

January 11, 2007

TO: Members of the Board of Regents  
Ex-officio Representatives to the Board of Regents  
FROM: Michele M. Sams, Secretary of the Board of Regents  
RE: Schedule of Meetings

**THURSDAY, JANUARY 18, 2007**

<b>8:45 a.m.–11:10 a.m.</b>	<b>142 Gerberding Hall</b>	<b>FINANCE, AUDIT AND FACILITIES COMMITTEE:</b> Regents Jewell (Chr), Blake, Brotman, Cole, Kiga, Proctor
<b>11:15 a.m.–12:00 p.m.</b>	<b>142 Gerberding Hall</b>	<b>COMMITTEE OF THE WHOLE:</b> Regents Kiga (Chair), Barer, Blake, Brotman, Cole, Faubion, Gates, Jewell, Proctor, Simon
<b>12:10 p.m.–2:10 p.m.</b>	<b>142 Gerberding Hall</b>	<b>ACADEMIC &amp; STUDENT AFFAIRS COMMITTEE:</b> Regents Barer (Chr), Cole, Faubion, Gates, Kiga, Simon
<b>2:15 p.m.–2:45 p.m.</b>	<b>Suzzallo Library</b>	<b>BOARD OF REGENTS PHOTOGRAPH</b>
<b>3:00 p.m.</b>	<b>Petersen Room Allen Library</b>	<b>REGULAR MEETING OF BOARD OF REGENTS</b>

**ENCLOSURES:** Agendas for Committees



**UNIVERSITY OF WASHINGTON  
BOARD OF REGENTS**

**January 11, 2007**

**TO: Members of the Academic and Student Affairs Committee**  
Regents Barer (Chair), Cole, Faubion, Gates, Kiga, Simon

**FROM: Michele M. Sams, Secretary of the Board of Regents**

**RE: Meeting of Committee on 1/18/07 (12:10 p.m.–2:10 p.m., 142 Gerberding Hall)**

The following topics are noted for discussion at the meeting of the committee on *Thursday, January 18, 2007*. Items requiring action by the full Board of Regents are marked "DRAFT."

- |    |   |                    |      |
|----|---|--------------------|------|
| 1. | <b>Academic and Administrative Appointments</b><br>Phyllis M. Wise, Provost and Vice President for Academic Affairs   | <b>ACTION</b>      | A-1  |
| 2. | <b>Adoption of Proposed Amendments to Chapter 478-04 WAC, Organization</b><br>Rebecca Goodwin Deardorff, Director, Rules Coordination Office  | <b>ACTION</b>      | A-3  |
| 3. | <b>Degree Revocation</b><br>Cheryl A. Cameron, Vice Provost for Academic Personnel  | <b>ACTION</b>      | A-5  |
| 4. | <b>Establishment of the Values in Society Graduate Certificate Program in the Department of Philosophy</b><br>Suzanne T. Ortega, Vice Provost and Dean, The Graduate School   | <b>ACTION</b>      | A-6  |
| 5. | <b>UW Tower Transition Planning Progress Report</b><br>Phyllis A. Wise<br>Marilyn E. Cox, Assistant Vice Provost for Capital Planning   | <b>INFORMATION</b> | A-7  |
| 6. | <b>Innovations in Undergraduate Education</b><br>Phyllis M. Wise<br>Ron Irving, Interim Dean, College of Arts and Sciences<br>Paul LePore, Assistant Dean, College of Arts and Sciences<br>Daryl Pedigo, Senior Lecturer, Physics | <b>INFORMATION</b> | A-8  |
| 7. | <b>Students First Funding Update</b><br>V'ella Warren, Vice President, Financial Management and Treasurer of the Board of Regents<br>Douglas W. Breckel, Senior Associate Treasurer   | <b>INFORMATION</b> | A-9  |
| 8. | <b>Snohomish, Island and Skagit Counties Educational Needs and Issues</b><br>Mark A. Emmert, President<br>Phyllis M. Wise<br>Steven G. Olswang, Interim Chancellor, UW Bothell  | <b>INFORMATION</b> | A-10 |
| 9. | <b>Other Business</b>   |                    |      |



**UNIVERSITY OF WASHINGTON  
BOARD OF REGENTS**

January 11, 2007

**TO: Members of the Committee of the Whole**  
Regents Kiga (Chair), Barer, Blake, Brotman, Cole, Faubion  
Gates, Jewell, Proctor, Simon,

**FROM: Michele M. Sams, Secretary of the Board of Regents**

**RE: Meeting of Committee on 1/18/07 (11:15 a.m.–12:00 p.m., 142 Gerberding Hall)**

- 1 . **Executive Session** (to discuss with legal counsel representing the University legal risks of a proposed action or current practice that the University has identified when public discussion of the legal risks is likely to result in an adverse legal or financial consequence to the agency)



UNIVERSITY OF WASHINGTON  
BOARD OF REGENTS

January 11, 2007

**TO: Members of the Finance, Audit and Facilities Committee**  
Regents Jewell (Chair), Blake, Brotman, Cole, Kiga, Proctor

**FROM: Michele M. Sams, Secretary of the Board of Regents**

**RE: Meeting of Committee on 1/18/07 (8:45 a.m.–11:10 a.m., 142 Gerberding Hall)**

The following topics are noted for discussion at the meeting of the committee on *Thursday, January 18, 2007*. Items requiring action by the full Board of Regents are marked "DRAFT."

1. **Grant and Contract Awards – November, 2006** **ACTION** F-2  
Weldon E. Ihrig, Executive Vice President
2. **Adoption of Proposed Amendments to Chapter 478-136 WAC, Use of University of Washington Facilities** **ACTION** F-14  
John Coulter, Executive Director, Health Sciences Administration, Associate Vice President for Medical Affairs  
Karen VanDusen, Director, Environmental Health and Safety
3. **Architectural Commission Membership Appointments** **ACTION** F-3  
Richard Chapman, Associate V. P. for Capital Projects
4. **Health Sciences Center School of Medicine T-Wing 5th Floor Teaching Space - Establish Project Budget and Delegate Authority to Award Construction Contract** **ACTION** F-4  
Richard Chapman
5. **Actions Taken Under Delegated Authority** **INFORMATION** F-5  
Richard Chapman
6. **2006 Audit Reports of the UW and UWMC – KPMG** **INFORMATION** F-6  
Ann Anderson, Assistant Vice President & Controller  
Ann Nelson, KPMG Relationship Partner  
Steve Huebner, KPMG Partner  
Jacque Cabe, KPMG Partner
7. **Executive Session** (To review the performance of public employees)
8. **2006 Audit Report of the Metropolitan Tract – Peterson Sullivan** **INFORMATION** F-7  
Maureen Rhea, Director of Audits, Internal Audit  
Ray Holmdahl, Director, Peterson Sullivan  
Sharlyn Turner, Director, Peterson Sullivan
9. **Executive Session** (To review the performance of public employees)
10. **2006 State Audit Results – State Auditor’s Office** **INFORMATION** F-8  
Maureen Rhea  
Jim Brittain, SAO, Director of State Audits  
Bonnie Clubb, SAO, UW Audit Manager
11. **Executive Session** (To review the performance of public employees)

**Finance, Audit and Facilities Committee**

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**January 18, 2007**

- |     |   |                         |
|-----|---|-------------------------|
| 12. | <b>Metropolitan Tract - 2007 Unico Capital and Tenant Improvement Budget</b><br>Jeanette L. Henderson, Director of Real Estate<br>Weldon E. Ihrig<br>Lisa L. Stewart, Principal, Urbis Partners, LLC                    | <b>ACTION</b> F-9       |
| 13. | <b>Metropolitan Tract – 5th Avenue Theatre Association Lease Extension</b><br>Jeanette L. Henderson<br>Weldon E. Ihrig  | <b>ACTION</b> F-10      |
| 14. | <b>Husky Union Building Master Plan – Architect Selection</b><br>Richard Chapman  | <b>ACTION</b> F-11      |
| 15. | <b>UWINCO Update</b><br>Keith Ferguson, Chief Investment Officer, Treasury Office   | <b>INFORMATION</b> F-12 |
| 16. | <b>Report of Contributions - November, 2006</b><br>Walter G. Dryfoos, Associate V. P., Advancement Services,<br>Development & Alumni Relations<br>Connie Kravas, Vice President for Development and Alumni<br>Relations | <b>INFORMATION</b> F-1  |
| 17. | <b>Real Estate Foundation Update</b><br>Weldon E. Ihrig   | <b>INFORMATION</b> F-13 |
| 18. | <b>Executive Session</b> <i>(to consider the minimum price at which real estate will be offered for sale or lease)</i>  |                         |
| 19. | <b>Other Business</b>   | <b>INFORMATION</b>      |

# AGENDA

## BOARD OF REGENTS

University of Washington

January 18, 2006

3:00 p.m. – Petersen Room, Allen Library

(Item No.)

**I. CALL TO ORDER**

**II. ROLL CALL**

**III. CONFIRM AGENDA**

**IV. REPORT OF THE CHAIR OF THE BOARD OF REGENTS: Regent Kiga**

**V. REPORT OF THE UNIVERSITY PRESIDENT: Dr. Emmert**

**VI. CONSENT AGENDA**

Approval of Minutes of Meeting of November 16, 18 & December 18, 2006

Adoption of Proposed Amendments to Chapter 478-04 WAC, Organization A-3

Degree Revocation A-5

Establishment of the Values in Society Graduate Certificate Program in the Department of Philosophy A-6

Grant and Contract Awards – November, 2006 F-2

Architectural Commission Membership Appointments F-3

Health Sciences Center School of Medicine T-Wing 5th Floor Teaching Space - Establish Project Budget and Delegate Authority to Award Construction Contract F-4

Metropolitan Tract - 2007 Unico Capital and Tenant Improvement Budget F-9

Metropolitan Tract – 5th Avenue Theatre Association Lease Extension F-10

Husky Union Building Master Plan – Architect Selection F-11

Adoption of Proposed Amendments to Chapter 478-136 WAC, Use of University of Washington Facilities F-14

**VII. STANDING COMMITTEES**

**A. Academic and Student Affairs Committee: Regent Barer - Chair**

Academic and Administrative Appointments (**ACTION**) A-1

UW Tower Transition Planning Progress Report (Information only) A-7

**Academic and Student Affairs Committee continued**

Innovations In Undergraduate Education (Information only)	A-8
Students First Funding Update (Information only)	A-9
Snohomish, Island and Skagit Counties Educational Needs and Issues (Information only)	A-10

**B. Finance, Audit and Facilities Committee: Regent Jewell - Chair**

Report of Contributions - November, 2006 (Information only)	F-1
Actions Taken Under Delegated Authority (Information only)	F-5
2006 Audit Reports of the UW and UWMC – KPMG (Information only)	F-6
2006 Audit Report of the Metropolitan Tract – Peterson Sullivan (Information only)	F-7
2006 State Audit Results – State Auditor’s Office (Information only)	F-8
UWINCO Update (Information only)	F-12
Real Estate Foundation Update (Information only)	F-13

**C. Committee of the Whole: Regent Kiga - Chair**

*Executive Session (to discuss with legal counsel representing the University legal risks of a proposed action or current practice that the University has identified when public discussion of the legal risks is likely to result in an adverse legal or financial consequence to the agency)*

**VIII. OTHER BUSINESS**

Reports from ex-officio representatives to the Board:

**ASUW President – Mr. Cullen P. White**

**GPSS President – Ms. Kimberly A. Friese**

**Alumni Association President – Mr. Gregg Blodgett**

**Faculty Senate Chair – Professor Gail Stygall**

**IX. DATE FOR NEXT REGULAR MEETING: February 15, 2007****XI. ADJOURNMENT**

# MINUTES

## **BOARD OF REGENTS University of Washington**

**January 18, 2007**

The Board of Regents held its regular meeting on Thursday, January 18, 2007, beginning at 3:00 p.m. in the Petersen Room of the Allen Library. The notice of the meeting was appropriately provided to the public and the press.

### **CALL TO ORDER**

### **ROLL CALL**

Assistant Secretary Keith called the roll: Present were Regents Kiga (presiding), Barer, Blake, Brotman, Cole (via conference telephone), Faubion, Gates, Jewell, Proctor, Simon; Dr. Emmert, Dr. Wise, Ms. Warren, Ms. Sams; ex-officio representatives: Mr. White, Mr. Blodgett, Professor Stygall, Mr. Joel Ballezza, Executive Senator for the Graduate and Professional Student Senate, attended on behalf of Ms. Friese

Absent: Ms. Friese

### **CONFIRM AGENDA**

The agenda was confirmed as presented.

### **REPORT OF THE CHAIR OF THE BOARD OF REGENTS: Regent Kiga**

Regent Kiga indicated he had nothing to report and turned to Regent Gates, who had an announcement regarding special recognition of one of the Regents. Mr. Gates informed the Board that Regent Sally Jewell, Chief Executive Officer of Recreational Equipment, Inc. (REI), recently received two major recognitions. She was selected by the UW College of Engineering for a Distinguished Achievement Award, which is based upon demonstrated volunteer service to students and faculty at the College of Engineering and/or meritorious public service on a local, state, national or international level to advance engineering education and the profession. She was also chosen by the Puget Sound Business Journal as the "Executive of the Year for 2006." Regent Kiga noted that REI was also named on the list of 100 Best Companies and was also named as #1 for work/life balance. The Board gave Regent Jewell a hearty round of applause.

### **REPORT OF THE UNIVERSITY PRESIDENT: Dr. Emmert**

President Emmert reported that he was pleased with Governor Christine Gregoire's state 2007-2009 budget proposal. He noted that in many respects it is the best budget the UW has seen in at least a decade. He then asked Mr. Gary Quarfoth, Interim Vice Provost for Planning and Budgeting, to provide an overview of the budget details. See Attachment UP-1.

President Emmert announced Mr. Chuck Blumenfeld was chosen as the Executive Director of the Alumni Association and congratulated Mr. Gregg Blodgett, President of



the Alumni Association Board, and Connie Kravas, Vice President for Development and Alumni Relations, for their excellent choice.

## **CONSENT AGENDA**

Regent Kiga noted there were 13 items for approval on the consent agenda, and called for a motion.

**MOTION:** Upon the recommendation of the Chair of the Board and the motion made by Regent Simon, seconded by Regent Jewell, the Board voted to approve the 13 items on the consent agenda as shown below:

### **Minutes for the meetings of November 18, 19 & December 18, 2006**

#### **Adoption of Proposed Amendments to Chapter 478-04 WAC, Organization** (Agenda no. A-3)

It was the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents adopt the proposed amendments to Chapter 478-04 WAC, Organization. See Attachment A-3.

#### **Degree Revocation** (Agenda no. A-5)

It was the recommendation of the Academic and Student Affairs Committee that the Master of Science degree issued in 2004 to SKS\* be revoked, effective immediately. See Attachment A-5.

#### **Establishment of the Values in Society Graduate Certificate Program in the Department of Philosophy** (Agenda no. A-6)

It was the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents grant authority to graduate faculty in the Program on Values, located within the Department of Philosophy, to offer the Graduate Certificate in Values in Society, effective immediately. The graduate certificate program will have provisional status with a review to be conducted by the Graduate School in the 2010-2011 academic year. At such time that the Board of Regents grants continuing status, a ten-year review cycle would begin. See Attachment A-6.

#### **Grant and Contract Awards – November, 2006** (Agenda no. F-2)

It was the recommendation of the administration and the Finance, Audit and Facilities Committee that the Board of Regents accept Grant and Contract Awards for the month of November, in the total amount of \$43,094,070. See Attachment F-2.

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\* Pursuant to the Family Educational Rights and Privacy Act, personally identifiable educational records may not be disclosed, so anonymous initials are used herein.

#### **Architectural Commission Membership Appointments** (Agenda no. F-3)

It was the recommendation of the administration and the Finance, Audit and Facilities Committee that the President be delegated authority to appoint Cathy Simon, FAIA, to the University of Washington Architectural Commission, commencing immediately and ending in October 2010; and to appoint Linda Lane to the University of Washington Architectural Commission, commencing immediately and ending in June 2007. See Attachment F-3.

**Health Sciences Center School of Medicine T-Wing 5th Floor Teaching Space – Establish Project Budget and Delegate Authority to Award Construction Contract**  
(Agenda no. F-4)

It was the recommendation of the administration and the Finance, Audit and Facilities Committee that the Health Sciences Center (HSC) T-Wing 5<sup>th</sup> Floor Teaching Space project budget be established at \$5,147,384 and the President be delegated authority to award a construction contract, subject to no significant change in the scope, and the forecast cost being within 10% of the budget and funding being in place. See Attachment F-4.

**Metropolitan Tract – 2007 Unico Capital and Tenant Improvement Budget** (Agenda no. F-9)

It was the recommendation of the Finance, Audit and Facilities Committee that the Board of Regents approve reimbursement of up to \$4.74 million in capital expenditures by Unico Properties, LLC, for rehabilitation and modernization projects requested in its 2007 Capital Improvement Plan for the office buildings on the Metropolitan Tract. The \$4.74 million is comprised of approximately \$1.7 million for capital improvements and up to \$3.03 million for tenant improvements. See Attachment F-9.

**Metropolitan Tract – 5th Avenue Theatre Association Lease Extension** (Agenda no. F-10)

It was the recommendation of the administration that the Board of Regents authorize the President or his designee to execute an agreement with the 5<sup>th</sup> Avenue Theatre Association to extend their lease in the Skinner Building located on the Metropolitan Tract. See Attachment F-10.

**Husky Union Building Master Plan – Architect Selection**

It was the recommendation of the administration and the Finance, Audit and Facilities Committee that the President be delegated authority to award a design contract for the HUB Master Plan with the firm of Perkins+Will subject to successful negotiation of a Professional Services agreement. See Attachment F-11.

**Adoption of Proposed Amendments to Chapter 478-136 WAC, Use of University of Washington Facilities** (Agenda no. F-14)

It was the recommendation of the administration and the Finance, Audit and Facilities Committee that the Board of Regents adopt the proposed amendments to Chapter 478-136 WAC, Use of University of Washington Facilities, concerning the University's No Smoking Policy. See Attachment F-14.

## STANDING COMMITTEES

### **ACADEMIC AND STUDENT AFFAIRS COMMITTEE: Regent Barer, Chair**

#### **Academic and Administrative Appointments** (Agenda no. A-1)

At the request of Regent Barer, Provost Wise highlighted appointments where an administrator, professorship, dean or chair was included.

**MOTION:** Upon the recommendation of the administration and the motion made by Regent Barer, seconded by Regent Jewell, the Board voted to approve the personnel appointments. Regent Faubion abstained from the discussion and vote.

#### **UW Tower Transition Planning Progress Report** (Agenda no. A-7)

See Attachment A-7.

#### **Innovations In Undergraduate Education** (Agenda no. A-9)

See Attachment A-9.

#### **Students First Funding Update** (Agenda no. A-10)

See Attachment A-10.

#### **Snohomish, Island and Skagit Counties Educational Needs and Issues** (Agenda no. A-11)

See Attachment A-11.

### **FINANCE, AUDIT AND FACILITIES COMMITTEE: Regent Jewell, Chair**

Regent Jewell reported that during its meeting, the Committee reviewed three separate audit reports; one of the UW and UWMC by KPMG; one of the Metropolitan Tract by Peterson Sullivan; and one by the Washington State Auditor's Office. The Regents had very candid discussions with each of the three entities in executive session and were satisfied with all the results. Ms. Jewell complimented UW staff members for their excellent work preparing for these audits. She noted that Peterson Sullivan came in below the anticipated fee, in large measure because the information they received from staff was so comprehensive and thorough.

#### **Report of Contributions - November, 2006** (Agenda no. F-1) (Information only)

See Attachment F-1.

**Actions Taken Under Delegated Authority** (Agenda no. F-5) (Information only)  
See Attachment F-5.

**2006 Audit Reports of the UW and UWMC – KPMG** (Agenda no. F-6) (Information only)  
See Attachment F-6.

**2006 Audit Report of the Metropolitan Tract – Peterson Sullivan** (Agenda no. F-7) (Information only).  
See Attachment F-7.

**2006 State Audit Results – State Auditor’s Office** (Agenda no. F-8) (Information only) See Attachment F-8.

**UWINCO Update** (Agenda no. F-9)  
See Attachment F-9.

**Real Estate Foundation Update** (Agenda no. F-10)  
See Attachment F-10.

**COMMITTEE OF THE WHOLE: Regent Kiga, Chair**

Regent Kiga announced he had nothing to report from the meeting of the Committee of the Whole.

**REPORTS FROM EX OFFICIO REPRESENTATIVES TO THE BOARD OF REGENTS**

**ASUW President:** Mr. Cullen P. White

Mr. White reported that he has been working closely with Mr. Eric S. Godfrey, Vice Provost for Student Life, on resolution to the long-standing concerns of the University, neighbors, the City of Seattle, and students about uncontrolled parties, excessive noise, vandalism, crime and safety in this area. They will meet with legislators in the coming weeks to continue a dialogue on potential legislation. Mr. White announced Student Lobby Day in Olympia is scheduled for Thursday, February 15.

**GPSS President:** Ms. Kimberly A. Friese

Ms. Friese was absent due to illness. Mr. Joel Ballezza, Executive Senator for the Graduate and Professional Student Senate, reported that on January 15, Martin Luther King Day, GPSS volunteers worked with EarthCorps to clean up green spaces on Beacon Hill. This involved removal of invasive trees and plants to help beautify the area. He noted that GPSS will bus upwards of 100 Graduate and Professional Student to Olympia on Student Lobby Day on Thursday, February 15.

**Alumni Association President:** Mr. Gregg Blodgett

Mr. Blodgett announced that Mr. Chuck Blumenfeld has been appointed as the new Executive Director of the Alumni Association. The search committee worked diligently to ensure the recruitment process was highly participatory, maintained objectivity and gathered points of views from a number of different constituencies. Mr. Blodgett noted there were a number of very good candidates that came from different backgrounds. He said that Mr. Blumenfeld has a long-time passion for the University of Washington, a distinguished professional career and a personality that will serve him well in his new position, which begins on February 5. Mr. Blodgett publicly thanked Ms. Sue Brockman, Director of Marketing Communications and Revenue Development, and Mr. Paul Rucker, Director of Alumni Relations and Programs, for their outstanding work as interim directors.

**Faculty Senate Chair:** Professor Gail Stygall

Professor Stygall reported on upcoming legislation for the Faculty Senate for winter quarter, 2007. Among other items, there is a request to the Faculty Council on Tri-Campus Policy to add the definition of what a campus does to the Faculty Code, and to also recognize the existence of the faculty organizations at the UW Bothell and UW Tacoma campuses, which are roughly parallel to the Faculty Senate on the UW Seattle campus. With the last state legislature expanding the scope of instruction for both UW Bothell and UW Tacoma to include admission of first-year students, the Tri Council believes it is time to begin to recognize the relationship among the three campuses and especially to faculty and shared governance.

**DATE FOR NEXT MEETING**

The next regular meeting of the Board of Regents will be held on Thursday, February 15, 2007, on campus.

**ADJOURNMENT**

The regular meeting was adjourned at 4:05 p.m.



Michele M. Sams  
Secretary of the Board of Regents

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Academic and Administrative Appointments

RECOMMENDED ACTION:

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents approve the appointments to the University faculty and administration as presented on the attached list.

Attachment: Personnel Recommendations

## **COLLEGE OF ARCHITECTURE AND URBAN PLANNING**

### DEPARTMENT OF ARCHITECTURE

#### **ADMINISTRATIVE APPOINTMENTS**

##### **MILLER, DAVID EDWARD**

(BArch, 1968, WASHINGTON STATE UNIVERSITY; March, 1972, UNIVERSITY OF ILLINOIS) TO BE CHAIR AND PROFESSOR OF ARCHITECTURE, EFFECTIVE 12/16/2006. (MR. MILLER WILL CONTINUE AS PROFESSOR OF ARCHITECTURE.)

### DEPARTMENT OF URBAN DESIGN AND PLANNING

#### **NEW APPOINTMENTS**

##### **TUMAS, YOUSIF YEHEYA**

(BA, 1969, UNIVERSITY OF BAGHDAD (IRAQ); MA, 1974, UNIVERSITY OF BAGHDAD (IRAQ); PHD, 1997, UNIVERSITY OF BAGHDAD (IRAQ)) TO BE VISITING ASSOCIATE PROFESSOR OF URBAN DESIGN AND PLANNING AT A SALARY RATE OF \$46,395 OVER NINE MONTHS, EFFECTIVE 1/1/2007.

## **COLLEGE OF ARTS AND SCIENCES**

### DEPARTMENT OF APPLIED MATHEMATICS

#### **ENDOWED APPOINTMENTS**

##### **QIAN, HONG**

(BA, 1982, PEKING UNIVERSITY (CHINA); PHD, 1989, WASHINGTON UNIVERSITY) TO BE HOLDER OF THE BOEING ENDOWED PROFESSORSHIP IN APPLIED MATHEMATICS, EFFECTIVE 9/16/2006. (PROFESSOR QIAN WILL CONTINUE AS PROFESSOR OF APPLIED MATHEMATICS AND ADJUNCT PROFESSOR OF BIOENGINEERING.)

### DEPARTMENT OF ECONOMICS

#### **NEW APPOINTMENTS**

##### **KIM, CHANG-JIN**

(BA, 1983, KOREA UNIVERSITY (KOREA); PHD, 1989, UNIVERSITY OF WASHINGTON) TO BE PROFESSOR OF ECONOMICS AT A SALARY RATE OF \$130,005 OVER NINE MONTHS, EFFECTIVE 12/16/2006. (PRIOR TO THIS APPOINTMENT DR. KIM WAS PROFESSOR OF ECONOMICS AT KOREA UNIVERSITY, KOREA.)

### DEPARTMENT OF SCANDINAVIAN STUDIES

#### **ADMINISTRATIVE APPOINTMENTS**

##### **GAVEL-ADAMS, ANN-CHARLOTTE**

(MA, 1968, UNIVERSITY OF UPPSALA (SWEDEN); MA, 1975, LINKOPING UNIVERSITY (SWEDEN); PHD, 1990, UNIVERSITY OF WASHINGTON) TO BE ACTING CHAIR OF SCANDINAVIAN STUDIES, EFFECTIVE 1/1/2007 (DR. GAVEL-ADAMS WILL CONTINUE AS PROFESSOR IN THE SAME DEPARTMENT.)

### DIVISION OF SPANISH AND PORTUGUESE STUDIES

#### **NEW APPOINTMENTS**

##### **DONNELLY, KEVIN**

(BS, 1994, GEORGETOWN UNIVERSITY; MS, 1999, TULANE UNIVERSITY; PHD, 2006, NEW YORK UNIVERSITY) TO BE ASSISTANT PROFESSOR OF SPANISH AND PORTUGUESE STUDIES AT A SALARY RATE OF \$55,008 OVER NINE MONTHS, EFFECTIVE 9/25/2006. (PHD AWARDED SEPTEMBER 2006 FROM NEW YORK UNIVERSITY.)

## SCHOOL OF DRAMA

### **ENDOWED APPOINTMENTS**

#### **JENKINS, MARK FRED**

(NO DEGREES) TO BE HOLDER OF THE DONALD E. PETERSEN ENDOWED PROFESSORSHIP, EFFECTIVE 1/1/2007. (DR. JENKINS WILL CONTINUE AS ASSOCIATE PROFESSOR OF DRAMA.)

## **BUSINESS SCHOOL**

### DEPARTMENT OF MANAGEMENT AND ORGANIZATION

#### **NEW APPOINTMENTS**

#### **JOHNSON, MICHAEL**

(BA, 1985, HOPE COLLEGE; MA, 1999, UNIVERSITY OF PHOENIX; PHD, 2006, MICHIGAN STATE UNIVERSITY) TO BE ASSISTANT PROFESSOR OF MANAGEMENT AND ORGANIZATION AT A SALARY RATE OF \$119,997 OVER NINE MONTHS, EFFECTIVE 8/18/2006. (PHD AWARDED AUGUST 2006 FROM MICHIGAN STATE UNIVERSITY.)

## **COLLEGE OF ENGINEERING**

### DEPARTMENT OF COMPUTER SCIENCE AND ENGINEERING

#### **NEW APPOINTMENTS**

#### **MATSUOKA, YOKI**

(BS, 1993, UNIVERSITY OF CALIFORNIA (BERKELEY); MS, 1995, MASSACHUSETTS INSTITUTE OF TECHNOLOGY; PHD, 1998, MASSACHUSETTS INSTITUTE OF TECHNOLOGY) TO BE ASSOCIATE PROFESSOR OF COMPUTER SCIENCE AND ENGINEERING AT A SALARY RATE OF \$102,528 OVER NINE MONTHS, EFFECTIVE 12/16/2006. (PRIOR TO THIS APPOINTMENT DR. MATSUOKA WAS ACTING ASSOCIATE PROFESSOR IN THE SAME DEPARTMENT.)

## **COLLEGE OF FOREST RESOURCES**

### FOREST RESOURCES

#### **NEW APPOINTMENTS**

#### **LAWLER, JOSHUA JOHN**

(BA, 1993, BOWDOIN COLLEGE; MS, 1997, UTAH STATE UNIVERSITY; PHD, 2000, UTAH STATE UNIVERSITY) TO BE ASSISTANT PROFESSOR OF FOREST RESOURCES AT A SALARY RATE OF \$64,800 OVER NINE MONTHS, EFFECTIVE 3/1/2007. (PRIOR TO THIS APPOINTMENT, PROFESSOR LAWLER WAS A POSTDOCTORAL FELLOW AT OREGON STATE UNIVERSITY.)

## **SCHOOL OF MEDICINE**

### DEPARTMENT OF IMMUNOLOGY

#### **NEW APPOINTMENTS**

#### **BLATTMAN, JOSEPH NATHAN**

(BS, 1996, UNIVERSITY OF NEVADA; PHD, 2001, EMORY UNIVERSITY) TO BE RESEARCH ASSISTANT PROFESSOR OF IMMUNOLOGY AT A SALARY RATE OF \$80,004 OVER TWELVE MONTHS, EFFECTIVE 11/1/2006. (PRIOR TO THIS APPOINTMENT, DR. BLATTMAN WAS AN ACTING INSTRUCTOR IN THE SAME DEPARTMENT.)



DEPARTMENT OF MEDICINE

**NEW APPOINTMENTS**

**MEICHLER, REBECCA HULL**

(BS, 1995, UNIVERSITY OF NOTTINGHAM (UK); PHD, 1999, UNIVERSITY OF NOTTINGHAM (UK)) TO BE RESEARCH ASSISTANT PROFESSOR OF MEDICINE AT A SALARY RATE OF \$65,040 OVER TWELVE MONTHS, EFFECTIVE 1/2/2007. (PRIOR TO THIS APPOINTMENT, DR. MEICHLER WAS AN ACTING INSTRUCTOR IN THE SAME DEPARTMENT.)

**STAPLETON, RENEE D.**

(BA, 1994, RICE UNIVERSITY; MD, 1998, UNIVERSITY OF WASHINGTON) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF MEDICINE AT A SALARY RATE OF \$119,640 OVER TWELVE MONTHS, EFFECTIVE 1/2/2007. (PRIOR TO THIS APPOINTMENT, DR. STAPLETON WAS AN ACTING INSTRUCTOR IN THE SAME DEPARTMENT.)

DEPARTMENT OF MICROBIOLOGY

**NEW APPOINTMENTS**

**CURLIN, MARCEL ETIENNE**

(BA, 1989, AMHERST COLLEGE; MD, 1995, OREGON HEALTH SCIENCES UNIVERSITY) TO BE RESEARCH ASSISTANT PROFESSOR OF MICROBIOLOGY AT A SALARY RATE OF \$81,684 OVER TWELVE MONTHS, EFFECTIVE 11/1/2006. (PRIOR TO THIS APPOINTMENT, DR. CURLIN WAS AN ACTING INSTRUCTOR IN MEDICINE.)

DEPARTMENT OF OPHTHALMOLOGY

**NEW APPOINTMENTS**

**BARAN, FRANCINE M.**

(BA, 1987, WASHINGTON UNIVERSITY; MD, 2001, HAHNEMANN MEDICAL COLLEGE) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF OPHTHALMOLOGY PAID DIRECT BY CHILDREN'S HOSPITAL AND REGIONAL MEDICAL CENTER EFFECTIVE 12/1/2006. (PRIOR TO THIS APPOINTMENT, DR. BARAN WAS A FELLOW IN PEDIATRIC OPHTHALMOLOGY AT CHILDREN'S NATIONAL MEDICAL CENTER.)

DEPARTMENT OF PEDIATRICS

**NEW APPOINTMENTS**

**FECHNER, PATRICIA YVONNE**

(BS, 1981, MASSACHUSETTS INSTITUTE OF TECHNOLOGY; MD, 1986, NORTHWESTERN UNIVERSITY) TO BE ASSOCIATE PROFESSOR WITHOUT TENURE OF PEDIATRICS PAID DIRECT BY CHILDREN'S HOSPITAL AND REGIONAL MEDICAL CENTER EFFECTIVE 11/1/2006. (PRIOR TO THIS APPOINTMENT, DR. FECHNER WAS A CLINICAL ASSISTANT PROFESSOR OF PEDIATRICS AT STANFORD UNIVERSITY.)

**LAW, YUK MING**

(BA, 1983, BROWN UNIVERSITY; MD, 1987, UNIVERSITY OF CALIFORNIA (LOS ANGELES)) TO BE ASSOCIATE PROFESSOR WITHOUT TENURE OF PEDIATRICS PAID DIRECT BY CHILDREN'S HOSPITAL AND REGIONAL MEDICAL CENTER EFFECTIVE 12/11/2006. (PRIOR TO THIS APPOINTMENT, DR. LAW WAS AN ASSOCIATE PROFESSOR OF PEDIATRICS AT THE OREGON HEALTH SCIENCES UNIVERSITY.)

**LOREN, DAVID J.**

(BA, 1987, OBERLIN COLLEGE; MD, 1997, RUSH MEDICAL COLLEGE) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF PEDIATRICS AT A SALARY RATE OF \$117,000 OVER TWELVE MONTHS, EFFECTIVE 1/2/2007. (PRIOR TO THIS APPOINTMENT, DR. LOREN WAS AN ACTING ASSISTANT PROFESSOR-TEMPORARY IN THE SAME DEPARTMENT.)

DEPARTMENT OF PHYSIOLOGY AND BIOPHYSICS

**NEW APPOINTMENTS**

**FLYNN, GALEN EAHOLTZ**

(BS, 1980, NORTHEASTERN UNIVERSITY; MS, 1986, NORTHEASTERN UNIVERSITY; PHD, 1995, UNIVERSITY OF WASHINGTON) TO BE RESEARCH ASSISTANT PROFESSOR OF PHYSIOLOGY AND BIOPHYSICS AT A SALARY RATE OF \$65,004 OVER TWELVE MONTHS, EFFECTIVE 1/2/2007. (PRIOR TO THIS APPOINTMENT, DR. FLYNN WAS A PROFESSIONAL STAFFMEMBER IN THE SAME DEPARTMENT.)

**HORWITZ, GREGORY D.**

(BA, 1993, HARVARD UNIVERSITY; MS, 1997, STANFORD UNIVERSITY; PHD, 1999, STANFORD UNIVERSITY) TO BE ASSISTANT PROFESSOR OF PHYSIOLOGY AND BIOPHYSICS AT A SALARY RATE OF \$89,508 OVER TWELVE MONTHS, EFFECTIVE 1/2/2007. (PRIOR TO THIS APPOINTMENT, DR. HORWITZ WAS A RESEARCH ASSOCIATE AT THE SALK INSTITUTE.)

DEPARTMENT OF PSYCHIATRY AND BEHAVIORAL SCIENCES

**NEW APPOINTMENTS**

**SANDERS, NICOLE M.**

(BS, 1991, WASHINGTON STATE UNIVERSITY; MS, 1995, WASHINGTON STATE UNIVERSITY; PHD, 2000, WASHINGTON STATE UNIVERSITY) TO BE RESEARCH ASSISTANT PROFESSOR OF PSYCHIATRY AND BEHAVIORAL SCIENCES PAID DIRECT BY VETERANS AFFAIRS PUGET SOUND HEALTH CARE SYSTEM EFFECTIVE 11/1/2006. (PRIOR TO THIS APPOINTMENT, DR. SANDERS WAS AN ACTING ASSISTANT PROFESSOR-TEMPORARY IN THE SAME DEPARTMENT.)

DEPARTMENT OF RADIATION ONCOLOGY

**ENDOWED APPOINTMENTS**

**LARAMORE, GEORGE E.**

(BS, 1965, PURDUE UNIVERSITY; MS, 1966, UNIVERSITY OF ILLINOIS; PHD, 1969, UNIVERSITY OF ILLINOIS; MD, 1976, UNIVERSITY OF MIAMI (FLORIDA)) TO BE HOLDER OF THE PETER WOOTTON PROFESSORSHIP IN RADIATION ONCOLOGY, EFFECTIVE 12/1/2006. (DR. LARAMORE WILL CONTINUE AS PROFESSOR AND CHAIR OF RADIATION ONCOLOGY AND PROFESSOR OF NEUROLOGICAL SURGERY.)

DEPARTMENT OF RADIOLOGY

**NEW APPOINTMENTS**

**ALESSIO, ADAM M.**

(BS, 1998, UNIVERSITY OF NOTRE DAME; MS, 2001, UNIVERSITY OF NOTRE DAME; PHD, 2003, UNIVERSITY OF NOTRE DAME) TO BE RESEARCH ASSISTANT PROFESSOR OF RADIOLOGY AT A SALARY RATE OF \$81,000 OVER TWELVE MONTHS, EFFECTIVE 12/1/2006. (PRIOR TO THIS APPOINTMENT, DR. ALESSIO WAS A SENIOR FELLOW IN THE SAME DEPARTMENT.)

**SCHOOL OF NURSING**

DEPARTMENT OF FAMILY AND CHILD NURSING

**ENDOWED APPOINTMENTS**

**WOODS, NANCY**

(BSN, 1968, UNIVERSITY OF WISCONSIN (EAU CLAIRE); MN, 1969, UNIVERSITY OF WASHINGTON; PHD, 1978, UNIVERSITY OF NORTH CAROLINA) TO BE HOLDER OF THE ROBERT G. AND JEAN A. REID ENDOWED DEANSHIP IN NURSING, EFFECTIVE 1/1/2007. (PROFESSOR WOODS WILL CONTINUE AS PROFESSOR OF FAMILY AND CHILD NURSING.)

## **SCHOOL OF PHARMACY**

### DEPARTMENT OF PHARMACEUTICS

#### **NEW APPOINTMENTS**

##### **ISOHERRANEN, NINA JOHANNA**

(BS, 1998, UNIVERSITY OF HELSINKI (FINLAND); MS, 1998, UNIVERSITY OF HELSINKI (FINLAND); PHD, 2003, HEBREW UNIVERSITY (ISRAEL)) TO BE ASSISTANT PROFESSOR OF PHARMACEUTICS AT A SALARY RATE OF \$85,008 OVER TWELVE MONTHS, EFFECTIVE 12/1/2006. (PRIOR TO THIS APPOINTMENT, DR. ISOHERRANEN WAS AN ACTING ASSISTANT PROFESSOR IN THE SAME DEPARTMENT.)

## **SCHOOL OF PUBLIC HEALTH AND COMMUNITY MEDICINE**

### DEPARTMENT OF EPIDEMIOLOGY

#### **NEW APPOINTMENTS**

##### **LITTMAN, ALYSON J.**

(BA, 1991, UNIVERSITY OF PENNSYLVANIA; MPH, 1998, UNIVERSITY OF WASHINGTON; PHD, 2003, UNIVERSITY OF WASHINGTON) TO BE RESEARCH ASSISTANT PROFESSOR OF EPIDEMIOLOGY WITHOUT SALARY FROM THE UNIVERSITY, EFFECTIVE 12/1/2006. (PRIOR TO THIS APPOINTMENT DR. LITTMAN WAS A SENIOR FELLOW-TRAINEE IN THE SAME DEPARTMENT AND A RESEARCH HEALTH SCIENTIST FOR THE US DEPARTMENT OF VETERANS AFFAIRS.)

## **UNIVERSITY OF WASHINGTON, BOTHELL**

### BUSINESS PROGRAM

#### **NEW APPOINTMENTS**

##### **LIN, YUANFANG**

(BA, 1998, RENMIN UNIVERSITY OF CHINA (CHINA); MS, 2000, UNIVERSITY OF NEVADA; MS, 2003, WASHINGTON UNIVERSITY) TO BE ASSISTANT PROFESSOR OF BUSINESS AT A SALARY RATE OF \$99,999 OVER NINE MONTHS, EFFECTIVE 9/16/2006. (PHD AWARDED MAY 2006 FROM THE UNIVERSITY OF WASHINGTON.)

## VII. STANDING COMMITTEES

## A. Academic and Student Affairs Committee

Adoption of Proposed Amendments to Chapter 478-04 WAC, OrganizationRECOMMENDED ACTION:

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents adopt the proposed amendments to Chapter 478-04 WAC, Organization.

BACKGROUND:

The Attorney General's Office was asked to review the University's rules concerning meetings of the Board of Regents, and other boards and committees, to make sure the rules are as clear and streamlined as possible. This review led to suggested amendments of two types.

First, amendments were recommended to more logically organize the WAC sections regarding Regent meetings and remove provisions that were redundant with Regent By-Laws or were otherwise unnecessary.

The other recommendation was for a new general rule that explained where interested parties can look to find the schedule for regular meetings of the UW's various boards and committees that are subject to the Open Public Meetings Act.

A public hearing on the proposed amendments was held on the Seattle campus on December 6, 2006. Notices for the public hearing were published in the *Washington State Register*, *The Daily*, *University Week*, and included in the online campus calendar of events.

Two sets of written comments were received. One set of comments questioned the intent of removing various details from the WAC rules. As noted above, this was the intent of rule making; to streamline the WAC rule and remove redundant information that continues to appear in the Regent By-Laws or is unnecessary. The other set of comments came from the state Code Reviser who was opposed to publishing the numerous University meeting notices required by the Open Public Meetings Act and now noted in the University's proposed WAC rules for other governing bodies. A response to the Code Reviser was drafted with the assistance of the Attorney General's office and technical assistance was provided to resolve the problem of multiple meeting notices requiring publication in the *Register*. A copy of the Hearing Officer's Report is attached which notes these comments and the University's responses in greater detail.

## VII. STANDING COMMITTEES

### A. Academic and Student Affairs Committee

#### Adoption of Proposed Amendments to Chapter 478-04 WAC, Organization (continued p. 2)

In addition to the Attorney General's Office review, these proposed amendments were also reviewed by the University's Office of Public Records and Open Public Meetings.

#### ATTACHMENTS:

- 1) Hearing Officer's Report concerning written and oral comments on the proposed amendments to Chapter 478-04 WAC.
- 2) The proposed amendments to Chapter 478-04 WAC, Organization

January 5, 2007

President Mark A. Emmert  
Office of the President  
University of Washington  
Box 351230

Dear President Emmert:

Pursuant to your delegation, I served as the Hearing Officer to receive public comment on the University of Washington's proposed amendments to Chapter 478-04 WAC, "Organization." The hearing commenced at 1:00 p.m. on Wednesday, December 6, 2006, in Room 310 of the Husky Union Building (HUB) at the University of Washington, Seattle campus. I am pleased to provide you a report of that hearing and the written comments received.

As required by the Administrative Procedure Act, the University filed the following notices with the State of Washington Code Reviser: a Preproposal Statement of Inquiry (published as WSR 06-17-061, in the *Washington State Register* on September 6, 2006) and a notice of Proposed Rule Making (published as WSR 06-22-072, in the *Washington State Register* on November 15, 2006). Campus notice that the hearing would be held was published in *University Week* on November 16, 2006, and in *The Daily* on November 29, 2006. Notice of the public hearing was included in the online events calendar for the Seattle campus. The written comment period began August 16, 2006, and ended December 6, 2006.

### **Public Comments**

Although no individuals attended the hearing to provide comment on these proposed amendments, the University received two sets of written comments.

One set of written comments concerning WAC 478-04-030, "Meetings of the Board of Regents," came from a University faculty member, who questioned why the University would remove 1) the requirements for prior publication of meeting agendas, and 2) the provisions for cancellation of meetings, from the University's Washington Administrative Code rules. As was noted in the written response to these comments, the proposed amendments are intended to streamline, as well as update the WAC rules, by removing internal University procedures and practices that are not necessary to provide in rule. Moreover, similar statements of practice will be retained in the By-Laws of the Board of Regents (see Article 2, "Meetings of the Board"), as the By-Laws can more easily be kept current with changing University practices and procedures. In addition, the amendments remove some small details that pertain to compliance with Chapter 42.30 RCW, Open Public Meetings Act. However, this subject is covered more fully in Chapter 42.30 RCW, and these details need not be repeated in the University's WAC rules for them to fully apply.

The second set of comments came from the state Code Reviser, K. Kyle Thiessen, who requested that the University alter its proposed new section WAC 478-04-035, "Meetings of Other University Governing Bodies," to eliminate the statement that these bodies were required (as part of the Open Public Meetings Act) to publish their meeting notices in the *Washington State Register*. The Code Reviser, whose office oversees publication of the *Register*, claimed to have an agreement in place with the University since 1981 that allowed them to publish a listing of those University of Washington governing bodies that had submitted meeting notices, rather than publishing the actual meeting notices. The reason given was that the material was too lengthy and time consuming for the *Register* staff to handle. Furthermore, the Code Reviser offered a specific format for submitting this list.

After consultation with the Attorney General's Office, the University has declined to make any alteration to its proposed new section WAC 478-04-035. The proposed new section simply clarifies the distinction between meetings of the University of Washington Board of Regents and meetings of other University governing bodies, but does not change the requirement in RCW 42.30.075 that states the University must publish these meeting schedules annually in the *Washington State Register*. In addition, although current University staff are unaware of any such previous arrangement made with the office of the Code Reviser, the University proposes working with the *Register* staff to accommodate their word processing needs in preparing the meeting notices for publication.

### **Analysis and Recommendation**

The proposed amendments to Chapter 478-04 WAC, "Organization," were drafted by the Office of the Attorney General at the request of the President of the Board of Regents in order to streamline and update the meeting practices of the Board as required in Washington Administrative Code rule. The amendments primarily eliminate internal University practices and procedures that apply to meetings of the Board of Regents, although these details will continue to be included in the By-Laws of the Board of Regents, and clarify those meeting practices that apply to other governing bodies of the University. Moreover, the amendments to Chapter 478-04 WAC update the type of electronic means by which Regents may attend Board meetings such that they allow for current and future communication technologies.

Notwithstanding the two sets of comments received, I recommend that the Board of Regents adopt the proposed amendments to Chapter 478-04 WAC, "Organization," as these amendments accomplish the intended goals noted above.

An audio tape of the hearing has been deposited with the Secretary of the Board of Regents.

Sincerely yours,

Carol S. Niccolls  
Special Counsel to the President

cc: Ms. Rebecca Goodwin Deardorff  
Mr. Jack G. Johnson  
Ms. Michele M. Sams

AMENDATORY SECTION (Amending WSR 05-21-133, filed 10/19/05, effective 11/19/05)

**WAC 478-04-030 Meetings of the board of regents.** (1) Public meetings. Regular and special meetings of the board of regents and committees thereof as required by applicable law shall be open to the public, except for executive sessions which may be held as permitted by applicable law. Board members may appear at any meeting through any means that permits communications with all other persons at the meeting. Persons wishing to appear before the board to make a presentation shall comply with the procedures as specified in subsection (8) of this section.

(2) Regular meetings. Regular meetings of the board shall be held pursuant to a schedule and at locations established yearly by resolution of the board and published periodically in the Washington State Register. The president of the board, with the concurrence of a majority of the members of the board, may cancel any regular meeting. All such regular meetings will be conducted in conformance with the laws of the state of Washington governing such meetings.

~~((The board shall give no less than twenty-four hours notice of cancellation of a regular meeting.~~

~~(2))~~ (3) Special meeting. The president of the university, the president of the board, or any six members of the board may call a special meeting at any time. Not less than twenty-four hours before any special meeting, the secretary shall have notified each member of the board by written notice of the time, place, and the business to be transacted at the meeting. Such notice shall be distributed ~~((and posted))~~ in accordance with the laws of the state governing such meetings. The presence of a regent at the meeting or the regent's written waiver of notice filed with the secretary shall constitute a waiver of receiving written notice of the meeting. When the meeting is called to deal with an emergency involving injury or damage, or the likelihood of injury or damage, to persons or property, and the time requirements for notice provided for above would be impractical and increase the likelihood of such injury or damage, such required notice may be dispensed with and the secretary shall notify each member of the board by the best means possible under the circumstances.

~~((3) Notice of agenda for regular meeting. Not less than four days before any regular meeting, the secretary shall mail to each member of the board a reminder of the regular meeting and a preliminary agenda setting forth the matters which are to be considered at the meeting.))~~

(4) Addenda to the agenda at regular or special meetings. Addenda to the agenda of either a regular or a special meeting may be permitted at the commencement of or during such meeting, except



that final disposition shall not be taken on addenda to the agenda of a special meeting unless notice as required by applicable law has been given.

(5) Quorum. A majority of the entire board shall be necessary to constitute a quorum at all regular meetings and special meetings.

~~(6) ((Order of business. The following shall be the order of business at each regular meeting of the board:~~

~~Report of the president of the board;~~

~~Report of the president of the university;~~

~~Consent agenda (including approval of minutes);~~

~~Reports of standing committees of the board;~~

~~Reports of special committees of the board; and~~

~~Any other business that may properly come before the board.~~

~~The following shall be the order of business at each special meeting of the board:~~

~~Reading of notice of meeting;~~

~~The special business for which the meeting was called; and~~

~~Any other business that may properly come before the board.~~

~~The order of business of the board may be changed or suspended at any meeting by a majority of the regents present. An item shall be removed from the consent agenda by request of any regent.~~

~~(7))~~ Minutes. The minutes of all regular and special meetings of the board shall be kept by the secretary. Such minutes, following approval, shall be open to public inspection in the office of the secretary of the board of regents during regular university business hours.

~~((8) Public meetings. Regular and special meetings of the board of regents and committees thereof as required by applicable law shall be open to the public, except for executive sessions which may be held as permitted by applicable law. Board members may appear at any meeting through a telephone or video-conferencing device that permits communications with all other persons at the meeting. Persons wishing to appear before the board to make a presentation shall comply with the procedures as specified in subsection (11) of this section.~~

~~(9))~~ (7) Committee of the whole meetings. Meetings of the board as a committee of the whole may be held before regular or special meetings of the board or at such time and such place as the president of the board may direct from time to time.

~~((10) Executive sessions. During any regular or special meeting of the board or committee, the board or committee may hold an executive session to discuss matters as permitted in applicable laws of the state of Washington.~~

~~(11))~~ (8) Communications to and appearance before the board. Any persons who wish to communicate to the board or appear before the board shall do so as follows:

(a) Communications to the board. Any person who wishes to bring a matter to the attention of the board may do so by submitting such communication in writing to the secretary of the board of regents. The secretary shall bring such written communications to the attention of the president of the board and the president of the university for direction as to response and/or

transmittal to the board.

(b) Appearance before the board. The meetings of the board of regents are intended for presentation of agenda items by the chairs of the respective standing committees and by the president of the university for discussion and action by the members of the board. Public testimony on agenda items, or on other relevant items which any person may wish to call to the attention of the board, may be taken by the appropriate standing committee or by the committee of the whole. The chair of each committee shall have the discretion to limit the time and order of appearances as deemed desirable for a fair presentation of views consistent with the other business before the committee. In an unusual case, this subsection may be waived by the president of the board or by any other six members of the board.

(c) Petition to board for promulgation, amendment, or repeal of rule. Persons having an interest in the promulgation, amendment, or repeal of a "rule" as defined in RCW 34.05.010 may submit a written petition to the university's rules coordination office. Any petition so submitted shall contain the name and address of the petitioner or petitioners, a description of the persons on whose behalf the petition is presented if it is presented in a representative capacity, a statement of the interest of the petitioner and/or the persons on whose behalf it is presented, and a statement of the reasons supporting the petition. If the petition is for the promulgation of a rule, it shall contain the proposed rule. If the petition is for an amendment of an existing rule, it shall contain the rule with the proposed deletions lined out and proposed additions underlined or italicized. If the petition is for the repeal of a rule, it shall contain a copy of the rule proposed to be repealed. The petition shall be considered by the board at the first regular meeting held not less than thirty days after the date the petition was submitted to the rules coordination office, provided that the board may consider the petition at any earlier regular or special meeting of the board.

Within sixty days after submission of a petition to the rules coordination office that is for the promulgation, amendment, or repeal of a "rule," as defined in RCW 34.05.010, the board shall either deny the petition in writing or initiate rule-making procedures in accordance with RCW 34.05.330.

~~((+12+))~~ (9) Rules of procedure. *Robert's Rules of Order*, latest revised edition, shall govern all meetings of the board and its committees except where such rules of order are superseded by the bylaws of the board of regents or standing orders of the board. Any member of the board may make a motion which need not be seconded in order to bring the subject of the motion before the board for action.

NEW SECTION

**WAC 478-04-035 Meetings of other university governing boards.**

Regular meetings of university governing boards shall be held pursuant to schedules and at locations published periodically in the *Washington State Register*. All such regular meetings will be conducted in conformance with the laws of the state of Washington and policies of the board of regents governing such meetings.

## VII. STANDING COMMITTEES

## A. Academic and Student Affairs Committee

Degree RevocationRECOMMENDED ACTION:

It is the recommendation of the Academic and Student Affairs Committee that the Master of Science degree issued in 2004 to SKS\* be revoked, effective immediately.

JUSTIFICATION FOR RECOMMENDED ACTION:

On March 8, 2006, the University of Washington Office of Scholarly Integrity received an allegation of scholarly misconduct against SKS. It was alleged that SKS had reported falsified data and experimental results in four manuscripts and conference proceedings, three of which were published.

On March 13, 2006, SKS was informed that the Office of Scholarly Integrity was in receipt of an allegation of scientific misconduct that required the initiation of an Inquiry into possible scholarly misconduct pursuant to University of Washington Executive Order No. 61.

On April 12, 2006, an inquiry report was issued that concluded that there was sufficient evidence of possible scientific misconduct on the part of SKS to warrant an investigation. On May 10, 2006, consistent with University procedures for investigating allegations of scholarly misconduct, the Dean of the College of Engineering convened an ad hoc investigation committee. On September 12, 2006, the Committee issued a report with the following conclusions and recommended the revocation of the Masters degree that was conferred by the University of Washington to SKS:

“Based on the review of records, interviews of advisors and the admissions of the Respondent, [SKS], the Committee concludes that the Respondent committed scientific misconduct by both fabricating and falsifying data. This misconduct involved four (4) manuscripts as well as the Respondent’s qualifying examination for his Masters degree.”

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\* Pursuant to the Family Educational Rights and Privacy Act, personally identifiable educational records may not be disclosed, so anonymous initials are used herein.

## VII. STANDING COMMITTEES

### A. Academic and Student Affairs Committee

#### Degree Revocation (continued page 2)

“Action regarding the Respondent:

- 1) The Respondent should be dismissed as a student from the University of Washington.
- 2) The Respondent’s masters degree from the University of Washington should be revoked.”

On October 26, 2006, Vice Provost Eric Godfrey was notified by Dean Matthew O’Donnell of the matter and of the Dean’s concurrence with the Committee’s finding of scholarly misconduct and recommendation of dismissal and degree revocation. Consistent with the Student Conduct Code, the matter was referred to the Faculty Appeal Board for review. On December 4, 2006, SKS informed Vice Provost Godfrey that he chose to waive his right to a formal hearing before the Faculty Appeal Board. The Faculty Appeal Board conducted an administrative review and concluded that SKS’s actions violated the Student Conduct Code, WAC 178-120-020. On December 11, 2006, the Faculty Appeal Board affirmed the initial order of Dean O’Donnell calling for dismissal and degree revocation.

Based upon a review of the record, on December 18, 2006, President Emmert issued a final order finding scholarly misconduct and ordering SKS’s dismissal from the University of Washington. President Emmert also concurred with the Faculty Appeal Board’s recommendation that SKS’s Masters degree should be revoked.

Each year, the Board of Regents authorizes the awarding of degrees pursuant to statute. Because the Board of Regents authorizes the award of degrees, only the Board of Regents can authorize the revocation of a degree.

## VII. STANDING COMMITTEES

## A. Academic and Student Affairs Committee

Establishment of the Values in Society Graduate Certificate Program in the Department of PhilosophyRECOMMENDED ACTION:

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents grant authority to graduate faculty in the Program on Values, located within the Department of Philosophy, to offer the Graduate Certificate in Values in Society, effective immediately. The graduate certificate program will have provisional status with a review to be conducted by the Graduate School in the 2010-2011 academic year. At such time that the Board of Regents grants continuing status, a ten-year review cycle would begin.

BACKGROUND:

On October 20, 2006, the Graduate School received a proposal from graduate faculty in the Program on Values, in the Department of Philosophy, requesting authority to offer a Graduate Certificate Program in Values in Society. The Values in Society Graduate Certificate Program will require 18 credit hours. It is the only ethics program designed to bring together graduate and professional students across disciplines within the University. The program is designed as an innovative approach to ethics, combining the best in philosophical ethics and the best in discipline-specific scholarship to create a bridge that opens discourse between the various fields where ethics or values issues are important. In this process, the vast academic resources of the university will be utilized and scholarship will be encouraged that truly integrates different fields of study.

Students that complete the Values in Society Graduate Certificate program will have achieved the following: a broad understanding of the philosophical frameworks for thinking about moral issues; a clear sense of the central moral questions that have arisen or may arise in their field or profession; ability to design and teach a general course on values in their field or profession; learn to articulate their moral/political values on salient questions in their disciplines in ways that allow effective and mutually illuminating communication both within their field or profession and to those outside; and produce a publishable paper on an issue of moral importance in their discipline.

On November 16, 2006, the Graduate School Council considered the proposal and recommended that it be approved. The Vice Provost and Dean of the Graduate School concurred with the Council's recommendation.

The Vice Provost and Dean of the Graduate School, the Interim Dean of the College of Arts and Sciences, and the Provost have reviewed and approved the recommendation. Approval by the Higher Education Coordinating Board is not required for the Graduate Certificate proposal. The Graduate School will inform the HECB that the program has been established if the Board of Regents approves it.

## VII. STANDING COMMITTEES

### A. Academic and Student Affairs Committee

#### UW Tower Transition Planning Progress Report

The University of Washington took ownership of the UW Tower properties on September 27, 2006, and during the last two months of 2006 accomplished a number of planning tasks related to transitioning the property into UW ownership and use.

#### Space Planning Activities

- Appointed a Planning Advisory Committee and established strategic principles and a decision-making structure for occupancy planning
- Assimilated building plans into the university's space information database
- Prepared a profile of leased and administrative spaces for planning purposes
- Met with the state office of General Administration regarding leased properties
- Initiated a proposal process for space use, with proposals due January 31st
- Prioritized minor building repairs for completion over the course of the next year
- Completed a draft pre-design study for a new data center in Building C
- Identified a temporary use for the Collegiana
- Initiated planning for three larger projects
  - seismic improvement to Garage A
  - connecting tower fire alarm and emergency systems to UW systems
  - changing the building signage from "SAFECO" to "UW"

#### Planning Advisory Committee

The Planning Advisory Committee (PAC) met twice at the end of last year, and now has regularly scheduled meetings through mid-2007. Membership has been expanded to include Kimberly Friese, President of the Graduate and Professional Student Senate, Dan Luchtel, Vice Chair of the Faculty Senate, and Laura Little, faculty representative from the Faculty Council on University Facilities and Services. The PAC has toured the property, reviewed and approved the strategic planning principles, and received leased and administrative space profiles listing units that could be considered for relocation to the UW Tower properties or associated released spaces. Later this month the PAC will discuss how to assign space costs within the UW Tower properties.

#### Governor's Budget

The university's operating budget request included a specific request for state support for operations and maintenance for the UW Tower properties in the amount of \$3,589,000 for fiscal year 2008 and \$7,178,000 for fiscal year 2009. While the Governor's budget proposal was quite favorable overall in both operating and capital recommendations, it did not include a line item for UW Tower operations and maintenance. In the Governor's proposed capital budget, \$25,000,000 is provided for computing and communications and data center upgrades, of which \$20,000,000 would be directed to the UW's planned new data center in the UW Tower properties and \$5,000,000 would fund connectivity improvements.

## VII. STANDING COMMITTEES

### A. Academic and Student Affairs Committee

#### UW Tower Transition Planning Progress Report (continued p. 2)

##### Financial Report

	<b><u>Month of December</u></b>	<b><u>Fiscal Year To Date</u></b>
<b><u>Revenues</u></b>		
Safeco Building Lease	\$2,847,738	\$3,713,761
Other Building Lease	\$18,206	\$23,743
Other Parking Lease	\$75,326	\$98,233
Miscellaneous	\$0	\$0
<b>Total Revenue</b>	<b>\$2,941,270</b>	<b>\$3,835,737</b>
<b><u>Expenses</u></b>		
Debt Service	\$0	\$32,500
General Operating Expenses (Utilities, Property Mgmt., Other)	\$2,855	\$147,571
Minor Building Repairs	\$0	\$0
Tenant Improvements	\$0	\$0
Property Acquisition Expenses	\$124,340	\$124,340
Building Transition Expenses	\$48,329	\$48,329
Other	\$3,260	\$3,260
<b>Total Expenses</b>	<b>(\$178,784)</b>	<b>(\$356,000)</b>
<b>Net Revenue/(Expenses)</b>	<b>\$2,762,486</b>	<b>\$3,479,737</b>

##### Timeline

*November 15, 2006* - Call for space proposals  
*January 31, 2007* - Proposals due  
*January 2007 to June 2007* - Occupancy planning  
*June 2007* - Recommendations due to Provost  
*July 2007* - UW Tower Properties space assignments  
*June 2007 to late 2007/early 2008* - Campus release space planning  
*Early 2008* - UW Tower move-ins begin

##### Website

<http://www.washington.edu/admin/pb/UW-Tower/index.htm>



VII. STANDING COMMITTEE

A. Academic and Student Affairs Committee

Innovations in Undergraduate Education

See Attachments.

**Innovations in Undergraduate Education  
Interim Report**

**College of Arts & Sciences  
University of Washington**

**February 2006**

For two years, the Arts & Sciences Learning Initiative has provided guidance and resources to help faculty and departments: 1) develop inquiry-driven, student-centered, and cost-effective learning approaches shaped by comprehensive assessments of student work; and 2) embrace a learning paradigm as foundational to the College's approach to undergraduate education. The goal of enhancing and transforming the undergraduate experience requires the College to fund initiatives that have broad impact – and the Learning Initiative has allowed us to make significant advances in the quality of the academic experience at UW. Thus far, the College has supported teaching and learning innovations that have directly affected 30 departments, representing three-quarters of all Arts and Sciences academic units, and more than 100 faculty, representing over 10 percent of the faculty in the College).

Essentially every one of the 23,000 undergraduate students in A&S and all other students who graduate from UW and take their foundational and general education courses in the College, by the time each graduates, will have enrolled in a course – in most cases many courses – and degree program(s) that have been supported by this initiative.

With the need for broad impact, the Learning Initiative has supported fundamental course re-designs in some of our largest undergraduate courses, as well as some of our largest departments. In addition and as the tables at the end of this report show, the Learning Initiative has also supported smaller but highly prestigious programs at the UW, such as the Jackson School for International Studies.

***Projects Supported through the Learning Initiative***

With funds totaling \$1 million from the Office of Provost (\$500,000 grants provided to A&S in both the 2004-2005 and 2005-2006 academic years), the College of Arts & Sciences began an ambitious program to transform the nature of the undergraduate learning experience across the College.

**Projects Supported through the Learning Initiative**

Since 2004 the College has supported faculty from 30 of the 40 Arts and Sciences departments. These projects have included:

- **Foundations Courses** in Accounting<sup>\*</sup>, Biology, Chemistry, Economics, the Jackson School of International Studies, Psychology, Physics, Anthropology, Cinema Studies, Earth and Space Sciences, English, Sociology, and Women Studies.
- **Web-Enhanced Foreign Language Instruction** in 100-level German, Japanese, and Spanish courses.
- **Learning in the Major** projects in the Dance Program and the departments of Geography, Women Studies, Psychology, Anthropology, and Sociology.
- **4 X 4 Writing** workshops for faculty members in ten departments, including American Ethnic Studies, American Indian Studies, Anthropology, Mathematics, Scandinavian Studies, Speech and Hearing Sciences, Dance, Psychology, Philosophy, and Cinema Studies.
- **Program in Curricular Development** in Applied Math, Art (Division of Photography), Atmospheric Sciences, and Communication.

*Close-Up on one Project: Biology*

**A. Biology 180**

Biology 180 initiates a three-quarter sequence of introductory courses for students intending to major in Biology or related fields. Students earn five credits based on four lecture periods and a three-hour lab each week. The course enrolls 345 students per quarter or approximately 1200 enrollments annually. About 80 percent of these students intend to go on to graduate or professional school – usually in health-related fields.

Because at least two quarters of inorganic chemistry are required as a prerequisite, most Biology 180 students are sophomores or juniors. Yet despite their undergraduate experience and large investment in natural sciences courses, many students fail the course. Students, for example, must receive a grade of 1.5 or better to enroll in Biology 200 and continue in the sequence; they must also average a 2.0 in Biology 180, 200, and 220 in order to declare Biology as a major.

In Spring 2002, 18 percent of Biology 180 students failed to receive a 1.5 and almost 31 percent received grades below 2.0. When the same faculty member added an array of daily active learning exercises to the course design in Spring 2003, the failure rate improved only slightly, (16 percent of students receiving grades below 1.5; and 28 percent of students receiving grades below 2.0).

Why were so many students failing? With support from the Dean of the College of Arts and Sciences, staff from the UW Office of Educational Assessment (OEA) analyzed data on 3,400 students who took the Biology 180, 200, 220 series over the past four years.

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<sup>\*</sup> Although not part of the College of Arts & Sciences, the College provided assessment resources to the Business School and the Department of Accounting and included Accounting faculty in all Arts & Sciences workshops and meetings.

The results showed that the most important predictor of failing one or more courses in the series was UW GPA at the time of registering. In Biology 180, SAT verbal score was also an important predictor of failure. Follow-up work by Biology Department faculty has shown that students who are underrepresented minorities and/or in EOP are very likely to be in this high-risk category.

Based on these analyses, it seemed clear that students who were struggling in Biology 180 were also struggling in other courses, and that the written exams in Biology 180 were a challenge for many students with weak verbal skills. Now the question became: now that we know who they are, how can we help these students?

## **Changes**

To increase student achievement and reduce the failure rate, Biology 180 faculty proposed to test several new types of active-learning strategies: 1) daily, in-class, multiple-choice questions that were either graded via a radio frequency student-response system (“clickers”), or un-graded via cards held aloft by students; and 2) weekly, peer-graded, written practice exams that were either done in groups of four or individually online.

With support from the College of Arts and Sciences through a Foundations Course grant, Biology 180 faculty and staff split the Spring 2005 course into two sections (sections taught back-to-back from identical overhead transparencies, by the same instructor who taught the course in Spring 2002 and Spring 2003). Faculty then evaluated student performance on identical midterm and final exam questions, based on their risk score and their participation in one of the four course designs implemented: clickers + study group, clickers + online, cards + study group, cards + online. Using a regression model that emerged from the OEA analysis of failing students, staff were also able to generate a risk score for each student taking the class in Spring 2005 and categorize them a priori as high risk (lowest 25 percent of class), medium risk (middle 50 percent), or low risk (highest 25 percent).

## **Consequences**

The results provided convincing evidence that on average, students did better in the newly revised course than students had done in previous quarters. Consequences included:

- **A drop in the failure rate.** The failure rate dropped to 11 percent of students with grades below 1.5 and 21 percent of students with grades below 2.0
- **Improved exam scores.** There was a statistically significant improvement in average total exam points – from 257 points in Spring 2002 and 256 points in Spring 2003, to 270 in spring 2005; and on a midterm identical to one given in Spring 2003. Students in Spring 2005 achieved a median that was eight points higher.

- **Best strategies for high-risk students.** Although there was no significant difference in exam performance among the four course design groups when all students were considered, the story changed when only high-risk students were analyzed. Here the data showed strong evidence that high-risk students did best in the clickers + online group.
- **Follow-up study.** With support from the Dean's office, Biology 180 is currently being taught by the same instructor in two back-to-back sections, with all students doing weekly, peer-graded, written questions by themselves online. Students in both sections are answering identical in-class clicker questions, but in one section students are given points for participation, while in the other section students receive points based on right/wrong answers. The goal of this work is to determine whether learning gains documented in Spring 2005 were simply due to increased attendance or if learning gains were based on grading of work.
- **Presentations to Biology faculty.** Biology 180 faculty and staff have given two presentations on the work to colleagues in the department thus far, and discussions are currently underway to use the results to change the course designs in all Biology 180, 200, and 220 classes.

The Learning Initiative APPENDIX A ~ ARTS AND SCIENCES PROJECTS, 2004-06

FOUNDATIONS COURSES 2004-2006				
Department	Faculty	Project Summary	Assessment Plans	Outcomes to Date
<b>Accounting 2004-2005</b>	Bill Wells Elizabeth Widdison Gary Sundem Nola-Jean Bamberry	<ul style="list-style-type: none"> <li>Integrate online activities into Accounting 215/225, prerequisites for the Business major</li> <li>Cut face-to-face time by half</li> <li>Substitute an optional lab for TA-led sections</li> </ul>	<ul style="list-style-type: none"> <li>Identify learning goals for 215 and 225</li> <li>Conduct mid-course surveys</li> <li>Track students' lab use</li> <li>Track retention</li> <li>Compare course evaluations</li> <li>Compare traditional and experimental class scores on shared exam questions</li> </ul>	<ul style="list-style-type: none"> <li>Increase in attrition and no significant change in performance</li> <li>Identification of successful aspects of course</li> <li>Suggested revisions for next iteration include creating a library of online activities for students.</li> </ul>
<b>Anthropology 2005-2006</b>	To be selected by RFP by the department's Committee on Teaching and Learning	Six faculty develop 100-level courses to serve as foundations into the major. Proposal includes one RA and a project coordinator	<ul style="list-style-type: none"> <li>Identify learning goals that overlap for all six courses OR 100-level learning goals that the six courses include (as well as goals that are specific to each of them if desired)</li> <li>Conduct portfolio-based assessment, summer 2006, with portfolio gathering undertaken during courses.</li> </ul>	<ul style="list-style-type: none"> <li>Six proposed courses were selected from submitted proposals</li> <li>Next step: development of learning goals for all six</li> </ul>
<b>Applied Math 2005-2006</b>	Nathan Kuntz Two TAs Consultation with CSE	<ul style="list-style-type: none"> <li>Design and build web-enhanced infrastructure for managing course homework</li> <li>Create homework</li> </ul>	<ul style="list-style-type: none"> <li>Identify learning goals for AMATH 301</li> <li>Compare student performance in traditional with that in new</li> <li>Compare student satisfaction in traditional with that in new</li> </ul>	In development
<b>Biology 180/200/220 2004-2005</b>	Scott Freeman Mary Pat Wenderoth	Conduct experiment to determine if study groups, clickers, individual responses to online questions improve students' grades and retention in Biology 180	<ul style="list-style-type: none"> <li>Identify learning goals for Biology 180 that link to those for all 100/200 level courses</li> <li>Compare student performance</li> <li>Develop predictive model of droppers and low-grade recipients and track</li> </ul>	<ul style="list-style-type: none"> <li>Drop in the failure rate</li> <li>Better scores on exams</li> <li>Identification of successful strategies for high-risk students</li> <li>Follow-up study</li> <li>Share information with dept.</li> </ul>

\* Although not part of the College of Arts & Sciences, the College provided assessment resources to Accounting and included Accounting faculty in all Arts & Sciences workshops and meetings.

<b>FOUNDATIONS COURSES 2004-2006</b>				
<b>Department</b>	<b>Faculty</b>	<b>Project Summary</b>	<b>Assessment Plans</b>	<b>Outcomes</b>
<b>Chemistry 142/52/62 2004-2005</b>	Phil Reid Tom Engel	Introduce clickers and clicker-based thought questions into lecture	<ul style="list-style-type: none"> <li>Identify learning goals</li> <li>Track attendance</li> <li>Compare exam performance on clicker areas with previous non-clicker exam performance</li> </ul>	<ul style="list-style-type: none"> <li>Increased attendance</li> <li>Improved performance on exams</li> <li>Increased student sense of class as learning community</li> <li>Increased faculty interest in new technologies</li> </ul>
<b>Cinema Studies (Comp Lit) 2005-2006</b>	Albert Sbragia Jennifer Bean Yomi Braester Willis Konick Cynthia Steele James Tweedie	<ul style="list-style-type: none"> <li>Revise the 301 and 400 gateway courses—adding learning goals, writing goals, and a shared instructional paradigm for the course.</li> <li>Meet with external film studies consultants to develop learning goals for majors</li> <li>Meet with others who teach film courses across campus</li> <li>Identify learning goals and creating a curricular map</li> <li>Conduct workshop on teaching film for undergrad programs</li> </ul>	<ul style="list-style-type: none"> <li>Identify learning goals for program</li> <li>Identify learning goals for new courses—revised 301, Comp Lit 400—Intro to Theory of Film, and Comp Lit 312</li> <li>Develop curricular map of Cinema Studies program</li> <li>Conduct classroom based assessment of new courses</li> <li>Track film students into other courses</li> <li>Develop performance-based assessment for majors</li> </ul>	In development
<b>Earth &amp; Space Sciences</b>	Robert Winglee Walt Harris Bruce Nelson George Bergantz Kari Cooper Terry Swanson	<ul style="list-style-type: none"> <li>Move lower division classes to distance learning formats beginning with ESS 102, 101, 106</li> <li>Integrate VLE (Virtual Learning Environment) into regular upper level courses</li> </ul>	<ul style="list-style-type: none"> <li>Identify learning goals</li> <li>Compare student performance</li> <li>Compare student satisfaction</li> </ul>	In development
<b>Economics 2004-2005</b>	Eugene Silberberg Haideh Salehi-Esfahani	<ul style="list-style-type: none"> <li>Create web-based active-learning experiences for Econ 200 and 201</li> <li>Conduct quizzes online</li> </ul>	<ul style="list-style-type: none"> <li>Identify learning goals for 200 and 201</li> <li>Add questions on methods to course evals</li> </ul>	<ul style="list-style-type: none"> <li>Decrease in student satisfaction; modified original redesign</li> <li>Increase in student satisfaction</li> <li>Increase in conceptual understanding</li> </ul>
<b>2005-2006</b>	Haideh Salehi-Esfahani	Add to library of web-based activities/exams for Econ 201	Continue to track student course evals and performance on exams.	In development

**FOUNDATIONS COURSES  
2004-2006**

<b>Department</b>	<b>Faculty</b>	<b>Project Summary</b>	<b>Assessment</b>	<b>Outcomes</b>
<b>English</b>	Gillian Harkins Mark Patterson Leroy Searle Norm Wacker Bob McNamara Joan Graham Melissa Wensel Caroline Simpson Linda Ahern Carolyn Allen Anis Bawarshi	<ul style="list-style-type: none"> <li>• Develop learning goals for the 200-level gateway course</li> <li>• Get departmental consensus on goals</li> <li>• Identify more cost-effective process for course</li> <li>• Assess course and feed back information into curriculum</li> </ul>	<ul style="list-style-type: none"> <li>• Identify learning goals and send to faculty, keeping in mind the purpose of the course is to introduce students to the discipline:               <ul style="list-style-type: none"> <li>○ Introduce students to basic historical and critical contexts that have shaped the development of English language, literatures, and cultures (CONTENT)</li> <li>○ Reinforce a habit of close and careful reading practices (METHODS)</li> <li>○ Improve writing</li> </ul> </li> <li>• Track entering students to describe their college writing backgrounds</li> <li>• Develop assessment plan with faculty teaching in W and SP06</li> </ul>	In development
<b>Jackson School 2004-2005</b>	Anand Yang David Bachman Eight-member faculty committee	<ul style="list-style-type: none"> <li>• Develop learning goals for 200-level gateway into all seven Jackson School tracks</li> <li>• Get agreement from all seven tracks to require gateway</li> <li>• Pilot course</li> </ul>	<ul style="list-style-type: none"> <li>• Identify ways to assess learning goals</li> </ul>	<ul style="list-style-type: none"> <li>• All seven Jackson school majors agree to require Foundations course</li> <li>• Requirement in place for students entering in 2006</li> <li>• Portfolio plan designed</li> </ul>
<b>2005-2006</b>	Anand Yang David Bachman Eight-member faculty committee	Assess new Foundations Course	<ul style="list-style-type: none"> <li>• Conduct portfolio assessment of student work from winter Foundations Pilot</li> <li>• Conduct portfolio assessment of work from spring pilot</li> </ul>	In development
<b>Physics 114/5/6 2004-2005</b>	Daryl Pedigo Paula Heron	<ul style="list-style-type: none"> <li>• Create hands-on experiences to be used as demonstrations in a larger class</li> <li>• Develop clicker questions</li> <li>• Working with PEG, develop pre/post concept tests</li> </ul>	<ul style="list-style-type: none"> <li>• Identify learning goals for class</li> <li>• Use PEG results to assess</li> </ul>	



**FOUNDATIONS COURSES  
2004-2006**

<b>Department</b>	<b>Faculty</b>	<b>Project Summary</b>	<b>Assessment</b>	<b>Outcomes</b>
<b>Psychology 101 2004-2005</b>	Michael Passer and three other lecturers in 101	Develop library of online active- earning experiences to teach key concepts	<ul style="list-style-type: none"> <li>Identify shared core learning goals</li> <li>Build student assessment of activities into the activities</li> <li>Compare student performance on concepts on exams with previous students' performance</li> </ul>	<ul style="list-style-type: none"> <li>Learning goals developed</li> <li>High level of student satisfaction with each activity</li> <li>Improvement in student performance</li> <li>Increase in student self-reflection and consequent understanding of own level of knowledge</li> <li>All subsequent Psych 101 faculty using activities developed</li> </ul>
<b>2005-2006</b>	Michael Passer	<ul style="list-style-type: none"> <li>Continue tech support (30-40 hrs)</li> <li>Add to library (release of spring class)</li> <li>Present work to faculty</li> </ul>	Continue to attach student satisfaction/ reflection pieces to library entries	In development
<b>Sociology 2005-2006</b>	Julie Brines Kevin Mihata Undergrad Cmte	<ul style="list-style-type: none"> <li>Identify learning goals for major</li> <li>Get consensus on the kind of Foundations Course consistent with goals</li> </ul>	Beginning ideas for new Foundations Course for major	In development
<b>Women Studies 2004-2005</b>	Judy Howard Full-time RA All faculty	Develop learning goals for WS 200, gateway into major	Presence of learning goals	Learning goals drafted and checked against goals for major
<b>2005-06</b>	David Allen Full-time RA	<ul style="list-style-type: none"> <li>Pull upper-level courses into learning goals</li> <li>Assess new capstone w/senior portfolios</li> <li>Assess new Women 200— Developed in previous year.</li> </ul>	Use senior portfolios to assess capstone against learning goals for major	In development

**CURRICULAR DEVELOPMENT  
2004-2006**

<b>Department</b>	<b>Faculty</b>	<b>Project Summary</b>	<b>Assessment Ideas</b>	<b>Outcomes</b>
<b>Art</b>	Paul Berger Ellen Garvens Rebecca Cummins	Develop and pilot a large-lecture gateway course for photography, DX, and Visual Design majors	<ul style="list-style-type: none"> <li>Identify learning goals for the course</li> <li>Use technology to assist in critique</li> <li>Follow-up into second course</li> </ul>	<ul style="list-style-type: none"> <li>Learning goals identified and agreed upon by all faculty</li> <li>Technology designed and ready for pilot</li> </ul>
<b>Atmospheric Sciences</b>	Robert Wood Joel Thornton	<ul style="list-style-type: none"> <li>Develop a web-based and paper laboratory manual that details goals, equipment, methodology, and statistical tools required for each activity; provides exercises; and provides background material</li> <li>Develop a document that details the use of chemical instrumentation deployed with meteorological instruments for air quality study</li> </ul>	<ul style="list-style-type: none"> <li>Identify learning goals for the course</li> <li>Compare student performance in previous with performance in new version of course</li> <li>Compare student satisfaction</li> </ul>	In development
<b>Communication</b>	Philip Howard	Develop a hands-on curriculum where students create and get air time for public service statements for political communication courses at the 300-level that can be scaled up to the 400-level.	<ul style="list-style-type: none"> <li>Identify learning goals for each course</li> <li>Review of student work by CMU faculty outside the course</li> </ul>	Student work published

**LEARNING IN THE MAJOR  
2004-2006**

<b>Department</b>	<b>Faculty</b>	<b>Project Summary</b>	<b>Assessment</b>	<b>Outcomes</b>
<b>Cinema Studies (Comp Lit) 2005-2006</b>	Albert Sbragia Jennifer Bean Yomi Braester Willis Konick Cynthia Steele James Tweedie	<ul style="list-style-type: none"> <li>• Revision of the 301 and 400 gateway courses—adding learning goals, writing goals, and a shared instructional paradigm for the course.</li> <li>• Meeting with external film studies consultants to develop learning goals for majors</li> <li>• Meeting with others who teach film courses across campus</li> <li>• Identifying learning goals and creating a curricular map</li> <li>• Conduct workshop on teaching film for undergrad programs</li> </ul>	<ul style="list-style-type: none"> <li>• Identify learning goals for program</li> <li>• Identify learning goals for new courses—revised 301, Comp Lit 400—Intro to Theory of Film, and Comp Lit 312</li> <li>• Curricular map of Cinema Studies program</li> <li>• Classroom based assessment of new courses</li> <li>• Track film students</li> <li>• Develop performance-based assessment for majors</li> </ul>	In development
<b>Dance</b>	Betsy Cooper	Develop and “test” ways to assess learning goals in major	<ul style="list-style-type: none"> <li>• Ask students to self-assess with learning goals in the major</li> <li>• Develop electronic portfolio and pilot</li> </ul>	Developing design for portfolio assessment
<b>Geography</b>	Rick Roth Suzanne Withers Michael Brown	<ul style="list-style-type: none"> <li>• Add departmental learning goals to course evals</li> <li>• Continue Geography SOUL</li> <li>• Monitor/assess learning goals via capstone course and portfolios</li> <li>• Recommend curricular changes based on revision</li> </ul>	<ul style="list-style-type: none"> <li>• Report on findings from Geography Study of Undergraduate Learning (SOUL)</li> <li>• Assess portfolios gathered in SOUL</li> <li>• Make recommendations</li> </ul>	Analysis of first-year interviews
<b>Psychology</b>	Ana Mari Cauce Beth Kerr Nancy Kenney Laura Little	<ul style="list-style-type: none"> <li>• Reconsider and fine-tune department learning goals</li> <li>• Identify and study learning goals in place in individual courses</li> <li>• Map dept. learning goals across curriculum</li> </ul>	Delayed while chair search is conducted.	In development
<b>Sociology</b>	Julie Brines Kevin Mihata Undergrad Comte	<ul style="list-style-type: none"> <li>• Identify learning goals for major</li> <li>• Get consensus on the kind of Foundations Course consistent with goals</li> </ul>	<ul style="list-style-type: none"> <li>• Identify learning goals for program</li> <li>• Map curriculum to goals</li> <li>• Redesign gateway(s)</li> </ul>	Preliminary conversations with faculty on curriculum redesign

LEARNING IN THE MAJOR 2004-2006				
Department	Faculty	Project Summary	Assessment	Outcomes
<b>Women Studies</b> 2004-2005	Judy Howard Full-time RA All faculty	<ul style="list-style-type: none"> <li>Develop new capstone to assess learning in major</li> <li>Create curricular map to learning goals</li> <li>Review/revise learning goals for major</li> </ul>	---	<ul style="list-style-type: none"> <li>Developed new capstone</li> <li>Created curricular map of courses to learning goals</li> <li>Revised learning goals</li> </ul>
	----- 2005-06	David Allen Full-time RA	<ul style="list-style-type: none"> <li>Pull upper-level courses into learning goals</li> <li>Assess new capstone w/senior portfolios</li> </ul>	Use senior portfolios to assess capstone against learning goals for major

WEB-ENHANCED FOREIGN LANGUAGE INITIATIVE 2004-2006				
Department	Faculty	Project Summary	Assessment	Outcomes
<b>Spanish</b>	Paloma Borreguero, Kristee Boehm, Graduate students	<p>Create a series of first-year hybrid language courses and online learning activities for Span 110 and 103. Transferring the portion of the language course devoted to rote tasks onto a self-instructional but guided web-based learning environment, frees up time for communicative application in the classroom. In addition, the hybrid course model would further allow one teaching assistant to offer two language sections rather than only one section, making the model highly cost effective.</p> <p>In 2005-06, add a second year hybrid language course—Span 210—to the mix.</p>	<p>Compare four hybrid sections of Spanish 110 with traditional sections of 110.</p> <p>Track 110 students into Spanish 203 and compare.</p> <p>Model German and Japanese pilot projects from best practices learned from Spanish, as well as from approaches noted in the literature on engaging and educating students in learning vital components of language and culture to become successful language learners.</p>	<ul style="list-style-type: none"> <li>Effective use of Moodle as a learning management tool</li> <li>Improved or same level of student learning</li> <li>Improved or same level of student satisfaction</li> </ul>

**WEB-ENHANCED FOREIGN LANGUAGE INITIATIVE  
2004-2006**

Department	Faculty	Project Summary	Assessment	Outcomes
<b>Japanese</b>	Kaoru Ohta, Graduate students	Create a series of first-year hybrid language courses and online learning activities for Japanese 112 and 113. Transferring the portion of the language course devoted to rote tasks onto a self-instructional but guided web-based learning environment, frees up time for communicative application in the classroom. In addition, the hybrid course model would further allow one teaching assistant to offer two language sections rather than only one section, making the model highly cost effective.	As above	<ul style="list-style-type: none"> <li>• Effective use of Moodle as a learning management tool</li> <li>• Improved or same level of student learning</li> <li>• Improved or same level of student satisfaction</li> </ul>
<b>German</b>	Manfred Bansleben, Graduate students	<p>Create a series of first-year hybrid language courses and online learning activities for German 101 and German 111. Transferring the portion of the language course devoted to rote tasks onto a self-instructional but guided web-based learning environment, frees up time for communicative application in the classroom. In addition, the hybrid course model would further allow one teaching assistant to offer two language sections rather than only one section, making the model highly cost effective.</p> <p>In 2005-06, add a second year hybrid language course—German 201—to the mix.</p>	As above	<ul style="list-style-type: none"> <li>• Effective use of Moodle as a learning management tool</li> <li>• Improved or same level of student learning</li> <li>• Improved or same level of student satisfaction</li> </ul>

**4 X 4 WRITING  
2004-2006**

Department	Faculty	Project Summary	Assessment	Outcomes
<b>2004-2005</b> American Ethnic Studies, American Indian Studies, Anthropology, Mathematics, Scandinavian, and Speech and Hearing	Rick Bonus Michelle Habell- Pallan Sonnet Retman Steve Sumida Charlotte Cote Celia Lowe Ann Anagnost Janelle Taylor Lorna Rhodes John Palmieri Jack Lee Jan Sjavik Andrew Nestingen Marianne Stecher- Hansen la Dubois Judith Stone- Goldman Leslie Olswang	The 4x4 Initiative supports faculty in developing writing-integrated courses. The initiative engages faculty from up to four departments at a time, with up to four faculty from each of the participating departments. These faculty members work together through a set of workshops to plan new ways of integrating writing into their undergraduate courses. Faculty from participating units take different paths to address their department's writing needs: some focus on a single set of existing undergraduate courses; others develop new undergraduate courses designed to fit within an existing department curriculum; and others create an unrelated set of courses to be taught by individual faculty members.	<ul style="list-style-type: none"> <li>• Identify learning goals for program</li> <li>• Course evaluation tracks individual units</li> <li>• Faculty reflections on the experience</li> <li>• Follow-up with faculty participants</li> <li>• Count number of students, faculty, and departments affected</li> <li>• Track spillover: new faculty in repeat departments</li> </ul>	<ul style="list-style-type: none"> <li>• Changes in writing practices of 34 faculty from 11 departments</li> <li>• 3000 students' learning experiences directly affected by changes (annual enrollments in courses revised)</li> <li>• Course evaluations and analysis of faculty reflections show that the program has been extremely well-received by faculty</li> </ul>
<b>2005-2006</b> Cinema Studies, Dance, Philosophy, and Psychology	Ann Baker Ronald Moore Michael Rosenthal William Talbott Jennifer Bean Yomi Braester Albert Sbragia Cynthia Steele James Tweedie Jane Brown Steve Buck Ellen Covey Jaime Olavarria Betsy Cooper Jennifer Salk Mark Haim Jurg Koch			

**Odegaard Writing and Research Center  
2004-2006**

Department	Faculty	Project Summary	Assessment	Outcomes
All	Laura Black	Provide a centrally-located writing center for undergraduates that provides them with one-stop access to library resources.	<ul style="list-style-type: none"> <li>• Track number of visits</li> <li>• Track number of repeat visits</li> <li>• Track time usage</li> <li>• Develop short form to assess satisfaction</li> <li>• Pilot form to capture overflow</li> <li>• Assess tutor training</li> </ul>	<ul style="list-style-type: none"> <li>• All appointments filled</li> <li>• Evaluation forms developed</li> <li>• Developing form to capture overflow</li> </ul>

## Funding Students First

The Board of Regents approved an initiative to promote private contributions for need-based student scholarships in October 2006. The program, entitled *Students First*, creates a 1:2 matching pool for gifts received for this purpose. The University will provide matching funds up to \$37.5 million for the first \$75 million raised.

A Presentation to

Board of Regents

Academic and Student Affairs Committee

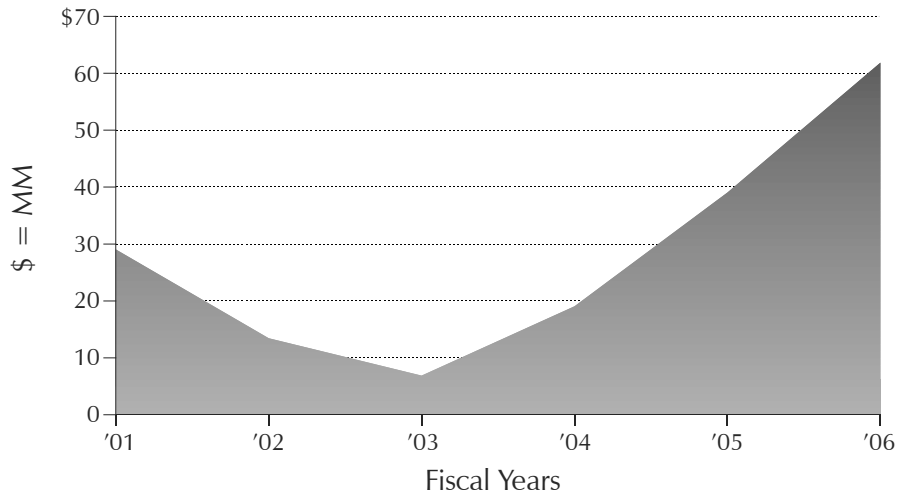
January 18, 2007



# Funding Students First

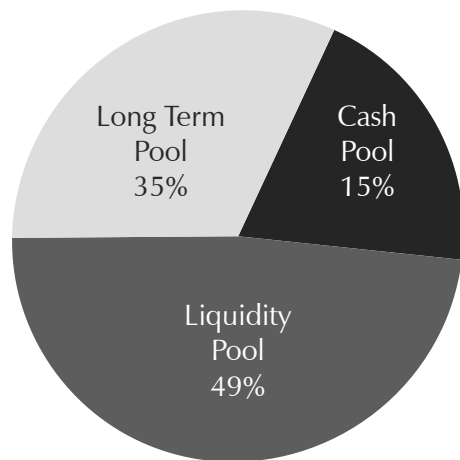
A-9

### Accumulated Appreciation



### Invested Funds Asset Allocation

\$1.1 billion as of June 30, 2006



- In addition to the CEF, the Treasury Office invests the University's cash balances in a separate, commingled pool known as the Invested Funds.
- The asset allocation for the Fund includes three pools: Cash (20% Target), Liquidity (50% Target) and Long Term (30% Target).
- This asset allocation is designed to ensure the University can meet day-to-day financial obligations while generating better than money market returns.
- Excess returns have come primarily from the Long Term Pool which is invested in the University's CEF.
- Accumulated appreciation in the Invested Funds, which has historically averaged 2% or less of the total, serves as a backstop for guaranteeing depositors' principal and providing daily liquidity.
- Double digit returns in the Long Term Pool over the past three years have significantly increased the Fund's accumulated appreciation.
- Given the unusual amount of appreciation in the Fund, the decision was made to move up to \$37.5 million into an endowment to support *Students First*—\$20 million of which has already been transferred.
- The remaining accumulated appreciation in the Invested Funds will provide a buffer equal to or greater than historical averages.
- The decision to fund *Students First* with excess appreciation will not affect the Fund's ability to meet day-to-day cash needs or its ability to generate benchmark returns.

December 12, 2006

**A-10**

Representative Hans Dunshee  
Representative John Lovick  
Representative Al O'Brien  
Representative Mike Sells  
Representative Mark Eriks  
Senator Rosemary McAuliffe

Dear Representatives and Senator McAuliffe:

I am pleased to respond to your letter requesting a plan for a University of Washington option to offer baccalaureate programs in the Everett/Marysville area under the management of the UW Bothell administration. We have waited to propose such a plan until the Higher Education Coordinating Board acted on the Snohomish/Island/Skagit study. In keeping with the HEC Board recommendation that future options be explored, we have designed a proposal to begin, in partnership with our local community colleges, an upper division technology bachelor's program in the Everett area. We believe we can provide, economically and efficiently, the beginnings of "polytechnic" educational offerings in the Snohomish area, producing the first cohort of graduates by 2012.

I attach a short summary of the proposal with the key elements highlighted, as well as a full proposal with greater detail. These proposals have been shared with President Emmert and the Board of Regents of the University, as well as having been shared with our Community and Technical College presidential colleagues from Everett, Edmonds, Shoreline, Skagit Valley, Bellevue, North Seattle, Cascade, and Lake Washington.

I would be pleased to provide any additional information you might like.

Sincerely,

Steven G. Olswang  
Chancellor

cc: President Mark Emmert  
Mr. Randy Hodgins  
Mr. Aaron Reardon  
Mayor Ray Stephanson  
Senator Jean Berkey  
Senator Mary Margaret Haugen

## **Proposal to serve Snohomish, Island, and Skagit Counties (SIS)**

### Background

The University of Washington Bothell was created by the State Legislature in 1989 to serve the citizens of the north Puget Sound region. In its 16 year history, over 10,000 state residents, from northern King County and southern Snohomish County in particular, have attended UW Bothell, and 90% of its graduates still live and work in the region.

During this same time, the population of the region has increased significantly. Projected future growth in Snohomish, Island, and Skagit (SIS) Counties suggest the need for extensions of educational opportunities north of UW Bothell. Tied to the explosive business growth in the north I-5 and I-405 corridors in the areas of biotechnology, biodevise, computer software, and aerospace engineering, the need for large numbers of technology trained baccalaureate graduates in science and engineering is intensified.

These circumstances have led the State of Washington to commission, through the Higher Education Coordinating (HEC) Board, a study of the educational needs of Snohomish, Island, and Skagit Counties. After a study lasting almost 16 months and involving many community members, the HEC Board has recommended that, considering the critical need to fully fund existing higher education programs, the time is not ripe to establish another institution of higher education in the region. Nonetheless, the HEC Board encouraged additional planning and collaboration between neighboring institutions in an effort to better serve the baccalaureate needs of the area.

### The Common Objectives

In conversations with those involved in serving the higher educational needs of the citizens of Snohomish, Island, and Skagit Counties several common objectives emerged:

- ◆ Bring new baccalaureate programs to the region.

By doing so, provide local inspiration to the high school population that they can go to a high quality university education without incurring costs for relocation and residential living.

- ◆ Focus any curriculum on science and technology degrees, so graduates can readily find professional jobs in the region.

By doing so, provide the incentive of having a highly educated workforce available to future businesses to encourage them to relocate into the region.

- ◆ Expand public and private investment in the region, promoting economic development.

## Context

The State of Washington has proven models for how to offer baccalaureate programs in partnerships between the community colleges and the universities. Since 1990, the UW Bothell, UW Tacoma, WSU Tri-Cities, and WSU Vancouver have all been upper division institutions. Over 16 years, 30,000 students from all of the state's 34 community and technical colleges have taken their first two years of educational preparation and transferred to one of these campuses and received upper division education towards baccalaureate degrees.

## The Proposal

The University of Washington Bothell could work in partnership with community and technical colleges in the SIS area in the service of three intertwined agendas: (1) Offer new baccalaureate degree programs focused on science, engineering and technology, (2) Establish a K-12 partnership to expand math/science education and opportunities, and (3) Foster local economic development networks, professional development, and entrepreneurship mentoring. These agendas extend current UW Bothell partnerships with Snohomish, Island, and Skagit Counties, and are directly interconnected with current UW Bothell programs.

## Possible Elements for New Degree Programs

1. Efforts and resources could be focused on new program options that are complementary to those that already exist in the vicinity and across the state. For example, the initial curriculum could be focused on science, engineering and technology. A first program might possibly be in electrical engineering or general engineering. All programs could be accredited as part of the University of Washington. To the extent possible, distributive education technology would be utilized to allow classroom access from remote locations.
2. A new campus, if developed, could begin as an upper division campus. The lower division might be offered by the partner community and technical colleges (Skagit Valley, Everett, Edmonds, Shoreline, Cascadia, North Seattle, Bellevue, Lake Washington Technical College), and at the University of Washington Bothell. Representatives from each could collaboratively design the first two years curriculum with expertise provided by faculty from UW Seattle.
3. Students entering as freshmen at any participating campus could be jointly admitted to the new campus, if it is developed. This would ensure that all students have a four year degree plan if they successfully complete the lower division curriculum.

4. If it is desirable and feasible to create a new SIS program location, the City of Everett seems to be the logical place to begin the upper division program. Existing transportation corridors favor Everett as the place to start. Further, accessible facilities exist at the Everett Center, the Everett Community College campus, or possibly the vacated Cogswell building.
5. An initial upper division class of 30 to 60 students for the first engineering degree program seems achievable. Growth would be conditioned on future entering lower division student demand.

If desirable and feasible, a reasonable timeline is as follows:

2007-2008	Lower division planning/high school recruitment
2008-2009	First lower division class enters/upper division curriculum, facilities, and equipment planning continues
2009-2010	Upper division planning continues/facilities completed/new faculty hired
2010-2011	First upper division class enters
2011-2012	First graduates, June 2012

Under such a plan no significant capital budget appropriation is required in this biennium. An operating budget for the coming biennium would need to be developed but it would be relatively small. In the first year a budget would be needed for planning and coordination; in the second year the budget would be distributed across the partner community colleges, Lake Washington Technical College, and University of Washington Bothell, in order to develop and/or expand the required lower division courses.

#### K-12 Outreach and The Pipeline Project

Creating technology oriented bachelor degree programs will not in and of itself change the fact that the communities served are not producing sufficient numbers of high school graduates prepared adequately in math and science to support new technology degree programs. All the research shows that the latest point at which to keep students interested in pursuing math/science-based careers is middle school.

In conjunction with beginning technology oriented bachelor degree programs, we propose to create a "Math/Science Technology Pipeline Project" to be started in the Snohomish, Island, and Skagit County region. This Pipeline Project would provide an articulated math/science curriculum for students going from middle school, high school, community college, to a four year degree. It would also provide unique educational program support for students, using university personnel and middle school/high school master teachers. The idea is to assure students an accelerated and guaranteed pipeline through all levels to university bachelor's degree. If done right, it could be more than a pilot project for a few, but a transformation of educational attainment for all. And it might solve, over time, the issue of "where will our qualified future engineers, scientists, and technology managers come from" for our region.

## Performance Outcomes

Providing technology focused bachelor's degree programs for residents in Snohomish, Island and Skagit Counties can be feasible. The fundamental question is how this can be accomplished in the most high quality, timely, yet economical manner. This proposal offers a possible answer to these questions. If we were to start with a twined UW quality education at the upper division, with preparatory education in the community and technical colleges and at UW Bothell campus, the first new degrees could be in students' hands, and those students could be employed by local employers, by summer, 2012. This is timely and economical. Money is spent on education, not institution building. And it is a new wave of education, not replication of existing education already offered in the region by the community and technical colleges and UW Bothell.

Performance indicators include in the first six years:

1. Number of students enrolled in preparatory transfer programs.
2. Number of students enrolled in upper division technology degree programs.
3. Number of graduates of the new program employed in local counties
4. Number of new businesses attracted to region which identify the new educational access as a reason to relocate.
5. Additional expenditures by state, students and private partners in the region.
6. Growth in employment directly related to the technology degree programs.

With funding for the K-12 Pipeline Project, additional indicators include:

1. Number of students intent on technology degrees, who are in Pipeline Project classes.
2. Increased number of math/science teachers employed in partner school districts.
3. Master teachers enrolled in or who have completed middle school/high school master teachers program at UW Bothell.

## Economic Development

With a secured position in the northern region, UW Bothell could establish a number of additional programs to assist in the economic development of the region. For those in the existing workforce, continuing education in the form of short courses, professional education programs, appropriate professional certificate programs, and possibly graduate degree cohort programs could be provided. The Student Entrepreneurship Program and Business Development Program of the UW Bothell could provide support systems to enhance local businesses through faculty and student engagement.

## The Future Beyond 2012

Just as UW Bothell matured into a four-year university to meet the growing need to have more local places for high school graduates to enroll, it is not unlikely to foresee a

maturity over time for this venture. As the Pipeline Project succeeds and significant numbers of new students interested and capable of technology studies balloons, it is entirely possible that the call for greater access to meet the created need will transform the small beginning into something significant. But, that is for the future to show.

This proposal creates an economically appropriate pathway to begin providing needed bachelor's degrees in the northern counties. It does so utilizing existing institutions, expertise, and infrastructure. If success leads to further growth, this can only be good for the region and the State of Washington, and their residents.

## Summary

### **Proposal to serve Snohomish, Island, and Skagit Counties (SIS)**

The University of Washington Bothell could work in partnership with community and technical colleges in the SIS area in the service of three intertwined agendas: (1) Offer new baccalaureate degree programs focused on science, engineering and technology, (2) Establish a K-12 partnership to expand math/science education and opportunities, and (3) Foster local economic development networks, professional development, and entrepreneurship mentoring.

### **Possible Elements for New Degree Programs**

1. Efforts and resources would be focused on new program options that are complementary to those that already exist in the vicinity and across the state. For example, the initial curriculum could be focused on science, engineering and technology. To the extent possible, distributive education technology would be utilized to allow classroom access from remote locations.
2. A new campus, if developed, could begin as an upper division campus with lower division being offered by the partner community colleges (Skagit Valley, Everett, Edmonds, Shoreline, Cascadia, North Seattle, Bellevue), Lake Washington Technical College, and at the University of Washington Bothell.
3. Students entering as freshmen at any participating campus could be jointly admitted to the new campus, if it is developed.
4. If it is desirable and feasible to create this new campus, the City of Everett seems to be the logical place to begin the upper division program.
5. If desirable and feasible, an initial upper division class of 30 to 60 students for the first engineering degree program seems achievable. Growth would be conditioned on future entering lower division student demand. Progress on growth and goals would be re-examined every six months.

If desirable and feasible, a reasonable timeline is as follows:

2007-2008	Lower division planning/high school recruitment
2008-2009	First lower division class enters/upper division curriculum, facilities, and equipment planning continues
2009-2010	Upper division planning continues/facilities completed/new faculty hired
2010-2011	First upper division class enters
2011-2012	First graduates, June 2012

If a plan like this is adopted, we do not anticipate significant capital budget in this biennium. An operating budget for the coming biennium would need to be developed but we expect it to be relatively small.



**K-12 Outreach**

If growth is desirable in the future, it would be best if a program were developed to integrate the local school districts into the math/science design. Together, the parties can design and implement a ten year curriculum in math/science that allows students to progress from middle school through high school, to the 2+2 engineering degree program proposed. Such a plan ensures an expanding stream of well prepared students.

**Economic Development**

If a secured presence in Snohomish were created for UW Bothell, graduate and professional education programs, short courses, and certificates could be brought forward. In addition, the Entrepreneurship Program and Business Development Center could provide support to enhance local businesses through faculty and student involvement.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

**UW**

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**Report of Contributions**

University of Washington  
University of Washington Foundation

November 2006

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NOTES AS OF NOVEMBER 30, 2006

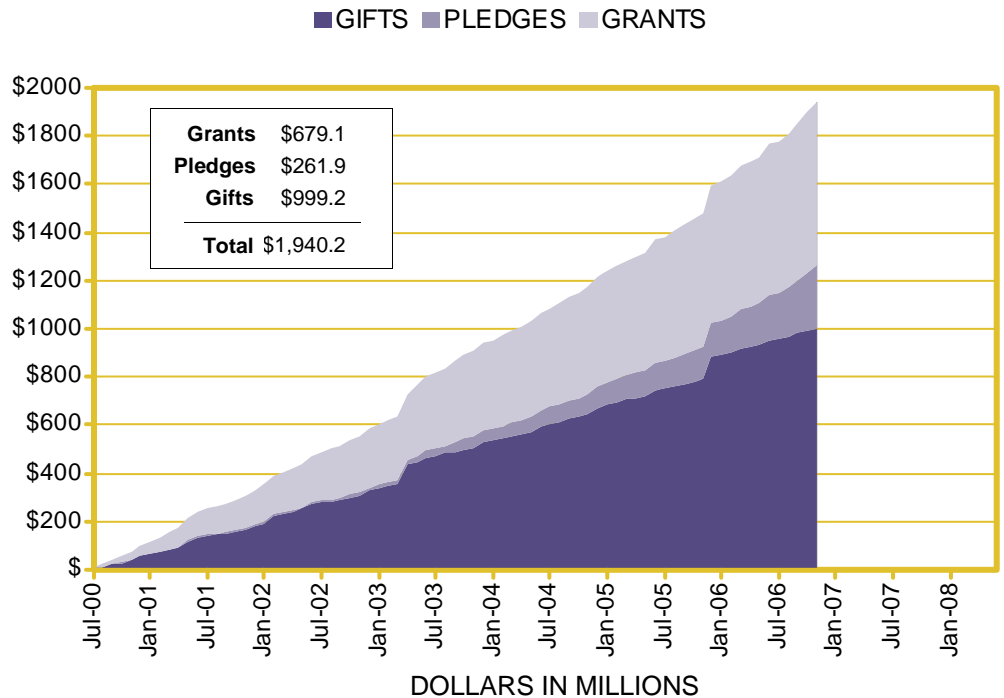
**DATA POINTS**

\$1,940,150,476 has been raised toward our campaign goal of \$2 billion.

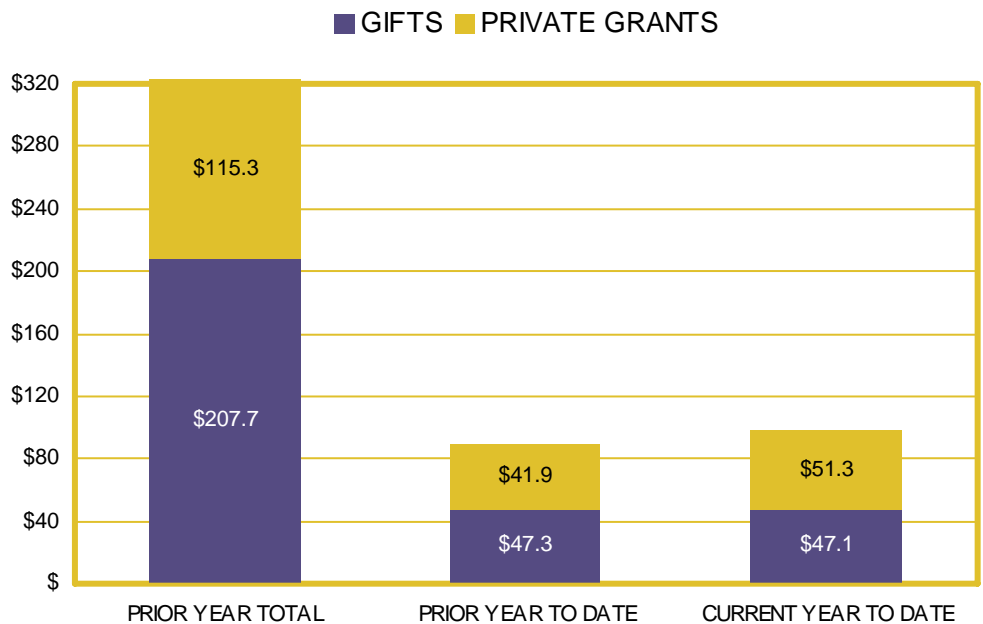
The UW received \$21.3 million in total private voluntary support (\$9.0 million in gifts and \$12.2 in grants) in November.

Areas including Arts and Sciences, Broadcast Services, Business School, Education, Graduate School, Information School, Intercollegiate Athletics, Nursing, Pharmacy, Public Health, UW Alumni Association and UW Medicine are ahead of last year's year-to-date totals.

**FUNDRAISING PROGRESS SINCE JULY 1, 2000**



**CURRENT GIFT AND PRIVATE GRANT TOTALS**



## NOVEMBER 2006 GIFTS AND IMPACT

Selected gifts representing private support for one of the University of Washington's key fundraising priorities -- student, faculty, program and facility support.

### **Peter Brix and Noydena Leonard Brix - \$50,395 to the Business School**

- The Peter & Noydena Brix Endowed Faculty Fellowship will help to attract, retain, and provide opportunities for professional development for faculty in the UW Business School.
- The Brixes have pledged \$250,000 to the fund. Once the final pledge payment is received, the UW Matching Initiative will provide \$125,000 in matching funds to the endowment.
- The market for faculty who are outstanding scholars and teachers is extremely competitive. Private support like this investment by the Brixes makes the difference in the University's ability to recruit and retain faculty members who are highly sought after by other institutions.

### **John Purvis and Carol McCain Purvis - \$25,000 to the School of Nursing**

- John and Carol Purvis have pledged \$100,000 to fund their endowed fellowship in Nursing - to provide assistance to undergraduate students in the UW School of Nursing. Their gift will receive \$50,000 in matching funds.
- Both alumni, John and Carol Purvis have committed themselves to support the UW School of Nursing and wish to continue their support by providing for the next generation of nurses.
- This year, 15 new endowed fellowships and 30 endowed scholarships have been created at the School of Nursing. Endowed support for nursing students and faculty will help to alleviate the country's nursing shortage, which affects patients everywhere from clinics to hospitals to home health care.

### **Henry T. Schatz - \$10,000 to Scholarships and Student Programs**

- This gift to the Students First Matching Challenge Fund will benefit students at all three UW campuses. Mr. Schatz' gift will receive a \$5,000 University match.
- Students First is a student scholarship matching initiative, representing the University's belief that expense should not prevent any qualified student from acquiring an education. For every new endowment funded via Students First through the end of the Campaign, the University will match 50 cents on the dollar.
- On November 26, former Governor and U.S. Senator Dan Evans completed the Seattle Half Marathon. He was successful in his goal to raise at least \$100,000 for the Students First Matching Challenge Fund, so the university will add \$50,000 to the endowment and guarantees to match all gifts and pledges to this fund on a 50% basis through the rest of Campaign UW: Creating Futures.
- Henry Schatz, a Mechanical Engineering alumnus, is a UW Laureate. He has been funding undergraduate scholarships at the University of Washington for more than 20 years, helping countless Mechanical Engineering and UW Tacoma Technology students to pursue their educations. With this gift, Mr. Schatz extends his support to graduate and professional students, who are also eligible for Students First awards.





# REPORT OF CONTRIBUTIONS

November 2006

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## **CAMPAIGN UW: CREATING FUTURES**

Report prepared by: Office of Development and Alumni Relations  
Advancement Services, Information Management

12/8/2006 02:53 PM

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Campaign Progress to Date*	1
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\*All Campaign totals represented are from July 1, 2000 through the end of the preceding calendar month. Please note that grant revenue totals in Campaign Reports may contain clinical trials. Fundraising totals from all affiliated non-profit organizations are also included in UW Campaign totals.

The UW Campaign Executive Committee developed the counting policy for Campaign reports, based on Council for Advancement and Support of Education (CASE) campaign counting standards.

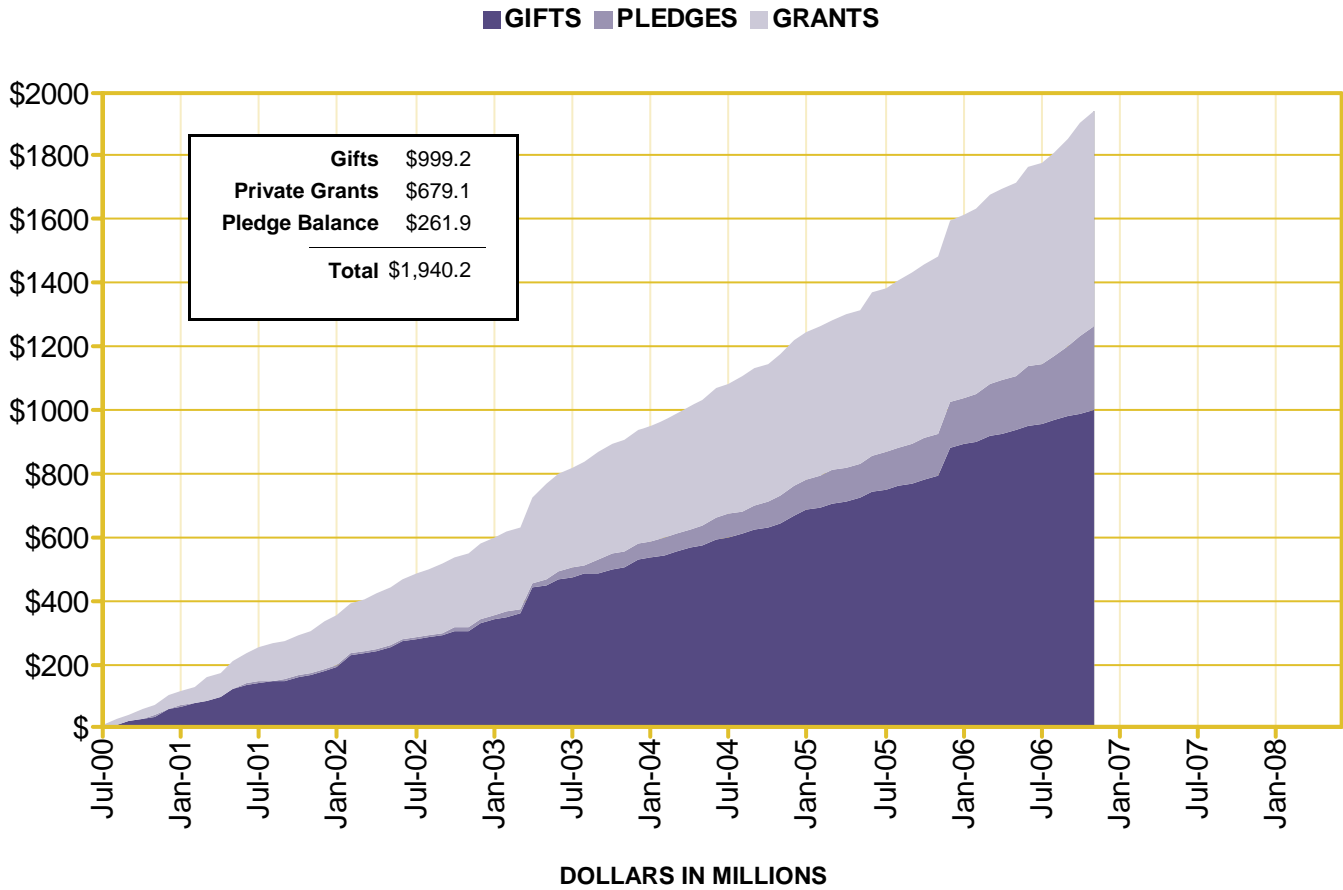
Annual reporting is July 1, 2006 through the end of the preceding calendar month.

Job Number: 73189  
November 2006

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CAMPAIGN PROGRESS SINCE JULY 1, 2000



Source: UW Office of Development

Summarizes Total Private Voluntary Support since July 1, 2000. Testamentary Commitments included in Pledge Balance total. All dollar totals in millions.

Job Number: 73189  
 November 2006

Fundraising Progress Since July 1, 2000



## CAMPAIGN PROGRESS BY GIVING LEVEL

<b>DOLLARS RAISED</b>							
<b>Gifts, Pledges &amp; Grants</b>							
Giving Level *	Alumni	Non Alumni	Family Fndns.	Corporations	Foundations	Other Orgs.	Total
\$100M+	\$0	\$35,220	\$241,629,379	\$0	\$0	\$0	\$241,664,599
\$50M - \$99,999,999	\$0	\$0	\$0	\$0	\$0	\$0	\$0
\$10M - \$49,999,999	\$44,728,624	\$69,900,535	\$33,957,500	\$58,389,389	\$100,722,034	\$23,108,531	\$330,806,613
\$5M - \$9,999,999	\$36,711,056	\$10,264,942	\$0	\$57,699,945	\$38,477,495	\$73,099,957	\$216,253,395
\$1M - \$4,999,999	\$108,039,706	\$65,620,332	\$29,035,392	\$117,642,965	\$103,501,929	\$81,159,427	\$504,999,751
\$100,000 - \$999,999	\$94,023,714	\$69,042,618	\$21,527,986	\$122,342,960	\$64,463,702	\$52,515,815	\$423,916,795
\$25,000 - \$99,999	\$24,674,934	\$19,000,665	\$2,571,347	\$29,563,376	\$7,523,326	\$10,046,457	\$93,380,106
\$10,000 - \$24,999	\$16,827,753	\$12,182,776	\$921,985	\$9,418,176	\$1,591,718	\$2,230,889	\$43,173,298
\$5,000 - \$9,999	\$11,649,959	\$8,811,713	\$284,603	\$4,897,449	\$570,742	\$685,043	\$26,899,509
\$2,000 - \$4,999	\$9,760,763	\$7,840,335	\$74,948	\$3,287,337	\$251,155	\$464,473	\$21,679,012
\$1,000 - \$1,999	\$5,418,321	\$4,274,277	\$21,176	\$1,387,549	\$78,270	\$216,662	\$11,396,254
\$500 - \$999	\$5,024,649	\$3,616,463	\$5,365	\$688,063	\$29,405	\$89,066	\$9,453,010
\$250 - \$499	\$3,429,254	\$4,761,402	\$1,150	\$294,633	\$10,738	\$43,639	\$8,540,817
\$100 - \$249	\$2,988,993	\$2,003,244	\$2,565	\$276,864	\$7,332	\$31,830	\$5,310,829
\$1 - \$99	\$1,421,165	\$1,173,043	\$189	\$70,559	\$1,063	\$10,469	\$2,676,489
<b>Total</b>	<b>\$364,698,892</b>	<b>\$278,527,567</b>	<b>\$330,033,584</b>	<b>\$405,959,264</b>	<b>\$317,228,910</b>	<b>\$243,702,258</b>	<b>\$1,940,150,476</b>
<b>DONOR COUNTS</b>							
Giving Level	Alumni	Non Alumni	Family Fndns.	Corporations	Foundations	Other Orgs.	Total
\$100M+	0	2	1	0	0	0	3
\$50M - \$99,999,999	0	0	0	0	0	0	0
\$10M - \$49,999,999	12	8	4	4	6	2	36
\$5M - \$9,999,999	8	7	0	8	6	10	39
\$1M - \$4,999,999	89	85	14	55	43	44	330
\$100,000 - \$999,999	538	583	70	449	189	180	2,009
\$25,000 - \$99,999	977	975	61	648	155	201	3,017
\$10,000 - \$24,999	2,031	1,907	63	706	109	152	4,968
\$5,000 - \$9,999	2,963	2,752	50	813	96	111	6,785
\$2,000 - \$4,999	5,115	5,201	27	1,251	86	166	11,846
\$1,000 - \$1,999	6,207	6,190	19	1,256	64	187	13,923
\$500 - \$999	10,376	9,566	11	1,253	48	151	21,405
\$250 - \$499	12,639	18,770	4	1,018	34	140	32,605
\$100 - \$249	23,353	20,504	17	2,151	53	250	46,328
\$1 - \$99	39,962	40,704	4	1,809	23	296	82,798
<b>Total</b>	<b>104,270</b>	<b>107,254</b>	<b>345</b>	<b>11,421</b>	<b>912</b>	<b>1,890</b>	<b>226,092</b>

Source: UW Office of Development & Alumni Relations

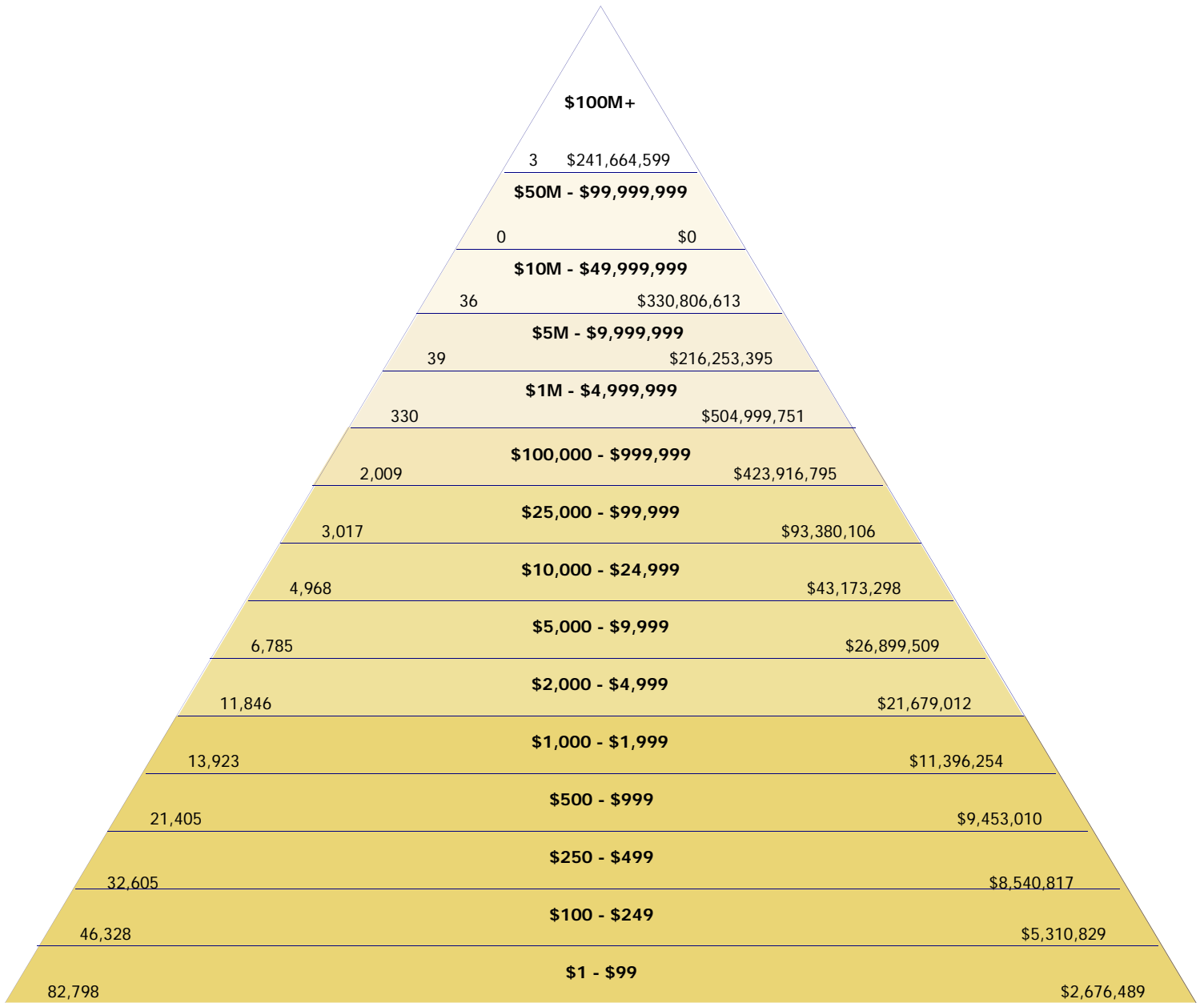
This report shows the count of distinct donors and campaign total by giving level and donor type since July 1, 2000.

\*\*"Giving Level" is determined by summing all gift record types (including grants)





CAMPAIGN PROGRESS BY PYRAMID, SINCE JULY 1, 2000



226,092 Donor Count

Campaign Total: \$1,940,150,476

\*Campaign Working Goal: \$2,000,000,000

Percent Complete: 97.0%

Source: UW Office of Development

The counts of distinct donors and fundraising totals by giving level are shown.

\*Unit campaign goals are still being finalized.

Pyramid Levels are determined by summing all gift record types (including grants)



## CAMPAIGN PROGRESS BY CONSTITUENCY

	GIFTS	Irrevocable Deferred Gifts		PLEDGES	Testamentary Commitments		GRANTS	Campaign - Private Voluntary Support		
		Donor Value	Discount Value		Donor Value	Discount Value		TOTAL	Working Goal	% of Goal
UW Medicine	\$277,343,477	\$11,469,719	\$6,448,434	\$46,170,638	\$25,467,853	\$9,187,216	\$348,082,230	\$708,533,917	\$1,000,000,000	70.9%
Architecture	\$7,300,323	\$2,244,117	\$664,411	\$1,544,217	\$2,225,000	\$1,294,992	\$3,843,923	\$17,157,580	\$19,000,000	90.3%
Arts and Sciences	\$99,284,959	\$6,587,607	\$4,299,267	\$11,830,029	\$35,854,000	\$24,546,226	\$68,179,516	\$221,736,111	\$240,000,000	92.4%
Broadcast Services	\$44,597,412	\$0	\$0	\$14,443	\$0	\$0	\$0	\$44,611,855	N/A	N/A
Business School	\$56,988,591	\$1,025,743	\$702,307	\$38,154,450	\$7,905,271	\$5,411,103	\$368,335	\$104,442,390	\$135,000,000	77.4%
Dentistry	\$7,355,343	\$246,992	\$136,080	\$1,307,713	\$775,000	\$431,459	\$3,918,688	\$13,603,737	\$15,000,000	90.7%
Education	\$10,346,308	\$28,552	\$25,515	\$3,105,561	\$2,050,000	\$1,261,668	\$13,608,807	\$29,139,228	\$23,000,000	126.7%
Engineering	\$127,593,202	\$2,602,079	\$1,388,500	\$7,106,832	\$4,100,011	\$1,692,976	\$73,229,456	\$214,631,580	\$250,000,000	85.9%
Evans Schl. of Pub. Affairs	\$9,607,991	\$81,135	\$83,147	\$334,135	\$500,000	\$265,793	\$37,623,504	\$48,146,765	\$40,000,000	120.4%
Forest Resources	\$8,958,543	\$646,572	\$522,374	\$387,330	\$2,515,000	\$1,276,133	\$3,450,839	\$15,958,284	\$17,700,000	90.2%
Friday Harbor Labs	\$5,947,400	\$153,242	\$78,775	\$339,513	\$5,255,000	\$2,978,876	\$862,743	\$12,557,898	\$12,000,000	104.6%
Information School	\$2,972,807	\$0	\$0	\$141,699	\$100,000	\$64,666	\$1,420,959	\$4,635,464	\$5,000,000	92.7%
Intercollegiate Athletics	\$94,650,909	\$246,570	\$168,346	\$7,930,632	\$210,000	\$133,886	\$0	\$103,038,111	\$110,000,000	93.7%
Law	\$59,221,878	\$70,832	\$38,401	\$1,029,998	\$199,988	\$70,829	\$1,101,542	\$61,624,238	\$70,000,000	88.0%
Libraries	\$5,626,812	\$442,146	\$356,155	\$40,581	\$797,000	\$389,915	\$475,708	\$7,382,246	\$9,000,000	82.0%
Nursing	\$9,542,988	\$3,295,000	\$2,399,578	\$1,672,956	\$3,322,799	\$1,693,681	\$8,158,009	\$25,991,752	\$24,000,000	108.3%
Ocean and Fisheries	\$12,332,634	\$5,812,500	\$2,684,083	\$68,200	\$0	\$0	\$23,307,112	\$41,520,446	\$34,000,000	122.1%
Pharmacy	\$8,885,186	\$0	\$0	\$452,220	\$0	\$0	\$4,569,117	\$13,906,523	\$10,260,000	135.5%
President's Funds	\$5,478,696	\$698,472	\$561,864	\$425,908	\$3,230,000	\$1,543,929	\$0	\$9,833,076	N/A	N/A
Public Health	\$13,731,572	\$50,259	\$32,171	\$856,678	\$0	\$0	\$63,667,425	\$78,305,933	\$90,000,000	87.0%
Scholar. & Student Progs.	\$31,061,666	\$654,684	\$366,421	\$2,363,436	\$3,035,000	\$1,778,584	\$1,864,332	\$38,979,118	\$40,000,000	97.4%
Social Work	\$2,065,304	\$290,566	\$158,012	\$10,056,522	\$0	\$0	\$5,560,420	\$17,972,813	\$10,000,000	179.7%
University Press	\$3,474,226	\$83,788	\$61,998	\$23,210	\$0	\$0	\$0	\$3,581,224	\$3,000,000	119.4%
University Support	\$34,621,059	\$1,550,063	\$906,769	\$6,207,129	\$11,300,000	\$4,855,765	\$14,995,824	\$68,674,075	N/A	N/A
UW Bothell	\$2,338,461	\$0	\$0	\$51,000	\$0	\$0	\$750,500	\$3,139,961	\$5,700,000	55.1%
UW Tacoma	\$19,373,502	\$195,000	\$175,352	\$8,472,389	\$2,925,000	\$1,657,696	\$80,257	\$31,046,149	\$35,000,000	88.7%
<b>All UW Total</b>	<b>\$960,701,252</b>	<b>\$38,475,637</b>	<b>\$22,257,960</b>	<b>\$150,087,418</b>	<b>\$111,766,922</b>	<b>\$60,535,393</b>	<b>\$679,119,246</b>	<b>\$1,940,150,476</b>	<b>\$2,000,000,000</b>	<b>97.0%</b>

Source: UW Office of Development

Fundraising progress toward campaign working goals by constituency area (school/college/program). Campaign total is the sum of gifts, grants, active pledges and donor values of irrevocable deferred gifts and testamentary commitments. "N/A" is not applicable. 1 - "Pledges" are those in active status only. 2 - "Grants" are private grants only. 3 - Unit campaign working goals are still being finalized.

Job Number: 73189  
November 2006

Campaign Progress by Constituency - Since July 2000



**CAMPAIGN FUNDING THEME PROGRESS - JULY 2000 - PRESENT**

THEME	CURRENT USE	ENDOWMENT	TOTAL
Student Support	\$93,752,370	\$152,429,752	\$246,182,122
Faculty Support	\$84,540,371	\$126,905,552	\$211,445,922
Program Support for Faculty and Students	\$883,362,658	\$125,548,054	\$1,008,910,712
Capital	\$279,753,385	\$1,778,269	\$281,531,654
Unrestricted	\$160,902,164	\$31,177,902	\$192,080,065
<b>Total</b>	<b>\$1,502,310,948</b>	<b>\$437,839,528</b>	<b>\$1,940,150,476</b>
Goal	\$1,600,000,000	\$400,000,000	\$2,000,000,000
% to Goal	93.9%	109.5%	97.0%

Source: UW Office of Development

This report shows contribution totals by campaign theme/priority since July 1, 2000.

Job Number: 73189  
 November 2006

Campaign Theme Progress



DEVELOPMENT SUMMARY - TOTAL PRIVATE VOLUNTARY SUPPORT

AREA	YEAR TO DATE DONOR VALUES		
	GIFTS	PRIVATE GRANTS	TOTAL
UW Seattle			
UW Medicine	\$9,705,160	\$30,793,055	\$40,498,215
Architecture	\$281,641	\$122,000	\$403,641
Arts and Sciences	\$6,155,423	\$4,413,480	\$10,568,904
Broadcast Services	\$3,192,367		\$3,192,367
Business School	\$3,399,186	\$28,347	\$3,427,533
Dentistry	\$446,711	\$72,389	\$519,100
Education	\$537,588	\$828,367	\$1,365,955
Engineering	\$4,674,759	\$2,508,837	\$7,183,596
Evans Schl. of Pub. Affairs	\$201,336	\$1,250,941	\$1,452,277
Forest Resources	\$428,973	\$56,554	\$485,528
Graduate School	\$1,065,856	\$648,198	\$1,714,054
Information School	\$218,907	\$173,724	\$392,631
Intercollegiate Athletics	\$3,998,962		\$3,998,962
Law	\$554,225	\$112,000	\$666,225
Libraries	\$166,180		\$166,180
Nursing	\$4,059,676	\$334,989	\$4,394,665
Ocean and Fisheries	\$540,710	\$914,211	\$1,454,921
Pharmacy	\$579,824	\$313,613	\$893,437
President's Funds	\$119,894		\$119,894
Public Health	\$274,571	\$5,107,618	\$5,382,190
Scholar. & Student Progs.	\$964,934	\$1,000,000	\$1,964,934
Social Work	\$75,829	\$280,149	\$355,978
UW Alumni Association	\$440,653		\$440,653
University Press	\$1,536,208		\$1,536,208
University Support	\$2,371,622	\$2,335,700	\$4,707,322
UW Bothell	\$163,431		\$163,431
UW Tacoma	\$948,022	\$5,567	\$953,589
<b>All UW Total</b>	<b>\$47,102,663</b>	<b>\$51,299,741</b>	<b>\$98,402,404</b>

Source: UW Office of Development

Contribution totals for the major Development areas of the University are shown.

Job Number: 73189

November 2006

Development Area Summary - Total Private Voluntary Support



## DEVELOPMENT SUMMARY - GIFTS AND PRIVATE GRANTS

AREA	CURRENT MONTH		YEAR TO DATE		PRIOR YEAR TO DATE		PRIOR FISCAL YEAR	
	Donors	Value	Donors	Value	Donors	Value	Donors	Value
UW Seattle								
UW Medicine	3,445	\$5,859,474	7,928	\$40,498,215	7,866	\$29,182,927	18,379	\$108,952,952
Architecture	96	\$78,871	310	\$403,641	233	\$2,327,096	1,316	\$5,313,848
Arts and Sciences	2,489	\$1,616,031	8,028	\$10,568,904	7,019	\$8,549,474	16,381	\$26,555,640
Broadcast Services	481	\$462,934	2,351	\$3,192,367	1,591	\$3,018,021	3,307	\$8,323,416
Business School	456	\$599,347	2,412	\$3,427,533	2,072	\$2,953,093	4,406	\$10,411,034
Dentistry	200	\$71,745	744	\$519,100	601	\$576,353	1,363	\$1,760,021
Education	116	\$47,387	778	\$1,365,955	818	\$1,033,175	1,895	\$2,916,481
Engineering	602	\$2,058,476	2,283	\$7,183,596	1,971	\$12,556,908	4,224	\$38,867,802
Evans Schl. of Pub. Affairs	57	\$54,133	183	\$1,452,277	188	\$2,326,851	630	\$5,997,460
Forest Resources	84	\$67,539	317	\$485,528	331	\$1,634,000	1,024	\$3,078,045
Graduate School	44	\$607,577	119	\$1,714,054	414	\$1,677,768	683	\$2,470,722
Information School	69	\$77,621	423	\$392,631	314	\$168,795	610	\$811,461
Intercollegiate Athletics	313	\$327,177	3,387	\$3,998,962	2,498	\$2,813,023	20,414	\$17,642,469
Law	370	\$165,197	635	\$666,225	614	\$1,208,606	1,987	\$36,175,919
Libraries	193	\$69,859	613	\$166,180	688	\$610,654	4,256	\$1,343,782
Nursing	292	\$315,235	693	\$4,394,665	644	\$1,377,228	1,814	\$2,856,427
Ocean and Fisheries	106	\$135,347	288	\$1,454,921	213	\$2,237,884	708	\$14,035,193
Pharmacy	115	\$348,204	399	\$893,437	346	\$641,060	1,219	\$1,896,947
President's Funds	260	\$34,958	773	\$119,894	600	\$199,956	1,812	\$711,430
Public Health	130	\$2,518,579	351	\$5,382,190	270	\$5,147,723	793	\$8,346,379
Scholar. & Student Progs.	601	\$1,361,153	1,357	\$1,964,934	1,384	\$2,173,564	3,203	\$8,712,482
Social Work	109	\$174,164	284	\$355,978	231	\$524,140	821	\$1,187,969
UW Alumni Association	2,138	\$98,590	9,765	\$440,653	7,742	\$371,103	21,560	\$1,117,494
University Press	23	\$12,725	133	\$1,536,208	217	\$175,765	417	\$512,227
University Support	149	\$3,951,834	944	\$4,707,322	828	\$4,027,343	2,803	\$8,933,954
UW Bothell	27	\$18,035	126	\$163,431	122	\$641,110	533	\$893,379
UW Tacoma	103	\$136,546	257	\$953,589	254	\$1,095,124	770	\$3,180,471
<b>All UW Unique Total</b>	<b>12,229</b>	<b>\$21,268,750</b>	<b>41,197</b>	<b>\$98,402,404</b>	<b>36,130</b>	<b>\$89,248,760</b>	<b>97,876</b>	<b>\$323,005,417</b>

Source: UW Office of Development

The number of donors and contribution totals for the major Development areas of the University are shown. Dollar values are based on donor values.

Job Number: 73189

November 2006

Development Area Summary - Gifts and Private Grants



## DEVELOPMENT SUMMARY - GIFTS

AREA	CURRENT MONTH		YEAR TO DATE		PRIOR YEAR TO DATE		PRIOR FISCAL YEAR	
	Donors	Value	Donors	Value	Donors	Value	Donors	Value
UW Seattle								
UW Medicine	3,393	\$1,612,298	7,765	\$9,705,160	7,684	\$8,033,619	18,096	\$49,328,735
Architecture	94	\$16,871	306	\$281,641	231	\$2,302,946	1,313	\$3,114,700
Arts and Sciences	2,481	\$1,010,231	7,995	\$6,155,423	6,980	\$5,477,678	16,304	\$18,125,791
Broadcast Services	481	\$462,934	2,351	\$3,192,367	1,591	\$3,018,021	3,307	\$8,323,416
Business School	456	\$599,347	2,411	\$3,399,186	2,071	\$2,928,093	4,403	\$10,271,846
Dentistry	199	\$63,482	740	\$446,711	599	\$561,353	1,353	\$1,419,981
Education	116	\$47,387	773	\$537,588	816	\$738,075	1,888	\$1,790,300
Engineering	591	\$1,415,503	2,253	\$4,674,759	1,931	\$4,546,700	4,157	\$20,093,019
Evans Schl. of Pub. Affairs	57	\$54,133	172	\$201,336	178	\$389,209	615	\$780,802
Forest Resources	82	\$43,426	312	\$428,973	327	\$1,506,685	1,010	\$2,485,778
Graduate School	42	\$135,971	115	\$1,065,856	412	\$1,567,974	681	\$2,352,479
Information School	69	\$77,621	420	\$218,907	310	\$130,059	605	\$401,173
Intercollegiate Athletics	313	\$327,177	3,387	\$3,998,962	2,498	\$2,813,023	20,414	\$17,642,469
Law	369	\$78,197	633	\$554,225	613	\$1,187,908	1,985	\$36,124,321
Libraries	193	\$69,859	613	\$166,180	686	\$545,272	4,254	\$1,278,400
Nursing	291	\$155,183	689	\$4,059,676	633	\$834,319	1,795	\$1,638,532
Ocean and Fisheries	103	\$51,901	279	\$540,710	194	\$1,526,603	676	\$7,379,889
Pharmacy	114	\$322,344	395	\$579,824	344	\$522,454	1,214	\$1,650,696
President's Funds	260	\$34,958	773	\$119,894	600	\$199,956	1,812	\$711,430
Public Health	121	\$83,544	331	\$274,571	246	\$558,653	744	\$891,850
Scholar. & Student Progs.	600	\$361,153	1,356	\$964,934	1,382	\$2,107,108	3,201	\$8,473,021
Social Work	108	\$46,464	279	\$75,829	228	\$206,735	814	\$582,220
UW Alumni Association	2,138	\$98,590	9,765	\$440,653	7,742	\$371,103	21,560	\$1,117,494
University Press	23	\$12,725	133	\$1,536,208	217	\$175,765	417	\$512,227
University Support	148	\$1,701,834	940	\$2,371,622	826	\$3,947,708	2,796	\$7,884,789
UW Bothell	27	\$18,035	126	\$163,431	121	\$41,110	532	\$193,379
UW Tacoma	103	\$136,546	255	\$948,022	253	\$1,090,134	769	\$3,175,481
<b>All UW Unique Total</b>	<b>12,145</b>	<b>\$9,037,725</b>	<b>40,957</b>	<b>\$47,102,663</b>	<b>35,840</b>	<b>\$47,328,278</b>	<b>97,391</b>	<b>\$207,744,231</b>

Source: UW Office of Development

The number of donors and contribution totals (gifts only) for the major Development areas of the University are shown. Dollar values are based on donor values.

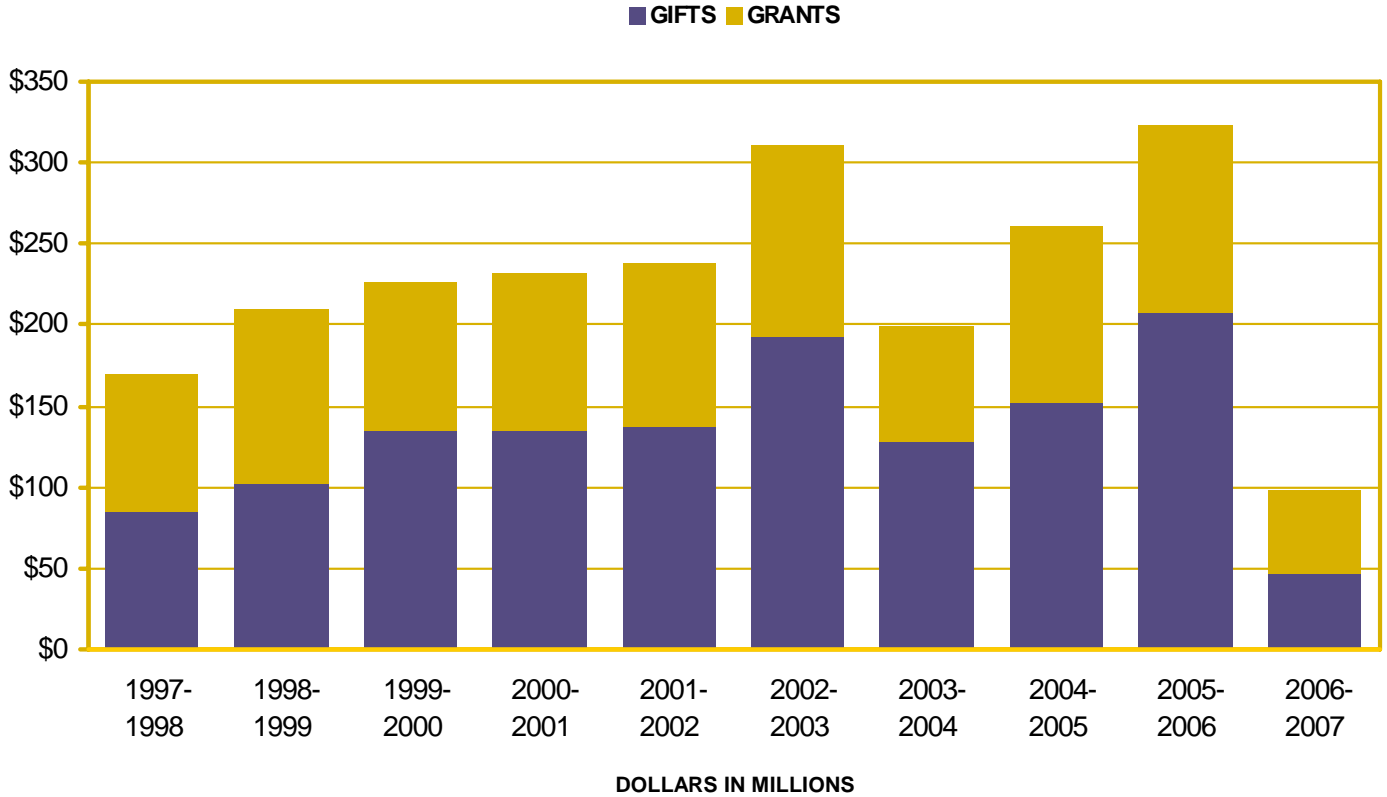
Job Number: 73189

November 2006

Development Area Summary - Gifts



COMPLETE FISCAL YEAR COMPARISON OF TOTAL CONTRIBUTIONS RECEIVED



FISCAL YEAR	GIFTS	GRANTS	TOTAL CONTRIBUTIONS
2006- 2007	\$47,102,663	\$51,299,741	\$98,402,404
2005- 2006	\$207,744,231	\$115,261,186	\$323,005,417
2004- 2005	\$151,969,925	\$108,802,371	\$260,772,296
2003- 2004	\$128,174,367	\$71,603,323	\$199,777,690
2002- 2003	\$192,573,183	\$118,677,722	\$311,250,905
2001- 2002	\$137,959,340	\$100,820,547	\$238,779,887
2000- 2001	\$134,805,190	\$97,112,979	\$231,918,169
1999- 2000	\$134,037,997	\$91,536,165	\$225,574,162
1998- 1999	\$102,925,077	\$107,619,586	\$210,544,663
1997- 1998	\$84,718,016	\$85,276,615	\$169,994,631

Source: UW Office of Development

This graph compares the current fiscal year's contribution totals to each of the previous nine fiscal year's contribution totals.

Job Number: 73189

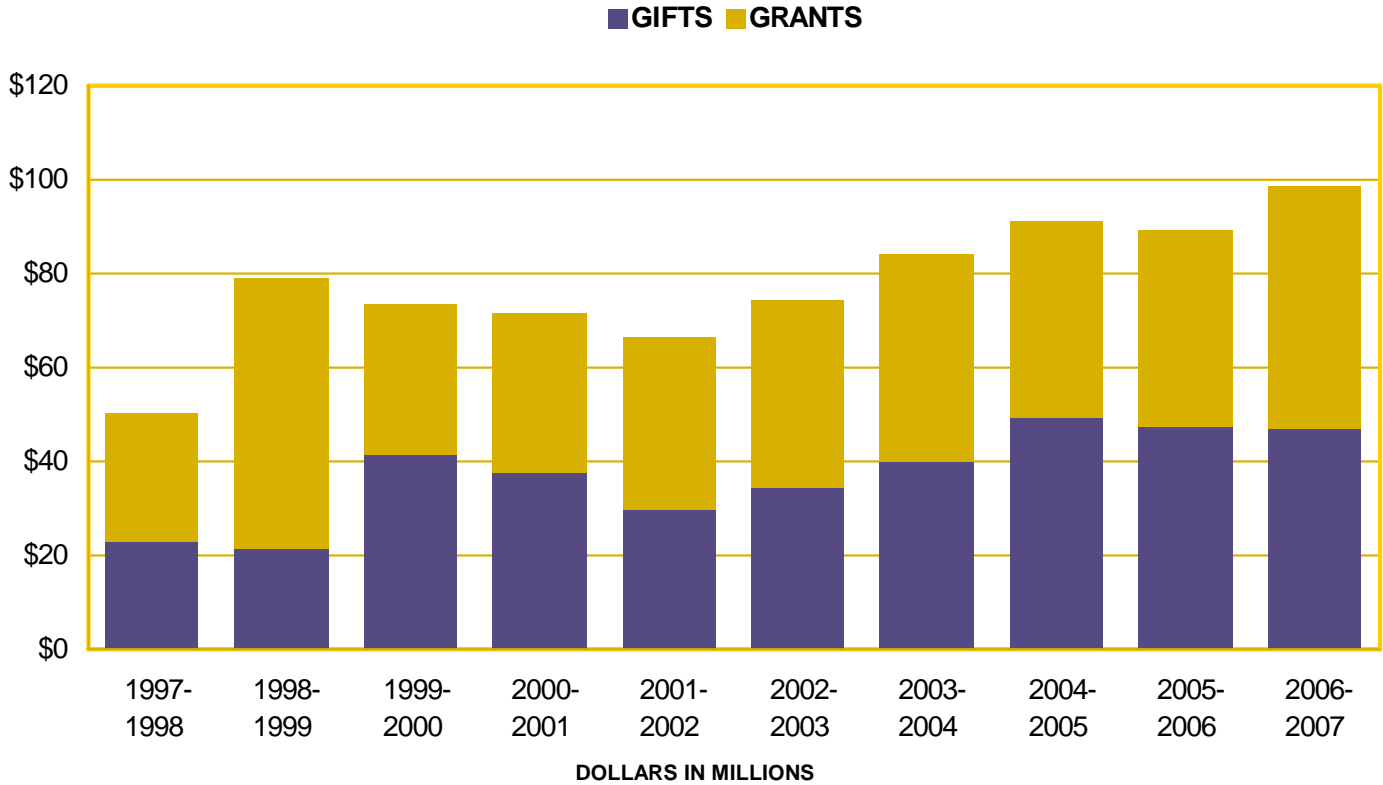
November 2006

Fiscal Year Totals Graph





## YEAR TO DATE CONTRIBUTION TOTALS



FISCAL YEAR	GIFTS	GRANTS	TOTAL CONTRIBUTIONS
2006- 2007	\$47,102,663	\$51,299,741	\$98,402,404
2005- 2006	\$47,328,278	\$41,920,482	\$89,248,760
2004- 2005	\$49,499,496	\$41,615,811	\$91,115,307
2003- 2004	\$40,115,399	\$43,839,685	\$83,955,084
2002- 2003	\$34,187,163	\$40,154,560	\$74,341,723
2001- 2002	\$29,673,079	\$36,734,510	\$66,407,590
2000- 2001	\$37,543,479	\$34,169,985	\$71,713,464
1999- 2000	\$41,262,818	\$32,387,391	\$73,650,209
1998- 1999	\$21,169,306	\$57,685,616	\$78,854,922
1997- 1998	\$22,598,189	\$27,621,325	\$50,219,514

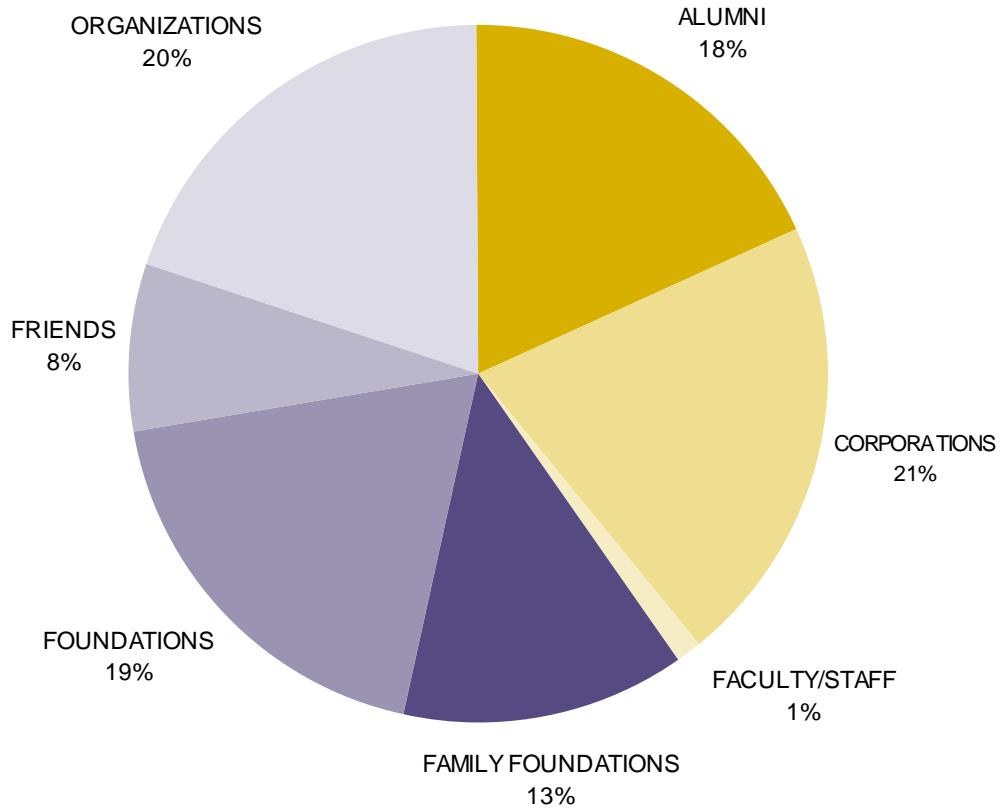
Source: UW Office of Development

This graph compares the current fiscal year's contribution totals to each of the previous nine fiscal year's contribution totals.





DEVELOPMENT ACTIVITY BY DONOR TYPE IN CURRENT FISCAL YEAR



DONOR TYPE	YEAR TO DATE		PRIOR YEAR TO DATE		PRIOR FISCAL YEAR	
	Donors	Value	Donors	Value	Donors	Value
Alumni	23,295	\$18,129,389	20,576	\$15,232,019	51,440	\$59,214,863
Corporations	1,142	\$20,343,661	1,251	\$23,381,875	3,523	\$62,670,936
Faculty/Staff	2,193	\$1,164,462	2,018	\$738,822	3,701	\$2,957,964
Family Foundations	63	\$12,765,387	85	\$7,715,430	173	\$82,336,824
Foundations	195	\$18,561,921	229	\$17,940,825	444	\$48,525,785
Friends	14,071	\$7,710,941	11,784	\$7,430,320	38,220	\$27,738,180
Organizations	238	\$19,726,643	247	\$16,809,470	558	\$39,560,865

Source: UW Office of Development

This graph shows the sources of contributions for the current year to date. Dollar values are based on donor value.

Job Number: 73189  
 November 2006

Development Activity by Donor Type in Current Fiscal Year Chart



## ALUMNI PARTICIPATION BY CONSTITUENCY

AREA	CURRENT FISCAL YEAR TO DATE			PREVIOUS FISCAL YEAR TO DATE			
	Solicitible	Donors	Partic. Rate	Solicitible	Donors	Partic. Rate	Final %
UW Seattle							
UW Medicine	16,867	1,463	8.7%	16,049	1,384	8.6%	20.4%
Architecture	7,709	498	6.5%	7,393	410	5.5%	18.7%
Arts and Sciences	138,301	10,204	7.4%	126,129	8,971	7.1%	15.6%
Business School	36,594	3,735	10.2%	34,923	3,243	9.3%	20.2%
Dentistry	4,525	593	13.1%	4,388	557	12.7%	27.4%
Education	19,116	1,528	8.0%	18,434	1,439	7.8%	19.2%
Engineering	31,945	2,561	8.0%	30,120	2,201	7.3%	15.7%
Evans School of Public Affairs	2,119	236	11.1%	1,964	170	8.7%	25.4%
Forest Resources	4,603	268	5.8%	4,446	252	5.7%	16.5%
Interdisc. Grad. Programs	1,463	113	7.7%	1,296	95	7.3%	17.3%
Interdisc. Undergrad. Programs	170	21	12.4%	119	7	5.9%	10.9%
Interschool Programs	353	32	9.1%	286	23	8.0%	14.7%
Information School	4,202	513	12.2%	3,976	460	11.6%	22.4%
Law	7,593	704	9.3%	7,320	680	9.3%	24.1%
School of Nursing	8,469	893	10.5%	8,181	807	9.9%	23.0%
Ocean & Fisheries	3,866	257	6.6%	3,642	212	5.8%	18.6%
Pharmacy	3,364	345	10.3%	3,255	288	8.8%	29.1%
Public Health	4,104	354	8.6%	3,766	299	7.9%	20.5%
Social Work	6,232	425	6.8%	5,804	363	6.3%	16.8%
UW Bothell	5,245	269	5.1%	4,324	207	4.8%	13.5%
UW Tacoma	5,850	274	4.7%	4,892	198	4.0%	11.8%
Unspecified	11,278	969	8.6%	11,097	978	8.8%	20.6%
<b>All UW Total</b>	<b>297,648</b>	<b>23,295</b>	<b>7.8%</b>	<b>276,793</b>	<b>20,576</b>	<b>7.4%</b>	<b>18.6%</b>

Source: UW Office of Development

Job Number: 73189  
November 2006

Alumni Participation



VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Grant and Contract Awards – G&cmo, 2006

RECOMMENDED ACTION:

It is the recommendation of the administration and the Finance and Audit Committee that the Board of Regents accept the Grant and Contract Awards as presented on the attached list.

Attachment: Grant and Contract Awards Summary  
Report of Grant and Contract Awards of  
\$1,000,000 or More

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# **Grant and Contract Awards Summary**

**to**

**The Board of Regents**

**of the**

**University of Washington**

**for**

**November 2006**

**Office of Research**

**Office of Sponsored Programs**

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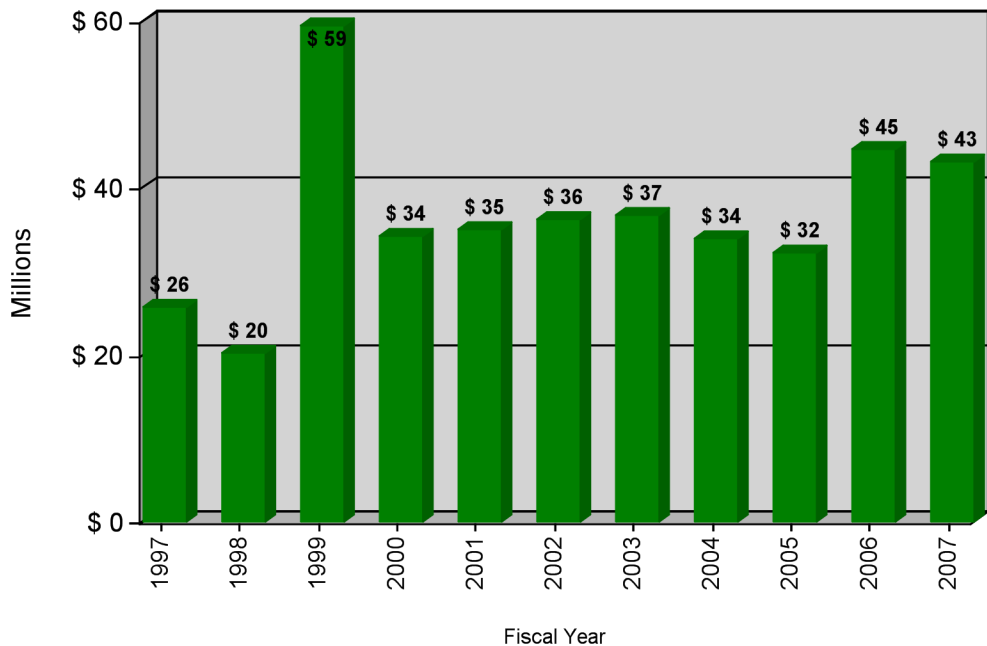
## NOVEMBER 2006 HIGHLIGHTS

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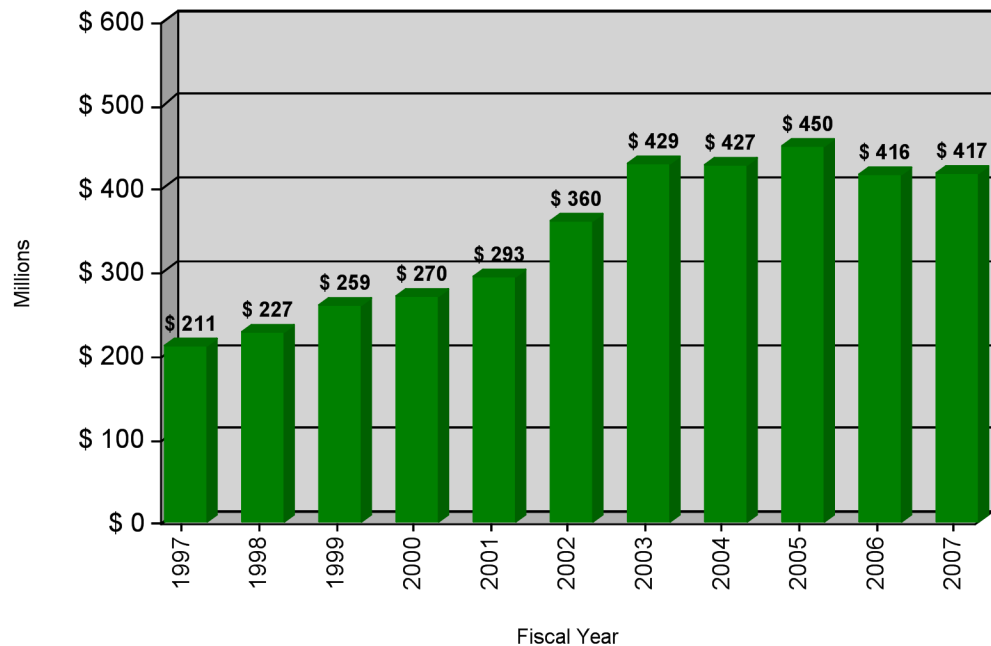
The National Institutes of Health awarded a grant in the amount of \$2,057,267 for a project under the direction of Buddy Ratner, Professor and Director of University of Washington Engineered Biomaterials (UWEB), entitled “Engineered Cardiac Morphogenesis-Stem Cells and Scaffolds.” The purpose of the project is the development of a living myocardial patch that would function as an integrated pump to assist heart contraction. Over 50,000 people die while awaiting a heart transplant each year due to the lack of donor organs. Using a degradable polymer matrix as a scaffold for a progenitor myocardial or stem cells, we envision the formation of an engineered new myocardium that would function as normal tissue to improve heart function. Furthermore, the project hopes to advance the technology to involve embryonic stem cells. Embryonic stem cells are capable of generating any organ in the body. The intent is to decipher the signal necessary to direct the formation from embryonic stem cells of new heart issue -- and, someday, a heart.

The Gordon and Betty Moore Foundation awarded a grant in the amount of \$2,250,000 for a project under the direction of Mary Lidstrom, Vice Provost for Research and Professor of Chemical Engineering, entitled “Center for Environmental Genomics.” The overarching goal of the proposed Center for Environmental Genomics is to understand how marine microbes shape, and are shaped by, our contemporary world. This goal will be accomplished through the establishment of a Center that co-localizes within the Research & Technology building technologists and scientists dedicated to the development of 1) new ways of combining environmental data with the ever-increasing amounts of genomic data, 2) new ways of understanding the behavior of single cells that can then be extrapolated to population structure, 3) new ways of visualizing environmental and genomic data to extract patterns, and 4) new ways of understanding genetic diversity and structure in marine ecosystems. Features of the Center will include: a new flow cytometry/cell sorting facility that will further enhance the single cell analysis capabilities already present within R&T; a class 10,000 clean room to allow microfabrication of chip-based devices for single cell analysis and for in situ environmental analysis; a new 32-node computer cluster dedicated to processing environmental genomics data; and an OptIPuter visualization “wall” that will allow new ways of visualizing large data sets.

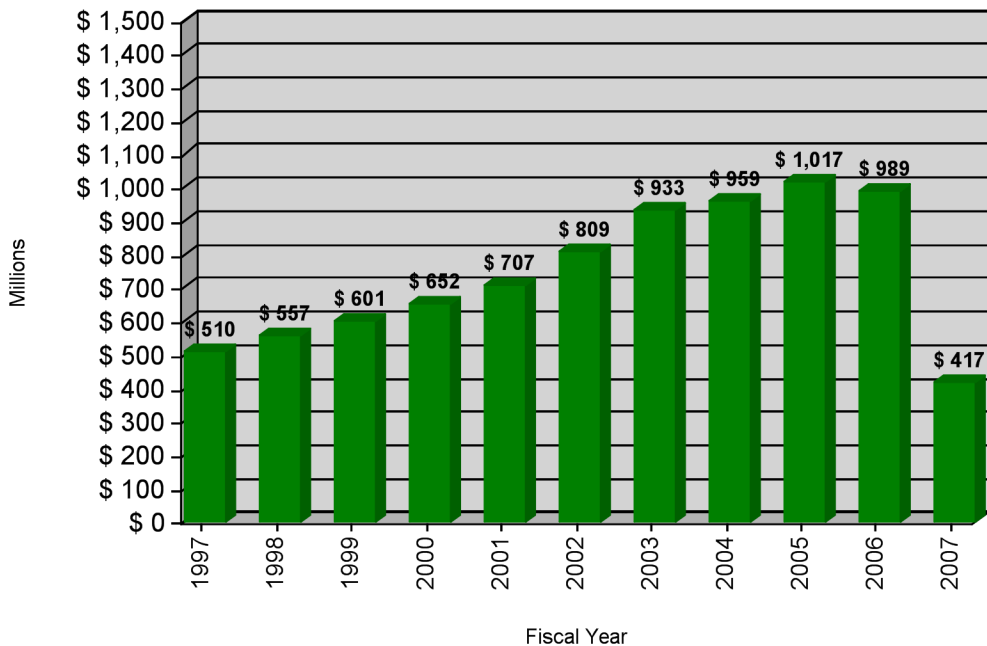
**November Only**  
**Comparison of Grant & Contract Awards**



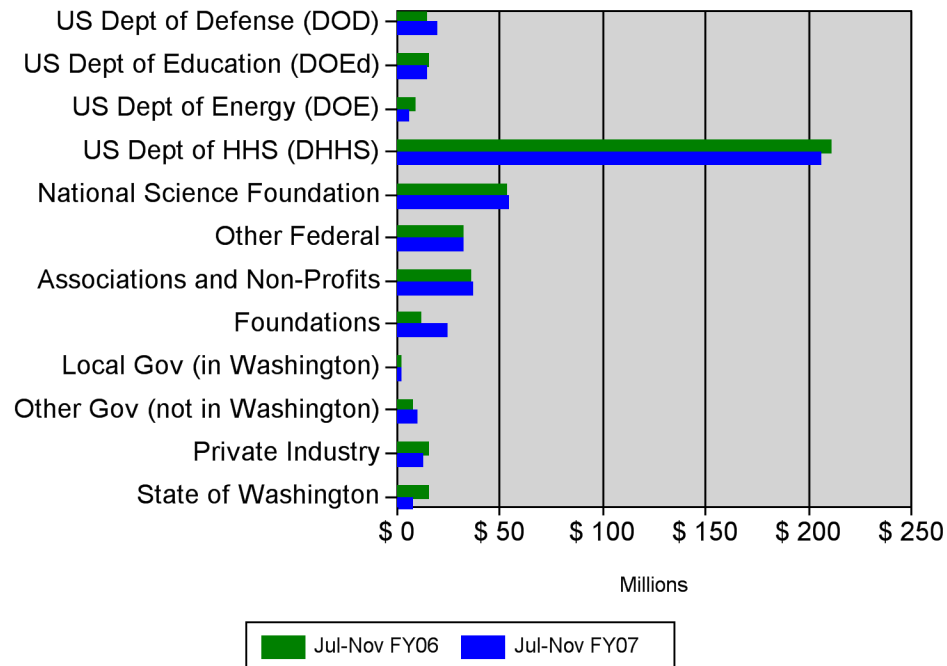
**Fiscal Year to Date**  
**Comparison of Grant & Contract Awards**



**Complete Fiscal Year**  
**Comparison of Grant & Contract Awards**



**Fiscal Year to Date**  
**Two-Year Comparison of Grant and Contract Awards**



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**Summary of Grant and Contract Awards****Fiscal Year 2006-2007**

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<b>Month</b>	<b>RESEARCH AND OTHER</b>		<b>TRAINING</b>		<b>Total Grants and Contracts</b>
	<b>Federal</b>	<b>Non-Federal</b>	<b>Federal</b>	<b>Non-Federal</b>	
July	\$ 47,163,420	\$ 10,699,020	\$ 6,191,659	\$ 693,994	\$ 64,748,090
August	\$ 113,882,100	\$ 11,808,260	\$ 6,156,148	\$ 443,216	\$ 132,289,800
September	\$ 64,660,620	\$ 23,947,570	\$ 4,289,084	\$ 905,277	\$ 93,802,540
October	\$ 53,216,540	\$ 17,021,630	\$ 11,166,060	\$ 1,883,808	\$ 83,288,030
November	\$ 20,631,830	\$ 21,434,790	\$ 375,521	\$ 651,926	\$ 43,094,070
<b>FY07 to Date</b>	<b>\$299,554,542</b>	<b>\$84,911,264</b>	<b>\$28,178,475</b>	<b>\$4,578,221</b>	<b>\$417,222,501</b>
<b>FY06 to Date</b>	<b>\$298,827,687</b>	<b>\$75,602,120</b>	<b>\$32,663,323</b>	<b>\$8,683,705</b>	<b>\$415,776,835</b>
<b>Over (Under) Previous Year</b>	<b>\$726,855</b>	<b>\$9,309,144</b>	<b>(\$4,484,848)</b>	<b>(\$4,105,484)</b>	<b>\$1,445,666</b>

*Assuming acceptance of all awards by the Board of Regents*



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**Comparison of Grant and Contract Awards by Agency****Fiscal Years 2005-2006 and 2006-2007**

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<b>Agency</b>	<b>Jul-Nov FY06</b>	<b>Jul-Nov FY07</b>
US Department of Defense (DOD)	\$ 14,058,828	\$ 18,916,044
US Department of Education (DOEd)	\$ 15,387,626	\$ 13,726,953
US Department of Energy (DOE)	\$ 7,808,077	\$ 5,246,175
US Department of Health and Human Services (DHHS)	\$ 209,992,534	\$ 205,006,183
National Science Foundation (NSF)	\$ 52,361,841	\$ 53,774,330
Other Federal	\$ 31,882,104	\$ 31,063,331
<b>Subtotal for Federal :</b>	<b>\$ 331,491,010</b>	<b>\$ 327,733,017</b>
Associations and Non-Profits	\$ 34,884,927	\$ 36,354,562
Foundations	\$ 11,266,718	\$ 23,946,885
Local Government (in Washington)	\$ 1,315,535	\$ 1,236,314
Other Government (not in Washington)	\$ 7,520,058	\$ 8,900,804
Private Industry	\$ 14,809,373	\$ 11,647,041
State of Washington	\$ 14,489,215	\$ 7,403,880
<b>Subtotal for Non-Federal :</b>	<b>\$ 84,285,825</b>	<b>\$ 89,489,485</b>
<b>Grand Total :</b>	<b>\$ 415,776,835</b>	<b>\$ 417,222,501</b>

**Amount of Increase (Decrease) : \$ 1,445,666****Percent of Increase (Decrease) : 0.3 %***Assuming acceptance of all awards by the Board of Regents*

**Comparison of Grant and Contract Awards by School/College**

Fiscal Years 2005-2006 and 2006-2007

<b>School/College</b>		<b>Jul-Nov FY06</b>	<b>Jul-Nov FY07</b>
<b>Upper Campus</b>			
	Architecture and Urban Planning	\$ 1,673,235	\$ 698,491
	Arts and Sciences	\$ 30,880,820	\$ 38,036,240
	Business Administration	\$ 189,102	\$ 58,147
	Director of Libraries	\$ 343,192	\$ 3,000
	Education	\$ 8,424,922	\$ 5,902,328
	Educational Outreach	\$ 301,957	\$ 366,528
	Engineering	\$ 43,771,198	\$ 41,910,990
	Evans School of Public Affairs	\$ 1,829,490	\$ 2,633,563
	Forest Resources	\$ 3,489,626	\$ 2,863,423
	Graduate School	\$ 4,526,167	\$ 1,694,645
	Information School	\$ 1,059,405	\$ 1,641,990
	Law	\$ 258,994	\$ 112,000
	Ocean and Fishery Sciences	\$ 32,989,909	\$ 37,347,979
	Office of Research	\$ 15,250,208	\$ 18,784,763
	Social Work	\$ 6,025,601	\$ 5,044,141
	Undergraduate Education	\$ 117,665	\$ 1,250,161
	VP Educational Partnerships	\$ 80,853	\$ 106,347
	VP Minority Affairs	\$ 6,861,608	\$ 4,059,253
	VP Student Life	\$ 5,500	
	<b>Subtotal :</b>	<b>\$ 158,079,452</b>	<b>\$ 162,513,990</b>
<b>Health Sciences</b>			
	Dentistry	\$ 5,373,061	\$ 2,734,963
	Health Sciences Admin	\$ 11,208,021	\$ 13,425,896
	Medical Centers	\$ 13,934	\$ 1,000
	Medicine	\$ 186,616,199	\$ 191,834,449
	Nursing	\$ 7,898,636	\$ 7,147,221
	Pharmacy	\$ 4,412,400	\$ 5,518,682
	Public Health and Community Medicine	\$ 40,285,668	\$ 33,236,364
	<b>Subtotal :</b>	<b>\$ 255,807,918</b>	<b>\$ 253,898,574</b>
<b>Special Programs</b>			
	<b>Subtotal :</b>		
<b>Other UW Campuses</b>			
	Bothell	\$ 1,321,636	\$ 604,108
	Tacoma	\$ 567,829	\$ 205,829
	<b>Subtotal :</b>	<b>\$ 1,889,465</b>	<b>\$ 809,937</b>
	<b>Grand Total :</b>	<b>\$ 415,776,835</b>	<b>\$ 417,222,501</b>

**List of Awards for Arts and Sciences**

**Fiscal Years 2005-2006 and 2006-2007**

<b>Department</b>	<b>Jul-Nov FY06</b>	<b>Jul-Nov FY07</b>
Center for Statistics and the Social Sciences	\$ 149,211	\$ 482,482
Center for Studies in Demography and Ecology	\$ 2,095,156	\$ 1,039,885
Department of Anthropology	\$ 34,872	\$ 136,250
Department of Applied Mathematics	\$ 849,562	\$ 1,726,247
Department of Asian Languages and Literature	\$ 140,000	\$0
Department of Astronomy	\$ 994,639	\$ 1,808,312
Department of Atmospheric Sciences	\$ 2,549,569	\$ 3,023,100
Department of Biology	\$ 3,706,445	\$ 5,737,508
Department of Chemistry	\$ 5,295,052	\$ 9,223,046
Department of Classics	\$ 30,000	\$0
Department of Communication	\$ 24,550	\$ 201,100
Department of Comparative Literature	\$0	\$ 21,178
Department of Earth and Space Sciences	\$ 2,297,809	\$ 1,810,458
Department of Economics	\$ 7,250	\$ 24,800
Department of Geography	\$0	\$ 180,909
Department of Germanics	\$ 36,000	\$ 45,000
Department of History	\$0	\$ 70,694
Department of Mathematics	\$ 713,604	\$ 635,491
Department of Near Eastern Languages and Civilization	\$ 17,500	\$0
Department of Physics	\$ 2,115,851	\$ 2,730,958
Department of Political Science	\$0	\$ 508,696
Department of Psychology	\$ 4,807,583	\$ 5,805,287
Department of Sociology	\$ 352,788	\$ 77,244
Department of Speech and Hearing Sciences	\$ 508,138	\$ 643,830
Department of Statistics	\$ 487,282	\$ 198,959
Henry M. Jackson School of International Studies	\$ 1,072,020	\$ 1,123,111
Institute for Nuclear Theory	\$ 2,373,000	\$ 135,000
Language Learning Center	\$0	\$ 20,000
Law, Societies and Justice Program	\$ 53,571	\$ 90,017
Other Arts and Sciences Programs	\$0	\$ 9,500
Public Performing Arts	\$ 76,400	\$ 81,565
School of Art	\$0	\$ 48,000
School of Music	\$0	\$ 300,000
Thomas Burke Memorial Washington State Museum	\$ 92,968	\$ 97,613
<b>Total :</b>	<b>\$ 30,880,820</b>	<b>\$ 38,036,240</b>

*Assuming acceptance of all awards by the Board of Regents*

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**Summary of Grant Awards****Fiscal Year 2006-2007***Excluding private awards from Foundations, Industry, Associations and Others*

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<b>Month</b>	<b>RESEARCH AND OTHER</b>		<b>TRAINING</b>		<b>Total Grants</b>
	<b>Federal</b>	<b>Non-Federal</b>	<b>Federal</b>	<b>Non-Federal</b>	
July	\$ 46,551,120	\$ 3,501,075	\$ 6,191,659	\$ 116,675	\$ 56,360,530
August	\$ 111,896,000	\$ 1,805,011	\$ 6,156,148	\$ 0	\$ 119,857,200
September	\$ 59,774,620	\$ 835,114	\$ 4,289,084	\$ 170,000	\$ 65,068,820
October	\$ 44,817,340	\$ 2,488,397	\$ 11,142,060	\$ 23,528	\$ 58,471,320
November	\$ 13,934,800	\$ 2,200,982	\$ 375,521	\$ 192,752	\$ 16,704,050
<b>Year to Date</b>	<b>\$ 276,973,900</b>	<b>\$ 10,830,580</b>	<b>\$ 28,154,480</b>	<b>\$ 502,955</b>	<b>\$ 316,461,900</b>

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*Assuming acceptance of all awards by the Board of Regents*

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**Summary of Grant Awards****Fiscal Year 2006-2007***Private awards from Foundations, Industry, Associations and Others*

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<b>Month</b>	<b>RESEARCH AND OTHER</b>	<b>TRAINING</b>	<b>Total Grants</b>
July	\$ 4,648,699	\$ 61,206	\$ 4,709,905
August	\$ 7,107,670	\$ 184,174	\$ 7,291,844
September	\$ 20,026,620	\$ 266,485	\$ 20,293,100
October	\$ 9,020,528	\$ 123,297	\$ 9,143,825
November	\$ 13,743,800	\$ 187,000	\$ 13,930,800
<b>Year to Date</b>	<b>\$ 54,547,320</b>	<b>\$ 822,162</b>	<b>\$ 55,369,480</b>

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*Assuming acceptance of all awards by the Board of Regents*

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**Summary of Contract Awards**

**Fiscal Year 2006-2007**

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<b>Month</b>	<b>RESEARCH AND OTHER</b>		<b>TRAINING</b>		<b>Total Contracts</b>
	<b>Federal</b>	<b>Non-Federal</b>	<b>Federal</b>	<b>Non-Federal</b>	
July	\$ 612,297	\$ 2,549,250	\$ 0	\$ 516,113	\$ 3,677,660
August	\$ 1,986,095	\$ 2,895,583	\$ 0	\$ 259,042	\$ 5,140,720
September	\$ 4,885,993	\$ 3,085,836	\$ 0	\$ 468,792	\$ 8,440,621
October	\$ 8,399,199	\$ 5,512,701	\$ 24,000	\$ 1,736,983	\$ 15,672,880
November	\$ 6,697,038	\$ 5,490,001	\$ 0	\$ 272,174	\$ 12,459,210
<b>Year to Date</b>	<b>\$ 22,580,620</b>	<b>\$ 19,533,370</b>	<b>\$ 24,000</b>	<b>\$ 3,253,104</b>	<b>\$ 45,391,100</b>

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*Assuming acceptance of all awards by the Board of Regents*

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**Report of Grant and Contract Awards  
of \$1,000,000 or More**

**November 2006**

**Requiring action of  
The Board of Regents  
of the  
University of Washington**

**Office of Research  
Office of Sponsored Programs**

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**Detail of Public Grant Awards**

**Federal**

**US Department of Defense (DOD)**

**Air Force Office of Scientific Research (AFOSR)**

To: Minoru Taya, Professor \$ 1,169,560  
 Mechanical Engineering  
 For: Energy Harvesting and Storage Systems and Their Integration to AF  
 Aero Vehicles  
 Eff: 5/1/2006

**Total for Air Force Office of Scientific Research (AFOSR): \$ 1,169,560**

**Office of Naval Research (ONR)**

To: Russell E Mc Duff, Director/chair \$ 1,000,000  
 School of Oceanography  
 For: ONR Ship Operations  
 Eff: 1/15/2001

**Total for Office of Naval Research (ONR): \$ 1,000,000**

**Total for US Department of Defense (DOD): \$ 2,169,560**

**US Department of Health and Human Services (DHHS)**

**National Institutes of Health (NIH)**

To: Buddy D Ratner, Professor \$ 2,057,267  
 Bioengineering  
 For: Engineered Cardiac Morphogenesis- Stem Cells and Scaffolds  
 Eff: 9/1/2006

**Total for National Institutes of Health (NIH): \$ 2,057,267**

**Total for US Department of Health and Human Services (DHHS): \$ 2,057,267**

**Other Federal**

**National Oceanic and Atmospheric Administration (NOAA)**

To: Russell E Mc Duff, Director/chair \$ 1,332,873  
 School of Oceanography  
 For: CLIVAR/CO2 P16N Cruise (TN191)  
 Eff: 2/1/2006

**Total for National Oceanic and Atmospheric Administration (NOAA): \$ 1,332,873**

**Total for Other Federal: \$ 1,332,873**

**Total for Federal: \$ 5,559,700**

**Total Public Grants: \$ 5,559,700**



## Detail of Private Grant Awards

### Foundations

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#### Amgen Foundation

To: Janice M Decosmo, Assistant Dean \$ 1,000,000  
Dean Undergrad Ed

For: University of Washington Amgen Scholars Program

Eff: 10/1/2006

**Total for Amgen Foundation: \$ 1,000,000**

#### Gordon and Betty Moore Foundation

To: Mary E. Lidstrom, Vice Provost \$ 2,250,000  
Office Of Research

For: Center for Environmental Genomics

Eff: 10/1/2006

**Total for Gordon and Betty Moore Foundation: \$ 2,250,000**

**Total for Foundations: \$ 3,250,000**

**Total Private Grants: \$ 3,250,000**

Detail of Contract Awards

Federal

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**US Department of Health and Human Services (DHHS)**

**National Institutes of Health (NIH)**

*National Heart, Lung, and Blood Institute (NHLBI)*

To: Richard A Kronmal, Professor \$ 1,150,000  
Department of Biostatistics

For: Cardiovascular Health Study - Transition Phase

Eff: 6/1/2005

*Total for National Heart, Lung, and Blood Institute (NHLBI):* \$ 1,150,000

**Total for National Institutes of Health (NIH):** \$ 1,150,000

**Total for US Department of Health and Human Services (DHHS):** \$ 1,150,000

**Other Federal**

**National Oceanic and Atmospheric Administration (NOAA)**

To: Kerry-ann Naish, Assistant Professor \$ 1,442,526  
School of Aquatic and Fishery Science

For: Quantifying domestication selection on life history in hatchery coho  
salmon

Eff: 9/25/2006

**Total for National Oceanic and Atmospheric Administration (NOAA):** \$ 1,442,526

**Total for Other Federal:** \$ 1,442,526

**Total for Federal:** \$ 2,592,526

**Total Contracts:** \$ 2,592,526

**Grand Total for all Awards** \$ 11,402,226

**VII. STANDING COMMITTEES****B. Finance, Audit and Facilities Committee**Architectural Commission Membership AppointmentsRECOMMENDED ACTION:

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the President be delegated authority to appoint Cathy Simon, FAIA, to the University of Washington Architectural Commission, commencing immediately and ending in October 2010; and to appoint Linda Lane to the University of Washington Architectural Commission, commencing immediately and ending in June 2007.

BACKGROUND:

The revised (2002) "Statement of Organization and Function" of the University of Washington Architectural Commission provides that the membership of the Commission include eleven members, four of whom are professional members who shall be registered architects, landscape architects, or qualified city planners; and one of whom is a student member jointly recommended by the President of the Associated Students of the University of Washington (ASUW) and Graduate and Professional Student Senate (GPSS).

Cathy Simon received her Bachelor of Arts degree in Art History from Wellesley College and her Master of Architecture degree from the Harvard Graduate School of Design. She is the President, Director of Design and a founding partner of SMWM. Under her leadership, the firm has established itself as a nationally recognized practice in architecture, planning and urban design. SMWM has been honored with numerous professional distinctions, and in 1999 was the recipient of the Firm Award given by the AIA California Council.

ASUW and GPSS have recommended that Linda Lane, graduate student in the School of Information Management, be appointed as student member for the 2006-2007 year. Ms. Lane is currently seeking a Master of Science in Information Management from the University of Washington. Ms. Lane received her Bachelor of Fine Arts in Art, Painting and Filmmaking from Cornish. She briefly studied architecture at the University of Alaska. Ms. Lane's parents met and studied architecture at the University of Washington.

The Chair of the Architectural Commission concurs with these recommendations.

The other current members of the Commission are:

Lee Copeland – Architectural Advisor  
Stephen Kieran – Kieran Timberlake, Philadelphia PA (Term expires 10/09)  
Daniel Friedman (Chair) – Dean, College of Architecture and Urban Planning  
Linda Jewell – Freeman & Jewell (Term expires 10/07)  
Norman Pfeiffer – Pfeiffer Partners, Los Angeles CA (Term expires 10/08)  
John Schaufelberger – Faculty Member

*ex officio:*

Richard Chapman – AVP, Capital Projects Office  
Dale Cole – Chair, Campus Landscape Advisory Committee  
Weldon E. Ihrig – Executive Vice President

**VII. STANDING COMMITTEES****B. Finance, Audit and Facilities Committee****Health Sciences Center School of Medicine T-Wing 5<sup>th</sup> Floor Teaching Space - Establish Project Budget and Delegate Authority to Award Construction Contract****RECOMMENDED ACTION:**

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the Health Sciences Center (HSC) T-Wing 5<sup>th</sup> Floor Teaching Space project budget be established at \$5,147,384 and the President be delegated authority to award a construction contract, subject to no significant change in the scope, and the forecast cost being within 10% of the budget and funding being in place.

**BACKGROUND:**

The project renovates and upgrades 10,000 sq. ft. of School of Medicine (SOM) teaching space on the 5th floor of the Health Science Building's T-Wing. The purpose of the renovation is to improve the teaching environment including modernization, adding a student lounge area and accommodations for increased enrollment. The existing space will be reconfigured to better accommodate current teaching methods and improve the flexibility for teaching medical students. The new layout will include modular classrooms that can accommodate 49 students or be sectioned off into smaller areas in support of specialized teaching of medical procedures.

The project will address accreditation deficiencies by providing improved teaching facilities, a student lounge area, and student gathering/interactive areas. The present T-Wing corridor and circulation routes will be reconfigured to better designate the school of medicine space as well as take advantage of natural light from the T-Wing corridor parallel to NE Pacific Street. The classroom renovations include necessary modification to the mechanical and electrical infrastructure as well as abating hazardous materials within the project area.

The project was first reported to the Board of Regents in July 2006, on appointment of the design firm NBBJ Architecture. Design of the project began August 2006 and is forecast to conclude in April 2007, with construction beginning with the start of the 2007-2009 biennium, construction completion planned for December 2007, and occupancy winter quarter January 2008.

The project budget is \$5,147,348; \$800,000 of the funding is available from Central funds (\$754,142) and School of Medicine revenues (\$45,858). The balance of the project funding will be part of the University's 2007 -2009 capital budget request to the State of Washington in support of the (Washington Wyoming Alaska Montana Idaho (WWAMI)) School of Medicine expansion.

**ENCLOSURE:** Capital Projects Office Project Summary

**UNIVERSITY OF WASHINGTON  
CAPITAL PROJECTS OFFICE - SUMMARY PROJECT BUDGET  
STANDARD PROCUREMENT**

PROJECT: **HSC SOM T-Wing 5th Floor Teaching Space**

200669

ESTIMATED DATE OF COMPLETION: Dec-07

Original Budget Agreement	Forecast Cost at Completion
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**BUDGET SUMMARY:**

<b>A. ACQUISITION</b>		
<b>B. CONSULTANT SERVICES</b>		
1. PREDESIGN	108,763	108,763
2. BASIC DESIGN SERVICES	194,454	194,454
3. EXTRA SERVICES/REIMBURSABLES	113,827	113,827
4. OTHER SERVICES	122,840	122,840
5. DESIGN SERVICES CONTINGENCY	83,540	83,540
<b>TOTAL CONSULTANT SERVICES</b>	623,424	623,424
<b>C. PRIMARY CONSTRUCTION CONTRACTS</b>		
1. CONSTRUCTION CONTRACT	2,585,960	2,585,960
2. OTHER CONTRACTS	416,298	416,298
SUBTOTAL CONSTRUCTION	3,002,258	3,002,258
3. CONSTRUCTION CONTINGENCY & RESERVE	517,192	517,192
4. SALES TAX	309,712	309,712
<b>TOTAL CONSTRUCTION COST</b>	3,829,162	3,829,162
<b>D. EQUIPMENT &amp; FURNISHINGS</b>	211,589	211,589
<b>E. ARTWORK</b>	12,632	12,632
<b>F. OTHER COSTS</b>	115,402	115,402
<b>G. PROJECT ADMINISTRATION</b>	355,175	355,175
<b>H. RELATED PROJECTS/MITIGATION COSTS</b>		
<b>TOTAL PROJECT BUDGET</b>	5,147,348	5,147,348

**SOURCE OF FUNDS:**

UW Central Admin. \$2M Provost Allocation to SOM	754,142	754,142
UW School of Medicine	45,858	45,858
2007-09 Capital Budget Request to State	4,347,348	4,347,348
<b>TOTAL FUNDS</b>	5,147,348	5,147,348

F-4/201-07

1/18/2007

## VII. STANDING COMMITTEES

## B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority

Pursuant to the Standing Orders of the Board of Regents, Delegation of Authority, and to the delegation of authority from the President of the University to the Executive Vice President in Executive Order No. 1, to take action for projects or contracts that exceed \$1,000,000 in value or cost but are less than \$5,000,000, the Administration may approve and execute all instruments.

**PCB Transformer & MV Switch Replacement, Project No. 200737****Action Reported: Contract Award/Budget Adjustment**

On November 18, 2006, a construction contract was awarded to CTS Northwest, in the amount of \$921,000 including two alternates, for the PCB Transformer & Medium Voltage Switch Replacement Project. Two bids were received for this project with the second bid totaling \$1,435,000. The budget for construction was \$1,073,478.

CTS Northwest is an electrical contractor located in Seattle who has performed numerous other medium voltage electrical projects on campus, including several transformer replacement projects.

The project will replace PCB-containing transformers in the following buildings with transformers of equal capacity: Gould Hall, Magnuson Health Sciences Center I-wing and G-wing and Kincaid Hall. At the same time, primary switches will be replaced: in Gould Hall, Aerospace Engineering and Kincaid Hall.

Construction activities began in November 2006 and completion is scheduled for June 2007.

At time of bid, the project budget was \$1,850,000. Based on bid results and the corresponding forecast to complete, the budget is decreased to \$1,550,000. The project is funded from 2005-2007 Utility Renewal Budget.

<b>Budget Summary:</b>	<b>Original Approved Budget</b>	<b>Current Approved Budget Forecast to Complete</b>
Total Consultant Svcs	\$216,511	\$202,977
Total Construction Cost*	\$1,471,153	\$1,140,421
Other Costs	\$18,971	\$58,060
Project Administration	\$143,650	\$148,541
<b>Total Project Budget</b>	<b>\$1,850,285</b>	<b>\$1,550,000</b>

\* Includes construction contract amount, contingencies and state sales tax.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority (continued p. 2)

**Emergency Power – Phase 2A, Project No. 201028**

**Action Reported: Contract Award and Budget Adjustment**

On December 15, 2006, a construction contract was awarded to CTS Northwest in the amount of \$1,736,000, including three alternates for the Emergency Power Phase 2A project. Three bids were received for this project; the high bid was \$2,281,968. The budget for construction is \$1,854,720.

CTS Northwest is an electrical contractor located in Seattle who has performed numerous other medium voltage electrical projects on campus, including several transformer replacement projects.

Emergency Power Phase 2A continues installation of emergency power distribution infrastructure to various campus facilities. This project will address Feeder GE 4 and Wilcox, Mueller, Roberts, Bloedel, Anderson, Winkenwerder, Benson, Kincaid, More and T-Wing, as well as Feeder GD4 and Sieg, Suzzallo/Allen, Smith/Gowen/Mueller, Mary Gates, Guggenheim and Atmospheric Sciences.

Construction activities began in mid-December. The project is scheduled for completion in June 2007.

The original project budget was established at \$2,993,691 with funding balances from two state appropriations to the UW Building Account for Emergency Power. Based on bid results and corresponding forecast to complete, the budget is decreased to \$2,632,000.

<b>Budget Summary:</b>	<b>Original Approved Budget</b>	<b>Current Approved Budget Forecast to Complete</b>
Total Consultant Svcs	\$475,508	\$367,170
Total Construction Cost*	\$2,260,088	\$2,058,754
Other Costs	\$258,095	\$99,876
Project Administration	\$106,200	\$106,200
<b>Total Project Budget</b>	<b>\$2,993,691</b>	<b>\$2,632,000</b>

\* Includes construction contract amount, contingencies and state sales tax.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority (continued p. 3)

**Gordon Lab Renovation, Project No. 201212**  
**Action Reported: Construction Contract Award**

On December 12, 2006, a construction contract was awarded to CDK Construction of Duvall, Washington for the Gordon Lab Renovation, located within the Magnuson Health Sciences Center (HSC), in the amount of \$564,800. Four bids were received for the project. The high bid was \$933,359. The budget for construction is \$588,616.

CDK Construction is a general contractor and has successfully completed several projects for the University of Washington including other projects within the HSC. Projects at the UW include HSC School of Nursing Distant Learning Center Relocation, HSC D209 Lecture Hall Remodel, HSC T-Wing Library Security Improvements, UW Medical Center (UWMC) Cardiac Procedure Remodel, UWMC Steam Kettle Replacement, Kane Hall Classroom Improvements and Merrill Hall Reconstruction.

This lab renovation includes new office space, a student workroom, a tissue culture room with two biological safety cabinets and gas storage area, open lab areas with fixed casework benches and write-up stations, and space for lab equipment. The project will utilize an existing cold room in the area. Total renovation includes approximately 2,300 gsf.

Design started on June 14, 2006; construction started in December 2006 and is scheduled for completion in May 2007. This project is one of several that must be completed in order to allow for the start of construction on the H-Wing project. In the project cost forecast, uncommitted design funds were shifted to the construction contingency to provide ability to accelerate the construction where appropriate, to ensure timely completion of this project.

The project budget is \$1,201,480. Funding will be provided from the Research Initiative 03/05 budget.

<b>Budget Summary:</b>	<b>Current Approved Budget</b>	<b>Forecast Cost to Complete</b>
Total Consultant Svcs	\$279,108	\$175,533
Total Construction Costs*	\$807,479	\$910,189
Other Costs	\$18,453	\$17,838
Project Administration	\$96,440	\$96,440
<b>Total Project Budget</b>	<b>\$1,201,480</b>	<b>\$1,200,000</b>

\*Includes construction contract amount, contingencies and state sales tax.



VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority (continued p. 4)

**Marine Studies Replace Boiler with Steam Line, Project No. 4345  
Action Reported: Establish Budget**

On November 20, 2006, a project budget of \$1,035,000 was established for the Marine Studies Replace Boiler with Steam Line project. The budget was previously established at \$940,000 and the architect and construction contracts were awarded using delegation procedures appropriate for projects under \$1,000,000. Construction delays associated with obtaining permits, overtime associated with recovering from a concrete strike, the addition of a compressed air line and construction interferences not identified during design caused increases in the project cost to the current level.

The Marine Studies Replace Boiler with Steam Line project removed old gas and electric boilers and connected the Marine Studies and Fish Teach buildings to the campus steam system.

Construction began in May 2005 and was completed November 2006.

The budget for the Marine Studies Replace Boiler with Steam Line is \$1,035,000 and is funded by Facilities Services Building Renewal funds.

<b>Budget Summary:</b>	<b>Previously Approved Budget</b>	<b>Current Approved Budget</b>
Total Consultant Svcs	\$151,831	\$ 156,107
Total Construction Cost*	\$668,013	\$ 729,083
Other Costs	\$ 40,213	\$ 69,867
Project Administration	\$79,943	\$ 79,943
<b>Total Project Budget</b>	<b>\$ 940,000</b>	<b>\$ 1,035,000</b>

\*Includes construction contract amount, contingencies and state sales tax.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority (continued p. 5)

**Padelford Hall Communications Upgrade, Project No. 10730**

**Action Reported: Contract Award /Budget Adjustment**

On November 9, 2006, a construction contract was awarded to Western Ventures Construction, Inc., for the Padelford Hall Communications Upgrade project in the amount of \$752,000. Four bids were received. The high bid was \$937,500. The budget for construction is \$1,047,000.

The Padelford Hall Communications Upgrade project will improve the communications pathways and cabling throughout the 85,000 square foot, 1967 office building consistent with UW Computing and Communications service standards. The project will furnish and install category 5e communications cabling from the communications closets throughout the building via custom fabricated punched sheet metal horizontal pathways. Horizontal pathways and cabling will be installed to accommodate existing workstation spaces for both voice and data services. Additionally, the project improves Intermediate Distribution Frame (IDF) closets located in 'B' wing.

Western Ventures Construction of Mountlake Terrace, Washington, has completed many capital projects for the University on the Seattle campus over the past eighteen years. Recently completed projects include the Condon Hall Elevator Upgrades, the UWMC PET/CT installation, the HSC Cognitive Research Center, and Stevens Court Improvements.

Construction activities began in November 2006 and completion is scheduled for April 2007.

At time of bid, the project budget was \$1,568,567. Based on bid results and the corresponding forecast to complete, the budget is decreased to \$1,235,984. The project is funded by communications upgrade monies in the 2005-2007 Capital Budget.

<b>Budget Summary:</b>	<b>Original Approved Budget</b>	<b>Current Approved Budget/Forecast Cost to Complete</b>
Total Consultant Svcs	\$ 120,080	\$ 154,458
Total Construction Cost*	\$ 1,326,261	\$ 966,998
Other Costs	\$ 15,902	\$4,488
Project Administration	\$ 106,324	\$ 110,040
<b>Total Project Budget</b>	<b>\$ 1,568,567</b>	<b>\$ 1,235,984</b>

\* Includes construction contract amount, contingencies and state sales tax.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority (continued p. 6)

**Health Sciences Center AA Wing Minus One MRI Replacement, Project No. 4433  
Action Reported: Contract Award**

On December 13, 2006, a construction contract for the Health Sciences Center (HSC) AA Wing Minus One MRI Replacement was awarded to CDK Construction Services in the amount of \$595,200. No alternates were included in the bid. Three bids were received for this project. The high bid was \$642,000. The budget for construction is \$591,730.

CDK Construction is a general contractor and has successfully completed several projects for the University of Washington, including other projects within the HSC. Projects at the UW include HSC School of Nursing Distant Learning Center Relocation, HSC D209 Lecture Hall Remodel, HSC T-Wing Library Security Improvements, UW Medical Center (UWMC) Cardiac Procedure Remodel, UWMC Steam Kettle Replacement, Kane Hall Classroom Improvements, and Merrill Hall Reconstruction.

The HSC AA Wing Minus One MRI Replacement project will replace the existing 1.5T MRI with a 3.0T MRI.

Construction is scheduled to start in mid-January 2007 and is scheduled for completion in May 2007.

The current approved budget is \$1,454,895. Funding for this project is available from the Research Initiative Budget.

<b>Budget Summary</b>	<b>Current Approved Budget</b>	<b>Forecast Cost to Complete</b>
Total Consultant Services	\$349,774	\$355,482
Total Construction Cost*	\$896,748	\$883,732
Other Costs	\$70,769	\$74,025
Project Administration	\$137,568	\$136,279
<b>Total Project Budget</b>	<b>\$1,454,859</b>	<b>\$1,449,518</b>

\*includes construction cost amount, contingency and sales tax.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority (continued p. 7)

**Health Sciences Center BB Tower Fire Sprinkler Retrofit, Project No. 201266**

**Action Reported: Contract Award**

On January 3, 2007 a construction contract for the Health Sciences Center (HSC) BB Tower Fire Sprinkler Retrofit was awarded to Western Ventures Construction in the amount of \$766,600. Bids were received from three firms. The high bid was \$874,000. The budget for construction is \$756,665.

This project provides fire sprinkler improvements to 64,157 square feet of the BB Wing floors 8 through 16. This is a voluntary safety improvement project and completion, will meet Code standards and University requirements for a fully sprinklered building.

Construction began in January 2007 and is scheduled for completion by November 2007.

Western Ventures has completed several construction contracts on the Seattle campus and Health Sciences Facility including the fire sprinkler retrofit on the BB/RR/ and AA Wings levels 4 through 7 (which is the predecessor to this project) and a laboratory renovation on the 7<sup>th</sup> floor of I Wing.

The current approved budget is \$1,301,355. The current forecast cost at completion is \$1,336,529. This increase is due primarily to an increase in fees for the supervision of the asbestos abatement work. Funding, including the additional monies required for contract award, is available from the 05-07 biennium capital safety budget.

<b>Budget Summary:</b>	<b>Current Approved Budget</b>	<b>Forecast Cost to Complete</b>
Total Consultant Services	\$ 177,814	\$229,051
Total Construction Cost*	\$ 952,377	\$967,071
Other Costs	\$ 70,204	\$ 34,803
Project Administration	\$ 100,960	\$105,604
<b>Total Project Budget</b>	<b>\$ 1,301,355</b>	<b>\$1,336,529</b>

\*Includes construction contract amount, contingencies and state sales tax.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority (continued p. 8)

**University of Washington Medical Center Angiography Replacement, Project No. 201375  
Action Reported: Contract Award**

On October 27, 2006, a construction contract was awarded to CDK Construction Services, Inc. for \$576,800, including one additive alternate, for the University of Washington Medical Center (UWMC) Angiography Replacement project. Two bids were received for the project; the high bid was \$ 589,000. The budgeted construction cost was \$671,671.

CDK Construction Services, Inc. is located in Duvall, Washington and has completed several projects for the University including UWMC Cardiac Procedures Area Emergency Medicine Services Remodel, UWMC PET/CT, HMC Elevator Upgrades, HSC School of Nursing Distant Learning Center Relocation, HSC D209 Lecture Hall Remodel, HSC T-Wing Library Security Improvements and the UWMC Steam Kettle Replacement project.

The Angiography Replacement project consists of approximately 1,850 square feet of renovation in the existing Angiography area. Phase I (to be completed by the UW shops) includes the expansion of the Physicians / Schedulers work area. Phase II includes the enlargement of the Body Single Plane room and reconfigures the existing control room. UWMC is purchasing new angiography equipment (not part of overall construction cost) to replace the equipment currently being used. Separate rooms will be created for the Tray Prep and Clean Storage areas.

Construction activities started in November 2006 and are expected to be complete in February 2007, with occupancy scheduled for March 2007.

The project budget is \$1,145,826; the forecasted project cost at completion is \$1,055,205. Funding is available from the University of Washington Medical Center patient revenues.

<b>Budget Summary:</b>	<b>Current Approved Budget</b>	<b>Forecast Cost to Complete</b>
Total Consultant Services	\$159,291	\$202,253
Total Construction Cost*	\$876,934	\$746,795
Other Costs	\$15,396	\$11,952
Project Administration	\$94,205	\$94,205
<b>Total Project Budget</b>	<b>\$1,145,826</b>	<b>\$1,055,205</b>

\* includes construction contract, construction contingency and state sales tax

## VII. STANDING COMMITTEES

### B. Finance, Audit and Facilities Committee

#### Actions Taken Under Delegated Authority (continued p. 9)

##### **School of Oceanography**

##### **Action Reported: Oceanographic Buoys Purchase**

The UW School of Oceanography uses oceanographic buoys as part of a global ocean observing system similar to the atmospheric observing system used to predict weather. The buoys drift and collect profiles of temperature, salinity, and other parameters, then transmit their data to a satellite. The data is transmitted from the satellite to our laboratory and made publicly available in real-time. The data is being used by a number of groups in the world to improve ocean models, and to begin to do ocean prediction.

A sole source contract was issued to Webb Research based on continuity of research and compatibility with numerous other buoys previously purchased for this project. The price for the buoys has been determined to be fair and reasonable. The total contract price is not to exceed \$1,800,000 plus sales tax. Funding for this purchase is available from a federal grant from the National Oceanographic and Atmospheric Administration (NOAA).

##### **University of Washington Medical Center**

##### **Action Reported: Linear Accelerators Maintenance**

The University of Washington Medical Center (UWMC) Department of Radiation Oncology operates linear accelerators to provide radiation treatment for some cancer patients. Radiation therapy services are available at both the Seattle Cancer Care Alliance (SCCA) and UWMC, and both sites have accelerators manufactured by Elekta, Inc.

A purchase order was issued to Elekta Inc. last year and reported to the Board of Regents in November 2006, to acquire two new linear accelerators and upgrade two existing accelerators at UWMC. Elekta offers discounted maintenance agreements if such agreements are executed within the warranty period for new installations and upgrades. A purchase order for five years of maintenance for the Elekta accelerators was issued in an amount not to exceed \$2,600,000 plus sales tax. Funding for the purchase is available from Radiation Oncology operating budgets.

## VII. STANDING COMMITTEES

### B. Finance, Audit and Facilities Committee

#### Actions Taken Under Delegated Authority (continued p. 10)

##### **Intercollegiate Athletics**

##### **Action Reported: Souvenir Concession Contract Extension**

An exclusive contract for sales of souvenirs at UW Intercollegiate Athletic (ICA) events was awarded in 2001 on the basis of a competitive request for proposals. Three proposals were received and the proposal from Siopa Sports Inc. was determined to best meet UW requirements, including guaranteed revenue. The initial five year term guaranteed a minimum of \$545,800. Siopa Sports paid approximately \$27,000 more than the guaranteed minimum to ICA due to higher total sales during the first five year term.

The contract included a provision for a five year extension, based on satisfactory performance. The option to extend has been executed, with a guaranteed revenue during the next five years of \$764,020. Since the cumulative guaranteed contract total of \$1,337,060 is over the \$1,000,000 limit in the Standing Orders, the contract extension is being reported in accordance with the Delegation of Authority.

**VII. STANDING COMMITTEES****B. Finance, Audit and Facilities Committee**2006 Audit Reports of the UW and UWMC – KPMG

University Annual Reports are available on-line at:

[www.washington.edu/admin/finmgmt](http://www.washington.edu/admin/finmgmt)

University of Washington Medical Center Financial Statements are available by contacting:

Leann Dawson, Controller

UWMC Accounting

NEC 1, 155 NE 100<sup>th</sup> Street, Suite 506, Seattle, WA 98125

(206) 598-6474

[ldawson@u.washington.edu](mailto:ldawson@u.washington.edu)



**VII. STANDING COMMITTEE****B. Finance, Audit and Facilities Committee****2006 Audit Report of the Metropolitan Tract – Peterson Sullivan**

Copies of this report are available by contacting:

Tom Schappacher  
Assistant Director, Finance, Real Estate Office  
1326 5<sup>th</sup> Avenue, Suite 400, Seattle, WA 98101  
(206) 685-1547  
tschappa@u.washington.edu

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

2006 State Audit Results – State Auditor’s Office

Copies of this report are available at the website noted below:

[www.sao.wa.gov](http://www.sao.wa.gov)

**VII. STANDING COMMITTEES****B. Finance, Audit and Facilities Committee****Metropolitan Tract - 2007 Unico Capital and Tenant Improvement Budget****RECOMMENDED ACTION:**

It is the recommendation of the Finance, Audit and Facilities Committee that the Board of Regents approve reimbursement of up to \$4.74 million in capital expenditures by Unico Properties, LLC, for rehabilitation and modernization projects requested in its 2007 Capital Improvement Plan for the office buildings on the Metropolitan Tract. The \$4.74 million is comprised of approximately \$1.7 million for capital improvements and up to \$3.03 million for tenant improvements.

**BACKGROUND:**

Under the terms of the University's lease with Unico Properties, LLC, capital improvement expenditures for purposes of rehabilitation and modernization of the Metropolitan Tract must be approved by the University. As lessor, the University is responsible for reimbursement of these expenditures.

The recommended total 2007 capital expenditure request is \$1.7 million. Of this, approximately \$700,000 is for improvements necessary to reduce the risk of potential liability and to maintain the buildings' competitiveness in the marketplace. Major projects include the continued upgrade of common areas on a multi-tenant floor of the IBM Building, restoration of the mosaic tile exterior of Puget Sound Plaza, and securing stairwells and upgrade of the hot water riser in the Skinner Building. Approximately \$1 million is related to base building improvements to be performed in conjunction with the leasing of vacant space. These include installation of an HVAC system on the 8<sup>th</sup> floor of the Skinner Building, removal of an internal staircase in the IBM Building, and continuation of installation of sprinklers in vacant spaces in the Financial Center, IBM Building and Puget Sound Plaza.

The remaining \$3.03 million recommended for approval is allocated to tenant improvements related to existing vacant space and leases due to expire in 2007. Funds will be used for tenant improvements necessary to facilitate leasing and to achieve targeted rental rates. Reimbursement for tenant improvements is subject to Unico achieving agreed upon rent thresholds.

Attachment A summarizes the projected expenditures and contains a projected 5-year capital plan for information purposes only. Unico is required to submit its recommendations each year for approval on an annual basis.

The 2007 Unico Capital and Tenant Improvement Budget has been recommended for approval by the Executive Vice President and the Director of Real Estate.

Attachment A: Unico Properties 2007 Capital Budget Summary

## ATTACHMENT A

### UNICO PROPERTIES 2007 CAPITAL BUDGET SUMMARY

2007 REQUESTED APPROVAL	
Capital Improvements	\$ 1,702,326
Tenant Improvements	\$ 3,033,723
<b>TOTAL</b>	<b>\$ 4,736,048</b>

2007 Building	Capital Improvements		Tenant Improvements
	Recommended 2007 Capital	Total 5 Year Projected Capital <sup>1</sup>	Expected Transaction Budget <sup>2</sup>
Rainier Tower	18,963	1,497,544	158,550
Financial Center	161,537	3,318,319	983,000
IBM Building	370,268	1,845,655	1,073,203
Puget Sound Plaza	231,694	1,780,609	480,644
Skinner Building	894,864	1,478,614	338,326
Met Tract Studies <sup>3</sup>	25,000	125,000	
<b>TOTAL</b>	<b>1,702,326</b>	<b>10,045,742</b>	<b>3,033,723</b>

<sup>1</sup> Additionally, we are holding approximately \$1.7m of projects that will only be completed in the event of Code changes and foresee some major electrical upgrades to the buildings within the next 10 years.

<sup>2</sup> Based on Unico's budgeted leasing for 2007; all vacant and expiring spaces are budgeted to be \$7.4m

<sup>3</sup> Required under Article VII of the Unico Lease

#### Historical Capital Expenditures

Budget Year	Net Capital Expended <sup>1</sup>
2006 <sup>2</sup>	\$ 8,101,981
2005	3,764,230
2004	4,377,295
2003	4,748,669
2002	6,195,442
2001	5,943,896
2000	11,097,876
<b>7 YEAR CAPITAL TOTAL</b>	<b>44,229,389</b>
<b>7 YEAR TI TOTAL</b>	<b>21,496,182</b>
<b>TOTAL</b>	<b>65,725,570</b>

#### Historical Tenant Improvement Expenditures

Budget Year	Completed Deals (Actual)
2006	\$ 5,197,611
2005	3,794,321
2004	5,513,034
2003	3,612,124
2002	649,780
2001	1,943,772
2000	785,539
<b>TOTAL</b>	<b>21,496,182</b>

Separately Approved Projects	Net Capital Approved
Skinner Seismic Upgrade <sup>3</sup>	9,966,400
Cobb Preservation Investment	7,916,494
Cobb Pre-Development	403,802
IBM Retail Pre-Development <sup>4</sup>	250,000
<b>TOTAL:</b>	<b>18,536,696</b>
<b>TOTAL All Projects</b>	<b>84,262,267</b>

<sup>1</sup> Net Capital Expended represents the actual costs to complete the projects

<sup>2</sup> Includes projected major mechanical project costs less rebates and Unico-financed amounts. Does not include anticipated external financing proceeds

<sup>3</sup> Skinner Building seismic project achieved savings of \$750,000 from the initial budget

<sup>4</sup> Approximately \$165,000 remains in this budget, to be spent once leasing is achieved

**VII. STANDING COMMITTEES****B. Finance, Audit and Facilities Committee**Metropolitan Tract – 5<sup>th</sup> Avenue Theatre Association Lease ExtensionRECOMMENDED ACTION:

It is the recommendation of the administration that the Board of Regents authorize the President or his designee to execute an agreement with the 5<sup>th</sup> Avenue Theatre Association to extend their lease in the Skinner Building located on the Metropolitan Tract.

BACKGROUND:

The 5<sup>th</sup> Avenue Theatre Association currently leases the theatre and related space in the Skinner Building from Unico Properties, LLC (under its master lease with the University) through 2014. The Theatre Association has requested a lease extension of 20 years in order to ensure long term occupancy of the Theatre and to support its capital campaign and facilities investment. The lease extension must be signed by the University because it applies after the expiration of the Unico master lease.

The 5<sup>th</sup> Avenue Theatre is located in the historic 1926 Skinner Building in an ornate Imperial Chinese-inspired hall which seats 2,100 patrons. The Theatre Association is privately funded and is responsible for any improvements to the space during its lease term. The Theatre Association is embarking on a capital campaign to raise funds for expansion and relocation of support spaces (dressing rooms, prop storage, etc.) and enhancement of performance areas. This is advantageous for the building because the support space will move out of marketable office space on upper floors to the basement where space is in less demand. A 20 year lease extension has been negotiated to facilitate this move and renovation. The viability and longevity of the Theatre Association in this space is an asset to the Metropolitan Tract and the community.

Annual rent to be paid by the Theatre Association during the extension term will be approximately \$450,000 for the theatre and the support space increasing over the 20 year term. Annual rent prior to the lease extension is approximately \$330,000.

The 5<sup>th</sup> Avenue Theatre Association lease extension is recommended for approval by the Executive Vice President and the Director of Real Estate.

**VII. STANDING COMMITTEES****B. Finance, Audit and Facilities Committee**Husky Union Building Master Plan – Architect SelectionRECOMMENDED ACTION:

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the President be delegated authority to award a design contract for the HUB Master Plan with the firm of Perkins+Will subject to successful negotiation of a Professional Services agreement.

BACKGROUND:

The mission of the Husky Union Building (HUB) is to serve as the primary campus community center for students, faculty, staff, alumni and guests, provide and support a program of cultural, educational, social, recreational and service activities, offer amenities and services for the diverse needs and activities of the campus community, and to create a sense of community. The Master Plan will determine how the HUB can maximize its capabilities to meet University and HUB goals such as: strengthening campus community by increasing programs and services; encouraging interactions between students, faculty and staff; cultivating engagement between student leaders; fostering tradition and affiliation; and enhancing student involvement.

The HUB, originally built in 1949 with expansions and substantial modifications in 1952, 1960, and 1975, currently has a total area of approximately 260,000 gross square feet. The Husky Den food service area, operated by University Housing and Food Services, underwent a substantial renovation in 2001. The primary facility users are student organizations and student governments (55%) and University departments (38%). During an average academic year, the HUB hosts over 4,300 meetings and events. The Department of Student Activities and Union Facilities (SAUF) which operates the HUB has an annual operating budget of \$3.6 million and receives approximately 60% of its budget through self-generated revenue (facility rentals, newsstands, games area, and leases), 30% from the University, and 10% from the student fee system.

The selected firm will provide a Master Plan that will help define long range plans and operational goals for the HUB, including potential renovation and expansion. The Master Plan will address issues and requirements related to changing campus needs and lifestyles, the HUB's aging infrastructure (structural, electrical and mechanical systems), and code compliance. At its sole discretion, the University may contract with the selected firm to provide design services for any or all of the projects indicated in the Master Plan.

## VII. STANDING COMMITTEES

### B. Finance, Audit and Facilities Committee

#### Husky Union Building Master Plan – Architect Selection (continued p. 2)

In October 2006, the Capital Projects Office advertised for firms interested in providing master planning and design services. Eleven firms responded to the Request for Qualifications for this project, and three firms were interviewed by the Architectural Commission on December 4, 2006. The selection committee included the University Architectural Advisor, members from Capital Projects Office, SAUF Administration, ASUW and Services and Activities Fee Committee.

The recommendation is that Perkins+Will be appointed design architect for this project. Perkins+Will is the 31-employee Seattle office of a national planning / architecture / interior design firm, with 1,098 total employees. Founded in 1935, the national firm has developed particular experience in the design and construction of higher education projects. In 1999 Perkins + Will was named “Firm of the Year” by the national American Institute of Architects. They have experience at the University of Washington having completed designs for South Lake Union, South Campus and Central Campus. The firm’s national experience includes planning and design of over 30 college and university student centers totaling over 4,000,000 square feet.

The budget for the Master Plan preparation has been set at \$250,000 with funding of \$125,000 from the Student Activities and Union Facilities (HUB) and \$125,000 from the Services and Activities Fee Committee (SAAF). The schedule for the Master Plan is to start in February 2007, with completion in the summer of 2007. Further design and construction of projects will be dependent on developing a successful funding plan and will be subject to future approvals in accordance with established policies. The total project budget is anticipated to range between \$10 million and \$50 million.

# Consolidated Endowment Fund (CEF) as of November 30, 2006

Asset Allocation—\$1.8 Billion



Performance (%)

	'06 Calendar Year-to-Date	5-Year	10-Year
<b>Total CEF Return</b>	<b>14.1</b>	<b>10.6</b>	<b>11.1</b>
Policy Benchmark	14.8	8.8	10.7
<b>Equity Fund</b>	<b>15.6</b>	<b>10.8</b>	<b>11.6</b>
Policy Benchmark	17.2	9.0	11.2
<b>Real Assets Fund</b>	<b>11.7</b>	—	—
Policy Benchmark	10.5	—	—
<b>Fixed Income Fund</b>	<b>5.6</b>	<b>5.3</b>	<b>6.5</b>
Policy Benchmark	4.2	4.6	6.0

## Market Perspective

Global equity markets posted solid returns in November. Emerging markets far outpaced developed markets with risk aversion remaining low, despite the potential for economic and geopolitical instability.

While value bested growth and small cap outperformed large cap, performance gaps were generally fairly small. Energy and utilities were among the better performing global sectors year-to-date while information technology and health care were the lowest returning sectors.

Performance was strong in November with the CEF returning 2.5%, a continuation of a surprisingly strong year in capital markets. The CEF returned 14.1% year-to-date through November 2006 with all equity strategies producing double digit returns. International developed and emerging markets continue to provide the strongest absolute returns in the portfolio with investments in China topping the chart year-to-date.

Investment staff continues to focus its efforts on international exposure. The CEF is neutral weight to domestic equities and underweight to fixed income.



VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Real Estate Foundation Update

An oral report will be given at the meeting.

**VII. STANDING COMMITTEES****B. Finance, Audit and Facilities Committee**Adoption of Proposed Amendments to Chapter 478-136 WAC, Use of University of Washington FacilitiesRECOMMENDED ACTION:

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the Board of Regents adopt the proposed amendments to Chapter 478-136 WAC, Use of University of Washington Facilities, concerning the University's No Smoking Policy.

BACKGROUND:

With the passage of I-901 in the fall of 2005 and an implementation date of 12/8/05, the University of Washington's smoking rule (Chapter 478-136 WAC), which had established a model and exemplary program that restricted smoking in University buildings, vehicles and at certain other sites, became obsolete because of new restrictions regarding outdoor smoking that were not covered in the University's rules. I-901 established restrictions for smoking in any place of employment as well as a minimum distance of twenty-five feet from any building openings. Enforcement of the employer's implementation of the law rests with local health jurisdictions, and enforcement for individual compliance rests with jurisdictional law enforcement agencies.

While a working group comprised of Environmental Health and Safety (EH&S), University of Washington Police Department (UWPD), Facilities Services, Human Resources (HR), and the Rules Coordination Office quickly met to establish basic emergency rules, John Coulter, Executive Director of the Health Sciences Administration, established a Smoking Task Force, chaired by the Director of EH&S, to recommend appropriate language for an updated permanent rule. The committee was comprised of representation from the Bothell, Seattle, and Tacoma campuses and the offices of EH&S, UWPD, HR Administration, Labor Relations, GPSS, ASUW, Faculty Senate, Facilities Services, Housing and Food Services, Rules Coordination, Health Sciences Administration, HMC, UWMC, Intercollegiate Athletics, and the Attorney General's Office as well as the Use of University Facilities Committee, and the University-Wide Health and Safety Committee. The group met between April and August 2006 to discuss the implications of implementation and review the emergency rule in depth. Based on those discussions and reviews a few modifications to the emergency rule were made. These were reviewed with the Use of University Facilities Committee in October 2006. The key modifications included: (1) establishing a standalone section in the WAC rules so that the No Smoking Policy could be easily differentiated from other Use of University Facilities issues; (2) changing the policy title from "Smoking" to "No Smoking"; (3) clarifying the requirements for

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housing; (4) eliminating certain smoking options in the stadium; and (5) clearly establishing a no smoking campus, with exceptions for spaces designated by the Director of EH&S.

The following were the key issues that emerged during deliberations on the rule:

1. *Should we simply adopt the twenty-five foot distance from all buildings?*

Based on the configuration of the Seattle campus and historical complaints received by EH&S, the twenty-five foot distance became problematic. The Health Sciences Building has long enforced a distance greater than that for its no smoking zone, as had the Bothell and Tacoma campuses, and in Seattle the twenty-five foot setback from one building would intersect with other buildings' spaces. The group concurred that it was both a statement for health promotion and a more effective implementation strategy to adopt a no smoking campus with designated spaces for smokers. This trend is slowly being seen in other institutions as well.

An additional complication for the University is that, interpreted broadly, our grounds and walkways are indeed places of employment for the grounds staff, staff from EH&S, the UWPD, Facilities Services, and other administrative units whose work takes them to outdoor areas frequently. Thus it is equally important to protect them from involuntary exposure to smoke.

2. *What about residential housing?*

The Housing and Food Services Office has implemented a no smoking policy in their residence halls for some time, although primarily for fire safety. The proposed rule reflects that restriction but continues to allow some flexibility for other housing units.

3. *How do we deal with Husky Stadium?*

As with other sports arenas, Husky Stadium allowed smoking in the concourses, but the initiative changed that ability. Here too designated smoking areas outside of the stadium have been established. Husky fans are slowly getting accustomed to this as it is consistent with what they are finding in other public arenas.

4. *How do we accommodate hospital patients?*

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This is a controversial issue for health care providers, and again we were able to designate smoking areas that addressed both patient needs and employee protection.

5. *Will the Health Department approve our designated spaces?*

Working closely with Facilities Services, EH&S established designated smoking sites on all three campuses. Special urns and signage were installed. The local health jurisdictions were requested to review and approve these sites and did so, with just a couple of changes. The currently designated sites meet both the intent of the law and provide some flexibility for employees.

6. *Why don't we simply eliminate all smoking and recognize that non-smokers are in the majority?*

While there is nothing in the initiative that provides any rights to smokers, and it is clear most complaints received by administrative units are from non-smokers impacted by side-stream smoke, the reality is that in a campus of so many thousands of faculty, staff, students, and visitors there will be smokers. Tobacco causes an extremely strong addiction, and while various free or low-cost smoking cessation programs are available to our students and employees, it takes time to successfully stop smoking.

7. *How will faculty, staff, students, and visitors know about the No Smoking Policy?*

There have been some news releases, primarily through *The Daily*, and the *Environmental Health and Safety Update* and information was shared with the University-Wide Health and Safety Committee. Information is also currently posted on the EH&S Web site. After adoption of a permanent rule, a more formal roll out of this rule is planned through the Web, campus news media, information distribution through the University Health and Safety Committee, informational brochures at entry kiosks, and additional signage.

Public hearings on the proposed amendments were held in November and December 2006. Notices for the public hearing were published in the *Washington State Register*, *The Daily*, *The Ledger*, *The UW Buzz*, and *University Week* as well

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as included in the online campus calendar of events at all three campuses. From those hearings one set of written comments was received. The comments questioned the legality of the University's policy in restricting smoking beyond the initiative's limits. A response from the Attorney General's Office was provided to the individual asserting that the University did have the legal authority to further restrict smoking on its grounds. A copy of the Hearing Officer's Report is attached which reviews these matters in greater detail.

#### ATTACHMENTS:

- (1) Hearing Officer's Report on the proposed amendments to Chapter 478-136 WAC.
- (2) The proposed amendments to Chapter 478-136 WAC, Use of University of Washington Facilities.

January 4, 2007

President Mark A. Emmert  
Office of the President  
University of Washington  
Box 351230

Dear President Emmert:

Pursuant to your delegation, I and my designee, Karin Nyrop, served as the Hearing Officers to receive public comment on the University of Washington's proposed amendments to Chapter 478-136 WAC, "Use of University of Washington Facilities," concerning the University's No Smoking Policy. Three hearings were scheduled, all commencing at 12:00 p.m., on the following days: Tuesday, November 29, 2006, in Room 005 of the UW2 Building at the UW Bothell campus; Friday, December 1, 2006, in Room 309 of the Husky Union Building (HUB) at the UW Seattle campus; and Tuesday, December 5, 2006, in the Carwein Auditorium, first floor of the Keystone Building at the UW Tacoma campus. I am pleased to provide you a report of those hearings and the written comments received.

As required by the Administrative Procedure Act, the University filed the following notices with the State of Washington Code Reviser: a Preproposal Statement of Inquiry (published as WSR 06-10-016, in the *Washington State Register* on May 17, 2006) and a notice of Proposed Rule Making (published as WSR 06-21-077, in the *Washington State Register* on November 1, 2006). Campus notices that the hearings would be held were published in *The Ledger* and in *University Week* on November 16, 2006; in the *UW Buzz* on November 20, 2006; and in *The Daily* on November 22, 2006. In addition, notices of the public hearings were included in the online events calendars for the UW Bothell, UW Seattle, and UW Tacoma campuses. The written comment period began May 17, 2006, and ended December 5, 2006.

Due to inclement weather, the UW Bothell hearing was cancelled. A notice was posted at the hearing location door requesting any interested parties to call the Rules Coordination Office or list their names and contact information on the sign-up sheet provided for possible rescheduling of the hearing at a later date. The UW Seattle and UW Tacoma hearing times and locations were posted on this notice, as well as information on submitting written (including e-mail) comments. However, no individuals signed the notice, called, or otherwise responded on that day or the days that followed.

No individuals attended the UW Tacoma hearing to provide comment on these proposed amendments, and no written comments were received.

The UW Seattle hearing was attended by one individual who provided written comments, but did not otherwise speak on the record.

**Background**

The Washington Clean Indoor Air Act (also known as I-901) went into effect December 8, 2005, prohibiting smoking in most public buildings and within 25 feet of doors, windows, and air intakes outside of public buildings. The University responded by adopting emergency rules on January 31, 2006, and re-adopting similar emergency rules on two subsequent occasions. On March 16, 2006, a Smoking Rule Revision Task Force was established by John Coulter, Executive Director of Health Sciences Administration, to be chaired by Karen VanDusen, Director of Environmental Health and Safety. This task force was charged with developing the final policy (now known as the University's No Smoking Policy) included in Chapter 478-136 WAC. As part of that policy, the Environmental Health and Safety Department and members of the task force worked with students, faculty, and staff at each of the University's three campuses to identify various designated outdoor smoking locations that met the conditions of the new law. The designated smoking areas for each campus are identified with maps and photos on the "Smoking at the UW" Web page on the Environmental Health and Safety Web site. In addition, the proposed amendments to Chapter 478-136 WAC were reviewed by the Office of the Attorney General, and endorsed by the Committee on the Use of University Facilities.

**Public Comment**

One set of written comments was received. The University staff member who commented expressed the belief that only the letter of the law should be met; i.e., smoking should not be prohibited from any outdoor location that meets the new legal restrictions of being 25 feet from doors, windows, and air intakes of public buildings. The individual also questioned various technical aspects of the University's rule making process, including whether or not the University has the authority to set more restrictive health and safety policies for its buildings and grounds than those required by state law.

**Analysis and Recommendation**

In its deliberations, the Smoking Rule Revision Task Force had contemplated both smoke-free grounds and allowing the law to stand without further limitations to outdoor smoking. However, it decided instead on the middle ground in recommending that smoking outdoors on the University campuses be limited to specific designated locations. The task force based its recommendations on the following. (1) For health reasons, nonsmokers should not be subjected to smoking on the many paths that bisect the University's campuses. (2) For fire safety reasons, smoking urns should be available where smoking is not prohibited. (3) University grounds staff should not be subject to cleaning up smoking litter scattered throughout campus grounds. (4) There is no easy way to enforce a 25-foot nonsmoking perimeter around building doors, windows, and air intakes given the complex nature of the University's buildings and grounds. This information was conveyed to the individual who had submitted comments. In addition, the Attorney General's Office responded to the individual's inquiry with the opinion that the University of Washington Board of Regents does indeed have the authority to further restrict smoking on University grounds.

Notwithstanding the concerns of the one set of comments received, I recommend that the Board of Regents adopt the proposed amendments to Chapter 478-136-WAC, "Use of University of Washington Facilities," concerning the University's No Smoking Policy.

Audio tapes of the hearings have been deposited with the Secretary of the Board of Regents.

Sincerely yours,

Carol S. Niccolls  
Special Counsel to the President

cc: Mr. John Coulter  
Ms. Rebecca Goodwin Deardorff  
Ms. Michele M. Sams  
Ms. Karen VanDusen



AMENDATORY SECTION (Amending WSR 02-06-020, filed 2/25/02, effective 3/28/02)

**WAC 478-136-012 Definitions.** (1) "Facilities" includes all structures, grounds, parking lots, waterfront, and airspace owned or operated by the University of Washington. Specific rules also apply to parking lots, bicycle and skateboard use (chapter 478-116 WAC), boat moorage facilities (chapter 478-138 WAC and *University Handbook*, Volume 4, Part VII, Chapter 3, Section 2), residence halls (chapter 478-156 WAC), airspace use (*University Handbook*, Volume 4, Part VII, Chapter 3, Section 5), nonuniversity speakers on campus (*University Handbook*, Volume 4, Part VII, Chapter 3, Section 4), (~~smoking (*University Handbook*, Volume 4, Part VII, Chapter 6),~~) and use of facilities by the Associated Students University of Washington (ASUW), Graduate and Professional Student Senate (GPSS), and other affected organizations (*University Handbook*, Volume 3, Part III, Chapter 5).

(2) "Use of facilities" includes, but is not limited to: The holding of events, the posting and removal of signs, all forms of advertising, commercial activities, and charitable solicitation.

(3) "Approved event" means a use of university facilities which has received preliminary approval from an academic or administrative unit and which has received final approval from the committee on the use of university facilities.

AMENDATORY SECTION (Amending WSR 06-13-021, filed 6/13/06, effective 7/14/06)

**WAC 478-136-030 Limitations on use.** (1) Freedom of expression is a highly valued and indispensable quality of university life. However, university facilities may not be used in ways which obstruct or disrupt university operations, the freedom of movement, or any other lawful activities. Additionally, use of university facilities may be subject to reasonable time, place and manner restrictions.

(2) University facilities may be used for events and forums regarding ballot propositions and/or candidates who have filed for public office so long as the event has received preliminary approval by an administrative or academic unit and final approval by the committee on the use of university facilities. There are, however, certain limitations on the use of university facilities for these political activities.

(a) First priority for the use of campus facilities shall be

given to regularly scheduled university activities.

(b) University facilities may be used for political purposes such as events and forums regarding ballot propositions and/or candidates who have filed for public office only when the full rental cost of the facility is paid. However, use of state funds for payment of facility rental costs is prohibited.

(c) Forums or debates may be scheduled at full facility rental rates if all parties to a ballot proposition election or all candidates who have filed for office for a given position, regardless of party affiliation, are given equal access to the use of facilities within a reasonable time.

(d) No person shall solicit contributions on university property for political uses, except in instances where this limitation conflicts with applicable federal law regarding interference with the mails.

(e) Public areas outside university buildings may be used for political purposes such as events and forums regarding ballot propositions and/or candidates who have filed for public office, excluding solicitation of funds, provided the other normal business of the university is not disrupted and entrances to and exits from buildings are not blocked.

(f) University facilities or services may not be used to establish or maintain offices or headquarters for political candidates or partisan political causes.

(3) University facilities may not be used for private or commercial purposes such as sales, advertising, or promotional activities unless such activities serve an educational purpose, as determined by the committee on the use of university facilities.

(4) Nothing in these rules is intended to alter or affect the regular advertising, promotional, or underwriting activities carried on, by, or in the regular university media or publications. Policies concerning advertising, promotional or underwriting activities included in these media or publications are under the jurisdiction of and must be approved by their respective management or, where applicable, advisory committees, in accordance with applicable state and federal laws.

(5) In accordance with WAC 478-136-010, the university will make its facilities available only for purposes related to the educational mission of the university, as determined by the committee on the use of university facilities, including but not limited to instruction, research, public assembly, and student activities. When permission is granted to use university facilities for approved instructional or related purposes, as a condition of approval, the user of university facilities agrees to include in all materials nonendorsement statements in the form approved by the committee on the use of university facilities. "Materials" includes all communications, advertisement, and any other printed, electronic, or broadcast/telecast information related to the user's activities offered in university facilities. The committee will determine the content, size of print and placement of the nonendorsement language. The university will not make its facilities available for instructional or related purposes that compete with courses or programs offered by the university.

(6) Solicitation, or distribution of handbills, pamphlets and similar materials by anyone, whether a member of the university community or of the general public, is not permitted in those areas of campus to which access by the public is restricted or where such solicitation or distribution would significantly impinge upon the primary business being conducted.

(7) Solicitation and distribution of materials in university residence halls are governed by residence hall policies. No solicitation of a commercial nature is permitted in university residence halls. Commercial advertising may be allowed, and is restricted to certain designated areas of each residence hall, when it is related to the university's mission and approved by the department of housing and food services.

(8) Electronic amplification on the grounds of the campus is prohibited with the following exceptions:

(a) The lawn area immediately west of the Husky Union Building will be available for open-air speaking events using directional and volume-controlled speech amplification equipment provided by the university. Use of the Husky Union Building lawn site will be available to registered or official student organizations and faculty or staff groups on a first-come, first-served basis. The amplification system will be issued upon presentation of a currently valid student, faculty or staff identification card at the Husky Union Building Reservation Office.

(b) The committee on the use of university facilities may grant permission, under special circumstances, for the use of other amplification equipment on the lawn site west of the Husky Union Building or in other outdoor locations. Permission should be requested through:

University of Washington  
Secretary to the Committee on the  
Use of University Facilities  
239M Gerberding Hall  
Box 351241  
Seattle, WA 98195-1241

(or phone: 206-543-9233), sufficiently in advance of the program to allow timely consideration.

(9)(a) No person may use university facilities to camp, except if permission to do so has been granted in accordance with the provisions of chapters 478-116 and 478-136 WAC or except as provided in (b) of this subsection. "Camp" means to remain overnight, to erect a tent or other shelter, or to use sleeping equipment, a vehicle, or a trailer camper, for the purpose of or in such ways as will permit remaining overnight. Violators are subject to arrest and criminal prosecution under applicable state, county and city laws.

(b) This provision does not prohibit use of the university residence facilities in accordance with chapter 478-156 WAC or the use of facilities where the employee remains overnight to fulfill the responsibilities of his or her position or where a student remains overnight to fulfill the requirements of his or her course of study.

(10) Within the limits of applicable laws, the University of Washington is committed to establishing and maintaining safe conditions for persons attending football games in Husky Stadium or other athletic events or concerts in campus facilities. Accordingly, the rules enumerated below will apply to all such events and be strictly enforced.

(a) The possession or consumption of alcoholic beverages or illegal drugs is prohibited, except for alcohol allowed under a permit or license obtained under subsection (13) of this section. In addition to having the beverages or drugs confiscated, violators may be subject to university disciplinary action and/or legal proceedings, and removal from the events.

(b) Air horns, glass bottles, cans, picnic baskets, bota bags, ice chests, and thermoses (in excess of two-quart capacity) are prohibited. Individuals possessing such will not be admitted to, or will be removed from, Husky Stadium or other athletic or concert facilities until the items have been stored temporarily at locations provided for that purpose or disposed of in some other manner.

(c) Except for designated outdoor smoking sites, as provided in WAC 478-136-035, smoking is prohibited in ((the seating areas of)) all portions of all athletic stadia, including, but not limited to, the seating areas, public concourses, and enclosed and covered spaces. ((Smoking is permitted on pedestrian concourses.))

(d) All persons entering events in Husky Stadium or other athletic venues or events in other campus auditoria or facilities shall be subject to having all containers, bags, backpacks, coolers, or similar items visually inspected. Security personnel shall first ask permission to visually inspect the item and advise the person that he/she may refuse. Persons who refuse to allow inspection shall be allowed to return the item to a vehicle or otherwise dispose of it, after which admission shall be allowed. Persons who refuse the visual inspection and refuse to dispose of the item shall be denied entry.

(11) Only public service announcements and acknowledgment of sponsors will be allowed on scoreboards at athletic venues.

(a) For purposes of this section, a public service announcement is defined as an announcement which promotes the activities or services of federal, state or local governments, including the University of Washington, or nonprofit organizations, or generally contributes to the community's welfare and interests.

(b) In acknowledgment of their sponsorship of the scoreboards or sponsorship of events and programs, sponsors may propose public service announcements for display on the scoreboard during athletic events. The public service announcement may be accompanied by a sponsor's name or logo, but in keeping with university policy may not directly promote the products or services of the company. The text and graphics of public service announcements must be submitted at least three days in advance to the department of intercollegiate athletics for approval by the university.

(c) In addition to these public service announcements, sponsors also may be acknowledged by the display of corporate logos, trademarks, or other approved messages upon panels located

on the scoreboard.

~~(12) ((The University of Washington is committed to maintaining a safe and healthful work and educational environment for all faculty, staff, students, and visitors. Accordingly, the University of Washington establishes the following smoking policy to protect nonsmokers from exposure to smoke in their university-associated environments and to protect life and property against fire hazards:~~

~~(a) Except as provided in subsections (10)(c) and (12)(b) of this section, smoking is prohibited in all university vehicles, inside all buildings owned or occupied by the university and/or used by the university's faculty, staff or students and at any outside areas or locations that may directly or indirectly affect the air supply of buildings or carry smoke into buildings.~~

~~(b) Smoking may be permitted in student rooms in university residence halls and apartments in university student housing in accordance with smoking regulations established for those facilities by the vice-president for student affairs.~~

~~(c) The director of environmental health and safety may designate specific outdoor locations as no smoking areas.~~

~~(d) Any student, staff, or faculty member who violates the university smoking policy may be subject to disciplinary action. In addition, violations of the university smoking policy may be subject to enforcement by the University of Washington police department.~~

~~(13))~~ Alcoholic beverages may be possessed, sold, served, and consumed at university facilities only if the procedures set forth in this section are followed.

(a) The appropriate permits/licenses for possession, sale, service, and consumption of alcohol must be obtained from the Washington state liquor control board.

(b) Permits/licenses must be displayed during the event and all other guidelines and restrictions established by the Washington state liquor control board must be followed.

(c) Alcoholic beverages may be possessed, sold, served, and consumed at the faculty center, as so designated by the university board of regents to the Washington state liquor control board, pursuant to a spirits, beer, and wine private club license issued by the Washington state liquor control board.

(d) Alcoholic beverages may be possessed, sold, served, and consumed at university facilities leased to a commercial tenant under a lease that includes authorization for the tenant to apply and hold a license issued by the Washington state liquor control board.

(e) Except as provided in (c) and (d) of this subsection, alcoholic beverages may be possessed, sold, served, and consumed at university facilities only under permits/licenses issued by the Washington state liquor control board and only as follows:

(i) Events at which alcohol is to be sold must be approved by the committee on the use of university facilities and an application to the committee must be accompanied by a request for written authorization under (f) of this subsection or proof that the seller holds an appropriate license; and

(ii) Events at athletic venues at which alcohol is to be possessed, sold, served, or consumed must not be within the spectator viewing areas and must have restricted attendance, and a university unit, or an individual or organization applying for a permit/license must have obtained approval under (f) of this subsection; and

(iii) A university unit, or an individual or organization applying for a permit/license must have obtained approval under (f) of this subsection; and

(iv) Sale, service, and consumption of alcohol is to be confined to specified room(s) or area(s) specified on the license or permit. Unopened containers may not be sold or served. No alcohol is permitted to be taken off-premises.

(f) Written authorization to apply for a special occasion license to sell alcoholic beverages or a banquet permit to serve and consume alcoholic beverages at university facilities must be obtained from the committee on the use of university facilities prior to applying for a special occasion license or banquet permit from the Washington state liquor control board. Authorization should be requested through the University of Washington, secretary to the committee on the use of university facilities, sufficiently in advance of the program to allow timely consideration. (Note: Some license applications must be filed with the Washington state liquor control board at least thirty days or more before the event.) Written authorization to apply for such a permit/license shall accompany the application filed with the Washington state liquor control board.

(g) Consumption, possession, dispensation, or sale of alcohol is prohibited except for persons of legal age.

#### NEW SECTION

##### **WAC 478-136-035 No smoking policy for university facilities.**

(1) The University of Washington is committed to maintaining a safe and healthful work and educational environment for all faculty, staff, students, and visitors. Accordingly, the University of Washington establishes the following no smoking policy, consistent with chapter 70.160 RCW (I-901), to protect individuals from exposure to second-hand smoke in their university-associated environments and to protect life and property against fire hazards.

(a) Except as provided in subsection (1)(b) and (c) of this section, smoking of all kinds is prohibited in all university facilities, including, but not limited to, vehicles, inside all buildings owned, occupied, or managed by the university and/or used by the university's faculty, staff, students, or visitors, and at any outside areas or locations, including, but not limited to, bus shelters, benches, and walkways.

(b) Smoking, while not permitted in on-campus residence halls, may be permitted in a limited portion of designated university

student housing in accordance with smoking regulations established for those facilities by the vice-provost for student life.

(c) Smoking may be permitted in specific designated outdoor locations approved by the director of environmental health and safety as smoking areas in accordance with chapter 70.160 RCW and published on the environmental health and safety web site. Signage also identifies the designated locations.

(2) Violations of the university no smoking policy are subject to enforcement by the University of Washington police department or other jurisdictional law enforcement agencies with regulatory responsibility. In addition, any student, staff, or faculty member who violates the university no smoking policy may be subject to disciplinary action.