



**UNIVERSITY OF WASHINGTON
Board of Regents**

November 8, 2007

TO: Members of the Board of Regents
Ex-officio Representatives to the Board of Regents

FROM: Michele M. Sams, Secretary of the Board of Regents

RE: Schedule of Meetings

THURSDAY, NOVEMBER 15, 2007

8:30 am–9:05 am 142 Gerberding Hall

**ACADEMIC & STUDENT AFFAIRS
COMMITTEE:** Regents Simon (Chr), Barer, Cole,
Gates, Lennon, Proctor

9:05 am–2:05 pm 142 Gerberding Hall

**ACADEMIC & STUDENT AFFAIRS
COMMITTEE:** Regents Simon (Chr), Barer, Cole,
Gates, Lennon, Proctor

in Joint Session with

**FINANCE, AUDIT AND FACILITIES
COMMITTEE:** Regents Blake (Chr), Barer,
Brotman, Jewell, Kiga, Simon

2:05 pm–2:40 pm 142 Gerberding Hall

**FINANCE, AUDIT AND FACILITIES
COMMITTEE:** Regents Blake (Chr), Barer,
Brotman, Jewell, Kiga, Simon

**3:00 p.m. Petersen Room
Allen Library**

**REGULAR MEETING OF BOARD OF
REGENTS**



**UNIVERSITY OF WASHINGTON
BOARD OF REGENTS**

Academic and Student Affairs Committee
Regents Simon (Chair), Barer, Cole, Gates, Lennon, Proctor
November 15, 2007
8:30–9:05 am, 142 Gerberding Hall

1. **Academic and Administrative Appointments** **ACTION** A-1
Phyllis M. Wise, Provost and Executive Vice President
2. **Establishment of the Executive Master of Public Administration Degree in the Daniel J. Evans School of Public Affairs** **ACTION** A-2
Sandra O. Archibald, Dean and Professor of the Daniel J. Evans School of Public Affairs
Linda Lake, Assistant Dean of the Daniel J. Evans School of Public Affairs
3. **Establishment of a Graduate Certificate Program in Climate Science** **ACTION** A-3
LuAnne Thompson, Associate Professor, School of Oceanography and Interim Director, Program on Climate Change
Becky Alexander, Assistant Professor, Atmospheric Sciences
4. **Proposed Amendments to Washington Administrative Code 478-120, University of Washington Student Conduct Code** **ACTION** A-4
Eric S. Godfrey, Vice Provost for Student Life
5. **Other Business**



UNIVERSITY OF WASHINGTON
BOARD OF REGENTS

Finance, Audit and Facilities Committee
Regents Blake (Chair), Barer, Brotman, Jewell, Kiga, Simon
November 15, 2007
2:05–2:40 pm, 142 Gerberding Hall

- | | | | |
|----|---|--------------------|-----|
| | Actions Taken Under Delegated Authority
Richard Chapman , Associate V. P. for Capital Projects | INFORMATION | F-4 |
| 1. | Quarterly Investment Report
Keith Ferguson , Chief Investment Officer | INFORMATION | F-5 |
| 2. | Metropolitan Tract Quarterly Report
Jeanette L. Henderson , Director of Real Estate
Lisa L. Stewart , Principal, Urbis Partners, LLC | INFORMATION | F-6 |
| 3. | Report of Contributions – September, 2007
Walter G. Dryfoos , Associate V. P., Advancement Services,
Development & Alumni Relations
Connie Kravas , Vice President for Development and Alumni
Relations | INFORMATION | F-1 |
| 4. | Pediatric Dentistry, Sand Point Building 25 – Alternative Public
Works Authorization and Delegated Authority to Award
Contract
Richard Chapman
Eric C. Smith , Director, Capital Projects South, Capital
Projects Office | ACTION | F-3 |
| 5. | Naming of Building 25 at the University of Washington’s Sand
Point Facility the Washington Dental Service Building for Early
Childhood Oral Health
Joel Berg , Chair, Pediatric Dentistry and Visionary/Project
Manager for the Early Childhood Oral Health Project | ACTION | F-7 |
| 6. | UW Medicine ADT/Hospital Billing Status Report
Johnese Spisso , Clinical Operations Officer, UW Medicine
& VPMA, UW Medicine
Ron Johnson , Vice President for Computing and
Communications, Vice Provost | INFORMATION | F-9 |
| 7. | Other Business | INFORMATION | |



**UNIVERSITY OF WASHINGTON
BOARD OF REGENTS**

Academic and Student Affairs Committee
Regents Simon (Chr), Barer, Cole, Gates, Lennon, Simon

In Joint Sessions With

Members of the Finance, Audit and Facilities Committee
Regents Blake (Chr), Barer, Brotman, Jewell, Kiga, Simon
November 15, 2007
9:05 am–2:05 pm, 142 Gerberding Hall

1. **College of the Environment** **INFORMATION** A-5
Phyllis M. Wise, Provost and Executive Vice President
2. **UW North Campus Report** **INFORMATION** A-6
Ana Mari Cauce, Executive Vice Provost
Lee L. Huntsman, President Emeritus
3. **Interim Adjustment to the Consolidated Endowment Fund
(CEF) Investment Policy** **ACTION** F-8
Keith Ferguson, Chief Investment Officer
4. **Executive Sessions** (to discuss with legal counsel representing
the University legal risks of a proposed action or current
practice that the University has identified when public
discussion of the legal risks is likely to result in an adverse
legal or financial consequence to the agency)
5. **Board Logistics** **INFORMATION**
Stanley H. Barer, Chair, Board of Regents
6. **Executive Sessions**
(To review the performance of public employees)
7. **Other Business**



AGENDA

BOARD OF REGENTS University of Washington

November 15, 2007

3:00 p.m. – Petersen Room, Allen Library

(Item No.)

I. CALL TO ORDER

II. ROLL CALL

III. CONFIRM AGENDA

IV. REPORT OF THE CHAIR OF THE BOARD OF REGENTS: Regent Barer

Resolution Regarding Schedule of Regular Board Meetings in 2008

BP-1

V. REPORT OF THE UNIVERSITY PRESIDENT: Dr. Emmert

VI. CONSENT AGENDA

Approval of Minutes of Meeting of September 20, and October 18, 2007

Establishment of the Executive Master of Public Administration Degree in the
Daniel J. Evans School of Public Affairs

A-2

Establishment of a Graduate Certificate Program in Climate Science

A-3

Proposed Amendments to Washington Administrative Code 478-120,
University of Washington Student Conduct Code

A-4

Grant and Contract Awards – August, 2007

F-2

Pediatric Dentistry, Sand Point Building 25 – Alternative Public Works
Authorization and Delegated Authority to Award Contract

F-3

Naming of Building 25 at the University of Washington's Sand Point Facility
the Washington Dental Service Building for Early Childhood Oral Health

F-7

Interim Adjustment to the Consolidated Endowment Fund (CEF) Investment
Policy

F-8

VII. STANDING COMMITTEES**A. Academic and Student Affairs Committee: Regent Simon – Chair**

Academic and Administrative Appointments (ACTION) A-1

*Joint Session***A. Academic and Student Affairs Committee: Regent Simon – Chair****B. Finance and Audit Committee: Regent Blake - Chair**

College of the Environment (Information only) A-5

UW North Campus Report (Information only) A-6

B. Finance, Audit and Facilities Committee: Regent Blake – Chair

Report of Contributions – September, 2007 (Information only) F-1

Actions Taken Under Delegated Authority (Information only) F-4

Quarterly Investment Report (Information only) F-5

Metropolitan Tract Quarterly Report (Information only) F-6

UW Medicine ADT/Hospital Billing Status Report (Information only) F-9

VIII. OTHER BUSINESS

Reports from ex-officio representatives to the Board:

Faculty Senate Chair – Professor Dan Luchtel**ASUW President – Mr. Tyler J. Dockins****GPSS President – Mr. David B. Brown****Alumni Association President – Mr. Norm Proctor****IX. DATE FOR NEXT REGULAR MEETING: December 6, 2007****X. ADJOURNMENT**

MINUTES

**BOARD OF REGENTS
University of Washington
November 15, 2007**

The Board of Regents held its regular meeting on Thursday, November 15, 2007, beginning at 3:00 p.m. in the Petersen Room of the Allen Library. The notice of the meeting was appropriately provided to the public and the press.

CALL TO ORDER

ROLL CALL

Assistant Secretary Keith called the roll: Present were Regents Simon (presiding), Blake, Cole, Gates, Jewell, Kiga, Lennon; Dr. Emmert, Dr. Wise, Ms. Warren, Ms. Sams; ex-officio representatives: Professor Luchtel, Mr. Dockins, Mr. Brown, Mr. Proctor.

Absent: Regents Barer, Brotman, Proctor

CONFIRM AGENDA

The agenda was confirmed as presented.

REPORT OF THE CHAIR OF THE BOARD OF REGENTS: Regent Simon

Regent Simon asked Regent Kiga to give a report on the proposed increase to the President's compensation. Regent Kiga indicated the Board of Regents delegated to Regent Barer and himself the responsibility for developing a recommendation with respect to the 2007–2008 compensation for President Mark Emmert. Ms. Mindy Kornberg, Vice President for Human Resources, assisted the Board by compiling data on compensation packages for university president at the Peer 8 and Peer 24 Comparison Groups. Similar information was gathered from the top 10 public universities in the United States. Based on the performance review of President Emmert, which was conducted during summer 2007, the Board agreed President Emmert is one of the top public university presidents in the United States. Therefore, it was the Board's recommendation that President Emmert's base salary be increased from \$518,700 to \$620,000; that his UW retirement plan employer contribution be increased by \$500, and his deferred compensation increased from \$200,000 to \$250,000. Taking into consideration all elements, the President's compensation would now total \$905,000.

MOTION: Upon the motion made by Regent Cole, and second by Regent Gates, the Board voted unanimously to approve the Third Amendment to the Employment Contract for University of Washington President Mark A. Emmert as noted below. Regent Lennon abstained from the discussion and vote.

1. The President's annual salary shall be increased to \$620,000, effective September 1, 2007.

2. In addition to the deferred compensation program provided in the contract, a third program of deferred compensation will be provided, with the following elements:
 - a. On, or as soon as practical after December 1, 2007, and each June 14 thereafter through the period of the President's service in the position of President of the University, deferred compensation in the amount of \$50,000 will be credited to a University account established for that purpose. The President shall not have a vested right to any amount so credited in any given year, or any investment earnings thereon, unless and until he has completed five additional years of service as President of the University from the date of such credit, except that:
 - (1) in the event his service as President is terminated by the Board for a reason that would constitute neither a breach of this contract nor grounds for loss of his faculty appointment under section E of the contract, he shall be entitled to the balance in the account; and
 - (2) in the event his service as President is terminated due to his disability or death, he (or his designated beneficiary) will be entitled to the amount of the account balance.

Regent Cole stated the discussions about the President's compensation were extensive and thorough and the increase in compensation is a fair reflection of his performance. Regent Gates confirmed the Board is comfortable with the level of compensation for President Emmert, and said he is one of the best presidents in the country.

On a different topic, Regent Simon reported that earlier in the day the Regents agreed to invite student leaders from both UW Tacoma and UW Bothell to report to the Board on a quarterly basis. This will be an opportunity for them to bring up any issues or concerns they might have, or simply to talk about projects in which they are involved. Regent Simon noted the idea was brought forth by Regent Lennon and agreed to by the entire Board.

Resolution Regarding Schedule of Regular Board Meetings in 2008 (Agenda no. BP-1)

MOTION: Upon the recommendation of the Vice Chair of the Board and the motion made by Regent Kiga, seconded by Regent Jewell, the Board voted to approve the Resolution Regarding Schedule of Regular Board Meetings in 2008.

See Attachment BP-1.

REPORT OF THE UNIVERSITY PRESIDENT: Dr. Emmert

President Emmert thanked the Board of Regents for his new compensation package and said he appreciated their vote of confidence.

Dr. Emmert noted as of Friday, November 9, the University of Washington had two students selected to be Marshall Scholarship Finalists. There were only two universities in the western part of the U.S. that had two of their candidates go forward, the University of Washington and Stanford University. UW student Jeffrey Eaton was chosen as a

winner. The Marshall Scholarship provides full support for up to three years of study in any discipline at any university in the United Kingdom. Mr. Eaton will attend the Imperial College in London.

President Emmert updated the Board on searches for several deans, namely the schools of Law and Nursing and the College of Arts and Sciences. He noted good progress is being made on all three of the searches.

President Emmert then introduced Professor Christopher Murray, Director of the Institute for Health Metrics and Evaluation at the University of Washington and Professor of Global Health in the UW School of Medicine. Professor Murray holds a B.A. from Harvard College, a Ph.D. from Oxford University and an M.D. from Harvard Medical School. The Institute is a new research center that will conduct independent and rigorous evaluations of health programs worldwide.

The Institute for Health Metrics and Evaluation has a portfolio of grants that will support it, along with the largest gift in University of Washington history—a \$105 million grant from the Bill and Melinda Gates Foundation.

Professor Murray noted the work of the Institute is to dramatically improve over the next decade or two the availability of good health information. The Institute will focus on three main areas:

1. Health monitoring: Collecting and analyzing data on health indicators and trends, such as the prevalence of major diseases and the availability of health services;
2. Program evaluation: Conducting independent, rigorous evaluations of the results and effectiveness of health programs.
3. Dissemination: Making health data and information freely available to decision-makers, researchers and the public.

Professor Murray described activities of the Institute, some of which are already underway and others that will be soon. He also provided an update on the names of individuals from around the globe who will serve on the Institutes' nine-member advisory board. It is expected the first advisory board meeting will be held sometime in April 2008, when there will be a public launch and open seminars.

A brief period of questions and answers followed Professor Murray's presentation.

CONSENT AGENDA

Regent Simon noted there were 9 items for approval on the consent agenda, and called for a motion.

MOTION: Upon the recommendation of the Vice Chair of the Board and the motion made by Regent Kiga, seconded by Regent Jewell, the Board voted to approve the 9 items on the consent agenda as shown below:

Minutes for the meeting of September 20, and October 18, 2007

Establishment of the Executive Master of Public Administration Degree in the Daniel J. Evans School of Public Affairs (Agenda no. A-2)

It was the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents grant authority to the Daniel J. Evans School of Public Affairs to offer the Executive Master of Public Administration (Executive MPA) degree program, effective immediately. The Executive MPA degree program will have provisional status with a review to be scheduled in the 2011-2012 academic year. At such time that the Board of Regents grants continuing status, a ten-year review cycle would begin.

See Attachment A-2.

Establishment of a Graduate Certificate Program in Climate Science (Agenda no. A-3)

It was the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents grant authority to the Program on Climate Change to offer the Graduate Certificate in Climate Science, effective immediately. The graduate certificate program will have provisional status with a review to be scheduled in the 2011-2012 academic year. At such time that the Board of Regents grants continuing status, a ten-year review cycle would begin.

See Attachment A-3.

Proposed Amendments to Washington Administrative Code 478-120, University of Washington Student Conduct Code (Agenda no. A-4)

It was the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents adopt the attached amendments to the Washington Administrative Code 478-120, the University of Washington Student Conduct Code.

See Attachment A-4.

Grant and Contract Awards – August, 2007 (Agenda no. F-2)

It was the recommendation of the administration and the Finance, Audit and Facilities Committee that the Board of Regents accept Grant and Contract Awards for the month of July and August, in the total amount of \$144,496,300.

See Attachment F-2.

Pediatric Dentistry, Sand Point Building 25 – Alternative Public Works Authorization and Delegated Authority to Award Contract (Agenda no. F-3)

It was the recommendation of the administration and the Finance, Audit and Facilities Committee that the use of alternative public works utilizing the GC/CM method of contracting be approved for use on the Pediatric Dental Clinic to be located in Sand Point Building 25. It is further recommended that the President be delegated the authority to award the GC/CM contract.

See Attachment F-3.

Naming of Building 25 at the University of Washington's Sand Point Facility the Washington Dental Service Building for Early Childhood Oral Health (Agenda no. F-7)

The administration and the Finance, Audit and Facilities Committee recommended that Building #25 of the UW's Sand Point facility be named the "Washington Dental Service Building for Early Childhood Oral Health" conditioned upon an approved gift. The naming term will be for 30 years from the gift receipt date, with right for continuation subject to subsequent review and approval by the Board of Regents. If, at any time, the organization is sold or reorganized under a different name, the naming agreement terminates subject to further consideration by the Regents.

See Attachment F-7.

Interim Adjustment to the Consolidated Endowment Fund (CEF) Investment Policy (Agenda no. F-8)

It was the recommendation of the University of Washington Investment Committee (UWINCO) and the Finance, Audit and Facilities Committee (FAF) that the Board of Regents approve an interim adjustment to the CEF policy asset allocation range for the emerging markets strategy. The interim adjustment extends the upper limit of the range from 15% to 25%. This exception to policy expires June 30, 2008.

See Attachment F-8.

STANDING COMMITTEES

ACADEMIC AND STUDENT AFFAIRS COMMITTEE: Regent Simon, Chair

At the request of Regent Simon, Provost Wise highlighted appointments where an administrator, professorship, dean or chair was included.

Academic and Administrative Appointments (Agenda no. A-1)

MOTION: Upon the recommendation of the administration and the motion made by Regent Cole, seconded by Regent Jewell, the Board voted to approve the personnel appointments. Regent Lennon abstained from the discussion and vote.

Joint Session

A. ACADEMIC AND STUDENT AFFAIRS COMMITTEE: Regent Simon, Chair

B. FINANCE, AUDIT AND FACILITIES: Regent Blake - Chair

Regent Simon noted Provost Wise gave an excellent introduction on the developments of a possible College of the Environment, noting updates on this endeavor will be presented to the committees as they develop.

Regent Simon said the committees also heard about the challenges involved in creating a University of Washington North Campus, including funding and student demand. The committees will be updated on this endeavor at future meetings.

College of the Environment (Agenda no. A-5) See Attachment A-5.

UW North Campus Report (Agenda no. A-6) See Attachment A-6.

FINANCE, AUDIT AND FACILITIES COMMITTEE: Regent Blake, Chair

Regent Blake highlighted an excellent presentation by Ms. Johnese Spisso, Clinical Operations Officer, UW Medicine and Vice President for Medical Affairs, UW Medicine, and Dr. Ron Johnson, Vice President for Computing and Communications and Vice Provost, on various IT projects that are ongoing at UW Medicine (See Attachment F-9). In particular, the committees heard about the ORCA Project and the Lawson Project. The teams working on these projects are ahead of schedule and meeting each milestone. The Regents also received an update on the plans for the UW Medical Center billing system. Regent Blake noted the funds being invested appear to be well spent, and validation from outside consulting sources is very encouraging.

Regent Blake noted action was taken on a naming Building 25 at the University of Washington's Sand Point Facility the Washington Dental Service Building for Early Childhood Oral Health (See Attachment F-3). She said she felt enlightened in terms of how significant is the issue of children getting appropriate medical and dental treatment. She said it is great to have the participation of Washington Dental Service in helping the University of Washington in this important endeavor. She noted that at the committee level, Regent Kiga abstained from voting on the item because he serves on the Board of Directors of Washington Dental Service.

Regent Blake noted there was unanimous approval of the Interim Adjustment to the Investment Policy (See Attachment F-8) to reflect the University's investment teams' strong confidence in the returns the University received. The team believes the University of Washington will continue to receive good returns, and an update from the investment team on formalizing a policy regarding investment allocations will occur in the spring.

Report of Contributions - September, 2007 (Agenda no. F-1) (Information only)

See Attachment F-1

Actions Taken Under Delegated Authority (Agenda no. F-4) (Information only)

See Attachment F-4.

Quarterly Investment Report (Agenda no. F-5) (Information only)

See Attachment F-5.

Metropolitan Tract Quarterly Report (Agenda no. F-6) (Information only)

See Attachment F-6.

UW Medicine ADT/Hospital Billing Status Report (Agenda no. F-9) (Information only)

See Attachment F-9.

REPORTS FROM EX OFFICIO REPRESENTATIVES TO THE BOARD OF REGENTS

Faculty Senate Chair: Professor Dan Luchtel

Professor Luchtel reported he and Professor David Lovell, Vice Chair of the Faculty Senate, met earlier in the day with Regents Blake and Jewell, and said he believes the relationship will prove to be a valuable resource to the Faculty Senate on how best to proceed with the issue of faculty salaries. Professor Luchtel said there is a lot of variation in how well a faculty member is paid at the UW depending on the department and college in which he or she is a member. He emphasized the need for transparency with respect to how salary policies work at the University of Washington and precisely how salaries are distributed. He noted he and Professor Lovell look forward to working on the matter and receiving additional input from Regents Blake and Jewell.

Professor Luchtel then distributed an article from the September 14, 2007, *Chronicle of Higher Education* entitled, "For Love, Not Money." The article describes how increases in housing costs are seriously affecting faculty across the country. They are spending an ever larger portion of their incomes on housing, enduring longer commutes, taking on more debt, raiding their savings, working longer hours, or taking on second jobs. Being a faculty member has become a less pleasant occupation than it used to be, which makes graduate education less attractive. The number of US citizens earning doctoral degrees has decreased by 6% between 2000 and 2005 and the number of Americans earning Ph.D. in engineering has dropped by 10% and in the social sciences and humanities a decrease of 11.7% and 12%, respectively. The goal of graduate education used to be to train and mentor students who would then pursue careers in academia. Rather than pursue careers in academic, Ph.D. faculty are going to work in corporate laboratories, regulatory agencies like the EPA or independent consulting.

President Emmert concurred with Professor Luchtel. It is a very difficult issue and one of which he, Provost Wise and the Faculty Senate are in complete agreement.

ASUW President: Mr. Tyler J. Dockins

Mr. Dockins began by introducing Mr. Wil Johnson, President of the ASUW Tacoma, who attended all of the day's meetings. Mr. Dockins complimented Regent Lennon for her excellent work in bringing student leaders from all three campuses together. As a result, Mr. Dockins said there will be consolidated efforts by the student leaders with respect to the upcoming legislative session. He also reported a childcare summit would be held on Saturday, November 17, which will bring together stakeholders from around the state, including 2- and 4-year institutions and community and technical colleges to work on a plan relative to childcare for the legislative session.

GPSS President: Ms. David B. Brown

Mr. Brown also concurred with Professor Luchtel with respect to faculty salaries. He said he looks forward to working with the Faculty Senate and the administration on the issue. Mr. Brown reported the Senate passed its legislative agenda for the year and one

of its issues is affordable and accessible housing for undergraduate, graduate and professional students.

Mr. Brown noted the Graduate and Professional Senate is looking forward to its December 7, Summit on Investment in Graduate and Professional Education in Washington State. He announced prominent individuals who would participate in the summit, including Mr. Brad Smith, General Counsel at Microsoft, who will present the keynote address, Regent Jewell, who will moderate the panel, and Ann Daly, Executive Director of the Higher Education Coordinating Board and Professor Matt O'Donnell, Dean of the Engineering.

Alumni Association President: Mr. Norm Proctor

Mr. Proctor complimented the Board of Regents for what it did to compensate and retain President Emmert. He reported Dr. Emmert recently spoke at an Alumni Association Board meeting, where he received a well-deserved standing ovation.

Mr. Proctor reported "Husky Pride" is again the Alumni Association's theme for the month of November. The Association believes that the best way for alumni and friends to show their Husky Pride is to become an alumni member. Mr. Proctor reported the Alumni Association has over 50,000 members and makes up one of the largest alumni associations in the United States. Mr. Proctor then summarized the year in terms of the Association's accomplishments. In particular, he noted the Association has reached 31% in paid membership among alumni in the first year after college. He also listed the awards received by the Association and its staff members.

DATE FOR NEXT MEETING

The December 6, meeting was canceled. The next regular meeting of the Board of Regents will be held on Thursday, January 17.

ADJOURNMENT

The regular meeting was adjourned at 4:30 p.m.



Michele M. Sams
Secretary of the Board of Regents

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Academic and Administrative Appointments

RECOMMENDED ACTION:

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents approve the appointments to the University faculty and administration as presented on the attached list.

Attachment: Personnel Recommendations

COLLEGE OF ARTS AND SCIENCES

DEPARTMENT OF BIOLOGY

NEW APPOINTMENTS

MUNRO, EDWIN

(BA, 1987, HAMPSHIRE COLLEGE; PHD, 2000, UNIVERSITY OF WASHINGTON) TO BE RESEARCH ASSISTANT PROFESSOR OF BIOLOGY AT A SALARY RATE OF \$64,800 OVER TWELVE MONTHS, EFFECTIVE 9/16/2007. (PRIOR TO THIS APPOINTMENT, DR. MUNRO WAS A RESEARCH ASSOCIATE IN THE SAME DEPARTMENT.)

VON DASSOW, GEORGE

(BS, 1992 UNIVERSITY OF WASHINGTON; PHD, 2000, UNIVERSITY OF WASHINGTON) TO BE RESEARCH ASSISTANT PROFESSOR OF BIOLOGY AT A SALARY RATE OF \$64,800 OVER TWELVE MONTHS, EFFECTIVE 9/16/2007. (PRIOR TO THIS APPOINTMENT, DR. VON DASSOW WAS A RESEARCH ASSOCIATE IN FRIDAY HARBOR LABORATORIES.)

MICHAEL G. FOSTER SCHOOL OF BUSINESS

DEPARTMENT OF ACCOUNTING

NEW APPOINTMENTS

LEBAS, MICHEL J.

(MBA, 1969, DARTMOUTH COLLEGE) TO BE VISITING PROFESSOR OF ACCOUNTING WITHOUT SALARY FROM THE UNIVERSITY, EFFECTIVE 9/16/2007. (MR. LEBAS IS PROFESSOR EMERITUS OF ACCOUNTING AT THE ECOLE DES HAUTES ETUDES COMMERCIALES, PARIS.)

COLLEGE OF ENGINEERING

DEPARTMENT OF CIVIL AND ENVIRONMENTAL ENGINEERING

NEW APPOINTMENTS

MCCORMACK, EDWARD DONALD

(BA, 1979, UNIVERSITY OF WASHINGTON; MS, 1985, UNIVERSITY OF WASHINGTON; PHD, 1997, UNIVERSITY OF WASHINGTON) TO BE RESEARCH ASSISTANT PROFESSOR, PART-TIME, OF CIVIL AND ENVIRONMENTAL ENGINEERING AT A SALARY RATE OF \$43,860 OVER TWELVE MONTHS, EFFECTIVE 10/16/2007. (PRIOR TO THIS APPOINTMENT DR. MCCORMACK WAS A SENIOR RESEARCH ENGINEER FOR THE WASHINGTON STATE TRANSPORTATION CENTER.)

COLLEGE OF FOREST RESOURCES

FOREST RESOURCES

NEW APPOINTMENTS

KOBAYASHI, TATSUAKI

(BS, 1981, KYOTO UNIVERSITY (JAPAN); MS, 1983, KYOTO UNIVERSITY (JAPAN); PHD, 1993, KYOTO UNIVERSITY (JAPAN)) TO BE VISITING PROFESSOR OF FOREST RESOURCES WITHOUT SALARY FROM THE UNIVERSITY, EFFECTIVE 10/18/2007. (PROFESSOR KOBAYASHI IS VISITING FROM CHIBA UNIVERSITY IN JAPAN.)

SCHOOL OF DENTISTRY

DEPARTMENT OF RESTORATIVE DENTISTRY

ADMINISTRATIVE APPOINTMENTS

WATAHA, JOHN C

(BS, 1978, STANFORD UNIVERSITY; DMD, 1982, OREGON HEALTH SCIENCES UNIVERSITY; PHD, 1992, UNIVERSITY OF MICHIGAN) TO BE CHAIR AND PROFESSOR OF RESTORATIVE DENTISTRY AND ADJUNCT PROFESSOR OF ORAL BIOLOGY, EFFECTIVE 1/1/2008. (CURRENTLY DR. WATAHA IS A PROFESSOR OF ORAL REHABILITATION AND ORAL BIOLOGY AND MAXILLOFACIAL PATHOLOGY AT THE MEDICAL COLLEGE OF GEORGIA.)

SCHOOL OF MEDICINE

DEPARTMENT OF MEDICINE

NEW APPOINTMENTS

CHOI, SUN JU

(MD, 1994, YONSEI UNIVERSITY (KOREA); MS, 1997, YONSEI UNIVERSITY (KOREA); PHD, 2000, YONSEI UNIVERSITY (KOREA)) TO BE VISITING ASSISTANT PROFESSOR OF MEDICINE AT A SALARY RATE OF \$26,058 OVER TWELVE MONTHS, EFFECTIVE 10/15/2007. (DR. CHOI IS AN ASSISTANT PROFESSOR AT YONSEI UNIVERSITY IN KOREA.)

PARIMON, TANYALAK

(BS, 1989, MAHIDOL UNIVERSITY (THAILAND); MD, 1993, LYCEUM-NORTHWESTERN UNIVERSITY) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF MEDICINE PAID DIRECT BY VETERANS AFFAIRS PUGET SOUND HEALTH CARE SYSTEM EFFECTIVE 10/1/2007. (PRIOR TO THIS APPOINTMENT, DR. PARIMON WAS A RESIDENT IN THE SAME DEPARTMENT.)

DEPARTMENT OF NEUROLOGICAL SURGERY

NEW APPOINTMENTS

HEVNER, ROBERT

(BS, 1983, UNIVERSITY OF MICHIGAN; PHD, 1991, MEDICAL COLLEGE OF WISCONSIN; MD, 1992, MEDICAL COLLEGE OF WISCONSIN) TO BE ASSOCIATE PROFESSOR WITHOUT TENURE OF NEUROLOGICAL SURGERY AND ASSOCIATE PROFESSOR WITHOUT TENURE OF PATHOLOGY AT A SALARY RATE OF \$210,000 OVER TWELVE MONTHS, EFFECTIVE 10/1/2007. (PRIOR TO THIS APPOINTMENT DR. HEVNER WAS AN ASSOCIATE PROFESSOR WITHOUT TENURE IN PATHOLOGY.)

DEPARTMENT OF PEDIATRICS

NEW APPOINTMENTS

KNOX, ISABELLA

(BA, 1975, BRYN MAWR COLLEGE; MD, 1979, UNIVERSITY OF PENNSYLVANIA) TO BE ASSOCIATE PROFESSOR WITHOUT TENURE OF PEDIATRICS AT A SALARY RATE OF \$120,000 OVER TWELVE MONTHS, EFFECTIVE 11/1/2007. (PRIOR TO THIS APPOINTMENT, DR. KNOX WAS AN ASSOCIATE PROFESSOR AT THE UNIVERSITY OF CONNECTICUT.)

REID, JENNIFER ROBIN

(BA, 1993, COLUMBIA UNIVERSITY; MD, 1998, UNIVERSITY OF WASHINGTON) TO BE ASSISTANT PROFESSOR WITHOUT TENURE, PART-TIME, OF PEDIATRICS AND ADJUNCT ASSISTANT PROFESSOR OF MEDICINE PAID DIRECT BY CHILDREN'S HOSPITAL AND REGIONAL MEDICAL CENTER EFFECTIVE 10/15/2007. (PRIOR TO THIS APPOINTMENT, DR. REID WAS A FELLOW IN THE SAME DEPARTMENT.)

VERNON, MARGARET MACMILLAN

(BS, 1996, CORNELL UNIVERSITY; MD, 2000, UNIVERSITY OF ROCHESTER) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF PEDIATRICS AT A SALARY RATE OF \$77,040 OVER TWELVE MONTHS, EFFECTIVE 10/1/2007. (PRIOR TO THIS APPOINTMENT, DR. VERNON WAS AN ACTING ASSISTANT PROFESSOR IN THE SAME DEPARTMENT.)

DEPARTMENT OF PSYCHIATRY AND BEHAVIORAL SCIENCES

NEW APPOINTMENTS

MURIAS, MICHAEL ANDREW

(BS, 1992, UNIVERSITY OF OREGON; MS, 1996, UNIVERSITY OF OREGON; PHD, 2004, UNIVERSITY OF CALIFORNIA (IRVINE)) TO BE RESEARCH ASSISTANT PROFESSOR OF PSYCHIATRY AND BEHAVIORAL SCIENCES AT A SALARY RATE OF \$68,004 OVER TWELVE MONTHS, EFFECTIVE 11/1/2007. (PRIOR TO THIS APPOINTMENT, DR. MURIAS WAS A SENIOR FELLOW IN THE SAME DEPARTMENT.)

DEPARTMENT OF RADIOLOGY

NEW APPOINTMENTS

BRESNAHAN, BRIAN WILLIAM

(BS, 1990, MOUNT SAINT MARY COLLEGE (NEW YORK); PHD, 1995, UNIVERSITY OF GEORGIA) TO BE RESEARCH ASSISTANT PROFESSOR OF RADIOLOGY AT A SALARY RATE OF \$85,008 OVER TWELVE MONTHS, EFFECTIVE 11/1/2007. (PRIOR TO THIS APPOINTMENT, DR. BRESNAHAN WAS A SENIOR FELLOW TRAINEE IN THE DEPARTMENT OF PHARMACY.)

KLEINHANS, NATALIA MARIA

(BA, 1995, UNIVERSITY OF CALIFORNIA (BERKELEY); MS, 2003, SAN DIEGO STATE UNIVERSITY; PHD, 2005, UNIVERSITY OF CALIFORNIA (SAN DIEGO)) TO BE RESEARCH ASSISTANT PROFESSOR OF RADIOLOGY AT A SALARY RATE OF \$85,008 OVER TWELVE MONTHS, EFFECTIVE 11/1/2007. (PRIOR TO THIS APPOINTMENT, DR. KLEINHANS WAS A SENIOR FELLOW IN THE SAME DEPARTMENT.)

VALJI, KARIM

(BA, 1978, HARVARD UNIVERSITY; MD, 1982, HARVARD UNIVERSITY) TO BE PROFESSOR WITHOUT TENURE OF RADIOLOGY AT A SALARY RATE OF \$126,000 OVER TWELVE MONTHS, EFFECTIVE 11/1/2007. (PRIOR TO THIS APPOINTMENT, DR. VALJI WAS PROFESSOR OF RADIOLOGY AT THE UNIVERSITY OF CALIFORNIA, SAN DIEGO.)

SCHOOL OF NURSING

DEPARTMENT OF FAMILY AND CHILD NURSING

NEW APPOINTMENTS

WARD, TERESA

(BSN, 1994, ALLENTOWN COLLEGE OF ST FRANCIS DE SALES; MSN, 2000, DREXEL UNIVERSITY; PHD, 2006, UNIVERSITY OF CALIFORNIA (SAN FRANCISCO)) TO BE ASSISTANT PROFESSOR OF FAMILY AND CHILD NURSING AT A SALARY RATE OF \$74,358 OVER NINE MONTHS, EFFECTIVE 9/16/2008. (DR. WARD IS CURRENTLY A SENIOR FELLOW IN BIOBEHAVIORAL NURSING AND HEALTH SYSTEMS.)

SCHOOL OF PHARMACY

DEPARTMENT OF PHARMACY

ENDOWED APPOINTMENTS

LAM, ANNIE

(BA, 1971, UNIVERSITY OF OREGON; BSC, 1995, UNIVERSITY OF WASHINGTON; PharmD, 1997, UNIVERSITY OF WASHINGTON) TO BE HOLDER OF THE SHIRLEY AND HERB BRIDGE ENDOWED PROFESSORSHIP FOR WOMEN IN PHARMACY OVER TWELVE MONTHS, EFFECTIVE 12/1/2007. (DR. LAM WILL CONTINUE AS SENIOR LECTURER OF PHARMACY.)

ODEGARD, PEGGY

(BS, 1985, UNIVERSITY OF WASHINGTON; PharmD, 1990, UNIVERSITY OF WASHINGTON) TO BE HOLDER OF THE SHIRLEY AND HERB BRIDGE ENDOWED PROFESSORSHIP FOR WOMEN IN PHARMACY OVER TWELVE MONTHS, EFFECTIVE 12/1/2007. (PROFESSOR ODEGARD WILL CONTINUE AS ASSOCIATE PROFESSOR OF PHARMACY.)

UNIVERSITY OF WASHINGTON, TACOMA

EDUCATION PROGRAM

ADMINISTRATIVE APPOINTMENTS

RIOS, JOSE

(BA, 1985, OBERLIN COLLEGE; MS, 1991, UNIVERSITY OF WISCONSIN-MADISON; PHD, 1995, UNIVERSITY OF WISCONSIN-MADISON) TO BE CO-DIRECTOR OF THE EDUCATION PROGRAM, EFFECTIVE 10/1/2007. (DR. RIOS WILL CONTINUE AS ASSOCIATE PROFESSOR OF EDUCATION-TACOMA.)

INTERDISCIPLINARY ARTS AND SCIENCES PROGRAM

NEW APPOINTMENTS

BAKER, JOEL

(BS, 1981, MIAMI UNIVERSITY (OHIO); MS, 1985, STATE UNIVERSITY OF NEW YORK (SYRACUSE); PHD, 1988, UNIVERSITY OF MINNESOTA) TO BE PROFESSOR OF THE INTERDISCIPLINARY ARTS AND SCIENCES PROGRAM AT A SALARY RATE OF \$109,998 OVER NINE MONTHS, EFFECTIVE 1/7/2008 AND HOLDER OF THE PORT OF TACOMA ENDOWED CHAIR. (CURRENTLY, DR. BAKER IS A PROFESSOR AT THE UNIVERSITY OF MARYLAND CENTER FOR ENVIRONMENTAL SCIENCE.)

THOMPSON, RIKI ELLEN

(BA, 1996, UNIVERSITY OF WASHINGTON; MA, 2002, UNIVERSITY OF WASHINGTON; PHD, 2007, UNIVERSITY OF WASHINGTON) TO BE ASSISTANT PROFESSOR OF THE INTERDISCIPLINARY ARTS AND SCIENCES PROGRAM AT A SALARY RATE OF \$56,502 OVER NINE MONTHS, EFFECTIVE 9/1/2007. (PHD AWARDED AUGUST 17, 2007.)

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Establishment of the Executive Master of Public Administration Degree in the Daniel J. Evans School of Public Affairs

RECOMMENDED ACTION

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents grant authority to the Daniel J. Evans School of Public Affairs to offer the Executive Master of Public Administration (Executive MPA) degree program, effective immediately. The Executive MPA degree program will have provisional status with a review to be scheduled in the 2011-2012 academic year. At such time that the Board of Regents grants continuing status, a ten-year review cycle would begin.

BACKGROUND

In January, 2007, the Graduate School received a proposal from the Daniel J. Evans School of Public Administration requesting authority to offer a distinct Executive Master of Public Administration (Executive MPA) degree program. The degree title distinguishes differences in the program's curriculum, student audience of senior-level professionals, leadership focus, and delivery model. The program provides advanced public policy and management education to experienced public service professionals. A comparable degree program is not offered in Washington State.

In 2003, the HECB approved an Executive program as an extension to the Evans School's Master of Public Administration (MPA) degree. The School admitted the first Executive cohort in 2003, with the fourth cohort admitted in September 2006. The demand for Executive Education in public affairs has grown dramatically in recent years prompting the National Association of Schools of Public Affairs and Administration (NASPAA) to encourage the differentiation of Executive degree programs where appropriate. In July 2006, the Evans School earned NASPAA accreditation for the Master of Public Administration degree program. The Commission on Peer Review and Accreditation (COPRA) then determined that the Executive program was sufficiently different from the traditional MPA degree to merit its own degree. The Evans School has offered an MPA degree program for over 45 years and is well-positioned to launch an Executive MPA program to serve the needs of Washington State.

The Executive MPA is a 45-quarter credit program with an intensive multimodal 18-month format. It focuses on enriching existing competencies of senior managers to effectively develop public program priorities, design and monitor complex financing options for public investments, manage financial, capital and human resources efficiently in an increasingly complex cross sector environment, and to enhance strategic communications within organizations and with key stakeholders. Developing techniques for improving operations and

STANDING COMMITTEES

A. Academic and Student Affairs Committee

Establishment of the Executive Master of Public Administration Degree in the Daniel J. Evans School of Public Affairs (continued p. 2)

service delivery by streamlining operations through innovation and technology is emphasized. Leadership development is a key component.

On May 31, 2007, the Graduate School Council considered the Executive MPA proposal and recommended that it be forwarded to the Higher Education Coordinating Board for review and approval. The Vice Provost and Dean of the Graduate School concurred with the Council's recommendation.

On October 25, 2007, the Higher Education Coordinating Board in Resolution No. 07-20 approved the proposal to offer the Executive Master of Public Administration. It supports the role and mission of the University of Washington by providing students the opportunity to earn a graduate degree which has broad community impact and will meet student, employer and community needs. The program's targeting and recruitment plan is well-defined and builds on existing UW programs. The program costs are reasonable.

The Vice Provost and Dean of the Graduate School, the Dean of the Daniel J. Evans School of Public Affairs, and the Provost have reviewed and approved the recommendation.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Establishment of a Graduate Certificate Program in Climate Science

RECOMMENDED ACTION

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents grant authority to the Program on Climate Change to offer the Graduate Certificate in Climate Science, effective immediately. The graduate certificate program will have provisional status with a review to be scheduled in the 2011-2012 academic year. At such time that the Board of Regents grants continuing status, a ten-year review cycle would begin.

BACKGROUND

On September 19, 2007, the Graduate School received a proposal from graduate faculty in the Program on Climate Change (PCC) requesting authority to offer a Graduate Certificate in Climate Science. The PCC is an interdisciplinary program established in 2001 under the University Initiative Fund (UIF). Its mission is to facilitate and coordinate UW interdisciplinary climate science research, graduate education and outreach. The Department of Atmospheric Sciences, the Department of Earth and Space Sciences, and the School of Oceanography are core units involved with the PCC. It draws also on other related units. The PCC has a budget of \$500K/year for student and faculty recruitment, meetings and visitors, and administration. It is currently overseen by the Board of Environmental Deans.

The science of the climate system combines fluid dynamics, chemistry, radiation physics, geology, biology, and mathematical modeling and analysis skills. The Graduate Certificate in Climate Science will provide interdisciplinary training in methods, research issues, and communication of climate science that enhances the scientific breadth and professional employability of graduate students. The PCC courses are specifically designed to address the cross-linkages in the earth system that disciplinary curricula are not able to do. The graduate certificate program will combine the interdisciplinary reach of the PCC courses with a unique individually-designed capstone project on communicating climate science. It will help recruit students to the UW whose interest is in climate science and provide a formal mechanism to recognize students' course work. Learning outcomes for certificate recipients will include designing and implementing a project in communication of climate science; becoming knowledgeable in the broad area of climate science and the ability to communicate that knowledge to a wide variety of audiences; and providing practice in effective communication and organizational skills.

No additional funding will be required to offer the Graduate Certificate in Climate Science. It will rely on courses that the Program on Climate Change already offers

STANDING COMMITTEES

A. Academic and Student Affairs Committee

Establishment of a Graduate Certificate Program in Climate Science (continued p. 2)

or intends to offer. PCC underwent a successful review last year and has been transitioned from a UIF to a permanent program, subject to reviews every five years, the next of which will be in 2011.

On October 4, 2007, the Graduate School Council considered the proposal and recommended that it be approved. The Vice Provost and Dean of the Graduate School concurred with the Council's recommendation.

The Vice Provost and Dean of the Graduate School, the Chair of the Board of Environmental Deans, and the Provost have reviewed and approved the recommendation. Approval by the Higher Education Coordinating Board is not required for the Graduate Certificate proposal. The Graduate School will inform the HECB that the program has been established if it is approved by the Board of Regents.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Proposed Amendments to Washington Administrative Code 478-120, University of Washington Student Conduct CodeRECOMMENDED ACTION

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents adopt the attached amendments to the Washington Administrative Code 478-120, the University of Washington Student Conduct Code.

BACKGROUND:

In February 2006, President Emmert appointed a group of interested stakeholders to take a comprehensive look at concerns regarding the neighborhood located north of 45th Street, bordering the University campus. The President's action was taken in response to long-standing concerns by the University, neighbors, the City of Seattle, and students about a variety of issues including excessive noise, vandalism, crime and safety in this area. The group consisted of 23 representatives, including University faculty, administrators and staff, the Presidents of the Associated Students of the University of Washington and the Graduate and Professional Student Senate, the Presidents of the Interfraternity Council and the Panhellenic Association, representatives from the Seattle Mayor's office, the Seattle and University Police Departments and representatives of neighborhood associations. The group met in the 2006 winter and spring quarters. Its final recommendations included changes to the Student Conduct Code that would hold students accountable for certain behaviors in the north of 45th area. During the 2007 winter and spring quarters, a smaller subset of that committee met to determine potential modifications to the Code. The recommendations from this group were made in consultation with the leadership of both student governments, faculty, administration, and community leaders.

The proposed amendments have been approved by vote of the University of Washington's Faculty Senate, and endorsed by the North of 45th work group, the Associated Students of the University of Washington, the University of Washington's Graduate and Professional Student Senate, and fraternity and sorority leadership.

Amendments to the University of Washington's student conduct code better delineate the administration of the student conduct code at all three of the University of Washington campuses and modifies the code in the following ways:

- (1) A student may be subject to disciplinary proceedings under the student conduct code if the university is made aware that a court of competent

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Proposed Amendments to Washington Administrative Code 478-120, University of Washington Student Conduct Code (continued p. 2)

- jurisdiction has determined that such student has engaged in intentional unlawful conduct off-campus that involves the physical harm or abuse, or a direct threat of the physical harm or abuse, of any person, including but not limited to homicide, assault, kidnapping, armed robbery, arson, rape or sexual assault, criminal harassment, criminal stalking or the unlawful possession, use, storage or manufacture of weapons or destructive devices.
- (2) A student may also be subject to disciplinary proceedings under the student conduct code if the university is made aware that the student has engaged in off-campus conduct that involves the physical harm or abuse, or the direct threat of physical harm or abuse, of another university student, or a university faculty or staff member. Disciplinary proceedings may be initiated under this section regardless of whether or not the incident is subject to criminal or civil proceedings.
 - (3) In furtherance of the university's interest in maintaining a positive relationship with its surrounding community, the university shall also have the authority to hold students accountable under the student conduct code for conduct within the "North of 45th" residential community immediately adjacent to the Seattle campus (bounded by NE 45th Street on the south, 15th Ave NE on the west, 22nd Ave NE and north of 54th Street, Ravenna Ave NE on the east and Ravenna Park on the north and including all residences located on either side of each of the aforementioned streets) as follows:
 - a. A student may be subject to disciplinary proceedings under the code if the university is made aware that the student has been cited by the Seattle police or the university police for, and is determined to have committed, a violation of any state statute or city of Seattle municipal ordinance prohibiting misconduct that has a direct and significant quality-of-life impact on community residents, including but not limited to, creating a public nuisance due to noise, theft, intentional destruction of property, urinating in public, or criminal trespass.
 - b. A first violation will not subject the student to disciplinary sanctions if the student voluntarily meets with a representative of the office of the vice-provost for student life to receive information and counseling regarding his or her responsibilities as a university community member and as a resident in the area. A second violation will not be subject to disciplinary sanctions if the student involved agrees to participate, in good faith, in a mediation with the person or persons affected by the misconduct under a mediation protocol established by the office of the vice-provost for student life.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Proposed Amendments to Washington Administrative Code 478-120, University of Washington Student Conduct Code (continued p. 3)

Other housekeeping amendments bring the chapter up-to-date with its first substantive review since 1996. The proposed revisions to the Student Conduct Code would not substantially modify the manner in which disciplinary issues are managed. The authority of the deans to pursue allegations of academic misconduct remains unchanged, as does the responsibility of the Vice Provost for Student Life (formerly the Vice President for Student Affairs) to address other disciplinary matters. The Code also retains the emergency authority of the President of the University to suspend students immediately to protect the University community, pending timely reviews of such actions in accordance with the provisions of the Code.

A public hearing on the proposed revisions was held on October 30, 2007. Ms. Carol S. Niccolls, Hearing Officer, presided at the hearing and prepared a report to the President summarizing the proceedings and setting forth his findings and recommendations. A copy of the report is attached.

ATTACHMENTS:

1. Text of WAC 478-120, Student Conduct Code, with proposed changes
2. Hearing Officer's Report of November 5, 2007

AMENDATORY SECTION (Amending WSR 96-10-051, filed 4/29/96, effective 5/30/96)

WAC 478-120-010 Student conduct code--Authority. Pursuant to chapter 34.05 RCW and the authority granted by RCW 28B.20.130, the board of regents of the University of Washington has established the following regulations on student conduct and student discipline (~~(on the university campus)~~).

AMENDATORY SECTION (Amending WSR 07-03-069, filed 1/17/07, effective 2/17/07)

WAC 478-120-020 Standards of conduct. (1) The university is a public institution having special responsibility for providing instruction in higher education, for advancing knowledge through scholarship and research, and for providing related services to the community. As a center of learning, the university also has the obligation to maintain conditions conducive to freedom of inquiry and expression to the maximum degree compatible with the orderly conduct of its functions. For these purposes, the university is governed by the rules, regulations, procedures, policies, and standards of conduct that safeguard its functions and protect the rights and freedoms of all members of the academic community.

(2) Admission to the university carries with it the presumption that students will conduct themselves as responsible members of the academic community. As a condition of enrollment, all students assume responsibility to observe standards of conduct that will contribute to the pursuit of academic goals and to the welfare of the academic community. That responsibility includes, but is not limited to:

(a) Practicing high standards of academic and professional honesty and integrity;

(b) Respecting the rights, privileges, and property of other members of the academic community and visitors to the campus, and refraining from any conduct that would interfere with university functions or endanger the health, welfare, or safety of other persons;

(c) Complying with the rules, regulations, procedures, policies, standards of conduct, and orders of the university and its schools, colleges, and departments.

(3) Specific instances of misconduct include, but are not limited to:

(a) Conduct that intentionally and substantially obstructs or

disrupts teaching or freedom of movement or other lawful activities on ~~((the))~~ university ~~((campus))~~ premises or in connection with any university-sponsored event or activity and is not constitutionally and/or legally protected;

(b) Physical abuse of any person, or conduct intended to threaten imminent bodily harm or to endanger the health or safety of any person on ~~((the))~~ university ~~((campus))~~ premises;

(c) Conduct on ~~((the))~~ university ~~((campus))~~ premises constituting a sexual offense, whether forcible or nonforcible, such as rape, sexual assault, or sexual harassment;

(d) Malicious damage to or malicious misuse of university property, or the property of any person where such property is located on ~~((the))~~ university ~~((campus))~~ premises;

(e) Refusal to comply with any lawful order to leave ~~((the))~~ university ~~((campus))~~ premises or any portion thereof;

(f) Possession or use of firearms, explosives, dangerous chemicals or other dangerous weapons or instrumentalities on ~~((the))~~ university ~~((campus))~~ premises, except for authorized university purposes, unless prior written approval has been obtained from the university chief of police, or any other person designated by the president of the university (see WAC 478-124-020 (2)(e)) (legal defense sprays are not covered by this section);

(g) Unlawful possession, use, distribution, or manufacturer of alcohol or controlled substances (as defined in chapter 69.50 RCW) on ~~((the))~~ university ~~((campus))~~ premises or during university-sponsored activities;

(h) Intentionally inciting others to engage immediately in any unlawful activity, which incitement leads directly to such conduct on ~~((the))~~ university ~~((campus))~~ premises;

(i) Hazing, or conspiracy to engage in hazing, which includes:

(i) Any method of initiation into a student organization or living group, or any pastime or amusement engaged in with respect to such an organization or living group, that causes, or is likely to cause, bodily danger or physical harm, or serious mental or emotional harm, to any student or other person attending the university; and

(ii) Conduct associated with initiation into a student organization or living group, or any pastime or amusement engaged in with respect to an organization or living group not amounting to a violation of (i)(i) of this subsection, but including such conduct as humiliation by ritual act and sleep deprivation. Consent is no defense to hazing. Hazing does not include customary athletic events or other similar contests or competitions;

(j) Falsely reporting a violation of the student conduct code.

(4) Disciplinary action may be taken in accord with this chapter regardless of whether that conduct also involves an alleged or proven violation of law.

(5) An instructor has the authority to exclude a student from any class session in which the student is disorderly or disruptive. If the student persists in the disorderly or disruptive conduct, the instructor should report the matter to the dean of the school or college, or, at the University of Washington Bothell and Tacoma

campuses, to the director of the program in which the student is enrolled. (See WAC 478-120-030(3).)

(6) Nothing herein shall be construed to deny students their legally and/or constitutionally protected rights.

NEW SECTION

WAC 478-120-025 Off-campus conduct. The university shall have the authority to hold students accountable under the student conduct code for certain off-campus behavior (i.e., behavior that does not occur on university premises or in the context of a university-sponsored event or activity) that directly affects a university interest, in accordance with the provisions of the section.

(1) A student may be subject to disciplinary proceedings under the student conduct code if:

(a) The university is made aware that a court of competent jurisdiction has determined that such student has engaged in intentional unlawful conduct off-campus that involves the physical harm or abuse, or a direct threat of the physical harm or abuse, of any person, including but not limited to homicide, assault, kidnapping, armed robbery, arson, rape or sexual assault, criminal harassment, criminal stalking or the unlawful possession, use, storage or manufacture of weapons or destructive devices; and

(b) The university determines that a significant university interest is affected.

(2) A student may also be subject to disciplinary proceedings under the student conduct code if the university is made aware that the student has engaged in off-campus conduct that involves the physical harm or abuse, or the direct threat of physical harm or abuse, of another university student, or a university faculty or staff member. Disciplinary proceedings may be initiated under this section regardless of whether or not the incident is subject to criminal or civil proceedings.

(3) In furtherance of the university's interest in maintaining a positive relationship with its surrounding community, the university shall also have the authority to hold students accountable under the student conduct code for conduct within the "North of 45th" residential community immediately adjacent to the Seattle campus (bounded by NE 45th Street on the south, 15th Ave NE on the west, 22nd Ave NE and north of 54th Street, Ravenna Ave NE on the east and Ravenna Park on the north and including all residences located on either side of each of the aforementioned streets) as follows:

(a) A student may be subject to disciplinary proceedings under the code if the university is made aware that the student has been cited by the Seattle police or the university police for, and is determined to have committed, a violation of any state statute or

city of Seattle municipal ordinance prohibiting misconduct that has a direct and significant quality-of-life impact on community residents, including but not limited to, creating a public nuisance due to noise, theft, intentional destruction of property, urinating in public, or criminal trespass.

(b) A first violation under (a) of this subsection will not subject the student to disciplinary sanctions under WAC 478-120-040 if the student voluntarily meets with a representative of the office of the vice-provost for student life to receive information and counseling regarding his or her responsibilities as a university community member and as a resident in the area. A second violation will not be subject to disciplinary sanctions if the student involved agrees to participate, in good faith, in a mediation with the person or persons affected by the misconduct under a mediation protocol established by the office of the vice-provost for student life.

(4) Nothing herein shall be construed as being intended to protect any person or class of persons from injury or harm, or construed to deny students their legally and/or constitutionally protected rights.

AMENDATORY SECTION (Amending WSR 96-10-051, filed 4/29/96, effective 5/30/96)

WAC 478-120-030 General procedures for disciplinary sanctions. (1) This section describes the general process under the student conduct code for enforcing the university's rules, regulations, procedures, policies, standards of conduct, and orders. The specific procedures to be used at each step of the process are described in the following sections of this chapter. In all situations, whether handled formally or informally, basic standards of fairness will be observed in the determination of:

- (a) The truth or falsity of the charges against the student;
- (b) Whether the alleged misconduct violates this code; and if

so,

- (c) The sanctions to be imposed, if any.

The criteria for judging student misconduct shall include, but not be limited to, the standards of conduct as stated in WAC 478-120-020 and 478-120-025. Informal hearings shall use the procedures in chapter 34.05 RCW governing brief adjudicative proceedings. Formal hearings conducted by the faculty appeal board shall follow the procedures required by chapter 34.05 RCW for formal adjudicative proceedings. Informal settlements may be conducted under the authority of RCW 34.05.060.

(2) Persons who believe that a violation of the student conduct code has been committed should contact the (~~vice-president for student affairs~~) vice-provost for student life at the University of Washington Seattle campus, or the chancellor of the

University of Washington Bothell or Tacoma campuses, whichever is appropriate.

(3) Only the vice-provost for student life, the dean of the school or college at the University of Washington Seattle or, at the University of Washington Bothell and Tacoma campuses, the director of the program in which a student is enrolled or the (~~vice-president for student affairs~~) chancellors of the University of Washington Bothell and Tacoma campuses, may initiate disciplinary proceedings against a student under this code of conduct. (See WAC 478-120-050.) The deans (~~and the vice-president for student affairs~~), the vice-provost for student life, or the chancellors of the University of Washington Bothell and Tacoma campuses may delegate the authority to initiate disciplinary proceedings consistent with this chapter to members of their staffs and to students. They may also establish student or student-faculty hearing bodies to advise or to act for them in disciplinary matters. The person initiating a disciplinary proceeding shall be referred to as the initiating officer.

(4) The initiating officer will begin a disciplinary proceeding by holding, or directing a member of his or her staff to hold, an informal hearing with the student charged with misconduct. Based on this informal disciplinary hearing, the initiating officer may choose to exonerate the student, dismiss the action, impose an appropriate sanction, and/or refer the matter to the appropriate university disciplinary committee. (See WAC 478-120-065.) If the initiating officer identifies a potential or existing exceptional circumstance, as defined in WAC 478-120-100 (3)(b)(i),

"Exceptional circumstances exist when:

- (A) The sanction of dismissal has been recommended; or
- (B) The student has been charged with hazing; or
- (C) The sanction of restitution (in excess of three hundred dollars) has been recommended; or
- (D) Suspension has been recommended," the matter shall be referred directly to the faculty appeal board. (See WAC 478-120-100.)

(5) Students have the right to appeal any sanction imposed at an informal hearing to the appropriate university disciplinary committee, except that when such sanction identifies an existing or potential exceptional circumstance as defined in WAC 478-120-100 (3)(b)(i), the matter shall be referred directly to the faculty appeal board.

(6) Any decisions of the university disciplinary committees may be appealed to the faculty appeal board. All decisions of the university disciplinary committees identifying existing or potential exceptional circumstances as defined in WAC 478-120-100 (3)(b)(i) shall be referred directly to the faculty appeal board. In addition, the university disciplinary committees may, at any time, (~~in its~~) at their discretion, refer a matter directly to the faculty appeal board. The faculty appeal board performs distinct functions. In most cases, the faculty appeal board conducts an administrative review. In certain cases (defined in WAC 478-120-100(3)), the faculty appeal board conducts a formal

hearing.

(7) Any decision based on a formal hearing conducted by the faculty appeal board may be appealed to the president of the university or the president's delegate for a final review. All orders of dismissal shall be reviewed by the president or the president's delegate. Orders entered by the president or the president's delegate are final. (See WAC 478-120-125.)

(8) The president or (~~his or her~~) delegate, or chancellors or their delegates, may take emergency disciplinary action when a student's conduct threatens the health, welfare, or safety of the university community or members thereof. (See WAC 478-120-140.)

(9) When questions of mental or physical health are raised in conduct cases, the dean, the (~~vice-president for student affairs,~~) vice-provost for student life, the chancellors of the University of Washington Bothell and Tacoma campuses or their delegates, the university disciplinary committees, or the faculty appeal board may request the student to appear for examination before two physician-consultants designated by the dean of the school of medicine. (~~If the student agrees,~~) The physician-consultants may call upon the student health center for any other professional assistance they deem necessary. After examining the student and/or consulting with the student's personal physician, the physician-consultants shall make a recommendation to the dean, the (~~vice-president for student affairs,~~) vice-provost for student life, the chancellor of the University of Washington Bothell or Tacoma campuses, whichever is appropriate, or their delegates, the appropriate university disciplinary committee, or the faculty appeal board as to whether the case should be handled as a disciplinary matter or as a case for medical or other treatment. Any decision made based upon the recommendation of the physician-consultants may be appealed in accordance with the provisions of this chapter.

(10) The following persons conducting proceedings under this chapter shall have the authority to issue protective orders and subpoenas: Deans, (~~the vice-president for student affairs,~~) or at the University of Washington Bothell and Tacoma campuses, the director of the program in which the student is enrolled, the vice-provost for student life, the chancellors of the University of Washington Bothell and Tacoma campuses, or the chairs of (the) their respective university disciplinary committees, the chair of the faculty appeal board, and the president or his or her delegate.

(11) In a case involving an alleged sexual offense, the accuser and the accused are entitled to the same opportunities to have others present during a disciplinary hearing and they shall both be informed of the outcome of such disciplinary proceeding.

(12) Any final order resulting from a disciplinary proceeding shall become a part of the student's disciplinary record, unless the student is exonerated. (See WAC 478-120-145.)

(13) In accord with the Family Educational Rights and Privacy Act and pursuant to RCW 34.05.250, all hearings conducted under this chapter generally will be held in closed session out of respect for the privacy of all the students involved. However, the

students involved may waive in writing this requirement and request a hearing in open session, and the initiating or presiding officer shall conduct the hearing in a room that will accommodate a reasonable number of observers. The initiating or presiding officer may exclude from the hearing room any persons who are disruptive of the proceedings and may limit the number who may attend the hearing in order to afford safety and comfort to the participants and orderliness to the proceedings.

AMENDATORY SECTION (Amending WSR 96-10-051, filed 4/29/96, effective 5/30/96)

WAC 478-120-050 Jurisdiction. (1) The (~~vice-president for student affairs, or his or her delegate~~) vice-provost for student life, the chancellors of the University of Washington Bothell and Tacoma campuses, or their delegates, may initiate any disciplinary action related to violations of any of the university's rules, regulations, procedures, policies, standards of conduct, or orders. Jurisdiction in such cases may be transferred to the dean of the school or college, or at the University of Washington Bothell and Tacoma campuses, to the director of the program in which the student is enrolled if the alleged misconduct bears upon the student's fitness to continue in the school or college.

(2) Additionally, the dean of each college or school, including the graduate school, or the dean's delegate, or the directors of programs in which the student is enrolled on the University of Washington Bothell or Tacoma campuses may initiate any disciplinary action:

(a) Related to violations of university rules, regulations, procedures, policies, standards of conduct, and orders which pertain to that particular campus, college or school, or at the University of Washington Bothell and Tacoma campuses, the program in which the student is enrolled; and

(b) Related to violations of rules, procedures, policies, and standards of conduct of that particular campus, college or school, or at the University of Washington Bothell and Tacoma campuses, the program in which the student is enrolled. The student academic grievance procedure is a separate procedure and is set forth in the *University Handbook* (graduate school students should also refer to Graduate School Memorandum No. 33). Violations involving academic misconduct should be reported to the dean of the appropriate school or college, or program director at the University of Washington Bothell or Tacoma campuses.

(3) Other departments of the university have proceedings separate and distinct from the student conduct code. For example:

(a) Campus traffic regulations are under the general jurisdiction of the police department (~~of~~) at the University of Washington Seattle campus and under the jurisdiction of public

safety officers at the University of Washington Bothell and Tacoma campuses. (~~The citation hearing office has jurisdiction to hear and decide all cases involving alleged violations of traffic regulations.~~) (See chapters 478-116, 478-117 and 478-118 WAC.)

(b) The library fines appeals committee has the authority to consider appeals of library charges. (See chapter 478-168 WAC.)

AMENDATORY SECTION (Amending WSR 96-10-051, filed 4/29/96, effective 5/30/96)

WAC 478-120-065 Informal disciplinary hearings. (1) A dean (~~or the vice-president for student affairs or his or her delegate~~), the vice-provost for student life, or, at the University of Washington Bothell and Tacoma campuses, the chancellors or the director of the program in which the student is enrolled, or their delegates, may initiate a disciplinary proceeding by conducting, or directing a member of his or her staff to conduct, an informal hearing with the student accused of misconduct. This informal disciplinary hearing may be nothing more than a face-to-face meeting between the initiating officer or staff person and the student, and no special notice of the meeting is required. The purpose of this informal disciplinary hearing is to provide an opportunity for the student to respond to allegations of misconduct before disciplinary action is taken, and the student waives any rights to an informal hearing by his or her failure to attend.

(2) During an informal disciplinary hearing, the student must be provided with the following information:

(a) The alleged misconduct and the reasons for the university's belief that the student engaged in the misconduct;

(b) The specific section(s) of the student conduct code allegedly violated; and

(c) The possible sanctions that may be imposed.

(3) Based on the findings of an informal hearing, the initiating officer shall enter in writing one of the following orders:

(a) An order exonerating the student or dismissing the disciplinary proceeding if it appears that there has been no misconduct;

(b) An initial order imposing a disciplinary sanction;

(c) An order referring the matter to the appropriate university disciplinary committee; or

(d) An order referring the matter directly to the faculty appeal board because exceptional circumstances as defined in WAC 478-120-100 (3)(b)(i) may exist.

(4)(a) If the order imposes a sanction and exceptional circumstances as defined in WAC 478-120-100 (3)(b)(i) exist, the matter shall be referred directly to the faculty appeal board and

the student shall be informed that he or she has the right to request a formal hearing according to the procedures set forth in WAC 478-120-075(3).

(b) If the order imposes a sanction but exceptional circumstances do not exist, then the student must be informed that he or she has twenty-one calendar days from the date of the order (or twenty-five calendar days from the date of the mailing of the initial order) to request a hearing before the appropriate university disciplinary committee. If the student chooses not to appeal, the order becomes the final order.

(5) Within ten days of the conclusion of the hearing and any associated investigations, the student shall be provided with a written order which shall include a statement of the decision, the reasons for the decision, and information about appealing the decision. No unfavorable action may be taken against the student until the student has been given such notice and information. In a case involving an alleged sexual offense, both the accuser and the accused shall be informed of the outcome of that hearing. In a case where the student is a minor, the disciplinary sanctions imposed may be reported to the student's parents or legal guardian at the discretion of the initiating officer.

(6) A student may request a hearing by the appropriate university disciplinary committee at any time during these informal proceedings. If such a request is made, the matter shall be referred to the appropriate university disciplinary committee.

(7) The official record of this informal hearing shall consist of all documents prepared or considered by the dean (~~(or the vice-president for student affairs)~~), the vice-provost for student life, or, at the University of Washington Bothell and Tacoma campuses, the chancellors, or the director of the program in which the student is enrolled, or their delegates, with regard to the dispute at hand.

AMENDATORY SECTION (Amending WSR 96-10-051, filed 4/29/96, effective 5/30/96)

WAC 478-120-075 Appeals. Any initial order may be appealed by timely submission of a written petition to the appropriate body. An order only referring a matter from one hearing body to another, not determining the matter on its merits, is not an initial order.

(1) If a student does not appeal to the appropriate body within twenty-one days of the initial order (or within twenty-five calendar days of the date when the university mailed the initial order to the student), the right to appeal is waived and the order becomes final.

(a) All initial orders shall be hand delivered or delivered by mail.

(b) Any student involved in a disciplinary hearing is required

to provide his or her current and accurate address to the office of the ~~((vice-president for student affairs))~~ vice-provost for student life or the office of the chancellor for the University of Washington Bothell or Tacoma campuses, whichever is appropriate, or their delegates.

(2) All petitions for appeal must be made in writing to the appropriate authority (the appropriate chair of one of the university disciplinary committees (Seattle, Bothell or Tacoma), the chair of the faculty appeal board, or the president). The petition must state the reasons for the appeal and indicate points of disagreement with the initial order.

(3) If a student wishes to request a formal hearing before the faculty appeal board, the student's written petition for appeal must also state that a formal hearing is being requested and must identify the specific exceptional circumstances (as defined in WAC 478-120-100 (3)(b)(i)) warranting such a hearing. When conducting administrative reviews of informal hearings, the faculty appeal board shall make any inquiries necessary to ascertain whether the proceeding must be converted to a formal disciplinary hearing.

(4) After conducting the appropriate review, the appeal body or the president may sustain, reduce, or vacate the sanction imposed by the initial order, except if that review is in the form of a formal hearing before the faculty appeal board, that board may increase any sanction.

(5) Only the president or the president's delegate may issue a final order of dismissal.

(6) Sanctions, if any, will be imposed only after an order becomes final, except for actions taken under WAC 478-120-140.

AMENDATORY SECTION (Amending WSR 96-10-051, filed 4/29/96, effective 5/30/96)

WAC 478-120-085 The university disciplinary committees. Each University of Washington campus shall have its own university disciplinary committee. The university disciplinary committees shall consist of a nonvoting chair, three voting faculty members, and three voting student members. The committees shall be maintained for the purpose of providing hearings for disciplinary actions that have been initiated by the deans or ~~((the vice-president for student affairs))~~, at the University of Washington Bothell and Tacoma campuses, the director of the program in which a student is enrolled, the vice-provost for student life at the University of Washington Seattle campus, the chancellors of the University of Washington Bothell and Tacoma campuses, or their delegates.

(1) The president of the University of Washington Seattle campus and the chancellors of the University of Washington Bothell and Tacoma campuses shall designate a member of the faculty or

administration to serve as chair of ~~((the))~~ each respective university disciplinary committee for a term of one year. ~~((The))~~ All chairs may be reappointed for consecutive terms.

(a) The chairs shall ensure that all procedural safeguards and guidelines are followed. Accordingly, the chairs shall decide all procedural questions that arise in relation to hearings, including rulings on evidence (as defined in WAC 478-120-095(3)) and challenges to the impartiality of committee members. The chairs shall have the discretion to regulate all aspects of the proceedings.

(b) The chairs shall take whatever steps are necessary to ensure that hearings are conducted in a safe and orderly manner.

(2) The three voting faculty members of ~~((the))~~ each university disciplinary committee shall be selected at random from the faculty senate at the University of Washington Seattle, or at the University of Washington Bothell and Tacoma campuses, their respective faculty assembly or organization to serve one-year terms. Voting faculty members may not be reappointed to consecutive terms.

(a) Panels of eligible faculty members shall be randomly selected to serve on the committees in the order in which they were selected, except that at the University of Washington Seattle each faculty member of the committees must represent a different faculty senate group.

(b) Faculty members must have been members of the faculty for at least one year and hold the position of assistant professor or higher in order to be eligible to serve as voting members of the university disciplinary committees.

(3) The three voting student members of the university disciplinary committees shall be selected at random from ~~((the))~~ each student body to serve one-year terms. Student members of the committees may not be reappointed.

(a) Panels of eligible students shall be selected randomly from the entire full-time student body to serve as committee members or alternates in the order in which they were selected, except that at the University of Washington Seattle one member must be a professional or graduate student and the other two members must represent different undergraduate classes.

(b) To be eligible to serve on the university disciplinary committees, students must be full-time and in good standing with the university.

(4) In addition to the chairs, a quorum shall be two faculty members and two student members. The chairs shall select alternates from the panels of eligible faculty or students as needed to produce a quorum.

(5) ~~((A))~~ Committee members may be disqualified from a particular hearing for bias, prejudice, conflict of interest, or any other reason which may prevent him or her from serving as an impartial judge of the matter before the committees.

(a) ~~((A))~~ Committee members may excuse ~~((himself or herself))~~ themselves for any of the causes set forth in this section by submitting a written statement to the appropriate committee chair

stating facts and reasons for the disqualification.

(b) A student before any of the university disciplinary committees may challenge the impartiality of a committee member by written petition. The appropriate chair shall determine whether to grant the petition and excuse the committee member from the case, and shall state the facts and reasons for that determination in writing.

(c) Any person who has been delegated the authority to initiate disciplinary proceedings is disqualified from serving as a member of the university disciplinary committees.

(6) The appropriate chair may relieve a member of ((the)) his or her university disciplinary committee from serving on that committee for a particular case, for a specific period of time, or for the rest of the year after the member submits a written request to the chair.

(7) Members of the university disciplinary committees shall begin their terms on the first day of classes of winter quarter. Those terms shall expire on the first day of classes of the next winter quarter, except that cases in process shall be continued until a decision is reached. The new panels of committee members shall be identified by the outgoing chairs, or by the person designated by the appropriate chair, through random procedures established by the chair.

AMENDATORY SECTION (Amending WSR 96-10-051, filed 4/29/96, effective 5/30/96)

WAC 478-120-095 Hearings before the university disciplinary committees. The purpose of a hearing before ((the)) a university disciplinary committee is to provide all parties with an opportunity to present evidence and argument before disciplinary sanctions are imposed on a student. Based on the evidence presented at this hearing, the committee shall determine whether the student has engaged in the alleged misconduct. If there is a finding of misconduct, the committee shall then determine the appropriate sanction to be imposed.

(1) When a hearing is scheduled before ((the)) a university disciplinary committee, the chair of the appropriate committee shall provide the student with written notice of the following information:

- (a) The time and place of the hearing;
- (b) The allegations of misconduct against the student;
- (c) A list of all witnesses who may be called to testify;
- (d) A description of all documentary and real evidence to be presented at the hearing, including a copy of his or her disciplinary file; and
- (e) The sanctions that may be imposed at the hearing if the allegations of misconduct are found to be true.

(2) The chair of (~~the~~) each committee shall adhere to the following procedures at all disciplinary hearings:

(a) The student shall be provided with a reasonable opportunity (at least seven days) to gather evidence, contact witnesses, and prepare a defense for the hearing.

(b) The student may be accompanied by an advisor of the student's choice.

(c) The student is entitled to hear all testimony and examine all evidence that is presented at the hearing. In response, the student may present evidence and witnesses on his or her own behalf and may ask questions of any other witnesses.

(d) No student shall be compelled to give self-incriminating evidence.

(3) Evidence shall be admissible at the hearing if it is the type of evidence that reasonably prudent members of the university community would rely upon in the conduct of their affairs.

(4) The initiating officer (~~the appropriate dean, (the vice-president for student affairs, or his or her delegate)~~) or at the University of Washington Bothell and Tacoma campuses, director of the program in which the student is enrolled, the vice-provost for student life, the chancellors of the University of Washington Bothell and Tacoma campuses, or their delegates) must prove by a preponderance of the evidence presented at the hearing that the student has engaged in the alleged misconduct. The committee shall base its factual determination solely on the evidence presented at the hearing.

(a) Decisions of the university disciplinary committee will be made based on a simple majority vote of the committees.

(b) If (~~the~~) a university disciplinary committee cannot reach a decision by simple majority vote, an order shall be entered referring the matter to the faculty appeal board. Where exceptional circumstances exist, the student shall be notified of the right to request a formal hearing. Otherwise, the faculty appeal board shall conduct an administrative review as provided under WAC 478-120-100 (1) and (2).

(5) If at any time after a matter has been referred to (~~the~~) a university disciplinary committee the appropriate chair determines that the matter should properly be before the faculty appeal board, the chair may refer the matter to the faculty appeal board and shall provide the student with written notice of the referral and of the opportunity to request a formal hearing if exceptional circumstances exist. (See WAC 478-120-100 (3)(b)(i).)

(6) If the committee determines that the student has violated the university's rules, regulations, procedures, policies, standards of conduct, or orders, it shall then determine the appropriate sanction to be imposed. When determining the appropriate sanction, the committee shall review the evidence presented at the hearing and the student's past record of conduct at the university.

(7) The chair of the appropriate university disciplinary committee shall provide the student with a written statement of the committee's decision within ten days of the conclusion of the

hearing. This written statement shall include the committee's factual findings, the conclusions that have been drawn from those findings, the reasons for those conclusions, and the sanctions, if any, to be imposed. If sanctions are imposed, the student must also be informed of the appropriate procedures for appealing the committee's decision to the faculty appeal board. In a case involving an alleged sexual offense, both the accuser and the accused shall be informed of the outcome of the hearing. In a case where the student is a minor, the written statement of the committee's decision may be reported to the student's parents or legal guardian at the discretion of the chair of the appropriate university disciplinary committee.

(8) This written statement of the committee's decision shall be the committee's initial order. If the student chooses not to appeal, the initial order of the appropriate university disciplinary committee becomes the final order at the end of the appeal period set forth in WAC 478-120-075(1), except that orders of dismissal shall be referred to the president.

(9) The student may choose to present evidence to the chair of the appropriate university disciplinary committee rather than at a hearing before the full committee. The student's waiver of the right to a hearing before ~~((the))~~ a university disciplinary committee must be submitted in writing to the chair of the appropriate committee. The chair will submit the student's evidence and arguments to the full committee and the committee will make its decision based on the chair's report.

(10) All proceedings of the committees will be conducted with reasonable dispatch and be terminated as soon as possible, consistent with fairness to all parties involved. The chair shall have the discretion to continue the hearing.

(11) An adequate summary of the proceedings will be kept. Such a summary shall include all documents that were considered by the appropriate committee and may include a tape recording of the testimony and any other documents related to the hearing.

(12) A report of ~~((the))~~ a university disciplinary committee shall, upon written request and release by the student or students involved, and subject to the requirements of the Family Educational Rights and Privacy Act, be made available to members of the university community through the ~~((vice-president for student affairs))~~ vice-provost for student life, or the office of the chancellor at the University of Washington Bothell or Tacoma campuses, whichever is appropriate, or their delegates.

AMENDATORY SECTION (Amending WSR 96-10-051, filed 4/29/96, effective 5/30/96)

WAC 478-120-100 Faculty appeal board. There shall be a single faculty appeal board which will serve all University of

Washington campuses. The faculty appeal board shall be composed of seven members of the faculty to be appointed by the chair of the faculty senate after consultation with the faculty council on student affairs, to include one faculty member from each of the University of Washington Bothell and Tacoma campuses. The chair of the faculty senate shall appoint one of the members to be the chair of the faculty appeal board. The faculty appeal board shall conduct either administrative reviews or formal hearings and the procedures to be used shall depend on the nature of the appeal before the board. Cases may be heard by the entire board or by panels of no fewer than three board members.

(1) The faculty appeal board may conduct an administrative review when exceptional circumstances do not exist or the student has not requested a formal hearing in writing.

(2) The procedures for conducting such administrative review are set forth in WAC 478-120-105. The chair shall maintain a record of all administrative reviews conducted by the faculty appeal board. At a minimum, such a record shall include all documents that were considered by the board and may include a tape recording of all testimony and all other documents related to the review.

(3) The faculty appeal board shall conduct a formal hearing when:

(a) The student requests a formal hearing before the faculty appeal board in writing setting forth the exceptional circumstances that exist (see below); and

(b) The chair reviews the student's written request and determines that exceptional circumstances do exist. Additionally, the faculty appeal board may conduct a formal hearing in other circumstances as the board deems appropriate. If the faculty appeal board does not conduct a formal hearing, it shall conduct an administrative review of the prior decision.

(i) Exceptional circumstances exist when:

(A) The sanction of dismissal has been recommended; or

(B) The student has been charged with hazing; or

(C) The sanction of restitution (in excess of three hundred dollars) has been recommended; or

(D) Suspension has been recommended.

(ii) If the faculty appeal board decides not to grant a student's written request for a formal hearing, the chair shall provide the student with a written copy of the board's decision and a brief statement of the reasons for denying the petition within ninety days as specified in WAC 478-120-115(2).

(4) If a matter is referred directly to the faculty appeal board and there is no initial order, then the faculty appeal board shall determine whether exceptional circumstances exist or could exist. If exceptional circumstances exist or could exist, then the board shall notify the student in writing that he or she has twenty-one days from the date of the notice or twenty-five days from the date of mailing the notice to request a formal hearing. If the student fails to make such a request, any right to a formal hearing is waived.

(5) Formal hearings conducted by the faculty appeal board shall be according to the procedural guidelines set forth in WAC 478-120-115 and chapter 34.05 RCW.

(a) At the conclusion of the formal hearing, the faculty appeal board shall enter an initial order based on the findings of that hearing. That initial order shall include a written statement of the board's decision and the basis for that decision, including procedures for appealing the decision to the president or president's delegate. The initial order shall be provided to the student within ninety days of the conclusion of the hearing. In a case involving an alleged sexual offense, both the accuser and the accused shall be informed of the board's decision. In a case where the student is a minor, the board's decision may be reported to the student's parents or legal guardian at the discretion of the initiating officer.

(b) An initial order from a formal hearing may be appealed to the president of the university or the president's delegate for a final administrative review.

(c) If the student chooses not to appeal, the initial order of the faculty appeal board shall become the final order, except that orders of dismissal entered by the faculty appeal board shall be reviewed by the president or the president's delegate.

(6) The record in cases in which the faculty appeal board conducts a formal hearing shall be as specified in WAC 478-120-115(15).

(7) Board members may be disqualified from a particular formal hearing for bias, prejudice, conflict of interest, or any other reason which may prevent them from serving as impartial judges of the matter before the board.

(a) A committee member may excuse himself or herself for any of the causes set forth in this section by submitting a written statement to the board chair stating facts and reasons for the disqualification.

(b) A student before the faculty appeal board may challenge the impartiality of a board member by written petition. The chair shall determine whether to grant the petition and excuse the board member, stating the facts and reasons for the determination in writing.

(c) Faculty who have been delegated the authority to initiate disciplinary proceedings are disqualified from serving as members of the faculty appeal board.

(8) At the discretion of the chair, board members may be excused from a particular hearing on the basis of compelling personal need after submitting a written request to the chair explaining the basis of the request.

AMENDATORY SECTION (Amending WSR 96-10-051, filed 4/29/96, effective 5/30/96)

WAC 478-120-140 Emergency authority of the president and chancellors of the university. If a student's conduct represents a threat to the health, safety, or welfare of the university or any member of the university community, the president or the president's delegate, or the chancellors of the University of Washington Bothell and Tacoma campuses or their delegates, may suspend that student from participation in any or all university functions or privileges.

(1) In such an emergency situation, the president or (~~a delegate~~) chancellors, or their delegates, shall issue a written order to be served upon the student describing the terms of the emergency suspension and the reasons for imposing the suspension. The order shall be effective immediately.

(2) The president or delegate, or chancellors or their delegates, shall then refer the matter to the (~~vice-president for student affairs or his or her delegate~~) vice-provost for student life at the University of Washington Seattle campus or the appropriate campus official at the University of Washington Bothell or Tacoma campuses, whichever is appropriate, who shall proceed as quickly as feasible to complete any proceedings that would be required if the matter did not involve an immediate danger.

AMENDATORY SECTION (Amending WSR 96-10-051, filed 4/29/96, effective 5/30/96)

WAC 478-120-145 Recording and maintenance of records. (1) The (~~vice-president for student affairs~~) vice-provost for student life at the University of Washington Seattle campus or the chancellors of the University of Washington Bothell and Tacoma campuses, or their delegates, shall keep records of all disciplinary actions reported to (~~his or her office~~) their respective offices. Disciplinary records shall be kept separate from academic records, and transcripts of a student's academic record shall contain no notation of any disciplinary action.

(2) The dean of a college or school at the University of Washington Seattle, or the director of the program in which the student is enrolled at the University of Washington Bothell and Tacoma campuses initiating disciplinary action shall report in writing to the office of the (~~vice-president for student affairs~~) vice-provost for student life, or the office of the chancellor for the University of Washington Bothell or Tacoma campuses, whichever is appropriate, or their delegates, all cases in which disciplinary action is taken. The dean at the University of Washington Seattle shall also inform the registrar of any action affecting a student's official standing in the university. The office of the (~~vice-~~

~~president for student affairs~~) vice-provost for student life, or the office of the chancellor for the University of Washington Bothell or Tacoma campuses, shall notify the dean of the college or school or director of the program in which the student is enrolled of any disciplinary action it takes and also shall notify the registrar or campus officer of student affairs of any action affecting a student's official standing in the university.

(3) Disciplinary records of students not exonerated shall be maintained by the (~~vice-president for student affairs~~) vice-provost for student life, or the chancellor at the University of Washington Bothell or Tacoma campuses, whichever is appropriate, or their delegates, and the registrar for seven years after disciplinary action has been taken and/or after the administrative purpose has been served.

(4) Disciplinary records of exonerated students shall not be maintained.

(5) Notwithstanding any other provision of this section, the (~~vice-president for student affairs~~) vice-provost for student life, or the chancellor at the University of Washington Bothell or Tacoma campuses, whichever is appropriate, or their delegates, at (~~his or her~~) their discretion, upon written request by the student, may expunge the student's disciplinary record.

(6) Records and information regarding student disciplinary proceedings are subject to the provisions of the Family Educational Rights and Privacy Act and supporting regulations (20 U.S.C. 1232g), and to chapter 478-140 WAC.

November 5, 2007

President Mark A. Emmert
Office of the President
University of Washington
Box 351230

Dear President Emmert:

Pursuant to your delegation, I served as the Hearing Officer to receive public comment on the University of Washington's proposed amendments to Chapter 478-120 WAC, "Student Conduct Code for the University of Washington." The hearing commenced at 12:00 p.m. on Tuesday, October 30, 2007, in Room 309 of the Husky Union Building at the University of Washington, Seattle campus. I am pleased to provide you a report of that hearing and the written comments received.

As required by the Administrative Procedure Act, the University filed the following notices with the State of Washington Code Reviser: a Preproposal Statement of Inquiry (published as WSR 07-16-077, in the *Washington State Register* on August 15, 2007) and a notice of Proposed Rule Making (published as WSR 07-19-075, in the *Washington State Register* on October 3, 2007). Campus notice that the hearing would be held was published in *University Week* and in *The Daily* on October 18, 2007. In addition, notice of the public hearing was included in the online events calendar for all three University of Washington campuses. The written comment period began August 15, 2007, and concluded October 30, 2007.

Public Comments

Written comments were received from the University Park Community Club addressing various issues of continued importance to the neighbors north of the University's Seattle campus. In general, the proposed new section WAC 478-120-025 concerning the off-campus conduct of University of Washington students was seen as a significant first step. There were, however, some points the Club would like better defined in the code, and they recommended that these points be reconsidered after the two-year trial period has elapsed with the code in effect. Specifically, the Club is concerned with the following:

- The area covered by the off-campus conduct code (including the way the boundary streets are listed). They would like to see the code expanded to cover an area surrounding the Seattle campus by a one-mile radius.
- The three-violation sanction process. They would like to see the process shortened.
- Possible retaliation by students to community neighbors which could conceivably result from the face-to-face mediation process that is recommended after a second violation of the code.
- The threshold of three violations needed per individual from a multiple-party student residence. They believe that approach would take an inordinate amount of time to address noise-related issues.

In addition to these written comments, one individual, who is a resident of the area affected by the proposed off-campus conduct code in WAC 478-120-025, provided oral testimony at the public hearing. The individual commented in favor of the proposed rules for off-campus student conduct. In addition, he sought answers to related questions not addressed by the code, concerning the need for continued collaboration between neighborhood residents, University students, University staff, and City of Seattle staff. All of these questions were answered to the individual's satisfaction by various University administrative staff present at the hearing.

Analysis and Recommendation

The proposed amendments to Chapter 478-120 WAC have been, in part, developed by the North of 45th Street collaborative working groups. They were reviewed by the Attorney General's Office, passed as Class B Legislation by the UW Faculty Senate, and endorsed by the ASUW, GPSS, and fraternity and sorority leadership. Moreover, with the caveat that the University continue to refine these rules as needed in the future regarding specific neighborhood resident concerns, the public comments favored adoption of the proposed amendments. Consequently, I recommend that the Board of Regents adopt the proposed amendments to Chapter 478-120 WAC, "Student Conduct Code for the University of Washington."

An audio tape of the hearing has been deposited with the Secretary of the Board of Regents.

Sincerely yours,

Carol S. Niccolls
Special Counsel to the President

cc: Ms. Rebecca Goodwin Deardorff
Mr. Eric S. Godfrey
Ms. Elizabeth A. Higgins
Mr. Aaron Hoard
Mr. Lincoln Johnson
Ms. Michele M. Sams
Dr. Phyllis M. Wise

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

In Joint Sessions With

B. Finance, Audit and Facilities Committee

College of the Environment

The goal of this information item is to bring you up to date on our plans to found a College of the Environment at the University of Washington. When more details of our plans are in place, we will bring an action item for your approval to establish this College.

For the past several months, we have undertaken bold steps to consider how the University of Washington can organize itself most effectively to provide solutions to the world's most complex environmental problems. We have tremendous depth and breadth at the UW in certain environmental fields, such as climate, water, and conservation, and great opportunities in energy, urbanization and the environment, environmental policy, and law. Our diffuse organizational structures do not always allow us to maximize our impact or to project our depth and breadth as well as we could.

Our goal is to act on our vision statement in the area of the environment, including: (a) to provide a stimulating, challenging, and coordinated interdisciplinary learning experience for our students, preparing them to be global citizens and world leaders in environmental issues, and (b) to discover timely solutions to the most complex environmental challenges through non-traditional alliances among our faculty and with external stakeholders in business, industry, government, nonprofit foundations, and funding agencies.

Steps taken

1. In the spring a small group of faculty, staff, deans, and I began exploring alternative models for optimizing and projecting the University of Washington's formidable resources across all areas of environmental science and policy.
2. We held a "charrette"* to bring together 30 people from the UW community and external stakeholders for an intense two-day discussion. Background materials included a synopsis of previous interdepartmental efforts in environmental education and research at

* The word "charrette" refers to an intense, usually multi-day collaborative event in which participants draft a solution to a design problem. It is commonly used by architects and urban planners. In our usage, each participant first stated, without interruption, his or her preferred solution. The group then divided into sub-groups, with each sub-group presenting its work to the full group for dialogue. The charrette serves as a way of quickly generating a design solution while integrating the interests of a diverse group of people, and provides a way of consulting with stakeholders. A successful charrette promotes joint ownership of solutions, and defuses confrontational attitudes. (Wikipedia.com definition)

STANDING COMMITTEES

A. Academic and Student Affairs Committee

College of the Environment (continued p. 2)

the UW, an overview of the strengths and successes of the UW in environmental discovery and leadership, and benchmarks set by other institutions in this field.

3. The charrette participants concluded that what we create must be recognizable to external partners—we must use language and structures that speak to the communities we are to serve, locally, regionally, nationally, and internationally. There was substantial support to call the new organizational structure a “college,” and to redefine what that means in the 21st century academy. It was clear that any organizational structure must be flexible, dynamic, interdisciplinary, and intimately tied to the disciplinary strengths we already possess.

The participants clearly articulated that the new College had to be configured differently from any other college, to bring together, empower, and resource a major push to organize and build on our strengths. We talked about the need to fulfill the academic missions of discovery, undergraduate and graduate learning, and engagement. We must serve the needs of our students through rigorous, interdisciplinary undergraduate- and graduate-level curricula. We discussed the need to be nimble, to allow faculty to have joint appointments and teaching/research appointments in the new College. We discussed the urgent need to meet the expectations of the community for partnership, advice, give and take. I was deeply impressed with the fervent desire of the group do something bold, unique, different from the routine in the area of the environment.

4. A subgroup of charrette participants met with President Emmert and were encouraged to look critically at successes and failures of programs at other universities and to learn from these examples. He enthusiastically supported our pursuit to explore this further.
5. Over the summer, I met with approximately 150 faculty in groups of six to eight to introduce the concept of founding a College of the Environment and to enlarge the circle of faculty who understand what we are doing. We listened to their suggestions, made modifications in our plans, and invited them to join the army of people who will support this new drive to bring together energy, wisdom, and time to make a College of the Environment a reality.
6. On September 5, we held a Concept Workshop to discuss in much greater detail how a new College might be constructed, what its mission would be, and how it should connect with the world outside the institution. The attendees included UW scientists and other faculty members, administrative leaders, and a select number of community

STANDING COMMITTEES

A. Academic and Student Affairs Committee

College of the Environment (continued p. 3)

and organization leaders who bring an important perspective to this conversation.

Current activities

1. I am meeting with faculty and staff groups across the UW to discuss the potential impact on their units of founding a college.
2. We have identified four grand challenge areas that will serve as core foci of the College. They are:
 - Climate, water and energy
 - Global environmental and ecosystems health
 - Conservation and urbanization
 - The human dimensions of the environment
3. We have organized working groups to critically evaluate next steps:
 - Vision statement
 - Budget and financial resources needed
 - Organization and structure
 - Curricula and learning goals
 - Communications
4. We have developed a set of frequently asked questions and provided answers. (See accompanying pages.)
5. We have developed communication and marketing tools to use in approaching partners and donors.
6. I have spoken with the Scientific Director of the Nature Conservancy about partnerships with them similar to those they have with Stanford. I have met with potential donors.
7. You will recall that we have submitted, as part of our FY 2008 supplemental budget request, a request entitled “Ports, People, and Puget Sound” that would advance College planning.

Future activities and timeline

1. We are at a unique moment in history:
 - The lay public know that the environment is in crisis. They understand pollution, climate change, energy, the inextricable relationship between human health and the environment, the tensions between conservation and the built environment, and the political, cultural and legal ramifications of environmental policy.
 - The Washington State Legislature and the Governor understand the importance of preserving and enhancing this wonderful place in which we live. The Puget Sound Partnership emphasized that there are

STANDING COMMITTEES

A. Academic and Student Affairs Committee

College of the Environment (continued p. 4)

ominous signs of decline of the ecology of the Sound and that actions must be taken now to prevent irreversible damage.

- Finally, the UW Capital Campaign is currently at \$2.5 billion. The environment was one of the original “pillars” or emphases of the Campaign. We have never put significant concerted, coordinated effort into building a compelling message and identifying friends (individuals, foundations, industries) in this area. We are confident that work in this area will bear great fruit.
2. We expect a draft implementation plan to be developed by January 2008 so that we can begin to take action in a concerted effort throughout the next year.
 3. I am working with our Office of Development and Alumni Relations to identify people and foundations who would be interested in turning these goals/plans/dreams into a reality. As I am sure you are aware, our ability to do anything as ambitious as this will be determined by whether we can get funding beyond the normal channels of state support. What we create must be resourced by the University and through gifts and grants from federal and foundation funding. It is clear that there is no one waiting in the wings, but it is also clear that this should resonate with many people who are deeply committed to the success of the UW and foundations who are interested in supporting the research and teaching missions of the UW.

Summary

The creation of a college sends the strongest possible signal, internally and externally, that the University of Washington is going to be a leader in the environment. Make no mistake, this college will be unlike any existing academic structures at the University—preserving academic excellence while promoting the flexibility necessary to meet the complex demands of 21st century environmental issues. Our aim is to be a world leader in discovery, learning and engagement in the environment, and thus we are not interested in half measures or small incremental steps. Our path requires bold, decisive action, allowing us to amplify and organize our strengths, and to combine these strengths as we seek solutions.

UW College of the Environment

I have heard the UW is planning a new College of the Environment. Tell me more!

We are taking bold steps to create a College of the Environment at the University of Washington because of great need and great possibility. The need is well known. Our ability to respond to the need is inherent in our tremendous array of programs and talent in environmental research, scholarship, and teaching. We have the potential to lead the way in environmental problem-solving and we believe the College will catalyze that potential. The exact structure of the College has yet to be determined. Faculty, staff, students, and community members are working right now to explore novel structures. What we already know is that the College will encompass the full range of academic inquiry, from the acquisition of basic knowledge about environmental systems to practical environmental problem-solving. The College of the Environment will nurture connections among students, faculty, and staff inside the University, and enable dynamic partnerships and collaborations with stakeholders outside of the University. We believe that this College will not only increase the University's ability to conduct leading-edge research, but will facilitate proactive solution-driven work while simultaneously producing informed, environmentally conscious citizens and leaders.

Why are you investing in the area of the environment?

Pollution, the impacts of climate change, the future of energy, sustainable harvest of natural resources, the built environment, human health and the environment, and the impact of environmental policies pose some of the most difficult, critical questions of our time. The University of Washington is a premier research university, home to one of the strongest collections of environmental intellectual talent in the world, and located in one of the most resource-rich regions of the country. We have a special responsibility and opportunity to engage students, faculty, and community partners in a collaborative effort to articulate and act on environmental problems, starting with those in our own region.

What will be different? How will this new college address the complex environmental challenges we face?

Discovery is at the heart of the University's mission. At the core of academic discovery is curiosity-driven, fundamental research about how the world works. The College of the Environment will build on, strengthen, and extend this mission. Through a novel College structure we can promote and reward interdisciplinary collaboration, create institutional partnerships with stakeholders outside the University, and give undergraduate and graduate students hands-on opportunities in problem-solving exercises that connect knowledge to practical application. On an operational level, the college will be a place where environmental responsibility is pervasive, in the built environment as well as the academic environment.

How will students be engaged in this college?

We will continue to provide our students strong disciplinary foundations. Understanding the fundamentals of their disciplines will equip students to understand and solve complex problems within a dynamic environment. The new college will then take students further in providing them with basic knowledge and respect for all disciplines involved in environmental problem-solving. The College of the Environment will engage the entire UW community by promoting environmental literacy in all our students. At the same time, students specializing in the College will receive training in skills, concepts, and practical experiences that will allow them to tackle a full range real-world problems. We aim to educate and train our students to become responsible global environmental leaders.

Haven't other universities been doing this for years?

Yes, and no. Many universities around the world house environmentally related faculty together. The college we envision is unusually porous and flexible, able to respond adaptively to emerging environmental issues. Specific interactions among relevant students, faculty, staff, and partners will address specific research or policy questions, develop solutions for specific environmental problems, and develop curricula to bring environmental research and problem-solving into the classroom. By incorporating partners and community members into the University and sending

our faculty and students to work outside the University, we will enlarge and strengthen the learning process, create respect for all stakeholders, and enable the university to become a much more relevant contributor to the environmental dialogue.

Where will the resources come from to create this new college?

New resources are required to truly transform the University. While we will look for opportunities to maximize administrative efficiencies and leverage existing investments, we will also seek new and innovative ways to engage our partners so the value of the university's role and contributions is recognized and supported. We believe that an investment from a combination of local, state, federal and international governments along with NGOs, industry, private donors, and other stakeholders will be necessary to succeed.

How do I participate?

We are encouraging as much participation as possible through an open planning process that involves a great many volunteers who are interested in building the world's most innovative and influential College of the Environment at the University of Washington.

The planning materials and logistics can be found at <http://www.washington.edu/provost/coenv>. The website is host to an online discussion board and collaborative forum so that you can submit your comments, questions and suggestions directly to the working groups tasked with developing the implementation plan. Specific questions may also be addressed to Stephanie Harrington at 206-543-0878 or stephah@u.washington.edu.

What is the timeline?

The UW administration will present the broad vision of the College to the UW Board of Regents in the Fall of 2007 based on the preliminary discussions of the working groups and the input of other faculty, staff, students, and other stakeholders, which has been going on since June. We expect a draft implementation plan to be developed by January 2008 so that we can begin to take action in a concerted effort throughout the next year.

VII. STANDING COMMITTEE

A. Academic and Student Affairs Committee

In Joint Session With

B. Finance, Audit and Facilities Committee

UW North Campus Report

An oral report will be presented.

IV. REPORT OF THE CHAIR OF THE BOARD OF REGENTS

Resolution Regarding Schedule of Regular Board Meetings in 2008**RECOMMENDED ACTION:**

It is the recommendation of the Chair of the Board of Regents that the Board approve the following resolution regarding its schedule of regular meetings for 2008:

RESOLUTION

WHEREAS, the Bylaws of the Board of Regents and WAC 478-04-030 call for regular monthly meetings, and

WHEREAS, the schedule of meetings is to be established yearly by resolution of this Board,

NOW, THEREFORE, BE IT RESOLVED that the regular meetings of the Board of Regents be held on the following dates in 2008, subject to change, providing that due notice is given by the Secretary of the Board in accordance with the Bylaws of the Board of Regents:

January 17, 2008	UW Burke Museum
February 21	
March 20	UW Tacoma
April 17*	
May 15	
June 12	1:00 p.m., UW Tower
July 17	
August 21*	
September 18	
October 16	
November 20	
December 11*	

TIME: **3:00 p.m.** (except as otherwise noted) unless public notice is given to the contrary

PLACE: **Petersen Room of the Allen Library** (except as otherwise noted)

*April, August, and December meetings will be canceled, circumstances permitting.

(Any changes in the above schedule will be announced at least 24 hours before the time of the scheduled regular meeting with due notice to press and public in accordance with RCW Chapter 42.30.)

To request disability accommodations, contact the Office of the ADA Coordinator, at least ten days in advance of the event. 543-6450 (voice); 543-6452 (TDD); 685-3885 (FAX); access@u.washington.edu (E-mail).

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

UW

Report of Contributions

University of Washington
University of Washington Foundation

September 2007

NOTES AS OF SEPTEMBER 30, 2007

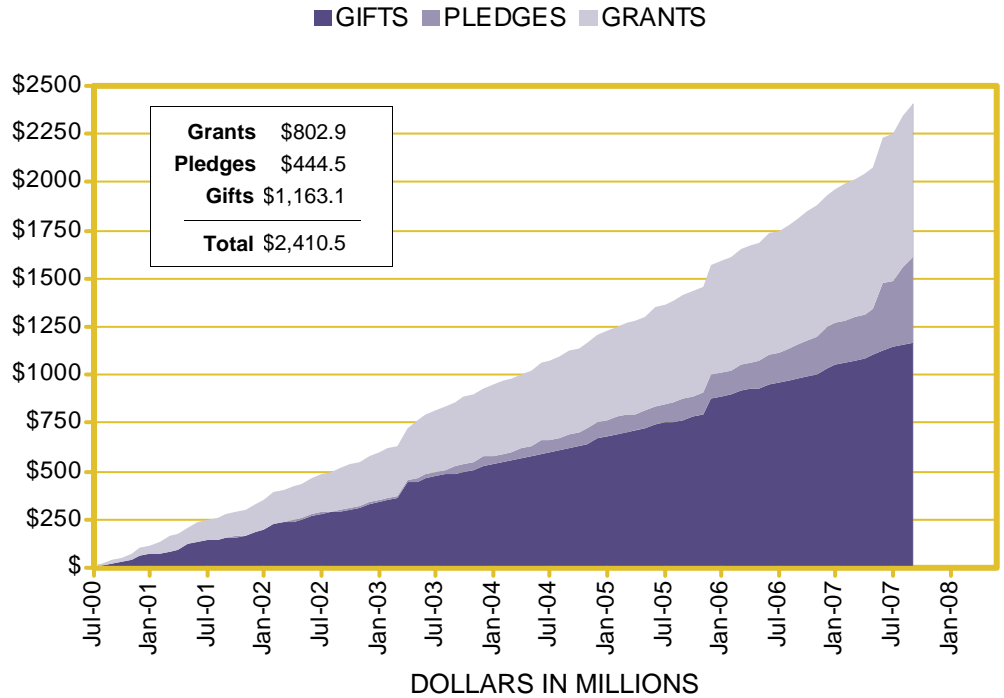
DATA POINTS

\$2,410,535,708 has been raised toward our campaign goal of \$2.5 billion.

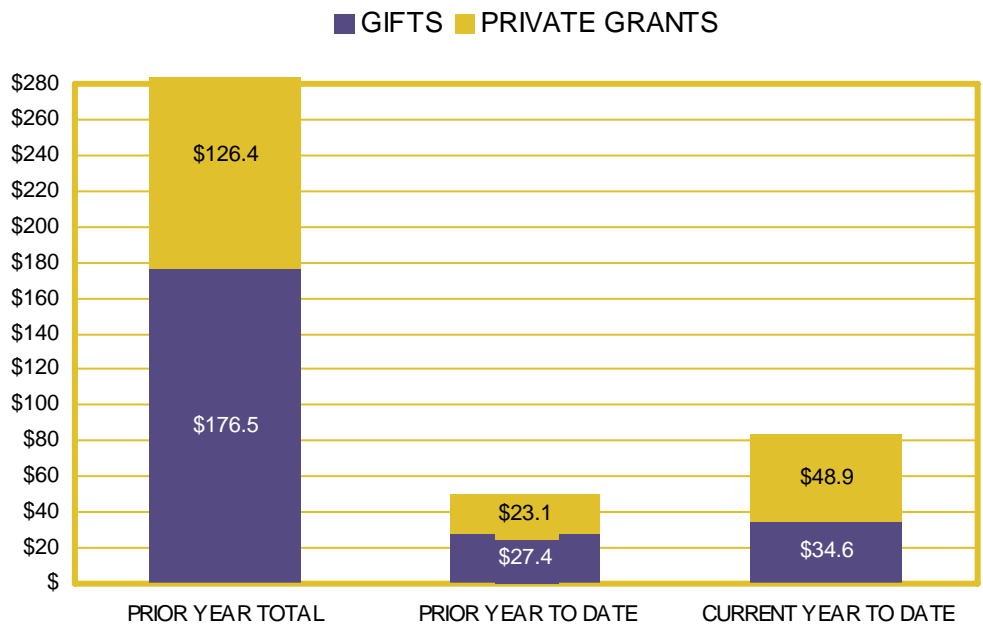
The UW received \$25.9 million in total private voluntary support (\$9.9 million in gifts and \$16.0 in grants) in September.

Areas including Architecture, Broadcast Services, Business School, Forest Resources, Information School, Intercollegiate Athletics, Law, Libraries, Ocean and Fisheries, Scholar. & Student Progs. and UW Medicine are ahead of last year's year-to-date totals.

FUNDRAISING PROGRESS SINCE JULY 1, 2000



CURRENT GIFT AND PRIVATE GRANT TOTALS



SEPTEMBER 2007 GIFTS AND IMPACT

Selected gifts representing private support for one of the University of Washington's key fundraising priorities -- student, faculty, program and facility support.F

Russell L. Castner, D.D.S. - \$35,000 to the School of Public Health and Community Medicine

- Dr. Russell L. Castner's support for students in the Department of Environmental and Occupational Health Sciences includes his own Endowed Student Research Fund, enabling students to conduct a higher level of research activities than would be possible without private support; the Jack and Betty Hatlen and Charles and Cind Treser Endowments for undergraduate students; and the School of Public Health Endowed Fellowship.
- Dr. Castner graduated with a Bachelor of Science in Environmental Health in 1971. Faced with a hiring freeze in his field, he changed career plans and earned his D.D.S. from the University of Washington. Throughout his 32-year career as a dentist, Dr. Castner remained interested in environmental and occupational health sciences, which explore the relationship between human health and the environment.
- Dr. Castner's support recognizes and honors the program's leader for nearly 40 years, Professor Jack Hatlen, and Dr. Castner's long-time friends, SPHCM Professor Charles Treser and his late wife Cind.

Zhijia Shen – \$5,000 to University Libraries

- Zhijia Shen, new director of the University's East Asia Library, has created an endowment to support undergraduate and graduate student employees at the UW East Asia Library. The Wang Gesen and Zhou Guangqin East Asia Library Endowed Student Support Fund, named in honor of Dr. Shen's in-laws, will assist these students in pursuing careers in East Asia Librarianship, including the cost of attending conferences and training.
- Dr. Shen's gift will receive a 100% match from the University of Washington through the Faculty/Staff/Retiree Campaign for Students. With a minimum contribution of \$5,000, any current or retired faculty or staff member can create a named endowment benefiting undergraduate, graduate or professional students.
- The East Asia Library supports teaching and research activities on East Asian studies at the University of Washington. The library holds more than 500,000 volumes.

Washington Women's Foundation - \$45,000 to the College of Engineering

- A gift from the Washington Women's Foundation to Seattle MESA (Math, Engineering, Science Achievement) in the UW College of Engineering will support 100 underrepresented students in achieving academic success during the critical freshman year of high school. Students from Seattle's Cleveland, Franklin, Garfield, Rainier Beach and Chief Sealth High Schools participate in a three-week intensive summer math camp, after-school tutoring, and monthly Saturday Academy enrichment/leadership sessions at the University of Washington. Results from this project not only include higher grades, but better preparation and higher aspirations for college.
- Washington Women's Foundation began in 1995, when 116 women joined to combine their resources to address the philanthropic needs of the community. In the last eight years, the foundation has grown to more than 420 members and awarded grants totaling nearly \$6.6 million.





REPORT OF CONTRIBUTIONS

September 2007

CAMPAIGN UW: CREATING FUTURES

Report prepared by: Office of Development and Alumni Relations
Advancement Services, Information Management

10/9/2007 11:51 AM

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*All Campaign totals represented are from July 1, 2000 through the end of the preceding calendar month. Please note that grant revenue totals in Campaign Reports may contain clinical trials. Fundraising totals from all affiliated non-profit organizations are also included in UW Campaign totals.

The UW Campaign Executive Committee developed the counting policy for Campaign reports, based on Council for Advancement and Support of Education (CASE) campaign counting standards.

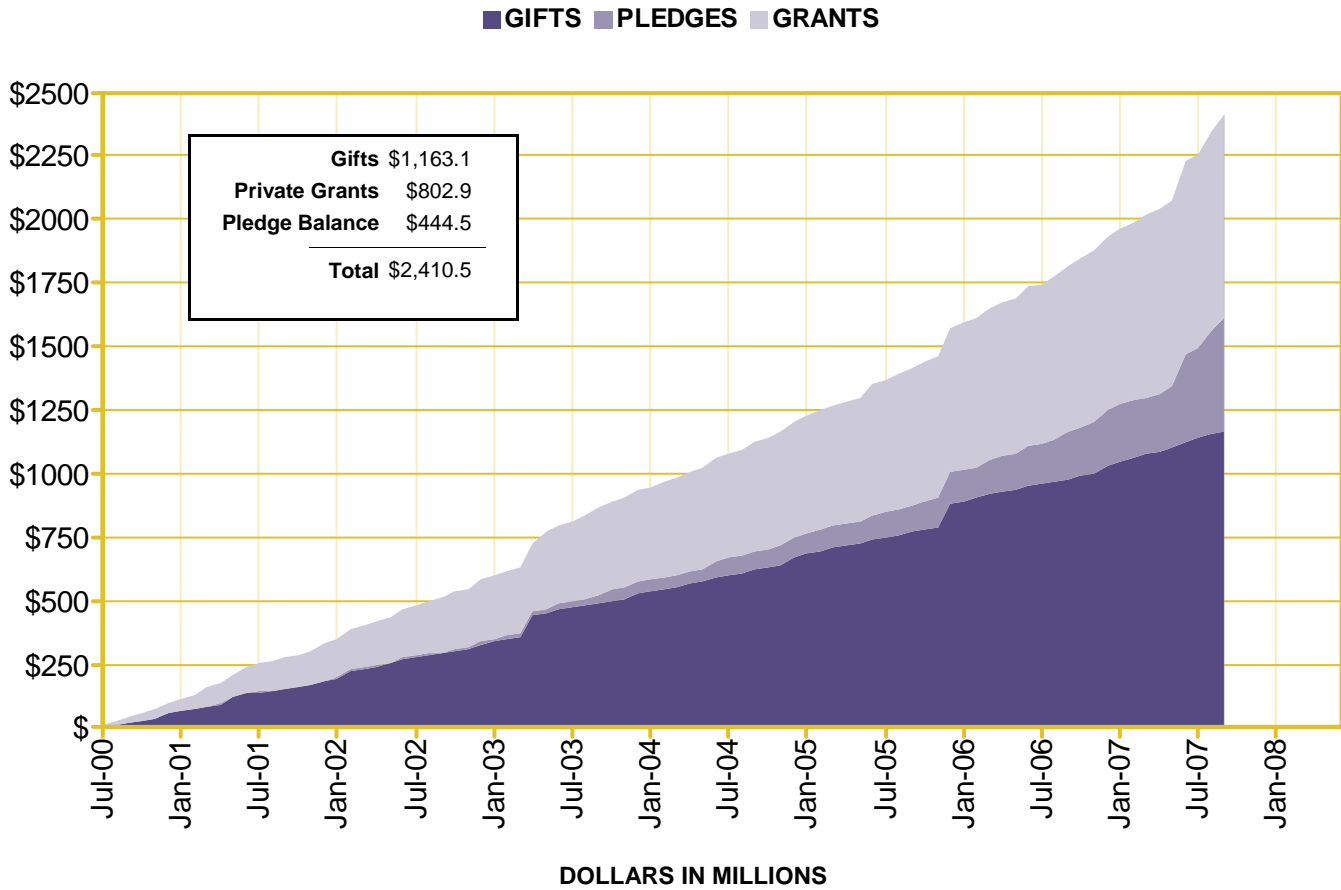
Annual reporting is July 1, 2007 through the end of the preceding calendar month.

Job Number: 77065
September 2007

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CAMPAIGN PROGRESS SINCE JULY 1, 2000



Source: UW Office of Development

Summarizes Total Private Voluntary Support since July 1, 2000. Testamentary Commitments included in Pledge Balance total. All dollar totals in millions.

Job Number: 77065
 September 2007

Fundraising Progress Since July 1, 2000



CAMPAIGN PROGRESS BY GIVING LEVEL

DOLLARS RAISED							
Gifts, Pledges & Grants							
Giving Level *	Alumni	Non Alumni	Family Fndns.	Corporations	Foundations	Other Orgs.	Total
\$100M+	\$0	\$35,220	\$0	\$0	\$413,078,851	\$0	\$413,114,071
\$50M - \$99,999,999	\$0	\$0	\$0	\$0	\$0	\$0	\$0
\$10M - \$49,999,999	\$53,105,983	\$85,615,867	\$72,282,500	\$76,526,086	\$111,870,047	\$57,499,920	\$456,900,403
\$5M - \$9,999,999	\$29,867,572	\$16,157,796	\$0	\$54,514,264	\$51,980,736	\$61,180,902	\$213,701,270
\$1M - \$4,999,999	\$144,588,202	\$72,423,896	\$33,075,382	\$134,795,557	\$110,607,002	\$97,467,077	\$592,957,115
\$100,000 - \$999,999	\$105,194,998	\$79,243,382	\$25,727,179	\$138,410,632	\$68,596,375	\$64,155,656	\$481,328,221
\$25,000 - \$99,999	\$29,988,636	\$22,370,611	\$3,227,783	\$32,018,950	\$8,603,659	\$11,188,551	\$107,398,192
\$10,000 - \$24,999	\$21,283,604	\$15,201,278	\$844,620	\$10,900,414	\$1,772,109	\$2,281,034	\$52,283,058
\$5,000 - \$9,999	\$13,279,404	\$10,246,673	\$282,318	\$5,133,933	\$612,618	\$786,606	\$30,341,552
\$2,000 - \$4,999	\$10,324,363	\$8,251,719	\$63,542	\$3,450,940	\$274,492	\$528,822	\$22,893,878
\$1,000 - \$1,999	\$6,527,854	\$5,344,598	\$22,991	\$1,490,630	\$83,655	\$241,145	\$13,710,874
\$500 - \$999	\$5,664,099	\$4,386,193	\$5,460	\$744,033	\$31,575	\$97,511	\$10,928,871
\$250 - \$499	\$3,733,079	\$2,356,655	\$2,000	\$315,243	\$11,855	\$44,743	\$6,463,575
\$100 - \$249	\$3,049,326	\$2,215,970	\$2,515	\$297,428	\$8,017	\$34,085	\$5,607,341
\$1 - \$99	\$1,523,600	\$1,293,073	\$239	\$77,994	\$971	\$11,410	\$2,907,287
Total	\$428,130,719	\$325,142,931	\$135,536,529	\$458,676,104	\$767,531,963	\$295,517,462	\$2,410,535,708
DONOR COUNTS							
Giving Level	Alumni	Non Alumni	Family Fndns.	Corporations	Foundations	Other Orgs.	Total
\$100M+	0	2	0	0	1	0	3
\$50M - \$99,999,999	0	0	0	0	0	0	0
\$10M - \$49,999,999	13	11	4	5	6	4	43
\$5M - \$9,999,999	7	7	0	7	8	8	37
\$1M - \$4,999,999	116	107	16	65	50	46	400
\$100,000 - \$999,999	617	684	78	499	204	201	2,283
\$25,000 - \$99,999	1,199	1,172	75	695	174	222	3,537
\$10,000 - \$24,999	2,567	2,402	59	812	123	156	6,119
\$5,000 - \$9,999	3,395	3,309	46	860	101	128	7,839
\$2,000 - \$4,999	5,457	5,814	23	1,326	95	191	12,906
\$1,000 - \$1,999	7,252	7,489	20	1,345	69	210	16,385
\$500 - \$999	11,170	10,980	12	1,348	53	166	23,729
\$250 - \$499	13,598	11,251	7	1,089	37	146	26,128
\$100 - \$249	23,611	23,026	17	2,300	55	266	49,275
\$1 - \$99	43,584	45,960	5	1,990	23	320	91,882
Total	112,586	112,214	362	12,341	999	2,064	240,566

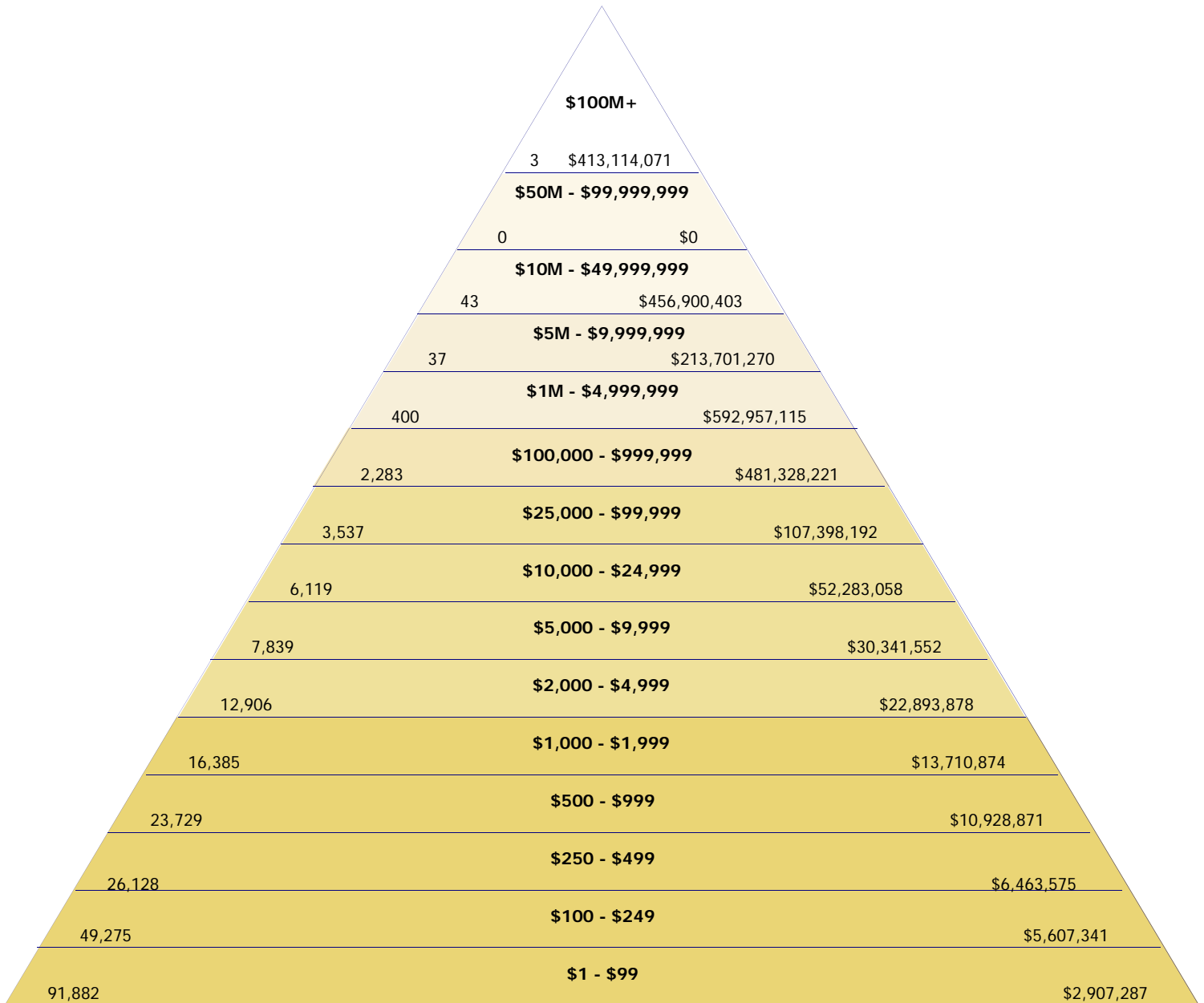
Source: UW Office of Development & Alumni Relations

This report shows the count of distinct donors and campaign total by giving level and donor type since July 1, 2000.

*"Giving Level" is determined by summing all gift record types (including grants)



CAMPAIGN PROGRESS BY PYRAMID, SINCE JULY 1, 2000



240,566 Donor Count

Campaign Total: \$2,410,535,708

*Campaign Working Goal: \$2,500,000,000

Percent Complete: 96.4%

Source: UW Office of Development

The counts of distinct donors and fundraising totals by giving level are shown.

*Unit campaign goals are still being finalized.

Pyramid Levels are determined by summing all gift record types (including grants)



CAMPAIGN PROGRESS BY CONSTITUENCY

	GIFTS	Irrevocable Deferred Gifts		PLEDGES	Testamentary Commitments		GRANTS	Campaign - Private Voluntary Support		
		Donor Value	Discount Value		Donor Value	Discount Value		TOTAL	Working Goal	% of Goal
UW Medicine	\$316,012,400	\$11,624,834	\$6,906,224	\$175,660,066	\$29,701,186	\$10,798,245	\$424,959,646	\$957,958,131	\$1,000,000,000	95.8%
Architecture	\$8,203,850	\$2,244,117	\$663,889	\$1,199,379	\$2,275,000	\$1,311,271	\$4,043,804	\$17,966,150	\$19,000,000	94.6%
Arts and Sciences	\$121,302,691	\$6,927,342	\$4,821,020	\$8,924,043	\$38,156,000	\$24,499,673	\$76,617,623	\$251,927,700	\$240,000,000	105.0%
Broadcast Services	\$54,048,475	\$0	\$0	\$14,369	\$0	\$0	\$0	\$54,062,843	N/A	N/A
Business School	\$72,055,264	\$1,025,743	\$804,991	\$72,560,463	\$7,915,271	\$5,416,671	\$368,335	\$153,925,075	\$135,000,000	114.0%
Dentistry	\$8,386,886	\$246,992	\$133,306	\$2,237,025	\$910,000	\$508,954	\$4,300,296	\$16,081,200	\$15,000,000	107.2%
Education	\$11,409,656	\$28,552	\$33,206	\$2,531,062	\$2,050,000	\$1,261,668	\$15,357,939	\$31,377,208	\$23,000,000	136.4%
Engineering	\$141,221,617	\$2,659,904	\$1,606,515	\$7,622,278	\$7,464,691	\$2,978,632	\$82,055,018	\$241,023,508	\$250,000,000	96.4%
Evans Schl. of Pub. Affairs	\$12,064,033	\$81,135	\$113,550	\$1,118,229	\$500,000	\$265,793	\$41,469,171	\$55,232,567	\$40,000,000	138.1%
Forest Resources	\$10,190,925	\$646,572	\$541,911	\$55,060	\$2,915,000	\$1,609,906	\$4,120,621	\$17,928,177	\$17,700,000	101.3%
Friday Harbor Labs	\$6,270,967	\$153,242	\$90,816	\$182,604	\$5,255,000	\$2,978,876	\$862,743	\$12,724,556	\$12,000,000	106.0%
Information School	\$3,229,935	\$25,000	\$8,102	\$277,185	\$100,000	\$64,666	\$2,241,458	\$5,873,578	\$5,000,000	117.5%
Intercollegiate Athletics	\$116,172,855	\$246,570	\$175,331	\$4,209,082	\$260,000	\$160,402	\$0	\$120,888,507	\$110,000,000	109.9%
Law	\$62,260,103	\$71,186	\$38,755	\$1,181,656	\$674,988	\$417,533	\$1,176,222	\$65,364,154	\$70,000,000	93.4%
Libraries	\$6,747,009	\$442,146	\$381,541	\$70,285	\$1,893,000	\$829,910	\$475,708	\$9,628,147	\$9,000,000	107.0%
Nursing	\$11,312,860	\$3,295,000	\$2,544,829	\$1,018,212	\$3,472,799	\$1,782,141	\$9,271,088	\$28,369,959	\$24,000,000	118.2%
Ocean and Fisheries	\$14,436,609	\$5,823,186	\$2,711,103	\$56,085	\$0	\$0	\$32,249,587	\$52,565,467	\$34,000,000	154.6%
Pharmacy	\$10,103,243	\$0	\$0	\$605,386	\$0	\$0	\$6,339,399	\$17,048,028	\$10,260,000	166.2%
President's Funds	\$6,157,322	\$748,472	\$641,292	\$304,319	\$3,230,000	\$1,543,929	\$0	\$10,440,113	N/A	N/A
Public Health	\$14,248,035	\$50,259	\$39,563	\$9,879,502	\$0	\$0	\$71,757,548	\$95,935,343	\$90,000,000	106.6%
Scholar. & Student Progs.	\$42,022,331	\$1,826,486	\$814,919	\$2,903,500	\$6,718,333	\$3,513,133	\$1,864,332	\$55,334,982	\$40,000,000	138.3%
Social Work	\$6,262,596	\$290,566	\$183,270	\$6,089,309	\$0	\$0	\$7,137,804	\$19,780,275	\$10,000,000	197.8%
University Press	\$3,884,720	\$108,239	\$91,553	\$15,090	\$0	\$0	\$0	\$4,008,049	\$3,000,000	133.6%
University Support	\$38,851,235	\$1,858,394	\$1,052,422	\$4,686,557	\$12,050,000	\$5,339,222	\$15,445,770	\$72,891,957	N/A	N/A
UW Bothell	\$2,612,059	\$0	\$0	\$46,698	\$0	\$0	\$750,500	\$3,409,257	\$5,700,000	59.8%
UW Tacoma	\$23,037,808	\$195,000	\$181,257	\$12,752,710	\$2,725,000	\$1,535,533	\$80,257	\$38,790,775	\$35,000,000	110.8%
All UW Total	\$1,122,505,483	\$40,618,936	\$24,579,365	\$316,200,154	\$128,266,268	\$66,816,158	\$802,944,868	\$2,410,535,708	\$2,500,000,000	96.4%

Source: UW Office of Development

Fundraising progress toward campaign working goals by constituency area (school/college/program). Campaign total is the sum of gifts, grants, active pledges and donor values of irrevocable deferred gifts and testamentary commitments. "N/A" is not applicable. 1 - "Pledges" are those in active status only. 2 - "Grants" are private grants only. 3 - Unit campaign working goals are still being finalized.

Job Number: 77065
September 2007

Campaign Progress by Constituency - Since July 2000



CAMPAIGN FUNDING THEME PROGRESS - JULY 2000 - PRESENT

THEME	CURRENT USE	ENDOWMENT	TOTAL
Student Support	\$106,827,365	\$194,392,181	\$301,219,547
Faculty Support	\$95,323,055	\$155,267,497	\$250,590,552
Program Support for Faculty and Students	\$1,176,690,160	\$154,366,189	\$1,331,056,348
Capital	\$293,423,074	\$1,778,269	\$295,201,342
Unrestricted	\$200,209,129	\$32,258,791	\$232,467,920
Total	\$1,872,472,782	\$538,062,926	\$2,410,535,708
Goal	\$2,000,000,000	\$500,000,000	\$2,500,000,000
% to Goal	93.6%	107.6%	96.4%

Source: UW Office of Development

This report shows contribution totals by campaign theme/priority since July 1, 2000.

Job Number: 77065
 September 2007

Campaign Theme Progress



DEVELOPMENT SUMMARY - TOTAL PRIVATE VOLUNTARY SUPPORT

AREA	YEAR TO DATE DONOR VALUES		
	GIFTS	PRIVATE GRANTS	TOTAL
UW Seattle			
UW Medicine	\$12,904,547	\$37,274,521	\$50,179,068
Architecture	\$169,210	\$52,500	\$221,710
Arts and Sciences	\$5,794,000	\$931,981	\$6,725,981
Broadcast Services	\$1,843,779		\$1,843,779
Business School	\$1,828,679		\$1,828,679
Dentistry	\$175,460	\$34,964	\$210,424
Education	\$259,364	\$25,000	\$284,364
Engineering	\$3,025,351	\$404,887	\$3,430,238
Evans Schl. of Pub. Affairs	\$79,780	\$727,714	\$807,494
Forest Resources	\$245,030	\$213,902	\$458,932
Graduate School	\$80,239	\$66,958	\$147,197
Information School	\$64,165	\$745,000	\$809,165
Intercollegiate Athletics	\$3,631,182		\$3,631,182
Law	\$818,968		\$818,968
Libraries	\$142,775		\$142,775
Nursing	\$371,929	\$719,963	\$1,091,892
Ocean and Fisheries	\$139,815	\$5,286,432	\$5,426,247
Pharmacy	\$90,176	\$263,610	\$353,786
President's Funds	\$124,667		\$124,667
Public Health	\$121,782	\$2,067,775	\$2,189,558
Scholar. & Student Progs.	\$668,944		\$668,944
Social Work	\$23,470	\$110,786	\$134,256
UW Alumni Association	\$209,312		\$209,312
University Press	\$109,099		\$109,099
University Support	\$1,363,292		\$1,363,292
UW Bothell	\$30,981		\$30,981
UW Tacoma	\$270,241		\$270,241
All UW Total	\$34,586,251	\$48,925,994	\$83,512,245

Source: UW Office of Development

Contribution totals for the major Development areas of the University are shown.

Job Number: 77065

September 2007

Development Area Summary - Total Private Voluntary Support



DEVELOPMENT SUMMARY - GIFTS AND PRIVATE GRANTS

AREA	CURRENT MONTH		YEAR TO DATE		PRIOR YEAR TO DATE		PRIOR FISCAL YEAR	
	Donors	Value	Donors	Value	Donors	Value	Donors	Value
UW Seattle								
UW Medicine	3,552	\$17,118,584	4,801	\$50,179,068	3,774	\$19,710,887	18,369	\$106,235,847
Architecture	110	\$101,178	190	\$221,710	147	\$209,896	1,511	\$1,285,219
Arts and Sciences	1,941	\$1,321,702	3,295	\$6,725,981	3,801	\$6,879,068	17,118	\$34,367,909
Broadcast Services	431	\$706,440	1,024	\$1,843,779	1,374	\$1,618,040	4,560	\$10,835,348
Business School	805	\$704,913	1,360	\$1,828,679	1,331	\$1,643,425	4,542	\$16,631,593
Dentistry	153	\$62,886	280	\$210,424	375	\$313,838	1,410	\$1,721,826
Education	95	\$35,977	492	\$284,364	600	\$1,303,218	1,869	\$4,112,575
Engineering	489	\$801,720	882	\$3,430,238	898	\$4,216,580	4,586	\$26,027,370
Evans Schl. of Pub. Affairs	35	\$188,109	85	\$807,494	108	\$1,045,786	522	\$7,146,491
Forest Resources	111	\$61,277	307	\$458,932	162	\$157,077	1,208	\$1,929,058
Graduate School	26	\$64,274	41	\$147,197	52	\$353,455	415	\$2,481,332
Information School	202	\$174,330	250	\$809,165	295	\$246,604	651	\$686,093
Intercollegiate Athletics	588	\$1,388,526	3,034	\$3,631,182	2,769	\$3,115,462	24,151	\$21,911,161
Law	84	\$113,602	227	\$818,968	173	\$346,360	2,019	\$2,960,515
Libraries	195	\$51,760	353	\$142,775	304	\$44,436	4,428	\$1,143,601
Nursing	176	\$136,467	279	\$1,091,892	307	\$3,936,100	1,849	\$6,185,723
Ocean and Fisheries	102	\$928,563	142	\$5,426,247	174	\$731,617	790	\$7,085,809
Pharmacy	168	\$138,323	219	\$353,786	199	\$417,986	1,153	\$3,527,989
President's Funds	242	\$88,125	508	\$124,667	401	\$55,414	2,096	\$726,232
Public Health	114	\$989,462	156	\$2,189,558	216	\$2,415,386	788	\$11,799,217
Scholar. & Student Progs.	875	\$301,087	1,813	\$668,944	552	\$146,183	3,881	\$13,158,596
Social Work	75	\$4,179	134	\$134,256	120	\$165,951	980	\$5,996,397
UW Alumni Association	1,179	\$53,227	4,071	\$209,312	5,991	\$258,330	24,884	\$1,216,529
University Press	36	\$58,175	67	\$109,099	84	\$44,083	311	\$1,822,053
University Support	123	\$231,201	659	\$1,363,292	720	\$368,169	2,365	\$7,142,087
UW Bothell	42	\$2,492	76	\$30,981	77	\$135,969	647	\$406,048
UW Tacoma	88	\$61,424	163	\$270,241	140	\$587,658	810	\$4,346,953
All UW Unique Total	11,127	\$25,888,017	23,040	\$83,512,245	23,091	\$50,466,991	105,353	\$302,889,584

Source: UW Office of Development

The number of donors and contribution totals for the major Development areas of the University are shown. Dollar values are based on donor values.

Job Number: 77065

September 2007

Development Area Summary - Gifts and Private Grants



DEVELOPMENT SUMMARY - GIFTS

AREA	CURRENT MONTH		YEAR TO DATE		PRIOR YEAR TO DATE		PRIOR FISCAL YEAR	
	Donors	Value	Donors	Value	Donors	Value	Donors	Value
UW Seattle								
UW Medicine	3,493	\$3,818,251	4,661	\$12,904,547	3,646	\$6,665,138	18,109	\$35,839,896
Architecture	110	\$101,178	188	\$169,210	147	\$209,896	1,504	\$1,015,838
Arts and Sciences	1,935	\$1,048,801	3,277	\$5,794,000	3,772	\$3,459,452	17,051	\$22,448,302
Broadcast Services	431	\$706,440	1,024	\$1,843,779	1,374	\$1,618,040	4,560	\$10,835,348
Business School	805	\$704,913	1,360	\$1,828,679	1,330	\$1,615,078	4,541	\$16,603,246
Dentistry	153	\$62,886	279	\$175,460	373	\$253,712	1,400	\$1,302,793
Education	95	\$35,977	491	\$259,364	595	\$474,851	1,859	\$1,560,076
Engineering	485	\$676,405	873	\$3,025,351	882	\$2,983,902	4,531	\$15,097,858
Evans Schl. of Pub. Affairs	33	\$3,896	78	\$79,780	98	\$70,564	503	\$2,577,597
Forest Resources	111	\$61,277	304	\$245,030	159	\$124,636	1,191	\$1,416,624
Graduate School	25	\$25,774	39	\$80,239	49	\$218,624	410	\$1,665,861
Information School	201	\$34,330	249	\$64,165	292	\$72,880	648	\$436,870
Intercollegiate Athletics	588	\$1,388,526	3,034	\$3,631,182	2,769	\$3,115,462	24,151	\$21,911,161
Law	84	\$113,602	227	\$818,968	173	\$346,360	2,015	\$2,773,835
Libraries	195	\$51,760	353	\$142,775	304	\$44,436	4,428	\$1,143,601
Nursing	175	\$72,043	276	\$371,929	304	\$3,835,561	1,842	\$5,457,618
Ocean and Fisheries	99	\$18,584	134	\$139,815	169	\$393,287	763	\$2,515,555
Pharmacy	167	\$54,562	217	\$90,176	195	\$183,032	1,144	\$1,707,704
President's Funds	242	\$88,125	508	\$124,667	401	\$55,414	2,096	\$726,232
Public Health	108	\$97,954	143	\$121,782	207	\$165,295	749	\$669,251
Scholar. & Student Progs.	875	\$301,087	1,813	\$668,944	552	\$146,183	3,881	\$12,158,596
Social Work	75	\$4,179	132	\$23,470	117	\$23,502	970	\$4,249,650
UW Alumni Association	1,179	\$53,227	4,071	\$209,312	5,991	\$258,330	24,884	\$1,216,529
University Press	36	\$58,175	67	\$109,099	84	\$44,083	311	\$1,822,053
University Support	123	\$231,201	659	\$1,363,292	719	\$313,169	2,358	\$4,590,672
UW Bothell	42	\$2,492	76	\$30,981	77	\$135,969	647	\$406,048
UW Tacoma	88	\$61,424	163	\$270,241	140	\$587,658	808	\$4,341,386
All UW Unique Total	11,051	\$9,877,082	22,859	\$34,586,251	22,913	\$27,414,529	104,934	\$176,490,215

Source: UW Office of Development

The number of donors and contribution totals (gifts only) for the major Development areas of the University are shown. Dollar values are based on donor values.

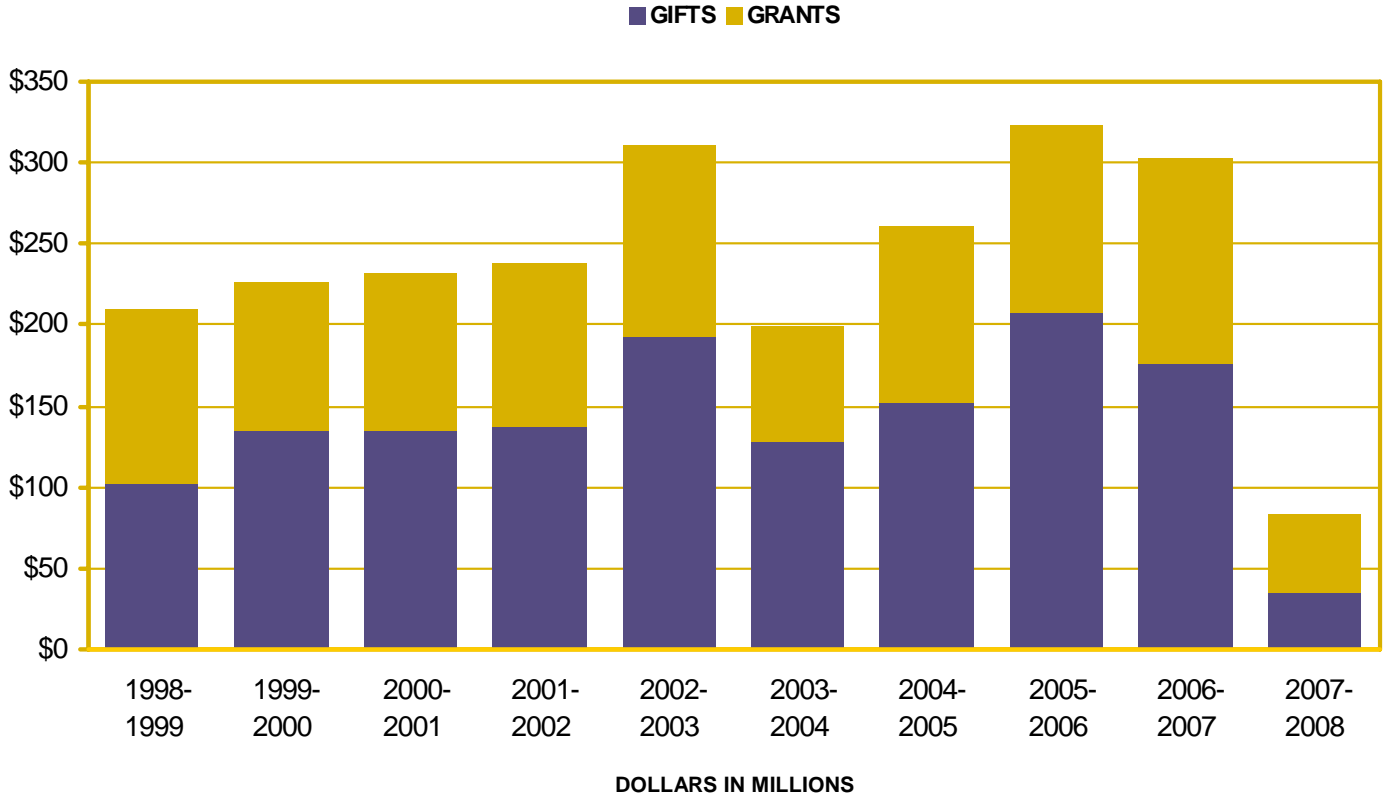
Job Number: 77065

September 2007

Development Area Summary - Gifts



COMPLETE FISCAL YEAR COMPARISON OF TOTAL CONTRIBUTIONS RECEIVED



FISCAL YEAR	GIFTS	GRANTS	TOTAL CONTRIBUTIONS
2007- 2008	\$34,586,251	\$48,925,994	\$83,512,245
2006- 2007	\$176,490,215	\$126,399,369	\$302,889,584
2005- 2006	\$207,744,231	\$115,261,186	\$323,005,417
2004- 2005	\$151,969,925	\$108,802,371	\$260,772,296
2003- 2004	\$128,174,367	\$71,603,323	\$199,777,690
2002- 2003	\$192,573,183	\$118,677,722	\$311,250,905
2001- 2002	\$137,959,340	\$100,820,547	\$238,779,887
2000- 2001	\$134,805,190	\$97,112,979	\$231,918,169
1999- 2000	\$134,037,997	\$91,536,165	\$225,574,162
1998- 1999	\$102,925,077	\$107,619,586	\$210,544,663

Source: UW Office of Development

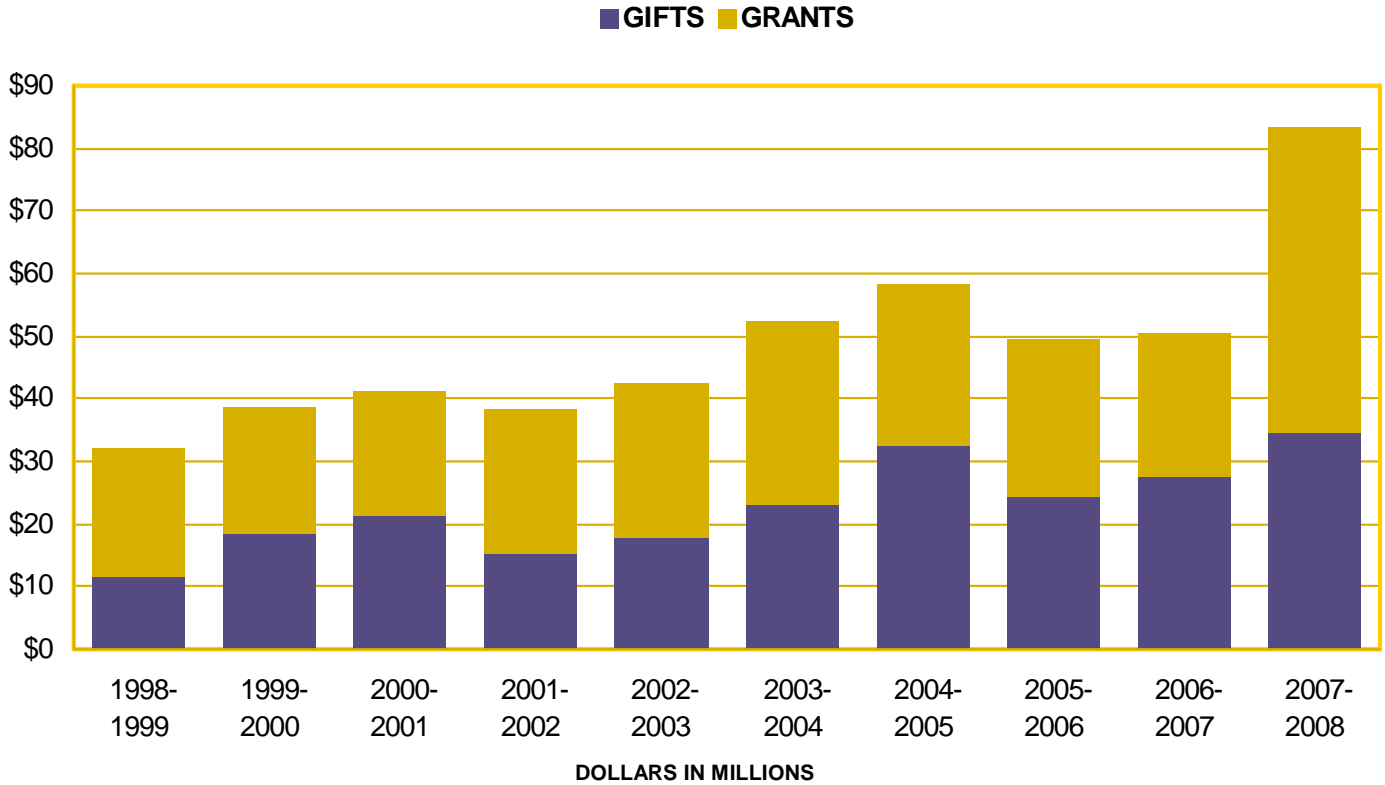
This graph compares the current fiscal year's contribution totals to each of the previous nine fiscal year's contribution totals.

Job Number: 77065
 September 2007

Fiscal Year Totals Graph



YEAR TO DATE CONTRIBUTION TOTALS



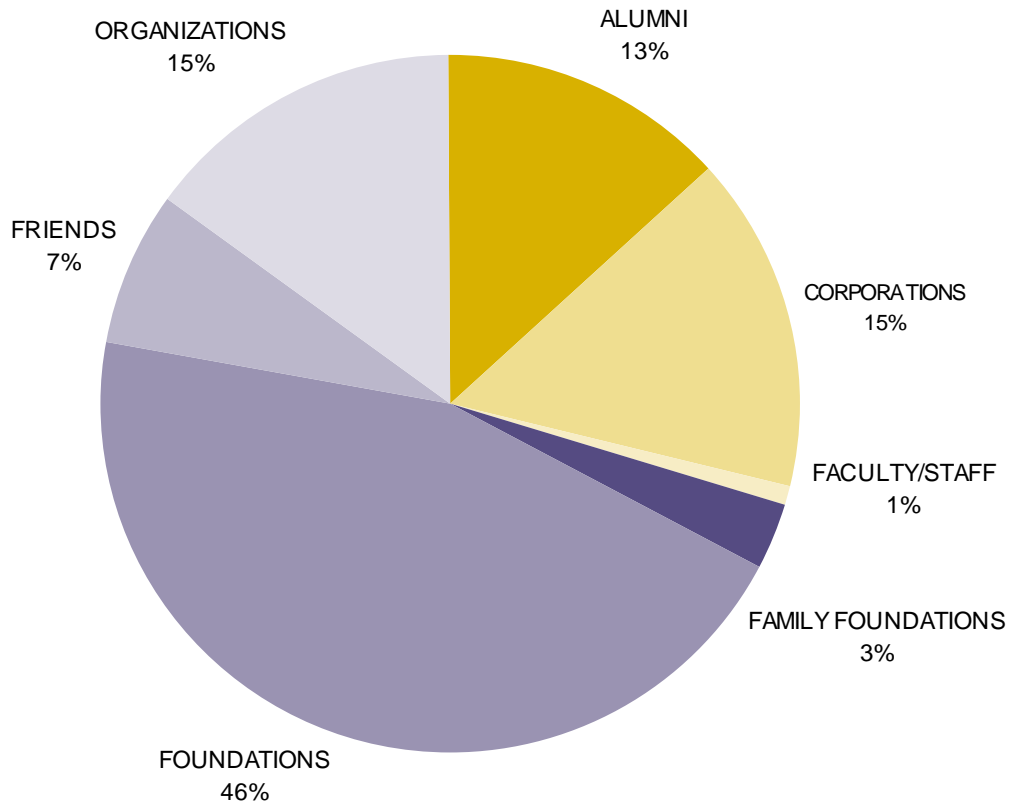
FISCAL YEAR	GIFTS	GRANTS	TOTAL CONTRIBUTIONS
2007- 2008	\$34,586,251	\$48,925,994	\$83,512,245
2006- 2007	\$27,414,529	\$23,052,463	\$50,466,991
2005- 2006	\$24,257,352	\$25,307,056	\$49,564,408
2004- 2005	\$32,475,755	\$25,933,731	\$58,409,486
2003- 2004	\$23,128,776	\$29,149,098	\$52,277,874
2002- 2003	\$17,877,664	\$24,671,474	\$42,549,138
2001- 2002	\$15,196,328	\$23,250,028	\$38,446,357
2000- 2001	\$21,349,307	\$19,740,955	\$41,090,262
1999- 2000	\$18,660,932	\$20,029,863	\$38,690,795
1998- 1999	\$11,577,918	\$20,580,416	\$32,158,334

Source: UW Office of Development

This graph compares the current fiscal year's contribution totals to each of the previous nine fiscal year's contribution totals.



DEVELOPMENT ACTIVITY BY DONOR TYPE IN CURRENT FISCAL YEAR



DONOR TYPE	YEAR TO DATE		PRIOR YEAR TO DATE		PRIOR FISCAL YEAR	
	Donors	Value	Donors	Value	Donors	Value
Alumni	12,540	\$11,194,989	13,413	\$12,515,125	54,753	\$60,282,263
Corporations	718	\$12,817,189	655	\$12,121,353	3,222	\$59,587,495
Faculty/Staff	1,729	\$791,403	1,602	\$501,858	3,711	\$3,848,794
Family Foundations	42	\$2,628,617	33	\$2,845,232	160	\$33,777,254
Foundations	159	\$37,515,589	126	\$8,527,873	405	\$45,676,525
Friends	7,685	\$6,014,986	7,112	\$2,782,174	42,526	\$40,107,637
Organizations	167	\$12,549,473	150	\$11,173,376	576	\$59,609,617

Source: UW Office of Development

This graph shows the sources of contributions for the current year to date. Dollar values are based on donor value.

Job Number: 77065
 September 2007

Development Activity by Donor Type in Current Fiscal Year Chart



ALUMNI PARTICIPATION BY CONSTITUENCY

AREA	CURRENT FISCAL YEAR TO DATE			PREVIOUS FISCAL YEAR TO DATE			
	Solicitible	Donors	Partic. Rate	Solicitible	Donors	Partic. Rate	Final %
UW Seattle							
UW Medicine	18,010	729	4.0%	16,867	724	4.3%	22.0%
Architecture	7,965	284	3.6%	7,709	274	3.6%	19.5%
Arts and Sciences	141,595	5,619	4.0%	138,301	6,116	4.4%	16.6%
Business School	37,430	2,135	5.7%	36,594	2,342	6.4%	22.7%
Dentistry	4,579	284	6.2%	4,525	304	6.7%	27.5%
Education	18,902	872	4.6%	19,116	841	4.4%	20.2%
Engineering	32,393	1,196	3.7%	31,945	1,265	4.0%	17.3%
Evans School of Public Affairs	2,329	129	5.5%	2,119	146	6.9%	25.1%
Forest Resources	4,603	148	3.2%	4,603	156	3.4%	17.9%
Interdisc. Grad. Programs	1,622	74	4.6%	1,463	80	5.5%	18.0%
Interdisc. Undergrad. Programs	225	4	1.8%	170	19	11.2%	24.7%
Interschool Programs	453	14	3.1%	353	16	4.5%	17.8%
Information School	4,370	343	7.8%	4,202	350	8.3%	22.4%
Law	7,780	331	4.3%	7,593	306	4.0%	24.8%
School of Nursing	8,598	427	5.0%	8,469	481	5.7%	24.5%
Ocean & Fisheries	3,911	150	3.8%	3,866	140	3.6%	18.5%
Pharmacy	3,426	189	5.5%	3,364	198	5.9%	30.3%
Public Health	4,318	188	4.4%	4,104	219	5.3%	20.2%
Social Work	6,404	235	3.7%	6,232	266	4.3%	17.8%
UW Bothell	6,084	179	2.9%	5,245	177	3.4%	15.1%
UW Tacoma	7,003	198	2.8%	5,850	183	3.1%	14.4%
Unspecified	10,866	508	4.7%	11,278	519	4.6%	22.8%
All UW Total	305,971	12,540	4.1%	297,648	13,413	4.5%	18.4%

Source: UW Office of Development

Job Number: 77065
 September 2007

Alumni Participation



VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Grant and Contract Awards – August, 2007

RECOMMENDED ACTION:

It is the recommendation of the administration and the Finance and Audit Committee that the Board of Regents accept the Grant and Contract Awards as presented on the attached list.

Attachment: Grant and Contract Awards Summary
Report of Grant and Contract Awards of
\$1,000,000 or More

Grant and Contract Awards Summary

to

The Board of Regents

of the

University of Washington

for

August 2007

Office of Research

Office of Sponsored Programs

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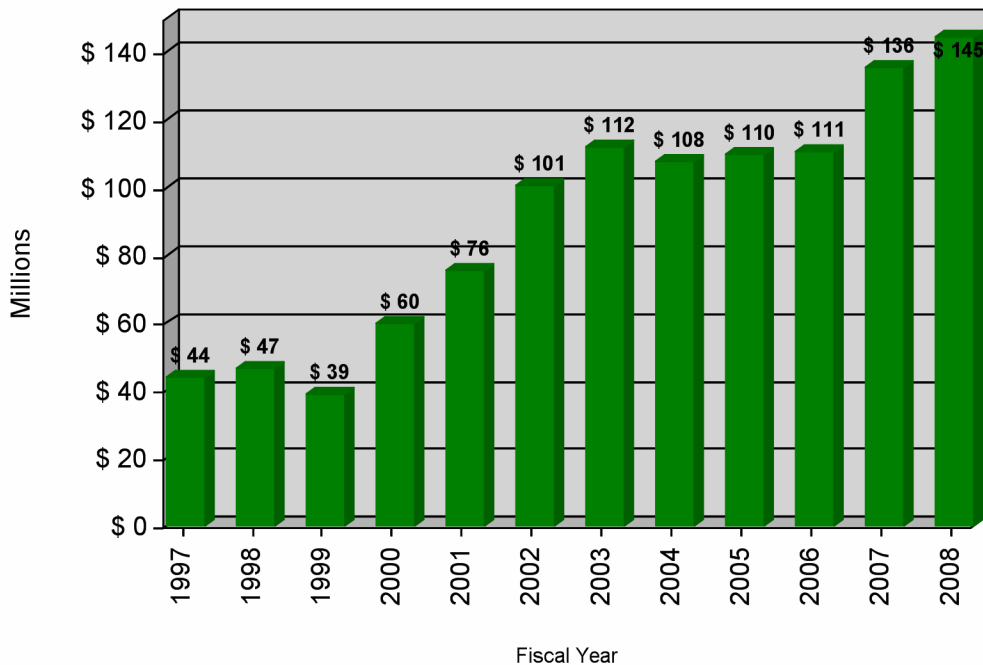
AUGUST 2007 HIGHLIGHTS

The *Gordon and Betty Moore Foundation* awarded a grant in the amount of \$4,126,379 for a project under the direction of *Dean Arthur Nowell* of the *College of Ocean and Fishery Sciences* entitled “Establishment of an International Center for the Study of Pacific Salmon Biodiversity.” This project is designed to establish an international center for the study of Pacific salmon biodiversity at the University of Washington. The center will develop new salmon genetics techniques and facilitate international coordination of genetics studies and data storage in an effort to establish pan-Pacific baselines for salmon stock identification. These new baselines will fundamentally affect salmon science and conservation.

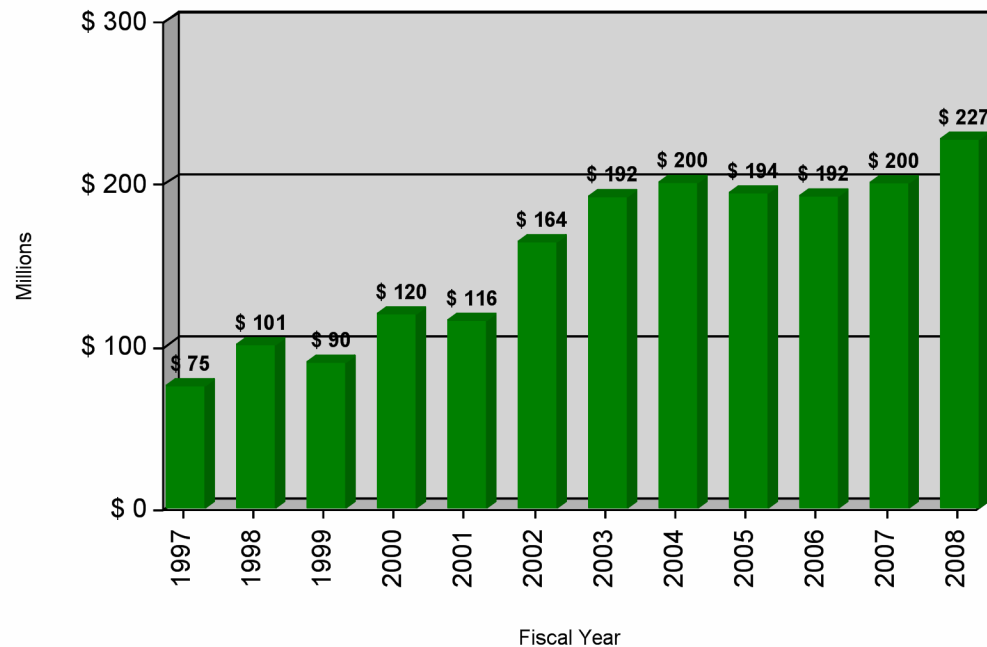
The *National Institutes of Health* awarded a grant in the amount of \$2,250,285 for a project under the direction of *Professor Geraldine Dawson* of the *Center on Human Development and Disability* entitled “UW Autism Center of Excellence.” Preventive efforts aimed at reducing autism symptoms and associated behavioral challenges could result in tremendous cost-savings to society and improve the lives of individuals suffering from autism and their families. The Autism Center of Excellence will pursue this goal in a combination of ways. The researchers seek to discover early risk indices for autism that can increase our ability to identify infants who will eventually develop autism or autism-related symptoms, to develop effective intervention methods for infants and toddlers, and to understand variation in outcome and adaptation in autism through a series of longitudinal studies.

The *National Science Foundation* awarded a grant in the amount of \$557,870 for a project under the direction of *Assistant Professor Dawn Lehman* of the *Department of Civil & Environmental Engineering* entitled “MRI: Acquisition of Equipment to Simulate Collapse of Engineered Systems under Extreme Loads.” Recent history has demonstrated that extreme loading events, such earthquakes, blast, and hurricanes, continue to pose severe threats to the nation’s infrastructure and economy. But to date, engineering evaluation for progressive collapse associated with such events has been conducted using only computational simulation; experimental verification occurs only in rare cases. Yet, the few experimental studies on collapse that have been conducted indicate that analytical simulation alone is incapable of predicting the full-range of structural response. The equipment acquired with this award will extend current structural engineering research capabilities, thus permitting this important work to be carried out.

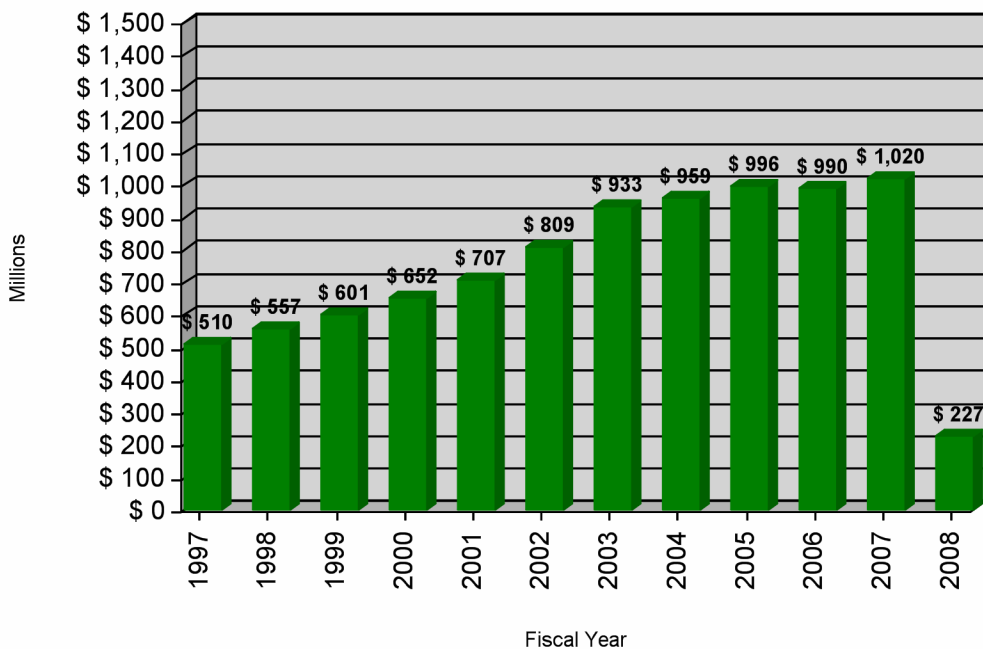
August Only
Comparison of Grant & Contract Awards



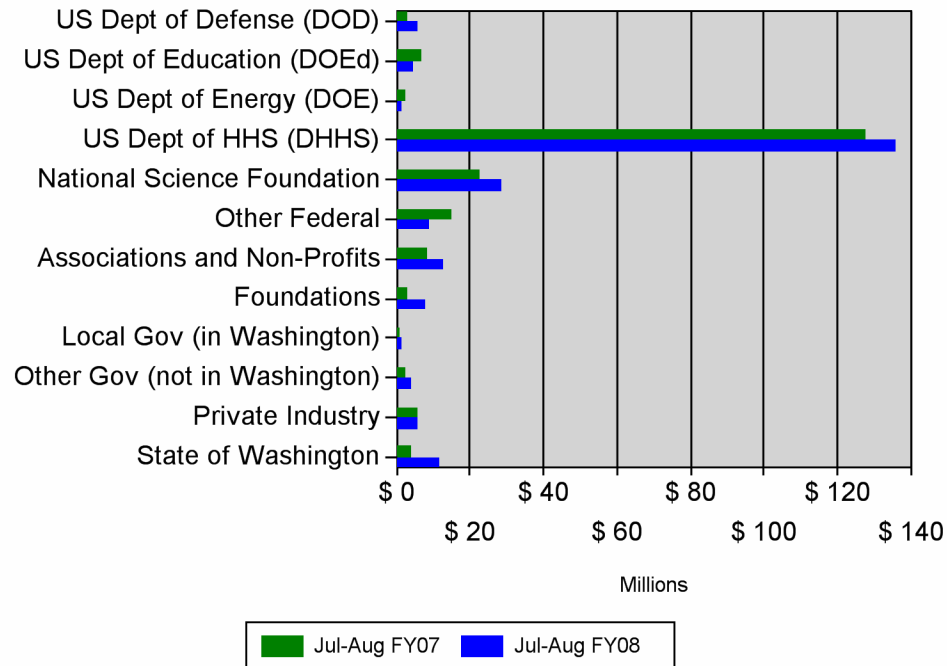
Fiscal Year to Date
Comparison of Grant & Contract Awards



Complete Fiscal Year
Comparison of Grant & Contract Awards



Fiscal Year to Date
Two-Year Comparison of Grant and Contract Awards



Summary of Grant and Contract Awards

Fiscal Year 2007-2008

Month	RESEARCH AND OTHER		TRAINING		Total Grants and Contracts
	Federal	Non-Federal	Federal	Non-Federal	
July	\$ 54,889,260	\$ 15,048,400	\$ 7,034,788	\$ 5,593,291	\$ 82,565,740
August	\$ 111,454,900	\$ 21,120,610	\$ 10,878,860	\$ 1,041,935	\$ 144,496,300
FY08 to Date	\$166,344,198	\$36,169,010	\$17,913,643	\$6,635,226	\$227,062,077
FY07 to Date	\$164,810,673	\$22,416,622	\$11,840,388	\$1,137,210	\$200,204,893
Over (Under) Previous Year	\$1,533,525	\$13,752,388	\$6,073,255	\$5,498,016	\$26,857,184

Assuming acceptance of all awards by the Board of Regents

Comparison of Grant and Contract Awards by Agency

Fiscal Years 2006-2007 and 2007-2008

Agency	Jul-Aug FY07	Jul-Aug FY08
US Department of Defense (DOD)	\$ 2,921,439	\$ 5,634,798
US Department of Education (DOEd)	\$ 6,982,358	\$ 4,562,660
US Department of Energy (DOE)	\$ 2,135,480	\$ 1,126,000
US Department of Health and Human Services (DHHS)	\$ 127,422,238	\$ 135,654,840
National Science Foundation (NSF)	\$ 22,501,902	\$ 28,367,545
Other Federal	\$ 14,687,644	\$ 8,911,998
Subtotal for Federal :	\$ 176,651,061	\$ 184,257,841
Associations and Non-Profits	\$ 8,586,949	\$ 12,560,472
Foundations	\$ 2,758,423	\$ 7,705,164
Local Government (in Washington)	\$ 359,148	\$ 1,381,881
Other Government (not in Washington)	\$ 2,534,759	\$ 3,768,793
Private Industry	\$ 5,475,243	\$ 5,928,239
State of Washington	\$ 3,839,311	\$ 11,459,687
Subtotal for Non-Federal :	\$ 23,553,832	\$ 42,804,236
Grand Total :	\$ 200,204,893	\$ 227,062,077
Amount of Increase (Decrease) :		\$ 26,857,184
Percent of Increase (Decrease) :		13.4 %

Assuming acceptance of all awards by the Board of Regents

Comparison of Grant and Contract Awards by School/College

Fiscal Years 2006-2007 and 2007-2008

School/College	Jul-Aug FY07	Jul-Aug FY08
Upper Campus		
Architecture and Urban Planning	\$ 109,923	\$ 220,546
Arts and Sciences	\$ 18,795,481	\$ 20,535,343
Business Administration	\$ 29,800	\$ 344,721
Education	\$ 3,591,185	\$ 665,746
Engineering	\$ 16,691,786	\$ 16,198,742
Evans School of Public Affairs	\$ 552,308	\$ 846,792
Forest Resources	\$ 1,202,503	\$ 1,645,219
Graduate School	\$ 23,301	\$ 187,689
Information School	\$ 199,801	\$ 1,538,965
Ocean and Fishery Sciences	\$ 9,070,341	\$ 22,545,076
Office of Research	\$ 13,216,038	\$ 3,510,485
Provost		\$ 200,000
Social Work	\$ 1,136,383	\$ 5,326,330
VP Educational Partnerships	\$ 81,347	\$ 43,745
VP Minority Affairs	\$ 2,176,608	\$ 2,176,608
Subtotal :	\$ 66,876,805	\$ 75,986,007
Health Sciences		
Dentistry	\$ 1,293,736	\$ 2,442,853
Medicine	\$ 98,186,746	\$ 98,115,353
Nursing	\$ 3,303,977	\$ 6,389,721
Pharmacy	\$ 2,523,209	\$ 3,819,680
Public Health and Community Medicine	\$ 20,423,499	\$ 17,783,614
Subtotal :	\$ 125,731,167	\$ 128,551,221
Special Programs		
Alcohol and Drug Abuse Institute	\$ 127,214	\$ 653,226
CHDD Administration	\$ 5,300,127	\$ 6,557,898
Regional Primate Center	\$ 1,396,030	\$ 14,222,366
Subtotal :	\$ 6,823,371	\$ 21,433,490
Other UW Campuses		
Bothell	\$ 588,830	\$ 889,359
Tacoma	\$ 184,720	\$ 202,000
Subtotal :	\$ 773,550	\$ 1,091,359
Grand Total :	\$ 200,204,893	\$ 227,062,077

Assuming acceptance of all awards by the Board of Regents

List of Awards for Arts and Sciences**Fiscal Years 2006-2007 and 2007-2008**

Department	Jul-Aug FY07	Jul-Aug FY08
Center for Statistics and the Social Sciences	\$0	\$ 388,907
Center for Studies in Demography and Ecology	\$ 422,154	\$ 226,451
Department of Anthropology	\$ 44,850	\$ 41,606
Department of Applied Mathematics	\$ 579,869	\$0
Department of Astronomy	\$ 823,314	\$ 826,454
Department of Atmospheric Sciences	\$ 2,074,241	\$ 510,194
Department of Biology	\$ 2,863,983	\$ 1,746,004
Department of Chemistry	\$ 5,090,320	\$ 10,092,860
Department of Communication	\$ 201,100	\$ 14,458
Department of Earth and Space Sciences	\$ 476,822	\$ 635,861
Department of Economics	\$0	\$ 6,575
Department of English	\$0	\$ 72,573
Department of History	\$ 70,694	\$0
Department of Linguistics	\$0	\$ 164,666
Department of Mathematics	\$ 203,760	\$ 1,113,158
Department of Physics	\$ 436,751	\$ 794,194
Department of Political Science	\$ 69,696	\$ 102,147
Department of Psychology	\$ 4,371,287	\$ 2,061,545
Department of Sociology	\$ 3,846	\$0
Department of Speech and Hearing Sciences	\$ 558,013	\$ 391,229
Department of Statistics	\$ 20,000	\$ 16,173
Henry M. Jackson School of International Studies	\$0	\$ 1,318,414
Institute for Nuclear Theory	\$ 50,000	\$0
Law, Societies and Justice Program	\$ 50,000	\$0
Public Performing Arts	\$ 21,565	\$ 11,875
School of Art	\$ 48,000	\$0
School of Music	\$ 300,000	\$0
Thomas Burke Memorial Washington State Museum	\$ 15,216	\$0
Total :	\$ 18,795,480	\$ 20,535,340

Assuming acceptance of all awards by the Board of Regents

Summary of Grant Awards

Fiscal Year 2007-2008

Excluding private awards from Foundations, Industry, Associations and Others

Month	RESEARCH AND OTHER		TRAINING		Total Grants
	Federal	Non-Federal	Federal	Non-Federal	
July	\$ 54,392,780	\$ 1,031,886	\$ 7,034,788	\$ 0	\$ 62,459,460
August	\$ 106,676,000	\$ 2,487,815	\$ 10,875,880	\$ 199,000	\$ 120,238,700
Year to Date	\$ 161,068,800	\$ 3,519,701	\$ 17,910,670	\$ 199,000	\$ 182,698,100

Assuming acceptance of all awards by the Board of Regents

Summary of Grant Awards

Fiscal Year 2007-2008

Private awards from Foundations, Industry, Associations and Others

Month	RESEARCH AND OTHER	TRAINING	Total Grants
July	\$ 5,529,786	\$ 223,067	\$ 5,752,853
August	\$ 12,792,000	\$ 604,407	\$ 13,396,400
Year to Date	\$ 18,321,780	\$ 827,474	\$ 19,149,260

Assuming acceptance of all awards by the Board of Regents

Summary of Contract Awards

Fiscal Year 2007-2008

Month	RESEARCH AND OTHER		TRAINING		Total Contracts
	Federal	Non-Federal	Federal	Non-Federal	
July	\$ 496,481	\$ 8,486,723	\$ 0	\$ 5,370,224	\$ 14,353,430
August	\$ 4,778,947	\$ 5,840,805	\$ 2,977	\$ 238,528	\$ 10,861,260
Year to Date	\$ 5,275,428	\$ 14,327,530	\$ 2,977	\$ 5,608,752	\$ 25,214,680

Assuming acceptance of all awards by the Board of Regents

**Report of Grant and Contract Awards
of \$1,000,000 or More**

August 2007

**Requiring action of
The Board of Regents
of the
University of Washington**

**Office of Research
Office of Sponsored Programs**

Detail of Public Grant Awards

Federal

US Department of Education (DOEd)

US Department of Education (DOEd)

To: Loueta D. Johnson, Director \$ 2,176,608
 VP MINORITY AFFAIRS
 For: Two Valleys One Vision GEAR UP Program
 Eff: 9/1/2007

Total for US Department of Education (DOEd): \$ 2,176,608

Total for US Department of Education (DOEd): \$ 2,176,608

US Department of Health and Human Services (DHHS)

Health Resources and Services Administration (HRSA)

To: David H Spach, Professor \$ 2,252,146
 Department of Medicine
 For: Regional AIDS Education and Training Centers Program
 Eff: 7/1/2007

Total for Health Resources and Services Administration (HRSA): \$ 2,252,146

National Institutes of Health (NIH)

To: Scott S. Emerson, Professor \$ 5,550,302
 Department of Biostatistics
 For: EMS Network Data Coordinating Center
 Eff: 7/1/2007

To: Geraldine Dawson, Professor \$ 2,250,285
 Department of Psychology
 For: UW Autism Center of Excellence
 Eff: 8/6/2007

To: Trisha Nell Davis, Professor \$ 2,419,751
 Department of Biochemistry
 For: Comprehensive Biology: Exploiting the Yeast Genome
 Eff: 9/1/2007

To: Stanley C Froehner, Professor \$ 1,285,240
 Department of Physiology and Biophysics
 For: Molecular and Cellular Therapies for Muscular Dystrophy
 Eff: 4/1/2007

To: Allan E. Rettie, Professor \$ 1,601,353
 Department of Medicinal Chemistry
 For: Drug Interactions
 Eff: 8/1/2007

To: Peter S. Rabinovitch, Professor \$ 1,819,964
 Department of Pathology
 For: Gene Action in Pathobiology in Aging
 Eff: 9/1/2007

National Heart, Lung, and Blood Institute (NHLBI)

To: Charles E. Murry, Professor \$ 1,784,816
 Department of Pathology
 For: Mechanisms of Cardiovascular Reaction to Injury
 Eff: 8/1/2007

Detail of Public Grant Awards

Federal

US Department of Health and Human Services (DHHS)

National Institutes of Health (NIH)

National Heart, Lung, and Blood Institute (NHLBI)

To: Thomas R. Martin, Professor \$ 3,306,392
 Department of Medicine

For: SCCOR in Translational Research in Acute Lung Injury

Eff: 7/1/2007

To: Deborah A Nickerson, Professor \$ 2,422,169
 Department of Genome Sciences

For: UW-FHCRC Variation Discovery Resource

Eff: 8/1/2007

Total for National Heart, Lung, and Blood Institute (NHLBI): \$ 7,513,377

National Human Genome Research Institute (NHGRI)

To: Bruce Spencer Weir, Professor \$ 1,250,369
 Department of Biostatistics

For: Genome Wide Association Coordinating Center

Eff: 8/6/2007

Total for National Human Genome Research Institute (NHGRI): \$ 1,250,369

National Institute of Diabetes and Digestive and Kidney Diseases (NIDDK)

To: Michael W Schwartz, Professor \$ 1,172,244
 Department of Medicine

For: Hypothalamic Control of Food Intake and Body Weight

Eff: 8/1/2004

To: Walter E Stamm, Professor \$ 1,178,013
 Department of Medicine

For: Pathogenic Mechanisms in UTI

Eff: 7/1/2007

Total for National Institute of Diabetes and Digestive and Kidney Diseases (NIDDK): \$ 2,350,257

National Institute of General Medical Sciences (NIGMS)

To: Carl A. Blau, Professor \$ 2,012,000
 Department of Medicine

For: Self Renewal and Differentiation of Human Embryonic Stem Cells

Eff: 8/1/2007

To: Garrett M. Odell, Professor \$ 1,170,328
 Department of Biology

For: Gene Networks: From Molecule to Mechanistic Models

Eff: 8/1/2002

Total for National Institute of General Medical Sciences (NIGMS): \$ 3,182,328

Total for National Institutes of Health (NIH): \$ 29,223,226

Total for US Department of Health and Human Services (DHHS): \$ 31,475,372

National Science Foundation (NSF)

National Science Foundation (NSF)

To: Mehmet Sarikaya, Professor \$ 1,113,600
 Materials Science and Engineering

Detail of Public Grant Awards

Federal

National Science Foundation (NSF)

National Science Foundation (NSF)

For: MRSEC / Research Experience for Teachers of Native Americans

Eff: 10/1/2005

To: Larry R. Dalton, Professor \$ 4,000,000
Department of Chemistry

For: Center on Materials and Devices for Information Technology
Research

Eff: 8/1/2002

Total for National Science Foundation (NSF): \$ 5,113,600

Total for National Science Foundation (NSF): \$ 5,113,600

Other Federal

National Oceanic and Atmospheric Administration (NOAA)

To: David L. Martin, Apl-principal Oceanographer \$ 1,500,000
Applied Physics Laboratory

For: Enhancing the Regional Coastal Ocean Observing Systems
(RCOOS) of NANOOS

Eff: 10/1/2007

Total for National Oceanic and Atmospheric Administration (NOAA): \$ 1,500,000

US Department of Transportation

To: Nancy L Nihan, Director \$ 1,633,200
Civil and Environmental Engineering

For: TransNow Center Plan for Fiscal Year 2007-2008 (July 1, 2007-
June 30, 2008)

Eff: 10/1/2006

Total for US Department of Transportation: \$ 1,633,200

Total for Other Federal: \$ 3,133,200

Total for Federal: \$ 41,898,780

Total Public Grants: \$ 41,898,780

Detail of Private Grant Awards

Foundations

Gordon and Betty Moore Foundation

To:	Arthur R Nowell, Dean Dean of Ocean and Fishery Sciences	\$ 4,126,379
For:	Establishment of an International Center for the Study of Pacific Salmon Biodiversity	
Eff:	8/15/2007	

Total for Gordon and Betty Moore Foundation: **\$ 4,126,379**

Total for Foundations: **\$ 4,126,379**

Total Private Grants: **\$ 4,126,379**

Detail of Contract Awards

Federal

US Department of Defense (DOD)

US Navy

To: Jan A. Newton, Apl-principal Oceanographer
Applied Physics Laboratory \$ 1,880,000

For: Hood Canal Low Dissolved Oxygen Program-Integrated
Assessment and Modeling Study

Eff: 2/18/2005

Total for US Navy: \$ 1,880,000

Total for US Department of Defense (DOD): \$ 1,880,000

Total for Federal: \$ 1,880,000

State of Washington

**Washington State Department of Community, Trade and Economic
Development**

To: Edward L Miles, Professor
School of Marine Affairs \$ 1,500,000

For: A Comprehensive Assessment of the Impacts of Climate Change on
the State of Washington

Eff: 7/16/2007

**Total for Washington State Department of Community, Trade and
Economic Development: \$ 1,500,000**

Total for State of Washington: \$ 1,500,000

Total Contracts: \$ 3,380,000

Grand Total for all Awards \$ 49,405,159

VII. STANDING COMMITTEES**B. Finance, Audit and Facilities Committee**Pediatric Dentistry, Sand Point Building 25 – Alternative Public Works Authorization and Delegated Authority to Award ContractRECOMMENDED ACTION:

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the use of alternative public works utilizing the GC/CM method of contracting be approved for use on the Pediatric Dental Clinic to be located in Sand Point Building 25. It is further recommended that the President be delegated the authority to award the GC/CM contract.

BACKGROUND:

The project will renovate Building 25 at Sandpoint into a pediatric dental clinic and treatment center. Pediatric dentistry will occupy the basement and top three floors of the art deco building (approximately 23,000 gross square feet).

In August 2005 the Board of Regents approved the award of an architectural contract to ARC Architects for the design of this project. Design services were placed on hold pending the resolution of a Joint Agreement between the University of Washington and Children's Hospital regarding use and funding participation in the project. The terms of this agreement were agreed to in April 2007.

Once the terms of the Joint Agreement were approved, ARC Architects were authorized to proceed with pre-design services, which are underway.

PROJECT SCHEDULE

The terms of the Joint Agreement between the University of Washington and Children's Hospital require that the Pediatric Dental Clinic be ready for occupancy in 2010.

Pre-design services are currently underway by ARC Architects and are scheduled to be complete by the end of November 2007. Schematic design will begin in late November 2007 and complete mid-February 2008. The GC/CM needs to be selected and a preconstruction contract awarded in January 2008, in order to provide preliminary cost information at the schematic design.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Pediatric Dentistry, Sand Point Building 25 – Alternative Public Works Authorization and Delegated Authority to Award Contract (continued p. 2)

The remaining design phase services will continue through January 2009. Construction activities may begin in September 2008 with a separate interior demolition package. Tenant improvement work will be complete in August 2010.

PROJECT BUDGET AND FUNDING

The preliminary project budget is estimated to be \$17,500,000 based on the results of the Pediatric Dentistry Test/Fit Conceptual Report submitted in November 2006. The funding plan includes a \$5,000,000 contribution by Washington Dental Service, \$1,000,000 from Children's Hospital and Regional Medical Center, and \$11,500,000 from debt proceeds. The UW Treasury Office's preliminary financial analysis suggests that the project is feasible. The contribution by Washington Dental Service is contingent upon the Regents' approval of the action item to rename Sand Point's Building 25 to "Washington Dental Service Building for Early Childhood Oral Health".

This budget will be verified during the schematic design phase and an updated financial analysis will be performed by the UW Treasury office prior to final approval of the project. Pre-design funding of \$214,000 has been provided by CASPO. The remaining funding will be as provided in the Joint Agreement between the University of Washington and Children's Hospital.

Formal project budget approval will be requested at a future Board of Regents project presentation.

PROJECT DELIVERY STRATEGY

CPO intends to utilize the alternative public works GC/CM method of contracting to execute this project. In order to maintain the schedule requirements, the GC/CM needs to begin participation in the project during the schematic design phase.

Once the GC/CM is selected and begins pre-construction services, a final determination will be made on the phasing strategy to meet the goal of completing construction and occupancy in August 2010.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority

Pursuant to the Standing Orders of the Board of Regents, Delegation of Authority, and to the delegation of authority from the President of the University to the Senior Vice President for Finance and Facilities in Administrative Order No. 1, to take action for projects or contracts that exceed \$1,000,000 in value or cost but are less than \$5,000,000, the Administration may approve and execute all instruments.

1. Report of Actions Taken Under General Delegated Authority**Capital Project Budgets****MHSC J-018 Biochemistry Electron Microscope, Project No. 201209****Action Reported: Construction Contract Award and Budget Decrease of 10% or more**

On September 28, 2007 a construction contract was awarded to CDK Construction Services Inc., in the amount of \$636,800 for the Magnuson Health Sciences J-Wing 018 Biochemistry Electron Microscope Project. Four bids were received for this project; the high bid was \$740,101. The budgeted construction cost was \$747,000. The project budget was reduced from \$1,275,800 (revised project budget) as reported in September 2007, to \$1,112,956 as a result of the low bid received.

CDK Construction Services Inc. is a general contractor that has successfully completed several projects for the University of Washington (UW), including other projects within the Magnuson Health Science Center (MHSC). Projects at the UW include MHSC School of Nursing Distant Learning Center Relocation, MHSC D209 Lecture Hall Remodel, MHSC T-Wing Library Security Improvements, MHSC T-439 Lecture Hall Capacity Increase, UW Medical Center Cardiac Procedure Remodel, and the Merrill Hall Reconstruction.

The scope of the project renovates J-018, which is approximately 1,200 square feet, from a wet lab to accommodate three electron microscopes, and renovates J-014 from a storage room into a wet lab to accommodate the current occupants of J-018. Improvements to J-018 will reuse the existing supply and exhaust air enhances with a small chiller located in the adjacent mechanical room to support process and environmental cooling loads with new environmental controls.

The electron microscopes will be purchased and installed separately from this project to support research by multiple principal investigators in the areas of biochemistry, chemistry, physiology and biophysics, microbiology, pharmacology, structural biology as well as nanotechnology.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority (Continued p. 2)

Construction activities began Oct 15, 2007, with completion and occupancy in late March 2008.

The project funding of \$1,112,960 is from the Biochemistry Royalty Account.

Budget Summary:	Previously Approved Budget	Current Approved Budget Forecast Cost to Complete
Total Consultant Services	\$ 182,630	\$178,019
Total Construction Cost	\$ 967,069	\$820,327
Other Costs	\$ 25,470	\$ 25,472
Project Administration	\$100,631	\$89,138
Total Project Budget	\$ 1,275,800	\$1,112,956

*Includes construction contract amount, contingencies and state sales tax.

Acquisition of goods and services

UW Department of Biochemistry

Action Reported: Transmission Electron Microscope Purchase

The Department of Biochemistry in the School of Medicine has purchased a transmission electron cryomicroscope which will provide the capability for studying high resolution structures of macromolecular protein assemblies.

The microscope will be housed in a state-of-the-art electron cryomicroscopy facility in Biochemistry. The microscope and facility will support research by many principal investigators drawn from the Departments of Biochemistry, Chemistry, Physiology and Biophysics, Microbiology, Pharmacology, Biological Structure, and potentially Bioengineering.

A sole source contract was issued to FEI Company based on unique technology and compatibility both with similar instruments at peer institutions and with two other electron microscopes already owned by Biochemistry. The total contract amount is not to exceed \$1,200,000, and is exempt from sales tax. The price for this purchase is competitive and is considered fair and reasonable.

The purchase is funded by departmental funds and an award from the Murdock Charitable Trust.

UNIVERSITY OF WASHINGTON INVESTMENT PERFORMANCE REPORT

FIRST QUARTER FISCAL YEAR 2008

As of September 30, 2007



TREASURER, BOARD OF REGENTS
TREASURY OFFICE

Published November 2007

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TREASURY ASSETS¹

As of 9/30/07—\$3.4 Billion

Dollars in Millions



Endowment & Similar Funds

Endowment Funds	\$1,737
Operating Funds	<u>429</u>
Consolidated Endowment Fund	2,166
Life Income Trusts	104
Outside Trusts	60
Non-CEF Endowments	24
Permanent Fund ⁶	<u>27</u>
	<u>\$2,381</u>

Operating & Reserve Funds

Invested Funds ^{2,3}	\$889
Bond Retirement Fund ⁴	10
Building Fund ⁴	17
Debt Service Reserve Funds ⁵	6
Bond Proceeds ⁷	<u>111</u>
	<u>\$1,033</u>

1. Includes assets whose management falls under the auspices of the Finance & Audit Committee of the Board of Regents. Excludes Metro Tract and Forest Trust Lands. All dollar amounts are expressed in millions and represent market values.
2. The Invested Funds holds Consolidated Endowment Fund units valued at \$429. To avoid double counting, the dollars are included only in the CEF totals.
3. In June 2002, the Board of Regents authorized the establishment of a captive insurance company, Portage Bay Insurance(PBI). The previous insurance vehicle, the Self Insurance Revolving Fund(SIRF), will close after existing claims are resolved. Current balances: PBI \$70.9 SIRF \$3.1.
4. General obligation bond reserve fund on deposit with the state of Washington.
5. Required reserve funds for locally issued bonds (2002 Housing & Dining \$1.5, 2004 Housing & Dining \$0.6, 2004 Parking \$1.5, Roosevelt \$2.0).
6. Proceeds from sale of land grants and subsequent investment returns on deposit with the state of Washington.
7. Construction project funds which have not yet been disbursed (IMA \$7.8, Genome/R&T \$13.4, Parking \$11.5, Global Health Labs \$0.7, 2007 General Revenue Bond \$77.4, Housing \$0.06).

ASSET ALLOCATION

As of September 30, 2007

Consolidated Endowment Fund¹—\$2,166 MM



Dollars in Millions

	Current Allocation	Policy Target	Policy Range
Domestic Equity	\$326 15%	18%	10%–40%
International Developed Markets	\$457 21%	20%	10%–35%
International Emerging Markets	\$325 15%	10%	5%–15%
Marketable Alternatives	\$356 16%	16%	5%–25%
Non-Marketable Alternatives	\$250 11%	12%	5%–20%
Equity Fund	\$1,714 79%	76%	60%–90%
Real Assets Fund	\$261 12%	12%	5%–20%
Fixed Income Fund ²	\$191 9%	12%	5%–35%
Total Consolidated Endowment Fund	\$2,166 100%		

¹ Total international exposure: 44%; estimated net foreign currency exposure: 42% ² Includes allocation to cash

CONSOLIDATED ENDOWMENT FUND (CEF)

For the Quarter ending September 30, 2007

Total Returns As of 9/30/07 ¹ (%)

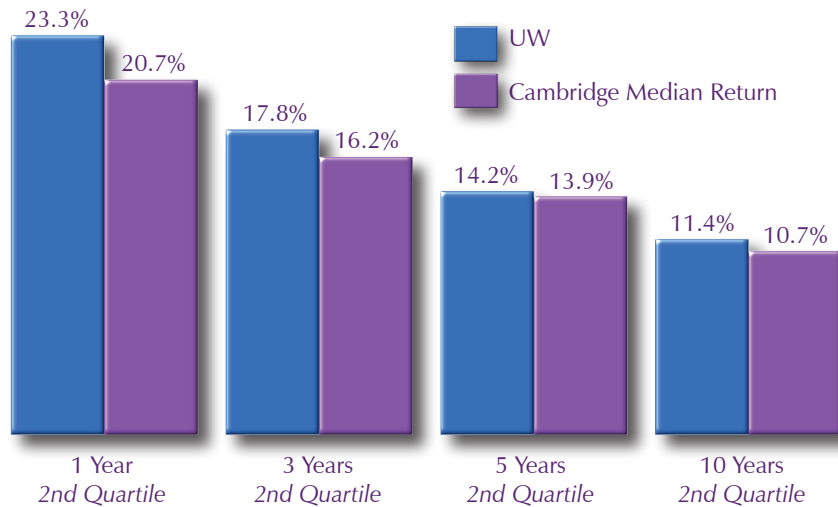
	1 st Qtr FY 2008	1-Year	5-Year	10-Year
Total CEF Return	4.4	25.7	17.1	11.1
Strategy Weighted Policy Benchmark	4.5	20.5	15.4	10.1
Equity Fund	4.7	28.6	19.6	11.9
Weighted Policy Benchmark	4.4	24.4	18.0	10.6
Real Assets Fund	3.5	22.5	—	—
Policy Benchmark	5.6	11.8	—	—
Fixed Income Fund	2.8	8.3	4.9	6.5
Policy Benchmark (LB Govt Bond)	3.6			

¹ Average Annual Compound Return

Activity (\$ in Millions)

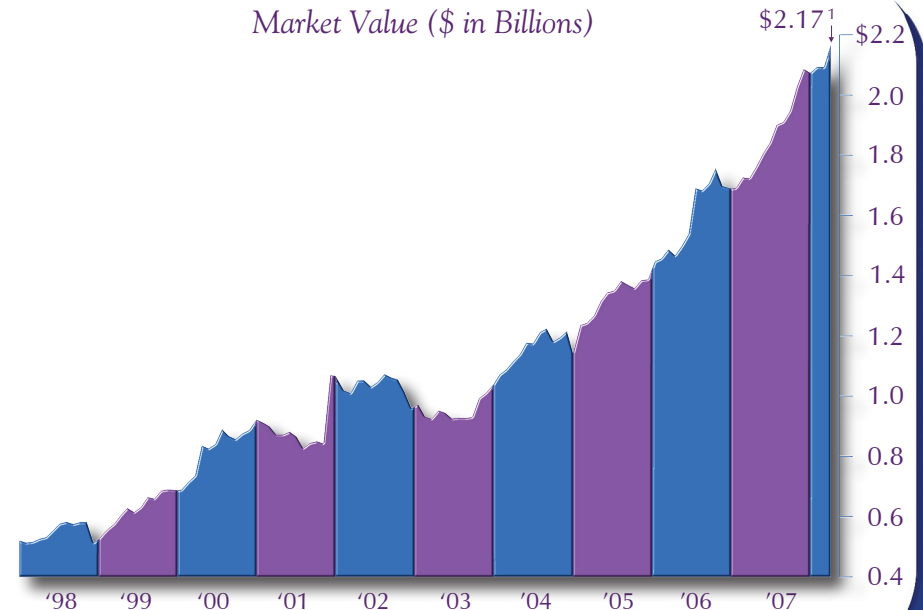
	FYTD 2008	FY 2007	FY 2006	Five Years	Ten Years
Beginning Balance	\$2,074	\$1,690	\$1,388	\$932	\$521
Gifts	21	66	114	322	513
Transfers	1	9	22	53	99
Total Additions	\$21	\$75	\$137	\$374	612
Operating Funds purchases	1	15	0	103	317
Net Investment Return	97	392	250	1,175	1,317
Distributions	(22)	(81)	(70)	(349)	(510)
Administrative Fees	(1)	(4)	(3)	(14)	(22)
Development Support	(4)	(14)	(12)	(56)	(69)
Ending Balance	\$2,166	\$2,074	\$1,690	\$2,166	\$2,166
Net Change	\$93	\$384	\$302	\$1,234	\$1,645

UW & Cambridge Associates ¹ Returns for periods ending 6/30/07



¹ Cambridge Associates College and University Investment Pool Top 50
(by Investment Pool Market Value)

Market Value (\$ in Billions)



¹ Reflects inclusion of IF units in CEF starting 7/01—value without would be \$1.74

PERFORMANCE UPDATE

Total Return¹ As of 9/30/07

	1 st Qtr FY '08	1 Year	3 Years	5 Years	10 Years
Consolidated Endowment Fund	4.4	25.7	19.1	17.1	11.1
Equity Fund	4.7	28.6	21.9	19.6	11.9
Domestic Equity	1.8	18.8	13.8	15.7	9.5
Core Equity	1.3	17.0	14.5	16.0	9.1
Value Equity	-1.7	14.5	10.7	13.5	9.9
Growth Equity	5.0	28.1	18.1	20.8	10.0
International Developed Markets	1.5	23.0	22.0	22.9	8.2
International Emerging Markets	14.9	74.5	43.7	39.7	14.4
Marketable Alternatives	1.9	19.1	14.8	14.4	12.0
Non-Marketable Alternatives ²	7.0	27.0	23.2	15.4	13.7
Real Assets Fund	3.5	22.5	19.7	—	—
Fixed Income Fund	2.8	8.3	5.9	4.9	6.5
Market Indices					
<i>Equity Indices</i>					
S&P 500	2.0	16.4	13.1	15.5	6.6
Russell 3000	1.5	16.5	13.7	16.2	6.8
Russell 2000	-3.1	12.3	13.4	18.7	7.2
Dow Jones	4.2	21.6	13.9	15.4	7.8
NASDAQ	3.8	19.6	12.5	18.2	4.8
MSCI ACWI ex US	4.7	31.1	26.5	26.3	9.2
Emerging Markets (IFCG)	15.2	58.6	42.1	38.8	12.9
<i>Fixed Income Indices</i>					
LB Government Bond	3.6	5.6	3.8	3.5	5.9
JP Morgan Global Hedged Bond Index	3.2	4.0	4.4	3.9	5.9

Sources: Cambridge Associates and Northern Trust

¹ Total Return: average annual compound return (dividend or interest plus capital appreciation or depreciation)

² Provided by Cambridge Associates on a quarter lag.

INVESTED FUNDS (IF)

For the Quarter ending September 30, 2007

Total Return¹ (%)

	1 st Qtr FY 2008	1-Year	5-Year	10-Year
Cash	2.3	5.5	2.9	4.9
Citi 2 Yr Treasury	2.7	5.8	2.8	4.5
Liquidity	2.9	5.5	3.3	5.5
LB Intermediate Govt Bond	3.4	5.9	3.2	5.4
IF excluding CEF units	2.7	5.5	3.2	5.4
Weighted Benchmark	3.2	5.9	3.1	5.2
IF including CEF units	3.2	11.6	7.2	7.1
Weighted Benchmark	3.6	10.4	6.7	6.8

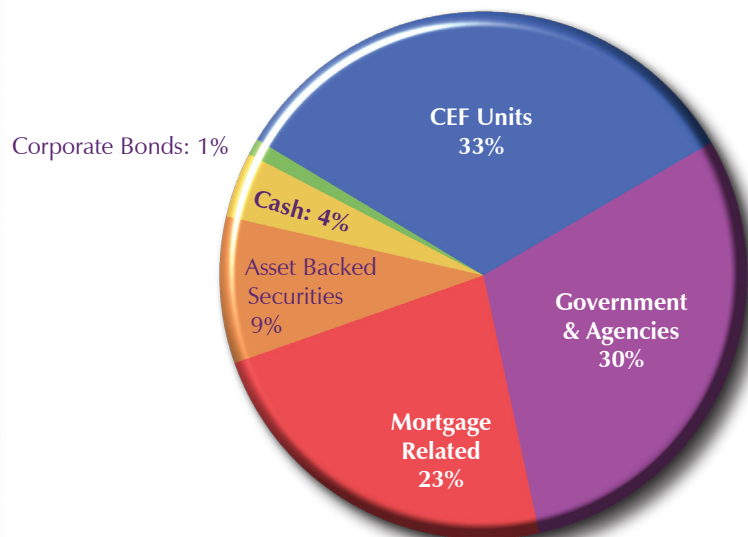
¹ Average Annual Compound Return

Fund Allocation by Pool (\$ in Millions)

	Fund Allocation		Range	Duration	
				Actual	Maximum
Cash Pool	\$321	24%	10%–40%	1.8	3.0 yrs
Liquidity Pool ¹	568	43%	30%–60%	3.2	4.0 yrs
Total Cash & Liq. Pool	\$889	67%			
CEF Units held by IF		33%	15%–40%		
Total Invested Funds		100%			

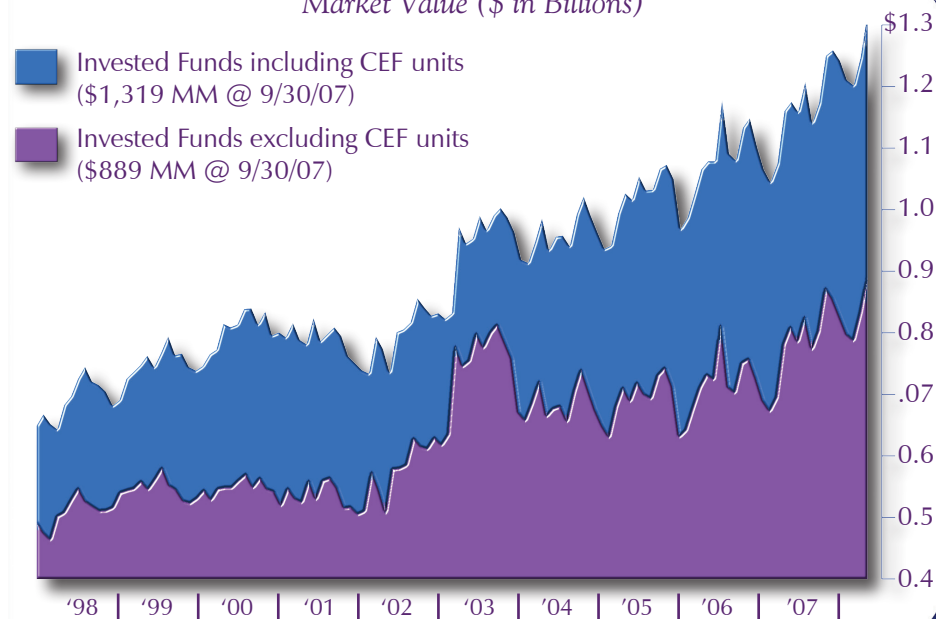
¹ Includes Interfund Loans

Mix of Investments¹



¹ Not shown—Interfund Loans (0.02%)

Market Value (\$ in Billions)



SUMMARY OF ACTIONS TAKEN UNDER BOARD DELEGATED AUTHORITIES

January 1, 2007 through September 30, 2007 (\$ in Millions)

By the Chief Investment Officer

Private Markets

Approved	Action	Manager / Fund	Strategy	Investment (\$MM)
01/04/07	New	Greenbriar Capital Equity	Non-Marketable Alternatives	\$7.0
03/02/07	Addition	Morgan Stanley Special Situations	Real Assets	\$5.0
03/02/07	Reup	OCM Opportunities	Non-Marketable Alternatives	\$6.0
03/23/07	Reup	Matlin Patterson Partners	Non-Marketable Alternatives	\$6.0
03/23/07	Reup	Riverside European	Non-Marketable Alternatives	\$6.0
04/06/07	New	Redwood Grove International	Real Assets	\$12.0
05/04/07	Reup	Arclight Energy Partners	Real Assets	\$10.0
05/25/07	Reup	Thackeray Partners	Real Assets	\$5.0
06/14/07	Reup	Battery Ventures	Non-Marketable Alternatives	\$7.5
06/14/07	New	Bessemer Venture Partners	Non-Marketable Alternatives	\$15.0
06/22/07	New	Phoenix Asia Real Estate	Real Assets	\$8.0
06/22/07	New	Valinor Capital Partners	Marketable Alternatives	\$10.0
07/06/07	New	HIG - Brightpoint Capital	Marketable Alternatives	\$15.0
07/13/07	Reup	Chrys Capital	Non-Marketable Alternatives	\$4.0
07/13/07	Reup	LaSalle Asia Opportunities	Real Assets	\$10.0
08/06/07	Reup	Endeavor Capital	Non-Marketable Alternatives	\$7.0
08/06/07	Reup	TPG Asia	Non-Marketable Alternatives	\$6.0
08/24/07	Termination	Northsound Capital	Marketable Alternatives	-\$18.0
08/31/07	Reup	Frazier Healthcare	Non-Marketable Alternatives	\$6.0
08/31/07	Reup	General Catalyst	Non-Marketable Alternatives	\$4.5
08/31/07	Reup	Ignition Venture Partners	Non-Marketable Alternatives	\$3.0
09/14/07	New	CVI Specialized Ventures	Real Assets	\$20.0
09/14/07	Reup	Natural Gas Partners	Real Assets	\$10.0

SUMMARY OF ACTIONS TAKEN UNDER BOARD DELEGATED AUTHORITIES

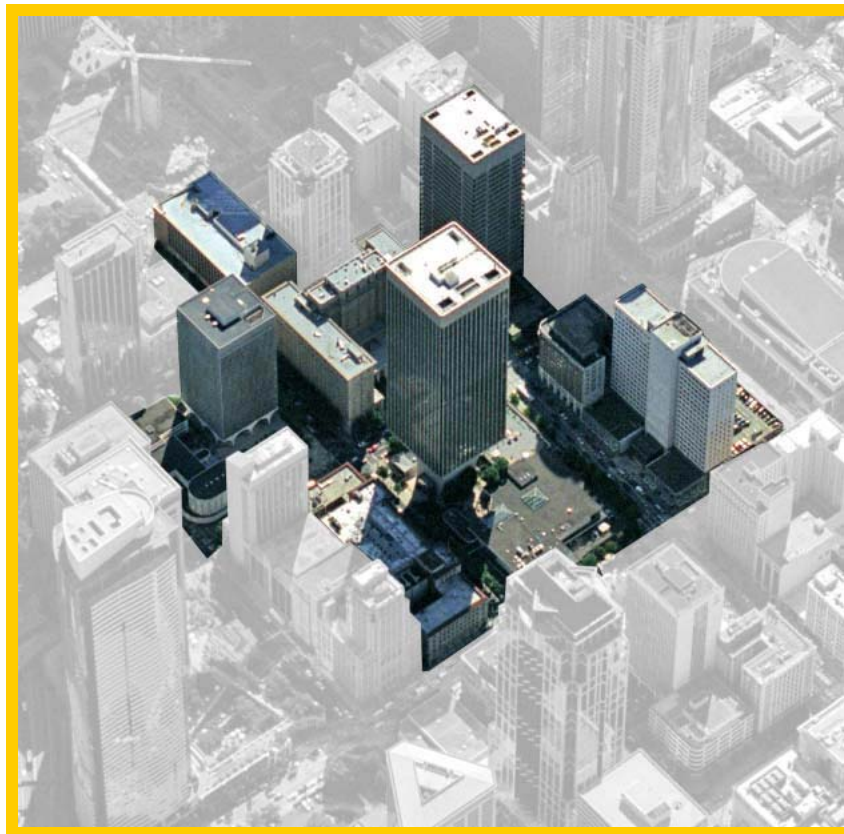
January 1, 2007 through September 30, 2007 (\$ in Millions)

By the Chief Investment Officer

Public Markets

Approved	Action	Manager / Fund	Strategy	Investment (\$MM)
01/08/07	New	Arisaig Africa	International Emerging Markets	\$2.0
01/11/07	Addition	Morgan Stanley Best Ideas	Real Assets	\$20.0
01/11/07	New	Northern Trust	Domestic Equity	\$10.0
01/11/07	Reduction	PIMCO All Asset	Real Assets	-\$15.0
01/11/07	Addition	Tree Line	International Emerging Markets	\$3.0
01/11/07	Addition	Tygh Capital	Domestic Equity	\$10.0
01/11/07	Addition	Wellington Energy	Real Assets	\$10.0
01/18/07	New	Atlantis China	International Emerging Markets	\$5.0
02/22/07	Addition	SSGA EAFE	International Developed	\$12.0
03/02/07	New	Fidelity Real Estate	Real Assets	\$10.0
03/02/07	Termination	PIMCO All Asset	Real Assets	-\$17.0
03/23/07	New	Brandywine Global	Fixed Income	\$50.0
03/23/07	Addition	Goldman Sachs	International Emerging Markets	\$15.0
03/23/07	Termination	Payden & Rygel Global Fixed Income	Fixed Income	-\$44.0
03/23/07	Termination	Sands Capital Management	Domestic Equity	-\$45.0
04/06/07	New	Renaissance	Domestic Equity	\$50.0
05/17/07	Termination	Tukman Capital	Domestic Equity	-\$62.0
06/22/07	Addition	Arisaig Asia	International Emerging Markets	\$10.0
07/06/07	New	Matterhorn	International Emerging Markets	\$10.0
07/13/07	New	Mekong Capital	International Emerging Markets	\$3.0
08/27/07	Addition	Ward Ferry	International Emerging Markets	\$3.0
09/14/07	Addition	Arisaig Africa	International Emerging Markets	\$3.0
09/14/07	Termination	City of London China	International Emerging Markets	-\$26.7
09/20/07	Reduction	Northern Trust	Domestic Equity	-\$50.0

METROPOLITAN TRACT
PERFORMANCE REPORT
For the Quarter Ended
September 30, 2007

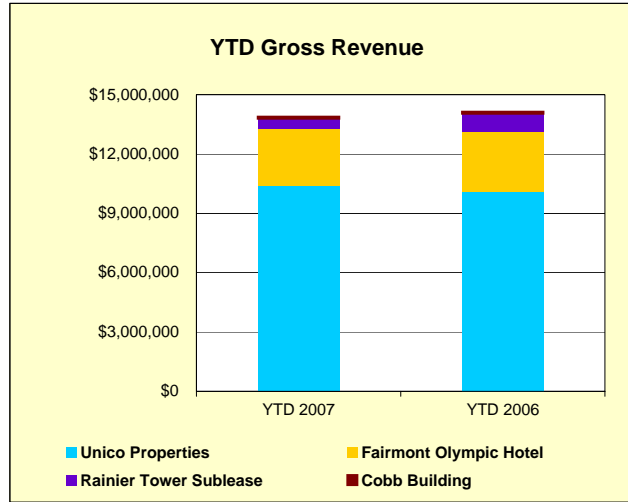
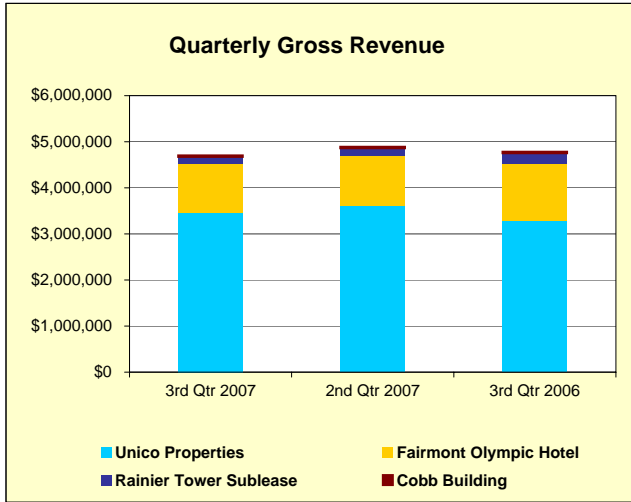


Finance, Audit & Facilities Committee

November 15, 2007

University of Washington Metropolitan Tract

CONSOLIDATED METROPOLITAN TRACT PROPERTIES
Quarterly Summary
Quarter Ending September 30, 2007



CURRENT QUARTER							
Gross Revenue to University	3rd Qtr 2007	Prior Qtr		Change from Prior Qtr		Change from Prior Year	
		2nd Qtr 2007	3rd Qtr 2006	\$	%	\$	%
Unico Properties	3,452,245	3,613,108	3,283,024	(160,863)	(4.5%)	169,220	5.2%
Rainier Tower Sublease	147,347	162,197	232,387	(14,850)	(9.2%)	(85,040)	(36.6%)
Fairmont Olympic Hotel	1,068,036	1,079,398	1,231,223	(11,362)	(1.1%)	(163,187)	(13.3%)
Cobb Building	38,500	38,500	38,500	0	0.0%	0	0.0%
TOTAL GROSS REVENUE	\$4,706,128	\$4,893,203	\$4,785,135	(\$187,075)	(3.8%)	(\$79,007)	(1.7%)

Gross Revenue to University	CALENDAR YEAR TO DATE				ANNUAL	
	YTD 2007	YTD 2006	Change from Prior YTD		Projected 2007	Actual 2006
			\$	%		
Unico Properties	10,404,136	10,099,381	304,755	3.0%	14,073,512	13,378,928
Rainier Tower Sublease	502,454	891,388	(388,935)	(43.6%)	(1,903,345)	(683,177)
Fairmont Olympic Hotel	2,869,660	3,034,409	(164,749)	(5.4%)	3,554,724	3,825,836
Cobb Building	115,500	115,500	0	0.0%	154,000	154,000
TOTAL GROSS REVENUE	\$13,891,750	\$14,140,678	(\$248,929)	(1.8%)	\$15,878,891	\$16,675,587

12/31/06 INTERNAL VALUATION	
	Gross Market Value
Unico Managed Properties ¹	488,197,000
Fairmont Olympic Hotel	192,700,000
Cobb Building ²	2,200,000
TOTAL	\$683,097,000

¹ Includes the Rainier Tower Sublease

² Represents UW's land value; not improvements

CONSOLIDATED METROPOLITAN TRACT PROPERTIES

Quarterly Summary

Quarter Ending September 30, 2007

CONSOLIDATED METROPOLITAN TRACT SUMMARY

The Metropolitan Tract generated \$4.7M of revenue during the third quarter of 2007. This is a 3.8% decrease from the second quarter and a 1.7% decrease from a year ago. On a quarter-to-quarter basis, each of the three primary contributors went down. From a year-ago perspective, the decreases come from the hotel (off 5.4%) and an expected decrease from the Rainier Tower Sublease due to the vacancy and capital expenditures as a result of the Marsh/Mercer lease. Through three quarters, the Tract has netted \$13.9M.

UNICO PROPERTIES

The third quarter's gross revenues from the Unico Properties was \$3.45M; a 4.5% decrease over the second quarter. The Office component was flat, with Retail off by 13.4% from the previous quarter. Comparing 2006 to 2007, the trend is favorable, with gains in both Office (up 2.2%) and Retail (up 11.3%). Unico has made major gains in leasing as of late with a new 40,000 sf tenant signed for the Financial Center and strong activity for the remaining vacancies. Only the Skinner Building continues to have any significant vacancy.

RAINIER TOWER SUBLEASE

The Rainier Tower Sublease generated \$147,347 to the University during the third quarter. As expected, 2007 will be off from previous years due to the vacancy created by Washington Mutual's relocation to the new Washington Mutual Center and the downtime until Marsh and Mercer start paying rent in early 2008. Once the leasing costs related to the Marsh lease are absorbed, the sublease will produce positive cash flow once again.

FAIRMONT OLYMPIC HOTEL

The hotel generated a bit more than \$1M in the summer quarter. After a record breaking 2006, 2007 has been somewhat inconsistent for Fairmont and the Seattle hotel market in general. While the third quarter is typically the strongest of the year, the hotel's revenue was actually off by 1.1% from the second quarter. More significantly is the comparison to the year-ago quarter. After two years of very solid, even explosive growth for the hotel, this year's third quarter was down 13.3% from 2006.

The Legacy sale of the REIT which leases the hotel from the University to a partnership of Caisse de depot et placement du Quebec was completed on September 18, 2007 to Westmont Hospitality Group and InnVest REIT, Canadian hotel investors and operators. The management agreement with Fairmont Hotels and Resorts will remain in place with no change to the operation or the name of the hotel.

INTERNAL VALUATION

The numbers presented represent the overall value of the real estate as estimated by Heitman and Urbis Partners as of 12/31/06, but do not account for the leasehold encumbrances.

MARKET UPDATE

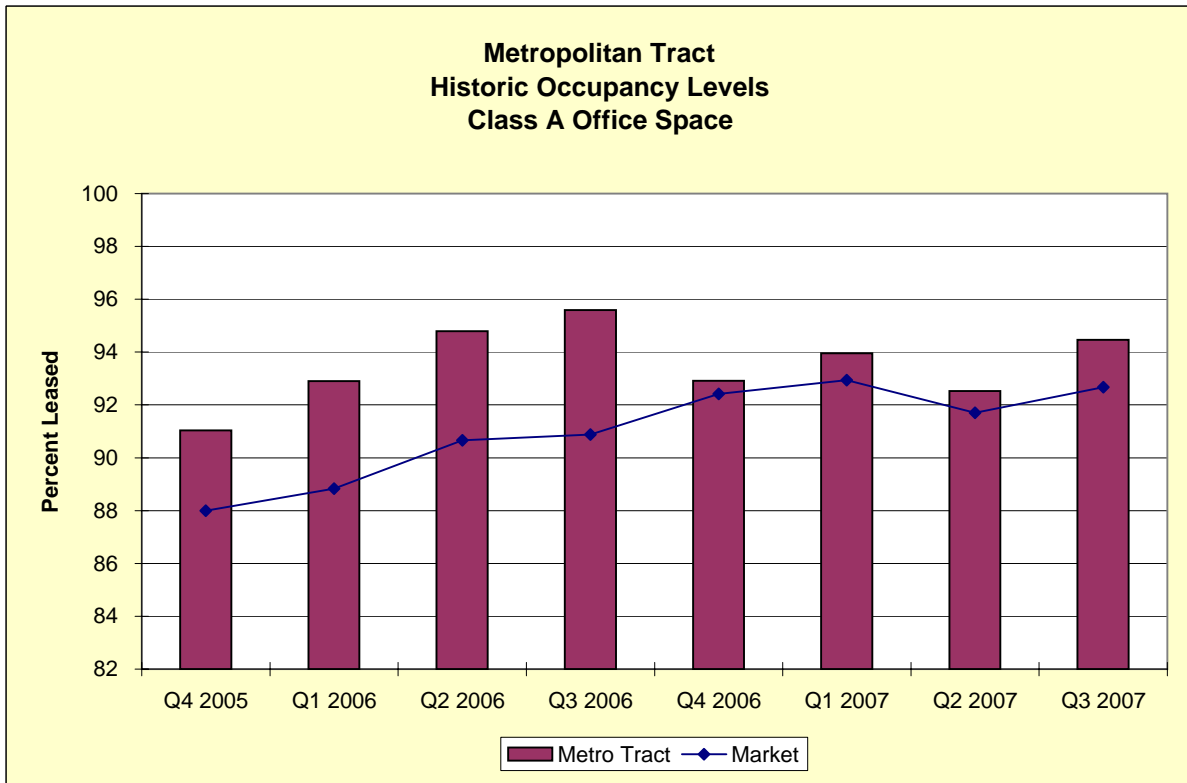
OFFICE MARKET

Occupancy percentage figures in the downtown office market continue to hover in the low 90s. The Eastside is leading the region with even lower vacancy rates and higher rents since Microsoft leased 1.3 million square feet in the Bellevue CBD earlier this year. This had a dramatic impact on the smaller Bellevue market and has had some ancillary impact on the Seattle market as it is currently the (slightly) lower-cost option between the two. Seattle's market was already on the increase due to general economic growth, and further buoyed by Safeco's move from the University District to the Seattle Central Business District. The occupancy level of the Metropolitan Tract properties is consistent with current market levels. Additionally, lease rates are also climbing with the decrease in available space. With no significant office projects due to open until late 2008, 2007 should continue to be a strong year for landlords. The high occupancy, combined with the break up of the recently-sold Equity Office Properties portfolio to multiple owners seems to be driving rental rates up in the near future as the new owners' return requirements will require higher rental rates to support the acquisitions.

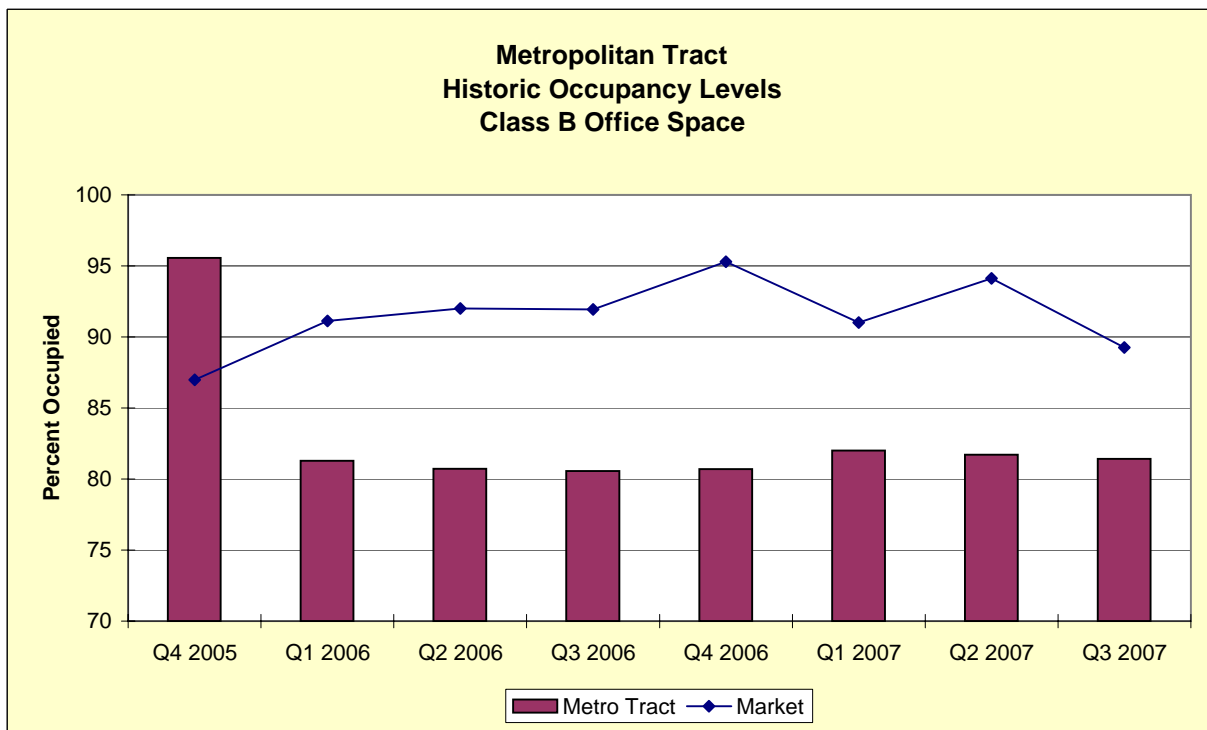
HOTEL MARKET

While the downtown upscale hotel market continues to grow in inventory, the last two years' growth rates have tempered considerably. The Fairmont started the year with flat results compared to the previous year, but has fallen below 2006 in recent months. This trend is consistent through the other luxury hotels in the downtown Seattle market. This largely stems from a drop in convention business that led to a 31% drop in total business travel bookings for the third quarter. Additionally, despite that third quarter drop in demand, hotels generally managed to keep room rates at a very steady level, tempering a revenue decrease which could have been more impactful. On a bright note, convention business is increasing for the 4th quarter of 2007. Convention attendee room reservations are up 40% over 2006's last three months.

University of Washington Metropolitan Tract
OFFICE BUILDING OCCUPANCY COMPARISON CHARTS
 Last Eight Quarters
 Quarter Ending September 30, 2007



Includes Rainier Tower, Puget Sound Plaza, IBM Building and Financial Center

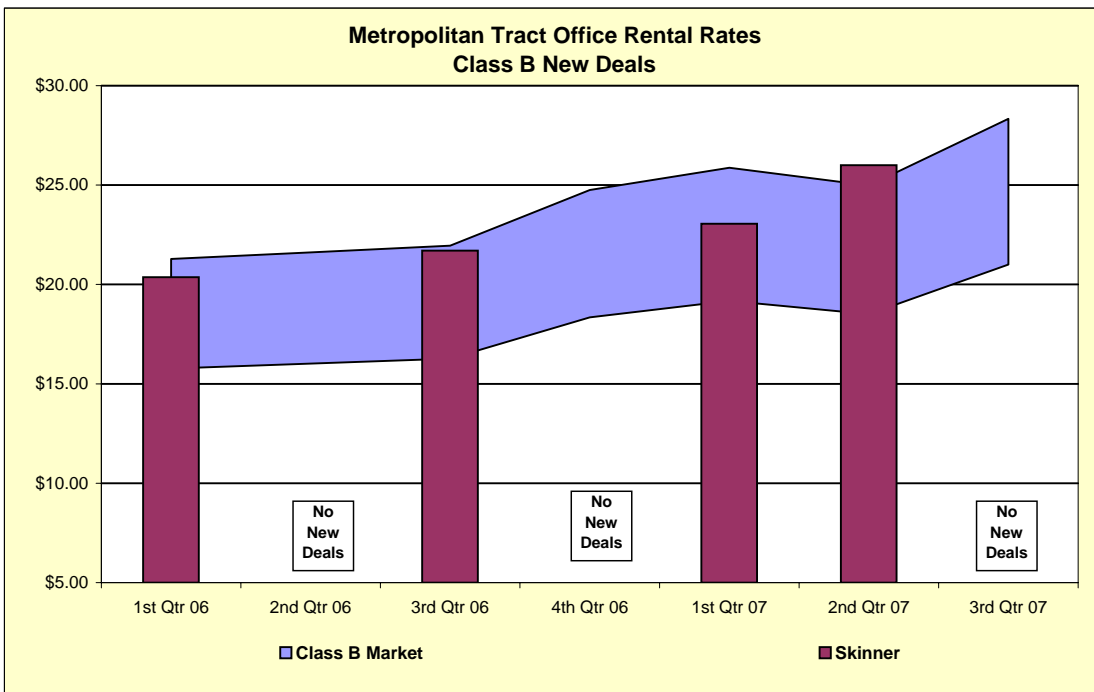
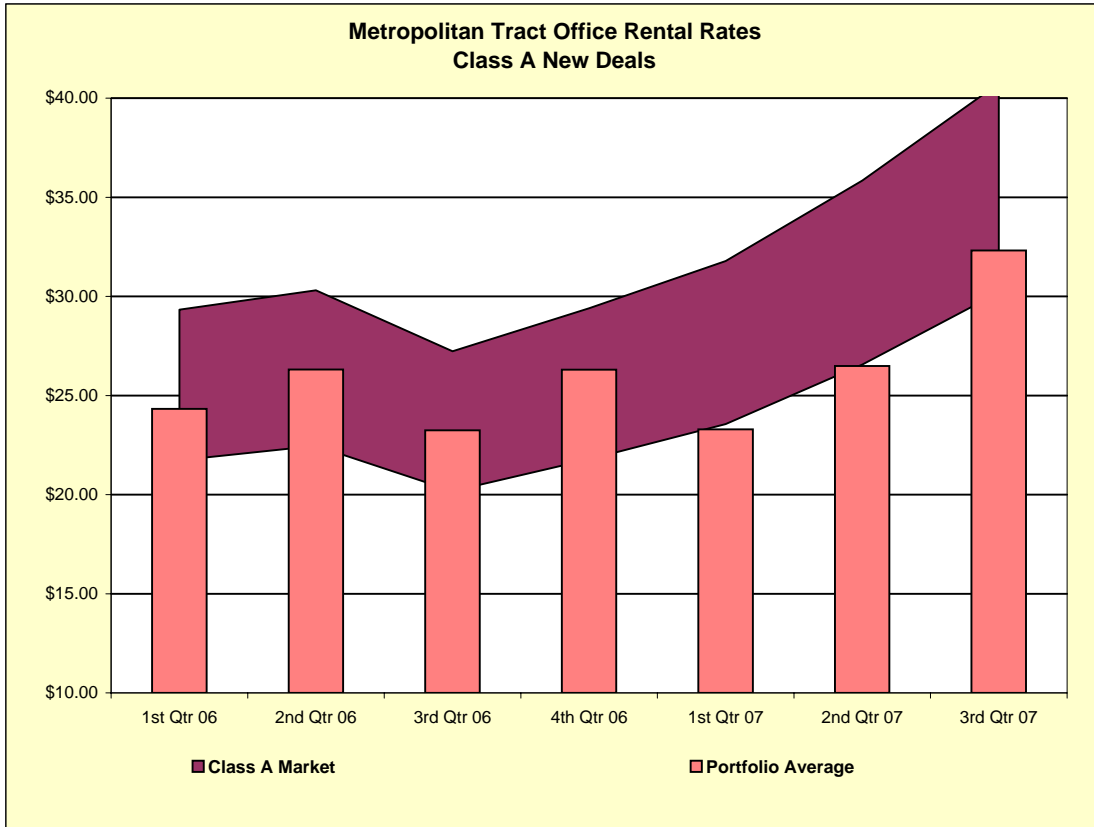


Includes Skinner Building

OFFICE BUILDING RENTAL RATE COMPARISON

Last Seven Quarters

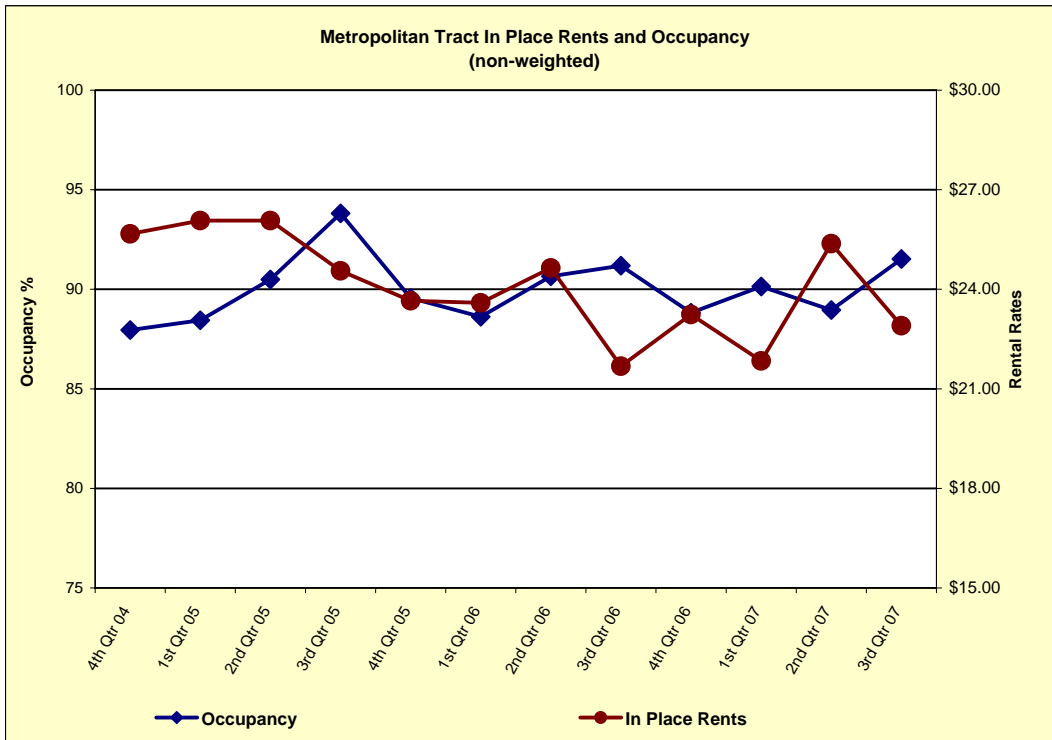
Quarter Ending September 30, 2007



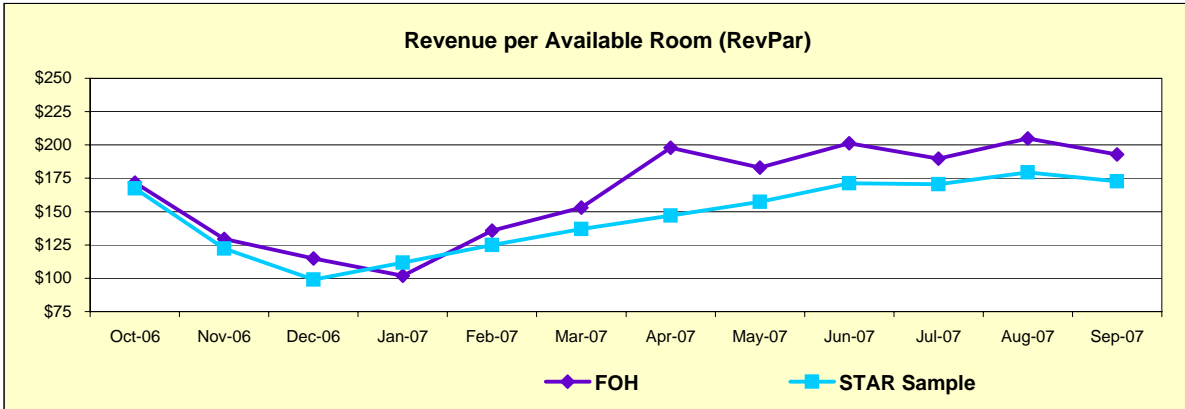
OFFICE BUILDING RENTAL RATE COMPARISON

Last 3 Years

Quarter Ending September 30, 2007

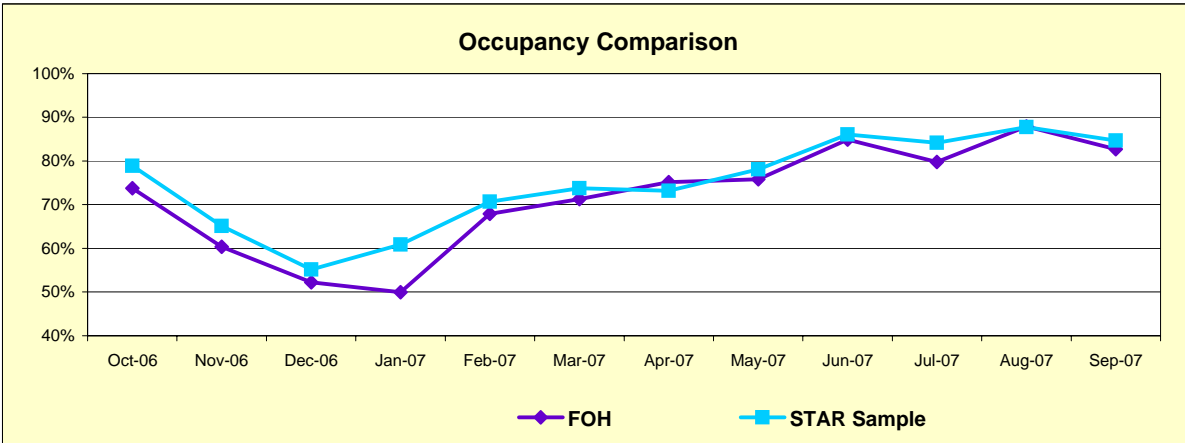


FAIRMONT OLYMPIC HOTEL MARKET COMPARISONS
Twelve Months Ended September 30, 2007



RevPar 12-months Average	Sep-07	Sep-06	Change from Prior Year \$
FOH	\$164.68	\$173.35	(\$8.67)
STAR Sample Avg <1>	\$146.75	\$146.59	\$0.16

RevPar Current Month Ended	Sep-07	Sep-06	Change from Prior Year %
FOH	\$192.75	\$203.52	(\$10.77)
STAR Sample Avg <1>	\$172.82	\$179.77	(\$6.95)

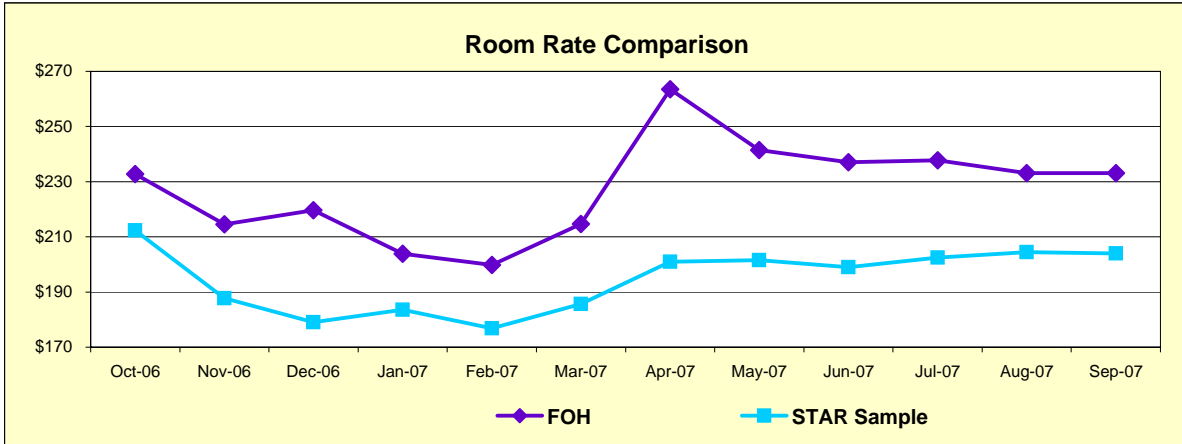


Occupancy 12-months Average	Sep-07	Sep-06	Change from Prior Year %
FOH	71.8%	73.3%	(1.5%)
STAR Sample Avg <1>	74.9%	72.8%	2.1%

Occupancy Current Month Ended	Sep-07	Sep-06	Change from Prior Year %
FOH	82.7%	81.4%	1.3%
STAR Sample Avg <1>	84.7%	82.3%	2.4%

<1> Information is based on Smith Travel Research (STAR) Report for the Sheraton Hotel, Hotel Vintage Park, Hotel Monaco, Seattle Alexis, Sorrento, Westin, W Hotel, Madison and Inn at the Market.

FAIRMONT OLYMPIC HOTEL MARKET COMPARISONS
Twelve Months Ended September 30, 2007



Average Daily Rate 12-months Average	Sep-07	Sep-06	Change from Prior Year \$
FOH	\$227.60	\$225.39	\$2.21
STAR Sample Avg <1>	\$194.82	\$192.16	\$2.66

Average Daily Rate Month Ended	Sep-07	Sep-06	Change from Prior Year \$
FOH	\$233.11	\$249.99	(\$16.88)
STAR Sample Avg <1>	\$204.01	\$218.50	(\$14.49)

<1> Information is based on Smith Travel Research (STAR) Report for the Sheraton Hotel, Hotel Vintage Park, Hotel Monaco, Seattle Alexis, Sorrento, Westin, W Hotel, Madison and Inn at the Market.

VII. STANDING COMMITTEES**B. Finance, Audit and Facilities Committee****Naming of Building #25 at the University of Washington's Sand Point Facility the Washington Dental Service Building for Early Childhood Oral Health****RECOMMENDED ACTION**

The administration and the Finance, Audit and Facilities Committee recommend that Building #25 of the UW's Sand Point facility be named the "Washington Dental Service Building for Early Childhood Oral Health" conditioned upon an approved gift. The naming term will be for 30 years from the gift receipt date, with right for continuation subject to subsequent review and approval by the Board of Regents. If, at any time, the organization is sold or reorganized under a different name, the naming agreement terminates subject to further consideration by the Regents

BACKGROUND

For the past twenty years, Washington Dental Service has been the School of Dentistry's largest and most consistent donor. The breadth of their support has allowed the School to make advances in oral health research, develop educational curriculum for students and professionals, expand community outreach, and provide scholarships for under represented minorities. They have established a Chair and two Professorships, and this year pledged a \$450,000 challenge grant to help the School launch a diversity scholarship campaign. With their capital gift of \$5 million to support a new Center for Early Childhood Oral Health, Washington Dental Service will become the School's first Presidential Laureate.

Importantly, Washington Dental Service's involvement with the UW extends far beyond financial support, as they have stood shoulder-to-shoulder with the School for over a decade in efforts to serve vulnerable populations and increase access to dental care for young children. In the past three years, WDS has been an important community advisor and ally in developing the conceptual framework for an innovative Center for Early Childhood Oral Health that will incorporate early childhood oral health education, outreach and clinical services. The University's partner in this venture, Seattle Children's Hospital, has joined the UW in requesting major capital support from Washington Dental Service to renovate Building #25 for the new Center and, once again, WDS has stepped forward. We believe that recognizing the depth of partnership and support with this company through naming is both timely and appropriate.

The University Names Committee reviewed and unanimously approved the proposal by the School of Dentistry that Building #25 of the UW's Sand Point facility be named the "Washington Dental Service Building for Early Childhood Oral Health."

VII. STANDING COMMITTEES**B. Finance, Audit and Facilities Committee****Interim Adjustment to the Consolidated Endowment Fund (CEF) Investment Policy****RECOMMENDED ACTION:**

It is the recommendation of the University of Washington Investment Committee (UWINCO) and the Finance, Audit and Facilities Committee (FAF) that the Board of Regents approve an interim adjustment to the CEF policy asset allocation range for the emerging markets strategy. The interim adjustment extends the upper limit of the range from 15% to 25%. This exception to policy expires June 30, 2008.

BACKGROUND:

In 1988, the Board of Regents adopted the investment policy for the University of Washington's Consolidated Endowment Fund (CEF). The Board of Regents reviews the policy asset allocation and investment strategies of the CEF annually, adopting periodic amendments to policy which reflect the changing nature of the portfolio.

The last major revision to the policy asset allocation occurred in 2005. In 2008, the Board of Regents will consider a CEF policy asset allocation change that will further extend international exposure. A full portfolio review and analysis will accompany the recommendation.

INTERIM ADJUSTMENT:

The CEF is currently positioned with a 5% overweight to emerging markets relative to its policy target with funding coming from domestic equity (-2%) and fixed income (-3%). While the investments team continues to research and invest in emerging markets opportunities – particularly in Asia – much of the growth is organic. The CEF emerging markets portfolio returned 75% over the past year and an annualized 44% over the past three years. While such strong performance generally signals caution, valuations remain attractive and economic growth continues at a healthy pace.

The recommended exception to policy will broaden the policy range on emerging markets from 5 – 15% to 5 – 25%. This flexibility positions the investment team to manage the portfolio to best advantage in both rising and falling capital markets. This adjustment to the policy range on the emerging markets strategy will expire at the end of the fiscal year 2008.

ENCLOSURES:

1. Consolidated Endowment Fund Asset Allocation at September 30, 2007
2. Portfolio Risk and Return

ASSET ALLOCATION

AS OF SEPTEMBER 30, 2007

Consolidated Endowment Fund —\$2,166 MM

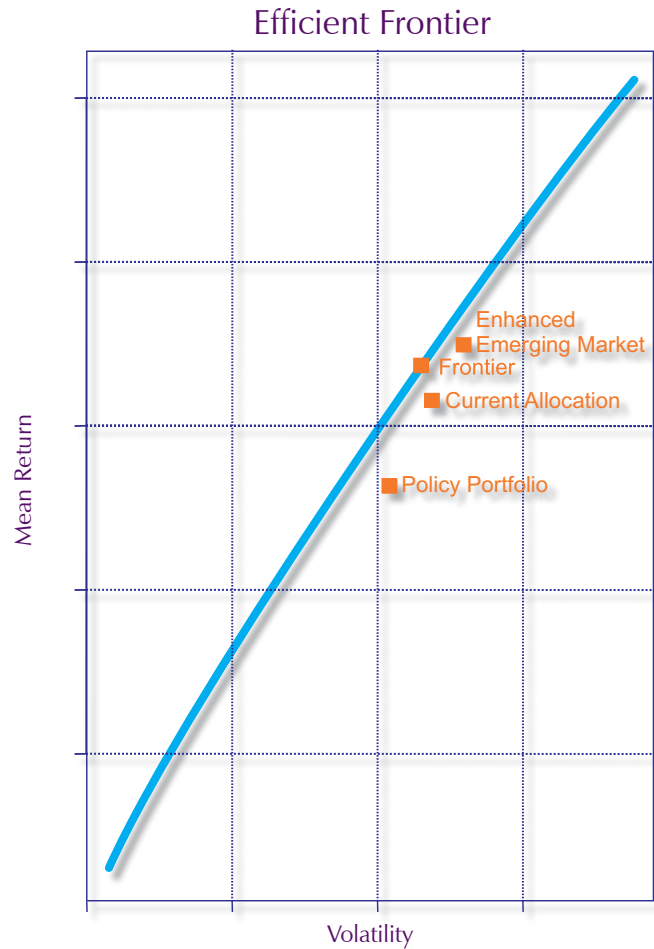


Dollars in Millions

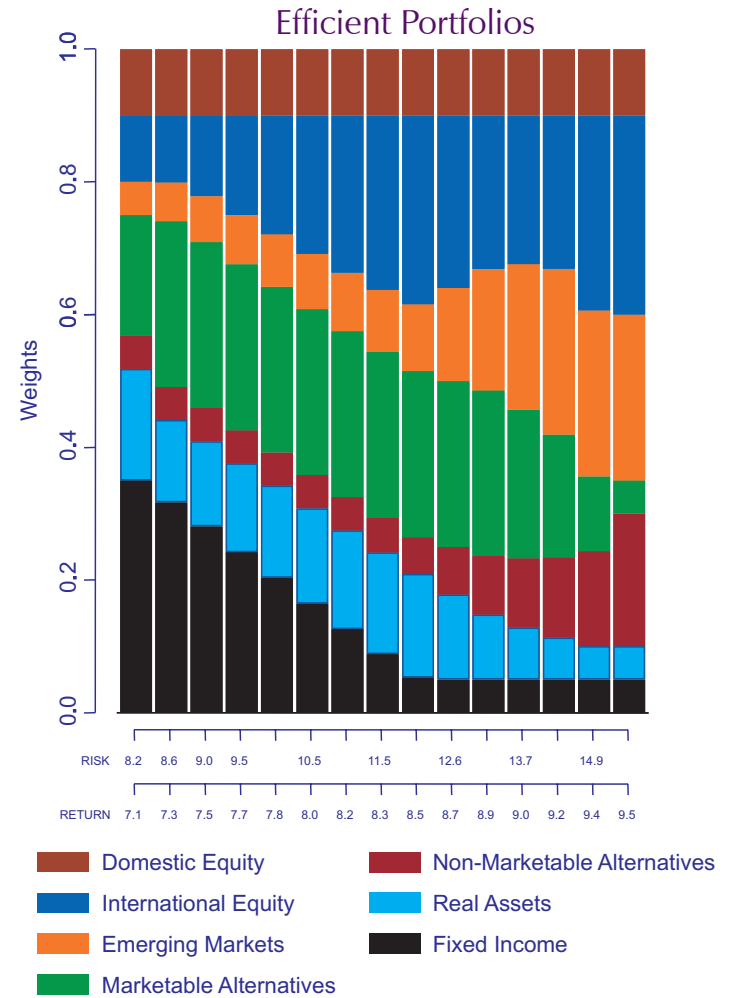
	Current Allocation		Policy Target	Policy Range
Domestic Equity	\$326	15%	18%	10%–40%
International Developed Markets	\$457	21%	20%	10%–35%
International Emerging Markets	\$325	15%	10%	5%–15%
Marketable Alternatives	\$356	16%	16%	5%–25%
Non-Marketable Alternatives	\$250	11%	12%	5%–20%
Equity Fund	\$1,714	79%	76%	60%–90%
Real Assets Fund	\$261	12%	12%	5%–20%
Fixed Income Fund ¹	\$191	9%	12%	5%–35%
Total Consolidated Endowment Fund	\$2,166	100%		

¹ Includes allocation to cash

Portfolio Risk and Return



Note: Risk in Efficient Portfolios graph is volatility or returns.



- Efficient frontier portfolio favors international equity.



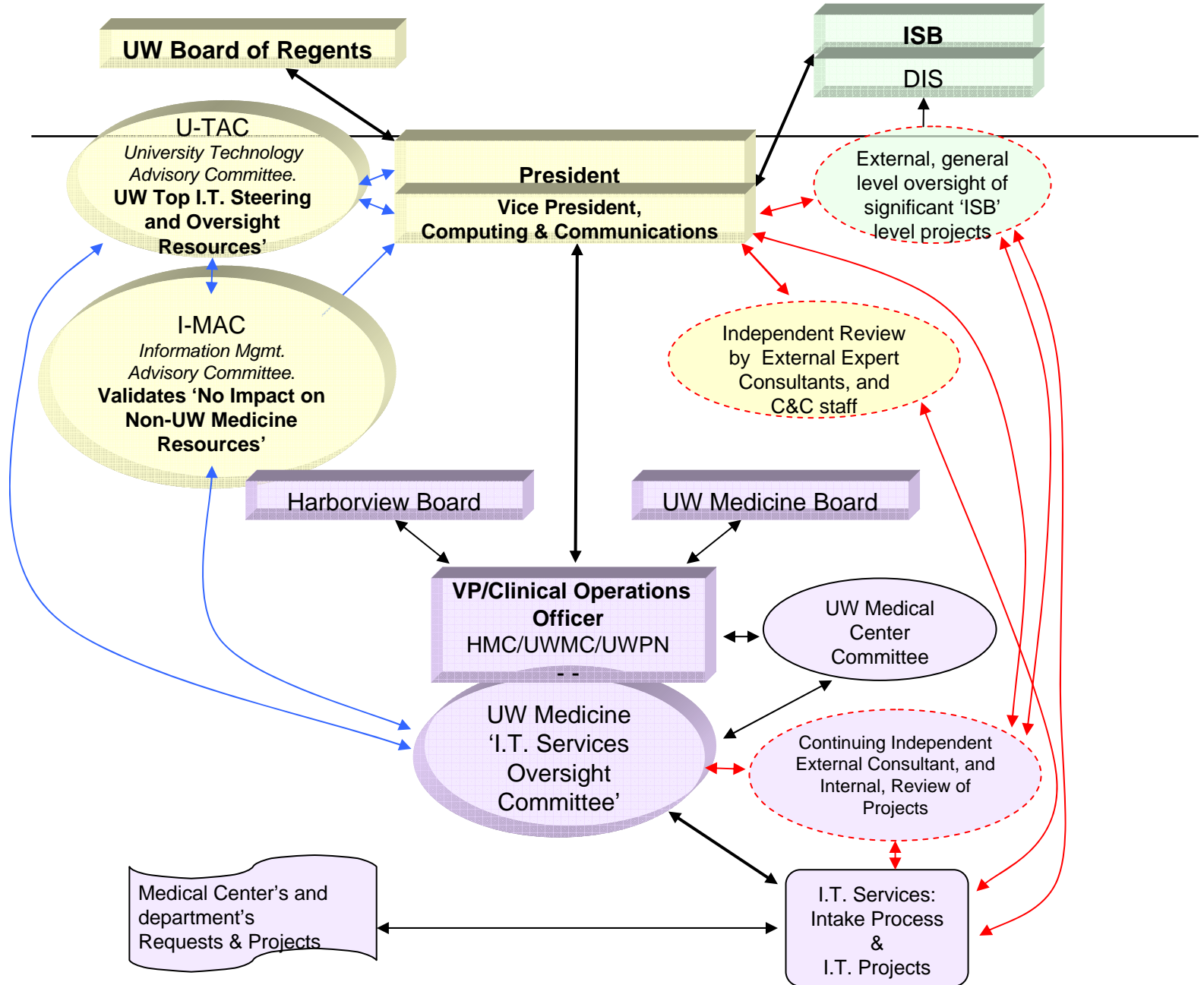
UW Medicine ADT/Hospital Billing Planning Project Status

University of Washington Board of Regents
November 15th, 2007

Ron Johnson, VP UW Computing and Communications
Johnese Spisso, VPMA/COO UW Medicine
Lori Mitchell, CFO, Harborview Medical Center

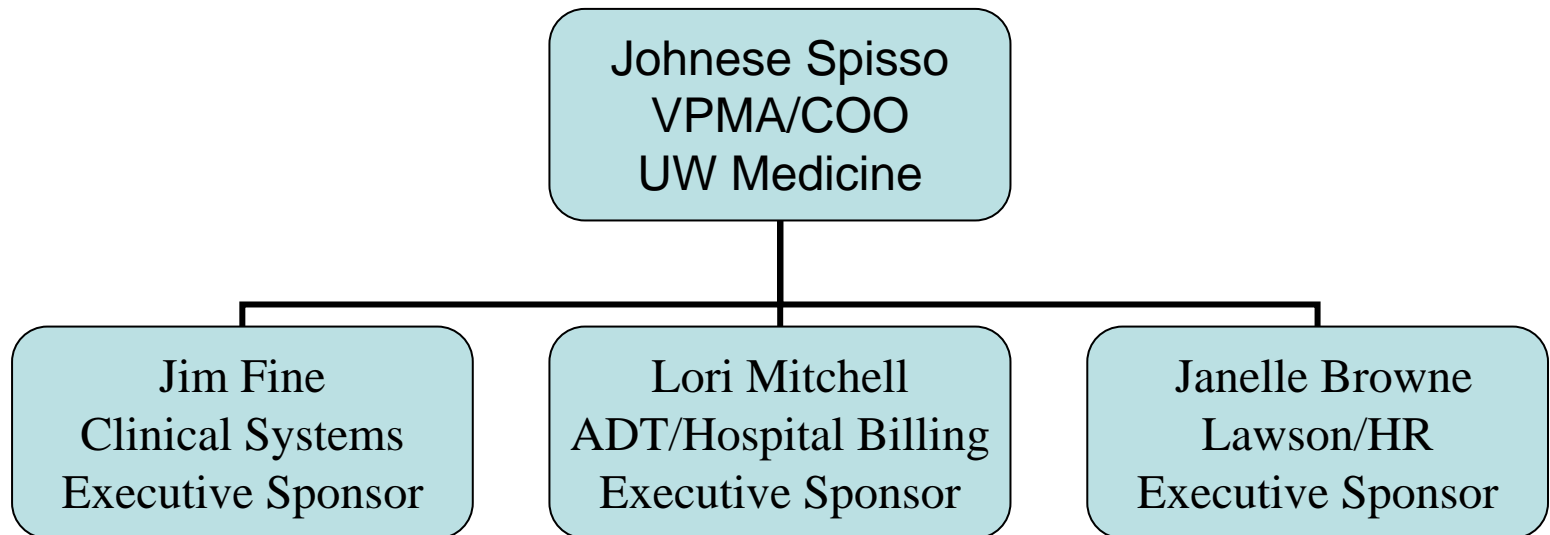
New General Oversight & Approval Structure for UW Medicine I.T. Projects

August 2007



Resource Contention Between Major Projects

- Role of VPMA/COO – Authority to redirect resources and priorities as needed
- Dedicated senior leadership for each major project





Status of Other Current Projects

- ORCA – Clinical Documentation pilot live at HMC on October 23. User acceptance and system performance meeting expectations. Issues list being managed by project team. Command center closed at the end of week one. Preparations for further deployment proceeding as planned
- Lawson – Milestones meeting or exceeding schedule. Last milestone to install Lawson and related software on new servers was completed one month ahead of schedule



Assessment of Overall Institutional Capacity to Conduct Simultaneous IT Projects

- Assessed at the Operational and Technical Resource Levels
- Analysis was performed for all three major projects by having each project enter its planned level of engagement by group by month
- One conflict identified with nursing managers – will be rectified by changing timing of project workflow design of ADT/Hospital Billing project



Development of Detailed Project Plan

- Task level plan developed in conjunction with Epic
- Detailed Gantt chart includes 1,697 tasks
- Refinement in process as we address issues specific to UW Medicine environment, such as system interfaces



Identification and Recruitment of Technical Staff Resources

- Detailed listing of IT positions and job descriptions has been developed
- Dedicated HR recruiter hired
- Through November 5, 13 staff have been hired, 4 offers pending, 12 still being recruited with multiple qualified candidates being evaluated
- Contracts with Epic approved vendors also being put in place to be used if needed
- Project manager positions have been filled

Development of Vendor Contract Template that Addresses Contingencies and Risk Mitigation

- Amendment to existing contract being developed to commit funding to initiate training for the project team, have remote access to software, and assignment of an implementation team to complete planning phase in March 08
- Additional amendment to contract will be done that addresses contingencies and risk mitigation when planning phase is complete and project has been approved to move forward



UW Medicine Request to Continue Project Planning

- Funding approval up to \$5M to complete planning for the project by March 2008

VII. STANDING COMMITTEES**A. Academic and Student Affairs Committee**

In Joint Sessions With

B. Finance, Audit and Facilities Committee**Establishment of Contracting Regulations****RECOMMENDED ACTION:**

It is the recommendation of the Administration and of the Finance, Audit and Facilities Committee that the Board of Regents take the following actions under the authority granted in RCW 28B.20.140:

- 1) Establish regulations providing for a fair, open, and efficient development agreement method by which the University may, under limited circumstances, move expeditiously and efficiently to contract for Capital Projects in a way that protects the best interests of the university and assures the delivery of quality work and products at a reasonable price under the most advantageous terms, and
- 2) Authorize the President to have such regulation take effect immediately as an emergency, and initiate the permanent rule making process.

The full text of the proposed regulations is attached.

BACKGROUND:

In 1969, the legislature amended RCW 28B.20.140 to authorize the Board of Regents to construct and improve University buildings through contracts as the Regents deem to be in the best interest of the University. The statute authorizes the Regents to let such contracts under regulations as the Regents may establish or through processes established elsewhere in state law.

The University has historically used the processes set forth in other state laws (for instance, the General Contractor Construction Manager (GCCM) process set forth in chapter 39.10 RCW) in contracting for construction and improvement of University facilities. Currently, there are plans for construction of the Sound Transit Station, as well as consideration by other transportation agencies, regarding the sites adjacent to Husky Stadium. The University is at the same time considering plans for major renovations of Husky Stadium.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

In Joint Sessions With

B. Finance, Audit and Facilities Committee

Establishment of Contracting Regulations (continued p. 2)

If the University were to follow existing contracting processes for the Stadium renovations, it may suffer significant adverse effects on its operation, mission, and/or financial interests due to the Sound Transit project. These circumstances call for a specialized contracting method to protect the interests of the University.

The purpose of the proposed regulations is to establish a fair, open, and efficient development agreement method by which the University may, under limited circumstances, move expeditiously and efficiently to contract for a project in a way that protects the best interests of the university and assures the timely delivery of quality work at a reasonable price under the most advantageous terms. For this reason, the Regents are being asked to use the authority of RCW 28B.20.140 to establish the regulation to enable use of the development agreement method for contracting.

Because time is a crucial factor in this situation, the regulations, if adopted by the Regents, would then be adopted by the University as emergency regulations under the Washington Administrative Code. Following a full period of notice and comment, these regulations would come back to the Board for final adoption. This period of notice and comment will include: filing and publication of a Pre-proposal Statement of Inquiry; no earlier than 30 days thereafter, filing the Proposed Rule Making Notice; and no earlier than 20 days thereafter, hold a hearing. After the hearing and completion of a report, the rule will return to the Board of Regents for adoption.

Attachment

Chapter 478-350 WAC

Alternative Contracting Process for the University of Washington

NEW SECTION

WAC 478-350-010 Authority. The University of Washington adopts these rules pursuant to RCW 28B.20.140.

NEW SECTION

WAC 478-350-020 Purpose. The purpose of this chapter is to establish a fair, open, and efficient method by which the university may, under certain circumstances, contract for the erection and construction of university buildings or improvements thereto, in lieu of other statutorily authorized contracting methods. These rules are intended to protect the best interests of the university and assure the delivery of quality work and products at a reasonable price under the most advantageous terms.

NEW SECTION

WAC 478-350-030 Applicability. The contracting method set forth in this chapter may be used only when the president of the university finds that all of the following criteria are met:

(1) The building or improvement involved has a total project cost in excess of \$25,000,000;

(2) The design or construction of the building or improvement or its construction schedule may be directly impacted by large construction projects being planned or constructed by other agencies or private developers;

(3) Postponing the building or improvement or delaying it through the use of other contracting methods is likely to have a significant

adverse effect on the operation, mission, or financial interests of the university, and;

(4) The building or improvement may benefit from a contracting method that integrates services including but not limited to a developer, designer, construction manager and contractor being on the same team and working collaboratively.

A finding by the university president that a project meets all of the above criteria shall be subject to review and approval by the University of Washington board of regents.

NEW SECTION

WAC 478-350-040 Contracting method. Upon an approved finding that a project meets the criteria set forth above, the president or the president's designee may proceed to conduct a competitive process that is open, fair, and unbiased and results in one or more contracts with a highly qualified entity or team on the most advantageous terms. The process must include at least the following elements:

(1) RFQ/RFP. Contracts will be awarded through either a Request for Qualifications (RFQ) or a Request for Proposals (RFP) process or a combination thereof. The RFQ/RFP will include a clear description of what the university believes to be most important about the project as well as the weight of selection criteria.

(2) Public notice. The university shall publish at least once in a legal newspaper of general circulation published in, or as near as possible to, that part of the county in which the project will be constructed, a notice of its RFQ/RFP, and information regarding the availability and location of the RFQ/RFP documents.

(3) Selection criteria. Selection criteria shall include, but are not limited to, qualifications of the project team, technical excellence and competence, experience, capacity to accomplish the work, ability to deliver a quality project, past performance of the team or its constituent members, and price or fee, taking into consideration the estimated cost of construction as well as the long-

term performance, operation and maintenance of the building or improvement.

(4) Negotiations. The university shall first attempt to negotiate a contract with the entity deemed to have submitted the best overall response. If such negotiations are not successful, the university may proceed to negotiate with the entity deemed to have submitted the next best response.

NEW SECTION

WAC 478-350-050 Prevailing wages, bonds and retainage. Any contract awarded pursuant to these rules shall require full compliance with applicable sections of chapters 39.08, 39.12, and 60.28 RCW. The selected entity shall also be encouraged to work closely with the university's business diversity program.