, Connie Kravas and Associate Vice President of Advancement Services, Walt Dryfoos. Kravas and Dryfoos told the Board July and August contributions remain strong. As a result of the economy, the University, as is normal with academic and educational institutions, may see a decrease in contributions when donors choose to direct their contributions to social services, which they may perceive as having a higher need. UW Advancement does not expect a significant drop off in donations to the University.

**Actions Taken Under Delegated Authority** (Agenda no. F-6) (Information only)

**Global Economic Update** (Agenda no. F-7) (Information only)

Global Economist Andrew Hunt provided the Board with an overview of the economy. Keith Ferguson followed Hunt's report with a status update on UW's investments, describing the University as well-positioned but not immune. Through September 30, 2008 UW's investments were down 18% since beginning of the calendar year; with a larger decrease in recent weeks. The UW has seen negative returns on its endowment, but Ferguson remains excited about potential investment opportunities in late 2009 or early 2010. Ms. Blake acknowledged the hard work of the UW's Treasury office and its benefit to the University.

**Report of Contributions Campaign Summary July 1, 2000–June 30, 2008** (Agenda no. F-20) (Information only)

Regent Blake reported Connie Kravas and Walt Dryfoos provided the Committee with a summary of the recently completed campaign, highlighting participation statistics. Donations from alumni were significant and hopefully will continue. Kravas and Dryfoos are optimistic about receiving ongoing support from first-time contributors, transforming them into long-time donors.

**Rainier Vista Concept Plan** (Agenda no. F-21) (Information only)

The Regents received a presentation of a concept plan and vision for the Rainier Vista and questioned the cost and funding for the plan. Advancement would need to identify donors to fund the addition to the campus.

**Molecular Engineering Interdisciplinary Academic Building (MEIAB)–Review Schematic Design** (Agenda no. F-22) (Information only)

**Capital Projects Office Semi-Annual Status Report** (Agenda no. F-23) (Information only)

Due to time constraints, this item was removed from the committee agenda and will be included for information at the November, 2008 meeting.

**Internal Lending Program Quarterly Report** (Agenda no. F-24) (Information only)

The Regents received a quarterly update on the University's Internal Lending Program, which included a discussion of the challenges of the current credit markets, including the UW's ability, from its cash position, not to be compelled to enter the market to fund projects. The University currently has \$10 million in commercial paper that will roll in the first part of December. If the University is unable, or if rates are inappropriate at that time, to roll that commercial paper, the University's position would allow it to fund its projects with cash.

Regent Proctor commended the staff and administration for their extraordinary work over the last few weeks during the challenging economic times. The Finance, Audit, and Facilities Committee expressed appreciation for the Treasury staff's hard work to secure the University's financial position.

**UWINCO Update** (Agenda no. F-25) (Information only)

**REPORTS FROM EX OFFICIO REPRESENTATIVES TO THE BOARD OF REGENTS**

**Faculty Senate Chair:** Professor David Lovell

Professor Lovell updated the Board on Faculty Senate activities including those pertaining to the RCEP process (Reorganization, Consolidation, and Elimination of Programs). Faculty legislation is pending to streamline the process to allow for more open discussion. The proposed changes would allow faculty from outside, as well as inside, the affected units to voice concerns and provide advice to the Provost. If approved, the proposed changes would be effective in July, 2009.

Another Faculty Senate agenda issue is the College of the Environment. The Senate plays no direct role, but the faculty governance structure assures formation of the College reflects a civil and well-informed discussion among the departments and units affected. Because of the effective date of RCEP changes, the RCEPs undertaken in association

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with the formation of the College of the Environment would fall under the current procedures.

Professor Lovell described his role as that of assuring the decisions made by Regents and the administration, which affect the welfare of the faculty, are decisions that include faculty advice and counsel. UW faculty feel legitimately entitled to play a role in decision-making, due to the knowledge of their subject matter as well as knowledge of students, and their understanding of what is necessary for a productive work environment. UW faculty members feel a responsibility to the educational mission of the university. Professor Lovell asked how faculty can play a constructive role in conversations about policies. He encouraged informal relationships, and said these had been strengthened over the last few years of faculty governance in collaboration with administration, and increasingly with the Board of Regents.

Professor Lovell described faculty governance as including nominated and elected faculty councils in different areas. He feels the most important committee is the Senate Committee on Planning and Budgeting (SCPB), which meets weekly and is active in examining the University's finances and priorities. The complexity of the budget is challenging; Professor Lovell is confident in Vice Provost Jenny's ability to provide better and more useful information to the Committee. He feels progress is being made, especially in the area of understanding salaries.

Faculty Senate leadership is making an effort to invigorate a number of faculty councils including Educational Outreach and Multicultural Affairs. Councils promising to be particularly active in the coming year include Tri-Campus Policy and Research. These councils are critical now because the current scholarly model, devoted to ascertaining and disseminating the truth, is changing. Educational Outreach and Tri-Campus are areas where there will be perennial issues regarding decision-making processes and policies. The goal is to figure out how to adapt governance structures and make them more efficient and constructive. Professor Lovell hopes to work on restructuring the Senate to make it a smaller body which represents and reflects local information from schools and colleges.

Professor Lovell said the Faculty Senate supports a resolution to add a faculty member to the Board of Regents, and sees this as a long-term project. The Senate has modified its proposal. Meanwhile, Professor Lovell is interested in building informal relationships with the Regents. He extended an invitation to Regents to continue to work with faculty, and hopes for informal get-togethers. Regents are invited and welcome to attend meetings of the Senate Committee on Planning and Budgeting, or other Faculty Senate meetings.

Professor Lovell announced Speaker of the House Frank Chopp is scheduled to attend the upcoming Senate meeting to share concerns.

Regent Jewell requested specific meeting invitations be sent to Regents through the Office of the Board of Regents. Regent Cole highlighted the system used by the Regents office staff for invitations to the Board.

Regent Cole expressed his belief that faculty members are part of the leadership of the institution, and said Regents are committed to operating in a system of shared governance. The Regents work through the President and Provost, and feel strongly they need support from faculty to solve problems. Cole said faculty members are the heart of the institution and the Regents appreciate and welcome constructive engagement.

Regent Cole invited faculty members, students, and alumni to attend committee meetings.

Regent Proctor expressed her appreciation to the faculty for meeting with the Board and illuminating their research and the academic workings of the institution. Regent Proctor stated the Regents believe in the quality of the faculty and what the faculty does, and believe in students and want to support them. Proctor said Regents are passionate about faculty and students.

**ASUW President:** Mr. Anttimo Bennett

Mr. Bennett acknowledged a number of fellow student leaders in attendance at the meeting, including ASUW Bothell President, Emily Anderson, and ASUW Tacoma President, Tommy Bauer. Regent Cole asked students to introduce themselves.

Mr. Bennett reported on ASUW leaders' recent activities, and said they formed a Tri-Campus Committee in collaboration with Ms. Anderson and Mr. Bauer, with a goal to work together, collaborate, and strengthen communications. The group held a recent meeting to discuss lobbying efforts and strengthen the student voice in Olympia.

Mr. Bennett said the Tacoma student leadership is working to resolve space issues. He reported UW Tacoma and UW Bothell leaders are advocating for representatives from their campuses on the University Bookstore Board to provide input from each campus.

Mr. Bennett reported the ASUW leadership is holding preliminary discussions regarding representatives to the Board of Regents from the Tacoma and Bothell campuses.

The ASUW Seattle leadership is currently crafting their legislative agenda in preparation for a challenging legislative session.

Students have been involved in the search for the UW Police Chief and Mr. Bennett said what a great opportunity it has been to participate in process. Mr. Bennett is impressed by all the candidates, and he believes the university will make a good selection.

ASUW student leaders have been actively involved in voter registration and encouraging students to vote. They have sponsored debate-watching events in the Undergraduate Library and in the School of Social Work.

Mr. Bennett concluded his remarks by noting his belief that affirmations correspond with action, and he led meeting attendees in an exercise of rousing affirmations.

**GPSS President:** Mr. Jake Faleschini

GPSS President Jake Faleschini thanked the Board and said it was a pleasure to attend the meeting. He also thanked Mr. Bennett and commended the ASUW group for the work they have done together this year to form a unified vision. Mr. Faleschini also noted ASUW as an incredible group to work with.

Mr. Faleschini told the Board GPSS recently held its initial meeting, and for the first time in a number of years, filled all of its standing committees to maximum capacity. A newly formed committee will work on annual graduate program reviews. Mr. Faleschini said he believes the GPSS leadership is active, engaged, and ready to serve the community to an extent not seen in perhaps generations.

Mr. Faleschini reported on continuing plans for the GPSS-sponsored Higher Education Summit on Thursday, November 20, in the Don James Room at Husky Stadium.

GPSS held the first Autumn quarter social at the HUB, jointly sponsored by the Office of Student Life and the Graduate School. It was attended by 800 to 1,000 students. A local jazz and blues band, "Author Unknown," played at the social.

GPSS helped with a voter registration drive. The group will continue with efforts to encourage registered students to vote. Regent Jewell encouraged Mr. Faleschini to encourage students to vote the entire ballot.

GPSS is working with ASUW, the Provost, and the President to solidify a position on local control of tuition. Mr. Faleschini identified this as a "big issue this year." In a memorandum released recently to the HEC board, GPSS asked that tuition be kept reasonable, affordable and predictable. They also asked that the quality of education, and social services received, be commensurate with tuition increases, and that financial aid levels increase at the same rate as tuition. Mr. Faleschini added he looks forward to working with the Regents, President, Provost, and student leadership to assure the UW speaks in a unified voice to the legislature. He acknowledged the difficulty of this coming year in Olympia, and wants to make sure all are working together to get the best for the students and the University. Mr. Faleschini concluding by expressing his appreciation to the administration for including funding for mental health care and child care for students in the operating budget request to the legislature.

**Alumni Association President:** Mr. Rick Osterhout

UWAA President Rick Osterhout updated the Board on the financial position of the Association. The UWAA's \$14 million endowment is included in the University's Combined Endowment Fund, and has doubled in the past six years. Mr. Osterhout feels the Association is fiscally sound, with operational income above budget, and membership up about 3% over last year with about 55,000 members. Recently, renewals have been strong.

In the area of "Husky Sprit" Mr. Osterhout told the Regents the Warm-Up pre-game event at Arizona was attended by a record number of 750 people, which is a strong

indicator of spirit. Final numbers are pending, but the amount raised for scholarships at the recent Dawg Dash is expected to be in excess of the \$10,000 raised last year.

Mr. Osterhout discussed UWAA's Constituent Relations, headed by Paul Rucker, which partners with 20 on-campus units. As these partnerships develop, UWAA gains the ability to target a specific audience with common interests for particular events from a broad-based constituency with varying interests.

To sum up the status of Husky Spirit, Mr. Osterhout said, "The attitude is excellent in the purple and gold section."

UWAA is launching a theme of advocacy, and Randy Hodgins, UW's Director of State Relations, will be a speaker at Higher Education day. UWAA continues to look for areas to support the mission of the university.

Next, Mr. Osterhout described some upcoming UWAA events. In the area of lifelong learning, the Arts & Sciences Lecture Series begins on October 29, 2008 and the Provost's Distinguished Lecture is November 13.

Homecoming events include a rally on October 17, preceded by a reception featuring the "Wondrous 100," with 35 of them confirmed to attend, including President of Wellesley University, Kim Bottomley, and Rod Moore, President of the National Bar Association. Governor Gregoire has designated October 17 as "Purple and Gold Day." The 14<sup>th</sup> annual Bridging the Gap Breakfast for the Multi-Cultural Alumni Partnership (MAP) will be held on October 18. Regents are encouraged to attend. Regent Proctor said we are honoring extraordinary people who have done much for the university. Additional event information is available at [uwalum.com](http://uwalum.com).

Regent Jewell reported she represented the University on the first ride on Sound Transit's link light rail from Othello Station to Tukwila. She reminded Regents of the proposition on November's ballot to extend the light rail system north to Lynwood from its current terminus at Husky Stadium. It is the final item on the ballot. Jewell cited statistics showing a 41% fall off in voting between president and governor, with only 59% of those who vote for President voting in the governor's race; very few people get to the end of the ballot. Jewell encouraged voters to recognize the responsibility of voting a full ballot.

Regent Gates asked if the endowment formulas were covered at the Finance Audit and Facilities committee meeting, and was advised this item will be presented at the November meeting.

Regent Willynck invited ASUW Bothell and Tacoma presidents to provide a briefing to the Regents.

**ASUW Bothell President, Emily Anderson**

Ms. Anderson described a recent activity designed to strengthen the University's relationship with the City of Bothell by putting up 46 University of Washington/Bothell banners along Bothell's Main Street.

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**ASUW Tacoma President, Tommy Bauer**

Mr. Bauer reported Autumn Quarter 2008 was the first time students have lived in on-campus housing at UW Tacoma. Twenty students are living in private on-campus housing at the Court 17 apartments. He hopes UW Tacoma will move forward to expand student housing to levels corresponding to those on the Seattle campus.

As Mr. Bauer previously reported, ASUW Tacoma leaders have worked toward access to campus facilities for expanded hours. Library building hours were to increase by 25%, but this year's hiring freeze precluded this. Working with the administration, student leaders have arranged to allow students access to an on campus facility so they may increase the number of hours they can study on campus each day. Mr. Bauer said this should be effective at the end of Autumn quarter or the beginning of Winter quarter.

Regent Jewell announced Provost Wise will be honored on Thursday, November 20, by the Puget Sound Business Journal as a 2008 Woman of Influence.

Regent Cole announced there will be a joint meeting with the WSU Regents at WSU campus in Pullman on Friday, November 21. The Apple Cup, which will be held in Pullman this year, begins at noon on Saturday, November 22. Regent Cole requested feedback from the Board regarding the 2009 meeting schedule.

Regent Cole was pleased to announce Erin Lennon, 2007-08 Student Regent, passed the Washington State bar exam.

**DATE FOR NEXT MEETING**

The next regular meeting of the Board of Regents will be held on Thursday, November 20, 2008, in the Petersen Room of the Allen Library.

**ADJOURNMENT**

The regular meeting was adjourned at 4:30 p.m.



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Joan Goldblatt  
Secretary of the Board of Regents



**VII. STANDING COMMITTEES**

**A. Academic and Student Affairs Committee**

Academic and Administrative Appointments

RECOMMENDED ACTION:

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents approve the appointments to the University faculty and administration as presented on the attached list.

Attachment: Personnel Recommendations

## UNIVERSITY LIBRARIES

### LIBRARY

#### **ADMINISTRATIVE APPOINTMENTS**

##### **FUGATE, CYNTHIA**

(BA, 1974, MIAMI UNIVERSITY (OHIO); MS, 1982, UNIVERSITY OF ILLINOIS) TO BE ASSOCIATE DEAN OF UNIVERSITY LIBRARIES, EFFECTIVE 9/1/2008. (MS. FUGATE WILL CONTINUE AS DIRECTOR OF UW BOTHELL/CASCADIA COMMUNITY COLLEGE LIBRARY AND ASSOCIATE DEAN OF UNIVERSITY LIBRARIES.)

##### **LEADLEY, SARAH P.**

(BS, 1986, PORTLAND STATE UNIVERSITY; MLIS, 1992, UNIVERSITY OF WASHINGTON) TO BE ACTING DIRECTOR OF UW BOTHELL/CASCADIA COMMUNITY COLLEGE LIBRARY AND ACTING ASSOCIATE DEAN OF LIBRARIES, EFFECTIVE 9/1/2008. (MS. LEADLEY WILL CONTINUE AS ASSOCIATE LIBRARIAN.)

##### **RAMBO, NEIL**

(BS, 1976, UNIVERSITY OF WASHINGTON; MLIS, 1983, UNIVERSITY OF WASHINGTON) TO BE ACTING DIRECTOR OF THE HEALTH SCIENCES LIBRARIES AND ACTING ASSOCIATE DEAN OF LIBRARIES, EFFECTIVE 9/1/2008. (MR. RAMBO WILL CONTINUE AS AFFILIATE INSTRUCTOR OF HEALTH SERVICES.)

## COLLEGE OF ARTS AND SCIENCES

### DEPARTMENT OF EARTH AND SPACE SCIENCES

#### **NEW APPOINTMENTS**

##### **WOOD, STEPHEN E.**

(BS, 1990, UNIVERSITY OF NORTH CAROLINA; PHD, 1999, UNIVERSITY OF CALIFORNIA (LOS ANGELES)) TO BE RESEARCH ASSISTANT PROFESSOR OF EARTH AND SPACE SCIENCES AT A SALARY RATE OF \$75,000 OVER TWELVE MONTHS, EFFECTIVE 9/16/2008. (PRIOR TO THIS APPOINTMENT, DR. WOOD WAS A RESEARCH SCIENTIST IN THE SAME DEPARTMENT.)

### DEPARTMENT OF ENGLISH

#### **ENDOWED APPOINTMENTS**

##### **SEARLE, LEROY**

(BA, 1965, UTAH STATE UNIVERSITY; MA, 1968, UNIVERSITY OF IOWA; PHD, 1970, UNIVERSITY OF IOWA) TO BE HOLDER OF THE JOFF HANAUER HONORS PROFESSORSHIP IN WESTERN CIVILIZATION, EFFECTIVE 9/1/2008. (PROFESSOR SEARLE WILL CONTINUE AS PROFESSOR OF ENGLISH AND PROFESSOR OF COMPARATIVE LITERATURE.)

### DEPARTMENT OF HISTORY

#### **ENDOWED APPOINTMENTS**

##### **GLENN, SUSAN**

(BA, 1973, SAN DIEGO STATE UNIVERSITY; MA, 1975, UNIVERSITY OF CALIFORNIA (SAN DIEGO); PHD, 1983, UNIVERSITY OF CALIFORNIA (BERKELEY)) TO BE HOLDER OF THE HOWARD AND FRANCES KELLER ENDOWED PROFESSORSHIP IN HISTORY, EFFECTIVE 9/16/2008. (PROFESSOR GLENN WILL CONTINUE AS PROFESSOR OF HISTORY AND ADJUNCT PROFESSOR OF WOMEN STUDIES.)

## JACKSON SCHOOL OF INTERNATIONAL STUDIES

### **ENDOWED APPOINTMENTS**

#### **BARZILAI, GAD**

(BA, 1980, BAR-ILAM UNIVERSITY (ISRAEL); MA, 1982, BAR-ILAM UNIVERSITY (ISRAEL); LLB, 1982, TEL AVIV UNIVERSITY (ISRAEL); PHD, 1988, HEBREW UNIVERSITY (ISRAEL)) TO BE HOLDER OF THE LUCIA S. AND HERBERT L. PRUZAN ENDOWED PROFESSORSHIP IN JEWISH STUDIES, EFFECTIVE 7/1/2008. (PROFESSOR BARZILAI WILL CONTINUE AS PROFESSOR OF INTERNATIONAL STUDIES AND PROFESSOR OF LAW, SOCIETIES AND JUSTICE.)

## **MICHAEL G. FOSTER SCHOOL OF BUSINESS**

### DEPARTMENT OF INFORMATION SYSTEMS AND OPERATIONS MANAGEMENT

#### **NEW APPOINTMENTS**

#### **MAMANI, HAMED**

(BS, 2003, SHARIF UNIVERSITY OF TECHNOLOGY (IRAN); PHD, 2008, MASSACHUSETTS INSTITUTE OF TECHNOLOGY) TO BE ASSISTANT PROFESSOR OF INFORMATION SYSTEMS AND OPERATIONS MANAGEMENT AT A SALARY RATE OF \$139,995 OVER NINE MONTHS, EFFECTIVE 9/17/2008. (PHD RECEIVED SEPTEMBER 17, 2008 FROM THE MASSACHUSETTS INSTITUTE OF TECHNOLOGY.)

### DEPARTMENT OF MANAGEMENT AND ORGANIZATION

#### **NEW APPOINTMENTS**

#### **AVOLIO, BRUCE**

(BA, 1975, STATE UNIV OF NEW YORK (ONEONTA); MA, 1978, UNIVERSITY OF AKRON; PHD, 1981, UNIVERSITY OF AKRON) TO BE PROFESSOR OF MANAGEMENT AND ORGANIZATION AT A SALARY RATE OF \$216,000 OVER NINE MONTHS, EFFECTIVE 9/16/2008. (PRIOR TO THIS APPOINTMENT DR. AVOLIO WAS THE CLIFTON CHAIR IN LEADERSHIP AT THE UNIVERSITY OF NEBRASKA.)

## **COLLEGE OF ENGINEERING**

### DEPARTMENT OF AERONAUTICS AND ASTRONAUTICS

#### **NEW APPOINTMENTS**

#### **YOU, SETTHIVOINE**

(MSc, 1997, IMPERIAL COLLEGE (UK); PHD, 2002, IMPERIAL COLLEGE (UK)) TO BE ASSISTANT PROFESSOR OF AERONAUTICS AND ASTRONAUTICS AT A SALARY RATE OF \$84,600 OVER NINE MONTHS, EFFECTIVE 9/16/2009.

### DEPARTMENT OF CHEMICAL ENGINEERING

#### **ENDOWED APPOINTMENTS**

#### **JIANG, SHAOYI**

(BS, 1985, HUA QIAO UNIVERSITY (CHINA); MS, 1988, NANJING UNIVERSITY OF TECHNOLOGY (CHINA); PHD, 1993, CORNELL UNIVERSITY) TO BE HOLDER OF THE BOEING-ROUNHILL ENDOWED PROFESSORSHIP, EFFECTIVE 10/1/2008. (PROFESSOR JIANG WILL CONTINUE AS PROFESSOR OF CHEMICAL ENGINEERING.)

### DEPARTMENT OF COMPUTER SCIENCE AND ENGINEERING

#### **NEW APPOINTMENTS**

#### **PATEL, SHWETAK NARAN**

(BS, 2003, GEORGIA INSTITUTE OF TECHNOLOGY; PHD, 2008, GEORGIA INSTITUTE OF TECHNOLOGY) TO BE ASSISTANT PROFESSOR OF COMPUTER SCIENCE AND ENGINEERING AT A SALARY RATE OF \$93,600 OVER NINE MONTHS, EFFECTIVE 9/1/2008. (PHD RECEIVED AUGUST 1, 2008 FROM THE GEORGIA INSTITUTE OF TECHNOLOGY.)

## DEPARTMENT OF MATERIALS SCIENCE AND ENGINEERING

### **ENDOWED APPOINTMENTS**

#### **CAO, GUOZHONG**

(BS, 1982, EAST CHINA UNIV OF SCIENCE & TECHNOLOGY; MS, 1985, CHINESE ACADEMY OF SCIENCES (CHINA); PHD, 1991, EINDHOVEN UNIV OF TECH (THE NETHERLANDS)) TO BE HOLDER OF THE BOEING-STEINER ENDOWED PROFESSORSHIP, EFFECTIVE 10/1/2008. (PROFESSOR CAO WILL CONTINUE AS PROFESSOR OF MATERIALS SCIENCE AND ENGINEERING.)

### **NEW APPOINTMENTS**

#### **TAMERLER-BEHAR, CANDAN**

(BSC, 1989, BOGAZICI UNIVERSITY (ISTANBUL); MSc, 1991, BOGAZICI UNIVERSITY (ISTANBUL); PHD, 1997, BOGAZICI UNIVERSITY (ISTANBUL)) TO BE VISITING PROFESSOR OF MATERIALS SCIENCE AND ENGINEERING AT A SALARY RATE OF \$58,500 OVER NINE MONTHS, EFFECTIVE 7/15/2008. (DR. TAMERLER-BEHAR IS A PROFESSOR AT ISTANBUL TECHNICAL UNIVERSITY.)

## **COLLEGE OF OCEAN AND FISHERY SCIENCES**

### SCHOOL OF AQUATIC AND FISHERY SCIENCES

#### **ENDOWED APPOINTMENTS**

#### **BEAUCHAMP, DAVID**

(BS, 1980, UNIVERSITY OF WASHINGTON; MS, 1982, UNIVERSITY OF WASHINGTON; PHD, 1987, UNIVERSITY OF WASHINGTON) TO BE HOLDER OF THE RICHARD C. AND LOIS M. WORTHINGTON ENDOWED PROFESSORSHIP IN FISHERIES MANAGEMENT, EFFECTIVE 7/1/2008. (PROFESSOR BEAUCHAMP WILL CONTINUE AS PROFESSOR WITHOUT TENURE OF AQUATIC AND FISHERY SCIENCES.)

#### **ESSINGTON, TIMOTHY**

(BS, 1991, UNIVERSITY OF MICHIGAN; MS, 1995, UNIVERSITY OF MINNESOTA; PHD, 1999, UNIVERSITY OF WISCONSIN) TO BE HOLDER OF THE LOWELL A. WAKEFIELD ENDOWED PROFESSORSHIP IN OCEAN AND FISHERY SCIENCES, EFFECTIVE 7/1/2008. (PROFESSOR ESSINGTON WILL CONTINUE AS ASSOCIATE PROFESSOR OF AQUATIC AND FISHERY SCIENCES.)

#### **PARRISH, JULIA**

(BS, 1982, CARNEGIE MELLON UNIVERSITY; PHD, 1988, DUKE UNIVERSITY) TO BE HOLDER OF THE LOWELL A. AND FRANKIE L. WAKEFIELD ENDOWED PROFESSORSHIP IN OCEAN AND FISHERY SCIENCES, EFFECTIVE 7/1/2008. (PROFESSOR PARRISH WILL CONTINUE AS PROFESSOR OF AQUATIC AND FISHERY SCIENCES AND OF BIOLOGY.)

#### **SCHINDLER, DANIEL**

(BSC, 1990, UNIVERSITY OF BRITISH COLUMBIA; MS, 1992, UNIVERSITY OF WISCONSIN, PHD, 1995, UNIVERSITY OF WISCONSIN) TO BE HOLDER OF THE H. MASON KEELER ENDOWED PROFESSORSHIP IN SPORTS FISHERIES MANAGEMENT, EFFECTIVE 7/1/2008. (PROFESSOR SCHINDLER WILL CONTINUE AS PROFESSOR OF AQUATIC AND FISHERY SCIENCES AND OF BIOLOGY.)

### SCHOOL OF OCEANOGRAPHY

#### **ENDOWED APPOINTMENTS**

#### **ARMBRUST, E. VIRGINIA**

(BA, 1980, STANFORD UNIVERSITY; PHD, 1990, MASSACHUSETTS INSTITUTE OF TECHNOLOGY) TO BE HOLDER OF THE LOWELL A. AND FRANKIE L. WAKEFIELD ENDOWED PROFESSORSHIP IN OCEAN AND FISHERY SCIENCES, EFFECTIVE 7/1/2008. (PROFESSOR ARMBRUST WILL CONTINUE AS PROFESSOR OF OCEANOGRAPHY.)

## **SCHOOL OF DENTISTRY**

### **DEPARTMENT OF RESTORATIVE DENTISTRY**

#### **ADMINISTRATIVE APPOINTMENTS**

##### **CHAN, DANIEL**

(DMD, 1979, UNIVERSITY OF THE PHILIPPINES; MS, 1984, UNIVERSITY OF IOWA; DDS, 1988, UNIVERSITY OF IOWA) TO BE PROFESSOR OF RESTORATIVE DENTISTRY AND ASSOCIATE DEAN FOR CLINICAL SERVICES AT A SALARY RATE OF \$180,000 OVER TWELVE MONTHS, EFFECTIVE 10/1/2008. (PRIOR TO THIS APPOINTMENT, DR. CHAN WAS A PROFESSOR OF ORAL REHABILITATION AND DIRECTOR OF OPERATIVE DENTISTRY AT THE MEDICAL COLLEGE OF GEORGIA, SCHOOL OF DENTISTRY.)

## **SCHOOL OF MEDICINE**

### **DEPARTMENT OF ANESTHESIOLOGY**

#### **NEW APPOINTMENTS**

##### **HABERKERN, CHARLES**

(BA, 1970, YALE UNIVERSITY; MD, 1974, COLUMBIA UNIVERSITY; MPH, 1997, HARVARD UNIVERSITY) TO BE PROFESSOR WITHOUT TENURE, PART-TIME, OF ANESTHESIOLOGY AT A SALARY RATE OF \$86,995 OVER TWELVE MONTHS, EFFECTIVE 9/1/2008. (PRIOR TO THIS APPOINTMENT, DR. HABERKERN WAS A CLINICAL PROFESSOR IN THE SAME DEPARTMENT.)

##### **THILEN, STEPHAN**

(MD, 1981, KAROLINSKA INSTITUTE (SWEDEN)) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF ANESTHESIOLOGY AT A SALARY RATE OF \$69,768 OVER TWELVE MONTHS, EFFECTIVE 9/1/2008. (PRIOR TO THIS APPOINTMENT, DR. THILEN WAS A REGISTRAR IN THE INTENSIVE CARE UNIT AT FLINDERS MEDICAL CENTRE IN ADELAIDE, AUSTRALIA.)

### **DEPARTMENT OF LABORATORY MEDICINE**

#### **NEW APPOINTMENTS**

##### **PEREIRA, SHALINI**

(BS, 1987, UNIVERSITY OF BOMBAY (INDIA); MS, 1989, UNIVERSITY OF BOMBAY (INDIA); MS, 1991, UNIVERSITY OF ROCHESTER; PHD, 1996, UNIVERSITY OF ROCHESTER) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF LABORATORY MEDICINE PAID DIRECT BY SOURCES OTHER THAN THE UNIVERSITY EFFECTIVE 9/1/2008. (PRIOR TO THIS APPOINTMENT, DR. PEREIRA WAS A CLINICAL ASSISTANT PROFESSOR OF PATHOLOGY AT STANFORD UNIVERSITY.)

##### **WU, DAVID**

(BS, 1995, UNIVERSITY OF ILLINOIS; PHD, 2001, MASSACHUSETTS INSTITUTE OF TECHNOLOGY; MD, 2003, HARVARD UNIVERSITY) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF LABORATORY MEDICINE AT A SALARY RATE OF \$102,996 OVER TWELVE MONTHS, EFFECTIVE 10/1/2008. (PRIOR TO THIS APPOINTMENT, DR. WU WAS A CLINICAL FELLOW IN THE DEPARTMENT OF PATHOLOGY AT BRIGHAM AND WOMEN'S HOSPITAL, BOSTON, MASSACHUSETTS.)

### **DEPARTMENT OF MEDICINE**

#### **NEW APPOINTMENTS**

##### **CHEN, PETER**

(BS, 1994, UNIVERSITY OF TEXAS (AUSTIN); MD, 1999, BAYLOR COLLEGE OF MEDICINE) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF MEDICINE AT A SALARY RATE OF \$130,008 OVER TWELVE MONTHS, EFFECTIVE 9/1/2008. (PRIOR TO THIS APPOINTMENT, DR. CHEN WAS AN ACTING INSTRUCTOR IN THE SAME DEPARTMENT.)

**JEFFERSON, JONATHAN ASHLEY**

(MBBCh, 1989, QUEEN'S UNIVERSITY OF BELFAST (IRELAND); MD, 1995, QUEEN'S UNIVERSITY OF BELFAST (IRELAND)) TO BE ASSOCIATE PROFESSOR WITHOUT TENURE OF MEDICINE AT A SALARY RATE OF \$162,000 OVER TWELVE MONTHS, EFFECTIVE 9/1/2008. (PRIOR TO THIS APPOINTMENT, DR. JEFFERSON WAS A CLINICAL ASSISTANT PROFESSOR-SALARIED IN THE SAME DEPARTMENT.)

**LUKS, ANDREW**

(BA, 1990, DUKE UNIVERSITY; MA, 1993, STANFORD UNIVERSITY; MD, 2000, UNIVERSITY OF CALIFORNIA (SAN DIEGO)) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF MEDICINE AT A SALARY RATE OF \$130,008 OVER TWELVE MONTHS, EFFECTIVE 9/1/2008. (PRIOR TO THIS APPOINTMENT, DR. LUKS WAS AN ACTING INSTRUCTOR IN THE SAME DEPARTMENT.)

**MARGOLIN, KIM**

(BS, 1974, UNIVERSITY OF CALIFORNIA (LOS ANGELES); MD, 1979, STANFORD UNIVERSITY) TO BE PROFESSOR WITHOUT TENURE OF MEDICINE AT A SALARY RATE OF \$250,008 OVER TWELVE MONTHS, EFFECTIVE 10/1/2008. (PRIOR TO THIS APPOINTMENT, DR. MARGOLIN WAS ASSOCIATE DIRECTOR FOR CLINICAL RESEARCH IN THE DIVISION OF MEDICAL ONCOLOGY AT THE CITY OF HOPE CANCER CENTER.)

**MOSTAGHEL, ELAHE**

(BA, 1992, HARVARD UNIVERSITY; MD, 2000, DUKE UNIVERSITY; PHD, 2000, DUKE UNIVERSITY) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF MEDICINE PAID DIRECT BY FRED HUTCHINSON CANCER RESEARCH CENTER EFFECTIVE 10/1/2008. (PRIOR TO THIS APPOINTMENT, DR. MOSTAGHEL WAS AN ACTING INSTRUCTOR IN THE SAME DEPARTMENT.)

**SCOTT, BART**

(BS, 1991, UNIVERSITY OF ALABAMA; MD, 1996, UNIVERSITY OF SOUTH ALABAMA) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF MEDICINE PAID DIRECT BY FRED HUTCHINSON CANCER RESEARCH CENTER EFFECTIVE 9/1/2008. (PRIOR TO THIS APPOINTMENT, DR. SCOTT WAS AN ACTING INSTRUCTOR IN THE SAME DEPARTMENT.)

**WARREN, SAMUEL**

(BA, 1993, OCCIDENTAL COLLEGE; MD, 1999, STANFORD UNIVERSITY) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF MEDICINE AT A SALARY RATE OF \$138,324 OVER TWELVE MONTHS, EFFECTIVE 9/1/2008. (PRIOR TO THIS APPOINTMENT, DR. WARREN WAS A CLINICAL ASSISTANT PROFESSOR - SALARIED IN THE SAME DEPARTMENT.)

**DEPARTMENT OF OBSTETRICS AND GYNECOLOGY****NEW APPOINTMENTS****LIN, KATHLEEN**

(BS, 1995, YALE UNIVERSITY; MD, 1999, ALBERT EINSTEIN COLLEGE OF MEDICINE) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF OBSTETRICS AND GYNECOLOGY AT A SALARY RATE OF \$144,996 OVER TWELVE MONTHS, EFFECTIVE 8/18/2008. (PRIOR TO THIS APPOINTMENT, DR. LIN WAS A FELLOW AT THE UNIVERSITY OF PENNSYLVANIA.)

**DEPARTMENT OF OPHTHALMOLOGY****NEW APPOINTMENTS****VEMULAKONDA, GURUNADH**

(BA, 1998, WASHINGTON UNIVERSITY; MD, 2002, WASHINGTON UNIVERSITY) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF OPHTHALMOLOGY AT A SALARY RATE OF \$90,000 OVER TWELVE MONTHS, EFFECTIVE 9/1/2008. (PRIOR TO THIS APPOINTMENT, DR. VEMULAKONDA WAS A VITREORETINAL SURGERY FELLOW AT THE CASEY EYE INSTITUTE AT OREGON HEALTH SCIENCES UNIVERSITY.)

## DEPARTMENT OF ORTHOPAEDICS AND SPORTS MEDICINE

### **NEW APPOINTMENTS**

#### **GARDINER, EDITH**

(BS, 1977, DUKE UNIVERSITY; MPhil, 1982, YALE UNIVERSITY; PHD, 1988, YALE UNIVERSITY) TO BE VISITING ASSOCIATE PROFESSOR OF ORTHOPAEDICS AND SPORTS MEDICINE PAID DIRECT BY SOURCES OTHER THAN THE UNIVERSITY EFFECTIVE 9/1/2008. (DR. GARDINER IS ASSOCIATE PROFESSOR AND HEAD, BONE BIOLOGY GROUP, METABOLIC MEDICINE PROGRAM AT THE UNIVERSITY OF QUEENSLAND, AUSTRALIA.)

## DEPARTMENT OF PATHOLOGY

### **NEW APPOINTMENTS**

#### **FANG, MIN**

(MD, 1990, SHANGHAI UNIVERSITY (CHINA); PHD, 1998, UNIVERSITY OF ALABAMA) TO BE ASSOCIATE PROFESSOR WITHOUT TENURE OF PATHOLOGY PAID DIRECT BY FRED HUTCHINSON CANCER RESEARCH CENTER EFFECTIVE 9/1/2008. (PRIOR TO THIS APPOINTMENT, DR. FANG WAS AN ASSOCIATE PROFESSOR OF GENETICS AND DEVELOPMENTAL BIOLOGY AT THE UNIVERSITY OF CONNECTICUT.)

## DEPARTMENT OF PEDIATRICS

### **NEW APPOINTMENTS**

#### **MCADAMS, RYAN**

(BS, 1994, UNIVERSITY OF SAINT THOMAS; MD, 1998, MEDICAL COLLEGE OF WISCONSIN) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF PEDIATRICS AT A SALARY RATE OF \$112,008 OVER TWELVE MONTHS, EFFECTIVE 10/1/2008. (PRIOR TO THIS APPOINTMENT, DR. MCADAMS WAS A STAFF NEONATOLOGIST AT US NAVY HOSPITAL IN OKINAWA, JAPAN.)

## DEPARTMENT OF PSYCHIATRY AND BEHAVIORAL SCIENCES

### **NEW APPOINTMENTS**

#### **ATKINS, DAVID**

(BA, 1994, STANFORD UNIVERSITY; MA, 1995, STANFORD UNIVERSITY; PHD, 2003, UNIVERSITY OF WASHINGTON) TO BE RESEARCH ASSOCIATE PROFESSOR OF PSYCHIATRY AND BEHAVIORAL SCIENCES AT A SALARY RATE OF \$90,000 OVER TWELVE MONTHS, EFFECTIVE 9/1/2008. (PRIOR TO THIS APPOINTMENT, DR. ATKINS WAS AN ASSOCIATE PROFESSOR AT FULLER THEOLOGICAL SEMINARY.)

#### **KERNS, SUZANNE**

(BS, 1997, PENNSYLVANIA STATE UNIVERSITY; MA, 2001, UNIVERSITY OF SOUTH CAROLINA; PHD, 2006, UNIVERSITY OF SOUTH CAROLINA) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF PSYCHIATRY AND BEHAVIORAL SCIENCES AT A SALARY RATE OF \$80,076 OVER TWELVE MONTHS, EFFECTIVE 9/16/2008. (PRIOR TO THIS APPOINTMENT, DR. KERNS WAS AN ACTING ASSISTANT PROFESSOR - TEMPORARY IN THE SAME DEPARTMENT.)

## DEPARTMENT OF RADIATION ONCOLOGY

### **NEW APPOINTMENTS**

#### **SANDISON, GEORGE**

(BS, 1978, UNIVERSITY OF MANCHESTER (UK); MSc, 1979, UNIVERSITY OF LONDON (UK); PHD, 1987, UNIVERSITY OF MANITOBA (CANADA)) TO BE PROFESSOR WITHOUT TENURE OF RADIATION ONCOLOGY AT A SALARY RATE OF \$225,000 OVER TWELVE MONTHS, EFFECTIVE 9/1/2008. (PRIOR TO THIS APPOINTMENT, DR. SANDISON WAS ASSOCIATE DEAN, COLLEGE OF PHARMACY, NURSING AND HEALTH SCIENCES, PROFESSOR AND HEAD, SCHOOL OF HEALTH SCIENCES AT PURDUE UNIVERSITY.)

## **SCHOOL OF NURSING**

### DEPARTMENT OF FAMILY AND CHILD NURSING

#### **ADMINISTRATIVE APPOINTMENTS**

##### **BOOTH-LAFORCE, CATHRYN**

(BA, 1970, BALDWIN-WALLACE COLLEGE; MA, 1971, OHIO STATE UNIVERSITY; PHD, 1974, OHIO STATE UNIVERSITY) TO BE ASSOCIATE DEAN OF THE SCHOOL OF NURSING. EFFECTIVE 10/1/2008 (DR. BOOTH-LAFORCE WILL CONTINUE AS PROFESSOR OF FAMILY AND CHILD NURSING AND HOLDER OF THE CHARLES AND GERDA SPENCE ENDOWED PROFESSORSHIP IN NURSING).

## **SCHOOL OF PHARMACY**

### DEPARTMENT OF MEDICINAL CHEMISTRY

#### **ENDOWED APPOINTMENTS**

##### **NELSON, SIDNEY**

(BS, 1968, UNIVERSITY OF WASHINGTON; PHD, 1974, UNIVERSITY OF CALIFORNIA (SAN FRANCISCO)) TO BE HOLDER OF THE ENDOWED PROFESSORSHIP IN DRUG METABOLISM, EFFECTIVE 10/1/2008. (PROFESSOR NELSON WILL CONTINUE AS PROFESSOR OF MEDICINAL CHEMISTRY.)

## **UNIVERSITY OF WASHINGTON, TACOMA**

### MILGARD SCHOOL OF BUSINESS

#### **NEW APPOINTMENTS**

##### **SALVADOR, ROMMEL**

(BS, 1992, ; MBA, 1998, UNIVERSITY OF NEW SOUTH WALES(AUSTRALIA); PHD, 2008, UNIVERSITY OF CENTRAL FLORIDA) TO BE ASSISTANT PROFESSOR OF MILGARD SCHOOL OF BUSINESS AT A SALARY RATE OF \$111,996 OVER NINE MONTHS, EFFECTIVE 9/16/2008. (PHD RECEIVED AUGUST 2, 2008 FROM THE UNIVERSITY OF CENTRAL FLORIDA.)



## VII. STANDING COMMITTEE

### A. Academic and Student Affairs Committee

#### Establishment of the Master of Laws in Health Law

#### RECOMMENDED ACTION

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents grant authority to the School of Law to offer the Master of Laws in Health Law degree program, effective Autumn Quarter 2009. The degree program will have provisional status with a review to be scheduled in the 2014-2015 academic year. At such time that continuing status is granted, a ten-year review cycle would begin.

#### BACKGROUND

In February 2008, the Graduate School received a proposal from the graduate faculty in the School of Law requesting authority to offer the self-sustaining Master of Laws (LL.M.) in Health Law degree program. Implementation is planned for Autumn Quarter 2009, with the program anticipating 33 degrees granted per year at maturity.

The overarching goal of the LL.M. in Health Law program is to provide graduate and professional level study and research in the use of law to promote social justice and improve individual and global health. The program will offer specialized legal education in all facets of health law, including public and private health system organizations and finance; public health law; global health and social justice; regulation of health care providers, researchers and entities; medical negligence; and the rights of patients and populations in the domestic, international and comparative contexts. The program builds on existing resources in the Law School's Center for Law in Science and Global Health, which currently offers a J.D. concentration track in health law. The center also collaborates across campus on programs involving health law.

The growing interest in domestic and international health law and policy is clearly reflected in the students applying to, and being accepted to, the J.D. program. The new prominence of the UW in global health has accentuated student interest, and some national scholars have described the field of health law as currently entering a "golden age." The LL.M. in Health Law program anticipates marketing the program to recent law graduates in addition to practicing attorneys who seek to either refocus or enhance their careers.

In April, 2008, the Vice Provost and Dean of the Graduate School appointed two reviewers to evaluate the Master of Laws in Health Law proposal. One reviewer directs a health law program at a recognized law school; the other directs an institute for bioethics, health policy, and law at a medical school. The reviewers both supported approval of the degree program. Each reviewer made specific recommendations on the program, to which the program provided detailed responses.

## VII. STANDING COMMITTEE

### A. Academic and Student Affairs Committee

#### Establishment of the Master of Laws in Health Law (continued p. 2)

The Graduate School Council met on May 29, 2008, to discuss the LL.M. in Health Law degree proposal. The Council recommended unanimously that the proposal be forwarded to the Higher Education Coordinating Board for review and approval. The Vice Provost and Dean of the Graduate School concurred with this recommendation.

On September 8, 2008, the Higher Education Coordinating Board Education Committee discussed the LL.M. in Health Law proposal, which was then considered by the full Higher Education Coordinating Board on September 18, 2008. The Board approved the proposal by the School of Law at the University of Washington to offer the Master of Laws in Health Law.

The Vice Provost and Dean of the Graduate School, the Dean of the School of Law, and the Provost have reviewed and approved the recommendation. The Higher Education Coordinating Board will be informed of the Board of Regents' action on the LL.M. in Health Law.

## VII. STANDING COMMITTEE

## A. Academic and Student Affairs Committee

Human Resources, Benefits Office – UW Retirement PlanRECOMMENDED ACTION

It is the Recommendation of the Academic and Student Affairs Committee that the Board of Regents approve and adopt the University of Washington Retirement Plan and University of Washington 401(a) Supplemental Retirement Plan, each as amended and restated effective January 1, 2009 (collectively, the “Plans”), in or substantially in the form presented to this meeting.

It is further recommended that the Board of Regents authorize the officers of the University to make such changes in the Plans, not substantially at variance with the documents presented to this meeting, to execute the Plans in the University’s name, and to take such other actions as they deem necessary or appropriate to implement the Plans, including (without limitation) establishment and funding (up to \$1,000) of a trust for the 401(a) Supplemental Retirement Plan, execution of a trust agreement with one or more trustees, submission of governmental filings, including one or more applications to the Internal Revenue Service for determination letters, preparation and execution of additional documentation to provide the intended benefits, and communications with employees.

EXPLANATION OF PROPOSED CHANGES

As outlined at the September Regents' meeting, the Internal Revenue Service recently updated IRC § 403 regulations for the first time since 1964. The regulations require all employers’ plan documents to be in compliance with new provisions and mandatory language starting January 1, 2009.

Under the regulations, the existing UWRP must be split into two plans because the Supplemental Benefit cannot continue to be part of an IRC § 403(b) plan. It is more appropriately treated as a separate IRC § 401(a) plan. The two plans will exist in parallel, with participants enrolled in both plans.

The state of Washington public higher education institutions are working together to make required changes to their plans with the assistance of a Special Assistant Attorney General, who specializes in retirement plans, acting as our common legal counsel. This is to ensure consistency among the plans’ provisions and compliance with state law. The Faculty Council on Benefits and Retirement as well as the Professional Staff Association have both been updated on the required changes. No changes have been made to plan eligibility or participation rules. Copies of the final plan documents are attached. Minor changes may be necessary to obtain an IRS determination letter on the tax-favored status of this new Supplemental Plan, for which we anticipate filing an application in January.

Attachment: FINAL: University of Washington Retirement Plan  
FINAL: University of Washington Supplemental Retirement Plan

A-3/210-08, 10/16/08

UNIVERSITY OF WASHINGTON RETIREMENT PLAN (UWRP)  
Amended and Restated, Effective January 1, 2009

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## 1. Establishment of Plan

The University of Washington Board of Regents established the University of Washington Retirement Plan as of September 1, 1939. This plan document sets forth the provisions of the Plan, as amended through January 1, 2009. This Plan is a governmental plan as defined in Internal Revenue Code Section 414(d), and is intended to satisfy the provisions of Section 403(b) of the Internal Revenue Code.

## 2. Definitions

The terms and phrases defined in this Article have the following meanings throughout this plan document.

2.1. **Accumulation Account** means the separate account established for each Participant with a Fund Sponsor. The current value of a Participant's Accumulation Account includes all Plan Contributions to the Fund Sponsor, less expense charges, and reflecting investment experience.

2.2. **Annuity Contract** means a non-transferable contract described in Section 403(b)(1) of the Code, that is issued by an insurance company qualified to issue annuities in the State of Washington and that includes payment in the form of an annuity.

2.3. **Beneficiary** means (a) with the written consent of the Participant's spouse, if any, such person or persons who shall have been designated by the Participant in writing duly executed and filed with the Fund Sponsor(s) or (b) if no such person survives the Participant, the surviving spouse of the Participant. A new designation may be made at any time before the Participant or Beneficiary has started to receive annuity payments under the Plan; any such new designation shall be subject to the conditions of this Section 2.3.

2.4. **Board** means the Board of Regents of the University of Washington.

2.5. **Break in Service** means termination of all UW employment and appointments for a full calendar month.

2.6. **Code** means the Internal Revenue Code of 1986, as amended (Title 26 of the United States Code).

2.7. **Compensation** means the amount paid by UW to a Participant as regular pay for normally scheduled hours, including regular summer pay and sea pay, together with any paid leave, perquisite or differential pay at a flat amount independent of time, but excluding earnings incidental to an individual's status as a student, differentials paid at other than a flat amount independent of time, leave cash-out payments, and additional pays and excluding any settlement, severance or tenure purchase payments and any other amounts excluded by contract. Compensation shall be determined before taking into account any salary reduction under Code Section 125, 132, 403(b), or 457(a).



2.8. **Custodial Account** means the group or individual custodial account or accounts, described in Section 403(b)(7) of the Code, established for a Participant to hold assets of the Plan.

2.9. **Eligible Employee** means any employee of UW who is employed in an Eligible Position other than an employee who has retired from a position which is covered by RCW 28B.10.400 et seq. and who is not yet contributing to the Plan during the Optional Period.

2.10. **Eligible Position** means an academic, research, librarian, professional, or other position designated by the Board that requires at least fifty percent of the normal full-time workload per month in at least six consecutive months. However, a position held by a person on a fee, retainer, or special contract basis, or as an incident to the private practice of a profession or to the employee's education, is not an Eligible Position. The job classes of resident (job code 0328), chief resident (job code 0329) and fellow (job code 0444) shall also be considered Eligible Positions. An Eligible Employee, once having begun participation in this Plan, shall be deemed to be employed in an Eligible Position even if his or her position no longer requires at least fifty percent of the normal full-time workload per month in at least six consecutive months, so long as the position otherwise qualifies as an Eligible Position.

2.11. **Fund Sponsor** means an insurance, variable annuity, or investment company that provides Funding Vehicles available to Participants under this Plan.

2.12. **Funding Vehicles** means the Annuity Contracts and Custodial Accounts available for investing contributions under this Plan, as specifically approved by UW under Section 5.1.

2.13. **Optional Period** means the period beginning on an individual's first day as an Eligible Employee (or first day as an Eligible Employee following a Break in Service) and ending on the first day of the first pay period following the second anniversary of his or her date of employment in an eligible position, or, if earlier, upon termination of the individual's status as an Eligible Employee.

2.14. **Participant** means any Eligible Employee who participates in the Plan in accordance with Section 3.

2.15. **Plan** means the University of Washington Retirement Plan as set forth in this document as it may be amended from time to time in accordance with Section 10.1.

2.16. **Plan Contributions** means contributions by UW and the Participant under this Plan.

2.17. **Plan Year** means a 12 consecutive month period beginning on July 1st and ending on June 30th through June 30, 2009; the six consecutive month period beginning on July 1, 2009, and ending December 31, 2009; and each calendar year thereafter.

2.18. **Related Employer** means any entity which is under common control with UW under Section 414(b) or (c) of the Code.

2.19. **Retirement Age:** normal retirement age means the last day of the calendar month in which age 65 is attained; early retirement age means the last day of the calendar month in which either

(a) age 62 is attained or (b) age 55 is attained with ten or more Years of Service completed without a Break in Service.

2.20. **UW** means the University of Washington.

2.21. **Washington State Retirement System** means any retirement system paid for by the State of Washington and administered by the State of Washington Department of Retirement Systems.

### **3. Eligibility and Participation**

#### **3.1. Participation.**

(a) An Eligible Employee may begin participation in this Plan during his or her Optional Period and is required to begin participation in this Plan no later than the last day of the Optional Period. Once having begun participation in this Plan, a Participant cannot cease participation while employed in an Eligible Position.

(b) A participant in a Washington State Retirement System who is moved to or whose position is converted to an Eligible Position, may make an irrevocable election to participate in this Plan or remain in the Washington State Retirement System by making the election no later than thirty days following the date of the move or conversion or such later date as the UW Benefits and WorkLife Office gives notice to the participant. If no timely election is made, the participant will remain in the Washington State Retirement System. Such election shall be made available only once in an individual's unbroken service to UW regardless of future changes of position, and enrollment in this Plan shall be irrevocable. For this purpose, "unbroken service" means service without a Break in Service.

(c) A Participant who has participated in this Plan for at least two years and who is moved to or whose position is converted to a position that qualifies for participation in a Washington State Retirement System, may make an irrevocable election to participate in the Washington State Retirement System or remain in this Plan by making the election no later than thirty days following the date of the move or conversion or such later date as the UW Benefits and WorkLife Office gives notice to the participant, provided that the initial enrollment in this Plan was not irrevocable as provided above. If no timely election is made, the Participant will remain irrevocably in this Plan.

(d) A person who is hired by UW in a position that is not an Eligible Position but is eligible for participation in the Washington State Public Employees Retirement System, and who, immediately prior to his or her hire date, has for at least two consecutive years made or benefited from contributions under a retirement plan underwritten by a Fund Sponsor with a public university or college in the State of Washington, may irrevocably elect to participate in this Plan if the election is made within thirty days after his or her hire. If no election is made, the person will become a participant in the Washington State Public Employees Retirement System from the first day of employment.

(e) Each Participant is entitled to the benefits of and is bound by this Plan, including all amendments that may be adopted.

**3.2. Enrollment in Plan.** An Eligible Employee must complete and return to the UW Benefits and WorkLife Office the appropriate UWRP enrollment form(s). Forms for the Fund Sponsor(s) and for the Funding Vehicle(s) selected must be returned to the Fund Sponsor(s) or as directed by the UW Benefits and WorkLife Office.

**3.3. Cessation of Participation.** An employee's participation in the Plan will cease if:

(a) he or she retires or otherwise severs from employment with UW and all Related Employers; or

(b) the Plan is terminated in accordance with Section 10.1; or

(c) he or she is transferred or reclassified to a position that is not an Eligible Position, and he or she does not remain a Participant in this Plan under Section 3.1(c); or

(d) having remained a Participant under Section 3.1(c), or having become a Participant under Section 3.1(d), he or she is transferred or reclassified to a position that is not an Eligible Position and does not qualify for participation in another Washington State Retirement System.

#### **4. Contributions.**

**4.1. Plan Contributions.** A Participant must contribute five percent of Compensation from date of participation until the end of the pay period during which his or her 35th birthday occurs and seven and one-half percent thereafter. A Participant may elect an increased contribution rate of ten percent of Compensation beginning with the first pay period following that pay period during which he or she reaches age 50. Such election may be revoked and thereafter re-elected at the option of the Participant. UW will make a matching contribution equal to each Participant contribution and will transmit all Plan Contributions to the Fund Sponsor.

#### **4.2. Income Tax Deferral.**

(a) Except as provided in (b) below, Plan Contributions shall be made on a tax-deferred basis as authorized under Section 403(b) of the Code.

(b) Participant contributions shall be made on a non-tax-deferred basis for certain participants who so elected on an irrevocable basis prior to January 1, 1994.

**4.3. Limit on Salary Taken into Account.** In addition to other applicable limitations stated in the Plan and notwithstanding any other provision of the Plan to the contrary, for employees who become Participants on or after July 1, 1996, the Compensation taken into account under Section 4.1 for any Plan Year may not exceed \$230,000, as adjusted by the Commissioner of Internal Revenue from time to time for increases in the cost of living (half the annual limit for the six-month Plan Year ending December 31, 2009).

#### **4.4. Leave of Absence.**

(a) During an authorized leave of absence with pay, Plan Contributions will continue to be made. Plan Contributions will be calculated based on the actual Compensation UW pays to the Participant during the leave of absence.

(b) To the extent required by the Uniformed Services Employment and Reemployment Rights Act of 1994, Participants who are absent from employment by reason of service in the uniformed service of the United States shall be entitled to make up contributions that they would have made had they remained in employment during the period of service and to benefit from UW matching contributions.

(c) A Participant who returns to employment with UW immediately following an authorized leave of absence, other than an absence described in (b) above, and remains employed by UW for at least two years after such return, may contribute within five years after such return an amount equal to the total amount that would have been contributed had the Participant not been on leave (including any amount UW would have contributed) less any contributions under (a) or (b) above with respect to the same leave. The contributions shall be based on the average of the Participant's Compensation at the time the leave of absence was authorized and the time the Participant resumes employment. UW will not match such contributions.

**4.5. Rollovers or Transfers to the Plan.** Rollovers or transfers from other plans, accounts or annuities to the Plan will not be accepted.

**4.6. Allocation of Plan Contributions.** A Participant may allocate Plan Contributions among Funding Vehicles in any whole-number percentages that total 100 percent.

**4.7. Vesting of Contributions.** Each contract and certificate issued in accordance with the provisions of the Plan is the property of the Participant. Amounts attributable to Plan Contributions shall be nonforfeitable. However, Plan Contributions based on a mistake of fact, including Plan Contributions made for an employee who has not completed and returned the enrollment forms required by Section 3.2, shall be returned to UW if UW so requests as provided in Section 9.6.

**4.8. Annual Statement.** At least once a year a Fund Sponsor will send each Participant a report summarizing the status of his or her Accumulation Account. A Participant may obtain similar reports or illustrations upon termination of employment or at any other time by writing directly to the Fund Sponsor.

**4.9. No Reversion.** Under no circumstances will any Plan Contributions revert to, be paid to, or inure to the benefit of, directly or indirectly, UW, except as provided in Section 4.7 or Section 4.10.

**4.10. Maximum Contribution.** Plan Contributions for a Participant for any calendar year, together with contributions for the Participant under any other plan subject to Section 402(g) or 403(b) of the Code, shall not exceed the limitations in Code Sections 402(g) and 415 of the

Code, to the extent applicable, except as permitted by Code Section 414(v). The limitations of Code Sections 402(g), 414(v) and 415 are herein incorporated by reference. If the limitations are exceeded because the Participant is also participating in another plan required to be aggregated with this Plan for the purposes of Code Sections 402(g), 414(v) or 415, and such other plan is maintained by UW or a Related Employer, or UW receives from the Participant sufficient information concerning his or her participation in such other plan, then the extent to which annual contributions under this Plan will be reduced, as compared with the extent to which annual benefits or contributions under any other plans will be reduced, will be determined by UW. If the reduction is under this Plan, UW will advise the affected Participant of any limitations on his or her Plan Contributions required by this section. UW may, in its sole discretion, cause any contribution in excess of the foregoing limitations, adjusted for income, gains, losses or expenses attributable to such excess contribution, to be returned to UW or distributed to the Participant to the extent permitted by applicable law.

## **5. Fund Sponsors and Funding Vehicles.**

**5.1. Fund Sponsors and Funding Vehicles.** Plan Contributions are invested in one or more Funding Vehicles made available by UW to Participants under this Plan. UW's current choice of Fund Sponsors and Funding Vehicles is not intended to limit future additions or deletions by UW of Fund Sponsors and Funding Vehicles. The Administrator shall maintain a list of all Fund Sponsors under the Plan. Such list is hereby incorporated as part of the Plan. Each Fund Sponsor and UW shall exchange such information as may be necessary to satisfy Section 403(b) of the Code or other requirements of applicable law. In the case of a Fund Sponsor which is not eligible to receive contributions under the Plan (including a Fund Sponsor which has ceased to be a Fund Sponsor eligible to receive contributions under the Plan, the Employer shall keep the Fund Sponsor informed of the name and contact information of the Plan administrator in order to coordinate information necessary to satisfy section 403(b) of the Code or other requirements of applicable law.

(a) **Fund Transfers.** Subject to a Funding Vehicle's rules for transfers and in accordance with the provisions of the Code governing the deferral of income tax with respect to Accumulation Accounts, a Participant may specify that a part or all of his or her Accumulation Account in one Funding Vehicle be transferred to another Funding Vehicle. However, an investment transfer that includes an investment with a Fund Sponsor that is not eligible to receive contributions under Section 4 (referred to below as an exchange) is not permitted.

## **5.2. Fund Review Committee.**

(a) **Responsibility.** The Fund Review Committee is a standing body responsible for reviewing the performance of Fund Sponsors and Funding Vehicles available through the University of Washington Retirement Plan (UWRP) and Voluntary Investment Program (VIP). The Committee will also evaluate and recommend whether new Fund Sponsors or Funding Vehicles should be added and whether existing Fund Sponsors or Funding Vehicles should be removed.

If a Funding Vehicle or Fund Sponsor is removed, it shall not be available for subsequent contributions except as otherwise prescribed by the Committee. In addition, the Committee may determine that existing accumulations shall be transferred to one or more other Funding Vehicles specified by the Committee.

The Committee, in coordination with the UW Benefits and WorkLife Office, is to determine the frequency of the performance reviews. In evaluating existing Fund Sponsors and Fund Vehicles, the Committee will monitor and review performance data, analyze participants' comments, requests and concerns, and conduct public meetings on proposed changes. Draft recommendations of the Committee will be presented to and discussed with the Faculty Council on Retirement, Insurance and Benefits. Final recommendations for changes are to be submitted to the Executive Vice President.

The UW Benefits and WorkLife Office shall provide support for the Committee's activities. The Committee may also appoint such advisors and adopt such guidelines, policies or procedures as it deems appropriate.

(b) **Membership.** The Committee shall be appointed by, and serve at the pleasure of, the Executive Vice President of UW, who will consult with the Faculty Council on Retirement, Insurance and Benefits in selecting the members. The membership shall include the following representatives and officials:

- One representative of the Faculty Council on Retirement, Insurance and Benefits
- One or more representatives of the Faculty, as recommended by the Faculty Senate Executive Committee
- One representative of the Librarians, as recommended by the Association of Librarians of the University of Washington
- One representative of the Professional Staff, as recommended by the Professional Staff Organization
- One UWRP participant representing the Classified or Contract Classified Staff, as recommended by the Vice President, Human Resources
- One retired UWRP representative, as recommended by the UW Retirement Association
- Ex officio, voting members:
  - UW Director of Benefits & WorkLife
  - UW Treasurer, Board of Regents
- Non-voting member:
  - Representative from a four-year institution of higher education in the State of Washington other than the University of Washington

In appointing members, those groups recommending members and the Executive Vice President shall seek to identify individuals who have expertise in the areas of tax and pension law for 403(b) or similar retirement plans, or of finance, investment, or economics.

The Executive Vice President shall designate the Chair of the Committee.

Term of office for members shall be staggered, three year terms. The Committee shall meet as often as it deems appropriate, but not less than every six months. The Chair of the Committee may appoint such committee officers, advisors and sub-committees as needed.

**5.3. Third Party Trading.** The Participant may assign responsibility for investment elections and other transactions under the Plan to another party, in such manner as may be determined from time to time by the UW Benefits and WorkLife Office.

## **6. Retirement.**

**6.1. Retirement Because of Age.** On the first of any month after attaining Retirement Age, a Participant who is actively employed by UW may elect to retire by submitting a written application to the UW Benefits and WorkLife Office.

**6.2. Retirement Because of Condition of Health.** A retirement because of condition of health may be approved by the President of UW upon request by a Participant who is actively employed by UW or by the administrative officer concerned. The basis for approval is whether continued service by the Participant is likely to seriously impair or endanger the Participant's health, or if the Participant is permanently unable to carry on his or her usual duties because of health. A request for retirement because of condition of health is referred to the Director of Benefits & WorkLife, who will convene the Special Board on Retirement Because of Health. The recommendations of the Special Board on Retirement Because of Health are presented to the President of UW. The Special Board consists of the Dean of the School of Medicine, the Director of Benefits & WorkLife, one physician appointed by the Faculty Senate for a term of three years, and two Participant representatives selected by the Vice President, Human Resources after consultation with the Faculty Senate and other Participant groups.

**6.3. Retiree Reemployment.** means the reemployment of a former Participant up to 40% of full time following the date of retirement under Section 6.1. Such reemployment shall be subject to all applicable UW rules. A reemployed retiree is not a Participant under the Plan.

## **7. Benefits.**

**7.1. Retirement Benefits.** After a Participant retires under the Plan or his or her employment with UW and any related Employer earlier terminates, the Participant may access 100% of the retirement accumulations. The Participant should contact the Fund Sponsor to determine the variety of payment options offered. Any election hereunder requires the written consent of the Participant's spouse, if any, in accordance with Section 7.3.

**7.2. Death Benefits.** On the death of a Participant, the entire value of each Accumulation Account is payable to the Beneficiary or Beneficiaries named by the Participant under one of the options offered by the Fund Sponsor. However, to the extent that the account has been applied to purchase an annuity, payments shall be made only if and to the extent provided by the form of annuity.

**7.3. Application for Benefits; Spousal Consent.** To begin receiving benefits, the Participant or Beneficiary must write directly to the Fund Sponsor. The Fund Sponsor will provide the necessary forms to the Participant or the Beneficiary. The Fund Sponsor will pay benefits upon receipt of a satisfactorily completed application for benefits and supporting documents. In any case in which Section 2.2 or 7.1 requires the consent of the Participant's spouse, the consent must be in writing, must acknowledge the effect of the election or action to which the consent applies, and must be witnessed by a notary public. Unless the consent expressly provides that the Participant may make further elections without further consent of the spouse, the consent will be effective only with respect to the specific election of form of benefit, or Beneficiary, or both, to which the consent relates. Spousal consent will be effective only with respect to that spouse. Spousal consent will not be required if it is established to the satisfaction of the UW Benefits and WorkLife Office that the spouse cannot be located.

**7.4. Minimum Distribution Requirements.**

(a) All distributions under this Plan will be made in accordance with Code sections 403(b)(10) and 401(a)(9), as each is amended and in effect from time to time, and regulations thereunder. The entire Accumulation Account of each Participant will be distributed over a period not to exceed the life (or life expectancy) of the Participant or over the lives (or life expectancies) of the Participant and a designated Beneficiary. Minimum distributions must begin no later than April 1 of the calendar year following the calendar year in which the Participant attains age 70 1/2, or, if later, April 1 following the calendar year in which the Participant retires from UW. Notwithstanding the above, the Accumulation Account of each Participant as of December 31, 1986 will be distributed in accordance with IRS Regulation 1.403(b)-6(e)(6). The Participant (or Beneficiary, after the Participant's death) may elect whether to use the permissive recalculation rule for life expectancies under Code Section 401(a)(9)(D). Upon the Participant's death after the time benefits are required to begin hereunder, any remaining benefits will be distributed at least as rapidly as under the method of distribution in effect at the time of the Participant's death.

(b) If the Participant dies before benefit payments are required to begin under the preceding paragraph, any benefits payable to (or for the benefit of) a designated Beneficiary will be paid by the end of the fifth full calendar year after the Participant's death, or will be paid beginning no later than the end of the first full calendar year after the Participant's death over the life of the designated Beneficiary or over a period not exceeding the life expectancy of the designated Beneficiary. If the designated Beneficiary is the surviving spouse, payment may be delayed until the date the Participant would have attained age 70 1/2.



(c) In applying the foregoing rules, each Annuity Contract or Custodial Account shall be treated as an individual retirement account (IRA) and distribution shall be made in accordance with the provisions of Section 1.408-8 of the IRS regulations, except as provided in Section 1.403(b)-6(e) of the Regulations.

**7.5. Withdrawals, Loans, Benefit Distributions.** Withdrawals and/or benefit distributions are not available prior to severance from employment with UW and all Related Employers. Loans are not available.

**7.6. Rollover Distributions.**

(a) A Participant or the Beneficiary of a deceased Participant (or a Participant's spouse or former spouse who is an alternate payee under a domestic relations order, as defined in section 414(p) of the Code) who is entitled to an eligible rollover distribution (as defined in section 402(c)(4) of the Code) from the Plan may elect to have any portion of that distribution paid directly to an eligible retirement plan (as defined in section 402(c)(8)(B) of the Code) specified by the Participant in a direct rollover. In the case of a distribution to a Beneficiary who at the time of the Participant's death was neither the spouse of the Participant nor the spouse or former spouse of the participant who is an alternate payee under a domestic relations order, a direct rollover is payable only to an individual retirement account or individual retirement annuity (IRA) that has been established on behalf of the Beneficiary as an inherited IRA (within the meaning of section 408(d)(3)(C) of the Code).

(b) Each Fund Sponsor shall be separately responsible for providing, within a reasonable time period before making an initial eligible rollover distribution, an explanation to the Participant of his or her right to elect a direct rollover and the income tax withholding consequences of not electing a direct rollover.

**8. Administration**

**8.1. Plan Administration.** UW is the administrator of this Plan and has designated the UW Benefits and WorkLife Office to be responsible for the day to day administration of the Plan.

**8.2. Authority of UW.** UW shall have final authority to determine all questions concerning eligibility and contributions under the Plan, to interpret all terms of the Plan, including any uncertain terms, and to decide any disputes arising under and all questions concerning administration of the Plan. Any determination made by UW shall be given deference, if it is subject to judicial review, and shall be overturned only if it is arbitrary and capricious.

**9. Miscellaneous**

**9.1. Non-Alienation of Benefits.** Except as provided in this section, no benefit under the Plan may at any time be subject in any manner to alienation, encumbrance, the claims of creditors, or legal process. No participant will have power in any manner to transfer, assign, alienate, or in any way encumber his or her benefits under the Plan, or any part thereof, and any attempt to do so will be void and of no effect. This Plan will comply with any judgment, decree or order that

establishes the rights of another person to all or a portion of a Participant's benefit under this Plan to the extent that it is treated as a qualified domestic relations order under Code Section 414(p). Such payment shall be made without regard to whether the Participant is eligible for a distribution of benefits under the Plan. UW shall establish reasonable procedures for determining the status of any such decree or order and for effectuating distribution pursuant to the domestic relations order.

**9.2. Plan Does Not Affect Employment.** Nothing in this Plan is a commitment or agreement by any person to continue his or her employment with UW, and nothing in this Plan is a commitment on the part of UW to continue the employment or the rate of compensation of any person for any period. All employees of UW will remain subject to nonrenewal, discharge or discipline to the same extent as if the Plan had never been put into effect.

**9.3. Claims of Other Persons.** The Plan does not give any Participant or any other person, firm, or corporation any legal or equitable right against UW, or its officers, employees, or Regents, except for the rights that are specifically provided for in this Plan or created in accordance with the terms and provisions of this Plan.

**9.4. Contracts and Certificates.** In the event there is any inconsistency or ambiguity between the terms of the Plan and the terms of the contracts between the Fund Sponsor and UW and/or the Participants and any contracts and/or certificates issued to a Participant under the Plan, the terms of the Plan control.

**9.5. Requests for Information.** Any request for information concerning eligibility, participation, contributions, or other aspects of the operation of the Plan should be in writing and directed to the UW Benefits and WorkLife Office. Requests for information concerning the Fund Sponsor, the Funding Vehicle(s), their terms, conditions and interpretations thereof, claims thereunder, any requests for review of such claims, and service of legal process may be directed in writing to the Fund Sponsor.

**9.6. Mistaken Contributions.** If any contribution (or any portion of a contribution) is made to the Plan by a good faith mistake of fact, then within one year after the payment of the contribution, and upon receipt in good order of a proper request approved by the UW Benefits and WorkLife Office, the amount of the mistaken contribution (adjusted for any income or loss in value, if any, allocable thereto) shall be returned directly to the Participant or, to the extent required or permitted by the UW Benefits and WorkLife Office, to UW.

**9.7. Governing Law.** The provisions of the Plan are governed by and construed in accordance with the laws of the State of Washington.

## **10. Amendment and Termination.**

**10.1. Amendment and Termination.** The Board reserves the right at any time to amend or terminate the Plan, in whole or in part, or to discontinue any further contributions or payments under the Plan. If the Plan is terminated or if Plan Contributions are completely discontinued, UW will notify all Participants. As of the date of complete or partial termination, all Accumulation Accounts will remain nonforfeitable.

**10.2. Distribution Upon Termination of the Plan.** UW may provide that, in connection with a termination of the Plan and subject to any restrictions contained in the Annuity Contracts and Custodial Account agreements, all Accumulation Accounts will be distributed, provided that UW and any Related Employer on the date of termination do not make contributions to an alternative section 403(b) contract that is not part of the Plan during the period beginning on the date of plan termination and ending 12 months after the distribution of all assets from the Plan, except as permitted by IRS regulations.

**10.3. Limitation.** Notwithstanding the provisions of Section 10.1, the Board shall not make any amendment to the Plan that operates to recapture for UW any contributions previously made under this Plan except to the extent permitted by Section 4.7 or 4.10.

UNIVERSITY OF WASHINGTON  
401(a) SUPPLEMENTAL RETIREMENT PLAN  
Amended and Restated, Effective January 1, 2009

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## ARTICLE 1. INTRODUCTION

1.1. Amendment and Restatement. This document amends, restates, and continues Part III of the University of Washington Retirement Plan (UWRP), as in effect on December 31, 2008, which previously set out the terms and conditions of the supplemental retirement benefit provided for in RCW 28B.10.400(3), as amended.

1.2. 401(a) Status. The Plan, as set forth herein and previously in the UWRP document, is a governmental plan as defined in Section 410(d) of the Code intended to qualify under Section 401(a) of the Code, and shall be construed accordingly.

## ARTICLE 2. DEFINITIONS

2.1. “Average Annual Salary” means the average annual Basic Salary paid to a Participant for his or her highest 24 consecutive Months of Service.

2.2. “Basic Salary” means the salary received by a Participant under the terms of his or her appointment, including any summer appointments, but excluding other extended appointments. Basic Salary shall be determined before taking into account any salary reduction under Code sections 125, 132, 403(b) or 457(a). Basic Salary shall exclude leave cash-out payments, any settlement, severance or tenure purchase payments, and any other amounts that are not taken into account in computing UWRP contributions. For any Participant who first became a participant in this Plan or the UWRP on or after July 1, 1996, Basic Salary shall not be taken into account for any Plan Year in excess of \$230,000 (or such other limit as shall apply for such Plan Year under Section 401(a) (17) of the Code).

2.3. “Beneficiary” means the surviving spouse of the Participant or, with the written consent of such spouse, if any, such other person or persons as shall have an insurable interest in the Participant’s life and shall have been nominated by written designation duly executed and filed with the UW Benefits and WorkLife Office.

2.4. “Board” means the Board of Regents of the University of Washington.

2.5. “Break in Service” means termination of all UW employment and appointments for a full calendar month.

2.6. “Code” means the Internal Revenue Code of 1986, as amended (Title 26 of the United States Code).

2.7. “Eligible Employee” means any employee of UW who is employed in an Eligible Position, other than an employee who has retired from a position which is covered by RCW 28B.10.400 et seq.

2.8. “Eligible Position” means an academic, research, librarian, professional, or other salaried position designated by the Board that requires at least fifty percent of the normal full-time workload per month in at least six consecutive months. However, a position held by a person on a fee, retainer, or special contract basis, or as an incident to the private practice of a profession or to the employee’s education, is not an Eligible Position. The job classes of resident



(job code 0328), chief resident (job code 0329) and fellow (job code 0444) shall also be considered Eligible Positions. An Eligible Employee, once having begun participation in this Plan, shall be deemed to be employed in an Eligible Position even if his or her position no longer requires at least fifty percent of the normal full-time workload per month in at least six consecutive months, so long as the position otherwise qualifies as an Eligible Position.

2.9. “Fixed Annuity” means a Retirement Annuity contract issued by Teachers Insurance and Annuity Association (“TIAA”) under which 100% of the accumulation is invested in the TIAA Traditional Account.

2.10. “Month of Service” is a calendar month throughout which the employee is employed in an Eligible Position with 50 percent or more of the normal full time work load. If the employee is employed in an Eligible Position for only a fraction of a month, prorated credit shall be given for that month.

2.11. “Participant” means any Eligible Employee who participates in the Plan in accordance with Article 3.

2.12. “Plan” means the University of Washington 401(a) Supplemental Retirement Plan set forth in this document as it may be amended from time to time and, prior to January 1, 2009, as set forth in Part III of the UWRP plan document.

2.13. “Plan Year” means the calendar year.

2.14. “Retirement Age”: “Normal Retirement Age means the last day of the calendar month in which age 65 is attained; Early Retirement Age means the last day of the calendar month in which age 62 is attained.

2.15. “Trust” means the trust established to hold and invest assets of the Plan.

2.16. “Trustee” means the trustee or trustees appointed by UW to administer the Trust.

2.17. “USERRA” means the Uniformed Services Employment and Reemployment Rights Act of 1994.

2.18. “UW” means the University of Washington.

2.19. “UWRP” means the University of Washington Retirement Plan.

2.20. “Variable Annuity” means a variable Retirement Annuity certificate issued by College Retirement Equities Fund (“CREF”) under which the entire accumulation is invested in the CREF Stock Account.

2.21. “Year of Service” is a Plan Year in which the employee completes at least five Months of Service, excluding Months of Service before a prior Break in Service (if any) and, after July 1, 1979, any Months of Service for which no contributions were made under the UWRP or any other plan established pursuant to RCW 28B.10.400. Authorized leaves of absence will also be included, as will periods of absence in the uniformed services to the extent provided in

USERRA. A Participant's Years of Service will also include his or her credited Years of Service in a position covered by RCW 28B.10.400 et seq. or in a UW position covered by a Washington State Retirement System, provided that, with regard to the Washington State Retirement System, (a) the Participant transfers directly from the System to the UWRP, (b) the Participant was vested and will receive a retirement income benefit from such System, (c) service that has been withdrawn does not count, and (d) the Participant signs a release within 60 days after the application for benefits, as needed to obtain the relevant information from the System. Any retirement income benefit that he or she is eligible to receive under the System attributable to Years of Service that are covered under the preceding sentence shall be included in the assumed benefit offset described in Section 5.3. Except as otherwise provided in USERRA, an Employee may receive credit for no more than two years during his or her entire working career for periods of authorized leave without pay, provided that the Employee contributes both the Employer and Employee contributions under the UWRP while on authorized leave, and returns to the employment of UW immediately following the leave for a period of not less than two years. The Employee and Employer contributions shall be based on the average of the Employee's compensation at the time the leave of absence was authorized and the time the Employee resumes employment. However, the benefit provided by this Plan shall be based only on the Participant's compensation earned from employment with UW.

### ARTICLE 3. PARTICIPATION

3.1. Commencement of participation. An Eligible Employee begins (or began) participation in this Plan on the later of (a) the date he or she begins (or began) participation in the UWRP or (b) the beginning of the first period for which the Eligible Employee has made a contribution under the UWRP.

3.2. Cessation of participation. A Participant will continue to be a Participant so long as he or she continues to contribute under the UWRP (except while on authorized leave), and shall cease to be a Participant in this Plan when he or she ceases to contribute under the UWRP.

### ARTICLE 4. RETIREMENT

4.1. Retirement because of age. On the first of any month after attaining age 62, a Participant who is actively employed by UW may elect to retire by submitting a written resignation to his or her superior and a retirement application to the UW Benefits and WorkLife Office. A person is ineligible for any benefit under this Plan if he or she ceases to be a Participant prior to age 62 for reasons other than retirement because of condition of health as described in Section 4.2.

4.2. Retirement because of condition of health. A retirement because of condition of health may be approved by the President of UW upon request by a Participant or by the administrative officer concerned. The basis for approval is whether continued service by the Participant is likely to seriously impair or endanger the Participant's health, or if the Participant is permanently unable to carry on his or her usual duties because of health. A request for retirement because of condition of health is referred to the Director of Benefits & WorkLife, who will convene the Special Board on Retirement Because of Health. The recommendations of the Special Board on Retirement Because of Health are presented to the President of UW. The

Special Board consists of the Dean of the School of Medicine, the Director of Benefits & WorkLife, one physician appointed by the Faculty Senate for a term of three years, and two Participant representatives selected by the Vice President, Human Resources after consultation with the Faculty Senate and other Participant groups.

4.3. Retiree reemployment means the reemployment of a former Participant up to 40% of full time following the date of retirement under Section 4.1. Such reemployment shall be subject to all applicable UW rules. Such reemployment after retirement will not be counted as service under the Plan nor result in any eligibility for increased benefits under the Plan. A reemployed retiree who retired under this Plan or the UWRP is not a Participant under the Plan.

## ARTICLE 5. BENEFITS

5.1. Eligibility for benefit. A Participant is eligible for a benefit under this Plan if, at retirement, all of the following are true:

- (a) The Participant has reached age 62 or retires under Section 4.2, and
- (b) The number of his or her Years of Service is ten or more, and
- (c) The amount of his or her benefit, as calculated under Section 5.2, is a positive amount.

5.2. Amount of benefit. The monthly amount of lifetime benefit payable to an eligible retired Participant is the amount determined by UW at the time of retirement to be the excess, if any, of

- (a) one-twelfth of two percent of the Participant's Average Annual Salary multiplied by the number of his or her Years of Service (such product not to exceed one-twelfth of 50% of the Participant's Average Annual Salary) over
- (b) the amount of the assumed annuity benefit offset the retired Participant would receive in the first month of retirement, calculated as provided in Section 5.3.

The percentage factor in (a) above shall be 1.5 percent instead of 2 percent for any Month of Service commencing on or after July 1, 1974, during any portion of which the Participant, having attained the age of fifty, had not elected to participate in the UWRP at the 10% plan contribution rate. The benefit hereunder is reduced by .5% times the number of full calendar months that benefit payments begin prior to Normal Retirement Age; however, if the Participant was given a retirement because of condition of health under Section 4.2, this reduction will not apply.

5.3. Assumed annuity benefit offset. The assumed annuity benefit offset for a married Participant is equal to the amount of monthly benefit from the Fixed and Variable Annuities calculated as a joint and survivor annuity with two-thirds of the benefit to the survivor, with the Participant's spouse as the survivor (using the spouse's actual age, if within five years of the Participant's age; otherwise, using the age closest to the spouse's age that is five years less than or five years greater than the Participant's age). For an unmarried Participant, the benefit offset is calculated as a single life annuity with a ten-year guaranteed period. In either case, the

assumed annuity benefit offset will be the amount estimated by UW at the time of retirement. The following assumptions shall be used in computing the assumed annuity benefit offset:

- (a) Benefit calculations related to contributions under the UWRP shall be computed on the assumption that the Participant had allocated 50 percent of such contributions to the Fixed Annuity and 50 percent of such contributions to the Variable Annuity and made no subsequent transfers from these accounts.
- (b) Any portion of a Participant's accumulation account under the UWRP which is awarded by a court to such person's spouse under a domestic relations order is included in any subsequent calculation of the benefit under the UWRP as if such portion had remained in the Participant's accumulation account under the UWRP until the date of retirement.
- (c) Annuity accumulations attributable to any additional voluntary employee contributions, beyond those provided for in the UWRP, and any contributions paid through employers other than State of Washington institutions of higher education, are excluded.
- (d) All benefits that a retired Participant is eligible to receive from a plan established pursuant to RCW 28B.10.400 or (to the extent attributable to Years of Service) from a Washington State Retirement System shall be included in the assumed annuity benefit offset to the same extent as if received from the UWRP.

5.4. Alternative method of benefit calculation. The monthly amount of the benefit for a participant whose Months of Service began not later than October 1, 1955, is the greater of:

- (a) The benefit defined in Section 5.2; or
- (b) The supplemental benefit that would have been provided the Participant under the rules of the UWRP in effect on June 30, 1974.

5.5. Death benefit. If a Participant dies while eligible for benefits under the Plan after attaining age 62, the benefit, if any, payable to the Participant's Beneficiary shall be equal to the benefit that the Beneficiary would have received if the Participant had begun to receive retirement income on the first day of the month following the month in which the Participant's death occurs and had elected a two-thirds benefit to survivor option with the Beneficiary as the survivor.

5.6. Form of distribution. Benefits under the Plan are to be received as lifetime income and may only be made over (a) the life of the retiree, or (b) at the written election of the retiree, the lives of the retiree and a Beneficiary, in any form of lifetime annuity made available by UW that does not include a guarantee period. If option (b) is chosen, the actuarially equivalent income shall be computed using the dividend, interest and mortality basis then in effect for the Fixed Annuity. Any form of distribution hereunder to a married Participant, other than a joint and survivor form under which the spouse to whom the Participant is married at the time of the election hereunder receives a survivor annuity equal to 50% or more of the lifetime annuity payable to the Participant, requires the consent of the Participant's spouse at the time of

the election hereunder, in accordance with Section 5.7. Once an election has been made hereunder, the form of distribution may not be changed at any later time for any reason, including (without limitation) remarriage of the Participant, provided that in the event of a Beneficiary's death (or any other change in circumstances) before the starting date of any annuity payments a new Beneficiary may be designated by the Participant prior to that starting date. Benefit payments are made in equal monthly installments or more frequently, consistent with UW's payroll practices. At the election of UW, the supplemental payment may be made at longer intervals if the installments for a Participant or his or her Beneficiary would otherwise be less than a minimum amount established from time to time by the UW Benefits and WorkLife Office.

5.7. Application for benefits; spousal consent. To begin receiving benefits, the Participant or Beneficiary must write directly to the UW Benefits and WorkLife Office. The UW Benefits and WorkLife Office will provide the necessary forms to the Participant or the Beneficiary. UW will pay benefits upon receipt of a satisfactorily completed application for benefits and supporting documents. In any case in which the consent of the Participant's spouse is required, the consent must be in writing, must acknowledge the effect of the election or action to which the consent applies, and must be witnessed by a notary public. Unless the consent expressly provides that the Participant may make further elections without further consent of the spouse, the consent will be effective only with respect to the specific election of form of benefit, or Beneficiary, or both, to which the consent relates. Spousal consent will be effective only with respect to that spouse. Spousal consent will not be required if it is established to the satisfaction of the UW Benefits and WorkLife Office that the spouse cannot be located.

5.8. Application for benefits. Procedures for calculation of the benefits under this Plan are initiated by submitting an "Application for Retirement" form to the UW Benefits and WorkLife Office.

5.9. Benefit payments. Any benefits that become payable under this Article 5 will be paid from the general assets of UW, unless paid from the Trust. If benefits are paid from general assets of UW, they shall be treated as a contribution to the Trust and payment by the Trust. Nothing in this Plan will be construed to create a trust or obligate UW to segregate a fund, purchase an annuity contract, or fund in any other way the future payment of any benefits under this Plan.

5.10. Minimum distributions. All benefits under this Plan will be made in accordance with Code Section 401(a)(9) and the regulations thereunder. Minimum distributions must begin no later than April 1 of the calendar year following the calendar year in which the Participant attains age 70½ or, if later, April 1 following the calendar year in which the Participant retires from UW. Upon the Participant's death after the time benefits are required to begin hereunder, any remaining benefits will be distributed at least as rapidly as under the method of distribution in effect at the time of the Participant's death. If the Participant dies before benefit payments are required to begin under the second sentence of this Section 5.10, any benefits payable to (or for the benefit of) the Beneficiary will be paid beginning no later than the date the Participant would have attained age 70 1/2 over the life of the Beneficiary.

5.11. Maximum benefit. Benefits under the Plan shall not exceed the limitation of Section 415(b) of the Code, to the extent applicable.

5.12. Forfeitures. Forfeitures shall not be applied to increase the benefits any employee would otherwise receive under the Plan.

## ARTICLE 6. ADMINISTRATION

6.1. Plan administration. UW is the administrator of this Plan and has designated the UW Benefits and WorkLife Office to be responsible for the day to day administration of the Plan.

6.2. Authority of UW. UW shall have final authority to determine all questions concerning eligibility and benefits under the Plan, to interpret all terms of the Plan, including any uncertain terms, and to decide any disputes arising under and all questions concerning administration of the Plan. Any determination made by UW shall be given deference, if it is subject to judicial review, and shall be overturned only if it is arbitrary and capricious.

6.3. Requests for information. Any request for information concerning eligibility, participation, benefits, or other aspects of the operation of the Plan should be in writing and directed to the UW Benefits and WorkLife Office.

6.4. Payment of expenses. All reasonable costs and expenses incident to the administration of the Plan and the Trust, including but not limited to legal, accounting, and Trustee fees, shall be paid by the Trust unless UW elects to pay such expenses. Notwithstanding the foregoing, any and all expenses relating to settlor functions such as creation or termination of the Plan shall be paid by UW and may not be paid from the Trust.

## ARTICLE 7. FUNDING

7.1. Trust agreement or declaration. UW shall appoint a Trustee (which may include UW itself) and enter into a trust agreement or declaration of trust. The Trustee will receive and invest all contributions, if any, made under the Plan to the Trust and all income derived therefrom. UW may remove a Trustee and may appoint a successor or additional Trustees and may divide their duties and responsibilities as it sees fit.

7.2. Exclusive benefit of Participants. All assets of the Trust shall be held for the exclusive purpose of providing benefits to Participants and Beneficiaries under the Plan and defraying reasonable expenses of administering the Plan and as otherwise permitted by law and the Plan. In no event shall it be possible at any time prior to the satisfaction of all liabilities under Plan for any part of the assets of the Trust, whether principal or income, to be used for or diverted to purposes other than those stated herein.

7.3. Return of contributions. Nothing herein shall prohibit a return to UW, within one year after payment, of excess sums contributed to the Trust as a result of a good faith mistake of fact. In addition, in the event that the Commissioner of Internal Revenue (or his or her delegate) determines that the Plan is not initially qualified under the Code, any UW contributions made to the Plan shall be returned to UW within one year after the date the initial qualification is denied.

## ARTICLE 8. AMENDMENT AND TERMINATION

8.1. Amendment and termination. The Board reserves the right at any time to amend or terminate the Plan, in whole or in part, to the extent permitted by law. If the Plan is terminated, UW will notify all Participants. All benefits accrued to the date of termination will be nonforfeitable to the extent funded or as otherwise required by law. No amendment shall be effective if it permits any part of the Trust assets (other than such part as is required to pay taxes and administration expenses) to be used for or diverted to any purpose other than for the exclusive benefit of the Participants or their Beneficiaries, or permits any portion of the Trust assets to revert to or become property of UW, except as permitted by law.

8.2. Limitation. Notwithstanding the provisions of Section 8.1, the Board shall not make any amendment to the Plan that operates to recapture for UW any contributions previously made under this Plan except to the extent permitted by law.

## ARTICLE 9. MISCELLANEOUS

9.1. Non-alienation of benefits. Except as provided in this section, no benefit under the Plan may at any time be subject in any manner to alienation, encumbrance, the claims of creditors, or legal process. No participant will have power in any manner to transfer, assign, alienate, or in any way encumber his or her benefits under the Plan, or any part thereof, and any attempt to do so will be void and of no effect. This Plan will comply with any judgment, decree or order that establishes the rights of another person to all or a portion of a Participant's benefit under this Plan to the extent that it is treated as a qualified domestic relations order under Code Section 414(p).

9.2. Plan does not affect employment. Nothing in this Plan is a commitment or agreement by any person to continue his or her employment with UW, and nothing in this Plan is a commitment on the part of UW to continue the employment or the rate of compensation of any person for any period. All employees of UW will remain subject to nonrenewal, discharge or discipline to the same extent as if the Plan had never been put into effect.

9.3. Claims of other persons. The Plan does not give any Participant or any other person, firm, or corporation any legal or equitable right against UW, or its officers, employees, or Regents, except for the rights that are specifically provided for in this Plan or created in accordance with the terms and provisions of this Plan.

9.4. Governing law. Except as provided under federal law, the provisions of the Plan are governed by and construed in accordance with the laws of the State of Washington.

VII. STANDING COMMITTEE

A. Academic and Student Affairs Committee

Accreditation Update

See Attachment.





8060 165th Avenue N.E., Suite 100

Redmond, WA 98052-3981

425 558 4224

Fax: 425 376 0596

[www.nwccu.org](http://www.nwccu.org)

July 31, 2008

Dr. Mark Emmert  
President  
University of Washington  
301 Gerberding Hall  
Box 351230  
Seattle, WA 98195

Dear President Emmert:

On behalf of the Northwest Commission on Colleges and Universities, I write to inform you that the accreditation of the University of Washington has been reaffirmed on the basis of the Spring 2008 Regular Interim Evaluation. Congratulations on receiving this continued recognition.


In taking this action, however, the Commission requests that the University of Washington prepare a progress report in fall 2009 to again address Recommendations 1 and 2 of the Spring 2003 Comprehensive Evaluation Report. A copy of these recommendations is enclosed for your reference.

If you have any questions, please do not hesitate to contact me.

We will write in spring 2009 regarding the Fall 2009 Progress Report.

Best wishes for a rewarding year.

Sincerely,

  
Sandra E. Elman  
President

SEE:rb

Enclosure: Recommendations

cc: Mr. Douglas J. Wadden, Executive Vice Provost ✓  
Mr. Stanley H. Barer, Chair, Board of Regents  
Mr. Bill Grinstein, Chair, Higher Education Coordinating Board

**University of Washington  
Evaluation Committee Report  
General Recommendations  
Spring 2003**

1. The Committee notes some inconsistencies in perceptions of future relationships among the three UW campuses. The self-study describes the continuing "transformation from a university with three campuses to a coordinated multicampus university," but these words appear to have little meaning on any of the three campuses. There is no apparent reconciliation of the clear diversity of the three campus missions and the concept of "one university." The consequences of the resulting confusion may become serious if not addressed carefully and thoughtfully, as the Committee now recommends (Standard 1.A.1).
2. The Committee commends the University's multiple strategies for academic assessment, but still it must be noted that the University of Washington remains far from the goal of setting learning objectives for all students and measuring progress toward those objectives to facilitate continuous improvement. The Committee recommends continuing and accelerated efforts toward these ends (Standard 2.B.1, 2.B.2, 2.B.3 and Policy 2.2).
3. The Committee recognizes the impressive success of the University of Washington thus far in managing scarce resources without perceptible loss of quality in its core mission, but recommends considering the broadest possible range of strategies to achieve this critical objective, even including the elimination of academic programs of diminishing priority (Standard 2.A.1).
4. The Committee observed a pervasive concern about the need to maintain (or restore) competitive compensation, even if this action requires reallocation of resources with locally adverse consequences. The Committee recommends that the University persist in its current plans to set a modest minimum goal for compensation increases to be achieved even in the face of revenue shortfalls that require budget cuts (Standard 4.A.4).
5. The Committee recommends that the concerns of the campus information technologists regarding network security be addressed (Standard 5.E.3; Standard 8.B.2).
6. The Committee recognizes the sincere efforts to advance the diversity agenda at the University of Washington, but no one should be satisfied with the results. The Committee recommends that the UW undertake additional efforts to increase the number of faculty and students from underrepresented groups (Standard 3.D.2; Standard 6.1).

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

**UW**

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**Report of Contributions**

University of Washington  
University of Washington Foundation

July and August, 2008

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# REPORT OF CONTRIBUTIONS

JULY 2008

REPORT BY: UNIVERSITY ADVANCEMENT, ADVANCEMENT SERVICES, INFORMATION MANAGEMENT

## PROGRESS BY CONSTITUENCY

School	Current Month				Year to Date			
	Gifts	Grants	Total	Donors	Gifts	Grants	Total	Donors
UW Medicine	\$2,483,160	\$4,784,029	\$7,267,189	1972	\$2,483,160	\$4,784,029	\$7,267,189	1972
Architecture and Urban Planning	\$3,477	\$2,500	\$5,977	30	\$3,477	\$2,500	\$5,977	30
Arts and Sciences	\$600,164	\$228,283	\$828,447	1017	\$600,164	\$228,283	\$828,447	1017
Broadcast Services	\$200,555	\$0	\$200,555	571	\$200,555	\$0	\$200,555	571
Business School	\$6,986,874	\$0	\$6,986,874	194	\$6,986,874	\$0	\$6,986,874	194
Dentistry	\$92,375	\$10,000	\$102,375	112	\$92,375	\$10,000	\$102,375	112
Education	\$210,034	\$0	\$210,034	176	\$210,034	\$0	\$210,034	176
Engineering	\$746,053	\$593,035	\$1,339,088	209	\$746,053	\$593,035	\$1,339,088	209
Environment	\$4,309,994	\$0	\$4,309,994	1	\$4,309,994	\$0	\$4,309,994	1
Evans School of Public Affairs	\$41,681	\$285,000	\$326,681	56	\$41,681	\$285,000	\$326,681	56
Forest Resources	\$56,642	\$77,642	\$134,284	28	\$56,642	\$77,642	\$134,284	28
Friday Harbor Labs	\$11,435	\$0	\$11,435	31	\$11,435	\$0	\$11,435	31
Information School	\$174,210	\$0	\$174,210	36	\$174,210	\$0	\$174,210	36
Intercollegiate Athletics	\$1,886,377	\$0	\$1,886,377	2394	\$1,886,377	\$0	\$1,886,377	2394
Law	\$185,506	\$0	\$185,506	77	\$185,506	\$0	\$185,506	77
Libraries	\$138,865	\$0	\$138,865	501	\$138,865	\$0	\$138,865	501
Nursing	\$369,513	\$50,000	\$419,513	116	\$369,513	\$50,000	\$419,513	116
Ocean and Fishery Sciences	\$76,973	\$206,607	\$283,580	79	\$76,973	\$206,607	\$283,580	79
Pharmacy	\$89,309	\$152,293	\$241,602	62	\$89,309	\$152,293	\$241,602	62
President's Funds	\$26,032	\$0	\$26,032	144	\$26,032	\$0	\$26,032	144
Public Health	\$237,783	\$127,943	\$365,726	90	\$237,783	\$127,943	\$365,726	90
Scholarships and Student Programs	\$328,656	\$0	\$328,656	378	\$328,656	\$0	\$328,656	378
Social Work	\$12,870	\$0	\$12,870	32	\$12,870	\$0	\$12,870	32
University Press	\$57,479	\$0	\$57,479	18	\$57,479	\$0	\$57,479	18
UW Bothell	\$21,250	\$0	\$21,250	64	\$21,250	\$0	\$21,250	64
UW Tacoma	\$56,477	\$0	\$56,477	104	\$56,477	\$0	\$56,477	104
Other University Support	\$157,128	\$0	\$157,128	2330	\$157,128	\$0	\$157,128	2330
<b>Total</b>	<b>\$19,560,872</b>	<b>\$6,517,332</b>	<b>\$26,078,204</b>	<b>10822</b>	<b>\$19,560,872</b>	<b>\$6,517,332</b>	<b>\$26,078,204</b>	<b>10822</b>

Donors are defined as those entities with a credit amount of greater than \$0.00.

Source: University Advancement

REPORT OF CONTRIBUTIONS, UNIVERSITY OF WASHINGTON • JULY 2008

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## FUNDING THEME PROGRESS

Theme	Current Use	Endowment	Total
Student Support	\$524,683	\$1,027,195	\$1,551,878
Faculty Support	\$879,644	\$277,923	\$1,157,567
Program Support for Faculty and Students	\$9,031,225	\$7,093,080	\$16,124,305
Capital	\$4,668,299	\$50	\$4,668,349
Discretionary	\$2,574,461	\$1,844	\$2,576,305
<b>Total</b>	<b>\$17,678,313</b>	<b>\$8,400,091</b>	<b>\$26,078,404</b>



# REPORT OF CONTRIBUTIONS

AUGUST 2008

REPORT BY: UNIVERSITY ADVANCEMENT, ADVANCEMENT SERVICES, INFORMATION MANAGEMENT

## PROGRESS BY CONSTITUENCY

School	Current Month				Year to Date			
	Gifts	Grants	Total	Donors	Gifts	Grants	Total	Donors
UW Medicine	\$3,129,445	\$21,825,730	\$24,955,176	<b>2066</b>	\$5,612,606	\$26,609,759	\$32,222,365	<b>2659</b>
Architecture and Urban Planning	\$145,665	\$0	\$145,665	<b>20</b>	\$149,142	\$2,500	\$151,642	<b>47</b>
Arts and Sciences	\$447,170	\$745,502	\$1,192,672	<b>766</b>	\$1,047,334	\$973,785	\$2,021,119	<b>1567</b>
Broadcast Services	\$639,093	\$0	\$639,093	<b>336</b>	\$839,448	\$0	\$839,448	<b>714</b>
Business School	\$467,033	\$0	\$467,033	<b>311</b>	\$7,453,907	\$0	\$7,453,907	<b>483</b>
Dentistry	\$60,498	\$8,438	\$68,936	<b>101</b>	\$152,873	\$18,438	\$171,311	<b>182</b>
Education	\$29,076	\$59,500	\$88,576	<b>93</b>	\$239,110	\$59,500	\$298,610	<b>227</b>
Engineering	\$692,183	\$834,065	\$1,526,248	<b>206</b>	\$1,438,236	\$1,427,100	\$2,865,336	<b>370</b>
Environment	\$0	\$0	\$0	<b>0</b>	\$4,309,994	\$0	\$4,309,994	<b>1</b>
Evans School of Public Affairs	\$38,022	\$10,000	\$48,022	<b>34</b>	\$79,703	\$295,000	\$374,703	<b>75</b>
Forest Resources	\$73,133	\$208,411	\$281,544	<b>26</b>	\$129,775	\$286,053	\$415,828	<b>51</b>
Friday Harbor Labs	\$3,468	\$0	\$3,468	<b>16</b>	\$14,903	\$0	\$14,903	<b>39</b>
Information School	\$17,102	\$512,000	\$529,102	<b>18</b>	\$191,312	\$512,000	\$703,312	<b>47</b>
Intercollegiate Athletics	\$521,953	\$0	\$521,953	<b>300</b>	\$2,408,730	\$0	\$2,408,730	<b>2637</b>
Law	\$19,847	\$0	\$19,847	<b>42</b>	\$205,353	\$0	\$205,353	<b>108</b>
Libraries	\$83,857	\$0	\$83,857	<b>108</b>	\$222,722	\$0	\$222,722	<b>595</b>
Nursing	\$725,417	\$20,000	\$745,417	<b>61</b>	\$1,094,930	\$70,000	\$1,164,930	<b>152</b>
Ocean and Fishery Sciences	\$17,606	\$4,200	\$21,806	<b>58</b>	\$94,579	\$210,807	\$305,386	<b>92</b>
Pharmacy	\$122,053	\$0	\$122,053	<b>36</b>	\$211,362	\$152,293	\$363,655	<b>76</b>
President's Funds	\$14,975	\$0	\$14,975	<b>72</b>	\$41,007	\$0	\$41,007	<b>201</b>
Public Health	\$33,282	\$344,834	\$378,116	<b>84</b>	\$271,065	\$472,777	\$743,842	<b>118</b>
Scholarships and Student Programs	\$182,979	\$0	\$182,979	<b>203</b>	\$511,635	\$0	\$511,635	<b>446</b>
Social Work	\$10,483	\$0	\$10,483	<b>31</b>	\$23,353	\$0	\$23,353	<b>54</b>
University Press	\$1,160	\$0	\$1,160	<b>5</b>	\$58,639	\$0	\$58,639	<b>23</b>
UW Bothell	\$12,559	\$0	\$12,559	<b>43</b>	\$33,809	\$0	\$33,809	<b>76</b>
UW Tacoma	\$140,092	\$0	\$140,092	<b>54</b>	\$196,568	\$0	\$196,568	<b>121</b>
Other University Support	\$137,448	\$0	\$137,448	<b>1434</b>	\$294,576	\$0	\$294,576	<b>3699</b>
<b>Total</b>	<b>\$7,765,598</b>	<b>\$2,746,950</b>	<b>\$32,338,278</b>	<b>6524</b>	<b>\$27,326,670</b>	<b>\$31,090,012</b>	<b>\$58,416,682</b>	<b>14860</b>

Donors are defined as those entities with a credit amount of greater than \$0.00.

Source: University Advancement

REPORT OF CONTRIBUTIONS, UNIVERSITY OF WASHINGTON • AUGUST 2008

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## FUNDING THEME PROGRESS

Theme	Current Use	Endowment	Total
Student Support	\$1,030,340	\$2,390,927	\$3,421,266
Faculty Support	\$1,443,779	\$438,105	\$1,881,884
Program Support for Faculty and Students	\$36,350,456	\$8,152,930	\$44,503,386
Capital	\$4,798,416	\$50	\$4,798,466
Discretionary	\$3,677,960	\$133,719	\$3,811,679
<b>Total</b>	<b>\$47,300,951</b>	<b>\$11,115,731</b>	<b>\$58,416,682</b>

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Grant and Contract Awards – June, 2008

RECOMMENDED ACTION:

It is the recommendation of the administration and the Finance and Audit Committee that the Board of Regents accept the Grant and Contract Awards as presented on the attached list.

Attachment: Grant and Contract Awards Summary  
Report of Grant and Contract Awards of  
\$1,000,000 or More

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# **Grant and Contract Awards Summary**

**to**

**The Board of Regents**

**of the**

**University of Washington**

**for**

**June 2008**

**Office of Research**

**Office of Sponsored Programs**

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## JUNE 2008 HIGHLIGHTS

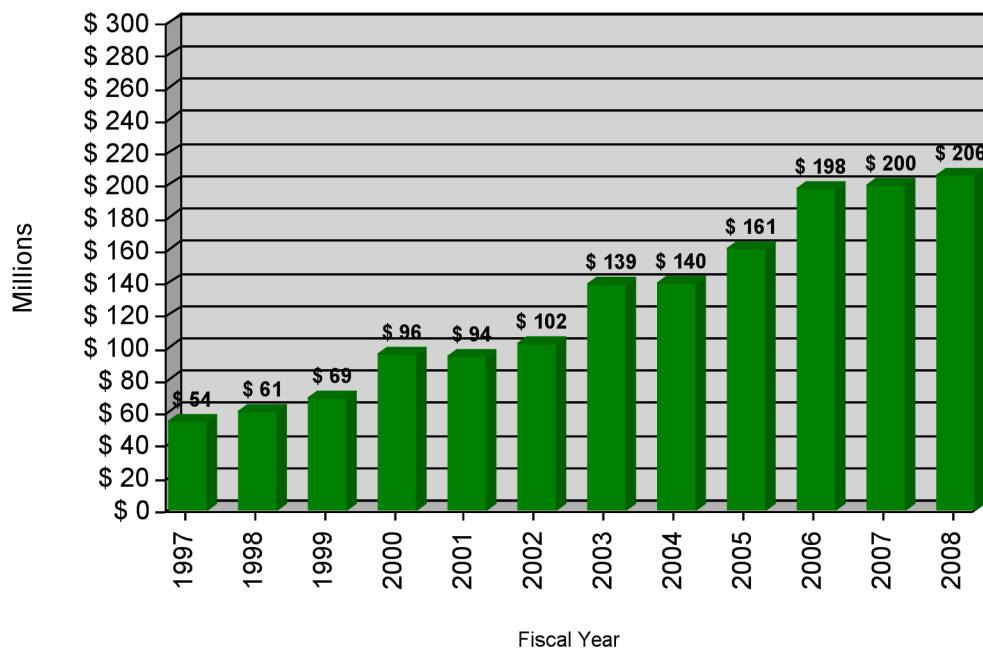
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*The National Science Foundation* awarded a grant in the amount of \$484,089 for a project under the direction of Research Professor Ka Kit Tung of the *Department of Applied Mathematics* entitled “*ATM-GEO/ATM-Climate & Large-Scale Dynamics*.” Some new methods of data analysis in time and space domains are used to study global climate variability in both the troposphere and the stratosphere. Researchers will study the global patterns of warming and cooling and attempt to separate warming response to solar variation from that by greenhouse warming, using the new methods of data analysis. Researchers will also extract from data statistically significant perturbations to the polar stratosphere by El Nino-southern Oscillation, by solar cycle and by Quasi-Biennial Oscillation, to understand how these “external” perturbations interact with each other. It is hoped that by the end of the proposed project, the mechanism responsible for the climate response to solar-cycle forcing can be elucidated through the proposed data and model analyses, and the response to several of the natural external factors better quantified.

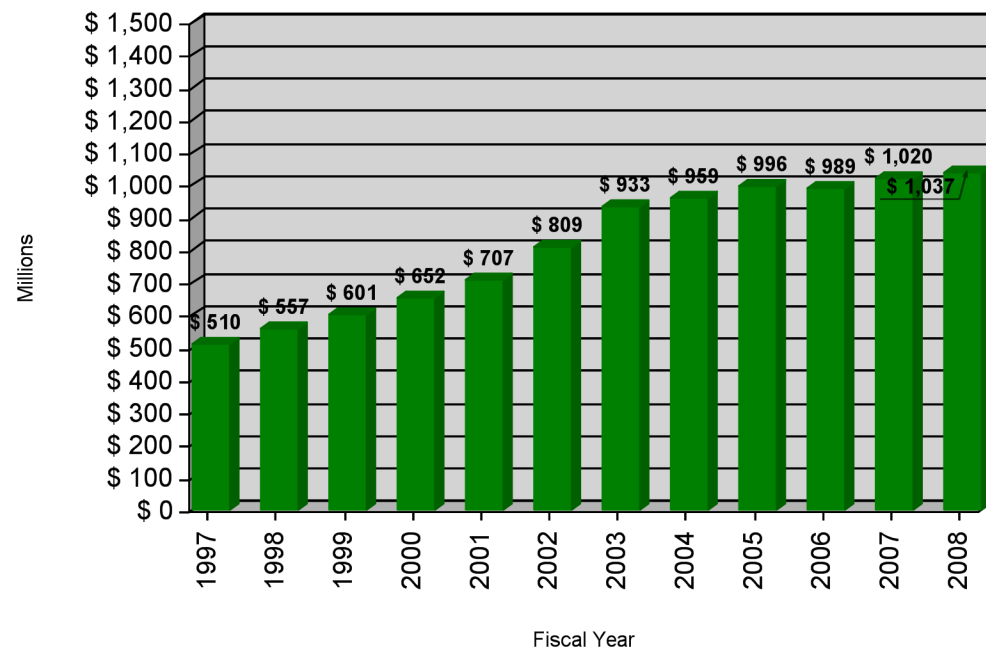
*The University of Alabama, Birmingham* awarded a grant in the amount of \$1,022,358 for a project under the direction of Dr. Mari M. Kitahata of the *Department of Medicine* entitled “*CNICS Infrastructure Development*.” The primary goal of this project is to expand the research capabilities of the existing Center for AIDS Research (CFAR) Network of Integrated Clinic Systems (CNICS). The mission of the CFARS is to foster collaborative and interdisciplinary research, support HIV research career development of young investigators, and serve HIV investigators at affiliated institutions. This project will add CFAR sites to the project, extending the data types captured by the database system, and develop technical and analytic tools to import HIV resistance data directly from clinical laboratories into the CNICS central data repository.

*Swedish Medical Center* awarded a grant in the amount of \$84,778 for a project under the direction of Dr. Michelle Williams of the *Department of Epidemiology* entitled “*Ambient Air Pollution, Preeclampsia, and Preterm Delivery*.” Recent studies suggest that elevated air pollutant exposures may lead to preterm delivery, even at air pollutant levels typical of those in many US cities. In this study, participants during early pregnancy will be followed until delivery. The researchers will design models that use local traffic, weather, and population characteristics to predict monthly ambient concentrations of fine particulate matter and carbon monoxide. These models will be used to estimate study participants' exposure to both pollutants before and during pregnancy. The research team will test whether these air pollutant exposures are associated with subsequent risk of preeclampsia & preterm delivery using multivariable logistic regression procedures. Study results could contribute to knowledge regarding the causes and mechanisms involved in preeclampsia and preterm delivery.

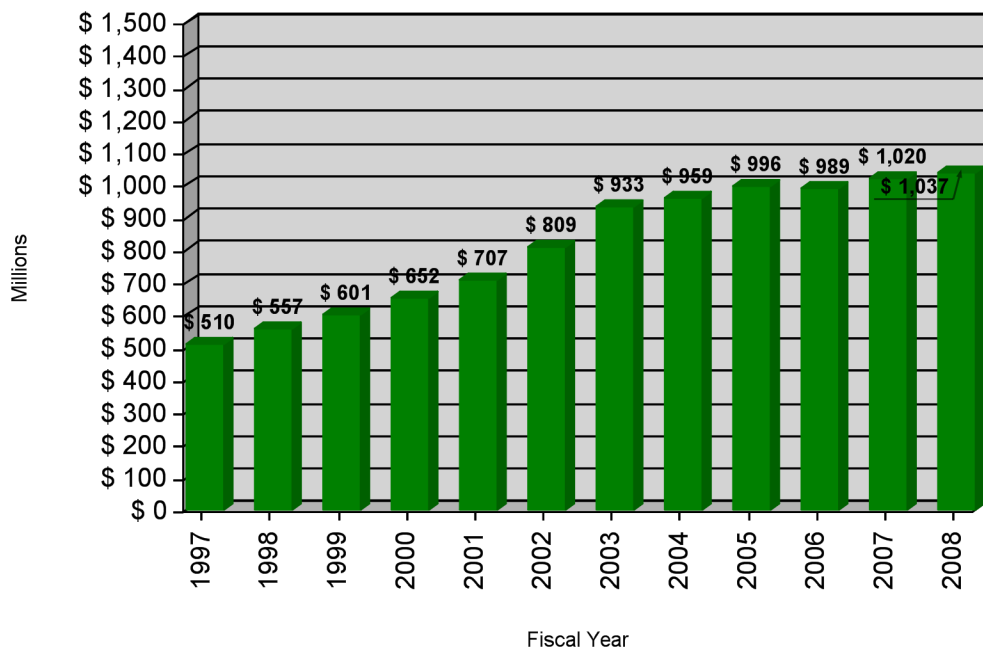
**June Only**  
Comparison of Grant & Contract Awards



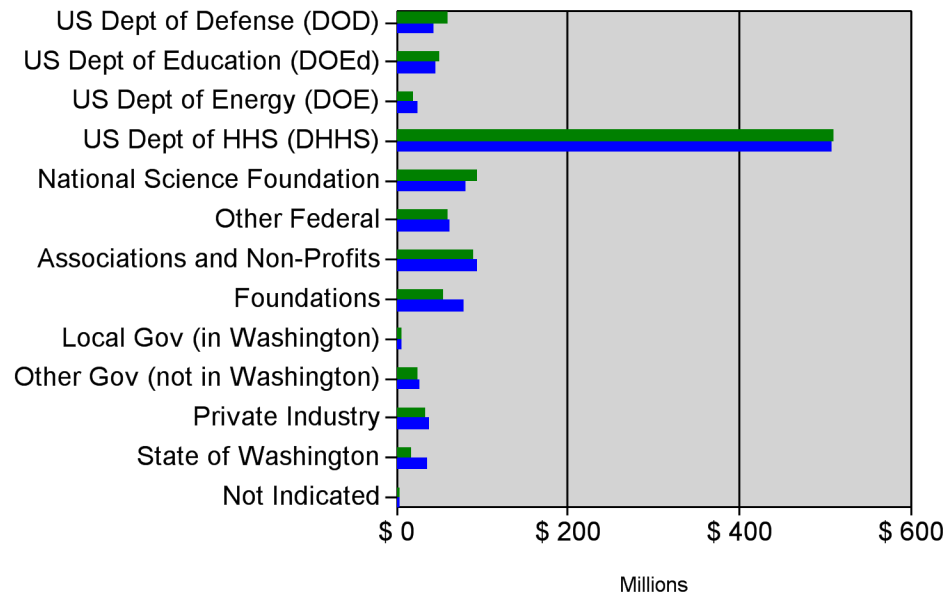
**Fiscal Year to Date**  
Comparison of Grant & Contract Awards



**Complete Fiscal Year**  
Comparison of Grant & Contract Awards



**Fiscal Year to Date**  
Two-Year Comparison of Grant and Contract Awards



■ Jul-Jun FY07
 ■ Jul-Jun FY08

**Summary of Grant and Contract Awards**

**Fiscal Year 2007-2008**

<b>Month</b>	<b>RESEARCH AND OTHER</b>		<b>TRAINING</b>		<b>Total Grants and Contracts</b>
	<b>Federal</b>	<b>Non-Federal</b>	<b>Federal</b>	<b>Non-Federal</b>	
July	\$ 54,687,260	\$ 14,982,400	\$ 7,034,788	\$ 5,593,291	\$ 82,297,740
August	\$ 112,417,000	\$ 21,120,610	\$ 9,765,255	\$ 1,041,935	\$ 144,344,800
September	\$ 62,869,760	\$ 24,344,880	\$ 11,411,270	\$ 1,857,398	\$ 100,483,300
October	\$ 75,921,240	\$ 38,888,260	\$ 6,410,827	\$ 2,183,915	\$ 123,404,200
November	\$ 11,290,950	\$ 14,589,700	\$ 319,941	\$ 1,747,225	\$ 27,947,810
December	\$ 16,090,690	\$ 16,532,470	\$ 385,596	\$ 1,928,891	\$ 34,937,650
January	\$ 19,244,150	\$ 17,965,880	\$ 912,526	\$ 1,377,304	\$ 39,499,860
February	\$ 27,736,200	\$ 18,007,790	\$ 1,215,807	\$ 1,929,782	\$ 48,889,580
March	\$ 51,435,740	\$ 17,396,780	\$ 1,188,447	\$ 1,207,529	\$ 71,228,500
April	\$ 58,838,250	\$ 19,785,490	\$ 4,528,914	\$ 510,223	\$ 83,662,880
May	\$ 53,983,140	\$ 12,896,290	\$ 7,422,283	\$ 215,695	\$ 74,517,410
June	\$ 111,400,300	\$ 35,112,880	\$ 53,552,070	\$ 5,865,663	\$ 205,930,900
<b>FY08 to Date</b>	<b>\$655,708,512</b>	<b>\$251,829,639</b>	<b>\$103,675,606</b>	<b>\$25,930,966</b>	<b>\$1,037,144,723</b>
<b>FY07 to Date</b>	<b>\$706,053,154</b>	<b>\$210,807,846</b>	<b>\$86,706,450</b>	<b>\$15,921,161</b>	<b>\$1,019,488,611</b>
<b>Over (Under) Previous Year</b>	<b>(\$50,344,642)</b>	<b>\$41,021,793</b>	<b>\$16,969,156</b>	<b>\$10,009,805</b>	<b>\$17,656,112</b>

*Assuming acceptance of all awards by the Board of Regents*

**Comparison of Grant and Contract Awards by Agency**

**Fiscal Years 2006-2007 and 2007-2008**

<b>Agency</b>	<b>FY07</b>	<b>FY08</b>
US Department of Defense (DOD)	\$ 60,315,941	\$ 44,022,228
US Department of Education (DOEd)	\$ 50,295,288	\$ 44,565,739
US Department of Energy (DOE)	\$ 20,313,626	\$ 23,435,374
US Department of Health and Human Services (DHHS)	\$ 508,408,954	\$ 505,971,144
National Science Foundation (NSF)	\$ 94,987,402	\$ 80,999,822
Other Federal	\$ 58,438,394	\$ 60,389,811
<b>Subtotal for Federal :</b>	<b>\$ 792,759,604</b>	<b>\$ 759,384,119</b>
Associations and Non-Profits	\$ 89,826,627	\$ 94,652,802
Foundations	\$ 55,262,860	\$ 76,800,793
Local Government (in Washington)	\$ 5,448,040	\$ 6,739,238
Other Government (not in Washington)	\$ 24,860,430	\$ 26,864,913
Private Industry	\$ 33,787,903	\$ 37,244,416
State of Washington	\$ 17,523,614	\$ 35,456,591
Not Indicated	\$ 19,533	\$ 1,853
<b>Subtotal for Non-Federal :</b>	<b>\$ 226,729,007</b>	<b>\$ 277,760,605</b>
<b>Grand Total :</b>	<b>\$ 1,019,488,611</b>	<b>\$ 1,037,144,723</b>
 <b>Amount of Increase (Decrease) :</b>		<b>\$ 17,656,112</b>
<b>Percent of Increase (Decrease) :</b>		<b>1.7 %</b>

*Assuming acceptance of all awards by the Board of Regents*



**Comparison of Grant and Contract Awards by School/College**

**Fiscal Years 2006-2007 and 2007-2008**

<b>School/College</b>		<b>FY07</b>	<b>FY08</b>
<b>Upper Campus</b>			
	Architecture and Urban Planning	\$ 967,374	\$ 997,831
	Arts and Sciences	\$ 99,394,609	\$ 90,817,105
	Business Administration	\$ 113,418	\$ 740,458
	Computing & Communications	\$ 100,000	\$ 30,000
	Director of Libraries	\$ 2,229,727	\$ 2,054,839
	Education	\$ 16,474,007	\$ 8,610,455
	Educational Outreach	\$ 439,400	\$ 287,668
	Engineering	\$ 79,423,507	\$ 75,096,662
	Evans School of Public Affairs	\$ 6,616,071	\$ 4,288,966
	Executive Vice President		\$ 208,000
	Forest Resources	\$ 6,030,573	\$ 5,801,137
	Graduate School	\$ 4,964,821	\$ 1,808,653
	Information School	\$ 1,788,301	\$ 5,573,549
	Law	\$ 2,069,680	\$ 409,734
	Ocean and Fishery Sciences	\$ 89,468,286	\$ 100,290,485
	Office of Research	\$ 19,742,658	\$ 18,633,875
	Provost		\$ 200,000
	Social Work	\$ 16,657,687	\$ 16,773,527
	Undergraduate Education	\$ 1,250,161	\$ 363,372
	VP Educational Partnerships	\$ 131,347	\$ 43,745
	VP Minority Affairs	\$ 5,442,213	\$ 7,138,112
	VP Student Affairs	\$ 473,341	\$ 299,444
	VP Student Life	\$ 23,620,339	\$ 25,954,377
	<b>Subtotal :</b>	<b>\$ 377,397,520</b>	<b>\$ 366,421,994</b>
<b>Health Sciences</b>			
	Dentistry	\$ 10,270,646	\$ 12,390,820
	Health Sciences Admin	\$ 61,000	
	Medical Centers	\$ 1,000	
	Medicine	\$ 496,439,575	\$ 522,264,782
	Nursing	\$ 14,830,863	\$ 16,085,771
	Pharmacy	\$ 13,175,785	\$ 8,399,533
	Public Health and Community Medicine	\$ 80,918,388	\$ 79,499,584
	<b>Subtotal :</b>	<b>\$ 615,697,256</b>	<b>\$ 638,640,491</b>
<b>Special Programs</b>			
	Alcohol and Drug Abuse Institute	\$ 1,673,433	\$ 4,940,461
	CHDD Administration	\$ 10,549,341	\$ 7,596,760
	Regional Primate Center	\$ 13,257,942	\$ 17,006,272
	<b>Subtotal :</b>	<b>\$ 25,480,716</b>	<b>\$ 29,543,493</b>

School/College		FY07	FY08
<b>Other UW</b>			
<b>Campuses</b>			
	Bothell	\$ 680,323	\$ 1,495,868
	Tacoma	\$ 232,796	\$ 322,285
	<b>Subtotal :</b>	<b>\$ 913,119</b>	<b>\$ 1,818,153</b>
<b>Not Indicated</b>			
	Not Indicated		\$ 720,593
	<b>Subtotal :</b>		<b>\$ 720,593</b>
	<b>Grand Total :</b>	<b>\$ 1,019,488,611</b>	<b>\$ 1,037,144,723</b>

*Assuming acceptance of all awards by the Board of Regents*

**List of Awards for Arts and Sciences**

**Fiscal Years 2006-2007 and 2007-2008**

<b>Department</b>	<b>FY07</b>	<b>FY08</b>
Center for Statistics and the Social Sciences	\$ 809,139	\$ 1,057,167
Center for Studies in Demography and Ecology	\$ 2,204,034	\$ 3,059,705
Department of Anthropology	\$ 223,974	\$ 249,016
Department of Applied Mathematics	\$ 1,755,667	\$ 999,617
Department of Asian Languages and Literature	\$ 91,760	\$0
Department of Astronomy	\$ 3,659,866	\$ 5,271,019
Department of Atmospheric Sciences	\$ 7,816,465	\$ 4,555,256
Department of Biology	\$ 10,638,370	\$ 6,442,472
Department of Chemistry	\$ 21,773,610	\$ 22,922,050
Department of Communication	\$ 1,541,100	\$ 1,395,680
Department of Comparative Literature	\$ 46,178	\$0
Department of Earth and Space Sciences	\$ 6,742,383	\$ 4,986,628
Department of Economics	\$ 24,800	\$ 6,575
Department of English	\$ 60,000	\$ 77,573
Department of Geography	\$ 180,909	\$ 336,787
Department of Germanics	\$ 53,000	\$ 98,000
Department of History	\$ 70,694	\$ 100,000
Department of Linguistics	\$ 186,277	\$ 494,255
Department of Mathematics	\$ 1,524,427	\$ 3,370,166
Department of Physics	\$ 10,979,440	\$ 11,733,560
Department of Political Science	\$ 566,198	\$ 1,677,849
Department of Psychology	\$ 11,196,470	\$ 9,502,144
Department of Romance Languages	\$ 6,000	\$0
Department of Scandinavian Studies	\$ 11,000	\$0
Department of Sociology	\$ 170,649	\$ 156,574
Department of Speech and Hearing Sciences	\$ 2,309,561	\$ 1,926,471
Department of Statistics	\$ 1,292,971	\$ 918,534
Department of Women Studies	\$ 23,592	\$ 55,000
Gaining Early Awareness and Readiness for Undergraduate Programs	\$ 750,000	\$ 790,660
Henry M. Jackson School of International Studies	\$ 7,395,238	\$ 4,216,753
Institute for Nuclear Theory	\$ 4,031,400	\$ 3,274,000
Language Learning Center	\$ 20,000	\$ 76,000
Law, Societies and Justice Program	\$ 168,092	\$ 71,745
Public Performing Arts	\$ 169,370	\$ 193,213
School of Art	\$ 48,000	\$0
School of Drama	\$0	\$ 75,000
School of Music	\$ 300,000	\$ 600,000
Thomas Burke Memorial Washington State Museum	\$ 553,981	\$ 127,634

Department	FY07	FY08
Total :	\$ 99,394,610	\$ 90,817,100

*Assuming acceptance of all awards by the Board of Regents*

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**Summary of Grant Awards****Fiscal Year 2007-2008***Excluding private awards from Foundations, Industry, Associations and Others*

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<b>Month</b>	<b>RESEARCH AND OTHER</b>		<b>TRAINING</b>		<b>Total Grants</b>
	<b>Federal</b>	<b>Non-Federal</b>	<b>Federal</b>	<b>Non-Federal</b>	
July	\$ 54,190,780	\$ 1,031,886	\$ 7,034,788	\$ 0	\$ 62,257,460
August	\$ 107,638,100	\$ 2,487,815	\$ 9,762,278	\$ 199,000	\$ 120,087,200
September	\$ 54,737,540	\$ 855,616	\$ 11,410,000	\$ 0	\$ 67,003,160
October	\$ 69,439,460	\$ 3,387,818	\$ 6,360,827	\$ 194,787	\$ 79,382,900
November	\$ 7,672,178	\$ 2,205,321	\$ 319,941	\$ 21,146	\$ 10,218,590
December	\$ 13,839,270	\$ 1,071,375	\$ 385,596	\$ 203,738	\$ 15,499,980
January	\$ 16,138,890	\$ 3,928,468	\$ 624,062	\$ 108,039	\$ 20,799,460
February	\$ 24,350,010	\$ 1,202,499	\$ 1,215,807	\$ 0	\$ 26,768,320
March	\$ 47,558,410	\$ 3,106,175	\$ 1,188,447	\$ 7,500	\$ 51,860,530
April	\$ 52,965,980	\$ 1,425,991	\$ 4,504,414	\$ 32,000	\$ 58,928,390
May	\$ 47,763,140	\$ 323,848	\$ 7,422,283	\$ 0	\$ 55,509,260
June	\$ 105,359,400	\$ 2,674,500	\$ 53,552,070	\$ 35,978	\$ 161,622,000
<b>Year to Date</b>	<b>\$ 601,653,200</b>	<b>\$ 23,701,310</b>	<b>\$ 103,780,500</b>	<b>\$ 802,188</b>	<b>\$ 729,937,200</b>

*Assuming acceptance of all awards by the Board of Regents*

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**Summary of Grant Awards****Fiscal Year 2007-2008***Private awards from Foundations, Industry, Associations and Others*

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<b>Month</b>	<b>RESEARCH AND OTHER</b>	<b>TRAINING</b>	<b>Total Grants</b>
July	\$ 5,463,786	\$ 223,067	\$ 5,686,853
August	\$ 12,792,000	\$ 604,407	\$ 13,396,400
September	\$ 16,434,390	\$ 237,863	\$ 16,672,250
October	\$ 25,846,500	\$ 258,263	\$ 26,104,770
November	\$ 6,912,596	\$ 240,676	\$ 7,153,272
December	\$ 9,944,877	\$ 1,380,140	\$ 11,325,020
January	\$ 8,045,577	\$ 700	\$ 8,046,277
February	\$ 10,692,720	\$ 493,319	\$ 11,186,040
March	\$ 8,725,010	\$ 942,268	\$ 9,667,278
April	\$ 11,726,810	\$ 152,967	\$ 11,879,780
May	\$ 5,394,955	\$ 168,915	\$ 5,563,870
June	\$ 25,005,180	\$ 745,744	\$ 25,750,930
<b>Year to Date</b>	<b>\$ 146,984,400</b>	<b>\$ 5,448,329</b>	<b>\$ 152,432,700</b>

*Assuming acceptance of all awards by the Board of Regents*

<b>Summary of Contract Awards</b> <b>Fiscal Year 2007-2008</b>					
<b>Month</b>	<b>RESEARCH AND OTHER</b>		<b>TRAINING</b>		<b>Total Contracts</b>
	<b>Federal</b>	<b>Non-Federal</b>	<b>Federal</b>	<b>Non-Federal</b>	
July	\$ 496,481	\$ 8,486,723	\$ 0	\$ 5,370,224	\$ 14,353,430
August	\$ 4,778,947	\$ 5,840,805	\$ 2,977	\$ 238,528	\$ 10,861,260
September	\$ 8,132,220	\$ 7,054,878	\$ 1,273	\$ 1,619,535	\$ 16,807,910
October	\$ 6,481,776	\$ 9,653,934	\$ 50,000	\$ 1,730,865	\$ 17,916,580
November	\$ 3,618,770	\$ 5,471,781	\$ 0	\$ 1,485,403	\$ 10,575,950
December	\$ 2,251,427	\$ 5,516,219	\$ 0	\$ 345,013	\$ 8,112,658
January	\$ 3,105,263	\$ 5,991,835	\$ 288,464	\$ 1,268,565	\$ 10,654,130
February	\$ 3,386,189	\$ 6,112,575	\$ 0	\$ 1,436,463	\$ 10,935,230
March	\$ 3,877,332	\$ 5,565,595	\$ 0	\$ 257,761	\$ 9,700,688
April	\$ 5,872,264	\$ 6,632,691	\$ 24,500	\$ 325,256	\$ 12,854,710
May	\$ 6,220,002	\$ 7,177,491	\$ 0	\$ 46,780	\$ 13,444,270
June	\$ 6,040,864	\$ 7,433,196	\$ 0	\$ 5,083,941	\$ 18,558,000
<b>Year to Date</b>	<b>\$ 54,261,540</b>	<b>\$ 80,937,720</b>	<b>\$ 367,214</b>	<b>\$ 19,208,330</b>	<b>\$ 154,774,800</b>

*Assuming acceptance of all awards by the Board of Regents*

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# **Report of Grant and Contract Awards of \$1,000,000 or More**

**June 2008**

**Requiring action of  
The Board of Regents  
of the  
University of Washington**

**Office of Research  
Office of Sponsored Programs**

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# Detail of Public Grant Awards

## Federal

### US Department of Education (DOEd)

#### Office of Federal Student Aid (FSA)

To:	Sharon K. Lewis, Director STUDENT FINANCIAL AID	\$ 20,380,000
For:	Pell Grant	
Eff:	7/1/2007	Classified: No
To:	Sharon K. Lewis, Director STUDENT FINANCIAL AID	\$ 1,375,000
For:	State Work Study	
Eff:	7/1/2007	Classified: No
To:	Sharon K. Lewis, Director STUDENT FINANCIAL AID	\$ 2,680,000
For:	Federal Work Study	
Eff:	7/1/2007	Classified: No
To:	Sharon K. Lewis, Director STUDENT FINANCIAL AID	\$ 1,519,377
For:	Supplemental Educational Opportunity Grant Program	
Eff:	7/1/2007	Classified: No
<b>Total for Office of Federal Student Aid (FSA):</b>		<b>\$ 25,954,377</b>
<b>Total for US Department of Education (DOEd):</b>		<b>\$ 25,954,377</b>

### US Department of Health and Human Services (DHHS)

#### Centers for Disease Control and Prevention (CDC)

To:	Noah S. Seixas, Professor Department of Environmental and Occupational Health Sciences	\$ 1,212,932
For:	Education and Research Center	
Eff:	7/1/2008	Classified: No
<b>Total for Centers for Disease Control and Prevention (CDC):</b>		<b>\$ 1,212,932</b>

#### Health Resources and Services Administration (HRSA)

To:	King K. Holmes, Professor Department of Medicine	\$ 41,417,679
For:	International AIDS Education and Training Center	
Eff:	4/1/2006	Classified: No
To:	King K. Holmes, Professor Department of Medicine	\$ 1,733,332
For:	International AIDS Education and Training Center	
Eff:	4/1/2006	Classified: No
<b>Total for Health Resources and Services Administration (HRSA):</b>		<b>\$ 43,151,011</b>

#### National Institute for Occupational Safety and Health (NIOSH)

To:	Richard A. Fenske, Professor Department of Environmental and Occupational Health Sciences	\$ 1,387,258
For:	Pacific Northwest Agricultural Safety and Health Center	
Eff:	9/30/2006	Classified: No
<b>Total for National Institute for Occupational Safety and Health (NIOSH):</b>		<b>\$ 1,387,258</b>

#### National Institutes of Health (NIH)

To:	Walter A Kukull, Director	\$ 2,652,535
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# Detail of Public Grant Awards

## Federal

### US Department of Health and Human Services (DHHS)

#### National Institutes of Health (NIH)

Department of Epidemiology		
For: National Alzheimer's Coordinating Center		
Eff: 7/1/2008	Classified: No	
To: Bruce M. Psaty, Professor		\$ 1,353,530
Department of Medicine		
For: CHS Events Follow Up Study		
Eff: 6/1/2008	Classified: No	
To: Bruce M. Psaty, Professor		\$ 1,828,582
Department of Medicine		
For: Genome-wide case-only study of antihypertensive drug-gene interactions		
Eff: 7/1/2008	Classified: No	
To: Murray Raskind, Professor		\$ 2,483,534
Department of Psychiatry		
For: Alzheimer's Disease Research Center		
Eff: 5/1/2008	Classified: No	
To: Buddy D Ratner, Professor		\$ 1,974,136
Bioengineering		
For: Engineered Cardiac Morphogenesis: Stem Cells and Scaffolds		
Eff: 6/1/2008	Classified: No	

#### *National Human Genome Research Institute (NHGRI)*

To: Bruce Spencer Weir, Professor		\$ 1,125,716
Department of Biostatistics		
For: Genome Wide Association Coordinating Center (GENEVA)		
Eff: 6/1/2008	Classified: No	
<i>Total for National Human Genome Research Institute (NHGRI):</i>		\$ 1,125,716

#### *National Institute of Child Health and Human Development (NICHD)*

To: William J Bremner, Professor		\$ 1,667,448
Department of Medicine		
For: Male Contraception Research Center Grant		
Eff: 3/1/2008	Classified: No	
<i>Total for National Institute of Child Health and Human Development (NICHD):</i>		\$ 1,667,448

#### *National Institute of Diabetes and Digestive and Kidney Diseases (NIDDK)*

To: Jerry P Palmer, Professor		\$ 1,303,066
Department of Medicine		
For: Diabetes Endocrinology Research Center		
Eff: 12/1/2007	Classified: No	
<i>Total for National Institute of Diabetes and Digestive and Kidney Diseases (NIDDK):</i>		\$ 1,303,066

<b>Total for National Institutes of Health (NIH):</b>	<b>\$ 14,388,547</b>
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<b>Total for US Department of Health and Human Services (DHHS):</b>	<b>\$ 60,139,748</b>
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## Detail of Public Grant Awards

### Federal

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#### National Science Foundation (NSF)

##### National Science Foundation (NSF)

To: Russell E Mc Duff, Director/Chair \$ 4,262,208  
School of Oceanography

For: R/V Thomas G. Thompson Ship Operations 2005-2009

Eff: 3/1/2005 Classified: No

To: John D Bransford, Professor \$ 4,996,682  
Department of Education

For: The LIFE Center: Learning in Informal and Formal Environments

Eff: 7/2/2004 Classified: No

**Total for National Science Foundation (NSF): \$ 9,258,890**

**Total for National Science Foundation (NSF): \$ 9,258,890**

**Total for Federal: \$ 95,353,015**

### Other Government (not in Washington)

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#### University of Alabama, Birmingham

To: Mari M. Kitahata, Assoc Professor \$ 1,022,358  
Department of Medicine

For: Unsolicited R24 for the CFAR Network of Integrated

Eff: 9/1/2007 Classified: No

**Total for University of Alabama, Birmingham: \$ 1,022,358**

**Total for Other Government (not in Washington): \$ 1,022,358**

**Total Public Grants: \$ 96,375,373**

## Detail of Private Grant Awards

### Associations and Non-Profits

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#### Joint Oceanographic Institutions, Inc.

To: John R. Delaney, Professor \$ 1,808,573  
School of Oceanography

For: The University of Washington's Application to Become the  
Implementing Organization for the Regional Cabled Observatory  
Eff: 3/30/2007 Classified: No

**Total for Joint Oceanographic Institutions, Inc.:** \$ 1,808,573

**Total for Associations and Non-Profits:** \$ 1,808,573

### Foundations

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#### Bill and Melinda Gates Foundation

To: Christopher J Murray, Professor \$ 8,583,291  
Global Health

For: Health Metrics Institute Proposal  
Eff: 5/1/2007 Classified: No

To: Christopher J Murray, Professor \$ 3,291,178  
Global Health

For: Copy of Global Burden of Disease  
Eff: 3/6/2008 Classified: No

**Total for Bill and Melinda Gates Foundation:** \$ 11,874,469

#### Gordon and Betty Moore Foundation

To: Ray Hilborn, Professor \$ 3,600,000  
School of Aquatic and Fishery Science

For: Biocomplexity and management of Pacific salmon  
Eff: 6/1/2008 Classified: No

**Total for Gordon and Betty Moore Foundation:** \$ 3,600,000

**Total for Foundations:** \$ 15,474,469

**Total Private Grants:** \$ 17,283,042

## Detail of Contract Awards

### Federal

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#### US Department of Health and Human Services (DHHS)

##### National Institutes of Health (NIH)

###### *National Institute of Allergy and Infectious Diseases (NIAID)*

To: Dorothy L Patton, Professor \$ 2,648,000  
Department of Obstetrics and Gynecology

For: Topical Microbicide Safety and Efficacy Evaluation in Nonhuman  
Primates

Eff: 5/31/2007 Classified: No

*Total for National Institute of Allergy and Infectious Diseases  
(NIAID):* \$ 2,648,000

**Total for National Institutes of Health (NIH):** \$ 2,648,000

**Total for US Department of Health and Human Services (DHHS):** \$ 2,648,000

**Total for Federal:** \$ 2,648,000

### Associations and Non-Profits

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#### George Washington University

To: Santica M. Marcovina, Research Professor \$ 1,294,976  
Department of Medicine

For: Diabetes Prevention Program Outcome Study (DPPOS) - CBL

Eff: 2/1/2008 Classified: No

To: Santica M. Marcovina, Research Professor \$ 1,000,215  
Department of Medicine

For: STOPP-T2D Central Blood Laboratory

Eff: 3/1/2008 Classified: No

**Total for George Washington University:** \$ 2,295,191

**Total for Associations and Non-Profits:** \$ 2,295,191

### State of Washington

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#### Washington State Department of Social and Health Services (DSHS)

To: Edwina Uehara, Dean \$ 3,844,442  
School of Social Work

For: Child Welfare Training and Advancement Program 08-09

Eff: 7/1/2008 Classified: No

**Total for Washington State Department of Social and Health Services  
(DSHS):** \$ 3,844,442

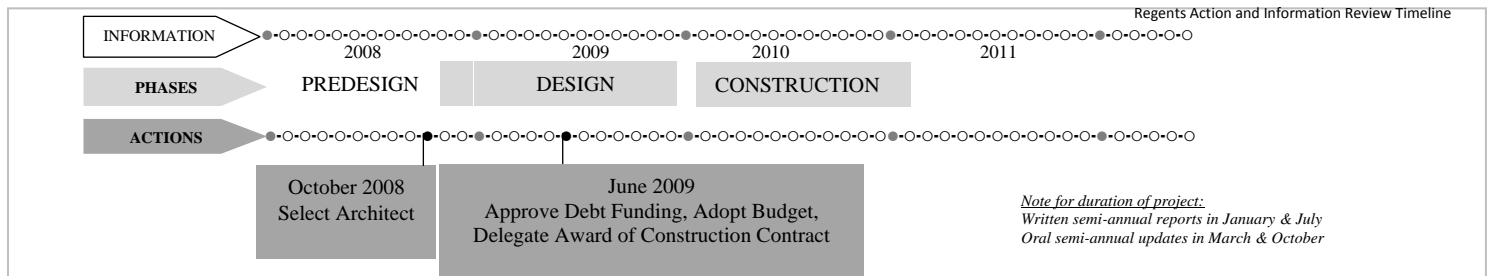
**Total for State of Washington:** \$ 3,844,442

**Total Contracts:** \$ 8,787,633

**Grand Total for all Awards** \$ 122,446,048

## VII. STANDING COMMITTEES

## B. Finance, Audit and Facilities Committee

Hall Health Primary Care Center Clinical Units Remodel – Select ArchitectRECOMMENDED ACTION:

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the President be delegated authority to award a design contract to the firm of Miller Hayashi Architects for the Hall Health Primary Care Clinic (HHPCC) Remodel project, subject to successful negotiation of an architectural agreement.

In the event of an unsuccessful negotiation with the selected firm, it is requested that authority be delegated to open negotiations with Taylor Gregory Butterfield Architects and Clark Kjos Architects, the firms recommended as first and second alternates, respectively.

It is intended that this project will be brought back to the Board of Regents in 2009 for further project approvals.

BACKGROUND:

The project consists of partial interior renovation of the Hall Health Center, including the ground floor, first and third floors, and a two-story addition of approximately 2,600 square feet on the south side in the service courtyard. The existing building was constructed in 1936 and expanded in 1975. The HHPCC provides healthcare services for University of Washington students and staff. Once complete, this project will enhance the quality of care, improve student access to care, allow for future growth, increase student access to mental health services, generate additional revenue, and provide adequate space for special programs.

In September 2008, the Capital Projects Office advertised for firms interested in providing architectural services. Nine firms responded to the Request for Qualifications for this project and a Capital Projects Evaluation Committee including representatives from the HHPCC and the Capital Projects Office

## VII. STANDING COMMITTEES

### B. Finance, Audit and Facilities Committee

#### Hall Health Primary Care Center Clinical Units Remodel – Select Architect (continued p. 2)

interviewed three firms on September 24, 2008: Clark Kjos Architects, Miller Hayashi Architects and Taylor Gregory Butterfield Architects. It is the recommendation of the Evaluation Committee that Miller Hayashi Architects be appointed design architect for this project. The Evaluation Committee is charged with identifying the most qualified firm as well as one or two alternate qualified firms, if any, ensuring negotiations can continue in a timely manner. The alternate firms recommended by the Evaluation Committee are Taylor Gregory Butterfield Architects and Clark Kjos Architects, respectively.

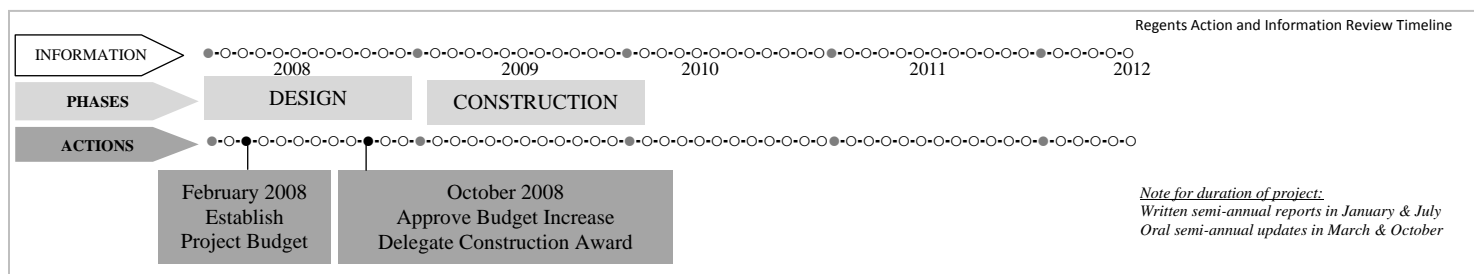
Miller Hayashi Architects is a local architectural firm located in Seattle and has a professional staff of 12. The firm is committed to a vision of architecture as an inclusive social art. Although Miller Hayashi Architects have not done any work on UW campus, the firm has established a successful track record creating well-received public buildings. These projects include not-for-profit health care centers such as the King County Community Health Center, the Puget Sound Neighborhood Health Care Centers, and the International Community Health Services. Miller Hayashi Architects practice a hands-on approach of principal involvement in all phases of a project. The firm is founded on the belief in the potential of architecture to enrich both function and site in an act of imagination.

The Predesign will begin in November 2008, with completion of the design anticipated in December 2009. Construction is to start in January 2010, and will be phased to keep the facility in operation. Full occupancy is scheduled for January 2011.

At the conclusion of the feasibility phase the project is estimated to be approximately \$9.7 million, which includes \$1 million for lost revenue due to reduced operations during the construction phase. The project cost will be refined during the Predesign phase. Initial funding of \$1,031,513 for the Predesign and Schematic Design phases will be funded from the Student Services and Activity Fees.

## VII. STANDING COMMITTEES

## B. Finance, Audit and Facilities Committee

Benjamin D. Hall Interdisciplinary Research Building Applied Physics Laboratories  
Tenant Improvements – Approve Budget AdjustmentRECOMMENDED ACTION:

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the Benjamin D. Hall Interdisciplinary Research Building Applied Physics Laboratories (APL) Tenant Improvements project budget be increased from \$5,675,000 to \$8,700,000 and that the President be delegated authority to award a construction change order to the firm of M. A. Mortenson Company subject to no further significant changes in the scope; the forecast cost being within 10% of the revised budget, and funding being in place.

BACKGROUND:

This project will construct 33,469 useable square feet of office and laboratory space on the first, second and fifth floors for the Applied Physics Laboratory. Currently, no classified research is anticipated associated with these tenant improvements.

A Design Build Operate Maintain (DBOM) contract was awarded to M. A. Mortenson on June 22, 2004 to design and construct the core and shell of the Benjamin D. Hall Interdisciplinary Research (formerly known as the Research and Technology Building) and to design and construct initial and future tenant improvements, provide utility services, operation, maintenance, and building management for 30 years.

Design and construction will be performed by the same design building team (M.A. Mortenson, Chernoff-Thompson and McKinstry) that performed the core and shell work. M.A. Mortenson has a long history with UW projects including the construction of the Paul G. Allen Center for Computer Sciences & Engineering and the recent renovation of Architecture Hall.



## VII. STANDING COMMITTEES

### B. Finance, Audit and Facilities Committee

#### Benjamin D. Hall Interdisciplinary Research Building Applied Physics Laboratories Tenant Improvements – Approve Budget Adjustment (continued p. 2)

The project budget has increased for several reasons, primarily the desire for APL to identify, build out and fit out several labs including a computer server room on the first floor. The amount of useable square feet remains constant.

Design has progressed to the Design Development stage and is anticipated to complete in December 2008 for the start of construction in early 2009. Project completion and occupancy is scheduled for October 2009.

Project funding of \$8,700,000 is from Tenant Improvement Federal funds obtained by APL. Sales tax is included in the budget as this research tenant is not likely to qualify for tax deferral status.

Attachment:

*Capital Projects Office Project Budget Summary*

**UNIVERSITY OF WASHINGTON  
CAPITAL PROJECTS OFFICE - SUMMARY PROJECT BUDGET  
ALTERNATIVE PROCUREMENT (GC/CM OR D-B)**

PROJECT: Ben Hall APL Tenant Improvement

Project Number 202510

ESTIMATED DATE OF COMPLETION: October 2009

Current Approved Estimated Budget	Forecast Cost at Completion
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**BUDGET SUMMARY:**

**A. ACQUISITION**

**B. CONSULTANT SERVICES**

1. PREDESIGN	20,000	20,000
2. BASIC DESIGN SERVICES		
3. EXTRA SERVICES/REIMBURSABLES		
4. OTHER SERVICES	96,205	46,000
5. DESIGN SERVICES CONTINGENCY		
<b>TOTAL CONSULTANT SERVICES</b>	<b>116,205</b>	<b>66,000</b>

**C. PRIMARY CONSTRUCTION CONTRACTS**

1. MAX.ALLOW.CONST.COST (MACC)		
2. GC/CM OR DB COSTS	4,125,000	6,807,400
3. SALES TAX ON CONSTRUCTION GUARANTEED CONTRACT COST	367,125	612,668
4. CONSTRUCTION CONTINGENCY		
5. SALES TAX ON CONTINGENCY		
<b>TOTAL CONSTRUCTION COSTS</b>	<b>4,492,125</b>	<b>7,420,068</b>

**D. EQUIPMENT**

**E. ARTWORK**

<b>F. OTHER COSTS</b>	106,150	124,732
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**G. PROJECT ADMINISTRATION**

<b>H. RELATED PROJS/MITIGATION</b>	220,000	348,000
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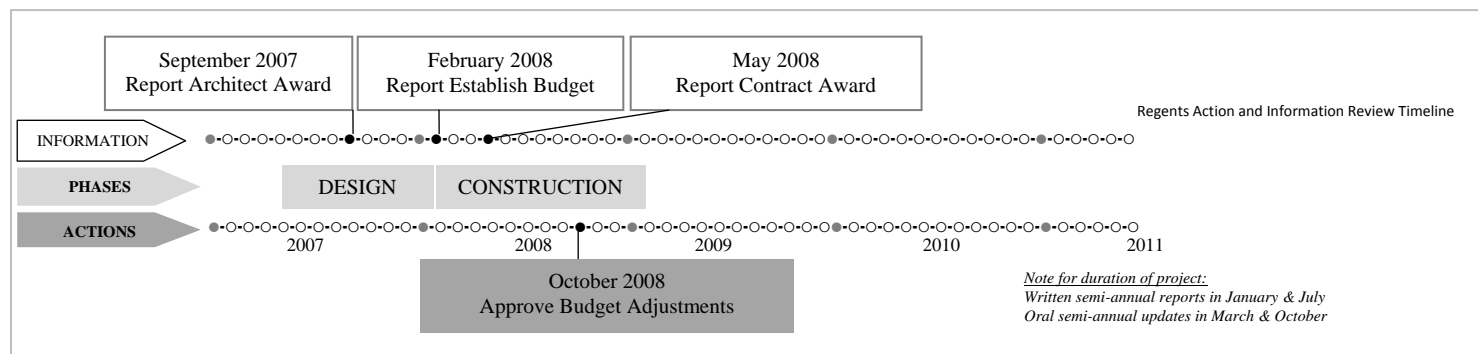
<b>TOTAL PROJECT BUDGET</b>	<b>5,675,000</b>	<b>8,700,000</b>
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**SOURCE OF FUNDS:**

UW BUILDING ACCOUNT/BRF		
STATE GEN'L FUND GO BONDS/NOTES		
UW NON-STATE REVENUE		
FEDERAL FUNDING OBTAINED BY APL	5,675,000	8,700,000
<b>TOTAL SOURCE OF FUNDS</b>	<b>5,675,000</b>	<b>8,700,000</b>

## VII. STANDING COMMITTEES

## B. Finance, Audit and Facilities Committee

UWMC NN 1<sup>st</sup> Floor Radiation Oncology Renovation – Approve Budget AdjustmentRECOMMENDED ACTION:

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the Board of Regents approve an increase in the total project budget for the University of Washington Medical Center (UWMC) NN 1<sup>st</sup> Floor Radiation Oncology Renovation project from \$4,177,107 to \$5,300,000.

BACKGROUND:

This project renovates the UWMC's three existing linear accelerator vaults, and creates a new vault in NN-119 for an added fourth linear accelerator - for a total of four vaults. It also creates a new remodeled sub-waiting and changing area for radiation oncology patients, an expanded physicians' workroom space, provides renovated control spaces for each of the accelerator vaults and creates a new patient holding room. In addition, the project will also renovate and prepare room NN-115 and associated control room space for a new Computed Tomography (CT)/Simulator device.

Phased with the construction work will be the refurbishment of two existing linear accelerators, installation of a new linear accelerator, a CT/Simulator, and a new Synergy Hexapod linear accelerator. The UWMC Radiation Oncology Department will purchase and install the equipment separately from this project.

PREVIOUS ACTION:

The following actions were reported under delegated authority.

## VII. STANDING COMMITTEES

### B. Finance, Audit and Facilities Committee

#### UWMC NN-1<sup>st</sup> Floor Radiation Oncology Renovation – Approve Budget Adjustment (continued p. 2)

In September 2007, reported that an agreement for architectural services was awarded to Taylor Gregory Butterfield Architects under their master services architectural agreement.

In February 2008, reported that the project budget was established at \$4,177,107 for this project.

In May 2008, reported that a construction contract was awarded to Kirtley-Cole Associates LLC for this project. The low bid received was \$2,551,709, compared to a budgeted construction cost of \$2,328,724. The project budget forecast was revised to \$4,498,936 to reflect the higher than budgeted bids.

#### CURRENT PROJECT STATUS:

Since the construction contract was awarded, the project has encountered several unanticipated changes. In response to a review comment from the Washington State Department of Health (DoH) “C” was determined to be classified as an operating room. While there was no change in the previously approved patient procedures in Vault C, it was DOH’s interpretation that procedures being performed needed to conform to operating room requirements. Due to the lengthy review process this interpretation was made after the start of construction. Only Vault C was affected, because it is the only vault used to perform the procedures that resulted in the reclassification. This reclassification triggered significant mechanical, electrical, and life safety system modifications to meet DoH guidelines. The forecast cost of these changes is approximately \$500,000 and five week schedule delay. A high-density concrete wall for the new vault in NN-119 could not be cast-in-place as designed so a high-density concrete block wall was substituted for an additional cost of approximately \$150,000. Unknown site conditions such as excessive concrete structure, mechanical and electrical systems have contributed to the remainder of the budget increase.

Construction activities began April 7, 2008. As a result of the added scope of work the completion is delayed from May 2009 to June 2009. Occupancy will be phased as each linear accelerator installation or refurbishment is completed.

Funding, including the forecast increased cost for the project is from University of Washington Medical Center patient revenues.

Attachment: *Capital Projects Office Budget Summary*

F-5/210-08,10/16/08

**UNIVERSITY OF WASHINGTON  
CAPITAL PROJECTS OFFICE • SUMMARY PROJECT BUDGET**

PROJECT: UWMC NN 1st Floor Radiation Oncology Renovation

Project Number: 201841

ESTIMATED DATE OF COMPLETION: June 2009

Current Approved Budget	Forecast Cost at Completion
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**BUDGET SUMMARY:**

<b>A. ACQUISITION</b>	0	0
<b>B. CONSULTANT SERVICES</b>		
1. PREDESIGN	53,000	43,000
2. BASIC DESIGN SERVICES	301,000	296,000
3. EXTRA SERVICES/REIMBURSABLES	36,000	156,000
4. OTHER SERVICES	125,000	142,000
5. DESIGN SERVICES CONTINGENCY	119,000	135,000
<b>TOTAL CONSULTANT SERVICES</b>	<b>634,000</b>	<b>772,000</b>
<b>C. PRIMARY CONSTRUCTION CONTRACTS</b>		
1. MAX.ALLOW.CONST.COST (MACC)	2,434,000	2,627,000
2. GC/CM OR DB COSTS	0	0
3. SALES TAX ON CONSTRUCTION	217,000	236,000
GUARANTEED CONTRACT COST	2,651,000	2,863,000
4. CONSTRUCTION CONTINGENCY	487,000	1,202,000
5. SALES TAX ON CONTINGENCY	34,000	102,000
<b>TOTAL CONSTRUCTION COSTS</b>	<b>3,172,000</b>	<b>4,167,000</b>
<b>D. EQUIPMENT</b>	3,000	0
<b>E. ARTWORK</b>	0	0
<b>F. OTHER COSTS</b>	77,000	70,000
<b>G. PROJECT ADMINISTRATION</b>	291,000	291,000
<b>H. RELATED PROJS/MITIGATION</b>	0	0
<b>TOTAL PROJECT BUDGET</b>	<b>4,177,000</b>	<b>5,300,000</b>

**SOURCE OF FUNDS:**

UW BUILDING ACCOUNT/BRF		
STATE GEN'L FUND GO BONDS/NOTES		
UW NON-STATE REVENUE	4,499,000	5,300,000
<b>TOTAL SOURCE OF FUNDS</b>	<b>4,499,000</b>	<b>5,300,000</b>

**VII. STANDING COMMITTEES****B. Finance, Audit and Facilities Committee**Actions Taken Under Delegated Authority

Pursuant to the Standing Orders of the Board of Regents, Delegation of Authority, and to the delegation of authority from the President of the University to the Senior Vice President for Finance and Facilities in Administrative Order No. 1, to take action for projects or contracts that exceed \$1,000,000 in value or cost but are less than \$5,000,000, the Administration may approve and execute all instruments.

**1. Report of Actions Taken Under General Delegated Authority****CAPITAL PROJECTS**

Project Name: Bank of America Arena ICA Golf Training Center

Project No.: 202024

Action Reported: Select Architect and Adopt Budget

On December 14, 2007, an Agreement for Architectural Services contract was awarded to ARC Architects of Seattle, Washington, in the amount of \$115,979 for the Bank of America Arena ICA Golf Training Center, Project No. 202024.

The Bank of America Arena ICA Golf Training Center was established to create a home and training center for the Husky Men's and Women's Golf Teams. The vision is a state-of-art indoor training facility that will celebrate and expose Husky Golf (past, present and future) to the greater UW Campus. Located on the south concourse of the Arena, the facility is 5,000 square feet and includes putting and chipping area, state-of-the-art swing and putting analysis, team lockers and lounge, coach conferencing area, club repair area and areas for team display. The training center will be transparent to the main concourse of the Arena.

The project schedule is:

Design	12/07 to 9/08
Bid & Award	9/08 to 11/08
Construction	11/08 to 4/09
Closeout	4/09 to 12/09

All funding for this project is being provided by donors to UW Golf.

## VII. STANDING COMMITTEES

### B. Finance, Audit and Facilities Committee

#### Actions Taken Under General Delegated Authority (continued p. 2)

<b>Estimated Budget Summary</b>	<b>Current Approved Budget</b>	<b>Forecast Cost at Completion</b>
Total Consultant Services	\$ 320,248	\$ 320,248
Total Construction Cost *	\$ 1,453,917	\$ 1,453,917
Other Costs	\$ 73,302	\$ 73,302
Project Administration	\$ 152,533	\$ 152,533
Total Project Budget	\$ 2,000,000	\$ 2,000,000

\* Includes construction contract amount, contingencies and state sales tax

Project Name: Harborview Medical Center 1WH Single Planes Installation

Project No.: 202245

Action Reported: Select Architect and Adopt Budget

On May 21, 2008, an agreement for Architectural Services was awarded to Clark/Kjos Architects for the Harborview Single Planes Installation, Project No. 202245. The value of the design contract is \$78,369 and the proposed budget for all consultant services for the project is \$159,647. The balance of the design budget is intended for further design refinement, site investigations and construction phase services. The project budget was established at \$1,000,000.

Clark/Kjos Architects has a successful track record for healthcare renovation projects throughout the Western Washington region including Swedish Medical Center, Good Samaritan Hospital in Puyallup, Seattle Children's Hospital and many others. Its mission is to improve quality of life through design.

This radiology project will mark the completion of Harborview Medical Center's radiology program upgrades. It is the third and final project in the angiography upgrade program. This project will renovate rooms 1WH-324 and 1WH-325 so that they are ready for the installation of two separate single plane (x-ray) machines - one in each room. The work includes updating finishes, new casework, and changes to the mechanical and electrical infrastructure to support the new equipment. The new Philips single plane machines will be Owner-supplied and installed.

Construction is expected to begin in December 2008. Construction will be phased with anticipation of the first patient being seen late in the first quarter of 2009. The second room is anticipated to be complete in late May 2009.

## VII. STANDING COMMITTEES

### B. Finance, Audit and Facilities Committee

#### Actions Taken Under General Delegated Authority (continued p. 3)

The project budget was established at \$1,000,000. Funding for this project is being provided by King County through Harborview Medical Center's Capital Improvement Program.

<b>Budget Summary</b>	<b>Current Approved Budget</b>	<b>Forecast Cost at Completion</b>
Total Consultant Services	\$ 159,647	\$ 159,647
Total Construction Cost*	\$ 745,856	\$ 745,856
Other Costs	\$ 9,046	\$ 9,046
Project Administration	\$ 85,451	\$ 85,451
Total Project Budget	\$1,000,000	\$1,000,000

\*Includes construction contract amount, contingencies and state sales tax

#### ACQUISITION OF GOODS AND SERVICES

Project Name: Department of Psychology

Action Reported: Research MRI System

The Department of Psychology has purchased a Philips Medical Systems Achieva Quasar 3.0T MRI system. The system will be used for research in functional brain imaging changes across several research areas, including dyslexia and autism, and to explore possible links between genetics and the structural/metabolic changes as defined with MR studies.

A sole source purchase order was issued to Philips Medical Systems based on the unique capabilities of the Philips scanner that are especially suited to neuro-imaging. The total contract amount is not to exceed \$2,100,000 and is sales tax exempt. The University participates in a national pricing organization that evaluates proposed purchases and compares new quotations to recent purchases by other organizations. The pricing for this purchase is competitive and is considered to be fair and reasonable.

Funding for this purchase is available from a National Science Foundation grant.



**VII. STANDING COMMITTEES****B. Finance, Audit and Facilities Committee****Global Economic Update**

No action is required – this update is for comment and general information.

**Biography  
Andrew Hunt**

Andrew Hunt is Founder and Consultant Economist at Andrew Hunt Economics. The consultancy was formed in 2001 when he left Dresdner Asset Management, where he had been Chief International Economist. He first joined Dresdner in 1987 after a brief internship at Lehman Brothers. He worked for four years in the Dresdner London office, covering the G7 Economies, before moving to Hong Kong in 1991 in order to spend more time working in the Pacific Area.

Whilst in Hong Kong, he formed strong links with many regional central banks, and the local hedge fund community. Consequently, he was heavily involved in the events surrounding the Asian Crisis. In 1997, he returned to London and took up his international role in the renamed Dresdner RCM Global Investors. During his time at DRCM, he was a frequent visitor to Australia in both research and marketing capacities.

Since 2001, he has operated his own economics consultancy. His current client list includes around 40 large fund managers, hedge funds and family offices, although he also consults to some multinational companies and central banks. In order to fulfill his research role, he travels extensively in the USA, Europe and the Asia Pacific region.

**VII. STANDING COMMITTEES****B. Finance, Audit and Facilities Committee**Student Administration System Development – Kualī ProjectRECOMMENDED ACTION:

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the President or the President's authorized representative be delegated authority to enter into appropriate contracts and related expenditures in an amount not to exceed \$6,250,000 to become a Kualī Foundation Partner and to participate in development of student administration systems software.

This commitment includes \$5,000,000 to become a Kualī Foundation Partner (\$1,000,000 per year over five years). In addition, the University of Washington (UW) has budgeted \$1,250,000 in operating expenses to support the project, (\$250,000 per year over five years). This includes funding for staff training, travel, equipment, and contingency funds.

BACKGROUND:

The UW has been invited to be a founding partner in the Kualī Student Services System, a consortium of leading higher education institutions that is developing a next-generation student system.

The UW's current student administration system is an inflexible, 26-year-old, homegrown, legacy mainframe application that does not collect the information critical to effective operations and decision-making. Many other leading higher education institutions have a similar problem and several have joined together to develop a modern student administrative system called Kualī Student. The consortium consists of six other founding partners:

- The University of British Columbia
- The University of California (Berkeley)
- The University of Maryland (College Park)
- Florida State University
- San Joaquin Delta College
- University of Southern California

A next-generation student administrative system has the potential to radically improve the educational quality and experience for students. With better tracking of educational outcomes against expected performance, the UW will be able to raise the bar on developing new types of educational programs and new methods of instruction. The UW needs a student administrative system that includes the

## VII. STANDING COMMITTEES

### B. Finance, Audit and Facilities Committee

#### Student Administration System Development – Kualiti Project (continued p. 2)

flexibility to define and measure educational programs and outcomes in a variety of ways. The current legacy system does not provide that flexibility.

Joining the Kualiti Student partnership now will allow the UW to have a voice in deciding critical design issues, so that the final product has a high probability of meeting the UW's needs. The UW's early involvement will also enable the University community to leverage the resources of partner institutions in redesigning student administrative processes to maximize efficiencies and flexibility.

#### ***The Kualiti Student Project***

The Kualiti Student project will deliver a flexible, scalable, cost-effective system that can be configured to meet the business requirements of many institutions. It will be modular, open-source, and standards-based with a full service-oriented architecture. Kualiti Student plans to deliver functional systems in two phases:

Phase One includes:

- Curriculum development
- Customer contact
- Enrollment, grades and official academic record
- Degree audit and academic evaluation
- Student financials (tuition, billing, payment)

Phase Two includes:

- Admissions
- Scheduling (curriculum resources, faculty, room availability)
- Awards and financial aid

Student-related functions that are outside the scope of the Kualiti project include:

- Recruitment
- Housing applications
- Alumni

#### ***Becoming a Founding Partner***

As a founding partner, the UW is required to commit \$1,000,000 per year for five years, which includes a combination of staff resources dedicated to Kualiti work and cash paid to the Foundation. In addition, the UW has budgeted \$250,000 per

## VII. STANDING COMMITTEES

### B. Finance, Audit and Facilities Committee

#### Student Administration System Development – Kualī Project (continued p. 3)

year for five years for support and operating costs including hardware, travel by participating staff to Kualī meetings, staff training, and contingency funds.

The University will continually assess its participation in Kualī to assure that UW needs are being addressed in functional design. The University's commitment is to the Kualī student development effort. Any recommendation to implement Kualī systems will be treated as a separate investment decision and assessed for its cost-benefits, level of risk, and likelihood of success.

Funding for the UW's participation is available from University operating funds. The Washington State Department of Information Services and the Information Services Board, which has oversight for information technology investments by state agencies and institutions, will consider this proposed development plan once it is approved by the Regents. This request has been approved by the Chief Technology Officer, the Vice Provost for Information Management, the Vice Provost for Student Life, the Senior Vice President for Finance and Facilities, and the Provost and Executive Vice President.

More information about Kualī Student is available at: <http://student.kuali.org/>

#### ***Other Successful Consortium Efforts***

Higher education institutions have a demonstrated track record of successfully collaborating on large-scale, multifaceted projects to solve complex technology problems. A few of the numerous examples of successful collaborations are listed below. These higher education consortiums offer many advantages, which is why they have attracted the participation of leading institutions nationally, but there are also risks.

#### **Advantages:**

- Consortiums offer a forum where several institutions can join together; share resources, knowledge and expertise; and produce a better, more cost effective product than any one of them could have alone.
- Consortiums offer an opportunity for institutions to design solutions that fit their unique needs while also avoiding becoming locked into a particular vendor package and its required upgrades, or being subject to the churn of the vendor marketplace, where mergers and acquisitions are common.
- Once core systems and modules are released, other institutions adopt them and develop additional modules, which in turn spurs further adoption and

## VII. STANDING COMMITTEES

### B. Finance, Audit and Facilities Committee

#### Student Administration System Development – Kuali Project (continued p. 4)

development, and innovation flourishes, which benefits the entire consortium.

#### **Risks:**

- The biggest risk of a consortium is that it will not be able to sustain its initial momentum, and the project will flounder. This risk can be mitigated in several ways, including through developing a strong governance and project management framework and by involving commercial vendors who can help provide the ongoing structure and support required to maintain and evolve the product. Even if the consortium does fail, consortium members still walk away with increased knowledge and expertise. The alternative is to invest in a vendor package, which carries its own array of associated risks, or for an individual institution to develop the product on its own, which would be cost prohibitive.

The Kuali Foundation has learned the lessons of other consortium efforts and has developed a strong governance and project management framework and has attracted commercial firms as affiliates.

The following provides some examples of successful higher education consortiums.

#### **Kuali Foundation**

The Kuali Foundation is a non-profit organization responsible for developing and sustaining a comprehensive suite of administrative software that meets the needs of all higher education institutions. The Kuali Foundation manages a portfolio of enterprise software applications for colleges and universities including Kuali Financial System, Kuali Research Administration, and Kuali Student. Consortium members are colleges, universities, commercial firms and interested organizations that share a common vision of open, modular, and distributed systems for their software requirements.

Kuali began as a collaborative effort among a few universities to develop an enterprise-level financial system. Based upon its initial success, the project was able to secure grant funding from the Andrew W. Mellon Foundation. This support has allowed the founding partners to expand the development community to more schools. Foundation members currently include Cornell University, Indiana University, Massachusetts Institute of Technology, Pennsylvania State University, University of California (Berkeley), University of California (San

## VII. STANDING COMMITTEES

### B. Finance, Audit and Facilities Committee

#### Student Administration System Development – Kuali Project (continued p. 5)

Diego), among others. As the partnership has grown, it has attracted commercial affiliates including IBM, Sun Microsystems, and Syntel.

More information on the Kuali Foundation: [www.kauli.org](http://www.kauli.org)

#### **Kuali Financial System**

As noted above, the Kuali Financial System project was the first undertaken by the consortium. The Kuali Financial Systems project is working to create a comprehensive suite of financial software that meets the needs of all Carnegie Class institutions. The project started in 2005 and is focused on developing an enterprise-level financial system based on Indiana University's Financial Information System.

The Kuali Financial System project includes a collaborative approach to design, an open development platform, and a Web-based operating environment. Kuali has had three releases to date, with the final release of the functionality scheduled to be completed and available December 2008.

The following higher education institutions plan to implement the Kuali Financial System:

<b>Institution</b>	<b>Expected Start Date</b>	<b>Estimated Completion Date</b>
Cornell University	January 2007	2012
Colorado State University	Fall 2007	July 2009
Indiana University	Spring 2008	2011
University of Arizona	Spring 2008	July 2009
Michigan State University	Fall 2008	October 2009
University of California (Davis)	2008	2012
University of Hawaii	2008	2010-2011
San Joaquin Delta Community College	Fall 2009	July 2010
University of California (Irvine)	2009	2011
University of Southern California	2010	Undetermined

## VII. STANDING COMMITTEES

### B. Finance, Audit and Facilities Committee

#### Student Administration System Development – Kualī Project (continued p. 6)

For more information about the Kualī Financial project see:

<http://www.kuali.org/communities/kfs/>

#### **Sakai**

Another example of a successful software consortium effort in higher education is the Sakai project. Sakai is an open source Courseware Management System that features a set of software tools designed to help instructors, researchers, and students collaborate online in support of their work. The project was started in 2004 when Stanford, Michigan, Indiana, MIT, and Berkeley decided to collaborate on developing a common Courseware Management System rather than continuing their homegrown systems or licensing software from a commercial vendor. Sakai now has over 100 members including the UW.

More information about Sakai: <http://www.sakaiproject.org/portal>

#### **UPortal**

uPortal is a free, open-standards, and sharable portal that was developed by a consortium of higher education institutions. The portal was developed to be an abridged and customized version of an institution's Web presence, or a "pocket-sized" version of the campus Web.

uPortal is a collaborative development project that began in 2001 when a group of 20 institutions, including Princeton, Yale, Cornell, the University of British Columbia, Georgetown University, and Boston College recognized the need to leverage the potential of the portal for their institutional Web presence, to project the institutional image, and to promote the use of Java technology. The project was sponsored by Sun Microsystems and supported with a grant from the Andrew W. Mellon Foundation. The project has enjoyed considerable success with more than 600 production deployments, and it remains the most widely used open source portal in higher education.

More information about UPortal: <http://www.uportal.org/>

**VII. STANDING COMMITTEES****B. Finance, Audit and Facilities Committee****SEIU Local 925 Supervisory Collective Bargaining Agreement – UW Ratification****RECOMMENDED ACTION:**

It is the recommendation of the Administration that the Board of Regents approve the Collective Bargaining Agreement between the University of Washington and SEIU Local 925 that covers approximately 435 Supervisory employees on all UW Campuses, UW Medical Center and Harborview Medical Center. In addition, certain provisions of the Agreement will cover regular temporary employees who enter the bargaining unit upon completion of 350 hours of bargaining unit work within a consecutive 12 month period. The duration of the Agreement is from July 1, 2009 through June 30, 2011.

**BACKGROUND:**

This is a successor Agreement for this bargaining unit negotiated under the Personnel System Reform Act of 2002 and pursuant to RCW 41.80.

Following is a summary of the critical elements of the Agreement:

**Efficiencies:**

- Recognition of University's right to move to a rolling twelve (12) month period for FMLA.
- Employees will be required to use accrued leave for FMLA prior to going on leave without pay. Employees can maintain a balance of 80 hours of accrued leave.
- Clarified that arbitrator fees and costs will be shared equally by the parties.
- Deleted language requiring employees to return jury duty compensation.

**Wages:**

A one-time payment of \$100.00 for full-time permanent FTE's and a one-time payment of \$50.00 for less than full-time permanent FTE's to be paid within sixty (60) days of contract ratification by SEIU Local 925 bargaining unit members.



## VII. STANDING COMMITTEES

### B. Finance, Audit and Facilities Committee

#### SEIU Local 925 Supervisory Collective Bargaining Agreement – UW Ratification (continued p. 2)

July 1, 2009

- 2.25 percent across-the-board wage increase
- Market adjustments for classifications below 80 percent of market

July 1, 2010

2.5 percent across-the-board wage increase

**VII. STANDING COMMITTEES****B. Finance, Audit and Facilities Committee****SEIU Local 925 Research Technologist Collective Bargaining Agreement – UW Ratification****RECOMMENDED ACTION:**

It is the recommendation of the Administration that the Board of Regents approve the Collective Bargaining Agreement between the University of Washington and SEIU Local 925 that covers approximately 216 Research Technologists on all UW Campuses, UW Medical Center and Harborview Medical Center. In addition, certain provisions of the Agreement will cover regular temporary employees who enter the bargaining unit upon completion of 350 hours of bargaining unit work within a consecutive 12 month period. The duration of this Agreement is from July 1, 2009 through June 30, 2011.

**BACKGROUND:**

This is a successor Agreement for this bargaining unit negotiated under the Personnel System Reform Act of 2002 and pursuant to RCW 41.80.

Following is a summary of the critical elements of the Agreement:

**Efficiencies:**

- Recognition of University's right to move to a rolling twelve (12) month period for FMLA.
- Employees will be required to use accrued leave for FMLA prior to going on leave without pay. Employees can maintain a balance of 80 hours of accrued leave.
- Clarified that arbitrator fees and costs will be shared equally by the parties.
- Deleted language requiring employees to return jury duty compensation.

**Wages:**

A one-time payment of \$100.00 for full-time permanent FTE's and a one-time payment of \$50.00 for less than full-time permanent FTE's to be paid

## VII. STANDING COMMITTEES

### B. Finance, Audit and Facilities Committee

#### SEIU Local 925 Research Technologist Collective Bargaining Agreement – UW Ratification (continued p. 2)

within sixty (60) days of contract ratification by SEIU Local 925 bargaining unit members.

July 1, 2009

- 2.25 percent across-the-board wage increase
- Market adjustments for classifications below 80 percent of market

July 1, 2010

2.5 percent across-the-board wage increase

**VII. STANDING COMMITTEES****B. Finance, Audit and Facilities Committee****SEIU Local 925 Research Technologist Supervisor Collective Bargaining Agreement – UW Ratification****RECOMMENDED ACTION:**

It is the recommendation of the Administration that the Board of Regents approve the Collective Bargaining Agreement between the University of Washington and SEIU Local 925 that covers approximately 11 Research Technologist Supervisors on all UW Campuses, UW Medical Center and Harborview Medical Center. In addition, certain provisions of the Agreement will cover regular temporary employees who enter the bargaining unit upon completion of 350 hours of bargaining unit work within a consecutive 12 month period. The duration of the Agreement is from July 1, 2009 through June 30, 2011.

**BACKGROUND:**

This is a successor Agreement for this bargaining unit negotiated under the Personnel System Reform Act of 2002 and pursuant to RCW 41.80..

Following is a summary of the critical elements of the Agreement:

**Efficiencies:**

- Recognition of University's right to move to a rolling twelve (12) month period for FMLA.
- Employees will be required to use accrued leave for FMLA prior to going on leave without pay. Employees can maintain a balance of 80 hours of accrued leave.
- Clarified that arbitrator fees and costs will be shared equally by the parties.
- Deleted language requiring employees to return jury duty compensation.

**Wages:**

A one-time payment of \$100.00 for full-time permanent FTE's and a one-time payment of \$50.00 for less than full-time permanent FTE's to be paid

## VII. STANDING COMMITTEES

### B. Finance, Audit and Facilities Committee

#### SEIU Local 925 Research Technologist Supervisor Collective Bargaining Agreement – UW Ratification (continued p. 2)

within sixty (60) days of contract ratification by SEIU Local 925 bargaining unit members.

July 1, 2009

- 2.25 percent across-the-board wage increase
- Market adjustments for classifications below 80 percent of market

July 1, 2010

- 2.5 percent across-the-board wage increase

**VII. STANDING COMMITTEES****B. Finance, Audit and Facilities Committee****SEIU Local 925 Nonsupervisory Collective Bargaining Agreement – UW Ratification****RECOMMENDED ACTION:**

It is the recommendation of the Administration that the Board of Regents approve the Collective Bargaining Agreement between the University of Washington and SEIU Local 925 that covers approximately 4,500 Nonsupervisory employees on all UW Campuses, UW Medical Center and Harborview Medical Center. In addition, certain provisions of the Agreement will cover regular temporary employees who enter the bargaining unit upon completion of 350 hours of bargaining unit work within a consecutive 12 month period. The duration of the Agreement is from July 1, 2009 through June 30, 2011.

**BACKGROUND:**

This is a successor Agreement for this bargaining unit negotiated under the Personnel System Reform Act of 2002 and pursuant to RCW 41.80.

Following is a summary of the critical elements of the Agreement:

**Efficiencies:**

- Recognition of University's right to move to a rolling twelve (12) month period for FMLA.
- Employees will be required to use accrued leave for FMLA prior to going on leave without pay. Employees can maintain a balance of 80 hours of accrued leave.
- Clarified that arbitrator fees and costs will be shared equally by the parties.
- Deleted language requiring employees to return jury duty compensation.

**Wages:**

A one-time payment of \$100.00 for full-time permanent FTE's and a one-time payment of \$50.00 for less than full-time permanent FTE's to be paid

## VII. STANDING COMMITTEES

### B. Finance, Audit and Facilities Committee

#### SEIU Local 925 Nonsupervisory Collective Bargaining Agreement – UW Ratification (continued p. 2)

within sixty (60) days of contract ratification by SEIU Local 925 bargaining unit members.

July 1, 2009

- 2.25 percent across-the-board wage increase
- Market adjustments for classifications below 80 percent of market

July 1, 2010

- 2.5 percent across-the-board wage increase

**VII. STANDING COMMITTEES****B. Finance, Audit and Facilities Committee****Washington Federation of State Employees Collective Bargaining Agreement –  
UW Ratification****RECOMMENDED ACTION:**

It is the recommendation of the Administration that the Board of Regents approve a Master Collective Bargaining Agreement between the University of Washington and the Washington Federation of State Employees (WFSE) that covers approximately 2,793 employees in six bargaining units at the University of Washington, UW Medical Center and Harborview Medical Center. The duration of the Agreement is from July 1, 2009 through June 30, 2011.

**BACKGROUND:**

This is a successor Agreement negotiated for these bargaining units under the Personnel System Reform Act of 2002 and pursuant to RCW 41.80.

Following is a summary of the critical elements of the Master Agreement:

**Efficiencies:**

- Recognition of University's right to move to a rolling twelve (12) month period for FMLA.
- Employees will be required to use accrued leave for FMLA prior to going on leave without pay. Employees can maintain a balance of 120 hours of accrued leave.
- Clarified hours worked on a holiday will not count as time worked for the purpose of calculating overtime.
- Clarified that arbitrator fees and costs will be shared equally by the parties.
- Deleted language requiring employees to return jury duty compensation.

**Wages:**

Recruitment and Retention adjustments from two to four ranges will be made for certain skilled trades classifications (Plumber, Plumber Lead,



## VII. STANDING COMMITTEES

### B. Finance, Audit and Facilities Committee

#### Washington Federation of State Employees Collective Bargaining Agreement – UW Ratification (continued p. 2)

Elevator Mechanic, Elevator Mechanic Lead, Carpenter, and Carpenter Lead).

July 1, 2009

- 2.25 percent across-the-board wage increase
- Market adjustments for classifications below 80 percent of market (approximately 290 employees)
- Increase in evening and night shift differential to one dollar (\$1.00) for all eligible employees and to one dollar and twenty-five cents (\$1.25) for custodians working nights

July 1, 2010

- 2.25 percent across-the-board wage increase

**VII. STANDING COMMITTEES****B. Finance, Audit and Facilities Committee****UW Police Officers Association Collective Bargaining Agreement – UW Ratification****RECOMMENDED ACTION:**

It is the recommendation of the Administration that the Board of Regents approve the Collective Bargaining Agreement between the University of Washington and the UW Police Officers Association that covers approximately 40 employees at the University of Washington. The duration of the Agreement is from July 1, 2009, through June 30, 2011.

**BACKGROUND:**

This is a successor Agreement for this bargaining unit negotiated under the Personnel System Reform Act of 2002 and pursuant to RCW 41.80.

Following is a summary of the critical elements of the Agreement:

**Efficiencies:**

- Added definition of Family consistent with other contracts.
- Recognition of University's right to move to a rolling twelve (12) month period for FMLA.
- Employees will be required to use accrued leave for FMLA prior to going on leave without pay. Employees can maintain a balance of 80 hours of accrued leave.

**Wages:****July 1, 2009**

- 2.25 percent across-the-board wage increase
- Modified Longevity pay and added retention tier at three (3) years of service

**July 1, 2010**

- New Top Step "M" (rather than an across-the-board wage increase)

**VII. STANDING COMMITTEES****B. Finance, Audit and Facilities Committee****SEIU Local 1199NW Collective Bargaining Agreement – UW Ratification****RECOMMENDED ACTION:**

It is the recommendation of the Administration that the Board of Regents approve the Master Collective Bargaining Agreement between the University of Washington and SEIU Local 1199NW that covers approximately 1,555 employees (Registered Nurse, Professional/Technical, Social Worker, Health Care Specialist, Respiratory Therapist/Anesthesiology Technician Bargaining Units) at Harborview Medical Center. The duration of the Agreement is from July 1, 2009 through June 30, 2011.

**BACKGROUND:**

This is a successor Master Agreement for these bargaining units negotiated under the Personnel System Reform Act of 2002 and pursuant to RCW 41.80.

Following is a summary of the critical elements of the Agreement:

**Efficiencies:**

- Addition of Airlift Northwest bargaining unit to Master Agreement with specific provision applying to only ALNW RN's.
- Recognition of University's right to move to a rolling twelve (12) month period for FMLA.
- Employees will be required to use accrued leave for FMLA prior to going on leave without pay. Employees can maintain a balance of 160 hours of accrued leave.
- Deleted language requiring employees to return jury duty compensation.

**Wages:****Registered Nurses (including Airlift Northwest RN's)**

July 1, 2009

- 2.5 percent across-the-board wage increase

## VII. STANDING COMMITTEES

### B. Finance, Audit and Facilities Committee

#### SEIU Local 1199NW Collective Bargaining Agreement – UW Ratification (continued p. 2)

July 1, 2010

- 2.5 percent across-the-board wage increase
- New 28 year step at 2 percent more than previous step
- Increase in Charge Nurse premium by \$.25 to \$2.25
- Increase in Night Shift premium by \$.25 to \$4.00

January 1, 2011

- 2.5 percent across-the-board wage increase

#### **Social Workers and Health Care Specialists**

July 1, 2009

- 2.0 percent across-the-board wage increase

July 1, 2010

- 2.0 percent across-the-board wage increase
- Increase in Night Shift premium by \$.25 to \$4.00 for Health Care Specialists only

January 1, 2011

- 2.0 percent across-the-board wage increases
- New step on pay range that is 3.0 percent more than previous step

#### **Respiratory Care Practitioners**

January 1, 2009

- 5.0 percent Market adjustments

July 1, 2009

- 2.0 percent across-the-board wage increase
- Increase in Certification Premium by \$.25 to \$.75

July 1, 2010

- 2.0 percent across-the-board wage increase
- Increase in Certification Premium by \$.25 to \$1.00
- New step on pay range that is 3.0 percent more than previous step

January 1, 2011

- 2.0 percent across-the-board wage increase

## VII. STANDING COMMITTEES

### B. Finance, Audit and Facilities Committee

#### SEIU Local 1199NW Collective Bargaining Agreement – UW Ratification (continued p. 3)

##### **Anesthesia Technicians**

January 1, 2009

- 1.0 percent market adjustment

July 1, 2009

- 3.0 percent across-the-board wage increase
- Increase in Certification Premium by \$.25 to \$.75

July 1, 2010

- 3.0 percent across-the-board wage increase
- Increase in Certification Premium by \$.25 to \$1.00
- New step on pay range that is 3.0 more than previous step

January 1, 2011

- 3.0 percent across-the-board wage increase

##### **Imaging Classifications**

January 1, 2009

- 1.0 percent market adjustment for all classifications

July 1, 2009

- 2.5 percent across-the-board wage increase (Sonographers and Radiology Techs)
- 3.5 percent across-the-board wage increase (CT, Angio, MRI, Nuclear Medicine, Leads)
- Increase in Certification Premium by \$.25 to \$.75 for all classes

July 1, 2010

- 2.5 percent across-the board wage increase (Sonographers and Radiology Techs)
- 3.5 percent across-the-board wage increase (CT, Angio, MRI, Nuclear Medicine, Leads)
- Increase in Certification Premium by \$.25 to \$1.00 for all classes

January 1, 2011

- 2.0 percent across-the-board wage increase for all classes

## VII. STANDING COMMITTEES

### B. Finance, Audit and Facilities Committee

#### SEIU Local 1199NW Collective Bargaining Agreement – UW Ratification (continued p. 4)

June 1, 2011

- New step that is 3.0 percent more than previous step for all classes

**VII. STANDING COMMITTEES****B. Finance, Audit and Facilities Committee****SEIU Local 925 Healthcare Professional/Laboratory Technical Collective  
Bargaining Agreement – UW Ratification****RECOMMENDED ACTION:**

It is the recommendation of the Administration that the Board of Regents approve the Collective Bargaining Agreement between the University of Washington and SEIU Local 925 that covers approximately 845 Healthcare Professional/Laboratory Technical employees at UW Medical Center. In addition, certain provisions of the Agreement will cover regular temporary employees who enter the bargaining unit upon completion of 350 hours of bargaining unit work within a consecutive 12 month period. The duration of this Agreement is from July 1, 2009 through June 30, 2011.

**BACKGROUND:**

This is a successor Agreement for this bargaining unit negotiated under the Personnel System Reform Act of 2002 and pursuant to RCW 41.80.

Following is a summary of the critical elements of the Agreement:

**Efficiencies:**

- Recognition of University's right to move to a rolling twelve (12) month period for FMLA.
- Employees will be required to use accrued leave for FMLA prior to going on leave without pay. Employees can maintain a balance of 80 hours of accrued leave.
- Clarified that arbitrator fees and costs will be shared equally by the parties.
- Deleted language requiring employees to return jury duty compensation.

**Wages:**

A one-time payment of \$100.00 for full-time permanent FTE's and a one-time payment of \$50.00 for less than full-time permanent FTE's to be paid

## VII. STANDING COMMITTEES

### B. Finance, Audit and Facilities Committee

#### SEIU Local 925 Healthcare Professional/Laboratory Technical Collective Bargaining Agreement – UW Ratification (continued p. 2)

within sixty (60) days of contract ratification by SEIU Local 925 bargaining unit members.

July 1, 2009

- 2.25 percent across-the-board wage increase
- Market adjustments for certain classifications below 80 percent of market (approximately 324 employees)

July 1, 2010

- 2.25 percent across-the-board wage increase

Wages: (SEIU Local 925/SEIU Local 1199NW Identical Titles Only):

#### **Respiratory Care Practitioners**

January 1, 2009

- 5.0 percent Market adjustments

July 1, 2009

- 2.0 percent across-the-board wage increase
- Increase in Certification Premium by \$.25 to \$.75

July 1, 2010

- 2.0 percent across-the-board wage increase
- Increase in Certification Premium by \$.25 to \$1.00
- New step on pay range that is 3.0 percent more than previous step

January 1, 2011

- 2.0 percent across-the-board wage increase

#### **Anesthesia Technicians**

January 1, 2009

- 1.0 percent market adjustment

July 1, 2009

- 3.0 percent across-the-board wage increase
- Increase in Certification Premium by \$.25 to \$.75



## VII. STANDING COMMITTEES

### B. Finance, Audit and Facilities Committee

#### SEIU Local 925 Healthcare Professional/Laboratory Technical Collective Bargaining Agreement – UW Ratification (continued p. 3)

July 1, 2010

- 3.0 percent across-the-board wage increase
- Increase in Certification Premium by \$.25 to \$1.00
- New step on pay range that is 3.0 more than previous step

January 1, 2011

- 3.0 percent across-the-board wage increase

#### **Imaging Classifications**

January 1, 2009

- 1.0 percent market adjustment for all classifications

July 1, 2009

- 2.5 percent across-the-board wage increase (Sonographers and Radiology Techs)
- 3.5 percent across-the-board wage increase (CT, Angio, MRI, Nuclear Medicine, Leads)
- Increase in Certification Premium by \$.25 to \$.75 for all classes

July 1, 2010

- 2.5 percent across-the board wage increase (Sonographers and Radiology Techs)
- 3.5 percent across-the-board wage increase (CT, Angio, MRI, Nuclear Medicine, Leads)
- Increase in Certification Premium by \$.25 to \$1.00 for all classes

January 1, 2011

- 2.0 percent across-the-board wage increase for all classes

June 1, 2011

- New step that is 3.0 percent more than previous step for all classes

**VII. STANDING COMMITTEES****B. Finance, Audit and Facilities Committee****Inlandboatmen's Union of the Pacific Collective Bargaining Agreement – UW Ratification****RECOMMENDED ACTION:**

It is the recommendation of the Administration that the Board of Regents approves Collective Bargaining Agreement between the University of Washington and the Inlandboatmen's Union of the Pacific (IBU) that covers approximately 18 employees on the Thomas G. Thompson Research Vessel. The duration of the Agreement is from July 1, 2009 through June 30, 2011.

**BACKGROUND:**

This is a successor Agreement for this bargaining unit negotiated under the Personnel System Reform Act of 2002 and pursuant to RCW 41.80.

Following is a summary of the critical elements of the Agreement:

**Efficiencies:**

- Recognition of University's right to move to a rolling twelve (12) month period for FMLA.
- Contemporary references to State Law in the contract.

**Wages:**

September 1, 2009

- 2.25 percent across-the-board wage increase

September 1, 2010

- 2.25 percent across-the-board wage increase

**VII. STANDING COMMITTEES****B. Finance, Audit and Facilities Committee****WFSE Police Management Association Collective Bargaining Agreement – UW Ratification****RECOMMENDED ACTION:**

It is the recommendation of the Administration that the Board of Regents approve the Collective Bargaining Agreement between the University of Washington and Washington Federation of State Employees/Police Management Association that covers approximately 11 employees at the University of Washington. The duration of the Agreement is from July 1, 2009 through June 30, 2011.

**BACKGROUND:**

This is a successor Agreement for this bargaining unit negotiated under the Personnel System Reform Act of 2002 and pursuant to RCW 41.80.

Following is a summary of the critical elements of the Agreement:

**Efficiencies:**

- Recognition of University's right to move to a rolling twelve (12) month period for FMLA.
- Employees will be required to use accrued leave for FMLA prior to going on leave without pay. Employees can maintain a balance of 120 hours of accrued leave.
- Sergeant-to-Officer differential more competitive relative to local departments to retain experienced police management staff.
- Plain clothes clothing allowance changed from a one time per assignment allowance of \$500 to an annual \$200.00 allowance.
- Overtime shall be earned at a minimum of three (3) hours per assignment for planned recharge overtime.
- Lieutenants will receive one and one-half (1-1/2) times the employee's regular rate for recharge special events, as well as pre-approved special projects and assignments.

## VII. STANDING COMMITTEES

### B. Finance, Audit and Facilities Committee

#### WFSE Police Management Association Collective Bargaining Agreement – UW Ratification (continued p. 2)

##### Wages:

July 1, 2009

- 2.25 percent across-the-board wage increase
- Added new longevity tier of 6% at 30 years of service

January 1, 2010

- Market alignment adjustment - 0.5 percent increase in differential between Sergeants and Officers

July 1, 2010

- New Top Step "M" (rather than an across-the-board wage increase)
- Market alignment adjustment - 0.5 percent increase in differential between Sergeants and Officers

January 1, 2011

- Market alignment adjustment - 0.5 percent increase in differential between Sergeants and Officers

**VII. STANDING COMMITTEES****B. Finance, Audit and Facilities Committee**Washington State Nurses Association Collective Bargaining Agreement – UW RatificationRECOMMENDED ACTION:

It is the recommendation of the Administration that the Board of Regents approve the Collective Bargaining Agreement between the University of Washington and the Washington State Nurses Association that covers approximately 1,195 Registered Nurses at UW Medical Center. The duration of the Agreement is from July 1, 2009 through June 30, 2011.

BACKGROUND:

This is a successor Agreement for this bargaining unit negotiated under the Personnel System Reform Act of 2002 and pursuant to RCW 41.80.

Following is a summary of the critical elements of the Agreement:

Efficiencies:

- Recognition of University's right to move to a rolling twelve (12) month period for FMLA.
- Employees will be required to use accrued leave for FMLA prior to going on leave without pay. Employees can maintain a balance of 80 hours of accrued vacation leave.
- Eliminated overtime for successive weekend work; increased premium for extra weekend work to double time.
- Clarified pay for union stewards during representational meetings.

Wages:

July 1, 2009

- 3.0 percent across-the-board wage increase
- Increase in Charge Nurse premium by \$.25 to \$2.50

## VII. STANDING COMMITTEES

### B. Finance, Audit and Facilities Committee

#### Washington State Nurses Association Collective Bargaining Agreement – UW Ratification (continued p. 2)

July 1, 2010

- 2.0 percent across-the-board wage increase
- New 28 year step at 2 percent more than previous step (year 26)

January 1, 2011

- 2.5 percent across-the-board wage increase

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Report of Contributions Campaign Summary July 1, 2000 – June 30, 2008

See attached report.



CAMPAIGN UW  
CREATING FUTURES

# REPORT OF CONTRIBUTIONS CAMPAIGN SUMMARY

JULY 1, 2000 – JUNE 30, 2008

REPORT BY: UNIVERSITY ADVANCEMENT, ADVANCEMENT SERVICES, INFORMATION MANAGEMENT



## Campaign UW: A Summary

### *CAMPAIGN UW...THE NUMBERS...*

- **1,248,768** individual gift transactions were received
- **657** planned gifts were secured
- **6,324** gifts via stock were received
- **23,455** donors took advantage of matching programs resulting in **44,403** matched gift transactions
- **3,586** of **5,222** Regental Laureates, Presidential Laureates, Laureates and Benefactors contributed to Campaign UW
- **33,736** gifts were received by [www.uwfoundation.org](http://www.uwfoundation.org)
- **9,691** students contributed to Campaign UW

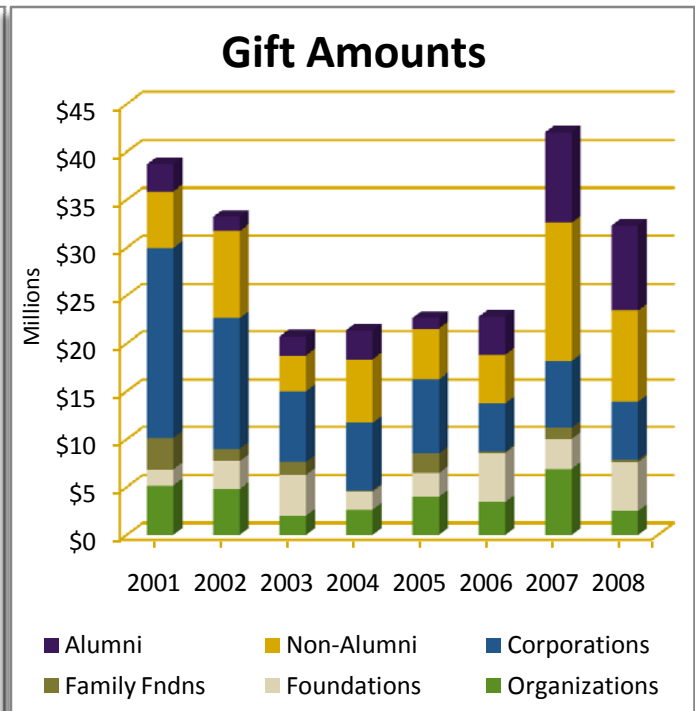
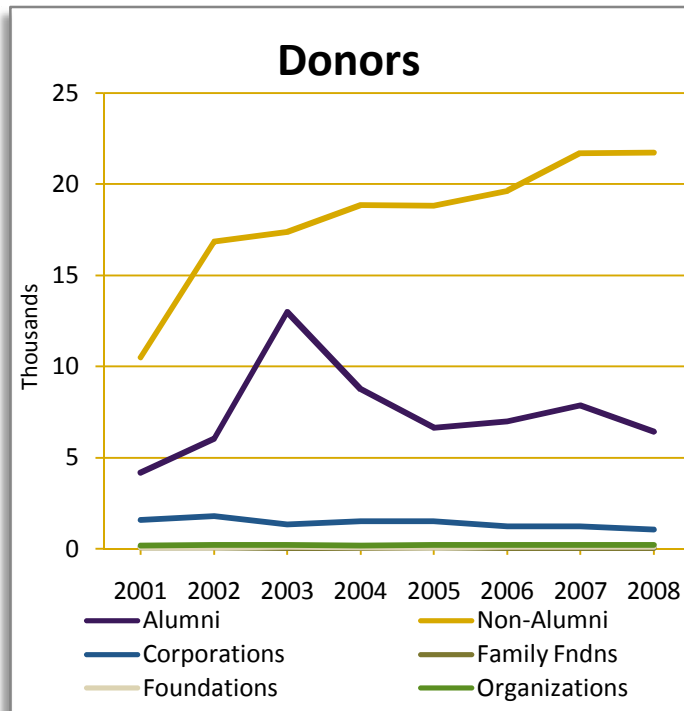
### *CAMPAIGN UW...THE DOLLARS...*

- **\$206,346,222** was received from planned gifts
- **\$133,592,865** was received via stock gifts
- **\$11,573,131** was received from participating corporations who match employee contributions
- **\$1,094** was the average gift size
- **\$8,807,122** was raised by students who work at the Student Calling Program
- **\$233,717,326** was received from first-time donors during Campaign UW
- **\$5,115,733** was raised from people who gave between \$1 and \$100 total to Campaign UW

### *CAMPAIGN UW...THE DONORS...*

- Average age of Campaign UW donor (for whom we have DOB) is **55**
- **73.5%** of donors are from Washington State, **69.13%** within a 50 mile radius of campus
- **50** of the **50** United States had a donor to Campaign UW
- Donors from **87** foreign countries contributed to Campaign UW
- **40.1%** of UW alumni contributed to Campaign UW
- **151,507** people categorized as 'friends' gave to Campaign UW

## Campaign UW: New Donor Acquisition



### New Donors to UW during campaign

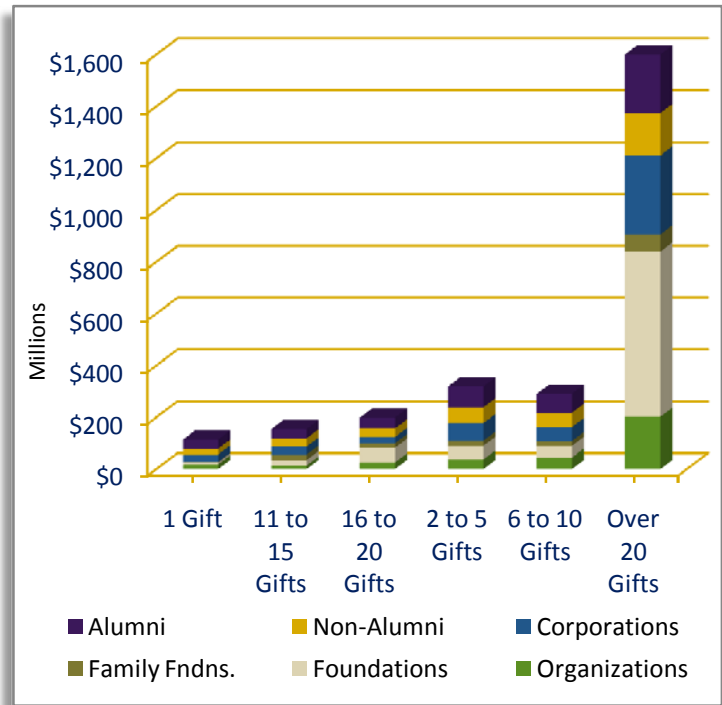
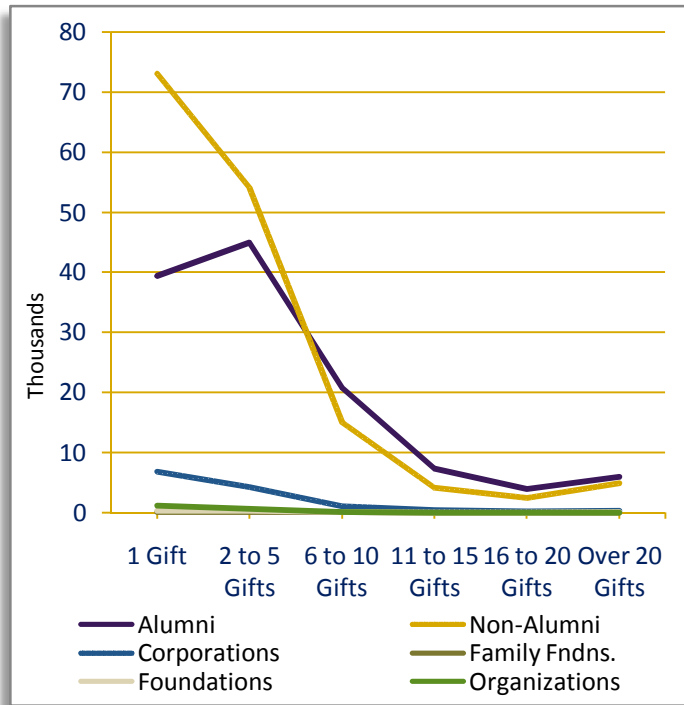
FY Year	Alumni	Non-Alumni	Corporations	Family Fndns	Foundations	Organizations	FY Total
2001	4,167	10,496	1,572	41	63	154	16,493
2002	6,030	16,860	1,782	47	69	206	24,994
2003	12,982	17,384	1,313	15	99	188	31,981
2004	8,726	18,863	1,500	19	73	164	29,345
2005	6,615	18,824	1,503	39	60	202	27,243
2006	6,987	19,629	1,231	25	115	204	28,191
2007	7,861	21,698	1,228	20	104	220	31,131
2008	6,418	21,736	1,048	21	109	191	29,523
<b>Total</b>	<b>59,786</b>	<b>145,490</b>	<b>11,177</b>	<b>227</b>	<b>692</b>	<b>1,529</b>	<b>218,901</b>

### First year giving by New Donors

FY Year	Alumni	Non-Alumni	Corporations	Family Fndns	Foundations	Organizations	FY Total
2001	\$2,819,240	\$5,921,543	\$19,839,798	\$3,287,908	\$1,663,023	\$5,169,386	\$38,700,897
2002	\$1,485,792	\$9,109,242	\$13,646,389	\$1,289,238	\$2,882,129	\$4,804,630	\$33,217,420
2003	\$2,007,540	\$3,721,110	\$7,375,648	\$1,337,008	\$4,270,381	\$2,011,263	\$20,722,950
2004	\$3,028,901	\$6,641,000	\$7,038,665	\$129,330	\$1,884,276	\$2,639,907	\$21,362,080
2005	\$1,078,122	\$5,307,983	\$7,727,993	\$2,057,283	\$2,461,368	\$3,991,014	\$22,623,763
2006	\$3,981,090	\$5,045,446	\$5,040,491	\$131,746	\$5,131,432	\$3,446,316	\$22,776,521
2007	\$9,428,933	\$14,411,372	\$6,985,078	\$1,161,050	\$3,126,557	\$6,909,263	\$42,022,254
2008	\$8,861,299	\$9,517,873	\$6,072,280	\$253,172	\$5,079,966	\$2,506,852	\$32,291,442
<b>Total</b>	<b>\$32,690,916</b>	<b>\$59,675,569</b>	<b>\$73,726,342</b>	<b>\$9,646,735</b>	<b>\$26,499,133</b>	<b>\$31,478,632</b>	<b>\$233,717,326</b>

In this report Donors are defined as those giving their first-ever gift to the University of Washington during Campaign UW: Creating Futures. The giving amount is by new donors during their first fiscal year of giving.

## Campaign UW: Donor Frequency



### Donors by range of gifts given during Campaign

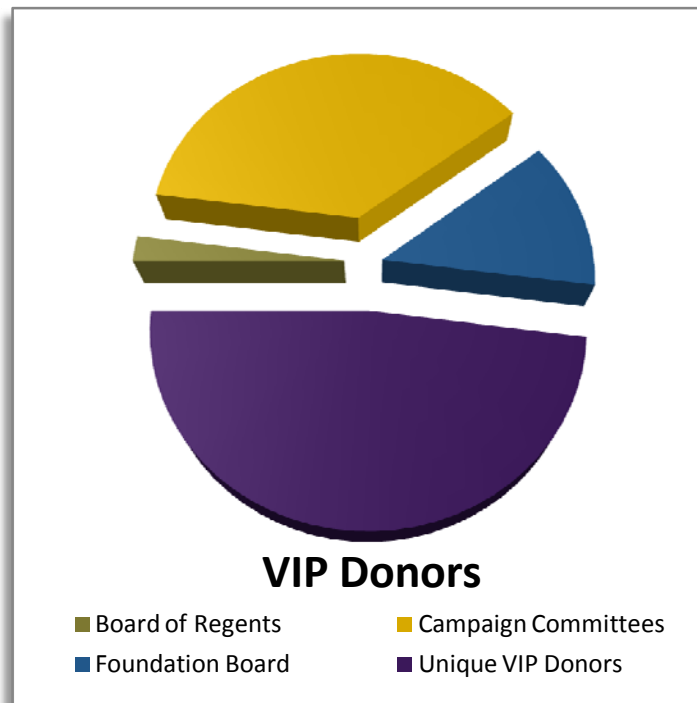
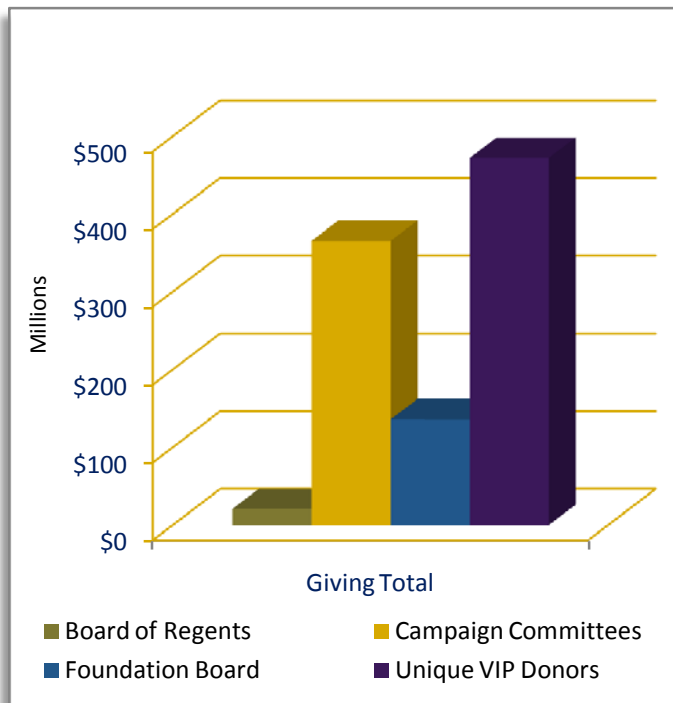
No. of Gifts	Alumni	Non-Alumni	Corporations	Family Fndns.	Foundations	Organizations	Total
1 Gift	39,475	73,108	6,888	98	341	1,229	121,139
2 to 5 Gifts	45,009	54,154	4,296	132	383	683	104,657
6 to 10 Gifts	20,827	14,995	1,108	73	132	171	37,306
11 to 15 Gifts	7,424	4,117	414	39	57	50	12,101
16 to 20 Gifts	3,965	2,450	228	12	35	33	6,723
Over 20 Gifts	6,020	4,935	348	27	111	69	11,510
<b>Total</b>	<b>122,720</b>	<b>153,759</b>	<b>13,282</b>	<b>381</b>	<b>1,059</b>	<b>2,235</b>	<b>293,436</b>

### Amount given by range of gifts given during Campaign

No. of Gifts	Alumni	Non-Alumni	Corporations	Family Fndns.	Foundations	Organizations	Total
1 Gift	\$34,725,891	\$22,686,352	\$29,134,255	\$848,981	\$8,793,994	\$17,720,969	\$113,910,442
2 to 5 Gifts	\$80,811,304	\$61,146,830	\$67,481,278	\$19,660,335	\$52,862,260	\$37,503,728	\$319,465,736
6 to 10 Gifts	\$72,514,011	\$55,495,484	\$53,765,395	\$18,458,472	\$45,140,835	\$44,764,791	\$290,138,988
11 to 15 Gifts	\$35,237,020	\$32,176,184	\$31,079,461	\$21,210,701	\$20,954,550	\$13,885,265	\$154,543,181
16 to 20 Gifts	\$40,695,007	\$33,509,929	\$23,874,459	\$17,622,114	\$56,723,070	\$25,521,663	\$197,946,241
Over 20 Gifts	\$234,695,605	\$163,322,976	\$304,148,616	\$66,372,488	\$638,416,321	\$201,300,228	\$1,608,256,233
<b>Total</b>	<b>\$498,678,839</b>	<b>\$368,337,755</b>	<b>\$509,483,463</b>	<b>\$144,173,090</b>	<b>\$822,891,029</b>	<b>\$340,696,644</b>	<b>\$2,684,260,820</b>

In this report Donors are defined as anyone who is credited with giving to Campaign UW: Creating Futures. The amount is the sum of gifts, grants, active pledges, donor values of irrevocable deferred gifts and testamentary commitments.

## Campaign UW: VIP Donors

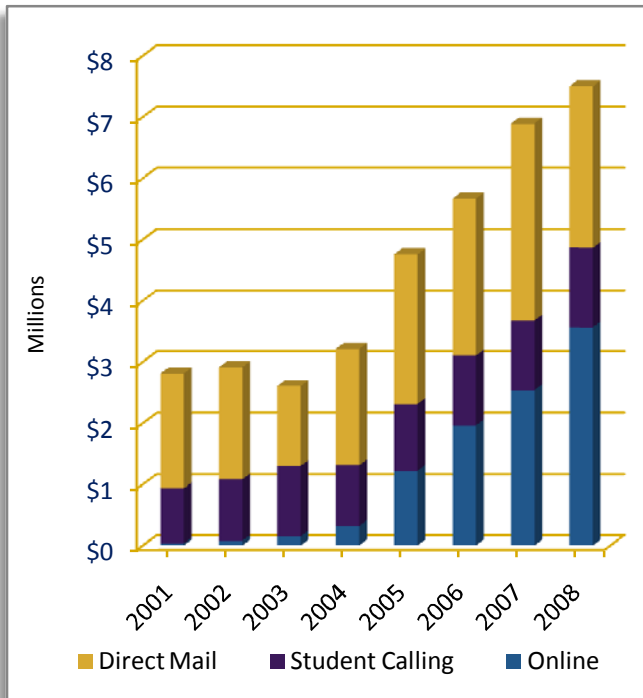
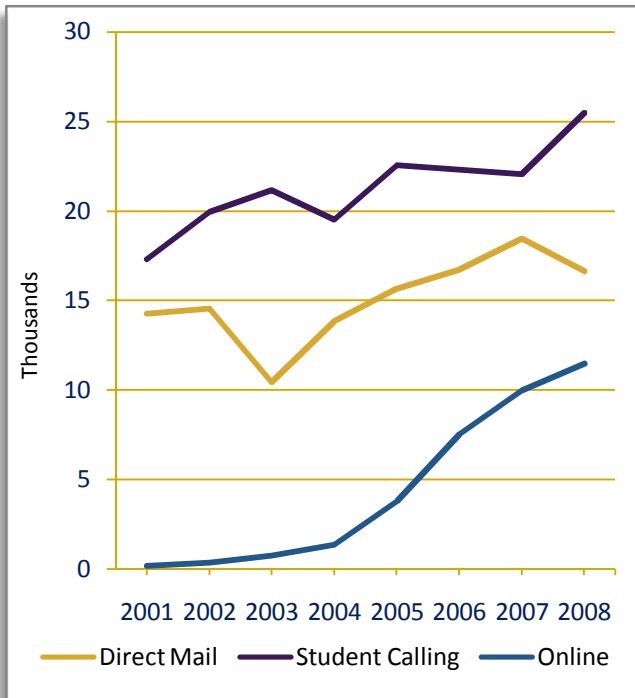


### VIP Donor Contributions during Campaign

Group	Donors	Giving Total
Board of Regents	38	\$20,418,947
Campaign Committees	672	\$365,206,993
Foundation Board	228	\$135,707,609
Unique VIP Donors	862	\$471,954,865

This report defines a VIP Donor as a member of the Board of Regents, the Foundation Board, and/or a Campaign Committee member who gave during Campaign UW: Creating Futures. Unique donors are defined as those donors who gave during the campaign exclusive of the groups they belong to (accounting for membership overlap).

## Campaign UW: Annual Giving Impact



### Annual Giving Donors per appeal type

FY Year	Direct Mail	Student Calling	Online	FY Total
2001	14,273	17,289	191	31,753
2002	14,544	19,925	366	34,835
2003	10,428	21,158	773	32,359
2004	13,826	19,520	1,377	34,723
2005	15,644	22,532	3,799	41,975
2006	16,750	22,281	7,568	46,599
2007	18,454	22,038	9,975	50,467
2008	16,618	25,471	11,493	53,582
Total	120,537	170,214	35,542	326,293

### Annual Giving Amounts per appeal type

FY Year	Direct Mail	Student Calling	Online	FY Total
2001	\$1,876,852	\$899,346	\$34,208	\$2,810,407
2002	\$1,830,379	\$1,003,622	\$75,923	\$2,909,924
2003	\$1,308,661	\$1,146,676	\$149,984	\$2,605,321
2004	\$1,905,321	\$989,586	\$318,335	\$3,213,242
2005	\$2,442,534	\$1,092,453	\$1,221,733	\$4,756,720
2006	\$2,566,102	\$1,140,440	\$1,962,121	\$5,668,664
2007	\$3,218,864	\$1,135,993	\$2,538,412	\$6,893,269
2008	\$2,639,095	\$1,294,076	\$3,567,267	\$7,500,438
Total	\$17,787,809	\$8,702,192	\$9,867,983	\$36,357,984

This report defines a donor who is credited with giving through a direct mail, student calling, or online appeal during Campaign UW: Creating Futures. The amount is calculated based on the annual giving to each of those appeals.

## Campaign UW: Endowments

- **\$621,538,860** in New Endowment Commitments
- **1,388** New Endowments were established, including:
  - **444** New Endowed Scholarships
  - **240** New Endowed Graduate Fellowships
  - **122** New Endowed Professorships
  - **57** New Endowed Chairs
- **4,839** Participants in the Students First matching campaign resulting in **\$78,527,656** in donor contributions, which generated **\$39,289,847** in matching, for a total of **\$117,817,503** in new endowed scholarship and graduate fellowship support.
  - **224** new endowments created
- **2,157** Participants in the two Matching Gift Initiative matching campaigns resulting in **\$95,216,200** in donor contributions, which was matched by **\$45,000,000** of money from the University and Founders', for a total of **\$140,216,200** in new endowed scholarship, fellowship, professorship, and chair support.
  - **249** new endowments created
  - Founders who contributed to the Matching Gift Initiative did so selflessly, without the benefit of a naming opportunity, so that others could create more robust endowments themselves.
- **1,188** Participants in the Faculty Staff Retiree Campaign for Students matching campaign resulting in **\$4,816,992** in donor contributions, matched by **\$4,329,223** from the University, for a total of **\$9,146,215** in new endowed student support (scholarships, fellowships, awards).
  - **565** new endowments created
- In addition to the new endowments created by donors utilizing the University's numerous matching campaigns, many donors chose to give new money to existing endowments, greatly enhancing our ability to support students, faculty, and programs at the University of Washington.

## Campaign UW: Development Research and Relationship Management

- Major Donor Profile
  - Average Age of a Major Donor: **68**

### Major Donor Breakdown

Donor Group	Donors	% Donors	Gift Amount	% Gifts
Alumni	2262	31.6%	\$425,614,374	16.9%
Non-Alumni	2334	32.6%	\$313,062,038	12.4%
Corporations	1384	19.4%	\$485,850,094	19.3%
Family Fndns.	183	2.6%	\$142,894,108	5.7%
Foundations	478	6.7%	\$819,937,943	32.5%
Organizations	508	7.1%	\$336,151,217	13.3%
<b>7149</b>	<b>100.0%</b>		<b>\$2,523,509,774</b>	<b>100.0%</b>

### Major Gift Alumni Class Year Breakdown

Class Year	Donors	Gift Amount	Class Year	Donors	Gift Amount	Class Year	Donors	Gift Amount
1909	1	\$1,159,490	1949	35	\$9,891,352	1978	40	\$1,920,332
1914	1	\$658,989	1950	40	\$10,435,103	1979	42	\$1,840,802
1915	1	\$635,892	1951	27	\$3,403,721	1980	36	\$3,489,480
1922	1	\$0	1952	32	\$5,321,171	1981	28	\$2,099,320
1924	1	\$353,647	1953	48	\$6,419,792	1982	33	\$1,861,304
1925	1	\$1,647,662	1954	35	\$8,540,062	1983	35	\$1,952,053
1926	1	\$122,047	1955	58	\$28,191,502	1984	31	\$4,329,940
1927	3	\$3,975,633	1956	46	\$7,053,378	1985	25	\$1,794,243
1928	3	\$2,245,491	1957	46	\$12,319,813	1986	29	\$1,291,623
1929	5	\$1,050,197	1958	35	\$7,885,596	1987	30	\$3,453,901
1930	6	\$3,339,651	1959	78	\$15,769,213	1988	23	\$592,716
1931	9	\$4,901,232	1960	44	\$3,626,890	1989	21	\$898,974
1932	7	\$1,796,612	1961	58	\$7,955,236	1990	15	\$480,747
1933	11	\$2,524,773	1962	44	\$8,957,445	1991	23	\$526,386
1934	3	\$459,377	1963	61	\$12,063,319	1992	18	\$770,076
1935	9	\$4,330,275	1964	62	\$26,361,679	1993	13	\$920,329
1936	11	\$6,134,221	1965	44	\$6,725,764	1994	19	\$2,100,105
1937	12	\$5,702,492	1966	51	\$3,412,570	1995	13	\$315,061
1938	17	\$2,938,232	1967	45	\$8,637,775	1996	13	\$689,089
1939	18	\$7,220,196	1968	55	\$2,627,999	1997	5	\$34,943
1940	11	\$2,434,626	1969	53	\$3,611,537	1998	6	\$116,903
1941	17	\$22,853,896	1970	51	\$4,042,286	1999	4	\$119,794
1942	18	\$3,655,763	1971	63	\$3,674,728	2000	5	\$34,340
1943	11	\$1,601,571	1972	63	\$6,683,756	2001	3	\$284,999
1944	10	\$5,838,658	1973	50	\$1,604,439	2002	6	\$92,043
1945	23	\$3,319,844	1974	48	\$2,472,907	2003	2	\$55,600
1946	20	\$8,453,604	1975	43	\$1,783,477	2004	2	\$44,130
1947	22	\$9,719,730	1976	37	\$2,355,447	2005	5	\$7,770
1948	31	\$26,571,303	1977	63	\$4,156,484	2007	3	\$41,085
						Unspecified	35	\$31,826,744

Major donors are calculated based on credit amount of \$25,000 or greater during Campaign UW: Creating Futures. Giving amount is calculated as the amount counted towards the campaign total. When counting donors a spouse is credited as a donor even if they have themselves made a monetary donation to the university, an alumnus may be married to an alumnus from a different class year.

## Campaign UW: Development Research and Relationship Management

- Major Donor Locations
  - Donors in the Puget Sound Region: **4,534**

### United States (Major Gifts & Grants)

State	Donors	Gift Amount	State	Donors	Gift Amount
Alabama	4	\$262,167	Montana	7	\$371,106
Alaska	10	\$2,496,024	Nebraska	4	\$894,488
Arizona	53	\$12,537,440	Nevada	12	\$5,101,780
Arkansas	5	\$1,100,296	New Hampshire	3	\$1,217,000
California	549	\$172,874,822	New Jersey	95	\$31,586,296
Colorado	46	\$12,843,380	New Mexico	8	\$605,258
Connecticut	30	\$11,052,156	New York	216	\$105,106,523
Delaware	10	\$947,765	North Carolina	36	\$25,414,620
District of Columbia	70	\$28,405,860	North Dakota	3	\$77,002
Florida	46	\$10,616,926	Ohio	30	\$6,673,178
Georgia	18	\$11,990,993	Oklahoma	4	\$569,648
Hawaii	20	\$2,207,850	Oregon	123	\$26,215,945
Idaho	29	\$3,514,789	Pennsylvania	74	\$32,953,864
Illinois	102	\$22,400,448	Rhode Island	2	\$80,000
Indiana	20	\$6,802,382	South Carolina	5	\$726,521
Iowa	6	\$1,156,794	South Dakota	1	\$62,734
Kansas	10	\$1,103,525	Tennessee	14	\$2,149,662
Kentucky	3	\$98,410	Texas	77	\$21,041,983
Maine	4	\$100,389	Utah	5	\$375,596
Maryland	64	\$35,164,196	Vermont	6	\$324,889
Massachusetts	86	\$32,177,350	Virginia	41	\$8,926,748
Michigan	34	\$16,128,993	Washington	4691	\$829,023,191
Minnesota	40	\$20,240,086	Wisconsin	13	\$2,473,957
Mississippi	1	\$360,000	Wyoming	3	\$804,650
Missouri	20	\$3,359,730			

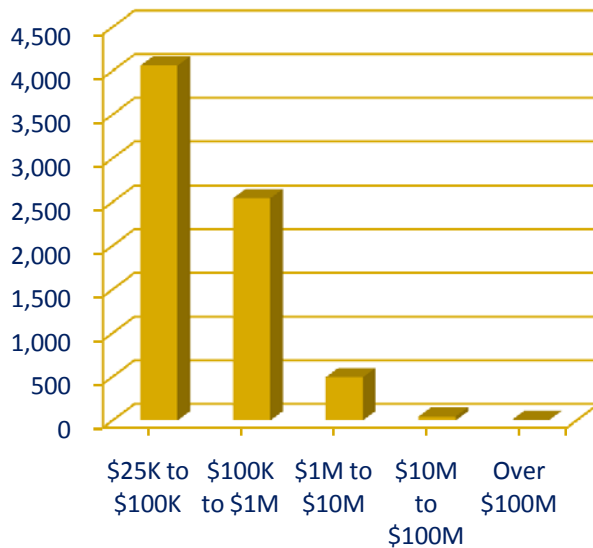
### Foreign Countries (Major Gifts & Grants)

Country	Donors	Gift Amount	Country	Donors	Gift Amount
APO	1	\$20,710	Latvia	1	\$26,628
Australia	3	\$63,620	Mexico	1	\$35,000
Canada	29	\$3,658,288	Netherlands	4	\$463,012
Denmark	3	\$527,909	New Zealand	1	\$27,500
England	8	\$2,065,964	Norway	1	\$76,257
Finland	3	\$265,732	People's Republic of China	4	\$612,327
France	4	\$1,804,080	Peru	1	\$60,777
Germany	3	\$180,750	Saudi Arabia	1	\$46,000
Greece	1	\$314,960	Scotland	2	\$4,110,339
Hong Kong	4	\$1,205,130	South Korea	11	\$851,298
Israel	4	\$1,249,506	Spain	1	\$250,000
Italy	3	\$118,650	Sweden	1	\$25,079
Japan	32	\$9,686,066	Switzerland	8	\$2,979,824
Kenya	1	\$38,500	Taiwan	10	\$1,971,521
			Addr. Change Pending	250	\$978,054,937

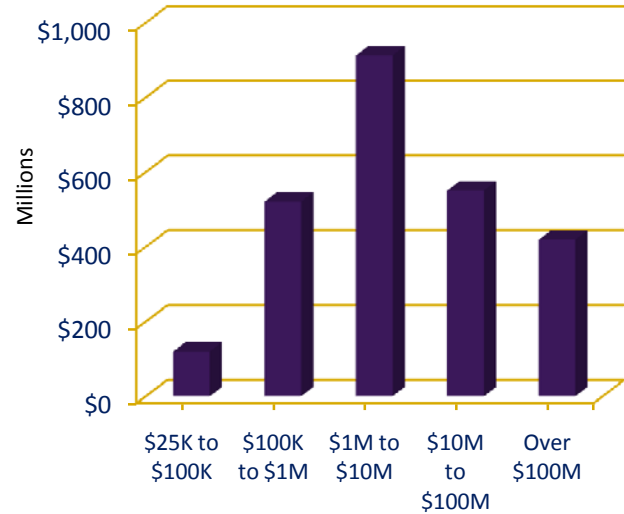


## Campaign UW: Major Donors

**Donors**



**Amount**

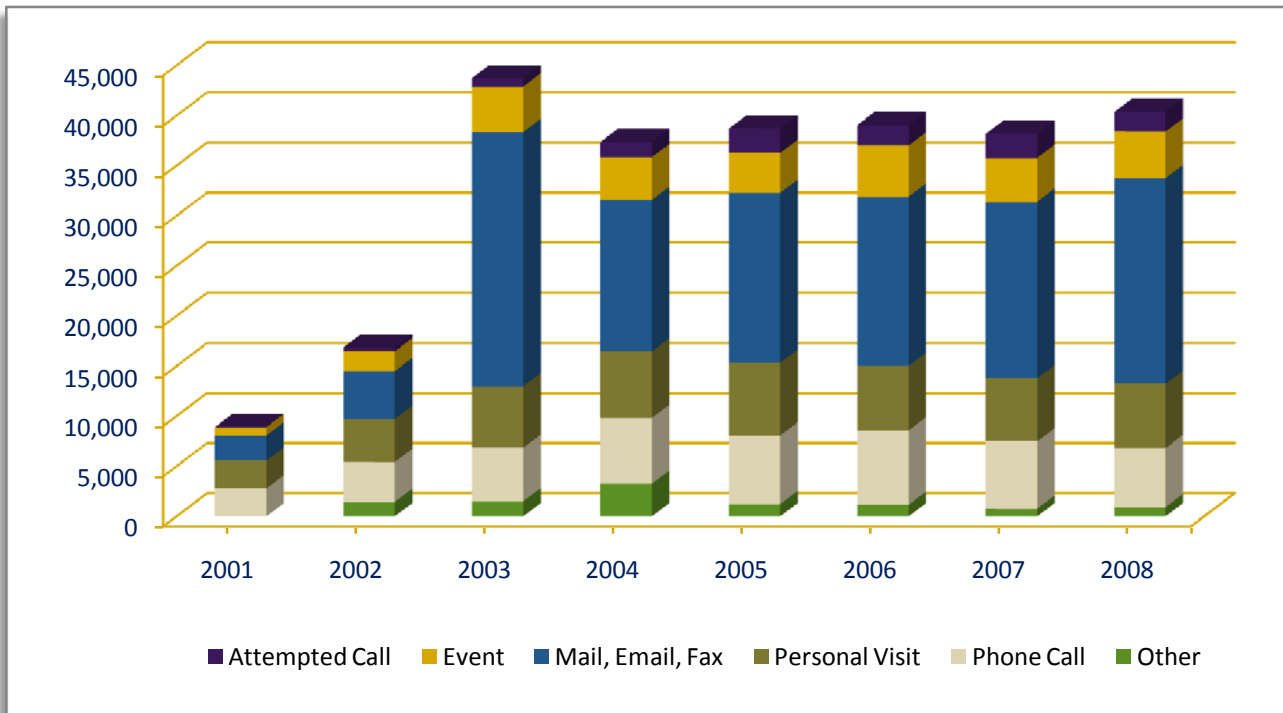


### Major Gifts by Range

Gift Range	Donors	Gift Amount
\$25K to \$100K	4,060	\$120,295,031
\$100K to \$1M	2,542	\$520,789,246
\$1M to \$10M	497	\$912,264,650
\$10M to \$100M	47	\$551,051,942
Over \$100M	3	\$419,108,905
<b>Total</b>	<b>7149</b>	<b>\$2,523,509,774</b>

This report defines donors as those who have been credited with giving \$25,000 or more to Campaign UW: Creating Futures. The total gifts are calculated by how much has been donated by the donors who's campaign total falls in the given gift range.

## Campaign UW: Contact Reports

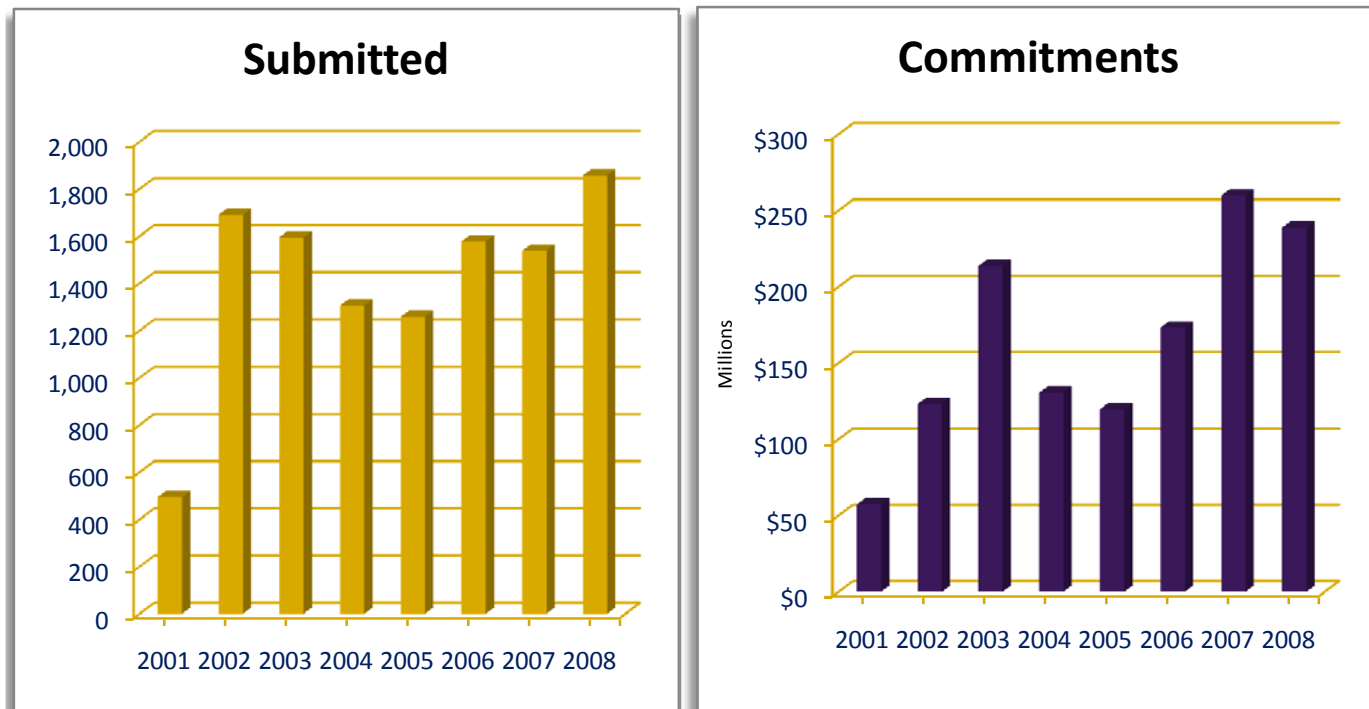


### Contact reports filed by type

FY Year	Attempted Call	Event	Mail, Email, Fax	Personal Visit	Phone Call	Other	FY Total
2001	7	828	2,513	2,775	2,787	1	8,911
2002	384	1,985	4,746	4,319	3,990	1,435	16,859
2003	822	4,555	25,366	6,137	5,345	1,509	43,734
2004	1,510	4,276	15,107	6,642	6,572	3,249	37,356
2005	2,417	3,975	16,964	7,255	6,854	1,222	38,687
2006	2,029	5,110	16,895	6,433	7,438	1,149	39,054
2007	2,401	4,385	17,611	6,304	6,717	759	38,177
2008	1,965	4,644	20,522	6,426	5,894	916	40,367
<b>Total</b>	<b>11,535</b>	<b>29,758</b>	<b>119,724</b>	<b>46,291</b>	<b>45,597</b>	<b>10,240</b>	<b>263,145</b>

New policies and procedures were put in place in 2003 that increased the number of contact reports.

## Campaign UW: Proposals Submitted



**Submitted Proposals and Commitments by Fiscal Year**

Fiscal Year	Submitted	Commitments
2001	494	\$57,279,946
2002	1,690	\$123,114,745
2003	1,595	\$213,589,864
2004	1,306	\$130,572,829
2005	1,259	\$119,653,492
2006	1,577	\$173,194,092
2007	1,538	\$259,896,169
2008	1,856	\$238,801,498
<b>Total</b>	<b>11,315</b>	<b>\$1,316,102,635</b>

This report shows the number of proposals submitted during Campaign UW: Creating Futures. The dollar amounts shown are the commitments made by the donor and do not necessarily reflect the actual dollars received.

293,436 Donors

\$2,684,260,820

CAMPAIGN UW: CREATING FUTURES

**VII. STANDING COMMITTEES****B. Finance, Audit and Facilities Committee****Rainier Vista Concept Plan****INFORMATION:**

This presentation is to share the concept plan developed for the improvements to the Rainier Vista. The concept plan was completed in June 2008 and discussion with University Advancement is underway to establish a mechanism for fundraising. No action is required – this update is for comment and general information.

**PROJECT DESCRIPTION:**

Rainier Vista is something special; there are few places in the American landscape where the city and the wilderness complement one another so vividly. Mt. Rainier remains the constant in this landscape while the life of the University of Washington continues to expand. There are many ways to greatly strengthen the central role that the Rainier Vista plays in defining the University's landscape identity.

Acknowledging the history of the site, contributing to a resilient campus ecology, increasing environmental sustainability and accommodating the pressures of contemporary use are all goals for the development of a framework that will guide the evolution of this iconic campus landscape. Opportunities to leverage strategic infrastructural upgrades to open up funding for a landscape renewal, as well as maximizing the benefit to the University of the proposed Sound Transit station to create a major new multi-modal gateway to the campus in which the Rainier Vista will play an iconic role will be explored.

The objectives of the study are as follows:

- Strengthen physical pedestrian connections and provide code-compliant, universal accessibility where possible for the entire length of the Vista, to all buildings fronting the Vista and to all adjoining walkways.
- Refine and improve the overall quality of the plant collections, providing distinguishing characteristics for each outdoor room and highlighting seasonal changes while maintaining a harmonious transition throughout the Vista.
- Diversify opportunities for gathering, seating, studying and passive recreation appropriate to the historic forms of the Vista landscape established of the last 100 years.

## VII. STANDING COMMITTEES

### B. Finance, Audit and Facilities Committee

#### Rainier Vista Concept Plan (continued p. 2)

- Establish details for site elements (walls, pavement, seating, etc) constructed from simple, durable materials to reinforce the historic context while improving the overall quality of the pedestrian experience.
- Create a setting that is safe and provides access and the feeling of security at all times of day.
- Explore opportunities to minimize impacts on the natural environment and provide educational opportunities by supporting sustainable practices with innovative materials and technology.
- Develop creative recognition of the historic development of the campus over the past 100 years with the ability to continue the representation over the next 100 plus years.

The outcome of the study was to delineate individual projects, with associated costs, that could be installed over time as funding becomes available.

Funding for the development of the concept plan was approved by the Executive Vice President and University Advancement. The schedule for the plan was as follows:

- |                        |                         |
|------------------------|-------------------------|
| • Study Budget         | \$250,000               |
| • Research & Analysis  | October - November 2007 |
| • Preliminary Concepts | November – January 2008 |
| • Concept Refinement   | January – April 2008    |
| • Final Documentation  | April – June 2008       |

#### NEXT STEPS:

- Work with University Advancement to strategize fundraising for the project(s).
- Continue to coordinate with various city agencies to develop mutually agreeable design of the Montlake triangle area.
- Continue to work with Sound Transit to develop preferred bridge alternative.



# Rainier Vista Concept Plan

UNIVERSITY OF WASHINGTON | SEATTLE, WA

Michael Van Valkenburgh Associates, Inc. Landscape Architects  
June 2008





Existing Bird's-Eye View





# Rainier Vista Concept Plan

UNIVERSITY OF WASHINGTON | SEATTLE, WA

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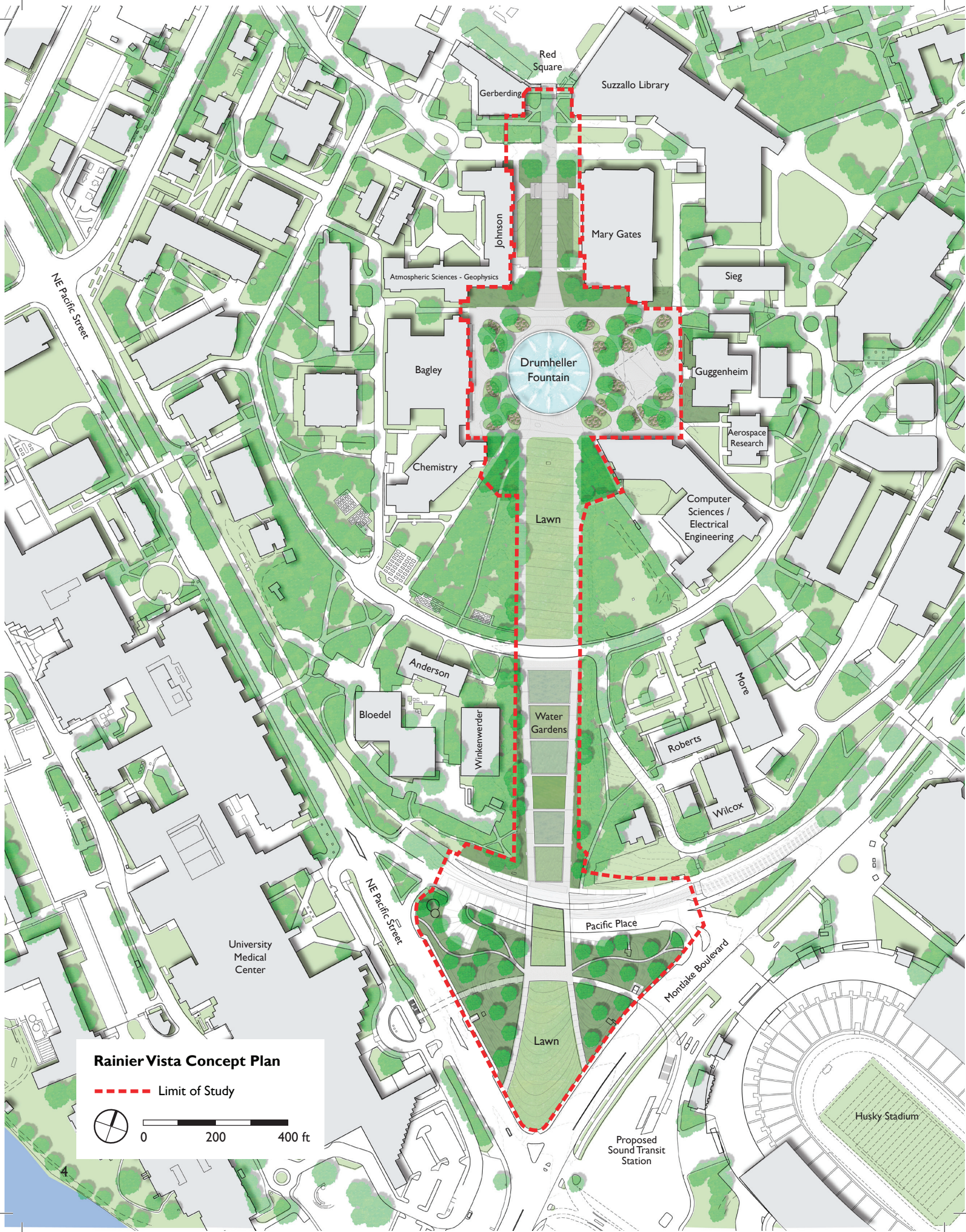
## 2016: A Walk Up the Renewed Vista

Imagine, for a moment, that you are a student arriving at the University of Washington in the year 2016 for your first class of the day at Kane Hall. Emerging from the new light rail station at Husky stadium, you will cross a new pedestrian bridge over Montlake Boulevard and arrive, on campus, directly in the middle of the Rainier Vista, with a view up to Drumheller Fountain and your destination beyond. Starting on a wide expanse of lawn at the Montlake Triangle, framed by tall evergreens that afford filtered views to Husky Stadium on the one side and the UW Medical Center on the other, you will cross over Pacific Place and the Burke-Gilman Trail on a landscape bridge. Once over the bridge, which feels just like a continuous part of the Vista landscape, you approach a series of low water gardens, alive with color and plants and providing places to sit and rest. If you have a little reading to do before class and it is a nice day, you might stop and sit down on one of the low site walls, taking in the view and enjoying the atmosphere. Let's imagine that you are in a hurry, however, and move forward up the broad paths at the Vista edges.

Crossing Stevens Way, you continue along the path, the tall evergreens of the Vista edge on one side and open lawn on the other; the lawn will be vibrant and green, despite the numerous games and events that it hosts throughout the year. As a well-informed student, you will be pleased to reflect on the fact that this landscape beauty did not come at the cost of using environmental toxins like fertilizers or pesticides, but instead was made possible through a restructuring of the soils that allows for heavy uses without long-term damage. Approaching the Drumheller Fountain, you will be further gratified by the idea that this beautiful display of water is achieved sustainably, using collected rainwater rather than potable drinking water. You will also recognize in Drumheller a symbol of a university-wide commitment to sustainability in that the large basin of the pond is used as water storage and treatment, feeding irrigation systems that maintain the lawn below and cleaning the water so that it might be released back into the lake without causing harm. Only the most aware student would find pride in the fact that the beautiful landscape also represents an ecological achievement in the separation of stormwater from sewer lines, but there are certainly civil engineering and environmental science students who would be pleased to know this to be true.

Emerging into the new Sciences Quadrangle during peak pedestrian flow between classes, you will find it full of students, some taking the quickest route from one building to another, others sitting around the edge of Frosh Pond, planning where to meet for lunch or discussing the Huskies' recent stunning victory in the Apple Cup. This large space





**Rainier Vista Concept Plan**

--- Limit of Study







will be easy to navigate because of the multitude of paths, but it also will have an intimacy created by a loose canopy of trees, large masses of flowering shrubs, and an abundance of seating opportunities and lawn areas. You might feel a strong desire to sit down and enjoy the spectacle for a while, but the sad fact is that you are late for class and must keep moving forwards.

As you continue up the Vista between Mary Gates and Johnson Halls, you will be framed by a diversity of shrubs and trees on either side, which may call to mind particularly cherished moments of seasonal splendor, like the flowers of early spring or the bright colors of fall. Benches are set into the shrub beds, right on the edge of the main path, and looking up to Mary Gates Hall or Johnson Hall, you will be struck by how elegant the architecture looks as it strikes the ground and how well the lawn panels around the buildings complement the Collegiate Gothic style of the architecture. The pleasure of walking through this space will be amplified by the knowledge that it has just recently been regraded and repaved so that it is completely and comfortably accessible by wheelchair.

Dashing up the stairs past Suzzallo Library, you will see your destination directly in front of you, but perhaps you won't go there directly. Instead, you will take just a moment to turn back and look out down the full length of the Rainier Vista, pleased to note that the traffic and the confusion of the Montlake Intersection is mostly hidden by the raised ground level in the center of Montlake Triangle. If you are very lucky, the mountain will be out and you will enjoy one of the signature moments of this landscape, a recognized national treasure. Even if the mountain is not out, however, you might stand there a moment and reflect on how perfectly this Vista and this landscape have come to serve the daily life of the campus in a way that is consistent with the mission and the values of the University. Looking to the future, you might start thinking about the day that you will stand in this same spot, in your cap and gown, posing for a photo, eager to retrace those same steps in the direction of Hec Edmundson Pavilion where you will pick up your degree. Better not dwell too long on that thought, however, because the doors are closing and class is just about to begin.























# What is the Rainier Vista?

## The Past, Present and Future of the University of Washington's Iconic Landscape

Although all universities have landscapes that are highly treasured by students and alumni alike, very few institutions are blessed with anything like the Rainier Vista. The development of a Concept Plan for this landscape starts with the recognition that this is a nationally important historic landscape whose greatest strength is that it has managed to preserve its core identity while continuing to evolve in exciting and meaningful ways. The mixture of mighty evergreens and campus buildings that frame its open center creates a uniquely sublime connection between the rarified world of higher education and the rawness of the distant wilderness. More than just another view to Mt. Rainier, the experience of the Vista's signature moment lifts the spirit and humbles the soul simultaneously.

Beyond its emotive power, however, the Rainier Vista is also a multifaceted space that by virtue of its vast territory is able to absorb numerous functions, uses, identities, and scales. To begin with, it is the beloved centerpiece of the oldest educational institution on the west coast (the UW was founded in 1861 with development of its current site beginning in 1894). Once a dense forested hillside, the site that became the Rainier Vista was initially cleared for the UW by the organizers of the Alaska-Yukon-Pacific Exposition (AYPE) in 1909. After a fleeting moment as a magnificent Beaux Arts fairground, the Vista, along with the Arts Quad, became the frame around which the university was constructed.

From a contemporary standpoint, the Rainier Vista is an active landscape space, particularly the portion between Drumheller Fountain and Red Square, and the iconic image of the University for faculty, students, staff, and alumni. Given the strong site lines up into the campus from the Montlake Intersection, it is also the public face the UW presents to the larger community. This symbolic function makes the Vista an ideal vehicle for communicating the University's values and mission to the many communities within and beyond the campus. For instance, how is the UW's commitment to sustainability supported by the resource-hungry 5 feet deep and 20,000 square foot Frosh Pond? Balancing the competing concerns of historic continuity and contemporary resource management, the Concept Plan proposes the integration of Frosh Pond into a broader system of rainwater interception, water storage, and irrigation. While still operating as a symbol of the UW's past, Frosh Pond - made visible from a distance by Drumheller Fountain - can become a symbol of the university's commitment to a sustainable future.

Throughout its history, the Rainier Vista has evolved to meet the needs of the contemporary university. The construction of the Sound Transit station at Husky Stadium and an increased concentration of bus traffic around the Montlake Triangle will make Rainier Vista a major commuter entry onto campus. The Concept Plan seeks to unite the operations of the station with the Vista in a way that is legible, functional, and welcoming. Although hardly used at present, Montlake Triangle might eventually be transformed into one of the University's signature landscapes, becoming an integral part of daily arrivals and departures as well as creating additional space to serve the needs of less frequent events like football games, graduation, and the opening day crew races.

As the 21st century progresses, there is no doubt that the Rainier Vista will become even stronger as the image of UW and even more integrated into the daily life of the campus. The Concept Plan lays the groundwork that will insure that this escalation in use is accompanied by improvements in function and experience. The implementation of the Concept Plan initiatives will build additional value into this cherished landscape, preserving its history and ensuring its continued vitality in the years to come.



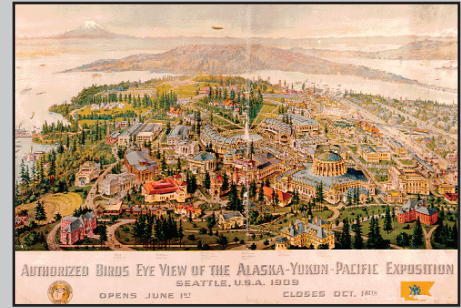
The Alaska-Yukon-Pacific Exposition (AYPE), 1909



University of Washington Campus, 1895



AYPE Cascade Fountain, 1909



AYPE Birds-Eye View, 1909

## 1909 - 2008: History of the Rainier Vista

The Rainier Vista has developed unevenly as a landscape space. Over the course of a decade, the site that it occupies went from practically raw wilderness to fully realized Beaux Arts “city” to empty formal grounds. The subsequent ninety years saw the Vista transform gradually as a landscape and expand in its function as the iconic centerpiece of the modern University of Washington.

In 1906, as planning was underway for the Alaska-Yukon-Pacific Exposition (AYPE), the University of Washington, which had been in its northeast Seattle location for a decade, was in desperate need of more classrooms but without the resources to realize them. The exposition’s planners asked that the University’s Board of Regents allow the AYPE to occupy the lower two-thirds of campus, which were completely uncleared at this point. According to Herbert Condon,

who was the business comptroller at the time, “the principal inducement the University authorities had, to comply with the suggestion of the joint use of the campus, was the prospect of reducing this wild forest to a finished campus.”

The landscape architect hired to plan the fair was John Charles Olmsted, of the Olmsted Brothers firm from Brookline, Massachusetts. Involved in an ongoing relationship with the Seattle Parks Department, Olmsted had been working on a master plan for Seattle Parks as well as a master plan for the University (1904) that was supplanted by exposition planning. The AYPE plan would transform the southwestern corner of campus from a dense wood to an open slope with an elaborate formal design of walks and gardens, pools and fountains. While the original Olmsted plan for the university had been fairly inward-looking, the AYPE





Regents Plan, 1915, by Bebb and Gould



Frosh Pond and AYPE Building



Looking south past Parrington Hall, 1915



Lower Vista with AYPE planting



Montlake Triangle, 1918



Aerial photo from South, 1924

plan was highly responsive to outward views. A central vista oriented the entire development toward Mt. Rainier while radiating avenues took advantage of views to Lake Washington, the Cascades, and Portage Bay.

The boldest permanent accomplishment of the AYPE from a landscape perspective was the creation of a view to the distant wilderness and the suggestion of a direct relationship between the life of a developing metropolis and the raw nature represented by Mt. Rainier. Seattle is a city that has abundant natural views to the Cascades, the Olympics, Lake Washington, and Puget Sound. The formal layout of the Rainier Vista gave this general condition a special distinction, bringing the distant ends of the city/wilderness spectrum together in a single landscape. Ironically, the actual wilderness of the campus, which had been envisioned by Edmond Meany, one of the original founders of the University,

as an opportunity to develop an arboretum, was largely eliminated through these efforts. Alongside Rainier Vista, the remaining large trees framed the edges of the central space. The abundance of cleared land after the exhibition eventually permitted a wide distribution of structures over the tract as a whole. The southernmost pedestrian entry to the fair, coming from the direction of Capitol Hill, went under the Burlington Northern Tracks bridge which was completed in 1888.

The University's architectural inheritance from the AYPE was small. After the closing of the exhibition, five permanent buildings remained (one of which still exists today) as well as a scattering of temporary structures that were ostentatiously but flimsily built for a fleeting life that had been unexpectedly prolonged for University purposes. The Olmsteds developed a 1914 plan for the university that is partially reflected in the





Frosh Pond, Construction of Suzzallo Library, 1925



Physics Hall (now Mary Gates Hall), 1929



Steps up to Frosh Pond from lower Rainier Vista, 1932



Frosh Pond, looking northwest, 1931



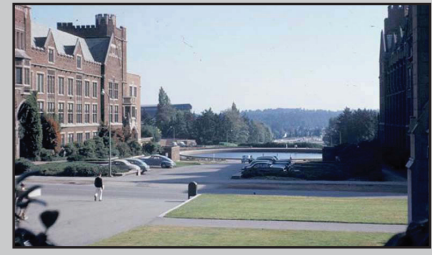
Physics Hall, upper terrace, 1931



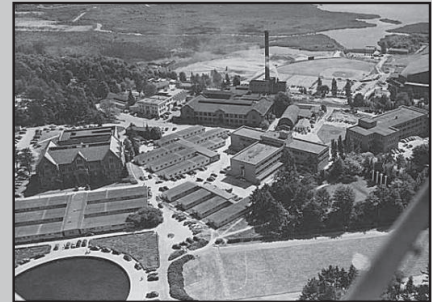
Bagley Hall, Frosh Pond, undated photo



Detail, 1936 Regents Plan, by Bebb and Gould



Parking spaces between Physics and Johnson Hall, undated photo



Temporary military buildings in Sciences Quad, parking around Frosh Pond, 1949



Montlake Triangle, 1948

subsequent work of the Seattle firm of Bebb & Gould, who were hired in 1915 to proceed with planning. Carl Gould, who led the firm's efforts, was also charged with developing the University's new Department of Architecture. Gould's 1915 "Revised General Plan of the University of Washington," commonly known as the Regents Plan, employs Beaux Arts design symmetry and classical formality. The plan unifies the planning dynamics of the lower and upper campus and relates the main axis of the Arts Quadrangle with the main axis of the AYPE, referred to as the Science Quadrangle, in a great central quadrangle (originally called Central Plaza, now known as Red Square).

In 1917 the Lake Washington Ship Canal was completed; the lake was lowered by 8.8 feet, and fill from the dredging added approximately one hundred acres to the campus. The Montlake intersection end of

the Vista became a major vehicular entry onto campus, with traffic initially going up the Rainier Vista as far as where Stevens Lane is today. As Pacific Place emerged as a major road around the University, it was built on a bridge that ran parallel to the rail line and over the Montlake entrance. After construction of Husky Stadium in 1920, much of the Montlake Triangle was given over to parking.

An overall lack of resources, combined with America's entry into World War I resulted in a Vista landscape that was largely empty, for many years. Construction started with the 1926 wing of Suzzallo Library. The Science Quad and the buildings that flank Rainier Vista only began to be built in the late 1920s and 1930s. In 1934 Bebb and Gould, the University's supervising architects, were asked by the regents to update the 1915 plan. The 1935 report reaffirmed the basic design





Drumheller Fountain, 1962



Aerial from south, 1962



Physics Hall, 1958



Frosh Pond logrolling contest, 1964



Red Square entry onto the Vista, 1976

principles of earlier plans but also recommended certain modifications, such as lowering the building densities south of the Science Quad. The 1936 Regents Plan by Bebb and Gould seems to suggest abandoning the Vista as a vehicular entryway, instead allowing the Vista landscape to cross over both the rail line and Pacific Place. This option was not adopted. Instead, the automobile took over more and more of the central Vista space with parking spots between Physics and Johnson Halls and around Frosh Pond.

In 1931, the University hired Butler S. Sturtevant as campus landscape architect, a staff position he held until 1939. Work that he oversaw using WPA funds include 1936 designs for the junction of Rainier Vista and "Central Walk" (Stevens Way) with Bebb and Gould; the Rainier Vista approach and surrounds for Frosh Pond (1936); renovation of Drumheller Fountain

(1935-36) and drawings for the southerly closure of Rainier Vista.

The 1962 Development Plan incorporated many of the proposals of earlier plans all the way back to the Regents Plan. In addition to a renovation of Drumheller Fountain during this era, improvements included a greatly reduced presence of the automobile on the Vista.

In the 1970's, the Central Quad, or Red Square, was built. Kane Hall became the northern terminus of the Vista. In 1971, the railway line was abandoned, in 1973 the right of way was acquired by the city, and in 1978 the Burke-Gilman Trail was dedicated. The southern entrance to the Vista remained a driving entrance onto the university up until the construction of the Triangle Parking Garage in 1985.





## 2008: The Vista Today

### Identity & Scale

The grand scale of the Rainier Vista is successful in relation to its larger context, but there has been only mixed success when it comes to integrating smaller scale daily activities into the landscape.

The Concept Plan looks for a more successful mediation between these two scales by rethinking some of the incremental changes over time, which by and large have not acknowledged the Vista's core identity and scale. These include issues like paving choices that underplay the importance of the landscape, grades and surfaces that do not meet ADA standards for accessibility, hierarchical confusion between the Vista and the spaces that cross it, and certain instances of planting strategies that fail to support either the spatial, aesthetic or ecological function of the space. Similarly, the numerous intersections with regional traffic intersections, including

Stevens Way, the Burke-Gilman Trail, and Pacific Place, erode the cohesion of the Vista and diminish the experience of the landscape.

Identity and scale issues that need to be addressed include integrating the monumental site elements, like Drumheller Fountain and Frosh Pond, more successfully into the experience of their immediate landscapes, and making better use of the Montlake Triangle intersection as a prime opportunity to craft a positive public image for the UW in the larger community.





## Function & Use

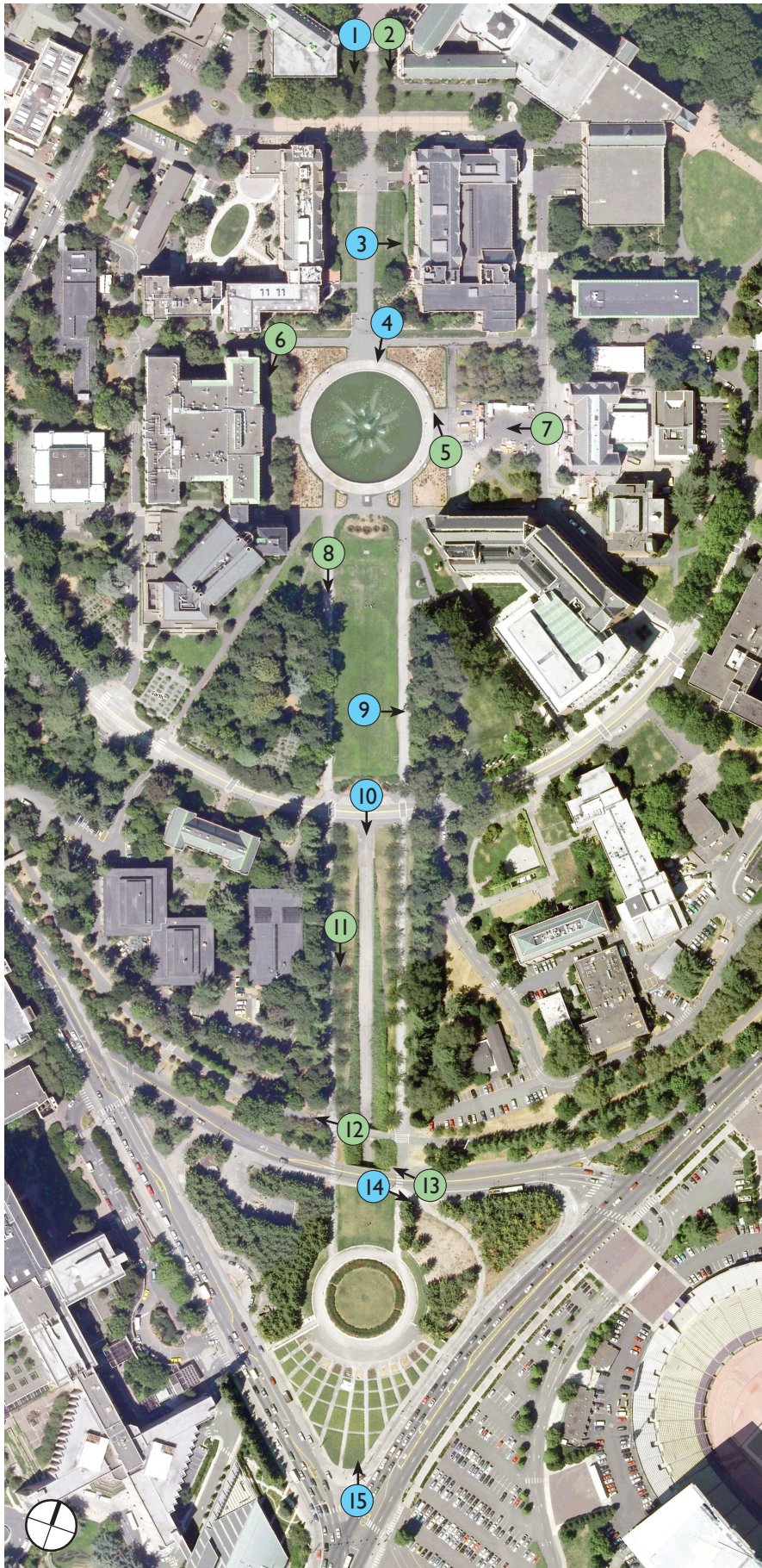
At present, the Vista is a large landscape that is used unequally along its length. The pathways of the upper Vista are at full capacity between classes whereas the Lower Vista and Montlake Triangle are only lightly populated. The upcoming construction of the Sound Transit station will create a new constituency for this lower landscape, increasing its usefulness and putting greater demands on the space.

One initial premise of the Concept Plan was to create additional uses, both large and small, along the length of the Vista that will encourage many of these commuters to stop and enjoy the landscape rather than simply pass through it. This anticipated increase in the use of the Vista presents challenges with respect to the operations and maintenance of the landscape. Although it is a very large space, to date the successful operation of the Vista

has relied on concentrated resources in very centralized locations, for instance the use of water at Frosh Pond and Drumheller Fountain or the maintenance that is required to care for the rose gardens.

A modest short-term investment in restructuring the landscape to perform more sustainably would work to eliminate wasteful practices while preserving the valued qualities of the space. Not only would this greatly increase the long-term efficiency of the Rainier Vista, it might improve its overall experience even as it is being asked to perform a greater role in campus life.





## 2008: The Vista Today

Identity & Scale  
Function & Use



Drumheller Fountain is an iconic campus element but its water and power use is inconsistent with the University's sustainability goals.



The granular path surface on the Lower Vista does not meet accessibility standards.



At the Burke-Gilman Trail pedestrians and bicycles are in conflict.

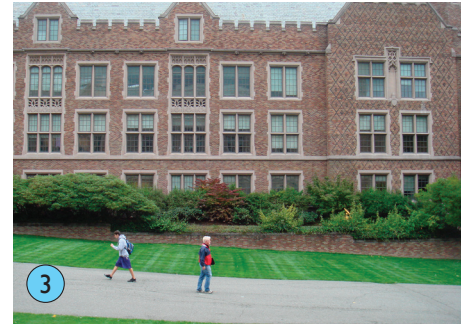




The patterned brick and concrete paving at the Grant Lane cross-axis interrupts the Vista paving.



The main axis has a high capacity of use and is extremely busy between classes. The grade exceeds accessibility standards.



The plantings between Mary Gates Hall and Johnson Hall are at the scale of the architecture rather than the scale of the Vista.



There are few gathering places around Frosh Pond.



The accessible ramp at Bagley Hall is poorly integrated.



A series of construction projects has severely degraded the landscape in front of Guggenheim Hall.



The Vista edges are thin and the shrub layer includes invasive species.



The depressed access road is no longer in use and creates a visual interruption to the larger sweep of the Rainier Vista.



The cherry trees confine the Vista experience and block views.



At Pacific Place pedestrians and vehicles are in conflict.

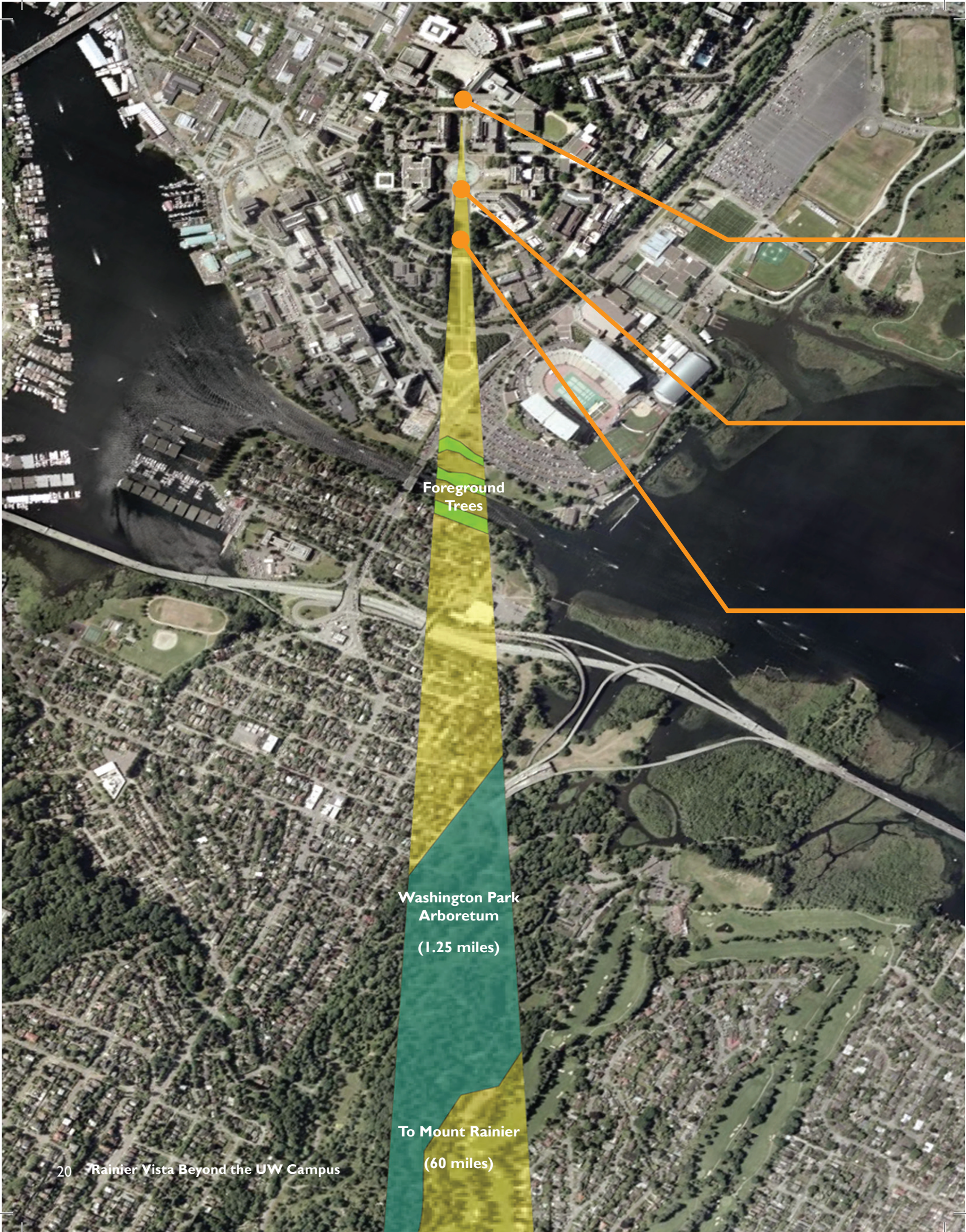


The views of Husky Stadium from the Vista are poor.



The scale, materials, and expression of the landscape in the Montlake Triangle are in a poor and deteriorating condition.





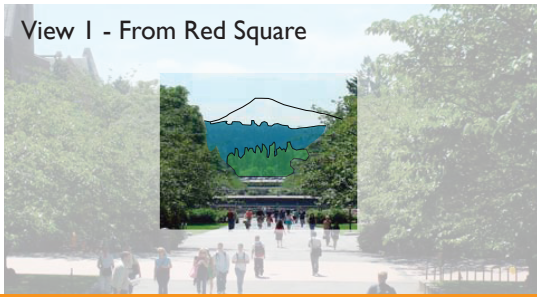
Foreground  
Trees

Washington Park  
Arboretum  
(1.25 miles)

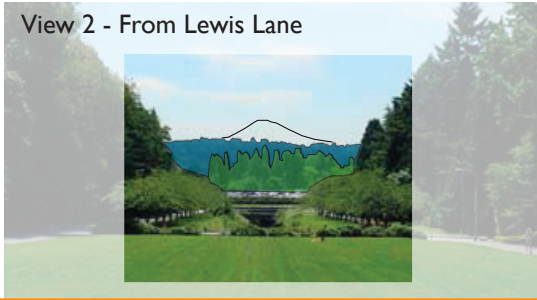
To Mount Rainier  
(60 miles)



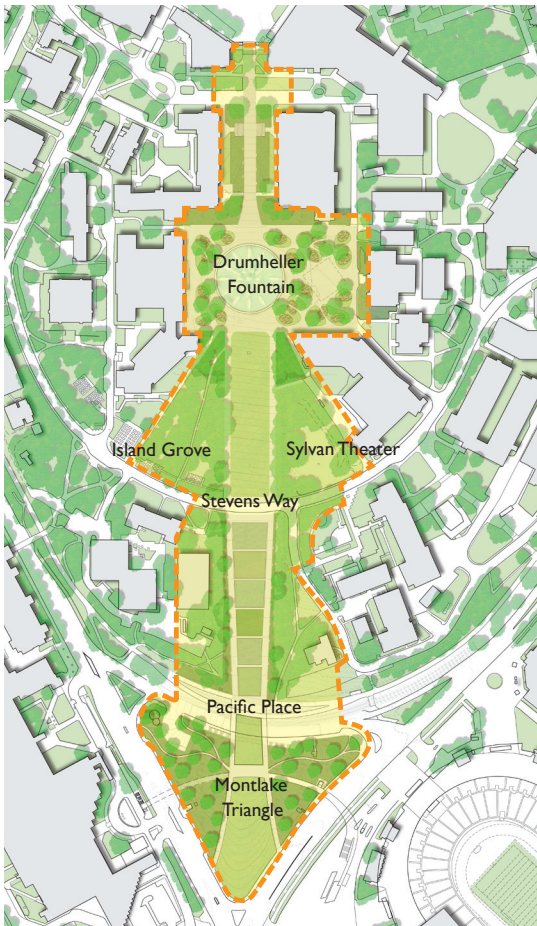
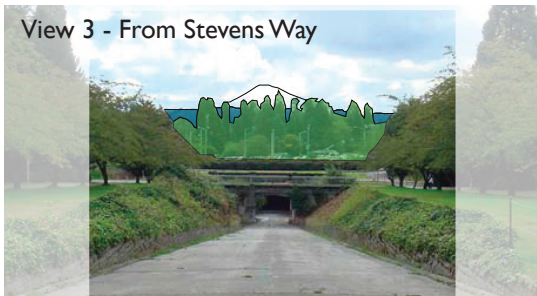
View 1 - From Red Square



View 2 - From Lewis Lane



View 3 - From Stevens Way



Vista Preservation Area

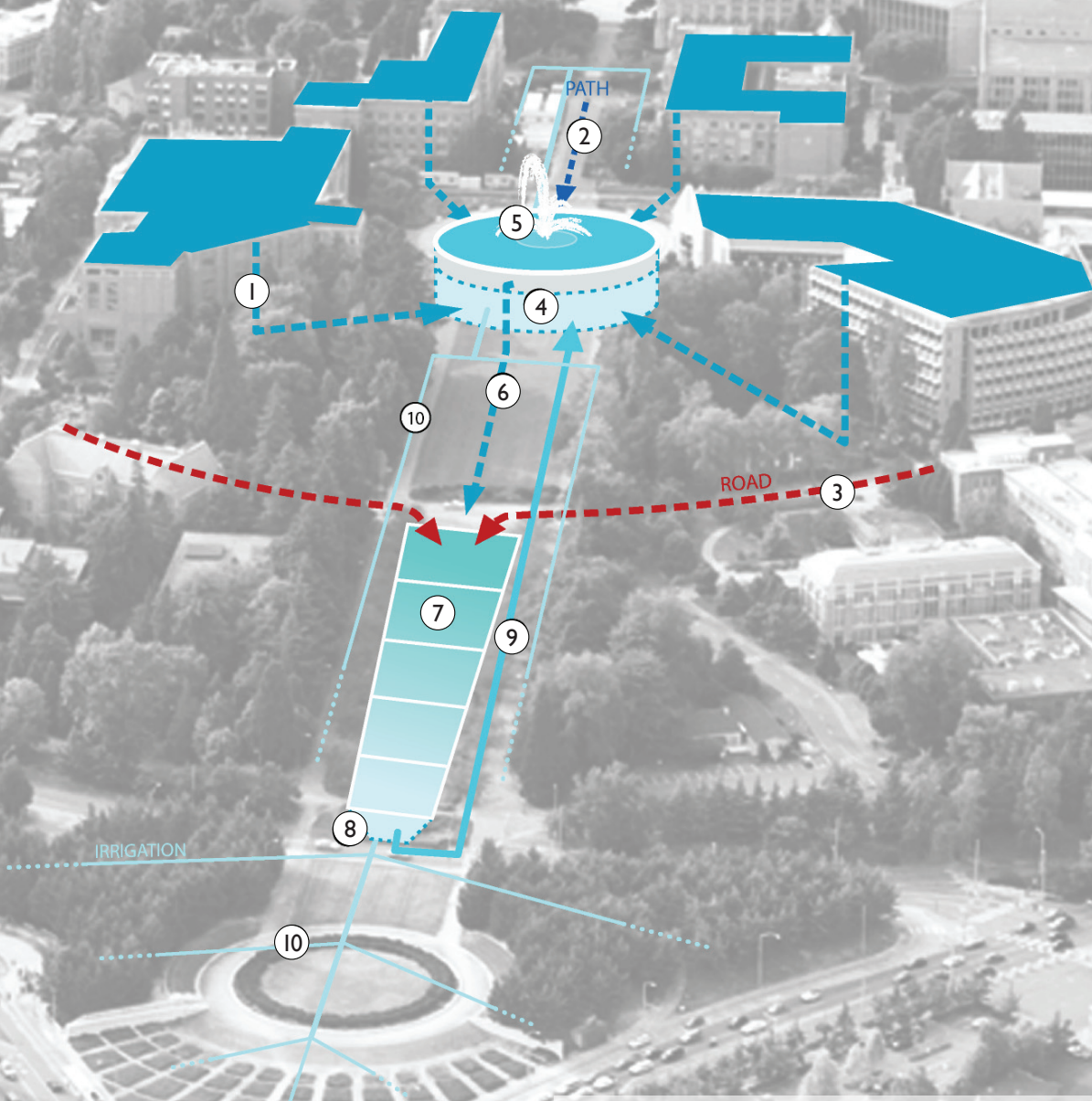
## Vista Preservation

As the University considers the future of the Rainier Vista and the campus as a whole, an official definition of contributing features should be agreed upon as the first step in ensuring that subsequent construction in the Vista's vicinity preserves the central gesture of the space as well as its active architectural and landscape edges. New construction within the defined preservation area and view corridor should be analyzed for potential visual impact on the views to and from the Vista.

The Collegiate Gothic architecture of the upper Vista is a fundamental component to the success of the landscape as are the Sylvan Theater and Island Grove, themselves treasured campus landmarks, which flank the Vista below Drumheller Fountain and above Stevens Way. The depth and height of the wooded areas along the stretch of the landscape of the Vista between Stevens Way and Pacific Place are also important to the experience of the Vista. The quality of the wooded edge needs to be preserved; invasive species should be removed and the plantings should be reinvigorated with native understory shrubs, perennials, and a mix of deciduous and evergreen canopy trees. Likewise, Montlake Triangle, the terminus of the "official" Vista, contributes to the outward-looking experience and allows the opportunity for cars passing by to look up into the university from the intersection; it should be left free of elements that do not contribute directly to this experience.

Given that the centerpiece of the Vista landscape is a mountain that is 60 miles away, the "borrowed" landscape of the Vista includes an additional vast territory beyond the boundaries of the UW campus. The UW should preserve this larger scale aspect of the landscape to the degree that it can. As the university lands continue in front of Husky Stadium and down towards the Waterfront Activities Center, for instance, the layering effect of the tall trees and edges of the Montlake Cut provide a foreground landscape that is also important to our basic understanding of what makes the Rainier Vista one of the nation's premier landscape spaces.





- ① Roof Runoff - direct to fountain cistern
- ② Pathway Runoff - direct to fountain cistern
- ③ Road Runoff - direct to wet cell treatment
- ④ Fountain Cistern - beneath false floor in basin
- ⑤ Aeration Treatment - of cistern water
- ⑥ Pipe to Wet Cell Treatment - circulate fountain water
- ⑦ Wet Cell Treatment - water gardens & subsurface treatment
- ⑧ Lower Cistern - within volume of filled-in access road
- ⑨ Pipe to Fountain - return cleansed water
- ⑩ Irrigation Lines - pressurized and gravity fed



# The Sustainable Vista

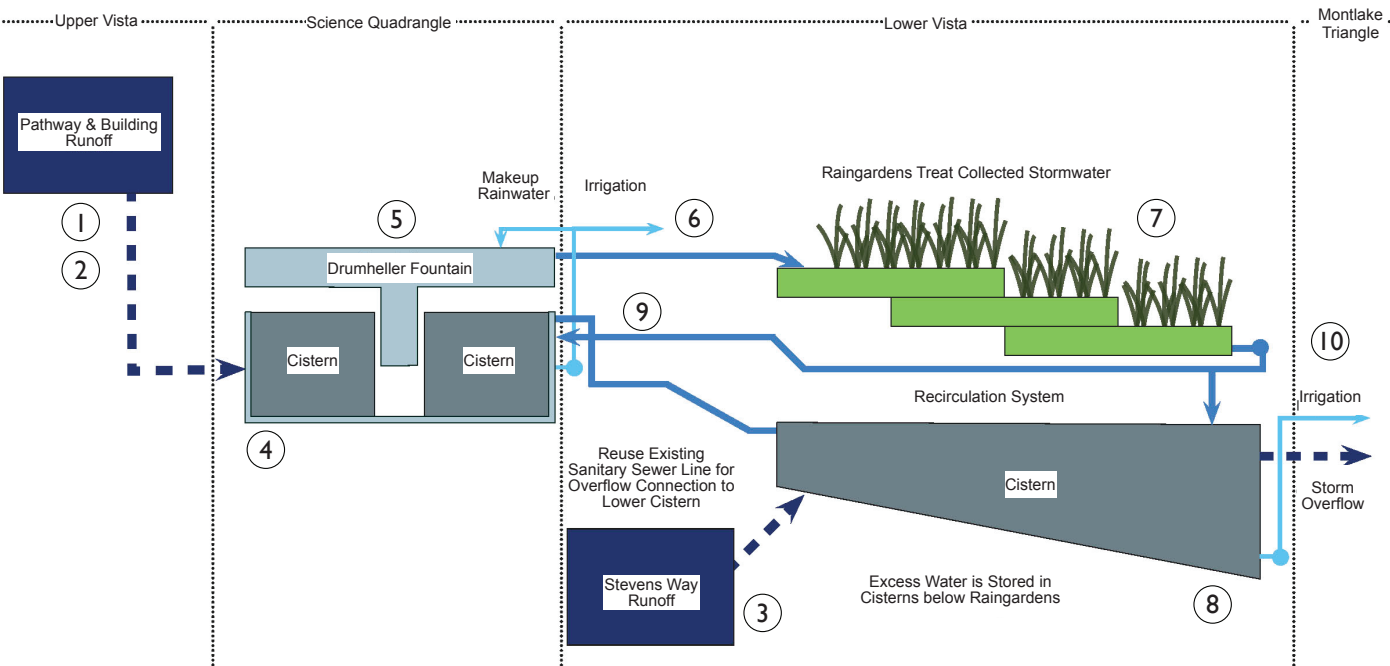
As the first major landscape project of the 21st century at the University of Washington, the renewed Rainier Vista presents an opportunity to demonstrate the applicability of sustainable practices, both visible and invisible, even in the most historically sensitive landscapes. Looking at the larger operations of the large-scale landscape, the Concept Plan treats pond water, stormwater runoff, water collection, site drainage, and irrigation as an integrated system of cleaning, recirculation, and reuse.

As demonstrated in the adjacent diagram, stormwater from surrounding buildings and pathways is collected in a separated storm drainage system while the sanitary sewer plumbing within buildings surrounding the corridor is rerouted to a dedicated sanitary sewer line. The stormwater runoff is directed to prefabricated cisterns installed within Frosh Pond. Operating in part as a system of water conservation, additional cistern volume reduces the effective depth and volume of water within the visible parts of Frosh Pond. Given that the water depth in the pond is currently about 5 feet, however, it is unlikely that this reduction in depth will affect the appearance of the pond.

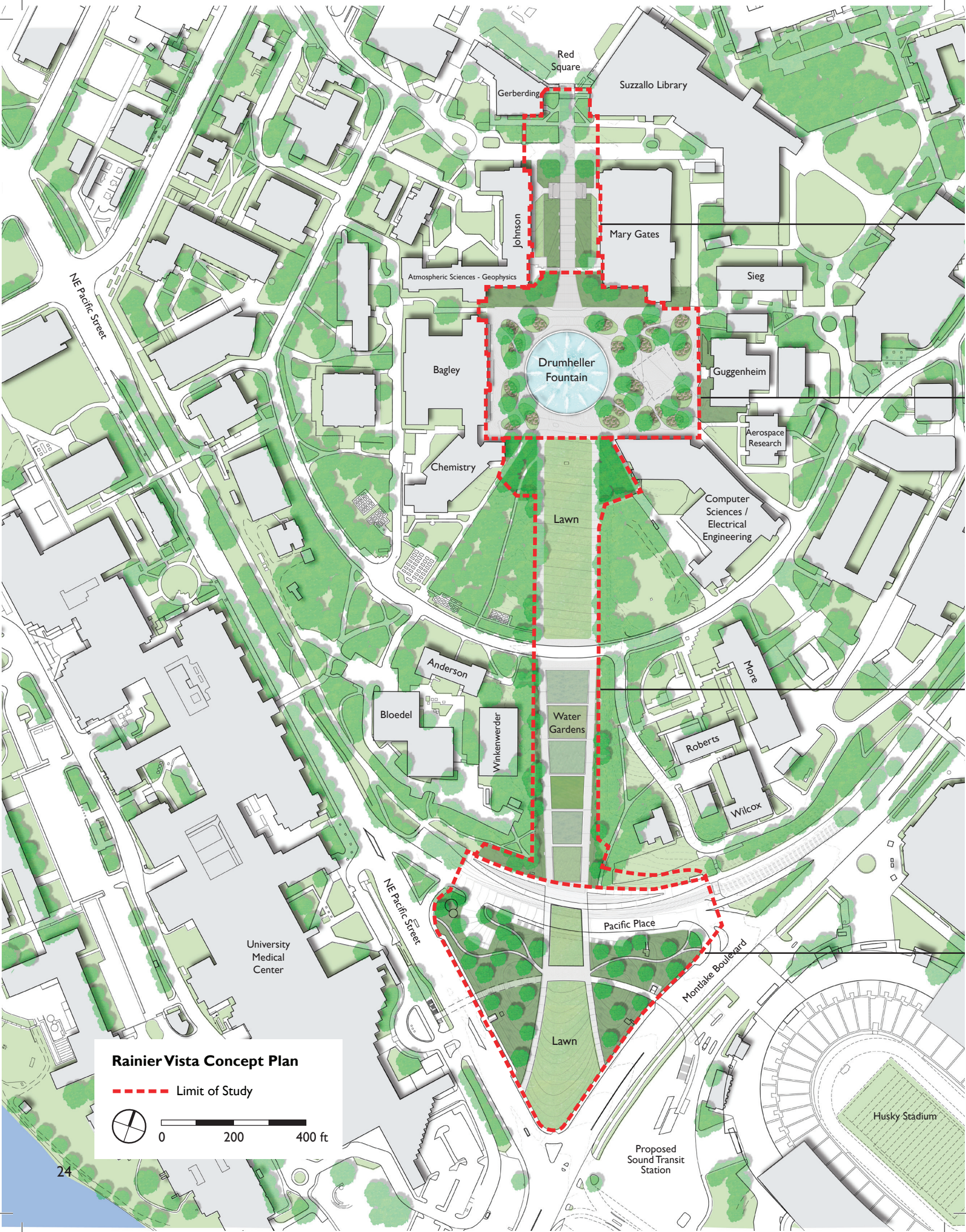
Down slope, stormwater runoff from Stevens Way and surrounding buildings will be pre-treated and discharged to prefabricated cisterns located within the ramping area of the lower Rainier Vista. The two cisterns are to be hydraulically connected and overflows of the cisterns will be discharged to the 84-inch combined sewer overflow pipe, thereby reducing storm flows into the Metro sewer system.

The active water within Frosh Pond passes through a recirculation system comprised of vegetated cells installed above the lower Vista cisterns. The cells will be planted with a variety of wetland and emergent plants to provide varying levels of water treatment and visual appeal.

Reused stormwater fulfills the landscape irrigation demands along the Rainier Vista. Treated water will be pumped to the upper cisterns for reuse in the irrigation system surrounding the Sciences Quadrangle, Mary Gates and Johnson Halls and the area north of Stevens Way. Treated water is also used as fountain evaporation makeup water. Water stored in the lower Vista cistern irrigates the area of the Vista south of Stevens Way, including the area adjacent to Montlake Boulevard.







**Rainier Vista Concept Plan**

--- Limit of Study



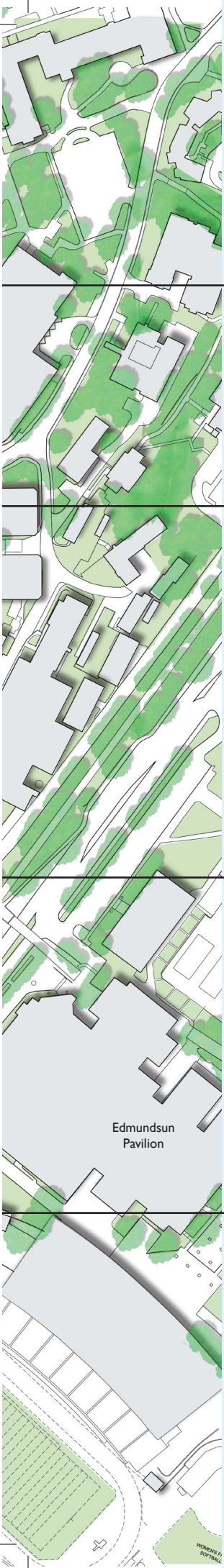
0 200 400 ft



## Rainier Vista: Improvements over Time

Experienced as a sequence of spaces, the large-scale gesture of the Rainier Vista breaks into several smaller landscapes that are important in their own right. Within each area the Concept Plan proposes specific measures intended to improve the experience and function of individual spaces while also reinforcing the strength of the Vista as a whole. The experiential aspects of the proposed landscape are described in the following section and related functional improvements are addressed in the Vista Infrastructure section on pages 49 - 57.

Work within the Upper Vista, between Red Square and Thurston Lane, involves regrading and repaving as well as improvements to the planted edges of the space. A complete landscape renovation is proposed for the Sciences Quadrangle, tying an environmentally-motivated reengineering of Drumheller Fountain and Frosh Pond into an overall plan to renew an important campus space that has served as a construction staging area for much of the last decade. Projects within the Lower Vista, between the Sciences Quadrangle and Pacific Place, include restructuring the large lawn, and constructing a system of water treatment gardens above a new stormwater cistern. Improvements at Montlake Triangle include the lowering of Pacific Place, a new landscape bridge to the Husky Stadium Station, and the introduction of a landscape that invites use and gathering at a location that will quickly become a major campus entryway and community crossroads with the completion of the new Sound Transit station on Montlake Boulevard.



Upper Vista

Sciences Quadrangle

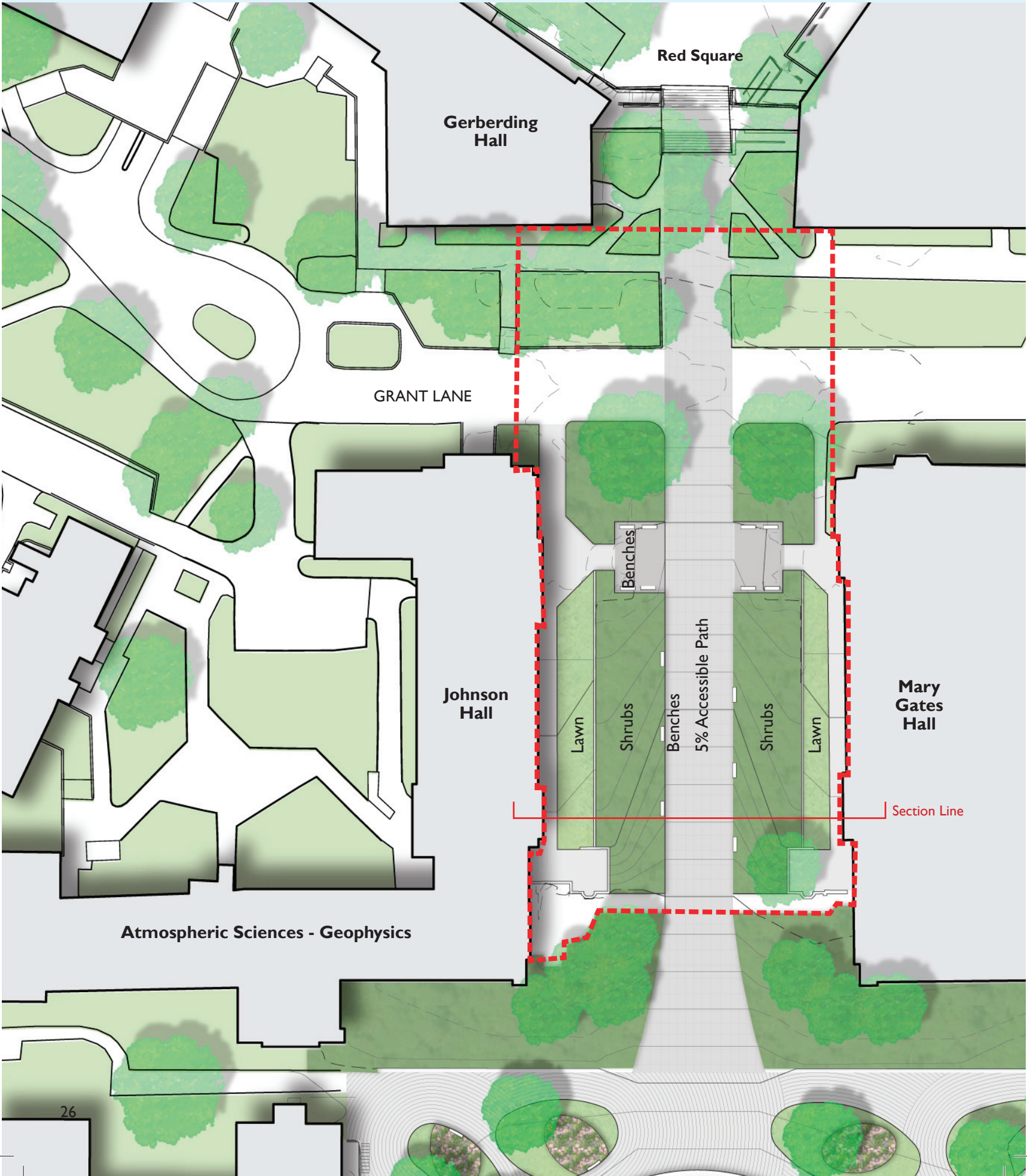
Lower Vista

Edmundsun  
Pavilion

Montlake Triangle



0 25 50 100 ft





Suzzallo Library

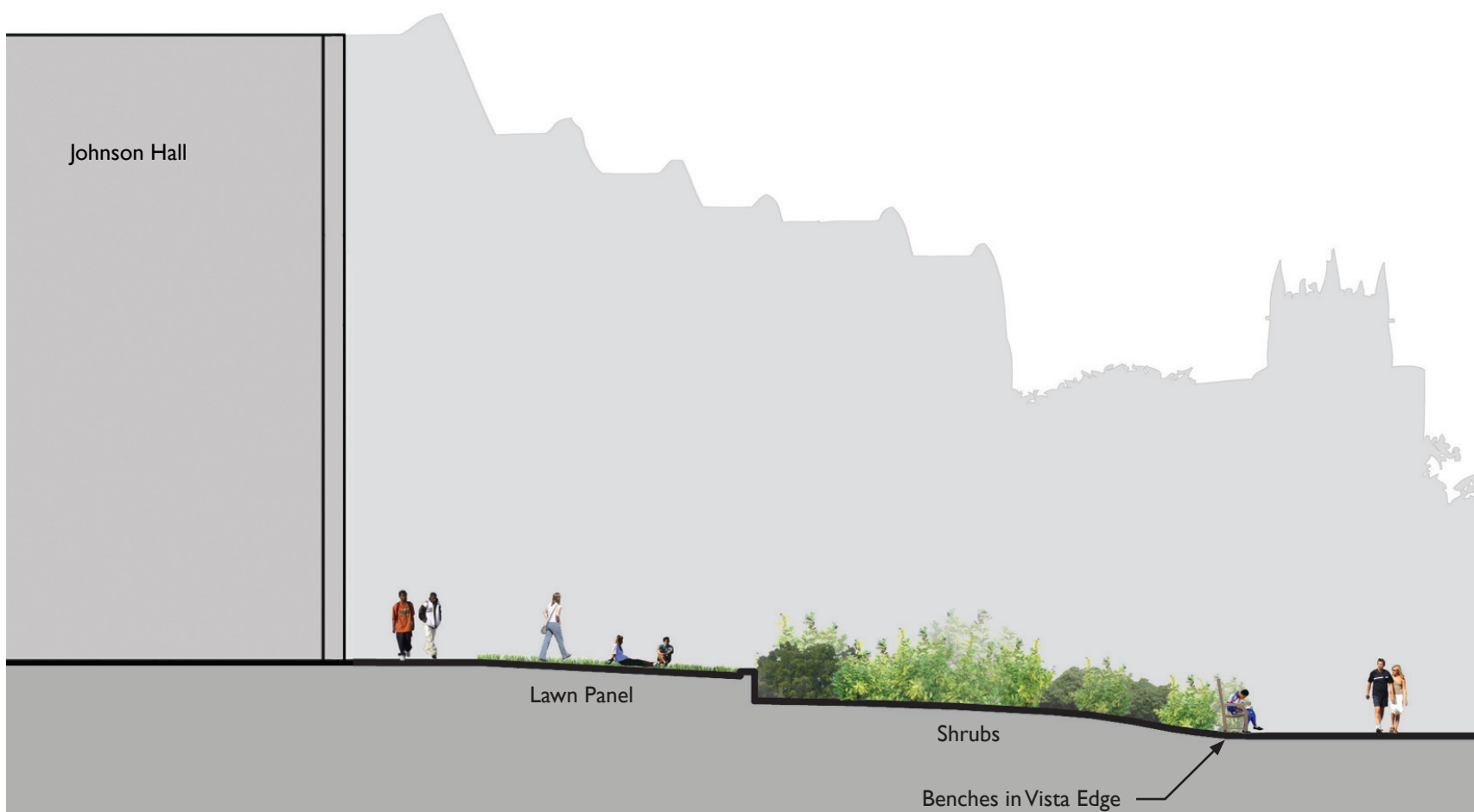
Allen Library

Sieg Hall

Mary Gates Hall and Johnson Hall are important contributing elements of the Rainier Vista. The role they play in defining the edges of the Vista will be greatly strengthened by replacing the overgrown shrubs of the upper level with a flat lawn while simultaneously replacing the lawn that is adjacent to the pathways with a beautiful and highly textured shrub border. Bringing lawn to the terrace levels of the buildings will allow for greater visibility along the upper walkways, thus improving safety, and creating new informal seating opportunities that look down onto the space and perhaps out into the Vista. This will also allow for a clearer expression of the Collegiate Gothic

architectural style of Mary Gates Hall and Johnson Hall which is currently obscured by the tall shrubs. Bringing the shrubs to the level of the Vista will tighten the experience of the space, minimize the presence of the basement level walls of the buildings, and create new opportunities for eye-level seasonal displays. Benches will be notched into the shrub borders, creating new spaces to sit and watch your classmates walk by, or perhaps just for enjoying the Vista landscape.

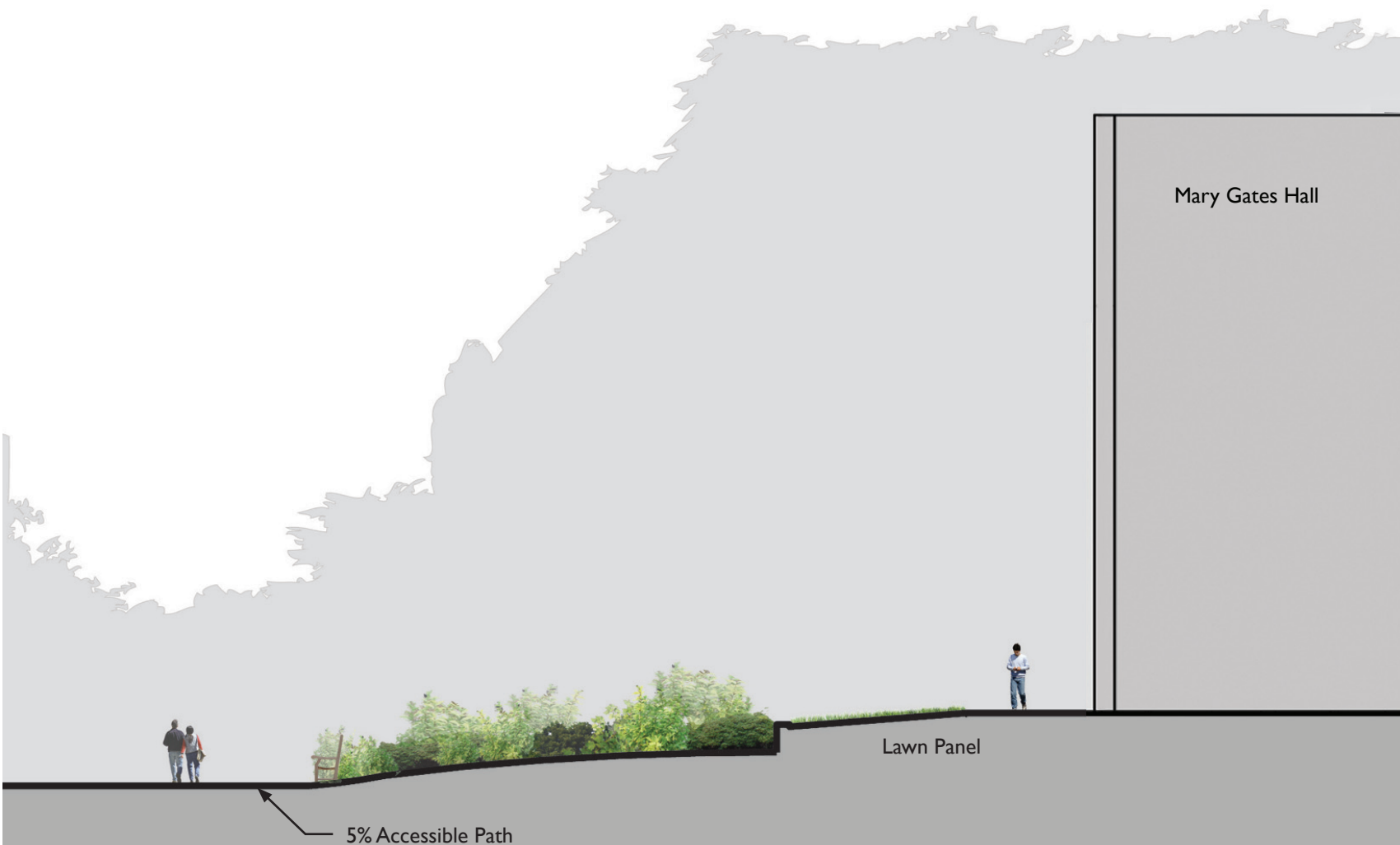
This portion of the upper Vista is very well used and nearly filled to capacity between classes. One major accomplishment of its redesign will be to make subtle



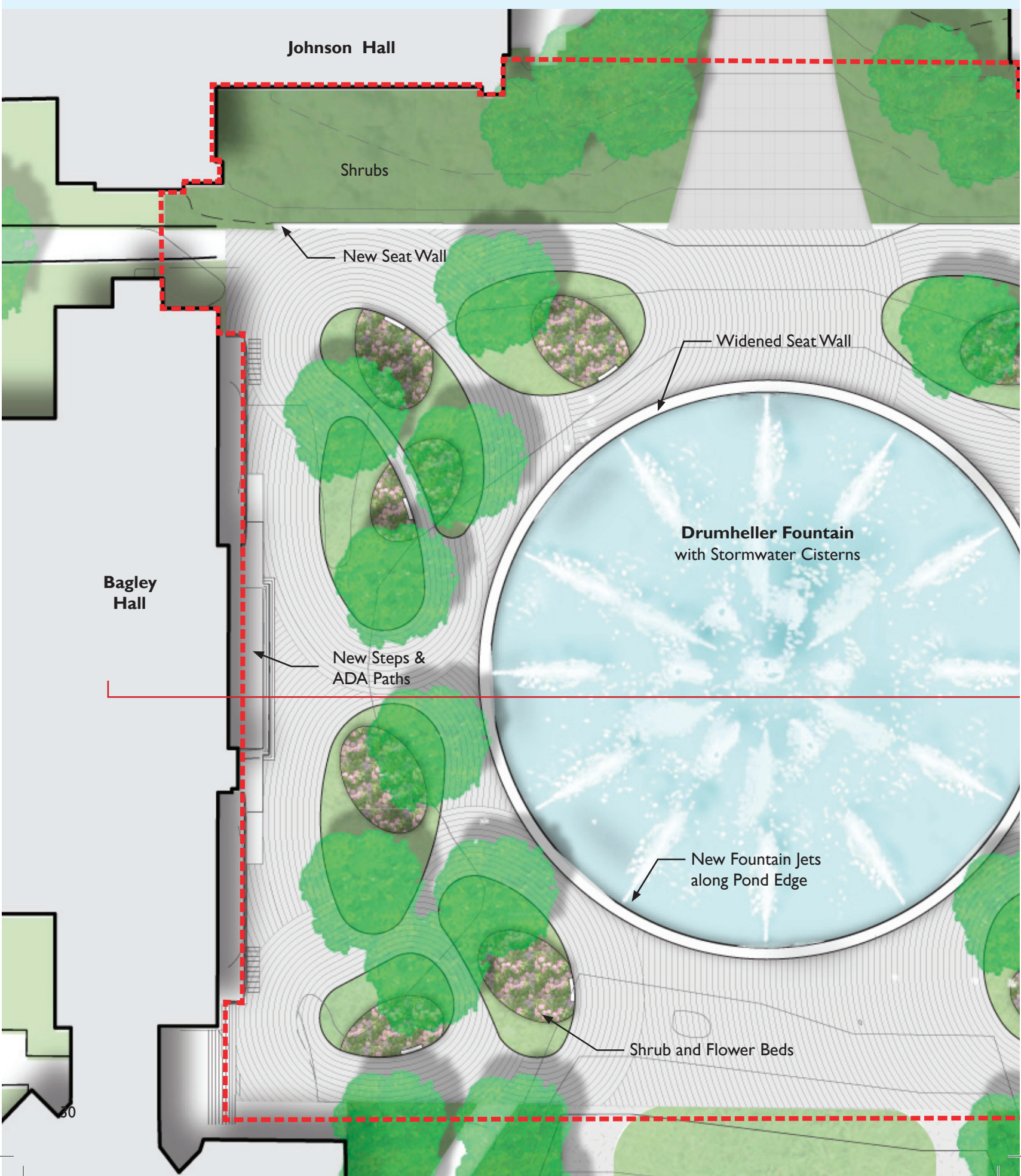
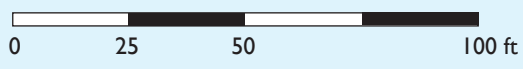
adjustments to the slope so that it may be accessible by wheelchair and thus available for all students to enjoy. The patched asphalt paving will be replaced with a subtle gray unit paver that will begin at the base of the steps leading from Red Square, crossing over Grant Lane, and terminating at the threshold to Sciences Quad.

According to the Utility Master Plan, utility improvements associated with the Johnson Hall renovation are slated to begin after 2008 and include separation of the sanitary sewer and storm drainage system. Work shown in the Rainier Vista Concept Plan associated with the pathway between Johnson

and Mary Gates Halls stormwater collection and diversion to Drumheller Fountain/Frosh Pond can be completed in coordination with the Johnson Hall Utility Improvements. These improvements will reduce capacity concerns associated with the pump station located on Pacific Place. Work may also include installation of a dedicated storm drain line routing additional stormwater runoff from Red Square to Drumheller Fountain cistern constructed as part of the Sciences Quadrangle project.









## Sciences Quadrangle

Mary Gates Hall

Shrubs

High Canopy  
Trees

Event Area

Guggenheim  
Hall

Section Line





The landscape between Bagley and Guggenheim Halls, which includes Frosh Pond, was first identified as the “Sciences Quadrangle” in early planning for the University. Although the programming of the buildings was consistent with this description, the evolution of the landscape has tended to segment the space rather than treat it as a single large landscape in the manner of the Arts Quad.

The buildings surrounding the new Sciences Quadrangle will look down on a compelling patterning of the landscape that will undergo subtle changes in color and texture throughout the seasons of the year. The new design treats the Sciences Quadrangle as a single, continuous space that relates to the scale of Frosh

Pond and Drumheller Fountain while also supporting a variety of uses. An abraded network of pathways paved in radiating basalt cobbles flows through the space and facilitates direct connections across campus and between buildings. The space and ground plane is enlivened through planting, including canopy trees that create a sense of intimacy without blocking eye-level views and bursts of shrubs to create seasonal interest. A more welcoming, human-scale occupation of the space will be created through improved seating around Drumheller Fountain, benches throughout the plan, and lawn areas. A large paved area in front of Guggenheim Hall will accommodate outdoor gatherings and events, thus taking pressure off some of the campus gardens that are currently being used for this purpose.



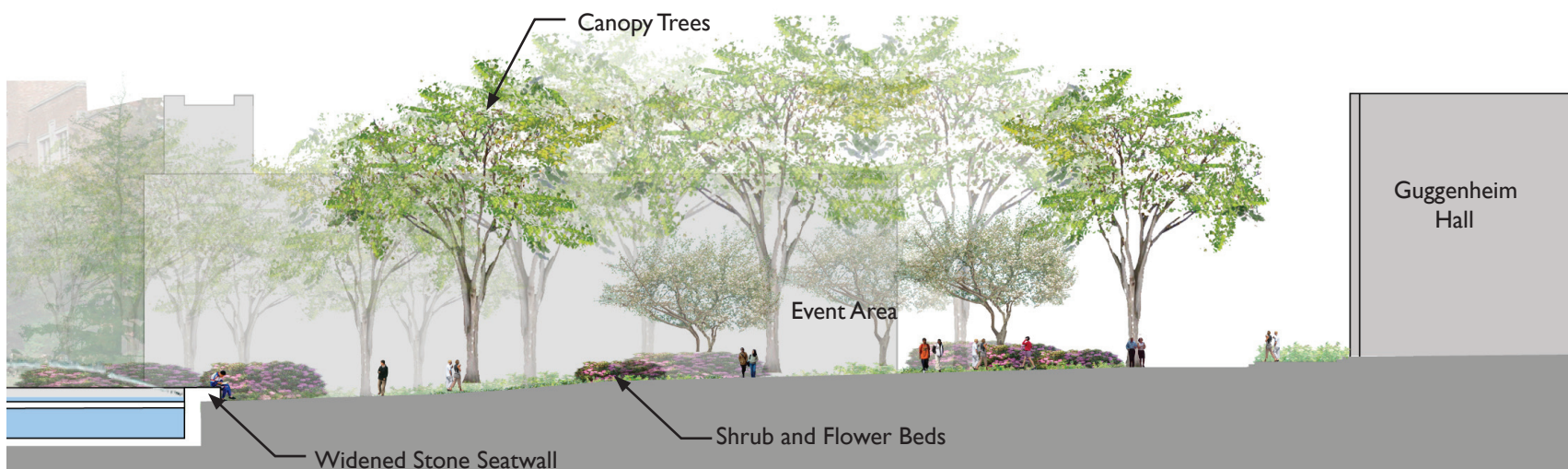
## Sciences Quadrangle

The Sciences Quadrangle project would include the construction of cisterns within the Drumheller Fountain/Frosh Pond. The installation of the cisterns serves the purpose of capturing stormwater runoff from the surrounding area and reducing the volume of “active water” within Frosh Pond. Work in this area will include the installation of a subsurface irrigation system in the Quadrangle’s planted areas. Once the active volume of water is reduced from the 2 million gallons, a viable treatment and recirculation approach can be implemented (see Lower Vista).

Infrastructure associated with the proposed irrigation system will be installed with dual water distribution and cistern supply capability. Additional investigation will

be required to determine if, in the interim, the cistern water can act as emergency backup water supply for the Power Plant.

Creating a cistern below the fountain and within the pond, and capturing stormwater runoff, will likely require a Washington State Water Rights Permit. Although there are several reasons to believe that this project might serve as an excellent test case for this kind of program, this process may take several years before the cisterns are allowed to be operational and connected to the surrounding irrigation systems.









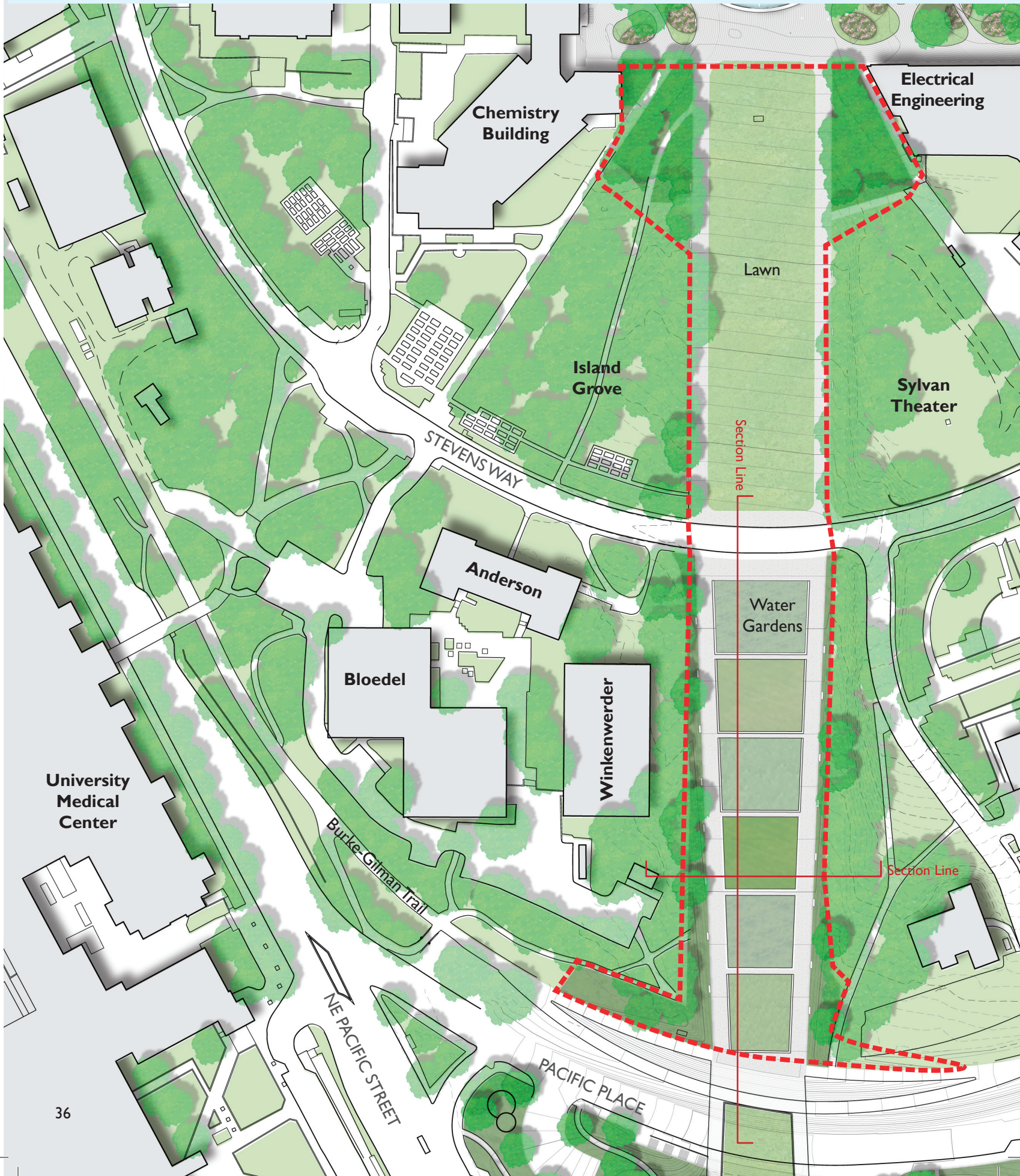
## Sciences Quadrangle



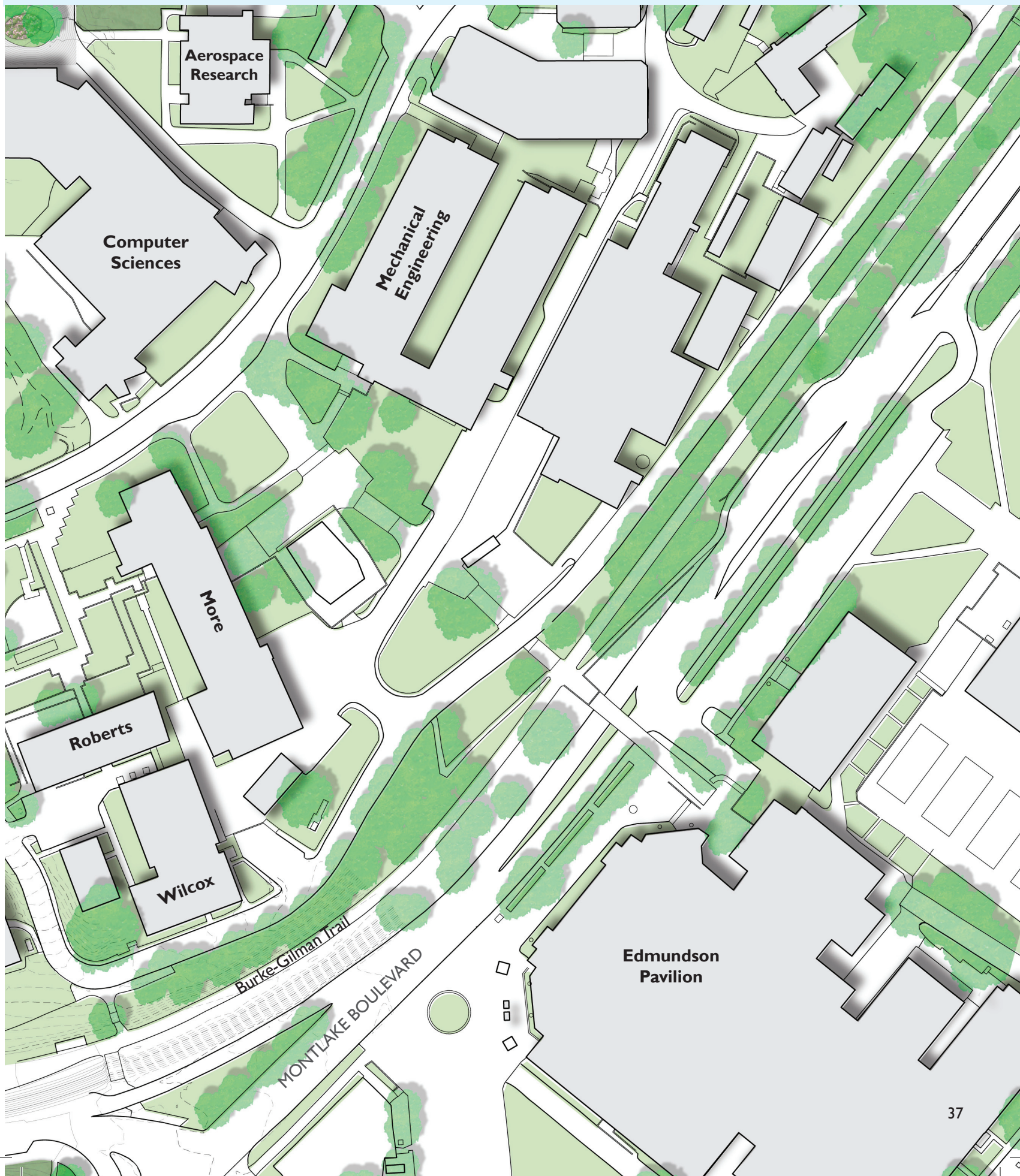




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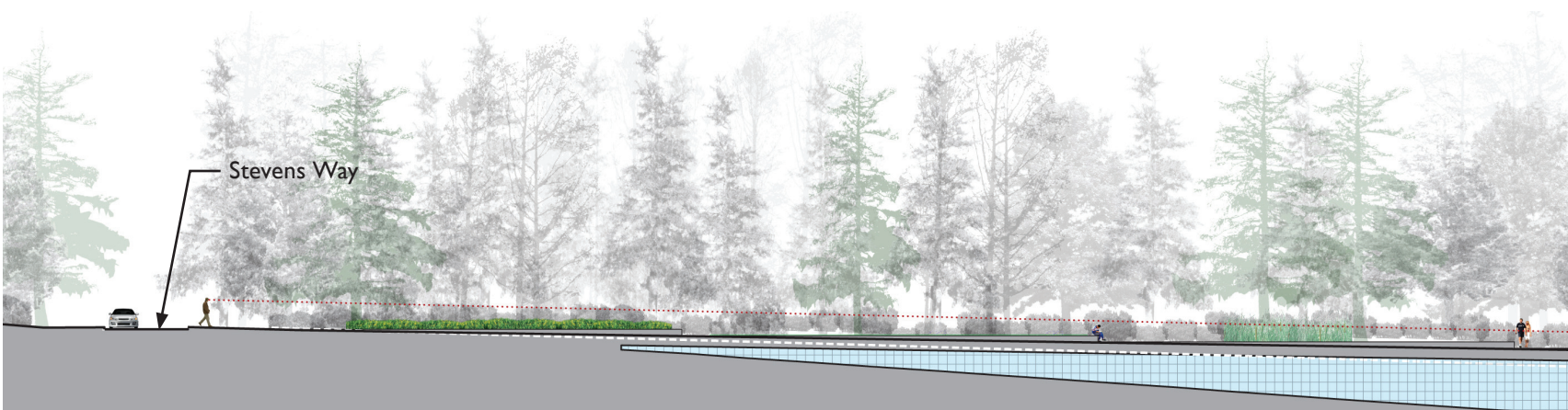


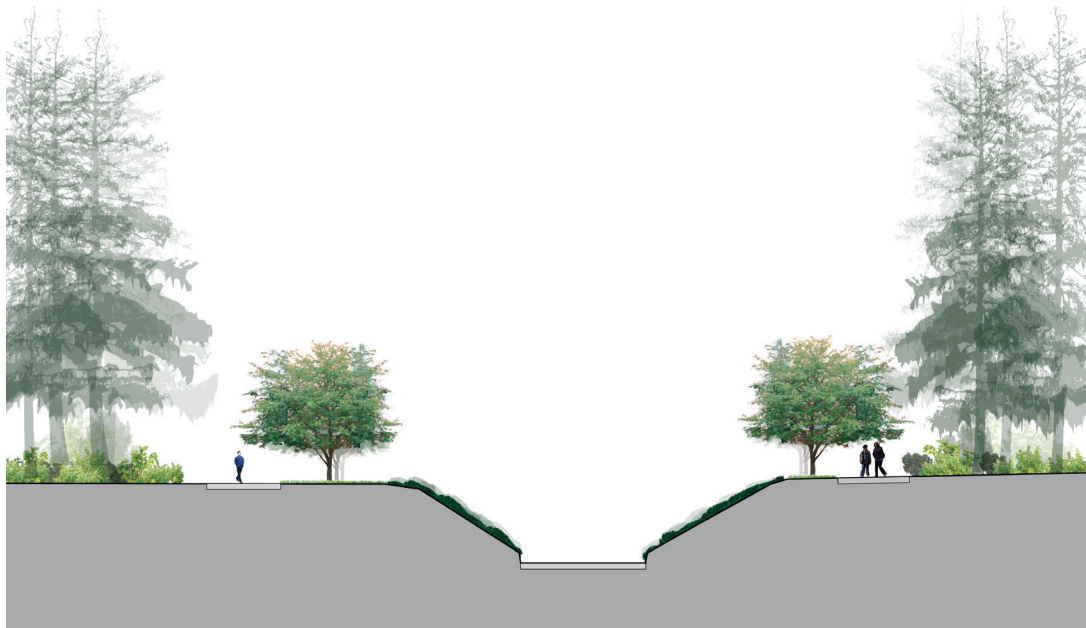
The lawn directly south of the Sciences Quadrangle will be restructured with designed soils so that it may continue to provide unprogrammed open space for informal gatherings and low level sporting activities without creating long-term compaction issues. The pathways that run on either side of the lawn will be paved with chip and seal bituminous concrete, making this space accessible by wheelchair while maintaining the current appearance. The planted edges of the Vista will be managed; unwanted exotics and invasives will be removed and replaced with appropriate native plants.

The lowered roadway that occupies the center of the Vista south of Stevens Way (a vestigial remnant of what was once an entry onto campus) will be filled in with a cistern. The existing cherry trees will be removed and the landscape will be elevated somewhat to meet the grade of the landscape crossing in the direction of the Montlake triangle. A series of water gardens will terrace down the slope, each featuring a different level of interaction between the plants and the inundated soil. At the end of each water terrace, a low wall running roughly east-west will create new sitting opportunities within the space of the Vista itself. Approaches to

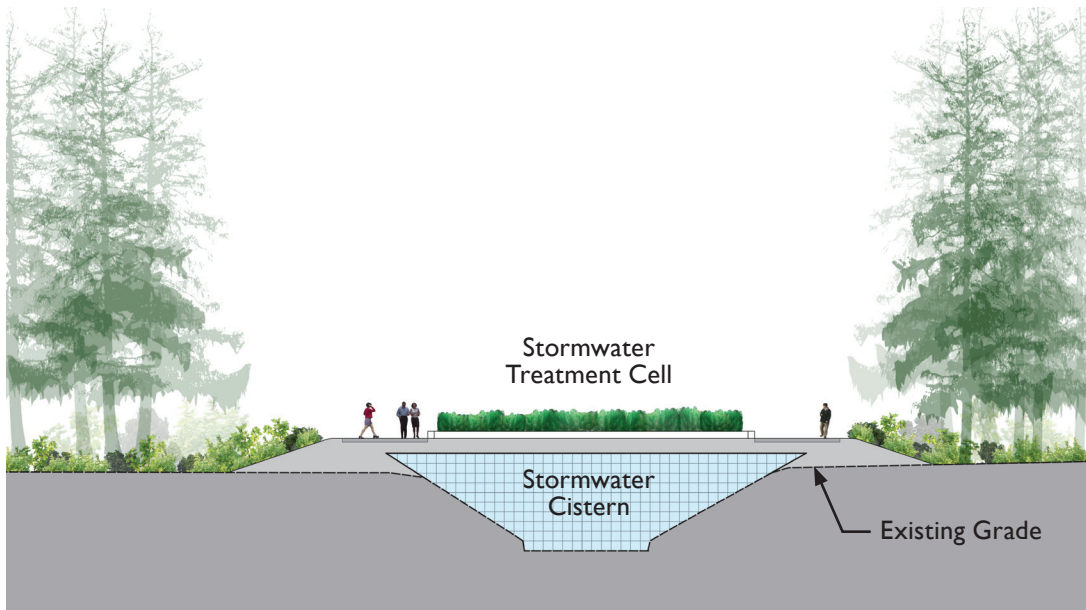
improving the conditions of paving and planting at the edges of the space will be similar to what is described for the lawn south of the Sciences Quadrangle.

No major utility upgrades for this area were identified in the Utility Master Plan; however, future improvements associated with the Power Plant may impact this area. It is advisable that construction of these improvements be completed and established before the Sound Transit station is completed and commuters are routed through the area. The bulk of the work associated with the pond recirculation system, water quality treatment cells, and lower cistern is to be completed as part of this phase. Work will include construction of a cistern within the ramping section of the un-used road, at the parking garage. Vegetated water quality treatment cells are proposed to be constructed over the cistern. These cells will be cast-in-place concrete and will provide filtration treatment as water moves from each cell. High flows during storm events will be allowed to cascade from each cell and will overflow to the dedicated storm drain constructed as part of the Montlake Triangle project.

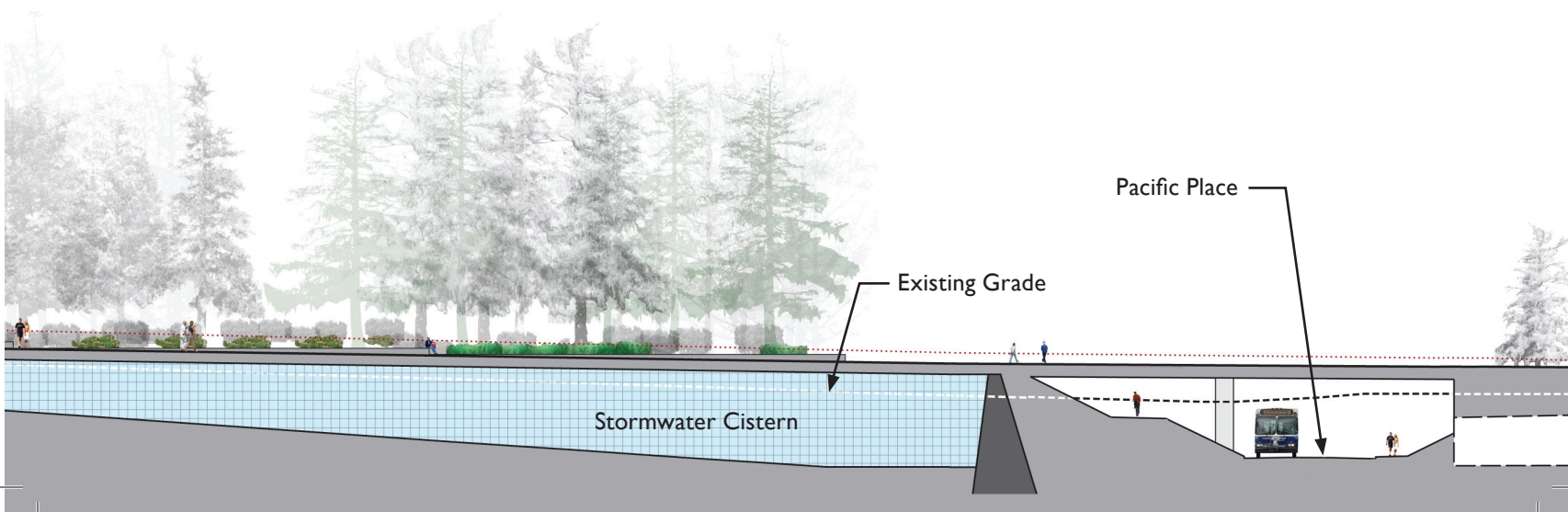




Existing Cross Section



Proposed Cross Section









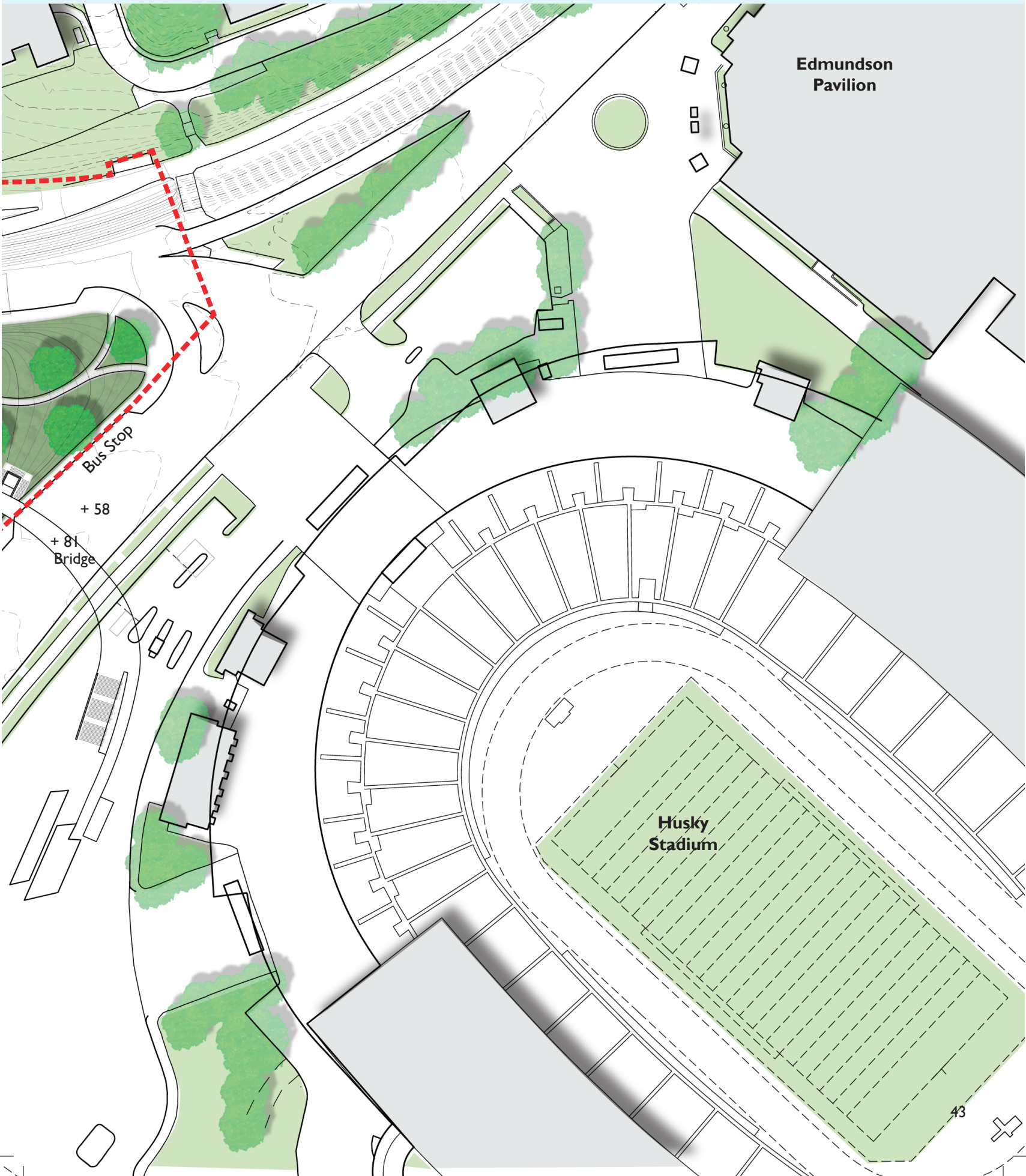








Montlake Triangle



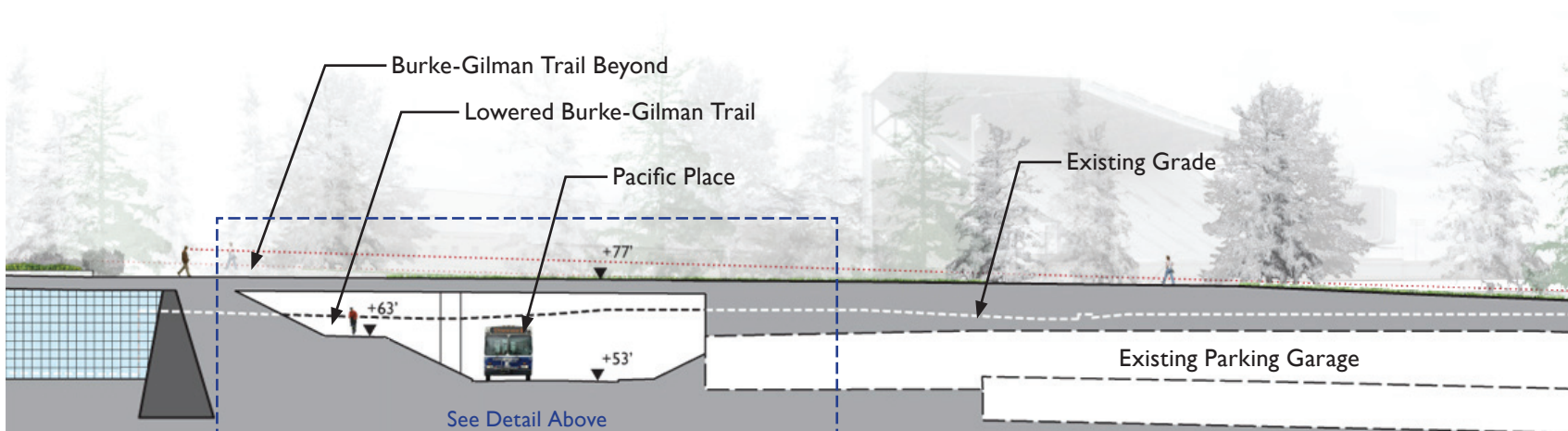


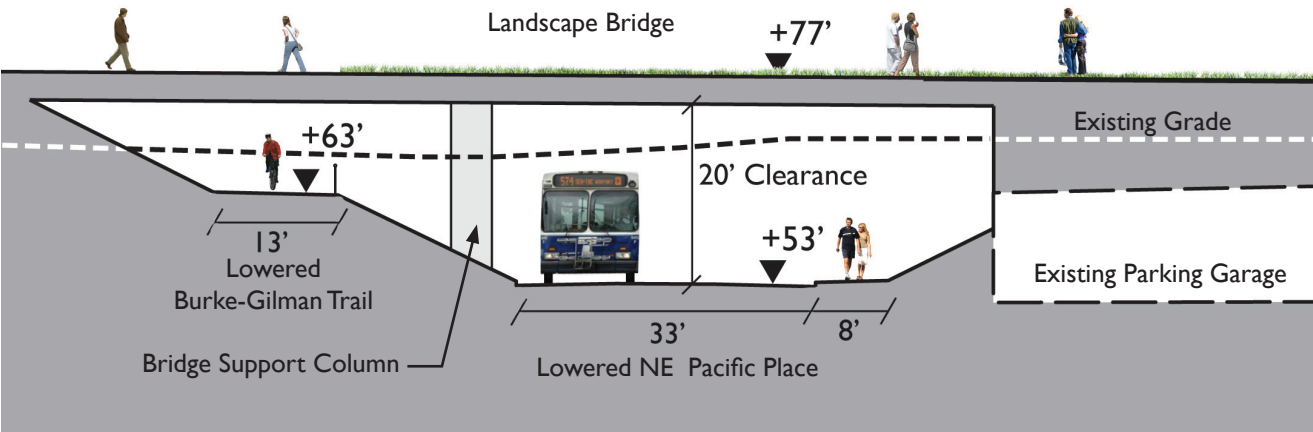
A new landscape bridge will be constructed over a newly lowered Pacific Place, forming a direct landscape connection between the Rainier Vista and the Montlake Triangle. Bicycle traffic along the Burke-Gilman trail will bypass this new pedestrian intersection on a separated bikeway that will also dip underneath the pedestrian crossing. The new high point in the Triangle will offer views out to Lake Washington and the Montlake Cut while also serving as an active crossroads of commuters arriving at and leaving the University and Medical Center as well as regional transit users making transfers between buses or between a bus and light rail.

Aside from the large paved area at the high point, the central space of the Triangle will be largely clear with a lawn or meadow that slopes down towards the Montlake intersection. To the east and west, tall evergreens will frame the space, providing filtered views to Husky Stadium and to the Medical Center. A new bridge across Montlake Boulevard, built as part of the Sound Transit Station, but coordinated with improvements to the Vista, will be accessed at grade

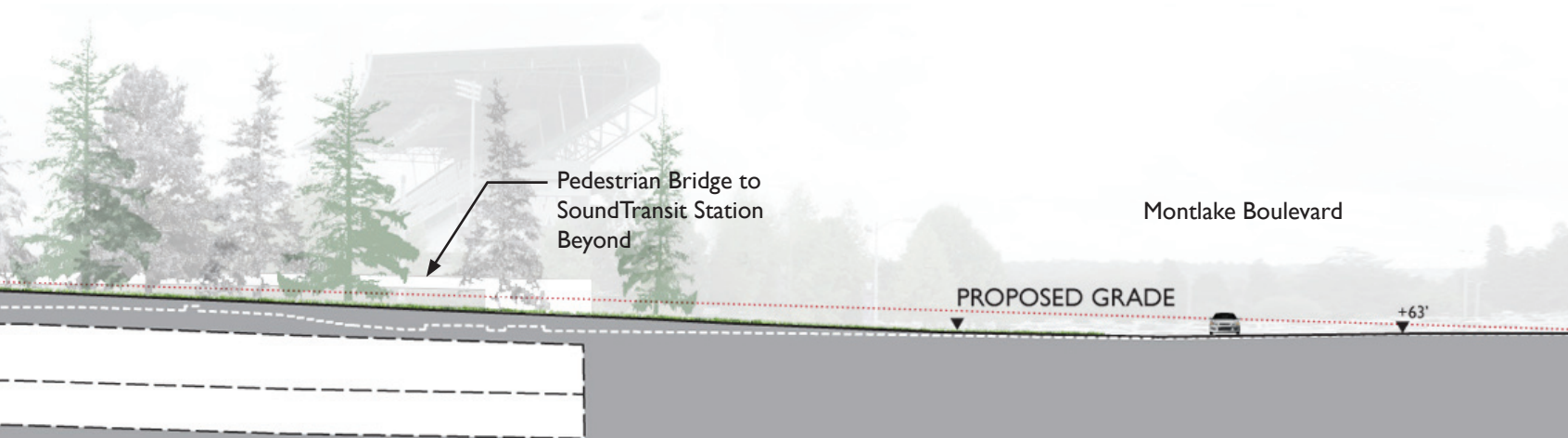
from the main space of the Triangle, leading to stairs or an elevator that will deliver pedestrians to ground level in front of the stadium.

One of the goals identified by the Utility Master Plan is to separate sanitary sewer flows from storm drainage runoff and re-establish natural runoff discharge locations in the south Campus by the end of 2009. Separation of sanitary sewer flows associated with buildings would be completed before the Montlake Triangle work. The ultimate discharge location would be modified from connecting to the 138-inch Metro sewer line and a new dedicated storm drain line will connect to the 84-inch Metro combined sewer overflow. These improvements will have benefit for the capacity of the sewer lift station and reduce the potential for combined sewer discharges to Portage Bay. This project may present an excellent opportunity for partnering with SPU and/or King County Metro. The bulk of the improvements during this project are associated with the connectivity with Sound Transit. Work in this area will include the installation of a subsurface irrigation system.





Proposed Cross Section - Detail





Benches in Shrub Edge

Stone Bench

Stone Bench Fountain Edge

Benches in Donor Garden

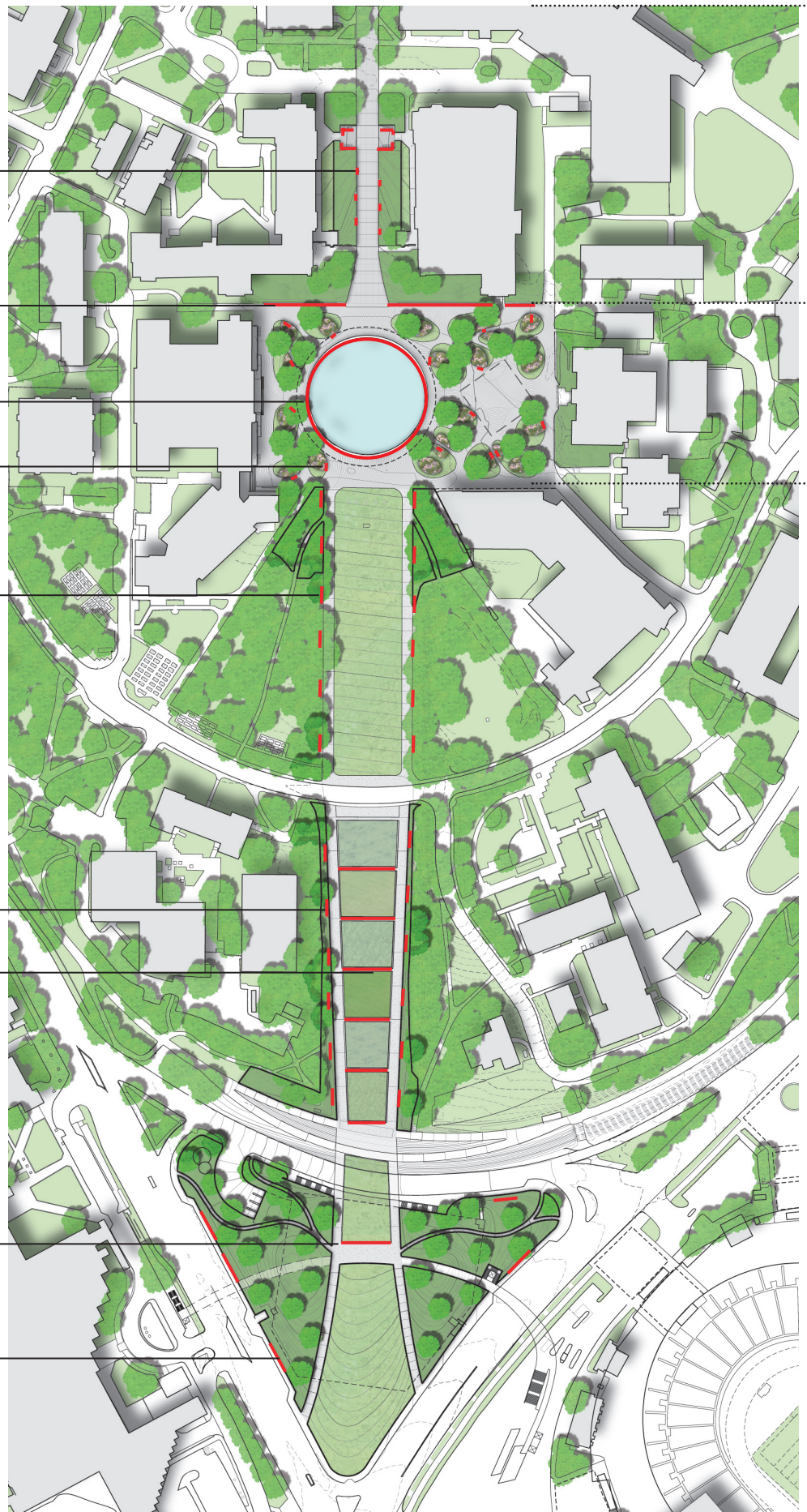
Benches in Vista Edge

Benches in Vista Edge

Stone Benches in  
Water Garden

Stone Bench at Triangle

Benches at Bus Stops



0 200 400 ft

Gray Unit Pavers

Basalt Cobbles

Chip and Seal Asphalt

## Vista Seating & Pavement Plan



### Gray Unit Pavers

The existing paving between the steps leading to Red Square and Drumheller Fountain is badly worn and has required repeated patching. Given the prominence of the Collegiate Gothic architecture framing the Vista in this location, a similarly elegant material expression of the ground plane will reinforce the idea that the space itself is important.



### Basalt Cobbles

The new Sciences Quadrangle will be unified by a landscape that speaks to the scale of the fountain, the rectilinearity of the space, and the passage of many students in multiple directions. Cobbles support the diverse functions of this landscape because they are both non-directional and responsive to curved forms and straight lines. Basalt is a material that is elegant and durable, evocative of local rock formations and suitable to define the experience of one of the University's three major plaza spaces.



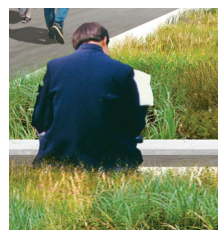
### Chip and Seal

The lower reaches of the Vista will become more extensively used as greater numbers of commuters arrive via the Montlake Triangle. Replacing the gravel with chip and seal asphalt will suit the still casual use of these landscape spaces while making the pathways accessible by wheelchair.



### UW Bench

This classic hardwood bench will be embedded within planted edges throughout the Vista.



### Stone Benches and Seatwalls

Throughout the length of the Vista stone benches and seatwalls provide new places to sit, meet, and enjoy the Vista experience, particularly around Drumheller Fountain, the Sciences Quadrangle, and within the water gardens.







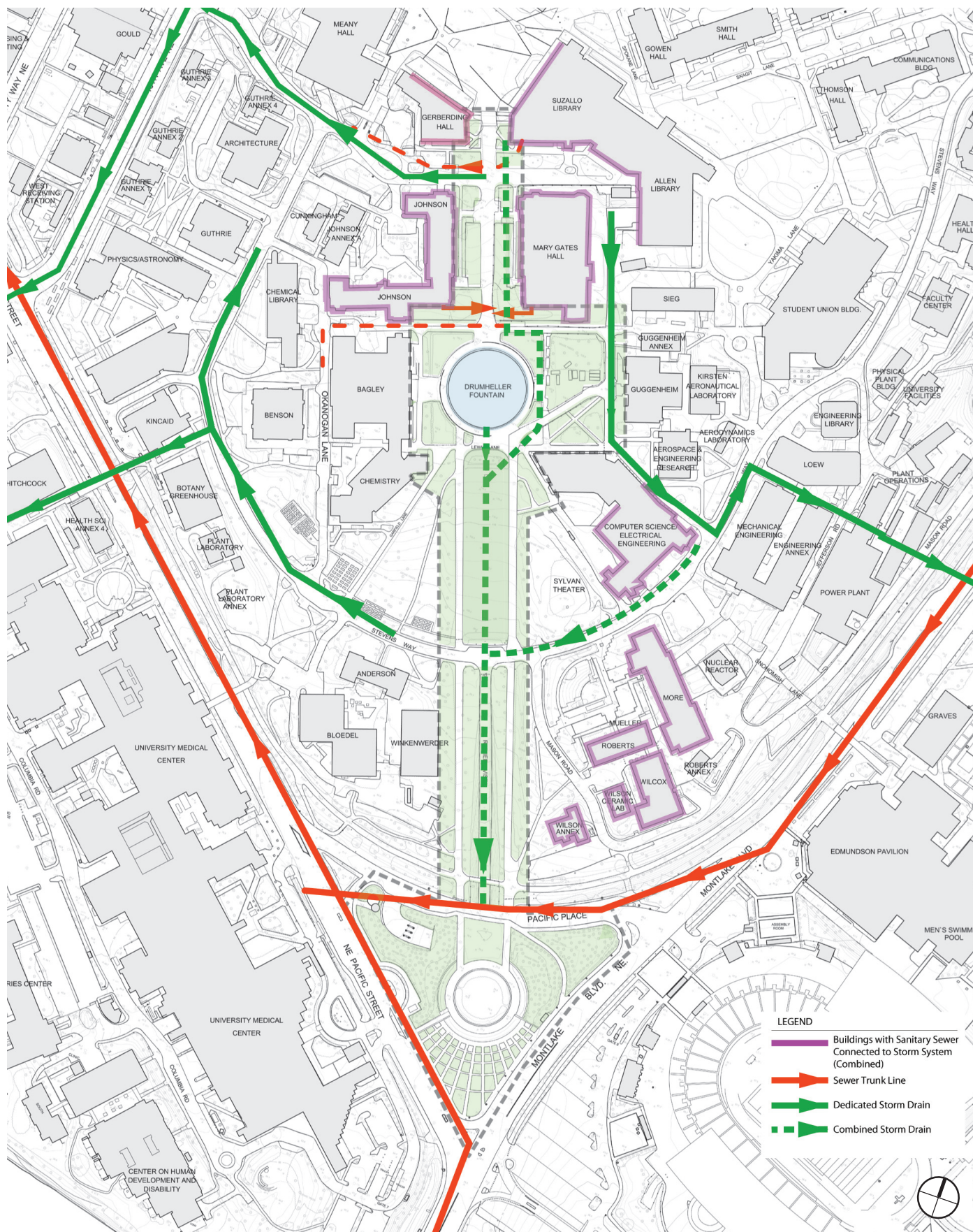


## Vista Infrastructure

When fully realized, the following utility improvements will allow the Rainier Vista to perform as an integrated water collection, storage, treatment, and distribution system while also achieving many longstanding University goals with respect to optimizing and upgrading utilities infrastructure. Through association with these improvements, the expanse of Frosh Pond and the 100 foot jet of Drumheller Fountain will keep their historic significance while also becoming highly visible symbols of the UW's activities as a research institution, its commitment to ongoing self-improvement with respect to sustainability, and its leadership role in the community. Although not so readily visible, additional utility initiatives like the separation of the combined sewer line, a stormwater collection system, and cisterns tied to automated irrigation systems, will also contribute to a space where the health and beauty of the built landscape contribute to the health and beauty of the larger ecological context.

The Vista has always been a place of learning as well as a place of gathering. The sustainability initiatives introduced into the basic infrastructure of the Vista will provide an opportunity to present students with a real-life application of an interdisciplinary approach to the built environment. As a living laboratory for biology, civil engineering, environmental science, forestry and horticulture, the Vista can become an object of further study within the University community.







### Existing Utility Network

The existing campus utility system is a complex and largely invisible network of direct buried utilities and utility piping routed through underground concrete tunnels. The range of utilities that support the functions of the University include water distribution systems, irrigation systems, electrical power services, lighting and backup power, natural gas, central cooling water, steam and condensate, compressed air and sanitary sewer and storm sewer utilities.

#### Power Plant Utility Tunnels

The Power Plant facility is located east of the Rainier Vista and houses the University's main boilers, generators, and fuel oil storage. The Power Plant supplies compressed air, chilled water, and emergency power, through the utility tunnels running across the campus. Utility tunnels related to the Power Plant cross the Rainier Vista at five locations: the entrance to Johnson Hall, the North side of Drumheller Fountain, the South side of Drumheller Fountain, Stevens Way, and the Triangle Parking Garage.

#### Water Distribution System

The University's water distribution system, which is supplied by the City of Seattle, serves each building's domestic service, fire protection, and irrigation demands. The University is currently partnering with Seattle Public Utilities (SPU) to provide conservation measures which reduce the campus water usage. Along the Rainier Vista, there are water main interconnection crossings at Grant Lane, Thurston Lane and Stevens Way. The utility maps show an additional interconnection to the larger water distribution system between Pacific and Stevens Way. Currently, there are no water lines connecting the Drumheller Fountain area to Stevens Way.

#### Storm Drainage and Sewer

A large part of the campus has a separated sewer system and it is a goal of the University to eliminate the remaining areas with combined sewer systems. The Rainier Vista, except for a portion at the north end of the assessment area, between Red Square and Grant Lane, is currently served by a combined sewer system. The pathways, landscaped areas between Grant Lane and Thurston Lane and portions of the roof runoff

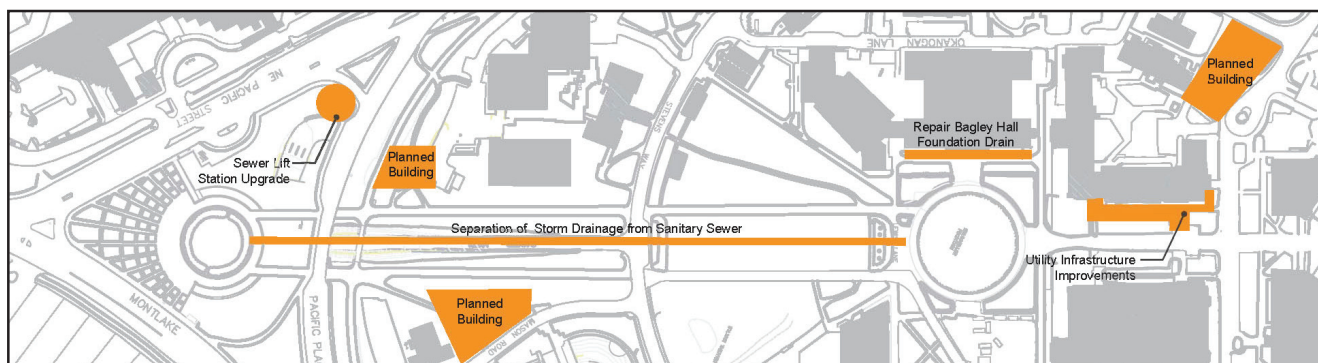
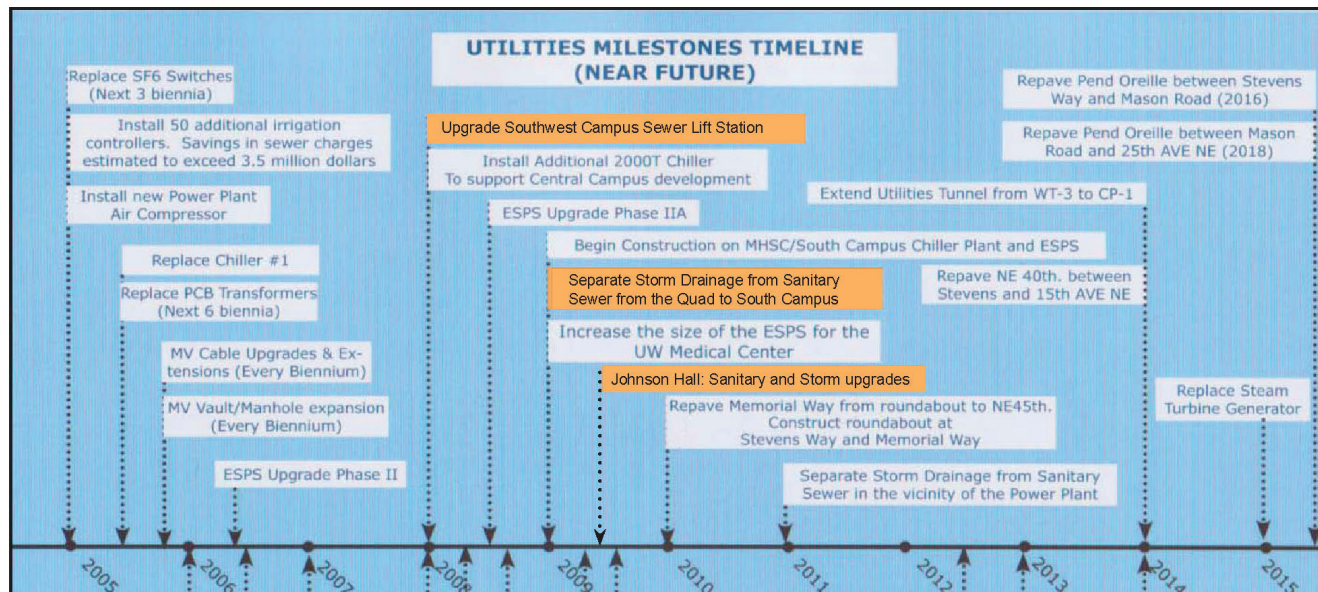
from Mary Gates Hall and Johnson Hall are collected in a combined storm sewer and routed to the 12-inch storm sewer line running down the Rainier Vista corridor where they are "combined" with sewer flows from Johnson Hall and Mary Gates Hall. Additional runoff comes from the paths and landscapes associated with Guggenheim Hall and Bagley Hall. The sewer main also conveys stormwater runoff from the area south of Thurston Lane, the Rainier Vista Lawns, Sylvan Theater, a 300-foot stretch of Stevens Way and Drumheller Fountain. This is a significant area that contributes storm water flows to the 138-inch Metro sewer trunk line at Pacific Place where it is pumped west along Pacific Street.

The sewer trunk line connects to an existing 84-inch combined sewer overflow that runs under the Medical Center and discharges to Portage Bay. The aggregate flows during storm events reduce the sewer pump capacity, thereby reducing the effectiveness of the sewer system. During large storm events, the addition of storm waters to this system creates the potential for the pumping system to be overwhelmed with combined storm water runoff and sewer flows. In these cases, sewer flows would overflow to the 84-inch pipe and discharge directly into the waterway. At the time of this assessment, information regarding the condition of the 12-inch sewer mainline or the frequency of combined sewer overflows to Portage Bay was not available.

#### Irrigation System

Approximately 250 acres of the 643 acre campus is irrigated. Most of the irrigated areas are manually irrigated and not connected to irrigation deduct meters. The absence of deduct metering contributes to additional sewer charges to the University from SPU. Measures for reducing the SPU charges currently being undertaken by University of Washington Facilities Program include introducing more automatic irrigation systems, the installation of deduct meters at areas with irrigation demand, and the installation of network controllers that use historic evaporation and plant transpiration to adjust irrigation supply.





Utilities Projects that May Impact the Rainier Vista

The landscape irrigation systems serving the areas around the Rainier Vista are generally associated with the adjacent buildings. The only area along the Rainier Vista served by an automatic irrigation system is the area between Stevens Way and Pacific Place. The area adjacent to the Drumheller Fountain is irrigated manually. According to the University Maintenance Department, this piecemeal approach to irrigation leaves some areas of the Vista landscape without adequate coverage.

### Drumheller Fountain and Frosh Pond

Drumheller Fountain and Frosh Pond are located near the top of the Rainier Vista. The pond area is approximately 0.7 acres and at approximately 5 feet deep, it has a dead storage volume of approximately 1 million gallons. The additional depth from the seating wall to the current water surface elevation may provide up to an additional 1 million gallons. The fountain is

supplied by water line pumps running from Bagley Hall to the center of Frosh Pond. Water losses from the pond caused by evaporation are made up from the UW water distribution and are supplied by the SPU. The fountain has recently undergone improvements to reduce water losses caused by exfiltration through cracks at the concrete bottom of the pool. Currently Frosh Pond water quality is not monitored or filtered and pond water turn over is accomplished with water supplied from the water distribution system. Discharge from Drumheller Fountain and Frosh Pond is directed to the combined 12-inch sewer running along the Rainier Vista and ultimately discharges into the 138-inch Metro sewer trunk line at Pacific Place. According to the Drumheller Fountain & Frosh Pond Feasibility Study and observations the major contributor to the poor pond water quality is from guano loading.

### Planned Improvements

Independent of the development of the Rainier Vista Concept Plan, a number of utility system improvements are being developed or considered by the UW. Building-related improvements planned along the Vista include utility upgrades for Johnson Hall and the replacement of existing footing drains for Bagley Hall. Larger scale initiatives that will affect the overall utility system, like Sound Transit's Husky Stadium Station or new building projects, like the new Microbiology building, are also likely to be completed within the next decade. We have included the Utility Milestone Timeline for reference.

### Power Plant Future Improvements

There are a number of emergency backup power systems, cooling water, and steam generation improvement projects identified in the Utility Master Plan. As most of these services are routed through the utility tunnels, any Power Plant improvement projects will have little impact to the Rainier Vista. However, the following long term goals may impact the Rainier Vista by adding new tunnels or requiring additional area within the corridor: alternate steam system routes to the west from the Power Plant, a central cooling system chilled water storage tank on South Campus, and cable extensions to the electrical system through South Campus.

### Water Distribution Upgrades

According to the Utility Master Plan, the Power Plant requires a backup water supply system. The backup water supply system needs to be installed to support the flow of water during a SPU water supply system failure. Water would be supplied using a pumping system that draws water from Lake Washington. Other upgrades identified by the Utility Master Plan include a replacement of the water main along Montlake Boulevard and continued partnering with SPU for a water conservation program.

### Irrigation System Upgrades

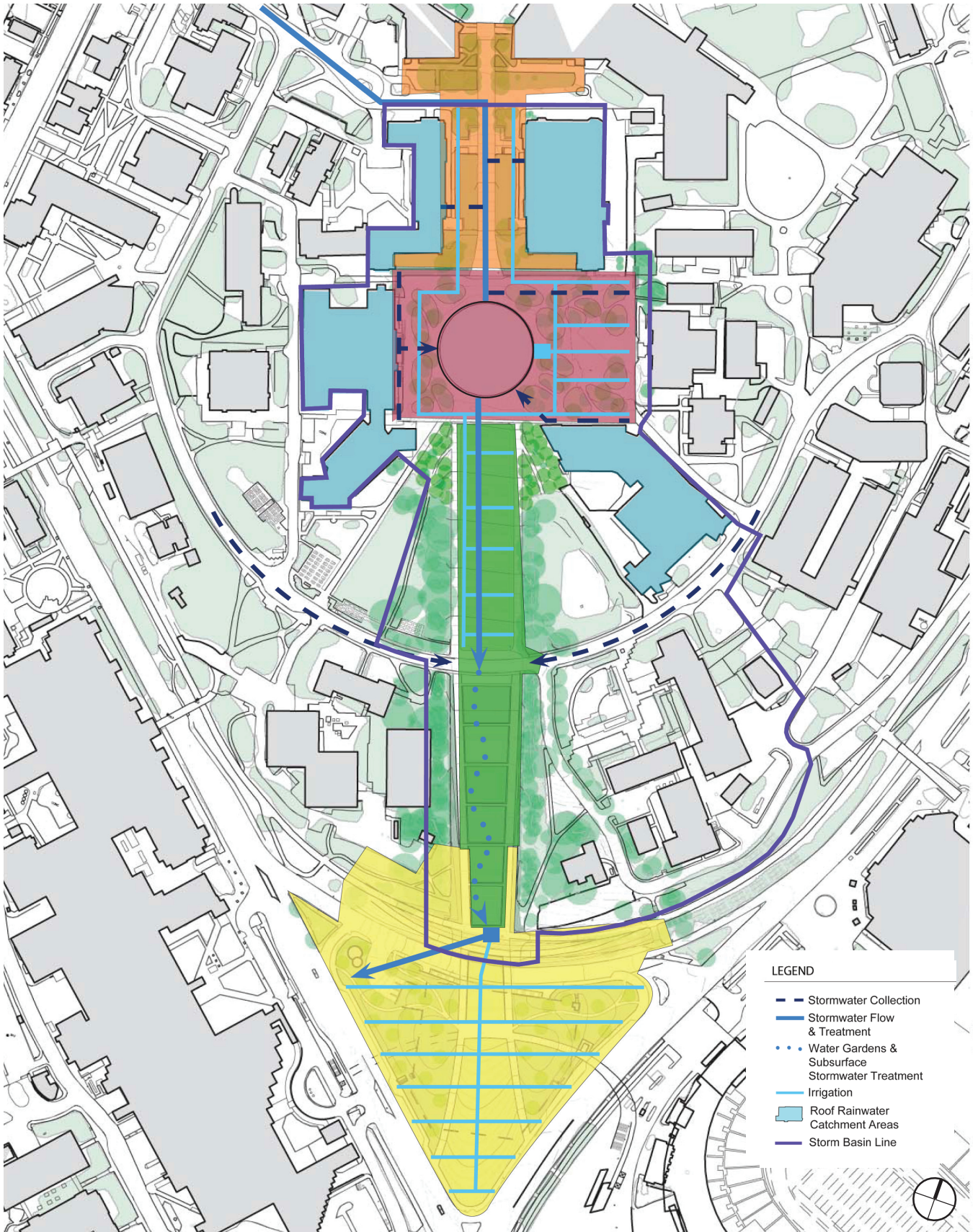
The Rainier Vista is a mix of manual, automatic, and networked irrigation systems. As discussed in the Utility Master Plan, the University is foremost concerned with conserving water. The University of Washington has established a program to install network controllers that use historic evaporation and plant transpiration to adjust irrigation supply. Additional measures for

reducing SPU charges are to install deduct meters at areas with irrigation demand. In particular, the area adjacent to Drumheller Fountain/Frosh Pond, extending down to Stevens Way, requires upgraded irrigation systems.

### Storm and Sewer System Upgrades

A 12-inch combined sewer line runs most of the length of the Rainier Vista. The line directs sewer flows from adjacent buildings to the Metro trunk line at Pacific Place. Additionally, discharge from Drumheller Fountain and stormwater runoff is also directed to this line. The University of Washington has identified this line as deficient and would like to see it separated in accordance with University of Washington and SPU sustainability goals. A proposed storm drain line, from Pacific Place to the Metro 84-inch combined sewer outfall near NE Pacific St., would fulfill the goal of separation of this sewer system. The Utility Master Plan also notes that, due to capacity concerns, the sewer pump station at the west corner of the Montlake Triangle is in need of replacement. Separation of the storm sewer system will alleviate capacity on the existing system.





- LEGEND**
- Stormwater Collection
  - Stormwater Flow & Treatment
  - Water Gardens & Subsurface Stormwater Treatment
  - Irrigation
  - Roof Rainwater Catchment Areas
  - Storm Basin Line

### Rainier Vista Concept Plan Infrastructure Proposals

#### Upper Vista

Utility improvements associated with the Johnson Hall renovation are slated to begin after 2008 and include separation of the sanitary sewer and storm drainage system. These improvements will reduce capacity concerns associated with the pump station located on Pacific Place. Work in this area may also include installation of a dedicated storm drain line routing additional stormwater runoff from Red Square to the cistern constructed as part the Sciences Quadrangle renovation.

#### The Sciences Quadrangle

This project area includes the construction of cisterns within Frosh Pond. The installation of the cisterns serves the purpose of capturing stormwater runoff from the surrounding area and reducing the volume of “active water” within Frosh Pond. Work in this area will include the installation of a subsurface irrigation system for the planted areas of the Sciences Quadrangle. Infrastructure associated with the proposed irrigation system should be installed with dual water distribution and cistern supply capability. Additional investigation will be required to determine if, in the interim, the cistern water can act as emergency backup water supply for the Power Plant.

Currently, there are state regulations that tightly control the collection of rain water. Creating a cistern below the fountain and within the pond, and capturing stormwater runoff, will likely require a Washington State Water Rights Permit. Although there are clear environmental, operational, and economic reasons for pursuing this strategy, the laws are currently written to protect the “waters of the State” from being collected for reuse and the regulatory process may take several years before the cisterns are allowed to be operational and connected to the surrounding irrigation systems.

#### Lower Vista

Work will include construction of a cistern within the incline of the abandoned roadway that currently runs from Stevens Way into the Triangle Parking Garage. A series of water gardens are proposed to be constructed over the cistern. Each zone of the garden is an independent vegetated water quality treatment

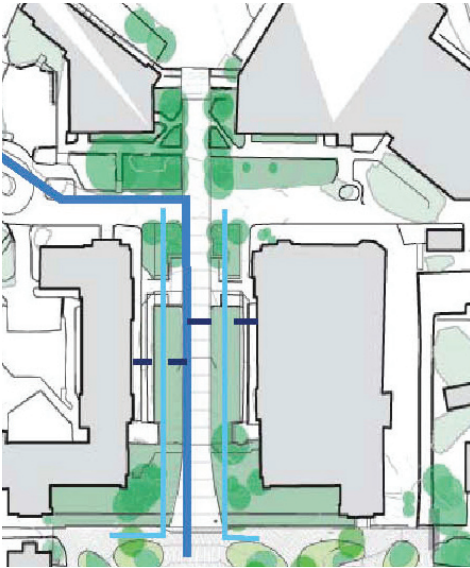
cell, constructed of cast-in-place concrete, which provides filtration treatment as water moves from cell to cell. High flows during storm events will be allowed to cascade through the cells and will overflow to the dedicated storm drain constructed as part of the Montlake Triangle project area.

#### Montlake Triangle

One of the goals identified by the Utility Master Plan is to separate sanitary sewer flows from storm drainage runoff and reestablish natural runoff discharge locations in the south Campus by the end of 2009. Separation of sanitary sewer flows associated with buildings would be completed as part of the other project areas. The ultimate discharge location would be modified from connecting to the 138-inch Metro sewer line, and a new dedicated storm drain line will connect to the 84-inch Metro combined sewer overflow. These improvements will reduce the potential for combined sewer discharges overflow, increase the capacity of the sewer lift station, and reduce the potential for combined sewer discharges to Portage Bay.

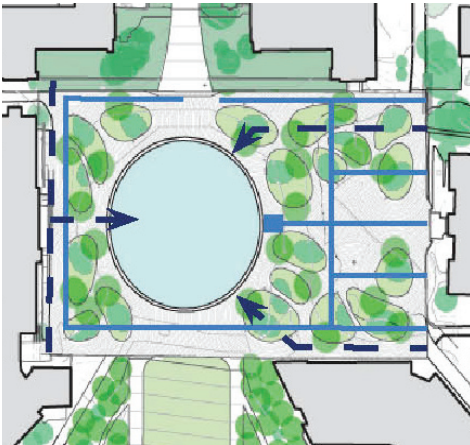
The bulk of other site improvements in this portion of the project are associated with connecting Montlake Triangle with the Sound Transit station and the Medical Center, as well as the associated lowering of Pacific Place. Work in this area will also include the installation of a subsurface irrigation system.





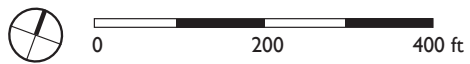
### Upper Vista

- Install walking path stormwater collection
- Install irrigation system with landscape
- Separate storm & sewer flows from Mary Gates and Johnson Hall
- Install new utilities for Johnson Hall
- Potentially revise Red Square drainage system

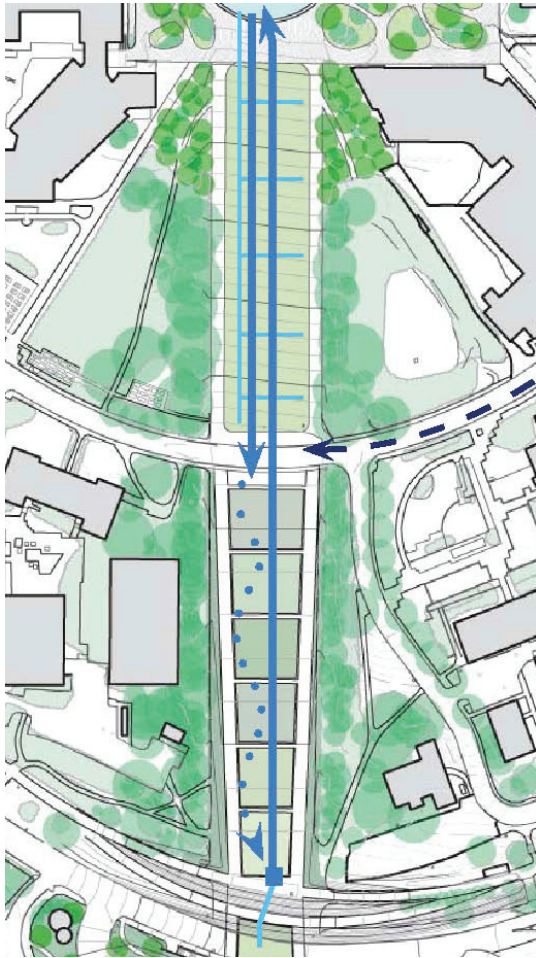


### Sciences Quadrangle

- Install walking path stormwater collection system
- Install cistern, pump, and stubs for future recirculation system
- Repair Bagley Hall foundation drain
- Install irrigation system with conventional feed until water rights permit is accepted



## Infrastructure Summary



### Lower Vista

- Install cisterns & water quality planters
- Install recirculation system & pump.
- Rehabilitate 12" sewer line
- Separate storm & sewer flows from Electrical Engineering building
- Collect Stevens Way stormwater
- Separate storm and sewer flows from Bloedel Hall
- Upgrade utilities associated with buildings at South Campus
- Install irrigation system with landscape



### Montlake Triangle

- Install irrigation system with temporary connection to water system
- Install cutover for storm drains to CSO overflow

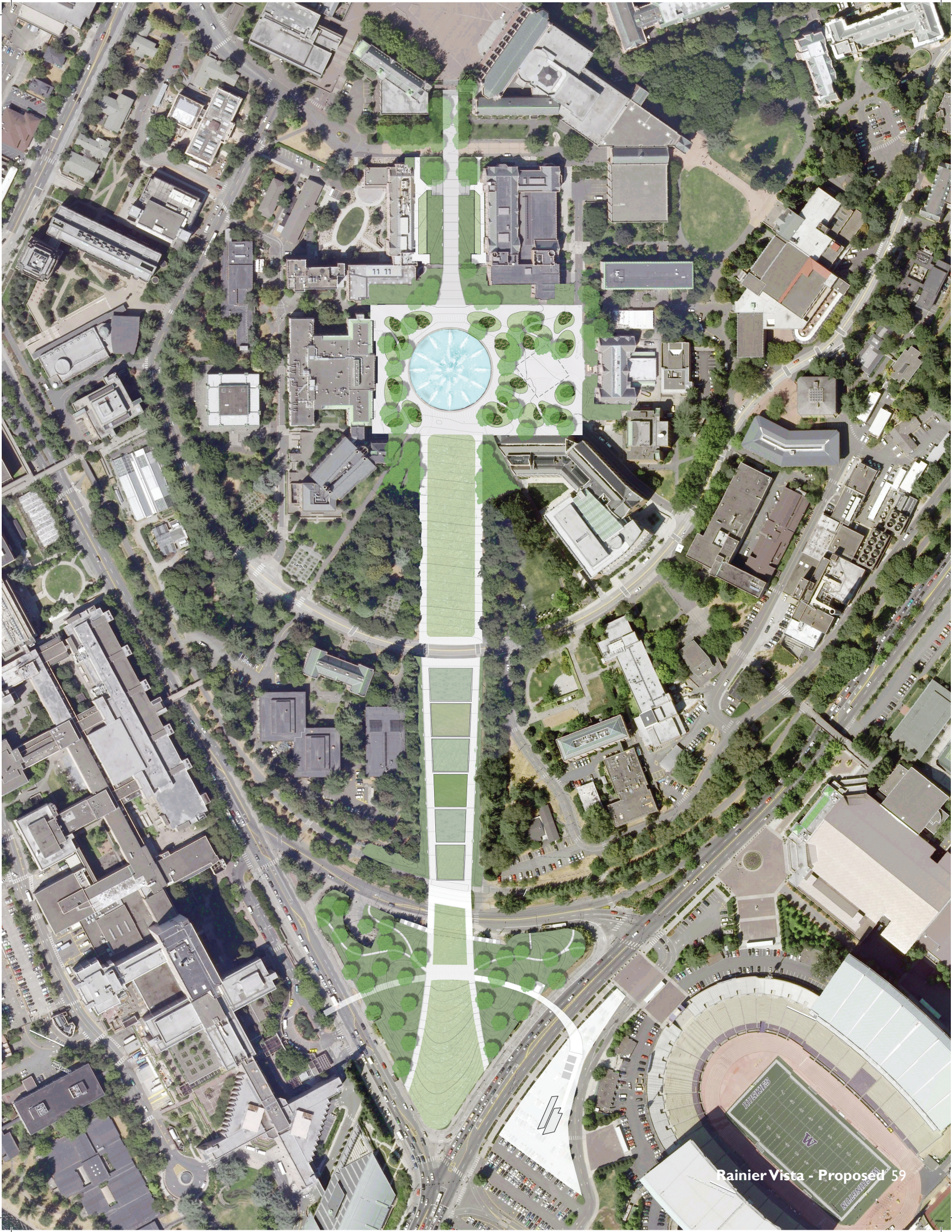






58 Rainier Vista - Existing







## Acknowledgements

### **Rainier Vista Concept Plan Steering Committee**

Lee Copeland  
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Cathy Simon

### **Other Groups that have Reviewed and Commented on the Plan Include:**

UW Office of Development and Alumni Relations  
UW Office of Regional Affairs  
Sound Transit University Station Design Team  
Friends of Seattle Olmsted Parks  
Seattle Design Commission  
SDOT Skybridge Review Committee

## References

### **History**

Gates, Charles M., *The First Century at the University of Washington, 1861-1961*, Seattle: University of Washington Press, 1961.

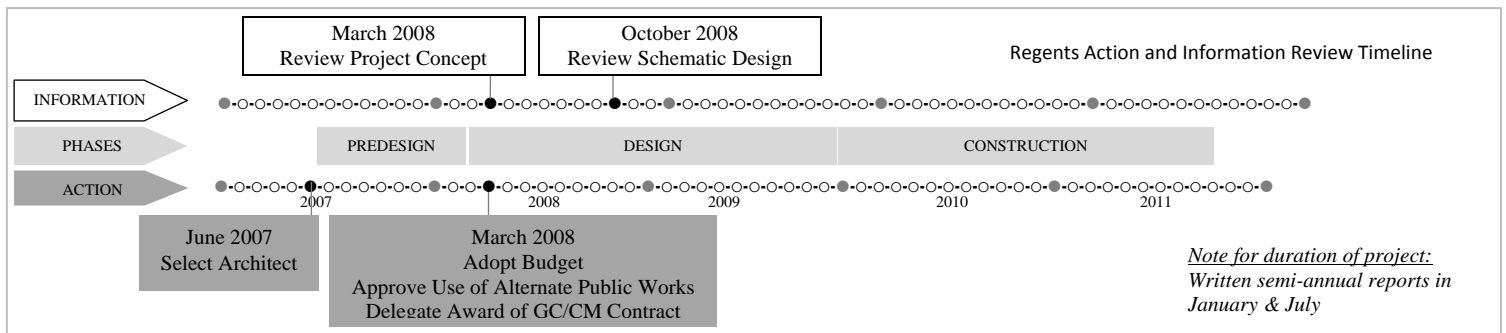
Johnston, Norman J., *The Fountain & the Mountain: The University of Washington Campus 1895-1995*, Woodinville and Seattle: Documentary Book Publishers Corporation, and the University of Washington, 1995.

### **Images**

University of Washington Libraries, Special Collections  
Museum of History and Industry

## VII. STANDING COMMITTEES

## B. Finance, Audit and Facilities Committee

Molecular Engineering Interdisciplinary Academic Building (MEIAB) – Review Schematic DesignINFORMATION:

The Schematic Design phase of the project has been completed. This presentation is for information only.

PROJECT DESCRIPTION:

The Molecular Engineering Interdisciplinary Academic Building (MEIAB) will accommodate growth anticipated in this emerging field. This project will be divided into a Research Lab portion and an Ultra-Sensitive Ground Contact Lab portion, each with support space. Phase 1 is programmed for 49,000 gross square feet (GSF), with an additional 28,000 GSF of shell space for a total of 77,000 GSF. A second phase is anticipated resulting in a total for both phases of approximately 160,000 GSF. The initial phase for design of the building must provide ground contact and basement levels to suit instrumentation labs with ultra-low vibration and electromagnetic interference requirements, as well as above-ground preparatory laboratories and flexible Molecular Engineering research and teaching laboratories. With an emphasis on interdisciplinary research, the new building will facilitate the connection of students, faculty and staff across many disciplines. A primary goal for the building is to create technologically rich supportive spaces that provide a high degree of operational flexibility to allow fast and inexpensive changes to accommodate rapidly changing research needs.

The project will be located on the Johnson Hall Annex site referenced in the Campus Master plan as the 25C site.

## VII.

## STANDING COMMITTEES

### B. Finance, Audit and Facilities Committee

#### Molecular Engineering Interdisciplinary Academic Building (MEIAB) – Review Schematic Design (continued p. 2)

##### PREVIOUS ACTION:

The project was first presented to the Board of Regents in June 2007 and the President was delegated authority was to award design contracts to Zimmer Gunsul Frasca (ZGF) Architects. At the March 2008 meeting, the Project Presentation was made to the Finance, Audit and Facilities Committee and the project budget was established at \$78,500,000; the use of alternative public works utilizing the General Contractor/Construction Manager (GC/CM) method of contracting was approved; and the President was delegated authority to award construction contracts, subject to no significant change in scope, the forecast cost being within 10% of the budget and funding being in place. This pre-construction contract was awarded to Hoffman Construction on August 7, 2008.

##### SCOPE OF THE PROJECT:

The facility will be home for the Institute for Molecular Engineering and Sciences and will provide administrative support for this new group. These administrative spaces, along with the faculty and staff offices, student workstations, and conference/seminar spaces will support the laboratory functions which make up approximately 80% of the programmed area of the facility.

The overall program envisions 160,000 GSF that are divided into two phases: Phase One finished space with approximately 49,000 GSF to be funded through a request to the State for \$62,500,000; Phase One shell space with approximately 28,000 GSF funded through University general revenue bonds for \$16,000,000; and a future Phase Two of approximately 83,000 GSF. Phase One scope includes redevelopment of the 25C site and some infrastructure for the future Phase Two. The Phase One scope will also include the relocation of Cunningham Hall to a site to be determined on campus, and the demolition of the existing Johnson Hall Annex.

The research laboratories provide space for three distinct program directions: new faculty; new initiatives; and shared instrumentation laboratories. These spaces will support faculty research in the areas of bio-chemistry, micro-biology, chemistry and other related fields. The laboratories will be used by faculty and graduate students for collaborative and individual research and are located immediately adjacent to office zones to facilitate interaction and collaboration. The instrumentation laboratory spaces are ground contact open labs to house the vibration-sensitive, specialty equipment that is envisioned as a shared resource for both the building and the University.



## VII. STANDING COMMITTEES

### B. Finance, Audit and Facilities Committee

#### Molecular Engineering Interdisciplinary Academic Building (MEIAB) – Review Schematic Design (continued p. 3)

##### SCHEDULE:

Architect Selection	June 2007
Pre-design	July 2007 to December 2007
Design	April 2008 to December 2009
Award Pre-Construction Contract	August 2008
Construction	December 2009 to October 2011
Occupancy and Use	January 2012

##### CURRENT PROJECT STATUS:

Under Delegated Authority, the Capital Projects Office entered into a Preconstruction Services agreement with Hoffman Construction as the General Contractor/ Construction Manager after a selection process which saw five firms submit qualifications, four firms interviewed, and three firms selected to submit proposals for their fee and General Conditions costs.

The schematic design submittal and cost estimate have been prepared by ZGF Architects, and their estimate reconciled with that prepared by Hoffman Construction. Currently, the cost estimate is approximately 3% over the construction budget of \$51,500,000. Several cost reduction measures are being considered, and it is expected that the project will be brought back on budget with no impact to its function or quality.

##### SIGNIFICANT RISKS OR OPPORTUNITIES:

The State has approved funding of \$5 million for pre-design and design. The remaining balance of the \$62.5 million total in state funds must be appropriated in the 2009 legislative session.

A major opportunity is to provide a signature building expressive of the University's research capabilities at one of the major campus entries.

Further, there is an opportunity to create or improve several outdoor spaces adjacent to one of the main entries to the campus, including a new courtyard formed with Johnson Hall, improvements along Stevens Way, and ultimately, a new circle and site improvements at Grant Lane.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Molecular Engineering Interdisciplinary Academic Building (MEIAB) – Review Schematic Design (continued p. 4)

<b><u>Project Budget</u></b>	<b><u>Total Escalated Cost*</u></b>	<b><u>% of TPC</u></b>
<i>Pre-Schematic Design Services</i>	\$563,630	0.72%
<i>A/E Basic Design Services</i>	\$3,359,000	4.28%
<i>Extra Services</i>	\$1,935,748	2.47%
<i>Other Services</i>	\$1,658,494	2.11%
<i>Design Services Contingency</i>	\$713,524	0.91%
<b><i>Consultant Services</i></b>	<b>\$8,230,396</b>	<b>10.48%</b>
<i>GC/CM Construction Cost</i>	\$51,803,998	65.99%
<i>Other Contracts</i>	\$0	0%
<i>Construction Contingencies</i>	\$6,062,575	7.72%
<i>Sales Tax</i>	\$5,135,255	6.54%
<b><i>Construction</i></b>	<b>\$63,001,828</b>	<b>80.26%</b>
<i>Equipment</i>	\$1,000,790	1.27%
<i>Artwork</i>	\$195,000	0.25%
<i>Other costs</i>	\$2,751,986	3.51%
<i>Project Management</i>	\$3,320,000	4.23%
<b><i>Other</i></b>	<b>\$7,267,776</b>	<b>9.26%</b>
<b><i>Total Project Cost (TPC)*</i></b>	<b>\$78,500,000</b>	<b>100.00%</b>
<b><u>Included in Above:</u></b>		
<i>Escalation through November 2010</i>	\$8,434,672	10.74%
<b><u>Source of Funds</u></b>		
<i>State Funds</i>	\$62,500,000	79.62%
<i>University of Washington Funds</i>	\$16,000,000	20.38%
<b><i>Total</i></b>	<b>\$78,500,000</b>	<b>100.00%</b>

\* Escalated to construction midpoint (Nov'10)

F-22/210-08

10/16/08

**VII. STANDING COMMITTEE****B. Finance, Audit, and Facilities Committee****Capital Projects Office Semi-Annual Status Report**

For information only.

Slides will be presented at the meeting.

**VII. STANDING COMMITTEE****B. Finance, Audit and Facilities Committee****Internal Lending Program Quarterly Report**

For information only.



Average  
Annual Cost  
of Debt  
4.8%

# Internal Lending Program Quarterly Report

Quarter Ended September 30, 2008

Internal  
Lending  
Rate  
5.5%

Virtually all municipal bond issues have been delayed until liquidity returns to the market.

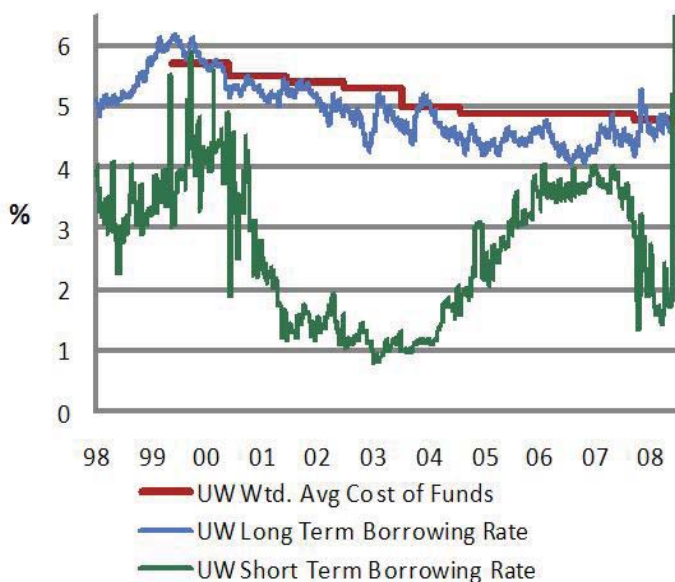
Investor demand for both long and short term municipal debt fell drastically as investors moved funds into federal government securities. Commercial paper market is still suffering from a lack of liquidity. Very little commercial paper is being currently issued.

As insitutional investors retreat to the sidelines, retail investors represent a larger share of the few transactions that are being completed.

Over the last three weeks, both short and long term interest rates have experienced significant volatility. If the UW were able to borrow today, the all-in interest rate would be about 5.5%.

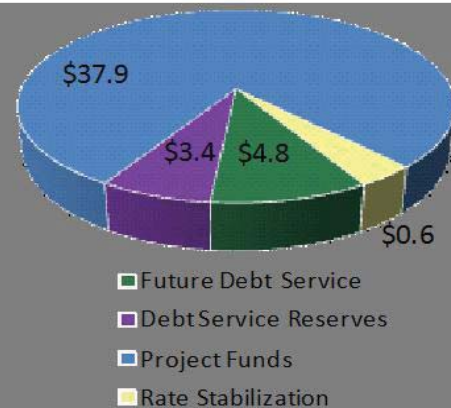
(dollars in millions)

## Interest Rates



## Cash Position

<b>Beginning Balance</b>	<b>\$ 42.9</b>
Internal Payments	12.5
External Debt Service	(7.5)
Expenses	(1.2)
<b>End Balance</b>	<b>\$ 46.7</b>



## External Borrowing

Debt Issued To Fund ILP	Issued FY 09	Total Outstanding	Wtd. Avg. Rate	Wtd. Avg. Maturity (yrs)
Commercial Paper	\$ 7	\$ 10	1.6%	0.2
Variable Rate	0	0	n/a	n/a
Fixed Rate	0	561	4.7%	14.1
<b>TOTAL</b>	<b>\$ 7</b>	<b>\$ 571</b>	<b>4.6%</b>	<b>13.8</b>
Non - ILP Debt	0	392	5.1%	13.1
<b>TOTAL DEBT</b>	<b>\$ 7</b>	<b>\$ 963</b>	<b>4.8%</b>	<b>13.5</b>
NOTE: FY09 Authorized Debt Remaining \$90M of \$100M				

## Internal Lending

	Cumulative to 6/30/2008	FY 09 to date	Total
<b>Approved Funding</b>			
Internal Loans	\$ 242	\$ 16	\$ 258
Cash	100	8	107
<b>Total Project Budget</b>	<b>\$342</b>	<b>\$24</b>	<b>\$365</b>

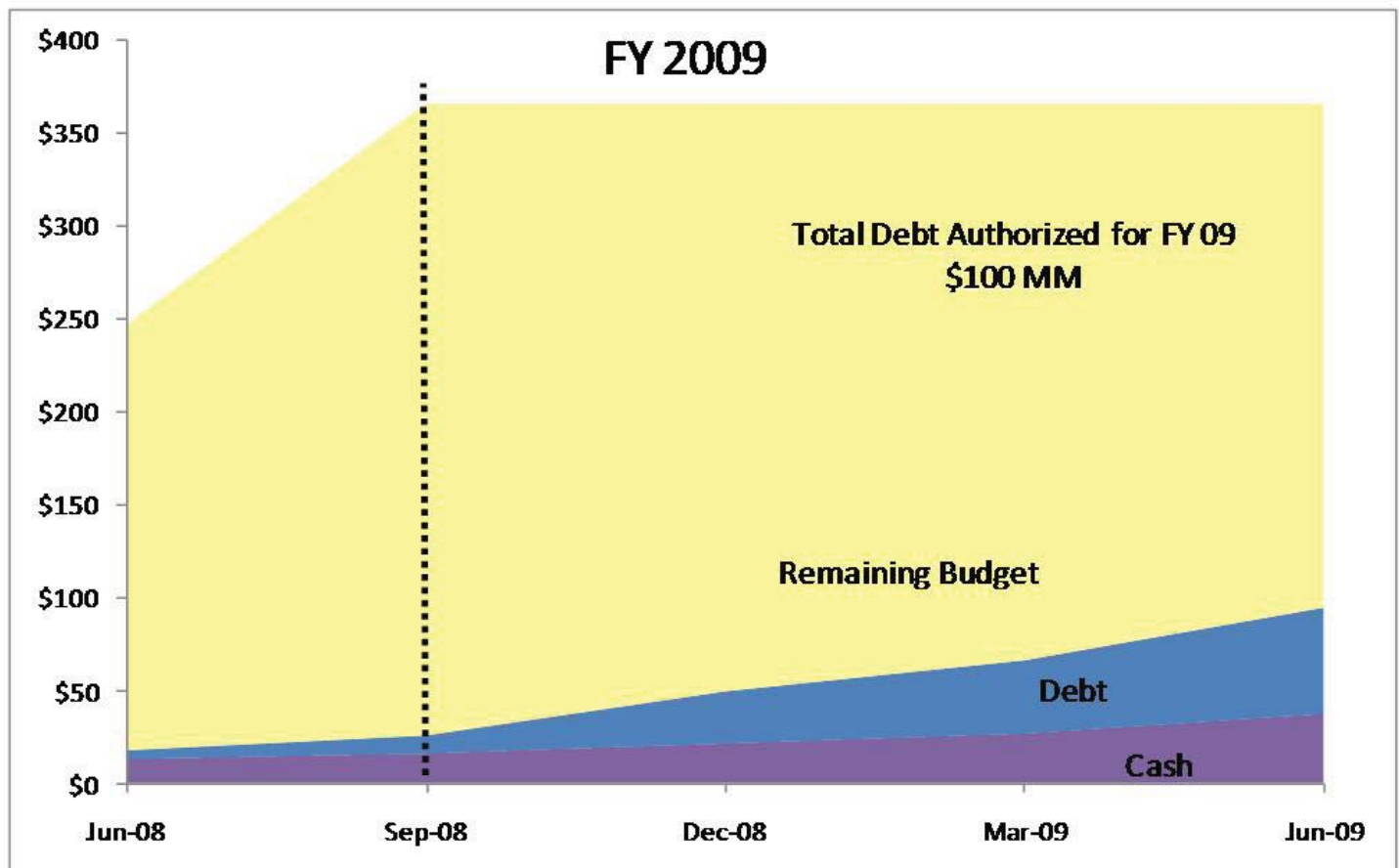
<b>Funded to Date</b>			
Internal Loans	\$ 5	\$ 5	\$ 10
Cash	13	3	17
<b>Total Funded to Date</b>	<b>\$18</b>	<b>\$8</b>	<b>\$27</b>

## Internal Lending Program - Approved Funding

Project Name	Date Authorized	Debt	Cash	Total Project Budget
4545 ESCO	Feb-08 *	4	0	4
West Campus Garage	Oct-07	3	15	18
UWMC Expansion	Feb-08	156	10	166
Pediatric Dentistry	Mar-08	11	6	17
Tower Improvements	Mar-08	13	0	13
AAALAC Projects	Mar-08	25	3	28
PACCAR Business School	Jul-08	30	65	95
J-Wing	Sep-08	16	8	24
<b>Total</b>		<b>258</b>	<b>107</b>	<b>365</b>

\* NOTE: Approved under delegated authority.

## Project Funding By Source



VII. STANDING COMMITTEES

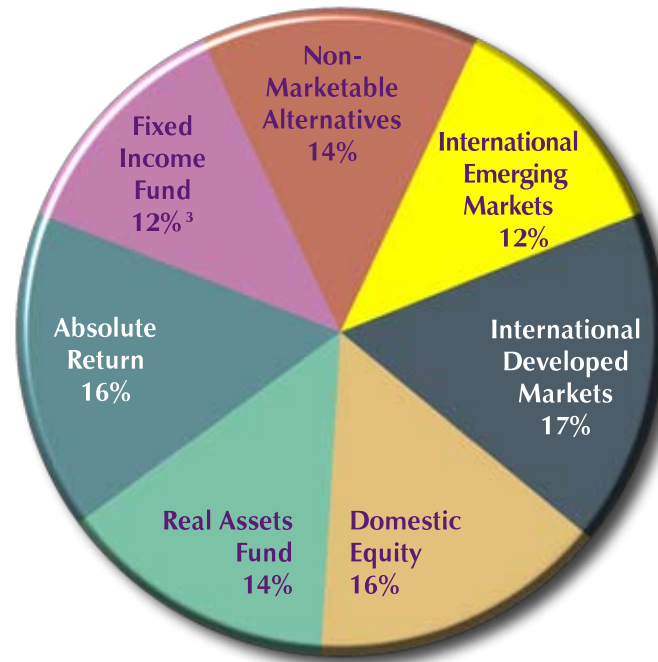
B. Finance, Audit and Facilities Committee

UWINCO Update

See attached information.

# Consolidated Endowment Fund<sup>1</sup> Asset Allocation

\$2,087 Million as of August 31, 2008



Dollars in Millions					
Current Allocation <sup>2</sup>			Policy Target	Policy Range	
Non-Marketable Alternatives	\$293	14%	12%	5%–25%	
International Emerging Markets	\$240	12%	13%	5%–35%	
International Developed Markets	\$354	17%	16%	5%–35%	
Domestic Equity	\$325	16%	15%	5%–35%	
Equity Fund	\$1,213	58%	56%	45%–75%	
Real Assets Fund	\$293	14%	15%	5%–25%	
Absolute Return	\$339	16%	18%	5%–25%	
Fixed Income Fund <sup>3</sup>	\$243	12%	11%	5%–35%	
<b>Total Consolidated Endowment Fund</b>	<b>\$2,087</b>	<b>100%</b>			

1. At 6/30/08 international exposure: 43%, foreign currency exposure: 41%

2. Current exposures may not add to 100% due to rounding

3. Includes allocation to cash



# Consolidated Endowment Fund Performance

August 31, 2008

	<u>1 Year</u>	<u>3 Year</u>	<u>5 Year</u>	<u>10 Year</u>
<b>Total CEF Return</b>	<b>-2.9</b>	<b>10.9</b>	<b>12.9</b>	<b>10.6</b>
Policy Benchmark <sup>1</sup>	-1.1	9.7	11.6	8.9
<b>Equity Fund<sup>2</sup></b>	<b>-5.3</b>	<b>11.5</b>	<b>13.7</b>	<b>11.2</b>
Policy Benchmark	-5.7	10.1	12.2	8.9
<b>Real Assets Fund</b>	<b>6.6</b>	<b>11.8</b>	<b>15.4</b>	<b>NA</b>
Policy Benchmark	14.6	9.3	14.8	NA
<b>Absolute Return</b>	<b>NA</b>	<b>NA</b>	<b>NA</b>	<b>NA</b>
Policy Benchmark	NA	NA	NA	NA
<b>Fixed Income Fund</b>	<b>2.8</b>	<b>4.3</b>	<b>4.7</b>	<b>5.5</b>
Policy Benchmark	8.1	5.0	4.9	5.6

<sup>1</sup> New policy benchmark effective July 1, 2008

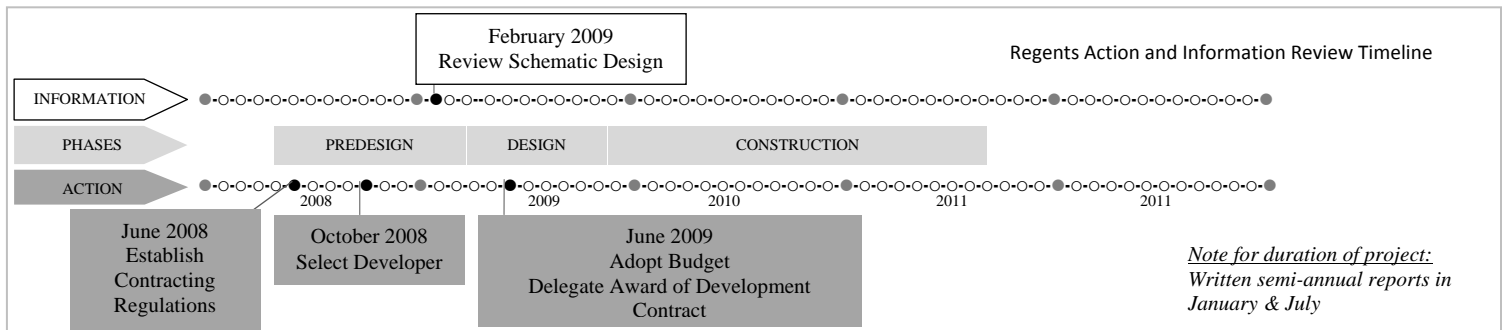
<sup>2</sup> Includes Absolute Return (Marketable Alternatives) prior to July 1, 2008

## VII. STANDING COMMITTEES

## A. Academic &amp; Student Affairs

*In Joint Session With*

## B. Finance, Audit and Facilities Committee

Husky Stadium Renovation – Approval of Pre-Development ContractRECOMMENDED ACTION:

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the President be delegated authority to sign an Agreement for Pre-Development services for the Husky Stadium Renovation and Football Operations Building with the Selected Developer, Hines Interests Limited Partnership, subject to the successful negotiation of the agreement.

In the event of an unsuccessful negotiation with the Selected Developer, it is requested that authority be delegated to open negotiations and sign a pre-development contract with Nitze-Stagen & Co., Inc., the firm recommended as the alternate.

BACKGROUND:

In March 2005, the firm of HOK Sport Architects was awarded a design contract to undertake a comprehensive plan for the East Campus and to define and study specific projects including Husky Stadium. The ICA Master Plan was completed in October 2006. Included in this Plan was a master plan design for the renovation of Husky Stadium to correct deficiencies, meet current Americans with Disabilities Act (ADA) standards for accessibility and to upgrade the fan experience.

The general work elements of the recommended Husky Stadium master plan include: replace the lower seating bowl; remove and relocate the track; lower the

## VII. STANDING COMMITTEES

### B. Finance, Audit and Facilities Committee

#### Husky Stadium Renovation – Approval of Pre-Development Contract (continued p. 2)

football field about eight feet and add additional seating; renovate and update the south stands and concourse including concessions and toilet facilities; renovate the north concourse including concessions and toilet facilities; replace the west end bowl, closer to the football field, with new seating, concourse, concessions and toilets; replace the press box; upgrade site utilities; upgrade stadium services including utilities, support space, sound system, elevators and seismic.

The design team refined the Husky Stadium conceptual design and provided order of magnitude cost estimates for the proposed work. The need to provide an optimal training and learning environment to maximize the student athletes' time as well as assist in recruiting for football was identified and resulted in the recommendation for a Football Operations Support Building. The conceptual design proposed a building of approximately 70,000 gross square feet that should be located either adjacent to or integral with the Stadium. This building would contain weight training, equipment, lockers, video support, meeting and congregating areas, coaches' offices, public spaces and football displays and other football team-related services. The conceptual design project cost estimate, assuming starting construction in December 2009, was \$250 million for the Stadium Renovation and \$50 million for the Football Operations Support Building. The University has proposed funding this project with one half State funding for the renovation, seismic and ADA work, and one half private funding for Stadium upgrades and football building from donations and seat revenues.

During this period, Sound Transit was finalizing the plans for the Husky Stadium Station. This station is to be located immediately west of Husky Stadium, with construction to start in the fall of 2009, take 5.5 years until completion and use up to six acres for the construction lay down area. Recognizing the magnitude of impact this will have with the Stadium construction, as well as the events planned in the Stadium, the Stadium Committee recommended that the University adopt specialized contracting methods to protect the interests of the University. Their recommendation was to engage a team composed of a developer, architect and contractor to deliver the Stadium project in the quickest and most economical manner as well as have all members of the design and construction team available early on to most effectively coordinate with the Sound Transit work.

The President signed an emergency declaration in January 2008 to establish rules for these contracting regulations. A Request for Proposals (RFP) was issued to select a development team with the intent to start construction at the conclusion of the 2008 football season. The 2008 State Legislature did not allocate funding for this work and the emergency declaration was rescinded. The RFP was put on hold in March 2008 pending permanent rules being finalized in July 2008. With

## VII. STANDING COMMITTEES

### B. Finance, Audit and Facilities Committee

#### Husky Stadium Renovation – Approval of Pre-Development Contract (continued p. 3)

adoption of these rules, the RFP was re-started July 18, 2008. On September 4, 2008, two teams submitted proposals: Hines Interests Limited Partnership, Mortenson Construction, HOK Sport Architects and Magnusson Klemencic Associates; and Nitze-Stagen & Co. Inc., Turner Construction and Ellerbe Becket Architects. Both teams were interviewed on October 2, and the recommendation of the Selection Committee is that the team of Hines Interests Limited Partnership be the Selected Developer.

Hines will provide management, leadership, and serve as the developer. Their projects include the University of Minnesota's TCF Bank Stadium, the Padres' Petco Park, and the Houston Rockets' Toyota Center. Mortenson will provide construction services as general contractor and is currently working with the other team members in the University of Minnesota's TCF Bank Stadium. Mortenson has performed more than \$3 billion worth of commercial construction in the Puget Sound area. HOK Sport, which will be the lead designer, is a firm with more than 25 years of experience and 30 NCAA Division football stadiums. Magnusson Klemencic Associates (MKA) will provide structural engineering. MKA has provided engineering services to the University of Washington Athletic department for more than 30 years.

The budgeted amount for the total Pre-Development Agreement is \$3 million. Phase 1, to be completed January 15, 2009 is \$1 million; and Phase 2, to be completed May 15, 2009 is \$2 million. At the completion of Phase 2, the intent will be to negotiate the Development Agreement for the project. Funding for the Pre-Development will be from Intercollegiate Athletics funds.



**University of Washington  
Department of Intercollegiate Athletics**

**Operating Income and Expenses for the Past Three Years**

	<b>6/30/07 Audited Actuals</b>	<b>6/30/08 Projection</b>	<b>6/30/09 Projection</b>
<b><u>Operating Income</u></b>			
Gate Revenues	\$18,144 k	\$23,830 k	\$22,796 k
Contributions (except for facilities)	17,389	11,466	11,697
NCAA and Conference Distributions	8,423	9,677	9,731
Multimedia Rights (radio, scoreboards, local TV etc.)	4,776	5,425	5,384
Other Sponsorships, Donated Advertising and Supplies	3,447	3,555	3,565
State Funded Tuition Waivers	1,704	1,850	1,979
Concessions / Souvenirs / Parking / Boat Moorage	1,105	1,305	1,335
Investment Income	4,550	1,800	3,150
Other Revenue	1,388	837	1,121
<b>Total Operating Revenues</b>	<b>\$60,926 k</b>	<b>\$59,745 k</b>	<b>\$60,758 k</b>
<b><u>Operating Expenses</u></b>			
Salaries / Benefits	\$20,902 k	\$23,515 k	\$24,522 k
Financial Aid	7,262	8,044	8,566
Team and Administrative Travel	4,754	4,385	4,163
Day of Game	3,262	3,750	3,783
Guarantees Paid to Visiting Schools	2,371	3,487	2,111
Donated Advertising and Supplies	2,389	2,470	2,518
Supplies / Equipment	1,805	1,825	1,882
Institutional Overhead / Utilities / Maintenance	3,935	4,365	4,598
Other Expenses	5,413	5,574	5,962
<b>Total Operating Expenses</b>	<b>\$52,093 k</b>	<b>\$57,415 k</b>	<b>\$58,105 k</b>
<b>Net Increase (Decrease) from Operations</b>	<b>\$8,833 k</b>	<b>\$2,330 k</b>	<b>\$2,653 k</b>

\*1

\*1 Detail of other expenses for 2008-2009 on page three.

**University of Washington  
Department of Intercollegiate Athletics**

**Operating Income and Expenses for the Past Three Years**

	<b><u>2008-2009 Projection</u></b>	<b><u>2009-2010 Projection</u></b>	<b><u>2010-2011 Projection</u></b>	<b><u>2011-2012 Projection</u></b>	<b><u>2012-2013 Projection</u></b>
<b><u>Beginning Fund Balance</u></b>	<b>\$16,378 k</b>	<b>\$12,867 k</b>	<b>\$14,069 k</b>	<b>\$11,143 k</b>	<b>\$16,781 k</b>
<b><u>Sources</u></b>					
Net Increase (Decrease) from Operations	\$2,653 k	\$2,040 k	\$412 k	\$9,076 k	(\$422) k
Contributions for facilities (outstanding pledges)	1,200	500	200	100	0
Building for Excellence Fund (capital portion)	2,175	2,200	0	0	0
<b>Total Sources of Funds</b>	<b>\$6,028 k</b>	<b>\$4,740 k</b>	<b>\$612 k</b>	<b>\$9,176 k</b>	<b>(\$422) k</b>
<b><u>Uses</u></b>					
Capital Projects	\$8,400 k	\$2,400 k	\$2,400 k	\$2,400 k	\$2,400 k
Debt Service for Dempsey Indoor	1,139	1,138	1,138	1,138	1,138
<b>Total Uses of Funds</b>	<b>\$9,539 k</b>	<b>\$3,538 k</b>	<b>\$3,538 k</b>	<b>\$3,538 k</b>	<b>\$3,538 k</b>
<b>Net Increase (Decrease) to Fund Balance</b>	<b>(\$3,511) k</b>	<b>\$1,202 k</b>	<b>(\$2,926) k</b>	<b>\$5,638 k</b>	<b>(\$3,960) k</b>
<b><u>Ending Fund Balance</u></b>	<b>\$12,867 k</b>	<b>\$14,069 k</b>	<b>\$11,143 k</b>	<b>\$16,781 k</b>	<b>\$12,821 k</b>
<b><u>Capital Obligations</u></b>					
Principal Balance on Bonds for Dempsey Indoor	\$5,690 k	\$4,655 k	\$3,575 k	\$2,440 k	\$1,250 k
<b>Total Capital Obligations</b>	<b>\$5,690 k</b>	<b>\$4,655 k</b>	<b>\$3,575 k</b>	<b>\$2,440 k</b>	<b>\$1,250 k</b>

**VII. STANDING COMMITTEES****A. Academic and Student Affairs Committee**

*In Joint Session with*

**B. Finance, Audit and Facilities Committee****University of Washington Tacoma Master Plan Update****INFORMATION**

An update to the 2003 UW Tacoma Master Plan has been prepared in response to program changes underway. The campus has transitioned from an upper-division and graduate campus to a full, four-year university. As a result, changes in programs are necessary to accommodate freshmen and sophomores. This includes planning for additions to academic programs, student services, recreation and student housing not included to as great an extent in the 2003 UW Tacoma Master Plan.

Presentations have been made to the City of Tacoma, Planning Commission and City Council. Both the Commission and the City Council have acknowledged receipt and review of the UW Tacoma Master Plan.

**BACKGROUND:**

In 1993, the University of Washington Tacoma's (UWT) first Campus Master Plan was completed and set the initial vision for a new higher education campus located in the Warehouse District of downtown Tacoma. This location positioned UWT to be an active participant in the development of a vital urban district.

Two subsequent major construction phases created the campus' current learning spaces, faculty and staff offices, and university library to support the University's mission to provide upper level degree programs to a population of 2,000 student FTEs. Anticipating steady growth of the campus, the University then completed a 2003 Master Plan that further developed the framework of the campus and corresponding architectural and landscape guidelines.

UWT continues to expand its program and services. In the Fall of 2006, UWT began to enroll freshmen and sophomores with requests from the student body to provide housing. With UWT's transition from an upper division and graduate commuter campus to a four-year full service university with student housing, an update to the 2003 Master Plan was required. This includes an update to the long term plan as well as articulation of the next phases of development specific to meeting the new mission.

## VII. STANDING COMMITTEES

### A. Academic and Student Affairs Committee

*In Joint Session with*

### B. Finance, Audit and Facilities Committee

#### University of Washington Tacoma Master Plan Update (continued p. 2)

The major goals of the 2008 Campus Master Plan Update are to enhance the urban character of the existing campus and provide opportunities to strengthen a sense of community as a four-year, residential institution by providing:

- A central open space (the ‘heart’ of campus) and various smaller green spaces throughout the campus.
- Pedestrian connections up the hill.
- An integration of uses (between residential, student life, and academics) that will accommodate 10,000 FTEs.
  - The full build-out of the campus within the campus boundaries will accommodate 10,000 FTE’s with an average height of 4 stories.
  - Housing facilities will accommodate approximately 12% of the student population.
- A pathway aligned with a view of Mt. Rainier leading into the campus from the corner of 17<sup>th</sup> Street and Tacoma Avenue.
- Market Street remains open through the campus, and 19<sup>th</sup> Street between Market and Fawcett is closed to vehicular traffic.
- Careful consideration of accessibility in north-south walkways and east-west access.
- Opportunities for retail and private development, to include potential partnerships, are also identified.

In conjunction with this master plan, an infrastructure master plan is also being developed with a focus on identifying strategies for centralized or decentralized service, infrastructure updates as the campus grows, and sustainable strategies for energy, carbon, and water. The master plan integrates many of these strategies such as filtering stormwater with rain gardens and developing sustainability guidelines for buildings.

#### **Guiding Principles of the UW Tacoma Campus Master Plan**

To create a plan for a physical campus environment which supports the institution’s mission, vision, values, and future needs, the Campus Master Plan’s guiding principles use the three visionary principles and four core values from the UW Tacoma Strategic Plan as the framework.



## VII. STANDING COMMITTEES

### A. Academic and Student Affairs Committee

*In Joint Session with*

### B. Finance, Audit and Facilities Committee

## University of Washington Tacoma Master Plan Update (continued p. 3)

### Vision

*Within the next ten years, UW Tacoma will become a more comprehensive institution that will respond with distinction to the needs of the region, state, nation and the world. UW Tacoma will achieve distinctiveness as an urban campus of the University of Washington through its commitment to three principles:*

- *Access to an exceptional university education;*
- *An interdisciplinary approach to knowledge and discovery in the 21<sup>st</sup> century;*
- *A strong and mutually supportive relationship between the campus and its surrounding communities.*

*As the campus grows, UW Tacoma will strengthen its learning culture, research, institutional structures, and academic and co-curricular programs necessary to embody these three commitments and to uphold the standards of excellence, shared governance and academic freedom that are hallmarks of the University of Washington. It will also develop and implement assessment plans that serve as measurable benchmarks for institutional progress.*

*The core values of the institution—Excellence, Community, Diversity and Innovation—will shape the specific goals and methods UW Tacoma chooses to address these commitments and build its distinction as a campus.*

### Values

*Our fundamental purpose is to educate students for life as global citizens. UW Tacoma is a distinctive expression of the University of Washington that provides access to an exceptional education for citizens who choose to live and learn in the South Puget Sound region.*

*We recognize that an excellent education connects knowledge across disciplines. At UW Tacoma, excellence is founded on integrity, dedication and collaboration. We believe that learning is a vehicle that advances students toward fulfilling lives and meaningful careers.*

*Our community of learners is strengthened by a diversity of voices. Listening to the UW Tacoma community, we have identified four core values that guide us: **Excellence, Community, Diversity and Innovation**. We share these values and strive to live them.*

## **I. Enhance and Develop the Campus**

### **A. Create a Unique Sense of Place and Identity**

Create an aesthetic quality appropriate to the campus as a whole. In response to enrollment growth, the campus should retain and improve the character of the existing campus, open spaces, and views, while developing new facilities and outdoor spaces to support anticipated future needs for programs, activities, services, and gathering. Identify the elements and character of the

## VII. STANDING COMMITTEES

### A. Academic and Student Affairs Committee

*In Joint Session with*

### B. Finance, Audit and Facilities Committee

#### University of Washington Tacoma Master Plan Update (continued p. 4)

existing buildings that should be expressed throughout campus as it grows. Design beautiful buildings and landscapes that consider and embody state of the art campus and urban planning as well as architectural best practices in an historic, multi-use district.

### **B. Develop Quality Facilities**

Provide facilities that inspire, function well, and are flexible and efficient. Develop facilities, outdoor spaces, and infrastructure that are flexible, adaptable, enduring and responsive to technological advancements

### **C. Respect Its Stature**

Honor the stature of the University of Washington and the historic structure of downtown Tacoma through a harmonious marriage of environmental design, planning, spaces, and form with the surrounding community.

### **D. Ensure Stewardship**

Ensure stewardship of the existing campus, maintaining and protecting the value of UW Tacoma's physical resources, character, history, and open space. Changes to the campus should improve and enhance the value and quality of the campus, encouraging preservation of historic resources while allowing for the development of new forms and styles of building ultimately creating a rich, multi-faceted, inspiring learning environment.

### **E. Cultivate Funding**

The development of a compelling, comprehensive, well-conceived, inspiring plan is essential to the cultivation of funding. Support communication of current needs, as well as long term plans tied to student enrollments and projections and regional economic and workforce benefits to stakeholders and funding decision-makers. Explore opportunities for public-private partnerships particularly for buildings and spaces that lend themselves to such ventures such as student union, performing arts, library, or sports and recreation facilities. Recognize that a growing campus presents many opportunities for the naming of buildings, interior features, objects, spaces, or outdoor areas in recognition of individuals or organizations who are the University's benefactors.

## VII. STANDING COMMITTEES

### A. Academic and Student Affairs Committee

*In Joint Session with*

### B. Finance, Audit and Facilities Committee

## University of Washington Tacoma Master Plan Update (continued p. 5)

### **F. Communicate Resources**

Provide a variety of communication tools and methods (maps, wayfinding, signage) to inform, orient and direct diverse users of campus resources as well as the casual visitor.

### **G. Respect and Conserve the Environment**

Value the environment and strive to promote sustainability through the conservation of existing buildings, adaptive reuse and design of new resource-efficient structures, and the operations and practices implemented throughout the campus. Strive for natural light in buildings. Become a model and learning laboratory of sustainability.

### **H. Include Public Art and Sculpture**

Provide sources of inspiration for students, faculty, staff and the community.

## **II. Provide Access to an Exceptional University Education**

### **A. Create a Model Environment of Innovation**

Develop a learning laboratory of sustainability; represent an outstanding example of conserving historic buildings and adapting them to contemporary use. Provide new buildings to facilitate and support innovations in learning, research and service to the community. Innovation can be realized through an environment which is flexible, economically open to change, and responsive to new directions in learning and the nature of new disciplines.

### **B. Develop Interactive Learning Spaces**

Provide collaborative, flexible, multi-functional classrooms and other learning spaces of varying capacities capable of supporting a variety of pedagogical approaches to teaching and learning.

### **C. Provide Accessibility**

Ensure access to and within the campus, maximize appropriate vehicular travel, emphasize universal access pedestrian routes, and promote the design of environments usable by all people to the greatest extent possible.

## VII. STANDING COMMITTEES

### A. Academic and Student Affairs Committee

*In Joint Session with*

### B. Finance, Audit and Facilities Committee

University of Washington Tacoma Master Plan Update (continued p. 6)

#### **D. Promote Safety**

Create a safe and healthy environment, with personal and workplace safety considerations integral to planning and design of circulation elements, buildings, and open spaces. Employ design elements that support emergency response/preparedness activities; provide service access that does not conflict with pedestrian traffic.

#### **E. Encourage Efficiency**

Encourage efficiency and economy in campus operations, with advantageous locations for facilities and adjacencies of uses.

#### **F. Maximize Flexibility**

Provide the maximum amount of flexibility in order to best accommodate future growth and be able to respond to and take advantage of unforeseen opportunities.

#### **G. Anticipate Trends in Technology**

Develop the campus that over time will remain at the cutting edge. Incorporate infrastructure that is flexible and adaptable.

## **III. Connect Knowledge Across Disciplines**

### **A. Activate the Campus**

Support the transition to a full-service, 4-year university, with the development of a 24-7 campus environment inclusive of student housing, student services, activities, recreation, and culture. Ensure spaces that promote active and passive activities which are safe.

### **B. Create a Live/Learn Community**

Create a live/learn community through the integration of academics with student life, housing, recreation, and culture. Support learning, sharing, and discovery that occur within and beyond the classroom. Prepare students for participation in a global economy.



## VII. STANDING COMMITTEES

### A. Academic and Student Affairs Committee

*In Joint Session with*

### B. Finance, Audit and Facilities Committee

University of Washington Tacoma Master Plan Update (continued p. 7)

### **C. Provide Adjacencies that Promote Collaboration and Interaction**

Maximize opportunities for interaction between students, faculty, staff, and the neighboring community. Create a level of density and proximity of disciplines that overlaps and blurs boundaries.

## **IV. Create Bonds with the Community**

### **A. Value the Community**

Recognize the importance of the surrounding communities, and strive to achieve synergistic working relationships with these communities. Promote community access and participation that is diverse to improve the quality of life and public benefits for all.

### **B. Develop Community Interaction**

Encourage and support the development of student, faculty and staff housing in the adjacent community. Subscribe to work-study relationships and possible development of adjacent business incubator space. Provide learning opportunities, cultural activities, and recreational activities on campus that are accessible to the community. Support appropriate commercial activity. Encourage collaborative ventures with the community through public-private partnerships as one option for the funding of facilities.

### **C. Support Public Circulation through the Campus**

Support the pedestrian, bike and wheelchair access along and through the railroad right-of-way. Continue to support improvements to public transportation and maintain porous campus boundaries.

## **V. Support Diversity**

### **A. Grow a Diverse Student, Faculty and Staff Community**

The UW student body includes a significantly diverse community, representative of different ethnic groups, cultures, ages, and family structures. Provide a wide variety of services to support the needs of these students.

### **B. Celebrate and Enhance Diversity**

Identify locations for activities, exhibits, memorials, art, and other cultural activities representing a diversity in form and tradition. The campus should

## VII. STANDING COMMITTEES

### A. Academic and Student Affairs Committee

*In Joint Session with*

### B. Finance, Audit and Facilities Committee

#### University of Washington Tacoma Master Plan Update (continued p. 8)

express the nature of a dynamic institution of higher learning serving a diverse student body in an urban environment.

#### UW TACOMA SEPA REVIEW:

The University published a Draft Supplemental Environmental Impact Statement on December 12, 1994 and a Final Environmental Impact Statement was issued on April 28, 1995.

The current version of the Tacoma Master Plan will be reviewed and analyzed to determine if the University can rely on the existing environmental documentation or provide supplemental environmental information and analysis.





# **University of Washington Tacoma**

**Campus Master Plan Update**  
October 2008



Sustainability



Urban Campus



Flexibility





# UW Tacoma Master Plan Update

- The Master Plan Update was developed based upon the feedback we have received from information gathering sessions with the campus and neighboring community
- The major goals of the Master Plan Update are to enhance the urban character of the existing campus and provide opportunities to strengthen a sense of community as a four year residential institution by providing:
  - A central open space (a 'heart' of campus) and various smaller green spaces throughout the campus
  - Pedestrian connections up the hill
  - An integration of uses (between residential, student life, and academics) that will accommodate 10,000 – 12,000 FTEs
    - The full build-out of the campus will accommodate 10,000 FTEs with an average height of 4 stories
    - The housing facilities will accommodate approximately 12% of the student population
- A pathway aligned with a view of Mt. Rainier leads into the campus from the corner of 17th St. and Tacoma Ave.
- Market Street remains open through the campus, and 19th Street between Market and Fawcett is closed to vehicular traffic.
- Careful consideration for accessibility will be included in north-south walkways and east-west access through buildings by elevator.
- Opportunities for retail and private development are also identified.
- The Infrastructure Master Plan will inform opportunities to promote sustainability on the campus such as collecting and filtering stormwater with rain gardens.

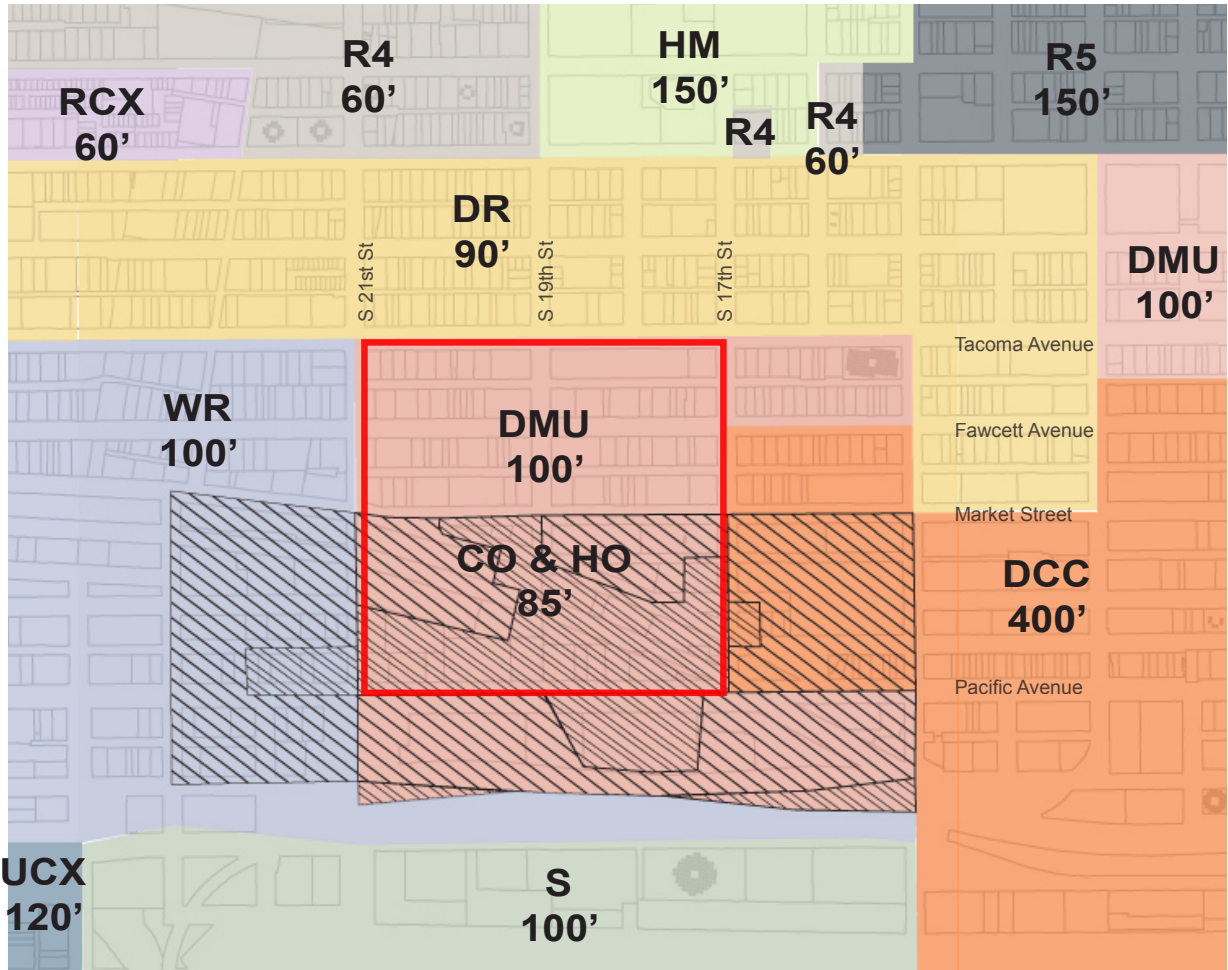




City of Tacoma Context



Zoning



Legend		Conservation Overlay	Historic Overlay
DCC (Downtown Commercial Core)	R4 (Multiple Family)		
DMU (Downtown Mixed Use)	R5 (Multiple Family)		
DR (Downtown Residential)	S (Combined Shoreline District)		
HM (Hospital/Medical)	WR (Warehouse Residential)		
RCX (Residential Commercial Mixed Use)	UCX (Urban Center Mixed Use)		



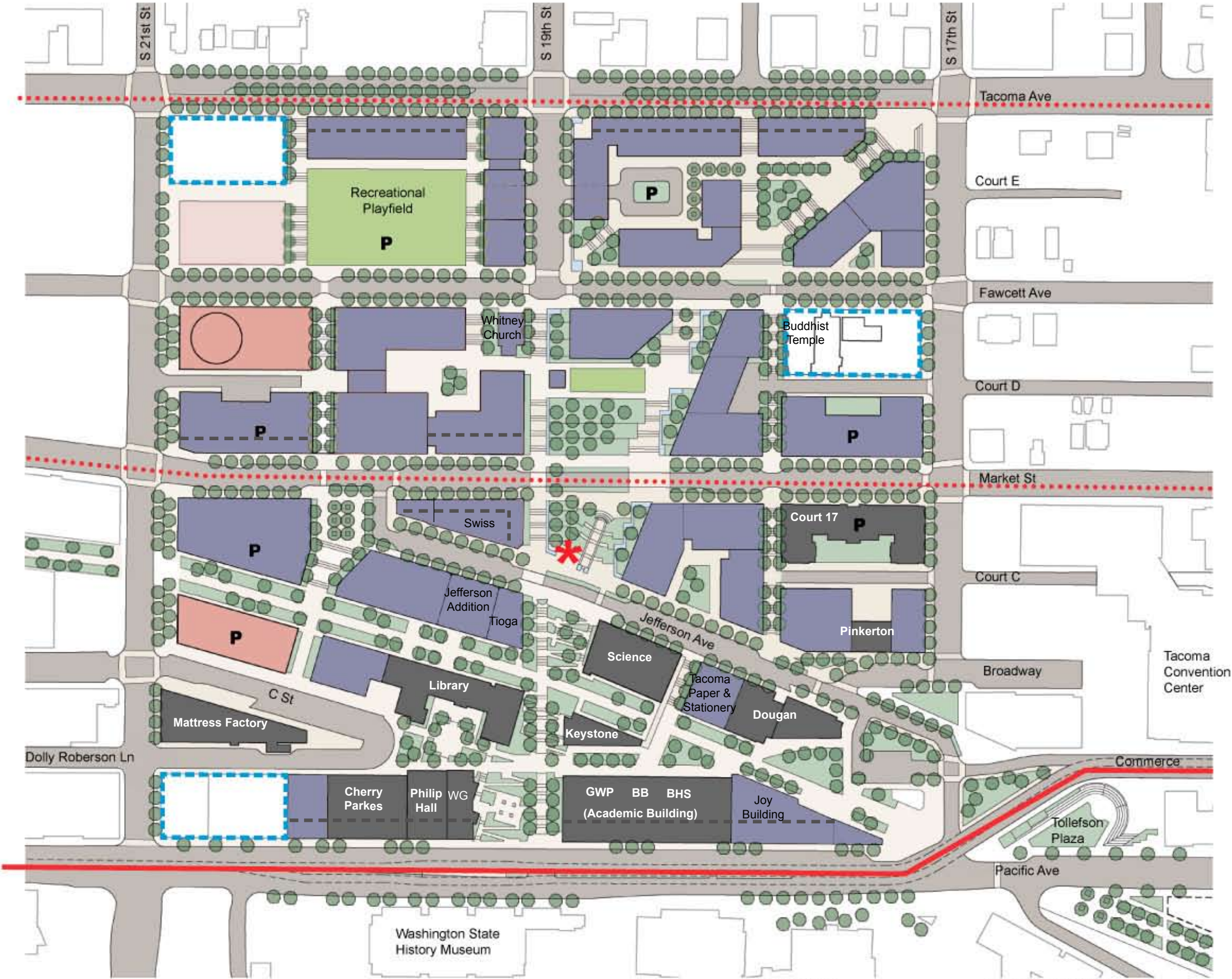
Academic, Student Life & Support

	Existing + Phase 3	5,000 FTEs	10,000 FTEs
Forecast Enrollment			
Total Headcount	2,653	6,320	12,300
<b>Total FTEs</b>	<b>2,173</b>	<b>5,138</b>	<b>10,000</b>
Undergraduates	1,817	4,213	8,200
Graduates	356	925	1,800
Square Foot Estimates by Space Type	ASF	ASF	ASF
Academic	97,200	189,400	371,100
Faculty & Staff Office	102,400	120,600	234,600
Library/Study	25,700	62,200	118,400
Athletics/Special	10,100	72,600	140,300
General Use	18,600	74,300	150,100
Health	200	4,100	8,000
Facilities Management	8,800	26,700	50,800
Unassigned	5,000		
<b>Total Square Footage Estimate (ASF)</b>	<b>268,000</b>	<b>549,900</b>	<b>1,073,300</b>
<b>Total Square Foot Estimate (GSF)</b>	<b>482,200</b>	<b>894,300</b>	<b>1,698,200</b>

Residential Program

Number of students living on-campus (12.5% of total Undergraduate Headcount)	648	1,261
<b>Total Residential ASF</b>	<b>191,000</b>	<b>372,000</b>
Building Efficiency	0.7	0.7
<b>Total Residential GSF</b>	<b>273,000</b>	<b>531,000</b>

# Master Plan - 10,000 FTEs



## Legend

### Academics & Services

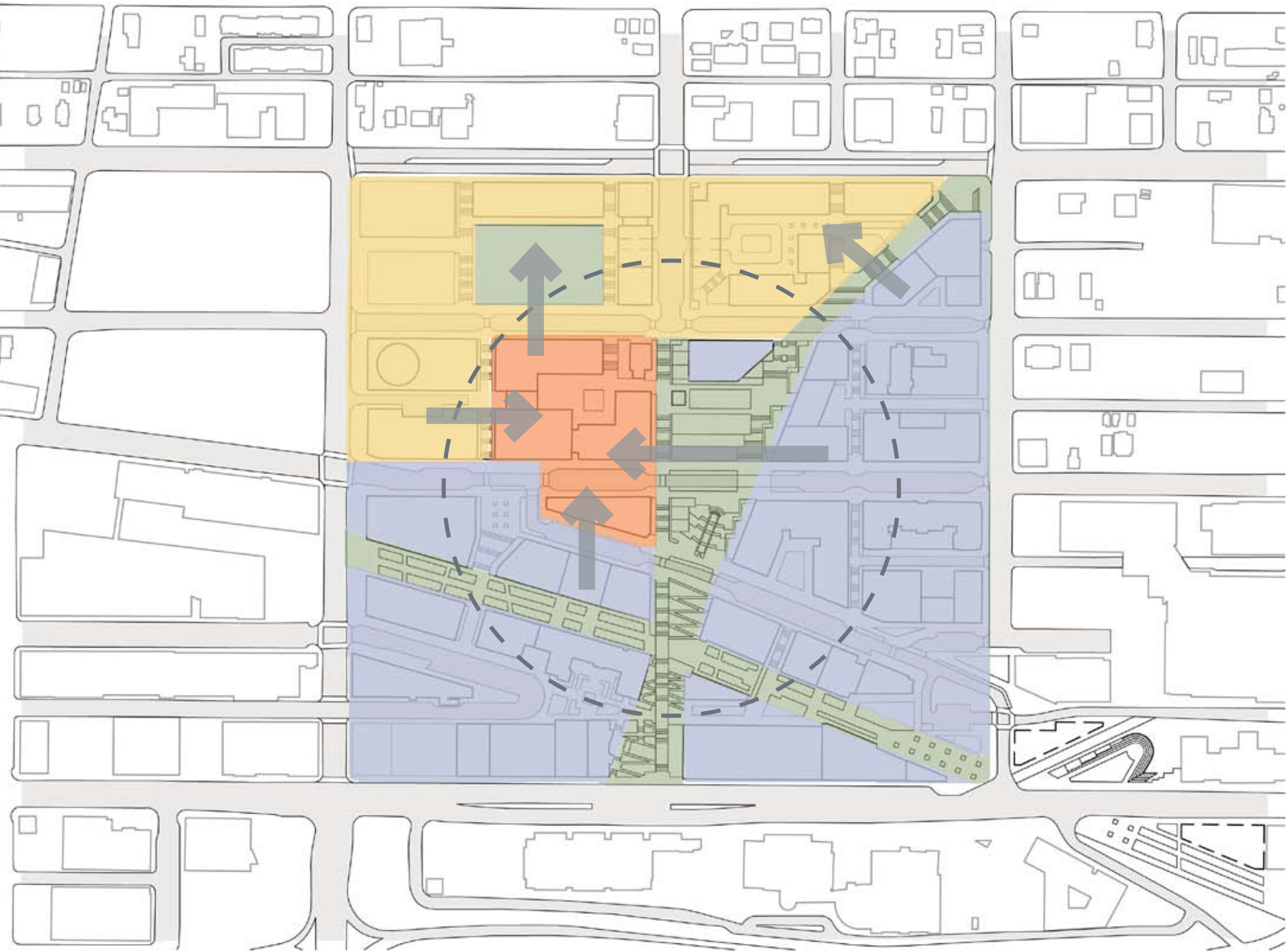
- Existing UW Tacoma
- Future UW Tacoma
- Facilities - Warehouse/CP
- Facilities - Grounds Storage
- Mixed Use
- Japanese Language School Memorial Garden

### Transportation

- Existing Public Transit
- Potential Public Transit
- Potential Parking (underground)
- Retail



# Master Plan - Land Use

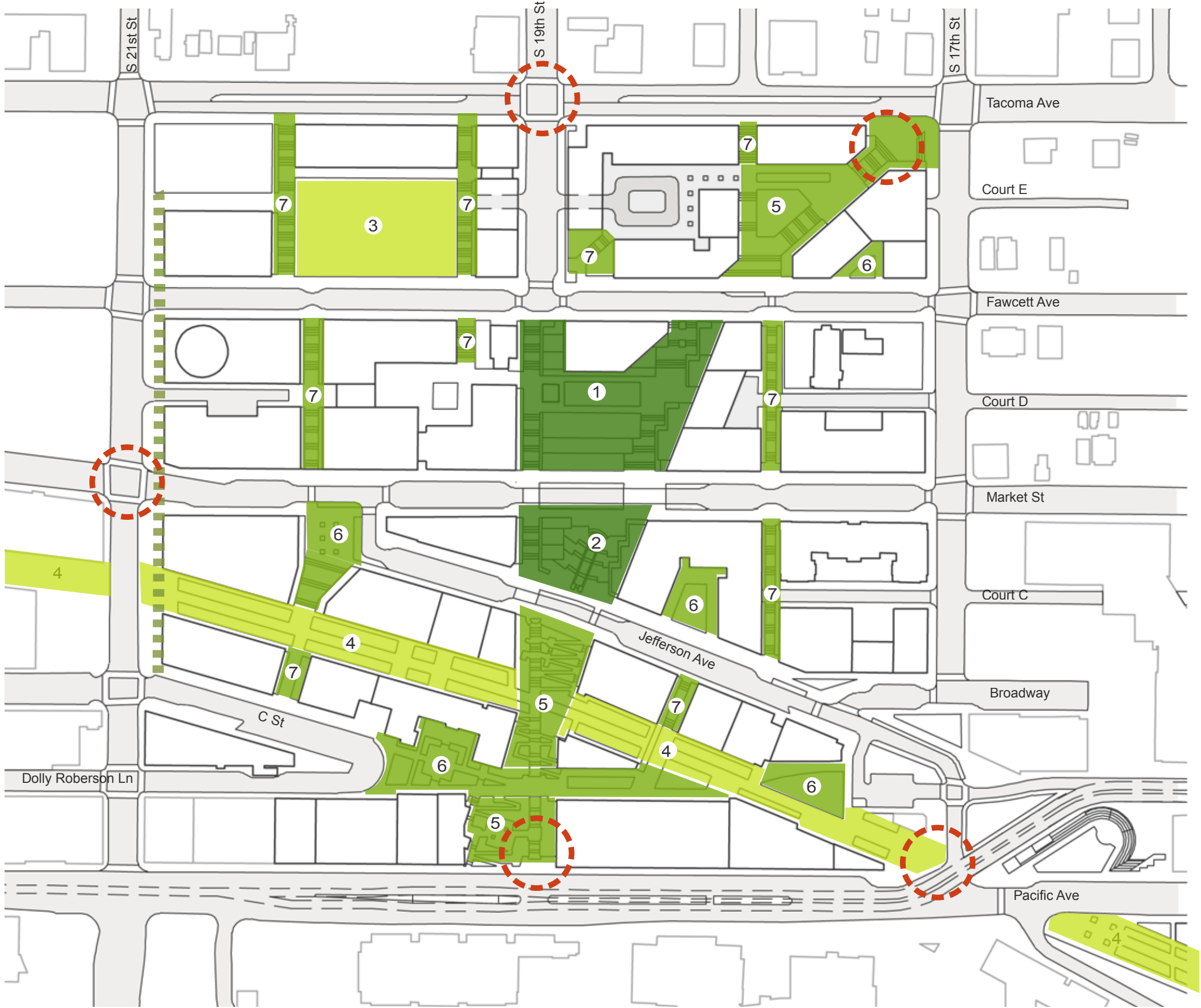


## Legend

- Academic
- Community/Student Life
- Housing
- Open Space
- Campus Core
- Opportunity for Mixed Use



# Campus Landscape Diagram

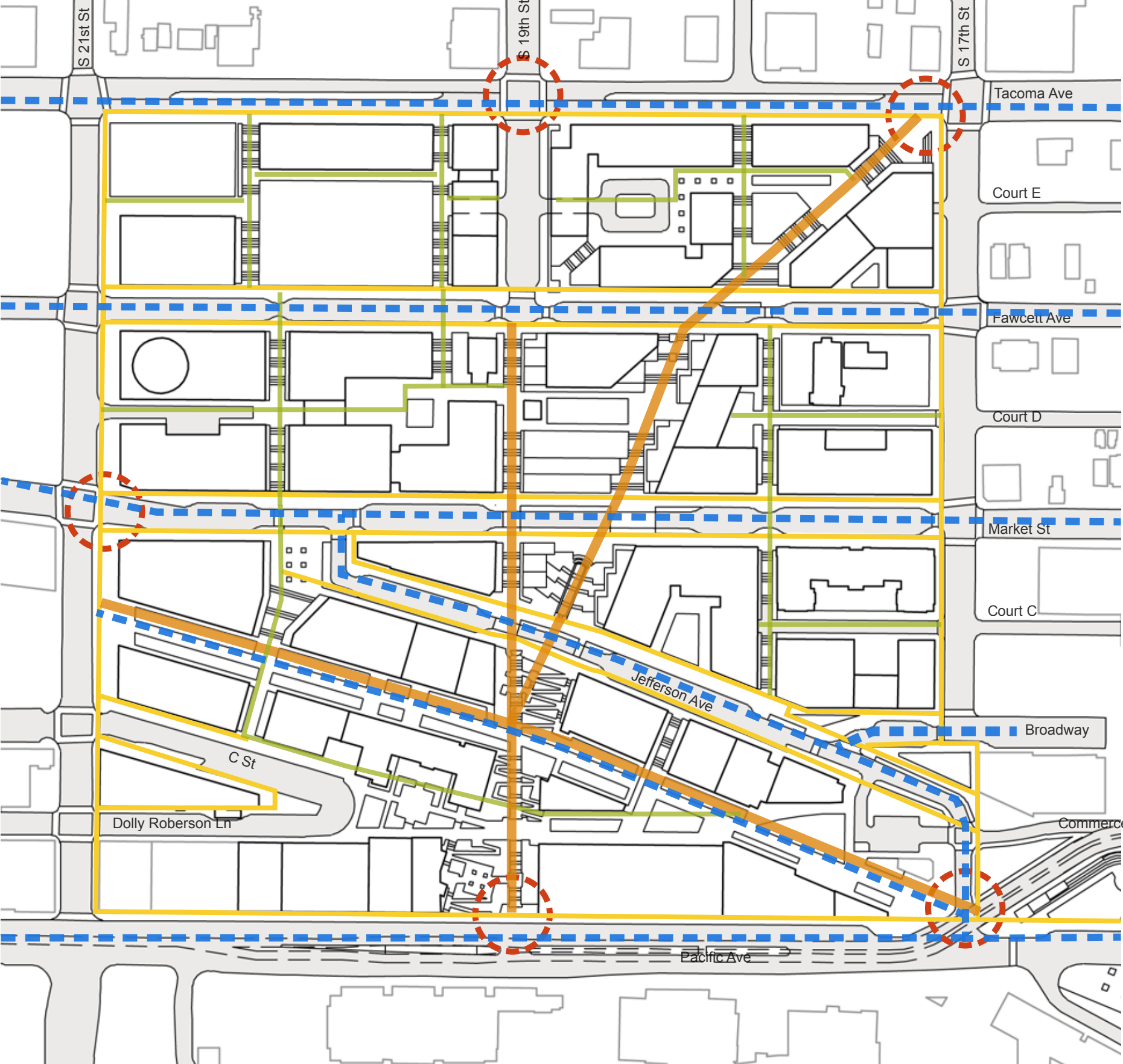


## Legend






- 1 University Terrace
- 2 Japanese Language School Memorial Garden
- 3 Recreational Playfield
- 4 Railroad Right-of-Way Pedestrian/Bike Trail
- 5 Hillclimb
- 6 Plaza
- 7 Passage
- Setback
- Streetscape/ Courtscape
- Gateway



# Pedestrian and Bicycle Circulation Diagram



## Legend

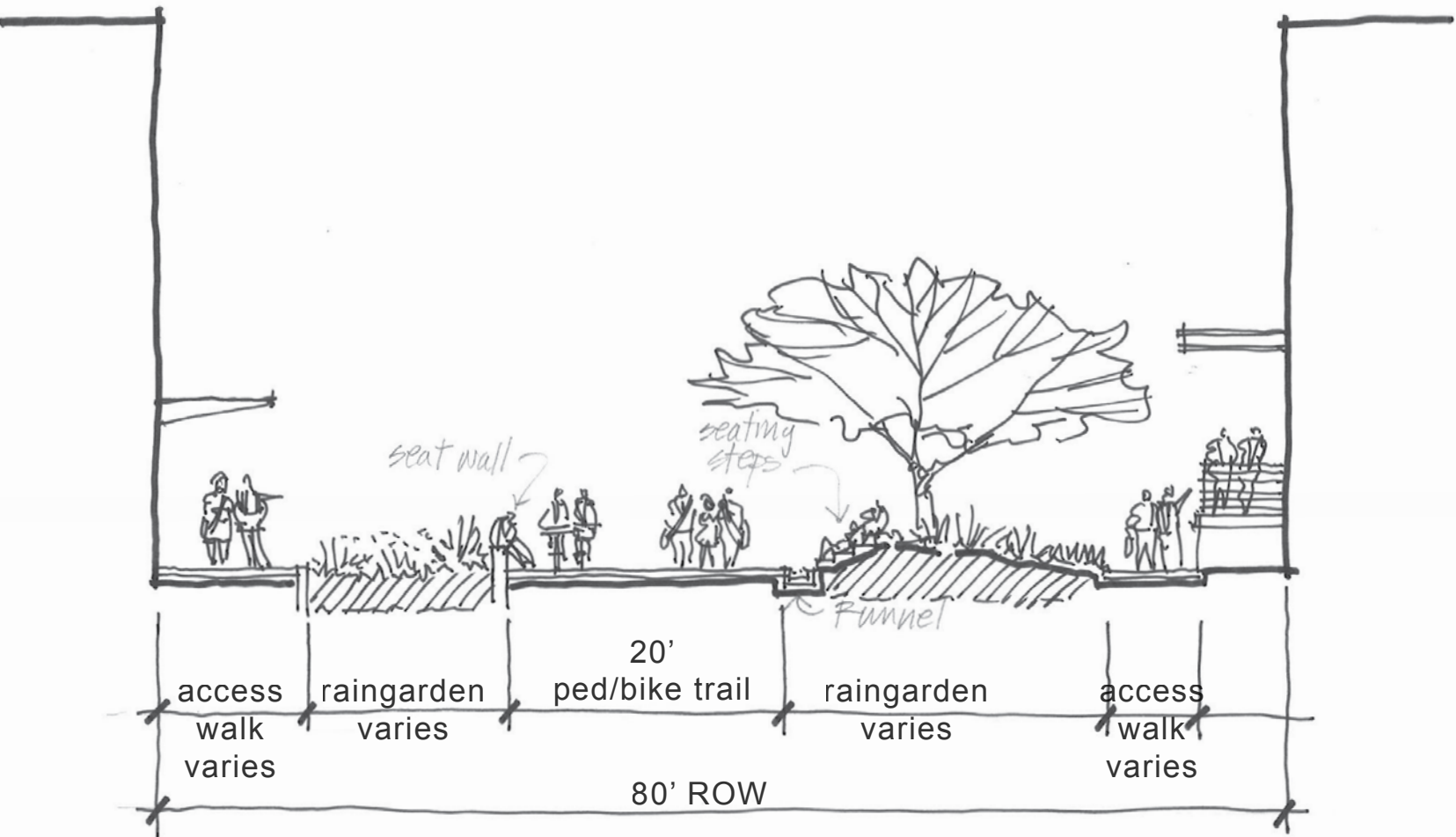
-  Gateways
-  Major Pedestrian Routes
-  Minor Pedestrian Routes/  
Court Passages
-  Sidewalks
-  Bicycle Routes



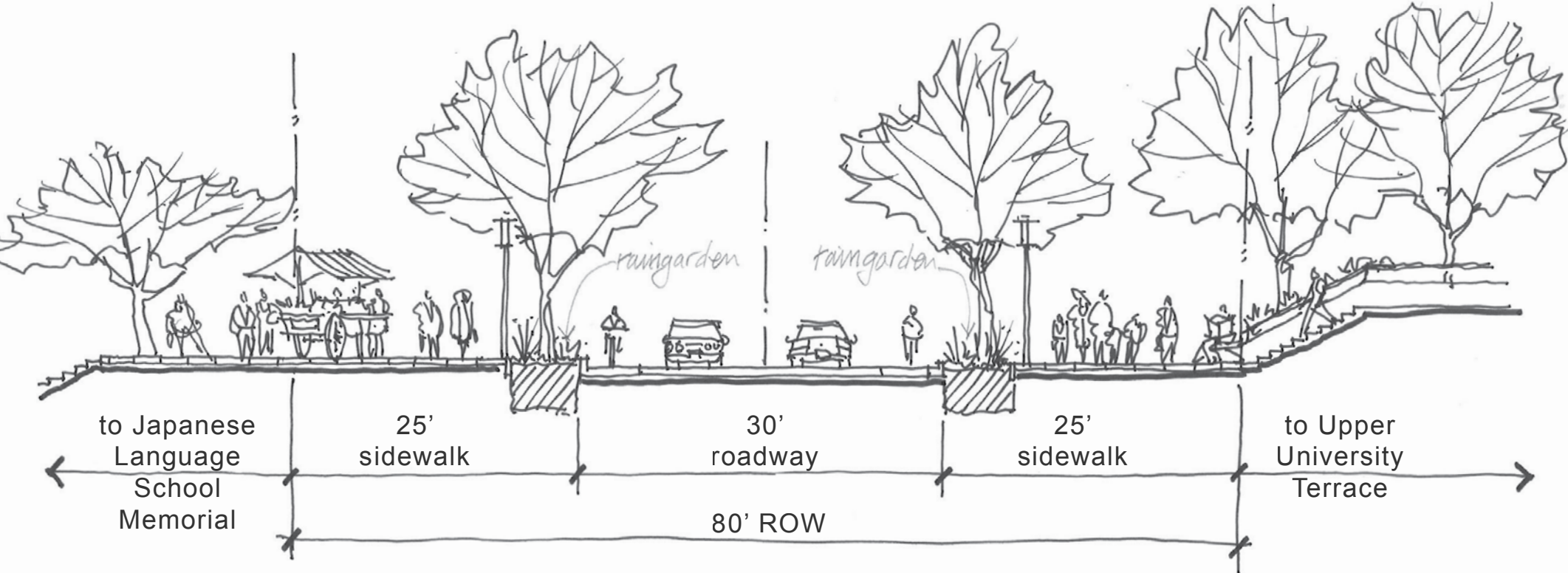


# Street Sections

Prairie Trail Section  
BNSF Railroad ROW



Market Street Section

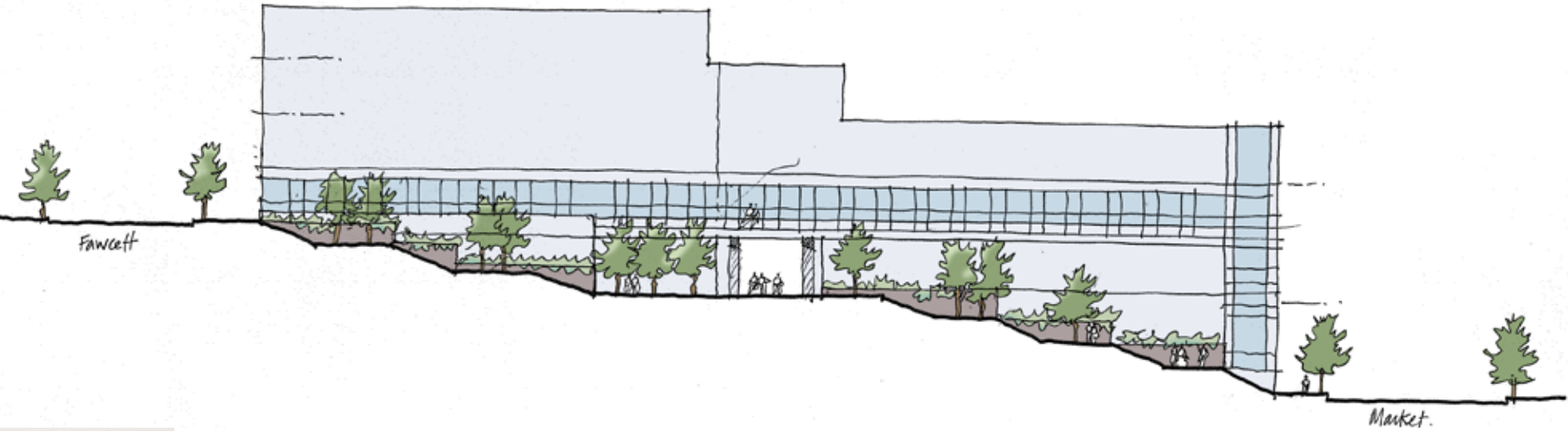




University Terrace



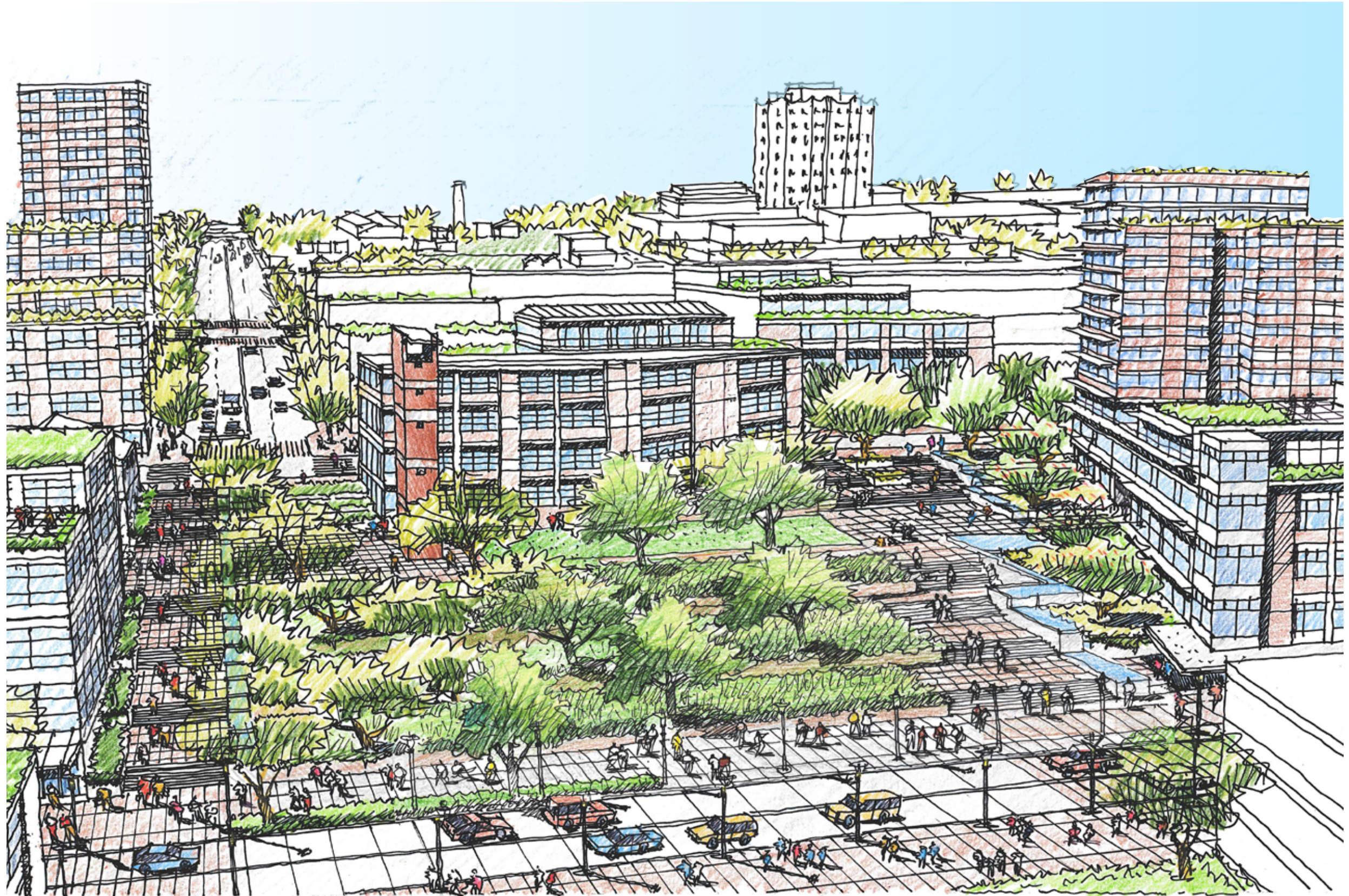
Plan



Section - Looking North

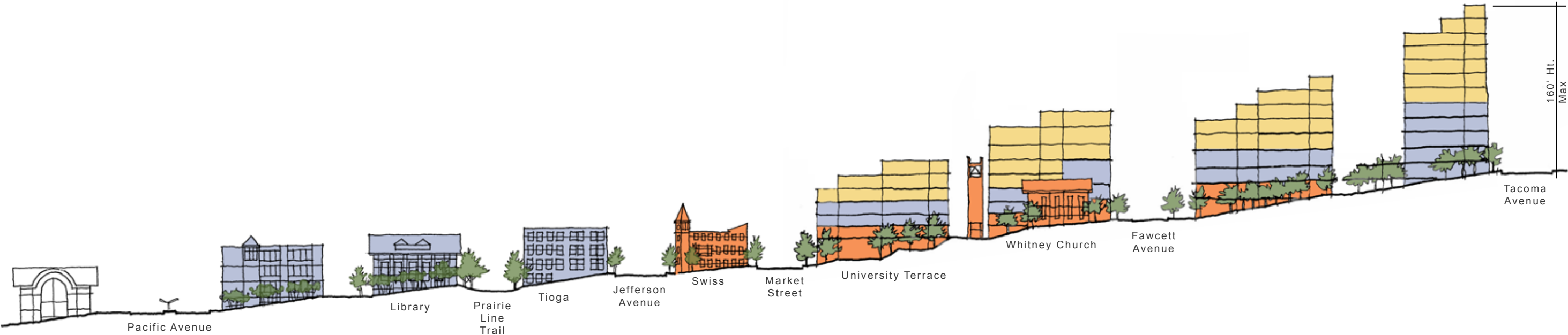


# University Terrace - Perspective

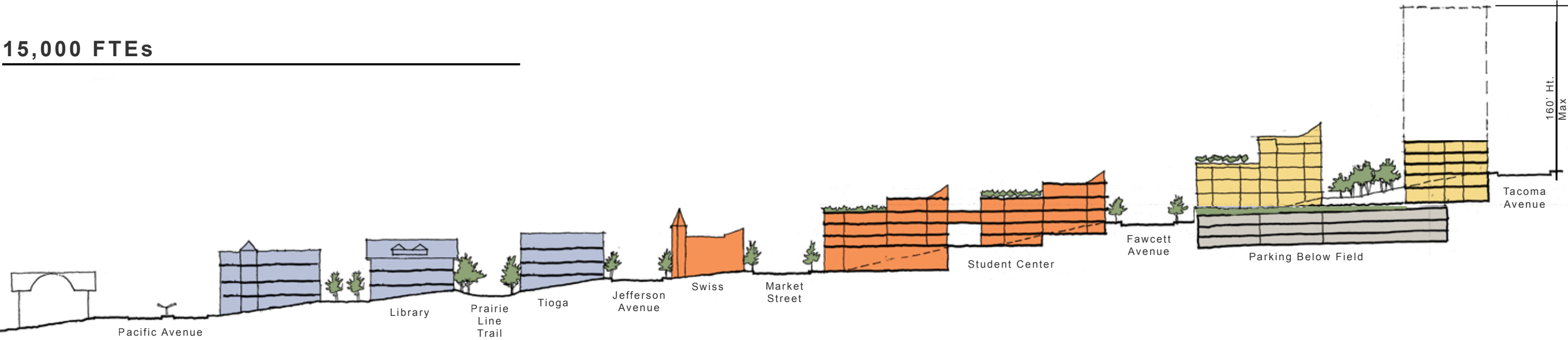




# Campus Sections

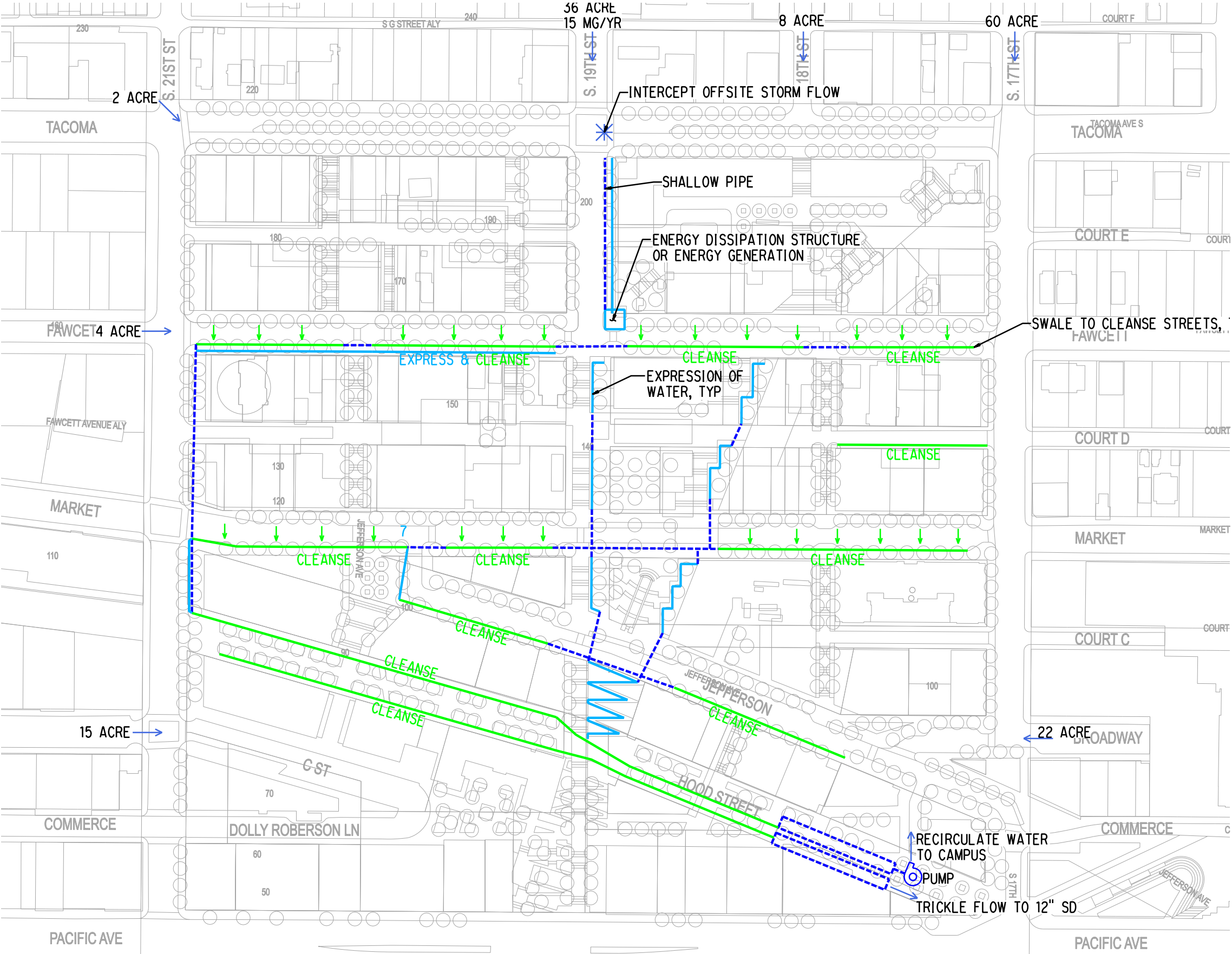


15,000 FTEs



10,000 FTEs

# Stormwater Flow Diagram



## Legend

- Cleansing swales at street level
- Opportunities for expressing stormwater
- Shallow pipes under pedestrian right-of-way

\*Stormwater flow includes available “off-site” stormwater, captured and reused at the intersection of S. 19th Street and Tacoma Avenue.

This location could provide 15 million gallons of stormwater per year.



# Water Balance Diagram - Centralized stormwater and greywater reuse

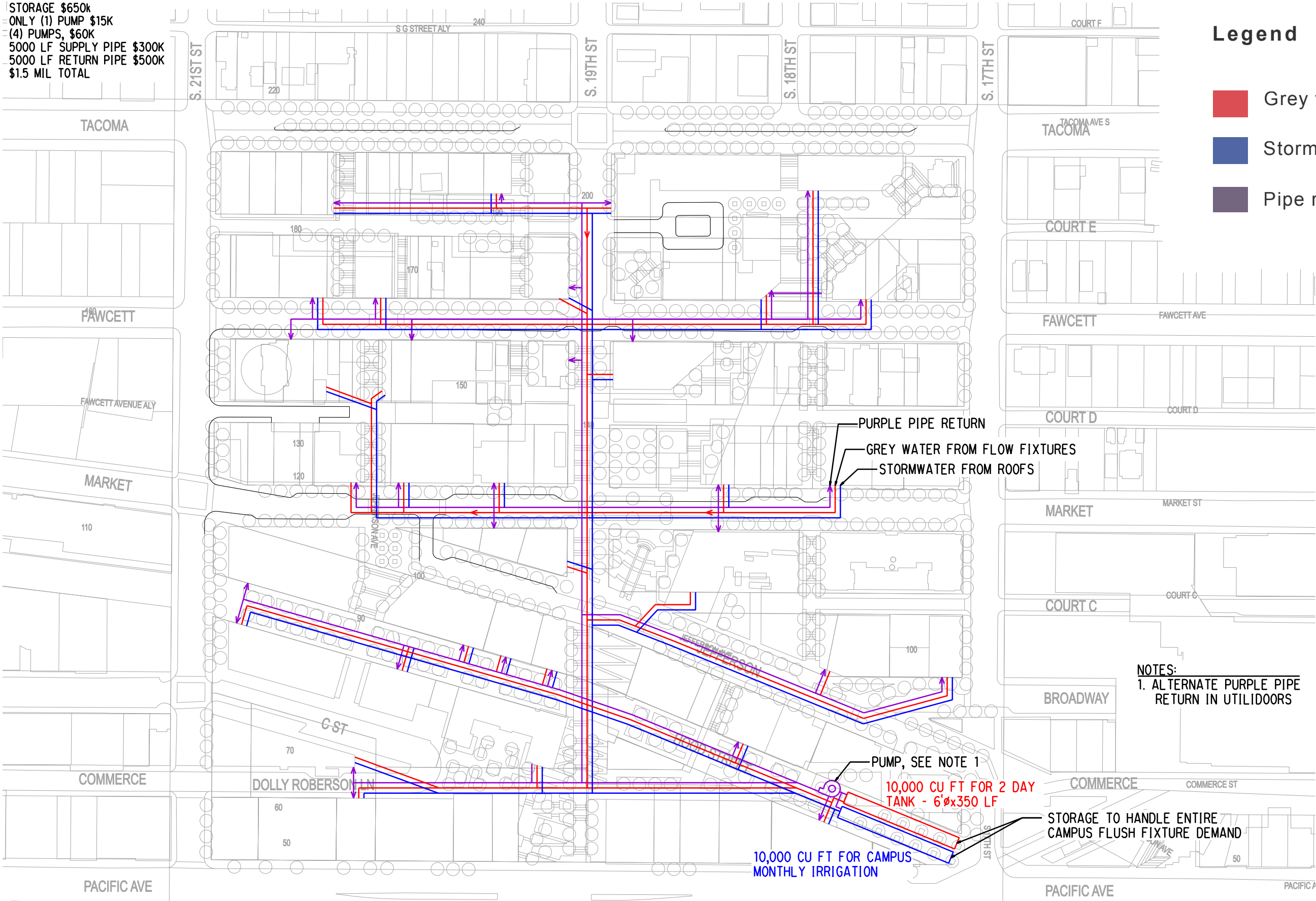
STORAGE \$650k  
ONLY (1) PUMP \$15K  
(4) PUMPS, \$60K  
5000 LF SUPPLY PIPE \$300K  
5000 LF RETURN PIPE \$500K  
\$1.5 MIL TOTAL

Legend

Grey water from flow fixtures

Stormwater from roofs

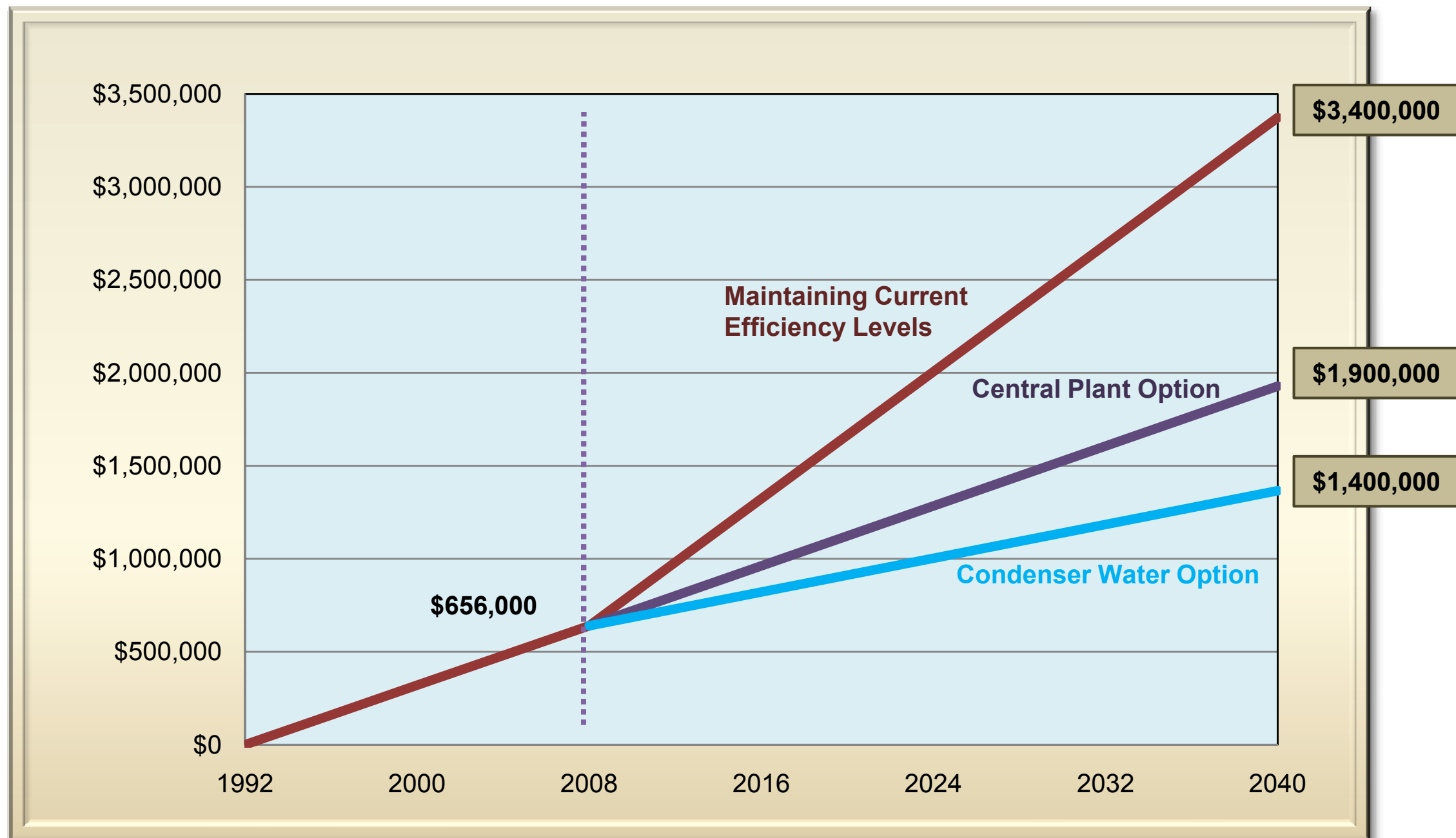
Pipe return



# Campus Operating Costs

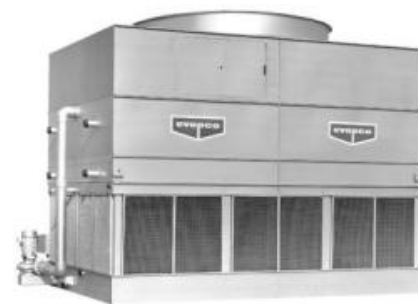
Campus Square Foot Growth, **520%**

Campus Operating Cost Growth, **only 210%**

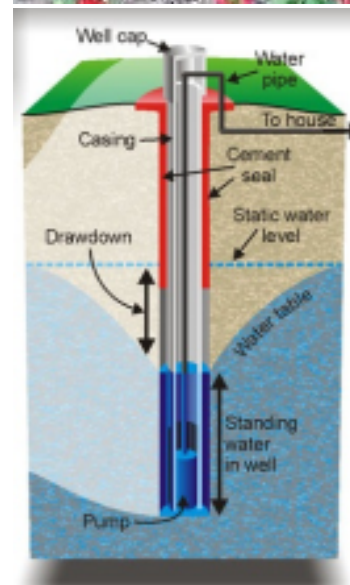


# Campus Condenser Water Loop Option

## Remove Heat



Cooling Tower



Geothermal



## CAMPUS CONDENSER WATER LOOP



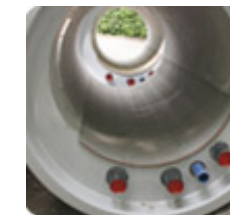
## Add Heat



Geothermal



BioFuels



Sewer Pipe HX



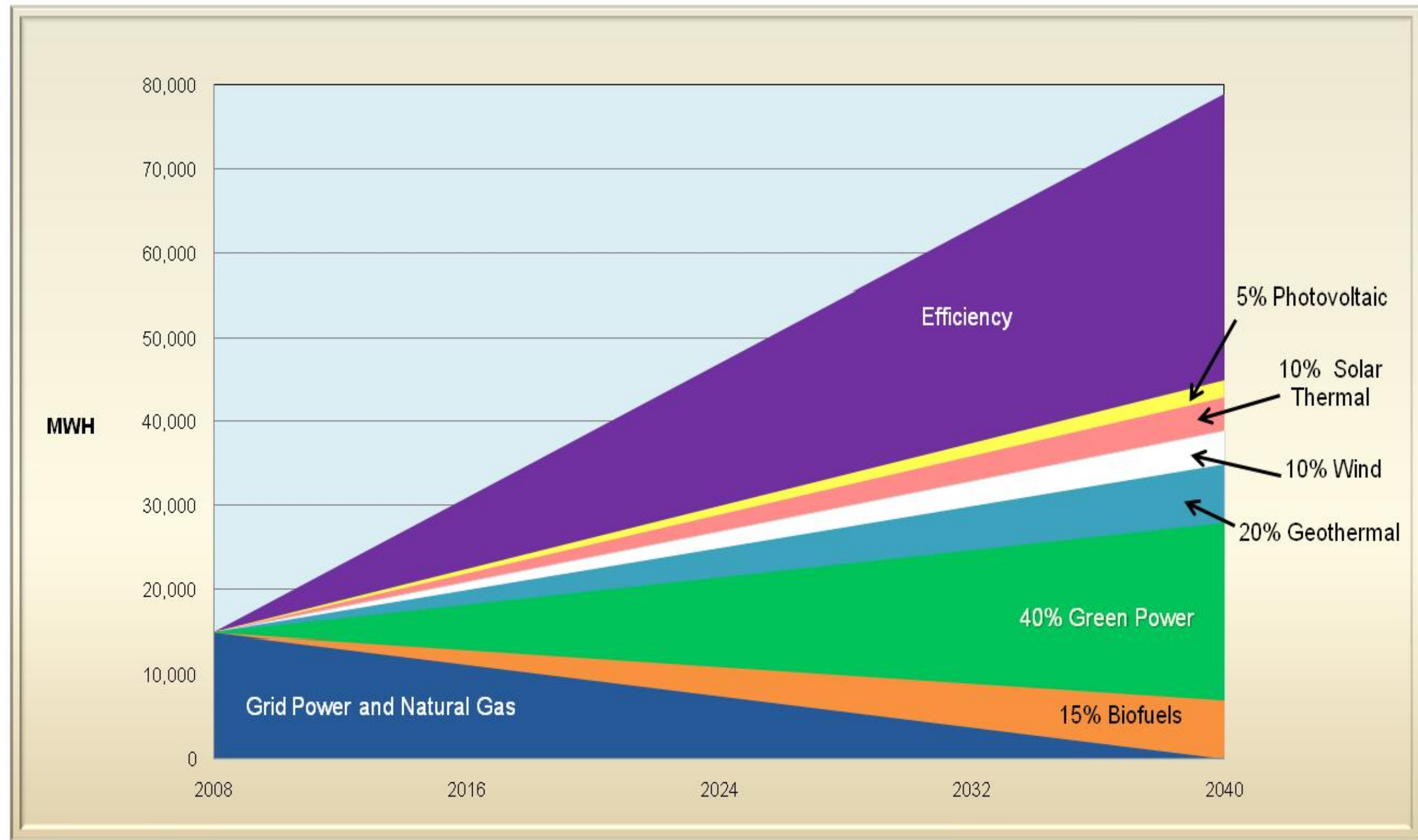
Solar HW



Building draws heat or cooling as needed from loop.



# Campus Carbon Neutral Strategy









VII. STANDING COMMITTEES

A. Academic & Student Affairs

*In Joint Session With*

B. Finance, Audit and Facilities Committee

UW Technology Transfer

See attached report.



# UW Technology Transfer

## Report to the Regents

**Linden Rhoads**

**Vice Provost – UW TechTransfer**

**October 2008**

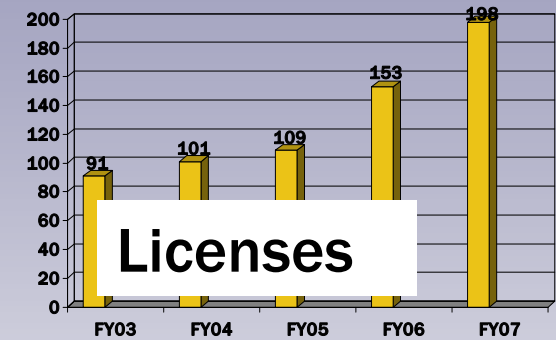
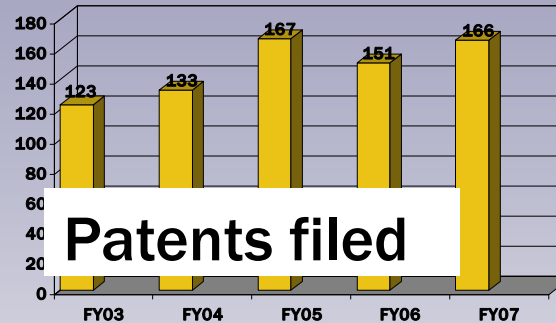
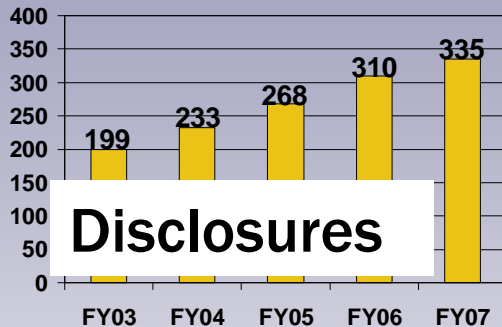
# Traditional TT Practice

## *Service bureau reacting to disclosures*

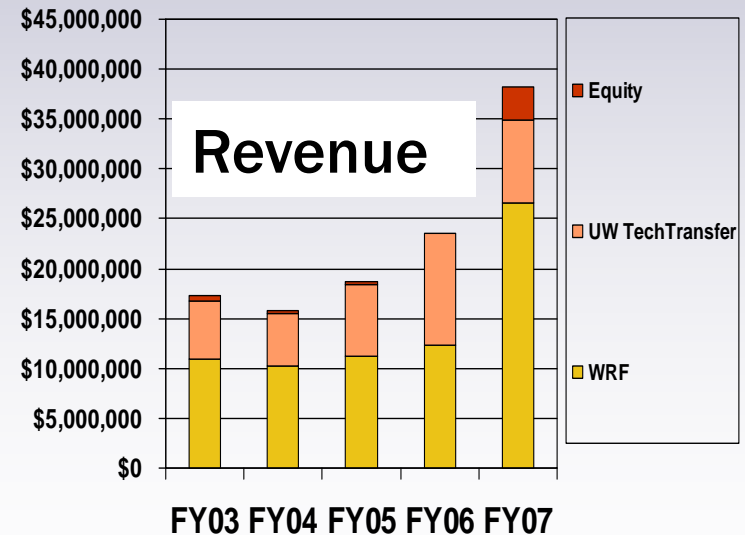
- ❖ Many mandates/stakeholders
  - ❑ Revenue
  - ❑ Industry relations
  - ❑ Service to researchers
  - ❑ Impact
- ❖ Distraction from outbound licensing
  - ❑ Compliance/risk management
  - ❑ Anything IP-related

# Traditional metrics

FY03-FY07



- ❖ Research \$ (1B/yr) 4<sup>th</sup>
- ❖ Disclosures 10<sup>th</sup>
- ❖ Licenses 3<sup>rd</sup>
- ❖ Licenses w/\$ 4<sup>th</sup>
- ❖ Revenue 14<sup>th</sup>
- ❖ Start ups 8<sup>th</sup>





# Modern Practice = BizDev Office



*World-class commercialization support for  
our researchers*

**Take initiative – upstream involvement**

- ❖ **Recruitment & retention**
- ❖ **Strategic plans for research projects**
- ❖ **Engage industry early and often – people  
and ideas as well as dollars**

*Guided invention*

# Execution Plan

## ❖ Support UW entrepreneurs

- ❑ Key hires to truly staff UW LaunchPad
- ❑ Entrepreneurs in residence (E.I.R.s)
- ❑ Market research by MBA students

## ❖ Early evaluation of technologies

- ❑ Industry advisors

## ❖ Strategic thrusts

- ❑ Research commons
- ❑ Invest in IP
- ❑ Develop GAP funding resources/programs

# New UWTT Advisors



**Rich Barton, CEO Zillow, Benchmark Capital Venture Partner**

**Brian Bershad, Fremont Google Office**

**Erik Blachford, CEO Terrapass, former CEO Expedia**

**Jeff Blackburn, SVP Business Development Amazon.com**

**Sam Brasch, Frazier Healthcare Ventures**

**Bill Bryant, Draper Fisher Jurvetson Venture Partner**

**Jon DeVaan, SVP Windows Platform, Microsoft Corporation**

**Russ Daggatt, Denny Blaine Capital, former CEO Teledesic**

**Nick Hanauer, Second Avenue Partners, founder aQuantive**

**John Harris, CEO NeuroBionics, founder Heartstream**

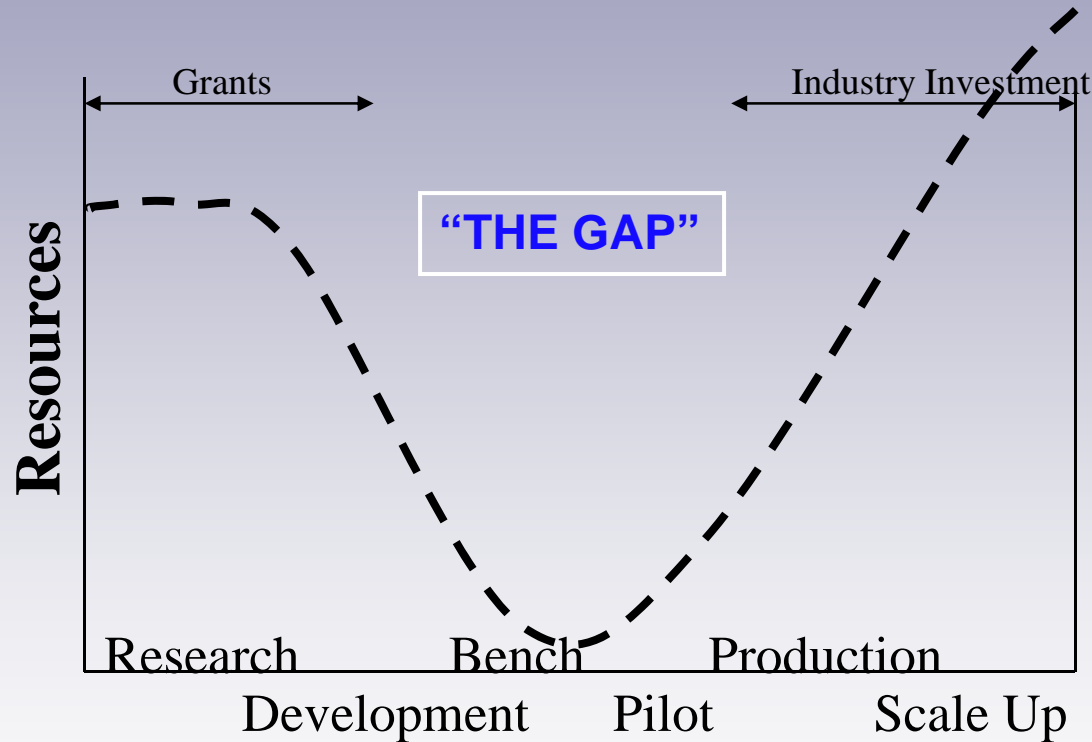
**Vaho Rebasso, Boeing Information Technology CTO**



# Metrics for our new mission

- ❖ *Prospective* faculty/grad students meeting with UWTT
- ❖ Researcher participation in *guided invention* sessions
- ❖ Researchers (*new/veteran*) working with *LaunchPad*
- ❖ UW-originating startups
- ❖ Venture investment in UW startups over time/years out
- ❖ Private equity: held/realized proceeds
- ❖ Venture capital firms backing UW startups
- ❖ UWTT speculative investment in IP (patent budget)
- ❖ % of innovations and patents that are licensed over 5 year period from speculative investment

# The Gap in funding for dissemination



## Product Innovation Process

(Environment, Electronics, Autos, Utilities...)

Adapted in part from John Preston 1993  
Testimony before U.S. Subcommittee on  
Space, Science & Technology

# Sources for GAP/early funding

- ❖ **TGIF (WRF + UW)**
- ❖ **New WRF programs**
- ❖ **Coulter Foundation Funds/Endowment**
- ❖ **WSIB Bridge fund**
- ❖ **Accelerator?**
- ❖ **IT Accelerator?**
- ❖ **Another foundation?**



# UWTT Operations

- ❖ 50 employees - many with high demand advanced technical degrees, legal and business skills
- ❖ Annual budget of \$9.6M
  - ❑ \$4.4M from operations
  - ❑ \$6.0M+ from WRF sunsets in 2014
  - ❑ \$3.0M+ on IP – 50% is “speculative”

# UWTT Needs



- ❖ **10 year commitment to our plan/budget**
- ❖ **Support for gap fund initiatives**
- ❖ **New IT infrastructure**
- ❖ **Investment in strategic IP assets**
- ❖ **Promotion of TT function (recruitment tool)**
- ❖ **Industry coordination, advisors, E.I.R.s**

# Contact Information



**Linden Rhoads**

**UW Vice Provost –TechTransfer**

**Irhoads[@u.washington.edu](mailto:Irhoads@u.washington.edu)**

**206-543-0905**



VII. STANDING COMMITTEES

A. Academic & Student Affairs

*In Joint Session With*

B. Finance, Audit and Facilities Committee

UW Medicine Board Financial Report

See attached information.



**UW MEDICINE BOARD**  
**ANNUAL FINANCIAL REPORT TO THE UW BOARD OF REGENTS**

**OCTOBER 16, 2008**



## OVERVIEW AND EXECUTIVE SUMMARY

UW Medicine financial results in FY 2008 remain stable. The clinical programs recorded continued growth and positive financial performance. Research funding in UW Medicine increased despite the flat growth in the NIH budget nationally. State support improved as a result of the FY 2007-09 budget that provided \$6.3 million for the Department of Global Health and the Institute for Health Metrics and Evaluation, \$3.8 million for the WWAMI expansion in Spokane, and capital funds to expand and improve School of Medicine classrooms and teaching space to accommodate the expanded medical student class size.

Key financial highlights for FY 2008 include:

- The balance sheet for UW Medicine's clinical programs remains stable. Cash increased, but remains the weak spot on the balance sheet. Debt ratios, however, remain very strong due to the relatively low level of debt at the medical centers.
- Harborview completed construction of the Maleng Inpatient Building, adding 50 beds and 8 operating rooms. This will result in a substantial increase in depreciation in FY 2009.
- The second phase of UW Medicine at Lake Union opened, adding 200,000 square feet of wet lab research space and 100,000 square feet of administrative and dry lab space.
- UW Medical Center and Harborview outperformed budget due to strong volumes, improved reimbursement, high case mix, and control of operating expenses. Actual operating margins were \$27.7 million (4.1%) at UW Medical Center and \$16.3 million (2.6%) at Harborview.



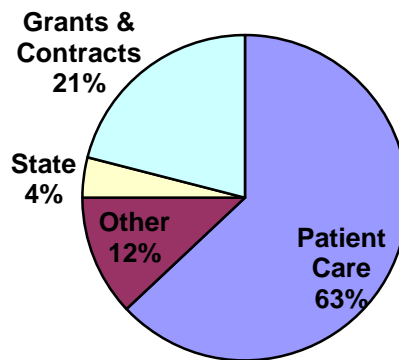
- UW Medicine provided over \$150 million of charity care as measured by foregone charges, with Harborview Medical Center accounting for 80% of this amount. Based on Department of Health information, UW Medicine provides 54% of the charity care provided by King County hospitals and 25% of the total provided statewide.
- Both medical centers recorded significant reductions in days outstanding in accounts receivable, reflecting improvements in revenue cycle management.
- UWP cash receipts exceeded budget by \$5.9 million (3%). Cash per unit of output (relative value units or RVU's) increased by 6.2%, resulting in a comparable increase in support to School of Medicine clinical departments.
- The UW Physicians Network and Airlift Northwest both recorded losses in excess of budget expectations. Rapid process improvement measures were implemented to control expenses and reduce ongoing losses including the closure of the Auburn UWPN Clinic and consolidation of patient care within the other six clinic sites and the closure of the ALNW Wenatchee and Ketchikan bases, with coverage provided from the Seattle and Juneau bases.
- The fund balance for the School of Medicine increased by 2.3%, reflecting growth in endowment funds.
- UW Medicine research grew by \$26 million (5.2%) for UW-based research grants in FY 2008 compared to FY 2007. NIH awards to all UW Medicine faculty at all sites have grown substantially over the last few years, and the rate of growth has exceeded that of all of the other top 10 United States research institutions.
- UW Medicine exceeded its capital campaign goal of \$1 billion. Campaign totals in gifts and pledges increased by \$177 million, from \$866 million to \$1,040 million. The total number of donors remained constant at more than 18,000, exceeded on campus only by Intercollegiate Athletics and memberships in the UW Alumni Association.
- Approximately \$450 million of total revenues are transferred between various UW Medicine entities to support the teaching, research and patient care activities of the organization. In FY 2008, this included \$175 million from the UWP and CUMG to support the School of Medicine faculty salaries, clinical department operations, and the Dean's office, \$82 million from the medical centers to SOM clinical departments to provide faculty and program support, \$37 million from the medical centers to the SOM to support resident training, and \$23 million from the medical centers, practice plans, and SOM to support the central administrative and service functions of UW Medicine.

The consolidated financial report for UW Medicine is included as an appendix to this report.

## KEY STATISTICS & RESULTS

UW Medicine revenues were \$2.4 billion in FY 2008. This represents an increase of approximately 11% compared to FY 2007. The following charts summarize the sources of financial support for UW Medicine. Over the last five years (FY 2003-2008), total UW Medicine revenue has increased at an average rate of 8% per year. These increases have occurred in the setting of a flat NIH federal budget and a very competitive local health care market.

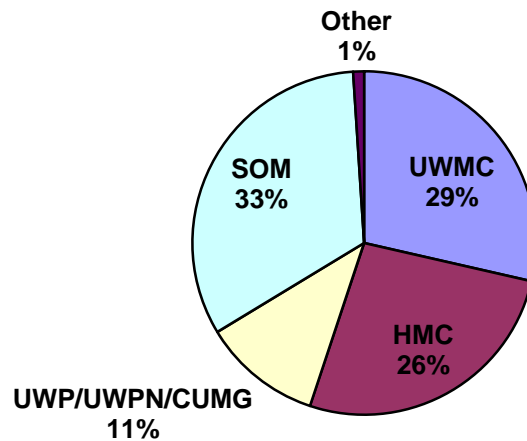
### Fiscal Year 2008 – Revenue by source



**Total Revenue: \$2.4 billion**

(Other includes support from Wyoming, Alaska, Montana and Idaho, revenue from endowments and gifts, and cost centers.)

### Fiscal Year 2008 – Revenue by entity



**Total Revenue: \$ 2.4 billion**

(Other includes Airlift Northwest and the Consolidated Laundry.)

UW Medicine financial support comes predominantly from patient care revenues (63%) and research grants and contracts (21%). The following sections highlight the performance in each of these areas.

#### **PATIENT CARE**

The following table summarizes net clinical revenue from patient services provided by UW Medicine medical centers, faculty physicians, and Airlift Northwest in FY 2007 and FY 2008. This table does not include research grants and other funds received by the School of Medicine.

UW Medicine Clinical Organization	Net Patient Revenue (000's)	
	2008 (unaudited)	2007 (audited)
Harborview Medical Center	\$ 588,194	\$ 531,868
UW Medical Center	\$ 638,934	\$ 578,267
UW Physicians	\$ 160,721	\$ 156,273
UW Physicians Network	\$ 22,514	\$ 22,573
Children's University Medical Group	\$ 47,151	\$ 38,597
SCCA (outpatient clinic only)*	\$ 165,246	\$ 148,400
Airlift Northwest	\$ 31,946	\$ 28,213
<b>Total</b>	<b>\$1, 654,706</b>	<b>\$1,504,191</b>

\*Inpatient revenues for the SCCA 20 bed unit are included in the UWMC total.

Net income from operations for FY 2008 was \$69.8 million, or 4.3% of operating revenue. The net operating income included \$16.3 million at Harborview, \$27.7 million at UW Medical Center, and \$33.5 million at UWP and CUMG prior to distributions to the School of Medicine. The net income was offset by operating losses in Airlift Northwest (\$4.1 million) and UW Physicians Network (\$5.9 million). All of the net

income generated by UWP and CUMG is distributed to the School of Medicine departments and Dean's office.

The following tables provide benchmark comparisons for the medical centers.

<b>Ratio/Indicator</b>	<b>Moody's "A"</b>	<b>UW Medical Center</b>	<b>Harborview Medical Center</b>
Operating margin	2.6%	4.1%	<b>2.6%</b>
Debt to capitalization	35.3%	19.4%	<b>1.3%</b>
Days cash on hand	180	105.9	<b>105.1</b>
<b>Days in A/R (net)</b>	<b>50.1</b>	<b>53.7</b>	<b>62.3</b>

These comparisons reflect four important points:

- Operating margins at Harborview are affected by the high percentage of uninsured and under-insured patients served;
- The Harborview debt to capitalization number reflects the capital support provided by King County through voter-approved general obligation bonds;
- Days cash on hand is below benchmark at both medical centers, again reflecting the high percentage of uninsured and under-insured patients; and
- Days in accounts receivable are above benchmark but improved by 10% at both medical centers during FY 2008 as we prepare for the conversion of the hospital billing system.

## **5-YEAR PERFORMANCE COMPARISON**

The patient care activity of UW Medicine remains very strong and stable, with the exception of UWPN where physician turnover has resulted in significant volume declines. Growth has been limited by very high occupancy levels at both medical centers. The following tables summarize the clinical activity for the owned and managed components of UW Medicine.

### **Harborview Medical Center**

<b>Statistic</b>	<b>FY 2004</b>	<b>FY 2005</b>	<b>FY 2006</b>	<b>FY 2007</b>	<b>FY 2008</b>
Admissions & short stays	22,336	22,973	22,439	21,147	21,764
Patient Days	131,355	125,189	129,831	133,345	136,662
Outpatient visits	221,413	221,159	223,916	218,229	230,315
Emergency visits	87,634	79,112	81,073	76,491	68,987
Average Length of Stay	6.9 days	6.8 days	6.9 days	7.2 days	7.4 days

### **UW Medical Center**

<b>Statistic</b>	<b>FY 2004</b>	<b>FY 2005</b>	<b>FY 2006</b>	<b>FY 2007</b>	<b>FY 2008</b>
Admissions	17,919	18,086	18,120	18,866	18,993
Patient Days	118,209	118,455	114,542	115,659	115,270
Outpatient visits	350,062	352,927	333,099	327,297	324,812
Emergency Visits *	35,462	35,547	32,838	27,868	29,038
Average Length of Stay	6.6 days	6.5 days	6.3 days	6.1 days	6.1 days



\* Emergency visit count methodology changed in FY 2007

### UW Physicians Network

Statistic	FY 2004	FY 2005	FY 2006	FY 2007	FY 2008
Clinic Visits	232,458	237,732	227,929	218,561	201,645
New Patients	18,377	16,925	15,784	14,867	11,768

### Seattle Cancer Care Alliance

Statistic	FY 2004	FY 2005	FY 2006	FY 2007	FY 2008
Clinic Visits	38,149	38,774	44,084	43,230	48,928
Admissions	372	444	455	428	573

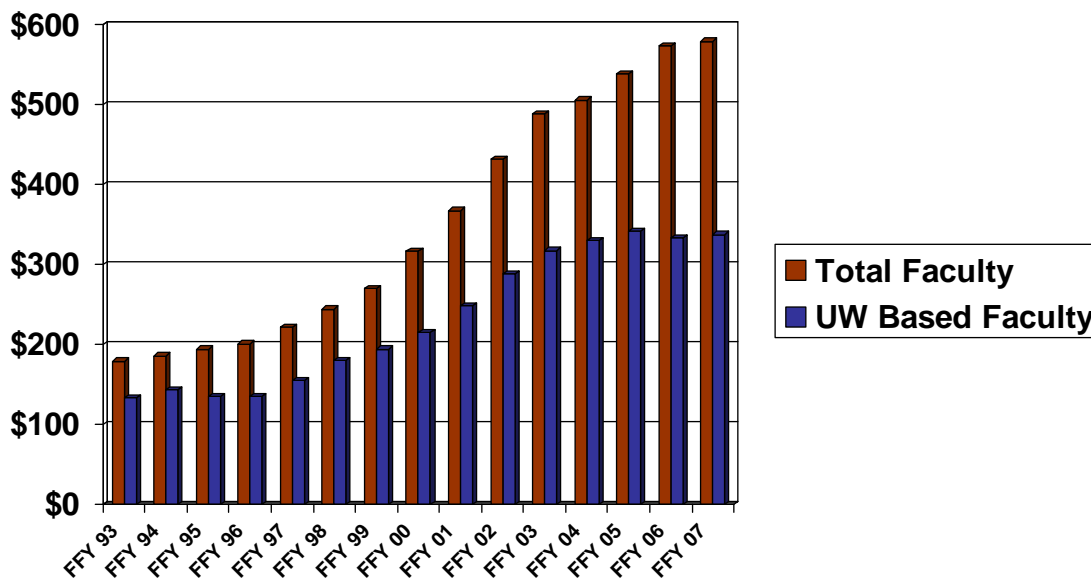
Inpatient admissions are for the SCCA 20 bed unit at UWMC and are included in the UWMC admissions total.

### RESEARCH PROGRAMS

UW Medicine research continues to grow. UW School of Medicine awards through the University of Washington totaled \$522 million in FY 08. This was a \$26 million (5.24%) increase over FY 2007.

NIH awards represent almost three-fourths of the total awards received by UW Medicine faculty. The following chart tracks the growth of NIH awards to UW Medicine faculty over the past 14 federal fiscal years (year ending September 30).

**NIH Grant Awards to UW Medicine  
(\$ in millions)**



Note: Average annual growth rate: 8.6% for Total Faculty; 6.9% for UW-based faculty.

There were, in federal fiscal year 2007, 797 separate NIH awards to 526 UWSOM-based Principal Investigators. There are an estimated 795 regular and research faculty working on NIH research grants made to UW-based School of Medicine faculty. In addition to NIH awards managed by the UW, School of Medicine faculty receive NIH awards that are managed by affiliated institutions (e.g., FHCRC, Children's). Total NIH awards to UW Medicine faculty in federal fiscal year 2007 were \$579.7 million, an increase of \$6.4 million (1%) over federal fiscal year 2006. UW Medicine faculty were second in the country among all medical schools, and first among public medical schools, in NIH research funding based on FY 2007 awards. The growth in NIH funding to UW School of Medicine faculty over the past six years has significantly exceeded the comparable growth of our peer institutions.

-----\$ in millions-----

<b>Institution</b>	<b>2002 NIH Awards</b>	<b>2007 NIH Awards</b>	<b>% change</b>
<b>Univ. of Washington</b>	<b>\$431.5</b>	<b>\$ 579.7</b>	<b>34.3%</b>
Yale	\$253.6	\$ 320.2	<b>26.3%</b>
UCLA	\$340.5	\$ 426.7	<b>25.3%</b>
Michigan	\$255.7	\$ 320.2	<b>25.2%</b>
Harvard	\$957.8	\$1178.5	<b>23.0%</b>
Johns Hopkins	\$372.6	\$ 450.8	<b>21.0%</b>
UCSF	\$368.7	\$ 442.7	<b>20.1%</b>
Univ. of Pennsylvania	\$431.4	\$ 486.8	<b>12.8%</b>
Washington Univ.	\$320.4	\$ 347.0	<b>8.3%</b>
Baylor	\$382.8	\$ 413.1	<b>7.9%</b>

UW Medicine's comparative success in NIH funding is due to a number of factors, including the interdisciplinary breadth of our research programs, the addition of new laboratory space at South Lake Union and the Portage Bay campus, and the increased emphasis on 21<sup>st</sup> century science such as genomics, proteomics, regenerative medicine, and global health research.

#### **LOOKING FORWARD – FY 2009**

Fiscal year 2009 will see major elections at the national and state levels, a major biennial budget session in the Washington legislature, and more local impacts of the economic downturn. UW Medicine is firmly committed to our mission of improving the health of the public, and we will continue to advance our mission by striving for excellence in our teaching, research, and patient care activities. The following paragraphs highlight several of the key activities that will mark FY 2009.

**Strategic planning.** UW Medicine has launched a major strategic planning project that will conclude in the Spring of 2009. The planning effort will address all three academic activities that support our mission to improve the health of the public – clinical care, teaching, and research.

**Harborview expansion.** Harborview completed the Maleng Building inpatient expansion in June 2008, adding 50 inpatient beds and 8 operating rooms. The expansion will provide some much-needed relief to the very high occupancy levels at Harborview. Over the past two fiscal years, Harborview has averaged 100% occupancy. The “Ninth and Jefferson” building (NJB) will open in FY 2009, providing a substantial increase in outpatient clinics, administrative, teaching, and research space on the Harborview campus. The NJB will house several innovative programs including the UW Medicine Eye Institute and programs for the Department of Global Health.

**UWMC ground breaking.** UWMC will break ground on Phase I of the inpatient expansion building in early 2009. The building will house a new and expanded Neonatal Intensive Care Unit and a new oncology inpatient unit. There will also be shell-in space for radiology and operating rooms.

**Legislative session.** UW Medicine has three major priorities for the 2009-11 state budget.

- \$6 million in increased support for the health professional teaching programs at UWMC and Harborview, increasing annual support for each hospital from \$8 million to \$10 million in the second year of the 2009-11 biennium;
- \$4.5 million in increased support for the operations and maintenance costs of research facilities, increasing annual support from \$2.4 million to \$6.9 million; and
- \$2.0 million in support of the Institute for Health Metrics and Evaluation’s work to identify the interventions having the greatest impact on the health of communities in the US.

**General economy.** The slow down in the US and Washington state economy raises several key concerns for UW Medicine.

- The increase in the number of uninsured and underinsured patients results in increased pressure on the payer mix of public institutions such as UWMC and Harborview. This challenge, coupled with pressure from public and private insurers to limit, avoid, or defer rate increases, will result in pressure on the operating margins of our medical centers and practice plans.
- Federal budget pressures continue to limit increases in overall NIH funding levels, intensifying competition for new grant awards and limiting increases in budget levels for existing and renewed grants.
- The economic pressures on practicing physicians makes it more difficult to achieve our goals of increasing the number of primary care physicians and the number of physicians practicing in rural and underserved communities throughout the WWAMI region.

# UW Medicine

**Consolidated financial statements  
Fiscal Year Ended June 30, 2008  
(unaudited)**



# UW Medicine

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## Listing of Entities

### **Clinical programs**

- University of Washington Medical Center
- Harborview Medical Center
- University of Washington Physicians
- Children's University Medical Group
- UW Physicians Network
- Airlift Northwest
- Consolidated Laundry

### **University of Washington School of Medicine**

# UW Medicine

## Financial statement highlights

- The financial statements reflect building projects and IT investments at the medical centers, significant reductions in accounts receivable, and favorable operating performance at the medical centers and practice plans
- There were operating losses in excess of budget at UWPN and AirLift NW, and non-operating losses on investments at UWP and HMC
- The balance sheet reflects a continuation of strong debt ratios, solid operating performance relative to the Moody's benchmarks; cash on hand is the one element of the balance sheet that remains below Moody's benchmark for A-rated hospitals
- School of Medicine reserve balances declined due to unrealized losses on invested funds and departmental draws to meet operating expenses

<b><u>Assets:</u></b>	<b>6/30/2007</b>	<b>6/30/2008</b>
Cash and Investments	\$ 448,397	\$ 455,195
Other Current Assets	297,000	292,724
Property Plant and Equipment	665,766	721,356
Other Assets	127,008	110,049
<b>Total Unrestricted Fund Assets</b>	<b>\$ 1,538,170</b>	<b>\$ 1,579,325</b>
<b><u>Liabilities and Fund Balance:</u></b>		
Current Liabilities	\$ 380,404	\$ 378,853
Other Liabilities	5,287	5,374
Long Term Debt (Net of Current Portion)	113,911	101,387
Fund Balance	1,038,569	1,093,711
<b>Total Unrestricted Fund Liabilities &amp; Fund Balances</b>	<b>\$ 1,538,170</b>	<b>\$ 1,579,324</b>

➤ **Cash & Investments increase \$7 million**

- ALNW decrease \$6 million due to operating losses
- UWMC increase \$31 million from due to reductions in accounts receivable, and slower capital expenditures
- UWP decrease \$14 million due to investment losses and departments' use of reserves

➤ **Current Assets decrease \$4 million**

- HMC decrease \$5 million due to decrease in other receivables

➤ **Plant and Equipment increase \$106 million (net of retirements)**

- HMC increase \$76 million due to building construction and equipment
- UWMC increase \$27 million due to design costs for the expansion, radiation oncology equipment, remodeling, other equipment, and IT costs



- **Other Assets decrease \$17 million**
  - HMC decrease \$22 million due to decrease in restricted funds used for hospital expansion and ALNW investment loss
- **Current Liabilities decrease \$2 million**
  - HMC increase \$10 million due to construction payables and accounts payable
  - UWP decrease \$14 million due to decrease in the market value of investment, and departments' uses of funds
- **Long term debt decrease \$13 million**
  - UWMC decrease \$11 million due to bond principle and capital lease payments
- **Fund Balance increase \$55 million**
  - ALNW decrease \$3 million due to loss
  - HMC increase \$23 million due to net income and fund balance transfer
  - UWMC increase \$36 million due to net income

**Consolidated Statement of Revenues and Expenses**  
**For the Year to Date Ended June 30, 2008**  
**(\$ in 000's)**

	<b><u>Actual YTD</u></b>	<b><u>Budget YTD</u></b>
<b><u>Revenue:</u></b>		
Patient Service Revenue	\$ 1,502,736	\$ 1,422,068
State Appropriation	16,036	15,875
Other Revenue	105,975	99,053
Total Revenues	<u>1,624,747</u>	<u>1,536,995</u>
<b><u>Expenses:</u></b>		
Salaries, Wages and Benefits	927,750	891,580
Supplies and Other Expenses	556,551	525,112
Depreciation	65,279	66,878
Interest, Insurance and Taxes	5,330	8,351
Total Expenses	<u>1,554,910</u>	<u>1,491,921</u>
Income before CMF Assessment & Departmental Distributions	69,837	45,075
CMF Assessments & Departmental Distributions	<u>(33,478)</u>	<u>(30,840)</u>
Net Operating Income	36,359	14,234
Nonoperating Gains (Losses)	<u>15,332</u>	<u>15,600</u>
Revenues and Gains In Excess of Expense and Losses	<u>\$ 51,691</u>	<u>\$ 29,834</u>

**■ Income from Operations was \$36,359 actual vs. \$14,234 budget**

	<b>Actual</b>	<b>Budget</b>
<b>HMC</b>	<b>16,326</b>	<b>(286)</b>
<b>UWMC</b>	<b>27,712</b>	<b>19,259</b>
<b>UWPN</b>	<b>(5,880)</b>	<b>(4,605)</b>
<b>All Other</b>	<b>(4,734)</b>	<b>(1,117)</b>

- HMC – favorable net revenue due to occupancy and favorable payer mix
- UWMC – favorable net revenue due to favorable case mix and contractual allowances
- UWPN – physician vacancies resulted in lower visit volume
- All Others – due to ALNW unfavorable financial performance

**■ CUMG and UMP income distributed to departments and CMF**

	<b><u>Actual</u></b>	<b><u>Budget</u></b>
<b>CUMG</b>	<b>12,803</b>	<b>11,580</b>
<b>UWP</b>	<b>20,675</b>	<b>19,260</b>

**■ Non-Operating Gain \$15,332 actual vs. \$15,411 budget**

- HMC \$(3,420) unfavorable variance due to investment income
- UWMC \$3,074 favorable variance due to favorable interest and higher cash balances

<b>Net Income</b>	<b>\$ 51,691</b>
<b>Add back depreciation</b>	<b>65,279</b>
<b>Decrease in current assets</b>	<b>4,276</b>
<b>Decrease in current liabilities</b>	<b>(1,551)</b>
<b>Capital Expenditures</b>	<b>(120,870)</b>
<b>Decrease in other assets</b>	<b>16,958</b>
<b>Decrease in Long Term Debt</b>	<b>(12,523)</b>
<b>Increase in other liabilities</b>	<b>87</b>
<b>Transfers and other changes to fund balance</b>	<b><u>3,451</u></b>
<b>Net Change in Cash and Investments</b>	<b><u>\$ 6,798</u></b>



**Medical Centers' Benchmark Comparisons**

Ratio/Indicator	Moody's "A"	UW Medical Center	Harborview Medical Center
Net Patient Revenue	\$412	\$639	\$588
Operating Margin	2.6%	4.1%	2.6%
Excess Margin	5.6%	5.3%	3.0%
Debt Service Coverage	4.9	4.9	38.4
Debt to Cash Flow	3.2	1.4	0.2
Debt to Capitalization	35.3%	19.4%	1.3%
Days Cash on Hand	180.0	105.9	105.1
Cash to Debt	133.9%	175.3%	2168.2%
Days in A/R (Net)	50.1	53.7	62.3

### UWP Benchmark\* Comparisons

Ratio/Indicator	Comparison Group	UWP
Gross Charges (\$M)	436.9	442.3
Net Collection Rate	92.3%	92.2%
Days in AR	48	41
AR > 180 days	13.4%	5.8%
Denial Rate	9.8%	9.0%
Commercial payer %	45.1%	47.7%
Credit balances as % of AR	3.7%	4.0%
Medicaid % of payer mix	12.0%	16.8%
Medicare % of payer mix	24.6%	22.2%
Payment rate to collection agency	21.1%	23.0%

\* Benchmark group is 15 similarly sized practice plans.

**Definitions:**

**State funds:** State appropriated funds allocated to the School of Medicine by the University. Revenue is recognized to the extent of expenditures.

**Off-campus facilities ICR/RCR funds:** Indirect cost recovery of off-campus facilities costs (ICR) and research cost recovery (RCR). These funds are assigned to the School of Medicine by UW Provost Office, then allocated to School of Medicine departments (including the Dean's Office). These funds may be carried forward from year to year. Revenue is recognized to the extent of expenditures.

**Endowment income** is earnings on endowments available for spending (i.e. not re-invested in the endowment). Gifts are funds received from donors. Endowment income and gifts are used to fund School of Medicine operations, subject to any donor restrictions.

**Self-sustaining** programs provide goods or services to other entities, either within or outside of the UW. The responsible department establishes the rates for goods and services. The rates are adjusted annually to account for over-under recoveries in the previous period. Balances at period end are primarily operating reserves and excess recoveries that will be resolved during the subsequent year rate calculation. Self-sustaining programs include resident training, WWAMI, Lab Medicine, Comparative Medicine and other department programs. Revenue is recognized when received.

**Clearing/other:** Clearing accounts are mainly used for transfers of funds from the practice plans to the SOM departments (e.g., fund transfers for physician salaries). The funds are credited first to the clearing account, and then an entry is processed to distribute the funds to the departments. Any balance in clearing accounts relates to timing. Agency accounts represent funds received and expended on an activity approved by the University, but the University does not provide the funds (e.g., when a faculty member is editor of a publication and incurs expenditures and reimbursement related to that activity).

**Research and Training** funds are funds held on account in the UW accounting system (Dean's Office as well as department accounts). The source of funds is practice plan or affiliated entity transfers to the School of Medicine. The transfers are made for expense reimbursement, or to fund School of Medicine operations.

**Grant and contract** revenue is recognized to the extent of current period expenditures. Grant revenues are typically expended by the end of the grant period (not necessarily the University's fiscal period). Grant revenues are credited in a method that may not match the actual spending pattern on the grant.

**Endowments** are the principal balance (at cost) of endowments held in the UW Central Endowment Fund (CEF), including contributions and re-invested earnings.

	<b>FY07</b>	<b>FY08</b>	
	<b><u>6/30/07</u></b>	<b><u>6/30/08</u></b>	
<b>Revenues</b>	<b>844,139</b>	<b>947,427</b>	
<b>Expenditures</b>	<b>814,484</b>	<b>937,849</b>	
<b>Revenues in excess of expenditures</b>	<b>29,655</b>	<b>9,578</b>	
<b>Beginning fund balance</b>	<b>378,530</b>	<b>408,185</b>	
<b>Ending fund balance</b>	<b>408,185</b>	<b>417,763</b>	
<b>Unexpended grant and contract revenue</b>	<b><u>394,108</u></b>	<b><u>372,601</u></b>	



	<b>FY07</b>	<b>FY08</b>	
	<b><u>6/30/07</u></b>	<b><u>6/30/08</u></b>	
State funds (Note 1)	63,970	71,639	
Off Campus Facilities ICR/RCR (Note 2)	27,327	30,266	
Endowment Income & Gifts:			
Restricted	32,049	33,923	
Unrestricted	6,609	10,343	
Self-Sustaining (Note 3)	95,363	113,921	
Clearing & Other	60,571	63,196	
Research & Training (Note 4)	79,509	88,524	
Grants & Contracts (Note 5)	465,844	505,774	
Endowment Principal (at cost) (Note 6)	12,897	29,841	
<b>Total Revenue</b>	<b><u>844,139</u></b>	<b><u>947,427</u></b>	
<b>See notes on next page</b>			

Notes to revenue detail for the years ended 6/30/07 and 6/30/08:

Note 1: State appropriation revenue is assigned at the beginning of each biennium. Spending may vary from the 1st to the 2nd year of the biennium, but total spending does not exceed the biennial appropriation. In general, state appropriations are spent to zero by end of biennium. University policy allows some carryforward of unspent balances from biennium to biennium on a limited basis. Revenues are recognized to the extent of expenditures for each reporting period.

Note 2: ICR/RCR revenues are recognized to the extent of expenditures for each reporting period. University policy allows carryforward of unspent funds to the next year. Unspent funds are rolled forward as 'available to spend' in current year, and revenue will be recognized as expenditures are made.

Note 3: Self-sustaining revenue increase due mainly to timing of receipts. UW accounting system is cash basis; revenue is recognized when received.

Note 4: Research & Training revenue includes transfers from UWP and CUMG Clinical Medicine funds and hospital support to fund spending at the UW. UW accounting system is cash basis; revenue is recognized when received.

Note 5: Grants and contract revenue is recognized to the extent of expenditures, and expenditures are higher in year-to-date FY08 over FY07.

Note 6: Additions to endowment principal increased FY08 over FY07 due to increased donor activity.

	<b>FY07</b>	<b>FY08</b>	
	<b><u>6/30/07</u></b>	<b><u>6/30/08</u></b>	
Salary/benefits (Note 1)	493,411	545,005	
Supplies and other exp	223,579	276,476	
Equipment	19,914	18,319	
Grants/stipends	13,062	13,998	
Debt redemption (Note 2)	3,276	3,240	
Indirect cost (Note 3)	97,976	105,078	
Cost transfers (Note 4)	(36,734)	(24,267)	
<b>Total Expenditures (Note 5)</b>	<b><u>814,484</u></b>	<b><u>937,849</u></b>	

Notes:

Note 1: Salary/benefits increase includes ramp-up of Global Health Department activities.

Note 2: Variance from FY07 to FY08 due to timing of debt service payments.

Note 3: Indirect cost: Grant revenues include a direct and indirect component. The indirect component is a percentage of direct costs (the rate varies among sponsors). Individual grants are charged indirect cost, and the expense is offset by indirect cost revenue.

Note 4: Cost transfers: FY08 decrease from FY07 due to change in coding of resident program reimbursements (mainly salary).

Note 5: Some School of Medicine expenditures are recorded in hospital or practice plan income statements. Examples include hospital-based operations for the Department of Laboratory Medicine and resident salaries.

**Comparison of Fund Balance by Source**  
**June 30, 2007 and 2008 (\$ in 000's)**

	<b>FY07</b>	<b>% of</b>	<b>FY08</b>	<b>% of</b>	
	<b><u>6/30/07</u></b>	<b><u>Total</u></b>	<b><u>6/30/08</u></b>	<b><u>Total</u></b>	
State funds (Note 1)	0		0		
Off Campus Facilities ICR/RCR (Note 2)	0	0%	0	0%	
Endowment Income & Gifts:					
Restricted	83,741		82,179		
Unrestricted	14,834		15,969		
Total Endowment Income & Gifts	98,575	24%	98,148	23%	
Self-Sustaining (Note 3)	20,509		13,807		
Clearing & Other	(53)		(653)		
Research & Training (Note 4)	26,527	6%	13,994	3%	
<b>Subtotal</b>	<b>145,558</b>	<b>36%</b>	<b>125,296</b>	<b>30%</b>	
Grants & Contracts (Note 5)	0		0		
Endowment Principal (at cost) (Note 6)	262,627	64%	292,467	70%	
<b>Ending Fund Balance</b>	<b><u>408,185</u></b>	<b>100%</b>	<b><u>417,763</u></b>	<b>100%</b>	
<b>See notes on next page</b>					



Notes to ending fund balance as of 6/30/07 and 6/30/08:

Note 1: State appropriation revenue is assigned at the beginning of each biennium. Spending may vary from the 1st to the 2nd year of the biennium, but total spending does not exceed the biennial appropriation. In general, state appropriations are spent to zero by end of biennium. University policy allows some carryforward of unspent balances from biennium to biennium on a limited basis. Revenues are recognized to the extent of expenditures for each reporting period.

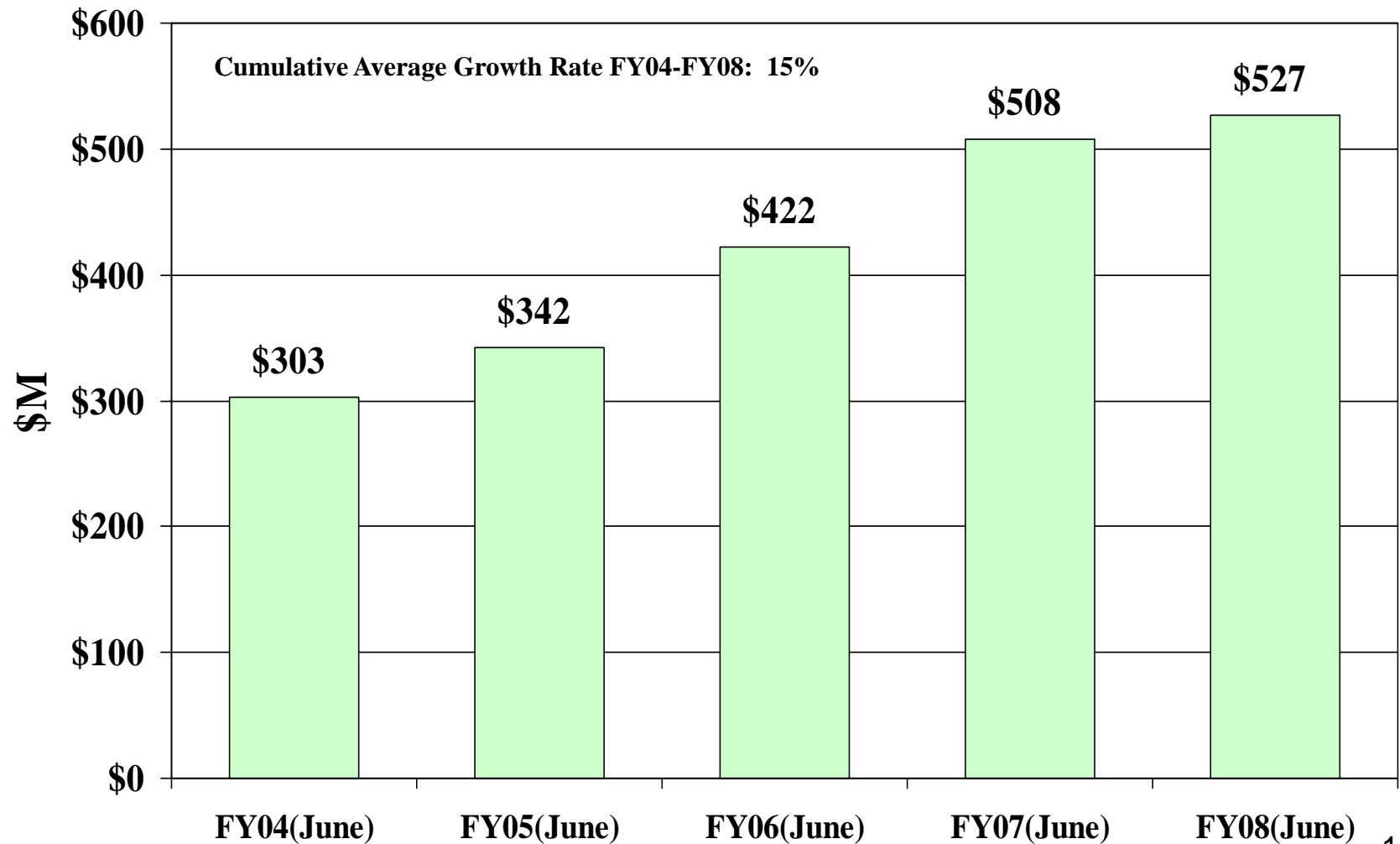
Note 2: ICR/RCR revenues are recognized to the extent of expenditures for each reporting period. University policy allows carryforward of unspent funds to the next year. Unspent funds are rolled forward as 'available to spend' in current year, and revenue will be recognized as expenditures are made.

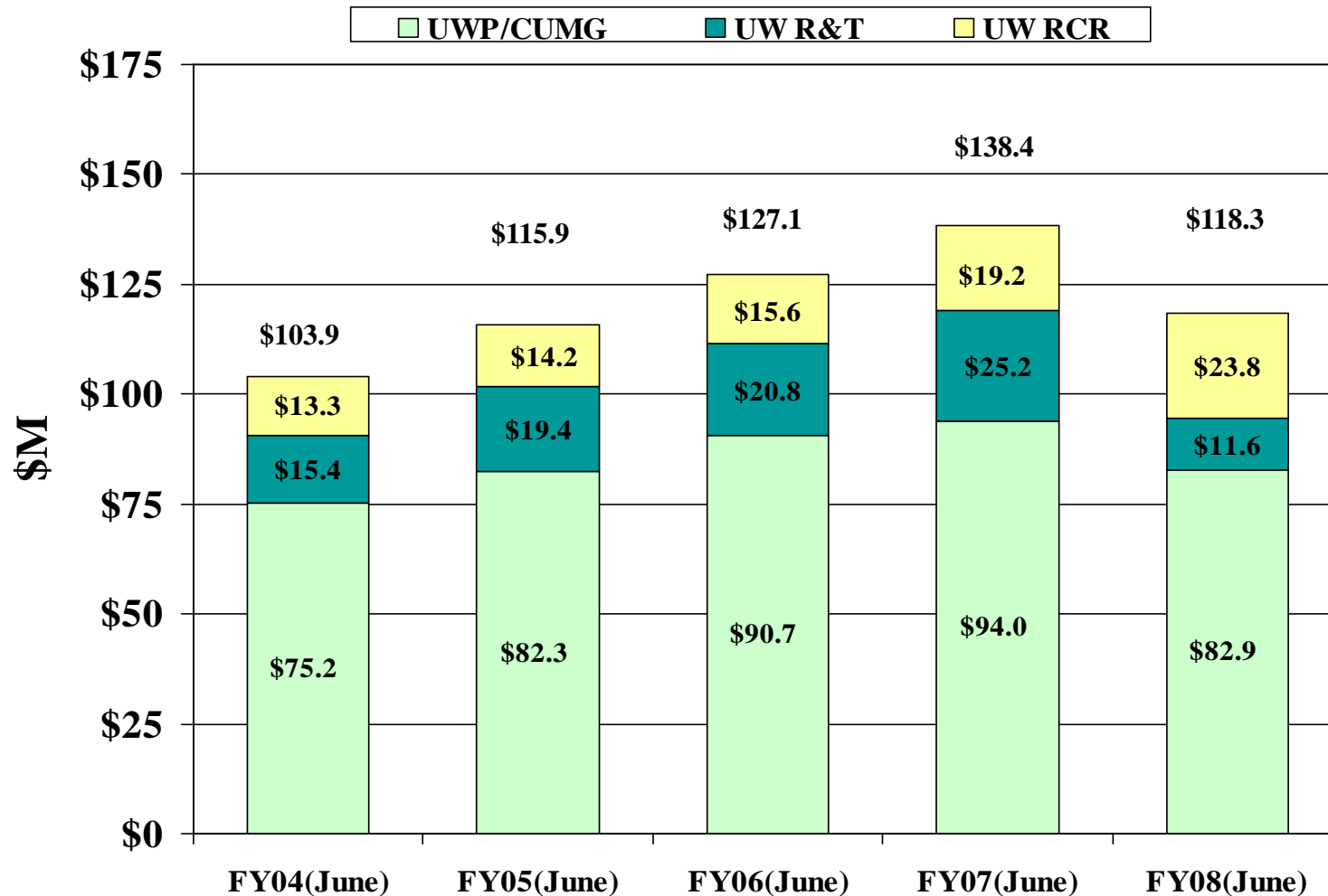
Note 3: Self-sustaining ending fund balance decrease is due to use of equipment reserve funds (Comp Med) and timing of reimbursements. The ending fund balance reflects revenues on a cash basis and does not include receivables from programs such as resident and ITECH.

Note 4: Research and Training funds are funds held at the UW for the Dean's Office as well as department accounts. The source of funds is practice plan or affiliated entity transfers to the School of Medicine. The transfers are made for expense reimbursement or to fund School of Medicine operations. The majority of R&T funds are held at the practice plans (recorded as a liability by the practice plans) and are not included in this fund balance. The ending fund balance reflects revenues on a cash basis and does not include receivables due from the hospitals under department funding agreements. The decrease in ending fund balance from FY07 to FY08 was a planned re-allocation of Dean's Office fund balance between the UW and the CMF reserve held at UWP.

Note 5: Grant revenue is recognized to the extent of expenditures for each reporting period. As a result the ending fund balance is \$0. Unexpended grant and contract revenue is \$394M at 6/30/07 and \$373M at 6/30/08.

Note 6: Market value of endowments is \$508M at 6/30/07 and \$527M at 6/30/08. The increase includes additions to endowments as well as investment earnings.





**Notes to R&T/RCR Reserve Balances slide:**

1. UWP and CUMG reserve balances: Includes Dean's Office reserve balances, Department reserve balances and Lab Medicine payable. Excludes Hall Health payable. FY08 ending fund balance is preliminary. It includes the effect of \$4.2M investment loss, and does not include the 6/30/08 incentive pay-out. This report will be updated when final numbers are available.
2. UW R&T fund balance is mainly unspent transfers from practice plan reserves and hospital support. Includes Dean's Office and SOM Departments, excludes Hall Health R&T. Transfers from the practice plan reserves to the UW R&T accounts are made on a regular basis to fund research, training and operational activities. Balances can be carried forward from year to year, and spending can fluctuate depending on other available fund sources during the year. Decrease in FY04 due to settlement costs. FY08 balance includes the effect of planned transfer of Dean's Office funds to UWP. A/R from hospitals not recorded.
3. UW RCR: Prior period fund balances may change due to the biennial nature of the UW accounting system (the changes are not material).