



UNIVERSITY OF WASHINGTON
BOARD OF REGENTS

May 31, 2007

TO: Members of the Board of Regents
Ex-officio Representatives to the Board of Regents

FROM: Michele M. Sams, Secretary of the Board of Regents

RE: Schedule of Meetings

THURSDAY, JUNE 7, 2007

7:45 a.m. – 9:10 a.m. 142 Gerberding Hall

**FINANCE, AUDIT AND FACILITIES
COMMITTEE:** Regents Jewell (Chr), Blake,
Brotman, Cole, Kiga, Proctor

in Joint Session with

**ACADEMIC & STUDENT AFFAIRS
COMMITTEE:** Regents Barer (Chr), Cole,
Faubion, Gates, Kiga, Simon

9:20 a.m. – 10:55 a.m. 142 Gerberding Hall

**ACADEMIC & STUDENT AFFAIRS
COMMITTEE:** Regents Barer (Chr), Cole,
Faubion, Gates, Kiga, Simon

11:00 a.m. – 12:40 p.m. 142 Gerberding Hall

**FINANCE, AUDIT AND FACILITIES
COMMITTEE:** Regents Jewell (Chr), Blake,
Brotman, Cole, Kiga, Proctor

ENCLOSURES: Agendas for Committees



**UNIVERSITY OF WASHINGTON
BOARD OF REGENTS**

Academic and Student Affairs Committee
Regents Barer (Chair), Cole, Faubion, Gates, Kiga, Simon

June 7, 2007
10:00 a.m.–11:30 a.m., 142 Gerberding Hall

- | | | | |
|-----|---|--------------------|-----|
| 1. | Academic and Administrative Appointments
Phyllis M. Wise, Provost and Vice President for Academic Affairs | ACTION | A-1 |
| 2. | Granting of Degrees for 2006 – 2007
Phyllis M. Wise | ACTION | A-2 |
| 3. | Elimination of the Department of Pathobiology, School of Public Health and Community Medicine
Patricia Wahl, Dean, School of Public Health and Community Medicine | ACTION | A-3 |
| 4. | Services and Activities Fee - University of Washington, Tacoma: Distribution of Fee and Allocation of Funds
Patricia Spakes, Chancellor, UW Tacoma | ACTION | A-4 |
| 5. | Services and Activities Fees - University of Washington Bothell: 2007–08 Distribution of Fees and Allocation of Funds and Revised Guidelines and Operating Procedures
Steven G. Olswang, Interim Chancellor, UW Bothell | ACTION | A-5 |
| 6. | Services and Activities Fee – University of Washington, Seattle: 2007–08 Operating, Capital and Special Allocations
Eric S. Godfrey, Vice Provost for Student Life
Sheridan Gray, Chair, Services and Activities Fee Committee | ACTION | A-6 |
| 7. | UW Fee-Based Educational Programs, 2005–2006
David P. Szatmary, Vice Provost, UW Educational Outreach | ACTION | A-8 |
| 8. | Waiver of Tuition and Fees- Revision to WAC 478-160-163
Gary R. Quarfoth, Interim Vice Provost for Planning & Budgeting | ACTION | A-7 |
| 9. | Trends in College Student Mental Health
Eric S. Godfrey
Kathryn Hamilton, Director, Counseling Center
D.C. Dugdale, Director, Hall Health Primary Care Center | INFORMATION | A-9 |
| 10. | Other Business | INFORMATION | |



**UNIVERSITY OF WASHINGTON
BOARD OF REGENTS**

Finance, Audit and Facilities Committee
Regents Jewell (Chr), Blake, Brotman, Cole, Kiga, Proctor

In Joint Sessions with

Academic and Student Affairs Committee
Regents Barer (Chr), Cole, Faubion, Gates, Kiga, Simon

**June 7, 2007
7:45 a.m. –9:50 a.m., 142 Gerberding Hall**

- | | | |
|--|--------------------|------|
| 1. University of Washington Fiscal Year 2008 Operating and Capital Budgets
Phyllis M. Wise , Provost and Vice President for Academic Affairs
Gary R. Quarfoth , Interim Vice Provost for Planning and Budgeting | ACTION | F-16 |
| 2. UW Tower Transition Planning Progress Report
Marilyn E. Cox , Assistant Vice Provost for Capital Planning | INFORMATION | F-17 |
| 3. Executive Session (To review the performance of public employees) | | |
| 4. Executive Session (to consider the minimum price at which real estate will be offered for sale or lease when public knowledge regarding such consideration would cause a likelihood of decreased price) | | |
| 5. Master Implementation Agreement with Sound Transit
Weldon E. Ihrig , Executive Vice President | ACTION | F-11 |
| 6. Other Business | INFORMATION | |



**UNIVERSITY OF WASHINGTON
BOARD OF REGENTS**

Finance, Audit and Facilities Committee
Regents Jewell (Chr), Blake, Brotman, Cole, Kiga, Proctor

In Joint Sessions with

Academic and Student Affairs Committee
Regents Barer (Chr), Cole, Faubion, Gates, Kiga, Simon

**June 7, 2007
7:45 a.m. –9:50 a.m., 142 Gerberding Hall**

- | | | |
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| 1. University of Washington Fiscal Year 2008 Operating and Capital Budgets
Phyllis M. Wise , Provost and Vice President for Academic Affairs
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| 2. UW Tower Transition Planning Progress Report
Marilyn E. Cox , Assistant Vice Provost for Capital Planning | INFORMATION | F-17 |
| 3. Executive Session (To review the performance of public employees) | | |
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Weldon E. Ihrig , Executive Vice President | ACTION | F-11 |
| 6. Other Business | INFORMATION | |



AGENDA

BOARD OF REGENTS University of Washington

June 7, 2007

1:00 p.m. – Petersen Room, Allen Library

(Item No.)

I. CALL TO ORDER

II. ROLL CALL

III. CONFIRM AGENDA

IV. REPORT OF THE CHAIR OF THE BOARD OF REGENTS: Regent Kiga

Resolution of Appreciation to Jennifer K. Faubion BP-1

V. REPORT OF THE UNIVERSITY PRESIDENT: Dr. Emmert

VI. CONSENT AGENDA

Approval of Minutes of Meeting of May 17, 2007

Elimination of the Department of Pathobiology, School of Public Health and
Community Medicine A-3

Services and Activities Fee – University of Washington, Tacoma: Distribution
of Fee and Allocation of Funds A-4

Services and Activities Fees – University of Washington Bothell: 2007-08
Distribution of Fees and Allocation of Funds and Revised Guidelines and
Operating Procedures A-5

Services and Activities Fee – University of Washington, Seattle: 2007-08
Operating, Capital and Special Allocations A-6

Waiver of Tuition and Fees – Revision to WAC 478-160-163 A-7

Grant and Contract Awards – April, 2007 F-2

Transit Services Contract Extension – King County Metro and Sound Transit F-3

Food and Beverage Concession Contract Extension – Sodexo America LLC F-4

UW Tower Data Center – Final Determination for General
Contractor/Construction Manager Method of Contracting F-5

UW Tacoma Phase 3 – Architect Appointment F-8

UW Medical Center Expansion Project – Architect F-9

Molecular Engineering Interdisciplinary Academic Building – Architect F-10

Master Implementation Agreement with Sound Transit F-11

Medical Centers Billing System – Detailed Plan Development F-12

Consideration of Petition for Repeal of WAC 478-136-035	F-15
University of Washington Fiscal Year 2008 Operating and Capital Budgets	F-16
University of Washington Job Order Contract – Contract Award	F-18

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee: Regent Barer - Chair

Academic and Administrative Appointments (ACTION)	A-1
Granting of Degrees for 2006 – 2007 (ACTION)	A-2
UW Fee-Based Educational Programs, 2005–2006 (Information only)	A-8
Trends in College Student Mental Health (Information only)	A-9

Joint Session

A. Academic and Student Affairs Committee: Regent Barer – Chair

B. Finance and Audit Committee: Regent Jewell – Chair

UW Tower Transition Planning Progress Report (Information only)	F-17
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B. Finance, Audit and Facilities Committee: Regent Jewell - Chair

Report of Contributions – April, 2007 (Information only)	F-1
Actions Taken Under Delegated Authority (Information only)	F-6
Quarterly Investment Report (Information only)	F-7
UWINCO Update (Information only)	F-14

VIII. OTHER BUSINESS

Reports from ex-officio representatives to the Board:

ASUW President – Mr. Cullen P. White

GPSS President – Ms. Kimberly A. Friese

Alumni Association President – Mr. Gregg Blodgett

Faculty Senate Chair – Professor Gail Stygall

IX. DATE FOR NEXT REGULAR MEETING: July 19, 2007

X. ADJOURNMENT

MINUTES
BOARD OF REGENTS
University of Washington
June 7, 2007

The Board of Regents held its regular meeting on Thursday, June 7, 2007, beginning at 1:00 p.m. in the Petersen Room of the Allen Library. The notice of the meeting was appropriately provided to the public and the press.

CALL TO ORDER

ROLL CALL

Assistant Secretary Keith called the roll: Present were Regents Kiga (presiding), Barer, Blake, Brotman, Cole, Faubion, Jewell, Simon; Dr. Emmert, Dr. Wise, Ms. Warren, Ms. Sams; ex-officio representatives: Mr. White, Ms. Friese, Mr. Blodgett, Professor Stygall.

Absent: Regents Blake, Gates, Proctor

CONFIRM AGENDA

The agenda was confirmed as presented.

REPORT OF THE CHAIR OF THE BOARD OF REGENTS: Regent Kiga

Resolution of Appreciation for Jennifer K. Faubion

BP-1

MOTION: Upon the recommendation of the Chair of the Board and the motion made by Regent Jewell, seconded by Regent Cole, the Board voted to approve the Resolution of Appreciation for Jennifer K. Faubion.

See Attachment BP-1

On behalf of the Board of Regents, Regent Kiga expressed appreciation to Mr. Cullen White, ASUW President, and Ms Kimberly Friese, GPSS President. He said it had been both a privilege and honor to work with them, and he wished them both the best of luck in their future careers.

REPORT OF THE UNIVERSITY PRESIDENT: Dr. Emmert

President Emmert announced Dr. Sheila Edwards Lange has been appointed as Vice President and Vice Provost for Multicultural Affairs. He took the opportunity to thank student Regent Jennifer Faubion for her service on the Board of Regents, and he thanked ASUW President Cullen White and GPSS President Kimberly Friese for their outstanding service. He noted it has been a very fine year for the University of Washington and there is much of which to be proud.

CONSENT AGENDA

Regent Kiga noted there were 18 items for approval on the consent agenda, and called for a motion.

MOTION: Upon the recommendation of the Chair of the Board and the motion made by Regent Jewell, seconded by Regent Simon, the Board voted to approve the 18 items on the consent agenda as shown below:

Minutes for the meeting of May 17, 2007

Elimination of the Department of Pathobiology, School of Public Health and Community Medicine (Agenda no. A-3)

It was the recommendation of the administration and the Academic and Student Affairs Committee that the Department of Pathobiology in the School of Public Health and Community Medicine be eliminated effective June 20, 2008.

See Attachment A-3.

Services and Activities Fee – University of Washington, Tacoma: Distribution of Fee and Allocation of Funds (Agenda no. A-4)

It was the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents approve the following Services and Activities Fee proposals for the University of Washington Tacoma:

- 1) an increase in the Services and Activities Fee for academic year 2007-08;
- 2) the distribution of Services and Activities Fee for 2007-08; and
- 3) the operating budgets and expenditures recommended for 2007-08.

See Attachment A-4.

Services and Activities Fees – University Of Washington Bothell: 2007-08 Distribution of Fees and Allocation of Funds and Revised Guidelines and Operating Procedures (Agenda no. A-5)

It was the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents approve for the University of Washington Bothell for 2007-08:

- 1) Recommended Services and Activities Fee Budget for 2007-08; and
- 2) Proposed Revisions to the Services and Activities Fees Guidelines and Operating Procedures.

See Attachment A-5.

Services and Activities Fee – University of Washington, Seattle: 2007–08 Operating, Capital and Special Allocations (Agenda no. A-6)

It was the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents approve for the Seattle campus:

- 1) Raising the Services & Activities (S&A) Fee level for 2007–08 from \$99 per full-time student per quarter to \$105; and
- 2) Allocating \$12,624,610 for 2007–08 S&A Fee operating, capital and special allocations.

See Attachment A-6.

Waiver of Tuition and Fees- Revision to WAC 478-160-163 (Agenda no. A-7)

It was the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents approve the attached changes to WAC 478-160-163 (Waivers of Tuition and Fees). These changes to WAC 478-160-163 are necessary to implement the previous action taken by the Board of Regents in March 2007 which revised the provisions of tuition waivers for qualified graduate and professional students who are veterans or members of the Washington National Guard.

See Attachment A-7.

Grant and Contract Awards – April, 2007 (Agenda no. F-2)

It was the recommendation of the administration and the Finance, Audit and Facilities Committee that the Board of Regents accept Grant and Contract Awards for the month of April, in the total amount of \$87,698,490.

See Attachment F-2.

Transit Services Contract Extension – King County Metro and Sound Transit
(Agenda no. F-3)

It was the recommendation of the administration and the Finance, Audit and Facilities Committee that the President be delegated authority to execute a \$12 million transit services contract extension with King County Metro and Sound Transit for the period of July 1, 2007 to June 30, 2008.

See Attachment F-3.

Food and Beverage Concession Contract Extension – Sodexo America LLC
(Agenda no. F-4)

It was the recommendation of the administration and the Finance, Audit and Facilities Committee that the President be delegated authority to execute a contract as appropriate for a five year extension of the food service concession contract with Sodexo America LLC. The contract guarantees a minimum of \$3.4 million over the five year period.

See Attachment F-4.

UW Tower Data Center – Final Determination for General Contractor/Construction Manager Method of Contracting (Agenda no. F-5)

It was the recommendation of the administration and the Finance, Audit and Facilities Committee that the use of alternative public works procurement utilizing the General Contractor/Construction Manager (GC/CM) method of contracting be approved for the UW Tower Building C Data Center project; and that, pursuant to RCW 39.10, the President be delegated authority to make a final determination to use the GC/CM alternative procurement method for the UW Tower Data Center Project.

See Attachment F-5.

UW Tacoma Phase 3 – Architect Appointment (Agenda no. F-8)

It was the recommendation of the administration and the Finance, Audit and Facilities Committee that the President be delegated authority to award design contracts for the UW Tacoma Phase 3 project to the firm of Thomas Hacker Architects, subject to successful negotiation of an architectural agreement. The Phase 3 scope includes the Russell T. Joy Building renovation/addition and other capital improvement projects required to accommodate 600 additional students. It is intended that this project will be brought back to the Board of Regents at the completion of the pre-design phase in early 2008 to present the project and request the additional project approvals.

In the event of an unsuccessful negotiation with the selected firm, it is requested that authority be delegated to open negotiations with SRG Partnership, the firm recommended as first alternate.

See Attachment F-8.

UW Medical Center Expansion Project – Architect (Agenda no. F-9)

It was the recommendation of the administration and the Finance, Audit and Facilities Committee that the President be delegated authority to award a contract for the design of the UW Medical Center Expansion Project to the second-ranked firm of NBBJ, subject to successful negotiation of an architectural contract. Should negotiations with NBBJ be unsuccessful, it is recommended that the design be awarded to the third-ranked firm of ZGF, subject to successful negotiation of an architectural contract.

See Attachment F-9.

Molecular Engineering Interdisciplinary Academic Building – Architect (Agenda no. F-10)

It was the recommendation of the administration and the Finance, Audit and Facilities Committee that the President be delegated authority to award design contracts for pre-design and design services for the Molecular Engineering Interdisciplinary Academic Project with the firm of Zimmer Gunsul Frasca Architects LLP, subject to the successful negotiation of agreements.

In the event of an unsuccessful negotiation with the selected firm, it is requested that authority be delegated to open negotiations with CO Architects, followed by (if necessary) NBBJ Architects, the firms recommended as first and second alternates.

See Attachment F-10.

Master Implementation Agreement with Sound Transit (Agenda no. F-11)

It was the recommendation of the administration and the Finance, Audit and Facilities Committee that the President be delegated authority to enter into the Master Implementation Agreement for Sound Transit's entry to the University of Washington Seattle Campus subject to final language being substantially similar to the attached version of the Agreement.

See Attachment F-11

Medical Centers Billing System – Detailed Plan Development (Agenda no. F-12)

It was the recommendation of the administration and the Finance, Audit and Facilities Committee that the President or the President's authorized representative be delegated authority to enter into appropriate contracts and related expenditures in an amount not to exceed \$5,000,000 to continue the development of more detailed plans for possible implementation of the proposed new admissions-discharge-transfer and facility billing system for UW Medical Center and Harborview Medical Center.

See Attachment F-12.

Consideration of Petition for Repeal of WAC 478-136-035 (Agenda no. F-15)

It was the recommendation of the President that the Petition for Repeal of WAC 478-136-035 ("No Smoking Policy for University Facilities") submitted by Robert W. Haller be denied.

See Attachment F-15.

University of Washington Fiscal Year 2008 Operating and Capital Budgets (Agenda no. F-16)

It was the recommendation of the administration, the Finance, Audit, and Facilities Committee and the Academic and Student Affairs Committee that the Board of Regents, pursuant to its authority under RCW 28B.20.130, the Bylaws of the Board of Regents, and Board of Regents Standing Order No. 1, approve the Fiscal Year 2008 operating and capital budgets for the University of Washington that are presented in the following text and tables. In this action item, the Board of Regents, in its sole and independent discretion:

- Adopts the Fiscal Year 2008 operating budget, including funds to provide faculty salary increases;
- Establishes tuition rates for all tuition categories for the 2007-08 academic year; and,
- Adopts the Fiscal Year 2008 capital budget.

See Attachment F-16.

University of Washington Job Order Contract – Contract Award (Agenda no. F-18)

It was the recommendation of the administration and the Finance Audit and Facilities Committee that the President be delegated authority to award a Job Order Contract (JOC) to Burton Construction, Inc. This is the second JOC award for the University in addition to the recently awarded contract with Centennial Contractors Enterprises. The maximum contract amount for work orders for the first year shall not exceed \$3 million, \$5 million over two years, and \$8 million over three years.

See Attachment F-18.

STANDING COMMITTEES

ACADEMIC AND STUDENT AFFAIRS COMMITTEE: Regent Barer, Chair

Regent Barer noted the Committee has a lengthy briefing of the University's fee-based programs. He said it is amazing how successful the program has been in serving students and providing access to thousands that would not have otherwise had access to the University of Washington. Financially, the program returned to the University approximately \$91 million in revenue. Regent Barer complimented the administration for successfully expanding its fee-based programs.

Academic and Administrative Appointments (Agenda no. A-1)

MOTION: Upon the recommendation of the administration and the motion made by Regent Simon, seconded by Regent Jewell, the Board voted to approve the

personnel appointments. Regent Faubion abstained from the discussion and vote.

Granting of Degrees for 2006–2007 (Agenda no. A–2)

MOTION: Upon the recommendation of the administration and the motion made by Regent Simon, seconded by Regent Jewell, the Board voted to grant degrees for 2006–2007. Regent Faubion abstained from the discussion and vote.

UW Fee-Based Educational Programs 2005-2006 (Agenda no. A–8) (Information only)

Trends in College Student Mental Health (Agenda no. A– 9) (Information only)

FINANCE, AUDIT AND FACILITIES COMMITTEE: Regent Jewell, Chair

Regent Jewell noted that a historic event took place today when the Committee approved an agreement with Sound Transit to take a major step forward around the station that will be located at Husky Stadium. The agreement sets the groundwork for also tunneling beneath the University campus in a way that is the least disruptive possible for University researchers so that Sound Transit can continue north to Northgate once there is voter approval. Regent Jewell thanked Executive Vice President Weldon E. Ihrig and his team for their many years of negotiating the agreement.

Report of Contributions - April, 2007 (Agenda no. F–1) (Information only)

In Joint Session

FINANCE, AUDIT AND FACILITIES COMMITTEE: Regent Jewell, Chair

ACADEMIC AND STUDENT AFFAIRS COMMITTEE: Regent Barer, Chair

Regent Jewell reported the committees approved a proclamation recognizing a contribution from Safeco of furniture and artwork to be used in the University of Washington Tower Building.

UW Tower Building Update (Agenda no. F–17) (Information only)

REPORTS FROM EX OFFICIO REPRESENTATIVES TO THE BOARD OF REGENTS

ASUW President: Mr. Cullen P. White

Mr. White announced the ASUW had raised approximately \$12,000 for the Husky Fund from selling tee shirts and collecting change at UW coffee stand. Since the June meeting would be his last, he said it was truly a pleasure to serve as an ex-officio member of the

June 7, 2007

Board of Regents. He thanked the Regents for demonstrating what it means to be a successful individual with respect to civic service.

Regent Kiga noted that Mr. White had been awarded the Bonderman Travel Fellowship in April, which provides a \$20,000 stipend to travel around the world for at least eight months and visit at least six countries in two or more major regions of the world. Mr. White reported he has 22 countries on his itinerary.

GPSS President: Ms. Kimberly A. Friese

Ms. Friese expressed her appreciation to the Board of Regents and the administration for a successful year, noting, among other things, the Waiver of Tuition and Fees for Veterans and Childcare Resource Coordinator. She thanked the Board of Regents for her experience as an ex-officio member of the Board and said it is an experience she will not soon forget.

Alumni Association President: Mr. Gregg Blodgett

Mr. Blodgett said his last meeting would be in July and at that time he will have the pleasure of introducing the incoming president of the Alumni Association Board. He took a few minutes to review all of the successful events sponsored by the Alumni Association during the 2006-2007 academic year. He thanked the Board and the administration for their support of the Alumni Association, for their fine work, their time, dedication and energy. He noted their contributions make a world of difference to students, to the Northwest and simply to the greater good.

Faculty Senate Chair: Professor Gail Stygall

Professor Stygall reported that, among other things, there two very positive outcomes to the faculty salary discussion; one of them was agreement to make the second year of the biennium have the same goal as the first year; and the second is that she and Provost Wise have planned to work together closely next year when Professor Stygall becomes the chair the Senate Committee on Planning and Budgeting. One of the issues certainly will be to identify long-term goals for faculty salaries and maintenance of those salaries.

She expressed her thanks to Mr. Cullen White, ASUW President, and Ms. Kimberly Friese, GPSS President for their work over the past year. She also told Regent Jennifer Faubion she has been a fine student Regent.

DATE FOR NEXT MEETING

The next regular meeting of the Board of Regents will be held on Thursday, July 19, 2007.

ADJOURNMENT

The regular meeting was adjourned at 2:10 p.m.

A handwritten signature in black ink, appearing to read "Michele M. Sams", written in a cursive style.

Michele M. Sams
Secretary of the Board of Regents

**University of Washington
Board of Regents
Resolution of Appreciation to
Jennifer K. Faubion**

WHEREAS, in accordance with Washington state law, Governor Chris Gregoire appointed third-year law student Jennifer K. Faubion, to serve the prescribed one-year term, from July 1, 2006 to June 30, 2007, as the student member of the University of Washington Board of Regents; and

WHEREAS, as a 2003 alumna of the University of Washington, majoring in history, she brought to her Regental responsibilities a deep appreciation and affection for her alma mater; and

WHEREAS, her experience with student government, as a senator for four years, as legislative director for the Associated Students of the University of Washington (ASUW), and as a member of the Services and Activities Fee Committee has contributed significantly to the enhancement of student life on campus; and

WHEREAS, she has served the Board with great distinction, superbly representing the students of the University and bringing to the Board the perspective of a deeply thoughtful, well-informed, exceptionally considerate student, with a strong commitment to the pursuit of excellence in all aspects of University life; and

WHEREAS, her pledge to communicate continuously with students about issues before the Board and to ensure that her views were informed by those of her fellow students made her an especially effective and approachable Regent; and

WHEREAS, her quick, sharp and penetrating intelligence, her exceptional public speaking capabilities and her highly developed work ethic have all contributed significantly to the work of the Board and her vital part in it; and

WHEREAS, her engaging personality, calm demeanor, and unwavering devotion to her responsibilities have made her a truly exemplary Regent, colleague, and friend;

NOW, THEREFORE, BE IT RESOLVED: That the members of the Board of Regents express to Jennifer Faubion their gratitude on behalf of the entire University community, in particular the student body, and their own personal thanks for her exceptional service, dedication, and hard work, that we wish her continued success and happiness on all her future endeavors, and that this resolution be spread upon the minutes of the Board as a permanent record of the Board's sincere appreciation.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Academic and Administrative Appointments

RECOMMENDED ACTION:

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents approve the appointments to the University faculty and administration as presented on the attached list.

Attachment: Personnel Recommendations

COLLEGE OF ARCHITECTURE AND URBAN PLANNING

DEPARTMENT OF ARCHITECTURE

ADMINISTRATIVE APPOINTMENTS

OCHSNER, JEFFREY KARL

(BA, 1973, RICE UNIVERSITY; MArch, 1976, RICE UNIVERSITY) TO BE ASSOCIATE DEAN OF ACADEMIC AFFAIRS, EFFECTIVE 6/16/2007. (PROFESSOR OCHSNER WILL CONTINUE AS PROFESSOR OF ARCHITECTURE AND ADJUNCT PROFESSOR OF URBAN DESIGN & PLANNING AND OF LANDSCAPE ARCHITECTURE.)

COLLEGE OF ARTS AND SCIENCES

DEPARTMENT OF ASTRONOMY

NEW APPOINTMENTS

BECKER, ANDREW

(BS, 1995, PURDUE UNIVERSITY; MSc, 1996, UNIVERSITY OF WASHINGTON; PHD, 2000, UNIVERSITY OF WASHINGTON) TO BE RESEARCH ASSISTANT PROFESSOR OF ASTRONOMY AT A SALARY RATE OF \$60,484 OVER TWELVE MONTHS, EFFECTIVE 9/1/2006. (PRIOR TO THIS APPOINTMENT, DR. BECKER WAS A POSTDOCTORAL RESEARCH ASSOCIATE IN THE SAME DEPARTMENT.)

DEPARTMENT OF ATMOSPHERIC SCIENCES

NEW APPOINTMENTS

FRIERSON, DARGAN

(BS, 2000, NORTH CAROLINA STATE UNIVERSITY; MS, 2002, PRINCETON UNIVERSITY; PHD, 2005, PRINCETON UNIVERSITY) TO BE ASSISTANT PROFESSOR OF ATMOSPHERIC SCIENCES AT A SALARY RATE OF \$70,002 OVER NINE MONTHS, EFFECTIVE 9/16/2007. (DR. FRIERSON IS CURRENTLY A POSTDOCTORAL FELLOW IN ATMOSPHERIC SCIENCES AT THE UNIVERSITY OF CHICAGO.)

PATOUX, JEROME

(MA, 1994, UNIVERSITY OF TEXAS (AUSTIN); PHD, 2003, UNIVERSITY OF WASHINGTON) TO BE RESEARCH ASSISTANT PROFESSOR OF ATMOSPHERIC SCIENCES AT A SALARY RATE OF \$67,200 OVER TWELVE MONTHS, EFFECTIVE 6/1/2007. (PRIOR TO THIS APPOINTMENT, DR. PATOUX WAS A RESEARCH ASSOCIATE IN THE SAME DEPARTMENT.)

DEPARTMENT OF CHEMISTRY

NEW APPOINTMENTS

SPIRO, THOMAS G.

(BS, 1956, UNIVERSITY OF CALIFORNIA (LOS ANGELES); PHD, 1960, MASSACHUSETTS INSTITUTE OF TECHNOLOGY) TO BE PROFESSOR WITHOUT TENURE OF CHEMISTRY AT A SALARY RATE OF \$139,950 OVER NINE MONTHS, EFFECTIVE 7/1/2007. (DR. SPIRO IS CURRENTLY PROFESSOR OF CHEMISTRY AT PRINCETON UNIVERSITY.)

DEPARTMENT OF COMMUNICATION

NEW APPOINTMENTS

HAROLD, CHRISTINE

(BA, 1995, WILLAMETTE UNIVERSITY; MA, 1999, PENNSYLVANIA STATE UNIVERSITY; PHD, 2003, PENNSYLVANIA STATE UNIVERSITY) TO BE ASSISTANT PROFESSOR OF COMMUNICATION AT A SALARY RATE OF \$65,007 OVER NINE MONTHS, EFFECTIVE 9/16/2007. (DR. HAROLD IS CURRENTLY AN ASSISTANT PROFESSOR OF SPEECH COMMUNICATION AT THE UNIVERSITY OF GEORGIA.)

DEPARTMENT OF COMPARATIVE LITERATURE

ADMINISTRATIVE APPOINTMENTS

STEELE, CYNTHIA

(BA, 1973, CALIFORNIA STATE UNIVERSITY, CHICO; MA, 1979, UNIVERSITY OF CALIFORNIA (SAN DIEGO); PHD, 1980, UNIVERSITY OF CALIFORNIA (SAN DIEGO)) TO BE CHAIR OF COMPARATIVE LITERATURE, EFFECTIVE 3/16/2007. (DR. STEELE WILL CONTINUE AS PROFESSOR OF COMPARATIVE LITERATURE AND INTERNATIONAL STUDIES AND ADJUNCT PROFESSOR OF WOMEN STUDIES.)

DEPARTMENT OF PHYSICS

NEW APPOINTMENTS

STETZER, MACKENZIE

(BA, 1993, BOWDOIN COLLEGE; PHD, 2000, UNIVERSITY OF PENNSYLVANIA) TO BE RESEARCH ASSISTANT PROFESSOR OF PHYSICS AT A SALARY RATE OF \$60,480 OVER TWELVE MONTHS, EFFECTIVE 9/16/2007. (DR. STETZER IS CURRENTLY A POSTDOCTORAL RESEARCH ASSOCIATE IN THE SAME DEPARTMENT.)

DEPARTMENT OF SPEECH AND HEARING SCIENCES

ADMINISTRATIVE APPOINTMENTS

FOLSOM, RICHARD

(BA, 1967, CENTRAL WASHINGTON UNIVERSITY; MS, 1970, PORTLAND STATE UNIVERSITY; PHD, 1979, UNIVERSITY OF WASHINGTON) TO BE ACTING CHAIR OF SPEECH AND HEARING SCIENCES, EFFECTIVE 7/1/2007. (DR. FOLSON WILL CONTINUE AS PROFESSOR OF SPEECH AND HEARING SCIENCES AND ADJUNCT PROFESSOR OF PEDIATRICS.)

DEPARTMENT OF STATISTICS

ADMINISTRATIVE APPOINTMENTS

STUETZLE, WERNER

(PHD, 1977, SWISS FEDERAL INSTITUTE OF TECHNOLOGY) TO BE APPOINTED AS DIVISIONAL DEAN OF NATURAL SCIENCES OVER TEN MONTHS, EFFECTIVE 4/16/2007. (PROFESSOR STUETZLE WILL CONTINUE AS PROFESSOR OF STATISTICS AND ADJUNCT PROFESSOR OF COMPUTER SCIENCE AND ENGINEERING.)

COLLEGE OF ENGINEERING

DEPARTMENT OF CHEMICAL ENGINEERING

NEW APPOINTMENTS

POZZO, DANILO

(BS, 2001, UNIVERSITY OF PUERTO RICO; MS, 2006, CARNEGIE MELLON UNIVERSITY; PHD, 2006, CARNEGIE MELLON UNIVERSITY) TO BE ASSISTANT PROFESSOR OF CHEMICAL ENGINEERING AT A SALARY RATE OF \$78,000 OVER NINE MONTHS, EFFECTIVE 9/16/2007. (DR. POZZO IS CURRENTLY A RESEARCH ASSISTANT AT CARNEGIE MELLON UNIVERSITY.)

COLLEGE OF FOREST RESOURCES

FOREST RESOURCES

NEW APPOINTMENTS

TOTH, SANDOR FERENC

(MSc, 1994, UNIVERSITY OF FORESTRY AND WOOD SCIENCES; MS, 2002, SHINSHU UNIVERSITY (JAPAN); PHD, 2005, PENNSYLVANIA STATE UNIVERSITY) TO BE ASSISTANT PROFESSOR OF FOREST RESOURCES AT A SALARY RATE OF \$67,050 OVER NINE MONTHS, EFFECTIVE 6/16/2007. (DR. TOTH IS CURRENTLY AN ASSISTANT PROFESSOR AT OKLAHOMA STATE UNIVERSITY.)

SCHOOL OF LAW

LAW

NEW APPOINTMENTS

WATTS, KATHRYN

(JD, 2001, NORTHWESTERN UNIVERSITY; BS, 1998, NORTHWESTERN UNIVERSITY) TO BE ASSISTANT PROFESSOR OF LAW AT A SALARY RATE OF \$87,750 OVER NINE MONTHS, EFFECTIVE 9/16/2007. (MS. WATTS IS CURRENTLY A VISITING ASSISTANT PROFESSOR AT NORTHWESTERN UNIVERSITY SCHOOL OF LAW.)

SCHOOL OF MEDICINE

DEPARTMENT OF LABORATORY MEDICINE

NEW APPOINTMENTS

PAL, SAMPA

(BS, 1981, CALCUTTA UNIVERSITY (INDIA); MS, 1984, CALCUTTA UNIVERSITY (INDIA); PHD, 1995, JADAVPUR UNIVERISTY (INDIA)) TO BE RESEARCH ASSISTANT PROFESSOR OF LABORATORY MEDICINE AT A SALARY RATE OF \$64,044 OVER TWELVE MONTHS, EFFECTIVE 5/1/2007. (PRIOR TO THIS APPOINTMENT, DR. PAL WAS AN ACTING ASSISTANT PROFESSOR-TEMPORARY IN THE SAME DEPARTMENT.)

DEPARTMENT OF MEDICINE

NEW APPOINTMENTS

DEL ZOPPO, GREGORY JOHN

(BS, 1970, UNIVERSITY OF WASHINGTON; MS, 1972, CALIFORNIA INSTITUTE OF TECHNOLOGY; MD, 1977, UNIVERSITY OF WASHINGTON) TO BE PROFESSOR WITHOUT TENURE OF MEDICINE AT A SALARY RATE OF \$195,000 OVER TWELVE MONTHS, EFFECTIVE 5/1/2007. (PRIOR TO THIS APPOINTMENT, DR. DEL ZOPPO WAS AN ASSOCIATE PROFESSOR OF EXPERIMENTAL MEDICINE AT THE SCRIPPS RESEARCH INSTITUTE.)

O'HARE, ANN MARGARET

(BA, 1985, CAMBRIDGE UNIVERSITY (UK); MA, 1987, UNIVERSITY OF MINNESOTA; MD, 1996, UNIVERSITY OF VIRGINIA) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF MEDICINE AT A SALARY RATE OF \$60,756 OVER TWELVE MONTHS, EFFECTIVE 5/1/2007. (PRIOR TO THIS APPOINTMENT, DR. O'HARE WAS AN ASSISTANT PROFESSOR OF MEDICINE AT THE UNIVERSITY OF CALIFORNIA, SAN FRANCISCO.)

RODRIGUEZ, RUDOLPH ALBERT

(BA, 1985, STANFORD UNIVERSITY; MD, 1989, UNIVERSITY OF CALIFORNIA (LOS ANGELES)) TO BE ASSOCIATE PROFESSOR WITHOUT TENURE OF MEDICINE PAID DIRECT BY VETERANS AFFAIRS PUGET SOUND HEALTH CARE SYSTEM EFFECTIVE 5/1/2007. (PRIOR TO THIS APPOINTMENT, DR. RODRIGUEZ WAS AN ASSOCIATE PROFESSOR OF CLINICAL MEDICINE AT THE UNIVERSITY OF CALIFORNIA, SAN FRANCISCO.)

SALAZAR, LUPE G.

(BS, 1985, CALIFORNIA STATE UNIVERSITY, SACRAMENTO; MD, 1996, UNIVERSITY OF MINNESOTA) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF MEDICINE AT A SALARY RATE OF \$130,152 OVER TWELVE MONTHS, EFFECTIVE 5/16/2007. (PRIOR TO THIS APPOINTMENT, DR. SALAZAR WAS AN ACTING INSTRUCTOR IN THE SAME DEPARTMENT.)

DEPARTMENT OF NEUROLOGY

NEW APPOINTMENTS

CHAMBERLAIN, MARC C.

(BA, 1973, UNIVERSITY OF CALIFORNIA (BERKELEY); MS, 1973, UNIVERSITY OF CALIFORNIA (BERKELEY); MD, 1977, COLUMBIA UNIVERSITY) TO BE PROFESSOR WITHOUT TENURE OF NEUROLOGY AT A SALARY RATE OF \$215,004 OVER TWELVE MONTHS, EFFECTIVE 5/1/2007. (PRIOR TO THIS APPOINTMENT, DR. CHAMBERLAIN WAS A PROFESSOR OF INTERDISCIPLINARY ONCOLOGY AT THE H. LEE MOFFITT CANCER CENTER.)

DEPARTMENT OF PEDIATRICS

ADMINISTRATIVE APPOINTMENTS

STAPLETON, F. BRUDER

(BA, 1968, UNIVERSITY OF KANSAS; MD, 1972, UNIVERSITY OF KANSAS) TO BE ASSOCIATE DEAN OF PEDIATRICS, EFFECTIVE 6/1/2007. (TO REMAIN AS PROFESSOR AND CHAIR OF PEDIATRICS.)

DEPARTMENT OF RADIOLOGY

NEW APPOINTMENTS

GREEN, DOUGLAS E.

(BA, 1987, DARTMOUTH COLLEGE; MD, 1991, UNIVERSITY OF VERMONT) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF RADIOLOGY AT A SALARY RATE OF \$88,008 OVER TWELVE MONTHS, EFFECTIVE 5/1/2007. (PRIOR TO THIS APPOINTMENT, DR. GREEN WAS AN ASSISTANT PROFESSOR OF RADIOLOGY AT THE UNIVERSITY OF UTAH.)

SCHOOL OF PUBLIC HEALTH AND COMMUNITY MEDICINE

DEPARTMENT OF HEALTH SERVICES

ENDOWED APPOINTMENTS

WALKER, EDWARD

(BA, 1975, FORDHAM UNIVERSITY; MM, 1979, CATHOLIC UNIVERSITY OF AMERICA; MD, 1983, UNIVERSITY OF WASHINGTON) TO BE HOLDER OF THE CHERYL M. SCOTT- GROUP HEALTH COOPERATIVE ENDOWED PROFESSORSHIP, EFFECTIVE 6/1/2007. (PROFESSOR WALKER WILL CONTINUE AS PROFESSOR WITHOUT TENURE OF PSYCHIATRY AND BEHAVIORAL SCIENCES, PROFESSOR WITHOUT TENURE OF HEALTH SERVICES, PROFESSOR WITHOUT TENURE OF OBSTETRICS AND GYNECOLOGY, ADJUNCT PROFESSOR OF FAMILY MEDICINE AND ASSOCIATE DEAN OF THE SCHOOL OF MEDICINE.)

UNIVERSITY OF WASHINGTON, TACOMA

EDUCATION PROGRAM

NEW APPOINTMENTS

AGUIRRE, JULIA

(PHD, 2002, UNIVERSITY OF CALIFORNIA (BERKELEY); MA, 1993, UNIVERSITY OF CHICAGO; BA, 1989, UNIVERSITY OF CALIFORNIA (BERKELEY)) TO BE ASSISTANT PROFESSOR OF EDUCATION PROGRAM AT A SALARY RATE OF \$58,500 OVER NINE MONTHS, EFFECTIVE 8/1/2007. (DR. AGUIRRE IS CURRENTLY AN ASSISTANT PROFESSOR AT THE UNIVERSITY OF CALIFORNIA, SANTA CRUZ.)

MILGARD SCHOOL OF BUSINESS

NEW APPOINTMENTS

BRYAN, DANIEL

(PHD, 2002, UNIVERSITY OF OREGON; MBA, 1989, CALIFORNIA STATE UNIV, SAN BERNARDINO; BS, 1989, CALIFORNIA STATE UNIV, SAN BERNARDINO) TO BE ASSISTANT PROFESSOR OF BUSINESS AT A SALARY RATE OF \$125,000 OVER NINE MONTHS, EFFECTIVE 9/16/2007. (DR. BRYAN IS CURRENTLY AN ASSISTANT PROFESSOR AT SUNY AT BUFFALO.)

CAO, ZHIYAN

(PHD, 2006, YALE UNIVERSITY; BS, 2000, FUDAN UNIVERSITY (CHINA)) TO BE ASSISTANT PROFESSOR OF BUSINESS AT A SALARY RATE OF \$120,000 OVER NINE MONTHS, EFFECTIVE 9/16/2007.

NORMAN, STEPHEN

(PHD, 2006, CORNELL UNIVERSITY; MA, 2004, CORNELL UNIVERSITY; BS, 2000, BRIGHAM YOUNG UNIVERSITY) TO BE ASSISTANT PROFESSOR OF BUSINESS AT A SALARY RATE OF \$84,000 OVER NINE MONTHS, EFFECTIVE 9/16/2007. (DR. NORMAN IS CURRENTLY A VISITING ASSISTANT PROFESSOR AT BRIGHAM YOUNG UNIVERSITY.)

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Granting of Degrees for 2006 – 2007RECOMMENDED ACTION:

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents approve the granting of degrees to those individuals who, in the judgment of the faculty, have satisfied the requirements for their respective degrees during the 2006–2007 academic year.

BACKGROUND:

The statutes of the State of Washington require that the Board of Regents approve the granting of degrees to those individuals who have satisfied the requirements for their respective degrees. Similar action is taken each year by the Board of Regents.

Approximately 12,160 degrees will be awarded this academic year. For work completed at the University of Washington, Seattle, students will receive an estimated 10,630 degrees, specifically: 7,200 bachelor's degrees, 2,400 master's degrees, 480 professional degrees and 550 doctoral degrees. For work completed at the University of Washington, Bothell, students will receive about 680 degrees, including 575 bachelor's degrees and 105 master's degrees. For work completed at the University of Washington, Tacoma, students will receive about 850 degrees, including 700 bachelor's degrees and 150 master's degrees.

Last year a total of 12,337 degrees were awarded: At Seattle, 7,053 bachelor's degrees, 2,666 master's degrees, 513 professional degrees (Law, 176, Medicine, 182, Dentistry, 53, Pharmacy, 102), and 616 doctoral degrees; at Bothell, 573 bachelor's degrees and 102 master's degrees; and at Tacoma, 680 bachelor's degrees and 134 master's degrees.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Elimination of the Department of Pathobiology, School of Public Health and Community MedicineRECOMMENDED ACTION:

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Department of Pathobiology in the School of Public Health and Community Medicine be eliminated effective June 20, 2008.

REVIEW PROCESS:

In September 2006, the Dean of the School of Public Health and Community Medicine requested authority to initiate a formal review, as described in Section 26-41 Procedures for Reorganization, Consolidation, and Elimination of Programs, to eliminate the Department of Pathobiology in the School of Public Health and Community Medicine. After consultation with the Senate Committee on Planning and Budgeting, the requested authority was granted by Provost Wise. In March 2007, the Faculty Council and the Program Identification Committee (PIC) for the School of Public Health and Community Medicine submitted a report that neither supported nor opposed the proposed elimination of the Department of Pathobiology; but rather suggested that additional information be provided to present a clear and compelling case for elimination. This additional documentation was prepared by the Dean.

Subsequently, a review committee was appointed by the Chair of the Faculty Senate. In April 2007, the Review Committee for the Elimination of the Department of Pathobiology submitted its report to President Emmert and Provost Wise. Consistent with Section 26-41B, the Committee conducted an open review, which included comment from faculty and students. The Committee concluded that the Department of Pathobiology should be eliminated. The review of the Department of Pathobiology was presented to the Senate Committee on Planning and Budgeting and considered at its meeting of May 21, 2007.

RATIONALE FOR AND EFFECT OF ELIMINATION:

The elimination of the Department of Pathobiology was proposed in response to insufficient resources, including but not limited to state and RCR funds and laboratory space and equipment. The Department of Pathobiology does not support a discipline that is required for an accredited school of public health and the SPHCM has critical resource needs in the accreditation required core disciplines; therefore, additional resources for the Department of Pathobiology, even if available, would not be given the highest priority.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Elimination of the Department of Pathobiology, School of Public Health and Community Medicine (continued p. 2)

To assure the continued access of faculty members across campus and at affiliated institutes to advise and mentor Pathobiology graduate students and to teach in the academic program, application was approved for the Pathobiology graduate program to become an interdisciplinary program in the Graduate School. Students currently enrolled in the program will be provided an opportunity to complete the program requirements as outlined prior to this transition.

University-paid faculty members appointed in tenure-track, tenured, without tenure by reason of funding (Without Tenure), and research positions within the Department of Pathobiology will be supported in their efforts to transfer their appointments within the SPHCM or to other health sciences units. WOT and research professors paid directly by University affiliated organizations will be notified in writing of their proposed removal for reason of department elimination. Alternative placement and appointment of these faculty members will be considered as available and appropriate. Adjunct and other annual appointments in the Department of Pathobiology will be considered for transfer to other applicable units within the SPHCM.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Services and Activities Fee - University of Washington, Tacoma:
Distribution of Fee and Allocation of FundsRECOMMENDED ACTION:

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents approve the following Services and Activities Fee proposals for the University of Washington Tacoma:

- 1) an increase in the Services and Activities Fee for academic year 2007-08,
- 2) the distribution of Services and Activities Fee for 2007-08; and
- 3) the operating budgets and expenditures recommended for 2007-08.

BACKGROUND:

Services and Activities Fees at UW Tacoma and UW Bothell are collected separately from the Services and Activities Fee at the Seattle campus, but the process is handled in like manner for each campus. As provided under RCW 28B.15.045, a student committee proposes the annual program priorities and budget allocation levels to the Board of Regents for approval. The Board of Regents has approved the Services and Activities Fee (SAF) Guidelines that established the Services and Activities Fee (SAF) Committee for UW Tacoma and its operating procedures.

The Board of Regents is authorized to increase the Services and Activities Fee by an amount not to exceed the annual percentage increase in undergraduate tuition authorized by the legislature in the Omnibus Budget Bill.

The history of the level of the Services and Activities Fee at UW Tacoma is:

1991-92	\$ 76	2001-02	\$ 91
1992-93	\$ 76	2002-03	\$ 91
1994-95	\$ 81	2003-04	\$ 97
1995-96	\$ 83	2004-05	\$100
1996-97	\$ 83	2005-06	\$103
1997-98	\$ 85	2006-07	\$106
1998-99	\$ 87	2007-08	\$113
2000-01	\$ 89		

The SAF Committee at UW Tacoma has recommended the following for academic year 2007-2008. Chancellor Patricia Spakes has reviewed and concurs in these recommendations.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Services and Activities Fee - University of Washington, Tacoma:
Distribution of Fee and Allocation of Funds (continued p. 2)

1. Level of Fee:

The quarterly fee payable by a full-time student should be increased to \$113 per quarter for a full-time student for the 2007-08 academic year. This is the maximum amount permitted by law as implemented by the Board of Regents.

2. Distribution of Fees:

Based upon estimated revenue of \$754,000 for fiscal year 2007-08, the Committee recommends that the fees be distributed as follows:

	2006-07 % Distribution	2007-08 % Distribution	2007-08 Distribution based on \$113 fee
Student Activities and Services	82.2%	74.2%	\$559,800
Long-term student loans:	3.5%	3.5%	26,390
Long-term development	14.3%	22.3%	167,810
Total			\$754,000

3. 2007-08 Budget Allocations for Student Activities and Services

The Committee recommends the following distribution for Student Activities and Services for the 2007-08 year.

Campus Safety	\$10,000
Childcare Assistance Program	\$55,000
Student Activities Board:	
Stipends (including summer)	\$24,000
Directors Event Fund	\$45,000
Campus Event Fund	\$45,000
Operational Costs	\$5,000
Registered Student Organizations	
Operational Costs	\$4,966
Banners	\$1,000
Club Fairs	\$1,534

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Services and Activities Fee - University of Washington, Tacoma:
Distribution of Fee and Allocation of Funds (continued p. 3)

Services and Activities Fee - University of Washington, Tacoma:

<u>2007-08 Budget Allocations for Student Activities and Services- cont'd</u>	
SDS Training Fund	\$40,000
Tahoma West	\$28,000
Student Life	
Personnel	\$143,200
Operations	\$5,500
Recognition	\$10,000
ASUWT (Student Government) – Stipends and Operations	\$55,900
Longshoremen’s Hall – Staffing and Communications	\$65,900
Campus Fitness Center – Labor and Supplies	<u>\$19,800</u>
	TOTAL \$559,800

Allocations which are unspent at the end of the fiscal year will revert to the contingency operating budget. Any additional revenue generated as a result of implementation of a fee in the amount of \$113 or due to excess enrollment will remain in the Long Term Development fund.

ATTACHMENTS:

- 1) May 4, 2007 memorandum from Ron Lovin, UWT Services and Activities Fee Committee, to Dr. Patricia Spakes, UWT Chancellor
- 2) May 4, 2007 letter of dissent from Jeremiah Pintar
- 3) May 22, 2007 memorandum from Dr. Patricia Spakes to Ron Lovin

May 22, 2007

Ron Lovin
UWT Services & Activities Committee

Dear Ron:

Thank you for submitting the recommendations of the Services and Activities Fee dated May 4, 2007. I accept the recommendations, and extend thanks to you and the committee for your thoughtful deliberations.

Sincerely,

A handwritten signature in cursive script that reads "Patricia Spakes". The signature is written in black ink and is positioned above the printed name and title.

Patricia Spakes
Chancellor

**UNIVERSITY OF WASHINGTON TACOMA
Services & Activities Fee Committee**

May 4, 2007

RECEIVED

MAY 04 2007

Chancellor Spakes,

JWT Chancellor's Office

The UW Tacoma Services and Activities Fee Committee (SAFC) approved their final annual allocation recommendations for 2007-2008 academic year on Friday, April 20, 2007. As no appeals were filed their recommendations are outlined below for your consideration and approval. Since there was one proposal which did not receive a unanimous approval, a letter of dissent in enclosed and will be submitted for Board of Regents review in accordance with the SAFC guidelines. The Committee's recommendations are as follows:

1. Raise the 2007-2008 SA Fee to the maximum amount allowed.
2. Place 17% of the total amount collected after required amounts are deducted and an additional \$100,000 of unspent 06-07 funds into the Long Term Fund.
3. Place \$74,000 and undesignated, unspent funds from the 06-07 academic year into the Contingency Fund.
4. Place 1% of the total amount collected after required amounts are deducted into the SAFC Operations Fund.
5. Roll forward \$175,000 of budget 16-0329, of which \$150,000 is for space acquisitions and \$25,000 is for Phase 2 of Longshoremen's Hall enhancement projects.
6. Fund the departments, organizations, and services itemized below:

**Services & Activities Fee Committee
2007-2008 Campus Entities Funding Recommendations**

Organization	Requested	Approved	Comments
CAMPUS SAFETY	\$19,000	\$10,000	If program is successful, please come back to committee for contingency consideration.
Student Salaries	\$19,000	\$10,000	
Total:	\$19,000	\$10,000	
CHILDCARE ASSISTANCE PROGRAM	\$55,000	\$55,000	
CAP Grants	\$54,000	\$54,000	
Operations	\$1,000	\$1,000	
Total:	\$55,000	\$55,000	
STUDENT ACTIVITIES BOARD	\$119,000	\$119,000	
SAB Stipends to include Summer Quarter	\$24,000	\$24,000	
Director Event Funds (DEF)	\$45,000	\$45,000	
Campus Event Fund (CEF)	\$45,000	\$45,000	
Operational Costs	\$5,000	\$5,000	
REGISTERED STUDENT ORGANIZATION OPERATIONS	\$119,000	\$119,000	
	\$7,595	\$7,500	
Phone Charges	\$1,116	\$1,116	
Long Distance Charges	\$100	\$100	
Office Supplies	\$2,500	\$2,500	
Photocopies	\$1,250	\$1,250	
Banners	\$1,000	\$1,000	
Club Fairs/Meet & Greet	\$1,628	\$1,534	
Total:	\$7,594	\$7,500	

**UNIVERSITY OF WASHINGTON TACOMA
Services & Activities Fee Committee**

SDS – TRAINING FUND		\$45,000	\$40,000	If program is successful, please come back to committee for contingency consideration.
General		\$45,000	\$40,000	
Total:		\$45,000	\$40,000	
TAHOMA WEST		\$29,770	\$28,000	
Printing		\$19,700	\$17,900	
Advertising & Promotion		\$2,200	\$2,200	
Computer-related Equipment		\$500	\$500	
Office Supplies		\$2,870	\$2,870	
Stipends		\$4,000	\$4,000	
Annual Release Recognition Event		\$500	\$530	
Total:		\$29,770	\$28,000	
STUDENT LIFE		\$154,951	\$158,700	
Personnel		\$139,451	\$143,200	
Operations		\$5,500	\$5,500	
Recognition		\$10,000	\$10,000	
Total:		\$154,951	\$158,700	
ASUWT		\$59,400	\$55,900	
President		\$10,500	\$7,000	
Vice President		\$7,000	\$7,000	
Senators (8)		\$28,000	\$28,000	
Office Assistant		\$3,000	\$3,000	
Lobbyist		\$1,500	\$1,500	
Publicity & Marketing		\$1,500	\$1,500	
Forums		\$500	\$500	
Copies		\$1,500	\$1,500	
Computer Repair & Maintenance		\$2,000	\$2,000	
Office Supplies & Postage		\$1,500	\$1,500	
Telephone		\$2,400	\$2,400	
Total:		\$59,400	\$55,900	
LONGSHOREMEN'S HALL		\$65,214	\$65,900	
Staffing:				
Scenario 1		\$38,556	\$38,556	
Scenario 2		\$12,320	\$12,320	
Scenario 3		\$10,718.40	\$10,718	
Security		\$450	\$1,100	
Communications		\$3,170	\$3,206	
Total:		\$65,214	\$65,900	
CAMPUS FITNESS CENTER		\$24,629	\$19,800	If usage is justified during June 07 the facility manager should come back to the committee for contingency consideration to remain open for the remainder of the summer.
Student Labor:				
Scenario 1		\$19,521	\$19,521	
Scenario 2		\$4,878		
Cleaning Supplies		\$230	\$279	
Total:		\$24,629	\$19,800	
Grand Total:		\$579,558	\$559,800	

UNIVERSITY OF WASHINGTON TACOMA
Services & Activities Fee Committee

If you have any questions, comments, or concerns in regards to the Committee's final recommendations, please do not hesitate to contact me at rlovin@u.washington.edu.

Respectfully,

A handwritten signature in black ink, appearing to read 'Ronal Lovin', written in a cursive style.

Ronal Lovin
Spokesperson, UW Tacoma Services and Activities Fee Committee

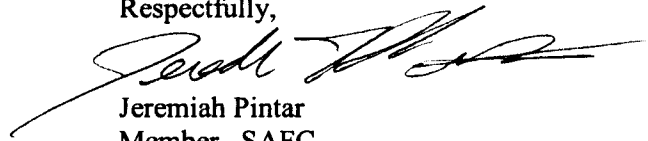
Friday, May 04, 2007

Chancellor Spakes,

I Jeremiah Pintar have voted against the \$74,000 of undesignated unspent funds from the 2006-2007 academic into the Contingency Fund for the following reason:

I believe to stay in congruence with the decision not to see the Ledger's proposal, because they did not submit their application on time, I would not vote to set any funds aside which would support their organization. I believe that if I were to vote "Yes" for this I would be contradicting the first decision to which we stood by our policies and procedures.

Respectfully,

 May 04 2007

Jeremiah Pintar
Member, SAFC

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Services and Activities Fees - University Of Washington Bothell:
2007-08 Distribution of Fees and Allocation of Funds and Revised Guidelines and
Operating Procedures

RECOMMENDED ACTION:

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents approve for the University of Washington Bothell for 2007-08:

- 1) Recommended Services and Activities Fee Budget for 2007-08; and
- 2) Proposed Revisions to the Services and Activities Fees Guidelines and Operating Procedures.

BACKGROUND:

Services and Activities Fees at the UW Bothell and Tacoma campuses are collected separately from the Services and Activities Fees at the Seattle Campus, but the process is handled in like manner. As provided under RCW 28B.15.045, a student committee recommends the annual allocations to the Board of Regents for approval. The Board of Regents, at the September 27, 1991 meeting, approved the Guidelines that established the Services and Activities Fees (SAF) Committee for the Bothell Campus and its operating procedures.

The Board of Regents is authorized to increase the Services and Activities Fee annually by a percentage not to exceed the annual percentage increase in tuition.

The quarterly rate history of the Services and Activities Fee at UW Bothell is:

1991-92	\$76	1999-00	\$93
1992-93	\$76	2000-01	\$83
1993-94	\$79	2001-02	\$83
1994-95	\$81	2002-03	\$83
1995-96	\$84	2003-04	\$86
1996-97	\$87	2004-05	\$89
1997-98	\$90	2005-06	\$91
1998-99	\$93	2006-07	\$93

- 1) Recommended Services and Activities Fee Budget for the University of Washington Bothell for 2007-08.

A. Services and Activities Fee for 2007-08

The SAF Committee recommends that the quarterly fee payable by full-time students be raised \$2 per student, per quarter (from \$93 to \$95), the maximum allowable amount

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Services and Activities Fees - University Of Washington Bothell:
2007-08 Distribution of Fees and Allocation of Funds and Revised Guidelines and
Operating Procedures (continued p. 2)

under Initiative 601, for the 2007-08 academic year. The fee has been calculated using an estimated annualized FTE of 1,572 students for three quarters (Autumn, Winter, and Spring), plus an estimated fee collection of \$43,000 for Summer 2007. The total estimated fee collection for all four quarters (2007-08) is \$474,714, which is illustrated in Schedule 1, below.

Schedule 1: Distribution of Fees	
1,790 FTE @ \$95 X 3 Quarters*	\$ 510,150
Estimated Summer 2007 Revenue	43,000
Less 12% contingency for under enrollment	(61,218)
Net Revenue	\$ 491,932
Less Mandated Loan Fund (3.5%)	(17,218)
Revised Net Revenue	\$ 474,714

B. 2007-08 Allocation of Student Activities and Services Funds:

ASUWB	\$18,745
Campus Events Board	92,100
Career Development	56,100
Childcare Voucher Program	25,000
Delta Epsilon Chi	2,500
<i>Literary Journal</i>	8,000
MAPS Lab Furniture	1,000
Media's PowerPoint Workshops	1,600
<i>Policy Journal</i>	5,900
Quantitative Skills Center	4,800
Recreation and Wellness Program	51,995
SAF Contingency Fund	24,000
SAF Long-Term Fund Contribution	20,000
Student Academic Enhancement Fund	15,000
Student Life Operations	139,174
Supporting Student Presentations	3,900
Teacher Cert. Commencement Celebration	1,200
Teacher Education Professional Development	3,700
Total	\$474,714

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Services and Activities Fees - University Of Washington Bothell:
2007-08 Distribution of Fees and Allocation of Funds and Revised Guidelines and
Operating Procedures (continued p. 3)

C. **Proposed Operating Expenditures for SAF Funds:**

If specifically authorized by the Services and Activities Fee Committee at the time of allocation, the following expenditures are acceptable in support of bona fide school-related curricular or extracurricular functions, activities, or programs participated in by UWB students in the furtherance of their education:

1. Ordinary supplies, purchased services or equipment necessary to conduct the student function, activity, or program. Business cards may not be purchased with Services and Activities Fees.
2. Compensation for students or other University employees engaged in activities or services that directly involve or support currently enrolled UWB students such as student government, student activities, student life, financial aid, counseling, testing, placement, and security. Compensation is established once per year, during the Annual Budget cycle, and cannot be modified during the Contingency cycle.
3. Necessary and reasonable fees, meals, lodging, and transportation expenses for entertainers, lecturers, guest speakers and others who provide personal services on a contractual basis.
4. Trophies, plaques or medals, certificates of award or articles of personal property that are of nominal value (\$50 or less) given to currently enrolled UWB students as recognition for participation, achievement, or excellence as part of the functions of student organizations, activities, or programs. Articles of clothing may not be purchased with Services and Activities Fees unless expressly stated otherwise by the SAF committee at the time of allocation.
5. Promotional Items are designed to promote any student organization, group, or funded project or service are limited to a total value of \$200 per quarter unless expressly stated otherwise by the Services and Activities Fee Committee at the time of allocation. Food and publicity (for specific events) are not considered a promotional item.
6. Cost of childcare for children of currently enrolled UWB students who are participating in UWB programs held on the UWB campus.
7. Travel Awards can only be granted to currently enrolled UWB students to participate in approved student functions, activities, or programs. Awards are limited to paying accommodations, registration fees, and incidental expenses such as costs associated with renting a state vehicle (may not exceed \$10 per day). Approved travel awards are reimbursements and are reimbursed only when receipts are presented. All travel must comport with established UW travel policies and procedures (e.g., travel must

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- be approved in advance using the Travel Authorization Form and a Travel Expense Voucher must be completed and approved before any reimbursements are made). Travel must benefit the broader student community through participation upon return from the trip. Maximum reimbursement will be \$500 per student and \$2,500 per group unless expressly stated otherwise by the SAF Committee.
8. Food and refreshments may be purchased for on-campus UWB-student functions (including award receptions, training programs), activities, or programs. Such funds are intended to support activities and programs open to the general student body. Funds are not intended to support routine meetings associated with student organizations (student government, campus events board, SAF, etc.). Services and Activities Fees may not be used to purchase or serve alcoholic beverages. In regards to off-campus activities, only 25% of the specific event funds can be used to purchase meals and/or refreshments.
 9. Consistent with state law, any expenditure of Services and Activities Fees, including loans, is considered a prohibited gift when made for the direct benefit of private individuals or groups. State law also prohibits contributions of Services and Activities Fees or property to a political candidate or cause in connection with any local, state, or federal election.
 10. Services and Activities Fees shall not be used as fundraising contributions; matching funds for fundraising purposes; or for any expenses related to a meetings, events, or activities of which the principal purpose is fundraising.

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2007-08 Budget Notes and Funding Stipulations

ACADEMIC SUPPORT SERVICES

MAPS Grant Writing Workshop

Funding was not recommended for the MAPS Grant Writing Workshop as it was viewed as an adjunct to classroom instruction. The committee praised the idea behind the workshop but feels that the academic program should assume funding responsibility for these workshops.

MAPS Lab Furniture

This is the second year in a row that furnishings for the lounge have been requested. Full funding (\$1,000) is recommended with the understanding that MAPS will refrain from submitting future requests to the SAF committee for its lounge furnishings.

Media Center's Power Point Workshops

Based upon data shared at the hearing, the committee reduced the request (from \$2,400 to \$1,600) to better reflect the likely participation by UWB students in the workshops.

Media Center's Video Production

The video proposal was rejected as it was viewed as an adjunct to classroom instruction.

Quantitative Skills Center

The committee unanimously agreed to help defray the expenses associated with providing student assistants (tutors), up to a total of \$4,800. No other expenses were recommended for funding. A total of \$17,782 was requested for providing extended hours of operation during weekday evenings (Monday-Thursday), on Friday, and during the weekend. The committee believes that the QSC can shift its opening hours during the week (Monday-Thursday) to compensate for its evening schedule, and that the University should fund Friday's operating schedule.

Supporting Student Presentations

Full funding (\$3,900) is recommended to fund up to 350 hours of student assistant labor @\$11 per hour + benefits to help students make quality classroom presentations.

CAMPUS ACTIVITIES AND EVENTS

Funding is deferred to the Campus Events Board for the following requests. An explanation for this decision appears below.

- Computer and Software Systems Speakers Series
- Discovery Café (Center for Undergraduate Student Programs)
- Intercultural Club (activities and events)

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- Master of Arts in Policy Studies Speakers Series
- Math Awareness Event (Quantitative Skills Center)
- Writing for Their Lives Series (poet)

Campus Events Board

The Campus Events Board proposal was discussed. \$72,100 was approved as requested plus an additional \$20,000 to fund and co-sponsor misc. artists, activities, and events noted above.

In return for assuming a broader role in campus programming, CEB is receiving this additional \$20,000 grant to co-sponsor an enhanced series of programs throughout the year. The objective behind this decision is to increase the coordination and marketing of educational artists, speakers, and cultural entertainment that rely on student fees for support while reducing the number of overall requests submitted to the SAF Committee each year for misc. programming. In short, the Committee wants to ensure a balanced slate of student activities and events without taking on the role of a programming board and trumping the role and purpose of the Campus Events Board. For 2007-08, CEB is expected to show deference to funding the six programs listed above; however, the actual funding level is to be decided by the Campus Events Board. The committee is asking the Campus Events Board to contact each of the 6 programs and discuss parameters for funding. It was noted that the Intercultural Club's request is substantially larger than in prior years; a maximum of \$10,000 seems appropriate for that club's activities and events in 2007-08.

The total funding recommended for CEB is \$92,100:

CEB stipends (37 weeks x 10 hours per week x 5 members x \$11/hour)	\$20,350
Benefits (11%)	\$ 2,240
Training and Development	\$ 4,658
Events and Activities	\$59,552
Misc. Operating Supplies	<u>\$ 5,300</u>
Total	\$92,100

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CAREER SERVICES

Career Development Center

The Committee is recommending full funding for the Career Development Center:

Salaries for 3 interns x 45 hours/week x 40 weeks @\$15/hr.	\$27,000
Benefits (11%)	\$ 3,000
Honoraria for speakers	\$ 4,000
MBA career services	\$ 2,600
Facilities rentals and set ups	\$ 4,500
Printing/publicity	\$ 3,000
Refreshments at events	\$ 3,000
Software purchases and licenses	\$ 5,000
Equipment rentals and purchases	\$ 2,000
Misc. operating supplies	<u>\$ 2,000</u>
Total	\$56,100

MBA Career Services

The Committee is recommending \$2,600 to fund career services targeting MBA students, an increase of \$200 over the previous year; \$2,800 was requested. This award is part of the allocation made to the Career Development. In future years, the MBA Program is directed to work collaboratively with Career Development Center to develop its request. In doing so, the committee hopes to enhance the coordination and marketing of the career services and programs funded by SAF while reducing the number of proposals it receives for career services.

Teacher Certification Professional Development and Mentoring

The committee unanimously agreed to fund 50% of the proposed honoraria and associated meals and lodging for the speakers, plus food and facilities set-up for a grand total of \$3,700. The committee believes that the University should assume some financial responsibility for the speakers as they are likely to bring notoriety to the institution and are in direct support of the curriculum. Details:

Honoraria for speakers	\$1,000
Meals and lodging for speakers	\$ 700
Facilities rentals and set ups	\$ 500
Refreshments	<u>\$1,500</u>
Total	\$3,700

COMMENCEMENT RECEPTIONS

Requests were received for the following receptions and ceremony:

- CSS Graduation Reception

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- MAPS Graduation Reception
- MBA Graduation Reception
- Nursing Graduation Reception
- T-Cert Completion Ceremony

The committee chose not to continue the practice of funding commencement receptions. Requests for funding commencement receptions increase each year, making it difficult for SAF to sustain the historical practice of funding multiple receptions at commencement time. Further, the committee is interested in funding as many programs as possible during the regular academic year and, therefore, must choose wisely at what it decides to spend on graduation receptions.

Funding will continue in 2007-08 for the Teacher Certification Completion Ceremony as T-Cert students do not participate in the commencement exercise; however, funding will cease for this ceremony after 2007-08. The committee hopes that this one-year exception will help the Education program identify an alternate source of funding. Based on June 2006 data, 47 students completed the Teacher Education program. The Committee is recommending funding of \$1,200 (\$26 per student x 47 students, rounded). The committee encourages the University to assume funding for this and other commencement-related receptions in the future.

STUDENT GRANTS

Childcare Assistance Program

Funding for Childcare Vouchers is recommended at \$25,000 with the understanding that the University will strive to contribute at least \$15,000 (for a total fund of \$40,000). The committee felt strongly that the University should be an active partner in funding Childcare Vouchers for students beginning in 2007-08.

Student Academic Enhancement Fund

In light of recent inquiries made by students and faculty, the committee agreed to set aside \$15,000 to fund students who wish to present their research (undergraduate and graduate) at scholarly conferences and meetings. A maximum of \$1,500 will be available per student grantee. The committee urges the administration to contribute additional dollars to this fund in order to increase the number of students served. Details on the management of this program will be worked out over the summer by a committee appointed by Student Affairs (consisting of students, faculty, and staff). Grantees will be allowed to fund transportation expenses with their awards; all other SAF travel guidelines will apply.

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STUDENT PUBLICATIONS

Commons Student Newspaper

The committee decided against funding *The Commons* student newspaper (a joint venture with Cascadia Community College) in 2007-08. It was noted that CCC's student fee committee recently voted to do same. The UWB SAF committee believes that the newspaper needs an operating budget of close to \$60,000 for it to be successful (\$21,500 was originally requested from each school but later reduced to \$13,850 per institution during the appeals process). Unfortunately, the committee feels it cannot afford to solely fund the newspaper, especially in light of Cascadia's decision to drop support. Even if CCC were to change its mind in its appeal process, the newspaper is likely to be grossly under funded, setting the paper up for failure.

Literary Journal

Full funding (\$8,000) is recommended to support the publication's printing costs and its subsequent release event.

UWB Policy Journal

The committee decided not to fund a \$500 honorarium for the advisor as the journal is part of a credit-bearing course. The 10% penalty for submitting a late proposal was applied to the remaining \$6,500 (\$7,000 was requested). \$5,900 was recommended for printing, software, refreshments, and publicity.

STUDENT LIFE

ASUWB

The committee decided to fund officers' salaries for winter and spring if those officers are able to demonstrate substantive progress in fulfilling their Constitutional mandates by December 1, 2007. The committee noted that ASUWB has accomplished very little in the past few years. Compensation for winter and spring will be released by next year's SAF committee if and when ASUWB is able to successfully demonstrate progress in serving the student body.

The president and vice president will be funded for 10 hours per week per position x 20 weeks x \$11 per hour (\$4,400 total). The executive representatives will be funded in winter and spring for 8 hours per week per position x 20 weeks x 3 positions (\$5,280). Benefits for all 5 positions = \$1,064 (rounded).

Officer training is funded at a maximum of \$1,000 per officer x 5 officers (\$5,000 total); such training is recommended for over the summer or in early fall quarter. ASUWB is directed to work collaboratively with Student Life in identifying appropriate and timely training opportunities.

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ASUWB initiatives and special events will be funded at \$3,000 for the fiscal year. The committee is willing to fund the student supply stations (consisting of staplers, hole-punchers, pencil sharpeners, paper clips, etc.) if another entity (Student Life, for example) manages them. The committee noted that ASUWB has neglected the regular maintenance of those stations, which has created frustration for students in need of those supplies.

The grand total recommended for ASUWB is \$18,745 (\$36,000 was requested).

Delta Epsilon Chi

DEC's proposal was received 32 calendar-days late, making it eligible for no more than 60% of its request for \$11,000. The committee awarded up to \$500 per student for travel to regional or national competitions with a maximum of 5 student travelers (\$2,500 total). The existing travel regulations (stipulated in the SAF Guidelines) shall apply.

Recreation and Wellness Program

The Recreation and Wellness Program was recommended to be fully funded at \$51,995:

Program assistant (.50 FTE)	\$18,000
Benefits (31%)	\$ 5,600
Recreation leagues	\$ 9,300
Fitness classes (on campus)	\$ 4,500
Recreational outings and sporting events	\$ 4,500
Wellness programs and seminars	\$ 9,000
Operating supplies and equipment	<u>\$ 1,095</u>
Total	\$51,995

Student Life Operations

Requests related to funding quarterly welcomes, club activities, and support staff are included in this one central operating budget. This will save time in monitoring budgets and tracking expenses. Student Life Operations was funded at \$139,174, a reduction of \$1,500 from its request. The committee is willing to fund up to 3 phone lines (not 5 as requested) in the **main** ASUWB office, creating a savings of \$1,500. The committee noted that CEB's office space and resources pale in comparison to those provided ASUWB and is asking Student Affairs to help resolve this imbalance. Details:

Program Coordinator salary (permanent support staff)	\$ 40,000
Student marketing assistant wages (15 hrs/wk x 44 wks x \$13/hr)	\$ 8,580
Benefits (31% and 11%, respectively)	\$ 13,344
Entertainment honoraria for 3 quarterly student welcomes	\$ 3,000
Leadership development program (honoraria)	\$ 4,000
Facilities rentals and set ups	\$ 5,000

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Telecommunications for student offices	\$ 3,500
Printing and publicity	\$ 6,000
Club funding (20 clubs @\$1,500 average per group)	\$ 30,000
Refreshments for new student welcomes and leadership program	\$ 14,000
Office supplies and misc. expenses for student offices	<u>\$ 11,750</u>
Total	\$139,174

2. Recommended Revisions to the Services and Activities Fees Guidelines and Operating Procedures for the University of Washington Bothell.

The SAF Committee recommends a few revisions to its current Guidelines and Operating Procedures, which were last amended on June 8, 2006. The proposed Guidelines and Operating Procedures appear as Attachment A; the affected sections are bolded. Specifically, the revised Guidelines seek to:

- i. Provide for a range of membership on the committee (5-7 students vs. the current intended membership of 7 students);
- ii. Specify that students can serve a second term, thereby promoting continuity of ideas and self-governance;
- iii. Set forth a recommended funding level for the contingency fund (about 5% of the fee revenue); and
- iv. Limit the contingency funding cycle to student-initiated projects, events, and activities that were not awarded money during the annual process. The contingency budget is relatively small and the committee wants to see students more engaged in generating funding ideas; the annual process will continue to remain open to any member of the University community.

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APPENDIX: A Recommended Revisions to the SAF Guidelines and Operating Procedures

Services and Activities Fees Committee Guidelines and Operating Procedures Proposed Changes for 2007-08

Note: Revisions are highlighted in bold italic typeface.

Section 1. Services and Activities Fees

- A. Services and Activities Fees are defined in RCW 28B.15.041 to mean “fees, other than tuition fees, charged all students registering at the . . . state universities . . . The legislature also recognizes that Services and Activities Fees are paid by students for the express purpose of funding student activities and programs” of their particular institution.
- B. “It is the intent of the legislature that the governing boards ensure that students have a strong voice in recommending budgets for Services and Activities Fees” (RCW 23B.15.045), and that Services and Activities Fees expenditures for programs devoted to political or economic philosophies shall result in the presentation of a spectrum of ideas (RCW 28.15.044).
- C. The level of the services and activities fees is recommended by the Services and Activities Fee Committee pursuant to RCW 28B.15.045 and approved by the Board of Regents. Increases in the fee are subject to limitations set by the state legislature.
- D. The Board of Regents shall adhere to the principle that the desires of the Services and Activities Fee Committee be given priority consideration for funding items that do not fall into the categories of pre-existing contractual obligations, bond covenant agreements, or stability of programs affecting students (RCW 28B.15.045(2)). Expenditures of Services and Activities Fees, however, are permitted for the construction, equipping, and betterment of buildings and facilities for student activities and services (RCW 28B.10.300).
- E. The Services and Activities Fee long-term fund shall consist of all unallocated revenue derived from the collection of services and activities fees and accrued interest.
- F. Services and Activities Fees and revenues generated by programs and activities funded by such fees shall be deposited and expended through the Office of Finance and Administration and will be reduced, unless otherwise stipulated by the Services and Activities Fee Committee, from the allocation awarded for that program or

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activity. The expenditure of Services and Activities Fees and associated revenues are subject to all applicable University policies, regulations, and procedures and to the Budget and Accounting Act of the State of Washington (RCW 43.88).

G. In addition to the regulations governing the use of Services and Activities Fees, provisions of the State Constitution prohibit the use of public funds (Services and Activities Fees are considered public funds) with regard to making gifts or loans of money or property.

H. With the exception of any funds needed for bond covenant obligations, once the budget for expending Service and Activities Fees is approved by the Board of Regents, funds shall not be shifted from funds allocated by the Services and Activities Fee Committee until the administration provides written justification to the Committee and the Regents, and the Regents and the Committee give their express approval. In the event of a fund-transfer dispute among the Committee, the administration, or the Regents, said dispute shall be resolved pursuant to Section 6 of these Guidelines (RCW 28B.15.045 (12)).

Section 2. Committee Membership

- A. The intent of this Committee is to be comprised of ***at least five (5) and no more than seven (7) voting members*** who are currently matriculated students at UWB and not elected or appointed officers of the Associated Students (ASUWB), Campus Events Board, Student Technology Fee Committee, or serving in an editorial position for the student newspaper. The voting membership of the Committee should strive for a committee that is strongly representative of the student body, considering academic programs, gender, cultural backgrounds, and other characteristics of the student body.
- B. Ex-officio, non-voting members of the Committee may include the Associated Students of the University of Washington Bothell (ASUWB) President or designate, a representative from Finance and Administration, and a representative from Student Affairs. The Chair of the Committee, with approval of the Chancellor, may appoint any other ex-officio member. The role of the representatives from Finance and Administration and Student Affairs is to provide information and orientation, background materials, and general support and guidance to the Committee.
- C. Voting members will generally serve for one term, and each term is for a period of one year (from July 1 to June 30). ***A voting member may be reappointed for a second term; such reappointment is subject to the procedures described in Section 2.D.*** Voting members should be selected by May 15. The Committee may replace any of its voting members only for reasons spelled out in these Guidelines and Operating Procedures. Members who resign during their term(s) will submit a written

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- resignation to the Committee chairperson. Vacancies will be replaced in the same manner as provided for new appointments and for the un-expired term of the original appointment.
- D. Members of the Services and Activities Fee Committee are nominated according to established procedures for appointing students to University committees and boards, and the Chancellor makes the official appointment. The chairperson of the Services and Activities Fee Committee shall be selected by the members of that Committee (RCW 28.15.045(3)) at its first business meeting. The chairperson will ideally possess monetary experience and have knowledge of the student fees. The chairperson shall call and preside over Committee meetings, prepare agendas, and serve as liaison to the broader University community where appropriate.
- E. Voting members are expected to attend all meetings unless excused in advance by the Chair; develop and maintain effective communication within the Committee and across the campus community, demonstrate a willingness to engage in constructive dialogue on any issue being considered by the Committee, actively participate in the deliberations of the Committee, and adhere to the rules and regulations governing the Committee. Members may request the resignation of a particular member if absences or conduct are deemed detrimental to the work of the Committee. A request for resignation to remove a member from the Committee requires a unanimous vote by all voting members of the Committee, except for the party whose resignation is being requested, and concurrence by the Chancellor.

Section 3. Committee Meetings

- A. Regular meetings shall be held at least three times per quarter (Autumn, Winter, and Spring) and more frequently as needed. All business, other than those items appropriate for consideration during executive session, will be conducted during open session and in full compliance with the Open Public Meetings Act.
- B. An agenda and a copy of all funding requests to be considered by the Committee shall be sent to members and be publicly posted at least three-school days in advance of all regular meetings and shall specify the time and place of the meeting as well as the business to be transacted.
- C. A special meeting of the Committee may be called at any time by the chair, by a simple majority of the voting members of the Committee, or by the Chancellor by delivering personally or by mail written notice to each member of the Committee at least 24-hours before the time of such meeting as specified in the notice. The call and notice shall specify the time and place of the special meeting and the business to be transacted; final disposition shall not be taken on any other matter at such meetings.

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- D. The Committee may hold an executive session during a regular or special meeting only to consider matters permitted under the Open Public Meetings Act (RCW 42.30.110).
- E. A quorum shall consist of a simple majority (50% + 1) of the current voting membership of the Committee. The chairperson shall be included as a voting member. Proxies shall not be considered for voting purposes.
- F. All meetings shall be conducted in accordance with Robert's Rules of Order, Newly Revised. Deviations from such procedures will be at the discretion of the Chair with a simple-majority approval of the Committee present and voting.
- G. Minutes shall be taken at all meetings of the Committee and shall be publicly posted not later than five-business days after the meeting concludes. Said minutes shall include the results of every action item taken by the Committee.

Section 4: Budgeting Process

- A. The Services and Activities Fee Committee shall notify the campus community of the opportunity to submit requests for annual funding no later than December 1.
 - i. The Committee shall establish the format and related deadlines for receiving budget requests in advance of notifying the campus community of the opportunity to apply for funding. At least 15-business days must be provided to members of the campus community for preparing their requests.
 - ii. Any member of the University campus community may submit a request for annual funding.
 - iii. The intent of the annual operating budget is to support on-going student activities, services, and programs. It is the intent that the funds deemed "long-term" shall be used to purchase capital (non-recurring) equipment and furnishings, lease and/or bond obligations, and other related expenditures.
- B. ***A contingency fund shall be established by the Committee as part of its annual budget and should represent approximately 5% of the projected fee revenue.*** The purpose of this money is to fund proposals throughout the following fiscal year. The Chancellor or his or her designate shall review and approve all such recommendations from the Committee.

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- i. The Committee shall establish the format, priorities for funding, and related deadlines for receiving contingency requests. At least 10-business days must be provided to students for preparing their requests.
 - ii. ***The intent of the contingency award is to fund student-initiated activities, events, projects, and services that were not proposed during the annual budgeting cycle. Contingency requests should represent new ideas for building and sustaining community on campus. As such, these requests are to be generated by student groups, committees, and boards. Other entities of the campus community are invited to submit requests during the annual budgeting cycle.***
- C. All proposals for either annual or contingency funding must contain adequate information about how a program will serve currently enrolled UWB students as well as a detailed breakdown of proposed expenditures and anticipated revenues.
- D. The Committee shall review all requests for support from the services and activities fees, serving in an advisory capacity to the Chancellor and the Board of Regents.
- E. At the Committee's discretion, a hearing may be required for those requests that lack sufficient detail or justification. The Committee should provide at least 10-business days' notice of a hearing to those individuals requested to be in attendance.
- F. The Committee shall organize and publicize at least one open forum on its proposed annual funding allocations at least 10-calendar days prior to adopting a final budget.
- G. The Chancellor may meet with the Committee at appropriate intervals in its budget formation process to respond to emergent ideas and issues and to apprise it of the general position of the Regents. The Chancellor may respond in writing to specific written proposals submitted by the Committee and take other actions as needed to assure that the lines of communication to the Committee remain open. The Chancellor may delegate these duties to another senior administrator.
- H. The Committee shall send its final recommendations for an annual operating budget and long-term capital expenditures along with supporting documentation to the Chancellor on or about May 1 of each year. Within 10-business days after receiving the Committee's annual budget recommendations, the Chancellor will provide a written response to the Committee. In formulating his or her response, the Chancellor may seek the view of other affected University groups and senior administrators as to the final recommendations of the Committee prior to making his or her recommendation to the Board of Regents. In the event the Chancellor disagrees with any of the Committee's recommendations, the dispute resolution process described in Section 7 will be invoked.

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- I. At the time the Chancellor submits his or her proposed budget recommendations to the Board of Regents for the expenditure of services and activities fees, he or she shall also submit a copy of the Committee's recommendations and supporting documents along with any response from the administration.
- J. Members of the Service and Activities Fee Committee shall have an opportunity to address the Board of Regents before the Regent's decisions on services and activities fee budgets and dispute resolution actions are made (RCW 28B.15.045 (1)).
- K. If, during the year, there are unanticipated non-recurring expenses, and if there are sufficient long-term funds to not only fund the expenditures but also other long-term commitments, the Committee can request that the necessary funds be transferred from the long-term account to the current operating account.

Section 5. Guidelines for Funding

- A. Operating expenditures may be proposed in support of bona fide school-related curricular or extracurricular functions, activities, or programs participated in by UWB students in the furtherance of their education:
 1. Ordinary supplies, purchased services or equipment necessary to conduct the student function, activity, or program. Business cards may not be purchased with Services and Activities Fees.
 2. Compensation for students or other University employees engaged in activities or services that directly involve or support currently enrolled UWB students such as student government, student activities, student life, financial aid, counseling, testing, placement, and security. Compensation is established once per year, during the Annual Budget cycle, and cannot be modified during the Contingency cycle.
 3. Necessary and reasonable fees, meals, lodging, and transportation expenses for entertainers, lecturers, guest speakers and others who provide personal services on a contractual basis.
 4. Trophies, plaques or medals, certificates of award or articles of personal property that are of nominal value (\$50 or less) given to currently enrolled UWB students as recognition for participation, achievement, or excellence as part of the functions of student organizations, activities, or programs. Articles of clothing may not be purchased with Services and Activities Fees unless expressly stated otherwise by the SAF committee at the time of allocation.

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5. Promotional Items are designed to promote any student organization, group, or funded project or service are limited to a total value of \$200 per quarter unless expressly stated otherwise by the Services and Activities Fee Committee at the time of allocation. Food and publicity (for specific events) are not considered a promotional item.
 6. Cost of childcare for children of currently enrolled UWB students who are participating in UWB programs held on the UWB campus.
 7. Travel Awards can only be granted to currently enrolled UWB students to participate in approved student functions, activities, or programs. Awards are limited to paying accommodations, registration fees, and incidental expenses such as costs associated with renting a state vehicle (may not exceed \$10 per day). Approved travel awards are reimbursements and are reimbursed only when receipts are presented. All travel must comport with established UW travel policies and procedures (e.g., travel must be approved in advance using the Travel Authorization Form and a Travel Expense Voucher must be completed and approved before any reimbursements are made). Travel must benefit the broader student community through participation upon return from the trip. Maximum reimbursement will be \$500 per student and \$2,500 per group unless expressly stated otherwise by the SAF Committee upon awarding of funds.
 8. Food and refreshments may be purchased for on-campus UWB-student functions (including award receptions, training programs), activities, or programs. Such funds are intended to support activities and programs open to the general student body. Funds are not intended to support routine meetings associated with student organizations (student government, campus events board, SAF, etc.). Services and Activities Fees may not be used to purchase or serve alcoholic beverages. In regards to off-campus activities, only 25% of the specific event funds can be used to purchase meals and/or refreshments.
- B. Consistent with state law, any expenditure of Services and Activities Fees, including loans, is considered a prohibited gift when made for the direct benefit of private individuals or groups. State law also prohibits contributions of Services and Activities Fees or property to a political candidate or cause in connection with any local, state, or federal election.
- C. Services and Activities Fees shall not be used as fundraising contributions; matching funds for fundraising purposes; or for any expenses related to a meetings, event, or activities of which the principal purpose is fundraising.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Services and Activities Fees - University Of Washington Bothell:
2007-08 Distribution of Fees and Allocation of Funds and Revised Guidelines and
Operating Procedures (continued p. 19)

- D. When making allocations, the Committee may place stipulations on the use of funds or recommend guidelines in the operations of a program, or both. Stipulations shall be binding on the program.

Section 6. General Criteria for Evaluating Funding Requests

- A. The general criteria for evaluating funding requests and for determining level of funding are
1. the degree to which the request supports a UWB student program or activity or provides a direct service that is of general interest and has broad appeal to currently enrolled UWB students;
 2. how well the proposed program, activity, or service is conceived and organized and, if previously funded, its track record for success;
 3. the likelihood of partial or full funding from another source or the probability that alternative funding (full or partial) is available from another source;
 4. the number and diversity of currently enrolled UWB students likely to benefit from the program, activity, or service in proportion to the level of proposed funding; and
 5. other criteria approved in advance by the Committee and the Chancellor or his or her designate.

Section 7. Budget Disputes

- A. The Chancellor or his or her designate shall respond to the Committee recommendations in writing, outlining areas of agreement and potential areas of disagreement, allowing reasonable time for response, and clearly setting forth the next step in the review process. In the event of a dispute(s) involving the Committee recommendations, the administration shall meet with the Committee in a good faith effort to resolve such dispute(s) prior to submission of final recommendations to the Board of Regents (RCW 28.15.045(6a)).
- B. If the dispute is not resolved within fourteen-calendar days, a dispute resolution committee shall be convened by the chair of the Committee within fourteen-calendar days (RCW 28.15.045(6b)).
- C. The dispute resolution committee shall be selected as follows: The administration shall appoint two nonvoting advisory members; the Board of Regents shall appoint

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Services and Activities Fees - University Of Washington Bothell:
2007-08 Distribution of Fees and Allocation of Funds and Revised Guidelines and
Operating Procedures (continued p. 20)

three voting members; and the Committee chairperson shall appoint three student members of the Committee who will have a vote, and one student representing the Committee who will chair the dispute resolution committee and be nonvoting except in the case of a tie vote. The dispute resolution committee shall meet in good faith and settle by vote any and all disputes. (RCW 28.15.045(7))

D. The Board of Regents may take action on those portions of the Services and Activities Fees budget not in dispute and shall consider the results, if any, of the dispute resolution committee and shall take action (RCW 28.15.045(8)).

Section 8. Review of Budget Allocations

- A. The Committee may choose to review the status of the current Annual Budget at any time during the year. The purpose of these reviews is to assess the status of awards and to have current budget information available for reference during future funding cycles.
- B. The Committee may choose to conduct discretionary reviews of funded programs at any time during the year. Recipients of funding may be asked to provide the committee with spending records and projections. As a result of a review, the Committee may freeze the remaining unexpended portion of a budget if the program is not spending in alignment with its allocation; in accordance with these Guidelines and Operating Procedures; and/or failure to report information as stated in Section 8.A. During a freeze, no further obligations may be incurred against the budget until the freeze is removed. A freeze may remain in effect until the Committee is satisfied with the program's progress. Therefore, it is in the best interest of ALL organizations funded by the Services and Activities Fee to keep up to date records of their expenditures.

Notes:

- i. The SAF Guidelines and Operating Procedures were originally authorized by the Board of Regents on September 27, 1991 and later amended by the Regents on June 12, 1998.
- ii. Revised SAF Guidelines and Operating Procedures were adopted by the SAF Committee on April 8, 2005, approved by the Chancellor on May 22, 2005, and authorized by the Board of Regents on June 9, 2005.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Services and Activities Fees - University Of Washington Bothell:
2007-08 Distribution of Fees and Allocation of Funds and Revised Guidelines and
Operating Procedures (continued p. 21)

- iii. Further revisions to the SAF Guidelines and Operating Procedures were adopted by the SAF Committee on April 7, 2006, approved by the Chancellor on May 22, 2006, and authorized by the Board of Regents on June 8, 2006.
- iv. Additional revisions to the SAF Guidelines and Operating Procedures were adopted by the SAF Committee on April 27, 2007, approved by the Chancellor on May 1, 2007, and authorized by the Board of Regents on _____.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Services and Activities Fee—University of Washington, Seattle: 2007–08 Operating, Capital and Special Allocations

RECOMMENDED ACTION:

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents approve for the Seattle campus:

- 1) Raising the Services & Activities (S&A) Fee level for 2007–08 from \$99 per full-time student per quarter to \$105; and
- 2) Allocating \$12,624,610 for 2007–08 S&A Fee operating, capital and special allocations.

BACKGROUND:

Each year, on the basis of recommendations by the administration and the S&A Fee Committee*, the Board of Regents approves annual S&A Fee allocations for the Seattle campus. Additional allocations may be approved during a given year.

This year, the Committee has asked units to submit two distinct requests: one for their operations budget and another for capital expenditures; thus each allocation listed below is presented in two parts. Separating capital allocations from operational budgets enables the Committee to better understand ongoing operational commitments and to keep clear records of one-time expenses.

The present recommendations grew out of S&A Fee Committee discussions over the course of the 2006–07 academic year—discussions that included at different times representatives of the units supported by S&A Fee income. The S&A Fee Committee submitted its written recommendations to the Vice Provost for Student Life on May 25, 2007 (Attachment I), which were reviewed and concurred with by the administration shortly thereafter (Attachment II).

The 2006–07 and recommended 2007–08 distributions of the quarterly S&A Fee are displayed below:

	<u>Full-time 2006–07</u>	<u>Full-time 2007–08</u>
Long Term Loan Fund	\$3.47	\$3.67
Facilities and Programming Account	<u>\$95.53</u>	<u>\$101.33</u>
Total	\$99	\$105

* By statute, recommendations of the Committee are determined by the votes of its student members—three and four of whom, respectively, represent the GPSS and the ASUW. The rules call for three administrators and two faculty members to serve on the Committee in nonvoting, advisory roles.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Services and Activities Fee—University of Washington, Seattle: 2007–08 Operating, Capital and Special Allocations (continued- 2)

It is projected that S&A Fee revenues for 2007–08, including interest income, will total \$11,219,351. A recommended budget of \$11,124,610 from S&A Fee revenue would result in a surplus of \$94,741. An additional \$1.5 million in capital allocations is recommended from the 2000 Facilities Fee Revenue Bonds.¹

The 2006–07 and anticipated 2007–08 revenues and expenditures are displayed in Attachment III. The proposed operations and capital budgets for each program and a brief discussion of their rationale are detailed below.

ASUW – \$689,159

Two years ago the ASUW moved from a per FTE allocation to a straight dollar allocation from the SAF Fee. This change brought to light several budget issues that needed to be addressed. The substantial increase of 17.52 percent marks what the Committee hopes to be the last round of adjustments to fully acclimate to their new method of accounting.

There are a few items of the ASUW budget that deserve particular attention. Salaries have increased by 6.1% plus benefits in the second year of a three-year process to bring wages up to a more competitive level for student employment on campus. Three positions were eliminated this year, but cost savings are being offset by the introduction of two newly funded programs within the ASUW. The ASUW has also created a Trust Fund to replenish the unit's capital assets as they fully depreciate.

Childcare Assistance Program (Operations) – \$860,635

The Childcare Assistance Program is poised to undergo several significant structural changes next year. While actual subsidies given to students were well within the allocated SAF budget, the Committee had several concerns with the program's method of arriving at an appropriate amount to award students as well as the program's ability to track usage on a real-time basis. Next year the program will report to the Office of Student Financial Aid and the Committee hopes this transition will capitalize on the division's expertise and knowledge generate increased efficiencies and better serve students.

¹ Two capital requests will be funded by proceeds from the 2000 Facilities Fee Revenue Bonds for improvements to the IMA Building, Golf Driving Range, and outdoor sports field. When the Golf Driving Range improvements were discontinued, approval from the bond insurer was received to broaden the scope of the uses of the remaining bond proceeds to include "any other capital facilities approved by the Services and Activities Fee Committee". This change was approved by the Board of Regents at the July 2006 meeting.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Services and Activities Fee–University of Washington, Seattle: 2007–08 Operating, Capital and Special Allocations (continued- 3)

Another item of note is the addition of a .5 FTE dedicated to serving as a resource for student parents at the University of Washington. Currently, services for this particular segment of the student body are spread across the campus and there is little to no synergy between them. It is expected that this staffing will channel these disjointed services into a single destination for student parents.

Classroom Support Services (Kane Hall) – \$66,156

The recommended allocation of \$66,156 would support the wages of student staff members who administer both the Kane Hall and South Campus branches of the Student Equipment Loan Program that operates under the auspices of the Office of Classroom Support Services. Laptop computers, projectors, digital cameras and recorders are purchased from the Student Technology Fee and made available to students without charge. This allocation represents a small increase intended to pay for additional staff.

The Committee has been made aware that the Student Technology Fee Committee has elected to not pay for insurance on the equipment for the upcoming year and SAF is watching that issue as it continues to manifest itself.

Counseling Center – \$179,746

The recommended allocation of \$179,746 would allow the Counseling Center to continue eliminating fees for the initial intake session and the first five visits for students.

Ethnic Cultural Center and Theatre Complex (Operations) – \$555,619

This recommended allocation of \$555,619 would support the ongoing functions, staff and general operations of the Ethnic Cultural Center / Theater. In addition, this funding would allow the ECC/T to take a group of student leaders on a weekend retreat as well as modest increases to existing programming.

Ethnic Cultural Center and Theatre Complex (Capital) – \$113,403

The recommendation of a \$13,403 capital request would provide basic capital upgrades necessary for any professional environment.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Services and Activities Fee—University of Washington, Seattle: 2007–08 Operating, Capital and Special Allocations (continued- 4)

There is an additional \$100,000 capital request (to be paid via the 2000 Student Facilities Fee Revenue bonds¹) being recommended that would initiate a feasibility study of further renovation to the Ethnic Cultural Center / Theater as well as the Instructional Center. This is the second time SAF has invested in the ECC building this decade and this reinvestment is an affirmation that the existing site is no longer a temporary building but rather the permanent home of the Ethnic Cultural Center.

GPSS – \$252,188

The recommended allocation of \$252,188 would support the ongoing functions, staff salaries and general operating costs of the organization. There was not a significant change from last year to this year's request, however there were increases to the unit's travel budget. This will expand the Travel Grant program which funds student travel to academic conferences as well as allowing all four officers to attend the National Association of Graduate and Professional Students National Conference.

Hall Health Primary Care Center (Operations) – \$5,901,380

This is the first time in three years that Hall Health Primary Care Center has come to SAF requesting an increase in funding. The increase would be primarily allocated to salary expenses, as HHPCC strives to maintain competitive wages with regional health care facilities in the area.

Q Center (Operations) – \$55,498

The recommended allocation of \$55,498 represents minor inflationary increases to maintain the essential operations of the unit and the salaries of its staff as well as a programmatic increase.

Q Center (Capital) – \$5,147

This recommendation would provide for minor office equipment upgrades.

Recreational Sports Programs (Operations) – \$1,580,478

Last year the Recreational Sports Program received a real dollar decrease and this year's request is still less than the funded level in 2005-06. The vast majority of this

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Services and Activities Fee—University of Washington, Seattle: 2007–08 Operating, Capital and Special Allocations (continued- 5)

increase would be allocated to rectify salaries that have been affected by this decreased funding.

Recreational Sports Program (Capital) – \$1,500,000

An allocation of \$100,000 is recommended for the regular capital maintenance of the facilities managed by the department. This is in-line with the standard capital request that was made last year. Also, the Committee is recommending \$1,400,000 (to be paid via the 2000 Student Fee Revenue Bonds¹) to finish the basement of the IMA Building. Weight room facilities at the IMA frequently operate at capacity during peak hours and this expansion would minimize wait to utilize fitness equipment.

S&A Fee Committee (Operations) – \$31,869

The recommended allocation is a significant decrease from last year's allocation due to cost savings expected from the Committee's transition from paper to electronic format of spreadsheets. At the same time, this will provide compensation in the form of a tuition subsidy for the Committee chair for autumn, winter and spring quarters (which has been suggested for several years).

S & A Fee Committee (Capital) – \$12,000

The recommended allocation is intended for the purchase of laptop computers and appropriate office software for Committee use. Increasingly, the use of Excel has become integral to the efficiency of the Committee and the Committee feels this is a necessary step in creating an environment that does not discriminate toward members who do not own laptops through other means.

Student Activities and Union Facilities (Operations) – \$420,297

The recommended allocation would support permanent staff in the Reservations and Event Services Office of the HUB. The Resource Center attempted to receive funding independent of SAUF this year, but the Committee chose to continue to fund it as a program of SAUF as it has done for the past several years.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Services and Activities Fee—University of Washington, Seattle: 2007–08 Operating, Capital and Special Allocations (continued- 6)

Student Legal Services (Operations) – \$135,853

The recommended allocation represents minor inflationary increases to maintain the essential operations of the office and the salaries of its staff.

Student Legal Services (Capital) – \$5,110

The recommended allocation would provide four computers and three telephone sets for the Student Legal Services office.

Student Publications (Operations) – \$200,072

The recommended allocation would provide support for the ongoing functions, staff and general operating costs of Student Publications. This is the second year that Student Publications has received a straight dollar allocation rather than a per student FTE allocation. The Committee has agreed to fund the Assistant Director's salary for a second year as an investment in the hopes of Student Publications eventually becoming a fully self sustaining entity.

Student Publications (Capital) – \$60,000

This recommended allocation would provide the second part of an installment sale for accounting software and allow Student Publications to upgrade their network infrastructure.

Fee Assessment Level

The Services & Activities Fee Committee recommends the 2007 – 08 Services and Activities Fee be assessed at \$105. This represents expected revenue of \$11.2 million – an increase of slightly over \$1 million from last year. While this is the largest dollar increase in recent history, there have been several years the Committee recommended it be increased by the maximum allowable percentage.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Services and Activities Fee—University of Washington, Seattle: 2007–08 Operating, Capital and Special Allocations (continued- 7)

ATTACHMENTS

- I. May 25, 2007 letter to Mr. Eric Godfrey, Vice Provost for Student Life, from Mr. Sheridan Gray, Chair, Services and Activities Fee Committee
- II. May 29, 2007 letter from Mr. Eric Godfrey, Vice Provost for Student Life, to Mr. Sheridan Gray, Chair, Services and Activities Fee Committee
- III. 2006–07 Budget and 2007–08 Services and Activities Fee Revenue and Expense Projections



Services & Activities Fee Committee Committee Recommendation

May 25, 2007

Mr. Eric Godfrey
Vice Provost for Student Life
University of Washington
Schmitz 476
Seattle, Washington 98195

Dear Mr. Godfrey:

On May 18 and 25, 2007, the Services & Activities Fee Committee met to approve recommendations regarding the collection and allocation of the Services & Activities Fee for the 2007-08 academic school year. This recommendation is the result of a lengthy process involving orientation and budget hearings as well as thoughtful and critical analysis from a considerably bright Committee membership. The recommendation is broken down into three components: general recommendations focusing on efficiencies of the Committee, an allocation amount for each unit, and a dollar per full time enrollee collection level. We believe that should the Regents adopt our recommendation, the Fee will optimally fund a broad array of services and activities for students at the University of Washington.

General Recommendations

The Services & Activities Fee is very complex in nature. While the volunteers that make up the SAF Committee are among the brightest and most responsible students on campus, a full understanding of the intricacies in a budget this large can be difficult for any student not already intimately familiar with the University's budgeting process. Non-voting members from the University Administration and Faculty Senate help fill this knowledge gap and we have been fortunate to have some members serve for several terms. When making appointments to next year's Committee, it is my hope that a particular focus be placed on an appointee's familiarity with the University's budgeting process as well as Student Life issues holistically to better serve student members of the Committee.

In addition, this year's Committee recommends a change in the budgeting process that would facilitate Committee deliberations. Since the amount of merit increase is often unknown at the time units are preparing their budgets, the Committee asks that all units be instructed to include a projected salary increase for professional, contract and contract classified employees – possibly in the

range of 4-5%. Once the Regents determine the actual merit increase amount, budgets could be adjusted as needed. This would provide a more realistic projection of maximum allocations by the SAF Committee.

ASUW – \$689,159

Two years ago the ASUW moved from a per FTE allocation to a straight dollar allocation from the SAF Fee. This change brought to light several budget issues that needed to be addressed. The substantial increase of 17.52 percent marks what the Committee hopes to be the last round of adjustments to fully acclimate to their new method of accounting.

There are a few items of the ASUW budget that deserve particular attention. Salaries have increased by 6.1% plus benefits in the second year of a three-year process to bring wages up to a more competitive level for student employment on campus. Three positions were eliminated this year, but the cost savings are being offset by the introduction of two newly funded programs within the ASUW. The ASUW has also created a Trust Fund to replenish their capital assets as they fully depreciate.

Childcare Assistance Program – \$860,635

The Childcare Assistance Program is poised to undergo several significant structural changes next year. While actual subsidies given to students were well within the allocated SAF budget, the Committee had several concerns with CAP's method of arriving at an appropriate amount to award students as well as the program's ability to track usage on a real-time basis. Next year CAP will report to the Office of Financial Aid and the Committee hopes this transition will capitalize on expertise and knowledge within the division, generate increased efficiencies and better serve students.

Another item of note is the addition of a .5 FTE dedicated to serving as a resource for student parents at the University of Washington. Currently, services for this particular segment of the student body are spread across the campus and there is little to no synergy between them. It is expected that this employee will channel these disjointed services into a single destination for student parents.

Classroom Support Services – \$66,156

The recommended allocation of \$66,156 would support the wages of student staff members who administer both the Kane Hall and South Campus branches of the Student Equipment Loan Program that operates under the auspices of the Office of Classroom Support Services. Laptop computers, projectors, digital cameras and recorders are purchased from the Student Technology Fee and made available to students without charge. This allocation represents a small increase intended to pay for additional staff.

The Committee has been made aware that STF has elected to not pay for insurance on the equipment for the upcoming year and SAF is watching that issue as it continues to manifest itself.

Counseling Center – \$179,746

The recommended allocation would allow the Counseling Center to continue eliminating fees for the initial intake session and the first 5 visits.

Mental Health programs are severely under funded at the University of Washington; yet, SAF does not have the resources to solve the problem independently. The Committee strongly urges that the Counseling Center, along with the University at large, continue to lobby support for increased state funding for such a vital service to students.

Currently, the Counseling Center is budgeting to incur an operating deficit for the upcoming year and did so as well for the current year. At the same time, we have been informed there is a positive variance and this deficit will not be achieved. The Committee would like to see improvements in the Counseling Center's effectiveness at building accurate projections for both income and expenses in the upcoming years.

Ethnic Cultural Center / Theater – \$555,619 (Operations)

This recommended allocation would support the ongoing functions, staff and general operations of the Ethnic Cultural Center / Theater. In addition, this funding would allow the ECC/T to take a group of student leaders on a weekend retreat as well as modest increases to existing programming.

Over the past several years the proportion of SAF funding to Earned Income has become increasingly SAF dependent. The Committee would like to see the ECC/T place a focus the next few years on increasing the amount of external funding to bring this proportionality back into balance.

Ethnic Cultural Center / Theater – 113,403 (Capital)

The recommendation of \$13,403 capital request would provide basic capital upgrades necessary for any professional environment.

This also includes a recommendation for a \$100,000 capital request (to be paid via our bond¹) to initiate a feasibility study of further renovation to the Ethnic Cultural Center / Theater as well as the Instructional Center. This is the 2nd time SAF has invested in the ECC building this decade and we view this reinvestment as an affirmation that this is no longer a temporary building but rather the permanent home of the Ethnic Cultural Center.

GPSS - \$252,188

The recommended allocation would support the ongoing functions, staff salaries

¹ This capital request will be funded by proceeds from the 2000 Student Facilities Fee Revenue Bonds for improvements to the IMA Building, Golf Driving Range, and outdoor sports field. When the Golf Driving Range improvements were discontinued, approval from the bond insurer was received to broaden the scope of the uses of the remaining bond proceeds to include "any other capital facilities approved by the Services and Activities Fee Committee". This change was approved by the Board of Regents at the July 2006 meeting.

and general operating costs of the organization. There was not a significant change from last year to this year's request, however there were increases to the travel budget. This will expand the Travel Grant program which funds student travel to academic conferences as well as allows all four officers to attend the National Association of Graduate and Professional Students National Conference.

Hall Health Primary Care Center - \$5,901,380

This is the first time in three years that Hall Health Primary Care Center has come to SAF asking for an increase in funding. The increase would be primarily allocated to salary expenses, as HHPCC strives to maintain competitive wages with regional health care facilities in the area.

It has come to the attention of the Committee that the Department of Environmental Health and Safety has applied to move to UW Tower. If their application is accepted we anticipate that Hall Health Primary Care Center will request capital funding to renovate the vacated space.

Q Center - \$55,498 (Operations)

The recommended allocation represents minor inflationary increases to maintain the essential operations of the unit and the salaries of its staff as well as a relatively substantial programmatic increase.

Q Center - \$5,147 (Capital)

This recommendation would provide for minor office equipment upgrades.

Recreational Sports Program - \$1,580,478 (Operations)

Last year the Recreational Sports Program received a real dollar decrease and this year's request is still less than the funded level in 2005-06. The vast majority of this increase would be allocated to rectify salaries that have been affected by this decreased funding.

Recreational Sports Program - \$1,500,000 (Capital)

This recommendation would provide the Recreational Sports Program with their standard \$100,000 in maintenance funding necessary to keep the RSP facilities functional.

Also, the Committee is recommending \$1,400,000 (to be paid via our bond¹) to finish the basement of the IMA Building. The weight room facilities frequently operate at capacity during peak hours and this expansion would shorten the wait time for students to be able to use the fitness equipment.

Services & Activities Fee Committee - \$31,869 (Operations)

The recommended allocation is a significant decrease from last year's allocation due to cost savings expected from the Committee's transition from paper to electronic format of spreadsheets. At the same time, this will provide

compensation for the Committee chair, which has been suggested for several years.

Services & Activities Fee Committee - \$12,000 (Capital)

The recommended allocation is intended to buy laptop computers and appropriate office software for Committee use. Increasingly, the use of Excel has become integral to the efficiency of the Committee and the Committee feels this is a necessary step in creating an environment that does not discriminate toward members who do not have laptops through other means.

Student Activities & Union Facilities - \$420,297

The recommended allocation would support permanent staff in the department and an increase in the Student Organization Event Fund. The Resource Center attempted to receive funding independent of SAUF this year, but the Committee chose to continue to fund it as a program of SAUF as it has done for the past several years. We feel there is a more natural organizational structure in place when the Resource Center reports to a recognized University division.

Student Legal Services - \$135,853 (Operations)

The recommended allocation represents minor inflationary increases to maintain the essential operations of the office and the salaries of its staff.

Student Legal Services - \$5,110 (Capital)

The recommended allocation would provide four computers and 3 telephone sets for the Student Legal Services office.

Student Publications – \$200,072 (Operations)

The recommended allocation would provide support to the ongoing functions, staff and general operating costs of Student Publications. This is the second year that Student Publications has received a straight dollar allocation rather than a per student FTE allocation. The Committee has agreed to fund the Assistant Director's salary for a second year as an investment in the hopes of Student Publications eventually becoming a fully self sustaining entity.

Student Publications – \$60,000 (Capital)

This recommended allocation would provide the last installment for accounting software as well as allow Student Publications to upgrade their network infrastructure.

Fee Assessment Level

The Services & Activities Fee Committee recommends the Fee be assessed at \$105. This represents expected revenue of \$11.2 million, an increase of slightly over \$1 million from last year. While this is the largest dollar increase in recent history, there have been several years the Committee recommended it be increased by the maximum allowable percentage. We believe this marks the final year of correction due to the Committee's mistake of lowering the Fee by \$3

and is the most prudent action the Committee can make.

Closing Comments

The Committee believes if the Regents adopt these recommendations, the Fee will no longer have an operational deficit. At the same time, units have been able to expand their services to students. We believe this funding, combined with the unique talents of the unit directors and staff, ensures a successful year in student services and activities.

Sincerely,

Sheridan M. Gray
Services & Activities Fee Committee Chair



May 29, 2007

Mr. Sheridan Gray
Chair
Services and Activities
Fee Committee
Box 355831

Dear Sheridan,

Thank you for your letter of May 25, 2007, providing the details of the \$12,624,610 total allocations proposed by the Services and Activities Fee (S&A Fee) Committee for 2007 – 08. Of particular note, the proposal calls for a \$6 increase in the quarterly S&A Fee, from \$99 per full-time student to \$105, constituting a 6% adjustment. An adjustment of this level enables the committee to continue and enhance important programs and balance expenditures with revenues.

The Committee's recommended allocations are thoughtful and strategic. I am especially pleased with the Committee's decision to continue support for the Counseling Center and Hall Health, its commitment to enhancing support for the Childcare Assistance Program, and its decision to make a one-time capital allocation to the Ethnic Cultural Center and Theater, which will fund a feasibility study for a possible renovation of these facilities. I am also pleased that the Committee has made a one-time allocation which will enable Recreational Sports Programs to finish the basement of the IMA, thereby expanding facilities for our students.

After careful review, the administration concurs in the recommendations. The budget will be presented to the Board of Regents for its consideration and action at its meeting of June 7, information on which will be communicated to you in the near future. I hope you will be able to attend the meeting.

Mr. Sheridan Gray
May 29, 2007
Page Two

As always, your leadership and collaboration have been greatly appreciated.

Sincerely yours,

Eric S. Godfrey
Vice Provost

ESG:ajm

cc: Provost Phyllis M. Wise w/enclosure
Members of the Services and
Activities Fee Committee
Mr. Dave Brown w/enclosure
Ms. Niki Cheema w/enclosure
Mr. Tyler Dockins w/enclosure
Ms. Kimberly Friese w/enclosure
Mr. Cullen White w/enclosure

VII. STANDING COMMITTEES

A. Academic and Student Affairs

Waiver of Tuition and Fees- Revision to WAC 478-160-163RECOMMENDED ACTION

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the Board of Regents approve the attached changes to WAC 478-160-163 (Waivers of Tuition and Fees). These changes to WAC 478-160-163 are necessary to implement the previous action taken by the Board of Regents in March 2007 which revised the provisions of tuition waivers for qualified graduate and professional students who are veterans or members of the Washington National Guard.

BACKGROUND FOR RECOMMENDED ACTION

The passage of SHB 1174 during the 2005 State Legislative session made changes in the state law governing tuition waivers for veterans and members of the National Guard. In March 2007 the Board of Regents expanded the tuition waiver framework for selected veterans and members of the National Guard.

After the Board of Regents establishes new tuition waivers or revises the terms of an existing tuition waiver, the university is required to formally go through a rule-making process to officially enact the provisions under Washington Administrative Code (WAC). In order for the necessary amendments to WAC to be effective for Spring Quarter 2007, they were initially adopted by President Emmert through the emergency rule-making process and were effective March 29, 2007. The permanent rule-making process requires a public hearing which was held on May 22, 2007. On May 24, 2007 the Hearing Officer's report (a copy of this report is attached) was transmitted to the president with the recommendation that the Board of Regent's adopt the proposed amendments to WAC 478-160-163. The Board of Regents adoption of these changes to WAC 478-160-163 (a copy of the proposed amendments is attached) is the final step in the implementation of the Board's earlier action.

May 24, 2007

President Mark A. Emmert
Office of the President
University of Washington
Box 351230

Dear President Emmert,

Pursuant to your delegation, I served as the Hearing Officer to receive public comment on the University of Washington's proposed amendments to WAC 478-160-163, "Waivers of Tuition and Fees." The hearing commenced at 12:30 p.m. on Tuesday, May 22, 2007, in Room 200C of the Husky Union Building (HUB) at the University of Washington, Seattle campus. I am pleased to provide you a report of that hearing and the written comments received.

As required by the Administrative Procedure Act, the University filed the following notices with the State of Washington Code Reviser: a Preproposal Statement of Inquiry (published as WSR 07-05-090, in the *Washington State Register* on March 7, 2007) and a notice of Proposed Rule Making (published as WSR 07-09-082, in the *Washington State Register* on May 2, 2007). Campus notice that the hearing would be held was published in *University Week* on May 3, 2007, and in *The Daily* on May 14 and 16, 2007. In addition, notice of the public hearing was included in the online events calendar for the Seattle campus. The written comment period began March 7, 2007, and ended May 22, 2007.

Analysis

No individuals attended the hearing to provide comment on these proposed amendments. No written comments were received and no copies of the proposed amendments were requested from the Rules Coordination Office. The proposed amendments were reviewed by the Attorney General's Office, endorsed by the Office of the Vice Provost for Student Life, and approved by the Office of Planning and Budgeting. These same proposed amendments have been in effect since March 29, 2007, as emergency rules.

Recommendation

Currently, the permanent rules of the University of Washington allow veterans, and children and spouses of veterans, who qualify under RCW 28B.15.621 and WAC 478-160-163 to receive tuition waivers when seeking their first undergraduate degree. The proposed amendments to WAC 478-160-163 would extend tuition waivers to eligible veterans, and children and spouses of veterans, who seek their first graduate or professional degree at the University of Washington.

I recommend that the Board of Regents adopt the proposed amendments to WAC 478-160-163, "Waivers of Tuition and Fees," as permanent rules.

An audio tape of the hearing has been deposited with the Secretary of the Board of Regents.

Sincerely yours,

Carol S. Niccolls
Special Counsel to the President

cc: Ms. Rebecca Goodwin Deardorff
Mr. Eric S. Godfrey
Mr. Gary R. Quarfoth
Ms. Michele M. Sams
Dr. Phyllis M. Wise

AMENDATORY SECTION (Amending WSR 06-12-008, filed 5/26/06, effective 6/26/06)

WAC 478-160-163 Waivers of tuition and fees. (1) The board of regents is authorized to grant tuition and fee waivers to students pursuant to RCW 28B.15.910 and the laws identified therein. Each of these laws, with the exception of RCW 28B.15.543 and 28B.15.545, authorizes, but does not require, the board of regents to grant waivers for different categories of students and provides for waivers of different fees. The board of regents must affirmatively act to implement the legislature's grant of authority under each individual law. A list of waivers that the board has implemented can be found in the *University of Washington General Catalog*, which is published biennially. The most recent list may be found in the online version of the *General Catalog* at www.washington.edu/students/reg/tuition_exempt_reductions.html.

(2) Even when it has decided to implement a waiver listed in RCW 28B.15.910, the university, for specific reasons and a general need for flexibility in the management of its resources, may choose not to award waivers to all students who may be eligible under the terms of the laws. Where the university has chosen to impose specific limitations on a waiver listed in RCW 28B.15.910, those limitations are delineated in subsection (5) of this section. If the university has not imposed specific limitations on a waiver listed in RCW 28B.15.910, the waiver is not mentioned in subsection (5) of this section. The university's description of the factors it may consider to adjust a waiver program to meet emergent or changing needs is found in subsection (7) of this section. All waivers are subject to subsection (7) of this section.

(3) The board of regents also has the authority under RCW 28B.15.915 to grant waivers of all or a portion of operating fees as defined in RCW 28B.15.031. Waiver programs adopted under RCW 28B.15.915 are described in the *General Catalog*. The most recent list may be found in the online version of the *General Catalog* at www.washington.edu/students/reg/tuition_exempt_reductions.html. Waivers granted under RCW 28B.15.915 are subject to subsection (7) of this section.

(4) Waivers will not be awarded to students participating in self-sustaining courses or programs because they do not pay "tuition," "operating fees," "services and activities fees," or "technology fees" as defined in RCW 28B.15.020, 28B.15.031, 28B.15.041, or 28B.15.051, respectively.

(5) Specific limitations on waivers are as follows:

(a) Waivers authorized by RCW 28B.15.621 (2)(a) for eligible veterans and National Guard members, shall be awarded only to:

(i) Undergraduate students pursuing their first bachelor's degree to a maximum of 225 college-level credits, including credits

transferred from other institutions of higher education; and

(ii) Full-time graduate or professional degree students pursuing their first advanced degree (including advanced degrees earned at other institutions), provided however, that graduate and professional degree students who received a waiver authorized by RCW 28B.15.621 (2) (a) as undergraduates at any Washington state institution of higher education shall not be eligible for this waiver.

(b) Waivers authorized by RCW 28B.15.621 (2) (b) and (c) for children or spouses of eligible veterans and National Guard members who became totally disabled, or lost their lives, while engaged in active federal military or naval service, or who are prisoners of war or missing in action, shall be awarded only to:

(i) Undergraduate students pursuing their first bachelor's degree to a maximum of 225 college-level credits, including credits transferred from other institutions of higher education; and

(ii) Full-time graduate or professional degree students pursuing their first advanced degree (including advanced degrees earned at other institutions), provided however, that graduate and professional degree students who received a waiver authorized by RCW 28B.15.621 (2) (b) or (c) as undergraduates at any Washington state institution of higher education shall not be eligible for this waiver.

(c) Waivers of nonresident tuition authorized by RCW 28B.15.014 for university faculty and classified or professional staff shall be restricted to four consecutive quarters from their date of employment with the University of Washington. The recipient of the waiver must be employed by the first day of the quarter for which the waiver is awarded. Waivers awarded to immigrant refugees, or the spouses or dependent children of such refugees, shall be restricted to persons who reside in Washington state and to four consecutive quarters from their arrival in Washington state.

(d) Waivers authorized by RCW 28B.15.380 for children of police officers or fire fighters who are deceased or permanently disabled, shall be awarded only to undergraduate students pursuing their first bachelor's degree to a maximum of 225 college-level credits, including credits transferred from other institutions of higher education.

(e) Waivers authorized by RCW 28B.15.558 shall be awarded only to:

(i) University of Washington employees who are employed half-time or more, hold qualifying appointments as of the first day of the quarter for which the waivers are requested, are paid monthly, and, for classified staff new to the university, have completed their probationary periods prior to the first day of the quarter; or

(ii) State of Washington permanent employees who are employed half-time or more, are not University of Washington permanent classified employees, are permanent classified or exempt technical college paraprofessional employees, or are permanent faculty members, counselors, librarians or exempt employees at other state

of Washington public higher education institutions.

(6) To qualify an individual as an "eligible veteran or National Guard member," the person seeking the waiver must present proof of domicile in Washington state and a DD form 214 (Report of Separation) indicating their service related to specific United States military operations or campaigns fought on foreign soil or in international waters.

(7) The university may modify its restrictions or requirements pursuant to changes in state or federal law, changes in programmatic requirements, or in response to financial or other considerations, which may include, but are not limited to, the need to adopt fiscally responsible budgets, the management of the overall levels and mix of enrollments, management initiatives to modify enrollment demand for specific programs and management decisions to eliminate or modify academic programs. The university may choose not to exercise the full funding authority granted under RCW 28B.15.910 and may limit the total funding available under RCW 28B.15.915.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

UW Fee-Based Educational Programs 2005-2006

UW Educational Outreach: Mission and Scope

- Provides a way for colleges, schools and departments to offer fee-based programs, which address their academic missions
- Offers **access** to the resources of the UW
- Major activities: hundreds of **credit and noncredit** programs
 - **Fee-based degree programs**
 - **Summer Quarter**
 - **Extension: certificates, institutes and courses**
 - **International programs**
 - **Online learning**
 - **Conferences**
 - **English language programs**
 - **Community partnerships**
- **Focus on collaboration and partnerships**
 - Education: R1edu, Waseda University, Chulalongkorn University, Heritage University, WUN, K-12
 - Business: Pearson/Prentice Hall, Amazon.com, Microsoft, Boeing
 - Professional Associations: IEEE
 - 800 Community Advisory Board Members
- Current staff: 240 FTE and 64 part-time employees
- **The top Extension unit nationally**, in terms of scope, innovation, budget, enrollments and return on investment

Total Fee-based Students at the UW

All Activity: Credit and Noncredit

Enrollments/Registrations	102,398
Headcount (approx.)	60,596

Credit Activity Only

	<u>Students</u>	<u>Student FTE</u>	<u>Programs</u>
Degrees	2,433	2,008	42 degrees
Summer Quarter	13,960	6,673 (1 qtr.)	2,000 classes
Online Courses	1,690	157	375 classes
Shared Access	2,261	329	2,529 classes
Onsite Courses	5,569	797	391 classes
UW in the High Schools	2,428	405	51 schools
TOTALS	28,341	10,369	

Certificate Programs

More than 100 certificate programs serving nearly 2,500 students annually

Projected Growth: Revenue/enrollments will likely grow 8 percent annually with the greatest increase in **onsite fee-based degrees** and **professional development programs** domestically and internationally.

Instruction in Fee-based Programs

Fee-based Degrees

- All faculty appointed by academic units
- 77 full-time faculty appointments funded by the fee-based degree programs

Summer Quarter

- Regular UW faculty teach Summer Quarter classes
- Summer Quarter 2006:

	Seattle	Bothell	Tacoma	All 3 Campuses
Professorial Teaching Faculty				
Professor	610	5	9	624
Associate Professor	308	10	8	326
Assistant Professor	228	9	21	258
Sub-total	1146	24	38	1208
Other Faculty				
Clinical	38	0	0	38
Affiliate/Adjunct	220	1	3	224
Research	113	0	0	113
Emeritus/Retiree	26	0	0	26
Visiting	31	0	0	31
Other	146	5	5	156
Sub-total	574	6	8	588
Instructor/Lecturer	293	16	21	330
TOTAL	2013	46	67	2126

Extension Classes

- Approximately 200 UW faculty teach for additional pay
- Extension Lecturers:
 - 898 Hourly
 - 35 Quarterly
 - 30 Annual

Financial Profile

- Gross Tuition Revenues for Fee-based Education at UW: \$91.6 million
- \$21.1 million annual net return to UW from UWEO (not including UW faculty salaries)
- \$8.8 million in state funding returned centrally in transfer of degrees to fee basis since 2002
- \$7.1 million in faculty salaries supported by fee-based programs at UWEO
- \$12.7 million in grants at UWEO from 1999-2006

FEE-BASED DEGREES through UW

Current Degrees

College of Architecture and Urban Planning (2)

- Masters in Real Estate (2009)
- Master in Strategic Planning for Critical Infrastructures (online 2003)

College of Arts and Sciences (11)

- Master in Applied Math (2007)
- Master in Speech and Hearing Sciences with a specialty in Medical Speech-Language Pathology (2006)
- Post baccalaureate Program in Speech and Hearing Sciences (2006)
- Masters in Linguistics with a specialty in Computational Linguistics (2005)
- Doctorate in Audiology (Autumn 2004)
- Master in Museology (Autumn 2003)
- Baccalaureate Degree – Arts and Sciences (English, Communication, humanities and social sciences majors) – evening (Autumn 2002)
- Master in Communications with a specialty in Digital Media (2002)

School of Business Administration (7)

- Master in Professional Accounting in Auditing and Assurance (daytime/evening) (Autumn 2004)
- Executive MBA –North America (2002)
- Master in Business Administration (evening) (Autumn 2002)
- Master in Professional Accounting in Taxation (daytime/evening) (Autumn 2002)
- Master in Business Administration, Technology Management (2001)
- Master in Information Science (2001)*
- Global/Global Executive MBA (1995)
- Executive MBA – Regional (1983)

College of Education (3)

- Masters in Instructional Leadership (2007)
- Master in Education with a specialty in Intercollegiate Athletics Leadership (2006)
- Doctorate (Ed.D.) in Leadership for Learning (Summer 2002)
- Master in Education – Teaching Learning Partnership (Summer 2002)*

College of Engineering (8)

- Professional Master in Computer Science (Autumn 2002)
- Master in Construction Engineering - Sloan -funded development (2002) (also Architecture)
- BS in Computing and Software Systems (onsite and online) (2001)*
- Master in Technical Communication (2001)
- Master in Medical Engineering (1998)
- EDGE degrees (4 engineering distance learning master degree programs) (1983)

- Aeronautics and Astronautics
- Aerospace Engineering
- Manufacturing Engineering
- Mechanical Engineering

Information School (3)

- Masters in Information Management (day) (2005)
- Masters of Information Management –weekend executive (2002)
- Master in Library and Information Science (onsite/evening) (Autumn 2002)*
- Master in Library and Information Science (online/intensive onsite – Autumn 2002)

School of Law (2)

- Master of Intellectual Property Management (2002)
- Master of Law in Taxation (1995)

School of Medicine (1)

- Doctorate in Physical Therapy (Autumn 2003)

College of Nursing (1)

- Master Entry Program in Nursing (Summer 2004)

School of Pharmacy (2)

- Master in Biomedical Regulatory Affairs (2008)
- PHARM D (online/intensive onsite) (1996)

School of Public Affairs (1)

- Executive Master in Public Administration (Summer 2003)

School of Public Health and Community Medicine (3)

- Executive Master in Health Administration (Autumn 2003)
- BS in Health Informatics and Information Management (2001)
- Extended Masters in Public Health (1980)

School of Social Work (1)

- Master in Social Work (outreach) (Autumn 2004)
- Master in Social Work (evening) (Autumn 2003)*

Total Current Degrees: 45

Planned Fee-Based Degrees Ideas (most pending approval)

- B.S.E.E. Electrical Engineering (online)
- Masters in Electrical Engineering

- Master in Digital Animation
- Master in Geographic Information Systems
- Master in Physics
- Masters in Clinical Informatics for Patient Health Care (online)
- Masters in Medical Imaging
- Masters for the Clinical Nurse Leader
- Masters in Medical Engineering (online)
- Masters in Teaching for the Health Professions
- Masters in Teacher Certification (online)
- Doctorate in Community College Leadership (Ed.D.)
- Executive Masters in Public Affairs (Poland)

* Phased Out

CHRONOLOGY OF CERTIFICATE PROGRAMS
UW Educational Outreach

Start Date	Certificate Program	Location
1985	Paralegal Studies	Seattle
1986	Data Communications (Network Engineering in 2003)	Seattle, Bellevue
1986	*Film and Video (Filmmaking in 2001) (Film and Video Production in 2003)	Seattle
1986	*Management of Records and Information (Information and Records Management)	Seattle
1986	*Microcomputer Manager	Seattle
1986	Training Specialist	Seattle
1987	Fundraising Management	Seattle
1987	*Library Automation	Seattle
1987	*Library Management	Seattle
1987	*Management Skills	Seattle
1988	Commercial Real Estate	Seattle, DL
1988	*Screenwriting and Advanced Screenwriting	Seattle
1988	*Studies in the Humanities	Seattle
1989	*Computer Aided Design (Integrated Design Technology)	Seattle
1989	*Communication Skills (Managing Organizational Communications)	Seattle
1989	Advertising (Marketing Communications, Integrated Marketing Communications in 1992) (Marketing 2003)	Seattle
1989	*Actuarial Science	Seattle
1989	*Perioperative Nursing	Seattle
1989	*Studies in Visual Expression	Seattle
1989	Writers' Program	Seattle, Bellevue and DL (2000)
1990	Data Resource Management	Seattle, DL
1991	*Computer Graphics (Digital Production for Graphic Design) (Graphic Production for Print and Web)	Seattle
1991	Facilities Management (Facility Management in 2003)	Seattle, DL
1991	Project Management	Seattle, Bellevue, DL
1991	Public Relations	Seattle
1991	*Software Engineering	Bellevue
1991	Software Product Management	Bellevue
1991	Technical Writing and Editing	Seattle, Bellevue
1992	C Programming	Seattle, Bothell, DL
1992	Contract Management	Seattle
1992	Genealogy and Family History	Seattle
1992	Health Information Administration	Seattle
1992	Human Resource Management	Seattle
1992	*Studio Art	Seattle
1993	Sound Production (Audio Production)	Seattle
1994	C++ Programming	Seattle, Bothell, DL
1994	*Career Development (revised in 2003)	Seattle
1994	*Planning and Community Development	Seattle
1994	Construction Management	Seattle

1994	*Documentary Video Production (Documentary Production)	Seattle
1994	*Environmental Manager	Seattle, Tacoma
1994	*Primary Care Nurse Practitioner	Seattle
1994	Photography	Seattle
1994	*Total Quality Management (Managing for Organizational Excellence)	Seattle
1994	Wetland Science and Management	Seattle
1995	Accounting	Seattle
1995	Administration and Management	Seattle
1995	*Advanced Writing	Seattle
1995	*Aging	Seattle
1995	International Business (Global Business Management in 2003)	Seattle
1995	Multimedia (Multimedia Design & Production)	Seattle
1995	*Purchasing Management	Seattle
1995	*Scientific Illustration	Seattle
1995	Management in Technology Companies (Management of Technology Organizations) (Management in the Technology Sector)	Bothell Bellevue (2003)
1996	*Client/Server Management (Distributed Computing)	Seattle, Bellevue
1996	Medical Engineering: Basic Medical Sciences	Seattle, Bellevue
1996	Medical Management	Seattle
1996	*Microcomputers and Networks (Basic Network Technologies)	Seattle, Bellevue and DL (2000)
1996	*Managing Network Operations	Seattle
1997	Geographic Information Systems	Seattle
1997	Medical Engineering: Medical Diagnostics	Seattle, Bellevue
1997	Museum Studies (Museum Collection Management and Interpretation)	Seattle
1997	*Professional Languages	Seattle
1997	*Public Health Training Graduate Program	Seattle
1997	School Library Media Specialist (School Library Professional)	Seattle
1997	*Teaching, Learning and Technology	Seattle
1998	JAVA Programming (JAVA 2 Enterprise Programming)	Seattle, Bothell and DL (2000)
1998	C Programming	Distance Learning
1998	C++ Programming	Distance Learning
1998	*Data Communications	Distance Learning
1998	Project Management	Distance Learning
1998	*Teaching, Learning, and Technology	Distance Learning
1998	Writers' Program	Distance Learning
1998	Medical Engineering: Medical Devices and Commercialization	Bellevue
1998	*New Media (Web Content Development)	Seattle
1999	*Systems Analyst	Seattle
1999	*NT Administration	Seattle
1999	PERL Programming	Bellevue
1999	*Windows Programming	Bellevue
1999	Software Testing	Seattle, Olympia
1999	Database Management	Seattle, DL
1999	Visual Basic.NET Programming	Seattle
1999	Medical Engineering: Biosensors and Biometrics	Bellevue
1999	*E-Commerce and Web Marketing (E-Commerce: Starting an Internet Business) (E-Commerce Management)	Seattle, Distance Learning (2000)
1999	UNIX/Linux Administration	Seattle
1999	Web Database and E-Commerce Programming (Advanced Web Applications Development in 2003)	Seattle

1999	Forensics	Seattle
1999	Small Business Webmaster (Web Consultant for Small Business)	Seattle, DL
1999	Construction Management	Distance Learning
1999	*Curriculum Integration in Action	Distance Learning
1999	Data Resource Management	Distance Learning
1999	Gerontology	Distance Learning
1999	School Library Media Specialist (School Library Professional)	Distance Learning
1999	Web Administration	Seattle, DL
2000	*Sales Management	Seattle
2000	*Computer Aided Modeling	Seattle
2000	Basic Internet Programming (Internet Programming)	Distance Learning
2000	Facilities Management (Facility Management)	Distance Learning
2000	JAVA 2 Programming (JAVA 2 Enterprise Programming)	Distance Learning
2000	Small Business Webmaster (Web Consultant for Small Business)	Distance Learning
2000	Distance Learning Design and Development	Distance Learning
2000	Oracle Applications Development	Seattle/Bellevue
2000	*Software Localization (Localization in 2003)	Seattle
2000	*Technical Computing Using MATLAB	Distance Learning
2000	Intellectual Property Management	Seattle
2000	*XML Standards and Technologies	Seattle
2000	Biomedical Regulatory Affairs	Seattle
2000	Web Technology Essentials (Web Technology Solutions in 2003)	Seattle
2000	Nonprofit Management	Seattle
2000	*Creative Writing	Seattle/Distance L
2000	Object Oriented Analysis and Design Using UML	Bellevue/Dist. L
2000	*Playwriting	Seattle
2000	*Computing and Software Systems	Seattle/Bothell, DL
2000	Data and Internet Security (IT Security)	Seattle
2000	Drawing and Painting	Seattle
2000	*Introduction to Screenwriting	Seattle
2000	Writing the Screenplay (Screenwriting)	Seattle
2001	*Internet Marketing	Seattle
2001	Embedded and Real Time Systems	Seattle, DL
2001	Business for International Professionals	Seattle
2001	Brain Research in Education	Distance Learning
2001	*Teaching in the Multicultural Classroom	Seattle
2001	Arts Management for the Performing Arts	Seattle
2001	*Business Advantage	Seattle
2001	*Technical Trainer	Bellevue
2001	*Site Planning	Distance Learning
2001	*Advanced Drawing and Painting	Seattle
2001	Editing	Seattle
2001	Child and Adolescent Mental Health	Bellevue
2001	*Fundamentals of Electrical Engineering	Distance Learning
2001	Geriatric Mental Health	Bellevue
2001	*Human Services Management	Bellevue
2001	*Time-Effective Therapies	Bellevue
2001	*Windows 2000 Server Administration	Seattle
2001	Environmental Law and Regulation	Seattle
2001	*Danforth Principals	Seattle
2002	Game Development	Seattle
2002	*Game Animation	Seattle
2002	*Business Foundations	Distance Learning

2002	Private Investigation	Seattle
2002	Heavy Construction Project Management	Distance Learning
2002	*Supervision	Seattle
2002	Clinical Trials	Seattle
2002	*Land Use Law	Seattle
2003	*Management Decision Making	Seattle
2002	Design Firm Leadership and Management	Seattle
2002	Global Supply Chain Management	Seattle
2003	Sports Management	Seattle
2003	User –Centered Design	Seattle
2003	*Linguistic Diversity in the Classroom	Seattle
2003	Infrastructure Construction	Distance Learning
2003	House as Architecture	Seattle
2003	*3D Rapid Prototyping	Seattle
2003	*Romance Writing	Seattle
2003	Content Management	Seattle
2003	*Advanced Oracle Applications Development	Seattle
2003	Wireless Communications Technology	Bellevue
2003	*Broadcast Media	Seattle
2003	Sports Medicine and Human Performance	Seattle
2004	Art for the Children’s Market	Seattle
2004	Songwriting	Seattle
2004	Program Evaluation	Seattle
2004	Senior Project Manager	Bellevue
2004	Java 2: Web and Distributed Application Development	Bellevue
2004	Addiction and the Brain	DL
2005	*Advanced Education in Trauma Treatment	Seattle
2005	Computer Forensics	Seattle
2005	Information Assurance & Cybersecurity	Seattle
2006	Advanced 3D Art for Games	Seattle
2006	Appraisal of Fine and Decorative Art	Seattle
2006	Basic Medical Sciences	Bellevue, Seattle
2006	Biosensors and Biomaterials	Bellevue
2006	Biotech Project Management	DL
2006	Building Rich Multimedia Applications	Seattle
2006	Business Development	Seattle
2006	Quantitative Construction Management	DL
2006	Emerging Infections and Biopreparedness	DL
2006	Fiber Arts	Seattle
2006	Figure and Portrait Art	Seattle
2006	Healthcare Corporate Compliance	Seattle
2006	Health Research Methods	DL
2006	Independent Filmmaking	Seattle
2006	Infrastructure Integration	Bellevue
2006	Intro to 3D Art for Games	Seattle
2006	Managing for High Performance	Seattle
2006	Mathematics—Science Integration	DL
2006	Medical Devices and Commercialization	Bellevue
2006	Medical Diagnostics	Bellevue
2006	Natural Science Illustration	Seattle
2006	Psychological Trauma: Effective Treatment and Practice	Bellevue
2006	SQL Server Specialist	Seattle, Bellevue
2006	Systems Analysis	Seattle, Bellevue

2006	Technology Commercialization	Seattle
2006	Therapeutic Gardens	Seattle

* Certificate program is inactive

May 30, 2007

OVERSEAS INSTITUTIONS WITH UWEO RELATIONSHIP

Abu Dhabi

UAE Academy

China

Sichuan University (now Western China University), Chengdu

China Medical University, Shenyang

South Central Medical University, Chengsha

Southwestern University of Science and Technology

Western China University of Medical Sciences

Zhejiang University

Germany

University of Dresden

Hong Kong

Chinese University: Chung Chi College

Japan

Bunka Women's University

Fukuoka International University

Fukuoka Law University

Hachinohe Institute of Technology

Himeji Institute of Technology

Hiroshima International University

Hokkaido University of Education

ICC (International Cross-Cultural Committee) - Tokyo

IDCJ (International Development Center of Japan)

International Kagoshima University

Ishinomaki Senshu University

Japan Teachers (Council on International Educational Exchange)

Jissen Women's University

Kanagawa Institute of Technology

Kanazawa Gakuin University

Keio University

Kobe University

Kyushu University

Meijo University

Murata Girl's High School

Nihon University Department of Forestry

Ohu University

Osaka Graduate School

Ritsumeikan University

Seibudai High School

Setsunan University
Shibaura Institute of Technology Junior High School
Shimonoseki City University
Showa University
Tohoku Bunka Gakuen University
Tohoku Fukushi University
Tokyo Express International
Tokyo Joho University
Tokyo Kasai Gakuen Group
Tokyo Management College
Tokyo Rissho Junior College
Tokyo University of Pharmacy and Life Sciences
Total Health Education Network
Waseda University

Korea

Jeollanam-do Provincial Government
Kangwon National University
Keimyung University
Samsung Life
Sunkyong
Sunkyong Telecom
Posco – Pohang Steel Company
Yonsei University

Mexico

ITESM

Singapore

Nanyang Technological University

Taiwan

Fujen Catholic University
Kaohsiung Medical University
JENS Education Service

Vietnam

Danang University

FEE-BASED DEGREE PROGRAMS

Rationale and Purpose

Offer access to students when the State does not have adequate funds to subsidize all UW educational initiatives.

Location/Time of Day

Most programs offered in the evenings, summers and on the weekends to best utilize the UW facilities and cater to the needs of the student population.

Student Profile

Most students are working professionals with family and work responsibilities. Currently, UW offers five undergraduate majors and nearly 45 professional master and doctorate programs.

Admission Process

Admission process replicates the process for State-funded programs. Students must meet the standards established by the UW and the appropriate colleges, schools and departments.

Students Status

Students in fee-based degree programs have the same rights and access to the same services as all UW degree students (e.g. all pay the S&A fee, etc.).

Faculty

Faculty in fee-based degree programs represent the same faculty who teach in State-funded programs. Generally, the fee-based program funds additional FTE faculty, and the departmental faculty as a whole pledge to deliver the courses in a fee-based degree program.

Approval and Review

Fee-based degree programs must undergo the same approval and review processes as State-funded programs.

Revenue

The funding comes from the student fees.

- In the case of undergraduate programs, students pay approximately the same amount of money in fees as the undergraduate tuition. However, no tuition cap exists and students pay for each credit taken.

- In the case of graduate programs, fees have been established at market value after an analysis of market competition, industry demand and student demand. Many programs have a flat fee with no nonresident fee structure.

Student financial aid

- Some programs, especially the undergraduate programs, have some of the fees used to defer the cost for needy students.
- Fee-based students are eligible for federal and state financial aid programs.
- The Student First program can be used for fee-based students.

Program Expenses

Instructional

- Faculty
- Instructional materials
- Equipment and supplies (e.g. labs, etc.)
- Travel

Administrative: UWEO (program management and infrastructure)

- Planning and program development
- Budgeting
- Risk assessment
- Accounting and reporting
- Bad debt collection
- Third-party payments
- Market research
- Marketing
- Public relations
- Intellectual property management (online)
- Facilities for off-site programs
- Program management
- Registration
- Human resources
- Computing, database development and communications
- General operational questions
- Financial risk assumption

Administrative: Departmental (specific position funded by the fee-based degree)

- Advising
- Recruitment
- Application processing

Administrative: UW (15% overhead on gross revenues plus S&A and Technology fees)

- Facilities
- General infrastructure (e.g. electricity, networks)

- Libraries
- Financial aid
- Disability services
- S & A-covered services (e.g. Hall health, IMA, student legal services)
- UW police
- Graduate school: applications and review
- Registrar office
- General university administration

FEE-BASED DEGREE PROGRAMS

Principles and Guidelines

Introduction

THE FEE-BASED FUNDING MODEL OFFERS THE UNIVERSITY OF WASHINGTON A MECHANISM TO LEVERAGE STATE FUNDING TO HELP REALIZE ITS MISSION AND TAKE ADVANTAGE OF PROGRAMMATIC OPPORTUNITIES BY PROVIDING STUDENT ACCESS AND RESPONDING TO EMPLOYER NEEDS.

Principles

1. Fee-based programs should be consistent with the overall academic/fiscal plan and enrollment goals of all UW campuses.
2. The programs should be part of the general strategic plan of the university, the colleges, the schools and the departments.
3. The programs cannot lead to a decrease in opportunities for state-subsidized students.
4. Fee-based programs should be considered an integral part of the UW.
5. The faculty/department/college has the responsibility to maintain the same quality standards for educational programs regardless of funding source.
6. The fee-based degrees will enhance rather than detract from the brand name of the specific academic units and the UW.
7. The UW depends upon a strategic mix of funding sources, including the fee-based educational model, to achieve its goals.
8. A fee-based degree should cover instructional costs, risk/opportunity costs, additional fees (e.g. the technology and S&A fees), marginal space costs and a contribution to UW overhead, which should be reassessed over time.

Guidelines

Approvals and Coordination

1. The program must have all the necessary University and state approvals (e.g. HEC Board).
2. Fee-based degree initiatives, as with state-funded proposals, will be coordinated by the Provost's Office, and approved by the Provost.
3. The fee-based degrees should be coordinated on a three-campus basis.

Financial

1. A variety of funding models may be possible, including mixed models with transitional funding and/or partial state funding. The decision to establish a partial state-funded or hybrid funding model will be determined on an individual basis for each program.
2. The fee-based degree must have an approved budget with target enrollment projections.
3. A risk-sharing agreement should be created for each program.
4. Program fees should be increased over time to support increases in instructional and administrative costs, should be reviewed annually and approved by the Provost.
5. If a positive financial contribution is realized, it will be returned to the colleges/schools and the departments that offer the program.
6. A degree should not be transitioned from a state-funded to a fee-basis to the detriment of the overall UW budget.

Students

1. Fee-based students must commit to a curriculum in the fee-based degree program into which they are admitted.
2. Fee-based students will be allowed to take no more than one state-funded class on a space-available basis during their matriculation and will pay for this course on a per credit basis through UWEO at the rate established for credits in the fee-based degree. The tuition generated by fee-based students in a state-funded course will be applied to the revenues of the fee-based degree program.
3. State-funded students generally can take courses in a fee-based degree program on a space-available basis only. The course limit in each fee-based course will be established by the instructor/department.

Planning

1. A fee-based degree must have an approved plan for such issues as faculty and staff resources, faculty status, pricing, faculty and staff workspace, start date, curriculum, and student/faculty/course support services. The plan should cover the program from start-up to steady state.
2. The decision to hire new tenure-track faculty positions in a fee-based degree program must be based upon the willingness and ability of the college or school to guarantee these positions on a long-term basis through retirements, vacancies and other means. The college or school must demonstrate an exit strategy to manage the risk of tenure-track faculty in case of program termination. All decisions to hire tenure-track faculty in fee-based programs must also be approved by the Provost.
3. The fee-based degree must have a robust market(s) as determined by market studies and must attract an agreed-upon minimum number of applicants in the first year before the program can begin.
4. An exit strategy must be developed to anticipate program failure to achieve its academic goals and/or financial requirements. The exit strategy should include clear responsibility for possible tenured faculty in the case of program termination. Students already enrolled

in fee-based degree programs must have the opportunity to finish their degree program, if the program is terminated.

5. The program plan and financial agreement for risk should be evaluated at least every five years.

**Health Informatics and Health Information Management (HIHIM)
2007-08 Budget**

Instructional Program Expenditures:

Full-Time Faculty	\$204,029
Auxiliary Faculty	
Teaching Assistants	
Research Assistants	
Classified Staff	17,375
Professional Staff	2,500
Hourly Instructors	<u>38,000</u>

Total Salaries \$261,904

Personal Service Contracts and Other Purchased Services 24,296

Other Contractual Services 29,200

Travel 2,000

Supplies and Materials 2,000

Equipment 2,500

Total Benefit Costs (based on salaries) 59,896

Total Instructional Expenditures \$381,796

UWEO Administration

Program Management	22,500
UWEO Infrastructure	7,330
Risk-Opportunity Fund	0%
UW Overhead	15% <u>78,641</u>

108,471

Total Expenditures

\$490,267

Revenue

	Average	
	Per	
	Student	
	<u>Revenue</u>	
Students	\$19,275	27
Courses per student		17
Credit Hours per Course		4
Course Fee per Credit Hour		<u>\$278</u>

Net Revenue

\$524,274

Program Income to the Department

\$34,007

TUITION EXEMPTION

Current Policy

- Enabled by RCW 28B.15.558 for state employees
<http://apps.leg.wa.gov/RCW/default.aspx?cite=28B.15.558>
- Other RCWs enable tuition waivers for other groups (e.g. veterans)
- Operationalized by Administrative Policy Statement 22.1, section 3
<http://www.washington.edu/admin/rules/APS/22.01.html>

Rationale for exemption of fee-based degree programs

Most programs would not be able to sustain themselves through fees.

- Example

Implications for fee-based degree programs

- Very difficult to budget for tuition exempt students.
- Given their audience and time of day, a greater number of tuition exempt students would apply to these programs.
- Difficult to enforce tuition exemption on a space-available basis for degree-seeking students.
- Some programs would have a majority of tuition exempt students (e.g. social work, education, etc.).
- Tuition exemption does not necessarily equal financial need.
- Some tuition exempt students have other sources of fees (e.g. veteran's benefits, social workers have Title 5 funds, etc.).

Possible actions

- No change.
- Eliminate the programs and access to a majority of the students.
- Fund tuition exemptions centrally.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Trends in College Student Mental Health

College students' lives outside the classroom are enormously important to their overall educational experience. Specifically, health and mental health factors have well-demonstrated and significant impact on students' retention, academic achievement, successful completion of developmental tasks, and on the quality of their experience. This discussion will include a review of recent national trends and issues in college student mental health, as well as a discussion of University of Washington students' health and mental health issues and how we as a campus community are responding.

General Health Issues Affecting College Students

There are many reasons for paying attention to student health, but we especially focus on health as a factor in promoting academic success. Because most college students are free of chronic disease, health concerns of students are more weighted to mental health and acute disease than the rest of the adult population.

The following table summarizes the top ten health-related factors affecting students' academic performance within the previous school year (American College Health Association, 2004).

	<u>UW</u>	<u>WA State</u>	<u>National</u>
1. Stress	37.0%	33.8%	32.4%
2. Sleep difficulties	27.7%	25.9%	24.6%
3. Cold/flu/sore throat	26.1%	27.5%	25.6%
4. Relationship difficulty	17.4%	15.3%	15.9%
5. Concern for a troubled friend or family member	17.4%	17.9%	18.1%
6. Internet use/computer games	16.6%	11.1%	13.4%
7. Depression/anxiety disorder/ seasonal affective disorder	16.1%	16.5%	15.3%
8. Death of a friend/family member	7.9%	8.0%	8.6%
9. Sinus infection/ear infection/bronchitis/strep throat	7.7%	9.5%	8.5%
10. Alcohol use	7.5%	7.2%	7.6%

While the above information contains the most common issues, we are often more concerned about serious health-related outcomes. For example, the following information displays mortality patterns of college-age people in the US.

- Mortality triples from age 12-17 to 18-24: 45 per 100,000 to 135 per 100,000
- Mortality of males is nearly triple that of females in age 18-24: 138 per 100,000 vs. 48 per 100,000

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Trends in College Student Mental Health (continued p. 2)

- Specific causes of mortality in college-age people include:
 - Injury (45% male, 44% female)
 - Homicide (20% male, 8% female)
 - Suicide (14% male, 4% female)
 - Other – includes all illnesses (21% male, 44% female)

College freshmen are uniquely vulnerable. Despite making up only 24% of students (USA Today study, 2006), they account for more than a third of undergraduate deaths (for undergraduates, freshmen make up 40% of deaths from natural causes, 40% of suicides, half of deaths from falls, 47% of those who die on school property). Last year:

General Health Trends at UW/Hall Health's Role

At Hall Health, we consider ourselves specialists in the unique needs of college students, and like many universities, we are seeing more demand for services.

Hall Health's mission is to facilitate each student's academic success by:

- Providing health care that is high quality, easily accessible and affordable
- Promoting health and wellness
- All UW students may receive subsidized health care services at Hall Health (non-students may use Hall Health on a fee-for-service basis, generating additional earned revenues that reduce cost of care for students).
 - 64% of the enrolled student body received service at Hall Health
 - 66% of Hall Health's 62,806 visits were by students (5% increase from FY05)

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Trends in College Student Mental Health (continued p. 3)

At Hall Health, we are actively working toward a future with even more effective health services, including:

- Access innovations: true open access; electronic access
- Quality improvements by focus on results
- Increased ability to provide mental health services
- Increased wellness programming
- Supporting UW global initiatives
- Deeper understanding of health of UW students
 - *ALL* students, not just those who present for care
 - Adopt a population approach

Mental Health Issues Affecting College Students

National Trends in College Mental Health in the United States

Over the last 15 years we have seen an increase in demand for services, severity of presenting concerns, and higher numbers of clients on medication, resulting in increased public and higher education administrators' awareness of mental health issues among U.S. college students. Possible contributing factors include:

- earlier diagnosis and treatment;
- the ADA's impact on college populations;
- reduction in community mental health resources;
- increased diversity of students;
- improvement of medications;

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Trends in College Student Mental Health (continued p. 4)

- more referrals from college faculty and staff;
- unknown variables increasing incidence of certain diagnoses (e.g., depression); and
- the fact that some disorders first manifest in late adolescence.

UW Mental Health Trends/Counseling Center's Role

Academic success is tied to mental and physical health. Retention of students and successful counseling are highly correlated. University of Washington students' mental health concerns are consistent with national trends (e.g., most factors students identify as interfering with academic success are related to mental health, substance abuse, or interpersonal problems).

Counseling Center and Mental Health—Treatment and Prevention

After a 30-year history of charging fees for counseling center services, the UW Counseling Center eliminated fees for the intake and first three sessions (2005-06) and then for the intake and first five sessions (2006-07) when the Center received funding from the Services and Activities Fee. For the first two quarters of the school year, the Center showed the following increases in utilization of clinical services:

- Intakes: 98% increase over two years
- Number of clients served: 51% increase over two years

The Counseling Center supports the University community in all levels of prevention to maximize the effects of resources.

- Clinical Services—treatment of existing problems; emergency services
- Developmental Services—career counseling, developmental workshops, outreach, teaching—to enhance skills in preventing problems, assist healthy emotional/social development and support academic progress

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Trends in College Student Mental Health (continued p. 5)

- Preventive Services—the Suicide Prevention Program (with Hall Health); training of key staff (resident advisers, career advisers, faculty) to enhance referral and student assistance skills; Counselor on Duty consultation with staff, faculty, students, families re: students of concern; collaboration with other service providers (Sexual Assault and Relationship Violence Information Service, Hall Health, Career Services, University Hospitals); Web-based mental health and developmental services

The key goals for the Counseling Center over the next few years include:

- To continue to offer, evaluate, and refine excellent services across all service areas
- To add workshops in developing areas of concern
- To enhance Web-based services and resources
- To explore alternatives for funding and support for student mental health needs
- To enhance our understanding of students' mental health and developmental needs and our understanding of how we can collaborate in enhancing the UW environment to assist students' health and development

**University of Washington
Board of Regents
Resolution of Appreciation to
Jennifer K. Faubion**

WHEREAS, in accordance with Washington state law, Governor Chris Gregoire appointed third-year law student Jennifer K. Faubion, to serve the prescribed one-year term, from July 1, 2006 to June 30, 2007, as the student member of the University of Washington Board of Regents; and

WHEREAS, as a 2003 alumna of the University of Washington, majoring in history, she brought to her Regental responsibilities a deep appreciation and affection for her alma mater; and

WHEREAS, her experience with student government, as a senator for four years, as legislative director for the Associated Students of the University of Washington (ASUW), and as a member of the Services and Activities Fee Committee has contributed significantly to the enhancement of student life on campus; and

WHEREAS, she has served the Board with great distinction, superbly representing the students of the University and bringing to the Board the perspective of a deeply thoughtful, well-informed, exceptionally considerate student, with a strong commitment to the pursuit of excellence in all aspects of University life; and

WHEREAS, her pledge to communicate continuously with students about issues before the Board and to ensure that her views were informed by those of her fellow students made her an especially effective and approachable Regent; and

WHEREAS, her quick, sharp and penetrating intelligence, her exceptional public speaking capabilities and her highly developed work ethic have all contributed significantly to the work of the Board and her vital part in it; and

WHEREAS, her engaging personality, calm demeanor, and unwavering devotion to her responsibilities have made her a truly exemplary Regent, colleague, and friend;

NOW, THEREFORE, BE IT RESOLVED: That the members of the Board of Regents express to Jennifer Faubion their gratitude on behalf of the entire University community, in particular the student body, and their own personal thanks for her exceptional service, dedication, and hard work, that we wish her continued success and happiness on all her future endeavors, and that this resolution be spread upon the minutes of the Board as a permanent record of the Board's sincere appreciation.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

UW

Report of Contributions

University of Washington
University of Washington Foundation

April, 2007

NOTES AS OF APRIL 30, 2007

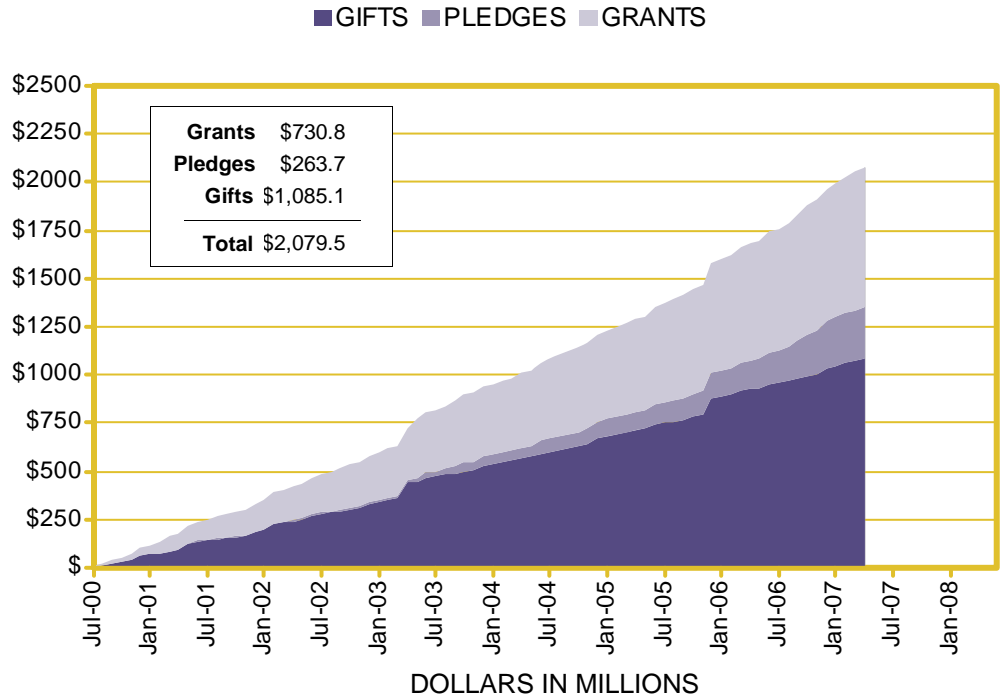
DATA POINTS

\$2,079,534,257 has been raised toward our campaign goal of \$2.5 billion.

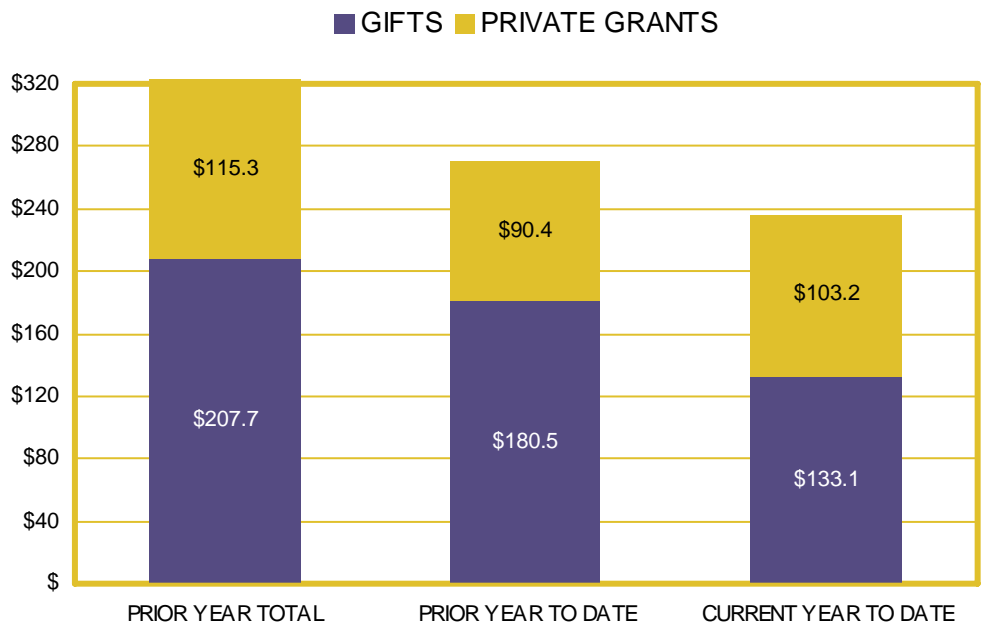
The UW received \$19.0 million in total private voluntary support (\$8.6 million in gifts and \$10.4 in grants) in April.

Areas including Arts and Sciences, Broadcast Services, Business School, Education, Evans Schl. of Pub. Affairs, Graduate School, Information School, Intercollegiate Athletics, Nursing, Pharmacy, Public Health, Scholar. & Student Progs., Social Work, UW Alumni Association and UW Tacoma are ahead of last year's year-to-date totals.

FUNDRAISING PROGRESS SINCE JULY 1, 2000



CURRENT GIFT AND PRIVATE GRANT TOTALS



APRIL 2007 GIFTS AND IMPACT

Selected gifts representing private support for one of the University of Washington's key fundraising priorities -- student, faculty, program and facility support.

John and Shari Behnke - \$10,004 to the Henry Art Gallery

- This gift will provide general program support to the Henry through the Henry Art Gallery Association Fund.
- The first public art museum in the state of Washington, the Henry Art Gallery is one of the region's premier museums of contemporary art. It is centrally important to the University of Washington's commitment to enriching the community through the arts.
- Private support helps the Henry reach campus constituents and the community beyond with works from local and national artists that might otherwise remain undiscovered.
- The Behnkes, known for their activity in the local art community, have been involved with the Henry Art Gallery for many years. John Behnke is the Henry's incoming board Chair.

Donald D. Fleming - \$50,132 to the Law School

- This pledge payment will help to establish the Donald D. Fleming Endowed Scholarship to help School of Law graduate students with financial need to fund the cost of their educations.
- Donald Fleming graduated from the UW School of Law after earning his BA in Economics at the University of Washington and serving in the U.S. Army during World War II. For more than 50 years, he practiced general law in Seattle and Bellevue.
- When the Fleming Endowed Scholarship is fully funded at \$100,000 and invested, it will receive \$50,000 in matching funds under the University's 'Students First' program.
- The Students First matching initiative provides additional monies to new need-based scholarship and fellowship endowments established for undergraduate, graduate and professional students on any of the University's three campuses.

Dr. Beverly Morgan - \$73,000 to UW Medicine

- This gift to the Beverly C. Morgan Endowed Fellowship in Pediatric Cardiology will fund fellowships in the UW Department of Pediatrics.
- Congenital heart disease is one of the most common birth defects in the United States today, afflicting 30-35,000 children each year in the U.S.
- The University of Washington Department of Pediatrics is a partnership between Children's Hospital and the UW, providing nationally ranked patient care, teaching, and research.
- Dr. Morgan, a former Chair of Pediatric Medicine, is also responsible for funding the Beverly Morgan Chair in Pediatric Medicine. This endowment supports the current head of the Department of Pediatrics, Dr. Bruder Stapelton.





REPORT OF CONTRIBUTIONS

April 2007

CAMPAIGN UW: CREATING FUTURES

Report prepared by: Office of Development and Alumni Relations
Advancement Services, Information Management

5/7/2007 10:44 AM

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*All Campaign totals represented are from July 1, 2000 through the end of the preceding calendar month. Please note that grant revenue totals in Campaign Reports may contain clinical trials. Fundraising totals from all affiliated non-profit organizations are also included in UW Campaign totals.

The UW Campaign Executive Committee developed the counting policy for Campaign reports, based on Council for Advancement and Support of Education (CASE) campaign counting standards.

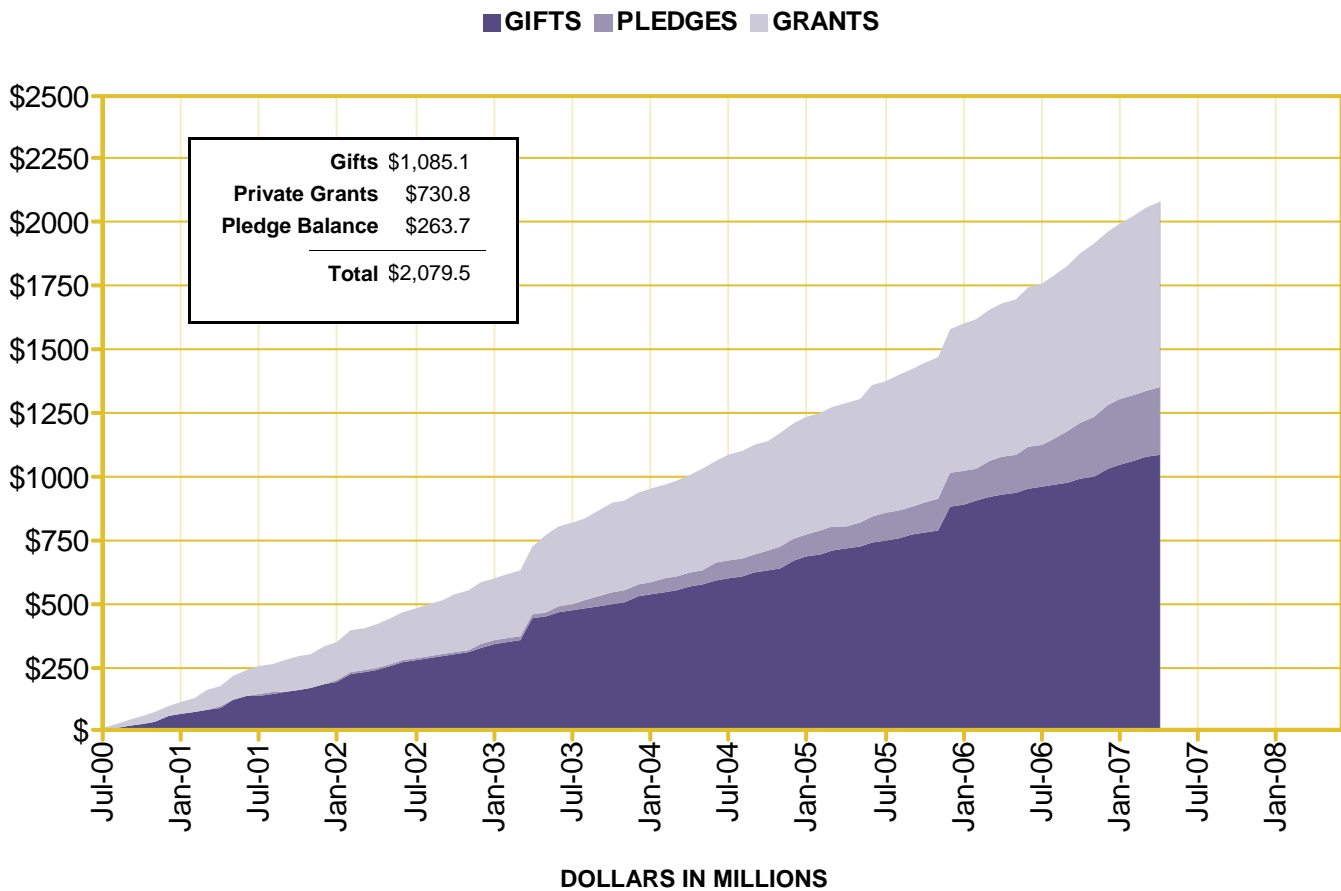
Annual reporting is July 1, 2006 through the end of the preceding calendar month.

Job Number: 74963
April 2007

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CAMPAIGN PROGRESS SINCE JULY 1, 2000



Source: UW Office of Development

Summarizes Total Private Voluntary Support since July 1, 2000. Testamentary Commitments included in Pledge Balance total. All dollar totals in millions.

Job Number: 74963
 April 2007

Fundraising Progress Since July 1, 2000



CAMPAIGN PROGRESS BY GIVING LEVEL

DOLLARS RAISED							
Gifts, Pledges & Grants							
Giving Level *	Alumni	Non Alumni	Family Fndns.	Corporations	Foundations	Other Orgs.	Total
\$100M+	\$0	\$35,220	\$249,006,747	\$0	\$0	\$0	\$249,041,967
\$50M - \$99,999,999	\$0	\$0	\$0	\$0	\$0	\$0	\$0
\$10M - \$49,999,999	\$44,750,434	\$76,832,350	\$33,972,500	\$70,963,896	\$103,129,489	\$39,319,592	\$368,968,261
\$5M - \$9,999,999	\$36,028,935	\$10,313,122	\$0	\$51,265,975	\$45,261,542	\$69,144,247	\$212,013,822
\$1M - \$4,999,999	\$130,360,559	\$69,124,223	\$32,564,780	\$123,614,530	\$110,279,085	\$90,857,224	\$556,800,402
\$100,000 - \$999,999	\$100,099,155	\$74,374,025	\$24,212,229	\$130,494,379	\$65,848,982	\$59,109,494	\$454,138,264
\$25,000 - \$99,999	\$27,470,790	\$20,691,661	\$2,874,512	\$31,430,950	\$7,713,346	\$10,631,389	\$100,812,648
\$10,000 - \$24,999	\$20,183,214	\$14,379,638	\$880,570	\$10,418,120	\$1,768,364	\$2,293,645	\$49,923,552
\$5,000 - \$9,999	\$12,867,033	\$9,623,105	\$280,224	\$4,941,534	\$571,215	\$751,464	\$29,034,576
\$2,000 - \$4,999	\$9,547,184	\$7,751,619	\$67,557	\$3,319,836	\$262,145	\$484,008	\$21,432,349
\$1,000 - \$1,999	\$6,000,308	\$4,805,320	\$24,491	\$1,449,647	\$81,255	\$233,711	\$12,594,733
\$500 - \$999	\$5,420,027	\$4,039,366	\$4,960	\$712,040	\$31,666	\$94,610	\$10,302,668
\$250 - \$499	\$3,550,854	\$2,270,678	\$1,150	\$303,642	\$11,905	\$43,435	\$6,181,663
\$100 - \$249	\$3,032,462	\$2,123,006	\$2,665	\$287,838	\$7,262	\$32,959	\$5,486,193
\$1 - \$99	\$1,441,028	\$1,275,035	\$189	\$74,832	\$1,096	\$10,979	\$2,803,160
Total	\$400,751,984	\$297,638,370	\$343,892,574	\$429,277,219	\$334,967,353	\$273,006,756	\$2,079,534,257
DONOR COUNTS							
Giving Level	Alumni	Non Alumni	Family Fndns.	Corporations	Foundations	Other Orgs.	Total
\$100M+	0	2	1	0	0	0	3
\$50M - \$99,999,999	0	0	0	0	0	0	0
\$10M - \$49,999,999	12	8	4	5	6	3	38
\$5M - \$9,999,999	8	7	0	7	7	9	38
\$1M - \$4,999,999	108	100	16	58	48	46	376
\$100,000 - \$999,999	578	645	75	480	199	191	2,168
\$25,000 - \$99,999	1,099	1,106	70	687	157	210	3,329
\$10,000 - \$24,999	2,428	2,272	61	782	121	155	5,819
\$5,000 - \$9,999	3,303	3,123	46	832	97	123	7,524
\$2,000 - \$4,999	5,097	5,423	24	1,268	91	176	12,079
\$1,000 - \$1,999	6,750	6,845	21	1,312	68	203	15,199
\$500 - \$999	10,909	10,458	11	1,293	51	161	22,883
\$250 - \$499	12,987	10,826	4	1,053	38	140	25,048
\$100 - \$249	23,471	22,044	18	2,228	52	256	48,069
\$1 - \$99	40,601	45,266	4	1,920	25	309	88,125
Total	107,351	108,125	355	11,925	960	1,982	230,698

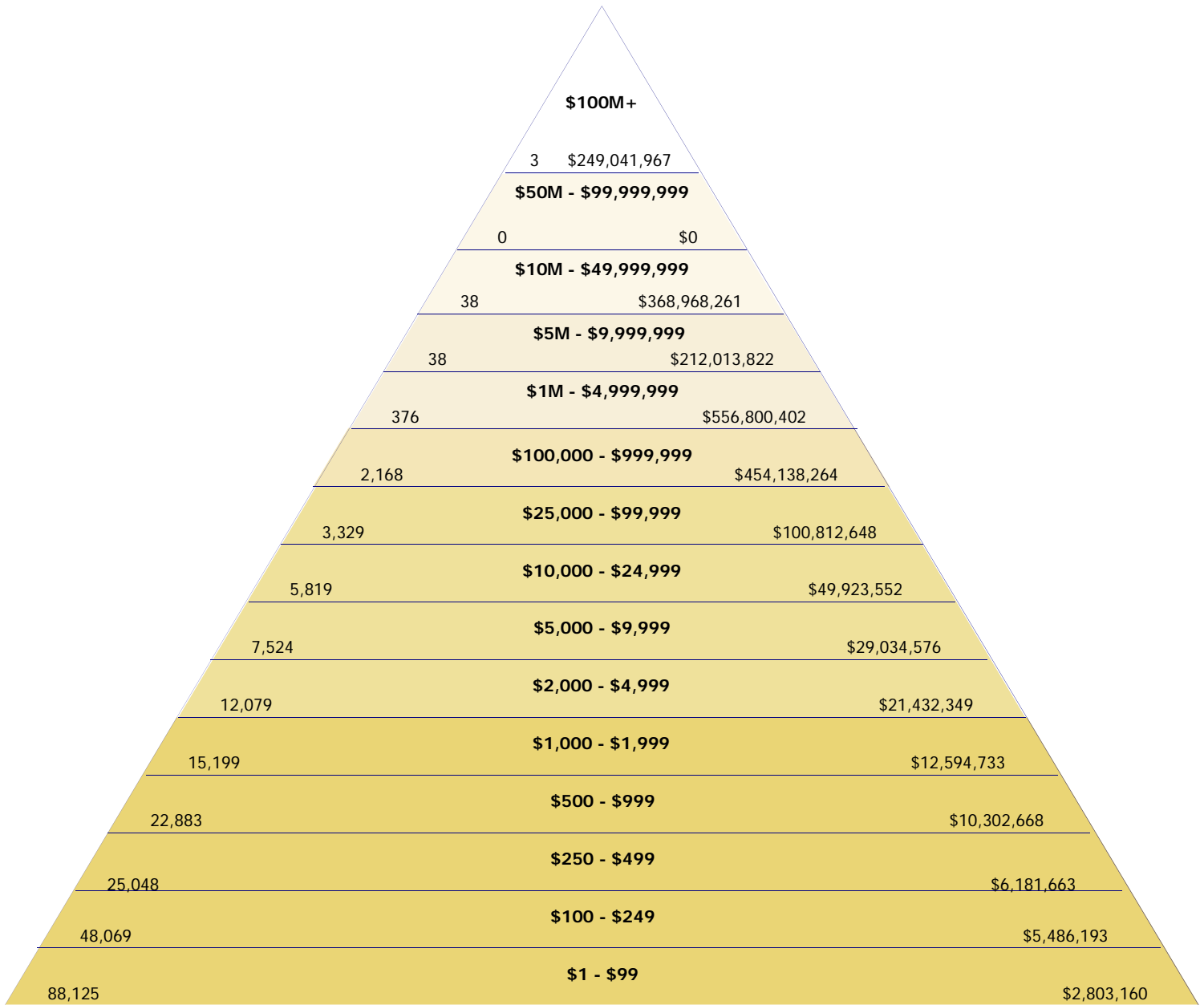
Source: UW Office of Development & Alumni Relations

This report shows the count of distinct donors and campaign total by giving level and donor type since July 1, 2000.

**"Giving Level" is determined by summing all gift record types (including grants)



CAMPAIGN PROGRESS BY PYRAMID, SINCE JULY 1, 2000



230,698 Donor Count

Campaign Total: \$2,079,534,257

*Campaign Working Goal: \$2,500,000,000

Percent Complete: 83.2%

Source: UW Office of Development

The counts of distinct donors and fundraising totals by giving level are shown.

*Unit campaign goals are still being finalized.

Pyramid Levels are determined by summing all gift record types (including grants)



CAMPAIGN PROGRESS BY CONSTITUENCY

	GIFTS	Irrevocable Deferred Gifts		PLEDGES	Testamentary Commitments		GRANTS	Campaign - Private Voluntary Support		
		Donor Value	Discount Value		Donor Value	Discount Value		TOTAL	Working Goal	% of Goal
UW Medicine	\$292,799,555	\$11,624,834	\$6,500,110	\$44,385,706	\$29,301,186	\$10,576,273	\$374,776,651	\$752,887,932	\$1,000,000,000	75.3%
Architecture	\$7,943,709	\$2,244,117	\$663,889	\$1,253,154	\$2,225,000	\$1,294,992	\$3,991,304	\$17,657,284	\$19,000,000	92.9%
Arts and Sciences	\$109,575,397	\$6,587,607	\$4,293,595	\$9,318,242	\$39,054,000	\$25,851,354	\$73,048,888	\$237,584,135	\$240,000,000	99.0%
Broadcast Services	\$48,980,594	\$0	\$0	\$15,695	\$0	\$0	\$0	\$48,996,290	N/A	N/A
Business School	\$63,369,456	\$1,025,743	\$702,307	\$38,361,986	\$7,905,271	\$5,411,103	\$368,335	\$111,030,790	\$135,000,000	82.2%
Dentistry	\$8,015,634	\$246,992	\$136,080	\$2,023,496	\$775,000	\$431,459	\$4,226,861	\$15,287,983	\$15,000,000	101.9%
Education	\$11,007,635	\$28,552	\$25,515	\$2,736,025	\$2,050,000	\$1,261,668	\$14,960,471	\$30,782,682	\$23,000,000	133.8%
Engineering	\$134,624,199	\$2,659,904	\$1,410,636	\$7,174,099	\$5,794,691	\$2,395,023	\$80,847,483	\$231,100,376	\$250,000,000	92.4%
Evans Schl. of Pub. Affairs	\$11,734,217	\$81,135	\$84,921	\$1,287,983	\$500,000	\$265,793	\$39,791,498	\$53,394,832	\$40,000,000	133.5%
Forest Resources	\$9,782,115	\$646,572	\$522,374	\$82,460	\$2,815,000	\$1,535,284	\$3,814,150	\$17,140,296	\$17,700,000	96.8%
Friday Harbor Labs	\$6,190,709	\$153,242	\$78,775	\$186,993	\$5,255,000	\$2,978,876	\$862,743	\$12,648,687	\$12,000,000	105.4%
Information School	\$3,133,782	\$25,000	\$8,102	\$97,958	\$100,000	\$64,666	\$1,420,959	\$4,777,700	\$5,000,000	95.6%
Intercollegiate Athletics	\$107,558,418	\$246,570	\$168,346	\$3,784,869	\$210,000	\$133,886	\$0	\$111,799,857	\$110,000,000	101.6%
Law	\$60,203,005	\$70,832	\$38,401	\$866,722	\$674,988	\$417,533	\$1,144,242	\$62,959,789	\$70,000,000	89.9%
Libraries	\$6,519,191	\$442,146	\$356,155	\$105,228	\$1,827,000	\$799,675	\$475,708	\$9,369,272	\$9,000,000	104.1%
Nursing	\$10,614,976	\$3,295,000	\$2,399,578	\$1,099,627	\$3,322,799	\$1,693,681	\$8,548,437	\$26,880,839	\$24,000,000	112.0%
Ocean and Fisheries	\$13,909,073	\$5,812,500	\$2,684,083	\$45,486	\$0	\$0	\$26,270,679	\$46,037,738	\$34,000,000	135.4%
Pharmacy	\$9,632,033	\$0	\$0	\$636,542	\$0	\$0	\$5,067,236	\$15,335,811	\$10,260,000	149.5%
President's Funds	\$5,856,072	\$698,472	\$561,864	\$408,320	\$3,230,000	\$1,543,929	\$0	\$10,192,864	N/A	N/A
Public Health	\$14,026,728	\$50,259	\$32,171	\$894,229	\$0	\$0	\$66,681,013	\$81,652,229	\$90,000,000	90.7%
Scholar. & Student Progs.	\$40,478,691	\$1,365,684	\$633,592	\$1,991,789	\$6,668,333	\$3,480,903	\$1,864,332	\$52,368,829	\$40,000,000	130.9%
Social Work	\$6,187,618	\$290,566	\$158,012	\$6,060,326	\$0	\$0	\$6,552,994	\$19,091,505	\$10,000,000	190.9%
University Press	\$3,633,021	\$108,239	\$84,817	\$15,500	\$0	\$0	\$0	\$3,756,760	\$3,000,000	125.2%
University Support	\$36,588,124	\$1,858,394	\$1,011,102	\$5,853,188	\$12,050,000	\$5,339,222	\$15,275,894	\$71,625,600	N/A	N/A
UW Bothell	\$2,526,379	\$0	\$0	\$53,968	\$0	\$0	\$750,500	\$3,330,847	\$5,700,000	58.4%
UW Tacoma	\$20,402,881	\$195,000	\$175,352	\$8,440,192	\$2,725,000	\$1,535,533	\$80,257	\$31,843,330	\$35,000,000	91.0%
All UW Total	\$1,045,293,215	\$39,757,359	\$22,729,777	\$137,179,781	\$126,483,268	\$67,010,853	\$730,820,634	\$2,079,534,257	\$2,500,000,000	83.2%

Source: UW Office of Development

Fundraising progress toward campaign working goals by constituency area (school/college/program). Campaign total is the sum of gifts, grants, active pledges and donor values of irrevocable deferred gifts and testamentary commitments. "N/A" is not applicable. 1 - "Pledges" are those in active status only. 2 - "Grants" are private grants only. 3 - Unit campaign working goals are still being finalized.

Job Number: 74963
April 2007

Campaign Progress by Constituency - Since July 2000



CAMPAIGN FUNDING THEME PROGRESS - JULY 2000 - PRESENT

THEME	CURRENT USE	ENDOWMENT	TOTAL
Student Support	\$100,366,450	\$176,346,716	\$276,713,167
Faculty Support	\$91,314,846	\$134,847,637	\$226,162,483
Program Support for Faculty and Students	\$946,589,642	\$134,050,894	\$1,080,640,537
Capital	\$287,175,147	\$1,778,269	\$288,953,415
Unrestricted	\$174,984,244	\$32,080,410	\$207,064,655
Total	\$1,600,430,330	\$479,103,927	\$2,079,534,257
Goal	\$2,000,000,000	\$500,000,000	\$2,500,000,000
% to Goal	80.0%	95.8%	83.2%

Source: UW Office of Development

This report shows contribution totals by campaign theme/priority since July 1, 2000.

Job Number: 74963
 April 2007

Campaign Theme Progress



DEVELOPMENT SUMMARY - TOTAL PRIVATE VOLUNTARY SUPPORT

AREA	YEAR TO DATE DONOR VALUES		
	GIFTS	PRIVATE GRANTS	TOTAL
UW Seattle			
UW Medicine	\$25,416,353	\$57,487,476	\$82,903,829
Architecture	\$925,027	\$269,381	\$1,194,408
Arts and Sciences	\$16,424,651	\$9,282,853	\$25,707,504
Broadcast Services	\$7,594,236		\$7,594,236
Business School	\$9,780,051	\$28,347	\$9,808,398
Dentistry	\$1,107,001	\$380,561	\$1,487,563
Education	\$1,198,415	\$2,180,031	\$3,378,446
Engineering	\$11,763,581	\$10,126,863	\$21,890,445
Evans Schl. of Pub. Affairs	\$2,327,562	\$3,618,935	\$5,946,497
Forest Resources	\$1,252,546	\$419,864	\$1,672,410
Graduate School	\$1,543,580	\$784,346	\$2,327,926
Information School	\$404,883	\$173,724	\$578,607
Intercollegiate Athletics	\$16,923,631		\$16,923,631
Law	\$1,535,352	\$154,700	\$1,690,052
Libraries	\$1,058,559		\$1,058,559
Nursing	\$5,131,664	\$725,417	\$5,857,081
Ocean and Fisheries	\$2,117,149	\$3,877,777	\$5,994,927
Pharmacy	\$1,326,671	\$811,732	\$2,138,403
President's Funds	\$497,270		\$497,270
Public Health	\$569,727	\$8,121,206	\$8,690,934
Scholar. & Student Progs.	\$11,091,335	\$1,000,000	\$12,091,335
Social Work	\$4,198,143	\$1,272,723	\$5,470,866
UW Alumni Association	\$942,539		\$942,539
University Press	\$1,719,454		\$1,719,454
University Support	\$3,932,752	\$2,479,622	\$6,412,374
UW Bothell	\$351,350		\$351,350
UW Tacoma	\$1,976,701	\$5,567	\$1,982,268
All UW Total	\$133,110,195	\$103,201,128	\$236,311,323

Source: UW Office of Development

Contribution totals for the major Development areas of the University are shown.

Job Number: 74963

April 2007

Development Area Summary - Total Private Voluntary Support



DEVELOPMENT SUMMARY - GIFTS AND PRIVATE GRANTS

AREA	CURRENT MONTH		YEAR TO DATE		PRIOR YEAR TO DATE		PRIOR FISCAL YEAR	
	Donors	Value	Donors	Value	Donors	Value	Donors	Value
UW Seattle								
UW Medicine	3,290	\$6,238,938	15,816	\$82,903,829	15,947	\$87,881,643	18,379	\$108,952,952
Architecture	176	\$145,056	1,351	\$1,194,408	1,152	\$5,115,699	1,316	\$5,313,848
Arts and Sciences	1,758	\$1,265,330	15,219	\$25,707,504	14,119	\$20,610,392	16,381	\$26,555,640
Broadcast Services	819	\$1,946,563	3,671	\$7,594,236	2,846	\$6,013,279	3,307	\$8,323,416
Business School	161	\$400,963	3,930	\$9,808,398	3,778	\$9,081,813	4,406	\$10,411,034
Dentistry	145	\$318,983	1,289	\$1,487,563	1,200	\$1,558,656	1,363	\$1,760,021
Education	110	\$19,445	1,572	\$3,378,446	1,644	\$2,562,498	1,895	\$2,916,481
Engineering	284	\$2,561,771	4,043	\$21,890,445	3,732	\$31,444,493	4,224	\$38,867,802
Evans Schl. of Pub. Affairs	50	\$100,200	399	\$5,946,497	451	\$5,556,644	630	\$5,997,460
Forest Resources	166	\$85,823	1,064	\$1,672,410	948	\$2,691,475	1,024	\$3,078,045
Graduate School	23	\$8,770	391	\$2,327,926	660	\$2,145,015	683	\$2,470,722
Information School	29	\$14,632	607	\$578,607	549	\$406,520	610	\$811,461
Intercollegiate Athletics	729	\$854,181	12,254	\$16,923,631	11,555	\$12,442,383	20,414	\$17,642,469
Law	160	\$205,844	1,741	\$1,690,052	1,672	\$35,987,990	1,987	\$36,175,919
Libraries	484	\$62,172	4,052	\$1,058,559	3,864	\$1,202,554	4,256	\$1,343,782
Nursing	72	\$109,789	1,689	\$5,857,081	1,674	\$2,350,228	1,814	\$2,856,427
Ocean and Fisheries	70	\$2,456,776	741	\$5,994,927	665	\$13,866,727	708	\$14,035,193
Pharmacy	91	\$119,807	1,090	\$2,138,403	1,146	\$1,659,899	1,219	\$1,896,947
President's Funds	138	\$22,814	1,858	\$497,270	1,604	\$659,914	1,812	\$711,430
Public Health	85	\$246,958	717	\$8,690,934	681	\$7,623,497	793	\$8,346,379
Scholar. & Student Progs.	600	\$1,178,468	3,301	\$12,091,335	2,891	\$7,444,476	3,203	\$8,712,482
Social Work	121	\$299,371	892	\$5,470,866	748	\$1,118,345	821	\$1,187,969
UW Alumni Association	3,403	\$156,951	19,141	\$942,539	16,851	\$852,694	21,560	\$1,117,494
University Press	16	\$64,814	230	\$1,719,454	373	\$395,657	417	\$512,227
University Support	285	\$56,362	1,809	\$6,412,374	2,167	\$7,399,964	2,803	\$8,933,954
UW Bothell	81	\$5,282	421	\$351,350	418	\$834,717	533	\$893,379
UW Tacoma	86	\$41,551	631	\$1,982,268	598	\$1,974,677	770	\$3,180,471
All UW Unique Total	12,670	\$18,987,628	83,666	\$236,311,323	78,460	\$270,881,863	97,876	\$323,005,417

Source: UW Office of Development

The number of donors and contribution totals for the major Development areas of the University are shown. Dollar values are based on donor values.

Job Number: 74963

April 2007

Development Area Summary - Gifts and Private Grants



DEVELOPMENT SUMMARY - GIFTS

AREA	CURRENT MONTH		YEAR TO DATE		PRIOR YEAR TO DATE		PRIOR FISCAL YEAR	
	Donors	Value	Donors	Value	Donors	Value	Donors	Value
UW Seattle								
UW Medicine	3,238	\$1,441,026	15,587	\$25,416,353	15,696	\$45,095,037	18,096	\$49,328,735
Architecture	174	\$37,675	1,344	\$925,027	1,149	\$2,936,551	1,313	\$3,114,700
Arts and Sciences	1,747	\$599,071	15,155	\$16,424,651	14,052	\$13,996,246	16,304	\$18,125,791
Broadcast Services	819	\$1,946,563	3,671	\$7,594,236	2,846	\$6,013,279	3,307	\$8,323,416
Business School	161	\$400,963	3,929	\$9,780,051	3,776	\$9,015,823	4,403	\$10,271,846
Dentistry	143	\$95,335	1,281	\$1,107,001	1,191	\$1,299,418	1,353	\$1,419,981
Education	110	\$19,445	1,565	\$1,198,415	1,637	\$1,436,317	1,888	\$1,790,300
Engineering	275	\$1,060,053	3,995	\$11,763,581	3,667	\$16,646,783	4,157	\$20,093,019
Evans Schl. of Pub. Affairs	49	\$25,200	380	\$2,327,562	437	\$611,084	615	\$780,802
Forest Resources	164	\$75,634	1,049	\$1,252,546	935	\$2,143,880	1,010	\$2,485,778
Graduate School	23	\$8,770	386	\$1,543,580	658	\$2,035,221	681	\$2,352,479
Information School	29	\$14,632	604	\$404,883	545	\$367,784	605	\$401,173
Intercollegiate Athletics	729	\$854,181	12,254	\$16,923,631	11,555	\$12,442,383	20,414	\$17,642,469
Law	158	\$163,144	1,738	\$1,535,352	1,670	\$35,936,392	1,985	\$36,124,321
Libraries	484	\$62,172	4,052	\$1,058,559	3,862	\$1,137,172	4,254	\$1,278,400
Nursing	72	\$109,789	1,682	\$5,131,664	1,659	\$1,411,743	1,795	\$1,638,532
Ocean and Fisheries	62	\$56,597	716	\$2,117,149	633	\$7,363,817	676	\$7,379,889
Pharmacy	88	\$40,602	1,083	\$1,326,671	1,142	\$1,427,528	1,214	\$1,650,696
President's Funds	138	\$22,814	1,858	\$497,270	1,604	\$659,914	1,812	\$711,430
Public Health	83	\$14,948	684	\$569,727	636	\$761,838	744	\$891,850
Scholar. & Student Progs.	600	\$1,178,468	3,301	\$11,091,335	2,889	\$7,378,020	3,201	\$8,473,021
Social Work	118	\$28,841	883	\$4,198,143	741	\$562,596	814	\$582,220
UW Alumni Association	3,403	\$156,951	19,141	\$942,539	16,851	\$852,694	21,560	\$1,117,494
University Press	16	\$64,814	230	\$1,719,454	373	\$395,657	417	\$512,227
University Support	285	\$56,362	1,803	\$3,932,752	2,162	\$6,462,604	2,796	\$7,884,789
UW Bothell	81	\$5,282	421	\$351,350	417	\$134,717	532	\$193,379
UW Tacoma	86	\$41,551	629	\$1,976,701	597	\$1,969,687	769	\$3,175,481
All UW Unique Total	12,585	\$8,580,898	83,285	\$133,110,195	78,020	\$180,494,199	97,391	\$207,744,231

Source: UW Office of Development

The number of donors and contribution totals (gifts only) for the major Development areas of the University are shown. Dollar values are based on donor values.

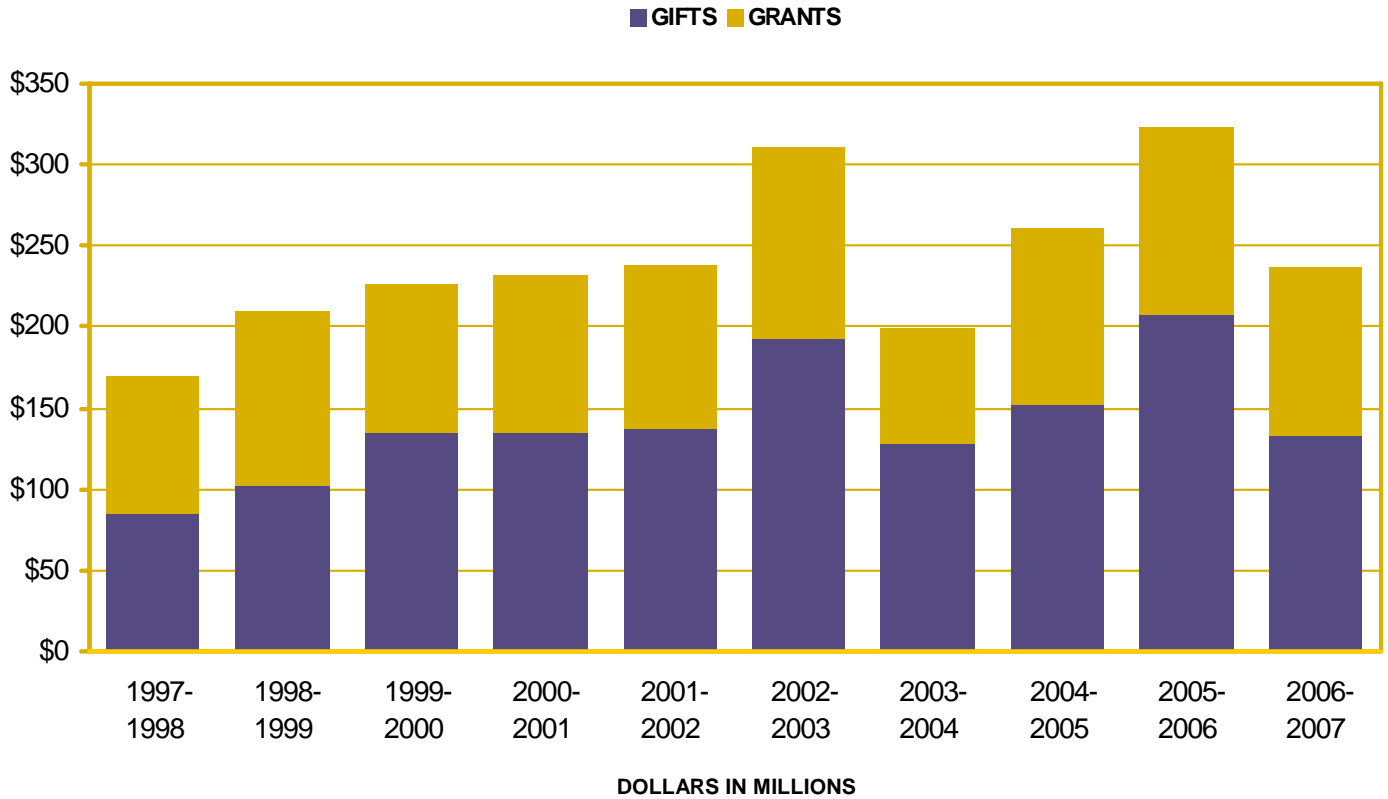
Job Number: 74963

April 2007

Development Area Summary - Gifts



COMPLETE FISCAL YEAR COMPARISON OF TOTAL CONTRIBUTIONS RECEIVED



FISCAL YEAR	GIFTS	GRANTS	TOTAL CONTRIBUTIONS
2006- 2007	\$133,110,195	\$103,201,128	\$236,311,323
2005- 2006	\$207,744,231	\$115,261,186	\$323,005,417
2004- 2005	\$151,969,925	\$108,802,371	\$260,772,296
2003- 2004	\$128,174,367	\$71,603,323	\$199,777,690
2002- 2003	\$192,573,183	\$118,677,722	\$311,250,905
2001- 2002	\$137,959,340	\$100,820,547	\$238,779,887
2000- 2001	\$134,805,190	\$97,112,979	\$231,918,169
1999- 2000	\$134,037,997	\$91,536,165	\$225,574,162
1998- 1999	\$102,925,077	\$107,619,586	\$210,544,663
1997- 1998	\$84,718,016	\$85,276,615	\$169,994,631

Source: UW Office of Development

This graph compares the current fiscal year's contribution totals to each of the previous nine fiscal year's contribution totals.

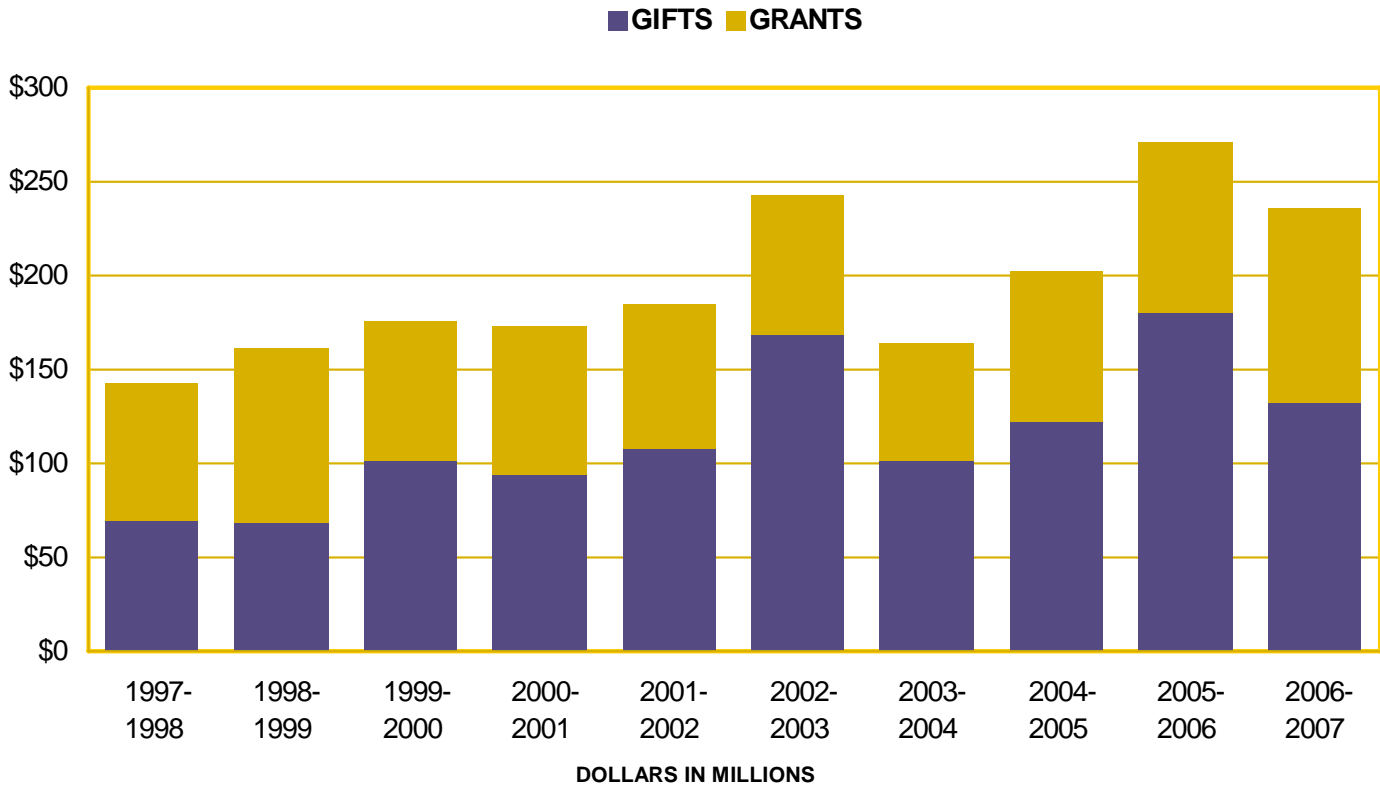
Job Number: 74963

April 2007

Fiscal Year Totals Graph



YEAR TO DATE CONTRIBUTION TOTALS



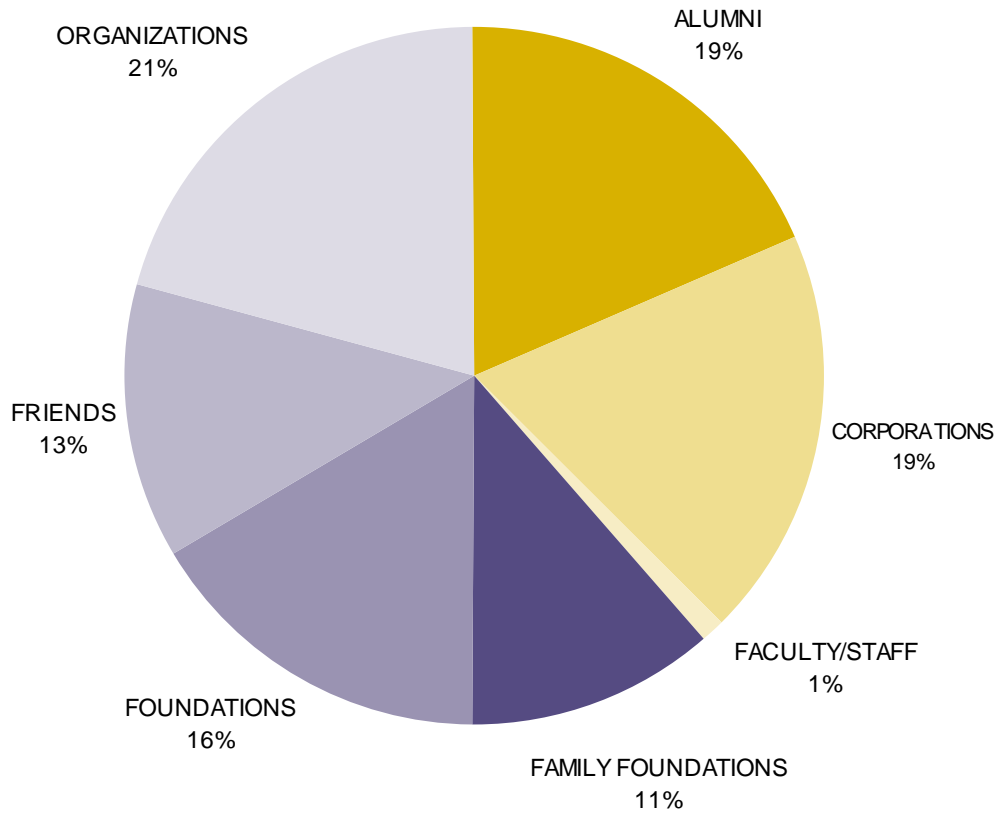
FISCAL YEAR	GIFTS	GRANTS	TOTAL CONTRIBUTIONS
2006- 2007	\$133,110,195	\$103,201,128	\$236,311,323
2005- 2006	\$180,494,199	\$90,387,665	\$270,881,863
2004- 2005	\$122,122,874	\$80,143,890	\$202,266,764
2003- 2004	\$101,365,172	\$62,684,981	\$164,050,153
2002- 2003	\$168,025,960	\$75,232,159	\$243,258,119
2001- 2002	\$108,064,405	\$76,888,322	\$184,952,727
2000- 2001	\$94,005,546	\$78,829,602	\$172,835,148
1999- 2000	\$100,697,725	\$74,876,551	\$175,574,276
1998- 1999	\$68,118,697	\$94,018,598	\$162,137,295
1997- 1998	\$70,275,796	\$72,238,514	\$142,514,310

Source: UW Office of Development

This graph compares the current fiscal year's contribution totals to each of the previous nine fiscal year's contribution totals.



DEVELOPMENT ACTIVITY BY DONOR TYPE IN CURRENT FISCAL YEAR



DONOR TYPE	YEAR TO DATE		PRIOR YEAR TO DATE		PRIOR FISCAL YEAR	
	Donors	Value	Donors	Value	Donors	Value
Alumni	44,529	\$44,185,938	42,419	\$49,416,670	51,440	\$59,214,863
Corporations	2,621	\$44,404,103	2,867	\$50,615,743	3,523	\$62,670,936
Faculty/Staff	3,122	\$2,952,724	3,052	\$2,470,852	3,701	\$2,957,964
Family Foundations	141	\$26,858,377	160	\$70,556,636	173	\$82,336,824
Foundations	354	\$38,224,414	400	\$41,207,672	444	\$48,525,785
Friends	32,413	\$30,508,527	29,223	\$23,017,032	38,220	\$27,738,180
Organizations	486	\$49,177,241	473	\$33,597,258	558	\$39,560,865

Source: UW Office of Development

This graph shows the sources of contributions for the current year to date. Dollar values are based on donor value.

Job Number: 74963
 April 2007

Development Activity by Donor Type in Current Fiscal Year Chart



ALUMNI PARTICIPATION BY CONSTITUENCY

AREA	CURRENT FISCAL YEAR TO DATE			PREVIOUS FISCAL YEAR TO DATE			
	Solicitible	Donors	Partic. Rate	Solicitible	Donors	Partic. Rate	Final %
UW Seattle							
UW Medicine	16,867	3,132	18.6%	16,049	2,944	18.3%	20.4%
Architecture	7,709	1,242	16.1%	7,393	1,235	16.7%	18.7%
Arts and Sciences	138,301	18,472	13.4%	126,129	17,506	13.9%	15.6%
Business School	36,594	6,618	18.1%	34,923	6,235	17.9%	20.2%
Dentistry	4,525	1,087	24.0%	4,388	1,090	24.8%	27.4%
Education	19,116	3,191	16.7%	18,434	3,182	17.3%	19.2%
Engineering	31,945	4,513	14.1%	30,120	4,184	13.9%	15.7%
Evans School of Public Affairs	2,119	428	20.2%	1,964	416	21.2%	25.4%
Forest Resources	4,603	681	14.8%	4,446	675	15.2%	16.5%
Interdisc. Grad. Programs	1,463	211	14.4%	1,296	194	15.0%	17.3%
Interdisc. Undergrad. Programs	170	27	15.9%	119	7	5.9%	10.9%
Interschool Programs	353	50	14.2%	286	33	11.5%	14.7%
Information School	4,202	816	19.4%	3,976	800	20.1%	22.4%
Law	7,593	1,573	20.7%	7,320	1,567	21.4%	24.1%
School of Nursing	8,469	1,779	21.0%	8,181	1,727	21.1%	23.0%
Ocean & Fisheries	3,866	619	16.0%	3,642	605	16.6%	18.6%
Pharmacy	3,364	875	26.0%	3,255	879	27.0%	29.1%
Public Health	4,104	714	17.4%	3,766	680	18.1%	20.5%
Social Work	6,232	940	15.1%	5,804	891	15.4%	16.8%
UW Bothell	5,245	572	10.9%	4,324	491	11.4%	13.5%
UW Tacoma	5,850	572	9.8%	4,892	443	9.1%	11.8%
Unspecified	11,278	2,110	18.7%	11,097	2,074	18.7%	20.6%
All UW Total	297,648	44,529	15.0%	276,793	42,419	15.3%	18.6%

Source: UW Office of Development

Job Number: 74963
April 2007

Alumni Participation



VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Grant and Contract Awards – April, 2007

RECOMMENDED ACTION:

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the Board of Regents accept the Grant and Contract Awards as presented on the attached list.

Attachment: Grant and Contract Awards Summary
Report of Grant and Contract Awards of
\$1,000,000 or More

Grant and Contract Awards Summary

to

The Board of Regents

of the

University of Washington

for

April 2007

Office of Research

Office of Sponsored Programs

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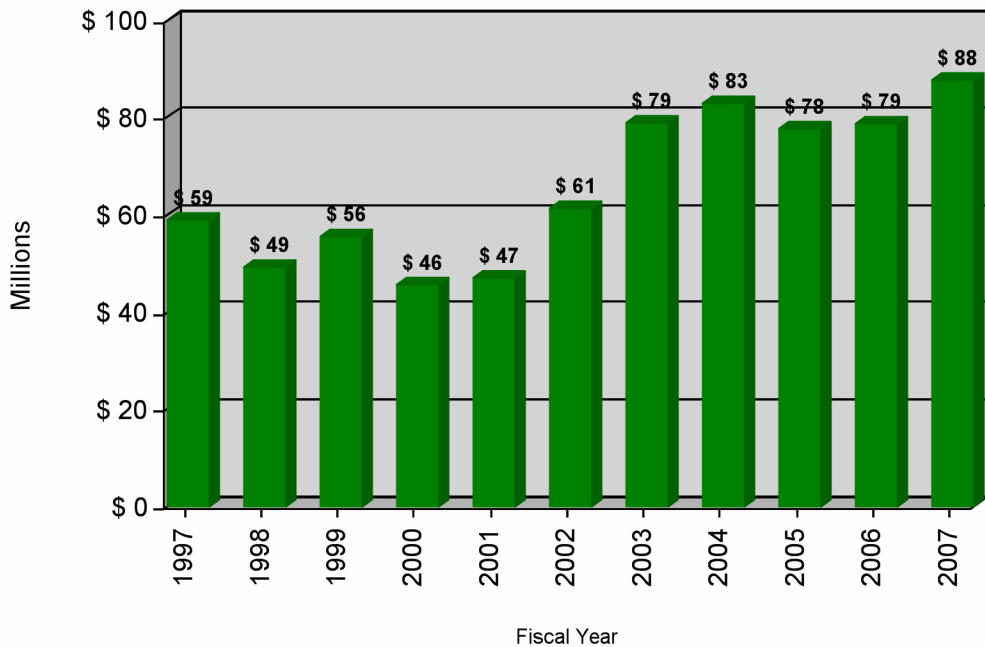
APRIL 2007 HIGHLIGHTS

Joint Oceanographic Institutions, Inc. awarded a grant in the amount of \$2,200,000 for a project under the direction of *Professor John Delaney of the School of Oceanography* entitled “The University of Washington's Application to Become the Implementing Organization for the Regional Cabled Observatory.” This grant enables University of Washington to take its first steps as the U.S. Implementing Organization (IO) of the first Regional Cabled Ocean Observatory (RCO) in the Northeast Pacific. The end result of this project will be a high bandwidth, high-power cabled network on the ocean floor that will form the submarine framework of rapidly evolving engineering and technological innovations that will enable sustained, remote research and novel educational studies on plate tectonic and marine meso-scale processes operative throughout many portions of the ocean basins.

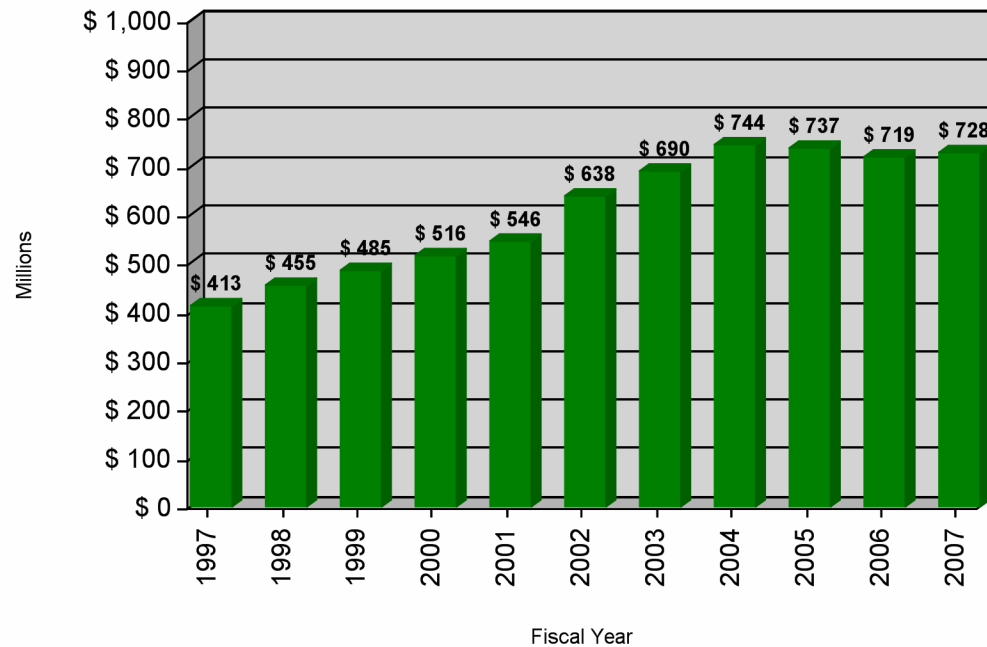
The *National Institutes of Health* awarded a grant in the amount of \$395,853 for a project under the direction of *Associate Professor Todd Herrenkohl of the School of Social Work* entitled “Longitudinal Study of Exposure to Family Violence.” The study adds earlier waves of data collection in which parents and children were assessed over a 15-year period. Analyses of new and existing data will investigate enduring effects of family violence and resilience in adults. Findings will provide implications for practice and policies focused on reducing family violence and other risk factors, promoting resilience in vulnerable children and families, and strengthening environmental resources to lessen the impact of violence exposure.

The *Pennsylvania State University* awarded a grant in the amount of \$127,751 for a project under the direction of *Assistant Professor Benita Beamon of the Department of Industrial Engineering* entitled “Inter-Organizational Decision-Making and Organizational Design for Improved ICT Coordination in Disaster Relief.” The objective of this project is to perform a three-part study of information and communication technology (ICT) coordination among humanitarian relief organizations. In particular, the researchers seek to address which organizational designs and decision processes for ICT-related coordination bodies in the disaster relief industry result in the best performance in both the organization itself and subsequently in the relief supply chain. The broader impacts of this project will include organizational design principles that can be applied to disaster relief, quantified measures of the impact of coordination that may justify further investments in this area, and improved delivery of disaster relief services and increased efficiency of disaster relief resources.

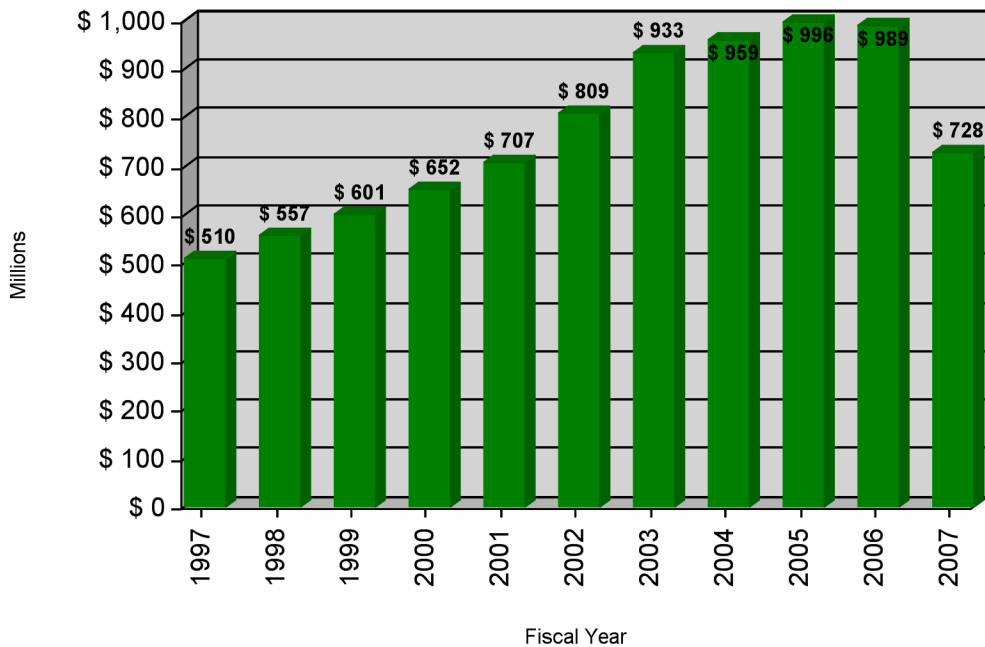
April Only
Comparison of Grant & Contract Awards



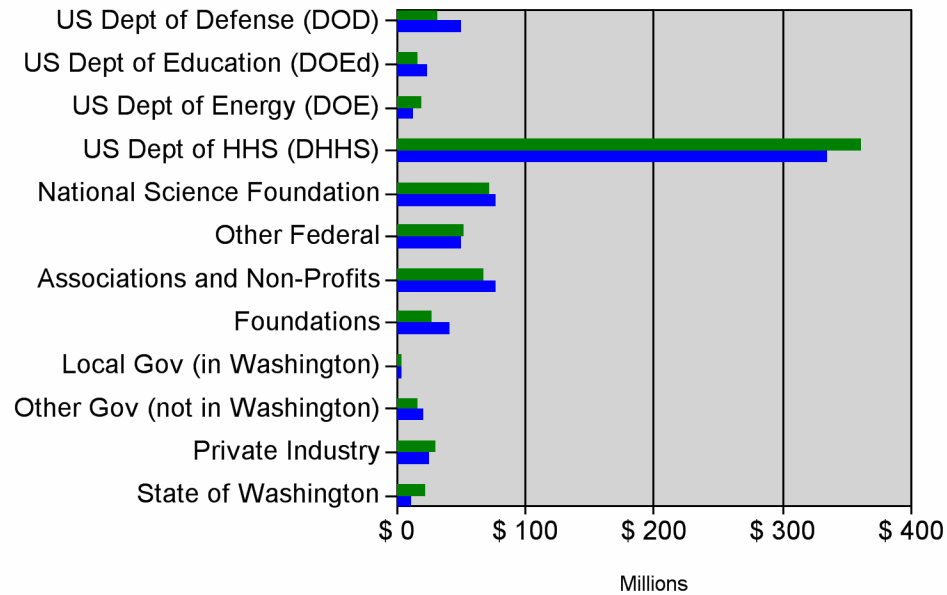
Fiscal Year to Date
Comparison of Grant & Contract Awards



Complete Fiscal Year
Comparison of Grant & Contract Awards



Fiscal Year to Date
Two-Year Comparison of Grant and Contract Awards



Legend: ■ Jul-Apr FY06 ■ Jul-Apr FY07

Summary of Grant and Contract Awards**Fiscal Year 2006-2007**

Month	RESEARCH AND OTHER		TRAINING		Total Grants and Contracts
	Federal	Non-Federal	Federal	Non-Federal	
July	\$ 47,163,420	\$ 10,699,020	\$ 6,191,659	\$ 693,994	\$ 64,748,090
August	\$ 117,139,800	\$ 11,808,260	\$ 6,156,148	\$ 443,216	\$ 135,547,500
September	\$ 64,660,620	\$ 23,947,570	\$ 4,289,084	\$ 905,277	\$ 93,802,540
October	\$ 53,216,540	\$ 17,106,880	\$ 11,166,060	\$ 1,883,808	\$ 83,373,280
November	\$ 20,631,830	\$ 21,414,790	\$ 375,521	\$ 651,926	\$ 43,074,070
December	\$ 16,331,970	\$ 14,941,460	\$ 363,440	\$ 165,262	\$ 31,802,140
January	\$ 27,306,600	\$ 19,271,660	\$ 1,255,173	\$ 197,667	\$ 48,031,100
February	\$ 24,893,720	\$ 13,391,360	\$ 630,325	\$ 1,207,409	\$ 40,122,810
March	\$ 74,197,140	\$ 21,448,700	\$ 1,272,917	\$ 2,550,349	\$ 99,469,100
April	\$ 66,847,210	\$ 16,975,640	\$ 3,018,965	\$ 856,666	\$ 87,698,490
FY07 to Date	\$512,484,137	\$170,910,081	\$34,719,295	\$9,555,573	\$727,669,087
FY06 to Date	\$518,054,146	\$151,220,879	\$35,148,982	\$14,626,731	\$719,050,738
Over (Under) Previous Year	(\$5,570,009)	\$19,689,202	(\$429,687)	(\$5,071,158)	\$8,618,348

Assuming acceptance of all awards by the Board of Regents

Comparison of Grant and Contract Awards by Agency

Fiscal Years 2005-2006 and 2006-2007

Agency	Jul-Apr FY06	Jul-Apr FY07
US Department of Defense (DOD)	\$ 31,632,476	\$ 49,805,925
US Department of Education (DOEd)	\$ 15,848,998	\$ 23,303,650
US Department of Energy (DOE)	\$ 20,032,538	\$ 12,688,000
US Department of Health and Human Services (DHHS)	\$ 361,107,787	\$ 334,549,665
National Science Foundation (NSF)	\$ 72,529,440	\$ 76,982,330
Other Federal	\$ 52,051,889	\$ 49,873,863
Subtotal for Federal :	\$ 553,203,128	\$ 547,203,432
Associations and Non-Profits	\$ 67,963,412	\$ 76,599,341
Foundations	\$ 26,483,872	\$ 41,354,233
Local Government (in Washington)	\$ 3,128,623	\$ 4,369,546
Other Government (not in Washington)	\$ 16,848,862	\$ 20,700,623
Private Industry	\$ 29,549,739	\$ 25,870,870
State of Washington	\$ 21,873,102	\$ 11,571,041
Subtotal for Non-Federal :	\$ 165,847,610	\$ 180,465,654
Grand Total :	\$ 719,050,738	\$ 727,669,087
Amount of Increase (Decrease) :		\$ 8,618,348
Percent of Increase (Decrease) :		1.2 %

Assuming acceptance of all awards by the Board of Regents

Comparison of Grant and Contract Awards by School/College

Fiscal Years 2005-2006 and 2006-2007

School/College	Jul-Apr FY06	Jul-Apr FY07
Upper Campus		
Architecture and Urban Planning	\$ 3,908,233	\$ 912,872
Arts and Sciences	\$ 63,263,314	\$ 74,655,011
Business Administration	\$ 275,092	\$ 113,418
Director of Libraries	\$ 471,260	\$ 31,965
Education	\$ 9,781,241	\$ 12,889,108
Educational Outreach	\$ 718,394	\$ 425,469
Engineering	\$ 66,260,488	\$ 61,116,687
Evans School of Public Affairs	\$ 3,442,429	\$ 5,273,420
Executive Vice President	\$ 746,160	
Forest Resources	\$ 6,597,596	\$ 4,370,473
Graduate School	\$ 4,628,802	\$ 2,394,710
Information School	\$ 1,139,391	\$ 1,651,802
Law	\$ 387,144	\$ 1,540,450
Ocean and Fishery Sciences	\$ 62,821,476	\$ 74,100,763
Office of Research	\$ 16,820,707	\$ 19,225,789
Social Work	\$ 11,796,563	\$ 9,420,494
Undergraduate Education	\$ 117,665	\$ 1,250,161
VP Educational Partnerships	\$ 111,252	\$ 131,347
VP Minority Affairs	\$ 6,861,608	\$ 4,995,816
VP Student Life	\$ 5,500	
Subtotal :	\$ 260,154,315	\$ 274,499,755
Health Sciences		
Dentistry	\$ 10,528,634	\$ 7,921,450
Health Sciences Admin	\$ 503,050	\$ 61,000
Medical Centers	\$ 82,157	\$ 1,000
Medicine	\$ 344,657,458	\$ 350,335,225
Nursing	\$ 13,379,821	\$ 10,367,132
Pharmacy	\$ 9,442,681	\$ 7,311,825
Public Health and Community Medicine	\$ 62,835,417	\$ 60,513,011
Subtotal :	\$ 441,429,217	\$ 436,510,643
Special Programs		
Alcohol and Drug Abuse Institute	\$ 2,938,292	\$ 1,327,877
CHDD Administration	\$ 5,543,718	\$ 8,420,560
Regional Primate Center	\$ 6,962,573	\$ 5,897,133
Subtotal :	\$ 15,444,583	\$ 15,645,570
Other UW Campuses		
Bothell	\$ 1,421,636	\$ 680,323
Tacoma	\$ 600,987	\$ 232,796

School/College		Jul-Apr FY06	Jul-Apr FY07
Other UW Campuses	Subtotal :	\$ 2,022,623	\$ 913,119
Not Indicated Not Indicated			\$ 100,000
	Subtotal :		\$ 100,000
	Grand Total :	\$ 719,050,738	\$ 727,669,087

Assuming acceptance of all awards by the Board of Regents

List of Awards for Arts and Sciences

Fiscal Years 2005-2006 and 2006-2007

Department	Jul-Apr FY06	Jul-Apr FY07
Center for Humanities	\$ 100,000	\$0
Center for Statistics and the Social Sciences	\$ 495,420	\$ 809,139
Center for Studies in Demography and Ecology	\$ 2,603,211	\$ 1,260,780
Department of Anthropology	\$ 90,270	\$ 139,029
Department of Applied Mathematics	\$ 981,664	\$ 1,726,247
Department of Asian Languages and Literature	\$ 140,000	\$ 91,760
Department of Astronomy	\$ 2,140,554	\$ 2,685,876
Department of Atmospheric Sciences	\$ 4,618,504	\$ 6,279,380
Department of Biology	\$ 6,975,630	\$ 8,818,855
Department of Chemistry	\$ 11,636,880	\$ 16,542,820
Department of Classics	\$ 30,000	\$0
Department of Communication	\$ 1,135,181	\$ 1,541,100
Department of Comparative Literature	\$0	\$ 21,178
Department of Earth and Space Sciences	\$ 5,638,694	\$ 4,842,896
Department of Economics	\$ 7,250	\$ 24,800
Department of English	\$0	\$ 5,000
Department of Geography	\$0	\$ 180,909
Department of Germanics	\$ 44,000	\$ 53,000
Department of History	\$ 354,000	\$ 70,694
Department of Linguistics	\$0	\$ 87,119
Department of Mathematics	\$ 1,004,845	\$ 1,079,738
Department of Near Eastern Languages and Civilization	\$ 17,500	\$0
Department of Physics	\$ 8,572,592	\$ 7,929,132
Department of Political Science	\$ 192,088	\$ 566,198
Department of Psychology	\$ 7,151,722	\$ 8,676,108
Department of Romance Languages	\$0	\$ 6,000
Department of Sociology	\$ 360,788	\$ 170,649
Department of Speech and Hearing Sciences	\$ 1,211,832	\$ 1,795,528
Department of Statistics	\$ 1,054,445	\$ 1,061,087
Department of Women Studies	\$0	\$ 23,592
Gaining Early Awareness and Readiness for Undergraduate Programs	\$ 270,000	\$ 750,000
Henry M. Jackson School of International Studies	\$ 1,581,141	\$ 4,878,831
Institute for Nuclear Theory	\$ 4,478,000	\$ 1,379,000
Language Learning Center	\$ 20,274	\$ 20,000
Law, Societies and Justice Program	\$ 83,571	\$ 90,017
Public Performing Arts	\$ 98,350	\$ 151,565
School of Art	\$0	\$ 48,000
School of Music	\$0	\$ 300,000

Department	Jul-Apr FY06	Jul-Apr FY07
Thomas Burke Memorial Washington State Museum	\$ 174,909	\$ 548,981
Total :	\$ 63,263,310	\$ 74,655,010

Assuming acceptance of all awards by the Board of Regents

Summary of Grant Awards**Fiscal Year 2006-2007***Excluding private awards from Foundations, Industry, Associations and Others*

Month	RESEARCH AND OTHER		TRAINING		Total Grants
	Federal	Non-Federal	Federal	Non-Federal	
July	\$ 46,551,120	\$ 3,501,075	\$ 6,191,659	\$ 116,675	\$ 56,360,530
August	\$ 115,153,700	\$ 1,805,011	\$ 6,156,148	\$ 0	\$ 123,114,900
September	\$ 59,774,620	\$ 835,114	\$ 4,289,084	\$ 170,000	\$ 65,068,820
October	\$ 44,817,340	\$ 2,573,647	\$ 11,142,060	\$ 23,528	\$ 58,556,580
November	\$ 13,934,800	\$ 2,200,982	\$ 375,521	\$ 192,752	\$ 16,704,050
December	\$ 11,728,690	\$ 1,484,791	\$ 363,440	\$ 74,000	\$ 13,650,920
January	\$ 21,275,320	\$ 3,288,305	\$ 1,255,173	\$ 21,729	\$ 25,840,520
February	\$ 24,184,510	\$ 1,216,303	\$ 630,325	\$ 0	\$ 26,031,140
March	\$ 69,950,890	\$ 1,373,506	\$ 1,264,817	\$ 40,562	\$ 72,629,780
April	\$ 62,025,780	\$ 477,845	\$ 3,018,965	\$ 20,000	\$ 65,542,600
Year to Date	\$ 469,396,800	\$ 18,756,580	\$ 34,687,200	\$ 659,246	\$ 523,499,800

Assuming acceptance of all awards by the Board of Regents

Summary of Grant Awards**Fiscal Year 2006-2007***Private awards from Foundations, Industry, Associations and Others*

Month	RESEARCH AND OTHER	TRAINING	Total Grants
July	\$ 4,734,940	\$ 61,206	\$ 4,796,146
August	\$ 7,107,670	\$ 184,174	\$ 7,291,844
September	\$ 20,026,620	\$ 266,485	\$ 20,293,100
October	\$ 9,473,966	\$ 123,297	\$ 9,597,263
November	\$ 13,868,920	\$ 187,000	\$ 14,055,920
December	\$ 8,772,547	\$ 5,000	\$ 8,777,547
January	\$ 10,753,580	\$ 77,548	\$ 10,831,130
February	\$ 9,622,090	\$ 4,965	\$ 9,627,054
March	\$ 14,005,710	\$ 235,982	\$ 14,241,690
April	\$ 12,210,190	\$ 69,200	\$ 12,279,390
Year to Date	\$ 110,576,200	\$ 1,214,856	\$ 111,791,100

Assuming acceptance of all awards by the Board of Regents

Summary of Contract Awards**Fiscal Year 2006-2007**

Month	RESEARCH AND OTHER		TRAINING		Total Contracts
	Federal	Non-Federal	Federal	Non-Federal	
July	\$ 612,297	\$ 2,463,009	\$ 0	\$ 516,113	\$ 3,591,419
August	\$ 1,986,095	\$ 2,895,583	\$ 0	\$ 259,042	\$ 5,140,720
September	\$ 4,885,993	\$ 3,085,836	\$ 0	\$ 468,792	\$ 8,440,621
October	\$ 8,399,199	\$ 5,059,262	\$ 24,000	\$ 1,736,983	\$ 15,219,440
November	\$ 6,697,038	\$ 5,344,880	\$ 0	\$ 272,174	\$ 12,314,090
December	\$ 4,603,284	\$ 4,684,126	\$ 0	\$ 86,262	\$ 9,373,671
January	\$ 6,031,284	\$ 5,229,773	\$ 0	\$ 98,390	\$ 11,359,450
February	\$ 709,207	\$ 2,552,967	\$ 0	\$ 1,202,444	\$ 4,464,618
March	\$ 4,246,249	\$ 6,069,481	\$ 8,100	\$ 2,273,805	\$ 12,597,640
April	\$ 4,821,428	\$ 4,287,607	\$ 0	\$ 767,466	\$ 9,876,500
Year to Date	\$ 42,992,080	\$ 41,672,520	\$ 32,100	\$ 7,681,471	\$ 92,378,170

Assuming acceptance of all awards by the Board of Regents

**Report of Grant and Contract Awards
of \$1,000,000 or More**

April 2007

**Requiring action of
The Board of Regents
of the
University of Washington**

**Office of Research
Office of Sponsored Programs**

Detail of Public Grant Awards

Federal

US Department of Defense (DOD)

Defense Advanced Research Projects Agency (DARPA)

To: Larry R. Dalton, Professor \$ 2,107,032
 Department of Chemistry
 For: Rational Design of the Ultimate Electro-Optic Material
 Eff: 5/23/2006

Total for Defense Advanced Research Projects Agency (DARPA): \$ 2,107,032

Office of Naval Research (ONR)

To: Bruce M. Howe, Apl-principal Oceanographer \$ 1,544,177
 Applied Physics Laboratory
 For: Acoustic Seaglider2: PLUS
 Eff: 4/1/2007

Total for Office of Naval Research (ONR): \$ 1,544,177

Total for US Department of Defense (DOD): \$ 3,651,209

US Department of Energy (DOE)

US Department of Energy (DOE)

To: George F Bertsch, Professor \$ 1,000,000
 Department of Physics
 For: Low-Energy Nuclear Physics National HPC Initiative: Building a
 Universal Nuclear Energy Density Functional
 Eff: 12/1/2006

Total for US Department of Energy (DOE): \$ 1,000,000

Total for US Department of Energy (DOE): \$ 1,000,000

US Department of Health and Human Services (DHHS)

National Institutes of Health (NIH)

To: John M. Harlan, Professor \$ 2,537,904
 Department of Medicine
 For: BIOLOGY OF THE ARTERY WALL AND ATHEROSCLEROSIS
 Eff: 12/1/2006

To: Timothy De Rouen, Professor \$ 1,891,946
 Dental Public Health Sciences
 For: Network Chair for the Pacific Northwest DPBRN
 Eff: 4/1/2007

To: David L Eaton, Professor \$ 1,483,614
 Department of Environmental and Occupational Health Sciences
 For: Center for Ecogenetics and Environmental Health
 Eff: 4/1/2007

National Cancer Institute (NCI)

To: Kenneth A Krohn, Professor \$ 1,974,384
 Department of Radiology
 For: Molecular Imaging of Cancer and Its Response to Therapy
 Eff: 3/1/2007

Total for National Cancer Institute (NCI): \$ 1,974,384

Detail of Public Grant Awards

Federal

US Department of Health and Human Services (DHHS)

National Institutes of Health (NIH)

National Institute of Environmental Health and Science (NIEHS)

To: Harvey Checkoway, Professor \$ 2,680,043
 Department of Environmental and Occupational Health Sciences

For: Effects-Related Biomarkers of Toxic Exposures

Eff: 4/1/2007

Total for National Institute of Environmental Health and Science (NIEHS): \$ 2,680,043

Total for National Institutes of Health (NIH): \$ 10,567,891

Total for US Department of Health and Human Services (DHHS): \$ 10,567,891

Other Federal

Environmental Protection Agency (EPA)

To: Joel D. Kaufman, Associate Professor \$ 6,212,119
 Department of Environmental and Occupational Health Sciences

For: Prospective Study of Atherosclerosis, Clinical Cardiovascular Disease, and Long-Term Exposure to Ambient Particulate Matter and Other Air Pollutants in a Multi-Ethnic Cohort, Certificate of Confidentiality

Eff: 8/1/2004

Total for Environmental Protection Agency (EPA): \$ 6,212,119

US Department of State

To: Veronica Taylor, Professor \$ 1,383,000
 School of Law

For: Empowering Rural Communities: Legal Aid and the Rule of Law in Rural China

Eff: 3/1/2007

Total for US Department of State: \$ 1,383,000

Total for Other Federal: \$ 7,595,119

Total for Federal: \$ 22,814,219

Total Public Grants: \$ 22,814,219

Detail of Private Grant Awards

Associations and Non-Profits

Joint Oceanographic Institutions, Inc.

To: John R. Delaney, Professor \$ 2,200,000
School of Oceanography

For: The University of Washington's Application to Become the
Implementing Organization for the Regional Cabled Observatory

Eff: 3/30/2007

Total for Joint Oceanographic Institutions, Inc.: \$ 2,200,000

Total for Associations and Non-Profits: \$ 2,200,000

Other Government (not in Washington)

Nanyang Technological University

To: Yongmin Kim, Professor \$ 1,530,847
Bioengineering

For: Singapore University of Washington Research Alliance

Eff: 7/1/2002

Total for Nanyang Technological University: \$ 1,530,847

Total for Other Government (not in Washington): \$ 1,530,847

Total Private Grants: \$ 3,730,847

Detail of Contract Awards

Federal

US Department of Defense (DOD)

Office of Naval Research (ONR)

To: Gary L Harkins, Associate Director
Applied Physics Laboratory \$ 1,908,990

For: FY07 BQH9 OMN

Eff: 3/27/2007

Total for Office of Naval Research (ONR): \$ 1,908,990

Total for US Department of Defense (DOD): \$ 1,908,990

Total for Federal: \$ 1,908,990

Total Contracts: \$ 1,908,990

Grand Total for all Awards \$ 28,454,056

VII. STANDING COMMITTEES**B. Finance, Audit and Facilities Committee**Transit Services Contract Extension – King County Metro and Sound TransitRECOMMENDED ACTION

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the President be delegated authority to execute a \$12 million transit services contract extension with King County Metro and Sound Transit for the period of July 1, 2007 to June 30, 2008.

BACKGROUND

The University has had contracts with transit agencies since 1991 as part of its award winning U-PASS Program. Transit services are provided to students, staff and faculty of the UW Seattle Campus, Harborview Medical Center, UW Bothell and Cascadia Community College. (Cascadia costs are recharged to that institution. An independent and much smaller transit services contract is signed for UW Tacoma.) The U-PASS program has reduced automobile trips, decreased traffic congestion and has provided significant increases in transit service. The percentage of commute trips by employees and students in the U District taken by transit has increased from 21% in 1989, prior to the U-PASS program, to 39% in 2006.

King County Metro bus fares have not increased since 2001 and no fare increase is expected during this contract period. Growth in Metro transit ridership will result in value of the King County Metro portion of the contract to increase from \$10.5 million in 2006-7 to \$10.7 million in 2007-8.

Sound Transit (ST) *bus* fares have not increased since 2005 and no *bus* fare increase is expected during this contract period. ST *train* fares will increase in 2007 leading to a small increase in overall ST cost per trip (from \$1.75 per trip to \$1.80). This increase in cost per rider, and especially a growth in ST transit ridership, will result the value of the Sound Transit portion of the contract to increase from \$.9 million in 2006-7 to \$1.3 million in 2007-8.

The U-PASS program is funded with U-PASS fees, parking fees and fines and central sources. There is sufficient funding to support the transit costs projected for 2007-8. In the coming year, the administration will gain better information about future transit costs. The Administration expects to review U-PASS fees, and parking fees and fines for the campus in the U District and will propose to modify those fees and fines within the next year as required to support future transit and other costs of the Commuter Transportation system.

VII. STANDING COMMITTEES**B. Finance, Audit and Facilities Committee**Food and Beverage Concession Contract Extension – Sodexo America LLCRECOMMENDED ACTION

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the President be delegated authority to execute a contract as appropriate for a five year extension of the food service concession contract with Sodexo America LLC. The contract guarantees a minimum of \$3.4 million over the five year period.

BACKGROUND

In 2002, a five year contract was awarded to Sodexo for food service concessions for the Department of Intercollegiate Athletics sports events and facilities based on a competitive solicitation. The action was reported to the Board of Regents in September, 2002. The initial term of the contract was five years, ending June 30, 2007, with an option to extend for up to five additional years. Actual payments for the initial five year period of the contract are anticipated to exceed \$3.2 million. Sodexo has performed satisfactorily over the five year period.

The minimum guarantee for the five year extension is \$3.4 million or 50% commission on gross sales up to \$2 million at football and men's and women's basketball events and 52% commission on gross sales above \$2 million, which is an increased percentage from the previous term. The commission percentage paid at all other events remains at 15%. The investment in equipment remains the same at \$110,000 over a five year period. Overall, the contract extension includes improved financial and contractual considerations than the initial five year contract.

The contract extension has been approved by the Director of Intercollegiate Athletics and the Executive Vice President.

VII. STANDING COMMITTEES**B. Finance, Audit and Facilities Committee**UW Tower Data Center – Final Determination for General Contractor/Construction Manager Method of ContractingRECOMMENDED ACTION:

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the use of alternative public works procurement utilizing the General Contractor/Construction Manager (GC/CM) method of contracting be approved for the UW Tower Building C Data Center project; and that, pursuant to RCW 39.10, the President be delegated authority to make a final determination to use the GC/CM alternative procurement method for the UW Tower Data Center Project.

BACKGROUND:

The UW Tower property (formerly Safeco) is a 510,546 square foot high-rise office complex on the corner of NE 45th Street and Brooklyn Ave NE. The UW Tower is a 22-story office building that is directly connected to three low-rise four-story office buildings. This project will develop 38,000 square feet of the 102,800 square foot in low-rise Building C. The project is an interior renovation and utility upgrade for selected areas of Building C. Levels 1 and 2 and the basement of Building C will be demolished to the structure and new computer data center facilities will be installed.

Schedule:

Pre-design Phase	September 2006 to January 2007
Design Phase	June 2007 to January 2009
Construction Phase	June 2008 to December 2009

Contracting Strategy:

The Capital Projects Office recommends using the alternate public works contracting procedure, General Contractor/Construction Manager (GC/CM), as authorized by RCW 39.10 for construction of this project. The project budget is larger than the \$10,000,000 minimum threshold. Project construction will commence after initial occupancy of the UW Tower property, which will require complex phasing and coordination for the safety of building occupants. Due to the limitation of working in the existing building, an accurate assessment of constructability issues by the GC/CM will be crucial to selection of the best design. Finally, a GC/CM's estimating expertise and knowledge of the current

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

UW Tower Data Center – Final Determination for General Contractor/Construction Manager Method of Contracting (continued p. 2)

escalation and construction market conditions in the Seattle area will be required to maintain the budget.

The project budget of \$32,500,000 will be funded from three sources. The project pre-design and commitment of the design phase, funded with \$500,000 in local funds, was completed and submitted to the Governor's Office of Financial Management in January 2007, in support of the University of Washington's 2007-09 Capital Budget request. The 2007-09 state capital conference budget provides \$25,000,000 for Computing and Communications Upgrades/Data Center of which \$20,000,000 will be allocated to the Building C Data Center project, and the remaining \$5,000,000 will be used for connectivity improvements. The remaining \$12,000,000 will be provided through local revenue bonds paid by indirect cost recovery funds. The bond funds will help support development of data center capacity for research users.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority

Pursuant to the Standing Orders of the Board of Regents, Delegation of Authority, and to the delegation of authority from the President of the University to the Executive Vice President in Executive Order No. 1, to take action for projects or contracts that exceed \$1,000,000 in value or cost but are less than \$5,000,000, the Administration may approve and execute all instruments.

Seattle Campus UW Libraries Remote Shelving, Project No. 10352**Action Reported: Contract Award and Budget Decrease of 10% or more**

On April 26, 2007, a construction contract was awarded to Western Ventures Construction, Inc., for \$2,556,000 for the Seattle Campus UW Libraries Remote Shelving project. Five bids were received for the project; the high bid was \$3,012,267. The budgeted construction cost was \$2,807,213. The project budget has been reduced from \$4,900,000 as reported in January 2006, to \$4,325,330 as a result of the low bid received.

Western Ventures is located in Mountlake Terrace and has completed many capital projects for the University of Washington. Recently completed projects include the Condon Hall Elevator Upgrades, UWMC PET/CT installation, HSC Cognitive Research Center, Stevens Court Improvements, UWMC Vascular Lab, and the Padelford Hall Communications Upgrade.

This project will provide the build-out and environmental conditioning of approximately 26,215 gross square feet of vacant shell space on the 3rd floor of Building 5 Bay D at Sand Point to house compact, movable shelving in a controlled environment appropriate for the storage of library materials. Compact mobile shelving will be purchased and installed as a separately funded project. New lighting, data and communications, and fire and life safety improvements will be included in the new space. The new space and an adjacent 12,500 square feet of existing library storage space for a total of 38,715 square feet will be served by new heating, ventilation, and air conditioning (HVAC) and humidity control units located on the roof.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority (continued p. 2)

Construction activities began in May 2007 and are expected to be completed in January 2008 for occupancy in February 2008.

Funding is available from the 2005 – 2007 biennium Capital Budget.

Budget Summary:	Current Approved Budget January 2006	Revised Budget April 2007	Forecast Cost At Completion
Total Consultant Services	\$658,288	\$574,074	\$574,074
Total Construction Cost*	\$3,760,856	\$3,290,874	\$3,290,874
Other Costs	\$125,417	\$106,606	\$106,606
Project Administration	\$355,439	\$353,776	\$353,776
Total Project Budget	\$4,900,000	\$4,325,330	\$4,325,330

* Includes construction contract amount, contingencies and state sales tax.

Magnusson Health Sciences Center T-739 Lecture Hall Remodel, Project No. 201280
Action Reported: Appoint Architect and Budget Adjustment

On April 5, 2007, the project budget was increased to \$1,046,657 for the Magnusson Health Sciences Center (MHSC) T-739 Lecture Hall remodel project. The budget was originally established at \$921,755. The increase is a result of added scope to the project’s audio/visual systems and delaying the construction from the summer of 2007 to the summer of 2008, because of insufficient funding to support the construction phase.

A design contract was awarded to Hoshide Williams Architects on April 24, 2006. The value of the design contract is \$67,009. The budget for all consultant services is \$155,906. The balance of the design budget is intended for hazardous material design, commissioning, audio/visual design, and miscellaneous services as well as the completed pre-design study.

Hoshide Williams Architects is regularly engaged in architectural design for the University of Washington and maintains a current master agreement for architectural services. Recent UW work includes lecture hall renovations in Kane and Gowen Halls, master planning and seismic improvements at Odegaard Undergraduate Library, and laboratory renovations at Bagley and Wilcox Halls.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority (continued p. 3)

The MHSC T-739 Lecture Hall Remodel will update the 121 seat lecture hall audio/visual equipment including a new projection screen, lecture podium, lighting, ceiling, and lighting controls. Seating will be modified to accommodate two locations for disabled access and all seats will be upgraded with electrical power. Hazardous material abatement, including abatement of asbestos fire proofing, is part of the project scope.

Construction is forecast to start in May 2008 and be completed by September 2008 for fall quarter use.

The budget for the MHSC T-739 Lecture Hall Remodel is established at \$1,046,657. The design is funded by Health Sciences Program Renewal funds. Future funding is required to complete the construction phase

Budget Summary:	Previously Approved Budget August 2006	Revised Budget April 2007	Forecast Cost to Complete
Total Consultant Services	\$143,747	\$ 155,906	\$ 155,906
Total Construction Cost*	\$677,374	\$ 783,307	\$ 783,307
Other Costs	\$ 25,209	\$ 24,987	\$ 24,987
Project Administration	\$75,425	\$ 82,457	\$ 82,457
Total Project Budget	\$ 921,755	\$ 1,046,657	\$ 1,046,657

*Includes construction contract amount, contingencies and state sales tax.

UW School of Medicine

Action Reported: Purchase of Four Mass Spectrometers

The UW School of Medicine has purchased four mass spectrometers to support the Proteomics Resource located at South Lake Union. The mass spectrometry techniques to be developed and utilized there are a method of identifying the amino acids present in a protein and will help to translate the information generated by the Human Genome Project into greater understanding of human biology and disease.

A sole source purchase order was issued to Thermo Fisher Scientific Inc. based on compatibility with current protocols and equipment. The total contract amount is not to exceed \$1,765,000, and is exempt from Washington State sales tax. The price has been

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority (continued p. 4)

determined to be fair and reasonable. Funding for this purchase is available through a state budget appropriation provided to support the development of proteomics research at the University of Washington.

UW Medical Center Department of Radiation Oncology **Action Reported: Linear Accelerator Maintenance**

The University of Washington Medical Center Department of Radiation Oncology operates linear accelerators to provide radiation treatment for cancer patients. Radiation therapy services are available at both the Seattle Cancer Care Alliance and UWMC, and both sites have accelerators manufactured by Elekta Inc.

In 2006, the Department of Radiation Oncology embarked upon an upgrade to their facility, including the purchase of 2 new accelerators and the upgrade of 2 existing accelerators. This purchase was reported to the Board of Regents in November, 2006. Additionally, a 5 year maintenance contract for the four accelerators was executed with Elekta and reported to the Board of Regents in January, 2007. The maintenance contract will take effect upon expiration of the initial warranties on the four systems.

An upgrade to one of the accelerators is needed to improve its cost-effectiveness and treatment flexibility, resulting in additional maintenance costs of \$134,000 over the five year contract term. The price for the upgrade is considered fair and reasonable. The upgrade was not anticipated at the time the original maintenance contract was issued, so the total cost now exceeds the amount reported to Regents in January, 2007. A purchase order amendment has been issued which increases the total contract value to an amount not to exceed \$2,734,000, plus sales tax. Funding for the purchase is available from Radiation Oncology operating budgets.

UW Applied Physics Laboratory **Action Reported: 58' Research Vessel Purchase**

The UW Applied Physics Laboratory purchased a 58' fiberglass research vessel to replace the R/V Miller. The R/V Miller is a 50' wooden utility boat acquired from the U.S. Navy 50 years ago and has limitations as a research platform. The new coastal research vessel will be a general purpose work platform to support a variety of oceanographic research and instrument development projects. The vessel will be moored on Portage Bay in Seattle and will operate in Puget Sound, the Straits of Juan de Fuca and Washington coastal waters out to 20 nautical miles.

A Request for Proposals was issued in February, 2007. One responsive offer was received from Little Hoquiam Shipyard. Many of the region's boatyards have been in high demand this past year, resulting in limited competition. The price has been determined to be fair

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority (continued p. 5)

and reasonable. A purchase order was issued in an amount not to exceed \$1,200,000 plus tax.

Funding for this purchase is available from APL's Prorated Direct Cost Pool, which is funded by a federally-approved rate allocated to APL's federal grants and contracts. The vessel will be owned by the University of Washington.

UNIVERSITY OF WASHINGTON

INVESTMENT PERFORMANCE REPORT

THIRD QUARTER FISCAL YEAR 2007

As of March 31, 2007



TREASURER, BOARD OF REGENTS
TREASURY OFFICE

Published May 2007

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TREASURY ASSETS ¹

As of 3/31/07—\$3.1 Billion

Dollars in Millions



Endowment & Similar Funds

Consolidated Endowment Fund ²	\$1,947
Life Income Trusts	106
Outside Trusts	57
Non-CEF Endowments	26
Permanent Fund ⁶	25
	<u>\$2,161</u>

Operating & Reserve Funds

Invested Funds ^{2,3}	\$872
Bond Retirement Fund ⁴	10
Building Fund ⁴	15
Debt Service Reserve Funds ⁵	6
Bond Proceeds ⁷	33
	<u>\$936</u>

1. Includes assets whose management falls under the auspices of the Finance & Audit Committee of the Board of Regents. Excludes Metro Tract and Forest Trust Lands. All dollar amounts are expressed in millions and represent market values.
2. The Invested Funds holds Consolidated Endowment Fund units valued at \$378. To avoid double counting, the dollars are included only in the CEF totals.
3. In June 2002, the Board of Regents authorized the establishment of a captive insurance company, Portage Bay Insurance (PBI). The previous insurance vehicle, the Self Insurance Revolving Fund (SIRF), will close after existing claims are resolved. Current balances: PBI \$45.3, SIRF \$19.9.
4. General obligation bond reserve fund on deposit with the state of Washington.
5. Required reserve funds for locally issued bonds (2002 Housing & Dining \$1.5, 2004 Housing & Dining \$0.6, 2004 Parking \$1.5, Roosevelt \$2.0).
6. Proceeds from sale of land grants and subsequent investment returns on deposit with the state of Washington.
7. Construction project funds which have not yet been disbursed (IMA \$7.7, Genome/R&T \$13.6, Parking \$11.3, Global Health Labs \$0.8)

ASSET ALLOCATION

As of March 31, 2007

Consolidated Endowment Fund¹—\$1,947 MM



Dollars in Millions

	Current Allocation	Policy Target	Policy Range
Domestic Equity	\$341 18%	18%	10%–40%
International Developed Markets	\$439 23%	20%	10%–35%
International Emerging Markets	\$219 11%	10%	5%–15%
Marketable Alternatives	\$309 16%	16%	5%–25%
Non-Marketable Alternatives	\$227 12%	12%	5%–20%
Equity Fund	\$1,534 79%	76%	60%–90%
Real Assets Fund	\$225 11%	12%	5%–20%
Fixed Income Fund²	\$188 10%	12%	5%–35%
Total Consolidated Endowment Fund	\$1,947 100%		

¹ Total international exposure: 45%; Estimated net foreign currency exposure: 43% ² Includes allocation to cash

CONSOLIDATED ENDOWMENT FUND (CEF)

For the Quarter ending March 31, 2007

Total Returns As of 3/31/07¹ (%)

	3 rd Qtr FY 2007	1-Year	5-Year	10-Year
Total CEF Return	4.8	15.1	11.7	11.8
Strategy Weighted Policy Benchmark	3.4	14.2	10.2	11.1
Equity Fund	5.1	16.2	11.9	12.4
Weighted Policy Benchmark	3.7	16.4	10.5	11.7
Real Assets Fund	5.6	15.8	—	—
Policy Benchmark	3.6	9.1	—	—
Fixed Income Fund	2.1	7.1	6.0	6.8
Policy Benchmark (LB Govt Bond)	1.4	5.9	5.1	6.2

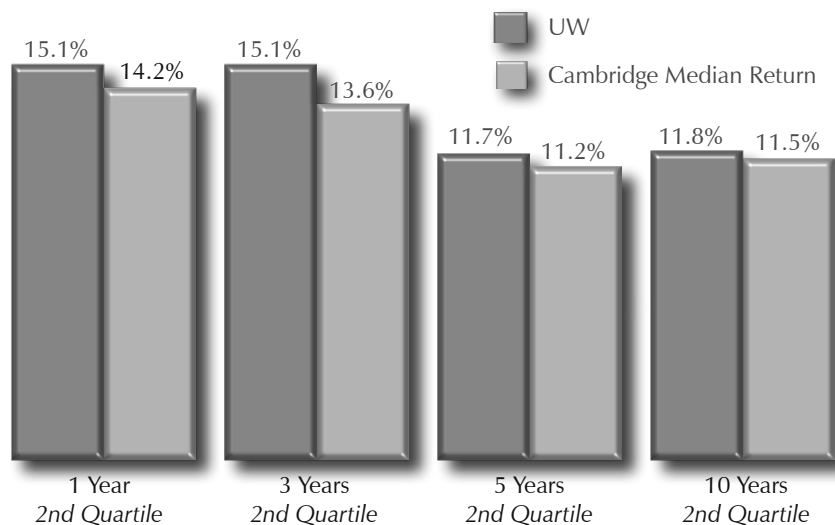
¹ Average Annual Compound Return

Activity (\$ in Millions)

	Fiscal YTD 2007	FY 2006	FY 2005	Five Years	Ten Years
Beginning Balance	1,690	1,388	1,216	1,073	442
Gifts	43	114	60	297	479
Transfers	22	22	11	67	113
Total Additions	65	137	71	365	592
IF purchase of CEF units	0	0	30	87	326
Net Investment Return	265	250	160	821	1,145
Distributions*	(59)	(70)	(76)	(334)	(476)
Administrative Fees	(3)	(3)	(3)	(13)	(21)
Development Support	(11)	(12)	(10)	(52)	(61)
Ending Balance	1,947	1,690	1,388	1,947	1,947
Net Change	258	302	172	874	1,505

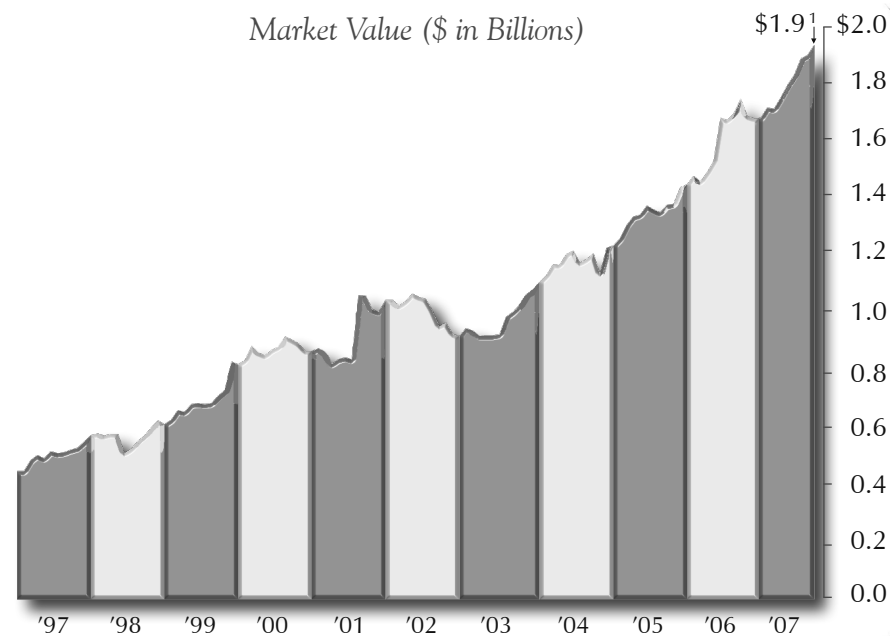
¹ FY 2005 reflects five quarters' distributions due to a change in distribution date

UW & Cambridge Associates¹ Returns for periods ending 3/31/07



² Cambridge Associates College and University Investment Pool Top 50 (by Investment Pool Market Value)

Market Value (\$ in Billions)



¹ Reflects inclusion of IF units in CEF starting 7/01—value without would be \$1.6

PERFORMANCE UPDATE

Total Return¹ As of 3/31/07

	3 rd Qtr FY '07	1 Year	3 Years	5 Years	10 Years
Consolidated Endowment Fund	4.8	15.1	15.1	11.7	11.8
Equity Fund	5.1	16.2	16.0	11.9	12.4
Domestic Equity	2.2	8.2	10.3	7.9	11.0
Core Equity	1.4	11.4	10.8	9.1	11.0
Value Equity	0.6	8.8	8.2	6.7	11.4
Growth Equity	4.8	4.1	11.1	8.4	10.7
International Developed Markets	5.0	16.0	19.2	16.6	9.2
International Emerging Markets	5.0	26.8	27.4	25.4	11.9
Marketable Alternatives	4.0	13.4	12.6	12.0	12.6
Non-Marketable Alternatives ²	11.3	23.7	20.3	10.2	15.1
Real Assets Fund	5.6	15.8	19.0	—	—
Fixed Income Fund	2.1	7.1	4.5	6.0	6.8
Market Indices					
<i>Equity Indices</i>					
S&P 500	0.6	11.8	10.0	6.3	8.2
Russell 3000	1.3	11.3	10.8	7.2	8.7
Russell 2000	1.9	5.9	12.0	10.9	10.2
Dow Jones	-0.3	13.7	8.5	5.8	8.6
NASDAQ	0.3	3.5	6.7	5.6	7.1
MSCI ACWI ex US	3.8	20.3	21.4	17.4	9.0
Emerging Markets (IFCG)	3.7	16.6	28.3	25.1	9.3
<i>Fixed Income Indices</i>					
LB Government Bond	1.4	5.9	2.7	5.1	6.2
JP Morgan Global Hedged Bond Index	1.0	5.2	4.0	5.0	6.3

Sources: Cambridge Associates and Northern Trust

¹ Total Return: average annual compound return (dividend or interest plus capital appreciation or depreciation)

² Provided by Cambridge Associates on a quarter lag.

INVESTED FUNDS (IF)

For the Quarter ending March 31, 2007

Total Return¹ (%)

	3 rd Qtr FY 2007	1-Year	5-Year	10-Year
Cash	1.5	5.5	3.6	5.0
Citi 2 Yr Treasury	1.5	5.0	3.3	4.6
Liquidity	1.6	5.6	4.4	5.8
LB Intermediate Govt Bond	1.5	5.7	4.3	5.6
IF excluding CEF units	1.6	5.6	4.2	5.6
Weighted Benchmark	1.5	5.6	4.0	5.4
IF including CEF units	2.6	8.6	6.6	7.5
Weighted Benchmark	2.1	8.3	6.1	7.2

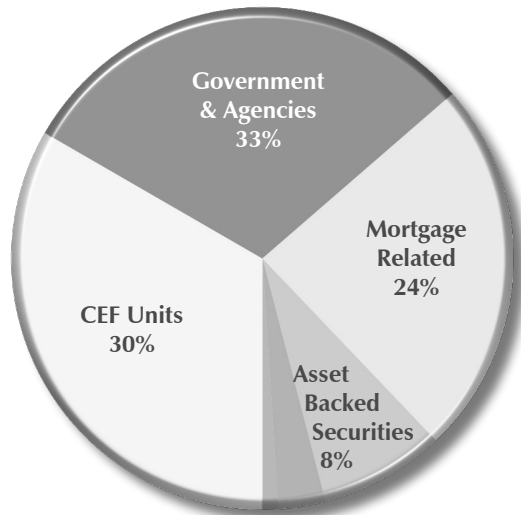
¹ Average Annual Compound Return

Fund Allocation by Pool (\$ in Millions)

	Fund Allocation		Range	Duration	
				Actual	Maximum
Cash Pool	\$320	26%	10%–40%	1.8	3.0 yrs
Liquidity Pool ¹	552	44%	30%–60%	3.2	4.0 yrs
Total Cash & Liq. Pool	\$872	70%			
CEF Units held by IF		30%	15%–40%		
Total Invested Funds		100%			

¹ Includes Interfund Loans

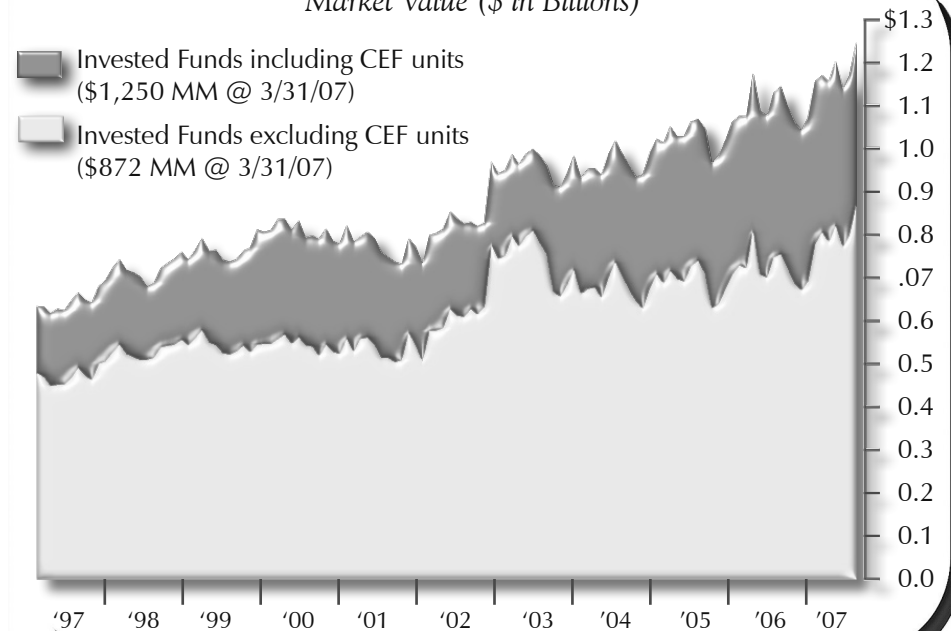
Mix of Investments¹



Corporate Bonds: 1% Cash: 3%

¹ Not shown—Interfund Loans (0.03%)

Market Value (\$ in Billions)



UWINCO SUMMARY OF ACTIONS TAKEN UNDER BOARD DELEGATED AUTHORITIES

JANUARY 1, 2007 THROUGH MAY 15, 2007 (\$ IN MILLIONS)

By the Chief Investment Officer

<i>Public Markets</i>				
Approved	Action	Manager / Fund	Strategy	Investment
01/11/07	New	Northern Trust	Domestic Equity	\$10.0
01/11/07	Addition	Tygh	Domestic Equity	\$10.0
02/22/07	Addition	SSGA EAFE	International Developed	\$12.0
01/18/07	New	Atlantis China	International Emerging Markets	\$5.0
01/11/07	Addition	Tree Line	International Emerging Markets	\$3.0
01/08/07	New	Arisaig Africa Fund	International Emerging Markets	\$2.0
01/11/07	Reduction	PIMCO All Asset Fund	Real Assets	-\$15.0
03/02/07	Liquidation	PIMCO All Asset Fund	Real Assets	-\$17.0
01/11/07	Addition	Wellington Energy Fund	Real Assets	\$10.0
01/11/07	Addition	Morgan Stanley Best Ideas Fund	Real Assets	\$20.0
03/02/07	New	Fidelity Real Estate Opportunistic Income Fund	Real Assets	\$10.0
03/23/07	Addition	Goldman Sachs	International Emerging Markets	\$15.0
03/23/07	Liquidation	Payden & Rygel Global Fixed Income	Fixed Income	-\$44.0
03/23/07	New	Global Opportunistic Fixed Income (Brandywine)	Fixed Income	\$45.0
03/23/07	Liquidation	Sands Capital Management	Domestic Equity	-\$45.0
04/06/07	New	Renaissance International Equities Fund	Domestic Equity	\$50.0

UWINCO SUMMARY OF ACTIONS TAKEN UNDER BOARD DELEGATED AUTHORITIES

JANUARY 1, 2007 THROUGH MAY 15, 2007 (\$ IN MILLIONS)

By the Chief Investment Officer

<i>Private Markets</i>				
<u>Approved</u>	<u>Action</u>	<u>Manager / Fund</u>	<u>Strategy</u>	<u>Investment</u>
03/02/07	Reup	OCM Opportunities Fund VIIb	Non-Marketable Alternative	\$6.0
01/04/07	New	Greenbriar Capital	Non-Marketable Alternatives	\$7.0
03/02/07	Addition	Morgan Stanley Special Situations III	Real Assets	\$5.0
03/23/07	Reup	MatlinPatterson Global Opportunities Partners III	Non-Marketable Alternatives	\$6.0
03/23/07	Reup	Riverside European Fund III	Non-Marketable Alternatives	\$6.0
04/06/07	New	Redwood Grove International	Real Assets	\$12.0
05/04/07	Reup	Arclight Energy Partners IV	Real Assets	\$10.0

VII. STANDING COMMITTEES**B. Finance, Audit and Facilities Committee**UW Tacoma Phase 3 – Architect AppointmentRECOMMENDED ACTION:

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the President be delegated authority to award design contracts for the UW Tacoma Phase 3 project to the firm of (A), subject to successful negotiation of an architectural agreement. The Phase 3 scope includes the Russell T. Joy Building renovation/addition and other capital improvement projects required to accommodate 600 additional students. It is intended that this project will be brought back to the Board of Regents at the completion of the pre-design phase in early 2008 to present the project and request the additional project approvals.

In the event of an unsuccessful negotiation with the selected firm, it is requested that authority be delegated to open negotiations with (B, C) the firms recommended as first and second alternates.

BACKGROUND

The Legislature appropriated funding to complete the pre-design and design for the Phase 3 development of the Tacoma campus which will provide additional academic space to support expanded and new degree programs. The goal of the project is to develop capacity to accommodate at least 600 additional full-time equivalent students and transition to a model of a comprehensive four-year institution. The Phase 3 scope includes a major renovation and addition to the Joy Building and other capital improvement projects that will be defined in the pre-design phase.

The Russell T. Joy Building (Joy Building) is an existing historic masonry structure, constructed in 1892, located on the west side of Pacific Avenue, in the Union Depot/Warehouse Special Review District. This three-story 44,000 gross square foot building is located between two UW Tacoma buildings, West Coast Grocery Building (WCG Building) to the south and the Pagni and Lenti Grocery Building (Grazzi's) to the north.

In April 2007, the Capital Projects Office advertised for firms interested in providing pre-design and design services. Twelve firms responded to the Request for Qualifications for this project, and three firms were interviewed by the Architectural Commission on June 4, 2007: Bohlin Cywinski Jackson, Thomas Hacker Architects, and the SRG Partnership, Inc. It is the Commission's recommendation that (A) be appointed design architect for this project. The

VII. STANDING COMMITTEES

Finance, Audit and Facilities Committee

UW Tacoma Phase 3 – Architect Appointment (continued p. 2)

Architectural Commission is charged with identifying the top firm as well as alternates, or second and third place firms, ensuring that negotiations can continue in a timely manner. The alternate firms recommended by the Architectural Commission are (B, C).

The total project budget for Phase 3 is estimated at \$60,150,000, of which \$6,150,000 was authorized by the State in the 2007-2009 biennium for pre-design and design.

VII. STANDING COMMITTEES**B. Finance, Audit and Facilities Committee**UW Medical Center Expansion Project – Architect**RECOMMENDATION:**

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the President be delegated authority to award a contract for the design of the UW Medical Center Expansion Project to the second-ranked firm of NBBJ, subject to successful negotiation of an architectural contract. Should negotiations with NBBJ be unsuccessful, it is recommended that the design be awarded to the third-ranked firm of ZGF, subject to successful negotiation of an architectural contract.

BACKGROUND:

In May 2006, the Architectural Commission interviewed three finalist firms in a competition for the design of the UW Medical Center (UWMC) Expansion Project. The Commission considered all three firms to be highly qualified for the work. Noting that there was little basis to discern the relative rankings of the three firms, the Commission did determine that the firm of Anshen & Allen was the most highly qualified, followed in very close order by NBBJ as number two, and ZGF as number three.

In June 2006, the Capital Projects Office (CPO) entered into a professional services agreement with Anshen & Allen for pre-design of the project. The pre-design effort was suspended from November 2006 through February 2007, while the UWMC administration developed and analyzed financial models to determine if a project budget could be established to meet the cost estimate generated from the pre-design. Following an informational update to the Board of Regents in March 2007 and a funding commitment from UWMC, the administration authorized CPO to proceed with schematic design, with the understanding that formal Board of Regents approval of the project was still pending.

CPO and Anshen & Allen began architectural contract negotiations in late March 2007. Negotiations stalled when it became clear that the minimum design fee acceptable to Anshen & Allen would be far higher than the project budget for this effort and would, as a percentage of construction cost, have been substantially higher than the University has paid on any major capital project. Issues associated with changes in key Anshen & Allen staff assignments and team dynamics also remain unresolved. On May 11, 2007 CPO notified Anshen & Allen of its determination that contract negotiations could not be successful

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

UW Medical Center Expansion Project – Architect (continued p. 2)

concluded. Pre-design work has been completed and CPO is in the process of closing out the professional services agreement with Anshen & Allen.

Pending approval of the Board of Regents, it is the intent of CPO to enter into an architectural contract with NBBJ and proceed immediately thereafter with schematic design. NBBJ is a Seattle-based, internationally renowned architectural firm with strong expertise in health care facility design. The University has successfully collaborated with NBBJ on its two most recent major hospital projects – the UWMC Surgery Pavilion and the Harborview Medical Center Bond Program. Given this previous experience with NBBJ, it is expected that a highly competent design team will be assigned and that the negotiated design services fee will be within the budget established for this project. Should negotiations with NBBJ be unsuccessful, it would be the intent of CPO to proceed to negotiations with ZGF, a firm with very strong credentials in the design of health care facilities.

VII. STANDING COMMITTEES**B. Finance, Audit and Facilities Committee**Molecular Engineering Interdisciplinary Academic Building - ArchitectRECOMMENDED ACTION:

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the President be delegated authority to award design contracts for pre-design and design services for the Molecular Engineering Interdisciplinary Academic Project with the firm of (A) subject to the successful negotiation of agreements.

In the event of an unsuccessful negotiation with the selected firm, it is requested that authority be delegated to open negotiations with (B, C), the firms recommended as first and second alternates.

BACKGROUND:

The proposed Molecular Engineering Interdisciplinary Academic Building (MEIAB) is to be constructed as a complement to the University's existing engineering facilities. This new facility will enable significant advances in the molecular engineering program, and will provide space for the development of interdisciplinary programs melding molecular engineering with aspects of medicine, biology, nanotechnology, physics, and quantitative systems.

The roots of the molecular engineering program at the University of Washington are already in place as a result of recent developments in bioengineering and nanotechnology. There are already molecular engineers in bioengineering, chemical engineering, nanotechnology, electrical engineering, mechanical engineering, and materials science engineering. The proposed new facility will provide the necessary modern program space to bring these departments together to meet the next generation needs of interdisciplinary teaching and research in molecular engineering and these related disciplines. Molecular engineering encompasses aspects of all of these areas as they relate to the manufacturing of molecules. Molecular engineering technology may be used to create, on an extremely small scale, new molecules which may not exist in nature.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Molecular Engineering Interdisciplinary Academic Building – Architect (continued p. 2)

The MEIAB will consolidate space currently located in existing facilities, as well as accommodate anticipated growth. The building will provide instrumentation laboratories with ultra low vibration and electromagnetic interference, as well as preparatory laboratories and flexible research and teaching laboratories. Office space will also be provided for faculty, staff, and graduate students. This environmentally quiet space will permit consolidation of sensitive molecular and nanotechnology instrumentation, providing enhanced research synergy between programs.

This project is estimated at 80,000 gross square feet. It is currently anticipated to maximize ground and basement levels to provide laboratories with low vibration and electromagnetic interference as well as provide above ground laboratories and office space. This will permit a potential second phase of approximately 80,000 gsf of further major expansion of laboratory and office space.

Funding of \$5,000,000 for pre-design and design has been allocated by the State based on a Project Request of \$62,500,000. The construction phase is contingent upon funding by the Legislature, anticipated to be allocated for the 2009-2011 biennium. The project schedule is: pre-design, July 2007 to December 2007; design, April 2008 to April 2010; and construction, April 2110 to April 2112. Upon completion of the pre-design phase, a project cost estimate will be developed and a request will be made to establish the project budget.

In May 2007, the Capital Projects Office advertised for firms interested in providing pre-design and design services. Seven firms responded to the Request for Qualifications for this project, and three firms were interviewed by the Architectural Commission on June 4, 2007. The selection committee included the University Architectural Advisor, members from the Capital Projects Office, the Capital and Space Planning Office and the College of Engineering. The recommendation is that (A) be appointed design architect for this project. This appointment will include both the State funded project and a potential second phase if funding is available to proceed in a timely manner. The Architectural Commission is charged with identifying the top firm as well as alternates, or second and third ranked firms, ensuring that negotiations can continue in a timely manner. The alternate firms recommended by the Architectural Commission are (B as alternate and C as second alternate).

VII. STANDING COMMITTEE

B. Finance, Audit and Facilities Committee

Sound Transit

Information will be available at the meeting.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Medical Centers Billing System – Detailed Plan DevelopmentRECOMMENDED ACTION:

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the President or the President's authorized representative be delegated authority to enter into appropriate contracts and related expenditures in an amount not to exceed \$5,000,000 to continue the development of more detailed plans for possible implementation of the proposed new admissions-discharge-transfer and facility billing system for UW Medical Center and Harborview Medical Center.

BACKGROUND:

UW Medicine has reviewed preliminary plans to replace the admissions-discharge-transfer (ADT) and facility billing system with the Board of Regents, most recently at meetings in February and May, 2007. The ADT/Billing system project is part of UW Medicine's five year strategic information technology plan.

Preliminary planning has progressed to the point where authorization is requested to expend up to \$5,000,000 to continue development of more detailed plans for possible implementation of the proposed and critically needed system for the medical centers.

This work shall include, and be documented in a detailed report to the Vice President for Computing and Communications:

1. The development of a detailed project plan for implementing the ADT/Billing system for UWMC and Harborview Hospitals.
2. An assessment of overall institutional 'capacity' of UW Medicine to conduct simultaneous IT projects, via a detailed, task level, identification of the specific non-technical and also shared technical, management, and other staff resources that will be required for concurrent implementation of ORCA/Cerner, ADT/Billing, and other UW Medicine IT projects being conducted in the same timeframe.
3. A detailed, task level, identification and recruitment of the specific technical staff resources that will be required for successful implementation, including specific identification of reliable sources of that expertise that will commit to providing them in the required time-frames at a predictable cost.
4. A contingency plan addressing the probabilities that contention may arise in the competition between Cerner, ADT/Billing, and other UW Medicine IT projects for the time and attention of technical as well as functional, clinical and management staff. Explicit consideration should be given to the possibilities and impacts of having to suspend or delay some projects in order to successfully implement ADT/Billing.

VII. STANDING COMMITTEES

Finance, Audit and Facilities Committee

Medical Centers Billing System – Detailed Plan Development (continued p. 2)

Formulation of the decision-making model, organization, and process, including commitment of the UW Medicine's hospitals and the new 'Chief Operating Officer' to this project, that will ensure timely and well-informed resolution of conflicting requirements, approaches and priorities regarding UWMC and Harborview Hospitals.

5. The development of a template of a vendor contract for proceeding with ADT/Billing that addresses all appropriate contingencies and incorporates provisions for effective risk mitigation plans.

Funding for these costs is available from patient revenues and has been approved by the Harborview Medical Center Board and the UW Medicine Board.

A determination that there are no significant impacts on institutional systems, resources or business practices was reviewed with the University's Information Management Advisory Committee.

The Washington State Department of Information Services and Information Services Board, which has oversight for information technology acquisitions by state agencies and institutions, will consider this proposed development plan once it is approved by the Regents. This request has been approved by the Chief Executive Officer for UW Medicine, the Vice President for Computing and Communications, and the Executive Vice President.

VII. STANDING COMMITTEE

B. Finance, Audit and Facilities Committee

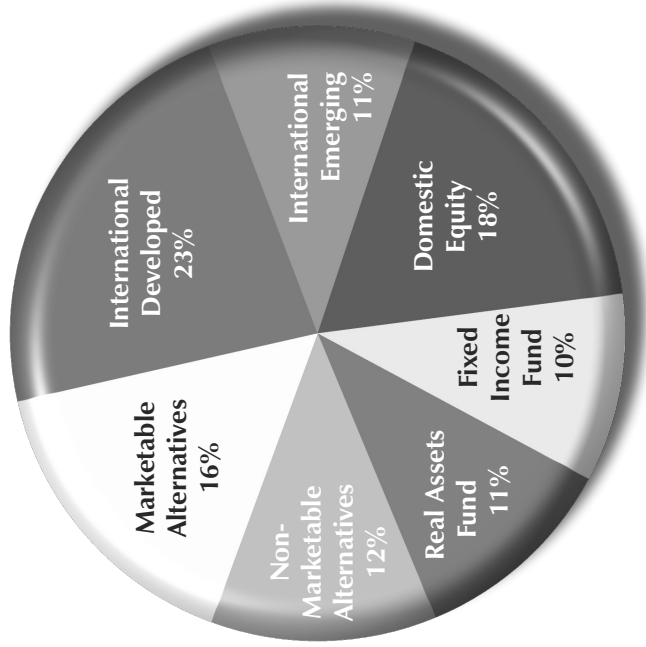
Amendment to Investment Policies

Pulled from the Agenda.

Consolidated Endowment Fund (CEF) Update

as of March 31, 2007

Asset Allocation—\$1.9 Billion



Performance (%)

	'07 Fiscal		
	Year-to-Date	5-Year	10-Year
Total CEF Return	15.4	11.7	11.8
Policy Benchmark	13.7	10.2	11.1
Equity Fund	17.0	11.9	12.4
Policy Benchmark	16.2	10.5	11.7
Real Assets F und	13.0	—	—
Policy Benchmark	6.3	—	—
Fixed Income F und	6.7	6.0	6.8
Policy Benchmark	5.9	5.1	6.2

Market Perspective

The last six weeks of the quarter were eventful in global financial markets. Beginning the last week of February, a sharp drop in the Chinese stock market along with concerns regarding the US economy combined to increase volatility and risk aversion in equity, credit and currency markets worldwide. However, since then markets reversed course, retraced losses over the period, and volatility subdued.

Fiscal year-to-date, the CEF returned +15.4%, 170 basis points above the policy benchmark return of +13.7%.

Investment staff remains focused on overseas markets with overweights in both international and emerging markets.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Consideration of Petition for Repeal of WAC 478-136-035

RECOMMENDED ACTION:

It is the recommendation of the President that the Petition for Repeal of WAC 478-136-035 (“No Smoking Policy for University Facilities”) submitted by Robert W. Haller be denied.

BACKGROUND:

On May 17, 2007, Petitioner Robert W. Haller (“Petitioner”) submitted a Petition to Repeal WAC 478-136-035 (Attachment 1). This petition and the following response are being submitted to the Board of Regents for its consideration pursuant to RCW 34.05.330.

1. Summary of legal authority for adoption of WAC 478-136-035

The University’s “No Smoking Policy For University Facilities,” WAC 478-136-035, was drafted in response to the recommendation of a committee of 22 individuals representing student government and numerous University departments on all three campuses. In addition, the University held two public meetings to invite comment from the campus community and the public. The Regents adopted the policy effective February 23, 2007.

For the reasons explained in more detail in the memorandum from Assistant Attorney General Jeffrey Davis (Attachment 2), the Regents have the authority to promulgate this broad “no smoking” policy.

To summarize, the Regents have been given “full control of the university and its property of various kinds, except as otherwise provided by law.” RCW 28B.20.130. The regulation in question, WAC 478-136-035, is an exercise in control of the property of the University under this statutory grant of authority. By its own terms it is “consistent” with RCW 70.160 (I-901)¹, but the authority for WAC 478-136-035 comes from RCW 28B.20.130, as well as from RCW 70.160.

RCW 70.160 bans smoking in two types of areas: (a) public places, and (b) places of employment. “Public places” are defined by RCW 70.160.020 as buildings open to the public, plus an area around those buildings’ doors, windows and air intakes. “Places of employment” are defined by RCW 70.160.020 as “area[s] under the

¹ The voters adopted Initiative I-901 in November 2005, and it has since been codified in the Revised Code of Washington as Chapter 70.160.

STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Consideration of Petition for Repeal of WAC 478-136-035 (continued p. 2)

control of a public or private employer which employees are required to pass through during the course of employment.”

RCW 70.160 does not grant smokers a right to smoke, anywhere. It does provide that it is not a violation “of this chapter” to smoke when “passing by or through a public place while on a public sidewalk or public right of way.”² However, it does not limit the University’s ability to impose additional restrictions applicable to such areas that are under the University’s control. Further, the statute does *not* exempt smokers who are passing through a “place of employment,” and the statute does not mandate that a “place of employment” be a building. Numerous University employees travel through outdoor portions of the campus as a regular part of their employment (*e.g.* police officers, mailing services employees, gardeners, faculty going to nearby buildings to teach class).

2. Response to specific arguments in Mr. Haller’s Petition (as specified on p. 1 and the summary on pp. 12-13)

- “It imposes unreasonable costs”

The only “cost” identified by the petitioner is longer travel time from a work location to a smoking location during work breaks. This is not an “unreasonable cost.”

- “It is applied differently to public and private parties”

The petitioner points to the language in WAC 478-136-035(2) that “[i]n addition, any student, staff, or faculty member who violates the university no smoking policy may be subject to disciplinary action.” This is not different application to public and private parties, such as allowing certain persons to smoke and forbidding others. Rather, the rule points out the obvious – that violation of the smoking rules may have additional consequences due to one’s associations with the University, such as student or employee status. In short, the petitioner conflates different consequences based on legitimate differences in status with “different treatment.”

- “It is not authorized. The agency has no authority to make this rule”

² “Sidewalk” is defined in RCW 46.04.540 as “property between the curb lines or the lateral lines of a roadway and the adjacent property,” thus does not describe walkways through the campus. “Public right of way” is defined or used in the RCW as synonymous with “roadway open to the public.” *See, e.g.,* RCW 79.36.440 or 35.99.010(5).

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Consideration of Petition for Repeal of WAC 478-136-035 (continued p. 3)

As noted above, the Regents have been given “full control of the university and its property of various kinds, except as otherwise provided by law.” RCW 28B.20.130. No statute requires that smokers be allowed to smoke on property controlled by others. So long as the Regents do not enact a rule allowing smoking where I-901 prohibits it, the Regents are acting within the authority granted by RCW 28B.20.130.

WAC 478-136-035(1) notes that the rule also helps protect property against fire hazards. Just as an enterprise that is essentially outdoors (such as a wildlife park or a zoo) may ban smoking to protect against fire, so too may the Regents. Cigarettes discarded into dry grass or leaves or into trash containers can cause significant harm. In fact, the Washington Department of Natural Resources determined that 3.4% of all forest fires in the state in 2001 were caused by cigarettes, a number higher than those caused by arson (*see* <http://dnr.wa.gov/htdocs/rp/01wildlandfiresum/2001firesummary.pdf>). Limiting smoking to areas that have improved protection from discarded cigarettes clearly assists the University in protecting its resources from fire.

- “It conflicts with another federal, state, or local law or rule”

The only rule identified by the petitioner is I-901 (RCW 70.160). WAC 478-136-035 does not conflict because it does not allow smoking where I-901 forbids it.

Contrary to petitioner’s assertion, I-901 does not say there may be no designated outdoor smoking sites. Because smoking is banned indoors in public places and place of employment, there cannot be designated *indoor* smoking sites in any campus buildings, but the designated smoking sites provided for in WAC 478-136-035 are all outdoors.

Also contrary to petitioner’s assertion, I-901 does not allow smoking anywhere. It simply does not mandate forbidding it beyond certain boundaries. In fact, WAC 478-136-035 is arguably required by RCW 70.160’s ban on smoking in places of employment, *i.e.* “area[s] under the control of a public or private employer which employees *are required to pass through* during the course of employment.” (Emphasis added.) An employee required to go from Smith Hall to Denny Hall, without this rule, would likely be required to pass through areas of cigarette smoke if smokers could smoke anywhere on campus so long as they were more than 25 feet from doors, windows and ventilation intakes. By designating specific smoking sites, the University has ensured that employees required to walk between buildings do not have to be subjected to cigarette smoke, since they can easily reroute themselves around these limited locations. Retaining the rule ensures that

STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Consideration of Petition for Repeal of WAC 478-136-035 (continued p. 4)

workers are properly protected from exposure in their workplace to second-hand smoke.

I-901 prescribes minimum protections for non-smokers, such as mandating that at least 75% of hotel rooms be non-smoking. Property owners may always go beyond those minimums, and many hotels in Washington have become entirely smoke-free. Such a decision is not in conflict with I-901, and neither is WAC 478-136-035.

- “Other.”

Petitioner raises two other issues: “1. The rule disparages the gradual cultural change that has been progressing so well without the rule[;]” and “2. The rule fosters contempt of law. After a review of the foregoing a reasonable person should conclude mischievous negligence. To let this amendment stand would constitute an act of Mala Fide (In bad faith).”

The voters adopted I-901 because “gradual cultural change” was not providing adequate safeguards against the detrimental health effects of second-hand smoke, including the risk of acquiring or aggravating cancer, asthma, bronchitis and heart disease. It is hardly “bad faith” or a “contempt of law” for the University to provide additional protections against these risks.

3. Conclusion

WAC 478-136-035 is legal and fulfills the Regents’ policy to the campus community to protect non-smokers from second-hand smoke. The petition to remove these protections should be denied, for the reasons herein provided.

Attachments:

1. Petition
2. Memorandum of Jeffrey W. Davis

VII. STANDING COMMITTEE

B. Finance, Audit and Facilities Committee

Consideration of Petition for Repeal of WAC 478-136-035

For a copy of **Attachment 1**, please contact the University of Washington, Office of the Board of Regents via telephone at 206-543-1633, or E-mail: regents@u.washington.edu. A copy will be sent via U.S. Postal Service or facsimile.



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MEMORANDUM

December 14, 2006

TO: Ms. Rebecca Goodwin Deardorff, Director
Rules Coordination Office, Box No. 355509

FROM: Jeffrey W. Davis
Assistant Attorney General

SUBJECT: **Authority of Regents Regarding Smoking on Campus**

You have requested advice concerning the legal right and ability of the Regents to limit smoking on campus. The lone attendant at the recent public hearings on adoption of amendments to WAC 478-136 has argued that the Regents can only ban smoking within 25 feet of buildings, given language in the recently passed Initiative 901 (I-901).

I-901's provisions have been incorporated into RCW Chapter 70.160. It should be noted that RCW 70.160.011 declares, incorporating the legislative findings the people made in adopting I-901, that "second-hand smoke is known to cause cancer in humans." Accordingly, the statute goes on, "[i]n order to protect the health and welfare of all citizens, including workers in their places of employment, it is necessary to prohibit smoking in public places and workplaces."

RCW 70.160.020 defines a public place to include buildings "used by and open to the public," including "a presumptively reasonable **minimum** distance, as set forth in RCW 70.160.075, of twenty-five feet from entrances, exits, windows that open, and ventilation intakes that serve an enclosed area where smoking is prohibited" (emphasis added).

RCW 70.160.075 provides a mechanism by which a property owner may prove that less than twenty-five feet is adequate under circumstances unique to a given property, but neither RCW 70.160.020 nor RCW 70.160.075 prevents a property owner from setting the distance at more than twenty-five feet from doors, windows and ventilation intakes. The goal is to ensure that workers are never exposed unwillingly to second-hand smoke because it is a well-known carcinogen, not to mention a noxious substance to most non-smokers. Any owner of property

covered by this statute is within his rights under the statute in defining the needed distance more broadly, since the goal of the statute is to protect non-smokers, not to protect smokers.

More to the point, nothing in the statute provides smokers with a right to smoke, anywhere. The owner of a resort hotel in this state, for example, may choose to enhance the enjoyment of all the facilities, indoor and outdoor, by banning smoking from the premises and trespassing anyone who violates that ban. Indeed, it is common knowledge that non-smokers may be just as bothered by a person smoking near them at a swimming pool as by a person smoking near them in a restaurant, and may choose to not patronize resort hotels that allow such noisome behavior. Nothing in I-901 or in RCW 70.160 bars the resort owner from taking steps to retain the trade of the non-smoker by making the entire premises smoke-free.

The Regents of the University of Washington are the “owners” of the property of the University of Washington to the extent that the legislature has given them “full control of the university and its property of various kinds, except as otherwise provided by law.” RCW 28B.20.130. The Regents may not allow smoking within any area covered by I-901/RCW 70.160, since that is one of the exceptions “otherwise provided by law.” Since I-901/RCW 70.160 does not grant to smokers the right to smoke in any particular location, the Regents retain the right to limit smoking more broadly than the minimum distances provided for in RCW 70.160.020 and -.075.

There are numerous reasons why the Board should do so, given the legislative finding by the people, in adopting I-901, that second-hand smoke is a carcinogen that non-smokers are entitled to be protected from. Those findings, incorporated as noted above into RCW 70.160.011, also dedicate the state to protecting its citizens, “including workers in their places of employment.”

Many University of Washington employees walk through campus as part of their assigned duties, such as police officers, mailing services employees, gardening and maintenance employees, faculty en route to classes in various buildings around campus, and support staff assigned from time to time to pick up or deliver items from campus locations. By limiting smoking to specific locations, the Regents protect the rights of these employees to travel through these work spaces without unwanted exposure to unwanted carcinogens and smoke, by means of only modest detours in their routes. In the absence of such rules, University employees needing to walk through campus as part of their employment duties would have no way of ensuring freedom from harmful exposure, except when in the propinquity of building doors, windows and ventilation intakes, given the modest minimum requirements of RCW 70.160.020. Gardeners and delivery persons are as entitled to perform their job duties without exposure to carcinogenic tobacco smoke just as much as nurses or office staff who spend the majority of their time indoors.

In summary, the Regents have the legal right to regulate smoking on the campus, so long as they do not allow smoking in areas prohibited by I-901/RCW 70.160. They may prohibit it more broadly than that initiative and statute do, since nothing in either restricts the right of the Regents to control the property of the University granted to them by RCW 28B.20.130.

Rebecca Goodwin Deardorff
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JWD:JWD

cc: Karen VanDusen

VII. STANDING COMMITTEES**A. Finance, Audit and Facilities Committee**

in Joint Session with

B. Academic and Student Affairs Committee**University of Washington Fiscal Year 2008 Operating and Capital Budgets****RECOMMENDED ACTION**

It is the recommendation of the administration, the Finance, Audit, and Facilities Committee and the Academic and Student Affairs Committee that the Board of Regents, pursuant to its authority under RCW 28B.20.130, the Bylaws of the Board of Regents, and Board of Regents Standing Order No. 1, approve the Fiscal Year 2008 operating and capital budgets for the University of Washington that are presented in the following text and tables. In this action item, the Board of Regents, in its sole and independent discretion:

- Adopts the Fiscal Year 2008 operating budget, including funds to provide faculty salary increases;
- Establishes tuition rates for all tuition categories for the 2007-08 academic year; and,
- Adopts the Fiscal Year 2008 capital budget.

Status of FY 2008 Operating and Capital Budget Development

The proposed FY 2008 operating and capital budgets and the proposed tuition rates for the 2007-08 academic year were discussed at a joint meeting of the Finance, Audit and Facilities Committee and the Academic and Student Affairs Committee in May 2007.

No substantive changes were made to the allocations proposed in this budget based on the discussion with the joint committee in May.

Financial Context for the Proposed FY 2008 Operating and Capital Budgets

The FY 2008 budget proposal that is being presented to the Board of Regents is divided into four areas:

- The three parts of the Operating Budget:
 - the Core Education Budget;
 - the Restricted Programs Budget;
 - the Academic Enhancement/Support Budget; and
- The Capital Budget.

The FY 2008 proposed operating budget is summarized in Table 1; the FY 2008 proposed capital budget is presented in Table 5. The financial context for FY 2008 is different for the Core Education, Restricted Programs, Academic Enhancement/Support, and Capital Budgets.

Core Education Budget Financial Context. The context for the FY 2008 Core Education budget is primarily set by two factors: actions affecting FY 2008 that were taken by the state Legislature in the 2007-09 biennial budget; and tuition setting decisions for the 2007-08 academic year for all tuition categories that will be made by the Board of Regents as part of their adoption of the FY 2008 budget.

In the 2007-09 biennial budget, state funding was provided for a 3.2% salary increase for all employee groups for FY 2008. Note that for faculty, professional staff, librarians and teaching and research assistants, the university can use local funds to supplement the salary increases funded by the state. The state provided new funds for health benefits, general undergraduate and graduate enrollments at all three campuses as well as new funds for enrollments in math, science and engineering.

A number of significant initiatives were funded, including operations and maintenance support for the UW Tower; teaching and research activities in the Department of Global Health; expansion of the WWAMI program to include a first-year WWAMI site in Spokane; and a new Regional Initiative in Dental Education (RIDE) program through the UW School of Dentistry with an initial site in Spokane.

The Legislature provided the University the authority to raise undergraduate resident tuition by up to 7% for each year of the 2007-09 biennium. In the 2003 legislative session, the Board of Regents was given local authority to set tuition for all tuition categories except undergraduate resident. This authority was granted for six years and the 2007-08 academic year will be the fifth year in which undergraduate non-resident, graduate and professional tuition levels are established using this authority.

Restricted Operating Budget Financial Context. The outlook for the FY 2008 Restricted Programs Budget projects a small increase in direct costs for grant and contract activity. This forecast reflects a flattening out of sponsored research activity at the University due to the leveling off of growth in federal research budgets. Indirect costs are expected to increase due to implementation of increased indirect cost rates on grants funded on July 1, 2005 and thereafter. Spending from gift and endowment accounts is predicted to increase as a result of continued positive returns for the Consolidated Endowment Fund and continued success in obtaining gifts to the University. State Restricted Funds will increase slightly based on legislative actions.

Academic Enhancement/Support Budget Financial Context. The University's Academic Enhancement/Support functions have a stable outlook for FY 2008. The University's large auxiliary business enterprises (UW Medical Center, Educational Outreach, Housing and Food Services, Intercollegiate Athletics, and Parking and Transportation Services) are all financially stable and continued growth is expected in FY 2008.

Capital Budget Financial Context. The proposed UW Capital Budget for FY 2008 reflects actions taken by the Legislature in the 2007 legislative session, as well as local funds from enterprise units, donors, indirect cost recovery and local bonds. State capital resources provided in the 2007-09 biennial capital budget support the third phase of the Seattle campus' Restore the Core program of major building renovations, and planning and design for capacity and program growth at the Seattle, Tacoma, and Bothell campuses. The 2007-09 state capital allocation to the University of Washington is the highest level of state funding in almost ten years, and the highest level to the Seattle campus since 1993. This funding level moves the University toward the benchmark goals that guided the development of the 2007-09 state request. Continued progress in the future will still be required to achieve the University's goals for funding facilities depreciation, reducing the backlog of deferred renewal and modernization, and supporting planned institutional capacity and program growth.

Approach to FY 2008 Budget Decisions

The FY 2008 annual budget development process was guided by the principles contained in the University's new vision statement and core values developed over the past year by the administration and the Board of Regents. The vision statement reads:

The University of Washington educates a diverse student body to become responsible global citizens and future leaders through a challenging learning environment informed by cutting-edge scholarship.

Discovery is at the heart of our university.

We discover timely solutions to the world's most complex problems and enrich the lives of people throughout our community, the state of Washington, the nation, and the world.

The core values are:

Integrity ~ Diversity ~ Excellence ~ Collaboration ~ Innovation ~ Respect

In keeping with the vision and values, the FY 2008 budget is based on three over-riding themes:

- maintaining the UW standard of excellence;
- being world leaders in research; and
- being a public university.

The more specific budget goals addressed in the proposed FY 2008 budget include:

- attracting and retaining an outstanding and diverse faculty and staff;
- attracting a diverse and excellent student body and providing a rich learning experience;
- investing in new leadership of both academic and administrative units;
- expanding the reach of the UW across the globe;
- strengthening interdisciplinary research and scholarship to tackle "grand challenge" problems that will benefit society and stimulate economic development, and
- insuring the highest level of integrity, compliance and stewardship.

FY 2008 Core Education Budget Discussion

The proposed FY 2008 Core Education Budget is presented in Table 2; the temporary investment from fund balance is shown in Table 3. Proposed tuition increases for the 2007-08 academic year are presented in Appendix 4.

Changes in Revenues

The changes in revenues supporting the FY 2008 Core Education Budget are summarized below:

<u>Revenue Source</u>	<u>FY 2007 Adopted</u>	<u>FY 2008 Proposed</u>	<u>Change</u>
State General Fund	360,892,000	390,664,000	29,772,000
Tuition Operating Fee	240,800,000	272,000,000	31,200,000
<u>Designated Operating Fund</u>	<u>51,079,000</u>	<u>53,095,000</u>	<u>2,016,000</u>
Subtotal: Ongoing Core Ed Revenues	652,771,000	715,759,000	62,988,000
<u>Use of Fund Balance for Temp Exp</u>	<u>3,550,000</u>	<u>1,000,000</u>	<u>(2,550,000)</u>
TOTAL REVENUES	656,321,000	716,759,000	60,438,000

Comments on Changes in Revenues

Budgeted ongoing revenues in the proposed FY 2008 Core Education Budget are \$62,988,000 higher than budgeted revenues that supported this budget in FY 2007. State General Fund revenues (which in this presentation also includes the Education Legacy Trust Account and the Pension Funding Stabilization Account) increase by \$29,772,000 – this includes a \$1,912,000 budget reduction. The overall increase in state funding from FY 2007 to FY 2008 is 8 percent. Tuition operating fee revenues increase by \$31,200,000 and Designated Operating Fund revenues increase by \$2,016,000. In the FY 2007 Core Education Budget, \$3,550,000 in fund balance was used to support selected one-time or time-limited investments. In FY 2008, the administration is proposing to use only \$1,000,000 of fund balance to support the third year of a five year commitment. These proposed changes in revenues for FY 2008 are discussed below.

State General Fund. State General Fund revenue for FY 2008 reflects actions taken by the state Legislature in the 2007-09 state biennial budget. The increased funding available in FY 2008 is dedicated to specific purposes – salary and benefit increases, new general undergraduate and graduate enrollments, new enrollments in math, science and engineering, and a number of specific program allocations.

Tuition Operating Fee. The proposed FY 2008 Core Education Budget assumes that the proposed tuition increases for the 2007-08 academic year shown in Appendix 4 are adopted. Appendix 4 shows the proposed tuition charge for all tuition categories for the 2007-08 academic year; for informational purposes, both the percentage increase and the dollar increase recommended for each tuition category are shown. Appendix 4 also shows how the proposed

tuition and fees for the university would compare to the projected 2007-08 academic year tuition and fee rates for the Higher Education Coordinating Board 24 Comparison Group.

During the 2007 session, the Legislature passed 2SSB 5806 which implements the major higher education recommendations from the Governor's Washington Learns Initiative. The bill imposes a cap on tuition increases for resident undergraduates of no more than 7 percent per year through academic year 2016-17 and directs that annual increases will be set in the operating budget bill. The Legislature established a 7 percent maximum tuition increase for undergraduate resident tuition at research institutions in the 2007-09 biennial operating budget bill. Under a bill passed by the state Legislature in May 2003, the Board of Regents has authority to set tuition for all tuition categories except for undergraduate resident tuition through the 2008-09 academic year.

For the 2007-08 academic year, the administration is recommending that:

Undergraduate Resident tuition be increased by 7%;

Undergraduate Non-Resident tuition be increased by 4%;

Graduate Tier 1 Resident tuition be increased by 7%;

Graduate Tier 1 Non-Resident tuition be increased by 4%;

The tuition differential between Graduate Tier II and Graduate Tier I be increased from \$250 to \$500 (for both the resident and non-resident categories);

The tuition differential between Graduate Tier III and Graduate Tier II be increased from \$250 to \$500 (for both the resident and non-resident categories);

Both Public Affairs masters and Public Health and Community Medicine masters be moved from Graduate Tier II to Graduate Tier III;

Both resident and non-resident tuition for Pharm.D., Law, Medicine, Dentistry be increased by 10%;

Nursing Masters/DNP Degrees be increased by 14% for both resident and non-resident students at UW/Seattle and by 9.7% for resident and 5.2% for non-resident students at UW/Bothell and UW/Tacoma (the Masters in Nursing tuition at UW/Bothell and UW/Tacoma has been set at the same rate as Graduate Tier 2 tuition for a number of years);

Business Masters Degree tuition be increased by 11.6% for resident and 7.4% for non-resident students for the incoming cohort of students at UW/Seattle, by 11% for resident students at UW/Bothell for the incoming cohort of students, and by 7% for both resident and non-resident students at UW/Tacoma; and

Tuition charges for post-baccalaureate and non-matriculated students be adjusted consistent with the policy that has been used for tuition for these students since these tuition categories were established. Under this policy, post-baccalaureate and non-matriculated students taking undergraduate courses pay at the resident or non-resident undergraduate tuition rate established for that academic year; post-baccalaureate and non-matriculated students taking one or more graduate courses pay at the resident or non-resident Graduate Tier 3 tuition rate established for that academic year.

For Business Masters programs, starting with the FY 2007 academic year students at both UW/Seattle and UW/Bothell have cohort-based pricing and pay the same tuition rate for both years of the program. As a result, there is no tuition increase for continuing Business Masters students at either UW/Seattle or UW/Bothell in FY 2008.

Designated Operating Fund. The Designated Operating Fund revenues that support the Core Education Budget are shown in the table below:

<u>Revenue Source</u>	<u>FY 2007 Adopted</u>	<u>FY 2008 Proposed</u>	<u>Change</u>
Summer Quarter Tuition	25,150,000	26,150,000	1,000,000
Investment Income	18,000,000	18,000,000	0
Miscellaneous Fees	4,189,000	4,300,000	111,000
UWB & UWT Admin Overhead	3,085,000	3,990,000	905,000
Administrative Allowances	655,000	655,000	0
TOTAL REVENUES	51,079,000	53,095,000	2,016,000

Although Summer Quarter enrollments have declined over the past four years, Summer Quarter tuition revenue is projected to increase by \$1,000,000 for FY 2008 due to increased tuition rates and an increase in non-resident enrollees. Given market conditions in the short-term investment markets, the investment income revenue estimate for FY 2008 is unchanged from the FY 2007 level. The “miscellaneous fees” category is projected to increase by \$111,000 due to moderate increases in fee revenue. Overhead revenue from the UW/Bothell and UW/Tacoma campuses is projected to increase by \$905,000 due to growth in the UW/Bothell and UW/Tacoma budgets resulting from state enrollment and salary allocations and increased tuition revenue. The expected revenue from administrative allowances related to certain financial aid programs are not anticipated to increase for FY 2008.

Use of Fund Balance. The proposed FY 2008 budget uses \$1,000,000 in fund balance to support a previous commitment for a limited duration investment.

FY 2008 Core Education Budget Allocations

The new allocations in the FY 2008 Core Education Budget are summarized below:

<u>Core Education Budget</u>	<u>FY 2008 Allocations</u>
<u>Workplace of Choice - Competitive Compensation</u>	
Salaries	25,284,000
Benefits	3,865,000
<hr/>	
Subtotal	29,149,000
<u>Attract a Diverse and Excellent Student Body</u>	
New Enrollment Allocations	
UW/Seattle	1,500,000
WWAMI/RIDE (Legislative Action)	1,095,000
UW/Bothell	1,779,000
UW/Tacoma	1,895,000
Attract/Retain Diverse Students	1,180,000
<hr/>	
Subtotal	7,449,000
<u>Academic Program and Research Excellence</u>	
Investments in Academic Excellence	9,554,000
Global Health Teaching & Research (Legislative Action)	2,900,000
Strengthening Cross-College Programs	1,650,000
<hr/>	
Subtotal	14,104,000
<u>Integrity/Compliance/Stewardship Investments</u>	
UW Tower Operations (Legislative Action)	1,282,000
Integrity/Compliance/Stewardship	6,383,000
<hr/>	
Subtotal	7,665,000
<u>Required Cost Increases/Adjustments</u>	6,243,000
<u>Miscellaneous Legislative Actions</u>	1,798,000
<u>Use of Fund Balance</u>	1,000,000

Comments on FY 2008 Core Education Budget Allocations

The incremental FY 2008 Core Education Budget allocations are listed in the table above and are discussed in more detail below.

Workplace of Choice – Competitive Compensation. A high priority goal of the administration is to bring faculty and staff salaries closer to those of our peer institutions. The administration is proposing to commit significant local resources in addition to the 3.2% salary increases funded by the state for faculty, professional staff, and librarians. Appendix 1 presents details regarding

the salary and benefits allocations that are included in the proposed FY 2008 budget. For salaries, this proposal assumes:

For faculty: a 2% regular merit increase effective September 1, 2007; a 2.5% additional merit/compression/inequities increase effective September 1, 2007; a 1% increase for unit adjustments (requires a 1:1 match from college/school funds) for selected colleges or departments whose salaries are most significantly behind those at comparable institutions; funding of promotions; an allocation for recruitment and for unique retention opportunities that may arise.

For teaching and research assistants: a 3.2% increase effective July 1, 2007.

For professional staff and librarians: a 4.5% increase, based on merit, effective September 1, 2007 and a recruitment and retention allocation.

For represented classified staff: a 3.2% cost of living increase effective July 1, 2007; in addition to this cost of living increase, market adjustments are provided for job classifications below 80% of market; and note that classified staff who are not at the top step of their classification receive annual two-step (5%) pay increases in addition to the cost of living increase.

For non-represented classified staff: a 3.2% cost of living increase effective September 1, 2007; in addition to this cost of living increase, market adjustments are provided for job classifications below 80% of market. Note that classified staff who are not at the top step of their classification receive annual two-step (5%) pay increases in addition to the cost of living increase.

Taken together, the salary and benefits allocations in the proposed FY 2008 Core Education Budget total \$29,149,000.

Attract a Diverse and Excellent Student Body. The proposed FY 2008 Core Education Budget expands enrollment at all three University of Washington campuses:

30 general undergraduate FTE; 105 graduate FTE and 220 undergraduate math, science and engineering student FTE are added at the UW/Seattle campus;

205 general undergraduate FTE; 30 graduate FTE; and 15 undergraduate math, science and engineering student FTE are added at the UW/Bothell campus; and

225 general undergraduate FTE; 30 graduate FTE; and 15 undergraduate math, science and engineering student FTE are added at the UW/Tacoma campus.

At the UW/Seattle campus, new enrollment investments are proposed in the undergraduate honors program, the College of Architecture and Urban Planning's construction management program, the College of Arts & Sciences, and the College of Engineering.

A number of investments related to attracting and retaining diverse students are included in this proposed budget. These investments are listed in Appendix 2 and include: \$75,000 for graduate and professional student services; \$240,000 to Undergraduate Academic Affairs for second year advising; \$240,000 to Arts & Sciences for undergraduate advising; \$375,000 approved in the state budget for international learning opportunities; and \$250,000 approved in the state budget for undergraduate retention and completion programs. These proposed investments total \$1,180,000 in allocations related to attracting and retaining diverse students.

The level of financial aid that the university can offer to students is an important component of its ability to attract a diverse and excellent student body. The proposed FY 2008 Core Education Budget increases financial aid allocations for both undergraduate and graduate/professional students by providing both additional financial aid grants and additional tuition waivers. The total amount of financial aid provided from these two sources and the incremental increase in the FY 2008 budget are shown in the table below:

Increases in Financial Aid Allocations for FY 2008
(Based on the Application of Current Financial Aid Policy
and the Tuition Increases in the Proposed FY 2008 Budget)

Financial Aid Category	FY 2007 Adopted	FY 2008 Proposed	Change
Undergraduate Merit/Need Tuition Waivers	6,283,000	6,823,000	511,000
Undergraduate Financial Aid Grants	6,971,000	7,457,000	515,000
Subtotal: Undergrad Merit/Need Waivers & Grants	13,254,000	14,280,000	1,026,000
Graduate/Professional Merit/Need Tuition Waivers	3,770,000	4,048,000	307,000
Graduate/Professional Financial Aid Grants	2,988,000	3,238,000	221,000
Subtotal: Grad/Prof Merit/Need Waivers & Grants	6,758,000	7,286,000	528,000
Teaching/Research Assistant Tuition Waivers	42,106,000	43,769,000	1,663,000
Other Tuition Waivers	8,572,000	8,572,000	0
Subtotal: Teaching/Research & Other Tuition Waivers	50,678,000	52,341,000	1,663,000
Total All Sources:	70,690,000	73,907,000	3,217,000

The UW's current financial aid policy of utilizing 3.5% of tuition operating fee collections for financial aid grants and providing merit/need tuition waivers equal to 4% of tuition costs will provide \$1,026,000 in additional financial aid resources to needy undergraduate resident students in the 2007-08 academic year.

The 7% increase in the undergraduate resident tuition for the 2007-08 academic year will generate \$740,000 in additional unfunded need for undergraduate students in the 2007-08 academic year. The Regents have adopted a policy of meeting 55% of this additional need through financial aid grants and tuition waivers. To meet this policy goal next year given a 7% increase in undergraduate resident tuition, \$407,000 in additional grant and tuition waiver financial aid has to be made available to undergraduate resident students next year. The increase

of \$1,026,000 in financial aid grants and tuition waivers available for undergraduate students in FY 2008 exceeds the commitment made by the Regents by \$619,000.

Given the proposed tuition levels for the 2007-08 academic year, graduate appointees qualifying for the non-resident tuition differential waiver and for the operating fee tuition waiver will have \$1,663,000 in additional tuition waiver benefit in FY 2008. In addition, the UW's current financial aid policy of utilizing 3.5% of tuition operating fee collections for financial aid grants and providing merit/need tuition waivers equal to 4% of tuition will make \$528,000 of additional financial aid available to graduate and professional students in the 2007-08 academic year.

Academic Program and Research Excellence. As shown in Table 2, the proposed FY 2008 core Education Budget allocates \$14,104,000 toward academic and research program excellence. Program enhancement investments are made in a number of schools and colleges and the specific allocations are listed in Appendix 2. The state budget provides \$2,900,000 in funding for the newly established Department of Global Health's teaching and research programs. A significant investment of \$1,650,000 is proposed to strengthen cross-college, interdisciplinary programs. Dean reappointment allocations are proposed, and continuing investments are made in three colleges (Architecture and Urban Planning, Business and Engineering) where new deans have been hired. An allocation of \$540,000 is made to Arts & Sciences for teaching assistants; an allocation is made for Title VI outreach centers; and funding is provided to the UW Libraries and the Law Library to help cover inflationary cost increases for the purchase of library materials.

Revenue from tuition increases for the academic year plus summer quarter for UW/Bothell and UW/Tacoma total \$5,350,000 and investments will be made at each of those campuses in their budgeting processes.

Integrity/Compliance/Stewardship Investments. The FY 2008 state budget provides \$1,282,000 for University Tower operations and maintenance. A number of proposed investments in the University's administrative oversight activities are listed in Appendix 2 and total \$6,383,000. Among these investments are: Office of Information Management; expansion of the University's external affairs, state relations, and news and information services activities; External Affairs support for the RCO project; growth in the offices of the provost, academic personnel and the faculty senate; global activities staffing; investments in the minority affairs office; human resources staffing and establishment of a citizenship office within the student life office.

Allocations to enhance work-life include investment in the leadership, community and values initiative, child care referral service enhancements, priority or reserved child care spaces to assist with a small number of critical faculty hires, and growth in the counseling/disability services in student life. Expansion of the University's police department is proposed to add staffing for the UW Tower, incident prevention teams, the NightWalk safety service, and a one-time allocation to replace radios.

A number of allocations are made for information technology needs, including: technology security enhancements, academic technology investments, allocations that may support either a human resources system scoping study, a budget/general ledger system replacement scoping

study, additional support for the university's data warehouse program, or investment in the financial aid tracking system.

Several investments related to compliance are proposed. Among these investments are: bio-safety and asbestos compliance staffing, campus health service issues related to HIPPA compliance, and internal audit staffing. Finally, an allocation is provided to assist with campus grounds maintenance. In total, \$7,665,000 in new funding is proposed for investments related to integrity/compliance/stewardship in the FY 2008 Core Education Budget.

Required Cost Increases/Adjustments. The proposed FY 2008 Core Education Budget includes \$6,243,000 for required cost increases and adjustments – specific allocations are listed in Appendix 3. The largest budget increase is to cover the rising costs of natural gas; the largest decrease is in the cost of electricity. A number of other “institutional” budgets that pay for contractual or other required activities have either upward or downward annual adjustments.

Miscellaneous Legislative Actions. The proposed FY 2008 Core Education Budget includes a total investment of \$1,798,000 for miscellaneous legislative actions that were not included in the University's original state budget request. The largest ongoing investment is for the Burke Museum to create new educational programs. The largest one-time investment is provided for the Law School's Repayment Assistance Program to assist lawyers choosing to pursue careers in public interest legal positions (of the \$500,000 provided for this, \$250,000 is contingent upon matching private sector funds).

FY 2008 Restricted Operating Budget Discussion

The proposed FY 2008 Restricted Programs Budget is presented in Table 4.

Changes in Revenues

The changes in revenues supporting the FY 2008 Restricted Programs Budget are summarized below:

<u>Revenue Source</u>	<u>FY 2007 Adopted</u>	<u>FY 2008 Proposed</u>	<u>Change</u>
Grant and Contract Direct Costs	808,000,000	816,080,000	8,080,000
Grant and Contract Indirect Costs	189,000,000	196,000,000	7,000,000
Gifts	120,640,000	125,466,000	4,826,000
<u>State Restricted Funds</u>	<u>6,342,000</u>	<u>6,627,000</u>	<u>285,000</u>
TOTAL REVENUES	1,123,982,000	1,144,173,000	20,191,000

Comments on Changes in Revenues

Budgeted revenues in the proposed FY 2008 Restricted Programs Budget increase by \$20,191,000 over the FY 2007 budgeted level: grant and contract direct cost increases by \$8,080,000; grant and contract indirect cost increases by \$7,000,000; gift and endowment revenue is projected to increase by \$4,826,000; and State Restricted Funds increase by \$285,000. These proposed changes in revenues are discussed below.

Grant and Contract Direct Cost. Direct costs for grants and contracts are projected to increase by a modest 1% in FY 2008 – an increase of \$8,080,000 over the current fiscal year. This estimate assumes grant and contract awards for the current fiscal year will be at or slightly above the previous fiscal year.

Grant and Contract Indirect Cost. As direct costs for grants and contracts are projected to be slightly higher in FY 2008 than in FY 2007, and as new indirect cost rates are phasing in, grant and contract indirect cost recovery is expected to increase by \$7,000,000 - an increase of 3.6% over the budgeted level for the current fiscal year.

Gifts. Revenues to gift and endowment spending accounts are projected to increase moderately (by just under \$4,826,000) in FY 2008. This increase reflects both continued fund raising success and strong returns for the Consolidated Endowment Fund for the past few years.

State Restricted Funds. The School of Public Health and Community Medicine receives a small amount of appropriated State funding from the Accident Account and the Medical Aid Account for specific activities performed by the Department of Environmental Health. Changes in revenues for FY 2008 (an increase of \$285,000 over the current fiscal year) for these State Restricted Funds simply reflect changes in state appropriations.

FY 2008 Restricted Programs Budget Allocations

The discussion in this section is limited to how the administration proposes to allocate additional indirect cost recovery resources that are anticipated in FY 2008. Expenditures for grant and contract direct cost, almost all gifts, and state restricted funds budgets can only be used for the purposes specified by the granting agency, donor or legislature. Thus, annual expenditures for these areas are assumed to be equal to budgeted levels. The University does have discretion over how indirect cost recovery revenues are allocated.

The new allocations in FY 2008 supported by indirect cost recovery resources are summarized below.

<u>Restricted Budget - Indirect Cost Recovery</u>	<u>FY 2008 Allocations</u>
<u>Workplace of Choice - Competitive Compensation:</u>	
Salaries	466,000
Benefits	122,000
<hr/>	<hr/>
Subtotal	588,000
<u>Investments in Research Excellence</u>	
Research Cost Recovery Allocation Change	692,000
Dedicated Indirect Cost Recovery Changes	1,250,000
<hr/>	<hr/>
Subtotal	1,942,000
<u>Integrity/Compliance/Stewardship Investments</u>	1,442,000
<u>Required Cost Increases/Adjustments</u>	3,028,000

Comments on FY 2008 Indirect Cost Recovery Budget Allocations

Workplace of Choice – Competitive Compensation. The indirect cost recovery budget picks up the calculated share of the salary and benefit costs of employees who are funded from indirect cost recovery resources.

Investments in Research Excellence. A number of investments are made to support the University’s research enterprise. By policy, the University allocates the portion of indirect cost recovery revenues that is associated with college/school grant administration back to the colleges/schools based on their actual grant activity in the prior fiscal year. This allocation is called the “research cost recovery” allocation and it will increase by \$692,000 in FY 2008. This amount is calculated based on a formula and the increase in the allocation for FY 2008 is a function of both anticipated research spending in the current year and a revision in the calculation formula so that the formula is based on the University’s most recent indirect cost study.

Annual adjustments to certain indirect cost recovery budgets that are dedicated to specific purposes (such as the Primate Center “A” and “B” rates) or to pay for the operations and

maintenance costs of particular buildings (Harborview Research and Training, other Harborview research space, etc.) are also included in this category and are shown in Appendix 3. The largest variance in this area is the result of a \$1,000,000 increase in indirect cost recovery allocated for the Brotman building operations and maintenance costs.

Integrity/Compliance/Stewardship Investments. Five investments are proposed in the integrity/compliance/stewardship area (and are listed in Appendix 2): \$380,000 for additional staff support for the Animal Care and Use Committee; \$67,000 for bio-safety and asbestos compliance staffing; \$295,000 for additional staffing in the Office of Research, \$200,000 for consulting costs to assist with the development of the indirect cost rate proposal; and \$500,000 toward the development of a new faculty effort certification system (the first year of a multi-year development project).

Required Cost Increases/Adjustments. The indirect cost recovery budget picks up a share of estimated cost increases for utilities, risk management and for other critical institutional investments approved by the President and Provost – these allocations are shown in Appendix 3. The largest increases are associated with new research buildings. There is an increase of \$2,582,000 to cover increased debt service for debt issued to support construction of the Foege building and the Benjamin Hall building and a \$100,000 increase in the annual operating costs for the Benjamin Hall building. Finally, \$142,000 is proposed to pay for part of the cost of locating research related servers in the Sabey Data Center.

FY 2008 Academic Enhancement/Support Budget Discussion

There are four areas included in the Academic Enhancement/Support Budget: UW Medical Center; auxiliary enterprises; auxiliary educational activities; and institutional overhead activities that support the other functions. Auxiliary enterprises include: Housing and Food Services; Intercollegiate Athletics; Parking; internal service units (Stores, Motor Pool, Publication Services, etc.); Student Government; Recreational Sports; and miscellaneous other activities. Auxiliary educational activities include: continuing education; conferences; the medical resident program; the WWAMI Program in the School of Medicine; and miscellaneous activities. The University charges institutional overhead to all of these activities to recover the cost of central services utilized by these academic enhancement/support activities.

The projected changes in revenue for academic enhancement/support activity are shown in the table below:

Revenue Source	FY 2007 Adopted	FY 2008 Proposed	Change
UW Medical Center	589,680,000	613,267,000	23,587,000
Auxiliary Enterprises	293,187,000	304,915,000	11,728,000
Auxiliary Educational Activities	175,814,000	182,847,000	7,033,000
Institutional Overhead	14,366,000	14,750,000	384,000
TOTAL REVENUES	1,073,047,000	1,115,779,000	42,732,000

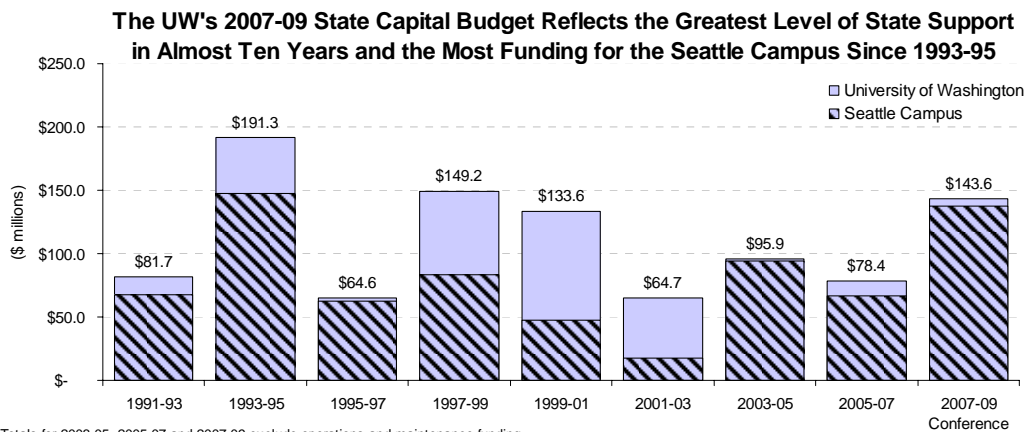
Based on financial results over the last few years, inflationary increases in revenues have been projected for FY 2008 for UW Medical Center, auxiliary enterprise, and auxiliary educational activities. The projected increase in institutional overhead revenue is based on both actual collections in the current fiscal year and projected revenue increases for those units that pay institutional overhead.

With the exception of institutional overhead resources, the Academic Enhancement/Support Budget resources can only be spent for specified purposes and annual expenditures are assumed to be equal to budgeted levels

Fiscal Year 2008 Capital Budget Discussion

The proposed FY 2008 Capital Budget is presented in Table 5 and includes approval of \$156,535,500 in new state capital funding appropriated during the 2007-09 legislative session, with the balance of \$12,912,500 in state capital funds to be approved in FY 2009. The FY 2008 Capital Budget also includes \$72,950,377 in non-state funds from the UW Building Account, donors, indirect cost recovery, enterprise unit funds, transfers from unrestricted operating funds, and UW local bonds, for a total of \$229,485,877 in new capital funds for FY 2008 from both state and non-state sources. An additional \$201,900,000 in non-state funds is planned for approval in FY 2009, which along with the planned \$12,912,500 in state funds would result in additional capital funds of \$214,812,500 in FY 2009.

The 2007-09 state capital budget represents the highest level of state funding in almost ten years, and provides 72% of the University's original capital budget request.



The FY 2008 Capital Budget is consistent with, and supportive of the goals and benchmarks that guided the development of the 2007-09 state operating and capital requests, including continued investments to improve the condition of our existing facilities. While the proposed FY 2008 capital funding level moves toward our goals for funding facilities depreciation and reducing the backlog of deferred renewal and modernization, continued progress in the future is required to achieve the benchmark funding goals.

Restore the Core

The proposed FY 2008 Capital Budget provides full funding for Phase III of the Restore the Core program of major building renovations on the Seattle campus, including \$94,042,000 in state funds, and \$3,000,000 in appropriated local funds for a total of \$97,042,000. Phase III includes construction funding for Savery Hall, Clark Hall, the Playhouse Theater, and the final state-appropriated contribution to the MHSC H-Wing Renovation project. In addition, Phase III Restore the Core funding includes pre-design/design funds for the next phase of construction including Lewis Hall, Denny Hall, and Balmer Hall (which is proposed to be demolished and replaced as part of the overall business school facilities plan).

Capacity Expansion, New Program and Infrastructure Investments

The FY 2008 Capital Budget includes pre-design funding for Phase 3 of the UW Bothell campus, pre-design/design funding for Phase 3 of the UW Tacoma campus, and pre-design/design for a new Molecular Engineering Building on the Seattle campus. This unusually accelerated schedule for the Molecular Engineering Building reflects the importance of developing facilities to support this high priority program. The FY 2008 Capital Budget also includes \$13,281,000 in state funding for improvements to student services facilities and classrooms, including renovation and improvement of the classrooms and class labs that will support the WWAMI/RIDE program expansion.

The FY 2008 Capital Budget provides a total of \$32,500,000 for construction of a new data center in Building C of the UW Tower properties. Funding for the Building C Data Center will include a \$12,000,000 local revenue bond, \$500,000 previously allocated from local minor works funds for planning and pre-design, and \$20,000,000 in state capital funds. The Building C Data Center is one component of the university's strategic plan for centrally managed distributed data center facilities aimed at minimizing risk and providing a flexible and reliable server housing utility. An additional \$5,000,000 in state funds is also included in the 2007-09 state capital budget to complete general computing and communications connectivity improvements.

Design funding for the proposed expansion of the UW Medical Center is included in the FY 2008 budget, with construction funding in the amount of \$155,000,000 planned for FY 2009. A multi-year program of improvements to animal care facilities is also being initiated with an investment of \$2,000,000 in the FY 2008 budget and additional planned funding of \$20,000,000 in FY 2009.

Building 25, the former headquarters building at the Sand Point property, will be renovated to serve as office and clinic space for revenue-based pediatric dentistry programs. In a joint venture between Children's Hospital and UW Pediatric Dentistry, the 28,000 square foot building will be modernized using a combination of \$6,000,000 in funds from Children's Hospital and \$10,638,377 in UW revenue bond funds.

Minor Works, Construction Cost Escalation

In addition to the major projects described above, a combination of appropriated and non-appropriated local funds will provide almost \$41,900,000 in FY 2008 for facilities modernization, facilities preservation, and safety and utilities improvements, with an additional \$13,900,000 planned for FY 2009. The Legislature also increased the minor works threshold from \$1,000,000 to \$2,000,000, and provided a state matching fund of \$3,237,000 that can provide up to \$750,000 per project to respond unanticipated construction cost escalation.

Capital Studies and Processes

The 2007-09 state capital budget includes additional provisions affecting the University of Washington. The state capital budget directs the University of Washington to work with the Governor's Office of Financial Management to assess options and make recommendations

regarding the siting and operations of a new branch campus in the Snohomish, Island, and Skagit County region and to report back to the legislature by November 15, 2007. The 2007-09 state capital budget also continues the requirement for the public baccalaureate institutions to develop a prioritized capital projects list, and adds new language increasing the role of the Higher Education Coordinating Board and requiring more prescriptive quantitative scoring methods.

Other Legislation

The University of Washington and Washington State University received expanded local borrowing authority, allowing the UW to issue bonds or other forms of debt for any University purpose to be paid by locally controlled fees and revenues. This new debt authority is expected to result in significant cost savings on long-term financing for the UW Tower properties and other University projects.

Table 1

University of Washington Fiscal Year 2008 Proposed Operating Budget

Budget Category	FY 2007 Adopted	FY 2008 Proposed
CORE EDUCATION BUDGET		
Revenues		
State General Fund	360,892,000	390,664,000
Tuition Operating Fee	240,800,000	272,000,000
Designated Operating Fund	51,079,000	53,095,000
Subtotal: Ongoing Core Education Revenues	652,771,000	715,759,000
Use of Fund Balance for Temporary Expenditures	3,550,000	1,000,000
Total Revenues	656,321,000	716,759,000
Expenditures		
Ongoing Core Education Expenditures	652,771,000	715,759,000
One-time/temporary Expenditures	3,550,000	1,000,000
Total Expenditures	656,321,000	716,759,000
RESTRICTED OPERATING BUDGET		
Revenues		
Grant and Contract Direct Costs	808,000,000	816,080,000
Grant and Contract Indirect Costs	189,000,000	196,000,000
Gifts	120,640,000	125,466,000
State Restricted Funds	6,342,000	6,627,000
Total Revenues	1,123,982,000	1,144,173,000
Expenditures	1,123,982,000	1,144,173,000
ACADEMIC ENHANCEMENT/SUPPORT BUDGET		
Revenues		
UW Medical Center	589,680,000	613,267,000
Auxiliary Enterprises	293,187,000	304,915,000
Auxiliary Educational Activities	175,814,000	182,847,000
Institutional Overhead	14,366,000	14,750,000
Total Revenues	1,073,047,000	1,115,779,000
Expenditures	1,073,047,000	1,115,779,000
TOTAL OPERATING BUDGET		
Revenues	2,853,350,000	2,976,711,000
Expenditures	2,853,350,000	2,976,711,000

Table 2
Proposed Budget
Core Education Operating Budget for Fiscal Year 2008

	FY 2007 Adopted	FY 2008 Proposed	Comment
REVENUES			
State General Fund	360,892,000	390,664,000	
Tuition Operating Fee	240,800,000	272,000,000	
Designated Operating Fund	51,079,000	53,095,000	
Subtotal: Ongoing Core Ed Revenues	652,771,000	715,759,000	
Use of Fund Balance for Temporary Expenditures	3,550,000	1,000,000	
TOTAL REVENUES	656,321,000	716,759,000	
EXPENDITURES			
Adjusted Base Budget	656,321,000	649,351,000	
Workplace of Choice - Competitive Compensation			
Salaries		25,284,000	<i>See Appendix 1</i>
Benefits		3,865,000	<i>See Appendix 1</i>
Subtotal		29,149,000	
Attract a Diverse and Excellent Student Body			
New Enrollment Allocations			
UW/Seattle		1,500,000	<i>See Appendix 2</i>
WWAMI/RIDE (Legislative Action)		1,095,000	
UW/Bothell		1,779,000	
UW/Tacoma		1,895,000	
Attract/Retain Diverse Students		1,180,000	<i>See Appendix 2</i>
Subtotal		7,449,000	
Academic Program and Research Excellence			
Investments in Academic Excellence		9,554,000	<i>See Appendix 2</i>
Global Health Teaching & Research (Legislative Action)		2,900,000	
Strengthening Cross-College Programs		1,650,000	
Subtotal		14,104,000	
Integrity/Compliance/Stewardship Investments			
UW Tower Operations (Legislative Action)		1,282,000	
Integrity/Compliance/Stewardship		6,383,000	<i>See Appendix 2</i>
Subtotal		7,665,000	
Required Cost Increases/Adjustments		6,243,000	<i>See Appendix 3</i>
Miscellaneous Legislative Actions		1,798,000	<i>See Appendix 2</i>
SUBTOTAL: ONGOING CORE EDUCATION EXPENDITURES		715,759,000	
Use of Fund Balance		1,000,000	<i>See Table 3</i>
TOTAL EXPENDITURES		716,759,000	

Table 3

**Core Education Budget
Proposed Temporary Investments from Fund Balance for Fiscal Year 2008**

Temporary Investments	FY 2008 Proposed	Comments
Chief Investment Office Pilot Project	1,000,000	<i>Third year of five-year commitment</i>
TOTAL EXPENDITURES	1,000,000	

Table 4

**Proposed Budget
Restricted Programs Budget for Fiscal Year 2008**

	FY 2008 Proposed	Comments
<u>REVENUES</u>		
Grant and Contract Direct Cost	816,080,000	
Grant and Contract Indirect Cost	196,000,000	
Gifts	125,466,000	
State Restricted Funds	6,627,000	
TOTAL REVENUES	1,144,173,000	
<u>EXPENDITURES</u>		
Grant and Contract Direct Cost	816,080,000	
Gifts	125,466,000	
<u>State Restricted Funds</u>	6,627,000	
Subtotal	948,173,000	
<u>Indirect Cost Recovery:</u>		
<u>Adjusted Base ICR Budget</u>	189,000,000	
<u>Workplace of Choice - Competitive Compensation:</u>		
Salaries	466,000	<i>See Appendix 1</i>
Benefits	122,000	<i>See Appendix 1</i>
Subtotal	588,000	
<u>Investments in Research Excellence</u>		
Research Cost Recovery Allocation Change	692,000	<i>See Appendix 3</i>
Dedicated Indirect Cost Recovery Changes	1,250,000	<i>See Appendix 3</i>
Subtotal	1,942,000	
<u>Integrity/Compliance/Stewardship Investments</u>	1,442,000	<i>See Appendix 2</i>
<u>Required Cost Increases/Adjustments</u>	3,028,000	<i>See Appendix 3</i>
TOTAL INDIRECT COST RECOVERY BUDGET	196,000,000	
TOTAL EXPENDITURES	1,144,173,000	

**Table 5
Proposed Capital Budget for Fiscal Year 2008**

FY 2008 Capital Budget Proposal	FY 2008 Proposed	FY 2009 Planned
REVENUE		
<u>Funding for FY 2007 Projects</u>		
Projects Approved in FY 2007	378,280,308	
Substantially Completed/Cancelled Projects	(140,094,835)	
Previously Approved Continuing Projects	238,185,473	
<u>Funding for FY 2008 and 2009 Projects</u>		
State Funds	156,535,500	12,912,500
Non-State Funds		
UW Building Account - Local Funds	31,000,000	
Donor/ICR - Local Funds	10,900,000	16,900,000
Enterprise Unit Funds	4,000,000	
Transfer from Unrestricted Local Funds	3,000,000	3,000,000
UW Bonds	24,050,377	182,000,000
Subtotal, Non-State Funds	72,950,377	201,900,000
Total, New Funds	229,485,877	
TOTAL REVENUE	467,671,350	214,812,500
EXPENDITURES		
Previously Approved Continuing Projects	238,185,473	
<u>Proposed FY 2008 Projects</u>		
Major Projects		
Savery Hall - C	54,910,000	
Clark Hall - C	15,554,000	
Playhouse Theater - C	6,578,000	
MHSC H - Wing - C	16,412,000	
Denny Hall - P/D	4,000,000	
Lewis Hall - P/D	2,000,000	
Balmer Hall - P/D	4,000,000	
Interdisciplinary Academic Bldg. (Molecular Engineering) - P/D	5,000,000	
Comp. & Comm./Data Center - C	37,000,000	
UW Aggreg. Intermed. Student Services & Classroom Improv.	13,281,000	
Tacoma Phase 3 - P/D	6,150,000	
Bothell Phase 3 - P	150,000	
Sand Point Bldg. 25 Pediatric Dentistry	3,638,377	13,000,000
Animal Facilities	2,000,000	20,000,000
UWMC Expansion/Renovation	4,000,000	155,000,000
Subtotal, Major Projects	174,673,377	188,000,000
Minor Projects		
Minor Works - Facility Preservation "A"	23,000,000	
Minor Works - Program "A"	18,900,000	13,900,000
Subtotal, Minor Projects	41,900,000	13,900,000
Preventative Facility Maintenance and Building System Repairs	12,912,500	12,912,500
Total, New Projects for FY 08 and FY 09	229,485,877	214,812,500
TOTAL EXPENDITURES	467,671,350	214,812,500
P - Planning, D-Design, C-Construction		

Appendix 1

WORKPLACE OF CHOICE: COMPETITIVE COMPENSATION FOR FISCAL YEAR 2008

Item	FY 2008 Change	FY 2008 Amount to Core Educ.	FY 2008 Amount to ICR
<u>SALARIES</u>			
Faculty			
2% regular merit (eff. 9/1/07)	4,926,000	4,926,000	0
2.5% additional merit/compress/inequities (eff. 9/1/07)	6,158,000	6,158,000	0
1% unit adjustments (1:1 match by school req'd)	2,000,000	2,000,000	0
Promotions	500,000	500,000	0
Recruitment and Retention	963,000	963,000	0
Exec/Professional Staff/Lib recruit/retention	250,000	250,000	0
Teaching/Research Assistants 3.2% (eff. 7/1/07)	708,000	708,000	0
Professional Staff/Librarians 4.5% (eff. 9/1/07)	6,734,000	6,425,000	309,000
Represented Classified Staff 3.2% (eff. 7/1/07)			
Classified staff -- GOF	2,040,000	2,040,000	0
Classified staff -- DOF	784,000	666,000	118,000
Non-Rep Classified Staff 3.2% (eff. 9/1/07)	260,000	221,000	39,000
GOF/DOF			
Classification Revisions/Salary Survey	277,000	277,000	0
Library Hourly Minimum Wage	150,000	150,000	0
Total Salaries	25,750,000	25,284,000	466,000
<u>BENEFITS</u>			
Employer share of health benefits			
Benefits -- GOF	2,077,000	2,077,000	0
Benefits -- DOF	348,000	296,000	52,000
Others			
TA/RA Health Insurance	526,000	526,000	0
Social Sec base change	0	0	0
Workers comp change	(543,000)	(543,000)	0
PERS pension change-GOF	1,113,000	1,113,000	0
PERS pension change-DOF	466,000	396,000	70,000
Total Benefits	3,987,000	3,865,000	122,000
<u>TOTAL COMPENSATION</u>	29,737,000	29,149,000	588,000

Appendix 2

INVESTMENTS IN ACADEMIC PROGRAM AND RESEARCH EXCELLENCE

Item	FY 2008 Change	FY 2008 Amount to Core Educ.	FY 2008 Amount to ICR
<u>UW Seattle Enrollment Allocations</u>			
Arch & Urban Planning - construction mgmt	200,000	200,000	0
Arts & Sciences	700,000	700,000	0
Engineering	300,000	300,000	0
<u>Undergraduate honors program</u>	<u>300,000</u>	<u>300,000</u>	<u>0</u>
	1,500,000	1,500,000	0
<u>Attract/Retain Diverse Students</u>			
Graduate/professional student services	75,000	75,000	0
Undergrad Acad Affairs - 2nd year advising	240,000	240,000	0
Arts & Sciences - undergrad advising	240,000	240,000	0
Int'l learning opportunities (Legislative Action)	375,000	375,000	0
<u>Retention/completion prog (Legislative Action)</u>	<u>250,000</u>	<u>250,000</u>	<u>0</u>
	1,180,000	1,180,000	0
<u>Academic Program Excellence</u>			
Engineering	1,360,000	1,360,000	0
Architecture	350,000	350,000	0
Business	400,000	400,000	0
Arts & Sciences - teaching assistants	540,000	540,000	0
Dean reappointments	724,000	724,000	0
Library materials inflation	400,000	400,000	0
Law library materials	30,000	30,000	0
Title VI outreach centers	200,000	200,000	0
WWAMI offset (assuming 10% tuition incr)	200,000	200,000	0
Summer quarter tuition (UWB-UWT)	950,000	950,000	0
UW/Bothell (tuition increase revenue)	1,900,000	1,900,000	0
<u>UW/Tacoma (tuition increase revenue)</u>	<u>2,500,000</u>	<u>2,500,000</u>	<u>0</u>
	9,554,000	9,554,000	0
<u>Integrity/Compliance/Stewardship</u>			
Animal care committee staffing	380,000	0	380,000
Bio-safety & asbestos compliance staffing	225,000	158,000	67,000
Campus health service-HIPPA compliance	89,000	89,000	0
Child care referral service enhancements	32,000	32,000	0
Child care priority/reserved spaces	25,000	25,000	0
Internal Audit staffing	385,000	385,000	0
Student Life - citizenship office	165,000	165,000	0
Student Life - counseling/disability services	144,000	144,000	0
Office of Information Management staffing	290,000	290,000	0
Provost/Academic Personnel/Faculty Senate	278,000	278,000	0
Minority Affairs office support	100,000	100,000	0

Appendix 2

INVESTMENTS IN ACADEMIC PROGRAM AND RESEARCH EXCELLENCE

Item	FY 2008 Change	FY 2008 Amount to Core Educ.	FY 2008 Amount to ICR
Office of Research staffing	295,000	0	295,000
Leadership, community & values initiative	100,000	100,000	0
Human Resources staffing	200,000	200,000	0
Global activities staffing	100,000	100,000	0
Enterprise risk management	100,000	100,000	0
External Affairs/State Relations/News & Info	400,000	400,000	0
External Affairs - support for RCO project	150,000	150,000	0
UWPD staffing for UW Tower	372,000	372,000	0
UWPD incident prevention teams	75,000	75,000	0
UWPD NightWalk safety service	190,000	190,000	0
UWPD replacement radios (1 time funding)	200,000	200,000	0
ICR rate proposal - consultant costs (1 time)	200,000	0	200,000
IMAC - faculty effort certification system	500,000	0	500,000
IMAC - other recommended IT investments	1,400,000	1,400,000	0
IT security investments	480,000	480,000	0
Academic technology investments	700,000	700,000	0
Maintenance of campus grounds	250,000	250,000	0
	7,825,000	6,383,000	1,442,000
<u>Miscellaneous Legislative Actions</u>			
Law School loan repayment prog (1 time)	500,000	500,000	0
Adult family home certification	54,000	54,000	0
Burke Museum	500,000	500,000	0
ILABS - brain & learning institute	150,000	150,000	0
Puget Sound science panel	30,000	30,000	0
Olympic Natural Resource Center	25,000	25,000	0
Autism parent support	30,000	30,000	0
Autism training DVD	65,000	65,000	0
Safe log hauling cost analysis	150,000	150,000	0
William Ruckelshaus Center	125,000	125,000	0
Washington Academy of Science	85,000	85,000	0
State climatologist	84,000	84,000	0
	1,798,000	1,798,000	0

Appendix 3

REQUIRED COST INCREASES/ADJUSTMENTS

Item	FY 2008 Change	FY 2008 Amount to Core Educ.	FY 2008 Amount to ICR
<u>Institutional Budgets</u>			
Utilities:			
Electricity	(800,000)	(760,000)	(40,000)
Natural gas	2,000,000	1,900,000	100,000
Water/sewer	300,000	285,000	15,000
Solid waste	380,000	361,000	19,000
Power plant operations	500,000	475,000	25,000
Subtotal utilities:	2,380,000	2,261,000	119,000
Other institutional budgets:			
Property rentals-general	(175,000)	(175,000)	0
Prop. rental-Sand Point central	50,000	50,000	0
Prop. rental-Sand Point unassigned	20,000	20,000	0
Sabey Data Center - research servers	142,000	0	142,000
Sabey Data Center - C&C servers	152,000	152,000	0
Wellington Hills	40,000	40,000	0
University Way security	40,000	40,000	0
Employee service awards	10,000	10,000	0
AFRS interface expense	155,000	155,000	0
Institutional financial audit	11,000	11,000	0
Investment management/custodial/consultant fees	200,000	200,000	0
Institutional overhead offset	(384,000)	(384,000)	0
Risk management	760,000	722,000	38,000
Transportation subsidy	50,000	48,000	2,000
Services for disabled students/employees	75,000	75,000	0
FY07 salary increase carryforward/benefit bgt	1,500,000	1,500,000	0
State revolving fund changes (Legislative Action)	974,000	974,000	0
DOF revolving fund budgets	289,000	289,000	0
Subtotal other institutional budgets:	3,909,000	3,727,000	182,000
<u>Other Issues</u>			
Internet connectivity cost increases	200,000	155,000	45,000
Summer quarter cost increase	100,000	100,000	0
Foege Building debt service	552,000	0	552,000
Benjamin Hall O&M payment	100,000	0	100,000
Benjamin Hall Building debt service	2,030,000	0	2,030,000
Subtotal Other Issues	2,982,000	255,000	2,727,000
Subtotal Required Cost Increases	9,271,000	6,243,000	3,028,000

Appendix 3

REQUIRED COST INCREASES/ADJUSTMENTS

Item	FY 2008 Change	FY 2008 Amount to Core Educ.	FY 2008 Amount to ICR
<u>Research Cost Recovery Policy Allocation</u>			
RCR adjustment to FY07 actual	339,000	0	339,000
FY08 RCR change	353,000	0	353,000
Subtotal Policy Application	692,000	0	692,000
<u>Dedicated Indirect Cost Recovery Dollars</u>			
Brotman Bldg (815 Mercer)	1,000,000	0	1,000,000
<u>Other Buildings with dedicated ICR</u>	<u>250,000</u>	<u>0</u>	<u>250,000</u>
Subtotal Dedicated Indirect Cost Recovery	1,250,000	0	1,250,000
TOTAL REQUIRED COST INCREASES/ADJUSTMENT	11,213,000	6,243,000	4,970,000

University of Washington
 Proposed Academic Year 2007-08 Tuition Rates

	2006-07		2007-08		2007-08 Proposed UW Tuition & Fees	2006-07 Peer Tuition & Fees	2007-08 Projected Comparison Group Tuition & Fees*	2007-08 Projected Comparison Group % Increase
	Tuition	\$ Increase	% Increase	Proposed Tuition				
Undergraduate Resident	5,460	+ 382	7.0%	5,842	540	7,532	8,158	8.3%
Undergraduate Non-resident	20,758	+ 830	4.0%	21,588	540	20,764	22,222	7.0%
Graduate Tier I Resident	8,293	+ 581	7.0%	8,874	540	9,059	9,799	8.2%
Graduate Tier I Non-Resident	20,116	+ 805	4.0%	20,921	540	19,861	21,141	6.4%
Graduate Tier II Resident	8,543	+ 831	9.7%	9,374	540			
Graduate Tier II Non-Resident	20,366	+ 1,055	5.2%	21,421	540			
Graduate Tier III Resident	8,793	+ 1,081	12.3%	9,874	540			
Graduate Tier III Non-Resident	20,616	+ 1,305	6.3%	21,921	540			
Pharm.D. Resident	11,737	+ 1,174	10.0%	12,911	540			
Pharm.D. Non-Res	23,232	+ 2,323	10.0%	25,555	540			
Law Resident	15,730	+ 1,573	10.0%	17,303	540			
Law Non-Resident	23,353	+ 2,335	10.0%	25,688	540			
Medicine Resident	15,347	+ 1,535	10.0%	16,882	540			
Medicine Non-Resident	37,169	+ 3,717	10.0%	40,886	540			
Dentistry Resident	15,347	+ 1,535	10.0%	16,882	540			
Dentistry Non-Resident	37,169	+ 3,717	10.0%	40,886	540			
<u>Nursing Masters/DNP Degrees</u>								
UW/Seattle								
Nursing Masters/DNP Resident	10,670	+ 1,494	14.0%	12,164	540	9,059	9,799	8.2%
Nursing Masters/DNP Non-Res.	21,120	+ 2,957	14.0%	24,077	540	19,861	21,141	6.4%
<u>UW/Bothell & UW/Tacoma</u>								
Nursing Masters Resident	8,543	+ 831	9.7%	9,374	459	9,059	9,799	8.2%
Nursing Masters Non-Resident	20,366	+ 1,055	5.2%	21,421	459	19,861	21,141	6.4%

* Peer projections based on 5-Year Least Squares Trend Estimate

**University of Washington
Academic Year 2007-08 Tuition Rate Proposals**

	2007-08				Proposed UW Tuition & Fees	2006-07 Peer Tuition & Fees	HECB 24 Comparison Group Projected 2007-08 Tuition & Fees		
	2006-07 Tuition	\$ Increase	% Increase	Proposed Tuition			Estimated Fees	2007-08 Projected Comparison Group Tuition & Fees*	2007-08 Projected Comparison Group % Increase
<u>Business Masters Degrees</u>									
UW/Seattle									
Business Masters Res. (Incoming)	17,300	+ 2,000	11.6%	19,300	540	19,840	19,377	10.2%	
Business Masters Non-Res (Inc.)	27,000	+ 2,000	7.4%	29,000	540	29,540	30,124	7.8%	
Business Masters Res. (Continuing)	17,300	+ 0	0.0%	17,300	540	17,840	19,377	10.2%	
Business Masters Non-Res (Cont.) (no increase- 2nd Yr of cohort pricing)	27,000	+ 0	0.0%	27,000	540	27,540	30,124	7.8%	
UW/Bothell									
Business Masters Res. (Incoming)	15,948	+ 1,754	11.0%	17,702	405	18,107	19,377	10.2%	
Business Masters Non-Res (Inc.)	24,717	+ 0	0.0%	24,717	405	25,122	30,124	7.8%	
Business Masters Res. (Continuing)	14,780	+ 0	0.0%	15,948	405	16,353	19,377	10.2%	
Business Masters Non-Res (Cont.) (no increase- 2nd Yr of cohort pricing)	24,717	+ 0	0.0%	24,717	405	25,122	30,124	7.8%	
UW/Tacoma									
Business Masters Resident	13,129	+ 919	7.0%	14,048	459	14,507	19,377	10.2%	
Business Masters Non-Res	23,870	+ 1,671	7.0%	25,541	459	26,000	30,124	7.8%	
<u>Post-baccalaureate and Non-matriculated Students</u>									
Post-baccalaureate Resident									
taking only undergraduate courses	5,460	+ 382	7.0%	5,842	540	6,382			
taking 1 or more graduate courses	8,793	+ 1,081	12.3%	9,874	540	10,414			
Post-baccalaureate Non-resident									
taking only undergraduate courses	20,758	+ 830	4.0%	21,588	540	22,128			
taking 1 or more graduate courses	20,616	+ 1,305	6.3%	21,921	540	22,461			
Non-matriculated Resident									
taking only undergraduate courses	5,460	+ 382	7.0%	5,842	540	6,382			
taking 1 or more graduate courses	8,793	+ 1,081	12.3%	9,874	540	10,414			
Non-matriculated Non-Resident									
taking only undergraduate courses	20,758	+ 830	4.0%	21,588	540	22,128			
taking 1 or more graduate courses	20,616	+ 1,305	6.3%	21,921	540	22,461			

* Peer projections based on 5-Year Least Squares Trend Estimate

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

In Joint Session with

A. Academic and Student Affairs Committee

UW Tower Transition Planning Progress ReportOccupancy Planning

UW Tower occupancy planning is continuing on schedule and the UW Tower Planning Advisory Committee will present recommendations to the Provost on June 22, 2007. Details of the occupancy plan will be presented in the next update to the Regents. Following the assignment of space in July, unit-specific move planning will begin. During the latter half of 2007, planning will also be underway for space assignments related to released campus facilities resulting from the UW Tower properties occupancy.

Safeco Gift of Furniture, Equipment, and Art

In April, Safeco confirmed its intentions to give the furniture content of the UW Tower properties to the University of Washington, along with certain equipment used in the general operations of the facilities. This generous gift will allow the university to avoid furnishing costs estimated at almost \$10 million. In addition, Safeco will give the Sperry Obelisk, located at the corner of Brooklyn Avenue and NE 45th Street, to the university, and will place the George Tsutakawa Fountain, located in the main entry plaza, on long term loan to the university. The UW Campus Art Administrator is continuing discussions with Safeco regarding additional acquisitions from the Safeco art collection, as Safeco downsizes its facilities and deaccessions pieces from its collection.

2007-09 State Funding Related to UW Tower Properties

The 2007 state operating budget includes an allocation of \$3.9 million in operations and maintenance funding for the UW Tower properties; \$1.3 million for the second half of FY 2008 (based on the university's occupancy of the property beginning January, 2008), and \$2.6 million for FY 2009. This amount is less than the \$10.8 million requested in the University of Washington's 2007-09 operating budget proposal to the state. The 2007 state capital budget provides \$25 million for computing and communications and data center upgrades, of which \$20 million will be directed to the UW's planned new data center in the UW Tower properties Building C, and \$5 million will fund connectivity improvements for the tower properties and other facilities across the campus.

Building Improvements

Once the occupancy plan for the UW Tower properties is approved by the Provost, a final scope and budget will be approved for computing and communications upgrades to the property and work on these improvements will be scheduled to occur as soon as possible

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

In Joint Session with

A. Academic and Student Affairs Committee

UW Tower Transition Planning Progress Report (continued p. 2)

in order to expedite occupancy. An investment grade audit of the property is also being undertaken to determine the costs and benefits of certain energy conservation upgrades.

Financial Status

The earlier cash balance that resulted from the leaseback of the office spaces and parking garages to Safeco has now been reduced following the April payment of approximately \$3.7 million in interest payments on the commercial paper for the acquisition.

	<u>Month of</u> <u>April</u>	<u>Fiscal Year</u> <u>To Date</u>
<u>Revenues</u>		
Safeco Building Lease and Other	\$726,923	\$6,816,060
Total Revenue	\$726,923	\$6,816,060
<u>Expenses</u>		
Debt Service & Fees	\$3,751,407	\$3,878,067
General Operating Expenses (Utilities, Property Mgmt., Other)	\$8,922	\$162,839
Minor Building Repairs	\$0	\$0
Tenant Improvements	\$0	\$0
Property Acquisition Expenses	\$0	\$204,322
Building Transition Expenses	\$0	\$95,788
Other	\$0	\$50,000
Total Expenses	(\$3,760,329)	(\$4,391,016)
Net Revenue/(Expenses)	\$3,033,406	\$2,425,045

Timeline

November 15, 2006 - Call for space proposals

January 31, 2007 - Proposals due

January 2007 to June 2007 - Occupancy planning

June 2007 - Recommendations due to Provost

July 2007 - UW Tower Properties space assignments

June 2007 to late 2007/early 2008 - Campus release space planning

Early 2008 - UW Tower move-ins begin

Website <http://www.washington.edu/admin/pb/UW-Tower/index.htm>

VII. STANDING COMMITTEES**B. Finance, Audit and Facilities Committee**University of Washington Job Order Contract – Contract AwardRECOMMENDED ACTION:

It is the recommendation of the administration and the Finance Audit and Facilities Committee that the President be delegated authority to award a Job Order Contract (JOC) to Burton Construction, Inc. This is the second JOC award for the University in addition to the recently awarded contract with Centennial Contractors Enterprises. The maximum contract amount for work orders for the first year shall not exceed \$3 million, \$5 million over two years, and \$8 million over three years.

CONTRACTUAL QUALIFICATION:

Provisions of the contract allow the maximum contract amounts and individual work order amounts to be adjusted to the new limits established by the Legislature during the session just completed. The legislative changes are effective on July 1, 2007. The University intends to exercise via change order some of these changes such as increasing the maximum amount for work orders for the first year to \$4 million, \$5 million over two years, and \$12 million over three years, and increasing the amount of each work order from \$150,000 to \$300,000.

This University reserved the right to award a second contract to the next highest scoring applicant from the original solicitation in December, 2006 after the existing JOC contract expired on March 19, 2007. This right is now being exercised and a recommendation submitted. The Board of Regents action on February 21, 2007 to award the first JOC to Centennial noted that this further recommendation for award to a second JOC contractor would be submitted to the Board of Regents.

BACKGROUND:

JOC is legislatively-approved, public works procurement tool of which the University has had a contract in place for the past 3 years. It is a fixed unit price, indefinite quantity type contract for the performance of a broad range of construction services to include minor construction, renovation, repair, alterations and maintenance projects on an as-needed basis as may be required. The initial contract which was established in 2003 expired on March 19, 2007. The new contract will have a term that is for two years with the option to renew for a third year. The minimum guaranteed volume for this contract is \$100,000. Individual work orders are anticipated to be in the \$35,000 - \$150,000 range, except that a maximum of two work orders per year may be for up to \$200,000, in accordance with State law. As noted above, a change order increasing the thresholds to be

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

University of Washington Job Order Contract – Contract Award (continued p. 2)

consistent with the new law will be executed after award of the contract, with the changes to become effective on July 1, 2007.

The Capital Projects Office advertised in December, 2006, for firms interested in providing JOC services. Four firms submitted qualifications and the University chose to interview all four firms. These firms were then invited to submit bids which consisted of coefficient markups for work to be performed at the University of Washington Seattle, Bothell and Tacoma campuses, UWMC and Harborview Medical Center, Friday Harbor Labs and Pac Forest in Eatonville.

The firm with the highest scoring proposal, resulting from the evaluation of the RFQ, the interview, and the submittal of a composite coefficient, was Centennial Contractors Enterprises, 5113 Pacific Highway East Suite 1 Fife, WA 98424. Centennial was awarded a contract on February 22, 2007. The second highest scoring proposal was Burton Construction Inc., 3915 East Nebraska Ave., Spokane, WA 99217-6641. Burton is a state certified Minority Business Enterprise (MBE) and its staff has had extensive experience in working on indefinitely delivery/indefinite quantity and job order contracting projects across the state, including Washington State University and Spokane Public Schools. Their experience includes hospitals, health care facilities, laboratories and other educational facilities.

ENCLOSURE: JOC Selection – Final Proposal Bid/Point Tabulation, 1/24/07

University of Washington
 Capital Projects Construction Office
JOC Evaluation Form

Master containing all 4 evaluators		Contractor				Remarks
		Burton	Centennial	Global	Shinn	
Evaluation Criteria	Possible Points					
Qualifications						
Similar Contracts	5	5	4.5	4.75	2	
Experience in Hospitals/Health	5	4.25	4	4.25	2.5	
Customers Feedback	5	4.75	4.25	3.5	2.5	
Concept of proposal						
Corporate Organization	2	2	2	1.75	1.5	
Project Organization	9	7.25	6.5	5.25	3.75	
Quality Control Procedures	2	1.75	1.75	1.5	0.75	
Safety Procedures	2	2	2	1.75	1.75	
Professional Personnel Ability	15	11.5	10.5	9	7.5	
Location	5	3.25	4	4.5	5	
Workload	5	4.25	3.75	3	4	
Interview	30	25.75	24	19.75	18.5	
Final Proposals	15	8	15	0	12	
Total Points	100 Possible points	79.75	82.25	59	61.75	

Rated By Miller, Eatch, Klebeck & Templin

Date 1/24/2007