

May 11, 2006

TO: Members of the Board of Regents
Ex-officio Representatives to the Board of Regents

FROM: Michele M. Sams, Secretary of the Board of Regents

RE: Schedule of Meetings

MAY 18, 2006

7:30 a.m.–9:15 a.m.	142 Gerberding Hall	FINANCE, AUDIT AND FACILITIES COMMITTEE: Regents Kiga (Chr), Brotman, Cole, Jewell, Simon, Yapp
9:20 a.m.–12:05 p.m.	142 Gerberding Hall	ACADEMIC & STUDENT AFFAIRS COMMITTEE: Regents Cole (Chr), Barer, Gates, Jewell, Proctor, Rasmussen <i>In Joint Session</i> FINANCE, AUDIT AND FACILITIES COMMITTEE: Regents Kiga (Chr), Brotman, Cole, Jewell, Simon, Yapp
12:10 p.m.–1:50 p.m.	142 Gerberding Hall	COMMITTEE OF THE WHOLE: Regents Jewell (Chair), Barer, Brotman, Cole, Gates, Kiga, Proctor, Rasmussen, Simon, Yapp
1:50 p.m.–2:50 p.m.	142 Gerberding Hall	ACADEMIC & STUDENT AFFAIRS COMMITTEE: Regents Cole (Chr), Barer, Gates, Jewell, Proctor, Rasmussen
3:00 p.m.	Petersen Room Allen Library	REGULAR MEETING OF BOARD OF REGENTS
5:30 p.m.	Hill-Crest	DINNER FOR REGENTS, SPOUSES & OTHER GUESTS

ENCLOSURES: Agendas for Committees



UNIVERSITY OF WASHINGTON
BOARD OF REGENTS

May 11, 2006

TO: Members of the Academic and Student Affairs Committee
Regents Cole (Chair), Barer, Gates, Jewell, Proctor, Rasmussen

FROM: Michele M. Sams, Secretary of the Board of Regents

RE: Meeting of Committee on 5/18/06 (1:50 p.m.-2:50 p.m., 142 Gerberding Hall)

The following topics are noted for discussion at the meeting of the committee on Thursday, *May 18, 2006*. Items requiring action by the full Board of Regents are marked "DRAFT."

1. **Academic and Administrative Appointments** **ACTION** A-1
Phyllis M. Wise, Provost and Vice President for Academic Affairs

2. **Establishment of the Doctor of Philosophy in Public Policy and Management in the Daniel J. Evans School of Public Affairs** **ACTION** A-2
Sandra O. Archibald, Dean and Professor, Daniel J. Evans School of Public Affairs

3. **Transfer of the Master of Science and Doctor of Philosophy Degrees Offered by the Department of Pathobiology to the Graduate School** **ACTION** A-3
Suzanne Ortega, Dean and Vice Provost, The Graduate School

4. **Improving the Undergraduate Experience: Update and Next Steps** **INFORMATION** A-4
Phyllis M. Wise
Ana Mari Cauce, Executive Vice Provost

5. **College and Schools Organization** **INFORMATION** A-5
Phyllis M. Wise
Thomas Daniel, Professor and Chair, Department of Biology
Kathleen Woodward, Professor of English and Director of the Simpson Center for Humanities

6. **Granting of Honorary Degree for William D. Ruckelshaus for June 2006** **ACTION** A-10
Phyllis M. Wise



UNIVERSITY OF WASHINGTON
BOARD OF REGENTS

May 11, 2006

TO: Members of the Committee of the Whole
Regents Jewell (Chair), Barer, Brotman, Cole, Gates, Kiga,
Proctor, Rasmussen, Simon, Yapp

FROM: Michele M. Sams, Secretary of the Board of Regents

RE: Meeting of Committee on 5/18/06 (12:10 p.m.–1:50 p.m., 142 Gerberding Hall)

The following topics are noted for discussion at the meeting of the committee on *Thursday, May 18, 2006*. Items requiring action by the full Board of Regents are marked "DRAFT."

- 1. Endowment Management Review** **INFORMATION** C-1
Keith Ferguson, Chief Investment Officer, Treasury Office
V'ella Warren, Treasurer, Board of Regents, Treasury Office and Vice President, Financial Management
Susan C. Ball, Senior Associate Treasurer, Treasury Office
- 2. Executive Session** (to discuss with legal counsel representing the University legal risks of a proposed action or current practice that the University has identified when public discussion of the legal risks is likely to result in an adverse legal or financial consequence to the agency)



UNIVERSITY OF WASHINGTON
BOARD OF REGENTS

May 11, 2006

TO: Members of the Academic and Student Affairs Committee
Regents Cole (Chair), Barer, Gates, Jewell, Proctor, Rasmussen

In Joint Session with

Members of the Finance, Audit and Facilities Committee
Regents Kiga (Chair), Brotman, Cole, Jewell, Simon, Yapp

FROM: Michele M. Sams, Secretary of the Board of Regents

RE: Meeting of Committee on 5/18/06 (9:20 a.m. – 12:05 p.m., 142 Gerberding Hall)

The following topics are noted for discussion at the meeting of the committee on *May 18, 2006*. Items requiring action by the full Board of Regents are marked "DRAFT."

1. **Waiver of Tuition and Fees – Revisions to WAC** **ACTION** A-6
Rebecca Goodwin Deardorff, Director, Rules
Coordination Office
2. **Draft Vision Statement and Uniquely Washington** **INFORMATION** A-7
Phyllis M. Wise, Provost and Vice President for
Academic Affairs
3. **Creating UW's Future: Meeting Grand Challenges** **INFORMATION** A-8
Mark A. Emmert, President
Phyllis M. Wise
Ana Mari Cauce, Executive Vice Provost
4. **Proposed Fiscal Year 2007 Operating and Capital Budgets** **INFORMATION** A-9
and Tuition 2006-07
Phyllis M. Wise
Harlan F. Patterson, Vice Provost for Planning and
Budgeting
Gary Quarfoth, Associate Vice Provost, Budget Office
5. **Other Business**



UNIVERSITY OF WASHINGTON
BOARD OF REGENTS

May 11, 2006

TO: Members of the Finance, Audit and Facilities Committee
Regents Kiga (Chair), Brotman, Cole, Jewell, Simon, Yapp

FROM: Michele M. Sams, Secretary of the Board of Regents

RE: Meeting of Committee on 5/18/06 (7:30 a.m.–9:15 a.m., 142 Gerberding Hall)

The following topics are noted for discussion at the meeting of the committee on *May 18, 2006*. Items requiring action by the full Board of Regents are marked "DRAFT."

1. **Grant and Contract Awards – January and February, 2006** ACTION F-2
Weldon E. Ihrig, Executive Vice President
2. **2006 Audit Plans - State Auditors, Peterson Sullivan and KPMG** INFORMATION F-3
Maureen Rhea, Director of Audits, Internal Audit
3. **Actions Taken Under Delegated Authority** INFORMATION F-4
Richard Chapman, Associate V. P. for Capital Projects
4. **UW Tacoma Assembly Hall – Establish Budget** ACTION F-11
Richard Chapman
5. **Sewage Regulator Station on Seattle Campus – Amendment of Easement Granted to King County** ACTION F-6
Weldon E. Ihrig
Jeanette L. Henderson, Director of Real Estate
6. **Report of Contributions - March, 2006** INFORMATION F-1
Walter G. Dryfoos, Associate V. P., Advancement Services, Development & Alumni Relations
Connie Kravas, Vice President for Development and Alumni Relations
7. **Metropolitan Tract: Unico Restructure – Amendment of Lease** ACTION F-8
Jeanette L. Henderson
Lisa L. Stewart, Principal, Urbis Partners, LLC
Weldon E. Ihrig
8. **Metropolitan Tract Quarterly Report** INFORMATION F-7
Jeanette L. Henderson
Lisa L. Stewart
Weldon E. Ihrig
9. **Request to Name the 815 Mercer Building “The Brotman Building” for Jeff and Susan Brotman** ACTION F-15
Weldon E. Ihrig
10. **UW Medical Center Expansion – Architect** ACTION F-9
Richard Chapman

11. **Health Sciences Center H-Wing Renovation – Contract Award** **ACTION** F-10
GC/CM Preconstruction Services
Richard Chapman
12. **Guggenheim Hall – Forecasted Cost Update** **INFORMATION** F-12
Richard Chapman
13. **Playhouse Theater Renovation – Budget Increase** **ACTION** F-13
Richard Chapman
14. **State Route 520 Replacement Project** **INFORMATION** F-14
Peter Dewey, Assistant Director of Transportation Services,
Facilities Services
Theresa Doherty, Assistant Vice President for Regional
Affairs
Weldon E. Ihrig
15. **Executive Session** (to consider the selection of a site or the
acquisition of real estate by lease or purchase when public knowledge
regarding such consideration would cause a likelihood of increased
price)
16. **Other Business**

AGENDA

BOARD OF REGENTS
University of Washington

May 18, 2006

3:00 p.m. – Petersen Room, Allen Library

- I. CALL TO ORDER** (Item No.)
- II. ROLL CALL**
- III. CONFIRM AGENDA**
- IV. REPORT OF THE CHAIR OF THE BOARD OF REGENTS: Regent Jewell**
- V. REPORT OF THE UNIVERSITY PRESIDENT: Dr. Emmert**
UW Alumni Association Annual Report
- VI. CONSENT AGENDA**
- Approval of Minutes of Meeting of March 16, 2006
- Establishment of the Doctor of Philosophy in Public Policy and Management in the Daniel J. Evans School of Public Affairs A-2
- Transfer of the Master of Science and Doctor of Philosophy Degrees Offered by the Department of Pathobiology to the Graduate School A-3
- Waiver of Tuition and Fees – Revisions to WAC A-6
- Granting of Honorary Degree for William D. Ruckelshaus for June 2006 A-10
- Grant and Contract Awards – January and February, 2006 F-2
- Sewage Regulator Station on Seattle Campus – Amendment of Easement Granted to King County F-6
- Metropolitan Tract: Unico Restructure – Amendment of Lease F-8
- UW Medical Center Expansion – Architect F-9
- Health Sciences Center H-Wing Renovation – Contract Award GC/CM Preconstruction Services F-10
- UW Tacoma Assembly Hall – Establish Budget F-11
- Playhouse Theater Renovation – Budget Increase F-13
- Request to Name the 815 Mercer Building “The Brotman Building” for Jeff and Susan Brotman F-15

VII. STANDING COMMITTEES**A. Academic and Student Affairs Committee: Regent Cole - Chair**

Academic and Administrative Appointments (ACTION)	A-1
Improving the Undergraduate Experience: Update and Next Steps (Information only)	A-4
College and Schools Organization (Information only)	A-5

Joint Session**A. Academic and Student Affairs Committee: Regent Cole – Chair****B. Finance and Audit Committee: Regent Kiga - Chair**

Draft Vision Statement and Uniquely Washington (Information only)	A-7
Creating UW's Future and Meeting Grand Challenges (Information only)	A-8
Proposed Fiscal Year 2007 Operating and Capital Budgets and Tuition 2006-07 (Information only)	A-9

B. Finance, Audit and Facilities Committee: Regent Kiga - Chair

Report of Contributions – March, 2006 (Information only)	F-1
2006 Audit Plans - KPMG, State Auditors and Peterson Sullivan (Information only)	F-3
Actions Taken Under Delegated Authority (Information only)	F-4
Metropolitan Tract Quarterly Report (Information only)	F-7
Guggenheim Hall – Forecasted Cost Update (Information only)	F-12
State Route 520 Replacement Project (Information only)	F-14

C. Committee of the Whole: Regent Jewell - Chair

Endowment Management Review (Information only)	C-1
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MINUTES

BOARD OF REGENTS University of Washington

May 18, 2006

The Board of Regents held its regular meeting on Thursday, May 18, 2006, beginning at 3:00 p.m. in the Petersen Room in the Allen Library. The notice of the meeting was appropriately provided to the public and the press.

CALL TO ORDER

ROLL CALL

Assistant Secretary Keith called the roll: Present were Regents Jewell (presiding), Barer, Brotman, Cole, Gates, Kiga, Proctor, Rasmussen, Simon, Yapp; Dr. Emmert, Dr. Wise, Ms. Warren, Ms. Sams; ex-officio representatives: Mr. Lee Dunbar, Mr. Adam Grupp, Mr. Chuck Blumenfeld, Professor Ashley F. Emery.

CONFIRM AGENDA

The agenda was confirmed as presented.

REPORT OF THE CHAIR OF THE BOARD OF REGENTS: Regent Jewell

REPORT OF THE UNIVERSITY PRESIDENT: Dr. Emmert

President Emmert announced the University received notice that three University of Washington students were awarded Goldwater Scholarships and one student received a Goldwater Honorable Mention. Dr. Emmert indicated only four other institutions had multiple award winners for Rhodes, Marshall, Goldwater and Gates Cambridge Scholarships, and they are Princeton, Yale, Stanford, and the University of Pennsylvania.

Dr. Emmert introduced Ms. Leslie Everett, a senior majoring in biochemistry, as a winner of a Gates Cambridge Scholarship. Ms. Everett shared with the Board her experiences at the University. She personally thanked Regent William H. Gates and the Bill and Melinda Gates Foundation. She said that much of her education was influenced by the Gateses. It began while attending high school in Port Angeles (her school received a Gates Foundation Restructuring Grant), and continued at the University of Washington where she received a Mary Gates Research Scholarship; now, she has won a Gates Cambridge Scholarship. Ms. Everett plans to pursue education in public health genetics and gene therapy while in the United Kingdom.

Dr. Emmert introduced Dr. Shelia Edwards Lange, Interim Vice President for Minority Affairs and Vice Provost for Diversity. He also announced that effective July 1,

Professor Ronald S. Irving, will assume the role of Interim Dean of the College of Arts and Sciences.

President Emmert introduced Mr. Chuck Blumenfeld, President of the University of Washington Alumni Association, Mr. John Buller, Associate Vice President and Executive Director of the Alumni Association and Mr. Gregg Blodgett, President-Elect. Mr. Blumenfeld briefed the Board on activities over the past year, noting in particular the broad range of new programs and activities geared toward or aimed at achieving the Association's mission of connecting, celebrating and reaching alumni and friends of the University. He said the Association is particularly proud of its efforts in partnering with academic units, increasing scholarship support, and its sweeping efforts to connect with alumni of color. Mr. Buller also spoke and acknowledged the excellent support of the 38 professional staff members at the Alumni Association.

CONSENT AGENDA

Regent Jewell noted there were 13 items for approval on the consent agenda. She said an item had been added earlier in the day to the Consent Agenda, the Granting of Honorary Degree of Laws for William D. Ruckelshaus for June 2006 (Agenda no. A-10). Mr. Ruckelshaus will deliver the 2006 Commencement address.

MOTION: Upon the recommendation of the Chair of the Board and the motion made by Regent Proctor, seconded by Regent Brotman, the Board voted to approve the 13 items on the consent agenda as shown below:

Minutes for the meeting of March 16, 2006

Establishment of the Doctor of Philosophy in Public Policy and Management in the Daniel J. Evans School of Public Affairs (Agenda no. A-2)

It was the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents grant authority to the Daniel J. Evans School of Public Affairs to offer the Doctor of Philosophy (PhD) in Public Policy and Management, effective immediately. The Doctor of Philosophy degree program will have provisional status with a review to be scheduled by the Graduate School in the 2010-2011 academic year. At such time that the Board of Regents grants continuing status, a ten-year review cycle would begin.

See Attachment A-2.

Transfer of the Master of Science and Doctor of Philosophy Degrees offered by the Department of Pathobiology to the Graduate School (Agenda no. A-3)

It was the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents grant authority to transfer the Master of Science (MS) and Doctor of Philosophy (PhD) degrees from the Department of Pathobiology, located in the School of Public Health and Community (SPHCM) Medicine, to the

Interdisciplinary Pathobiology Group in the Graduate School, effective immediately. The MS and PhD degree programs will have provisional status with a review to be scheduled by the Graduate School in the 2010-2011 academic year. At such time that the Board of Regents grants continuing status, a ten-year review cycle would begin.

See Attachment A-3.

Waiver of Tuition and Fees – Revisions to WAC (Agenda no. A-6)

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the Board of Regents approve the attached changes to WAC 478-160-163 (Waivers of Tuition and Fees). These changes to WAC 478-160-163 are necessary to implement the previous action taken by the Board of Regents in October 2005 which revised the provisions of tuition waivers for veterans and members of the Washington National Guard making these provisions consistent with the changes in state law that were implemented with the passage of Substitute House Bill (SHB) 1174.

See Attachment A-6.

Granting of Honorary Degree of Laws for William D. Ruckelshaus for June 2006
(Agenda no. A-10)

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents approve the granting of an Honorary Doctor of Laws degree to William D. Ruckelshaus, at the annual commencement ceremony on June 10, 2006.

See Attachment A-10.

Grant and Contract Awards – January and February, 2006 (Agenda no. F-2)

It was the recommendation of the administration and the Finance, Audit and Facilities Committee that the Board of Regents accept Grant and Contract Awards for the months of January and February 2006, in the total amount of \$92,420,398.

See Attachment F-2.

Sewage Regulator Station on Seattle Campus - Amendment of Easement Granted to King County (Agenda no. F-6)

It was the recommendation of the Finance, Audit and Facilities Committee that the Board of Regents authorize the President or his designee to execute an amended easement to King County for its sewage regulator station located near the Triangle Garage, and all related documents to carry out the purpose of the amended easement.

See Attachment F-6.

Metropolitan Tract: Unico Restructure – Amendment of Lease (Agenda no. F-8)

It was the recommendation of the Finance, Audit and Facilities Committee that the Board of Regents delegates authority to the President or his designee to execute an amendment to the Unico Lease and related documents, and an amendment to the Cobb Building lease and related documents, which provides the University's consent to Unico's proposed corporate restructure, on the condition that there is no material adverse impact to the University.

See Attachment F-8.

UW Medical Center Expansion - Architect (Agenda no. F-9)

It was the recommendation of the administration and the Finance, Audit and Facilities Committee that the President be delegated authority to award a design contract for the UWMC Expansion project Phase I and II to Anshen+Allen subject to the successful negotiation of an Architectural Agreement.

See Attachment F-9.

H-Wing Renovation - Budget Increase and GC/CM Contract Award (Agenda no. F-10)

It was the recommendation of the administration and the Finance, Audit and Facilities Committee that the President be delegated authority to award the GC/CM preconstruction services contract for the HSC H-Wing Renovation project.

See Attachment F-10.

UW Tacoma Assembly Hall - Establish Budget (Agenda no. F-11)

It was the recommendation of the administration and the Finance, Audit and Facilities Committee that the President be delegated authority to establish the UW Tacoma Assembly Hall project budget at \$12,070,000.

See Attachment F-11.

Playhouse Theater Renovation – Budget Increase (Agenda no. F-13)

It was the recommendation of the administration and the Finance, Audit and Facilities Committee that the President be delegated authority to increase the total project budget for the Playhouse Theater Renovation Project from \$7,150,000 to \$9,886,000.

See Attachment F-13.

Request to Name the 815 Mercer Building “The Brotman Building” for Jeff and Susan Brotman (Agenda no. F-15)

It was the recommendation of Paul G. Ramsey, Vice President for Medical Affairs and Dean of the School of Medicine, University Names Committee, and Mark A. Emmert, President of the University of Washington, that the 815 Mercer Building be named “The Brotman Building”.

See Attachment F-15.

STANDING COMMITTEES

A. ACADEMIC AND STUDENT AFFAIRS COMMITTEE: Regent Cole, Chair

Academic and Administrative Appointments (Agenda no. A-1)

At the request of Regent Cole, Provost Wise highlighted appointments where an administrator, professorship, dean or chair was included.

MOTION: Upon the recommendation of the administration and the motion made by Regent Cole, seconded by Regent Proctor, the Board voted to approve the personnel appointments. Regent Rasmussen abstained from the discussion and vote.

Improving the Undergraduate Experience: Update and Next Steps (Agenda no. A-4) (Information only)

College and Schools Organization (Agenda no. A-5) (Information only)

Regent Cole reported the committee had abbreviated reports on Improving the Undergraduate Experience and the examination of College and Schools Organization. He noted the Committee is looking forward to hearing more on both endeavors.

A. ACADEMIC AND STUDENT AFFAIRS COMMITTEE: Regent Cole, Chair

In Joint Session with

B. FINANCE, AUDIT AND FACILITIES COMMITTEE: Regent Kiga, Chair

Draft Vision and Uniquely Washington Statements (Agenda no. A-7) (Information only)

Creating UW’s Future and Meeting Grand Challenges (Agenda no. A-8) (Information only)

Proposed Fiscal Year 2007 Operating and Capital Budgets and Tuition 2006-07 (Agenda no. A-9) (Information only)

Regent Kiga reported the Committee discussed the Draft Vision and Uniquely Washington Statements. The proposed 2006–07 budget was also discussed. This budget seeks to integrate the vision and the five goals articulated in the Uniquely Washington Statement. With respect to the proposed fiscal 2006–07 budget, the University is looking at \$2.8 billion for 2007, with an approximate 6.8% to 10% increase in tuition and fees for 2007. Tuition policy was the focus of much of the discussion. The Regents requested more information on the rationale for setting tuition and tuition increases, as well as tuition comparisons with peer institutions.

B. FINANCE, AUDIT AND FACILITIES COMMITTEE: Regent Kiga, Chair

Report of Contributions - March, 2006 (Agenda no. F–1) (Information only)

Regent Kiga pointed out contributions year to date are \$1,705,765,493.

2006 Audit Plans - KPMG, State Auditors and Peterson Sullivan (Agenda no. F–3) (Information only)

Actions Taken Under Delegated Authority (Agenda no. F–4) (Information only)

Metropolitan Tract Quarterly Report (Agenda no. F–7) (Information only)

Guggenheim Hall - Forecasted Cost Update (Agenda no. F–12) (Information only)

State Route 520 Replacement Project (Agenda no. F–14) (Information only)

Regent Kiga reported the committee had a lengthy discussion of the State Route (SR) 520 project. He said at a minimum there needs to be an understanding of the rationale for many of the options being offered in order to find the best solution for replacing SR 520.

C. COMMITTEE OF THE WHOLE: Regent Jewell, Chair

Endowment Management Review (Agenda no. C–1) (Information only)

Regent Jewell noted there was a report on the Consolidated Endowment Fund by Mr. Keith Ferguson, Chief Investment Officer and Ms. V’Ella Warren, Treasurer of the Board, updating the Board on the \$1.7 billion in the University endowment fund, how it is managed for long-term asset preservation and for funding the programs for which it was intended by the donors. Ms. Jewell complimented the Treasury staff on the superb performance of the fund in the last year.

Regent Jewell reported there was an Executive Session focusing on the *Storti v. University of Washington* litigation regarding faculty salaries. Regent Jewell asked Mr. Jack Johnson, Senior Attorney General, to give the Board an update on the process of settling that case and carrying out the terms of the settlement. In March, the Board approved a tentative settlement of the case after which the trial court gave preliminary approval to the settlement. On Friday, May 12, after notice to the class members, the

superior court approved the settlement as passed by the Board without changes. Now the matter moves out of the court system and implementation of the terms of the agreement begins.

Regent Jewell thanked Mr. Johnson and, on behalf of the Regents, stated that the University of Washington has had many challenges with state resources over the years. As the Board of Regents considers faculty salaries increases in the current budget cycle, the *Storti* case demonstrates that the Regents need to clarify that the University of Washington Board of Regents retains central control of the budget decisions and the salary policies for the University. Ms. Jewell indicated that the Board anticipates clarifying policies in conjunction with the budget at the meeting of the Board on Thursday, June 8, 2006.

REPORTS FROM EX OFFICIO REPRESENTATIVES TO THE BOARD OF REGENTS

ASUW President: Mr. Lee M. Dunbar

Mr. Dunbar thanked the UW Alumni Association for everything it does for students. He reported the ASUW was recently recognized as a centennial corporation. He announced the ASUW elections had been held and Mr. Cullen White was elected as his successor. Mr. Dunbar thanked the Regents for the good conversation about tuition which occurred earlier in the day in the Academic and Student Affairs Committee.

Regent Proctor asked that the minutes reflect the Board's congratulations to the Associated Students of the University of Washington on its centennial.

GPSS President: Mr. Adam Grupp

Mr. Grupp invited Dr. Kate Quinn, a graduate student who recently successfully defended her dissertation, to address the Board. Ms. Quinn presented results of a survey of UW graduate and professional students that focused on work/life balance. Of note was a survey question about how well graduate and professional students felt University of Washington programs are preparing them for their chosen careers. A considerable majority agreed they are confident. Mr. Grupp announced the GPSS elections had been held and its new President-elect is Ms. Kimberly Friese, a graduate student in public health genetics.

Alumni Association President: Mr. Chuck Blumenfeld

Mr. Blumenfeld brought to the Regents' attention a complimentary Alumni Association luggage tag that was at each of their places. He remarked that President Emmert's address at the "Celebration of the Arts" luncheon on May 16, 2006, was outstanding. Mr. Blumenfeld said he received many positive comments from alumni and friends of the University about how proud they are of the President.

Faculty Senate Chair: Professor Ashley F. Emery

Professor Emery reminded the Board of special committees and actions the Faculty Senate has undertaken this year, including the Special Committee on the Undergraduate Experience headed by chair-elect Gail Stygall.

He noted there are some areas of the Faculty Code that lack clarity, and there are some areas that are simply not covered. He said that he plans to instruct the Faculty Council on Faculty Affairs to study the Faculty Code and to ensure ambiguities are eliminated.

DATE FOR NEXT MEETING

The next regular meeting of the Board of Regents will be held on Thursday, June 8, 2006, on campus.

EXECUTIVE SESSION

ADJOURNMENT

The regular meeting was adjourned at 4:10 p.m.



Michele M. Sams
Secretary of the Board of Regents

VIII. OTHER BUSINESS

Reports from ex-officio representatives to the Board:

ASUW President – Mr. Lee M. Dunbar

GPSS President – Mr. Adam Grupp

Alumni Association President – Mr. Chuck Blumenfeld

Faculty Senate Chair – Professor Ashley F. Emery

IX. DATE FOR NEXT REGULAR MEETING: June 8, 2006

X. EXECUTIVE SESSION

XI. ADJOURNMENT

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Academic and Administrative Appointments

RECOMMENDED ACTION:

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents approve the appointments to the University faculty and administration as presented on the attached list.

Attachment: Personnel Recommendations

ADMINISTRATION

ADMINISTRATIVE APPOINTMENTS

LANGE EDWARDS, SHEILA

(BA, 1999, UNIVERSITY OF CALIFORNIA (IRVINE); MA, 2000, UNIVERSITY OF WASHINGTON, PHD, 2006, UNIVERSITY OF WASHINGTON) TO BE INTERIM VICE PRESIDENT OF MINORITY AFFAIRS AND VICE PROVOST FOR DIVERSITY, EFFECTIVE MAY 16, 2006.

COLLEGE OF ARTS AND SCIENCES

DEPARTMENT OF ASTRONOMY

ADMINISTRATIVE APPOINTMENTS

HAWLEY, SUZANNE LOUISE

(BS, 1981, HARVEY MUDD COLLEGE; MA, 1984, UNIVERSITY OF TEXAS (AUSTIN); PHD, 1989, UNIVERSITY OF TEXAS (AUSTIN)) TO BE CHAIR OF ASTRONOMY, EFFECTIVE 7/1/2006. (DR. HAWLEY WILL CONTINUE AS PROFESSOR OF ASTRONOMY AND ADJUNCT PROFESSOR OF PHYSICS.)

DEPARTMENT OF ECONOMICS

NEW APPOINTMENTS

CLEMENS, CHRISTIANE

(BA, 1989, UNIVERSITY OF HANNOVER (GERMANY); MA, 1992, UNIVERSITY OF HANNOVER (GERMANY); PHD, 1998, UNIVERSITY OF HANNOVER (GERMANY)) TO BE VISITING ASSOCIATE PROFESSOR, PART-TIME, OF ECONOMICS AT A SALARY RATE OF \$7,435 OVER THREE MONTHS, EFFECTIVE 3/16/2006. (DR. CLEMENS IS AN ASSOCIATE PROFESSOR OF ECONOMICS AT THE UNIVERSITY OF HANNOVER, GERMANY.)

MONTEIRO, GONCALO S.G.

(BSC, 1997, UNIVERSITY OF LISBON (PORTUGAL); PHD, 2004, UNIVERSITY OF WASHINGTON) TO BE VISITING ASSISTANT PROFESSOR, PART-TIME, OF ECONOMICS AT A SALARY RATE OF \$13,662 OVER SIX MONTHS, EFFECTIVE 3/16/2006. (DR. MONTEIRO IS A LECTURER IN ECONOMICS AT THE UNIVERSITY OF YORK, UK.)

DEPARTMENT OF PHYSICS

NEW APPOINTMENTS

SCHLAMMINGER, STEPHEN

(DIPLOMA, 1998, UNIVERSITY OF REGENSBURG (GERMANY); PHD, 2002, UNIVERSITY OF ZURICH (SWITZERLAND)) TO BE RESEARCH ASSISTANT PROFESSOR OF PHYSICS AT A SALARY RATE OF \$55,200 OVER TWELVE MONTHS, EFFECTIVE 7/1/2006. (DR. SCHLAMMINGER IS CURRENTLY A RESEARCH ASSOCIATE IN THE SAME DEPARTMENT.)

DEPARTMENT OF PSYCHOLOGY

NEW APPOINTMENTS

KAISER, CHERYL ROBIN

(BA, 1996, STATE UNIVERSITY OF NEW YORK (ALBANY); MA, 1999, UNIVERSITY OF VERMONT; PHD, 2001, UNIVERSITY OF VERMONT) TO BE ASSISTANT PROFESSOR OF PSYCHOLOGY AT A SALARY RATE OF \$70,002 OVER NINE MONTHS, EFFECTIVE 9/16/2006. (DR. KAISER IS CURRENTLY AN ASSISTANT PROFESSOR OF PSYCHOLOGY AT MICHIGAN STATE UNIVERSITY.)

DEPARTMENT OF SCANDINAVIAN STUDIES

NEW APPOINTMENTS

SMIDCHENS, GUNTIS

(BA, 1985, NORTHWESTERN UNIVERSITY; MA, 1988, INDIANA UNIVERSITY; MA, 1990, INDIANA UNIVERSITY; PHD, 1996, INDIANA UNIVERSITY) TO BE ASSISTANT PROFESSOR OF SCANDINAVIAN STUDIES AT A SALARY RATE OF \$50,004 OVER NINE MONTHS, EFFECTIVE 9/16/2006. (DR. SMIDCHENS IS CURRENTLY A SENIOR LECTURER IN THE SAME DEPARTMENT.)

DEPARTMENT OF SPEECH AND HEARING SCIENCES

NEW APPOINTMENTS

BETZ, STACY

(BS, 1996, MASSACHUSETTS INSTITUTE OF TECHNOLOGY; BS, 1998, UNIVERSITY OF WASHINGTON; MS, 2000, UNIVERSITY OF WASHINGTON; PHD, 2005, UNIVERSITY OF KANSAS) TO BE ASSISTANT PROFESSOR OF SPEECH AND HEARING SCIENCES AT A SALARY RATE OF \$67,005 OVER NINE MONTHS, EFFECTIVE 9/16/2006. (DR. BETZ IS CURRENTLY AN ASSISTANT PROFESSOR OF COMMUNICATION SCIENCES AND DISORDERS AT PENNSYLVANIA STATE UNIVERSITY.)

SCHOOL OF ART

ENDOWED APPOINTMENTS

WRIGHT, ROBIN KATHLEEN

(BA, 1971, UNIVERSITY OF WASHINGTON; MA, 1977, UNIVERSITY OF WASHINGTON; PHD, 1985, UNIVERSITY OF WASHINGTON) TO BE HOLDER OF THE BILL HOLM CENTER ENDOWED PROFESSORSHIP OVER NINE MONTHS, EFFECTIVE 5/1/2006. (DR. WRIGHT WILL CONTINUE AS PROFESSOR OF ART, ADJUNCT PROFESSOR OF AMERICAN INDIAN STUDIES AND CURATOR OF THE BURKE MUSEUM.)

NEW APPOINTMENTS

AHN, SANG-GYEUN

(BFA, 1996, KOOKMIN UNIVERSITY (KOREA); MA, 2000, PURDUE UNIVERSITY; MFA, 2002, UNIVERSITY OF ILLINOIS) TO BE ASSISTANT PROFESSOR OF ART AT A SALARY RATE OF \$60,003 OVER NINE MONTHS, EFFECTIVE 9/16/2006. (MR. AHN IS CURRENTLY AN ASSISTANT PROFESSOR OF INDUSTRIAL DESIGN AT AUBURN UNIVERSITY.)

COLLEGE OF EDUCATION

EDUCATION

NEW APPOINTMENTS

HONIG, MEREDITH IVY

(BA, 1993, BROWN UNIVERSITY; PHD, 2001, STANFORD UNIVERSITY) TO BE ASSISTANT PROFESSOR OF EDUCATION AT A SALARY RATE OF \$63,000 OVER NINE MONTHS, EFFECTIVE 9/16/2006. (PRIOR TO THIS APPOINTMENT, DR. HONIG WAS ASSISTANT PROFESSOR & CO-DIRECTOR OF THE CENTER FOR EDUCATION POLICY AND LEADERSHIP AT THE UNIVERSITY OF MARYLAND, COLLEGE PARK.)

HUDSON, ROXANNE FRANCES

(BA, 1989, GONZAGA UNIVERSITY; MEd, 1994, WESTERN WASHINGTON UNIVERSITY; PHD, 2002, UNIVERSITY OF FLORIDA) TO BE ASSISTANT PROFESSOR OF EDUCATION AT A SALARY RATE OF \$63,000 OVER NINE MONTHS, EFFECTIVE 9/16/2006. (PRIOR TO THIS APPOINTMENT, PROFESSOR HUDSON WAS A RESEARCH FACULTY MEMBER AT THE FLORIDA CENTER FOR READING RESEARCH.)

JEGATHEESAN, BRINDA INDIA

(BA, 1985, BANGALORE MEDICAL COLLEGE (INDIA); MEd, 2000, UNIVERSITY OF HAWAII; PHD, 2005, UNIVERSITY OF ILLINOIS) TO BE ASSISTANT PROFESSOR OF EDUCATION AT A SALARY RATE OF \$63,000 OVER NINE MONTHS, EFFECTIVE 9/16/2006. (PRIOR TO THIS APPOINTMENT, DR. JEGATHEESAN WAS A VISITING RESEARCH SPECIALIST AT THE UNIVERSITY OF ILLINOIS, URBANA-CHAMPAIGN.)

MCDONALD, MORVA ANN

(BA, 1989, TUFTS UNIVERSITY; MA, 1999, STANFORD UNIVERSITY; PHD, 2003, STANFORD UNIVERSITY) TO BE ASSISTANT PROFESSOR OF EDUCATION AT A SALARY RATE OF \$63,000 OVER NINE MONTHS, EFFECTIVE 9/16/2006. (PRIOR TO THIS APPOINTMENT, DR. MCDONALD WAS AN ASSISTANT PROFESSOR OF EDUCATION AND THE UNIVERSITY OF MARYLAND, COLLEGE PARK.)

COLLEGE OF ENGINEERING

DEPARTMENT OF BIOENGINEERING

ADMINISTRATIVE APPOINTMENTS

O'DONNELL, MATTHEW

(BS, 1972, UNIVERSITY OF NOTRE DAME; PHD, 1976, UNIVERSITY OF NOTRE DAME) TO BE DEAN OF THE COLLEGE OF ENGINEERING, HOLDER OF THE FRANK AND JULIE JUNGERS ENDOWED DEANSHIP, PROFESSOR OF BIOENGINEERING AND ADJUNCT PROFESSOR OF MECHANICAL ENGINEERING OVER TWELVE MONTHS, EFFECTIVE 9/1/2006. (PRIOR TO THIS APPOINTMENT, DR. O'DONNELL WAS PROFESSOR AND CHAIR OF BIOMEDICAL ENGINEERING AND HOLDER OF THE JERRY W. AND CAROL L. LEVIN PROFESSORSHIP IN ENGINEERING AT THE UNIVERSITY OF MICHIGAN.)

DEPARTMENT OF CIVIL AND ENVIRONMENTAL ENGINEERING

NEW APPOINTMENTS

FABBRICINO, MASSIMILIANO

(MS, 1996, UNIVERSITY OF NAPLES (ITALY); PHD, 1995, POLYTECHNIC OF MILAN (ITALY)) TO BE VISITING ASSISTANT PROFESSOR OF CIVIL AND ENVIRONMENTAL ENGINEERING AT A SALARY RATE OF \$16,000 OVER FOUR MONTHS, EFFECTIVE 4/1/2006. (DR. FABBRICINO IS AN ASSISTANT PROFESSOR OF HYDRAULIC AND ENVIRONMENTAL ENGINEERING AT THE UNIVERSITY OF NAPLES, ITALY.)

LUNDQUIST, JESSICA

(BS, 1999, UNIVERSITY OF CALIFORNIA (DAVIS); MS, 2000, UNIVERSITY OF CALIFORNIA (SAN DIEGO); PHD, 2004, UNIVERSITY OF CALIFORNIA (SAN DIEGO)) TO BE ASSISTANT PROFESSOR OF CIVIL AND ENVIRONMENTAL ENGINEERING AT A SALARY RATE OF \$67,500 OVER NINE MONTHS, EFFECTIVE 9/16/2006. (DR. LUNDQUIST IS CURRENTLY A POSTDOCTORAL FELLOW AT THE UNIVERSITY OF COLORADO, BOULDER.)

DEPARTMENT OF COMPUTER SCIENCE AND ENGINEERING

ADMINISTRATIVE APPOINTMENTS

LEVY, HENRY

(BS, 1974, CARNEGIE MELLON UNIVERSITY; MS, 1981, UNIVERSITY OF WASHINGTON) TO BE CHAIR OF COMPUTER SCIENCE AND ENGINEERING, EFFECTIVE 4/1/2006. (DR. LEVY WILL CONTINUE AS PROFESSOR OF COMPUTER SCIENCE AND ENGINEERING.)

DEPARTMENT OF ELECTRICAL ENGINEERING

NEW APPOINTMENTS

AMMER, JOSEPHINE

(BS, 1997, MASSACHUSETTS INSTITUTE OF TECHNOLOGY; MEng, 1999, MASSACHUSETTS INSTITUTE OF TECHNOLOGY; PHD, 2004, UNIVERSITY OF CALIFORNIA (BERKELEY)) TO BE ASSISTANT PROFESSOR OF ELECTRICAL ENGINEERING AT A SALARY RATE OF \$80,001 OVER NINE MONTHS, EFFECTIVE 3/16/2006. (PRIOR TO THIS APPOINTMENT DR. AMMER WAS A POSTDOCTORAL RESEARCHER AT THE UNIVERSITY OF CALIFORNIA, BERKELEY.)

DEPARTMENT OF TECHNICAL COMMUNICATION

NEW APPOINTMENTS

ZACHRY, MARK

(BA, 1989, GRAND CANYON UNIVERSITY; MA, 1992, ARIZONA STATE UNIVERSITY; PHD, 1998, IOWA STATE UNIVERSITY) TO BE ASSOCIATE PROFESSOR OF TECHNICAL COMMUNICATION AT A SALARY RATE OF \$69,300 OVER NINE MONTHS, EFFECTIVE 9/16/2006. (DR. ZACHRY IS CURRENTLY AN ASSOCIATE PROFESSOR AT UTAH STATE UNIVERSITY.)

COLLEGE OF OCEAN AND FISHERY SCIENCES

SCHOOL OF OCEANOGRAPHY

ENDOWED APPOINTMENTS

DELANEY, JOHN RUTLEDGE

(BA, 1964, LEHIGH UNIVERSITY; MA, 1967, UNIVERSITY OF VIRGINIA; PHD, 1977, UNIVERSITY OF ARIZONA) TO BE HOLDER OF THE JEROME M. PAROS ENDOWED CHAIR IN SENSOR NETWORKS OVER NINE MONTHS, EFFECTIVE 4/1/2006. (PROFESSOR DELANEY WILL CONTINUE AS PROFESSOR OF OCEANOGRAPHY AND ADJUNCT PROFESSOR OF MARINE AFFAIRS AND OF EARTH AND SPACE SCIENCES.)

SCHOOL OF AQUATIC AND FISHERY SCIENCES

NEW APPOINTMENTS

OLDEN, JULIAN DAVID

(BS, 1998, UNIVERSITY OF TORONTO (CANADA); MS, 2000, UNIVERSITY OF TORONTO (CANADA); PHD, 2004, COLORADO STATE UNIVERSITY) TO BE ASSISTANT PROFESSOR OF AQUATIC AND FISHERY SCIENCES AT A SALARY RATE OF \$57,600 OVER NINE MONTHS, EFFECTIVE 9/16/2006. (PRIOR TO THIS APPOINTMENT, DR. OLDEN WAS A DAVID H. SMITH CONSERVATION FELLOW AT THE UNIVERSITY OF WISCONSIN, MADISON.)

INFORMATION SCHOOL

INFORMATION SCHOOL

NEW APPOINTMENTS

MCKECHNIE, EVELYN

(BA, 1972, UNIVERSITY OF MANITOBA (CANADA); MLIS, 1979, UNIVERSITY OF TORONTO (CANADA); PHD, 1996, UNIVERSITY OF WESTERN ONTARIO (CANADA)) TO BE VISITING ASSOCIATE PROFESSOR OF INFORMATION WITHOUT SALARY FROM THE UNIVERSITY, EFFECTIVE 4/1/2006. (PROFESSOR MCKECHNIE IS VISITING FROM THE UNIVERSITY OF WESTERN ONTARIO, CANADA.)

SCHOOL OF LAW

LAW

NEW APPOINTMENTS

KANG, JONATHAN

(BA, 1995, OXFORD UNIVERSITY (UK); JD, 1998, HARVARD UNIVERSITY) TO BE ASSISTANT PROFESSOR OF LAW AT A SALARY RATE OF \$86,850 OVER NINE MONTHS, EFFECTIVE 9/16/2006. (MR. KANG IS CURRENTLY A VISITING ASSISTANT PROFESSOR OF LAW AT FORDHAM UNIVERSITY.)

KANG'ARA, SYLVIA

(LLB, 1996, UNIVERSITY OF NAIROBI (KENYA); LLM, 1998, HARVARD UNIVERSITY; SJD, 2003, HARVARD UNIVERSITY) TO BE ASSISTANT PROFESSOR OF LAW AT A SALARY RATE OF \$86,850 OVER NINE MONTHS, EFFECTIVE 9/16/2006. (DR. KANG'ARA IS CURRENTLY A VISITING ASSISTANT PROFESSOR OF LAW AT OKLAHOMA CITY UNIVERSITY.)

DANIEL J. EVANS SCHOOL OF PUBLIC AFFAIRS

EVANS SCHOOL OF PUBLIC AFFAIRS

NEW APPOINTMENTS

THOMAS, CRAIG WARREN

(BA, 1983, UNIVERSITY OF WASHINGTON; MPP, 1988, UNIVERSITY OF CALIFORNIA (BERKELEY); PHD, 1997, UNIVERSITY OF CALIFORNIA (BERKELEY)) TO BE ASSOCIATE PROFESSOR OF PUBLIC AFFAIRS AT A SALARY RATE OF \$90,000 OVER NINE MONTHS, EFFECTIVE 6/16/2006. (PRIOR TO THIS APPOINTMENT, DR. THOMAS WAS AN ASSOCIATE PROFESSOR OF POLITICAL SCIENCE AT THE UNIVERSITY OF MASSACHUSETTS, AMHERST.)

SCHOOL OF DENTISTRY

DEPARTMENT OF ORAL MEDICINE

NEW APPOINTMENTS

DRANGSHOLT, MARK THOMAS

(BA, 1981, UNIVERSITY OF WASHINGTON; DDS, 1984, UNIVERSITY OF WASHINGTON; MPH, 1992, UNIVERSITY OF WASHINGTON; PHD, 2004, UNIVERSITY OF WASHINGTON) TO BE ASSISTANT PROFESSOR OF ORAL MEDICINE AND ASSISTANT PROFESSOR OF DENTAL PUBLIC HEALTH SCIENCES AT A SALARY RATE OF \$125,004 OVER TWELVE MONTHS, EFFECTIVE 3/1/2006. (PRIOR TO THIS APPOINTMENT, DR. DRANGSHOLT WAS AN ACTING ASSISTANT PROFESSOR TEMPORARY IN THE SAME DEPARTMENTS.)

DEPARTMENT OF ORTHODONTICS

NEW APPOINTMENTS

RAFFERTY, KATHERINE LOUISA

(BA, 1986, INDIANA UNIVERSITY; MA, 1990, NEW YORK UNIVERSITY; PHD, 1996, JOHNS HOPKINS UNIVERSITY) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF ORTHODONTICS AT A SALARY RATE OF \$69,996 OVER TWELVE MONTHS, EFFECTIVE 4/1/2006. (PRIOR TO THIS APPOINTMENT, DR. RAFFERTY WAS AN ACTING INSTRUCTOR IN THE SAME DEPARTMENT.)

SCHOOL OF MEDICINE

DEPARTMENT OF MICROBIOLOGY

NEW APPOINTMENTS

KALYUZHNAJA, MARINA GEORGIEVNA

(MS, 1994, DNEPROPETROVSK STATE UNIV (UKRAINE); PHD, 2000, RUSSIAN ACADEMY OF SCIENCES (RUSSIA)) TO BE RESEARCH ASSISTANT PROFESSOR OF MICROBIOLOGY AT A SALARY RATE OF \$50,112 OVER TWELVE MONTHS, EFFECTIVE 4/16/2006. (PRIOR TO THIS APPOINTMENT, DR. KALYUZHNAJA WAS A RESEARCH ASSOCIATE IN CHEMICAL ENGINEERING.)

DEPARTMENT OF PATHOLOGY

NEW APPOINTMENTS

KAEBERLEIN, MATT ROBERT

(BS, 1997, WESTERN WASHINGTON UNIVERSITY; PHD, 2002, MASSACHUSETTS INSTITUTE OF TECHNOLOGY) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF PATHOLOGY AT A SALARY RATE OF \$95,004 OVER TWELVE MONTHS, EFFECTIVE 3/1/2006. (PRIOR TO THIS APPOINTMENT, DR. KAEBERLEIN WAS A SENIOR FELLOW IN BIOCHEMISTRY.)

DEPARTMENT OF PEDIATRICS

NEW APPOINTMENTS

COX, TIMOTHY CHILTON

(BS, 1989, UNIVERSITY OF ADELAIDE (AUSTRALIA); PHD, 1994, UNIVERSITY OF ADELAIDE (AUSTRALIA)) TO BE RESEARCH ASSOCIATE PROFESSOR OF PEDIATRICS AT A SALARY RATE OF \$105,000 OVER TWELVE MONTHS, EFFECTIVE 3/1/2006. (PRIOR TO THIS APPOINTMENT, DR. COX WAS A SENIOR LECTURER IN DEVELOPMENTAL BIOLOGY AT MONASH UNIVERSITY (AUSTRALIA).)

HERRERA, CARMEN MILITZA

(MD, 1990, UNIVERSITY OF CHILE) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF PEDIATRICS AT A SALARY RATE OF \$100,008 OVER TWELVE MONTHS, EFFECTIVE 4/24/2006. (PRIOR TO THIS APPOINTMENT, DR. HERRERA WAS A CLINICAL ASSOCIATE OF PEDIATRICS AT DUKE UNIVERSITY.)

XU, DANBIN

(MD, 1994, TIANJIN UNIVERSITY (CHINA); PHD, 2000, MICHIGAN STATE UNIVERSITY) TO BE RESEARCH ASSISTANT PROFESSOR OF PEDIATRICS AT A SALARY RATE OF \$75,000 OVER TWELVE MONTHS, EFFECTIVE 3/1/2006. (PRIOR TO THIS APPOINTMENT, DR. XU WAS A SENIOR FELLOW IN MEDICINE.)

DEPARTMENT OF PSYCHIATRY AND BEHAVIORAL SCIENCES

NEW APPOINTMENTS

BRUNS, ERIC JEROME

(BA, 1990, UNIVERSITY OF VIRGINIA; PHD, 1997, UNIVERSITY OF VERMONT) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF PSYCHIATRY AND BEHAVIORAL SCIENCES AT A SALARY RATE OF \$82,980 OVER TWELVE MONTHS, EFFECTIVE 3/16/2006. (PRIOR TO THIS APPOINTMENT, DR. BRUNS WAS AN ACTING ASSISTANT PROFESSOR-TEMPORARY IN THE SAME DEPARTMENT.)

DAGADAKIS, CHRISTOS STAMATIOS

(BS, 1970, UNIVERSITY OF WASHINGTON; MD, 1974, UNIVERSITY OF WASHINGTON; MPH, 1975, UNIVERSITY OF WASHINGTON) TO BE ASSOCIATE PROFESSOR WITHOUT TENURE, PART-TIME, OF PSYCHIATRY AND BEHAVIORAL SCIENCES AT A SALARY RATE OF \$103,900 OVER TWELVE MONTHS, EFFECTIVE 3/1/2006. (PRIOR TO THIS APPOINTMENT, DR. DAGADAKIS WAS A SENIOR LECTURER IN THE SAME DEPARTMENT.)

DEPARTMENT OF RADIOLOGY

NEW APPOINTMENTS

CARTER, STEPHEN JOSEPH

(MD, 1968, UNIVERSITY OF WASHINGTON) TO BE ASSOCIATE PROFESSOR WITHOUT TENURE OF RADIOLOGY AT A SALARY RATE OF \$180,000 OVER TWELVE MONTHS, EFFECTIVE 4/1/2006. (PRIOR TO THIS APPOINTMENT, DR. CARTER WAS A CLINICAL ASSOCIATE PROFESSOR IN THE SAME DEPARTMENT.)

SCHOOL OF NURSING

DEPARTMENT OF FAMILY AND CHILD NURSING

ENDOWED APPOINTMENTS

LEWIS, FRANCES MARCUS

(BSN, 1967, LORETTO HEIGHTS COLLEGE; MN, 1968, UNIVERSITY OF WASHINGTON; MA, 1974, STANFORD UNIVERSITY; PHD, 1977, STANFORD UNIVERSITY) TO BE HOLDER OF THE VIRGINIA AND PRENTICE BLOEDEL PROFESSORSHIP OVER NINE MONTHS, EFFECTIVE 6/1/2006. (DR. LEWIS WILL CONTINUE AS PROFESSOR OF FAMILY AND CHILD NURSING.)

DEPARTMENT OF PSYCHOSOCIAL AND COMMUNITY HEALTH

ENDOWED APPOINTMENTS

THOMPSON, F. ELAINE ADAMS

(BSN, 1970, UNIVERSITY OF WASHINGTON; MA, 1972, UNIVERSITY OF WASHINGTON; PHD, 1990, UNIVERSITY OF WASHINGTON) TO BE HOLDER OF THE SANDRA AND PETER DYER TERM PROFESSORSHIP IN NURSING OVER NINE MONTHS, EFFECTIVE 4/1/2006. (DR. THOMPSON WILL CONTINUE AS PROFESSOR OF PSYCHOSOCIAL AND COMMUNITY HEALTH.)

SCHOOL OF PHARMACY

DEPARTMENT OF MEDICINAL CHEMISTRY

NEW APPOINTMENTS

CATALANO, CARLOS ENRIQUE

(BA, 1979, SAN FRANCISCO STATE; PharmD, 1983, UNIVERSITY OF CALIFORNIA (SAN FRANCISCO); PHD, 1987, UNIVERSITY OF CALIFORNIA (SAN FRANCISCO)) TO BE PROFESSOR (WITH 80 PERCENT TENURE) OF MEDICINAL CHEMISTRY AT A SALARY RATE OF \$139,200 OVER TWELVE MONTHS, EFFECTIVE 8/1/2006. (PRIOR TO THIS APPOINTMENT, DR. CATALANO WAS A PROFESSOR OF PHARMACY AT THE UNIVERSITY OF COLORADO.)

DEPARTMENT OF PHARMACEUTICS

ENDOWED APPOINTMENTS

HO, RODNEY J.Y.

(PHD, 1987, UNIVERSITY OF TENNESSEE) TO BE HOLDER OF THE MILO GIBALDI ENDOWED PROFESSORSHIP IN PHARMACEUTICS OVER TWELVE MONTHS, EFFECTIVE 7/1/2006. (DR. HO WILL CONTINUE AS PROFESSOR OF PHARMACEUTICS.)

SCHOOL OF PUBLIC HEALTH AND COMMUNITY MEDICINE

DEPARTMENT OF BIOSTATISTICS

ENDOWED APPOINTMENTS

LONGINI, IRA M.

(BS, 1971, UNIVERSITY OF FLORIDA; MS, 1973, UNIVERSITY OF FLORIDA; PHD, 1977, UNIVERSITY OF MINNESOTA) TO BE HOLDER OF THE DR. ROSS PRENTICE ENDOWED PROFESSORSHIP FOR BIOSTATISTICAL COLLABORATION OVER TWELVE MONTHS, EFFECTIVE 7/1/2006. (DR. LONGINI WILL CONTINUE AS PROFESSOR WITHOUT TENURE OF BIOSTATISTICS.)

HALLORAN, MARY ELIZABETH

(BS, 1972, UNIVERSITY OF OREGON; MD, 1983, FREIE UNIVERSITY OF BERLIN (GERMANY); MPH, 1985, HARVARD UNIVERSITY; DSc, 1989, HARVARD UNIVERSITY) TO BE HOLDER OF THE DR. ROSS PRENTICE ENDOWED PROFESSORSHIP FOR BIOSTATISTICAL COLLABORATION OVER TWELVE MONTHS, EFFECTIVE 7/1/2006. (DR. HALLORAN WILL CONTINUE AS PROFESSOR WITHOUT TENURE OF BIOSTATISTICS.)

UNIVERSITY OF WASHINGTON, TACOMA

EDUCATION PROGRAM

ADMINISTRATIVE APPOINTMENTS

KINDER, DIANE

(BA, 1972, WASHINGTON STATE UNIVERSITY; MS, 1982, UNIVERSITY OF OREGON; PHD, 1987, UNIVERSITY OF OREGON) TO BE ASSOCIATE VICE CHANCELLOR FOR ACADEMIC AFFAIRS AT THE UNIVERSITY OF WASHINGTON, TACOMA OVER NINE MONTHS, EFFECTIVE 3/16/2006. (PROFESSOR KINDER WILL CONTINUE AS ASSOCIATE PROFESSOR OF EDUCATION, TACOMA.)

NEW APPOINTMENTS

FEUERBORN, LAURA

(BA, 1997, EASTERN ILLINOIS UNIVERSITY; PHD, 2004, UNIVERSITY OF OREGON) TO BE ASSISTANT PROFESSOR OF EDUCATION AT A SALARY RATE OF \$54,000 OVER NINE MONTHS, EFFECTIVE 9/16/2006. (DR. FEUERBORN IS CURRENTLY A SCHOOL PSYCHOLOGIST FOR THE BELLEVUE SCHOOL DISTRICT.)

WEINSTEIN, MATTHEW

(BA, 1981, OBERLIN COLLEGE; MA, 1986, STANFORD UNIVERSITY; PHD, 1995, UNIVERSITY OF WISCONSIN-MADISON) TO BE ASSOCIATE PROFESSOR OF EDUCATION AT A SALARY RATE OF \$65,007 OVER NINE MONTHS, EFFECTIVE 8/28/2006. (DR. WEINSTEIN IS CURRENTLY AN ASSOCIATE PROFESSOR OF EDUCATION AT KENT STATE UNIVERSITY.)

INTERDISCIPLINARY ARTS AND SCIENCES PROGRAM

ADMINISTRATIVE APPOINTMENTS

KALIKOFF, BETH

(BA, 1977, JOHNS HOPKINS UNIVERSITY; MA, 1980, INDIANA UNIVERSITY; PHD, 1983, INDIANA UNIVERSITY) TO BE ASSOCIATE VICE CHANCELLOR FOR ACADEMIC AFFAIRS AND DIRECTOR OF THE CORE CURRICULUM AT THE UNIVERSITY OF WASHINGTON, TACOMA OVER NINE MONTHS, EFFECTIVE 3/16/2006. (PROFESSOR KALIKOFF WILL CONTINUE AS ASSISTANT PROFESSOR OF INTERDISCIPLINARY ARTS AND SCIENCES)

NEW APPOINTMENTS

BECKER, BONNIE

(BA, 1995, HARVARD UNIVERSITY; PHD, 2005, UNIVERSITY OF CALIFORNIA (SAN DIEGO)) TO BE ASSISTANT PROFESSOR OF INTERDISCIPLINARY ARTS AND SCIENCES AT A SALARY RATE OF \$61,002 OVER NINE MONTHS, EFFECTIVE 9/1/2006. (DR. BECKER IS CURRENTLY A MARINE BIOLOGIST FOR CABRILLO NATIONAL MONUMENT.)

FORREST, KELLY

(BS, 1983, DUKE UNIVERSITY; JD, 1988, SEATTLE UNIVERSITY; PHD, 1998, UNIVERSITY OF WASHINGTON) TO BE ASSISTANT PROFESSOR OF INTERDISCIPLINARY ARTS AND SCIENCES AT A SALARY RATE OF \$63,000 OVER NINE MONTHS, EFFECTIVE 9/1/2006. (DR. FORREST IS CURRENTLY ASSISTANT PROFESSOR AND CHAIR OF PSYCHOLOGY AT FRANKLIN COLLEGE.)

RAMIREZ, DOLORES ALCAIDE

(BA, 1996, UNIVERSIDAD DE GRANADA (SPAIN); MA, 2001, PURDUE UNIVERSITY; PHD, 2006 (expected), PURDUE UNIVERSITY) TO BE ACTING ASSISTANT PROFESSOR OF INTERDISCIPLINARY ARTS AND SCIENCES AT A SALARY RATE OF \$56,007 OVER NINE MONTHS, EFFECTIVE 8/1/2006. (MS. RAMIREZ IS CURRENTLY A TEACHING ASSISTANT AT PURDUE UNIVERSITY.)

WILLIAMS, CHARLES

(BA, 1989, UNIVERSITY OF CALIFORNIA (SANTA BARBARA); MPhil, 1992, UNIVERSITY OF SUSSEX (UK); PHD, 2005, UNIVERSITY OF CALIFORNIA (BERKELEY)) TO BE ASSISTANT PROFESSOR OF INTERDISCIPLINARY ARTS AND SCIENCES AT A SALARY RATE OF \$64,008 OVER NINE MONTHS, EFFECTIVE 9/1/2006. (DR. WILLIAMS IS CURRENTLY AN ASSISTANT PROFESSOR OF POLITICAL SCIENCE AT OHIO STATE UNIVERSITY.)

MILGARD SCHOOL OF BUSINESS

NEW APPOINTMENTS

ALEXANDER, ELIZABETH

(BA, 1981, UNIVERSITY OF OXFORD (UK); MBA, 1987, UNIVERSITY OF STRATHCLYDE (UK); PHD, 2006 (expected), GEORGE WASHINGTON UNIVERSITY) TO BE ACTING ASSISTANT PROFESSOR OF BUSINESS AT A SALARY RATE OF \$105,003 OVER NINE MONTHS, EFFECTIVE 9/16/2006. (MS. ALEXANDER IS CURRENTLY ASSISTANT PROFESSOR OF BUSINESS ADMINISTRATION AT WESLEY COLLEGE.)

LENG, FEI

(BA, 1997, DONGBEI UNIVERSITY (CHINA); MA, 2001, UNIV OF INTERNATIONAL BUS & ECON(CHINA); MA, 2004, UNIVERSITY OF TENNESSEE; PHD, 2006 (expected), UNIVERSITY OF TENNESSEE) TO BE ACTING ASSISTANT PROFESSOR OF BUSINESS AT A SALARY RATE OF \$108,000 OVER NINE MONTHS, EFFECTIVE 9/16/2006.

URBAN STUDIES PROGRAM

NEW APPOINTMENTS

PENDRAS, JEROME MARK

(BA, 1994, UNIVERSITY OF WASHINGTON; MA, 1999, RUTGERS UNIVERSITY; PHD, 2005, RUTGERS UNIVERSITY) TO BE ASSISTANT PROFESSOR OF URBAN STUDIES AT A SALARY RATE OF \$55,998 OVER NINE MONTHS, EFFECTIVE 9/16/2006. (DR. PENDRAS IS CURRENTLY A POSTDOCTORAL RESEARCH FELLOW AT UNIVERSIDAD NACIONAL AUTONOMA DE MEXICO.)

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Establishment of the Doctor of Philosophy in Public Policy and Management in the Daniel J. Evans School of Public AffairsRECOMMENDED ACTION

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents grant authority to the Daniel J. Evans School of Public Affairs to offer the Doctor of Philosophy (PhD) in Public Policy and Management, effective immediately. The Doctor of Philosophy degree program will have provisional status with a review to be scheduled by the Graduate School in the 2010-2011 academic year. At such time that the Board of Regents grants continuing status, a ten-year review cycle would begin.

BACKGROUND

In September 2005, the Graduate School received the proposal from the Daniel J. Evans School of Public Affairs requesting authority to offer the Doctor of Philosophy in Public Policy and Management. The doctoral program is designed to provide a unique integration of public policy and management conceptual frameworks and institutional knowledge with a rigorous foundation in quantitative and qualitative research methods. It builds from the School's strengths and policy area specializations in preparing students to engage the social and natural sciences in formulating policy options and assessing consequences of public policy challenges and solutions. Students will develop a specialization in both a discipline and substantive policy field related to their dissertation area. The program is designed to train faculty to both teach and conduct research. The Evans School currently offers a Master of Public Affairs (MPA) degree program.

Graduates of the PhD program working with the School's faculty may influence critical public policy or management changes in the following ways: conduct environmental policy research seeking cost-effective methods to reduce energy consumption and improve air or water quality in communities with high levels of pollution; work with school boards and educators to develop and implement innovative reforms to improve the quality of education in urban schools; work with public agencies, nonprofit organizations, and the private sector to address major public issues as developing affordable housing or improving community public transportation; or work with international non-governmental organizations on program evaluation for accountability of resources and to expand humanitarian relief efforts.

The proposed PhD in Public Policy and Management will provide an opportunity for doctoral education in the region. Portland State University in Portland, Oregon is the

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Establishment of the Doctor of Philosophy in Public Policy and Management in the Daniel J. Evans School of Public Affairs (continued p. 2)

only university in the Pacific Northwest that offers a PhD in Public Policy. Unlike the University of Washington, Portland State University is not a Research 1 university and does not possess the breadth of resources and strengths in cognate disciplines and policy area specializations. The Evans School's proposed PhD program is in response to the existing regional and national need in academic, public, nonprofit and private sectors for individuals with expertise in areas the proposed PhD program will focus. Data of the

Establishment of the Doctor of Philosophy in Public Policy and Management in the Daniel J. Evans School of Public Affairs – Page 2 (continued)

National Association of Schools of Public Affairs and Administration (NASPAA) and the Association for Public Policy Analysis and Management (APPAM) in 2004 indicated that 57 of 250 schools offer doctoral programs, with the majority offering master's level programs. Based on growth of enrollment in these schools, it is anticipated that a demand will increase for doctoral programs as a significant number of senior faculty approach retirement in the near future.

Two external faculty from public policy programs at the University of Indiana, Bloomington, IN and University of Arizona, Tucson, AZ reviewed the PhD proposal and recommended strongly that it be approved. They noted that building on strengths of the existing MPA program positions the Evans School well to offer a high quality PhD program. Additionally, the shortage of qualified PhDs in the field nationally will position program graduates to compete for academic appointments at leading universities and other opportunities in research institutes.

On March 30, 2006, the Higher Education Coordinating Board (HECB) in Resolution No. 06-07 approved the Doctor of Philosophy in Public Policy and Management to be offered by the Daniel J. Evans School in Public Affairs at the University of Washington. HECB approved the program noting the following: it supports the unique role and mission of the institution by providing students with an opportunity to earn a degree that connects with the research and public service missions of the university; it responds to demonstrated student, employer, and community needs, consistent with the state and regional needs assessment and the institution's own assessment of need; its recruitment and diversity plan is well defined and builds upon an existing and successful infrastructure in the School; it has undergone an extensive development and review process and received support from external experts; and the proposed program costs are reasonable.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Establishment of the Doctor of Philosophy in Public Policy and Management in the Daniel J. Evans School of Public Affairs (continued p. 3)

The Vice Provost and Dean of the Graduate School, the Dean of the Daniel J. Evans School of Public Affairs, and the Provost have reviewed and approved the recommendation. The Higher Education Coordinating Board will be informed of the Board of Regents' action on the Doctor of Philosophy degree program in Public Policy and Management.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Transfer of the Master of Science and Doctor of Philosophy Degrees offered by the Department of Pathobiology to the Graduate School

RECOMMENDED ACTION

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents grant authority to transfer the Master of Science (MS) and Doctor of Philosophy (PhD) degrees from the Department of Pathobiology, located in the School of Public Health and Community (SPHCM) Medicine, to the Interdisciplinary Pathobiology Group in the Graduate School, effective immediately. The MS and PhD degree programs will have provisional status with a review to be scheduled by the Graduate School in the 2010-2011 academic year. At such time that the Board of Regents grants continuing status, a ten-year review cycle would begin.

BACKGROUND

In November 2005, the Graduate School received the proposal from faculty in the Department of Pathobiology requesting authority to transfer the MS and PhD degree programs in Pathobiology to the Graduate School. The Pathobiology MS and PhD programs are currently located in the Department of Pathobiology, School of Public Health and Community Medicine. The program fills a unique niche for biologically-oriented studies of disease in human beings with a strong focus on infectious diseases of significant global impact such as parasitic and fungal infections, sexually transmitted infections, tuberculosis, cancer, diabetes, and maternal-child health. Graduate faculty that are involved in the program have expertise in cell biology, microbiology, bioinformatics, genetics, biochemistry, immunology and nutrition.

Due to limited state funding and the lack of resources within the School of Public Health and Community Medicine to recruit new faculty and a chair, the Dean will request termination of the Department of Pathobiology. Faculty in the Department will receive appointments in other departments within SPHCM and other UW schools. Simultaneously, the Board of Regents approved the development of a new Department of Global Health (DGH) to be located jointly in the School of Medicine and the School of Public Health and Community Medicine. The new department is endowed with funding from the Bill and Melinda Gates Foundation. Because of the strength of the graduate training program, its relevance to Global Health, and the anticipated appointments of many Pathobiology faculty members to Global Health, the program will be housed and supported by the DGH. All of the training faculty will, however, not have appointments in DGH, and therefore, the SPHCM Dean endorsed transfer of the Pathobiology graduate program to the Graduate School.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Transfer of the Master of Science and Doctor of Philosophy Degrees offered by the Department of Pathobiology to the Graduate School (continued p. 3)

This transfer will permit the graduate program to maintain the integrity of its interdisciplinary training faculty which includes members with primary or adjunct appointments in 9 UW departments within 4 schools or colleges, 4 research institutes, and a non-profit foundation. It will also assure continuity in training for the 48 current graduate students and newly admitted graduate students. The Pathobiology graduate program has grown during its 15-year existence. Currently 8-10 students enter the program each year. It is anticipated that the proposed change will help to increase and strengthen the potential applicant pool.

On April 20, 2006, the Graduate School Council considered the proposal to transfer the MS and PhD degree programs from the Department of Pathobiology to the Graduate School and recommended that it be forwarded to the Board of Regents for final approval. The Vice Provost and Dean of the Graduate School concurred with the Council's recommendation. Upon transfer of the graduate program to the Graduate School it will be administered by graduate faculty of the newly established Interdisciplinary Pathobiology Group.

The Vice Provost and Dean of the Graduate School, the Dean of the School of Public Health and Community Medicine have reviewed and approved the recommendation. The Higher Education Coordinating Board will be informed of the Board of Regents action on the Pathobiology graduate program.

VII. STANDING COMMITTEE

A. Academic and Student Affairs Committee

Improving the Undergraduate Experience: Update and Next Steps

Information will be available at the meeting.

VII. STANDING COMMITTEE

A. Academic and Student Affairs Committee

College and Schools Organizations

Information will be available at the meeting.

VII. STANDING COMMITTEES**A. Academic and Student Affairs***In Joint Session***B. Finance, Audit and Facilities Committee**Waiver of Tuition and Fees – Revisions to WACRECOMMENDED ACTION

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the Board of Regents approve the attached changes to WAC 478-160-163 (Waivers of Tuition and Fees). These changes to WAC 478-160-163 are necessary to implement the previous action taken by the Board of Regents in October 2005 which revised the provisions of tuition waivers for veterans and members of the Washington National Guard making these provisions consistent with the changes in state law that were implemented with the passage of Substitute House Bill (SHB) 1174.

BACKGROUND FOR RECOMMENDED ACTION

The passage of SHB 1174 during the 2005 State Legislative session made changes in the state law governing tuition waivers for veterans and members of the National Guard. In response to those changes, in October 2005 the Board of Regents re-established tuition waivers for veterans and members of the National Guard under the newly established legal framework.

After the Board of Regents establishes new tuition waivers, the university is required to formally go through a rule-making process to officially enact the provisions of the tuition waivers under Washington Administrative Code (WAC). In order for the necessary amendments to WAC to be effective for Autumn Quarter 2005, they were initially adopted by President Emmert through the emergency rule-making process and were effective October 7, 2005. The permanent rule-making process requires a public hearing which was held on March 13, 2006. On March 21, 2006 the Hearing Officer's report (Attachment 1) was transmitted to the president with the recommendation that the Board of Regent's adopt the proposed amendments to WAC 478-160-163. The Board of Regents adoption of these changes to WAC 478-160-163 (Attachment 2) is the final step in the implementation of the Board's earlier action.

VII. STANDING COMMITTEES**A. Academic and Student Affairs Committee**

In Joint Session with

B. Finance, Audit and Facilities Committee**Draft UW Vision Statement**

The University of Washington educates a diverse student body to become responsible global citizens and future leaders through a challenging and inclusive learning environment informed by cutting-edge scholarship. Discovery is at the heart of our university. We discover and advance timely and useful solutions to the world's most difficult and complex problems of our times, serving and enriching the lives of all people throughout Washington state, the nation, and the world. We are committed to integrity, diversity, excellence, collaboration, and innovation in all that we do.

Uniquely Washington

The University of Washington's vision and strategic priorities must consider the characteristics which make us great and unique, and must reflect our core values and culture.

UW Standard of Excellence

Committed to academic excellence, we recruit the best, most diverse, and most innovative faculty and staff from around the world, encouraging a vibrant and diverse intellectual community for our students. Through scholarly exploration and intellectual rigor, fueled by passion for new knowledge, we link our academic excellence to cutting edge, world-leading research. With honesty and integrity, we hold ourselves to the highest standards of ethical and moral example, a beacon for our community and the world.

Educators

Foremost, we are educators and learners. We strive to inspire each other through education that emphasizes the power of discovery and the foundation of critical, analytical, and independent thinking. We will promote opportunity for everyone through access to excellence.

World Leaders in Research

With determination and independence of mind and spirit, we have grown into the most productive public research university in the nation. More than teachers and students, we are a community of diverse collaborators who are reaching for the next great thing. Ours is a proud culture of innovation and discovery that has, and will continue to have, transformational impact.

Celebrating Place

The natural beauty of the Pacific Northwest envelops us. This is an important element of who we are, for this awe-inspiring place not only anchors us, it reaffirms our desire to effect positive change in the world around us. We accept gratefully our role in preserving and enhancing Washington: the place, the people, our home.

Spirit of Innovation

As Washingtonians, we trumpet our shared history of innovation and entrepreneurship, and with an energetic sense of curiosity coupled with profound optimism, we find inspiration for the future. The Northwest has an uncanny concentration of thought leaders and innovators in all sectors, from the private to the nonprofit. Ours is a culture with a determined persistence that engenders innovation and a belief that our goals, however lofty, can be realized.

Academic Community

The UW is a rich and diverse tapestry of free and scholarly exploration. Through unique and interdisciplinary partnerships, we foster creativity, challenge the boundaries of knowledge, stimulate creative thought, cultivate independence of mind and personal conviction, and encourage the fearless challenge of currently perceived beliefs. Our pursuits are flexible and evolving, encompassing both breadth and depth of expertise in a wide array of academic endeavor.

World Citizens

As world citizens we are compassionate and committed to the active pursuit of global engagement and connectedness. We assume leadership roles in moving the larger social agenda to address the collective good, making the world a better place through education and research – not just for today but for future generations. As global citizens we embrace our role to foster engaged and responsible citizenship as part of the learning experience of our students, faculty, and staff.

Being Public

More than a description of our financial base, being a public university is a state of mind that reflects our deeply held commitment to serve all the citizens of the state of Washington and, more broadly, the world. We collaborate with partners in the community and the world to be at the forefront of development and discovery. This measure of public trust, accountability, and shared responsibility guides our decision-making as well as our aspirations and vision for the future. With judicious use of limited resources, we are careful stewards of intellectual innovation. We will take risks with our ideas, but not our values.

VII. STANDING COMMITTEES

- A. Academic and Student Affairs Committee

In Joint Session with

- B. Finance, Audit and Facilities Committee

CREATING UW'S FUTURE: MEETING THE GRAND CHALLENGES

2007-09 Biennium Budget Requests to the State

Discussion Outline as of May 10, 2006

GOAL 1. Attract a diverse and excellent student body and provide a rich learning experience

A great strength of the UW is that it brings together a diverse group of undergraduate and graduate students, professional students, and postdoctoral scholars to learn and discover in a dynamic and comprehensive academic environment. Our challenge is to transform their lives through learning that emphasizes critical thinking and experiential discovery. Their learning experiences at UW will enable them to become responsible leaders in a complex global environment.

OBJECTIVES

1. Attract a diverse student body
2. Improve and enhance the learning environment for our students
3. Increase the number of scholarships and amount of financial aid
4. Prepare students for positions in a complex global environment by learning experiences abroad and service learning at home.
5. Attract and retain the best graduate students and postdoctoral fellows from the nation's and the world's best universities
6. Provide undergraduate and graduate students and postdoctoral fellows career and leadership training

GOAL 2. Attract and retain an outstanding and diverse faculty and staff

The excellence of our faculty and staff is the foundation upon which we carry out our mission of leading in learning, scholarship and discovery. They are committed to ever-increasing academic excellence, to solving the most challenging problems, and to translating their discoveries into improving lives, sustaining the environment and driving the economy. We are committed to recruiting, enabling and retaining a world-class faculty.

OBJECTIVES

1. Provide competitive compensation for faculty and staff
2. Increase the diversity of our faculty and staff
3. Facilitate collaborations in learning and discovery
4. Provide stronger support for leadership and career development in order to attract and retain the best faculty and staff

GOAL 3. Expand the reach of the UW across the globe

Our geographical location, our rich culture of collaboration, and our research strengths position us in a unique place to pursue global partnerships in teaching, research, and entrepreneurial activities. We will strive to promote multicultural understanding through expanded meaningful opportunities for our faculty and students to study and discover abroad. These experiences will benefit both UW and our global partners.

OBJECTIVES

1. Develop global educational and research programs
2. Increase the number of student and faculty study and perform scholarly work abroad
3. Innovate interdisciplinary programs to strengthen management of global affairs
4. Increase partnerships with local, regional, and global industries and businesses to enhance our global competitiveness

GOAL 4. Strengthen interdisciplinary research and scholarship to tackle “grand challenge” problems that will benefit society and stimulate economic development

One of the greatest strengths of UW is its longstanding culture of collaboration and innovative interdisciplinary research and teaching. Faculty have identified major opportunities that no single person could tackle alone but that groups must address to have the biggest impact on the progress in the field. Opportunities for discoveries and innovative learning modalities have never been greater. We will strive to enable cross-disciplinary opportunities and to reward faculty who invest time and energy in these endeavors.

OBJECTIVES

1. Pursue targeted areas of research to further our strength in areas of funding opportunity
2. Provide the infrastructure to enable efficient communication to develop innovative and novel collaborations
3. Provide facilities and equipment to increase research capacity
4. Attract and train the most highly qualified graduate students and postdoctoral fellows

GOAL 5. Insure the highest level of integrity, compliance, and stewardship.

The UW will continue to foster respect for the highest level of integrity in all of our activities. We will insure that we utilize best practices university-wide while protecting the UW's decentralized collaborative and entrepreneurial culture.

OBJECTIVES

1. Provide workshops to consult and inform faculty of new guidelines, rules and regulations
2. Maintain and enhance information security to safeguard sensitive data
3. Establish process and activities to manage all enterprise risks with respect to compliance, finance, operations, strategy and reputation
4. Oversee and improve UW's culture of compliance
5. Provide means for early identification, education, and debate on risks of strategic importance.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

In Joint Session with

B. Finance, Audit and Facilities Committee

Proposed FY 2007 Operating and Capital Budgets

The FY 2007 Operating and Capital Budgets are being presented as an information item at the May 2006 Finance, Audit and Facilities Committee meeting of the Board of Regents; these budgets will be presented as an action item at the June 2006 meeting of the Finance, Audit and Facilities Committee.

Preview of the June 2006 Action Item

The June 2006 action item adopting the FY 2007 Operating and Capital Budgets will ask the Board of Regents to do three things:

- Adopt the FY 2007 Operating Budget;
- Establish tuition rates for all tuition categories for the 2006-07 academic year;
- Adopt the FY 2007 Capital Budget.

Status of FY 2007 Operating and Capital Budget Development

It is important to note at the start of this discussion that while the process of developing the FY 2007 operating and capital budgets is well along the road to completion, this is still a draft budget. The proposed budget may be revised based on feedback that the administration receives from the Board of Regents at the May 2006 meeting, as well as additional feedback that may still be received from various campus constituencies. Many of the component numbers that feed into this budget proposal will be updated one more time between the informational presentation at the May 2006 Finance, Audit and Facilities Committee meeting and when the budget is brought for adoption at the June 2006 Board of Regents meeting.

Last year the Board of Regents and the administration revised the budget approval process for FY 2007 in one significant way. In recent years, the Board of Regents reviewed and approved tuition rates for the upcoming academic year at the February and March Board meetings. This procedure was changed for the FY 2007 budget approval process and tuition rates for the 2006-07 academic year will be approved in conjunction with the budget at the May and June Board meetings.

In addition, last year the Board of Regents indicated that they wanted to have a discussion of longer-term budget goals and objectives prior to their review of the proposed FY 2007 university budget. In response to this request, the President and Provost have presented both a vision statement for the university and broad budget goals and objectives at the February 2006 and March 2006 Board of Regents meetings. The vision, budget goals and budget objectives will be discussed again at the May Board of Regents meeting.

Financial Context for the Proposed FY 2007 Operating and Capital Budgets

The FY 2007 budget proposal that is being presented to the Board of Regents is divided into four areas:

- The three parts of the Operating Budget:
 - the Core Education Budget;
 - the Restricted Programs Budget;
 - the Academic Enhancement/Support Budget; and
- The Capital Budget.

Discussion of the Financial Context for the FY 2007 Budget

The FY 2007 proposed operating budget is summarized in Table 1; the FY 2007 proposed capital budget is presented in Table 5. The financial context for FY 2007 is different for the Core Education, Restricted Programs, Academic Enhancement/Support, and Capital Budgets.

Core Education Budget Financial Context. The context for the FY 2007 Core Education Budget is primarily set by three factors: actions affecting FY 2007 that were taken by the State Legislature in the original 2005-07 biennial budget; the actions taken by the State Legislature in the 2006 Supplemental Operating Budget; and tuition setting decisions for the 2006-07 academic year for all tuition categories that will be made by the Board of Regents as part of their adoption of the FY 2007 budget.

In the original 2005-07 biennial budget, state funding was provided for a 1.6% salary increase for all employee groups for FY 2007 and for new enrollments at all three campuses for the 2006-07 academic year. Note that for faculty, professional staff, librarians and teaching and research assistants, the university can use local funds to supplement the salary increases funded by the State. In the 2006 Supplemental Budget, the Legislature funded a number of targeted initiatives and provided additional funding for new math, science and engineering enrollments for the 2006-07 academic year.

When the Legislature adopted the original 2005-07 biennial budget, the university was given the authority to raise undergraduate resident tuition by up to 7% for each year of the biennium. In the 2003 legislative session, the Board of Regents was given local authority over tuition setting for all tuition categories other than undergraduate resident. This authority was granted for six years and the 2006-07 academic year will be fourth year in which undergraduate non-resident, graduate and professional tuition levels are established using this authority.

Restricted Operating Budget Financial Context. The outlook for the FY 2007 Restricted Programs Budget projects small increases for both direct costs and indirect costs for grant and contract activity. This forecast reflects a flattening out of sponsored research activity at the university due to the leveling off of growth in federal research budgets. Spending from gift and endowment accounts is predicted to increase as a result of continued positive returns for the Consolidated Endowment Fund and continued success in obtaining gifts to the university. State Restricted Funds will increase slightly based on legislative actions.

Academic Enhancement/Support Budget Financial Context. The university's academic enhancement/support functions have a stable outlook for FY 2007. The university's large auxiliary business enterprises (UW Medical Center, Educational Outreach, Housing and Food Services, Intercollegiate Athletics, and Parking and Transportation Services) are all financially stable and expect continued growth in FY 2007.

Capital Budget Financial Context. The proposed UW capital budget for FY 2007 reflects actions taken by the Legislature in the 2006 Supplemental Capital Budget and the allocation of minor capital projects funds for the second year of the 2005-07 biennium. The legislature provided capital funds to allow building renovations to support nanotechnology research and land acquisition at the UW/Tacoma campus. While the university greatly appreciates the additional capital funds that were provided in the 2006 Supplemental Capital Budget, State capital resource allocations continue to lag significantly behind needs. For example, while the UW accounts for 35% of State higher education facilities, the 2005-07 State capital budget allocation to the UW was only 10% of the total provided to higher education.

Approach to FY 2007 Budget Decisions

FY 2007 is a transition year in the process of developing the university's annual budget. The Board of Regents and the administration are in the process updating a vision statement for the university and longer-term goals and objectives to help guide future investment decisions. In this proposed budget, some allocations represent the continuation/fulfillment of prior investment decisions. Other allocations are commitments associated with new academic leadership in a number of colleges/schools. And many allocations are in response to previously discussed goals and objectives as well as the update to those goals and objectives currently being discussed.

The over-riding themes that guided the development of the proposed FY 2007 budget are among those discussed with the Board of Regents in March 2006:

- maintaining the UW standard of excellence;
- being world leaders in research; and
- being a public university.

The more specific budget goals addressed in the proposed FY 2007 budget include:

- attracting a diverse and excellent student body and providing a rich learning experience;
- investing in new leadership of both academic and administrative units;
- attracting and retaining an outstanding and diverse faculty and staff;
- expanding the reach of the UW across the globe;
- strengthening interdisciplinary research and scholarship to tackle "grand challenge" problems that will benefit society and stimulate economic development; and
- insuring the highest level of integrity, compliance and stewardship.

FY 2007 Core Education Budget Discussion

The proposed FY 2007 Core Education Budget is presented in Table 2; temporary investments from fund balance that are part of the proposed FY 2007 Core Education Budget are shown in Table 3. Proposed tuition increases for the 2006-07 academic year are presented in Appendix 4.

Changes in Revenues

The changes in revenues supporting the FY 2007 Core Education Budget are summarized below:

<u>Revenue Source</u>	<u>FY 2006 Adopted</u>	<u>FY 2007 Proposed</u>	<u>Change</u>
State General Fund	339,701,000	360,892,000	21,191,000
Tuition Operating Fee	221,876,000	242,470,000	20,594,000
Designated Operating Fund	50,113,000	52,079,000	1,966,000
<u>Subtotal: Ongoing Core Ed Revenues</u>	<u>611,690,000</u>	<u>655,441,000</u>	<u>43,751,000</u>
Use of Fund Balance for Temp Exp	23,000,000	3,550,000	(19,450,000)
TOTAL REVENUES	634,690,000	658,991,000	24,301,000

Comments on Changes in Revenues

Budgeted ongoing revenues in the proposed FY 2007 Core Education Budget are \$43,751,000 higher than budgeted revenues that supported this budget in FY 2006. State General Fund revenues (which in this presentation include Education Trust Fund and Pension Stabilization Fund allocations) increase by \$21,191,000, tuition operating fee revenues increase by \$20,594,000 and Designated Operating Fund revenues increase by \$1,966,000. In the FY 2006 Core Education budget, \$23,000,000 in fund balance was used to support selected one-time or time-limited investments. In FY 2007, the administration is proposing to use \$3,550,000 of fund balance to support selected one-time or time-limited investments – all of which are continuations of previously made commitments of fund balance. These proposed changes in revenues for FY 2007 are discussed below.

State General Fund. State General Fund revenue for FY 2007 reflects actions taken by the State Legislature in both the original adoption of the 2005-07 State biennial budget and in the 2006 State supplemental budget. The increased funding available in FY 2007 is dedicated to specific purposes – salary and benefit increases, new enrollments and a number of specific program allocations.

Tuition Operating Fee. The proposed FY 2007 Core Education Budget assumes that the proposed tuition increases for the 2006-07 academic year shown in Appendix 4 are adopted. Appendix 4 shows the proposed tuition charge for all tuition categories for the 2006-07 academic year; for informational purposes, both the percentage increase and the

dollar increase recommended for each tuition category are shown. Appendix 4 also shows how the proposed tuition and fees for the university would compare to the projected 2006-07 tuition and fee rates for the Higher Education Coordinating Board 24 Comparison Group.

For undergraduate resident tuition, the State legislature sets the maximum that the tuition increase can be in each academic year in the biennial budget bill – and for the 2006-07 academic year, undergraduate resident tuition can be increased by a maximum of 7%. For undergraduate non-resident, graduate and professional tuition, the Board of Regents has local authority over tuition rate setting – the 2006-07 academic year will be the fourth year of a six-year tuition setting authority for these tuition categories that was granted in a bill passed by the State Legislature in May 2003.

For the 2006-07 academic year, the administration is recommending that:

Undergraduate Resident tuition be increased by 7%;

Undergraduate Non-resident tuition be increased by 7%;

Graduate Tier 1 Resident and Non-Resident tuition be increased by 7%;

Both resident and non-resident tuition for Pharm.D., Law, Medicine, Dentistry be increased by 10%;

Nursing Masters Degrees be increased by 10% for both resident and non-resident students at UW/Seattle and by 6.8% for resident and 6.9% for non-resident students at UW/Bothell and UW/Tacoma (the Masters in Nursing tuition at UW/Bothell and UW/Tacoma has been set at the same rate as Graduate Tier 2 tuition for a number of years);

Business Masters Degree tuition be increased by 17% for resident and 9% for non-resident students for the incoming cohort of students at UW/Seattle, by 7.9% for resident students at UW/Bothell for the incoming cohort of students, and by 10% for both resident and non-resident students at UW/Tacoma; and

Tuition charges for post-baccalaureate and non-matriculated students be adjusted consistent with the policy that has been used for tuition for these students since these tuition categories were established. Under this policy, post-baccalaureate and non-matriculated students taking undergraduate courses pay at the resident or non-resident undergraduate tuition rate established for that academic year; post-baccalaureate and non-matriculated students taking one or more graduate courses pay at the resident or non-resident Graduate Tier 3 tuition rate established for that academic year.

Note that tuition increases for Graduate Tier 2 and Graduate Tier 3 are established based on the increase adopted for Graduate Tier 1 – Graduate Tier 2 tuition is \$250 more per year than Graduate Tier 1 and Graduate Tier 3 tuition is \$250 more than Graduate Tier 2.

For Business Masters programs, starting with the current academic year both UW/Seattle and UW/Bothell have cohort based pricing where students pay the same tuition rate for both years of the program; thus there is no tuition increase for continuing Business Masters students at either UW/Seattle or UW/Bothell.

Designated Operating Fund. The Designated Operating Fund revenues that support the Core Education Budget are shown in the table below:

Designated Operating Fund Revenue Source	FY 2006 Adopted	FY 2007 Proposed	Change
Summer Quarter Tuition	24,570,000	25,150,000	580,000
Investment Income	18,000,000	18,000,000	0
Miscellaneous Fees	4,188,740	4,188,740	0
Branch Campus overhead	2,700,000	3,085,000	385,000
Administrative Allowances	655,000	655,000	0
Royalty Research Fund Transfer	0	1,000,000	1,000,000
TOTAL REVENUES	50,113,740	52,078,740	1,965,000

Although Summer Quarter tuition rates will see the same increases that were implemented for the 2005-06 academic year, Summer Quarter enrollment has declined for three years in a row. Because of this, only a small increase in Summer Quarter tuition revenue is projected for FY 2007. Given market conditions in the short-term investment markets, the investment income revenue estimate for FY 2007 is unchanged from the FY 2006 level. As there are no material changes in the level of any of the fees included in the “miscellaneous fees” category, that revenue estimate is held steady for FY 2007. Branch campus overhead revenue increases by \$385,000 because of the growth in the UW/Bothell and UW/Tacoma budgets due to State enrollment and salary allocations and increased tuition revenue. The expected revenue from administrative allowances related to certain financial aid programs are not anticipated to increase for FY 2007. The FY 2007 Designated Operating Fund revenue estimate also assumes the use of \$1,000,000 in Royalty Research Fund resources to support the pilot proposal included in this proposed budget to provide bridge funding for researchers.

Use of Fund Balance. The proposed FY 2007 budget uses \$3,550,000 in fund balance to support one-time or limited duration investments. All of the items supported from this allocation of fund balance in FY 2007 were previous commitments. Due to the upcoming unbudgeted charge of the faculty pay lawsuit settlement to unrestricted reserves, the administration is not proposing any new use of fund balance for FY 2007 beyond these previous commitments.

FY 2007 Core Education Budget Allocations

The new allocations in the FY 2007 Core Education Budget are summarized below:

Core Education Budget FY 2007 Allocations

<u>Area of Budget</u>	<u>FY 2007 Allocations</u>
<u>Workplace of Choice - Competitive Compensation:</u>	
Salaries	16,585,000
Benefits	1,783,000
Subtotal	18,368,000
<u>Attract a Diverse and Excellent Student Body</u>	
Enrollment Allocations	
UW/Seattle: General Enrollments	860,000
UW/Seattle: Math, Science & Engineering Enrollments	870,000
UW/Bothell	2,547,000
UW/Tacoma	2,812,000
Attract/Retain Diverse Students	1,045,000
Undergraduate Resident Financial Aid	1,140,000
Graduate/Professional Financial Aid	530,000
Subtotal	9,804,000
<u>Academic Program and Research Excellence</u>	
Investments in Academic Excellence	9,168,000
Temporary Research Equipment Funding	2,150,000
South Lake Union Phase 2 O&M (Legislative Action)	2,400,000
Bioengineering/Genome Sciences O&M (Legislative Action)	2,000,000
Pacific Northwest Seismic Network (Legislative Action)	400,000
Subtotal	16,118,000
<u>Integrity/Compliance/Stewardship Investments</u>	1,815,000
<u>Required Cost Increases/Adjustments</u>	1,924,000
<u>Use of Fund Balance</u>	3,550,000

Comments on FY 2007 Core Education Budget Allocations

The incremental FY 2007 Core Education Budget allocations are listed in the table above and discussed in more detail below.

Workplace of Choice - Competitive Compensation. Appendix 1 presents details regarding the salary and benefit allocations that are included in the proposed FY 2007 budget. For salaries, this proposal assumes:

For faculty: a 2% increase effective June 1, 2006 (which reflects the ongoing cost of the faculty pay lawsuit settlement); a 2% continuing merit increase effective September 1, 2006, a 0.6% additional merit increase effective September 1, 2006; funding of promotions; and a recruitment and retention allocation of \$1,750,000.

For professional staff and librarians: a 3% increase effective September 1, 2006.

For teaching and research assistants: a 2.6% increase effective July 1, 2006.

For represented classified staff: a 1.6% cost of living increase effective July 1, 2006; in addition to this cost of living increase, for classified staff at the top step of their classification a new step is added to the pay scale (which is a 2.5% increase for the eligible classified staff); and note that classified staff who are not at the top step of their classification receive annual two-step (5%) pay increases in addition to the cost of living increase.

For non-represented classified staff: a 1.6% cost of living increase effective September 1, 2006; a special pay proposal has been submitted to the State Department of Personnel to add a new step to the pay scale (which is a 2.5% increase for the eligible classified staff) – this request has not yet been acted upon by the State Department of Personnel; and as for represented classified staff, non-represented classified staff who are not at the top step of their classification receive annual two-step (5%) pay increases in addition to the cost of living increase.

Taken together, the salary and benefits allocations in the proposed FY 2007 Core Education Budget total \$18,368,000.

Attract a Diverse and Excellent Student Body. The proposed FY 2007 Core Education Budget expands enrollment at all three University of Washington campuses:

140 undergraduate, 40 graduate and 150 math, science and engineering student FTE are added at the UW/Seattle campus;

125 lower division undergraduate and 75 upper division undergraduate student FTE are added at the UW/Bothell campus; and

125 lower division undergraduate and 100 upper division undergraduate student FTE are added at the UW/Tacoma campus.

At the UW/Seattle campus, \$860,000 of general enrollment funds and \$540,000 of math, science and engineering enrollment funds are allocated to the College of Arts and Sciences and \$330,000 of math, science and engineering enrollment funds are allocated to the College of Engineering.

A number of investments related to attracting and retaining diverse students are included in this proposed budget; these investments are listed in Appendix 2. These allocations include:

\$225,000 to support the undergraduate Summer Bridge Program;

\$80,000 to support diversity enrichment initiatives in the Graduate School;

\$440,000 from the undergraduate advising reserve established in FY 2006 to support undergraduate advising (\$200,000 to the College of Arts and Sciences and \$240,000 to the Office of Undergraduate Education); and

\$300,000 approved by the State legislature in the 2006 State Supplemental Budget to expand the outreach efforts of the Mathematics, Engineering and Science Achievement program that works with K-12 students on a statewide basis.

These proposed investments total \$1,045,000 in new allocations related to attracting and retaining diverse students.

The proposed FY 2007 Core Education Budget increases financial aid allocations for both undergraduate and graduate/professional students. The 7% increase in undergraduate resident tuition for the 2006-07 academic year will generate \$1,856,000 in additional unfunded need for undergraduate students in the 2006-07 academic year. The Regents have adopted a policy of meeting 55% of this additional need through financial aid grants and tuition waivers. To meet this policy goal next year with a 7% increase in undergraduate resident tuition, \$1,021,000 in additional grant and tuition waiver financial aid has to be made available to undergraduate resident students next year. The UW's current financial aid policy of utilizing 3.5% of tuition operating fee collections for financial aid grants and waiving 4% of tuition costs will provide \$1,140,000 in additional financial aid resources to needy undergraduate resident students in the 2006-07 academic year – exceeding the commitment made by the Regents.

Given the proposed tuition levels for the 2006-07 academic year, the UW's current financial aid policy of utilizing 3.5% of tuition operating fee collections for financial aid grants and waiving 4% of tuition will make \$530,000 of additional financial aid available to graduate and professional students in the 2006-07 academic year. In addition, graduate appointees qualifying for the non-resident tuition differential waiver and for the operating fee tuition waiver will have \$2,681,000 in additional tuition waiver benefit in FY 2007.

Academic Program and Research Excellence. As shown on Table 2, the proposed FY 2007 Core Education Budget allocates over \$16,000,000 toward academic and research program excellence.

On a pilot basis, the proposed FY 2007 Core Education Budget provides bridge funding for selected researchers to cover short-term gaps between grant awards. Program enhancement investments are made in a number of schools and colleges; the specific allocations are listed in Appendix 2. Significant investments are made in four colleges (Architecture and Urban Planning, Information School, Social Work and Engineering) for which new deans have been hired. Allocations are made to four schools/colleges to assist in attracting and/or retaining outstanding faculty. An allocation is provided to expand the undergraduate honors program. In addition, funding is provided to both the UW Libraries and to the Law Library to help cover inflationary cost increases for the purchase of library materials.

During FY 2007, the proposed budget temporarily utilizes “innovation” funds permanently budgeted in the Core Education Budget for a research equipment allocation. Finally, in the 2006 Supplemental Operating Budget, the university was successful in getting State funding to support three different research activities: operations and maintenance funds for the South Lake Union Phase 2 building; operations and maintenance funds for the Bioengineering and Genome Sciences buildings; and funds to support the Pacific Northwest Seismic Network.

Integrity/Compliance/Stewardship Investments. A number of proposed investments in the university’s administrative oversight activities are listed on the second page of Appendix 2. Among these investments are: an expansion of the university’s external affairs activities; increased staffing to support the implementation of civil service reform; investment to support the enterprise risk management program; additional resources to the Office of Planning and Budgeting to support legislative analysis, policy making and lobbying; additional support for the leadership, community and values initiative; and additional support for the university’s data warehouse program. In total, approximately \$1,800,000 in new funding is proposed for investments related to integrity/compliance/stewardship in the FY 2007 Core Education Budget.

Required Cost Increases/Adjustments. The proposed FY 2007 Core Education Budget includes just under \$2,000,000 for required cost increases and adjustments – specific allocations are listed in Appendix 3. The largest budget increase is for the rising costs of natural gas. The largest decrease is in the university’s risk management costs (self-insurance and purchased insurance). A number of other “institutional” budgets that pay for contractual or other required activities have either upward or downward annual adjustments.

FY 2007 Restricted Operating Budget

The proposed FY 2007 Restricted Programs Budget is presented Table 4.

Changes in Revenues

The changes in revenues supporting the FY 2007 Restricted Programs Budget are summarized below:

Revenue Source	FY 2006 Adopted	FY 2007 Proposed	Change
Grant and Contract Direct Cost	800,000,000	808,000,000	8,000,000
Grant and Contract Indirect Cost	187,000,000	189,000,000	2,000,000
Gifts	116,000,000	120,640,000	4,640,000
State Restricted Funds	6,310,000	6,342,000	32,000
TOTAL REVENUES	1,109,310,000	1,123,982,000	14,672,000

Comments on Changes in Revenues

Budgeted revenues in the proposed FY 2007 Restricted Programs Budget increase by \$14,672,000 over the FY 2006 budgeted level: grant and contract direct cost increases by \$8,000,000; grant and contract indirect cost increases by \$2,000,000; gift and endowment revenue is projected to increase by \$4,640,000; and State Restricted Funds increase by \$32,000. These proposed changes in revenues are discussed below.

Grant and Contract Direct Cost. Direct costs for grants and contracts are projected to increase by a modest 1% in FY 2007 – an increase of \$8,000,000 over the current fiscal year. This estimate assumes grant and contract awards for the current fiscal year will be at or slightly above the previous fiscal year.

Grant and Contract Indirect Cost. As direct costs for grants and contracts are projected to be slightly higher in FY 2007 than in FY 2006, grant and contract indirect cost recovery is also to be slightly higher; the projected increase in grant and contract indirect cost is 1% - an increase of \$2,000,000 over the current fiscal year.

Gifts. Revenues to gift and endowment spending accounts are projected to increase moderately (by just under \$5,000,000) in FY 2007. This increase reflects both continued fund raising success and strong returns for the Consolidated Endowment Fund for the past few years.

State Restricted Funds. The School of Public Health and Community Medicine receives a small amount of appropriated State funding from the Accident Account and the Medical Aid Account for specific activities performed by the Department of Environmental

Health. Changes in revenues for FY 2007 (an increase of \$32,000 over the current fiscal year) for these State Restricted Funds simply reflect changes in State appropriations.

FY 2007 Restricted Programs Budget Allocations

The discussion in this section is limited to how the administration proposes to allocate additional indirect cost recovery resources that are anticipated in FY 2007. Expenditures for grant and contract direct cost, almost all gifts, and State restricted funds budgets can only be used for the purposes specified by the granting agency, donor or legislature. Thus, annual expenditures for these areas are assumed to be equal to budgeted levels. The university does have discretion over how indirect cost recovery revenues are allocated.

The new allocations in FY 2007 supported by indirect cost recovery resources are summarized below:

<u>Indirect Cost Recovery:</u>	<u>FY 2007 Allocations</u>
<u>Workplace of Choice - Competitive Compensation:</u>	
Salaries	1,288,000
Benefits	874,000
Subtotal	2,162,000
<u>Investments in Research Excellence</u>	
Research Cost Recovery Allocation Change	1,254,000
Dedicated Indirect Cost Recovery Changes	1,123,000
Academic Infrastructure - Library materials	150,000
Subtotal	2,527,000
<u>Integrity/Compliance/Stewardship Investments</u>	2,041,000
<u>Required Cost Increases/Adjustments</u>	1,170,000

Comments on FY 2007 Indirect Cost Recovery Budget Allocations

Workplace of Choice - Competitive Compensation. The indirect cost recovery budget picks up a calculated share of the salary and benefit costs of employees who are funded from indirect cost recovery resources.

Investments in Research Excellence. A number of investments are made to support the university's research enterprise. By policy, the university allocates the portion of indirect

cost recovery revenues that is associated with college/school grant administration back to the colleges/schools based on their actual grant activity in the prior fiscal year. This allocation is called the “research cost recovery” allocation and it will increase by \$1,254,000 in FY 2007. This is a formula calculation. The increase in the allocation for FY 2007 is a function of both anticipated research spending in the current year and a revision in the calculation formula so that the formula is based on the university’s most recent indirect cost study.

Annual adjustments to certain indirect cost recovery budgets that are dedicated to specific purposes (such as the Primate Center “A” and “B” rates) or to pay the operations and maintenance costs of particular buildings (Harborview Research and Training, other Harborview research space, etc.) are also included in this category, and are shown in Appendix 3. The large change in this area is an increase of \$1,500,000 for the South Lake Union 1 building – which is now fully occupied by the School of Medicine.

The last allocation in this category is an allocation of \$150,000 to the University Libraries to cover a portion of the cost of library materials inflation (which is shown in Appendix 2).

Integrity/Compliance/Stewardship Investments. Four investments are made in the integrity/compliance/stewardship area (and are listed in Appendix 2): \$50,000 for additional staff support for the Animal Care and Use Committee; \$141,000 in additional support for some of the monitoring activities that Environmental Health and Safety provides for selected types of research; \$250,000 in additional support for human subjects review boards; and a significant investment of \$1,600,000 to support the Office of Research’s grant related computing systems – this investment is necessary in order for the university to ultimately comply with the federal government’s “grants.gov” initiative which will require electronic submission of grant requests.

Required Cost Increases/Adjustments. The indirect cost recovery budget picks up a share of estimated cost increases for utilities, risk management and for other critical institutional investments approved by the President and Provost – these allocations are shown in Appendix 3. The largest increases are associated with new research buildings coming on line. There is an increase of \$1,300,000 to cover increased debt service for debt issued to support construction of the Bioengineering and the Research and Technology buildings. In addition, the full annual operating costs for the Research and Technology building start to be incurred during FY 2007.

FY 2007 Academic Enhancement/Support Budget Discussion

There are four areas included in the Academic Enhancement/Support Budget: UW Medical Center; auxiliary enterprises; auxiliary educational activities; and institutional overhead activities that support the other functions. Auxiliary enterprises include: Housing and Food Services; Intercollegiate Athletics; Parking; internal service units (Stores, Motor Pool, Publication Services, etc.); Student Government; Recreational Sports; and miscellaneous other activities. Auxiliary educational activities include: continuing education; conferences; the medical resident program; the WAMI Program in the School of Medicine; and miscellaneous activities. The University charges institutional overhead to all of these activities to recover the cost of central services utilized by these academic enhancement/support activities.

The projected changes in revenue for academic enhancement/support activity are shown in the table below:

Revenue Source	FY 2006 Adopted	FY 2007 Proposed	Change
UW Medical Center	567,000,000	589,680,000	22,680,000
Auxiliary Enterprises	281,911,000	293,187,000	11,276,000
Auxiliary Educational Activities	169,052,000	175,814,000	6,762,000
Institutional Overhead	14,000,000	14,366,000	366,000
TOTAL REVENUES	1,031,963,000	1,073,047,000	41,084,000

Based on financial results over the last few years, inflationary increases in revenues have been projected for FY 2007 for UW Medical Center, auxiliary enterprise, and auxiliary educational activities. The projected increase in institutional overhead revenue is based on both actual collections in the current FY and projected increased revenues for the units that pay institutional overhead.

With the exception of institutional overhead resources, the Academic Enhancement/Support Budget resources can only be spent for specified purposes and annual expenditures are assumed to be equal to budgeted levels

FY 2007 Capital Budget Discussion

The proposed FY 2007 Capital Budget includes approval of a total of \$127,750,203 in new capital funds, as summarized in Table 5. New funds reflected in the FY 2007 Capital Budget include \$8,500,000 million in new funding received during the 2006 supplemental legislative session as well as the balance of the originally appropriated 2005-2007 biennial capital funds. The FY 2007 Capital Budget also includes approval of funding for the UW Medical Center expansion, new donor funding, and makes adjustments in funding sources and in anticipated federal facilities grant funding.

2006 Supplemental Capital Budget Funding. In the 2006 Supplemental Capital Budget, the legislature provided \$4,500,000 in State Toxics Account funding for the previously approved More Hall Annex Demolition project and other toxic cleanup projects. Local funds previously designated to these projects can now be used for space renovations to support the university's nanotechnology program. The 2006 Supplemental Capital Budget also included \$4,000,000 in Gardner-Evans Higher Education Construction Account funds for land acquisition at the UW/Tacoma campus. The 2006 Supplemental Capital Budget also changed the source of \$9,288,500 in funds for the Guggenheim Hall construction project from one state-funded source to another, with no impact to the project.

In addition to these new funds, the Governor's Office of Financial Management has released the design funds for the next three major renovation projects in the "Restore the Core" program (Savery Hall, Clark Hall, and Playhouse Theater). The proposed FY 2007 Capital Budget includes new donor and college funded enhancements of \$2,308,000 for the Playhouse Theater project.

Funding Approval for Remaining 2005-2007 Biennial Capital Funds. The FY 2007 capital budget proposal also reflects changes in the total current capital program by removing projects that have achieved substantial completion and approving the second year of biennial state, local, and other funds.

Table 1

University of Washington Fiscal Year 2007 Proposed Operating Budget

Budget Category	FY 2006 Adopted	FY 2007 Proposed
CORE EDUCATION BUDGET		
Revenues		
State General Fund	339,701,000	360,892,000
Tuition Operating Fee	221,876,000	242,470,000
Designated Operating Fund	50,113,000	52,079,000
Subtotal: Ongoing Core Education Revenues	611,690,000	655,441,000
Use of Fund Balance for Temporary Expenditures	23,000,000	3,550,000
Total Revenues	634,690,000	658,991,000
Expenditures		
Ongoing Core Education Expenditures	611,690,000	655,441,000
One-time/temporary Expenditures	23,000,000	3,550,000
Total Expenditures	634,690,000	658,991,000
RESTRICTED OPERATING BUDGET		
Revenues		
Grant and Contract Direct Costs	800,000,000	808,000,000
Grant and Contract Indirect Costs	187,000,000	189,000,000
Gifts	116,000,000	120,640,000
State Restricted Funds	6,310,000	6,342,000
Total Revenues	1,109,310,000	1,123,982,000
Expenditures	1,109,310,000	1,123,982,000
ACADEMIC ENHANCEMENT/SUPPORT BUDGET		
Revenues		
UW Medical Center	567,000,000	589,680,000
Auxiliary Enterprises	281,911,000	293,187,000
Auxiliary Educational Activities	169,052,000	175,814,000
Institutional Overhead	14,000,000	14,366,000
Total Revenues	1,031,963,000	1,073,047,000
Expenditures	1,031,963,000	1,073,047,000
TOTAL OPERATING BUDGET		
Revenues	2,775,963,000	2,856,020,000
Expenditures	2,775,963,000	2,856,020,000

Table 2

Proposed Budget
Core Education Operating Budget for Fiscal Year 2007

	FY 2006 Adopted	FY 2007 Proposed	Comment
<u>REVENUES</u>			
State General Fund	339,701,000	360,892,000	
Tuition Operating Fee	221,876,000	242,470,000	
Designated Operating Fund	50,113,000	52,079,000	
Subtotal: Ongoing Core Ed Revenues	611,690,000	655,441,000	
Use of Fund Balance for Temporary Expenditures	23,000,000	3,550,000	
TOTAL REVENUES	634,690,000	658,991,000	
<u>EXPENDITURES</u>			
<u>Adjusted Base Budget</u>	634,690,000	607,412,000	
<u>Workplace of Choice - Competitive Compensation</u>			
Salaries		16,585,000	<i>See Appendix 1</i>
Benefits		1,783,000	<i>See Appendix 1</i>
Subtotal		18,368,000	
<u>Attract a Diverse and Excellent Student Body</u>			
Enrollment Allocations			
UW/Seattle: General Enrollments		860,000	
UW/Seattle: Math, Science & Engineering Enrollments		870,000	
UW/Bothell		2,547,000	
UW/Tacoma		2,812,000	
Attract/Retain Diverse Students		1,045,000	<i>See Appendix 2</i>
Undergraduate Resident Financial Aid		1,140,000	
Graduate/Professional Financial Aid		530,000	
Subtotal		9,804,000	
<u>Academic Program and Research Excellence</u>			
Investments in Academic Excellence		9,168,000	<i>See Appendix 2</i>
Temporary Research Equipment Funding		2,150,000	
South Lake Union Phase 2 O&M (Legislative Action)		2,400,000	
Bioengineering/Genome Sciences O&M (Legislative Action)		2,000,000	
Pacific Northwest Seismic Network (Legislative Action)		400,000	
Subtotal		16,118,000	
<u>Integrity/Compliance/Stewardship Investments</u>			
		1,815,000	<i>See Appendix 2</i>
<u>Required Cost Increases/Adjustments</u>			
		1,924,000	<i>See Appendix 3</i>
SUBTOTAL: ONGOING CORE EDUCATION EXPENDITURES		655,441,000	
<u>Use of Fund Balance</u>		3,550,000	<i>See Table 3</i>
TOTAL EXPENDITURES		658,991,000	

Table 3

**Core Education Budget
Proposed Temporary Investments from Fund Balance for Fiscal Year 2007**

<u>Temporary Investments</u>	<u>FY 2007 Proposed</u>	<u>Comments</u>
Chief Investment Office Pilot Project	1,000,000	<i>Second year of five-year commitment</i>
Wireless Computing Infrastructure	1,250,000	<i>Final year of three-year commitment</i>
Arts and Sciences Undergrad Ed Initiatives	1,300,000	<i>Final year of two-year commitment</i>
TOTAL EXPENDITURES	3,550,000	

Table 4

**Proposed Budget
Restricted Programs Budget for Fiscal Year 2007**

	FY 2006 Adopted	FY 2007 Proposed	Comments
<u>REVENUES</u>			
Grant and Contract Direct Cost	800,000,000	808,000,000	
Grant and Contract Indirect Cost	187,000,000	189,000,000	
Gifts	116,000,000	120,640,000	
State Restricted Funds	6,310,000	6,342,000	
TOTAL REVENUES	1,109,310,000	1,123,982,000	
<u>EXPENDITURES</u>			
Grant and Contract Direct Cost	800,000,000	808,000,000	
Gifts	116,000,000	120,640,000	
<u>State Restricted Funds</u>	6,310,000	6,342,000	
Subtotal	922,310,000	934,982,000	
<u>Indirect Cost Recovery:</u>			
<u>Adjusted Base ICR Budget</u>	187,000,000	181,100,000	
<u>Workplace of Choice - Competitive Compensation:</u>			
Salaries		1,288,000	<i>See Appendix 1</i>
Benefits		874,000	<i>See Appendix 1</i>
Subtotal		2,162,000	
<u>Investments in Research Excellence</u>			
Research Cost Recovery Allocation Change		1,254,000	
Dedicated Indirect Cost Recovery Changes		1,123,000	<i>See Appendix 2</i>
<u>Academic Infrastructure - Library materials</u>		150,000	
Subtotal		2,527,000	
<u>Integrity/Compliance/Stewardship Investments</u>		2,041,000	<i>See Appendix 2</i>
<u>Required Cost Increases/Adjustments</u>		1,170,000	<i>See Appendix 3</i>
SUBTOTAL: INDIRECT COST RECOVERY BUDGET	187,000,000	189,000,000	
TOTAL EXPENDITURES	1,109,310,000	1,123,982,000	

Table 5
Proposed Capital Budget for Fiscal Year 2007

FY 2007 Capital Budget Proposal	FY 2007 Proposed
REVENUE	
Funding for FY 2006 Projects	
Projects Approved in FY 2006	392,805,726
Substantially Completed Projects	(148,275,621)
Previously Approved Continuing Projects	244,530,105
Funding for FY 2007 Projects	
State Funds (State Bonds)	(4,838,500)
Education Construction Account	22,201,000
Other State Funds	4,500,000
Subtotal, State Funds for FY 2007	21,862,500
UW Building Account - Local Funds	10,500,000
Donor/ICR - Local Funds	6,740,000
Enterprise Unit Funds	85,647,703
Transfer from Unrestricted Local Funds	3,000,000
Subtotal, Non-State Funds for FY 2007	105,887,703
Total, New Funds for FY 2007	127,750,203
TOTAL REVENUE	372,280,308
EXPENDITURES	
Previously Approved Continuing Projects	244,530,105
Proposed FY 2007 Projects	
UWMC Expansion	85,000,000
UW Tacoma Land Acquisition & Soils Remediation	4,000,000
Nanotechnology Space Renovation	4,500,000
Playhouse Theater Additional Funding	2,308,000
UW Tacoma Assembly Hall Additional Funding	4,432,000
Educational Outreach Additional Funding	647,703
Subtotal, Proposed FY 2007 Projects	100,887,703
Minor Projects	
Minor Works, Preservation	9,300,000
Minor Works - Health, Safety, and Code Requirements	5,500,000
Minor Works - Infrastructure Preservation	2,500,000
Minor Works - Facility Preservation	1,300,000
Preventative Facility Maintenance and Building System Repairs	12,912,500
Minor Works, Program	4,650,000
Subtotal, Minor Projects	26,862,500
Total, New Projects for FY 2007	127,750,203
TOTAL EXPENDITURES	372,280,308

Appendix 1

WORKPLACE OF CHOICE: COMPETITIVE COMPENSATION

Item	FY 2007 Change	FY 2007 Amount to Core Educ.	FY 2007 Amount to ICR
<u>SALARIES</u>			
Faculty			
2% Continuing Merit	4,430,000	4,430,000	0
Promotions	500,000	500,000	0
Floors	0	0	0
0.6% Additional Merit	1,329,000	1,329,000	0
Recruitment and Retention	1,750,000	1,750,000	0
2% June 1, 2006 Increase	3,578,000	3,578,000	0
Teaching/Research Assistants 2.6%	536,000	536,000	0
Professional Staff/Librarians			
Professional Staff/Librarians 3%	3,312,000	2,537,000	775,000
Represented Classified Staff 1.6%			
Classified Staff -- GOF	912,120	912,120	0
Classified Staff -- DOF	395,723	120,964	274,759
Represented Classified Staff: New 2.5% Top Step			
Classified Staff -- GOF	683,880	683,880	0
Classified Staff -- DOF	345,277	107,036	238,241
Non-represented Classified Staff GOF & DOF 1.6%	100,000	100,000	0
Classification Revisions/Salary Survey	1,000	1,000	0
Library Hourly Minimum Wage	0	0	0
Total Salaries	17,873,000	16,585,000	1,288,000
<u>BENEFITS</u>			
Employer Share of Health Benefits			
Benefits -- GOF	(327,000)	(327,000)	0
Benefits -- DOF	1,263,600	390,000	874,000
Others			
TA/RA Health Insurance	150,000	150,000	0
Social Sec Base Change	139,000	139,000	0
PERS Pension Change	827,000	827,000	0
PERS Pension Stabilization Payments	604,000	604,000	0
Total Benefits	2,656,600	1,783,000	874,000
TOTAL COMPENSATION	20,529,600	18,368,000	2,162,000

Appendix 2

INVESTMENTS IN PROGRAM EXCELLENCE

<u>Item</u>	<u>FY 2007 Change</u>	<u>FY 2007 Amount to Core Educ.</u>	<u>FY 2007 Amount to ICR</u>
<u>Investments in Academic Excellence</u>			
<u>Program Excellence</u>			
Business Program Enhancement	560,000	560,000	0
Law Program Enhancement	152,000	152,000	0
Nursing Program Enhancement	120,000	120,000	0
Global Health Department (Legislative Action)	500,000	500,000	0
Global Health Department Space	500,000	500,000	0
Undergraduate Honors Program	200,000	200,000	0
Medicine-WWAMI offset	191,000	191,000	0
Dentistry	60,000	60,000	0
Evans School	100,000	100,000	0
Ocean & Fishery Sciences	40,000	40,000	0
UW/Bothell (Tuition increase revenue)	676,000	676,000	0
UW/Tacoma (Tuition increase revenue)	688,000	688,000	0
<u>Academic Leadership Investments</u>			
Architecture & Urban Planning (permanent)	584,000	584,000	0
Architecture & Urban Planning (temporary)	510,000	510,000	0
Information School (permanent)	148,000	148,000	0
Information School (temporary)	262,000	262,000	0
Social Work (permanent)	94,000	94,000	0
Social Work (temporary)	250,000	250,000	0
Engineering (permanent)	535,000	535,000	0
Engineering (temporary)	1,375,000	1,375,000	0
<u>Workplace of Choice: Attract/Retain Outstanding Faculty</u>			
Bridge Funding for Researchers	1,000,000	1,000,000	0
Nursing	200,000	200,000	0
Pharmacy	100,000	100,000	0
Public Health	100,000	100,000	0
Law	43,000	43,000	0
<u>Academic Infrastructure</u>			
Law library materials	30,000	30,000	0
Library materials	300,000	150,000	150,000
Total	9,318,000	9,168,000	150,000
<u>Attract/Retain Diverse Students</u>			
Undergraduate Summer Bridge Program	225,000	225,000	0
Graduate School-Diversity Enrichment Initiative	80,000	80,000	0
Arts & Sciences - Undergrad Advising	200,000	200,000	0
Undergraduate Education - Undergrad Advising	240,000	240,000	0
MESA Outreach (Legislative Action)	300,000	300,000	0
Total	1,045,000	1,045,000	0

Appendix 2

INVESTMENTS IN PROGRAM EXCELLENCE

Item	FY 2007 Change	FY 2007 Amount to Core Educ.	FY 2007 Amount to ICR
<u>Investments in Integrity/Compliance/Stewardship</u>			
External Affairs	670,000	670,000	0
Animal Care Committee Staffing	50,000	0	50,000
Environmental Health and Safety	141,000	0	141,000
Human Subjects Review Boards	250,000	0	250,000
Planning & Budgeting	145,000	145,000	0
Office of the Provost	250,000	250,000	0
Civil Service Reform Implementation	250,000	250,000	0
Dept of Retirement Systems Compliance	100,000	100,000	0
Enterprise Risk Management	100,000	100,000	0
Leadership, Community & Values Initiative	150,000	150,000	0
Data Warehouse Program Support	150,000	150,000	0
Office of Research Information Systems	1,600,000	0	1,600,000
Total	3,856,000	1,815,000	2,041,000
 Grand Total	 14,219,000	 12,028,000	 2,191,000

Appendix 3

REQUIRED COST INCREASES/ADJUSTMENTS

Item	FY 2007 Change	FY 2007 Amount to Core Educ.	FY 2007 Amount to ICR
<u>Institutional Budgets</u>			
Utilities:			
Electricity	13,000	10,000	3,000
Natural gas	2,400,000	1,838,000	562,000
Water/sewer	(190,000)	(146,000)	(44,000)
Solid waste	9,000	7,000	2,000
Power plant operations	0	0	0
Subtotal utilities:	2,232,000	1,709,000	523,000
Other institutional budgets:			
Property rentals-general	(500,000)	(500,000)	0
Prop. Rental-Sand Point central	560,000	560,000	0
Prop. Rental-Sand Point unassigned	(120,000)	(120,000)	0
Wellington Hills	50,000	50,000	0
University Way security	(50,000)	(50,000)	0
Risk management	(1,700,000)	(1,302,000)	(398,000)
Transportation subsidy	92,000	70,000	22,000
Residential parking zone	15,000	11,000	4,000
Conservation loan payments	53,000	53,000	0
DOF revolving fund budgets	150,000	115,000	35,000
Montlake landfill monitoring	50,000	50,000	0
AFRS interface expense	59,000	59,000	0
Institutional financial audit	175,000	175,000	0
Investment management/custodial fees	15,000	15,000	0
Institutional overhead offset	(366,000)	(366,000)	0
Subtotal other institutional budgets:	(1,517,000)	(1,180,000)	(337,000)
<u>Other Issues</u>			
Recycling program	100,000	77,000	23,000
Hazardous materials charges	187,000	143,000	44,000
RV Thompson ship time	400,000	400,000	0
Increase in summer quarter costs	300,000	300,000	0
Print Plant loan payment	(605,000)	(605,000)	0
BioE/Genome and R+T debt service	1,300,000	0	1,300,000
BioE/Genome State funding offset	(2,000,000)	0	(2,000,000)
R&T building management and utility services	1,617,000	0	1,617,000
State mandated SmartBuy budget cut	664,000	664,000	0
Subtotal Other Issues	1,963,000	979,000	984,000

Appendix 3

REQUIRED COST INCREASES/ADJUSTMENTS

Item	FY 2007 Change	FY 2007 Amount to Core Educ.	FY 2007 Amount to ICR
<u>Other Legislative Actions</u>			
Revolving fund changes	17,000	17,000	0
UW Autism Center at UW/Tacoma	100,000	100,000	0
Burke Museum educational programs	150,000	150,000	0
WA Search for Young Scholars	150,000	150,000	0
Operating to capital shift	(1,000)	(1,000)	0
Subtotal Other Legislative Actions	416,000	416,000	0
Subtotal Required Cost Increases	3,094,000	1,924,000	1,170,000
<u>Policy Application</u>			
RCR change: calculation methodology update (3 yr phase-in)	1,254,000	0	1,254,000
Subtotal Policy Application	1,254,000	0	1,254,000
<u>Dedicated Indirect Cost Recovery Dollars</u>			
Primate Center "B" rate	(300,000)	0	(300,000)
Harborview Research and Training Bldg	300,000	0	300,000
Dedicated ICR: calculation methodology update (3 yr phase-in)	(377,000)	0	(377,000)
South Lake Union 1 (815 Mercer)	1,500,000	0	1,500,000
Subtotal Dedicated Indirect Cost Recovery	1,123,000	0	1,123,000
TOTAL REQUIRED COST INCREASES/ADJUSTMENTS	5,471,000	1,924,000	3,547,000

**University of Washington
Proposed Academic Year 2006-07 Tuition Rates**

	2005-06			2006-07			2006-07 Proposed UW Tuition & Fees	HECB 24 Comparison Group Projected 2006-07 Tuition & Fees	2006-07 Projected Comparison Group Tuition & Fees*	2006-07 Projected Comparison Group % Increase
	Tuition	\$ Increase	% Increase	Proposed Tuition	Estimated Fees	2006-07 Proposed UW Tuition & Fees				
Undergraduate Resident	5,103	+ 357	7.0%	5,460	528	5,988	21,192	7,693	9.3%	
Undergraduate Non-resident	19,400	+ 1,358	7.0%	20,758	528	21,286	21,192	21,192	7.8%	
Graduate Tier I Resident	7,750	+ 543	7.0%	8,293	528	8,821	9,251	9,251	8.7%	
Graduate Tier I Non-Resident	18,800	+ 1,316	7.0%	20,116	528	20,644	20,646	20,646	7.2%	
Graduate Tier II Resident	8,000	+ 543	6.8%	8,543	528	9,071				
Graduate Tier II Non-Resident	19,050	+ 1,316	6.9%	20,366	528	20,894				
Graduate Tier III Resident	8,250	+ 543	6.6%	8,793	528	9,321				
Graduate Tier III Non-Resident	19,300	+ 1,316	6.8%	20,616	528	21,144				
Pharm.D. Resident	10,670	+ 1,067	10.0%	11,737	528	12,265	14,655	14,655	9.1%	
Pharm.D. Non-Res	21,120	+ 2,112	10.0%	23,232	528	23,760	27,977	27,977	7.4%	
Law Resident	14,300	+ 1,430	10.0%	15,730	528	16,258	18,101	18,101	9.8%	
Law Non-Resident	21,230	+ 2,123	10.0%	23,353	528	23,881	29,995	29,995	7.4%	
Medicine Resident	13,952	+ 1,395	10.0%	15,347	528	15,875	23,413	23,413	8.4%	
Medicine Non-Resident	33,790	+ 3,379	10.0%	37,169	528	37,697	39,589	39,589	5.7%	
Dentistry Resident	13,952	+ 1,395	10.0%	15,347	528	15,875	22,996	22,996	9.9%	
Dentistry Non-Resident	33,790	+ 3,379	10.0%	37,169	528	37,697	41,598	41,598	7.8%	
<u>Nursing Masters Degrees</u>										
UW/Seattle										
Nursing Masters' Resident	9,700	+ 970	10.0%	10,670	528	11,198		9,251	8.7%	
Nursing Masters' Non-Res.	19,200	+ 1,920	10.0%	21,120	528	21,648		20,646	7.2%	
UW/Bothell & UW/Tacoma										
Nursing Masters' Resident	8,000	+ 543	6.8%	8,543	528	9,071		9,251	8.7%	
Nursing Masters' Non-Resident	19,050	+ 1,316	6.9%	20,366	528	20,894		20,646	7.2%	

* Peer projections based on 5-Year Least Squares Trend Estimate

University of Washington
Academic Year 2006-07 Tuition Rate Proposals

HECB 24 Comparison Group
Projected 2006-07 Tuition & Fees

	2005-06			2006-07			Proposed UW Tuition & Fees	Projected Comparison Group Tuition & Fees*	Projected Comparison Group % Increase
	Tuition	\$ Increase	% Increase	Proposed Tuition	Estimated Fees	Proposed Tuition & Fees			
<u>Business Masters Degrees</u>									
UW/Seattle									
Business Masters Res. (Incoming)	14,780	+ 2,520	17.1%	17,300	528	17,828	18,335	9.5%	
Business Masters Non-Res (Inc.)	24,717	+ 2,283	9.2%	27,000	528	27,528	28,885	7.9%	
Business Masters Res. (Continuing)	14,780	+ 0	0.0%	14,780	528	15,308	18,335	9.5%	
Business Masters Non-Res (Cont.) (no increase- 2nd Yr of cohort pricing)	24,717	+ 0	0.0%	24,717	528	25,245	28,885	7.9%	
UW/Bothell									
Business Masters Res. (Incoming)	14,780	+ 1,168	7.9%	15,948	405	16,353	18,335	9.5%	
Business Masters Non-Res (Inc.)	24,717	+ 0	0.0%	24,717	405	25,122	28,885	7.9%	
Business Masters Res. (Continuing)	14,780	+ 0	0.0%	14,780	405	15,185	18,335	9.5%	
Business Masters Non-Res (Cont.) (no increase- 2nd Yr of cohort pricing)	24,717	+ 0	0.0%	24,717	405	25,122	28,885	7.9%	
UW/Tacoma									
Business Masters Resident	11,935	+ 1,194	10.0%	13,129	441	13,570	18,335	9.5%	
Business Masters Non-Res	21,700	+ 2,170	10.0%	23,870	441	24,311	28,885	7.9%	
<u>Post-baccalaureate and Non-matriculated Students</u>									
Post-baccalaureate Resident									
taking only undergraduate courses	5,103	+ 357	7.0%	5,460	528	5,988			
taking 1 or more graduate courses	8,250	+ 543	6.6%	8,793	528	9,321			
Post-baccalaureate Non-resident									
taking only undergraduate courses	19,400	+ 1,358	7.0%	20,758	528	21,286			
taking 1 or more graduate courses	19,300	+ 1,312	6.8%	20,616	528	21,144			
Non-matriculated Resident									
taking only undergraduate courses	5,103	+ 357	7.0%	5,460	528	5,988			
taking 1 or more graduate courses	8,250	+ 543	6.6%	8,793	528	9,321			
Non-matriculated Non-Resident									
taking only undergraduate courses	19,400	+ 1,358	7.0%	20,758	528	21,286			
taking 1 or more graduate courses	19,300	+ 1,312	6.8%	20,616	528	21,144			

* Peer projections based on 5-Year Least Squares Trend Estimate

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Granting of Honorary Degree for William D. Ruckelshaus for June 2006RECOMMENDED ACTION:

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents approve the granting of an Honorary Doctor of Laws degree to William D. Ruckelshaus, at the annual commencement ceremony on June 10, 2006.

BACKGROUND:

RCW 28B.20.130 (3) grants to the Board of Regents, upon recommendation of the faculty, the authority to confer degrees upon persons other than graduates “in recognition of their learning or devotion to literature, arts, or sciences.”

Under legislation adopted by the Faculty Senate in December 2001, the Faculty Council on University Relations was vested with the responsibility for recommending candidates for such degrees. On May 8, 2006, the Faculty Council on University Relations recommended to President Mark A. Emmert that William D. Ruckelshaus be awarded the degree of Doctor of Laws, *honoris causa*, and that the degree be awarded at the June 10, 2006 commencement ceremony, where Mr. Ruckelshaus will participate as the commencement speaker. President Emmert concurs and brings this recommendation to the Board of Regents.

During his nearly half century of public service, Mr. Ruckelshaus has played a significant role in our nation’s history, particularly with regard to environmental policy. His exemplary career spans the presidencies of Richard Nixon, Ronald Reagan, William Clinton and George W. Bush and serves as a model of principled and effective leadership.

A native of Indiana, Mr. Ruckelshaus received his Bachelor of Arts degree from Princeton University, where he graduated cum laude, and obtained his law degree from Harvard University in 1960.

He was the Deputy Attorney General of Indiana and the majority leader in the Indiana House of Representatives before being appointed by President Nixon in 1969 as Assistant Attorney General in charge of the Civil Division for the U.S. Department of Justice.

In 1970, Mr. Ruckelshaus was chosen to be the first Administrator of the United States Environmental Protection Agency and in 1973 he became Deputy Attorney General of the United States Department of Justice. His integrity and commitment to the rule of law were clearly demonstrated when, on October 20, 1973, he and Attorney General Elliot

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Granting of Honorary Degree for William D. Ruckelshaus for June 2006 (continued p.2)

Richardson resigned their positions rather than bowing to pressure from a Nixon administration that soon fell under the weight of the infamous Watergate affair.

In 1976, he became the Weyerhaeuser Company's Senior Vice President for Law and Corporate Affairs. He stayed with Weyerhaeuser until 1983, when he returned to the EPA as its fifth Administrator. Joining the Seattle law firm of Perkins Coie in 1985, his commitment to environmental problem solving continued to grow. From 1983 to 1986 he served on the United Nation's World Commission on Environment and Development. The Commission's final report, *Our Common Future*, focused the world's attention on the need for sustainable development policies that recognize the indivisibility of environmental protection and economic and social development.

Over the past several years, Mr. Ruckelshaus has contributed his expertise to several governmental boards dealing with the environment. Last year, Governor Christine Gregoire selected him to co-chair the Puget Sound Partnership, a collaborative effort to restore the health of Puget Sound.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

UW

Report of Contributions

University of Washington
University of Washington Foundation

March 2006

NOTES AS OF MARCH 31, 2006

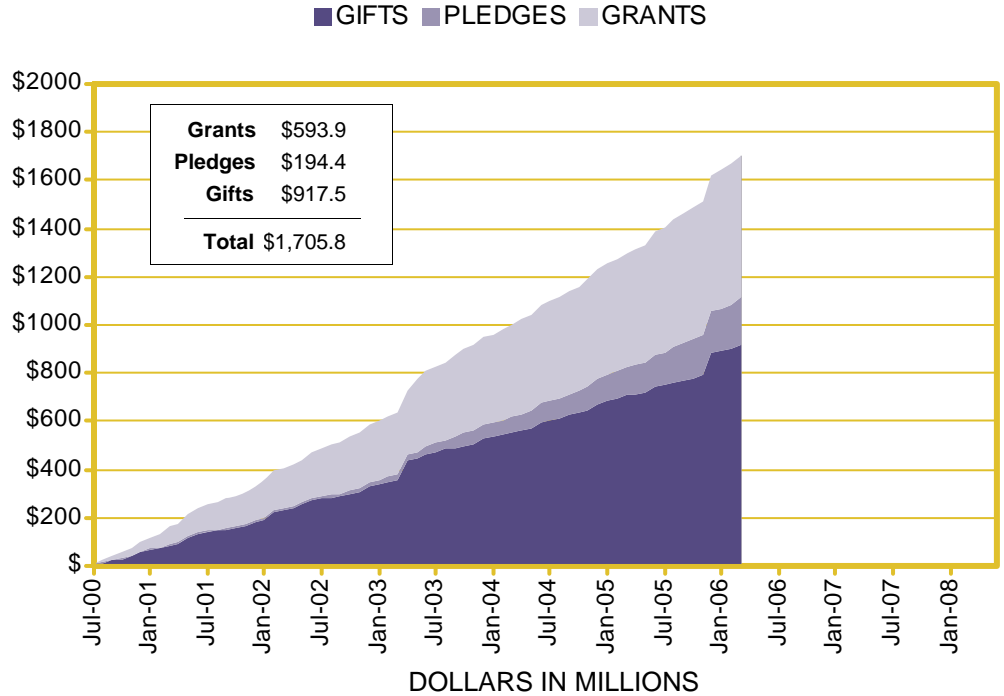
DATA POINTS

\$1,705,765,493 has been raised toward our campaign goal of \$2 billion.

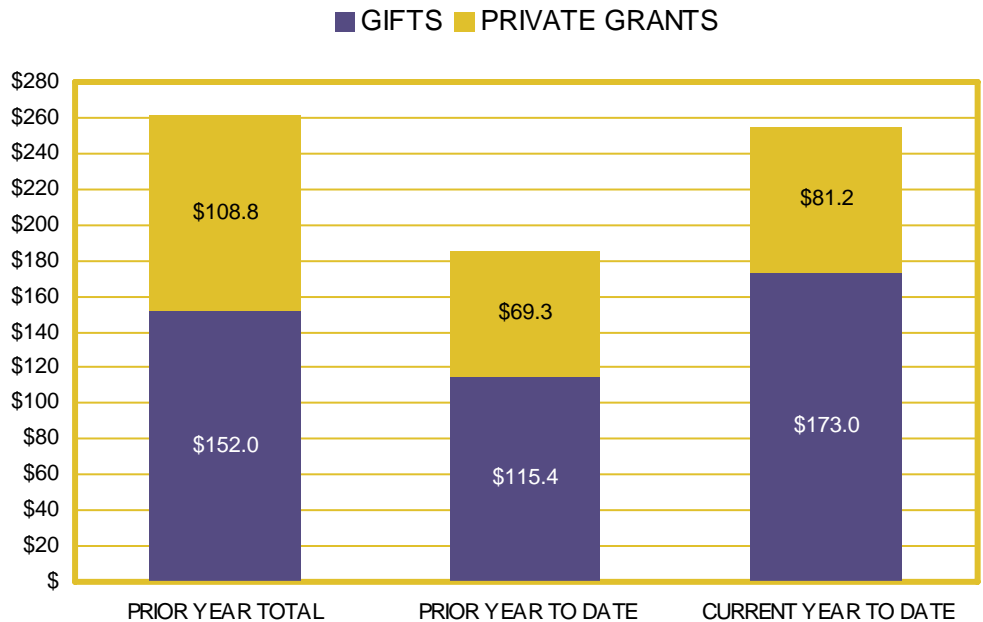
The UW received \$22.8 million in total private voluntary support (\$15.2 million in gifts and \$7.6 in grants) in March.

Areas including Architecture, Dentistry, Engineering, Forest Resources, Intercollegiate Athletics, Law, Libraries, Nursing, Ocean and Fisheries, Scholar. & Student Progs., Social Work, University Press, UW Alumni Association, UW Bothell, UW Medicine and UW Tacoma are ahead of last year's year-to-date totals.

FUNDRAISING PROGRESS SINCE JULY 1, 2000



CURRENT GIFT AND PRIVATE GRANT TOTALS



MARCH 2006 GIFTS AND IMPACT

Selected gifts representing private support for one of the University of Washington's key fundraising priorities -- student, faculty, program and facility support.

Anonymous — \$38,610 to the Graduate School

- This gift to create an endowed fellowship will provide support to graduate students of Native American descent studying the humanities or social sciences, with an emphasis on history.
- The newly-created endowment was recently invested with \$210,000 (\$110,000 from the donors and \$100,000 in matching funds from the University's Matching Gift Initiative, the Graduate School and the College of Arts and Sciences.) Funds will be available to help recruit students for the 2007 academic year.
- Graduate students are critical participants in the UW's missions of research and education. They work side by side with faculty, and their quality enhances the UW's ability to attract outstanding faculty and to produce high quality research. Fellowships are key to attracting the best students to graduate school, which typically does not provide as many tuition-assistance options as undergraduate programs.

William M. Baker— \$15,000 to the College of Arts and Sciences

- This gift supports the work of Don Brownlee, the principal investigator for the STARDUST mission. STARDUST, which recently returned to Earth, was the first attempt to retrieve samples of interstellar dust preserved in a comet.
- The seven-year Stardust mission's unmanned, 500-pound spacecraft was launched in February 1999. Researchers, led by Brownlee, are now reviewing material that will provide information about the early formation of planets and stars.
- Mr. Baker made this gift as a way to thank Professor Brownlee for his dedication to the UW Astronomy Department, the UW in general and for his contributions to the field of Astronomy.
- Prof. Brownlee first envisioned this type of comet mission 25 years ago. Brownlee is also involved in a number of other missions and proposals, including the Kepler Mission, a search for habitable planets.





REPORT OF CONTRIBUTIONS

March 2006

CAMPAIGN UW: CREATING FUTURES

Report prepared by: Office of Development and Alumni Relations
Advancement Services, Information Management

4/12/2006 01:17 PM

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*All Campaign totals represented are from July 1, 2000 through the end of the preceding calendar month. Please note that grant revenue totals in Campaign Reports may contain clinical trials. Fundraising totals from all affiliated non-profit organizations are also included in UW Campaign totals.

The UW Campaign Executive Committee developed the counting policy for Campaign reports, based on Council for Advancement and Support of Education (CASE) campaign counting standards.

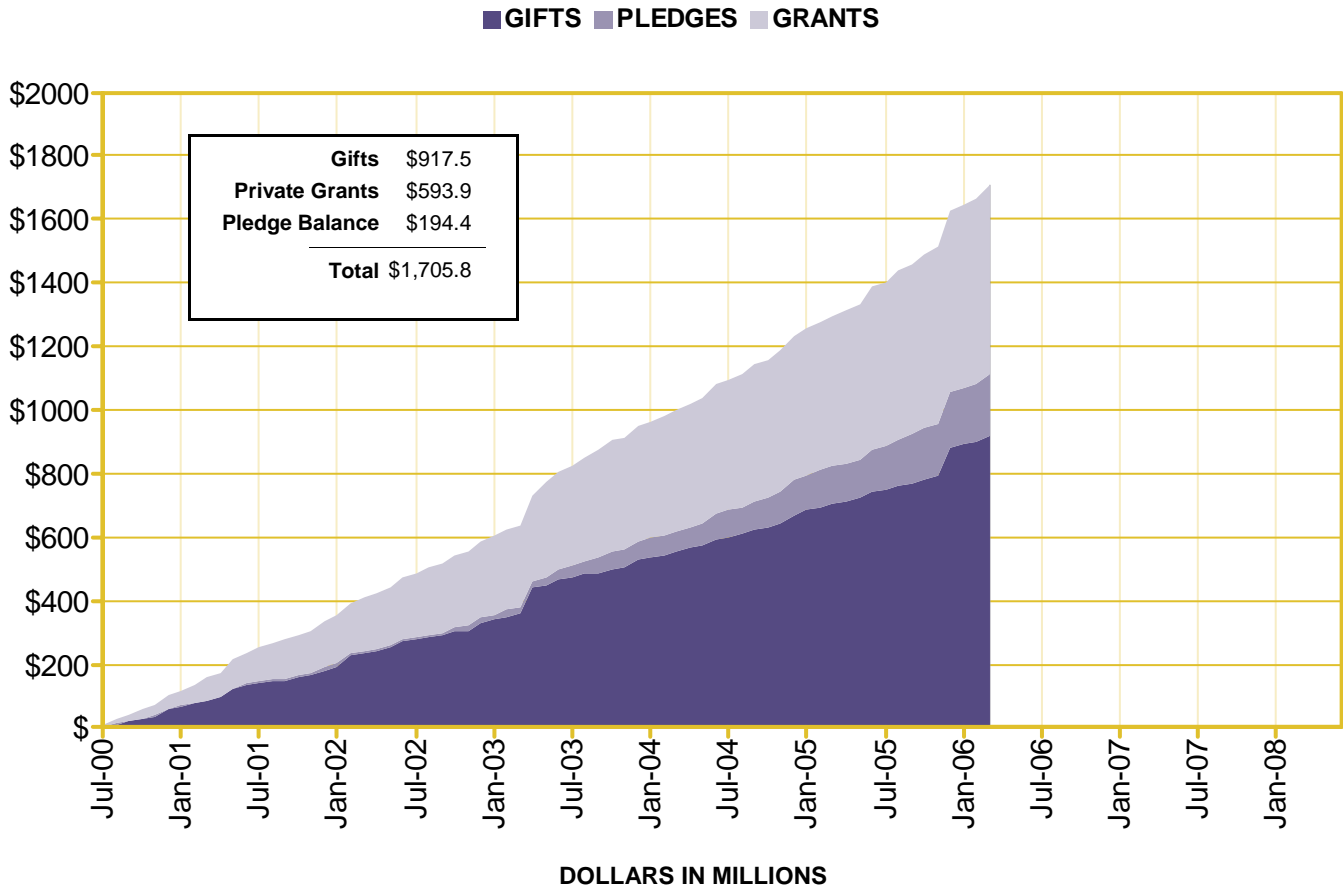
Annual reporting is July 1, 2005 through the end of the preceding calendar month.

Job Number: 70122
March 2006

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CAMPAIGN PROGRESS SINCE JULY 1, 2000



Source: UW Office of Development

Summarizes Total Private Voluntary Support since July 1, 2000. Testamentary Commitments included in Pledge Balance total. All dollar totals in millions.

Job Number: 70122
 March 2006

Fundraising Progress Since July 1, 2000



CAMPAIGN PROGRESS BY GIVING LEVEL

DOLLARS RAISED							
Gifts, Pledges & Grants							
Giving Level *	Alumni	Non Alumni	Family Fndns.	Corporations	Foundations	Other Orgs.	Total
\$100M+	\$0	\$32,720	\$198,652,694	\$0	\$0	\$0	\$198,685,414
\$50M - \$99,999,999	\$0	\$0	\$0	\$0	\$0	\$0	\$0
\$10M - \$49,999,999	\$42,516,205	\$58,638,243	\$20,527,500	\$52,571,122	\$91,496,870	\$11,391,706	\$277,141,646
\$5M - \$9,999,999	\$23,124,854	\$5,111,250	\$965,000	\$53,326,727	\$30,715,054	\$66,299,893	\$179,542,777
\$1M - \$4,999,999	\$94,985,328	\$51,760,971	\$25,500,492	\$111,204,778	\$105,331,276	\$71,986,485	\$460,769,329
\$100,000 - \$999,999	\$84,098,681	\$65,619,486	\$22,050,085	\$108,991,636	\$55,409,146	\$50,641,189	\$386,810,224
\$25,000 - \$99,999	\$22,295,584	\$17,077,375	\$2,947,511	\$27,264,818	\$6,975,880	\$9,095,819	\$85,656,987
\$10,000 - \$24,999	\$15,115,259	\$11,198,856	\$892,880	\$8,871,139	\$1,351,291	\$2,165,376	\$39,594,801
\$5,000 - \$9,999	\$10,763,524	\$8,267,516	\$263,743	\$4,631,008	\$564,338	\$640,953	\$25,131,082
\$2,000 - \$4,999	\$9,014,911	\$7,278,616	\$79,958	\$3,106,560	\$263,606	\$437,943	\$20,181,594
\$1,000 - \$1,999	\$4,890,317	\$3,900,319	\$23,676	\$1,317,351	\$71,351	\$196,016	\$10,399,030
\$500 - \$999	\$4,545,514	\$3,388,382	\$4,460	\$648,558	\$27,940	\$84,449	\$8,699,303
\$250 - \$499	\$3,147,795	\$2,162,674	\$900	\$282,284	\$8,632	\$40,496	\$5,642,781
\$100 - \$249	\$2,809,148	\$1,893,635	\$2,365	\$261,460	\$8,192	\$29,123	\$5,003,923
\$1 - \$99	\$1,323,223	\$1,105,208	\$189	\$67,485	\$1,088	\$9,410	\$2,506,602
Total	\$318,630,341	\$237,435,250	\$271,911,453	\$372,544,927	\$292,224,666	\$213,018,856	\$1,705,765,493
DONOR COUNTS							
Giving Level	Alumni	Non Alumni	Family Fndns.	Corporations	Foundations	Other Orgs.	Total
\$100M+	0	2	1	0	0	0	3
\$50M - \$99,999,999	0	0	0	0	0	0	0
\$10M - \$49,999,999	9	7	2	4	6	1	29
\$5M - \$9,999,999	6	6	1	8	5	9	35
\$1M - \$4,999,999	85	79	13	54	44	40	315
\$100,000 - \$999,999	478	520	70	413	169	170	1,820
\$25,000 - \$99,999	895	868	65	602	144	183	2,757
\$10,000 - \$24,999	1,830	1,704	59	660	94	146	4,493
\$5,000 - \$9,999	2,765	2,487	46	774	93	102	6,267
\$2,000 - \$4,999	4,783	4,841	28	1,184	90	155	11,081
\$1,000 - \$1,999	5,656	5,631	21	1,189	61	169	12,727
\$500 - \$999	9,559	8,820	10	1,191	46	144	19,770
\$250 - \$499	11,761	9,854	3	983	28	131	22,760
\$100 - \$249	22,476	18,823	16	2,039	58	229	43,641
\$1 - \$99	36,367	37,221	4	1,737	23	272	75,624
Total	96,670	90,863	339	10,838	861	1,751	201,322

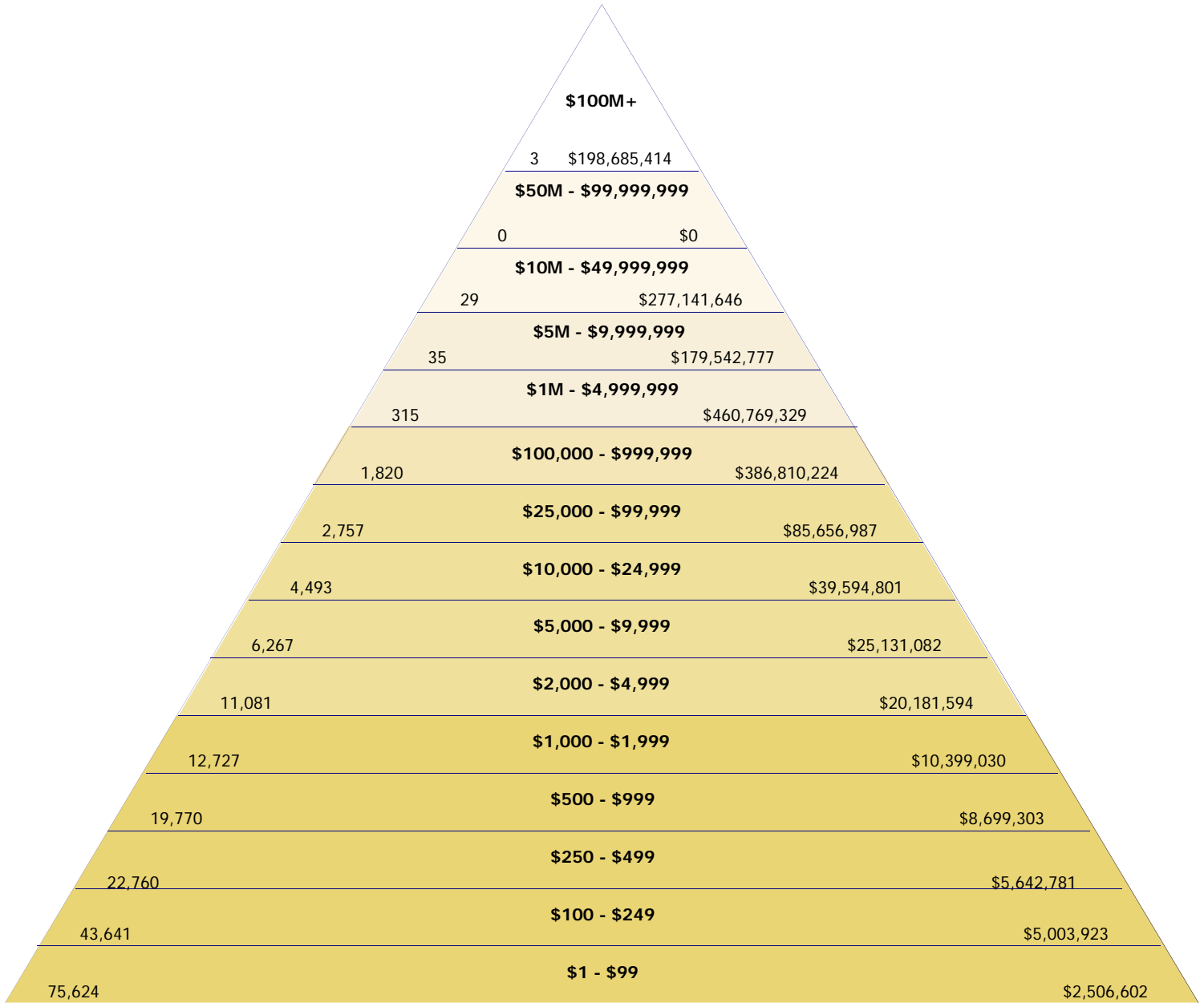
Source: UW Office of Development & Alumni Relations

This report shows the count of distinct donors and campaign total by giving level and donor type since July 1, 2000.

*"Giving Level" is determined by summing all gift record types (including grants)



CAMPAIGN PROGRESS BY PYRAMID, SINCE JULY 1, 2000



201,322 Donor Count

Campaign Total: \$1,705,765,493

*Campaign Working Goal: \$2,000,000,000

Percent Complete: 85.3%

Source: UW Office of Development

The counts of distinct donors and fundraising totals by giving level are shown.

*Unit campaign goals are still being finalized.

Pyramid Levels are determined by summing all gift record types (including grants)



CAMPAIGN PROGRESS BY CONSTITUENCY

	GIFTS	Irrevocable Deferred Gifts		PLEDGES	Testamentary Commitments		GRANTS	Campaign - Private Voluntary Support		
		Donor Value	Discount Value		Donor Value	Discount Value		TOTAL	Working Goal	% of Goal
UW Medicine	\$262,158,983	\$11,148,133	\$5,932,972	\$20,157,204	\$21,232,853	\$6,835,823	\$294,137,645	\$608,834,819	\$1,000,000,000	60.9%
Architecture	\$6,785,856	\$2,244,117	\$658,000	\$1,721,475	\$2,000,000	\$1,142,468	\$3,701,923	\$16,453,371	\$19,000,000	86.6%
Arts and Sciences	\$88,260,952	\$6,455,037	\$3,955,411	\$8,757,253	\$34,584,000	\$21,521,551	\$61,508,899	\$199,566,141	\$240,000,000	83.2%
Broadcast Services	\$38,698,985	\$0	\$0	\$14,438	\$0	\$0	\$0	\$38,713,423	N/A	N/A
Business School	\$51,452,461	\$906,563	\$643,347	\$26,051,140	\$3,575,271	\$2,314,198	\$225,800	\$82,211,235	\$135,000,000	60.9%
Dentistry	\$6,722,951	\$246,992	\$110,376	\$989,115	\$775,000	\$431,459	\$3,648,263	\$12,382,321	\$15,000,000	82.5%
Education	\$9,170,561	\$28,552	\$10,686	\$3,420,514	\$0	\$0	\$12,274,803	\$24,894,430	\$23,000,000	108.2%
Engineering	\$119,220,316	\$1,940,961	\$1,083,352	\$6,942,477	\$5,350,011	\$2,450,563	\$65,495,381	\$198,949,145	\$250,000,000	79.6%
Evans Schl. of Pub. Affairs	\$9,251,231	\$57,994	\$69,964	\$428,780	\$500,000	\$265,793	\$35,672,836	\$45,910,842	\$40,000,000	114.8%
Forest Resources	\$8,078,704	\$646,572	\$516,844	\$582,700	\$2,500,000	\$1,266,903	\$3,325,459	\$15,133,435	\$17,700,000	85.5%
Friday Harbor Labs	\$5,805,110	\$153,242	\$78,775	\$357,766	\$3,305,000	\$2,258,599	\$775,938	\$10,397,056	\$12,000,000	86.6%
Information School	\$2,621,130	\$0	\$0	\$153,972	\$100,000	\$64,666	\$875,683	\$3,750,785	\$5,000,000	75.0%
Intercollegiate Athletics	\$84,781,187	\$246,570	\$166,700	\$5,145,820	\$25,000	\$10,388	\$0	\$90,198,578	\$110,000,000	82.0%
Law	\$58,259,977	\$2,026	\$2,026	\$1,121,186	\$0	\$0	\$958,642	\$60,341,832	\$70,000,000	86.2%
Libraries	\$5,286,175	\$442,146	\$351,456	\$96,257	\$772,000	\$379,527	\$475,708	\$7,072,286	\$9,000,000	78.6%
Nursing	\$8,242,316	\$285,000	\$210,008	\$944,425	\$2,825,000	\$1,454,415	\$7,383,610	\$19,680,352	\$24,000,000	82.0%
Ocean and Fisheries	\$11,747,917	\$5,812,500	\$2,679,448	\$84,762	\$0	\$0	\$22,622,097	\$40,267,276	\$34,000,000	118.4%
Pharmacy	\$8,058,519	\$0	\$0	\$481,469	\$0	\$0	\$4,241,624	\$12,781,611	\$10,260,000	124.6%
President's Funds	\$5,279,501	\$738,472	\$543,577	\$434,729	\$2,750,000	\$1,353,977	\$0	\$9,202,703	N/A	N/A
Public Health	\$13,313,877	\$50,259	\$33,185	\$798,465	\$0	\$0	\$57,823,258	\$71,985,859	\$90,000,000	80.0%
Scholar. & Student Progs.	\$26,901,297	\$654,684	\$334,149	\$2,028,026	\$1,000,000	\$530,038	\$691,327	\$31,275,333	\$40,000,000	78.2%
Social Work	\$1,968,548	\$290,566	\$158,012	\$63,195	\$0	\$0	\$5,230,271	\$7,552,580	\$10,000,000	75.5%
University Press	\$1,714,329	\$83,788	\$62,116	\$38,822	\$1,300,000	\$1,421,362	\$0	\$3,136,939	\$3,000,000	104.6%
University Support	\$30,445,834	\$1,510,063	\$757,793	\$8,062,150	\$11,300,000	\$4,855,765	\$11,968,949	\$63,286,995	N/A	N/A
UW Bothell	\$2,094,333	\$0	\$0	\$213,691	\$0	\$0	\$750,500	\$3,058,524	\$5,700,000	53.7%
UW Tacoma	\$17,052,027	\$195,000	\$173,651	\$8,480,906	\$2,925,000	\$1,657,696	\$74,690	\$28,727,623	\$30,000,000	95.8%
All UW Total	\$883,373,079	\$34,139,236	\$18,531,848	\$97,570,736	\$96,819,135	\$50,215,191	\$593,863,307	\$1,705,765,493	\$2,000,000,000	85.3%

Source: UW Office of Development

Fundraising progress toward campaign working goals by constituency area (school/college/program). Campaign total is the sum of gifts, grants, active pledges and donor values of irrevocable deferred gifts and testamentary commitments. "N/A" is not applicable. 1 - "Pledges" are those in active status only. 2 - "Grants" are private grants only. 3 - Unit campaign working goals are still being finalized.

Job Number: 70122

March 2006

Campaign Progress by Constituency - Since July 2000

CAMPAIGN FUNDING THEME PROGRESS - JULY 2000 - PRESENT

THEME	CURRENT USE	ENDOWMENT	TOTAL
Student Support	\$78,870,823	\$142,872,800	\$221,743,623
Faculty Support	\$77,669,159	\$108,394,042	\$186,063,201
Program Support for Faculty and Students	\$748,994,010	\$112,311,405	\$861,305,415
Capital	\$258,963,247	\$1,778,269	\$260,741,516
Unrestricted	\$146,339,580	\$29,572,158	\$175,911,738
Total	\$1,310,836,820	\$394,928,673	\$1,705,765,493
Goal	\$1,600,000,000	\$400,000,000	\$2,000,000,000
% to Goal	81.9%	98.7%	85.3%

Source: UW Office of Development

This report shows contribution totals by campaign theme/priority since July 1, 2000.

Job Number: 70122

March 2006

Campaign Theme Progress



DEVELOPMENT SUMMARY - TOTAL PRIVATE VOLUNTARY SUPPORT

AREA	YEAR TO DATE DONOR VALUES		
	GIFTS	PRIVATE GRANTS	TOTAL
UW Seattle			
UW Medicine	\$43,515,987	\$36,472,687	\$79,988,675
Architecture	\$2,881,874	\$2,179,148	\$5,061,022
Arts and Sciences	\$13,180,334	\$6,172,713	\$19,353,047
Broadcast Services	\$5,463,706		\$5,463,706
Business School	\$7,990,748	\$25,000	\$8,015,748
Dentistry	\$1,234,300	\$142,004	\$1,376,304
Education	\$1,402,442	\$620,544	\$2,022,986
Engineering	\$15,723,606	\$13,549,545	\$29,273,151
Evans Schl. of Pub. Affairs	\$602,338	\$4,516,931	\$5,119,269
Forest Resources	\$2,035,064	\$523,441	\$2,558,505
Graduate School	\$1,996,485	\$109,794	\$2,106,279
Information School	\$268,454	\$38,736	\$307,190
Intercollegiate Athletics	\$11,754,350		\$11,754,350
Law	\$35,647,839	\$20,698	\$35,668,537
Libraries	\$1,105,427	\$65,382	\$1,170,809
Nursing	\$1,397,461	\$778,485	\$2,175,946
Ocean and Fisheries	\$7,334,632	\$6,816,318	\$14,150,950
Pharmacy	\$1,403,853	\$232,370	\$1,636,223
President's Funds	\$632,055		\$632,055
Public Health	\$744,227	\$6,717,981	\$7,462,208
Scholar. & Student Progs.	\$6,447,120	\$66,456	\$6,513,576
Social Work	\$561,282	\$555,749	\$1,117,031
UW Alumni Association	\$712,773		\$712,773
University Press	\$290,539		\$290,539
University Support	\$6,750,844	\$927,832	\$7,678,676
UW Bothell	\$112,682	\$700,000	\$812,682
UW Tacoma	\$1,802,028	\$4,990	\$1,807,018
All UW Total	\$172,992,463	\$81,236,806	\$254,229,269

Source: UW Office of Development

Contribution totals for the major Development areas of the University are shown.

Job Number: 70122

March 2006

Development Area Summary - Total Private Voluntary Support



DEVELOPMENT SUMMARY - GIFTS AND PRIVATE GRANTS

AREA	CURRENT MONTH		YEAR TO DATE		PRIOR YEAR TO DATE		PRIOR FISCAL YEAR	
	Donors	Value	Donors	Value	Donors	Value	Donors	Value
UW Seattle								
UW Medicine	2,400	\$6,967,289	14,237	\$79,988,675	14,911	\$63,739,451	18,807	\$89,363,526
Architecture	185	\$59,942	1,072	\$5,061,022	991	\$671,139	1,125	\$900,689
Arts and Sciences	1,585	\$1,500,376	13,231	\$19,353,047	12,971	\$20,380,258	15,435	\$25,765,062
Broadcast Services	645	\$856,969	2,604	\$5,463,706	2,381	\$5,834,983	3,217	\$8,301,726
Business School	317	\$475,845	3,590	\$8,015,748	3,486	\$9,580,661	4,296	\$12,344,544
Dentistry	109	\$100,251	1,087	\$1,376,304	1,072	\$1,143,205	1,270	\$1,418,395
Education	225	\$49,495	1,590	\$2,022,986	1,289	\$3,439,080	1,475	\$7,555,540
Engineering	295	\$1,490,835	3,528	\$29,273,151	3,112	\$21,567,930	4,024	\$31,841,841
Evans Schl. of Pub. Affairs	117	\$874,854	418	\$5,119,269	614	\$8,912,305	721	\$9,948,313
Forest Resources	129	\$244,588	875	\$2,558,505	900	\$1,240,222	1,023	\$2,002,612
Graduate School	160	\$200,332	675	\$2,106,279	488	\$2,436,442	535	\$4,065,408
Information School	52	\$44,567	523	\$307,190	495	\$344,135	569	\$400,100
Intercollegiate Athletics	1,059	\$1,155,130	11,091	\$11,754,350	11,236	\$10,904,695	23,274	\$15,865,980
Law	117	\$48,256	1,566	\$35,668,537	1,415	\$1,256,725	1,728	\$1,831,593
Libraries	1,579	\$202,494	3,386	\$1,170,809	3,503	\$837,868	4,105	\$1,030,319
Nursing	173	\$199,957	1,614	\$2,175,946	1,490	\$2,004,790	1,627	\$2,439,883
Ocean and Fisheries	87	\$5,679,115	630	\$14,150,950	583	\$7,045,787	679	\$9,870,610
Pharmacy	177	\$178,108	1,076	\$1,636,223	941	\$2,159,444	1,031	\$3,133,622
President's Funds	317	\$28,877	1,509	\$632,055	1,597	\$547,556	2,237	\$693,593
Public Health	149	\$358,903	630	\$7,462,208	556	\$7,555,622	639	\$10,248,558
Scholar. & Student Progs.	638	\$1,204,295	2,649	\$6,513,576	2,690	\$3,582,518	3,358	\$5,087,417
Social Work	85	\$142,187	719	\$1,117,031	604	\$967,349	788	\$1,456,040
UW Alumni Association	1,855	\$86,750	14,822	\$712,773	14,038	\$648,509	20,482	\$1,034,131
University Press	28	\$32,827	302	\$290,539	262	\$231,985	357	\$465,799
University Support	494	\$377,918	1,854	\$7,678,676	1,274	\$6,993,444	2,202	\$9,634,062
UW Bothell	113	\$29,739	361	\$812,682	328	\$244,617	520	\$286,256
UW Tacoma	158	\$218,663	539	\$1,807,018	422	\$421,067	650	\$3,786,663
All UW Unique Total	12,482	\$22,808,573	72,395	\$254,229,269	70,733	\$184,691,801	95,227	\$260,772,296

Source: UW Office of Development

The number of donors and contribution totals for the major Development areas of the University are shown. Dollar values are based on donor values.

Job Number: 70122

March 2006

Development Area Summary - Gifts and Private Grants



DEVELOPMENT SUMMARY - GIFTS

AREA	CURRENT MONTH		YEAR TO DATE		PRIOR YEAR TO DATE		PRIOR FISCAL YEAR	
	Donors	Value	Donors	Value	Donors	Value	Donors	Value
UW Seattle								
UW Medicine	2,325	\$3,017,023	13,993	\$43,515,987	14,680	\$31,590,468	18,519	\$38,381,050
Architecture	185	\$59,942	1,069	\$2,881,874	990	\$388,773	1,123	\$518,323
Arts and Sciences	1,571	\$735,091	13,172	\$13,180,334	12,917	\$14,923,542	15,367	\$18,075,018
Broadcast Services	645	\$856,969	2,604	\$5,463,706	2,381	\$5,834,983	3,217	\$8,301,726
Business School	317	\$475,845	3,589	\$7,990,748	3,485	\$9,540,461	4,295	\$12,293,744
Dentistry	105	\$51,447	1,079	\$1,234,300	1,069	\$1,027,486	1,265	\$1,207,976
Education	225	\$49,495	1,585	\$1,402,442	1,285	\$1,433,283	1,468	\$1,809,231
Engineering	281	\$651,886	3,462	\$15,723,606	3,063	\$15,694,067	3,953	\$20,659,919
Evans Schl. of Pub. Affairs	110	\$7,956	404	\$602,338	593	\$428,225	699	\$544,233
Forest Resources	123	\$132,414	861	\$2,035,064	896	\$966,938	1,015	\$1,434,077
Graduate School	160	\$200,332	673	\$1,996,485	482	\$1,254,978	529	\$1,760,644
Information School	52	\$44,567	519	\$268,454	494	\$289,860	568	\$327,830
Intercollegiate Athletics	1,059	\$1,155,130	11,091	\$11,754,350	11,236	\$10,904,695	23,274	\$15,865,980
Law	117	\$48,256	1,565	\$35,647,839	1,413	\$1,231,725	1,725	\$1,686,596
Libraries	1,579	\$202,494	3,384	\$1,105,427	3,503	\$837,868	4,105	\$1,030,319
Nursing	171	\$37,235	1,600	\$1,397,461	1,481	\$1,378,017	1,616	\$1,569,608
Ocean and Fisheries	83	\$5,263,988	600	\$7,334,632	563	\$1,921,365	654	\$2,060,947
Pharmacy	176	\$154,885	1,072	\$1,403,853	936	\$1,606,880	1,024	\$2,155,260
President's Funds	317	\$28,877	1,509	\$632,055	1,597	\$547,556	2,237	\$693,593
Public Health	140	\$16,932	589	\$744,227	525	\$904,177	596	\$951,832
Scholar. & Student Progs.	638	\$1,204,295	2,647	\$6,447,120	2,690	\$3,582,518	3,357	\$4,964,114
Social Work	84	\$19,843	712	\$561,282	594	\$536,435	775	\$578,123
UW Alumni Association	1,855	\$86,750	14,822	\$712,773	14,038	\$648,509	20,482	\$1,034,131
University Press	28	\$32,827	302	\$290,539	262	\$231,985	357	\$465,799
University Support	494	\$377,918	1,850	\$6,750,844	1,272	\$6,988,701	2,199	\$9,529,319
UW Bothell	113	\$29,739	360	\$112,682	328	\$244,617	520	\$286,256
UW Tacoma	158	\$218,663	538	\$1,802,028	421	\$418,667	649	\$3,784,263
All UW Unique Total	12,361	\$15,160,810	71,970	\$172,992,463	70,340	\$115,356,793	94,742	\$151,969,925

Source: UW Office of Development

The number of donors and contribution totals (gifts only) for the major Development areas of the University are shown. Dollar values are based on donor values.

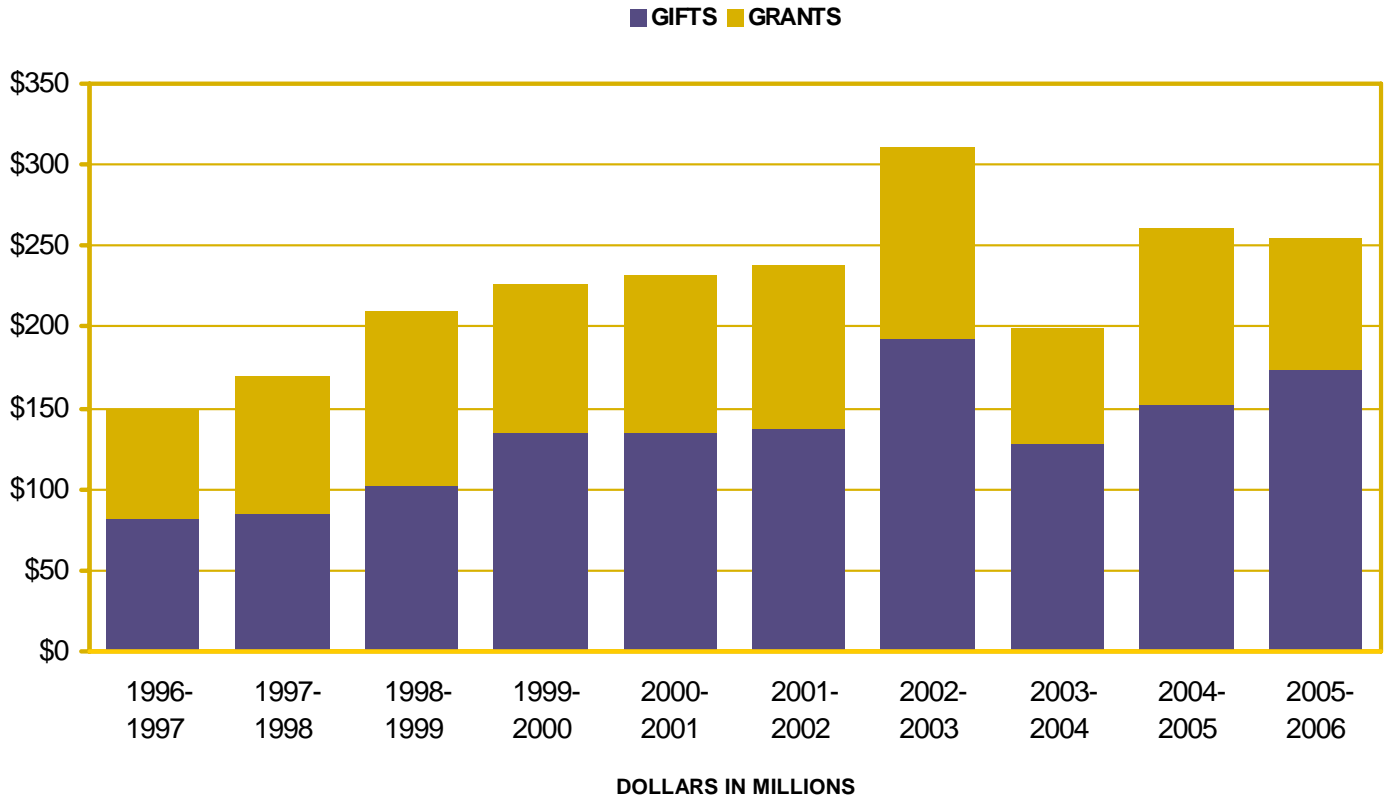
Job Number: 70122

March 2006

Development Area Summary - Gifts



COMPLETE FISCAL YEAR COMPARISON OF TOTAL CONTRIBUTIONS RECEIVED



FISCAL YEAR	GIFTS	GRANTS	TOTAL CONTRIBUTIONS
2005- 2006	\$172,992,463	\$81,236,806	\$254,229,269
2004- 2005	\$151,969,925	\$108,802,371	\$260,772,296
2003- 2004	\$128,174,367	\$71,603,323	\$199,777,690
2002- 2003	\$192,573,183	\$118,677,722	\$311,250,905
2001- 2002	\$137,959,340	\$100,820,547	\$238,779,887
2000- 2001	\$134,805,190	\$97,112,979	\$231,918,169
1999- 2000	\$134,037,997	\$91,536,165	\$225,574,162
1998- 1999	\$102,925,077	\$107,619,586	\$210,544,663
1997- 1998	\$84,718,016	\$85,276,615	\$169,994,631
1996- 1997	\$81,892,963	\$67,425,874	\$149,318,837

Source: UW Office of Development

This graph compares the current fiscal year's contribution totals to each of the previous nine fiscal year's contribution totals.

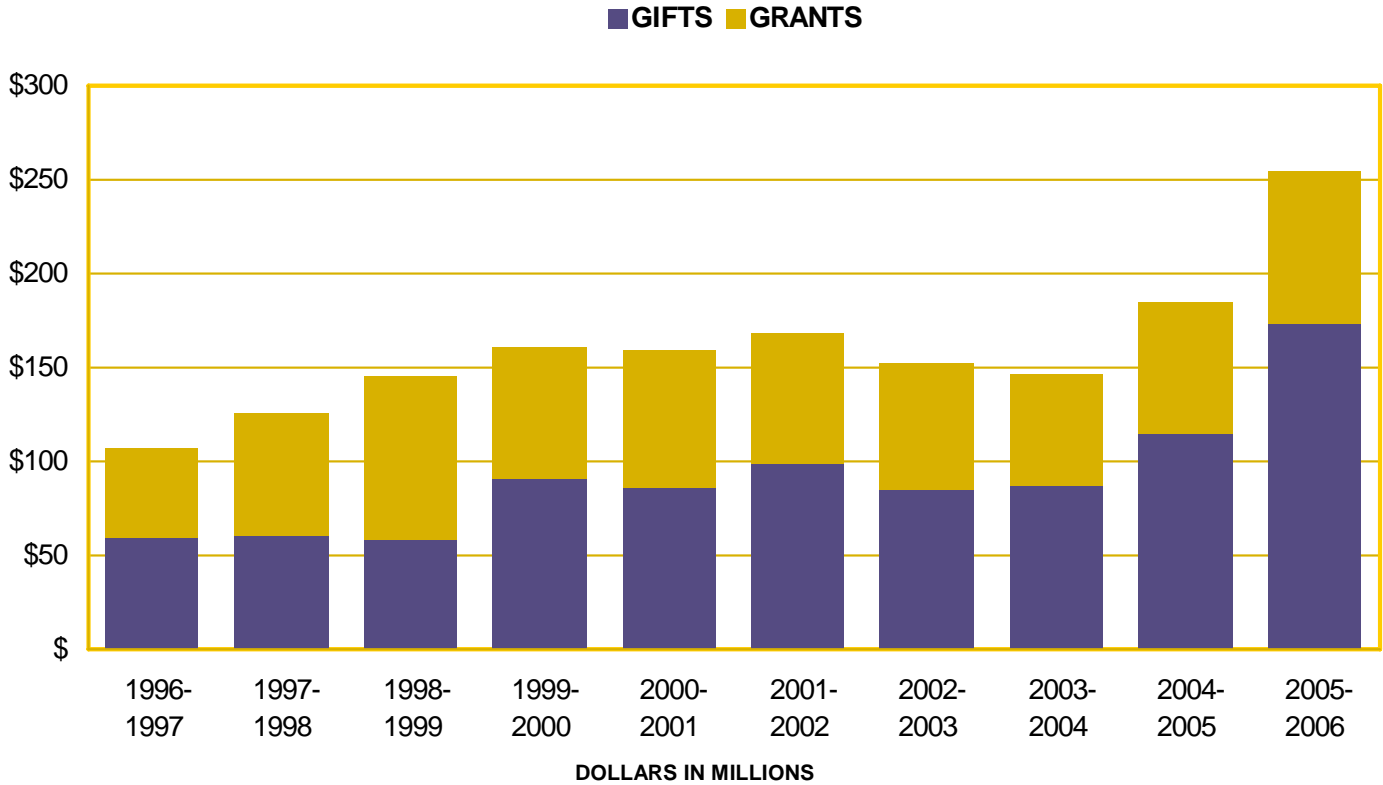
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March 2006

Fiscal Year Totals Graph



YEAR TO DATE CONTRIBUTION TOTALS



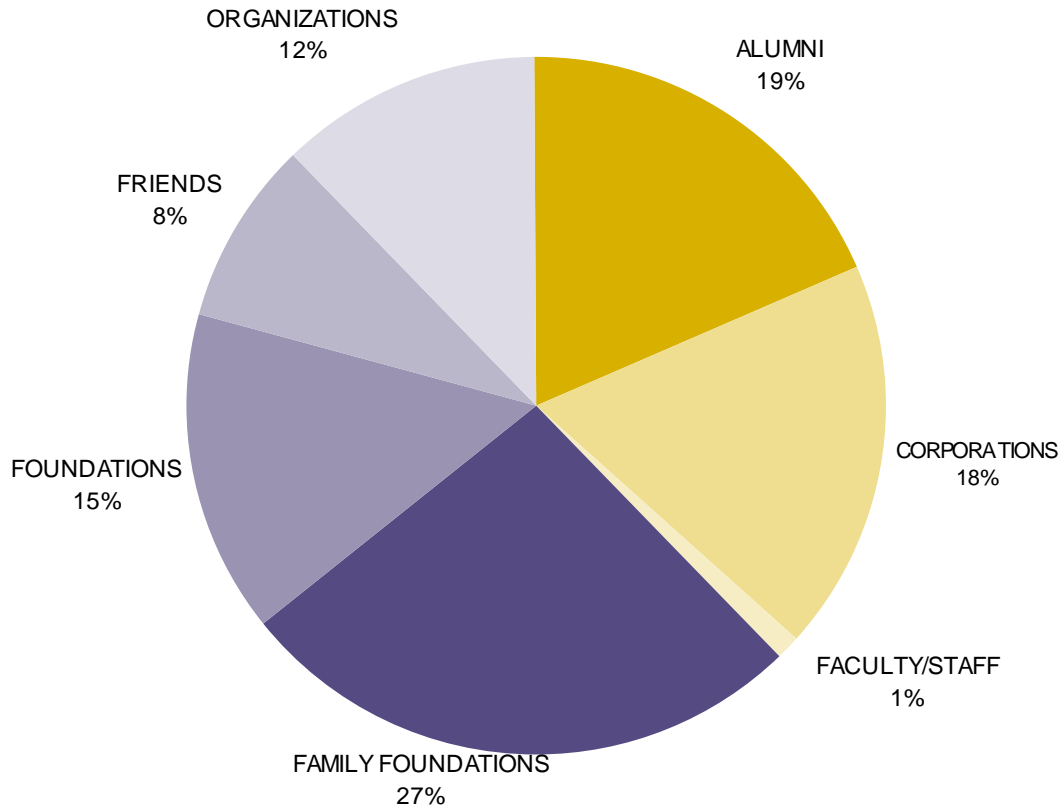
FISCAL YEAR	GIFTS	GRANTS	TOTAL CONTRIBUTIONS
2005- 2006	\$172,992,463	\$81,236,806	\$254,229,269
2004- 2005	\$115,356,793	\$69,335,008	\$184,691,801
2003- 2004	\$86,890,630	\$59,858,287	\$146,748,917
2002- 2003	\$84,999,647	\$67,468,488	\$152,468,135
2001- 2002	\$99,211,901	\$69,607,925	\$168,819,827
2000- 2001	\$85,862,575	\$73,924,836	\$159,787,411
1999- 2000	\$90,582,099	\$70,243,285	\$160,825,384
1998- 1999	\$57,848,057	\$87,046,118	\$144,894,175
1997- 1998	\$60,825,564	\$64,895,838	\$125,721,402
1996- 1997	\$59,341,528	\$47,922,567	\$107,264,095

Source: UW Office of Development

This graph compares the current fiscal year's contribution totals to each of the previous nine fiscal year's contribution totals.



DEVELOPMENT ACTIVITY BY DONOR TYPE IN CURRENT FISCAL YEAR



DONOR TYPE	YEAR TO DATE		PRIOR YEAR TO DATE		PRIOR FISCAL YEAR	
	Donors	Value	Donors	Value	Donors	Value
Alumni	39,786	\$47,494,544	38,371	\$34,833,534	49,406	\$45,066,818
Corporations	2,694	\$46,038,013	2,741	\$41,723,485	3,775	\$61,273,024
Faculty/Staff	2,913	\$2,336,982	2,919	\$3,554,470	3,656	\$4,572,200
Family Foundations	155	\$67,445,498	154	\$13,436,272	176	\$20,706,692
Foundations	372	\$38,296,169	322	\$40,543,787	398	\$60,401,770
Friends	26,154	\$21,200,817	25,897	\$22,417,447	37,429	\$28,361,471
Organizations	443	\$31,417,246	415	\$28,182,805	553	\$40,390,320

Source: UW Office of Development

This graph shows the sources of contributions for the current year to date. Dollar values are based on donor value.

Job Number: 70122
 March 2006

Development Activity by Donor Type in Current Fiscal Year Chart



ALUMNI PARTICIPATION BY CONSTITUENCY

AREA	CURRENT FISCAL YEAR TO DATE			PREVIOUS FISCAL YEAR TO DATE			
	Solicitible	Donors	Partic. Rate	Solicitible	Donors	Partic. Rate	Final %
UW Seattle							
UW Medicine	16,049	2,712	16.9%	15,842	2,784	17.6%	21.5%
Architecture	7,393	1,152	15.6%	7,192	1,057	14.7%	18.5%
Arts and Sciences	126,129	16,383	13.0%	121,216	15,655	12.9%	16.7%
Business School	34,923	5,910	16.9%	34,015	5,785	17.0%	22.4%
Dentistry	4,388	1,029	23.5%	4,301	1,008	23.4%	29.0%
Education	18,434	2,990	16.2%	18,677	2,890	15.5%	20.0%
Engineering	30,120	3,931	13.1%	29,399	3,776	12.8%	17.0%
Evans School of Public Affairs	1,964	380	19.3%	1,872	368	19.7%	24.4%
Forest Resources	4,446	630	14.2%	4,382	636	14.5%	17.7%
Interdisc. Grad. Programs	1,296	182	14.0%	1,161	176	15.2%	20.3%
Interdisc. Undergrad. Programs	119	7	5.9%	67	6	9.0%	17.9%
Interschool Programs	286	33	11.5%	241	35	14.5%	17.4%
Information School	3,976	751	18.9%	3,786	725	19.1%	22.7%
Law	7,320	1,477	20.2%	7,070	1,398	19.8%	24.8%
School of Nursing	8,181	1,639	20.0%	7,976	1,647	20.6%	24.5%
Ocean & Fisheries	3,642	568	15.6%	3,560	541	15.2%	18.7%
Pharmacy	3,255	827	25.4%	3,147	780	24.8%	30.4%
Public Health	3,766	634	16.8%	3,139	590	18.8%	22.7%
Social Work	5,804	842	14.5%	5,707	771	13.5%	17.4%
UW Bothell	4,324	445	10.3%	3,580	371	10.4%	13.7%
UW Tacoma	4,892	404	8.3%	4,016	363	9.0%	13.2%
Unspecified	11,097	1,970	17.8%	11,614	2,103	18.1%	24.0%
All UW Total	276,793	39,786	14.4%	267,179	38,370	14.4%	18.5%

Source: UW Office of Development

Job Number: 70122
March 2006

Alumni Participation



VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Grant and Contract Awards – January and February, 2006

RECOMMENDED ACTION:

It is the recommendation of the administration and the Finance and Audit Committee that the Board of Regents accept the Grant and Contract Awards as presented on the attached list.

Attachment: Grant and Contract Awards Summary
Report of Grant and Contract Awards of
\$1,000,000 or More

Grant and Contract Awards Summary

to

The Board of Regents

of the

University of Washington

for

February 2006

Office of Research

Office of Sponsored Programs

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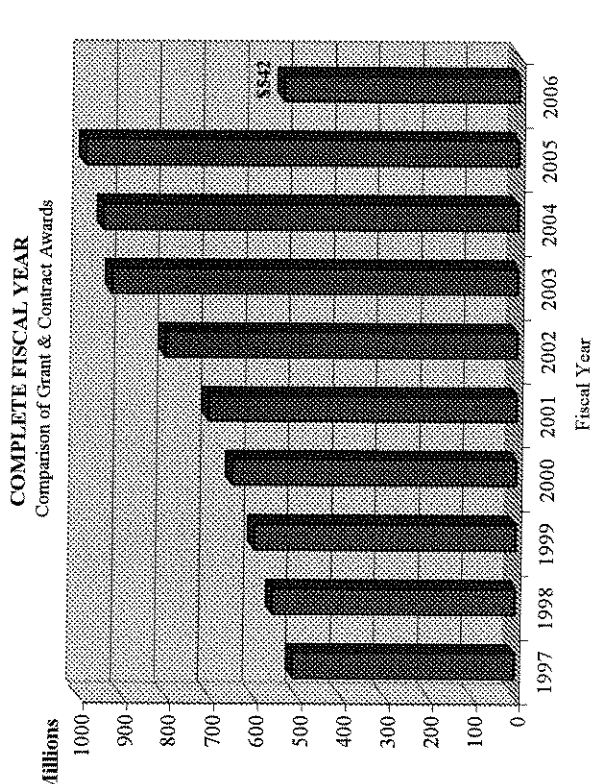
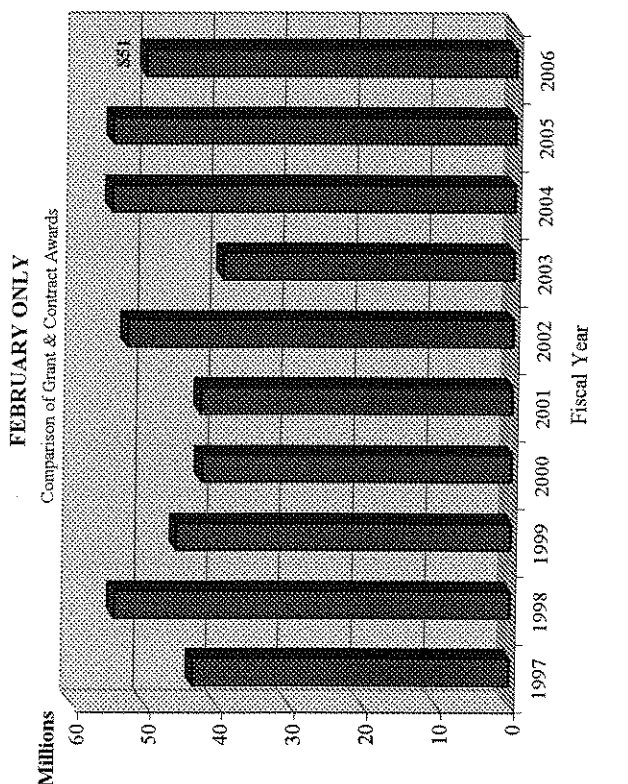
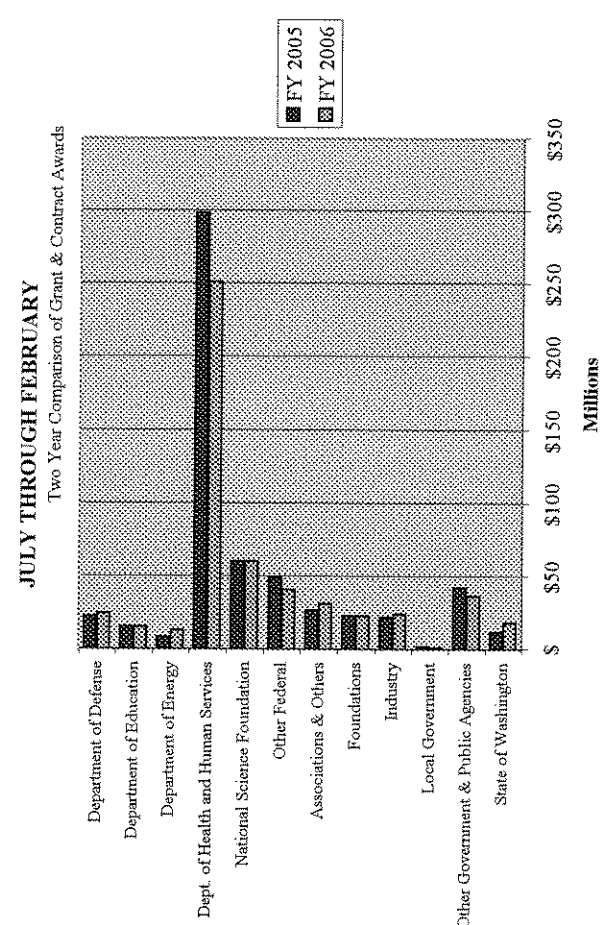
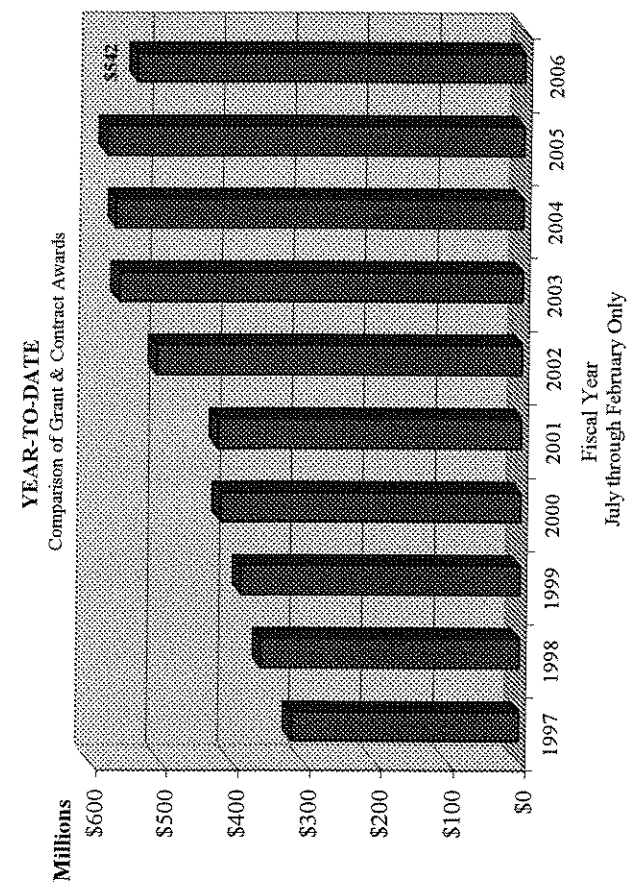
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FEBRUARY HIGHLIGHTS

The Bonneville Power Administration awarded a grant in the amount of \$246,289 for a project under the direction of Professor Thomas P. Quinn of the School Of Aquatic and Fishery Sciences entitled "Evaluation Of The Reproductive Success Of Wild And Hatchery Steelhead In Natural And Hatchery Environments." There are complex processes related to breeding dynamics and survival of progeny of adult salmonids. These processes are essential to the long-term health of populations but are markedly different from natural patterns of mating and subsequent reproductive success in hatcheries which are on evolutionary trajectories that may reduce their fitness. Their interactions with wild populations, therefore, are a serious conservation concern. In order to conserve wild salmonids and wisely manage hatchery populations, the investigators will carry out a unique study of reproductive success including wild steelhead, hatchery origin fish spawning naturally and hatchery fish propagated in the hatchery.

The United States Center For Disease Control awarded a contract in the amount of \$1,475,691 for a project under the direction of Associate Professor Mark H. Wener of the Department of Laboratory Medicine entitled "NHANES Laboratory Studies 2005-2009." The purpose of this program is to conduct laboratory measurements on biologic specimens for C-reactive protein, prostate specific antigen and parathyroid hormone from the National Health and Nutrition Examination Survey (NHANES) 2005-2008 participants. The purpose of the NHANES programs is to produce descriptive statistics that can be used to measure and monitor the health and nutritional status of the civilian, noninstitutional population of the United States. The UW scientists will furnish the necessary personnel, materials, services and facilities to perform the laboratory analyses related to the NHANES materials.

The Washington State Department of Social and Health Services awarded a grant in the amount of \$650,000 for a project under the direction of Dr. Joel H. Berg, Chair, of the Department of Pediatric Dentistry entitled "MAA ABCD MATCH." The Department of Pediatric Dentistry will provide support for the Access to Baby and Child Dentistry (ABCD) program in the form of certified training for providers and staff, evaluation of training, promotion of the ABCD program, production of ABCD materials and administration of the ABCD program. Also, the Department, through the Early Childhood Oral Health program, will train and certify all graduating dentists as ABCD providers.



Summary of Grant and Contract Awards
Fiscal Year 2005-2006

Month	RESEARCH		TRAINING		Total
	Federal	Non-Federal	Federal	Non-Federal	Grants and Contracts
July	\$66,463,818	\$6,444,105	\$7,164,851	\$1,418,430	\$81,491,204
August	\$82,136,975	\$15,186,181	\$6,605,300	\$6,661,367	\$110,589,823
September	\$66,526,770	\$14,825,079	\$15,124,518	\$3,290,200	\$99,766,567
October	\$53,095,542	\$15,762,787	\$6,572,165	\$3,939,508	\$79,370,002
November	\$16,529,650	\$13,396,926	\$11,726,933	\$2,978,338	\$44,631,847
December	\$10,773,984	\$17,755,025	\$493,868	\$4,542,319	\$33,565,196
January	\$24,587,503	\$14,716,842	\$723,481	\$1,596,296	\$41,624,122
February	\$31,412,762	\$11,985,384	\$6,256,413	\$1,141,717	\$50,796,276
March					
April					
May					
June					
Current Year to Date	\$351,527,004	\$110,072,328	\$54,667,529	\$25,568,175	\$541,835,036
Previous Year to Date	\$390,948,066	\$107,419,555	\$63,517,468	\$21,350,981	\$583,236,070
Over (Under) Previous Year	(\$39,421,062)	\$2,652,773	(\$8,849,939)	\$4,217,194	(\$41,401,034)

Assuming acceptance of all awards by the Board of Regents

Comparison of Grant and Contract Awards by Agency
Fiscal Years 2004-2005 and 2005-2006

Agency	July-Feb FY05	July-Feb FY06
Department of Defense	\$22,364,218	\$24,666,657
Department of Education	\$15,493,924	\$15,585,107
Department of Energy	\$8,278,859	\$13,073,572
Dept. of Health and Human Services	\$298,574,441	\$251,372,271
National Science Foundation	\$60,613,828	\$60,389,695
Other Federal	\$49,140,264	\$41,107,231
Subtotal for Federal:	\$454,465,534	\$406,194,533
Associations .Others	\$26,987,903	\$31,347,458
Foundations	\$23,057,244	\$22,831,391
Industry	\$21,981,607	\$24,512,487
Local Government	\$2,392,955	\$1,525,827
Other Government and Public Agencies	\$42,233,963	\$36,697,163
State of Washington	\$12,116,864	\$18,726,177
Subtotal for Non-Federal:	\$128,770,536	\$135,640,503
Grand Total :	\$583,236,070	\$541,835,036
Amount of Increase (Decrease):		(\$41,401,034)
Percent of Increase (Decrease):		-7.1%

Assuming acceptance of all awards by the Board of Regents

Comparison of Grant and Contract Awards by School/College
Fiscal Years 2004-2005 and 2005-2006

School/College	July-Feb FY05	July-Feb FY06
Upper Campus		
Architecture and Urban Planning	\$581,763	\$3,903,233
Arts and Science	\$44,968,733	\$44,825,809
Business Administration	\$396,250	\$280,800
Education	\$11,279,328	\$10,327,634
Engineering	\$48,935,349	\$65,978,198
Evans School of Public Affairs	\$8,491,167	\$2,548,031
Forest Resources	\$3,982,685	\$5,648,893
Graduate School	\$2,917,120	\$4,488,098
Information School	\$1,103,769	\$1,150,563
Law	\$2,101,778	\$312,565
Ocean and Fishery Sciences	\$47,177,283	\$48,962,144
Office of Research	\$16,145,597	\$16,750,199
Social Work	\$12,262,804	\$6,872,261
Undergraduate Education	\$141,228	\$117,165
Subtotal :	\$200,484,854	\$212,165,593
Health Sciences		
Dentistry	\$7,629,678	\$6,214,881
Medicine	\$267,587,419	\$236,930,077
Nursing	\$9,363,885	\$10,014,457
Pharmacy	\$7,597,223	\$5,229,049
Public Health and Community Medicine	\$58,603,940	\$48,801,814
Subtotal :	\$350,782,145	\$307,190,279
Special Programs		
Health Sciences Special Programs	\$20,125,030	\$12,362,246
Other Special Programs	\$9,961,025	\$8,035,453
Subtotal :	\$30,086,055	\$20,397,699
Branch Campuses		
Branch Campuses (Bothell)	\$1,684,690	\$1,513,636
Branch Campuses (Tacoma)	\$198,326	\$567,829
Subtotal :	\$1,883,016	\$2,081,465
Grand Total :	\$583,236,070	\$541,835,036

Assuming acceptance of all awards by the Board of Regents

List of Awards for Arts and Sciences

Department	July-Feb FY05	July-Feb FY06
Center for Statistics and the Social Sciences	\$876,482	\$1,410,420
Center for Studies in Demography and Ecology	\$511,705	\$347,671
College of Arts and Sciences	\$1,150,692	\$0
Department of Anthropology	\$321,418	\$1,800,429
Department of Applied Mathematics	\$456,546	\$981,664
Department of Asian Languages and Literature	\$10,000	\$140,000
Department of Astronomy	\$1,456,695	\$1,339,790
Department of Atmospheric Sciences	\$3,259,880	\$4,084,005
Department of Biology	\$3,314,462	\$5,218,593
Department of Chemistry	\$12,448,052	\$8,649,199
Department of Classics	\$0	\$30,000
Department of Communication	\$889,500	\$1,124,550
Department of Earth and Space Sciences	\$4,684,217	\$3,500,656
Department of Economics	\$0	\$7,250
Department of Geography	\$311,978	\$0
Department of Germanics	\$36,000	\$36,000
Department of History	\$80,000	\$354,000
Department of Mathematics	\$3,290,198	\$796,896
Department of Near Eastern Languages & Civilization	\$2,000	\$0
Department of Physics	\$4,037,792	\$3,138,125
Department of Political Science	\$0	\$201,107
Department of Psychology	\$4,168,802	\$5,614,743
Department of Scandinavian Languages and Literature	\$27,273	\$0
Department of Speech and Hearing Sciences	\$614,464	\$548,149
Department of Statistics	\$854,107	\$957,354
Halbert Robinson Center for the Study of Capable Y	\$0	\$500
Henry M. Jackson School of International Studies	\$1,318,758	\$1,542,197
Institute for Nuclear Theory	\$195,000	\$2,373,000
Language Learning Center	\$0	\$20,274
Public Performing Arts	\$73,390	\$76,900
School of Music	\$11,180	\$0
Sociology	\$22,718	\$404,127
Thomas Burke Memorial Washington State Museum	\$542,469	\$128,210
Undergraduate Studies	\$2,955	\$0
	\$44,968,733	\$44,825,809

Assuming acceptance of all awards by the Board of Regents

Summary of Grant Awards

Fiscal Year 2005-2006

(Excluding Private Awards from Foundations, Industry, Associations and Other)

Month	RESEARCH		TRAINING		Total Grants
	Federal	Non-Federal	Federal	Non-Federal	
July	\$63,379,387	\$2,308,194	\$7,159,851	\$368,324	\$73,215,756
August	\$81,212,598	\$5,167,397	\$6,185,083	\$1,116,369	\$93,681,447
September	\$64,172,453	\$4,008,427	\$14,974,336	\$859,951	\$84,015,167
October	\$47,210,635	\$4,970,887	\$5,793,886	\$1,715,466	\$59,690,874
November	\$13,731,096	\$5,729,102	\$10,643,700	\$435,777	\$30,539,675
December	\$10,043,736	\$5,368,829	\$414,736	\$1,628,842	\$17,456,143
January	\$19,718,642	\$3,392,647	\$225,411	\$422,201	\$23,758,901
February	\$29,373,775	\$3,282,531	\$5,484,530	\$281,087	\$38,421,923
March					
April					
May					
June					
Year to Date	\$328,842,322	\$34,228,014	\$50,881,533	\$6,828,017	\$420,779,886

Assuming acceptance of all awards by the Board of Regents

Summary of Grant Awards

Fiscal Year 2005-2006

(Private Awards from Foundations, Industry, Associations and Other)

Month	RESEARCH	TRAINING	Total Grants
July	\$2,778,247	\$341,543	\$3,119,790
August	\$6,311,912	\$2,075,600	\$8,387,512
September	\$6,422,372	\$2,206,643	\$8,629,015
October	\$6,012,089	\$1,082,512	\$7,094,601
November	\$5,856,127	\$2,115,378	\$7,971,505
December	\$9,813,819	\$2,757,138	\$12,570,957
January	\$9,616,281	\$674,697	\$10,290,978
February	\$6,409,330	\$466,196	\$6,875,526
March			
April			
May			
June			
Year to Date	\$53,220,176	\$11,719,707	\$64,939,883

Assuming acceptance of all awards by the Board of Regents

Summary of Contract Awards

Fiscal Year 2005-2006

Month	RESEARCH		TRAINING		Total Contracts
	Federal	Non-Federal	Federal	Non-Federal	
July	\$3,084,431	\$1,357,664	\$5,000	\$708,563	\$5,155,658
August	\$924,377	\$3,706,872	\$420,217	\$3,469,398	\$8,520,864
September	\$2,354,317	\$4,394,280	\$150,182	\$223,606	\$7,122,385
October	\$5,884,907	\$4,779,812	\$778,279	\$1,141,530	\$12,584,528
November	\$2,798,554	\$1,811,697	\$1,083,233	\$427,183	\$6,120,567
December	\$730,248	\$2,572,377	\$79,132	\$156,339	\$3,538,096
January	\$4,868,861	\$1,707,914	\$498,070	\$499,398	\$7,574,243
February	\$2,038,987	\$2,293,523	\$771,883	\$394,434	\$5,498,827
March					
April					
May					
June					
Year to Date	\$22,684,682	\$22,624,138	\$3,785,996	\$7,020,451	\$56,115,267

Assuming acceptance of all awards by the Board of Regents

**Report of Grant and Contract Awards
Of \$1,000,000 or More**

February 2006

**Requiring Action of
The Board of Regents**

**of the
University of Washington**

**Office of Research
Office of Sponsored Programs**

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Detail of Grant Awards (Excluding Private Awards) for
February 2006

FEDERAL

DEPT. OF HEALTH AND HUMAN SERVICES

National Institutes of Health

To: PAUL G. RAMSEY, DEAN \$4,000,000

For: DEPARTMENT OF BIOLOGICAL STRUCTURE
EXTRAMURAL RESEARCH FACILITIES AND IMPROVEMENT PROGRAM PROJECTS - BIOLOGICAL
STRUCTURE RESEARCH FACILITY RENOVATION PROGRAM

Eff: 9/15/2005

To: RICHARD A. DEYO, PROFESSOR \$1,004,966

For: DEPARTMENT OF MEDICINE
NIAMS MULTIDISCIPLINARY CLINICAL RESEARCH CENTER YR 5

Eff: 1/1/2006

Dept. of Health and Human Services Subtotal:

\$5,004,966

NATIONAL SCIENCE FOUNDATION

National Science Foundation

To: RICHARD E. LADNER, PROFESSOR \$1,999,994

For: DEPARTMENT OF COMPUTER SCIENCE AND ENGINEERING
ACCESSCOMPUTING ALLIANCE

Eff: 2/15/2006

National Science Foundation Subtotal:

\$1,999,994

Total for Federal:

\$7,004,960

Detail of Private Grant Awards for
February 2006

PRIVATE

INDUSTRY

Philips Medical Systems North American Company

To: LAWRENCE A. CRUM, ASSOCIATE DIRECTOR \$2,578,753

For: APPLIED PHYSICS LABORATORY
AUTONOMOUS ACOUSTIC HEMOSTASIS: DARPA DEEP BLEEDER ACOUSTIC COAGULATION (DBAC)
PROGRAM

Eff: 1/19/2006

Industry Subtotal:

\$2,578,753

Total for Private:

\$2,578,753

Detail of Contract Awards for
February 2006

PRIVATE

ASSOCIATIONS & OTHERS

Health Alliance International

To: WILLIAM L. DOWLING, PROFESSOR/CHAIR \$1,284,776

For: DEPARTMENT OF HEALTH SERVICES
MOZAMBIQUE HEALTH COMMITTEE 2

Eff: 5/1/1992

Associations & Others Subtotal:

\$1,284,776

Total for Private:

\$1,284,776

Total Awards \$1,000,000 or Greater:

\$10,868,489

Grant and Contract Awards Summary

to

The Board of Regents

of the

University of Washington

for

January 2006

Office of Research

Office of Sponsored Programs

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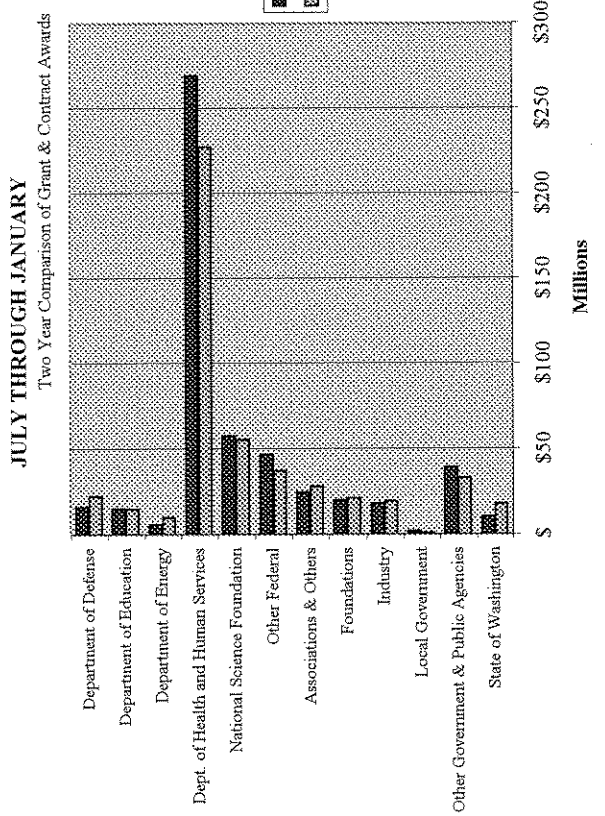
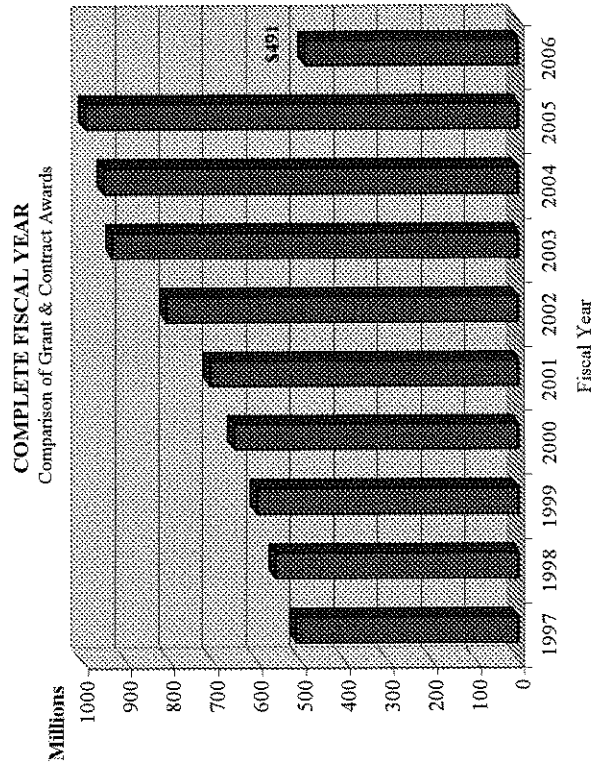
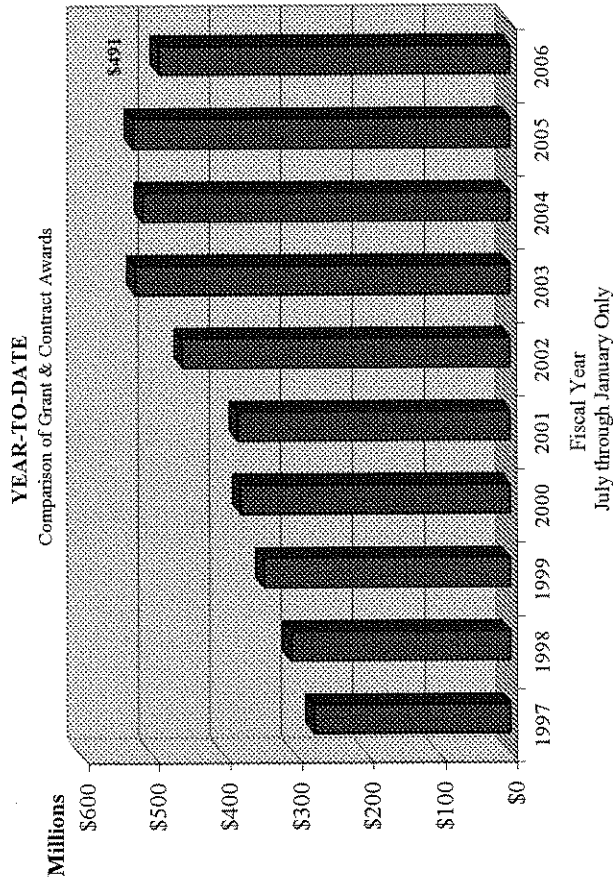
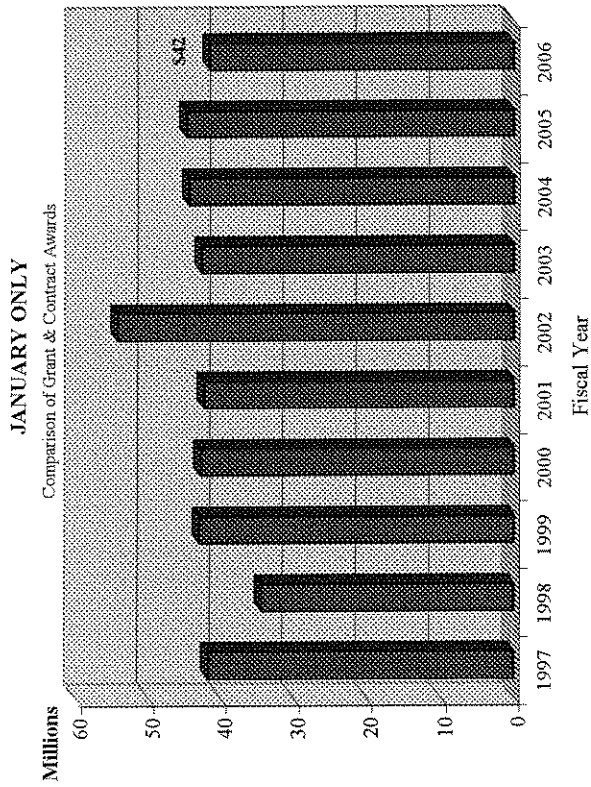
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JANUARY HIGHLIGHTS

The National Aeronautics and Space Administration awarded a grant in the amount of \$390,000 for a project under the direction of Professor Dennis P. Lettenmaier of the Department of Civil and Environmental Engineering entitled "Improving Water Resources Management In The Western U. S. Through Use Of Remote Sensing Data and Seasonal Climate Forecasts." The scientists involved with this project will utilize remote sensing data and hydrologic and climate prediction models in a partnership with the Departments of Water Resources of California and Idaho to assist in incorporating these advanced methods into reservoir management decision making. Management of water for human use is a major issue facing society in the 21st century. Yet, advanced technology such as remote sensing and global and regional climate forecasts has contributed relatively little to this area. The investigators believe the models and data to be used in this program have the potential to improve water management significantly.

The Northwest Energy Efficiency Alliance awarded a grant in the amount of \$2,154,998 for a project under the direction of Professor Joel E. Loveland of the Architecture Department entitled "Integrated Design Education." The purpose of this initiative is to transform the way buildings are designed, built and operated to achieve maximum cost-effective energy efficiency. The specific goal is to make energy efficiency an integral part of business decision-making in the design, construction and operation of facilities of buildings. The program's activities are directed toward building owners, architects and their design consultants, contractors, building product manufacturers, building operators and the occupants of non-residential buildings designed or constructed in the Pacific Northwest.

The Wallace H. Coulter Foundation awarded a grant in the amount of \$2,900,000 for a project under the direction of Professor Yongmin Kim of the Bioengineering Department entitled "UW Translational Research Partnerships In Biomedical Engineering." The Coulter Foundation promotes practical translational research directed toward improving the scope and quality of clinical diagnostic medicine. In order to enhance and support research directed at promising technologies within the university research laboratory that are progressing towards commercial development and clinical practice it has established a Translational Partners Program in Biomedical Engineering in which the UW has been selected to participate. The program is funded for five years. Each year, three to five projects will be undertaken and each will have co-principal investigators one from the Department of Bioengineering and one who is a practicing clinician seeing patients in the medical school or hospital. The project will increase collaboration between bioengineers and clinicians and will encourage the movement of promising technologies toward commercialization and clinical use.



Summary of Grant and Contract Awards
Fiscal Year 2005-2006

Month	RESEARCH		TRAINING		Total
	Federal	Non-Federal	Federal	Non-Federal	Grants and Contracts
July	\$66,463,818	\$6,444,105	\$7,164,851	\$1,418,430	\$81,491,204
August	\$82,136,975	\$15,186,181	\$6,605,300	\$6,661,367	\$110,589,823
September	\$66,526,770	\$14,825,079	\$15,124,518	\$3,290,200	\$99,766,567
October	\$53,095,542	\$15,762,787	\$6,572,165	\$3,939,508	\$79,370,002
November	\$16,529,650	\$13,396,926	\$11,726,933	\$2,978,338	\$44,631,847
December	\$10,773,984	\$17,755,025	\$493,868	\$4,542,319	\$33,565,196
January	\$24,587,503	\$14,716,842	\$723,481	\$1,596,296	\$41,624,122
February					
March					
April					
May					
June					
Current Year to Date	\$320,114,242	\$98,086,944	\$48,411,116	\$24,426,458	\$491,038,760
Previous Year to Date	\$349,415,523	\$96,109,081	\$62,543,104	\$19,800,520	\$527,868,228
Over (Under) Previous Year	(\$29,301,281)	\$1,977,863	(\$14,131,988)	\$4,625,938	(\$36,829,468)

Assuming acceptance of all awards by the Board of Regents

Comparison of Grant and Contract Awards by Agency
Fiscal Years 2004-2005 and 2005-2006

Agency	July-Jan FY05	July-Jan FY06
Department of Defense	\$16,167,499	\$22,584,164
Department of Education	\$15,493,924	\$15,123,735
Department of Energy	\$5,875,955	\$10,124,025
Dept. of Health and Human Services	\$269,361,755	\$227,598,251
National Science Foundation	\$57,985,343	\$55,756,981
Other Federal	\$47,074,151	\$37,338,202
Subtotal for Federal:	\$411,958,627	\$368,525,358
Associations /Others	\$24,980,628	\$28,236,613
Foundations	\$20,374,448	\$21,565,425
Industry	\$18,681,948	\$19,802,212
Local Government	\$2,214,376	\$1,197,268
Other Government and Public Agencies	\$39,231,057	\$33,444,244
State of Washington	\$10,427,144	\$18,267,640
Subtotal for Non-Federal:	\$115,909,601	\$122,513,402
Grand Total :	\$527,868,228	\$491,038,760
Amount of Increase (Decrease):		(\$36,829,468)
Percent of Increase (Decrease):		-7.0%

Assuming acceptance of all awards by the Board of Regents

Comparison of Grant and Contract Awards by School/College
Fiscal Years 2004-2005 and 2005-2006

School/College	July-Jan FY05	July-Jan FY06
Upper Campus		
Architecture and Urban Planning	\$581,763	\$3,893,233
Arts and Science	\$40,723,300	\$40,178,672
Business Administration	\$396,250	\$280,800
Education	\$11,209,263	\$9,545,320
Engineering	\$44,455,446	\$57,025,659
Evans School of Public Affairs	\$6,060,058	\$2,548,031
Forest Resources	\$3,420,539	\$5,370,621
Graduate School	\$2,880,884	\$4,488,098
Information School	\$1,103,769	\$1,132,208
Law	\$2,101,778	\$312,565
Ocean and Fishery Sciences	\$40,401,584	\$44,142,301
Office of Research	\$16,091,938	\$16,740,671
Social Work	\$12,259,804	\$6,657,202
Undergraduate Education	\$141,228	\$117,165
Subtotal :	\$181,827,604	\$192,432,546
Health Sciences		
Dentistry	\$5,882,718	\$5,682,491
Medicine	\$237,245,275	\$213,123,266
Nursing	\$8,474,479	\$8,425,864
Pharmacy	\$7,231,035	\$4,732,960
Public Health and Community Medicine	\$55,408,202	\$44,542,140
Subtotal :	\$314,241,709	\$276,506,721
Special Programs		
Health Sciences Special Programs	\$20,025,030	\$11,982,574
Other Special Programs	\$9,961,025	\$8,035,453
Subtotal :	\$29,986,055	\$20,018,027
Branch Campuses		
Branch Campuses (Bothell)	\$1,618,292	\$1,513,636
Branch Campuses (Tacoma)	\$194,568	\$567,829
Subtotal :	\$1,812,860	\$2,081,465
Grand Total :	\$527,868,228	\$491,038,760

Assuming acceptance of all awards by the Board of Regents

List of Awards for Arts and Sciences

Department	July-Jan FY05	July-Jan FY06
Center for Statistics and the Social Sciences	\$296,482	\$1,410,420
Center for Studies in Demography and Ecology	\$506,705	\$347,671
College of Arts and Sciences	\$1,150,692	\$0
Department of Anthropology	\$321,418	\$1,791,196
Department of Applied Mathematics	\$456,546	\$981,664
Department of Asian Languages and Literature	\$10,000	\$140,000
Department of Astronomy	\$1,226,140	\$1,157,000
Department of Atmospheric Sciences	\$2,878,745	\$3,739,030
Department of Biology	\$2,799,247	\$5,062,903
Department of Chemistry	\$11,230,015	\$6,871,090
Department of Classics	\$0	\$30,000
Department of Communication	\$889,500	\$1,124,550
Department of Earth and Space Sciences	\$4,625,712	\$3,045,899
Department of Economics	\$0	\$7,250
Department of Geography	\$311,978	\$0
Department of Germanics	\$36,000	\$36,000
Department of History	\$80,000	\$354,000
Department of Mathematics	\$3,171,427	\$764,804
Department of Near Eastern Languages & Civilization	\$2,000	\$0
Department of Physics	\$3,113,010	\$2,276,125
Department of Political Science	\$0	\$201,107
Department of Psychology	\$4,114,775	\$5,384,669
Department of Scandinavian Languages and Literature	\$27,273	\$0
Department of Speech and Hearing Sciences	\$602,911	\$548,149
Department of Statistics	\$719,209	\$774,930
Halbert Robinson Center for the Study of Capable Y	\$0	\$500
Henry M. Jackson School of International Studies	\$1,318,758	\$1,127,704
Institute for Nuclear Theory	\$195,000	\$2,373,000
Language Learning Center	\$0	\$20,274
Public Performing Arts	\$63,390	\$76,400
School of Music	\$11,180	\$0
Sociology	\$22,718	\$404,127
Thomas Burke Memorial Washington State Museum	\$542,469	\$128,210
	<hr/>	<hr/>
	\$40,723,300	\$40,178,672

Assuming acceptance of all awards by the Board of Regents

Summary of Grant Awards

Fiscal Year 2005-2006

(Excluding Private Awards from Foundations, Industry, Associations and Other)

Month	RESEARCH		TRAINING		Total Grants
	Federal	Non-Federal	Federal	Non-Federal	
July	\$63,379,387	\$2,308,194	\$7,159,851	\$368,324	\$73,215,756
August	\$81,212,598	\$5,167,397	\$6,185,083	\$1,116,369	\$93,681,447
September	\$64,172,453	\$4,008,427	\$14,974,336	\$859,951	\$84,015,167
October	\$47,210,635	\$4,970,887	\$5,793,886	\$1,715,466	\$59,690,874
November	\$13,731,096	\$5,729,102	\$10,643,700	\$435,777	\$30,539,675
December	\$10,043,736	\$5,368,829	\$414,736	\$1,628,842	\$17,456,143
January	\$19,718,642	\$3,392,647	\$225,411	\$422,201	\$23,758,901
February					
March					
April					
May					
June					
Year to Date	\$299,468,547	\$30,945,483	\$45,397,003	\$6,546,930	\$382,357,963

Assuming acceptance of all awards by the Board of Regents

Summary of Grant Awards

Fiscal Year 2005-2006

(Private Awards from Foundations, Industry, Associations and Other)

Month	RESEARCH	TRAINING	Total Grants
July	\$2,778,247	\$341,543	\$3,119,790
August	\$6,311,912	\$2,075,600	\$8,387,512
September	\$6,422,372	\$2,206,643	\$8,629,015
October	\$6,012,089	\$1,082,512	\$7,094,601
November	\$5,856,127	\$2,115,378	\$7,971,505
December	\$9,813,819	\$2,757,138	\$12,570,957
January	\$9,616,281	\$674,697	\$10,290,978
February			
March			
April			
May			
June			
Year to Date	\$46,810,846	\$11,253,511	\$58,064,357

Assuming acceptance of all awards by the Board of Regents

Summary of Contract Awards

Fiscal Year 2005-2006

Month	RESEARCH		TRAINING		Total Contracts
	Federal	Non-Federal	Federal	Non-Federal	
July	\$3,084,431	\$1,357,664	\$5,000	\$708,563	\$5,155,658
August	\$924,377	\$3,706,872	\$420,217	\$3,469,398	\$8,520,864
September	\$2,354,317	\$4,394,280	\$150,182	\$223,606	\$7,122,385
October	\$5,884,907	\$4,779,812	\$778,279	\$1,141,530	\$12,584,528
November	\$2,798,554	\$1,811,697	\$1,083,233	\$427,183	\$6,120,667
December	\$730,248	\$2,572,377	\$79,132	\$156,339	\$3,538,096
January	\$4,868,861	\$1,707,914	\$498,070	\$499,398	\$7,574,243
February					
March					
April					
May					
June					
Year to Date	\$20,645,695	\$20,330,615	\$3,014,113	\$6,626,017	\$50,616,440

Assuming acceptance of all awards by the Board of Regents

**Report of Grant and Contract Awards
Of \$1,000,000 or More**

January 2006

**Requiring Action of
The Board of Regents**

**of the
University of Washington**

**Office of Research
Office of Sponsored Programs**

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Detail of Private Grant Awards for
January 2006

PRIVATE

ASSOCIATIONS & OTHERS

Northwest Energy Efficiency Alliance

To: JOEL E. LOVELAND, PROFESSOR \$2,154,998
DEPARTMENT OF ARCHITECTURE
For: INTEGRATED DESIGN EDUCATION

Eff: 1/1/2006

Associations & Others Subtotal:

\$2,154,998

FOUNDATIONS

The Wallace H. Coulter Foundation

To: YONGMIN KIM, PROFESSOR \$2,900,000
DEPARTMENT OF BIOENGINEERING
For: UW TRANSLATIONAL RESEARCH PARTNERSHIPS IN BIOMEDICAL ENGINEERING

Eff: 12/15/2005

Foundations Subtotal:

\$2,900,000

Total for Private:

\$5,054,998

Detail of Contract Awards for
January 2006

FEDERAL

DEPARTMENT OF DEFENSE

U.S. Department of the Navy

To: GARY L. HARKINS, HEAD \$1,549,000
APPLIED PHYSICS LABORATORY
For: FY06 AN/BQH-9 SYS UPGR

Eff: 12/14/2005

Department of Defense Subtotal:

\$1,549,000

OTHER FEDERAL

U.S. Agency for International Development

To: ANN E. DOWNER, SENIOR LECTURER \$1,200,000
DEPARTMENT OF HEALTH SERVICES
For: IMPROVING HIV/AIDS TRAINING AND SERVICE DELIVERY IN THE CARIBBEAN

Eff: 11/16/2004

Other Federal Subtotal:

\$1,200,000

Total for Federal:

\$2,749,000

Total Awards \$1,000,000 or Greater:

\$7,803,998

VII. STANDING COMMITTEE

B. Finance, Audit and Facilities Committee

2006 Audit Plans – KPMG, State Auditors and Peterson Sullivan

See attachments.

Executive Summary

Washington State Auditor's Office University of Washington Audit July 1, 2005 through June 30, 2006

The State Auditor's Office strives to ensure the best use of state resources. To this end, we make every attempt to avoid duplication of audit efforts by considering work performed by other auditors or oversight agencies, including the University's internal auditors, when appropriate.

In keeping with general auditing practices, we do not examine every portion of the University's financial activities during each audit. The areas examined are those areas we have determined have the highest risk of noncompliance, misappropriation or misuse. Other areas are audited on a rotating basis over the course of several years.

Our scope of responsibility is primarily to audit financial records to ensure public funds are accounted for and controls are in place to protect public resources from misappropriation and misuse and secondly; legal compliance to ensure units of state and local government adhere to required laws and regulations relating to financial matters.

ELEMENTS OF THE AUDIT

- ***Compliance with laws and regulations:***

The State Constitution, Article 3, Section 20 (Audits of Public Accounts) establishes the Office as the auditor of public accounts, and to have such powers and perform such duties as may be prescribed by law. RCW 43.09 grants the auditor authority to examine the financial affairs of public agencies to ensure their integrity in the assessment, collection, and expenditure of public funds.

How?

We examine the University's compliance with laws, regulations, and policies. To plan the audit we applied our professional knowledge and experience with University operations and analyzed electronic University data to identify areas at the highest risk for non-compliance, misappropriation or abuse. If, during the course of the audit, we identify other areas not scheduled for review, we consider adjusting our audit scope accordingly and notify University management.

Which areas?

- Conflict of Interest
- Open Public Meeting
- Pharmaceutical Inventory
- Competitive Bid Law
- Contracts and Agreements
- Restricted Fund/Budget Compliance
- Safeguarding of Assets
- State Grant
- Motorpool
- Educational Outreach
- University Press
- Office of Intellectual Property and Technology Transfer
- Constituent Referrals
- General Disbursement
- Payroll
- IT Infrastructure Support Fund
- Intercollegiate Athletics
- School of Dentistry
- Consolidated Laundry
- University Police Department

Planned computer assisted audit tests of payroll and vendor payment transactions may lead us to additional departments.

- **Single Audit:**

The State Auditor's Office is responsible for performing a single audit of the State of Washington, as required by the revised Single Audit Act and Office of Management and Budget Circular A-133. We have identified three major federal programs at the University that are scheduled for audit:

- Research and Development Cluster
- Aids Education & Training Center

The federally funded transactions and related internal controls will be examined in the following departments:

- Office of Sponsored Programs
- Grant and Contact Accounting
- Management Accounting & Analysis
- AID's Education & Training Center
- Joint Institute for the Study of the Atmosphere and Ocean
- Center for Experimental Nuclear Physics and Astrophysics
- Epidemiology
- Chemistry
- Dean Graduate School
- Biostatistics
- Electrical Engineering
- Lake Union Rosen-Microbiology
- Aeronautics and Astronautics

- **Financial Statements:**

The State Auditor's Office is responsible for performing an audit of the financial statements for the State of Washington. University of Washington financial data is included in these statements. We will attest to the fairness of presentation of certain University account balances considered significant to the state's financial statements.

REPORTING

Any conditions considered significant enough to report as a finding will be included in one or two statewide reports:

- Single audit report (for all findings involving federal funds)
- Accountability report (for all findings)

We will also provide the University with a separate accountability report to include all University findings.

<p style="text-align: center;">Peterson Sullivan PLLC University of Washington Metropolitan Tract Audit For the year ended June 30, 2006</p>

Peterson Sullivan PLLC has been providing audit services to the University of Washington for over fifteen years. We value our relationship with the University and welcome the opportunity to communicate with the Regents about our audit plan.

AUDIT CONTRACT

The fiscal year ended June 30, 2006, will be the third year we have conducted the financial audit of the Metropolitan Tract. This is “year three” in our three year contract with the University of Washington for the Metropolitan Tract audit.

SUMMARY OF SERVICES PROVIDED

We perform the following audit services:

- Metropolitan Tract financial statement audit
- Rainier Tower sublease audit of the schedules of results of operations and net change in cash
- Fairmont Olympic Hotel audit of the schedule of gross rental income and percentage rent and lease compliance agreed upon procedures
- Unico Properties, Inc. audit of the schedule of gross rental income and percentage rent and lease compliance agreed upon procedures

SUMMARY OF AUDIT PLANS

Metropolitan Tract

We focus our audit procedures in the areas deemed to be the highest risk. At the Metropolitan Tract we have determined that cash and revenues represent the largest dollar value, highest volume of activity and therefore, highest audit risk. In addition, there are significant Cobb building capital expenditures and related debt this year. We have prepared our audit programs to focus audit efforts in these areas.

Rainier Tower Sublease

The Rainier Tower sublease financial results are included in the Metropolitan Tract financial statements. We also audit the separate schedules of results of operations and net change in cash. The risk areas where we focus our audit procedures related to these two schedules is rent revenue recognition and capital expenditures.

Fairmont Olympic Hotel

The financial results from the Fairmont Olympic Hotel schedules of gross revenue amounts and percentage rentals are included in the Metropolitan Tract financial statements. We also audit the schedules on a stand-alone basis. We focus our audit procedures on revenue by testing revenue recognition, completeness of reported revenue and proper classification and presentation of revenue. In addition, we perform and report on specific agreed upon procedures related to lease compliance. We will also ensure that the Fairmont obtained an

audit of replacements and renewals expenditures as required by the lease (this was a finding last year since it was not done).

Unico Properties, Inc.

The financial results from the Unico Properties, Inc. schedule of gross rental income are included in the Metropolitan Tract financial statements. We also audit the schedule on a stand-alone basis. We focus our audit procedures on revenue recognition and revenue classification between commercial space and office space. We will also be selecting applicable tenants and testing the calculation of percentage rents since this was a finding last year. In addition, we perform and report on specific agreed upon procedures related to lease compliance.

AUDIT TIMELINE

Our audit procedures are expected to take place as follows:

Fairmont	May 22-24, 2006
Unico	May 24-26, 2006
Metropolitan Tract	August 1-10, 2006
Rainier Tower	August 8-10, 2006

Reports for Fairmont and Unico are prepared for the year ended December 31, 2005, and will be issued the end of July 2006.

Reports for the Metropolitan Tract and Rainier Tower are prepared for the year ended June 30, 2006, and will be issued by September 15, 2006.

In addition, we communicate any internal control related or other findings noted during our audits to the University in a management letter at the conclusion of the Metropolitan Tract audit.



University of Washington



2006 Audit Plan

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I. Executive Summary

Scope of Audit

We will audit the balance sheet of the University of Washington (University) as of June 30, 2006, and the related statements of revenues, expenses, and changes in net assets and cash flows for the year then ended. These financial statements are the responsibility of the University's management. Our responsibility is to express an opinion on the financial statements based on our audit, however we will not express any opinion on the effectiveness of internal controls over financial reporting.

An audit includes consideration of internal control over financial reporting as a basis for designing audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the University's internal control over financial reporting. Accordingly, we will express no such opinion.

Additionally, we will report separately on our audits for the UW Medical Center, Intercollegiate Athletics, and the Parking System.

Coordination with Other Auditors

We will coordinate and rely on audit testwork performed by the following other auditors: Peterson Sullivan, the Washington State Auditor's Office and the University's Internal Audit Department as considered necessary.

This coordinated approach allows us to reduce duplicative testwork during the audit of the University and increase overall efficiency.

Tentative Timetables

Our timetable is expected to be similar to that of the previous year. We will coordinate with the Office of Financial Management an Internal Audit for a more detailed schedule of events and prepare an outline of specific data requirements, timelines and individuals responsible.

II. Financial Reporting Entity

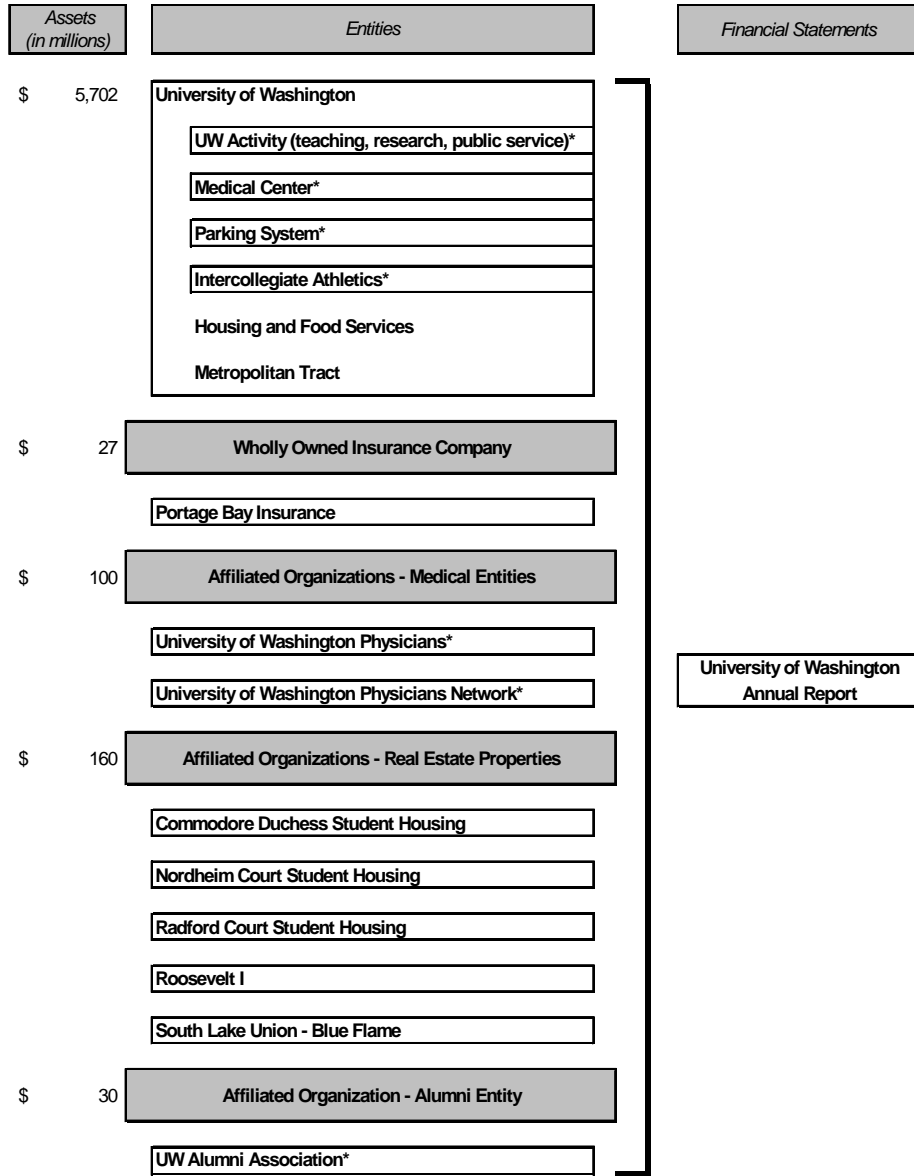
The financial statements of the University of Washington consist of (a) the University of Washington, (b) organizations for which the University is financially accountable, and (c) other organizations for which the nature and significance of their relationship with the University are such that exclusion would cause the University's financial statements to be misleading or incomplete.

The definition of the reporting entity is based primarily on the notion of financial accountability. An entity is financially accountable for the organizations that make up its legal entity. It is also financially accountable for legally separate organizations if its officials appoint a voting majority of an organization's governing body and either it is able to impose its will on that organization or there is a potential for the organization to provide specific financial benefits to, or to impose specific financial burdens on, the entity. An entity may also be financially accountable for governmental organizations that are fiscally dependent on it.

An entity has the ability to impose its will on an organization if it can significantly influence the programs, projects, or activities of, or the level of services performed or provided by, the organization. A financial benefit or burden relationship exists if the entity (a) is entitled to the organization's resources; (b) is legally obligated or has otherwise assumed the obligation to finance the deficits of, or provide financial support to, the organization; or (c) is obligated in some manner for the debt of the organization.



The following illustration depicts the entities included in the University of Washington Annual Report.



* Audited by KPMG

**Organizations Not included in
University of Washington Annual Report**

- Harborview Medical Center*
- University of Washington Foundation *
- Henry Gallery Association, Inc.
- Washington Law School Foundation
- Washington Pulp and Paper Foundation



III. Scope of Our Audits

University Annual Report

We will audit the balance sheet of the University as of June 30, 2006 and the related statements of revenues, expenses, and changes in net assets and cash flows for the year then ended. These financial statements are the responsibility of the University's management. Our responsibility is to express an opinion on the financial statements based on our audit.

We will conduct our audit in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes consideration of internal control over financial reporting as a basis for designing audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the University's internal control over financial reporting. Accordingly, we will express no such opinion. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation.

In planning and performing our audit of the financial statements, we consider internal control in order to determine our auditing procedures for the purpose of expressing our opinion on the financial statements. Our audit does not include examining the effectiveness of internal control and does not provide assurance on internal control. If, however, during our audit we note matters involving internal control and other operational matters, they will be presented for your consideration. These comments and recommendations, all of which will be discussed with the appropriate members of management, are intended to improve internal control or result in other operating efficiencies.

An audit includes consideration of internal control over financial reporting as a basis for designing audit procedures that are appropriate in the circumstances, but not for designing audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Universities internal control over financial reporting. According we express no such opinion.

This audit meets the needs of the Board of Regents and the administration to manage the University and assist in meeting the University's financial reporting requirements as a public agency and the State of Washington.

UW Medical Center

We will also audit the financial statements of the UW Medical Center as of June 30, 2006. We will conduct our audit in accordance with auditing standards generally accepted in the United States of America. We will provide an audit



report on the UW Medical Center and will use our audit as support in our overall opinion on the University.

Intercollegiate Athletics (ICA)

An audit of the ICA will also be performed for the year ended June 30, 2006. This audit is designed to meet the requirements of the outstanding debt and will be in accordance with auditing standards generally accepted in the United States of America.

We will also be performing required agreed upon procedures to meet the requirements of the National Collegiate Athletic Association (NCAA).

Parking System

Our audit of the Parking System is designed to provide the necessary information to meet the compliance requirements of the outstanding debt associated with the parking system revenue bonds.

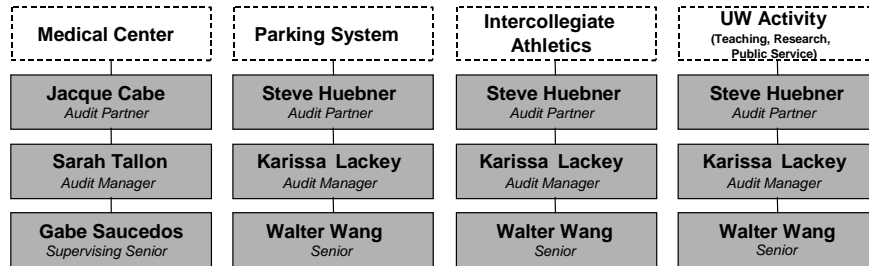
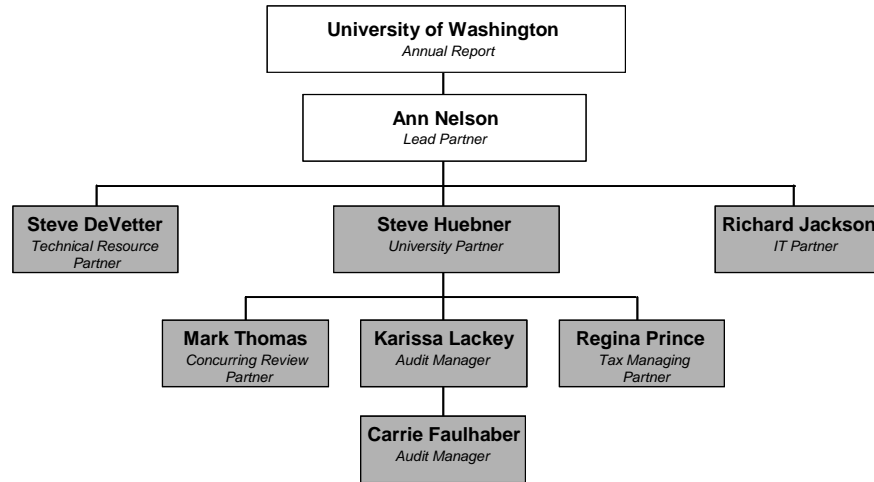
All Other UW Activity

All other UW activity consists of instruction, research, public service, and all other activities included in the University of Washington Annual Report, but not subject to separate reporting requirements.





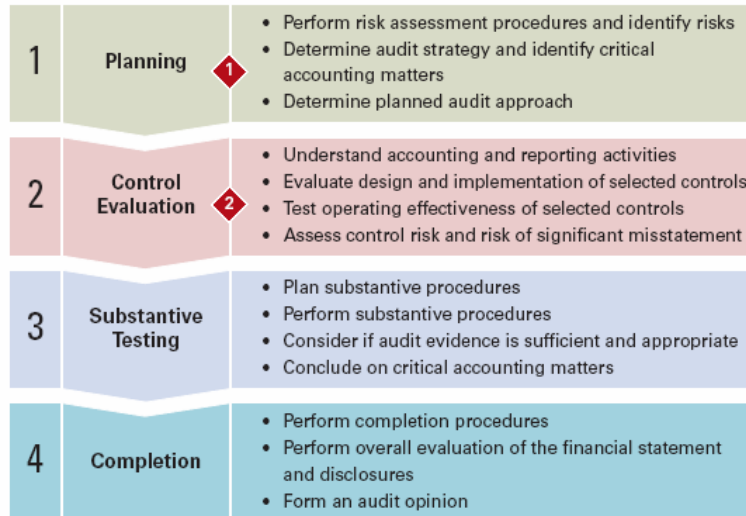
IV. KPMG Team Overview



V. KPMG Audit Process

We apply our audit process through our three-step audit approach. Each step allows us to more clearly identify the University’s business risks, and hence our audit risks, and to focus our audit approach on the risks of material misstatements in the financial statements.

An overview of KPMG’s standard four-step audit approach follows:



❖ 1 **Preliminary** decision on controls or substantive approach for each audit objective

❖ 2 **Confirm** decision on controls or substantive approach for each audit objective

IT General Controls

Throughout the audit phases outlined above KPMG will be testing IT General Controls in Access to Programs and Data, Program Change Program Development, Computer Operations, and End-User Computing. In addition, when applicable, KPMG will also test IT application controls in the areas of; application access (segregation of duties), key reports, key calculations and interfaces (to ensure completeness and accuracy of data transfer).

Overview of Audit Procedures

Balance Sheet

Financial Statement Caption	Audit Considerations	Key Audit Procedures
<ul style="list-style-type: none"> Cash and Investments (\$2.7 billion) 	<ul style="list-style-type: none"> Accounting for and control over cash and investments focused on the completeness, existence and accuracy of the account balances 	<ul style="list-style-type: none"> Test account reconciliations Confirm key cash and investment balances
<ul style="list-style-type: none"> Accounts Receivable- Patient Services (\$202 million) 	<ul style="list-style-type: none"> Existence of receivables Reasonableness of contractual and bad debt allowances Collectibility of receivables 	<ul style="list-style-type: none"> Substantive audit and controls procedures to test reasonableness of accounts receivable contractual allowance and bad debt reserves Accounts receivable testing for existence of account balances Test account reconciliation
<ul style="list-style-type: none"> Accounts Receivable- Grants and Contracts (\$141 million) 	<ul style="list-style-type: none"> Existence of receivables Accuracy of receivables Collectibility of receivables 	<ul style="list-style-type: none"> Coordination, review and reliance on the State Auditor testwork over grants and contracts Evaluate internal controls Assess the validity and collectibility of billed and unbilled receivables.
<ul style="list-style-type: none"> MetroTract (\$118 million) 	<ul style="list-style-type: none"> Proper recording of net assets of MetroTract 	<ul style="list-style-type: none"> Obtain and review the audit report of Peterson & Sullivan

Financial Statement Caption	Audit Considerations	Key Audit Procedures
<ul style="list-style-type: none"> Capital Assets (\$2.3 billion) 	<ul style="list-style-type: none"> Proper capitalization and classification of assets Appropriate depreciation/amortization Safeguarding assets 	<ul style="list-style-type: none"> Review a reconciliation of capital asset activity Test and evaluate internal controls over capital asset additions Test a sample of significant additions and retirements by examining supporting documentation.
<ul style="list-style-type: none"> Accounts Payable and Accrued Liabilities (\$306 million) 	<ul style="list-style-type: none"> Recognition of transaction in proper accounting period Accuracy of amounts recorded and assessment of management's estimates 	<ul style="list-style-type: none"> Perform review of subsequent disbursements Review reasonableness of balances compared to expectations
<ul style="list-style-type: none"> Self Insurance Reserve (\$29 million) 	<ul style="list-style-type: none"> Valuation of management's estimates for legal liabilities 	<ul style="list-style-type: none"> Test the internal control system for monitoring litigation, claims, and assessments Obtain and review audit report of the captive insurance company.
<ul style="list-style-type: none"> Long-term liabilities (\$846 million) 	<ul style="list-style-type: none"> Proper classification Compliance with financial covenants 	<ul style="list-style-type: none"> Confirm balances Test disclosure and classification Test financial covenants
<ul style="list-style-type: none"> Net Assets (\$4.0 billion) 	<ul style="list-style-type: none"> Proper classification of restrictions 	<ul style="list-style-type: none"> Review reasonableness of balances compared to expectations

Statement Of Revenues Expense And Changes In Net Assets

Financial Statement Caption	Audit Considerations	Key Audit Procedures
<ul style="list-style-type: none"> Student tuition and fees (\$331 million)	<ul style="list-style-type: none"> Accounting for and control over the tuition cycle Proper accounting for scholarship allowances 	<ul style="list-style-type: none"> Review reasonableness of balances compared to expectation Test and evaluate internal controls
<ul style="list-style-type: none"> Patient services (\$747 million)	<ul style="list-style-type: none"> Proper recording of revenues Proper cut-off of revenue between periods Accuracy of recording of contractual deductions from revenue Proper recording of charity care amounts in accordance with charity care policy 	<ul style="list-style-type: none"> Charge capture testing for appropriate capture of revenues at set charge master rates Revenue cut-off testing for appropriate revenue recognition Test and evaluate internal controls
<ul style="list-style-type: none"> Grants and Contracts revenue (\$954 million)	<ul style="list-style-type: none"> Proper recording of revenues and collections Accuracy of account balances 	<ul style="list-style-type: none"> Coordination, review and reliance on the State Auditor testwork over compliance Test and evaluate internal controls
<ul style="list-style-type: none"> Auxiliary Enterprise revenue (\$117 million)	<ul style="list-style-type: none"> Proper consolidation of entities 	<ul style="list-style-type: none"> Obtain and review departmental and component unit statements for proper inclusion in the financial statements

Financial Statement Caption	Audit Considerations	Key Audit Procedures
<ul style="list-style-type: none"> Salaries and Benefits (\$1.7 billion) 	<ul style="list-style-type: none"> Recognized in proper accounting period Accuracy of amounts recorded 	<ul style="list-style-type: none"> Test and evaluate the internal controls Coordinate departmental reviews through work performed by the State Auditor Review reasonableness of balances compared to expectation
<ul style="list-style-type: none"> State Appropriations (\$380 million) 	<ul style="list-style-type: none"> Accuracy of amounts recorded 	<ul style="list-style-type: none"> Confirm balances with information provided from the state accounting system
<ul style="list-style-type: none"> Investment Income (\$219 million) 	<ul style="list-style-type: none"> Accuracy of amounts recorded 	<ul style="list-style-type: none"> Confirmation of amounts with the custodian Obtain and review the SAS 70 internal control report provided by the service organization.

Coordination with Other Auditors

When necessary we will coordinate and rely on audit testwork performed by the following other auditors.

Auditor

Peterson Sullivan

Washington State Auditor’s Office

University of Washington Internal Audit

University Component

Metro Tract, Housing & Food Services

Research – Compliance with Laws and Regulations

Statewide Debt

Various

This coordinated approach allows us to reduce duplicative testwork during the audit of the University and increase overall efficiency.

VI. New Accounting Pronouncements

Statement No. 42 Accounting and Financial Reporting for Impairment of Capital Assets and for Insurance Recoveries

GASB No. 42 was issued in November 2003 and is effective for the University's fiscal year ended June 30, 2006.

This Statement establishes accounting and financial reporting standards for impairment of capital assets. A capital asset is considered impaired when its service utility has declined significantly and unexpectedly. This Statement also clarifies and establishes accounting requirements for insurance recoveries.

Governments are required to evaluate prominent events or changes in circumstances affecting capital assets to determine whether impairment of a capital asset has occurred. Such events or changes in circumstances that may be indicative of impairment include evidence of physical damage, enactment or approval of laws or regulations or other changes in environmental factors, technological changes or evidence of obsolescence, changes in the manner or duration of use of a capital asset, and construction stoppage. A capital asset generally should be considered impaired if both (a) the decline in service utility of the capital asset is large in magnitude and (b) the event or change in circumstance is outside the normal life cycle of the capital asset.

Impaired capital assets that will no longer be used by the government should be reported at the lower of carrying value or fair value. Impairment losses on capital assets that will continue to be used by the government should be measured using the method that best reflects the diminished service utility of the capital asset. Impairment of capital assets with physical damage generally should be measured using a restoration cost approach, an approach that uses the estimated cost to restore the capital asset to identify the portion of the historical cost of the capital asset that should be written off. Impairment of capital assets that are affected by enactment or approval of laws or regulations or other changes in environmental factors or are subject to technological changes or obsolescence generally should be measured using a service units approach, an approach that compares the service units provided by the capital asset before and after the impairment event or change in circumstance. Impairment of capital assets that are subject to a change in manner or duration of use generally should be measured using a service units approach, as described above, or using deflated depreciated replacement cost, an approach that quantifies the cost of the service currently being provided by the capital asset and converts that cost to historical cost.

VII. Tentative Timetable

	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan
Initial Planning Meetings	•									
Present Audit Plan to Board of Regents' Finance & Audit Committee		•								
Planning Meetings with University of Washington Finance Department			•							
Interim Fieldwork		•	•	•						
Final Fieldwork					•	•	•			
Financial Statements Preparation and Reporting							•	•	•	
Presentation of Financial Statement and Management Letter to Board of Regents' Finance & Audit Committee										•

We will coordinate with the controller's office a more detailed schedule of events which outlines specific data requirements, timelines and individuals responsible for each will be prepared.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority

Pursuant to the Standing Orders of the Board of Regents, Delegation of Authority, and to the delegation of authority from the President of the University to the Executive Vice President in Executive Order No. 1, to take action for projects or contracts that exceed \$1,000,000 in value or cost but are less than \$5,000,000, the Administration may approve and execute all instruments.

**More Hall Fire Sprinkler and Alarm Upgrade, Project #200608
Action Reported: Architect Appointment/Establish Project Budget**

On January 18, 2006 an agreement for architectural services was awarded to CDI Engineers for the More Hall Fire Sprinkler and Alarm Upgrade project in the amount of \$57,860. The design budget value is \$75,197. The balance of the design budget is intended for hazardous material design, existing conditions survey, construction testing and other miscellaneous design services.

More Hall, constructed in 1946, consists of approximately 83,000 square feet and is occupied as a classroom and science research facility for the Department of Civil and Environmental Engineering. The scope of this project is to retrofit fire sprinklers and replace the fire alarm panel and field devices as necessary to offer complete coverage to all floors in all areas. The schedule for this project shows design being completed in November, 2006, followed by a nine month construction schedule.

CDI Engineers is a Lynnwood-based engineering firm that has been working on projects on the UW campus since 1998. CDI is currently working as a sub-consultant on the Architecture Hall Renovation project. They are also serving as the prime consultant on the Aerospace and Engineering Building Sprinkler Upgrade.

The project budget is \$1,895,000. Funding for the design is available from the Safety Budget. Funding for construction is expected to come from the 2005-2007 Safety Budget.

Budget Summary:	Current Apprv'd Budget	Forecast Cost At Completion
Total Consultant Svcs	\$154,358	\$154,358
Total Construction Cost*	\$1,574,565	\$1,574,565
Other Costs	\$26,001	\$26,001
Project Administration	\$140,076	\$140,076
Total Project Budget	\$1,895,000	\$1,895,000

* Includes construction contract amount, contingencies and state sales tax.

Project Name: Drumheller Fountain Infrastructure Upgrades, Project #10564

Action Reported: Contract Award

On February 27, 2006 a construction contract was awarded to Epic Construction, in the amount of \$279,900 including alternates, for the Drumheller Fountain Infrastructure Upgrades project. The budget for this work was \$321,029. Five bids were received for the project ranging from the low bid of \$279,900 to the high bid of \$503,940.

The scope of this construction contract is to place approximately 2,400 cubic yards of controlled density fill (CDF) in the moat surrounding the fountain basin, and construct a structural vault around existing mechanical equipment in the moat. Epic commenced work on March 21, 2006 and was scheduled to be completed on May 1, 2006.

The overall project scope includes lining the fountain basin and replacing and reconfiguring the fountain piping. The construction budget listed below also included the purchase and installation of the basin lining. That work was budgeted at \$280,020. The balance of the construction budget was for construction contingencies, Washington State sales tax and miscellaneous electrical and structural work by UW forces.

This is the first project that Epic Construction has performed for the University of Washington. They have completed work for the Cities of Everett, Bremerton and Vancouver, WA, and WSDOT. The work was completed on schedule.

The budget for the project is \$1,143,180. The project was funded from 03-05 and 05-07 Building Renewal funds.

Budget Summary:	Current Approved Budget	Forecast/Actual
Total Consultant Svcs	\$174,522	\$174,522
Total Construction Cost	\$833,267	\$833,267
Other Costs	\$40,586	\$40,586
Project Administration	\$94,805	\$94,805
Total Project Budget	\$1,143,180	\$1,143,180

Gowen Hall Elevators #3 and #4 Upgrade, Project # 200311

Action Reported: Contract Award

On March 28, 2006 a construction contract was awarded to Apus Construction for the Gowen Hall Elevators #3 and #4 Upgrade in the amount of \$505,000. Six bids were received and the low bid was \$505,000 and the high bid was \$578,000. The budgeted value is \$675,725 for this work.

The project consists of a complete modernization of Elevators # 3 and #4 in Gowen Hall. Elevator #3 and Elevator #4 have been in service for 25 and 20 years, respectively. The interior of the cabs will be replaced and new elevator equipment will be installed. Additionally, some mechanical and electrical upgrades will be made to the building in direct support of the elevator upgrade. The upgrade meets all current codes.

Apus Construction has completed a number of public works contracts throughout the Seattle area. They have recently completed Cable and Technology Infrastructure Improvements for Hazelwood Elementary School and prior to that, the Tiger Mountain Community High School Science Lab Remodel. They have references from the Edmonds School District, Issaquah School District, and the Cities of Burien, Shoreline and Renton.

The project budget is \$1,100,000. Funding is available from the Building Renewal budget.

Budget Summary:	Current Apprv'd Budget	Forecast Cost At Completion
Total Consultant Svcs	\$160,589	\$150,854
Total Construction Cost*	\$829,304	\$659,330
Other Costs	\$28,652	\$35,996
Project Administration	\$81,455	\$81,455
Total Project Budget	\$1,100,000	\$927,635

* Includes construction contract amount, contingencies and state sales tax.

**Research & Technology Building COE Tenant Improvements
Environmental Microbiology Stahl Lab, Project # 200830
Action Reported: Establish Project Budget/Contract Award for Design
Build Services**

A Design Build Operate Maintain (DBOM) contract was awarded to M. A. Mortenson on June 22, 2004 to provide design and construction of the core and shell of the Research & Technology (R&T) Building. It was intended that future tenant improvement work would be accomplished under this DBOM agreement. The second such tenant has been identified: environmental microbiology laboratories for Dr. David Stahl and his team in the College of Engineering (COE), occupying approximately 4,400 square feet on the fourth floor.

On April 18, 2006 a change order for design-build services was awarded to M.A. Mortenson for the R&T COE tenant improvements, establishing a project budget of \$1,462,000. The agreement amount for design and construction is \$1,254,045. Work will be performed by the same design build team (M.A. Mortenson, Collins Woerman, McKinstry, and Sasco) as the R&T Core & Shell building project just completing construction. M. A. Mortenson has a long history with UW projects including the recently-completed Paul G. Allen Center for Computer Sciences & Engineering and is also the general contractor / construction manager for the Architecture Hall renovation project.

Construction for the new microbiology lab space is scheduled to start in June 2006 and will be completed in November 2006.

The project budget of \$1,462,000 is funded from Tenant Improvement bond proceeds. No sales tax is included in the budget as this research tenant qualifies for tax deferral status.

Budget Summary:	Current Apprv'd Budget	Forecast Cost At Completion
Total Consultant Svcs*	5,000	5,000
Total Design – Build Construction Cost**	1,254,045	1,254,045
Other Costs	133,125	133,125
Project Administration	92,830	92,830
Total Project Budget	1,462,000	1,462,000

* refers to fees to consultants retained by University to prepare Furniture, Fixtures & Equipment specifications.

** Includes design fees, construction contract amount, contingencies and no state sales tax.

UWMC Surgery Pavilion 1102 Vascular/ISIS Suite, Project # 200906
Action Reported: Establish Budget /Appoint Architect

The Vascular/ISIS Project will be a tenant improvement of an unoccupied space (approximately 7000 SF) in the Surgery Pavilion to meet the needs of the two departments: a new combined vascular research and diagnostic service clinic and the new Institute for Surgical and Interventional Simulation (ISIS).

The Vascular Clinic represents a partnership between UWMC and the Department of Surgery and will create an internationally recognized center for non-invasive vascular imaging. This clinic will enable the development of new, revenue-producing programs and will create flexibility that will allow for better coverage and service for UWMC's patient population. ISIS also represents a partnership between UWMC and several of the clinical departments in the School of Medicine. This new institute will create a venue for surgical planning and the training of surgeons and residents, using simulation equipment.

On February 19, 2004, the Board of Regents appointed NBBJ for the UWMC Comprehensive Planning Study (Internal Master Plan) and design services for projects that resulted from the Master Planning effort. On April 4, 2006, an architectural agreement was awarded to NBBJ for the Vascular/ISIS Suite, the first project resulting from this appointment. The contract amount is \$56,922 for schematic design. The design budget is \$208,237. The balance of the design budget is intended for hazardous material design, construction testing, commissioning and other miscellaneous design services.

The project is anticipated to go to bid in April 2006 and is expected to be completed in December 2006.

The project budget is established at \$2,730,840. Funding is available from the University of Washington Medical Center patient revenues.

Budget Summary:	Current Apprv'd Budget	Forecast Cost At Completion
Total Consultant Svcs	\$354,556	\$354,556
Total Construction Cost	\$2,149,004	\$2,149,004
Other Costs	\$22,735	\$22,735
Project Administration	\$204,545	\$204,545
Total Project Budget	\$2,730,840	\$2,730,840

Project Name: Haggett Elevator and Tenant Improvements, Project # 201175
Action Reported: Contract Award

On April 5, 2006 a construction contract was awarded to Cope Construction Company in the amount of \$1,585,630 for the Haggett Elevator and Tenant Improvement Project. On February 28, 2006, four bids were received the low bid with alternates was \$1,585,630, approximately \$100,000 below the budgeted value of \$1,685,000 and the high bid was \$1,716,000.

The project will replace the cab and equipment for the remaining Elevators #95, #97 and #98 in Haggett Hall, provide elevator shaft pressurization, and card key access to all four building elevators. Elevator #96 was previously replaced under an emergency contract when the equipment failed last year. The project will also include replacement of the fixtures, flooring, lighting, and painting in the south tower toilet rooms and new linoleum flooring in corridors as well

The project is scheduled to have the tenant improvements and improvements to Elevators #96 and #97 completed by the start of fall quarter 2006, and Elevators #95 and #98 to be completed by the start of winter quarter 2007.

Cope Construction has successfully completed projects for the University of Washington including the UWMC Cyclotron Installation, Dentistry Remodel, UWMC Critical Care, and UWMC Linear Upgrade, and remodels in More and Gowen Halls.

The project budget is \$2,600,000. Funding is available from Housing and Food Services.

Budget Summary:	Current Approved Budget	Forecast Cost at Completion
Total Consultant Services	\$ 290,897	\$ 237,041
Total Construction Cost	\$2,072,966	\$2,001,192
Equipment / Furniture	\$ 33,745	\$ 27,912
Other Costs	\$ 32,900	\$ 30,776
Project Administration	\$ 169,492	\$ 168,879
Total Project Budget	\$2,600,000	\$2,465,799

UW HMC MRI Installation, Project # 201420

Action Reported: Architect Appointment/Establish Project Budget

In April, 2006 an agreement for architectural services was awarded to ARC Architects, for Harborview's MRI Installation project. The budget was established at \$1,500,000.

The HMC MRI Installation project will add one 3T MRI to their existing 1.5T MRI in the radiology department. The location of this new magnet will be adjacent to the existing MRI just to the South. The work includes demolition, new finishes, new casework, new magnetic and RF shielding, additional work in adjacent spaces, HVAC upgrades and electrical upgrades. The MRI unit and associated equipment will be procured by the hospital under a separate contract.

ARC Architects is a firm with experience working with the UW since 1999. In addition to the many projects they have worked on at Harborview, they have also worked on projects at Sand Point as well as the Seattle campus. Their design fee is \$120,000. The design budget is \$270,520. The balance of the work is for hazardous material design, testing and commissioning, and miscellaneous design services.

The current Management Agreement between UW, HMC and King County limits UW to managing projects up to \$1M. This project's total budget (design and construction) is expected to exceed \$1M. The design budget of \$270,520 has been approved by HMC's Board of Trustees. The current Management Agreement is being reviewed by all parties. Among the issues for review is raising the individual project limits to \$5M, to be consistent with current practice for small projects at the UW Medical Center. It is anticipated that the project limit will be raised prior to this project completing design. Should this not occur, Harborview intends to seek specific permission to allow CPO to manage the construction of this project. Funding for this project will come from Harborview revenues.

Budget Summary	Current Budget 4/19/06
Total Consultant Services	\$270,520
Total Construction Cost	\$1,102,086
Other Costs	\$11,465
Total Project Administration	\$115,929
Total Project Budget	\$1,500,000

Purchase – Immunoassay Reagents

The Department of Laboratory Medicine at Harborview Medical Center and University of Washington Medical Center (the Medical Centers) uses automated immunochemistry analyzers to perform endocrinology, cardiac markers and other chemistry testing on patient specimens. In planning for replacement of existing analyzers, the Medical Centers requested information from seven manufacturers of such equipment and associated reagents. Six responses were received, and two were selected for further evaluation. Based on the Medical Centers' evaluation, the analyzers and reagents provided by Beckman Coulter Inc. provide unique capabilities including greater capacity and faster throughput, improved calibration and reduced maintenance. Although not a competitive solicitation, the pricing from Beckman Coulter is ten percent less than the other finalist, and seven percent lower than prior costs.

Two purchase orders were issued to Beckman Coulter, for placement of two analyzers each at Harborview Medical Center and at University of Washington Medical Center. The Beckman Coulter contract is a five year commitment to acquire the immunoassay reagents at a minimum of \$515,183 per year. The four analyzers are provided at no cost other than reimbursement of personal property taxes, and the Medical Centers combined volume of reagent use will meet or exceed the annual minimum. The five year total commitment is \$2,575,916 plus sales tax. Funding is available from patient revenue.

Purchase – Computer Hardware and Software for Electronic Medical Record System

UW Medicine is implementing an electronic medical record system to unify patient data that currently resides in various paper and electronic sources, and to provide tools for documenting care, viewing results of diagnostic tests, monitoring patient status, and ordering supplies and services. An updated project budget was authorized by the Board of Regents in November 2005. The updated budget included costs for additional computer hardware and software.

A purchase order was issued to the International Business Machines Corporation for server and storage hardware, software, installation and implementation at a cost not to exceed \$1,500,000 plus sales tax. Pricing is based on a State of Washington Department of Information Services competitive contract. Funding is available from the capital budget for the electronic medical record system project.

Contract Amendment – Electronic Medical Record Implementation Services

The consulting firm of Cagemini, U.S. LLC was selected in 2004 on the basis of competitive proposals, to provide implementation management services for the electronic medical record project. The contract was for a not to exceed total of \$860,000 for approximately twelve months of work. After eight months of working on the project, Cagemini sold its healthcare solutions business unit to Accenture LLP, including transfer of the assigned staff, and Accenture assumed responsibility for performance of the University's project agreement.

Prior to the end of the initial term, UW Medicine determined that additional work needed to be performed. The contract with Accenture was extended five months, and an additional amount not to exceed \$330,000. Since the cumulative total with both companies exceeds the delegated authority of one million dollars, it is being reported under actions taken. Funding for these services is available from medical centers revenues.

Purchase – Patient Treatment System

The University of Washington Medical Center (UWMC) participates in the Seattle Cancer Care Alliance (SCCA), in order to provide enhanced and coordinated care for cancer patients. UWMC has operated a patient treatment system for many years that has become outdated as new treatment systems have been installed. In 2005, SCCA conducted a review of patient treatment systems, and UWMC participated in that process. Three vendors were invited to demonstrate their systems, and were evaluated for factors such as speed, ease of use, clinical criteria and flexibility. Based on these evaluations, SCCA selected the patient treatment system from Philips Medical Systems N.A. In order to be compatible with the treatment system at SCCA, UWMC also selected the Philips system. The Phillips treatment system is a computerized dosing system for radiation oncology. It determines the angle and the dose of the radiation in the treatment, i.e. given the location of the tumor, how to direct the treatment calculating angle and density of tissue.

A purchase order was issued to Philips not to exceed \$915,000 plus sales tax. One year after clinical acceptance of the system, the order includes a four-year service plan at \$16,125 per quarter, for a combined total cost to UWMC not to exceed \$1,173,200 plus sales tax. Funding is available from the UWMC equipment reserve.

Magnetic Resonance Imaging System Lease Extension

A lease for a magnetic resonance imaging (MRI) system from Philips Medical Systems was reported to the Board of Regents in November 2005. The lease was based on a competitive request for proposals, to provide an imaging system on a temporary basis while the University of Washington Medical Center develops a facility plan and renovation project for the Radiology Department. In order to accommodate changes in the planning schedule, an amendment was issued to extend the MRI lease through April 2006, for a cumulative total cost not to exceed \$1,350,000 plus sales tax. Funding is available from patient revenues.

Purchase Amendment – Magnetic Resonance Imaging System

The purchase of a magnetic resonance imaging system (MRI) for the University of Washington Medical Center (UWMC) from Philips Medical Systems in an amount not to exceed \$2,200,000 plus sales tax was reported to the Board of Regents in July 2004. The Philips MRI was selected on the basis of competitive proposals. After satisfactory installation and acceptance of the MRI, UWMC decided to add other clinical applications and to acquire a subsequent software upgrade. An amendment was issued for the additional items in an amount not to exceed \$240,000 plus sales tax; pricing for the new items was consistent with Philips discounted prices on the original competitive purchase. Funding for the amended total is available from the UWMC equipment reserve.

Financing - UW Medical Center Equipment Capital Lease

On March 16, 2006, UWMC entered into a capital lease with Bank of America to finance \$4 million in hospital equipment. This transaction was completed under general delegated authority. The term of this lease is 5 years and the tax-exempt interest rate was 3.572%.

VII. STANDING COMMITTEES**B. Finance, Audit and Facilities Committee**Waiver of Tuition and Fees – Revisions to WACRECOMMENDED ACTION

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the Board of Regents approve the attached changes to WAC 478-160-163 (Waivers of Tuition and Fees). These changes to WAC 478-160-163 are necessary to implement the previous action taken by the Board of Regents in October 2005 which revised the provisions of tuition waivers for veterans and members of the Washington National Guard making these provisions consistent with the changes in state law that were implemented with the passage of Substitute House Bill (SHB) 1174.

BACKGROUND FOR RECOMMENDED ACTION

The passage of SHB 1174 during the 2005 State Legislative session made changes in the state law governing tuition waivers for veterans and members of the National Guard. In response to those changes, in October 2005 the Board of Regents re-established tuition waivers for veterans and members of the National Guard under the newly established legal framework.

After the Board of Regents establishes new tuition waivers, the university is required to formally go through a rule-making process to officially enact the provisions of the tuition waivers under Washington Administrative Code (WAC). In order for the necessary amendments to WAC to be effective for Autumn Quarter 2005, they were initially adopted by President Emmert through the emergency rule-making process and were effective October 7, 2005. The permanent rule-making process requires a public hearing which was held on March 13, 2006. On March 21, 2006 the Hearing Officer's report (Attachment 1) was transmitted to the president with the recommendation that the Board of Regent's adopt the proposed amendments to WAC 478-160-163. The Board of Regents adoption of these changes to WAC 478-160-163 (Attachment 2) is the final step in the implementation of the Board's earlier action.

March 21, 2006

President Mark A. Emmert
Office of the President
University of Washington
Box 351230

Dear President Emmert:

Pursuant to your delegation, I served as the Hearing Officer to receive public comment on the University of Washington's proposed amendments to WAC 478-160-163, "Waivers of Tuition and Fees." The hearing commenced at 12:00 p.m. on Monday, March 13, 2006, in Room 309 of the Husky Union Building (HUB) at the University of Washington, Seattle campus. I am pleased to provide you a report of that hearing.

As required by the Administrative Procedure Act, the University filed the following notices with the State of Washington Code Reviser: a Preproposal Statement of Inquiry (published as WSR 05-20-006 in the *Washington State Register* on October 19, 2005) and a notice of Proposed Rule Making (published as WSR 06-04-039 in the *Washington State Register* on February 15, 2006). Campus notice that the hearing would be held was published on March 2, 2006, in *The Daily* and in *University Week*. Additionally, notice of the public hearing was included in the online events calendar for the Seattle campus.

Analysis

No individuals attended the hearing to provide comment on these proposed amendments. No written comments were received and no copies of the proposed amendments were requested from the Rules Coordination Office. The proposed amendments were reviewed by the Office of the Attorney General, endorsed by the Office of Planning and Budgeting, and approved by the Office of the Vice President for Student Affairs. Additionally, these same proposed amendments have been in effect since October 7, 2005, as emergency rules.

Recommendation

WAC 478-160-163 is being amended to reflect the new definitions and limitations established by Substitute House Bill 1174 (RCW 28B.15.621), effective July 24, 2005. Substitute House Bill 1174 repealed existing waivers for Southeast Asia veterans, Persian Gulf veterans, and children of POW/MIAs that had been authorized by RCW 28B.10.265, 28B.15.620, and 28B.15.628, and instead authorized a new permissive waiver for veterans who are Washington domiciles and who were called to active federal duty and who served in a conflict or war on foreign soil/international waters, or in support of such a conflict, and for his/her children or spouse if the veteran became totally disabled or lost his/her life

President Mark A. Emmert
March 21, 2006
Page Two

while engaged in active federal military or naval service, or if the veteran was determined to be a POW/MIA. Substitute House Bill 1174 also removed eligibility for members of the Washington National Guard and veterans of the Korean conflict to use the state employee tuition exemption, although those with qualifying service can apply for the amended veteran's waiver.

I recommend that the Board of Regents adopt the proposed amendments to WAC 478-160-163, "Waivers of Tuition and Fees."

An audio tape of the hearing has been deposited with the Secretary of the Board of Regents.

Sincerely yours,

Carol S. Niccolls
Executive Assistant to the President

cc: Ms. Rebecca Goodwin Deardorff
Mr. Eric S. Godfrey
Ms. Michele M. Sams
Dr. Phyllis M. Wise

AMENDATORY SECTION (Amending WSR 02-06-021, filed 2/25/02, effective 3/28/02)

WAC 478-160-163 Waivers of tuition and fees. (1) The board of regents is authorized to grant tuition and fee waivers to students pursuant to RCW 28B.15.910 and the laws identified therein. Each of these laws, with the exception of RCW 28B.15.543 and 28B.15.545, authorizes, but does not require, the board of regents to grant waivers for different categories of students and provides for waivers of different fees. The board of regents must affirmatively act to implement the legislature's grant of authority under each individual law. A list of waivers that the board has implemented can be found in the *University of Washington General Catalog*, which is published biennially. The most recent list may be found in the online version of the *General Catalog* at www.washington.edu/students/reg/tuition_exempt_reductions.html.

(2) Even when it has decided to implement a waiver listed in RCW 28B.15.910, the university, for specific reasons and a general need for flexibility in the management of its resources, may choose not to award waivers to all students who may be eligible under the terms of the laws. Where the university has chosen to impose specific limitations on a waiver listed in RCW 28B.15.910, those limitations are delineated in subsection (5) of this section. If the university has not imposed specific limitations on a waiver listed in RCW 28B.15.910, the waiver is not mentioned in subsection (5) of this section. The university's description of the factors it may consider to adjust a waiver program to meet emergent or changing needs is found in subsection ~~((6))~~ (7) of this section. All waivers are subject to subsection ~~((6))~~ (7) of this section.

(3) The board of regents also has the authority under RCW 28B.15.915 to grant waivers of all or a portion of operating fees as defined in RCW 28B.15.031. Waiver programs adopted under RCW 28B.15.915 are described in the *General Catalog*. The most recent list may be found in the online version of the *General Catalog* at www.washington.edu/students/reg/tuition_exempt_reductions.html. Waivers granted under RCW 28B.15.915 are subject to subsection ~~((6))~~ (7) of this section.

(4) Waivers will not be awarded to students participating in self-sustaining courses or programs because they do not pay "tuition," "operating fees," "services and activities fees," or "technology fees" as defined in RCW 28B.15.020, 28B.15.031, 28B.15.041, or 28B.15.051, respectively.

(5) Specific limitations on waivers are as follows:

(a) ~~Waivers authorized by RCW (28B.10.265 for children of Washington domiciles who are prisoners of war or missing in action in Southeast Asia or Korea) 28B.15.621 (2) (a) for eligible veterans and National Guard members,~~ shall be awarded only to undergraduate students pursuing their first bachelor's degree to a maximum of 225 college-level credits, including credits transferred from other institutions of higher education.

(b) Waivers authorized by RCW 28B.15.621 (2) (b) and (c) for children or spouses of eligible veterans and National Guard members who became totally disabled, or lost their

lives, while engaged in active federal military or naval service, or who are prisoners of war or missing in action, shall be awarded only to undergraduate students pursuing their first bachelor's degree to a maximum of 225 college-level credits, including credits transferred from other institutions of higher education.

(c) Waivers of nonresident tuition authorized by RCW 28B.15.014 for university faculty and classified or professional staff shall be restricted to four consecutive quarters from their date of employment with the University of Washington. The recipient of the waiver must be employed by the first day of the quarter for which the waiver is awarded. Waivers awarded to immigrant refugees, or the spouses or dependent children of such refugees, shall be restricted to persons who reside in Washington state and to four consecutive quarters from their arrival in Washington state.

~~((e))~~ (d) Waivers authorized by RCW 28B.15.380 for children of ~~((deceased or permanently disabled))~~ police officers or fire fighters who are deceased or permanently disabled, shall be awarded only to undergraduate students pursuing their first bachelor's degree to a maximum of 225 college-level credits, including credits transferred from other institutions of higher education.

~~((d))~~ (e) Waivers authorized by RCW 28B.15.558 shall be awarded only to:

(i) University of Washington employees who are employed half-time or more, hold qualifying appointments as of the first day of the quarter for which the waivers are requested, are paid monthly, and, for classified staff new to the university, have completed their probationary periods prior to the first day of the quarter; or

(ii) State of Washington permanent employees who are employed half-time or more, are not University of Washington permanent classified employees, are permanent classified or exempt technical college paraprofessional employees, or are permanent faculty members, counselors, librarians or exempt employees at other state of Washington public higher education institutions ~~((; or~~

~~((iii) Members of the Washington National Guard.~~

~~(e) Waivers authorized by RCW 28B.15.620 shall be awarded only to Vietnam veterans pursuing their first bachelor's degree to a maximum of 225 college-level credits, including credits transferred from other institutions of higher education.~~

~~(f) Waivers authorized by RCW 28B.15.628 shall be awarded only to veterans of the Persian Gulf combat zone pursuing a first bachelor's degree to a maximum of 225 college-level credits, including credits transferred from other institutions of higher education.~~

(6) To qualify an individual as an "eligible veteran or National Guard member, "the person seeking the waiver must present proof of domicile in Washington state and a DD fom214 (Report of Separation) indicating their service related to specific United States military operations or campaigns fought on foreign soil or in international waters.

(7) The university may modify its restrictions or requirements pursuant to changes in state or federal law, changes in programmatic requirements, or in response to financial or other considerations, which may include, but are not limited to, the need to adopt fiscally responsible budgets, the management of the overall levels and mix of enrollments, management initiatives to modify enrollment demand for specific programs and management decisions to eliminate or modify academic programs. The university may choose not to exercise the full funding authority granted under RCW 28B.15.910 and may limit the total funding available under RCW 28B.15.915.

VII. STANDING COMMITTEE**B. Finance, Audit and Facilities Committee**Sewage Regulator Station on Seattle Campus – Amendment of Easement Granted to King County**RECOMMENDED ACTION:**

It is the recommendation of the Finance, Audit and Facilities Committee that the Board of Regents authorize the President or his designee to execute an amended easement to King County for its sewage regulator station located near the Triangle Garage, and all related documents to carry out the purpose of the amended easement.

BACKGROUND:

The sewage regulator station controls odors from a 64” sewer main 12 feet below ground. The amendment will increase the area of the regulator station easement by 144 square feet. This will allow King County to construct a retaining wall and an underground vault to house additional equipment for odor control. The original easement was granted in 1974.

The proposed odor control vault construction plan has been reviewed by the University’s Environmental & Health Safety Department, Capital Projects Office, Grounds Improvement Advisory Committee, Project Review Board and Engineering Services. Construction is scheduled to occur June - September 2006.

The easement amendment has been recommended for approval by the Executive Vice President and the Director of Real Estate.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

In Joint Session with

B. Finance, Audit and Facilities Committee

CREATING UW'S FUTURE: MEETING THE GRAND CHALLENGES

2007-09 Biennium Budget Requests to the State

Discussion Outline as of May 10, 2006

GOAL 1. Attract a diverse and excellent student body and provide a rich learning experience

A great strength of the UW is that it brings together a diverse group of undergraduate and graduate students, professional students, and postdoctoral scholars to learn and discover in a dynamic and comprehensive academic environment. Our challenge is to transform their lives through learning that emphasizes critical thinking and experiential discovery. Their learning experiences at UW will enable them to become responsible leaders in a complex global environment.

OBJECTIVES

1. Attract a diverse student body
2. Improve and enhance the learning environment for our students
3. Increase the number of scholarships and amount of financial aid
4. Prepare students for positions in a complex global environment by learning experiences abroad and service learning at home.
5. Attract and retain the best graduate students and postdoctoral fellows from the nation's and the world's best universities
6. Provide undergraduate and graduate students and postdoctoral fellows career and leadership training

GOAL 2. Attract and retain an outstanding and diverse faculty and staff

The excellence of our faculty and staff is the foundation upon which we carry out our mission of leading in learning, scholarship and discovery. They are committed to ever-increasing academic excellence, to solving the most challenging problems, and to translating their discoveries into improving lives, sustaining the environment and driving the economy. We are committed to recruiting, enabling and retaining a world-class faculty.

OBJECTIVES

1. Provide competitive compensation for faculty and staff
2. Increase the diversity of our faculty and staff
3. Facilitate collaborations in learning and discovery
4. Provide stronger support for leadership and career development in order to attract and retain the best faculty and staff

GOAL 3. Expand the reach of the UW across the globe

Our geographical location, our rich culture of collaboration, and our research strengths position us in a unique place to pursue global partnerships in teaching, research, and entrepreneurial activities. We will strive to promote multicultural understanding through expanded meaningful opportunities for our faculty and students to study and discover abroad. These experiences will benefit both UW and our global partners.

OBJECTIVES

1. Develop global educational and research programs
2. Increase the number of student and faculty study and perform scholarly work abroad
3. Innovate interdisciplinary programs to strengthen management of global affairs
4. Increase partnerships with local, regional, and global industries and businesses to enhance our global competitiveness

GOAL 4. Strengthen interdisciplinary research and scholarship to tackle “grand challenge” problems that will benefit society and stimulate economic development

One of the greatest strengths of UW is its longstanding culture of collaboration and innovative interdisciplinary research and teaching. Faculty have identified major opportunities that no single person could tackle alone but that groups must address to have the biggest impact on the progress in the field. Opportunities for discoveries and innovative learning modalities have never been greater. We will strive to enable cross-disciplinary opportunities and to reward faculty who invest time and energy in these endeavors.

OBJECTIVES

1. Pursue targeted areas of research to further our strength in areas of funding opportunity
2. Provide the infrastructure to enable efficient communication to develop innovative and novel collaborations
3. Provide facilities and equipment to increase research capacity
4. Attract and train the most highly qualified graduate students and postdoctoral fellows

GOAL 5. Insure the highest level of integrity, compliance, and stewardship.

The UW will continue to foster respect for the highest level of integrity in all of our activities. We will insure that we utilize best practices university-wide while protecting the UW's decentralized collaborative and entrepreneurial culture.

OBJECTIVES

1. Provide workshops to consult and inform faculty of new guidelines, rules and regulations
2. Maintain and enhance information security to safeguard sensitive data
3. Establish process and activities to manage all enterprise risks with respect to compliance, finance, operations, strategy and reputation
4. Oversee and improve UW's culture of compliance
5. Provide means for early identification, education, and debate on risks of strategic importance.

VII. STANDING COMMITTEES**B. Finance, Audit and Facilities Committee****METROPOLITAN TRACT: Unico Corporate Restructure – Amendment of Lease****RECOMMENDED ACTION:**

It is the recommendation of the Finance, Audit and Facilities Committee that the Board of Regents delegates authority to the President or his designee to execute an amendment to the Unico Lease and related documents, and an amendment to the Cobb Building lease and related documents, which provides the University's consent to Unico's proposed corporate restructure, on the condition that there is no material adverse impact to the University.

BACKGROUND:

Unico Properties, Inc. is the tenant under the Unico Lease, and Cobb LLC, a subsidiary of Unico Properties, Inc., is the tenant under the Cobb Lease. Unico Properties, Inc. and Cobb LLC are each subsidiaries of Unico Investment Corp. Unico has proposed a restructure of its overall corporate structure which would, among other things, convert Unico Properties, Inc. to a limited liability company and make Cobb LLC a sister company of Unico Properties, rather than its direct subsidiary. Unico has advised the University that the restructure will allow the company to achieve corporate goals for incentive compensation to its employees and officers and to operate in a more tax-efficient manner.

Pursuant to the Unico Lease and the Cobb Lease, these proposed actions by Unico require the University's consent.

This Action is recommended on the condition that, following complete review of Unico's proposed restructure and negotiation of documentation to effectuate the restructure, it is determined by the University that there will be no material adverse impact to the University.

This Action has been recommended by the Executive Vice President, the Director of Real Estate and the Asset Managers for the Metropolitan Tract.

VII. STANDING COMMITTEES**B. Finance, Audit and Facilities Committee**University of Washington Medical Center Expansion Project - ArchitectRECOMMENDED ACTION:

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the President be delegated authority to award a design contract for the UWMC Expansion project Phase I and II to Anshen+Allen subject to the successful negotiation of an Architectural Agreement.

BACKGROUND:

The University of Washington Medical Center (UWMC) is recognized as one of the top ten Medical Centers in the United States and is a Magnet Hospital for nursing. It is renowned for its programs in solid organ transplantation, cancer treatment, heart care, high-risk pregnancy and neonatal intensive care, rehabilitation, and specialized orthopedic surgery. As a well-respected clinical, training, and research organization, it is committed to serving the community by providing the highest quality care and service available and to cooperate with the faculty of the School of Medical and other schools of the Health Sciences to support education in the health professions as well as research into the causes and treatment of illness and disease.

UWMC began developing the “Comprehensive Facility Planning Study” as a response to growing space deficiencies and ongoing facility obsolescence. The intent of this study was to perform a comprehensive analysis of all services and buildings in an effort to determine how maximum efficiency and utilization might be achieved. UWMC must remain a strong player in the region, with the right mix of patients to provide appropriate teaching experiences for students and to ensure continued accreditation of Medical School residency programs to achieve its educational mission. Continued growth in a systematic and well-executed manner assists UWMC in its mission of assuring long term stability in the delivery of health care to the region and financial stability for the institution.

The 2005 UWMC Comprehensive Facility Planning Study predicts a shortage of 36 beds as well as diagnostic and treatment space between 2005 and 2015 which translates into a need of approximately 260,000 gross square feet by the year 2015. Financial constraints prohibit the full provision of 260,000 additional square feet in one project. The best option given the site and financial constraints would be to build in two phases, with the first phase as described below and the second phase following completion of the first phase.

Phase 1 is proposed as a four (4) story, plus a penthouse floor, 130,500 gross square foot addition that will be built on the existing Columbia Road area directly

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

University of Washington Medical Center Expansion Project – Architect
(continued p. 2)

south of the existing Pacific Tower. This addition will address workload needs in ICU, Imaging, Acute Care and Surgery. It is anticipated that Phase 2 will add an additional three-level bed tower, 95,500 gross square feet, above the Phase 1.

The Architect will provide planning, programming and test-fit pre-design services for Phase 1 and Phase 2 of the project's development. Additionally the Phase 1 construction budget and project development schedule will be defined. The estimated budget for pre-design services is \$1 million. It is anticipated that pre-design will define a total project with a budget of approximately \$85 million, which includes the \$1 million pre-design services cost.

The appointment is subject to the successful outcome of contract negotiations. Basic services contract negotiations are expected to be complete and the pre-design effort to begin by July, 2006. Pre-design will be accomplished with a Professional Services Agreement and design and construction administration for each phase will be accomplished with an Agreement for Architectural Services utilizing GC/CM Construction once the scope of the work, project development schedule and construction budget are established.

In March, 2006, the Capital Projects Office advertised for firms interested in providing architectural services. Eight firms responded to the Request for Qualifications for this project and three were interviewed on May 15 by the Architectural Commission. The three firms interviewed were the Zimmer, Gunsul, Frasca Partnership; NBBJ; and Anshen +Allen. The Commission recommended the firm of Anshen+Allen.

Anshen+Allen is among the nation's top five leading design firms dedicated solely to healthcare facilities, including extensive academic health care design experience, with over 170 persons combined in its San Francisco and Seattle offices. The Anshen+Allen team includes one of the nation's most distinguished planners for medical imaging facilities, which is a core function of the expansion project. Although the architect has not worked at the University of Washington, in 1999 they were one of two finalists interviewed for the UWMC Surgery Pavilion project. Other academic medical centers designed by Anshen+Allen include Stanford Hospital and clinics, Stanford University; Doernbecher Children's Hospital, Oregon Health and Science University; and UCSF Medical Center Strategic Plan, University of California.

The anticipated budget for this project is \$85 million. Funding for pre-design, design and construction is available from UWMC Patient Revenues.

VII. STANDING COMMITTEES**B. Finance, Audit and Facilities Committee**Health Sciences Center H-Wing Renovation –Contract Award - GC/CM
Preconstruction ServicesRECOMMENDED ACTION:

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the President be delegated authority to award the GC/CM preconstruction services contract for the HSC H-Wing Renovation project.

Project Scope and Budget

H-Wing is a five-story building constructed in 1948, containing approximately 66,700 gsf. The building does not meet current seismic design standards and is wholly unsuitable for modern biomedical research, both in infrastructure and in physical layout. It houses laboratory and office space for several School of Medicine departments including Physiology and Biophysics, Biological Structure, Microbiology, and Bioengineering.

The complete renovation of the H-Wing building has been envisioned as a phased project to be funded with State appropriated funds over several biennia, augmented by NIH grants and matching funds.

The original project budget of approximately \$18.1 million approved by the BOR in September 2005 consisted of the following components:

State funds of just under \$10 million from the 03-05 and 05-07 biennia provide for the structural retrofit of the entire H-Wing building to meet current seismic standards.

A grant of just over \$3.6 million from NIH and matching state and School of Medicine funds of \$4.5 million, totaling approximately \$8.1 million will provide for the renovation of laboratory spaces for the Department of Physiology and Biophysics, located on floors 2, 3 and 4 of H-Wing.

Subsequent to BOR approval of the original project budget, the Department of Biological Structure received an additional NIH grant of \$4 million and matching state funds of \$4 million, totaling \$8 million, which will fund the renovation of Biological Structure space in F, G, H, and I Wings.

Recently, a third NIH grant of \$660,000 was received for the renovation of the Fish Room in H-Wing.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Health Sciences Center H-Wing Renovation –Contract Award - GC/CM Preconstruction Services (continued p. 2)

As a third installment of state funds for the project, the administration intends to request \$10 million from the 07-09 biennium appropriation. Of this amount, \$4 million is earmarked for critical mechanical system upgrades necessary to provide sufficient air to the fifth floor of H-Wing to allow occupancy of that space. The remaining \$6 million is intended to fund badly needed repairs and improvements to building mechanical, electrical and other utilities systems.

A draft project cost estimate has been developed that assumes full funding from all sources noted above. This estimate of approximately \$36.8 is shown at Attachment 1.

Project Schedule

The project schedule is summarized in Attachment 2.

By previous action in November 2004, the BOR approved the award of the seismic upgrade and Department of Physiology and Biophysics (Phase 1) design to Ambia Architects. In September 2005, the BOR approved the award of the Department of Biological Structure and building utilities repair work (Phase 2) to Ambia. Design work on these two phases is progressing on schedule, with Phase 1 at Design Development and Phase 2 at Schematic Design.

The original project schedule depended heavily on a complex move and surge space plan that envisioned the work taking place in a partially occupied building. As the design progressed, it became clear that this plan would be untenable. Led by the School of Medicine, the administration has developed a new surge plan that will allow for the complete decanting of H-Wing during the construction phase of the project. Funding for this new surge plan is being provided by the administration from sources outside the project budget.

Project Delivery Challenges and Strategy

A number of factors make the planning and design of the H-Wing project particularly challenging. The age of the building and the affects of decades of modifications require that assumptions about existing conditions and the capacity of the building infrastructure and systems carry significant risks. Until those assumptions can be tested and either confirmed or modified, cost and schedule estimates must carry significant contingencies, which affects the amount of construction work included in the design. The nature of the work, particularly the structural upgrade and utilities systems repairs, presents both technical and

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Health Sciences Center H-Wing Renovation –Contract Award - GC/CM Preconstruction Services (continued p. 3)

logistical challenges with uncertain impacts on cost and schedule. Since a significant portion of the planned work depends on receipt of state funding from the 07-09 biennium, the scoping, design documents and bidding strategy for the critical infrastructure and utilities repair work must anticipate a range of available funds from zero to \$10 million. With construction not scheduled to begin for another year, cost escalation in that period is difficult to predict, particularly since this project may have difficulty attracting sufficient subcontractor competition to ensure competitive pricing.

The challenges noted above make it particularly important to engage the GC/CM as member of the project team as quickly as possible. The GC/CM can assist in investigating and testing assumptions about existing conditions, conduct detailed technical and logistical planning, and engage the interest and expertise of the specialty subcontractor community to develop means and methods and estimate costs. All of these GC/CM services will help the project team increase cost and schedule accuracy, set realistic contingencies and develop a comprehensive work plan and bid package strategy.

In order to proceed with this process, the administration proposes to contract with the GC/CM for preconstruction services only at this time. The GC/CM would work with the team through the remainder of calendar year 2006 to develop a realistic scope, budget and schedule for the project. In early 2007, the administration would request from the BOR both final budget approval and authority to award the construction contract. This strategy will allow the establishment of a guaranteed construction cost at a point much closer to the actual bidding period, thereby reducing the uncertainty of market pricing. The status of the 07-09 capital budget request will also be more clear at that point.

GC/CM Selection

In September 2005, the BOR approved the use the alternative public works contracting procedure, General Contractor/Construction Manager (GC/CM), authorized by RCW 39.10 for construction of this project.

The administration has completed the public notification and selection process required by RCW 39.10. A solicitation for GC/CM services was issued, to which four responses were received. After a review and scoring of the proposals by the selection committee, three of the four firms were asked to submit final proposals.

A list of the competing firms and a summary of their scores and bids are shown in Attachment 3.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Health Sciences Center H-Wing Renovation –Contract Award - GC/CM Preconstruction Services (continued p. 4)

The firm with the highest total score in the GC/CM competition is Skanska. Skanska is a highly capable firm with significant GC/CM experience at the University and in the state of Washington, including the recent successful renovation of Johnson Hall and their current work on the renovation of Guggenheim Hall.

With approval by the BOR, the administration intends to enter into contract negotiations with Skanska for preconstruction services only. As outlined in the project delivery strategy discussion above, the administration intends to seek a second approval action by the BOR for award of the full construction contract, once scoping and funding considerations have been further clarified.

ENCLOSURES:

Attachment 1 - Project Budget

Attachment 2 - Project Schedule

Attachment 3 – GC/CM Selection Tabulation Form

ATTACHMENT 1

Draft Cost Estimate

	Total Escalated Cost	% of TEC
Consultant Services		
Pre-Schematic Design Services	\$ 67,000	0.18%
A/E Basic Design Services	\$ 1,908,991	5.19%
A/E Extra Services	\$ 625,000	1.70%
Other Services	\$ 453,498	1.23%
Design Services Contingency	\$ 540,145	1.47%
Construction		
MACC - Primary	\$ 19,724,880	53.59%
GC/CM Costs	\$ 5,565,549	15.12%
Sales Tax on Construction	\$ 1,112,779	3.02%
Construction Contingencies	\$ 3,353,230	9.11%
Sales Tax on Contingencies	\$ 147,542	0.40%
Other		
Equipment	\$ 110,749	0.30%
Artwork	\$ 92,970	0.25%
Other Costs	\$ 931,931	2.53%
Project Management	\$ 2,172,451	5.90%
Total Escalated Project Cost	\$ 36,806,715	100.00%
Escalation (included in above)	\$ 3,626,926	9.85%
Unfunded Costs (included in above)	\$ 10,000,000	27.17%
Source Of Funds		
State General Fund GO Bonds/Notes*	\$ 23,996,716	
UW Non-State Revenue	\$ 4,500,000	
Non-State Revenue Grant/Gift	\$ 8,310,000	
TOTAL SOURCE OF FUNDS	\$ 36,806,716	

* Anticipates \$10M in 07-09 biennium

Draft Schedule Attachment 2

ID	Task Name	Start	Finish	2, 2004	Half 1, 2005	Half 2, 2005	Half 1, 2006	Half 2, 2006	Half 1, 2007	Half 2, 2007	Half 1, 2008	Half 2, 2008	Half 1, 20																			
				S	O	N	D	J	F	M	A	M	J	J	A	S	O	N	D	J	F	M	A	M	J	J	A	S	O	N	D	J
1	Consultant Selection	Fri 9/3/04	Fri 11/26/04	11/26/04																												
2	Predesign	Mon 11/29/04	Thu 6/30/05	/04 6/30/05																												
3	Schematic Design Phase One	Fri 8/19/05	Tue 1/31/06	8/19/05 1/31/06																												
12	Schematic Design Phase Two	Thu 12/15/05	Tue 5/9/06	12/15/05 5/9/06																												
21	Design Development Phase One	Tue 1/31/06	Tue 7/4/06	1/31/06 7/4/06																												
31	Design Development Phase Two	Tue 5/9/06	Thu 9/28/06	5/9/06 9/28/06																												
41	Construction Documents Phase One	Wed 7/5/06	Fri 3/16/07	7/5/06 3/16/07																												
51	Construction Documents Phase Two	Tue 9/26/06	Mon 7/2/07	9/26/06 7/2/07																												
61	Construction, Commissioning, Occupancy	Wed 4/25/07	Fri 1/2/09	4/25/07 1/2/09																												
68																																
69	Substantial Completion	Fri 10/10/08	Fri 10/10/08	◆ 10/10/08																												
70	Final Completion	Fri 1/2/09	Fri 1/2/09	◆ 1/2/09																												
71																																
72	GCCM Selection and Preconstruction Services	Tue 4/18/06	Mon 4/23/07	4/18/06 4/23/07																												

Mon 5/8/06

VII. STANDING COMMITTEES**B. Finance, Audit and Facilities Committee**UW Tacoma Assembly Hall – Establish BudgetRECOMMENDED ACTION:

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the President be delegated authority to establish the UW Tacoma Assembly Hall project budget at \$12,070,000.

BACKGROUND:

The scope of this project includes replacing the existing UW Tacoma facility know as “the Dawg Shed” with a new 20,250 gross square foot Assembly/ Commons Hall to meet the need for a large multi-purpose gathering space on campus. The site is located in the heart of the central campus east of the Library, flanked on the south by the Cherry Parkes building to the north by the Walsh Gardner building and to the east by Pacific Avenue.

This project will address a critical need of the campus to provide a student gathering space (commons) for study, interaction, and relaxation, as well as provide space for 350-500 persons for larger academic lectures, student activities, conference gatherings, banquets, social activities and many other uses to occur on campus. It is the intent that this “student commons function” will co-exist within the same program area as the “assembly space”.

This facility will also provide support spaces for the assembly hall, including a lobby, catering kitchen, storage and A/V control room. In addition, it will provide a series of informal student group education rooms referred to as collaboration rooms, as well as the City-required pedestrian oriented retail frontage along Pacific Avenue.

This project was first presented to the Board of Regents in September 2005, requesting appointment of the design firm Thomas Hacker Architects. The project will begin design in April 2006 be completed in July 2007, construction will begin in September 2007 and be complete in August 2008 for occupancy in September 2008.

The committed project funding is \$7,500,000 from State Funds, \$4,432,393 from UW, Tacoma Donor Funds, and \$137,607 from UW Funds.

**UNIVERSITY OF WASHINGTON
CAPITAL PROJECTS OFFICE - SUMMARY PROJECT BUDGET
STANDARD PROCUREMENT**

PROJECT: **UW Tacoma Assembly Hall**

10686

ESTIMATED DATE OF COMPLETION: Aug-08

Original Budget Agreement	Forecast Cost at Completion
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BUDGET SUMMARY:

A. ACQUISITION

B. CONSULTANT SERVICES

1. PREDESIGN	193,428
2. BASIC DESIGN SERVICES	382,342
3. EXTRA SERVICES/REIMBURSABLES	631,731
4. OTHER SERVICES	489,042
5. DESIGN SERVICES CONTINGENCY	264,557
TOTAL CONSULTANT SERVICES	1,961,100

C. PRIMARY CONSTRUCTION CONTRACTS

1. CONSTRUCTION CONTRACT	7,100,000
2. OTHER CONTRACTS	
SUBTOTAL CONSTRUCTION	7,100,000
3. CONSTRUCTION CONTINGENCY & RESERVE	1,421,057
4. SALES TAX	750,318
TOTAL CONSTRUCTION COST	9,271,375

D. EQUIPMENT & FURNISHINGS

E. ARTWORK 19,983

F. OTHER COSTS 244,214

G. PROJECT ADMINISTRATION 573,328

H. RELATED PROJECTS/MITIGATION

COSTS

TOTAL PROJECT BUDGET 12,070,000

SOURCE OF FUNDS:

2005-07 State Appropriation	7,500,000
UW Tacoma Donor Funds	4,064,000
UW Tacoma Retail Revenue	368,393
UW Tacoma and UW Central Funds	137,607
	12,070,000

TOTAL SOURCE OF FUNDS 12,070,000

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Guggenheim Hall Renovation – Forecasted Cost UpdateBACKGROUND:

Constructed in 1929, Guggenheim Hall occupies a central location on campus facing Frosh Pond. It currently houses the Department of Aeronautics and Astronautics, the Department of Applied Math and several general assignment classrooms including a major auditorium. The 56,200 gross square foot building has never had a significant renovation. This renovation will address seismic deficiencies, accessibility including providing an elevator, exterior shell renovation, upgrading all major building systems, asbestos abatement, and updates to the facility needed to improve instruction and research.

In May 2003 the Legislature appropriated \$3,312,000 for the Guggenheim Renovation predesign and design phases and the Board of Regents awarded a design contract to Bassetti Architects. In 2005 the Legislature appropriated an additional \$24,500,000 for construction; this was \$512,000 less than requested. The rationale was that the State would not fund surge costs. In response to this cut surge costs were eliminated from the project budget, as well as consultant fees associated with certification under the LEED program. Most of the construction costs associated with LEED certification had already been eliminated in value engineering. An additional \$456,374 in central funds was committed to restore to the scope of the renovation of the main auditorium.

In July 2004 the Board of Regents approved a budget request and final determination of this as a GC/CM project with a total project cost of \$28,143,000. In November of 2004 the Board of Regents approved the award of the GC/CM contract to Skanska USA.

Cost estimates received at the end of Schematic Design, in February 2005, indicated a Guaranteed Construction Cost (GCC) of approximately \$4.3 million over the original budget amount. This budget gap required the design team, Building Committee, and Oversight team to undertake an aggressive value engineering and scope reduction exercise in order to fit the project within the budget.

At the conclusion of the Design Development phase, the cost estimates indicated that escalation and market conditions in the Seattle area would again impact the project budget. In order to sign the GC/CM contract, an additional \$3,328,712 was committed from central funds to be used to cover increased costs due to escalation and market conditions. At the end of the subcontract bidding process in October 2006, any funds not required to cover the cost of the approved scope of work will be returned to central funds.

ENCLOSURE: Capital Projects Office Project Summary

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Guggenheim Hall Renovation – Budget Increase (continued p. 2)

At the conclusion of the Design Development phase, the cost estimates indicated that escalation and market conditions in the Seattle area would again impact the project budget. In order to sign the GC/CM contract, an additional \$3,328,712 was committed from central funds to be used to cover increased costs due to escalation and market conditions. At the end of the subcontract bidding process in October 2006, any funds not required to cover the cost of the approved scope of work will be returned to central funds.

Upon receipt of delegated authority, the University will increase the approved project budget to \$31,615,827.

ENCLOSURE: Capital Projects Office Project Summary

**UNIVERSITY OF WASHINGTON
CAPITAL PROJECTS OFFICE - SUMMARY PROJECT BUDGET
ALTERNATIVE PROCUREMENT (GC/CM OR D-B)**

PROJECT: **Guggenheim Hall Renovation**

Project Number: **10419**

Current Approved Budget	Forecast Cost at Completion
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BUDGET SUMMARY:

A. ACQUISITION	0	0
B. CONSULTANT SERVICES		
1. PREDESIGN	175,501	172,293
2. BASIC DESIGN SERVICES	1,541,673	1,567,782
3. EXTRA SERVICES/REIMBURSABLES	717,316	823,687
4. OTHER SERVICES	557,500	482,500
5. DESIGN SERVICES CONTINGENCY	566,575	424,544
TOTAL CONSULTANT SERVICES	3,558,565	3,470,806
C. PRIMARY CONSTRUCTION CONTRACTS		
1. MAX.ALLOW.CONST.COST (MACC)	14,992,467	17,860,449
2. GC/CM OR DB COSTS	2,666,400	3,100,591
3. SALES TAX ON CONSTRUCTION	1,553,980	1,844,572
GUARANTEED CONTRACT COST	19,212,847	22,805,612
4. CONSTRUCTION CONTINGENCY	2,698,644	2,485,122
5. SALES TAX ON CONTINGENCY	237,481	184,709
TOTAL CONSTRUCTION COSTS	22,148,972	25,475,443
D. EQUIPMENT	146,880	146,880
E. ARTWORK	74,962	74,962
F. OTHER COSTS	640,510	640,510
G. PROJECT ADMINISTRATION	1,717,226	1,807,226
H. RELATED PROJS/MITIGATION	0	0
TOTAL PROJECT BUDGET	28,287,115	31,615,827
SOURCE OF FUNDS:		
UW BUILDING ACCOUNT/BRF		
STATE GEN'L FUND GO BONDS/NOTES	27,812,000	27,812,000
UW NON-STATE REVENUE	475,115	3,803,827
NON-STATE REVENUE GRANT/GIFT		
TOTAL SOURCE OF FUNDS	27,812,000	31,615,827

F-12.1/205-06

5/18/2006

Updated 8 May 2006

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Playhouse Theater Renovation – Budget IncreaseRECOMMENDED ACTION:

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the President be delegated authority to increase the total project budget for the Playhouse Theater Renovation Project from \$7,150,000 to \$9,886,000.

BACKGROUND:

The Playhouse Theater, constructed in 1931 and renovated in 1968, is a one-story brick building, with a small partial basement totaling 10,137 gross square feet. With seating for just over 200, it is assigned to the School of Drama, one of the most renowned drama departments in the United States. The facility is a mainstay of the school's teaching program and offers students an intensively used venue to stage at least two student productions per quarter. The project budget was established at \$7,150,000 during the November 2005 Regents meeting as part of the Project Presentation.

The project scope includes upgrading all major building systems; correcting accessibility, seismic, computing/communication infrastructure and life/safety code conditions; performing asbestos abatement; restoring the building envelope; and updating facilities for instruction and performance programs.

The project scope has been enhanced by the pledge of donor funding of \$2,308,000 to include: a) raising the roof of the theater auditorium for improved seating, acoustics, and lighting, b) improving the lobby and entrances and c) providing additional theatrical equipment essential for training students in modern theatrical technology. The purpose of the project is to completely renovate and preserve the core facility while improving the current academic space. The architect is LMN Architects.

The Schematic Design phase began April 10 with a kickoff meeting of the Building Committee and LMN. This project will follow the typical design/bid/build procurement process and be competitively bid with a construction contract awarded to the lowest responsible bidder. Construction is scheduled to begin in July 2007, with completion in August 2008 and occupancy in September 2008.

Funding is a combination of \$1,000,000 appropriated by the state in the 2005-2006 Capital Budget for pre-design and design, \$6,578,000 to be requested in the 2007-2009 Capital Budget request for construction, a \$2,000,000 donor pledge received by the College of Arts and Sciences in January 2006 and \$308,000 additional donor funds or College funds.

ENCLOSURE: Capital Projects Office – Summary Project Budget

**UNIVERSITY OF WASHINGTON
CAPITAL PROJECTS OFFICE - SUMMARY PROJECT BUDGET
STANDARD PROCUREMENT**

PROJECT: Playhouse Theater Renovation

Project Number: 200912

ESTIMATED DATE OF COMPLETION: August 2008

Current Approved Budget	Forecast Cost at Completion
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BUDGET SUMMARY:

A. ACQUISITION		
B. CONSULTANT SERVICES		
1. PREDESIGN	\$120,443	\$122,624
2. BASIC DESIGN SERVICES	\$353,408	\$454,899
3. EXTRA SERVICES/REIMBURSABLES	\$245,309	\$495,249
4. OTHER SERVICES	\$208,418	\$277,539
5. DESIGN SERVICES CONTINGENCY	\$192,435	\$290,500
TOTAL CONSULTANT SERVICES	\$1,120,013	\$1,640,811
C. PRIMARY CONSTRUCTION CONTRACTS		
1. CONSTRUCTION CONTRACT	\$3,973,082	\$5,639,548
2. OTHER CONTRACTS	\$113,511	\$0
SUBTOTAL CONSTRUCTION	\$4,086,593	\$5,639,548
3. CONSTRUCTION CONTINGENCY	\$794,616	\$1,127,911
4. SALES TAX	\$429,546	\$595,537
TOTAL CONSTRUCTION COST	\$5,310,755	\$7,362,996
D. EQUIPMENT	\$81,597	\$80,991
E. ARTWORK	\$18,540	\$26,516
F. OTHER COSTS	\$109,359	\$146,426
G. PROJECT ADMINISTRATION	\$509,736	\$628,260
H. RELATED PROJECTS/MITIGATION COSTS		
TOTAL PROJECT BUDGET	\$7,150,000	\$9,886,000
SOURCE OF FUNDS:		
UW BUILDING ACCOUNT/BRF		
STATE GEN'L FUND GO BONDS/NOTES		
UW STATE CAPITAL BUDGET 05-07 & 07-09 BIENNIUM	\$7,150,000	\$7,578,000
NON-STATE REVENUE GRANT/GIFT/DONOR	\$0	\$2,308,000
TOTAL SOURCE OF FUNDS	\$7,150,000	\$9,886,000

F-13/205-06

5/18/2006

Rev 4/05

Guiding Principles for the SR 520 Replacement Project

Presentation to the Board of Regents

Theresa Doherty

Assistant Vice President for Regional Affairs

May 18, 2006

The University of Washington is committed to transportation solutions that reduce traffic and congestion on campus and in surrounding neighborhoods.

- The U-Pass Program is nationally recognized for reducing the number of faculty, staff and students who drive to campus.
 - ✓ *77% of the campus population arrives on campus using an alternative to driving alone*
 - ✓ *The University has fewer parking spaces on campus than it did in 1983 despite 12,000 or 25% more people coming to campus*
- The Husky Stadium Transportation Management Plan encourages fans to travel to campus activities using alternative transportation methods including transit, carpools, biking, walking and boating.
 - ✓ *96% of people travel to games at Husky Stadium using an alternative to driving alone*
- The University is working with Sound Transit on construction of a light rail station at Husky Stadium to further increase the use of public transportation to campus.

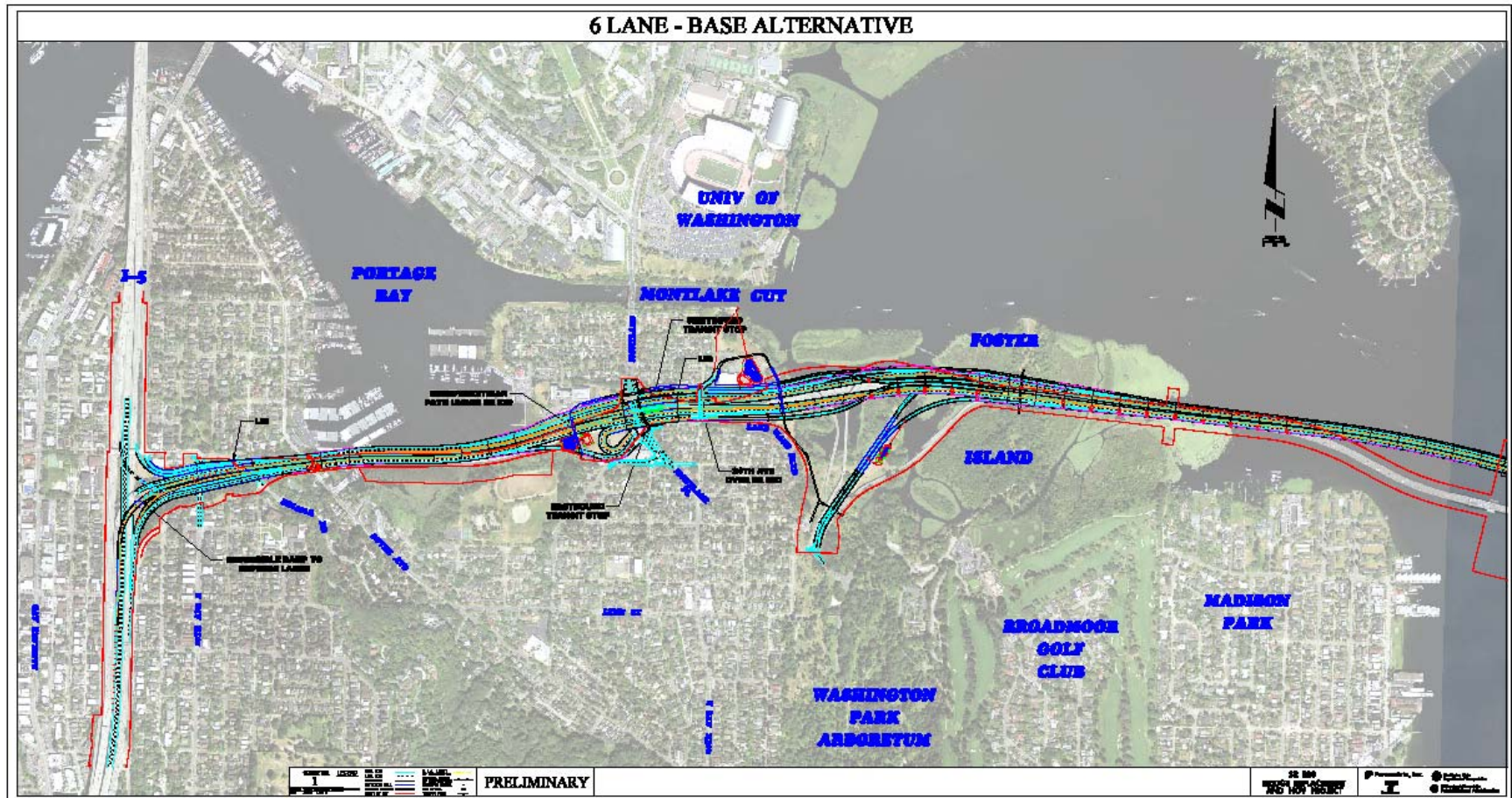
The Washington State Department of Transportation (WSDOT) is considering four and six-lane alternatives to replace the SR 520 Evergreen Point Bridge.

- Construction will begin in 2009 and end in 2015 or 2017 depending upon option chosen
- Projected Replacement Costs:
 - 4-Lane Alternative \$1.7 to \$2.0 billion
 - 6-Lane Base Alternative \$2.3 to \$2.8 billion
 - 6-Lane Base Alternative with options
 - Second Bascule Bridge = Increase number above by \$60 to \$70 million
 - Pacific Street Interchange = Increase number above by \$195 to \$255 million plus 2 years of construction
 - No Montlake Freeway Transit Stop (part of Union Bay Bridge) – Reduce number above by \$16 to \$20 million
- A total of \$1.25 billion in funding has been identified to date:
 - ✓ \$52 million from 2003 gas tax increase
 - ✓ \$500 million from state sources
 - ✓ \$1 million from federal sources
 - ✓ \$700 million from projected toll revenues

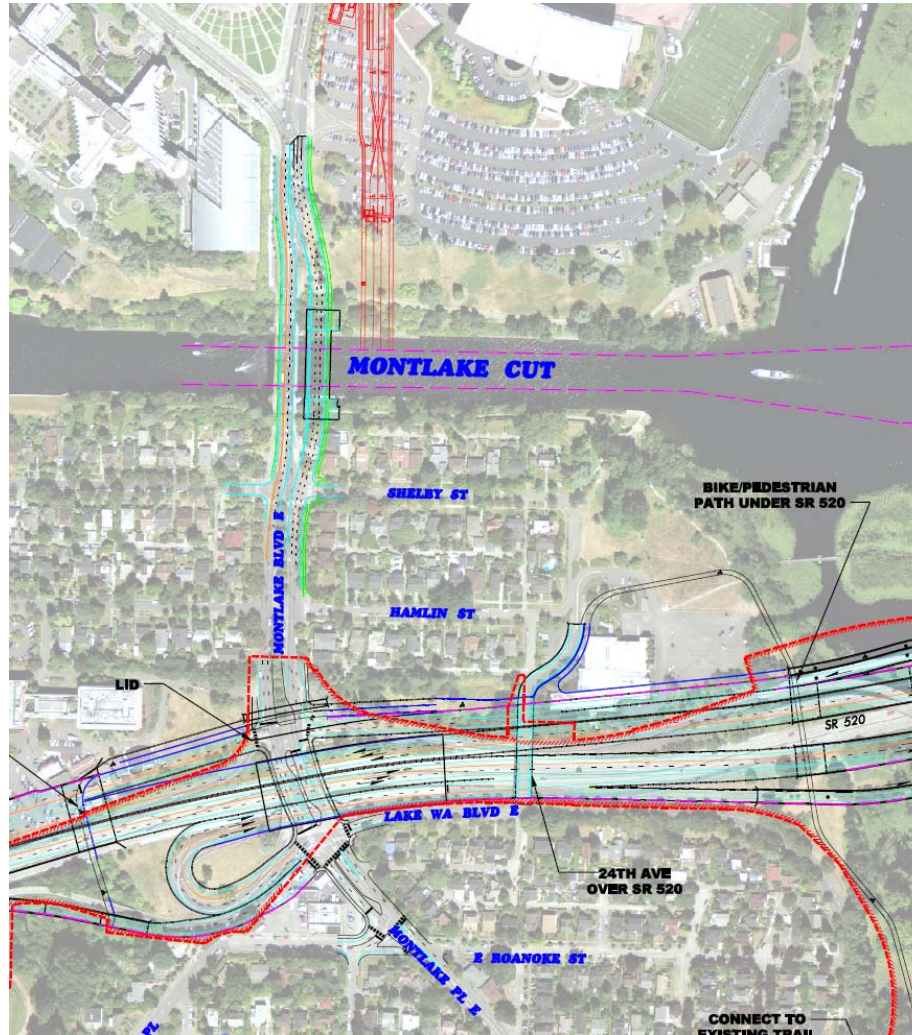
The University of Washington has five guiding principles to evaluate SR 520 replacement options. The replacement proposal must:

1. Promote a vibrant, healthy and livable academic, business, and residential community at the University of Washington and in surrounding neighborhoods
2. Promote carpool, bus, rail, bicycle and pedestrian transportation solutions that improve access to the University and limit the impact of single occupancy vehicles on campus and surrounding neighborhoods
3. Preserve and enhance the recreational and educational habitat of the Washington Park Arboretum and UW Botanic Garden
4. Preserve the ability of the University to meet current and future development needs
5. Allow for the efficient and effective management of construction projects included in the University's Capital Improvement Program for the Seattle campus

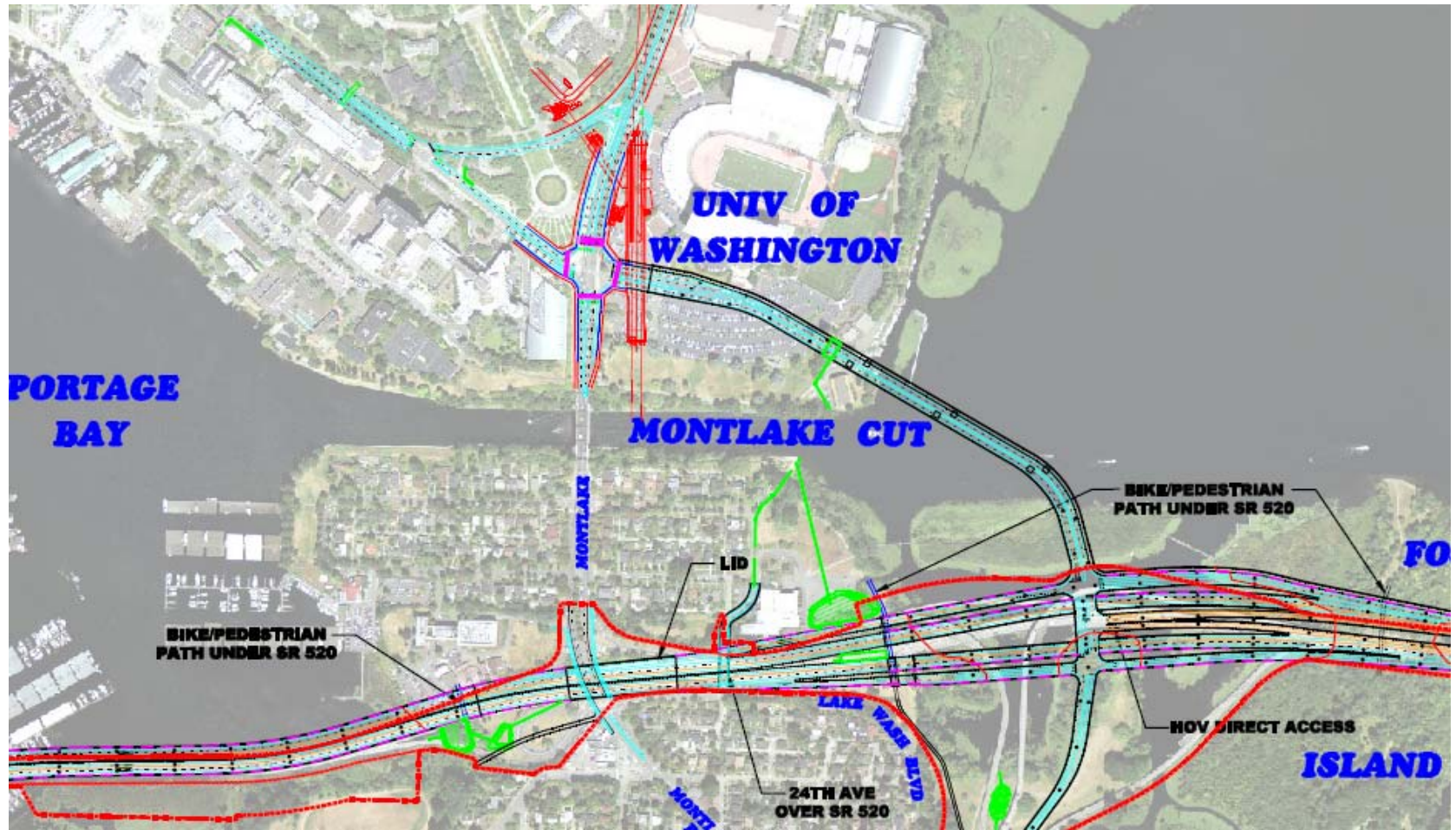
Base 6 lane alternative



Base 6 with Second Montlake Bridge



Base 6 with Pacific Interchange



Details of the Base 6 lane alternative with the Pacific Street Interchange (or Arboretum Bridge) option include:

- The existing Montlake/SR 520 interchange would be closed and replaced by an interchange above Marsh Island
- A new 110 foot bridge, 6 lanes across is constructed between Pacific Street and SR 520 above Marsh Island.
- An expanded intersection is created at Montlake and Pacific Street
- Montlake Boulevard is widened from 4 to 6 lanes north of Pacific Street to 45th Street to accommodate increased traffic volumes associated with the 6-lane bridge option.



Source: WSDOT

Based on the guiding principles, the Pacific Street Interchange (Arboretum Bridge) design option would have a significant impact on the University of Washington campus. This option would:

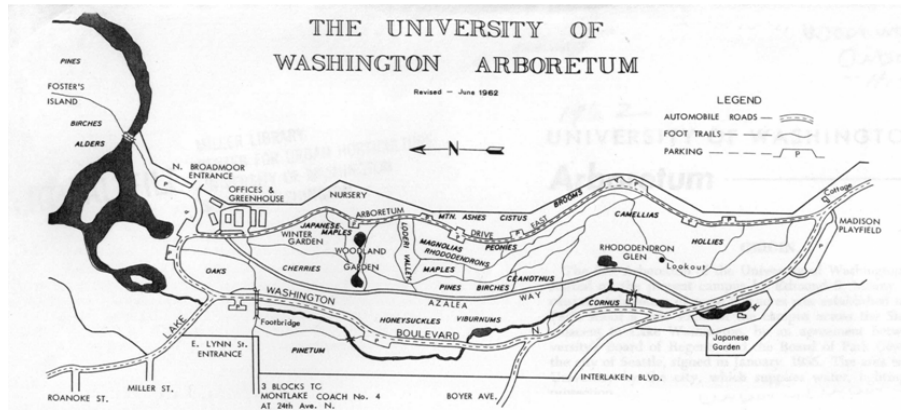
- Have an adverse impact on the health and vitality of the academic, business and residential community at the University of Washington and in surrounding neighborhoods.
- Promotes the use of single occupancy vehicles due to an increase in road capacity on the new bridge and the expanded intersections at Montlake and Pacific and two new lanes along Montlake.
- Harm the Washington Park Arboretum due to increased shading and land usage and degrade the educational habitat of the area.
- Permanently removes development capacity on the Seattle campus.
- Creates adverse impacts and costs on the University's Capital Improvement Projects.

An expanded intersection at Pacific Avenue and Montlake Boulevard will adversely impact campus operations and will further divide and reduce access to the Seattle campus.

- During construction, 2009 to 2017:
 - ✓ Congestion will impact the ability of patients to access the University of Washington Medical Center -- 18,000 admissions and 350,000 clinic visits each year
 - ✓ Dust from construction may impact patients with compromised immune systems
 - ✓ Congestion may result in decreased attendance and associated revenues at events hosted by Intercollegiate Athletics
 - ✓ Conflicts with Sound Transit and other University development projects
- After construction, an expanded interchange at Montlake & Pacific combined with 2 additional lanes on Montlake Boulevard will:
 - ✓ Increase single-occupancy vehicle traffic into the University of Washington and surrounding neighborhoods (counter to the purpose of the Sound Transit station project)
 - ✓ 30% more traffic volume on the streets in SE campus
 - ✓ New traffic volume on and around campus will add to congestion in these areas and create bottlenecks that may degrade access to campus
 - ✓ Reduce the accessibility of the South and East quadrants of campus by pedestrians and bicyclists

Increased traffic, noise, air pollution, and shading or displacement of plants will harm the plant collections and recreational habitat at the Washington Park Arboretum.

- The University of Washington and the City of Seattle are joint stewards of the Washington Park Arboretum
- The Arboretum and the UW Botanic Gardens are places where internationally recognized research is conducted and educational and recreational habitat is enjoyed by 320,000 visitors each year
- A bridge over Marsh and Foster Island may result in the shading or displacement of plants



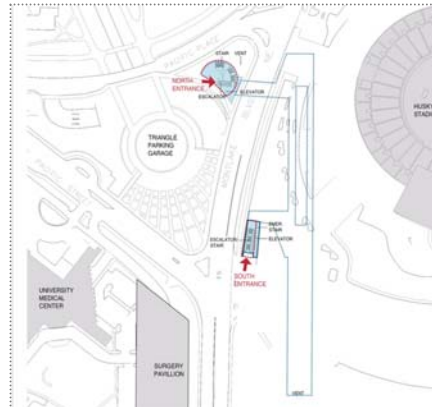
The permanent loss of land available for future development poses operational and capital planning challenges.

- Dense residential communities or water surround the University of Washington's Seattle campus and restricts the amount of land available for future growth
- Campus development is further limited by:
 - Master Plan for the Seattle Campus (2003)
 - City of Seattle – University of Washington Agreement
 - Arboretum 2001 Master Plan
- The placement of the Arboretum bridge and expansion of the Pacific Street intersection will have unintended consequences on future development by the University in the Southeast quadrant of campus

The cumulative effects of University planned capital projects, Sound Transit station development, and an expanded interchange at Pacific Street have not been adequately assessed.

- The University has a Capital Improvement Program of over \$1 billion planned for the Seattle campus during 2009-2015
- Sound Transit will begin construction on a light rail station adjacent to Husky Stadium in 2008/2009

- ✓ *The station platform will be located 100 feet underground with two main entrances*
- ✓ *Construction will last 6 years*



Source: Sound Transit website

- The University of Washington Medical Center and Intercollegiate Athletics have plans to expand their facilities during construction of SR 520. ICA plans will require the same footprint as the Pacific Street Interchange proposal
- The combination of these projects will put additional pressure on traffic flows in surrounding neighborhoods

In summary, the University of Washington is committed to improving transportation options for the academic, business and residential communities on campus and in surrounding neighborhoods. To achieve these goals, the University will:

- Continue to meet with WSDOT to identify issues associated with various replacement options paying special attention to the Pacific Interchange Option
 - The third meeting between UW and WSDOT is scheduled for May 24th
 - WSDOT plans on selecting a preferred design alternative by mid-2007
- Continue to work with community groups to assess various proposals
- Continue to work with the City of Seattle's SR 520 Advisory Committee as City officials assess the various proposals
- Use the five guiding principles as a framework to determine whether a SR 520 replacement proposal is acceptable to the University of Washington.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Request to name the 815 Mercer Building “The Brotman Bulding” for Jeff and Susan Brotman

RECOMMENDED ACTION:

It is the recommendation of Paul G. Ramsey, Vice President for Medical Affairs and Dean of the School of Medicine, University Names Committee, and Mark A. Emmert, President of the University of Washington, that the 815 Mercer Building be named “The Brotman Building”.

BACKGROUND:

No one has been more instrumental to the advancement of the research campus located in the South Lake Union area than Jeff Brotman. He has been a tireless advocate for private and public support of this Lake Union campus because he believes that biomedicine is important for the future of our region. Phase I, or 815 Mercer, required \$5 million in philanthropy, the entirety of which was committed by Jeff and Susan. Together, Susan and Jeff continue to support this University in many other ways.