

UNIVERSITY OF WASHINGTON Board of Regents

September 11, 2008

TO: Members of the Board of Regents

Ex-officio Representatives to the Board of Regents

FROM: Joan Goldblatt, Secretary of the Board of Regents

RE: Schedule of Meetings

WEDNESDAY, SEPTEMBER 17, 2008

6:30 p.m. Hill-Crest **DINNER FOR REGENTS**, AND OTHER GUESTS

THURSDAY, SEPTEMBER 18, 2008

8:30 a.m.–11:00 a.m. 142 Gerberding Hall FINANCE, AUDIT AND FACILITIES

COMMITTEE: Regents Blake (Chr), Barer,

Brotman, Jewell, Kiga, Simon

11:10 a.m.–1:15 p.m. 142 Gerberding Hall ACADEMIC AND STUDENT AFFAIRS

COMMITTEE: Regents Simon (Chr), Barer,

Cole, Gates, Proctor, Willynck

in Joint Session with

FINANCE, AUDIT AND FACILITIES

COMMITTEE: Regents Blake (Chr), Barer,

Brotman, Jewell, Kiga, Simon

1:25 p.m.–2:25 p.m. 142 Gerberding Hall ACADEMIC AND STUDENT AFFAIRS

COMMITTEE: Regents Simon (Chr), Barer,

Cole, Gates, Proctor, Willynck

2:30 p.m. PHOTOGRAPH OF THE BOARD OF

REGENTS

3:00 p.m. Petersen Room REGULAR MEETING OF BOARD OF

Allen Library **REGENTS**

1-1.1/208-09 9/18/08



UNIVERSITY OF WASHINGTON **BOARD OF REGENTS**

Finance, Audit and Facilities Committee Regents Blake (Chair), Barer, Brotman, Jewell, Kiga, Simon

September 18, 2008 8:30 a.m. to 11:00 a.m. 142 Gerberding Hall

1.	Grant and Contract Awards–April and May, 2008 Phyllis M. Wise, Provost and Executive Vice President	ACTION	F-1
2.	Architectural Commission Membership Appointment Richard Chapman, Associate Vice President, Capital Projects Office	ACTION	F-2
3.	Benjamin D. Hall Interdisciplinary Research Building Institute for Advanced Materials Technology Laboratory Tenant Improvements-Project Budget Increase of 10% or more Richard Chapman	ACTION	F-3
4.	Actions Taken Under Delegated Authority Richard Chapman	INFORMATION	F-4
5.	Metropolitan Tract Performance Report for the Quarter Ended June 30, 2008 Jeannette Henderson, Director, Real Estate Office Lisa Stewart, Principal, Urbis Partners, LLC V'Ella Warren, Senior Vice President for Finance and Facilities	INFORMATION	F-5
6.	Investment Performance Report, Fourth Quarter Fiscal Year 2008	INFORMATION	F-6
7.	Magnuson Health Sciences Center J-1/J-2 Microbiology Remodel–Select Architect, Adopt Budget, Approve Use of Alternative Public Works, Delegate Award of Construction Contract, Approve Debt Funding Richard Chapman Eric Smith, Director, Capital Projects South, Capital Projects Office Doug Breckel, Associate Vice President, Treasury Office Wayne Sugai, Assistant Treasurer, Treasury Office James Champoux, Professor and Chair, Department of Microbiology Paul Jenny, Vice Provost, Planning & Budgeting	ACTION	F-7
8.	Student Housing Phase 1–Review Project Concept and Select Architect Richard Chapman Rob Lubin, Assistant Director, Facilities Operations, Housing & Food Services	ACTION	F-8

F-9

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9. **Denny Hall Renovation–Review Schematic Design**

INFORMATION

Richard Chapman

John Palewicz, Director, Capital Projects Central, Capital Projects Office

10. Kuali Student Founding Partnership

INFORMATION F-10

Sara Gomez, Vice Provost/Chief Information Officer, Office of Information Management

11. Executive Session

(to consider the selection of a site or the acquisition of real estate by lease or purchase when public knowledge regarding such consideration would cause a likelihood of **increased** price)

12. **Executive Session**

(to consider the selection of a site or the acquisition of real estate by lease or purchase when public knowledge regarding such consideration would cause a likelihood of **increased** price)

13. **Other Business**

INFORMATION



UNIVERSITY OF WASHINGTON BOARD OF REGENTS

Academic and Student Affairs Committee

Regents Simon (Chr), Barer, Cole, Gates, Proctor, Willynck

In Joint Session with

Finance, Audit and Facilities Committee

Regents Blake (Chr), Barer, Brotman, Jewell, Kiga, Simon

September 18, 2008 11:10 a.m. to 1:15 p.m. 142 Gerberding Hall

1. Revised Facilities and Spaces Naming Policy
Connie Kravas, Vice President for University
Advancement

ACTION F-11

2. Services and Activities Fee-University of Washington, Seattle: ACTION 2008-09 Predesign and Schematic Design Capital Allocations
Eric Godfrey, Vice Provost, Student Life

Matt York, Interim Chair for Services and Activities Fee Committee

3. Ethnic Cultural Center–Review Project Concept and Select Architect

ACTION F–12

A-6

Richard Chapman, Associate Vice President, Capital Projects Office **John Palewicz**, Director, Capital Projects Central, Capital Projects Office

4. Washington Park Arboretum Master Plan

INFORMATION F–13

B. Bruce Bare, Dean and Professor, College of Forestry **Tim Gallagher**, Superintendent of Parks & Recreation, City of Seattle

Barbara Wright, President, Arboretum Foundation

5. Executive Session

(to review the performance of public employees)

6. Executive Session

(to discuss with legal counsel representing the agency litigation to which the governing body or agency is or may be a party, when public knowledge regarding the discussion is likely to result in an adverse legal or financial consequence to the agency)

7. **Executive Session**

(to review the performance of public employees)

8. Other Business INFORMATION



UNIVERSITY OF WASHINGTON BOARD OF REGENTS

Academic and Student Affairs Committee

Regents Simon (Chair), Barer, Cole, Gates, Proctor, Willynck

September 18, 2008 1:25 p.m. to 2:25 p.m. 142 Gerberding Hall

1.	Academic and Administrative Appointments Phyllis M. Wise, Provost and Executive Vice President	ACTION	A-1
2.	Proposal to Establish a Science and Technology Program at the University of Washington Bothell Kenyon Chan, Chancellor, UW Bothell Susan Jeffords, Vice Chancellor for Academic Affairs, UW Bothell	ACTION	A-2
3.	Human Resources, Benefits Office–UW Voluntary Investment Program Plan Changes Kathleen Dwyer, Director of Benefits and Worklife, UW Human Resources	ACTION	A-3
4.	Human Resources, Benefits Office–UWRP Plan Document Update Kathleen Dwyer	INFORMATION	A-10
5.	College of Architecture and Urban Planning Name Change to College of Built Environments Daniel Friedman, Dean, CAUP	ACTION	A-4
6.	College of the Environment Update Phyllis M. Wise	INFORMATION	A-5
7.	Honorary Degree-William H. Gates III Mark A. Emmert, President	ACTION	A-7
8.	Honorary Degree–Melinda French Gates Mark A. Emmert	ACTION	A-8
9.	Honorary Degree–William H. Gates Mark A. Emmert	ACTION	A-9
10.	Other Business	INFORMATION	

AGENDA

BOARD OF REGENTS University of Washington

September 18, 2008 3:00 p.m.–Petersen Room, Allen Library

I.	CALL TO ORDER	(Item No.)
II.	ROLL CALL	
III.	CONFIRM AGENDA	
IV.	REPORT OF THE CHAIR OF THE BOARD OF REGENTS: Regent Barer	
V.	REPORT OF THE UNIVERSITY PRESIDENT: Dr. Emmert	
VI.	CONSENT AGENDA Approval of Minutes of Meeting of July 17, 2008	
	Proposal to Establish a Science and Technology Program at the University of Washington Bothell	A-2
	Human Resources, Benefits Office–UW Voluntary Investment Program Plan Changes	A-3
	College of Architecture and Urban Planning Name Change to College of Built Environments	A-4
	Services and Activities Fee–University of Washington, Seattle: 2008-09 Predesign and Schematic Design Capital Allocations	A-6
	Honorary Degree–William H. Gates III	A-7
	Honorary Degree–Melinda French Gates	A-8
	Honorary Degree–William H. Gates	A-9
	Grant and Contract Awards-April and May, 2008	F-1
	Architectural Commission Membership Appointment	F-2
	Benjamin D. Hall Interdisciplinary Research Building Institute for Advanced Materials Technology Laboratory Tenant Improvements–Project Budget Increase of 10% or more	F-3
	Magnuson Health Sciences Center J–1/J–2 Microbiology Remodel–Select Architect, Adopt Budget, Approve Use of Alternative Public Works, Delegate Award of Construction Contract, Approve Debt Funding	F-7
	Student Housing Phase 1-Review Project Concept and Select Architect	F-8
	Revised Facilities and Spaces Naming Policy	F-11
	Ethnic Cultural Center–Review Project Concept and Select Architect	F-12

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee: Regent Simon, Chair				
Academic and Administrative Appointments (ACTION)	A-1			
College of the Environment Update (Information only)	A-5			
Human Resources, Benefits Office–UWRP Plan Document Update (Information only)	A-10			
Joint Session A. Academic and Student Affairs Committee: Regent Simon, Chair B. Finance and Audit Committee: Regent Blake, Chair				
Washington Park Arboretum Master Plan (Information only)	F-13			
B. Finance, Audit and Facilities Committee: Regent Blake, Chair				
Actions Taken Under Delegated Authority (Information only)	F-4			
Metropolitan Tract Performance Report for the Quarter Ended June 30, 2008 (Information only)	F-5			
Investment Performance Report, Fourth Quarter Fiscal Year 2008 (Information only)	F-6			
Denny Hall Renovation–Review Schematic Design (Information only)	F-9			
Kuali Student Founding Partnership (Information only)	F-10			

VIII. OTHER BUSINESS

Reports from ex-officio representatives to the Board:

Faculty Senate Chair: Professor David Lovell

ASUW President: Mr. Anttimo Bennett

GPSS President: Mr. Jake Faleschini

Alumni Association President: Mr. Rick Osterhout

IX. ELECTION OF OFFICERS OF THE BOARD OF REGENTS: (Per Bylaws)

Chair Vice Chair Secretary Assistant Secretary Treasurer

- X. ELECTION OF MEMBERS OF THE EXECUTIVE COMMITTEE: (Per Bylaws)
- XI. DATE FOR NEXT REGULAR MEETING: Thursday, October 16, 2008 at UW Tower
- XII. ADJOURNMENT

MINUTES

BOARD OF REGENTS University of Washington

September 18, 2008

The Board of Regents held its regular meeting on Thursday, September 18, 2008, beginning at 3:00 p.m. in the Petersen Room of the Allen Library. The notice of the meeting was appropriately provided to the public and the press.

CALL TO ORDER

ROLL CALL

Assistant Secretary Keith called the roll: Present were Regents Barer (presiding), Blake, Cole, Gates, Jewell, Proctor, Simon, Willynck; Dr. Emmert, Dr. Wise, Ms. Warren, Ms. Goldblatt; ex-officio representatives: Professor Lovell, Mr. Bennett, Mr. Faleschini, Mr. Osterhout.

Absent: Regents Brotman and Kiga

CONFIRM AGENDA

The agenda was confirmed as presented. It was agreed that items A–7, A–8, and A–9 would be removed from the Consent Agenda and considered separately.

REPORT OF THE CHAIR OF THE BOARD OF REGENTS: Regent Barer

Regent Barer extended a welcome to Student Regent Jean-Paul Willynck, who was attending his first meeting of the Board of Regents. At Regent Barer's request, Regent Willynck provided the group with a brief description of his background and school experience. Regent Willynck is in the Urban Studies Program at UW Tacoma. He is from Shoreline, has a multicultural family background, and is a graduate of Shorewood High School. Jean-Paul expressed his hope to bring a student perspective to the Board, and his intent to work with colleagues from all three UW campuses.

Regent Barer welcomed ASUW President Anttimo Bennett. Mr. Bennett introduced himself and shared his background as a non-traditional student who hopes to work collaboratively with GPSS, as well as with the Tacoma and Bothell campuses, and the Student Regent to serve the UW's student body.

Regent Barer announced and said that up until now the Board of Regents has never had a way to honor those who have served the needs of education in the State, or provided extraordinary service to the University, and fulfilled their duties beyond any normal expectation. This need inspired the beginning of a tradition of a Regents Medal. This

award is inspired by the career and service of Representative Helen Sommers, comprised of 36 years of dedication to the needs of education in Washington State.

Regent Barer read the resolution of appreciation to Representative Sommers. See Attachment BP–1.

Regent Barer presented Sommers with the first Regents Medal of Honor, engraved with the inscription:

Helen Sommers In appreciation of a lifetime of exemplary public service September, 2008

Representative Sommers expressed her appreciation to the Regents for the Medal and for their recognition. She also described her educational path to the University through correspondence courses she took while living in Venezuela.

Regent Jewell moved the Board approve the Resolution of Appreciation to Helen Sommers. The motion was seconded and received unanimous approval.

REPORT OF THE UNIVERSITY PRESIDENT: Dr. Emmert

President Emmert noted this meeting would be Regent Barer's last as Chair of the Board. The President thanked him for his service to the Board, and added his work was much appreciated by the University.

The President reminded everyone about the upcoming Freshman Convocation on Sunday, September 21, at Hec Ed Pavilion, where a capacity crowd is expected. William H. Gates III will be the keynote speaker and, subject to approval by the Board of Regents, honorary degrees will be conferred upon William H. Gates and William H. Gates III. The honorary degree for Melinda French Gates will be presented on a future date. The Presidential Picnic on the Rainier Vista lawn immediately follows the Convocation. Over 1,200 freshmen and their families are scheduled to attend; this is more than double last year's attendance.

The President expressed his excitement about the start of Autumn Quarter and the return of faculty and students to campus.

The successful Campaign is complete and the gala celebration is on September 26.

President Emmert invited College of Education Dean Pat Wasley to announce an upcoming opportunity. Dean Wasley described a recent visit from Holli Martinez, who, along with her husband, offered the support of the newly-formed Edgar and Holli Martinez Foundation to the College of Education. They plan to fund scholarships to be used to recruit minorities into teaching. Dean Wasley invited the Regents to the Martinez Foundation's first fundraising event to be held Saturday, October 18. Proceeds will be dedicated to the University of Washington, and the designated colleague institution, Washington State University. Dean Wasley is looking forward to a long-term partnership

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with Edgar and Holli Martinez. UW and WSU each look forward to funding eight to ten scholarships each year with the help of the Martinez Foundation.

Regent Proctor expressed appreciation to the Martinez family for their extraordinary dedication to the University.

Regent Cole praised Dean Wasley for her efforts and success.

President Emmert announced the results of recent rankings in various areas. The UW's performance was recognized in many areas including UW Medicine. The University Medical Center placed in the top ten in the United States, largely due to the rankings of individual areas of the Center. Out of 5,400 hospitals in the United States the UW ranked second in rehabilitation medicine, sixth in cancer, eleventh in gynecology, and thirteenth in orthopedics, geriatrics, and EMT.

In the Shanghai Jiao Tong Global 500 rankings, the University of Washington was ranked the sixteenth best University on Earth, based on faculty publications in the most prestigious journals, research funding levels received, honors received by faculty such as academy of science memberships and Nobel prizes. The University of Washington was ranked the third best university on Earth in the areas of medicine, pharmacy, and biomedical research.

The President spoke about UW's rankings in the area of sustainability and impact on global climate change. Sierra Magazine listed the UW as ninth in the country in terms of green initiatives. The UW was one of the eleven universities included on the Princeton Review's green rating honor roll.

The President introduced and welcomed Linden Rhoads, Vice Provost for UW Technology Transfer. Ms. Rhoads is a graduate of UW Law School and an experienced venture capitalist. The administration believes she brings great experience to the office of Technology Transfer.

The President announced his appointment of Scott Woodward as Athletic Director, and said Randy Hodgins will serve as interim Vice President of External Affairs through the 2009 legislative session.

President Emmert reminded the group of Homecoming on Saturday, October 18, and the Multicultural Alumni Partnership (MAP) Bridging-the-Gap breakfast that morning.

CONSENT AGENDA

Regent Barer then said there were 12 items for approval on the consent agenda, and called for a motion.

MOTION:

Upon the recommendation of the Chair of the Board and the motion made by Regent Jewell and seconded, the Board voted to approve the twelve items on the consent agenda as shown below:

Minutes for the meeting of July 17, 2008

Proposal to Establish a Science and Technology Program at UWB (Agenda no. A–2)

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents approve the establishment of a Science and Technology Program at the University of Washington Bothell.

See Attachment A-2.

<u>Human Resources, Benefits Office - UW Voluntary Investment Program Plan</u> <u>Changes</u> (Agenda no. A–3)

It is the Recommendation of the Academic and Student Affairs Committee that the Board of Regents approve changes to the UW Voluntary Investment Program (VIP) to meet Internal Revenue Code Section 403(b) requirements which are effective January 1, 2009.

See Attachment A–3.

<u>College of Architecture and Urban Planning Name Change to College of Built Environments</u> (Agenda no. A–4)

It is the recommendation of the administration and the Academic and Student Affairs Committee that the name of the College of Architecture and Urban Planning be changed to the College of Built Environments effective October 1, 2008.

See Attachment A-4

Services and Activities Fee–University of Washington, Seattle: 2008-09 Predesign and Schematic Design Capital Allocations (Agenda no. A–6)

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents approve for the Seattle campus:

- 1) Allocating \$4,848,513 from the Student Facilities Bond Fund (Golf Driving Range Balance) for predesign and schematic design for the following capital projects:
- a) Ethnic Cultural Center Renovation Predesign \$850,000
- b) Hall Health Primary Care Center Renovation Predesign Capital \$1,031,513
- c) HUB Renovation/Expansion Predesign and Schematic Design- \$2,967,000

See Attachment A–6.

Grant and Contract Awards – April and May, 2008 (Agenda no. F–1)

It was the recommendation of the administration and the Finance, Audit and Facilities Committee that the Board of Regents accept Grant and Contract Awards for the month of April, in the total amount of \$83,679,950, and for the month of May, in the total amount of \$74,517,410.

See Attachment F-1.

Architectural Commission Membership Appointment (Agenda no. F-2)

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the President be delegated authority to re-appoint Norman Pfeiffer to the University of Washington Architectural Commission commencing immediately and ending in October 2012.

See Attachment F–2.

Benjamin D. Hall Interdisciplinary Research Building Institute for Advanced materials Technology Laboratory Tenant Improvements—Project Budget Increase of 10% or more (Agenda no. F–3)

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the Benjamin D. Hall Interdisciplinary Research Building Institute for Advanced Materials Technology Laboratory (iAMT) Tenant Improvements project budget be increased from \$5,350,100 to \$6,610,000 and that the President be delegated authority to award a construction change order to the firm of M. A. Mortenson Company subject to no further significant changes in the scope, the forecast cost being within 10% of the revised budget and funding being in place.

See Attachment F-3

Magnuson Health Sciences Center J-1/J-2 Microbiology Remodel-Select Architect, Adopt Budget, Approve Use of Alternative Public Works, Delegate Award of Construction Contract, Approve Use of Debt Funding (Agenda no. F-7)

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the Board of Regents approve:

- 1. the project budget be established at \$23,500,000;
- 2. the selection of Ambia as the project Architect, and in the event of an unsuccessful negotiation with the selected firm, it is requested that authority be delegated to open negotiations with Perkins & Will, in;
- 3. the use of alternative public works utilizing the General Contractor/Construction Manager (GC/CM) method of contracting;
- 4. financing the construction of the project referenced above through the issuance of up to \$15.7 million in short term notes (commercial paper) and the issuance of

long term debt in the amount required to pay off the notes when UW General Revenue Bonds are next issued;

- 5. delegation of authority to the President or his designee to:
- a. award the GC/CM construction contract to the selected firm, subject to the scope, budget and funding remaining within 10% of the established budget;
- b. execute documents as required to complete the interim and permanent financings, including the authority to set maturities and roll periods for the short term notes and enter into a rate lock prior to obtaining permanent financing.

See Attachment F–7.

<u>Student Housing Phase 1–Review Project Concept and Select Architect</u> (Agenda no. F–8)

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the President be delegated authority to award a design contract for the Phase I Housing Project to the firm of Mahlum Architects subject to successful negotiation of an architectural agreement.

In the event of an unsuccessful negotiation with the selected firm, it is requested that authority be delegated to open negotiations with Mithun, the firm recommended as the alternate.

See Attachment F–8.

Revised Facilities and Spaces Naming Policy (Agenda no. F–11)

It is the recommendation of the Finance, Audit and Facilities Committee that the Board of Regents approve the Revised Facilities and Spaces Naming Policy. The revised policy will go into effect immediately upon approval of the Board of Regents.

See Attachment F-11

Ethnic Cultural Center-Review Project Concept and Select Architect (Agenda no. F–12)

Conditional upon Regental approval of project funding, it is the recommendation of the administration and the Finance, Audit and Facilities Committee that the President be delegated authority to award a design contract for the Ethnic Cultural Center Expansion Project to the firm of Rolluda Architects, Inc., in association with Larry McFarland Architects, subject to successful negotiation of an architectural agreement.

In the event of an unsuccessful negotiation with the selected firm, it is requested that authority be delegated to open negotiations with Opsis Architecture LLP, the firm recommended as the alternate.

See Attachment F–12.

MOTION:

Upon the recommendation of the Chair of the Board and the motion made by Regent Proctor and seconded by Regent Jewell, the Board voted to approve the three items on the consent agenda as shown below. Regents Blake and Gates abstained from this vote.

Honorary Degree-William H. Gates III (Agenda no. A-7)

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents approve the granting of an Honorary Doctor of Humane Letters degree to William H. Gates III, computer software pioneer and cofounder of Microsoft, and world philanthropist and co-founder of the Bill & Melinda Gates Foundation.

See Attachment A–7.

Honorary Degree–Melinda French Gates (Agenda no. A-8)

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents approve the granting of an Honorary Doctor of Humane Letters degree to Melinda French Gates, co-founder of the Bill & Melinda Gates Foundation.

See Attachment A–8.

Honorary Degree-William H. Gates (Agenda no. A-9)

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents approve the granting of an Honorary Doctor of Humane Letters degree to William H. Gates, prominent Seattle attorney, civic stalwart, University of Washington Regent, and co-chair from its inception of the Bill & Melinda Gates Foundation.

See Attachment A–9.

STANDING COMMITTEES

ACADEMIC AND STUDENT AFFAIRS COMMITTEE: Regent Simon, Chair

At the request of Regent Simon, Provost Wise highlighted two academic appointments.

Patricia Kuhl was appointed to hold the Virginia and Prentice Bloedel Professorship in the Institute in Learning and Brain Sciences (I-LABS).

Howard Behar was appointed Visiting Professor of Management and Organization, and

holder of the Edward V. Fritzky Endowed Visiting Chair in Leadership, at the Foster School of Business.

Academic and Administrative Appointments (Agenda no. A–1)

MOTION: Upon the recommendation of the administration and a motion and second

by the Regents, the Board voted to approve the personnel appointments.

Regent Willynck abstained from the discussion and vote.

See Attachment A–1.

<u>College of the Environment Update</u> (Agenda no. A–5) (Information only)

Regent Simon said that Provost Wise provided the Board with an update on the College of the Environment. The Provost plans to provide a comprehensive report to the Board at the January, 2009 meeting. Provost Wise and President Emmert met with the College of Forest Resources Visiting Committee, as well as with several legislators, to continue to explain what the college is doing and how it is growing. They also held positive meetings with prospective donors, and received one gift of \$4 million and another for \$200,000 to support the graduate program in the conservation of living systems.

The Provost said Interim Dean Hartmann is currently developing the vision statement, governance and curriculum for the College.

See Attachment A–5.

<u>Human Resources Benefits Office-UWRP Plan Document Update</u> (Agenda no. A–10) (Information only)

Kathleen Dwyer, Director of Benefits & Worklife, UW Human Resources, provided the Board with a presentation on proposed changes to the UW Retirement Program Plan for faculty, professional staff, and librarians.

Because of recent updates by the Internal Revenue Service, the UWRP must be split into two plans, separating the supplemental benefit portion from the defined contribution plan. The two plans will exist in parallel with participants enrolled in both plans.

Ms. Dwyer plans to bring this item to the Board in October for action.

See Attachment A–10.

FINANCE, AUDIT AND FACILITIES COMMITTEE: Regent Blake, Chair In joint session with

ACADEMIC AND STUDENT AFFAIRS COMMITTEE: Regent Simon, Chair

Washington Park Arboretum Master Plan (Agenda no. F–13) (Information only)

See Attachment F–13.

FINANCE, AUDIT AND FACILITIES COMMITTEE: Regent Blake, Chair

Actions Taken Under Delegated Authority (Agenda no. F–4) (Information only)

See Attachment F-4.

Metropolitan Tract Performance Report for the Quarter Ended June 30, 2008 (Agenda no. F–5) (Information only)

See Attachment F–5.

<u>Investment Performance Report, Fourth Quarter Fiscal Year 2008</u> (Agenda no. F–6) (Information only)

Regent Blake described the briefing the Board received on the University's investments. The summary was timely and focused on current market conditions. UW's investment professionals have been relatively conservative in handling the University's portfolio. Contractual arrangements are in place which could be helpful to the securities lending portfolio. The analysts told the Board the UW may not get through the current situation unscathed but will not be significantly impacted by what is currently happening in the market. They assured the Board the University's funds are in good hands. They discussed liquidity to meet short-term obligations, and conveyed their opinion that it was a good time to have established an internal lending program to give the University control and flexibility for long-term financing. The University will have short-term financing needs for current construction projects, but current liquidity will meet those needs.

See Attachment F–6.

<u>Denny Hall Renovation–Review Schematic Design</u> (Agenda no. F–9) (Information only)

Regent Blake described the schematic design for the Denny Hall Renovation. She expressed hope the legislature will fund the renovation in the next session.

See Attachment F-9.

<u>Kuali Student Founding Partnership</u> (Agenda no. F–10) (Information only)

The Regents heard a presentation on a proposed consortium of higher education institutions formed to develop a next-generation, state-of-the art system to administer student records. The Board of Regents will receive a proposal for the UW to join this consortium as a founding partner at the October, 2008 meeting.

See Attachment F-10.

Regent Blake highlighted action items including a review of the project concept and selection of the architect for Phase 1 of the Student Housing Project. This project is on a

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fast track for occupancy in three years for two of the three on-campus student housing units. There is currently a shortage of on-campus student housing.

Regent Barer noted there was an information item was presented during the joint session on the Washington Park Arboretum master plan and potential impact of SR-520 construction on the arboretum.

REPORTS FROM EX OFFICIO REPRESENTATIVES TO THE BOARD OF REGENTS

Faculty Senate Chair: Professor David Lovell

Regent Barer welcomed Professor Lovell to his first meeting as Chair of the Faculty Senate.

Professor Lovell introduced himself as a research associate professor in the Department of Psychosocial and Community Health, in the School of Nursing. He holds degrees in philosophy and social work, and has followed an unconventional path at the university where he appreciates the opportunities the university has provided to him.

He said he feels it is important for the faculty to carry through their agenda in collaboration with the administration. Faculty Senate priority items for the coming year are:

College of the Environment

The Faculty Senate hopes to insure the required conversations take place between faculty and administrators to make this ambitious project a success.

RCEP

The Senate will continue to work on revising the procedures for Reorganization, Consolidation, and Elimination of Programs (RCEP) to assure decisions are made in a transparent and open manner.

Governance

The Senate plans to work on revisions to the Faculty Senate governance structure to make the Senate a more efficient group, a smaller group, and one that includes representatives from school and college local faculty councils.

In closing, Professor Lovell explained why he feels it is important to have an effective instrument of faculty participation in the governance of the university. He described faculty as representing the ultimate soul of the university, which has the essential function of bringing students into a wider view of who they are and the world in which they live.

Commenting on the importance of an effective instrument of governance at the University, Professor Lovell noted that it is easier to change the direction of a train by being part of the conversation in the engine room than it would be by dragging your feet behind the caboose.

ASUW President: Mr. Anttimo Bennett

Mr. Bennett said ASUW Officers had recently completed orientation and are eager to serve the student body.

Along with tackling collaboration with the GPSS and the branch campuses, the ASUW has priorities which Mr. Bennett will report on further at the next meeting.

Mr. Bennett introduced himself as a senior in the School of Social Work. He is pursuing a degree in Social Welfare and American Ethnic Studies, with a minor in Diversity.

Regent Barer asked Mr. Bennett if he planned to continue the practice set by his predecessors who coordinated with the ASUW Presidents of Bothell and Tacoma and gave them opportunities to participate at Board meetings. Mr. Bennett said he planned to continue with this practice of collaboration.

GPSS President: Mr. Jake Faleschini

Mr. Faleschini said he is looking forward to a great start of the year and reported GPSS has been busy over the last couple of months. Faleschini congratulated the Board on their action on student housing, and praised the Board for demonstrating a vision for what the University District should look like in the future. As they have traditionally done, GPSS officers have attended new graduate professional student orientations. GPSS leaders have begun making approximately 200 appointments to University-wide committees. GPSS has started work with the ASUW and the Washington Student Lobby to begin crafting the lobbying agenda for the year. They are also working with President Emmert and Provost Wise to assure the University approaches the legislature with a unified vision this year so together all achieve their goals.

GPSS is planning socials for the year and has scheduled the first senate meeting on Wednesday, October 1. Mr. Faleschini invited the Regents to all GPSS events.

GPSS is working with Eric Godfrey to reform student health insurance programs to better serve the needs of graduate and professional school students. A summit on physical, social, and institutional health in Washington, hosted by GPSS, is tentatively scheduled on Friday, November 21, in the Connibear Shellhouse. Potential panelists they hope to attract include friends at the Gates Foundation.

In closing, Faleschini made a personal pledge to raise private money to lower the student cost of the HUB renovation. His goal is to raise \$20 to \$25 million, which would reduce the cost to students of the HUB renovation to \$100 million or less. He requested support from the University and stated his hope that Regents will join in this pledge. The revised design for the HUB should lower overall energy cost to the University by a significant margin. The UW currently spends about half a million dollars annually on utilities for the HUB. A LEED Gold certification would reduce the cost by roughly 50%, which could save the university \$20 to \$25 million when projected over the life of the building.

Regent Proctor expressed her appreciation to the students for their input to the HUB renovation design in order to lower the cost.

Regent Willynck recognized the Presidents of UW Bothell and Tacoma in attendance.

Alumni Association President: Mr. Rick Osterhout

Regent Barer welcomed Mr. Osterhout and noted this was his first Regents meeting as UWAA President.

Mr. Osterhout recognized and thanked Mr. Bennett and Mr. Faleschini for their membership and participation on the UWAA Board.

Osterhout reported UWAA is in its 119th year. It was formed in 1889 when Washington became a state.

Mr. Osterhout noted members of his team were also present. He introduced President Elect Eddie Pasatiempo, who shared that he is a member of the class of 1976, and graduated with a BA in communications. He attended UW on a baseball scholarship. Currently he is a partner in the Clarion Group, a management consulting firm. Mr. Pasatiempo described his nomadic background; he moved eleven times in 16 years for his career, and attended three different high schools and four different junior high schools. When he came to UW he found a home. The foundation of continuity in his life and career has been the UW. His enthusiastic commitment to the university is due to the solid foundation it has provided.

Mr. Osterhout introduced UWAA Vice President Colleen Fukui-Sketchley. Ms. Fukui-Sketchley is corporate diversity affairs specialist for Nordstrom, and along with UWAA, she volunteers on the Friends of EOP Board.

Mr. Osterhout invited Alumni Association Executive Director Chuck Blumenfeld to present the UWAA annual report to the Board. In his summary, Mr. Blumenfeld told the Board that the UWAA had a great year due to partnerships established across campus, offering lifelong learning opportunities; career assistance and mentoring; and events which engaged thousands of alumni and friends of the University.

In the coming year the UWAA will focus on strategic objectives and key elements.

The constituent relations program will align the UWAA more closely with academic units and departments on campus. UWAA is playing a significant role in helping the university implement the integrated advancement model. The UW is a big place and students and alums tend to feel affinity for smaller units such as colleges, departments, programs, professors, or living groups. The Alumni Association is working with units across campus to create a vision of how to better engage alumni populations at the point of this strongest affinity. That vision is now being realized through a robust program of information, engagement, and action in each unit. Electronic newsletters, alumni events, and follow-up are the basic components of this effort.

The UWAA also learned that alumni are likely to engage as alumni if they were engaged as students. The UWAA is working with Eric Godfrey in the Office of Student Life, Ed Taylor in Undergraduate Academic Affairs, and Sheila Edwards Lange in the Office of Minority Affairs and Diversity to see how the UW can better connect with students while they are on campus and even before they arrive. Working with Admissions and First Year Programs, the UWAA connected incoming students with alumni in their home states, where students were welcomed through a series of geographic events throughout the country. These events allowed alumni to share advice, insight, and experience with incoming students and created positive connections. It was an expression of the UW alumni community at work. UWAA is planning to expand the program to prospective students who have been accepted to the university but have not yet decided whether to attend.

A package of welcome information was distributed to the Regents; Blumenfeld reported that this package was sent to 7,500 incoming students on all three campuses. The UWAA's goal is to instill in every student how he or she is part of the university community and a "Husky for Life," from the moment they walk on campus.

Blumenfeld said with thousands of students living abroad, the UWAA plans to play an important and strategic role in the University's international agenda. UWAA is currently working to implement broad-based engagement programs and services for the international alumni community. The goal is to improve international alumni chapter infrastructure and alumni communications by targeting new and cost-effective on-line communication initiatives to increase international alumni awareness and engagement. UWAA is integrating an international emphasis in many of its core programs.

Blumenfeld highlighted recent issues of Columns and Viewpoints alumni publications. He also invited Regents to attend the MAP breakfast.

Mr. Blumenfeld concluded by thanking the Regents for the opportunity to share the important work of the Alumni Association in support of the institution. He expressed his personal goal to insure that no UW alumni can ever say that the only time they have ever heard from the university was when we are asking them for money.

Mr. Osterhout listed his three focus areas for Autumn Quarter. They are:

- 1) Advocacy–getting UW's message to the legislature
- 2) Diversity-broadening efforts; plans to form a task force
- 3) Alumni Center–moving to new space in the UW Tower's "S" building.

ELECTION OF OFFICERS OF THE BOARD OF REGENTS:

Regent Barer stated it was time to elect the Officers for the 2008-09 year, and nominated a slate of officers.

According to the Bylaws of the Board of Regents, officers of the Board are to be elected each year at the regular September meeting. Upon the motion made by Regent Simon and seconded by Regent Jewell, the vote was cast for Craig Cole to be President and

Herb Simon to be Vice President of the Board of Regents, and for the incumbents in the other offices of the Board to be re-elected.

Officers of the Board for 2008-09

Chair: Craig Cole
Vice Chair: Herb Simon
Treasurer: V'Ella Warren
Secretary: Joan Goldblatt
Assistant Secretary: Kelly Keith

Regent Barer passed the gavel to Regent Cole. Regent Cole thanked the board and said what a great honor it is to be elected Chair. He went on to thank Regent Barer for his year of outstanding service as Chair to the Board. Regent Cole presented Regent Barer with an engraved gavel as a token of the Board's appreciation and respect.

Regent Barer thanked the Board for the great honor and opportunity to serve as Chair of the Board, noting he enjoyed the challenge of herding an impressive group of "cats." He praised the common commitment he found apparent, and the commitment to do the right thing for the University which takes priority over any other motivation. Barer added that he found that commitment to be extraordinary. Barer said the Board has a healthy cooperative relationship between management and its governing board, with the example set by Dr. Emmert and Provost Wise. He added that the atmosphere on campus reflects the level of cooperation between faculty, administration, alumni, and students, noting that they are all "Rowing the boat in the same direction."

ELECTION OF MEMBERS OF THE EXECUTIVE COMMITTEE

The Bylaws require that members of the Executive Committee be elected at the annual September meeting of the Board. Regent Cole recommended that all Board members serve as members of the Executive Committee. Upon the motion made by Regent Jewell, seconded by Regent Proctor, a unanimous vote was cast to elect the following members:

Craig W. Cole, Chairman, ex officio

Stanley H. Barer Frederick C. Kiga Jeffrey H. Brotman Constance L. Proctor

Kristianne Blake Herb Simon

William H. Gates Jean-Paul A. Willynck

Sally Jewell

STANDING COMMITTEE APPOINTMENTS

Regent Cole announced the standing committee appointments for 2008-09:

Academic and Student Affairs Committee

Herb Simon, Chair Constance L. Proctor, Vice Chair William H. Gates September 18, 2008

Stanley H. Barer Jean-Paul A. Willynck

Finance, Audit and Facilities Committee

Kristianne Blake, Chair Frederick C. Kiga, Vice Chair Jeffery H. Brotman Sally Jewell Herb Simon

Regent Cole thanked Board members for their willingness to serve and the Committee Chairs for their continued leadership.

DATE FOR NEXT MEETING

The next regular meeting of the Board of Regents will be held on Friday, October 16, 2008, at the UW Tower.

ADJOURNMENT

The regular meeting was adjourned at 4:30 p.m.

Joan Goldblatt

Secretary of the Board of Regents

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Academic and Administrative Appointments

RECOMMENDED ACTION:

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents approve the appointments to the University faculty and administration as presented on the attached list.

Attachment: Personnel Recommendations

COLLEGE OF ARCHITECTURE AND URBAN PLANNING

DEPARTMENT OF ARCHITECTURE

NEW APPOINTMENTS

CORSER, ROBERT J.

(BA, 1989, UNIVERSITY OF NEW HAMPSHIRE; MArch, 1993, UNIVERSITY OF VIRGINIA; MDes, 2003, HARVARD UNIVERSITY) TO BE ASSISTANT PROFESSOR OF ARCHITECTURE AT A SALARY RATE OF \$58,005 OVER NINE MONTHS, EFFECTIVE 9/1/2008. (PRIOR TO THIS APPOINTMENT, MR. CORSER WAS AN ASSISTANT PROFESSOR OF DESIGN AT THE UNIVERSITY OF KANSAS.)

GRIGGS, JAMES KIMO SAFFORD

(BA, 1979, YALE UNIVERSITY; MArch, 1984, YALE UNIVERSITY) TO BE ASSISTANT PROFESSOR OF ARCHITECTURE AT A SALARY RATE OF \$72,000 OVER NINE MONTHS, EFFECTIVE 9/1/2008. (PRIOR TO THIS APPOINTMENT, MR. GRIGGS WAS A LECTURER AT THE YALE SCHOOL OF ARCHITECTURE.)

HUPPERT, ANN CLAIRE

(BA, 1988, VASSAR COLLEGE; MA, 1992, UNIVERSITY OF VIRGINIA; PHD, 2001, UNIVERSITY OF VIRGINIA) TO BE VISITING ASSISTANT PROFESSOR OF ARCHITECTURE AT A SALARY RATE OF \$12,360 OVER SIX MONTHS, EFFECTIVE 12/16/2008. (DR. HUPPERT IS AN ASSISTANT PROFESSOR IN THE SCHOOL OF ARCHITECTURE AND URBAN PLANNING AT THE UNIVERSITY OF KANSAS.)

PROKSCH, GUNDULA

(BArch, 1997, UNIVERSITY OF TECHNOLOGY (AUSTRALIA); MArch, 2000, CORNELL UNIVERSITY) TO BE ASSISTANT PROFESSOR OF ARCHITECTURE AT A SALARY RATE OF \$59,004 OVER NINE MONTHS, EFFECTIVE 8/18/2008. (PRIOR TO THIS APPOINTMENT, MS. PROKSCH WAS A MEMBER OF THE DESIGN FACULTY AT PARSONS THE NEW SCHOOL FOR DESIGN.)

DEPARTMENT OF CONSTRUCTION MANAGEMENT

NEW APPOINTMENTS

LIN, KEN-YU

(BS, 1997, NATIONAL TAIWAN UNIVERSITY; MS, 1999, NATIONAL TAIWAN UNIVERSITY; PHD, 2005, UNIVERSITY OF ILLINOIS) TO BE ASSISTANT PROFESSOR OF CONSTRUCTION MANAGEMENT AT A SALARY RATE OF \$70,200 OVER NINE MONTHS. EFFECTIVE 8/1/2008.

COLLEGE OF ARTS AND SCIENCES

AMERICAN ETHNIC STUDIES

NEW APPOINTMENTS

STEPTOE, TYNA

(BS, 1999, UNIVERSITY OF TEXAS (AUSTIN); MA, 2002, UNIVERSITY OF WISCONSIN-MADISON; PHD, 2008,) TO BE ASSISTANT PROFESSOR OF AMERICAN ETHNIC STUDIES AT A SALARY RATE OF \$60,003 OVER NINE MONTHS, EFFECTIVE 9/16/2008.

DEPARTMENT OF ANTHROPOLOGY

ADMINISTRATIVE APPOINTMENTS

SHELL-DUNCAN, BETTINA

(BA, 1985, NORTHWESTERN UNIVERSITY; MS, 1988, UNIVERSITY OF WISCONSIN-MADISON; PHD, 1994, PENNSYLVANIA STATE UNIVERSITY) TO BE CHAIR OF ANTHROPOLOGY, EFFECTIVE 7/1/2008. (DR. SHELL-DUNCAN WILL CONTINUE AS PROFESSOR OF ANTHROPOLOGY AND ADJUNCT PROFESSOR OF GLOBAL HEALTH-PUBLIC HEALTH.)

NEW APPOINTMENTS

MARWICK, BENJAMIN

(BA, 1999, UNIVERSITY OF WEST AUSTRALIA; MA, 2002, UNIVERSITY OF WEST AUSTRALIA; PHD, 2008, AUSTRALIAN NATIONAL UNIVERSITY) TO BE ASSISTANT PROFESSOR OF ANTHROPOLOGY AT A SALARY RATE OF \$63,000 OVER NINE MONTHS, EFFECTIVE 6/3/2008. (PHD AWARDED JUNE 2008 FROM THE AUSTRALIAN NATIONAL UNIVERSITY, AUSTRALIA.)

DEPARTMENT OF BIOLOGY

NEW APPOINTMENTS

IMAIZUMI, TAKATO

(BS, 1996, TOKYO METROPOLITAN UNIVERSITY; MS, 1998, TOKYO METROPOLITAN UNIVERSITY; PHD, 2001, TOKYO METROPOLITAN UNIVERSITY) TO BE ASSISTANT PROFESSOR OF BIOLOGY AT A SALARY RATE OF \$72,999 OVER NINE MONTHS, EFFECTIVE 9/16/2008. (PRIOR TO THIS APPOINTMENT, DR. IMAIZUMI WAS AN ASSOCIATE RESEARCH SCIENTIST AT THE UNIVERSITY OF CALIFORNIA, SAN DIEGO.)

DEPARTMENT OF EARTH AND SPACE SCIENCES

NEW APPOINTMENTS

CATLING, DAVID

(BSC, 1990, UNIVERSITY OF BIRMINGHAM (UK); PHD, 1994, UNIVERSITY OF OXFORD (UK)) TO BE ASSOCIATE PROFESSOR WITHOUT TENURE (3 YEARS) OF EARTH AND SPACE SCIENCES AT A SALARY RATE OF \$90,000 OVER NINE MONTHS, EFFECTIVE 3/16/2009. (DR. CATLING IS CURRENTLY A READER IN EARTH SCIENCES AT THE UNIVERSITY OF BRISTOL, ENGLAND.)

SCHOOL OF INTERNATIONAL STUDIES

ENDOWED APPOINTMENTS

GODOY, ANGELINA SNODGASS

(BA, 1994, HARVARD UNIVERSITY; MA, 1997, UNIVERSITY OF CALIFORNIA (BERKELEY); PHD, 2001, UNIVERSITY OF CALIFORNIA (BERKELEY)) TO BE HOLDER OF THE HELEN H. JACKSON ENDOWED CHAIR IN HUMAN RIGHTS, EFFECTIVE 10/1/2008. (PROFESSOR GODOY WILL CONTINUE AS ASSOCIATE PROFESSOR OF INTERNATIONAL STUDIES AND ASSOCIATE PROFESSOR OF LAW, SOCIETIES AND JUSTICE.)

NEW APPOINTMENTS

TOKUNO, KYOKO

(BA, 1977, UNIVERSITY OF CALIFORNIA (BERKELEY); MA, 1983, UNIVERSITY OF CALIFORNIA (BERKELEY); PHD, 1994, UNIVERSITY OF CALIFORNIA (BERKELEY)) TO BE SENIOR LECTURER FULL-TIME OF INTERNATIONAL STUDIES AT A SALARY RATE OF \$55,071 OVER NINE MONTHS, EFFECTIVE 9/16/2008. (PRIOR TO THIS APPOINTMENT, DR. TOKUNO WAS AN ASSISTANT PROFESSOR IN THE SAME DEPARTMENT.)

DEPARTMENT OF MATHEMATICS

ENDOWED APPOINTMENTS

THOMAS, REKHA

(BSC, 1989, UNIVERSITY OF DELHI (INDIA); MS, 1992, CORNELL UNIVERSITY; PHD, 1994, CORNELL UNIVERSITY) TO BE HOLDER OF THE ROBERT R. & ELAINE F. PHELPS ENDOWED PROFESSORSHIP, EFFECTIVE 9/16/2008. (PROFESSOR THOMAS WILL CONTINUE AS PROFESSOR OF MATHEMATICS.)

NEW APPOINTMENTS

BEZUGLYI, SERGEY

(MS, 1976, KHARKOV NATIONAL UNIVERSITY; PHD, 1982, KHARKOV NATIONAL UNIVERSITY) TO BE VISITING PROFESSOR OF MATHEMATICS AT A SALARY RATE OF \$21,000 OVER THREE MONTHS, EFFECTIVE 9/16/2008. (DR. BEZUGLYI IS A SENIOR RESEARCH FELLOW AT THE UKRAINIAN ACADEMY OF SCIENCES, UKRAINE.)

DAIRBEKOV, NURLAN

(BSC, 1983, NOVOSIBIZSK STATE UNIVERSITY (RUSSIA); PHD, 1986, RUSSIAN ACADEMY OF SCIENCES (RUSSIA); DSc, 1996, RUSSIAN ACADEMY OF SCIENCES (RUSSIA)) TO BE VISITING PROFESSOR OF MATHEMATICS AT A SALARY RATE OF \$21,000 OVER THREE MONTHS, EFFECTIVE 12/16/2008. (DR. DAIRBEKOV IS A PROFESSOR OF MATHEMATICS AT SULEIMAN DEMIREL UNIVERSITY, KAZAKHSTAN.)

EASTON. ROBERT

(BS, 2002, UNIVERSITY OF MICHIGAN; PHD, 2007, STANFORD UNIVERSITY) TO BE VISITING ASSISTANT PROFESSOR OF MATHEMATICS AT A SALARY RATE OF \$16,002 OVER THREE MONTHS, EFFECTIVE 9/16/2008. (DR. EASTON IS AN ASSISTANT PROFESSOR OF MATHEMATICS AT THE UNIVERSITY OF UTAH.)

KIM, KYEONG-HUM

(BS, 1997, KOREA UNIVERSITY (KOREA); MS, 1999, KOREA UNIVERSITY (KOREA); PHD, 2004, UNIVERSITY OF MINNESOTA) TO BE VISITING ASSISTANT PROFESSOR OF MATHEMATICS AT A SALARY RATE OF \$16,002 OVER THREE MONTHS, EFFECTIVE 9/16/2008. (DR. KIM IS AN ASSISTANT PROFESSOR OF MATHEMATICS AT KOREA UNIVERSITY, KOREA.)

PEVTSOVA. JULIA

(BS, 1997, ST. PETERSBURG STATE UNIVERSITY (RUSSIA); PHD, 2002, NORTHWESTERN UNIVERSITY) TO BE ASSISTANT PROFESSOR OF MATHEMATICS AT A SALARY RATE OF \$73,107 OVER NINE MONTHS, EFFECTIVE 9/16/2008. (PRIOR TO THIS APPOINTMENT, DR. PEVTSOVA WAS AN ACTING ASSISTANT PROFESSOR-TEMPORARY IN THE SAME DEPARTMENT.)

DEPARTMENT OF SOCIOLOGY

NEW APPOINTMENTS

DECHTER, AIMEE

(BS, 1983, CORNELL UNIVERSITY; MA, 1987, UNIVERSITY OF PENNSYLVANIA; PHD, 1991, UNIVERSITY OF PENNSYLVANIA) TO BE VISITING ASSISTANT PROFESSOR OF SOCIOLOGY AT A SALARY RATE OF \$65,691 OVER NINE MONTHS, EFFECTIVE 9/16/2008. (DR. DECHTER IS AN ASSISTANT PROFESSOR OF SOCIOLOGY AT THE UNIVERSITY OF WISCONSIN.)

DEPARTMENT OF SPEECH AND HEARING SCIENCES

ENDOWED APPOINTMENTS

KUHL, PATRICIA

(BA, 1967, ; MA, 1971, UNIVERSITY OF MINNESOTA; PHD, 1973, UNIVERSITY OF MINNESOTA) TO BE HOLDER OF THE VIRGINIA AND PRENTICE BLOEDEL PROFESSORSHIP, EFFECTIVE 7/1/2008. (PROFESSOR KUHL WILL CONTINUE AS PROFESSOR OF SPEECH AND HEARING SCIENCES AND ADJUNCT PROFESSOR OF PSYCHOLOGY.)

SCHOOL OF DRAMA

NEW APPOINTMENTS

FRACE, JEFFREY

(BA, 1990, OBERLIN COLLEGE; MFA, 1997, COLUMBIA UNIVERSITY) TO BE ARTIST IN RESIDENCE OF DRAMA AT A SALARY RATE OF \$60,003 OVER NINE MONTHS. EFFECTIVE 9/16/2008.

SCHOOL OF MUSIC

ENDOWED APPOINTMENTS

TERRY, CAROLE

(BM, 1971, SOUTHERN METHODIST UNIVERSITY; MM, 1973, UNIVERSITY OF ROCHESTER; DMA, 1977, STANFORD UNIVERSITY) TO BE HOLDER OF THE RUTH SUTTON WATERS ENDOWED PROFESSORSHIP IN MUSIC, EFFECTIVE 9/16/2008. (PROFESSOR TERRY WILL CONTINUE AS PROFESSOR OF MUSIC.)

MICHAEL G. FOSTER SCHOOL OF BUSINESS

DEPARTMENT OF ACCOUNTING

NEW APPOINTMENTS

TANLU, LLOYD

(BS, 1994, ATENEO DE MANILA UNIVERSITY(PHILIPPINES); BA, 1995, ATENEO DE MANILA UNIVERSITY(PHILIPPINES); MA, 1999, BRANDEIS UNIVERSITY; DBA, 2008 (expected), HARVARD BUSINESS SCHOOL) TO BE ACTING ASSISTANT PROFESSOR OF ACCOUNTING AT A SALARY RATE OF \$170,001 OVER NINE MONTHS. EFFECTIVE 9/16/2008.

DEPARTMENT OF FINANCE AND BUSINESS ECONOMICS

NEW APPOINTMENTS

GILBERT. THOMAS

(MS, 2002, IMPERIAL COLLEGE (UK); MS, 2005, UNIVERSITY OF CALIFORNIA (BERKELEY); PHD, 2008, UNIVERSITY OF CALIFORNIA (BERKELEY)) TO BE ASSISTANT PROFESSOR OF FINANCE AND BUSINESS ECONOMICS AT A SALARY RATE OF \$185,004 OVER NINE MONTHS, EFFECTIVE 9/16/2008. (PRIOR TO THIS APPOINTMENT DR. GILBERT WAS AN INSTRUCTER AT UNIVERSITY OF CALIFORNIA, BERKELEY.)

MANDELL, LEWIS

(BA, 1964, CITY COLLEGE OF NEW YORK; MA, 1966, NORTHWESTERN UNIVERSITY; PHD, 1970, UNIVERSITY OF TEXAS (AUSTIN)) TO BE VISITING PROFESSOR OF FINANCE AND BUSINESS ECONOMICS AT A SALARY RATE OF \$60,000 OVER SIX MONTHS, EFFECTIVE 9/16/2008.

DEPARTMENT OF MANAGEMENT AND ORGANIZATION

NEW APPOINTMENTS

BAUMAN. CHRISTOPHER

(BS, 1997, UNIVERSITY OF WISCONSIN-MADISON; MA, 2002, UNIVERSITY OF ILLINOIS; PHD, 2006, UNIVERSITY OF ILLINOIS) TO BE ASSISTANT PROFESSOR OF MANAGEMENT AND ORGANIZATION AT A SALARY RATE OF \$135,000 OVER NINE MONTHS, EFFECTIVE 7/16/2008. (PRIOR TO THIS APPOINTMENT DR. BAUMAN WAS A VISITING ASSISTANT PROFESSOR AND POST-DOCTORAL FELLOW AT NORTHWESTERN UNIVERSITY.)

BEHAR, HOWARD

TO BE VISITING PROFESSOR OF MANAGEMENT AND ORGANIZATION WITHOUT SALARY FROM THE UNIVERSITY AND HOLDER OF THE EDWARD V. FRITZKY ENDOWED VISITING CHAIR IN LEADERSHIP, EFFECTIVE 7/1/2008. (MR. BEHAR SERVED AS PRESIDENT OF STARBUCKS NORTH AMERICA AND STARBUCKS INTERNATIONAL).

DEPARTMENT OF MARKETING AND INTERNATIONAL BUSINESS

NEW APPOINTMENTS

CALDIERARO, FABIO

(BS, 1990, PONTIFICAL CATHOLIC UNIV OF RIO GRANDE; MS, 1996, FEDERAL UNIV OF RIO GRANDE DO SUL; PHD, 2003, NORTHWESTERN UNIVERSITY) TO BE ASSISTANT PROFESSOR OF MARKETING AND INTERNATIONAL BUSINESS AT A SALARY RATE OF \$135,000 OVER NINE MONTHS, EFFECTIVE 9/16/2008. (PRIOR TO THIS APPOINTMENT DR. CALDIERARO WAS AN ASSISTANT PROFESSOR AT SANTA CLARA UNIVERSITY.)

MULLER, ALAN

(BA, 1993, UNIVERSITY OF WASHINGTON; MA, 1998, UNIVERSITY OF AMSTERDAM (NETHERLANDS); PHD, 2004, ERASMUS UNIVERSITY (NETHERLANDS)) TO BE SENIOR LECTURER FULL-TIME OF MARKETING AND INTERNATIONAL BUSINESS AT A SALARY RATE OF \$119,997 OVER NINE MONTHS, EFFECTIVE 9/16/2008. (PRIOR TO THIS APPOINTMENT DR. MULLER WAS AN ASSISTANT PROFESSOR AT THE UNIVERSITY OF AMSTERDAM.)

COLLEGE OF EDUCATION

EDUCATION

ENDOWED APPOINTMENTS

BELL. PHILIP L.

(BS, 1989, UNIVERSITY OF COLORADO (BOULDER); MA, 1996, UNIVERSITY OF CALIFORNIA (BERKELEY); PHD, 1998, UNIVERSITY OF CALIFORNIA (BERKELEY)) TO BE HOLDER OF THE GEDA AND PHIL CONDIT PROFESSORSHIP IN MATH AND SCIENCE EDUCATION, EFFECTIVE 9/16/2008. (PROFESSOR BELL WILL CONTINUE AS ASSOCIATE PROFESSOR OF EDUCATION.)

COLLEGE OF ENGINEERING

DEPARTMENT OF AERONAUTICS AND ASTRONAUTICS

NEW APPOINTMENTS

FERRANTE, ANTONINO

(MS, 1997, VON KARMAN INST FOR FLUID DYNAMICS(BELG); PHD, 2004, UNIVERSITY OF CALIFORNIA (IRVINE)) TO BE ASSISTANT PROFESSOR OF AERONAUTICS AND ASTRONAUTICS AT A SALARY RATE OF \$83,700 OVER NINE MONTHS, EFFECTIVE 9/16/2009. (DR. FERRANTE IS CURRENTLY A POSTDOCTORAL RESEARCH SCHOLAR AT THE CALIFORNIA INSTITUTE OF TECHNOLOGY.)

DEPARTMENT OF COMPUTER SCIENCE AND ENGINEERING

NEW APPOINTMENTS

PATEL. SHWETAK NARAN

(BS, 2003, GEORGIA INSTITUTE OF TECHNOLOGY; PH.D-E, 2008, GEORGIA INSTITUTE OF TECHNOLOGY) TO BE ACTING ASSISTANT OF COMPUTER SCIENCE AND ENGINEERING AT A SALARY RATE OF \$93,600 OVER NINE MONTHS, EFFECTIVE 9/1/2008.

DEPARTMENT OF MECHANICAL ENGINEERING

NEW APPOINTMENTS

SHEN, AMY

(BS, 1992, HUNAN UNIVERSITY (CHINA); MS, 1996, UNIVERSITY OF ILLINOIS; PHD, 2000, UNIVERSITY OF ILLINOIS) TO BE ASSOCIATE PROFESSOR OF MECHANICAL ENGINEERING AT A SALARY RATE OF \$99,999 OVER NINE MONTHS, EFFECTIVE 9/1/2008. (PRIOR TO THIS APPOINTMENT DR. SHEN WAS AN ASSISTANT PROFESSOR AT WASHINGTON UNIVERSITY, ST. LOUIS.)

WANG. JUNLUN

(BS, 1994, UNIV. OF SCIENCE AND TECHNOLOGY (CHINA); MS, 1997, UNIV. OF SCIENCE AND TECHNOLOGY (CHINA); PHD, 2002, UNIVERSITY OF ILLINOIS) TO BE ASSOCIATE PROFESSOR OF MECHANICAL ENGINEERING AT A SALARY RATE OF \$99,999 OVER NINE MONTHS, EFFECTIVE 9/16/2009. (PRIOR TO THIS APPOINTMENT DR. WANG WAS AN ASSOCIATE PROFESSOR AT THE UNIVERSITY OF CALIFORNIA, RIVERSIDE.)

DEPARTMENT OF TECHNICAL COMMUNICATION

ENDOWED APPOINTMENTS

TURNS, JENNIFER

(BS, 1990, UNIVERSITY OF VIRGINIA; MS, 1990, UNIVERSITY OF VIRGINIA; PHD, 1999, GEORGIA INSTITUTE OF TECHNOLOGY) TO BE HOLDER OF THE J. RAY BOWEN PROFESSORSHIP FOR INNOVATION IN ENGINEERING EDUCATION, EFFECTIVE 7/1/2008. (PROFESSOR TURNS WILL CONTINUE AS ASSOCIATE PROFESSOR OF TECHNICAL COMMUNICATION.)

NEW APPOINTMENTS

CHACHRA. DEBBIE

(BS, 1992, UNIVERSITY OF TORONTO (CANADA); MS, 1995, UNIVERSITY OF TORONTO (CANADA); PHD, 2001, UNIVERSITY OF TORONTO (CANADA)) TO BE VISITING ASSISTANT PROFESSOR OF TECHNICAL COMMUNICATION WITHOUT SALARY FROM THE UNIVERSITY, EFFECTIVE8/1/2008. (DR. CHACHRA IS AN ASSISTANT PROFESSOR AT FRANKLIN W. OLIN COLLEGE OF ENGINEERING.)

KIENTZ, JULIE A

(BS, 2002, UNIVERSITY OF TOLEDO; PHD, 2008, GEORGIA INSTITUTE OF TECHNOLOGY) TO BE ASSISTANT PROFESSOR OF TECHNICAL COMMUNICATION AND ASSISTANT PROFESSOR OF INFORMATION AT A SALARY RATE OF \$90,000 OVER NINE MONTHS, EFFECTIVE 9/16/2008.

THOMAS, TIMOTHY

(BA, 1964, UNIVERSITY OF CALIFORNIA (BERKELEY); MS, 1966, TULANE UNIVERSITY; PHD, 1969, TULANE UNIVERSITY) TO BE VISITING PROFESSOR OF TECHNICAL COMMUNICATION WITHOUT SALARY FROM THE UNIVERSITY, EFFECTIVE9/16/2008. (DR. THOMAS IS A RESEARCH SCIENTIST WITH THE CENTRAL INTELLIGENCE AGENCY.)

COLLEGE OF OCEAN AND FISHERY SCIENCES

SCHOOL OF OCEANOGRAPHY

NEW APPOINTMENTS

LOGSDON. MILES

(BS, 1976, OKLAHOMA STATE UNIVERSITY; MS, 1978, OKLAHOMA STATE UNIVERSITY; PHD, 1997, UNIVERSITY OF WASHINGTON) TO BE SENIOR LECTURER FULL-TIME OF OCEANOGRAPHY AT A SALARY RATE OF \$86,400 OVER TWELVE MONTHS, EFFECTIVE 7/1/2008. (PRIOR TO THIS APPOINTMENT, DR. LOGSDON WAS A RESEARCH ASSISTANT PROFESSOR OF OCEANOGRAPHY AND AQUATIC AND FISHERY SCIENCES.)

SCHOOL OF LAW

LAW

NEW APPOINTMENTS

ARENELLA, PETER

(BA, 1969, WESLEYAN UNIVERSITY; JD, 1972, HARVARD UNIVERSITY) TO BE VISITING PROFESSOR OF LAW AT A SALARY RATE OF \$139,002 OVER SIX MONTHS, EFFECTIVE 12/16/2008. (PROFESSOR ARENELLA IS A PROFESSOR AT THE UNIVERSITY OF CALIFORNIA, LOS ANGELES.)

REPETA, LAWRENCE

(BA, 1974, STATE UNIVERSITY OF NEW YORK (BUFFALO); JD, 1979, UNIVERSITY OF WASHINGTON) TO BE VISITING PROFESSOR OF LAW AT A SALARY RATE OF \$65,007 OVER NINE MONTHS, EFFECTIVE 9/16/2008. (DR. REPETA IS A PROFESSOR AT OMIYA LAW SCHOOL, JAPAN.)

DANIEL J. EVANS SCHOOL OF PUBLIC AFFAIRS

EVANS SCHOOL OF PUBLIC AFFAIRS

NEW APPOINTMENTS

HALL, CRYSTAL C.

(BS, 2003, CARNEGIE MELLON UNIVERSITY; PHD, 2008, PRINCETON UNIVERSITY) TO BE ASSISTANT PROFESSOR OF PUBLIC AFFAIRS AT A SALARY RATE OF \$76,005 OVER NINE MONTHS, EFFECTIVE 9/16/2008.

SCHOOL OF SOCIAL WORK

SOCIAL WORK

ENDOWED APPOINTMENTS

HALEY-LOCK, ANNA

(BA, 1991, UNIVERSITY OF MICHIGAN; MA, 1995, UNIVERSITY OF MICHIGAN; PHD, 2003, UNIVERSITY OF CHICAGO) TO BE HOLDER OF THE WORKPLACE LEADERSHIP TERM PROFESSORSHIP, EFFECTIVE 6/16/2008. (DR. HALEY-LOCK WILL CONTINUE AS ASSISTANT PROFESSOR OF SOCIAL WORK.)

ADMINISTRATIVE APPOINTMENTS

MEYERS, MARCIA K

(BA, 1979, EVERGREEN STATE COLLEGE; MPA, 1987, HARVARD UNIVERSITY; PHD, 1992, UNIVERSITY OF CALIFORNIA (BERKELEY); MSW, 1992, UNIVERSITY OF CALIFORNIA (BERKELEY)) TO BE ASSOCIATE DEAN OF SOCIAL WORK, EFFECTIVE 9/16/2008. (DR. MEYERS WILL CONTINUE AS A PROFESSOR OF SOCIAL WORK AND OF PUBLIC AFFAIRS.)

SCHOOL OF DENTISTRY

DEPARTMENT OF DENTAL PUBLIC HEALTH SCIENCES

ADMINISTRATIVE APPOINTMENTS

COLDWELL, SUSAN

(BA, 1989, DUKE UNIVERSITY; MA, 1990, UNIVERSITY OF PENNSYLVANIA; PHD, 1994, UNIVERSITY OF PENNSYLVANIA) TO BE ASSOCIATE DEAN FOR STUDENT LIFE AND ADMISSIONS IN THE SCHOOL OF DENTISTRY, EFFECTIVE 8/1/2008. (DR. COLDWELL WILL CONTINUE AS AN ASSOCIATE PROFESSOR WITHOUT TENURE OF DENTAL PUBLIC HEALTH SCIENCES AND ADJUNCT ASSOCIATE PROFESSOR OF PSYCHOLOGY.)

ENDOWED APPOINTMENTS

COLDWELL, SUSAN E.

(BA, 1989, DUKE UNIVERSITY; MA, 1990, UNIVERSITY OF PENNSYLVANIA; PHD, 1994, UNIVERSITY OF PENNSYLVANIA) TO BE HOLDER OF THE WASHINGTON DENTAL SERVICE ENDOWED PROFESSORSHIP, EFFECTIVE 8/1/2008. (DR. COLDWELL WILL CONTINUE AS ASSOCIATE PROFESSOR WITHOUT TENURE OF DENTAL PUBLIC HEALTH SCIENCES.)

DEPARTMENT OF ORTHODONTICS

ADMINISTRATIVE APPOINTMENTS

HUANG, GREGORY

(BA, 1983, EMORY UNIVERSITY; DMD, 1987, UNIVERSITY OF FLORIDA; MSD, 1989, UNIVERSITY OF WASHINGTON; MPH, 2001, UNIVERSITY OF WASHINGTON) TO BE CHAIR OF ORTHODONTICS, EFFECTIVE 8/1/2008. (DR. HUANG WILL CONTINUE AS AN ASSOCIATE PROFESSOR OF ORTHODONTICS.)

DEPARTMENT OF PERIODONTICS

ADMINISTRATIVE APPOINTMENTS

DARVEAU, RICHARD

(BS, 1975, NORTHERN ILLINOIS UNIVERSITY; MS, 1977, NORTHERN ILLINOIS UNIVERSITY; PHD, 1981, WASHINGTON STATE UNIVERSITY) TO BE ACTING CHAIR OF PERIODONTICS, EFFECTIVE 6/16/2008. (DR DARVEAU WILL CONTINUE AS A PROFESSOR OF ORAL BIOLOGY AND OF PERIODONTICS AND ADJUNCT PROFESSOR OF MICROBIOLOGY.)

NEW APPOINTMENTS

MUTHUKURU, MANOJ

(BDentS, 2000, TAMILNADU MEDICAL UNIVERSITY (INDIA); PHD, 2005, STONY BROOK UNIVERSITY; MMSc, 2008, HARVARD SCHOOL OF DENTAL MEDICINE) TO BE ASSISTANT PROFESSOR OF PERIODONTICS AT A SALARY RATE OF \$92,004 OVER TWELVE MONTHS, EFFECTIVE 9/1/2008.

SCHOOL OF MEDICINE

DEPARTMENT OF ANESTHESIOLOGY

NEW APPOINTMENTS

BOSENBERG, ADRIAN THOMAS

(MBChB, 1973, UNIVERSITY OF CAPETOWN (SOUTH AFRICA)) TO BE PROFESSOR WITHOUT TENURE OF ANESTHESIOLOGY AT A SALARY RATE OF \$90,576 OVER TWELVE MONTHS, EFFECTIVE 8/25/2008. (PRIOR TO THIS APPOINTMENT, DR. BOSENBERG WAS PROFESSOR AND SECOND CHAIR OF ANESTHESIOLOGY AT THE UNIVERSITY OF CAPETOWN, SOUTH AFRICA.)

NANDATE, KOICHIRO

(MD, 1992, U OF OCCUPAT/ENVIRO HEALTH; PHD, 2006, U OF OCCUPAT/ENVIRO HEALTH) TO BE ASSOCIATE PROFESSOR WITHOUT TENURE OF ANESTHESIOLOGY AT A SALARY RATE OF \$79,560 OVER TWELVE MONTHS, EFFECTIVE 8/1/2008. (PRIOR TO THIS APPOINTMENT, DR. NANDATE WAS AN ASSOCIATE PROFESSOR OF ANESTHESIOLOGY AT THE UNIVERSITY OF PENNSYLVANIA.)

NISHIO, ISUTA

(MD, 1986, NARA MEDICAL UNIVERSITY (JAPAN); PHD, 1997, KEIO UNIVERSITY (JAPAN)) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF ANESTHESIOLOGY PAID DIRECT BY VETERANS AFFAIRS PUGET SOUND HEALTH CARE SYSTEM EFFECTIVE 7/1/2008. (PRIOR TO THIS APPOINTMENT, DR. NISHIO WAS AN ACTING ASSISTANT PROFESSOR IN THE SAME DEPARTMENT.)

ROOKE, G. ALEC

(BS, 1973, STANFORD UNIVERSITY; MD, 1980, UNIVERSITY OF WASHINGTON; PHD, 1985, UNIVERSITY OF WASHINGTON) TO BE PROFESSOR WITHOUT TENURE OF ANESTHESIOLOGY AT A SALARY RATE OF \$90,576 OVER TWELVE MONTHS, EFFECTIVE 8/1/2008. (PRIOR TO THIS APPOINTMENT, DR. ROOK WAS AN AFFILIATE PROFESSOR IN THE SAME DEPARTMENT.)

DEPARTMENT OF GLOBAL HEALTH

NEW APPOINTMENTS

BAETEN, JARED

(BA, 1995, WASHINGTON UNIVERSITY; PHD, 2001, UNIVERSITY OF WASHINGTON; MD, 2003, UNIVERSITY OF WASHINGTON) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF GLOBAL HEALTH IN THE SCHOOL OF MEDICINE AND THE SCHOOL OF PUBLIC HEALTH AND COMMUNITY MEDICINE AT A SALARY RATE OF \$140,028 OVER TWELVE MONTHS, EFFECTIVE 7/1/2008. (PRIOR TO THIS APPOINTMENT, DR. BAETEN WAS A FELLOW IN THE DEPARTMENT OF MEDICINE.)

FRAHM, NICOLE

(MS, 1996, TECH UNIVERSITY OF BRAUNSCHWEIG (GERMANY); PHD, 2003, UNIVERSITY OF HAMBURG (GERMANY)) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF GLOBAL HEALTH PAID DIRECT BY FRED HUTCHINSON CANCER RESEARCH CENTER EFFECTIVE 8/1/2008. (PRIOR TO THIS APPOINTMENT, DR. FRAHM WAS AN INSTRUCTOR OF MEDICINE AT HARVARD MEDICAL SCHOOL.)

DEPARTMENT OF LABORATORY MEDICINE

NEW APPOINTMENTS

CHERIAN. SIDHU

(BS, 1996, BROWN UNIVERSITY; MD, 2000, EMORY UNIVERSITY) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF LABORATORY MEDICINE AT A SALARY RATE OF \$111,072 OVER TWELVE MONTHS, EFFECTIVE 7/16/2008. (PRIOR TO THIS APPOINTMENT, DR. CHERIAN WAS AN ACTING ASSISTANT PROFESSOR - TEMPORARY IN THE SAME DEPARTMENT.)

DEPARTMENT OF MEDICINE

NEW APPOINTMENTS

AUBUCHON, JAMES

(BS, 1973, UNIVERSITY OF MICHIGAN; MD, 1978, UNIVERSITY OF MICHIGAN) TO BE PROFESSOR WITHOUT TENURE OF MEDICINE PAID DIRECT BY SOURCES OTHER THAN THE UNIVERSITY EFFECTIVE 9/1/2008. (PRIOR TO THIS APPOINTMENT, DR. AUBUCHON WAS PROFESSOR AND CHAIR OF PATHOLOGY AT DARTMOUTH UNIVERSITY.)

BOROCK, ELIZABETH

(BA, 1990, UNIVERSITY OF MICHIGAN; MD, 1998, UNIVERSITY OF CHICAGO) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF MEDICINE AT A SALARY RATE OF \$148,008 OVER TWELVE MONTHS, EFFECTIVE 9/1/2008. (PRIOR TO THIS APPOINTMENT, DR. BOROCK WAS A CLINICAL ASSISTANT PROFESSOR OF EMERGENCY MEDICINE AT NEW YORK UNIVERSITY.)

DHANIREDDY, SHIREESHA

(BA, 1995, GEORGETOWN UNIVERSITY; MD, 1999, GEORGETOWN UNIVERSITY) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF MEDICINE AT A SALARY RATE OF \$119,004 OVER TWELVE MONTHS, EFFECTIVE 8/1/2008. (PRIOR TO THIS APPOINTMENT, DR. DHANIREDDY WAS AN ACTING INSTRUCTOR IN THE SAME DEPARTMENT.)

KRAEMER, BRIAN

(BS, 1994, WESTERN WASHINGTON UNIVERSITY; PHD, 2000, UNIVERSITY OF WISCONSIN-MADISON) TO BE RESEARCH ASSISTANT PROFESSOR OF MEDICINE WITHOUT SALARY FROM THE UNIVERSITY, EFFECTIVE 7/1/2008. (PRIOR TO THIS APPOINTMENT, DR. KRAEMER WAS AN ACTING ASSISTANT PROFESSOR - TEMPORARY IN THE SAME DEPARTMENT.)

LIOU. IRIS W.

(BS, 1992, UNIVERSITY OF WASHINGTON; MD, 2000, UNIVERSITY OF WASHINGTON) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF MEDICINE AT A SALARY RATE OF \$160,008 OVER TWELVE MONTHS, EFFECTIVE 7/16/2008. (PRIOR TO THIS APPOINTMENT, DR. LIOU WAS A FELLOW IN THE SAME DEPARTMENT.)

LU, HAILING

(MD, 1993, SUN YAT-SEN UNIVERSITY; PHD, 2001, UNIVERSITY OF WASHINGTON) TO BE RESEARCH ASSISTANT PROFESSOR OF MEDICINE AT A SALARY RATE OF \$85,008 OVER TWELVE MONTHS, EFFECTIVE 8/1/2008. (PRIOR TO THIS APPOINTMENT, DR. LU WAS AN ACTING ASSISTANT PROFESSOR - TEMPORARY IN THE SAME DEPARTMENT.)

TROBRIDGE, GRANT

(BS, 1988, UNIVERSITY OF VICTORIA (CANADA); PHD, 1996, OREGON STATE UNIVERSITY) TO BE RESEARCH ASSISTANT PROFESSOR OF MEDICINE WITHOUT SALARY FROM THE UNIVERSITY, EFFECTIVE 7/1/2008. (PRIOR TO THIS APPOINTMENT, DR. TROBRIDGE WAS AN ACTING ASSISTANT PROFESSOR - TEMPORARY IN THE SAME DEPARTMENT.)

DEPARTMENT OF NEUROLOGY

ENDOWED APPOINTMENTS

CHAMBERLAIN. JEFFREY

(BS, 1978, RICE UNIVERSITY; PHD, 1985, UNIVERSITY OF WASHINGTON) TO BE HOLDER OF THE MCCAW ENDOWED CHAIR IN MUSCULAR DYSTROPHY, EFFECTIVE 7/1/2008. (DR. CHAMBERLAIN WILL CONTINUE AS PROFESSOR WITHOUT TENURE OF NEUROLOGY AND OF MEDICINE.)

NEW APPOINTMENTS

KHOT. SANDEEP

(BS, 1995, VANDERBILT UNIVERSITY; MD, 2002, TULANE UNIVERSITY; MPH, 2002, TULANE UNIVERSITY) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF NEUROLOGY AT A SALARY RATE OF \$135,012 OVER TWELVE MONTHS, EFFECTIVE 7/1/2008. (PRIOR TO THIS APPOINTMENT, DR. KHOT WAS AN ACTING INSTRUCTOR IN THE SAME DEPARTMENT.)

WUNDES, ANNETTE

(MD, 1995, HEINRICH-HEINE UNIVERSITY (GERMANY)) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF NEUROLOGY AT A SALARY RATE OF \$135,012 OVER TWELVE MONTHS, EFFECTIVE 9/1/2008. (PRIOR TO THIS APPOINTMENT, DR. WUNDES WAS A SENIOR FELLOW IN THE SAME DEPARTMENT.)

DEPARTMENT OF OBSTETRICS AND GYNECOLOGY

NEW APPOINTMENTS

DUNSMOOR-SU, REBECCA

(BA, 1994, BRYN MAWR COLLEGE; MD, 2001, UNIVERSITY OF PENNSYLVANIA; MSCE, 2001, UNIVERSITY OF PENNSYLVANIA) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF OBSTETRICS AND GYNECOLOGY AT A SALARY RATE OF \$77,256 OVER TWELVE MONTHS, EFFECTIVE 7/1/2008. (PRIOR TO THIS APPOINTMENT, DR. DUNSMOOR-SU WAS AN ACTING INSTRUCTOR IN THE SAME DEPARTMENT.)

DEPARTMENT OF ORTHOPAEDICS AND SPORTS MEDICINE

NEW APPOINTMENTS

BAIN, STEVEN

(BS, 1977, NORTHERN ARIZONA UNIVERSITY; PHD, 1987, WASHINGTON STATE UNIVERSITY) TO BE RESEARCH ASSOCIATE PROFESSOR OF ORTHOPAEDICS AND SPORTS MEDICINE AT A SALARY RATE OF \$109,200 OVER TWELVE MONTHS, EFFECTIVE 7/16/2008. (PRIOR TO THIS APPOINTMENT, DR. BAIN WAS AN ACTING ASSISTANT PROFESSOR - TEMPORARY IN THE SAME DEPARTMENT.)

HUANG, JERRY I-MING

(BS, 1996, UNIVERSITY OF CALIFORNIA (LOS ANGELES); MD, 2001, UNIVERSITY OF CALIFORNIA (LOS ANGELES)) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF ORTHOPAEDICS AND SPORTS MEDICINE AT A SALARY RATE OF \$80,004 OVER TWELVE MONTHS, EFFECTIVE 9/1/2008. (PRIOR TO THIS APPOINTMENT, DR. HUANG WAS A CLINICAL INSTRUCTOR OF ORTHOPAEDICS AT UNIVERSITY OF CALIFORNIA, LOS ANGELES.)

DEPARTMENT OF OTOLARYNGOLOGY - HEAD AND NECK SURGERY

NEW APPOINTMENTS

DAVIS, GREG

(BS, 1995, UNIVERSITY OF WASHINGTON; MD, 2000, UNIVERSITY OF WASHINGTON; MPH, 2003, UNIVERSITY OF WASHINGTON) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF OTOLARYNGOLOGY - HEAD AND NECK SURGERY AT A SALARY RATE OF \$95,640 OVER TWELVE MONTHS, EFFECTIVE 7/1/2008. (PRIOR TO THIS APPOINTMENT, DR. DAVIS WAS AN ACTING ASSISTANT PROFESSOR - TEMPORARY IN THE SAME DEPARTMENT.)

DEPARTMENT OF PATHOLOGY

NEW APPOINTMENTS

ALLISON. KIMBERLY

(BA, 1997, PRINCETON UNIVERSITY; MD, 2001, NEW YORK MEDICAL COLLEGE) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF PATHOLOGY AT A SALARY RATE OF \$70,200 OVER TWELVE MONTHS, EFFECTIVE 7/1/2008. (PRIOR TO THIS APPOINTMENT, DR. ALLISON WAS AN ACTING INSTRUCTOR IN THE SAME DEPARTMENT.)

CAMPBELL, JEAN S.

(BA, 1981, COLLEGE OF WOOSTER; PHD, 1990, UNIVERSITY OF WISCONSIN-MADISON) TO BE RESEARCH ASSISTANT PROFESSOR OF PATHOLOGY AT A SALARY RATE OF \$94,104 OVER TWELVE MONTHS, EFFECTIVE 7/1/2008. (PRIOR TO THIS APPOINTMENT, DR. CAMPBELL WAS AN ACTING INSTRUCTOR IN THE SAME DEPARTMENT.)

FENG, QINGHUA

(BS, 1989, FUDAN UNIVERSITY (CHINA); PHD, 1996, JOHNS HOPKINS UNIVERSITY) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF PATHOLOGY AT A SALARY RATE OF \$89,004 OVER TWELVE MONTHS, EFFECTIVE 7/1/2008. (PRIOR TO THIS APPOINTMENT, DR. FENG WAS AN ACTING INSTRUCTOR IN THE SAME DEPARTMENT.)

JORDAN, C. DIANA

(BS, 1986, OREGON STATE UNIVERSITY; MD, 1991, OREGON HEALTH SCIENCES UNIVERSITY) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF PATHOLOGY AT A SALARY RATE OF \$86,256 OVER TWELVE MONTHS, EFFECTIVE 7/1/2008. (PRIOR TO THIS APPOINTMENT, DR. JORDAN WAS A CLINICAL ASSISTANT PROFESSOR IN THE SAME DEPARTMENT.)

DEPARTMENT OF PEDIATRICS

ADMINISTRATIVE APPOINTMENTS

FISHER. DAVID

(BS, 1970, UNIVERSITY OF WISCONSIN-MADISON; MD, 1974, TUFTS UNIVERSITY) TO BE ASSOCIATE DEAN AND MEDICAL DIRECTOR OF CHILDREN'S HOSPITAL AND REGIONAL MEDICAL CENTER, EFFECTIVE 9/1/2008. (DR. FISHER WILL CONTINUE AS A CLINICAL PROFESSOR OF PEDIATRICS.)

NEW APPOINTMENTS

BATRA, MANEESH

(BS, 1994, BROWN UNIVERSITY; MD, 2000, STANFORD UNIVERSITY; MPH, 2006, UNIVERSITY OF WASHINGTON) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF PEDIATRICS AT A SALARY RATE OF \$112,008 OVER TWELVE MONTHS, EFFECTIVE 7/1/2008. (PRIOR TO THIS APPOINTMENT, DR. BATRA WAS AN ACTING INSTRUCTOR IN THE SAME DEPARTMENT.)

CAGLAR, DERYA

(BA, 1997, UNIVERSITY OF CALIFORNIA (BERKELEY); MD, 2001, NEW YORK MEDICAL COLLEGE) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF PEDIATRICS PAID DIRECT BY CHILDREN'S HOSPITAL AND REGIONAL MEDICAL CENTER EFFECTIVE 8/18/2008. (PRIOR TO THIS APPOINTMENT, DR. CAGLAR WAS A CHIEF FELLOW OF PEDIATRIC EMERGENCY MEDICINE AT CHILDREN'S HOSPITAL IN LOS ANGELES.)

CHIU. HARVEY

(BS, 1992, UNIVERSITY OF NORTH CAROLINA; MD, 1996, WAKE FOREST UNIVERSITY) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF PEDIATRICS AT A SALARY RATE OF \$115,008 OVER TWELVE MONTHS, EFFECTIVE 8/1/2008. (PRIOR TO THIS APPOINTMENT, DR. CHIU WAS A FELLOW IN THE SAME DEPARTMENT.)

KEMNA. MARISKA

(MD, 1995, ERASMUS UNIVERSITY (NETHERLANDS)) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF PEDIATRICS PAID DIRECT BY CHILDREN'S HOSPITAL AND REGIONAL MEDICAL CENTER EFFECTIVE 8/1/2008. (PRIOR TO THIS APPOINTMENT, DR. KEMNA WAS A CLINICAL INSTRUCTOR OF PEDIATRICS AT STANFORD UNIVERSITY.)

KING, MARY

(BA, 1996, UNIVERSITY OF CALIFORNIA (BERKELEY); MD, 2001, UNIVERSITY OF CALIFORNIA (IRVINE); MPH, 2008, UNIVERSITY OF WASHINGTON) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF PEDIATRICS PAID DIRECT BY CHILDREN'S HOSPITAL AND REGIONAL MEDICAL CENTER EFFECTIVE 8/16/2008. (PRIOR TO THIS APPOINTMENT, DR. KING WAS A PEDIATRIC CRITICAL CARE PHYSICIAN AT CHILDREN'S HOSPITAL AND REGIONAL MEDICAL CENTER.)

LEWIS-NEWBY, MITHYA

(BA, 1993, UNIVERSITY OF WASHINGTON; MD, 2002, UNIVERSITY OF WASHINGTON) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF PEDIATRICS AT A SALARY RATE OF \$140,004 OVER TWELVE MONTHS, EFFECTIVE 8/1/2008. (PRIOR TO THIS APPOINTMENT, DR. LEWIS-NEWBY WAS A FELLOW IN THE SAME DEPARTMENT.)

DEPARTMENT OF PEDIATRICS

NEW APPOINTMENTS

SKODA-SMITH, SUZANNE

(BA, 1983, UNIVERSITY OF CALIFORNIA (BERKELEY); MD, 1990, UNIVERSITY OF MIAMI (FLORIDA)) TO BE ASSOCIATE PROFESSOR WITHOUT TENURE OF PEDIATRICS PAID DIRECT BY CHILDREN'S HOSPITAL AND REGIONAL MEDICAL CENTER EFFECTIVE 8/1/2008. (PRIOR TO THIS APPOINTMENT, DR. SKODASMITH WAS A CLINICAL ASSISTANT PROFESSOR OF PEDIATRICS AT THE UNIVERSITY OF FLORIDA.)

YAMAGUCHI, IKUYO

(MD, 1987, KUMAMOTO UNIVERSITY (JAPAN); PHD, 1994, KURUME UNIVERSITY (JAPAN)) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF PEDIATRICS AT A SALARY RATE OF \$110,004 OVER TWELVE MONTHS, EFFECTIVE 7/1/2008. (PRIOR TO THIS APPOINTMENT, DR. YAMAGUCHI WAS AN ACTING INSTRUCTOR IN THE SAME DEPARTMENT.)

ZHOU, CHUAN

(BS, 1996, PEKING UNIVERSITY (CHINA); MS, 1998, UNIVERSITY OF MARYLAND; MS, 2000, UNIVERSITY OF WASHINGTON; PHD, 2003, UNIVERSITY OF WASHINGTON) TO BE RESEARCH ASSISTANT PROFESSOR OF PEDIATRICS AT A SALARY RATE OF \$90,600 OVER TWELVE MONTHS, EFFECTIVE 8/1/2008. (PRIOR TO THIS APPOINTMENT, DR. ZHOU WAS AN ASSISTANT PROFESSOR OF BIOSTATISTICS AT VANDERBILT UNIVERSITY.)

DEPARTMENT OF PSYCHIATRY AND BEHAVIORAL SCIENCES

NEW APPOINTMENTS

BENTHAM. WAYNE

(BA, 1992, PRINCETON UNIVERSITY; MD, 1997, HOWARD UNIVERSITY) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF PSYCHIATRY AND BEHAVIORAL SCIENCES AT A SALARY RATE OF \$145,008 OVER TWELVE MONTHS, EFFECTIVE 7/1/2008. (PRIOR TO THIS APPOINTMENT, DR. BENTHAM WAS AN ACTING ASSISTANT PROFESSOR - TEMPORARY IN THE SAME DEPARTMENT.)

COMBS. HEIDI

(BS, 1985, UNIVERSITY OF WASHINGTON; MS, 1988, UNIVERSITY OF WASHINGTON; MD, 2000, UNIVERSITY OF WASHINGTON) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF PSYCHIATRY AND BEHAVIORAL SCIENCES AT A SALARY RATE OF \$145,008 OVER TWELVE MONTHS, EFFECTIVE 7/1/2008. (PRIOR TO THIS APPOINTMENT, DR. COMBS WAS AN ACTING INSTRUCTOR IN THE SAME DEPARTMENT.)

DORSEY. SHANNON

(BA, 1996, UNIVERSITY OF NORTH CAROLINA; PHD, 2003, UNIVERSITY OF GEORGIA) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF PSYCHIATRY AND BEHAVIORAL SCIENCES AT A SALARY RATE OF \$84,876 OVER TWELVE MONTHS, EFFECTIVE 8/25/2008. (PRIOR TO THIS APPOINTMENT, DR. DORSEY WAS AN ACTING ASSISTANT PROFESSOR - TEMPORARY IN THE SAME DEPARTMENT.)

HENRY, AMY

(BS, 1992, UNIVERSITY OF WASHINGTON; MD, 1996, UNIVERSITY OF WASHINGTON) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF PSYCHIATRY AND BEHAVIORAL SCIENCES AT A SALARY RATE OF \$151,008 OVER TWELVE MONTHS, EFFECTIVE 8/1/2008. (PRIOR TO THIS APPOINTMENT, DR. HENRY WAS AN ACTING ASSISTANT PROFESSOR - TEMPORARY IN THE SAME DEPARTMENT.)

HILT, ROBERT

(BA, 1991, UNIVERSITY OF MICHIGAN; MD, 1996, UNIVERSITY OF ROCHESTER) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF PSYCHIATRY AND BEHAVIORAL SCIENCES AT A SALARY RATE OF \$151,008 OVER TWELVE MONTHS, EFFECTIVE 8/1/2008. (PRIOR TO THIS APPOINTMENT, DR. HILT WAS AN ACTING ASSISTANT PROFESSOR - TEMPORARY IN THE SAME DEPARTMENT.)

HLASTALA, STEFANIE

(BS, 1993, UNIVERSITY OF WASHINGTON; PHD, 2002, UNIVERSITY OF PITTSBURGH) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF PSYCHIATRY AND BEHAVIORAL SCIENCES AT A SALARY RATE OF \$79,800 OVER TWELVE MONTHS, EFFECTIVE 7/1/2008. (PRIOR TO THIS APPOINTMENT, DR. HLASTALA WAS AN ACTING ASSISTANT PROFESSOR - TEMPORARY IN THE SAME DEPARTMENT.)

DEPARTMENT OF PSYCHIATRY AND BEHAVIORAL SCIENCES

NEW APPOINTMENTS

TOTH, KAREN

(BA, 1986, UNIVERSITY OF CALIFORNIA (SAN DIEGO); MS, 2001, UNIVERSITY OF WASHINGTON; PHD, 2007, UNIVERSITY OF WASHINGTON) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF PSYCHIATRY AND BEHAVIORAL SCIENCES AT A SALARY RATE OF \$78,504 OVER TWELVE MONTHS, EFFECTIVE 8/1/2008. (PRIOR TO THIS APPOINTMENT, DR. TOTH WAS A PSYCHOLOGY FELLOW AT CHILDRENS HOSPITAL AND REGIONAL MEDICAL CENTER.)

VEITENGRUBER, JASON P.

(BA, 1995, PACIFIC UNIVERSITY; MD, 2000, UNIVERSITY OF WASHINGTON) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF PSYCHIATRY AND BEHAVIORAL SCIENCES AT A SALARY RATE OF \$121,440 OVER TWELVE MONTHS, EFFECTIVE 7/1/2008. (PRIOR TO THIS APPOINTMENT, DR. VEITENGRUBER WAS AN ACTING INSTRUCTOR IN THE SAME DEPARTMENT.)

WILLIS. SHERRY

(BS, 1969, MEMPHIS STATE UNIVERSITY; PHD, 1972, UNIVERSITY OF TEXAS (AUSTIN)) TO BE RESEARCH PROFESSOR OF PSYCHIATRY AND BEHAVIORAL SCIENCES AT A SALARY RATE OF \$81,696 OVER TWELVE MONTHS, EFFECTIVE 8/1/2008. (PRIOR TO THIS APPOINTMENT, DR. WILLIS WAS A PROFESSOR OF HUMAN DEVELOPMENT AT THE PENNSYLVANIA STATE UNIVERSITY.)

DEPARTMENT OF RADIOLOGY

NEW APPOINTMENTS

MIYAKOSHI. ASAKO

(MD, 1997, YAMANASHI UNIVERSITY (JAPAN)) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF RADIOLOGY PAID DIRECT BY VETERANS AFFAIRS PUGET SOUND HEALTH CARE SYSTEM EFFECTIVE 7/28/2008. (PRIOR TO THIS APPOINTMENT, DR. MIYAKOSHI WAS A SENIOR FELLOW IN THE SAME DEPARTMENT.)

RAY, ERIK

(BS, 1996, UNIVERSITY OF WISCONSIN-MADISON; MD, 2001, CHICAGO MEDICAL SCHOOL) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF RADIOLOGY AT A SALARY RATE OF \$105,204 OVER TWELVE MONTHS, EFFECTIVE 8/18/2008. (PRIOR TO THIS APPOINTMENT, DR. RAY WAS A FELLOW IN BODY IMAGING AT RUSH UNIVERSITY MEDICAL CENTER.)

REDDY, GAUTHAM

(BA, 1995, HARVARD UNIVERSITY; MD, 1991, GEORGE WASHINGTON UNIVERSITY; MPH, 1991, GEORGE WASHINGTON UNIVERSITY) TO BE PROFESSOR WITHOUT TENURE OF RADIOLOGY AT A SALARY RATE OF \$118,008 OVER TWELVE MONTHS, EFFECTIVE 7/1/2008. (PRIOR TO THIS APPOINTMENT, DR. REDDY WAS AN ATTENDING PHYSICIAN AT UNIVERSITY OF CALIFORNIA, SAN FRANCISCO.)

SADRO, CLAUDIA

(MD, 1993, COLUMBIA UNIVERSITY) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF RADIOLOGY AT A SALARY RATE OF \$101,208 OVER TWELVE MONTHS, EFFECTIVE 9/1/2008. (PRIOR TO THIS APPOINTMENT, DR. SADRO WAS A RADIOLOGIST WITH KAISER PERMANENTE IN PORTLAND, OREGON.)

DEPARTMENT OF SURGERY

ENDOWED APPOINTMENTS

SAWIN, ROBERT

(BA, 1975, COLGATE UNIVERSITY; MS, 1976, UNIVERSITY OF IOWA; MD, 1982, UNIVERSITY OF PITTSBURGH) TO BE HOLDER OF THE HERBERT E. COE ENDOWED CHAIR IN PEDIATRIC SURGERY, EFFECTIVE 7/1/2008. (DR. SAWIN WILL CONTINUE AS PROFESSOR WITHOUT TENURE OF SURGERY.)

NEW APPOINTMENTS

AVANSINO, JEFFREY

(BS, 1995, UNIVERSITY OF NEVADA; MD, 1999, UNIVERSITY OF NEVADA) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF SURGERY WITHOUT SALARY FROM THE UNIVERSITY, EFFECTIVE 8/18/2008. (PRIOR TO THIS APPOINTMENT, DR. AVANSINO WAS A FELLOW IN THE SAME DEPARTMENT.)

DICK. ANDRE AINSWORTH

(BS, 1995, STATE UNIVERSITY OF NEW YORK (BUFFALO); MD, 1999, STATE UNIVERSITY OF NEW YORK (BUFFALO)) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF SURGERY AT A SALARY RATE OF \$102,504 OVER TWELVE MONTHS, EFFECTIVE 7/1/2008. (PRIOR TO THIS APPOINTMENT, DR. DICK WAS AN ACTING INSTRUCTOR IN THE SAME DEPARTMENT.)

EVANS, HEATHER

(BA, 1993, UNIVERSITY OF VIRGINIA; MD, 1999, UNIVERSITY OF ROCHESTER; MS, 2004, UNIVERSITY OF VIRGINIA) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF SURGERY AT A SALARY RATE OF \$185,004 OVER TWELVE MONTHS, EFFECTIVE 8/1/2008. (PRIOR TO THIS APPOINTMENT, DR. EVANS WAS A FELLOW IN THE SAME DEPARTMENT.)

JAVID, PATRICK

(BS, 1996, UNIVERSITY OF MICHIGAN; MD, 1999, UNIVERSITY OF MICHIGAN) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF SURGERY PAID DIRECT BY CHILDREN'S HOSPITAL AND REGIONAL MEDICAL CENTER EFFECTIVE 9/1/2008. (PRIOR TO THIS APPOINTMENT, DR. JAVID WAS A CHIEF FELLOW IN PEDIATRIC SURGERY AT CHILDREN'S HOSPITAL IN BOSTON.)

JAVID. SARA

(BS, 1995, CORNELL UNIVERSITY; MD, 1999, HARVARD UNIVERSITY) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF SURGERY AT A SALARY RATE OF \$90,000 OVER TWELVE MONTHS, EFFECTIVE 9/1/2008. (PRIOR TO THIS APPOINTMENT, DR. JAVID WAS A FELLOW IN THE COMBINED MASSACHUSETTS GENERAL HOSPITAL AND BRIGHAM AND WOMEN'S HOSPITAL BREAST SURGERY FELLOWSHIP.)

LOUIE, OTWAY

(BS, 1993, MASSACHUSETTS INSTITUTE OF TECHNOLOGY; MD, 1997, TUFTS UNIVERSITY) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF SURGERY AT A SALARY RATE OF \$92,496 OVER TWELVE MONTHS, EFFECTIVE 8/1/2008. (PRIOR TO THIS APPOINTMENT, DR. LOUIE WAS A MICROSURGERY FELLOW AT THE UNIVERSITY OF CALIFORNIA, LOS ANGELES.)

DEPARTMENT OF SURGERY

NEW APPOINTMENTS

SALVALAGGIO, PAOLO

(MD, 1997, FEDERAL UNIVERSITY OF PARANA; PHD, 2002, FEDERAL UNIVERSITY OF PARANA; MBA, 2008, ST Louis UNIVERSITY) TO BE ASSOCIATE PROFESSOR WITHOUT TENURE OF SURGERY AT A SALARY RATE OF \$165,000 OVER TWELVE MONTHS, EFFECTIVE 8/1/2008. (PRIOR TO THIS APPOINTMENT, DR. SALVALAGGIO WAS AN ASSISTANT PROFESSOR OF SURGERY AT SAINT LOUIS UNIVERSITY, MISSOURI.)

DEPARTMENT OF UROLOGY

NEW APPOINTMENTS

VOELZKE. BRYAN

(BA, 1996, BAYLOR UNIVERSITY; MD, 2000, UNIVERSITY OF TEXAS (SAN ANTONIO)) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF UROLOGY AT A SALARY RATE OF \$100,008 OVER TWELVE MONTHS, EFFECTIVE 8/1/2008. (PRIOR TO THIS APPOINTMENT, DR. VOELZKE WAS A CLINICAL INSTRUCTOR OF NEUROLOGY AT THE UNIVERSITY OF CALIFORNIA, SAN FRANCISCO.)

SCHOOL OF PUBLIC HEALTH AND COMMUNITY MEDICINE

DEPARTMENT OF BIOSTATISTICS

NEW APPOINTMENTS

MAY, SUSANNE

(MS, 1994, UNIVERSITY OF KARLSRUHE (GERMANY); PHD, 2000, UNIVERSITY OF MASSACHUSETTS) TO BE ASSOCIATE PROFESSOR WITHOUT TENURE OF BIOSTATISTICS AT A SALARY RATE OF \$125,004 OVER TWELVE MONTHS, EFFECTIVE 9/1/2008. (PRIOR TO THIS APPOINTMENT, DR. MAY WAS AN ASSOCIATE PROFESSOR OF BIOSTSTISTICS AND BIOINOFORMATICS AT THE UNIVERSITY OF CALIFORNIA, SAN DIEGO.)

DEPARTMENT OF GLOBAL HEALTH

NEW APPOINTMENTS

MOKDAD, ALI H.

(BS, 1984, AMERICAN UNIVERSITY OF BEIRUT (LEBANON); PHD, 1997, EMORY UNIVERSITY) TO BE PROFESSOR OF GLOBAL HEALTH IN THE SCHOOL OF PUBLIC HEALTH AND COMMUNITY MEDICINE AND IN THE SCHOOL OF MEDICINE AT A SALARY RATE OF \$205,008 OVER TWELVE MONTHS, EFFECTIVE 9/1/2008. (PRIOR TO THIS APPOINTMENT, DR. MOKDAD WAS THE BRANCH CHIEF OF THE BEHAVIORAL SURVEILLANCE BRANCH OF THE CENTERS FOR DISEASE CONTROL AND PREVENTION.)

DEPARTMENT OF HEALTH SERVICES

NEW APPOINTMENTS

HANNON, MARGARET A.

(BA, 1995, UNIVERSITY OF PUGET SOUND; MA, 1999, UNIVERSITY OF NORTH CAROLINA; MPH, 2001, UNIVERSITY OF NORTH CAROLINA; PHD, 2001, UNIVERSITY OF NORTH CAROLINA) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF HEALTH SERVICES AT A SALARY RATE OF \$94,008 OVER TWELVE MONTHS, EFFECTIVE 9/1/2008. (PRIOR TO THIS APPOINTMENT, DR. HANNON WAS AN ACTING ASSISTANT PROFESSOR-TEMPORARY IN THE SAME DEPARTMENT.)

TURNER, ANNE M.

(BS, 1981, UNIVERSITY OF CALIFORNIA (IRVINE); MD, 1985, DARTMOUTH COLLEGE; MLIS, 2001, UNIVERSITY OF WASHINGTON; MPH, 2005, UNIVERSITY OF WASHINGTON) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF HEALTH SERVICES AND OF MEDICAL EDUCATION AND BIOMEDICAL INFORMATICS AT A SALARY RATE OF \$96,000 OVER TWELVE MONTHS, EFFECTIVE 8/1/2008. (PRIOR TO THIS APPOINTMENT DR. TURNER WAS AN ACTING ASSISTANT PROFESSORTEMPORARY IN THE SAME DEPARTMENTS.)

UNIVERSITY OF WASHINGTON, BOTHELL

COMPUTING AND SOFTWARE SYSTEMS PROGRAM

ADMINISTRATIVE APPOINTMENTS

STIBER, MICHAEL D.

(BS, 1983, WASHINGTON UNIVERSITY; BSEE, 1983, WASHINGTON UNIVERSITY; MS, 1990, UNIVERSITY OF CALIFORNIA (LOS ANGELES); PHD, 1992, UNIVERSITY OF CALIFORNIA (LOS ANGELES)) TO BE ACTING DIRECTOR OF COMPUTING AND SOFTWARE SYSTEMS PROGRAM, EFFECTIVE 9/1/2008. (DR. STIBER WILL CONTINUE AS ASSOCIATE PROFESSOR IN THE SAME PROGRAM.)

EDUCATION PROGRAM

ADMINISTRATIVE APPOINTMENTS

WATTS, LINDA S.

(BA, 1981, UNIVERSITY OF DELAWARE; MA, 1983, UNIVERSITY OF DELAWARE; PHD, 1989, YALE UNIVERSITY; MA, 1989, YALE UNIVERSITY) TO BE ACTING DIRECTOR OF THE EDUCATION PROGRAM, EFFECTIVE 9/1/2008. (DR. WATTS WILL CONTINUE AS PROFESSOR OF INTERDISCIPLINARY ARTS AND SCIENCES.)

UNIVERSITY OF WASHINGTON, TACOMA

INTERDISCIPLINARY ARTS AND SCIENCES PROGRAM

NEW APPOINTMENTS

COON, DAVID

(BS, 1997, MIAMI UNIVERSITY (OHIO); MA, 2003, BOWLING GREEN STATE UNIVERSITY; PHD, 2008, INDIANA UNIVERSITY) TO BE ASSISTANT PROFESSOR OF INTERDISCIPLINARY ARTS AND SCIENCES AT A SALARY RATE OF \$60,003 OVER NINE MONTHS, EFFECTIVE 9/16/2008. (PRIOR TO THIS APPOINTMENT, PROFESSOR COON WAS AN ACTING ASSISTANT PROFESSOR IN THE SAME PROGRAM)

DINGLASAN-PANLILIO, MARY JOYCE

(BSC, 2000, UNIVERSITY OF TORONTO (CANADA); MSc, 2003, UNIVERSITY OF TORONTO (CANADA); PHD, 2008, UNIVERSITY OF TORONTO (CANADA)) TO BE ASSISTANT PROFESSOR OF INTERDISCIPLINARY ARTS AND SCIENCES AT A SALARY RATE OF \$64,503 OVER NINE MONTHS, EFFECTIVE 9/16/2008. (PRIOR TO THIS APPOINTMENT, PROFESSOR DINGLASAN-PANLILIO WAS AN ACTING ASSISTANT PROFESSOR IN THE SAME PROGARM)

OSWAL, SUSHIL

(MA, 1988, UNIVERSITY OF CINCINNATI; PHD, 1994, UNIVERSITY OF CINCINNATI) TO BE ASSISTANT PROFESSOR OF INTERDISCIPLINARY ARTS AND SCIENCES AT A SALARY RATE OF \$62,001 OVER NINE MONTHS, EFFECTIVE 9/16/2008. (PROFESSOR OSWAL IS CURRENTLY AN ASSOCIATE PROFESSOR AT THE UNIVERSITY OF MAINE)

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

<u>Proposal to the UW Board of Regents to Establish a Science and Technology Program at the University of Washington Bothell</u>

RECOMMENDED ACTION:

It is the recommendation of the administration and the Academic and

Student Affairs Committee that the Board of Regents approve the

establishment of a Science and Technology Program at the University of

Washington Bothell.

Attachment: Program Proposal

A-2/208-099/18/08

Proposal to the UW Board of Regents
to Establish a
Science and Technology Program
at the
University of Washington Bothell

September 18, 2008

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Attachments

PROPOSED ACTION

The administration of the University of Washington Bothell (UWB) is seeking approval from the Board of Regents to establish a Science and Technology Program. This program will provide the organizational structure to support the growth of Science, Technology, Engineering and Mathematics (STEM) and Health fields at UWB.

PURPOSE AND RATIONALE

Meeting the challenges of the 21st century will depend on world-class scientific and technological innovation. Historically, such advances have been the engine of progress and economic prosperity for the US and its citizens, but a 2005 National Academies report expresses deep concern "that the scientific and technological building blocks critical to our economic leadership are eroding at a time when many other nations are gathering strength." (Page 3, Rising Above the Gathering Storm: Energizing and Employing America for a Brighter Economic Future.). The report calls for concerted action "to enhance the science and technology enterprise so that the United States can successfully compete, prosper, and be secure in the (new) global community." (Page 2, Gathering Storm.)

The National Academies report recommends increasing "the number and proportion of US citizens who earn bachelor's degrees in the physical sciences, the life sciences, engineering and mathematics," an essential goal that is also explicit in Washington State's 2008 Strategic Master Plan for Higher Education.

An internationally acclaimed research university, the University of Washington (UW) has long provided critical leadership in these fields through education and research initiatives, STEM degree production and innovative models for addressing critical challenges. Recognizing its need to complement these endeavors with greater intensity, UWB has recently completed a plan that identifies the institution's top priorities for growth until 2020. Our top priority is to "serve the citizens of the state of Washington by providing access to a premier university education," with particular emphasis on developing new degree programs that respond to economic development needs of the state and region. Specifically, the areas of science, technology, engineering and math were identified as top priorities for immediate growth.

<u>CONCEPT OVERVIEW: PROPOSED SCIENCE AND TECHNOLOGY</u> PROGRAM

To foster development and excellence in growing these fields, UWB proposes to create a new Science and Technology Program. The goal of the program is to provide the disciplinary depth, scale and autonomy needed to develop STEM fields and allow for the growth that will best serve our students and region in the future.

Establishment of a Science and Technology Program at UWB (continued p.2)

The Science and Technology Program will build a curriculum that prepares students to enter the science, technology and biomedical/biotechnology workforce; qualify for related graduate programs; qualify for health-related professional schools such as medicine, nursing, pharmacy, dentistry and public health; or enter teacher education programs.

The new unit is further charged with creating a program that will serve as a model for innovative, effective teaching and scholarship that reflects UWB's commitment to diversity and providing educational opportunities for underserved students. The UWB mission statement calls for "innovative and creative curricula" and the 21st Century Campus Initiative: UWB Priorities Plan 2008-2020 identifies an "enhance(d) campus commitment to diversity and inclusiveness" as a priority. This reflects growing awareness that the US must make "a national commitment to develop more of the talent of all our citizens, especially the under-represented majority — the women, minorities, and persons with disabilities who comprise a disproportionately small part of the nation's science, engineering, and technology workforce," writes Rensselaer Polytechnic Institute President Shirley Ann Jackson in The Quiet Crisis: America's Economic and National Security at Risk. (www.rpi.edu/homepage/quietcrisis/)

This issue is addressed in the 2008 Strategic Master Plan for Higher Education, which notes that "too few women and people of color earn degrees in science, technology, engineering and mathematics (STEM) fields" and calls for additional efforts to encourage and serve underrepresented students.

These two themes – innovation and diversity – are deeply embedded in both program and curricular designs for the model Science & Technology Program we seek to create. We will utilize innovative and effective pedagogical methods and technologies, as well as support services, to create an environment of inclusiveness and success for all students. Success in higher education begins with pre-collegiate preparation, thus our focus will also include enhancing outreach to P-12 students and teachers. One such program, Imagine, begins in Summer 2008 for students in grades 6-8 and 9-12. Using experiential learning techniques, this Education Program series "is designed to capture students' imaginations as they learn about environmental stewardship through an integrated curriculum in math, science, and language arts."

The Science and Technology Program and curriculum will:

- Articulate clear learning goals to prepare students for the next steps in their careers and education.
- Identify national models for innovative and inclusive science and math education. Reinforce UW Bothell's signature of hands-on and problem-solving curricula, as well as student-based research. Incorporate these for students at all levels.
- Provide freshmen and sophomores with multiple success points and fundamental skills to succeed in S & T programs. Build a curriculum that recognizes different learning styles and creates an environment of success for talented yet underprepared students.

Establishment of a Science and Technology Program at UWB (continued p.3)

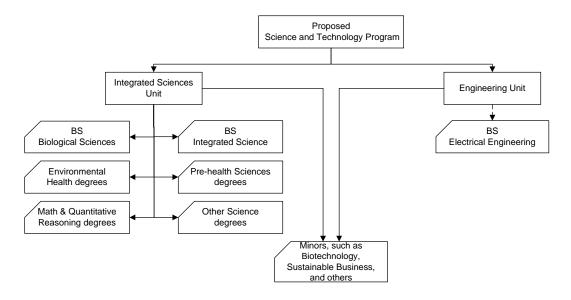
- Develop and utilize strategies to recruit underrepresented faculty, staff and students, based on effective national models.
- Create degrees that are recognizable and address the needs of high school students, parents, employers and graduate/professional schools.
- Provide degrees that will prepare students to become math and science teachers and support P-12 outreach projects.
- Clearly articulate the role of S & T in the interdisciplinary development of students not majoring in S & T fields. Take advantage of offerings in other UWB programs, such as the interdisciplinary courses offered in IAS, and prevent duplication of courses across the university in STEM areas.
- Provide strong pre-collegiate and collegiate academic support; consider creating a science and technology success center.

These commitments reflect the distinctive model for growth outlined in the 21st Century Campus Initiative and support the priorities outlined in the plan: growth, resourcefulness, diversity, student-centered, community, innovation, and sustainability.

PROPOSED STRUCTURE

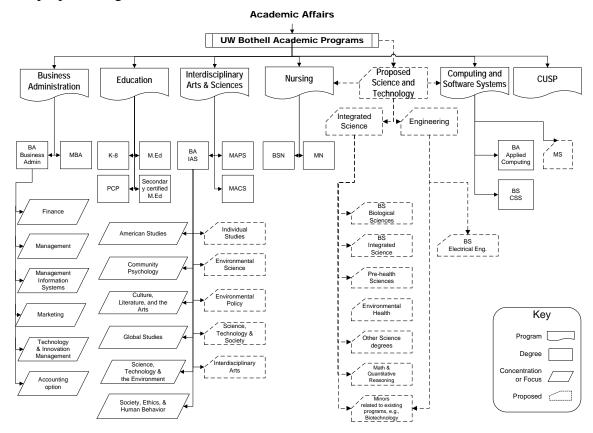
The proposed program will initially house two new academic units: Integrated Science and Engineering. Current faculty with expertise and interest may request to move all or part of their appointments into Science and Technology, and additional faculty will be recruited to ensure that Science & Technology succeeds. Existing programs such as Computing and Software Systems and Nursing will be consulted regarding their relationship to the new program as well. The Science and Technology Program structure will also allow for additional academic units in the future, as STEM-field development progresses.

The chart below shows the organization of the Science and Technology program, with proposed degrees to be offered by the initial academic units:



Establishment of a Science and Technology Program at UWB (continued p.4)

The overall academic organization chart, including the proposed unit and current and proposed degrees, is below:



DEMAND AND IMPACT

Student Demand:

Student interest in science and technology fields is strong nationwide. Five of the top ten highest-enrolled fields of study in the US are in STEM, health or education fields, according the US Department of Education.

Highest-Enrolled Fields of Study in the US

_	All Students	% of Total
Total by field of study (in thousands)	21,880	
Undeclared or not in a degree program	4,328	19.8%
Business, Management & Marketing	3,431	15.7%
Health Professions & Related Sciences	2,672	12.2%
Education	1,951	8.9%
Computer & Information Sciences	998	4.6%
Liberal Arts, Sciences & Humanities	900	4.1%
Engineering	683	3.1%
Visual & Performing Arts	664	3.0%
Psychology	617	2.8%
Biological & Biomedical Sciences	500	2.3%

Source: US Department of Education, National Center for Education Statistics, 2003-04 National Postsecondary Student Aid Study.

In Washington State, nearly 19% of students taking the SAT in 2007 indicated their desire to major in a health professions or clinical field. Taken together, an additional 20% sought degrees in computing, engineering and science fields.

Top Intended Majors of Washington State SAT Takers (3/2007)

INTENDED MAJORS (clustered)	#	%
Health Professions & Related Clinical	4403	19%
Business Management, Marketing & Related	3621	15%
Computer & Info Sciences, Engineering, Engineering Technologies/Technicians	2946	12%
Visual and Performing Arts	2245	9%
Sciences: Biological & Biomedical, Physical, Natural Resources & Conservation	1853	8%
Education	1753	7%
History, Legal Professions & Studies, Public Administration & Social Services Professions, Social	4440	
Sciences	1418	6%
Communication, Journalism & Related	871	4%
Psychology	832	4%
Architecture and Related Services	587	2%

Data from College-Bound Seniors 2007, State Profile Report Washington at www.collegeboard.com)

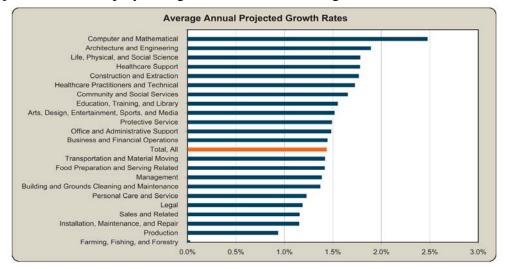
UWB recently completed a survey of students from regional community colleges. Among all students, the most desired fields of study were, in order, Business, Health/Nursing, Social Sciences, Computer Sciences and Sciences. Students were specifically asked about their level of interest in programs of study not currently offered at UWB. Their responses, in order, were: Information Technology, Law/Public Policy, Science, and Engineering.

Workforce Demand:

Workforce demand in STEM fields is growing, according to the Bureau of Labor Statistics (BLS). "Professional and related occupations are projected to be one of the two fastest growing major occupational groups, and are expected to add more jobs than any other major occupational group, about 5 million, by 2016." (Occupational Outlook Handbook, Tomorrow's Jobs, at www.bls.gov) Of these, nearly three quarters of new jobs, or 3.5 million, will be in "computer and mathematical occupations, healthcare practitioners and technical occupations, and education, training, and library occupations." (Tomorrow's Jobs)

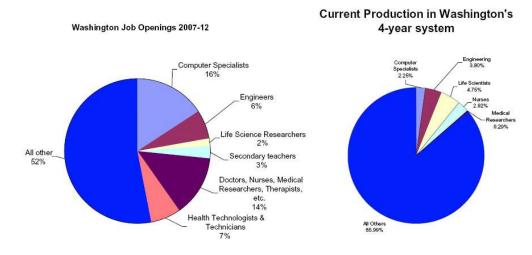
According to Washington State Economics and Labor Market's 2006 report, the annual growth rate in jobs is especially high in all areas of STEM.

Projected annual employment growth rates for Washington State.



Washington Governor Christine Gregoire has designated "Education to Compete" as a top priority, noting that "Washington's fastest growing industries, such as aerospace, high-tech and bio-technology...are struggling to find enough qualified workers." "Washington businesses," she believes, "should be able to find the world's best-educated workers among our state's own citizens, rather than importing workers from other states and nations." (www.governor.wa.gov/priorities/education/)

Washington ranks first in the nation in the employment of engineers and sixth for computer specialists. At the same time, Washington ranks 38th in the nation in the number of bachelor's degrees granted in science and engineering (US Department of Education, National Center for Educational Statistics, IPEDS). The unmet need in science and technology is estimated by the Prosperity Partnership to be 4,000 to 5,000 graduates per year.



Comparison of Job Openings versus Current Production (Prosperity Partnership)

Establishment of a Science and Technology Program at UWB (continued p.7)

Regional Workforce Demand and Economic Impact:

Regional workforce projections show strong growth in engineering, computing, and science fields, with high wages associated with these occupations. The tables below from Workforce Explorer Washington show rankings of high-growth fields in King and Snohomish Counties. Engineering fields, targeted for development at UWB, show strong annual growth in both counties.

Workforce Projections - Occupations requiring long preparation 2004-2014 King County (source: Workforce Explorer Occupational Outlook)

King County (Source: Workforce Explorer Occupational Outlook)				
		Average	Average	Estimated
	Estimated	Annual	Annual	Average
	Employment	Growth	Total	Wage
Occupational Titles	2004	Rate	Growth	June 2006
Computer Software Engineers, Applications	20,437	3.1%	977	\$83,227
Computer Programmers	9,038	3.4%	606	\$92,738
Computer Software Engineers, Systems Software	11,124	3.3%	560	\$88,766
Market Research Analysts	6,188	2.7%	374	\$81,258
Multimedia Artists and Animators	3,089	3.1%	190	\$55,582
Civil Engineers	6,621	2.1%	268	\$71,955
Technical Writers	1,999	3.1%	139	\$72,349
Management Analysts	7,453	2.0%	279	\$74,860
Aerospace Engineers	4,687	2.1%	247	\$81,459
Architects, Except Landscape and Naval	2,370	2.9%	111	\$69,562
Employment, Recruitment and Placement Specialists	2,177	2.9%	112	\$56,665
Network Systems and Data Communications Analysts	4,362	2.2%	162	\$73,314
Medical Scientists, Except Epidemiologists	3,507	2.2%	154	\$66,644
Computer and Information Systems Managers	3,909	2.1%	171	\$114,309
Network and Computer Systems Administrators	6,389	1.9%	213	\$69,104
Occupations are ranked based on the average of three criteria: average annual growth rate, number of job				

Occupations are ranked based on the average of three criteria: average annual growth rate, number of job openings due to growth, and total number of job openings due to growth and replacement

Snohomish County

•		Average	Average	Estimated
	Estimated	Annual	Annual	Average
	Employment	Growth	Total	Wage
Occupational Titles	2004	Rate	Growth	June 2006
Aerospace Engineers	4,160	2.5%	243	\$81,459
Rehabilitation Counselors	959	2.8%	56	\$31,173
Management Analysts	2,041	2.2%	82	\$74,860
Loan Officers	767	2.5%	37	\$61,310
Engineers, All Other	638	2.6%	34	\$78,204
Engineering Managers	802	2.4%	41	\$121,747
Medical Scientists, Except Epidemiologists	299	3.7%	20	\$66,644
Civil Engineers	753	2.3%	33	\$71,955
Construction Managers	1,052	2.1%	46	\$109,840
Natural Sciences Managers	211	3.8%	15	\$112,385
Business Operations Specialists, All Other	1,647	1.8%	64	\$65,242
Industrial Engineers	888	1.9%	44	\$69,163
General and Operations Managers	1,387	1.8%	56	\$130,753
Accountants and Auditors	1,664	1.7%	66	\$61,029
Speech Language Pathologists	304	2.6%	18	\$55,011

Increased degree opportunities in STEM fields offer economic benefits for both graduates and their communities. According the Bureau of Labor Statistics, 16 of

25 occupations with the highest median annual earnings are in health care, engineering, computing, sciences and mathematics fields.

Occupations with the highest median earnings, May 2006			
Occupation	Median earnings		
Chief executives	greater than \$145,600		
Physicians and Surgeons	greater than \$145,600		
Airline pilots, copilots, and flight engineers	141,090		
Dentists	136,960		
Air traffic controllers	117,240		
Podiatrists	108,220		
Engineering managers	105,430		
Lawyers	102,470		
Judges, magistrate judges, and magistrates	101,690		
Computer and information systems managers	101,580		
Natural sciences managers	100,080		
Marketing managers	98,720		
Petroleum engineers	98,380		
Astronomers	95,740		
Pharmacists	94,520		
Physicists	94,240		
Computer and information scientists, research	93,950		
Sales managers	91,560		
Optometrists	91,040		
Financial managers	90,970		
Nuclear engineers	90,220		
Political scientists	90,140		
Computer hardware engineers	88,470		
Aerospace engineers	87,610		
Mathematicians	86,930		

25 occupations with the highest median annual earnings in May 2006. (Source: Bureau of Labor Statistics Occupational Employment and Wage Estimates.)

According to a 2006 UWB report, workforce demand for college graduates in STEM fields was found to be high throughout the region, with projections from the Prosperity Partnership and the HECB's studies of needs in Snohomish, Island and Skagit Counties (SIS) indicating that "the unmet demand for communities served by UWB is in the range of 1,000-3,000 additional annual FTEs." (UWB Applied Science and Technology Planning (ASTP) Group Report).

According to census data, the region is home to 20,276 private non-farm businesses, over 75 percent of which are located in Snohomish County. "As such, much of the region's employer demand is driven by the key industries in

Establishment of a Science and Technology Program at UWB (continued p.9)

Snohomish; namely aerospace manufacturing, tourism, health care, biotechnology/bio-medical device, and information technology sectors." (p. 110, State and Regional Needs Assessment)

With its location in the heart of Bothell's Technology Corridor, strong support for bioscience degree programs can be expected, the ASTP group concluded. "The Technology Corridor that arcs around the UWB campus forms one of the key hubs for the biotechnology and biomedical sector in the region." (ASTP Report) Snohomish County is home to "35 out of the state's 190 biotechnology and medical device firms...employing approximately 5,000 workers in 2002. Ninety-three percent of the industry's employment in Snohomish County is located in Bothell." (Washington Biotechnology and Biomedical Association, 2002, from ASTP Report) "Since 1990, employment in the industry doubled and projections reflect a continuation based on the clustering already occurring in the Bothell area." (Snohomish County Economic Development Council, 2003) According to the Everett Herald, "Medical device companies in Bothell generated \$1.8 billion in revenue in 2007, numbers Snohomish County Economic development officials would like to see more of." (Everett Herald, May 26, 2008)

UWB has developed partnerships in the surrounding community, including research and policy collaborations. Through its Biotechnology and Biomedical Technology Institute (BBTI), UWB collaborated with the city of Bothell to earn designation as a Washington Innovation Partnership Zone, allowing the area increased access to state resources. Local partners have expressed strong interest in UWB's plans to develop degrees in Science and Technology fields, and current planning for a minor in Biotechnology is in response to such demand.

Meeting Demand to Benefit Students and Region:

The creation of a model Science and Technology Program offers significant benefits to the counties surrounding UWB by providing opportunity for local students to study in STEM fields, in turn fueling greater economic prosperity and innovation in the region.

Initial degrees to be developed include:

- BS Biological Sciences, 2008-09
- BS Electrical Engineering, 2008-09
- BS Integrated Sciences, 2008-09
 - o Leading to math/science teacher certification
- BS Environmental Health, 2010
- Minor Biomedical Technology
- Cross-programmatic minors
 - Sustainable Business
 - o Other minors

In addition to these degrees within the Science and Technology Program, collaborations, projects and interdisciplinary connections will continue to foster STEM-related depth, perspective and growth across campus. Ongoing projects include: Space Huskies, CSS internship programs, participation in global and regional air pollution research, Education outreach programs for pre-collegiate students, involvement in the UW Restoration Ecology Network, and CUSP participation in Summer Institutes on "Sustainability and the Curriculum." Additional programs, including student opportunities to participate in ongoing chemistry research into the international coffee crisis, will provide the real-world experiences that are hallmarks of the UWB curriculum.

As UWB achieves the growth envisioned in the 21st Century Campus Initiative, additional degrees will be developed at a steady pace, to create the comprehensive array of the programs needed to serve our constituents. Between 2008 and 2020, available degrees and professional certifications are projected to grow from 11 to 60, not including minors and options within degrees. The anticipated academic profile for 2020 includes new degrees in the following areas:

- STEM and Health, including Allied Health, Nursing, Biochemistry, Mathematics, Physics and additional Engineering fields
- Social Sciences, including Communications, Sociology and Economics
- Interdisciplinary Arts and Sciences, including Culture, Literature and the Arts, Environmental Studies and Performance Studies
- Other fields, including Education Leadership, Foreign Language and Culture options, and Game Design.

PROCESS

Focused planning for STEM growth began in 2006 with an analysis of workforce and enrollment demand undertaken by the UWB Applied Science and Technology Planning (ASTP) Group. The ASTP report provided the in-depth analysis that served as a basis for further development.

In 2008, in conjunction with the campus-wide priorities planning process, a STEM Task Force was appointed to recommend ways to accelerate growth in these fields. In addition to making curricular and timeline recommendations, the task force was charged with considering the best institutional structure to insure effective development. Through its deliberations and analysis of UWB's current organizational structure and capabilities, the group identified three criteria needed to successfully meet student and regional needs for STEM growth: a degree of autonomy regarding curricula, faculty recruitment, and tenure and promotion; a place at the table in administrative and budgetary decision-making; and an umbrella for multiple department-like entities."

The task force analyzed several organizational options in depth, including the creation of a new unit such as the proposed Science and Technology Program. These were outlined in the report of the task force, which received wide review during a 30-day open comment period. Comments from this review,

Establishment of a Science and Technology Program at UWB (continued p.11)

recommendations through program, faculty and campus-wide meetings, along with analysis of available resources, were all taken into account in developing the current proposal.

RESOURCES AND FUNDING

Though funding for science degrees is not inexpensive, UWB is committed to providing the resources necessary to ensure the success of STEM studies.

We intend to launch the Science and Technology Program through a combination of strategies, including reallocation of current resources. We will also develop additional resources, through state funding, increased grant opportunities, and community partnerships. A concerted effort on each of these fronts will allow us to fund the required new faculty and provide essential student support services to create the model program we envision.

Our top priority for new resources in 2008-09 and 2009-10 is to support the new unit, including the hiring of new permanent faculty.

Many of UWB's current faculty have expertise in a range of science, technology, health and mathematics fields. We will build the new program from the strength of this talent. Interested faculty will have opportunities to move their appointments in whole or part to the new unit, and new faculty will be recruited to provide the additional depth and expertise for new degree programs.

To ensure access to needed facilities in the short-term, we are pursuing arrangements with Cascadia Community College to share laboratory space on an interim basis. At the same time, we will enhance our own facilities by reassigning space and through long-term planning. Pre-design conversations regarding the future UWB 3 are currently underway, with a focus on accommodating growth in the sciences.

Resourcefulness, a key priority of the 21st Century Campus Initiative, underlies all of our planning for STEM field advancement. With our priorities clearly outlined, we will carefully align our human and financial resources toward achieving our goal of growth with distinction.

ATTACHMENTS

21st Century Campus Initiative: UW Bothell Priorities Plan 2008-2020

21st Century Campus Initiative Priority/Action Sheet

Establishment of a Science and Technology Program at UWB (continued p.12)

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Establishment of a Science and Technology Program at UWB (continued p.13)

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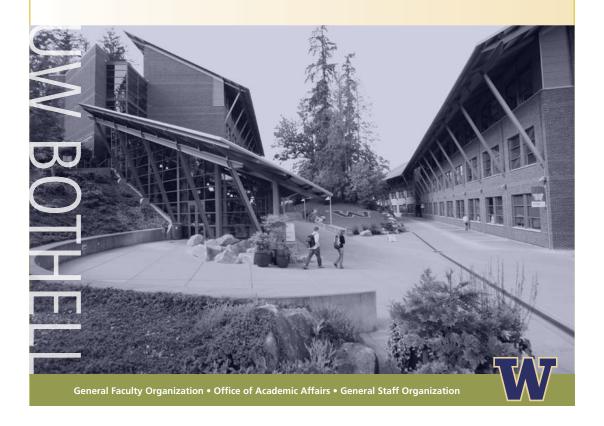
Washington State Office of the Governor www.governor.wa.gov/priorities/education/

UNIVERSITY OF WASHINGTON BOTHELL



The 21st Century Campus Initiative

University of Washington Bothell Priorities Plan 2008-2020



Approved by the General Faculty Organization Executive Council/General Staff Organization Guidance Group on 5/27/08 and by the Chancellor on 5/30/08.





Purpose and Goals for the UWB Priorities Plan

The University of Washington Bothell (UWB) is one of three campuses of the University of Washington (UW), an internationally renowned research university. As a publicly funded university, UWB exists to serve the educational needs of the citizens of the state of Washington. In its 2008 Strategic Master Plan, the state Higher Education Coordinating Board (HECB) outlined two goals for providing what the people of our state want and need from their public higher education system:

HECB Goal 1: We will create a high-quality higher education system that provides expanded opportunity for more Washingtonians to complete postsecondary degrees, certificates and apprenticeships.

HECB Goal 2: We will create a higher education system that drives greater economic prosperity, innovation and opportunity.

The 21st Century Campus Initiative: UWB Priorities Plan for 2008-2020 addresses our state's need to expand access to higher education by outlining a clear and compelling vision for how we intend to grow in both size and excellence.

Developed as a partnership between faculty, staff and administration, with input from students, the Alumni Council and the UWB Advisory Board, the 21st Century Campus Initiative identifies the priorities and actions to guide us in addressing the following overall institutional goals:

- Build a distinctive 21st Century public institution reflecting our mission and campus priorities.
- Grow to a combined enrollment of 5,000 undergraduate and graduate students by 2020 to serve the needs of our region.
- Achieve distinctiveness and growth in a fiscally responsible manner.

The purpose of the 21st Century Campus Initiative is to lead us toward success in meeting these goals, by focusing our energies and resources in an intentional manner as we pursue a common vision.





UWB Mission Statement

The UW Bothell holds the student-faculty relationship to be paramount. We provide access to excellence in higher education through innovative and creative curricula, interdisciplinary teaching and research, and a dynamic community of multicultural learning.

- Serve college-age and established adult students, as well as the community at large, by providing access to a premier institution of higher education.
- Emphasize and develop critical thinking, writing, and information literacy, in order to graduate students with life-long learning skills.
- Actively recruit and support outstanding faculty scholars with a passion for communication.
- Build an inclusive and supportive community of learning and incorporate multicultural content and diverse perspectives on ethnic and racial groups, gender, sexual orientation, social class, and special needs.
- Encourage and support collaborative, interdisciplinary, and cross-program initiatives.
- Provide quality curricula by making use of the best of educational technology in support of teaching and learning.
- Attract and support an internationally diverse student body and a nationally recognized faculty and staff.
- Create and support excellence in student services, academic services such as library, writing center, computing services, and physical facilities.
- Foster productive relationships with the employment community and promote a strong public service commitment.

From Mission to Priorities Planning

The successful development of UWB rests on the rich framework established by the founding faculty in 1990. Since that date the university has grown from 26 students to over 1800, guided throughout by the vision and accomplishments of the entire campus, its programs and units. Planning milestones include:





- UWB Mission adopted by the General Faculty Organization (GFO) in 1996
- UWB 5 for 5 Initiative begun in 2004
- The Future of Washington's Branch Campuses: HECB report on branch campus development plans HB 2707 in 2005
- GFO Academic Planning in 2006-2007
- Creating UW's Future: Meeting the Grand Challenges, a UW strategic plan for all three campuses in 2006-2007 (Appendix A)

Our current process began in September 2007 with a retreat to generate ideas for shaping our future growth. A Strategic Planning Blackboard site was then launched, allowing the entire UWB community to review, reflect and comment on retreat presentations, outcomes, relevant data and readings. Early in 2008, a Faculty/Staff Academic Priorities Workshop series was begun to move the process from ideas toward a concrete plan. The workshops focused on identifying broad priorities to serve the growing needs of our students and region with excellence and distinction. The 21st Century Campus Initiative builds on our mission and reflects the visions and priorities that emerged throughout our planning process.

Our Priorities (not ranked)

Growth:

Serve the citizens of the State of Washington by providing increased access to a premier university education.

- Grow to a total undergraduate and graduate enrollment of 5,000 full-time equivalent (FTE) students in 12 years and 6,000 in 15 to 20 years.
- Over the next five years, increase enrollment by 1,000-1,200 FTE students.
- Develop new majors and graduate programs in high-demand fields and foundational studies to serve student, employer and regional needs. Broad fields identified for new or continued development include:
 - o Science, Technology, Engineering and Math (STEM); and Health
 - Social Sciences
 - o Visual, Literary and Performing Arts
 - o Foreign Language and Culture

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- Support ongoing enrollment growth in existing program areas: Business, Computing and Software Systems, Education, Interdisciplinary Arts and Sciences, Nursing, and the Center for University Studies and Programs.
- Understand and respond to projected demographic changes and their effects on our assumptions about demand and needs.
- Use technology and innovative delivery modes to overcome barriers to enrollment and extend UWB's reach.

Resourcefulness: Build a culture of institutional sustainability through sound, creative use of financial and human resources.

- Recognize and operate within the fiscal boundaries of a public university.
 - o Demonstrate the value of UWB to the region.
 - o Enhance the flexible use of resources.
- Plan to build the facilities needed to accommodate future academic programs and services, with flexibility for large classes and small seminars.
- Sustain our human resources by addressing professional development and institutional support needs among faculty and staff.
- Expand opportunities for additional funding sources, including development, funded research and self-sustaining programs.

Diversity: Enhance campus commitment to diversity and inclusiveness.

- Enhance recruitment, support and retention for underrepresented faculty, staff and student groups.
- Incorporate multicultural content and diverse perspectives in learning and scholarship.
- Target services to support inclusion and success for a student population of increasing diversity in ethnicity, race, gender, age, sexual orientation, social class and disability.
 - Create a multicultural program to support students of diverse backgrounds, foster understanding and appreciation of differences, and enrich campus life.

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- Create bridge and other support programs to enhance recruitment and retention.
- Build P-12 and community college pipeline partnerships, forging relationships and aiding student preparation for university studies.
- Maintain commitment and support for students of all ages and students with disabilities.

Student-centered: Enhance student services to support academic success and enrich student life.

- Provide enhanced advising and career services for undergraduate and graduate students.
- Provide comprehensive academic support services, including Library, Writing Center, Quantitative Skills Center, Media Center and additional programs.
- Address student-life issues, including:
 - o Housing, social and recreational opportunities
 - o Health, mental health and childcare services

Community: Deepen and broaden community engagement and research.

- Build the UWB community by fostering lifelong learning and alumni outreach.
- Broaden our impact to encompass diverse local, regional, statewide and global communities.
 - o Enhance research activities focused on local through global issues.
- Promote undergraduate and graduate involvement in service- and community-based learning and research.
- Develop productive relationships with the employment community.
- Build on UWB's reputation by demonstrating our distinctiveness and telling our story.

Innovation: Support signature strengths in interdisciplinary scholarship and innovative teaching.

• Encourage and support collaborative, interdisciplinary and cross-program initiatives.

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- Engage faculty and students in inquiry- and problem-based approaches to research and learning.
- Develop reciprocal regional partnerships that enhance teaching and research.
- Promote research on the scholarship of teaching and explore innovative teaching methodologies that foster student/faculty interactions.
- Employ innovative technology and academic support services to enhance educational experiences.

Sustainability: Develop environmental and human sustainability as a signature initiative.

- Gain national distinction for leadership, scholarship and teaching in sustainability issues.
- Promote community partnerships in sustainability activities, including collaboration with Cascadia Community College and UW Seattle.
- Build on interdisciplinary and cross-programmatic studies and research in environmental and human sustainability.
- Value the development of sustainable and healthy human communities.
- Promote a green campus, with the wetlands as a centerpiece, to embody sustainability and serve as a research and teaching laboratory.
- Develop into a low-impact campus through careful materials and energy stewardship.

Moving Forward: a flexible approach for the first year

The 21st Century Campus Initiative outlines seven priorities to guide UWB over the next 12 years. They are interconnected and have grown out of our existing culture, strengths and endeavors. Thus, many activities supporting our priorities are on-going and must be nurtured and developed with the additional focus called for in our plan. This campus-wide plan is not intended to inhibit program-level planning; rather, it should serve as a guide. In creating their plans, programs and units are asked to take these priorities into account, so our efforts remain focused on achieving the vision we have developed together.

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While all of our priorities are of great importance, we do not have unlimited financial and human resources to fully address them at once. In the short term, we will appoint task force groups or work with existing groups to develop recommendations in targeted areas while we continue to develop a timeline for implementing the remaining items. In Spring 2009, we will hold a follow-up workshop with faculty and staff to assess our progress, discuss potential modifications and update our steps for moving forward.

Several work groups will be asked to serve during Spring Quarter and others will be formed in the coming academic year and beyond. The proposed timing for development of new majors reflects consideration of planning activity outcomes, state and student demand, resource issues, and our ability to offer initial majors quickly to meet enrollment targets.

Each task force group, though focused on a specific area, will also address the ways in which other priorities are interrelated. Thus, a diversity task force will address this priority in depth, while the topic of diversity will be part of the conversation in all other groups as well. To foster collaboration throughout this process, task force leaders will work with the UWB 21st Century Campus Initiative Guidance Group, a partnership between the Office of Academic Affairs, the General Faculty Organization Executive Council, and General Staff Organization representatives.

Moving Forward: proposed approach

A. Finalize and adopt the 21st Century Campus Initiative.

- Present Draft #1 at Faculty/Staff Workshop, 3/7/08.
- Circulate Draft #2, revised to reflect workshop outcomes, later comments and recommendations by the GFO EC/GSO Guidance Group, 3/15/08.
- Post Draft #3 for a 30-day comment period, 4/15/08.
- Present final document to GFO EC/GSO Guidance Group for approval, 5/08.
- Transmit document to the chancellor for approval.
- Publish the 21st Century Campus Initiative.
- Incorporate action plans as they are developed.





B. Address financial sustainability in creating and implementing action plans.

 Allocate resources to achieve enrollment growth in ways that support the distinctiveness outlined in the 21st Century Campus Initiative.

C. Expand existing research and assessment capabilities.

- Expand the Office of Institutional Research to enhance the analysis of student and regional needs, as well as institutional performance. This information will help us remain flexible to changing environmental factors as we implement our priorities.
- Analyze data on changing demographics and plan to meet the needs of our projected student population.
- Assess progress in meeting priorities annually.
- Review our priorities plan annually and revise as needed based on changing data, projections and environmental factors.

D. Develop action plans based on task force recommendations.

- Create task force groups to recommend actions and timelines, identify measures for gauging success, and consider other issues related to the central focus.
- Maintain a flexible timeline for task force work, with the following proposed task force groups and formation dates:
 - o Enrollment Growth STEM and Health, Winter 2008
 - o Institutional Enrollment Management, Spring 2008
 - o Sustainability Initiative, Spring 2008
 - Enrollment Growth Social Sciences, Fall 2008
 - o Diversity, 2008-09
 - Technology and Innovation in Teaching, 2008-09
 - o Student Services/Student Life, 2008-10
 - o Community Engagement, 2008-10
 - o Enrollment Growth Visual, Literary and Performing Arts, 2009-10
 - o Enrollment Growth Foreign Language and Culture, 2009-11





- Charge task force groups to consider their area of focus in relation to the overall priorities plan.
- Support existing and emerging efforts to advance our priorities both within and outside of task force work.

E. Develop an on-going communications program supporting the 21st Century Campus Initiative.

- Build familiarity with our priorities throughout campus.
 - o Produce and distribute materials highlighting plan elements.
 - o Provide updates on activities supporting our priorities.
- Encourage on-going reflection, comment and input from faculty, staff, students, the Alumni Council and the UWB Advisory Board as we move forward.
- Hold a follow-up workshop with faculty and staff in Spring 2009 to discuss our progress and update our steps for moving forward.

University of Washington Vision Statement

The University of Washington educates a diverse student body to become responsible global citizens and future leaders through a challenging learning environment informed by cutting-edge scholarship. Discovery is at the heart of our university. We discover timely solutions to the world's most complex problems and enrich people's lives throughout our community, the state of Washington, the nation, and the world.

Core Values

integrity diversity excellence collaboration innovation respect

Uniquely Washington

The University of Washington's vision and strategic priorities must consider the characteristics that make us great and unique, and must reflect our core values and culture.

UW Standard of Excellence: We recruit the best, most diverse and innovative faculty and staff from around the world, encouraging a vibrant intellectual community for our students. We link academic excellence to cutting edge research through scholarly exploration and intellectual rigor. We hold ourselves to the highest standard of ethics, as a beacon for our community and the world.

Academic Community: We are educators and learners. We promote access to excellence and strive to inspire through education that emphasizes the power of discovery and the foundation of critical and analytic thinking. We foster creativity, challenge the boundaries of knowledge, and cultivate independence of mind through unique interdisciplinary partnerships.

World Leaders in Research: We have grown into the most successful public research university in the nation in attracting support for our research. Ours is a proud culture of innovation, collaboration, and discovery that has transformational impact.

Celebrating Place: The natural beauty of the Pacific Northwest envelops us. This is an important element of who we are, for this awe-inspiring place not only anchors us, it reaffirms our desire to effect positive change in the world around us. We accept gratefully our role in preserving and enhancing Washington: the place, the people, our home.

Spirit of Innovation: As Washingtonians, we are profoundly optimistic about our future. Based on our past and present, we find inspiration for the future. Ours is a culture with a determined persistence that engenders innovation and a belief that our goals can be realized.

World Citizens: We are compassionate and committed to the active pursuit of global engagement and connectedness. We assume leadership roles to make the world a better place through education and research. We embrace our role to foster engaged and responsible citizenship as part of the learning experience of our students, faculty, and staff.

Being Public: As a public university, we are deeply committed to serving all our citizens. We collaborate with partners from around the world to bring knowledge and discovery home to elevate the quality of lives of Washingtonians. This guides our decision-making as well as our aspirations and vision for the future.

Creating UW's Future: Meeting the Grand Challenges

- Goal 1: Attract a diverse and excellent student body and provide a rich learning experience
- **Goal 2**: Attract and retain an outstanding and diverse faculty and staff to enhance educational quality, research strength, and prominent leadership
- **Goal 3**: Strengthen interdisciplinary research and scholarship to tackle "grand challenge" problems that will benefit society and stimulate economic development
- **Goal 4:** Expand the reach of the UW from our community and region across the world to enhance global competitiveness of our students and the region
- **Goal 5**: Maintain and build infrastructure and facilities to insure the highest level of integrity, compliance and stewardship

priorities and goals

Growth: Serve the citizens of Washington by providing increased access to a premier university education.

- Grow enrollment to 5,000 FTE in 12 years.
- Develop new undergraduate and graduate majors, programs and foundational studies in areas of high demand:
 - Science, Technology, Engineering and Math (STEM); and Health
 - Social Sciences
 - Visual, Literary and Performing Arts (VLPA)
 - Foreign Language and Culture
- Support growth in existing program areas: CSS, Business, Education, IAS, Nursing and CUSP.
- Understand and respond to projected demographic changes.
- Use technology and innovative delivery modes to overcome barriers to enrollment and extend UWB's reach.

Resourcefulness: Build institutional sustainability through sound, creative use of financial and human resources.

- Operate within the fiscal boundaries of a public university.
 - Demonstrate the value of UWB to the region.
 - Enhance the flexible use of resources.
- Plan to build flexible facilities to accommodate future academic programs and services.
- Address professional development and support to sustain human resources.
- Expand opportunities for additional funding sources, including:
 - Development, funded research and self-sustaining programs

action steps and notes

Task Force Appointments

- STEM & Health, Winter 2008
- Enrollment Growth (EG) STEM & Health, Winter 2008
- Institutional Enrollment Management, Spring 2008
- EG Social Sciences, Fall 2008
- EG VLPA, 2009-10
- EG Foreign Language, 2009-11

Action Notes*

- STEM Task Force Report, April 2008
- Science & Technology (S & T) Program Proposal presentation to campus, 6/08
- Electrical Engineering NOI, 6/08

Task Force Appointment

• Institutional Enrollment Management, Spring 2008

Action Notes

- Resource allocations aligned with UWB priorities
- Pre-design for UWB 3, Spring 2008
- Roadmap project outlining personnel, capital and enrollment milestones, Spring 2008, completion Fall 2008

^{*}Actions/steps in italics are completed or underway as indicated.

priority and goals

Diversity: Enhance campus commitment to diversity and inclusiveness.

- Enhance recruitment and support for underrepresented faculty, staff and students.
- Incorporate multicultural content and diverse perspectives in learning and scholarship
- Support success for a student body of increasing diversity in ethnicity, race, gender, age, sexual
 orientation, social class and disability.
 - Create a multicultural program.
 - Create bridge and support programs.
 - Build P–12 and community college pipeline partnerships.
 - Maintain commitment to students of all ages and those with disabilities.

Student-centered: Enhance student services to support academic success and enrich student life

- Enhance advising and career services.
- Provide comprehensive academic support, including Library, Writing Center, Quantitative Skills Center, Media Center and new programs.
- Address student-life issues, including:
 - Housing, social and recreational opportunities
 - Health, mental health and childcare services

Community: Deepen and broaden community engagement and research.

- Build the UWB community by fostering lifelong learning and alumni outreach.
- Broaden impact to encompass diverse regional, statewide and global communities.
 - Enhance research activities focused on local through global issues.
- Promote service- and community-based learning and research.
- Develop productive relationships with the employment community.
- Build our reputation by demonstrating our distinctiveness and telling our story.

action steps and notes

Task Force Appointment

• Diversity, Fall 2008

Action Notes

• S & T Program charged with addressing Diversity goals, 2008

Program Examples

- Imagine Environmental Stewardship Outreach 6/08
- Space Huskies Science Outreach, since 2005
- All-campus lunch on Equity & Diversity, 4/08
- 7th Annual Intercultural Night, 5/08
- Dream Project, Fall 2008
- Bridge Program Launch, Fall 2009

Task Force Appointments

- Institutional Enrollment Management, Spring 2008
- Student Services/Student Life, 2008-10

Action Notes

- S & T Program charged with addressing academic support needs for STEM fields, 2008
- Fitness Center, opens Fall 2008

Task Force Appointments

• Community Engagement 2008-2010

Action Notes

• Biotechnology and Biomedical Technology Institute created, 4/08

Program Examples

- Community Partnerships Fair with Cascadia CC, 5/08
- Proposal for Center for Community- based Learning & Scholarship, 6/08
- $\bullet \quad \textit{Center for Student Entrepreneurship Competition}, \, 6/08$

^{*}Actions/steps in italics are completed or underway as indicated.

priority and goals

Innovation: Support signature strengths in interdisciplinary scholarship and innovative teaching.

- Support collaborative, interdisciplinary and cross-program initiatives.
- Engage faculty and students in inquiry-based approaches to learning.
- Develop regional partnerships that enhance teaching and research.
- Promote innovative teaching methods that foster student/faculty interactions.
- Employ innovative technology to enhance educational experiences.

Sustainability: Develop environmental and human sustainability as a signature initiative.

- Gain national distinction for leadership and scholarship in sustainability issues.
- Promote community partnerships in sustainability activities.
- Build on interdisciplinary studies and research about sustainability.
- Value the development of sustainable and healthy human communities.
- Promote a green campus culture, with the wetlands as a centerpiece.
- Become low-impact through materials and energy stewardship.

action steps and notes

Task Force Appointment

Technology & Innovation in Teaching, 2008-09

Action Notes

- S & T Program charged with incorporating effective, innovative teaching and technology, 2008
- Goodlad Institute for Educational Renewal, March 2008
- Center for Reinventing Public Education, April 2008

Program Example

• Projects with UW Restoration Ecology Network, ongoing

Task Force Appointment

• Sustainability, Summer 2008

Program Examples

- Brightwater MOU, 3/2008
- CSS Speaker Series Global Warming, 4/08
- Discovery Café Climate Change, the Common Book & Campus Projects, 4/08

The 21st Century Campus Initiative was developed in partnership with faculty, staff, students, alumni and community advisors. It addresses our state's need for increased enrollment and outlines our priorities for achieving growth in a distinctive, fiscally responsible manner. These priorities will form the foundation of a UWB Roadmap project outlining the personnel resources, capital building needs and student enrollments that will be necessary to meet our goals. - July 1, 2008

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Human Resources, Benefits Office-UW Voluntary Investment Program Plan Changes

RECOMMENDED ACTION

It is the Recommendation of the Academic and Student Affairs Committee that the Board of Regents approve changes to the UW Voluntary Investment Program (VIP) to meet Internal Revenue Code Section 403(b) requirements which are effective January 1, 2009.

EXPLANATION OF PROPOSED CHANGES

The UW VIP is an Internal Revenue Code (IRC) § 403(b) voluntary pre-tax retirement savings plan. VIP provides employees with tax-deferred retirement savings with any of four Fund Sponsors (Calvert, Fidelity, TIAA-CREF, or Vanguard), and does not include any employer matching funds.

The Internal Revenue Service recently updated IRC § 403(B) for the first time since 1964. The Code revision requires all employers' Plan Documents to be in compliance with new provisions and mandatory language for plan years effective no later than January 1, 2009. No changes have been made to plan eligibility or participation rules.

Accordingly we request that you approve the attached updated VIP Plan Document to be effective January 1, 2009.

Attachment: University of Washington Voluntary Investment Program Plan Document

A-3/208-09 9/18/08

Voluntary Investment Program (VIP) Plan Document

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1. Establishment of Voluntary Investment Program

1.1. **Establishment of Program**. The Board of Regents of the University of Washington established a University of Washington Tax-Deferred Annuity Program as of January 1, 1972, as allowed under State of Washington RCW 28.B.10.480. As of July 1994, the program is named the Voluntary Investment Program (VIP).

This plan document sets forth the provisions of this Program, as in effect on January 1, 2009. Contributions under this Program are made under section 403(b) of the Internal Revenue Code and are invested, at the direction of the Participant, in one or more of the Funding Vehicles available under the Program.

2. <u>Definitions</u>

The words and phrases defined in this Article have the following meanings throughout this plan document:

- 2.1. **Accumulation Account** means the separate account established for each Participant with each Fund Sponsor to which VIP Contributions have been made by the Participant. The current value of a Participant's Accumulation Account with a Fund Sponsor includes all VIP Contributions to the Fund Sponsor, less expense charges, transfers, and benefit distributions, and reflecting credited investment experience.
- 2.2. **Annuity Contract** means a non-transferable contract described in section 403(b)(1) of the Code, that is issued by an insurance company qualified to issue annuities in the State of Washington and that includes payment in the form of an annuity.
- 2.3. **Beneficiary** means (a) with the written consent of the Participant's spouse, if any, such person or persons who shall have been designated by the Participant in writing duly executed and filed with the Fund Sponsor(s) or (b) if no such person survives the Participant, the surviving spouse of the Participant. A new designation may be made at any time before the Participant or Beneficiary has started to receive annuity payments under the Program; any such new designation shall be subject to the conditions of this Section 2.3.
- 2.4. **Board** means the Board of Regents of the University of Washington.
- 2.5. **Code** means the Internal Revenue Code of 1986, as amended.
- 2.6. **Custodial Account** means the group or individual custodial account or accounts, described in section 403(b)(7) of the Code, established for a Participant to hold assets of the Program.
- 2.7. **Eligible Employee** means any employee of the University of Washington, except nonresident aliens who receive no U.S.-source earned income.
- 2.8. **Fund Sponsor** means an insurance, variable annuity, or investment company that provides Funding Vehicles to Participants under the Program.

- 2.9. **Funding Vehicles** means the Annuity Contracts and Custodial Accounts available for the purpose of investing contributions under this Program and specifically approved by UW under Section 5.1.
- 2.10. **Participant** means any employee of UW who participates in the Program in accordance with Section 3.1.
- 2.11. **Program** means the University of Washington Voluntary Investment Program as set forth in this document.
- 2.12. **Program Administrator** is defined in Section 8.1.
- 2.13. **Program Year** means January 1 through December 31.
- 2.14. **Related Employer** means UW and any other entity which is under common control with UW under section 414(b) or (c) of the Code.
- 2.15. Salary Reduction Agreement (SRA) is an agreement described in Section 3.1.
- 2.16. **VIP Contributions** means contributions by the Participant as described in Section 4.
- 2.17. **UW** means University of Washington.

3. Eligibility and Participation

3.1. **Eligibility and Participation**. All Eligible Employees may begin participation on the first of the month following employment as an Eligible Employee.

To participate in this Program, an Eligible Employee must complete the necessary enrollment form(s) for the Fund Sponsor(s) and for the Funding Vehicle(s) selected, as well as a Salary Reduction Agreement (SRA), and return them to the UW Benefits Office.

An SRA is a written agreement between the employee and UW under which the employee's salary is reduced by an amount equal to the contributions that the employee wishes to have made to the Program. An SRA shall be subject to such rules and restrictions as may be imposed by the Program Administrator, not inconsistent with section 403(b) of the Code and the regulations thereunder.

3.2. **Termination of Participation**. A Participant will continue to participate in the Program until (a) he or she ceases to be an Eligible Employee, (b) the Program is terminated, or (c) his or her contributions under the Program are terminated, whichever occurs first.

4. VIP Contributions

4.1. **VIP Contributions**. Contributions to this Program (referred to hereafter as "VIP Contributions") are in addition to any contributions which may be made to the University of Washington Retirement Plan (UWRP), or any State of Washington retirement plan. To make

VIP Contributions, an Eligible Employee must enter into a Salary Reduction Agreement with UW as described in Section 3.1. Under the Agreement, the employee's salary is reduced and the amount of the reduction is forwarded to the Funding Vehicles available under this Program.

- 4.2. **Salary Reduction Minimum**. A Participant shall be permitted to make contributions under this Program only if the Salary Reduction Agreement provides for annual contributions of at least \$200.
- 4.3. **Leave of Absence**. During a leave of absence with pay, VIP Contributions will continue to be made in accordance with the Salary Reduction Agreement, if any, then in effect. No VIP Contributions will be made during a leave of absence without pay.
- 4.4. **Limitations on VIP Contributions**. The total contributions transmitted by UW on behalf of the Participant for any year under this Program and all other plans, contracts or arrangements of UW will not exceed the limits imposed by Code section 402(g) (without regard to 402(g)(7)) and Code section 415, except as permitted by Code section 414(v). The limits imposed by Code sections 402(g), 414(v) and 415 are herein incorporated by reference. If the limitations are exceeded because the Participant is also participating in another plan required to be aggregated with this Program for the purposes of section 402(g), 414(v) or 415, and such other plan is maintained by UW or a Related Employer, or UW receives from the Participant sufficient information concerning his or her participation in such other plan, then the extent to which annual contributions under this Program will be reduced, as compared with the extent to which annual benefits or contributions under any other plans will be reduced, will be determined by UW. If the reduction is under this Program, UW will advise the affected Participants of any limitations on their annual contributions required by this Section 4.4.
- 4.5. **Return of Excess VIP Contributions**. If a Participant has VIP Contributions that exceed the limits in effect under Code section 402(g) or 415 for the year, he or she may designate the contributions made during a taxable year to this Program as excess VIP Contributions by notifying the Program Administrator of the amount of the excess on or before March 15 of the year following the year in which the excess Contributions occurred. Notwithstanding any other provision of this Program, such excess VIP Contributions, adjusted for income, gains, losses or expenses attributable to such excess Contributions, will be distributed no later than April 15 of the year following the year in which the excess contributions occurred. In addition, UW may, in its sole discretion, cause any VIP Contribution in excess of the foregoing limitations, adjusted for income, gains, losses or expenses attributable to such excess Contribution, to be distributed to the Participant to the extent permitted by applicable law.

4.6. Rollover Contributions and Transfers.

(a) **Eligible Rollover Contributions.** To the extent provided in the Annuity Contracts and Custodial Account agreements, a Participant who is entitled to receive an eligible rollover distribution from another eligible retirement plan may request to have all or a portion of the eligible rollover distribution paid to the Program. Such rollover contributions shall be made in the form of cash only. The Fund Sponsor may require such documentation from the distributing plan as it deems necessary to effectuate the rollover in accordance with section 402 of the Code

and to confirm that such other plan is an eligible retirement plan within the meaning of section 402(c)(8)(B) of the Code. However, in no event does the Program accept a rollover contribution from a Roth elective deferral account under an applicable retirement plan described in section 402A(e)(1) of the Code or a Roth IRA described in section 408A of the Code.

- (1) **Eligible Rollover Distribution.** For purposes of Section 4.6(a), an eligible rollover distribution means any distribution of all or any portion of a Participant's benefit under another eligible retirement plan, except that an eligible rollover distribution does not include (i) any installment payment for a period of 10 years or more, (ii) any distribution made as a result of an unforeseeable emergency or other distribution which is made upon hardship of the employee, or (iii) for any other distribution, the portion, if any, of the distribution that is a required minimum distribution under section 401(a)(9) of the Code. In addition, an eligible retirement plan means an individual retirement annuity described in section 408(b) of the Code, a qualified trust described in section 401(a) of the Code, an annuity plan described in section 403(a) or 403(b) of the Code, or an eligible governmental plan described in section 457(b) of the Code.
- (2) **Separate Accounts.** The Fund Sponsor shall establish and maintain for the Participant a separate account for any eligible rollover distribution paid to the Program. No such rollover shall be taken into account in applying the limits of Section 4.4.

(b) Plan-to-Plan Transfers to the Program.

- (1) At the direction of UW, for a class of Employees who are participants or beneficiaries in another plan under section 403(b) of the Code, the Administrator may permit a transfer of assets to the Program as provided in this Section 4.6(b). Such a transfer is permitted only if the other plan provides for the direct transfer of each person's entire interest therein to the Program and the participant is an employee or former employee of UW. The Program Administrator and any Fund Sponsor accepting such transferred amounts may require that the transfer be in cash or other property acceptable to it. The Program Administrator or any Fund Sponsor accepting such transferred amounts may require such documentation from the other plan as it deems necessary to effectuate the transfer in accordance with §1.403(b)-10(b)(3) of the Income Tax Regulations and to confirm that the other plan is a plan that satisfies section 403(b) of the Code.
- (2) The amount so transferred shall be credited to the Participant's Accumulation Account, so that the Participant or Beneficiary whose assets are being transferred has an accumulated benefit immediately after the transfer at least equal to the accumulated benefit with respect to the Participant or Beneficiary immediately before the transfer.
- (3) To the extent provided in the Annuity Contract and Custodial Account agreements holding such transferred amounts, the amount transferred shall be held, accounted for, administered and otherwise treated in the same manner as a VIP Contribution, except that (i) the Annuity Contract or Custodial Account which holds any amount transferred to the Program must provide that, to the extent any amount transferred is subject to any distribution restrictions required under section 403(b) of the Code, the Annuity Contract or Custodial

Account agreement must impose restrictions on distributions to the Participant or Beneficiary whose assets are being transferred that are not less stringent than those imposed by the transferor plan and (ii) the transferred amount shall not be considered a VIP Contribution in determining the maximum deferral under Section 4.4.

- 4.7. **Vesting of Contributions**. Each contract and certificate issued in accordance with the provisions of the Program is the property of the Participant. Amounts attributable to VIP Contributions shall be nonforfeitable. However, VIP Contributions based on a good faith mistake of fact shall be returned to UW if UW so requests, as provided in Section 10.5.
- 4.8. **Quarterly Statement**. At least once per calendar quarter a Fund Sponsor will send each Participant a report summarizing the status of his or her Accumulation Account. A Participant may obtain similar reports or illustrations upon termination of employment or at any other time by writing directly to the Fund Sponsor.
- 4.9. **No Reversion**. Under no circumstances will any VIP Contributions revert to, be paid to, or inure to the benefit of, directly or indirectly, the UW, except as provided in the second sentence of Section 4.7.
- 4.10. **Protection of Persons Who Serve in a Uniformed Service**. An Eligible Employee whose employment is interrupted by qualified military service under section 414(u) of the Code or who is on a leave of absence for qualified military service under section 414(u) of the Code may elect to make additional VIP Contributions upon resumption of employment with UW or any Related Employer equal to the maximum VIP Contributions that the Employee could have elected during that period if the Employee's employment had continued (at the same level of Compensation) without the interruption or leave, reduced by the VIP Contributions, if any, actually made for the Employee during the period of the interruption or leave. Except to the extent provided under section 414(u) of the Code, this right applies for five years following the resumption of employment (or, if sooner, for a period equal to three times the period of the interruption or leave).

5. Fund Sponsors/Funding Vehicles

- 5.1. **Fund Sponsors/Funding Vehicles**. VIP Contributions are invested in one or more of the Funding Vehicles available to Participants through the Fund Sponsors under this Program. The Fund Sponsors that are available to Participants as of the date of this document are:
- A. Teachers Insurance and Annuity Association and College Retirement Equities Fund (TIAA-CREF): 730 Third Avenue, New York, NY 10017
- B. Fidelity Retirement Services: P.O. Box 31401 Salt Lake City, UT 84131-9921
- C. Vanguard Group of Investment Funds: P.O. Box 1101 Institutional Division Valley Forge, PA 19482
- D. Calvert Group: 4550 Montgomery Ave. Ste. 1000 North Bethesda, MD 20814

The Institution's current selection of Fund Sponsors is not intended to limit future additions or deletions of Fund Sponsors and Funding Vehicles. The Program Administrator shall maintain a list of all Fund Sponsors under the Program. Such list is hereby incorporated as part of the Program. Each Fund Sponsor and UW shall exchange such information as may be necessary to satisfy section 403(b) of the Code or other requirements of applicable law. In the case of a Fund Sponsor which is not eligible to receive contributions under the Program (including a Fund Sponsor which has ceased to be a Fund Sponsor eligible to receive contributions under the Program, the Employer shall keep the Fund Sponsor informed of the name and contact information of the Program Administrator in order to coordinate information necessary to satisfy section 403(b) of the Code or other requirements of applicable law.

- 5.2. **Allocation of Contributions**. A Participant may allocate VIP Contributions among Funding Vehicles in any whole number percentages that equal 100 percent.
- 5.3. **Fund Transfers**. Subject to a Funding Vehicle's rules for transfers and in accordance with the provisions of the Code governing the deferral of income tax with respect to Accumulation Accounts, a Participant may specify that a part or all of his or her Accumulation Account in one Funding Vehicle be transferred to another Funding Vehicle. However, an investment transfer that includes an investment with a Fund Sponsor that is not eligible to receive VIP Contributions (referred to below as an exchange) is not permitted unless the conditions in paragraphs (a) through (c) of this Section 5.3 are satisfied.
- (a) The Participant or Beneficiary must have an Accumulation Account immediately after the exchange that is at least equal to the Accumulation Account of that Participant or Beneficiary immediately before the exchange (taking into account the Accumulation Account of that Participant or Beneficiary with both Fund Sponsors immediately before the exchange).
- (b) The Annuity Contract or Custodial Account agreement with the receiving Fund Sponsor has distribution restrictions with respect to the Participant that are not less stringent than those imposed on the investment being exchanged.
- (c) UW enters into an agreement with the receiving Fund Sponsor for the other contract or custodial account under which the Employer and the Fund Sponsor will from time to time in the future provide each other with information necessary for the resulting contract or custodial account, or any other contract or custodial accounts to which contributions have been made by UW, to satisfy section 403(b) of the Code or other tax requirements, including UW providing information as to whether the Participant's employment with UW is continuing, and notifying the Fund Sponsor when the Participant has had a severance from employment and providing information on loans outstanding.

5.4. Fund Review Committee.

(a) **Responsibility.** The Fund Review Committee is a standing body responsible for reviewing the performance of Fund Sponsors and Funding Vehicles available through the University of Washington Retirement Plan (UWRP) and Voluntary Investment Program (VIP). The

Committee will also evaluate and recommend whether new Fund Sponsors or Funding Vehicles should be added and whether existing Fund Sponsors or Funding Vehicles should be removed.

If a Funding Vehicle or Fund Sponsor is removed, it shall not be available for subsequent contributions except as otherwise prescribed by the Committee. In addition, the Committee may determine that existing accumulations shall be transferred to one or more other Funding Vehicles specified by the Committee.

The Committee, in coordination with the UW Benefits Office, is to determine the frequency of the performance reviews. In evaluating existing Fund Sponsors and Fund Vehicles, the Committee will monitor and review performance data, analyze participants' comments, requests and concerns, and conduct public meetings on proposed changes. Draft recommendations of the Committee will be presented to and discussed with the Faculty Council on Retirement, Insurance and Benefits. Final recommendations for changes are to be submitted to the Executive Vice President.

The UW Benefits Office shall provide support for the Committee's activities. The Committee may also appoint such advisors and adopt such guidelines, policies or procedures as it deems appropriate.

- (b) **Membership.** The Committee shall be appointed by, and serve at the pleasure of, the Executive Vice President who will consult with the Faculty Council on Retirement, Insurance and Benefits in selecting the members. The membership shall include the following representatives and officials:
 - One representative of the Faculty Council on Retirement, Insurance, Benefits
 - One or more representatives of the Faculty, as recommended by the Faculty Senate Executive Committee
 - One representative of the Librarians, as recommended by the Association of Librarians of the University of Washington
 - One representative of the Professional Staff, as recommended by the Professional Staff Organization
 - One VIP participant representing the Classified or Contract Classified Staff, as recommended by the Vice President, Human Resources
 - One retired UWRP representative, as recommended by the UW Retirement Association
 - Ex officio, voting members:
 - UW Director of Benefits & Work/Life
 - UW Treasurer, Board of Regents
 - Non-voting member:

Representative from a four-year institution of higher education in the State of Washington other than the University of Washington

In appointing members, those groups recommending members and the Executive Vice President shall seek to identify individuals who have expertise in the areas of tax and pension law for 403(b) or similar retirement plans, or of finance, investment, or economics.

The Executive Vice President shall designate the Chair of the Committee.

Term of office for members shall be staggered, three year terms. The Committee shall meet as often as it deems appropriate, but not less than every six months. The Chair of the Committee may appoint such committee officers, advisors, and sub-committees as needed.

5.5. **Third Party Trading**. The Participant may assign responsibility for investment elections and other transactions under the Program to another party, in such manner as may be determined from time to time by the UW Benefits Office.

6. Benefits

- 6.1. **Benefits in General**. The Participant is entitled to receive benefits under any of the Funding Vehicles at any time and in any form offered by the Fund Sponsors, not inconsistent with section 403(b) of the Code and the regulations thereunder, subject to the written consent of the Participant's spouse, if any, in accordance with Section 6.5. However, distributions attributable to amounts accrued in an annuity contract after December 31, 1988 and amounts accrued in a mutual fund custodial account regardless of date may be paid only after a Participant attains age 59 1/2, severs from employment with UW and all Related Employers, dies or becomes disabled, or in the case of hardship. Hardship distributions are subject to the rules and restrictions set forth in Section 6.3. Distributions to a Participant made prior to attaining age 59 1/2 may be subject to early withdrawal penalties under the Code.
- 6.2. **Death Benefits**. On the death of a Participant, the entire value of each Accumulation Account is payable to the Beneficiary or Beneficiaries named by the Participant under one of the options offered by the Fund Sponsor. However, to the extent such Account has been applied to purchase an annuity, payments shall be made only if and to the extent provided by the form of annuity. The designation of a Beneficiary other than the Participant's spouse, if any, requires the written consent of the spouse in accordance with Section 6.5.
- 6.3. **Hardship Distributions**. Hardship distributions under Section 6.1 shall be approved only if the Program Administrator determines that the Participant has an immediate and heavy financial need and the distribution is necessary to satisfy the need. In such cases, there shall be paid to such Participant out of his or her Accumulation Account only such portion of the amount requested as is necessary to prevent or alleviate the hardship. The Program Administrator's determination shall be final and binding. No amount attributable to income credited after December 31, 1988, on VIP Contributions shall be available for distribution on account of hardship.

The following are deemed to be immediate and heavy financial needs of the Participant: (a) medical expenses described in Code section 213(d) incurred by the Participant or his or her spouse or dependents; (b) purchase (excluding mortgage payments) of a principal residence for the Participant; (c) payment of tuition, room and board for the next 12 months of post-secondary

education for the Participant, his or her spouse, his or her children or his or her dependents; (d) the payment of amounts necessary to prevent the eviction of the Participant from his or her principal residence or the foreclosure on the mortgage of his or her principal residence; (e) burial or funeral expenses for the Participant's deceased parent, spouse, children or dependents; or (f) expenses for the repair of damage to the Participant's principal residence described in section 165 of the Code.

Hardship distributions will be deemed to be necessary to satisfy an immediate and heavy financial need of the Participant if all of the following are satisfied: (a) the distribution does not exceed the amount of the applicable need under the second paragraph of Section 6.3 increased by taxes resulting from the distribution; (b) the Participant has obtained all distributions, other than hardship distributions, and all nontaxable loans currently available under the Program and any other plan maintained by UW or any Related Employer; (c) the Participant's VIP Contributions under the Program and his or her elective and employee contributions under any other deferred compensation plan maintained by UW or any Related Employer are suspended for six (6) months after receipt of the hardship distribution.

6.4. Minimum Distribution Requirements.

- (a) All distributions under this Program will be made in accordance with Code sections 403(b)(10) and 401(a)(9), as each is amended and in effect from time to time, and regulations thereunder. The entire Accumulation Account of each Participant will be distributed over a period not to exceed the life (or life expectancy) of the Participant or over the lives (or life expectancies) of the Participant and a designated Beneficiary. Minimum distributions must begin no later than April 1 of the calendar year following the calendar year in which the Participant attains age 70 1/2, or, if later, April 1 following the calendar year in which the Participant retires from the UW. Notwithstanding the above, the Accumulation Account of each Participant as of December 31, 1986 will be distributed in accordance with IRS Regulation 1.403(b)-6(e)(6). The Participant (or Beneficiary, after the Participant's death) may elect whether to use the permissive recalculation rule for life expectancies under Code section 401(a)(9)(D). Upon the Participant's death after the time benefits are required to begin hereunder, any remaining benefits will be distributed at least as rapidly as under the method of distribution in effect at the time of the Participant's death.
- (b) If the Participant dies before benefit payments are required to begin under the preceding paragraph, any benefits payable to (or for the benefit of) a designated Beneficiary will be paid by the end of the fifth full calendar year after the Participant's death, or will be paid beginning no later than the end of the first full calendar year after the Participant's death over the life of the designated Beneficiary or over a period not exceeding the life expectancy of the designated Beneficiary. If the designated Beneficiary is the surviving spouse, payment may be delayed until the date the Participant would have attained age 70 1/2.
- (c) In applying the foregoing rules, each Annuity Contract or Custodial Account shall be treated as an individual retirement account (IRA) and distribution shall be made in accordance with the provisions of Section 1.408-8 of the IRS regulations, except as provided in Section 1.403(b)-6(e) of the Regulations.

- 6.5. **Application for Benefits; Spousal Consent**. Procedures for receipt of benefits are initiated by writing directly to the Fund Sponsor(s). Benefits will be payable by the Fund Sponsor(s) upon receipt of a satisfactorily completed application for benefits and supporting documents. The necessary forms will be provided to the Participant, the surviving spouse, or the Beneficiary by the Fund Sponsor(s). In any case in which Section 6.1 or 6.2 requires the consent of the Participant's spouse, the consent must be in writing, must acknowledge the effect of the election or action to which the consent applies, and must be witnessed by a notary public or a Program representative. Unless the consent expressly provides that the Participant may make further elections without further consent of the spouse, the consent will be effective only with respect to the specific election or form of benefit, or Beneficiary, or both, to which the consent relates. Spousal consent will be effective only with respect to that spouse. Spousal consent will not be required if it is established to the satisfaction of the Program representative that there is no spouse, or that the spouse cannot be located.
- 6.6. **Loans**. Subject to the Code and terms of the Funding Vehicles, loans are available to Participants before the commencement of benefit payments.
- (a) Information Coordination Concerning Loans. Each Fund Sponsor is responsible for all information reporting and tax withholding required by applicable federal and state law in connection with distributions and loans. To minimize the instances in which Participants have taxable income as a result of loans from the Program, the Program Administrator shall take such steps as may be appropriate to coordinate the limitations on loans set forth in (b) below, including the collection of information from Fund Sponsors, and transmission of information requested by any Fund Sponsor, concerning the outstanding balance of any loans made to a Participant under the Program or any other plan of UW or any Related Employer. The Program Administrator shall also take such steps as may be appropriate to collect information from Fund Sponsors, and transmission of information to any Fund Sponsor, concerning any failure by a Participant to repay timely any loans made to a Participant under the Program or any other plan of UW or any Related Employer.
- (b) **Maximum Loan Amount**. No loan to a Participant under the Program may exceed the lesser of:
 - (1) \$50,000, reduced by the greater of (i) the outstanding balance on any loan from the Program to the Participant on the date the loan is made or (ii) the highest outstanding balance on loans from the Program to the Participant during the one-year period ending on the day before the date the loan is approved by the Program Administrator (not taking into account any payments made during such one-year period); or
 - (2) one half of the value of the Participant's Accumulation Accounts (as of the valuation date immediately preceding the date on which such loan is approved by the Program Administrator).

For purposes of this Section 6.6(b), any loan from any other plan maintained by UW and any Related Employer shall be treated as if it were a loan made from the Program, and the Participant's vested interest under any such other plan shall be considered a vested interest under

this Program; provided, however, that the provisions of this paragraph shall not be applied so as to allow the amount of a loan to exceed the amount that would otherwise be permitted in the absence of this paragraph.

6.7. Direct Rollover of Eligible Rollover Distributions.

- (a) A Participant or the Beneficiary of a deceased Participant (or a Participant's spouse or former spouse who is an alternate payee under a domestic relations order, as defined in section 414(p) of the Code) who is entitled to an eligible rollover distribution (as defined in section 402(c)(4) of the Code) from the Program may elect to have any portion of that distribution paid directly to an eligible retirement plan (as defined in section 402(c)(8)(B) of the Code) specified by the Participant in a direct rollover. In the case of a distribution to a Beneficiary who at the time of the Participant's death was neither the spouse of the Participant nor the spouse or former spouse of the participant who is an alternate payee under a domestic relations order, a direct rollover is payable only to an individual retirement account or individual retirement annuity (IRA) that has been established on behalf of the Beneficiary as an inherited IRA (within the meaning of section 408(d)(3)(C) of the Code).
- (b) Each Fund Sponsor shall be separately responsible for providing, within a reasonable time period before making an initial eligible rollover distribution, an explanation to the Participant of his or her right to elect a direct rollover and the income tax withholding consequences of not electing a direct rollover.

7. General Provisions and Limitations Regarding Benefits

7.1. **Non-Alienation of Retirement Rights or Benefits**. To the fullest extent permitted by law, no benefit under the Program may at any time be subject in any manner to alienation, encumbrance, the claims of creditors or legal process. No person will have the power in any manner to transfer, assign, alienate, or in any way encumber his or her benefits under the Program, or any part thereof, and any attempt to do so will be void and of no effect. This Program will, however, comply with any judgment, decree or order which establishes the rights of another person to all or a portion of a Participant's benefit under this Program to the extent that it is treated as a qualified domestic relations order under Code section 414(p). Such payment shall be made without regard to whether the Participant is eligible for a distribution of benefits under the Program. UW shall establish reasonable procedures for determining the status of any such decree or order and for effectuating distribution pursuant to the domestic relations order.

8. Administration

- 8.1. **Program Administrator**. UW is the Program Administrator, and has designated the UW Benefits Office to be responsible for the day to day administration of the Program.
- 8.2. **Authority of the Program Administrator**. The Program Administrator has all the powers and authority conferred upon it herein and further shall have final authority to determine, in its discretion, all questions concerning eligibility and contributions under the Program, to interpret all terms of the Program, including any uncertain terms, and to decide any disputes

arising under and all questions concerning administration of the Program. Any determination made by the Program Administrator shall be given deference, if it is subject to judicial review, and shall be overturned only if it is arbitrary and capricious. In exercising these powers and authority, UW will at all times exercise good faith, apply standards of uniform application, and refrain from arbitrary action.

8.3. **Delegation of Authority**. The Program Administrator may delegate any power or powers to one or more other employees of UW, or to any agent or independent contractor of UW. Any such delegation shall be in writing, and may be obtained from the Program Administrator.

9. Amendment and Termination

- 9.1. **Amendment and Termination**. While it is expected that this Program will continue indefinitely, UW reserves the right at any time to amend or terminate the Program, or to discontinue any further VIP Contributions under the Program, by resolution of its Board of Regents. If the Program is terminated or if contributions are discontinued, UW will notify all Participants, all Accumulation Accounts will remain nonforfeitable, and all agreements for salary reduction that have been entered into will become void with respect to salary amounts yet to be earned.
- 9.2. **Distribution Upon Termination of the Program**. UW may provide that, in connection with a termination of the Program and subject to any restrictions contained in the Annuity Contracts and Custodial Account agreements, all Accumulation Accounts will be distributed, provided that UW and any Related Employer on the date of termination do not make contributions to an alternative Section 403(b) contract that is not part of the Program during the period beginning on the date of Program termination and ending 12 months after the distribution of all assets from the Program, except as permitted by IRS regulations.
- 9.3. **Limitation**. Notwithstanding the provisions of Section 9.1, the Board shall not make any amendment to the Program that operates to recapture for UW any contributions previously made under this Program except to the extent permitted by Section 4.7.

10. Miscellaneous

- 10.1. **Program Does Not Affect Employment**. Nothing contained in this Program may be construed as a commitment or agreement on the part of any person to continue his or her employment with UW, and nothing contained in this Program may be construed as a commitment on the part of UW to continue the employment or the rate of compensation of any person for any period. All employees of UW will remain subject to discharge to the same extent as if the Program had never been put into effect.
- 10.2. **Claims of Other Persons**. No provisions in this Program will be construed as giving any Participant or any other person, firm, or corporation any legal or equitable right against UW or its officers, employees, or regents, except for the rights that are specifically provided for in this Program or created in accordance with the terms and provisions of this Program.

- 10.3. **Contracts and Certificates**. In the event there is any inconsistency or ambiguity between the terms of the Program and the terms of the contracts between the Fund Sponsors and UW and/or the Participants and any certificates issued to a Participant under the Program, the terms of the Program control.
- 10.4. **Requests for Information**. Any request for information concerning eligibility, participation, contributions, or other aspects of the operation of the Program should be in writing and directed to the Administrator of this Program. Requests for information concerning the Fund Sponsor(s) and their Funding Vehicle(s), their terms, conditions and interpretations thereof, claims thereunder, any requests for review of such claims, may be directed in writing to the Fund Sponsor(s).
- 10.5. **Mistaken Contributions**. If any contribution (or any portion of a contribution) is made to the Program by a good faith mistake of fact, then within one year after the payment of the contribution, and upon receipt in good order of a proper request approved by the UW Benefits Office, the amount of the mistaken contribution (adjusted for any in come or loss in value, if any, allocable thereto) shall be returned directly to the Participant or, to the extent required or permitted by the UW Benefits Office, to UW.
- 10.6. **Governing Law**. Except as provided under federal law, the provisions of the Program are governed by and construed in accordance with the laws of the State of Washington.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

<u>College of Architecture and Urban Planning Name Change to College of Built</u> Environments

RECOMMENDED ACTION

It is the recommendation of the administration and the Academic and Student Affairs Committee that the name of the College of Architecture and Urban Planning be changed to the College of Built Environments effective October 1, 2008.

BACKGROUND

When the university granted CAUP its current name in 1957, the college housed only two departments. Today it houses four. The college and its departments now offer fourteen graduate and undergraduate degrees and two interdisciplinary Ph.D.'s, as well as seven certificates across four disciplines. Our new graduate degree in real estate will enroll its first students in the fall 2009. The proposed name change more equitably represents this diverse community of teachers, students, and alumni. The name "College of Built Environments" better reflects the increasingly diverse academic composition of the college, and better aligns its identity with the changing requirements of current and future practices among its constituent professions. All these professions—architecture, landscape architecture, urban planning, urban design, real estate, and construction management—share profound responsibilities for environmental integrity. All represent practices that combine environmental design, policy, and physical intervention. All increasingly orient to the grand challenges that will shape twenty-first century experience, in particular urbanization and climate change.

The contemporary architecture/engineering/construction sector increasingly emphasizes a systemic and ecological approach to the design and planning of buildings and cities, which in turn stimulate alternative project delivery models requiring much greater levels of disciplinary integration. Moreover, the complexity of managed urban growth presupposes intensified exchange among adjacent disciplines, such as public health, public affairs, business, forestry, social work, and biology. In response to these developments, our faculty recently adopted ambitious strategic principles that strengthen the interdisciplinary character of research and design inquiry. The name "College of Built Environments" broadcasts these important strategic objectives at the same time as it embraces its institutional responsibility to the university's new College of the Environment.

<u>College of Architecture and Urban Planning Name Change to College of Built</u> Environments cont.

In the United States, the phrase "built environment" has so far been adopted by centers, institutes, and programs, but not as yet by schools or colleges. CAUP established its Ph.D. Program in the Built Environment five years ago; the University of California-Berkeley has a Center for the Built Environment; and

Colorado State University has an Institute for the Built Environment (a research-based center in their Department of Construction Management). In the United

Kingdom, Europe, Australia, and parts of Asia, many universities favor the formulation "School of the Built Environment," typically offering professional curricula in architecture, planning, and construction; we count over six such schools in the U.K. Should the Board of Regents approve this request, UW will have the first *College of Built Environments* in the United States.

This request follows over a year of extensive college-wide discussion. At the college's November 2007 faculty planning retreat, seventy-three percent of participating faculty members supported changing the college name. In a college wide survey, respondents favored such a name change by a 2-to-1 margin over the current and alternative appellations. Related motions subsequently earned unanimous support from the Executive Committee and College Council.

Attachment: Letter from Dean Daniel Friedman to Provost Phyllis Wise dated August 8, 2008.

August 8, 2008

To: Phyllis M. Wise

From: Daniel S. Friedman

Subject: College nomenclature

"... in the absence of anything adjectival, nothing referred to by any noun could have an independent existence."

—A.K. Coomaraswamy, "Ornament" [in R. Lipsey, ed. Selected Papers: Traditional Art and Symbolism, 252]

On November 17, 2007, in a straw poll conducted during a daylong strategic planning retreat, the faculty of the College of Architecture and Urban Planning voted by a decisive majority to change the name of CAUP. After much discussion, the faculty reasoned that a new name would better reflect its increasingly diverse academic composition, and better align its identity with the changing requirements of current and future practices among its constituent professions. All these professions—architecture, landscape architecture, urban planning, urban design, real estate, and construction management—share profound responsibilities for environmental integrity. All represent practices that combine environmental design, policy, and physical intervention. All increasingly orient to the grand challenges that will shape twenty-first century experience, in particular urbanization and climate change. In view of these developments, the faculty now seeks a clearer, more accurate, and more appropriate academic nomenclature.

When the university granted CAUP its current name in 1957, the college housed only two departments. Today it houses four. The college and its departments now offer fourteen graduate and undergraduate degrees and two interdisciplinary Ph.D.'s, as well as seven certificates across four disciplines. Our new graduate degree in real estate will enroll its first students in the fall 2009. The proposed name change more equitably represents this diverse community of teachers, students, and alumni.

Eight robust centers and labs enrich college curricula with broad research agendas covering all aspects of environmental planning, construction, and design: the Urban Ecology Research Lab, the Runstad Center for Real Estate Studies, the Urban Form Lab, the Integrated Design Lab, the Green Futures Lab, the Pacific Northwest Center for Construction Research and Education, the Northwest Center for Livable Communities, and the Design Machine Group. All of these centers and labs conduct interdisciplinary research. Together they generate the largest portion of the college's \$5.5 million in research funding, which currently represents 35 grants. In April, the faculty adopted strategic initiatives that aim to double research revenues in five years. The proposed name change better reflects the context of this commitment, which sees research as an indissoluble ingredient of professional education in all our disciplines.

No less important than the steady expansion of academic scope and research is the transformation of the architecture, construction, and engineering (AEC) industry, a trillion dollar annual economy. New ways of envisioning information and form—geographic information systems (GIS) and building information modeling (BIM), for example—have stimulated unprecedented integration among the disciplines that comprise this industry, both culturally and contractually. The proposed name change better recognizes the complex reality of the constructed world, which it envisions within the frame of coupled human and natural systems.

Equally significant is the estimated 48 percent of total annual greenhouse gas emissions directly or indirectly attributable to the AEC sector, and growing recognition of the importance of sustainable design and development in the next century. Moreover, emerging hybrid methods and vocabularies in both the professional and academic communities increasingly emphasize the systemic and ecological contexts of buildings and infrastructure in urban and regional development, which presuppose intensified exchange among adjacent disciplines, such as public health, public affairs, business, forestry, social work, and biology. In response, CAUP's faculty recently adopted ambitious strategic principles that strengthen the interdisciplinary character of research and design inquiry. The proposed name change better broadcasts these important strategic objectives.

This request is the culmination of nearly a year of discussion. In their May 2007 accreditation report to the provost, representatives of the American Council for Construction Education raised the issue that the current college name fails to reflect the full diversity of programs, noting that the Council's previous visiting team issued the same concern five years earlier. CAUP's Executive Committee and College Council formally took up this issue at its June 2007 retreat. A memo to faculty members seeking their thoughts and perspectives soon followed, culminating in the aforementioned November 2007 straw poll. Buoyed by broad support for a change, the Office of the Dean polled students, faculty, and staff on the appropriateness and suitability of five alternate names, including the college's current name. The results favored "College of the Built Environment" by a clear 2-to-1 margin.

	College of Architecture and Urban Planning	College of Architecture, Construction Management, Landscape Architecture, Urban Design and Planning	College of Environmental Design	College of Environmental Design and Construction	College of the Built Environment
l (most)	69	12	45	39	160
2	75	23	71	48	66
3	80	20	87	58	45
4	65	25	56	113	18
5 (least)	36	245	66	67	36
Total	325	325	325	325	325

In June 2008, the Executive Committee and College Council unanimously endorsed petitioning the university to rename CAUP the "College of the Built Environment." However, following further discussions with faculty leaders, members of the professional community, colleagues from different units around the university, and the Office of the Provost, we eliminated the article and pluralized the noun. We believe this small syntactical adjustment helps minimize confusion with the new College of the Environment, and moreover strengthens the overlapping missions of the two institutional entities. We therefore now seek your approval and the approval of the president and Board of Regents for the following name:

"College of Built Environments."1

In one form or another, this name has precedent in the international academic community. The University of California-Berkeley has a Center for the Built Environment, and Colorado State University has an Institute for the Built Environment (a research-based center in their Department of Construction Management). In the United Kingdom, Europe, Australia, and parts of Asia, many universities favor the formulation "School of the Built Environment," typically offering professional curricula in architecture, planning, and construction. We count over six such schools in the U.K. alone. Notwithstanding, should the Board of Regents approve our request, UW will have the first *College* of Built Environments in the United States.²

Notes

1. Although the phrase "built environment" suggests a broad typology of construction and design activity, including civil and environmental engineering (roads, bridges, infrastructure) and habitable industrial products (airplanes, automobiles, interiors, furniture), the college expressly seeks a name with porous and adaptable institutional boundaries, since increasingly its core disciplines must account for the systemic complexity and interdependence of all designed and constructed interventions across the full spectrum of scales. The current Wikipedia entry, s.v. "built environment," provides a serviceable characterization of the popular understanding of this phrase:

The phrase *built environment* refers to the man-made surroundings that provide the setting for human activity, ranging from the large-scale civic surroundings to personal places.

In architecture and environmental psychology, the phrase is a useful acknowledgement that a small fraction of buildings constructed annually, even in the industrialized world, are designed by architects, and that users of the built environment encounter issues that cross the traditional professional boundaries between urban planners, traffic engineers, zoning authorities, architects, interior designers, industrial designers, etc. Historically, much of the built environment has taken the form of vernacular architecture, and this is still the case in large parts of the world. In the industrialized world, many buildings are produced by large-scale development remote from its eventual users.

In landscape architecture, the built environment is identified as opposed to the natural environment, with the recognition that places like Central Park may have the look, feel, and nourishing quality of natural surroundings while being completely artificial and "built," thus blurring the line between the two.

In urban planning, the phrase connotes the idea that a large percentage of the human environment is manmade, and these artificial surroundings are so extensive and cohesive that they function as organisms in the consumption of resources, disposal of wastes, and facilitation of research into the impact of the built environment's impact on population health. [http://en.wikipedia.org/wiki/Built environment]

2. The University of Washington already enjoys market presence in the area of the built environment. In an August 2008 survey of Internet sites, the first URL listed in a general search for the phrase "College of the Built Environment" was CAUP's Ph.D. Program in the Built Environment. A subsequent search for the exact phrase "College of the Built Environment" yielded just three links, two of which connect to CAUP Staff Council meeting minutes published on our website. A subsequent search for the exact phrase "College of Built Environments" yielded no results.

Appendix 1

BRIEF CHRONOLOGY OF THE COLLEGE

1914	The formal study of architecture at the University of Washington begins with a curriculum developed by Seattle architect Carl F. Gould.
1928	The Association of Collegiate Schools of Architecture accredits the undergraduate professional curriculum.
1935	The university elevates the department to the status of a school.
1941	The university approves Bachelor of Architecture in Urban Planning.
1957	The school becomes the College of Architecture and Urban Planning.
1961	The college divides into two departments, architecture and urban planning.
1964	The college establishes the Department of Landscape Architecture and the building technology program.
1996	The university approves CAUP's request to change the name of the Department of Building Construction to the Department of Construction Management.
2003	The university approves the interdisciplinary Ph.D. in the Built Environment program.
2006	The university approves the M.Sc. in Real Estate program.
2007	The CAUP faculty endorses a name change to better reflect its academic diversity and mission.

Appendix 2

DEFINITIONS

Oxford English Dictionary (2nd ed.)

"built"

1. a. Constructed, erected, etc.: see BUILD v.

"environment"

- 1. The action of environing; the state of being environed.
- 2a. That which environs; the objects or the region surrounding anything.
- 2b. The conditions under which any person or thing lives or is developed; the sum-total of influences which modify and determine the development of life or character.

Appendix 3

EXISTING UW INSTITUIONAL NOMENCLATURE

The word "science(s)" appears 19 times (in 2 colleges and 17 academic programs).

College of Arts and Sciences

College of Ocean and Fisheries Sciences

Applied and Computational Mathematical Sciences

Atmospheric Sciences

Computer Science and Engineering

Dental Public Health Sciences

Earth and Space Sciences

Environmental and Occupational Health Sciences

Environmental Science and Resource Management

Genome Sciences

Materials Science and Engineering

Military Science

Naval Science

Nutritional Sciences

Paper Science and Engineering

Political Science

Psychiatry and Behavioral Sciences

Quantitative Science

Speech and Hearing Sciences

The word "engineering" appears 8 times (in 1 college and 7 academic programs).

College of Engineering

Chemical *Engineering*

Civil and Environmental Engineering

Computer Science and Engineering

Electrical Engineering

Materials Science and Engineering

Mechanical Engineering

Paper Science and Engineering

Some form of the word "environment" appears five times (in 5 academic programs).

Civil and Environmental Engineering

Environmental and Occupational Health Sciences

Environmental Science and Resource Management

Program on the Environment

Community, Environment, and Planning

The word "health" appears five times (in 1 school and 4 academic programs).

School of Public *Health* and Community Medicine Public *Health* Genetics *Health* Services *Health* Services Administration Global *Health*

The word "medicine" appears five times (in 2 schools and 3 academic programs).

School of *Medicine*School of Public Health and Community *Medicine*Family *Medicine*Laboratory *Medicine Medicine*

The word "art(s)" appears three times (in 1 college and 2 academic programs).

College of *Arts* and Sciences *Art*, Art History, and Design

Digital *Arts* and Experimental Media (DXARTS)

The word "architecture" appears three times (in 1 college and 2 academic programs).

College of Architecture and Urban Planning Architecture Landscape Architecture

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

College of the Environment Update

Provost Wise will provide the Board of Regents with an update on the College of the Environment.

There are no materials for this item.

Hall Health Primary Care Center Renovation and Expansion Project

Hall Health Primary Care Center (HHPCC): Renovation Project Summary

- Feasibility Study Completed in February, 2008, by the firm of Taylor, Gregory, and Butterfield.
- Undertaken after other options for expansion of the HHPCC program into space within the Hall Health building were exhausted.
- Study recommends extensive renovation of the ground, first, and third floors of the existing 27,400 SF building, and an addition of 2,600 SF (on 2 floors).
- Estimated project cost is \$9.7 million (see table below for breakdown).
- Design would occur in FY 2009/10.
- Construction would commence January 2010 with full occupancy in January 2011.
- Operations must be continuous during construction.

Hall Health Primary Care Center: Operational Summary

- HHPCC's mission: "To provide high quality, accessible primary health care, selected specialty services and public health services to UW students...."
- All UW students may receive services at HHPCC, and those who have paid the SAF fee receive a portfolio of subsidized services with no "out-of-pocket" expense.
 - o Non-students may use HHPCC on a fee-for-service basis (insurance billed or self-pay). This generates revenue that reduces the cost of care for students (44% of our \$9.8 million operating budget came from non-SAFC sources in FY2007).
 - o HHPCC receives input and guidance from students about our programs in several ways: the Hall Health Advisory Committee; "Rave & Rant" and "Give Us a Grade" feedback tools (written); the HHPCC website (electronic); and the SAFC.
- Activity levels for FY 2007
 - o 77,300 visits of which 67% were by students (3% increase from FY 2006).
 - o Consulting nurse encounters with students: 7,637.
 - o Phone and email encounters with students: 4,987.
 - o Same day need mental health visits with students: 292.
 - o 73% of UW students received a service from HHPCC.
- HHPCC is part of UW Medicine
 - All HHPCC care providers are credentialed through the Office of Medical Staff Appointments including academic departmental oversight.
 - o HHPCC uses UW Medicine systems for medical records, scheduling, billing, quality improvement, risk management, compliance and privacy activities.
 - HHPCC continuously accredited by the Association for Accreditation of Ambulatory Health Centers since 1988; last full accreditation in October, 2006.

Hall Health Primary Care Center: Facility Summary

• Originally built 1936; expanded in 1975.

- HHPCC occupies the majority of the Hall Health building.
- HHPCC shares building with the Department of Environmental Health and Safety, the Employee Health Center-UW and several research programs.

Hall Health Primary Care Center: Objectives of Renovation Project

- Project driven by:
 - o Commitment to the student health mission.
 - o Providing the highest possible quality of care. We use a quality model, "PASCO", an acronym for Patient safety; Access; Satisfaction; Cost; Outcome.
- Specific objectives are:
 - o Increase the quality of care at HHPCC by improving the environment of care: privacy; safety and security; layout efficiency; comfortable furnishings; temperature; aesthetics.
 - o Increase the access to care by providing "surge" space to meet high demand levels based on student schedules: particularly affects primary care and family health units.
 - o Increase access to mental health services and improve quality, particularly access and privacy.
 - Renovate and increase space to meet "special" program demands: public health services; children of students; sports medicine; travel medicine; dermatology.
 - o Increase capacity of the health center for anticipated growth in demand by students: grow our "throughput".
- Factors expected to increase the demand for student services at HHPCC include:
 - o Increasing size of "on campus" residential student population (add 1,200 in 4 years [23% increase]; add total of 2,400 over 15 years).
 - o Increasing medical and mental health care needs of students (a true evolution of the clinical domain of college student health—nationwide phenomenon).
 - o Diminishing primary care services in the surrounding community.
- Increase our revenue generation to further reduce the need for SAFC funding (fraction of our budget from SAFC has fallen from 78% to 56% over past 10 years).

Project Schedule:

Feasibility Study Presented to Hall Health Advisory Committee and SAFC	February 2008
SAFC Request for Design Funding: \$1,031,513 capital request of the SAFC	March 2008
Design Phase Begins	November 2008
SAFC Request for Renovation & Expansion Funding	June 2009
Design Complete	December 2009
Construction Begins	January 2010
Full Occupancy	January 2011

Ethnic Cultural Center (ECC) Expansion Project

In May 2007, the Services and Activities Fee Committee (SAFC) co-funded a feasibility study to assess and outline long-range goals related to changing student user needs and lifestyles, aging infrastructure, and code compliance for the ECC. Based on extensive interviews, focus groups, and an open forum, a conceptual/programmatic option was generated. Estimated cost projections, at a conceptual level, range from \$15 million to \$17 million (including cost escalation and other "soft costs" such as design, state sales tax, furnishings, and contingencies).

Based on the consensus of the project's planning committee, which is comprised of 7 students, 7 faculty and staff, Office of Minority Affairs & Diversity submitted a \$850,000 request to the SAFC which was approved in May 2008 to partner with Capital Projects Office to provide pre-design, schematic design and design development documents for a potential expansion that meet the prioritized needs. If approved, the allocation would come from bond funds that remain from the canceled Recreational Sports Golf Driving Range project.

The pre-design and preliminary design processes would determine the actual scope, timing, potential phasing, program and space needs, and project budget for the expansion. The next step would be to partner with ASUW, GPSS, and SAFC student leadership to determine whether or not to advocate the issuance of bonds for project construction.

Background:

The ECC provides programs and learning environment where students and student organizations collaborate, develop and implement programs while building leadership and organizational skills.

The existing 10,000 SF ECC is a single story light wood frame building located at the southwest corner of 40^{th} Street and Brooklyn Avenue in the Seattle west campus. The building is 38 years old.

The Office of Minority Affairs & Diversity planning committee convened in October 2007 to analyze the space needs of this facility based on concerns raised by minority student users.

The Office of Educational Assessment was retained to gather input from 10 stakeholder groups and facilitate a large open group forum. The findings of the needs analysis included:

- 1. ECC is a well used and much loved "safe zone" for minority students.
- 2. Minority student organizations need more office and work space.
- 3. Students want more meeting space to accommodate organization functions including dance and music programs.

4. Students value the existing lounge space and want additional space to allow expansion of informational displays, access to multi-cultural resource materials and more educational technology.

The Capital Projects Office working with the OMA&D planning committee completed a Feasibility Study. The feasibility study findings include:

- 1. The facility would need to be 34,000 SF to meet all needs identified.
- 2. In response the Planning Committee prioritized the program, eliminated some needs identified to compromise on a 22,500 SF program that will meet the high priority needs identified with reasonable capacity for limited growth over time.
- 3. Building infrastructure and systems are mainly original and need to be replaced either currently or within the next 10 years.
- 4. Any addition to the building will require costly code upgrades, ADA, fire/life safety, etc.
- 5. Horizontal or vertical expansion of the existing facility is not viable or cost effective.
- 6. Demolition of the existing facility and replacement is the most cost effective and only viable option.

ECC Expansion Timeline

SAFC Request for Predesign and Schematic Design Funding	May 2008
Predesign Phase Commences	October 2008
Schematic Design Phase Completed	April 2009
SAFC Request for Renovation & Expansion Funding	June 2009
Construction Documents Phase Commences	Sept. 2009
Design Completion	Dec. 2009
Construction Commences	Jan. 2010
Occupancy	Sept 2011

A-6.1/208-09 9/18/08

VII. STANDING COMMITTEES

- A. Academic and Student Affairs Committee
 - In Joint Sessions with
- B. Finance, Audit and Facilities Committee

<u>Services and Activities Fee - University of Washington, Seattle: 2008–09</u> Predesign and Schematic Design Capital Allocations

RECOMMENDED ACTION

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents approve for the Seattle campus:

- 1) Allocating \$4,848,513 from the Student Facilities Bond Fund (Golf Driving Range Balance) for predesign and schematic design for the following capital projects:
 - a) Ethnic Cultural Center Renovation Predesign \$850,000
 - b) Hall Health Primary Care Center Renovation Predesign Capital \$1,031,513
 - c) HUB Renovation/Expansion Predesign and Schematic Design-\$2,967,000

BACKGROUND

The present recommendations grew out of S&A Fee Committee discussions over the course of the 2007–08 academic year. The S&A Fee Committee submitted its written recommendations to the Vice Provost for Student Life on May 30, 2008. The administration concurred with the recommendations for the fee assessment level and all operating and minor capital allocations. The Committee's recommendations for the allocation of design funds for Hall Health, the Ethnic Cultural Center and the Husky Student Union Building (The HUB) were postponed until the Regents' September meeting pending further discussion and consultation with the administration and students about the HUB project.

Estimated cost projections for the HUB renovation/expansion ranged from \$112 million to \$188 million (including cost escalation and other costs such as design, state sales tax, furnishings, and contingencies). All three options were widely shared and discussed with multiple campus constituencies during the 2007-2008 academic year.

<u>Services and Activities Fee - University of Washington, Seattle: 2008–09</u> Predesign and Schematic Design Capital Allocations cont.

Students initially indicated a strong preference for the programmatic design of a \$188 million project; however, after consultation between University administration and student leaders, it was determined that the scope of the project be reviewed to see if costs could be scaled back. The leadership of the HUB is working with Perkins+Will to design a renovated and expanded student union for which the total estimated project cost would be in the range of \$140 - \$150 million.

Concurrently, discussions began within the University to determine whether additional resources could be committed to the project, which had been a recommendation from SAFC in May 2008. The initial assumption was that the project would be 100% funded with General Revenue Bonds and paid back with the Services and Activities Fee. Since that time, consensus has emerged between University and student leadership to seek funding from additional resources including the University and private contributions which would significantly lessen the impact to students. As a result of these discussions, a feasibility study is being commissioned to determine the potential for private contributions.

The predesign and schematic design processes would determine the actual scope, timing, potential phasing, program and space needs, and project budget for the three capital projects. After these phases, the next step would be to partner with ASUW, GPSS, University administration, and SAFC student leadership to determine whether or not to advocate for the issuance of bonds for project construction. The final adoption of the project budget and financing plan for each individual project is subject to approval by the Board of Regents. These will be presented in 2009 and may be presented individually or together.

The most current information with respect to each of the three capital projects is contained in the following attachments:

Ethnic Cultural Center – Attachment 1 Hall Health – Attachment 2 Husky Union Building – Attachment 3

Ethnic Cultural Center (ECC) Expansion Project

In May 2007, the Services and Activities Fee Committee (SAFC) co-funded a feasibility study to assess and outline long-range goals related to changing student user needs and lifestyles, aging infrastructure, and code compliance for the ECC. Based on extensive interviews, focus groups, and an open forum, a conceptual/programmatic option was generated. Estimated cost projections, at a conceptual level, range from \$15 million to \$17 million (including cost escalation and other "soft costs" such as design, state sales tax, furnishings, and contingencies).

Based on the consensus of the project's planning committee, which is comprised of 7 students, 7 faculty and staff, Office of Minority Affairs & Diversity submitted a \$850,000 request to the SAFC which was approved in May 2008 to partner with Capital Projects Office to provide pre-design, schematic design and design development documents for a potential expansion that meet the prioritized needs. If approved, the allocation would come from bond funds that remain from the canceled Recreational Sports Golf Driving Range project.

The pre-design and preliminary design processes would determine the actual scope, timing, potential phasing, program and space needs, and project budget for the expansion. The next step would be to partner with ASUW, GPSS, and SAFC student leadership to determine whether or not to advocate the issuance of bonds for project construction.

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Based on the consensus of the project's steering committee, which is comprised of 16 students, 2 faculty and 2 staff, Student Activities and Union Facilities submitted a \$2,967,000 request to the SAFC which was approved in May 2008 to partner with Capital Projects Office and Perkins+Will to provide predesign and schematic design documents for a potential renovation/expansion that meets all of the goals as determined by the campus community during the master plan process and subsequent discussion.

The predesign and schematic design processes would determine the actual scope, timing, potential phasing, program and space needs, and project budget for a renovation/expansion. Essentially, the process will tell us whether we can actually achieve what was suggested in the master plan (i.e., will the programmatic concepts 'fit' in a new HUB?). The next step would be to partner with ASUW, GPSS, and SAFC student leadership to determine whether or not to advocate the issuance of bonds for project construction.

HUB Expansion & Renovation Timeline

SAFC Request for Predesign and Schematic Design Funding	May 2008
Predesign Phase Commences	October 2008
Schematic Design Phase Completed	May 2009
SAFC Request for Renovation & Expansion Funding	June 2009
Design and Construction Documents Phase Commences	July 2009
Design Completion	Nov. 2010
Construction Commences	Jan. 2011
Occupancy	Jan 2013

VII. STANDING COMMITTEE

A. Academic and Student Affairs Committee

Honorary Degree-William H. Gates III

RECOMMENDED ACTION:

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents approve the granting of an Honorary Doctor of Humane Letters degree to William H. Gates III, computer software pioneer and co-founder of Microsoft, and world philanthropist and co-founder of the Bill & Melinda Gates Foundation.

BACKGROUND:

RCW 28B.20.130(3) grants to the Board of Regents, upon recommendation of the faculty, the authority to confer honorary degrees upon persons "in recognition of their learning or devotion to literature, arts, or sciences." The Faculty Council on University Relations is responsible for recommending candidates for honorary degrees. In February 2007, the Council recommended to President Emmert that Mr. Gates be awarded an Honorary Doctor of Humane Letters degree. Mr. Gates was unable to attend the University's June commencement ceremonies, but has been chosen as the featured speaker at this Fall's Freshman Convocation ceremony. It is recommended that the honorary degree be conferred at this time. President Emmert concurs with the recommendation and brings it to the Board of Regents on behalf of the faculty.

Mr. Gates has changed the world twice. The first profound change for which he deserves credit is the impact of his vision on the information age, a transformative era made possible in part from his and Paul Allen's creativity in enabling personal computing. The remarkable growth and success of Microsoft, the company they co-founded, opened whole new vistas in access to information by people around the world from all different cultures and walks of life, as long as there was access to a personal computer. This change is as profound as any the world has experienced and certainly rivals the industrial revolution in the impact it has had on the way people live their lives. The success of Microsoft made possible the second way in which Mr. Gates—along with other members of his family—has changed the world and for which this honorary degree is being recommended. The Bill & Melinda Gates Foundation is the largest philanthropic foundation on earth and it is changing and improving people's lives worldwide. Its fundamental belief that all lives, no matter where they are lived, have equal value and deserve equal opportunity at health and prosperity, drives its vision to use its substantial resources to improve the health and well-being of people in less fortunate circumstances, whether on the continent of Africa or in rural or urban America. The work of the Gates Foundation indelibly bears the mark of its founders—it is their intellect, vision, philosophy and deep engagement that empower the Foundation to accomplish its work.

For the technological innovations his mind and passion have brought to modern life, and for his far-reaching and visionary efforts through philanthropy to improve the lives of people throughout the world struggling with poverty, disease, hunger, and lack of education, it would be an honor to confer upon William H. Gates III an Honorary Doctor of Humane Letters degree at Freshman Convocation.

VII. STANDING COMMITTEE

A. Academic and Student Affairs Committee

Honorary Degree–Melinda French Gates

RECOMMENDED ACTION:

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents approve the granting of an Honorary Doctor of Humane Letters degree to Melinda French Gates, co-founder of the Bill & Melinda Gates Foundation.

BACKGROUND:

RCW 28B.20.130(3) grants to the Board of Regents, upon recommendation of the faculty, the authority to confer honorary degrees upon persons "in recognition of their learning or devotion to literature, arts, or sciences." The Faculty Council on University Relations is responsible for recommending candidates for honorary degrees. In February 2007, the Council recommended to President Emmert that Ms. Gates be awarded an Honorary Doctor of Humane Letters degree. Ms. Gates was unable to attend the University's June commencement ceremonies, but the occasion of this fall's Freshman Convocation ceremony provides an opportunity for the Regents to award the degree. President Emmert concurs with the recommendation and brings it to the Board of Regents on behalf of the faculty.

Ms. Gates' influence has shaped the priorities and direction of the Foundation that bears her name. The Bill & Melinda Gates Foundation is the largest philanthropic foundation on earth, and it is changing and improving people's lives worldwide. Its fundamental belief that all lives, no matter where they are lived, have equal value and deserve equal opportunity at health and prosperity, drives its vision to use its substantial resources to improve the health and well-being of people in less fortunate circumstances, whether on the continent of Africa or in rural or urban America. The work of the Gates Foundation indelibly bears the mark of its founders—it is their intellect, vision, philosophy and deep engagement that empower the Foundation to accomplish its work. The Foundation has committed more than \$6 billion in global health grants to organizations worldwide. Specific targets have included malaria, HIV/AIDS, tuberculosis, nutrition and maternal health, newborn and child health, and the bold \$448 million Grand Challenges in Global Health initiative, which identified a set of scientific problems that, if solved, could lead to advances against multiple diseases. The program supports 44 innovative projects by some of the very best scientists in the world. The Foundation is also active in global development (agricultural development, financial services for the poor, and global libraries), as well as education and library support programs in the U.S.

For the her far-reaching and visionary efforts through philanthropy to improve the lives of people throughout the world struggling with poverty, disease, hunger, and lack of education, it would be an honor to confer upon Melinda French Gates an Honorary Doctor of Humane Letters degree.

VII. STANDING COMMITTEE

A. Academic and Student Affairs Committee

Honorary Degree–William H. Gates

RECOMMENDED ACTION:

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents approve the granting of an Honorary Doctor of Humane Letters degree to William H. Gates, prominent Seattle attorney, civic stalwart, University of Washington Regent, and cochair from its inception of the Bill & Melinda Gates Foundation.

BACKGROUND:

RCW 28B.20.130(3) grants to the Board of Regents, upon recommendation of the faculty, the authority to confer honorary degrees upon persons "in recognition of their learning or devotion to literature, arts, or sciences." The Faculty Council on University Relations is responsible for recommending candidates for honorary degrees. In February 2007, the Council recommended to President Emmert that Mr. Gates be awarded an Honorary Doctor of Humane Letters degree. The occasion of this fall's Freshman Convocation ceremony provides an appropriate occasion to confer the degree. President Emmert concurs with the recommendation and brings it to the Board of Regents on behalf of the faculty.

Mr. Gates has been an exemplary member of the legal profession in our community for more than half a century, as well as a volunteer in many civic organizations and on behalf of many noteworthy social causes. He has also served as a regent of the University of Washington for 11 years, and just concluded eight years chairing the University's enormously successful \$2.7 billion fundraising drive, "Campaign UW: Creating Futures." But it is not for these accomplishments that Mr. Gates is being recommended for this honorary degree. Rather, it is for the work that he—along with other members of his family—has done to improve the lives of people the world over through the philanthropic work of the Bill & Melinda Gates Foundation. The Foundation is the largest philanthropic entity of its kind, and it is changing and improving people's lives worldwide. Its fundamental belief that all lives, no matter where they are lived, have equal value and deserve equal opportunity at health and prosperity, drives its vision to use its substantial resources to improve the health and well-being of people in less fortunate circumstances, whether on the continent of Africa or in rural or urban America. The work of the Gates Foundation indelibly bears the mark of the Gates family and its patriarch—it is their intellect, vision, philosophy and deep engagement that empower the Foundation to accomplish its work.

For his generosity of time, resources, intellect, and spirit, for his steadfast dedication to causes that foster others' economic, emotional and physical well-being, and for his far-reaching and visionary efforts through philanthropy to improve the lives of people throughout the world, it would be an honor to confer upon William H. Gates an Honorary Doctor of Humane Letters degree at Freshman Convocation.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

UW Retirement Plan Document Update

INTRODUCTION:

The UWRP is an Internal Revenue Code § 403(b) retirement savings plan. UWRP is designed to provide employees with employer-matched, tax-deferred retirement savings in any of three Fund Sponsors (Fidelity, TIAA-CREF, and Vanguard).

UWRP contributions are based on compensation and are defined as 5% up to age 35; 7.5% from age 35 on. At age 50 participants have the option to contribute 10% of salary. Participant contributions are matched 100% by the UW. Employee and employer contributions are invested in approved funds to create long-term retirement savings with which the participant will retire.

In addition to the contributions described above, the UWRP contains a provision created in 1955 called the Supplemental Benefit. This Benefit is a formula based on years of service and average salary, which is defined in state law and applies to all public higher education retirement plans. It is calculated only at retirement and used to establish a minimum goal income for a retiree. Only those who retire at age 62 or more with 10 or more years of service at retirement are eligible for calculation of the Supplemental Benefit. A Benefit is paid by the UW only if the calculation comes back positive. Approximately 5% of all retirees typically become eligible for a Supplemental Benefit.

SUMMARY OF CHANGES REQUIRED:

The Internal Revenue Service recently updated IRC § 403(b) for the first time since 1964. The Code revision requires all employers' Plan Documents to be in compliance with new provisions and mandatory language for plan years effective no later than January 1, 2009. Under the Code revision, the UWRP must be split into two plans because the Supplemental Benefit cannot continue to exist under IRC § 403(b). It is more appropriately filed under IRC § 401(a). The two plans will exist in parallel with participants enrolled in both plans.

The state of Washington public higher education institutions are working together to make required changes to their plans with the assistance of a Special Assistant Attorney General, who specializes in retirement plans, acting as our common legal counsel. This is to ensure consistency among the plans' provisions and compliance with state law. The Faculty Council on Benefits and Retirement as well as the Professional Staff Association are both being updated on the required changes. No changes have been made to plan eligibility or participation rules. Draft copies of the plan documents are attached. Minor changes will be completed, after which we will return to the Regents at their October meeting to request approval of the required changes.

Attachment: DRAFT: University of Washington Retirement Plan

DRAFT: University of Washington Supplemental Retirement Plan

A-3/208-09 9/18/08

University of Washington Retirement Plan (UWRP)

As Amended Through January 1, 2009

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1. Establishment of Plan

The University of Washington Board of Regents established the University of Washington Retirement Plan as of September 1, 1939. This plan document sets forth the provisions of the Plan, as amended through January 1, 2009. This Plan is a governmental plan as defined in Internal Revenue Code Section 414(d), and is intended to satisfy the provisions of Section 403(b) of the Internal Revenue Code.

2. Definitions

The terms and phrases defined in this Article have the following meanings throughout this plan document.

- 2.1. **Accumulation Account** means the separate account established for each Participant with a Fund Sponsor. The current value of a Participant's Accumulation Account includes all Plan Contributions to the Fund Sponsor, less expense charges, and reflecting investment experience.
- 2.2. **Annuity Contract** means a non-transferable contract described in Section 403(b)(1) of the Code, that is issued by an insurance company qualified to issue annuities in the State of Washington and that includes payment in the form of an annuity.
- 2.3. **Beneficiary** means (a) with the written consent of the Participant's spouse, if any, such person or persons who shall have been designated by the Participant in writing duly executed and filed with the Fund Sponsor(s) or (b) if no such person survives the Participant, the surviving spouse of the Participant. A new designation may be made at any time before the Participant or Beneficiary has started to receive annuity payments under the Plan; any such new designation shall be subject to the conditions of this Section 2.3.
- 2.4. **Board** means the Board of Regents of the University of Washington.
- 2.5. **Break in Service** means termination of all UW employment and appointments for a full calendar month.
- 2.6. **Code** means the Internal Revenue Code of 1986, as amended (Title 26 of the United States Code).
- 2.7. **Compensation** means the amount paid by UW to a Participant as regular pay for normally scheduled hours, including regular summer pay and sea pay, together with any paid leave, perquisite or differential pay at a flat amount independent of time, but excluding earnings incidental to an individual's status as a student, differentials paid at other than a flat amount independent of time, leave cash-out payments, and additional pays and excluding any settlement, severance or tenure purchase payments and any other amounts excluded by contract. Compensation shall be determined before taking into account any salary reduction under Code Section 125, 132, 403(b), or 457(a).

- 2.8. **Custodial Account** means the group or individual custodial account or accounts, described in Section 403(b)(7) of the Code, established for a Participant to hold assets of the Plan.
- 2.9. **Eligible Employee** means any employee of UW who is employed in an Eligible Position other than an employee who has retired from a position which is covered by RCW 28B.10.400 et seq. and who is not yet contributing to the Plan during the Optional Period.
- 2.10. **Eligible Position** means an academic, research, librarian, professional, or other position designated by the Board that requires at least fifty percent of the normal full-time workload per month in at least six consecutive months. However, a position held by a person on a fee, retainer, or special contract basis, or as an incident to the private practice of a profession or to the employee's education, is not an Eligible Position. The job classes of resident (job code 0328), chief resident (job code 0329) and fellow (job code 0444) shall also be considered Eligible Positions. An Eligible Employee, once having begun participation in this Plan, shall be deemed to be employed in an Eligible Position even if his or her position no longer requires at least fifty percent of the normal full-time workload per month in at least six consecutive months, so long as the position otherwise qualifies as an Eligible Position.
- 2.11. **Fund Sponsor** means an insurance, variable annuity, or investment company that provides Funding Vehicles available to Participants under this Plan.
- 2.12. **Funding Vehicles** means the Annuity Contracts and Custodial Accounts available for investing contributions under this Plan, as specifically approved by UW under Section 5.1.
- 2.13. **Optional Period** means the period beginning on an individual's first day as an Eligible Employee (or first day as an Eligible Employee following a Break in Service) and ending on the first day of the first pay period following the second anniversary of his or her date of employment in an eligible position, or, if earlier, upon termination of the individual's status as an Eligible Employee.
- 2.14. **Participant** means any Eligible Employee who participates in the Plan in accordance with Section 3.
- 2.15. **Plan** means the University of Washington Retirement Plan as set forth in this document as it may be amended from time to time in accordance with Section 10.1.
- 2.16. Plan Contributions means contributions by UW and the Participant under this Plan.
- 2.17. **Plan Year** means a 12 consecutive month period beginning on July 1st and ending on June 30th through June 30, 2008; the six consecutive month period beginning on July 1, 2008, and ending December 31, 2008; and each calendar year thereafter.
- 2.18. **Related Employer** means UW and any other entity which is under common control with UW under Section 414(b) or (c) of the Code.
- 2.19. **Retirement Age**: normal retirement age means the last day of the calendar month in which age 65 is attained; early retirement age means the last day of the calendar month in which either

- (a) age 62 is attained or (b) age 55 is attained with ten or more Years of Service completed without a Break in Service..
- 2.20. **UW** means the University of Washington.
- 2.21. **Washington State Retirement System** means any retirement system paid for by the State of Washington and administered by the State of Washington Department of Retirement Systems.

3. Eligibility and Participation

3.1. Participation.

- (a) An Eligible Employee may begin participation in this Plan during his or her Optional Period and is required to begin participation in this Plan no later than the last day of the Optional Period. Once having begun participation in this Plan, a Participant cannot cease participation while employed in an Eligible Position.
- (b) A participant in a Washington State Retirement System who is moved to or whose position is converted to an Eligible Position, may make an irrevocable election to participate in this Plan or remain in the Washington State Retirement System by making the election no later than thirty days following the date of the move or conversion or such later date as the UW Benefits Office gives notice to the participant. If no timely election is made, the participant will remain in the Washington State Retirement System. Such election shall be made available only once in an individual's unbroken service to UW regardless of future changes of position, and enrollment in this Plan shall be irrevocable. For this purpose, "unbroken service" means service without a Break in Service.
- (c) A Participant who has participated in this Plan for at least two years and who is moved to or whose position is converted to a position that qualifies for participation in a Washington State Retirement System, may make an irrevocable election to participate in the Washington State Retirement System or remain in this Plan by making the election no later than thirty days following the date of the move or conversion or such later date as the UW Benefits Office gives notice to the participant, provided that the initial enrollment in this Plan was not irrevocable as provided above. If no timely election is made, the Participant will remain irrevocably in this Plan.
- (d) A person who is hired by UW in a position that is not an Eligible Position but is eligible for participation in the Washington State Public Employees Retirement System, and who, immediately prior to his or her hire date, has for at least two consecutive years made or benefited from contributions under a retirement plan underwritten by a Fund Sponsor with a public university or college in the State of Washington, may irrevocably elect to participate in this Plan if the election is made within thirty days after his or her hire. If no election is made, the person will become a participant in the Washington State Public Employees Retirement System from the first day of employment.
- (e) Each Participant is entitled to the benefits of and is bound by this Plan, including all amendments that may be adopted.

- 3.2. **Enrollment in Plan**. An Eligible Employee must complete and return to the UW Benefits Office the appropriate UWRP enrollment form(s). Forms for the Fund Sponsor(s) and for the Funding Vehicle(s) selected must be returned to the Fund Sponsor(s) or as directed by UW Benefits.
- 3.3. **Cessation of Participation**. An employee's participation in the Plan will cease if:
 - (a) he or she retires or otherwise severs from employment with UW and all Related Employers; or
 - (b) the Plan is terminated in accordance with Section 10.1; or
 - (c) he or she is transferred or reclassified to a position that is not an Eligible Position, and he or she does not remain a Participant in this Plan under Section 3.1(c); or
 - (d) having remained a Participant under Section 3.1(c), or having become a Participant under Section 3.1(d), he or she is transferred or reclassified to a position that is not an Eligible Position and does not qualify for participation in another Washington State Retirement System.

4. Contributions.

4.1. **Plan Contributions**. A Participant must contribute five percent of Compensation from date of participation until the end of the pay period during which his or her 35th birthday occurs and seven and one-half percent thereafter. A Participant may elect an increased contribution rate of ten percent of Compensation beginning with the first pay period following that pay period during which he or she reaches age 50. Such election may be revoked and thereafter re-elected at the option of the Participant. UW will make a matching contribution equal to each Participant contribution and will transmit all Plan Contributions to the Fund Sponsor.

4.2. Income Tax Deferral.

- (a) Except as provided in (b) below, Plan Contributions shall be made on a tax-deferred basis as authorized under Section 403(b) of the Code.
- (b) Participant contributions shall be made on a non-tax-deferred basis for certain participants who so elected on an irrevocable basis prior to January 1, 1994.
- 4.3. **Limit on Salary Taken into Account**. In addition to other applicable limitations stated in the Plan and notwithstanding any other provision of the Plan to the contrary, for employees who become Participants on or after July 1, 1996, the Compensation taken into account under Section 4.1 for any Plan Year may not exceed \$230,000, as adjusted by the Commissioner of Internal Revenue from time to time for increases in the cost of living (\$115,000 for the six-month Plan Year ending December 31, 2008).

4.4. Leave of Absence.

- (a) During an authorized leave of absence with pay, Plan Contributions will continue to be made. Plan Contributions will be calculated based on the actual Compensation UW pays to the Participant during the leave of absence.
- (b) To the extent required by the Uniformed Services Employment and Reemployment Rights Act of 1994, Participants who are absent from employment by reason of service in the uniformed service of the United States shall be entitled to make up contributions that they would have made had they remained in employment during the period of service and to benefit from UW matching contributions.
- (c) A Participant who returns to employment with UW immediately following an authorized leave of absence, other than an absence described in (b) above, and remains employed by UW for at least two years after such return, may contribute within five years after such return an amount equal to the total amount that would have been contributed had the Participant not been on leave (including any amount UW would have contributed) less any contributions under (a) or (b) above with respect to the same leave. The contributions shall be based on the average of the Participant's Compensation at the time the leave of absence was authorized and the time the Participant resumes employment. UW will not match such contributions.
- 4.5. **Rollovers or Transfers to the Plan**. Rollovers or transfers from other plans, accounts or annuities to the Plan will not be accepted.
- 4.6. **Allocation of Plan Contributions**. A Participant may allocate Plan Contributions among Funding Vehicles in any whole-number percentages that total 100 percent.
- 4.7. **Vesting of Contributions**. Each contract and certificate issued in accordance with the provisions of the Plan is the property of the Participant. Amounts attributable to Plan Contributions shall be nonforfeitable. However, Plan Contributions based on a mistake of fact, including Plan Contributions made for an employee who has not completed and returned the enrollment forms required by Section 3.2, shall be returned to UW if UW so requests as provided in Section 9.6.
- 4.8. **Annual Statement**. At least once a year a Fund Sponsor will send each Participant a report summarizing the status of his or her Accumulation Account. A Participant may obtain similar reports or illustrations upon termination of employment or at any other time by writing directly to the Fund Sponsor.
- 4.9. **No Reversion**. Under no circumstances will any Plan Contributions revert to, be paid to, or inure to the benefit of, directly or indirectly, UW, except as provided in Section 4.7 or Section 4.10.
- 4.10. **Maximum Contribution**. Plan Contributions for a Participant for any calendar year, together with contributions for the Participant under any other plan subject to Section 402(g) or 403(b) of the Code, shall not exceed the limitations in Code Sections 402(g) and 415 of the Code, to the extent applicable, except as permitted by Code Section 414(v). The limitations of Code Sections 402(g), 414(v) and 415 are herein incorporated by reference. If the limitations are

exceeded because the Participant is also participating in another plan required to be aggregated with this Plan for the purposes of Code Sections 402(g), 414(v) or 415, and such other plan is maintained by UW or a Related Employer, or UW receives from the Participant sufficient information concerning his or her participation in such other plan, then the extent to which annual contributions under this Plan will be reduced, as compared with the extent to which annual benefits or contributions under any other plans will be reduced, will be determined by UW. If the reduction is under this Plan, UW will advise the affected Participant of any limitations on his or her Plan Contributions required by this section. UW may, in its sole discretion, cause any contribution in excess of the foregoing limitations, adjusted for income, gains, losses or expenses attributable to such excess contribution, to be returned to UW or distributed to the Participant to the extent permitted by applicable law.

5. Fund Sponsors and Funding Vehicles.

- 5.1. **Fund Sponsors and Funding Vehicles**. Plan Contributions are invested in one or more Funding Vehicles made available by UW to Participants under this Plan. UW's current choice of Fund Sponsors and Funding Vehicles is not intended to limit future additions or deletions by UW of Fund Sponsors and Funding Vehicles. The Administrator shall maintain a list of all Fund Sponsors under the Plan. Such list is hereby incorporated as part of the Plan. Each Fund Sponsor and UW shall exchange such information as may be necessary to satisfy Section 403(b) of the Code or other requirements of applicable law. In the case of a Fund Sponsor which is not eligible to receive contributions under the Plan (including a Fund Sponsor which has ceased to be a Fund Sponsor eligible to receive contributions under the Plan, the Employer shall keep the Fund Sponsor informed of the name and contact information of the Plan administrator in order to coordinate information necessary to satisfy section 403(b) of the Code or other requirements of applicable law.
 - (a) **Fund Transfers**. Subject to a Funding Vehicle's rules for transfers and in accordance with the provisions of the Code governing the deferral of income tax with respect to Accumulation Accounts, a Participant may specify that a part or all of his or her Accumulation Account in one Funding Vehicle be transferred to another Funding Vehicle. However, an investment transfer that includes an investment with a Fund Sponsor that is not eligible to receive contributions under Section 4 (referred to below as an exchange) is not permitted.

5.2. Fund Review Committee.

(a) **Responsibility**. The Fund Review Committee is a standing body responsible for reviewing the performance of Fund Sponsors and Funding Vehicles available through the University of Washington Retirement Plan (UWRP) and Voluntary Investment Program (VIP). The Committee will also evaluate and recommend whether new Fund Sponsors or Funding Vehicles should be added and whether existing Fund Sponsors or Funding Vehicles should be removed.

If a Funding Vehicle or Fund Sponsor is removed, it shall not be available for subsequent contributions except as otherwise prescribed by the Committee. In addition, the

Committee may determine that existing accumulations shall be transferred to one or more other Funding Vehicles specified by the Committee.

The Committee, in coordination with the UW Benefits Office, is to determine the frequency of the performance reviews. In evaluating existing Fund Sponsors and Fund Vehicles, the Committee will monitor and review performance data, analyze participants' comments, requests and concerns, and conduct public meetings on proposed changes. Draft recommendations of the Committee will be presented to and discussed with the Faculty Council on Retirement, Insurance and Benefits. Final recommendations for changes are to be submitted to the Executive Vice President.

The UW Benefits Office shall provide support for the Committee's activities. The Committee may also appoint such advisors and adopt such guidelines, policies or procedures as it deems appropriate.

- (b) **Membership**. The Committee shall be appointed by, and serve at the pleasure of, the Executive Vice President of UW, who will consult with the Faculty Council on Retirement, Insurance and Benefits in selecting the members. The membership shall include the following representatives and officials:
 - One representative of the Faculty Council on Retirement, Insurance and Benefits
 - One or more representatives of the Faculty, as recommended by the Faculty Senate Executive Committee
 - One representative of the Librarians, as recommended by the Association of Librarians of the University of Washington
 - One representative of the Professional Staff, as recommended by the Professional Staff Organization
 - One UWRP participant representing the Classified or Contract Classified Staff, as recommended by the Vice President, Human Resources
 - One retired UWRP representative, as recommended by the UW Retirement Association
 - Ex officio, voting members:
 - UW Director of Benefits & WorkLife
 - ° UW Treasurer, Board of Regents
 - Non-voting member:
 - Representative from a four-year institution of higher education in the State of Washington other than the University of Washington

In appointing members, those groups recommending members and the Executive Vice President shall seek to identify individuals who have expertise in the areas of tax and pension law for 403(b) or similar retirement plans, or of finance, investment, or economics.

The Executive Vice President shall designate the Chair of the Committee.

Term of office for members shall be staggered, three year terms. The Committee shall meet as often as it deems appropriate, but not less than every six months. The Chair of the Committee may appoint such committee officers, advisors and sub-committees as needed.

5.3. **Third Party Trading**. The Participant may assign responsibility for investment elections and other transactions under the Plan to another party, in such manner as may be determined from time to time by the UW Benefits Office.

6. Retirement.

- 6.1. **Retirement Because of Age**. On the first of any month after attaining Retirement Age, a Participant who is actively employed by UW may elect to retire by submitting a written application to the UW Benefits Office.
- 6.2. **Retirement Because of Condition of Health**. A retirement because of condition of health may be approved by the President of UW upon request by a Participant who is actively employed by UW or by the administrative officer concerned. The basis for approval is whether continued service by the Participant is likely to seriously impair or endanger the Participant's health, or if the Participant is permanently unable to carry on his or her usual duties because of health. A request for retirement because of condition of health is referred to the Director of Benefits & WorkLife, who will convene the Special Board on Retirement Because of Health. The recommendations of the Special Board on Retirement Because of Health are presented to the President of UW. The Special Board consists of the Dean of the School of Medicine, the Director of Benefits & WorkLife, one physician appointed by the Faculty Senate for a term of three years, and two Participant representatives selected by the Vice President, Human Resources after consultation with the Faculty Senate and other Participant groups.
- 6.3. **Retiree Reemployment**. means the reemployment of a former Participant up to 40% of full time following the date of retirement under Section 6.1. Such reemployment shall be subject to all applicable UW rules. A reemployed retiree is not a Participant under the Plan.

7. Benefits.

- 7.1. **Retirement Benefits**. After a Participant retires under the Plan or his or her employment with UW and any related Employer earlier terminates, the Participant may access 100% of the retirement accumulations. The Participant should contact the Fund Sponsor to determine the variety of payment options offered. Any election hereunder requires the written consent of the Participant's spouse, if any, in accordance with Section 7.3.
- 7.2. **Death Benefits**. On the death of a Participant, the entire value of each Accumulation Account is payable to the Beneficiary or Beneficiaries named by the Participant under one of the

options offered by the Fund Sponsor. However, to the extent that the account has been applied to purchase an annuity, payments shall be made only if and to the extent provided by the form of annuity.

7.3. **Application for Benefits; Spousal Consent**. To begin receiving benefits, the Participant or Beneficiary must write directly to the Fund Sponsor. The Fund Sponsor will provide the necessary forms to the Participant or the Beneficiary. The Fund Sponsor will pay benefits upon receipt of a satisfactorily completed application for benefits and supporting documents. In any case in which Section 2.2 or 7.1 requires the consent of the Participant's spouse, the consent must be in writing, must acknowledge the effect of the election or action to which the consent applies, and must be witnessed by a notary public. Unless the consent expressly provides that the Participant may make further elections without further consent of the spouse, the consent will be effective only with respect to the specific election of form of benefit, or Beneficiary, or both, to which the consent relates. Spousal consent will be effective only with respect to that spouse. Spousal consent will not be required if it is established to the satisfaction of the UW Benefits Office that the spouse cannot be located.

7.4. Minimum Distribution Requirements.

- (a) All distributions under this Plan will be made in accordance with Code sections 403(b)(10) and 401(a)(9), as each is amended and in effect from time to time, and regulations thereunder. The entire Accumulation Account of each Participant will be distributed over a period not to exceed the life (or life expectancy) of the Participant or over the lives (or life expectancies) of the Participant and a designated Beneficiary. Minimum distributions must begin no later than April 1 of the calendar year following the calendar year in which the Participant attains age 70 1/2, or, if later, April 1 following the calendar year in which the Participant retires from UW. Notwithstanding the above, the Accumulation Account of each Participant as of December 31, 1986 will be distributed in accordance with IRS Regulation 1.403(b)-6(e)(6). The Participant (or Beneficiary, after the Participant's death) may elect whether to use the permissive recalculation rule for life expectancies under Code Section 401(a)(9)(D). Upon the Participant's death after the time benefits are required to begin hereunder, any remaining benefits will be distributed at least as rapidly as under the method of distribution in effect at the time of the Participant's death.
- (b) If the Participant dies before benefit payments are required to begin under the preceding paragraph, any benefits payable to (or for the benefit of) a designated Beneficiary will be paid by the end of the fifth full calendar year after the Participant's death, or will be paid beginning no later than the end of the first full calendar year after the Participant's death over the life of the designated Beneficiary or over a period not exceeding the life expectancy of the designated Beneficiary. If the designated Beneficiary is the surviving spouse, payment may be delayed until the date the Participant would have attained age 70 1/2.
- (c) In applying the foregoing rules, each Annuity Contract or Custodial Account shall be treated as an individual retirement account (IRA) and distribution shall be made in

accordance with the provisions of Section 1.408-8 of the IRS regulations, except as provided in Section 1.403(b)-6(e) of the Regulations.

7.5. **Withdrawals, Loans, Benefit Distributions**. Withdrawals and/or benefit distributions are not available prior to severance from employment with UW and all Related Employers. Loans are not available.

7.6. Rollover Distributions.

- (a) A Participant or the Beneficiary of a deceased Participant (or a Participant's spouse or former spouse who is an alternate payee under a domestic relations order, as defined in section 414(p) of the Code) who is entitled to an eligible rollover distribution (as defined in section 402(c)(4) of the Code) from the Plan may elect to have any portion of that distribution paid directly to an eligible retirement plan (as defined in section 402(c)(8)(B) of the Code) specified by the Participant in a direct rollover. In the case of a distribution to a Beneficiary who at the time of the Participant's death was neither the spouse of the Participant nor the spouse or former spouse of the participant who is an alternate payee under a domestic relations order, a direct rollover is payable only to an individual retirement account or individual retirement annuity (IRA) that has been established on behalf of the Beneficiary as an inherited IRA (within the meaning of section 408(d)(3)(C) of the Code).
- (b) Each Fund Sponsor shall be separately responsible for providing, within a reasonable time period before making an initial eligible rollover distribution, an explanation to the Participant of his or her right to elect a direct rollover and the income tax withholding consequences of not electing a direct rollover.

8. Administration

- 8.1. **Plan Administration**. UW is the administrator of this Plan and has designated the UW Benefits Office to be responsible for the day to day administration of the Plan.
- 8.2. **Authority of UW**. UW shall have final authority to determine all questions concerning eligibility and contributions under the Plan, to interpret all terms of the Plan, including any uncertain terms, and to decide any disputes arising under and all questions concerning administration of the Plan. Any determination made by UW shall be given deference, if it is subject to judicial review, and shall be overturned only if it is arbitrary and capricious.

9. Miscellaneous

9.1. **Non-Alienation of Benefits**. Except as provided in this section, no benefit under the Plan may at any time be subject in any manner to alienation, encumbrance, the claims of creditors, or legal process. No participant will have power in any manner to transfer, assign, alienate, or in any way encumber his or her benefits under the Plan, or any part thereof, and any attempt to do so will be void and of no effect. This Plan will comply with any judgment, decree or order that establishes the rights of another person to all or a portion of a Participant's benefit under this Plan to the extent that it is treated as a qualified domestic relations order under Code Section 414(p).

Such payment shall be made without regard to whether the Participant is eligible for a distribution of benefits under the Plan. UW shall establish reasonable procedures for determining the status of any such decree or order and for effectuating distribution pursuant to the domestic relations order.

- 9.2. **Plan Does Not Affect Employment**. Nothing in this Plan is a commitment or agreement by any person to continue his or her employment with UW, and nothing in this Plan is a commitment on the part of UW to continue the employment or the rate of compensation of any person for any period. All employees of UW will remain subject to nonrenewal, discharge or discipline to the same extent as if the Plan had never been put into effect.
- 9.3. **Claims of Other Persons**. The Plan does not give any Participant or any other person, firm, or corporation any legal or equitable right against UW, or its officers, employees, or Regents, except for the rights that are specifically provided for in this Plan or created in accordance with the terms and provisions of this Plan.
- 9.4. **Contracts and Certificates**. In the event there is any inconsistency or ambiguity between the terms of the Plan and the terms of the contracts between the Fund Sponsor and UW and/or the Participants and any contracts and/or certificates issued to a Participant under the Plan, the terms of the Plan control.
- 9.5. **Requests for Information**. Any request for information concerning eligibility, participation, contributions, or other aspects of the operation of the Plan should be in writing and directed to the UW Benefits Office. Requests for information concerning the Fund Sponsor, the Funding Vehicle(s), their terms, conditions and interpretations thereof, claims thereunder, any requests for review of such claims, and service of legal process may be directed in writing to the Fund Sponsor.
- 9.6. **Mistaken Contributions**. If any contribution (or any portion of a contribution) is made to the Plan by a good faith mistake of fact, then within one year after the payment of the contribution, and upon receipt in good order of a proper request approved by the UW Benefits Office, the amount of the mistaken contribution (adjusted for any income or loss in value, if any, allocable thereto) shall be returned directly to the Participant or, to the extent required or permitted by the UW Benefits Office, to UW.
- 9.7. **Governing Law**. The provisions of the Plan are governed by and construed in accordance with the laws of the State of Washington.

10. Amendment and Termination.

10.1. **Amendment and Termination**. The Board reserves the right at any time to amend or terminate the Plan, in whole or in part, or to discontinue any further contributions or payments under the Plan. If the Plan is terminated or if Plan Contributions are completely discontinued, UW will notify all Participants. As of the date of complete or partial termination, all Accumulation Accounts will remain nonforfeitable.

10.2. **Distribution Upon Termination of the Plan**. UW may provide that, in connection with a termination of the Plan and subject to any restrictions contained in the Annuity Contracts and Custodial Account agreements, all Accumulation Accounts will be distributed, provided that UW and any Related Employer on the date of termination do not make contributions to an alternative section 403(b) contract that is not part of the Plan during the period beginning on the date of plan termination and ending 12 months after the distribution of all assets from the Plan, except as permitted by IRS regulations.

Limitation. Notwithstanding the provisions of Section 10.1, the Board shall not make any amendment to the Plan that operates to recapture for UW any contributions previously made under this Plan except to the extent permitted by Section 4.7 or 4.10.



UNIVERSITY OF WASHINGTON 401(a) SUPPLEMENTAL RETIREMENT PLAN

Amended and Restated, Effective January 1, 2009

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ARTICLE 1. INTRODUCTION

- 1.1. <u>Amendment and Restatement</u>. This document amends, restates, and continues Part III of the University of Washington Retirement Plan (UWRP), as in effect on December 31, 2008, which previously set out the terms and conditions of the supplemental retirement benefit provided for in RCW 28B.10.400(3), as amended.
- 1.2. <u>401(a) Status</u>. The Plan, as set forth herein and previously in the UWRP document, is a governmental plan as defined in Section 410(d) of the Code intended to qualify under Section 401(a) of the Code, and shall be construed accordingly.

ARTICLE 2. DEFINITIONS

- 2.1. "Average Annual Salary" means the average annual Basic Salary paid to a Participant for his or her highest 24 consecutive Months of Service.
- 2.2. "Basic Salary" means the salary received by a Participant under the terms of his or her appointment, including any summer appointments, but excluding other extended appointments. Basic Salary shall be determined before taking into account any salary reduction under Code sections 125, 132, 403(b) or 457(a). Basic Salary shall exclude leave cash-out payments, any settlement, severance or tenure purchase payments, and any other amounts that are not taken into account in computing UWRP contributions. For any Participant who first became a participant in this Plan or the UWRP on or after July 1, 1996, Basic Salary shall not be taken into account for any Plan Year in excess of \$230,000 (or such other limit as shall apply for such Plan Year under Section 401(a) (17) of the Code).
 - 2.3. "Beneficiary" means the surviving spouse, if any, of the Participant.
 - 2.4. "Board" means the Board of Regents of the University of Washington.
- 2.5. "Break in Service" means termination of all UW employment and appointments for a full calendar month.
- 2.6. "Code" means the Internal Revenue Code of 1986, as amended (Title 26 of the United States Code).
- 2.7. "Eligible Employee" means any employee of UW who is employed in an Eligible Position, other than an employee who has retired from a position which is covered by RCW 28B.10.400 et seq.
- 2.8. "Eligible Position" means an academic, research, librarian, professional, or other position designated by the Board that requires at least fifty percent of the normal full-time workload per month in at least six consecutive months. However, a position held by a person on a fee, retainer, or special contract basis, or as an incident to the private practice of a profession or to the employee's education, is not an Eligible Position. The job classes of resident (job code 0328), chief resident (job code 0329) and fellow (job code 0444) shall also be considered Eligible Positions. An Eligible Employee, once having begun participation in this Plan, shall be deemed to be employed in an Eligible Position even if his or her position no longer requires at

least fifty percent of the normal full-time workload per month in at least six consecutive months, so long as the position otherwise qualifies as an Eligible Position.

- 2.9. "Fixed Annuity" means a fixed annuity contract (or index reflecting experience of fixed annuity contracts) selected by UW.
- 2.10. "Month of Service" is a calendar month throughout which the employee is employed in an Eligible Position with 50 percent or more of the normal full time work load. If the employee is employed in an Eligible Position less than 100 percent time or for only a fraction of a month, or both, prorated credit shall be given for that month.
- 2.11. "Participant" means any Eligible Employee who participates in the Plan in accordance with Article 3.
- 2.12. "Plan" means the University of Washington 401(a) Supplemental Retirement Plan set forth in this document as it may be amended from time to time and, prior to January 1, 2009, as set forth in Part III of the UWRP plan document.
 - 2.13. "Plan Year" means the calendar year.
- 2.14. "Retirement Age": "Normal Retirement Age means the last day of the calendar month in which age 65 is attained; Early Retirement Age means the last day of the calendar month in which age 62 is attained.
 - 2.15. "Trust" means the trust established to hold and invest assets of the Plan.
 - 2.16. "Trustee" means the trustee or trustees appointed by UW to administer the Trust.
- 2.17. "USERRA" means the Uniformed Services Employment and Reemployment Rights Act of 1994.
 - 2.18. "UW" means the University of Washington.
 - 2.19. "UWRP" means the University of Washington Retirement Plan.
- 2.20. "Variable Annuity" means a variable annuity contract (or index reflecting experience of variable annuity contracts) selected by UW.
- 2.21. "Year of Service" is a Plan Year in which the employee completes at least five months of service, excluding Months of Service before a prior Break in Service (if any). On or after July 1, 1979, a Year of Service shall include only those Months of Service in which contributions were made by the Participant under the UWRP or any other plan established pursuant to RCW 28B.10.400. Authorized leaves of absence will also be included, as will periods of absence in the uniformed services to the extent provided in USERRA. A Participant's Years of Service will also include his or her credited Years of Service in a position covered by RCW 28B.10.400 et seq. or in a UW position covered by a Washington State Retirement System, provided that, with regard to the Washington State Retirement System, (a) the Participant transfers directly from the System to the UWRP, (b) the Participant was vested and

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will receive a retirement income benefit from such System, (c) service prior to employment at UW does not count, (d) service that has been withdrawn does not count, and (e) the Participant signs a release within 60 days after the application for benefits, as needed to obtain the relevant information from the System. Any retirement income benefit that he or she is eligible to receive under the System attributable to Years of Service that are covered under the preceding sentence shall be included in the assumed benefit offset described in Section 5.3. Except as otherwise provided in USERRA, an Employee may receive credit for no more than two years during his or her entire working career for periods of authorized leave without pay, provided that the Employee contributes both the Employer and Employee contributions under the UWRP while on authorized leave, and returns to the employment of UW immediately following the leave for a period of not less than two years. The Employee and Employer contributions shall be based on the average of the Employee's compensation at the time the leave of absence was authorized and the time the Employee resumes employment. However, the benefit provided by this Plan shall be based only on the Participant's compensation earned from employment with UW.

ARTICLE 3. PARTICIPATION

- 3.1. <u>Commencement of participation</u>. An Eligible Employee begins (or began) participation in this Plan on the later of (a) the date he or she begins (or began) participation in the UWRP or (b) the beginning of the first period for which the Eligible Employee has made a contribution under the UWRP.
- 3.2. <u>Cessation of participation</u>. A Participant will continue to be a Participant so long as he or she continues to contribute under the UWRP (except while on authorized leave), and shall cease to be a Participant in this Plan when he or she ceases to contribute under the UWRP.

ARTICLE 4. RETIREMENT

- 4.1. Retirement because of age. On the first of any month after attaining age 62, a Participant who is actively employed by UW may elect to retire by submitting a written resignation to his or her superior and a retirement application to the UW Benefits Office. A person is ineligible for any benefit under this Plan if he or she ceases to be a Participant prior to age 62 for reasons other than retirement because of condition of health as described in Section 4.2.
- 4.2. Retirement because of condition of health. A retirement because of condition of health may be approved by the President of UW upon request by a Participant or by the administrative officer concerned. The basis for approval is whether continued service by the Participant is likely to seriously impair or endanger the Participant's health, or if the Participant is permanently unable to carry on his or her usual duties because of health. A request for retirement because of condition of health is referred to the Director of Benefits & WorkLife, who will convene the Special Board on Retirement Because of Health. The recommendations of the Special Board on Retirement Because of Health are presented to the President of UW. The Special Board consists of the Dean of the School of Medicine, the Director of Benefits & WorkLife, one physician appointed by the Faculty Senate for a term of three years, and two Participant representatives selected by the Vice President, Human Resources after consultation with the Faculty Senate and other Participant groups.

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4.3. Retiree reemployment means the reemployment of a former Participant up to 40% of full time following the date of retirement under Section 4.1. Such reemployment shall be subject to all applicable UW rules. Such reemployment after retirement will not be counted as service under the Plan nor result in any eligibility for increased benefits under the Plan. A reemployed retiree who retired under this Plan or the UWRP is not a Participant under the Plan.

ARTICLE 5. BENEFITS

- 5.1. <u>Eligibility for benefit</u>. A Participant is eligible for a benefit under this Plan if, at retirement, all of the following are true:
 - (a) The Participant has reached age 62 or retires under Section 4.2, and
 - (b) The number of his or her Years of Service is ten or more, and
 - (c) The amount of his or her benefit, as calculated under Section 5.2, is a positive amount.
- 5.2. <u>Amount of benefit</u>. The monthly amount of benefit payable to an eligible retired Participant is the amount determined by UW at the time of retirement to be the excess, if any, of
 - (a) one-twelfth of two percent of the Participant's Average Annual Salary multiplied by the number of his or her Years of Service (such product not to exceed one-twelfth of 50% of the Participant's Average Annual Salary) over
 - (b) the amount of the assumed annuity benefit offset the retired Participant would receive in the first month of retirement, calculated as provided in Section 5.3.

The percentage factor in (a) above shall be 1.5 percent instead of 2 percent for any Month of Service commencing on or after July 1, 1974, during any portion of which the Participant, having attained the age of fifty, had not elected to participate in the UWRP at the 10% plan contribution rate. The benefit hereunder is reduced by .5% times the number of full calendar months that benefit payments begin prior to Normal Retirement Age; however, if the Participant was given a retirement because of condition of health under Section 4.2, this reduction will not apply.

- 5.3. Assumed annuity benefit offset. The assumed annuity benefit offset for a married Participant is equal to the amount of monthly benefit from the Fixed and Variable Annuities calculated as a joint and survivor annuity with two-thirds of the benefit to the survivor, with the Participant's spouse as the survivor (using the spouse's actual age, if within five years of the Participant's age; otherwise, using the age closest to the spouse's age that is five years less than or five years greater than the Participant's age). For an unmarried Participant, the benefit offset is calculated as a single life annuity with a ten-year guaranteed period. In either case, the assumed annuity benefit offset will be the amount estimated by UW at the time of retirement. The following assumptions shall be used in computing the assumed annuity benefit offset:
 - (a) Benefit calculations related to contributions made prior to July 1, 1974 shall be computed using the Participant's actual allocation of contributions under the UWRP between TIAA and CREF during such period, ignoring any subsequent transfers

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of such contributions among TIAA and CREF or other investment vendors under the UWRP.

- (b) Benefit calculations related to contributions under the UWRP made on or after July 1, 1974 shall be computed on the assumption that the Participant had allocated 50 percent of such contributions to the Fixed Annuity and 50 percent of such contributions to the Variable Annuity and made no subsequent transfers from these accounts.
- (c) Any portion of a Participant's accumulation account under the UWRP which is awarded by a court to such person's spouse under a domestic relations order is included in any subsequent calculation of the benefit under the UWRP as if such portion had remained in the Participant's accumulation account under the UWRP until the date of retirement.
- (d) Annuity accumulations attributable to any additional voluntary employee contributions, beyond those provided for in the UWRP, and any contributions paid through employers other than State of Washington institutions of higher education, are excluded.
- (e) All benefits that a retired Participant is eligible to receive from a plan established pursuant to RCW 28B.10.400 or (to the extent attributable to Years of Service) from a Washington State Retirement System shall be included in the assumed annuity benefit offset to the same extent as if received from the UWRP.
- 5.4. <u>Alternative method of benefit calculation</u>. The monthly amount of the benefit for a participant whose Months of Service began not later than October 1, 1955, is the greater of:
 - (a) The benefit defined in Section 5.2; or
 - (b) The supplemental benefit that would have been provided the Participant under the rules of the UWRP in effect on June 30, 1974.
- 5.5. Death benefit. If a Participant dies while eligible for benefits under the Plan after attaining age 62, the benefit, if any, payable to the Participant's Beneficiary shall be equal to the benefit that the Beneficiary would have received if the Participant had begun to receive retirement income on the first day of the month following the month in which the Participant's death occurs and had elected a two-thirds benefit to survivor option with the Beneficiary as the survivor.
- 5.6. Form of distribution. Benefits under the Plan are to be received as lifetime income and may only be made over (a) the life of the retiree, or (b) at the written election of the retiree, the lives of the retiree and a Beneficiary, in any form of lifetime annuity made available by UW that does not include a guarantee period. If option (b) is chosen, the actuarially equivalent income shall be computed using the dividend, interest and mortality basis then in effect for the Fixed Annuity. Any form of distribution hereunder to a married Participant, other than a joint and survivor form under which the spouse to whom the Participant is married at the time of the election hereunder receives a survivor annuity equal to 50% or more of the lifetime

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annuity payable to the Participant, requires the consent of the Participant's spouse at the time of the election hereunder, in accordance with Section 5.7. Once an election has been made hereunder, the form of distribution may not be changed at any later time for any reason, including (without limitation) remarriage of the Participant, provided that in the event of a Beneficiary's death (or any other change in circumstances) before the starting date of any annuity payments a new Beneficiary may be designated by the Participant prior to that starting date. Benefit payments are made in equal monthly installments or more frequently, consistent with UW's payroll practices. At the election of UW, the supplemental payment may be made at longer intervals if the installments for a Participant or his or her Beneficiary would otherwise be less than a minimum amount established from time to time by the UW Benefits office.

- 5.7. Application for benefits; spousal consent. To begin receiving benefits, the Participant or Beneficiary must write directly to the UW Benefits Office. The UW Benefits Office will provide the necessary forms to the Participant or the Beneficiary. UW will pay benefits upon receipt of a satisfactorily completed application for benefits and supporting documents. In any case in which the consent of the Participant's spouse is required, the consent must be in writing, must acknowledge the effect of the election or action to which the consent applies, and must be witnessed by a notary public. Unless the consent expressly provides that the Participant may make further elections without further consent of the spouse, the consent will be effective only with respect to the specific election of form of benefit, or Beneficiary, or both, to which the consent relates. Spousal consent will be effective only with respect to that spouse. Spousal consent will not be required if it is established to the satisfaction of the UW Benefits Office that the spouse cannot be located.
- 5.8. <u>Application for benefits</u>. Procedures for calculation of the benefits under this Plan are initiated by submitting an "Application for Retirement" form to the UW Benefits Office.
- 5.9. Benefit payments. Any benefits that become payable under this Article 5 will be paid from the general assets of UW, unless paid from the Trust. If benefits are paid from general assets of UW, they shall be treated as a contribution to the Trust and payment by the Trust. Nothing in this Plan will be construed to create a trust or obligate UW to segregate a fund, purchase an annuity contract, or fund in any other way the future payment of any benefits under this Plan.
- 5.10. Minimum distributions. All benefits under this Plan will be made in accordance with Code Section 401(a)(9) and the regulations thereunder. Minimum distributions must begin no later than April 1 of the calendar year following the calendar year in which the Participant attains age 70½ or, if later, April 1 following the calendar year in which the Participant retires from UW. Upon the Participant's death after the time benefits are required to begin hereunder, any remaining benefits will be distributed at least as rapidly as under the method of distribution in effect at the time of the Participant's death. If the Participant dies before benefit payments are required to begin under the second sentence of this Section 5.10, any benefits payable to (or for the benefit of) the Beneficiary will be paid beginning no later than the date the Participant would have attained age 70 1/2 over the life of the Beneficiary.
- 5.11. <u>Maximum benefit</u>. Benefits under the Plan shall not exceed the limitation of Section 415(b) of the Code, to the extent applicable.

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5.12. <u>Forfeitures</u>. Forfeitures shall not be applied to increase the benefits any employee would otherwise receive under the Plan.

ARTICLE 6. ADMINISTRATION

- 6.1. <u>Plan Administration</u>. UW is the administrator of this Plan and has designated the UW Benefits Office to be responsible for the day to day administration of the Plan.
- 6.2. <u>Authority of UW</u>. UW shall have final authority to determine all questions concerning eligibility and benefits under the Plan, to interpret all terms of the Plan, including any uncertain terms, and to decide any disputes arising under and all questions concerning administration of the Plan. Any determination made by UW shall be given deference, if it is subject to judicial review, and shall be overturned only if it is arbitrary and capricious.
- 6.3. <u>Requests for Information</u>. Any request for information concerning eligibility, participation, benefits, or other aspects of the operation of the Plan should be in writing and directed to the UW Benefits Office.
- 6.4. <u>Payment of Expenses</u>. All reasonable costs and expenses incident to the administration of the Plan and the Trust, including but not limited to legal, accounting, and Trustee fees, shall be paid by the Trust unless UW elects to pay such expenses. Notwithstanding the foregoing, any and all expenses relating to settlor functions such as creation or termination of the Plan shall be paid by UW and may not be paid from the Trust.

ARTICLE 7. FUNDING

- 7.1. <u>Trust agreement or declaration</u>. UW shall appoint a Trustee (which may include UW itself) and enter into a trust agreement or declaration of trust. The Trustee will receive and invest all contributions, if any, made under the Plan to the Trust and all income derived therefrom. UW may remove a Trustee and may appoint a successor or additional Trustees and may divide their duties and responsibilities as it sees fit.
- 7.2. Exclusive benefit of Participants. All assets of the Trust shall be held for the exclusive purpose of providing benefits to Participants and Beneficiaries under the Plan and defraying reasonable expenses of administering the Plan and as otherwise permitted by law and the Plan. In no event shall it be possible at any time prior to the satisfaction of all liabilities under Plan for any part of the assets of the Trust, whether principal or income, to be used for or diverted to purposes other than those stated herein.
- 7.3. Return of contributions. Nothing herein shall prohibit a return to UW, within one year after payment, of excess sums contributed to the Trust as a result of a good faith mistake of fact. In addition, in the event that the Commissioner of Internal Revenue (or his or her delegate) determines that the Plan is not initially qualified under the Code, any UW contributions made to the Plan shall be returned to UW within one year after the date the initial qualification is denied.

ARTICLE 8. AMENDMENT AND TERMINATION

- 8.1. Amendment and termination. The Board reserves the right at any time to amend or terminate the Plan, in whole or in part, to the extent permitted by law. If the Plan is terminated, UW will notify all Participants. All benefits accrued to the date of termination will be nonforfeitable to the extent funded or as otherwise required by law. No amendment shall be effective if it permits any part of the Trust assets (other than such part as is required to pay taxes and administration expenses) to be used for or diverted to any purpose other than for the exclusive benefit of the Participants or their Beneficiaries, or permits any portion of the Trust assets to revert to or become property of UW, except as permitted by law.
- 8.2. <u>Limitation</u>. Notwithstanding the provisions of Section 8.1, the Board shall not make any amendment to the Plan that operates to recapture for UW any contributions previously made under this Plan except to the extent permitted by law.

ARTICLE 9. MISCELLANEOUS

- 9.1. <u>Non-Alienation of Benefits</u>. Except as provided in this section, no benefit under the Plan may at any time be subject in any manner to alienation, encumbrance, the claims of creditors, or legal process. No participant will have power in any manner to transfer, assign, alienate, or in any way encumber his or her benefits under the Plan, or any part thereof, and any attempt to do so will be void and of no effect. This Plan will comply with any judgment, decree or order that establishes the rights of another person to all or a portion of a Participant's benefit under this Plan to the extent that it is treated as a qualified domestic relations order under Code Section 414(p).
- 9.2. <u>Plan Does Not Affect Employment</u>. Nothing in this Plan is a commitment or agreement by any person to continue his or her employment with UW, and nothing in this Plan is a commitment on the part of UW to continue the employment or the rate of compensation of any person for any period. All employees of UW will remain subject to nonrenewal, discharge or discipline to the same extent as if the Plan had never been put into effect.
- 9.3. <u>Claims of Other Persons</u>. The Plan does not give any Participant or any other person, firm, or corporation any legal or equitable right against UW, or its officers, employees, or Regents, except for the rights that are specifically provided for in this Plan or created in accordance with the terms and provisions of this Plan.
- 9.4. <u>Governing Law</u>. Except as provided under federal law, the provisions of the Plan are governed by and construed in accordance with the laws of the State of Washington.

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VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Grant and Contract Awards – April and May, 2008

RECOMMENDED ACTION:

It is the recommendation of the administration and the Finance and Audit Committee that the Board of Regents accept the Grant and Contract Awards as presented on the attached list.

Attachment: Grant and Contract Awards Summary

Report of Grant and Contract Awards of

\$1,000,000 or More

Grant and Contract Awards Summary

to

The Board of Regents

of the

University of Washington

for

April 2008

Office of Research

Office of Sponsored Programs

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APRIL 2008 HIGHLIGHTS

The International Fund for Animal Welfare awarded a grant in the amount of \$20,000 for a project under the direction of Research Professor Samual Wasser of the Department of Biology entitled "Combating the Illegal African Elephant Ivory Trade using DNA Forensics." DNA assignment analyses offer a valuable tool to forensics investigations aimed at combating the illegal ivory trade. The analyses connect the seized ivory to its point of origin. Under this project, researchers will collaborate with Interpol and the Lusaka Agreement Task Force to examine the origin of three large ivory seizures made in Tanzania and Uganda. By identifying the origins of these particular large seizures, the analyses will reveal strategies used by crime syndicates that are driving this trade, identify poaching hot spots to direct law enforcement, help hold countries responsible for large scale poaching in their regions, and provide vital information for law enforcement to use in prosecutions.

The National Oceanic and Atmospheric Administration (NOAA) awarded a grant in the amount of \$150,000,000 for a project under the direction of Dr. David L. Martin of the Applied Physics Lab entitled "Enhancing the Regional Coastal Ocean Observing Systems of NANOOS." The waters of the Pacific Northwest, its coast and estuaries, support a wide range of vital activities and are critically important to the societal and ecological health of the region. The waters modify and moderate regional weather, serve as highways for marine commerce involving the entire Pacific Rim, are part of an oceanic buffer for our nation's national and homeland security, are a reservoir for bountiful natural resources, provide a rich environment for aquaculture activities, serve as an extensive natural laboratory for scientists and educators, and provide exceptional recreational opportunities. A highly diverse set of stakeholders created the Northwest Association of Networked Ocean Observing Systems (NANOOS). This association oversees and administers the Regional Coastal Ocean Observing System (RCOOS), which provides observing programs of regional marine conditions for weather, climate, ecosystem, transportation and commerce purposes. This project will enhance this system by developing further ocean observing subsystems, environmental modeling and analysis programs, data management and communication systems and developing education and outreach programs. The purpose of the improvements is to provide the various users of RCOOS with the ocean data, tools, and knowledge they need to make responsive and responsible decisions appropriate to their individual and collective societal roles.

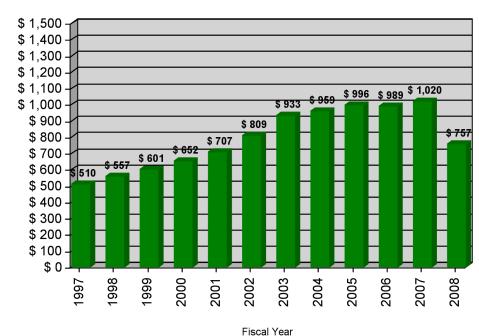
April OnlyComparison of Grant & Contract Awards

\$ 100 -\$88 \$80 \$61 \$ 60 \$ 56 \$ 49 \$ 47 \$ 46 \$ 40 -\$ 20 -1998 1999 2000 2002 2003 2004 2005 2006 2001 2007 2008 1997 Fiscal Year

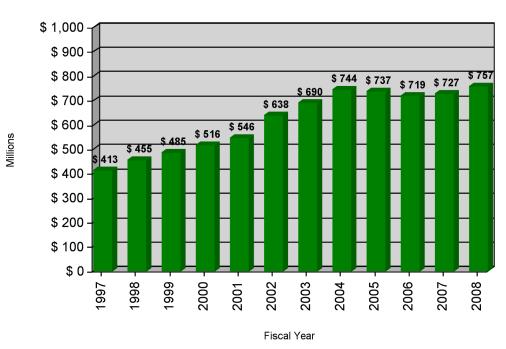
Millions

Millions

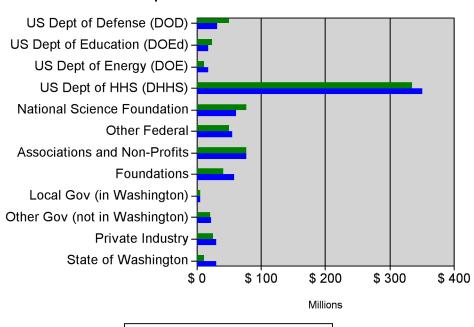
Complete Fiscal Year Comparison of Grant & Contract Awards



Fiscal Year to Date Comparison of Grant & Contract Awards



Fiscal Year to Date
Two-Year Comparison of Grant and Contract Awards



Jul-Apr FY08

Jul-Apr FY07

Summary of Grant and Contract Awards Fiscal Year 2007-2008

	RESEARCH A	RESEARCH AND OTHER		TRAINING		
Month	Federal	Non-Federal	Federal	Non-Federal	Grants and Contracts	
July	\$ 54,889,260	\$ 14,982,400	\$ 7,034,788	\$ 5,593,291	\$ 82,499,740	
August	\$ 111,423,800	\$ 21,120,610	\$ 10,878,860	\$ 1,041,935	\$ 144,465,200	
September	\$ 62,869,760	\$ 24,344,880	\$ 11,411,270	\$ 1,857,398	\$ 100,483,300	
October	\$ 75,921,240	\$ 38,888,260	\$ 6,410,827	\$ 2,183,915	\$ 123,404,200	
November	\$ 11,290,950	\$ 14,589,700	\$ 319,941	\$ 1,747,225	\$ 27,947,810	
December	\$ 16,090,690	\$ 16,532,470	\$ 385,596	\$ 1,928,891	\$ 34,937,650	
January	\$ 19,244,150	\$ 17,965,880	\$ 912,526	\$ 1,377,304	\$ 39,499,860	
February	\$ 27,736,200	\$ 18,007,790	\$ 1,215,807	\$ 1,929,782	\$ 48,889,580	
March	\$ 51,435,740	\$ 17,396,780	\$ 1,188,447	\$ 1,207,529	\$ 71,228,500	
April	\$ 58,855,320	\$ 19,785,490	\$ 4,528,914	\$ 510,223	\$ 83,679,950	
FY08 to Date	\$489,550,895	\$203,820,464	\$43,814,856	\$19,849,608	\$757,035,824	
FY07 to Date	\$512,491,212	\$170,798,318	\$34,211,876	\$9,588,884	\$727,090,291	
Over (Under) Previous Year	(\$22,940,317)	\$33,022,146	\$9,602,980	\$10,260,724	\$29,945,534	

Comparison of Grant and Contract Awards by Agency Fiscal Years 2006-2007 and 2007-2008

Agency	Jul-Apr FY07	Jul-Apr FY08
US Department of Defense (DOD)	\$ 49,805,925	\$ 31,971,561
US Department of Education (DOEd)	\$ 23,303,650	\$ 17,504,862
US Department of Energy (DOE)	\$ 12,209,315	\$ 17,487,543
US Department of Health and Human Services (DHHS)	\$ 334,452,404	\$ 350,654,559
National Science Foundation (NSF)	\$ 77,057,932	\$ 60,968,965
Other Federal	\$ 49,873,863	\$ 54,778,261
Subtotal for Federal :	\$ 546,703,088	\$ 533,365,752
Associations and Non-Profits	\$ 76,050,204	\$ 77,099,878
Foundations	\$ 41,354,233	\$ 57,394,192
Local Government (in Washington)	\$ 5,006,428	\$ 6,018,534
Other Government (not in Washington)	\$ 20,619,474	\$ 22,966,127
Private Industry	\$ 25,859,822	\$ 30,453,907
State of Washington	\$ 11,497,041	\$ 29,737,435
Subtotal for Non-Federal :	\$ 180,387,202	\$ 223,670,073
Grand Total :	\$ 727,090,291	\$ 757,035,824

Amount of Increase (Decrease): \$29,945,534

Percent of Increase (Decrease): 4.1 %

Comparison of Grant and Contract Awards by School/College Fiscal Years 2006-2007 and 2007-2008

School/College		Jul-Apr FY07	Jul-Apr FY08
Upper			
Campus	Architecture and Urban Planning	\$ 912,872	\$ 940,319
	Arts and Sciences	\$ 74,730,613	\$ 74,557,823
	Business Administration	\$ 113,418	\$ 740,458
	Computing & Communications	\$ 100,000	\$ 30,000
	Director of Libraries	\$ 31,965	\$ 116,500
	Education	\$ 12,889,108	\$ 2,951,845
	Educational Outreach	\$ 425,469	\$ 287,668
	Engineering	\$ 61,116,687	\$ 60,932,277
	Evans School of Public Affairs	\$ 5,273,420	\$ 3,538,635
	Executive Vice President		\$ 208,000
	Forest Resources	\$ 4,370,473	\$ 4,930,125
	Graduate School	\$ 2,394,710	\$ 1,058,653
	Information School	\$ 1,651,802	\$ 5,531,239
	Law	\$ 1,540,450	\$ 409,734
	Ocean and Fishery Sciences	\$ 74,100,763	\$ 73,509,314
	Office of Research	\$ 19,225,789	\$ 18,236,159
	Provost		\$ 200,000
	Social Work	\$ 9,420,494	\$ 11,430,598
	Undergraduate Education	\$ 1,250,161	\$ 363,372
	VP Educational Partnerships	\$ 131,347	\$ 43,745
	VP Minority Affairs	\$ 4,995,816	\$ 7,118,112
	Subtotal :	\$ 274,675,357	\$ 267,134,575
Health			
Sciences	Dentistry	\$ 7,921,450	\$ 10,135,366
	Health Sciences Admin	\$ 61,000	, , , , , , , , ,
	Medical Centers	\$ 1,000	
	Medicine	\$ 350,393,201	\$ 371,799,338
	Nursing	\$ 10,367,132	\$ 11,158,074
	Pharmacy	\$ 7,311,825	\$ 7,683,748
	Public Health and Community Medicine	\$ 59,810,153	\$ 58,413,257
	Subtotal:	\$ 435,865,761	\$ 459,189,783
Special			
Programs	Alcohol and Drug Abuse Institute	\$ 1,327,877	\$ 4,126,521
	CHDD Administration	\$ 8,411,044	\$ 7,571,760
	Regional Primate Center	\$ 5,897,133	\$ 16,722,351
	Subtotal :	\$ 15,636,054	\$ 28,420,632
Other UW			
Campuses	Bothell	\$ 680,323	\$ 1,301,517

School/Colleg	е		Jul-Apr FY07	Jul-Apr FY08
Other UW	Tacoma		\$ 232,796	\$ 322,285
Campuses		Subtotal :	\$ 913,119	\$ 1,623,802
Not Indicated	Not Indicated	_		\$ 667,032
		Subtotal :		\$ 667,032
		Grand Total :	\$ 727,090,291	\$ 757,035,824

List of Awards for Arts and Sciences Fiscal Years 2006-2007 and 2007-2008

Department	Jul-Apr FY07	Jul-Apr FY08
Contar for Statistics and the Social Sciences	¢ 900 430	¢ 726 440
Center for Statistics and the Social Sciences	\$ 809,139	\$ 736,119
Center for Studies in Demography and Ecology	\$ 1,260,780	\$ 1,889,361
Department of Anthropology	\$ 139,029	\$ 249,016
Department of Applied Mathematics	\$ 1,726,247	\$ 477,419
Department of Asian Languages and Literature	\$ 91,760	\$0
Department of Astronomy	\$ 2,685,876	\$ 4,968,019
Department of Atmospheric Sciences	\$ 6,279,380	\$ 4,155,999
Department of Biology	\$ 8,818,855	\$ 5,455,386
Department of Chemistry	\$ 16,542,820	\$ 19,540,750
Department of Communication	\$ 1,541,100	\$ 1,395,680
Department of Comparative Literature	\$ 21,178	\$0
Department of Earth and Space Sciences	\$ 4,842,896	\$ 4,604,102
Department of Economics	\$ 24,800	\$ 6,575
Department of English	\$ 5,000	\$ 77,573
Department of Geography	\$ 180,909	\$ 336,787
Department of Germanics	\$ 53,000	\$ 98,000
Department of History	\$ 70,694	\$0
Department of Linguistics	\$ 87,119	\$ 345,814
Department of Mathematics	\$ 1,155,340	\$ 3,015,243
Department of Physics	\$ 7,929,132	\$ 9,716,347
Department of Political Science	\$ 566,198	\$ 1,507,855
Department of Psychology	\$ 8,676,108	\$ 8,083,934
Department of Romance Languages	\$ 6,000	\$0
Department of Sociology	\$ 170,649	\$ 149,074
Department of Speech and Hearing Sciences	\$ 1,795,528	\$ 1,719,279
Department of Statistics	\$ 1,061,087	\$ 789,171
Department of Women Studies	\$ 23,592	\$ 55,000
Gaining Early Awareness and Readiness for Undergraduate Programs	\$ 750,000	\$ 790,660
Henry M. Jackson School of International Studies	\$ 4,878,831	\$ 2,669,325
Institute for Nuclear Theory	\$ 1,379,000	\$ 674,000
Language Learning Center	\$ 20,000	\$ 76,000
Law, Societies and Justice Program	\$ 90,017	\$0
Public Performing Arts	\$ 151,565	\$ 183,213
School of Art	\$ 48,000	\$0
School of Drama	\$0	\$ 75,000
School of Music	\$ 300,000	\$ 600,000
Thomas Burke Memorial Washington State Museum	\$ 548,981	\$ 117,120
Total :	\$ 74,730,620	\$ 74,557,820

Summary of Grant Awards

Fiscal Year 2007-2008

Excluding private awards from Foundations, Industry, Associations and Others

	RESEARCH	AND OTHER	TRAII	NING	
Month	Federal	Non-Federal	Federal	Non-Federal	Total Grants
July	\$ 54,392,780	\$ 1,031,886	\$ 7,034,788	\$ 0	\$ 62,459,460
August	\$ 106,644,800	\$ 2,487,815	\$ 10,875,880	\$ 199,000	\$ 120,207,500
September	\$ 54,737,540	\$ 855,616	\$ 11,410,000	\$ 0	\$ 67,003,160
October	\$ 69,439,460	\$ 3,387,818	\$ 6,360,827	\$ 194,787	\$ 79,382,900
November	\$ 7,672,178	\$ 2,205,321	\$ 319,941	\$ 21,146	\$ 10,218,590
December	\$ 13,839,270	\$ 1,071,375	\$ 385,596	\$ 203,738	\$ 15,499,980
January	\$ 16,138,890	\$ 3,928,468	\$ 624,062	\$ 108,039	\$ 20,799,460
February	\$ 24,350,010	\$ 1,202,499	\$ 1,215,807	\$ 0	\$ 26,768,320
March	\$ 47,558,410	\$ 3,106,175	\$ 1,188,447	\$ 7,500	\$ 51,860,530
April	\$ 52,960,060	\$ 1,425,991	\$ 4,504,414	\$ 32,000	\$ 58,922,460
Year to Date	\$ 447,733,400	\$ 20,702,960	\$ 43,919,760	\$ 766,210	\$ 513,122,400

Summary of Grant Awards

Fiscal Year 2007-2008

Private awards from Foundations, Industry, Associations and Others

Month	RESEARCH AND OTHER	TRAINING	Total Grants
July	\$ 5,463,786	\$ 223,067	\$ 5,686,853
August	\$ 12,792,000	\$ 604,407	\$ 13,396,400
September	\$ 16,434,390	\$ 237,863	\$ 16,672,250
October	\$ 25,846,500	\$ 258,263	\$ 26,104,770
November	\$ 6,912,596	\$ 240,676	\$ 7,153,272
December	\$ 9,944,877	\$ 1,380,140	\$ 11,325,020
January	\$ 8,045,577	\$ 700	\$ 8,046,277
February	\$ 10,692,720	\$ 493,319	\$ 11,186,040
March	\$ 8,725,010	\$ 942,268	\$ 9,667,278
April	\$ 11,726,810	\$ 152,967	\$ 11,879,780
Year to Date	\$ 116,584,300	\$ 4,533,670	\$ 121,117,900

Summary of Contract Awards Fiscal Year 2007-2008

	RESEARCH AND OTHER		RESEARCH AND OTHER TRAINING		Total
Month	Federal	Non-Federal	Federal	Non-Federal	Contracts
July	\$ 496,481	\$ 8,486,723	\$ 0	\$ 5,370,224	\$ 14,353,430
August	\$ 4,778,947	\$ 5,840,805	\$ 2,977	\$ 238,528	\$ 10,861,260
September	\$ 8,132,220	\$ 7,054,878	\$ 1,273	\$ 1,619,535	\$ 16,807,910
October	\$ 6,481,776	\$ 9,653,934	\$ 50,000	\$ 1,730,865	\$ 17,916,580
November	\$ 3,618,770	\$ 5,471,781	\$ 0	\$ 1,485,403	\$ 10,575,950
December	\$ 2,251,427	\$ 5,516,219	\$ 0	\$ 345,013	\$ 8,112,658
January	\$ 3,105,263	\$ 5,991,835	\$ 288,464	\$ 1,268,565	\$ 10,654,130
February	\$ 3,386,189	\$ 6,112,575	\$ 0	\$ 1,436,463	\$ 10,935,230
March	\$ 3,877,332	\$ 5,565,595	\$ 0	\$ 257,761	\$ 9,700,688
April	\$ 5,895,264	\$ 6,632,691	\$ 24,500	\$ 325,256	\$ 12,877,710
Year to Date	\$ 42,023,670	\$ 66,327,040	\$ 367,214	\$ 14,077,610	\$ 122,795,500

Report of Grant and Contract Awards of \$1,000,000 or More

April 2008

Requiring action of
The Board of Regents
of the
University of Washington

Office of Research
Office of Sponsored Programs

Total Public Grants:

US Departi	nent of Health and Human Services (DHHS)	
Nation	l Institutes of Health (NIH)	
То:	Timothy De Rouen, Professor Dental Public Health Sciences	\$ 2,667,122
For	Network Chair for the Pacific Northwest DPBRN	
Eff:	4/1/2008 Classified: No	
То:	Brian Leroux, Assoc Professor Dental Public Health Sciences	\$ 1,074,887
For	Data Coordinating Center for the Pacific Northwest DPBRN	
Eff:	4/1/2008 Classified: No	
To:	Joel D. Kaufman, Professor Department of Environmental and Occupational Health Sciences	\$ 2,292,885
For		
Eff:	Pollution 4/1/2008 Classified: No	
Na	ional Institute of Allergy and Infectious Diseases (NIAID)	
To:	Samuel I Miller, Professor Department of Medicine	\$ 11,401,047
For	NW RCE for Biodefense and Emerging Infectious Diseases	
Eff:	9/4/2003 Classified: No	
	Total for National Institute of Allergy and Infectious Diseases (NIAID):	\$ 11,401,047
Tot	al for National Institutes of Health (NIH):	\$ 17,435,941
Total fo	r US Department of Health and Human Services (DHHS):	\$ 17,435,941
Other Fede	ral	
Nation	l Oceanic and Atmospheric Administration (NOAA)	
То:	David L. Martin, Apl-principal Oceanographer Applied Physics Laboratory	\$ 1,500,000
For	Enhancing the Regional Coastal Ocean Observing Systems (RCOOS) of NANOOS	
Eff:	10/1/2007 Classified: No	
Tot	al for National Oceanic and Atmospheric Administration (NOAA):	\$ 1,500,000
Total fo	r Other Federal:	\$ 1,500,000
Total for Fo	deral:	\$ 18,935,941

\$ 18,935,941

Detail of Private Grant Awards

Foundations

Bill and Melinda Gates Foundation

To: Paul Yager, Professor \$ 2,832,426

Bioengineering

For: A Point-of-Care Diagnostic System for the Developing World

Eff: 7/1/2005 Classified: No

Total for Bill and Melinda Gates Foundation: \$ 2,832,426

Total for Foundations: \$ 2,832,426

Total Private Grants: \$ 2,832,426

Detail of Contract Awards

Federal

US Department of Health and Human Services (DHHS) National Institutes of Health (NIH) To: Jeffrey L. Probstfield, Professor \$ 3,643,212 Department of Medicine For: ACCORD-Memory In Diabetics Eff: 9/30/1999 Classified: No Total for National Institutes of Health (NIH): \$ 3,643,212 Total for US Department of Health and Human Services (DHHS): \$ 3,643,212 **Total for Federal:** \$ 3,643,212 **Total Contracts:** \$ 3,643,212 **Grand Total for all Awards** \$ 25,411,579

Grant and Contract Awards Summary

to

The Board of Regents

of the

University of Washington

for

May 2008

Office of Research

Office of Sponsored Programs

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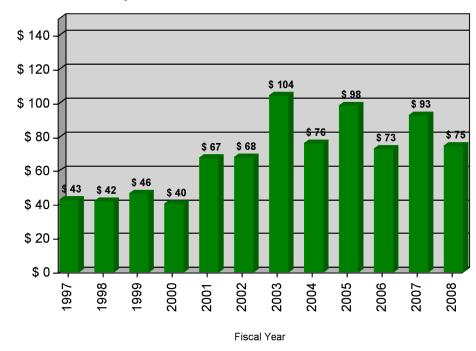
MAY 2008 HIGHLIGHTS

The National Science Foundation awarded a grant in the amount of \$53,561 for research under the direction of Howard Conway of the Department of Earth and Space Sciences entitled "Ice-flow History of Thwaites Glacier, West Antarctica." Thwaites Glacier, one of the main pathways for ice drainage in the Amundsen Sea drainage system in Antarctica, has recently widened and may continue to do so in the near future. This project will use ice-penetrating radar data compiled by the University of Texas during the 2005 glacial field season. This data will be reduced to maps of ice bed topography, surface topography, ice thickness and ice bed reflectivity. Using this information, the research will examine the inferred ice flow history of the subglacial environment and how this environment exerts control on the ice flow. This knowledge will assist is predicting future glacial changes in Antarctica.

The Bill and Melinda Gates Foundation awarded a grant in the amount of \$274,857 for a project under the direction of Lalita Ramakrishnan of the Department of Microbiology entitled "The Zebrafish Embryos as a Model for Tuberculosis Drug Discovery." TB treatment is difficult due to resistance which leads to long-term multidrug therapy for a cure. A roadblock to discovering an antibiotic treatment for TB has been creating relevant conditions in which to test drug activity. While drug testing in mice is useful, mice are expensive and time consuming. Zebrafish are model vertebrate organisms because of their transparency, their amenability for small molecule screens and their facile husbandry. Dr. Ramakrishnan will develop infected zebrafish embryos that will be used as a model for rapid in vivo screening of candidate compounds for use as tuberculosis antibiotics. This screening will help determine if the zebrafish will recapitulate aspects of anti-TB drugs in humans. The overall purpose is to determine if the zebrafish embryo can be used for tuberculosis drug discovery for improved treatment of TB globally.

The Committee for Children awarded a grant in the amount of \$621,515 for an evaluation under the direction of Eric Brown of the School of Social Work entitled "Committee for Children Steps to Respect Evaluation." The Committee for Children has developed a classroom-based curriculum to assist in the prevention of bullying at school. Dr. Brown and his colleagues will collect demographic and socioeconomic characteristics of participating schools in the study. His team will conduct baseline and follow-up assessments in the form of surveys to be completed by students. The team will also create a web-based data collection system for teachers' assessments of student behavior and social-emotional learning, and the teachers' instruction practices. The data will be analyzed jointly by Dr. Brown's team and the Committee for Children staff using statistical models in order to determine the efficacy and effectiveness of the intervention program.

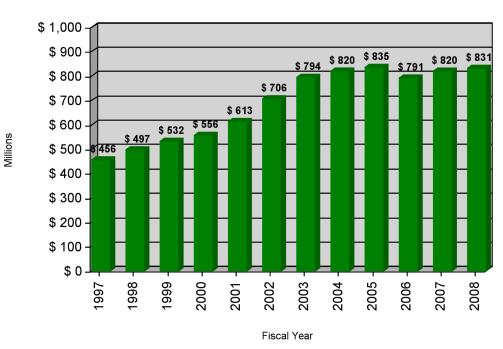
May Only
Comparison of Grant & Contract Awards



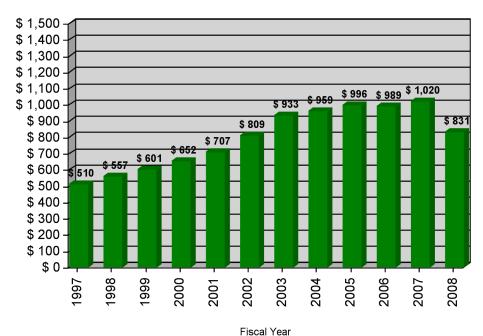
Millions

Millions

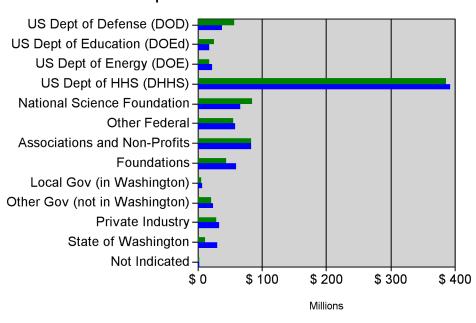
Fiscal Year to Date Comparison of Grant & Contract Awards



Complete Fiscal Year Comparison of Grant & Contract Awards



Fiscal Year to Date
Two-Year Comparison of Grant and Contract Awards



Summary of Grant and Contract Awards Fiscal Year 2007-2008

	RESEARCH AND OTHER		RESEARCH AND OTHER TRAINING			Total Grants and
Month	Federal	Non-Federal	Federal	Non-Federal	Contracts	
July	\$ 54,687,260	\$ 14,982,400	\$ 7,034,788	\$ 5,593,291	\$ 82,297,740	
August	\$ 111,303,400	\$ 21,120,610	\$ 10,878,860	\$ 1,041,935	\$ 144,344,800	
September	\$ 62,869,760	\$ 24,344,880	\$ 11,411,270	\$ 1,857,398	\$ 100,483,300	
October	\$ 75,921,240	\$ 38,888,260	\$ 6,410,827	\$ 2,183,915	\$ 123,404,200	
November	\$ 11,290,950	\$ 14,589,700	\$ 319,941	\$ 1,747,225	\$ 27,947,810	
December	\$ 16,090,690	\$ 16,532,470	\$ 385,596	\$ 1,928,891	\$ 34,937,650	
January	\$ 19,244,150	\$ 17,965,880	\$ 912,526	\$ 1,377,304	\$ 39,499,860	
February	\$ 27,736,200	\$ 18,007,790	\$ 1,215,807	\$ 1,929,782	\$ 48,889,580	
March	\$ 51,435,740	\$ 17,396,780	\$ 1,188,447	\$ 1,207,529	\$ 71,228,500	
April	\$ 58,855,320	\$ 19,785,490	\$ 4,528,914	\$ 510,223	\$ 83,679,950	
May	\$ 53,983,140	\$ 12,896,290	\$ 7,422,283	\$ 215,695	\$ 74,517,410	
FY08 to Date	\$543,211,698	\$216,716,758	\$51,237,139	\$20,065,303	\$831,230,899	
FY07 to Date	\$584,935,764	\$185,137,829	\$39,240,072	\$10,414,744	\$819,728,408	
Over (Under) Previous Year	(\$41,724,066)	\$31,578,930	\$11,997,067	\$9,650,559	\$11,502,490	

Comparison of Grant and Contract Awards by Agency Fiscal Years 2006-2007 and 2007-2008

Agency	Jul-May FY07	Jul-May FY08
US Department of Defense (DOD)	\$ 56,924,026	\$ 37,506,824
US Department of Education (DOEd)	\$ 24,723,066	\$ 18,411,362
US Department of Energy (DOE)	\$ 17,502,924	\$ 22,859,293
US Department of Health and Human Services (DHHS)	\$ 386,086,823	\$ 392,365,604
National Science Foundation (NSF)	\$ 84,178,989	\$ 65,826,830
Other Federal	\$ 54,760,008	\$ 57,478,924
Subtotal for Federal :	\$ 624,175,836	\$ 594,448,837
Associations and Non-Profits	\$ 83,470,698	\$ 82,671,127
Foundations	\$ 43,782,368	\$ 59,542,695
Local Government (in Washington)	\$ 5,353,485	\$ 6,373,514
Other Government (not in Washington)	\$ 21,583,507	\$ 24,017,145
Private Industry	\$ 28,773,923	\$ 33,639,926
State of Washington	\$ 12,254,246	\$ 30,165,850
Not Indicated	\$ 334,346	\$ 371,805
Subtotal for Non-Federal :	\$ 195,552,573	\$ 236,782,061
Grand Total :	\$ 819,728,408	\$ 831,230,899

Amount of Increase (Decrease) : \$ 11,502,490

Percent of Increase (Decrease): 1.4 %

Comparison of Grant and Contract Awards by School/College Fiscal Years 2006-2007 and 2007-2008

School/Colle	ge	Jul-May FY07	Jul-May FY08
Upper			
Campus	Architecture and Urban Planning	\$ 937,374	\$ 967,831
	Arts and Sciences	\$ 86,857,988	\$ 83,978,618
	Business Administration	\$ 113,418	\$ 740,458
	Computing & Communications	\$ 100,000	\$ 30,000
	Director of Libraries	\$ 2,229,727	\$ 2,054,839
	Education	\$ 13,476,440	\$ 2,972,805
	Educational Outreach	\$ 439,400	\$ 287,668
	Engineering	\$ 66,441,708	\$ 64,768,966
	Evans School of Public Affairs	\$ 5,867,189	\$ 4,023,485
	Executive Vice President		\$ 208,000
	Forest Resources	\$ 5,089,617	\$ 5,156,312
	Graduate School	\$ 4,887,831	\$ 1,808,653
	Information School	\$ 1,712,802	\$ 5,392,939
	Law	\$ 1,572,430	\$ 409,734
	Ocean and Fishery Sciences	\$ 83,979,615	\$ 82,651,164
	Office of Research	\$ 19,552,312	\$ 18,326,159
	Provost		\$ 200,000
	Social Work	\$ 13,608,948	\$ 12,593,867
	Undergraduate Education	\$ 1,250,161	\$ 363,372
	VP Educational Partnerships	\$ 131,347	\$ 43,745
	VP Minority Affairs	\$ 4,995,816	\$ 7,138,112
	Subtotal :	\$ 313,244,123	\$ 294,116,726
Health			
Sciences	Dentistry	\$ 8,347,722	\$ 10,782,531
	Health Sciences Admin	\$ 61,000	, , , , , , ,
	Medical Centers	\$ 1,000	
	Medicine	\$ 394,399,711	\$ 406,676,053
	Nursing	\$ 10,470,642	\$ 13,527,062
	Pharmacy	\$ 8,471,716	\$ 8,295,197
	Public Health and Community Medicine	\$ 66,847,725	\$ 66,884,239
	Subtotal:	\$ 488,599,514	\$ 506,165,082
Special			
Programs	Alcohol and Drug Abuse Institute	\$ 1,575,398	\$ 4,310,584
	CHDD Administration	\$ 8,947,004	\$ 7,571,760
	Regional Primate Center	\$ 6,449,250	\$ 16,722,351
	Subtotal :	\$ 16,971,652	\$ 28,604,695
Other UW			
Campuses	Bothell	\$ 680,323	\$ 1,301,517

School/Colleg	е		Jul-May FY07	Jul-May FY08
Other UW	Tacoma		\$ 232,796	\$ 322,285
Campuses		Subtotal :	\$ 913,119	\$ 1,623,802
Not Indicated	Not Indicated	_		\$ 720,593
		Subtotal :		\$ 720,593
		Grand Total :	\$ 819,728,408	\$ 831,230,899

List of Awards for Arts and Sciences Fiscal Years 2006-2007 and 2007-2008

Department	Jul-May FY07	Jul-May FY08
Center for Statistics and the Social Sciences	\$ 809,139	\$ 736,119
Center for Studies in Demography and Ecology	\$ 2,111,640	\$ 2,826,684
Department of Anthropology	\$ 139,029	\$ 249,016
Department of Applied Mathematics	\$ 1,726,247	\$ 483,105
Department of Asian Languages and Literature	\$ 91,760	\$0
Department of Astronomy	\$ 3,375,633	\$ 5,236,019
Department of Atmospheric Sciences	\$ 6,890,763	\$ 4,316,298
Department of Biology	\$ 10,026,780	\$ 5,886,833
Department of Chemistry	\$ 18,854,930	\$ 20,644,980
Department of Communication	\$ 1,541,100	\$ 1,395,680
Department of Comparative Literature	\$ 46,178	\$0
Department of Earth and Space Sciences	\$ 5,052,023	\$ 4,790,940
Department of Economics	\$ 24,800	\$ 6,575
Department of English	\$ 5,000	\$ 77,573
Department of Geography	\$ 180,909	\$ 336,787
Department of Germanics	\$ 53,000	\$ 98,000
Department of History	\$ 70,694	\$ 60,000
Department of Linguistics	\$ 107,931	\$ 409,740
Department of Mathematics	\$ 1,155,340	\$ 3,064,013
Department of Physics	\$ 10,249,440	\$ 11,668,640
Department of Political Science	\$ 566,198	\$ 1,552,855
Department of Psychology	\$ 9,149,072	\$ 8,572,845
Department of Romance Languages	\$ 6,000	\$0
Department of Scandinavian Studies	\$ 11,000	\$0
Department of Sociology	\$ 170,649	\$ 149,074
Department of Speech and Hearing Sciences	\$ 2,012,078	\$ 1,872,479
Department of Statistics	\$ 1,292,971	\$ 789,171
Department of Women Studies	\$ 23,592	\$ 55,000
Gaining Early Awareness and Readiness for Undergraduate Programs	\$ 750,000	\$ 790,660
Henry M. Jackson School of International Studies	\$ 5,163,831	\$ 3,575,825
Institute for Nuclear Theory	\$ 4,031,400	\$ 3,274,000
Language Learning Center	\$ 20,000	\$ 76,000
Law, Societies and Justice Program	\$ 90,017	\$0
Public Performing Arts	\$ 161,870	\$ 183,213
School of Art	\$ 48,000	\$0
School of Drama	\$0	\$ 75,000
School of Music	\$ 300,000	\$ 600,000
Thomas Burke Memorial Washington State Museum	\$ 548,981	\$ 125,500
9 of 17		

Department Jul-May FY07 Jul-May FY08

Total: \$86,857,980 \$83,978,620

Summary of Grant Awards

Fiscal Year 2007-2008

Excluding private awards from Foundations, Industry, Associations and Others

	RESEARCH	AND OTHER	TRAII	NING	
Month	Federal	Non-Federal	Federal	Non-Federal	Total Grants
July	\$ 54,190,780	\$ 1,031,886	\$ 7,034,788	\$ 0	\$ 62,257,460
August	\$ 106,524,500	\$ 2,487,815	\$ 10,875,880	\$ 199,000	\$ 120,087,200
September	\$ 54,737,540	\$ 855,616	\$ 11,410,000	\$ 0	\$ 67,003,160
October	\$ 69,439,460	\$ 3,387,818	\$ 6,360,827	\$ 194,787	\$ 79,382,900
November	\$ 7,672,178	\$ 2,205,321	\$ 319,941	\$ 21,146	\$ 10,218,590
December	\$ 13,839,270	\$ 1,071,375	\$ 385,596	\$ 203,738	\$ 15,499,980
January	\$ 16,138,890	\$ 3,928,468	\$ 624,062	\$ 108,039	\$ 20,799,460
February	\$ 24,350,010	\$ 1,202,499	\$ 1,215,807	\$ 0	\$ 26,768,320
March	\$ 47,558,410	\$ 3,106,175	\$ 1,188,447	\$ 7,500	\$ 51,860,530
April	\$ 52,960,060	\$ 1,425,991	\$ 4,504,414	\$ 32,000	\$ 58,922,460
May	\$ 47,763,140	\$ 323,848	\$ 7,422,283	\$ 0	\$ 55,509,260
Year to Date	\$ 495,174,200	\$ 21,026,810	\$ 51,342,040	\$ 766,210	\$ 568,309,300

Summary of Grant Awards

Fiscal Year 2007-2008

Private awards from Foundations, Industry, Associations and Others

Month	RESEARCH AND OTHER	TRAINING	Total Grants
July	\$ 5,463,786	\$ 223,067	\$ 5,686,853
August	\$ 12,792,000	\$ 604,407	\$ 13,396,400
September	\$ 16,434,390	\$ 237,863	\$ 16,672,250
October	\$ 25,846,500	\$ 258,263	\$ 26,104,770
November	\$ 6,912,596	\$ 240,676	\$ 7,153,272
December	\$ 9,944,877	\$ 1,380,140	\$ 11,325,020
January	\$ 8,045,577	\$ 700	\$ 8,046,277
February	\$ 10,692,720	\$ 493,319	\$ 11,186,040
March	\$ 8,725,010	\$ 942,268	\$ 9,667,278
April	\$ 11,726,810	\$ 152,967	\$ 11,879,780
May	\$ 5,394,955	\$ 168,915	\$ 5,563,870
Year to Date	\$ 121,979,200	\$ 4,702,585	\$ 126,681,800

Summary of Contract Awards Fiscal Year 2007-2008

	RESEARCH	AND OTHER	TRAII	NING	Total
Month	Federal	Non-Federal	Federal	Non-Federal	Contracts
July	\$ 496,481	\$ 8,486,723	\$ 0	\$ 5,370,224	\$ 14,353,430
August	\$ 4,778,947	\$ 5,840,805	\$ 2,977	\$ 238,528	\$ 10,861,260
September	\$ 8,132,220	\$ 7,054,878	\$ 1,273	\$ 1,619,535	\$ 16,807,910
October	\$ 6,481,776	\$ 9,653,934	\$ 50,000	\$ 1,730,865	\$ 17,916,580
November	\$ 3,618,770	\$ 5,471,781	\$ 0	\$ 1,485,403	\$ 10,575,950
December	\$ 2,251,427	\$ 5,516,219	\$ 0	\$ 345,013	\$ 8,112,658
January	\$ 3,105,263	\$ 5,991,835	\$ 288,464	\$ 1,268,565	\$ 10,654,130
February	\$ 3,386,189	\$ 6,112,575	\$ 0	\$ 1,436,463	\$ 10,935,230
March	\$ 3,877,332	\$ 5,565,595	\$ 0	\$ 257,761	\$ 9,700,688
April	\$ 5,895,264	\$ 6,632,691	\$ 24,500	\$ 325,256	\$ 12,877,710
May	\$ 6,220,002	\$ 7,177,491	\$0	\$ 46,780	\$ 13,444,270
Year to Date	\$ 48,243,670	\$ 73,504,530	\$ 367,214	\$ 14,124,390	\$ 136,239,800

Report of Grant and Contract Awards of \$1,000,000 or More

May 2008

Requiring action of
The Board of Regents
of the

University of Washington

Office of Research
Office of Sponsored Programs

ederai			
US Departme	ent of Energy (DOE)		
US Depa	rtment of Energy (DOE)		
To:	Wick C. Haxton, Director Institute for Nuclear Theory		\$ 2,600,000
For:	{GC-1 #A11083, 1st Revision} Institute for Nuclear	Theory	
Eff:	2/1/2000	Classified: No	
Total	for US Department of Energy (DOE):		\$ 2,600,000
Total for	US Department of Energy (DOE):		\$ 2,600,000
US Departme	ent of Health and Human Services (DHHS)		
Health R	esources and Services Administration (HRSA)		
То:	King K. Holmes, Professor Department of Medicine		\$ 2,668,564
For:	International AIDS Education and Training Center		
Eff:	4/1/2008	Classified: No	
Total	for Health Resources and Services Administration	(HRSA):	\$ 2,668,564
National	Institutes of Health (NIH)		
То:	David L Eaton, Professor Department of Environmental and Occupational Hea	alth Sciences	\$ 1,478,096
For:	Center for Ecogenetics and Environmental Health		
Eff:	4/1/2008	Classified: No	
То:	Wilhelmus G.J. Hol, Professor Department of Biochemistry		\$ 2,265,689
For:	Medical Structural Genomics of Pathogenic Protozo		
Eff:	4/1/2006	Classified: No	
Natio	nal Cancer Institute (NCI)		
То:	Kenneth A Krohn, Professor Department of Radiology		\$ 1,978,463
For:	Molecular Imaging of Cancer and Its Response to T		
Eff:	3/1/2008	Classified: No	
	Total for National Cancer Institute (NCI):		\$ 1,978,463
Natio	nal Human Genome Research Institute (NHGRI)		
To:	Robert H Waterston, Professor Department of Genome Sciences		\$ 1,324,188
For:	Global Identification of transcribed elements in the C	C. elegans	
Eff:	4/1/2008	Classified: No	
	Total for National Human Genome Research Institu	te (NHGRI):	\$ 1,324,188
Natio	nal Institute of Environmental Health and Science (NIE	HS)	
To:	Harvey Checkoway, Professor Department of Environmental and Occupational Hea	alth Sciences	\$ 2,735,488
For:	Effects-Related Biomarkers of Toxic Exposures		
Eff:	4/1/2008	Classified: No	
	Total for National Institute of Environmental Health (NIEHS):	and Science	\$ 2,735,488
Total	for National Institutes of Health (NIH):		\$ 9,781,924

Total for	US Department of Dieteailt lo fa Pid li Hirch Caran Steht violest s (DHHS):	\$ 12,450,488
leral		
US Departme	ent of Health and Human Services (DHHS)	
National Scient	ence Foundation (NSF)	
National	Science Foundation (NSF)	
То:	James H Morison, Apl-principal Oceanographer Applied Physics Laboratory	\$ 1,152,643
For:	North Pole Environmental Observatory	
Eff:	6/1/2004 Clas	ssified: No
Total	for National Science Foundation (NSF):	\$ 1,152,643
Total for	National Science Foundation (NSF):	\$ 1,152,643
Other Federa	I	
EPA Offic	ce of Research and Development (ORD)	
To:	Timothy Larson, Adjunct Professor Department of Environmental and Occupational Health S	\$ 1,199,217 ciences
For:	SOURCES, COMPOSITION, AND HEALTH EFFECTS C COARSE PARTICULATE MATTER)F
Eff:	3/1/2008 Clas	ssified: No
Total	for EPA Office of Research and Development (ORD):	\$ 1,199,217
Total for	Other Federal:	\$ 1,199,217
Total for Fed	eral:	\$ 17,402,348

\$ 17,402,348

Total Public Grants:

Detail of Contract Awards

Federal

US Departme	ent of Defense (DOD)		
Office of	Naval Research (ONR)		
То:	Jeffrey A. Simmen, Director Applied Physics Laboratory		\$ 3,015,350
For:	APL Research Infrastructure		
Eff:	9/21/2006	Classified: No	
Total	for Office of Naval Research (ONR):		\$ 3,015,350
Total for	US Department of Defense (DOD):		\$ 3,015,350
US Departme	ent of Health and Human Services (DHHS)		
National	Institutes of Health (NIH)		
Natio	nal Library of Medicine (NLM)		
То:	Sherrilynne S0 Fuller, Associate Dean Of Li Library	brary	\$ 1,933,387
For:	National Network of Libraries of Medicine, F	Pacific NW Region	
Eff:	8/1/2006	Classified: No	
	Total for National Library of Medicine (NLM,) <i>:</i>	\$ 1,933,387
Total	for National Institutes of Health (NIH):		\$ 1,933,387
Total for	US Department of Health and Human Servi	ces (DHHS):	\$ 1,933,387
Total for Fed	eral:		\$ 4,948,737
otal Contracts:			\$ 4,948,737
Grand Total for a	III Awards		\$ 22,351,085

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Architectural Commission Membership Appointment

RECOMMENDED ACTION:

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the President be delegated authority to re-appoint Norman Pfeiffer to the University of Washington Architectural Commission commencing immediately and ending in October 2012.

BACKGROUND:

The revised (2002) "Statement of Organization and Function" of the University of Washington Architectural Commission provides that the membership of the Commission include eleven members, four of whom are professional members who shall be registered architects, landscape architects, or qualified city planners; and one of whom is a student member jointly recommended by the President of the Associated Students of the University of Washington (ASUW) and Graduate and Professional Student Senate (GPSS).

Norman Pfeiffer is a native of Washington State, and a founding Partner of Hardy Holzman Pfeiffer Associates (HHPA). In 1986, he established HHPA's Los Angeles office and personally directed the design and construction of a number of significant educational, civic, cultural, and commercial projects, including the design of the *Hult Center for the Performing Arts* in Oregon; the master plan and design of the new *Soka University of America* campus in Southern California; the *DeBartolo Center for the Performing Arts* at the *University of Notre Dame* in Indiana; the complete historic restoration of *Stanford University's Memorial Church* as well as restoration and seismic upgrade work at the University's *Language Corner* and *Encina Hall*; the new *Vilar Center for the Arts* in Beaver Creek, CO; the *Colburn School of Performing Arts* in Los Angeles; a new laboratory at the *Scripps Institute of Oceanography* at the *University of California-San Diego* in La Jolla.

Mr. Pfeiffer himself has completed several of that city's most beloved civic landmarks with his historic restoration and expansion of the *Los Angeles Public Library: Central Library*; expansion of the *Los Angeles County Museum of Art*; restoration of the main lobby of *Los Angeles City Hall*, and the refurbishment and expansion of another historic landmark, *Griffith Observatory*.

<u>Architectural Commission Membership Appointment</u> (continued p. 2)

Additionally, Mr. Pfeiffer has directed design of the following projects: *Evo A. DeConcini Federal Building and United States Courthouse* in Tucson, AZ; the master plan for the new *California State University-Channel Islands* campus; *University of Otago Library and Information Services Building*; the *RiverCenter*

for the Performing Arts in Columbus, Georgia; and the master plan and redevelopment of two campuses for Pima County Community College in Arizona.

Mr. Pfeiffer has served on the Architectural Commission since 1989; and during his long tenure has made numerous positive contributions to the quality of the University campus.

The Chair of the Architectural Commission concurs with this recommendation.

The other current members of the Commission are:

Daniel Friedman (Chair) – Dean, College of Architecture and Urban Planning Lee Copeland – Architectural Advisor Stephen Kieran – Kieran Timberlake, Philadelphia, PA (Term expires 10/09) Linda Jewell – Freeman & Jewell, Berkeley, CA (Term expires 10/11) Cathy Simon – SMWM, San Francisco, CA (Term expires 10/10) John Schaufelberger – Faculty Member

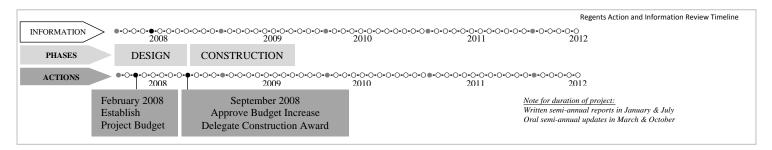
ex officio:

V'Ella Warren – Senior Vice President, Finance and Facilities Richard Chapman – Associate Vice President, Capital Projects Office Dale Cole – Chair, University Landscape Advisory Committee

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

<u>Benjamin D. Hall Interdisciplinary Research Building Institute for Advanced Materials Technology Laboratory Tenant Improvements – Project Budget</u> Increase of 10% or more



RECOMMENDED ACTION:

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the Benjamin D. Hall Interdisciplinary Research Building Institute for Advanced Materials Technology Laboratory (iAMT) Tenant Improvements project budget be increased from \$5,350,100 to \$6,610,000 and that the President be delegated authority to award a construction change order to the firm of M. A. Mortenson Company subject to no further significant changes in the scope, the forecast cost being within 10% of the revised budget and funding being in place.

BACKGROUND:

This project will construct 14,023 useable square feet of office and laboratory space on the sixth floor and basement for the Institute for Advanced Materials Technology Laboratory in the Ben D. Hall Interdisciplinary Research Building. The laboratory space is for a group of at least six principal investigators implementing heavy wet laboratory research.

A Design Build Operate Maintain (DBOM) contract was awarded to M. A. Mortenson on June 22, 2004 to design and construct the core and shell of the Ben D. Hall Interdisciplinary Research (formerly known as the Research and Technology) Building; design and construct initial and future tenant improvements, provide utility services, operation, maintenance, and building management for 30 years.

Benjamin D. Hall Interdisciplinary Research Building Institute for Advanced Materials Technology Laboratory Tenant Improvements – Project Budget Increase of 10% or more (continued p. 2)

Design and construction will be performed by the same design build team (M.A. Mortenson, Chernoff-Thompson and McKinstry) that performed the core and shell work. Chernofft-Thompson was the laboratory consultant for the original core & shell building. M. A. Mortenson has a long history with UW projects including the construction of Paul G. Allen Center for Computer Sciences & Engineering and the recent renovation of Architecture Hall.

The project budget has increased for several reasons. The amount of useable square feet has increased by 523 square feet. The original budget was based upon a typical 60% - 70% laboratory and 30% - 40% office ratio of spaces for this building. To maximize the available space for research this project is composed of about 90% laboratory space and 10% office space. In addition, the laboratory space is designed as a heavy wet laboratory configuration with a high density of lab benches, equipment, and fume hoods.

Design on the project has progressed to the Design Development phase and is anticipated to be complete in October. Construction will begin in December 2008, with completion and occupancy in August 2009.

Project funding of \$6,610,000 is from University General Revenue Bonds with debt service to be paid from Indirect Cost Revenue. Sales tax is not included in the budget as this research tenant will qualify for tax deferral status.

Attachment:

Capital Projects Office Project Budget Summary

UNIVERSITY OF WASHINGTON CAPITAL PROJECTS OFFICE - SUMMARY PROJECT BUDGET ALTERNATIVE PROCUREMENT (GC/CM OR D-B)

PROJECT: Ben Hall Advanced Materials Lab (iAMT) Tenant Improvement

Project Number 202509

ESTIMATED DATE OF COMPLETION: August 2009

	Current Approved	Forecast Cost
	Budget	at Completion
BUDGET SUMMARY:		
A. ACQUISITION		
B. CONSULTANT SERVICES		
1. PREDESIGN		
2. BASIC DESIGN SERVICES		
3. EXTRA SERVICES/REIMBURSABLES	20.000	20.000
4. OTHER SERVICES 5. DESIGN SERVICES CONTINGENCY	30,000	30,000
TOTAL CONSULTANT SERVICES	30,000	30,000
C. PRIMARY CONSTRUCTION CONTRACTS		
1. MAX.ALLOW.CONST.COST (MACC)		
2. GC/CM OR DB COSTS	4,850,000	5,993,067
3. SALES TAX ON CONSTRUCTION		
GUARANTEED CONTRACT COST		
4. CONSTRUCTION CONTINGENCY		
5. SALES TAX ON CONTINGENCY		
TOTAL CONSTRUCTION COSTS	4,850,000	5,993,067
D. EQUIPMENT	220,000	220,000
E. ARTWORK		
F. OTHER COSTS	25,100	66,933
G. PROJECT ADMINISTRATION	225,000	300,000
H. RELATED PROJS/MITIGATION		
TOTAL PROJECT BUDGET	5,350,100	6,610,000
SOURCE OF FUNDS:		
UW BUILDING ACCOUNT/BRF		
UNIVERSITY GENERAL REVENUE BONDS	5,350,100	6,610,000
UW NON-STATE REVENUE FEDERAL FUNDING		
TOTAL SOURCE OF FUNDS	5,350,100	6,610,000

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority

Pursuant to the Standing Orders of the Board of Regents, Delegation of Authority, and to the delegation of authority from the President of the University to the Senior Vice President for Finance and Facilities in Administrative Order No. 1, to take action for projects or contracts that exceed \$1,000,000 in value or cost but are less than \$5,000,000, the Administration may approve and execute all instruments.

1. Report of Actions Taken Under General Delegated Authority

CAPITAL PROJECTS

<u>Project Name</u>: UWMC Fire Alarm Replacement, Project No. 201412 Action Reported: Contract Award

On June 18, 2008, a construction contract was awarded to EHS Electrical Contractors LLC for the UWMC Fire Alarm Replacement project. Three bids were received and the low bid was \$557,819 versus a budgeted value of \$642,636 for this work. The high bid was \$750,357. EHS Electrical Contractor, a Seattle based firm founded in 1935, is a full service electrical construction company with approximately 120 employees. EHS is currently the electrical contractor for the H-Wing Renovation and has among past projects at the University of Washington Mary Gates, Meany, Johnson, and Guggenheim Halls.

This project is an overall fire alarm replacement effort to replace the existing fire alarm system for the University of Washington Medical Center and Wings AA, BB, RR of the Magnuson Health Sciences Center. The intent had been that as funding becomes available, the project would install an addressable fire alarm system that is fully integrated with existing addressable fire alarm system components and existing building infrastructure (HVAC, elevators, etc.) systems. This construction contract is for Phase 1 of the overall fire alarm replacement project; it consists of the replacement of two of the six fire alarm loops in UWMC.

The project budget is \$1,611,841. Funding is available from the University of Washington Medical Center.

Actions Taken Under General Delegated Authority (continued p. 2)

Budget Summary:	Current Apprv'd Budget	Forecast Cost At Completion
Total Consultant Svcs	\$468,836	\$430,379
Total Construction Cost*	\$866,926	\$767,058
Other Costs	\$140,106	\$137,188
Project Administration	\$135,973	\$135,973
Total Project Budget	\$1,611,841	\$1,470,598

^{*} Includes construction contract amount, contingencies and state sales tax

<u>Project Name</u>: Magnuson Health Sciences Center BB-Wing Department of Anesthesiology Renovation, Project No. 202198 Action Reported: Construction Contract Award

On July 2, 2008, a construction contract was awarded to CDK Construction Services Inc. (CDK) of Duvall, Washington, in the amount of \$444,800 for the Magnuson Health Sciences Center (MHSC) BB-Wing Department of Anesthesiology Renovation, Project No. 202198. Five bids were received for this project; the highest bid was \$559,000. The budgeted construction cost was \$437,888.

CDK is a general contractor that has successfully completed numerous projects for the University of Washington (UW), including other projects within the Magnuson Health Sciences Center. Projects at the UW include the MHSC D-Wing 209 Lecture Hall Remodel, MHSC T-Wing 439 Lecture Hall Capacity Increase, UW Medical Center Cardiac Procedure Remodel, Merrill Hall Reconstruction, and MHSC T-Wing Fifth Floor School of Medicine Teaching Space. CDK is currently working on the MHSC D-Wing 165 Simulation Station Expansion for the School of Dentistry.

The goal of this project is to provide a better sense of identity and a departmental "home" for faculty, staff and students who are currently located in decentralized locations. Included in this vision are goals to improve security and privacy, enhance the distribution of natural light, maximize views and provide a better sense of arrival and way finding for staff and guests. This particular project represents the first phase of a four phase \$2.8 million master planned renovation envisioned for implementation over the next three years.

Construction activities began on July 22, 2008 with completion anticipated in mid- November 2008 for occupancy in late-November 2008.

Actions Taken Under General Delegated Authority (continued p. 3)

Funding for this project is being provided by the Department of Anesthesiology and the School of Medicine.

Estimated Budget Summary	Current Approved Budget	Forecast Cost at Completion
Total Consultant Services	\$ 206,858	\$ 162,461
Total Construction Cost *	\$ 572,231	\$ 588,726
Other Costs	\$ 135,450	\$ 135,166
Project Administration	\$ 85,461	\$ 85,461
Total Project Budget	\$ 1,000,000	\$ 971,814

^{*} Includes construction contract amount, contingencies and state sales tax

ACQUISITION OF GOODS AND SERVICES

Project Name: Applied Physics Lab

Action Reported: Change Orders to 58' Research Vessel Purchase

In May, 2007, a purchase order was issued to Howard Moe Enterprises to construct a 58' research vessel for the UW Applied Physics Laboratory (APL). The purchase was reported to the Board of Regents in June, 2007. Change orders totaling \$123,253 have been issued during the course of construction, increasing the total "not to exceed" contract amount to \$1,323,253. The vessel was scheduled for completion in August, 2008.

The need for these change orders was identified after issuance of the original contract and will maximize the operational capabilities of the vessel. The changes include a larger generator, an additional fuel tank, a fuel transfer system to control trim, an additional control station, and a stability booklet to ensure safer operation under various load conditions. Pricing for the change orders has been determined to be fair and reasonable.

Funding for this purchase is available through the APL Prorated Direct Cost Pool, which is funded by a federally approved rate allocated to APL's federal grants and contracts.

Actions Taken Under General Delegated Authority (continued p. 4)

Project Name: UW Medicine

Action Reported: Online Record of Clinical Activity (ORCA) Software Licenses

UW Medicine has purchased additional software licenses to accommodate growth in the use of the ORCA system at UW Medical Center and Harborview Medical Center. A purchase order has been issued to Cerner Corporation for the incremental software licenses in an amount not to exceed \$1,625,000, plus sales tax.

When the original contract was signed in 2001, software license fees included 5,000 active users. A post-implementation audit revealed that system usage has now increased to more than 10,000 active users at both medical centers. Since software license fees are based on number of active users, UW Medicine is obligated to pay for additional usage.

Funding for the software licenses is being provided by the ORCA Project budget.

Project Name: UW Medicine

Action Reported: Electrophysiology Lab Equipment Purchase

The UW Medical Center (UWMC) Regional Heart Center's 2002 Strategic Plan included upgrades to facilities and equipment to meet growing patient needs, and for state of the art care. Over the past four years, the Cardiac Cath Labs and one Electrophysiology (EP) lab were upgraded.

This purchase will complete the upgrade with a new EP digital Bi-Plane lab. To maintain compatibility and integration with the other equipment purchased for this upgrade and to standardize with another EP lab, equipment from GE Healthcare was selected on a sole source basis.

The total contract amount for the EP Bi-Plane system and upgrade to the Cardiolab IT Electrophysiology system is not to exceed \$1,575,000, plus sales tax. UWMC participates in a national pricing organization that evaluates proposed purchases and compares new quotations to recent purchases by other hospitals. The pricing for the GE Healthcare EP Bi-Plane lab and upgrades is considered competitive.

Funding for the purchase is available from the UWMC capital equipment budget.

Actions Taken Under General Delegated Authority (continued p. 5)

Project Name: School of Oceanography

Action Reported: Oceanographic Floats Purchase

The UW School of Oceanography uses oceanographic floats as part of a global ocean observing system similar to the atmospheric observing system used to predict weather. The floats drift and collect profiles of temperature, salinity, and other parameters, then transmit their data to a satellite. The data are transmitted from the satellite to our laboratory and are made publicly available in real-time. These data are being used by a number of groups worldwide to improve ocean models, and to do ocean prediction.

A sole source contract was issued to Teledyne Webb Research based on continuity of research and compatibility with numerous other floats previously purchased for this project. The price for the floats has been determined to be fair and reasonable. The total contract price is not to exceed \$1,350,000 and is exempt from sales tax.

Funding for this purchase is from a federal grant from the National Oceanographic and Atmospheric Administration (NOAA).

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Metropolitan Tract Performance Report

For the quarter ended June 30, 2008

METROPOLITAN TRACT

PERFORMANCE REPORT

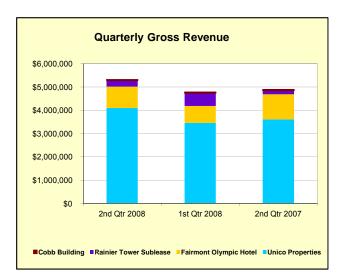
For the Quarter Ended June 30, 2008

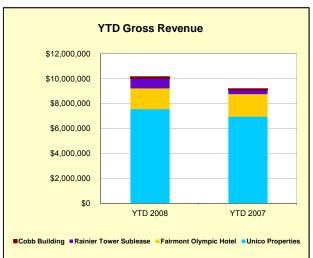


Finance, Audit & Facilities Committee

September 18, 2008

CONSOLIDATED METROPOLITAN TRACT PROPERTIES Quarterly Summary Quarter Ending June 30, 2008





CURRENT QUARTER							
	Prior Qtr Prior Year Change from Prior Qtr Change from Prior Year						
Gross Revenue to University	2nd Qtr 2008	1st Qtr 2008	2nd Qtr 2007	\$	%	\$	%
Unico Properties	4,097,190	3,460,788	3,613,108	636,402	18.4%	484,082	13.4%
Rainier Tower Sublease	266,874	554,882	162,197	(288,009)	(51.9%)	104,677	64.5%
Fairmont Olympic Hotel	921,853	730,590	1,079,398	191,263	26.2%	(157,545)	(14.6%)
Cobb Building	38,500	38,500	38,500	0	0.0%	0	0.0%
TOTAL GROSS REVENUE	\$5,324,417	\$4,784,760	\$4,893,203	\$539,657	11.3%	\$431,214	8.8%

		CALENDAR YE	ANNUAL			
Gross Revenue to University	YTD 2008	YTD 2007	Change from I	Prior YTD %	Projected 2008	Actual 2007
Unico Properties	7,559,313	6,951,891	607,422	8.7%	15,481,600	13,950,835
Rainier Tower Sublease	821,756	355,107	466,650	131.4%	NA^3	294,432
Fairmont Olympic Hotel	1,652,443	1,801,624	(149,182)	(8.3%)	3,730,825	3,723,059
Cobb Building	115,500	77,000	38,500	50.0%	154,000	154,000
TOTAL GROSS REVENUE	\$10,149,012	\$9,185,622	\$963,390	10.5%	\$19,366,425	\$18,122,326

12/31/07 INTERNAL VALUATION						
2007 Gross Market Value 2006 Gross Market Value						
Unico Managed Properties ¹	\$501,700,000	\$488,197,000				
Fairmont Olympic Hotel	\$168,300,000	\$192,700,000				
Cobb Building ²	\$2,200,000	\$2,200,000				
TOTAL	\$672,200,000	\$683,097,000				

¹ Includes the Rainier Tower Sublease

² Represents UW's land value; not improvements

CONSOLIDATED METROPOLITAN TRACT PROPERTIES

Quarterly Summary Quarter Ending June 30, 2008

CONSOLIDATED METROPOLITAN TRACT SUMMARY

The Metropolitan Tract generated \$5.6M of revenue during the second quarter of 2008. This is up 18.2% from the first quarter of 2008 and a 15.6% increase from a year ago. The increase in revenue is due to an early termination fee paid by Depuy Orthopedics in April, the 2008 real estate taxes being billed to tenants in June, and billing for the UW Rainier Tower Sublease rent increase in June. On a quarter-to-quarter basis, all contributors showed an increase. From a year-ago perspective, the Fairmont Olympic Hotel is the only entity showing a loss, consistent with the fall off of the hotel industry in general.

UNICO PROPERTIES

The 2nd quarter's gross revenue from the Unico Properties was up 18.4% from the first quarter of 2008, generating just over \$4M. Office and Retail were both up, but Parking income fell 1.5%. Compared to the year-ago quarter, we see growth of 15.6%, with all contributors increasing revenue.

RAINIER TOWER SUBLEASE

The Rainier Tower Sublease generated \$597,221 in operating cash flow during the second quarter. Due to Marsh's occupancy of approximately 100,000 sf this is a notable increase from the previous quarter and previous year -to-date. The upswing is thanks to successful leasing, placing the Sublease at 89% occupied. On the other hand, while the operating cash flow is trending more positive, the University has invested approximately \$4 million in tenant improvements. In addition, the Sublease rent was also adjusted pursuant to a sublease provision which calls for a rent increase every ten years commensurate with the increase in land value under Rainier Tower. This increase was determined during arbitration by a third party in accordance with the Sublease. A significant portion of this rent increase will be offset by remimbursements from the subtenants pursuant to their subleases.

FAIRMONT OLYMPIC HOTEL

The second quarter of 2008 saw an increase over first quarter but still fell short of the revenue seen in 2007. The second quarter yield of \$921,853 is an increase of 26.2% from first quarter due to the start of the hotel industury's busy season. Compared to a year ago, the hotel's revenues were low, posting a 14.6% decrease. Several factors contributed to the decrease, most notably the use of 40+ rooms by the Saudi Family in the spring of 2007. Food & Beverage revenue also saw a decrease in revenue stemming from two major catering events not materializing in 2008 as they have done in previous years.

INTERNAL VALUATION

The numbers presented represent the overall value of the real estate as estimated by Heitman and Urbis Partners as of 12/31/07, but do not account for the leasehold encumbrances.

MARKET UPDATE

OFFICE MARKET

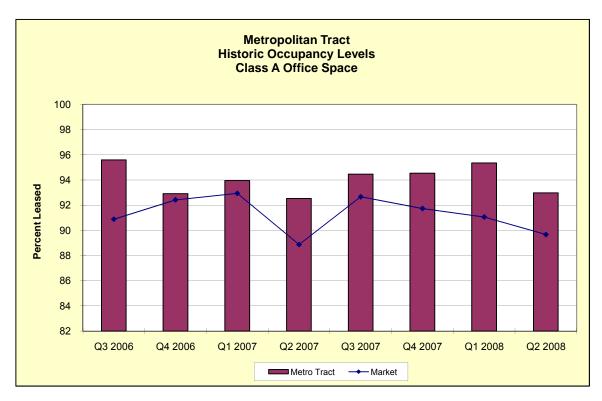
The Seattle CBD market continues to hold its breath. With a vacancy rate hovering at just under 10%, very slight negative absorption and rental rates that are changing by pennies, the CBD has seen little growth but also little decline. And while Seattle hovers in all areas, the Eastside is seeing positive results across the board. Almost 90% of new space coming available in Bellevue and the surrounding area is pre-leased, this in comparison to Seattle's market only seeing 5% of its under-construction office space pre-leased. Rental rates for the Eastside continue to sit higher on the charts than Seattle. CBD Class A office rents are fluctuating around \$35.00 per square foot with the market sustaining rates of over \$45.00 per square foot for Class A premium projects. With approximately 3 million square feet of new buildings currently under construction downtown, it is likely that the market will continue to stagnate for the next 24 months as these projects come on line and the space is absorbed.

HOTEL MARKET

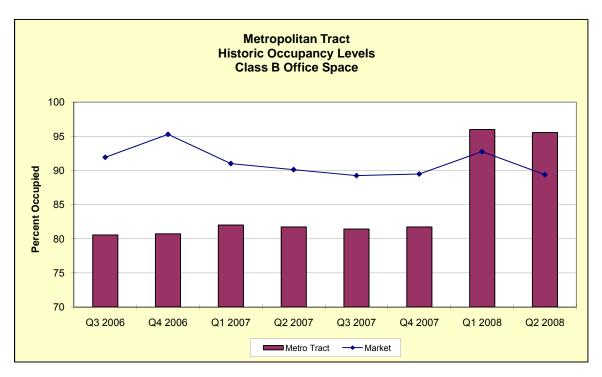
The hotel development market is seeing moderate growth through 2008 with a number of hotels in the planning phase and only one major project nearing completion. The Four Seasons continues construction with an opening date at the end of the year. 1Hotel remains on hold with the developer, Starwood Capital Group, confirming it is still on track to start construction but with no date set. Multi Capital Group's multi-use two-tower complex at 5th Avenue and Virginia/Stewart is also on hold pending the need for some additional financial investors. Many Seattle area hotels have seen a decline in guests but are combating the trend with careful budgeting and smart staffing decisions. While the market is seeing some unwelcome changes, developers and hotel managers alike remain hopeful that the economy will experience good things through the rest of 2008.

OFFICE BUILDING OCCUPANCY COMPARISON CHARTS

Last Eight Quarters Quarter Ending June 30, 2008



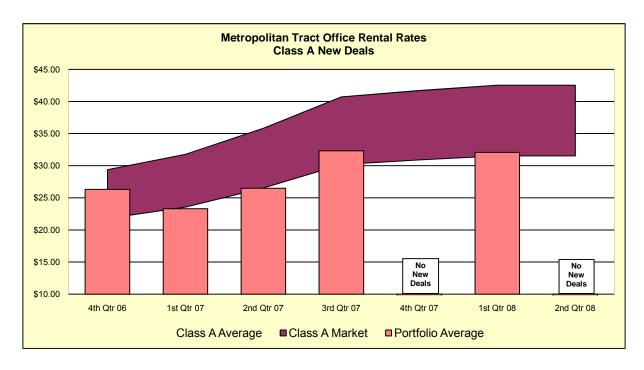
Includes Rainier Tower, Puget Sound Plaza, IBM Building and Financial Center

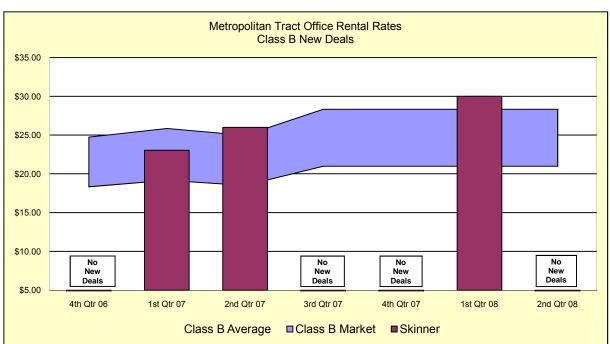


Includes Skinner Building

OFFICE BUILDING RENTAL RATE COMPARISON

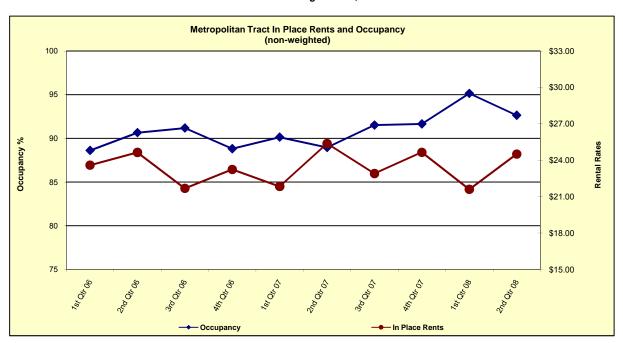
Last Seven Quarters Quarter Ending June 30, 2008



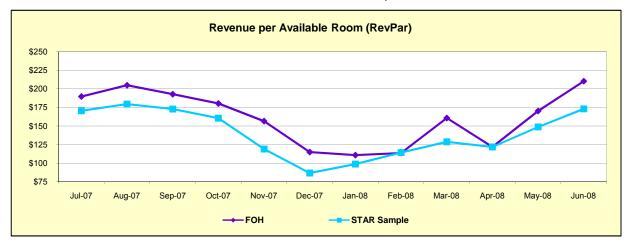


OFFICE BUILDING RENTAL RATE COMPARISON

Last 3 Years Quarter Ending June 30, 2008

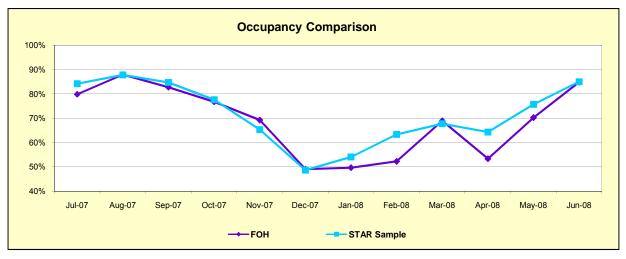


FAIRMONT OLYMPIC HOTEL MARKET COMPARISONS Twelve Months Ended June 30, 2008



RevPar			Change from Prior Year
12-months Average	Jun-08	Jun-07	\$
FOH	\$160.51	\$171.78	(\$11.27)
STAR Sample Avg <1>	\$139.60	\$150.81	(\$11.21)

RevPar			Change from Prior Year
Current Month Ended	Jun-08	Jun-07	%
FOH	\$210.26	\$201.22	\$9.04
STAR Sample Avg <1>	\$173.00	\$171.37	\$1.63

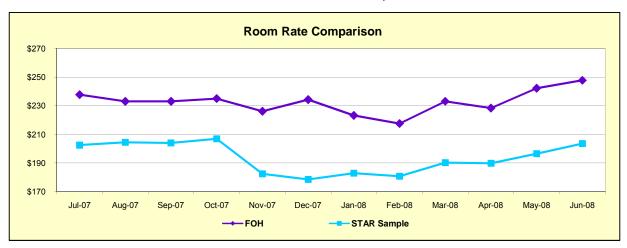


Occupancy			Change from Prior Year
12-months Average	Jun-08	Jun-07	%
FOH	68.7%	72.5%	(3.8%)
STAR Sample Avg <1>	71.5%	75.0%	(3.5%)

Occupancy			Change from Prior Year
Current Month Ended	Jun-08	Jun-07	%
FOH	84.8%	84.9%	(0.1%)
STAR Sample Avg <1>	85.0%	86.1%	(1.1%)

<1> Information is based on Smith Travel Research (STAR) Report for the Sheraton Hotel, Hotel Vintage Park, Hotel Monaco, Seattle Alexis, Sorrento, Westin, W Hotel, Madison and Inn at the Market.

FAIRMONT OLYMPIC HOTEL MARKET COMPARISONS Twelve Months Ended June 30, 2008



Average Daily Rate			Change from Prior Year
12-months Average	Jun-08	Jun-07	\$
FOH	\$232.65	\$234.01	(\$1.36)
STAR Sample Avg <1>	\$193.52	\$199.36	(\$5.85)

Average Daily Rate			Change from Prior Year
Month Ended	Jun-08	Jun-07	\$
FOH	\$247.82	\$237.10	\$10.72
STAR Sample Avg <1>	\$203.47	\$199.01	\$4.46

<1> Information is based on Smith Travel Research (STAR) Report for the Sheraton Hotel, Hotel Vintage Park, Hotel Monaco, Seattle Alexis, Sorrento, Westin, W Hotel, Madison and Inn at the Market.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Investment Performance Report, Fourth Quarter

Fiscal Year 2008

University of Washington

Investment Performance Report to the Board of Regents

FOURTH QUARTER FISCAL YEAR 2008

As of June 30, 2008



Treasurer, Board of Regents
Treasury Office

Published August 2008

Table of Contents

Treasury Assets
Asset Allocation
Consolidated Endowment Fund
Performance Update
Invested Funds
Summary of Actions Taken Under Board Delegated Authorities January 1, 2008 through August 15, 2008

Treasury Assets 1

As of 6/30/08-\$3.3 Billion

Dollars in Millions



Endowment & Similar Funds

Endowment Funds	\$1,715
Operating Funds	446
Consolidated Endowment Fund	2,161
Life Income Trusts	85
Outside Trusts	53
Non-CEF Endowments	19
Permanent Fund ⁶	29
	\$2,347

Operating & Reserve Funds

Invested Funds ^{2, 3}	\$869
Bond Retirement Fund ⁴	11
Building Fund ⁴	26
Debt Service Reserve Funds ⁵	8
Bond Proceeds ⁷	38
	\$ 952

- 1. Includes assets whose management falls under the auspices of the Finance & Audit Committee of the Board of Regents. Excludes Metro Tract and Forest Trust Lands. All dollar amounts are expressed in millions and represent market values.
- 2. The Invested Funds holds Consolidated Endowment Fund units valued at \$446. To avoid double counting, the dollars are included only in the CEF totals.
- 3. In June 2002, the Board of Regents authorized the establishment of a captive insurance company, Portage Bay Insurance(PBI). The previous insurance vehicle, the Self Insurance Revolving Fund(SIRF), will close after existing claims are resolved. Current balances: PBI \$74.2 SIRF \$3.0.
- 4. General obligation bond reserve fund on deposit with the state of Washington.
- 5. Required reserve funds for locally issued bonds (2002 Housing & Dining \$1.6, 2004 Housing & Dining \$0.6, 2004 Parking \$1.6, Roosevelt 1 \$2.3 and Roosevelt 2 \$2.0).
- 6. Proceeds from sale of land grants and subsequent investment returns on deposit with the state of Washington.
- 7. Construction project funds which have not yet been disbursed (IMA \$8.0, Genome/R&T \$12.4, Parking \$5.8, and 2007 General Revenue Bond \$12.0).

ASSET ALLOCATION

As of June 30, 2008

Consolidated Endowment Fund 1—\$2,161 MM



Dollars in Millions

Current Allocation	n ⁴		Policy Target	PolicyRange
Domestic Equity	\$310	14%	18%	10%–40%
International Developed Markets	\$355	16%	20%	10%–35%
International Emerging Markets	\$268	12%	10%	5%-15%3
Marketable Alternatives	\$408	19%	16%	5%–25%
Non-Marketable Alternatives	\$301	14%	12%	5%–20%
Equity Fund	\$1,642	76%	76%	60%–90%
Real Assets Fund	\$334	15%	12%	5%–20%
Fixed Income Fund ²	\$186	9%	12%	5%-35%
Total Consolidated Endowment Fund	\$2,161	100%		

^{1.} International exposure: 43%, net foreign currency exposure: 41%.

² Includes allocation to cash.

³ November 14, 2007 the Board of Regents approved expansion of the International Emerging Markets policy range to 5%–25%, through June 30, 2008.

Consolidated Endowment Fund (CEF)

For the Quarter ending June 30, 2008

Total Returns As of 6/30/08 1 (%)

	4 th Qtr			
	FY 2008	1-Year	5-Year	10-Year
Total CEF Return	8.0	1.9	14.4	9.7
Strategy Weighted Policy Benchmark	-0.5	0.9	12.5	8.1
Equity Fund	-0.2	-0.3	14.5	9.4
Weighted Policy Benchmark	-1.0	-2.9	13.8	8.0
Real Assets Fund	6.2	12.5	16.5	_
Policy Benchmark	4.0	16.9	15.1	_
Fixed Income Fund	0.5	4.6	4.2	5.9
Policy Benchmark (LB Govt Bond)	-1.9	9.7	3.8	5.7

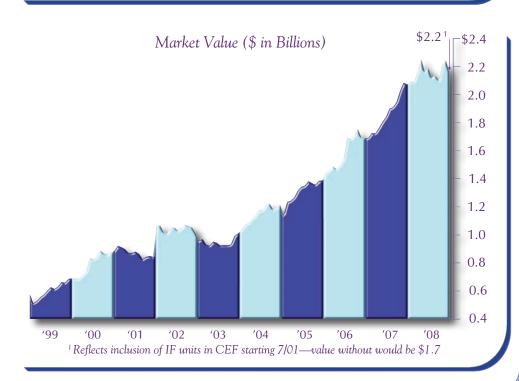
¹ Average Annual Compound Return

UW & Cambridge Associates 1 Returns for periods ending 6/30/08

Data not available at press time

Activity (\$ in Millions)

	FY 2008	FY 2007	FY 2006	5 Years	10 Years
Beginning Balance	\$2,074	\$1,690	\$1,388	\$1,010	\$581
Gifts	99	66	114	373	575
Transfers	8	9	22	56	102
Total Additions	107	75	137	429	677
Operating Fds Purchase	es 18	15	0	145	360
Net Investment Return	78	392	250	1,035	1,216
Distributions	(94)	(81)	(70)	(378)	(568)
Administrative Fees	(4)	(4)	(3)	(16)	(24)
Development Support	(17)	(14)	(12)	(63)	(81)
Ending Balance	\$2,161	\$2,074	\$1,690	\$2,161	\$2,161
Net Change	88	384	302	1,152	1,580



Performance Update

Total Return ¹ As of 6/30/08

	4 th Qtr FY '08	1 Year	3 Years	5 Years	10 Years
Consolidated Endowment Fund	0.8	1.9	14.0	14.4	9.7
Equity Fund	-0.2	-0.3	12.9	14.5	9.4
Domestic Equity	1.1	-10.8	5.7	9.0	6.6
Core Equity	-1.3	-13.6	4.5	8.5	5.8
Value Equity	2.9	-5.3	7.6	9.8	8.1
Growth Equity	7.1	-6.8	9.1	12.6	7.1
International Developed Markets	-2.4	-11.2	11.4	16.0	5.8
International Emerging Markets	-2.0	8.7	31.3	32.3	18.1
Marketable Alternatives	2.6	3.7	11.8	12.2	11.2
Non-Marketable Alternatives ²	-0.8	15.6	20.6	19.6	13.4
Real Assets Fund	6.2	12.5	17.1	16.5	
Fixed Income Fund	0.5	4.6	5.1	4.2	5.9
Market Indices					
Equity Indices					
S&P 500	-2.7	-13.1	4.4	7.6	2.9
Russell 3000	-1.7	-12.7	4.7	8.4	3.5
Russell 2000	0.6	-16.2	3.8	10.3	5.5
Dow Jones	-6.9	-13.3	5.8	7.2	4.5
NASDAQ	0.6	-11.9	3.7	7.2	1.9
MSCI ACWI ex US	-0.9	-6.2	16.2	19.4	7.7
Emerging Markets (IFCG)	-4.2	1.7	25.3	29.5	15.9
Fixed Income Indices					
LB Government Bond	-1.9	9.7	4.6	3.8	5.7
JP Morgan Global Hedged Bond Index	-2.3	6.1	3.3	3.6	5.3

Sources: Cambridge Associates and Northern Trust

¹ Total Return: average annual compound return (dividend or interest plus capital appreciation or depreciation)

² Provided by Cambridge Associates on a quarter lag.

Invested Funds (IF)

For the Quarter ending June 30, 2008

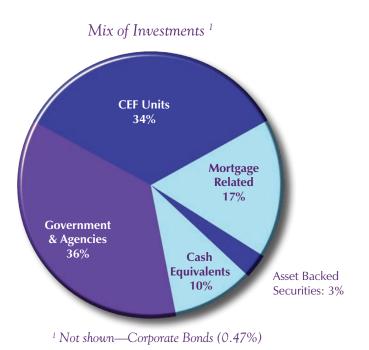
Total Return 1 (%)

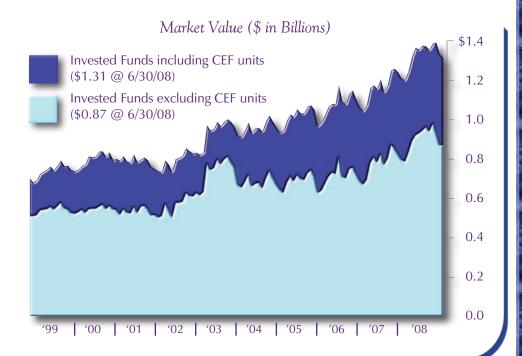
	4 th Qtr <u>FY 2008</u>	1-Year	5-Year	10-Year
Cash	-0.6	7.5	3.3	5.0
Citi 2 Yr Treasury	-1.1	7.7	3.2	4.6
Liquidity	-1.7	6.6	3.3	5.3
LB Intermediate Govt Bond	-1.8	9.2	3.6	5.4
IF excluding CEF units	-1.3	7.0	3.4	5.3
Weighted Benchmark	-1.6	8.6	3.5	5.2
IF including CEF units	-0.7	5.3	6.7	6.6
Weighted Benchmark	-1.2	6.4	6.4	6.3

¹ Average Annual Compound Return

Fund Allocation by Pool (\$ in Millions)

	Fund Allocation		Range	Dur Actual	ation <u>Maximum</u>
Cash Pool	\$280	21%	10%-40%	1.8	3.0 yrs
Liquidity Pool	589	45%	30%-60%	3.4	4.1
Total Cash & Liq. Pool	\$869	66%			
CEF Units held by IF		34%	15%-40%		
Total Invested Funds		100%			





UWINCO Summary of Actions Taken Under Board Delegated Authorities Janaury 1, 2008 through August 15, 2008 (\$ in Millions)

By the Chief Investment Officer

Private Markets

Approved	Action	Manager / Fund	Strategy	Investment (\$MM)
01/25/08	New	Rimrock Capital	Absolute Return	\$20.0
01/25/08	Reup	Varde Partners	Absolute Return	\$20.0
03/20/08	New	Baupost Value Partners	Absolute Return	\$50.0
04/10/08	Termination	Och Ziff	Absolute Return	-\$29.0
04/10/08	Termination	Sirios Capital Partners	Absolute Return	-\$15.0
07/23/08	New	Centerbridge Credit Partners	Absolute Return	\$20.0
04/10/08	Reup	Riverside Capital	Non-Marketable Alternatives	\$7.0
04/10/08	Reup	TPG	Non-Marketable Alternatives	\$6.0
05/02/08	New	HIG Bayside	Non-Marketable Alternatives	\$10.0
05/02/08	Reup	Madrona Venture Partners	Non-Marketable Alternatives	\$5.0
05/22/08	Reup	JC Flowers	Non-Marketable Alternatives	\$8.0
07/14/08	New	Accel - KKR Captial Partners	Non-Marketable Alternatives	\$7.0
03/03/08	Reup	Cabot Industrial Value Fund	Real Assets	\$12.0
03/03/08	Reup	Square Mile Partners	Real Assets	\$10.0
04/10/08	New	CarVal Global Value Fund	Real Assets	\$5.0
05/02/08	New	Denham Capital Management	Real Assets	\$9.0
05/23/08	Reup	Avanti Land Investors	Real Assets	\$12.0

UWINCO Summary of Actions Taken Under Board Delegated Authorities Janaury 1, 2008 through August 15, 2008 (\$ in Millions)

By the Chief Investment Officer

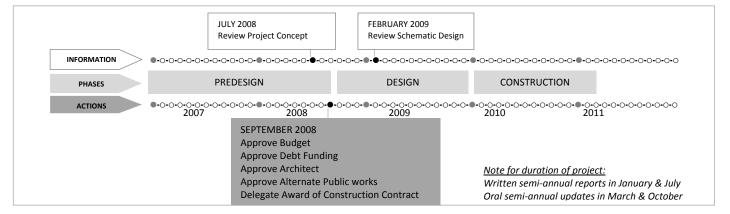
Public Markets

Approved	Action	Manager / Fund	Strategy	Investment (\$MM)
02/15/08	Liquidation	Northern Trust	Domestic Equity (\$21.4 notional)	-\$5.0 collateral
03/20/08	Reup	Brookside Capital Partners	Domestic Equity	\$3.0
05/16/08	New	Northern Trust	Domestic Equity (\$59.9 notional)	\$8.0 collateral
06/16/08	Termination	AXA Rosenberg	Domestic Equity	-\$116.0
07/14/08	New	Northern Trust	Domestic Equity (\$25 notional)	\$5.0 collateral
02/15/08	Liquidation	SSGA EAFE	International Developed	-\$24.0
02/29/08	Reduction	Arrowstreet Capital	International Developed	-\$5.0
02/29/08	Reduction	GMO	International Developed	-\$5.0
02/29/08	Reduction	Harris International Large Cap	International Developed	-\$5.0
02/29/08	Reduction	Harris International Small Cap	International Developed	-\$5.0
01/25/08	New	Lone Dragon Pine	International Emerging Markets	\$7.5
02/29/08	Liquidation	Goldman Sachs - Emerging Markets Swap	International Emerging Markets	-\$17.8
02/29/08	Reduction	Martin Currie China	International Emerging Markets	-\$10.0

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Magnuson Health Sciences Center J-1/J-2 Microbiology Renovation: Project Presentation, Approve Budget, Approve Debt Funding, Approve Architect, Approve Alternative Public Works, Delegate Award of Construction Contract



RECOMMENDED ACTION

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the Board of Regents approve:

- 1. the project budget be established at \$23,500,000;
- 2. the selection of Ambia as the project Architect, and in the event of an unsuccessful negotiation with the selected firm, it is requested that authority be delegated to open negotiations with Perkins & Will, in;
- 3. the use of alternative public works utilizing the General Contractor/Construction Manager (GC/CM) method of contracting;
- 4. financing the construction of the project referenced above through the issuance of up to \$15.7 million in short term notes (commercial paper) and the issuance of long term debt in the amount required to pay off the notes when UW General Revenue Bonds are next issued;
- 5. delegation of authority to the President or his designee to:
 - a. award the GC/CM construction contract to the selected firm, subject to the scope, budget and funding remaining within 10% of the established budget;
 - execute documents as required to complete the interim and permanent financings, including the authority to set maturities and roll periods for the short term notes and enter into a rate lock prior to obtaining permanent financing.

Magnuson Health Sciences Center J-1/J-2 Microbiology Renovation: Project Presentation, Approve Budget, Approve Debt Funding, Approve Architect, Approve Alternative Public Works, Delegate Award of Construction Contract (continued p. 2)

BACKGROUND

The School of Medicine (SoM) Department of Microbiology occupies approximately 32,000 GSF on the first and second floors of the Magnuson Health Sciences Center (MHSC) J Wing (see Site Plan at Attachment 1). The Microbiology space consists of wet and dry labs, teaching, support and administrative space. There has been no significant renovation or upgrade to this space since it was originally built in 1963. Consequently, the facility is inadequate to support the current research and teaching needs of the department.

In 2005, a Predesign study was conducted by the architectural firm of Perkins & Will to develop a scope and budget for a potential project to completely renovate J-1 and J-2. At that time, the project funding plan relied partially on a grant from NIH, which was not funded. The project was deferred until alternative fund sources were identified. In 2007, the central administration and SoM developed a conceptual funding plan under which a project might be funded by a combination of central and SoM funds and debt financing. The Capital Projects Office (CPO) was authorized to re-hire Perkins & Will to update the Predesign study to ensure that the program meets the needs of emerging science and the new department Chair. Perkins & Will was also tasked to investigate the condition of the J Wing infrastructure to ensure that the project scope includes sufficient repairs or upgrades to the building systems necessary to support the renovated space. The Predesign update was completed in June 2008.

PROJECT SCOPE

The proposed project scope will demolish all existing interior construction and equipment on J-1 and J-2, and replace it with modern wet labs, dry labs, teaching and support space, along with new mechanical, electrical and lab gas distribution systems on the floors. Some upgrades to the central building air supply and exhaust systems will also be included.

PROJECT SCHEDULE

Key project milestones are as follows:

Predesign Completed June 2008 Architect Selection September 2008

Design Phase October 2008 through December 2009

Magnuson Health Sciences Center J-1/J-2 Microbiology Renovation: Project Presentation, Approve Budget, Approve Debt Funding, Approve Architect, Approve Alternative Public Works, Delegate Award of Construction Contract (continued p. 3)

GC/CM Selection February 2009

Construction Phase January 2010 through January 2011

Occupancy and Use March 2011

PROJECT BUDGET

The proposed total project budget is \$23,500,000, as outlined in Attachment 2. This budget is based on the Predesign architect's estimate, with significant input from a professional estimating consultant. The budget has also been reviewed by CPO estimating staff.

PROJECT FUNDING PLAN

The funding plan calls for contributions of \$4 million each from SoM and the UW central administration, with the remaining \$15.7 million financed through the issuance of UW General Revenue Bonds.

The sources and uses are below:

Sources and Uses of Funds	
Sources of Funds	
School of Medicine contribution	4,000,000
Central administration contribution	4,000,000
Long/short term debt	15,655,000
Total Sources of Funds	23,655,000
Uses of Funds	
Project cost	23,500,000
Debt Cost of Issuance	155,000
Total Uses of Funds	23,655,000

CREDIT ANALYSIS

The \$1.1 million annual debt service will be paid from indirect cost revenue. The J-Wing project is not expected to generate additional revenue but is critical to the continuing success of UW's teaching and research enterprises. The Treasury

Magnuson Health Sciences Center J-1/J-2 Microbiology Renovation: Project Presentation, Approve Budget, Approve Debt Funding, Approve Architect, Approve Alternative Public Works, Delegate Award of Construction Contract (continued p. 4)

Office has reviewed indirect cost projections with the Office of the Provost and believes that existing indirect cost will be sufficient to pay the debt.

ARCHITECT SELECTION PROCESS

A Request for Proposals for Architectural Services was issued by CPO in July 2008. Five architectural firms responded with Statements of Qualification. Following a review and initial scoring by the selection panel, three firms were invited to interviews on September 9, 2008. The three shortlisted firms were:

- Ambia
- Perkins + Will
- NBBJ

Based on the interview results and the final tabulation of scores (see attachment 4), the most qualified firm was determined to be Ambia followed by Perkins + Will and NBBJ, in that order.

Ambia has been involved in laboratory renovations in the Health Sciences Center (HSC) since 1988. Their scope of work at the HSC includes over 27 projects, focusing primarily on research laboratories. Current projects include the H-Wing Renovation Project and the HSC 6th Floor Renovation Project. In addition to HSC projects, Ambia has successfully accomplished work to renovate Roberts Hall and performed work at Bagley Hall and Suzzallo Library.

CONSTRUCTION CONTRACTING STRATEGY

The recommendation of CPO is to use the alternative public works contracting procedure, General Contractor/Construction Manager (GC/CM), authorized by RCW 39.10 for construction of this project. The use of a GC/CM has been absolutely critical to the success of the University's large and complex projects. During design the GC/CM will be able to provide detailed construction scheduling, input into procedures and specifications considering laboratory operations, input into design constructability issues, coordination of construction documents, determination of construction logistics and needed lay-down areas, detailed cost estimates and investigation of existing as-built conditions. To help meet the overall project schedule, the GC/CM is able to bid out and start construction on early work packages before the construction documents are 100% complete if there are compelling reasons to do so. The GC/CM will be integral in developing cost savings incrementally during the design phase, rather than waiting for a total construction bid number. The intent is to have a GC/CM

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Magnuson Health Sciences Center J-1/J-2 Microbiology Renovation: Project Presentation, Approve Budget, Approve Debt Funding, Approve Architect, Approve Alternative Public Works, Delegate Award of Construction Contract (continued p. 5)

chosen and under contract for preconstruction services at the completion of the schematic design.

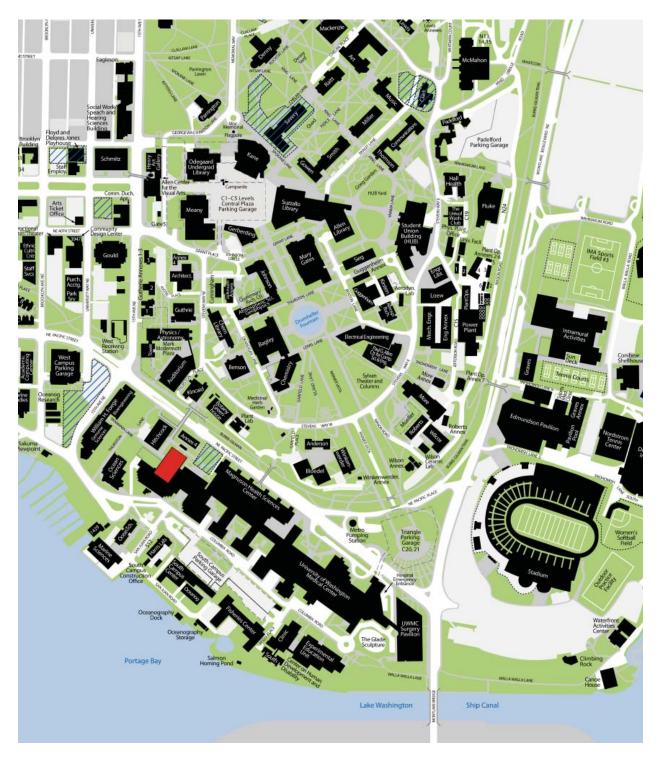
Attachment 1 Project Site Plan

Attachment 2 Project Budget

Attachment 3 Financial Proforma

Attachment 4 Architect Selection Score Summary

SITE CONTEXT







UNIVERSITY OF WASHINGTON CAPITAL PROJECTS OFFICE - SUMMARY PROJECT BUDGET ALTERNATIVE PROCUREMENT (GC/CM OR D-B)

PROJECT: Magnusson Health Sciences Center J-1/J-2 Microbiology Renovation Project Number: 202696

ESTIMATED DATE OF COMPLETION: January, 2011

Project Budget	Total Escalated <u>Cost*</u>	% of TPC
Pre-Schematic Design Services	\$ 188,000	0.80%
A/E Basic Design Services	\$ 1,048,000	4.46%
Extra Services	\$ 620,000	2.64%
Other Services	\$ 633,000	2.69%
Design Services Contingency	\$ 385,000	1.64%
Consultant Services	\$ 2,874,000	12.23%
GC/CM Construction Cost	\$ 13,856,000	58.96%
Other Contracts	\$ 822,000	3.50%
Construction Contingencies	\$ 2,192,000	9.33%
Sales Tax	\$ 1,518,000	6.46%
Construction	\$ 18,388,000	78.25%
Equipment	\$ 175,000	0.74%
Artwork	\$ -	0.00%
Other Costs	\$ 353,000	1.50%
Project Management	\$ 1,710,000	7.28%
Other	\$ 2,238,000	9.52%
Total Project Cost (TPC)*	\$ 23,500,000	100.00%
Included in Above:		
Escalation through July 2010	\$ 1,490,000	6.34%
Source of Funds:		
UW Central Administration	\$ 4,000,000	16.91%
UW School of Medicine	\$ 4,000,000	16.91%
UW General Revenue Bonds	\$ 15,655,000	66.18%
Total	\$ 23,655,000	100.00%

Attachment 2

J-Wing Renovation Regents Item

August 26, 2008

Source: Budget Office (Updated 08-26-2008)

ICR Summary, 2007-2016 (\$000)

		<u>2007</u>	<u>2008</u>	<u>2009</u>	<u>2010</u>	<u>2011</u>	<u>2012</u>	<u>2013</u>	<u>2014</u>	<u> 2015</u>	<u>2016</u>
<u>Sources</u>											
Indirect Cost Recovery		189,000	196,000	203,000	209,090	218,154	225,055	232,368	239,372	246,685	254,204
_		400.000	400.000	000 000	000 000	040.454	005.055	000 000	000 070	0.40.005	054.004
10	otal Sources	189,000	196,000	203,000	209,090	218,154	225,055	232,368	239,372	246,685	254,204
<u>Uses</u>											
Research Cost Recovery (RCR)		64,100	65,110	70,050	72,230	74,580	77,190	79,460	81,790	84,200	86,680
Administrative Support		45,360	47,040	48,500	48,377	51,772	52,655	55,748	57,372	59,155	61,944
Utilities, Insurance, Property Rental		22,000	23,520	24,250	25,000	25,780	26,580	27,400	28,250	29,120	30,030
Research Support		21,170	21,750	16,640	14,250	15,410	16,920	17,470	19,180	20,370	20,280
Debt Service		11,830	14,180	16,712	17,553	17,553	16,923	17,043	17,063	17,643	18,573
J-Wing Renovation		-	-	-	-	539	1,077	1,077	1,077	1,077	1,077
Specific Building/Function		13,640	13,500	18,390	20,780	21,620	22,810	23,270	23,740	24,220	24,720
Transfer To Capital		10,900	10,900	10,900	10,900	10,900	10,900	10,900	10,900	10,900	10,900
	Total Uses	189,000	196,000	205,442	209,090	218,154	225,055	232,368	239,372	246,685	254,204

Assumptions:

ICR growth from 2010 is based on CPI (State of Washington, Economic Revenue Forecast, February 2008, Table 1.1 U.S. Economic Forecast Summary)

J-Wing debt service based on \$15,655,000 borrowing beginning January 2011

J-Wing renovation assumes no incremental ICR revenue



Capital Projects Office

Consultant Selection Sheet

PROJECT: HSC J1/J2 Microbiology Renovation EVALUATORS: J. Champoux, S. Vick, D. Johnson, W.

Smith, L. Copeland, T. Stahlecker.

PROJECT NO.:

202696

DATE OF EVALUATION: 8/19/2008

DATE OF INTERVIEWS: 9/9/2008

Interview Evaluation	Max. Score	Perkins + Will		NBBJ		Ambia		
Firm's Overall Presentation	15		See Attached Individual Sheets					
Firm's workload, expertise of staff assigned to this project	20							
How does the firm intend to develop the project? (discuss pre-design validation, existing facility	20							
Ability of firm to interact and effectively communicate their vision of the project.	20		occ / macrica marriada Officets					
Please describe your firm's experience in planning and managing similar laboratory renovations. Mention of	25							
Interview Points	100							

Interview Evaluation	Perkins + Will	NBBJ	Ambia
Evaluator #1	75	60	95
Evaluator #2	86	76	88
Evaluator #3	60	75	90
Evaluator #4	74	80	84
Evaluator #5	89	82	94
Evaluator #6	81	80	89
	·		
Total Points	465	453	540
Final Ranking	2	3	1



Consultant Selection Sheet

PROJECT: HSC J1/J2 Microbiology Renovation

evaluation, project schedule and estimating)

Ability of firm to interact and effectively communicate

their vision of the project.

Please describe your firm's experience in planning and managing similar laboratory renovations. Mention of

occupied facilities and what types of issues expressed as the biggest challenges.

Interview Points

EVALUATORS: L. Champoux, S. Viele, D. Johnson, W.

PROJECT NO.:

202696

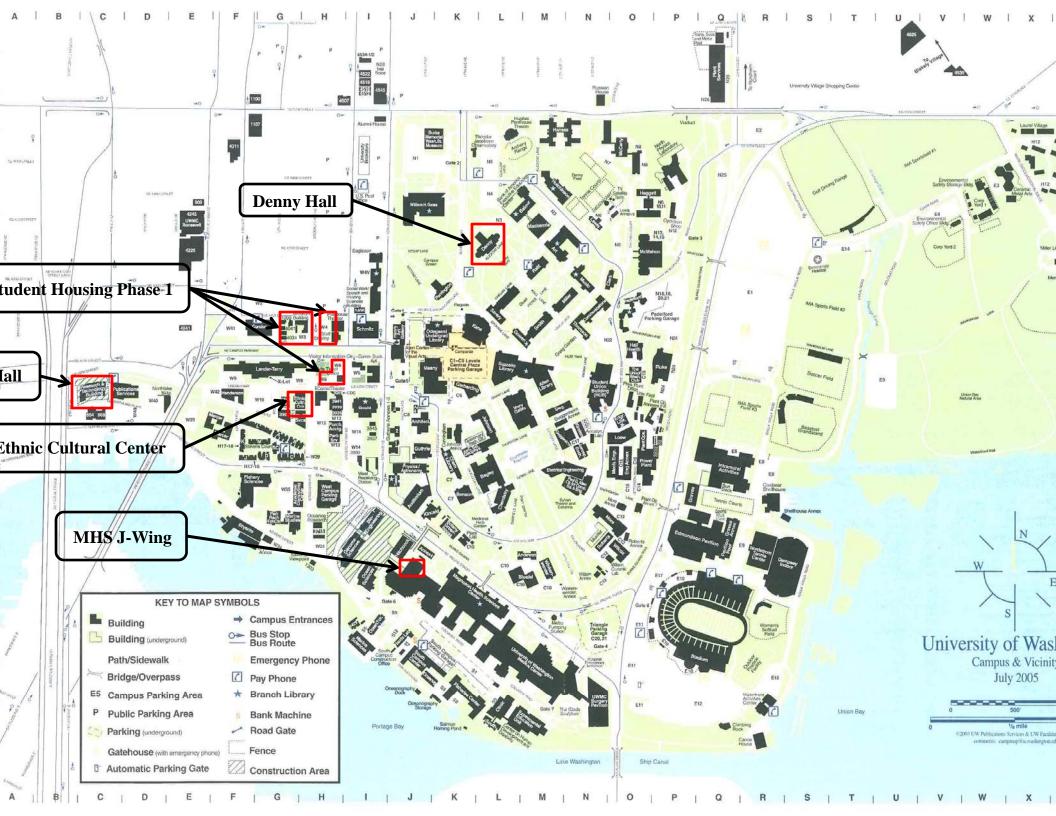
DATE OF EVALUATION: 8/19/2008

J. Champoux, S. Vick, D. Johnson, W. Smith, L. Copeland, T. Stahlecker.		DATE OF INTERVIEWS:			9/9/2008	
Submittal Evaluation		Perkins + Will	ARC	NBBJ	Ruffcorn	Ambia
Evaluator #1		100	69	89	75	95
Evaluator #2		97	82	89	80	90
Evaluator #3		81	71	82	79	82
Evaluator #4		78	63	77	70	66
Evaluator #5		84	77	94	83	97
Total Submittal Points		440	362	431	387	430
Final Submittal Ranking		1	5	2	4	3
Interview Evaluation	Max. Score	Perkins + Will		NBBJ		Ambia
Firm's Overall Presentation	15					
Firm's workload, expertise of staff assigned to this project	20					
How does the firm intend to develop the project? (discuss pre-design validation, existing facility	20					

20

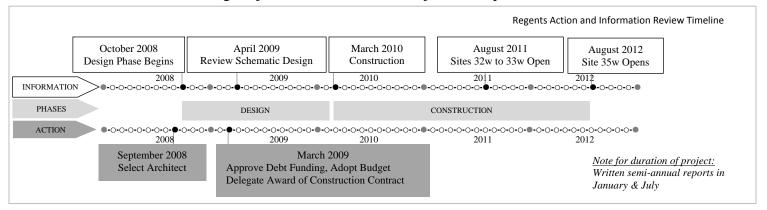
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100



B. Finance, Audit and Facilities Committee

<u>Student Housing Project Phase 1 – Review Project Concept and Select Architect</u>



RECOMMENDED ACTION:

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the President be delegated authority to award a design contract for the Phase I Housing Project to the firm of Mahlum Architects subject to successful negotiation of an architectural agreement.

In the event of an unsuccessful negotiation with the selected firm, it is requested that authority be delegated to open negotiations with Mithun, the firm recommended as the alternate.

BACKGROUND:

The department of Housing and Food Services developed a Comprehensive Housing Master Plan which was shared with the Board of Regents in March 2008. Since then, the University of Washington has identified five sites within its West campus region to be developed as Student Housing. The purpose of this project is to construct three residence halls on sites 32W, 33W and 35W. Each site is located on NE Campus Parkway in West Campus (see attached). We anticipate the facilities will be sixty-five feet high, consist of five stories of wood frame construction above a concrete plinth and the three facilities will house approximately 1200 students. The anticipated occupancy dates are August 2011 for sites 32W and 33W, and August 2012 for site 35W.

The development of these residence halls will assist in alleviating the currently overcrowded conditions within student housing and will also provide surge space to allow renovation of existing residence halls, which is slated to begin in 2014.

In July 2008, the Capital Projects Office advertised for firms interested in providing architectural services. Twenty-two firms responded to the Request for Qualifications for this project, and three firms were interviewed by the Architectural Commission on September 15, 2008: Perkins & Will Architects, Mahlum Architects and Mithun. It is the Commission's recommendation that

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

<u>Student Housing Project Phase 1 – Review Project Concept and Select Architect</u> (continued p. 2)

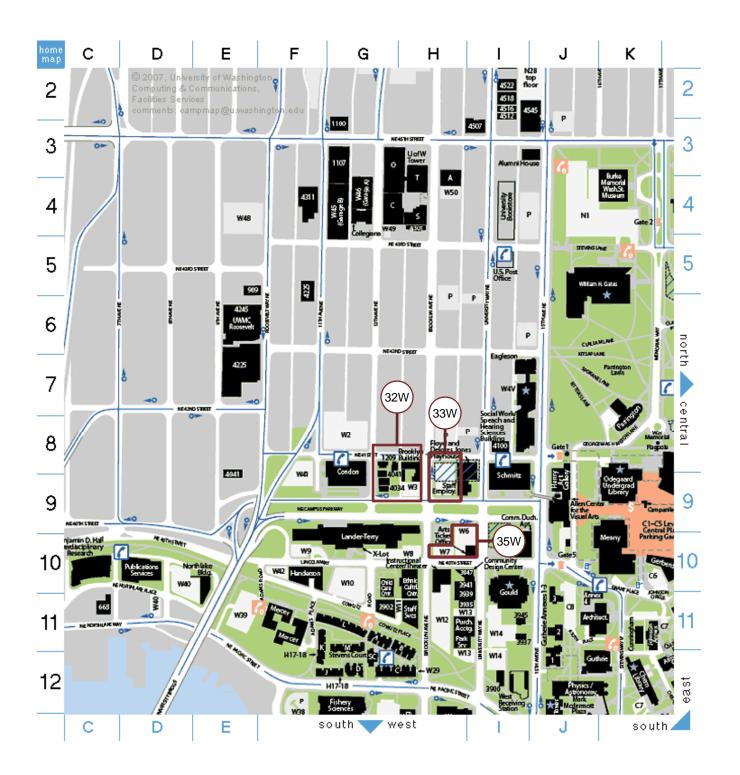
Mahlum Architects be appointed design architect for this project. The Architectural Commission is charged with identifying the most qualified firm as well as one or two alternate qualified firms, if any, ensuring that negotiations can continue in a timely manner. The alternate firm recommended by the Architectural Commission is Mithun.

Mahlum Architects, a local firm, brings to this project a wealth of experience in the design of student housing and a long and successful history of working for the University. They have completed 27 student housing projects on more than 16 campuses across the Pacific Northwest and California. They have also worked extensively with the University on significant campus projects that total nearly \$200 million in construction value. Included in these projects was work on Clark Hall, William H. Gates Hall and Suzzallo Library.

The estimated project budget of \$114 million will be funded by the Department of Housing and Food Services through the University's Internal Lending Program.

Attachment: Student Housing Locations Map

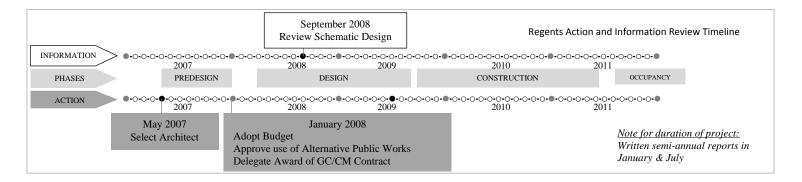
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VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Denny Hall Renovation - Review Schematic Design



INFORMATION:

The Schematic Design phase of the project is nearing completion. This presentation is for information only.

PROJECT DESCRIPTION:

Constructed in 1895, Denny Hall is the oldest building on the Seattle campus and is listed in the Washington State Heritage Register. Denny Hall has been prioritized as part of the University's ongoing "Restoring the Core" renovation program to restore and modernize buildings in greatest need of renovation. The last major structural and interior renovation of this hundred thirteen year old building occurred 52 years ago in 1956. The 89,745 gross square foot facility currently houses offices and programs for the College of Arts and Sciences, including the Departments of Anthropology, Classics, Germanics, Near Eastern Languages & Civilization as well as the College's Language Learning Center. Denny also houses 12,000 square feet of general assignment classrooms totaling 765 seats. A full major building renovation is proposed to correct seismic, structural, and life/safety code deficiencies. In accordance with the requirements of the state of Washington, the project will be designed to achieve Leadership in Energy and Environmental Design (LEED) Silver certification or higher.

PREVIOUS ACTION:

The project was first presented to the Board of Regents in May 2007 and the Executive Vice President was delegated authority was to award design contracts to Thomas Hacker Architects. At the January 2008 meeting, the Project

B. Finance, Audit and Facilities Committee

<u>Denny Hall Renovation - Review Schematic Design</u> (continued p. 2)

Presentation was made to the Finance, Audit and Facilities Committee: the project budget was established at \$56,915,000; the use of alternative public works utilizing the General Contractor/Construction Manager (GC/CM) method of contracting was approved; and the President was delegated authority to award construction contracts, subject to no significant change in scope, the forecast cost being within 10% of the budget and funding being in place. This pre-construction contract was awarded to BN Builders on July 11, 2008.

SCOPE OF THE PROJECT:

The planned renovation scope will address structural, seismic, life safety, hazardous materials, accessibility and other code deficiencies and will improve the building enclosure (windows and masonry systems) to ensure the long-term preservation of the facility and safety of its occupants. The project will also upgrade all major building systems including mechanical, fire protection, and electrical systems to improve performance and energy efficiency, and upgrade communications and interior finish systems to meet modern classroom and academic program needs. The University has evaluated other alternatives and determined that a total building renovation is the most sensible and cost effective alternative for preserving and restoring Denny Hall to a safe and useful condition.

This project is one of the three projects in the fourth sequence of the University's "Restore the Core" program and is dependent on both the Savery Hall and Clark Hall renovation projects being completed on schedule to have Condon Hall available for surge space. This project needs to be completed on schedule to allow the next phase of restoration projects access to the Condon Hall surge space.

SCHEDULE:

Architect Selection
Pre-design
Design
Award Pre-Construction Contract
Move to Condon Hall
Construction
Occupancy and Use

May 2007 July 2007 to December 2007 April 2008 to September 2009 July 2008 September 2009 October 2009 to July 2011 September 2011

CURRENT PROJECT STATUS:

B. Finance, Audit and Facilities Committee

Denny Hall Renovation - Review Schematic Design (continued p. 3)

The schematic design submittal and cost estimate have been prepared by Thomas Hacker Architects. Currently the cost estimate is within the construction budget of \$36,500,000.

SIGNIFICANT RISKS OR OPPORTUNITIES:

The State has approved funding of \$4 million for pre-design and design. The construction funding of \$52.91 million must be appropriated in the 2009 legislative session.

An ongoing risk is the above average escalation in the Seattle commercial building marketplace that reflects a busy construction industry and a limited pool of available equipment and skilled labor.

Another challenge is bringing the building into compliance with modern building codes and accessibility standards, while minimizing reduction in assignable area, improving building space efficiency and restoring Denny's historic amenities. Fitting the programs of all the existing building occupants back into the reduced assignable area is difficult, given their differing new and future space needs due to growth.

A major opportunity is to provide formal and informal interaction spaces that promote synergy and collegiate interaction among faculty and students while recalling Denny's original interior organization.

Denny offers many opportunities to incorporate sustainable design features to achieve a more efficient and sustainable building to achieve the recent state requirement of LEED Silver as mandated by the Washington State legislature.

Attachment:

Denny Hall Renovation slides

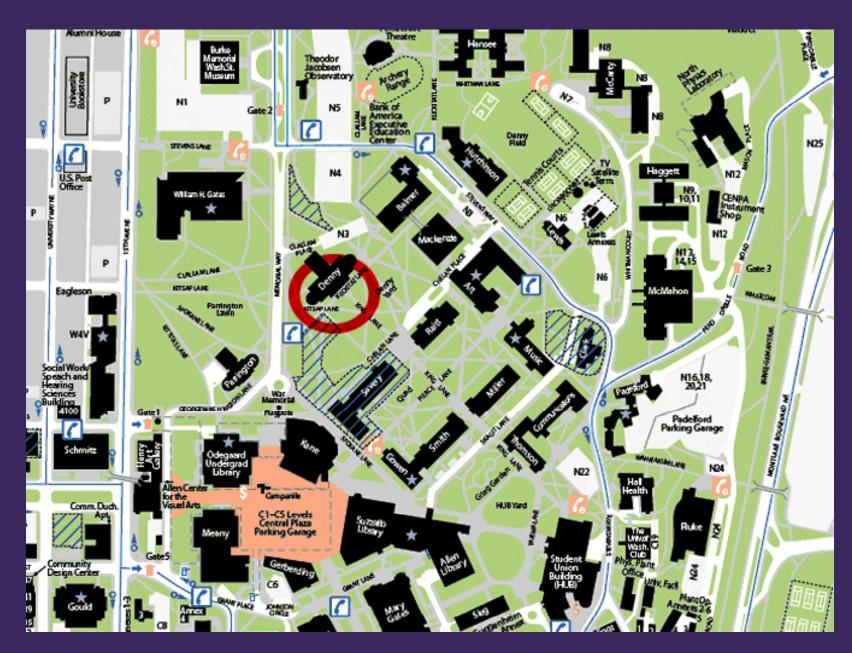


DENNY HALL RENOVATION Schematic Design Presentation

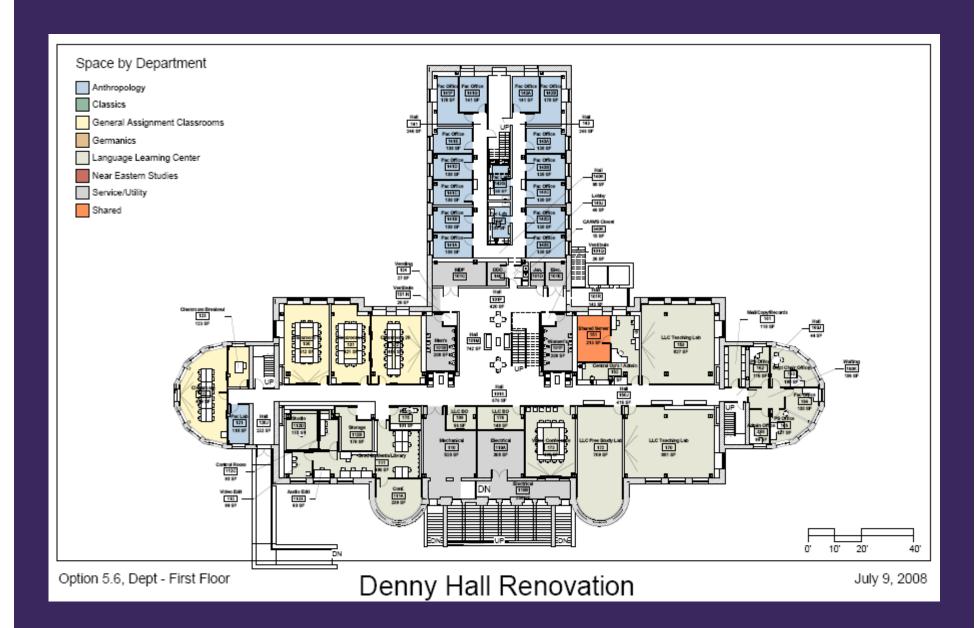




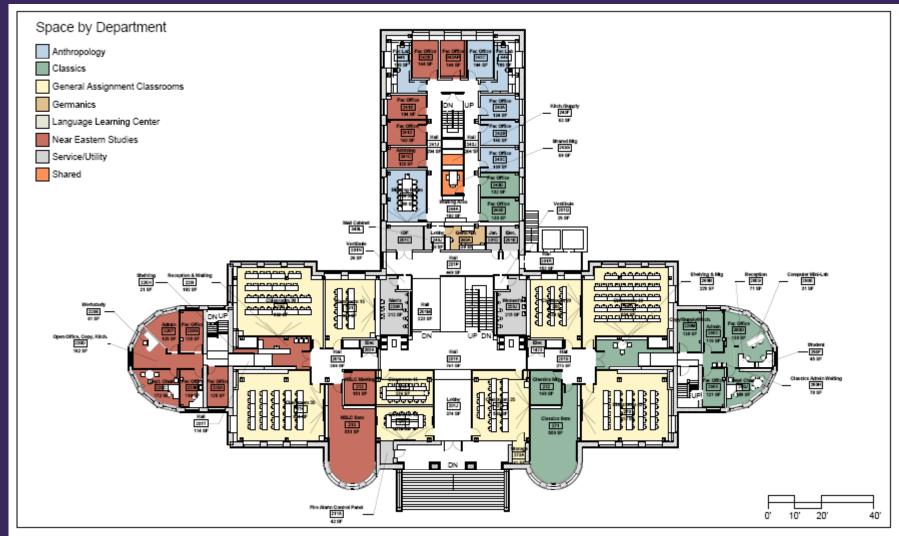








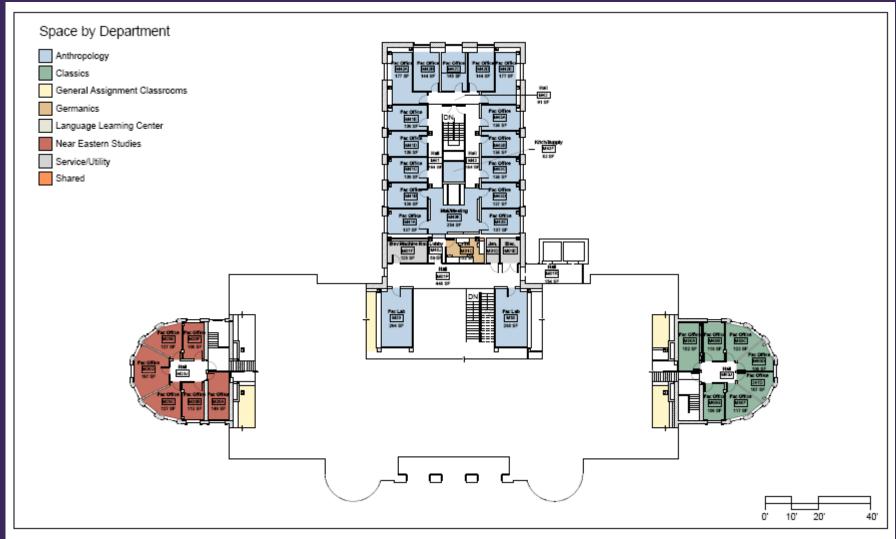




Option 5.6, Dept. - Second Floor

Denny Hall Renovation

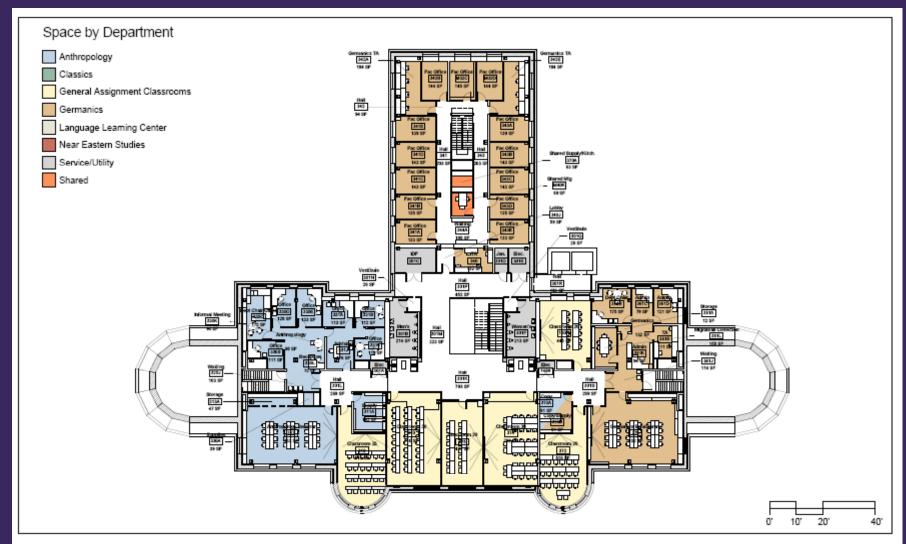




Option 5.6, Dept. - Mezzanine

Denny Hall Renovation

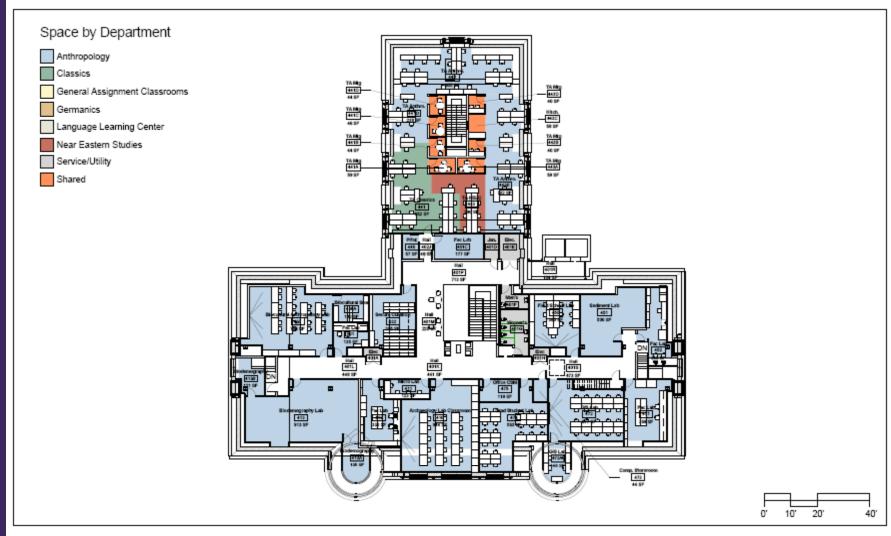




Option 5.6, Dept. - Third Floor

Denny Hall Renovation





Option 5.6, Dept. - Fourth Floor

Denny Hall Renovation



VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Kuali Student Founding Partnership

INFORMATION

This presentation will provide an overview of UW administrative systems challenges and priorities and of the Kuali Student partnership. This is in preparation for action by the Board of Regents in October on the University's proposal to become a founding partner of Kuali Student.

BACKGROUND

The University has the opportunity to join a consortium of leading higher education institutions in developing a next-generation student system. The University's current student administrative system is an inflexible 26-year-old legacy mainframe application that does not collect the information critical to effective operations and decision-making. Many leading higher education institutions have a similar problem and several have joined together to develop a modern student administrative system called Kuali Student. Founding partners include the University of British Columbia; University of California, Berkeley; The University of Maryland, College Park; Florida State University; and University of Southern California.

This presentation covers the following:

- 1. Overview of UW Information Management and Administrative Systems Challenges
 - a) How administrative systems support core UW business operations
 - b) The challenge: Our systems cannot support the future
 - c) UW Strategic Roadmap: A plan for addressing those challenges
- 2. Kuali Foundation Student Partnership
 - a) Benefits of the Kuali Student partnership
 - b) Next steps
- 3. Questions/Discussion

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

In Joint Sessions with

B. Finance, Audit and Facilities Committee

Revised Facilities and Spaces Naming Policy

RECOMMENDED ACTION:

It is the recommendation of the Finance, Audit and Facilities Committee that the Board of Regents approve the Revised Facilities and Spaces Naming Policy. The revised policy will go into effect immediately upon approval of the Board of Regents.

BACKGROUND:

During the 2007-08 academic year, the Board of Regents recommended that the Facilities and Spaces Naming Policy be revised, namely to involve the Regents in the early stages of project development and to address policies related to the naming of facilities for corporations and foundations. The key revisions are:

- 1. Business Plan Review. The Business Plan for new projects will include information about the amount of private funding sought for the project and the gift level recommended for naming the facility. The Business Plan will be presented (by the appropriate sponsoring dean, vice president, or director) to the Regents for approval in the early stages of project development, before discussions occur with potential donors.
- 2. Corporate Naming. Where corporation or organization names are used, the building or area name will be limited, normally for a period not to exceed 25 years. The numbers of years will be identified in the Business Plan when it is presented to the Board of Regents for approval. No corporate logos will be allowed.

REVIEW PROCESS:

The revised policy was discussed with individual members of the Board of Regents by representatives of University Advancement (formerly Development and Alumni Relations); edits were made in response to Regental input, and the Finance and Audit Committee now recommends that the revised document be accepted by the full board.

Revisions to policy require the full endorsement of the Board of Regents.

Attachment:

Revised Facilities and Spaces Naming Policy

FACILITIES AND SPACES NAMING POLICY

Section 1. General Policy

A building, outdoor area, and interior feature, object or space may be named for a person or family associated with the University community who has rendered distinguished service to the University or who has made a significant contribution to society. The above may also be named in recognition of a benefactor of the University who makes a substantial contribution toward total project cost. In lieu of naming a building or area for the benefactor, the donor may propose that another person be honored in this manner, subject to the concurrence of the University.

Corporations or organizations are encouraged to be major benefactors of the University by recognizing a person or family important to their success. An interior feature, object or space may also be named for a corporation or organization. Only in rare situations will a building or outdoor area be named for a corporation or organization whose gift represents a major contribution toward total project cost.

The President has final approval for naming interior features, objects and spaces. Final decision to name buildings and outdoor areas rests with the Board of Regents, upon recommendation of the President.

Naming actions shall not detract from the institution's values, dignity, integrity, or reputation, nor shall any such action create a conflict or the appearance of a conflict of interest or confer special privileges.

Section 2. Individual / Family Naming Guidelines

A. Buildings or Outdoor Areas

A building or outdoor area may be named for a person or family associated with the University community who has rendered distinguished service to the University or who has made a significant contribution to society. The above also may be named as agreed to with a benefactor of the University whose gifts represent a substantial contribution toward the project cost, based upon the following criteria.

- The individual has a prominent relationship with the University and/or the region, and he or she has a positive image and demonstrated integrity. In the event of changed circumstances, the University reserves the right, on reasonable grounds, to revise the form of or withdraw recognition.
- 2. The guidelines for determining the gift amount that represents "a substantial contribution" to the project cost are as follows:
 - a. While a minimum of 50% of the project cost (which may include an operating endowment) is the desired minimum amount for naming, the target gift amount for naming will be set for each project as part of the

- Business Plan section of the Project Agreement approved by the Board of Regents in the early stages of project development.
- b. Donations for naming should be realized in full within five years of the commitment.
- 3. Buildings named for an individual or family generally will be termed "______ Hall." If a functional title is selected, the building may be named "_____ Building."
- 4. The name will remain on the building or outdoor area for the life of the building or area (subject to Section 2.A.1). If at some future time the building or area is replaced (which may include a major reconstruction that substantially changes the functions / appearance of a building's interior and exterior), the name may or may not be carried on the replacement facility as approved by the Board of Regents. If the name is not used on the replacement facility, the Board of Regents will determine the appropriate recognition to be incorporated into the new building or area.

B. Interior Features, Objects or Spaces

A named interior feature, object or space will not be exhibited on external building walls; the name will be located as close as possible to (and otherwise affiliated with) the funded feature, object or space.

An interior feature, object or space may be named for a person or family associated with the University community who has rendered distinguished service to the University or who has made a significant contribution to society. The above also may be named as agreed to with a benefactor of the University whose gifts represent a significant and substantial contribution toward the project cost or the purchase of the object, based upon the following criteria.

- The individual has a prominent relationship with the University and/or the region, and he or she has a positive image and demonstrated integrity. In the event of changed circumstances, the University reserves the right, on reasonable grounds, to revise the form of or withdraw recognition in consultation with the donor when possible.
- 2. The guidelines for determining the gift amount that represents "a substantial contribution" to the project cost are as follows:
 - a. While the desired goal for a naming gift is the cost to provide and equip the space (which may include an operating endowment), the target gift amount for naming will be set for each project in advance of soliciting donors in coordination with the Vice President for Advancement, who will obtain written authorization from the President on amounts specified. Interior spaces that are part of a total building fundraising campaign should be included as part of the Business Plan section of the Project Agreement approved by the Board of Regents in the early stages of project development.

- b. Donations for naming should be realized in full within five years of the commitment.
- 3. The name will remain for the life of the feature, object or space with appropriate recognition as determined by the President if it is replaced.

Section 3. Corporate / Organizational Naming Guidelines

A. Buildings or Outdoor Areas

Corporations or organizations are encouraged to be major benefactors of the University by recognizing a person or family important to their success. Only in rare, exceptional situations will a building or outdoor area be named for a corporation or organization whose gifts represent a substantial contribution toward the project cost, based upon the following criteria.

- 1. The corporation or organization has a prominent relationship with the University and/or the region, and it has a positive image and demonstrated integrity. In the event of changed circumstances, the University reserves the right, on reasonable grounds, to revise the form of or withdraw recognition.
- 2. The guidelines for determining the gift amount that represents "a substantial contribution" to the project cost are as follows:
 - a. A minimum of 50% of the project cost (which may include an operating endowment) is provided unless a different gift amount for naming is specified as part of the Business Plan section of the Project Agreement approved by the Board of Regents in the early stages of project development.
 - b. Donations for naming should be realized in full within five years of the commitment.
- 3. Buildings named for a corporation or organization generally will be termed "_____ Hall." If a functional title is selected, the building may be named "_____ Building."

The name will appear on the building, signage, and University maps and other documents in University approved lettering. No corporate logos will be permitted.

4. In cases where a corporation or organization name is used, the number of years during which the building or area will be named will be limited, normally for a period not to exceed 25 years. The number of years will be set out in the Business Plan for the project when it is presented to the Board of Regents for approval. The gift agreement will specify the number of years during which the building or area will be named and it will include the clause that any name changes during that period will be at the University's sole discretion, subject to approval by the Board of Regents.

B. Interior Features, Objects or Spaces

A named interior feature, object or space will not be exhibited on external building walls; the name will be located as close as possible to (and otherwise affiliated with) the funded feature, object or space.

An interior feature, object or space may be named for a corporation or organization whose gifts represent a substantial contribution toward the project cost or the purchase of the object, based upon the following criteria.

- 1. The corporation / organization has a prominent relationship with the University and/or the region, and it has a positive image and demonstrated integrity. In the event of changed circumstances, the University reserves the right, on reasonable grounds, to revise the form of or withdraw recognition.
- 2. The guidelines for determining the gift amount that represents "a substantial contribution" to the project cost are as follows:
 - a. While the desired goal for a naming gift is the cost to provide and equip the space (which may include an operating endowment), the target gift amount for naming will be set for each project in advance of soliciting donors in coordination with the Vice President for Advancement, who obtain written authorization from the President on amounts specified. Interior spaces that are part of a total building fundraising campaign should be included as part of the Business Plan section of the Project Agreement approved by the Board of Regents in the early stages of project development.
 - b. Donations for naming should be realized in full within five years of the commitment.
- 3. In cases where the entity name is used, the gift agreement will specify the number of years during which the feature, object or space will be named, and it will include the clause that any name changes during that period will be at the University's sole discretion, subject to approval by the President.

Section 4. Approval Process

Proposals for naming opportunities will follow the approval process described below in order to obtain appropriate approvals before publicly discussing recognition of a person, family, corporation or organization and before approaching a prospective donor for a naming gift. Discussions with individual, corporate, or other organizational donors who seek naming rights must be conducted with the understanding that the Board of Regents reserves final approval on both the minimum amount of a naming gift and the name of the person or organization to be honored by the naming.

Each campus of the University of Washington, UW Bothell, UW Seattle, and UW Tacoma, is responsible for developing campus-specific processes and committees for approval for the naming of facilities, including but not limited to buildings, outdoor areas, interior features, objects or spaces at their respective campuses. The UW Bothell and UW Tacoma will forward their recommendations through the Chancellors to the

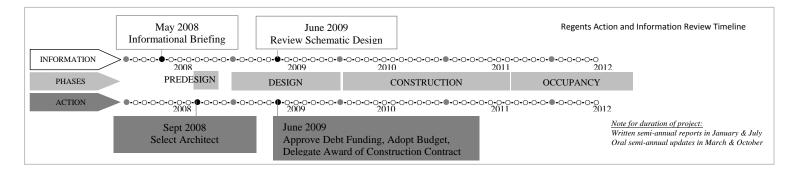
President. The UW Seattle will forward its recommendations from the UW Seattle Names Committee to the President.

Members of the Names Committees at the three campuses will advise proposers on the respective campuses to ensure that the naming decisions reflect University of Washington values.

The President has final approval for naming interior features, objects and spaces. Final decision to name buildings and outdoor areas rests with the Board of Regents, upon recommendation of the President. In situations in which a building may be named in recognition of a substantial contribution to the total project costs, the minimum contribution needed for naming and any limits imposed on the number of years it will be named will be specified as part of the Business Plan section of the Project Agreement approved by the Board of Regents.

B. Finance, Audit and Facilities Committee

Ethnic Cultural Center Expansion - Review Project Concept and Select Architect



RECOMMENDED ACTION:

Conditional upon Regental approval of project funding, it is the recommendation of the administration and the Finance, Audit and Facilities Committee that the President be delegated authority to award a design contract for the Ethnic Cultural Center Expansion Project to the firm of Rolluda Architects, Inc., in association with Larry McFarland Architects, subject to successful negotiation of an architectural agreement.

In the event of an unsuccessful negotiation with the selected firm, it is requested that authority be delegated to open negotiations with Opsis Architecture LLP, the firm recommended as the alternate.

BACKGROUND:

The proposed project will construct a two or three story, 22,500 SF student services facility containing informal gathering space, meeting space, a drop-in computer lab, a library, music rooms, work space, offices, kitchens and support space. The facility will house approximately 60 student cultural organizations and programs. Activities range from informal "drop-by" social interaction, quiet individual and small group study, to organized social gatherings, music and dance presentations, lectures, literary events to large publicly advertised cultural celebrations and festivals. A feasibility study recommending demolition of the existing facility and construction of a new facility on the existing site was completed in May 2008. The total project cost of the facility is estimated to be \$15.5 million. The project is planned for occupancy in September 2011.

The University is funding this project with bond proceeds and revenues generated by Student Services & Activities Fees. The current monies allocated will fund a pre-design study and preliminary phases of design for the replacement and expansion of the existing Ethnic Cultural Center. In 2009, the University intends to issue additional bonds to fund construction documents and construction of the

B. Finance, Audit and Facilities Committee

Ethnic Cultural Center Expansion - Review Project Concept and Architect Selection (continued p. 2)

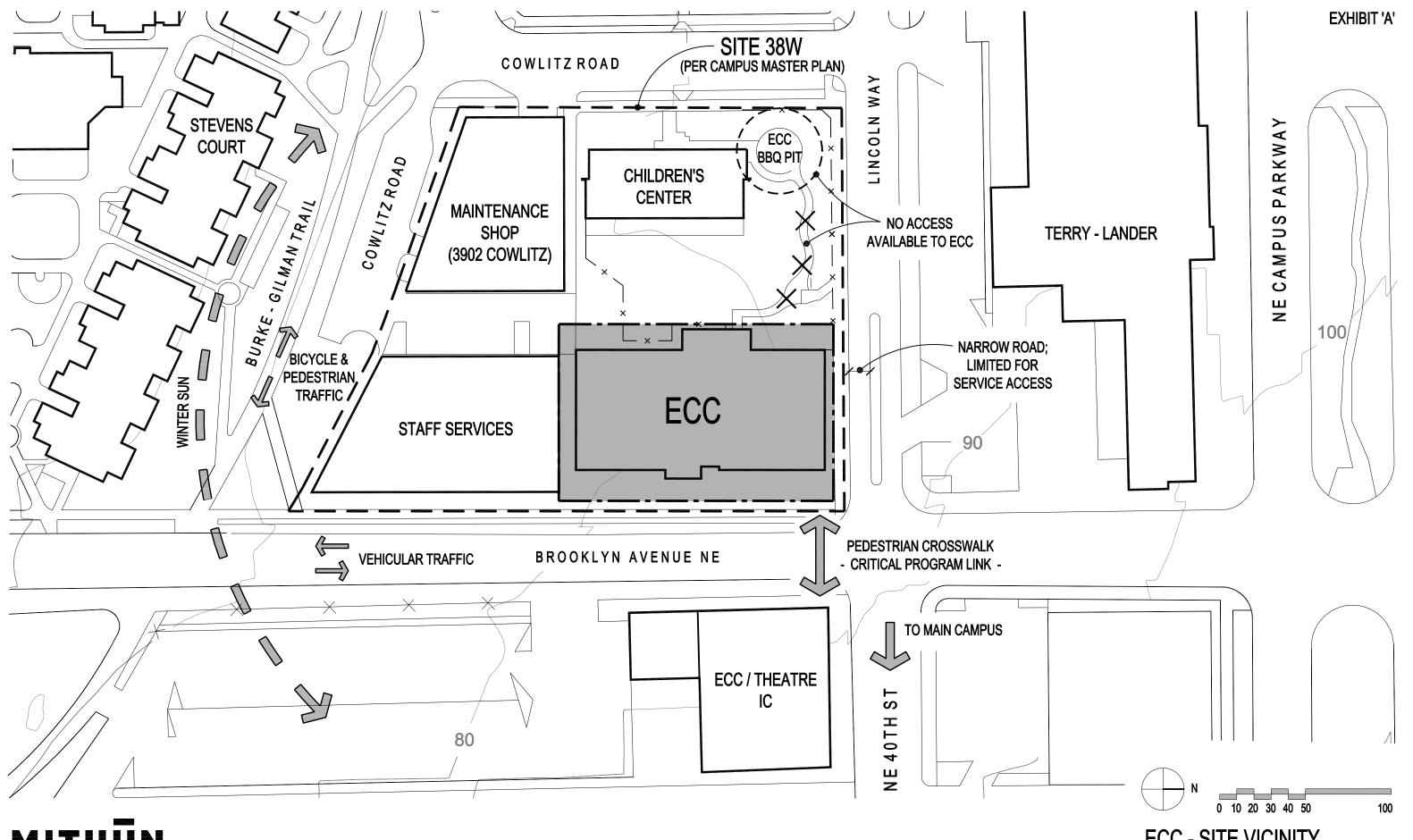
facility. The proposed project more than doubles the size of the facility to appropriately accommodate current and future program needs.

In April 2008, the Capital Projects Office advertised for firms interested in providing architectural services. Thirteen firms responded to the Request for Qualifications for this project, and three firms were interviewed by the Architectural Commission on September 15, 2008: DKA, LLP, Opsis Architecture LLP and Rolluda Architects, Inc. in association with Larry McFarland Architects. It is the Commission's recommendation that Rolluda Architects, Inc. in association with Larry McFarland Architects be appointed design architect for this project. The Architectural Commission is charged with identifying the most qualified firm as well as one or two alternate qualified firms, if any, ensuring that negotiations can continue in a timely manner. The alternate firm recommended by the Architectural Commission is Opsis Architecture LLP.

Rolluda Architects, Seattle, (Prime consultant) is a 20 member firm working in association with Larry McFarland Architects, Vancouver B.C. (Joint Venture partner for Programming and Design). Their notable projects completed include the \$15 million African American Academy for Seattle Public Schools in 2000 (for Rolluda); and the \$4.9 million First Nations House of Learning, University of British Columbia, in 1993 (for Larry McFarland). The First Nations House of Learning design won the *Governor Generals Award*, Royal Architectural Institute of Canada, 1994 for cultural relevance and innovative excellence in design. The Rolluda/McFarland team brings a passionate commitment to multiculturalism, and traditional building tectonic integrated with an award winning contemporary design aesthetic.

Attachments: ECC Site Vicinity Exibit A

F-12/208-09 9/18/08



ECC - SITE VICINITY

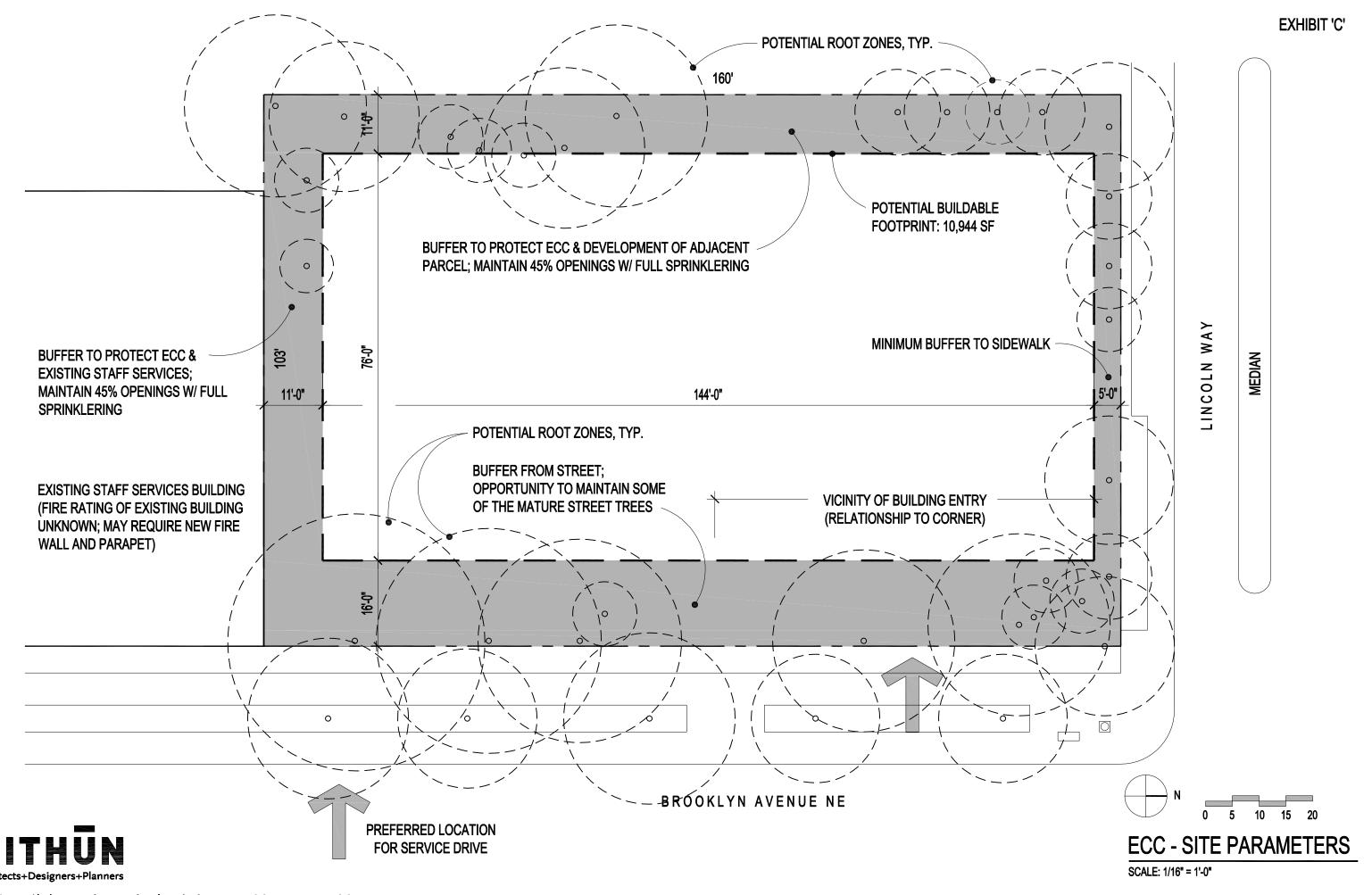
SCALE: 1" = 50'-0"





ECC - EXISTING SITE

SCALE: 1/16" = 1'-0"



<u>COLLEGE OF FOREST RESOURCES</u> WASHINGTON PARK ARBORETUM MASTER PLAN UPDATE

SEPTEMBER 18, 2008

BACKGROUND:1

Roles in Ownership and Management of the Washington Park Arboretum (WPA)

The City of Seattle owns WPA's land with the exception of the land along Foster Island submerged prior to lowering of Lake Washington which is owned by the University. The Arboretum Foundation also owns a small plot of land. The City is responsible for routine maintenance. The City has total responsibility for the Japanese Garden within WPA.

The University owns the plant collections, maintains them, documents them (which is important for research), propagates them and seeks new acquisitions. The program is administered by the University of Washington Botanic Gardens which resides within the College of Forest Resources. The University of Washington Botanic Gardens also runs educational programs within WPA and manages a volunteer guide program.

The Arboretum Foundation, founded in 1935, is an open membership group and serves as the major fund-raising organization for WPA. They also organize and educate volunteers. The Arboretum Foundation provided funding and joint leadership for the Master Plan.

Oversight of WPA Master Plan Implementation

The Arboretum and Botanical Garden Committee (ABGC) was established in the 1930's to assist the City and University in the development, use, and maintenance of WPA. The Arboretum Foundation was added to the group in 1992 by Seattle ordinance 116337. ABGC provides the primary forum for discussion and issue resolution related to WPA, including implementation of the Washington Park Arboretum Master Plan. The Master Plan can be found on the WPA web site at: http://depts.washington.edu/wpa/masterplan.htm.

Washington Park Arboretum Master Plan Approved

In May 2001, the Master Plan, "Renewing the Washington Park Arboretum," was unanimously approved by the City Council and the University of Washington Board of Regents after presentation by all partners.

¹ Excerpt of Briefing Update to City Council by Seattle Parks and Recreation on April 8, 2008

Master Plan Seeks to Balance the Following Goals:

Educational

- An educational program fulfilling the WPA's potential to serve K through 12 students, University of Washington's higher education population, families, landscape professionals, natural history and ecology enthusiasts, gardeners, special needs populations, and general visitors.
- Plant exhibits organized, designed, and interpreted to be as interesting and self-explanatory as possible to the WPA's diverse audiences.

Conservation

- Plan exhibits that demonstrate to all visitors the ecological attributes and values
 of natural plant communities throughout the temperate world (emphasizing
 forests of the Pacific Northwest), regions with similar climates, and selected
 Pacific Rim regions.
- Active conservation of species of trees and shrubs (and their genetic diversity) that are threatened with extinction in temperate regions of the world.
- Healthy, thriving plant collections and exhibits throughout the WPA.
- A sanctuary for diverse urban wildlife.

Recreation

- Recreational use of WPA consistent with the Arboretum's mission of education, display, and conservation.
- Safety of all visitors to WPA including vulnerable populations.
- Decreased disruption by arterial traffic on Lake Washington Boulevard East and State Route 520 exit and entry ramps.
- Pedestrian and bicycle access and clear, easy circulation within WPA.
- Enhancement of the ambience and visitor experience at the Japanese Garden.
- Amenities for all visitors as benefitting a large public garden and recreational park.
- Elimination of most of the small parking areas on Arboretum Drive East, and construction of new larger lots at each end.
- Construction of a new pavilion and an entrance facility at the Japanese Garden (underway).
- Renovation of 30 existing plant exhibits and creation of 21 new plant exhibits.
- Reorientation of pedestrian trails
- Construction of a pedestrian/bicycle trail along Lake Washington Boulevard East.
- Construction of two pedestrian overpasses, one over Lake Washington Boulevard East at the south end of the WPA and one over Foster Island Road East at the north end of the park.
- Relocation of the northern one-third of Arboretum Drive eastward.
- Realignment of the north entry and intersections of Lake Washington Boulevard East with Foster Island Road East, and with the on/off ramps of State Route 520.

- Creation of a four-way intersection between Lake Washington Boulevard East, Arboretum Drive East, and a new entrance to the Parking area south of the Japanese Garden.
- Construction of four outdoor educational shelters.

General Goals

- Efficient and effective administration that excels in fund-raising, resource allocation, advocacy, and personnel management.
- A thriving arboretum foundation, with membership, active volunteerism, and fiscal support at levels appropriate for the flagship public garden in the Pacific Northwest.
- Long-term fiscal sustainability for ongoing operations and capital improvements.

Projects Implemented Thus Far

- The Duck Bay Shoreline \$1.23M from the Seattle Shoreline Park Improvement fund.
- Development of an interpretive and wayfinding plan \$135k from the University of Washington.
- Irrigation Mainline project \$1M funded from the ProParks Levy.
- Phase I of Pacific Connections Gardens cost \$2.7M of which \$500K is from Pro Parks Levy. Arboretum Foundation has contributed \$2.2M. The University of Washington has contributed \$50K.
- \$1.8M for the Japanese Garden entry Arboretum Foundation donated \$1M and \$800K was funded from Parks.

Other Issues

- Insufficient maintenance and operations funding for base level maintenance for the gardens and landscapes that are in place today.
- Need to identify funding for the maintenance and care of new gardens as they are constructed.
- Additional space and facilities need to be identified or constructed as we add services, staff, and gardens.
- Unknown impacts on WPA of SR 520 expansion.
- Curatorial work is being done by a committee today, but in the future we will need a curator.

Attachments:

Arboretum Fact Sheet Arboretum Pacific Connections

University of Washington Botanic Gardens

The University of Washington Botanic Gardens is one of the College of Forest Resources' most widely recognized education and research units and one of the Pacific Northwest's key horticultural features. UW Botanic Gardens comprises the Center for Urban Horticulture, the Washington Park Arboretum, the Elisabeth C. Miller Library, the Otis Douglas Hyde Herbarium, the Union Bay Natural Area, Union Bay Gardens, and the University of Washington shorelines. The organization's mission is to sustain managed to natural ecosystems and the human spirit through plant research, display, and education. The living plant collection contains 10,013 specimens representing 4,190 distinct taxa. The UW Botanic Gardens serves students, faculty, and staff, as well as the general public interested in horticulture, restoration ecology, and conservation. Over 300,000 people visit annually; 250,000 of them visit the Washington Park Arboretum, which has one of the most important tree collections in North America. Volunteers play an important role and contribute many hours of service through the Miller Library, Saplings School Programs, Rare Plant Care and Conservation, and other programs.

Facilities include:

- The 230-acre Washington Park Arboretum is jointly managed by UW Botanic Gardens and the City of Seattle's Department of Parks and Recreation, with support from the Arboretum Foundation. It is free to the public. The Arboretum participates in an international seed exchange program, distributing documented, wild-source seed of species native to the Pacific Northwest; its seed list is distributed to 457 institutions in 59 countries.
- The Center for Urban Horticulture serves as the meeting place for over 100 organizations, including 60 horticultural groups. The Center's Merrill Hall is the first sustainable building to be built on the UW Seattle campus; it houses administrative offices and research labs, the Elisabeth C. Miller Library, and the Otis Douglas Hyde Herbarium. It also provides classroom, office, and plant clinic spaces to Washington State University King County Extension and the Master Gardener Foundation of King County.
- The Union Bay Gardens consists of five specialized gardens housing 463 herbaceous perennials and cultivars and supporting a nursery undergoing plant production of 340 accessions, 85 percent of which are from wild-collected sources.
- The 74-acre Union Bay Natural Area and four miles of shoreline serves as an outdoor laboratory for UW research and as a publicly accessible wildlife habitat where more than 200 bird species have been sighted.



Volunteer Guide training at the Washington Park Arboretum.

- The Elisabeth C. Miller Library is the most important horticultural library in the Pacific Northwest. It houses 15,000 books, 200 magazine subscriptions, 1,000 nursery catalogs, and video and electronic resources. It offers a range of free services to the gardening public as well as to the academic community. The Library receives over 15,000 visitors annually.
- The Otis Douglas Hyde Herbarium houses over 17,000 plant specimens. The Hyde Herbarium is probably the nation's largest collection of preserved cultivated plants. It serves as the official herbarium for the Washington State Noxious Weed Board and provides free plant identification help to the public.



Restoration work in the Union Bay Natural Area.

Research programs include:

- Biology of invasive species, including assessment of invasive potential of introduced plants and impacts of current invaders.
- Biology of rare plants and their propagation for reintroduction into the wild.
- Restoration ecology, including prairie restoration;
 Oregon white oak (Quercus garryana) stand
 dynamics and restoration; site conditioning by live
 willow staking; long-term response of Roemer's
 fescue to initial site conditions; and work in the
 Union Bay Natural Area, which serves as an
 outdoor laboratory.
- Plant physiology and the impacts of global climate change on plants, including the effect of elevated CO₂ on physiology and invasiveness of reed canary grass (Phalaris arundinacea).
- Collaboration on affiliated projects, including: the
 human dimensions of forestry and urban greening;
 human responses to land use changes along the
 urban to wildland gradient; the relationship
 between forests lands and the built environment;
 assessment of eelgrass (Zostera marina) in Westcott
 Bay, San Juan County; forest soil microbiology and
 forest pathology; tissue-to-whole-tree responses
 to environmental stresses; and growth of trees
 from diverse ecosystems.

Public education programs:

UW Botanic Garden educational programs involve more than 10,000 individuals annually. They reach both professional and general audiences. Youth programs reach 8,000 students in grades K-12 each year through the Youth Saplings School Programs, the Youth Explorer Day Camp, and the Youth Explorer Pack Program.

Partnerships create results:

- The Rare Plant Care and Conservation program partners with over 20 federal and state landholding agencies to monitor 350 rare plant populations. In 2003, the only state-of-the-art climate controlled storage and lab facility for seeds of Washington's rare plants, the Miller Seed Vault, was built at UW Botanic Gardens; it currently stores seeds of 50 rare Washington species and has received 2,107 accessions.
- UW's Restoration Ecology Network (UW-REN), involves undergraduate students in research through a restoration capstone course; since its inception, the program has completed 41 collaborative restorations.
- UW Botanic Garden's Volunteer Programs make many projects possible. In 2007, more than 250 volunteers contributed over 10,000 hours.



Youth Saplings Program, Washington Park Arboretum.

For More Information: Visit the University of Washington Botanic Gardens website, www.uwbotanicgardens.org Contact: (206) 543-8616

University of Washington, College of Forest Resources University of Washington Botanic Gardens January 2008



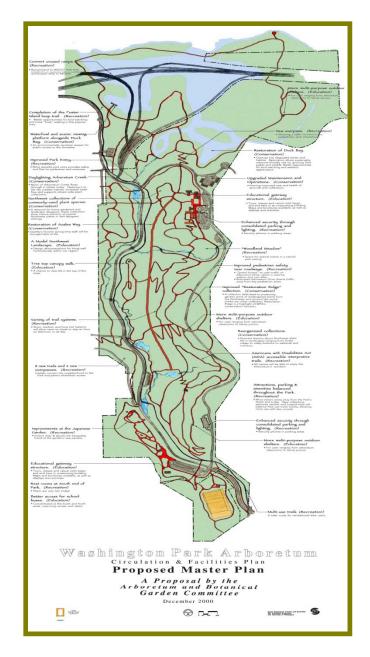


Renewing the Washington Park Arboretum

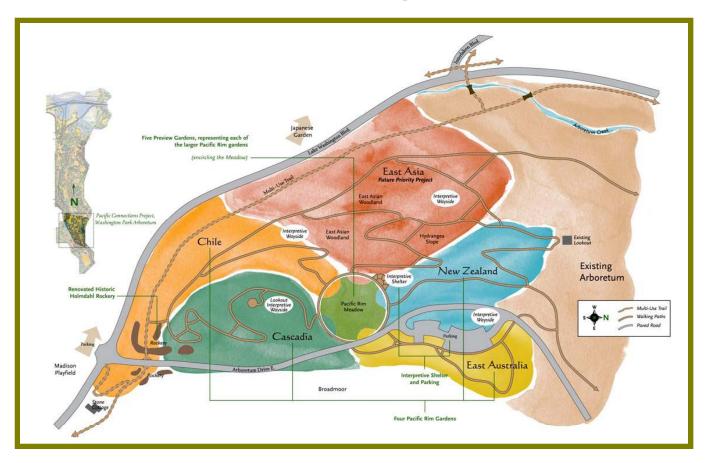
Creating the Pacific Connections Garden

Arboretum ANEW Blueprint for our Future

- Approved in 2001, to be installed in phases over 20+ years
- Original cost estimate of \$60 million
- Comprehensive improvements to our plant collections, visitor facilities and supporting infrastructure
- First major new exhibit in almost 50 years—The Pacific Connections Garden



The New Exhibit—Focusing on the Pacific Rim



A 14 Acre Site Featuring:

- A Central Gathering Meadow
- An Interpretive Shelter
- Five Preview Gardens
- Five Temperate Eco-geographic Forests

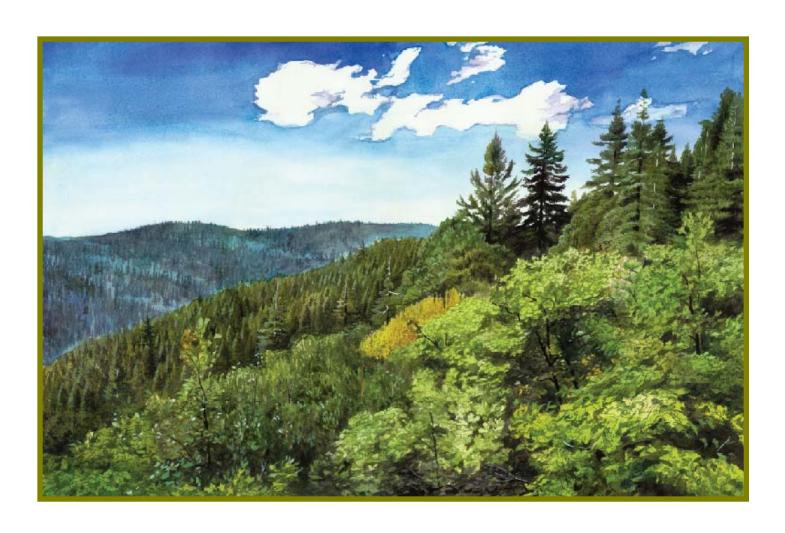
The Pacific Connections Garden

Five Pacific Rim Forests:

- Southeast Australia
- New Zealand
- Central Chile
- Cascadia
- East Asia/China



The First Focal Forest



Cascadia—Our Native Forest

The Next Forest



New Zealand—An Otago Bush Land

Immersed in the Forest



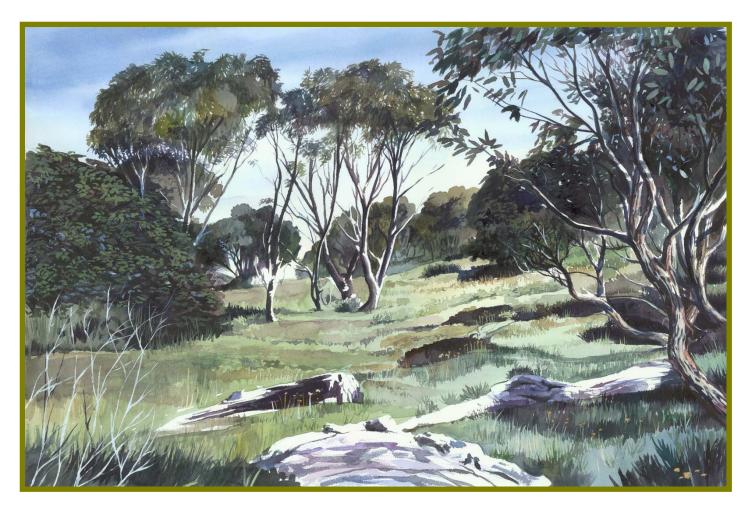
New Zealand—Strolling the New Path

The Future Forests



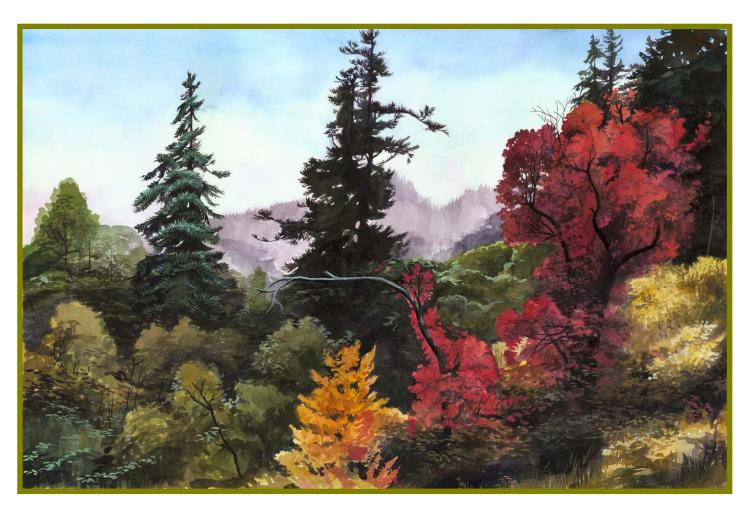
Central Chile—A Monkey Puzzle Forest

The Future Forests



Southeast Australia—a Eucalyptus Forest

The Future Forests



China—A Landscape from Mount Emei



The new forests will let us compare and contrast the growth patterns of the 5 forests, whose landscapes can seem quite familiar.





On the Olympic Peninsula? Or on Mount Emei in China?



Making the New Forests

Recreating Complete Plant Communities from forest floor below to tree canopy above



Wild-collected seed from the UWBG Siskiyous expedition in 2007



In a Year or Two: Planting out the new trees



Propagation: Sprouted seeds of the tanbark oak collected in the Siskiyous at the Center for Urban Horticulture greenhouse

The Meadow and Preview Gardens

Under Construction



Moving the Monkey
Puzzle Tree for the Chile
Garden



Cascadia: rock wall and path



The interpretive shelter and the newly-sodded meadow

Education and Interpretation

Interpretive
 Materials Will Be
 Displayed in the
 Shelter and at Key
 Viewpoints in the
 Future Forests



Graphic of Gingko Leaves and Fruit for Our Interpretive Signage

Acknowledgments

- Photos courtesy of Randall Hitchin, Paige Miller, and Joy Spurr
- Interpretive graphics and watercolors provided by the Pacific Studio
- Presentation by Paige Miller



Western Red Cedar *Thuja plicata*

University of Washington

Board of Regents Resolution of Appreciation to Helen Sommers

WHEREAS, Representative Helen Sommers has served the citizens of the 36th Legislative District in Seattle and the citizens of the State of Washington with distinction for 16 consecutive terms spanning 36 years, chairing numerous Legislative Committees, including State Government, Revenue, Higher Education, Capital and most recently, Appropriations; and

WHEREAS, she is a twice distinguished alumna of the University of Washington, having earned a bachelor's and a master's degree in economics; and

WHEREAS, her leadership in the House of Representatives has been of immense benefit to the citizens of the state, particularly the impact her leadership has had over many years in expanding higher educational opportunities and ensuring the well-being of the state's public higher education institutions; and

WHEREAS, the University of Washington is indebted to her for her vision, leadership, wise counsel and steadfast commitment to excellence in all the University does in providing high quality educational and research programs to benefit the State of Washington; and

WHEREAS, her support of the State's Museum of Natural History, the Burke Museum located at the University of Washington, has meant that generations of citizens, young and old, can develop a true appreciation for the natural wonders that mark the evolution of peoples inhabiting the great Pacific Northwest; and

WHEREAS, among her many outstanding accomplishments in the Legislature are the development of a stable, well-funded pension system for Washington's public employees; creation of the state's system of branch campuses to expand access to higher education opportunities; and, support for state funding of university research, particularly the University of Washington's advanced technology initiatives; and

WHEREAS, the Board of Regents of the University of -Washington wishes to demonstrate its deep and sincere appreciation to Helen for her years of dedicated service to the State of Washington;

NOW, THEREFORE, BE IT RESOLVED: That the members of the Board of Regents express to Helen Sommers their gratitude on behalf of all of the students, faculty, and staff of the University of Washington who have benefited over the years from her legislative leadership and courage; and

University of Washington Board of Regents Resolution of Appreciation for Helen Sommers Page 1 of 2 BE IT FURTHER RESOLVED: That to honor her leadership on behalf of this University and all of higher education, the Board of Regents hereby confers upon Helen Sommers the very first Regents Medal ever presented, in recognition of her exceptional service to the citizens of the State of Washington and to their great flagship University; and

BE IT FURTHER RESOLVED: That this Resolution be spread upon the minutes of the Board as a permanent record of the Board's sincere appreciation.

BP-1/208-09 9/18/08