

March 9, 2006

TO: Members of the Board of Regents
Ex-officio Representatives to the Board of Regents

FROM: Michele M. Sams, Secretary of the Board of Regents

RE: Schedule of March Meetings

MARCH 16, 2006

9:45–11:15 a.m.	Tacoma Room GWP Building UW Tacoma, WA	FINANCE, AUDIT AND FACILITIES COMMITTEE: Regents Kiga (Chr), Brotman, Cole, Jewell, Simon, Yapp
11:15–11:30 a.m.	Tacoma Room GWP Building UW Tacoma, WA	ACADEMIC & STUDENT AFFAIRS COMMITTEE: Regents Cole (Chr), Barer, Gates, Jewell, Proctor, Rasmussen
11:45 a.m.–12:45 p.m.	Indochine 1924 Pacific Ave. Tacoma, WA	LUNCHEON FOR REGENTS AND INVITED GUESTS
1:00–3:00 p.m.	Tacoma Room GWP Building UW Tacoma, WA	COMMITTEE OF THE WHOLE: Regents Jewell (Chair), Barer, Brotman, Cole, Gates, Kiga, Proctor, Rasmussen, Simon, Yapp
3:00 p.m.	Tacoma Room GWP Building UW Tacoma, WA	REGULAR MEETING OF BOARD OF REGENTS

ENCLOSURES: Agendas for Committees



UNIVERSITY OF WASHINGTON
BOARD OF REGENTS

March 9, 2006

TO: Members of the Academic and Student Affairs Committee
Regents Cole (Chair), Barer, Gates, Jewell, Proctor, Rasmussen

FROM: Michele M. Sams, Secretary of the Board of Regents

RE: Meeting of Committee on 3/16/06 (11:15–11:30 a.m. Tacoma Room, GWP Building, UW Tacoma)

The following topics are noted for discussion at the meeting of the committee on *March 16, 2006*. Items requiring action by the full Board of Regents are marked "DRAFT."

1. **Academic and Administrative Appointments** **ACTION** A-1
Phyllis M. Wise, Provost and Vice President for Academic Affairs
2. **2006–07 Residence Hall, Single-Student Apartment and Family Housing Rental Rate Adjustments** **ACTION** A-2
Eric S. Godfrey, Interim Vice President for Student Affairs
Paul F. Brown, Director, Housing and Food Services
3. **Establishment of a Master of Arts in Cultural Studies degree in the UW Bothell Interdisciplinary Arts and Sciences Program** **ACTION** A-3
Phyllis M. Wise
Thomas Bellamy, Vice Chancellor of Academic Affairs, UW Bothell
4. **Other Business**



UNIVERSITY OF WASHINGTON
BOARD OF REGENTS

March 9, 2006

TO: Members of the Committee of the Whole
Regents Jewell (Chair), Barer, Brotman, Cole, Gates, Kiga,
Proctor, Rasmussen, Simon, Yapp

FROM: Michele M. Sams, Secretary of the Board of Regents

**RE: Meeting of Committee on 3/16/06 (1:00–3:00 p.m., Tacoma Room, GWP Building,
UW Tacoma)**

The following topics are noted for discussion at the meeting of the committee on *Thursday, March 16, 2006*. Items requiring action by the full Board of Regents are marked "DRAFT."

1. **Board Communications** **INFORMATION**
2. **Draft Vision and Budget Priorities** **INFORMATION** C-1
Mark A. Emmert, President
Phyllis M. Wise, Provost and Vice President for
Academic Affairs
Harlan F. Patterson, Vice Provost for Planning and
Budgeting
3. **Executive Session** (to discuss the performance of public
employees)
4. **Compensation for University President** **INFORMATION**
Sally Jewell, Chair, UW Board of Regents
5. **Executive Session** (to discuss with legal counsel representing
the University legal risks of a proposed action or current practice
that the University has identified when public discussion of the
legal risks is likely to result in an adverse legal or financial
consequence to the agency)



UNIVERSITY OF WASHINGTON
BOARD OF REGENTS

March 9, 2006

TO: Members of the Finance, Audit and Facilities Committee
Regents Kiga (Chair), Brotman, Cole, Jewell, Simon, Yapp

FROM: Michele M. Sams, Secretary of the Board of Regents

RE: Meeting of Committee on 3/16/06 (9:45–11:15 a.m., Tacoma Room, GWP Bldg., UW Tacoma)

The following topics are noted for discussion at the meeting of the committee on *March 16, 2006*. Items requiring action by the full Board of Regents are marked "DRAFT."

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|-----|--|--------------------|------|
| 1. | Grant and Contract Awards – December, 2005
Weldon E. Ihrig, Executive Vice President | ACTION | F–2 |
| 2. | Report of Actions Taken Under Delegated Authority
Richard Chapman, Associate V. P. for Capital Projects | INFORMATION | F–4 |
| 3. | Quarterly Investment Report, Second Quarter FY 2006
Keith Ferguson, Chief Investment Officer | INFORMATION | F–5 |
| 4. | Report of Planned Audit Activities, 2006
Maureen Rhea, Director of Audits, Internal Audit | INFORMATION | F–6 |
| 5. | Report of Contributions – January, 2006
Walter G. Dryfoos, Associate V. P., Advancement Services,
Development & Alumni Relations
Connie Kravas, V. P. for Development & Alumni Relations | INFORMATION | F–1 |
| 6. | University of Washington Medical Center Proposed Expansion
Kathleen A. Sellick, Exec. Dir., UWMC Admin.
Helen M. Shawcroft, Assoc. Administrator, UWMC Admin. | INFORMATION | F–7 |
| 7. | 2005 Internal Audit Results
Maureen Rhea | INFORMATION | F–9 |
| 8. | Executive Session (<i>to review the performance of public employees</i>) | | |
| 9. | 2005 State Audit Results
Maureen Rhea
Bonnie Club, UW Audit Manager, State Auditor's Office | INFORMATION | F–8 |
| 10. | Executive Session (<i>to review the performance of public employees</i>) | | |
| 11. | UWINCO Update
Keith Ferguson | INFORMATION | F–10 |
| 12. | Capital Projects Office Semi-Annual Status Report
Richard Chapman | INFORMATION | F–11 |
| 13. | Other Business | INFORMATION | |

AGENDA

BOARD OF REGENTS
University of Washington

March 16, 2006

3:00 p.m. – Tacoma Room, GWP Building, Tacoma, WA

- I. CALL TO ORDER** (Item No.)
- II. ROLL CALL**
- III. CONFIRM AGENDA**
- IV. REPORT OF THE CHAIR OF THE BOARD OF REGENTS: Regent Jewell**
- V. REPORT OF THE UNIVERSITY PRESIDENT: Dr. Emmert**
- UW Tacoma Update – Dr. Patricia Spakes, Chancellor, UW Tacoma
- Impact of the Washington Essential Academic Learning Requirements in Science
– Dr. Jose Rios, Associate Professor, Education, UW Tacoma
- VI. CONSENT AGENDA**
- Approval of Minutes of Meeting of February, 2006
- 2006–07 Residence Hall, Single-Student Apartment and Family Housing Rental Rate Adjustments A–2
- Establishment of a Master of Arts in Cultural Studies degree in the UW Bothell Interdisciplinary Arts and Sciences Program A–3
- Grant and Contract Awards – December, 2005 F–2
- A. Academic and Student Affairs Committee: Regent Cole - Chair**
- Academic and Administrative Appointments (**ACTION**) A–1
- B. Finance, Audit and Facilities Committee: Regent Kiga - Chair**
- Report of Contributions - January, 2006 (Information only) F–1
- Report of Actions Taken Under Delegated Authority (Information only) F–4

VII. STANDING COMMITTEES**B. Finance, Audit and Facilities Committee: Regent Kiga - Chair**

Quarterly Investment Report, Second Quarter FY 2006 (Information only)	F-5
Report of Planned Audit Activities 2006 (Information only)	F-6
University of Washington Medical Center Proposed Expansion (Information only)	F-7
2005 State Audit Results (Information only)	F-8
2005 Internal Audit Results (Information only)	F-9
UWINCO Update (Information only)	F-10
Capital Projects Office Semi-Annual Status Report (Information only)	F-11

C. Committee of the Whole: Regent Jewell - Chair

Board Communications (Information only)	
Draft Vision and Budget Priorities (Information only)	C-1
Compensation for University President	

VIII. OTHER BUSINESS

Reports from ex-officio representatives to the Board:

ASUW President – Mr. Lee M. Dunbar

GPSS President – Mr. Adam Grupp

Alumni Association President – Mr. Chuck Blumenfeld

Faculty Senate Chair – Professor Ashley F. Emery

IX. DATE FOR NEXT REGULAR MEETING: April 20, 2006**X. EXECUTIVE SESSION****XI. ADJOURNMENT**

**BOARD OF REGENTS
University of Washington**

March 16, 2006

The Board of Regents held its regular meeting on Thursday, March 16, 2006, beginning at 3:00 p.m. in the Tacoma Room of the GWP Building on the UW Tacoma campus. The notice of the meeting was appropriately provided to the public and the press.

CALL TO ORDER

ROLL CALL

Assistant Secretary Keith called the roll: Present were Regents Jewell (presiding), Barer, Brotman, Cole, Gates, Kiga, Proctor, Rasmussen, Simon, Yapp; Dr. Emmert, Dr. Wise, Ms. Warren, Ms. Sams; ex-officio representatives: Mr. Dunbar, Mr. Grupp, Mr. Blumenfeld.

Absent: ex-officio representative Professor Emery

CONFIRM AGENDA

The agenda was confirmed as presented.

REPORT OF THE CHAIR OF THE BOARD OF REGENTS: Regent Jewell

Regent Jewell expressed the Board's appreciation to the UW Tacoma administration for hosting the Board of Regents meeting.

REPORT OF THE UNIVERSITY PRESIDENT: Dr. Emmert

President Emmert announced that Professor Roy L. Prosterman, from the UW Law School, was awarded the Henry R. Kravis Prize in Leadership for his pioneering work in fighting for the rights of the rural poor to own land, one of the underlying causes of global poverty. The Kravis Prize, which carries a \$250,000 award, honors extraordinary leadership in the non-profit sector. Professor Prosterman has committed all of the award money to the Rural Development Institute, which he founded some twenty-five years ago to institutionalize the work he began in the mid 1960s.

The President noted the Mr. Brandon Roy, a junior, was recently named the PAC-10 Basketball Player of the Year. Mr. Roy is the second Husky to win the award. The other winner was Chris Welp in 1986.

President Emmert introduced Dr. Patricia Spakes, Chancellor of UW Tacoma (UWT). Chancellor Spakes summarized the accomplishments of UWT over the past 15 years. She distributed a progress report compiled especially for the Board of Regents. Please see **UP-1** for the details. Chancellor Spakes said that UWT appreciates the support of the Regents, Governor Christine Gregoire, and the state legislature in trusting UWT to meet all of its challenges.

Chancellor Spakes introduced Dr. José M. Rios, Associate Professor of Education at UW Tacoma. Dr. Rios explained his research which is focused on teachers in the public schools across the state who are addressing concerns about science education by incorporating the Washington Essential Academic Learning Requirements in Science. Joining him was Ms. Rebekah Kim, a recent UW Tacoma graduate in education and principal at Marvista Elementary School in Normandy Park.

CONSENT AGENDA

Regent Jewell noted there were four items for approval on the consent agenda, and called for a motion.

MOTION: Upon the recommendation of the Chair of the Board and the motion made by Regent Proctor, seconded by Regent Yapp, the Board voted to approve the four items on the consent agenda as shown below:

Minutes for the meeting of February 16, 2006

Residence Hall, Apartment and Family Housing Rental Rate Adjustments (Agenda no. A-2)

It was the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents approve the proposed 2006-07 rental rates, presented in Attachments I, II and III, for the residence halls, single-student apartments and family housing, collectively termed the Housing and Dining System, making them effective July 1, 2006.

See Attachment A-2.

Establishment of a Master of Arts in Cultural Studies Degree in the UW Bothell Interdisciplinary Arts and Sciences Program (Agenda no. A-3)

It was the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents grant authority to the graduate faculty in the UW Bothell Interdisciplinary Arts and Sciences Program to offer the Master of Arts in Cultural Studies (MACS), effective immediately. The Master of Arts in Cultural Studies degree program will have provisional status with a review to be scheduled by the Graduate School in the 2011-2012 academic year.

See Attachment A-3.

Grant and Contract Awards – December, 2005 (Agenda no. F-2)

It was the recommendation of the administration and the Finance, Audit and Facilities Committee that the Board of Regents accept Grant and Contract Awards for the month of December 2005, in the total amount of \$33,565,196.

See Attachment F-2.

STANDING COMMITTEES

ACADEMIC AND STUDENT AFFAIRS COMMITTEE: Regent Cole, Chair

Academic and Administrative Appointments (Agenda no. A-1)

At the request of Regent Cole, Provost Wise highlighted appointments where a professorship or chair was included.

MOTION: Upon the recommendation of the administration and the motion made by Regent Proctor, seconded by Regent Cole, the Board voted to approve the personnel appointments. Regent Rasmussen abstained from the discussion and vote.

FINANCE, AUDIT AND FACILITIES COMMITTEE: Regent Kiga, Chair

Report of Contributions - January, 2006 (Agenda no. F-1) (Information only)

Report of Actions Taken Under Delegated Authority (Agenda no. F-4) (Information only)

Investment Performance Report, Second Quarter, Fiscal Year 2006 (Agenda no. F-5) (Information only)

Report of Planned 2006 Internal Audit Activities (Agenda no. F-6) (Information only)

University of Washington Medical Center Proposed Expansion (Agenda no. F-7) (Information only)

2005 State Audit Report (Agenda no. F-8) (Information only)

2005 Internal Audit Report (Agenda no. F-9) (Information only)

UWINCO Update (Agenda no. F-10) (Information only)

Capital Projects Office Semi-Annual Status Report (Agenda no. F-11) (Information only)

Regent Kiga noted there was a robust discussion about the UWMC Expansion proposal which explores the option of construction on the south end of the campus. Construction would begin in 2008 with a proposed budget of approximately \$85 million. The Regents requested more information and detail about the proposal be brought to them over the next couple of Board meetings. With regards to the state and internal audit reports, Regent Kiga reported that while there were a few findings, both the state and internal audit reports were good.

COMMITTEE OF THE WHOLE: Regent Jewell, Chair

Draft Vision and Budget Priorities (Agenda no. C-1) (Information only)
See Attachment C-1.

Regent Jewell reported the Committee of the Whole had a lively discussion on the draft vision statement, which incorporates faculty, staff and student input. There now will be an effort to incorporate alumni input.

Regent Jewell noted there was an Executive Session regarding the compensation of the UW President, who has not had a raise since taking the position in July 2004. On behalf of the Board, Regent Jewell commended and thanked the President, noting that he has assembled a strong leadership team and that he has established excellent relationship with the University community, the state legislature and in Washington, D.C.

MOTION: Upon the recommendation of the Chair of the Board and the motion was made by Regent Proctor, seconded by Regent Yapp, the Board approved a 5.11% increase to the UW President's compensation, raising the salary from \$474,000 per year to \$494,000 per year. Regent Rasmussen abstained from the discussion and vote.

Regent Jewell noted there was a second Executive Session in which the Board discussed pending litigation. She said the President and the University's attorneys brought to the Board a tentative settlement of *Storti v. UW* – the class action lawsuit over faculty salaries. Under the proposed settlement, the University would:

1. Increase the current UW-paid salary of eligible faculty members by two percent;
2. Make a one-time payment of \$17.45 million for attorneys' fees and back pay and interest for members of the class.

MOTION: Upon the recommendation of the Chair of the Board and the motion made by Regent Jewell, seconded by Regent Gates, the Board voted to authorize the President to enter into the proposed settlements.

REPORTS FROM EX OFFICIO REPRESENTATIVES TO THE BOARD OF REGENTS

ASUW President: Mr. Lee M. Dunbar

Mr. Dunbar reported that a Student Senate resolution commending and calling for a memorial to the recipients of the Medal of Honor will be coming the first week of spring. He also reported that the Biodiesel Task Force will issue its report in spring quarter.

Mr. Dunbar introduced Mr. Ali Tehrani, President of UW Bothell, who updated the Board on student activities at Bothell. He introduced Mr. Michael Wang, Director of Internal Affairs, who distributed the *ASUW Bothell Office of the Executive Council's Fall Quarter 2005 Report*.

GPSS President: Mr. Adam Grupp

Mr. Grupp reported that the first Graduate and Professional Education (GPE) Week was a success. The GPE week had been declared in the state of Washington and city of Seattle for the week of March 6. Mr. Grupp noted that he is enthusiastic about the UW Draft Vision Statement that was discussed early in the day in the Committee of the Whole. He announced the elections for the next GPSS leadership will be on May 10.

Regents Proctor and Jewell encouraged Mr. Grupp to report on the Bonderman Travel Fellowship he recently received. Mr. Grupp told the Board that he will be traveling the world for about 10 months. The Bonderman Travel Fellowship was created through a generous gift from David Bonderman, who earned his undergraduate degree in Russian from the UW in 1963. After graduating from Harvard Law School, he received a Sheldon Fellowship that allowed him to travel internationally. The experience had a profound impact on his life. Now a successful investment advisor, Mr. Bonderman provides opportunities to current UW students for similarly transformative travel experiences. Each Bonderman Fellow receives a \$20,000 award for travel. Fellows must travel for eight or more months, exploring six or more countries in two or more major regions of the world.

Regent Jewell commended Messrs. Dunbar and Grupp for the way they collaborated on issues this past year. She said they had established a high standard for the next leaders.

Alumni Association President: Mr. Chuck Blumenfeld

Mr. Blumenfeld announced Washington Weekend scheduled for April 27–29. The event is an opportunity for the three UW campuses to welcome alumni, parents, students, staff, faculty, friends and other members of the UW community to rediscover and reconnect with the University of Washington. He reported that UW Tacoma played a significant role in the earlier Career Discovery Week and that the Alumni Association is looking

forward to a number of ways to partner with UWT as they search for way to connect with UW alumni.

Faculty Senate Chair: Professor Ashley F. Emery was unable to attend the meeting.

DATE FOR NEXT MEETING

The April 20, 2006 meeting was canceled. The next regular meeting of the Board of Regents will be held on Thursday, May 18, 2006, on the UW campus.

ADJOURNMENT

The regular meeting was adjourned at 4:40 p.m.



Michele M. Sams
Secretary of the Board of Regents

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Academic and Administrative Appointments

RECOMMENDED ACTION:

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents approve the appointments to the University faculty and administration as presented on the attached list.

Attachment: Personnel Recommendations

COLLEGE OF ARCHITECTURE AND URBAN PLANNING

COLLEGE OF ARCHITECTURE AND URBAN PLANNING

ADMINISTRATIVE APPOINTMENTS

FRIEDMAN, DANIEL

(BA, 1973, ROCKFORD COLLEGE; MArch, 1981, UNIVERSITY OF WISCONSIN-MILWAUKEE; MSc, 1993, UNIVERSITY OF PENNSYLVANIA; PHD, 1999, UNIVERSITY OF PENNSYLVANIA) TO BE DEAN OF THE COLLEGE OF ARCHITECTURE AND URBAN PLANNING AND PROFESSOR OF ARCHITECTURE, EFFECTIVE 7/1/2006. (PRIOR TO THIS APPOINTMENT, DR. FRIEDMAN WAS DIRECTOR OF THE SCHOOL OF ARCHITECTURE AT THE UNIVERSITY OF ILLINOIS, CHICAGO.)

COLLEGE OF ARTS AND SCIENCES

DEPARTMENT OF NEAR EAST LANGUAGES AND CIVILIZATION

NEW APPOINTMENTS

BROWN, JONATHAN

(BA, 2000, GEORGETOWN UNIVERSITY; PHD, 2006 (Expected), UNIVERSITY OF CHICAGO) TO BE ACTING ASSISTANT PROFESSOR OF NEAR EAST LANGUAGES AND CIVILIZATION AT A SALARY RATE OF \$55,008 OVER NINE MONTHS, EFFECTIVE 9/16/2006.

DEPARTMENT OF POLITICAL SCIENCE

NEW APPOINTMENTS

ALBERTSON, BETHANY

(BA, 1999, LOYOLA MARYMOUNT UNIVERSITY; MA, 2001, UNIVERSITY OF CHICAGO; PHD, 2006 (Expected), UNIVERSITY OF CHICAGO) TO BE ACTING ASSISTANT PROFESSOR OF POLITICAL SCIENCE AT A SALARY RATE OF \$71,505 OVER NINE MONTHS, EFFECTIVE 9/16/2006. (MS. ALBERTSON IS CURRENTLY A VISITING SCHOLAR AT PRINCETON UNIVERSITY.)

DEPARTMENT OF SOCIOLOGY

NEW APPOINTMENTS

OPP, KARL-DIETER

(DIPLOMA, 1963, UNIVERSITY OF COLOGNE (GERMANY); PHD, 1967, UNIVERSITY OF COLOGNE (GERMANY)) TO BE VISITING PROFESSOR, PART-TIME, OF SOCIOLOGY AT A SALARY RATE OF \$7,561 OVER THREE MONTHS, EFFECTIVE 3/16/2006. (DR. OPP IS PROFESSOR EMERITUS OF SOCIOLOGY AT THE UNIVERSITY OF HAMBURG, GERMANY.)

DIVISION OF SPANISH AND PORTUGUESE STUDIES

ADMINISTRATIVE APPOINTMENTS

PETERSEN, SUZANNE HELEN

(BA, 1966, MILLS COLLEGE; MA, 1967, UNIVERSITY OF WISCONSIN; PHD, 1976, UNIVERSITY OF WISCONSIN) TO BE ACTING CHAIR OF SPANISH AND PORTUGUESE STUDIES, EFFECTIVE 3/16/2006. (DR. PETERSEN WILL CONTINUE AS ASSOCIATE PROFESSOR IN THE SAME DEPARTMENT.)

COLLEGE OF EDUCATION

EDUCATION

ENDOWED APPOINTMENTS

BRANSFORD, JOHN D.

(BA, 1966, HAMLINE UNIVERSITY; PHD, 1970, UNIVERSITY OF MINNESOTA) TO BE HOLDER OF THE SHAUNA C. LARSON ENDOWED CHAIR IN LEARNING SCIENCES OVER NINE MONTHS, EFFECTIVE 7/1/2008. (DR. BRANSFORD WILL CONTINUE AS PROFESSOR EDUCATION AND OF PSYCHOLOGY.)

NEW APPOINTMENTS

GALLUCCI, CHRYSAN

(BS, 1971, UNIVERSITY OF OREGON; MEd, 1988, UNIVERSITY OF WASHINGTON; PHD, 2002, UNIVERSITY OF WASHINGTON) TO BE RESEARCH ASSISTANT PROFESSOR OF EDUCATION AT A SALARY RATE OF \$82,200 OVER TWELVE MONTHS, EFFECTIVE 12/16/2005. (PRIOR TO THIS APPOINTMENT, DR. GALLUCCI WAS AN ACTING ASSISTANT PROFESSOR-TEMPORARY IN THE SAME COLLEGE.)

COLLEGE OF ENGINEERING

DEPARTMENT OF COMPUTER SCIENCE AND ENGINEERING

NEW APPOINTMENTS

BALAZINSKA, MAGDALENA

(BS, 1998, ECOLE POLYTECHNIQUE DE MONTREAL (CANADA); MS, 1999, ECOLE POLYTECHNIQUE DE MONTREAL (CANADA); PHD, 2006, MASSACHUSETTS INSTITUTE OF TECHNOLOGY) TO BE ASSISTANT PROFESSOR OF COMPUTER SCIENCE AND ENGINEERING AT A SALARY RATE OF \$84,006 OVER NINE MONTHS, EFFECTIVE 2/22/2006. (PHD AWARDED FEBRUARY 2006 FROM MASSACHUSETTS INSTITUTE OF TECHNOLOGY.)

SCHOOL OF SOCIAL WORK

SOCIAL WORK

ADMINISTRATIVE APPOINTMENTS

UEHARA, EDWINA

(BA, 1973, EASTERN WASHINGTON UNIVERSITY; MSW, 1977, UNIVERSITY OF MICHIGAN; PHD, 1987, UNIVERSITY OF CHICAGO) TO BE DEAN OF THE SCHOOL OF SOCIAL WORK, EFFECTIVE 4/1/2006. (DR. UEHARA WILL CONTINUE AS ASSOCIATE PROFESSOR IN THE SAME SCHOOL.)

SCHOOL OF MEDICINE

DEPARTMENT OF RADIOLOGY

NEW APPOINTMENTS

KANAL, KALPANA M

(BS, 1988, UNIVERSITY OF MUMBAI (INDIA); MS, 1991, UNIVERSITY OF TEXAS (ARLINGTON); PHD, 1996, UNIVERSITY OF TEXAS (SAN ANTONIO)) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF RADIOLOGY AT A SALARY RATE OF \$115,008 OVER TWELVE MONTHS, EFFECTIVE 2/1/2006. (PRIOR TO THIS APPOINTMENT, DR. KANAL WAS A LECTURER FULL TIME IN THE SAME DEPARTMENT.)

SCHOOL OF NURSING

DEPARTMENT OF BIOBEHAVIORAL NURSING AND HEALTH SYSTEMS

ENDOWED APPOINTMENTS

WHITNEY, JOANNE

(MS, 1979, UNIVERSITY OF MICHIGAN; PHD, 1991, UNIVERSITY OF CALIFORNIA (SAN FRANCISCO)) TO BE HOLDER OF THE HARBORVIEW MEDICAL CENTER ENDOWED PROFESSORSHIP IN CRITICAL CARE OVER NINE MONTHS, EFFECTIVE 10/1/2006. (DR. WHITNEY WILL CONTINUE AS PROFESSOR OF BIOBEHAVIORAL NURSING AND HEALTH SYSTEMS)

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

2006-07 Residence Hall, Single-Student Apartment and Family Housing Rental Rate AdjustmentsRECOMMENDED ACTION:

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents approve the proposed 2006-07 rental rates, presented in Attachments I, II and III, for the residence halls, single-student apartments and family housing, collectively termed the Housing and Dining System, making them effective July 1, 2006.

BACKGROUND:

The proposed rates reflect an increase of 5 percent for the residence halls, 4 percent for single-student apartments, and 2.2 percent for family housing. For the residence halls, the rate for a double room represents an increase of \$186 for the academic year. For single-student apartments, the increases range from \$22 to \$33 per month, depending on unit size. For family housing, the increases range from \$14 to \$18 per month, depending on unit size and location.

The rates are reflective of increases in normal operating expenses and long-term facility needs. For the residence halls, the rates also include an adjustment to fund certain enhancements in the residential life program.

The policy of maintaining family-housing rates below those of the private market is adhered to in this recommendation (Attachment III). Moreover, the proposed residence-hall rates compare favorably with those of other institutions of higher education surveyed in February 2006 (Attachment IV).

1. OPERATIONAL INCREASES:

The Department of Housing and Food Services (HFS) anticipates a 3.7-percent increase in normal operating expenses during fiscal year 2006-07. This anticipated increase is higher than the projected 2.2-percent increase in the Seattle Consumer Price Index (CPI) for 2007 (*Washington Economic and Revenue Forecast*, November 2005, Office of the Forecast Council), for the reasons cited below.

Overall, salaries and benefits are budgeted to rise 5.2 percent, allowing for an increase in the minimum wage from \$7.35 to \$7.63 per hour on January 1, 2005, and

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

2006–07 Residence Hall, Single-Student Apartment and Family Housing Rental Rate Adjustments (continued – p. 2)

an increase in the minimum wage on January 1, 2006, estimated at 2 percent. The budget also allows for a 1.6 percent cost-of-living increase and regular, incremental increases for classified and contract staff, an additional step at the top of the range for all staff covered by the Federation contract, and a salary increase of 2 percent for professional staff beginning July 1, 2006.

2. RESIDENTIAL LIFE PROGRAM IMPROVEMENTS

The 5 percent increase to the residence hall room rates includes 1 percent to fund improvements in the residential life program.

It has become apparent that the current staffing level in residential life is inadequate to provide the service levels and opportunities the residents require. Thus, two professional positions will be added to the staff for 2006-07. These positions will provide programming support and facilitate leadership opportunities for the residents.

The addition of these positions will also allow the expansion of the interest house program with the addition of several academically oriented interest houses. For the 2006–2007 academic year the current Honors House will be doubled in size, an Engineering House will be added, and the International House's link to academic programs will be strengthened.

These improvements were developed in consultation with the residents and have been endorsed by the Residence Hall Student Association.

3. DEBT SERVICE COVERAGE RATIOS:

The recommended rates would allow the Housing and Dining System to remain financially strong and meet its bond obligations. Under provisions of the bond resolution, the system must maintain a ratio of net revenues to annual debt service of at least 1.25:1. Based on the proposed rate schedules and anticipated expenditures, coverage for the system for 2006-07 would be 1.99:1, providing reserve balances for the major repairs and improvements discussed in Section 4 of this document.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

2006–07 Residence Hall, Single-Student Apartment and Family Housing Rental Rate Adjustments (continued – p. 3)

4. RESERVE REQUIREMENTS:

In 1999, HFS adopted a long-range capital improvement plan. The plan is updated annually and allocates funds for necessary and ongoing facility improvements. Capital projects scheduled for fiscal year 2006-07 total \$4.1 million and include replacing carpeting, upholstery and mattresses at Stevens Court, masonry repairs in the bathrooms in McMahon Hall and elevator repair in Haggett Hall.

The long-range financial plan calls for the Housing and Dining System to have a fund balance of \$6.1 million on June 30, 2007—\$3 million of which is to maintain balances required by the bond resolutions.

5. DINING PROGRAMS:

Students living in the residence halls participate in the A La Carde™ Plus dining program. Under the program, a portion of each student's room and board payment is deposited in a debit-card account at one of five participation levels: Minimum, Light, Basic, Preferred, and Premium. The student uses a debit card to purchase food at University Food Services locations throughout the campus. At the end of the year, any unused balance on the account above the Minimum contribution level is returned to the student.

The Minimum participation level will increase 4 percent and the Light, Basic and Preferred participation levels will undergo a 3-percent inflationary increase to help offset the rising costs of food and labor. The Premium participation level will increase 6 percent. The Minimum contribution level will increase from \$1,635 to \$1,701, the Light contribution level will increase from \$2,001 to \$2,061, the Basic contribution level will increase from \$2,544 to \$2,619, the Preferred contribution level will increase from \$3,090 to \$3,183, and the Premium contribution level will increase from \$4,668 to \$4,947.

6. CONSULTATION WITH STUDENTS AND OTHERS:

On February 21, 2006, the operating budget and the need for adjustments to housing and dining rates were discussed with the budget subcommittee of the Residence Hall Student Association (RHSA). Letters detailing a rate proposal were sent to all residence-hall students on February 22. On February 28, the proposal was discussed with the full RHSA. After that discussion, certain modifications to the proposal were made. On March 7, 2006, RHSA voted to endorse the rate proposal.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

2006–07 Residence Hall, Single-Student Apartment and Family Housing Rental Rate Adjustments (continued – p. 4)

On February 22, letters were sent to all residents of family housing and single-student apartments informing them of the proposed rate changes and inviting them to community meetings to discuss the recommendation. On March 1, community meetings were held to answer questions regarding the rates.

These proposed adjustments have been discussed with the presidents of the GPSS and the ASUW.

The Acting Vice President for Student Affairs has reviewed and approved this recommendation.

ATTACHMENTS:

- I. Proposed 2006-07 Residence Hall Academic Year Rates, Including Dining Alternatives
- II. Proposed Monthly 2006-07 Single-Student Apartment Rental Rates
- III. Proposed Monthly 2006-07 Family Housing Apartment Rental Rates
- IV. Room and Board Rates of Comparable Universities, February 2006 Survey

UNIVERSITY OF WASHINGTON
Department of Housing and Food Services

**PROPOSED 2006-07 RESIDENCE HALL ACADEMIC YEAR RATES
INCLUDING DINING ALTERNATIVES**

	<u>ROOM TYPE</u>		
	<u>TRIPLE²</u>	<u>DOUBLE</u>	<u>SINGLE</u>
Dining Plan Required¹			
Academic Year Room Rate	3,111	3,882	4,770
Husky Card Deposit ³	60	60	60
Minimum Dining Deposit ⁴	<u>1,701</u>	<u>1,701</u>	<u>1,701</u>
Total Room, Husky Card and Minimum Dining Deposit	4,872	5,643	6,531
Light Dining Deposit	<u>2,061</u>	<u>2,061</u>	<u>2,061</u>
Total Room, Husky Card and Light Dining Deposit	5,232	6,003	6,891
Basic Dining Deposit ⁵	<u>2,619</u>	<u>2,619</u>	<u>2,619</u>
Total Room, Husky Card and Basic Dining Deposit	5,790	6,561	7,449
Preferred Dining Deposit	<u>3,183</u>	<u>3,183</u>	<u>3,183</u>
Total Room, Husky Card and Preferred Dining Deposit	6,354	7,125	8,013
Premium Dining Deposit	<u>4,947</u>	<u>4,947</u>	<u>4,947</u>
Total Room, Husky Card and Premium Dining Deposit	8,118	8,889	9,777

Dining Plan Optional

A limited number of spaces in the residence halls are available for this program. Higher room rates support amenities such as microwave ovens, refrigerators and a community kitchen. The \$117 minimum dining deposit is completely refundable if not used.

	<u>ROOM TYPE</u>	
	<u>DOUBLE</u>	<u>SINGLE</u>
Academic Year Room Rate	4,242	5,232
Husky Card Deposit ³	60	60
Dining Deposit	<u>117</u>	<u>117</u>
Total Room, Husky Card and Dining Deposit	4,419	5,409

¹ All residents receive a refund of any unused balance above \$1,701 at the end of the year.

² A triple is a room built to accommodate two residents, but which has been retrofitted to accommodate a third resident.

³ A \$60 Husky Card deposit is required of all residents and is completely refundable if not used.

⁴ Offered only to continuing students.

⁵ The minimum level required for new residents during their first quarter of occupancy.

UNIVERSITY OF WASHINGTON
Department of Housing and Food Services

PROPOSED MONTHLY 2006-07 SINGLE-STUDENT APARTMENT RENTAL RATES

<u>Unit</u>	<u>Current Rate</u>	<u>Proposed Rate 2006-07</u>
Multi-Bedroom, Single Student	549	571
Studio, Disabled Single Student	564	587
Two-Bedroom, Disabled Student with Attendant	820	853

UNIVERSITY OF WASHINGTON
Department of Housing and Food Services

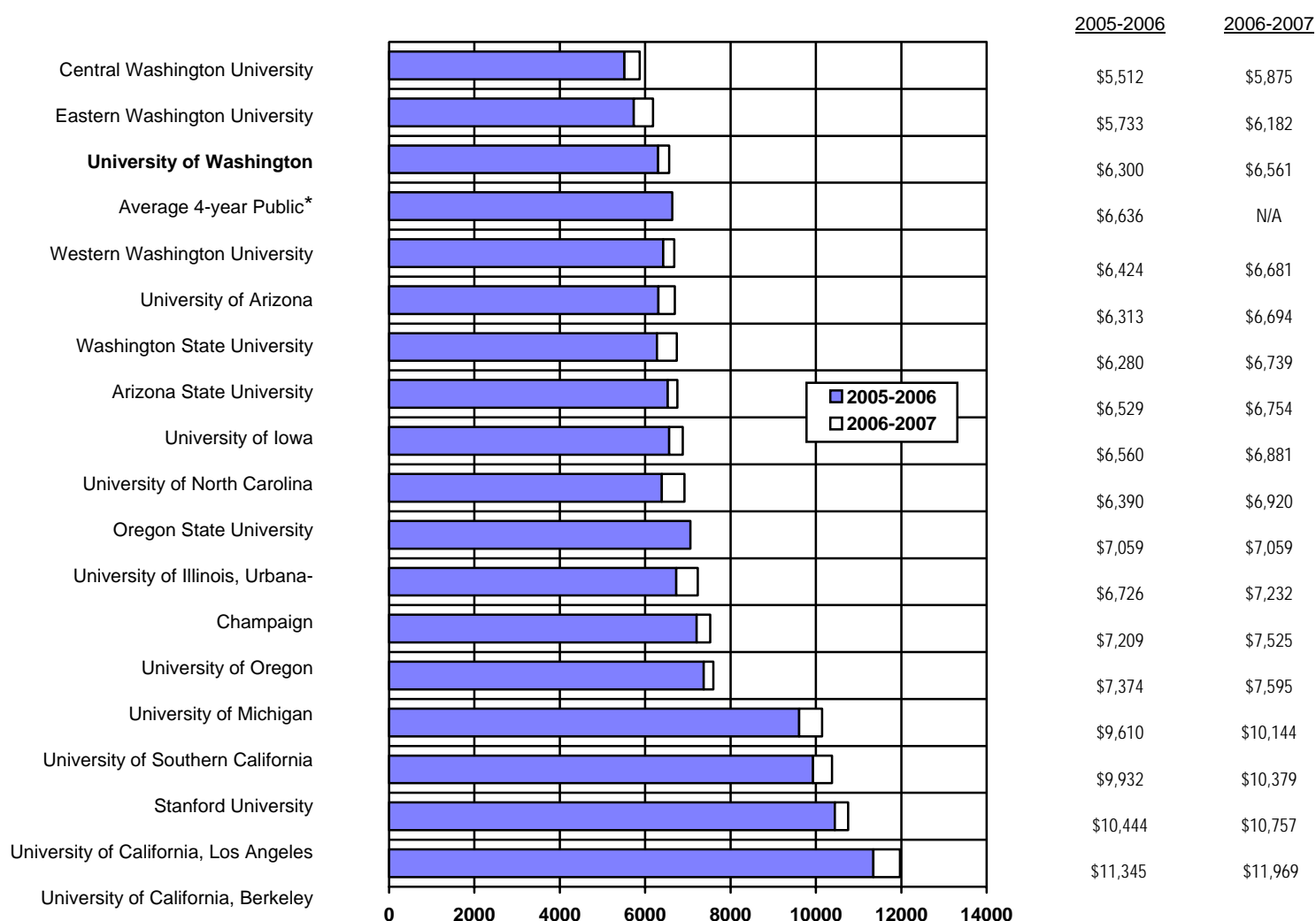
**PROPOSED MONTHLY 2006-07 FAMILY HOUSING
APARTMENT RENTAL RATES**

Unit	Current Rate	Proposed Rate 2006-07	Private Market Rent 2003	Percentage Below Market
Laurel/Blakeley ¹				
Two-Bedroom	734	750	1,090	31
Three-Bedroom	806	824	1,260	35
Stevens Court Addition ¹				
Small One-Bedroom	634	648	1,050	38
One-Bedroom	708	724	1,050	31

-
1. Source for private market rent: *Rent Survey of Blakeley Village & Laurel Village* and *Rent Study of Stevens Court & Stevens Court Addition*, by KVA Kidder Mathews Valuation Advisory Services for the University of Washington, December 2003.

UNIVERSITY OF WASHINGTON
Department of Housing and Food Services

**ROOM AND BOARD RATES OF
COMPARABLE UNIVERSITIES**



For comparative purposes, room costs for the schools named above are shown at the double room rate. Board plans vary widely in cost, depending on the types of programs offered. The board costs shown above reflect fixed meal plans ranging from 9 to 16 meals per week (or an equivalent level on a point system), unless a higher minimum meal plan is required. The amount of board included for the University of Washington is the Basic Dining Plan --\$2,646 for 2006-2007.

*Trends in College Pricing 2005, College Board

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Establishment of a Master of Arts in Cultural Studies degree in the UW Bothell Interdisciplinary Arts and Sciences Program

RECOMMENDED ACTION

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents grant authority to the graduate faculty in the UW Bothell Interdisciplinary Arts and Sciences Program to offer the Master of Arts in Cultural Studies (MACS), effective immediately. The Master of Arts in Cultural Studies degree program will have provisional status with a review to be scheduled by the Graduate School in the 2011-2012 academic year.

BACKGROUND

In January 2005, the Graduate School received a proposal to offer a Master of Arts in Cultural Studies degree program from the graduate faculty in the Interdisciplinary Arts and Sciences Program at UW Bothell. The MACS curriculum situates this critical study of culture in relation to the regional needs of the diverse local, national, and global communities served by UW Bothell and the other two UW campuses. It will be the first graduate program in the Pacific Northwest, and one of the very few programs nationally, to partner the interdisciplinary study of art and culture with a community-based learning network. The MACS degree program is a 40-credit core curriculum that will culminate with a capstone project. Each Autumn Quarter cohorts of 18 students will be admitted on a full-time basis. Program implementation will occur in Autumn Quarter 2007.

The Master of Arts in Cultural Studies at UWB will provide graduate students with the knowledge and skills necessary for their success in two areas: 1) Professional careers in community-based arts, culture, and social service organizations, for profit and not-for-profit; 2) Further academic study in the interdisciplinary arts, humanities, and social sciences. The MACS curriculum stresses the integration of skills, abilities, and fields of knowledge central to interdisciplinary education (critical theoretical approaches, problem-posing and problem-solving capacities, critical research methodologies, and creative and effective writing and speaking) with community-based experiences, applied research, and experiential learning opportunities.

MACS graduate will be able to: 1) Identify, analyze, and address specific structural location of cultural issues and debates, and propose creative and effective interventions; 2) Recognize, critically address, and collaboratively negotiate cultural diversity and difference in a variety of educational and institutional sites; 3) Move effectively from project conception to project execution through both critical and immersive techniques that engage specific publics; 4) Work as researchers,

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Establishment of a Master of Arts in Cultural Studies degree in the UW Bothell Interdisciplinary Arts and Sciences Program – (continued p. 2)

educators, activities, artists, and problem-solvers in a variety of cultural and organizational arenas.

In Spring Quarter 2005, review of the MACS proposal was conducted by two experts in the field from the State University of New York at Buffalo, NY and the University of California at Davis, CA. The external reviewers' evaluations noted the proposal reflected the Interdisciplinary Arts and Sciences faculty's clear sense of Cultural Studies as a research and

teaching field and articulated a clear and distinctive vision for an MA program that connects theory and method with practical cultural work in communities, and will prepare students in unique ways for PhD programs. They recommended that the MACS program be approved.

On January 26, 2006, the Higher Education Coordinating Board (HECB) approved the proposed Master of Arts in Cultural Studies. The HECB's Resolution No. 06-01 noted: 1) the program would respond to demonstrated student demand and provide students a unique mix of academic work in arts and culture and practical experience in community-based organizations; 2) would serve a need expressed by employers for graduate level trained workers with leadership and community engagement skills, and 3) would serve the community through engagement of students and graduates in a variety of community based organizations. The HECB indicated the program costs are reasonable.

The Vice Provost and Dean of the Graduate School, the Chancellor at UW Bothell, and the Provost have reviewed and approved the recommendation that authority be granted to the graduate faculty of the Interdisciplinary Arts and Sciences Program at UW Bothell to offer the Master of Arts in Cultural Studies degree program. The Graduate School will inform the HECB that the program has been established if it is approved by the Board of Regents.

VII. STANDING COMMITTEES

A. Committee of the Whole

Draft Vision Statement and Budget Priorities

Vision Statement

The University of Washington educates students to become global citizens and future leaders through a challenging and inclusive learning environment informed by cutting-edge scholarship. Discovery is at the heart of our university. We discover and advance timely and useful solutions to the world's most difficult and complex problems of our times, serving and enriching the lives of all people throughout Washington state, the nation, and the world. We are committed to integrity, diversity, excellence, collaboration, and innovation in all that we do.

Uniquely Washington

The University of Washington's vision and strategic priorities must consider the characteristics which make us great and unique, and must reflect our core values and culture.

UW Standard of Excellence

Committed to academic excellence, we recruit the best, most diverse, and most innovative faculty and staff from around the world, encouraging a vibrant and diverse intellectual community for our students. Through scholarly exploration and intellectual rigor, fueled by passion for new knowledge, we link of academic excellence to cutting edge, world-leading research. With honesty and integrity, we hold ourselves to the highest standards of ethical and moral example, a beacon for our community and the world.

Educators

Foremost, we are educators. We strive to inspire our students through an education that emphasizes the power of discovery, and the foundation of critical, analytical, and independent thinking.

World Leaders in Research

With determination and independence of mind and spirit, we have grown into the most productive public research university in the nation. More than teachers and students, we are a community of diverse collaborators who are reaching for the next great thing. Ours is a proud culture of innovation and discovery that has, and will continue to have, transformational impact.

VII. STANDING COMMITTEES

A. Committee of the Whole

Draft Vision Statement and Budget Priorities (continued p. 2)

Celebrating Place

The natural beauty of the Pacific Northwest envelops us. This is an important element of who we are, for this awe-inspiring place not only anchors us, it serves to reaffirm our desire to effect positive change in the world around us. We accept gratefully our role in preserving and enhancing Washington; the place, the people, our home.

Spirit of Innovation

As Washingtonians, we trumpet our shared history of innovation and entrepreneurship, and with an energetic sense of curiosity coupled with profound optimism, we find inspiration for the future. The Northwest has an uncanny concentration of thought leaders in a variety of sectors, from the private to the nonprofit. Ours is a culture with a determined persistence that engenders innovation and a belief that our goals, however lofty, can be realized.

Academic Community

The UW is a rich and diverse tapestry of free and scholarly exploration. Through unique and interdisciplinary partnerships, we foster creativity, challenge the boundaries of knowledge, stimulate creative thought, cultivate independence of mind and personal conviction, and encourage the fearless challenge of currently perceived beliefs. Our pursuits are flexible, and evolving, encompassing both breadth and depth of expertise in a wide array of academic endeavor.

World Citizens

As world citizens we are compassionate and committed to the active pursuit of global engagement and connectedness. We assume leadership roles in moving the larger social agenda to address the collective good, making the world a better place through education and research – not just for today but for future generations.

Being Public

More than a description of our financial base, being a public university is a state of mind that reflects our deeply held commitment to serve all the citizens of the state of Washington, and more broadly, the world. This measure of public trust, accountability, and shared responsibility guides our decision-making, as well as our aspirations and vision for the future. With judicious use of limited resources, we are careful stewards of intellectual innovation. We will take risks with our ideas, but not our values.

VII. STANDING COMMITTEES

A. Committee of the Whole

Draft Vision Statement and Budget Priorities (continued p. 3)

BUDGET PRIORITIES

A. Improve and enhance the learning environment for our students

1. Undergraduate Education
 - Admissions (improve student access and diversity; more scholarships and better financial aid packages)
 - Advising (better navigations tools for pre-majors and majors)
 - Curriculum (global experiences, interdisciplinary teaching, research experiences, capstone courses)
 - Living-learning communities
 - Better integration of transfer, commuter, non-traditional students
2. Graduate Education
3. Post-Doctoral Education

B. Expand the reach of the UW across the globe

1. Developing educational and research programs in global health
2. Increasing student and faculty study and research abroad
3. Innovating an interdisciplinary program to strengthen public policy and management in global affairs

C. Develop programs to sustain the environment

1. Using Puget Sound as a laboratory for the global environment
2. Incorporating issues of public health, policy, and law into the study of the environment
3. Strengthening interdisciplinary approaches to the study of the earth and atmosphere

D. Develop local and global partnerships to improve the lives our communities

1. Increasing partnerships with local, regional, and global industries and businesses to enhance our global competitiveness

VII. STANDING COMMITTEES

A. Committee of the Whole

Draft Vision Statement and Budget Priorities (continued p. 4)

2. Developing new strategies to improve the health and care of our communities.
3. Effectively and efficiently steward state and donor contributions.

E. Strengthen interdisciplinary programs and efforts to tackle “grand challenge” problems that will benefit society and stimulate economic development

1. Nanotechnology
2. E-Science
3. Ecogenomics

F. Become a workplace of choice for faculty and staff

1. Provide competitive compensation for faculty and staff
2. Foster a climate of respect for diversity among our faculty, staff, and students
3. Increase the diversity of our faculty and staff
4. Provide stronger support for leadership and career development in order to attract and retain the best faculty and staff

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

UW

Report of Contributions

University of Washington
University of Washington Foundation

January 2006

NOTES AS OF JANUARY 31, 2006

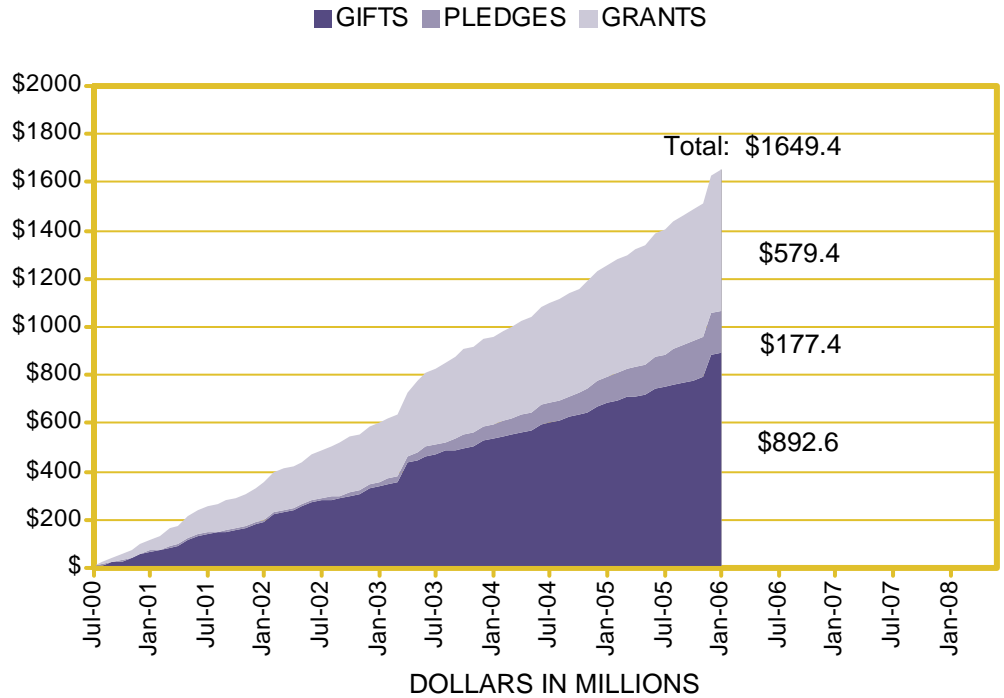
DATA POINTS

\$1,649,420,140 has been raised toward our campaign goal of \$2 billion.

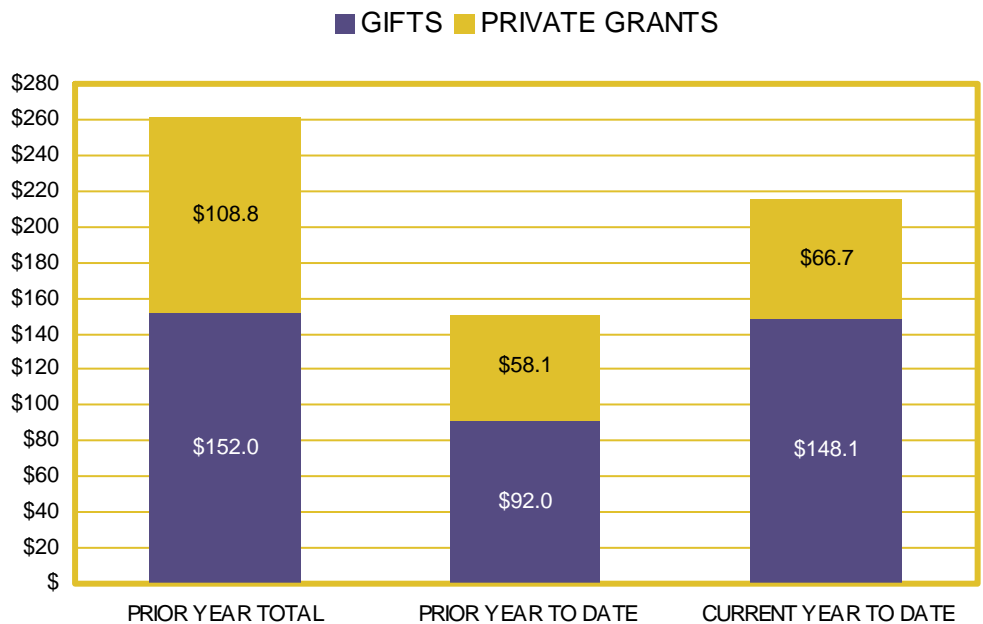
The UW received \$22.3 million in total private voluntary support (\$10.2 million in gifts and \$12.1 in grants) in January.

Areas including Architecture, Arts and Sciences, Dentistry, Engineering, Forest Resources, Intercollegiate Athletics, Law, Libraries, Nursing, Public Health, Social Work, UW Bothell, UW Medicine and UW Tacoma are ahead of last year's year-to-date totals.

FUNDRAISING PROGRESS SINCE JULY 1, 2000



CURRENT GIFT AND PRIVATE GRANT TOTALS



JANUARY 2006 GIFTS AND IMPACT

Selected gifts representing private support for one of the University of Washington's key fundraising priorities -- student, faculty, program and facility support.

David C. Auth – \$100,000 to the School of Medicine and the College of Engineering

- This gift to the Hunter and Dorothy Simpson Endowed Chair in Bioengineering will help to permanently endow the Chair of Bioengineering in the UW School of Medicine and the College of Engineering.
- The Simpson Chair was established with a \$500,000 gift from the Washington Research Foundation in April 2004, which was matched by the University of Washington.
- David Auth, Ph.D., is an affiliate professor of Bioengineering at UW and Chairman of Boston Scientific. He is known for developing a program of research around the theme of "least-invasive surgery." He invented and further developed the Laser Blade Scalpel.
- Hunter Simpson, a UW alumnus, served on the university's board of regents from 1981 to 1992 and was named one of 100 UW Alumni of the Century in 1999. He passed away on January 20, 2006.

Katherine E. and Steve W. Berman – \$202,722 to the School of Law

- The Kathy and Steve Berman Environmental Law Clinic Fund at the UW School of Law was created with a \$1,000,000 pledge from the Berman family. This gift will receive a \$200,000 match from the University.
- The endowment funds clinic leadership and staff, supports students involved in environmental advocacy organization GreenLaw, and provides support for student and faculty scholarship, moot court competitions, and community outreach in environmental law.
- The Berman Environmental Law Clinic educates students about environmental law specific to the Pacific Northwest. Students conduct investigations and interviews, consult with scientific experts, file petitions, and develop and initiate cases.

Max Gellert – \$17,166 to UW Bothell, the College of Engineering and the College Arts and Sciences

- Max Gellert, founder of Bothell-based Eldec Corp. (now Crane-Eldec) has been active with the University for many years. A founding member of UW Bothell's advisory board, he is one of four "founders" who joined together to create the \$100,000 UW Bothell Founders Endowed Fellowship benefiting deserving students in Bothell's master's programs. When matched 50 percent through the UW Matching Gift Initiative, the fellowship will grow to \$150,000.
- His most recent gifts support the Carol Gellert Endowed Fund in History, the UW Bothell Founders' Endowed Fellowship and the Max E. Gellert Fellowship in Engineering.
- Mr. Gellert's wife Carol, who passed away in 2002, was a member of the College of Arts and Sciences' board. He remains an active member of both the College of Engineering and UW Bothell's Campaign committees.





REPORT OF CONTRIBUTIONS

January 2006

CAMPAIGN UW: CREATING FUTURES

Report prepared by: Office of Development and Alumni Relations
Advancement Services, Information Management

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*All Campaign totals represented are from July 1, 2000 through the end of the preceding calendar month. Please note that grant revenue totals in Campaign Reports may contain clinical trials. Fundraising totals from all affiliated non-profit organizations are also included in UW Campaign totals.

The UW Campaign Executive Committee developed the counting policy for Campaign reports, based on Council for Advancement and Support of Education (CASE) campaign counting standards.

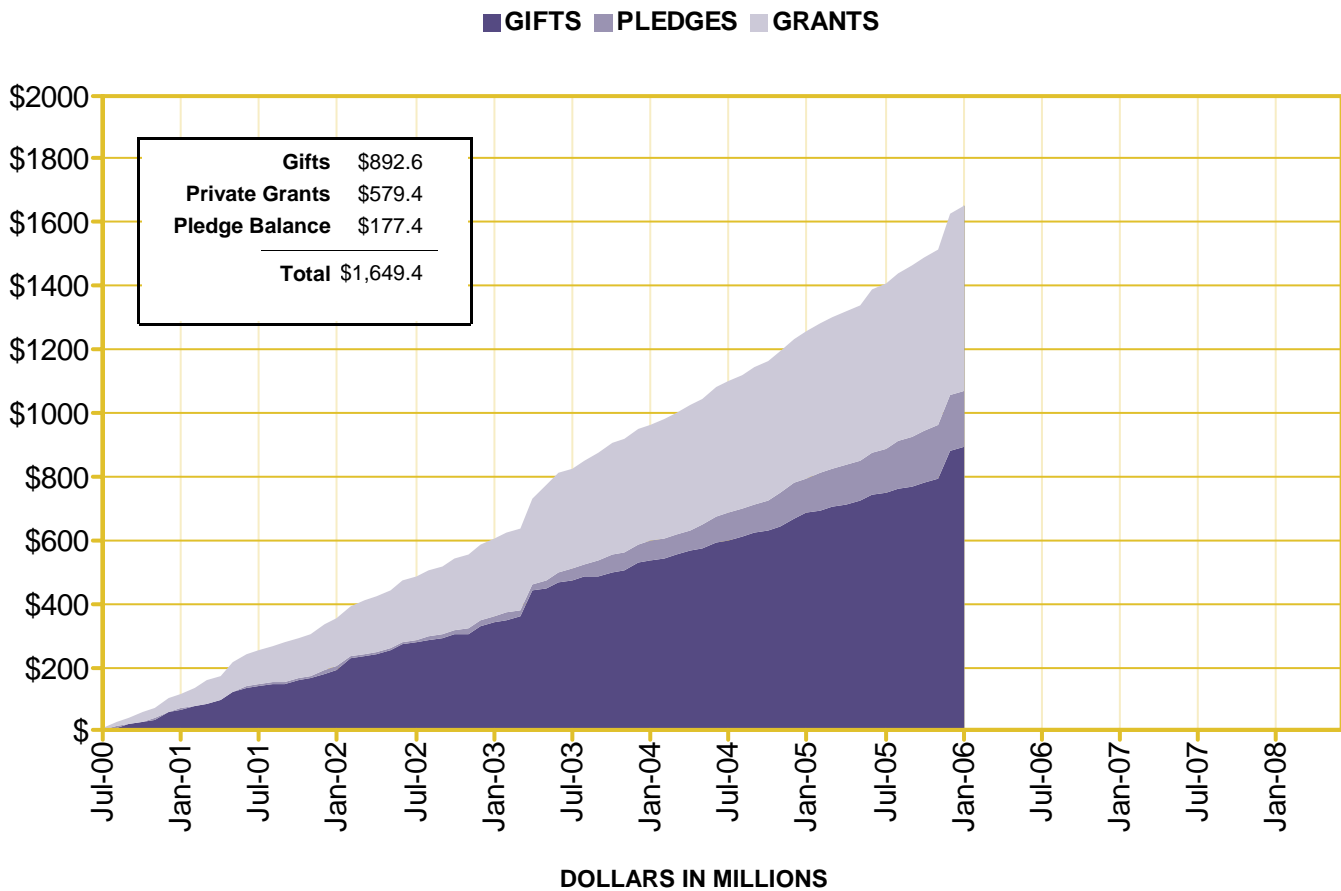
Annual reporting is July 1, 2005 through the end of the preceding calendar month.

Job Number: 69073
January 2006

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CAMPAIGN PROGRESS SINCE JULY 1, 2000



Source: UW Office of Development

Summarizes Total Private Voluntary Support since July 1, 2000. Testamentary Commitments included in Pledge Balance total. All dollar totals in millions.

Job Number: 69073
 January 2006

Fundraising Progress Since July 1, 2000



CAMPAIGN PROGRESS BY GIVING LEVEL

DOLLARS RAISED							
Gifts, Pledges & Grants							
Giving Level *	Alumni	Non Alumni	Family Fndns.	Corporations	Foundations	Other Orgs.	Total
\$100M+	\$0	\$32,720	\$198,211,014	\$0	\$0	\$0	\$198,243,734
\$50M - \$99,999,999	\$0	\$0	\$0	\$0	\$0	\$0	\$0
\$10M - \$49,999,999	\$27,808,405	\$58,619,247	\$20,527,500	\$51,676,745	\$87,886,903	\$11,334,900	\$257,853,699
\$5M - \$9,999,999	\$17,873,054	\$5,111,250	\$950,000	\$47,044,517	\$30,542,854	\$65,690,414	\$167,212,089
\$1M - \$4,999,999	\$94,797,878	\$51,681,220	\$25,214,742	\$110,332,205	\$102,729,254	\$67,200,734	\$451,956,033
\$100,000 - \$999,999	\$81,621,672	\$62,510,954	\$21,812,619	\$106,140,753	\$54,675,386	\$51,631,908	\$378,393,292
\$25,000 - \$99,999	\$21,129,312	\$16,289,588	\$2,966,986	\$26,656,427	\$6,946,467	\$8,751,424	\$82,740,204
\$10,000 - \$24,999	\$14,237,486	\$10,509,917	\$863,480	\$8,435,226	\$1,293,198	\$2,148,620	\$37,487,926
\$5,000 - \$9,999	\$10,077,341	\$7,823,508	\$276,443	\$4,441,886	\$543,973	\$642,322	\$23,805,474
\$2,000 - \$4,999	\$8,989,383	\$7,144,470	\$75,508	\$3,057,823	\$244,635	\$427,457	\$19,939,276
\$1,000 - \$1,999	\$4,901,801	\$3,918,816	\$23,676	\$1,292,740	\$76,931	\$186,985	\$10,400,948
\$500 - \$999	\$4,446,143	\$3,343,419	\$4,910	\$638,518	\$26,940	\$84,122	\$8,544,052
\$250 - \$499	\$3,075,663	\$2,099,852	\$900	\$277,915	\$8,082	\$40,427	\$5,502,839
\$100 - \$249	\$2,773,664	\$1,823,056	\$2,365	\$258,270	\$8,292	\$27,819	\$4,893,465
\$1 - \$99	\$1,322,108	\$1,048,658	\$189	\$65,961	\$1,088	\$9,107	\$2,447,111
Total	\$293,053,909	\$231,956,675	\$270,930,332	\$360,318,985	\$284,984,003	\$208,176,236	\$1,649,420,140
DONOR COUNTS							
Giving Level	Alumni	Non Alumni	Family Fndns.	Corporations	Foundations	Other Orgs.	Total
\$100M+	0	2	1	0	0	0	3
\$50M - \$99,999,999	0	0	0	0	0	0	0
\$10M - \$49,999,999	8	6	2	4	6	1	27
\$5M - \$9,999,999	5	6	1	7	5	9	33
\$1M - \$4,999,999	85	81	13	53	43	37	312
\$100,000 - \$999,999	463	502	69	404	166	169	1,773
\$25,000 - \$99,999	845	812	65	591	143	176	2,632
\$10,000 - \$24,999	1,722	1,593	57	632	90	142	4,236
\$5,000 - \$9,999	2,609	2,371	47	749	91	102	5,969
\$2,000 - \$4,999	4,807	4,685	27	1,170	85	151	10,925
\$1,000 - \$1,999	5,677	5,609	21	1,170	65	162	12,704
\$500 - \$999	9,396	8,760	11	1,175	44	142	19,528
\$250 - \$499	11,504	9,575	3	970	27	131	22,210
\$100 - \$249	22,327	18,127	16	2,011	58	220	42,759
\$1 - \$99	36,462	35,127	4	1,699	23	265	73,580
Total	95,910	87,256	337	10,635	846	1,707	196,691

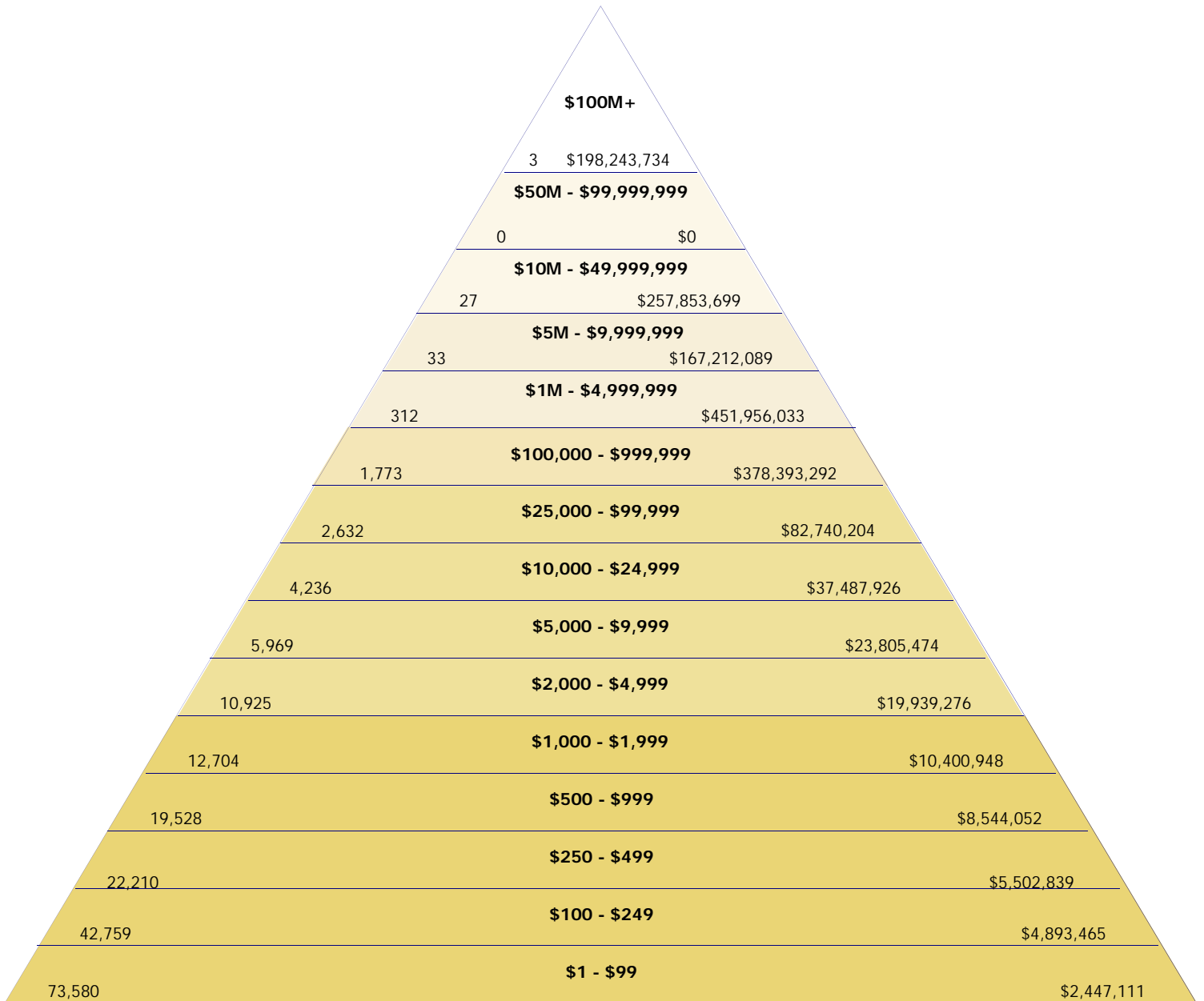
Source: UW Office of Development & Alumni Relations

This report shows the count of distinct donors and campaign total by giving level and donor type since July 1, 2000.

**"Giving Level" is determined by summing all gift record types (including grants)



CAMPAIGN PROGRESS BY PYRAMID, SINCE JULY 1, 2000



196,691 Donor Count

Campaign Total: \$1,649,420,140

*Campaign Working Goal: \$2,000,000,000

Percent Complete: 82.5%

Source: UW Office of Development

The counts of distinct donors and fundraising totals by giving level are shown.

*Unit campaign goals are still being finalized.

Pyramid Levels are determined by summing all gift record types (including grants)



CAMPAIGN PROGRESS BY CONSTITUENCY

	GIFTS	Irrevocable Deferred Gifts		PLEDGES	Testamentary Commitments		GRANTS	Campaign - Private Voluntary Support		
		Donor Value	Discount Value		Donor Value	Discount Value		TOTAL	Working Goal	% of Goal
UW Medicine	\$258,187,954	\$10,383,133	\$5,688,925	\$20,832,460	\$20,912,853	\$6,648,725	\$288,190,591	\$598,506,991	\$1,000,000,000	59.9%
Architecture	\$6,628,609	\$2,244,117	\$658,000	\$1,730,114	\$2,000,000	\$1,142,468	\$3,701,923	\$16,304,763	\$19,000,000	85.8%
Arts and Sciences	\$86,105,470	\$6,657,537	\$4,069,838	\$9,155,759	\$18,734,000	\$9,359,797	\$60,213,021	\$180,865,787	\$240,000,000	75.4%
Broadcast Services	\$37,199,224	\$0	\$0	\$13,959	\$0	\$0	\$0	\$37,213,182	N/A	N/A
Business School	\$50,573,401	\$906,563	\$643,347	\$26,601,062	\$3,575,271	\$2,314,198	\$225,800	\$81,882,098	\$135,000,000	60.7%
Dentistry	\$6,685,396	\$246,992	\$110,376	\$945,921	\$675,000	\$378,427	\$3,527,959	\$12,081,268	\$15,000,000	80.5%
Education	\$8,974,512	\$28,552	\$10,686	\$3,516,131	\$0	\$0	\$12,069,136	\$24,588,331	\$23,000,000	106.9%
Engineering	\$117,893,165	\$1,718,700	\$959,409	\$7,085,433	\$5,200,011	\$2,338,631	\$63,567,811	\$195,465,121	\$250,000,000	78.2%
Evans Schl. of Pub. Affairs	\$9,206,571	\$57,994	\$69,964	\$426,356	\$500,000	\$265,793	\$34,805,938	\$44,996,859	\$40,000,000	112.5%
Forest Resources	\$7,913,349	\$646,572	\$516,844	\$599,487	\$2,500,000	\$1,266,903	\$3,149,312	\$14,808,720	\$17,700,000	83.7%
Friday Harbor Labs	\$5,617,844	\$153,242	\$78,775	\$367,442	\$3,305,000	\$2,258,599	\$775,938	\$10,219,466	\$12,000,000	85.2%
Information School	\$2,572,669	\$0	\$0	\$143,999	\$100,000	\$64,666	\$875,683	\$3,692,351	\$5,000,000	73.8%
Intercollegiate Athletics	\$80,441,297	\$246,570	\$166,700	\$4,812,301	\$25,000	\$10,388	\$0	\$85,525,169	\$110,000,000	77.8%
Law	\$57,982,755	\$2,026	\$2,026	\$1,056,098	\$0	\$0	\$958,642	\$59,999,521	\$70,000,000	85.7%
Libraries	\$4,935,567	\$442,146	\$351,456	\$54,970	\$772,000	\$379,527	\$475,708	\$6,680,390	\$9,000,000	74.2%
Nursing	\$8,162,875	\$285,000	\$210,008	\$959,045	\$2,825,000	\$1,454,415	\$7,265,888	\$19,497,808	\$24,000,000	81.2%
Ocean and Fisheries	\$11,728,792	\$562,500	\$314,233	\$87,783	\$0	\$0	\$19,572,831	\$31,951,906	\$34,000,000	94.0%
Pharmacy	\$7,834,156	\$0	\$0	\$547,790	\$0	\$0	\$4,200,860	\$12,582,806	\$10,260,000	122.6%
President's Funds	\$5,140,243	\$738,472	\$543,577	\$526,716	\$2,750,000	\$1,353,977	\$0	\$9,155,431	N/A	N/A
Public Health	\$13,243,248	\$50,259	\$33,185	\$777,404	\$0	\$0	\$57,181,771	\$71,252,683	\$90,000,000	79.2%
Scholar. & Student Progs.	\$25,406,274	\$651,684	\$333,072	\$2,271,357	\$1,000,000	\$530,038	\$691,327	\$30,020,642	\$40,000,000	75.1%
Social Work	\$1,943,384	\$290,566	\$158,012	\$83,684	\$0	\$0	\$5,107,927	\$7,425,561	\$10,000,000	74.3%
University Press	\$1,673,482	\$83,788	\$62,116	\$26,847	\$1,300,000	\$1,421,362	\$0	\$3,084,116	\$3,000,000	102.8%
University Support	\$29,617,596	\$1,510,063	\$757,793	\$5,705,690	\$11,300,000	\$4,855,765	\$11,968,949	\$60,102,298	N/A	N/A
UW Bothell	\$2,055,110	\$0	\$0	\$220,952	\$0	\$0	\$750,500	\$3,026,561	\$5,700,000	53.1%
UW Tacoma	\$16,817,898	\$195,000	\$173,651	\$8,477,722	\$2,925,000	\$1,657,696	\$74,690	\$28,490,309	\$30,000,000	95.0%
All UW Total	\$864,540,842	\$28,101,476	\$15,911,993	\$97,026,481	\$80,399,135	\$37,701,375	\$579,352,206	\$1,649,420,140	\$2,000,000,000	82.5%

Source: UW Office of Development

Fundraising progress toward campaign working goals by constituency area (school/college/program). Campaign total is the sum of gifts, grants, active pledges and donor values of irrevocable deferred gifts and testamentary commitments. "N/A" is not applicable. 1 - "Pledges" are those in active status only. 2 - "Grants" are private grants only. 3 - Unit campaign working goals are still being finalized.

Job Number: 69073

January 2006

Campaign Progress by Constituency - Since July 2000



CAMPAIGN FUNDING THEME PROGRESS - JULY 2000 - PRESENT

THEME	CURRENT USE	ENDOWMENT	TOTAL
Student Support	\$75,540,870	\$141,349,395	\$216,890,265
Faculty Support	\$76,248,556	\$106,657,903	\$182,906,459
Program Support for Faculty and Students	\$716,304,616	\$103,906,189	\$820,210,804
Capital	\$256,293,067	\$1,678,269	\$257,971,336
Unrestricted	\$142,099,214	\$29,342,062	\$171,441,276
Total	\$1,266,486,323	\$382,933,817	\$1,649,420,140
Goal	\$1,600,000,000	\$400,000,000	\$2,000,000,000
% to Goal	79.2%	95.7%	82.5%

Source: UW Office of Development

This report shows contribution totals by campaign theme/priority since July 1, 2000.

Job Number: 69073
 January 2006

Campaign Theme Progress



DEVELOPMENT SUMMARY - TOTAL PRIVATE VOLUNTARY SUPPORT

AREA	YEAR TO DATE DONOR VALUES		
	GIFTS	PRIVATE GRANTS	TOTAL
UW Seattle			
UW Medicine	\$38,779,958	\$30,525,633	\$69,305,592
Architecture	\$2,724,627	\$2,179,148	\$4,903,775
Arts and Sciences	\$11,227,451	\$4,876,835	\$16,104,286
Broadcast Services	\$3,963,765		\$3,963,765
Business School	\$7,111,688	\$25,000	\$7,136,688
Dentistry	\$1,196,745	\$21,700	\$1,218,445
Education	\$1,215,303	\$414,877	\$1,630,180
Engineering	\$14,174,196	\$11,621,975	\$25,796,171
Evans Schl. of Pub. Affairs	\$557,677	\$3,650,033	\$4,207,710
Forest Resources	\$1,869,734	\$347,294	\$2,217,028
Graduate School	\$1,763,505	\$109,794	\$1,873,299
Information School	\$219,994	\$38,736	\$258,730
Intercollegiate Athletics	\$7,414,460		\$7,414,460
Law	\$35,370,617	\$20,698	\$35,391,315
Libraries	\$754,819	\$65,382	\$820,201
Nursing	\$1,318,020	\$660,763	\$1,978,783
Ocean and Fisheries	\$2,067,007	\$3,767,052	\$5,834,059
Pharmacy	\$1,179,490	\$191,606	\$1,371,097
President's Funds	\$492,797		\$492,797
Public Health	\$673,598	\$6,076,494	\$6,750,092
Scholar. & Student Progs.	\$4,949,097	\$66,456	\$5,015,553
Social Work	\$536,118	\$433,405	\$969,523
UW Alumni Association	\$556,473		\$556,473
University Press	\$249,692		\$249,692
University Support	\$6,122,541	\$927,832	\$7,050,373
Washington Tech. Center			
UW Bothell	\$73,459	\$700,000	\$773,459
UW Tacoma	\$1,567,898	\$4,990	\$1,572,888
All UW Total	\$148,130,742	\$66,725,705	\$214,856,446

Source: UW Office of Development

Contribution totals for the major Development areas of the University are shown.

Job Number: 69073

January 2006

Development Area Summary - Total Private Voluntary Support



DEVELOPMENT SUMMARY - GIFTS AND PRIVATE GRANTS

AREA	CURRENT MONTH		YEAR TO DATE		PRIOR YEAR TO DATE		PRIOR FISCAL YEAR	
	Donors	Value	Donors	Value	Donors	Value	Donors	Value
UW Seattle								
UW Medicine	2,761	\$8,576,245	12,792	\$69,305,592	13,113	\$52,311,648	18,807	\$89,363,526
Architecture	190	\$2,231,944	846	\$4,903,775	736	\$617,474	1,125	\$900,689
Arts and Sciences	1,752	\$1,107,741	11,703	\$16,104,286	11,225	\$15,955,556	15,435	\$25,765,062
Broadcast Services	493	\$746,977	2,086	\$3,963,765	1,872	\$4,333,524	3,217	\$8,301,726
Business School	357	\$572,992	3,179	\$7,136,688	3,086	\$8,689,269	4,296	\$12,344,544
Dentistry	129	\$60,129	975	\$1,218,445	918	\$926,898	1,270	\$1,418,395
Education	176	\$332,135	1,154	\$1,630,180	977	\$3,324,517	1,475	\$7,555,540
Engineering	427	\$2,827,403	3,168	\$25,796,171	2,748	\$17,295,271	4,024	\$31,841,841
Evans Schl. of Pub. Affairs	45	\$139,437	306	\$4,207,710	513	\$6,706,974	721	\$9,948,313
Forest Resources	145	\$294,002	730	\$2,217,028	627	\$916,429	1,023	\$2,002,612
Graduate School	38	\$6,101	277	\$1,873,299	279	\$2,177,980	535	\$4,065,408
Information School	60	\$13,169	467	\$258,730	434	\$331,273	569	\$400,100
Intercollegiate Athletics	1,917	\$1,662,883	5,815	\$7,414,460	5,644	\$7,008,759	23,274	\$15,865,980
Law	326	\$752,688	1,364	\$35,391,315	1,263	\$1,182,502	1,728	\$1,831,593
Libraries	184	\$31,049	1,227	\$820,201	1,250	\$663,165	4,105	\$1,030,319
Nursing	271	\$183,973	1,384	\$1,978,783	1,292	\$1,558,033	1,627	\$2,439,883
Ocean and Fisheries	149	\$490,395	525	\$5,834,059	484	\$6,918,560	679	\$9,870,610
Pharmacy	325	\$362,496	821	\$1,371,097	736	\$1,534,631	1,031	\$3,133,622
President's Funds	268	\$59,915	1,158	\$492,797	1,182	\$447,366	2,237	\$693,593
Public Health	100	\$479,521	416	\$6,750,092	369	\$6,075,255	639	\$10,248,558
Scholar. & Student Progs.	340	\$162,622	2,004	\$5,015,553	2,149	\$3,356,824	3,358	\$5,087,417
Social Work	236	\$132,192	551	\$969,523	431	\$869,485	788	\$1,456,040
UW Alumni Association	1,562	\$76,528	11,429	\$556,473	10,876	\$500,117	20,482	\$1,034,131
University Press	44	\$29,170	278	\$249,692	226	\$199,986	357	\$465,799
University Support	304	\$746,571	1,311	\$7,050,373	892	\$5,591,544	2,202	\$9,634,062
Washington Tech. Center								
UW Bothell	35	\$122,369	168	\$773,459	211	\$220,462	520	\$286,256
UW Tacoma	75	\$89,788	345	\$1,572,888	194	\$390,528	650	\$3,786,663
All UW Unique Total	11,617	\$22,290,448	56,911	\$214,856,446	54,909	\$150,104,044	95,227	\$260,772,296

Source: UW Office of Development

The number of donors and contribution totals for the major Development areas of the University are shown. Dollar values are based on donor values.

Job Number: 69073

January 2006

Development Area Summary - Gifts and Private Grants



DEVELOPMENT SUMMARY - GIFTS

AREA	CURRENT MONTH		YEAR TO DATE		PRIOR YEAR TO DATE		PRIOR FISCAL YEAR	
	Donors	Value	Donors	Value	Donors	Value	Donors	Value
UW Seattle								
UW Medicine	2,690	\$2,441,267	12,577	\$38,779,958	12,908	\$25,235,718	18,519	\$38,381,050
Architecture	189	\$76,946	843	\$2,724,627	735	\$335,108	1,123	\$518,323
Arts and Sciences	1,749	\$896,599	11,656	\$11,227,451	11,180	\$11,677,343	15,367	\$18,075,018
Broadcast Services	493	\$746,977	2,086	\$3,963,765	1,872	\$4,333,524	3,217	\$8,301,726
Business School	357	\$572,992	3,178	\$7,111,688	3,085	\$8,649,069	4,295	\$12,293,744
Dentistry	128	\$56,429	971	\$1,196,745	915	\$835,179	1,265	\$1,207,976
Education	174	\$212,358	1,150	\$1,215,303	974	\$1,348,720	1,468	\$1,809,231
Engineering	417	\$778,621	3,120	\$14,174,196	2,707	\$12,129,633	3,953	\$20,659,919
Evans Schl. of Pub. Affairs	44	\$7,311	294	\$557,677	496	\$349,639	699	\$544,233
Forest Resources	139	\$160,540	719	\$1,869,734	624	\$756,650	1,015	\$1,434,077
Graduate School	38	\$6,101	275	\$1,763,505	274	\$1,032,752	529	\$1,760,644
Information School	60	\$13,169	463	\$219,994	433	\$276,998	568	\$327,830
Intercollegiate Athletics	1,917	\$1,662,883	5,815	\$7,414,460	5,644	\$7,008,759	23,274	\$15,865,980
Law	326	\$752,688	1,363	\$35,370,617	1,261	\$1,157,502	1,725	\$1,686,596
Libraries	184	\$31,049	1,225	\$754,819	1,250	\$663,165	4,105	\$1,030,319
Nursing	268	\$66,119	1,372	\$1,318,020	1,283	\$931,260	1,616	\$1,569,608
Ocean and Fisheries	142	\$111,582	501	\$2,067,007	470	\$1,909,900	654	\$2,060,947
Pharmacy	325	\$362,496	818	\$1,179,490	732	\$1,281,029	1,024	\$2,155,260
President's Funds	268	\$59,915	1,158	\$492,797	1,182	\$447,366	2,237	\$693,593
Public Health	94	\$20,673	382	\$673,598	346	\$876,370	596	\$951,832
Scholar. & Student Progs.	340	\$162,622	2,002	\$4,949,097	2,149	\$3,356,824	3,357	\$4,964,114
Social Work	235	\$52,192	545	\$536,118	422	\$467,071	775	\$578,123
UW Alumni Association	1,562	\$76,528	11,429	\$556,473	10,876	\$500,117	20,482	\$1,034,131
University Press	44	\$29,170	278	\$249,692	226	\$199,986	357	\$465,799
University Support	303	\$734,093	1,307	\$6,122,541	891	\$5,589,756	2,199	\$9,529,319
Washington Tech. Center								
UW Bothell	35	\$22,369	167	\$73,459	211	\$220,462	520	\$286,256
UW Tacoma	75	\$89,788	344	\$1,567,898	193	\$388,128	649	\$3,784,263
All UW Unique Total	11,515	\$10,203,490	56,548	\$148,130,742	54,572	\$91,958,042	94,742	\$151,969,925

Source: UW Office of Development

The number of donors and contribution totals (gifts only) for the major Development areas of the University are shown. Dollar values are based on donor values.

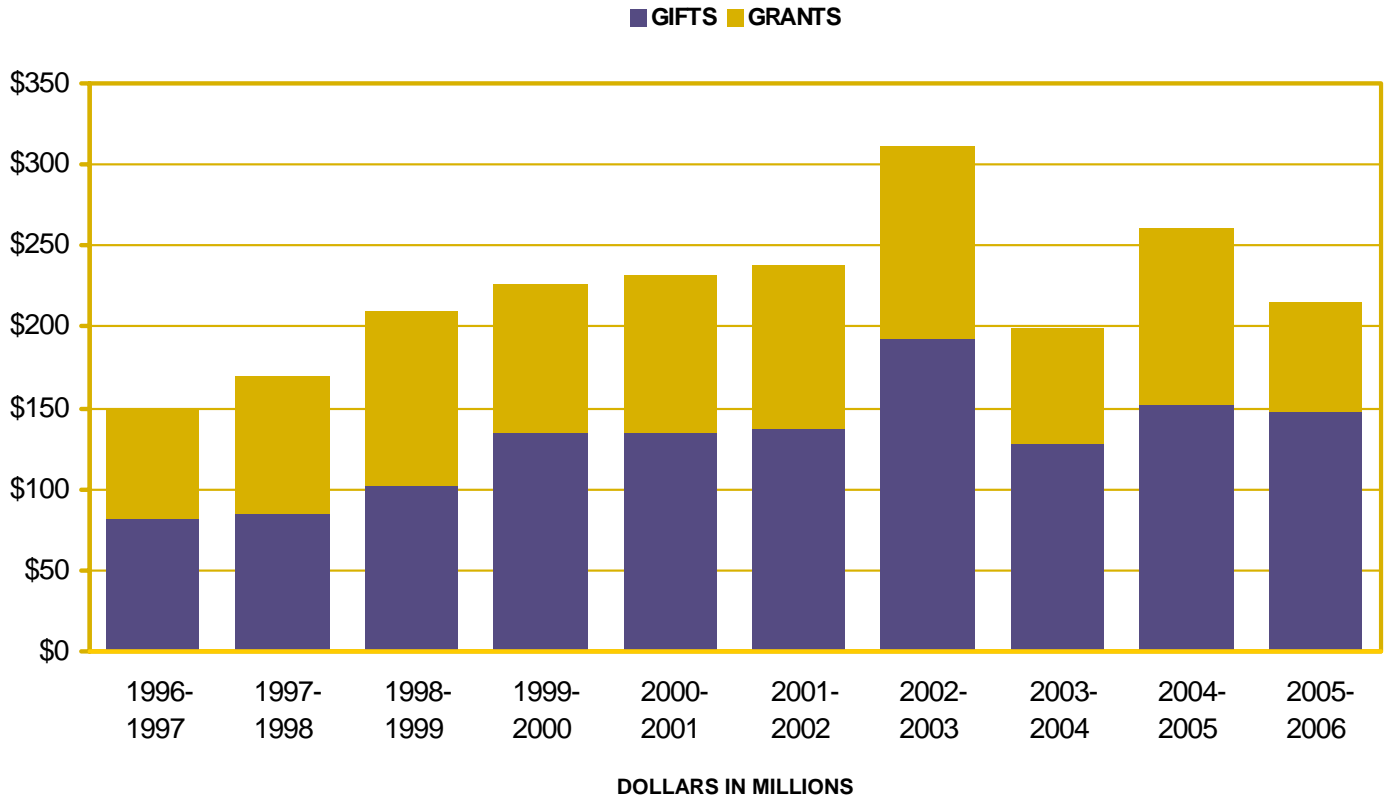
Job Number: 69073

January 2006

Development Area Summary - Gifts



COMPLETE FISCAL YEAR COMPARISON OF TOTAL CONTRIBUTIONS RECEIVED



FISCAL YEAR	GIFTS	GRANTS	TOTAL CONTRIBUTIONS
2005- 2006	\$148,130,742	\$66,725,705	\$214,856,446
2004- 2005	\$151,969,925	\$108,802,371	\$260,772,296
2003- 2004	\$128,174,367	\$71,603,323	\$199,777,690
2002- 2003	\$192,573,183	\$118,677,722	\$311,250,905
2001- 2002	\$137,959,340	\$100,820,547	\$238,779,887
2000- 2001	\$134,805,190	\$97,112,979	\$231,918,169
1999- 2000	\$134,037,997	\$91,536,165	\$225,574,162
1998- 1999	\$102,925,077	\$107,619,586	\$210,544,663
1997- 1998	\$84,718,016	\$85,276,615	\$169,994,631
1996- 1997	\$81,892,963	\$67,425,874	\$149,318,837

Source: UW Office of Development

This graph compares the current fiscal year's contribution totals to each of the previous nine fiscal year's contribution totals.

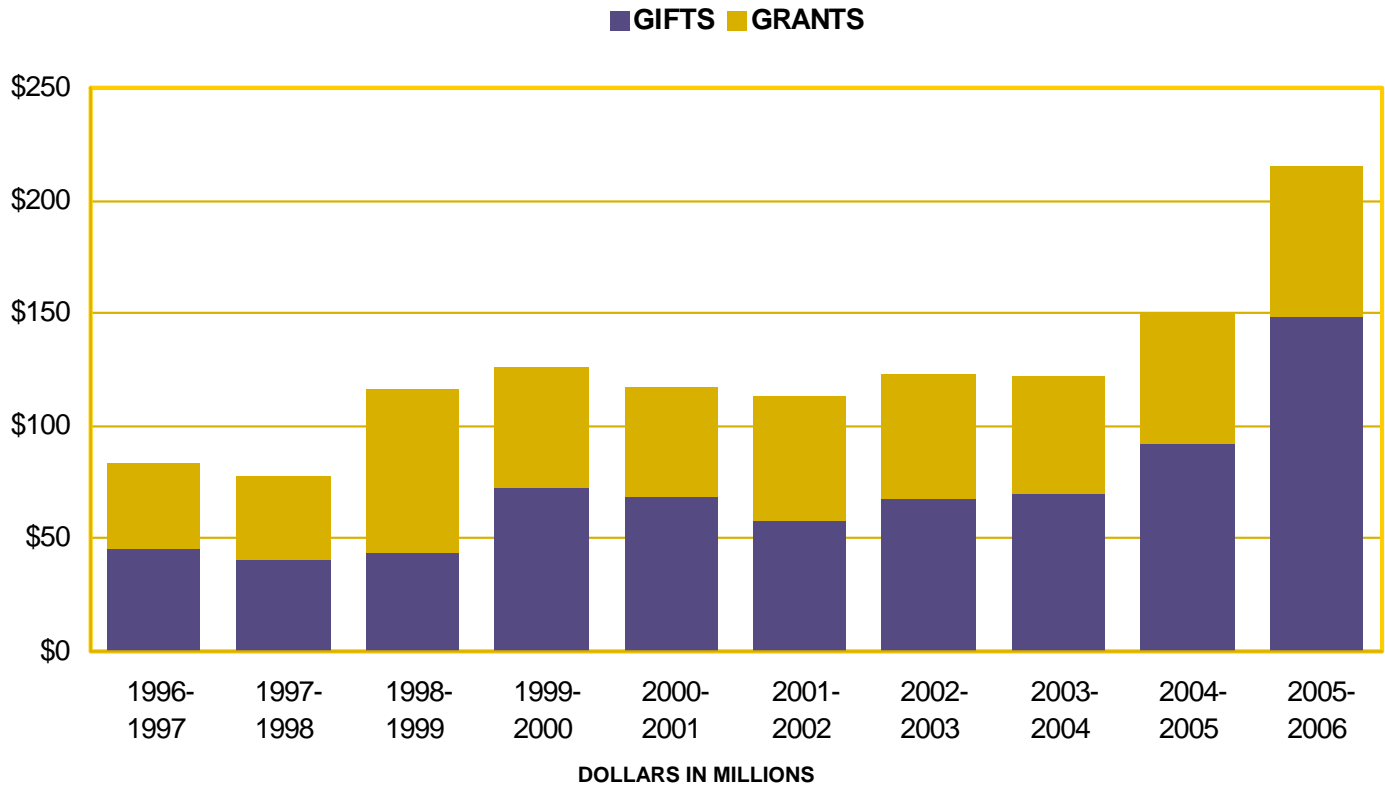
Job Number: 69073

January 2006

Fiscal Year Totals Graph



YEAR TO DATE CONTRIBUTION TOTALS



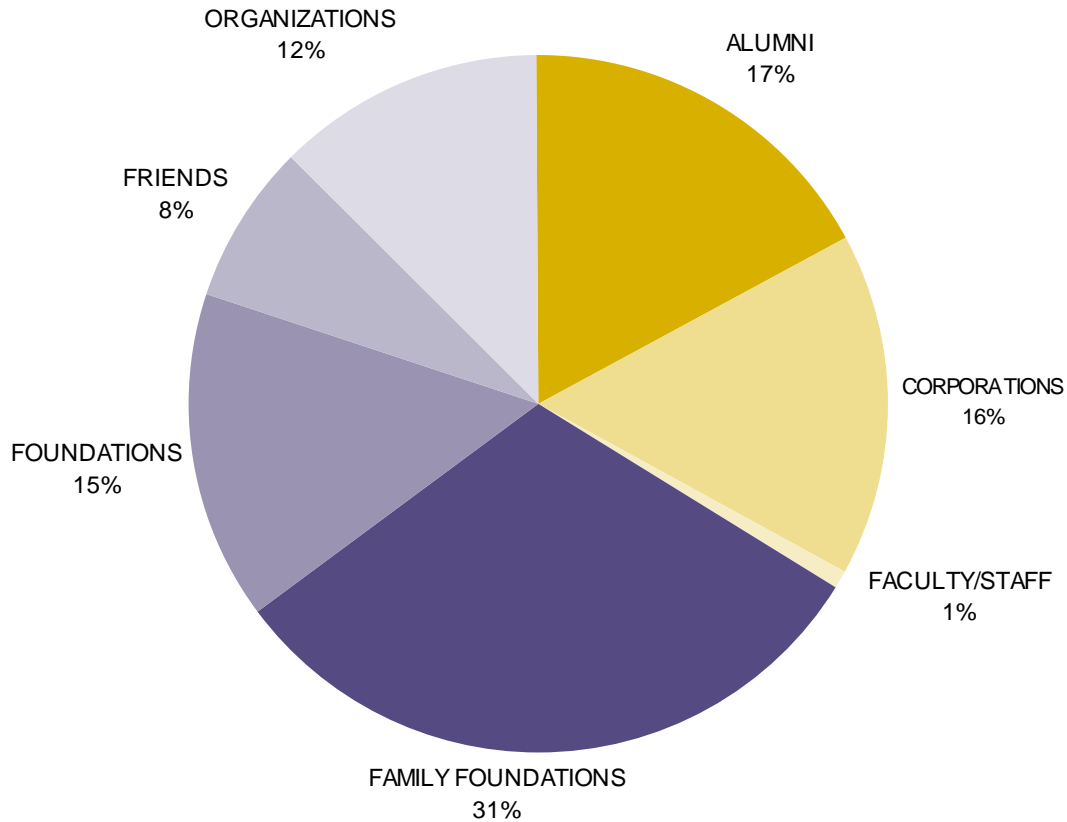
FISCAL YEAR	GIFTS	GRANTS	TOTAL CONTRIBUTIONS
2005- 2006	\$148,130,742	\$66,725,705	\$214,856,446
2004- 2005	\$91,958,042	\$58,146,002	\$150,104,044
2003- 2004	\$69,490,009	\$52,323,959	\$121,813,968
2002- 2003	\$67,592,542	\$55,378,469	\$122,971,011
2001- 2002	\$58,505,478	\$54,904,965	\$113,410,444
2000- 2001	\$69,131,941	\$47,895,964	\$117,027,905
1999- 2000	\$72,873,077	\$52,757,080	\$125,630,157
1998- 1999	\$44,000,235	\$72,625,814	\$116,626,049
1997- 1998	\$41,043,163	\$36,782,437	\$77,825,600
1996- 1997	\$45,188,261	\$37,721,781	\$82,910,042

Source: UW Office of Development

This graph compares the current fiscal year's contribution totals to each of the previous nine fiscal year's contribution totals.



DEVELOPMENT ACTIVITY BY DONOR TYPE IN CURRENT FISCAL YEAR



DONOR TYPE	YEAR TO DATE		PRIOR YEAR TO DATE		PRIOR FISCAL YEAR	
	Donors	Value	Donors	Value	Donors	Value
Alumni	32,348	\$37,118,754	30,670	\$29,913,399	49,406	\$45,066,818
Corporations	2,047	\$33,824,856	2,051	\$31,955,832	3,775	\$61,273,024
Faculty/Staff	2,659	\$2,018,119	2,686	\$2,461,562	3,656	\$4,572,200
Family Foundations	141	\$66,364,377	134	\$12,509,171	176	\$20,706,692
Foundations	318	\$32,551,025	275	\$30,651,638	398	\$60,401,770
Friends	19,131	\$16,412,783	18,813	\$18,506,956	37,429	\$28,361,471
Organizations	352	\$26,566,534	344	\$24,105,486	553	\$40,390,320

Source: UW Office of Development

This graph shows the sources of contributions for the current year to date. Dollar values are based on donor value.

Job Number: 69073
 January 2006

Development Activity by Donor Type in Current Fiscal Year Chart



ALUMNI PARTICIPATION BY CONSTITUENCY

AREA	CURRENT FISCAL YEAR TO DATE			PREVIOUS FISCAL YEAR TO DATE			
	Solicitable	Donors	Partic. Rate	Solicitable	Donors	Partic. Rate	Final %
UW Seattle							
UW Medicine	16,049	2,230	13.9%	15,842	2,293	14.5%	21.5%
Architecture	7,393	945	12.8%	7,192	829	11.5%	18.5%
Arts and Sciences	126,129	13,552	10.7%	121,216	12,661	10.4%	16.7%
Business School	34,923	4,773	13.7%	34,015	4,607	13.5%	22.4%
Dentistry	4,388	869	19.8%	4,301	803	18.7%	29.0%
Education	18,434	2,286	12.4%	18,677	2,190	11.7%	20.0%
Engineering	30,120	3,326	11.0%	29,399	3,111	10.6%	17.0%
Evans School of Public Affairs	1,964	270	13.7%	1,872	260	13.9%	24.4%
Forest Resources	4,446	521	11.7%	4,382	490	11.2%	17.7%
Interdisc. Grad. Programs	1,296	144	11.1%	1,161	128	11.0%	20.3%
Interdisc. Undergrad. Programs	119	7	5.9%	67	5	7.5%	17.9%
Interschool Programs	286	30	10.5%	241	29	12.0%	17.4%
Information School	3,976	653	16.4%	3,786	610	16.1%	22.7%
Law	7,320	1,253	17.1%	7,070	1,150	16.3%	24.8%
School of Nursing	8,181	1,401	17.1%	7,976	1,379	17.3%	24.5%
Ocean & Fisheries	3,642	455	12.5%	3,560	435	12.2%	18.7%
Pharmacy	3,255	619	19.0%	3,147	596	18.9%	30.4%
Public Health	3,766	460	12.2%	3,139	428	13.6%	22.7%
Social Work	5,804	660	11.4%	5,707	564	9.9%	17.4%
UW Bothell	4,324	276	6.4%	3,580	261	7.3%	13.7%
UW Tacoma	4,892	254	5.2%	4,016	210	5.2%	13.2%
Unspecified	11,097	1,564	14.1%	11,614	1,664	14.3%	24.0%
All UW Total	276,793	32,348	11.7%	67,179	30,669	11.5%	18.5%

Source: UW Office of Development

Job Number: 69073
January 2006

Alumni Participation



VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Grant and Contract Awards – December, 2005

RECOMMENDED ACTION:

It is the recommendation of the administration and the Finance and Audit Committee that the Board of Regents accept the Grant and Contract Awards as presented on the attached list.

Attachment: Grant and Contract Awards Summary
Report of Grant and Contract Awards of
\$1,000,000 or More

Grant and Contract Awards Summary

to

The Board of Regents

of the

University of Washington

for

December 2005

Office of Research

Office of Sponsored Programs

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DECEMBER HIGHLIGHTS

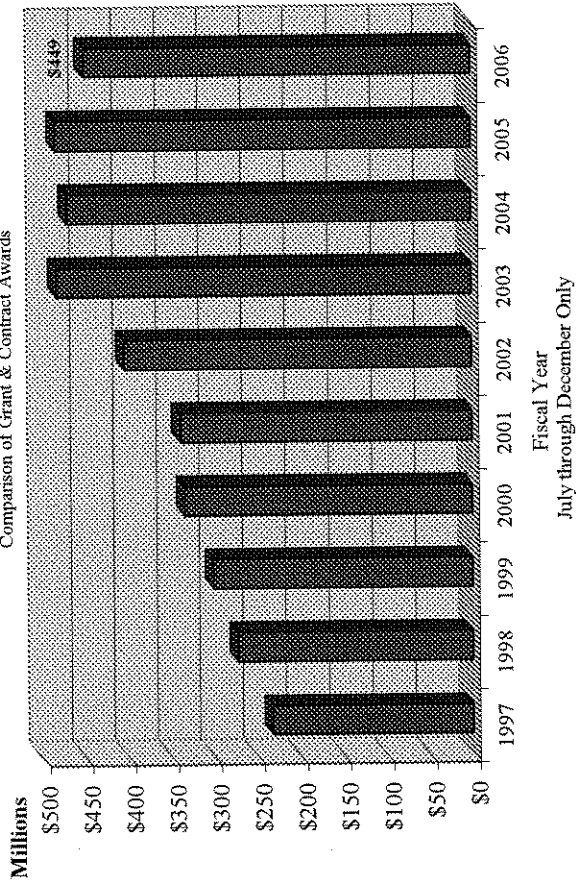
The Washington State Department of Natural Resources awarded a grant in the amount of \$800,000 for a project under the direction of *Professor Robert L. Edmunds of the College of Forest Resources* entitled “Future of Washington Forests And Forest Industries.” The purpose of this project is to conduct several studies in response to the State Legislature’s request for a report on the future of Washington’s forests. The investigators will examine the economic, recreational and environmental trends influencing forest land owners, the forest products industry and secondary manufacturing sectors in Washington State. The research efforts will provide analyses to the recommendations for policies and programs and provide assessments relating to the scope of work as promulgated by the Washington State Legislature.

The Gordon and Betty Moore Foundation awarded a grant in the amount of \$2,430,555 for a project under the direction of *Associate Professor Daniel E. Schindler of the School of Aquatic & Fishery Sciences* entitled “Synthetic Analysis of Long-Term Changes In Sockeye Salmon Abundance Across The North Pacific.” The development of a Pacific-wide conservation plan for salmon and management of sustainable fisheries for these species is hampered by a poor understanding of how salmon populations respond to climate change. The purpose of this study is to provide an historical account of how salmon populations have responded to climate change throughout the North Pacific Ocean during the last few centuries and determine whether the entire ecosystem is organized in discrete spatial domains that exhibit coherent responses to climate change. This knowledge will be directly applicable to developing an extensive pan-Pacific network of sites for conserving salmon across their entire range in the future.

Nanyang Technological University awarded a grant in the amount of \$1,570,866 for a project under the direction of *Professor Yongmin Kim of the Bioengineering Department* entitled “Singapore- University of Washington Research Alliance.” These funds provide for the forth year of a five year program between the University of Washington and Nanyang Technological University in Singapore. Bioengineering is the interface between the life sciences and engineering. Bioengineers are well-suited to provide the theoretical underpinnings of 21st century biology and medicine and effectively to bring the results of research in the life sciences to practical applications. Exchanges of students and faculty between the two partners engaged in this program as well as frequent meetings and conferences will be of mutual benefit and will enhance the general level of Bioengineering.

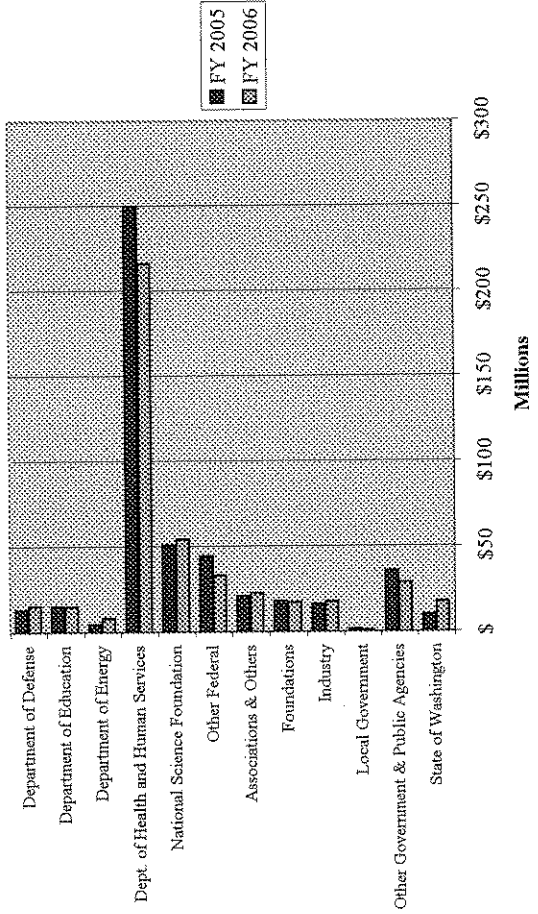
YEAR-TO-DATE

Comparison of Grant & Contract Awards



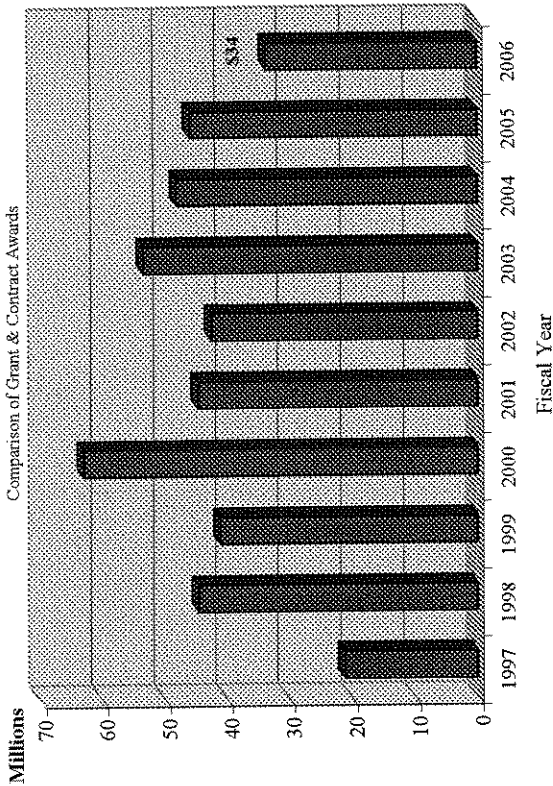
JULY THROUGH DECEMBER

Two Year Comparison of Grant & Contract Awards



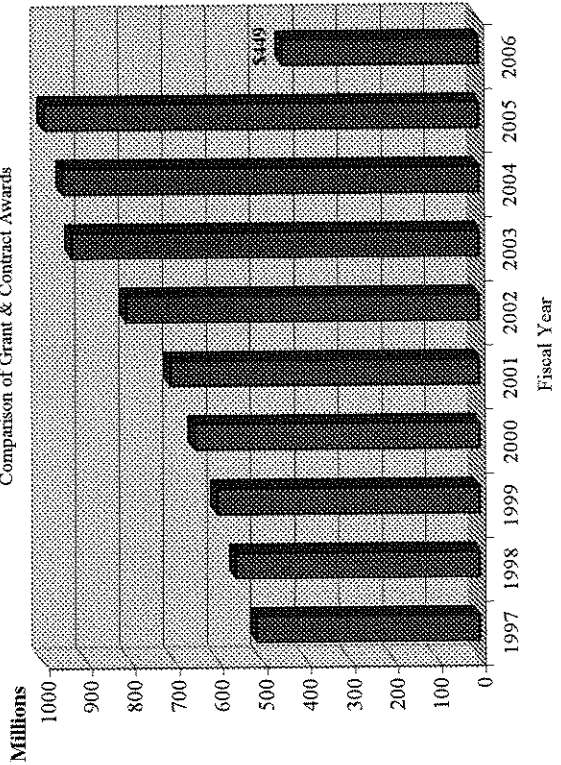
DECEMBER ONLY

Comparison of Grant & Contract Awards



COMPLETE FISCAL YEAR

Comparison of Grant & Contract Awards



Summary of Grant and Contract Awards
Fiscal Year 2005-2006

Month	RESEARCH		TRAINING		Total
	Federal	Non-Federal	Federal	Non-Federal	Grants and Contracts
July	\$66,463,818	\$6,444,105	\$7,164,851	\$1,418,430	\$81,491,204
August	\$82,136,975	\$15,186,181	\$6,605,300	\$6,661,367	\$110,589,823
September	\$66,526,770	\$14,825,079	\$15,124,518	\$3,290,200	\$99,766,567
October	\$53,095,542	\$15,762,787	\$6,572,165	\$3,939,508	\$79,370,002
November	\$16,529,650	\$13,396,926	\$11,726,933	\$2,978,338	\$44,631,847
December	\$10,952,769	\$17,576,240	\$493,868	\$4,542,319	\$33,565,196
January					
February					
March					
April					
May					
June					
Current Year to Date	\$295,705,524	\$83,191,317	\$47,687,635	\$22,830,162	\$449,414,638
Previous Year to Date	\$321,682,292	\$85,502,003	\$57,641,510	\$18,163,510	\$482,989,315
Over (Under) Previous Year	(\$25,976,768)	(\$2,310,686)	(\$9,953,875)	\$4,666,652	(\$33,574,677)

Assuming acceptance of all awards by the Board of Regents

Comparison of Grant and Contract Awards by Agency
Fiscal Years 2004-2005 and 2005-2006

Agency	July-Dec FY05	July-Dec FY06
Department of Defense	\$13,108,371	\$15,689,011
Department of Education	\$15,493,924	\$15,123,735
Department of Energy	\$4,945,052	\$8,482,312
Dept. of Health and Human Services	\$249,929,024	\$216,398,929
National Science Foundation	\$51,123,358	\$54,362,832
Other Federal	\$44,724,073	\$33,336,340
Subtotal for Federal:	\$379,323,802	\$343,393,159
Associations /Others	\$21,247,619	\$22,918,858
Foundations	\$18,004,871	\$17,369,204
Industry	\$16,411,185	\$17,643,458
Local Government	\$1,758,116	\$970,085
Other Government and Public Agencies	\$36,128,289	\$29,336,189
State of Washington	\$10,115,433	\$17,783,685
Subtotal for Non-Federal:	\$103,665,513	\$106,021,479
Grand Total :	\$482,989,315	\$449,414,638
Amount of Increase (Decrease):		(\$33,574,677)
Percent of Increase (Decrease):		-7.0%

Assuming acceptance of all awards by the Board of Regents

Comparison of Grant and Contract Awards by School/College
Fiscal Years 2004-2005 and 2005-2006

School/College	July-Dec FY05	July-Dec FY06
Upper Campus		
Architecture and Urban Planning	\$301,897	\$1,738,235
Arts and Science	\$35,557,073	\$35,780,885
Business Administration	\$396,250	\$235,800
Education	\$9,444,975	\$8,963,629
Engineering	\$41,016,608	\$51,434,696
Evans School of Public Affairs	\$5,680,684	\$2,415,905
Forest Resources	\$3,308,599	\$4,674,842
Graduate School	\$944,384	\$4,488,098
Information School	\$1,103,769	\$1,120,258
Law	\$2,101,778	\$312,565
Ocean and Fishery Sciences	\$35,109,936	\$36,960,487
Office of Research	\$15,916,481	\$16,300,393
Social Work	\$11,969,910	\$6,256,489
Undergraduate Education	\$141,228	\$117,165
Subtotal :	\$162,993,572	\$170,799,447
Health Sciences		
Dentistry	\$5,655,318	\$5,638,655
Medicine	\$217,182,556	\$196,742,020
Nursing	\$8,004,486	\$7,899,828
Pharmacy	\$6,509,700	\$4,732,960
Public Health and Community Medicine	\$53,300,747	\$42,059,557
Subtotal :	\$290,652,807	\$257,073,021
Special Programs		
Health Sciences Special Programs	\$17,957,030	\$11,525,252
Other Special Programs	\$9,661,025	\$7,289,293
Subtotal :	\$27,618,055	\$18,814,545
Branch Campuses		
Branch Campuses (Bothell)	\$1,557,813	\$1,413,636
Branch Campuses (Tacoma)	\$167,068	\$567,829
Subtotal :	\$1,724,881	\$1,981,465
Grand Total :	\$482,989,315	\$448,668,478

Assuming acceptance of all awards by the Board of Regents

List of Awards for Arts and Sciences

Department	July-Dec FY05	July-Dec FY06
Center for Statistics and the Social Sciences	\$296,482	\$149,211
Center for Studies in Demography and Ecology	\$506,705	\$347,671
College of Arts and Sciences	\$1,150,692	\$0
Department of Anthropology	\$283,826	\$1,791,196
Department of Applied Mathematics	\$456,546	\$916,229
Department of Asian Languages and Literature	\$10,000	\$140,000
Department of Astronomy	\$849,250	\$994,639
Department of Atmospheric Sciences	\$2,637,245	\$3,712,030
Department of Biology	\$2,626,563	\$4,585,580
Department of Chemistry	\$9,028,105	\$5,323,128
Department of Classics	\$0	\$30,000
Department of Communication	\$889,500	\$1,124,550
Department of Earth and Space Sciences	\$4,115,457	\$2,822,852
Department of Economics	\$0	\$7,250
Department of Geography	\$311,978	\$0
Department of Germanics	\$36,000	\$36,000
Department of History	\$40,000	\$354,000
Department of Mathematics	\$3,120,227	\$764,804
Department of Near Eastern Languages & Civilization	\$2,000	\$0
Department of Physics	\$2,868,259	\$2,074,146
Department of Political Science	\$0	\$120,479
Department of Psychology	\$3,375,554	\$5,162,021
Department of Scandinavian Languages and Literature	\$27,273	\$0
Department of Speech and Hearing Sciences	\$137,545	\$508,138
Department of Statistics	\$719,209	\$774,930
Halbert Robinson Center for the Study of Capable Y	\$0	\$500
Henry M. Jackson School of International Studies	\$1,308,470	\$1,039,520
Institute for Nuclear Theory	\$195,000	\$2,373,000
Language Learning Center	\$0	\$20,274
Public Performing Arts	\$0	\$76,400
Sociology	\$22,718	\$404,127
Thomas Burke Memorial Washington State Museum	\$542,469	\$128,210
	<hr/>	<hr/>
	\$35,557,073	\$35,780,885

Assuming acceptance of all awards by the Board of Regents

Summary of Grant Awards

Fiscal Year 2005-2006

(Excluding Private Awards from Foundations, Industry, Associations and Other)

Month	RESEARCH		TRAINING		Total Grants
	Federal	Non-Federal	Federal	Non-Federal	
July	\$63,379,387	\$2,308,194	\$7,159,851	\$368,324	\$73,215,756
August	\$81,212,598	\$5,167,397	\$6,185,083	\$1,116,369	\$93,681,447
September	\$64,172,453	\$4,008,427	\$14,974,336	\$859,951	\$84,015,167
October	\$47,210,635	\$4,970,887	\$5,793,886	\$1,715,466	\$59,690,874
November	\$13,731,096	\$5,729,102	\$10,643,700	\$435,777	\$30,539,675
December	\$10,222,521	\$5,368,829	\$414,736	\$1,628,842	\$17,634,928
January					
February					
March					
April					
May					
June					
Year to Date	\$279,928,690	\$27,552,836	\$45,171,592	\$6,124,729	\$358,777,847

Assuming acceptance of all awards by the Board of Regents

Summary of Grant Awards

Fiscal Year 2005-2006

(Private Awards from Foundations, Industry, Associations and Other)

Month	RESEARCH	TRAINING	Total Grants
July	\$2,778,247	\$341,543	\$3,119,790
August	\$6,311,912	\$2,075,600	\$8,387,512
September	\$6,422,372	\$2,206,643	\$8,629,015
October	\$6,012,089	\$1,082,512	\$7,094,601
November	\$5,856,127	\$2,115,378	\$7,971,505
December	\$9,635,034	\$2,757,138	\$12,392,172
January			
February			
March			
April			
May			
June			
Year to Date	\$37,015,780	\$10,578,814	\$47,594,594

Assuming acceptance of all awards by the Board of Regents

Summary of Contract Awards

Fiscal Year 2005-2006

Month	RESEARCH		TRAINING		Total Contracts
	Federal	Non-Federal	Federal	Non-Federal	
July	\$3,084,431	\$1,357,664	\$5,000	\$708,563	\$5,155,658
August	\$924,377	\$3,706,872	\$420,217	\$3,469,398	\$8,520,864
September	\$2,354,317	\$4,394,280	\$150,182	\$223,606	\$7,122,385
October	\$5,884,907	\$4,779,812	\$778,279	\$1,141,530	\$12,584,528
November	\$2,798,554	\$1,811,697	\$1,083,233	\$427,183	\$6,120,667
December	\$730,248	\$2,572,377	\$79,132	\$156,339	\$3,538,096
January					
February					
March					
April					
May					
June					
Year to Date	\$15,776,834	\$18,622,701	\$2,516,043	\$6,126,619	\$43,042,197

Assuming acceptance of all awards by the Board of Regents

**Report of Grant and Contract Awards
Of \$1,000,000 or More**

December 2005

**Requiring Action of
The Board of Regents**

**of the
University of Washington**

**Office of Research
Office of Sponsored Programs**

Table of Contents

	Page
Detail of Grant Awards - Excluding Private Grants	2
Detail of Private Grants	3

Detail of Grant Awards (Excluding Private Awards) for
December 2005

NON-FEDERAL

OTHER GOVERNMENT & PUBLIC AGENCIES

Nanyang Technological University

To: YONGMIN KIM, PROFESSOR \$1,570,866
DEPARTMENT OF BIOENGINEERING
For: SINGAPORE UNIVERSITY OF WASHINGTON RESEARCH ALLIANCE

Eff: 7/1/2002

Other Government & Public Agencies Subtotal:

\$1,570,866

Total for Non-Federal:

\$1,570,866

Detail of Private Grant Awards for
December 2005

PRIVATE

FOUNDATIONS

Dart Foundation

To: ROGER A. SIMPSON, ASSOCIATE PROFESSOR \$1,100,000
DEPARTMENT OF COMMUNICATION
For: DART CENTER FOR JOURNALISM AND TRAUMA

Eff: 1/1/2000

Gordon and Betty Moore Foundation

To: DANIEL E. SCHINDLER, ASSOCIATE PROFESSOR \$2,430,555
SCHOOL OF AQUATIC AND FISHERY SCIENCES
For: SYNTHETIC ANALYSIS OF LONG-TERM CHANGES IN SOCKEYE SALMON ABUNDANCE ACROSS THE
NORTH PACIFIC

Eff: 11/15/2005

Foundations Subtotal:

\$3,530,555

Total for Private:

\$3,530,555

Total Awards \$1,000,000 or Greater:

\$5,101,421

VII. STANDING COMMITTEE

B. Finance, Audit and Facilities Committee

The F-3 items was pulled from the agenda.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Report of Actions Taken Under Delegated Authority

Pursuant to the Standing Orders of the Board of Regents, Delegation of Authority, and to the delegation of authority from the President of the University to the Executive Vice President in Executive Order No. 1, to take action for projects or contracts that exceed \$1,000,000 in value or cost but are less than \$5,000,000, the Administration may approve and execute all instruments.

**Drumheller Fountain Infrastructure Upgrades, Project No. 10564
Action Reported: Engineer Appointment and Establish Project Budget**

On November 2, 2005, an agreement for engineering services was awarded to Black & Veatch for the Drumheller Fountain Infrastructure Upgrades project. Initially the project was thought to be less than \$1 million; therefore, was not reported at the time of the appointment. Based on the pre-design study, the project budget was established at \$1,143,180.

Black & Veatch is a global engineering firm offering comprehensive services in water resources, water supply, and water reclamation and reuse among other areas of water and environmental study. The offices in Seattle and Portland have 100 employees combined and have provided services to the City of Seattle and Seattle Public Utilities on local reservoirs. They have over 90 offices worldwide.

The project scope is to line the fountain basin, fill the surrounding moat with controlled density fill (CDF), and replace and reconfigure the fountain piping. A hypalon liner in a custom color was selected, instead of a more typical polypropylene liner, to maintain the pond’s current appearance. Seattle Public Utilities has also required that the UW add a meter to the drain line.

The value of the design contract is \$120,000. The budget for consultant services is \$174,522. The balance of the design budget is intended for hazardous materials design, commissioning, and miscellaneous services as well as the completed pre-designed study.

The budget for the project has been established at \$1,143,180. The project will be funded from 03-05 and 05-07 Building Renewal funds.

Budget Summary:	Current Approved Budget	Forecast/Actual
Total Consultant Svcs	\$174,522	\$174,522
Total Construction Cost	\$833,267	\$833,267
Other Costs	\$40,586	\$40,586
Project Administration	\$94,805	\$94,805
Total Project Budget	\$1,143,180	\$1,143,180

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Report of Actions Taken Under Delegated Authority (continued p. 2)

UWMC-MRI-3T Replacement, Project No. 200652

Action Reported: Contract Award

On January 25, 2006, bids were received for the UWMC MRI-3T project. Two bids were received and Kirtley Cole was the low bidder at \$1,400,000. The construction contract was awarded mid-February. Kirtley Cole has had extensive experience in local medical construction, including a current project for the UW Medical Center.

The project scope includes replacing the existing 1.5 T MRI with a new 3T MRI on the second level of the UWMC. In addition, the scope includes renovation of approximately 2,900 square feet of old surgery gallery, offices and equipment rooms into more efficient preparation and support areas for the MRI and CT scanners.

A conditional Notice to Proceed was given to the contractor on March 6, 2006. Field construction will begin on April 24, 2006. The project is expected to achieve Substantial Completion on August 7, 2006.

The approved budget was \$2,243,400. The project forecasted cost at completion was revised to \$2,373,387 after bids were received. Funding is available from UWMC Patient Revenue.

Budget Summary:	Current Approved Budget	Forecast Cost at Completion
Total Consultant Svcs	\$ 313,889	\$ 355,797
Total Construction Cost	\$ 1,756,798	\$ 1,828,940
Other Costs	\$ 21,364	\$ 12,061
Project Administration	\$ 151,349	\$ 176,588
Total Project Budget	\$ 2,243,400	\$ 2,373,387

Bagley Hall 2nd Floor Undergraduate Instructional Laboratories, Project No. 200366

Action Reported: Appoint Architect and Establish Project Budget

On February 9, 2006, an agreement for architectural services was awarded to Stock & Associates for the Bagley Hall 2nd Floor Undergraduate Instructional Laboratories project. Based upon the pre-design study completed in January 2006, the project budget was established at \$2,600,000.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Report of Actions Taken Under Delegated Authority (continued p. 3)

In October 2005, Stock & Associates was awarded a professional services agreement to conduct a pre-design study for this project under their existing Master Architectural Agreement for Bagley Hall, which was reported to the Board of Regents in November, 2005. During the pre-design study, it was thought that adding this project to their other work might exceed the limit for total aggregate project costs under Stock & Associates' Master Agreement for Architectural Services (\$10 million total project value), so a separate advertisement and selection process was initiated.

On December 8, 2005, the Capital Projects Office advertised for firms interested in providing architectural services for this project. Three firms applied, Stock & Associates, Ambia, and Hoshide Williams Architects. All three firms were interviewed on January 24, 2006. At the conclusion of the interviews, an agreement to provide architectural design services was negotiated with Stock & Associates, separate from their Master Architectural Agreement. The value of the design contract is \$190,608. The budget for consultant services is \$339,336. The balance of the design budget is intended for hazardous materials design, commissioning, and miscellaneous services as well as the completed pre-design study.

The purpose of this project is to renovate one of four existing Department of Chemistry undergraduate instructional laboratories. Since the building was constructed in 1937, two of these laboratories have been minimally altered and none have been renovated. The existing layout does not support the pedagogy of modern chemistry instruction. This project will completely renovate Bagley room 290 to provide two studio-laboratory spaces, each with laboratory benches for twenty-four students and separated by a common instrument room. The project includes the renovation of three support rooms associated with the teaching laboratories and the Bagley Hall 2nd floor corridors.

Design began in February 2005, construction documents will be completed in October 2006, construction will begin in January 2007, and occupancy will occur in September 2007. The project budget has been established at \$2,600,000 based on the pre-design results.

Funding for the project is provided from Central funds.

Budget Summary:	Current Approved Budget	Forecast Cost At Completion
Total Consultant Services	\$339,336	\$339,336
Total Construction Cost	\$1,986,532	\$1,986,532
Other Costs	\$78,633	\$78,633
Project Administration	\$195,499	\$195,499
Total Project Budget	\$2,600,000	\$2,600,000

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Report of Actions Taken Under Delegated Authority (continued p. 4)

Bagley Hall 4th Floor Research Lab Alterations, Project No. 200376
Action Reported: Establish Project Budget

In January 2006, Stock & Associates completed a pre-design study for the Bagley Hall 4th Floor Research Lab Alterations project. Based upon the study completed in January 2006 the project budget was established at \$3,000,000. The appointment of Stock & Associates was made in October 2005, under their Master Architectural Agreement for Bagley Hall and reported to the Board of Regents in November 2005.

At the conclusion of the pre-design phase, an agreement to provide architectural design services, under the Master Agreement for Bagley Hall, was negotiated with Stock & Associates. The value of the design contract is \$224,688. The budget for consultant services is \$380,810. The balance of the design budget is intended for hazardous materials design, commissioning, and miscellaneous services as well as the completed pre-design study.

This project includes renovation of three laboratory suites and one office suite being vacated by the Department of Bioengineering, when it moves to the Foege Building. The project will provide additional space for photonics research, to enable the Department of Chemistry to maintain its teaching and research programs, and to facilitate securing grants to support the graduate program. The number of fume hoods will be increased from 11 to 20 in each of two laboratories, along with minor modifications to support the new hoods. The third lab will become two rooms, formed by combining four existing offices and labs and providing the associated finishes, casework, sinks, 4 fume hoods, and infrastructure. Additional heating ventilation and air conditioning equipment will be provided to increase capacity and improve environmental control to all of the spaces being renovated. Electrical, communications, and fire safety systems will also be modified to support the new layouts.

Design began in February 2005, construction documents will be completed in October 2006, construction will begin in January 2007, and occupancy will occur in September 2007.

Funding for the project is composed of \$2,000,000 from Central funds and \$1,000,000 from the College of Arts and Sciences.

Budget Summary:	Current Approved Budget	Forecast Cost At Completion
Total Consultant Services	\$380,810	\$380,810
Total Construction Cost	\$2,307,603	\$2,307,603
Other Costs	\$91,042	\$91,042
Project Administration	\$220,545	\$220,545
Total Project Budget	\$3,000,000	\$3,000,000

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Report of Actions Taken Under Delegated Authority (continued p. 5)

UWMC NW279 PET/CT INSTALLATION, Project No. 10409

Action Reported: Budget Increase

On March 23, 2005, a construction contract was awarded to Western Ventures, in the amount of \$968,800.00, for the UWMC NW279 PET/CT Installation project. The project budget at time of construction contract award in March 2005 was \$1,589,184. The current forecasted project cost is \$1,768,792.

The project provides a second PET/CT Scanner adjacent to the existing PET scanner in the Nuclear Medicine Department of the UW Medical Center. The project also includes renovation of portions of the existing department to improve patient flow and privacy, to provide a state-of-the-art imaging read room, and to separate and upgrade the department administrative and support functions. The total scope covers approximately 4,400 square feet.

Construction began in April 2005, with an original construction completion of October 2005. This project is in occupied patient care areas which made investigation of existing conditions, prior to construction, difficult. During construction an unusual number of unknown conditions were discovered, primarily of mechanical/plumbing nature, that affected project phasing, and increased the schedule duration, resulting in increased cost. The project budget included \$194,000 for construction changes; the cost is forecast at approximately \$360,000. The project achieved substantial completion in December 2005.

The current forecasted project cost is \$1,768,792. Funding is available from UWMC Patient Revenue.

Budget Summary:	Current Approved Budget	Forecast Cost At Completion
Total Consultant Svcs	\$ 225,743	\$ 275,827
Total Construction Cost	\$ 1,217,739	\$ 1,342,961
Other Costs	\$ 13,727	\$ 17,859
Project Administration	\$ 131,975	\$ 132,145
Total Project Budget	\$ 1,589,184	\$ 1,768,792

UNIVERSITY OF WASHINGTON

INVESTMENT PERFORMANCE REPORT

SECOND QUARTER FISCAL YEAR 2006

As of December 31, 2005



TREASURER, BOARD OF REGENTS
TREASURY OFFICE

Published March 2006

TREASURY ASSETS¹

As of 12/31/05—\$2.6 Billion

Dollars in Millions



Endowment & Similar Funds

Consolidated Endowment Fund ²	\$1,538
Life Income Trusts	90
Outside Trusts	53
Non-CEF Endowments	26
Permanent Fund ⁶	26
	<u>\$1,733</u>

Operating & Reserve Funds

Invested Funds ^{2,3}	\$813
Bond Retirement Fund ⁴	4
Building Fund ⁴	17
Debt Service Reserve Funds ⁵	5
Bond Proceeds ⁷	38
	<u>\$877</u>

1. Includes assets whose management falls under the auspices of the Finance & Audit Committee of the Board of Regents. Excludes Metro Tract and Forest Trust Lands. All dollar amounts are expressed in millions and represent market values.

2. The Invested Funds holds Consolidated Endowment Fund units valued at \$365. To avoid double counting, the dollars are included only in the CEF totals.

3. In June 2002, the Board of Regents authorized the establishment of a captive insurance company, Portage Bay Insurance (PBI). The previous insurance vehicle, the Self Insurance Revolving Fund (SIRF), will close after existing claims are resolved. Current balances: PBI \$34.7, SIRF \$21.1.

4. General obligation bond reserve fund on deposit with the state of Washington.

5. Required reserve funds for locally issued bonds (2002 Housing & Dining \$1.5, 2004 Housing & Dining \$0.6, 2004 Parking \$1.4, Roosevelt \$2.0).

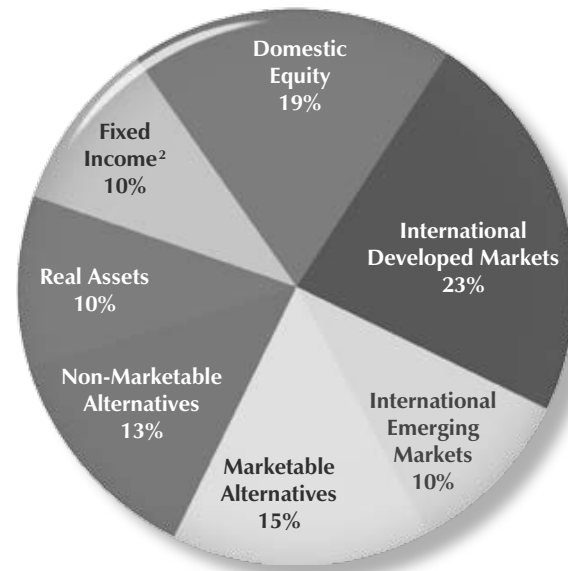
6. Proceeds from sale of land grants and subsequent investment returns on deposit with the state of Washington

7. Construction project funds which have not yet been disbursed (IMA \$7.7, Genome/R&T \$18.1, Parking \$12.0)

ASSET ALLOCATION

As of December 31, 2005

Consolidated Endowment Fund¹—\$1,538 MM



Dollars in Millions

	Current Allocation		Policy Target	Policy Range
Domestic Equity	\$294	19%	18%	10%–40%
International Developed Markets	353	23%	20%	10%–35%
International Emerging Markets	158	10%	10%	5%–15%
Marketable Alternatives	231	15%	16%	5%–25%
Non-Marketable Alternatives	197	13%	12%	5%–20%
Equity Fund	\$1,233	80%	76%	60%–90%
Real Assets Fund	\$148	10%	12%	5%–20%
Fixed Income Fund ²	\$157	10%	12%	5%–35%
Total Consolidated Endowment Fund	\$1,538	100%		

¹ Estimated net foreign currency exposure: 43% ² Includes allocation to cash

CONSOLIDATED ENDOWMENT FUND (CEF)

For the Quarter ending December 31, 2005

Total Returns as of 12/31/05¹ (%)

	2 nd Qtr FY 2006	1-Year	5-Year	10-Year
Total CEF Return	4.2	15.1	6.9	11.3
Strategy Weighted Policy Benchmark	2.9	13.3	3.6	10.9
Equity Fund	4.9	16.2	6.3	12.2
Weighted Policy Benchmark	3.9	14.7	2.3	11.8
Real Assets Fund	2.4	21.4	—	—
Policy Benchmark	-1.2	18.4	—	—
Fixed Income Fund	0.8	4.2	5.8	6.3
Policy Benchmark (LB Gov't Bond)	0.7	2.6	5.4	5.9

¹ Average Annual Compound Return

Activity (\$ in Millions)

	FY 2006	FY 2005	FY 2004	One Year	Ten Years
Beginning Balance	1,388	1,216	1,010	1,344	347
Gifts	28	60	33	56	388
Transfers	11	11	6	17	82
Total Additions	39	71	39	73	470
IF purchase of CEF units	0	30	57	0	326
Net Investment Return	179	160	179	199	858
Distributions ¹	(58)	(76)	(57)	(64)	(401)
Administrative Fees	(2)	(3)	(2)	(3)	(18)
Development Support	(7)	(10)	(9)	(11)	(44)
Ending Balance	1,538	1,388	1,216	1,538	1,538
Net Change	150	172	206	194	1,192

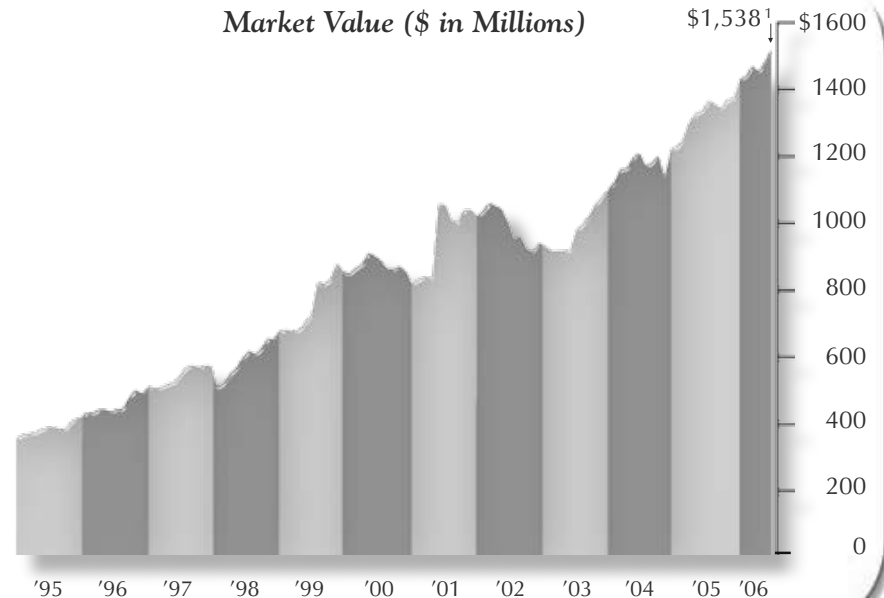
¹ FY 2005 reflects five quarters' distributions due to a change in distribution date

Cambridge Associates¹ Top 50 Universe Performance for periods ending 12/31/05

Not available at press time.
To be distributed
at the meeting.

¹ Cambridge Associates College and University Investment Pool Top 50 (by Investment Pool Market Value)

Market Value (\$ in Millions)



¹ Reflects inclusion of IF units in CEF starting 7/01—value without would be \$1,174

PERFORMANCE UPDATE

	<i>Total Return¹ as of 12/31/05</i>				
	2 nd Qtr FY '06	1 Year	3 Years	5 Years	10 Years
Consolidated Endowment Fund	4.2	15.1	16.3	6.9	11.3
Equity Fund	4.9	16.2	17.8	6.3	12.2
Domestic Equity	3.5	8.6	16.4	5.9	11.8
Core Equity	2.9	9.9	16.9	5.9	11.7
Value Equity	2.7	2.7	13.5	7.7	12.1
Growth Equity	5.1	14.6	23.1	-0.1	11.4
International Developed Markets	5.9	18.6	24.8	7.0	8.7
International Emerging Markets	6.2	30.7	34.3	20.9	—
Marketable Alternatives	2.2	9.9	14.4	9.1	—
Non-Marketable Alternatives ²	6.9	26.1	13.8	0.0	14.3
Real Assets Fund	2.4	21.4	—	—	—
Fixed Income Fund	0.8	4.2	3.7	5.8	6.3
Market Indices					
<i>Equity Indices</i>					
S&P 500	2.1	4.9	14.4	0.5	9.1
Russell 3000	2.0	6.1	15.9	1.6	9.2
Russell 2000	1.1	4.6	22.1	8.2	9.3
Dow Jones	2.0	1.9	11.2	2.0	9.8
NASDAQ	2.5	1.4	18.2	-2.2	7.7
MSCI EAFE	4.1	14.0	24.2	4.9	6.1
MSCI EMF	7.2	34.5	38.3	18.9	—
<i>Fixed Income Indices</i>					
LB Government Bond	0.7	2.6	2.8	5.4	5.9
JP Morgan Global Hedged Bond Index	0.8	5.0	4.0	5.3	6.8

Sources: Cambridge Associates and Northern Trust

¹ Total Return: average annual compound return (dividend or interest plus capital appreciation or depreciation)

² Provided by Cambridge Associates on a quarter lag.

INVESTED FUNDS (IF)

For the Quarter ending December 31, 2005

Total Return¹ (%)

	2 nd Qtr FY 2006	1-Year	5-Year	10-Year
Cash	0.8	1.9	3.9	5.0
Citi 2 Yr Treasury	0.6	1.3	3.8	4.6
Liquidity	0.7	2.1	5.1	5.8
LB Intermediate Govt Bond	0.6	1.7	4.8	5.5
IF excluding CEF units	0.7	2.0	4.8	5.6
Weighted Benchmark	0.6	1.5	4.5	5.3
IF including CEF units	1.8	6.2	5.6	7.3
Weighted Benchmark	1.3	5.4	4.4	7.0

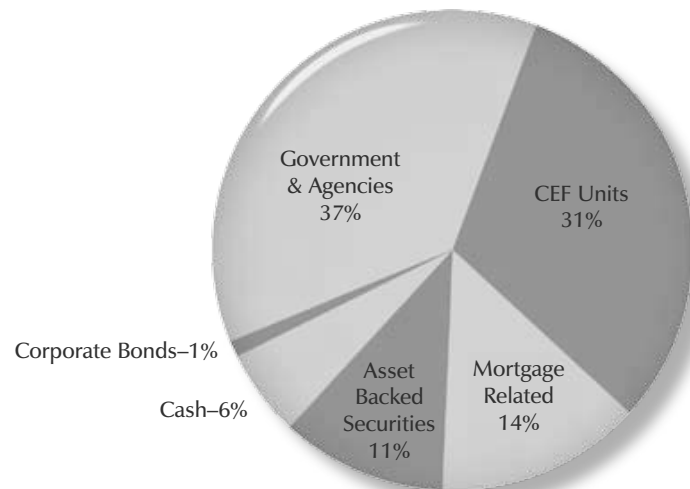
¹ Average Annual Compound Return

Fund Allocation by Pool (\$ in Millions)

	Fund Allocation		Range	Duration	
				Actual	Maximum
Cash Pool	\$289	25%	20%–40%	1.6	3.0 yrs
Liquidity Pool ¹	<u>524</u>	<u>45%</u>	30%–60%	3.2	4.0 yrs
Total Cash & Liq. Pool	<u>\$813</u>	<u>69%</u>			
CEF Units held by IF		<u>31%</u>	15%–40%		
Total Invested Funds		<u>100%</u>			

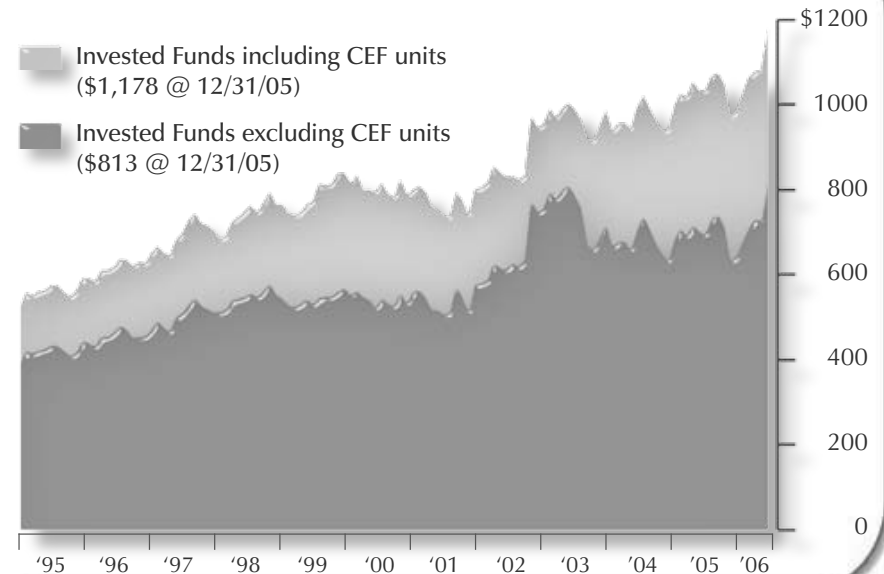
¹ Includes Interfund Loans

Mix of Investments¹



¹ Not shown - Interfund Loans (0.18%)

Market Value (\$ in Millions)



UWINCO Summary of Actions Taken Under Board Delegated Authorities

January 1, 2006 through February 23, 2006

\$ in Millions

By the Chief Investment Officer

Private Markets

<u>Approved</u>	<u>Action</u>	<u>Manager / Fund</u>	<u>Strategy</u>	<u>Investment</u>
01/19/06	Reup	Arclight Energy	Non-Marketable Alternatives	\$12
01/27/06	New	Madrona Venture	Non-Marketable Alternatives	\$3
01/27/06	Reup	Beacon Strategic	Real Assets	\$5

Public Markets

01/05/06	Nikkei Futures Purchase	Northern Trust Securities	International Developed Markets	\$15
01/19/06	New	Arisiag Asia Fund	International Emerging Markets	\$3
01/19/06	New	Lloyd George Asian Plus	International Emerging Markets	\$7
01/19/06	Additional Capital	State Street Global Advisors	International Emerging Markets	\$10

UWINCO Summary of Actions Taken Under Board Delegated Authorities

January 1, 2005 through December 31, 2005

\$ in Millions

By the Chief Investment Officer

Private Markets

<u>Approved</u>	<u>Action</u>	<u>Manager / Fund</u>	<u>Strategy</u>	<u>Investment</u>
12/20/05	New	Bridger Capital Swiftcurrent	Marketable Alternatives	\$5
09/15/05	Reup	Varde V-B	Marketable Alternatives	\$10
12/09/05	Reup	Angelo Gordon Recovery	Non-Marketable Alternatives	\$5
11/21/05	New	Chrys Capital	Non-Marketable Alternatives	\$3
04/01/05	Reup	Commonfund International	Non-Marketable Alternatives	\$7.5
10/13/05	Reup	General Catalyst	Non-Marketable Alternatives	\$4.5
05/24/05	New	Quadrangle	Non-Marketable Alternatives	\$7.5
08/11/05	Reup	Vestar	Non-Marketable Alternatives	\$8
06/30/05	New	Westbridge Venture	Non-Marketable Alternatives	\$5
02/11/05	Reup	Weston Presido	Non-Marketable Alternatives	\$6.5
08/11/05	Reup	Aetos Capital Asia	Real Assets	\$10
07/23/05	Reup	Cabot Industrial Value	Real Assets	\$8
05/24/05	Reinvest	Campbell Timber Fund	Real Assets	\$5
02/11/05	Reup	Commonfund NR Fund	Real Assets	\$7.5
12/09/05	Reup	Crow Partners	Real Assets	\$10
06/09/05	New	LaSalle Asia Opportunity	Real Assets	\$15
02/11/05	Reup	Merit Energy	Real Assets	\$5
11/04/05	New	Natural Gas Partners	Real Assets	\$10
10/21/05	New	NGP Energy Technology	Real Assets	\$2
02/11/05	New	RMS Forest Growth	Real Assets	\$5
06/09/05	New	Thackery Realty	Real Assets	\$5

UWINCO Summary of Actions Taken Under Board Delegated Authorities

January 1, 2005 through December 31, 2005

\$ in Millions

By the Chief Investment Officer

Public Markets

Approved	Action	Manager / Fund	Strategy	Investment
02/25/05	Capital Withdrawal	AXA Rosenberg	Domestic Equity	-\$20
02/25/05	Additional Capital	Northern Trust Securities	Domestic Equity	\$40
02/25/05	Capital Withdrawal	Riech & Tang	Domestic Equity	-\$10
06/23/05	Terminate	Riech & Tang	Domestic Equity	-\$35
02/25/05	Capital Withdrawal	Synder Capital	Domestic Equity	-\$10
06/17/05	Additional Capital	Northern Trust Securities	Domestic Equity	\$8
01/28/05	Additional Capital	Morgan Grenfell	Fixed Income	\$5
07/07/05	New	Arrowstreet Capital	International Developed Markets	\$40
07/07/05	New	GMO International Active	International Developed Markets	\$35
12/30/05	Additional Capital	GMO International Active	International Developed Markets	\$5
07/07/05	Terminate	Lazard	International Developed Markets	-\$50
10/28/05	New	Liberty Square	International Developed Markets	\$10
12/30/05	Additional Capital	Liberty Square	International Developed Markets	\$5
07/07/05	New	MAC Japan	International Developed Markets	\$15
11/21/05	Additional Capital	MAC Japan	International Developed Markets	\$12
07/07/05	New	Sparx Value Creation	International Developed Markets	\$30
07/07/05	Yen Spot Purchase	Northern Trust Securities	International Developed Markets	\$30
09/09/05	Nikkei Futures Purchase	Northern Trust Securities	International Developed Markets	\$15
08/07/05	Yen Forward Purchase	Northern Trust Securities	International Developed Markets	\$15
11/21/05	Yen Spot Purchase	Northern Trust Securities	International Developed Markets	\$12
03/10/05	New	COL China A	International Emerging Markets	\$5
04/14/05	New	Martin Currie	International Emerging Markets	\$15
07/29/05	New	State Street Global Advisors	International Emerging Markets	\$65
12/30/05	Additional Capital	State Street Global Advisors	International Emerging Markets	\$20
01/28/05	Additional Capital	Pimco	Real Assets	\$20
12/20/05	New	Jefferies Commodities	Real Assets	\$15

VII. STANDING COMMITTEE

B. Finance, Audit and Facilities Committee

Report of Planned Audit Activities, 2006

See attached.



Report of Planned Audit Activities 2006



Finance and Audit Committee Board of Regents

Department of Audits
University of Washington

March 2006



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Executive Summary

Internal Audit engages in three primary activities – audits, advisory services, and investigations. Our focus is to proactively work with campus schools and colleges assisting management to address financial risk and exposures. Rather than duplicate the financial and compliance auditing performed by KPMG and the State Auditor’s Office, Internal Audit concentrates on departmental control systems and processes. In this way, audit resources are maximized.

Internal Audit’s goals for 2006 are:

- Complete the top ten high risk audits.
- Complete a quality assurance review as required by professional standards.
- Continue participation in the development of the University-wide strategic risk management framework.

The University of Washington Internal Audit Plan for 2006 is designed to provide comprehensive audit coverage, deploying Internal Audit resources in an effective and efficient manner. As in years past, we will continue to focus on the high risk areas as identified by the annual risk assessment.



2006 Audit Focus

Federal Regulatory Issues

Effort Certification Process

Faculty effort on research projects continues to be the subject of scrutiny by federal and state auditors. Faculty Effort Certifications (FECs) and Grant and Contract Certification Reports (GCCRs) document the faculty and staff's effort charged to sponsored projects. The FECs also document cost sharing. We will review controls to ensure effort certification reports are certified by the due date. We will also determine whether principal investigator efforts on sponsored projects are consistent with the level of commitment proposed to the federal government.

Clerical and Administrative Salaries

The Department of Health and Human Services Office of Inspector General's (HHS OIG) 2006 Work Plan includes a review of administrative and clerical salaries directly charged to federally sponsored projects. Federal regulations state such costs should normally be treated as indirect costs. We will determine whether clerical and administrative salaries are charged in accordance with University policy and federal regulation.

Cost Transfers

The HHS OIG's 2006 Work Plan includes a review of cost transfers on federal awards. At the University, there are several mechanisms to transfer costs. We will determine whether the proper mechanism is being used. We will also review controls to ensure that cost transfers are valid, properly supported and approved.

Grants Management

We anticipate continued federal interest in research and program accountability. The University can be proud of its award winning grants management program. Internal Audit will continue to identify high risk departments and work with departmental management to ensure full compliance with applicable regulations.

Capital Projects

The need to ensure that ongoing and new capital projects do not expose the University to major financial losses is a continuing internal audit focus. In 2006 we will focus on control systems that ensure construction project costs are accurately tracked, and that projects are completed on time and within budget.

Internal Control Systems

The University continues to move its central systems away from pre-approval controls and toward post audit controls. Internal Audit provides advisory services and training to ensure that departments continue to have a sound basis for establishing and monitoring internal control systems. It is more important than ever that departmental management understand the risks and responsibilities associated with operating in a decentralized post audit control environment. As more functions are delegated to departments, oversight is required to ensure that controls are in place and serve the intended purpose.



2006 Audit Focus (continued)

Budget cuts and restraints on departmental and general operating funds force departments to make difficult decisions on resource allocations. As budgets shrink, pressures may result in the elimination of critical system controls. Without system checks and balances, departments have a higher exposure to financial irregularities and noncompliance with policies and regulations.

Gifts and Endowments

During FY 2005, the University received more than \$150 million in gift and endowments. The University relies on individual departments to ensure that these funds are spent in accordance with donor intentions. In 2006, we will review departmental controls designed to ensure gift and endowment funds are expended according to donor intent.

Computing and Networking

Departmental Computing and Networking

University departments often develop and operate their own computer systems because of special needs. Our focus is on identifying critical systems and networks managed at the departmental level so that we can ensure the security of the overall University computing environment. Toward this objective, we are working with departmental staff to promote awareness of risks and issues concerning internal security and uninterrupted operations.

Data Security

The University and its affiliated institutions acquire, generate, and maintain an enormous amount of data as part of business operations, education programs, and extensive research efforts. Data are stored in electronic form on a variety of information systems. Proper protection of data and information systems is determined by a combination of compliance requirements mandated by state and federal government statutes and regulations, accepted best practices, and institutional risk management decisions. In 2006 we plan to review information security controls designed to ensure the proper protection of University data and information systems.

Washington Information Services Board

The Washington Information Services Board (ISB) promulgates information technology (IT) security policy and standards to help ensure that state agencies have effective and secure IT security programs. The ISB requires the University to have a compliance audit once every three years. In 2006 we will perform this compliance audit.



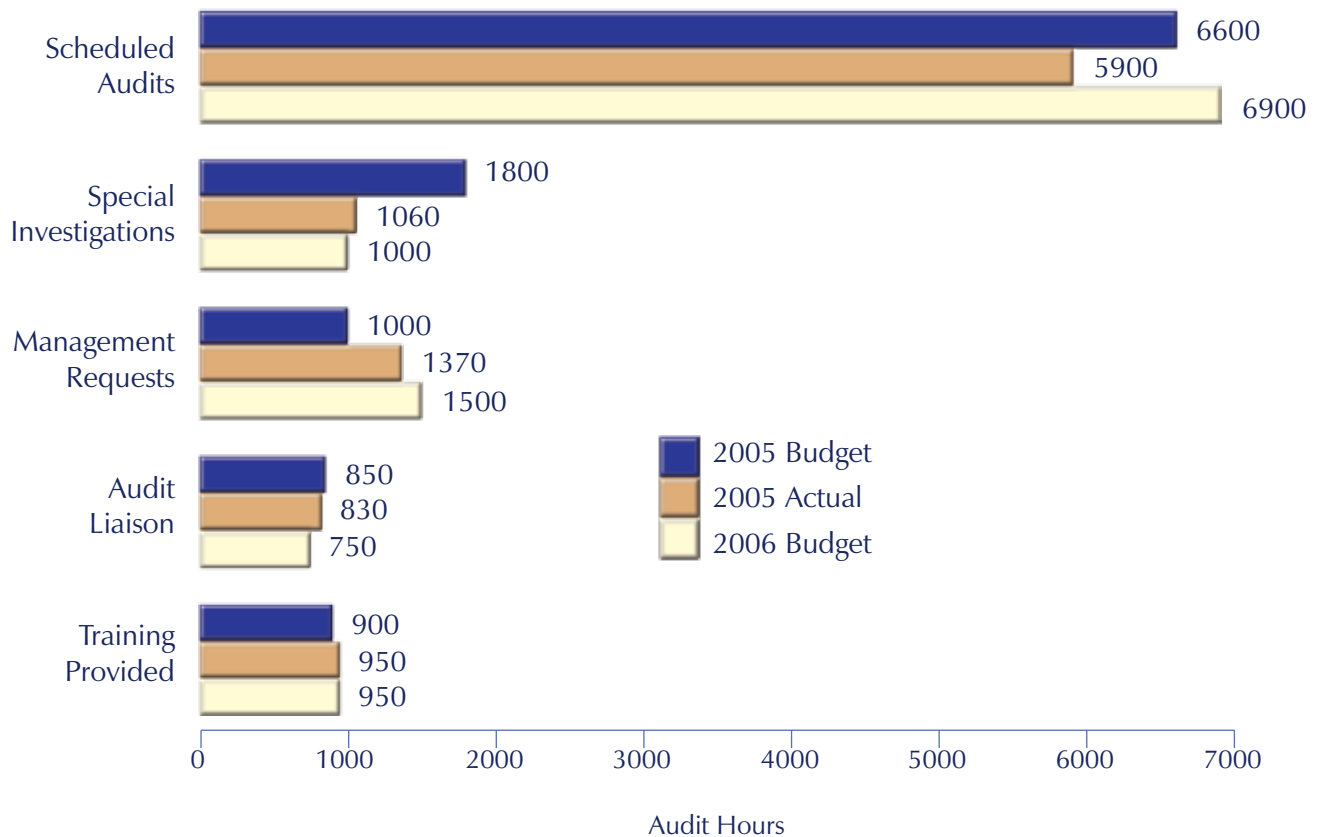
2006 Scheduled Audits

	Internal Controls	Compliance	Business Processes	Information Technology	Follow-Ups
Gifts and Endowments	•	•			
Faculty Effort Certifications (FECs)		•			
Grant & Contract Certification Reports (GCCRs)		•			
Grants and Contracts					
• Cost transfers		•			
• Clerical and administrative salaries		•			
Computing & Communications					
• Washington Information Services Board		•		•	
Student Database				•	
Health Sciences					
• Primate Center	•		•	•	•
Parking Services—cash receipts	•		•		
School of Social Work	•	•		•	
President's Office	•	•	•		
School of Medicine					
• Radiology	•	•		•	
• Genome Sciences	•	•		•	
College of Arts & Sciences					
• Speech & Hearing Sciences				•	
Intercollegiate Athletics	•	•			•
Educational Outreach	•		•	•	
Payroll	•				
Capital Projects	•		•	•	
Various Departments					•





2006 Planned Audit Hours



2005

- Staff turnover resulted in reduced audit resources available for scheduled audits
- Resources needed for management requests were balanced with those needed for special investigations.

2006

- Budget for scheduled audits and management requests increased in anticipation of a fully staffed internal audit department
- Budget for special investigations reduced to align with 2005 results



Summary of Audit Coverage

The University departments listed below are ranked from high to low in terms of the relative risk they represent. A • in the columns to the right signifies the areas each auditing entity plans to audit in 2006. A ✓ represents the areas audited by other auditors in 2005.

Risk/ Rank	Organization	Internal Audit Dept.	Hospital Internal Auditor	State Auditor's Office	KPMG	Peterson Sullivan	Other Auditors
1.	School of Medicine	•		•			✓
2.	Harborview Medical Center		•	•	•		
3.	University Medical Center		•	•	•		
4.	Health Sciences	•					
5.	Computing & Communications	•					
6.	College of Arts & Sciences	•		•			
7.	College of Engineering	•					✓
8.	Grant & Contract Accounting	•		•	•		
9.	School of Business Administration	•					
10.	College of Ocean & Fishery Sciences			•			✓
11.	School of Public Health & Community Medicine			•			
12.	School of Social Work	•					
13.	College of Forest Resources						
14.	Treasury Office			•	•		
15.	Educational Outreach	•					
16.	Office of Research						✓
17.	School of Dentistry						
18.	Capital Projects	•		•			
19.	Payroll			•	•		
20.	Payables Operations			•	•		
21.	Financial Accounting			•	•		
22.	Facilities Services				•		
23.	Student Fiscal Services				•		✓
24.	Transportation				•		
25.	Housing & Food Services					•	
26.	Intercollegiate Athletics	•			•		✓
27.	Office of Development & Alumni Relations				•		
28.	Enrollment Services (Admissions & Records)						
29.	College of Architecture & Urban Planning						
30.	Bothell Campus						
31.	School of Law						
32.	Purchasing			•	•		



Summary of Audit Coverage (continued)

The University departments listed below are ranked from high to low in terms of the relative risk they represent. A • in the columns to the right signifies the areas each auditing entity plans to audit in 2006. A ✓ represents the areas audited by other auditors in 2005.

Risk/ Rank	Organization	Internal Audit Dept.	Hospital Internal Auditor	State Auditor's Office	KPMG	Peterson Sullivan	Other Auditors
33.	UW Tech Transfer						
34.	Undergraduate Education						
35.	Equipment Inventory			•	•		✓
36.	School of Nursing						
37.	Graduate School						
38.	Real Estate/Metropolitan Tract Office					•	
39.	Student Financial Aid						
40.	University Libraries						
41.	Office of Sponsored Programs						
42.	Human Resources						
43.	Student Affairs						
44.	Provost						
45.	Daniel J. Evans School of Public Affairs						
46.	Tacoma Campus						
47.	Risk Management			•	•		
48.	University Police						
49.	Student Activities and Union Facilities					•	
50.	Media Relations and Communications						
51.	Business Services						
52.	College of Education						✓
53.	School of Pharmacy						
54.	Information School						
55.	Planning & Budgeting			•			
56.	ASUW/GPSS					•	
57.	Minority Affairs						✓
58.	Student Publications					•	



Internal Audit Charter

Mission

The mission of Internal Audit is to actively provide the Board of Regents and the University of Washington community with useful information that improves accountability through independent assurance, consulting and education services. This is accomplished through independent audits and consultations designed to evaluate and promote a strong system of internal controls, including effective and efficient operations.

Authority

The Department of Audits functions under the authority of the Finance and Audit Committee of the Board of Regents of the University of Washington.

The Department of Audits is authorized to have full, free, and unrestricted access to information including records, computer files, property, and personnel of the University. Internal Audit is free to review and evaluate all policies, procedures and practices of any University activity, program, or function.

In performing the audit function, the Department of Audits has no direct responsibility for, nor authority over, any of the activities reviewed. Therefore, the internal audit review and appraisal process does not in any way relieve other persons in the organization of the responsibilities assigned to them.

Scope

The scope of internal audit activity encompasses the examination and evaluation of the adequacy and effectiveness of the University's system of internal control and the quality of performance in carrying out assigned responsibilities including appropriate training and consulting assistance. Internal auditors are concerned with any phase of University activity in which they may be of service to management. This involves going beyond the accounting records to obtain a full understanding of operations under review.

Independence

To permit the rendering of impartial and unbiased judgment essential to the proper conduct of audits, internal auditors will be independent of the activities they audit. This independence is achieved through organizational status and objectivity.

- *Organizational Status:* The Director of Audits is responsible to the Treasurer, Board of Regents, whose scope of responsibility and authority assures that audit findings and recommendations will be afforded adequate consideration and the effectiveness of action will be reviewed at an appropriate level. The Director of Audits has direct access to both the President and the Board of Regents, and may take matters to them that are believed to be of sufficient magnitude and importance to require their immediate attention.
- *Objectivity:* Because objectivity is essential to the audit function, an internal auditor does not develop and install procedures, prepare records, or engage in any other activity which the auditor would normally review and appraise and which could reasonably be construed to compromise the auditor's independence. The auditor's objectivity is not adversely affected, however, by determining and recommending standards of control to be adopted in the development of systems and procedures under review.

Responsibility

The internal audit staff has a responsibility to report to University management on the areas examined and to evaluate management's plans or actions to correct reported findings. In addition, the Director of Audits has a responsibility to report at least annually to the Board of Regents Finance and Audit Committee and to inform the Board of any significant findings that have not been reasonably addressed by University management.

The Director of Audits will coordinate internal and independent outside audit activities to ensure adequate coverage and minimize duplicate efforts.

Standards

The responsibility of the Department of Audits is to serve the University in a manner that is consistent with the standards established by the internal audit community. At a minimum it shall comply with the relevant professional auditing standards and code of conduct of the Institute of Internal Auditors (IIA) and the Association of College and University Auditors (ACUA).

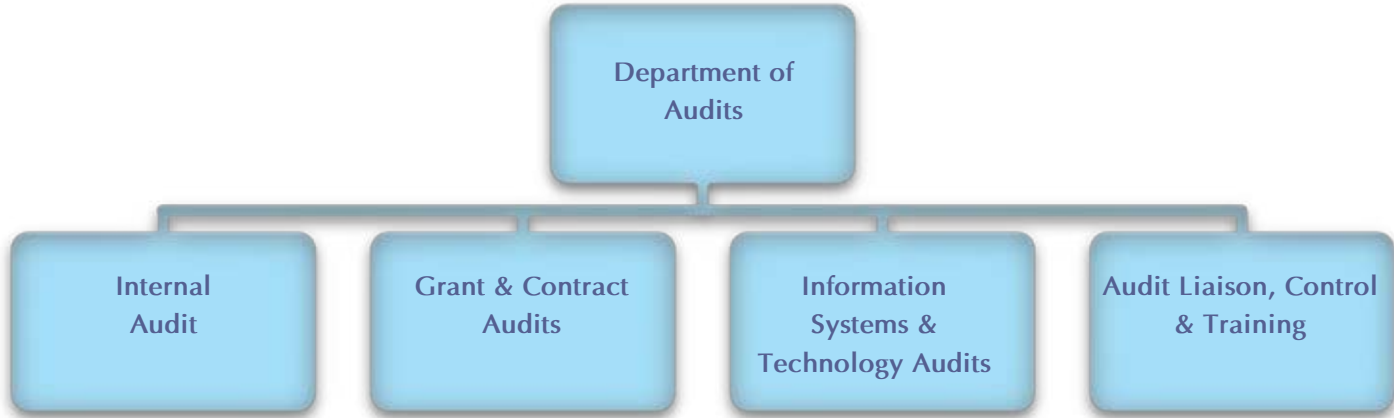


Audit Services by Function

“The objective of internal auditing is to assist agency staff in the effective discharge of their responsibilities.”

Source: *State Administrative & Accounting Manual, O.F.M., Section 20.40.20, May 1, 1999*

We have changed our focus from a traditional transaction-based auditing model to a proactive risk-based model. We partner with campus management to analyze business processes and identify risk and improvement opportunities. To effectively carry out our responsibilities, the Department of Audits is organized into four functions:



- Internal Audit**
- Internal Control Systems
 - Departmental Support
 - Compliance
 - Special Investigations

- Grant & Contract Audit**
- Grant Management Systems
 - Special Investigations

- Information Systems & Technology Audits**
- Internal Control Systems
 - Central Computing Systems
 - Departmental Computing Systems

- Audit Liaison, Control and Training**
- Management Communications
 - External Auditor Liaison
 - Audit Resolution
 - Audit Contracting
 - Training and Development



Risk Assessment

We use a risk assessment model to prioritize audit coverage and ensure timely reviews of high exposure areas. We define risk to include:

- Loss, unauthorized use and misappropriation of University assets
- Violation of laws and regulations
- Adverse publicity involving the University

The risk assessment process includes gathering and evaluating information from senior management and college and department administrators, and utilizing the university's data warehouse for financial information. We use the following risk factors to help identify high risk areas.

- Business Exposure
- Compliance Requirements
- Control Environment Stability
- Audit Coverage
- Financial Impact
- Information Technology Complexity
- Management's Interest
- Auditor's Interest

We review risk assessment models used by peer institutions and utilize their experience and knowledge of university operations to ensure our risk assessment model includes factors relevant to the University of Washington.

We develop our annual audit plan based on the results of the risk assessment survey, management input, planned external audit coverage and issues currently affecting universities nationwide.



Elements of the Audit Planning Process

- Interviews of senior University management for key concerns
- University-wide assessment of risk
- Consideration of the changing environment and identification of issues that could affect the University
- Evaluation of issues of concern to other universities

Universities contacted:

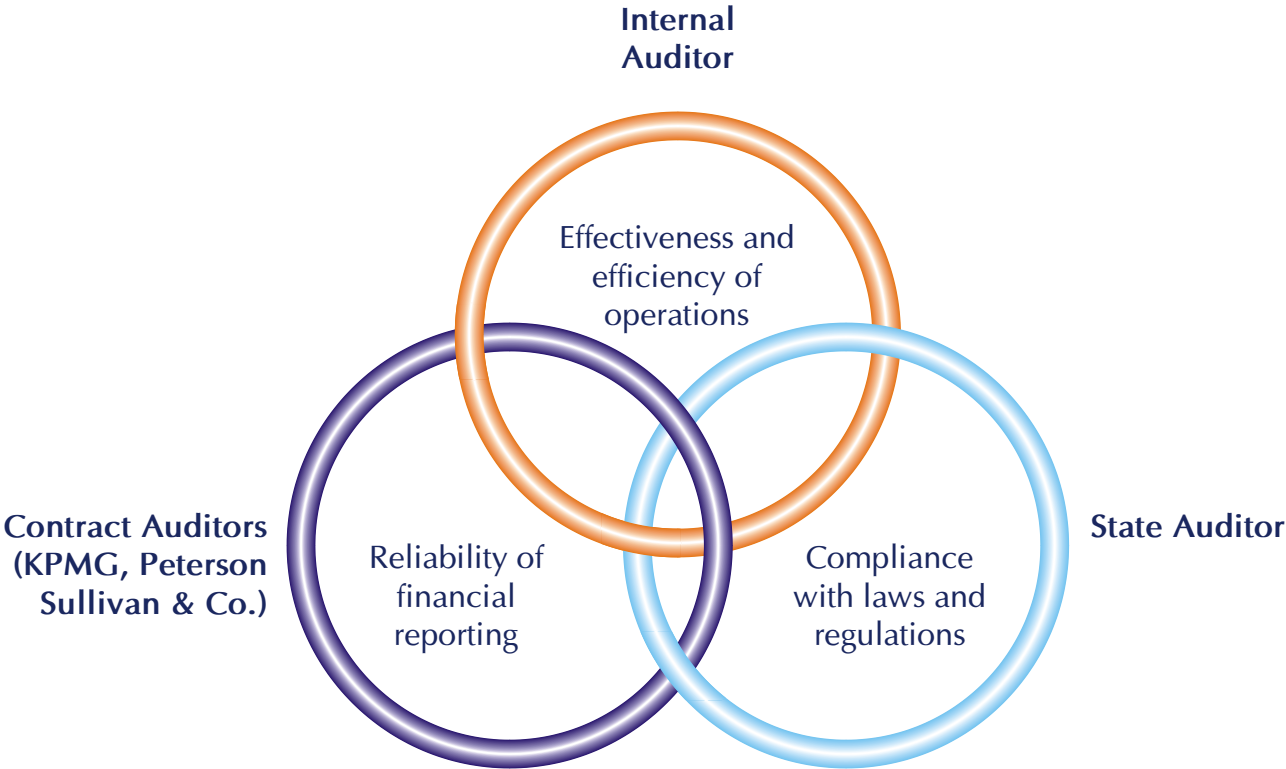
- University of Arizona
- University of California - Berkeley
- University of California - San Diego
- University of California System
- Colorado State University System
- Cornell University
- University of Florida
- University of Georgia
- Georgia Institute of Technology
- University of Georgia System
- University of Illinois - Urbana-Champaign
- John Hopkins University
- Massachusetts Institute of Technology
- University of Michigan
- University of Minnesota
- University of New Mexico
- University of North Carolina - Chapel Hill
- Oregon University System
- University of Pennsylvania
- Stanford University
- Texas A&M University Systems
- University of Texas System
- University of Utah
- University of Wisconsin-Madison

- Integrated planning with external auditors
- Assessment of cyclical audit coverage for the high-risk organizational units identified in our risk assessment



Audit Coverage Overview

As part of the coordinated audit approach adopted in 1990, the Department of Audits works closely with contract auditors and the State Auditor to maximize audit coverage and to void duplication of effort. Each audit organization has a specific role:



UW Medicine

University of Washington Medical Center Proposed Expansion

Prepared by:

UNIVERSITY OF WASHINGTON
MEDICAL CENTER
UW Medicine

March 2006

UWMC Profile

- On-campus teaching hospital of the Schools of Medicine and Dentistry
- FY2006 YTD annualized:
 - 18,100 admissions
 - 330,000 clinic visits
 - Total gross revenue: \$950 million
- Key Service Lines:
 - Oncology
 - Cardiac Services
 - Solid Organ Transplantation
 - Orthopaedics
 - Otolaryngology
 - Neurosurgery
 - Perinatal Services

UWMC's Organization-Wide Strategies

Basic Assumptions

1. UWMC must remain a strong player in the region, with the right mix of patients to provide appropriate teaching experiences for students of the Schools of the Health Sciences and to assure continued accreditation of the Medical School residency programs to achieve our educational mission.
2. UWMC will continue to seek knowledge that leads to new cures and improved care, and make those discoveries available to its community. UWMC's clinical and translational research attracts an excellent faculty and serves as a unique strategic competitive advantage.
3. Organization support for programs or services will derive from three principles as follows:
 - Is the service or program supportive of UWMC mission and goals with respect to training and/or clinical research?
 - Does it have a service line administrative structure and/or essentially complement other existing UWMC programs and services?
 - Is it supported by a strong, financially viable business plan with broad multidisciplinary faculty and administrative support?

Organization-wide Strategies

1. Focus on patient safety, an abiding commitment to service, and the quality of our care through the PASCO methodology and the Annual Operating Plan (including associated metrics and targeted goals), to drive operational and financial improvements and provide exemplary patient care regionally and nationally.
2. Continue to emphasize service line development as the catalyst for all programmatic growth, including related or complementary services.
 - Oncology
 - Solid Organ Transplantation
 - Regional Heart Center
 - Orthopaedics
 - Neurosurgery Otolaryngology/Head and Neck Surgery
 - Perinatal Services
3. Decrease average length of stay to maximize efficiency and utilization, achieve operating cost improvements, increase capacity for servicing new growth and avoid larger capital outlays than currently contemplated.

4. Realize increased productivity and market share growth in Diagnostic Imaging given market opportunities and trends in image-guided therapy that are supported by our recent major UWMC capital investments.
5. Improve financial stability by focusing on achievement of annual budget objectives and realizing improvements from implementation of a benchmarking database.

Why Was a Comprehensive Facility Planning Study Done?

- Continued growth of services to the region, particularly in service lines
- Programmatic needs of the School of Medicine and Schools of the Health Sciences clinical and training programs
- Space shortages (teaching and clinical support)
- Changing technologies
- Facility obsolescence
- Requirements to maintain competitiveness
- Limited site availability
- Financial planning for UWMC

Financial Thresholds for Project Set by UW Medicine Board

In October 2005, the UW Medicine Board approved moving ahead with hiring an architect to begin the design of the proposed expansion. At the same time, financial thresholds for the proposed project were set, based on preliminary financial projections that assumed a debt issuance in 2007, impacting FY08 projected values. To the extent that the timing of the debt issuance changes, financial thresholds will change, particularly related to days cash on hand.

Indicator	Threshold	FY2006 YTD December Performance
Operating Margin	2.7%	1.6% 4.1% Month of December 2.6% Month of January (3.5% FY07 Budget)
Excess Margin	2.7%, 1 st Quarter FY07 3.0% ,1 st Quarter FY08	2.7%
Days Cash on Hand	70 days, 1 st Quarter FY07 100 days, 1 st Quarter FY08	75 days
Debt Coverage Ratio	3.3, 1 st Quarter FY07 3.0, 1 st Quarter FY08	4.2

Practical Realities of Advancing UWMC's Mission

- UWMC is a high volume, low margin business with high fixed costs.
- Core healthcare economics are challenging, e.g., the rate of annual cost increase outstrips the rate of annual payment increase from insurers.
 - In a typical year, salary (including steps) and benefits increase more than 4%, pharmaceutical expenses increase 8%, and other expenses increase 3%. On a weighted average basis, expenses increase 4+% annually.
 - In a typical year, Medicare and Medicaid increases are 2% or less and commercial payors are forecast to increase 4%. The composite rate of payment increase is usually under 3%.
- Every year, management embarks on initiatives to increase net revenue and decrease expenses through improved process efficiencies.

- Volume growth is the key to achievement of budgetary targets as it both increases net receipts and it also reduces the cost per unit.
- At some point without expansion, UWMC will use its facilities and equipment to the maximum extent and will no longer be able to grow. At that point, UWMC will not be able to sustain its mission.

Can UWMC afford this expansion?

- Yes. UWMC has thoroughly analyzed the financial impacts.
- The risk is mitigated by the financial thresholds the UW Medicine Board has set for the project.

Long term impacts of not proceeding with expansion

- Limited ability to continue to grow programs which are essential clinically and financially; difficult to turn program growth “on and off”.
 - Oncology
 - Solid Organ Transplantation
 - Cardiac
- Improvements in operating efficiencies currently in process, particularly shortening the average length of stay for inpatients, will provide much needed additional capacity for only a few years into the future. Without an addition, sufficient capacity to meet projected need will not be available.
- Without additional capacity, UWMC loses its ability to respond to market opportunities. Currently, capacity is constrained enough that response to a major market opportunity is not possible. In particular, UWMC would be particularly vulnerable to a shift in an even more undesirable payor mix as competitor hospitals in the region would be able to attract patients covered by commercial insurance, leaving UWMC to serve those who have less choice in where to receive their healthcare.
- Portions of the UWMC facility, such as inpatient nursing units and diagnostic imaging, would still not be competitive with other hospitals in the city and region. When a facility lags behind the competition for too long, it is usually difficult to catch up, causing market position to be compromised.
- Facility morale would suffer, as hope for critical program expansion would be dampened.
- Inability to meet needs for contemporary teaching spaces.

Short term impacts of not proceeding with expansion

- The limited window of opportunity to build in the southeastern portion of campus before Sound Transit’s construction starts will be lost. Without moving ahead quickly, UWMC will be forced to attempt to permit and construct its expansion at the same time Sound Transit is doing its construction at the Husky Stadium site. A delay of up to six years (the amount of time Sound Transit is expected to be on campus) would significantly delay UWMC’s ability to meet its documented needs.
- UWMC’s short term financial position would improve.
 - Cash on hand could increase since the \$35 million of cash UWMC would have used for its equity contribution to the financing of the expansion would now be available for other uses. (This assumes an \$85 million project cost, with \$50 million in debt issuance.)
 - Operating margin could increase as less expense would be incurred to service the anticipated \$50 million in debt. That said, there would be a high risk of deterioration to payor mix.
- Debt capacity could increase since UWMC would not be borrowing the \$50 million for the expansion.

ATTACHMENT
Summary: Comprehensive Facility Planning Study

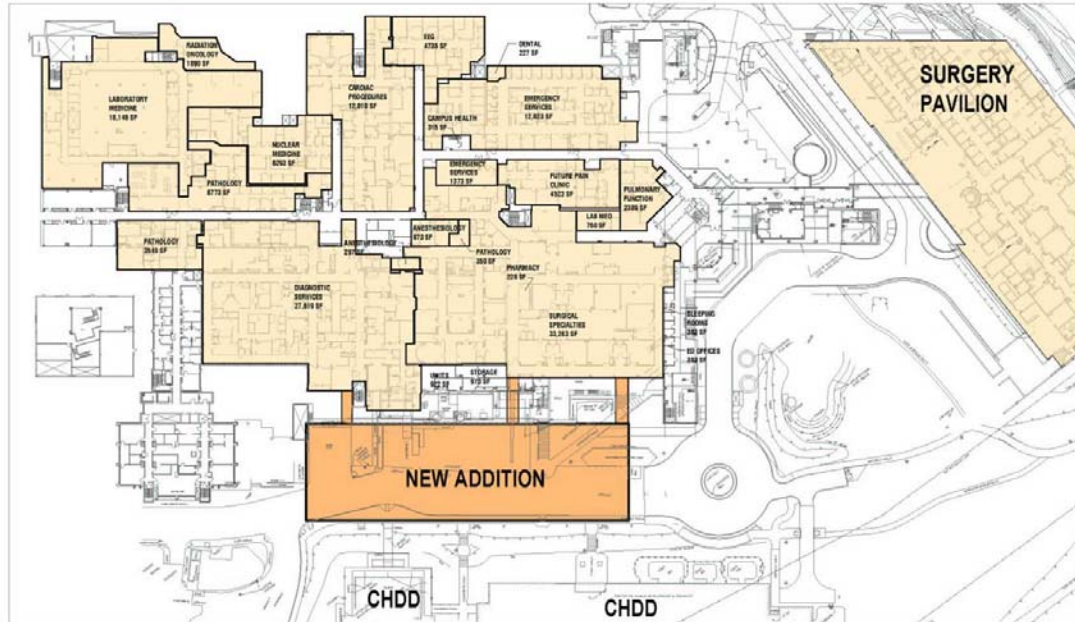
What was the recommendation of the Comprehensive Facility Planning Study?

(Reviewed at the November 2005 Finance, Audit and Facilities Committee meeting)

Conclusion: The immediate and future needs of UWMC, based on its current significant space deficiencies and future strategic direction, cannot be accommodated with the configuration of the existing facility.

Expansion on campus and limited renovation of existing facilities best addresses the many constraints:

- UWMC should immediately initiate detailed planning, design, and funding for a new South Addition. This proposed addition would greatly alleviate workload demands in ICU, Imaging, Acute Care, and Surgery. Constructed over Columbia Road, to the south of the existing hospital, it will accommodate immediate and future unmet space needs.
- Improvements should continue to be made within the existing medical center to improve NICU, Imaging, Surgery, Labs, Pharmacy, Psychiatry, and Rehabilitation Medicine; provide additional support space for Muihlenburg Tower nursing units; and provide space for teaching, education, and offices.
- UWMC should continue to look for opportunities to relocate services off-site.



Phase I: 2005 to 2015

Build a new 4-story addition over Columbia Road and renovate limited portions of the Pacific Tower (leaving an unmet need of 129,500 BGSF). While this site is not identified as a development site in the Campus Master Plan, it is likely the addition (Phases I and II) would be considered a movement of square footage within a sector and a minor plan amendment.

	NEW	PACIFIC TOWER			MUILENBURG TOWER			
		S	N	E	SE	NE		
9			Mechanical			Mechanical	9	
8		CLINIC	REHAB (20)	GYM	INFUSION	28	8	
7		CRC 3	PSYCH (20)	REMODEL*	28	30	7	
6	MECHANICAL	OB 14	NICU 32	LDR 9	28	30	6	
5	SHELL (30)	OB 18	PF/ICU SUP	ICU (12)	ICU 22	30	5	
4	UNIV. (30)	OFFICES	OFFICES	SHORT STAY (29)	28	30	4	
3		CLINICS	CLINICS	CLINICS	CLINICS	CLINICS	3	
2	IMAG. & SHELL	IMAGING	CARDIAC	MISC./EMS	SURGERY	SURGERY	2	
1	EXISTING DOCK	CAFE	ONCOLOGY	MAT. MGMT	MAT. MGMT	PHARMACY	1	
		35	72	41*	106	148		
		30						
		STACKING						
		432 BEDS (+ 30 SHELLED) = 462 POTENTIAL BEDS + 7E REMODEL						
		(* 7E Remodeled Beds not included)						

Phase II: 2015 to 2025

Vertical expansion of additional inpatient bed floors.

	NEW	PACIFIC TOWER			MUILENBURG TOWER			
		S	N	E	SE	NE		
9	BEDS (30)		Mechanical			Mechanical	9	
8	BEDS (30)	CLINIC	REHAB (20)	GYM	INFUSION	28	8	
7	BEDS (30)	CRC 3	PSYCH (20)	REMODEL*	28	30	7	
6	MECHANICAL	OB 14	NICU 32	LDR 9	28	30	6	
5	BEDS (30)	OB 18	PF/ICU SUP	ICU (12)	ICU 22	30	5	
4	BEDS (30)	OFFICES	SHORT STAY (20)	SHORT STAY (20)	28	30	4	
3		CLINICS	CLINICS	CLINICS	CLINICS	CLINICS	3	
2	IMAG. & SHELL	IMAGING	CARDIAC	MISC./EMS	SURGERY	SURGERY	2	
1	EXISTING DOCK	CAFE	ONCOLOGY	MAT. MGMT	MAT. MGMT	PHARMACY	1	
		35	92	32*	106	148		
		150						
		STACKING						
		563 BEDS vs. 563 BED FORCAST + 7E REMODEL						
		(* 7E Remodeled Beds not included)						

VII. STANDING COMMITTEE

B. Finance, Audit and Facilities Committee

2005 State Audit Results

See attached.

Washington State Auditor's Office
Accountability Audit Report

University of Washington

Audit Period
July 1, 2004 through June 30, 2005

Report No. 6498

Issue Date
March 17, 2006



Washington _____
State Auditor

Brian Sonntag



**Washington State Auditor
Brian Sonntag**

March 17, 2006

Board of Trustees
University of Washington

Report on Accountability for Public Resources

Please find attached our report on the University of Washington's accountability for public resources and compliance with state laws and regulations and its own policies and procedures.

In addition to this work, we perform an annual audit of the statewide basic financial statements as required by state law (RCW 43.09.310). We also annually audit federal programs administered by the state of Washington for compliance with federal laws and regulations. The results of these audits are published in reports issued by the Office of Financial Management.

Sincerely,

BRIAN SONNTAG, CGFM
STATE AUDITOR

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Audit Summary

State of Washington University of Washington

ABOUT THE AUDIT

This report contains the results of our independent accountability audit of the University of Washington for the period from July 1, 2004, through June 30, 2005.

We performed audit procedures to determine whether the University complied with state laws and regulations, federal grant requirements and its own policies and procedures. Our work focused on specific areas that have potential for abuse and misuse of public resources.

RESULTS

In most areas, the University complied with state laws and regulations and its own policies and procedures. However, we identified conditions significant enough to report as findings:

- Certain University departments' controls are not working effectively to ensure Time and Effort Certification forms are completed in a timely manner and to ensure monthly certification of salaries and wages paid for federal programs are completed as required.
- The University did not submit financial status reports in a timely manner.
- The University paid contractors without Intent to Pay Prevailing Wage forms on file as required by state law.

We also noted certain matters that we communicated to University management. We appreciate the University's commitment to resolving those matters.

RELATED REPORTS

Our opinion on the state of Washington's basic financial statements is included in the state's Comprehensive Annual Financial Report. That report is issued by the Office of Financial Management in December of each year.

In accordance with the Single Audit Act, we annually audit major federal programs administered by the state of Washington. Rather than perform a single audit of each agency, we audit the state as a whole. The results of that audit are published in a report issued by the Office of Financial Management.

In addition to these reports, we issued a report pursuant to the State Employee Whistleblower Act (Chapter 42.40 RCW) which is available on our Web site.

CLOSING REMARKS

We appreciate the University's prompt attention to resolving prior audit issues and its commitment to ensuring compliance with conditions reported in this audit. We also thank University management and personnel for their assistance and cooperation.

Description of the University

State of Washington University of Washington

ABOUT THE UNIVERSITY

Founded on November 4, 1861, the University of Washington is one of the oldest state-supported institutions of higher education on the Pacific coast. The University has three campuses, located in Seattle, Tacoma and Bothell, and 17 major schools and colleges. The Seattle campus has 220 major structures on 693 acres. The primary mission of the University is the preservation, advancement and dissemination of knowledge.

The University is governed by a 10-member Board of Regents appointed by the Governor and confirmed by the state Senate. The University's proposed budget for fiscal year 2005 was approximately \$2.5 billion. The primary revenue sources are tuition, grants and contracts, and medical services. The University employs approximately 6,100 instructional faculty and 27,600 faculty and staff members. Enrollment for the 2004 fall quarter was 43,619 students.

A highly rated research institution, the University generated more than \$900 million in grant and contract revenues during fiscal year 2005, with 76 percent of these awards coming from federal agencies. The University is the largest United States public education institution in receipt of federal assistance. The single largest sponsoring agency for the University is the U.S. Department of Health and Human Services, making approximately 54 percent of the total federal awards. Approximately 46 percent of those awards support programs in the School of Medicine. The University also has many self-sustaining business operations that include intercollegiate athletics, a dental clinic, parking operations, housing and food services, and a number of other specialized service facilities.

In fiscal year 2004, Moody's Investor Services assigned an Aa1 issuer rating to the University, reflecting its unsecured general obligation credit quality. According to University officials, this rating allows the University to negotiate the lowest costs for future financing. Only three other public universities have a higher rating, and three others have the same rating.

AUDIT HISTORY

We audit the University annually. The past six audits have reported five audit findings, other than findings resulting from misappropriations of public funds, one of which was issued in the prior year. Prior year findings concerned compliance with federal cost principles for its research and development programs at the Radiology department and the Center for AIDS and STDs.

During the current audit, we followed up on this issue and found that management had established procedures to ensure compliance with federal cost principles for its research and development programs at the Radiology department and the Center for AIDS and STDs.

In response to various misappropriations and related conditions, the University offers classes designed to teach managers how to prevent fraud. In addition, it instituted a fraud reporting policy in 1997. We noted no large misappropriations during the current fiscal year.

Audit Areas Examined

State of Washington University of Washington

In keeping with general auditing practices, we do not examine every portion of the University of Washington's financial activities during each audit. The areas examined were those representing the highest risk of noncompliance, misappropriation or misuse. Other areas are audited on a rotating basis over the course of several years. The following areas were examined during this audit period:

ACCOUNTABILITY FOR PUBLIC RESOURCES

We evaluated the University's accountability and compliance with certain state laws and regulations and its own policies in the following areas:

- Cash receipting and revenues (student accounts, parking services, housing and dining, intercollegiate athletics, student activities and union facilities, recreational sports, publication services)
- Payroll
- Purchase of goods and services
- Expenditures, vouchers and credit cards
- Competitive bid law compliance
- Use of restricted funds
- Out-of-state bank accounts
- Conflict of interest
- Travel expense
- Procurement card use
- Constituent concerns
- Donations and endowments
- Open Public Meetings Act
- Tacoma campus: cash receipting over parking services and real estate revenues, and funds transfers
- Bothell campus: cash receipting over facility services revenues and funds transfers

FINANCIAL

We perform an annual audit of the statewide basic financial statements as required by state law (RCW 43.09.310). These financial statements are included in the Comprehensive Annual Financial Report (CAFR) prepared by and available from the Office of Financial Management. The CAFR reflects the financial activities of all funds, organizations, institutions, agencies, departments and offices that are part of the state's reporting entity.

We tested the University's account balances and financial activity related to the following:

- Cash and cash equivalents
- Investments
- Bonds payable
- Higher education student services (charges for services)
- Charges for services
- Operating grants and contributions
- Federal grants-in-aid
- Education expenses
- Student services
- Salaries and wages
- Goods and services
- Depreciation of assets
- Buildings
- Furnishings and equipment
- Accumulated depreciation

FEDERAL PROGRAMS

Federal grant audit work is performed on a statewide basis, in accordance with the revised Single Audit Act. We select federal programs for audit using risk-based criteria set forth in the U.S. Office of Management and Budget Circular A-133.

We evaluated internal controls and tested compliance with federal requirements, as applicable, for the following major federal programs at the University:

- Research and Development cluster
- Student Financial Aid cluster
- National Center for Research Resources and Infrastructure

In addition, we followed up on prior audit recommendations for the following federal programs at the University:

- Research and Development cluster

Schedule of Audit Findings

State of Washington University of Washington

- 1. Certain University departments' controls are not working effectively to ensure Time And Effort Certification forms are completed in a timely manner and to ensure monthly certification of salaries and wages paid for federal programs are completed as required.**

Description of Condition

The University of Washington has approximately 500 organizational units that receive federal assistance for research and development programs. The Regional Primate Center also receives other federal assistance. Organizational units are used to account for financial information for the University. From July 1, 2004, through June 30, 2005, the University spent approximately \$621 million in federal funds for research and development programs and \$14 million in federal funds for the Regional Primate Center (CFDA 93.389).

Federal regulations require universities to establish a system that demonstrates allowable and allocable payroll costs charged to federal grants and sponsored agreements. To that end, the University distributes Faculty Effort Certification (FEC) forms and Grant and Contract Certification Reports (GCCR) to campus departments for faculty, staff and hourly employees whose compensation is charged to each grant and contract budget.

To comply with federal regulations, University policy requires faculty to verify the information on the FEC form, to certify and return the form to Management Accounting and Analysis (MA&A) within five weeks. University policy requires principle investigators to verify and certify GCCR forms within 60 days after receiving them. This is noted on the form.

During our 2004 audit, we communicated to management that the University was not complying with this requirement. For the 2005 audit, we reviewed 13 federal awards, which included 30 payroll transactions subject to FEC certification and 149 payroll transactions subject to GCCR certification. We found six awards with FEC noncompliance and five awards with GCCR noncompliance as follows:

FEC Certifications

CFDA 93.389 National Center for Research Resources and Infrastructure:

- Three forms were certified by the faculty member and received by MA&A after the return due date.
- Three forms were certified by the faculty member by the due date, but were received by MA&A after the return due date.

CFDA 93.866 Aging Research:

- One form was certified by the faculty member by the due date, but we found no evidence as to when MA&A received it.

CFDA 93.846 Arthritis, Musculoskeletal and Skin Diseases Research:

- One form was certified by the faculty member and received by MA&A after the return due date.
- Two forms were certified by the faculty member by the due date, but were received by MA&A after the return due date.

CFDA 93.837 Heart and Vascular Diseases Research:

- One form was certified by the faculty member and received by MA&A after the return due date.

CFDA 93.864 Male Contraception Research Center:

- Three forms were certified by the faculty member and received by MA&A after the return due date.
- One form was certified by the faculty member by the due date, but received by MA&A after the return due date.
- One form was certified, but not dated by the faculty member, and submitted to MA&A after the due date.

CFDA 93.866 Aging Research:

- One of four forms was certified by the faculty member and received by MA&A after the return due date.
- Two forms were certified by the faculty member by the due date, but were received by MA&A after the return due date.

For all federal awards reviewed, 63 percent of FECs tested were submitted after the five-week deadline.

GCCR Certifications:

CFDA 93.389 National Center for Research Resources and Infrastructure:

- Four reports associated with 12 of 30 payroll transactions examined were either not dated or not signed within 60 days.

CFDA 93.837 Heart and Vascular Diseases Research:

- Three of four reports reviewed for internal control testing were not signed.
- Five reports associated with six of nine payroll transactions tested for compliance were not signed. Of the six instances, four transactions were the same reports reviewed during the testing of controls.

CFDA 93.856 Microbiology and Infectious Diseases Research:

- One report associated with four of 11 payroll transactions examined was not signed within 60 days.

CFDA 93.859 Biomedical Research and Research Training:

- Four reports associated with six of eight payroll transactions examined were reviewed and signed by the principle investigator but not dated. We found no evidence that the report was signed within 60 days.
- One report associated with one of eight payroll transactions examined was not signed within 60 days.

CFDA 93.864 Male Contraception Research Center:

- One report associated with one of 18 payroll transactions examined was reviewed and signed by the principle investigator but not dated. We found no evidence that the report was signed within 60 days.

Cause of Condition

The departments did not follow procedures to ensure time and effort records were reviewed and approved in accordance with University policy over federal grants.

Effect of Condition

Without proper time and effort records, the University cannot demonstrate allowable and allocable payroll costs charged to federal programs. Because the FECs were eventually submitted for certification, we are not questioning payroll costs charged to the federal programs.

Recommendation

We recommend the University take steps to ensure monthly certifications of salaries and wages paid for federal programs are completed in accordance with University policy to ensure compliance with federal regulations.

University's Response

FEC Certifications:

The University concurs that some faculty effort was certified after the University's deadline. However, we note that federal guidelines have no defined deadline for effort certifications. The University of Washington established internal dates to encourage efficiency and establish a follow-up process. We believe that federal compliance is achieved when the PI signs the faculty effort certification (FEC) by the University's internal due date. We do not agree that those signed by the University's due date but received after the central office's due date are out of compliance with federal guidelines. Of the 30 payroll transactions tested, 20 were certified by the faculty member consistent with the University's internal due date.

We are engaged in a variety of projects that will improve the timeliness of faculty effort certification. Those projects include mandatory training of all faculty receiving effort reports (concluded 11/30/05). In addition, we are enhancing our follow up process to ensure FECs are certified and submitted in a timely manner. Also, individual departments cited in this finding will strengthen their monitoring and follow-up processes.

Finally, we are beginning a process to replace our manual system with an electronic effort reporting and certification system. Later this spring, we will begin an analysis that is expected to result in a decision to build or buy a new electronic effort reporting system. The new system will include enhanced tracking and follow-up capabilities.

GCCR Certifications:

The University concurs that some Grant and Contract Certification Reports (GCCRs) were signed after the University's deadline. The University is working with departments on a campus wide basis and specifically with those cited in this finding to strengthen controls that ensure GCCRs are signed in a timely manner.

Auditor's Remarks

We thank University officials for the assistance we received during the audit.

Applicable Laws and Regulations

Office of Management and Budget Circular A-21, *Cost Principles for Educational Institutions*, Section J, subsection 2.e states:

For professorial and professional staff, the reports will be prepared each academic term, but no less frequently than every six months. For other employees, unless alternate arrangements are agreed to, the reports will be prepared no less frequently than monthly and will coincide with one or more pay periods.

Office of Management and Budget Circular A-21, *Cost Principles for Educational Institutions*, Section J, subsection 3 and 3.f states:

(3) Multiple Confirmation Records: Under this system, the distribution of salaries and wages of professorial and professional staff will be supported by records which certify separately for direct and F&A cost activities as prescribed below . . .

. . . (f) The reports will be prepared each academic term, but no less frequently than every six months.

Office of Management and Budget Circular A-21, *Cost Principles for Educational Institutions*, Section J, subsection 10, b.2.b states:

The method must recognize the principle of after-the-fact confirmation or determination so that costs distributed represent actual costs, unless a mutually satisfactory alternative agreement is reached. Direct cost activities and F&A cost activities may be confirmed by responsible persons with suitable means of verification that the work was performed. Confirmation by the employee is not a requirement for either direct or F&A cost activities if other responsible persons make appropriate confirmations.

Schedule of Audit Findings

State of Washington University of Washington

2. The University did not submit financial status reports in a timely manner.

Description of Condition

Federal regulations and the University's grant contracts require financial status reports to be submitted to the granting agency within 90 days of the expiration date of the budget period.

During our 2001 audit of federal programs, we communicated instances in which the University did not comply with this reporting requirement.

In our current audit, we reviewed 13 awards and identified five awards that had financial reporting requirements. Based on our testing, we determined that, for three of the five awards, the University did not submit a financial status report to the sponsor within 90 days after the budget ended as follows:

- The National Center for Research Resources and Infrastructure program status report was submitted six months late.
- The Biomedical Research and Research Training program status report was submitted eight months late.
- The Male Contraception Research Center Grant status report was submitted two months late.

Cause of Condition

The Grants and Contract Accounting Office did not ensure financial status reports were submitted with the 90-day deadline due to significant turnover.

Effect of Condition

Federal grantors rely on the information submitted in these reports to assess program operations and to make decisions about future awards. Untimely submission of the financial status reports could result in the University losing federal funding for continued programs.

Recommendation

We recommend the University take steps to ensure financial status reports are submitted in accordance with federal regulations.

University's Response

The University concurs that 3 financial status reports (FSRs) were not submitted in a timely manner. Grant and Contract Accounting (GCA) experienced a 50% rate of turnover in the fiscal positions responsible for preparing FSRs in 2005. GCA is working aggressively to hire and train new staff so that FSRs can be submitted in a timely manner.

Auditor's Remarks

We thank University officials for the assistance we received during the audit.

Applicable Laws and Regulations

Office of Management and Budget Circular A-110, *Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations*, Section __.51(b) states:

Except as provided in paragraph __.51(f), performance reports shall not be required more frequently than quarterly or, less frequently than annually. Annual reports shall be due 90 calendar days after the grant year; quarterly or semi-annual reports shall be due 30 days after the reporting period. The Federal awarding agency may require annual reports before the anniversary dates of multiple year awards in lieu of these requirements. The final performance reports are due 90 calendar days after the expiration or termination of the award.

Office of Management and Budget Circular A-110, *Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations*, Section __.52(a.1.iv) states:

The Federal awarding agency shall require recipients to submit the SF-269 or SF-269A (original and no more than two copies) no later than 30 days after the end of each specified reporting period for quarterly and semi-annual reports, and 90 calendar days for annual and final reports. Extensions of reporting due dates may be approved by the Federal awarding agency upon request of the recipient.

Schedule of Audit Findings

State of Washington University of Washington

3. The University paid contractors without Intent to Pay Prevailing Wage forms on file as required by state law.

Description of Conditions

The University spent approximately \$373 million on capital projects in 2005.

We selected four projects, totaling more than \$212.5 million, to examine for compliance with state bid laws. For three of the four projects, we found the contractor did not file Intent to Pay Prevailing Wages forms prior to receiving its first payment from the University as required by state law (RCW 39.12.40).

This condition was noted in the following projects:

- For Research and Technology Project number 10297, the initial payment of \$803,230 was released to the contractor approximately two months before the form was filed.
- For Bioengineering and Genome Sciences Building Project number 3615, the initial payment of \$1,827,896 was released to the contractor approximately eight months before the form was filed.
- For Conibear Shellhouse Renovation and Addition Project number 1915, the initial payment of \$82,755 was released to the contractor approximately two months before the form was filed.

Cause of Condition

University management did not fully understand the laws regarding the filing of the Intent to Pay Prevailing Wage forms by the contractor.

Effect of Condition

Failure to file the required certifications increases the risk that laborers are not paid the wages they are entitled to under state prevailing wage laws. The University could be held liable for any wages due to laborers that do not meet state prevailing wages.

Recommendation

We recommend the Univeristy develop policies and procedures to ensure compliance with state bids laws.

University's Response

The University concurs with the finding.

The University of Washington Capital Projects Office and the General Contractor/Construction Managers (GC/CM) in the projects mentioned above have used a different interpretation of the statutes authorized under (RCW 39.10.) for Alternative Public Works Projects. The UW interpretation, as well as the interpretation of the contractors who were under contract with the University, understood the intent to pay prevailing wages needed to be submitted to Department of Labor and Industries when and only when the (GC/CM) self performed under subcontractor bid packages. The intent to pay prevailing wages were filed in a timely manner for all subcontractor bid packages.

The Department of Labor and Industries interpretation of (RCW 39.12.40) is that the intent to pay prevailing wages is to be filed with the Department of Labor and Industries by the GC/CM prior to any payment being made to the GC/CM by the University.

The University has modified its practice and now requires a copy of the Intent to Pay Prevailing Wages be on file in the Capital Projects Office prior to making a progress payment to the GC/CM contractor.

Auditor's Remarks

We thank the University for its cooperation and assistance during the audit and acknowledge its commitment to improving the condition described. We will review the status of the finding during our next audit.

Applicable Laws and Regulations

RCW 39.12.040 Statement of intent to pay prevailing wages, affidavit of wages paid--Duty of public agencies to require--Approval--Prerequisite to payment--Alternative procedure - states in part that:

. . . before payment is made by or on behalf of the state, or any county, municipality, or political subdivision created by its laws, of any sum or sums due on account of a public works contract, it shall be the duty of the officer or person charged with the custody and disbursement of public funds to require the contractor and each and every subcontractor from the contractor or a subcontractor to submit to such officer a "Statement of Intent to Pay Prevailing Wages".

RCW 39.12.042 - Compliance with RCW 39.12.040--Liability of public agencies to workers, laborers, or mechanics - states in part:

If any agency of the state, or any county, municipality, or political subdivision created by its laws shall knowingly fail to comply with the provisions of RCW 39.12.040 as now or hereafter amended, such agency of the state, or county, municipality, or political subdivision created by its laws, shall be liable to all workers, laborers, or mechanics to the full extent and for the full amount of wages due, pursuant to the prevailing wage requirements of RCW 39.12.020.

Status of Prior Audit Findings

State of Washington University of Washington

This status of findings contained in the prior years' audit reports of the University is provided below:

1. **The University of Washington did not comply with federal grant requirements for two of its research and development programs.**

University of Washington Accountability Report, dated January 28, 2005

Background

As a result of our 2004 audit, we identified questioned costs charged to federal research and development grants in two of the departments, totaling \$36,509. The following costs were improperly charged to this federal program:

Center for AIDS and STD

- \$17,888 in salary and benefit paid to the Assistant Director that was not based on actual effort as required by federal regulations.
- \$2,104 in miscellaneous supplies and shipping charges that were not used to support the program.

Department of Radiology

- \$16,517 in salaries and benefits paid to the principal investigator and co-principal investigator that were not based on actual effort as required by federal regulations.

Status

We did not identify any questioned costs charged to federal research and development grants during the current audit. We consider the finding resolved. The University has taken the following corrective action:

1. Questioned costs were removed from the federal grants and transferred to appropriate funding sources:
 - Center for AIDS/STD transfer July 8, 2004
 - Department of Radiology transfer August 23, 2004
2. New internal control mechanisms were implemented:
 - Center for AIDS/STD – August 15, 2004
 - Department of Radiology – November 10, 2004

3. Additional corrective action at the Center for AIDS/STD:

- New staff (1.5.FTE) was hired to provide additional oversight on financial activities – November 1, 2004
- Employees were cross-trained and responsibilities redistributed to provide additional back-up support – February 28, 2005

The state of Washington received a management decision letter dated September 16, 2005, stating the Office of Audit Resolution and Cost Policy, Health and Human Services has determined that the corrective action plan and subsequent comments satisfied the auditor's recommendation.

VII. STANDING COMMITTEE

B. Finance, Audit and Facilities Committee

2005 Internal Audit Results

See attached.



Report of Audit Results 2005



*Finance and Audit Committee
Board of Regents*

Department of Audits
University of Washington

March 2006



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Executive Summary

Internal Audit engages in three primary activities – audits, advisory services, and investigations. Our focus is to proactively work with campus schools and colleges assisting management to understand financial risk and exposures. Rather than duplicate the financial and compliance auditing performed by KPMG and the State Auditor’s Office, Internal Audit concentrates on departmental control systems and processes.

In 2005, we visited 52 University units: 37 as part of our planned scheduled audits, five at the request of management and 13 as part of special investigations. Total actual audit hours of 10,110 was 1,040 hours less than budgeted as the result of audit staff turnover and additional time needed for training.

Overall, we found departments generally had good control systems in place. Exceptions resulted primarily from management oversight rather than from problems systemic at the University level.

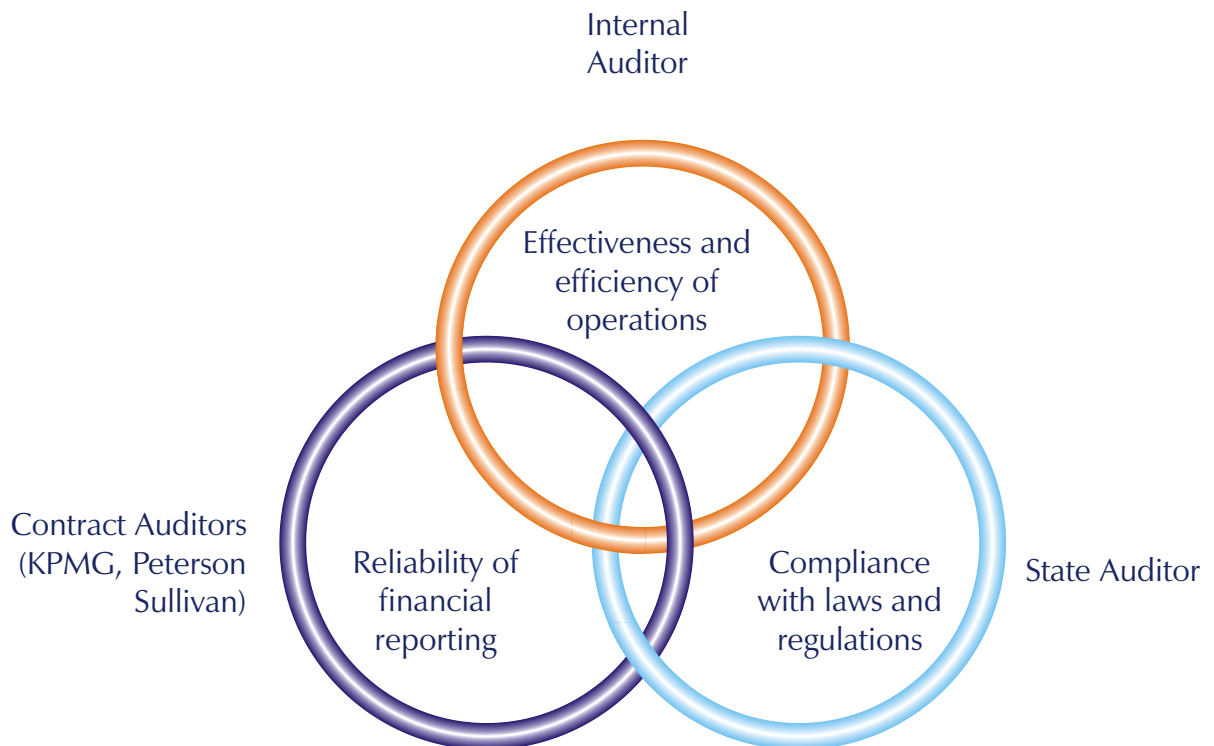
We continued this year to focus our information technology audits on departmental systems developed and operated to meet specific departmental needs. The systems we examined had not been audited before. Our audits revealed that departments need to strengthen controls related to system access and security, disaster recovery, and business continuity.

Internal Audit follows up every audit recommendation approximately six months after each audit report is issued. We followed up on a greater number of recommendations in 2005 than in 2004. The recommendation implementation rate was 68%, 10 points below the industry average of 78%. We attribute this not to unwillingness on the part of departments to accept findings, but rather to limited staffing, reorganizations, other higher priorities, and the complexities of implementing solutions. We continue to track recommendations until they have either been implemented or alternative solutions are found.



Audit Coverage Overview

As part of the coordinated audit approach adopted in 1990, the Department of Audits works closely with contract auditors and the State Auditor to maximize audit coverage and to avoid duplication of effort. Each audit organization has a specific role:





Work Accomplished in 2005

Scheduled Department Audits*

Completed:

Capital Projects
 College of Forest Resources
 Intercollegiate Athletics
 Department of Surgery
 Audit Follow-ups (37)

In Progress:

Department of Radiology

Scheduled University-Wide Audits*

Completed:

Endowments and Gifts

- College of Architecture and Urban Planning
- Genome Sciences
- Department of Orthopaedics and Sports Medicine
- Pulmonary and Critical Care, Medicine
- Department of Urology

Revolving Funds

- School of Law

Management Requests

College of Education—Center for the Study and Teaching of At-Risk Students (Safe Schools and Drug Free grants)

Department of Communication (Dart Center for Journalism and Trauma)

Gastroenterology (conflict of interest)

Office of Intellectual Property and Technology Transfer (genetic test patent)

School of Oceanography (field advance)

Special Investigations

Fraud (Total Losses \$9,000)

Grant Compliance

State Ethics Law Compliance

Financial Processes

Training Provided

University Training & Development

- Faculty Grants Management (4)
- Internal Controls and Fraud Prevention (2)
- Work & Leave Records Maintenance (5)

Departments

Gifts (1)

Grants Management (4)

Overview of Internal & External Auditors (1)

State Ethics Law (9)

Advisory Services

- Campus Security Advisory Committee
- Data Management Users Group
- Electronic Faculty Effort Certification Team (eFEC)
- Effort Reporting Team
- Environmental Health & Safety Committee
- Privacy Assurance & Systems Security Council
- System to Administer Grants Electronically Team (SAGE)
- Tax Strategy Team
- User Transitioner's Team

External Relations

Memberships

State Committees

- Higher Ed Interinstitutional Internal Auditors

Professional Organizations

- Agora (IT Security)
- Association of College & University Auditors
- Association of Governmental Accountants
- Certified Fraud Examiners
- Information Systems Audit and Control Associations
- Institute of Internal Auditors
- Washington State Society of CPAs

* See page 4 for Results of 2005 Scheduled Audits



Results of 2005 Scheduled Audits

In 2005, the Department of Audits continued to emphasize the University's commitment to ensure that departments have solid control systems in place.

Overall, we found that internal controls are sufficient to ensure compliance with applicable regulations and policies, and to ensure that business objectives are achieved. We found no flagrant deficiencies in the course of these scheduled audits. Audit exceptions resulted primarily from lapses in management oversight and not from systemic problems at the University level.

Endowments and Gifts

We reviewed one college and four departments as part of our University-wide audits of gifts and endowments. Overall, we found that the University is spending funds in compliance with donor intent. We recommended that organizations maintain appropriate gift documentation, strengthen procedures to ensure approvals are documented, and reconcile gift receipts to the financial activity reports.

Capital Projects

We reviewed the Capital Projects Office's (CPO) compliance with pertinent state law and internal controls in the management of projects valued under \$5 million. We found that CPO was in compliance with state law and has a sound system of internal controls in place, but that controls in some areas were not always being followed. We recommended strengthening controls over the appointment of consultants, use of change orders and close out of projects.

College of Forest Resources

We reviewed the college's system of internal controls over grants fiscal management, payroll, purchasing and petty cash transactions, restricted gifts and endowments, self-sustaining budgets, and information technology. We recommended that the college significantly strengthen controls over all areas audited.

Intercollegiate Athletics (ICA)

We reviewed the department's system of internal controls over student-athlete eligibility, financial aid, investigations and self-reporting of rules violations, playing and practice seasons, and rules education for compliance with the National Collegiate Athletics Association (NCAA) bylaws and departmental policies. Over the past year we found ICA management has made significant improvements to monitoring and overseeing compliance. We recommended that ICA monitor the student-athlete participation in football's summer conditioning period to ensure that NCAA hour limits are not exceeded.

Department of Surgery

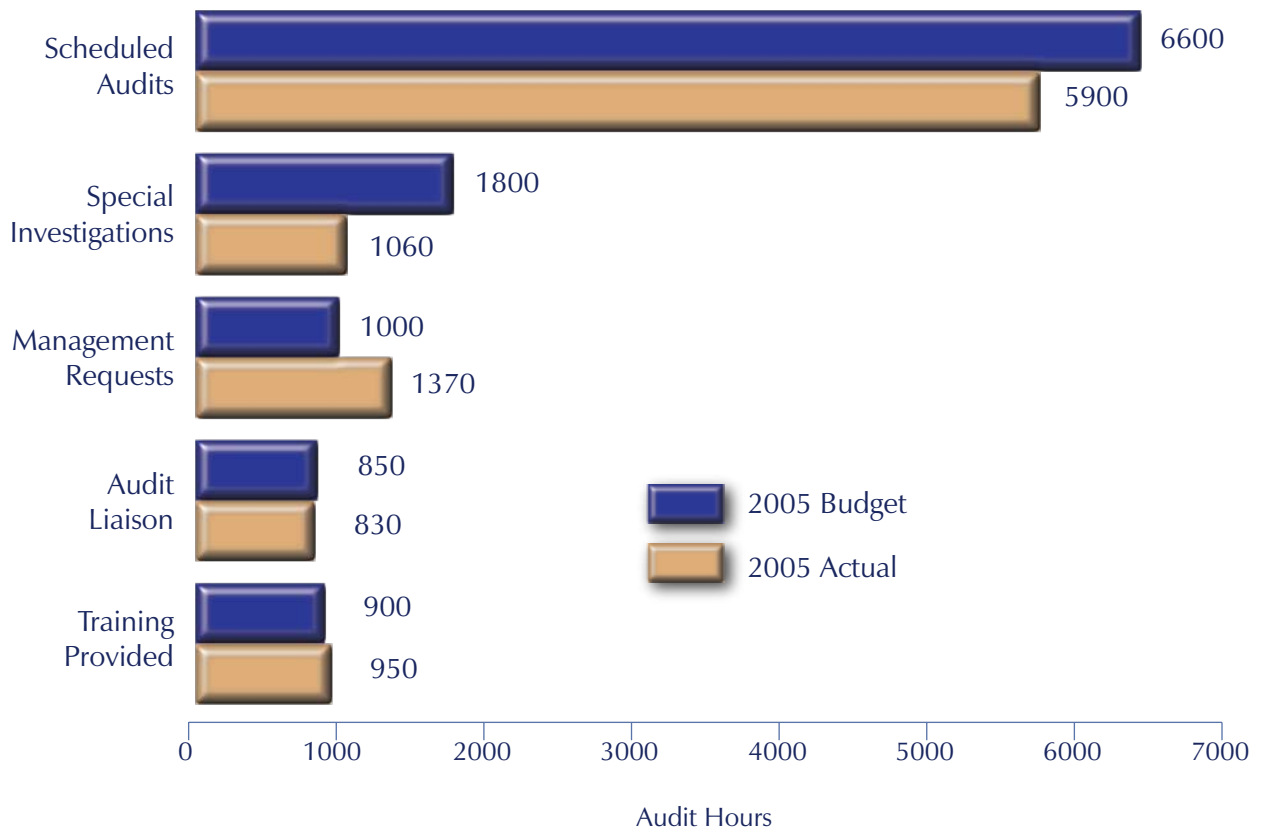
We evaluated the department's system of internal controls related to grants fiscal management, payroll, purchasing and travel, gifts, and information technology. We recommended the department strengthen controls over payroll, faculty effort certification, and information technology.

School of Law

We reviewed the school's system of internal controls over its \$20,000 revolving fund. We recommended the department strengthen controls over the fund reconciliation.



Audit Hours by Type of Audit—2005 Budget and 2005 Actual



Total budget hours exceeded actual hours by 1040 hours. This was a result of a vacant position and additional time needed to train new hires.

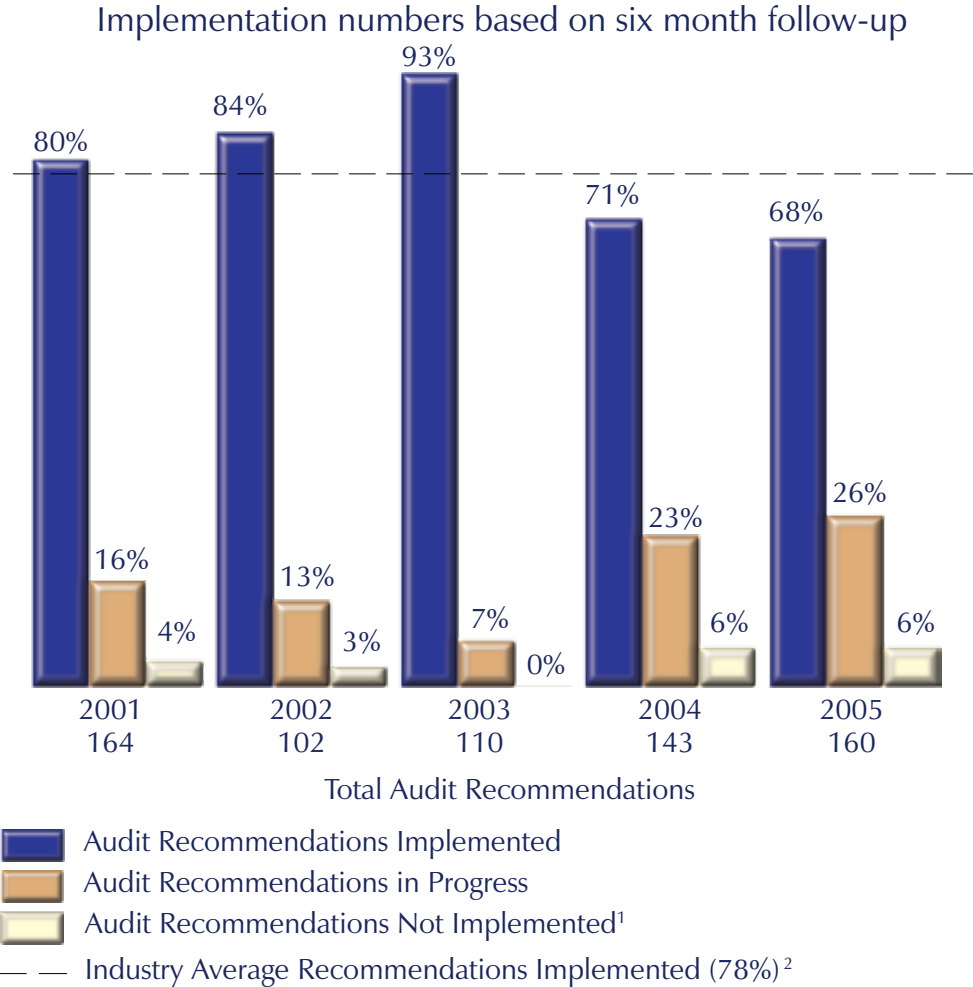


Implementation of Audit Recommendations 2001–2005

Internal Audit conducts follow-up reviews to determine whether audit recommendations agreed to by management have been implemented. Follow-up reviews generally occur six months after an audit is completed.

Internal Audit performed thirty-seven follow-ups reviews in 2005. Seventeen were first time follow-up reviews, sixteen were second time follow-ups, and four were third time follow-ups. All the outstanding recommendations for the third time follow-ups have been implemented.

The following graph compares the percentage of recommendations implemented for the years 2001–2005.



¹ Management planned to implement the audit recommendations but had not done so at the time of our follow-up reviews. Audit recommendations had not been implemented because of staffing limitations, reorganizations, other higher priorities, or the complexities of implementing solutions.

² Source: The 1999 Analytical Benchmarking for Auditors in the College and University Sector (ABACUS).



Internal Audit Charter

Mission

The mission of Internal Audit is to actively provide the Board of Regents and the University of Washington community with useful information that improves accountability through independent assurance, consulting and education services. This is accomplished through independent audits and consultations designed to evaluate and promote a strong system of internal controls, including effective and efficient operations.

Authority

The Department of Audits functions under the authority of the Finance and Audit Committee of the Board of Regents of the University of Washington.

The Department of Audits is authorized to have full, free, and unrestricted access to information including records, computer files, property, and personnel of the University. Internal Audit is free to review and evaluate all policies, procedures and practices of any University activity, program, or function.

In performing the audit function, the Department of Audits has no direct responsibility for, nor authority over, any of the activities reviewed. Therefore, the internal audit review and appraisal process does not in any way relieve other persons in the organization of the responsibilities assigned to them.

Scope

The scope of internal audit activity encompasses the examination and evaluation of the adequacy and effectiveness of the University's system of internal control and the quality of performance in carrying out assigned responsibilities including appropriate training and consulting assistance. Internal auditors are concerned with any phase of University activity in which they may be of service to management. This involves going beyond the accounting records to obtain a full understanding of operations under review.

Independence

To permit the rendering of impartial and unbiased judgment essential to the proper conduct of audits, internal auditors will be independent of the activities they audit. This independence is achieved through organizational status and objectivity.

- *Organizational Status:* The Director of Audits is responsible to the Treasurer, Board of Regents, whose scope of responsibility and authority assures that audit findings and recommendations will be afforded adequate consideration and the effectiveness of action will be reviewed at an appropriate level. The Director of Audits has direct access to both the President and the Board of Regents, and may take matters to them that are believed to be of sufficient magnitude and importance to require their immediate attention.
- *Objectivity:* Because objectivity is essential to the audit function, an internal auditor does not develop and install procedures, prepare records, or engage in any other activity which the auditor would normally review and appraise and which could reasonably be construed to compromise the auditor's independence. The auditor's objectivity is not adversely affected, however, by determining and recommending standards of control to be adopted in the development of systems and procedures under review.

Responsibility

The internal audit staff has a responsibility to report to University management on the areas examined and to evaluate management's plans or actions to correct reported findings. In addition, the Director of Audits has a responsibility to report at least annually to the Board of Regents Finance and Audit Committee and to inform the Board of any significant findings that have not been reasonably addressed by University management.

The Director of Audits will coordinate internal and independent outside audit activities to ensure adequate coverage and minimize duplicate efforts.

Standards

The responsibility of the Department of Audits is to serve the University in a manner that is consistent with the standards established by the internal audit community. At a minimum it shall comply with the relevant professional auditing standards and code of conduct of the Institute of Internal Auditors (IIA) and the Association of College and University Auditors (ACUA).

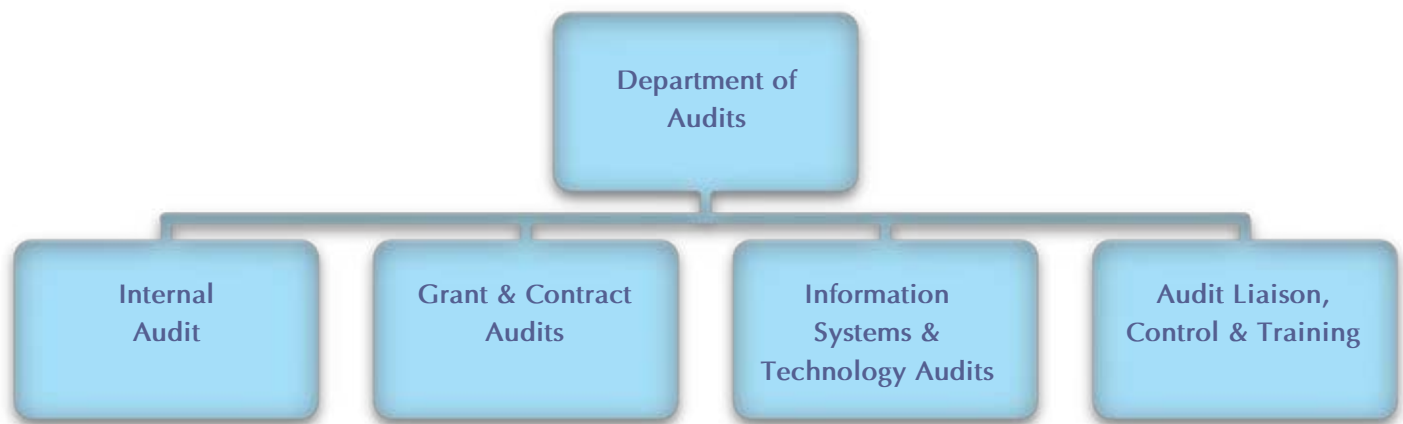


Audit Services by Function

“The objective of internal auditing is to assist agency staff in the effective discharge of their responsibilities.”

Source: *State Administrative & Accounting Manual, O.F.M., Section 20.40.20, May 1, 1999*

We have changed our focus from a traditional transaction-based auditing model to a proactive risk-based model. We partner with campus management to analyze business processes and identify risk and improvement opportunities. To effectively carry out our responsibilities, the Department of Audits is organized into four functions:



Internal Audit

- Internal Control Systems
- Departmental Support
- Compliance
- Special Investigations

Grant & Contract Audit

- Grant Management Systems
- Special Investigations

Information Systems & Technology Audits

- Internal Control Systems
- Central Computing Systems
- Departmental Computing Systems

Audit Liaison, Control and Training

- Management Communications
- External Auditor Liaison
- Audit Resolution
- Audit Contracting
- Training and Development



Contract Auditors on Campus During 2005

KPMG

Financial statement audits for:

- University of Washington
- Intercollegiate Athletics
- Parking Services
- UW Medical Center
- UW Medical Center Consolidated Laundry
- UW Medical Center Service League
- Harborview Medical Center
- Harborview Sexual Assault Center
- Harborview Eastside Sexual Assault Center for Children

Peterson Sullivan

- Metropolitan Tract Financial Statements Audit
- UNICO Properties—Lease Compliance
- Fairmont Olympic Hotel—Lease Compliance
- GVA Kidder Matthews—Operating Agreement

Financial statement audits for:

- Associated Students of the UW
- Graduate & Professional Student Senate
- Student Publications
- Student Union Facilities
- Housing & Dining System
- Retail & Remote Food Services



External Auditors on Campus During 2005

Office of the State Auditor

- *Compliance with state and federal regulations*
- *Statewide Single Audit of federal programs*
- *Whistleblower and citizen complaints*
- *Fraud investigations*

U.S. Department of Health and Human Services

- *Review allegations regarding a post-doc's eligibility for the NIH loan repayment program (desk review)*
- *Applied Physics Laboratory—audit of costs claimed (desk audit)*

Office of Naval Research

- *Property Control System Analysis*

Defense Contracting Agency

- *Applied Physics Laboratory—review of prorated direct cost rate proposal*

Air Force

- *Student Fiscal Services—third party billing (desk audit)*

King County Public Health

- *Pediatrics –fiscal and administrative review of subcontract*

National Oceanic and Atmospheric Administration

- *Joint Institute for the Study of the Atmosphere and Oceans—administrative review*

City of Seattle

- *Intercollegiate Athletics—admissions tax audit*

National Science Foundation

- *University-wide—NSF statistical analysis of improper payments (desk review)*
- *University-wide – NSF award monitoring site visit*
- *College of Education – site visit review*

Children's Mercy Hospitals and Clinics

- *Neonatology—audit of costs claimed (desk audit)*

U.S. Department of Education

- *Office of Minority Affairs—site review of the GEAR UP program*

Johns Hopkins University

- *Civil Engineering—audit of costs claimed (desk audit)*

U.S. Department of Environmental Protection Agency

- *University-wide – internal controls (desk review)*

VII. STANDING COMMITTEE

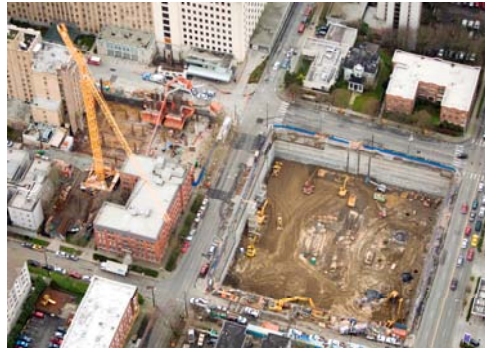
B. Finance, Audit and Facilities Committee

UWINCO Update

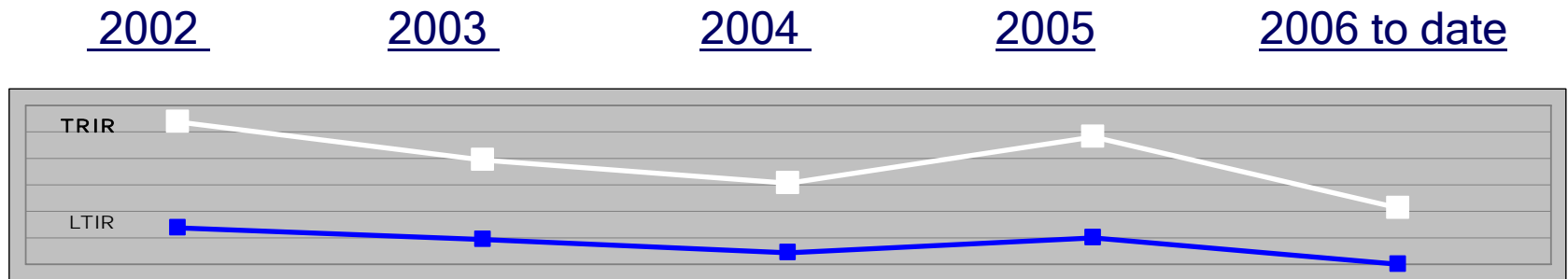
An oral report was given at the meeting.

Capital Projects Office Status Report

October 2005-February 2006



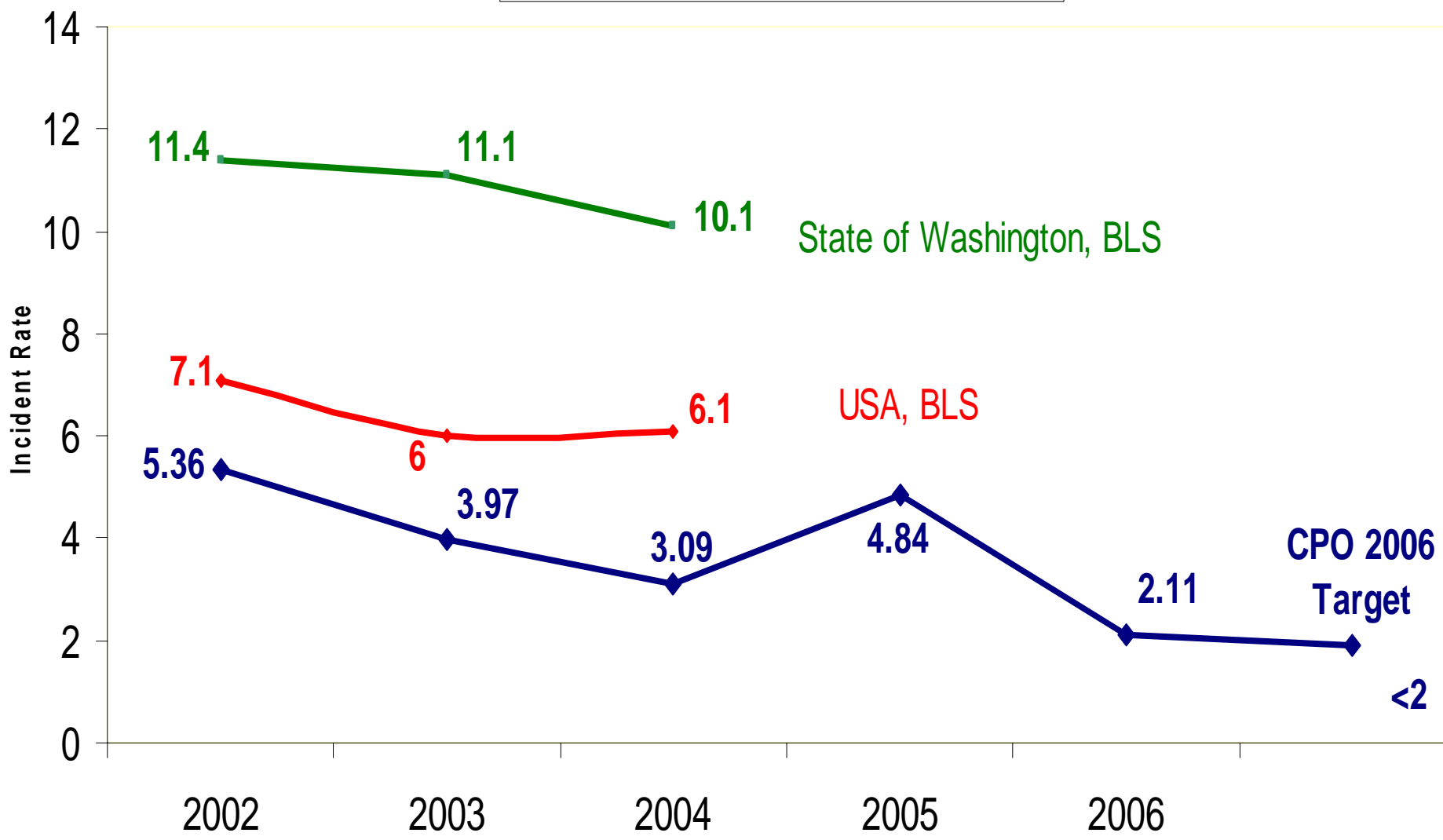
CPO Safety



TRIR

LTIR

TRIR Calendar Year



Cost Issues

- ▶ 2000 - 2005 Escalation 19.3%
- ▶ 2006 Forecast Escalation 6%
- ▶ Market Condition
- ▶ Forecast

Project Statistics

- ▶ 25 Approved Major Projects (0)
- ▶ Budgets of Active Projects \$1.1 Billion (-.1)
- ▶ Two Major Project Closed Out (+2)
- ▶ 295 Active Special Projects (-15) *
- ▶ Special Projects Budgets \$198 Million (+16)
- ▶ 63 Special Projects Closed Out (-24) (\$42M)

*corrected

Emerging Projects

- ▶ UWMC Project
- ▶ Mechanical Engineering Building
- ▶ Sandpoint Pediatric

Major Projects in Closeout

	Budget/Fcst \$M		Use Date / F'cst
Allen Center	70/64.5	↑	10/03 - 10/03 ■
UWMC Cardiac/Emerg.	7.2 / 6.9	↑	11/05 - 10/05 ↑
Emergency Power II	6.7 / 5.7	↑	9/05 - 12/05 ↓
Tacoma Phase 2B	44.4 / 44.4	■	10/03 - 1/04 ↓
Terry - Lander		↓	9/04 - 9/04 ■
IMA Expansion	43.4 / 43.4	■	8/03 - 11/03 ↓
Johnson Hall	55.3 / 55.4	■	9/05 - 10/05 ■
Merrill Hall		↓	6/04 - 12/04 ↓
UWT Garage	7.5 / 7.6	↓	12/05 - 1/06 ■

Major Projects in Bid / Construction

	Budget/Fcst \$M	Use Date / F'cst
Biochemistry	8.5 / 8.5 ■	5/06 - 5/06 ■
Harborview Bond	294.5 / 294.5 ↓	8/08 - 8/08 ■
Golf Range	8 / 8 ■	8/04 - 3/07 ↓
R & T Building	29.9 / 29.6 ↑	9/05 - 3/06 ↓
Regional Heart	9.8 / 10.1 ↓	12/05 - 4/06 ↓
Wm. Foegen Bldg	150 / 148 ↑	5/06 - 5/06 ■

Major Projects in Design

Budget/Fcst \$M

Use Date / F'cst

Architecture Hall	24.5 / 24.5	■
Educ. Outreach	17.2 / 17.9	↓
Guggenheim Hall	28.3 / 28.3	■
HSC H-Wing	18.2 / 18.2	■
West Campus Prkg	12.8 / 12.8	■

Major Projects in Design

Budget/Fcst \$M

Use Date / F'cst

Clark Hall	18.1 / 18.1	■
PlayHouse	7.1 / 9.9	■
UWT Hall *	10.8 / 10.8	■
Savery Hall	61.2 / 61.5	↓
Business School *	TBD	

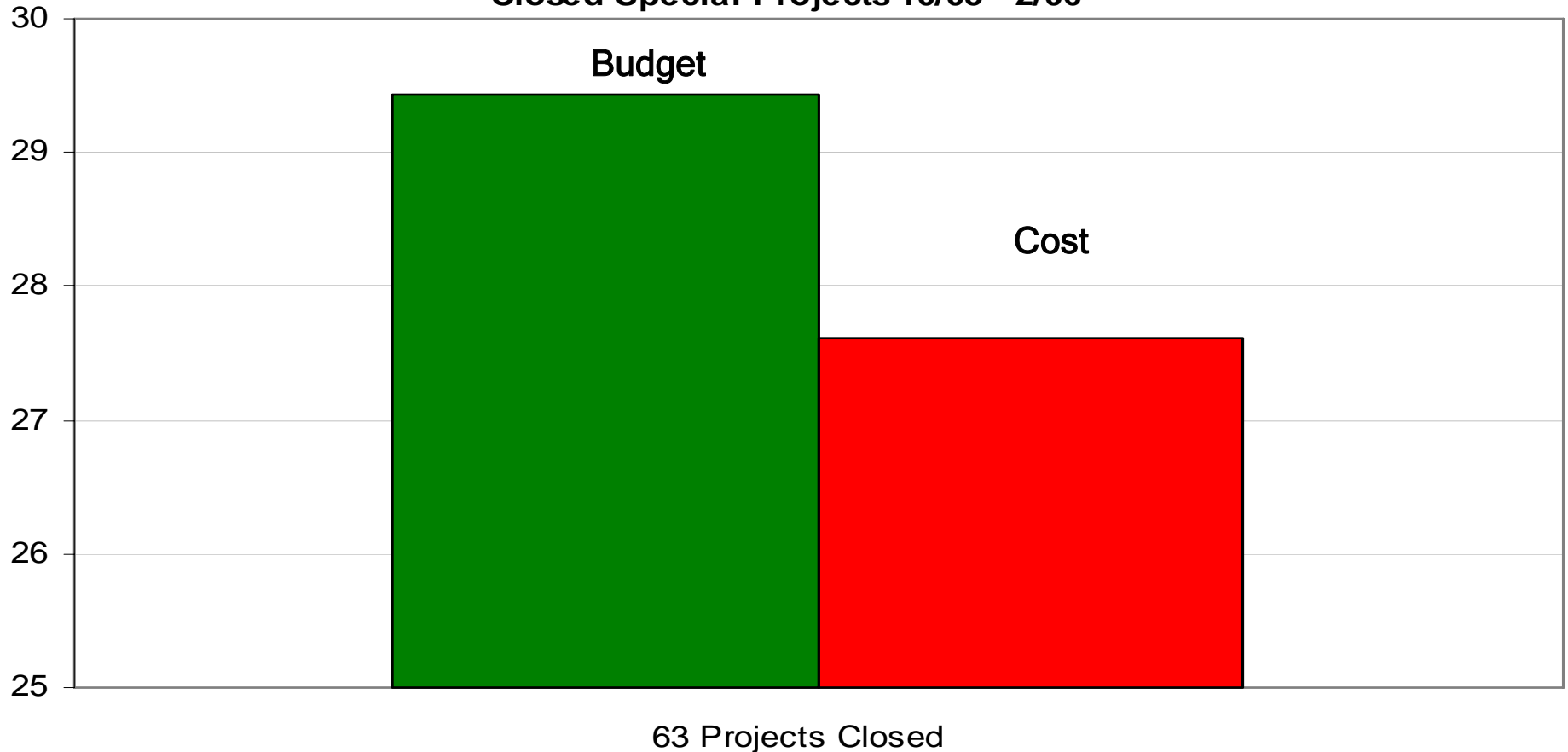
*New this reporting period

Major Projects Closed

	Budget / Actual \$M	F'cst / In Use
Conibear	16.7 / 15.6 ↑	■
E-Power I	12.1 / 8.3 ↑	↓

Special Project Closures

Closed Special Projects 10/05 - 2/06



CPO - Sustainability

Certified

Silver 

Registered

Under
Consideration

UW Tacoma 2B
Nordheim Court
Merrill Hall



R & T Building
Guggenheim
Architecture
Educational
Outreach
Playhouse Ren.
Savery Hall
Clark Hall
UWT Hall

UWMC H-Wing

The Capital Projects Office employs 24 of the 41 LEED accredited professionals at UW
Currently 15 CPO personnel are preparing for LEED accreditation

Number of Project Contracts Issued October 2005 - February 2006

	W/MBE	Others
Designers/Consultants	6	92
Contractors	4	16