



UNIVERSITY OF WASHINGTON  
Board of Regents

June 5, 2008

TO: Members of the Board of Regents  
Ex-officio Representatives to the Board of Regents  
FROM: Michele M. Sams, Secretary of the Board of Regents  
RE: Schedule of Meetings

**THURSDAY, JUNE 12, 2008**

<b>7:15 a.m.–8:00 a.m.</b>	<b>Room T–22</b> University Tower	<b>FINANCE, AUDIT AND FACILITIES</b> <b>COMMITTEE:</b> Regents Blake (Chr), Barer, Brotman, Jewell, Kiga, Simon
		<b>ACADEMIC &amp; STUDENT AFFAIRS</b> <b>COMMITTEE:</b> Regents Simon (Chr), Barer, Cole, Gates, Lennon, Proctor
<b>8:10 a.m.–11:45 a.m.</b>	<b>Room T–22</b> University Tower	<i>in Joint Session with</i> <b>FINANCE, AUDIT AND FACILITIES</b> <b>COMMITTEE:</b> Regents Blake (Chr), Barer, Brotman, Jewell, Kiga, Simon
<b>12:00 p.m.–12:45 p.m.</b>	<b>Room T–22</b> University Tower	<b>ACADEMIC &amp; STUDENT AFFAIRS</b> <b>COMMITTEE:</b> Regents Simon (Chr), Barer, Cole, Gates, Lennon, Proctor
<b>1:00 p.m.</b>	<b>Room T–22</b> University Tower	<b>REGULAR MEETING OF BOARD OF</b> <b>REGENTS</b>
<b>3:30 p.m.</b>	<b>Meany Hall</b>	<b>UNIVERSITY OF WASHINGTON</b> <b>RECOGNITION CEREMONY</b>



UNIVERSITY OF WASHINGTON  
BOARD OF REGENTS

**Members of the Finance, Audit and Facilities Committee**  
Regents Blake (Chair), Barer, Brotman, Jewell, Kiga, Simon  
**June 12, 2008**  
**7:15 a.m.–8:00 a.m.**  
**Room T-22, University Tower**

- |    |   |                    |     |
|----|---|--------------------|-----|
| 1. | <b>Report of Contributions — April, 2008</b><br>Walter G. Dryfoos, Associate V. P., Advancement Services,<br>Development & Alumni Relations<br>Connie Kravas, Vice President for Development and Alumni<br>Relations              | <b>INFORMATION</b> | F-1 |
| 2. | <b>Grant and Contract Awards — February, 2008</b><br>Phyllis M. Wise, Provost and Executive Vice President  | <b>ACTION</b>      | F-2 |
| 3. | <b>Actions Taken Under Delegated Authority</b><br>Richard Chapman, Associate V. P. for Capital Projects   | <b>INFORMATION</b> | F-3 |
| 4. | <b>Quarterly Investment Performance Report</b><br>Keith Ferguson, Chief Investment Officer  | <b>INFORMATION</b> | F-4 |
| 5. | <b>Metropolitan Tract Quarterly Performance Report</b><br>Jeanette L. Henderson, Director of Real Estate<br>Lisa L. Stewart, Principal, Urbis Partners, LLC<br>V’Ella Warren, Senior Vice President for Finance and<br>Facilities | <b>INFORMATION</b> | F-5 |
| 6. | <b>Major Capital Projects Review and Approval Process</b><br>V’Ella Warren<br>Richard Chapman   | <b>INFORMATION</b> | F-7 |
| 7. | <b>UW Bothell Phase 3 — Architect Appointment</b><br>Richard Chapman<br>Eric C. Smith, Director, Capital Projects South   | <b>ACTION</b>      | F-8 |
| 8. | <b>UW Tower S Building — Architect Appointment</b><br>Richard Chapman<br>Kurtis C. Jensen, Projects Manager   | <b>ACTION</b>      | F-9 |

**Finance, Audit and Facilities Agenda**

Thursday, June 5, 2008

Page Two

- 9.
10. **UW School of Medicine — Amending Purpose for the L. Vern Howell Memorial Loan Fund** **ACTION** F-11  
V'Ella Warren
11. **UW School of Medicine — Amending Purpose for the Stanley Joseph Jozwik Medical Student Loan Fund** **ACTION** F-12  
V'Ella Warren
12. **UW School of Medicine — Amending Purpose for the Zaidee A. Schober Memorial Student Loan Fund** **ACTION** F-13  
V'Ella Warren
13. **UW School of Medicine — Amending Purpose for the Dr. George W. Swift Medical Loan Fund** **ACTION** F-14  
V'Ella Warren
14. **Other Business** **INFORMATION**



UNIVERSITY OF WASHINGTON  
BOARD OF REGENTS

Members of the Academic and Student Affairs Committee  
Regents Simon (Chair), Barer, Cole, Gates, Lennon, Proctor  
**June 12, 2008**  
**12:00 p.m.–12:45 p.m.**  
**Room T-22, University Tower**

1. **Academic and Administrative Appointments** ACTION A-1  
Phyllis M. Wise, Provost and Executive Vice President
2. **Granting of Degrees for 2007–2008** ACTION A-2  
Phyllis M. Wise
3. **Services and Activities Fee – University of Washington Tacoma:  
Distribution of Fee and Allocation of Funds** ACTION A-3  
Pat Spakes, Chancellor, UW Tacoma  
Cedric Howard, Associate Vice Chancellor for Student  
Affairs  
Julio Perez, Student Representative  
Wil Johnson, ASUWT President
4. **Services and Activities Fee – University of Washington Bothell:  
2008–09 Distribution of Fee and Allocation of Funds and Revised  
Guidelines and Operating Procedures** ACTION A-4  
Kenyon S. Chan, Chancellor, UW Bothell  
Philip J. Noll, Chair, 2007–08 Services and Activities Fee  
Committee
5. **Services and Activities Fee – University of Washington (Seattle):  
2008–09 Operating and Capital Allocations** ACTION A-5  
Eric S. Godfrey, Vice Provost for Student Life  
Jonathan Evans, Chair, Services and Activities Fee  
Committee
6. **Other Business**



UNIVERSITY OF WASHINGTON  
BOARD OF REGENTS

**Academic and Student Affairs Committee**

Regents Simon (Chr), Barer, Cole, Gates, Lennon, Proctor

*In Joint Sessions with*

**Members of the Finance, Audit and Facilities Committee**

Regents Blake (Chr), Barer, Brotman, Jewell, Kiga, Simon

**June 12, 2008**

**8:10–11:45 a.m.**

**Room T-22, University Tower**

1. **UW Medicine Board Annual Operations and Governance Report** **INFORMATION** F-15  
JoAnn Taricani, Member, UW Medicine Board  
Paul Ramsey, CEO, UW Medicine, Executive Vice President for Medical Affairs, and Dean of the School of Medicine
2. **Executive Session** (to discuss with legal counsel representing the University legal risks of a proposed action or current practice that the University has identified when public discussion of the legal risks is likely to result in an adverse legal or financial consequence to the agency)
3. **Establishment of Contracting Regulations** **ACTION** F-16  
V'ella Warren, Senior Vice President for Finance and Facilities
4. **University of Washington Fiscal Year 2009 Operations and Capital Budgets and Tuition 2008–2009** **ACTION** F-17  
Phyllis M. Wise, Provost & Executive Vice President  
Gary Quarfoth, Interim Vice Provost, Planning & Budgeting
5. **University of Washington 2009–2011 State Operating and Capital Budget Requests** **INFORMATION** F-18  
Phyllis M. Wise  
Gary Quarfoth
6. **Proposed College of the Environment** **ACTION** A-6  
Phyllis M. Wise
7. **Other Business**



## PRELIMINARY AGENDA

### BOARD OF REGENTS University of Washington

June 12, 2008

1:00 p.m. — Room T-22, University Tower

(Item No.)

- I. **CALL TO ORDER**
- II. **ROLL CALL**
- III. **CONFIRM AGENDA**
- IV. **REPORT OF THE CHAIR OF THE BOARD OF REGENTS: Regent Barer**  
Resolution of Appreciation for Erin L. Lennon BP-1
- V. **REPORT OF THE UNIVERSITY PRESIDENT: Dr. Emmert**
- VI. **CONSENT AGENDA**  
Approval of Minutes of Meeting of May 15, 2008  
Granting of Degrees for 2007-2008 A-2  
Services and Activities Fee — University of Washington, Tacoma: Distribution of Fee and Allocation of Fund A-3  
Services and Activities Fee — University of Washington Bothell: 2008-09 Distribution of Fee and Allocation of Funds and Revised Guidelines and Operating Procedures A-4  
Services and Activities Fee — University of Washington (Seattle): 2008-09 Operating and Capital Allocations A-5  
Proposed College of the Environment A-6  
Grant and Contract Awards — February 2008 F-2  
UW Bothell Phase 3 — Architect Appointment F-8  
UW Tower S Building — Architect Appointment F-9  
UW School of Medicine — Amending Purpose for the L. Vern Howell Memorial Loan Fund F-11  
UW School of Medicine — Amending Purpose for the Stanley Joseph Jozwik Medical Student Loan Fund F-12  
UW School of Medicine — Amending Purpose for the Zaidee A. Schober Memorial Student Loan Fund F-13  
UW School of Medicine — Amending Purpose for the Dr. George W. Swift Medical Loan Fund F-14  
Establishment of Contracting Regulations F-16  
Proposed Fiscal Year 2009 Operations and Capital Budgets and Tuition 2008-2009 F-17

**VII. STANDING COMMITTEES****A. Academic and Student Affairs Committee: Regent Simon – Chair**

Academic and Administrative Appointments (**ACTION**) A-1

*Joint Session***A. Academic and Student Affairs Committee: Regent Simon – Chair****B. Finance and Audit Committee: Regent Blake – Chair**

UW Medicine Board Annual Operations and Governance Report (Information only) F-15

UW 2009–2011 State Operating and Capital Budget Requests (Information only) F-18

**B. Finance, Audit and Facilities Committee: Regent Blake – Chair**

Report of Contributions – April, 2008 (Information only) F-1

Actions Taken Under Delegated Authority (Information only) F-3

Quarterly Investment Performance Report (Information only) F-4

Metropolitan Tract Quarterly Performance Report (Information only) F-5

Major Capital Projects Review and Approval Process (Information only) F-7

**VIII. OTHER BUSINESS**

Reports from ex-officio representatives to the Board:

**Faculty Senate Chair – Professor Dan Luchtel**

**ASUW President – Mr. Tyler J. Dockins**

**GPSS President – Mr. David B. Brown**

**Alumni Association President – Mr. Norm Proctor**

**IX. DATE FOR NEXT REGULAR MEETING: July 17, 2008****X. ADJOURNMENT**

**MINUTES**

**BOARD OF REGENTS  
University of Washington**

**June 12, 2008**

The Board of Regents held its regular meeting on Thursday, June 12, 2008, beginning at 1:00 p.m. in Room T-22, University Tower. The notice of the meeting was appropriately provided to the public and the press.

**CALL TO ORDER**

**ROLL CALL**

Assistant Secretary Keith called the roll: Present were Regents Barer (presiding), Blake, Cole, Gates, Jewell, Kiga, Lennon, Proctor, Simon; Dr. Emmert, Dr. Wise, Ms. Warren, Ms. Sams; ex-officio representatives: Professor Luchtel, Mr. Brown, Mr. Rick Osterhout, Vice President for the Alumni Association attended for Mr. Proctor.

Absent: Regent Brotman, Mr. Dockins,

**CONFIRM AGENDA**

The agenda was confirmed as presented.

**REPORT OF THE CHAIR OF THE BOARD OF REGENTS: Regent Barer**

**Resolution of Appreciation to Erin L. Lennon**

BP-1

**MOTION:** Upon the recommendation of the Chair of the Board and the motion made by Regent Jewell, seconded by Regent Proctor, the Board voted to approve the Resolution of Appreciation to Erin L. Lennon.

See Attachment B-1.

**Resolution of Appreciation to Michele M. Sams**

BP-2

**MOTION:** Upon the recommendation of the Chair of the Board and the motion made by Regent Jewell, seconded by Regent Proctor, the Board voted to the Resolution of Appreciation to Michele M. Sams.

See Attachment B-2.

**REPORT OF THE UNIVERSITY PRESIDENT: Dr. Emmert**

President Emmert noted it was the Board of Regents' first meeting in the Board Room at University Tower. He remarked the large "UW" and "University of Washington" signs at the top of the building are illuminated at night and can be seen from great distances.

President Emmert reported Provost Wise is in the process of recruiting a new vice provost for technology transfer and as soon as that individual has been identified, the Board will receive a full report of the functions of the technology transfer office. Dr. Emmert introduced Dr. Oren Etzioni, Professor of Computer Science and Engineering, who has been actively engaged in transferring technology from the University into the business world with great success. Professor Etzioni described for the Board the experience he has had with Farecast, a computerized program using algorithms to predict airfare prices. Farecast provides information to the public as to when to purchase an airline ticket for the lowest price. The Office of Technology Transfer assisted Professor Etzioni in obtaining a license and patent on the Farecast technology. Most recently, Farecast was purchased by Microsoft for \$115 million.

**CONSENT AGENDA**



Regent Barer noted there were 15 items for approval on the consent agenda, and called for a motion.

**MOTION:** Upon the recommendation of the Chair of the Board and the motion made by Regent Proctor, seconded by Regent Jewell, the Board voted to approve the 15 items on the consent agenda as shown below:

**Minutes for the meeting of May 15, 2008**

**Granting of Degrees for 2007–2008** (Agenda no. A–2)

It was the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents approve the granting of degrees to those individuals who, in the judgment of the faculty, have satisfied the requirements for their respective degrees during the 2007–2008 academic year.

See Attachment A–2.

**Services and Activities Fee – University of Washington Tacoma: Distribution of Fee and Allocation of Fund** (Agenda no. A–3)

It was the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents approve the following Services and Activities Fee proposals for the University of Washington Tacoma:

1. an increase in the Services and Activities Fee for academic year 2008-09,
2. the distribution of Services and Activities Fee for 2008-09; and
3. the operating budgets and expenditures recommended for 2008-09.

See Attachment A–3.

**Services and Activities Fee – University of Washington Bothell: 2008–09 Distribution of Fee and Allocation of Funds and Revised Guidelines and Operating Procedures** (Agenda no. A–4)

It was the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents approve for the University of Washington Bothell:

1. Recommended Services and Activities Fee Budget for 2008-09;
2. Recommendations for Long Term fund Expenditures; and
3. Proposed Revisions to the Services and Activities Fees Guidelines and Operating Procedures.

See Attachment A–4.

**Services and Activities Fee – University of Washington (Seattle): 2008–09 Operating and Capital Allocations** (Agenda no. A–5)

It was the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents approve for the Seattle campus:

1. Raising the Services & Activities (S&A) Fee level for 2008–09 from \$105 per full-time student per quarter to \$108; and
2. Allocating \$11,819,126 for 2008–09 S&A Fee operating and capital funds.

See Attachment A–5.

**Proposed College of the Environment** (Agenda no. A–6)

The administration of the UW sought approval from the Board of Regents to create a College of the Environment. This college would bring together an unrivalled diversity of existing strength in environmental disciplines into one of the largest science-based, environment-focused academic organizations in the world. With a modest additional investment and focus on engaging specialists

and leaders from other fields and other sectors, our potential to contribute to fundamental understanding and effective solutions would be unmatched.

See Attachment A-6.

**Grant and Contract Awards – February, 2008** (Agenda no. F-2)

It was the recommendation of the administration and the Finance, Audit and Facilities Committee that the Board of Regents accept Grant and Contract Awards for the month of June, in the total amount of \$48,906,650.

See Attachment F-2.

**UW Bothell Phase 3 – Architect Appointment** (Agenda no. F-8)

It was the recommendation of the administration and the Finance, Audit and Facilities Committee that the President be delegated authority to award pre-design and design contracts for the UW Bothell Phase 3 project to the firm of Mithun, Inc., subject to successful contract negotiations.

See Attachment F-8.

**UW Tower S Building – Architect Appointment** (Agenda no. F-9)

It was the recommendation of the administration and the Finance, Audit and Facilities Committee that the President be delegated authority to award a design contract for the UW Tower S Building with the firm of ZGF Architects, subject to successful negotiation of an architectural agreement.

See Attachment F-9.

**UW School of Medicine – Amending Purpose for the L. Vern Howell Memorial Loan Fund**  
(Agenda no. F-11)

It was the recommendation of the administration and the Finance, Audit and Facilities Committee that the L. Vern Howell Memorial Loan Fund be changed to create the L. Vern Howell Endowed Scholarship in Medicine.

See Attachment F-11.

**UW School of Medicine – Amending Purpose for the Stanley Joseph Jozwik Medical Student Loan Fund** (Agenda no. F-12)

It was the recommendation of the administration and the Finance, Audit and Facilities Committee that the Stanley Joseph Jozwik Medical Student Loan Fund be changed to create the Stanley Joseph Jozwik Endowed Scholarship in Medicine.

See Attachment F-12.

**UW School of medicine – amending Purpose for the Zaidee A. Schober Memorial Student Loan Fund** (Agenda no. F-13)

It was the recommendation of the administration and the Finance, Audit and Facilities Committee that the Zaidee A. Schober Memorial Student Loan Fund be changed to create the Zaidee A. Schober Endowed Scholarship in Medicine.

See Attachment F-13.

**UW School of Medicine – Amending Purpose for the Dr. George W. Swift Medical Loan Fund**  
(Agenda no. F-14)

It was the recommendation of the administration and Finance, Audit and Facilities Committee that the Dr. George W. Swift Medical Loan Fund be changed to create the George W. Swift M.D. Endowed Scholarship in Medicine.

See Attachment F-14.

**Establishment of Contracting Regulations** (Agenda no. F-16)

It was the recommendation of the Administration and of the Finance, Audit and Facilities Committee that the Board of Regents take the following actions under the authority granted in RCW 28B.20.140:

- 1) Establish regulations providing for a fair, open, and efficient development agreement method by which the University may, under limited circumstances, move expeditiously and efficiently to contract for Capital Projects in a way that protects the best interests of the University and assures the delivery of quality work and products at a reasonable price under the most advantageous terms.

See Attachment F-16.

**Proposed Fiscal Year 2009 Operations and Capital Budgets and Tuition 2008- 2009** ( Agenda no. F-17)

It was the recommendation of the administration, the Finance, Audit, and Facilities Committee and the Academic and Student Affairs Committee that the Board of Regents, pursuant to its authority under RCW 28B.20.130, the Bylaws of the Board of Regents, and Board of Regents Standing Order No. 1, approve the Fiscal Year 2009 operating and capital budgets for the University of Washington that are presented in the following text and tables. In this action item, the Board of Regents, in its sole and independent discretion:

- Adopts the Fiscal Year 2009 operating budget, including funds to provide faculty salary increases;
- Establishes tuition rates for all tuition categories for the 2008-09 academic year; and,
- Adopts the Fiscal Year 2009 capital budget.

See Attachment F-17.

**STANDING COMMITTEES**

**ACADEMIC AND STUDENT AFFAIRS COMMITTEE:** Regent Simon, Chair

At the request of Regent Simon, Provost Wise highlighted appointments where an administrator, professorship, dean or chair was included.

**Academic and Administrative Appointments** (Agenda no. A-1)

**MOTION:** Upon the recommendation of the administration and the motion made by Regent Proctor, seconded by Regent Jewell, the Board voted to approve the personnel appointments. Regent Lennon abstained from the discussion and vote.

See Attachment A-1.

Regent Simon reported the Consent Agenda contained the committee's unanimous approval to grant approximately 12,290 degrees, and noted one will be awarded to Student Regent Erin Lennon at the commencement ceremony on Saturday, June 14. Also approved were three Services and Activities Fee adjustments for UW Bothell, Tacoma and Seattle.

**ACADEMIC AND STUDENT AFFAIRS COMMITTEE:** Regent Simon, Chair

***In Joint Session with***

**FINANCE, AUDIT AND FACILITIES COMMITTEE:** Regent Blake, Chair

Regent Simon reported the joint committees unanimously approved the formation of the College of the Environment, which will bring together an unrivalled diversity of existing strength in

environmental disciplines into one of the largest science-based, environment-focused academic organization in the world. While there were only eight of the ten Regents present at the committee meeting where the concept was approved, Regents Frederick C. Kiga and Jeffrey H. Brotman, who could not be present at the meeting, earlier talked with Chairman Barer and each gave their enthusiastic support. Regent Simon commended Provost Wise for being bold and courageous in proposing the creation of the College of the Environment.

Regent Barer said it is important for everyone to understand the Regents read the very thoughtful communications from people on and off campus; some had concerns or suggestions while others had questions about the direction of the College. The Regents support the commitment the President and the Provost have made to discuss the College with state officials, faculty members, people who are interested and people who have concerns. It is not the Regents' intention to take anything away from any school or department; rather they want to add to their relevance and effectiveness going forward. The Board expects to be continually updated by Provost Wise and President Emmert as they develop the process of consultation. Regent Barer expressed the Board's appreciation to all those who took the time to communicate with it.

**UW Medicine Board annual Operations and Governance Report** (Agenda no. F-15)  
(Information only)

See Attachment F-15.

**UW 2009-2011 State Operating and Capital Budget Requests** (Agenda no. F-18) (Information only)

See Attachment F-18.

Regent Blake indicated the committees received the annual report from the UW Medicine Board about operations and governance. Included in the report was information about the relationship with Seattle Cancer Care Alliance. Regent Blake said it helped her understand the joint venture between University of Washington Medicine, Fred Hutchinson Cancer Research Center and Children's Hospital and Regional Medical Center. Regent Blake announced Senior Vice President V'ella Warren and Mr. Richard Chapman, Associate Vice President for Capital Projects, created a methodology and format to be used in the future with each capital project. Capital projects routinely come before the Finance, Audit and Facilities Committee many times before they are completed. The new format was designed to help the Regents track and understand exactly which stage a project is in each time it comes before the Committee.

Regent Blake pointed out the Report of Contribution for April, 2008, was not discussed in the earlier meeting and asked President Emmert to update to Board. President Emmert reported the University is at the end of the successful Capital Campaign and announced two recent generous gifts. Ms. Anne Dinning contributed \$2.25 million for three professorships in Computer Science and Engineering, and Regent Stanley H. Barer contributed a gift of \$4 million to the Law School. Dr. Emmert expressed sincere thanks to Regent Barer and noted Mr. Barer cares deeply about human rights and the rule of law. A round of applause was given to Mr. Barer.

Regent Blake noted the Consent Agenda also included the Regents' approval of the 2009 Operations and Capital Budgets. She said the committees had a very substantive conversation about tuition levels and how the University of Washington compared with other institutions. She indicated the Regents will focus on what students actually are receiving for their tuition in the upcoming biennium. Regent Blake also reported the committee reviewed the first draft of the budget for the next biennium.

**FINANCE, AUDIT AND FACILITIES COMMITTEE: Regent Blake, Chair**

Regent Blake noted four of the items approved on the Consent Agenda converted loan funds, which were available to students in the medical school, to endowments to allow scholarships. She noted the Regents have discussed many times how grants are much better than loans for students.

**Report of Contributions – April, 2008** (Agenda no. F-1) (Information only)

See Attachment F-1.

**Actions taken Under Delegated Authority** (Agenda no. F-3) (Information only)

See Attachment F-3.

**Quarterly Investment Performance Report** (Agenda no. F-4) (Information only)

See Attachment F-4.

**Metropolitan Tract Quarterly Performance Report** (Agenda no. F-5) (Information only)

See Attachment F-5.

**Major Capital Projects Review and Approval Process** (Agenda no. F-7) (Information only)

See Attachment F-7.

**REPORTS FROM EX OFFICIO REPRESENTATIVES TO THE BOARD OF REGENTS**

**Faculty Senate Chair:** Professor Dan Luchtel

Professor Luchtel indicated he wanted to continue with the same topic he has discussed in the last two Board meetings—the history of higher education in the United States for undergraduates. He said even though his professional career led him to environmental health, the education he received in the humanities has always been important to him. Many of the ideas he presented focused on questions the University now is discussing about the College of the Environment. He noted history sheds light on the values of higher education and on the reasons for the different departments and funding levels at the University.

Regent Proctor thanked Professor Luchtel, indicating that his remarks are worthy of the Board's reflection.

**ASUW President:** Mr. Tyler J. Dockins was absent from the meeting.

**GPSS President:** Mr. David B. Brown

Mr. Brown indicated it has been his privilege and honor to serve, not only as the President of the Graduate and Professional Student Senate, but also to serve on the Board of Regents as an ex-officio member. He highlighted an accumulation of work by the GPSS, and reported one of the crucial issues that GPSS and ASUW has identified for the future is affordability and accessibility to student health insurance. He said a recent survey indicated 14% of UW students do not have health insurance, and the overwhelming majority of those students are students of color, underrepresented students and first generation students. The single greatest barrier to students is cost. Currently, the UW does not include health insurance as a line item when calculating financial aid packages. He strongly encouraged the Regents to take a look at this issue. He indicated Mr. Eric S. Godfrey, Vice Provost for Student Life, will take a look at how feasible it would be to extend the Husky Promise coverage to include health insurance.

**Alumni Association President:**

Mr. Norm Proctor was chairing the last meeting of the year for the Alumni Association. In his stead, Mr. Rick Osterhout, Vice President of the Alumni Association, attended the meeting. He commended Mr. Proctor for his leadership and enthusiasm, which, he said, injected a renewed spirit at the Alumni Association. Mr. Osterhout highlighted several of the spring Alumni Association programs, including the Convocation for Japanese-American, during which honorary degrees were presented. He reported the Alumni Association received many letters of heartfelt thanks for the University's recognitions.

**DATE FOR NEXT MEETING**

The next regular meeting of the Board of Regents will be held on Thursday, July 17, 2008, on campus.

**ADJOURNMENT**

The regular meeting was adjourned at 2:40 p.m.

A handwritten signature in black ink, appearing to read "Michele M. Sams", written in a cursive style.

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Michele M. Sams  
Secretary of the Board of Regents

**MINUTES**

BOARD OF REGENTS

Joint Meeting of the  
Finance, Audit and Facilities Committee  
and  
Academic & Student Affairs Committee

University of Washington

June 12, 2008

The joint meeting of the Finance, Audit and Facilities Committee and the Academic and Student Affairs Committee convened at 8:15 a.m. in the University Tower Board Room. Committee chairs Kristianne Blake and Herb Simon presided.

**UW Medicine Board Annual Operations and Governance Report** (Agenda no. F-15) (Information only)

Regent Blake called the meeting to order and asked Paul Ramsey, CEO, UW Medicine, to introduce the first item. Dr. Ramsey said it had been ten years since the Board approved the Seattle Cancer Care Alliance (SCCA) and that it was time to report on what has happened since the creation of this facility and brief Board members on future plans. He then introduced Joann Taricani, a member of the Medical Center Board and Chair of the UW Medicine Board University of Washington Medical Center (UWMC) Committee.

Dr. Taricani outlined the creation of the SCCA and its relationship to the UWMC and the Fred Hutchinson Cancer Research Center. SCCA is the front door for the UWMC oncology program. Revenue for this program represents 20% of UWMC total revenue and 55% of net income at the Medical Center. SCCA is viewed as a key factor in the continued success of UW Medicine. UWMC is represented on the SCCA Board. As a business, SCCA has far exceeded expectations placed on it ten years ago. Dr. Ramsey attributed this to good management and a successful partnership between players. A detailed summary of the history, governance structure, inpatient services, business practices and program results for SCCA can be found on pages 1-6 of the meeting item attached to these minutes.

Looking ahead, medical forecasts predict growth in demand for oncology treatments. This is due, in part, to demographics of an aging population. To meet this need, SCCA and UWMC are planning expansion projects to enhance their services, and SCCA is looking into the possibility of developing a proton beam therapy. This treatment modality, which administers radiation treatment to patients without “cooking” them, is expensive (Dr. Ramsey noted it will cost well over \$200 million). As SCCA moves forward with this acquisition, efforts are being made to ensure that SCCA and UWMC benefit from the experience of other facilities that have already acquired this technology. Additionally, UWMC will provide oversight of the purchase; while SCCA will buy the proton beam unit, it will be done only

with a business plan that the UWMC approves. Dr. Ramsey briefly discussed financing options and said he would be back to the Board in a few months to provide more detailed information on this purchase.

### **Executive Session**

The Board recessed into an Executive Session to discuss with legal counsel representing the University legal risks of a proposed action or current practice that the University has identified when public discussion of the legal risks is likely to result in an adverse legal or financial consequence to the agency. The Committee reconvened at 9:00 a.m.

### **Establishment of Contracting Regulations (Agenda no. F-16) (Action)**

V'Ella Warren, Treasurer of the Board of Regents, summarized the details of this item (see copy of item attached to these minutes).

Regent Proctor moved the item be submitted for committee approval. Regent Cole seconded this motion. The item passed with unanimous approval.

### **UW Fiscal Year 2009 Operating and Capital Budgets and Tuition 2009 (Agenda no. F-17) (Action)**

Provost Wise introduced Gary Quarfoth, Interim Vice Provost for Planning and Budgeting, who summarized information addressing questions raised by Regents at their last meeting. Specifically, Mr. Quarfoth presented information comparing tuition rates at the UW to tuition rates at peer institutions and other Washington State colleges and universities. He also discussed a documentation of tuition increases. These two comparisons indicate that tuition at the UW is \$1900 below the average at peer institutions, and that even with the proposed increase the gap between UW tuition rates and that of peer institutions will double within five years. Answering the question of how much the State contributes to the cost of tuition, Mr. Quarfoth said the average annual cost of a UW education is \$10,383. For each student, the state contributes \$5,549 towards this cost, so students are paying a little more than half of the cost of their education.

Regents expressed concern about keeping tuition affordable, particularly for low-income students. Addressing this issue, Kay Lewis, Director of Student Financial Aid, discussed tuition financing options available to student—loans, grants, tuition waivers. Philip Ballinger, Director of Admissions also noted that the UW is seeing an increase in under-represented groups.

Dr. Emmert noted that no one wants to see tuition increase, but he thought it is important to keep the issue in context. This increase amounts to \$400 per year per student and even with that increase, the UW—which is ranked 14<sup>th</sup> among universities world-wide—is still less expensive than the tuition at Washington State University. Regent Lennon added that she would like to see the question framed not in terms of what the market will bear or how the UW compares with its peers, but in terms of what will the UW lose if there is no increase.

At the conclusion of discussion, Regent Jewell moved the item be submitted for committee approval. Regent Lennon seconded this motion. The item passed with unanimous approval.



**UW 2009-11 State Operating and Capital Budget Requests (Agenda no. F-18) (Information only)**

Provost Wise introduced this item by noting it will be brought to the Board as an action item in July and budget request in September. She then gave the discussion over to Interim Vice Provost Gary Quarfoth who began with comments on the state fiscal outlook and the passing of Initiative 960. The current fiscal forecast looks stable, but he thinks in the official six-year outlook the State will be dealing with a large deficit. Commenting on Initiative 960, Mr. Quarfoth said it stipulates that all state agencies must have legislative approval for all fee increases. UW administrators have been working with the Office of the Attorney General to identify what fees apply. They have now finished negotiating with the Office of Financial Management on how this will work for the upcoming legislative session. Mr. Quarfoth distributed a handout detailing fee categories and the “up to” limits the UW is requesting (see handout as an attachment to this minutes).

Page four of the meeting item (also attached to this minutes) presents a summary of the UW operating request, which is listed in priority order. Commenting on these categories, Mr. Quarfoth said that the UW’s top priority is competitive compensation. He also clarified that the “new academic initiatives” was for funding the College of the Environment. He said the UW is asking for new enrollments on all three campuses, and he invited Eric Godfrey to discuss a special ad hoc request for student services. This request is for services in campus safety, program counseling and the UW Police Department.

Turning to the UW’s Capital Budget request (page 15 of the attached item), Mr. Quarfoth noted there are many projects coming up and the UW is asking to fund them all. Some of these are “Restore the Core” projects, which aim to repair and revitalize existing buildings. Other parts of the request are to increase space for programs, like Public Health, whose research would be enhanced by additional space. The UW is also requesting funds for renovations that need ongoing maintenance.

In closing, Mr. Quarfoth noted that the Board’s tuition setting authority expires in 2009, and there are plans to ask the Legislature to reauthorize this power. He also briefly discussed public funding options for Husky Stadium. The UW would like to continue the conversation they began last session and ask the Legislature to use tax streams currently directed towards other public sports facilities when those projects are complete.

When asked to comment on this proposed request, GPSS President , David Brown commented that he was pleased with the proposal, but would like to see more support for child care. Faculty Senate Chair, Daniel Luctel said he had no specific comments as faculty had input into the process thus far. He is concerned how much the Legislature will be able to fund.

**Proposed College of the Environment (Agenda no. A-6) (Action)**

Regent Blake turned the meeting over to Regent Herb Simon, Chair of the Academic and Student Affairs committee. Regent Simon referred Regents to copies of letters sent in response to the proposal to create the College of the Environment, which were mailed with their meeting materials. Regent Simon noted that aside from these letters, Board members also received other letters and phone calls from

those who are concerned about the creation of this college. Regent Simon then invited Dr. Emmert to say a few words about the item.

Dr. Emmert said the environment is a critical issue, and as such, it presents an opportunity for academic involvement in the crafting solutions to global concerns. Dr. Emmert said he believes this is what a university should be doing, and he stated his belief that if the UW is to take advantage of this challenge by creating a College of the Environment, now is the time to do it. The structure of a College (as opposed to an institute or bureau) is more powerful in its ability to offer tenure and access funding. He acknowledged the concerns of those who wrote or called and said he takes their passion as an indication of how important these issues are. Dr. Emmert allowed as how creating a college by first constructing its administrative shell and then asking how best to accomplish its mission is an unconventional way to establish an important academic unit, but he thinks it is a smart way to start. Dr. Emmert then turned the discussion over to Provost Phyllis Wise.

Provost Wise noted that the UW is doing something big and bold by creating this college, especially since the University is never rich in resources. She noted the University administration is asking the Regents to create a college that is an “empty shell” and to tell the University to make a strong program to fill it. Provost Wise referred to the item documents (a copy of which is attached to these minutes) and said they show the organization of the college and who will and will not be included in its structure. These documents also detail the economic impact of creating the college.

Referencing the calls and letters of those who believe the college is a bad idea, Provost Wise said she has heard voices from both sides. There are those who believe the college is a good idea and others who are opposed and urge delay until the idea of the college is more fully formed. Provost Wise said she appreciates and respects those who are concerned and acknowledged the caliber of the industry and legislative leaders who took time to express their apprehension. She said she plans to meet with them to discuss their concerns and the development of the College.

At the conclusion of Provost Wise’s remarks, Regent Simon opened the floor for comments. Each regent and ex officio representative present at the meeting made individual remarks. Overall, the Regents and Ex Officio Representatives indicated their support for the creation of the college and their belief that addressing environmental issues through the creation of an interdisciplinary college is the right way to address environmental problems. Regents also said they thought University administrators had done a good job putting this proposal together. Some Regents expressed concern about approving only an administrative shell for the unit, and they asked that the Administration provide regular updates on how the implementation of the College is proceeding and what the plans are for moving ahead. Provost Wise acknowledged this request and indicated she would provide regular reports.

Regent Cole moved the item be submitted for committee approval. Regent Jewell seconded this motion. The item passed with unanimous approval.

**University of Washington  
Board of Regents  
Resolution of Appreciation to  
Erin L. Lennon**

WHEREAS, in accordance with Washington state law, Governor Chris Gregoire appointed third-year law student Erin L. Lennon, to serve the prescribed one-year term, from July 1, 2007 to June 30, 2008 as the student member of the University of Washington Board of Regents; and

WHEREAS, as a 2002 alumna of the University of Washington, majoring in sociology and graduating magna cum laude and Phi Beta Kappa, she brought to her Regental responsibilities a deep appreciation and affection for her alma mater; and

WHEREAS, through her active involvement in student life, as a peer mentor, student ambassador, board member of Outlaws, the School of Law's gay, lesbian, bisexual, and transgender student organization, and as managing editor of Washington Law Review, she has demonstrated her remarkable leadership skills and contributed to the enhancement of student life at the University; and

WHEREAS, she has served the Board with great distinction, admirably representing the students of the University and bringing to her work on the Board the perspective of a deeply thoughtful, well-prepared and informed student, thoroughly committed to the pursuit of excellence; and

WHEREAS, her commitment to students and keeping them informed and engaged with the work of the Board, particularly in bringing together the student body leadership of the three campuses and communicating with all students about issues of importance to them has made her an especially effective and approachable Regent; and

WHEREAS, her sincere commitment to the values of diversity and the importance of ensuring that students from all walks of life have the opportunity to attend the University has helped nurture a welcoming, open, and supportive environment ; and

WHEREAS, her intelligence, seriousness of purpose, strong work ethic, superb judgment and engaging personality have made her an exemplary Regent, colleague, and friend;

NOW, THEREFORE, BE IT RESOLVED: that the members of the Board of Regents express to Erin Lennon their gratitude on behalf of the entire University community, in particular the student body, and their own personal thanks for her outstanding service, dedication, and hard work, that we wish her continued success and happiness in all her endeavors, and that this resolution be spread upon the minutes of the Board as a permanent record of the Board's sincere appreciation.

**University of Washington  
Board of Regents  
Resolution of Appreciation to  
Michele Sams**

WHEREAS, Michele Sams, Secretary of the University of Washington Board of Regents, has served with distinction in her capacity as a corporate officer of the University of Washington Board of Regents since 2002; and

WHEREAS, she has been an exceptional and dedicated member of the University staff for 32 years, serving in various capacities in student activities and student affairs, helping to enhance the student experience at the University in a variety of areas before becoming secretary of the Board; and

WHEREAS, after so many years of inspirational and tireless work, she has decided to transcend the daily grind of Board meetings and agendas, document production and paper shuffling, to enter at an entirely premature stage the blissful state of retirement; and

WHEREAS, she has exhibited remarkable skills in organizing and managing the work of the Board, including superhuman efficiency, incredible diplomacy, exquisite tact and discretion, and the quintessential consummate skill of herding ten cool and temperamental cats; and

WHEREAS, through it all, she has comported herself with professionalism, good humor, grace under pressure, superb judgment, generosity and kindness, and immense respect for the office she holds and the people we serve; and

WHEREAS, the University is simply a better place for Michele's having been here;

NOW, THEREFORE, BE IT RESOLVED: That the members of the Board of Regents, on behalf of the 20 Regents who have had the privilege to work with her since 2002, express to Michele Sams their immense gratitude for her years of service and her dedication to the Board and the University it serves, for her devotion to its members, and for her friendship, that we wish her joy and happiness in the years to come, and that as her last official act, she spread this resolution upon the minutes of the Board—her minutes—as a permanent record of the members' sincere appreciation.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Academic and Administrative Appointments

RECOMMENDED ACTION:

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents approve the appointments to the University faculty and administration as presented on the attached list.

Attachment: Personnel Recommendations

## COLLEGE OF ARCHITECTURE AND URBAN PLANNING

### DEPARTMENT OF ARCHITECTURE

#### **NEW APPOINTMENTS**

##### **BARTHOLOMEW, EDWARD PAUL**

(BA, 1989, SAN FRANCISCO STATE; MFA, 1995, PARSON'S SCHOOL OF DESIGN) TO BE RESEARCH ASSISTANT PROFESSOR OF ARCHITECTURE AT A SALARY RATE OF \$71,820 OVER TWELVE MONTHS, EFFECTIVE 6/16/2008. (MR. BARTHOLOMEW IS CURRENTLY A RESEARCH ASSOCIATE IN THE SAME DEPARTMENT.)

## COLLEGE OF ARTS AND SCIENCES

### DEPARTMENT OF ANTHROPOLOGY

#### **NEW APPOINTMENTS**

##### **AMRUTE, SAREETA**

(BA, 1997, COLUMBIA UNIVERSITY; MA, 2001, UNIVERSITY OF CHICAGO; PHD, 2007, UNIVERSITY OF CHICAGO) TO BE ASSISTANT PROFESSOR OF ANTHROPOLOGY AT A SALARY RATE OF \$63,000 OVER NINE MONTHS, EFFECTIVE 9/16/2008. (DR. AMRUTE IS CURRENTLY A VISITING PROFESSOR AT THE NEW SCHOOL FOR SOCIAL RESEARCH.)

### DEPARTMENT OF ENGLISH

#### **NEW APPOINTMENTS**

##### **SHEILDS, JULIET D.**

(BA, 1998, UNIVERSITY OF CALIFORNIA (IRVINE); MA, 1999, UNIVERSITY OF PENNSYLVANIA; PHD, 2004, UNIVERSITY OF PENNSYLVANIA) TO BE ASSISTANT PROFESSOR OF ENGLISH AT A SALARY RATE OF \$62,001 OVER NINE MONTHS, EFFECTIVE 9/16/2008. (DR. SHEILDS IS CURRENTLY AN ASSISTANT PROFESSOR AT BINGHAMTON UNIVERSITY.)

### DEPARTMENT OF PHYSICS

#### **ENDOWED APPOINTMENTS**

##### **ROBERTSON, ROBERT GRAHAM HAMISH**

(BA, 1965, OXFORD UNIVERSITY (UK); MA, 1965, OXFORD UNIVERSITY (UK); PHD, 1971, McMASTER UNIVERSITY (CANADA)) TO BE HOLDER OF THE BOEING DISTINGUISHED PROFESSORSHIP IN PHYSICS OVER NINE MONTHS, EFFECTIVE 7/1/2008. (PROFESSOR ROBERTSON WILL CONTINUE AS PROFESSOR OF PHYSICS.)

### DEPARTMENT OF POLITICAL SCIENCE

#### **ENDOWED APPOINTMENTS**

##### **HANSON, STEPHEN**

(BA, 1985, HARVARD UNIVERSITY; MA, 1986, UNIVERSITY OF CALIFORNIA (BERKELEY); PHD, 1991, UNIVERSITY OF CALIFORNIA (BERKELEY)) TO BE HOLDER OF THE HERBERT J. ELLISON PROFESSORSHIP IN RUSSIAN AND EURASIAN STUDIES OVER NINE MONTHS, EFFECTIVE 10/1/2008. (PROFESSOR HANSON WILL CONTINUE AS PROFESSOR OF POLITICAL SCIENCE AND ADJUNCT PROFESSOR OF SOCIOLOGY.)

## DEPARTMENT OF SOCIOLOGY

### **NEW APPOINTMENTS**

#### **MULCAHY, MICHAEL**

(BA, 1983, UNIVERSITY OF CALIFORNIA (BERKELEY); MA, 1993, RUHR UNIVERSITAT BOEHUM (GERMANY); MA, 1998, UNIVERSITY OF ARIZONA; PHD, 2004, UNIVERSITY OF ARIZONA) TO BE VISITING ASSISTANT PROFESSOR, PART-TIME, OF SOCIOLOGY AT A SALARY RATE OF \$29,502 OVER NINE MONTHS, EFFECTIVE 9/16/2008. (DR. MULCAHY IS AN ASSISTANT PROFESSOR OF SOCIOLOGY AT THE UNIVERSITY OF CONNECTICUT, STAMFORD.)

## DEPARTMENT OF SPEECH AND HEARING SCIENCES

### **NEW APPOINTMENTS**

#### **KENDALL, DIANE LYNNE**

(BA, 1986, WEST VIRGINIA UNIVERSITY; MA, 1989, CALIFORNIA STATE UNIVERSITY, FULLERTON; PHD, 1999, UNIVERSITY OF PITTSBURGH) TO BE ASSISTANT PROFESSOR OF SPEECH AND HEARING SCIENCES AT A SALARY RATE OF \$76,005 OVER NINE MONTHS, EFFECTIVE 9/16/2008. (DR. KENDALL IS CURRENTLY AN ASSOCIATE PROFESSOR OF COMMUNICATION SCIENCE AND DISORDERS AT THE UNIVERSITY OF FLORIDA.)

## SCHOOL OF ART

### **NEW APPOINTMENTS**

#### **ZIRPEL, MARK**

(BFA, 1985, UNIVERSITY OF ALASKA; MFA, 1989, SAN FRANCISCO ART INSTITUTE) TO BE ASSISTANT PROFESSOR OF ART AT A SALARY RATE OF \$67,500 OVER NINE MONTHS, EFFECTIVE 9/16/2008.

## **COLLEGE OF ENGINEERING**

## DEPARTMENT OF TECHNICAL COMMUNICATION

### **NEW APPOINTMENTS**

#### **KRIZ, SARAH**

(BA, 1999, SAN DIEGO STATE UNIVERSITY; MA, 2003, UNIVERSITY OF CALIFORNIA (SANTA BARBARA); PHD, 2006, UNIVERSITY OF CALIFORNIA (SANTA BARBARA)) TO BE ASSISTANT PROFESSOR OF TECHNICAL COMMUNICATION AT A SALARY RATE OF \$72,000 OVER NINE MONTHS, EFFECTIVE 9/16/2008. (DR. KRIZ IS CURRENTLY A NATIONAL RESEARCH COUNCIL POSTDOCTORAL ASSOCIATE WITH THE NAVAL RESEARCH LABORATORY.)

## **SCHOOL OF LAW**

## LAW

### **ENDOWED APPOINTMENTS**

#### **RAMASASTRY, ANITA**

(BA, 1988, HARVARD UNIVERSITY; MA, 1989, UNIVERSITY OF SYDNEY (AUSTRALIA); JD, 1992, HARVARD UNIVERSITY) TO BE HOLDER OF THE D. WAYNE AND ANNE GITTENGER PROFESSORSHIP OVER NINE MONTHS, EFFECTIVE 9/16/2008. (PROFESSOR RAMASASTRY WILL CONTINUE AS ASSOCIATE PROFESSOR OF LAW.)

## **SCHOOL OF SOCIAL WORK**

## SOCIAL WORK

### **ENDOWED APPOINTMENTS**

#### **CATALANO, RICHARD**

(BA, 1973, UNIVERSITY OF WISCONSIN- MADISON; MA, 1976, UNIVERSITY OF WASHINGTON; PHD, 1982, UNIVERSITY OF WASHINGTON) TO BE HOLDER OF THE BARTLEY DOBB PROFESSORSHIP FOR THE STUDY AND PREVENTION OF VIOLENCE OVER NINE MONTHS, EFFECTIVE 7/1/2008. (PROFESSOR CATALANO WILL CONTINUE AS PROFESSOR OF SOCIAL WORK.)

## **NEW APPOINTMENTS**

### **GONZALES, ROBERTO G.**

(BA, 1992, COLORADO COLLEGE; MA, 1999, UNIVERSITY OF CHICAGO; MA, 2004, UNIVERSITY OF CALIFORNIA (IRVINE); PHD, 2008 (Expected), UNIVERSITY OF CALIFORNIA (IRVINE)) TO BE ACTING ASSISTANT PROFESSOR OF SOCIAL WORK AT A SALARY RATE OF \$70,002 OVER NINE MONTHS, EFFECTIVE 9/16/2008.

## **SCHOOL OF MEDICINE**

### DEPARTMENT OF ANESTHESIOLOGY

#### **NEW APPOINTMENTS**

##### **GRABINSKY, ANDREAS**

(MD, 1995, UNIVERSITY OF FRANKFURT (GERMANY)) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF ANESTHESIOLOGY AT A SALARY RATE OF \$69,768 OVER TWELVE MONTHS, EFFECTIVE 5/1/2008. (PRIOR TO THIS APPOINTMENT, DR. GRABINSKY WAS AN ACTING ASSISTANT PROFESSOR- TEMPORARY IN THE SAME DEPARTMENT.)

##### **LANDAU-CAHANA, RUTH**

(MD, 1989, UNIVERSITY OF GENEVA (SWITZERLAND)) TO BE PROFESSOR WITHOUT TENURE OF ANESTHESIOLOGY AND HOLDER OF THE VIRGINIA AND PRENTICE BLOEDEL PROFESSORSHIP AT A SALARY RATE OF \$90,576 OVER TWELVE MONTHS, EFFECTIVE 6/1/2008 (PRIOR TO THIS APPOINTMENT, DR. LANDAU-CAHANA WAS AN ASSOCIATE PROFESSOR OF ANESTHESIA AT GENEVA UNIVERSITY HOSPITAL IN SWITZERLAND.)

### DEPARTMENT OF MEDICAL EDUCATION AND BIOMEDICAL INFORMATICS

#### **ADMINISTRATIVE APPOINTMENTS**

##### **EVELAND, A. PETER**

(BS, 1991, WILMINGTON COLLEGE OF OHIO; MS, 1992, UNIVERSITY OF DAYTON; PHD, 1995, UNIVERSITY OF CINCINNATI) TO BE SENIOR LECTURER FULL-TIME OF MEDICAL EDUCATION AND BIOMEDICAL INFORMATICS AND ASSOCIATE DEAN FOR STUDENT AFFAIRS IN THE SCHOOL OF MEDICINE OVER TWELVE MONTHS, EFFECTIVE 5/1/2008. (PRIOR TO THIS APPOINTMENT, DR. EVELAND WAS ASSOCIATE DEAN FOR STUDENT AFFAIRS, ADMISSIONS, AND OUTREACH, FLORIDA STATE UNIVERSITY COLLEGE OF MEDICINE.)

### DEPARTMENT OF MEDICINE

#### **ENDOWED APPOINTMENTS**

##### **HIMMELFARB, JONATHAN**

(BA, 1977, BRANDEIS UNIVERSITY; MD, 1983, GEORGE WASHINGTON UNIVERSITY) TO BE HOLDER OF THE JOSEPH W. ESCHBACH, M.D. ENDOWED CHAIR IN KIDNEY RESEARCH, EFFECTIVE 6/1/2008. (DR. HIMMELFARB WILL CONTINUE AS PROFESSOR OF MEDICINE.)

#### **NEW APPOINTMENTS**

##### **GALLAHUE, FIONA ELIZABETH**

(BA, 1992, WASHINGTON UNIVERSITY; MD, 1997, WASHINGTON UNIVERSITY) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF MEDICINE AT A SALARY RATE OF \$155,004 OVER TWELVE MONTHS, EFFECTIVE 5/29/2008. (PRIOR TO THIS APPOINTMENT, DR. GALLAHUE WAS A CLINICAL ASSISTANT PROFESSOR OF EMERGENCY MEDICINE AT WEILL MEDICAL COLLEGE OF CORNELL UNIVERSITY.)



DEPARTMENT OF NEUROLOGICAL SURGERY

**NEW APPOINTMENTS**

**BROWD, SAMUEL ROBERT**

(BS, 1993, UNIVERSITY OF FLORIDA; MD, 2000, UNIVERSITY OF FLORIDA; PHD, 2000, UNIVERSITY OF FLORIDA) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF NEUROLOGICAL SURGERY PAID DIRECT BY CHILDREN'S HOSPITAL AND REGIONAL MEDICAL CENTER EFFECTIVE 7/1/2008. (PRIOR TO THIS APPOINTMENT, DR. BROWD WAS AN ACTING INSTRUCTOR AND SENIOR FELLOW IN THE SAME DEPARTMENT.)

DEPARTMENT OF PATHOLOGY

**NEW APPOINTMENTS**

**DINTZIS, SUZANNE M.**

(BA, 1985, PRINCETON UNIVERSITY; PHD, 1993, STANFORD UNIVERSITY; MD, 1993, STANFORD UNIVERSITY) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF PATHOLOGY AT A SALARY RATE OF \$87,504 OVER TWELVE MONTHS, EFFECTIVE 5/1/2008. (PRIOR TO THIS APPOINTMENT, DR. DINTZIS WAS CHIEF OF THE DEPARTMENT OF PATHOLOGY AT ST. LUKES HOSPITAL.)

**WILSON, CAROLE**

(BS, 1983, EAST CAROLINA UNIVERSITY; PHD, 1992, PRINCETON UNIVERSITY) TO BE RESEARCH ASSISTANT PROFESSOR OF PATHOLOGY AT A SALARY RATE OF \$82,008 OVER TWELVE MONTHS, EFFECTIVE 3/1/2008. (PRIOR TO THIS APPOINTMENT, DR. WILSON WAS AN ACTING ASSISTANT PROFESSOR - TEMPORARY IN THE SAME DEPARTMENT.)

DEPARTMENT OF PEDIATRICS

**ENDOWED APPOINTMENTS**

**PARK, JULIE RUGGIERI**

(BA, 1983, UNIVERSITY OF VERMONT; MD, 1988, UNIVERSITY OF VERMONT) TO BE HOLDER OF THE BUSHNELL, TOWNE, WILDERSON ENDOWED CHAIR IN PEDIATRIC NEUROBLASTOMA, EFFECTIVE 6/1/2008. (DR. PARK WILL CONTINUE AS ASSOCIATE PROFESSOR WITHOUT TENURE OF PEDIATRICS.)

**RAWLINGS, DAVID JEFFREY**

(BS, 1979, DAVIDSON COLLEGE; MD, 1984, UNIVERSITY OF NORTH CAROLINA) TO BE HOLDER OF THE CHILDREN'S HOSPITAL GUILD ASSOCIATION ENDOWED CHAIR IN PEDIATRIC IMMUNOLOGY, EFFECTIVE 6/1/2008. (DR. RAWLINGS WILL CONTINUE AS PROFESSOR WITHOUT TENURE OF PEDIATRICS AND ADJUNCT PROFESSOR OF IMMUNOLOGY.)

DEPARTMENT OF REHABILITATION MEDICINE

**NEW APPOINTMENTS**

**TURNER, AARON P.**

(BA, 1995, WESLEYAN UNIVERSITY; PHD, 2001, UNIVERSITY OF WASHINGTON) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF REHABILITATION MEDICINE PAID DIRECT BY VETERANS AFFAIRS PUGET SOUND HEALTH CARE SYSTEM EFFECTIVE 7/1/2008. (PRIOR TO THIS APPOINTMENT, DR. TURNER WAS AN ACTING ASSISTANT PROFESSOR-TEMPORARY IN THE SAME DEPARTMENT.)

DEPARTMENT OF SURGERY

**ENDOWED APPOINTMENTS**

**MULLIGAN, MICHAEL SCOTT**

(BA, 1984, TUFTS UNIVERSITY; MD, 1989, UNIVERSITY OF CONNECTICUT) TO BE HOLDER OF THE UW MEDICINE DISTINGUISHED ENDOWED PROFESSORSHIP IN LUNG TRANSPLANT, EFFECTIVE 6/1/2008. (DR. MULLIGAN WILL CONTINUE AS ASSOCIATE PROFESSOR WITHOUT TENURE OF SURGERY.)

DEPARTMENT OF UROLOGY

**ENDOWED APPOINTMENTS**

**LANGE, PAUL HENRY**

(MD, 1967, WASHINGTON UNIVERSITY) TO BE HOLDER OF THE PRITT FAMILY ENDOWED CHAIR IN PROSTATE CANCER RESEARCH, EFFECTIVE 6/1/2008. (DR. LANGE WILL CONTINUE AS PROFESSOR AND CHAIR OF UROLOGY.)

**SCHOOL OF NURSING**

DEPARTMENT OF PSYCHOSOCIAL AND COMMUNITY HEALTH

**ADMINISTRATIVE APPOINTMENTS**

**TERI, LINDA**

(BA, 1974, STATE UNIVERSITY OF NEW YORK (BUFFALO); PHD, 1980, UNIVERSITY OF VERMONT) TO BE ACTING CHAIR OF PSYCHOSOCIAL AND COMMUNITY HEALTH, EFFECTIVE 9/16/2008. (DR. TERI WILL CONTINUE AS PROFESSOR OF PSYCHOSOCIAL AND COMMUNITY HEALTH, ADJUNCT PROFESSOR OF PSYCHIATRY AND BEHAVIORAL SCIENCES AND ADJUNCT PROFESSOR OF PSYCHOLOGY.)

**SCHOOL OF PUBLIC HEALTH AND COMMUNITY MEDICINE**

DEPARTMENT OF HEALTH SERVICES

**NEW APPOINTMENTS**

**HEBERT, PAUL LOUIS**

(BA, 1985, GEORGETOWN UNIVERSITY; PHD, 2001, GEORGETOWN UNIVERSITY) TO BE RESEARCH ASSOCIATE PROFESSOR OF HEALTH SERVICES PAID DIRECT BY VETERANS AFFAIRS PUGET SOUND HEALTH CARE SYSTEM EFFECTIVE 6/1/2008. (PRIOR TO THIS APPOINTMENT, DR. HEBERT WAS AN ASSISTANT PROFESSOR OF HEALTH POLICY AT MOUNT SINAI SCHOOL OF MEDICINE.)

**PETERSON, KATHLEEN**

(BA, 1973, FORDHAM UNIVERSITY; MS, 1994, CENTRAL MICHIGAN UNIVERSITY) TO BE SENIOR LECTURER OF HEALTH SERVICES AT A SALARY RATE OF \$77,508 OVER TWELVE MONTHS, EFFECTIVE 7/1/2008. (MS. PETERSON IS CURRENTLY THE CHAIR AND ASSISTANT PROFESSOR OF HEALTH INFORMATION TECHNOLOGY AND CANCER INFORMATION MANAGEMENT AT SANTA BARBARA CITY COLLEGE.)

**SOLORIO, MARIA ROSA**

(BA, 1985, CALIFORNIA STATE UNIVERSITY, FULLERTON; MD, 1989, UNIVERSITY OF CALIFORNIA (SAN DIEGO); MPH, 1999, UNIVERSITY OF WASHINGTON) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF HEALTH SERVICES AT A SALARY RATE OF \$100,008 OVER TWELVE MONTHS, EFFECTIVE 7/1/2008. (DR. SOLORIO IS CURRENTLY AN ASSISTANT PROFESSOR OF FAMILY MEDICINE AT THE UNIVERSITY OF CALIFORNIA, LOS ANGELES.)

**UNIVERSITY OF WASHINGTON, BOTHELL**

BUSINESS PROGRAM

**NEW APPOINTMENTS**

**LANGREHR, FREDERICK WILLIAM**

(BA, 1965, VALPARAISO UNIVERSITY; MS, 1968, NORTHERN ILLINOIS UNIVERSITY; PHD, 1976, UNIVERSITY OF ALABAMA) TO BE VISITING PROFESSOR OF BUSINESS AT A SALARY RATE OF \$93,753 OVER NINE MONTHS, EFFECTIVE 9/1/2008. (DR. LANGREHR IS CURRENTLY THE PAUL H. BRANDT PROFESSOR OF BUSINESS AT VALPARAISO UNIVERSITY.)

# UNIVERSITY OF WASHINGTON, TACOMA

## INSTITUTE OF TECHNOLOGY

### **NEW APPOINTMENTS**

#### **BAI, YAN**

(BS, 1989, GUANGXI NORMAL UNIVERSITY (CHINA); MS, 1997, SAM HOUSTON STATE UNIVERSITY; PHD, 2003, UNIVERSITY OF BRITISH COLUMBIA (CANADA)) TO BE ASSISTANT PROFESSOR OF INSTITUTE OF TECHNOLOGY AT A SALARY RATE OF \$88,002 OVER NINE MONTHS, EFFECTIVE 7/1/2008. (DR.BAI IS CURRENTLY AN ASSISTANT PROFESSOR OF COMPUTER SCIENCE AT COLUMBUS STATE UNIVERSITY.)

#### **SHENG, JIE**

(BS, 1995, NANJING UNIVERSITY OF AERONAUTICS AND ASTRONAUTICS (CHINA); MS, 1998, NANJING UNIVERSITY OF AERONAUTICS AND ASTRONAUTICS (CHINA); PHD, 2002, UNIVERSITY OF ALBERTA (CANADA)) TO BE ASSISTANT PROFESSOR OF INSTITUTE OF TECHNOLOGY AT A SALARY RATE OF \$88,002 OVER NINE MONTHS, EFFECTIVE 1/1/2009. (DR. SHENG IS CURRENTLY AN ASSISTANT PROFESSOR OF COMPUTER ENGINEERING AT DIGIPEN INSTITUTE OF TECHNOLOGY.)

## INTERDISCIPLINARY ARTS AND SCIENCES PROGRAM

### **NEW APPOINTMENTS**

#### **BUDGE, TYLER**

(BFA, 1999, UTAH STATE UNIVERSITY; MFA, 2002, ILLINOIS STATE UNIVERSITY) TO BE SENIOR LECTURER OF INTERDISCIPLINARY ARTS AND SCIENCES AT A SALARY RATE OF \$57,744 OVER NINE MONTHS, EFFECTIVE 9/16/2008. (PRIOR TO THIS APPOINTMENT, MR.BUDGE WAS AN ASSISTANT PROFESSOR IN THE SAME PROGRAM.)

#### **COON, DAVID**

(BS, 1997, MIAMI UNIVERSITY (OHIO); MA, 2003, BOWLING GREEN STATE UNIVERSITY; PHD, 2009 (expected), INDIANA UNIVERSITY) TO BE ACTING ASSISTANT PROFESSOR OF INTERDISCIPLINARY ARTS AND SCIENCES AT A SALARY RATE OF \$60,003 OVER NINE MONTHS, EFFECTIVE 9/16/2008.

#### **SELKIN, PETER**

(BA, 1997, AMHERST COLLEGE; PHD, 2003, UNIVERSITY OF CALIFORNIA (SAN DIEGO)) TO BE ASSISTANT PROFESSOR OF INTERDISCIPLINARY ARTS AND SCIENCES AT A SALARY RATE OF \$64,998 OVER NINE MONTHS, EFFECTIVE 9/16/2008. (DR.SELKIN IS CURRENTLY A FULL-TIME LECTURER IN THE SAME DEPARTMENT.)

## SOCIAL WORK PROGRAM

### **NEW APPOINTMENTS**

#### **FURMAN, RICH**

(BA, 1998, YESHIVA UNIVERSITY; MSN, 1993, UNIVERSITY OF PENNSYLVANIA; PHD, 2001, YESHIVA UNIVERSITY) TO BE DIRECTOR OF THE SOCIAL WORK PROGRAM AND ASSOCIATE PROFESSOR OF SOCIAL WORK, EFFECTIVE 8/1/2008. (DR. FURMAN IS CURRENTLY ASSOCIATE PROFESSOR OF SOCIAL WORK AT THE UNIVERSITY OF NORTH CAROLINA, CHARLOTTE.)

## URBAN STUDIES PROGRAM

### **NEW APPOINTMENTS**

#### **KELLEY, MATTHEW**

(BA, 1998, UNIVERSITY OF CALIFORNIA (SANTA BARBARA); MA, 2001, CALIFORNIA STATE UNIVERSITY, LOS ANGELES; PHD, 2007, PENNSYLVANIA STATE UNIVERSITY) TO BE ASSISTANT PROFESSOR OF URBAN STUDIES AT A SALARY RATE OF \$60,003 OVER NINE MONTHS, EFFECTIVE 9/16/2008. (DR.KELLEY IS CURRENTLY A VISITING ASSISTANT PROFESSOR OF GEOGRAPHY AT BUCKNELL UNIVERSITY.)

#### **WESSELLS, ANNE TAUFEN**

(BA, 1995, UNIVERSITY OF VIRGINIA; MA, 2000, UNIVERSITY OF PENNSYLVANIA; PHD, 2007, UNIVERSITY OF CALIFORNIA (IRVINE)) TO BE ASSISTANT PROFESSOR OF URBAN STUDIES PROGRAM AT A SALARY RATE OF \$61,002 OVER NINE MONTHS, EFFECTIVE 9/16/2008.

## **GRADUATE SCHOOL**

### **ADMINISTRATIVE APPOINTMENT**

#### **BALDASTY, GERALD**

(BS, 1972, UNIVERSITY OF WASHINGTON; MA, 1974, UNIVERSITY OF WISCONSIN-MADISON; PHD, 1978, UNIVERSITY OF WASHINGTON) TO BE INTERIM DEAN AND VICE PROVOST OF THE GRADUATE SCHOOL OVER TWELVE MONTHS, EFFECTIVE 8/1/2008.

## VII. STANDING COMMITTEES

## A. Academic and Student Affairs Committee

Granting of Degrees for 2007-2008RECOMMENDED ACTION:

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents approve the granting of degrees to those individuals who, in the judgment of the faculty, have satisfied the requirements for their respective degrees during the 2007-2008 academic year.

BACKGROUND:

The statutes of the State of Washington require that the Board of Regents approve the granting of degrees to those individuals who have satisfied the requirements for their respective degrees. Similar action is taken each year by the Board of Regents.

Approximately 12,290 degrees will be awarded this academic year. For work completed at the University of Washington, Seattle, students will receive 10,840 degrees, specifically: 7,000 bachelor's degrees, 2,695 master's degrees, 485 professional degrees and 660 doctoral degrees. For work completed at the University of Washington, Bothell, students will receive 620 degrees, including 520 bachelor's degrees and 100 master's degrees. For work completed at the University of Washington, Tacoma, students will receive 830 degrees, including 675 bachelor's degrees and 155 master's degrees.

Last year a total of 12,310 degrees were awarded: At Seattle, 7,028 bachelor's degrees, 2,634 master's degrees, 499 professional degrees (Law, 184, Medicine, 167, Dentistry, 55, Pharmacy, 93), and 631 doctoral degrees; at Bothell, 569 bachelor's degrees and 96 master's degrees; and at Tacoma, 709 bachelor's degrees and 144 master's degrees.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Services and Activities Fee - University of Washington, Tacoma: Distribution of Fee and Allocation of Funds

RECOMMENDED ACTION:

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents approve the following Services and Activities Fee proposals for the University of Washington Tacoma:

- 1) an increase in the Services and Activities Fee for academic year 2008-09,
- 2) the distribution of Services and Activities Fee for 2008-09; and
- 3) the operating budgets and expenditures recommended for 2008-09.

BACKGROUND:

Services and Activities Fees at UW Tacoma and UW Bothell are collected separately from the Services and Activities Fee at the Seattle campus, but the process is handled in like manner for each campus. As provided under RCW 28B.15.045, a student committee proposes the annual program priorities and budget allocation levels to the Board of Regents for approval. The Board of Regents has approved the Services and Activities Fee (SAF) Guidelines that established the Services and Activities Fee (SAF) Committee for UW Tacoma and its operating procedures.

The Board of Regents is authorized to increase the Services and Activities Fee by an amount not to exceed the annual percentage increase in undergraduate tuition authorized by the legislature in the Omnibus Budget Bill.

The history of the level of the Services and Activities Fee at UW Tacoma is:

1991-92	\$ 76	2001-02	\$ 91
1992-93	\$ 76	2002-03	\$ 91
1994-95	\$ 81	2003-04	\$ 97
1995-96	\$ 83	2004-05	\$100
1996-97	\$ 83	2005-06	\$103
1997-98	\$ 85	2006-07	\$106
1998-99	\$ 87	2007-08	\$113
2000-01	\$ 89	2008-09	\$120

Services and Activities Fee - University of Washington, Tacoma:  
Distribution of Fees and Allocation of Funds (continued – p. 2)

The SAF Committee at UW Tacoma has recommended the following for academic year 2008-2009. Chancellor Patricia Spakes has reviewed and concurs in these recommendations.

1. Level of Fee:

The quarterly fee payable by a full-time student should be increased to \$120 per quarter for a full-time student for the 2008-09 academic year. This is the maximum amount permitted by law as implemented by the Board of Regents.

2. Distribution of Fees:

Based upon estimated revenue of \$1,003,000 for fiscal year 2008-09, the Committee recommends that the fees be distributed as follows:

	2006-07 % Distribution	2007-08 % Distribution	2007-08 Distribution based on \$113 fee	2008-09 Distributio n based on \$120 fee
Student Activities and Services	82.2%	78.7%	\$559,800	\$788,935
Long-term student loans:	3.5%	3.5%	26,390	\$35,100
Long-term development	14.3%	17.8%	167,810	\$178,965
Total			\$754,000	\$1,003,000

3. 2008-09 Budget Allocations for Student Activities and Services

The Committee recommends the following distribution for Student Activities and Services for the 2008-09 year.

Transportation	\$4,800
SAFC Ops (.25% of total collected)	\$2,500
Childcare Assistance Program	\$55,000
Student Activities Board:	
Stipends (including summer)	\$43,500
Programming Fund	\$60,000
Campus Event Fund	\$50,000
Operational Costs	\$7,500

Services and Activities Fee - University of Washington, Tacoma:  
Distribution of Fees and Allocation of Funds (continued – p. 3)

Registered Student Organizations	
Operational Costs	\$3,500
Finance Incentive Program	\$13,500
Club Fairs	\$1,500

<u>2008-09 Budget Allocations for Student Activities and Services- cont'd</u>	
SDS Training Fund	\$80,000
Ledger	\$61,000
Tahoma West	\$29,350
Student Life	\$195,000
ASUWT (Student Government) – Stipends and Operations	\$77,110
Longshoremen’s Hall – Staffing and Communications	\$79,675
Intramural Sports & Rec. Program	\$8,000
College Leadership Program	<u>\$17,000</u>
TOTAL	\$788,935

Allocations which are unspent at the end of the fiscal year will revert to the contingency operating budget. Any additional revenue generated as a result of implementation of a fee in the amount of \$120 or due to excess enrollment will remain in the Long Term Development fund.

ATTACHMENTS:

- 1) April 4, 2008 memorandum from James Hendricks, UWT Services and Activities Fee Committee, to Dr. Patricia Spakes, UWT Chancellor
- 2) May30, 2007 letter of dissent from Julio Perez
- 3) May 27, 2008 memorandum from Dr. Patricia Spakes to James Hendricks



## VII. STANDING COMMITTEES

## A. Academic and Student Affairs Committee

Services and Activities Fees - University Of Washington Bothell:  
2008-09 Distribution of Fees and Allocation of Funds and Revised Guidelines and  
Operating Procedures

RECOMMENDED ACTION:

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents approve for the University of Washington Bothell:

- 1) Recommended Services and Activities Fee Budget for 2008-09;
- 2) Recommendations for Long Term fund Expenditures; and
- 3) Proposed Revisions to the Services and Activities Fees Guidelines and Operating Procedures.

BACKGROUND:

Services and Activities Fees at the UW Bothell and Tacoma campuses are collected separately from the Services and Activities Fees at the Seattle Campus, but the process is handled in like manner. As provided under RCW 28B.15.045, a student committee recommends the annual allocations to the Board of Regents for approval. The Board of Regents, at the September 27, 1991 meeting, approved the Guidelines that established the Services and Activities Fees (SAF) Committee for the Bothell Campus and its operating procedures.

The Board of Regents is authorized to increase the Services and Activities Fee annually by a percentage not to exceed the annual percentage increase in tuition.

The quarterly rate history of the Services and Activities Fee at UW Bothell is:

1991-92	\$76	2000-01	\$83
1992-93	\$76	2001-02	\$83
1993-94	\$79	2002-03	\$83
1994-95	\$81	2003-04	\$86
1995-96	\$84	2004-05	\$89
1996-97	\$87	2005-06	\$91
1997-98	\$90	2006-07	\$93
1998-99	\$93	2007-08	\$95
1999-00	\$93		

Services and Activities Fees - University Of Washington Bothell:  
2008-09 Distribution of Fees and Allocation of Funds and Revised Guidelines and  
Operating Procedures (continued p. 2)

1) Recommended Services and Activities Fee Budget for the University of Washington Bothell:

**A. Services and Activities Fee for 2008-09**

The SAF Committee recommends that the quarterly fee payable by full-time students be raised \$6.65 per student, per quarter (from \$95 to \$101.65), the maximum allowable amount under Initiative 601, for the 2008-09 academic year. The fee revenue was calculated conservatively by using 90% of the estimated annualized FTE target of 2,045 for three quarters (Autumn, Winter, and Spring), plus an estimated fee collection of \$48,000 for Summer 2008. The total estimated fee collection for all four quarters (2008-09) is illustrated in Schedule 1, below.

<b>Schedule 1: Distribution of Fees</b>		
2,045 FTE @ \$101.65 X 3 Quarters	\$	623,623
Estimated Summer 2008 Revenue		48,000
Less 10% contingency for under enrollment		(67,162)
Net Revenue	\$	604,461
Less Mandated Loan Fund (3.5%)		(21,156)
<b>Revised Net Revenue</b>	<b>\$</b>	<b>583,305</b>

**B. 2008-09 Allocation of Student Activities and Services Funds:**

ASUWB	\$59,242
Association of Computing Machinery	2,000
Campus Events Board	88,590
Career Center	102,000
Childcare Voucher Program	25,000
Delta Epsilon Chi	2,500
Intercultural Club	8,000
Literary Journal	7,000
MBA Association	1,750
Policy Journal	6,400
Recreation and Wellness Program	77,050
Student Entrepreneur's Network	2,500
Student Life Operations	146,274
Teacher Education Professional Development	3,500
SAF Contingency Fund	33,000
SAF Long-Term Fund Contribution	18,499
<b>Total</b>	<b>\$583,305</b>

**C. Proposed Operating Expenditures for SAF Funds**

If specifically authorized by the Services and Activities Fee Committee at the time of allocation, the following expenditures are acceptable in support of bona fide school-related curricular or extracurricular functions, activities, or programs participated in by UWB students in the furtherance of their education:

1. Ordinary supplies, purchased services or equipment necessary to conduct the student function, activity, or program. Business cards may not be purchased with Services and Activities Fees.
2. Compensation for students or other University employees engaged in activities or services that directly involve or support currently enrolled UWB students such as student government, student activities, student life, financial aid, counseling, testing, placement, and security. Compensation is established once per year, during the Annual Budget cycle, and cannot be modified during the Contingency cycle.
3. Necessary and reasonable fees, meals, lodging, and transportation expenses for entertainers, lecturers, guest speakers and others who provide personal services on a contractual basis.
4. Trophies, plaques or medals, certificates of award or articles of personal property that are of nominal value (\$50 or less) given to currently enrolled UWB students as recognition for participation, achievement, or excellence as part of the functions of student organizations, activities, or programs. Articles of clothing may not be purchased with Services and Activities Fees unless expressly stated otherwise by the SAF committee at the time of allocation.
5. Promotional Items are designed to promote any student organization, group, or funded project or service are limited to a total value of \$200 per quarter unless expressly stated otherwise by the Services and Activities Fee Committee at the time of allocation. Food and publicity (for specific events) are not considered a promotional item.
6. Cost of childcare for children of currently enrolled UWB students who are participating in UWB programs held on the UWB campus.

Services and Activities Fees - University Of Washington Bothell:  
2008-09 Distribution of Fees and Allocation of Funds and Revised Guidelines and  
Operating Procedures (continued p. 4)

7. Travel Awards can only be granted to currently enrolled UWB students to participate in approved student functions, activities, or programs. Awards are limited to paying accommodations, registration fees, and incidental expenses such as costs associated with renting a state vehicle (may not exceed \$10 per day). Approved travel awards are reimbursements and are reimbursed only when receipts are presented. All travel must comport with established UW travel policies and procedures (e.g., travel must be approved in advance using the Travel Authorization Form and a Travel Expense Voucher must be completed and approved before any reimbursements are made). Travel must benefit the broader student community through participation upon return from the trip. Maximum reimbursement will be \$500 per student and \$2,500 per group unless expressly stated otherwise by the SAF Committee.
8. Food and refreshments may be purchased for on-campus UWB-student functions (including award receptions, training programs), activities, or programs. Such funds are intended to support activities and programs open to the general student body. Funds are not intended to support routine meetings associated with student organizations (student government, campus events board, SAF, etc.). Services and Activities Fees may not be used to purchase or serve alcoholic beverages. In regards to off-campus activities, only 25% of the specific event funds can be used to purchase meals and/or refreshments.
9. Consistent with state law, any expenditure of Services and Activities Fees, including loans, is considered a prohibited gift when made for the direct benefit of private individuals or groups. State law also prohibits contributions of Services and Activities Fees or property to a political candidate or cause in connection with any local, state, or federal election.
10. Services and Activities Fees shall not be used as fundraising contributions; matching funds for fundraising purposes; or for any expenses related to a meetings, events, or activities of which the principal purpose is fundraising.

Services and Activities Fees - University Of Washington Bothell:  
2008-09 Distribution of Fees and Allocation of Funds and Revised Guidelines and  
Operating Procedures (continued p. 5)

**D. 2008-09 Budget Notes and Funding Stipulations**

**Associated Students of the University of Washington Bothell (\$59,242)**

ASUWB's budget request was submitted four-days late; the standard 10% penalty was assessed on the overall total of \$65,824. With the 10% penalty of \$6,582, the total award is \$59,242). The below figures reflect the gross award **prior** to the penalty. ASUWB may decide where and how it wishes to apply the \$6,582 penalty; however, it is the hope of the committee that the Student Academic Enhancement Funds not suffer more than their equitable portion of the penalty. Details of the award are as follows:

Compensation

President and V.P. (37 wks x 20 hrs/wk x \$11/hour x 2 positions	\$16,280
Executive Reps (37 wks x 10 hrs/week x \$11/hr x 3 positions	\$12,210
Benefits (11% of the above)	<u>\$ 3,134</u>
Total	<b>\$31,624</b>

Officer Training and Development

Up to \$1,500 per officer x 5 officers + 1 advisor **\$ 9,000**

ASUWB Election Events and Town Hall Meetings

**\$ 2,700**

Transportation

Mileage reimbursement for ASUWB officers to attend local meetings

**\$ 500**

Supply Stations

Office supplies to restock supply stations around campus

**\$ 500**

Office Equipment Replacement

4 computers and 1 printer to be replaced in the ASUWB office

**\$ 6,500**

Student Academic Enhancement Funds (SAEF)

**\$15,000**

Administered by ASUWB, grants of up to \$1,500 are available to help fund students' educational travel in accordance with the following priorities:

1. The first priority for funding will be given to those students who have been offered the opportunity to present a research paper or project at a professional conference and who have the endorsement of a UWB faculty member in his or her discipline.

Services and Activities Fees - University Of Washington Bothell:  
2008-09 Distribution of Fees and Allocation of Funds and Revised Guidelines and  
Operating Procedures (continued p. 6)

2. The second priority for funding will be given to those students who wish to enhance their current leadership role on campus by attending a skills-building conference or workshop. The student's application must be endorsed by the student life advisor (Jen Droege).
3. The third priority for funding will be given to those students who wish to attend a conference or workshop in order to create or enhance a project or service that will directly benefit UWB students. The student's application must be endorsed by a UWB faculty or staff member intimately familiar with the project.

**Association of Computing Machinery (\$2,000)**

Full funding was awarded for the group's various events. Due to the size of this award, ACM is ineligible for additional club funding in 2008-09.

**Campus Events Board (\$88,590)**

A total of \$10,000 was reduced from CEB's original request of \$98,590: \$5,000 from the proposed CSS Speakers Series and \$5,000 from general events.

Compensation

37 weeks x 10 hours per week x \$11 per hour x 5 programmers	\$20,350
Benefits (11%)	<u>\$ 2,240</u>
Total	<b>\$22,590</b>

Training and Development

Up to \$1,500 per programmer (n=5) to attend the NACA regional conference plus one advisor	<b>\$ 9,000</b>
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CEB-Sponsored Events **\$50,000**

Co-Sponsored Programs

CSS Speakers Series	\$ 5,000
CUSP Discovery Café	\$ 2,000
Total	<b>\$ 7,000</b>

Services and Activities Fees - University Of Washington Bothell:  
2008-09 Distribution of Fees and Allocation of Funds and Revised Guidelines and  
Operating Procedures (continued p. 7)

**Career Center (\$102,000)**

The Committee recommends full funding for the Career Center:

Compensation

Hourly peer advisors (60 hours per week x 40 weeks @\$15/hr.)	\$36,000
Benefits for peer advisors (11%)	\$ 4,000
Half-time professional Employer Relations Coordinator*	\$25,000
Benefits for Coordinator (32%)	<u>\$ 8,000</u>
Total	<b>\$73,000</b>

Honoraria

10 seminars @ \$200 each	\$ 2,000
MBA career coach	<u>\$ 2,000</u>
Total	<b>\$ 4,000</b>

Facilities

20 events @ \$250 each	<b>\$ 5,000</b>
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<u>Printing/Publicity</u>	<b>\$ 3,000</b>
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<u>Refreshments and Decorations</u>	<b>\$ 5,000</b>
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<u>Software and Licenses</u>	<b>\$ 6,000</b>
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<u>Equipment Rentals and Purchases</u>	<b>\$ 2,000</b>
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<u>Career Assessments</u>	<b>\$ 3,000</b>
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<u>Misc. operating supplies/resources</u>	<b>\$ 1,000</b>
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*\*The committee understands that the university will do its best to assume the cost for this position in a future budgeting cycle.*

**Childcare Assistance Program (\$25,000)**

The recommended funding for Childcare Vouchers is at the same level as in 2007-08. The committee understands that more students desire assistance than what funds permit. (\$40,000 was requested.) When applying for future awards, the committee requests written details on how the grants are to be administered

**Delta Epsilon Chi (\$2,500)**

The majority of DEC's request of \$22,090 was sought for travel. Questions arose over how the organization's travel would benefit the student community as whole. \$2,500 is awarded in accordance with SAF Guidelines on travel. Due to the size of this award, DEC is ineligible for additional club funding in 2008-09.

Services and Activities Fees - University Of Washington Bothell:  
2008-09 Distribution of Fees and Allocation of Funds and Revised Guidelines and  
Operating Procedures (continued p. 8)

**Intercultural Club (\$8,000)**

The original request (\$16,200) was later modified to \$11,700 after the club president met with a member of the Student Life staff. The revised request more closely reflects the actual attendance by currently enrolled UWB students at the intercultural night event. In addition, participation exceeded the safe capacity of the North Creek Events Center. The committee strongly encourages the club to continue working collaboratively with the Campus Events Board to produce its annual intercultural night. CEB is experienced and successful in managing large and complex activities. The committee further directs the Intercultural Club to better organize and justify future requests for funding as well as to seek funding from other sources for underwriting expenses associated with non-UWB student participants. Due to the size of this award, the Intercultural Club is ineligible for additional club funding in 2008-09.

**MAPS Alumni and Student Committee (\$0)**

The request of \$1,750 is not recommended for funding. It appears that funding is sought for orientation/welcome and graduation events in addition to support for alumni participation. The committee no longer funds graduation events. Student Life and CEB plan quarterly welcomes for students. Alumni cannot be supported with student fees. The committee further directs the MAPS Alumni and Student Committee to better organize and justify future requests for funding. As a registered student organization, the group is eligible for up to \$750 in club funding during the 2008-09 year.

**Literary Journal (\$7,000)**

During the appeals process, the committee awarded \$7,000 in funding for the Literary Journal (*Clamor*). Prof. Jeanne Heuving, the faculty member in charge of the journal, apologized for overlooking the deadline to apply for funding. Dr. Heuving expressed her passion for the journal and how sorry she was for missing the deadline. She explained that several students benefit by both publishing the journal and getting their work included in the publication. Inclusiveness and excellence are the two hallmarks for publishing. Dr. Diane Gillespie, Associate Director of IAS, informed the committee that her department is prepared to fund up \$1,700 (about 20% of the costs for publishing the journal) as a penalty for late consideration. Dr. Gillespie also mentioned that her office staff will ensure that future deadlines are met. A total of \$8,600 is needed to publish the journal in 2008-09.

**MBA Association (\$1,750)**

\$5,600 was originally requested for the organization's parties and subsequently denied funding by the committee. During the appeals process, the association revised its original request and asked for \$1,750 in funding to support networking and mentoring activities. The committee awarded full funding based on the revised proposal. Due to the size of this award, the MBA Association is ineligible for additional club funding in 2008-09.



Services and Activities Fees - University Of Washington Bothell:  
2008-09 Distribution of Fees and Allocation of Funds and Revised Guidelines and  
Operating Procedures (continued p. 9)

**Media Center's Power Point Workshops (\$0)**

The \$2,400 request appeared to be very similar to last year's submission and lacked the data necessary to judge the merits of the proposal. No funding is recommended. The committee encourages the Media Center to find an alternate source of funding for 2008-09 and beyond.

**Policy Journal (\$6,400)**

The Journal's request of \$7,000 was reduced by \$600 (\$500 honorarium for an advisor and \$100 for software). The committee noted that the Journal is produced as part of an academic course (but available to the student body as a whole), so no honorarium should be needed for the advisor. The proposed software is available to students as part of the Microsoft package funded by the Student Technology Fee Committee.

**Recreation and Wellness Program (\$77,050)**

Funding for the Recreation and Wellness Program was recommended as submitted with two exceptions: (1) The committee is unable to afford a .50 FTE health educator position at this time, valued at \$39,600 with benefits; and (2) As per its Guidelines, the committee is unable to fund the screening and set-up charges associated with sports jerseys for recreation leagues. During the appeals process, the committee agreed to increase the recommended funding of the Program Coordinator from .50 to .70 FTE in consideration of the additional responsibilities associated with managing the new Fitness Center. At the request of the Director of Student Life, the committee increased the original Recreation budget by \$6,250 and reduced the Student Life Operations budget by the same amount.

Program Coordinator (.70 FTE)	<b>\$30,100</b>
Benefits (31%)	<b>\$ 9,600</b>
Recreation league fees	<b>\$ 6,300</b>
Fitness classes (on campus)	<b>\$ 4,500</b>
Recreational outings and sporting events	<b>\$ 2,250</b>
Wellness programs and seminars	<b>\$ 7,500</b>
Fitness and wellness equipment and supplies	<b>\$ 2,000</b>
Maintenance of fitness room equipment	<b>\$ 4,800</b>
Contingency for unanticipated operations of fitness center	<b>\$10,000</b>

**Student Entrepreneur's Network (\$2,500)**

A total of \$4,220 was sought to sponsor an off-campus retreat, two socials, a dinner, and office supplies. The committee is recommending funding for the retreat in the amount of \$2,500 based on SAF guidelines regarding travel; however, it is unable to fund organization-specific parties and socials in the amount requested. Due to the size of this award, the Student Entrepreneur's Network is ineligible for additional club funding in 2008-09.

Services and Activities Fees - University Of Washington Bothell:  
2008-09 Distribution of Fees and Allocation of Funds and Revised Guidelines and  
Operating Procedures (continued p. 10)

**Teacher Certification Professional Development and Mentoring (\$3,500)**

The committee recommends funding at roughly 50% of the requested \$6,325, which is consistent with the stipulations for the current year's award. The committee believes that the university should assume some financial responsibility for the events as they are in direct support of the curriculum.

Honoraria	<b>\$1,100</b>
Meals and lodging for speakers	<b>\$ 700</b>
Facilities set ups	<b>\$ 300</b>
Food/decorations	<b>\$1,400</b>

**Student Life Operations (\$146,274)**

Full funding is recommended for Student Life Operations:

Compensation

Program Coordinator salary	\$45,000
Coordinator's benefits (32%)	\$14,400
Hourly marketing assistant (15 hrs/wk x 44 wks x \$13/hr)	\$ 8,580
Hourly administrative assistance to student fee committees	\$ 9,000
Hourly positions' benefits (11%)	<u>\$ 2,044</u>
Total	<b>\$79,024</b>

Honoraria

Leadership retreat facilitators	\$ 2,500
Intercultural event facilitators	<u>\$ 3,500</u>
Total	<b>\$ 6,000</b>

Facilities

Facilities set ups and clean ups for on-campus workshops	\$ 750
Venue rental for off-campus retreats	\$ 2,000
Venue for alternative spring break	<u>\$ 1,000</u>
Total	<b>\$ 3,750</b>

Telecommunications

7 handsets, data ports, and long distance charges for ASUWB, CEB, Student Life, and student organization offices	<b>\$ 5,000</b>
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Printing and Publicity

**\$ 5,250**

Services and Activities Fees - University Of Washington Bothell:  
2008-09 Distribution of Fees and Allocation of Funds and Revised Guidelines and  
Operating Procedures (continued p. 11)

Transportation

For alternative spring break and leadership retreats **\$ 2,000**

Club Funding

(40 clubs @ \$750 average per group) **\$30,000**

Food/Refreshments

**\$ 5,250**

Office supplies and misc. expenses for student offices

**\$10,000**

2) Recommended Long Term Fund Expenditures:

In addition to the annual operating budget for 2008-09, the committee is recommending funding for two long-term projects: A campus fitness center (\$175,000 for gym equipment, plasma screens, and facilities modifications) and improvements to campus recycling efforts (\$13,400 for additional receptacles around campus). These projects will be financed through the long-term fund, with a balance of approximately \$1.9 million.

3) Recommended Revisions to the Services and Activities Fees Guidelines and Operating Procedures for the University of Washington Bothell:

The SAF Committee recommends a few revisions to Section 2 (Committee Membership) of its current Guidelines and Operating Procedures, which were last amended on June 7, 2007.

- Subsection A: Adds “class level” to the list of criteria for selecting committee members and allows a voting representative from ASUWB:

*The intent of this Committee is to be comprised of at least five (5) and no more than seven (7) voting members who are currently matriculated students at UWB and not elected or appointed officers of the Campus Events Board, Student Technology Fee Committee, or serving in an editorial position for the student newspaper. The voting membership of the Committee should strive for a committee that is strongly representative of the student body, considering academic programs, class level, gender, cultural backgrounds, and other characteristics of the student body.*

Services and Activities Fees - University Of Washington Bothell:  
2008-09 Distribution of Fees and Allocation of Funds and Revised Guidelines and  
Operating Procedures (continued p. 12)

- Subsection B: Removes reference to ASUWB as an ex-officio representative:

*Ex-officio, non-voting members of the Committee may include a representative from Finance and Administration, and a representative from Student Affairs. The Chair of the Committee, with approval of the Chancellor, may appoint any other ex-officio member. The role of the representatives from Finance and Administration and Student Affairs is to provide information and orientation, background materials, and general support and guidance to the Committee.*

- Subsection C: Increases the length of terms that a limited number of members may serve in order to ensure continuity of membership and self-governance while acknowledging the growth of the university from a two-year to a four-year campus.

*Voting members will generally serve for one term, and each term is for a period of one year (from July 1 to June 30). A voting member may be reappointed for a second and third term, and up to two members may be allowed to serve for a fourth term; such reappointments are subject to the procedures described in Section 2.D. Voting members should be selected by May 15. The Committee may replace any of its voting members only for reasons spelled out in these Guidelines and Operating Procedures. Members who resign during their term(s) will submit a written resignation to the Committee chairperson. Vacancies will be replaced in the same manner as provided for new appointments and for the un-expired term of the original appointment.*

- Subsection D: Stipulates that the ASUWB representative is ineligible to serve as the SAF chair:

*Members of the Services and Activities Fee Committee are nominated according to established procedures for appointing students to University committees and boards. The ASUWB president shall appoint, in consultation with his or her executive committee, a member of the ASUWB Executive Committee to serve as one of the voting members of the Committee. The Chancellor makes the official appointment for all members sans the ASUWB representative. The chairperson of the Services and Activities Fee Committee shall be selected by the members of that Committee (RCW 28.15.045(3)) at its first business meeting; however, the ASUWB representative is ineligible to serve as chairperson. The chairperson will ideally possess monetary experience and have knowledge of the student fees. The chairperson shall call and preside over Committee meetings, prepare agendas, and serve as liaison to the broader University community where appropriate.*

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Services and Activities Fee—University of Washington, Seattle: 2008–09 Operating and Capital Allocations

RECOMMENDED ACTION:

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents approve for the Seattle campus:

- 1) Raising the Services & Activities (S&A) Fee level for 2008–09 from \$105 per full-time student per quarter to \$108; and
- 2) Allocating \$11,819,126 for 2008–09 S&A Fee operating and capital funds.

BACKGROUND:

Each year, on the basis of recommendations by the administration and the S&A Fee Committee\*, the Board of Regents approves annual S&A Fee allocations for the Seattle campus. Additional allocations may be approved during a given year.

The present recommendations grew out of S&A Fee Committee discussions over the course of the 2007–08 academic year—discussions that included at different times representatives of the units supported by S&A Fee income. The S&A Fee Committee submitted its written recommendations to the Vice Provost for Student Life on May 30, 2008 (Attachment I). The administration concurred with the recommendation of the fee assessment level and all operating and minor capital allocations. However, the administration has decided not to present the Committee's recommendations for the allocation of pre-design funds for the Hall Health, Ethnic Cultural Center and Husky Student Union Building (The HUB) at the June meeting. (Attachment II).

The 2007–08 and recommended 2008–09 distributions of the quarterly S&A Fee are displayed below:

	<u>Full-time 2007–08</u>	<u>Full-time 2008–09</u>
Long Term Loan Fund	\$3.67	\$3.78

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\* By statute, recommendations of the Committee are determined by the votes of its student members—three and four of whom, respectively, represent the GPSS and the ASUW. The rules call for three administrators and two faculty members to serve on the Committee in nonvoting, advisory roles.

Services and Activities Fee—University of Washington, Seattle: 2008–09 Operating and Capital Allocations (Continued p.2)

Facilities and Programming Account	<u>\$101.33</u>	<u>\$104.22</u>
Total	\$105	\$108

It is projected that S&A Fee revenues for 2008–09, including interest income, will total \$11,836,098. A recommended budget of \$11,819,126 from S&A Fee revenue would result in a surplus of \$16,972.

The 2007–08 and anticipated 2008–09 revenues and expenditures are displayed in Attachment III. The proposed operations and capital budgets for each program and a brief discussion of their rationale are detailed below.

Associated Students of the University of Washington (ASUW) – \$736,842 (Operations) and \$18,250 (Capital)

The recommended allocation would support ongoing functions, staff salaries and general operating costs of ASUW. The allocation represents an increase of \$65,933 (9.6%) over the last academic year.

Childcare Assistance Program (CAP) - \$940,102 (Operations)

The Childcare Assistance Program underwent several significant structural changes this year, including a move to the Office of Student Financial Aid. Funding for next year will be increased by \$79,467 (9.2%).

Classroom Support Services - \$70,030 (Operations)

The recommended increase of \$3,874 (5.9%) would support wages of student staff. This allocation represents an increase intended to support additional staffing and hours of operation.

Counseling Center - \$210,241 (Operations) and \$1,000 (Capital)

The recommended increase of \$31,495 (17.5%) would allow the Counseling Center to continue to offer the initial intake session and first five (5) visits at no cost to students.

Ethnic Cultural Center/Theater (ECC/T) - \$582,275 (Operations) and \$5,810 (Capital)

This recommended increase of \$19,063 (3.4%) would support the ongoing functions, staff and general operations of the Ethnic Cultural Center/Theater (excluding the 2007 - 08 one-time special allocation for a building pre-design study). In addition, this funding would allow

Services and Activities Fee—University of Washington, Seattle: 2008–09 Operating and Capital Allocations (Continued p.3 )

the ECC/T to take on new programming initiatives as well as continue to provide strong staff support to their operations.

Graduate and Professional Student Senate (GPSS) - \$282,294

The recommended increase of \$30,106 (11.9%) would support ongoing functions, staff salaries and general operating costs of GPSS. There was not a significant change from last year's request; however there were increases to the travel budget portion. This will expand the Travel Grant program which funds graduate student travel to academic conferences.

Hall Health Primary Care Center (HHPCC) - \$6,054,816 (Operations)

Hall Health Primary Care Center continues to provide excellent on-campus care for students as well as faculty, staff, and the community. This represents an increase of \$153,436 (2.6%) from the 2007 – 08 fiscal year.

Q Center - \$90,264 (Operations)

The recommended allocation to the Q Center reflects an increase of \$34,766 (62.6%) for a graduate staff assistant position to help meet the growing demand of students, faculty, staff, alumni and allies of the Center.

Recreational Sports Programs (RSP) - \$1,625,512 (Operations) and \$100,000 (Capital)

The recommended allocation will support wages and related increases for facilities staff and represents a \$45,034 (2.8%) increase in operating expenses. The capital allocation will provide the standard \$100,000 in maintenance funding necessary to keep RSP facilities functional.

Services & Activities Fee Committee - \$40,497 (Operations)

The recommended allocation provides for tuition and related fees for the Committee Chairperson and a classified staff person to act as the SAF Coordinator; representing an increase of \$8,628 (27.1%).

Services & Activities Fee Committee Long Term Capital Savings - \$150,000

The Committee has adopted a capital fund from which to make allocations to units in need of medium-sized capital projects.

Services and Activities Fee—University of Washington, Seattle: 2008–09 Operating and Capital Allocations (Continued p.4 )

Student Activities & Union Facilities (SAUF) - \$537,465 (Operations)

The recommended increase of \$117,168 (27.9%) will fund salaries and related increases for SAUF staff and provide funding for an additional classified staff position for the Resource Center.

Student Legal Services (SLS) - \$139,929 (Operations) and \$3,727 (Capital)

The recommended increase of \$2,693 (1.9%) represents minor inflationary increases to maintain the essential operations of the office and the salaries of its staff as well as some minor capital to help update the SLS office space.

Student Publications - \$200,072 (Operations) and \$30,000 (Capital)

There is no increase to the Student Publications operational budget. The recommended capital allocation, a decrease of \$30,000 (50%), is dedicated to acquiring distribution bins for the newspaper.

ATTACHMENTS

- I. May 30, 2008 letter to Mr. Eric Godfrey, Vice Provost for Student Life, from Mr. Jonathan Evans, Chair, Services and Activities Fee Committee
- II. June 2, 2008 letter from Mr. Eric Godfrey, Vice Provost for Student Life, to Mr. Jonathan Evans, Chair, Services and Activities Fee Committee
- III. 2007–08 Budget and 2008–09 Services and Activities Fee Revenue and Expense Projections





# Services & Activities Fee Committee Committee Recommendation

May 30, 2008

Mr. Eric Godfrey  
Vice Provost for Student Life  
University of Washington  
Schmitz 476  
Seattle, Washington 98195

Dear Mr. Godfrey

On May 23 the Services & Activities Fee Committee met to approve recommendations regarding the collection and allocation of the Services & Activities Fee for the 2008-09 academic school year. This recommendation is the result a lengthy process involving orientation and budget hearings as well as thoughtful and critical analysis from a considerably bright committee membership. The recommendation is broken down into components: general recommendations focusing on efficiencies of the committee, an allocation amount for each unit, recommended capital allocations from the unused student facility bond fee, and a dollar per full time enrollee collection level. We believe that should the Regents adopt our recommendation; the Fee will optimally fund a broad array of services and activities for the students at the University of Washington.

## *General Recommendations*

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The Services & Activities Fee is very complex in nature. While the volunteers that make up the SAF Committee are among the brightest and most responsible students on campus, a full understanding of the intricacies in a budget this large can be difficult for any student not already intimately familiar with the University's budgeting process. For this reason, two entire meetings in fall quarter were devoted to orienting the committee on both internal operations as well as University budget process with the help of Darlene Feikema. Non-voting members of the committee from the University Administration and Faculty Senate help pass on historical information as well and we have been fortunate to have some members serve for several terms. When making administrative appointments to next year's committee, it is my hope that a particular focus be placed on an appointee's familiarity with the University's budgeting process as well as Student Life issues holistically to better serve the student members of the committee. The committee would also benefit from the involvement of faculty members providing their input and so I believe it is important that there be a

concerted effort to bring strong, student supportive faculty onto the committee next year.

As always, there is room for improvement in the budgeting process. The past several year units have been instructed by the Office of Student Life (Student Affairs) to "not include salary increases for professional, contract and contract classified employees, subject to the results of the 2007 legislative session, which may necessitate reconsideration of this issue at a later date." This has caused a variance between budgeted and actual salary expense for several units. This year's instructions were helpful in providing an initial figure with which to work as well as what an additional percentage increase would look like. I would ask that this be continued, as it was very helpful to the committee.

There can be no doubt that mental health care has become an issue at the forefront of students' minds in this last year particularly. With two S&A Fee supported units offering forms of mental health care there has been an identified need to progress toward a better system. Students reported to the committee that they found it difficult to know where they should go for care and we all found it difficult to articulate the differences in services offered though we know these differences do exist. It would be good, therefore, to pursue a means of mental health care that was easy to access and maintain the excellent standards of care we know our two units provide. Whether this is a form of consolidation or simply a shared intake that identifies the best-equipped unit to help students with their needs, we feel that steps must be made to better address University of Washington mental health.

### *Recommended Services and Activities Fee Level 2008-2009*

#### **2008-2009 Fee Assessment Level**

The Services & Activities Fee Committee recommends the Fee be assessed at \$108. This represents expected revenue of 11.3 million, an increase of a few hundred thousand dollars. This equates to a \$3 per quarter, per student increase in the fee to accommodate the requests of our units and to provide additional services, activities and long-term capital planning for SAF and its units.

### *SAF Units Recommended Allocations 2008-2009*

#### **ASUW – \$755,092**

Three years ago the ASUW moved from a per FTE allocation to a straight dollar allocation from the SAF Fee. Increases over the last two years have been in attempt to help ASUW grow their budget to an accurate level of services and activities provided. However, substantial increases culminating in a 10% increase this year have the committee concerned. After two years, the adjustments that have been made ought to have brought the association to a

state of sufficient funding. The association must curb growth and inform the committee of any long term plans for growth in the future.

### **Childcare Assistance Program – \$940,102**

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The Childcare Assistance Program underwent several significant structural changes this year. This year CAP reported to the Office of Financial Aid and the committee believes this transition capitalized on expertise and knowledge within the division and generates increased efficiencies and better serves students.

The committee felt strongly that childcare waitlists are too long and that more support for childcare grants must exist. In a gesture of confidence in future administrative and state funding, the committee has allocated an additional \$50,000 for childcare subsidies and encourages the administration to work with student leaders to continue to press this matter in Olympia in the coming year.

### **Classroom Support Services – \$70,030**

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The recommended allocation of \$70,030 would support the wages of student staff members who administer both the Kane Hall and South Campus branches of the Student Equipment Loan Program that operates under the auspices of the Office of Classroom Support Services. Laptop computers, projectors, digital cameras and recorders are purchased from the Student Technology Fee and made available to students without charge. This allocation represents a small increase intended to pay for additional staff.

### **Counseling Center – \$211,241**

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The recommended allocation would allow the Counseling Center to continue eliminating fees for the initial intake session and the first 5 visits. With the pledged assistance of the Office of Student Life, we are one step closer to fully accessible mental health care by providing unlimited free visits.

Mental Health programs are severely under funded at the University of Washington; yet, SAF does not have the resources to solve the problem independently. The committee strongly urges that the Counseling Center, along with the University at large, continue to lobby support for increased state funding for such a vital service to students.

More mental health care providers will be needed in the future, and it will be beyond the immediate capacity of the S&A Fee to fund as many as are needed. So, again, state funding will be imperative for the future success of this unit.

### **Ethnic Cultural Center / Theater – \$588,085**

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This recommended allocation would support the ongoing functions, staff and general operations of the Ethnic Cultural Center / Theater. In addition, this funding would allow the ECC/T to take on some new programming initiatives as well as continue to provide strong staff support to their operations.

Over the past several years the proportion of SAF funding to Earned Income has

become increasingly SAF dependent. The SAF Committee made a recommendation last year that they would like to see the ECC/T place a focus the next few years on increasing the amount of external funding to bring this proportionality back into balance. This should still be a factor in ECC/T operational discussions.

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**GPSS - \$282,294**

The recommended allocation would support the ongoing functions, staff salaries and general operating costs of the organization. There was not a significant change from last year to this year's request, however there were increases to the travel budget. This will expand the Travel Grant program which funds student travel to academic conferences. The committee has concerns about the number of staff assistants in the GPSS office and that there exists no established process of review to objectively evaluate the contributions of, and need for, these positions. It is therefore recommended that the GPSS conduct such a review and report the findings back to the committee next year.

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**Hall Health Primary Care Center - \$6,054,816**

Hall Health continues to provide excellent care for students on campus as well as faculty, staff, and the community. HHPCC has demonstrated its commitment to keeping the costs of health care as accessible to students as possible. There are concerns that there is not sufficient accessibility to STD testing by way of financial barriers that the S&A Fee does not provide for. While the committee does not at this time have enough information to move towards a new model of available services through our fee, we do recommend that new applications of the S&A Fee paid visit be researched.

Much like our recommendations for the counseling center, it is strongly recommended that mental health care at the University be a top priority for research and action next year.

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**Q Center - \$90,264**

The recommended increase to the Q Center reflect the addition of one new Graduate Student position to help the growing demands by students of this unit. Their success at reaching out to campus has shown in their efforts to create a safer and more accepting campus. With the addition of the new position, it is hoped that a new mentorship program along with other GBLT services could help improve the quality of life for queer students on campus.

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**Recreational Sports Program - \$1,625,512 (Operations)**

The recommended increase to Rec Sports will help support the staff that provide excellent support to the student facility. Last year's committee was unable to provide full funding of requested wages due to tight financial constraints. We have decided this year to ensure that there would be parity in their wages so that they could continue to provide excellent services and activities to students.

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**Recreational Sports Program - \$100,000 (Capital)**

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This recommendation would provide the Recreational Sports Program with their standard \$100,000 in maintenance funding necessary to keep the RSP facilities functional.

### **Services & Activities Fee Committee - \$40,497 (Operations)**

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The recommended allocation provides for a classified staff person to act as the SAF Coordinator. However, the committee has decided to try and partner with the Office of Planning and Budgeting to have them take over the S&A Fee distribution-tracking portion of the current position. The committee feels that if these responsibilities can be assumed by OPB then the position should become a student position responsible for more administrative tasks (ie. Minutes, agendas, etc). It is requested that OVPSL provide support the SAF Committee in pursuing this transition. If the SAF Committee is successful, then the position will become a student position and the unused funds would be distributed to the SAF General fund.

### **Services & Activities Fee Committee Long Term Capital Savings - \$150,000**

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The committee has decided that it would be fiscally prudent to maintain a capital fund from which to make allocations to units in need of medium sized capital projects. While there is no current policy to govern this fund, there are drafts in existence from previous committees that could be used as a starting place. Next year's committee should develop a policy to govern this fund.

It will also be important that units are more explicit in identifying their future capital needs and presenting these to the committee so that this fund may be appropriately developed and not become inflated or have insufficient funds to accommodate unit needs.

### **Student Activities & Union Facilities - \$537,465**

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The recommended allocation would provide for a classified staff person for the Resource Center, which provides services for registered student organizations. This staff member could hopefully help guide incoming Resource Center staff and provide continuity between SAUF administration and the center. Other increases to SAUF help provide adequate funding for other staff whose positions directly support students.

### **Student Legal Services - \$143,656**

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The recommended allocation represents minor inflationary increases to maintain the essential operations of the office and the salaries of its staff as well as some minor capital to help update the SLS office space.

### **Student Publications – \$200,072**

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There is no increase to the Student Publications operational budget. While the unit is seeing a dramatic increase in costs, it has also seen a major increase in advertising revenue. The unit has expressed a strong desire to become a fully independent new source. The committee supports this goal, but would like to see a plan detailing how the unit proposes to implement this shift.

**Student Publications – \$30,000 (Capital)**

This recommended allocation would help the Daily acquire distribution bins for their paper. In partnership with ASUW's Experimental College, this venture will bring increased visibility to both publications as well as providing a method of distribution that keeps our campus looking clean and tidy.

***Recommended Capital Allocations from Student Facilities Bond Fund  
(Golf Driving Range Balance)***

**Ethnic Cultural Center Renovation Pre-Design – \$850,000**

A \$100,000 capital request (paid via our student facility bond) was recommended last year that initiated a feasibility study of further renovation to the Ethnic Cultural Center. This is the 2<sup>nd</sup> time SAF has invested in the ECC building this decade and we view this reinvestment as an affirmation that this is no longer a temporary building but rather the permanent home of the Ethnic Cultural Center. Upon receipt of the findings from the feasibility study, the committee is excited to move forward in partnership with the ECC toward the renovation of the ECC facilities to better accommodate the increasing number of student and community users. While next year's committee will need to make a final decision as to assuming debt on behalf of students for the major costs associated with such a renovation, it is the belief of this year's committee that sufficient work has been done to demonstrate the need for this project.

**Hall Health Primary Care Center Renovation Pre-Design Capital - \$1,031,513**

The Services and Activities Fee Committee believes that the renovation of the Hall Health Primary Care Center is vital to the unit's ability to best serve students with quality health care. It will provide increased clinical space, a cleaner and better organized center layout, consolidation of services to increase accessibility, and a larger facility to accommodate the increasing student population that will need health services in the future. It is recommended that HHPCC seek more student input from a wider array of student groups, including the student governments. While next year's committee will need to make a final decision as to assuming debt on behalf of students for the major costs associated with such a renovation, it is the belief of this year's committee that sufficient work has been done to demonstrate the need for this project.

**SAUF Renovation Capital - \$2,967,000**

The Student Union Facility at the University of Washington provides an excellent space for students to engage each other in a wide array of curricular and extracurricular activities that enhance the student experience. This project which began two years ago has sought the input from students across campus; from ASUW to GPSS, and from the Student Advisory Board to town hall forums. SAUF has made sure to solicit student opinion and gather feedback at every step of this process. The current design proposal helps to provide services to

students in a updated and powerful way. Concepts like commuter lounges, diversity lounges, centralized student organization space, ballroom layout, accessibility, and most importantly a sustainable design all make this project a worthwhile investment by and for students.

It is important that we also acknowledge that while students are committed to such a project, we should not *have* to foot the bill alone. This should be a partnership with the state and University administration as well as Alumni and others to really make this a reality. It is recommended that these additional funding sources be approached. However, students have agreed that this is the direction to move. While next year's committee will need to make a final decision as to assuming debt on behalf of students for the major costs associated with such a renovation, it is the belief of this year's committee that exceptional work has been done to demonstrate the need for this project.

### *Closing Comments*

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The committee believes that if the Regents adopt these recommendations, the units will be able to expand their services provided to students. These recommendations will also lay the groundwork for new or improved facilities that will positively impact the student life of our campus. We believe this funding, combined with the unique talents of the unit directors and staff, ensures a successful future for student services and activities.

Sincerely,

Jonathan A. Evans  
2007 - 2008 Chair  
Services & Activities Fee Committee

A-5.1/206-08  
6/12/08



June 2, 2008

Mr. Jonathan Evans  
Chair  
Services and Activities  
Fee Committee  
Box 355831

Dear Jonathan,

Thank you for your letter of May 30, 2008, providing the details of the allocations proposed by the Services and Activities Fee (S&A Fee) Committee for 2008 – 09. Of particular note, the proposal calls for a \$3 increase in the quarterly S&A Fee, from \$105 per full-time student to \$108. An adjustment of this level enables the committee to continue and enhance important programs and balance expenditures with revenues.

The Committee's recommended allocations are thoughtful and strategic. After careful review, the administration concurs in the recommendations for fee assessment levels and all operational and minor capital allocations. However, the administration has decided not to present the Committee's recommendations for the allocation of pre-design funds for the Hall Health, Ethnic Cultural Center and Husky Union Building (the HUB) at the June meeting of the Board of Regents. We agree that these are important projects but we have decided to delay presenting them to the Regents until we have had time to work with student leaders and others to determine if the costs of the projects can be reduced and still accommodate the important programmatic needs of the facilities and to explore additional strategies to finance the projects. It is our hope that this work can be done over the summer months and that these projects can be presented to the Regents early next academic year.

The budget will be presented to the Board of Regents for its consideration and action at its meeting on June 12, information on which will be communicated to you in the near future. I look forward to making this presentation with you.



Mr. Jonathan Evans  
June 2, 2008  
Page Two

As always, your leadership and collaboration have been greatly appreciated.

Sincerely yours,

A handwritten signature in black ink, appearing to read "Eric S. Godfrey".

Eric S. Godfrey  
Vice Provost

ESG:mhk

cc: Provost Phyllis M. Wise w/enclosure  
Members of the Services and  
Activities Fee Committee  
Mr. Anttimo Bennett w/enclosure  
Mr. Dave Brown w/enclosure  
Ms. Niki Cheema w/enclosure  
Mr. Tyler Dockins w/enclosure  
Mr. Jake Faleschini w/enclosure

A-5.2/206-08  
6/12/08

**SERVICES & ACTIVITIES FEE  
REVENUE/EXPENSE SUMMARY  
PROGRAM ACCOUNT**

	Actual 1998-99	Actual 1999-00	Actual 2000-01	Actual 2001-02	Actual 2002-03	Actual 2003-04	Actual 2004-05	Actual 2005-06	Actual 2006-07	Actual 2007-08	Total Requested 2008-09
<b>REVENUE</b>											
SAF Fee Revenue	8,056,785	8,129,243	8,407,237	8,900,006	9,077,024	9,221,852	9,202,813	9,690,350	10,465,027	10,999,364	11,341,846
UWEO Fee Income											668,324
Less don't pays and waivers											(441,072)
Interest	418,606	367,350	403,876	357,310	332,167	189,796	205,342	186,615	267,046	219,987	267,000
<b>Total Revenue</b>	<b>8,475,391</b>	<b>8,496,593</b>	<b>8,811,113</b>	<b>9,257,316</b>	<b>9,409,191</b>	<b>9,411,648</b>	<b>9,408,155</b>	<b>9,876,965</b>	<b>10,732,073</b>	<b>11,219,351</b>	<b>11,836,098</b>
Annual Debt Service	(780,712)	(781,409)	(775,198)	(673,507)	(610,609)	(639,691)	(95,504)	-	-	-	-
<b>Total Available Revenue</b>	<b>7,694,679</b>	<b>7,715,184</b>	<b>8,035,915</b>	<b>8,583,809</b>	<b>8,798,582</b>	<b>8,771,957</b>	<b>9,312,651</b>	<b>9,876,965</b>	<b>10,732,073</b>	<b>11,219,351</b>	<b>11,836,098</b>

<b>EXPENSE</b>											
ASUW	-	-	-	-	-	-	-	473,990	586,432	689,159	736,842
ASUW Capital											18,250
ASUW Night Ride	-	-	-	-	-	-	-	34,820	-	-	-
Childcare Capital	-	-	-	-	-	-	-	-	2,000	-	-
Childcare Operations	52,193	65,858	71,528	74,046	73,193	68,713	72,483	72,214	72,214	87,379	96,741
Childcare Subsidy	555,350	570,344	681,181	697,475	707,035	720,390	727,214	746,030	746,030	773,256	843,361
Classroom Support Services	-	-	-	-	-	28,237	28,160	56,732	65,620	66,156	70,030
Counseling Center	-	-	-	-	-	-	-	40,000	154,423	179,746	210,241
Counseling Center Capital	-	-	-	-	-	-	-	-	5,000	-	1,000
Ethnic Cultural Center Operations	208,817	218,573	237,750	300,000	410,809	436,906	449,854	477,944	498,316	555,619	582,275
Ethnic Cultural Center Capital	14,038	58,235	32,869	60,000	54,031	4,577	4,460	44,602	28,300	113,403	5,810
Friday Harbor Labs	-	950	-	-	-	4,200	-	-	-	-	-
GPSS	-	-	-	-	-	-	-	217,049	241,463	252,188	282,294
Health Center Operations	4,422,841	4,717,241	5,047,448	5,249,345	5,414,606	5,522,898	5,583,650	5,583,650	5,583,650	5,901,380	6,054,816
Health Center Capital	30,000	30,000	75,000	10,000	95,281	30,000	30,000	30,000	-	-	-
Q-Center	-	-	-	-	-	-	-	35,000	49,250	55,498	90,264
Q-Center Capital	-	-	-	-	-	-	-	-	4,000	5,147	-
Recreational Sports Capital	200,000	100,000	200,000	75,000	75,000	-	100,000	100,000	100,000	100,000	100,000
Recreational Sports Operations**	1,114,593	1,227,433	1,327,111	1,366,924	1,404,992	1,601,747	1,629,810	1,607,880	1,527,985	1,580,478	1,625,512
Recreational Sports Program	867,230	971,861	1,012,341	1,366,924	1,404,992	1,601,747	-	-	-	-	-
Recreational Sports Custodial	247,363	255,572	260,510	-	-	-	-	-	-	-	-
Sports Facility Custodial	-	-	54,260	-	-	-	-	-	-	-	-
RUCKUS	-	-	-	-	-	4,172	4,925	4,925	-	-	-
SAFC Operations	1,317	4,000	9,999	10,708	22,611	11,546	25,141	10,236	41,326	31,869	40,497
SAFC Long-Term Capital	-	-	-	-	-	-	-	-	2,500	12,000	150,000
Student Activities and Union (SAUF)	173,533	176,996	185,055	184,330	184,553	269,837	349,613	369,530	376,515	420,297	387,182
SAUF Capital	-	-	-	-	-	-	-	-	125,000	-	-
SAUF-Resource Center	27,476	19,520	30,721	38,000	43,804	69,980	-	-	-	-	149,503
SAUF-Resource Center Capital	-	-	-	2,000	-	-	4,000	-	-	-	780
Student Legal Services Capital	4,688	9,225	9,071	10,809	13,727	17,825	10,997	4,800	4,800	5,110	3,727
Student Legal Services Operations	72,443	74,766	76,900	79,207	81,972	84,867	100,282	130,864	124,202	135,853	139,929
Student Publications	-	-	-	-	-	-	-	-	197,000	200,072	200,072
Student Publications Capital	-	-	-	-	-	-	-	-	30,000	60,000	30,000
Students Orgs. Event Fund	-	-	-	15,000	15,000	-	-	-	-	-	-
UW CARES Escort Service	39,880	47,808	47,808	49,170	49,799	49,799	49,799	-	-	-	-
<b>Total Expense</b>	<b>6,917,169</b>	<b>7,320,949</b>	<b>8,032,441</b>	<b>8,222,014</b>	<b>8,646,413</b>	<b>8,925,694</b>	<b>9,170,388</b>	<b>10,040,266</b>	<b>10,566,026</b>	<b>11,124,610</b>	<b>11,819,126</b>

<b>SUMMARY</b>											
Fund Balance Beginning of Year						6,621,880	6,468,143	6,215,876	5,808,297	6,348,674	6,443,415
Surplus (Deficit)						(153,737)	142,263	(163,301)	166,047	94,741	16,972
Childcare Center Match						-	-	-	-	-	-
Adjustments							(394,530)	(244,278)	374,330	-	-
Fund Balance End of Year	-	-	-	-	-	6,468,143	6,215,876	5,808,297	6,348,674	6,443,415	6,460,387

Quarterly S&A Fee	\$89	\$89	\$91	\$93	\$96	\$97	\$97	\$94	\$99	\$105	\$108
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VII. STANDING COMMITTEE

A. Academic and Student Affairs Committee

*In Joint Session With*

B. Finance, Audit and Facilities Committee

Proposed College of the Environment

Document will be send under separate cover.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

**UW**

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**Report of Contributions**

University of Washington  
University of Washington Foundation

April, 2008

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NOTES AS OF APRIL 30, 2008

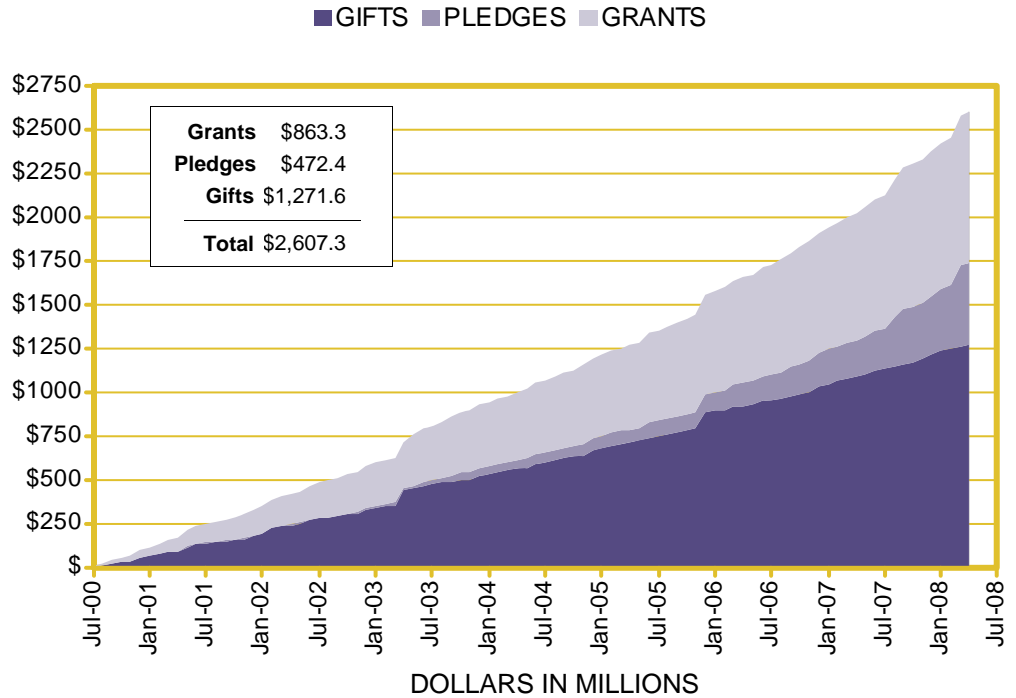
**DATA POINTS**

\$2,607,349,697 has been raised toward our campaign goal of \$2.5 billion.

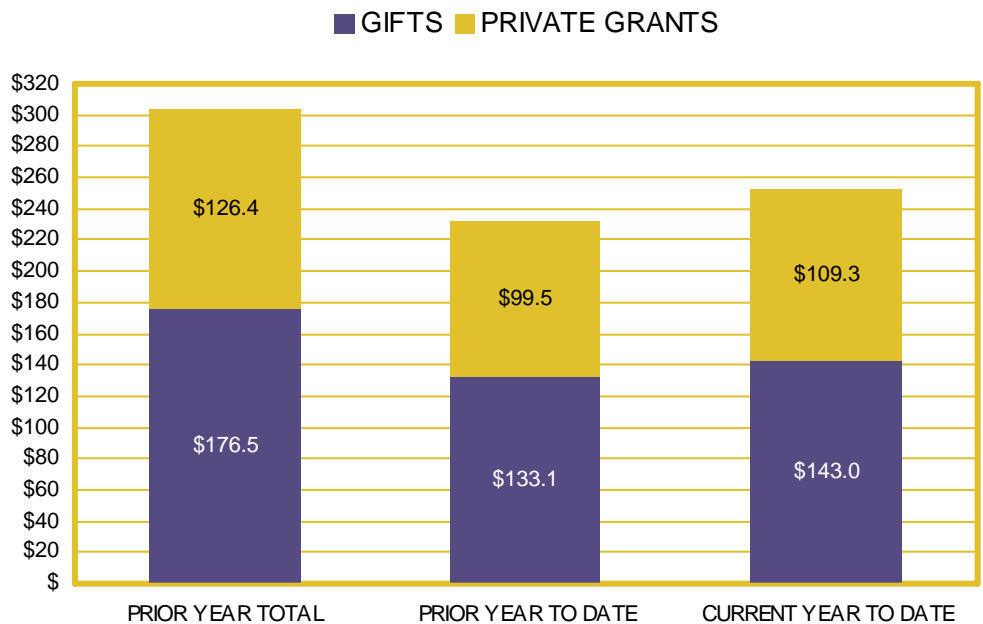
The UW received \$19.3 million in total private voluntary support (\$10.0 million in gifts and \$9.4 in grants) in April.

Areas including Architecture, Arts and Sciences, Broadcast Services, Business School, Dentistry, Forest Resources, Information School, Law, Libraries, Ocean and Fisheries, Pharmacy, Public Health, UW Medicine and UW Tacoma are ahead of last year's year-to-date totals.

**FUNDRAISING PROGRESS SINCE JULY 1, 2000**



**CURRENT GIFT AND PRIVATE GRANT TOTALS**



## APRIL 2008 GIFTS AND IMPACT

Selected gifts representing private support for one of the University of Washington's key fundraising priorities -- student, faculty, program and facility support.

### **Chiang Ching-kuo Foundation - \$35,000 to the Libraries and University Press**

- The Foundation has awarded \$15,000 to the University press to publish three books in China studies. The books to be published are *Stories to Awaken the World: A Ming Dynasty Collection, Vol. 3; Women Playing Men: Yue Opera and Social Change in Twentieth-Century Shanghai*; and *Heroines of Jiangyong: Chinese Narrative Ballads in Women's Script*.
- Among all leading American university presses the UW Press was the only recipient of more than one grant in Fall 2007.
- A gift of \$20,000 to the East Asia Library will support professional training institutes for librarians, enabling them to build stronger expertise in collection development and maintenance, as well as patron services. The East Asia Library supports teaching and research activities on East Asian studies at the University of Washington, and holds approximately 566,158 volumes (including books, serials, electronic resources and audio visual materials).

### **Hugh and Jane Ferguson Foundation - \$50,000 to the Burke Museum**

- This gift to the Burke Museum will support environmental photography exhibits, including several that will tour nationally after opening at the Burke, and provides \$20,000 in critically needed unrestricted support.
- Mr. and Mrs. Ferguson both attended the University of Washington, and have been long-time supporters of the museum. Through their gifts of volunteer leadership and their financial assistance, they helped to establish the Burke as the region's leading museum of natural history.
- Since 1987, the Hugh and Jane Ferguson Foundation has supported nonprofit organizations in the Pacific Northwest and Alaska. The Foundation is dedicated to the preservation and restoration of nature, including wildlife and their required habitats.

### **Pendleton and Elisabeth Carey Miller Charitable Foundation - \$15,000 to the College of Forest Resources**

- The Miller Foundation provides \$60,000 annually to fund library operations for the Elisabeth C. Miller horticultural library, located in Merrill Hall. In addition, the Foundation matches at 100% every gift of \$1,000 and under that the library receives, up to a total match of \$30,000 each year.
- Pendleton Miller established the library in 1983 to honor his wife, who played a leading role in the creation of the Center for Urban Horticulture. Mrs. Miller served on the UW Board of Regents and spent years working on behalf of Northwest horticulture.
- The Miller Library is open to the public and features over 10,000 books and 400 periodicals on gardening techniques, garden design and history, botany and plant ecology, environmental science and native floras from around the world. The library also operates a telephone plant answer line to serve local botanists and gardeners.





# REPORT OF CONTRIBUTIONS

April 2008

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## **CAMPAIGN UW: CREATING FUTURES**

Report prepared by: Office of Development and Alumni Relations  
Advancement Services, Information Management

5/8/2008 01:18 PM

## TABLE OF CONTENTS

Campaign Progress to Date*	1
Campaign Progress by Giving Level*	2
Campaign Progress Pyramid*	3
Campaign Progress by Constituency*	4
Campaign Theme Progress*	5
Development Area Summary - Total Private Voluntary Support	6
Development Area Summary - Gifts and Private Grants	7
Development Area Summary - Gifts	8
Complete Fiscal Year Comparison	9
Year to Date Contribution Totals	10
Development Activity by Donor Type	11
Alumni Participation by Constituency	12

\*All Campaign totals represented are from July 1, 2000 through the end of the preceding calendar month. Please note that grant revenue totals in Campaign Reports may contain clinical trials. Fundraising totals from all affiliated non-profit organizations are also included in UW Campaign totals.

The UW Campaign Executive Committee developed the counting policy for Campaign reports, based on Council for Advancement and Support of Education (CASE) campaign counting standards.

Annual reporting is July 1, 2007 through the end of the preceding calendar month.

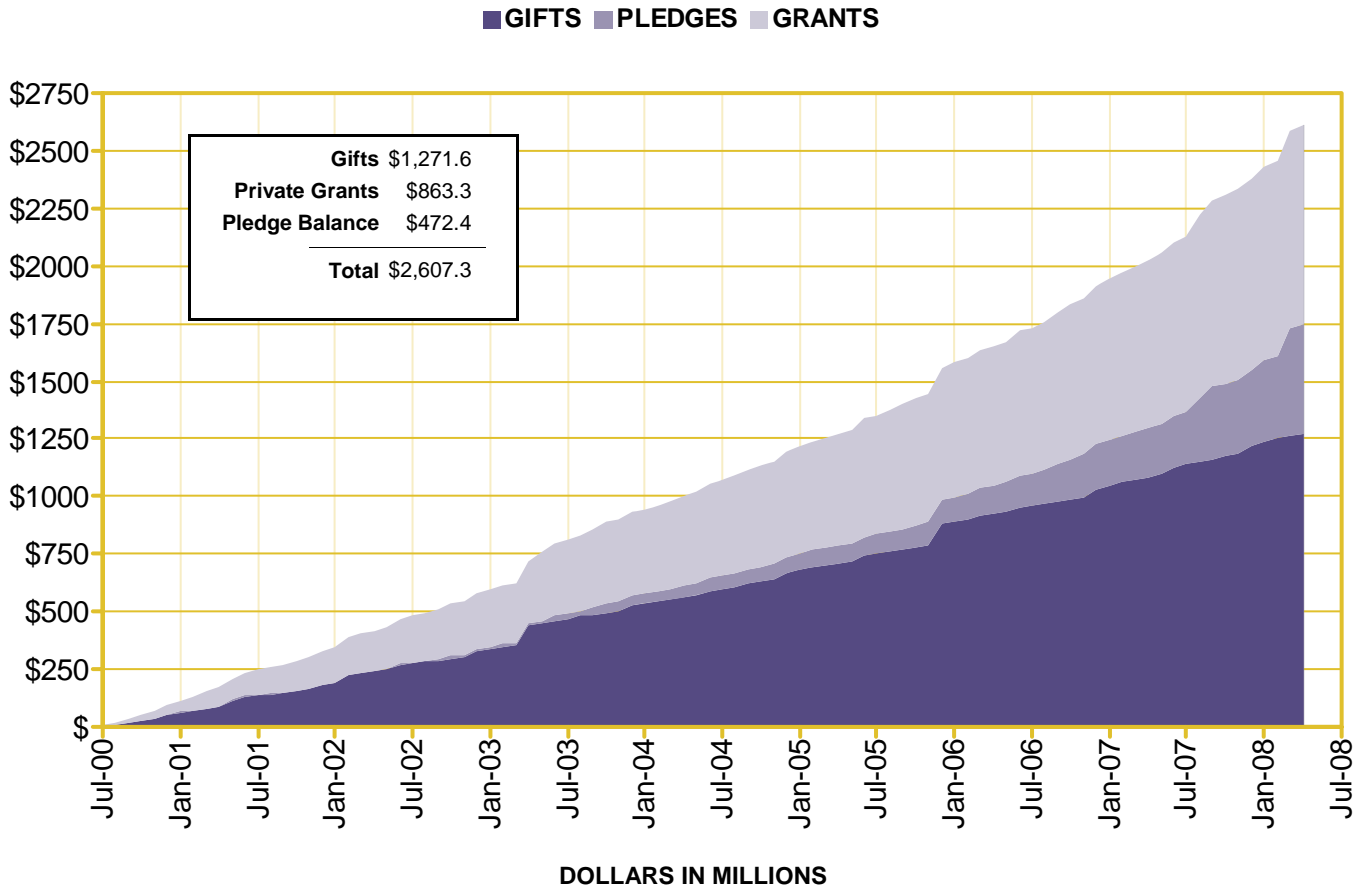
Job Number: 80696  
April 2008

Table of Contents





CAMPAIGN PROGRESS SINCE JULY 1, 2000



Source: UW Office of Development

Summarizes Total Private Voluntary Support since July 1, 2000. Testamentary Commitments included in Pledge Balance total. All dollar totals in millions.

Job Number: 80696  
 April 2008

Fundraising Progress Since July 1, 2000



## CAMPAIGN PROGRESS BY GIVING LEVEL

<b>DOLLARS RAISED</b>							
<b>Gifts, Pledges &amp; Grants</b>							
Giving Level *	Alumni	Non Alumni	Family Fndns.	Corporations	Foundations	Other Orgs.	Total
\$100M+	\$0	\$35,220	\$0	\$0	\$418,784,491	\$0	\$418,819,711
\$50M - \$99,999,999	\$0	\$0	\$0	\$0	\$0	\$0	\$0
\$10M - \$49,999,999	\$52,460,931	\$86,197,782	\$74,237,500	\$112,740,962	\$132,446,117	\$73,807,275	\$531,890,566
\$5M - \$9,999,999	\$43,084,641	\$19,480,895	\$0	\$34,832,920	\$70,681,767	\$82,444,384	\$250,524,607
\$1M - \$4,999,999	\$152,928,009	\$85,550,741	\$36,850,274	\$148,261,578	\$108,571,210	\$92,728,716	\$624,890,526
\$100,000 - \$999,999	\$117,725,148	\$83,303,488	\$26,787,979	\$142,192,290	\$71,841,453	\$65,828,569	\$507,678,926
\$25,000 - \$99,999	\$34,334,660	\$25,166,782	\$3,602,933	\$34,277,130	\$9,051,286	\$11,057,780	\$117,490,570
\$10,000 - \$24,999	\$23,528,664	\$17,062,851	\$945,692	\$11,356,751	\$1,889,918	\$2,563,504	\$57,347,379
\$5,000 - \$9,999	\$14,625,899	\$10,902,072	\$251,318	\$5,413,005	\$635,266	\$822,773	\$32,650,333
\$2,000 - \$4,999	\$10,884,389	\$8,729,683	\$74,993	\$3,632,137	\$297,647	\$560,786	\$24,179,635
\$1,000 - \$1,999	\$7,100,166	\$5,590,950	\$19,240	\$1,538,674	\$83,648	\$254,295	\$14,586,974
\$500 - \$999	\$6,050,876	\$4,576,337	\$5,960	\$769,996	\$33,081	\$107,007	\$11,543,257
\$250 - \$499	\$4,056,832	\$2,452,476	\$2,000	\$331,554	\$11,260	\$45,483	\$6,899,604
\$100 - \$249	\$3,006,921	\$2,413,637	\$2,440	\$307,971	\$8,081	\$34,986	\$5,774,037
\$1 - \$99	\$1,560,134	\$1,418,539	\$239	\$81,682	\$1,106	\$11,871	\$3,073,571
<b>Total</b>	<b>\$471,347,269</b>	<b>\$352,881,453</b>	<b>\$142,780,568</b>	<b>\$495,736,647</b>	<b>\$814,336,329</b>	<b>\$330,267,429</b>	<b>\$2,607,349,697</b>
<b>DONOR COUNTS</b>							
Giving Level	Alumni	Non Alumni	Family Fndns.	Corporations	Foundations	Other Orgs.	Total
\$100M+	0	2	0	0	1	0	3
\$50M - \$99,999,999	0	0	0	0	0	0	0
\$10M - \$49,999,999	13	11	4	8	7	4	47
\$5M - \$9,999,999	12	8	0	6	11	11	48
\$1M - \$4,999,999	123	120	18	73	51	47	432
\$100,000 - \$999,999	682	750	79	521	211	214	2,457
\$25,000 - \$99,999	1,373	1,348	79	739	186	221	3,946
\$10,000 - \$24,999	2,783	2,690	64	847	126	178	6,688
\$5,000 - \$9,999	3,647	3,559	43	906	106	133	8,394
\$2,000 - \$4,999	5,704	6,174	27	1,391	101	204	13,601
\$1,000 - \$1,999	7,790	7,911	18	1,378	70	218	17,385
\$500 - \$999	11,854	11,566	13	1,399	57	181	25,070
\$250 - \$499	14,656	11,838	7	1,142	35	151	27,829
\$100 - \$249	23,535	25,321	16	2,387	55	271	51,585
\$1 - \$99	44,475	50,975	5	2,095	25	336	97,911
<b>Total</b>	<b>116,647</b>	<b>122,273</b>	<b>373</b>	<b>12,892</b>	<b>1,042</b>	<b>2,169</b>	<b>255,396</b>

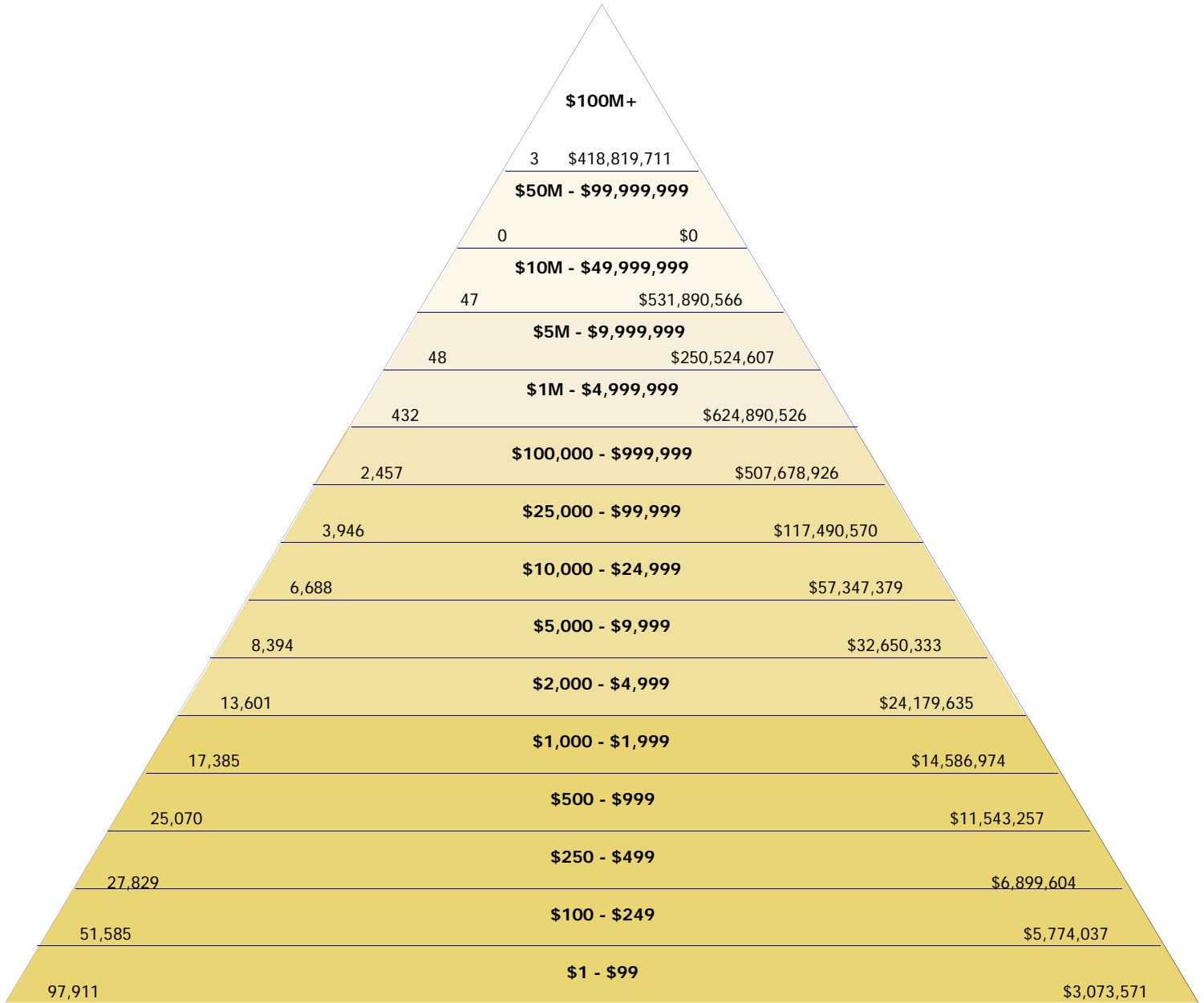
Source: UW Office of Development & Alumni Relations

This report shows the count of distinct donors and campaign total by giving level and donor type since July 1, 2000.

\*\*"Giving Level" is determined by summing all gift record types (including grants)



CAMPAIGN PROGRESS BY PYRAMID, SINCE JULY 1, 2000



255,396 Donor Count

Campaign Total: \$2,607,349,697

\*Campaign Working Goal: \$2,500,000,000

Percent Complete: 104.3%

Source: UW Office of Development

The counts of distinct donors and fundraising totals by giving level are shown.

\*Unit campaign goals are still being finalized.

Pyramid Levels are determined by summing all gift record types (including grants)



## CAMPAIGN PROGRESS BY CONSTITUENCY

	GIFTS	Irrevocable Deferred Gifts		PLEDGES	Testamentary Commitments		GRANTS	Campaign - Private Voluntary Support		
		Donor Value	Discount Value		Donor Value	Discount Value		TOTAL	Working Goal	% of Goal
UW Medicine	\$342,639,788	\$11,924,834	\$7,209,237	\$172,875,392	\$34,899,360	\$12,606,512	\$459,412,356	\$1,021,751,729	\$1,000,000,000	102.2%
Architecture	\$9,428,317	\$2,244,117	\$1,270,276	\$1,358,714	\$7,250,000	\$2,777,398	\$4,209,737	\$24,490,884	\$19,000,000	128.9%
Arts and Sciences	\$135,578,236	\$6,955,983	\$4,911,394	\$7,161,522	\$41,019,666	\$25,811,032	\$83,619,393	\$274,334,800	\$240,000,000	114.3%
Broadcast Services	\$59,809,346	\$0	\$0	\$12,240	\$0	\$0	\$0	\$59,821,586	N/A	N/A
Business School	\$79,530,426	\$3,035,524	\$1,623,140	\$84,797,386	\$8,115,271	\$5,544,318	\$384,322	\$175,862,929	\$135,000,000	130.3%
Dentistry	\$11,337,870	\$246,992	\$191,357	\$4,921,187	\$910,000	\$508,954	\$4,358,388	\$21,774,438	\$15,000,000	145.2%
Education	\$12,423,572	\$48,552	\$45,881	\$2,100,645	\$4,050,000	\$2,015,446	\$16,522,480	\$35,145,249	\$23,000,000	152.8%
Engineering	\$152,140,597	\$2,837,209	\$2,010,611	\$5,869,448	\$8,659,691	\$3,672,938	\$85,373,953	\$254,880,898	\$250,000,000	102.0%
Evans Schl. of Pub. Affairs	\$13,541,447	\$81,135	\$114,706	\$1,015,538	\$500,000	\$265,793	\$42,168,938	\$57,307,058	\$40,000,000	143.3%
Forest Resources	\$11,027,002	\$646,572	\$562,957	\$260,336	\$2,915,000	\$1,609,906	\$4,705,054	\$19,553,963	\$17,700,000	110.5%
Friday Harbor Labs	\$6,580,718	\$307,268	\$149,278	\$128,249	\$5,505,000	\$3,093,404	\$862,743	\$13,383,978	\$12,000,000	111.5%
Information School	\$3,514,829	\$25,000	\$25,070	\$222,728	\$100,000	\$64,666	\$4,299,458	\$8,162,015	\$5,000,000	163.2%
Intercollegiate Athletics	\$127,631,165	\$364,480	\$276,178	\$4,805,955	\$1,580,000	\$1,011,286	\$0	\$134,381,601	\$110,000,000	122.2%
Law	\$63,500,463	\$71,186	\$46,904	\$874,322	\$674,988	\$417,533	\$1,237,822	\$66,358,781	\$70,000,000	94.8%
Libraries	\$7,990,830	\$442,146	\$381,541	\$312,849	\$1,951,334	\$857,698	\$475,708	\$11,172,867	\$9,000,000	124.1%
Nursing	\$12,635,880	\$3,275,000	\$2,534,893	\$819,221	\$7,507,799	\$4,483,235	\$9,694,674	\$33,932,573	\$24,000,000	141.4%
Ocean and Fisheries	\$16,621,115	\$5,823,186	\$2,742,236	\$64,388	\$0	\$0	\$34,676,400	\$57,185,089	\$34,000,000	168.2%
Pharmacy	\$11,772,119	\$0	\$0	\$414,459	\$1,000,000	\$310,068	\$7,156,613	\$20,343,191	\$10,260,000	198.3%
President's Funds	\$9,079,158	\$788,472	\$690,676	\$321,830	\$11,230,000	\$4,709,801	\$0	\$21,419,460	N/A	N/A
Public Health	\$14,496,877	\$50,259	\$39,563	\$9,325,045	\$0	\$0	\$78,414,941	\$102,287,123	\$90,000,000	113.7%
Scholar. & Student Progs.	\$47,682,957	\$1,896,761	\$865,957	\$2,412,951	\$6,718,333	\$3,513,133	\$1,944,332	\$60,655,333	\$40,000,000	151.6%
Social Work	\$7,367,197	\$290,566	\$183,270	\$5,097,831	\$0	\$0	\$7,304,878	\$20,060,473	\$10,000,000	200.6%
University Press	\$4,123,199	\$108,239	\$93,710	\$15,485	\$0	\$0	\$0	\$4,246,923	\$3,000,000	141.6%
University Support	\$39,353,854	\$2,130,448	\$1,123,146	\$3,556,001	\$4,050,000	\$2,173,350	\$15,661,286	\$64,751,589	N/A	N/A
UW Bothell	\$2,709,957	\$0	\$0	\$87,015	\$0	\$0	\$750,500	\$3,547,472	\$3,500,000	101.4%
UW Tacoma	\$25,313,860	\$195,000	\$181,257	\$12,223,578	\$2,725,000	\$1,535,533	\$80,257	\$40,537,695	\$35,000,000	115.8%
<b>All UW Total</b>	<b>\$1,227,830,781</b>	<b>\$43,788,928</b>	<b>\$27,273,238</b>	<b>\$321,054,314</b>	<b>\$151,361,442</b>	<b>\$76,982,004</b>	<b>\$863,314,232</b>	<b>\$2,607,349,697</b>	<b>\$2,500,000,000</b>	<b>104.3%</b>

Source: UW Office of Development

Fundraising progress toward campaign working goals by constituency area (school/college/program). Campaign total is the sum of gifts, grants, active pledges and donor values of irrevocable deferred gifts and testamentary commitments. "N/A" is not applicable. 1 - "Pledges" are those in active status only. 2 - "Grants" are private grants only. 3 - Unit campaign working goals are still being finalized.

Job Number: 80696  
April 2008

Campaign Progress by Constituency - Since July 2000



**CAMPAIGN FUNDING THEME PROGRESS - JULY 2000 - PRESENT**

THEME	CURRENT USE	ENDOWMENT	TOTAL
Student Support	\$116,238,306	\$214,484,699	\$330,723,005
Faculty Support	\$103,960,955	\$171,225,554	\$275,186,509
Program Support for Faculty and Students	\$1,272,980,514	\$165,260,537	\$1,438,241,050
Capital	\$311,264,097	\$1,778,269	\$313,042,365
Unrestricted	\$217,385,069	\$32,771,698	\$250,156,767
<b>Total</b>	<b>\$2,021,828,940</b>	<b>\$585,520,756</b>	<b>\$2,607,349,697</b>
Goal	\$2,000,000,000	\$500,000,000	\$2,500,000,000
% to Goal	101.1%	117.1%	104.3%

Source: UW Office of Development

This report shows contribution totals by campaign theme/priority since July 1, 2000.

Job Number: 80696

April 2008

Campaign Theme Progress



DEVELOPMENT SUMMARY - TOTAL PRIVATE VOLUNTARY SUPPORT

AREA	YEAR TO DATE DONOR VALUES		
	GIFTS	PRIVATE GRANTS	TOTAL
UW Seattle			
UW Medicine	\$39,718,385	\$71,727,231	\$111,445,616
Architecture	\$1,393,426	\$218,433	\$1,611,859
Arts and Sciences	\$20,243,266	\$7,933,751	\$28,177,017
Broadcast Services	\$7,606,639		\$7,606,639
Business School	\$11,276,123	\$15,987	\$11,292,110
Dentistry	\$3,118,744	\$93,056	\$3,211,800
Education	\$1,293,280	\$1,189,541	\$2,482,821
Engineering	\$14,019,586	\$3,723,822	\$17,743,409
Evans Schl. of Pub. Affairs	\$1,557,195	\$1,427,481	\$2,984,676
Forest Resources	\$1,130,107	\$798,335	\$1,928,442
Graduate School	\$1,083,536	\$69,558	\$1,153,094
Information School	\$354,059	\$2,803,000	\$3,157,059
Intercollegiate Athletics	\$15,225,748		\$15,225,748
Law	\$2,059,328	\$61,600	\$2,120,928
Libraries	\$1,411,451		\$1,411,451
Nursing	\$1,674,949	\$1,143,549	\$2,818,498
Ocean and Fisheries	\$2,311,366	\$7,713,244	\$10,024,611
Pharmacy	\$1,759,052	\$1,080,824	\$2,839,876
President's Funds	\$596,604		\$596,604
Public Health	\$370,625	\$8,725,168	\$9,095,794
Scholar. & Student Progs.	\$6,266,815	\$80,000	\$6,346,815
Social Work	\$1,128,072	\$277,860	\$1,405,932
UW Alumni Association	\$833,678		\$833,678
University Press	\$347,378		\$347,378
University Support	\$3,550,146	\$212,916	\$3,763,062
UW Bothell	\$128,879		\$128,879
UW Tacoma	\$2,513,792		\$2,513,792
<b>All UW Total</b>	<b>\$142,972,245</b>	<b>\$109,295,358</b>	<b>\$252,267,603</b>

Source: UW Office of Development

Contribution totals for the major Development areas of the University are shown.

Job Number: 80696

April 2008

Development Area Summary - Total Private Voluntary Support



## DEVELOPMENT SUMMARY - GIFTS AND PRIVATE GRANTS

AREA	CURRENT MONTH		YEAR TO DATE		PRIOR YEAR TO DATE		PRIOR FISCAL YEAR	
	Donors	Value	Donors	Value	Donors	Value	Donors	Value
UW Seattle								
UW Medicine	2,556	\$8,514,892	16,147	\$111,445,616	15,828	\$81,095,214	18,369	\$106,235,847
Architecture	75	\$71,419	1,176	\$1,611,859	1,352	\$1,194,408	1,511	\$1,285,219
Arts and Sciences	1,526	\$2,255,223	13,179	\$28,177,017	15,216	\$25,746,478	17,118	\$34,367,909
Broadcast Services	936	\$223,043	3,512	\$7,606,639	3,672	\$7,583,255	4,560	\$10,835,348
Business School	188	\$487,794	4,153	\$11,292,110	3,930	\$9,808,148	4,542	\$16,631,593
Dentistry	130	\$2,054,932	1,195	\$3,211,800	1,290	\$1,487,563	1,410	\$1,721,826
Education	177	\$96,441	1,406	\$2,482,821	1,570	\$3,377,446	1,869	\$4,112,575
Engineering	342	\$2,244,581	4,134	\$17,743,409	4,046	\$20,009,923	4,586	\$26,027,370
Evans Schl. of Pub. Affairs	63	\$157,424	373	\$2,984,676	398	\$5,896,497	522	\$7,146,491
Forest Resources	70	\$78,923	1,007	\$1,928,442	1,064	\$1,672,396	1,208	\$1,929,058
Graduate School	23	\$36,563	512	\$1,153,094	391	\$2,327,926	415	\$2,481,332
Information School	23	\$84,512	566	\$3,157,059	607	\$578,607	651	\$686,093
Intercollegiate Athletics	654	\$1,165,225	13,155	\$15,225,748	12,259	\$16,918,761	24,151	\$21,911,161
Law	117	\$85,810	1,847	\$2,120,928	1,741	\$1,690,052	2,019	\$2,960,515
Libraries	595	\$112,706	4,362	\$1,411,451	4,055	\$1,058,559	4,428	\$1,143,601
Nursing	205	\$47,625	1,643	\$2,818,498	1,688	\$5,857,081	1,849	\$6,185,723
Ocean and Fisheries	93	\$55,230	751	\$10,024,611	743	\$6,294,927	790	\$7,085,809
Pharmacy	92	\$99,923	1,036	\$2,839,876	1,090	\$2,138,403	1,153	\$3,527,989
President's Funds	178	\$130,864	1,932	\$596,604	1,855	\$495,720	2,096	\$726,232
Public Health	89	\$690,863	677	\$9,095,794	719	\$8,692,446	788	\$11,799,217
Scholar. & Student Progs.	516	\$129,283	5,481	\$6,346,815	3,302	\$12,091,335	3,881	\$13,158,596
Social Work	56	\$4,671	821	\$1,405,932	892	\$5,470,866	980	\$5,996,397
UW Alumni Association	2,245	\$126,572	15,989	\$833,678	19,140	\$942,029	24,884	\$1,216,529
University Press	6	\$24,242	279	\$347,378	230	\$1,719,454	311	\$1,822,053
University Support	264	\$179,732	1,741	\$3,763,062	1,806	\$6,112,374	2,365	\$7,142,087
UW Bothell	90	\$4,705	439	\$128,879	421	\$351,350	647	\$406,048
UW Tacoma	164	\$181,647	638	\$2,513,792	631	\$1,982,268	810	\$4,346,953
<b>All UW Unique Total</b>	<b>10,878</b>	<b>\$19,344,855</b>	<b>82,798</b>	<b>\$252,267,603</b>	<b>83,674</b>	<b>\$232,593,498</b>	<b>105,353</b>	<b>\$302,889,584</b>

Source: UW Office of Development

The number of donors and contribution totals for the major Development areas of the University are shown. Dollar values are based on donor values.

Job Number: 80696

April 2008

Development Area Summary - Gifts and Private Grants



## DEVELOPMENT SUMMARY - GIFTS

AREA	CURRENT MONTH		YEAR TO DATE		PRIOR YEAR TO DATE		PRIOR FISCAL YEAR	
	Donors	Value	Donors	Value	Donors	Value	Donors	Value
UW Seattle								
UW Medicine	2,514	\$3,120,420	15,924	\$39,718,385	15,599	\$25,449,964	18,109	\$35,839,896
Architecture	75	\$71,419	1,173	\$1,393,426	1,345	\$925,027	1,504	\$1,015,838
Arts and Sciences	1,516	\$803,282	13,121	\$20,243,266	15,152	\$16,463,625	17,051	\$22,448,302
Broadcast Services	936	\$223,043	3,512	\$7,606,639	3,672	\$7,583,255	4,560	\$10,835,348
Business School	188	\$487,794	4,152	\$11,276,123	3,929	\$9,779,801	4,541	\$16,603,246
Dentistry	130	\$2,054,932	1,191	\$3,118,744	1,282	\$1,107,001	1,400	\$1,302,793
Education	177	\$96,441	1,399	\$1,293,280	1,563	\$1,197,415	1,859	\$1,560,076
Engineering	337	\$619,634	4,107	\$14,019,586	3,998	\$11,725,286	4,531	\$15,097,858
Evans Schl. of Pub. Affairs	62	\$32,424	364	\$1,557,195	380	\$2,327,562	503	\$2,577,597
Forest Resources	68	\$20,936	991	\$1,130,107	1,049	\$1,252,532	1,191	\$1,416,624
Graduate School	23	\$36,563	509	\$1,083,536	386	\$1,543,580	410	\$1,665,861
Information School	23	\$84,512	565	\$354,059	604	\$404,883	648	\$436,870
Intercollegiate Athletics	654	\$1,165,225	13,155	\$15,225,748	12,259	\$16,918,761	24,151	\$21,911,161
Law	116	\$60,810	1,845	\$2,059,328	1,738	\$1,535,352	2,015	\$2,773,835
Libraries	595	\$112,706	4,362	\$1,411,451	4,055	\$1,058,559	4,428	\$1,143,601
Nursing	205	\$47,625	1,634	\$1,674,949	1,681	\$5,131,664	1,842	\$5,457,618
Ocean and Fisheries	91	\$24,467	727	\$2,311,366	718	\$2,417,149	763	\$2,515,555
Pharmacy	92	\$99,923	1,030	\$1,759,052	1,083	\$1,326,671	1,144	\$1,707,704
President's Funds	178	\$130,864	1,932	\$596,604	1,855	\$495,720	2,096	\$726,232
Public Health	84	\$15,956	644	\$370,625	686	\$571,240	749	\$669,251
Scholar. & Student Progs.	516	\$129,283	5,480	\$6,266,815	3,302	\$11,091,335	3,881	\$12,158,596
Social Work	56	\$4,671	815	\$1,128,072	883	\$4,198,143	970	\$4,249,650
UW Alumni Association	2,245	\$126,572	15,989	\$833,678	19,140	\$942,029	24,884	\$1,216,529
University Press	6	\$24,242	279	\$347,378	230	\$1,719,454	311	\$1,822,053
University Support	264	\$179,732	1,739	\$3,550,146	1,800	\$3,632,752	2,358	\$4,590,672
UW Bothell	90	\$4,705	439	\$128,879	421	\$351,350	647	\$406,048
UW Tacoma	164	\$181,647	638	\$2,513,792	629	\$1,976,701	808	\$4,341,386
<b>All UW Unique Total</b>	<b>10,818</b>	<b>\$9,959,838</b>	<b>82,459</b>	<b>\$142,972,245</b>	<b>83,294</b>	<b>\$133,126,824</b>	<b>104,934</b>	<b>\$176,490,215</b>

Source: UW Office of Development

The number of donors and contribution totals (gifts only) for the major Development areas of the University are shown. Dollar values are based on donor values.

Job Number: 80696

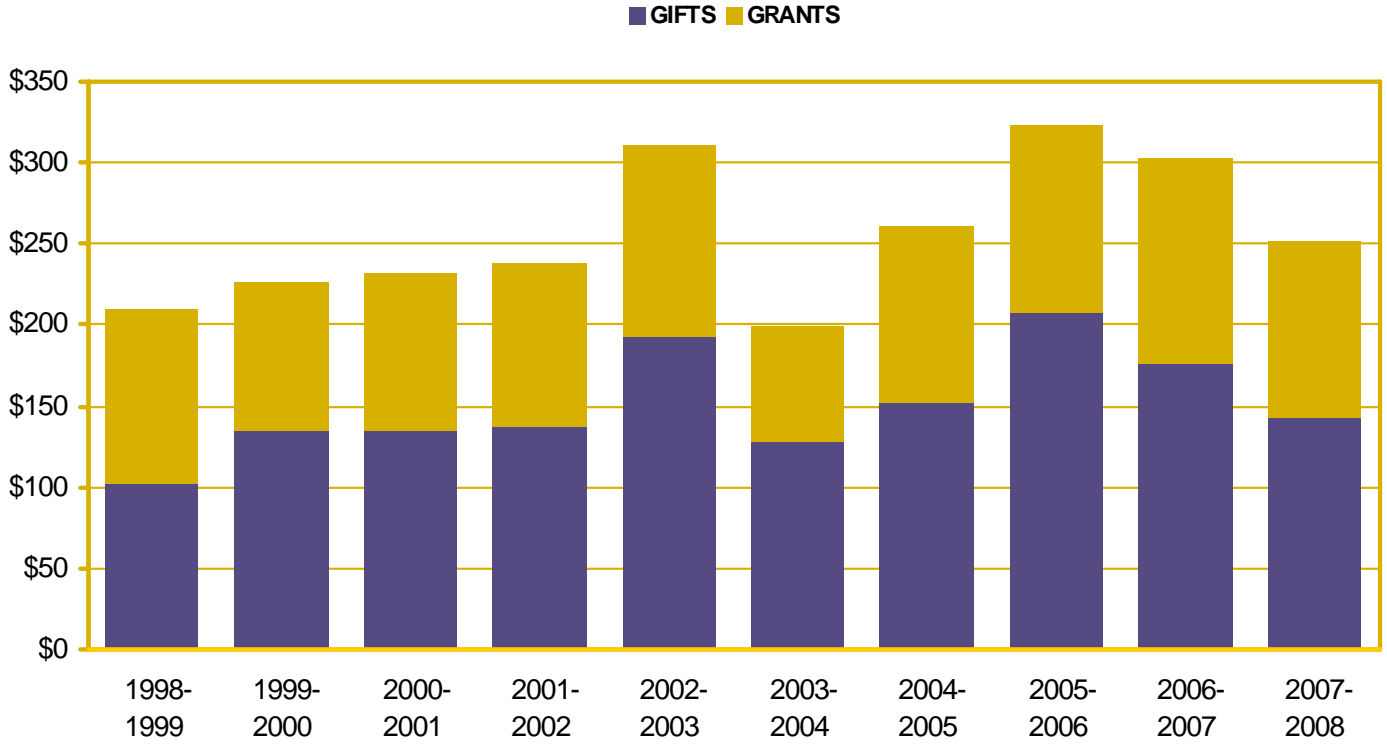
April 2008

Development Area Summary - Gifts





COMPLETE FISCAL YEAR COMPARISON OF TOTAL CONTRIBUTIONS RECEIVED



DOLLARS IN MILLIONS

FISCAL YEAR	GIFTS	GRANTS	TOTAL CONTRIBUTIONS
2007- 2008	\$142,972,245	\$109,295,358	\$252,267,603
2006- 2007	\$176,490,215	\$126,399,369	\$302,889,584
2005- 2006	\$207,744,231	\$115,261,186	\$323,005,417
2004- 2005	\$151,969,925	\$108,802,371	\$260,772,296
2003- 2004	\$128,174,367	\$71,603,323	\$199,777,690
2002- 2003	\$192,573,183	\$118,677,722	\$311,250,905
2001- 2002	\$137,959,340	\$100,820,547	\$238,779,887
2000- 2001	\$134,805,190	\$97,112,979	\$231,918,169
1999- 2000	\$134,037,997	\$91,536,165	\$225,574,162
1998- 1999	\$102,925,077	\$107,619,586	\$210,544,663

Source: UW Office of Development

This graph compares the current fiscal year's contribution totals to each of the previous nine fiscal year's contribution totals.

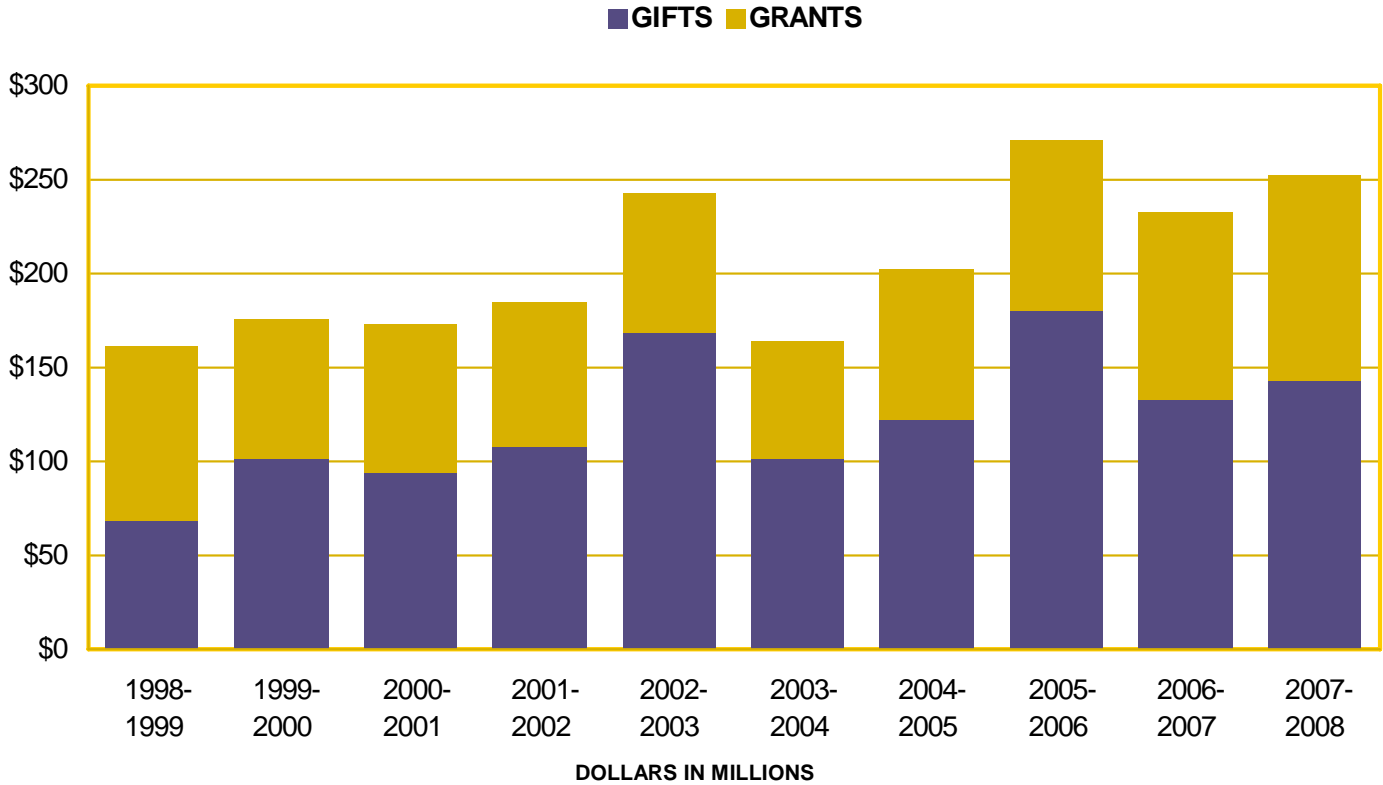
Job Number: 80696

April 2008

Fiscal Year Totals Graph



## YEAR TO DATE CONTRIBUTION TOTALS



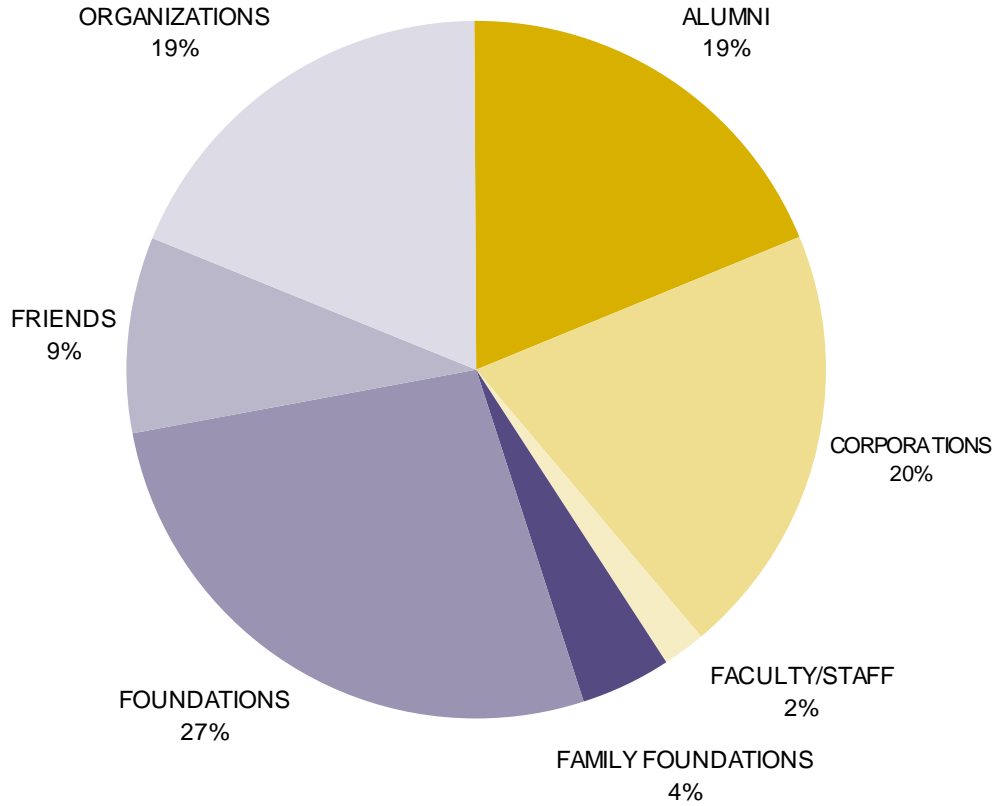
FISCAL YEAR	GIFTS	GRANTS	TOTAL CONTRIBUTIONS
2007- 2008	\$142,972,245	\$109,295,358	\$252,267,603
2006- 2007	\$133,126,824	\$99,466,674	\$232,593,498
2005- 2006	\$180,494,199	\$90,387,665	\$270,881,863
2004- 2005	\$122,122,874	\$80,143,890	\$202,266,764
2003- 2004	\$101,365,172	\$62,684,981	\$164,050,153
2002- 2003	\$168,025,960	\$75,232,159	\$243,258,119
2001- 2002	\$108,064,405	\$76,888,322	\$184,952,727
2000- 2001	\$94,005,546	\$78,829,602	\$172,835,148
1999- 2000	\$100,697,725	\$74,876,551	\$175,574,276
1998- 1999	\$68,118,697	\$94,018,598	\$162,137,295

Source: UW Office of Development

This graph compares the current fiscal year's contribution totals to each of the previous nine fiscal year's contribution totals.



DEVELOPMENT ACTIVITY BY DONOR TYPE IN CURRENT FISCAL YEAR



DONOR TYPE	YEAR TO DATE		PRIOR YEAR TO DATE		PRIOR FISCAL YEAR	
	Donors	Value	Donors	Value	Donors	Value
Alumni	43,071	\$47,816,557	44,738	\$44,199,706	54,753	\$60,282,263
Corporations	2,531	\$50,004,422	2,623	\$44,510,529	3,222	\$59,587,495
Faculty/Staff	3,187	\$5,019,942	3,104	\$2,935,613	3,711	\$3,848,794
Family Foundations	156	\$10,710,636	142	\$23,176,423	160	\$33,777,254
Foundations	379	\$67,760,047	353	\$38,175,914	405	\$45,676,525
Friends	32,988	\$23,339,053	32,229	\$30,527,380	42,526	\$40,107,637
Organizations	486	\$47,616,946	485	\$49,067,934	576	\$59,609,617

Source: UW Office of Development

This graph shows the sources of contributions for the current year to date. Dollar values are based on donor value.

Job Number: 80696  
 April 2008

Development Activity by Donor Type in Current Fiscal Year Chart



## ALUMNI PARTICIPATION BY CONSTITUENCY

AREA	CURRENT FISCAL YEAR TO DATE			PREVIOUS FISCAL YEAR TO DATE			
	Solicitable	Donors	Partic. Rate	Solicitable	Donors	Partic. Rate	Final %
UW Seattle							
UW Medicine	18,010	3,159	17.5%	16,867	3,140	18.6%	22.0%
Architecture	7,965	1,204	15.1%	7,709	1,248	16.2%	19.5%
Arts and Sciences	141,595	17,777	12.6%	138,301	18,592	13.4%	16.6%
Business School	37,430	6,393	17.1%	36,594	6,646	18.2%	22.7%
Dentistry	4,579	1,036	22.6%	4,525	1,087	24.0%	27.5%
Education	18,902	3,128	16.5%	19,116	3,194	16.7%	20.2%
Engineering	32,393	4,444	13.7%	31,945	4,528	14.2%	17.3%
Evans School of Public Affairs	2,329	467	20.1%	2,119	432	20.4%	25.1%
Forest Resources	4,603	637	13.8%	4,603	682	14.8%	17.9%
Interdisc. Grad. Programs	1,622	226	13.9%	1,463	211	14.4%	18.0%
Interdisc. Undergrad. Programs	225	7	3.1%	170	29	17.1%	24.7%
Interschool Programs	453	40	8.8%	353	50	14.2%	17.8%
Information School	4,370	822	18.8%	4,202	817	19.4%	22.4%
Law	7,780	1,617	20.8%	7,593	1,577	20.8%	24.8%
School of Nursing	8,598	1,663	19.3%	8,469	1,785	21.1%	24.5%
Ocean & Fisheries	3,911	604	15.4%	3,866	620	16.0%	18.5%
Pharmacy	3,426	830	24.2%	3,364	875	26.0%	30.3%
Public Health	4,318	652	15.1%	4,104	715	17.4%	20.2%
Social Work	6,404	895	14.0%	6,232	943	15.1%	17.8%
UW Bothell	6,084	574	9.4%	5,245	591	11.3%	15.1%
UW Tacoma	7,003	554	7.9%	5,850	594	10.2%	14.4%
Unspecified	10,866	1,922	17.7%	11,278	2,103	18.6%	22.8%
<b>All UW Total</b>	<b>305,971</b>	<b>43,071</b>	<b>14.1%</b>	<b>297,648</b>	<b>44,738</b>	<b>15.0%</b>	<b>18.4%</b>

Source: UW Office of Development

Job Number: 80696  
April 2008

Alumni Participation



VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Grant and Contract Awards – February 2008

RECOMMENDED ACTION:

It is the recommendation of the administration and the Finance and Audit Committee that the Board of Regents accept the Grant and Contract Awards as presented on the attached list.

Attachment: Grant and Contract Awards Summary  
Report of Grant and Contract Awards of  
\$1,000,000 or More

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# **Grant and Contract Awards Summary**

to

**The Board of Regents**

of the

**University of Washington**

for

**February 2008**

**Office of Research**

**Office of Sponsored Programs**

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## Table of Contents

Award Highlights	3
Graphical Summary of Award Activity	4
Summary of Grant and Contract Awards	5
Comparison of Grants and Contract Awards by Agency	6
Comparison of Grants and Contract Awards by School/College	7
Listing of Awards for College of Arts and Sciences by Department	9
Summary of Grant Awards – Excluding Private Awards	11
Summary of Grant Awards - Private Awards	12
Summary of Contract Awards	13
Report of Grant & Contract Awards over \$1,000,000	14

## FEBRUARY 2008 HIGHLIGHTS

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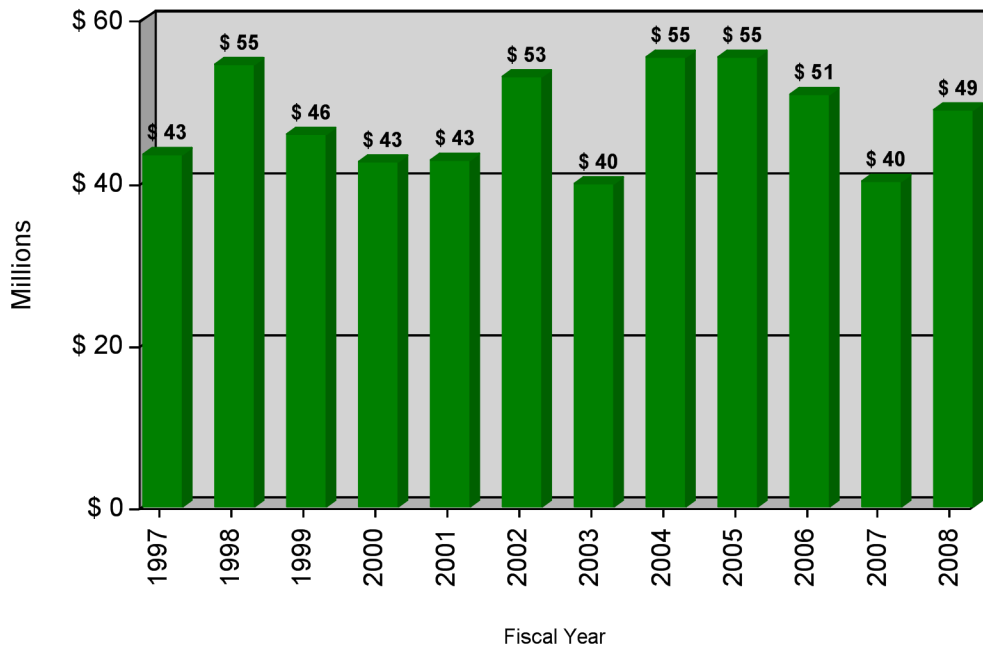
The *National Science Foundation* awarded a grant in the amount of \$193,699 for a project under the direction of Professor Theodore W. Pietsch of the *School of Aquatic and Fishery Sciences* entitled “Dam Removal on the Elwha: An Urgent Need for Biotic Survey and Inventory.” The award funds a survey and inventory of the riparian non-vascular plants and invertebrates of the Olympic National Park’s Elwha River Valley adjacent to two large hydroelectric dams, the Elwha and Glines Canyon dams, both of which are scheduled for demolition beginning in early 2010. The resulting data will provide crucial base-line information for future comparison of biotic recovery following the opening of the river. The work will provide the evidence needed to evaluate the success or failure of dam removal.

The *Institute of Museum and Library Services* (IMLS) awarded a grant in the amount of \$982,577 for a project under the direction of Associate Professor Karen E. Fisher of *The Information School* entitled “Assess the Impact of Free Access to Computers and the Internet at Public Libraries.” The goals of this research project are: (a) to develop robust and broadly applicable indicators that demonstrate the impact of free access to computers, the Internet and related services in public libraries on individuals, families and communities; and (b) to apply those indicators to validate their robustness and document positive and/or negative results from the presence or absence of key public access computing (PAC) resources and services in public libraries. The project will comprise four stages: (1) engaging library partners and developing and testing instrumentation, (2) data collection, (3) data analysis and reliability testing, and (4) reporting.

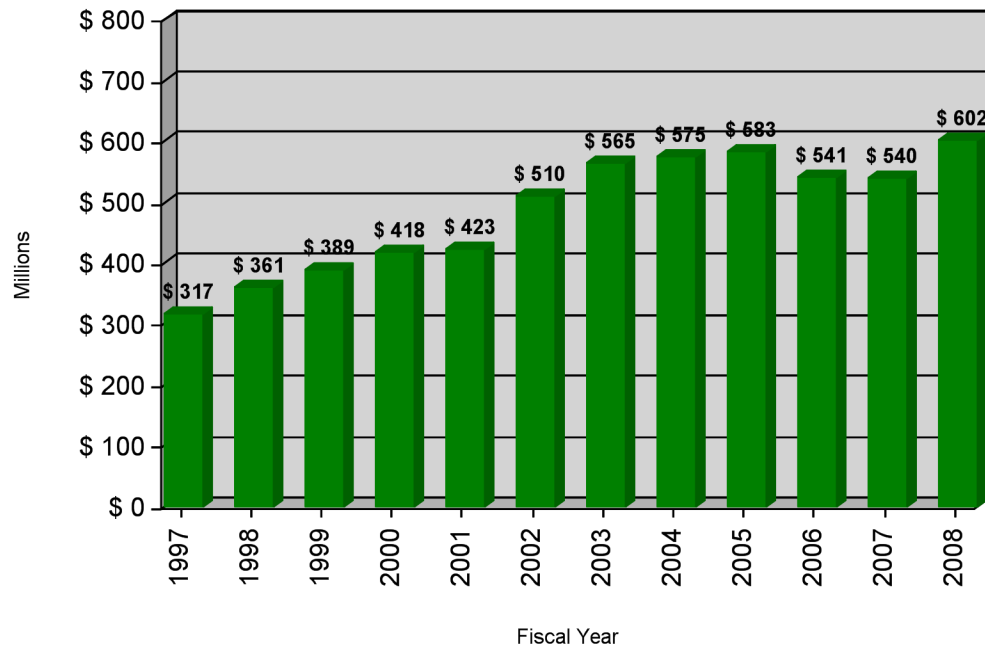
The *US Agency for International Development* through *Washington State University* awarded a grant in the amount of \$509,552 for a project under the direction of Associate Professor Sanjeev Khagram of the *Evans School of Public Affairs* entitled “Afghan eQuality Alliances Study Abroad Program Proposal.” The project is geared toward senior civil servants and related senior managers from Afghanistan. After an intensive four-week English language program, participants will engage in course work and modules focusing on leadership, management, and policy considerations. The location for course delivery will be the University of Washington, Seattle campus. A major requirement for participants will be to develop a research plan for their Master of Public Administration degree through Kabul University. Small groups of no more than five participants will be connected with a faculty advisor who will assist with developing the plan.



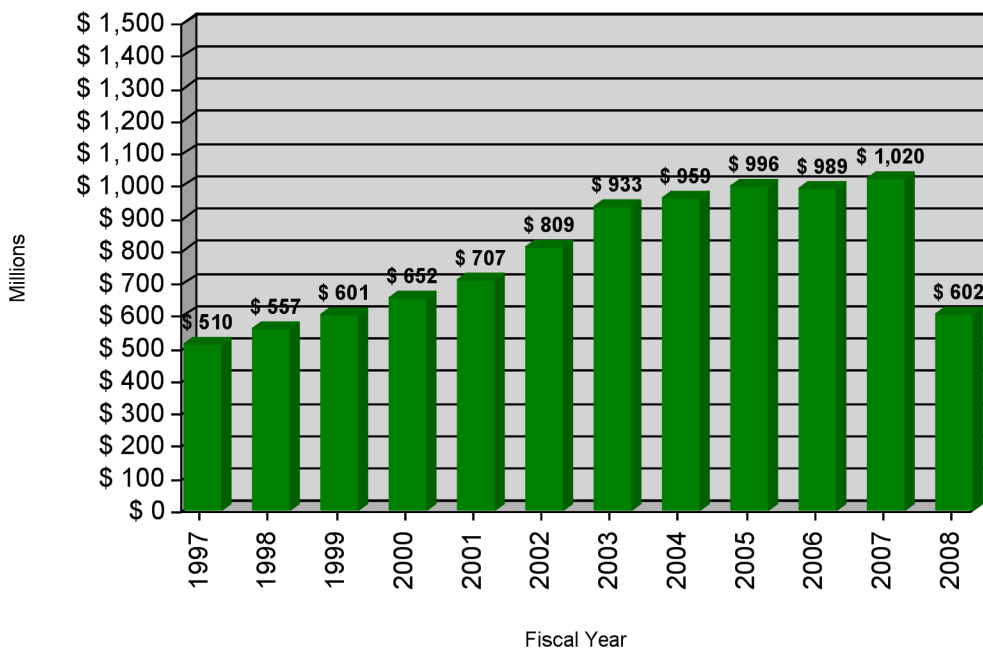
**February Only**  
Comparison of Grant & Contract Awards



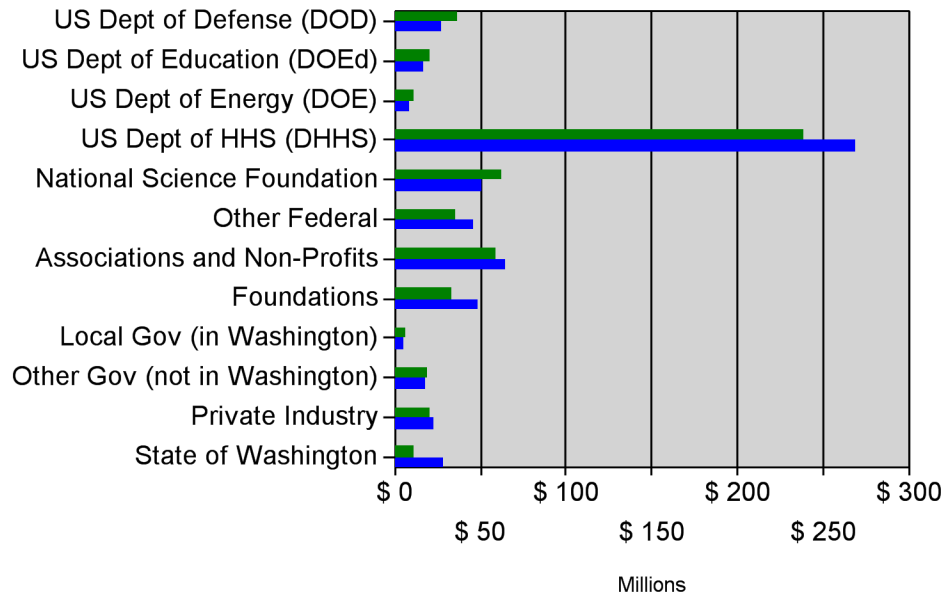
**Fiscal Year to Date**  
Comparison of Grant & Contract Awards



**Complete Fiscal Year**  
Comparison of Grant & Contract Awards



**Fiscal Year to Date**  
Two-Year Comparison of Grant and Contract Awards



Legend: Jul-Feb FY07 (Green), Jul-Feb FY08 (Blue)

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**Summary of Grant and Contract Awards****Fiscal Year 2007-2008**

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<b>Month</b>	<b>RESEARCH AND OTHER</b>		<b>TRAINING</b>		<b>Total Grants and Contracts</b>
	<b>Federal</b>	<b>Non-Federal</b>	<b>Federal</b>	<b>Non-Federal</b>	
July	\$ 54,889,260	\$ 15,048,400	\$ 7,034,788	\$ 5,593,291	\$ 82,565,740
August	\$ 111,423,800	\$ 21,120,610	\$ 10,878,860	\$ 1,041,935	\$ 144,465,200
September	\$ 62,869,760	\$ 24,344,880	\$ 11,411,270	\$ 1,857,398	\$ 100,483,300
October	\$ 75,921,240	\$ 38,888,260	\$ 6,410,827	\$ 2,183,915	\$ 123,404,200
November	\$ 11,290,950	\$ 14,589,700	\$ 319,941	\$ 1,747,225	\$ 27,947,810
December	\$ 16,090,690	\$ 16,532,470	\$ 385,596	\$ 1,928,891	\$ 34,937,650
January	\$ 19,244,150	\$ 17,985,470	\$ 912,526	\$ 1,377,304	\$ 39,519,450
February	\$ 27,736,200	\$ 18,025,060	\$ 1,215,807	\$ 1,929,782	\$ 48,906,850
<b>FY08 to Date</b>	<b>\$379,126,036</b>	<b>\$167,939,516</b>	<b>\$38,097,495</b>	<b>\$18,131,856</b>	<b>\$603,294,904</b>
<b>FY07 to Date</b>	<b>\$371,368,509</b>	<b>\$132,409,659</b>	<b>\$30,556,876</b>	<b>\$15,709,418</b>	<b>\$550,044,462</b>
<b>Over (Under) Previous Year</b>	<b>\$7,757,527</b>	<b>\$35,529,857</b>	<b>\$7,540,619</b>	<b>\$2,422,438</b>	<b>\$53,250,442</b>

*Assuming acceptance of all awards by the Board of Regents*

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**Comparison of Grant and Contract Awards by Agency**

**Fiscal Years 2006-2007 and 2007-2008**

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<b>Agency</b>	<b>Jul-Feb FY07</b>	<b>Jul-Feb FY08</b>
US Department of Defense (DOD)	\$ 36,357,096	\$ 27,307,726
US Department of Education (DOEd)	\$ 19,707,304	\$ 16,459,371
US Department of Energy (DOE)	\$ 10,626,513	\$ 8,541,083
US Department of Health and Human Services (DHHS)	\$ 237,712,101	\$ 268,745,301
National Science Foundation (NSF)	\$ 62,229,787	\$ 50,516,273
Other Federal	\$ 35,292,584	\$ 45,653,777
<b>Subtotal for Federal :</b>	<b>\$ 401,925,385</b>	<b>\$ 417,223,532</b>
Associations and Non-Profits	\$ 58,834,996	\$ 64,283,968
Foundations	\$ 32,983,506	\$ 48,392,251
Local Government (in Washington)	\$ 6,608,397	\$ 4,820,853
Other Government (not in Washington)	\$ 18,929,589	\$ 17,862,178
Private Industry	\$ 20,120,218	\$ 22,442,047
State of Washington	\$ 10,642,372	\$ 28,270,076
<b>Subtotal for Non-Federal :</b>	<b>\$ 148,119,077</b>	<b>\$ 186,071,372</b>
<b>Grand Total :</b>	<b>\$ 550,044,462</b>	<b>\$ 603,294,904</b>
<b>Amount of Increase (Decrease) :</b>		<b>\$ 62,375,882</b>
<b>Percent of Increase (Decrease) :</b>		<b>11.6 %</b>

*Assuming acceptance of all awards by the Board of Regents*

**Comparison of Grant and Contract Awards by School/College**

**Fiscal Years 2006-2007 and 2007-2008**

<b>School/College</b>	<b>Jul-Feb FY07</b>	<b>Jul-Feb FY08</b>
<b>Upper Campus</b>		
Architecture and Urban Planning	\$ 790,491	\$ 684,190
Arts and Sciences	\$ 54,620,454	\$ 56,109,170
Business Administration	\$ 58,147	\$ 740,458
Director of Libraries	\$ 6,965	\$ 116,500
Education	\$ 9,539,062	\$ 2,929,345
Educational Outreach	\$ 386,028	\$ 287,668
Engineering	\$ 50,946,374	\$ 47,421,772
Evans School of Public Affairs	\$ 5,131,557	\$ 2,633,560
Executive Vice President		\$ 208,000
Forest Resources	\$ 3,580,682	\$ 4,210,560
Graduate School	\$ 2,355,258	\$ 1,018,655
Information School	\$ 1,651,802	\$ 5,523,806
Law	\$ 114,750	\$ 345,734
Ocean and Fishery Sciences	\$ 53,304,719	\$ 56,717,242
Office of Research	\$ 18,853,670	\$ 17,739,360
Provost		\$ 200,000
Social Work	\$ 6,666,896	\$ 8,766,657
Undergraduate Education	\$ 1,250,161	\$ 326,802
VP Educational Partnerships	\$ 131,347	\$ 43,745
VP Minority Affairs	\$ 4,801,813	\$ 7,034,709
<b>Subtotal :</b>	<b>\$ 214,190,175</b>	<b>\$ 213,057,933</b>
<b>Health Sciences</b>		
Dentistry	\$ 3,652,863	\$ 6,145,132
Health Sciences Admin	\$ 61,000	
Medical Centers	\$ 1,000	
Medicine	\$ 249,775,694	\$ 290,483,880
Nursing	\$ 8,192,441	\$ 9,964,325
Pharmacy	\$ 6,371,769	\$ 5,800,333
Public Health and Community Medicine	\$ 41,831,950	\$ 48,249,702
<b>Subtotal :</b>	<b>\$ 309,886,717</b>	<b>\$ 360,643,372</b>
<b>Special Programs</b>		
Alcohol and Drug Abuse Institute	\$ 811,065	\$ 3,192,422
CHDD Administration	\$ 8,241,104	\$ 7,571,760
Regional Primate Center	\$ 5,812,170	\$ 16,158,609
<b>Subtotal :</b>	<b>\$ 14,864,339</b>	<b>\$ 26,922,791</b>
<b>Other UW Campuses</b>		
Bothell	\$ 680,323	\$ 1,193,411
Tacoma	\$ 232,796	\$ 218,450

School/College		Jul-Feb FY07	Jul-Feb FY08
<b>Other UW Campuses</b>	<b>Subtotal :</b>	<b>\$ 913,119</b>	<b>\$ 1,411,861</b>
<b>Not Indicated</b> Not Indicated			\$ 194,275
	<b>Subtotal :</b>		<b>\$ 194,275</b>
	<b>Grand Total :</b>	<b>\$ 539,854,350</b>	<b>\$ 602,230,232</b>

*Assuming acceptance of all awards by the Board of Regents*

**List of Awards for Arts and Sciences**

**Fiscal Years 2006-2007 and 2007-2008**

<b>Department</b>	<b>Jul-Feb FY07</b>	<b>Jul-Feb FY08</b>
Center for Statistics and the Social Sciences	\$ 809,139	\$ 736,119
Center for Studies in Demography and Ecology	\$ 1,248,780	\$ 1,889,361
Department of Anthropology	\$ 139,029	\$ 249,016
Department of Applied Mathematics	\$ 1,726,247	\$ 28,629
Department of Astronomy	\$ 2,000,338	\$ 4,009,189
Department of Atmospheric Sciences	\$ 4,299,096	\$ 2,979,547
Department of Biology	\$ 7,016,884	\$ 3,557,690
Department of Chemistry	\$ 11,461,770	\$ 16,561,470
Department of Communication	\$ 1,541,100	\$ 1,395,680
Department of Comparative Literature	\$ 21,178	\$0
Department of Earth and Space Sciences	\$ 2,720,815	\$ 3,745,167
Department of Economics	\$ 24,800	\$ 6,575
Department of English	\$ 5,000	\$ 77,573
Department of Geography	\$ 180,909	\$ 336,787
Department of Germanics	\$ 45,000	\$ 98,000
Department of History	\$ 70,694	\$0
Department of Linguistics	\$0	\$ 255,603
Department of Mathematics	\$ 747,125	\$ 2,347,746
Department of Physics	\$ 7,283,958	\$ 4,882,591
Department of Political Science	\$ 558,733	\$ 1,254,910
Department of Psychology	\$ 6,964,300	\$ 7,019,609
Department of Romance Languages	\$ 6,000	\$0
Department of Sociology	\$ 150,659	\$ 10,350
Department of Speech and Hearing Sciences	\$ 717,794	\$ 1,032,062
Department of Statistics	\$ 626,405	\$ 708,855
Department of Women Studies	\$ 23,592	\$0
Gaining Early Awareness and Readiness for Undergraduate Programs	\$0	\$ 790,660
Henry M. Jackson School of International Studies	\$ 2,822,574	\$ 1,713,145
Institute for Nuclear Theory	\$ 369,000	\$ 111,000
Language Learning Center	\$ 20,000	\$ 76,000
Law, Societies and Justice Program	\$ 90,017	\$0
Public Performing Arts	\$ 116,565	\$ 150,338
School of Art	\$ 48,000	\$0
School of Music	\$ 300,000	\$0
Thomas Burke Memorial Washington State Museum	\$ 464,958	\$ 85,495
<b>Total :</b>	<b>\$ 54,620,450</b>	<b>\$ 56,109,170</b>

*Assuming acceptance of all awards by the Board of Regents*

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**Summary of Grant Awards****Fiscal Year 2007-2008***Excluding private awards from Foundations, Industry, Associations and Others*

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<b>Month</b>	<b>RESEARCH AND OTHER</b>		<b>TRAINING</b>		<b>Total Grants</b>
	<b>Federal</b>	<b>Non-Federal</b>	<b>Federal</b>	<b>Non-Federal</b>	
July	\$ 54,392,780	\$ 1,031,886	\$ 7,034,788	\$ 0	\$ 62,459,460
August	\$ 106,644,800	\$ 2,487,815	\$ 10,875,880	\$ 199,000	\$ 120,207,500
September	\$ 54,737,540	\$ 855,616	\$ 11,410,000	\$ 0	\$ 67,003,160
October	\$ 69,439,460	\$ 3,387,818	\$ 6,360,827	\$ 194,787	\$ 79,382,900
November	\$ 7,672,178	\$ 2,205,321	\$ 319,941	\$ 21,146	\$ 10,218,590
December	\$ 13,839,270	\$ 1,071,375	\$ 385,596	\$ 203,738	\$ 15,499,980
January	\$ 16,138,890	\$ 3,928,468	\$ 624,062	\$ 108,039	\$ 20,799,460
February	\$ 24,350,010	\$ 1,202,499	\$ 1,215,807	\$ 0	\$ 26,768,320
<b>Year to Date</b>	<b>\$ 347,215,000</b>	<b>\$ 16,170,800</b>	<b>\$ 38,226,900</b>	<b>\$ 726,710</b>	<b>\$ 402,339,400</b>

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*Assuming acceptance of all awards by the Board of Regents*

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**Summary of Grant Awards****Fiscal Year 2007-2008***Private awards from Foundations, Industry, Associations and Others*

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<b>Month</b>	<b>RESEARCH AND OTHER</b>	<b>TRAINING</b>	<b>Total Grants</b>
July	\$ 5,529,786	\$ 223,067	\$ 5,752,853
August	\$ 12,792,000	\$ 604,407	\$ 13,396,400
September	\$ 16,434,390	\$ 237,863	\$ 16,672,250
October	\$ 25,846,500	\$ 150,020	\$ 25,996,520
November	\$ 6,912,596	\$ 233,710	\$ 7,146,306
December	\$ 9,944,877	\$ 1,380,140	\$ 11,325,020
January	\$ 8,045,577	\$ 700	\$ 8,046,277
February	\$ 10,692,720	\$ 493,319	\$ 11,186,040
<b>Year to Date</b>	<b>\$ 96,198,440</b>	<b>\$ 3,323,226</b>	<b>\$ 99,521,660</b>

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*Assuming acceptance of all awards by the Board of Regents*



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**Summary of Contract Awards****Fiscal Year 2007-2008**

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<b>Month</b>	<b>RESEARCH AND OTHER</b>		<b>TRAINING</b>		<b>Total Contracts</b>
	<b>Federal</b>	<b>Non-Federal</b>	<b>Federal</b>	<b>Non-Federal</b>	
July	\$ 496,481	\$ 8,486,723	\$ 0	\$ 5,370,224	\$ 14,353,430
August	\$ 4,778,947	\$ 5,840,805	\$ 2,977	\$ 238,528	\$ 10,861,260
September	\$ 8,132,220	\$ 7,054,878	\$ 1,273	\$ 1,619,535	\$ 16,807,910
October	\$ 6,481,776	\$ 9,653,934	\$ 50,000	\$ 1,839,108	\$ 18,024,820
November	\$ 3,618,770	\$ 5,471,781	\$ 0	\$ 1,492,369	\$ 10,582,920
December	\$ 2,251,427	\$ 5,516,219	\$ 0	\$ 345,013	\$ 8,112,658
January	\$ 3,105,263	\$ 6,011,425	\$ 288,464	\$ 1,268,565	\$ 10,673,720
February	\$ 3,386,189	\$ 6,129,842	\$ 0	\$ 1,436,463	\$ 10,952,490
<b>Year to Date</b>	<b>\$ 32,251,070</b>	<b>\$ 54,165,610</b>	<b>\$ 342,714</b>	<b>\$ 13,609,810</b>	<b>\$ 100,369,200</b>

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*Assuming acceptance of all awards by the Board of Regents*

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**Report of Grant and Contract Awards  
of \$1,000,000 or More**

**February 2008**

**Requiring action of  
The Board of Regents  
of the  
University of Washington**

**Office of Research  
Office of Sponsored Programs**

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**Detail of Private Grant Awards**

**Foundations**

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**Carnegie Corporation of New York**

To: Susan E. Jeffords, Professor \$ 1,000,000  
Department of English

For: Teachers for a New Era, Phase II

Eff: 9/1/2003

**Total for Carnegie Corporation of New York: \$ 1,000,000**

**Total for Foundations: \$ 1,000,000**

**Total Private Grants: \$ 1,000,000**

**Grand Total for all Awards \$ 1,000,000**

**VII. STANDING COMMITTEES****B. Finance, Audit and Facilities Committee**Actions Taken Under Delegated Authority

Pursuant to the Standing Orders of the Board of Regents, Delegation of Authority, and to the delegation of authority from the President of the University to the Senior Vice President for Finance and Facilities in Administrative Order No. 1, to take action for projects or contracts that exceed \$1,000,000 in value or cost but are less than \$5,000,000, the Administration may approve and execute all instruments.

**1. Report of Actions Taken Under General Delegated Authority**CAPITAL PROJECT BUDGETS

Project Name: Hitchcock Hall Air Conditioning, Project No. 200639

Action Reported: Appoint Engineer and Establish Project Budget

On April 30, 2008, an agreement for Engineering Services was awarded to CDi Engineers for the Hitchcock Hall Air Conditioning, Project No. 200639. The value of the design contract is \$99,382. The proposed budget for all consultant services for the project is \$255,123. The balance of the design budget is intended for further design refinement, site investigations and construction phase services.

CDi Engineers of Lynnwood, Washington has a successful track record of laboratory and science renovation projects at the University of Washington. Recent projects include the Wilcox Hall Infrastructure Upgrades, and Marine Sciences Boiler Replacement Project.

The goal of this project is to provide cooling to Hitchcock Hall to comply with national design standards, City energy code and scientific research requirements. Hitchcock Hall was built in the 1970's without environmental cooling. Due to the significant increase in heat-generating lab equipment over the last thirty-five years, the biology labs currently experience temperatures in excess of 80 degrees for prolonged periods throughout the spring, summer and fall seasons. This has led to the loss of irreplaceable laboratory research, and significant human discomfort. This project will provide the maximum amount of cooling possible to Hitchcock Hall within the limits of the existing ventilation delivery system.

Construction is expected to begin in October 2008. The new system is scheduled to be complete and ready for use by May 2009.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority (continued p.2)

The project budget is established at \$1,808,789. Funding for this project is being provided by the UW Building Renewal Fund and College of Arts & Sciences minor modifications allocation.

<b>Budget Summary</b>	<b>Current Approved Budget</b>	<b>Forecast Cost at Completion</b>
Total Consultant Services	\$ 255,123	\$ 255,123
Total Construction Cost *	\$ 1,380,380	\$ 1,380,380
Other Costs	\$ 36,720	\$ 36,720
Project Administration	\$ 136,566	\$ 136,566
Total Project Budget	\$ 1,808,789	\$ 1,808,789

\* Includes construction contract amount, contingencies and state sales tax.

UNIVERSITY OF WASHINGTON  
INVESTMENT PERFORMANCE REPORT TO THE BOARD OF REGENTS

THIRD QUARTER FISCAL YEAR 2008

*As of March 31, 2008*



TREASURER, BOARD OF REGENTS  
TREASURY OFFICE

Published May 2008

# TABLE OF CONTENTS

Treasury Assets.....	1
Asset Allocation .....	2
Consolidated Endowment Fund .....	3
Performance Update .....	4
Invested Funds .....	5
Summary of Actions Taken Under Board Delegated Authorities January 1, 2008 through May 2, 2008 .....	6

# TREASURY ASSETS<sup>1</sup>

As of 3/31/08—\$3.4 Billion

Dollars in Millions



## Endowment & Similar Funds

Endowment Funds	\$1,693
Operating Funds	<u>407</u>
<b>Consolidated Endowment Fund</b>	<b>2,100</b>
Life Income Trusts	89
Outside Trusts	55
Non-CEF Endowments	24
Permanent Fund <sup>6</sup>	<u>27</u>
	<b><u>\$2,295</u></b>

## Operating & Reserve Funds

Invested Funds <sup>2,3</sup>	\$980
Bond Retirement Fund <sup>4</sup>	9
Building Fund <sup>4</sup>	26
Debt Service Reserve Funds <sup>5</sup>	8
Bond Proceeds <sup>7</sup>	<u>40</u>
	<b><u>\$1,063</u></b>

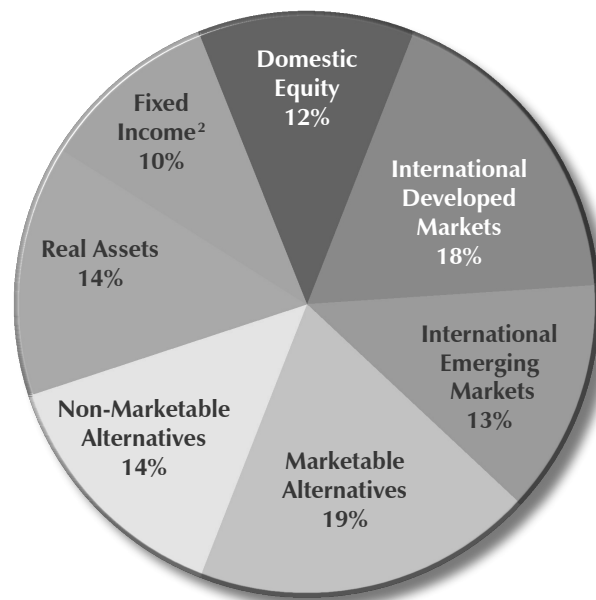
1. Includes assets whose management falls under the auspices of the Finance & Audit Committee of the Board of Regents. Excludes Metro Tract and Forest Trust Lands. All dollar amounts are expressed in millions and represent market values. Bond Proceeds
2. The Invested Funds holds Consolidated Endowment Fund units valued at \$407. To avoid double counting, the dollars are included only in the CEF totals.
3. In June 2002, the Board of Regents authorized the establishment of a captive insurance company, Portage Bay Insurance (PBI). The previous insurance vehicle, the Self Insurance Revolving Fund (SIRF), will close after existing claims are resolved. Current balances: PBI \$74.7 SIRF \$3.0.
4. General obligation bond reserve fund on deposit with the state of Washington.
5. Required reserve funds for locally issued bonds (2002 Housing & Dining \$1.5, 2004 Housing & Dining \$0.6, 2004 Parking \$1.6, Roosevelt 1 \$2.3 and Roosevelt 2 \$2.0).
6. Proceeds from sale of land grants and subsequent investment returns on deposit with the state of Washington.
7. Construction project funds which have not yet been disbursed (IMA \$8.0, Genome/R&T \$13.7, Parking \$6.2, Global Health Labs \$0.0 and 2007 General Revenue Bond \$11.9)



# ASSET ALLOCATION

As of March 31, 2008

Consolidated Endowment Fund<sup>1</sup>—\$2,100 MM



Dollars in Millions

	Current Allocation <sup>4</sup>		Policy Target	Policy Range
Domestic Equity	\$249	12%	18%	10%–40%
International Developed Markets	\$371	18%	20%	10%–35%
International Emerging Markets	\$276	13%	10%	5%–15% <sup>3</sup>
Marketable Alternatives	\$396	19%	16%	5%–25%
Non-Marketable Alternatives	\$290	14%	12%	5%–20%
Equity Fund	\$1,582	75%	76%	60%–90%
Real Assets Fund	\$300	14%	12%	5%–20%
Fixed Income Fund <sup>2</sup>	\$218	10%	12%	5%–35%
<b>Total Consolidated Endowment Fund</b>	<b>\$2,100</b>	<b>100%</b>		

<sup>1</sup> Total international exposure: 43%; estimated net foreign currency exposure: 40%

<sup>2</sup> Includes allocation to cash

<sup>3</sup> On November 14, 2007, the Board of Regents approved expansion of the International Emerging Markets policy range to 5%–25% through June 30, 2008

<sup>4</sup> Current exposure percentages may not add to 100% due to rounding

# CONSOLIDATED ENDOWMENT FUND (CEF)

For the Quarter ending March 31, 2008

## Total Returns As of 3/31/08 <sup>1</sup> (%)

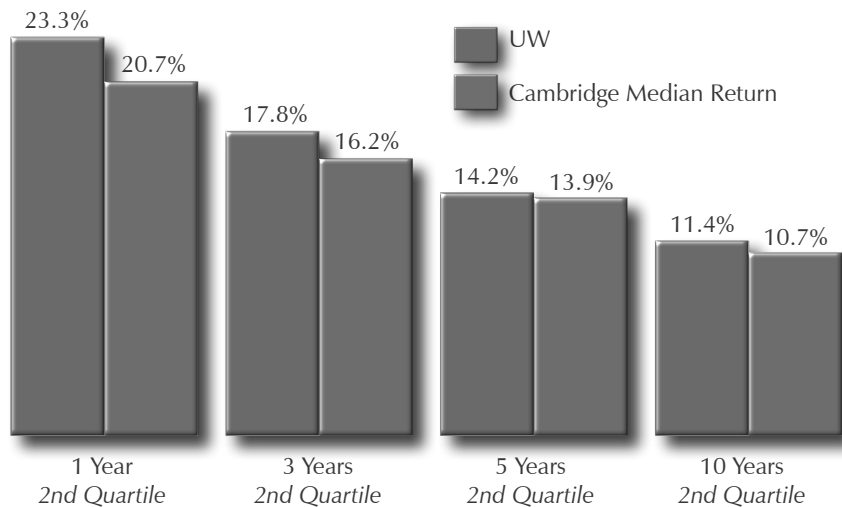
	3 <sup>rd</sup> Qtr FY 2008	1-Year	5-Year	10-Year
<b>Total CEF Return</b>	<b>-4.6</b>	<b>8.0</b>	<b>16.2</b>	<b>9.7</b>
Strategy Weighted Policy Benchmark	-3.4	6.3	14.5	8.5
<b>Equity Fund</b>	<b>-5.9</b>	<b>7.8</b>	<b>18.4</b>	<b>10.1</b>
Weighted Policy Benchmark	-5.8	4.4	16.4	8.4
<b>Real Assets Fund</b>	<b>1.1</b>	<b>11.3</b>	—	—
Policy Benchmark	3.8	12.3	—	—
<b>Fixed Income Fund</b>	<b>-1.4</b>	<b>5.3</b>	<b>4.7</b>	<b>6.1</b>
Policy Benchmark (LB Govt Bond)	4.0	11.5	4.7	6.2

<sup>1</sup> Average Annual Compound Return

## Activity (\$ in Millions)

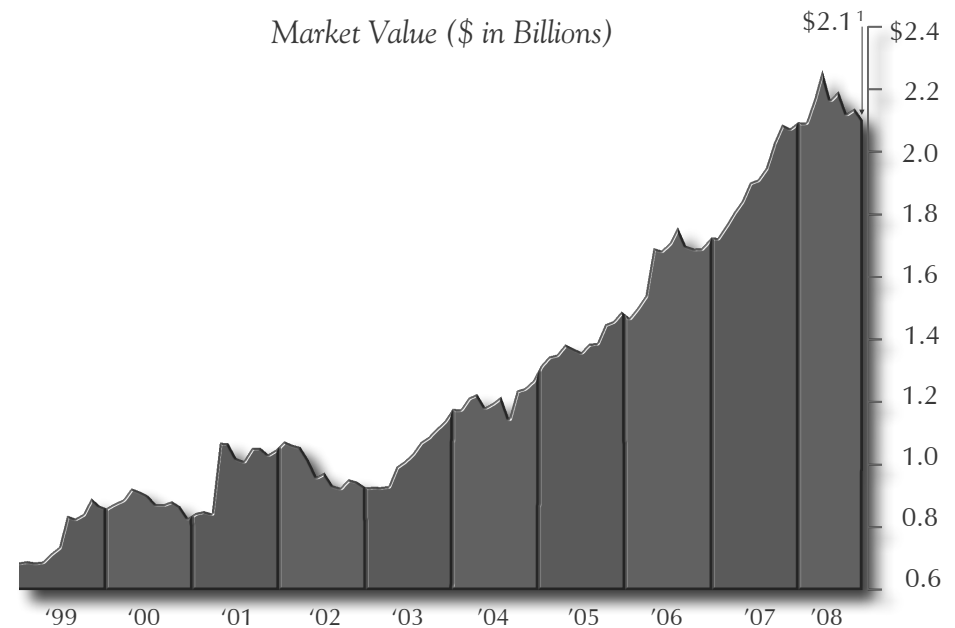
	FYTD 2008	FY 2007	FY 2006	Five Years	Ten Years
<b>Beginning Balance</b>	<b>\$2,074</b>	<b>\$1,690</b>	<b>\$1,388</b>	<b>\$927</b>	<b>\$577</b>
Gifts	74	66	114	357	556
Transfers	5	9	22	55	100
<b>Total Additions</b>	<b>79</b>	<b>75</b>	<b>137</b>	<b>412</b>	<b>656</b>
Operating Funds Purchases	18	15	0	105	319
<b>Net Investment Return</b>	<b>15</b>	<b>392</b>	<b>250</b>	<b>1,099</b>	<b>1,197</b>
Distributions	(69)	(81)	(70)	(367)	(548)
Administrative Fees	(3)	(4)	(3)	(15)	(24)
Development Support	(13)	(14)	(12)	(61)	(77)
<b>Ending Balance</b>	<b>\$2,100</b>	<b>\$2,074</b>	<b>\$1,690</b>	<b>\$2,100</b>	<b>\$2,100</b>
Net Change	\$27	\$384	\$302	\$1,173	\$1,524

## UW & Cambridge Associates <sup>1</sup> Returns for periods ending 6/30/07



<sup>1</sup> Cambridge Associates College and University Investment Pool Top 50 (by Investment Pool Market Value)

## Market Value (\$ in Billions)



<sup>1</sup> Reflects inclusion of IF units in CEF starting 7/01—value without would be \$1.7

# PERFORMANCE UPDATE

Total Return<sup>1</sup> As of 3/31/08

	3 <sup>rd</sup> Qtr FY '08	1 Year	3 Years	5 Years	10 Years
<b>Consolidated Endowment Fund</b>	<b>-4.6</b>	<b>8.0</b>	<b>14.3</b>	<b>16.2</b>	<b>9.7</b>
<b>Equity Fund</b>	<b>-5.9</b>	<b>7.8</b>	<b>15.9</b>	<b>18.4</b>	<b>10.1</b>
Domestic Equity	-10.5	-5.9	5.9	11.9	6.6
Core Equity	-11.1	-7.7	5.7	12.0	6.2
Value Equity	-2.2	0.1	6.1	11.9	7.7
Growth Equity	-14.6	-4.7	8.7	15.0	6.9
International Developed Markets	-8.1	-3.6	12.0	20.2	6.1
International Emerging Markets	-10.7	33.8	33.2	37.5	15.6
Marketable Alternatives	-3.7	6.8	11.6	13.2	10.7
Non-Marketable Alternatives <sup>2</sup>	4.8	21.3	21.6	19.6	13.6
<b>Real Assets Fund</b>	<b>1.1</b>	<b>11.3</b>	<b>16.3</b>	—	—
<b>Fixed Income Fund</b>	<b>-1.4</b>	<b>5.3</b>	<b>5.9</b>	<b>4.7</b>	<b>6.1</b>
Market Indices					
<i>Equity Indices</i>					
S&P 500	-9.4	-5.1	5.9	11.3	3.5
Russell 3000	-9.5	-6.1	6.1	12.1	3.9
Russell 2000	-9.9	-13.0	5.1	14.9	5.0
Dow Jones	-6.9	1.5	7.7	11.4	5.5
NASDAQ	-14.1	-5.9	4.5	11.2	2.2
MSCI ACWI ex US	-9.1	2.6	16.5	24.0	7.7
Emerging Markets (IFCG)	-12.5	23.5	30.2	36.2	13.6
<i>Fixed Income Indices</i>					
LB Government Bond	4.0	11.5	6.4	4.7	6.2
JP Morgan Global Hedged Bond Index	2.7	7.7	5.3	4.5	5.8

Sources: Cambridge Associates and Northern Trust

<sup>1</sup> Total Return: average annual compound return (dividend or interest plus capital appreciation or depreciation)

<sup>2</sup> Provided by Cambridge Associates on a quarter lag.

# INVESTED FUNDS (IF)

For the Quarter ending March 31, 2008

## Total Return<sup>1</sup> (%)

	3 <sup>rd</sup> Qtr FY 2008	1-Year	5-Year	10-Year
<b>Cash</b>	<b>3.1</b>	<b>8.6</b>	<b>3.7</b>	<b>5.2</b>
Citi 2 Yr Treasury	3.4	9.5	3.6	4.8
<b>Liquidity</b>	<b>2.8</b>	<b>8.5</b>	<b>4.0</b>	<b>5.7</b>
LB Intermediate Govt Bond	4.1	11.2	4.3	5.8
<b>IF excluding CEF units</b>	<b>2.9</b>	<b>8.6</b>	<b>3.9</b>	<b>5.6</b>
Weighted Benchmark	3.8	10.6	4.1	5.5
<b>IF including CEF units</b>	<b>0.5</b>	<b>8.6</b>	<b>7.5</b>	<b>6.9</b>
Weighted Benchmark	1.6	9.5	7.2	6.6

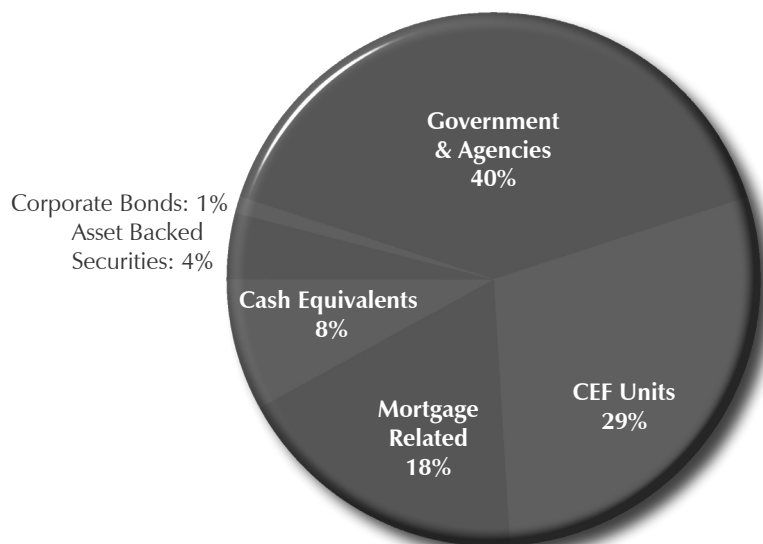
<sup>1</sup> Average Annual Compound Return

## Fund Allocation by Pool (\$ in Millions)

	Fund Allocation		Range	Duration	
				Actual	Maximum
<b>Cash Pool</b>	<b>\$381</b>	<b>27%</b>	<b>10%–40%</b>	<b>2.0</b>	<b>3.0 yrs</b>
Liquidity Pool <sup>1</sup>	599	43%	30%–60%	3.4	4.1 yrs
<b>Total Cash &amp; Liq. Pool</b>	<b>\$980</b>	<b>71%</b>			
CEF Units held by IF		29%	15% - 40%		
<b>Total Invested Funds</b>		<b>100%</b>			

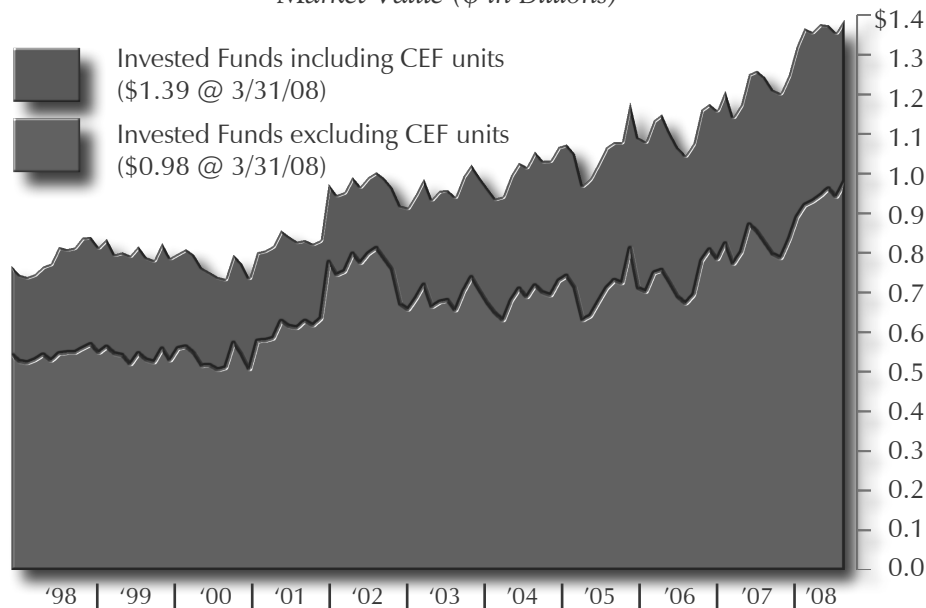
<sup>1</sup> Includes Interfund Loans

## Mix of Investments<sup>1</sup>



<sup>1</sup> Not shown—Interfund Loans (0.01%)

## Market Value (\$ in Billions)



# UWINCO SUMMARY OF ACTIONS TAKEN UNDER BOARD DELEGATED AUTHORITIES

## JANUARY 1, 2008 THROUGH MAY 2, 2008 (\$ IN MILLIONS)

*By the Chief Investment Officer*

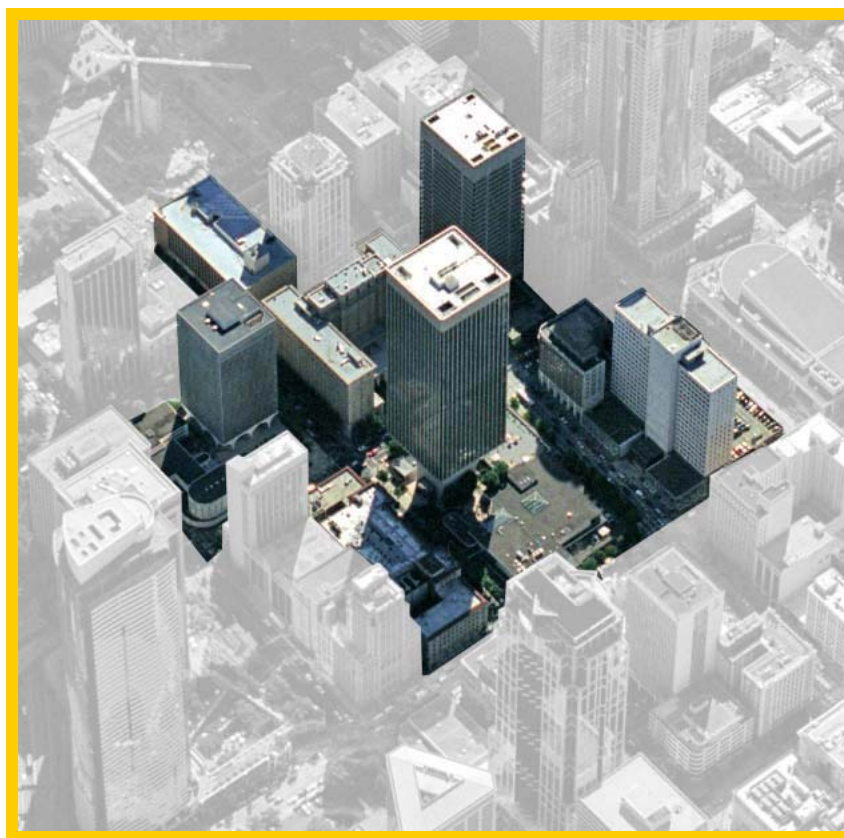
### *Public Markets*

Approved	Action	Manager / Fund	Strategy	Investment (\$MM)
02/15/08	Liquidation	Northern Trust	Domestic Equity (\$21.4 notional)	-\$5.0 collateral
02/15/08	Liquidation	SSGA EAFE	International Developed	-\$24.0
02/29/08	Reduction	Arrowstreet Capital	International Developed	-\$5.0
02/29/08	Reduction	GMO	International Developed	-\$5.0
02/29/08	Reduction	Harris International Large Cap	International Developed	-\$5.0
02/29/08	Reduction	Harris International Small Cap	International Developed	-\$5.0
01/25/08	New	Lone Dragon Pine	International Emerging Markets	\$7.5
02/29/08	Liquidation	Goldman Sachs - Emerging Markets Swap	International Emerging Markets	-\$17.8
02/29/08	Reduction	Martin Currie China	International Emerging Markets	-\$10.0

### *Private Markets*

Approved	Action	Manager / Fund	Strategy	Investment (\$MM)
01/25/08	New	Rimrock Capital	Marketable Alternatives	\$20.0
01/25/08	Reup	Varde Partners	Marketable Alternatives	\$20.0
03/20/08	New	Baupost Value Partners	Marketable Alternatives	\$50.0
03/20/08	Reup	Brookside Capital Partners	Marketable Alternatives	\$3.0
04/10/08	Termination	Sirios Capital Partners	Marketable Alternatives	-\$14.0
04/10/08	Reup	Riverside Capital	Non-Marketable Alternatives	\$7.0
04/10/08	Reup	TPG	Non-Marketable Alternatives	\$6.0
05/02/08	New	HIG Bayside	Non-Marketable Alternatives	\$10.0
05/02/08	Reup	Madrona Venture Partners	Non-Marketable Alternatives	\$5.0
03/03/08	Reup	Cabot Industrial Value Fund	Real Assets	\$12.0
03/03/08	Reup	Square Mile Partners	Real Assets	\$10.0
04/10/08	New	CarVal Global Value Fund	Real Assets	\$5.0
05/02/08	New	Denham Capital	Real Assets	\$12.0

**METROPOLITAN TRACT**  
**PERFORMANCE REPORT**  
**For the Quarter Ended**  
**March 31, 2008**

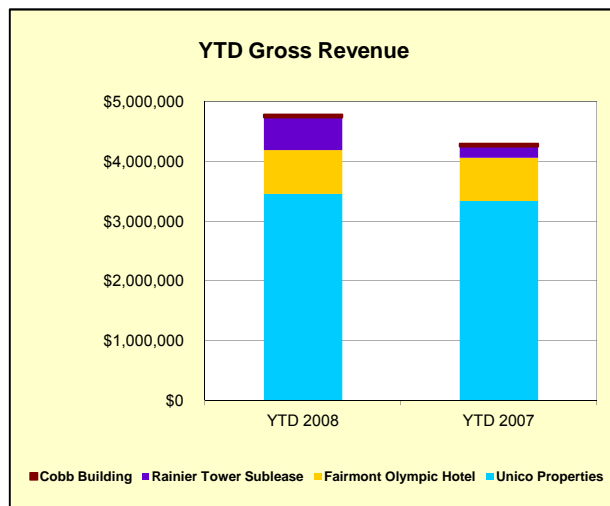
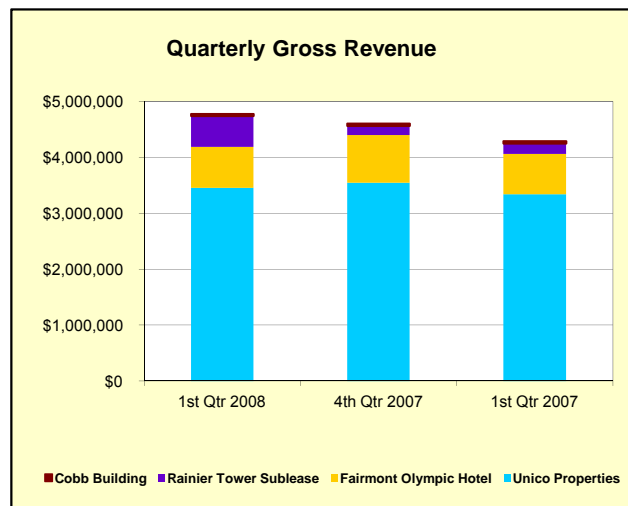


**Finance, Audit & Facilities Committee**

June 12, 2008

University of Washington Metropolitan Tract

**CONSOLIDATED METROPOLITAN TRACT PROPERTIES**  
**Quarterly Summary**  
**Quarter Ending March 31, 2008**



CURRENT QUARTER							
Gross Revenue to University	1st Qtr 2008	Prior Qtr 4th Qtr 2007	Prior Year 1st Qtr 2007	Change from Prior Qtr		Change from Prior Year	
				\$	%	\$	%
Unico Properties	3,455,323	3,546,703	3,338,783	(91,381)	(2.6%)	116,539	3.5%
Rainier Tower Sublease	554,882	167,522	192,910	387,361	231.2%	361,973	187.6%
Fairmont Olympic Hotel	730,590	853,399	722,226	(122,809)	(14.4%)	8,363	1.2%
Cobb Building	38,500	38,500	38,500	0	0.0%	0	0.0%
<b>TOTAL GROSS REVENUE</b>	<b>\$4,779,295</b>	<b>\$4,606,124</b>	<b>\$4,292,419</b>	<b>\$173,171</b>	<b>3.8%</b>	<b>\$486,875</b>	<b>11.3%</b>

Gross Revenue to University	CALENDAR YEAR TO DATE				ANNUAL	
	YTD 2008	YTD 2007	Change from Prior YTD		Projected 2008	Actual 2007
			\$	%		
Unico Properties	3,455,323	3,338,783	116,539	3.5%	15,481,600	13,950,835
Rainier Tower Sublease	554,882	192,910	361,973	187.6%	NA <sup>3</sup>	294,432
Fairmont Olympic Hotel	730,590	722,226	8,363	1.2%	3,730,825	3,723,059
Cobb Building	38,500	38,500	0	0.0%	154,000	154,000
<b>TOTAL GROSS REVENUE</b>	<b>\$4,779,295</b>	<b>\$4,292,419</b>	<b>\$486,875</b>	<b>11.3%</b>	<b>\$19,366,425</b>	<b>\$18,122,326</b>

12/31/07 INTERNAL VALUATION		
	2007 Gross Market Value	2006 Gross Market Value
Unico Managed Properties <sup>1</sup>	501,700,000	\$488,197,000
Fairmont Olympic Hotel	168,300,000	\$192,700,000
Cobb Building <sup>2</sup>	2,200,000	\$2,200,000
<b>TOTAL</b>	<b>\$672,200,000</b>	<b>\$683,097,000</b>

<sup>1</sup> Includes the Rainier Tower Sublease

<sup>2</sup> Represents UW's land value; not improvements

<sup>3</sup> Rainier Tower Sublease budget is in progress

## CONSOLIDATED METROPOLITAN TRACT PROPERTIES

### Quarterly Summary

Quarter Ending March 31, 2008

#### CONSOLIDATED METROPOLITAN TRACT SUMMARY

The Metropolitan Tract generated \$4.8M of revenue during the initial three months of 2008. This is up 4% from the fourth quarter of 2007 and a 11% increase from a year ago. On a quarter-to-quarter basis, only the Rainier Tower sublease showed an increase. From a year-ago perspective, all contributors posted gains.

#### UNICO PROPERTIES

The 1st quarter's gross revenue from the Unico Properties was down 2.6% from the the fourth quarter of 2007, generating just under \$3.5M. Retail and Parking were both up, but Office income fell 7.5%. Compared to the year-ago quarter, we see growth of 4.6%, with all contributors increasing revenue.

#### RAINIER TOWER SUBLEASE

The Rainier Tower Sublease generated \$554,882 in operating cash flow during the first quarter. Due to Marsh's occupancy of approximatley 100,000 sf, this is a notable increase from the previous quarter and previous year -to-date where the Sublease's cash flow was less than \$200,000. The upswing is thanks to successful leasing, placing the Sublease at 89% occupied. On the other hand, while the operating cash flow is trending more positive, getting occupants into the tower has yielded total capital outlays of \$4,000,000 in 2008.

#### FAIRMONT OLYMPIC HOTEL

Expectedly, the first three months of 2008 were down from the last three of 2007. The first quarter is perennially the weakest month and the yield of \$730,000 is a decrease of 14.4% from the previous quarter. Compared to a year ago, the hotel's revenues were fairly flat, posting a 1.2% increase.

#### INTERNAL VALUATION

The numbers presented represent the overall value of the real estate as estimated by Heitman and Urbis Partners as of 12/31/07, but do not account for the leasehold encumbrances.

#### MARKET UPDATE

##### OFFICE MARKET

Occupancy percentages in the downtown office market continue to hover in the low 90s. The Eastside is leading the region with vacancy of only 5%. That said, the downtown occupancy has decreased the past two quarters. This may reflect the slowing economy and an unwillingness by tenants to expand their footprints. At this point, however, rental rates still continue to trend upward in Seattle's downtown core.

##### HOTEL MARKET

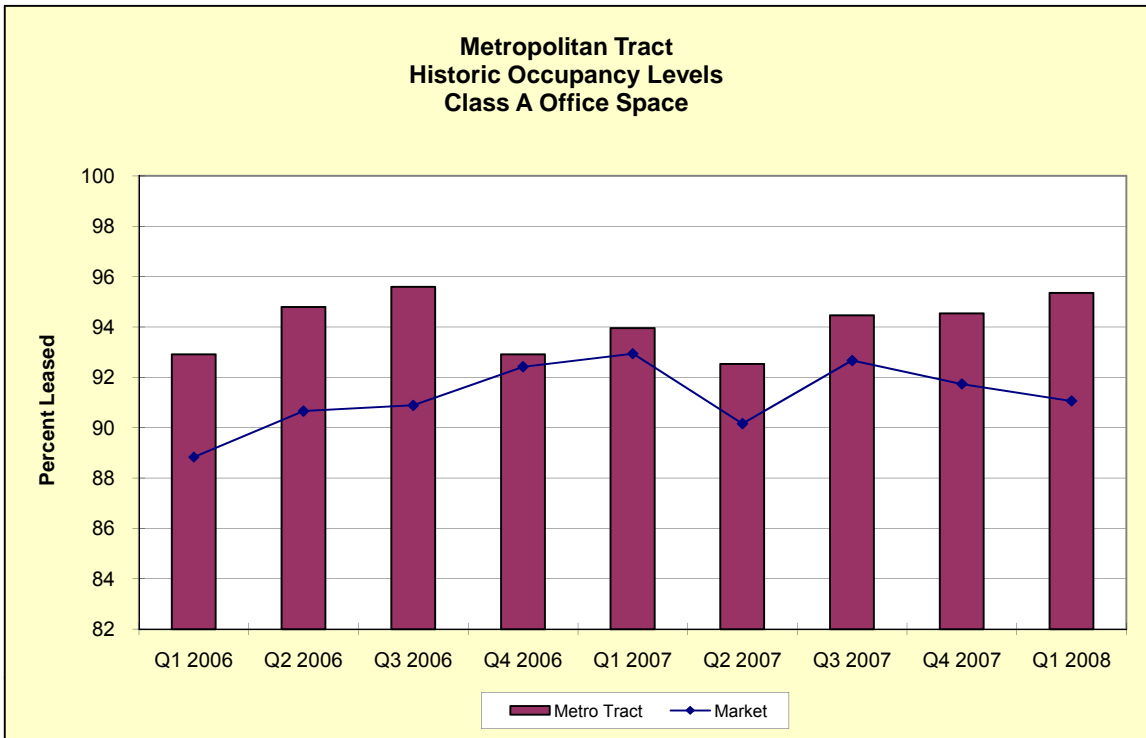
After a very fickle 2007 saw hotel business bucking many historical patterns and ultimately ending down for the year, the start for this year appears to be both positive and consistent. More hotels continue to join the sector, with more under construction. IHotel's construction has been on hold for the better part of the year due to an inability to secure financing as the credit markets tightened. Construction on The Four Seasons continues and it should be open toward the end of the year. Additionally, more plans continue to surface for additional hotels, though they seem to be targeting a more mid, to longer term, horizons.



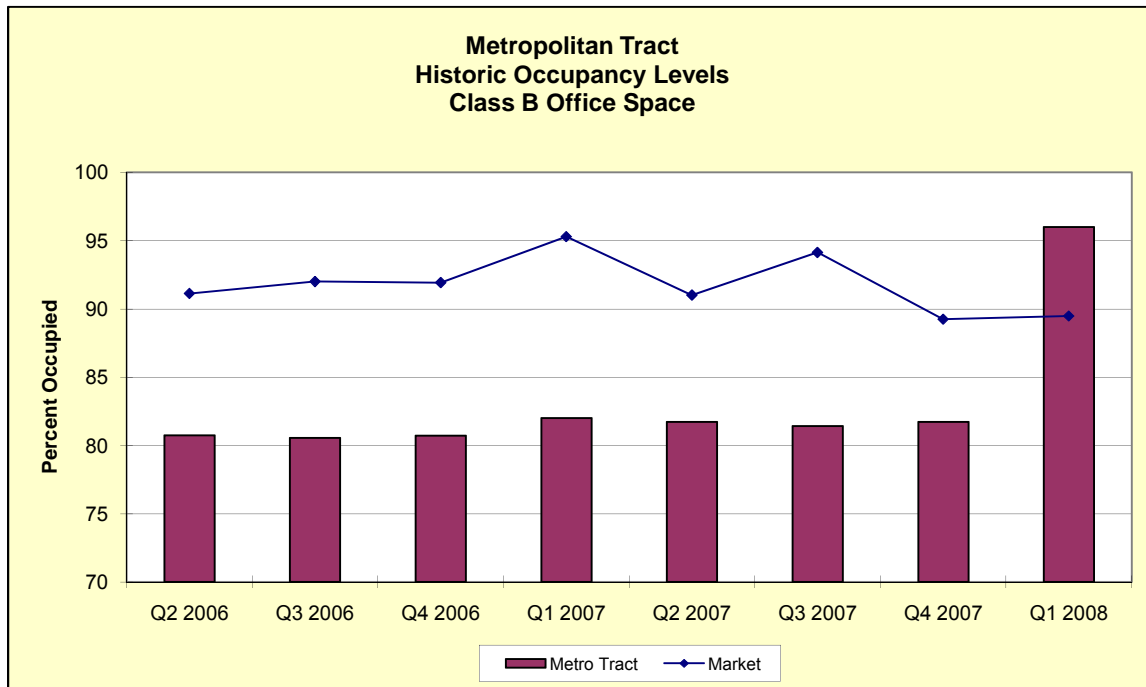
**OFFICE BUILDING OCCUPANCY COMPARISON CHARTS**

Last Eight Quarters

Quarter Ending March 31, 2008



Includes Rainier Tower, Puget Sound Plaza, IBM Building and Financial Center

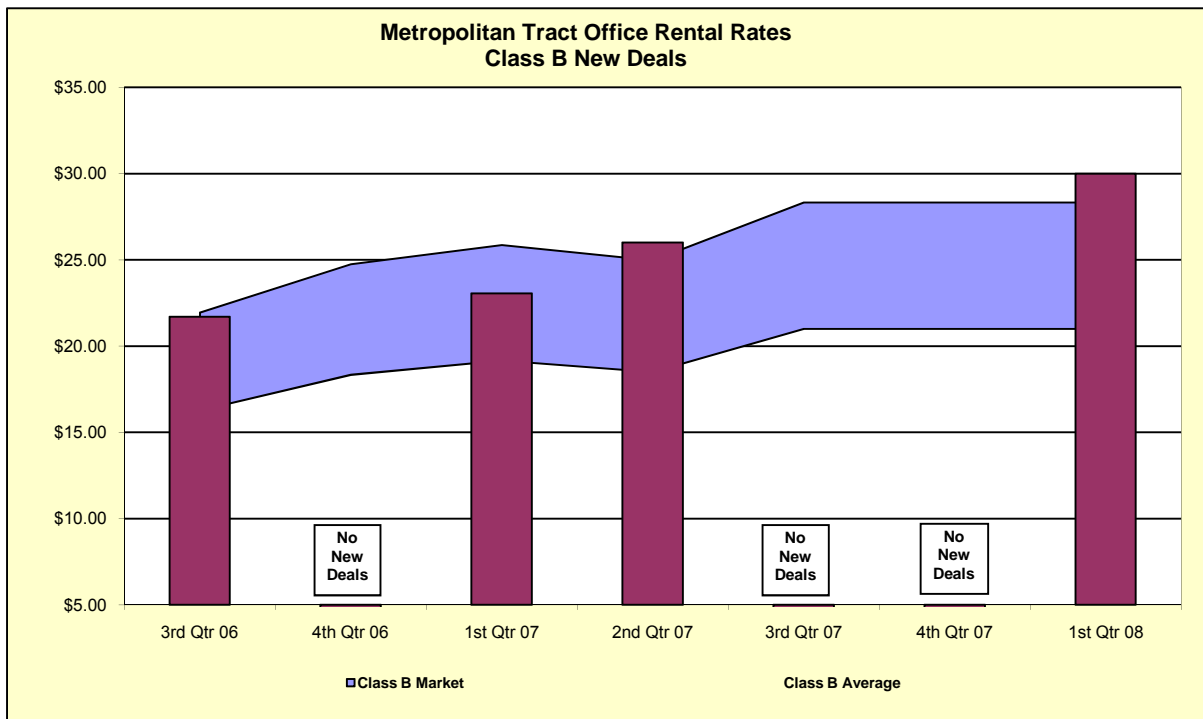
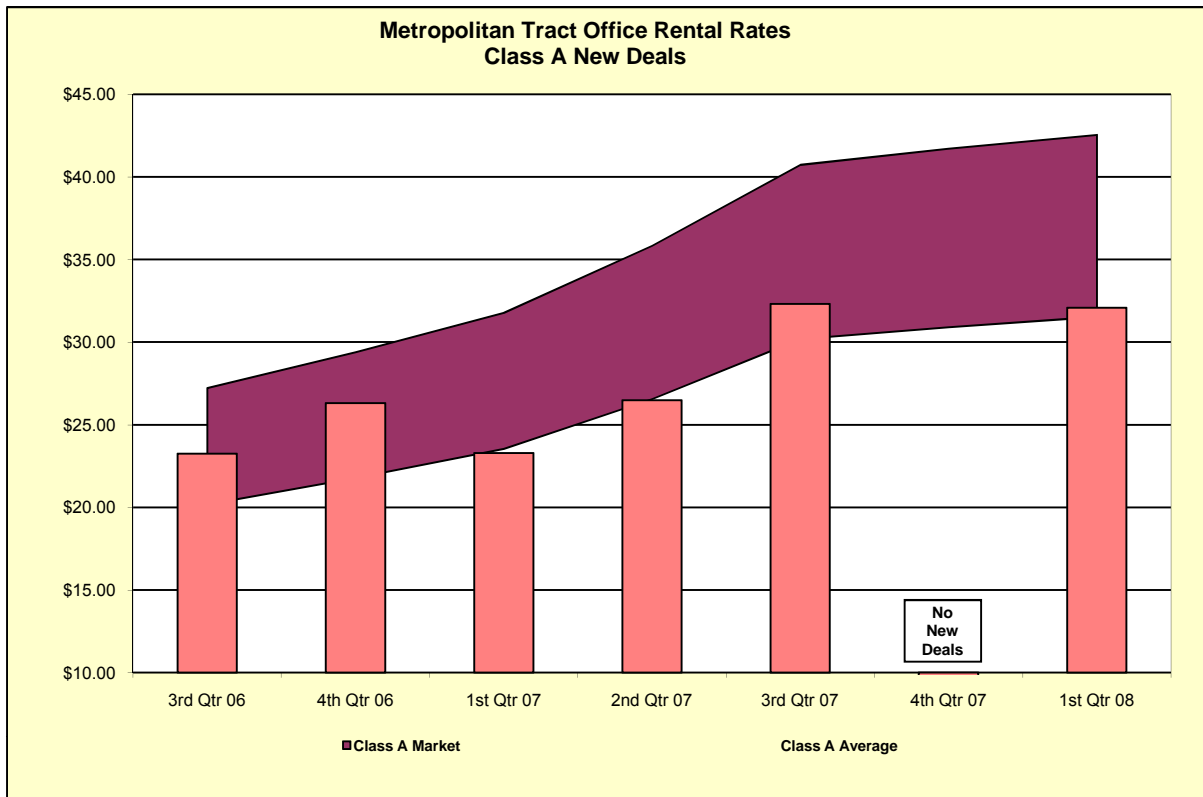


Includes Skinner Building

**OFFICE BUILDING RENTAL RATE COMPARISON**

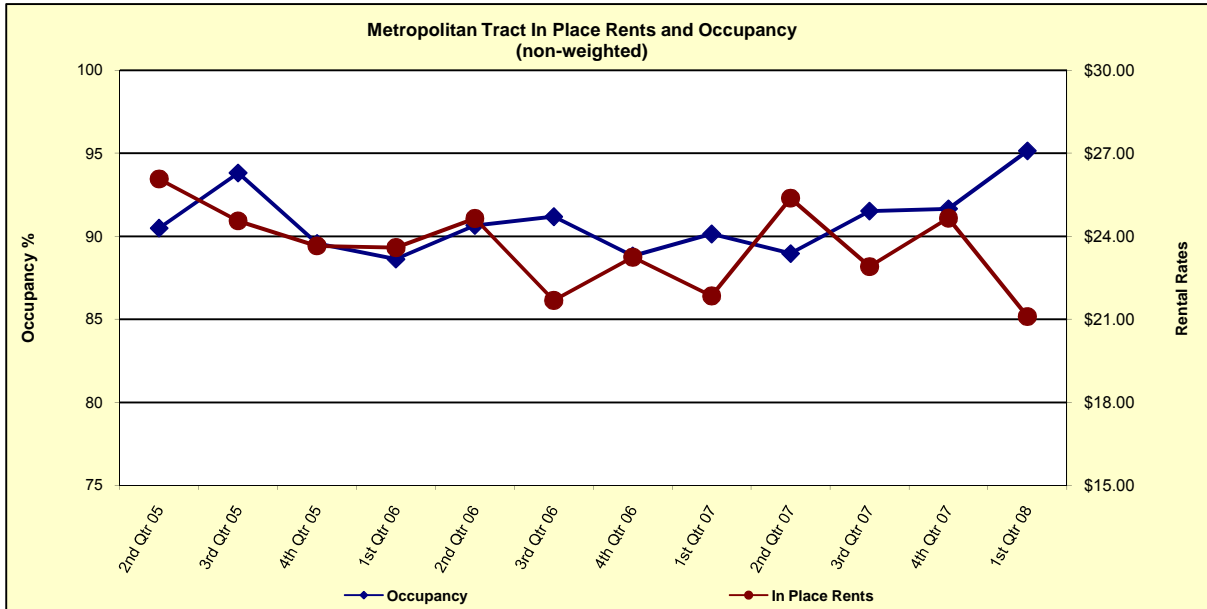
Last Seven Quarters

Quarter Ending March 31, 2008

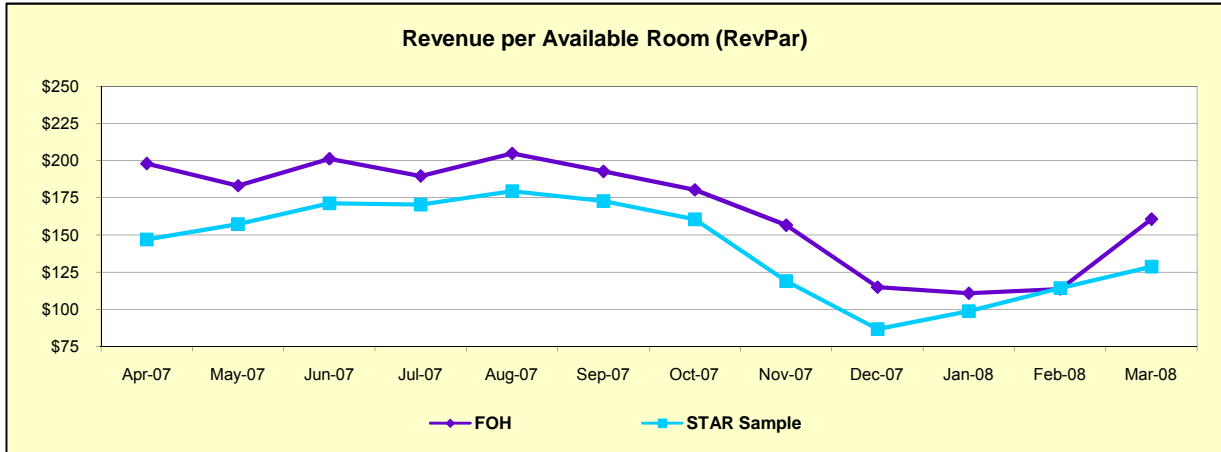


**OFFICE BUILDING RENTAL RATE COMPARISON**

Last 3 Years  
Quarter Ending March 31, 2008

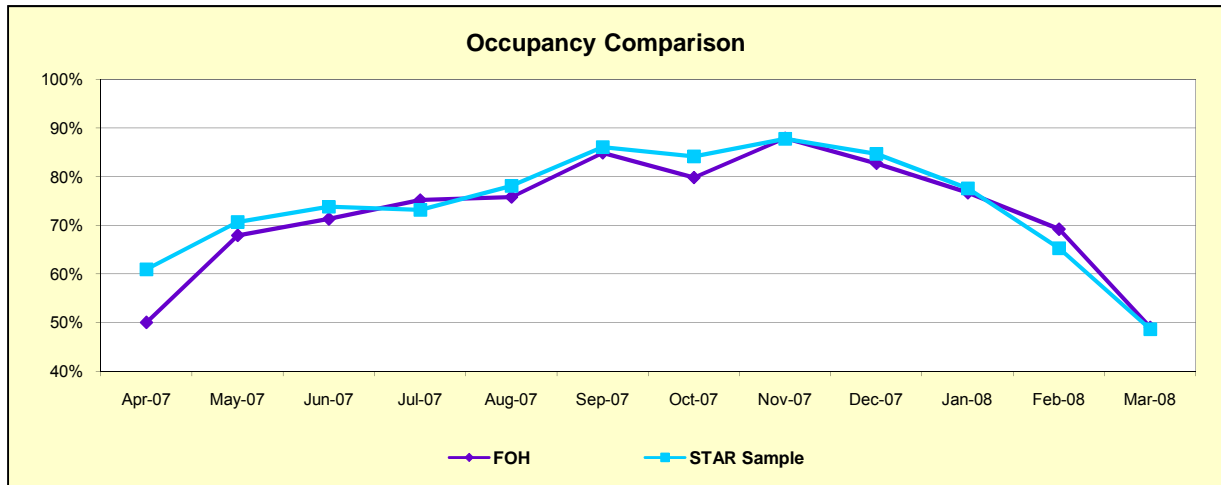


**FAIRMONT OLYMPIC HOTEL MARKET COMPARISONS**  
**Twelve Months Ended March 31, 2008**



RevPar 12-months Average	Mar-08	Mar-07	Change from Prior Year \$
FOH	\$167.19	\$172.74	(\$5.55)
STAR Sample Avg <1>	\$142.27	\$152.11	(\$9.85)

RevPar Current Month Ended	Mar-08	Mar-07	Change from Prior Year %
FOH	\$160.66	\$152.96	\$7.70
STAR Sample Avg <1>	\$128.85	\$136.98	(\$8.13)

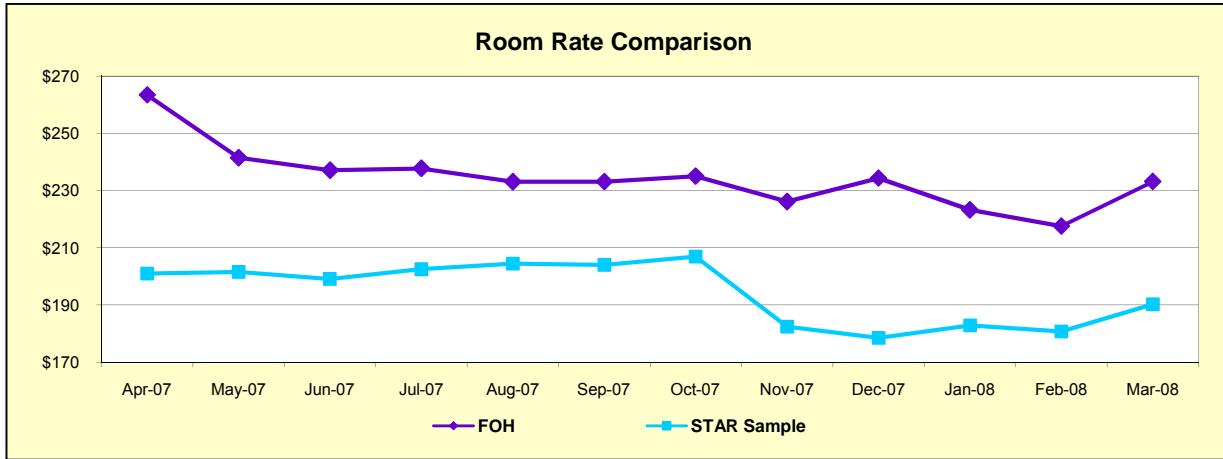


Occupancy 12-months Average	Mar-08	Mar-07	Change from Prior Year %
FOH	71.0%	72.1%	(1.1%)
STAR Sample Avg <1>	72.6%	74.7%	(2.1%)

Occupancy Current Month Ended	Mar-08	Mar-07	Change from Prior Year %
FOH	68.9%	71.3%	(2.4%)
STAR Sample Avg <1>	67.7%	73.8%	(6.1%)

<1> Information is based on Smith Travel Research (STAR) Report for the Sheraton Hotel, Hotel Vintage Park, Hotel Monaco, Seattle Alexis, Sorrento, Westin, W Hotel, Madison and Inn at the Market.

**FAIRMONT OLYMPIC HOTEL MARKET COMPARISONS**  
**Twelve Months Ended March 31, 2008**



Average Daily Rate 12-months Average	Mar-08	Mar-07	Change from Prior Year \$
FOH	\$234.62	\$236.22	(\$1.60)
STAR Sample Avg <1>	\$194.51	\$201.59	(\$7.09)

Average Daily Rate Month Ended	Mar-08	Mar-07	Change from Prior Year \$
FOH	\$233.13	\$214.66	\$18.47
STAR Sample Avg <1>	\$190.21	\$185.70	\$4.51

<1> Information is based on Smith Travel Research (STAR) Report for the Sheraton Hotel, Hotel Vintage Park, Hotel Monaco, Seattle Alexis, Sorrento, Westin, W Hotel, Madison and Inn at the Market.

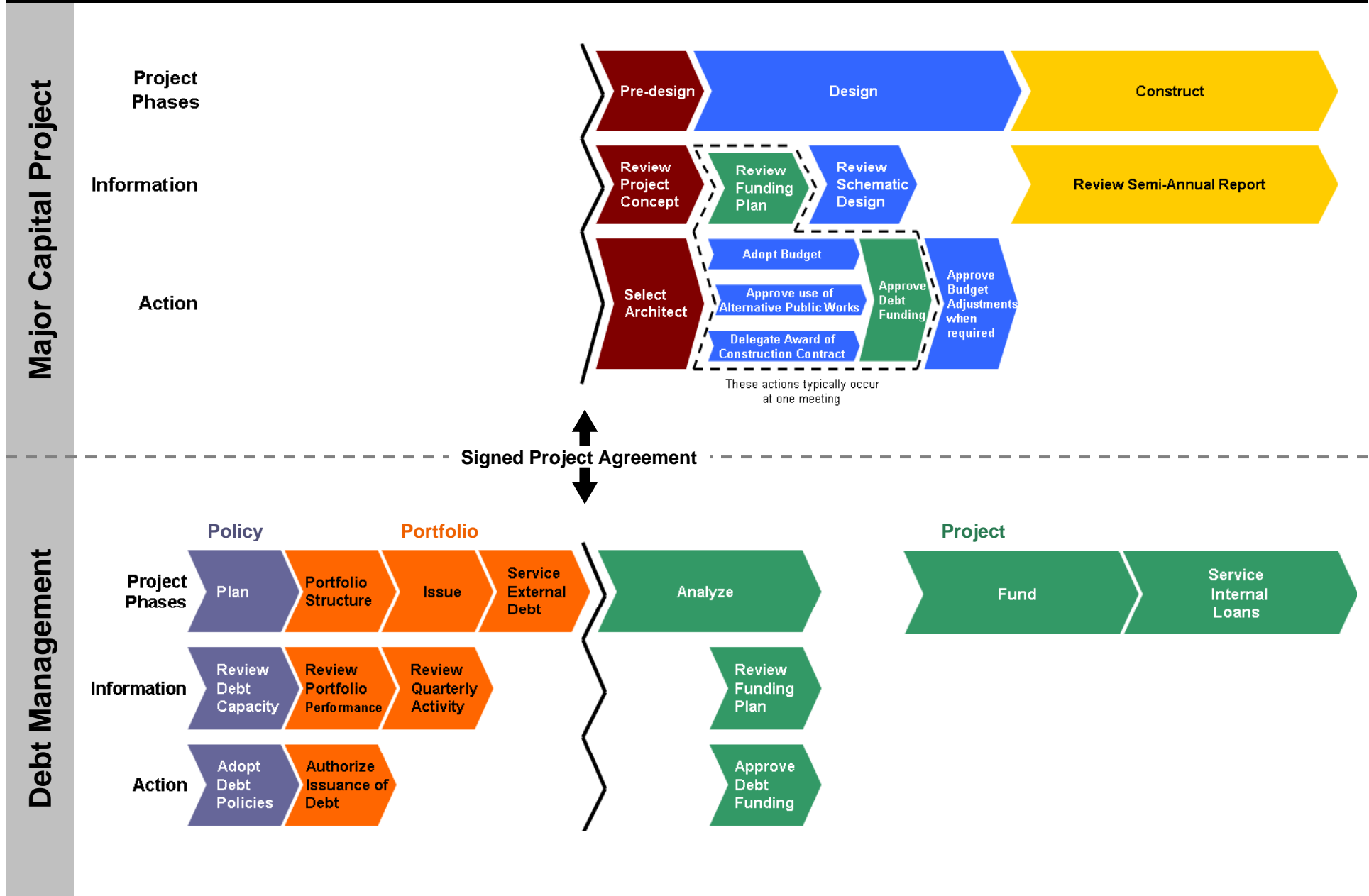
VII. STANDING COMMITTEE

B. Finance, Audit and Facilities Committee

Major Capital Projects Review and Approval Process

Please see attached.

# Regents' Review and Approval







## VII. STANDING COMMITTEES

### B. Finance, Audit and Facilities Committee

The Legislature appropriated funding to complete the predesign of the Phase 3 development of the UW Bothell campus which will provide additional academic UW Bothell Phase 3 – Architect Appointment (continued p. 2)

space to support expanded and new degree programs, allowing for program development in science, technology, engineering and math. The goal of the project is to develop capacity to accommodate an additional 600 full-time equivalent students and transition to a model of a comprehensive four-year institution.

The goals of the facility will be to provide an efficient mix of new space to foster collaboration and meet the needs of a 4-year institution. Specific program goals will include a mix of larger classrooms targeted at the more typical lower division class size, instructional laboratory facilities, collaborative learning spaces, faculty offices, an open access computer lab, and a divisible large lecture hall. The divisible large lecture hall will serve core instructional needs and will also serve as an auditorium for campus-wide functions for the growing campus community.

The proposed facility will also include a teaching and learning center for long-term faculty development and to facilitate the transition to meeting the needs of freshmen and sophomores. Associated site and infrastructure improvements will be defined and included as necessary.

The total project budget for Phase 3 is estimated at approximately \$53,000,000 in current dollars, of which \$150,000 was authorized by the State in the 2007-2009 biennium for predesign. Continued development of the University of Washington, Bothell toward the target campus capacity will build upon the previous investments at the UW Bothell/CCC collocated campus to provide outstanding teaching and research space for the 21<sup>st</sup> century.



## VII. STANDING COMMITTEES

### B. Finance, Audit and Facilities Committee

#### UW Tower S Building - Architect Appointment (continued p. 2)

##### BACKGROUND:

The UW Tower properties are located just west of the University of Washington main campus at 4333 Brooklyn Avenue NE. The 22-story tower and three low-rise office buildings that occupy the site contain over 500,000 SF of office space. The S Building is a four-story structure over a basement level, located at the southeast corner of the site. Planning is now underway at the S Building for consolidation of offices for UW Development, Alumni Relations and the Marketing departments.

The S Building project will begin with a predesign study to develop design alternatives and cost estimates for a new south entry and potential associated renovations, modernization and the expansion of the S Building. Alternatives for the Educational Outreach student registration center on the first floor of the S Building will also be investigated during the predesign. Goals of the S Building project include:

- Reinforcing the University's presence and location at the UW Tower and create a positive image for the University in the community.
- Create a "great welcoming space" for students, visitors, alumni, and the general public and realize the potential for creating a student gateway, and welcoming and support center at the UW Tower.
- Reinforce the UW Tower's image as a gateway to the University, and strengthen the link between the Tower and the main campus.

The complete design and construction of the new south entry, student registration center and other associated improvements would proceed following approval of the preferred predesign concept and the project budget at a future meeting of the Board of Regents. It is expected tht the total project cost of the construction project will exceed \$5 million. Funding for the predesign is from local funds.

## VII. STANDING COMMITTEES

## B. Finance, Audit and Facilities Committee

UW School of Medicine – Amending Purpose for the L. Vern Howell Memorial Loan FundRECOMMENDED ACTION:

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the L. Vern Howell Memorial Loan Fund be changed to create the L. Vern Howell Endowed Scholarship in Medicine.

BACKGROUND:

Nellie H. Howell was born in Seattle in 1894. She attended the University of Washington from 1912 to 1914, and for the next two years taught school on Whidbey Island. For a number of years, she and her husband, Vern Howell, owned and operated a grocery store in Issaquah. Mr. Howell died in 1969; Mrs. Howell in June 1984.

In the fifth article of her Last Will and Testament (dated October 23, 1980), Nellie H. Howell made the following provision for the University of Washington: “I give the rest, residue and remainder of my estate, of every kind and nature and wherever situate, to the Board of Regents of the University of Washington, Seattle, Washington, to establish a loan fund to be used to benefit students at the University of Washington School of Medicine who are in financial need or distress, to be known as the ‘L. Vern Howell Memorial Loan Fund.’ I specifically grant to said Board the right to establish all of the conditions upon which such loans may be granted and to change such conditions as circumstances change. It is my wish and hope that this bequest, which I expect will be substantial, will provide a source of financial assistance for medical students at the University for the indefinite future and will be used by the Board in such a manner as to be an appropriate and continuing memorial for my deceased husband.”

The Board of Regents accepted this gift at its meeting on February 8, 1985 and created the L. Vern Howell Memorial Loan Fund. This fund has a current balance of approximately \$653,000.

In the past, loan funds for medical students were a top priority and bequests and other gifts were directed to this purpose. In recent years, medical students’ loan needs have been largely met through federal programs including the Stafford loans which offer more advantageous terms. Based on the increase of and primary use of federal loan programs, we have provided significantly fewer loans through institutional resources. This has resulted in the availability of unexpended balances in loan funds that would be better utilized as scholarships.

## VII. STANDING COMMITTEES

### B. Finance, Audit and Facilities Committee

#### UW School of Medicine – Amending Purpose for the L. Vern Howell Memorial Loan Fund (continued p. 2)

With the expanding class size, increases in tuition, and the median debt load now more than \$100,000, increasing scholarship support for medical students is among the School's top priorities and most pressing needs. To amend the purpose of the L. Vern Howell Memorial Loan Fund to create the L. Vern Howell Endowed Scholarship in Medicine is in the best interest of the UW School of Medicine and will honor Mrs. Howell's wish to create "an appropriate and continuing memorial for my deceased husband".

The language in Mrs. Howell's Last Will and Testament provides for the Regents to amend the purpose of this gift. .

In approving this recommendation, the Board of Regents authorizes the creation of the L. Vern Howell Endowed Scholarship in Medicine in the UW School of Medicine.

#### REVIEW AND APPROVALS:

The recommendation has been reviewed and approved by the CEO, UW Medicine, Executive Vice President for Medical Affairs and Dean of the School of Medicine; the Senior Vice President for Finance and Facilities, and the Vice President for Development and Alumni Relations.

#### Attachments

1. Amendment to create the L. Vern Howell Endowed Scholarship in Medicine
2. The Last Will and Testament of Nellie H. Howell (dated October 23, 1980)
3. March 15, 1985 memo from Theodora Haslestad (Controller's Office) to David Dale (School of Medicine)
4. Bequests and Endowment Activity Requiring Regental Approval (dated January 1985)

**Amendment to the  
L. Vern Howell Memorial Loan Fund  
Created by the Last Will and Testament of Nellie H. Howell**

Pursuant to the terms of Nellie H. Howell's will, dated October 23, 1980, which created the L. Vern Howell Memorial Loan Fund, the University of Washington agrees to amend the L. Vern Howell Memorial Loan Fund in the following way.

The L. Vern Howell Memorial Loan Fund will be replaced in its entirety by the L. Vern Howell Endowed Scholarship in Medicine. The endowment document below explains the purpose of this new endowed fund and gives additional background regarding its creation.

**L. Vern Howell Endowed Scholarship in Medicine**

I. Establishment

The University of Washington ("the University") hereby agrees to establish the L. VERN HOWELL ENDOWED SCHOLARSHIP IN MEDICINE per the terms of this Document, to become effective on such date as the minimum required funding has been received and accepted by the University.

II. Purpose

The purpose of this endowment is to help M.D. students with financial need to fund the cost of attending the University of Washington School of Medicine. This endowment may fund required components of the cost of education at the University including all standard and reasonable educational expenses.

In 2008, the Dean of the School of Medicine proposed that a number of loan funds be converted into endowed scholarship funds. This endowment, the L. VERN HOWELL ENDOWED SCHOLARSHIP IN MEDICINE, is one of those converted funds.

Please see Exhibit A for more information about L. Vern Howell and Nellie H. Howell.

### III. Funding

The minimum funding requirement for scholarships established through Students First to qualify for matching is \$100,000.00; initial investment will occur when \$100,000.00 in gifts has been received.

Please see Exhibit B for an itemized breakdown of the endowment's funding.

### IV. Investment

All contributions to this endowment may, for investment purposes, be merged with any of the investment assets of the University of Washington, but these gifts shall be entered in the University's books and records as the L. VERN HOWELL ENDOWED SCHOLARSHIP IN MEDICINE. Distributions shall be made from the endowment in the manner and amount provided for in the policies established for such endowment funds by the Board of Regents and shall be used for the purposes authorized by this Document. As authorized by the Board of Regents, the investment, management, and expenditure practices applied to the principal and earnings of this endowment, and the management and advancement fees assessed against the endowment, shall be done in accordance with University policies and procedures.

### V. Selection

Selection of scholarship recipients shall be made by the Dean of the School of Medicine, or by his or her designee. Recipients shall be M.D. students, and selection shall be based on financial need. Financial need shall be determined by the Office of Student Financial Aid.

### VI. Amount and Terms of Scholarships

The amount and terms of each scholarship shall likewise be determined by the Dean of the School of Medicine, or by his or her designee. Subject to the availability of funds, distributions shall be used to help those students who qualify for financial aid fund the cost of

attending the University of Washington. Such costs may include all or part of tuition and may also provide support for books, fees, and other educational expenses.

The duration of the scholarship shall normally be for one academic year. Failure of the student to remain in good academic standing or to make satisfactory progress toward a degree may result in withdrawal of the scholarship.

Scholarships may be awarded for successive years, provided that recipients re-submit financial aid materials by the priority filing date each year, continue to meet all eligibility criteria for financial aid, and continue to make satisfactory academic progress toward his or her degree.

Distributions from the endowment shall be awarded and expended under the guidelines stated in Section II. Purpose. When accumulated funds are sufficient for more than one scholarship, two or more recipients may be selected. In any year in which there are no qualified applicants, the selection criteria, as stated in Section V. Selection, may be expanded, so long as such criteria shall adhere as closely as possible to the intent in Section II. Purpose.

#### VII. Administration

The Dean of the School of Medicine, or his or her designee, shall be the administrator of this endowment. Expenditures relating to it shall be subject to University of Washington accounting procedures and guidelines.

#### VIII. Amendment

This Document may be amended by the University of Washington. If changed circumstances should at some future time cause the continuation of the School of Medicine to be inappropriate or impractical, or any of the restrictions on the use of or the investment of the endowment fund imposed by this Document to become obsolete, inappropriate or impracticable as determined by the Board of Regents, then the University may redesignate the purpose of the endowment, and the distributions therefrom, or release such restriction, provided that the



endowment shall continue to bear the name of L. Vern Howell, and that the amended use shall adhere as closely as possible to the original intent stated in Section II. Purpose.

Integration Clause

This Document constitutes the entire agreement between the parties, and supersedes all prior oral or written agreements, commitments, or understandings concerning the matters provided for herein.

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FOR THE UNIVERSITY OF WASHINGTON:

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Paul G. Ramsey, M.D.  
CEO, UW Medicine  
Executive Vice President for Medical Affairs and  
Dean of the School of Medicine,  
University of Washington

---

S. Kay Lewis, Director  
Student Financial Aid

---

Connie Kravas, Ph.D., Vice President  
Development and Alumni Relations

---

V'ella Warren, Senior Vice President  
Finance and Facilities

---

Date

**Exhibit A of the  
L. Vern Howell Endowed Scholarship in Medicine**

Nellie H. Howell was born in Seattle in 1894, the first baby to enter the city's birth records. She moved with her family to Fall City, Wash., where she graduated from Fall City High School. Mrs. Howell attended the University of Washington from 1912 to 1914, and, for the next two years, taught school on Whidbey Island.

For a number of years, she and her husband, Vern Howell, owned and operated a grocery store in Issaquah. After her husband's death in 1969, Mrs. Howell traveled extensively in the U.S. and abroad. She died at the Bellevue Terrace Convalescent Center in June 1984. Her will contained provisions to create a medical-school loan fund named after her husband.

In creating this endowed scholarship in 2008, UW Medicine pays tribute to Nellie H. Howell and honors her intention to support medical students at the UW School of Medicine.

**Exhibit B of the  
L. Vern Howell Endowed Scholarship in Medicine**

Endowment Funding

This endowment shall be funded with the following:

1. Funds totaling \$653,000, now in the L. Vern Howell Memorial Loan Fund (HOWELL, 70-3890). These funds will be transferred out of the loan fund into the L. Vern Howell Endowed Scholarship in Medicine.
2. If available, University matching funds totaling \$326,500 that are designated for Students First will be added to the endowment's principal.
3. Any other gifts or bequests that other persons may designate for this purpose.

# Last Will and Testament

*Copy of Will  
Admitted  
to Probate*

OF

NELLIE H. HOWELL

I, NELLIE H. HOWELL, a widow, of Issaquah, King County, Washington, am over the age of eighteen years, and declare that this is my Last Will and Testament, hereby revoking any and all Wills and Codicils heretofore made by me.

## FIRST

I declare that my husband, Lewis V. Howell, died in March, 1967, and that only one child was ever born to me. This child is my son, JULIAN CLYDE WHALEY, of Seattle, Washington.

## SECOND

I make the following bequests of property:

A. To my friend, FOREST GOODROW, of Lopez Island, Washington, any and all guns which I may own at the time of my death;

B. To my friend, ROBERT MENSER, of Tacoma, Washington, the large piece of jade located in the safe in the outside shop building of my home at 745 2nd Avenue Northwest, Issaquah, Washington;

C. To my friends, WILFRED and MAY ERICKSON, of Quincy, Washington (or to whichever one of them is living at the date of my death), my rock duck picture.

D. To my friends, GEORGE S. and EUGENIA V. PLANT, of Issaquah, Washington (or to whichever one of them is living at the date of my death), all of my right, title and interest in and to certain real property located near the corner of Third Northwest and Holly, Issaquah, and more particularly described as Lots 16 and 17, Block 2, Herbert S. Upper's Second Addition to Issaquah; and

E. To my friend, JOHN ROMAN, a single man, of Redmond, Washington, if he is living at the date of my death, all of the gold coins and gold bullion (including Kruggerands) situate in my safe deposit box at the Issaquah Branch of the Seattle-First National Bank. If he is not so living, this bequest shall be paid over instead to his son, ROBERT.

F. To Burke Memorial Museum at the University of Washington my rock collections and all lapidary tools and equipment.

*Ms. Nellie H. Howell*

THIRD

I make the following bequests of cash:

A. To my son, JULIAN CLYDE WHALEY, of Seattle, Washington, if he is living at the date of my death, the sum of Fifteen Thousand Dollars (\$15,000.00). If he is not so living, however, this bequest to him shall lapse and become a part of the residue of my estate, to be paid over and distributed as hereinafter provided; and

B. To MARK, MARION, MARY and CHARLES MORIARTY, of Bainbridge Island, Washington, the children of my friend and attorney, Charles P. Moriarty, Jr., the sum of Fifteen Thousand Dollars (\$15,000.00), in equal shares, share and share alike.

FOURTH

It is my will that any and all estate, inheritance or succession taxes which accrue or become due by virtue of the bequests in Paragraphs SECOND and THIRD of this Will be a charge against and be paid by the residue of my estate rather than by the beneficiaries named in said Paragraphs.

FIFTH

I give the rest, residue and remainder of my estate, of every kind and nature and wherever situate, to the BOARD OF REGENTS of the UNIVERSITY OF WASHINGTON, Seattle, Washington, to establish a loan fund to be used to benefit students at the University of Washington School of Medicine who are in financial need or distress, to be known as the "L. VERN HOWELL MEMORIAL LOAN FUND." I specifically grant to said Board the right to establish all of the conditions upon which such loans may be granted and to change such conditions as circumstances change. It is my wish and hope that this bequest, which I expect will be substantial, will provide a source of financial assistance for medical students at the University for the indefinite future and will be used by the Board in such a manner as to be an appropriate and continuing memorial for my deceased husband.

SIXTH

I nominate and appoint CHARLES P. MORIARTY, JR., as sole Executor of my estate, except that if said Executor is for any reason unable or unwilling so to act, or having acted is thereafter unable or unwilling to continue to act as Executor, then I nominate and appoint R. THOMAS OLSON as alternate Executor, in all cases to act without bond and

*Julia H. Howell*  
\_\_\_\_\_

without the intervention of any court as authorized by the laws of the State of Washington  
in the case of nonintervention wills.

IN WITNESS WHEREOF, I have hereunto set my hand this 23 day of October,  
1980.

*Nellie H. Howell*

NELLIE H. HOWELL

STATE OF WASHINGTON )  
 ) ss.  
COUNTY OF KING )

We, who have as witnesses signed below, being first duly sworn, on oath depose and say:

Each of us is of legal age and competent to be a witness. On the date shown on the preceding page, NELLIE H. HOWELL declared the foregoing instrument to be her Last Will, signed it in our presence and requested that we sign as witnesses to the Will and that we make this affidavit. Each of us then in the presence of the Testatrix and each other, did sign below as witness to the Will and executed this affidavit. NELLIE H. HOWELL appeared to be of sound mind, of legal age, and not under duress.

*Pat Martin*  
*Kay D. Hayashi*

SUBSCRIBED AND SWORN to before me October 23, 1980.

*Shirley Olson*  
NOTARY PUBLIC in and for the State of  
Washington, residing at *Quincy Island*

*NHH*

# INTERDEPARTMENTAL

*Bob St.* *fill*

March 15, 1985

TO: David C. Dale, Dean  
School of Medicine SC-64

FROM: Theodora N. Haslestad *TN Haslestad*  
Controller's Office AG-80

SUBJECT: Estate of Nellie H. Howell

The January 1985 Gift Report included the bequest from the late Nellie H. Howell to establish a loan fund for the benefit of students in the School of Medicine. By its acceptance of the Report on February 8, 1985, the Board of Regents authorized the establishment of the L. Vern Howell Memorial Loan Fund and the use of all the proceeds of Mrs. Howell's bequest for loans to medical students based on financial need.

By copy of this memorandum, the Office of Gift Processing is instructed to establish the L. Vern Howell Memorial Loan Fund and to transfer \$215,014.54 from the Miscellaneous Suspense Account, #39-5008, to the new loan fund. The amount to be transferred includes \$203,468.54 received in cash distributions from the attorney for the estate and proceeds from the sale of assets which were part of the bequest, \$10,782.00 from the redemption of Series E Savings Bonds transferred to the University, and \$764.00 in interest payments on a real estate contract. Subsequent payments of principal and/or interest on real estate contracts assigned to the University will be deposited directly to the Howell Loan Fund.

Enclosed for your records is a copy of the Gift Report item. If you have any questions, please call at 3-4990.

TNH/1h  
Enclosure

cc: Ms. Judy Fingar  
Mr. Eric Godfrey  
Ms. Mimi Grashin  
Mr. Dennis Higgins  
Mr. Frank Minton

RECEIVED

MAR 25 1985

OFFICE OF  
H. S. DEVELOPMENT

RECEIVED

MAR 18 1985

DEAN OF MEDICINE



# IV. BEQUESTS AND ENDOWMENT ACTIVITY REQUIRING REGENTAL APPROVAL

## SECTION A. GIFTS BY BEQUEST - AVAILABLE FOR IMMEDIATE USE

DONOR ESTATE	CURRENT DISTRIBUTION	PREVIOUSLY REPORTED
NELLYE H. HOWELL	Value of Real Estate Contract 21,300.00	231,556.50

### L. VERN HOWELL MEMORIAL LOAN FUND

*Administrator:* Dean, School of Medicine

*Conditions:* For loans to medical students based on financial need.

*Background:*

In her will, the late Nellie H. Howell named the Board of Regents of the University of Washington sole beneficiary of her residuary estate "to establish a loan fund to be used to benefit students at the University of Washington School of Medicine who are in financial need or distress, to be known as the 'L. VERN HOWELL MEMORIAL LOAN FUND'," and further specified that "I specifically grant to said Board the right to establish all of the conditions upon which such loans may be granted and to change such conditions as circumstances change. It is my wish and hope that this bequest, which I expect will be substantial, will provide a source of financial assistance for medical students at the University for the indefinite future and will be used by the Board in such a manner as to be an appropriate and continuing memorial for my deceased husband." Mrs. Howell also included a specific bequest to the Burke Museum; this item appears elsewhere in the Gift Report.

To date, the University has received \$203,468.54 in cash distributions and proceeds from the sale of assets included in the bequest for the School of Medicine. In addition, the University acquired savings bonds, worth \$10,393.20 when transferred, and the seller's interest in four real estate contracts having a total value of \$38,994.76. Therefore, at this time, the value of the bequest is \$252,856.50.

In accordance with the policy relating to bequests of \$10,000.00 or more for a particular unit of the University and permitting some discretion regarding the retention of the proceeds in an endowed fund, the School of Medicine submitted a proposal to the Provost for use of the Howell bequest. The Provost approved the request that all the proceeds of the bequest be made available for loans to medical students. The need for loan funds is acute, and the availability of the additional funds will have a significant effect on the School's ability to provide financial assistance for its students. Repayments of loans will provide funds for loans to future classes, thereby perpetuating the L. Vern Howell Memorial Loan Fund.

JAN85

-31-

Minutes  
Board of Regents  
Meeting of:  
FEB 08 1985

IV. BEQUESTS AND ENDOWMENT ACTIVITY REQUIRING REGENTAL APPROVAL

SECTION A. GIFTS BY BEQUEST - AVAILABLE FOR IMMEDIATE USE

DONOR ESTATE	CURRENT DISTRIBUTION	PREVIOUSLY REPORTED
NELLIE H. HOWELL		

L. VERN HOWELL MEMORIAL LOAN FUND (continued - 2)

Nellie H. Howell was born in Seattle in 1894. Her birth marked the first entry in the city's birth records. She moved with her family to Fall City, where she graduated from Fall City High School, and later to Issaquah. She attended the University of Washington from 1912 to 1914, and for the next two years taught school on Whidbey Island. For a number of years, she and her husband, Vern Howell, owned and operated a grocery store in Issaquah. Following her husband's death in 1969, Mrs. Howell traveled extensively within the United States and in all parts of the world. She passed away at the Bellevue Terrace Convalescent Center in June 1984.



## VII. STANDING COMMITTEES

## B. Finance, Audit and Facilities Committee

UW School of Medicine – Amending Purpose for the Stanley Joseph Jozwik Medical Student Loan FundRECOMMENDED ACTION:

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the Stanley Joseph Jozwik Medical Student Loan Fund be changed to create the Stanley Joseph Jozwik Endowed Scholarship in Medicine.

BACKGROUND:

Doris Williams Jozwik was born in Butte, Montana, but before she attained school age her family moved to Seattle. She graduated from Franklin High School and took courses at Seattle Community College. Because her husband, Stanley, received excellent care during a long battle with cancer, Mrs. Jozwik wished to encourage people to pursue careers in medicine. Mrs. Jozwik also succumbed to cancer in April 1990.

In the seventh article of her Last Will and Testament, Doris Williams Jozwik made the following provision for the University of Washington: “I give, devise, and bequeath the remainder of my estate to the Board of Regents of the University of Washington, as Trustee, for use of the principal and income thereof as the Stanley Joseph Jozwik Medical Student Loan Fund. The size of the student loans, the interest rate, and the period for repayment shall be determined by the Board of Regents, and the fund will be administered by the University staff in accordance with the provisions determined by the Board of Regents. In the event the intended use of these funds should become no longer feasible, then such funds may be used for such other purposes as the Board of Regents determines shall best promote the advancement of medical learning and the advancement of medical education at the University of Washington.”

This bequest was presented to the Board of Regents as part of the November 1990 Gift Report and the Stanley Joseph Jozwik Medical Student Loan Fund was established. This fund has a current balance of approximately \$335,000.

In the past, loan funds for medical students were a top priority and bequests and other gifts were directed to this purpose. In recent years, medical students' loan needs have been largely met through federal programs including the Stafford loans which offer more advantageous terms. Based on the increase of and primary use of federal loan programs, we have provided significantly fewer loans

## VII. STANDING COMMITTEES

### B. Finance, Audit and Facilities Committee

#### UW School of Medicine – Amending Purpose for the Stanley Joseph Jozwik Medical Student Loan Fund (continued p. 2)

through institutional resources. This has resulted in the availability of unexpended balances in loan funds that would be better utilized as scholarships.

With the expanding class size, increases in tuition, and the median debt load now more than \$100,000, increasing scholarship support for medical students is among the School's top priorities and most pressing needs. To amend the purpose of the Stanley Joseph Jozwik Medical Student Loan Fund to create the Stanley Joseph Jozwik Endowed Scholarship in Medicine is in the best interest of the UW School of Medicine and will honor Mrs. Jozwik's desire to create a lasting tribute to her husband and support medical students and the advancement of both medical learning and medical education at the University of Washington.

The language in Mrs. Jozwik's Last Will and Testament provides for the Regents to amend the purpose of this gift. .

In approving this recommendation, the Board of Regents authorizes the creation of the Stanley Joseph Jozwik Endowed Scholarship in Medicine in the UW School of Medicine.

#### REVIEW AND APPROVALS:

The recommendation has been reviewed and approved by the CEO, UW Medicine, Executive Vice President for Medical Affairs and Dean of the School of Medicine; the Senior Vice President for Finance and Facilities, and the Vice President for Development and Alumni Relations.

#### Attachments

1. Amendment to create the Stanley Joseph Jozwik Endowed Scholarship in Medicine
2. Page two of The Last Will and Testament of Doris Williams Jozwik
3. Letter from the Law Offices of C. Henry Heckendorn (dated September 25, 1990)
4. Bequests and Endowment Activity Requiring Regental Approval (dated November 1990)

**Amendment to the  
Stanley Joseph Jozwik Medical Student Loan Fund  
Created by the Last Will and Testament of Doris Williams Jozwik**

Pursuant to the terms of Doris Williams Jozwik's will, which created the Stanley Joseph Jozwik Medical Student Loan Fund, the University of Washington agrees to amend the Stanley Joseph Jozwik Medical Student Loan Fund in the following way.

The Stanley Joseph Jozwik Medical Student Loan Fund will be replaced in its entirety by the Stanley Joseph Jozwik Endowed Scholarship in Medicine. The endowment document below explains the purpose of this new endowed fund and gives additional background regarding its creation.

**Stanley Joseph Jozwik Endowed Scholarship in Medicine**

I. Establishment

The University of Washington ("the University") hereby agrees to establish the STANLEY JOSEPH JOZWIK ENDOWED SCHOLARSHIP IN MEDICINE per the terms of this Document, to become effective on such date as the minimum required funding has been received and accepted by the University.

II. Purpose

The purpose of this endowment is to help M.D. students with financial need to fund the cost of attending the University of Washington School of Medicine. This endowment may fund required components of the cost of education at the University including all standard and reasonable educational expenses.

In 2008, the Dean of the School of Medicine proposed that a number of loan funds be converted into endowed scholarship funds. This endowment, the STANLEY JOSEPH JOZWIK ENDOWED SCHOLARSHIP IN MEDICINE, is one of those converted funds.

Please see Exhibit A for more information about Stanley J. Jozwik and Doris W. Jozwik.

### III. Funding

The minimum funding requirement for scholarships established through Students First to qualify for matching is \$100,000.00; initial investment will occur when \$100,000.00 in gifts has been received.

Please see Exhibit B for an itemized breakdown of the endowment's funding.

### IV. Investment

All contributions to this endowment may, for investment purposes, be merged with any of the investment assets of the University of Washington, but these gifts shall be entered in the University's books and records as the STANLEY JOSEPH JOZWIK ENDOWED SCHOLARSHIP IN MEDICINE. Distributions shall be made from the endowment in the manner and amount provided for in the policies established for such endowment funds by the Board of Regents and shall be used for the purposes authorized by this Document. As authorized by the Board of Regents, the investment, management, and expenditure practices applied to the principal and earnings of this endowment, and the management and advancement fees assessed against the endowment, shall be done in accordance with University policies and procedures.

### V. Selection

Selection of scholarship recipients shall be made by the Dean of the School of Medicine, or by his or her designee. Recipients shall be M.D. students, and selection shall be based on financial need. Financial need shall be determined by the Office of Student Financial Aid.

## VI. Amount and Terms of Scholarships

The amount and terms of each scholarship shall likewise be determined by the Dean of the School of Medicine, or by his or her designee. Subject to the availability of funds, distributions shall be used to help those students who qualify for financial aid fund the cost of attending the University of Washington. Such costs may include all or part of tuition and may also provide support for books, fees, and other educational expenses.

The duration of the scholarship shall normally be for one academic year. Failure of the student to remain in good academic standing or to make satisfactory progress toward a degree may result in withdrawal of the scholarship.

Scholarships may be awarded for successive years, provided that recipients re-submit financial aid materials by the priority filing date each year, continue to meet all eligibility criteria for financial aid, and continue to make satisfactory academic progress toward his or her degree.

Distributions from the endowment shall be awarded and expended under the guidelines stated in Section II. Purpose. When accumulated funds are sufficient for more than one scholarship, two or more recipients may be selected. In any year in which there are no qualified applicants, the selection criteria, as stated in Section V. Selection, may be expanded, so long as such criteria shall adhere as closely as possible to the intent in Section II. Purpose.

## VII. Administration

The Dean of the School of Medicine, or his or her designee, shall be the administrator of this endowment. Expenditures relating to it shall be subject to University of Washington accounting procedures and guidelines.

## VIII. Amendment

This Document may be amended by the University of Washington. If changed circumstances should at some future time cause the continuation of the School of Medicine to be



inappropriate or impractical, or any of the restrictions on the use of or the investment of the endowment fund imposed by this Document to become obsolete, inappropriate or impracticable as determined by the Board of Regents, then the University may redesignate the purpose of the endowment, and the distributions therefrom, or release such restriction, provided that the endowment shall continue to bear the name of Stanley Joseph Jozwik, and that the amended use shall adhere as closely as possible to the original intent stated in Section II. Purpose.

Integration Clause

This Document constitutes the entire agreement between the parties, and supersedes all prior oral or written agreements, commitments, or understandings concerning the matters provided for herein.

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FOR THE UNIVERSITY OF WASHINGTON:

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Paul G. Ramsey, M.D.  
CEO, UW Medicine  
Executive Vice President for Medical Affairs and  
Dean of the School of Medicine,  
University of Washington

---

S. Kay Lewis, Director  
Student Financial Aid

---

Connie Kravas, Ph.D., Vice President  
Development and Alumni Relations

---

V'ella Warren, Senior Vice President  
Finance and Facilities

---

Date

**Exhibit A of the  
Stanley Joseph Jozwik Endowed Scholarship in Medicine**

Doris Williams Jozwik was born in Butte, Montana, and her family moved to Seattle when she was still quite young. She graduated from Franklin High School and later took courses at Seattle Community College.

Mrs. Jozwik's husband, Stanley Joseph Jozwik, contracted cancer. Because he had received excellent care during his protracted illness, Mrs. Jozwik wished to encourage people to pursue careers in medicine. Accordingly, she made a provision in her will to establish a loan fund for medical students. Mrs. Jozwik also succumbed to cancer and died in April 1990.

In creating this endowed scholarship in 2008, UW Medicine pays tribute to the Jozwiks and honors Mrs. Jozwik's intention to support medical students at the UW School of Medicine.

**Exhibit B of the  
Stanley Joseph Jozwik Endowed Scholarship in Medicine**

Endowment Funding

This endowment shall be funded with the following:

1. Funds totaling \$335,000, now in the Stanley Joseph Jozwik Medical Student Loan Fund (JOZWIK, 70-4450). These funds will be transferred out of the loan fund into the Stanley Joseph Jozwik Endowed Scholarship in Medicine.
2. If available, University matching funds totaling \$167,500 that are designated for Students First will be added to the endowment's principal.
3. Any other gifts or bequests that other persons may designate for this purpose.



Last Will and Testament - Doris Jozwik

1  
2  
3 D. To my grand-nieces, MELISSA SHIMMIN and NADINE  
4 SHIMMIN, Two Thousand Five Hundred Dollars each.

5 SIXTH: In the hope that I may be of help to others, I  
6 hereby bequeath my eyes to the LIONS EYE BANK, University of  
7 Washington Hospital, and any other usable organs to the  
8 UNIVERSITY OF WASHINGTON HOSPITAL or MEDICAL SCHOOL.

9 SEVENTH: I give, devise, and bequeath the remainder of  
10 my estate to THE BOARD OF REGENTS of the UNIVERSITY OF  
11 WASHINGTON, as Trustee, for use of the principal and income  
12 thereof as the STANLEY JOSEPH JOZWIK Medical Student Loan  
13 Fund. The size of the student loans, the interest rate, and  
14 the period for repayment shall be determined by the Board of  
15 Regents, and the fund will be administered by the University  
16 staff in accordance with the provisions determined by the  
17 Board of Regents.

18 In the event the intended use of these funds should  
19 become no longer feasible, then such funds may be used for  
20 such other purposes as the Board of Regents determines shall  
21 best promote the advancement of medical learning and the  
22 advancement of medical education at the University of  
23 washington.

24 EIGHTH: I hereby nominate and appoint my friend and  
25 attorney, C. HENRY HECKENDORN, the Executor of this Will  
26 and, in the event of his inability or refusal to act or to  
27 continue to act as Executor, then I nominate and appoint  
28 BEATRICE W. HECKENDORN to serve as Executor in his place and  
stead.

My Executor shall serve without bond and without the  
intervention of any Court to the fullest extent provided by  
the laws of the state under which this Will is administered.  
Furthermore, my Executor shall have full power, authority,  
and discretion to do all that my Executor thinks necessary  
or desirable in administering my estate, including the  
authority to:

A. Make interim distributions of principal and income  
to those who are to receive the principal and the income;

B. Sell, lease, exchange, mortgage, pledge, or assign  
all or any part of the property of my estate for any purpose  
which my Executor thinks is in the best interests of my  
estate, whether or not it is necessary in order to pay  
debts, taxes, or expenses of administration;

C. Invest and reinvest property which is not speci-  
fically given, in any form of investment which my Executor

LAW OFFICES OF  
C. HENRY HECKENDORN  
1700 SEATTLE TOWER  
SEATTLE, WASHINGTON 98101  
(206) 623-2220

SEP 26 1990

September 25, 1990

Mr. Frank Minton  
Director of Planned Giving  
Office of Development  
University of Washington  
Seattle, Washington 98195

Re: Estate of Doris Williams Jozwik, deceased  
Stanley Joseph Jozwik Medical Student Loan Fund

Dear Mr. Minton:

As you are aware this office is handling probate of the above referenced estate, the remainder of which was bequeathed to the Board of Regents of the University of Washington for use of the principal and income thereof as the Stanley Joseph Jozwik Medical Student Loan Fund.

An accounting for the estate was approved on September 13th by your Associate Director of Planning Giving. The accounting indicated a distribution to the University of Washington of the estate's remaining cash in the amount of \$150,045.02 and assignment of a Real Estate Contract with an unpaid principal balance of \$58,822.30. Accordingly, enclosed are the following:

1. Estate check payable to the University of Washington in the amount of \$150,045.02;
2. Copy of Seller's Assignment of Contract and Deed (original sent to King County Department of Records for recording);
3. Copy of Real Estate Contract outlining payment terms and other provisions;
4. Payment Record for 1990 indicating portion of monthly payments applied to interest and principal. Please send a copy of this item at the end of the year to the purchaser, Sharon L. Morris, for her tax and other records.

Mr. Frank Minton  
September 25, 1990  
Page two

5. Statutory Warranty Fulfillment Deed to be signed and recorded when the Real Estate Contract has been paid in full; and

6. Receipt and Waiver for full distribution. Please sign the original and return to this office. The copy is for your records. The Waiver will expedite closing of the estate by at least thirty days.

Per your instructions, we have notified the purchaser, Sharon L. Morris, to send the October payment to the University's Associate Controller, Frank Montgomery. It is our understanding he will then make arrangements to establish an account for this fund. For your information, Ms. Morris' address is 8016 S.W. 222d Place, Vashon, Washington 98070 (telephone 463-2574). She works at the University of Washington as a Director in the School of Public Health and Community Medicine (telephone 543-1069).

The applicable provisions of Mrs. Jozwik's Will (a copy of which was sent to the Board of Regents with our letter of April 13th) state as follows:

I give, devise, and bequeath the remainder of my estate to the Board of Regents of the University of Washington as Trustee for use of the principal and income thereof as the Stanley Joseph Jozwik Medical Student Loan Fund. The size of the student loans, the interest rate, and the period for repayment shall be determined by the Board of Regents, and the fund will be administered by the University staff in accordance with the provisions determined by the Board of Regents.

In the event the intended use of these funds should become no longer feasible, then such funds may be used for such other purposes as the Board of Regents determines shall best promote the advancement of medical learning and the advancement of medical education at the University of Washington.




Mr. Frank Minton  
September 25, 1990  
Page three

It is our understanding that you will provide this office with an annual stewardship report regarding how the funds are being used and the balance of funds remaining each year. We will forward the information to Mrs. Jozwik's relatives.

If you have any questions, please contact us.

Very truly yours,

Law Offices of C. Henry Heckendorn

By:   
Diana S. Dunn

DSD:rb  
Enclosures

IV. REQUESTS AND ENDOWMENT ACTIVITY REQUIRING R

SECTION A. GIFTS BY BEQUEST - AVAILABLE FOR :

Donor Estate

Doris Williams Jozwik

Henry Heckendorn  
1700 Seattle Tower  
3rd Ave University St  
Law Offices of C. Henry Heckendorn  
98101

Yes  
1/2/91  
S

STANLEY JOSEPH JOZWIK MEDICAL STUDENT LOAN FUND

Administrator: Dean, School of Medicine

Conditions: To be used for loans to medical students in amounts and at interest rates and repayment terms as are consistent with other School of Medicine loan funds.

In the event student loans are no longer feasible, the funds may be used for such purposes as shall best promote the advancement of medical learning and of medical education at the University.

Background:

not CEF?

The will of the late Doris Williams Jozwik included the bequest of the remainder of her estate "to THE BOARD OF REGENTS of the UNIVERSITY OF WASHINGTON, as Trustee, for use of the principal and income thereof as the STANLEY JOSEPH JOZWIK Medical Student Loan Fund. The size of the student loans, the interest rate, and the period for repayment shall be determined by the Board of Regents, and the fund will be administered by the University staff in accordance with the provisions determined by the Board of Regents."

In final distribution of the bequest, the University received cash in the amount of \$152,406.66 and a real estate contract with an outstanding principal balance of \$58,822.30. The purchaser of the property is presently making monthly payments of \$750.00, including principal and interest at ten percent per annum, which will be added to the Jozwik Loan Fund.

Doris Williams Jozwik was born in Butte, Montana, but before she attained school age her family moved to Seattle. She graduated from Franklin High School and later took courses at Seattle Community College.

Henry Heckendorn  
Law Offices of C. Henry Heckendorn  
1700 Seattle Tower  
3rd Avenue + University St.  
Seattle, WA 98101

STANLEY JOSEPH JOZWIK MEDICAL STUDENT LOAN FUND

Because her husband, Stanley, had received excellent care during a long battle with cancer, Mrs. Jozwik wished to encourage people to pursue careers in medicine. Accordingly, she provided in her will for the bequest to the University to be used for a loan fund for the benefit of medical students and for alternative use should a loan program no longer be practicable, as indicated above.

Mrs. Jozwik also succumbed to cancer, in April 1990.

## VII. STANDING COMMITTEES

## B. Finance, Audit and Facilities Committee

UW School of Medicine – Amending Purpose for the Zaidee A. Schober Memorial Student Loan FundRECOMMENDED ACTION:

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the Zaidee A. Schober Memorial Student Loan Fund be changed to create the Zaidee A. Schober Endowed Scholarship in Medicine.

BACKGROUND:

Zaidee A. Schober, a native of Seattle, was born in 1910. She lived most of her life in West Seattle with her husband, Albert Schober, who operated an auto freight line. The Schobers had no children. Mrs. Schober's interest in the medical school derived from her association with her nephew, Dr. Albert D. Bloomstrom, a 1955 graduate of the UW School of Medicine.

In the third article of her Last Will and Testament, Zaidee A. Schober made the following provision for the University of Washington: "In the event my said husband should predecease me or he and I should meet death as the result of a common accident or occurrence, I then give, devise and bequeath to the Board of Regents of the University of Washington, all of my property and estate after the payment of my just debts and obligations and expenses of administration, for the uses and purposes hereinafter stated: This bequest shall be known as the Zaidee A. Schober Memorial Student Loan Fund. The size of loans, the interest rate, and the period for repayment shall be determined by the Board of Regents, but the fund shall be administered by the University staff in accordance with the provisions determined by the Board of Regents. I further direct and declare, that it is my intention that the Board of Regents of the University of Washington shall, at all times, have a large and wide discretion in the use of such fund for such purposes as in their opinion the same can be so used as to be most beneficial to said University of Washington; but as an expression of my wishes and desires, not, however, to be held as controlling upon said Board of Regents or as limiting their full discretionary powers in reference to such fund, I suggest: the establishment of a perpetual loan fund for worthy needy students of superior ability in the School of Medicine."

At its July 11, 1980 meeting, the Board of Regents accepted this bequest and authorized the establishment of the Zaidee A. Schober Memorial Student Loan Fund. This fund has a current balance of approximately \$677,000.

## VII. STANDING COMMITTEES

### B. Finance, Audit and Facilities Committee

#### UW School of Medicine – Amending Purpose for the Zaidee A. Schober Memorial Student Loan Fund (continued p. 2)

In the past, loan funds for medical students were a top priority and bequests and other gifts were directed to this purpose. In recent years, medical students' loan needs have been largely met through federal programs including the Stafford loans which offer more advantageous terms. Based on the increase of and primary use of federal loan programs, we have provided significantly fewer loans through institutional resources. This has resulted in the availability of unexpended balances in loan funds that would be better utilized as scholarships.

With the expanding class size, increases in tuition, and the median debt load now more than \$100,000, increasing scholarship support for medical students is among

the School's top priorities and most pressing needs. To amend the purpose of the Zaidee A. Schober Memorial Student Loan Fund to create the Zaidee A. Schober Endowed Scholarship in Medicine is in the best interest of the UW School of Medicine and will honor Mrs. Schober's wish to support worthy needy students of superior ability in the School of Medicine in perpetuity.

The language in Mrs. Schober's Last Will and Testament provides for the Regents to amend the purpose of this gift.

In approving this recommendation, the Board of Regents authorizes the creation of the Zaidee A. Schober Endowed Scholarship in Medicine in the UW School of Medicine.

#### REVIEW AND APPROVALS:

The recommendation has been reviewed and approved by the CEO, UW Medicine, Executive Vice President for Medical Affairs and Dean of the School of Medicine; the Senior Vice President for Finance and Facilities, and the Vice President for Development and Alumni Relations.

#### Attachments

1. Amendment to create the Zaidee A. Schober Endowed Scholarship in Medicine
2. The Last Will and Testament of Zaidee A. Schober
3. Board of Regents Action Item (dated July 11, 1980)
4. July 22, 1980 Memo from Carmen Hager (Comptroller's Office) to Robert Gust (School of Medicine)

**Amendment to the  
Zaidee A. Schober Memorial Student Loan Fund  
Created by the Last Will and Testament of Zaidee A. Schober**

Pursuant to the terms of Zaidee A. Schober's will, dated March 28, 1960, which created the Zaidee A. Schober Memorial Student Loan Fund, the University of Washington agrees to amend the Zaidee A. Schober Memorial Student Loan Fund in the following way.

The Zaidee A. Schober Memorial Student Loan Fund will be replaced in its entirety by the Zaidee A. Schober Endowed Scholarship in Medicine. The endowment document below explains the purpose of this new endowed fund and gives additional background regarding its creation.

**Zaidee A. Schober Endowed Scholarship in Medicine**

I. Establishment

The University of Washington ("the University") hereby agrees to establish the ZAIDEE A. SCHOBBER ENDOWED SCHOLARSHIP IN MEDICINE per the terms of this Document, to become effective on such date as the minimum required funding has been received and accepted by the University.

II. Purpose

The purpose of this endowment is to help M.D. students with financial need to fund the cost of attending the University of Washington School of Medicine. This endowment may fund required components of the cost of education at the University including all standard and reasonable educational expenses.

In 2008, the Dean of the School of Medicine proposed that a number of loan funds be converted into endowed scholarship funds. This endowment, the ZAIDEE A. SCHOBBER ENDOWED SCHOLARSHIP IN MEDICINE, is one of those converted funds.

Please see Exhibit A for more information about Zaidee A. Schober.

### III. Funding

The minimum funding requirement for scholarships established through Students First to qualify for matching is \$100,000.00; initial investment will occur when \$100,000.00 in gifts has been received.

Please see Exhibit B for an itemized breakdown of the endowment's funding.

### IV. Investment

All contributions to this endowment may, for investment purposes, be merged with any of the investment assets of the University of Washington, but these gifts shall be entered in the University's books and records as the ZAIDEE A. SCHOBBER ENDOWED SCHOLARSHIP IN MEDICINE. Distributions shall be made from the endowment in the manner and amount provided for in the policies established for such endowment funds by the Board of Regents and shall be used for the purposes authorized by this Document. As authorized by the Board of Regents, the investment, management, and expenditure practices applied to the principal and earnings of this endowment, and the management and advancement fees assessed against the endowment, shall be done in accordance with University policies and procedures.

### V. Selection

Selection of scholarship recipients shall be made by the Dean of the School of Medicine, or by his or her designee. Recipients shall be M.D. students, and selection shall be based on financial need. Financial need shall be determined by the Office of Student Financial Aid.

## VI. Amount and Terms of Scholarships

The amount and terms of each scholarship shall likewise be determined by the Dean of the School of Medicine, or by his or her designee. Subject to the availability of funds, distributions shall be used to help those students who qualify for financial aid fund the cost of attending the University of Washington. Such costs may include all or part of tuition and may also provide support for books, fees, and other educational expenses.

The duration of the scholarship shall normally be for one academic year. Failure of the student to remain in good academic standing or to make satisfactory progress toward a degree may result in withdrawal of the scholarship.

Scholarships may be awarded for successive years, provided that recipients re-submit financial aid materials by the priority filing date each year, continue to meet all eligibility criteria for financial aid, and continue to make satisfactory academic progress toward his or her degree.

Distributions from the endowment shall be awarded and expended under the guidelines stated in Section II. Purpose. When accumulated funds are sufficient for more than one scholarship, two or more recipients may be selected. In any year in which there are no qualified applicants, the selection criteria, as stated in Section V. Selection, may be expanded, so long as such criteria shall adhere as closely as possible to the intent in Section II. Purpose.

## VII. Administration

The Dean of the School of Medicine, or his or her designee, shall be the administrator of this endowment. Expenditures relating to it shall be subject to University of Washington accounting procedures and guidelines.

## VIII. Amendment

This Document may be amended by the University of Washington. If changed circumstances should at some future time cause the continuation of the School of Medicine to be



inappropriate or impractical, or any of the restrictions on the use of or the investment of the endowment fund imposed by this Document to become obsolete, inappropriate or impracticable as determined by the Board of Regents, then the University may redesignate the purpose of the endowment, and the distributions therefrom, or release such restriction, provided that the endowment shall continue to bear the name of Zaidee A. Schober, and that the amended use shall adhere as closely as possible to the original intent stated in Section II. Purpose.

Integration Clause

This Document constitutes the entire agreement between the parties, and supersedes all prior oral or written agreements, commitments, or understandings concerning the matters provided for herein.

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FOR THE UNIVERSITY OF WASHINGTON:

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Paul G. Ramsey, M.D.  
CEO, UW Medicine  
Executive Vice President for Medical Affairs and  
Dean of the School of Medicine,  
University of Washington

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S. Kay Lewis, Director  
Student Financial Aid

---

Connie Kravas, Ph.D., Vice President  
Development and Alumni Relations

---

V'ella Warren, Senior Vice President  
Finance and Facilities

---

Date

F-13/206-08  
6/12/08

**Exhibit A of the  
Zaidee A. Schober Endowed Scholarship in Medicine**

Zaidee A. Schober was born in 1910 in Seattle. She lived in West Seattle with her husband, Albert Schober, who operated an auto freight line. Mrs. Schober's nephew, Dr. Albert Duane Bloomstrom, M.D., graduated from the UW School of Medicine in 1955. Through Dr. Bloomstrom, Mrs. Schober became interested in the medical school. She subsequently made provisions in her will to create a student loan fund — one for worthy students with financial need.

In creating this endowed scholarship in 2008, UW Medicine pays tribute to Mrs. Schober and honors her intention to support medical students at the UW School of Medicine.

**Exhibit B of the  
Zaidee A. Schober Endowed Scholarship in Medicine**

Endowment Funding

This endowment shall be funded with the following:

1. Funds totaling \$677,000, now in the Zaidee A. Schober Memorial Student Loan Fund (SCHOBEL, 70-7300). These funds will be transferred out of the loan fund into the Zaidee A. Schober Endowed Scholarship in Medicine.
2. If available, University matching funds totaling \$338,500 that are designated for Students First will be added to the endowment's principal.
3. Any other gifts or bequests that other persons may designate for this purpose.

*New file*

RECEIVED  
BOARD OF REGENTS  
University of Washington  
5/24/79 by

IN THE SUPERIOR COURT FOR THE STATE OF WASHINGTON  
FOR KING COUNTY

ATTORNEY GENERAL'S  
DIVISION U OF W

JUN 7 1979

In the Matter of the Estate )  
of )  
Zaidee A. Schober, )  
Deceased )

No. E 238588

NOTICE OF APPOINTMENT AND  
PENDENCY OF PROBATE

Notice is Hereby Given that on the 23rd day of May, 1979, the  
Seattle-First National Bank was appointed as Executor of the estate of  
Zaidee A. Schober, Deceased, and that probate proceedings are pending in  
said Estate.

A copy of the Last Will and Testament is enclosed herewith.

Dated this 23rd day of May, 1979.

Seattle-First National Bank,  
By *Paul Marshall*  
Trust Officer

*C. W. Minaker*  
C. W. Minaker  
Attorney for Estate  
5904 California Ave. S. W.  
Seattle, Washington 98136  
Telephone: 937 - 0077

(Note to Board of Regents:

The Husband mentioned in attached Will has been dead  
for many years.) I assume the Estate will net around \$80,000.00.

*C. W. Minaker*  
C. W. Minaker  
Attorney for Estate

LAST WILL AND TESTAMENT

OF

ZALDEE A. SCHOBER

KNOW ALL MEN BY THESE PRESENTS: That I, ZALDEE A. SCHOBER, of the City of Seattle, State of Washington, being of sound and disposing mind and memory, over the age of 21 years and not acting under any force, fraud, duress or undue influence, do hereby make, publish and declare this to be my Last Will and Testament, hereby revoking any and all wills and codicils that I may heretofore have made.

FIRST: I direct that all my just debts and obligations of last illness and funeral be paid as soon as conveniently possible.

SECOND: I give, devise and bequeath to my beloved husband, Joseph Schober, who sometimes uses the name of Joseph Schober, Jr., all of my property and estate of whatsoever nature and wheresoever situated, real, personal and mixed, of which I may die seized or possessed, or to which I may be entitled at the time of my death, and I nominate and appoint my said husband as executor of this, my Last Will and Testament, and direct that no bond be required of him for the faithful performance of his duties as such, and that he serve without the intervention of any court or courts, except insofar as may be required by law.

THIRD: In the event my said husband should predecease me or he and I should meet death as the result of a common accident or occurrence, I then give, devise and bequeath to the Board of Regents of the University of Washington, all of my property and estate after the payment of my just debts and obligations and expenses of administration, for the uses and purposes hereinafter stated: This bequest shall be known as the Zaldee A. Schober Memorial Student Loan Fund. The size of loans, the interest rate, and the period for repayment shall be determined by the Board of Regents, but the fund shall be administered by the University staff in accordance with the provisions determined by the Board of Regents. I further direct and declare, that it is my

intention that the Board of Regents of the University of Washington shall, at all times, have a large and wide discretion in the use of such fund for such purposes as in their opinion the same can be so used as to be most beneficial to said University of Washington; but as an expression of my wishes and desires, not, however, to be held as controlling upon said Board of Regents or as limiting their full discretionary powers in reference to such fund, I suggest: the establishment of a perpetual loan fund for worthy needy students of superior ability in the School of Medicine.

FOURTH: In the event my said husband is for any reason unable to act as executor of this, my Last Will and Testament, I then nominate and appoint the Seattle-First National Bank as executor in his place and stead and as his successor and direct that no bond be required of it for the faithful performance of its duties as such. In the event of merger or change in name of such bank, such bank or its successor by whatever name it shall be known shall serve in all capacities and have all authorities and powers herein set forth for the Seattle-First National Bank.

IN WITNESS WHEREOF, I have hereunto set my hand and seal at Seattle, Washington, this 28<sup>th</sup> day of March, 1960, in the presence of the undersigned persons whom I have requested to act as attesting witnesses hereto.

Zaidee A. Schober (SEAL)

The foregoing instrument, consisting of two pages including this one, was this 28<sup>th</sup> day of March, 1960, by ZAIDEE A. SCHOBBER signed, sealed, published and declared as her Last Will and Testament in the presence of us, who at her request, in her presence and in the presence of each other, have hereunto set our hands and seals in witness whereof.

Margaret D. Hass (SEAL)

Residing at 5000 N. Stevens  
Seattle, Washington

Robert D. Clark (SEAL)

Residing at 4310 W. Oregon  
Seattle, Washington

DR. ROBERT VAN CITTERS

JUN 25 1980

WCA-80-58  
June 23, 1980

CABINET MEMORANDUM

AGENDA, BOARD OF REGENTS MEETING, JULY 11, 1980 (Action)

Finance and Facilities Committee

Zaidee A. Schober Memorial Student Loan Fund

Item attached.

W. C. Adkisson  
Assistant Vice President  
for Finance & Comptroller

Distribution:

Standard

cc; Mr. Robert Gust  
Dr. Robert Van Citters

→ TJP → Bob →

RECEIVED  
JUN 30 1980  
OFFICE OF  
T. J. PHILLIPS, M.D.



Zaidee A. Schober Memorial Student Loan Fund

RECOMMENDED ACTION:

It is the recommendation of the administration that the Board of Regents:

- 1) accept the bequest of \$220,000 and all future distributions from the estate of Zaidee A. Schober,
- 2) authorize the establishment of the Zaidee A. Schober Memorial Student Loan Fund to be comprised of all distributions from the estate of Zaidee A. Schober and administered by the Dean of the School of Medicine in accordance with the terms of the donor's will, and
- 3) direct that terms for the loans be established by the Dean of the School of Medicine in consultation with the Scholarship and Loan Fund Office, and be consistent with the general policy of the University for loans of a similar nature.

JUSTIFICATION FOR RECOMMENDED ACTION:

In her will Zaidee Schober bequeathed the residue of her estate to the Board of Regents of the University of Washington for the establishment of the Zaidee A. Schober Memorial Student Loan Fund "...a perpetual loan fund for worthy needy students of superior ability in the School of Medicine."

Mrs. Schober specified that the terms of the loan (the amount of the loan, interest rate, and repayment period) should be determined by the Board of Regents. To avoid the necessity of returning to the Board each time a specific change in one of these terms is necessary, it is felt that the terms of loans of a similar nature available within the University Medical School should be used as guidelines for the establishment of appropriate terms by the Dean of the School of Medicine and the Director of the Office of Scholarship and Loan.

The Dean of the School of Medicine will administer the fund in accordance with the "Policy on the Acceptance of Gifts and Bequests to be used as Student Financial Aid," adopted by the Board in December 1976, and in accordance with University guidelines, for the administration of gifts and bequests. Terms of loans issued in any one

Zaidee A. Schober Memorial Student Loan Fund (continued - 2).

academic year must be applied consistently for all recipients of the funds in that given year.

Zaidee A. Schober, a native of Seattle, was born in 1910. Mrs. Schober lived most of her life in West Seattle with her husband, Mr. Albert Schober, who operated an auto freight line. The Schobers had no children.

Mrs. Schober's interest in the Medical School derived from her association with her nephew, Dr. Albert D. Bloomstrom, a 1955 graduate of the University of Washington Medical School.

# INTERDEPARTMENTAL

RECEIVED  
UW

JUL 23 1980

OFFICE OF  
DIRECTOR, BUDGET & PLANNING  
SCHOOL OF MEDICINE

July 22, 1980

TO: Mr. Robert Gust  
School of Medicine SC-64

FROM: Carmen Hager *Carmen Hager*  
Comptroller's Office AG-80

SUBJECT: Zaidee Schober Memorial Student Loan Fund

At its meeting of July 11, 1980, the Board of Regents voted to

- 1) accept the bequest of \$220,000 and all future distributions from the estate of Zaidee A. Schober,
- 2) authorize the establishment of the Zaidee A. Schober Memorial Student Loan Fund to be comprised of all distributions from the estate of Zaidee A. Schober and administered by the Dean of the School of Medicine in accordance with the terms of the donor's will, and
- 3) direct that terms for the loans be established by the Dean of the School of Medicine in consultation with the Scholarship and Loan Fund Office, and be consistent with the general policy of the University for loans of a similar nature.

By copy of this memo the Office of Development is instructed to arrange for the establishment of the appropriate account. \$220,000 will be transferred from account #39-5008 to the new account.

As the administrator of the fund, it is the responsibility of your department to determine the propriety of all expenditures. Changes in the terms of the fund must be approved by the Board of Regents and/or the donor, depending on the source of the original specifications.

You have previously received copies of the "University of Washington Board of Regents Policy on the Acceptance of Gifts and Bequests to be used as Student Financial Aid" and "University Guidelines for the Administration of Gifts and Bequests to be used as sources of Student Financial Aid," both of which were adopted by the Board of Regents at its meeting on December 17, 1976. Also enclosed is a copy of the Board of Regents agenda item relating to this endowment.

Please review these items carefully so that compliance and appropriate administration can be ensured. Please contact this office (543-4990) should questions arise.

CH/lh  
Enclosure

cc: Mr. Jerry Boyd  
Ms. Karen Drew

Mr. Dennis Higgins  
Mr. Joe Maestas

WCA-80-58  
June 23, 1980

CABINET MEMORANDUM

AGENDA, BOARD OF REGENTS MEETING, JULY 11, 1980 (Action)

Finance and Facilities Committee

Zaidee A. Schober Memorial Student Loan Fund

Item attached.

W. C. Adkisson  
Assistant Vice President  
for Finance & Comptroller

Distribution:

Standard

cc: Mr. Robert Gust  
Dr. Robert Van Citters

RECEIVED

JUL 23 1980

OFFICE OF  
DIRECTOR, BUDGET & PLANNING  
SCHOOL OF MEDICINE

## VII. STANDING COMMITTEES

## B. Finance, Audit and Facilities Committee

UW School of Medicine – Amending Purpose for the Dr. George W. Swift Medical Loan FundRECOMMENDED ACTION:

It is the recommendation of the administration and Finance, Audit and Facilities Committee that the Dr. George W. Swift Medical Loan Fund be changed to create the George W. Swift M.D. Endowed Scholarship in Medicine.

BACKGROUND:

George Wilkins Swift graduated from the University of Washington in 1901 and received his M.D. degree from Northwestern University in 1907. He became a prominent surgeon in whose honor and memory his wife, Florence Hilda Shircker Swift, established the Dr. George W. Swift Medical Loan Fund. Mrs. Swift established the loan fund with a gift of \$4,000 in 1968; made additional gifts throughout her lifetime, and made a provision through her estate to augment the loan fund. Mrs. Swift died in February 1980.

In the fourth article of her Last Will and Testament (dated May 31, 1968), Florence Hilda Swift made the following provision for the University of Washington: “During my lifetime I have made gifts to the University of Washington to a fund known as Dr. George W. Swift Medical Loan Fund. I hereby give and bequeath to the University of Washington whatever sum of money is necessary to bring the total of my gifts to that fund (including those made during my lifetime) up to \$50,000.00.”

In the May 31, 1968 document titled, Gift to University of Washington, Mrs. Swift documented her gift of \$4,000 to the Board of Regents of the University of Washington to establish the Dr. George W. Swift Medical Loan Fund and outlined the provisions for the loan fund. The Board of Regents accepted this gift and authorized the creation of the loan fund at its November 22, 1968 meeting. The last paragraph of Mrs. Swift’s document reads: “If in the judgment of the Board changed circumstances should at some future time render the designated use of this fund no longer appropriate, then the Board shall use the fund income to further the objects and purposes of the School of Medicine, giving consideration to my special interest as evidenced by the purpose described above.”

At its November 20, 1981 meeting, the Board of Regents accepted the bequest of \$20,000 and added the funds to the Dr. George W. Swift Medical Loan. This fund has a current balance of approximately \$141,000.

In the past, loan funds for medical students were a top priority and bequests and other gifts were directed to this purpose. In recent years, medical students’ loan needs have been largely met through federal programs including the Stafford

## VII. STANDING COMMITTEES

### B. Finance, Audit and Facilities Committee

#### UW School of Medicine – Amending Purpose for the Dr. George W. Swift Medical Loan Fund (continued p.2)

loans which offer more advantageous terms. Based on the increase of and primary use of federal loan programs, we have provided significantly fewer loans through institutional resources. This has resulted in the availability of unexpended balances in loan funds that would be better utilized as scholarships.

With the expanding class size, increases in tuition, and the median debt load now more than \$100,000, increasing scholarship support for medical students is among the School's top priorities and most pressing needs. To amend the purpose of the Dr. George W. Swift Medical Loan Fund to create the George W. Swift M.D. Endowed Scholarship in Medicine is in the best interest of the UW School of Medicine and will honor Mrs. Swift's wish to support worthy students who are engaged in the study of medicine at the University of Washington School of Medicine.

The language in Mrs. Swift's May 31, 1969 memo, titled "Gift to University of Washington" provides for the Regents to amend the purpose of this gift.

In approving this recommendation, the Board of Regents authorizes the creation of the George W. Swift, M.D. Endowed Scholarship in Medicine in the UW School of Medicine.

#### REVIEW AND APPROVALS:

The recommendation has been reviewed and approved by the CEO, UW Medicine, Executive Vice President for Medical Affairs and Dean of the School of Medicine; the Senior Vice President for Finance and Facilities, and the Vice President for Development and Alumni Relations.

#### Attachments

1. Amendment to create the George W. Swift, M.D. Endowed Scholarship in Medicine
2. The Last Will and Testament of Florence Hilda Swift (dated May 31, 1968)
3. May 31, 1968 memo from Mrs. Swift, titled "Gift to University of Washington"
4. Bequests and Endowment Activity Requiring Regental Approval (dated October 1981)

**Amendment to the  
Dr. George W. Swift Medical Loan Fund  
Established by Gifts and a Bequest from Florence Hilda Swift**

Pursuant to the terms of Florence Hilda Swift's initial gift to the University on May 31, 1968, which created the Dr. George W. Swift Medical Loan Fund, the University of Washington agrees to amend the Dr. George W. Swift Medical Loan Fund in the following way.

The Dr. George W. Swift Medical Loan Fund will be replaced in its entirety by the George W. Swift, M.D. Endowed Scholarship in Medicine. The endowment document below explains the purpose of this new endowed fund and gives additional background regarding its creation.

**George W. Swift, M.D. Endowed Scholarship in Medicine**

I. Establishment

The University of Washington ("the University") hereby agrees to establish the GEORGE W. SWIFT, M.D. ENDOWED SCHOLARSHIP IN MEDICINE per the terms of this Document, to become effective on such date as the minimum required funding has been received and accepted by the University.

II. Purpose

The purpose of this endowment is to help M.D. students with financial need to fund the cost of attending the University of Washington School of Medicine. This endowment may fund required components of the cost of education at the University including all standard and reasonable educational expenses.

In 2008, the Dean of the School of Medicine proposed that a number of loan funds be converted into endowed scholarship funds. This endowment, the GEORGE W. SWIFT, M.D. ENDOWED SCHOLARSHIP IN MEDICINE, is one of those converted funds.

Please see Exhibit A for more information about Florence Hilda Swift and George W. Swift, M.D.

### III. Funding

The minimum funding requirement for scholarships established through Students First to qualify for matching is \$100,000.00; initial investment will occur when \$100,000.00 in gifts has been received.

Please see Exhibit B for an itemized breakdown of the endowment's funding.

### IV. Investment

All contributions to this endowment may, for investment purposes, be merged with any of the investment assets of the University of Washington, but these gifts shall be entered in the University's books and records as the GEORGE W. SWIFT, M.D. ENDOWED SCHOLARSHIP IN MEDICINE. Distributions shall be made from the endowment in the manner and amount provided for in the policies established for such endowment funds by the Board of Regents and shall be used for the purposes authorized by this Document. As authorized by the Board of Regents, the investment, management, and expenditure practices applied to the principal and earnings of this endowment, and the management and advancement fees assessed against the endowment, shall be done in accordance with University policies and procedures.

### V. Selection

Selection of scholarship recipients shall be made by the Dean of the School of Medicine, or by his or her designee. Recipients shall be M.D. students, and selection shall be based on financial need. Financial need shall be determined by the Office of Student Financial Aid.



## VI. Amount and Terms of Scholarships

The amount and terms of each scholarship shall likewise be determined by the Dean of the School of Medicine, or by his or her designee. Subject to the availability of funds, distributions shall be used to help those students who qualify for financial aid fund the cost of attending the University of Washington. Such costs may include all or part of tuition and may also provide support for books, fees, and other educational expenses.

The duration of the scholarship shall normally be for one academic year. Failure of the student to remain in good academic standing or to make satisfactory progress toward a degree may result in withdrawal of the scholarship.

Scholarships may be awarded for successive years, provided that recipients re-submit financial aid materials by the priority filing date each year, continue to meet all eligibility criteria for financial aid, and continue to make satisfactory academic progress toward his or her degree.

Distributions from the endowment shall be awarded and expended under the guidelines stated in Section II. Purpose. When accumulated funds are sufficient for more than one scholarship, two or more recipients may be selected. In any year in which there are no qualified applicants, the selection criteria, as stated in Section V. Selection, may be expanded, so long as such criteria shall adhere as closely as possible to the intent in Section II. Purpose.

## VII. Administration

The Dean of the School of Medicine, or his or her designee, shall be the administrator of this endowment. Expenditures relating to it shall be subject to University of Washington accounting procedures and guidelines.

## VIII. Amendment

This Document may be amended by the University of Washington. If changed circumstances should at some future time cause the continuation of the School of Medicine to be

inappropriate or impractical, or any of the restrictions on the use of or the investment of the endowment fund imposed by this Document to become obsolete, inappropriate or impracticable as determined by the Board of Regents, then the University may redesignate the purpose of the endowment, and the distributions therefrom, or release such restriction, provided that the endowment shall continue to bear the name of George W. Swift, M.D., and that the amended use shall adhere as closely as possible to the original intent stated in Section II. Purpose.

Integration Clause

This Document constitutes the entire agreement between the parties, and supersedes all prior oral or written agreements, commitments, or understandings concerning the matters provided for herein.

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FOR THE UNIVERSITY OF WASHINGTON:

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Paul G. Ramsey, M.D.  
CEO, UW Medicine  
Executive Vice President for Medical Affairs and  
Dean of the School of Medicine,  
University of Washington

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S. Kay Lewis, Director  
Student Financial Aid

---

Connie Kravas, Ph.D., Vice President  
Development and Alumni Relations

---

V'ella Warren, Senior Vice President  
Finance and Facilities

---

Date

**F-14/206-08**  
**6/12/08**

**Exhibit A of the  
George W. Swift, M.D. Endowed Scholarship in Medicine**

George Wilkins Swift graduated from the University of Washington in 1901, and he received his M.D. from Northwestern University in 1907. He became a prominent surgeon.

His spouse, Hilda Florence Smith (née Shircker) created a loan fund to honor her husband in 1968. She made subsequent gifts to the loan fund and, when she died in 1980, a portion of her estate was directed to support it.

In creating this endowed scholarship in 2008, UW Medicine pays tribute to the Swifts and honors their intention to support medical students at the UW School of Medicine.

**Exhibit B of the  
George W. Swift, M.D. Endowed Scholarship in Medicine**

Endowment Funding

This endowment shall be funded with the following:

1. Funds totaling \$141,000, now in the Dr. George W. Swift Medical Loan Fund (SWIFTG, 70-8590). These funds will be transferred out of the loan fund into the George W. Swift, M.D. Endowed Scholarship.
2. If available, University matching funds totaling \$70,500 that are designated for Students First will be added to the endowment's principal.
3. Any other gifts or bequests that other persons may designate for this purpose.

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IN THE SUPERIOR COURT OF THE STATE OF WASHINGTON  
IN AND FOR THE COUNTY OF KING  
IN PROBATE

In the Matter of the Estate	)	
of	)	
FLORENCE HILDA SWIFT,	)	NO. 80-4-00880-1
Deceased.	)	NOTICE OF APPOINTMENT AND PENDENCY OF PROBATE

NOTICE IS HEREBY GIVEN that on the 14th day of March,  
1980, Richard H. Riddell was appointed as Executor of the  
Estate of Florence Hilda Swift, Deceased, and that probate pro-  
ceedings are pending in said Estate.

DATED this 7th day of April, 1980.

Richard H. Riddell  
4300 Seattle-First National  
Bank Building  
Seattle, WA 98154

Notice of Appointment

LAW OFFICES  
RIDDELL, WILLIAMS, IVIE, BULLITT & WALKINSHAW  
4300 SEATTLE-FIRST NATIONAL BANK BUILDING  
SEATTLE 98154  
(206) 624-3600

LAST WILL AND TESTAMENT

of

FLORENCE HILDA SWIFT

I, FLORENCE HILDA SWIFT, of Seattle, Washington, widow of GEORGE W. SWIFT, being of sound and disposing mind, memory and understanding and not acting under any duress, menace, fraud or undue influence whatsoever, do solemnly make, publish and declare this my Last Will and Testament, as follows:

ARTICLE I: I direct that my remains be cremated immediately following my death. I further direct that my ashes be buried beside those of my late husband, GEORGE W. SWIFT, in Coupeville Cemetary, Island County, Washington, if feasible, or if not, then along side my mother in the Schricker Plot in Pleasant Ridge Cemetary outside LaConner, Washington. I direct no funeral services be held for me, excepting a graveside reading of the Episcopal burial service.


ARTICLE II: In the event that any person not expressly provided for herein should establish to the satisfaction of any court that said individual is a pretermitted heir of mine under the statutes of any state having jurisdiction over assets of my estate, then and in such event I bequeath the sum of One Dollar (\$1.00) and no more to each such person. Wherever herein the masculine or feminine form is used and wherever the singular or plural form is used, it shall be deemed to include the other. Wherever used herein, the word "issue" shall be deemed to include adopted children.

Initials:

ARTICLE III: I hereby give and bequeath the following:

1. To DR. WILLIAM RACE, nephew of my late husband, of Seattle, Washington, ONE THOUSAND DOLLARS (\$1,000.00), and also that certain old-fashioned rocking chair in my living room.
2. To MRS. WILLIAM (HELEN) RACE, wife of said DR. WILLIAM RACE, ONE THOUSAND DOLLARS (\$1,000.00).
3. To MARY FULLINGTON, niece of my late husband, ONE THOUSAND DOLLARS (\$1,000.00).
4. To my sister, OTTILIE DuVALL, all tangible personal property of which I die possessed, including but not limited to my car, furniture, household goods, clothing and jewelry. If she predeceases me, this bequest shall pass to her son, ROBERT DuVALL.

Except as specifically stated above, if any of these beneficiaries predeceases me, such bequest shall lapse and become part of the residue of my estate.

 During my lifetime I have made gifts to the University of Washington to a fund known as THE DR. GEORGE W. SWIFT MEDICAL LOAN FUND. I hereby give and bequeath to the UNIVERSITY OF WASHINGTON whatever sum of money is necessary to bring the total of my gifts to that fund (including those made during my lifetime) up to \$50,000.00.

ARTICLE V: All of the rest, residue and remainder of my estate of every type and character, whether heretofore or hereafter acquired, wheresoever situated and howsoever held, I give, devise and bequeath to the PACIFIC NATIONAL BANK of Seattle, Washington, a national banking corporation, IN TRUST NEVERTHELESS,

Initials: F. H. S.



for the following uses and purposes:

1. My trustee shall pay to my aunt, MRS. JAMES (BETHESDA B.) BUCHANAN, of Seattle, Washington, the sum of TWO HUNDRED DOLLARS (\$200.00) per month, commencing with the month immediately following my death, so long as she is living.
2. My trustee shall pay to or for the benefit of my sister, OTTILIE DuVALL, so much of the income and principal of the estate as, in the discretion of my trustee, will permit my sister to live in reasonable comfort for the rest of her life. These payments shall be made to her at monthly intervals, commencing with the month immediately following my death, or at such other intervals as are more convenient to her.
3. Upon the death of the survivor of my said aunt and my said sister, all of the remaining assets of the trust shall be paid over forthwith to my nephew, ROBERT DuVALL, if he be then living, or if not, then to his then living lawful issue, per stirpes; if any of such issue should be minors, my trustee shall retain the share of such minor in trust expending for the benefit of such minor so much of the income and principal thereof as, in the discretion of my said trustee, is necessary and desirable for the care, support, maintenance and education of such minor child until such child shall attain the age of 21 years, at which

Initials: F. N. S.

time the remaining balance of such share shall be paid over to such child absolutely.

4. The trustee shall have all powers with respect to the trust property that I would have if living, including all powers provided for trustees under the present statutes of the State of Washington and, without limiting the generality of the foregoing, the complete and absolute power and discretion:
  - a. To determine what may be advisable and necessary for the care, maintenance, support and/or education of each of my pertinent beneficiaries, whether they have attained their majority or not, bearing in mind their individual circumstances, needs, talents and abilities.
  - b. To use any and all of the corpus as well as income in carrying out this trust;
  - c. To make payments, with acquittance to the trustee, either directly to a beneficiary (even though a minor, or person otherwise legally incompetent) to a relative of the beneficiary, or by expending the same for the benefit of such beneficiary. The trustee shall be entitled to reasonable compensation unless the same shall be waived, and shall serve without bond or other security. The trustee shall administer this trust without compliance with the Uniform Trustee's Accounting Act or any other statute requiring the trustee to furnish reports and accountings to any court;
  - d. The trustee shall have the authority to receive and administer, as part of the trust corpus, proceeds of insurance on my life under policies naming this trust as beneficiary and other funds and property from sources other than my estate. My trustee shall also have the authority to advance or loan, without interest, funds of the trust to my executor for administrative purposes, where, in the trustee's sole judgment and discretion, such loan or advance would be to the ultimate benefit or advantage of the trust.
  - e. To rely with acquittance upon the advice of legal counsel.
5. Notwithstanding any provision herein as to the time or manner of payment to the beneficiaries, no share or interest or any portion thereof of any beneficiary hereunder shall vest in such beneficiary until actually paid to him or her by the trustee, nor shall the same be liable for the debts of any beneficiary, nor subject to the pro-

Initials:

F. H. A.

cess or seizure of any court, nor be an asset in the bankruptcy of any beneficiary; and no beneficiary hereunder shall have power to anticipate, alienate or encumber his or her interest in the trust estate or the income therefrom. If by reason of any bankruptcy, judgment or other cause any beneficiary hereunder cannot receive and enjoy the benefits hereof, then the benefits accruing during the pendency of such judgment or proceeding that ordinarily would be distributable to the beneficiary so affected (by reason of the time for ultimate distribution to this beneficiary occurring during this period) may be held by the trustee temporarily or distributed to any other beneficiary or beneficiaries hereunder as the trustee shall elect. Any such payment over to any other beneficiary by the trustee shall be final and conclusive upon all parties claiming hereunder.

6. Anything herein to the contrary notwithstanding, if, twenty years and eleven months after the death of the last surviving person among those persons designated to be beneficiaries of my estate and who at the time of my death had been born or conceived, any beneficiary of the trust has not yet received full distribution of his or her share, the trust thereupon shall come to an end; and the trustee forthwith shall distribute to each beneficiary remaining under the trust his or her proportionate share of the trust assets as heretofore provided for distribution on termination of the trust.

ARTICLE VI: I hereby nominate and appoint as executor of this, my Last Will and Testament, RICHARD H. RIDDELL, or, in the event of his death, disability, inability or refusal to act as such executor, then ROBERT S. IVIE, or in the event of his death, disability, inability or refusal to act as such executor, then the PACIFIC NATIONAL BANK of Seattle, Washington.

ARTICLE VII: My executor shall have all of the powers granted herein to my trustee.

ARTICLE VIII: I direct that my executor shall act without bond or other security and shall settle my estate without the intervention of any court or courts insofar as legally possible, it being my purpose to take advantage of the non-intervention provisions of the Washington statutes and of such provisions of the statutes of

Initials: F. W. S.

other states where possible.

I hereby give and grant unto my said executor all powers now or hereafter conferred upon a non-intervention executor by Washington law, together with all powers conferred upon a trustee under the Washington statutes in effect on the date of this Last Will and Testament, which statutes are hereby incorporated by reference. Without limiting the generality of the foregoing, I give and grant unto my executor as full and ample power, authority, and discretion as I would have if living, during the entire period of administration of my estate, to hold in its existing form, manage, sell, convey, exchange, mortgage, encumber, lease, settle, invest, reinvest or otherwise deal in and with or dispose of my said estate or any part thereof or interest therein, whether for administrative purposes or not, at such times and for such prices and on such terms (including the settlement of tax liabilities) as in the sound judgment of my executor shall be deemed for the best interests of my estate, beneficiaries and legatees, and to pay all expenses of administration arising out of any ancillary proceedings and to take deductions therefor for tax purposes. No person dealing with my executor shall be obligated to see to the application of any money paid or property delivered to my said executor.

ARTICLE IX: I hereby revoke all former wills by me made.

IN WITNESS WHEREOF, I have signed this page and have initialed the other pages hereof this 31 day of May, 1968.

*Florence Hulka Lovy*

The foregoing instrument was on the date thereof signed by the above-named Testatrix who, appearing to each of us to be of sound mind, memory and understanding, published the foregoing instrument as and declared it to be her Last Will and Testament all in the presence of us, who, at her request and in her presence and in the presence of each other, have on the same date subscribed our names as witnesses thereto.

H. A. Riabell

Galen E. Buckley

Estelle M. Rowse

F. H. S.

May 31, 1968

Gift to University of Washington

I hereby give, convey and deliver to THE BOARD OF REGENTS OF THE UNIVERSITY OF WASHINGTON (hereinafter called "the Board"), an educational institution, the sum of \$4,000<sup>00</sup>xx.

The property comprising this gift may, for investment purposes only, be merged with the general investment assets of the University of Washington, but the gift shall be entered in the University's books and records as THE DR. GEORGE W. SWIFT MEDICAL LOAN FUND, and shall always be so designated. Additions may be made to the fund by the donor from time to time, merely by designating that any such later gift to the Board shall be added to this fund.

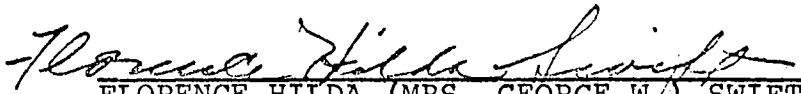
The Board shall use the principal and interest as a revolving fund from which it may make loans to worthy students in any one of the following three categories:

1. Students engaged in the study of medicine at the University of Washington School of Medicine (now situated in Seattle, Washington) leading to an M.D. degree.
2. Students who have received an M.D. degree from the University of Washington and who are engaged in graduate medical studies in that University or in other universities or hospitals leading to specialization in a particular field or fields of medicine.

3. Students at the University of Washington who have already received an M.D. degree from another university and who are engaged in graduate medical studies leading to specialization in a particular field or fields of medicine.

The loan, as evidenced by the note or promise to repay, shall bear interest at two percent per annum to maturity beginning one year after the borrower's graduation. Upon default at maturity, the loan shall bear interest at six percent per annum retroactive to one year after graduation. The interest rate shall also be six percent per annum upon the student's withdrawal from the School of Medicine before receiving the degree for which he or she enrolled. (MATURITY - six years after graduation or withdrawal.)

If in the judgment of the Board changed circumstances should at some future time render the designated use of this fund no longer appropriate, then the Board shall use the fund income to further the objects and purposes of the School of Medicine, giving consideration to my special interest as evidenced by the purpose described above.

  
FLORENCE HILDA (MRS. GEORGE W.) SWIFT

2068 Interlaken Place E.  
Seattle, Washington 98102

IV. BEQUESTS AND ENDOWMENT ACTIVITY REQUIRING REGENTAL APPROVAL

SECTION A. GIFTS BY BEQUEST--AVAILABLE FOR IMMEDIATE USE

DONOR ESTATE	CURRENT DISTRIBUTION	FUTURE DISTRIBUTION
FLORENCE HILDA SWIFT	\$ 20,000.00	

DR. GEORGE W. SWIFT MEDICAL LOAN FUND

*Administrator:*

Dean, School of Medicine, or his authorized representative

*Conditions:*

Principal and interest to be used for loans to worthy students in the University's School of Medicine preparing for an M.D. degree; students with an M.D. degree from the University but engaged in graduate medical studies at the University or other universities or hospitals leading to specialization; and students doing graduate medical work at the University leading to specialization, but who received an M.D. degree from another university.

*Background:*

The University has received \$20,000 in distribution of a bequest from the late Florence Hilda Swift. In her will, Mrs. Swift stated that "During my lifetime I have made gifts to the University of Washington to a fund known as THE DR. GEORGE W. SWIFT MEDICAL LOAN FUND. I hereby give and bequeath to the UNIVERSITY OF WASHINGTON whatever sum of money is necessary to bring the total of my gifts to that fund (including those made during my lifetime) up to \$50,000."

In 1968, Mrs. Swift presented the University with a gift of \$4,000 accompanied by a signed document which required that the gift be designated The Dr. George W. Swift Medical Loan Fund and used for loans to worthy students in any of the above-described categories.

At its meeting on November 22, 1968, the Board of Regents accepted the gift, authorized the establishment of the Fund and directed that it be administered in accordance with the terms of the gift document. Over the years, Mrs. Swift contributed an additional \$26,000 to The Dr. George W. Swift Medical Loan Fund.

Mrs. Swift passed away in February 1980. The \$20,000 received in settlement of her bequest to the University and the \$30,000 which she contributed during her lifetime bring her total gifts to the \$50,000 specified in her will.

OCT81

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Minutes  
Board of Regents  
Meeting of:  
NOV 20 1981



IV. BEQUESTS AND ENDOWMENT ACTIVITY REQUIRING REGENTAL APPROVAL  
SECTION A. GIFTS BY BEQUEST--AVAILABLE FOR IMMEDIATE USE

DONOR ESTATE FLORENCE HILDA SWIFT	CURRENT DISTRIBUTION	FUTURE DISTRIBUTION
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DR. GEORGE W. SWIFT MEDICAL LOAN FUND (Continued)

George Wilkins Swift graduated from the University of Washington in 1901 and received his M.D. degree from Northwestern University in 1907. He became a prominent surgeon in whose honor and memory his wife, the former Florence Hilda Shircker, established The Dr. George W. Swift Medical Loan Fund.

VII. STANDING COMMITTEE

A. Academic and Student Affairs Committee

*In Joint Session With*

B. Finance, Audit and Facilities Committee

UW Medicine Board Annual Operations and Governance Report

Please see attached.



**UW MEDICINE BOARD**

**ANNUAL OPERATIONS AND GOVERNANCE REPORT TO THE UW  
BOARD OF REGENTS**

*The Seattle Cancer Care Alliance and Oncology at UW Medicine*

*JUNE 12, 2008*

## INTRODUCTION

The UW Medicine Board bylaws provide that each spring the Board shall meet with the Board of Regents to advise the Board of Regents and the President on the operation and governance of those aspects of UW Medicine relating to:

- the development and strategic allocation of resources;
- strategic aspects of academic programs, including the development of off-campus research facilities;
- the planning and delivery of medical services, including oversight of those services provided through the UWP;
- implementation and effectiveness of compliance programs;
- the management of current and future extramural affiliation and operating agreements, including those executed by the University with Harborview, the SCCA, and UWPN; and
- the status of, and plans for patient care at the UWMC.

This year's report will focus on the Seattle Cancer Care Alliance (SCCA), an integral component of the oncology research, teaching, and patient care programs of UW Medicine. The report is divided into three sections:

- I. The creation of the SCCA – Covering the key objectives, organizational and financial structure, and implementation steps.
- II. The results to date – Covering the program and financial growth of the SCCA
- III. The future – Covering the projected growth of the SCCA

Oncology services are provided at both UW Medical Center and Harborview. The UW Medical Center is, however, the focal point in UW Medicine for oncology services. Oncology is the largest service line at the UW Medical Center, representing 20% of the total revenue and 55% of net income at the Medical Center. As the “front door” for the UW Medicine oncology program, the SCCA is a key factor in the continued success of UW Medicine.

## **I. CREATION OF THE SCCA**

The SCCA was incorporated in 1998 as a collaborative effort between UW Medicine, the Fred Hutchinson Cancer Research Center (FHCRC), and Children's Hospital and Regional Medical Center (CHRMC). This followed several years of intensive planning among the three organizations aimed at building on the legacy of existing academic affiliation agreements and support the respective missions of the three member institutions in clinical research, training and patient care.

### **KEY OBJECTIVES**

There were three key programmatic objectives that guided the planning for the SCCA. First, the SCCA would build, own, and operate an ambulatory clinic located on the FHCRC campus at South Lake Union. Second, the FHCRC's inpatient bone marrow transplantation program would be relocated to UWMC for adults and CHRMC for children. Third, UWMC, CHRMC, and the SCCA would represent an integrated system of comprehensive cancer care. CHRMC would provide both inpatient and outpatient pediatric cancer care. UWMC would provide adult inpatient care for all cancer patients and outpatient care focused primarily on solid tumors. The SCCA would have a primary focus on medical oncology.

There were also three key financial objectives that guided the planning. First, the capitalization of the SCCA would be shared equally between UW Medicine, CHRMC, and FHCRC. The capitalization would reflect both the fair market value of programs transferred into the SCCA as well as cash contributed. Second, the SCCA would incur the start-up and construction costs related to the SLU clinic and then receive the income and cash flow from the operations. Third, there would be a combination of up-front and annual cash flows among UW Medicine, FHCRC, CHRMC, and the SCCA that would reflect the fair market value of the programs and services provided by each.

The planning was completed in the spring of 1998. The UW Board of Regents acted on May 15, 1998 to approve the University's membership in the non-profit corporation and authorize the Vice President for Medical Affairs to enter into the agreements necessary to implement and sustain the SCCA. The Members' Agreement between UW, CHRMC, and FHCRC was signed on June 16, 1998 and the SCCA board adopted the corporate bylaws on the same date.

One significant change since the original planning occurred in 2000 and 2001, when the SCCA became licensed as a hospital. This required the transfer of the license to operate 20 hospital beds from the FHCRC to the SCCA. This was accomplished with the approval of the federal and state governments. The 20 beds function seamlessly as part of the UWMC inpatient unit, but are governed by the SCCA. This move provided two

important benefits to the SCCA and the members. First, it maintained the “PPS exempt” status of the 20 beds, permitting reimbursement from federal programs on a cost basis as opposed to a fixed DRG basis. This is particularly important for bone marrow transplantation cases due to the high and variable nature of the cost of care for these patients. Second, it permitted the SCCA to bill for outpatient services provided at SLU as a hospital-based clinic.

**FINANCIAL STRUCTURE**

The following table summarizes the sources and uses of the initial capitalization of the SCCA.

(\$ in thousands)

	<b>UW</b>	<b>FHCRC</b>	<b>CHRCM</b>	<b>Total</b>
<b>Sources:</b>				
▪ Donated capital (land use and equipment)		\$ 5,404		\$ 5,405
▪ Outpatient programs	\$11,969	24,093		24,093
▪ Cash	<u>19,120</u>	<u>13,561</u>	<u>\$31,089</u>	<u>63,771</u>
▪ Total sources	\$31,089	\$31,089	\$31,089	\$93,268
<b>Uses:</b>				
▪ Construction				\$49,150
▪ Equipment				10,629
▪ Transition costs				1,000
▪ Working capital				<u>2,992</u>
▪ Total uses				\$63,771

In addition to the capitalization of the SCCA, UW Medical Center incurred \$28 million in costs associated with transitioning the FHCRC inpatient program, including building renovations, new equipment, and working capital.

**UW/SCCA RELATIONSHIP**

**Governance**

The SCCA is governed by a 15 person board that includes five members from each of the member organizations. The Board includes administrative officers of the member organizations and public members who serve or have served on the member’s board. The five positions include an ex officio representative of each member. The Executive Director of UWMC is the UW ex officio member. The bylaws are structured to require a 75% majority to make any changes in the structure, powers, and duties of the board and its committees.

## **Inpatient services**

The inpatient services provided at UWMC are governed by the Adult Inpatient Services Agreement (AISA). The AISA covers all inpatient services provided to patients cared for by medical oncologists and hematologists, including all bone marrow transplantation services, stem cell transplantation services and other services provided by the FHCRC prior to the transfer of the inpatient program to UWMC. The AISA specifies the structure for medical oversight and direction, nurse management, unit staffing, support services, the development and approval of annual budgets, and a number of other elements associated with the conduct of clinical care and research in the inpatient setting. The overall goal of the AISA is to ensure the integration of the 84-bed oncology service located at UWMC.

A second key element of the AISA is the specification of compensation for services among the three parties to the agreement – UWMC, SCCA and the FHCRC. These payments include:

- Payments from the SCCA to UWMC for program development, use of beds, and the cost of capital;
- Payments from UWMC to the SCCA for use of beds;
- Payments from UWMC to the FHCRC for research and development, the transfer of the inpatient hospital program, and data collection and analysis for research purposes.
- Payments from the SCCA to the FHCRC research and development, the transfer of the inpatient hospital program, and data collection and analysis for research purposes.

All of these payments are based on fair market value.

## **Accounting**

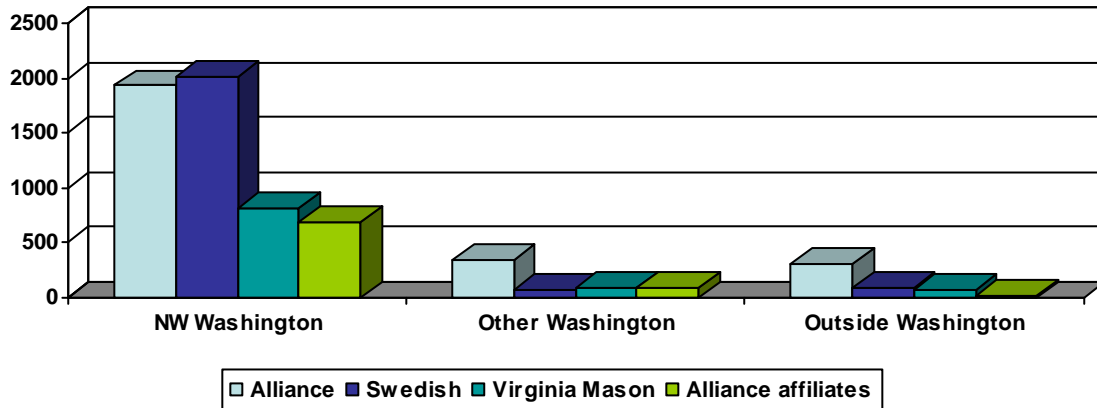
The SCCA retains the income and cash flow from the operation of the SLU clinic and the 20 bed inpatient unit. UWMC accounts for the SCCA performance on an equity basis, reflecting the one-third share of the total SCCA income as UWMC non-operating income. In FY 2007, this represented \$5.6 million. The cumulative interest in the SCCA reflected on the UWMC balance sheet as of June 30, 2007 was \$43.9 million.

## II. RESULTS TO DATE

The SCCA has been successful by any measure and exceeded substantially our expectations at the time it was created.

The combined inpatient programs of UWMC, CHRMC, and the SCCA represent 28% of the adult inpatient volume and 75% of the pediatric inpatient volume in the local region, excluding bone marrow transplantation (BMT) cases. The following graph summarizes the inpatient discharges by geographic area.

Discharges for non-BMT oncology cases



The market shares have remained consistent over the last several years.

The following paragraphs provide a brief summary of the program growth and financial performance.

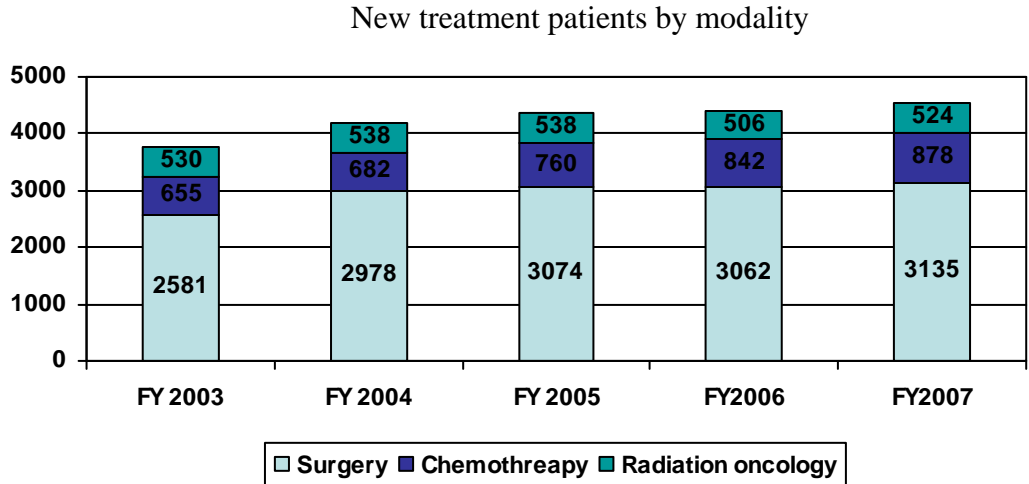
### PROGRAM GROWTH

The following table summarizes the growth in the SCCA patient volumes over the past five years. The relative flat growth between FY 2006 and FY 2007 reflect the loss of several key faculty who have since been replaced.

	FY 2003	FY 2004	FY 2005	FY 2006	FY 2007
Clinic Visits	35,130	38,149	38,774	44,084	43,230
Admissions	365	372	444	455	428



Growth of new treated patient volume by modality at the combined SCCA/UWMC/CHRMC program is shown on the following graph.



### FINANCIAL RESULTS

At the time the SCCA was formed, we anticipated that the SCCA would lose money for the first three years of operation (FY 2002 – 2004). As noted in the following table, the financial performance has exceeded expectations by a wide margin and the SCCA is in a very strong financial position.

	FY 2003	FY 2004	FY 2005	FY 2006	FY 2007
Net income	\$3,422	\$9,813	\$16,257	\$21,405	\$16,747
Operating margin	2.4%	6.6%	9.4%	9.7%	6.1%
Days cash on hand	122	136	178	188	191
Debt service coverage	5.05	7.91	6.28	7.74	6.48

The UWMC-based component of the oncology service line has a contribution margin of nearly \$50 million in FY 2007, the largest by far among all major services. The contribution margin has grown by approximately one-third since FY 2003.

### III. THE FUTURE

#### PROGRAM GROWTH

The anticipated growth in the number of cancer cases in adults is expected to grow by 16% over the next five years. The growth rates by disease site range from 13% to 19%, with the exception of cervical cancer, which is projected to grow by 8%. The growth is

driven by population growth and ageing. The following table summarizes the projected growth by age cohort.

Age group	2006 cases	2011 cases
Under 18	107	107
18 – 44	1,269	1,240
45 – 64	5,411	6,244
Over 65	6,770	8,138

The SCCA/UWMC/CHRM has had a dominant position in hematologic tumors (e.g., leukemia and lymphoma), owing to the world-class reputation of the clinical bone marrow transplantation program, and in specific solid tumor areas noted in the previous section. In 2003, the SCCA adopted a five year strategic plan focused on strengthening solid tumor programs in breast, prostate, lung, gynecologic, and gastrointestinal cancers. The goal was to add 1,000 new treated patients. The strategies focused on adding medical and surgical oncology faculty in these disciplines and strengthening the clinical, basic, and translation research. Four years into the plan, 75% of the goal has been attained.

#### **CLINIC AND INPATIENT EXPANSION**

The SCCA is planning a major addition to the SLU clinic in FY 2012. UWMC has received the Regents’ approval to develop Phase I of the expansion building that will house the neo-natal ICU and a patient care floor dedicated to inpatient cancer care. This will expand the inpatient bed capacity for cancer care by approximately one-third – from 86 beds to approximately 108 beds.

#### **PROTON BEAM THERAPY**

The SCCA is analyzing the feasibility of developing a proton beam therapy unit. Proton beam therapy is a highly advanced form of radiation therapy that promises to improve physicians’ ability to precisely control the delivery of radiation to a tumor, minimizing damage to healthy tissue, resulting in superior clinical outcomes and reduced side effects. Proton beam therapy promises to be more effective than other types of radiation therapy at treating many advanced forms of cancer due to a proton beam’s ability to deposit energy more precisely in a targeted tumor, whereas conventional radiation therapy using photons or electrons deposits radiation along the entire path of the beam through the body. The treatment is considered especially effective for children and young adults, because it does less damage to the healthy cells surrounding the tumor. Proton centers have been treating specific diseases such as prostate, breast and lung cancers. Proton therapy has also been effective at targeting tumors adjacent to major organs. Proton therapy is used in conjunction with conventional radiation therapy, medical and surgical oncology.

There are a five proton therapy sites in operation around the country, with several more in development. It is likely that proton beam therapy will be a key element of a top tier

oncology program. There is considerable advantage in being the first center in the Pacific Northwest. It is a very expensive technology that requires significant infrastructure to house the treatment facility. The feasibility work is scheduled to conclude in late 2008 or early 2009.

F-15.1/206-08  
6/12/08

**VII. STANDING COMMITTEES****A. Academic and Student Affairs Committee**

*In Joint Session With*

**B. Finance, Audit and Facilities Committee****Establishment of Contracting Regulations****RECOMMENDED ACTION:**

It is the recommendation of the Administration and of the Finance, Audit and Facilities Committee that the Board of Regents take the following actions under the authority granted in RCW 28B.20.140:

- 1) Establish regulations providing for a fair, open, and efficient development agreement method by which the University may, under limited circumstances, move expeditiously and efficiently to contract for Capital Projects in a way that protects the best interests of the University and assures the delivery of quality work and products at a reasonable price under the most advantageous terms.

The full text of the proposed regulations is attached.

**BACKGROUND:**

In 1969, the legislature amended RCW 28B.20.140 to authorize the Board of Regents to construct and improve university buildings through contracts as the Regents deem to be in the best interest of the University. The statute authorizes the Regents to let such contracts under regulations as the Regents may establish or through processes established elsewhere in state law.

The University has historically used the processes set forth in other state law (for instance, the General Contractor Construction Manager (GCCM) or Design-Build processes set forth in Chapter 39.10 RCW) in contracting for construction and improvement of University facilities. Currently, there are plans for construction of the Sound Transit Station adjacent to Husky Stadium. There is also consideration of other large projects by other transportation agencies, on sites adjacent to Husky Stadium. The University is at the same time making plans for major renovations of Husky Stadium.

If the University were to follow existing contracting processes for the Stadium renovations, it may suffer significant adverse effects on its operation, mission, and/or financial interests due to the Sound Transit project and potentially the 520

## VII. STANDING COMMITTEES

### A. Academic and Student Affairs Committee

*In Joint Session With*

### B. Finance, Audit and Facilities Committee

#### Establishment of Contracting Regulations (continued p. 2)

project. These circumstances call for a specialized contracting method to protect the interests of the University.

The purpose of the proposed regulations is to establish a fair, open, and efficient contracting method by which the University may, under limited circumstances, move expeditiously and efficiently to contract for a project in a way that protects the best interests of the University and assures the timely delivery of quality work at a reasonable price under the most advantageous terms. For this reason, the Regents are being asked to use the authority of RCW 28B.20.140 to establish the regulation to enable use of different contracting methods.

Because time was a crucial factor in this situation, the Regents previously authorized adoption of these regulations on an emergency basis. A full period of notice and comment has now taken place and therefore these regulations are coming back to the Board for final adoption. This period of notice and comment included: filing and publication of a Pre-proposal Statement of Inquiry; filing the Proposed Rule Making Notice; and a hearing was held. A report on the hearing is attached for review.

#### Attachments:

1. Hearing Officer's Report
2. Chapter 478-350 WAC: Alternative Contracting Process for the University of Washington.

June 2, 2008

President Mark A. Emmert  
Office of the President  
University of Washington  
Box 351230

Dear President Emmert:

Pursuant to your delegation, I served as the Hearing Officer to receive public comment on the University of Washington's proposed new Chapter 478-350 WAC, "Alternative Contracting Process for the University of Washington." The hearing commenced at 12:00 p.m. on Wednesday, May 28, 2008, in Room 310 of the Husky Union Building (HUB) at the University of Washington, Seattle campus. I am pleased to provide you a report of that hearing and the written comments received.

As required by the Administrative Procedure Act, the University filed the following notices with the State of Washington Code Reviser: a Preproposal Statement of Inquiry (published as WSR 08-05-101, in the *Washington State Register*) and a notice of Proposed Rule Making (published as WSR 08-09-059, in the *Washington State Register*). Campus notice that the hearing would be held was published in *University Week* on May 15, 2008, and in *The Daily* on May 20, 2008. In addition, notice of the public hearing was included in the online events calendar for the Seattle campus. The written comment period began March 5, 2008, and ended May 28, 2008. An audio tape of the hearing has been deposited with the Secretary of the Board of Regents.

### **Public Comment**

Four individuals provided oral testimony at the hearing and the University received six written comments. The oral and written comments were on behalf of the following organizations: The Architects and Engineers Legislative Council, the Associated General Contractors, the Inland Northwest Associated General Contractors, the Mechanical Contractors Association, the Mechanical Contractors Association of Western Washington, the National Electrical Contractors Association, and the Washington Construction Industry Council.

All comments and testimony received included expressions of concern with the proposed new rules. Specific areas of concern included the following:

- The contracting community believes the proposed rules are unnecessary and that currently available contracting procurement methods are sufficient for any complex projects the University of Washington needs to build.

- The construction community believes the proposed rules lack protections offered them through the competitive bid process. Moreover, the community believes the “competitive negotiation” process specified in proposed WAC 478-350-040 is too vague in concept and has the potential for waste, fraud, or abuse through biased contractor selection.
- The low dollar amount — \$25 million — specified in proposed WAC 478-350-030 (one of the four criteria necessary for the use of these alternative contracting rules) has led the contracting community to believe this process would be widely used by the University in lieu of existing statutorily authorized contracting methods.
- The construction community believes the reputation the University has built as an exemplary manager of contracting processes and a leader in implementing and amending the Alternative Public Works legislation, among other efforts, would be damaged by using an untried contracting method without the full partnership of the contracting professions.

### **Analysis and Recommendation**

Given the issues individuals representing the construction community expressed, as mentioned above, it is my recommendation that the University limit the circumstances under which these proposed rules would be used in lieu of existing construction methods. Specifically, the University should limit these rules to apply to buildings or improvements which are part of the University’s Intercollegiate Athletics Facilities, which include renovations to Husky Stadium, and should raise the dollar threshold to apply to those projects with a total project cost in excess of one hundred million dollars.

These thoughtful amendments to proposed Chapter 478-350 WAC have incorporated the major expressed concerns of the construction community. Once these amendments to proposed Chapter 478-350 WAC have been made, I recommend that these rules be considered for adoption by the Board of Regents.

Sincerely yours,

Carol S. Niccolls  
Special Counsel to the President

cc: Mr. Richard Chapman  
Ms. Rebecca Goodwin Deardorff  
Mr. Randy Hodgins  
Ms. Karin Nyrop  
Mr. John Palewicz  
Ms. Michele M. Sams  
Ms. V’Ella Warren  
Mr. Scott Woodward

## Chapter 478-350 WAC

### ALTERNATIVE CONTRACTING PROCESS FOR THE UNIVERSITY OF WASHINGTON

#### NEW SECTION

**WAC 478-350-010 Authority.** The University of Washington adopts these rules pursuant to RCW 28B.20.140.

#### NEW SECTION

**WAC 478-350-020 Purpose.** The purpose of this chapter is to establish a fair, open, and efficient method by which the university may, under certain circumstances, contract for the erection and construction of university buildings or improvements thereto, in lieu of other statutorily authorized contracting methods. These rules are intended to protect the best interests of the university and assure the delivery of quality work and products at a reasonable price under the most advantageous terms.

#### NEW SECTION

**WAC 478-350-030 Applicability.** The contracting method set forth in this chapter may be used only when the president of the university finds that all of the following criteria are met:

(1) The buildings or improvements involved are part of the university's intercollegiate athletics facilities, including renovations to Husky Stadium, and have a total project cost in excess of one hundred million dollars;

(2) The design or construction of the building or improvement or its construction schedule may be directly impacted by large construction projects being planned or constructed by other agencies or private developers;

(3) Postponing the building or improvement or delaying it through the use of other contracting methods is likely to have a



significant adverse effect on the operation, mission, or financial interests of the university; and

(4) The building or improvement may benefit from a contracting method that integrates services including but not limited to a developer, designer, construction manager and contractor being on the same team and working collaboratively.

A finding by the university president that a project meets all of the above criteria shall be subject to review by the University of Washington board of regents at their discretion.

#### NEW SECTION

**WAC 478-350-040 Contracting method.** Upon an approved finding that a project meets the criteria set forth above, the president or the president's designee may proceed to conduct a competitive process that is open, fair, and unbiased and results in one or more contracts with a qualified entity or team on the most advantageous terms. The process must include at least the following elements:

(1) RFQ/RFP. Contracts will be awarded through either a Request for Qualifications (RFQ) or a Request for Proposals (RFP) process or a combination thereof. The RFQ/RFP will include a clear description of what the university believes to be most important about the project as well as the weight of selection criteria.

(2) Public notice. The university shall publish at least once in a legal newspaper of general circulation published in, or as near as possible to, that part of the county in which the project will be constructed, a notice of its RFQ/RFP, and information regarding the availability and location of the RFQ/RFP documents.

(3) Selection criteria. Selection criteria shall include, but are not limited to, qualifications of the project team, technical excellence and competence, experience, capacity to accomplish the work, ability to deliver a quality project, past performance of the team or its constituent members, and price or fee, taking into consideration the estimated cost of construction as well as the long-term performance, operation and maintenance of the building or improvement.

(4) Negotiations. The university shall first attempt to negotiate a contract with the entity deemed to have submitted the best overall response. If such negotiations are not successful, the university may proceed to negotiate with the entity deemed to have submitted the next best response.

NEW SECTION

**WAC 478-350-050 Prevailing wages, bonds and retainage.** Any contract awarded pursuant to these rules shall require full compliance with applicable sections of chapters 39.08, 39.12, and 60.28 RCW. The selected entity shall also be encouraged to work closely with the university's business diversity program.