



UNIVERSITY OF WASHINGTON  
Board of Regents

July 10, 2008

**TO:** Members of the Board of Regents  
Ex-officio Representatives to the Board of Regents

**FROM:** Joan Goldblatt, Secretary of the Board of Regents

**RE:** Schedule of Meetings

**THURSDAY, JULY 17, 2008**

**8:30 a.m.–10:30 a.m. 142 Gerberding Hall**

**FINANCE, AUDIT AND FACILITIES  
COMMITTEE:** Regents Blake (Chr),  
Barer, Brotman, Jewell, Kiga, Simon

**10:45 a.m.–1:30 p.m. 142 Gerberding Hall**

**ACADEMIC AND STUDENT AFFAIRS  
COMMITTEE:** Regents Simon (Chr),  
Barer, Cole, Gates, Proctor, Willynck  
*in Joint Session with*

**FINANCE, AUDIT AND FACILITIES  
COMMITTEE:** Regents Blake (Chr),  
Barer, Brotman, Jewell, Kiga, Simon

**1:45 p.m.–2:30 p.m. 142 Gerberding Hall**

**ACADEMIC AND STUDENT AFFAIRS  
COMMITTEE:** Regents Simon (Chr),  
Barer, Cole, Gates, Proctor, Willynck

**3:00 p.m. Petersen Room  
Allen Library**

**REGULAR MEETING OF BOARD OF  
REGENTS**

**5:00 p.m. UW Club**

**RECEPTION**  
for Regents and invited guests



UNIVERSITY OF WASHINGTON  
BOARD OF REGENTS

July 10, 2008

**TO: Members of the Finance, Audit and Facilities Committee**  
Regents Blake (Chair), Barer, Brotman, Jewell, Kiga, Simon

**FROM: Joan Goldblatt, Secretary of the Board of Regents**

**RE: Meeting of Committee on 7/17/08, 8:30 a.m.–10:30 a.m., 142 Gerberding Hall**

The following topics are noted for discussion at the meeting of the committee on *Thursday, July 17, 2008*. Items requiring action by the full Board of Regents are marked "DRAFT."

1. **Report of Contributions – May, 2008** **INFORMATION** F-1  
    **Connie Kravas**, Vice President for Development and Alumni Relations  
    **Walt Dryfoos**, Associate Vice President, Advancement Services
2. **Grant and Contract Awards – March, 2008** **ACTION** F-2  
    **Phyllis M. Wise**, Provost and Executive Vice President
3. **Actions Taken Under Delegated Authority** **INFORMATION** F-3  
    **Richard Chapman**, Associate Vice President, Capital Projects Office
4. **Business School Building Phase 1 – PACCAR Hall – Financing Approval** **ACTION** F-4  
    **Wayne Sugai**, Debt Analyst, Treasury Office  
    **Doug Breckel**, Senior Associate Treasurer, Treasury Office  
    **Chris Malins**, Senior Associate Treasurer, Treasury Office  
    **Roland E. (Pete) Dukes**, Professor, Accounting, Foster School of Business
5. **Approval of a Resolution to Issue and Refund General Revenue Bonds in Fiscal Year 2009** **ACTION** F-5  
    Chris Malins  
    Doug Breckel  
    Wayne Sugai  
    **Ruchi Aggarwal**, Operations Manager, Internal Lending Program
6. **SEIU Local 1199NW – Airlift Northwest Collective Bargaining Agreement – UW Ratification** **ACTION** F-6  
    **Mindy Kornberg**, Vice President, Human Resources  
    **Lou Pisano**, Assistant Vice President of Labor Relations, Human Resources Labor Relations  
    **Gary Quarfoth**, Associate Vice Provost, Planning & Budgeting

## Finance, Audit and Facilities Agenda

Page Two

7. **Sound Transit University Link Final Design – Project Information Update** **INFORMATION** F-7  
    **Andy Casillas**, Project Manager, Capital Projects Office  
    **Joni Earl**, CEO, Sound Transit  
    **John Harrison**, University Link Director, Sound Transit  
    **Mark Reddington**, Principal, LMN Architects  
    Richard Chapman
8. **Magnuson Health Sciences Center J-1/J-2, Microbiology Renovation – Review Project Concept and Review Funding Plan** **INFORMATION** F-8  
    Richard Chapman  
    **Eric Smith**, Director, Capital Projects South, Capital Projects Office  
    **James Champoux**, Professor and Chair, Department of Microbiology
9. **End of Campaign UW Report** **INFORMATION** F-13  
    Connie Kravas  
    Walt Dryfoos  
    **William H. Gates**, Regent
10. **Other Business** **INFORMATION**



UNIVERSITY OF WASHINGTON  
BOARD OF REGENTS

July 10, 2008

**TO: Academic and Student Affairs Committee**  
Regents Simon (Chr), Barer, Cole, Gates, Proctor, Willynck

*In Joint Session with*

**Members of the Finance, Audit and Facilities Committee**  
Regents Blake (Chr), Barer, Brotman, Jewell, Kiga, Simon

**FROM: Joan Goldblatt, Secretary of the Board of Regents**

**RE: Meeting of Committee on 7/17/08, 10:45 a.m.–1:30 p.m., 142 Gerberding Hall**

The following topics are noted for discussion at the meeting of the committee on *Thursday, July 17, 2008*. Items requiring action by the full Board of Regents are marked "DRAFT."

1. **Facilities and Administrative (F&A) Cost Recovery** **INFORMATION** F-9  
Susan D. Camber, Associate Vice President, Financial Management  
Gary Quarfoth, Associate Vice Provost, Planning & Budgeting  
Paul Jenny, Vice Provost, Planning & Budgeting  
Mary Lidstrom, Vice Provost for Research
2. **ICA Compliance Update** **INFORMATION** F-10  
John Morris, Senior Associate Athletic Director for Compliance, Intercollegiate Athletics  
Scott Woodward, Vice President for External Affairs, Interim Athletic Director
3. **Seattle Cancer Care Alliance – Capital Plan and Requirements** **INFORMATION** F-11  
Paul Ramsey, CEO, UW Medicine, Executive Vice President for Medical Affairs, and Dean of the School of Medicine  
Bruce Ferguson, CFO, UW Medicine, Vice President for Medical Affairs
4. **UW 2009-2011 State Operating and Capital Budget Requests** **ACTION** F-12  
Phyllis Wise, Provost and Executive Vice President  
Gary Quarfoth  
Paul Jenny
5. **Executive Session**  
To review the performance of public employees
6. **Other Business** **INFORMATION**



UNIVERSITY OF WASHINGTON  
BOARD OF REGENTS

July 10, 2008

**TO:** Members of the Academic and Student Affairs Committee  
Regents Simon (Chair), Barer, Cole, Gates, Proctor, Willynck

**FROM:** Joan Goldblatt, Secretary of the Board of Regents

**RE:** Meeting of Committee on 7/17/08, 1:45 p.m.–2:30 p.m., 142 Gerberding Hall

The following topics are noted for discussion at the meeting of the committee on *Thursday, July 17, 2008*. Items requiring action by the full Board of Regents are marked "DRAFT."

1. **Academic and Administrative Appointments** ACTION A-1  
Phyllis M. Wise, Provost and Executive Vice President
2. **State Performance Agreement Draft** INFORMATION A-2  
Phyllis M. Wise  
Doug Wadden, Executive Vice Provost  
Randy Hodgins, Director of State Relations
3. **Draft Proposal to Establish a Science and Technology Program at the University of Washington Bothell** INFORMATION A-3  
Kenyon Chan, Chancellor, UW Bothell
4. **Other Business** INFORMATION



## PRELIMINARY AGENDA

**BOARD OF REGENTS**  
University of Washington

**July 17, 2008**

3:00 p.m. – Petersen Room, Allen Library

(Item No.)

**I. CALL TO ORDER**

**II. ROLL CALL**

**III. CONFIRM AGENDA**

**IV. REPORT OF THE CHAIR OF THE BOARD OF REGENTS: Regent Barer**

**V. REPORT OF THE UNIVERSITY PRESIDENT: Dr. Emmert**

**VI. CONSENT AGENDA**

Approval of Minutes of Meeting of June 12, 2008

Grant and Contract Awards – March, 2008 F-2

Business School Building Phase 1 – PACCAR Hall – Financing Approval F-4

Approval of a Resolution to Issue and Refund General Revenue Bonds in Fiscal Year 2009 F-5

SEIU Local 1199NW – Airlift NW Collective Bargaining Agreement – UW Ratification F-6

UW 2009-2011 State Operating and Capital Budget Requests F-12

**VII. STANDING COMMITTEES**

**A. Academic and Student Affairs Committee: Regent Simon – Chair**

Academic and Administrative Appointments (ACTION)	A-1
State Performance Agreement Draft (Information only)	A-2
Draft Proposal to Establish a Science and Technology Program at the University of Washington Bothell (Information only)	A-3

*Joint Session*

**A. Academic and Student Affairs Committee: Regent Simon – Chair**

**B. Finance and Audit Committee: Regent Blake – Chair**

Facilities and Administrative (F&A) Cost Recovery (Information only)	F-9
ICA Compliance Update (Information only)	F-10
Seattle Cancer Care Alliance – Capital Plan and Requirements (Information only)	F-11

**B. Finance, Audit and Facilities Committee: Regent Blake – Chair**

Report of Contributions – May, 2008 (Information only)	F-1
Actions Taken Under Delegated Authority (Information only)	F-3
Sound Transit University Link Final Design – Project Information Update (Information only)	F-7
Magnuson Health Sciences Center J-1/J-2 Microbiology Renovation – Review Concept and Review Funding Plan (Information only)	F-8
End of Campaign UW Report (Information only)	F-13

**VIII. OTHER BUSINESS**

Reports from ex-officio representatives to the Board:

**Faculty Senate Chair – Professor Dan Luchtel**

**ASUW President – Mr. Anttimo Bennett**

**GPSS President – Mr. Jake Faleschini**

**Alumni Association President – Mr. Norm Proctor**

**IX. DATE FOR NEXT REGULAR MEETING: August 21, 2008**

**X. ADJOURNMENT**

## OFFICIAL MINUTES

### MINUTES

#### **BOARD OF REGENTS University of Washington**

**July 17, 2008**

The Board of Regents held its regular meeting on Thursday, July 17, 2008, beginning at 3:00 p.m. in the Petersen Room of the Allen Library. The notice of the meeting was appropriately provided to the public and the press.

#### **CALL TO ORDER**

#### **ROLL CALL**

Assistant Secretary Keith called the roll: Present were Regents Barer (presiding), Blake, Cole, Gates, Jewell, Proctor, Simon; Dr. Emmert, Dr. Wise, Ms. Warren, Ms. Goldblatt; ex-officio representatives: Professor Luchtel, Mr. Faleschini, Mr. Blodgett.

Absent: Regents Brotman, Kiga, Willynck; ex-officio representatives Mr. Bennett, Mr. Proctor.

#### **CONFIRM AGENDA**

The agenda was confirmed as presented.

#### **REPORT OF THE CHAIR OF THE BOARD OF REGENTS: Regent Barer**

Governor Gregoire appointed a new student regent, Jean-Paul Willynck. Regent Barer noted he had the pleasure of talking to him this morning by telephone when Regent Willynck called from Thailand. Jean-Paul Willynck is a senior in Urban Design at UW Tacoma and has a superb academic and community service record. The Regents are delighted to welcome to him to the Board, and look forward to his attendance at the September meeting.

The newly-elected ASUW President, Mr. Anttimo Bennett, was not present but plans to attend the September meeting.



Regent Barer recognized GPSS President Jake Faleschini who was present at the meeting, and welcomed ASUW Bothell President Emily Anderson and ASUW Tacoma President Tommy Bauer, who were also present.

Regent Barer noted that this meeting marks another important transition. This is the first meeting for the new Board Secretary, Joan Goldblatt, who along with Assistant Secretary, Kelly Keith, coordinated the agenda, making sure each regent had the required information. According to Regent Barer, "We would expect something to go wrong in transition, but we are all pleased how Kelly and Joan put together first-rate Board and committee meetings without a hitch."

**REPORT OF THE UNIVERSITY PRESIDENT: Dr. Emmert**

President Emmert, highlighting the conclusion of the UW's Capital Campaign, said that the success of the campaign is worthy of reflection and a staggering success. Nearly 300,000 individuals voted with their paychecks to support this university, saying they have confidence in, and care about, the University. Forty percent of the University's living alumni participated in the capital campaign. The next task for the UW's administration, faculty, staff, and students is to deliver on the promises made to the 300,000 donors and show them they made a wise choice. President Emmert promised to demonstrate this to them, so there will be 600,000 donors in the next campaign. He cautioned that the University must be a wise steward of the gifts.

President Emmert noted this was Dan Luchtel's last meeting as Chair of Faculty Senate and said what a pleasure it was to work with him. The President commended Professor Luchtel for being a fabulous partner, and offering inspired leadership. The administration will continue to work with Professor Luchtel in his capacity as Chair of the Senate Committee on Planning and Budgeting. David Lovell becomes Faculty Senate Chair on July 31.

President Emmert also offered his welcome to GPSS President Jake Faleschini.

**CONSENT AGENDA**

Regent Barer noted there were six items for approval on the consent agenda, and called for a motion.

**MOTION:** Upon the recommendation of the Chair of the Board and the motion made by Regent Proctor, seconded by Regent Simon, the Board voted to approve the six items on the consent agenda as shown below:

**Minutes for the meeting of June 12, 2008**

**Grant and Contract Awards – March, 2008** (Agenda no. F-2)

It was the recommendation of the administration and the Finance, Audit and Facilities Committee that the Board of Regents accept Grant and Contract Awards for the month of March, in the total amount of \$71,228,500.

See Attachment F-2.

**Business School Building Phase 1 – PACCAR Hall – Financing Approval** (Agenda no. F-4)

It was the recommendation of the administration and the Finance, Audit and Facilities Committee that the Board of Regents approve:

1. Financing the construction of the project through the issuance of up to \$30 million in short-term notes and the issuance of long-term debt in the amount required to pay off the notes when UW General Revenue bonds are next issued; and
2. Delegation of authority to the President or his designee to execute documents as required to complete the interim and permanent financings, including the authority to set maturities and roll periods for the short term notes and enter into a rate lock prior to obtaining permanent financing.

See Attachment F-4.

**Approval of a Resolution to Issue and Refund General Revenue Bonds in Fiscal Year 2009** (Agenda no. F-5)

It was the recommendation of the administration and the Finance, Audit and Facilities Committee that the Board of Regents approve:

1. A resolution to allow for the issuance of General Revenue Bonds for the following purposes:
  - a. To issue new debt for capital projects based on expected cash flows for fiscal year 2009 in an amount not to exceed \$100 million;
  - b. To refund existing debt for the refunding candidates specified in the resolution in an amount not to exceed \$93 million;
2. Delegating to the President or his designee the authority to issue the bonds, to establish all other terms of the bonds, and to execute other documents and approvals as required to complete the transactions.

See Attachment F-5.

**SEIU Local 1199NW-Airlift NW Collective Bargaining Agreement-UW Ratification** (Agenda no. F-6)

It was the recommendation of the administration and the Finance, Audit and Facilities Committee that the Board of Regents approve the initial collective bargaining agreement between the University of Washington and SEIU Local 1199NW. The agreement covers approximately fifty (50) Registered Nurses employed by Harborview Medical Center who work on emergency flights for Airlift Northwest (ALNW). The duration of this initial agreement is from the date of Regents' approval through June 30, 2009. The

Registered Nurses covered by this agreement work at bases in Seattle (Boeing Field), Bellingham and Juneau. Boeing Field based nurses also cover facilities in Arlington and Olympia.

See Attachment F-6.

**UW 2009-11 State Operating and Capital Budget Requests** (Agenda no. F-12)

It is the recommendation of the administration, the Academic and Student Affairs Committee, and the Finance, Audit and Facilities Committee that the Board of Regents approve the 2009-11 capital and operating budget requests to the state as they are presented in the text and tables in attachment F-12. In this action item, the Board of Regents:

- Approves the 2009-11 state operating budget request;
- Approves the 2009-11 state capital budget request;
- Endorses the framework for certain policy initiatives that the UW may seek during the 2009 legislative session; and
- Authorizes the Office of Planning and Budgeting to further develop the budget and descriptions of selected 2009-11 budget requests and to prepare and submit other supporting materials that are required by the Office of Financial Management.

See Attachment F-12.

**STANDING COMMITTEES**

**ACADEMIC AND STUDENT AFFAIRS COMMITTEE: Regent Simon, Chair**

At the request of Regent Simon, Provost Wise noted the appointment of Professor Dennis Hartman to interim Dean of the College of the Environment.

**Academic and Administrative Appointments** (Agenda no. A-1)

**MOTION:** Upon the recommendation of the administration the Board voted to approve the personnel appointments.

See Attachment A-1.

**State Performance Agreement Draft** (Agenda no.A-2) (Information only)

The Board was briefed in May regarding House Bill 2641 which requires the University to appoint a working group to prepare a performance agreement due to the state of Washington on September 1. The agreement is to address the Higher Education Coordinating (HEC) Board's ten-year master plan calling for a statewide increase in bachelor's degrees by 48% and a 77% increase in the number of graduate and

**July 17, 2008**

professional degrees, especially in the areas of critical need – math, science and engineering technology.

President Emmert presented his plan which included an increase of 1,700 freshmen; 600 in Seattle, 450 in Bothell, and 650 in Tacoma, to present to the HEC Board at their meeting Monday, July 21. The initial draft is due September 1, 2008 and the final draft November, 2008. If approved by the Legislature, it will be in effect July 1, 2009 through July 30, 2015.

See Attachment A–2.

**Draft Proposal to Establish a Science and Technology Program at the University of Washington Bothell** (Agenda no. A–3) (Information only)

Chancellor Kenyon Chan presented a draft proposal to establish a science and technology program at UW Bothell.

Regent Simon offered a quote from the proposal, “The scientific and technological building blocks critical to the economic leadership are eroding at a time when many other nations are gathering strength.”

The Board was enthusiastic about Chancellor Chan’s presentation and plan. Much will depend on outreach to K–12 students. The Board looks forward to reviewing the final draft in September.

See Attachment A–3.

**FINANCE, AUDIT AND FACILITIES COMMITTEE:** Regent Blake, Chair

In joint session with

**ACADEMIC AND STUDENT AFFAIRS COMMITTEE:** Regent Simon, Chair

**Facilities and Administrative (F&A) Cost Recovery** (Agenda no. F–9) (Information only)

Regent Blake reported that the Board received a presentation on Facilities and Administrative Cost Recovery at their Joint Committee meeting. This briefing provided the Regents with a better understanding of how the University recovers costs related to grants and strives to maximize this reimbursement from grantors. There are many obstacles in this process, and the University does not always receive 100% of the calculated costs. Accepting these grants, however, provides the University with other benefits, as the funded projects frequently tie in to the University’s educational mission and potentially provide long-term benefits and enhance the quality of the UW’s programs.

See Attachment F–9.

**ICA Compliance Update** (Agenda no. F–10) (Information only)

The Regents received an annual compliance update from Intercollegiate Athletics. Compliance is a top priority in the Athletic Department. No significant violations have been reported since 2004. The 76 self-reported, minor violations in the last four years are comparable to peer institutions.

See Attachment F-10.

**Seattle Cancer Care Alliance – Capital Plan and Requirements** (Agenda no. F-11)  
(Information only)

Dr. Ramsey updated the Regents regarding the potential purchase of a proton beam facility: a \$273 million investment. The University of Washington's share will be \$4.4 million in cash and \$3.5 million in faculty support. Underlying financing will be non-recourse to SCCA and UW and to members of SCCA. The University is not bearing a substantial amount of risk.

See Attachment F-11.

**FINANCE, AUDIT AND FACILITIES COMMITTEE: Regent Blake, Chair**

**Report of Contributions - May, 2008** (Agenda no. F-1) (Information only)

See Attachment F-1.

**Actions Taken Under Delegated Authority** (Agenda no. F-3) (Information only)

See Attachment F-3.

**Sound Transit University Link Final Design – Project Information Update** (Agenda no. F-7) (Information only)

Sound Transit presented their design plan for the University Station, which integrates the station design into the campus and natural surroundings. The presenters reviewed activities that need to be accomplished prior to final approval of construction and discussed prospects of funding for future phases of Sound Transit.

See Attachment F-7.

**Magnuson Health Sciences Center J-1/J-2, Microbiology Renovation – Review Project Concept and Review Funding Plan** (Agenda no. F-8) (Information only)

The Regents welcomed an enthusiastic presentation by Dr. James Champoux regarding the much-needed renovation of the Microbiology facilities. Regent Blake noted she was moved to enroll in his 400-level course in Microbiology. The plan will come before the Regents for final approval in September.

See Attachment F-8.

**End of Campaign UW Report** (Agenda no. F-13) (Information only)

Earlier in the meeting President Emmert highlighted the successful Campaign. Regent Blake added that the University is an easy product to sell; it has a great mission and great activities and programs.

The Campaign will continue to enhance the UW's public image and should have a positive impact on future fundraising, as well as the University's efforts in the State Legislature.

**July 17, 2008**

The Regents expressed their gratitude to Regent Bill Gates and Vice President Connie Kravas for the outstanding job they did with the campaign, and offered a round of applause. Regent Barer noted the combination of Connie Kravas, Bill Gates, and Orin Smith form an award-winning “Dream Team” for fundraising and acknowledged the dedicated work of Regent Gates.

See Attachment F-13.

## **REPORTS FROM EX OFFICIO REPRESENTATIVES TO THE BOARD OF REGENTS**

**Faculty Senate Chair:** Professor Dan Luchtel

Professor Luchtel noted the faculty had a good year, benefiting from budgetary support at the State level, as well as support from the administration and the Board of Regents.

During the past year, the Faculty Senate worked on three challenging issues: Senate restructuring, the College of the Environment, and the RCEP process.

He was pleased to have been able to share with the Board of Regents a continuing lecture on the history of higher education in the United States.

Professor Luchtel spoke about future challenges at the University of Washington, emphasizing the importance of shared governance. He shared his recent experience on the Faculty Field Tour and the excitement and engagement of the faculty. He then summarized literature regarding the history and future of higher education.

Addressing the issue of marketing, Dr. Luchtel suggested we should consider the questions: “who are we, and what is our product?” He highlighted marketing and branding from various universities across the country that encapsulate the essence of each school. UW states it provides “Solutions to enrich people’s lives.” And the UW includes students in its marketing when promising free tuition to low income students. Professor Luchtel encouraged those present to tell the short story of UW in oral and written form, and to keep re-telling the story.

Professor Luchtel has enjoyed his time as Chair of the Faculty Senate, his interactions with the Board of Regents, and especially learning what the Regents do, which is to spend time working hard in the best interests of the University. He noted working relationships among Board of Regents, administration, and Faculty Senate improved this last year and hopefully will continue to do so. These three groups form legs of a three-legged stool, he said, and each needs the other two for the stool to stand.

He ended his lecture series with a quote Woody Allen proposed for his epitaph, “I was afraid it would come to this.”

Regent Barer and others thanked Professor Luchtel for his year of service. Regent Jewell, as unofficial liaison to the faculty, offered her thanks to Professor Luchtel for his service and for his thoughtful insights into the inner workings of the academy.

**ASUW President:** Mr. Anttimo Bennett was absent from the meeting

**GPSS President:** Mr. Jake Faleschini

Faleschini introduced himself and thanked the Board for the opportunity to work with them.

He outlined his areas of focus: better health-care for students, increased access to child-care and mental health-care, and the responsible re-building of the HUB, ECC, and Hall Health. He included increased public service within the community, both within GPSS and greater Seattle community. Another area of focus is the issue of local control. He plans to establish a research committee to determine if local control benefits graduate and professional students. He will also research if graduate students are receiving a commensurate increase in the level of education in direct correlation to the increase in their tuition costs. Faleschini is grateful that improved campus safety and child-care are included in the 2009–11 State budget request.

Faleschini received his undergraduate education at Reed College and worked in the New York State Attorney General's office prosecuting organized crime. He is a concurrent degree student in Law and International Studies. This summer he is working in the district attorney's office in San Francisco. His eventual career goal is to prosecute international war crimes.

**Alumni Association President:**

Alumni Association President Norm Proctor was not able to attend the meeting, neither were President-elect Mr. Rick Osterhout and Vice President-elect Eddie Pasatiempo.

Past President, Mr. Greg Blodgett, provided a report about the recent activities of the UWAA. On Friday, July 18, 1,300 people are scheduled to attend Husky Night at the Mariners where they will watch Rick Osterhout throw out the first pitch. The Association will host Husky Summer Celebration events across the country to drum up Husky spirit outside of Seattle. In each region, these events will include newly admitted students, their parents, and local alumni.

Mr. Blodgett described the editorial and operational transitions at Columns magazine.

UWAA is holding a retreat this August and is pleased to announce four newly appointed Board members.

Mr. Blodgett provided an update on the Alumni Travel program. The program offers travel opportunities that keep alumni and friends connected to the UW. The trips provide a positive Husky experience, bringing travelers closer to what's going on at the University.

Mr. Blodgett relayed thanks to the Regents on behalf of out-going UWAA President Norm Proctor.

**REPORTS FROM OTHER ATTENDEES TO THE BOARD OF REGENTS**

**ASUW Tacoma President:**

Tommy Bauer introduced himself, and shared his goals for his term as ASUW Tacoma President. He proposes an ambitious agenda to revamp the constitution and by-laws to eliminate inefficiencies and conflicts. He is currently forming research committees. He would like to increase student access to facilities to twenty-four hours per day. He looks forward to addressing the issue of student housing at the UW Tacoma, and to working with Sound Transit on behalf of student commuters using public/mass transit to strengthen and grow transportation infrastructure in the South Sound region.

**ASUW Bothell President:**

Emily Anderson introduced herself. She is a senior in Interdisciplinary Studies with a concentration in Society, Ethics, and Human Behavior with a minor in Human Rights.

Regent Barer thanked both students for their participation and asked about the extent to which Bothell and Tacoma student leadership coordinates with Seattle ASUW (and GPSS), including consensus of a position for Olympia. Students noted there is a tri-campus meeting set in October to tackle issues of collaboration. Specifically, Bothell is working on transportation for students to attend athletic events in Seattle. They will also strive to develop a unified agenda for lobby day.

Bothell and Tacoma students have access to participation in athletic programs at the Seattle campus. The Tacoma campus has an inter-mural program in its second year that they hope to expand.

Intercollegiate athletic tickets are available to Bothell and Tacoma students for football, basketball, and other sports as they are for UW students on the Seattle campus. Transportation to athletic events is a challenge.

**DATE FOR NEXT MEETING**

The meeting scheduled for August 21, 2008 was cancelled by Regent Barer. The next regular meeting of the Board of Regents will be held on Thursday, September 18, 2008, on campus.

**ADJOURNMENT**

The regular meeting was adjourned at 4 p.m.



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Joan Goldblatt, Secretary of the Board of Regents



VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Academic and Administrative Appointments

RECOMMENDED ACTION:

It is the recommendation of the administration and the academic and Student Affairs Committee that the Board of Regents approve the appointments to the University faculty and administration as presented on the attached list.

Attachment: Personnel Recommendations

## **COLLEGE OF ARCHITECTURE AND URBAN PLANNING**

### DEPARTMENT OF URBAN DESIGN AND PLANNING

#### **NEW APPOINTMENTS**

##### **CHALANA, MANISH**

(BArch, 1993, MANGALOR UNIVERSITY (INDIA); MArch, 1995, SCHOOL OF PLANNING & ARCHITECTURE (INDIA); MArch, 1999, PENNSYLVANIA STATE UNIVERSITY; PHD, 2005, UNIVERSITY OF COLORADO (BOULDER)) TO BE ASSISTANT PROFESSOR OF URBAN DESIGN AND PLANNING AT A SALARY RATE OF \$52,470 OVER NINE MONTHS, EFFECTIVE 9/16/2008. (DR. CHALANA IS CURRENTLY A LECTURER, FULL-TIME, IN THE SAME DEPARTMENT.)

##### **WHITTINGTON, JANICE MARIE**

(BA, 1987, UNIVERSITY OF CALIFORNIA (SANTA CRUZ); MA, 1993, CALIFORNIA POLYTECHNIC STATE UNIVERSITY (SAN LUIS OBISPO); PHD, 2008, UNIVERSITY OF CALIFORNIA (BERKELEY)) TO BE ASSISTANT PROFESSOR OF URBAN DESIGN AND PLANNING AT A SALARY RATE OF \$50,000 OVER NINE MONTHS, EFFECTIVE 5/22/2008. (PHD AWARDED MAY 2008 FROM UNIVERSITY OF CALIFORNIA, BERKELEY.)

## **COLLEGE OF ARTS AND SCIENCES**

### DANCE PROGRAM

#### **ADMINISTRATIVE APPOINTMENTS**

##### **SALK, JENNIFER**

(BFA, 1992, UNIVERSITY OF UTAH; MFA, 1994, OHIO STATE UNIVERSITY) TO BE ACTING DIRECTOR OF THE DANCE PROGRAM, EFFECTIVE 9/16/2008. (MS. SALK WILL CONTINUE AS PROFESSOR IN THE SAME PROGRAM.)

### DEPARTMENT OF ANTHROPOLOGY

#### **NEW APPOINTMENTS**

##### **DE LEON, JASON**

(BA, 2001, UNIVERSITY OF CALIFORNIA (LOS ANGELES); MA, 2004, PENNSYLVANIA STATE UNIVERSITY; PHD, 2008 (expected), PENNSYLVANIA STATE UNIVERSITY) TO BE LECTURER FULL-TIME, COMPETITIVE RECRUITMENT OF ANTHROPOLOGY AT A SALARY RATE OF \$55,008 OVER NINE MONTHS, EFFECTIVE 9/16/2008.

### DEPARTMENT OF BIOLOGY

#### **ADMINISTRATIVE APPOINTMENTS**

##### **HUEY, RAYMOND**

(BA, 1966, UNIVERSITY OF CALIFORNIA (BERKELEY); MA, 1969, UNIVERSITY OF TEXAS (AUSTIN); PHD, 1975, HARVARD UNIVERSITY) TO BE CHAIR OF BIOLOGY, EFFECTIVE 7/1/2008. (DR. HUEY WILL CONTINUE AS PROFESSOR IN THE SAME DEPARTMENT.)

DEPARTMENT OF CHEMISTRY

**NEW APPOINTMENTS**

**SULLIVAN, PHILIP**

(BS, 2001, MONTANA STATE UNIVERSITY; PHD, 2006, UNIVERSITY OF WASHINGTON) TO BE RESEARCH ASSISTANT PROFESSOR OF CHEMISTRY AT A SALARY RATE OF \$52,116 OVER TWELVE MONTHS, EFFECTIVE 7/1/2008. (PRIOR TO THIS APPOINTMENT, DR. SULLIVAN WAS A RESEARCH ASSOCIATE IN THE SAME DEPARTMENT.)

**ZHANG, BO**

(BS, 1999, SHANDONG UNIVERSITY (CHINA); MS, 2002, PEKING UNIVERSITY (CHINA); PHD, 2006, UNIVERSITY OF UTAH) TO BE ASSISTANT PROFESSOR OF CHEMISTRY AT A SALARY RATE OF \$74,007 OVER NINE MONTHS, EFFECTIVE 9/16/2008.

**COLLEGE OF ARTS AND SCIENCES**

DEPARTMENT OF CLASSICS

**NEW APPOINTMENTS**

**TOPPER, KATHRYN**

(BA, 2000, BRYN MAWR COLLEGE; PHD, 2007, HARVARD UNIVERSITY) TO BE ASSISTANT PROFESSOR OF CLASSICS AT A SALARY RATE OF \$60,300 OVER NINE MONTHS, EFFECTIVE 9/16/2008. (DR. TOPPER IS CURRENTLY A LECTURER IN CLASSICS AT HARVARD UNIVERSITY.)

DEPARTMENT OF COMMUNICATION

**ADMINISTRATIVE APPOINTMENTS**

**DOMKE, DAVID**

(BA, 1991, CALIFORNIA STATE UNIVERSITY, FULLERTON; MA, 1992, CALIFORNIA STATE UNIVERSITY, FULLERTON; PHD, 1996, UNIVERSITY OF MINNESOTA) TO BE ACTING CHAIR OF COMMUNICATION, EFFECTIVE 8/1/2008. (DR. DOMKE WILL CONTINUE AS PROFESSOR OF COMMUNICATION AND ADJUNCT PROFESSOR OF POLITICAL SCIENCE.)

DEPARTMENT OF COMPARATIVE LITERATURE

**NEW APPOINTMENTS**

**MAHADEVAN, SUDHIR**

(BA, 1995, ST. XAVIER'S COLLEGE (INDIA); MA, 2000, NEW YORK UNIVERSITY; PHD, 2008 (expected), NEW YORK UNIVERSITY) TO BE ACTING ASSISTANT PROFESSOR OF COMPARATIVE LITERATURE AT A SALARY RATE OF \$60,003 OVER NINE MONTHS, EFFECTIVE 9/16/2008.

DEPARTMENT OF ENGLISH

**NEW APPOINTMENTS**

**MATTHEWS, CARRIE**

(BA, 1997 UNIVERSITY OF VIRGINIA; MA, 2001, UNIVERSITY OF NORTH CAROLINA; PHD, 2007, UNIVERSITY OF NORTH CAROLINA) TO BE LECTURER FULL-TIME, COMPETITIVE RECRUITMENT, OF ENGLISH AT A SALARY RATE OF \$48,006 OVER NINE MONTHS, EFFECTIVE 9/16/2008.

**MOTHA, MARY N. SUTHANIE**

(MEd, 1995, UNIVERSITY OF MARYLAND; PHD, 2004, UNIVERSITY OF MARYLAND) TO BE ASSISTANT PROFESSOR OF ENGLISH AT A SALARY RATE OF \$62,001 OVER NINE MONTHS, EFFECTIVE 9/16/2008. (DR. MOTHA IS CURRENTLY A VISITING ASSISTANT PROFESSOR AT THE UNIVERSITY OF MARYLAND.)

DEPARTMENT OF MATHEMATICS

**ENDOWED APPOINTMENTS**

**MORROW, JAMES A.**

(BS, 1963, CALIFORNIA INSTITUTE OF TECHNOLOGY; PHD, 1967, STANFORD UNIVERSITY) TO BE HOLDER OF THE KAUFFMAN & REBASSOO TERM PROFESSORSHIP IN MATHEMATICS, EFFECTIVE 6/16/2008. (DR. MORROW WILL CONTINUE AS PROFESSOR OF MATHEMATICS.)

**NEW APPOINTMENTS**

**PAL, SOUMIK**

(BS, 2000, INDIAN STATISTICAL INSTITUTE (INDIA); MS, 2002, INDIAN STATISTICAL INSTITUTE (INDIA); PHD, 2006, COLUMBIA UNIVERSITY) TO BE ASSISTANT PROFESSOR OF MATHEMATICS AT A SALARY RATE OF \$73,107 OVER NINE MONTHS, EFFECTIVE 9/16/2008. (DR. PAL IS CURRENTLY A VISITING ASSISTANT PROFESSOR OF MATHEMATICS AT CORNELL UNIVERSITY.)

DEPARTMENT OF PSYCHOLOGY

**ADMINISTRATIVE APPOINTMENTS**

**MIZUMORI, SHERI**

(BS, 1977, UNIVERSITY OF WASHINGTON; MS, 1983, UNIVERSITY OF CALIFORNIA (BERKELEY); PHD, 1985, UNIVERSITY OF CALIFORNIA (BERKELEY)) TO BE CHAIR OF PSYCHOLOGY, EFFECTIVE 7/1/2008. (DR. MIZUMORI WILL CONTINUE AS PROFESSOR IN THE SAME DEPARTMENT.)

DEPARTMENT OF SOCIOLOGY

**ADMINISTRATIVE APPOINTMENTS**

**CRUTCHFIELD, ROBERT**

(BA, 1971, THIEL COLLEGE; MA, 1976, VANDERBILT UNIVERSITY; PHD, 1980, VANDERBILT UNIVERSITY) TO BE CHAIR OF SOCIOLOGY, EFFECTIVE 7/1/2008. (DR. CRUTCHFIELD WILL CONTINUE AS PROFESSOR IN THE SAME DEPARTMENT.)

SCHOOL OF ART

**ENDOWED APPOINTMENTS**

**BRIXEY, SHAWN**

(BFA, 1985, KANSAS CITY ART INSTITUTE; MS, 1988, MASSACHUSETTS INSTITUTE OF TECHNOLOGY) TO BE HOLDER OF THE FLOYD AND DELORES JONES ENDOWED CHAIR IN THE ARTS, EFFECTIVE 9/16/2008. (MS. BRIXEY WILL CONTINUE AS ASSOCIATE PROFESSOR OF ART.)

**ZIRPEL, MARK**

(BFA, 1985, UNIVERSITY OF ALASKA; MFA, 1989, SAN FRANCISCO ART INSTITUTE) TO BE HOLDER OF THE DALE CHIHULY ENDOWED CHAIR IN GLASS OVER, EFFECTIVE 9/16/2008. (MR. ZIRPEL WILL CONTINUE AS ASSISTANT PROFESSOR OF ART.)

## **COLLEGE OF ENGINEERING**

### DEPARTMENT OF ELECTRICAL ENGINEERING

#### **NEW APPOINTMENTS**

##### **CHOI, HYOUK RYEOL**

(BS, 1984, SEOUL NATIONAL UNIVERSITY (KOREA); MS, 1986, KOREA ADVANCED INST OF SCIENCE AND TECHNOLOGY; PHD, 1994, POHANG UNIVERSITY OF SCIENCE AND TECHNOLOGY (KOREA)) TO BE VISITING PROFESSOR OF ELECTRICAL ENGINEERING WITHOUT SALARY FROM THE UNIVERSITY, EFFECTIVE 7/16/2008. (DR. CHOI IS CURRENTLY A PROFESSOR AT SUNG KYUN KWAN UNIVERSITY.)

### DEPARTMENT OF MATERIALS SCIENCE AND ENGINEERING

#### **NEW APPOINTMENTS**

##### **ROLANDI, MARCO**

(MS, 2000, UNIVERSITY OF LONDON (UK); PHD, 2005, STANFORD UNIVERSITY) TO BE ASSISTANT PROFESSOR OF MATERIALS SCIENCE AND ENGINEERING AT A SALARY RATE OF \$73,998 OVER NINE MONTHS, EFFECTIVE 8/1/2008. (DR. ROLANDI IS CURRENTLY A POSTDOCTORAL FELLOW AT THE UNIVERSITY OF CALIFORNIA (BERKELEY).)

### DEPARTMENT OF TECHNICAL COMMUNICATION

#### **NEW APPOINTMENTS**

##### **LEE, CHARLOTTE**

(BA, 1991, UNIVERSITY OF CALIFORNIA (BERKELEY); MA, 1997, SAN JOSE STATE UNIVERSITY; PHD, 2004, UNIVERSITY OF CALIFORNIA (LOS ANGELES)) TO BE ASSISTANT PROFESSOR OF TECHNICAL COMMUNICATION AT A SALARY RATE OF \$81,000 OVER NINE MONTHS, EFFECTIVE 12/16/2008. (DR. LEE IS CURRENTLY A RESEARCH SCIENTIST AT THE UNIVERSITY OF CALIFORNIA (IRVINE).)

## **COLLEGE OF THE ENVIRONMENT**

### COLLEGE OF THE ENVIRONMENT

#### **ADMINISTRATIVE APPOINTMENTS**

##### **HARTMANN, DENNIS**

(BS, 1971, UNIVERSITY OF PORTLAND; MA, 1973, PRINCETON UNIVERSITY; PHD, 1975, PRINCETON UNIVERSITY) TO BE INTERIM DEAN OF THE COLLEGE OF THE ENVIRONMENT OVER TWELVE MONTHS, EFFECTIVE 7/1/2008. (DR. HARTMANN WILL CONTINUE AS PROFESSOR OF ATMOSPHERIC SCIENCES AND ADJUNCT PROFESSOR OF QUATERNARY RESEARCH CENTER.)

## **SCHOOL OF LAW**

### SCHOOL OF LAW

#### **NEW APPOINTMENTS**

##### **MYHRE, THEODORE**

(BA, 1990, EVERGREEN STATE COLLEGE; MA, 1991, DREW UNIVERSITY; MA, 1994, BOSTON COLLEGE; JD, 2000, SEATTLE UNIVERSITY) TO BE LECTURER FULL-TIME, COMPETITIVE RECRUITMENT OF LAW AT A SALARY RATE OF \$63,612 OVER NINE MONTHS, EFFECTIVE 9/16/2008. (MR. MYHRE IS CURRENTLY A LECTURER IN THE SAME SCHOOL.)

## COLLEGE OF OCEAN AND FISHERY SCIENCES

### SCHOOL OF OCEANOGRAPHY

#### NEW APPOINTMENTS

##### **SHEETS, BENJAMIN A.**

(BA, 1997, CARLETON COLLEGE; PHD, 2004, UNIVERSITY OF MINNESOTA) TO BE ASSISTANT PROFESSOR OF OCEANOGRAPHY AT A SALARY RATE OF \$63,900 OVER NINE MONTHS, EFFECTIVE 7/1/2008. (PRIOR TO THIS APPOINTMENT, DR. SHEETS WAS A SENIOR RESEARCH GEOLOGIST FOR EXXON MOBIL UPSTREAM RESEARCH COMPANY.)

## COLLEGE OF FOREST RESOURCES

### FOREST RESOURCES

#### ENDOWED APPOINTMENTS

##### **ETTL, GREGORY J.**

(BS, 1987, UNIVERSITY OF WISCONSIN-MADISON; MAT, 1989, UNIVERSITY OF WASHINGTON; PHD, 1995, UNIVERSITY OF WASHINGTON) TO BE HOLDER OF THE JAMES W. RIDGEWAY PROFESSORSHIP IN FOREST RESOURCES OVER, EFFECTIVE 9/16/2008. (DR. Ettl WILL CONTINUE AS ASSOCIATE PROFESSOR WITHOUT TENURE OF FOREST RESOURCES.)

#### NEW APPOINTMENTS

##### **WIRSING, AARON**

(BA, 1996, BOWDOIN COLLEGE; MS, 2001, UNIVERSITY OF IDAHO; PHD, 2005, SIMON FRASER UNIVERSITY (CANADA)) TO BE ASSISTANT PROFESSOR OF FOREST RESOURCES AT A SALARY RATE OF \$63,000 OVER NINE MONTHS, EFFECTIVE 9/1/2008. (DR. WIRSING IS CURRENTLY A POST-DOCTORAL RESEARCH FELLOW AT FLORIDA INTERNATIONAL UNIVERSITY.)

## INFORMATION SCHOOL

### INFORMATION SCHOOL

#### NEW APPOINTMENTS

##### **GOMEZ, RICARDO**

(MA, 1992, UNIVERSITE DU QUEBEC (CANADA); PHD, 1997, CORNELL UNIVERSITY) TO BE ASSISTANT PROFESSOR IN THE INFORMATION SCHOOL AT A SALARY RATE OF \$88,002 OVER NINE MONTHS, EFFECTIVE 9/16/2008. (DR. GOMEZ IS CURRENTLY A RESEARCH COORDINATOR IN THE SAME SCHOOL.)

##### **KO, ANDREW**

(BS, 2002, OREGON STATE UNIVERSITY; PHD, 2008, CARNEGIE MELLON UNIVERSITY) TO BE ASSISTANT PROFESSOR IN THE INFORMATION SCHOOL AT A SALARY RATE OF \$89,001 OVER NINE MONTHS, EFFECTIVE 9/16/2008.

## SCHOOL OF DENTISTRY

### DEPARTMENT OF ORTHODONTICS

#### **NEW APPOINTMENTS**

##### **LEONE, PAOLA**

(DDS, 1997, UNIVERSITY OF BARI (ITALY); MSD, 2001, ST LOUIS UNIVERSITY) TO BE CLINICAL ASSISTANT PROFESSOR-DENTAL PATHWAY, PART-TIME, OF ORTHODONTICS AT A SALARY RATE OF \$45,204 OVER TWELVE MONTHS, EFFECTIVE 7/1/2008. (PRIOR TO THIS APPOINTMENT, DR. LEONE WAS AN ACTING ASSISTANT PROFESSOR - TEMPORARY, IN THE SAME DEPARTMENT.)

## SCHOOL OF MEDICINE

### DEPARTMENT OF LABORATORY MEDICINE

#### **NEW APPOINTMENTS**

##### **CHAKRABARTI, LISA**

(BS, 1992, UNIVERSITY OF LEEDS (UK); PHD, 1997, OXFORD UNIVERSITY (UK)) TO BE RESEARCH ASSISTANT PROFESSOR OF LABORATORY MEDICINE AT A SALARY RATE OF \$81,000 OVER TWELVE MONTHS, EFFECTIVE 6/1/2008. (PRIOR TO THIS APPOINTMENT, DR. CHAKRABARTI WAS AN ACTING INSTRUCTOR IN THE SAME DEPARTMENT.)

##### **FROMM, JONATHAN**

(BA, 1990, GRINELL COLLEGE; MD, 1997, UNIVERSITY OF IOWA; PHD, 1997, UNIVERSITY OF IOWA) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF LABORATORY MEDICINE AT A SALARY RATE OF \$111,072 OVER TWELVE MONTHS, EFFECTIVE 7/1/2008. (PRIOR TO THIS APPOINTMENT, DR. FROMM WAS AN ACTING ASSISTANT PROFESSOR - TEMPORARY IN THE SAME DEPARTMENT.)

##### **XU, MIN**

(BM, 1986, BEIJING MEDICAL UNIVERSITY (CHINA); PHD, 1993, WAYNE STATE UNIVERSITY) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF LABORATORY MEDICINE PAID DIRECT BY CHILDREN'S HOSPITAL AND REGIONAL MEDICAL CENTER EFFECTIVE 7/1/2008. (PRIOR TO THIS APPOINTMENT, DR. XU WAS AN ASSOCIATE CLINICAL PATHOLOGIST AT CHILDREN'S HOSPITAL AND REGIONAL MEDICAL CENTER.)

### DEPARTMENT OF MEDICAL EDUCATION AND BIOMEDICAL INFORMATICS

#### **NEW APPOINTMENTS**

##### **ABERNETHY, NEIL**

(BS, 1993, NORTH CAROLINA STATE UNIVERSITY; PHD, 2005, STANFORD UNIVERSITY) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF MEDICAL EDUCATION AND BIOMEDICAL INFORMATICS AND ASSISTANT PROFESSOR WITHOUT TENURE OF HEALTH SERVICES AT A SALARY RATE OF \$92,004 OVER TWELVE MONTHS, EFFECTIVE 6/16/2008. (PRIOR TO THIS APPOINTMENT, DR. ABERNETHY WAS A CONSULTANT FOR THE MEDICAL RESEARCH COUNCIL IN THE GAMBIA, WEST AFRICA.)

### DEPARTMENT OF MEDICINE

#### **ENDOWED APPOINTMENTS**

##### **BRETNALL, TERESA AVERILL**

(BS, 1983, VIRGINIA POLYTECHNIC INST & STATE UNIV; MD, 1987, UNIVERSITY OF WASHINGTON) TO BE HOLDER OF THE CHARLES AND MARY ANN WALTERS ENDOWED CHAIR, EFFECTIVE 6/1/2008. (DR. BRETNALL WILL CONTINUE AS ASSOCIATE PROFESSOR WITHOUT TENURE OF MEDICINE AND OF PATHOLOGY.)

**COLVEN, ROY MITCHELL**

(BS, 1983, UNIVERSITY OF WASHINGTON; MD, 1987, UNIVERSITY OF WASHINGTON) TO BE HOLDER OF THE JOHN E. OLERUD ENDOWED PROFESSORSHIP FOR DERMATOLOGY TRAINING, EFFECTIVE 6/1/2008. (DR. COLVEN WILL CONTINUE AS ASSOCIATE PROFESSOR WITHOUT TENURE OF MEDICINE.)

**NEW APPOINTMENTS**

**GATEWOOD, MEDLEY**

(BA, 1996, WILLIAMS COLLEGE; MD, 2001, UNIVERSITY OF CHICAGO) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF MEDICINE AT A SALARY RATE OF \$135,000 OVER TWELVE MONTHS, EFFECTIVE 7/1/2008. (PRIOR TO THIS APPOINTMENT, DR. GATEWOOD WAS AN ACTING INSTRUCTOR IN THE SAME DEPARTMENT.)

DEPARTMENT OF NEUROLOGY

**NEW APPOINTMENTS**

**JANSEN, LAURA**

(BA, 1991, NORTHWESTERN UNIVERSITY; PHD, 1996, ST LOUIS UNIVERSITY; MD, 1998, ST LOUIS UNIVERSITY) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF NEUROLOGY PAID DIRECT BY CHILDREN'S HOSPITAL AND REGIONAL MEDICAL CENTER EFFECTIVE 7/1/2008. (PRIOR TO THIS APPOINTMENT, DR. JANSEN WAS AN ACTING ASSISTANT PROFESSOR - TEMPORARY IN THE SAME DEPARTMENT.)

DEPARTMENT OF OTOLARYNGOLOGY - HEAD AND NECK SURGERY

**ENDOWED APPOINTMENTS**

**FUTRAN, NEAL DAVID**

(BA, 1978, UNIVERSITY OF PENNSYLVANIA; DMD, 1982, UNIVERSITY OF PENNSYLVANIA; MD, 1987, STATE UNIVERSITY OF NEW YORK (DOWNSTATE MEDICAL CENTER)) TO BE HOLDER OF THE ALLISON T. WANAMAKER ENDOWED PROFESSORSHIP IN OTOLARYNGOLOGY - HEAD AND NECK SURGERY, EFFECTIVE 6/1/2008. (DR. FUTRAN WILL CONTINUE AS CHAIR AND PROFESSOR WITHOUT TENURE OF OTOLARYNGOLOGY - HEAD AND NECK SURGERY, PROFESSOR WITHOUT TENURE OF NEUROLOGICAL SURGERY AND ADJUNCT PROFESSOR OF SURGERY.)

DEPARTMENT OF PEDIATRICS

**NEW APPOINTMENTS**

**BURPEE, TYLER**

(BA, 1996, DARTMOUTH COLLEGE; MD, 2000, UNIVERSITY OF WASHINGTON) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF PEDIATRICS PAID DIRECT BY CHILDREN'S HOSPITAL AND REGIONAL MEDICAL CENTER EFFECTIVE 8/4/2008. (DR. BURPEE IS CURRENTLY A CLINICAL FELLOW AT HARVARD UNIVERSITY.)

**GLEW, GWEN**

(BS, 1991, SWEET BRIAR COLLEGE; MD, 1996, JOHNS HOPKINS UNIVERSITY; MPH, 2004, UNIVERSITY OF WASHINGTON) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF PEDIATRICS AT A SALARY RATE OF \$67,200 OVER TWELVE MONTHS, EFFECTIVE 7/1/2008. (PRIOR TO THIS APPOINTMENT, DR. GLEW WAS A FELLOW IN THE SAME DEPARTMENT.)

**PARTRIDGE, REBECCA**

(BS, 1998, UNIVERSITY OF UTAH; MD, 2002, UNIVERSITY OF UTAH) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF PEDIATRICS PAID DIRECT BY CHILDREN'S HOSPITAL AND REGIONAL MEDICAL CENTER EFFECTIVE 7/14/2008. (PRIOR TO THIS APPOINTMENT, DR. PARTRIDGE WAS A PEDIATRIC EMERGENCY MEDICINE FELLOW AT VANDERBILT UNIVERSITY MEDICAL CENTER.)



DEPARTMENT OF PEDIATRICS

**NEW APPOINTMENTS**

**TABOR, HOLLY**

(BA, 1994, HARVARD UNIVERSITY; PHD, 2002, STANFORD UNIVERSITY) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF PEDIATRICS AND ADJUNCT ASSISTANT PROFESSOR OF MEDICAL HISTORY AND ETHICS AT A SALARY RATE OF \$94,008 OVER TWELVE MONTHS, EFFECTIVE 7/14/2008. (PRIOR TO THIS APPOINTMENT, DR. TABOR WAS A FELLOW IN BIOMEDICAL ETHICS AT STANFORD UNIVERSITY.)

DEPARTMENT OF PHARMACOLOGY

**NEW APPOINTMENTS**

**SCOTT, JOHN**

(BS, 1980, HERIOT-WATT UNIVERSITY (UK); PHD, 1983, UNIVERSITY OF ABERDEEN (UK)) TO BE PROFESSOR OF PHARMACOLOGY PAID DIRECT BY HOWARD HUGHES MEDICAL INSTITUTE EFFECTIVE 7/16/2008. (PRIOR TO THIS APPOINTMENT, DR. SCOTT WAS A PROFESSOR AT OREGON HEALTH SCIENCES UNIVERSITY AND AN INVESTIGATOR WITH HOWARD HUGHES MEDICAL INSTITUTE.)

DEPARTMENT OF PSYCHIATRY AND BEHAVIORAL SCIENCES

**NEW APPOINTMENTS**

**LEE, TERRY**

(BA, 1983, UNIVERSITY OF MINNESOTA; MD, 1987, UNIVERSITY OF MINNESOTA) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF PSYCHIATRY AND BEHAVIORAL SCIENCES AT A SALARY RATE OF \$151,008 OVER TWELVE MONTHS, EFFECTIVE 7/1/2008. (PRIOR TO THIS APPOINTMENT, DR. LEE WAS AN ACTING ASSISTANT PROFESSOR - TEMPORARY IN THE SAME DEPARTMENT.)

**MURRAY, SUZANNE**

(BA, 1991, UNIVERSITY OF NEW HAMPSHIRE; MD, 1997, TEMPLE UNIVERSITY) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF PSYCHIATRY AND BEHAVIORAL SCIENCES AT A SALARY RATE OF \$153,648 OVER TWELVE MONTHS, EFFECTIVE 7/1/2008. (PRIOR TO THIS APPOINTMENT, DR. MURRAY WAS AN ACTING ASSISTANT PROFESSOR - TEMPORARY IN THE SAME DEPARTMENT.)

DEPARTMENT OF RADIOLOGY

**ENDOWED APPOINTMENTS**

**DUBINSKY, THEODORE JAY**

(BS, 1979, JOHNS HOPKINS UNIVERSITY; MD, 1983, UNIVERSITY OF MARYLAND) TO BE HOLDER OF THE LAURENCE A MACK, M.D. ENDOWED PROFESSORSHIP IN RADIOLOGY, EFFECTIVE 7/1/2008. (DR. DUBINSKY WILL CONTINUE AS ASSOCIATE PROFESSOR WITHOUT TENURE OF RADIOLOGY AND ADJUNCT ASSOCIATE PROFESSOR OF OBSTETRICS AND GYNECOLOGY.)

**NEW APPOINTMENTS**

**GUTIERREZ, ROBERT**

(BS, 1997, UNIVERSITY OF WASHINGTON; MD, 2001, UNIVERSITY OF WASHINGTON) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF RADIOLOGY AT A SALARY RATE OF \$102,000 OVER TWELVE MONTHS, EFFECTIVE 7/1/2008. (PRIOR TO THIS APPOINTMENT, DR. GUTIERREZ WAS IN PRIVATE PRACTICE AT TACOMA RADIOLOGICAL ASSOCIATES.)

**HURLEY, PATRICK**

(BS, 1997, STANFORD UNIVERSITY; MD, 2002, WEST VIRGINIA UNIVERSITY) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF RADIOLOGY AT A SALARY RATE OF \$98,004 OVER TWELVE MONTHS, EFFECTIVE 7/16/2008. (PRIOR TO THIS APPOINTMENT, DR. HURLEY WAS A FELLOW IN DIGITAL IMAGING AT THE MEDICAL COLLEGE OF WISCONSIN (MILWAUKEE).)

**LEBLOND, ANTOINE**

(MD, 2002, LAVAL UNIVERSITY (CANADA)) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF RADIOLOGY AT A SALARY RATE OF \$88,008 OVER TWELVE MONTHS, EFFECTIVE 7/1/2008. (PRIOR TO THIS APPOINTMENT, DR. LEBLOND WAS AN ACTING INSTRUCTOR AND SENIOR FELLOW IN THE SAME DEPARTMENT.)

DEPARTMENT OF REHABILITATION MEDICINE

**NEW APPOINTMENTS**

**HAKIMI, KEVIN**

(BS, 1996, UNIVERSITY OF MICHIGAN; MD, 2000, WAYNE STATE UNIVERSITY) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF REHABILITATION MEDICINE PAID DIRECT BY VETERANS AFFAIRS PUGET SOUND HEALTH CARE SYSTEM EFFECTIVE 7/1/2008. (PRIOR TO THIS APPOINTMENT, DR. HAKIMI WAS AN ACTING ASSISTANT PROFESSOR - TEMPORARY IN THE SAME DEPARTMENT.)

DEPARTMENT OF REHABILITATION MEDICINE

**NEW APPOINTMENTS**

**JIRIKOWIC, TRACY**

(BS, 1991, UNIVERSITY OF WISCONSIN-MADISON; MS, 1995, UNIVERSITY OF WASHINGTON; PHD, 2003, UNIVERSITY OF WASHINGTON) TO BE ASSISTANT PROFESSOR WITHOUT TENURE, PART-TIME, OF REHABILITATION MEDICINE AT A SALARY RATE OF \$60,000 OVER TWELVE MONTHS, EFFECTIVE 7/1/2008. (PRIOR TO THIS APPOINTMENT, DR. JIRIKOWIC WAS A CLINICAL ASSISTANT PROFESSOR IN THE SAME DEPARTMENT.)

**REYES, MARIA REGINA**

(BS, 1988, HAVERFORD COLLEGE; MD, 1992, PENNSYLVANIA STATE UNIVERSITY) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF REHABILITATION MEDICINE AT A SALARY RATE OF \$82,008 OVER TWELVE MONTHS, EFFECTIVE 7/1/2008. (PRIOR TO THIS APPOINTMENT, DR. REYES WAS AN ACTING ASSISTANT PROFESSOR - TEMPORARY IN THE SAME DEPARTMENT.)

DEPARTMENT OF SURGERY

**ENDOWED APPOINTMENTS**

**ALDEA, GABRIEL SORIN**

(BA, 1977, COLUMBIA UNIVERSITY; MD, 1981, COLUMBIA UNIVERSITY) TO BE HOLDER OF THE EDMARK PROFESSORSHIP IN CARDIOVASCULAR SURGERY, EFFECTIVE 7/1/2008. (DR. ALDEA WILL CONTINUE AS PROFESSOR WITHOUT TENURE OF SURGERY.)

**VEDDER, NICHOLAS BLAIR**

(BS, 1977, STANFORD UNIVERSITY; MD, 1981, CASE WESTERN RESERVE UNIVERSITY) TO BE HOLDER OF THE JAMIE A. HUNTER ENDOWED PROFESSORSHIP IN RECONSTRUCTIVE PLASTIC SURGERY, EFFECTIVE 7/1/2008. (DR. VEDDER WILL CONTINUE AS PROFESSOR WITHOUT TENURE OF SURGERY AND OF ORTHOPAEDICS AND SPORTS MEDICINE.)

DEPARTMENT OF UROLOGY

**ADMINISTRATIVE APPOINTMENTS**

**WESSELLS, HUNTER**

(BS, 1984, GEORGETOWN UNIVERSITY; MD, 1988, GEORGETOWN UNIVERSITY) TO BE CHAIR OF THE DEPARTMENT OF UROLOGY AND HOLDER OF THE WILMA WISE NELSON, OLE A. NELSON, AND MABEL WISE NELSON ENDOWED CHAIR IN UROLOGY, EFFECTIVE 7/1/2008. (DR. WESSELLS WILL CONTINUE AS PROFESSOR WITHOUT TENURE OF UROLOGY.)

**SCHOOL OF PUBLIC HEALTH AND COMMUNITY MEDICINE**

DEPARTMENT OF EPIDEMIOLOGY

**NEW APPOINTMENTS**

**WINER, RACHEL L.**

(BA, 1998, BROWN UNIVERSITY; MPH, 2002, UNIVERSITY OF WASHINGTON; PHD, 2005, UNIVERSITY OF WASHINGTON) TO BE ASSISTANT PROFESSOR OF EPIDEMIOLOGY AT A SALARY RATE OF \$86,000 OVER TWELVE MONTHS, EFFECTIVE 7/1/2008. (DR. WINER IS CURRENTLY AN ACTING INSTRUCTOR IN THE SAME DEPARTMENT.)

DEPARTMENT OF GLOBAL HEALTH

**NEW APPOINTMENTS**

**JAMISON, DEAN TECUMSEH**

(BA, 1966, STANFORD UNIVERSITY; MS, 1967, STANFORD UNIVERSITY; PHD, 1970, HARVARD UNIVERSITY) TO BE PROFESSOR OF GLOBAL HEALTH-PUBLIC HEALTH AND OF GLOBAL HEALTH-MEDICINE AT A SALARY RATE OF \$275,004 OVER TWELVE MONTHS, EFFECTIVE 9/1/2008. (DR. JAMISON IS CURRENTLY A PROFESSOR IN RESIDENCE AT THE UNIVERSITY OF CALIFORNIA, SAN FRANCISCO.)

DEPARTMENT OF HEALTH SERVICES

**NEW APPOINTMENTS**

**ALLAN, SUSAN MARY**

(BA, 1972, SEATTLE UNIVERSITY; JD, 1977, HARVARD UNIVERSITY; MD, 1982, HARVARD UNIVERSITY; MPH, 1992, JOHNS HOPKINS UNIVERSITY) TO BE ASSOCIATE PROFESSOR WITHOUT TENURE OF HEALTH SERVICES AT A SALARY RATE OF \$150,000 OVER TWELVE MONTHS, EFFECTIVE 7/1/2008.

## **UNIVERSITY OF WASHINGTON, TACOMA**

### EDUCATION PROGRAM

#### **ADMINISTRATIVE APPOINTMENTS**

##### **LANDENBURGER, KAREN**

(BS, 1973, UNIVERSITY OF MARYLAND; MN, 1979, UNIVERSITY OF WASHINGTON; PHD, 1987, UNIVERSITY OF WASHINGTON) TO BE INTERIM DIRECTOR OF THE EDUCATION PROGRAM EFFECTIVE 9/1/2008. (DR. LANDENBURGER WILL CONTINUE AS PROFESSOR OF NURSING, TACOMA.)

### INTERDISCIPLINARY ARTS AND SCIENCES PROGRAM

#### **NEW APPOINTMENTS**

##### **ALLEN, PAUL T.**

(BS, 2001, UNIVERSITY OF PUGET SOUND; MS, 2003, UNIVERSITY OF OREGON; PHD, 2007, UNIVERSITY OF OREGON) TO BE ASSISTANT PROFESSOR OF INTERDISCIPLINARY ARTS AND SCIENCES AT A SALARY RATE OF \$64,503 OVER NINE MONTHS, EFFECTIVE 12/16/2008. (DR. ALLEN IS CURRENTLY A SCIENTIST AT THE MAX PLANCK INSTITUTE.)

### NURSING PROGRAM

#### **NEW APPOINTMENTS**

##### **MOCERI, JOANE**

(BSN, 1979, UNIVERSITY OF WASHINGTON; MN, 2000, UNIVERSITY OF WASHINGTON; PHD, 2006, UNIVERSITY OF WASHINGTON) TO BE ASSISTANT PROFESSOR OF NURSING AT A SALARY RATE OF \$71,505 OVER NINE MONTHS, EFFECTIVE 9/16/2008. (DR. MOCERI IS CURRENTLY A LECTURER, FULL-TIME IN THE SAME PROGRAM.)

## VII. STANDING COMMITTEES

## A. Academic &amp; Student Affairs Committee

State Performance Agreement Draft

*Background material from Executive Vice Provost Doug Wadden is provided below. Additional material in the form of a Power Point presentation will be provided at the meeting.*

In May, the Board was briefed on HB 2641 and the requirement that the University appoint a working group to establish a performance agreement with the State of Washington. This group has developed a draft that contains:

- indicators that measure cost, quality, time to degree and articulation;
- benchmarks and goals for increased access and long-term degree production, including those in fields of study deemed critical to state needs;
- the level of resources necessary to meet performance outcomes, subject to legislative appropriation;
- indicators that measure outcomes concerning recruitment, retention and success of students, faculty and staff from diverse underrepresented communities; and
- proposed requests to the legislature that would enable greater efficiency, institutional influence, and retain autonomy throughout the term of the agreement.

This addresses the Higher Education Coordinating Board's 10 year Master Plan that called for a statewide increase of 48% in the number of bachelors degrees awarded, a 77% increase in the number of graduate and professional degrees awarded, and expanded degree production in areas of science, engineering and technology.

The initial draft is due by September 1, 2008, for an initial review by a new state committee on performance agreements. It will be submitted as a final proposal on November 1, 2008, and, if approved by the legislature, will be in effect from July 1, 2009 through June 30, 2015.

# ENROLLMENT & DEGREE PRODUCTION

A TEN YEAR PLAN  
FOR MEETING STATE NEEDS

UNIVERSITY OF WASHINGTON



# WASHINGTON'S HIGHER EDUCATION CHALLENGES

- ✓ Increase production of bachelor degree graduates — Washington ranks 36th in the nation
- ✓ Increase graduate and professional degree graduates — Washington ranks 43rd in the nation
- ✓ Increase freshmen access — record number of applications and acceptances in 2008 but also record rejections
- ✓ Increase access for transfers and graduate and professional students

# HEC BOARD 10-YEAR STRATEGIC MASTER PLAN ADDRESSES THESE STATE NEEDS

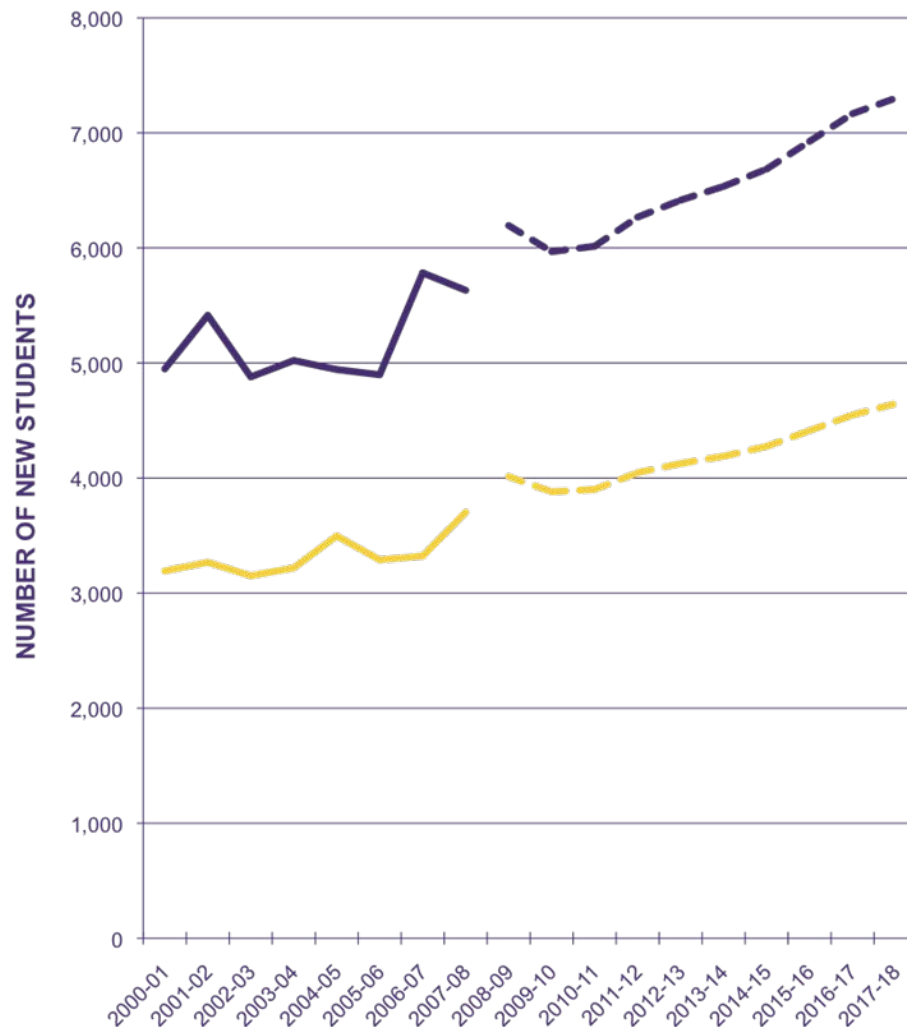
- ✓ Increase the number of bachelor degrees awarded by 48%
- ✓ Increase the number of graduate and professional degrees awarded by 77%
- ✓ Expand bachelor and advance degree programs in the areas of science, engineering and technology



# WHAT UW WILL DO TO HELP ACHIEVE THESE GOALS BY 2017-18

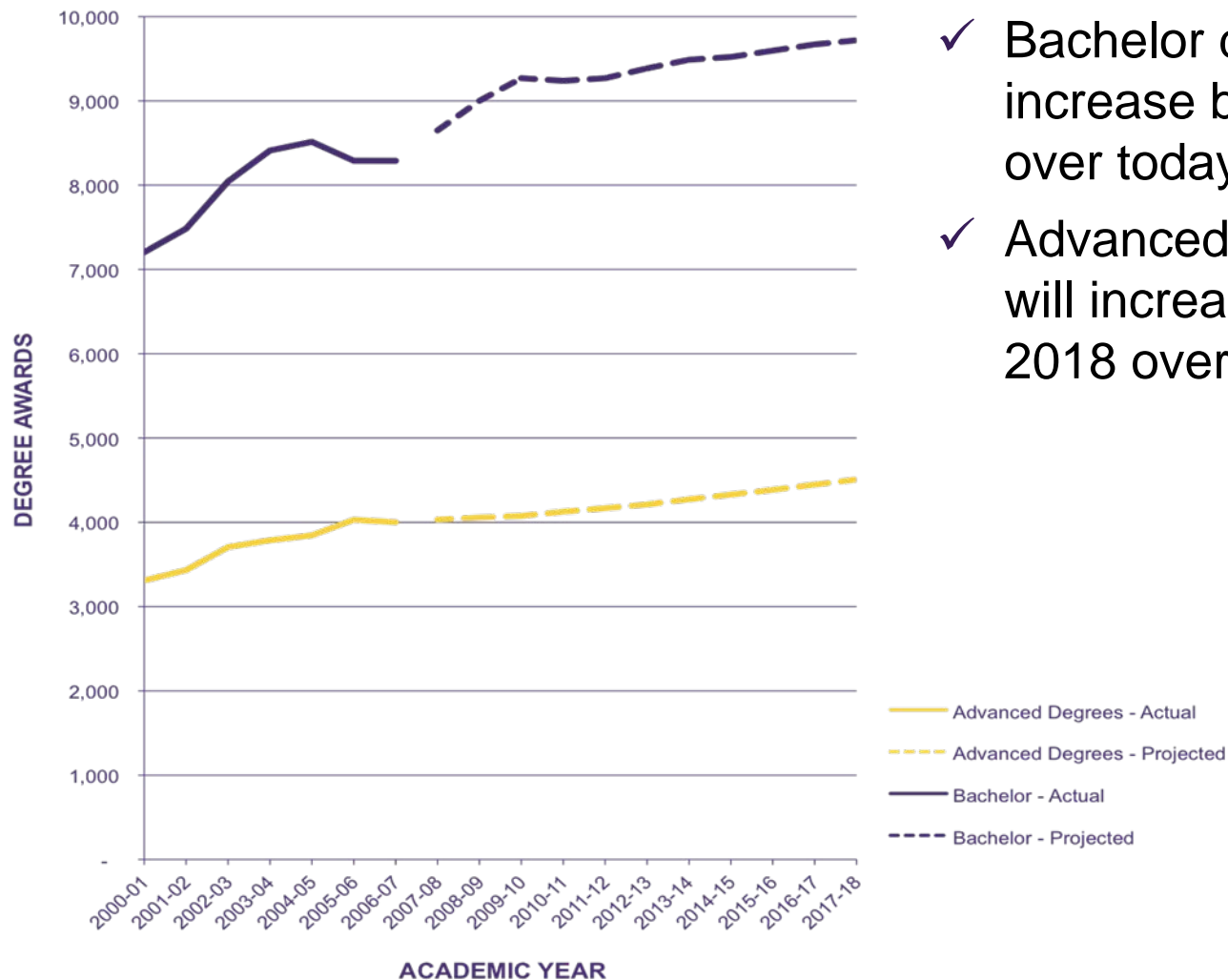
- ✓ Enroll 1,700 more freshmen directly from high school — Seattle 600, Bothell 450, Tacoma 650
- ✓ Award 2,000 more degrees — half of which will be in areas of critical state need
- ✓ Provide for 1,000 more community college transfer students
- ✓ Provide for 6,000 more undergraduate and 2,000 more graduate and professional students

# INCREASE FRESHMEN & TRANSFER STUDENTS ACROSS ALL THREE CAMPUSES



✓ 1,700 more freshmen and 1,000 more community college transfers admitted and enrolled in the University by 2017-18

# INCREASED DEGREE PRODUCTION ACROSS ALL THREE CAMPUSES



- ✓ Bachelor degree awards will increase by 1,500 by 2018 over today's 8,300
- ✓ Advanced degree awards will increase by 500 by 2018 over today's 4,000

# 20% MORE DEGREES IN AREAS OF CRITICAL STATE NEED ACROSS ALL THREE CAMPUSES\*



- ✓ Bachelor degree awards in areas of critical state need will rise by 700 from 2,900 over the next ten years
- ✓ Advanced degree awards in these areas will increase by 350 from 2,100 over ten years

\* Areas of Critical State Need are the life, natural, environmental and health sciences, engineering, computer and information systems and sciences, education and teacher preparation, and mathematics, applied mathematics and statistics.

# HOW CAN THIS PROPOSAL BE IMPLEMENTED OVER THE NEXT DECADE

- ✓ Construct additional residence facilities on all three campuses
- ✓ Insure sufficient state capital investments to build out UW Bothell and UW Tacoma campuses
- ✓ Additional capital funding to expand classroom space on all three campuses
- ✓ Increase faculty and staff positions
- ✓ Extend student recruitment and outreach deep into the K-12 system to increase college participation rates particularly among underrepresented groups

# WHAT ARE THE NEXT STEPS

- ✓ Funds to implement the first two years of this proposal are contained in the UW's 2009-11 operating and capital budget requests
- ✓ Future implementation of this proposal will require continued progress in closing the UW's per student competitive funding gap with the Global Challenge State peer institutions

**Proposed Metrics for Evidence of Contributing to State's Economy and Welfare (Page 1 of 2)**

Metric Name	For the Fiscal Year					Performance Agreement Six Year Period (ending 6/30)					
	2003	2004	2005	2006	2007	2010	2011	2012	2013	2014	2015
Number of research innovation disclosures	199	233	268	310	335	350	375	400	415	430	450
Rank among Global Challenge peer institutions	2	2	3	tied 1st	not available	not less than 3rd					
Number of patents, licenses and and options awarded	113	108	145	192	265	250	265	285	300	325	340
Rank among Global Challenge peer institutions	1	1	1	1	not available	not less than 3rd					

Metric Name	For the Academic Year					Performance Agreement Six Year Period (ending 6/30)					
	2002-03	2003-04	2004-05	2005-06	2006-07	2010	2011	2012	2013	2014	2015
Number of degrees awarded (all campuses)											
Bachelor	8,044	8,411	8,514	8,290	8,289	9,250	9,250	9,300	9,400	9,500	9,525
Masters	2,741	2,792	2,839	2,901	2,872						
Doctorates	493	506	530	616	631	Total Advanced Degrees					
1st Professional (MD, DDS, JD, PharmD)	472	489	476	513	498	4,075	4,125	4,175	4,200	4,275	4,325
Number of degrees awarded in areas of critical state need (all campuses)											
Bachelor	2,874	2,860	2,956	2,869	2,929	3,200	3,400	3,400	3,500	3,550	3,500
Masters	1,284	1,237	1,363	1,346	1,340						
Doctorates	355	353	386	458	467	Total Advanced Degrees					
1st Professional (MD, DDS, PharmD)	315	312	311	337	315	2,250	2,300	2,300	2,325	2,375	2,400

Areas of state critical need are defined as majors, curriculum or degree awards in the life, natural, environmental and health sciences, engineering, computer and information systems and sciences, education and teacher preparation and mathematics, applied mathematics and statistics.

**Proposed Metrics for Evidence of Contributing to State's Economy and Welfare (Page 2 of 2)**

Metric Name	For the Fiscal Year					Performance Agreement Six Year Period (ending 6/30)					
	2001-02	2002-03	2003-04	2004-05	2005-06	2010	2011	2012	2013	2014	2015
Percent of the nation's research and development expenditures at universities and colleges for science and engineering	1.7	1.7	1.7	1.5	1.6	1.6	1.6	1.7	1.7	1.7	1.7
National rank in research and development expenditures	5	5	6	8	6	6	6	6	5	5	5



**University of Washington**  
**Performance Accountability Metrics, Trends and Projections**

**Proposed Metrics for Evidence of Efficiency and Equity (page 1 of 2)**

Metric Name	For The Class Graduating:					Performance Agreement Six Year Period (ending 6/30)					
	2003	2004	2005	2006	2007	2010	2011	2012	2013	2014	2015
Percent entering freshman graduating within six (6) years (Seattle only)											
all students	70.6	73.5	74.2	75.0	75.4	76	76	76	77	77	78
African American	60.0	59.7	51.8	65.8	68.3	66	66	67	67	67	68
American Indian	54.2	64.0	71.8	54.2	51.9	56	56	57	57	58	58
Asian American	70.4	75.8	75.3	76.8	76.8	77	77	77	78	78	78
Hawaiian/Pacific Islander	58.7	55.3	63.6	69.6	77.4	70	70	70	70	70	70
Latino	64.5	60.5	69.0	68.9	65.1	66	66	66	66	57	67

Metric Name	For The Class Graduating:					Performance Agreement Six Year Period (ending 6/30)					
	2003	2004	2005	2006	2007	2010	2011	2012	2013	2014	2015
Percent community college transfers graduating within three (3) years (Seattle only)	69.7	73.9	76.1	79.2	78.5	79	79	79.0	80.0	80.0	80.0

Metric Name	For The Class Entering:					Performance Agreement Six Year Period (ending 6/30)					
	2003	2004	2005	2006	2007	2010	2011	2012	2013	2014	2015
Percent entering freshman continuing one (1) year after entering the University (Seattle only)											
all students	91.5	92.5	92.7	92.8	91.7	92	92	93	93	93	93
African American	94.2	90.9	93.7	89.1	86.1	90	90	90	91	91	91
American Indian	84.5	89.1	95.3	94.2	87.5	90	90	90	91	91	91
Asian American	93.8	93.7	93.7	94.4	93.2	94	94	95	95	95	95
Hawaiian/Pacific Islander	95.7	90.5	86.5	86.2	77.5	85	85	85	87	87	87
Latino	88.9	85.6	92.5	89.1	89.1	90	90	91	91	91	91

**Proposed Metrics for Evidence of Efficiency and Equity (page 2 of 2)**

Metric Name	For The Year (as of Fall)					Performance Agreement Six Year Period (ending 6/30)					
	2003	2004	2005	2006	2007	2010	2011	2012	2013	2014	2015
Percent faculty and academic personnel who are:											
Women	39	40	40	41	41	42	42	43	43	43	44
Underrepresented Minority	5	5	5	5	5	5	5	5	6	6	6
Minority	20	22	22	22	22	23	23	24	24	24	25
Percent professional staff personnel who are:											
Women	58	58	58	58	58	58	58	58	58	58	58
Underrepresented Minority	7	7	7	7	7	7	7	7	8	8	8
Minority	18	18	19	19	19	20	21	21	22	22	23
Percent classified staff personnel who are:											
Women	69	69	69	68	68	68	68	67	67	67	66
Underrepresented Minority	14	14	15	15	14	14	14	15	15	16	16
Minority	35	36	37	38	38	38	39	39	40	40	41

Metric Name	For the Academic Year					Performance Agreement Six Year Period (ending 6/30)					
	2002-03	2003-04	2004-05	2005-06	2006-07	2010	2011	2012	2013	2014	2015
Percent undergraduates who are receiving a Pell Grant (all campuses)	20.4	21.0	21.1	20.0	19.9	20	20	21	21	21	22

University performance on this metric is contingent upon the State of Washington funding the State Need Grant proportional to changes in resident undergraduate tuition rates and maintaining standards of aid eligibility no less than those in effect for the academic year 2007-08.

Metric Name	For the Academic Year					Performance Agreement Six Year Period (ending 6/30)					
	2002-03	2003-04	2004-05	2005-06	2006-07	2010	2011	2012	2013	2014	2015
Percent of bachelor degrees awarded within 125% of minimum credits required (all campuses)	91.6	91.3	91.9	92.7	92.7	93	93	93	94	94	94

**University of Washington**  
**Performance Accountability Metrics, Trends and Projections**

**Proposed Metrics for Evidence of Quality (Page 1 of 1)**

Metric Name	Five Years Ending					Performance Agreement Six Year Period (ending 6/30)					
	2001	2002	2003	2004	2005	2010	2011	2012	2013	2014	2015
Scholarly work citation impact (citations per paper)	8.4	8.9	9.2	9.3	9.4	9.4	9.4	9.4	9.4	9.4	9.4
Citation impact rank among global challenge institutional peers	2nd	2nd	2nd	2nd	2nd	Not less than 3rd					

Metric Name	For The Year					Performance Agreement Six Year Period (ending 6/30)					
	2002	2003	2004	2005	2006	2010	2011	2012	2013	2014	2015
Number of faculty elected to national academies and faculty awards and honors received	121	115	112	114	113	115	116	117	118	119	120

Metric Name	For The Year					Performance Agreement Six Year Period (ending 6/30)					
	2002	2003	2004	2005	2006	2010	2011	2012	2013	2014	2015
Percent University graduates agreeing or strongly agreeing that they would choose UW again											
5 years after graduation	79.8	not reported	81.6	not reported	86.5	87.0	not reported	89.0	not reported	90.0	not reported
10 years after graduation	77.7	reported	80.2	reported	81.6	82.0	reported	84.0	reported	85.0	reported

Metric Name	For The Year					Performance Agreement Six Year Period (ending 6/30)					
	2002	2003	2004	2005	2006	2010	2011	2012	2013	2014	2015
Percent University graduates reporting attainment of advanced degree within 10 years of graduation	36.1	not reported	37.2	not reported	36.9	37.0	not reported	37.0	not reported	37.0	not reported
Percent University graduates reporting currently employed	83.9	not reported	86.5	not reported	87.4	87.0	not reported	87.0	not reported	87.0	not reported

Metric Name	For The Year					Performance Agreement Six Year Period (ending 6/30)					
	2004	2005	2006	2007	2008	2010	2011	2012	2013	2014	2015
Number of academic programs ranked in											
Top 10%	not available	not available	not available	not available	19 of 33	19 of 33	19 of 33	19 of 33	21 of 33	21 of 33	21 of 33
Top 25%	available	available	available	available	32 of 33	32 of 33	32 of 33	32 of 33	32 of 33	32 of 33	32 of 33

## University of Washington Performance Agreement Accountability Metrics

### Metrics for Evidence of Contributing to State's Economy and Welfare (Page 1 of 1)

Metric Name	Description	Current Value	As Of
Number of research innovation disclosures and rank among Global Challenge peer institutions	A measure of the number of research findings having commercial potential	Number - 335 Rank – tied 1st	FY 2007 FY 2006
Number of patents, licenses and option awarded and rank among Global Challenge peer institutions	A measure of the originality of research findings	Number - 265 Rank – 1st	FY 2007 FY 2006
Number of degrees awarded (all campuses)	A measure of University's contribution to educational attainment	Bachelor – 8,289 Masters – 2,872 Doctorates – 631 1 <sup>st</sup> Professional (MD, DDS, JD, PharmD) - 498	Academic Year 2006-07
Number of degrees awarded in areas of critical state need <sup>1</sup>	A measure of the University's contribution to meeting critical needs of the state	Bachelor – 2,929 Masters – 1,340 Doctorates – 467 1 <sup>st</sup> Professional (MD, DDS, PharmD) - 315	Academic Year 2006-07
Percent of the nation's research and development expenditures at universities and colleges for science and engineering (reported by NSF) and national rank	A measure of the competitive success of the University's research program	1.6%, 6 <sup>th</sup> in the nation	FY 2006

<sup>1</sup> Areas of state critical need are defined as majors, curriculum or degree awards in the life, natural, environmental and health sciences, engineering, computer and information systems and sciences, education and teacher preparation, and mathematics, applied mathematics and statistics.

## University of Washington Performance Agreement Accountability Metrics

### Metrics for Evidence of Efficiency and Equity (Page 1 of 2)

Metric Name	Description	Current Value	As Of
Percent entering freshman graduating within six (6) years (Seattle only. Bothell and Tacoma measures to be developed)	A measure of the timeliness of instructional outcomes	All students – 75.4% African American – 68.3% American Indian – 51.9% Asian American – 76.8% Hawaiian/Pacific Islander – 77.4% Latino – 65.1%	2001/2007
Percent community college transfers graduating within three (3) years (Seattle only. Bothell and Tacoma measures to be developed)	A measure of the timeliness of instructional and transfer articulation outcomes	All students – 78.5%	2004/2007
Percent entering freshman continuing one (1) year after entering the University (Seattle only. Bothell and Tacoma measures to be developed)	A measure of the efficacy of the University admissions process	All students - 91.7% African American – 86.1% American Indian – 87.5% Asian American – 93.2% Hawaiian/Pacific Islander – 77.5% Latino – 92.5%	2006/2007

## University of Washington Performance Agreement Accountability Metrics

### Metrics for Evidence of Efficiency and Equity (Page 2 of 2)

Metric Name	Description	Current Value	As Of
Percent University Personnel Who Are Women Underrepresented Minority and Minority	A measure of the equity of University personnel administration	Faculty and academic personnel who are: Women – 41% Underrepresented Minority – 5% Minority – 22% Professional staff who are: Women – 58% Underrepresented Minority – 7% Minority – 19% Classified staff who are: Women – 68% Underrepresented Minority – 14% Minority – 38%	Fall 2007
Percent undergraduates who are receiving a Pell Grant <sup>2</sup>	A measure of the equity of access to university education	19.9%	AY 2006-07
Percent of bachelor degree awarded within 125% of minimum credits required	A measure of cost containment in the instructional program	92.7%	Academic Year 2006-07

<sup>2</sup> University performance on this metric is contingent upon the State of Washington funding the State Need Grant proportional to changes in resident undergraduate tuition rates and maintaining standards of aid eligibility no less than those in effect for the academic year 2007-08.

## University of Washington Performance Agreement Accountability Metrics

### Metrics for Evidence of Quality (Page 1 of 1)

Metric Name	Description	Current Value	As Of
Scholarly work citation impact and rank among Global Challenge institution peers	A measure of the magnitude of the University's contribution to knowledge creation	9.42 citation per paper, ranking 2nd	5 year period ending 2005
Number of faculty elected to national academies (Science, Engineering and Institute of Medicine) and faculty awards and honors received	A measure of the stature and scholarly standing of University faculty	113	2007
Percent University bachelor graduates agreeing or strongly agreeing that they would choose UW again	A measure of student satisfaction with their educational experience	Graduating Senior – 71.8% Graduating Senior One Year Later – 79.1% Graduating Senior 5 and 10 Years Later – 84.1%	2006
Percent University bachelor graduates reporting attainment of advanced degree within 10 years of graduation	A measure of educational experience quality	36.9%	2006
Percent graduates reporting currently employed	A measure of graduates' contribution	87.4%	2006
Number of programs ranked in top 10%; top 25%	A measure of stature and regard of the University's educational program	Top 10% - 19 of 33 Top 25% - 32 of 33	2008

**Draft Proposal to the UW Board of Regents  
to Establish a  
Science and Technology Program  
at the  
University of Washington Bothell**

**July 7, 2008**



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## Establishment of a Science and Technology Program at UW Bothell

### PROPOSED ACTION

The administration of the University of Washington Bothell (UWB) is seeking approval from the Board of Regents to establish a Science and Technology Program. This program will provide the organizational structure to support the growth of Science, Technology, Engineering and Mathematics (STEM) and Health fields at UWB.

### PURPOSE AND RATIONALE

Meeting the challenges of the 21<sup>st</sup> century will depend on world-class scientific and technological innovation. Historically, such advances have been the engine of progress and economic prosperity for the US and its citizens, but a 2005 National Academies report expresses deep concern “that the scientific and technological building blocks critical to our economic leadership are eroding at a time when many other nations are gathering strength.” (Page 3, *Rising Above the Gathering Storm: Energizing and Employing America for a Brighter Economic Future.*). The report calls for concerted action “to enhance the science and technology enterprise so that the United States can successfully compete, prosper, and be secure in the (new) global community.” (Page 2, *Gathering Storm.*)

The National Academies report recommends increasing “the number and proportion of US citizens who earn bachelor’s degrees in the physical sciences, the life sciences, engineering and mathematics,” an essential goal that is also explicit in Washington State’s 2008 Strategic Master Plan for Higher Education.

An internationally acclaimed research university, the University of Washington (UW) has long provided critical leadership in these fields through education and research initiatives, STEM degree production and innovative models for addressing critical challenges. Recognizing its need to complement these endeavors with greater intensity, UWB has recently completed a plan that identifies the institution’s top priorities for growth until 2020. Our top priority is to “serve the citizens of the state of Washington by providing access to a premier university education,” with particular emphasis on developing new degree programs that respond to economic development needs of the state and region. Specifically, the areas of science, technology, engineering and math were identified as top priorities for immediate growth.

### CONCEPT OVERVIEW: PROPOSED SCIENCE AND TECHNOLOGY PROGRAM

To foster development and excellence in growing these fields, UWB proposes to create a new Science and Technology Program. The goal of the program is to provide the disciplinary depth, scale and autonomy needed to develop STEM fields and allow for the growth that will best serve our students and region in the future.

## Establishment of a Science and Technology Program at UWB (continued p.2)

The Science and Technology Program will build a curriculum that prepares students to enter the science, technology and biomedical/biotechnology workforce; qualify for related graduate programs; qualify for health-related professional schools such as medicine, nursing, pharmacy, dentistry and public health; or enter teacher education programs.

The new unit is further charged with creating a program that will serve as a model for innovative, effective teaching and scholarship that reflects UWB's commitment to diversity and providing educational opportunities for underserved students. The UWB mission statement calls for "innovative and creative curricula" and the 21<sup>st</sup> Century Campus Initiative: UWB Priorities Plan 2008-2020 identifies an "enhance(d) campus commitment to diversity and inclusiveness" as a priority. This reflects growing awareness that the US must make "a national commitment to develop more of the talent of all our citizens, especially the under-represented majority — the women, minorities, and persons with disabilities who comprise a disproportionately small part of the nation's science, engineering, and technology workforce," writes Rensselaer Polytechnic Institute President Shirley Ann Jackson in *The Quiet Crisis: America's Economic and National Security at Risk*. ([www.rpi.edu/homepage/quietcrisis/](http://www.rpi.edu/homepage/quietcrisis/))

This issue is addressed in the 2008 Strategic Master Plan for Higher Education, which notes that "too few women and people of color earn degrees in science, technology, engineering and mathematics (STEM) fields" and calls for additional efforts to encourage and serve underrepresented students.

These two themes – innovation and diversity – are deeply embedded in both program and curricular designs for the model Science & Technology Program we seek to create. We will utilize innovative and effective pedagogical methods and technologies, as well as support services, to create an environment of inclusiveness and success for all students. Success in higher education begins with pre-collegiate preparation, thus our focus will also include enhancing outreach to P-12 students and teachers. One such program, Imagine, begins in Summer 2008 for students in grades 6-8 and 9-12. Using experiential learning techniques, this Education Program series "is designed to capture students' imaginations as they learn about environmental stewardship through an integrated curriculum in math, science, and language arts."

The Science and Technology Program and curriculum will:

- Articulate clear learning goals to prepare students for the next steps in their careers and education.
- Identify national models for innovative and inclusive science and math education. Reinforce UW Bothell's signature of hands-on and problem-solving curricula, as well as student-based research. Incorporate these for students at all levels.
- Provide freshmen and sophomores with multiple success points and fundamental skills to succeed in S & T programs. Build a curriculum that recognizes different learning styles and creates an environment of success for talented yet underprepared students.

### Establishment of a Science and Technology Program at UWB (continued p.3)

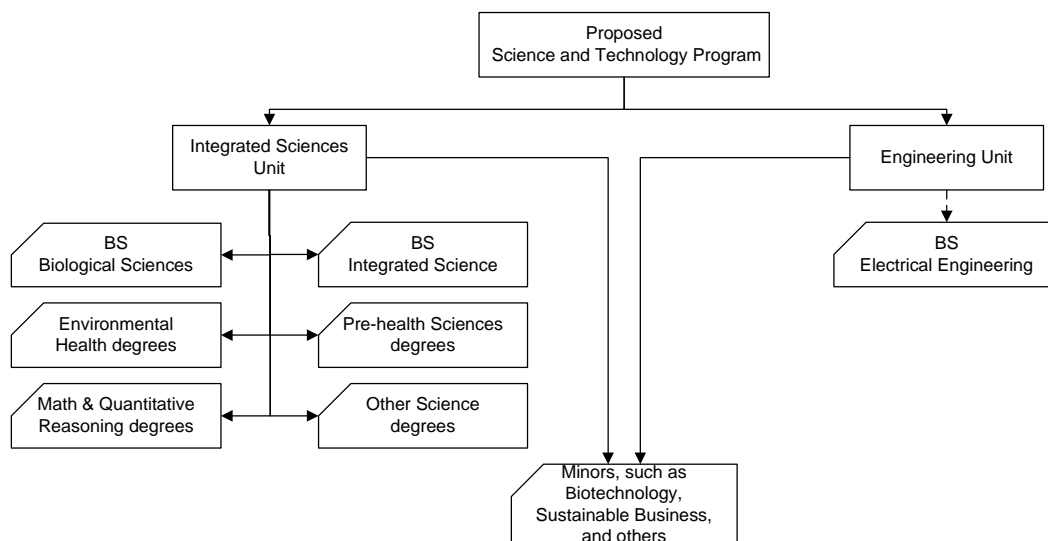
- Develop and utilize strategies to recruit underrepresented faculty, staff and students, based on effective national models.
- Create degrees that are recognizable and address the needs of high school students, parents, employers and graduate/professional schools.
- Provide degrees that will prepare students to become math and science teachers and support P-12 outreach projects.
- Clearly articulate the role of S & T in the interdisciplinary development of students not majoring in S & T fields. Take advantage of offerings in other UWB programs, such as the interdisciplinary courses offered in IAS, and prevent duplication of courses across the university in STEM areas.
- Provide strong pre-collegiate and collegiate academic support; consider creating a science and technology success center.

These commitments reflect the distinctive model for growth outlined in the 21<sup>st</sup> Century Campus Initiative and support the priorities outlined in the plan: growth, resourcefulness, diversity, student-centered, community, innovation, and sustainability.

### PROPOSED STRUCTURE

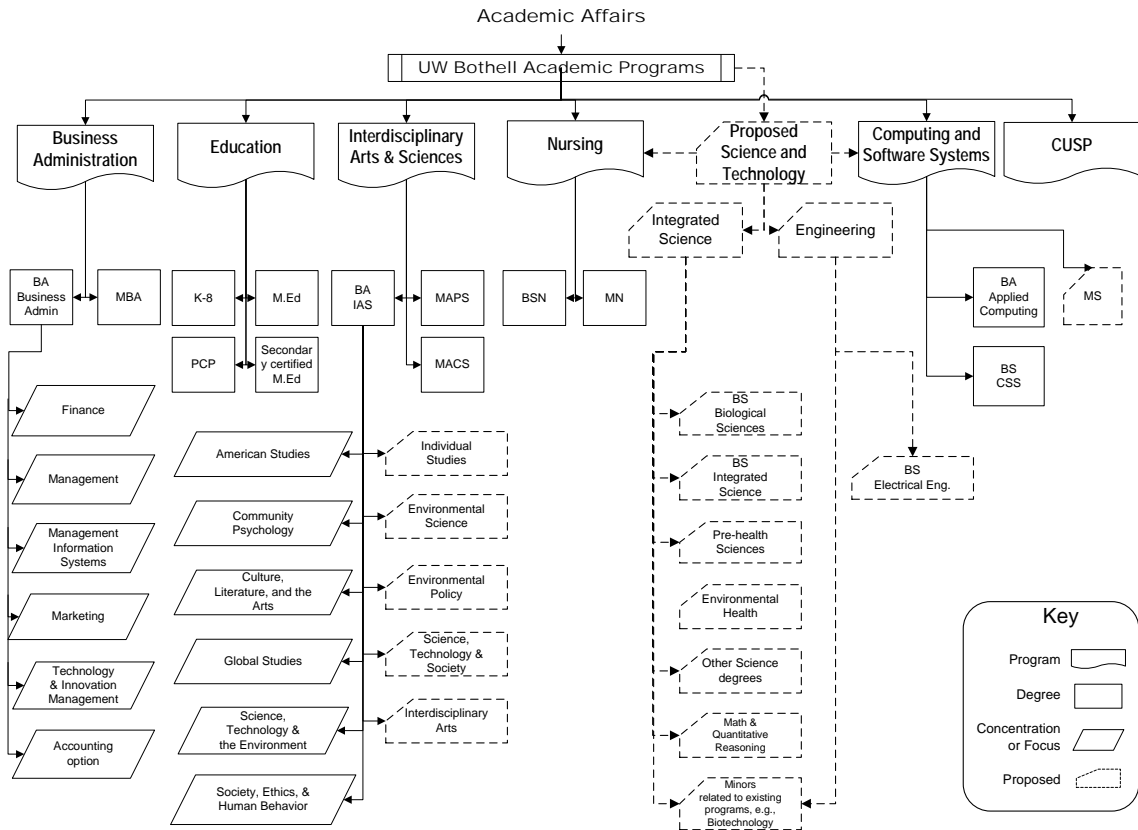
The proposed program will initially house two new academic units: Integrated Science and Engineering. Current faculty with expertise and interest may request to move all or part of their appointments into Science and Technology, and additional faculty will be recruited to ensure that Science & Technology succeeds. Existing programs such as Computing and Software Systems and Nursing will be consulted regarding their relationship to the new program as well. The Science and Technology Program structure will also allow for additional academic units in the future, as STEM-field development progresses.

The chart below shows the organization of the Science and Technology program, with proposed degrees to be offered by the initial academic units:



Establishment of a Science and Technology Program at UWB (continued p.4)

The overall academic organization chart, including the proposed unit and current and proposed degrees, is below:



DEMAND AND IMPACT

Student Demand:

Student interest in science and technology fields is strong nationwide. Five of the top ten highest-enrolled fields of study in the US are in STEM, health or education fields, according the US Department of Education.

Highest-Enrolled Fields of Study in the US

	All Students	% of Total
Total by field of study (in thousands)	21,880	
Undeclared or not in a degree program	4,328	19.8%
Business, Management & Marketing	3,431	15.7%
Health Professions & Related Sciences	2,672	12.2%
Education	1,951	8.9%
Computer & Information Sciences	998	4.6%
Liberal Arts, Sciences & Humanities	900	4.1%
Engineering	683	3.1%
Visual & Performing Arts	664	3.0%
Psychology	617	2.8%
Biological & Biomedical Sciences	500	2.3%

Source: US Department of Education, National Center for Education Statistics, 2003-04 National Postsecondary Student Aid Study.

Establishment of a Science and Technology Program at UWB (continued p.5)

In Washington State, nearly 19% of students taking the SAT in 2007 indicated their desire to major in a health professions or clinical field. Taken together, an additional 20% sought degrees in computing, engineering and science fields.

Top Intended Majors of Washington State SAT Takers (3/2007)

<b>INTENDED MAJORS (clustered)</b>	<b>#</b>	<b>%</b>
Health Professions & Related Clinical	<b>4403</b>	<b>19%</b>
Business Management, Marketing & Related	<b>3621</b>	<b>15%</b>
Computer & Info Sciences, Engineering, Engineering Technologies/Technicians	<b>2946</b>	<b>12%</b>
Visual and Performing Arts	<b>2245</b>	<b>9%</b>
Sciences: Biological & Biomedical, Physical, Natural Resources & Conservation	<b>1853</b>	<b>8%</b>
Education	<b>1753</b>	<b>7%</b>
History, Legal Professions & Studies, Public Administration & Social Services Professions, Social Sciences	<b>1418</b>	<b>6%</b>
Communication, Journalism & Related	<b>871</b>	<b>4%</b>
Psychology	<b>832</b>	<b>4%</b>
Architecture and Related Services	<b>587</b>	<b>2%</b>

Data from College-Bound Seniors 2007, State Profile Report Washington at [www.collegeboard.com](http://www.collegeboard.com))

UWB recently completed a survey of students from regional community colleges. Among all students, the most desired fields of study were, in order, Business, Health/Nursing, Social Sciences, Computer Sciences and Sciences. Students were specifically asked about their level of interest in programs of study not currently offered at UWB. Their responses, in order, were: Information Technology, Law/Public Policy, Science, and Engineering.

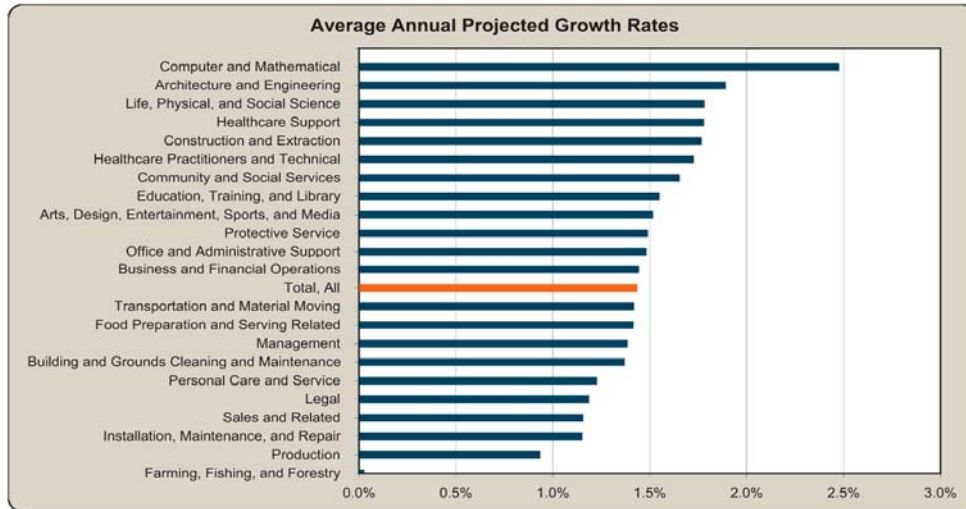
Workforce Demand:

Workforce demand in STEM fields is growing, according to the Bureau of Labor Statistics (BLS). “Professional and related occupations are projected to be one of the two fastest growing major occupational groups, and are expected to add more jobs than any other major occupational group, about 5 million, by 2016.” (Occupational Outlook Handbook, Tomorrow’s Jobs, at [www.bls.gov](http://www.bls.gov)) Of these, nearly three quarters of new jobs, or 3.5 million, will be in “computer and mathematical occupations, healthcare practitioners and technical occupations, and education, training, and library occupations.” (Tomorrow’s Jobs)

According to Washington State Economics and Labor Market’s 2006 report, the annual growth rate in jobs is especially high in all areas of STEM.

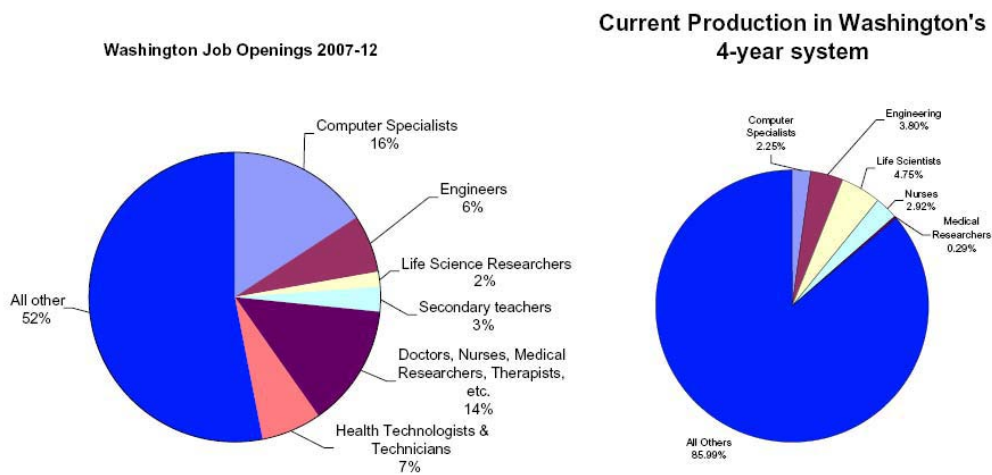
Establishment of a Science and Technology Program at UWB (continued p.6)

Projected annual employment growth rates for Washington State.



Washington Governor Christine Gregoire has designated “Education to Compete” as a top priority, noting that “Washington’s fastest growing industries, such as aerospace, high-tech and bio-technology...are struggling to find enough qualified workers.” “Washington businesses,” she believes, “should be able to find the world’s best-educated workers among our state’s own citizens, rather than importing workers from other states and nations.”  
 (www.governor.wa.gov/priorities/education/)

Washington ranks first in the nation in the employment of engineers and sixth for computer specialists. At the same time, Washington ranks 38<sup>th</sup> in the nation in the number of bachelor’s degrees granted in science and engineering (US Department of Education, National Center for Educational Statistics, IPEDS). The unmet need in science and technology is estimated by the Prosperity Partnership to be 4,000 to 5,000 graduates per year.



**Comparison of Job Openings versus Current Production (Prosperity Partnership)**

## Establishment of a Science and Technology Program at UWB (continued p.7)

### Regional Workforce Demand and Economic Impact:

Regional workforce projections show strong growth in engineering, computing, and science fields, with high wages associated with these occupations. The tables below from Workforce Explorer Washington show rankings of high-growth fields in King and Snohomish Counties. Engineering fields, targeted for development at UWB, show strong annual growth in both counties.

### Workforce Projections - Occupations requiring long preparation 2004-2014 King County (source: Workforce Explorer Occupational Outlook)

Occupational Titles	Estimated Employment 2004	Average Annual Growth Rate	Average Annual Total Growth	Estimated Average Wage June 2006
Computer Software Engineers, Applications	20,437	3.1%	977	\$83,227
Computer Programmers	9,038	3.4%	606	\$92,738
Computer Software Engineers, Systems Software	11,124	3.3%	560	\$88,766
Market Research Analysts	6,188	2.7%	374	\$81,258
Multimedia Artists and Animators	3,089	3.1%	190	\$55,582
Civil Engineers	6,621	2.1%	268	\$71,955
Technical Writers	1,999	3.1%	139	\$72,349
Management Analysts	7,453	2.0%	279	\$74,860
Aerospace Engineers	4,687	2.1%	247	\$81,459
Architects, Except Landscape and Naval	2,370	2.9%	111	\$69,562
Employment, Recruitment and Placement Specialists	2,177	2.9%	112	\$56,665
Network Systems and Data Communications Analysts	4,362	2.2%	162	\$73,314
Medical Scientists, Except Epidemiologists	3,507	2.2%	154	\$66,644
Computer and Information Systems Managers	3,909	2.1%	171	\$114,309
Network and Computer Systems Administrators	6,389	1.9%	213	\$69,104
Occupations are ranked based on the average of three criteria: average annual growth rate, number of job openings due to growth, and total number of job openings due to growth and replacement				

### Snohomish County

Occupational Titles	Estimated Employment 2004	Average Annual Growth Rate	Average Annual Total Growth	Estimated Average Wage June 2006
Aerospace Engineers	4,160	2.5%	243	\$81,459
Rehabilitation Counselors	959	2.8%	56	\$31,173
Management Analysts	2,041	2.2%	82	\$74,860
Loan Officers	767	2.5%	37	\$61,310
Engineers, All Other	638	2.6%	34	\$78,204
Engineering Managers	802	2.4%	41	\$121,747
Medical Scientists, Except Epidemiologists	299	3.7%	20	\$66,644
Civil Engineers	753	2.3%	33	\$71,955
Construction Managers	1,052	2.1%	46	\$109,840
Natural Sciences Managers	211	3.8%	15	\$112,385
Business Operations Specialists, All Other	1,647	1.8%	64	\$65,242
Industrial Engineers	888	1.9%	44	\$69,163
General and Operations Managers	1,387	1.8%	56	\$130,753
Accountants and Auditors	1,664	1.7%	66	\$61,029
Speech Language Pathologists	304	2.6%	18	\$55,011

Increased degree opportunities in STEM fields offer economic benefits for both graduates and their communities. According the Bureau of Labor Statistics, 16 of



Establishment of a Science and Technology Program at UWB (continued p.8)

25 occupations with the highest median annual earnings are in health care, engineering, computing, sciences and mathematics fields.

<b>Occupations with the highest median earnings, May 2006</b>	
<b>Occupation</b>	<b>Median earnings</b>
<b>Chief executives</b>	greater than \$145,600
<b>Physicians and Surgeons</b>	greater than \$145,600
<b>Airline pilots, copilots, and flight engineers</b>	141,090
<b>Dentists</b>	136,960
<b>Air traffic controllers</b>	117,240
<b>Podiatrists</b>	108,220
<b>Engineering managers</b>	105,430
<b>Lawyers</b>	102,470
<b>Judges, magistrate judges, and magistrates</b>	101,690
<b>Computer and information systems managers</b>	101,580
<b>Natural sciences managers</b>	100,080
<b>Marketing managers</b>	98,720
<b>Petroleum engineers</b>	98,380
<b>Astronomers</b>	95,740
<b>Pharmacists</b>	94,520
<b>Physicists</b>	94,240
<b>Computer and information scientists, research</b>	93,950
<b>Sales managers</b>	91,560
<b>Optometrists</b>	91,040
<b>Financial managers</b>	90,970
<b>Nuclear engineers</b>	90,220
<b>Political scientists</b>	90,140
<b>Computer hardware engineers</b>	88,470
<b>Aerospace engineers</b>	87,610
<b>Mathematicians</b>	86,930

25 occupations with the highest median annual earnings in May 2006. (Source: Bureau of Labor Statistics Occupational Employment and Wage Estimates.)

According to a 2006 UWB report, workforce demand for college graduates in STEM fields was found to be high throughout the region, with projections from the Prosperity Partnership and the HECB's studies of needs in Snohomish, Island and Skagit Counties (SIS) indicating that "the unmet demand for communities served by UWB is in the range of 1,000-3,000 additional annual FTEs." (UWB Applied Science and Technology Planning (ASTP) Group Report).

According to census data, the region is home to 20,276 private non-farm businesses, over 75 percent of which are located in Snohomish County. "As such, much of the region's employer demand is driven by the key industries in

## Establishment of a Science and Technology Program at UWB (continued p.9)

Snohomish; namely aerospace manufacturing, tourism, health care, biotechnology/bio-medical device, and information technology sectors.” (p. 110, State and Regional Needs Assessment)

With its location in the heart of Bothell’s Technology Corridor, strong support for bioscience degree programs can be expected, the ASTP group concluded. “The Technology Corridor that arcs around the UWB campus forms one of the key hubs for the biotechnology and biomedical sector in the region.” (ASTP Report) Snohomish County is home to “35 out of the state’s 190 biotechnology and medical device firms...employing approximately 5,000 workers in 2002. Ninety-three percent of the industry’s employment in Snohomish County is located in Bothell.” (Washington Biotechnology and Biomedical Association, 2002, from ASTP Report) “Since 1990, employment in the industry doubled and projections reflect a continuation based on the clustering already occurring in the Bothell area.” (Snohomish County Economic Development Council, 2003) According to the Everett Herald, “Medical device companies in Bothell generated \$1.8 billion in revenue in 2007, numbers Snohomish County Economic development officials would like to see more of.” (Everett Herald, May 26, 2008)

UWB has developed partnerships in the surrounding community, including research and policy collaborations. Through its Biotechnology and Biomedical Technology Institute (BBTI), UWB collaborated with the city of Bothell to earn designation as a Washington Innovation Partnership Zone, allowing the area increased access to state resources. Local partners have expressed strong interest in UWB’s plans to develop degrees in Science and Technology fields, and current planning for a minor in Biotechnology is in response to such demand.

### Meeting Demand to Benefit Students and Region:

The creation of a model Science and Technology Program offers significant benefits to the counties surrounding UWB by providing opportunity for local students to study in STEM fields, in turn fueling greater economic prosperity and innovation in the region.

Initial degrees to be developed include:

- BS Biological Sciences, 2008-09
- BS Electrical Engineering, 2008-09
- BS Integrated Sciences, 2008-09
  - Leading to math/science teacher certification
- BS Environmental Health, 2010
- Minor Biomedical Technology
- Cross-programmatic minors
  - Sustainable Business
  - Other minors

## Establishment of a Science and Technology Program at UWB (continued p.10)

In addition to these degrees within the Science and Technology Program, collaborations, projects and interdisciplinary connections will continue to foster STEM-related depth, perspective and growth across campus. Ongoing projects include: Space Huskies, CSS internship programs, participation in global and regional air pollution research, Education outreach programs for pre-collegiate students, involvement in the UW Restoration Ecology Network, and CUSP participation in Summer Institutes on “Sustainability and the Curriculum.” Additional programs, including student opportunities to participate in ongoing chemistry research into the international coffee crisis, will provide the real-world experiences that are hallmarks of the UWB curriculum.

As UWB achieves the growth envisioned in the 21<sup>st</sup> Century Campus Initiative, additional degrees will be developed at a steady pace, to create the comprehensive array of the programs needed to serve our constituents. Between 2008 and 2020, available degrees and professional certifications are projected to grow from 11 to 60, not including minors and options within degrees. The anticipated academic profile for 2020 includes new degrees in the following areas:

- STEM and Health, including Allied Health, Nursing, Biochemistry, Mathematics, Physics and additional Engineering fields
- Social Sciences, including Communications, Sociology and Economics
- Interdisciplinary Arts and Sciences, including Culture, Literature and the Arts, Environmental Studies and Performance Studies
- Other fields, including Education Leadership, Foreign Language and Culture options, and Game Design.

### PROCESS

Focused planning for STEM growth began in 2006 with an analysis of workforce and enrollment demand undertaken by the UWB Applied Science and Technology Planning (ASTP) Group. The ASTP report provided the in-depth analysis that served as a basis for further development.

In 2008, in conjunction with the campus-wide priorities planning process, a STEM Task Force was appointed to recommend ways to accelerate growth in these fields. In addition to making curricular and timeline recommendations, the task force was charged with considering the best institutional structure to insure effective development. Through its deliberations and analysis of UWB’s current organizational structure and capabilities, the group identified three criteria needed to successfully meet student and regional needs for STEM growth: a degree of autonomy regarding curricula, faculty recruitment, and tenure and promotion; a place at the table in administrative and budgetary decision-making; and an umbrella for multiple department-like entities.”

The task force analyzed several organizational options in depth, including the creation of a new unit such as the proposed Science and Technology Program. These were outlined in the report of the task force, which received wide review during a 30-day open comment period. Comments from this review,

## Establishment of a Science and Technology Program at UWB (continued p.11)

recommendations through program, faculty and campus-wide meetings, along with analysis of available resources, were all taken into account in developing the current proposal.

### RESOURCES AND FUNDING

Though funding for science degrees is not inexpensive, UWB is committed to providing the resources necessary to ensure the success of STEM studies.

We intend to launch the Science and Technology Program through a combination of strategies, including reallocation of current resources. We will also develop additional resources, through state funding, increased grant opportunities, and community partnerships. A concerted effort on each of these fronts will allow us to fund the required new faculty and provide essential student support services to create the model program we envision.

Our top priority for new resources in 2008-09 and 2009-10 is to support the new unit, including the hiring of new permanent faculty.

Many of UWB's current faculty have expertise in a range of science, technology, health and mathematics fields. We will build the new program from the strength of this talent. Interested faculty will have opportunities to move their appointments in whole or part to the new unit, and new faculty will be recruited to provide the additional depth and expertise for new degree programs.

To ensure access to needed facilities in the short-term, we are pursuing arrangements with Cascadia Community College to share laboratory space on an interim basis. At the same time, we will enhance our own facilities by reassigning space and through long-term planning. Pre-design conversations regarding the future UWB 3 are currently underway, with a focus on accommodating growth in the sciences.

Resourcefulness, a key priority of the 21<sup>st</sup> Century Campus Initiative, underlies all of our planning for STEM field advancement. With our priorities clearly outlined, we will carefully align our human and financial resources toward achieving our goal of growth with distinction.

### ATTACHMENTS

21<sup>st</sup> Century Campus Initiative: UW Bothell Priorities Plan 2008-2020

21<sup>st</sup> Century Campus Initiative Priority/Action Sheet

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The College Board

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<http://nces.ed.gov/ipeds>
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- 21st Century Campus Initiative: UWB Priorities Plan 2008-2020
  - Applied Science and Technology Planning (ASTP) Report, 2007  
<http://www.uwb.edu/academic/planning>
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Establishment of a Science and Technology Program at UWB (continued p.13)

- Occupational Outlook Snohomish County, 2004-2014
- Washington State Economics and Labor Market Report 2006

Washington State Higher Education Coordinating Board (HECB) Reports:

<http://www.hecb.wa.gov>

- HECB 2008 Strategic Master Plan
- HECB 2006 State and Regional Needs Assessment

Washington State Office of the Governor

[www.governor.wa.gov/priorities/education/](http://www.governor.wa.gov/priorities/education/)

UNIVERSITY OF WASHINGTON BOTHELL



# The **21<sup>st</sup>** Century Campus Initiative

University of Washington Bothell Priorities Plan 2008-2020



General Faculty Organization • Office of Academic Affairs • General Staff Organization



Approved by the General Faculty Organization Executive  
Council/General Staff Organization Guidance Group on 5/27/08  
and by the Chancellor on 5/30/08.



## Purpose and Goals for the UWB Priorities Plan

The University of Washington Bothell (UWB) is one of three campuses of the University of Washington (UW), an internationally renowned research university. As a publicly funded university, UWB exists to serve the educational needs of the citizens of the state of Washington. In its 2008 Strategic Master Plan, the state Higher Education Coordinating Board (HECB) outlined two goals for providing what the people of our state want and need from their public higher education system:

***HECB Goal 1:*** *We will create a high-quality higher education system that provides expanded opportunity for more Washingtonians to complete postsecondary degrees, certificates and apprenticeships.*

***HECB Goal 2:*** *We will create a higher education system that drives greater economic prosperity, innovation and opportunity.*

The 21<sup>st</sup> Century Campus Initiative: UWB Priorities Plan for 2008-2020 addresses our state's need to expand access to higher education by outlining a clear and compelling vision for how we intend to grow in both size and excellence.

Developed as a partnership between faculty, staff and administration, with input from students, alumni and community advisors, the UWB Priorities Plan identifies the priorities and actions to guide us in addressing the following overall institutional goals:

- *Build a distinctive 21<sup>st</sup> Century public institution reflecting our mission and campus priorities.*
- *Grow to a combined enrollment of 5,000 undergraduate and graduate students by 2020 to serve the needs of our region.*
- *Achieve distinctiveness and growth in a fiscally responsible manner.*

The purpose of the 21<sup>st</sup> Century Campus Initiative is to lead us toward success in meeting these goals, by focusing our energies and resources in an intentional manner as we pursue a common vision.

## UWB Mission Statement

The UW Bothell holds the student-faculty relationship to be paramount. We provide access to excellence in higher education through innovative and creative curricula, interdisciplinary teaching and research, and a dynamic community of multicultural learning.

- Serve college-age and established adult students, as well as the community at large, by providing access to a premier institution of higher education.
- Emphasize and develop critical thinking, writing, and information literacy, in order to graduate students with life-long learning skills.
- Actively recruit and support outstanding faculty scholars with a passion for communication.
- Build an inclusive and supportive community of learning and incorporate multicultural content and diverse perspectives on ethnic and racial groups, gender, sexual orientation, social class, and special needs.
- Encourage and support collaborative, interdisciplinary, and cross-program initiatives.
- Provide quality curricula by making use of the best of educational technology in support of teaching and learning.
- Attract and support an internationally diverse student body and a nationally recognized faculty and staff.
- Create and support excellence in student services, academic services such as library, writing center, computing services, and physical facilities.
- Foster productive relationships with the employment community and promote a strong public service commitment.

## From Mission to Priorities Planning

The successful development of UWB rests on the rich framework established by the founding faculty in 1990. Since that date the university has grown from 26 students to over 1800, guided throughout by the vision and accomplishments of the entire campus, its programs and units. Planning milestones include:

- UWB Mission adopted by the General Faculty Organization (GFO) in 1996
- UWB 5 for 5 Initiative begun in 2004
- The Future of Washington's Branch Campuses: HECB report on branch campus development plans – HB 2707 in 2005
- GFO Academic Planning in 2006-2007
- Creating UW's Future: Meeting the Grand Challenges, a UW strategic plan for all three campuses in 2006-2007 (Appendix A)

Our current process began in September 2007 with a retreat to generate ideas for shaping our future growth. A Strategic Planning Blackboard site was then launched, allowing the entire UWB community to review, reflect and comment on retreat presentations, outcomes, relevant data and readings. Early in 2008, a Faculty/Staff Academic Priorities Workshop series was begun to move the process from ideas toward a concrete plan. The workshops focused on identifying broad priorities to serve the growing needs of our students and region with excellence and distinction. The 21<sup>st</sup> Century Campus Initiative builds on our mission and reflects the visions and priorities that emerged throughout our planning process.

### Our Priorities (not ranked)

**Growth:** ***Serve the citizens of the State of Washington by providing increased access to a premier university education.***

- Grow to a total undergraduate and graduate enrollment of 5,000 full-time equivalent (FTE) students in 12 years and 6,000 in 15 to 20 years.
- Over the next five years, increase enrollment by 1,000-1,200 FTE students.
- Develop new majors and graduate programs in high-demand fields and foundational studies to serve student, employer and regional needs. Broad fields identified for new or continued development include:
  - Science, Technology, Engineering and Math (STEM); and Health
  - Social Sciences
  - Visual, Literary and Performing Arts
  - Foreign Language and Culture

- Support ongoing enrollment growth in existing program areas: Business, Computing and Software Systems, Education, Interdisciplinary Arts and Sciences, Nursing, and the Center for University Studies and Programs.
- Understand and respond to projected demographic changes and their effects on our assumptions about demand and needs.
- Use technology and innovative delivery modes to overcome barriers to enrollment and extend UWB's reach.

**Resourcefulness:**      ***Build a culture of institutional sustainability through sound, creative use of financial and human resources.***

- Recognize and operate within the fiscal boundaries of a public university.
  - Demonstrate the value of UWB to the region.
  - Enhance the flexible use of resources.
- Plan to build the facilities needed to accommodate future academic programs and services, with flexibility for large classes and small seminars.
- Sustain our human resources by addressing professional development and institutional support needs among faculty and staff.
- Expand opportunities for additional funding sources, including development, funded research and self-sustaining programs.

**Diversity:**                      ***Enhance campus commitment to diversity and inclusiveness.***

- Enhance recruitment, support and retention for underrepresented faculty, staff and student groups.
- Incorporate multicultural content and diverse perspectives in learning and scholarship.
- Target services to support inclusion and success for a student population of increasing diversity in ethnicity, race, gender, age, sexual orientation, social class and disability.
  - Create a multicultural program to support students of diverse backgrounds, foster understanding and appreciation of differences, and enrich campus life.

- Create bridge and other support programs to enhance recruitment and retention.
- Build P–12 and community college pipeline partnerships, forging relationships and aiding student preparation for university studies.
- Maintain commitment and support for students of all ages and students with disabilities.

**Student-centered:     *Enhance student services to support academic success and enrich student life.***

- Provide enhanced advising and career services for undergraduate and graduate students.
- Provide comprehensive academic support services, including Library, Writing Center, Quantitative Skills Center, Media Center and additional programs.
- Address student-life issues, including:
  - Housing, social and recreational opportunities
  - Health, mental health and childcare services

**Community:             *Deepen and broaden community engagement and research.***

- Build the UWB community by fostering lifelong learning and alumni outreach.
- Broaden our impact to encompass diverse local, regional, statewide and global communities.
  - Enhance research activities focused on local through global issues.
- Promote undergraduate and graduate involvement in service- and community-based learning and research.
- Develop productive relationships with the employment community.
- Build on UWB’s reputation by demonstrating our distinctiveness and telling our story.

**Innovation:             *Support signature strengths in interdisciplinary scholarship and innovative teaching.***

- Encourage and support collaborative, interdisciplinary and cross-program initiatives.

- Engage faculty and students in inquiry- and problem-based approaches to research and learning.
- Develop reciprocal regional partnerships that enhance teaching and research.
- Promote research on the scholarship of teaching and explore innovative teaching methodologies that foster student/faculty interactions.
- Employ innovative technology and academic support services to enhance educational experiences.

**Sustainability:**            ***Develop environmental and human sustainability as a signature initiative.***

- Gain national distinction for leadership, scholarship and teaching in sustainability issues.
- Promote community partnerships in sustainability activities, including collaboration with Cascadia Community College and UW Seattle.
- Build on interdisciplinary and cross-programmatic studies and research in environmental and human sustainability.
- Value the development of sustainable and healthy human communities.
- Promote a green campus, with the wetlands as a centerpiece, to embody sustainability and serve as a research and teaching laboratory.
- Develop into a low-impact campus through careful materials and energy stewardship.

## Moving Forward: a flexible approach for the first year

The 21<sup>st</sup> Century Campus Initiative outlines seven priorities to guide UWB over the next 12 years. They are interconnected and have grown out of our existing culture, strengths and endeavors. Thus, many activities supporting our priorities are on-going and must be nurtured and developed with the additional focus called for in our plan. This campus-wide plan is not intended to inhibit program-level planning; rather, it should serve as a guide. In creating their plans, programs and units are asked to take these priorities into account, so our efforts remain focused on achieving the vision we have developed together.

While all of our priorities are of great importance, we do not have unlimited financial and human resources to fully address them at once. In the short term, we will appoint task force groups or work with existing groups to develop recommendations in targeted areas while we continue to develop a timeline for implementing the remaining items. In Spring 2009, we will hold a follow-up workshop with faculty and staff to assess our progress, discuss potential modifications and update our steps for moving forward.

Several work groups will be asked to serve during Spring Quarter and others will be formed in the coming academic year and beyond. The proposed timing for development of new majors reflects consideration of planning activity outcomes, state and student demand, resource issues, and our ability to offer initial majors quickly to meet enrollment targets.

Each task force group, though focused on a specific area, will also address the ways in which other priorities are interrelated. Thus, a diversity task force will address this priority in depth, while the topic of diversity will be part of the conversation in all other groups as well. To foster collaboration throughout this process, task force leaders will work with the UWB 21<sup>st</sup> Century Campus Initiative Guidance Group, a partnership between the Office of Academic Affairs, the General Faculty Organization Executive Council, and General Staff Organization representatives.

## Moving Forward: proposed approach

### **A. Finalize and adopt the 21<sup>st</sup> Century Campus Initiative.**

- Present Draft #1 at Faculty/Staff Workshop, 3/7/08.
- Circulate Draft #2, revised to reflect workshop outcomes, later comments and recommendations by the GFO EC/GSO Guidance Group, 3/15/08.
- Post Draft #3 for a 30-day comment period, 4/15/08.
- Present final document to GFO EC/GSO Guidance Group for approval, 5/08.
- Transmit document to the chancellor for approval.
- Publish the 21<sup>st</sup> Century Campus Initiative.
- Incorporate action plans as they are developed.

**B. Address financial sustainability in creating and implementing action plans.**

- Allocate resources to achieve enrollment growth in ways that support the distinctiveness outlined in the 21<sup>st</sup> Century Campus Initiative.

**C. Expand existing research and assessment capabilities.**

- Expand the Office of Institutional Research to enhance the analysis of student and regional needs, as well as institutional performance. This information will help us remain flexible to changing environmental factors as we implement our priorities.
- Analyze data on changing demographics and plan to meet the needs of our projected student population.
- Assess progress in meeting priorities annually.
- Review our priorities plan annually and revise as needed based on changing data, projections and environmental factors.

**D. Develop action plans based on task force recommendations.**

- Create task force groups to recommend actions and timelines, identify measures for gauging success, and consider other issues related to the central focus.
- Maintain a flexible timeline for task force work, with the following proposed task force groups and formation dates:
  - Enrollment Growth - STEM and Health, Winter 2008
  - Institutional Enrollment Management, Spring 2008
  - Sustainability Initiative, Spring 2008
  - Enrollment Growth - Social Sciences, Fall 2008
  - Diversity, 2008-09
  - Technology and Innovation in Teaching, 2008-09
  - Student Services/Student Life, 2008-10
  - Community Engagement, 2008-10
  - Enrollment Growth - Visual, Literary and Performing Arts, 2009-10
  - Enrollment Growth - Foreign Language and Culture, 2009-11



- Charge task force groups to consider their area of focus in relation to the overall priorities plan.
- Support existing and emerging efforts to advance our priorities both within and outside of task force work.

**E. Develop an on-going communications program supporting the 21<sup>st</sup> Century Campus Initiative.**

- Build familiarity with our priorities throughout campus.
  - Produce and distribute materials highlighting plan elements.
  - Provide updates on activities supporting our priorities.
- Encourage on-going reflection, comment and input from all areas as we move forward.
- Hold a follow-up workshop with faculty and staff in Spring 2009 to discuss our progress and update our steps for moving forward.

## **University of Washington Vision Statement**

The University of Washington educates a diverse student body to become responsible global citizens and future leaders through a challenging learning environment informed by cutting-edge scholarship. Discovery is at the heart of our university. We discover timely solutions to the world's most complex problems and enrich people's lives throughout our community, the state of Washington, the nation, and the world.

### **Core Values**

**integrity      diversity      excellence      collaboration      innovation      respect**

### **Uniquely Washington**

The University of Washington's vision and strategic priorities must consider the characteristics that make us great and unique, and must reflect our core values and culture.

**UW Standard of Excellence:** We recruit the best, most diverse and innovative faculty and staff from around the world, encouraging a vibrant intellectual community for our students. We link academic excellence to cutting edge research through scholarly exploration and intellectual rigor. We hold ourselves to the highest standard of ethics, as a beacon for our community and the world.

**Academic Community:** We are educators and learners. We promote access to excellence and strive to inspire through education that emphasizes the power of discovery and the foundation of critical and analytic thinking. We foster creativity, challenge the boundaries of knowledge, and cultivate independence of mind through unique interdisciplinary partnerships.

**World Leaders in Research:** We have grown into the most successful public research university in the nation in attracting support for our research. Ours is a proud culture of innovation, collaboration, and discovery that has transformational impact.

**Celebrating Place:** The natural beauty of the Pacific Northwest envelops us. This is an important element of who we are, for this awe-inspiring place not only anchors us, it reaffirms our desire to effect positive change in the world around us. We accept gratefully our role in preserving and enhancing Washington: the place, the people, our home.

**Spirit of Innovation:** As Washingtonians, we are profoundly optimistic about our future. Based on our past and present, we find inspiration for the future. Ours is a culture with a determined persistence that engenders innovation and a belief that our goals can be realized.

**World Citizens:** We are compassionate and committed to the active pursuit of global engagement and connectedness. We assume leadership roles to make the world a better place through education and research. We embrace our role to foster engaged and responsible citizenship as part of the learning experience of our students, faculty, and staff.

**Being Public:** As a public university, we are deeply committed to serving all our citizens. We collaborate with partners from around the world to bring knowledge and discovery home to elevate the quality of lives of Washingtonians. This guides our decision-making as well as our aspirations and vision for the future.

### **Creating UW's Future: Meeting the Grand Challenges**

**Goal 1:** Attract a diverse and excellent student body and provide a rich learning experience

**Goal 2:** Attract and retain an outstanding and diverse faculty and staff to enhance educational quality, research strength, and prominent leadership

**Goal 3:** Strengthen interdisciplinary research and scholarship to tackle "grand challenge" problems that will benefit society and stimulate economic development

**Goal 4:** Expand the reach of the UW from our community and region across the world to enhance global competitiveness of our students and the region

**Goal 5:** Maintain and build infrastructure and facilities to insure the highest level of integrity, compliance and stewardship

## Priority Action Worksheet

### priorities and goals

Growth: Serve the citizens of Washington by providing increased access to a premier university education.

- Grow enrollment to 5,000 FTE in 12 years.
- Develop new undergraduate and graduate majors, programs and foundational studies in areas of high demand:
  - Science, Technology, Engineering and Math (STEM); and Health
  - Social Sciences
  - Visual, Literary and Performing Arts (VLPA)
  - Foreign Language and Culture
- Support growth in existing program areas: CSS, Business, Education, IAS, Nursing and CUSP.
- Understand and respond to projected demographic changes.
- Use technology and innovative delivery modes to overcome barriers to enrollment and extend UWB's reach.

### action steps and notes

Task Force Appointments

- *STEM & Health, Winter 2008*
- *Enrollment Growth (EG) - STEM & Health, Winter 2008*
- *Institutional Enrollment Management, Spring 2008*
- EG - Social Sciences, Fall 2008
- EG - VLPA, 2009-10
- EG - Foreign Language, 2009-11

Action Notes\*

- *STEM Task Force Report, April 2008*
- *Science & Technology (S & T) Program Proposal presentation to campus, 6/08*
- *Electrical Engineering NOI, 6/08*

Resourcefulness: Build institutional sustainability through sound, creative use of financial and human resources.

- Operate within the fiscal boundaries of a public university.
  - Demonstrate the value of UWB to the region.
  - Enhance the flexible use of resources.
- Plan to build flexible facilities to accommodate future academic programs and services.
- Address professional development and support to sustain human resources.
- Expand opportunities for additional funding sources, including:
  - Development, funded research and self-sustaining programs

Task Force Appointment

- *Institutional Enrollment Management, Spring 2008*

Action Notes

- *Resource allocations aligned with UWB priorities*
- *Pre-design for UWB 3, Spring 2008*
- *Roadmap project outlining personnel, capital and enrollment milestones, Spring 2008, completion Fall 2008*

\*Actions/steps in italics are completed or underway as indicated.

## Priority Action Worksheet

### priority and goals

Diversity: Enhance campus commitment to diversity and inclusiveness.

- Enhance recruitment and support for underrepresented faculty, staff and students.
- Incorporate multicultural content and diverse perspectives in learning and scholarship
- Support success for a student body of increasing diversity in ethnicity, race, gender, age, sexual orientation, social class and disability.
  - Create a multicultural program.
  - Create bridge and support programs.
  - Build P-12 and community college pipeline partnerships.
  - Maintain commitment to students of all ages and those with disabilities.

Student-centered: Enhance student services to support academic success and enrich student life

- Enhance advising and career services.
- Provide comprehensive academic support, including Library, Writing Center, Quantitative Skills Center, Media Center and new programs.
- Address student-life issues, including:
  - Housing, social and recreational opportunities
  - Health, mental health and childcare services

Community: Deepen and broaden community engagement and research.

- Build the UWB community by fostering lifelong learning and alumni outreach.
- Broaden impact to encompass diverse regional, statewide and global communities.
  - Enhance research activities focused on local through global issues.
- Promote service- and community-based learning and research.
- Develop productive relationships with the employment community.
- Build our reputation by demonstrating our distinctiveness and telling our story.

### action steps and notes

Task Force Appointment

- Diversity, Fall 2009

Action Notes

- *S & T Program charged with addressing Diversity goals, 2008*

Program Examples

- *Imagine Environmental Stewardship Outreach 6/08*
- *Space Huskies Science Outreach, since 2005*
- *All-campus lunch on Equity & Diversity, 4/08*
- *7th Annual Intercultural Night, 5/08*
- *Dream Project, Fall 2008*
- Bridge Program Launch, Fall 2009

Task Force Appointments

- *Institutional Enrollment Management, Spring 2008*
- Student Services/Student Life, 2008-10

Action Notes

- *S & T Program charged with addressing academic support needs for STEM fields, 2008*
- Fitness Center, opens Fall 2008

Task Force Appointments

- Community Engagement 2008-2010

Action Notes

- *Biotechnology and Biomedical Technology Institute created, 4/08*

Program Examples

- *Community Partnerships Fair with Cascadia CC, 5/08*
- *Proposal for Center for Community-based Learning & Scholarship, 6/08*
- *Center for Student Entrepreneurship Competition, 6/08*

*\*Actions/steps in italics are completed or underway as indicated.*

## Priority Action Worksheet

### priority and goals

Innovation: Support signature strengths in interdisciplinary scholarship and innovative teaching.

- Support collaborative, interdisciplinary and cross-program initiatives.
- Engage faculty and students in inquiry-based approaches to learning.
- Develop regional partnerships that enhance teaching and research.
- Promote innovative teaching methods that foster student/faculty interactions.
- Employ innovative technology to enhance educational experiences.

### action steps and notes

Task Force Appointment

- Technology & Innovation in Teaching, 2008-09

Action Notes

- *S & T Program charged with incorporating effective, innovative teaching and technology, 2008*
- *Goodlad Institute for Educational Renewal, March 2008*
- *Center for Reinventing Public Education, April 2008*

Program Example

- *Projects with UW Restoration Ecology Network, ongoing*

Sustainability: Develop environmental and human sustainability as a signature initiative.

- Gain national distinction for leadership and scholarship in sustainability issues.
- Promote community partnerships in sustainability activities.
- Build on interdisciplinary studies and research about sustainability.
- Value the development of sustainable and healthy human communities.
- Promote a green campus culture, with the wetlands as a centerpiece.
- Become low-impact through materials and energy stewardship.

Task Force Appointment

- *Sustainability, Summer 2008*

Program Examples

- *Brightwater MOU, 3/2008*
- *CSS Speaker Series - Global Warming, 4/08*
- *Discovery Café - Climate Change, the Common Book & Campus Projects, 4/08*

The 21<sup>st</sup> Century Campus Initiative was developed in partnership with faculty, staff, students, alumni and community advisors. It addresses our state's need for increased enrollment and outlines our priorities for achieving growth in a distinctive, fiscally responsible manner. These priorities will form the foundation of a UWB Roadmap project outlining the personnel resources, capital building needs and student enrollments that will be necessary to meet our goals. - July 1, 2008

*\*Actions/steps in italics are completed or underway as indicated.*

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

**UW**

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**Report of  
Contributions**

University of Washington  
University of Washington Foundation

**May, 2008**

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NOTES AS OF MAY 31, 2008

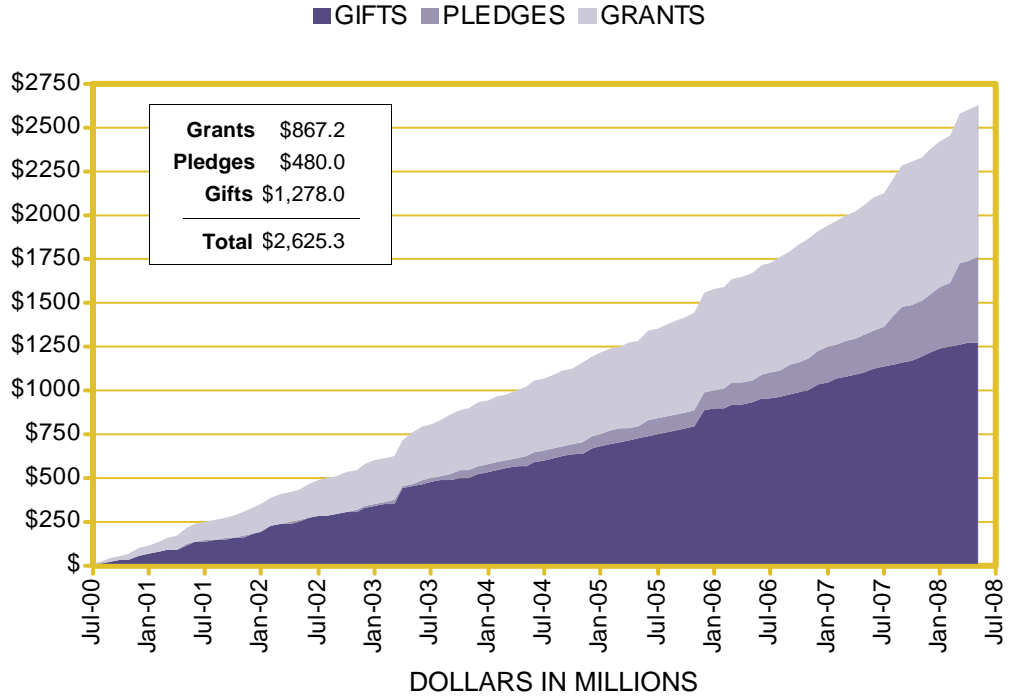
**DATA POINTS**

\$2,625,259,984 has been raised toward our campaign goal of \$2.5 billion.

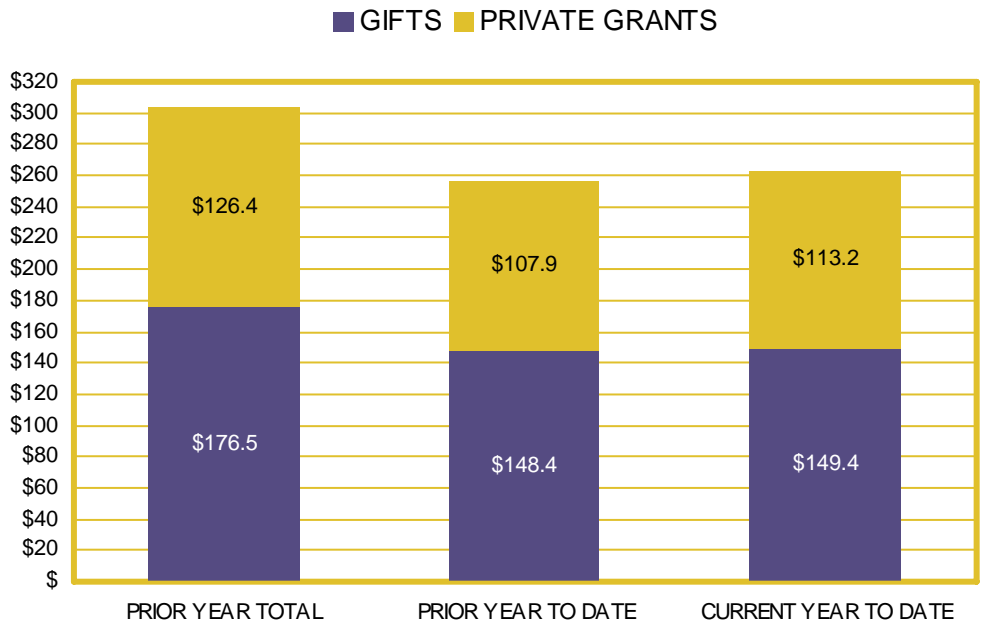
The UW received \$10.3 million in total private voluntary support (\$6.4 million in gifts and \$3.9 in grants) in May.

Areas including Architecture, Business School, Dentistry, Forest Resources, Information School, Libraries, Ocean and Fisheries, Pharmacy, UW Medicine and UW Tacoma are ahead of last year's year-to-date totals.

**FUNDRAISING PROGRESS SINCE JULY 1, 2000**



**CURRENT GIFT AND PRIVATE GRANT TOTALS**



## MAY 2008 GIFTS AND IMPACT

Selected gifts representing private support for one of the University of Washington's key fundraising priorities -- student, faculty, program and facility support.

### **Andrew H. Eker and Mary K. Hughes - \$20,573 to the College of Architecture and Urban Planning**

- The Andrew Eker scholarship will benefit students studying construction management in the College of Architecture and Urban Planning. Mr. Eker and Ms. Hughes have pledged \$100,000 to create the scholarship, which will generate annual support in perpetuity for academically qualified students with demonstrated financial need.
- Under the Students First Matching Initiative, this endowment will receive \$50,000 in matching funds from the University once it has been fully funded.
- Mr. Eker and Ms. Hughes have created the UW's first need-based scholarship benefiting the department of construction management. The department prepares students for careers in the construction industry, conducts research and provides community service.

### **Judith M. and T. Clyde Pitcher - \$35,000 to Scholarships and Student Programs**

- Mr. and Mrs. Pitcher have pledged \$100,000 to create the Clyde and Judy Pitcher Scholarship to help financially needy students in the Foster School of Business and the College of Education.
- The Pitchers are both UW graduates (he received his degree in Business Administration, she graduated with a bachelor's degree in education). Mr. Pitcher owned his own business until his retirement; Mrs. Pitcher has been a teacher and believes that supporting teachers is critical to the success of future generations.
- Under the Students First Matching Initiative, this endowment will receive \$50,000 in matching funds from the University. Once fully funded, the distributions will be evenly split between the Foster School of Business and the College of Education.

### **Wissner-Slivka Foundation - \$100,000 to the College of Arts and Sciences**

- This gift will help to bring a state of the art children's brain imaging machine to the University's Institute of Learning and Brain Sciences (I-LABS), helping to advance the institute's goal of becoming the world's foremost research generator on early learning and development.
- Benjamin Slivka and Lisa Wissner-Slivka created the Wissner-Slivka Foundation in 1997, focused primarily on supporting education. Mr. Slivka is Chairman and Co-Founder of DreamBox Learning, which develops educational software. Mrs. Wissner-Slivka works with many community organizations in the Seattle area, including Social Venture Partners, Bellevue Schools Foundation and Seattle Children's Theatre.
- Generous private support from donors including the Wissner-Slivka Foundation was key to securing a recent \$4 million grant from the Life Sciences Discovery Fund for the purchase of the magnetoencephalography (MEG) machine. I-LABS is an interdisciplinary center dedicated to discovering the fundamental principles of human learning, enabling children to achieve their full potential.







# REPORT OF CONTRIBUTIONS

May 2008

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## **CAMPAIGN UW: CREATING FUTURES**

Report prepared by: Office of Development and Alumni Relations  
Advancement Services, Information Management

6/5/2008 01:25 PM

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Campaign Progress to Date*	1
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\*All Campaign totals represented are from July 1, 2000 through the end of the preceding calendar month. Please note that grant revenue totals in Campaign Reports may contain clinical trials. Fundraising totals from all affiliated non-profit organizations are also included in UW Campaign totals.

The UW Campaign Executive Committee developed the counting policy for Campaign reports, based on Council for Advancement and Support of Education (CASE) campaign counting standards.

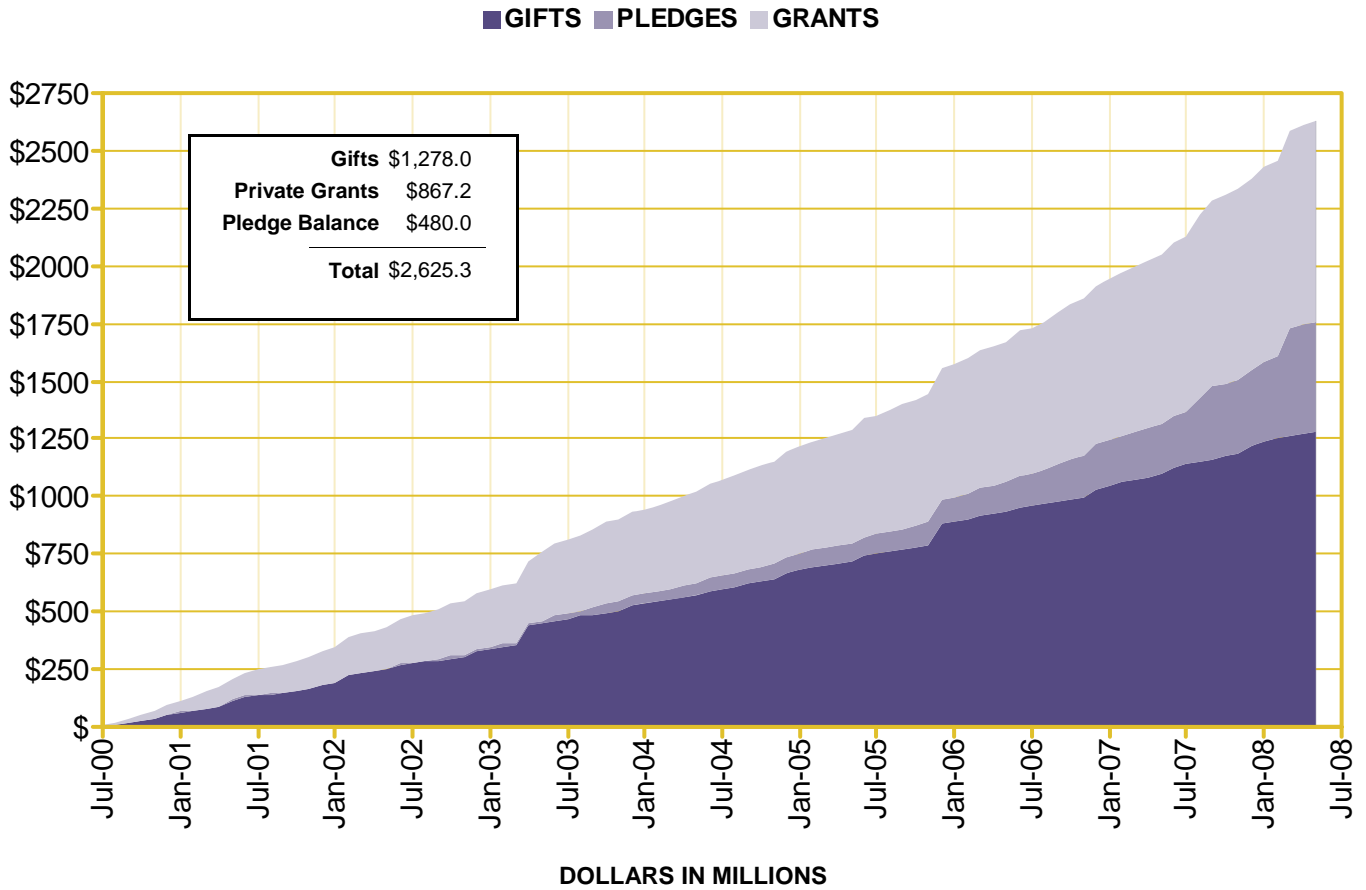
Annual reporting is July 1, 2007 through the end of the preceding calendar month.

Job Number: 81047  
May 2008

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## CAMPAIGN PROGRESS SINCE JULY 1, 2000



Source: UW Office of Development

Summarizes Total Private Voluntary Support since July 1, 2000. Testamentary Commitments included in Pledge Balance total. All dollar totals in millions.

Job Number: 81047  
 May 2008

Fundraising Progress Since July 1, 2000



## CAMPAIGN PROGRESS BY GIVING LEVEL

<b>DOLLARS RAISED</b>							
<b>Gifts, Pledges &amp; Grants</b>							
Giving Level *	Alumni	Non Alumni	Family Fndns.	Corporations	Foundations	Other Orgs.	Total
\$100M+	\$0	\$35,220	\$0	\$0	\$419,065,390	\$0	\$419,100,610
\$50M - \$99,999,999	\$0	\$0	\$0	\$0	\$0	\$0	\$0
\$10M - \$49,999,999	\$52,462,376	\$86,200,063	\$74,237,500	\$113,142,828	\$132,739,611	\$74,393,161	\$533,175,539
\$5M - \$9,999,999	\$52,930,791	\$19,480,945	\$0	\$34,961,379	\$75,809,538	\$82,588,384	\$265,771,038
\$1M - \$4,999,999	\$149,785,565	\$85,863,158	\$36,960,274	\$149,119,128	\$104,863,127	\$92,778,335	\$619,369,586
\$100,000 - \$999,999	\$118,487,316	\$84,697,827	\$27,439,311	\$143,276,142	\$72,404,291	\$66,131,714	\$512,436,600
\$25,000 - \$99,999	\$34,430,881	\$25,457,964	\$3,613,433	\$34,487,563	\$9,059,287	\$11,188,469	\$118,237,597
\$10,000 - \$24,999	\$23,674,633	\$17,225,806	\$947,192	\$11,352,951	\$1,900,942	\$2,616,678	\$57,718,202
\$5,000 - \$9,999	\$14,775,782	\$10,980,296	\$263,568	\$5,425,106	\$642,151	\$847,286	\$32,934,188
\$2,000 - \$4,999	\$10,982,142	\$8,763,894	\$68,743	\$3,659,696	\$290,997	\$560,729	\$24,326,201
\$1,000 - \$1,999	\$7,152,750	\$5,609,129	\$19,240	\$1,546,089	\$85,198	\$255,366	\$14,667,772
\$500 - \$999	\$6,123,299	\$4,612,158	\$5,960	\$775,289	\$35,664	\$108,457	\$11,660,825
\$250 - \$499	\$4,091,900	\$2,474,652	\$2,000	\$334,382	\$10,477	\$45,750	\$6,959,161
\$100 - \$249	\$2,992,510	\$2,431,996	\$2,440	\$312,478	\$7,931	\$35,506	\$5,782,861
\$1 - \$99	\$1,573,701	\$1,449,911	\$239	\$82,742	\$1,106	\$12,104	\$3,119,803
<b>Total</b>	<b>\$479,463,645</b>	<b>\$355,283,017</b>	<b>\$143,559,900</b>	<b>\$498,475,773</b>	<b>\$816,915,709</b>	<b>\$331,561,939</b>	<b>\$2,625,259,984</b>
<b>DONOR COUNTS</b>							
Giving Level	Alumni	Non Alumni	Family Fndns.	Corporations	Foundations	Other Orgs.	Total
\$100M+	0	2	0	0	1	0	3
\$50M - \$99,999,999	0	0	0	0	0	0	0
\$10M - \$49,999,999	13	11	4	8	7	4	47
\$5M - \$9,999,999	13	8	0	6	12	11	50
\$1M - \$4,999,999	122	120	18	73	50	47	430
\$100,000 - \$999,999	689	761	80	526	213	214	2,483
\$25,000 - \$99,999	1,378	1,358	79	746	186	222	3,969
\$10,000 - \$24,999	2,796	2,714	65	847	127	180	6,729
\$5,000 - \$9,999	3,676	3,592	45	908	106	137	8,464
\$2,000 - \$4,999	5,750	6,235	25	1,402	99	204	13,715
\$1,000 - \$1,999	7,837	7,944	18	1,384	71	219	17,473
\$500 - \$999	11,988	11,670	13	1,405	61	184	25,321
\$250 - \$499	14,777	11,955	7	1,153	33	152	28,077
\$100 - \$249	23,481	25,579	17	2,419	54	274	51,824
\$1 - \$99	44,912	52,333	5	2,121	25	342	99,738
<b>Total</b>	<b>117,432</b>	<b>124,282</b>	<b>376</b>	<b>12,998</b>	<b>1,045</b>	<b>2,190</b>	<b>258,323</b>

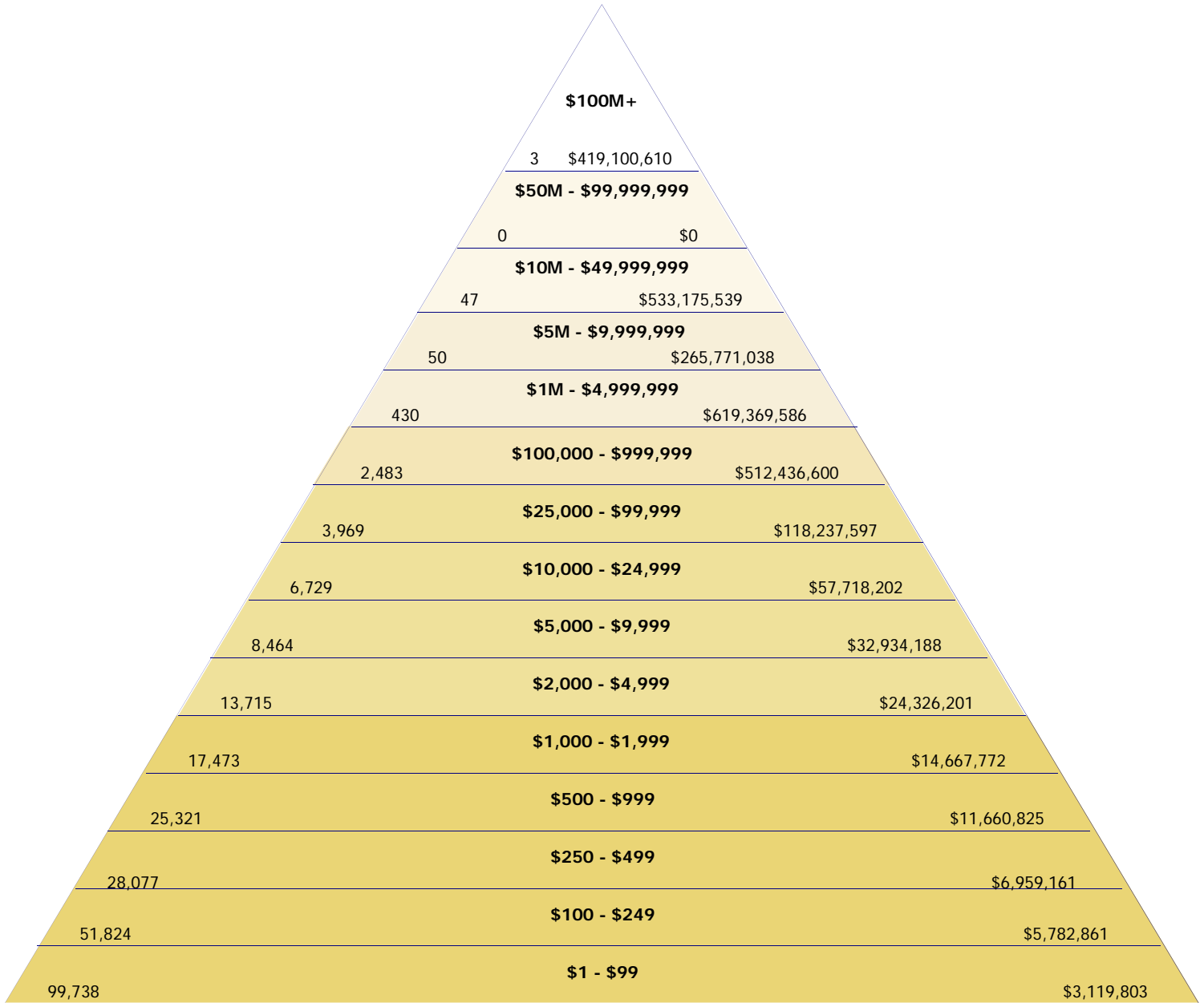
Source: UW Office of Development & Alumni Relations

This report shows the count of distinct donors and campaign total by giving level and donor type since July 1, 2000.

\*\*"Giving Level" is determined by summing all gift record types (including grants)



CAMPAIGN PROGRESS BY PYRAMID, SINCE JULY 1, 2000



258,323 Donor Count

Campaign Total: \$2,625,259,984

\*Campaign Working Goal: \$2,500,000,000

Percent Complete: 105.0%

Source: UW Office of Development

The counts of distinct donors and fundraising totals by giving level are shown.

\*Unit campaign goals are still being finalized.

Pyramid Levels are determined by summing all gift record types (including grants)



## CAMPAIGN PROGRESS BY CONSTITUENCY

	GIFTS	Irrevocable Deferred Gifts		PLEDGES	Testamentary Commitments		GRANTS	Campaign - Private Voluntary Support		
		Donor Value	Discount Value		Donor Value	Discount Value		TOTAL	Working Goal	% of Goal
UW Medicine	\$344,213,851	\$11,924,834	\$7,209,237	\$172,849,990	\$34,999,360	\$12,644,201	\$462,193,402	\$1,026,181,437	\$1,000,000,000	102.6%
Architecture	\$9,580,533	\$2,244,117	\$1,270,276	\$1,229,723	\$7,250,000	\$2,777,398	\$4,209,737	\$24,514,110	\$19,000,000	129.0%
Arts and Sciences	\$136,698,787	\$6,955,983	\$4,911,394	\$8,994,654	\$41,019,666	\$25,811,032	\$83,898,983	\$277,568,073	\$240,000,000	115.7%
Broadcast Services	\$59,840,610	\$0	\$0	\$13,890	\$0	\$0	\$0	\$59,854,500	N/A	N/A
Business School	\$80,072,303	\$3,035,524	\$1,623,140	\$84,518,595	\$8,115,271	\$5,544,318	\$384,322	\$176,126,014	\$135,000,000	130.5%
Dentistry	\$11,387,986	\$246,992	\$191,357	\$4,942,077	\$910,000	\$508,954	\$4,468,388	\$21,955,443	\$15,000,000	146.4%
Education	\$12,470,937	\$48,552	\$45,881	\$2,098,374	\$4,050,000	\$2,015,446	\$16,522,480	\$35,190,343	\$23,000,000	153.0%
Engineering	\$152,627,912	\$2,837,209	\$2,010,611	\$6,043,951	\$13,901,891	\$5,851,182	\$85,926,189	\$261,337,152	\$250,000,000	104.5%
Evans Schl. of Pub. Affairs	\$13,617,123	\$81,135	\$114,706	\$945,779	\$500,000	\$265,793	\$42,168,938	\$57,312,975	\$40,000,000	143.3%
Forest Resources	\$11,379,352	\$646,572	\$562,957	\$222,311	\$2,915,000	\$1,609,906	\$4,705,054	\$19,868,288	\$17,700,000	112.3%
Friday Harbor Labs	\$6,603,835	\$307,268	\$149,278	\$133,196	\$5,505,000	\$3,093,404	\$862,743	\$13,412,042	\$12,000,000	111.8%
Information School	\$3,607,848	\$25,000	\$25,070	\$193,838	\$100,000	\$64,666	\$4,324,458	\$8,251,144	\$5,000,000	165.0%
Intercollegiate Athletics	\$128,296,037	\$364,480	\$276,178	\$4,792,803	\$1,580,000	\$1,011,286	\$0	\$135,033,321	\$110,000,000	122.8%
Law	\$63,567,498	\$71,186	\$46,904	\$868,452	\$674,988	\$417,533	\$1,237,822	\$66,419,945	\$70,000,000	94.9%
Libraries	\$8,093,259	\$442,146	\$381,541	\$296,252	\$1,951,334	\$857,698	\$475,708	\$11,258,699	\$9,000,000	125.1%
Nursing	\$12,925,869	\$3,275,000	\$2,534,893	\$796,034	\$7,507,799	\$4,483,235	\$9,694,674	\$34,199,376	\$24,000,000	142.5%
Ocean and Fisheries	\$16,641,606	\$5,823,186	\$2,742,236	\$111,441	\$0	\$0	\$34,691,792	\$57,268,025	\$34,000,000	168.4%
Pharmacy	\$11,795,405	\$0	\$0	\$404,970	\$1,000,000	\$310,068	\$7,197,113	\$20,397,488	\$10,260,000	198.8%
President's Funds	\$9,113,604	\$788,472	\$690,676	\$527,883	\$11,230,000	\$4,709,801	\$0	\$21,659,959	N/A	N/A
Public Health	\$14,508,092	\$50,259	\$39,563	\$9,324,419	\$0	\$0	\$78,450,410	\$102,333,180	\$90,000,000	113.7%
Scholar. & Student Progs.	\$47,839,065	\$1,896,761	\$865,957	\$2,374,967	\$6,733,333	\$3,519,365	\$1,964,332	\$60,808,458	\$40,000,000	152.0%
Social Work	\$7,375,344	\$290,566	\$183,270	\$5,096,040	\$0	\$0	\$7,304,878	\$20,066,829	\$10,000,000	200.7%
University Press	\$4,180,944	\$108,239	\$93,710	\$15,400	\$0	\$0	\$0	\$4,304,583	\$3,000,000	143.5%
University Support	\$39,600,462	\$2,130,448	\$1,123,146	\$3,495,801	\$4,050,600	\$2,173,599	\$15,661,286	\$64,938,597	N/A	N/A
UW Bothell	\$2,855,953	\$0	\$0	\$87,695	\$0	\$0	\$750,500	\$3,694,148	\$3,500,000	105.5%
UW Tacoma	\$25,358,669	\$195,000	\$181,257	\$12,204,729	\$3,467,200	\$1,843,932	\$80,257	\$41,305,855	\$35,000,000	118.0%
<b>All UW Total</b>	<b>\$1,234,252,887</b>	<b>\$43,788,928</b>	<b>\$27,273,238</b>	<b>\$322,583,263</b>	<b>\$157,461,442</b>	<b>\$79,512,817</b>	<b>\$867,173,465</b>	<b>\$2,625,259,984</b>	<b>\$2,500,000,000</b>	<b>105.0%</b>

Source: UW Office of Development

Fundraising progress toward campaign working goals by constituency area (school/college/program). Campaign total is the sum of gifts, grants, active pledges and donor values of irrevocable deferred gifts and testamentary commitments. "N/A" is not applicable. 1 - "Pledges" are those in active status only. 2 - "Grants" are private grants only. 3 - Unit campaign working goals are still being finalized.

Job Number: 81047  
May 2008

Campaign Progress by Constituency - Since July 2000



**CAMPAIGN FUNDING THEME PROGRESS - JULY 2000 - PRESENT**

THEME	CURRENT USE	ENDOWMENT	TOTAL
Student Support	\$116,816,981	\$216,069,808	\$332,886,789
Faculty Support	\$104,495,181	\$172,608,471	\$277,103,652
Program Support for Faculty and Students	\$1,284,273,891	\$166,594,994	\$1,450,868,886
Capital	\$311,422,945	\$1,778,269	\$313,201,214
Unrestricted	\$218,424,073	\$32,775,370	\$251,199,443
<b>Total</b>	<b>\$2,035,433,071</b>	<b>\$589,826,912</b>	<b>\$2,625,259,984</b>
Goal	\$2,000,000,000	\$500,000,000	\$2,500,000,000
% to Goal	101.8%	118.0%	105.0%

Source: UW Office of Development

This report shows contribution totals by campaign theme/priority since July 1, 2000.

Job Number: 81047

May 2008

Campaign Theme Progress



DEVELOPMENT SUMMARY - TOTAL PRIVATE VOLUNTARY SUPPORT

AREA	YEAR TO DATE DONOR VALUES		
	GIFTS	PRIVATE GRANTS	TOTAL
UW Seattle			
UW Medicine	\$41,292,448	\$74,508,276	\$115,800,725
Architecture	\$1,545,642	\$218,433	\$1,764,075
Arts and Sciences	\$21,363,817	\$8,213,341	\$29,577,158
Broadcast Services	\$7,637,903		\$7,637,903
Business School	\$11,817,999	\$15,987	\$11,833,986
Dentistry	\$3,168,860	\$203,056	\$3,371,916
Education	\$1,340,645	\$1,189,541	\$2,530,186
Engineering	\$14,506,501	\$4,276,058	\$18,782,560
Evans Schl. of Pub. Affairs	\$1,632,871	\$1,427,481	\$3,060,352
Forest Resources	\$1,482,458	\$798,335	\$2,280,793
Graduate School	\$1,087,772	\$69,558	\$1,157,330
Information School	\$447,078	\$2,828,000	\$3,275,078
Intercollegiate Athletics	\$15,890,620		\$15,890,620
Law	\$2,126,363	\$61,600	\$2,187,963
Libraries	\$1,513,880		\$1,513,880
Nursing	\$1,964,939	\$1,143,549	\$3,108,488
Ocean and Fisheries	\$2,331,857	\$7,728,636	\$10,060,494
Pharmacy	\$1,782,338	\$1,121,324	\$2,903,662
President's Funds	\$631,050		\$631,050
Public Health	\$381,840	\$8,760,637	\$9,142,477
Scholar. & Student Progs.	\$6,422,923	\$100,000	\$6,522,923
Social Work	\$1,136,219	\$277,860	\$1,414,079
UW Alumni Association	\$970,103		\$970,103
University Press	\$405,123		\$405,123
University Support	\$3,679,611	\$212,916	\$3,892,527
UW Bothell	\$274,875		\$274,875
UW Tacoma	\$2,558,602		\$2,558,602
<b>All UW Total</b>	<b>\$149,394,350</b>	<b>\$113,154,591</b>	<b>\$262,548,941</b>

Source: UW Office of Development

Contribution totals for the major Development areas of the University are shown.

Job Number: 81047

May 2008

Development Area Summary - Total Private Voluntary Support





## DEVELOPMENT SUMMARY - GIFTS AND PRIVATE GRANTS

AREA	CURRENT MONTH		YEAR TO DATE		PRIOR YEAR TO DATE		PRIOR FISCAL YEAR	
	Donors	Value	Donors	Value	Donors	Value	Donors	Value
UW Seattle								
UW Medicine	2,414	\$4,360,379	16,897	\$115,800,725	17,374	\$90,726,364	18,369	\$106,235,847
Architecture	145	\$152,466	1,267	\$1,764,075	1,456	\$1,258,644	1,511	\$1,285,219
Arts and Sciences	1,860	\$1,401,826	14,252	\$29,577,158	16,328	\$29,657,671	17,118	\$34,367,909
Broadcast Services	489	\$38,754	3,632	\$7,637,903	3,925	\$8,424,692	4,560	\$10,835,348
Business School	329	\$541,876	4,382	\$11,833,986	4,271	\$10,389,308	4,542	\$16,631,593
Dentistry	148	\$160,315	1,259	\$3,371,916	1,333	\$1,559,265	1,410	\$1,721,826
Education	311	\$47,364	1,571	\$2,530,186	1,810	\$3,747,074	1,869	\$4,112,575
Engineering	404	\$1,044,200	4,398	\$18,782,560	4,391	\$22,387,599	4,586	\$26,027,370
Evans Schl. of Pub. Affairs	64	\$75,675	417	\$3,060,352	467	\$6,229,619	522	\$7,146,491
Forest Resources	84	\$352,350	1,066	\$2,280,793	1,148	\$1,798,398	1,208	\$1,929,058
Graduate School	24	\$4,215	525	\$1,157,330	402	\$2,348,192	415	\$2,481,332
Information School	44	\$118,018	592	\$3,275,078	626	\$604,428	651	\$686,093
Intercollegiate Athletics	629	\$664,571	13,485	\$15,890,620	12,435	\$18,092,770	24,151	\$21,911,161
Law	210	\$67,034	2,013	\$2,187,963	1,851	\$2,356,552	2,019	\$2,960,515
Libraries	260	\$100,019	4,581	\$1,513,880	4,283	\$1,098,378	4,428	\$1,143,601
Nursing	184	\$290,539	1,721	\$3,108,488	1,770	\$5,902,491	1,849	\$6,185,723
Ocean and Fisheries	91	\$35,883	789	\$10,060,494	776	\$6,556,336	790	\$7,085,809
Pharmacy	79	\$63,786	1,079	\$2,903,662	1,114	\$2,320,748	1,153	\$3,527,989
President's Funds	251	\$34,945	2,115	\$631,050	1,946	\$570,869	2,096	\$726,232
Public Health	78	\$46,882	688	\$9,142,477	746	\$10,043,720	788	\$11,799,217
Scholar. & Student Progs.	559	\$176,108	5,835	\$6,522,923	3,642	\$12,875,778	3,881	\$13,158,596
Social Work	40	\$8,147	845	\$1,414,079	951	\$5,491,441	980	\$5,996,397
UW Alumni Association	3,218	\$136,574	19,071	\$970,103	22,883	\$1,091,304	24,884	\$1,216,529
University Press	8	\$57,745	286	\$405,123	277	\$1,798,598	311	\$1,822,053
University Support	518	\$131,954	2,216	\$3,892,527	2,148	\$6,504,048	2,365	\$7,142,087
UW Bothell	320	\$145,995	720	\$274,875	581	\$373,074	647	\$406,048
UW Tacoma	157	\$44,809	734	\$2,558,602	734	\$2,141,086	810	\$4,346,953
<b>All UW Unique Total</b>	<b>12,250</b>	<b>\$10,302,443</b>	<b>89,214</b>	<b>\$262,548,941</b>	<b>91,213</b>	<b>\$256,348,460</b>	<b>105,353</b>	<b>\$302,889,584</b>

Source: UW Office of Development

The number of donors and contribution totals for the major Development areas of the University are shown. Dollar values are based on donor values.

Job Number: 81047

May 2008

Development Area Summary - Gifts and Private Grants



## DEVELOPMENT SUMMARY - GIFTS

AREA	CURRENT MONTH		YEAR TO DATE		PRIOR YEAR TO DATE		PRIOR FISCAL YEAR	
	Donors	Value	Donors	Value	Donors	Value	Donors	Value
UW Seattle								
UW Medicine	2,358	\$1,579,334	16,664	\$41,292,448	17,129	\$30,037,981	18,109	\$35,839,896
Architecture	145	\$152,466	1,264	\$1,545,642	1,449	\$989,263	1,504	\$1,015,838
Arts and Sciences	1,855	\$1,122,236	14,193	\$21,363,817	16,265	\$19,721,124	17,051	\$22,448,302
Broadcast Services	489	\$38,754	3,632	\$7,637,903	3,925	\$8,424,692	4,560	\$10,835,348
Business School	329	\$541,876	4,381	\$11,817,999	4,270	\$10,360,961	4,541	\$16,603,246
Dentistry	146	\$50,315	1,254	\$3,168,860	1,324	\$1,158,703	1,400	\$1,302,793
Education	311	\$47,364	1,564	\$1,340,645	1,801	\$1,304,575	1,859	\$1,560,076
Engineering	398	\$491,964	4,367	\$14,506,501	4,342	\$13,632,856	4,531	\$15,097,858
Evans Schl. of Pub. Affairs	64	\$75,675	408	\$1,632,871	448	\$2,335,846	503	\$2,577,597
Forest Resources	84	\$352,350	1,050	\$1,482,458	1,132	\$1,316,597	1,191	\$1,416,624
Graduate School	24	\$4,215	522	\$1,087,772	397	\$1,563,846	410	\$1,665,861
Information School	43	\$93,018	590	\$447,078	623	\$430,704	648	\$436,870
Intercollegiate Athletics	629	\$664,571	13,485	\$15,890,620	12,435	\$18,092,770	24,151	\$21,911,161
Law	210	\$67,034	2,011	\$2,126,363	1,847	\$2,169,872	2,015	\$2,773,835
Libraries	260	\$100,019	4,581	\$1,513,880	4,283	\$1,098,378	4,428	\$1,143,601
Nursing	184	\$290,539	1,712	\$1,964,939	1,763	\$5,177,074	1,842	\$5,457,618
Ocean and Fisheries	89	\$20,491	765	\$2,331,857	749	\$2,487,116	763	\$2,515,555
Pharmacy	78	\$23,286	1,072	\$1,782,338	1,107	\$1,509,016	1,144	\$1,707,704
President's Funds	251	\$34,945	2,115	\$631,050	1,946	\$570,869	2,096	\$726,232
Public Health	77	\$11,413	656	\$381,840	710	\$613,091	749	\$669,251
Scholar. & Student Progs.	558	\$156,108	5,833	\$6,422,923	3,642	\$11,875,778	3,881	\$12,158,596
Social Work	40	\$8,147	839	\$1,136,219	942	\$4,218,718	970	\$4,249,650
UW Alumni Association	3,218	\$136,574	19,071	\$970,103	22,883	\$1,091,304	24,884	\$1,216,529
University Press	8	\$57,745	286	\$405,123	277	\$1,798,598	311	\$1,822,053
University Support	518	\$131,954	2,214	\$3,679,611	2,141	\$3,952,633	2,358	\$4,590,672
UW Bothell	320	\$145,995	720	\$274,875	581	\$373,074	647	\$406,048
UW Tacoma	157	\$44,809	734	\$2,558,602	732	\$2,135,519	808	\$4,341,386
<b>All UW Unique Total</b>	<b>12,180</b>	<b>\$6,443,211</b>	<b>88,857</b>	<b>\$149,394,350</b>	<b>90,811</b>	<b>\$148,440,970</b>	<b>104,934</b>	<b>\$176,490,215</b>

Source: UW Office of Development

The number of donors and contribution totals (gifts only) for the major Development areas of the University are shown. Dollar values are based on donor values.

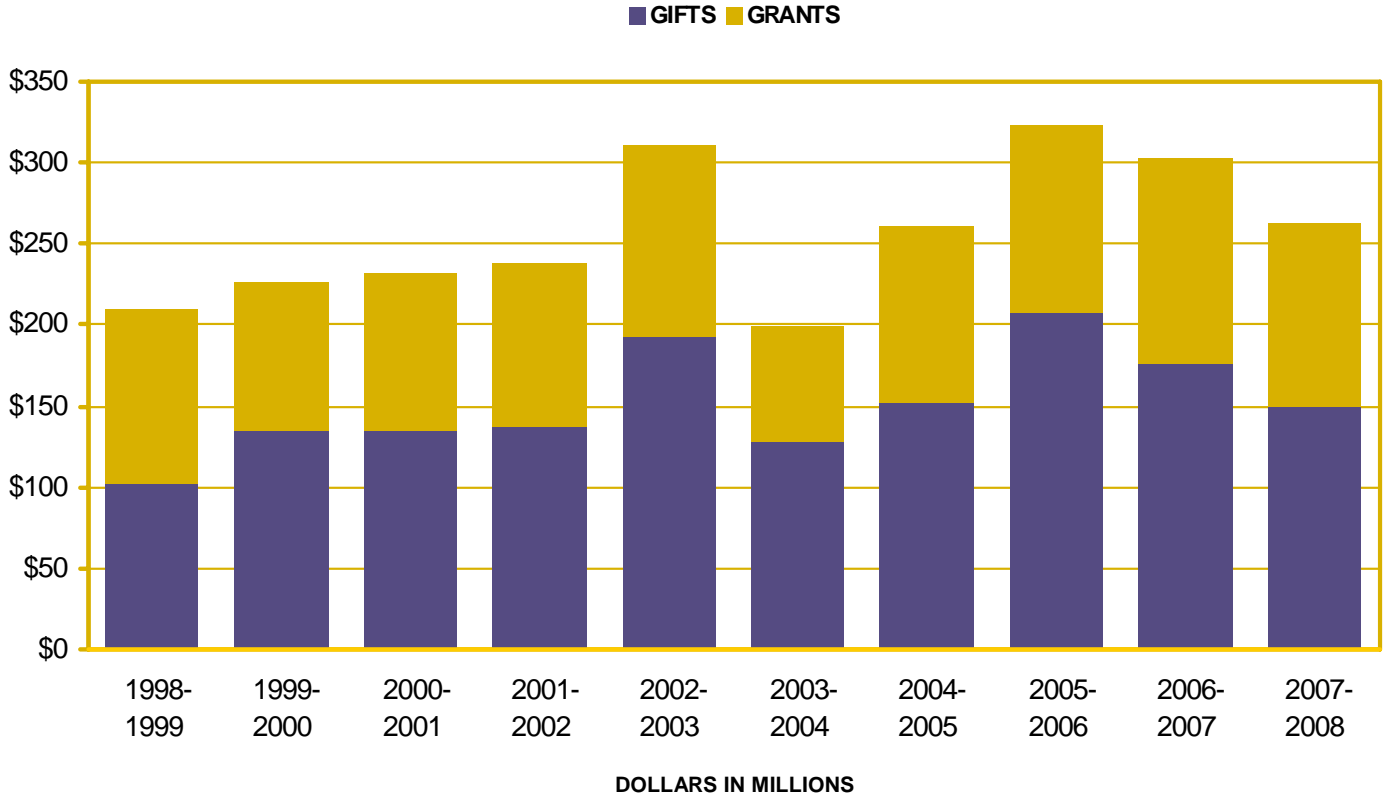
Job Number: 81047

May 2008

Development Area Summary - Gifts



COMPLETE FISCAL YEAR COMPARISON OF TOTAL CONTRIBUTIONS RECEIVED



FISCAL YEAR	GIFTS	GRANTS	TOTAL CONTRIBUTIONS
2007- 2008	\$149,394,350	\$113,154,591	\$262,548,941
2006- 2007	\$176,490,215	\$126,399,369	\$302,889,584
2005- 2006	\$207,744,231	\$115,261,186	\$323,005,417
2004- 2005	\$151,969,925	\$108,802,371	\$260,772,296
2003- 2004	\$128,174,367	\$71,603,323	\$199,777,690
2002- 2003	\$192,573,183	\$118,677,722	\$311,250,905
2001- 2002	\$137,959,340	\$100,820,547	\$238,779,887
2000- 2001	\$134,805,190	\$97,112,979	\$231,918,169
1999- 2000	\$134,037,997	\$91,536,165	\$225,574,162
1998- 1999	\$102,925,077	\$107,619,586	\$210,544,663

Source: UW Office of Development

This graph compares the current fiscal year's contribution totals to each of the previous nine fiscal year's contribution totals.

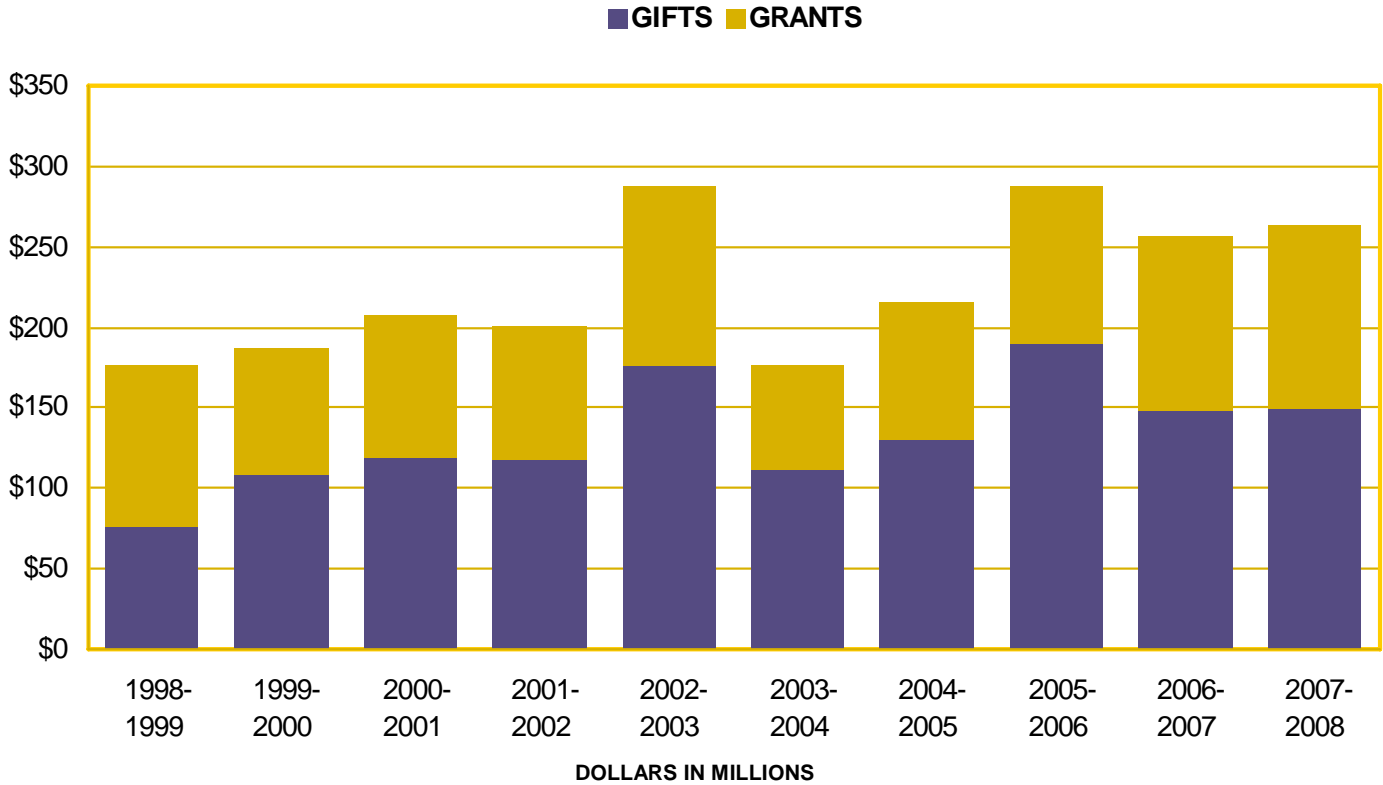
Job Number: 81047

May 2008

Fiscal Year Totals Graph



## YEAR TO DATE CONTRIBUTION TOTALS



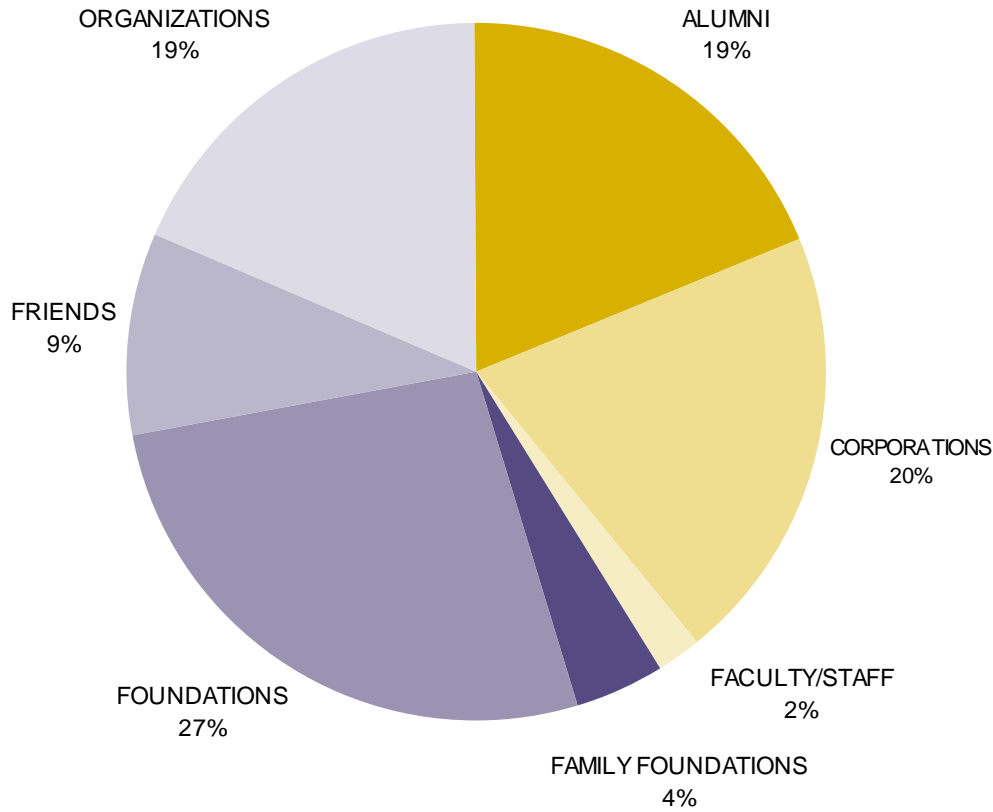
FISCAL YEAR	GIFTS	GRANTS	TOTAL CONTRIBUTIONS
2007- 2008	\$149,394,350	\$113,154,591	\$262,548,941
2006- 2007	\$148,440,970	\$107,907,490	\$256,348,460
2005- 2006	\$190,107,545	\$96,947,162	\$287,054,707
2004- 2005	\$130,236,981	\$85,036,054	\$215,273,035
2003- 2004	\$110,607,045	\$65,698,478	\$176,305,523
2002- 2003	\$176,755,934	\$111,251,784	\$288,007,718
2001- 2002	\$118,125,206	\$82,636,715	\$200,761,921
2000- 2001	\$119,218,804	\$88,148,568	\$207,367,372
1999- 2000	\$108,698,852	\$78,589,207	\$187,288,059
1998- 1999	\$75,706,933	\$100,303,872	\$176,010,805

Source: UW Office of Development

This graph compares the current fiscal year's contribution totals to each of the previous nine fiscal year's contribution totals.



DEVELOPMENT ACTIVITY BY DONOR TYPE IN CURRENT FISCAL YEAR



DONOR TYPE	YEAR TO DATE		PRIOR YEAR TO DATE		PRIOR FISCAL YEAR	
	Donors	Value	Donors	Value	Donors	Value
Alumni	45,903	\$49,567,451	48,927	\$50,608,701	54,753	\$60,282,263
Corporations	2,738	\$53,103,628	2,819	\$50,620,826	3,222	\$59,587,495
Faculty/Staff	3,338	\$5,174,550	3,315	\$3,131,121	3,711	\$3,848,794
Family Foundations	165	\$11,320,302	148	\$23,492,169	160	\$33,777,254
Foundations	399	\$69,880,959	386	\$41,150,710	405	\$45,676,525
Friends	36,141	\$24,607,110	35,091	\$33,991,918	42,526	\$40,107,637
Organizations	530	\$48,894,941	527	\$53,353,015	576	\$59,609,617

Source: UW Office of Development

This graph shows the sources of contributions for the current year to date. Dollar values are based on donor value.

Job Number: 81047  
 May 2008

Development Activity by Donor Type in Current Fiscal Year Chart



## ALUMNI PARTICIPATION BY CONSTITUENCY

AREA	CURRENT FISCAL YEAR TO DATE			PREVIOUS FISCAL YEAR TO DATE			
	Solicitable	Donors	Partic. Rate	Solicitable	Donors	Partic. Rate	Final %
UW Seattle							
UW Medicine	18,010	3,329	18.5%	16,867	3,415	20.2%	22.0%
Architecture	7,965	1,278	16.0%	7,709	1,355	17.6%	19.5%
Arts and Sciences	141,595	18,977	13.4%	138,301	20,460	14.8%	16.6%
Business School	37,430	6,813	18.2%	36,594	7,255	19.8%	22.7%
Dentistry	4,579	1,097	24.0%	4,525	1,140	25.2%	27.5%
Education	18,902	3,307	17.5%	19,116	3,423	17.9%	20.2%
Engineering	32,393	4,731	14.6%	31,945	4,985	15.6%	17.3%
Evans School of Public Affairs	2,329	511	21.9%	2,119	487	23.0%	25.1%
Forest Resources	4,603	678	14.7%	4,603	741	16.1%	17.9%
Interdisc. Grad. Programs	1,622	241	14.9%	1,463	235	16.1%	18.0%
Interdisc. Undergrad. Programs	225	8	3.6%	170	38	22.4%	24.7%
Interschool Programs	453	47	10.4%	353	60	17.0%	17.8%
Information School	4,370	860	19.7%	4,202	880	20.9%	22.4%
Law	7,780	1,701	21.9%	7,593	1,693	22.3%	24.8%
School of Nursing	8,598	1,743	20.3%	8,469	1,921	22.7%	24.5%
Ocean & Fisheries	3,911	648	16.6%	3,866	659	17.0%	18.5%
Pharmacy	3,426	878	25.6%	3,364	925	27.5%	30.3%
Public Health	4,318	691	16.0%	4,104	765	18.6%	20.2%
Social Work	6,404	943	14.7%	6,232	1,044	16.8%	17.8%
UW Bothell	6,084	651	10.7%	5,245	668	12.7%	15.1%
UW Tacoma	7,003	631	9.0%	5,850	704	12.0%	14.4%
Unspecified	10,866	2,050	18.9%	11,278	2,213	19.6%	22.8%
<b>All UW Total</b>	<b>305,971</b>	<b>45,903</b>	<b>15.0%</b>	<b>297,648</b>	<b>48,927</b>	<b>16.4%</b>	<b>18.4%</b>

Source: UW Office of Development

Job Number: 81047  
May 2008

Alumni Participation



VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Grant and Contract Awards – March, 2008

RECOMMENDED ACTION:

It is the recommendation of the administration and the Finance and Audit Committee that the Board of Regents accept the Grant and Contract Awards as presented on the attached list.

Attachment:            Grant and Contract Awards Summary  
                              Report of Grant and Contract Awards of  
                              \$1,000,000 or More

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# **Grant and Contract Awards Summary**

**to**

**The Board of Regents**

**of the**

**University of Washington**

**for**

**March 2008**

**Office of Research**

**Office of Sponsored Programs**

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## MARCH 2008 HIGHLIGHTS

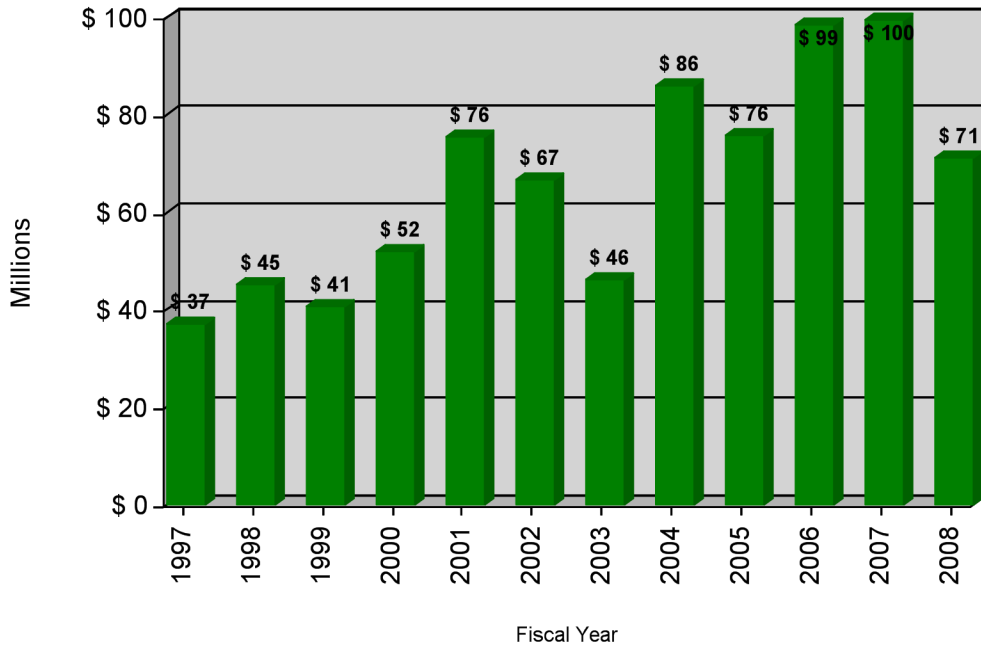
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The *WA State Department of Ecology* awarded a grant in the amount of \$119,137 for a project under the direction of Associate Professor Christian Grue of the *School of Aquatic and Fishery Sciences* entitled “Technical, economic, and environmental assessment of using organic urban waste to produce bio-ethanol.” Paper and wood residue makeup approximately 3.5 million tons of Washington’s municipal solid waste stream. The objective of the research is to evaluate the potential for using municipal solid waste lignocellulosic material to produce bio-ethanol. The research has three major components: develop a process for producing bio-ethanol from municipal solid waste, perform a techno-economic analysis of that process to assess its energy potential and economic, and perform a lifecycle analysis of converting the municipal solid waste to bio-ethanol to assess the broad environmental impact of the proposed system. The results of this research will define the feasibility, the process, and the impact of using the municipal solid waste stream as a raw material to produce bio ethanol, and should provide a foundation for future research on ways to more effectively use this organic waste stream.

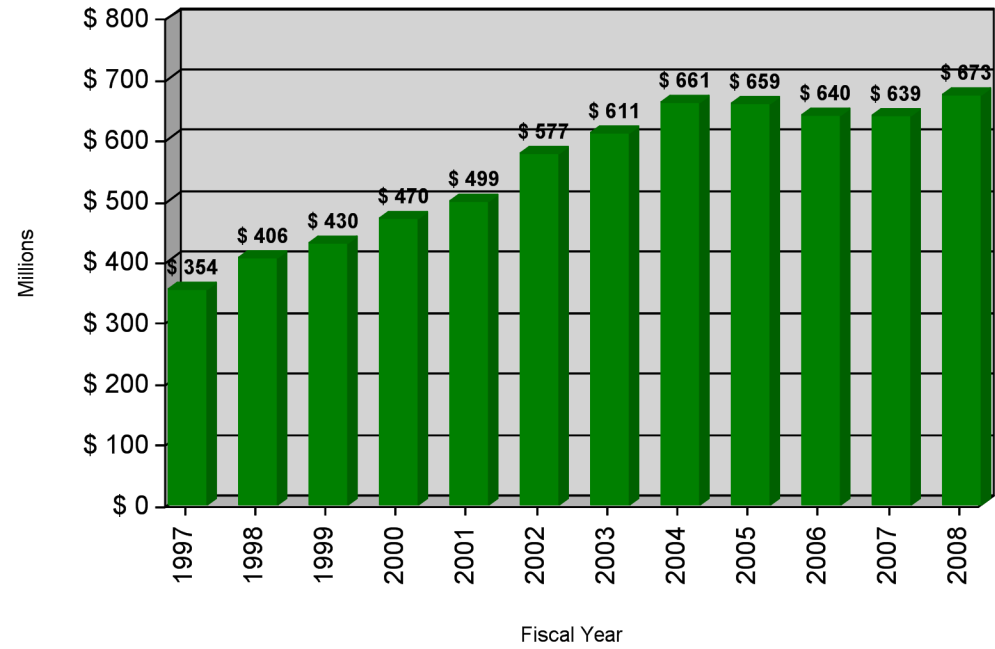
The *John A. Hartford Foundation* awarded a grant in the amount of \$200,000 for a project under the direction of Dr. Stephen M. Thielke of the *Department of Psychiatry and Behavioral Sciences* entitled “The Effects of Pain on Health and Quality of Life in Older Adults.” Pain is common in older adults, and causes significant suffering and disability. Recent research has suggested that common painful conditions are associated with greater declines in physical health than are other chronic conditions such as diabetes or congestive heart failure. There is also evidence that pain is associated with higher healthcare costs, and may explain the increased costs in patients with depression. The research will investigate the effects of pain on health outcomes and quality of life measures in four large existing longitudinal datasets. The results will be used to identify patients showing the worst pain-related adverse health outcomes, and to begin development of interventions that can better treat pain and improve health in older adults.

The *Paul G. Allen Family Foundations* awarded a grant in the amount of \$600,000 for a project under the direction of Associate Professor Shannon K. Dudley of the *School of Music* entitled “Seattle Partnership for American Popular Music.” The Seattle Partnership for American Popular Music (SPAPM) is a partnership between the University of Washington, the Experience Music Project/Science Fiction Museum, and KEXP radio. It promotes the role of music in our communities and our individual lives, stretches the capacity of all three of the participating organizations, and provides each organization with a reliable network for music resources. Specific projects sponsored by SPAPM will include a series of lectures, performances, and workshops titled, "Music in American Cultures;" KEXP radio modules produced in collaboration with UW staff and students; an annual Pop Music Conference; EMP museum exhibits and associated educational programming; and music education outreach to public schools in Seattle and Yakima.

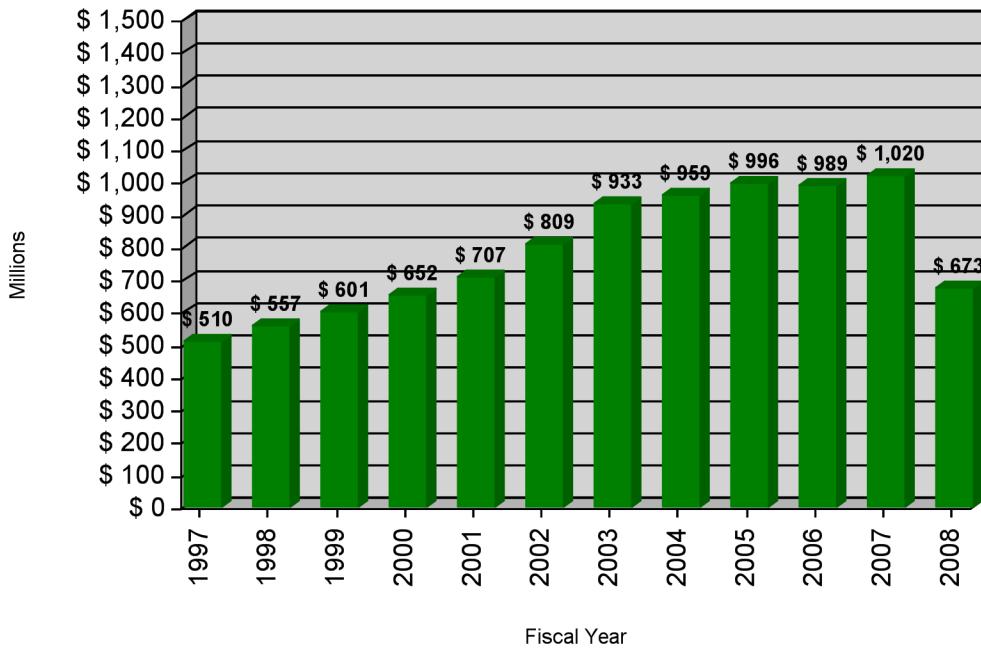
**March Only**  
Comparison of Grant & Contract Awards



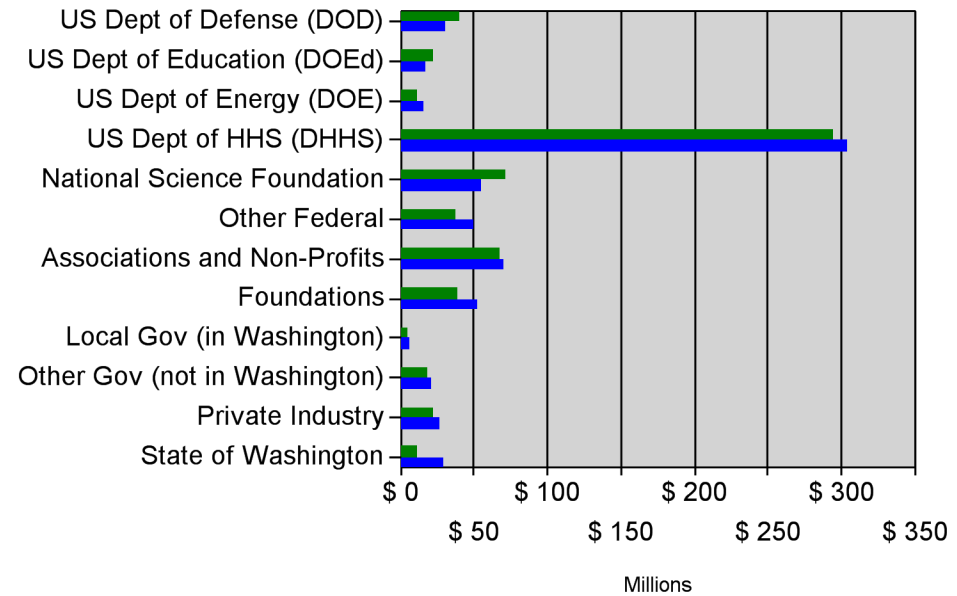
**Fiscal Year to Date**  
Comparison of Grant & Contract Awards



**Complete Fiscal Year**  
Comparison of Grant & Contract Awards



**Fiscal Year to Date**  
Two-Year Comparison of Grant and Contract Awards



Legend: Jul-Mar FY07 (Green), Jul-Mar FY08 (Blue)

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**Summary of Grant and Contract Awards****Fiscal Year 2007-2008**

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<b>Month</b>	<b>RESEARCH AND OTHER</b>		<b>TRAINING</b>		<b>Total Grants and Contracts</b>
	<b>Federal</b>	<b>Non-Federal</b>	<b>Federal</b>	<b>Non-Federal</b>	
July	\$ 54,889,260	\$ 15,048,400	\$ 7,034,788	\$ 5,593,291	\$ 82,565,740
August	\$ 111,423,800	\$ 21,120,610	\$ 10,878,860	\$ 1,041,935	\$ 144,465,200
September	\$ 62,869,760	\$ 24,344,880	\$ 11,411,270	\$ 1,857,398	\$ 100,483,300
October	\$ 75,921,240	\$ 38,888,260	\$ 6,410,827	\$ 2,183,915	\$ 123,404,200
November	\$ 11,290,950	\$ 14,589,700	\$ 319,941	\$ 1,747,225	\$ 27,947,810
December	\$ 16,090,690	\$ 16,532,470	\$ 385,596	\$ 1,928,891	\$ 34,937,650
January	\$ 19,244,150	\$ 17,965,880	\$ 912,526	\$ 1,377,304	\$ 39,499,860
February	\$ 27,736,200	\$ 18,007,790	\$ 1,215,807	\$ 1,929,782	\$ 48,889,580
March	\$ 51,435,740	\$ 17,396,780	\$ 1,188,447	\$ 1,207,529	\$ 71,228,500
<b>FY08 to Date</b>	<b>\$430,511,779</b>	<b>\$184,284,767</b>	<b>\$39,285,942</b>	<b>\$19,339,385</b>	<b>\$673,421,874</b>
<b>FY07 to Date</b>	<b>\$445,565,647</b>	<b>\$153,834,583</b>	<b>\$31,192,911</b>	<b>\$8,720,311</b>	<b>\$639,313,452</b>
<b>Over (Under) Previous Year</b>	<b>(\$15,053,868)</b>	<b>\$30,450,184</b>	<b>\$8,093,031</b>	<b>\$10,619,074</b>	<b>\$34,108,421</b>

*Assuming acceptance of all awards by the Board of Regents*

**Comparison of Grant and Contract Awards by Agency**

**Fiscal Years 2006-2007 and 2007-2008**

<b>Agency</b>	<b>Jul-Mar FY07</b>	<b>Jul-Mar FY08</b>
US Department of Defense (DOD)	\$ 40,373,026	\$ 30,125,982
US Department of Education (DOEd)	\$ 22,074,150	\$ 16,459,371
US Department of Energy (DOE)	\$ 11,052,111	\$ 15,175,749
US Department of Health and Human Services (DHHS)	\$ 294,270,645	\$ 303,752,892
National Science Foundation (NSF)	\$ 71,098,411	\$ 54,350,311
Other Federal	\$ 37,890,215	\$ 49,933,416
<b>Subtotal for Federal :</b>	<b>\$ 476,758,558</b>	<b>\$ 469,797,722</b>
Associations and Non-Profits	\$ 67,301,631	\$ 70,180,843
Foundations	\$ 38,529,807	\$ 51,744,511
Local Government (in Washington)	\$ 4,858,010	\$ 5,485,172
Other Government (not in Washington)	\$ 18,194,955	\$ 20,731,993
Private Industry	\$ 22,249,260	\$ 26,864,564
State of Washington	\$ 11,421,233	\$ 28,617,069
<b>Subtotal for Non-Federal :</b>	<b>\$ 162,554,895</b>	<b>\$ 203,624,152</b>
<b>Grand Total :</b>	<b>\$ 639,313,452</b>	<b>\$ 673,421,874</b>
<b>Amount of Increase (Decrease) :</b>		<b>\$ 34,108,421</b>
<b>Percent of Increase (Decrease) :</b>		<b>5.3 %</b>

*Assuming acceptance of all awards by the Board of Regents*

**Comparison of Grant and Contract Awards by School/College**

**Fiscal Years 2006-2007 and 2007-2008**

<b>School/College</b>	<b>Jul-Mar FY07</b>	<b>Jul-Mar FY08</b>
<b>Upper Campus</b>		
Architecture and Urban Planning	\$ 805,491	\$ 884,190
Arts and Sciences	\$ 62,897,613	\$ 66,718,971
Business Administration	\$ 113,418	\$ 740,458
Computing & Communications	\$ 100,000	
Director of Libraries	\$ 6,965	\$ 116,500
Education	\$ 12,839,790	\$ 2,951,845
Educational Outreach	\$ 386,028	\$ 287,668
Engineering	\$ 55,652,491	\$ 56,313,451
Evans School of Public Affairs	\$ 5,189,657	\$ 2,908,560
Executive Vice President		\$ 208,000
Forest Resources	\$ 4,064,597	\$ 4,576,576
Graduate School	\$ 2,355,258	\$ 1,058,653
Information School	\$ 1,651,802	\$ 5,531,239
Law	\$ 114,750	\$ 384,734
Ocean and Fishery Sciences	\$ 62,341,737	\$ 64,415,339
Office of Research	\$ 19,155,789	\$ 18,019,265
Provost		\$ 200,000
Social Work	\$ 6,946,243	\$ 9,635,700
Undergraduate Education	\$ 1,250,161	\$ 363,372
VP Educational Partnerships	\$ 131,347	\$ 43,745
VP Minority Affairs	\$ 4,801,813	\$ 7,034,709
<b>Subtotal :</b>	<b>\$ 240,804,948</b>	<b>\$ 242,392,974</b>
<b>Health Sciences</b>		
Dentistry	\$ 5,392,220	\$ 6,393,357
Health Sciences Admin	\$ 61,000	
Medical Centers	\$ 1,000	
Medicine	\$ 313,878,807	\$ 325,851,658
Nursing	\$ 9,848,401	\$ 10,776,170
Pharmacy	\$ 6,371,769	\$ 6,362,438
Public Health and Community Medicine	\$ 46,863,059	\$ 51,987,703
<b>Subtotal :</b>	<b>\$ 382,416,255</b>	<b>\$ 401,371,326</b>
<b>Special Programs</b>		
Alcohol and Drug Abuse Institute	\$ 980,035	\$ 3,413,057
CHDD Administration	\$ 8,386,924	\$ 7,571,760
Regional Primate Center	\$ 5,812,170	\$ 16,722,351
<b>Subtotal :</b>	<b>\$ 15,179,129</b>	<b>\$ 27,707,168</b>
<b>Other UW Campuses</b>		
Bothell	\$ 680,323	\$ 1,301,517

School/College		Jul-Mar FY07	Jul-Mar FY08
<b>Other UW Campuses</b>	Tacoma	\$ 232,796	\$ 322,285
	<b>Subtotal :</b>	<b>\$ 913,119</b>	<b>\$ 1,623,802</b>
<b>Not Indicated</b>	Not Indicated		\$ 326,603
	<b>Subtotal :</b>		<b>\$ 326,603</b>
	<b>Grand Total :</b>	<b>\$ 639,313,452</b>	<b>\$ 673,421,874</b>

*Assuming acceptance of all awards by the Board of Regents*

**List of Awards for Arts and Sciences**

**Fiscal Years 2006-2007 and 2007-2008**

<b>Department</b>	<b>Jul-Mar FY07</b>	<b>Jul-Mar FY08</b>
Center for Statistics and the Social Sciences	\$ 809,139	\$ 736,119
Center for Studies in Demography and Ecology	\$ 1,248,780	\$ 1,889,361
Department of Anthropology	\$ 139,029	\$ 249,016
Department of Applied Mathematics	\$ 1,726,247	\$ 477,419
Department of Astronomy	\$ 2,145,052	\$ 4,214,124
Department of Atmospheric Sciences	\$ 5,057,732	\$ 3,212,748
Department of Biology	\$ 8,516,407	\$ 4,982,103
Department of Chemistry	\$ 12,869,390	\$ 17,891,190
Department of Communication	\$ 1,541,100	\$ 1,395,680
Department of Comparative Literature	\$ 21,178	\$0
Department of Earth and Space Sciences	\$ 3,326,383	\$ 4,354,364
Department of Economics	\$ 24,800	\$ 6,575
Department of English	\$ 5,000	\$ 77,573
Department of Geography	\$ 180,909	\$ 336,787
Department of Germanics	\$ 53,000	\$ 98,000
Department of History	\$ 70,694	\$0
Department of Linguistics	\$ 87,119	\$ 255,603
Department of Mathematics	\$ 1,045,925	\$ 2,434,746
Department of Physics	\$ 7,643,197	\$ 8,999,851
Department of Political Science	\$ 558,733	\$ 1,507,855
Department of Psychology	\$ 8,137,053	\$ 7,535,485
Department of Romance Languages	\$ 6,000	\$0
Department of Sociology	\$ 170,649	\$ 10,350
Department of Speech and Hearing Sciences	\$ 950,332	\$ 1,572,028
Department of Statistics	\$ 694,288	\$ 765,171
Department of Women Studies	\$ 23,592	\$ 55,000
Gaining Early Awareness and Readiness for Undergraduate Programs	\$ 750,000	\$ 790,660
Henry M. Jackson School of International Studies	\$ 3,629,331	\$ 1,773,325
Institute for Nuclear Theory	\$ 369,000	\$ 111,000
Language Learning Center	\$ 20,000	\$ 76,000
Law, Societies and Justice Program	\$ 90,017	\$0
Public Performing Arts	\$ 135,565	\$ 150,338
School of Art	\$ 48,000	\$0
School of Drama	\$0	\$ 75,000
School of Music	\$ 300,000	\$ 600,000
Thomas Burke Memorial Washington State Museum	\$ 503,976	\$ 85,495
<b>Total :</b>	<b>\$ 62,897,610</b>	<b>\$ 66,718,970</b>



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**Summary of Grant Awards****Fiscal Year 2007-2008***Excluding private awards from Foundations, Industry, Associations and Others*

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<b>Month</b>	<b>RESEARCH AND OTHER</b>		<b>TRAINING</b>		<b>Total Grants</b>
	<b>Federal</b>	<b>Non-Federal</b>	<b>Federal</b>	<b>Non-Federal</b>	
July	\$ 54,392,780	\$ 1,031,886	\$ 7,034,788	\$ 0	\$ 62,459,460
August	\$ 106,644,800	\$ 2,487,815	\$ 10,875,880	\$ 199,000	\$ 120,207,500
September	\$ 54,737,540	\$ 855,616	\$ 11,410,000	\$ 0	\$ 67,003,160
October	\$ 69,439,460	\$ 3,387,818	\$ 6,360,827	\$ 194,787	\$ 79,382,900
November	\$ 7,672,178	\$ 2,205,321	\$ 319,941	\$ 21,146	\$ 10,218,590
December	\$ 13,839,270	\$ 1,071,375	\$ 385,596	\$ 203,738	\$ 15,499,980
January	\$ 16,138,890	\$ 3,928,468	\$ 624,062	\$ 108,039	\$ 20,799,460
February	\$ 24,350,010	\$ 1,202,499	\$ 1,215,807	\$ 0	\$ 26,768,320
March	\$ 47,558,410	\$ 3,106,175	\$ 1,188,447	\$ 7,500	\$ 51,860,530
<b>Year to Date</b>	<b>\$ 394,773,400</b>	<b>\$ 19,276,970</b>	<b>\$ 39,415,340</b>	<b>\$ 734,210</b>	<b>\$ 454,199,900</b>

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*Assuming acceptance of all awards by the Board of Regents*

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**Summary of Grant Awards**

**Fiscal Year 2007-2008**

*Private awards from Foundations, Industry, Associations and Others*

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<b>Month</b>	<b>RESEARCH AND OTHER</b>	<b>TRAINING</b>	<b>Total Grants</b>
July	\$ 5,529,786	\$ 223,067	\$ 5,752,853
August	\$ 12,792,000	\$ 604,407	\$ 13,396,400
September	\$ 16,434,390	\$ 237,863	\$ 16,672,250
October	\$ 25,846,500	\$ 150,020	\$ 25,996,520
November	\$ 6,912,596	\$ 233,710	\$ 7,146,306
December	\$ 9,944,877	\$ 1,380,140	\$ 11,325,020
January	\$ 8,045,577	\$ 700	\$ 8,046,277
February	\$ 10,692,720	\$ 493,319	\$ 11,186,040
March	\$ 8,725,010	\$ 942,268	\$ 9,667,278
<b>Year to Date</b>	<b>\$ 104,923,400</b>	<b>\$ 4,265,494</b>	<b>\$ 109,188,900</b>

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*Assuming acceptance of all awards by the Board of Regents*

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**Summary of Contract Awards**

**Fiscal Year 2007-2008**

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<b>Month</b>	<b>RESEARCH AND OTHER</b>		<b>TRAINING</b>		<b>Total Contracts</b>
	<b>Federal</b>	<b>Non-Federal</b>	<b>Federal</b>	<b>Non-Federal</b>	
July	\$ 496,481	\$ 8,486,723	\$ 0	\$ 5,370,224	\$ 14,353,430
August	\$ 4,778,947	\$ 5,840,805	\$ 2,977	\$ 238,528	\$ 10,861,260
September	\$ 8,132,220	\$ 7,054,878	\$ 1,273	\$ 1,619,535	\$ 16,807,910
October	\$ 6,481,776	\$ 9,653,934	\$ 50,000	\$ 1,839,108	\$ 18,024,820
November	\$ 3,618,770	\$ 5,471,781	\$ 0	\$ 1,492,369	\$ 10,582,920
December	\$ 2,251,427	\$ 5,516,219	\$ 0	\$ 345,013	\$ 8,112,658
January	\$ 3,105,263	\$ 5,991,835	\$ 288,464	\$ 1,268,565	\$ 10,654,130
February	\$ 3,386,189	\$ 6,112,575	\$ 0	\$ 1,436,463	\$ 10,935,230
March	\$ 3,877,332	\$ 5,565,595	\$ 0	\$ 257,761	\$ 9,700,688
<b>Year to Date</b>	<b>\$ 36,128,410</b>	<b>\$ 59,694,340</b>	<b>\$ 342,714</b>	<b>\$ 13,867,570</b>	<b>\$ 110,033,000</b>

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*Assuming acceptance of all awards by the Board of Regents*

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**Report of Grant and Contract Awards  
of \$1,000,000 or More**

**March 2008**

**Requiring action of  
The Board of Regents  
of the  
University of Washington**

**Office of Research  
Office of Sponsored Programs**

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**Detail of Public Grant Awards**

**Federal**

**US Department of Energy (DOE)**

**US Department of Energy (DOE)**

To: Alan Lowell Hoffman, Professor \$ 1,538,000  
Aeronautics and Astronautics

For: Rotating Magnetic Field Generation & Sustainment of FRCs Using  
TCS/upgrade

Eff: 8/7/1996 Classified: No

To: Derek Storm, Director \$ 3,506,000  
Department of Physics

For: Experimental Nuclear Physics

Eff: 12/1/2007 Classified: No

**Total for US Department of Energy (DOE): \$ 5,044,000**

**Total for US Department of Energy (DOE): \$ 5,044,000**

**US Department of Health and Human Services (DHHS)**

**National Institutes of Health (NIH)**

To: Ann C Collier, Professor \$ 1,157,590  
Department of Medicine

For: University of Washington Clinical HIV Integrated Research Program

Eff: 12/1/2007 Classified: No

To: John J. Albers, Research Professor \$ 1,918,273  
Department of Medicine

For: Human Lipoprotein Pathophysiology

Eff: 3/1/2008 Classified: No

To: Stanley C Froehner, Professor \$ 1,248,156  
Department of Physiology and Biophysics

For: Molecular and Cellular Therapies for Muscular Dystrophy

Eff: 4/1/2008 Classified: No

To: Bruce M. Psaty, Professor \$ 1,381,866  
Department of Medicine

For: WGA Study to identify Genetic Variants Associated with CV Events  
in CHS

Eff: 3/1/2008 Classified: No

To: Raymond J Monnat, Professor \$ 1,367,754  
Department of Pathology

For: Genetic Instability in Werner Syndrome

Eff: 3/1/2008 Classified: No

**Total for National Institutes of Health (NIH): \$ 7,073,639**

**Total for US Department of Health and Human Services (DHHS): \$ 7,073,639**

**Other Federal**

**National Sea Grant College Program**

To: Penelope Dalton, Director \$ 2,620,000  
Marine Environmental and Resource Programs / Washington Sea  
Grant

For: Program Plan for Renewal of Sea Grant College Support 2007-2010

Eff: 2/1/2007 Classified: No

**Total for National Sea Grant College Program: \$ 2,620,000**

<b>Total for Other Federal:</b>	<b>Detail of Public Grant Awards</b>	<b>\$ 2,620,000</b>
<b>Federal</b>	<b>Total for Federal:</b>	<b>\$ 14,737,639</b>
<hr/>		
<b>Other Federal</b>		
<hr/>		
<b>Other Government (not in Washington)</b>		
<hr/>		
<b>Arizona State University</b>		
To:	Babak Amirparviz, Assistant Professor Electrical Engineering	\$ 1,761,329
For:	CEGS: Microscale Life Sciences Center	
Eff:	8/1/2007	
	Classified: No	
<b>Total for Arizona State University:</b>		<b>\$ 1,761,329</b>
<b>Total for Other Government (not in Washington):</b>		<b>\$ 1,761,329</b>
<b>Total Public Grants:</b>		<b>\$ 16,498,968</b>

Detail of Contract Awards

Federal

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**US Department of Health and Human Services (DHHS)**

**National Institutes of Health (NIH)**

*National Heart, Lung, and Blood Institute (NHLBI)*

To: Richard A Kronmal, Professor \$ 1,098,365  
Department of Biostatistics

For: Multi-Ethnic Study of Atherosclerosis

Eff: 12/21/1998 Classified: No

*Total for National Heart, Lung, and Blood Institute (NHLBI):* \$ 1,098,365

**Total for National Institutes of Health (NIH):** \$ 1,098,365

**Total for US Department of Health and Human Services (DHHS):** \$ 1,098,365

**Total for Federal:** \$ 1,098,365

**Total Contracts:** \$ 1,098,365

**Grand Total for all Awards** \$ 17,597,333

## VII. STANDING COMMITTEES

### B. Finance, Audit and Facilities Committee

#### Actions Taken Under Delegated Authority

Pursuant to the Standing Orders of the Board of Regents, Delegation of Authority, and to the delegation of authority from the President of the University to the Senior Vice President for Finance and Facilities in Administrative Order No. 1, to take action for projects or contracts that exceed \$1,000,000 in value or cost but are less than \$5,000,000, the Administration may approve and execute all instruments.

#### 1. Report of Actions Taken Under General Delegated Authority

#### CAPITAL PROJECTS BUDGETS

Project Name: Suzzallo Library Caulking Repair, Project No. 201027

Action Reported: Contract Award and Budget Decrease of Greater than 10%

On May 23, a construction contract was awarded to Pioneer Masonry Restoration Co., Inc. for the Suzzallo Library Caulking Repair. Five bids were received and the low bid was \$385,559, versus a budgeted value of \$680,700 for this work. The high bid was \$811,000. Pioneer Masonry Restoration is a Seattle company that has been in business since 1957. This contractor specializes in the restoration and waterproofing of building facades, which involves concrete and terracotta repairs, brick reconstruction, replacement of joint sealants and mortar, and other specialties. Pioneer has restored the masonry at the state capital and worked on numerous buildings at the Seattle campus of the University of Washington, including other projects at Suzzallo Library, Allen Library, Johnson, Guggenheim, Mary Gates, Denny, Communications, and, currently, Savery.

This project will install a weather barrier system to stop water infiltration into the north elevation of the 1963 Suzzallo Library addition. The work includes sealing of the exterior joints at the windows and at all potential water entry points at the walls and repairing moisture damage to interior walls and ceilings.

The budget for the Suzzallo Library Caulking Repair was decreased from \$1,060,000 to \$675,000, due to lower than estimated bids for construction.

All funding is from Building Renewal through Facilities Services. The unneeded funding has been returned to Building Renewal.



## VIII. STANDING COMMITTEES

### B. Finance, Audit and Facilities Committee

#### Actions Taken Under Delegated Authority (continued p. 2)

<b>Budget Summary:</b>	<b>Current Apprv'd Budget</b>	<b>Forecast Cost At Completion</b>
Total Consultant Svcs	\$119,372	\$107,014
Total Construction Cost*	\$837,523	\$475,592
Other Costs	\$13,419	\$13,419
Project Administration	\$89,686	\$78,975
<b>Total Project Budget</b>	<b>\$1,060,000</b>	<b>\$675,000</b>

\* Includes construction contract amount, contingencies and state sales tax.

Project Name: HSC T-Wing Roof Replacement, Project No. 201131

Action Reported: Contract Award and Budget Decrease of Greater than 10%

On May 28, a construction contract was awarded to Wick Constructors, Inc., for the HSC T-Wing Roof Replacement. Five bids were received and the low bid was \$901,600 versus a budgeted value of \$1,180,006 for this work. The high bid was \$1,505,163. Wick Constructors, a Seattle based firm founded in 1951, is a full service construction company, which provides a wide variety of construction services. Wick is currently the general contractor for the Playhouse Theater Renovation and in 2007 was the general contractor for the Graves Annex Addition.

This project replaces the remaining parts of the T-Wing roof which have not been replaced by previous projects. Portions of the D-Wing and F-Wing roofs adjacent to this area of the T-Wing roof are included in the scope as accepted alternates. The existing roofs have exceeded the time they were expected to be in service when installed. Replacement is part of a planned maintenance program for campus roofs.

The budget for the HSC T-Wing Roof Replacement was decreased from \$1,680,000 to \$1,330,000, due to lower than estimated bids for construction.

All funding is from Building Renewal through Facilities Services. The unneeded funding has been returned to Building Renewal.

## VIII. STANDING COMMITTEES

### B. Finance, Audit and Facilities Committee

#### Actions Taken Under Delegated Authority (continued p. 3)

<b>Budget Summary:</b>	<b>Current Apprv'd Budget</b>	<b>Forecast Cost At Completion</b>
Total Consultant Svcs	\$119,185	\$95,416
Total Construction Cost*	\$1,446,704	\$1,127,164
Other Costs	\$16,322	\$16,322
Project Administration	\$97,789	\$91,098
<b>Total Project Budget</b>	<b>\$1,680,000</b>	<b>\$1,330,000</b>

\* Includes construction contract amount, contingencies and state sales tax.

#### ACQUISITION OF GOODS AND SERVICES

Project Name: UW Medicine

Action Reported: Admissions-Discharge-Transfer/Hospital Billing Project  
“Amendment to License”

An “Amendment to License” has been executed between UW and Epic Systems Corporation in an amount not to exceed \$1,916,440 for the first license payment (40% of total license cost) for Epic’s admissions-discharge-transfer (ADT) and hospital billing systems. This action is in accordance with the terms and conditions of the existing UW-Epic agreement dated August 2, 1996, for Epic systems already installed.

The new ADT and hospital billing systems will be implemented to support Harborview Medical Center, UW Medical Center, and Seattle Cancer Care Alliance. On February 21, 2008, the Board of Regents authorized appropriate contracts and a total project budget to be established not to exceed \$54 million for acquisition and implementation of Epic’s ADT/hospital billing systems.

On March 6, 2008, the Washington State Information Services Board (ISB) approved the project, and authorized UW to spend up to \$13.5 million through October 2008 for the first phase of project development. ISB will approve subsequent phases upon demonstration of successful project progress. In addition to the first license payment, the ISB phase approval includes all project costs for staffing, additional equipment, and outside services, including project support from Epic staff.

On May 2, 2008, the Vice President for UW Technology authorized UW Medicine to formally initiate the ADT billing project, and authorized execution of the Amendment to License within the first phase limitations approved by the ISB.

## VIII. STANDING COMMITTEES

### B. Finance, Audit and Facilities Committee

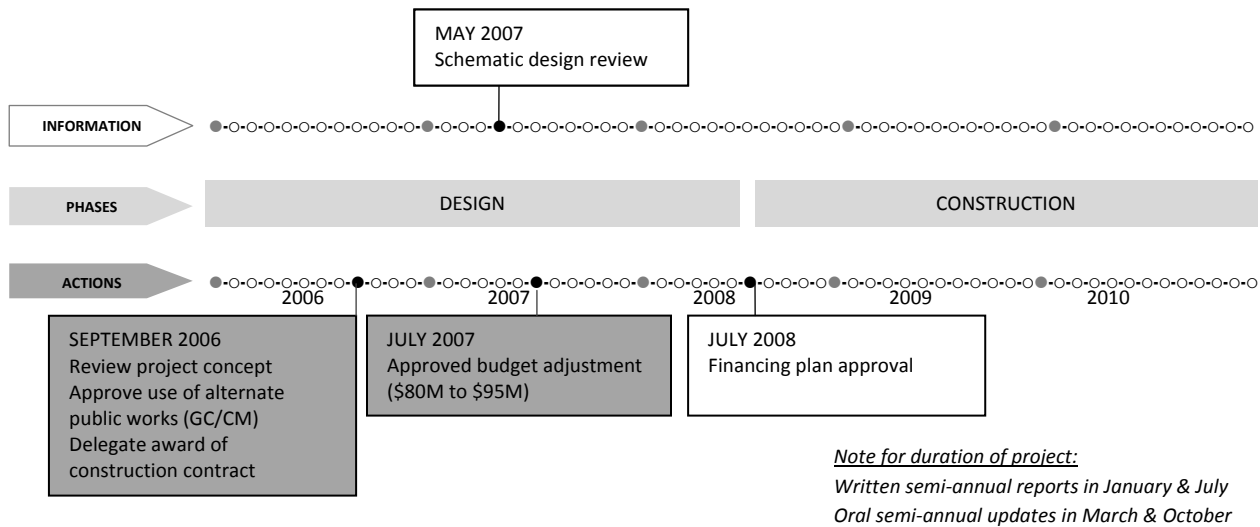
#### Actions Taken Under Delegated Authority (continued p. 4)

Funding for the Amendment to License is provided by a combination of HMC-UWMC capital and operating funds.

**VII. STANDING COMMITTEES**

**B. Finance, Audit and Facilities Committee**

**Business School Building Phase 1-PACCAR Hall – Financing Plan Approval**



**RECOMMENDED ACTION:**

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the following actions be approved:

1. Financing the construction of the project through the issuance of up to \$30 million in short-term notes and the issuance of long-term debt in the amount required to pay off the notes when UW General Revenue bonds are next issued; and
2. Delegation of authority to the President or his designee to execute documents as required to compete the interim and permanent financings, including the authority to set maturities and roll periods for the short term notes and enter into a rate lock prior to obtaining permanent financing.

**BACKGROUND:**

This project is part of a broader initiative to expand the teaching mission of the Michael G. Foster School of Business and to upgrade teaching facilities. The project will develop a new facility for the Business School complex that currently includes Balmer Hall, Mackenzie Hall, the Bank of America Executive Education

## VII. STANDING COMMITTEES

### B. Finance, Audit and Facilities Committee

#### Business School Building Phase 1-PACCAR Hall – Financing Plan Approval (continued p.2)

Center, the Boeing Auditorium, the Foster Business Library, and the Eastside Executive Center.

PACCAR Hall will house the executive education and Master's Degree programs, and will include undergraduate classrooms and an auditorium. The facility will provide approximately 130,000 gross square feet at an estimated project cost of \$95 million. The project will be followed by a second-phase, State-funded building that will replace the existing Balmer Hall.

The Board of Regents approved a revised project budget of \$95 million in July 2007. Construction is scheduled to begin in September 2008. Occupancy is scheduled for September 2010 and final closeout is scheduled for July 2011.

#### PROJECT FINANCING PLAN:

This project is being funded by contributions and new debt:

<u>Source and Uses of Funds</u>	<u>(\$ millions)</u>
Sources of funds:	
Contributions	76.0
Interest earnings	4.1
Reserves	8.9
Long-term debt	11.7
Total, sources of funds	100.7
Uses of funds:	
Project cost	95.0
Debt service during construction	5.4
Financing cost	0.3
Total, uses of funds	100.7

The Treasury Office has reviewed the facility revenue proforma and concludes that revenues from the Business School's expanded MBA program will be sufficient to service the new debt.

The requested \$30 million in short-term borrowing authority reflects the peak borrowing amount during construction and before all pledges have been received. When all expected contributions have been received, the final long-term debt amount is expected to be about \$11.7 million.

## VII. STANDING COMMITTEES

### B. Finance, Audit and Facilities Committee

#### Business School Building Phase 1-PACCAR Hall – Financing Plan Approval (continued p.3)

#### RISKS:

The primary financial risks associated with the project are shortfalls in expected contributions and lower than expected revenues from the expanded MBA program.

If only half of remaining contributions are received, the peak borrowing amount during construction increases to about \$41 million from a projected \$28 million and raises the long term debt to \$36 million. The impact would be an increase in annual long-term debt service to \$2.5 million from about \$800,000. In this scenario, the School could still meet the minimum 1.25 debt service coverage over the life of the long term debt beginning with 1.8 times coverage in 2014, growing to 2.0 times coverage in 2017.

In a “worst case” scenario where, in addition to a shortfall in expected contributions, only half of the additional revenues from the expanded MBA program are realized, the project would financially break even. Debt service coverage would dip slightly below 1.0 in 2014 and would take an additional 5-7 years to reach 1.25.

#### REVIEWS AND APPROVALS:

The project financing plan has been reviewed and approved by the Provost and Executive Vice-President, the Senior Vice-President for Finance and Facilities, and the Vice-Provost for Planning and Budgeting.

#### Attachments Proforma

**Foster School of Business - Ten-Year Proforma (\$ Thousands)**

<u>Operating Fund</u>	<u>Actual</u>	<u>Projected</u>	<u>Projected</u>	<u>Projected</u>	<u>Projected</u>	<u>Projected</u>	<u>Projected</u>	<u>Projected</u>	<u>Projected</u>	<u>Projected</u>	<u>Growth</u>
	<u>2007</u>	<u>2008</u>	<u>2009</u>	<u>2010</u>	<u>2011</u>	<u>2012</u>	<u>2013</u>	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>Rate</u>
<u>Revenue</u>											
State	19,535	20,575	21,398	22,253	23,144	24,069	25,032	26,033	27,075	28,158	
Gifts, interest, other	3,896	3,917	3,938	3,959	3,981	4,003	4,026	4,163	4,306	4,455	
Existing program fees	16,774	18,059	18,781	19,532	20,314	21,126	21,971	22,850	23,764	24,715	
Expanded program fees (1)	-	832	1,730	2,422	3,498	4,656	5,348	5,562	5,785	6,016	
Total Revenue	40,205	43,382	45,846	48,166	50,936	53,855	56,377	58,609	60,930	63,343	5%
<u>Expenses</u>											
Salaries & benefits	27,151	28,837	30,715	31,944	32,822	34,135	35,500	36,920	38,397	39,933	
Operating expenses, existing facilities	9,642	10,028	10,429	10,846	11,280	11,731	12,201	12,689	13,196	13,724	
Operating expenses, expanded program	-	34	278	323	608	683	921	958	997	1,037	
Overhead (2)	1,745	1,867	2,523	2,700	2,929	3,171	3,360	3,495	3,634	3,780	
Total Expenses	38,538	40,766	43,946	45,814	47,639	49,720	51,982	54,062	56,224	58,473	5%
Net income	1,667	2,616	1,901	2,353	3,297	4,135	4,395	4,548	4,706	4,870	13%
Debt service	-	-	-	-	-	-	-	891	799	799	
Debt service coverage	-	-	-	-	-	-	-	5.11	5.89	6.09	
Capital reserves	-	-	-	-	248	248	386	401	417	434	
Net transfer to Building Fund	6,484	-	-	-	-	-	-	-	-	-	
Operating reserves, beginning balance	8,463	3,646	6,262	8,162	10,515	13,564	17,451	21,460	24,716	28,205	14%
Operating reserves, ending balance	3,646	6,262	8,162	10,515	13,564	17,451	21,460	24,716	28,205	31,842	27%

Notes:

(1) Expanded program fees are from Executive MBA Program

(2) Overhead is assessed on self-sustaining portion of Business School operations

ATTACHMENT

**Foster School of Business - Ten-Year Proforma (\$ Thousands)**

<u>Operating Fund</u>	<u>Actual</u>	<u>Projected</u>	<u>Projected</u>	<u>Projected</u>	<u>Projected</u>	<u>Projected</u>	<u>Projected</u>	<u>Projected</u>	<u>Projected</u>	<u>Projected</u>	<u>Growth</u>
	<u>2007</u>	<u>2008</u>	<u>2009</u>	<u>2010</u>	<u>2011</u>	<u>2012</u>	<u>2013</u>	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>Rate</u>
<u>Revenue</u>											
State	19,535	20,575	21,398	22,253	23,144	24,069	25,032	26,033	27,075	28,158	
Gifts, interest, other	3,896	3,917	3,938	3,959	3,981	4,003	4,026	4,163	4,306	4,455	
Existing program fees	16,774	18,059	18,781	19,532	20,314	21,126	21,971	22,850	23,764	24,715	
Expanded program fees (1)	-	832	1,730	2,422	3,498	4,656	5,348	5,562	5,785	6,016	
Total Revenue	40,205	43,382	45,846	48,166	50,936	53,855	56,377	58,609	60,930	63,343	5%
<u>Expenses</u>											
Salaries & benefits	27,151	28,837	30,715	31,944	32,822	34,135	35,500	36,920	38,397	39,933	
Operating expenses, existing facilities	9,642	10,028	10,429	10,846	11,280	11,731	12,201	12,689	13,196	13,724	
Operating expenses, expanded program	-	34	278	323	608	683	921	958	997	1,037	
Overhead (2)	1,745	1,867	2,523	2,700	2,929	3,171	3,360	3,495	3,634	3,780	
Total Expenses	38,538	40,766	43,946	45,814	47,639	49,720	51,982	54,062	56,224	58,473	5%
Net income	1,667	2,616	1,901	2,353	3,297	4,135	4,395	4,548	4,706	4,870	13%
Debt service	-	-	-	-	-	-	-	891	799	799	
Debt service coverage	-	-	-	-	-	-	-	5.11	5.89	6.09	
Capital reserves	-	-	-	-	248	248	386	401	417	434	
Net transfer to Building Fund	6,484	-	-	-	-	-	-	-	-	-	
Operating reserves, beginning balance	8,463	3,646	6,262	8,162	10,515	13,564	17,451	21,460	24,716	28,205	14%
Operating reserves, ending balance	3,646	6,262	8,162	10,515	13,564	17,451	21,460	24,716	28,205	31,842	27%

Notes:

(1) Expanded program fees are from Executive MBA Program

(2) Overhead is assessed on self-sustaining portion of Business School operations

ATTACHMENT



**VII. STANDING COMMITTEES****B. Finance, Audit and Facilities Committee****Approval of a Resolution to Issue and Refund General Revenue Bonds****RECOMMENDED ACTION:**

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the Board of Regents approve:

1. The attached resolution to allow for the issuance of General Revenue Bonds for the following purposes:
  - a. To issue new debt for capital projects based on expected cash flows for fiscal year 2009 in an amount not to exceed \$100 million;
  - b. To refund existing debt for the refunding candidates specified in the resolution in an amount not to exceed \$93 million;
2. Delegating to the President or his designee the authority to issue the bonds, to establish all other terms of the bonds, and to execute other documents and approvals as required to complete the transactions.

**BACKGROUND:**

As part of the Internal Lending Program and the revised Debt Policy, approved in May 2008 by the Board of Regents, the university will issue long term bonds less frequently and in larger amounts. These larger issuances will fund previously approved campus projects and refund currently outstanding bonds. Refunding bonds will be issued to capture economic benefit and to restructure the debt portfolio in order to achieve longer-term strategic objectives.

The following is a summary of the capital projects expected to be funded with the long term General Revenue Bonds:

## VII. STANDING COMMITTEES

### B. Finance, Audit and Facilities Committee

#### Approval of a Resolution to Issue and Refund General Revenue Bonds (continued p. 2)

<b>Project Name</b>	<b>Regents Approval</b>	<b>Total Project Cost</b>	<b>Total Auth. (MM)</b>	<b>Est. Cash Flow FY 2009 (MM)</b>
UW Medical Center Expansion	February 2008	170,000	170,000	29,000
Animal Facilities	March 2008	28,100	25,000	20,000
UW Tower Tenant Improvements	March 2008	13,000	13,000	13,000
Data Center ESCO Project	Delegated Authority	4,500	4,500	4,500
Boat Street Marina	Delegated Authority	3,500	750	750
Other Capital Projects *	n/a	n/a	n/a	32,750
<b>Total</b>		<b>219,100</b>	<b>213,250</b>	<b>100,000</b>

\* Other Capital Projects is an estimate of cash flows for projects that have not been approved by the Board, but are expected to be in FY 2009. Bonds will not be issued unless the financing plan has been approved by the Board.

The following is a summary of the refunding candidates that have been identified for fiscal year 2009. All bonds would be refunded as General Revenue Bonds.

<b>Bond Name</b>	<b>Amount Outstanding (MM)</b>
1999 Educational Research Properties (4225 Roosevelt)	26,050
2002 Housing and Dining Bonds	17,020
2004 Housing and Dining Bonds	14,130
2004 Parking Bonds	18,430
2006 TSB Properties (4545 15th Building)	16,900
<b>Total</b>	<b>92,530</b>

#### FINANCING STRUCTURE:

Under the Resolution, the bonds can be issued as fixed rate, variable rate, non-amortizing or some combination of modes. In addition, more than one series of bonds can be issued during the fiscal year. The final structure of the bonds will depend on market conditions and debt management strategy at the time of issuance. The structure of the bonds will be reported to the Board of Regents at the annual Debt Management Review in summer of 2009.

The total borrowing for fiscal year 2009 will not exceed \$100 million and will be less than \$100 million if cash needs are less than projected. The Resolution will terminate on June 30, 2009.

#### REVIEW AND APPROVALS:

The Resolution and terms have been recommended by the University's financial advisor and reviewed by the Treasury Office and the Senior Vice President for Finance and Facilities. This recommendation has been reviewed by the University's financial advisor and bond counsel.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Approval of a Resolution to Issue and Refund General Revenue Bonds (continued p. 3)

Attachments:

1. 2009 General Revenue Bond Resolution
2. Major Capital Project Review Process
3. UW Projected Debt Capacity
4. UW Debt Profile

BOARD OF REGENTS  
UNIVERSITY OF WASHINGTON  
RESOLUTION  
DATED JULY 17, 2008

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Authorizing the issuance and sale of  
UNIVERSITY OF WASHINGTON  
GENERAL REVENUE BONDS, SERIES [2008-2009] and  
GENERAL REVENUE REFUNDING BONDS, SERIES [2008-2009]

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BOARD OF REGENTS  
UNIVERSITY OF WASHINGTON  
RESOLUTION

A RESOLUTION of the Board of Regents of the University of Washington providing for the authorization, sale, issuance and delivery of University of Washington General Revenue Bonds in the aggregate principal amount not to exceed \$100,000,000 for the purpose of financing or refinancing the acquisition of and improvements to University capital facilities and University of Washington General Revenue Refunding Bonds in the aggregate principal amount not to exceed \$93,000,000 for the purpose of refunding certain outstanding obligations; providing for the date, form, terms, maturities and redemption of the bonds; providing for the payment of and establishing the security for such bonds; providing for the redemption of the outstanding bonds to be refunded; delegating authority to an authorized representative of the University to make certain determinations and appointments with respect to the bonds of this issue from time to time; and authorizing the execution of documents in connection with the issuance and sale of such bonds.

WHEREAS, the Legislature, pursuant to the Bond Act (as hereinafter defined) has authorized the Board of Regents to sell and issue revenue bonds to finance or refinance the acquisition, construction, and equipping of University facilities; and

WHEREAS, the University has outstanding its University of Washington Housing and Dining System Revenue and Refunding Bonds, Series 2002, issued in the original principal amount of \$18,920,000 (the "2002 Housing Bonds"), University of Washington Housing and Dining System Revenue and Refunding Bonds, Series 2004, issued in the original principal amount of \$16,985,000 (the "2004 Housing Bonds"), and University of Washington Parking System Revenue and Refunding Bonds, Series 2004, issued in the original principal amount of \$20,410,000 (the "2004 Parking Bonds"), each of which are subject to optional redemption prior to their respective maturities as follows:

Bonds	Date of Issuance	Maturities Subject to Redemption	Principal Amount Subject to Redemption	Redemption Date	Price
2002 Housing Bonds	April 10, 2002	December 1, 2013 - December 1, 2031	\$15,040,000	December 1, 2012	100%
2004 Housing Bonds	April 6, 2004	December 1, 2015 - December 1, 2028	\$8,695,000	June 1, 2014	100%
2004 Parking Bonds	September 29, 2004	November 1, 2015 - November 1, 2029	\$14,320,000	November 1, 2014	100%

; and

WHEREAS, there are outstanding the Educational Research Properties Lease Revenue Bonds (4225 Roosevelt Project), Series 1999A, issued on behalf of the University under date of June 1, 1999, which remain outstanding in the aggregate principal amount of \$26,050,000 (the “1999 ERP Bonds”); and

WHEREAS, the 1999 ERP Bonds are subject to optional redemption at the option of Educational Research Properties in whole or in part in authorized denominations on any date on or after June 1, 2009, at a price of par plus accrued interest to the date of redemption; and

WHEREAS, there are outstanding the TSB Properties Lease Revenue Bonds, 2006, issued on behalf of the University under date of April 5, 2006, which remain outstanding in the aggregate principal amount of \$16,900,000 (the “2006 TSB Bonds”); and

WHEREAS, the 2006 TSB Bonds in the aggregate principal amount of \$13,865,000 maturing on and after April 1, 2017 are subject to redemption at the option of TSB Properties on any date on or after April 1, 2016 in whole or part in authorized denominations at a price of par plus accrued interest to the date fixed for redemption; and

WHEREAS, the 2002 Housing Bonds, the 2004 Housing Bonds, the 2004 Parking Bonds, the 1999 ERP Bonds, and the 2006 TSB Bonds are, collectively, “Refunding Candidates,” which may be redeemed prior to their maturities as described above; and

WHEREAS, the University has been advised that debt service savings or more beneficial terms may be obtained by refunding some or all of the Refunding Candidates through the issuance of one or more series of general revenue refunding bonds in the aggregate principal amount of not to exceed \$93,000,000 (the “2008/2009 Refunding Bonds”);

WHEREAS, the University has also determined to issue one or more series of general revenue bonds in the aggregate principal amount not to exceed \$100,000,000 (the “2008/2009 New Money Bonds”) for the purpose of financing or refinancing certain University capital facilities as described herein;



NOW, THEREFORE, BE IT RESOLVED BY THE BOARD OF REGENTS OF THE UNIVERSITY OF WASHINGTON, as follows:

Section 1.     Definitions.

The terms defined in this Section 1 shall, for all purposes of this resolution (including the recitals) and of any resolution supplemental hereto, have the following meanings:

***Acquired Obligations*** means the Government Obligations acquired by the University under the terms of this resolution and an Escrow Agreement to effect the defeasance and refunding of one or more of the Refunding Candidates.

***Additional Bonds*** means one or more series of additional obligations of the University payable from General Revenues.

***Authorized Denominations*** means:

(a) with respect to 2008/2009 Bonds in the Fixed Rate Mode or Term Mode, \$5,000 and any integral multiple thereof within a series and maturity, and

(b) with respect to 2008/2009 Bonds in the Daily Mode, the Weekly Mode, or the Commercial Paper Mode, \$100,000 and any integral multiple of \$5,000 in excess thereof within a series and maturity.

***Authorized Representative of the University*** means the President of the University or the designee(s) of the President for the purposes of one or more duties of the Authorized Representative under this resolution.

***Bank Bonds*** has the meaning set forth in the applicable Reimbursement Agreement.

***Beneficial Owner*** means any person that has or shares the power, directly or indirectly, to make investment decisions concerning ownership of any 2008/2009 Bonds (including persons holding 2008/2009 Bonds through nominees, depositories or other intermediary).

***Board*** means the Board of Regents of the University, which exists and functions pursuant to chapter 28B.20 RCW, as amended from time to time.

***Bond Act*** means, together, chapter 28B.140 RCW and chapter 28B.142 RCW, in each case as amended from time to time.

***Bond Counsel*** means an attorney or firm of attorneys whose opinion is accepted in the national tax-exempt capital markets as to the issuance and validity of municipal securities and as to the interest paid thereon being exempt from federal income taxation, which attorney or firm of attorneys has been approved by, selected by or retained by the University from time to time.

**Bond Fund** means the special fund designated as the General Revenue Bond Redemption Fund, 2008.

**Bond Purchase Contract** means the Bond Purchase Contract(s) between the University and the underwriter(s) for each series of the 2008/2009 Bonds pertaining to the initial sale and purchase of the 2008/2009 Bonds.

**Bond Register** means the registration books maintained by the Registrar containing the names and addresses of the Registered Owners of the Bonds.

**Bond Year** means each one-year period that ends on the date selected by the University. The first and last Bond Years may be short periods. If no day is selected by the University before the earlier of the final maturity date of the 2008/2009 Bonds or the date that is five years after the date of issuance of the 2008/2009 Bonds, Bond Years end on each anniversary of the date of issue and on the final maturity date of the 2008/2009 Bonds.

**Bonds** mean the University of Washington General Revenue Bonds, 2007, currently outstanding in the principal amount of \$138,405,000, the General Revenue Refunding Bonds, 2008, currently outstanding in the principal amount of \$90,975,000, the 2008/2009 Bonds and any Additional Bonds.

**Business Day** means a day (a) on which banks in Seattle, Washington or New York, New York, the Securities Depository, the Credit Facility Issuer, the Liquidity Facility, or the Remarketing Agent are not authorized or required to remain closed and (b) on which the New York Stock Exchange is not closed.

**Call Date** means the earliest date(s) on which the Refunding Candidates may be called for redemption under the terms of the resolutions authorizing their issuance.

**Closing Date** means each date on which a series of 2008/2009 Bonds are issued and delivered in return for payment of the full purchase price therefor.

**Code** means the Internal Revenue Code of 1986, as heretofore or hereafter amended, together with all corresponding and applicable final, temporary or proposed regulations and revenue rulings as issued or amended with respect thereto by the United States Treasury Department or the Internal Revenue Service to the extent applicable to the 2008/2009 Bonds.

**Commercial Paper Mode** means the Mode during which the 2008/2009 Bonds bear interest at a Commercial Paper Rate or Rates.

**Commercial Paper Rate** means the interest rate (per annum) on any 2008/2009 Bond in the Commercial Paper Mode determined pursuant to the applicable Remarketing Agreement or Trust Agreement for such 2008/2009 Bonds.

**Commission** means the Securities and Exchange Commission.

**Continuing Disclosure Certificate** means the certificate of the University, if required under the Rule, undertaking to provide ongoing disclosure to assist the underwriters for the 2008/2009 Bonds of any series in complying with the Rule.

**Credit Facility** means a policy of municipal bond insurance, a letter of credit, line of credit, guarantee or other financial instrument or any combination of the foregoing, which obligates a third party to make payment or provide funds for the payment of financial obligations, if any, of the University with respect to any series of the 2008/2009 Bonds, including but not limited to payment of the scheduled principal of and interest on 2008/2009 Bonds. There may be more than one Credit Facility for a series of 2008/2009 Bonds.

**Credit Facility Issuer** means the issuer of any Credit Facility.

**Current Mode** means, with respect to any series of the 2008/2009 Bonds, the Mode then in effect.

**Daily Mode** means the Mode during which a series of the 2008/2009 Bonds bear interest at the Daily Rate.

**Daily Rate** means the per annum interest rate for a series of the 2008/2009 Bonds in the Daily Mode determined pursuant to the Remarketing Agreement or Trust Agreement for such 2008/2009 Bonds.

**Derivative Payment Date** means any date specified in a Payment Agreement on which a University Payment is due and payable under the Payment Agreement.

**DTC** means The Depository Trust Company, New York, New York as depository for the 2008/2009 Bonds, or any successor or substitute depository for the 2008/2009 Bonds.

**Escrow Agent** means any escrow agent selected by the Authorized Representative of the University in accordance with this resolution.

**Escrow Agreement** means an Escrow Deposit Agreement to be dated as of the applicable Closing Date.

**Federal Tax Certificate** means certificate of that name executed by the Authorized Representative of the University at the time of issuance and delivery of each series of the 2008/2009 Bonds.

**Fiscal Year** means the University's duly adopted fiscal year, currently ending June 30.

**Fitch** means Fitch Ratings, Inc., organized and existing under the laws of the State of Delaware, its successors and their assigns, and, if such organization shall be dissolved or liquidated or shall no longer perform the functions of a securities rating agency, **Fitch** shall be deemed to refer to any other nationally recognized securities rating agency (other than S&P or Moody's) designated by the Authorized Representative of the University.

**Fixed Mode** means the Mode in which a series of the 2008/2009 Bonds bear interest at a Fixed Rate or Fixed Rates to the Maturity Date or Maturity Dates.

**Fixed Rate** means a per annum interest rate or rates borne by a series of the 2008/2009 Bonds to the maturity thereof determined pursuant to Section 23 and the Remarketing Agreement or Trust Agreement for such 2008/2009 Bonds.

**General Revenues** means all nonappropriated income, revenues, and receipts of the University if and to the extent such funds are not restricted in their use by law, regulation, or contract. For example, the following items are restricted and, therefore, excluded:

- (a) Appropriations to the University by the State from the State's General Fund;
- (b) Each fund the purpose of which has been restricted in writing by the terms of the gift or grant under which such fund has been donated, or by the donor thereof;
- (c) Fees imposed upon students as a condition of enrollment at the University, including but not limited to services and activities fees, building fees, and technology fees; and
- (d) Revenues and receipts attributable to auxiliary systems established under RCW 28B.10.300 and Metro Tract Revenue; provided that all or a portion of such revenues and receipts attributable to auxiliary systems may be included in General Revenues upon compliance with Section 13(c)(2) and, upon such inclusion, this subsection (d) shall be deemed to be amended accordingly without further action by the University.

Unrestricted fund balances, to the extent that they were accumulated from money that was received as **General Revenues**, also would be includable and available to pay obligations secured by **General Revenues**. Upon the removal of any income, revenues, or receipts from General Revenues pursuant to Section 13(d), this definition of General Revenues shall be deemed to be amended accordingly without further action by the University.

**Government Obligations** means government obligations as are authorized to be used for refunding purposes by chapter 39.53 RCW, as amended or restated from time to time.

**Interest Payment Date** means the dates selected by the Authorized Representative of the University and set forth in the Bond Purchase Contract, Trust Agreement or Remarketing Agreement, as applicable.

**Interest Rate** means a Fixed Rate, Daily Rate, Weekly Rate, Commercial Paper Rate, or Term Rate, as the context requires.

**Irrevocable Deposit** means the irrevocable deposit of money or Government Obligations in order to provide for the payment of all or a portion of the principal of, premium, if any, and interest on any 2008/2009 Bonds in accordance with, and simultaneously meeting all the requirements of, Section 21.

**Issuance Costs** means, without intending thereby to limit or restrict any proper definition of such costs under any applicable laws and GAAP, the following:

(a) costs reasonably incurred incident to preparing, offering, selling, issuing and delivering the 2008/2009 Bonds, including, without limitation, the fees and expenses of Bond Counsel, special counsel (if any) and financial advisor to the University, bond printing, CUSIP bureau fees, rating agency fees, escrow agent fees and recording and filing fees;

(b) the fees and expenses payable to the Registrar incident to the Registrar's acceptance of its duties under this resolution; and

(c) fees or premiums due to any Credit Facility Issuer.

**Legislature** means the Legislature of the State.

**Letter of Representations** means the blanket issuer letter of representation, signed by the Authorized Representative of the University and accepted by DTC pertaining to the payment of the 2008/2009 Bonds and the "book-entry" system for evidencing the beneficial ownership of the 2008/2009 Bonds.

**Liquidity Facility** means a line of credit, standby purchase agreement or other financial instrument or any combination of the foregoing, if any, which obligates a third party to make payment or to provide funds for the payment of the Purchase Price of a series of the 2008/2009 Bonds (or portion thereof). There may be more than one Liquidity Facility for a series of 2008/2009 Bonds, and the University may provide self-liquidity for a series of 2008/2009 Bonds, all as set forth in the applicable Remarketing Agreement or Trust Agreement.

**Liquidity Facility Issuer** means the issuer of any Liquidity Facility.

**Maturity Date** means the date or dates set forth in the Bond Purchase Contract, Trust Agreement, or Remarketing Agreement, as applicable.

**Maximum Rate** means the maximum rate for 2008/2009 Bonds set forth in the applicable Trust Agreement or Remarketing Agreement.

**Mode** means the Daily Mode, Weekly Mode, Commercial Paper Mode, Term Mode, or the Fixed Mode, as the context may require.

**Metro Tract** means the "university tract" as defined in RCW 28B.20.381 to include the tract of land in the city of Seattle, consisting of approximately ten acres, originally known as the "old university grounds," as amended to the date of this resolution, and more recently referred to as the "metropolitan tract," together with all buildings, improvements, facilities, and appurtenances thereon.

***Metro Tract Revenue*** means all revenues of the University derived from operating, managing, and leasing the Metro Tract.

***Moody's*** means Moody's Investors Service, Inc., a corporation duly organized and existing under and by virtue of the laws of the State of Delaware, and its successors and assigns, except that if such corporation shall be dissolved or liquidated or shall no longer perform the functions of a securities rating agency, then the term ***Moody's*** shall be deemed to refer to any other nationally recognized securities rating agency (other than Fitch or S&P) selected by the Authorized Representative of the University.

***MSRB*** means the Municipal Securities Rulemaking Board or any successor to its functions.

***Net Revenue*** means, with respect to any item or auxiliary revenues proposed to be added to General Revenues, revenues of such item or auxiliary less operating expenses. If the item or auxiliary revenues have previously been pledged to pay debt service on outstanding obligations of the University, the terms revenues and operating expenses shall be determined in accordance with the resolution(s) authorizing the outstanding indebtedness.

***1999 ERP Bonds*** means the Educational Research Properties Lease Revenue Bonds (4225 Roosevelt Project), Series 1999A, currently outstanding in the aggregate principal amount of \$26,050,000.

***Notice Parties*** means, with respect to each series of the 2008/2009 Bonds, the University, the University's financial advisor, the Registrar, any Remarketing Agent, and any Liquidity Facility Issuer or Credit Facility Issuer.

***Opinion of Bond Counsel*** means an opinion in writing of Bond Counsel.

***Other Facility*** means a capital facility of the University with a financing plan that has been approved by the Board.

***Outstanding*** means, as of any particular time, all Bonds issued theretofore except:

- (a) Bonds theretofore canceled by the Registrar after purchase by the University;
- (b) Bonds for which an Irrevocable Deposit has been made, but only to the extent that the principal of and interest on such Bonds are payable from such Irrevocable Deposit; provided, that the Bonds to be paid or redeemed with such Irrevocable Deposit shall be deemed to be Outstanding for the purpose of transfers and exchanges or replacement of mutilated, lost, stolen or destroyed Bonds under the proceedings authorizing their issuance;
- (c) temporary, mutilated, lost, stolen or destroyed Bonds for which new Bonds have been issued pursuant to the resolution authorizing their issuance; and

(d) Bonds exchanged for new Bonds pursuant to the resolution authorizing their issuance.

Notwithstanding the foregoing, 2008/2009 Bonds that are Bank Bonds shall remain outstanding until the applicable Credit Facility Issuer or Liquidity Facility Issuer is paid all amounts due on such 2008/2009 Bonds.

**Participant** means (a) any person for which, from time to time, DTC effects book-entry transfers and pledges of securities pursuant to the book-entry system or (b) any securities broker or dealer, bank, trust company or other person that clears through or maintains a custodial relationship with a person referred to in (a).

**Payment Agreement** means a written contract or agreement between or on behalf of the University and a Reciprocal Payor, which provides that the University's obligations thereunder will be conditioned on the absence of: (a) a failure by the Reciprocal Payor to make any payment required thereunder when due and payable, and (b) a default thereunder with respect to the financial status of the Reciprocal Payor; and

(1) under which the University is obligated to pay, on one or more scheduled and specified Derivative Payment Dates, the University Payments in exchange for the Reciprocal Payor's obligation to pay or to cause to be paid to the University, on the same scheduled and specified Derivative Payment Dates, the Reciprocal Payments; *i.e.*, the contract must provide for net payments;

(2) for which the University's obligations to make all or any portion of University Payments are payable from General Revenues;

(3) under which Reciprocal Payments are to be made directly into the Bond Fund;

(4) for which the University Payments are either specified to be one or more fixed amounts or are determined according to a formula set forth in the Payment Agreement; and

(5) for which the Reciprocal Payments are either specified to be one or more fixed amounts or are determined according to a formula set forth in the Payment Agreement.

**Person** means an individual, a corporation, a partnership, limited liability company, an association, a joint stock company, a trust, an unincorporated organization, a governmental body or a political subdivision, a municipal corporation, a public corporation or any other group or organization of individuals.

**Private Person** means any natural person engaged in a trade or business or any trust, estate, partnership, association, company or corporation.

**Private Person Use** means the use of property in a trade or business by a Private Person if such use is other than as a member of the general public. Private Person Use includes

ownership of the property by the Private Person as well as other arrangements that transfer to the Private Person the actual or beneficial use of the property (such as a lease, management or incentive payment contract or other special arrangement) in such a manner as to set the Private Person apart from the general public. Use of property as a member of the general public includes attendance by the Private Person at municipal meetings or business rental of property to the Private Person on a day-to-day basis if the rental paid by such Private Person is the same as the rental paid by any Private Person who desires to rent the property. Use of property by nonprofit community groups or community recreational groups is not treated as Private Person Use if such use is incidental to the governmental uses of property, the property is made available for such use by all such community groups on an equal basis and such community groups are charged only a *de minimis* fee to cover custodial expenses.

**Projects** mean the acquisition of, improvements to and equipping of University facilities, including without limitation the University Medical Center, animal facilities, the UW Tower, data center, the Boat Street Marina, and any Other Facility.

**Purchase Date** means the dates selected by the Authorized Representative of the University and set forth in the Trust Agreement or Remarketing Agreement, as applicable.

**Purchase Price** has the meaning set forth in the Trust Agreement or Remarketing Agreement, as applicable.

**Rating Agency** means Fitch, Moody's or S&P.

**Rating Category** means the generic rating categories of a Rating Agency, without regard to any refinement or gradation of such rating category by a numerical modifier or otherwise.

**RCW** means the Revised Code of Washington, as now in existence or hereafter amended, or any successor codification of the laws of the State.

**Reciprocal Payment** means any payment to be made to, or for the benefit of, the University under the Payment Agreement by the Reciprocal Payor.

**Reciprocal Payor** means any bank or corporation, partnership or other entity that is a party to the Payment Agreement and that is obligated to make one or more Reciprocal Payments thereunder.

**Record Date** means:

(a) with respect to 2008/2009 Bonds in the Fixed Mode or Term Mode, the 15th day (whether or not a Business Day) of the month next preceding each Interest Payment Date; and

(b) with respect to all other Modes, the Business Day immediately prior to the applicable Interest Payment Date.



**Redemption Date** means the date fixed for redemption of 2008/2009 Bonds subject to redemption in any notice of redemption given in accordance with the terms hereof or the terms of an applicable Trust Agreement, Remarketing Agreement or Bond Purchase Contract.

**Redemption Price** means amounts to be paid to redeem the 2008/2009 Bonds on the Redemption Date as set forth in the applicable Bond Purchase Contract, Trust Agreement, Remarketing Agreement, or Section 12(a) as applicable.

**Refunded Bonds** means the Refunding Candidates designated by the Authorized Representative of the University pursuant to Section 23 of this resolution.

**Refunding Candidates** means the 2002 Housing Bonds, 2004 Housing Bonds, 2004 Parking Bonds, 1999 ERP Bonds and 2006 TSB Bonds.

**Registered Owner** means the person named as the registered owner of a 2008/2009 Bond on the Bond Register. For so long as the 2008/2009 Bonds are held by a Securities Depository or its nominee, such Securities Depository shall be deemed to be the Registered Owner.

**Registrar** means the Fiscal Agency, whose duties include registering and authenticating the 2008/2009 Bonds, maintaining the Bond Register, registering the transfer of the 2008/2009 Bonds, paying interest on and principal of the 2008/2009 Bonds, and drawing on any Credit Facility securing 2008/2009 Bonds for such purpose, and drawing any amounts under any Credit Facility or Liquidity Facility for the purpose of paying the Purchase Price of any 2008/2009 Bonds payable pursuant to such Credit Facility or Liquidity Facility.

**Reimbursement Agreement** means a Reimbursement Agreement between the University and any Credit Facility Issuer or Liquidity Facility Issuer, and any and all modifications, alterations, and amendments and supplements thereto.

**Remarketing Agent** means one or more investment banking firms selected from time to time by the Authorized Representative of the University to serve as remarketing agent for 2008/2009 Bonds pursuant to a Remarketing Agreement.

**Remarketing Agreement** means a Remarketing Agreement relating to 2008/2009 Bonds between the University and any Remarketing Agent, or any similar agreement, as it may be amended or supplemented from time to time in accordance with its terms.

**Rule** means the Commission's Rule 15c2-12 under the Securities and Exchange Act of 1934, as amended from time to time.

**Securities Depository** means any clearing agency registered under Section 17A of the Securities Exchange Act of 1934, as amended.

**Serial Bonds** means those 2008/2009 Bonds designated as serial bonds in the Bond Purchase Contract.

**SID** means a state information depository for the state of Washington (if one is created).

**Special Record Date** means a special date fixed to determine the names and addresses of holders of the 2008/2009 Bonds for purposes of paying interest on a special interest payment date for defaulted or overdue interest as the case may be.

**State** means the state of Washington.

**S&P** means Standard & Poor's Ratings Services, a Division of The McGraw-Hill Companies, and its successors and assigns, except that if such corporation shall be dissolved or liquidated or shall no longer perform the functions of a securities rating agency, then the term S&P shall be deemed to refer to any other nationally recognized securities rating agency (other than Moody's or Fitch) selected by the Authorized Representative of the University.

**Term Bonds** means 2008/2009 Bonds, if any, designated as term bonds in the applicable Bond Purchase Contract.

**Term Rate** means the per annum interest rate for a series of 2008/2009 Bonds in the Term Rate Mode determined pursuant to the Remarketing Agreement or Trust Agreement for such 2008/2009 Bonds.

**Term Rate Mode** means the Mode during which a series of 2008/2009 Bonds bear interest at the Term Rate.

**Trust Agreement** means a Trust Agreement entered into between the University and a Trustee with respect to one or more series of 2008/2009 Bonds, setting forth the terms of such series of 2008/2009 Bonds.

**Trustee** means a bond trustee selected by the Authorized Representative of the University to act on behalf of owners of one or more series of 2008/2009 Bonds pursuant to a Trust Agreement.

**2002 Housing Bonds** means the University of Washington Housing and Dining System Revenue and Refunding Bonds, Series 2002, issued in the original principal amount of \$18,920,000 and currently outstanding in the aggregate principal amount of \$17,020,000.

**2004 Housing Bonds** mean the University of Washington Housing and Dining System Revenue and Refunding Bonds, Series 2004, issued in the original principal amount of \$16,985,000 and currently outstanding in the principal amount of \$14,130,000.

**2004 Parking Bonds** mean the University of Washington Parking System Revenue and Refunding Bonds, Series 2004, issued in the original principal amount of \$20,410,000 and currently outstanding in the principal amount of \$18,430,000.

**2006 TSB Bonds** mean the TSB Properties Lease Revenue Bonds, 2006, issued in the original principal amount of \$17,525,000 and currently outstanding in the principal amount of \$16,900,000.

**2008/2009 Bonds** means the 2008/2009 New Money Bonds and the 2008/2009 Refunding Bonds.

**2008/2009 New Money Bonds** means the University of Washington General Revenue Bonds, Series [2008/2009][\_] [Taxable] issued in one or more series in the aggregate principal amount not to exceed \$100,000,000 to finance costs of the Projects pursuant to this resolution.

**2008/2009 Refunding Bonds** means the University of Washington General Revenue Refunding Bonds, Series [2008/2009][\_] [Taxable] issued in one or more series in the aggregate principal amount not to exceed \$93,000,000 to redeem and/or defease one or more of the Refunding Candidates on their Call Dates pursuant to this resolution.

**2008/2009 Taxable Bonds** means any 2008/2009 Bonds determined to be issued on a taxable basis pursuant to Section 23.

**2008/2009 Tax-Exempt Bonds** means any 2008/2009 Bonds determined to be issued on a tax-exempt basis pursuant to Section 23.

**University** means the University of Washington, a higher educational institution of the State, the main campus of which is located at Seattle, Washington.

**University Payment** means any payment required to be made by or on behalf of the University under a Payment Agreement and which is determined according to a formula set forth in the Payment Agreement.

**Weekly Mode** means the Mode during which a series of the 2008/2009 Bonds bear interest at the Weekly Rate.

**Weekly Rate** means the per annum interest rate for a series of the 2008/2009 Bonds in the Weekly Mode determined pursuant to the Remarketing Agreement or Trust Agreement for such 2008/2009 Bonds.

**Interpretation.** In this resolution, unless the context otherwise requires:

(a) The terms “hereby,” “hereof,” “hereto,” “herein,” “hereunder” and any similar terms, as used in this resolution, refer to this resolution as a whole and not to any particular article, section, subdivision or clause hereof, and the term “hereafter” shall mean after, and the term “heretofore” shall mean before, the date of this resolution;

(b) Words of the masculine gender shall mean and include correlative words of the feminine and neuter genders and words importing the singular number shall mean and include the plural number and vice versa;

(c) Words importing persons shall include firms, associations, partnerships (including limited partnerships), trusts, corporations, limited liability companies and other legal entities, including public bodies, as well as natural persons;

(d) Any headings preceding the text of the several articles and sections of this resolution, and any table of contents or marginal notes appended to copies hereof, shall be solely for convenience of reference and shall not constitute a part of this resolution, nor shall they affect its meaning, construction or effect;

(e) All references herein to “articles,” “sections” and other subdivisions or clauses are to the corresponding articles, sections, subdivisions or clauses hereof.

(f) Whenever any consent or direction is required to be given by the University, such consent or direction shall be deemed given when given by the Authorized Representative of the University or his or her designee, respectively, and all references herein to the Authorized Representative of the University shall be deemed to include references to his or her designee, as the case may be.

Section 2. Findings.

The Board hereby finds as follows:

(a) It is in the best interests of the University to finance or refinance all or a portion of the costs of the Projects, through the issuance of 2008/2009 New Money Bonds in one or more series, upon the terms and conditions set forth for the 2008/2009 New Money Bonds in this resolution.

(b) It is in the best interests of the University to redeem and/or defease one or more of the Refunding Candidates, or any portion thereof, through the issuance of 2008/2009 Refunding Bonds in one or more series, upon the terms and conditions set forth for the 2008/2009 Refunding Bonds in this resolution.

(c) It is necessary and in the best interest of the University to issue the 2008/2009 Bonds payable from General Revenues.

Section 3. Authorization and Purpose of 2008/2009 Bonds.

(a) *2008/2009 New Money Bonds.* The 2008/2009 New Money Bonds shall be in an aggregate principal amount not to exceed \$100,000,000 and shall be issued in one or more series to finance or refinance costs of the Projects and to pay Issuance Costs. The 2008/2009 New Money Bonds shall be issued under terms determined pursuant to Section 23, as further set forth in the Bond Purchase Contract, Remarketing Agreement and/or Trust Agreement for such series of 2008/2009 New Money Bonds; shall be numbered in the manner determined by the Registrar; and shall be issued in fully registered form in Authorized Denominations.

(a) *2008/2009 Refunding Bonds.* The 2008/2009 Refunding Bonds shall be in an aggregate principal amount not to exceed \$93,000,000 and shall be issued in one or more series to redeem and/or defease one or more of the Refunding Candidates designated pursuant to Section 23 and to pay Issuance Costs. The 2008/2009 Refunding Bonds shall be issued under terms determined pursuant to Section 23, as further set forth in the Bond Purchase Contract, Remarketing Agreement and/or Trust Agreement for such series of 2008/2009 Refunding Bonds; shall be numbered in the manner determined by the Registrar; and shall be issued in fully registered form in Authorized Denominations.

#### Section 4. Description of 2008/2009 Bonds.

(a) *General Terms.* The 2008/2009 Bonds shall be dated such date, shall bear interest at such rates and in such Modes, and shall mature on the Maturity Dates, as determined pursuant to Section 23, as further set forth in the applicable Bond Purchase Contract, Remarketing Agreement or Trust Agreement for such series of 2008/2009 Bonds. The 2008/2009 Bonds shall bear interest determined within Modes selected by the Authorized Representative of the University from time to time. All 2008/2009 Bonds shall be issued in the form of fully registered 2008/2009 Bonds in Authorized Denominations and, unless the Registrar shall otherwise direct, shall be numbered R-1 and upwards.

The University may designate one or more series or subseries of the 2008/2009 Bonds from time to time. 2008/2009 New Money Bonds shall be named University of Washington General Revenue Bonds, Series [2008/2009], with an additional designation of "Taxable" for any series of 2008/2009 Taxable Bonds. 2008/2009 Refunding Bonds shall be named University of Washington General Revenue Refunding Bonds, Series [2008/2009]. At the written direction of the Authorized Representative of the University, the Registrar shall designate a particular principal amount of 2008/2009 Bonds (in Authorized Denominations) as a series or subseries. A series of 2008/2009 Bonds shall be identified by the year of issue (either 2008 or 2009) and sequential letters (e.g. Series 2008A, Series 2008B, Series 2009A, Series 2009B). A subseries of 2008/2009 Bonds shall be further identified by sequential numbers (e.g., Series 2008A-1, Series 2008A-2, Series 2009B-1, Series 2009B-2). Upon such designation, such 2008/2009 Bonds shall be a series or subseries, as applicable, for this purposes of this resolution, unless and until consolidated or changed to another series or subseries designation by written direction of the Authorized Representative of the University. All 2008/2009 Bonds of a series shall be in the same Mode, but any two series need not be in the same Mode.

(b) *Terms.* Principal of and interest and any premium on the 2008/2009 Bonds shall be payable in lawful money of the United States of America.

(c) *Modes.* The terms applicable to 2008/2009 Bonds in the Daily Mode, the Weekly Mode, the Term Mode, the Commercial Paper Mode or the Fixed Mode, and provisions for conversions among such Modes, shall be as provided in the applicable Remarketing Agreement or Trust Agreement, as applicable.

(d) *Determinations Conclusive.* If the 2008/2009 Bonds of a series are in the Daily Mode, the Weekly Mode, the Term Mode, the Commercial Paper Mode or the Fixed Mode, the

Interest Rates determined as provided in the Remarketing Agreement or Trust Agreement, as applicable, shall be conclusive.

(e) *Maximum Rate.* No 2008/2009 Bond, other than a Bank Bond, shall bear interest at an Interest Rate higher than the Maximum Rate.

Section 5. Execution.

The 2008/2009 Bonds shall be executed on behalf of the University by the manual or facsimile signatures of the President and the Secretary or Treasurer of the Board, and the official seal of the University shall be reproduced thereon. The validity of any 2008/2009 Bond so executed shall not be affected by the fact that one or more of the officers whose signatures appear on such 2008/2009 Bond have ceased to hold office at the time of issuance or authentication or at any time thereafter.

Section 6. Authentication.

No 2008/2009 Bonds shall be valid for any purpose hereunder until the certificate of authentication printed thereon is duly executed by the manual signature of an authorized signatory of the Registrar. Such authentication shall be proof that the Registered Owner is entitled to the benefit of the trusts hereby created.

Section 7. Registration, Transfer and Exchange.

(a) *Registrar.* The 2008/2009 Bonds shall be issued only in registered form as to both principal and interest. The University hereby appoints the fiscal agency of the State as the Registrar for the 2008/2009 Bonds. The University shall cause a bond register to be maintained by the Registrar. So long as any 2008/2009 Bonds remain Outstanding, the Registrar shall make all necessary provisions to permit the exchange or registration of transfer of 2008/2009 Bonds at its principal corporate trust office. The Registrar may be removed at any time at the option of the Treasurer of the University and a successor Registrar appointed by the Authorized Representative of the University. Any successor Registrar must be a commercial bank with trust powers or a trust company. No resignation or removal of the Registrar shall be effective until a successor shall have been appointed and until the successor Registrar shall have accepted the duties of the Registrar hereunder. The Registrar is authorized, on behalf of the University, to authenticate and deliver 2008/2009 Bonds transferred or exchanged in accordance with the provisions of such 2008/2009 Bonds and this resolution and to carry out all of the Registrar's powers and duties under this resolution. The Registrar shall be responsible for its representations contained in the Certificate of Authentication on the 2008/2009 Bonds.

The Registrar shall keep, or cause to be kept, at its principal corporate trust office, sufficient books for the registration and transfer of the 2008/2009 Bonds which shall at all times be open to inspection by the University (the "Bond Register").

(b) *Letter of Representations/Book-Entry System.* To induce DTC to accept the 2008/2009 Bonds as eligible for deposit at DTC, the University has executed and delivered the

Letter of Representations. The 2008/2009 Bonds initially issued shall be held in fully immobilized form by DTC acting as depository pursuant to the terms and conditions set forth in the Letter of Representations.

(c) *University and Registrar Not Responsible for DTC.* Neither the University nor the Registrar will have any responsibility or obligation to DTC participants or the persons for whom they act as nominees with respect to the 2008/2009 Bonds in respect of the accuracy of any records maintained by DTC or any DTC participant, the payment by DTC or any DTC participant of any amount in respect of the principal or redemption price of or interest on the 2008/2009 Bonds, any notice which is permitted or required to be given to Registered Owners under this resolution (except such notices as shall be required to be given by the University to the Registrar or to DTC), the selection by DTC or any DTC participant of any person to receive payment in the event of a partial redemption of the 2008/2009 Bonds or any consent given or other action taken by DTC as the Registered Owner.

(d) *DTC as Registered Owner.* Payment of any such 2008/2009 Bond shall be made only as described in this section, but the transfer of such ownership may be registered as herein provided. All such payments made as described in this section shall be valid and shall satisfy and discharge the liability of the University upon such 2008/2009 Bond to the extent of the amount or amounts so paid. Except as provided in Section 27, the University and the Registrar shall be entitled to treat the Securities Depository (as Registered Owner) as the absolute owner of all 2008/2009 Bonds for all purposes of this resolution and any applicable laws, notwithstanding any notice to the contrary received by the Registrar or the University. Neither the University nor the Registrar will have any responsibility or obligation under this resolution or the 2008/2009 Bonds, legal or otherwise, to any other party including DTC or its successor (or substitute Securities Depository or its successor), except to the Registered Owners.

(e) *Use of DTC/Book-Entry System.*

(1) *2008/2009 Bonds Registered in the Name Designated by DTC.* The 2008/2009 Bonds shall be registered initially in the name of "CEDE & Co.," as nominee of DTC, (or such other name as may be requested by an authorized representative of DTC) with one 2008/2009 Bond maturing on each maturity date in a denomination corresponding to the total principal therein designated to mature on such date. Registered ownership of such immobilized 2008/2009 Bonds, or any portions thereof, may not thereafter be transferred except (A) to any successor of DTC or its nominee, *provided that* any such successor shall be qualified under any applicable laws to provide the service proposed to be provided by it; (B) to any substitute Securities Depository appointed by the Authorized Representative of the University pursuant to subsection (2) below or such substitute Securities Depository's successor; or (C) to any person as provided in paragraph (4) below.

(2) *Substitute Depository.* Upon the resignation of DTC or its successor (or any substitute Securities Depository or its successor) from its functions as Securities Depository or a determination by the Authorized Representative of the University that it is no longer in the best interest of Beneficial Owners to continue the system of book entry transfers through DTC or its successor (or any substitute Securities Depository or its successor), the Authorized

Representative of the University may hereafter appoint a substitute Securities Depository. Any such substitute Securities Depository shall be qualified under any applicable laws to provide the services proposed to be provided by it.

(3) *Issuance of New 2008/2009 Bonds to Successor/Substitute Depository.* In the case of any transfer pursuant to clause (A) or (B) of paragraph (e)(1) above, the Registrar shall, upon receipt of all outstanding 2008/2009 Bonds, together with a written request on behalf of the Authorized Representative of the University, issue a single new 2008/2009 Bond for each maturity of such 2008/2009 Bonds then Outstanding, registered in the name of such successor or such substitute Securities Depository, or their nominees, as the case may be, all as specified in such written request of the Authorized Representative of the University.

(4) *Termination of Book-Entry System.* In the event that (A) DTC or its successor (or substitute Securities Depository or its successor) resigns from its functions as Securities Depository, and no substitute Securities Depository can be obtained, or (B) the Authorized Representative of the University determines that it is in the best interest of the Beneficial Owners of the 2008/2009 Bonds that they be able to obtain 2008/2009 Bond certificates, the ownership of 2008/2009 Bonds may then be transferred to any person or entity as herein provided, and the 2008/2009 Bonds shall no longer be held in fully immobilized form. The Authorized Representative of the University shall deliver a written request to the Registrar, together with a supply of definitive 2008/2009 Bonds, to issue 2008/2009 Bonds as herein provided in any Authorized Denomination. Upon receipt of all then Outstanding 2008/2009 Bonds by the Registrar together with a written request on behalf of the Authorized Representative of the University to the Registrar, new 2008/2009 Bonds shall be issued in such Authorized Denominations and registered in the names of such persons as are requested in such written request.

(f) *Transfer or Exchange of Registered Ownership; Change in Denominations.* If the 2008/2009 Bonds are no longer held in immobilized, book-entry form, the transfer of ownership of any 2008/2009 Bond may be registered and such 2008/2009 Bonds may be exchanged, but no transfer of any 2008/2009 Bond shall be valid unless it is surrendered to the Registrar with the assignment form appearing on such 2008/2009 Bond duly executed by the Registered Owner or such Registered Owner's duly authorized agent in a manner satisfactory to the Registrar. Upon such surrender, the Registrar shall cancel the surrendered 2008/2009 Bond and shall authenticate and deliver, without charge to the Registered Owner or transferee therefor, a new 2008/2009 Bond (or 2008/2009 Bonds at the option of the new Registered Owner) of the same date, designation, if any, maturity date and interest rate and for the same aggregate principal amount in any Authorized Denomination, naming as Registered Owner the person or persons listed as the assignee on the assignment form appearing on the surrendered 2008/2009 Bond, in exchange for such surrendered and canceled 2008/2009 Bond. Any 2008/2009 Bond may be surrendered to the Registrar and exchanged, without charge, for an equal aggregate principal amount of 2008/2009 Bonds of the same date, maturity date and interest rate, in any Authorized Denomination. The Registrar shall not be obligated to transfer or exchange any 2008/2009 Bond during the five-day period prior to the selection of 2008/2009 Bonds for redemption or the maturity date or following any mailing of notice of redemption. No charge shall be imposed



upon Registered Owners in connection with any transfer or exchange, except for taxes or governmental charges related thereto.

(g) *Registration Covenant.* The University covenants that, until all 2008/2009 Bonds of a series have been surrendered and canceled, it will maintain a system for recording the ownership of each 2008/2009 Bond of that series that complies with the provisions of Section 149 of the Code.

Section 8. Mutilated, Destroyed, Lost or Stolen 2008/2009 Bonds.

If any 2008/2009 Bond is lost, stolen or destroyed, the University may execute and the Registrar may authenticate and deliver a new 2008/2009 Bond or 2008/2009 Bonds of like series, date and tenor to the Registered Owner thereof, all in accordance with law. However, no substitution or payment shall be made unless and until the applicant shall furnish (a) evidence satisfactory to said Registrar and Authorized Representative of the University of the destruction or loss of the original 2008/2009 Bond and of the ownership thereof, and (b) such additional security, indemnity or evidence as may be required by the Authorized Representative of the University. No substitute 2008/2009 Bond shall be furnished unless the applicant shall reimburse the University and the Registrar for their respective expenses in the furnishing thereof. Any such substitute 2008/2009 Bond so furnished shall be equally and proportionately entitled to the security of this resolution with all other 2008/2009 Bonds issued hereunder.

Section 9. Payments of Principal, Redemption Price and Interest; Persons Entitled Thereto.

(a) *Payments of Principal, Interest, Purchase and Redemption Prices.* The principal or Redemption Price of each 2008/2009 Bond shall be payable upon surrender or delivery of such 2008/2009 Bond to the Registrar. For so long as DTC is the Registered Owner, interest and principal shall be paid and delivery shall be made as described in the operational arrangements referred to in the Letter of Representations and pursuant to DTC's standard procedures.

(b) *Accrual of Interest.* Subject to the further provisions of this section, each 2008/2009 Bond shall accrue interest and be payable as to interest as follows:

(1) On each Interest Payment Date, the Registered Owner of each 2008/2009 Bond as of the Record Date shall be paid the amount of unpaid interest that accrues during the Interest Accrual Period. If and to the extent, however, that the University fails to make payment or provision for payment of interest on any 2008/2009 Bond on any Interest Payment Date, interest shall continue to accrue thereon, and shall be payable to the Registered Owner of that 2008/2009 Bond as of the Special Record Date. The Registrar shall establish the Special Record Date for all other 2008/2009 Bonds when moneys become available for payment of interest on the Bonds, and shall be a date not more than 15 nor fewer than 10 days prior to the date of the proposed payment. The Registrar shall give notice by first-class mail of the proposed payment and of the Special Record Date to each Registered Owner not fewer than 10 days prior to the Special Record Date and, thereafter, such interest shall be payable to the Registered Owner of such 2008/2009 Bonds as of the Special Record Date.

(2) The interest due on any 2008/2009 Bond on any Interest Payment Date shall be paid to the Registered Owner of such 2008/2009 Bond as shown on the Bond Register as of the Record Date. The amount of interest so payable on any Interest Payment Date shall be computed (A) on the basis of a 365- or 366-day year for the number of days actually elapsed based on the calendar year for 2008/2009 Bonds in the Daily Mode, Commercial Paper Mode or Weekly Mode, and (B) on the basis of a 360-day year of twelve 30-day months during a Term Mode or a Fixed Mode.

(3) If 2008/2009 Bonds of a series are no longer held by a Securities Depository, during the Term Mode or Fixed Mode, the interest, principal or Redemption Price of the 2008/2009 Bonds shall be payable by check, provided that any Registered Owner of \$1,000,000 or more in aggregate principal amount of the 2008/2009 Bonds, upon written request given to the Registrar at least five Business Days prior to the Interest Payment Date, Maturity Date or Redemption Date designating an account in a domestic bank, may be paid by wire transfer of immediately available funds. If the 2008/2009 Bonds of a series are no longer held by a Securities Depository, all payments of interest, principal or the Redemption Price on the 2008/2009 Bonds during the Commercial Paper Mode, Daily Mode, or Weekly Mode shall be paid to the Registered Owners entitled thereto on the Interest Payment Date in immediately available funds by wire transfer to a bank within the continental United States or deposited to a designated account if such account is maintained with the Registrar as directed by the Registered Owner in writing or as otherwise directed in writing by the Registered Owner on or prior to the applicable Record Date.

Any account specified pursuant to paragraph (3) hereof shall remain in effect until revoked or revised by the Registered Owner, the Credit Facility Issuer or Liquidity Facility Issuer by an instrument in writing delivered to the Registrar.

Section 10. Acts of Registered Owners; Evidence of Ownership .

Any action to be taken by Registered Owners may be evidenced by one or more concurrent written instruments of similar tenor signed or executed by such Registered Owners in person or by an agent appointed in writing. The fact and date of the execution by any Person of any such instrument may be proved by acknowledgment before a notary public or other officer empowered to take acknowledgments or by an affidavit of a witness to such execution or by any other method satisfactory to the Registrar. Any action by the Registered Owner of any 2008/2009 Bond shall bind all future Registered Owners of the same 2008/2009 Bond or of any 2008/2009 Bond issued upon the exchange or registration of transfer thereof in respect of anything done or suffered by the University or the Registrar in pursuance thereof.

Except as provided in any Reimbursement Agreement or Credit Facility, the Registrar and the University may treat the Registered Owner of a 2008/2009 Bond as the absolute owner thereof for all purposes, whether or not such 2008/2009 Bond shall be overdue, and the Registrar and the University shall not be affected by any knowledge or notice to the contrary; and payment of the principal of and premium, if any, and interest on such 2008/2009 Bond shall be made only

to such Registered Owner, which payments shall satisfy and discharge the liability of the University with respect to such 2008/2009 Bond to the extent of the sum or sums so paid.

Section 11. Form of 2008/2009 Bonds.

The 2008/2009 Bonds shall each be in substantially the following form, with appropriate or necessary insertions, depending upon the omissions and variations as permitted or required hereby. If the 2008/2009 Bonds are no longer held in fully-immobilized form, the form of 2008/2009 Bonds will be changed to reflect the changes required in connection with the preparation of certificated 2008/2009 Bonds. The form of the 2008/2009 Bonds shall further be changed as necessary to reflect whether the 2008/2009 Bonds are 2008/2009 New Money Bonds or 2008/2009 Refunding Bonds, whether the 2008/2009 Bonds are 2008/2009 Tax-Exempt Bonds or 2008/2009 Taxable Bonds, any series or subseries designation for the 2008/2009 Bonds and the Current Mode of the 2008/2009 Bonds.

No. R-\_\_\_\_\_

\$\_\_\_\_\_

UNITED STATES OF AMERICA

[STATEMENT OF INSURANCE, IF ANY]

UNIVERSITY OF WASHINGTON  
GENERAL REVENUE [REFUNDING] BOND, 2008/2009[\_\_\_\_] [Taxable]

MATURITY DATE:

ISSUE DATE

CUSIP

REGISTERED OWNER: CEDE & CO.

PRINCIPAL AMOUNT:

The University of Washington (the "University") hereby acknowledges itself to owe and for value received promises to pay to the Registered Owner identified above, or registered assigns, on the Maturity Date identified above, the Principal Amount indicated above and to pay interest thereon from \_\_\_\_\_, \_\_\_\_\_, or the most recent date to which interest has been paid or duly provided for until payment of this bond at the Interest Rate set forth above, payable on the first days of each \_\_\_\_\_ and \_\_\_\_\_, commencing on \_\_\_\_\_ 1, 20\_\_\_. Both principal of and interest on this bond are payable in lawful money of the United States of America. For so long as the bonds of this issue are held in fully immobilized form, payments of principal and interest thereon shall be made as provided in accordance with the operational arrangements of The Depository Trust Company ("DTC") referred to in the Blanket Issuer Letter of Representations (the "Letter of Representations") from the University to DTC. The fiscal agency of the state of Washington is acting as the registrar, authenticating agent and paying agent for the bonds of this issue (the "Bond Registrar").

This bond is issued pursuant to a resolution of the Board of Regents of the University (the “Bond Resolution”) to [finance or refinance costs of the Projects][refund certain outstanding bonds], and to pay costs of issuance.

This bond is payable solely from General Revenues of the University, and the University does hereby pledge and bind itself to set aside from such General Revenues, and to pay into the General Revenue Bond Redemption Fund, 2008 (the “Bond Fund”) the various amounts required by the Bond Resolution to be paid into and maintained in such Fund, all within the times provided by the Bond Resolution. Interest on this bond shall accrue at Daily Rates, Weekly Rates, Commercial Paper Rates, Term Rates or Fixed Rates, payable on Interest Payment Dates, all as provided in the Bond Resolution.

The bonds of this issue are subject to redemption prior to their scheduled maturity under the terms of the bond purchase contract for such bonds.

[The bonds of this issue are not private activity bonds and are not “qualified tax exempt obligations” eligible for investment by financial institutions within the meaning of Section 265(b) of the Internal Revenue Code of 1986, as amended.]

Except as otherwise provided in the Bond Resolution, this bond shall not be entitled to any right or benefit under the Bond Resolution, or be valid or become obligatory for any purpose, until this bond shall have been authenticated by execution by the Registrar of the certificate of authentication inscribed hereon.

It is hereby certified, recited and represented that the issuance of this bond and the 2008/2009 Bonds of this issue is duly authorized by law; that all acts, conditions and things required to exist and necessary to be done or performed precedent to and in the issuance of this bond and the 2008/2009 Bonds of this issue to render the same lawful, valid and binding have been properly done and performed and have happened in regular and due time, form and manner as required by law; that all acts, conditions and things necessary to be done or performed by the University or to have happened precedent to and in the execution and delivery of the Bond Resolution have been done and performed and have happened in regular and due form as required by law; that due provision has been made for the payment of the principal of and premium, if any, and interest on this bond and the 2008/2009 Bonds of this issue and that the issuance of this bond and the 2008/2009 Bonds of this issue does not contravene or violate any constitutional or statutory limitation.

IN WITNESS WHEREOF, the University of Washington has caused this bond to be executed with the manual or facsimile signatures of the President and [Secretary of the Board of Regents][Treasurer of the University] and caused a facsimile of the official seal of the University to be reproduced hereon.

UNIVERSITY OF WASHINGTON

(SEAL)

By \_\_\_\_\_  
President, Board of Regents

ATTEST:

By \_\_\_\_\_  
[Secretary, Board of Regents]  
[Treasurer of the University]

The Certificate of Authentication for the 2008/2009 Bonds shall be in substantially the following form and shall appear on each 2008/2009 Bond:

AUTHENTICATION CERTIFICATE

This bond is one of the University of Washington General Revenue [Refunding] Bonds, Series [2008/2009][\_\_\_] described in the within-mentioned Bond Resolution.

WASHINGTON STATE FISCAL  
AGENCY, as Registrar

By \_\_\_\_\_  
Authorized Signatory

Date of Authentication: \_\_\_\_\_

Section 12. Redemption.

(a) *Optional Redemption.* 2008/2009 Bonds in a Term Mode or Fixed Mode shall be subject to redemption at the option of the University, in whole or in part, in Authorized Denominations on such dates and at such prices as determined by the University for such 2008/2009 Bonds as set forth in the respective Bond Purchase Contract, Trust Agreement and/or Remarketing Agreement, as applicable. 2008/2009 Bonds in the Commercial Paper Mode are not subject to optional redemption prior to their respective Purchase Dates. Commercial Paper Bonds shall be subject to redemption at the option of the University, in whole or in part in principal amounts that permit all remaining Outstanding Bonds of the same series or subseries to continue in Authorized Denominations, on their respective Purchase Dates at a redemption price equal to the principal amount thereof. 2008/2009 Bonds of a series in the Daily Mode or the Weekly Mode shall be subject to redemption at the option of the University, in whole or in part,

in principal amounts which permit all remaining Outstanding Bonds of the same series or subseries to continue in Authorized Denominations, on any date at a redemption price equal to the principal amount thereof. Bank Bonds shall be subject to redemption as set forth in the applicable Reimbursement Agreement.

(b) *Mandatory Redemption.* If the 2008/2009 Bonds of a series are issued in the Fixed Mode, any Term Bonds shall be subject to mandatory redemption prior to their maturity by the Registrar in part, in the years and in the amounts set forth in the applicable Bond Purchase Contract (subject to reductions arising from the University's acquisition and surrender or the optional redemption of 2008/2009 Bonds, all as described in the next paragraph) at a redemption price equal to 100% of the principal amount thereof, plus accrued interest to the Redemption Date. If the 2008/2009 Bonds of a series are issued in a Daily Mode, Weekly Mode or Commercial Paper Mode and converted to the Fixed Mode or Term Mode, the Maturity Date of the 2008/2009 Bonds of that series (other than Bank Bonds) may be converted in whole or in part to Serial Bonds and/or Term Bonds upon delivery of a Favorable Opinion of Bond Counsel prior to the commencement of the Term Mode or Fixed Mode for such 2008/2009 Bonds and if so converted to Term Bonds shall be subject to mandatory sinking fund redemption as determined by the University pursuant to the Remarketing Agreement or Trust Agreement, as applicable.

(c) *Selection of 2008/2009 Bonds for Redemption.* Whenever the University elects to redeem less than all of the 2008/2009 Bonds of a series, the University shall select the maturity or maturities to be redeemed. Whenever fewer than all the Outstanding 2008/2009 Bonds of a series and maturity are to be redeemed, the 2008/2009 Bonds to be redeemed shall be selected in accordance with the operational arrangements of DTC referred to in the Letter of Representations (or, in the event the 2008/2009 Bonds of a series are no longer in book-entry only form, randomly by the Registrar). In no event shall any Bond be Outstanding in a principal amount that is not an Authorized Denomination.

(d) *Notice of Redemption.* For so long as the book entry-system is in effect with respect to a series, notice of redemption shall be provided in accordance with the operational arrangements of DTC referred to in the Letter of Representations, and no additional published or other notice shall be provided by the University; *provided, however,* that the Credit Facility Issuer, if any, or Liquidity Facility Issuer, if any, shall be given prior written notice of any proposed redemption of 2008/2009 Bonds. In any event, notice of redemption shall be given by the University to the Registrar who shall give notice to DTC at least 30 days prior to the proposed date of redemption during the Term Mode or Fixed Mode and at least 15 days prior to the proposed date of redemption during any other Mode.

(e) *Effect of Redemption.* If notice of redemption has been duly given and, in the case of an optional redemption, money for the payment of the Redemption Price of the 2008/2009 Bonds or portions thereof to be redeemed is held by the Registrar, then on the Redemption Date the 2008/2009 Bonds or portions thereof so called for redemption shall become payable at the Redemption Price specified in such notice; and from and after the Redemption Date, interest thereon or on portions thereof so called for redemption shall cease to accrue, such 2008/2009 Bonds or portions thereof shall cease to be Outstanding and to be

entitled to any benefit, protection or security hereunder or under an applicable Trust Agreement, and the Owners of such 2008/2009 Bonds or portions thereof shall have no rights in respect thereof except to receive payment of the Redemption Price upon delivery of such 2008/2009 Bonds to the Registrar. Notwithstanding the foregoing, any Bank Bonds shall remain Outstanding until the Credit Facility Issuer or Liquidity Facility Issuer, as the case may be, is paid all amounts due in connection with such 2008/2009 Bonds or portions thereof to be redeemed on the Redemption Date. After payment to the Credit Facility Issuer or Liquidity Facility Issuer, as the case may be, of all amounts due on Bank Bonds such Credit Facility Issuer or Liquidity Facility Issuer shall surrender such 2008/2009 Bonds to the Registrar for cancellation.

Section 13. Source of Repayment and Security for 2008/2009 Bonds.

(a) *Special Fund Obligations.* The 2008/2009 Bonds shall be special fund obligations of the University, payable solely from General Revenues and the money and investments deposited into the Bond Fund. The 2008/2009 Bonds shall not constitute an obligation, either general, special or moral, of the State, nor a general or moral obligation of the University. The Registered Owners of the 2008/2009 Bonds shall have no right to require the State, nor has the State any obligation or legal authorization, to levy any taxes or appropriate or expend any of its funds for the payment of the principal thereof or the interest or any premium thereon. The University has no taxing power.

(b) *All Bonds Have Equal Claim on General Revenues.* The Bonds shall be equally and ratably payable, without preference, priority or distinction because of date of issue or otherwise from General Revenues.

(c) *Additions to General Revenues.* (1) The University reserves the right to include in General Revenues, at its sole option, in the future, other sources of revenue or income, specifically including, but not limited to, all or any portion of the items or the auxiliary systems added pursuant to subsection (2) of this Section 13, excluded in the definition of General Revenues. (2) Such additions shall occur on the date and as provided in a certificate executed by the Controller of the University (or the successor to the functions of the Controller). The Controller shall, in the case of additions of items or auxiliaries to General Revenues, certify that for the preceding two Fiscal Years for which audited financial statements are available, the item or auxiliary maintained a "coverage ratio" of at least 125%, where the "coverage ratio" equals: (A) Net Revenue (for those items or auxiliaries whose debt has a lien on Net Revenues) or gross revenues (for those items or auxiliaries whose debt has a lien on gross revenues), divided by (B) debt service with respect to the then-outstanding revenue debt of the auxiliary or item and state-reimbursed bonds allocable to such auxiliary or item. In the event an auxiliary or item is added to General Revenues, the obligations of that auxiliary or item may remain outstanding and have a prior claim on auxiliary Net Revenue.

(d) *Deletions from General Revenues.* The University reserves the right to remove, at its sole option, in the future, any revenues from General Revenues. The removal of General Revenues shall be evidenced by a certificate executed by the Controller of the University (or the successor to the functions of the Controller) identifying the items to be deleted.

Section 14. Application of 2008/2009 Bond Proceeds.

(a) *2008/2009 New Money Bonds.* The proceeds of the 2008/2009 New Money Bonds shall be paid into the Capital Fund (hereinafter authorized to be created). The Authorized Representative of the University is hereby authorized and directed to create a special fund or account of the University, designated as the "University of Washington Capital Fund, 2008" (the "Capital Fund"). The money on deposit in the Capital Fund shall be utilized to pay or reimburse the University for costs of the Projects and costs incidental thereto, and costs incurred in connection with the issuance and sale of the 2008/2009 New Money Bonds, to the extent designated by the Authorized Representative of the University.

All or part of the proceeds of the 2008/2009 New Money Bonds may be temporarily invested in or with such institutions or in such obligations as may now or hereafter be permitted to the University by law that will mature prior to the date on which such money shall be needed.

In the event that it shall not be possible or practicable to accomplish all of the Projects, the University may apply the proceeds of the 2008/2009 New Money Bonds to pay the costs of such portion thereof or such other projects as the Authorized Representative of the University shall determine to be in the best interests of the University.

Any part of the proceeds of the 2008/2009 New Money Bonds remaining in the Capital Fund after all costs referred to in this section have been paid may be used to acquire, construct, equip and make other improvements to the facilities of the University subject to the limitations of this resolution or may be transferred to the Bond Fund for the uses and purposes therein provided.

(b) *2008/2009 Refunding Bonds.* The proceeds of each series of 2008/2009 Refunding Bonds shall be disbursed as provided in the related Escrow Agreement and/or Trust Agreement to redeem and/or defease the Refunding Candidates to their Call Dates through the application of proceeds of the 2008/2009 Refunding Bonds to acquire Acquired Obligations for deposit, together with cash, as provided in such Escrow Agreement and/or Trust Agreement.

Section 15. Bond Fund.

The Controller of the University is hereby authorized and directed to establish the Bond Fund as a special fund of the University to be designated as the General Revenue Bond Redemption Fund, 2008 (the "Bond Fund"). The University covenants to deposit into the Bond Fund from General Revenues on or prior to each interest payment date, redemption date and maturity date an amount sufficient to pay the interest on the 2008/2009 Bonds then coming due and the principal of the 2008/2009 Bonds maturing or subject to redemption and redemption premium, if any. Such payments shall be made in sufficient time to enable the Registrar to pay interest on and/or principal of and redemption price of the 2008/2009 Bonds to the Registered Owners, when due. Net income earned on investments in the Bond Fund, if any, shall be deposited in the Bond Fund.



Section 16. Investment of Funds.

The University covenants to invest and reinvest money deposited in Bond Fund only in those investments in which agencies of the State are authorized to invest pursuant to State law.

Section 17. Establishment of Additional Accounts and Subaccounts.

The University reserves the right, to be exercised in its sole discretion, to establish such additional accounts within the funds established pursuant to this resolution, and subaccounts within such accounts, as it deems necessary or useful for the purpose of identifying more precisely the sources of payments herein and disbursements therefrom; provided that the establishment of any such account or subaccount does not alter or modify any of the requirements of this resolution with respect to a deposit or use of money or result in commingling of funds not permitted hereunder.

Section 18. Additional Bonds.

The University shall have the right to issue one or more series of Additional Bonds for University purposes as permitted under the Bond Act or otherwise under State law, and the costs of issuing Additional Bonds, or to refund or advance refund any Bonds or other obligations.

Section 19. Covenants Regarding Tax Exemption.

The University covenants and agrees that in accordance with the Federal Tax Certificate for each series of 2008/2009 Tax-Exempt Bonds:

(a) it will not make any use of the proceeds from the sale of the applicable series of 2008/2009 Tax-Exempt Bonds or any other funds of the University which may be deemed to be proceeds of such 2008/2009 Tax-Exempt Bonds pursuant to Section 148 of the Code and the applicable regulations thereunder which will cause that series of the 2008/2009 Tax-Exempt Bonds to be “arbitrage bonds” within the meaning of said Section and said regulations. The University will comply with the applicable requirements of Section 148 of the Code (or any successor provision thereof applicable to the applicable series of 2008/2009 Tax-Exempt Bonds) and the applicable regulations thereunder throughout the term of the 2008/2009 Tax-Exempt Bonds; and

(b) it will not take any action or permit any action to be taken that would cause the 2008/2009 Tax-Exempt Bonds of a series to constitute “private activity bonds” under Section 141 of the Code.

(c) it will not permit:

(1) More than 10% of the net proceeds of the 2008/2009 Tax-Exempt Bonds of a series to be used for any Private Person Use; and

(2) More than 10% of the principal or interest payments on the 2008/2009 Tax-Exempt Bonds of a series in a Bond Year to be directly or indirectly: (A) secured by any interest in property used or to be used for any Private Person Use or secured by payments in respect of property used or to be used for any Private Person Use, or (B) derived from payments (whether or not made to the University) in respect of property, or borrowed money, used or to be used for any Private Person Use.

The University further covenants that, if:

(3) More than five percent of the net proceeds of the 2008/2009 Tax-Exempt Bonds of a series are to be used for any Private Person Use; and

(4) More than five percent of the principal or interest payments on that series of 2008/2009 Tax-Exempt Bonds in a Bond Year are (under the terms of this resolution or any underlying arrangement) directly or indirectly: (A) secured by any interest in property used or to be used for any Private Person Use or secured by payments in respect of property used or to be used for any Private Person Use, or (B) derived from payments (whether or not made to the University) in respect of property, or borrowed money, used or to be used for any Private Person Use, then, (i) any Private Person Use of the projects described in subsection (3) hereof or Private Person Use payments described in subsection (4) hereof that is in excess of the five percent limitations described in such subsections (3) or (4) will be for a Private Person Use that is related to the state or local governmental use of the project refinanced with the applicable series of 2008/2009 Tax-Exempt Bond proceeds, and (ii) any Private Person Use will not exceed the amount of net proceeds of the applicable series of 2008/2009 Tax-Exempt Bonds used for the state or local governmental use portion of the projects to which the Private Person Use of such portion of such project relates. The University further covenants that it will comply with any limitations on the use of the projects being refinanced by a series of the 2008/2009 Tax-Exempt Bonds by other than state and local governmental users that are necessary, in the opinion of its bond counsel, to preserve the tax exemption of the interest on the applicable series of 2008/2009 Tax-Exempt Bonds. The covenants of this section are specified solely to assure the continued exemption from regular income taxation of the interest on the 2008/2009 Tax-Exempt Bonds of each applicable series.

Section 20. No Recourse Against Individuals.

No owner of a 2008/2009 Bond (registered or beneficial) shall have any recourse for the payment of any part of the principal or redemption price, if any, of or interest on the 2008/2009 Bonds, or for the satisfaction of any liability arising from, founded upon, or existing by reason of, the issuance or ownership of such 2008/2009 Bonds against the officers of the University or officers or members of the Board in their individual capacities.

Section 21. Defeasance.

Any 2008/2009 Bonds shall be deemed to have been paid and not Outstanding under this resolution and shall cease to be entitled to any lien, benefit or security of this resolution and any money and investments held hereunder, except the right to receive the money and the proceeds

and income from Government Obligations set aside and pledged in the manner hereafter described, if:

(a) in the event that any or all of 2008/2009 Bonds are to be optionally redeemed, the University shall have given to the Registrar irrevocable instructions to give such notice of redemption of such 2008/2009 Bonds as may be required by the provisions of this resolution;

(b) there shall have been made an Irrevocable Deposit, in trust, with the Registrar or another corporate fiduciary of money in an amount which shall be sufficient and/or noncallable Government Obligations maturing at such time or times and bearing such interest to be earned thereon, without considering any earnings on the reinvestment thereof, as will provide a series of payments which shall be sufficient, together with any money initially deposited, to provide for the payment of the principal of and the interest on the defeased 2008/2009 Bonds, when due in accordance with their terms, or upon the earlier prepayment thereof in accordance with a refunding plan; and such money and the principal of and interest on such Government Obligations are set aside irrevocably and pledged in trust for the purpose of effecting such payment, redemption or prepayment; and

(c) there shall have been delivered to the University an opinion of Bond Counsel, to the effect that interest on the 2008/2009 Tax-Exempt Bonds of the applicable series will not become subject to federal income taxation by reason of such defeasance.

Nothing contained in this Section 21 shall be construed to prohibit the partial defeasance of the lien of this resolution providing for the payment of one or more, but not all of the Outstanding 2008/2009 Bonds. In the event of such partial defeasance, this resolution shall be discharged only as to the 2008/2009 Bonds so defeased.

#### Section 22. Approval of Official Statement.

The University hereby authorizes and directs the Authorized Representative of the University to approve the information contained in each Preliminary Official Statement, if any, pertaining to a series of the 2008/2009 Bonds, to “deem final” a Preliminary Official Statement, if any, as of its date, except for the omission of information on offering prices, interest rates, selling compensation, delivery dates and any other terms or provisions of the 2008/2009 Bonds dependent on such matters, for the sole purpose of the applicable underwriter’s compliance with the Rule and to authorize the distribution thereof to prospective purchasers of the series of 2008/2009 Bonds and others. The University further authorizes and directs any of such officers to approve the preparation, distribution and use of a Final Official Statement and to approve the information contained therein, in connection with the public offering and sale of the applicable series of 2008/2009 Bonds to the actual purchasers of the 2008/2009 Bonds and others. The University hereby authorizes any of such officers to execute each Final Official Statement described above to indicate such approval.

Section 23. Determination of Certain Matters Affecting 2008/2009 Bonds.

The Authorized Representative of the University is hereby authorized and directed to make the following determinations and/or take the following actions, prior to the sale of 2008/2009 Bonds, subject to the limitations described below:

(a) determine whether the 2008/2009 Bonds shall be issued and sold in one or more series or subseries;

(b) determine the Mode in which 2008/2009 Bonds of a series or subseries shall be issued initially;

(c) determine the times and manner of conversion between Modes, and negotiate and execute documents to effect the conversion, including without limitation any Reimbursement Agreement, Remarketing Agreement or Trust Agreement, or amendments thereto;

(d) negotiate and execute at his or her discretion, one or more Escrow Agreements, Bond Purchase Contracts, Remarketing Agreements, Reimbursement Agreements, or Trust Agreements, and amendments thereto from time to time;

(e) negotiate and execute a Payment Agreement, if any, in connection with the issuance of any series of 2008/2009 Bonds;

(f) select one or more Escrow Agents, underwriters and/or Remarketing Agents;

(g) select some or all of the Refunding Candidates and designate those Refunding Candidates as the "Refunded Bonds" in the applicable Bond Purchase Contract;

(h) determine if it is in the best interest of the University for any or all of the 2008/2009 Bonds to be secured by a Liquidity Facility or Credit Facility and, if so, select the Liquidity Facility Issuer or Credit Facility Issuer, as applicable, pay the premium or fees therefor, issue one or more reimbursement bonds, and enter into Reimbursement Agreements, each as applicable;

(i) approve the Interest Rates if the 2008/2009 Bonds bear interest in Fixed Mode or Term Mode, Maturity Dates, aggregate principal amounts, principal amounts of each maturity, redemption rights, tender option rights, and other terms and conditions of the 2008/2009 Bonds;

(j) select a Trustee for the owners of any or all of the 2008/2009 Bonds and fix its or their rights, duties, powers, and obligations under the applicable Trust Agreement; and

(k) determine whether any or all of the 2008/2009 Bonds shall be issued as 2008/2009 Tax-Exempt Bonds or as 2008/2009 Taxable Bonds.

The Authorized Representative of the University is hereby authorized to approve the foregoing so long as the aggregate principal amount of the 2008/2009 New Money Bonds does

not exceed \$100,000,000 and the aggregate principal amount of the 2008/2009 Refunding Bonds does not exceed \$93,000,000, the true interest cost for the 2008/2009 Bonds bearing interest in the Fixed Mode does not exceed 6.0%; and the date and time for any Closing Date is not later than June 30, 2009.

In determining the items described in this section, the Authorized Representative of the University, in consultation with University staff and the University's financial advisor, shall take into account those factors that, in his or her judgment, will result in the lowest true interest cost on the 2008/2009 Bonds to their maturity, including, but not limited to current financial market conditions and current interest rates for obligations comparable in tenor and quality to the 2008/2009 Bonds.

Upon determination by the Authorized Representative of the University that all conditions to Closing set forth in a Bond Purchase Contract have been satisfied, or upon waiver of such conditions by the appropriate parties, the Authorized Representative of the University is hereby authorized and directed (a) to cause such series of 2008/2009 Bonds, executed as provided in this resolution, to be authenticated and delivered to the underwriters; and (b) to execute, for and on behalf of the University, and to deliver to the persons entitled to executed copies of the same, the Official Statement and all other documents required to be delivered, at or before the Closing Date pursuant to the Bond Purchase Contract. Such delivery shall be made at the offices of K&L Preston Gates Ellis LLP, 925 Fourth Avenue, Suite 2900, Seattle, Washington 98104, or at such other place as any of such officers and the underwriters shall agree. The proper University officials are hereby authorized and directed to do everything necessary and proper for the prompt printing, execution, authentication, issuance and delivery of the 2008/2009 Bonds in exchange for the purchase price thereof.

Section 24. Undertaking to Provide Continuing Disclosure.

An Authorized Representative of the University is authorized to, in his or her discretion, execute and deliver a certificate regarding continuing disclosure in order to assist the underwriters for the any series of 2008/2009 Bonds in complying with Section (b)(5) of the Rule.

Section 25. Payment Agreements.

The University may enter into a Payment Agreement providing for an exchange of Reciprocal Payments for University Payments in connection with one or more series of 2008/2009 Bonds. The following shall be conditions precedent to the use of any Payment Agreement.

(a) *Opinion of Bond Counsel.* The University shall obtain an opinion of its Bond Counsel on the due authorization and execution of such Payment Agreement opining that the action proposed to be taken by the University is authorized or permitted by this resolution and by Washington law and will not adversely affect the exclusion from gross income for federal income tax purposes of the interest on the applicable series of 2008/2009 Tax-Exempt Bonds.

(b) *Certification of Financial Advisor.* The University shall obtain, on or prior to the date of execution of the Payment Agreement, a written certification from a financial advisor that (1) the terms and conditions of the payment agreement and any ancillary agreements, including without limitation, the interest rate or rates and any other amounts payable thereunder, are commercially reasonable in light of then existing market conditions; and (2) the findings and determinations under subsection (c) and (f)(3) are reasonable.

(c) *Approval of the State Finance Committee.* The Payment Agreement shall have been approved by the State Finance Committee under terms set forth in a resolution thereof, subject to final approval and authorization of the Payment Agreement by the Chair of the State Finance Committee pursuant to such terms. The approval of the State Finance Committee shall not constitute the pledge of the full faith and credit of the State. The University shall have the option to terminate the Payment Agreement in whole or in part, in the discretion of the Authorized Representative of the University. The Authorized Representative of the University is hereby authorized to amend or terminate any outstanding Payment Agreement with respect to the 2004 Bonds.

(d) *Selection of Reciprocal Payor.* Prior to selecting the Reciprocal Payor, the University shall solicit and give due consideration to proposals from at least two entities that meet the criteria set forth in RCW 39.96.040(2). Such solicitation and consideration shall be conducted in such manner as the University (or the State Treasurer if so directed by resolution of the State Finance Committee) shall determine is reasonable.

(e) *Payments.* The Payment Agreement shall set forth the manner in which the University Payments and Reciprocal Payments are to be calculated and a schedule of Derivative Payment Dates. The University shall provide an annual report or certificate to the State Treasurer setting forth the information regarding the Payment Agreement, in form satisfactory to the State Treasurer.

(f) *Findings.*

(1) The obligations of the University under the Payment Agreement shall be paid solely from General Revenues.

(2) If the University enters into a Payment Agreement, University Payments shall be made from the Bond Fund. Reciprocal Payments shall be paid directly into the Bond Fund or a separate account therein.

(3) If the foregoing conditions are complied with, the Payment Agreement will lower the net cost of borrowing for the related 2008/2009 Bonds or reduce the University's exposure to fluctuations in interest rates on the related 2008/2009 Bonds. This finding shall be confirmed in a report of the Authorized Representative of the University.

Section 26. Supplemental Resolutions.

(a) *Without Consent of Owners.* The Board, from time to time and at any time, may adopt a resolution or resolutions supplemental to this resolution which supplemental resolution or resolutions thereafter shall become a part of this resolution, for any one or more or all of the following purposes:

(1) to add to the covenants and agreements of the University in this resolution other covenants and agreements thereafter to be observed, which shall not materially adversely affect the interests of the Registered Owners of any Outstanding 2008/2009 Bonds affected by the supplemental resolution, or to surrender any right or power herein reserved to or conferred upon the University;

(2) to make such provisions for the purpose of curing any ambiguities or of curing, correcting or supplementing any defective provision contained in this resolution or any resolution authorizing Additional Bonds in regard to matters or questions arising under such resolutions as the Board may deem necessary or desirable and not inconsistent with such resolution and which shall not materially adversely affect the interest of the Registered Owners of Outstanding 2008/2009 Bonds.

Any such supplemental resolution of the Board may be adopted without the consent of the owners of any 2008/2009 Bonds at any time outstanding, notwithstanding any of the provisions of subsection (b) of this section.

(b) *With Consent of Owners.* With the consent of the Registered Owners of not less than 51% in aggregate principal amount or accreted value, as applicable, of all Outstanding 2008/2009 Bonds of a series affected by a supplemental resolution, the Board may adopt a resolution or resolutions supplemental hereto for the purpose of adding any provisions to or changing in any manner or eliminating any of the provisions of this resolution or of any supplemental resolution provided, however, that no such supplemental resolution shall:

(1) extend the fixed maturity of any Outstanding 2008/2009 Bonds, or reduce the rate of interest thereon, or extend the time of payment of interest from their due date, or reduce the amount of the principal thereof, or reduce any premium payable on the redemption thereof, without the consent of the owner of each 2008/2009 Bond so affected; or

(2) reduce the aforesaid percentage of Registered Owners required to approve any such supplemental resolution, without the consent of the Registered Owners of all of the Outstanding 2008/2009 Bonds affected by the reduction.

It shall not be necessary for the consent of Registered Owners under this subsection (b) to approve the particular form of any proposed supplemental resolution, but it shall be sufficient if such consent shall approve the substance thereof. The Reimbursement Agreement may provide rights to the Credit Facility Issuer or Liquidity Facility Issuer to consent to supplemental resolutions on behalf of Registered Owners or in addition to Registered Owners.

Section 27. Concerning the Registered Owners.

(a) *Form of Consent of Registered Owners.* Any request, direction, consent or other written instrument required by this resolution to be signed or executed by the Registered Owners may be in any number of concurrent written instruments of similar tenor and may be signed or executed by such Registered Owners in person or by an agent or agents duly appointed by a written instrument. Proof of the execution of any such written instrument and of the ownership of the 2008/2009 Bonds shall be sufficient for any purpose of this resolution and shall be conclusive in favor of the University, and/or the Registered Owners with regard to any action taken under such instrument, if made in the following manner:

(1) the fact and date of the execution by any Registered Owner of any such instrument may be proved by the certificate of any officer in any jurisdiction who, by the laws thereof, has power to take acknowledgments of deeds to be recorded within such jurisdiction, to the effect that the Registered Owner signing such instrument acknowledged to him or her the execution thereof, or by an affidavit of a witness to such execution; and

(2) the ownership of 2008/2009 Bonds shall be proved by the registry books maintained by the Registrar.

Nothing contained in this Section 27(a) shall be construed as limiting the University to the proof above specified, it being intended that the University may accept any other evidence of the matters herein stated to which it may seem sufficient.

(b) *Waiver of Form.* Except as otherwise provided herein, any notice or other communication required by this resolution to be given by delivery, publication or otherwise to the Registered Owners or any one or more thereof may be waived, at any time before such notice or communication is so required to be given, by written waivers mailed or delivered to the University by the Registered Owners of all 2008/2009 Bonds of a series entitled to such notice or communication.

(c) *Revocation; Conclusive Action.* At any time prior to (but not after) the evidencing to the University of the taking of any action by the Registered Owners of the percentage in aggregate principal amount of Outstanding 2008/2009 Bonds of a series specified in this resolution in connection with such action, any Registered Owner may, by filing written notice with the University, revoke any consent given by such Registered Owner or the predecessor Registered Owner of such 2008/2009 Bond. Except as aforesaid, any such consent given by the Registered Owner of any 2008/2009 Bond shall be conclusive and binding upon such Registered Owner and upon all future Registered Owners of such 2008/2009 Bond and of any 2008/2009 Bond issued in exchange therefor or in lieu thereof, irrespective of whether or not any notation in regard thereto is made upon such 2008/2009 Bond. Any action taken by the Registered Owners of the percentage in aggregate principal amount of a series of Outstanding 2008/2009 Bonds specified in this resolution in connection with such action shall be conclusively binding upon the University and the Registered Owners of all Outstanding 2008/2009 Bonds.



Section 28. Determination of Registered Owners' Concurrence.

In determining whether the Registered Owners of the requisite aggregate principal amount of a series of Outstanding 2008/2009 Bonds have concurred in any demand, request, direction, consent or waiver under this resolution, 2008/2009 Bonds which are owned by or held in the name of the University shall be disregarded and deemed not to be Outstanding for the purpose of any such determination. 2008/2009 Bonds so owned which have been pledged in good faith may be regarded as Outstanding for the purposes of this Section 28 if the pledgee shall establish to the satisfaction of the University the pledgee's right to vote such 2008/2009 Bonds and that the pledgee is not the University.

Section 29. University Acquisition of 2008/2009 Bonds.

The University may acquire 2008/2009 Bonds by (a) purchase of 2008/2009 Bonds offered to the University at any time and from time to time at such purchase price as the University deems appropriate; or (b) gift at any time and from time to time on terms as the University deems appropriate.

Section 30. Contract-Savings Clause.

The covenants contained in this resolution, the 2008/2009 Bonds and the provisions of the Bond Act shall constitute a contract between the University and the Registered Owners of the 2008/2009 Bonds and shall be construed in accordance with and controlled by the laws of the State. If any one or more of the covenants or agreements provided in this resolution to be performed on the part of the University shall be declared by any court of competent jurisdiction and final appeal, if any appeal be taken, to be contrary to law, then such covenant or covenants, agreement or agreements shall be null and void and shall be deemed separable from the remaining covenants and agreements in this resolution and shall in no way affect the validity of the other provisions of this resolution or of the 2008/2009 Bonds.

Section 31. No Benefits to Outside Parties.

Nothing in this resolution, express or implied, is intended or shall be construed to confer upon or to give to any person, other than the University, the Registrar, any Credit Facility Issuer, any Liquidity Facility Issuer, or the Registered Owners of Bonds, any right, remedy or claim under or by reason of this resolution; and the covenants, stipulations and agreements in this resolution are and shall be for sole and exclusive benefit of the University, the Registrar, any Credit Facility Issuer, the Liquidity Facility Issuer, and the Registered Owners of Bonds, their successors and assigns.

Section 32. Immediate Effect.

This resolution shall take effect immediately upon its adoption.

ADOPTED at an open public meeting of the Board of Regents of the University, after notice thereof was duly and regularly given as required by law, this 17th day of July, 2008.

BOARD OF REGENTS, UNIVERSITY OF  
WASHINGTON

By \_\_\_\_\_

Attest:

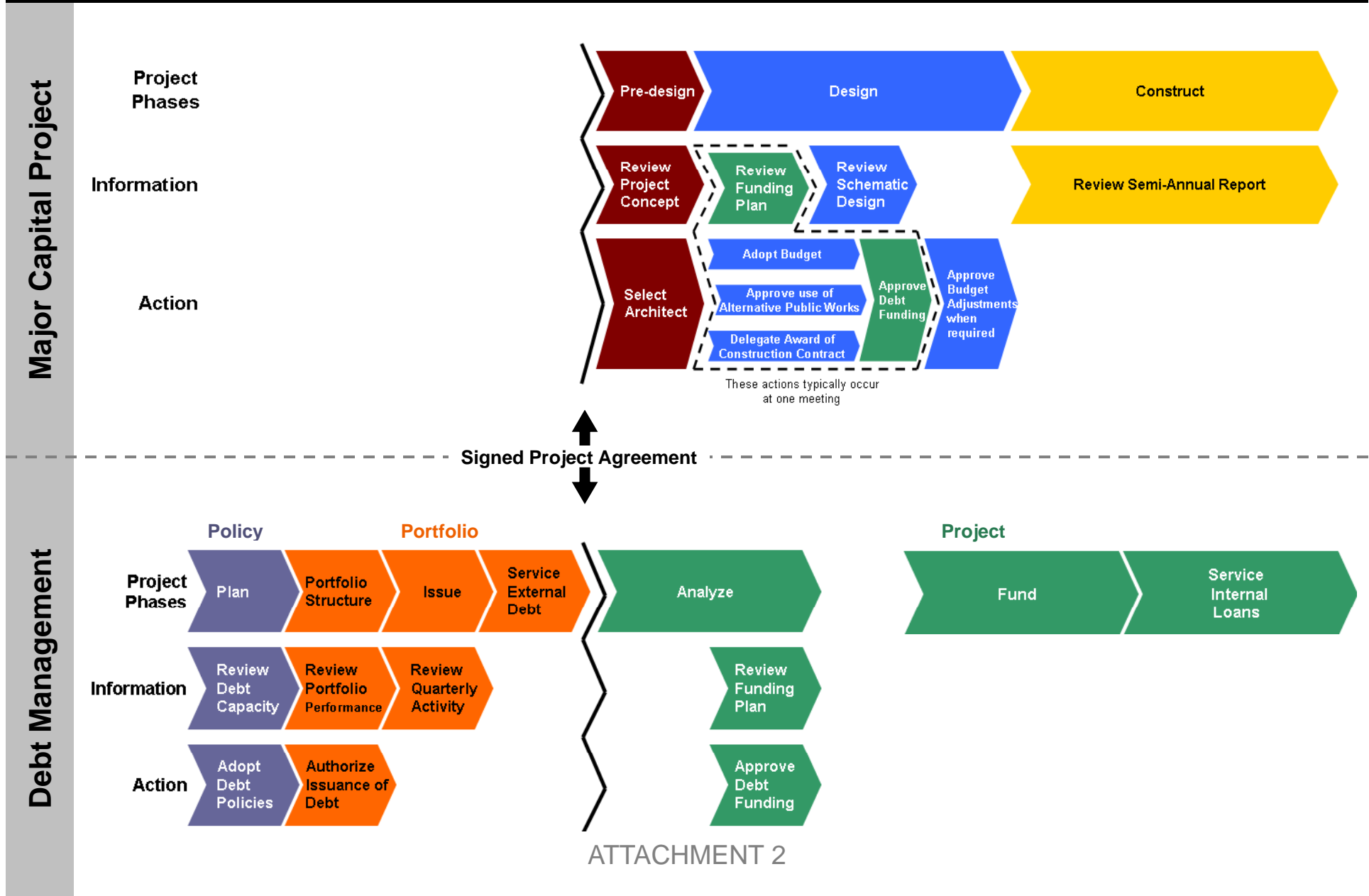
By \_\_\_\_\_

Approved as to form:

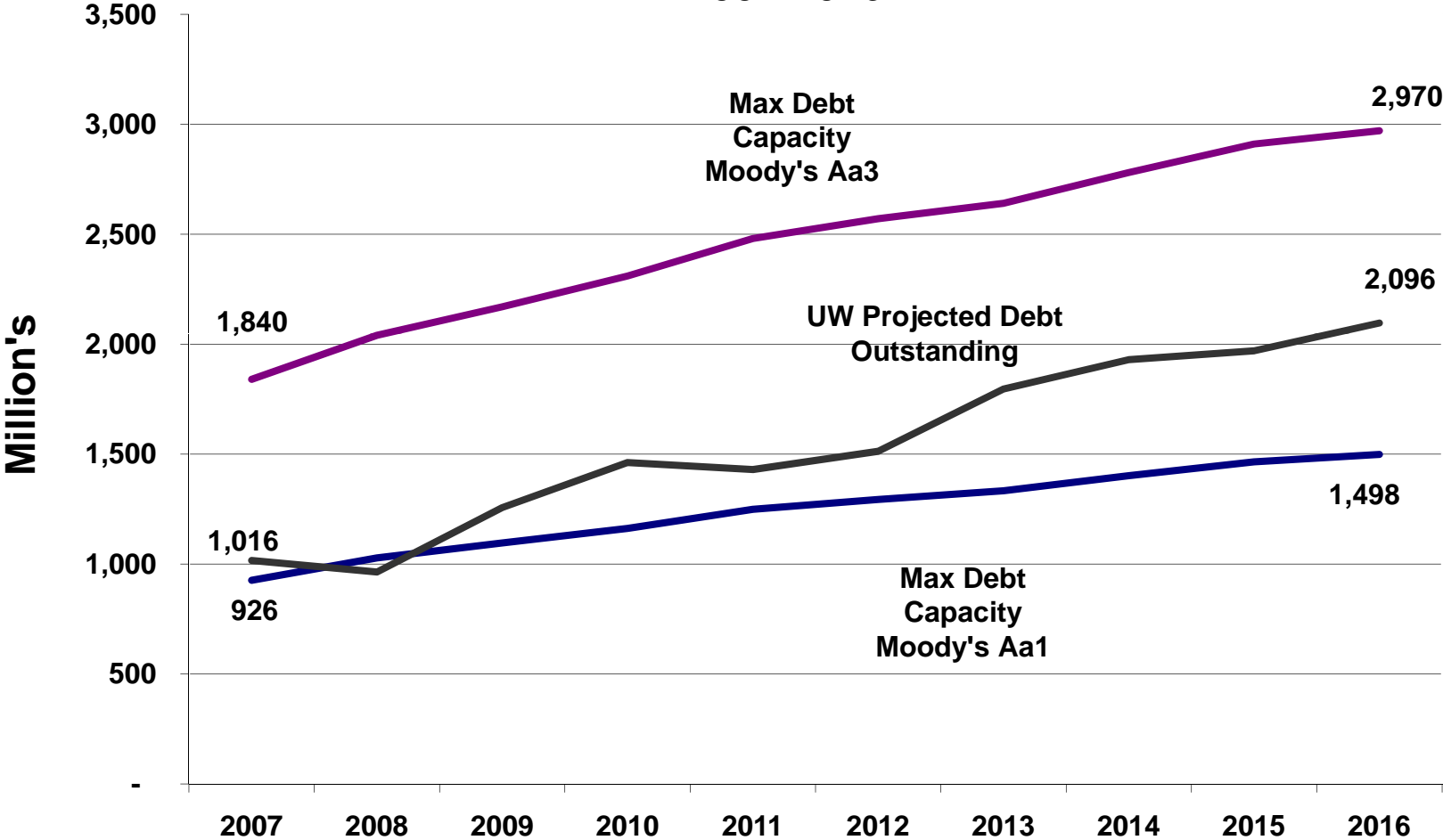
\_\_\_\_\_

\_\_\_\_\_  
Special Assistant  
Attorney General  
State of Washington

# Regents' Review and Approval



# UW Projected Debt Capacity 2007-2016



NOTE: To show a trendline of outstanding debt, FY's 2013 - 2016 include estimates of outstanding debt not on capital projects forward calendar.

## University of Washington Debt Profile

### 2008 Outstanding Debt & Debt Service (in 000's)

Unit	ILP Debt		Non-ILP Debt		Totals	
	Outstanding Balance	Debt Service	Outstanding Balance	Debt Service	Outstanding Balance	Debt Service
General University	266,603	17,965	88,730	19,976	355,333	37,941
Housing and Food Services	61,330	3,712	88,745	7,804	150,075	11,516
Intercollegiate Athletics	5,947	-	-	278	5,947	278
Parking Services	18,430	1,339	-	-	18,430	1,339
School of Medicine	96,954	8,098	190,036	21,787	286,990	29,885
Student Life	45,250	3,421	-	-	45,250	3,421
UWMC	79,192	8,224	25,375	7,796	104,566	16,019
<b>Totals</b>	<b>573,706</b>	<b>42,758</b>	<b>392,886</b>	<b>57,640</b>	<b>966,592</b>	<b>100,399</b>

Includes State General Obligation bonds, UW Revenue Bonds, lease-backed debt, public-private partnerships & equipment financing.

ATTACHMENT 4

**VII. STANDING COMMITTEES****B. Finance, Audit and Facilities Committee**SEIU Local 1199NW – Airlift Northwest Collective Bargaining Agreement – UW RatificationRECOMMENDED ACTION

It is the recommendation of the administration of Harborview Medical Center that the Board of Regents approve this initial collective bargaining agreement between the University of Washington and SEIU Local 1199NW. The agreement covers approximately fifty (50) Registered Nurses employed by Harborview Medical Center who work on emergency flights for Airlift Northwest (ALNW). The duration of this initial agreement is from the date of Regents' approval through June 30, 2009. The Registered Nurses covered by this agreement work at bases in Seattle (Boeing Field), Bellingham and Juneau. Boeing Field based nurses also cover facilities in Arlington and Olympia.

BACKGROUND

This is the initial Agreement for this bargaining unit. The Public Employment Relations Commission certified SEIU Local 1199NW as the employees' bargaining representative in the spring of 2007. The University has negotiated multiple agreements with SEIU Local 1199NW for other bargaining units including a large unit of Registered Nurses at Harborview Medical Center. The parties used that existing master agreement as a template for development of an agreement covering the Registered Nurses at Airlift Northwest.

Following is a summary of the major elements of the agreement for the bargaining unit of Airlift Northwest Registered Nurses:

- The economic package is identical to the inpatient Registered Nurses at Harborview Medical Center:
  - July 1, 2008 – RN 2 scale increased by 2.0 percent
  - June 16, 2009 – Addition of new step at year 26 to be 2.0 percent more than the previous step
  - June 16, 2009 – Sick leave paid for will not count toward the calculation of overtime
- The expiration date, June 30, 2009, is identical to the Master Agreement.

## VII. STANDING COMMITTEES

### B. Finance, Audit and Facilities Committee

#### SEIU Local 1199NW – Airlift Northwest Collective Bargaining Agreement – UW Ratification (continued p. 2)

- The parties agreed that the Airlift Northwest unit will be folded into the Master Agreement for a successor contract which currently covers the other five bargaining units represented by SEIU Local 1199NW at Harborview Medical Center.
- The mutually beneficial changes are designed to set standards for specific pay practices and base coverage requirements, primarily for the Bellingham-based nurses who are required to do occasional shifts at the Boeing Field base and Juneau-based nurses who routinely fly long hours. The change for the Bellingham-based nurses is that they will be eligible for mileage reimbursement (for miles driven above 50) when reporting to the Boeing Field base for regularly scheduled shifts. The primary change for the Juneau-based nurses is that fewer hours will be flexed for purposes of calculating overtime when nurses work the night before their next regularly scheduled shift and are unable to get adequate rest.

**VII. STANDING COMMITTEES****B. Finance, Audit and Facilities Committee**Sound Transit University Link Final Design – Project Information UpdateINFORMATION:

This presentation provides a project update to the Regents regarding the Sound Transit University Link design. The design development level for the University Station and tunnel civil works is 90% complete, and the schematic design completion level for the architectural finishes is 60% complete. The listing and status of milestones and major deliverables is anticipated in the 4<sup>th</sup> quarter of 2008. No action is required – this update is for comment and general information.

BACKGROUND:

Sound Transit’s operations on campus are governed by the 2000 Memorandum of Agreement (MOA) and the 2007 Master Implementation Agreement (MIA). These two agreements provide the framework on how Sound Transit is to respond to University requirements, approval process and mitigation procedures, if necessary.

The U-Link Light Rail UW Station is a proposed extension of Sound Transit’s Link light rail system from downtown Seattle north to the University District. The facility is an approximately 100’ deep cut-and-cover tunnel station with an entrance near the center of the station platform. The station is located between Montlake Boulevard and Husky Stadium beneath the surface parking lot E11. Below grade facilities include two bored tunnels with track work and related system utilities, a crossover track south of the station platform, vertical circulation, emergency ventilation fans, stairwells, and systems rooms. The North Link extension alignment, which is projected to run from Husky stadium to Northgate, was adopted by the Sound Transit Board in 2006; however, this extension is awaiting funding approval with the defeat of Proposition 1 on the November 6, 2007 ballot.

U-Link program budget	\$1.8 Billion
Estimated U-Stadium Construction Cost:	\$610 million
Estimated Construction Start:	Utilities Dec 08 – Station Nov 09
Estimated Project Occupancy:	Revenue Service fall 2016.

Attachments:

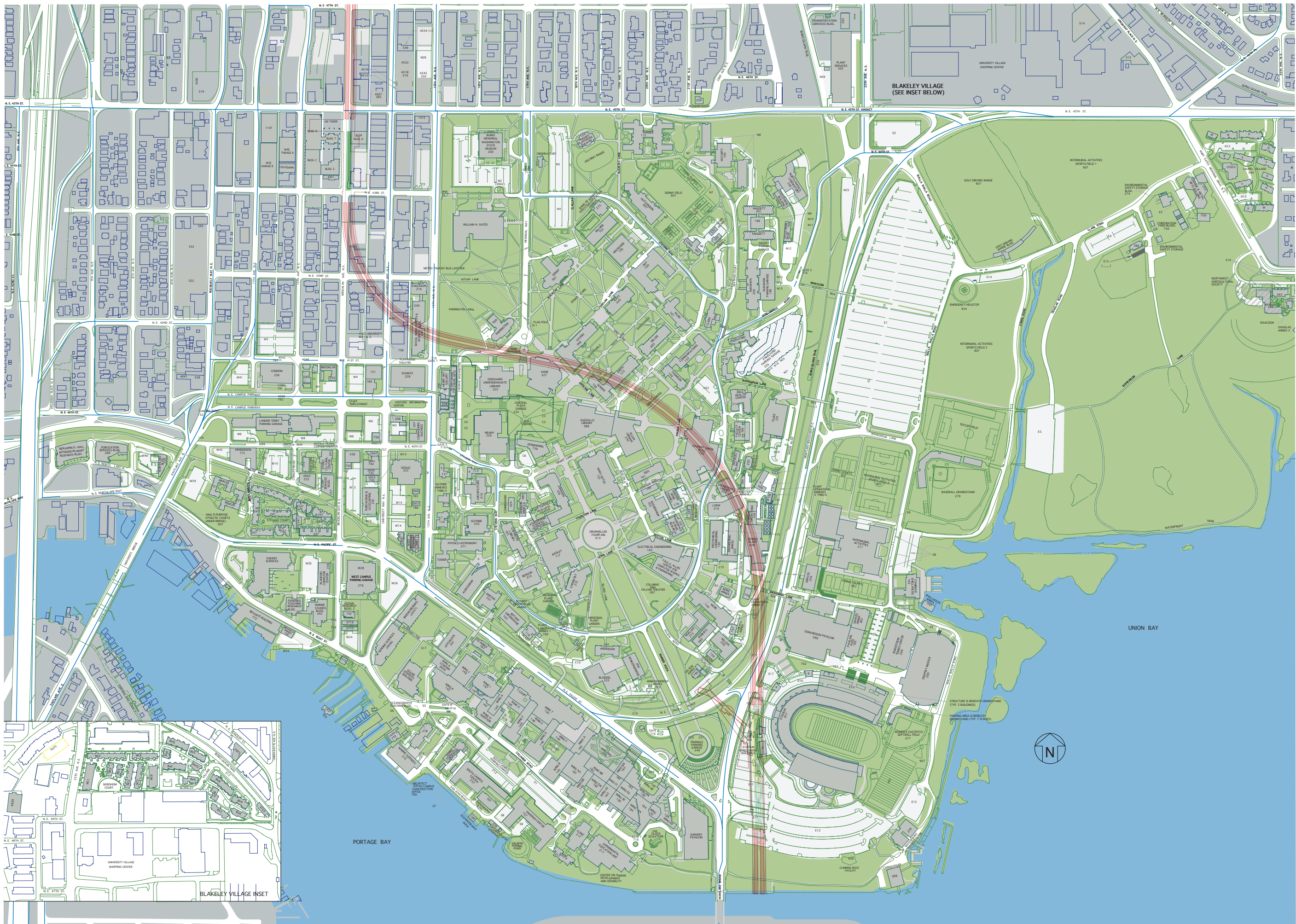
1. Sound Transit Schedule Summary dated May 31, 2008
2. North Link route at UW Seattle Campus



SOUND TRANSIT SCHEDULE SUMMARY DATED MAY 31, 2008

Description			2008	2009	2010	2011	2012	2013	2014	2015	2016
	Start	Finish									
100% Advance Utilities Design / Advertise	3-Nov-2008	17-Jul-2008	■								
90% Tunnel Design	15-Dec-2006	30-May-2008	■								
60% Station Design	15-Dec-2006	5-Aug-2008	■								
Architecture Commission Design Approval		9-Jun-2008	◆								
Board of Regents Station Design Update		17-Jul-2008	◆								
Board of Regents Construction Approval		16-Oct-2008	◆								
Husky Stadium Temporary Buildings	1-Aug-2008	2-Jun-2009		■							
Utility Relocation (with float)	3-Nov-2008	2-Jun-2009		■							
Tunnel Construction	23-Nov-2009	6-May-2013			■	■	■	■			
Station Construction	12-Apr-2011	26-Jan-2015				■	■	■	■		
Trackwork Installation (UW to CHS)	23-Apr-2013	7-Jul-2014						■	■		
Systems Installation (UW to CHS)	6-May-2014	2-Oct-2015							■	■	
System Testing (with float)	5-Oct-2015	26-Sep-2016									■
Project Complete / Opening Day		26-Sep-2016									◆



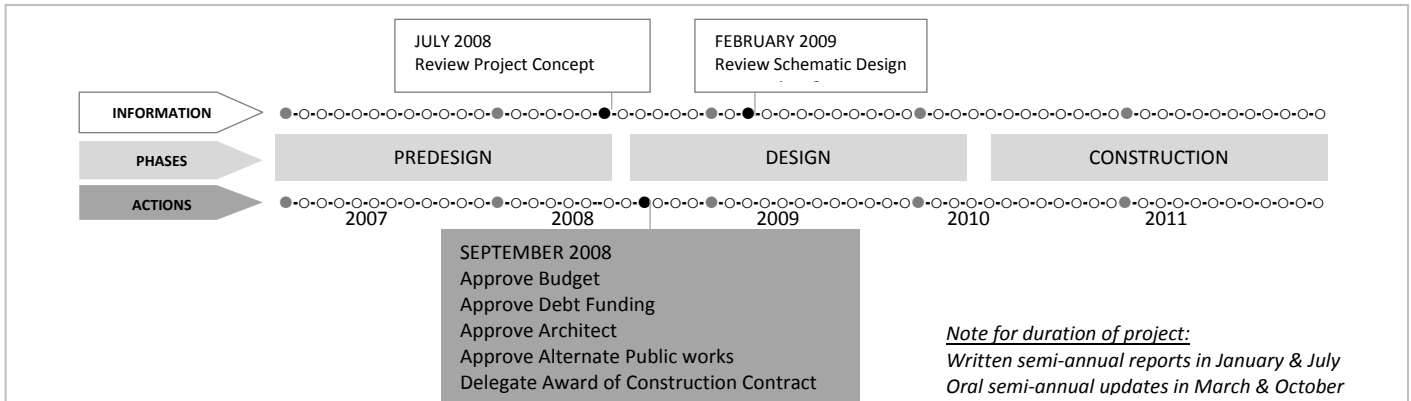




VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Magnuson Health Sciences Center J-1/J-2 Microbiology Renovation – Review Project Concept



INFORMATION

This briefing will provide an introduction to the Magnuson Health Sciences Center (MHSC) J-1/J-2 Microbiology Renovation project. The briefing will include the project need, the preliminary program, and the tentative budget, funding plan and schedule. The administration anticipates bringing a number of action items to the Board of Regents at its September 2008 meeting.

BACKGROUND

The School of Medicine (SoM) Department of Microbiology occupies approximately 32,000 GSF on the first and second floors of the MHSC J Wing. The Microbiology space consists of wet and dry labs, teaching, support and administrative space. There has been no significant renovation or upgrade to this space since it was originally built in 1963. Consequently, the facility is inadequate to support the current research and teaching needs of the department.

In 2005, a Predesign study was conducted by the architectural firm of Perkins & Will to develop a scope and budget for a potential project to completely renovate J-1 and J-2. At that time, the project funding plan relied partially on a grant from NIH, which was not funded. The project was deferred until alternative funding sources were identified. In 2007, the central administration and SoM developed a conceptual funding plan under which a project might be funded by a combination of central and SoM funds and debt financing. The Capital Projects Office (CPO) was authorized to re-hire Perkins & Will to update the Predesign study to ensure that the program meets the needs of emerging science and the new department

## VII. STANDING COMMITTEES

### B. Finance, Audit and Facilities Committee

#### Magnuson Health Sciences Center J-1/J-2 Microbiology Renovation – Review Project Concept (continued p. 2)

Chair. Perkins & Will was also tasked to investigate the condition of the J Wing infrastructure to ensure that the project scope includes sufficient repairs or upgrades to the building systems necessary to support the renovated space. The Predesign update was completed in June 2008.

The proposed project scope will demolish all existing interior construction and equipment on J-1 and J-2, and replace it with modern wet labs, dry labs, teaching and support space, along with new mechanical, electrical and lab gas distribution systems on the floors. Some upgrades to the central building air supply and exhaust systems will also be included. Based on the Predesign results, the tentative estimate for the project is approximately \$23.5 million. The conceptual funding plan calls for contributions of \$4 million each from SoM and the central administration, with the remaining \$15.5 million financed through the issuance of UW General Revenue Bonds. The total project duration for design and construction is anticipated to be approximately 36-42 months.

CPO is currently conducting a process for selection of the project Architect. The administration expects to request actions at the September 2008 BOR meeting to:

- Adopt the project budget.
- Review the funding plan and approve debt funding.
- Select the project Architect.
- Approve the use of GC/CM Alternative Public Works.
- Delegate authority to award the construction contract.

# Facilities and Administrative (F&A) Cost Recovery



**Board of Regents**  
**July 17, 2008**

# What are the costs of research?

- Examples of direct costs:
  - Salaries and benefits for PIs and research staff
  - Lab supplies
  - Subcontracts with other universities
  - Research equipment
- Examples of facilities and administrative (F&A) costs:
  - Building maintenance and utilities (water, electric, gas)
  - Other operations costs (security, grounds maintenance)
  - Libraries
  - Administrative costs (central, college, departmental)

# How are F&A rates calculated?

- Calculation is a ratio of:

F&A (indirect) costs allocated to Organized Research  
divided by  
Organized Research Modified Total Direct Costs

- Same ratio is used for these F&A rates:
  - On-campus organized research
  - Off-campus organized research/training
  - On-campus training
  - Applied Physics Lab
  - Regional Primate Center
  - South Lake Union

# Why is F&A recovery important?

F&A recovery supports the cost of carrying out research. It is an important source of reimbursement to the University.

For FY 2007:

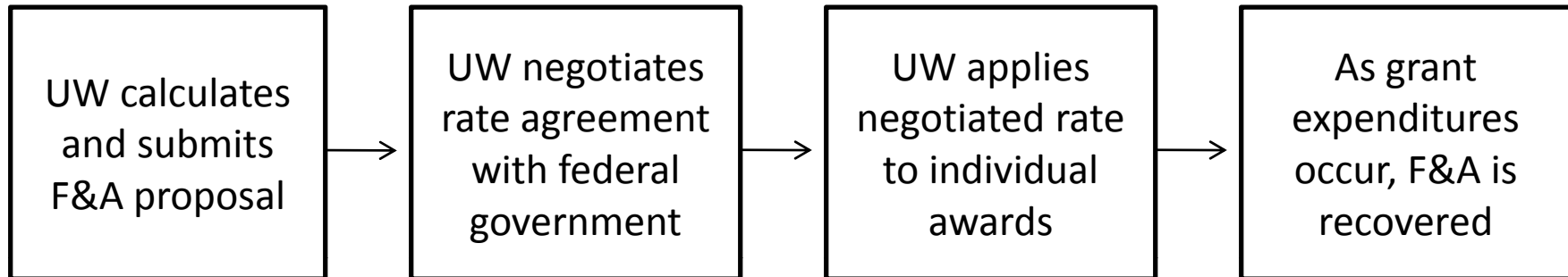
- Awarded grants and contracts exceeded \$1 billion
- Direct expenditures from grants and contracts were \$827 million
- F & A reimbursement from grants and contracts was \$189 million (~23%)



# What are the UW's F&A rates?

<b>Location &amp; Award Type</b>	<b>1999-2002</b>	<b>2002-2004</b>	<b>2005-2007</b>	<b>2007-2009</b>
On Campus Research	52.0	51.6	55.5	56.0
Off campus Research & Training	26.0	26.0	26.0	26.0
On Campus Training	55.0	55.0	58.0	58.0
Applied Physics Lab	17.0	17.0	17.0	17.0
Primate Center (Core Grant)	30.0	30.0	44.0	44.0
Primate Center (Non-Core)	60.0	60.0	75.0	75.0
South Lake Union	n/a	n/a	66.0	66.0
Research Vessel Operations	25.0	25.0	25.0	25.0

# How are F&A rates established?



## Rates are:

- Calculated in accordance with federal cost principles (OMB Circular A-21)
- Negotiated with Department of Health and Human Services Division of Cost Allocation
- Typically re-negotiated every 4-6 years

# What is the difference between the UW's calculated and negotiated rate?

Rate Components	On-campus Rate
<u>Facilities Components</u>	
Buildings	5.52
Interest	1.81
Equipment Depreciation	3.61
Operations and Maintenance	19.3
Libraries	1.87
	<b>32.11</b>
<u>Administrative Components*</u>	
General Administration	7.96
Departmental Administration	20.3
Sponsored Project Administration	2.37
	<b>30.63</b>
Utility Cost Adjustment	1.3
<u>Calculated Rate</u>	<b>64.04</b>
Less Reduction to 26% Admin Cap	-4.63
<u>Proposed Rate</u>	<b>59.41</b>
Projected Capital	5.02
<u>Proposed Rate with Projected Capital</u>	<b>64.43</b>
<u>Negotiated Rate</u>	<b>55.5/56</b>
<u>Provisional Rate (prior to last negotiation)</u>	<b>51.6</b>

#### Footnotes:

- Administrative rates are capped at 26%, per A-21, Section G8.

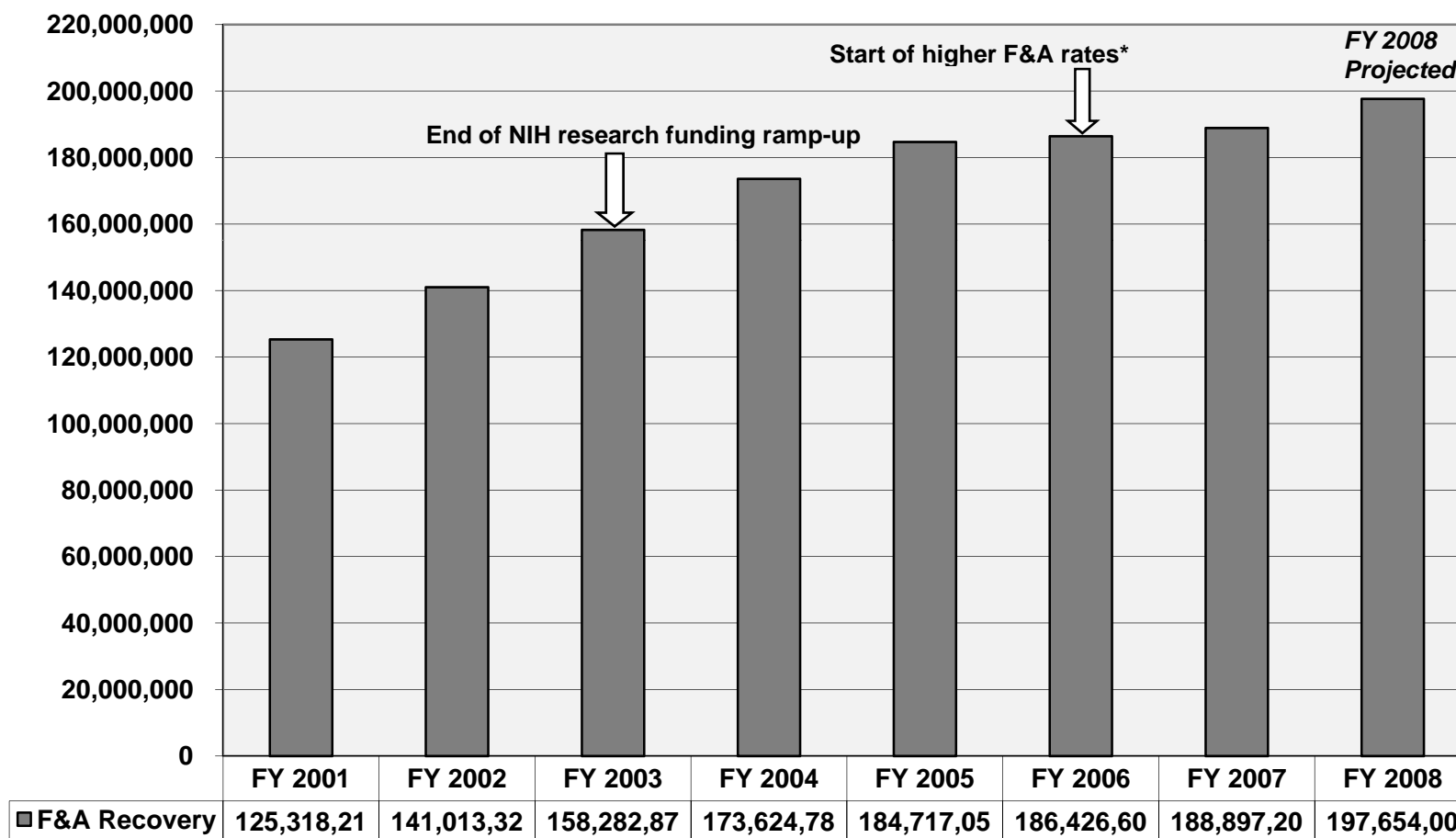
NOTE: Base Year 2003

# What are our peers' rates?

<b>'08-09 for Global Challenge State Peer Institutions</b>	<b>Rate</b>
University of California, Davis	52.0%
University of California, Irvine	52.5%
University of California, Los Angeles	54.0%
University of California, San Diego	54.5%
University of Colorado, Boulder	51.5%
University of Colorado, Denver with Health Sciences	54.0%
University of Connecticut	52.0%
University of Maryland, College Park	50.0%
University of Maryland, Baltimore	50.0%
University of Massachusetts, Amherst	57.0%
University of Massachusetts, Worcester	63.5%
Rutgers University	54.5%
University of Medicine & Dentistry of New Jersey	56.0%
University of Virginia	51.5%

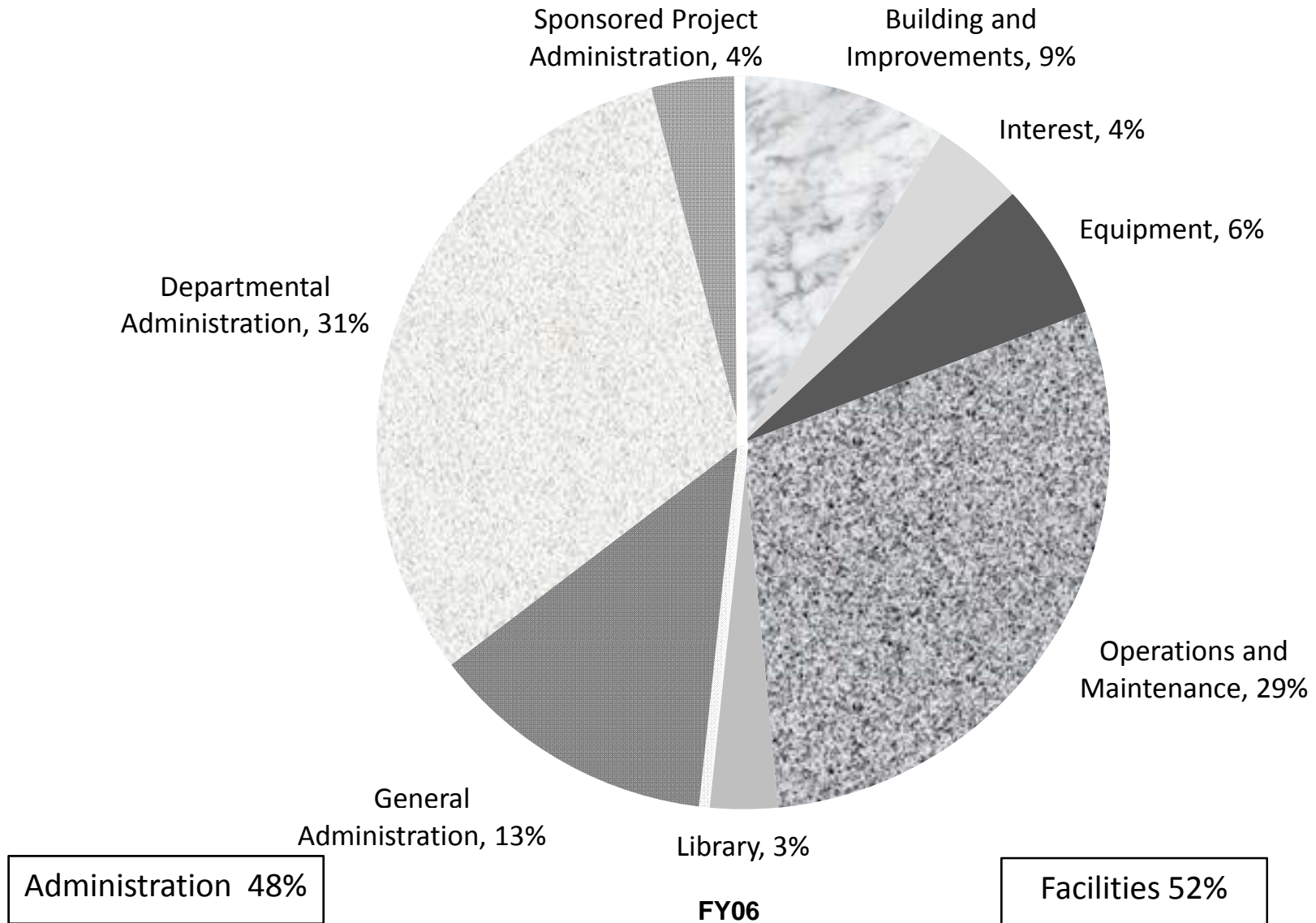
# What is our trend in F&A recovery?

F&A Recovery  
Fiscal Years 2001 through 2008



\*New F&A rates are applied when a new grant is awarded or existing grants go through a competitive renewal. Because many NSF and NIH grants are awarded for three, four or five year time periods, it can take up to five years for changes in F&A rates to fully phase in on individual awards.

# How do we spend our F&A recovery?



# How much centrally paid debt service is supported by F&A recovery?

<b>Debt Service Supported by F&amp;A Recovery</b>		
<b>Debt Service Paid Centrally</b>	<b>FY 2008</b>	<b>FY 2009</b>
K-Wing of Health Sciences*	4,579,000	4,593,000
Roosevelt 1 (4225 Roosevelt)	2,000,000	2,071,000
Harborview Research and Training	1,540,000	1,538,000
Oceanography and Fisheries Sciences Buildings	2,273,000	2,270,000
Benjamin Hall Building	3,334,000	3,800,000
Foege Building	3,215,000	3,481,000
Animal facilities upgrades**	0	1,375,000
Research portion of UW Tower Data Center	299,826	838,051
<b>Total:</b>	<b>17,240,826</b>	<b>19,966,051</b>

\* A portion (approximately \$1.2 million/year) of the debt service for K-Wing of Health Sciences is paid for by the School of Medicine from sources other than F&A recovery.

\*\* Estimate based on project cash flows.

# How much college/unit debt service is supported by F&A recovery?

Debt Service Paid by Colleges/Units	FY 2008	FY 2009
Debt Service Paid by Health Sciences Administration		
Primate Center Western Avenue Improvements*	707,000	709,000
* This debt service is paid from the Primate Center "A" rate F&A recovery.		
Debt Service Paid by the School of Medicine		
Brotman Building	2,822,000	2,827,000
South Lake Union 2**	0	11,154,000
<b>Total:</b>	<b>2,822,000</b>	<b>13,981,000</b>

\*\* South Lake Union 2 complex includes both a 188,000 sq ft research building and a 97,000 sq ft administrative building. The debt service figure listed includes debt associated with both of these buildings. F&A recoveries support debt service payments associated with the research building part of South Lake Union 2. Almost all of the tenants in the office building part of South Lake Union 2 are re-locating from space the School of Medicine has been leasing. Resources that had been used for lease payments will instead be used to pay for occupancy costs associated with the office portion of South Lake Union 2.



# UW F&A Contacts

- Susan D. Camber, Associate Vice President, Financial Management
- Gary Quarfoth, Associate Vice Provost, Office of Planning and Budgeting
- Mary Lidstrom, Vice Provost for Research, Office of Research

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

in joint session with the

B. Finance, Audit and Facilities Committee

**ICA Compliance Update**

Presentation at Board Meeting



# UW Department of Intercollegiate Athletics

## NCAA Compliance Update

UW Board of Regents  
Finance, Audit & Facilities Committee

July 17, 2008

# Presentation Overview

- I. NCAA Major Infractions History
- II. Summary of Recent NCAA Violations
- III. UW Athletics Compliance Initiatives
  - A. Recent initiatives
  - B. Ongoing initiatives
  - C. Future initiatives
- IV. Discussion & Questions

# NCAA Major Infractions History

- July 17, 2003
  - NCAA Infractions Committee cites UW for major violations related to men's basketball recruiting.
- October 20, 2004
  - NCAA Infractions Committee cites UW for major violations related to gambling and recruiting.
- June 2005: NCAA approves UW's annual infractions report.
- June 2006: NCAA approves UW's annual infractions report.
- February 9, 2007
  - NCAA probation ends



# Summary of Violations

- Major infractions: None since 2004
- Secondary infractions: 76 cases since 2004
  - Recruiting 36
  - Eligibility 16
  - Benefits 10
  - Playing Seasons 6
  - Personnel 5
  - Amateurism 3

# Recent Initiatives

- Elevation of existing compliance staff position to "Director of Compliance" position
- New/Revised Policies
  - Grievance Policy
  - Gambling Policy
  - Football Summer Conditioning Policy
  - Student-Athlete Employment Policy
  - Financial Aid Appeal Policy
  - Recruiting Activity Policy & Procedures
- New recruiting phone call audit system
- Electronic card-readers for complimentary admissions
- Student-athlete awards approval process
- Greater oversight and day-to-day involvement by Office of Admissions and Office of Financial Aid in athletics admissions and financial aid processes

# Important Ongoing Initiatives

- "Compliance Cup" competition
  - Impacts each coach's annual performance review and bonus compensation
- Monthly "Compliance Team" meetings
- Regular audits by UW Department of Internal Audit
  - Recent audit found weaknesses in areas of student-athlete employment and camps & clinics
- Mandatory monthly compliance meetings for all coaches
- Two mandatory compliance/rules education meetings for all student-athletes each year
- Meetings w/ external booster groups



# Future Initiatives & Projects

- Develop new employee orientation program
- Improve booster education
- Create a sports agent education program
- Improve monitoring of camps and clinics
- Enhance UW compliance website
- Develop customized compliance modules for SAMS database
- Conduct compliance risk assessment

# The Constant Compliance Challenge

- Keep complacency at bay
- Maintain positive compliance culture

*Questions?*

**VII. STANDING COMMITTEES****B. Finance, Audit and Facilities Committee**Seattle Cancer Care Alliance – Capital Plan and RequirementsINTRODUCTION:

The UW Board of Regents took action in May 1998 to approve UW's membership in the Seattle Cancer Care Alliance (SCCA). The Articles of Incorporation of the SCCA provide for capital calls on members UW Medicine, Fred Hutchinson Cancer Research Center, and Children's Hospital and Regional Medical Center.

The five-year (FY 2009-2013) capital plan requires a capital call of \$23,600,000 to ensure that all elements of the plan, including facilities expansion and the proton beam facility, can move forward. The capital call will be shared equally among the three members, with each providing \$7.9 million. The capital will be provided over a six year period beginning in FY 2009. UW Medicine will provide \$3.5 million in the form of support for UW School of Medicine faculty recruitment costs. Thus, the actual capital transferred to the SCCA from UW Medicine will be \$4.4 million over the six year period.

BACKGROUND:

The SCCA's five-year capital plan for FY 2009-2013 projects capital requirements of \$231 million. The plan includes the following elements:

- \$78 million for expansion of outpatient services at the SCCA;
- \$85 million for routine capital replacement and IT systems;
- \$19 million for family housing; and
- \$49 million equity investment in the proton beam facility (inclusive of the proposed capital call).

The SCCA equity will require a capital call on members of \$23,600,000. This capital call is required to meet the comprehensive 5-year capital plan of the SCCA. UW Medicine has a vital interest in ensuring that all aspects of the capital plan are implemented. Oncology is the largest service line at the UW Medical Center, representing 20% of the total revenue and 55% of net income at the Medical Center. As the "front door" for the UW Medicine oncology program, the SCCA is a key factor in the continued success of UW Medicine.

## VII. STANDING COMMITTEES

### B. Finance, Audit and Facilities Committee

#### Seattle Cancer Care Alliance – Capital Plan and Requirements (continued p. 2)

#### STRUCTURE:

The proton beam facility would be developed and maintained by a subsidiary nonprofit corporation of the SCCA. The SCCA would operate the proton beam facility and the professional services (physicians, physicists, etc.) would be provided by UW School of Medicine faculty. The SCCA would make lease payments to the proton beam subsidiary, and the SCCA and UW Physicians would bill and collect for the professional and facility charges.

The following table summarizes the sources and uses of capital to implement the proton beam facility.

<b>Sources</b>		<b>Uses</b>	
Senior debt	\$155,000,000	Building	\$90,000,000
Subordinate debt	50,000,000	Proton equipment	77,000,000
Working capital loans	5,000,000	Other equipment	12,000,000
SCCA equity	49,000,000	Land	12,000,000
Land	12,000,000	Other/contingency	4,000,000
Federal funding	2,000,000	Financing, working capital, capitalized interest	78,000,000
<b>Total, sources</b>	<b>\$273,000,000</b>	<b>Total, uses</b>	<b>\$273,000,000</b>

The senior debt will be issued through the Washington Health Care Facilities Authority and will be underwritten by Merrill Lynch. The subordinate debt will be purchased by Merrill Lynch and then 50% of the subordinate debt will be purchased or guaranteed by Hitachi, the provider of the proton beam equipment. The senior and subordinate debt will be non-recourse to the SCCA and its members.

The feasibility work on the proton beam program is scheduled to conclude in late 2008 or early 2009, however, the SCCA Board has authorized the SCCA management to move forward with the necessary steps that are prerequisites to implementing the plan. These prerequisites include firming up the commitments to provide the \$23,600,000 equity capital required beyond the \$25,000,000 equity capital currently available from SCCA cash reserves.

## VII. STANDING COMMITTEES

### B. Finance, Audit and Facilities Committee

#### Seattle Cancer Care Alliance – Capital Plan and Requirements (continued p. 3)

#### UW MEDICINE’S CAPITAL SUPPORT:

The three members of the SCCA – UW Medicine, Children’s Hospital and Regional Medical Center, and the Fred Hutchinson Cancer Research Center – will each provide \$7.9 million to meet the \$23,600,000 requirement. The capital will be provided over a 6 year period according to the following schedule:

FY 2009	\$3,600,000
FY 2010	500,000
FY 2011	1,000,000
FY 2012	3,000,000
FY 2013	10,500,000
FY 2014	5,000,000
<b>Total</b>	<b>\$23,600,000</b>

The capital support is provided with the following understandings:

- \$3.5 million of the UW Medicine capital support will be in the form of faculty recruitment costs;
- Any gifts received by the members for the proton beam program will be applied to the \$23,600,000 requirement on an equal basis; and
- Any cash from operations in excess of budgeted expectations at the SCCA will be used to reduce the capital requirement on members.

These understandings will be documented in agreements between and among the members and the SCCA.

The estimated distributions to the SCCA, SCCA members, and other equity holders will begin in FY 2017. The projected rate of return over the 2009 – 2023 period is 12.9%, assuming a 16% discount factor and no terminal value.

**VII. STANDING COMMITTEES****A. Academic and Student Affairs Committee**

in joint session with the

**B. Finance, Audit and Facilities Committee****Action Item****2009-11 State Operating and Capital Budget Requests****RECOMMENDED ACTIONS**

It is the recommendation of the Administration, the Academic and Student Affairs Committee, and the Finance, Audit and Facilities Committee that the Board of Regents approve the 2009-11 capital and operating budget requests to the state as they are presented in the following text and tables. In this action item, the Board of Regents:

- Approves the 2009-11 state operating budget request;
- Approves the 2009-11 state capital budget request;
- Endorses the framework for certain policy initiatives that the UW may seek during the 2009 legislative session; and
- Authorizes the Office of Planning and Budgeting to further develop the budget and descriptions of selected 2009-11 budget requests and to prepare and submit other supporting materials that are required by the Office of Financial Management.

## **Previous Discussion of the 2009-11 State Operating and Capital Budget Requests**

The University of Washington 2009-11 state operating and capital budget requests were presented as an information item to a joint session of the Academic and Student Affairs Committee and the Finance, Audit and Facilities Committee at the June 2008 meeting of the Board of Regents.

Several of the requests have changed from those in the June presentation. Major changes are as follows:

- Reduced the number of new enrollments requested for the Seattle campus by 100 each year and requested additional funding to convert 100 general enrollments each year to enrollments that support areas of critical state need.
- Reduced the funding for Life Sciences Research by \$500,000 and requested the funding for FY2011 only.
- Added a request for \$2,000,000 for the biennium to expand the analytical work of the Institute for Health Metrics to include interventions that will improve domestic health measures. This request is similar to one that was approved by the Board of Regents in the UW's 2008 supplemental budget request to the state.
- Increased the amount of funding for Support for Training Health Professionals by \$3,000,000 and added two items under this request. The new request is for \$9,000,000 for the biennium. Of this amount, \$3,000,000 is to support training health professionals at University of Washington Medical Center and \$6,000,000 is to train other health professionals, including dentistry, nursing, pharmacy, public health, and social work.
- Added a request for a total of \$1,500,000 for the biennium for operations and maintenance support for the Business School Building – Phase 1.

Major wording and numerical changes are highlighted throughout the document.



## Vision/Goals/Objectives

The 2009-11 state operating and capital budget requests reflect the University's vision statement and core values:

*The University of Washington educates a diverse student body to become responsible global citizens and future leaders through a challenging learning environment informed by cutting-edge scholarship.*

***Discovery is at the heart of our university.***

*We discover timely solutions to the world's most complex problems and enrich the lives of people throughout our community, the state of Washington, the nation, and the world.*

The core values are:

*Integrity ~ Diversity ~ Excellence ~ Collaboration ~ Innovation ~ Respect*

In keeping with the vision and values, the 2009-11 budget requests are based on three overriding themes:

- maintaining the UW standard of excellence;
- being world leaders in research; and
- being a public university.

More specific budget goals that are addressed in the proposed requests are:

- attracting and retaining an outstanding and diverse faculty and staff;
- attracting a diverse and excellent student body and providing a rich learning experience;
- investing in new leadership of both academic and administrative units;
- expanding the reach of the UW across the globe;
- strengthening interdisciplinary research and scholarship to tackle "grand challenge" problems that will benefit society and stimulate economic development; and
- insuring the highest level of integrity, compliance and stewardship.

## **Background**

### ▪ **State Fiscal Outlook for 2009-11**

The state has entered a period of fiscal uncertainty. The February 2008 revenue forecast was reduced by more than \$400 million and the most recent forecast of General Fund revenues was adjusted downward in June by \$167 million. The projected gap between estimated expenditures and forecasted revenues for the 2009-11 biennium is expected to be more than \$2 billion according to an analysis by the staff of the Senate Ways and Means committee. State support for new initiatives in 2009-11 is expected to be minimal.

### • **Global Challenge State per Student Funding Gap**

The University's operating budget request is intended to advance the goal of closing our per student funding gap to the 60<sup>th</sup> percentile of our public research university peer institutions in the global challenge states as required under the Washington LEARNS legislation. By October 1 of this year, the Office of Financial Management will provide its official calculations of our current per student funding gap and will develop a required funding trajectory to close the gap within at least the next eight years.

### ▪ **Performance Agreements**

Beginning in 2008, EHB 2641 creates a pilot-program to test performance agreements in the state's baccalaureate institutions over a six-year period. The purpose of these agreements is to develop and communicate a six-year plan developed jointly by state policymakers and an institution of higher education that aligns goals, priorities, desired outcomes, flexibility, institutional mission, accountability, and levels of resources. These agreements will incorporate the per student funding targets noted above, long-term capital needs and the enactment of certain statutory enabling legislation for the university while specifying the specific outcomes required by the state (i.e., number of high demand degrees, percent of low income students; etc.) A draft performance agreement will be presented to the Regents at the July meeting. Discussions and negotiations with the State will commence in September and another information item is planned for the September Regents meeting. It is anticipated that a final performance agreement would be completed with the State by November.

### ▪ **I-960 process**

As required by Initiative 960 (approved by the voters in November 2007), all state agency fees increases (including tuition) must receive legislative approval prior to implementation. The Office of Financial Management has asked agencies to include any fees expected to be initiated or increased during the 2009-11 biennium to be justified as part of the 2009-11 budget submittal. For the UW, this includes all categories of tuition and related fees not yet adopted by the Board of Regents for the 2009-10 and 2010-11 academic years, in addition to a variety of other student and course fees.

## **2009-11 State Operating Budget Request**

The University of Washington's 2009-11 state operating and capital budget requests are due to the Office of Financial Management on September 2, 2008. Funding requests proposed by the administration for inclusion in the UW's 2009-11 state operating budget request are presented in the sections that follow this introduction. As required by the State Office of Financial Management, the proposed budget requests are listed in proposed priority order. For the 2009-11 state operating budget request, the administration does not intend to present to the Board the actual text that will be submitted to the Office of Financial Management for each request. Instead, the administration is asking the Board to approve the items that will be included in the policy request and the amounts that will be requested.

The University is requesting a total of \$119,900,000 in state operating funds for new proposals in the 2009-11 state budget. A summary of the UW's 2009-11 operating budget request is shown in the table below:

**Summary of UW 2009-11 State Operating Budget Request**

<b>Fiscal Year FY 2007-09 State General Fund Base:</b>	<b>\$ 792,417,000</b>
	<b>Biennial Increase in General Fund Appropriation</b>
<b><u>UW 2009-11 State Operating Budget Request:</u></b>	
Competitive Compensation	\$ 60,000,000
College of the Environment	\$ 6,000,000
<b>Increased Access and Degrees in Areas of Critical State Need</b>	<b>\$ 22,400,000</b>
Admissions/Recruitment/Retention of Students	\$ 2,600,000
Improving the Undergraduate Learning Environment	\$ 2,200,000
<b>Investments in Research</b>	<b>\$ 14,500,000</b>
Improve Campus Safety/Student Care	\$ 1,200,000
Expand Childcare for the University Community	\$ 500,000
<b>Support for Training Health Professionals</b>	<b>\$ 9,000,000</b>
<b>Operations and Maintenance for Business School Phase 1</b>	<b>\$ 1,500,000</b>
<b>Total 2009-11 Funding for New Requests:</b>	<b>\$ 119,900,000</b>
<b>2009-11 General Fund Base with New Requests:</b>	<b>\$ 912,317,000</b>
<b>Percent Increase over 2007-09 Level:</b>	<b>15%</b>

Individual requests are summarized in the sections that follow:

**Competitive Compensation Request**

The UW is requesting \$20,000,000 in state general fund support in FY2010 and \$40,000,000 in FY 2011 to provide an average salary increase of 5 percent for all faculty and professional staff in both fiscal years. Salary increases for represented classified staff will be negotiated through collective bargaining and the negotiated agreements will be approved by the Board of Regents and submitted to the State for approval as part of the 2009-11 budget process.

In order to attract and retain the best faculty and staff, the university must pay competitive salaries for all classes of employees. Salaries continue to lag behind relevant comparison groups. If the UW is to remain competitive with other institutions, progress must be made at closing the salary gaps that currently exist.

**2009-11 State General Fund Support Request**

<b>FY 2010</b>	<b>FY 2011</b>	<b>2009-11 Biennium</b>
\$20,000,000	\$40,000,000	\$60,000,000

**College of the Environment**

At the June Regent’s meeting, the Board of Regents approved the administration’s proposal to create a College of the Environment. The College will bring together seven existing academic units to form the foundation of one of the strongest UW colleges with respect to human capital, research, and education capacities. In addition, the new College will have an interdisciplinary institute that takes advantage of the collective strengths of the academic units within the college to engage in collaborative and innovative approaches to interdisciplinary research and development.

The College of the Environment will be funded and sustained from federal, state, university and private sources. The University is requesting a total of \$6,000,000 in new state funding in the 2009-11 biennium to enhance the research and development capacity of the College, develop the programming of the central Institute, and increase student opportunities to become involved in significant, real-world research and application problems.

**2009-11 State General Fund Support Request**

<b>FY 2010</b>	<b>FY 2011</b>	<b>2009-11 Biennium</b>
\$2,000,000	\$4,000,000	\$6,000,000

**Increased Access and Degrees in Areas of Critical State Need**

The University requests funding for general enrollment, as well as enrollment in fields of study **in areas of critical state need**. In addition, funds to train K12 teachers are requested to help address shortages in math and science.

Enrollments

Proposed new student enrollments by campus and type are shown in the table on the following page. **In addition, the University is requesting additional funding to convert 100 general enrollments each year to enrollments that will address areas of critical state need.** The University is requesting a total of **\$22,400,000** in state general fund support for enrollment growth on all three campuses.

**2009-11 State General Fund Support Request**

<b>FY 2010</b>	<b>FY 2011</b>	<b>2009-11 Biennium</b>
\$7,800,000	\$14,600,000	\$22,400,000

The UW is requesting a total of 465 additional student FTEs in each year of the 2009-11 biennium. The undergraduate expansion at UW/Seattle will be in areas of critical state need. The requested new enrollments at UW/Bothell and UW/Tacoma reflect approximately 5 percent growth each year at UW/Bothell and 9 percent growth each year at UW/Tacoma. Most of the growth is at the undergraduate level in general enrollments, plus some targeted increases in areas of critical state need and graduate FTE.

**2009-11 Biennium State Operating Budget Request  
New Enrollments**

<b>UW Campus</b>	<b>FY 2009 Student FTE</b>	<b>New FTE FY 2010</b>	<b>New FTE FY 2011</b>	<b>FY 2011 Student FTE</b>
<u>UW/Seattle</u>				
Undergraduate - Areas of Critical State Need		100	100	
Undergraduate - Convert 100 General FTE to 100 Areas of Critical State Need FTE each year		-	-	
Graduate - Areas of Critical State Need		50	50	
<b>Total UW/Seattle:</b>	<b>34,067</b>	<b>150</b>	<b>150</b>	<b>34,367</b>
<u>UW/Bothell</u>				
Undergraduate - General		65	65	
Undergraduate - Areas of Critical State Need		10	10	
Graduate		20	20	
<b>Total UW/Bothell:</b>	<b>2,045</b>	<b>95</b>	<b>95</b>	<b>2,235</b>
<u>UW/Tacoma</u>				
Undergraduate - General		180	180	
Undergraduate - Areas of Critical State Need		20	20	
Graduate		20	20	
<b>Total UW/Tacoma:</b>	<b>2,414</b>	<b>220</b>	<b>220</b>	<b>2,854</b>
<b>All Campus Total:</b>	<b>38,526</b>	<b>465</b>	<b>465</b>	<b>39,456</b>

Address Critical Teacher Shortages

The UW is requesting a total of \$2,000,000 in state general fund support in the 2009-11 biennium to expand teacher education programs at the UW's three campuses. Under this proposal, the teacher education programs at the campuses will expand efforts to produce teachers trained in areas identified by the state as in need of high-quality, well-trained teachers, including math, science, special education, and ELL/bilingual/world languages. In

addition, the teaching programs at the three campuses will develop advanced training for beginning teachers through their first two years of practice.

**2009-11 State General Fund Support Request**

<b>FY 2010</b>	<b>FY 2011</b>	<b>2009-11 Biennium</b>
\$1,000,000	\$1,000,000	\$2,000,000

**Admissions/Recruitment/Retention of Students**

The UW is requesting a total of \$2,600,000 in the 2009-11 biennium for initiatives that will improve the recruitment and retention of students. Two budget requests related to this goal are summarized below:

- Earlier Freshman and Transfer Admission Decisions
- Recruitment of a Diverse Student Body

**Earlier Freshman and Transfer Admission Decisions**

A total of \$1,200,000 in state funding is requested in the 2009-11 biennium to accelerate undergraduate admissions processing. The University has been experiencing increasing needs in the areas of enrollment services. In 2008, admissions experienced a 12 percent increase in applications from prospective students. Increased funding would allow the Admissions Office to provide more timely notice of admission to prospective students.

**2009-11 State General Fund Support Request**

<b>FY 2010</b>	<b>FY 2011</b>	<b>2009-11 Biennium</b>
\$600,000	\$600,000	\$1,200,000

**Recruitment of a Diverse Student Body**

The UW is requesting \$1,400,000 in state support in the 2009-11 biennium to enhance recruitment and outreach efforts to undergraduate students. The request for state support will have a number of components that could include:

- Enhancing recruiting efforts within Washington state;
- Enhancing recruiting efforts related to transfer students;
- Expanding University publications and mailings to prospective students;
- Increasing the quality and quantity of campus visit programs.

**2009-11 State General Fund Support Request**

<b>FY 2010</b>	<b>FY 2011</b>	<b>2009-11 Biennium</b>
\$700,000	\$700,000	\$1,400,000

**Improving the Undergraduate Learning Environment**

Three budget requests related to improving the undergraduate learning environment are summarized below, including:

- Support to expand access to premier learning experiences;
- Further development/expansion of the Honors program;
- Development of learning environments beyond the classroom.

These three requests would add \$1,100,000 in state general fund support in both FY 2010 and FY 2011 -- for a total of \$2,200,000 for the biennium.

**Expand Access to Learning, Research, and International Experiences**

The UW is requesting a total of \$800,000 in state general fund support in the 2009-11 biennium to expand student access to enhanced learning experiences. Under this proposal, an even greater number of students will be provided opportunities for undergraduate research, internships and community-based learning. By providing a more diverse set of experiences, the University will strengthen the ability to attract a diverse and excellent student body and provide them with a rich learning experience.

**2009-11 State General Fund Support Request**

<b>FY 2010</b>	<b>FY 2011</b>	<b>2009-11 Biennium</b>
\$400,000	\$400,000	\$800,000

**Additional Support for the Honors Program**

The UW is requesting a total of \$400,000 in state general fund support in the 2009-11 biennium for further development and expansion of the Honors program.

**2009-11 State General Fund Support Request**

<b>FY 2010</b>	<b>FY 2011</b>	<b>2009-11 Biennium</b>
\$200,000	\$200,000	\$400,000



Living and Learning Communities

The UW is requesting a total of \$1,000,000 in state general fund support in the 2009-11 biennium to bring academic support services and academic courses into students' living communities. Components of the proposal may include:

- Seminars and workshops held in residence hall classrooms;
- Gathering spaces for group activities and academic tutoring;
- Advising and mentoring services.

**2009-11 State General Fund Support Request**

<b>FY 2010</b>	<b>FY 2011</b>	<b>2009-11 Biennium</b>
\$500,000	\$500,000	\$1,000,000

**State Investments in University Research**

Seven budget requests related to investing in cutting edge research are proposed:

- Support for Life Sciences Research
- Health Metrics and Evaluation – U.S. and Washington state
- NSF DataNet/Global Research Alliance for Digital Data
- eScience Initiative
- Sensor Networks/Environmental Science
- Clean Technology/Alternative Energy
- Safe Nanotechnology Initiative

These seven requests would add \$5,000,000 in state general fund support in FY 2010 and \$9,500,000 in FY 2011 -- for a total of \$14,500,000 for the biennium.

Life Sciences Research

Biomedical research conducted by the UW brings a significant amount of federal and private research funding to the University. This research activity has a positive economic impact on the state directly through the jobs it supports and indirectly through encouraging other organizations to conduct related research in this area. Biomedical facilities require considerable resources to operate. The State of Washington has been generous in providing a portion of the operations and maintenance support needed to operate biomedical research facilities, including operations and maintenance funds for the Bioengineering Building, the Genome Sciences Building, and the School of Medicine research facilities at South Lake Union. For the 2009-11 biennium, the University is requesting \$4,500,000 in FY2011 for additional operations and maintenance funding to support the operation of biomedical research facilities at South Lake Union 3.

**2009-11 State General Fund Support Request**

<b>FY 2010</b>	<b>FY 2011</b>	<b>2009-11 Biennium</b>
\$0	\$4,500,000	\$4,500,000

**Health Metrics and Evaluation – U.S. and Washington State**

The University is requesting a total of \$2,000,000 in state general fund support in the 2009-11 biennium to expand the analytical work of the Institute for Health Metrics to include interventions that will improve domestic health measures for the U.S. and Washington State.

**2009-11 State General Fund Support Request**

<b>FY 2010</b>	<b>FY 2011</b>	<b>2009-11 Biennium</b>
\$1,000,000	\$1,000,000	\$2,000,000

NSF DataNet/Global Research Alliance for Digital Data

The University is requesting a total of \$1,000,000 in state general fund support in the 2009-11 biennium to support the University Libraries proposal to the National Science Foundation to address large-scale data preservation. The libraries have submitted a proposal called Global Research Alliance for Digital Data (GRADD). GRADD will bring together universities, scientific data centers, non-profits, businesses, and local, state, and national government agencies to create a platform for data resources.

**2009-11 State General Fund Support Request**

<b>FY 2010</b>	<b>FY 2011</b>	<b>2009-11 Biennium</b>
\$500,000	\$500,000	\$1,000,000

eScience Initiative

In the 2008 state supplemental budget, the UW received \$1,000,000 to establish an eScience Institute. The Institute will help make the University a leader in advancing the technology, tools and consulting expertise to help scientists with data-related research problems. Additional funding of \$2,000,000 in the 2009-11 biennium is requested to further advance the efforts that are already underway by funding additional faculty members and core infrastructure.

**2009-11 State General Fund Support Request**

<b>FY 2010</b>	<b>FY 2011</b>	<b>2009-11 Biennium</b>
\$1,000,000	\$1,000,000	\$2,000,000

Sensor Networks/Environmental Science

The University is requesting a total of \$2,000,000 in state general fund support in the 2009-11 biennium to leverage current investments and expertise in eScience to the environmental sciences. This proposal will build upon UW research strengths in eScience, sensor chemistry, nanodevices, ecogenomics, and basic environmental sciences. It will also take advantage of work related to the NSF Regional Scaled Network, the NSF National Environmental Observatory Network, and the emerging College of the Environment.

**2009-11 State General Fund Support Request**

<b>FY 2010</b>	<b>FY 2011</b>	<b>2009-11 Biennium</b>
\$1,000,000	\$1,000,000	\$2,000,000

Clean Technology/Alternative Energy

The UW is requesting a total of \$2,000,000 in state general fund support in the 2009-11 biennium to create a broad interdisciplinary program in clean technology, with a focus on alternative energy. This proposal will build upon UW research strengths in fuel cells, solar cells, nanophotonics, nanodevices, and bioenergy.

**2009-11 State General Fund Support Request**

<b>FY 2010</b>	<b>FY 2011</b>	<b>2009-11 Biennium</b>
\$1,000,000	\$1,000,000	\$2,000,000

Safe Nanotechnology Initiative

The UW is requesting a total of \$1,000,000 in state general fund support in the 2009-11 biennium to establish a Safe Nanotechnology Initiative. Nanotechnology can transform many industries and has numerous applications in medicine, agriculture, and manufacturing, however little is known about the environmental, health, and safety risks of the technology. Under this proposal, the School of Public Health and the College of Engineering will launch a research initiative in the health risks of nanotechnology.

**2009-11 State General Fund Support Request**

<b>FY 2010</b>	<b>FY 2011</b>	<b>2009-11 Biennium</b>
\$500,000	\$500,000	\$1,000,000

**Expand Childcare for the University Community**

The UW is requesting \$500,000 in state general fund support in the 2009-11 biennium to provide students, faculty, and staff with enhanced child care options.

**2009-11 State General Fund Support Request**

<b>FY 2010</b>	<b>FY 2011</b>	<b>2009-11 Biennium</b>
\$250,000	\$250,000	\$500,000

**Improve Campus Safety/Student Care**

The University is requesting a total of \$1,200,000 to support efforts on all three campuses to prevent and respond to violent incidents, provide counseling and referral services to students, and provide other essential student support services.

**2009-11 State General Fund Support Request**

<b>FY 2010</b>	<b>FY 2011</b>	<b>2009-11 Biennium</b>
\$600,000	\$600,000	\$1,200,000

**Support for Training Health Sciences Professionals**

A portion of the training of various health professionals occurs at the UW Medical Center, Harborview Medical Center and other clinics operated by various health sciences schools. Because of the costs associated with training these professionals, as well as the benefits to the state and region, the state and University have historically provided some support for clinical operations, but that level of support has remained essentially flat for many years. The University requests an additional \$9,000,000 in state general fund support in the 2009-11 biennium for teaching these up-and-coming health professionals. Of this amount, \$6,000,000 is to support UW Medical Center and Harborview Medical Center and \$3,000,000 is for other clinical professional training programs at the UW including dentistry, nursing, pharmacy, public health, and social work.

**2009-11 State General Fund Support Request**

<b>FY 2010</b>	<b>FY 2011</b>	<b>2009-11 Biennium</b>
\$3,000,000	\$6,000,000	\$9,000,000

**Operations and Maintenance Funding – Business School Building Phase 1**

The UW is requesting a total of \$1,500,000 in state general fund support in the 2009-11 biennium for operations and maintenance funding for the Business School Building Phase 1

**2009-11 State General Fund Support Request**

<b>FY 2010</b>	<b>FY 2011</b>	<b>2009-11 Biennium</b>
\$0	\$1,500,000	\$1,500,000

## **Background for the Capital Budget Request**

Some elements of the UW's 2009-11 capital budget request must be submitted to the State Office of Financial Management prior to the September 2, 2008 budget submission deadline. In order to comply with selected legislatively imposed requirements, the University's prioritized capital projects list is due to OFM by August 15, 2008 (see below).

- **State capital funding in the current biennium**

In the 2007-09 biennium, the UW received \$146,900,000 in state capital funds – which was the highest level of state capital funding that the UW had received in many years.

- **OFM state capital prioritization process**

In the 2008 session, the legislature passed Engrossed Substitute House Bill 3329 which created a new capital prioritization process for public baccalaureate institutions. The previous capital project prioritization process by the Higher Education Coordinating Board (HECB) and public baccalaureate institutions was repealed and the new process is now led by the Office of Financial Management (OFM) and is as follows:

- OFM develops common definitions, a new scoring system based on the system employed by the community colleges and process for prioritizing institutions' capital projects.
- OFM consults with the legislative fiscal committees and the Joint Legislative Audit and Review Committee (JLARC) on the scoring system.
- Projects must be independently scored based on whether they are addressing:
  - (1) Enrollment growth;
  - (2) Replacement/renovation;
  - (3) Infrastructure;
  - (4) Research promoting economic growth and innovation; or
  - (5) Other categories determined by OFM and legislative fiscal committees; and
- On a pilot basis, OFM may choose one research institution to submit two prioritized lists of capital projects – one for branch campuses and one for all other campus projects.

This bill also directs OFM to conduct a higher education capital financing study that includes funding methods in other states (especially other Global Challenge States), alternatives for reducing facility construction and maintenance, and possible new revenue sources/financing mechanisms for capital construction.

- **State capital and performance agreements**

OFM's capital prioritization will be included in the draft performance agreement with each institution.

## 2009-11 State Capital Budget Request

The University is requesting a total of \$281,345,000 in state capital funds in the 2009-11 state budget. A summary of the UW's 2009-11 capital budget request is shown in the table below:

### University of Washington 2009-11 State Budget Request - Capital

#	Item	2009-11 State Funds	2009-11 Local Funds	2011-13 State Funds	2013-15 State Funds
<b>Currently in the Pipeline</b>					
1	UW Minor Works - Facilities Preservation	\$ -	\$ 34,000,000	\$ -	\$ -
2	UW Minor Works - Program	\$ -	\$ 5,000,000	\$ -	\$ -
3	Molecular Engineering Building Phase I - Construction	\$ 57,500,000		\$ -	\$ -
4	Denny Hall - Construction (Restore the Core)	\$ 52,915,000		\$ -	\$ -
5	Balmer Hall - Construction (Restore the Core)	\$ 42,800,000		\$ -	\$ -
6	Lewis Hall - Construction (Restore the Core)	\$ 23,130,000		\$ -	\$ -
7	UW Tacoma Phase III - Construction	\$ 54,000,000		\$ -	\$ -
8	UW Tacoma Phase IV - Pre-design	\$ 500,000		\$ 7,500,000	\$ 72,000,000
9	Miller Hall - Pre-design/Design (Restore the Core)	\$ 4,000,000		\$ 40,000,000	\$ -
10	Anderson Hall - Pre-design/Design (Restore the Core)	\$ 2,500,000		\$ 16,000,000	\$ -
11	UW Bothell Phase III			\$ 5,000,000	\$ 58,000,000
12	Hutchinson Hall (Restore the Core)	\$ -		\$ 3,000,000	\$ 32,400,000
13	Harris Hydraulics (Restore the Core)	\$ -		\$ 2,000,000	\$ 12,600,000
14	Eagleson Hall (Restore the Core)	\$ -		\$ 1,000,000	\$ 11,000,000
	<i>subtotal</i>	\$ 237,345,000	\$ 39,000,000	\$ 74,500,000	\$ 186,000,000
<b>Other</b>					
15	Major Infrastructure Upgrade Projects	\$ 8,000,000		\$ 8,000,000	\$ 8,000,000
16	House of Knowledge Longhouse - Pre-design/Design	\$ 1,500,000		\$ 1,500,000	
17	Biological & Environmental Sciences Building - Pre-design/Design	\$ 8,000,000		\$ 72,000,000	
18	Public Health/Global Health/Pharmacy Building	\$ 8,000,000		\$ 72,000,000	
19	Molecular Engineering - Phase II	\$ -		\$ 6,000,000	\$ 56,500,000
20	UW Tacoma Land Acquisition/Remediation	\$ 5,000,000		\$ 5,000,000	\$ 5,000,000
21	UW Technology - Data Network	\$ 5,000,000		\$ 5,000,000	\$ 5,000,000
22	Guthrie Hall Renovation	\$ 8,500,000		\$ -	\$ -
23	UW Seattle - Interdisciplinary Academic Building	\$ -		\$ 8,000,000	\$ 72,000,000
	<i>subtotal</i>	\$ 44,000,000	\$ -	\$ 177,500,000	\$ 146,500,000
	<b>TOTAL</b>	<b>\$ 281,345,000</b>	<b>\$ 39,000,000</b>	<b>\$ 252,000,000</b>	<b>\$ 332,500,000</b>

\*\*In the 2007-09 biennium, the UW received \$146.9 million in state capital funds (State Construction Account, Gardner-Evans, State Toxics & Education Construction Account)

UW Building Account Projection (Fund Code 064)	
Sources:	
Metro Tract	16,000,000
Building Fee	21,000,000
Timber Revenue	2,000,000
<b>TOTAL</b>	<b>39,000,000</b>

### “Pipeline” Projects – Currently in the Queue

The UW is requesting \$237,345,000 in state capital funds in the 2009-11 biennial budget for capital projects that are already in the pipeline. Five of these projects are currently in design – and the design work will be completed by the end of the 2007-09 biennium and all five projects are slated to go into construction in the 2009-11 biennium. These five projects are: Molecular

Engineering Phase 1; Denny Hall; Balmer Hall; Lewis Hall; and UW Tacoma Phase 3. Pre-design funding is requested in 2009-11 for UW Tacoma Phase 4. Pre-design/Design funding is requested in 2009-11 for the next two “Restore the Core” building renovations: Miller Hall and Anderson Hall.

### **Other Capital Project Initiatives**

The UW is requesting \$44,000,000 in state capital funds in the 2009-11 biennial budget for other capital project initiatives. Pre-design/design funding is requested in 2009-11 for the House of Knowledge Longhouse, a new **biological and environmental sciences building** and a new Public Health/Global Health/Pharmacy building. Funds to continue land acquisition and remediation activities at the UW Tacoma campus during 2009-11 are requested. Funding is also requested in 2009-11 for necessary infrastructure upgrades, ongoing upgrades to the UW data network and for renovation of a portion of Guthrie Hall.

## **2009-11 Policy Requests**

During the 2009 legislative session, the administration is seeking an endorsement from the Board of Regents to consider pursuit of several policy initiatives.

### **Reauthorization of Tuition Increase Authority – All Categories Other Than Undergraduate Residents**

In the 2003 legislative session, the Board of Regents was given local authority to set tuition for all tuition categories except undergraduate resident. This authority was granted for six years and the 2008-09 academic year is the last year in which undergraduate non-resident, graduate and professional tuition levels are established using this authority.

### **Public Funding for Renovation of Husky Stadium**

In the 2008 session, the University sought \$150 million in public financing for one half of the projected cost of renovating Husky Stadium. Although the requested funding was not received, the legislature has established a task force to look at various alternatives for spending tourism revenues generated in King County for professional stadiums and to make recommendations to the 2009 legislature. At the same time, the University is planning to issue a new request for proposal this July for the project. As new cost estimates are developed, the University is likely to resubmit a request for public financing for Husky Stadium in the 2009 session.

### **Capital Projects Alternatives**

The University faces perennial challenges to finance a myriad of capital projects in light of state capital budget funding constraints. The legislature has asked for a study by December 1, 2008 of how other states finance higher education construction with particular emphasis on reducing future needs for capital projects by employing distance learning and alternative classroom scheduling as well as using new revenue sources such as student fees and local tax revenues to pay for needed capital projects. It is possible that the University, in concert with other public higher education institutions, will seek statutory changes to either provide new ways of financing or procuring capital projects.

### **Protecting Certain Private Investment Information**

The University is considering asking the 2009 legislature for an exemption to the public disclosure act to improve its ability to maximize the performance of its private endowment funds. Many public endowments (including the UW's) are being screened out of the most desirable private funds because we cannot guarantee protection of proprietary information. This puts the University at a competitive disadvantage with other public research institutions in California, Michigan, Texas, Virginia, Florida, Colorado, Illinois, Massachusetts and Pennsylvania which have enacted laws to protect this information.



## **I-960 Process**

As required by the recently voter-approved Initiative 960, all state agency fees increases (including tuition) must receive legislative approval prior to implementation. The Office of Financial Management has asked agencies to include any fees expected to be initiated or increased during the 2009-11 biennium to be justified as part of the 2009-11 budget submittal. For the UW, this includes all categories of tuition and related fees not yet adopted by the Board of Regents for the 2009-10 and 2010-11 academic years, in addition to a variety of other student and course fees.

The table below shows the categories of fees and the increases that the UW submitted for approval by the legislature for the UW in 2009 and estimates of the increases the administration anticipates submitting to the Office of Financial Management for 2009-11. For most programs, the actual increases will be less than the maximum percent projected in the table below.

<b>Source (Fee)</b>	<b>FY 2009</b>	<b>Projected FY 2010</b>	<b>Projected FY 2011</b>
Tuition-Undergraduate Resident	Up to 7%	Up to 7%	Up to 7%
Tuition Categories Other than Undergraduate-Resident	Up to 15%	Up to 15%	Up to 15%
Course, Application, Special Program, and Other Miscellaneous Academic/Administrative Fees	Up to 10%	Up to 10%	Up to 10%
Services and Activities Fees-All Students	Up to 7%	Up to 7%	Up to 7%
Technology Fees-All Students	Up to 7%	Up to 7%	Up to 7%
Fee-Based Degree Programs	Up to 43%	Up to 20%	Up to 20%
Fee-Based Credit Certificate Programs	Up to 12%	Up to 20%	Up to 20%
Fee-Based Non-Credit Certificate Programs	Up to 20%	Up to 20%	Up to 20%
Fee-Based Credit Courses	Up to 25%	Up to 20%	Up to 20%
Fee-Based Non-Credit Courses	Up to 25%	Up to 20%	Up to 20%
Fee-Based Special Contract Programs	Up to 30%	Up to 20%	Up to 20%
Summer Quarter Tuition - Resident Undergraduates	Up to 7%	Up to 7%	Up to 7%
Summer Quarter Tuition - Non-Resident Undergraduates and Graduates	Up to 15%	Up to 15%	Up to 15%

\*Fee-based programs do not receive any state support.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

End of Campaign UW Report

Distributed at Board Meeting on July 17, 2008

NOTES AS OF JUNE 30, 2008

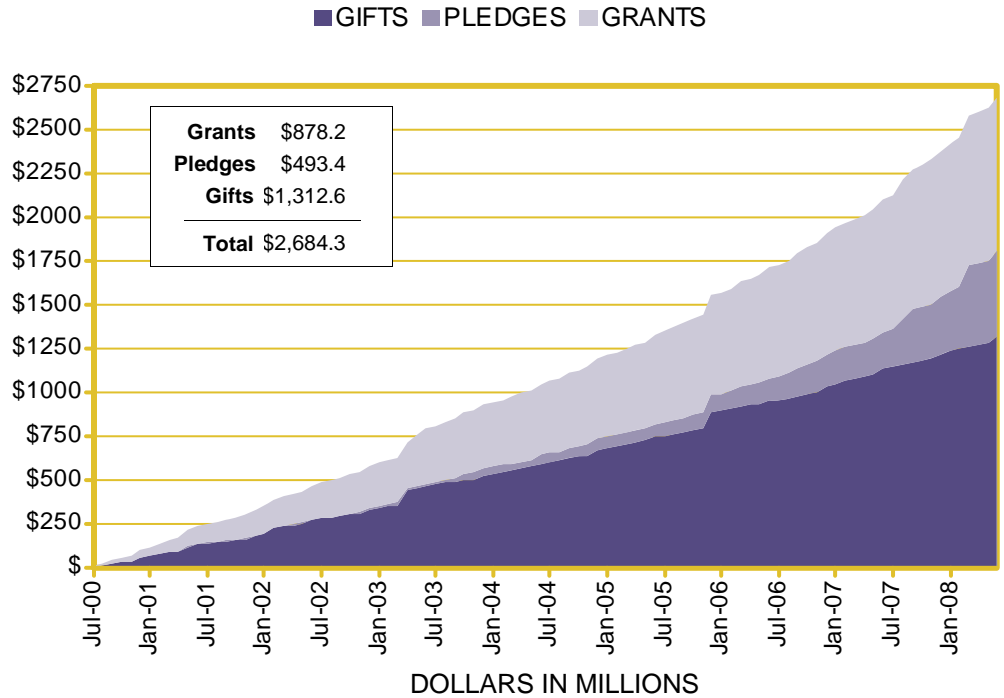
**DATA POINTS**

\$2,684,260,820 has been raised toward our campaign goal of \$2.5 billion.

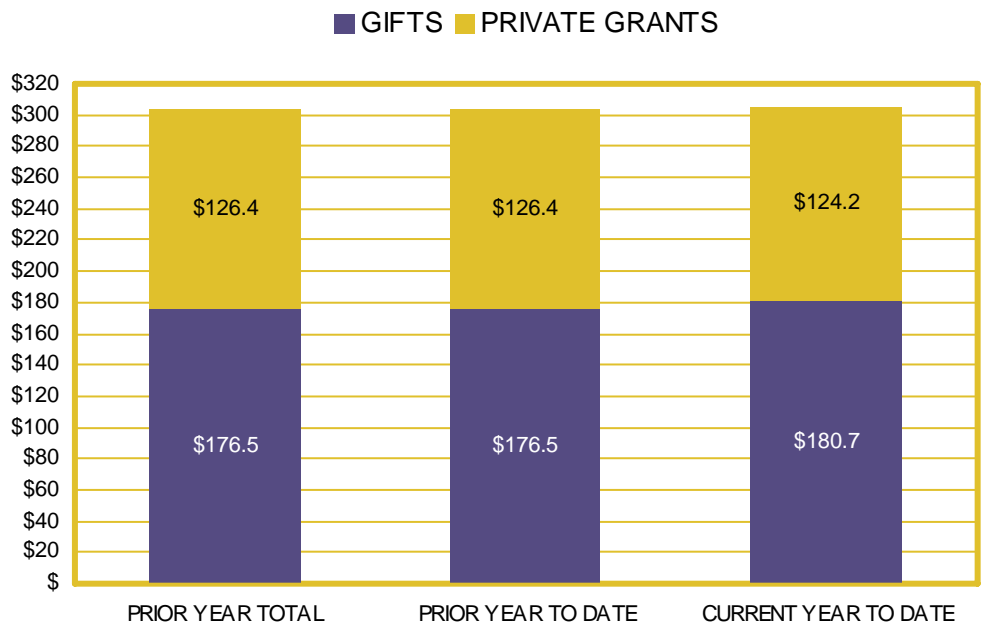
The UW received \$42.4 million in total private voluntary support (\$31.4 million in gifts and \$11.1 in grants) in June.

Areas including Architecture, Arts and Sciences, Broadcast Services, Dentistry, Forest Resources, Information School, Libraries, Ocean and Fisheries, UW Medicine and UW Tacoma are ahead of last year's year-to-date totals.

**FUNDRAISING PROGRESS SINCE JULY 1, 2000**



**CURRENT GIFT AND PRIVATE GRANT TOTALS**



## JUNE 2008 GIFTS AND IMPACT

Selected gifts representing private support for one of the University of Washington's key fundraising priorities -- student, faculty, program and facility support.

### **Stanley H. and Alta J. Barer - \$4,000,000 to the School of Law**

- This pledge will create the Barer Institute for Law and Global Human Services at the UW School of Law, a multidisciplinary initiative to improve human services around the world, including legal rights and access to basic healthcare. The institute will use binding legal compacts, treaties and foreign aid agreements to coordinate efforts to address social problems.
- An executive director will lead the institute in conjunction with a board featuring members from across the UW campus and broader community. The funding could support a broad range of work, including research, travel, studies and much more.
- Mr. Barer, a UW alumnus and chair of the UW Board of Regents, also served as campaign chair for the School of Law during *Campaign UW: Creating Futures*, the University's eight-year fundraising effort. Mrs. Barer is active in many non-profit organizations, including the Seattle chapter of Achievement Rewards for College Scientists (ARCS), which encourages students to pursue degrees in science, medicine and engineering.

### **King K. Holmes, M.D., Ph.D. and Dr. Virginia Gonzales- \$10,000 to the School of Public Health and Community Medicine**

- The King Holmes and Virginia Gonzales Endowed Fellowship for Global Health Students will assist medical and graduate students participating in the international education, education training and research activities in the Department of Global Health.
- Drs. Gonzales and Holmes are both UW professors. Dr. Gonzales is a lecturer at the Center for Health Education and Research focusing on STD/HIV/AIDS education; Dr. Holmes is chair of the Department of Global Health.
- The Department of Global Health was formally launched in 2007 and is a joint endeavor of the UW School of Medicine and the School of Public Health and Community Medicine. The Department aims to reduce health disparities that disproportionately affect poorer populations of the world, through teaching, research, and service programs.

### **Puyallup Tribal Office - \$126,000 to UW Tacoma and the School of Law**

- \$11,000 of this gift will support UW Tacoma's Oral History and Memory project to fund the collection and archiving of oral histories of the people of the Pacific Northwest. Examples of projects to be funded with the gift include collecting histories of Native American elders, interviewing Cambodian natives about the holocaust they survived, and interviewing South Sound people about the history of long shore workers in the region.
- \$115,000 supports the UW Native American Law Center, dedicated to promoting the development of Indian law and encouraging Native Americans to attend law school. The Center is a resource for Indian tribes, local governments and individuals in the Pacific Northwest, Alaska and across the country.

FINAL





# REPORT OF CONTRIBUTIONS

June 2008

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## **CAMPAIGN UW: CREATING FUTURES**

Report prepared by: Office of Development and Alumni Relations  
Advancement Services, Information Management

7/15/2008 02:08 PM

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\*All Campaign totals represented are from July 1, 2000 through the end of the preceding calendar month. Please note that grant revenue totals in Campaign Reports may contain clinical trials. Fundraising totals from all affiliated non-profit organizations are also included in UW Campaign totals.

The UW Campaign Executive Committee developed the counting policy for Campaign reports, based on Council for Advancement and Support of Education (CASE) campaign counting standards.

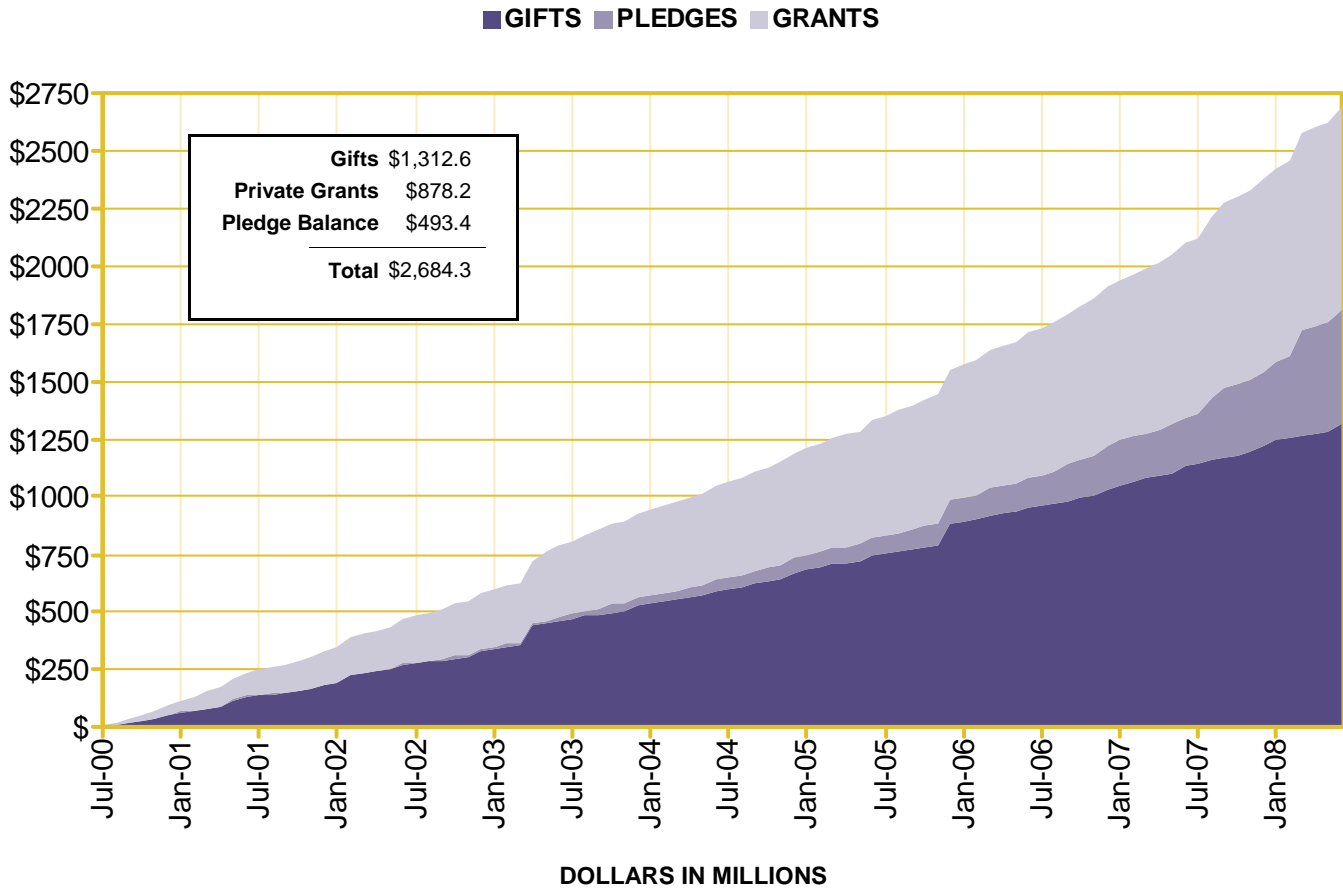
Annual reporting is July 1, 2007 through the end of the preceding calendar month.

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June 2008



CAMPAIGN PROGRESS SINCE JULY 1, 2000



Source: UW Office of Development

Summarizes Total Private Voluntary Support since July 1, 2000. Testamentary Commitments included in Pledge Balance total. All dollar totals in millions.

Job Number: 81637  
 June 2008

Fundraising Progress Since July 1, 2000



## CAMPAIGN PROGRESS BY GIVING LEVEL

<b>DOLLARS RAISED</b>							
<b>Gifts, Pledges &amp; Grants</b>							
Giving Level *	Alumni	Non Alumni	Family Fndns.	Corporations	Foundations	Other Orgs.	Total
\$100M+	\$0	\$35,220	\$0	\$0	\$419,073,685	\$0	\$419,108,905
\$50M - \$99,999,999	\$0	\$0	\$0	\$0	\$0	\$0	\$0
\$10M - \$49,999,999	\$62,502,926	\$87,619,216	\$74,037,500	\$117,160,823	\$132,902,270	\$76,829,207	\$551,051,942
\$5M - \$9,999,999	\$50,051,679	\$29,735,250	\$0	\$41,486,910	\$76,454,814	\$84,011,160	\$281,739,813
\$1M - \$4,999,999	\$155,618,879	\$82,556,515	\$37,377,164	\$148,189,620	\$109,352,067	\$97,430,594	\$630,524,837
\$100,000 - \$999,999	\$122,388,564	\$87,020,266	\$27,821,511	\$144,248,055	\$72,807,098	\$66,503,752	\$520,789,246
\$25,000 - \$99,999	\$35,052,325	\$26,095,571	\$3,657,933	\$34,858,977	\$9,348,010	\$11,282,214	\$120,295,031
\$10,000 - \$24,999	\$24,440,750	\$17,775,524	\$914,192	\$11,356,005	\$1,873,454	\$2,766,616	\$59,126,542
\$5,000 - \$9,999	\$15,149,812	\$11,301,525	\$263,568	\$5,428,022	\$642,951	\$840,575	\$33,626,454
\$2,000 - \$4,999	\$11,558,231	\$9,425,515	\$71,243	\$3,684,208	\$293,407	\$569,926	\$25,602,531
\$1,000 - \$1,999	\$7,401,675	\$6,191,360	\$19,240	\$1,554,721	\$87,656	\$261,437	\$15,516,089
\$500 - \$999	\$5,860,711	\$4,265,633	\$5,960	\$777,903	\$36,214	\$106,621	\$11,053,042
\$250 - \$499	\$4,147,814	\$2,499,904	\$2,000	\$340,479	\$10,447	\$46,462	\$7,047,106
\$100 - \$249	\$2,938,910	\$2,413,297	\$2,540	\$314,348	\$7,851	\$35,883	\$5,712,829
\$1 - \$99	\$1,566,561	\$1,402,958	\$239	\$83,391	\$1,106	\$12,198	\$3,066,454
<b>Total</b>	<b>\$498,678,839</b>	<b>\$368,337,755</b>	<b>\$144,173,090</b>	<b>\$509,483,463</b>	<b>\$822,891,029</b>	<b>\$340,696,644</b>	<b>\$2,684,260,820</b>
<b>DONOR COUNTS</b>							
Giving Level	Alumni	Non Alumni	Family Fndns.	Corporations	Foundations	Other Orgs.	Total
\$100M+	0	2	0	0	1	0	3
\$50M - \$99,999,999	0	0	0	0	0	0	0
\$10M - \$49,999,999	13	11	4	8	7	4	47
\$5M - \$9,999,999	14	12	0	7	12	11	56
\$1M - \$4,999,999	131	119	18	74	51	48	441
\$100,000 - \$999,999	706	786	82	532	215	221	2,542
\$25,000 - \$99,999	1,397	1,405	79	764	192	223	4,060
\$10,000 - \$24,999	2,890	2,817	63	866	126	189	6,951
\$5,000 - \$9,999	3,776	3,738	47	919	106	137	8,723
\$2,000 - \$4,999	6,289	7,072	26	1,418	100	207	15,112
\$1,000 - \$1,999	8,507	9,901	18	1,404	73	226	20,129
\$500 - \$999	11,988	13,796	13	1,422	61	183	27,463
\$250 - \$499	15,865	16,611	7	1,188	34	156	33,861
\$100 - \$249	24,706	35,981	18	2,493	55	278	63,531
\$1 - \$99	46,436	61,510	6	2,187	26	352	110,517
<b>Total</b>	<b>122,718</b>	<b>153,761</b>	<b>381</b>	<b>13,282</b>	<b>1,059</b>	<b>2,235</b>	<b>293,436</b>

Source: UW Office of Development & Alumni Relations

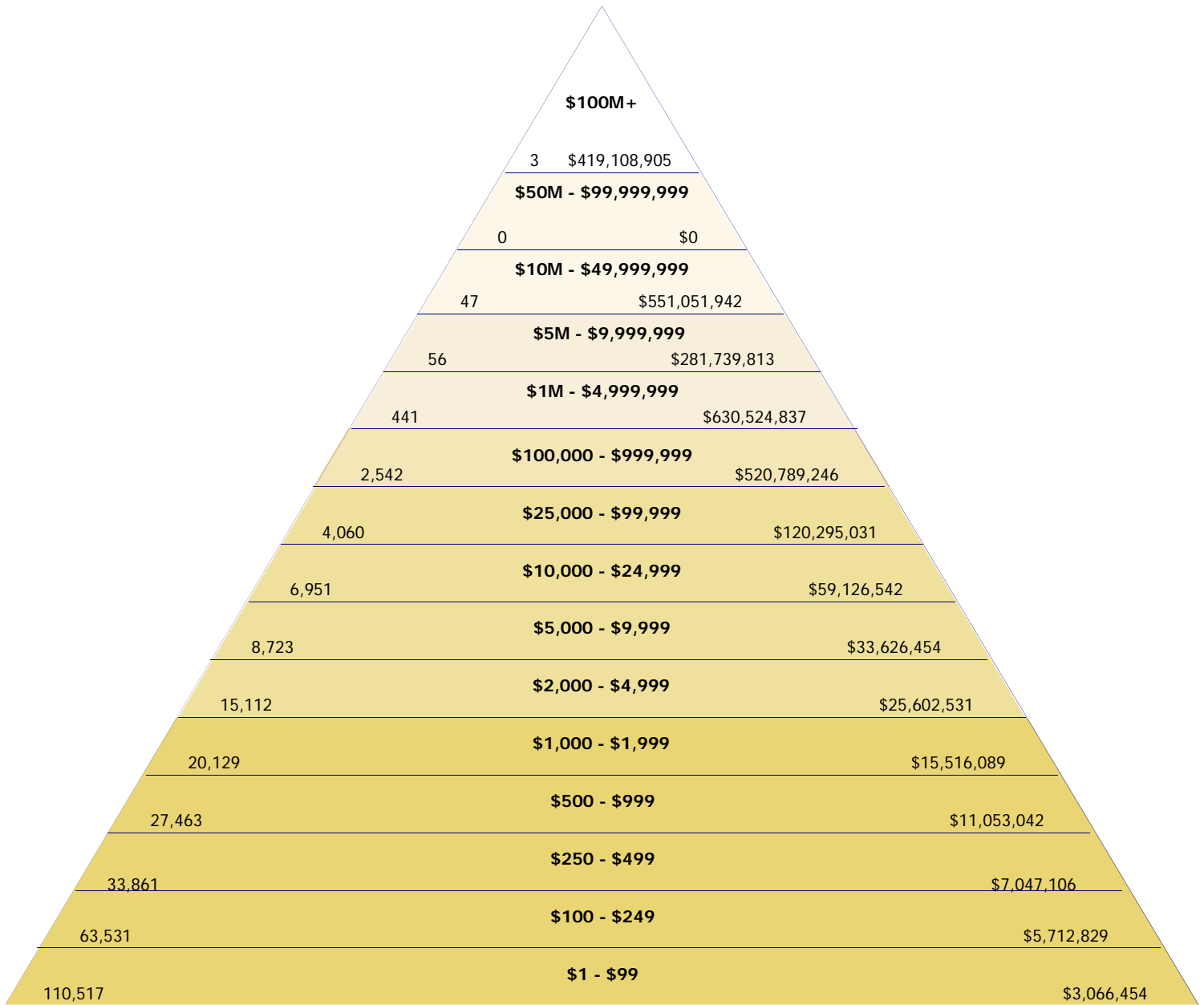
This report shows the count of distinct donors and campaign total by giving level and donor type since July 1, 2000.

\*\*"Giving Level" is determined by summing all gift record types (including grants)





**CAMPAIGN PROGRESS BY PYRAMID, SINCE JULY 1, 2000**



293,436 Donor Count

Campaign Total: \$2,684,260,820

\*Campaign Working Goal: \$2,500,000,000

Percent Complete: 107.4%

Source: UW Office of Development

The counts of distinct donors and fundraising totals by giving level are shown.

\*Unit campaign goals are still being finalized.

Pyramid Levels are determined by summing all gift record types (including grants)



## CAMPAIGN PROGRESS BY CONSTITUENCY

	GIFTS	Irrevocable Deferred Gifts		PLEDGES	Testamentary Commitments		GRANTS	Campaign - Private Voluntary Support		
		Donor Value	Discount Value		Donor Value	Discount Value		TOTAL	Working Goal	% of Goal
UW Medicine	\$354,827,386	\$12,020,879	\$7,272,306	\$173,994,401	\$34,999,360	\$12,644,201	\$466,954,134	\$1,042,796,160	\$1,000,000,000	104.3%
Architecture	\$9,839,138	\$2,744,117	\$1,500,980	\$1,287,141	\$7,550,000	\$2,952,802	\$4,239,737	\$25,660,133	\$19,000,000	135.1%
Arts and Sciences	\$141,768,566	\$6,955,983	\$4,911,394	\$8,564,316	\$41,024,666	\$25,813,246	\$85,431,106	\$283,744,637	\$240,000,000	118.2%
Broadcast Services	\$64,327,112	\$0	\$0	\$13,690	\$0	\$0	\$0	\$64,340,801	N/A	N/A
Business School	\$81,454,070	\$3,035,524	\$1,623,140	\$85,098,051	\$10,145,271	\$6,312,527	\$384,322	\$180,117,238	\$135,000,000	133.4%
Dentistry	\$11,458,000	\$246,992	\$191,357	\$4,941,648	\$960,000	\$527,705	\$4,505,888	\$22,112,528	\$15,000,000	147.4%
Education	\$12,552,150	\$48,552	\$45,881	\$2,226,444	\$4,050,000	\$2,015,446	\$15,663,422	\$34,540,568	\$23,000,000	150.2%
Engineering	\$155,503,500	\$2,837,209	\$2,010,611	\$7,807,736	\$14,321,891	\$6,121,952	\$87,094,643	\$267,564,979	\$250,000,000	107.0%
Evans Schl. of Pub. Affairs	\$13,653,338	\$81,135	\$114,706	\$944,659	\$500,000	\$265,793	\$42,423,323	\$57,602,455	\$40,000,000	144.0%
Forest Resources	\$11,592,088	\$646,572	\$562,957	\$220,681	\$2,915,000	\$1,609,906	\$4,763,944	\$20,138,283	\$17,700,000	113.8%
Friday Harbor Labs	\$6,615,175	\$307,268	\$149,278	\$130,577	\$5,505,000	\$3,093,404	\$862,743	\$13,420,763	\$12,000,000	111.8%
Information School	\$3,638,479	\$25,000	\$25,070	\$237,822	\$100,000	\$64,666	\$4,917,138	\$8,918,440	\$5,000,000	178.4%
Intercollegiate Athletics	\$132,153,480	\$885,288	\$614,238	\$6,632,654	\$1,580,000	\$1,011,286	\$0	\$141,251,422	\$110,000,000	128.4%
Law	\$64,244,877	\$71,186	\$46,904	\$4,863,006	\$674,988	\$417,533	\$1,237,822	\$71,091,879	\$70,000,000	101.6%
Libraries	\$8,178,395	\$442,146	\$381,541	\$274,798	\$1,951,334	\$857,698	\$475,708	\$11,322,380	\$9,000,000	125.8%
Nursing	\$12,973,102	\$3,275,000	\$2,534,893	\$840,241	\$7,512,799	\$4,485,449	\$9,747,534	\$34,348,676	\$24,000,000	143.1%
Ocean and Fisheries	\$16,993,966	\$5,823,186	\$2,742,236	\$176,719	\$0	\$0	\$36,589,238	\$59,583,109	\$34,000,000	175.2%
Pharmacy	\$11,929,907	\$0	\$0	\$703,758	\$1,044,000	\$336,278	\$7,197,113	\$20,874,778	\$10,260,000	203.5%
President's Funds	\$9,443,788	\$788,472	\$690,676	\$523,283	\$11,230,000	\$4,709,801	\$0	\$21,985,543	N/A	N/A
Public Health	\$14,664,903	\$50,259	\$39,563	\$9,244,830	\$25,000	\$10,842	\$78,925,309	\$102,910,301	\$90,000,000	114.3%
Scholar. & Student Progs.	\$48,357,297	\$1,896,761	\$865,957	\$3,508,658	\$6,733,333	\$3,519,365	\$1,964,332	\$62,460,382	\$40,000,000	156.2%
Social Work	\$7,559,985	\$290,566	\$183,270	\$5,112,230	\$0	\$0	\$7,339,868	\$20,302,649	\$10,000,000	203.0%
University Press	\$4,189,914	\$108,239	\$93,710	\$15,330	\$0	\$0	\$0	\$4,313,483	\$3,000,000	143.8%
University Support	\$39,693,939	\$2,130,448	\$1,123,146	\$3,849,199	\$5,150,600	\$2,882,669	\$16,695,007	\$67,519,194	N/A	N/A
UW Bothell	\$2,883,655	\$0	\$0	\$111,702	\$0	\$0	\$750,500	\$3,745,857	\$3,500,000	107.0%
UW Tacoma	\$27,220,186	\$195,000	\$181,257	\$10,631,541	\$3,467,200	\$1,843,932	\$80,257	\$41,594,184	\$35,000,000	118.8%
<b>All UW Total</b>	<b>\$1,267,716,396</b>	<b>\$44,905,780</b>	<b>\$27,905,071</b>	<b>\$331,955,114</b>	<b>\$161,440,442</b>	<b>\$81,496,501</b>	<b>\$878,243,088</b>	<b>\$2,684,260,820</b>	<b>\$2,500,000,000</b>	<b>107.4%</b>

Source: UW Office of Development

Fundraising progress toward campaign working goals by constituency area (school/college/program). Campaign total is the sum of gifts, grants, active pledges and donor values of irrevocable deferred gifts and testamentary commitments. "N/A" is not applicable. 1 - "Pledges" are those in active status only. 2 - "Grants" are private grants only. 3 - Unit campaign working goals are still being finalized.

Job Number: 81637  
June 2008

Campaign Progress by Constituency - Since July 2000



**CAMPAIGN FUNDING THEME PROGRESS - JULY 2000 - PRESENT**

THEME	CURRENT USE	ENDOWMENT	TOTAL
Student Support	\$112,084,621	\$243,778,623	\$355,863,244
Faculty Support	\$65,689,408	\$205,602,213	\$271,291,621
Program Support for Faculty and Students	\$1,350,340,221	\$145,319,089	\$1,495,659,311
Capital	\$312,614,051	\$1,778,369	\$314,392,420
Unrestricted	\$221,993,660	\$25,060,565	\$247,054,225
<b>Total</b>	<b>\$2,062,721,961</b>	<b>\$621,538,860</b>	<b>\$2,684,260,820</b>
Goal	\$2,000,000,000	\$500,000,000	\$2,500,000,000
% to Goal	103.1%	124.3%	107.4%

Source: UW Office of Development

This report shows contribution totals by campaign theme/priority since July 1, 2000.

Job Number: 81637

June 2008

Campaign Theme Progress



DEVELOPMENT SUMMARY - TOTAL PRIVATE VOLUNTARY SUPPORT

AREA	YEAR TO DATE DONOR VALUES		
	GIFTS	PRIVATE GRANTS	TOTAL
UW Seattle			
UW Medicine	\$48,762,534	\$79,321,869	\$128,084,403
Architecture	\$2,304,248	\$248,433	\$2,552,681
Arts and Sciences	\$26,418,196	\$9,741,069	\$36,159,265
Broadcast Services	\$12,124,630		\$12,124,630
Business School	\$13,199,767	\$15,987	\$13,215,754
Dentistry	\$3,238,874	\$240,556	\$3,479,430
Education	\$1,421,858	\$1,356,448	\$2,778,306
Engineering	\$17,382,089	\$5,444,512	\$22,826,602
Evans Schl. of Pub. Affairs	\$1,669,085	\$1,681,866	\$3,350,951
Forest Resources	\$1,695,193	\$857,225	\$2,552,418
Graduate School	\$1,139,883	\$69,558	\$1,209,441
Information School	\$472,709	\$3,420,680	\$3,893,389
Intercollegiate Athletics	\$20,268,275		\$20,268,275
Law	\$2,803,742	\$61,600	\$2,865,342
Libraries	\$1,599,016		\$1,599,016
Nursing	\$2,012,171	\$1,143,549	\$3,155,720
Ocean and Fisheries	\$2,684,217	\$9,626,082	\$12,310,300
Pharmacy	\$1,916,840	\$1,121,324	\$3,038,164
President's Funds	\$961,234		\$961,234
Public Health	\$538,650	\$9,235,537	\$9,774,187
Scholar. & Student Progs.	\$6,975,190	\$100,000	\$7,075,190
Social Work	\$1,320,860	\$312,850	\$1,633,710
UW Alumni Association	\$1,146,911		\$1,146,911
University Press	\$414,093		\$414,093
University Support	\$3,542,469	\$225,067	\$3,767,536
UW Bothell	\$302,576		\$302,576
UW Tacoma	\$4,420,118		\$4,420,118
<b>All UW Total</b>	<b>\$180,735,444</b>	<b>\$124,224,214</b>	<b>\$304,959,657</b>

Source: UW Office of Development

Contribution totals for the major Development areas of the University are shown.

Job Number: 81637

June 2008

Development Area Summary - Total Private Voluntary Support



## DEVELOPMENT SUMMARY - GIFTS AND PRIVATE GRANTS

AREA	CURRENT MONTH		YEAR TO DATE		PRIOR YEAR TO DATE		PRIOR FISCAL YEAR	
	Donors	Value	Donors	Value	Donors	Value	Donors	Value
UW Seattle								
UW Medicine	2,400	\$12,494,370	18,602	\$128,084,403	18,369	\$106,235,847	18,369	\$106,235,847
Architecture	258	\$788,895	1,467	\$2,552,681	1,511	\$1,285,219	1,511	\$1,285,219
Arts and Sciences	1,497	\$6,397,019	15,040	\$36,159,265	17,118	\$34,367,909	17,118	\$34,367,909
Broadcast Services	6,217	\$4,494,716	21,710	\$12,124,630	4,560	\$10,835,348	4,560	\$10,835,348
Business School	400	\$1,381,767	4,667	\$13,215,754	4,542	\$16,631,593	4,542	\$16,631,593
Dentistry	159	\$107,388	1,340	\$3,479,430	1,410	\$1,721,826	1,410	\$1,721,826
Education	124	\$197,942	1,637	\$2,778,306	1,869	\$4,112,575	1,869	\$4,112,575
Engineering	396	\$4,049,629	4,653	\$22,826,602	4,586	\$26,027,370	4,586	\$26,027,370
Evans Schl. of Pub. Affairs	97	\$290,599	493	\$3,350,951	522	\$7,146,491	522	\$7,146,491
Forest Resources	135	\$271,625	1,151	\$2,552,418	1,208	\$1,929,058	1,208	\$1,929,058
Graduate School	38	\$52,111	551	\$1,209,441	415	\$2,481,332	415	\$2,481,332
Information School	67	\$613,311	639	\$3,893,389	651	\$686,093	651	\$686,093
Intercollegiate Athletics	20,892	\$4,343,655	25,073	\$20,268,275	24,151	\$21,911,161	24,151	\$21,911,161
Law	213	\$677,279	2,166	\$2,865,342	2,019	\$2,960,515	2,019	\$2,960,515
Libraries	169	\$85,135	4,714	\$1,599,016	4,428	\$1,143,601	4,428	\$1,143,601
Nursing	113	\$47,232	1,775	\$3,155,720	1,849	\$6,185,723	1,849	\$6,185,723
Ocean and Fisheries	100	\$2,249,805	829	\$12,310,300	790	\$7,085,809	790	\$7,085,809
Pharmacy	99	\$134,501	1,127	\$3,038,164	1,153	\$3,527,989	1,153	\$3,527,989
President's Funds	173	\$330,584	2,240	\$961,234	2,096	\$726,232	2,096	\$726,232
Public Health	119	\$422,574	732	\$9,774,187	788	\$11,799,217	788	\$11,799,217
Scholar. & Student Progs.	788	\$590,767	6,407	\$7,075,190	3,881	\$13,158,596	3,881	\$13,158,596
Social Work	77	\$219,631	895	\$1,633,710	980	\$5,996,397	980	\$5,996,397
UW Alumni Association	3,197	\$180,153	21,851	\$1,146,911	24,884	\$1,216,529	24,884	\$1,216,529
University Press	15	\$11,470	293	\$414,093	311	\$1,822,053	311	\$1,822,053
University Support	253	\$109,273	2,434	\$3,767,536	2,365	\$7,142,087	2,365	\$7,142,087
UW Bothell	119	\$27,601	795	\$302,576	647	\$406,048	647	\$406,048
UW Tacoma	322	\$1,861,516	967	\$4,420,118	810	\$4,346,953	810	\$4,346,953
<b>All UW Unique Total</b>	<b>36,887</b>	<b>\$42,430,559</b>	<b>121,447</b>	<b>\$304,959,657</b>	<b>105,353</b>	<b>\$302,889,584</b>	<b>105,353</b>	<b>\$302,889,584</b>

Source: UW Office of Development

The number of donors and contribution totals for the major Development areas of the University are shown. Dollar values are based on donor values.

Job Number: 81637

June 2008

Development Area Summary - Gifts and Private Grants



## DEVELOPMENT SUMMARY - GIFTS

AREA	CURRENT MONTH		YEAR TO DATE		PRIOR YEAR TO DATE		PRIOR FISCAL YEAR	
	Donors	Value	Donors	Value	Donors	Value	Donors	Value
UW Seattle								
UW Medicine	2,348	\$7,471,392	18,362	\$48,762,534	18,109	\$35,839,896	18,109	\$35,839,896
Architecture	257	\$758,895	1,463	\$2,304,248	1,504	\$1,015,838	1,504	\$1,015,838
Arts and Sciences	1,486	\$4,869,291	14,977	\$26,418,196	17,051	\$22,448,302	17,051	\$22,448,302
Broadcast Services	6,217	\$4,494,716	21,710	\$12,124,630	4,560	\$10,835,348	4,560	\$10,835,348
Business School	400	\$1,381,767	4,666	\$13,199,767	4,541	\$16,603,246	4,541	\$16,603,246
Dentistry	158	\$69,888	1,334	\$3,238,874	1,400	\$1,302,793	1,400	\$1,302,793
Education	123	\$31,035	1,629	\$1,421,858	1,859	\$1,560,076	1,859	\$1,560,076
Engineering	393	\$2,881,175	4,621	\$17,382,089	4,531	\$15,097,858	4,531	\$15,097,858
Evans Schl. of Pub. Affairs	96	\$36,214	483	\$1,669,085	503	\$2,577,597	503	\$2,577,597
Forest Resources	133	\$212,735	1,135	\$1,695,193	1,191	\$1,416,624	1,191	\$1,416,624
Graduate School	38	\$52,111	548	\$1,139,883	410	\$1,665,861	410	\$1,665,861
Information School	66	\$20,631	637	\$472,709	648	\$436,870	648	\$436,870
Intercollegiate Athletics	20,892	\$4,343,655	25,073	\$20,268,275	24,151	\$21,911,161	24,151	\$21,911,161
Law	213	\$677,279	2,164	\$2,803,742	2,015	\$2,773,835	2,015	\$2,773,835
Libraries	169	\$85,135	4,714	\$1,599,016	4,428	\$1,143,601	4,428	\$1,143,601
Nursing	113	\$47,232	1,766	\$2,012,171	1,842	\$5,457,618	1,842	\$5,457,618
Ocean and Fisheries	97	\$352,359	806	\$2,684,217	763	\$2,515,555	763	\$2,515,555
Pharmacy	99	\$134,501	1,120	\$1,916,840	1,144	\$1,707,704	1,144	\$1,707,704
President's Funds	173	\$330,584	2,240	\$961,234	2,096	\$726,232	2,096	\$726,232
Public Health	115	\$157,060	698	\$538,650	749	\$669,251	749	\$669,251
Scholar. & Student Progs.	788	\$590,767	6,405	\$6,975,190	3,881	\$12,158,596	3,881	\$12,158,596
Social Work	76	\$184,641	888	\$1,320,860	970	\$4,249,650	970	\$4,249,650
UW Alumni Association	3,197	\$180,153	21,851	\$1,146,911	24,884	\$1,216,529	24,884	\$1,216,529
University Press	15	\$11,470	293	\$414,093	311	\$1,822,053	311	\$1,822,053
University Support	252	\$97,122	2,432	\$3,542,469	2,358	\$4,590,672	2,358	\$4,590,672
UW Bothell	119	\$27,601	795	\$302,576	647	\$406,048	647	\$406,048
UW Tacoma	322	\$1,861,516	967	\$4,420,118	808	\$4,341,386	808	\$4,341,386
<b>All UW Unique Total</b>	<b>36,816</b>	<b>\$31,360,936</b>	<b>121,075</b>	<b>\$180,735,444</b>	<b>104,934</b>	<b>\$176,490,215</b>	<b>104,934</b>	<b>\$176,490,215</b>

Source: UW Office of Development

The number of donors and contribution totals (gifts only) for the major Development areas of the University are shown. Dollar values are based on donor values.

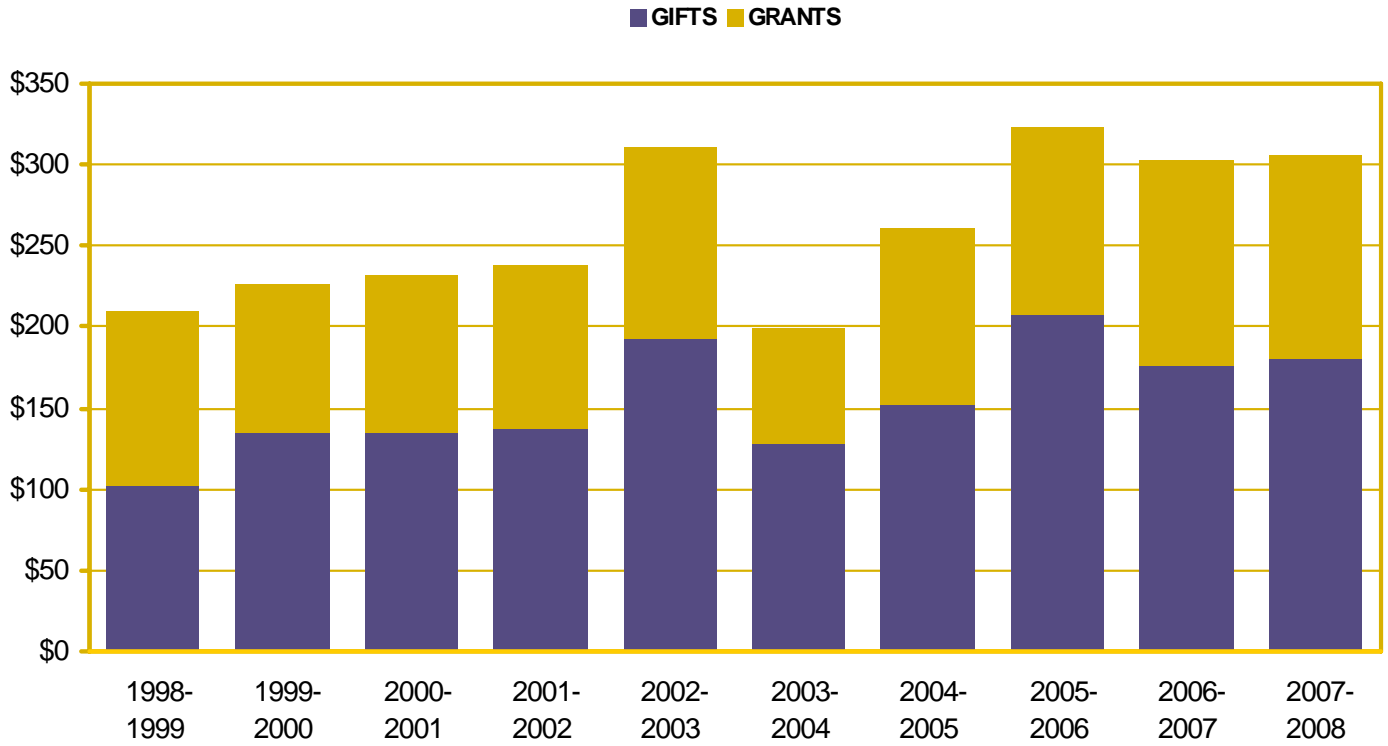
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June 2008

Development Area Summary - Gifts



COMPLETE FISCAL YEAR COMPARISON OF TOTAL CONTRIBUTIONS RECEIVED



DOLLARS IN MILLIONS

FISCAL YEAR	GIFTS	GRANTS	TOTAL CONTRIBUTIONS
2007- 2008	\$180,735,444	\$124,224,214	\$304,959,657
2006- 2007	\$176,490,215	\$126,399,369	\$302,889,584
2005- 2006	\$207,744,231	\$115,261,186	\$323,005,417
2004- 2005	\$151,969,925	\$108,802,371	\$260,772,296
2003- 2004	\$128,174,367	\$71,603,323	\$199,777,690
2002- 2003	\$192,573,183	\$118,677,722	\$311,250,905
2001- 2002	\$137,959,340	\$100,820,547	\$238,779,887
2000- 2001	\$134,805,190	\$97,112,979	\$231,918,169
1999- 2000	\$134,037,997	\$91,536,165	\$225,574,162
1998- 1999	\$102,925,077	\$107,619,586	\$210,544,663

Source: UW Office of Development

This graph compares the current fiscal year's contribution totals to each of the previous nine fiscal year's contribution totals.

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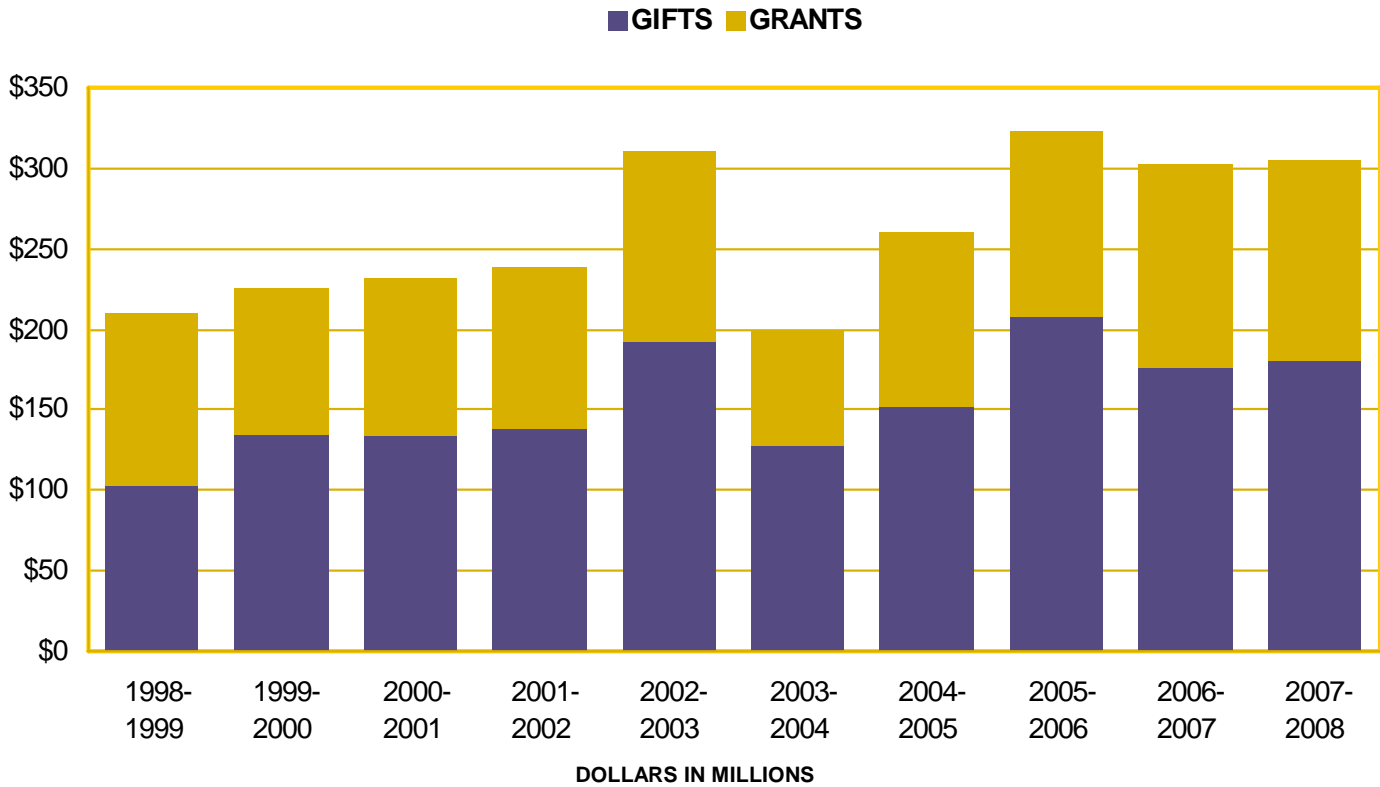
June 2008

Fiscal Year Totals Graph





## YEAR TO DATE CONTRIBUTION TOTALS



FISCAL YEAR	GIFTS	GRANTS	TOTAL CONTRIBUTIONS
2007- 2008	\$180,735,444	\$124,224,214	\$304,959,657
2006- 2007	\$176,490,215	\$126,399,369	\$302,889,584
2005- 2006	\$207,744,231	\$115,261,186	\$323,005,417
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1998- 1999	\$102,925,077	\$107,619,586	\$210,544,663

Source: UW Office of Development

This graph compares the current fiscal year's contribution totals to each of the previous nine fiscal year's contribution totals.

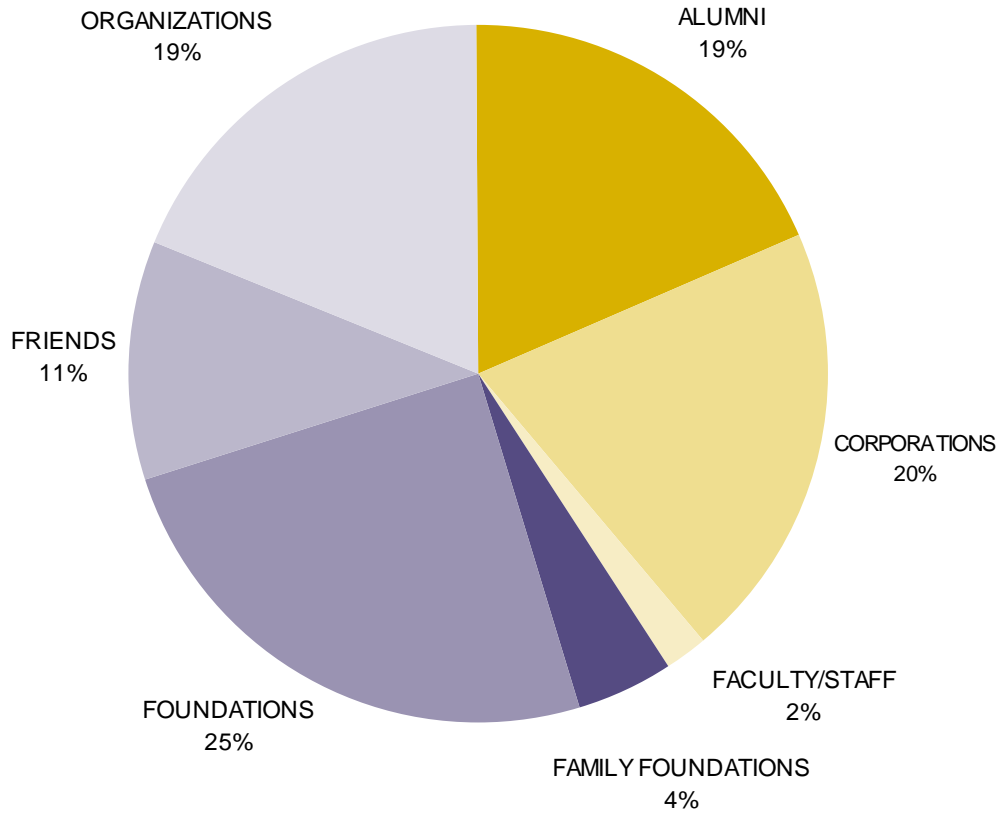
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Year to Date Graph





DEVELOPMENT ACTIVITY BY DONOR TYPE IN CURRENT FISCAL YEAR



DONOR TYPE	YEAR TO DATE		PRIOR YEAR TO DATE		PRIOR FISCAL YEAR	
	Donors	Value	Donors	Value	Donors	Value
Alumni	55,089	\$57,153,097	54,753	\$60,282,263	54,753	\$60,282,263
Corporations	3,255	\$61,590,177	3,222	\$59,587,495	3,222	\$59,587,495
Faculty/Staff	3,867	\$5,592,232	3,711	\$3,848,794	3,711	\$3,848,794
Family Foundations	172	\$13,349,493	160	\$33,777,254	160	\$33,777,254
Foundations	431	\$75,371,489	405	\$45,676,525	405	\$45,676,525
Friends	58,047	\$34,617,683	42,526	\$40,107,637	42,526	\$40,107,637
Organizations	586	\$57,285,487	576	\$59,609,617	576	\$59,609,617

Source: UW Office of Development

This graph shows the sources of contributions for the current year to date. Dollar values are based on donor value.

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Development Activity by Donor Type in Current Fiscal Year Chart



## ALUMNI PARTICIPATION BY CONSTITUENCY

AREA	CURRENT FISCAL YEAR TO DATE			PREVIOUS FISCAL YEAR TO DATE			
	Solicitable	Donors	Partic. Rate	Solicitable	Donors	Partic. Rate	Final %
UW Seattle							
UW Medicine	18,010	3,822	21.2%	16,867	3,706	22.0%	22.0%
Architecture	7,965	1,563	19.6%	7,709	1,503	19.5%	19.5%
Arts and Sciences	141,595	23,047	16.3%	138,301	22,909	16.6%	16.6%
Business School	37,430	8,231	22.0%	36,594	8,317	22.7%	22.7%
Dentistry	4,579	1,215	26.5%	4,525	1,246	27.5%	27.5%
Education	18,902	3,870	20.5%	19,116	3,863	20.2%	20.2%
Engineering	32,393	5,569	17.2%	31,945	5,528	17.3%	17.3%
Evans School of Public Affairs	2,329	619	26.6%	2,119	531	25.1%	25.1%
Forest Resources	4,603	801	17.4%	4,603	826	17.9%	17.9%
Interdisc. Grad. Programs	1,622	293	18.1%	1,463	264	18.0%	18.0%
Interdisc. Undergrad. Programs	225	22	9.8%	170	42	24.7%	24.7%
Interschool Programs	453	70	15.5%	353	63	17.8%	17.8%
Information School	4,370	1,004	23.0%	4,202	942	22.4%	22.4%
Law	7,780	1,942	25.0%	7,593	1,884	24.8%	24.8%
School of Nursing	8,598	1,980	23.0%	8,469	2,073	24.5%	24.5%
Ocean & Fisheries	3,911	788	20.1%	3,866	715	18.5%	18.5%
Pharmacy	3,426	997	29.1%	3,364	1,018	30.3%	30.3%
Public Health	4,318	793	18.4%	4,104	829	20.2%	20.2%
Social Work	6,404	1,105	17.3%	6,232	1,109	17.8%	17.8%
UW Bothell	6,084	873	14.3%	5,245	794	15.1%	15.1%
UW Tacoma	7,003	927	13.2%	5,850	844	14.4%	14.4%
Unspecified	10,866	2,433	22.4%	11,278	2,572	22.8%	22.8%
<b>All UW Total</b>	<b>305,971</b>	<b>55,089</b>	<b>18.0%</b>	<b>297,648</b>	<b>54,753</b>	<b>18.4%</b>	<b>18.4%</b>

Source: UW Office of Development

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Alumni Participation

