

July 13, 2006

TO: Members of the Board of Regents
Ex-officio Representatives to the Board of Regents

FROM: Michele M. Sams, Secretary of the Board of Regents

RE: Schedule of Meetings

JULY 20, 2006

10:30 a.m.–11:05 a.m.	142 Gerberding Hall	FINANCE, AUDIT AND FACILITIES COMMITTEE: Regents Kiga (Chr), Brotman, Cole, Jewell, Simon, Yapp
11:05 a.m.–12:00 p.m.	142 Gerberding Hall	ACADEMIC & STUDENT AFFAIRS COMMITTEE: Regents Cole (Chr), Barer, Faubion, Gates, Jewell, Proctor
12:15 p.m.–1:15 p.m.	142 Gerberding Hall	COMMITTEE OF THE WHOLE: Regents Jewell (Chair), Barer, Brotman, Cole, Faubion, Gates, Kiga, Proctor, Simon, Yapp
1:15 p.m.–2:45 p.m.	142 Gerberding Hall	FINANCE, AUDIT AND FACILITIES COMMITTEE: Regents Kiga (Chr), Brotman, Cole, Jewell, Simon, Yapp

in Joint Session with

3:00 p.m.	Petersen Room Allen Library	ACADEMIC & STUDENT AFFAIRS COMMITTEE: Regents Cole (Chr), Barer, Faubion, Gates, Jewell, Proctor
		REGULAR MEETING OF BOARD OF REGENTS

ENCLOSURES: Agendas for Committees

1-1/207/06
7/20/06



UNIVERSITY OF WASHINGTON
BOARD OF REGENTS

July 13, 2006

TO: Members of the Academic and Student Affairs Committee
Regents Cole (Chair), Barer, Faubion, Gates, Jewell, Proctor

FROM: Michele M. Sams, Secretary of the Board of Regents

RE: Meeting of Committee on 7/20/06 (11:05 a.m.–12:00 p.m., 142 Gerberding Hall)

The following topics are noted for discussion at the meeting of the committee on *July 20, 2006*. Items requiring action by the full Board of Regents are marked "DRAFT."

1. **Academic and Administrative Appointments** **ACTION** A-1
Phyllis M. Wise, Provost and Vice President for Academic Affairs
2. **Department of Management Science Name Change to Department of Information Systems and Operations Management** **ACTION** A-2
Phyllis M. Wise
James Jiambalvo, Dean, Business School
3. **Update on Admissions Process** **INFORMATION** A-3
Phyllis M. Wise
Philip Ballinger, Director of Admissions
4. **Services and Activities Fee – University of Washington, Seattle: Revised Guidelines** **ACTION** A-6
Eric S. Godfrey, Vice Provost for Student Life
Sean Kellogg, Chair, Services and Activities Fee Committee
5. **Other Business** **INFORMATION**



UNIVERSITY OF WASHINGTON
BOARD OF REGENTS

July 13, 2006

TO: Members of the Academic and Student Affairs Committee
Regents Cole (Chair), Barer, Faubion, Gates, Jewell, Proctor

In Joint Sessions with

Members of the Finance, Audit and Facilities Committee
Regents Kiga (Chair), Brotman, Cole, Jewell, Simon, Yapp

FROM: Michele M. Sams, Secretary of the Board of Regents

RE: Meeting of Committee on 7/20/06 (1:15 p.m.–2:45 p.m., 142 Gerberding Hall)

The following topics are noted for discussion at the meeting of the committee on *July 20, 2006*. Items requiring action by the full Board of Regents are marked "DRAFT."

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|----|--|--------------------|-----|
| 1. | University of Washington 2007–09 State Operating and Capital Budget Requests | ACTION | A–4 |
| | Phyllis M. Wise, Provost and Vice President for Academic Affairs
Harlan F. Patterson, Vice Provost for Planning and Budgeting
Gary R. Quarfoth, Associate Vice Provost | | |
| 2. | Draft Elements of a UW Six-Year Pilot Resource Plan | INFORMATION | A–5 |
| | Mark A. Emmert, President
Phyllis M. Wise
Harlan F. Patterson | | |
| 9. | Other Business | INFORMATION | |



UNIVERSITY OF WASHINGTON
BOARD OF REGENTS

July 13, 2006

TO: Members of the Committee of the Whole
Regents Jewell (Chair), Barer, Brotman, Cole, Faubion, Gates, Kiga,
Proctor, Simon, Yapp

FROM: Michele M. Sams, Secretary of the Board of Regents

RE: Meeting of Committee on 7/20/06 (12:15 p.m.–1:15 p.m., 142 Gerberding Hall)

The following topics are noted for discussion at the meeting of the committee on *Thursday, July 20, 2006*. Items requiring action by the full Board of Regents are marked "DRAFT."

1. **2005–2006 President Evaluation** (Information only) **INFORMATION** C–1
Regent Sally Jewell, Chair, UW Board of Regents
2. **Executive Session** (to consider the selection of a site or the acquisition of real estate by lease or purchase when public knowledge regarding such consideration would cause a likelihood of increased price)
3. **Other Business** **INFORMATION**



AGENDA

BOARD OF REGENTS University of Washington

July 20, 2006

3:00 p.m. – Petersen Room, Allen Library

(Item No.)

- I. CALL TO ORDER**
- II. ROLL CALL**
- III. CONFIRM AGENDA**
- IV. REPORT OF THE CHAIR OF THE BOARD OF REGENTS: Regent Jewell**
- V. REPORT OF THE UNIVERSITY PRESIDENT: Dr. Emmert**
- VI. CONSENT AGENDA**

Approval of Minutes of Meeting of June 8, 2006

Department of Management Science Name Change to Department of Information Systems and Operations Management A-2

University of Washington 2007-09 State Operating and Capital Budget Requests A-4

Services and Activities Fee – University of Washington, Seattle: Revised Guidelines A-6

Grant and Contract Awards – May, 2006 F-2

UW Bothell/CCC South Campus Access – Deed and Easement to be Granted to Washington State Department of Transportation F-3

Adoption of Supplemental Trust Agreement, Student Facilities Fee Refunding Revenue Bonds, Series 2005 F-5

Adoption of a Resolution Authorizing the Sale of General Revenue Notes (Commercial Paper) F-6

VII. STANDING COMMITTEES**A. Academic and Student Affairs Committee: Regent Cole - Chair**

Academic and Administrative Appointments (ACTION)	A-1
Update on Admissions Process (Information only)	A-3

*Joint Session***A. Academic and Student Affairs Committee: Regent Cole – Chair****B. Finance, Audit and Facilities Committee: Regent Kiga - Chair**

Draft Elements of a UW Six-Year Pilot Resource Plan (Information only)	A-5
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B. Finance, Audit and Facilities Committee: Regent Kiga - Chair

Report of Contributions - May, 2006 (Information only)	F-1
Actions Taken Under Delegated Authority (Information only)	F-4
Savery Hall Renovation – Schematic Design Presentation (Information only)	F-7

C. Committee of the Whole: Regent Jewell - Chair

2005–2006 President Evaluation (Information only)	C-1
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VIII. OTHER BUSINESS

Reports from ex-officio representatives to the Board:

ASUW President – Mr. Cullen P. White

GPSS President – Ms. Kimberly A. Friese

Alumni Association President – Mr. Chuck Blumenfeld

Faculty Senate Chair – Professor Ashley F. Emery

IX. DATE FOR NEXT REGULAR MEETING: August 17, 2006**XI. ADJOURNMENT**

OFFICIAL MINUTES

MINUTES

**BOARD OF REGENTS
University of Washington**

July 20, 2006

The Board of Regents held its regular meeting on Thursday, July 20, 2006, beginning at 3:00 p.m. in the Petersen Room of the Allen Library. The notice of the meeting was appropriately provided to the public and the press.

CALL TO ORDER

ROLL CALL

Assistant Secretary Keith called the roll: Present were Regents Jewell (presiding), Barer, Brotman, Cole, Faubion, Gates, Kiga, Proctor, Simon, Yapp; Dr. Emmert, Dr. Wise, Ms. Warren, Ms. Sams; ex-officio representatives: Mr. White, Ms. Friese, Mr. Blumenfeld, Professor Emery.

CONFIRM AGENDA

The agenda was confirmed as presented.

REPORT OF THE CHAIR OF THE BOARD OF REGENTS: Regent Jewell

Regent Jewell welcomed the Board's new Student Regent, Ms. Jennifer K. Faubion. She also welcomed Mr. Cullen P. White, President of the Associated Students of the University of Washington, and Ms. Kimberly A. Friese, President of the Graduate and Professional Student Senate.

REPORT OF THE UNIVERSITY PRESIDENT: Dr. Emmert

President Emmert reported it would be the last meeting for Professor Ashley Emery, Chair of the Faculty Senate, and Mr. Chuck Blumenfeld, President of the Alumni Association. He thanked both gentlemen for their outstanding leadership and collaboration.

President Emmert updated the Board on the University of Washington's involvement around the world. He distributed a series of world maps depicting the various types of faculty, staff and student involvement. He also spoke about his recent visit to China and the visit of China's President, Hu Jintao, to Seattle. Dr. Emmert announced he and Provost Wise are exploring the possibility of establishing a University of Washington office in Shanghai and noted that a proposal to the Board may be forthcoming in the future.

CONSENT AGENDA

Regent Jewell noted there were 8 items for approval on the consent agenda. She noted revisions to the language in the Services and Activities Fee Committee Proposed Guidelines (Item A-6) were made earlier in the day and copies of the revised document were available at each place at the table. Regent Jewell then called for a motion.

MOTION: Upon the recommendation of the Chair of the Board and the motion made by Regent Proctor, seconded by Regent Yapp, the Board voted to approve the 8 items on the consent agenda as shown below:

Minutes for the meeting of June 8, 2006

Department of Management Science Name Change to Department of Information Systems and Operations Management (Agenda no. A-2)

It was the recommendation of the Academic and Student Affairs Committee that the name of the Department of Management Science be changed to the Department of Information Systems and Operations Management, effective September 1, 2006.

See Attachment A-2.

University of Washington 2007-09 State Operating and Capital Budget Requests
(Agenda no. A-4)

It was the recommendation of the administration, the Academic and Student Affairs Committee, and the Finance, Audit and Facilities Committee that the Board of Regents approve the 2007-09 capital and operating budget requests to the state as they are presented in the following text and tables. In this action item, the Board of Regents:

- Approves the 2007-09 State Capital Budget Request.
- Approves the 2007-09 Consolidated Capital Project List for the six public 4-year colleges and universities. In approving this list, the University of Washington acknowledges that this approach to prioritization, combined with the current structural state capital funding constraints, will leave substantial critical capital funding needs unmet. The University of Washington will therefore be submitting policy requests to address the current structural issues in the State capital budget and to bring more funds to the overall UW capital program.
- Approves the 2007-09 State Operating Budget Request.
- Approves the pursuit of state policy changes that will provide tuition authority necessary to implement a six-year pilot resource plan, address the current structural issues in the state capital budget, provide general revenue bonding authority to the UW, and increase need-based state financial aid.

- Authorizes the Office of Planning and Budgeting to further develop the descriptions of selected 2007-09 budget requests and to prepare and submit other supporting materials that are required by the Office of Financial Management. In addition, the Office of Planning and Budgeting is authorized to continue to work with Washington State University and Eastern Washington University on how the costs associated with the WWAMI/RIDE Spokane site request will be reflected in the three institutions budgets.

See Attachment A-4.

Services and Activities Fee – University of Washington, Seattle: Revised Guidelines
(Agenda no. A-6)

The S&A Fee Committee has developed a revised set of proposed operating Guidelines within the statutory framework laid out in RCW 28B.15.045. The recommendation for their adoption is rooted in the need to formalize a number of procedures, policies and norms that have been informally practiced by the Committee for several years, which have had the effect of improving the Committee's budgetary review and recommendation process. Codifying these practices into proposed Guidelines is the result of extensive collaboration between Committee members, units, and the Administration.

See Attachment A-6.

Grant and Contract Awards – May, 2006 (Agenda no. F-2)

It was the recommendation of the administration and the Finance, Audit and Facilities Committee that the Board of Regents accept Grant and Contract Awards for the month of May in the total amount of \$72,921,431.

See Attachment F-2.

UW Bothell/CCC South Campus Access – Deed and Easement To Be Granted To Washington State Department of Transportation (Agenda no. F-3)

It was the recommendation of the Finance, Audit and Facilities Committee that the Board of Regents authorize the President or his designee to execute a Statutory Warranty Deed and Easement transferring certain ownership and possessory rights on the UW Bothell/Cascadia (CCC) property to the Washington State Department of Transportation for the new south campus access to the UW Bothell/CCC campus.

See Attachment F-3.

Adoption of Supplemental Trust Agreement, Student Facilities Fee Refunding Revenue Bonds, Series 2005 (Agenda no. F-5)

It was the recommendation of the administration and the Finance, Audit and Facilities Committee that the Board of Regents adopt the supplemental Trust Agreement in association with the Student and Activities Fee Refunding Revenue Bonds, Series 2005.

See Attachment F-5.

Adoption of a Resolution Authorizing the Sale of General Revenue Notes (Commercial Paper) (Agenda no. F-6)

It was the recommendation of the administration and the Finance, Audit, and Facilities Committee that the Board of Regents:

1. Adopt the attached resolution authorizing the issuance of University of Washington General Revenue Notes (i.e. commercial paper) in an aggregate principal amount not to exceed \$250 million, and
2. Delegate to the President or his designee, the authority to sign all necessary documents relating to the ongoing issuance of commercial paper for specific projects authorized by the Board of Regents.

See Attachment F-6.

STANDING COMMITTEES

ACADEMIC AND STUDENT AFFAIRS COMMITTEE: Regent Cole, Chair

Academic and Administrative Appointments (Agenda no. A-1)

At the request of Regent Cole, Provost Wise highlighted appointments where an administrator, professorship, dean or chair was included.

MOTION: Upon the recommendation of the administration and the motion made by Regent Cole, seconded by Regent Proctor, the Board voted to approve the personnel appointments. Regent Faubion abstained from the discussion and vote.

Update on Admissions Process (Agenda no. A-3) (Information only)

See Attachment A-3.

Joint Session

A. ACADEMIC AND STUDENT AFFAIRS COMMITTEE: Regent Cole, Chair

B. FINANCE, AUDIT AND FACILITIES COMMITTEE: Regent Kiga, Chair

Draft Elements of a UW Six-Year Pilot Resource Plan (Agenda no. A-5)
(Information only)

Regent Cole reported the committees had a robust discussion of the Six-Year Pilot Resource Plan and how it is connected to tuition, state support and financial aid. He said the Regents are looking forward to continuing the discussions of the resource plan with members of the campus community and the community at large. See Attachment A-5.

COMMITTEE OF THE WHOLE: Regent Jewell, Chair

2005-2006 President Evaluation (Agenda no. C-1) (Information only)

Regent Jewell reported that the Regents created an assessment form and a process for evaluating the President of the University of Washington. A third party will be used to compile the results in an anonymous way. It is expected the evaluation will be completed by the September Board of Regents' meeting.

FINANCE, AUDIT AND FACILITIES COMMITTEE: Regent Kiga, Chair

Report of Contributions - May, 2006 (Agenda no. F-1) (Information only)
See Attachment F-1.

Actions Taken Under Delegated Authority (Agenda no. F-4) (Information only)
See Attachment F-4.

Savery Hall Renovation – Schematic Design Presentation (Agenda no. F-7)
(Information only) See Attachment F-7.

Regent Kiga reported on the success of the University of Washington Endowment Campaign. As of June 30, the University concluded its most successful year ever in its history of fund raising. Regent Kiga expressed the Board's appreciation to Dr. Connie Kravas, Vice President for Development and Alumni Relations, and Mr. Walt Dryfoos, Associate V. P., Advancement Services, Development & Alumni Relations. He also thanked Regent Gates for all he has done to make this a tremendously successful campaign.

REPORTS FROM EX OFFICIO REPRESENTATIVES TO THE BOARD OF REGENTS

ASUW President: Mr. Cullen P. White

Mr. White reported that the business of the Associated Student of the University of Washington was slow due to summer and students being away from campus. He said he looks forward to working hard when students return in the next month or so.

GPSS President: Ms. Kimberly A. Friese

Ms. Friese reported that the officers of the Graduate and Professional Student Senate have been working hard to get organized for the upcoming year. The officers are currently revising the GPSS “University Guide to Life for Graduate and Professional Students” so as to have the publication available for students autumn quarter.

Alumni Association President: Mr. Chuck Blumenfeld

Regent Jewell spoke on behalf of the Regents to thank Mr. Blumenfeld for a job well done. She said the Board would really miss him, noting that he has been very effective and a great pleasure with whom to work.

Mr. Blumenfeld announced the recent coaches’ tour was a huge success, raising more \$60,000 for scholarships, which is significantly more than last year. Mr. Blumenfeld thanked President Mark Emmert and Provost Phyllis Wise for their support, as well as Dr. Connie Kravas, Vice President for Development and Alumni Relations. Mr. Blumenfeld expressed great pride in serving as the President of the Alumni Association and thanked the Board of Regents for a great year.

Faculty Senate Chair: Professor Ashley F. Emery

Professor Emery expressed his appreciation for having had the opportunity this last year to voice the faculty voice. He thanked the administration for the valuable relationships that have been formed over the year.

Prof. Emery then introduced the chair-elect Professor Gail Stygall, Professor of English. Professor Stygall introduced herself as a linguist and a rhetorician. She is the former director of the writing program and has served in several capacities in the Faculty Senate. She notes since the arrival of President Emmert and Provost Wise, there has been much stronger involvement of faculty in shared governance. She said she hopes to continue to build on that positive progress.

Regent Jewell thanked Professor Emery for his service and extended a warm welcome to Professor Stygall.

OTHER BUSINESS

Regent Cole asked for a moment to speak. He noted the Board has a yearly rotation of chair and vice chair that takes place following the September meeting. He is in line to take on the chair role but due to personal business matters that will occupy most of his time he asked that the Board to consider voting Regent Fred Kiga as the next chair of the Board and consider him for another year as vice chair. He indicated that Regent Kiga was agreeable to this switch.

DATE FOR NEXT MEETING

The next regular meeting of the Board of Regents will be held on Thursday, August 17, 2006, on campus. There is a possibility it will be canceled

Regent Proctor took a moment to recognize the former dean of engineering, Denise Denton, and to comment on the wonderful memorial service held by the College of Engineering following Dr. Denton's untimely death. She said Dr. Denton was a strong leader while at the University of Washington and certainly will be missed.

ADJOURNMENT

The regular meeting (reconvened and) was adjourned at 3:45 p.m.



Michele M. Sams
Secretary of the Board of Regents

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Academic and Administrative Appointments

RECOMMENDED ACTION:

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents approve the appointments to the University faculty and administration as presented on the attached list.

Attachment: Personnel Recommendations

ADMINISTRATION

HUMAN RESOURCES

ADMINISTRATIVE APPOINTMENTS

KORNBERG, MINDY R.

(BA, 1982, TULANE UNIVERSITY; JD, 1985, UNIVERSITY OF NORTH CAROLINA) TO BE VICE PRESIDENT OF HUMAN RESOURCES, EFFECTIVE 9/18/2006. (PRIOR TO THIS APPOINTMENT, MS. KORNBERG WAS ASSISTANT VICE PRESIDENT OF HUMAN RESOURCES AT DUKE UNIVERSITY.)

UNDERGRADUATE ACADEMIC AFFAIRS

ADMINISTRATIVE APPOINTMENTS

TAYLOR, EDWARD

(BA, 1982, GONZAGA UNIVERSITY; MA, 1983, GONZAGA UNIVERSITY; PHD, 1994, UNIVERSITY OF WASHINGTON) TO BE VICE PROVOST AND DEAN OF UNDERGRADUATE ACADEMIC AFFAIRS, EFFECTIVE 7/1/2006. (DR. TAYLOR WILL CONTINUE AS ASSOCIATE PROFESSOR OF EDUCATION.)

RESERVE OFFICERS TRAINING CORPS

DEPARTMENT OF MILITARY SCIENCE

NEW APPOINTMENTS

ESPINOSA, LORI A.

(BS, 1993, NORTHWESTERN STATE UNIVERSITY) TO BE ASSISTANT PROFESSOR OF MILITARY SCIENCE WITHOUT SALARY FROM THE UNIVERSITY, EFFECTIVE 7/5/2006. (MAJOR ESPINOSA IS CURRENTLY THE ASSISTANT RECRUITING OFFICER AT FORT LEWIS, WASHINGTON.)

COLLEGE OF ARTS AND SCIENCES

DANCE PROGRAM

NEW APPOINTMENTS

MCMAINS, JULIET

(BA, 1994, HARVARD UNIVERSITY; PHD, 2003, UNIVERSITY OF CALIFORNIA (RIVERSIDE)) TO BE ASSISTANT PROFESSOR OF DANCE AT A SALARY RATE OF \$55,008 OVER NINE MONTHS, EFFECTIVE 9/16/2006. (DR. MCMAINS IS CURRENTLY ASSOCIATE FACULTY AT THE UNIVERSITY OF CENTRAL FLORIDA.)

DEPARTMENT OF ASTRONOMY

ENDOWED APPOINTMENTS

BROWNLEE, DONALD EUGENE

(BS, 1965, UNIVERSITY OF CALIFORNIA (BERKELEY); PHD, 1971, UNIVERSITY OF WASHINGTON) TO BE HOLDER OF THE STARDUST TERM PROFESSORSHIP IN ASTRONOMY, EFFECTIVE 7/1/2006. (PROFESSOR BROWNLEE WILL CONTINUE AS PROFESSOR OF ASTRONOMY AND ADJUNCT PROFESSOR OF EARTH AND SPACE SCIENCES.)

DEPARTMENT OF ATMOSPHERIC SCIENCES

NEW APPOINTMENTS

WOOD, ROBERT

(BA, 1992, CAMBRIDGE UNIVERSITY (UK); MA, 1992, CAMBRIDGE UNIVERSITY (UK); PHD, 1997, UNIVERSITY OF MANCHESTER (UK)) TO BE ASSISTANT PROFESSOR OF ATMOSPHERIC SCIENCES AT A SALARY RATE OF \$67,752 OVER NINE MONTHS, EFFECTIVE 9/16/2006. (DR. WOOD IS CURRENTLY A RESEARCH ASSISTANT PROFESSOR IN THE SAME DEPARTMENT.)

DEPARTMENT OF EARTH AND SPACE SCIENCES

NEW APPOINTMENTS

BODIN, PAUL

(BA, 1975, UNIVERSITY OF CALIFORNIA (SAN DIEGO); MA, 1981, HUMBOLDT STATE UNIVERSITY; PHD, 1992, UNIVERSITY OF COLORADO (BOULDER)) TO BE RESEARCH ASSOCIATE PROFESSOR OF EARTH AND SPACE SCIENCES AT A SALARY RATE OF \$90,000 OVER TWELVE MONTHS, EFFECTIVE 9/1/2006. (DR. BODIN IS CURRENTLY ASSOCIATE PROFESSOR OF GEOPHYSICS AT THE UNIVERSITY OF MEMPHIS.)

HOUSTON, HEIDI

(BS, 1978, UNIVERSITY OF WASHINGTON; MS, 1980, UNIVERSITY OF WISCONSIN-MADISON; PHD, 1987, CALIFORNIA INSTITUTE OF TECHNOLOGY) TO BE PROFESSOR OF EARTH AND SPACE SCIENCES AT A SALARY RATE OF \$85,005 OVER NINE MONTHS, EFFECTIVE 9/16/2006. (DR. HOUSTON IS CURRENTLY ASSOCIATE PROFESSOR IN RESIDENCE OF EARTH AND SPACE SCIENCES AT THE UNIVERSITY OF CALIFORNIA, LOS ANGELES.)

DEPARTMENT OF ECONOMICS

ADMINISTRATIVE APPOINTMENTS

HALVORSEN, ROBERT FRANCIS

(BA, 1963, UNIVERSITY OF MICHIGAN; MBA, 1965, HARVARD UNIVERSITY; MPA, 1968, HARVARD UNIVERSITY; PHD, 1973, HARVARD UNIVERSITY) TO BE CHAIR OF ECONOMICS, EFFECTIVE 7/1/2006. (DR. HALVORSEN WILL CONTINUE AS PROFESSOR OF ECONOMICS.)

DEPARTMENT OF ENGLISH

ADMINISTRATIVE APPOINTMENTS

HANDWERK, GARY JOHN

(BA, 1975, MICHIGAN STATE UNIVERSITY; PHD, 1984, BROWN UNIVERSITY) TO BE CHAIR OF ENGLISH, EFFECTIVE 7/1/2006. (DR. HANDWERK WILL CONTINUE AS PROFESSOR OF ENGLISH AND OF COMPARATIVE LITERATURE AND ADJUNCT ASSOCIATE PROFESSOR OF ROMANCE LANGUAGES AND LITERATURE.)

NEW APPOINTMENTS

FELD, ANDREW

(BA, 1992, UNIVERSITY OF MASSACHUSETTS; MFA, 1998, UNIVERSITY OF HOUSTON) TO BE ASSISTANT PROFESSOR OF ENGLISH AT A SALARY RATE OF \$56,502 OVER NINE MONTHS, EFFECTIVE 9/16/2006. (MR. FELD IS CURRENTLY ASSOCIATE PROFESSOR AND WRITER IN RESIDENCE AT CARTHAGE COLLEGE.)

TRIPLETT, PIMONE

(BA, 1987, SARAH LAWRENCE COLLEGE; MFA, 1990, UNIVERSITY OF IOWA) TO BE ASSISTANT PROFESSOR OF ENGLISH AT A SALARY RATE OF \$56,502 OVER NINE MONTHS, EFFECTIVE 9/16/2006. (MS. TRIPLETT IS CURRENTLY ASSOCIATE PROFESSOR OF CREATIVE WRITING AT THE UNIVERSITY OF OREGON.)

DEPARTMENT OF GEOGRAPHY

NEW APPOINTMENTS

ELWOOD, SARAH

(BA, 1994, MACALESTER COLLEGE; MA, 1996, UNIVERSITY OF MINNESOTA; PHD, 2000, UNIVERSITY OF MINNESOTA) TO BE ASSISTANT PROFESSOR OF GEOGRAPHY AT A SALARY RATE OF \$69,003 OVER NINE MONTHS, EFFECTIVE 9/16/2006. (DR. ELWOOD IS CURRENTLY ASSISTANT PROFESSOR OF GEOGRAPHY AND REGIONAL DEVELOPMENT AT THE UNIVERSITY OF ARIZONA.)

DEPARTMENT OF HISTORY

ENDOWED APPOINTMENTS

FELAK, JAMES RAMON

(BA, 1983, UNIVERSITY OF WASHINGTON; MA, 1985, INDIANA UNIVERSITY; PHD, 1989, INDIANA UNIVERSITY) TO BE HOLDER OF THE JON BRIDGMAN ENDOWED PROFESSORSHIP IN HISTORY, EFFECTIVE 9/16/2006. (PROFESSOR FELAK WILL CONTINUE AS ASSOCIATE PROFESSOR OF HISTORY.)

GUY, ROBERT KENT

(BA, 1970, YALE UNIVERSITY; MA, 1974, HARVARD UNIVERSITY; PHD, 1981, HARVARD UNIVERSITY) TO BE HOLDER OF THE HOWARD AND FRANCES KELLER ENDOWED PROFESSORSHIP IN HISTORY, EFFECTIVE 9/16/2006. (PROFESSOR GUY WILL CONTINUE AS PROFESSOR OF HISTORY AND PROFESSOR OF INTERNATIONAL STUDIES.)

ADMINISTRATIVE APPOINTMENTS

EBREY, PATRICIA BUCKLEY

(BA, 1968, UNIVERSITY OF CHICAGO; MA, 1970, COLUMBIA UNIVERSITY; PHD, 1975, COLUMBIA UNIVERSITY) TO BE ACTING CHAIR OF HISTORY, EFFECTIVE 7/1/2006. (DR. EBREY WILL CONTINUE AS PROFESSOR OF HISTORY.)

JACKSON SCHOOL OF INTERNATIONAL STUDIES

NEW APPOINTMENTS

BARZILAI, GAD

(BA, 1980, BAR-ILAM UNIVERSITY (ISRAEL); MA, 1982, BAR-ILAM UNIVERSITY (ISRAEL); LLB, 1982, BAR-ILAM UNIVERSITY (ISRAEL); PHD, 1988, HEBREW UNIVERSITY (ISRAEL)) TO BE PROFESSOR OF INTERNATIONAL STUDIES AND PROFESSOR OF LAW, SOCIETIES AND JUSTICE AT A SALARY RATE OF \$93,006 OVER NINE MONTHS, EFFECTIVE 9/16/2006. (DR. BARZILAI IS CURRENTLY A VISITING PROFESSOR OF INTERNATIONAL STUDIES.)

DEPARTMENT OF MATHEMATICS

NEW APPOINTMENTS

DUMITRIU, IOANA

(BA, 1999, NEW YORK UNIVERSITY; PHD, 2003, MASSACHUSETTS INSTITUTE OF TECHNOLOGY) TO BE ASSISTANT PROFESSOR OF MATHEMATICS AT A SALARY RATE OF \$70,002 OVER NINE MONTHS, EFFECTIVE 9/16/2006. (DR. DUMITRIU IS CURRENTLY THE MILLER RESEARCH FELLOW AND POST-GRADUATE RESEARCHER AT THE UNIVERSITY OF CALIFORNIA, BERKELEY.)

STEIN, WILLIAM

(BS, 1994, NORTHERN ARIZONA UNIVERSITY; PHD, 2000, UNIVERSITY OF CALIFORNIA (BERKELEY)) TO BE ASSOCIATE PROFESSOR OF MATHEMATICS AT A SALARY RATE OF \$80,010 OVER NINE MONTHS, EFFECTIVE 9/16/2006. (DR. STEIN IS CURRENTLY AN ASSOCIATE PROFESSOR OF MATHEMATICS AT THE UNIVERSITY OF CALIFORNIA, SAN DIEGO.)

DEPARTMENT OF NEAR EAST LANGUAGES AND CIVILIZATION

ADMINISTRATIVE APPOINTMENTS

NOEGEL, SCOTT

(BA, 1989, UNIVERSITY OF WISCONSIN-MADISON; MA, 1993, CORNELL UNIVERSITY; PHD, 1994, CORNELL UNIVERSITY) TO BE CHAIR OF NEAR EASTERN LANGUAGES AND CIVILIZATION, EFFECTIVE 7/1/2006. (DR. NOEGEL WILL CONTINUE AS PROFESSOR OF NEAR EASTERN LANGUAGES AND CIVILIZATION.)

DEPARTMENT OF PHYSICS

NEW APPOINTMENTS

MANDULA, JEFFREY

(BA, 1962, COLUMBIA UNIVERSITY; MA, 1964, HARVARD UNIVERSITY; PHD, 1966, HARVARD UNIVERSITY) TO BE ACTING PROFESSOR OF PHYSICS WITHOUT SALARY FROM THE UNIVERSITY, EFFECTIVE 7/1/2006. (PRIOR TO THIS APPOINTMENT, DR MANDULA WAS A PHYSICIST IN THE OFFICE OF HIGH ENERGY PHYSICS AT THE DEPARTMENT OF ENERGY)

DEPARTMENT OF PSYCHOLOGY

NEW APPOINTMENTS

BOYNTON, GEOFFREY

(BA, 1987, UNIVERSITY OF CALIFORNIA (SAN DIEGO); MA, 1989, UNIVERSITY OF CALIFORNIA (SANTA BARBARA); PHD, 1994, UNIVERSITY OF CALIFORNIA (SANTA BARBARA)) TO BE ASSOCIATE PROFESSOR WITHOUT TENURE OF PSYCHOLOGY AT A SALARY RATE OF \$106,668 OVER TWELVE MONTHS, EFFECTIVE 2/1/2007. (DR. BOYNTON IS CURRENTLY AN ASSOCIATE PROFESSOR OF SYSTEMS NEUROBIOLOGY AT THE SALK INSTITUTE AND CO-DIRECTOR OF THE REGIONAL CENTER FOR BRAIN IMAGING AT THE UNIVERSITY OF CALIFORNIA, SAN DIEGO)

FINE, IONE

(BA, 1993, OXFORD UNIVERSITY (UK); MA, 1999, UNIVERSITY OF ROCHESTER) TO BE ASSISTANT PROFESSOR OF PSYCHOLOGY AT A SALARY RATE OF \$70,002 OVER NINE MONTHS, EFFECTIVE 2/1/2007. (DR. FINE IS CURRENTLY AN ASSISTANT PROFESSOR AT THE UNIVERSITY OF SOUTHERN CALIFORNIA.)

SCHOOL OF MUSIC

NEW APPOINTMENTS

WYERS, GISELLE

(BA, 1991, UNIVERSITY OF CALIFORNIA (SANTA CRUZ); MM, 1996, WESTMINSTER CHOIR COLLEGE; PHD, 2000, UNIVERSITY OF ARIZONA) TO BE ASSISTANT PROFESSOR OF MUSIC AT A SALARY RATE OF \$55,008 OVER NINE MONTHS, EFFECTIVE 9/16/2006. (DR. WYERS IS CURRENTLY ASSOCIATE PROFESSOR AND DIRECTOR OF CHORAL ACTIVITIES AT BOISE STATE UNIVERSITY.)

BUSINESS SCHOOL

DEPARTMENT OF ACCOUNTING

ENDOWED APPOINTMENTS

BOWEN, ROBERT M.

(BA, 1968, DRURY COLLEGE; MBA, 1971, WASHINGTON UNIVERSITY; PHD, 1978, STANFORD UNIVERSITY) TO BE HOLDER OF THE PRICE WATERHOUSE AND ALUMNI ACCOUNTING PROFESSORSHIP, EFFECTIVE 7/1/2006. (PROFESSOR BOWEN WILL CONTINUE AS PROFESSOR OF ACCOUNTING.)

RAJGOPAL, SHIVARAM

(BA, 1988, UNIVERSITY OF BOMBAY (INDIA); PHD, 1998, UNIVERSITY OF IOWA) TO BE HOLDER OF THE HERBERT O. WHITTEN ENDOWED PROFESSORSHIP IN ACCOUNTING, EFFECTIVE 7/1/2006. (PROFESSOR RAJGOPAL WILL CONTINUE AS ASSOCIATE PROFESSOR OF ACCOUNTING.)

ADMINISTRATIVE APPOINTMENTS

SHEVLIN, TERENCE JAMES

(BA, 1976, UNIVERSITY OF MELBOURNE (AUSTRALIA); MS, 1981, MONASH UNIVERSITY (AUSTRALIA); PHD, 1986, STANFORD UNIVERSITY) TO BE CHAIR OF ACCOUNTING, EFFECTIVE 7/1/2006. (DR. SHEVLIN WILL CONTINUE AS PROFESSOR OF ACCOUNTING AND HOLDER OF THE PAUL PIGOTT-PACCAR PROFESSORSHIP IN BUSINESS ADMINISTRATION.)

DEPARTMENT OF FINANCE AND BUSINESS ECONOMICS

NEW APPOINTMENTS

KOLASINSKI, ADAM

(BA, 1998, COLUMBIA UNIVERSITY; PHD, 2006, MASSACHUSETTS INSTITUTE OF TECHNOLOGY) TO BE ASSISTANT PROFESSOR OF FINANCE AND BUSINESS ECONOMICS AT A SALARY RATE OF \$159,993 OVER NINE MONTHS, EFFECTIVE 7/1/2006.

SIEGEL, STEPHAN

(BSC, 1995, BAYREUTH UNIVERSITY (GERMANY); MPhil, 2002, COLUMBIA UNIVERSITY; PHD, 2006, COLUMBIA UNIVERSITY) TO BE ASSISTANT PROFESSOR OF FINANCE AND BUSINESS ECONOMICS AT A SALARY RATE OF \$150,003 OVER NINE MONTHS, EFFECTIVE 5/17/2006. (PHD AWARDED MAY 2006 FROM COLUMBIA UNIVERSITY.)

DEPARTMENT OF MARKETING AND INTERNATIONAL BUSINESS

ADMINISTRATIVE APPOINTMENTS

MACLACHLAN, DOUGLAS LEE

(BA, 1963, UNIVERSITY OF CALIFORNIA (BERKELEY); MBA, 1965, UNIVERSITY OF CALIFORNIA (BERKELEY); MA, 1970, UNIVERSITY OF CALIFORNIA (BERKELEY); PHD, 1971, UNIVERSITY OF CALIFORNIA (BERKELEY)) TO BE CHAIR OF MARKETING AND INTERNATIONAL BUSINESS, EFFECTIVE 7/1/2006. (DR. MACLACHLAN WILL CONTINUE AS PROFESSOR OF MARKETING AND INTERNATIONAL BUSINESS.)

NEW APPOINTMENTS

MONTOYA, DETRA

(BS, 1996, UNIVERSITY OF ARIZONA; MBA, 2000, ARIZONA STATE UNIVERSITY; PHD, 2006 (expected), ARIZONA STATE UNIVERSITY) TO BE ACTING ASSISTANT PROFESSOR OF MARKETING AND INTERNATIONAL BUSINESS AT A SALARY RATE OF \$119,997 OVER NINE MONTHS, EFFECTIVE 7/16/2006.

SHULMAN, JEFFREY

(BA, 2001, NORTHWESTERN UNIVERSITY; PHD, 2006 (expected), NORTHWESTERN UNIVERSITY) TO BE ACTING ASSISTANT PROFESSOR OF MARKETING AND INTERNATIONAL BUSINESS AT A SALARY RATE OF \$119,997 OVER NINE MONTHS, EFFECTIVE 6/16/2006.

COLLEGE OF ENGINEERING

DEPARTMENT OF BIOENGINEERING

NEW APPOINTMENTS

ANDERSON, JOSEPH C.

(BS, 1995, ARIZONA STATE UNIVERSITY; MS, 1998, UNIVERSITY OF WASHINGTON; PHD, 2001, UNIVERSITY OF WASHINGTON) TO BE RESEARCH ASSISTANT PROFESSOR OF BIOENGINEERING AT A SALARY RATE OF \$72,000 OVER TWELVE MONTHS, EFFECTIVE 7/1/2006. (PRIOR TO THIS APPOINTMENT, DR. ANDERSON WAS A SENIOR FELLOW IN MEDICINE AT THE UNIVERSITY OF WASHINGTON.)

SPEER, YANFENG

(MD, 1982, TONGJI MEDICAL UNIVERSITY (CHINA); MS, 1990, TONGJI MEDICAL UNIVERSITY (CHINA); PHD, 1999, UNIVERSITY OF HELSINKI (FINLAND)) TO BE RESEARCH ASSISTANT PROFESSOR OF BIOENGINEERING AT A SALARY RATE OF \$60,600 OVER TWELVE MONTHS, EFFECTIVE 7/1/2006. (PRIOR TO THIS APPOINTMENT, DR. SPEER WAS A SENIOR FELLOW IN THE SAME DEPARTMENT.)

DEPARTMENT OF CIVIL AND ENVIRONMENTAL ENGINEERING

ENDOWED APPOINTMENTS

CHAPRA, STEVEN

(BS, 1970, MANHATTAN COLLEGE; MS, 1972, MANHATTAN COLLEGE; PHD, 1982, UNIVERSITY OF MICHIGAN) TO BE HOLDER OF THE JUNE 2004 ENDOWED VISITING PROFESSORSHIP, EFFECTIVE 9/16/2006. (PROFESSOR CHAPRA WILL HOLD AN APPOINTMENT AS VISITING PROFESSOR OF CIVIL AND ENVIRONMENTAL ENGINEERING.)

ADMINISTRATIVE APPOINTMENTS

FERGUSON, JOHN FRANKLIN

(BS, 1963, STANFORD UNIVERSITY; MS, 1964, STANFORD UNIVERSITY; PHD, 1970, STANFORD UNIVERSITY) TO BE ACTING CHAIR OF CIVIL AND ENVIRONMENTAL ENGINEERING, EFFECTIVE 6/16/2006. (DR. FERGUSON WILL CONTINUE AS PROFESSOR OF CIVIL AND ENVIRONMENTAL ENGINEERING.)

DEPARTMENT OF ELECTRICAL ENGINEERING

NEW APPOINTMENTS

JARUWATANADILOK, SERMSAK

(BS, 1994, KING MONGKUT'S INST OF TECH (THAILAND); MSEE, 1997, TEXAS A&M UNIVERSITY; PHD, 2003, UNIVERSITY OF WASHINGTON) TO BE RESEARCH ASSISTANT PROFESSOR OF ELECTRICAL ENGINEERING AT A SALARY RATE OF \$60,000 OVER TWELVE MONTHS, EFFECTIVE 7/1/2006. (PRIOR TO THIS APPOINTMENT, DR. JARUWATANADILOK WAS A RESEARCH ASSOCIATE IN THE SAME DEPARTMENT.)

DEPARTMENT OF MATERIALS SCIENCE AND ENGINEERING

NEW APPOINTMENTS

LUSCOMBE, CHRISTINE

(BA, 2000, UNIVERSITY OF CAMBRIDGE (UK); MSc, 2000, UNIVERSITY OF CAMBRIDGE (UK); MA, 2003, UNIVERSITY OF CAMBRIDGE (UK); PHD, 2005, UNIVERSITY OF CAMBRIDGE (UK)) TO BE ASSISTANT PROFESSOR OF MATERIALS SCIENCE AND ENGINEERING AT A SALARY RATE OF \$72,999 OVER NINE MONTHS, EFFECTIVE 9/16/2006. (DR. LUSCOMBE IS CURRENTLY A POSTDOCTORAL RESEARCHER AT THE UNIVERSITY OF CALIFORNIA, BERKELEY.)

MA, HONG

(BS, 1992, NANKAI UNIVERSITY (CHINA); PHD, 1997, NANKAI UNIVERSITY (CHINA)) TO BE RESEARCH ASSISTANT PROFESSOR OF MATERIALS SCIENCE AND ENGINEERING AT A SALARY RATE OF \$75,000 OVER TWELVE MONTHS, EFFECTIVE 9/16/2006. (DR. MA IS CURRENTLY A RESEARCH SCIENTIST IN THE SAME DEPARTMENT.)

PODZOROV, VITALY

(BS, 1993, MOSCOW INST OF PHYSICS & TECH (RUSSIA); MS, 1995, MOSCOW INST OF PHYSICS & TECH (RUSSIA); PHD, 2002, RUTGERS UNIVERSITY) TO BE ASSISTANT PROFESSOR OF MATERIALS SCIENCE AND ENGINEERING AT A SALARY RATE OF \$72,999 OVER NINE MONTHS, EFFECTIVE 9/16/2006. (DR. PODZOROV IS CURRENTLY A RESEARCH ASSOCIATE AT RUTGERS UNIVERSITY.)

COLLEGE OF FOREST RESOURCES

FOREST RESOURCES

ENDOWED APPOINTMENTS

GUSTAFSON, RICHARD ROY

(BS, 1977, UNIVERSITY OF WASHINGTON; PHD, 1982, UNIVERSITY OF WASHINGTON) TO BE HOLDER OF THE DENMAN CHAIR IN BIO-RESOURCE SCIENCE AND ENGINEERING, EFFECTIVE 9/16/2006. (PROFESSOR GUSTAFSON WILL CONTINUE AS PROFESSOR OF FOREST RESOURCES AND ADJUNCT PROFESSOR OF CHEMICAL ENGINEERING.)

NEW APPOINTMENTS

BURA, RENATA

(BSC, 1997, RYERSON UNIVERSITY, TORONTO; MS, 2000, UNIVERSITY OF TORONTO (CANADA); PHD, 2004, UNIVERSITY OF BRITISH COLUMBIA (CANADA)) TO BE ASSISTANT PROFESSOR OF FOREST RESOURCES AT A SALARY RATE OF \$63,900 OVER NINE MONTHS, EFFECTIVE 9/16/2006. (PRIOR TO THIS APPOINTMENT PROFESSOR BURA WAS A POSTDOCTORAL RESEARCH FELLOW AT THE UNIVERSITY OF BRITISH COLUMBIA, CANADA.)

ETTL, GREGORY J

(BS, 1987, UNIVERSITY OF WISCONSIN-MADISON; MAT, 1989, UNIVERSITY OF WASHINGTON; PHD, 1995, UNIVERSITY OF WASHINGTON) TO BE ASSOCIATE PROFESSOR WITHOUT TENURE OF FOREST RESOURCES AT A SALARY RATE OF \$93,000 OVER TWELVE MONTHS, EFFECTIVE 8/1/2006. (PRIOR TO THIS APPOINTMENT PROFESSOR Ettl WAS A FACULTY MEMBER AT WARREN WILSON COLLEGE.)

KIM, SOO-HYUNG

(BS, 1992, SEOUL NATIONAL UNIVERSITY (KOREA); MS, 1994, SEOUL NATIONAL UNIVERSITY (KOREA); PHD, 2001, UNIVERSITY OF CALIFORNIA (DAVIS)) TO BE ASSISTANT PROFESSOR OF FOREST RESOURCES AT A SALARY RATE OF \$63,900 OVER NINE MONTHS, EFFECTIVE 9/16/2006. (PRIOR TO THIS APPOINTMENT PROFESSOR KIM WAS A PLANT PHYSIOLOGIST AT THE PLANT SCIENCES INSTITUTE.)

MOSKAL, LUDMILA MONIKA

(BA, 1996, UNIVERSITY OF WATERLOO (CANADA); MSc, 2000, UNIVERSITY OF CALGARY (CANADA); PHD, 2005, UNIVERSITY OF KANSAS) TO BE ASSISTANT PROFESSOR OF FOREST RESOURCES AT A SALARY RATE OF \$62,100 OVER NINE MONTHS, EFFECTIVE 6/16/2006. (PRIOR TO THIS APPOINTMENT, DR MOSKAL WAS AN ASSISTANT PROFESSOR OF GEOGRAPHY, GEOLOGY AND PLANNING AT MISSOURI STATE UNIVERSITY.)

GRADUATE SCHOOL

GRADUATE SCHOOL

ADMINISTRATIVE APPOINTMENTS

GUERRA, JUAN CRUZ

(BA, 1972, UNIVERSITY OF ILLINOIS; MA, 1983, UNIVERSITY OF ILLINOIS; PHD, 1992, UNIVERSITY OF ILLINOIS) TO BE ASSOCIATE DEAN FOR THE GRADUATE OPPORTUNITIES AND MINORITY ACHIEVEMENT PROGRAM IN THE GRADUATE SCHOOL, EFFECTIVE 8/15/2006. (PROFESSOR GUERRA WILL CONTINUE AS ASSOCIATE PROFESSOR OF ENGLISH AND ADJUNCT ASSOCIATE PROFESSOR OF AMERICAN ETHNIC STUDIES

INFORMATION SCHOOL

INFORMATION SCHOOL

NEW APPOINTMENTS

DESOUZA, KEVIN C

(BS, 2000, UNIVERSITY OF ILLINOIS; MBA, 2001, ILLINOIS INSTITUTE OF TECHNOLOGY; PHD, 2006, UNIVERSITY OF ILLINOIS) TO BE ASSISTANT PROFESSOR OF INFORMATION AT A SALARY RATE OF \$83,007 OVER NINE MONTHS, EFFECTIVE 5/7/2006. (PH.D AWARDED MAY 2006 FROM THE UNIVERSITY OF ILLINOIS, CHICAGO.)

WOBBROCK, JACOB O

(BS, 1998, STANFORD UNIVERSITY; MS, 2000, STANFORD UNIVERSITY; PHD, 2006, CARNEGIE MELLON UNIVERSITY) TO BE ASSISTANT PROFESSOR OF INFORMATION AT A SALARY RATE OF \$87,003 OVER NINE MONTHS, EFFECTIVE 9/16/2006.

SCHOOL OF SOCIAL WORK

SOCIAL WORK

ENDOWED APPOINTMENTS

HOOYMAN, NANCY R.

(BA, 1967, DENISON UNIVERSITY; MSW, 1970, UNIVERSITY OF MICHIGAN; PHD, 1974, UNIVERSITY OF MICHIGAN) TO BE HOLDER OF THE NANCY R. HOOYMAN ENDOWED GERONTOLOGY PROFESSORSHIP IN SOCIAL WORK, EFFECTIVE 7/1/2006. (DR. HOOYMAN WILL CONTINUE AS PROFESSOR AND DEAN EMERITUS OF SOCIAL WORK.)

SCHOOL OF MEDICINE

DEPARTMENT OF ANESTHESIOLOGY

NEW APPOINTMENTS

KENT, CHRISTOPHER DONALD

(MD, 1988, UNIVERSITY OF SASKATCHEWAN (CANADA)) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF ANESTHESIOLOGY AT A SALARY RATE OF \$68,400 OVER TWELVE MONTHS, EFFECTIVE 7/1/2006. (PRIOR TO THIS APPOINTMENT, DR. KENT WAS AN ACTING ASSISTANT PROFESSOR-TEMPORARY IN THE SAME DEPARTMENT.)

VITIN, ALEXANDER

(MD, 1981, KHARKOV STATE MEDICAL UNIV (UKRAINE); PHD, 1985, KHARKOV STATE MEDICAL UNIV (UKRAINE)) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF ANESTHESIOLOGY AT A SALARY RATE OF \$68,400 OVER TWELVE MONTHS, EFFECTIVE 7/1/2006. (PRIOR TO THIS APPOINTMENT, DR. VITIN WAS AN ACTING ASSISTANT PROFESSOR-TEMPORARY IN THE SAME DEPARTMENT.)

DEPARTMENT OF MEDICINE

NEW APPOINTMENTS

CHEW, LISA D.

(BA, 1985, UNIVERSITY OF CALIFORNIA (BERKELEY); MD, 1993, UNIVERSITY OF CALIFORNIA (SAN FRANCISCO); MPH, 2002, UNIVERSITY OF WASHINGTON) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF MEDICINE AT A SALARY RATE OF \$114,000 OVER TWELVE MONTHS, EFFECTIVE 7/1/2006. (PRIOR TO THIS APPOINTMENT, DR. CHEW WAS AN ACTING ASSISTANT PROFESSOR-TEMPORARY IN THE SAME DEPARTMENT.)

DEBOER, IAN

(BA, 1994, RICE UNIVERSITY; MD, 1999, OREGON HEALTH SCIENCES UNIVERSITY; MS, 2005, UNIVERSITY OF WASHINGTON) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF MEDICINE AT A SALARY RATE OF \$115,008 OVER TWELVE MONTHS, EFFECTIVE 7/1/2006. (PRIOR TO THIS APPOINTMENT, DR. DE BOER WAS A SENIOR FELLOW IN THE SAME DEPARTMENT.)

FIRESTONE, JORDAN

(BA, 1985, UNIVERSITY OF COLORADO (BOULDER); PHD, 1993, UNIVERSITY OF COLORADO (DENVER); MD, 1995, UNIVERSITY OF COLORADO (DENVER); MPH, 2002, UNIVERSITY OF WASHINGTON) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF MEDICINE AND ADJUNCT ASSISTANT PROFESSOR OF ENVIRONMENTAL AND OCCUPATIONAL HEALTH SCIENCES AT A SALARY RATE OF \$105,600 OVER TWELVE MONTHS, EFFECTIVE 7/1/2006. (PRIOR TO THIS APPOINTMENT, DR. FIRESTONE WAS AN ACTING ASSISTANT PROFESSOR-TEMPORARY IN NEUROLOGY.)

HWANG, JOO HA

(BS, 1991, UNIVERSITY OF ILLINOIS; MD, 1997, UNIVERSITY OF CHICAGO) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF MEDICINE AT A SALARY RATE OF \$150,000 OVER TWELVE MONTHS, EFFECTIVE 7/1/2006. (PRIOR TO THIS APPOINTMENT, DR. HWANG WAS AN ACTING ASSISTANT PROFESSOR-TEMPORARY IN THE SAME DEPARTMENT.)

SCHOOL OF MEDICINE

DEPARTMENT OF MEDICINE

NEW APPOINTMENTS

LOPEZ, JOSE A.

(BS, 1977, NEW MEXICO INST OF MINING AND TECHNOLOGY; MD, 1981, UNIVERSITY OF NEW MEXICO) TO BE PROFESSOR WITHOUT TENURE OF MEDICINE PAID DIRECT BY SOURCES OTHER THAN THE UNIVERSITY EFFECTIVE 4/16/2006. (PRIOR TO THIS APPOINTMENT, DR. LOPEZ WAS A PROFESSOR OF MEDICINE AND HUMAN AND MOLECULAR GENETICS AT BAYLOR COLLEGE OF MEDICINE.)

MARTIN, DAVID ANDREW

(BA, 1990, UNIVERSITY OF PENNSYLVANIA; MD, 1998, UNIVERSITY OF ROCHESTER) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF MEDICINE AT A SALARY RATE OF \$105,000 OVER TWELVE MONTHS, EFFECTIVE 7/1/2006. (PRIOR TO THIS APPOINTMENT, DR. MARTIN WAS AN ACTING INSTRUCTOR AND SENIOR FELLOW IN THE SAME DEPARTMENT.)

PAGE, STEPHANIE

(BS, 1989, STANFORD UNIVERSITY; MD, 1999, UNIVERSITY OF WASHINGTON; PHD, 1999, UNIVERSITY OF WASHINGTON) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF MEDICINE AT A SALARY RATE OF \$110,004 OVER TWELVE MONTHS, EFFECTIVE 7/1/2006. (PRIOR TO THIS APPOINTMENT, DR. PAGE WAS AN ACTING INSTRUCTOR AND SENIOR FELLOW IN THE SAME DEPARTMENT.)

SOTOODEHNIA, NONA

(BS, 1991, MASSACHUSETTS INSTITUTE OF TECHNOLOGY; MD, 1996, HARVARD UNIVERSITY; MPH, 1996, HARVARD UNIVERSITY) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF MEDICINE AT A SALARY RATE OF \$119,004 OVER TWELVE MONTHS, EFFECTIVE 7/1/2006. (PRIOR TO THIS APPOINTMENT, DR. SOTOODEHNIA WAS AN ACTING ASSISTANT PROFESSOR-TEMPORARY IN THE SAME DEPARTMENT.)

SPECHT, JENNIFER

(BS, 1994, PACIFIC LUTHERAN UNIVERSITY; MD, 1999, UNIVERSITY OF WASHINGTON) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF MEDICINE AT A SALARY RATE OF \$95,544 OVER TWELVE MONTHS, EFFECTIVE 7/1/2006. (PRIOR TO THIS APPOINTMENT, DR. SPECHT WAS AN ACTING ASSISTANT PROFESSOR-TEMPORARY IN THE SAME DEPARTMENT.)

STROTE, JARED

(BS, 1991, HAVERFORD COLLEGE; MS, 1994, DUKE UNIVERSITY; MD, 1999, HARVARD UNIVERSITY) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF MEDICINE AT A SALARY RATE OF \$135,000 OVER TWELVE MONTHS, EFFECTIVE 7/1/2006. (PRIOR TO THIS APPOINTMENT, DR. STROTE WAS AN ACTING INSTRUCTOR IN THE SAME DEPARTMENT.)

WHEELER, STEPHANIE

(BS, 1989, UNIVERSITY OF WASHINGTON; MD, 1993, UNIVERSITY OF WASHINGTON; MPH, 1998, UNIVERSITY OF WASHINGTON) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF MEDICINE PAID DIRECT BY VETERANS AFFAIRS PUGET SOUND HEALTH CARE SYSTEM EFFECTIVE 7/1/2006. (PRIOR TO THIS APPOINTMENT, DR. WHEELER WAS AN ACTING ASSISTANT PROFESSOR-TEMPORARY IN THE SAME DEPARTMENT.)

DEPARTMENT OF MICROBIOLOGY

ENDOWED APPOINTMENTS

HARWOOD, CAROLINE S.

(BA, 1973, COLBY COLLEGE; MA, 1976, BOSTON UNIVERSITY; PHD, 1982, UNIVERSITY OF MASSACHUSETTS) TO BE HOLDER OF THE GERALD AND LYN GRINSTEIN ENDOWED PROFESSORSHIP IN MICROBIOLOGY, EFFECTIVE 7/1/2006. (DR. HARWOOD WILL CONTINUE AS PROFESSOR OF MICROBIOLOGY.)

NEW APPOINTMENTS

LIE, THOMAS J.

(MS, 1992, UNIVERSITY OF CONNECTICUT; PHD, 1998, UNIVERSITY OF CONNECTICUT) TO BE RESEARCH ASSISTANT PROFESSOR OF MICROBIOLOGY AT A SALARY RATE OF \$50,112 OVER TWELVE MONTHS, EFFECTIVE 6/1/2006. (PRIOR TO THIS APPOINTMENT, DR. LIE WAS A SENIOR FELLOW IN THE SAME DEPARTMENT.)

DEPARTMENT OF OBSTETRICS AND GYNECOLOGY

NEW APPOINTMENTS

GRAVETT, MICHAEL

(BS, 1973, OREGON STATE UNIVERSITY; MD, 1977, UNIVERSITY OF CALIFORNIA (LOS ANGELES)) TO BE PROFESSOR WITHOUT TENURE OF OBSTETRICS AND GYNECOLOGY AT A SALARY RATE OF \$130,008 OVER TWELVE MONTHS, EFFECTIVE 7/1/2006. (PRIOR TO THIS APPOINTMENT, DR. GRAVETT WAS A PROFESSOR OF OBSTETRICS AND GYNECOLOGY AT OREGON HEALTH SCIENCES UNIVERSITY.)

DEPARTMENT OF ORTHOPAEDICS AND SPORTS MEDICINE

NEW APPOINTMENTS

MANNER, PAUL A.

(BS, 1986, TUFTS UNIVERSITY; MD, 1991, MCGILL UNIVERSITY (CANADA)) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF ORTHOPAEDICS AND SPORTS MEDICINE AT A SALARY RATE OF \$66,000 OVER TWELVE MONTHS, EFFECTIVE 7/1/2006. (PRIOR TO THIS APPOINTMENT, DR. MANNER WAS AN ASSISTANT PROFESSOR OF ORTHOPAEDICS AT GEORGE WASHINGTON UNIVERSITY.)

DEPARTMENT OF OTOLARYNGOLOGY - HEAD AND NECK SURGERY

NEW APPOINTMENTS

MENDEZ, EDUARDO

(BA, 1994, PRINCETON UNIVERSITY; MD, 1999, UNIVERSITY OF MARYLAND) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF OTOLARYNGOLOGY - HEAD AND NECK SURGERY AT A SALARY RATE OF \$218,016 OVER TWELVE MONTHS, EFFECTIVE 7/1/2006. (PRIOR TO THIS APPOINTMENT, DR. MENDEZ WAS AN ACTING INSTRUCTOR AND SENIOR FELLOW IN THE SAME DEPARTMENT.)

OU, HENRY

(BA, 1993, CORNELL UNIVERSITY; MD, 1998, WASHINGTON UNIVERSITY) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF OTOLARYNGOLOGY - HEAD AND NECK SURGERY PAID DIRECT BY CHILDREN'S HOSPITAL AND REGIONAL MEDICAL CENTER EFFECTIVE 7/1/2006. (PRIOR TO THIS APPOINTMENT, DR. OU WAS AN ACTING INSTRUCTOR AND SENIOR FELLOW IN THE SAME DEPARTMENT.)

DEPARTMENT OF PATHOLOGY

NEW APPOINTMENTS

LAFLAMME, MICHAEL

(BS, 1991, GEORGETOWN UNIVERSITY; PHD, 1998, EMORY UNIVERSITY; MD, 1999, EMORY UNIVERSITY) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF PATHOLOGY AT A SALARY RATE OF \$105,012 OVER TWELVE MONTHS, EFFECTIVE 7/1/2006. (PRIOR TO THIS APPOINTMENT, DR. LAFLAMME WAS AN ACTING INSTRUCTOR AND SENIOR FELLOW IN THE SAME DEPARTMENT.)

REYES, MORAYAMA

(BS, 1996, UNIVERSITY OF PUERTO RICO; DPhil, 2001, UNIVERSITY OF MINNESOTA; MD, 2003, UNIVERSITY OF MINNESOTA) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF PATHOLOGY AND ASSISTANT PROFESSOR WITHOUT TENURE OF LABORATORY MEDICINE AT A SALARY RATE OF \$115,296 OVER TWELVE MONTHS, EFFECTIVE 7/1/2006. (PRIOR TO THIS APPOINTMENT, DR. REYES WAS AN ACTING INSTRUCTOR IN LABORATORY MEDICINE.)

SMITH, ROBERT A.

(BS, 1992, UNIVERSITY OF MONTANA; PHD, 1997, UNIVERSITY OF MONTANA) TO BE RESEARCH ASSISTANT PROFESSOR OF PATHOLOGY AT A SALARY RATE OF \$72,000 OVER TWELVE MONTHS, EFFECTIVE 7/1/2006. (PRIOR TO THIS APPOINTMENT, DR. SMITH WAS AN ACTING INSTRUCTOR IN THE SAME DEPARTMENT.)

WOLTJER, RANDALL LEE

(BS, 1985, CALVIN COLLEGE; MA, 1986, MICHIGAN STATE UNIVERSITY; PHD, 1992, VANDERBILT UNIVERSITY; MD, 1994, VANDERBILT UNIVERSITY) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF PATHOLOGY AT A SALARY RATE OF \$105,300 OVER TWELVE MONTHS, EFFECTIVE 7/1/2006. (PRIOR TO THIS APPOINTMENT, DR. WOLTJER WAS AN ACTING ASSISTANT PROFESSOR-TEMPORARY IN THE SAME DEPARTMENT.)

DEPARTMENT OF PEDIATRICS

NEW APPOINTMENTS

DEBLEY, JASON SCOTT

(BA, 1991, UNIVERSITY OF CALIFORNIA (SAN DIEGO); MD, 1995, NORTHWESTERN UNIVERSITY; MPH, 2003, UNIVERSITY OF WASHINGTON) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF PEDIATRICS PAID DIRECT BY CHILDREN'S HOSPITAL AND REGIONAL MEDICAL CENTER EFFECTIVE 7/1/2006. (PRIOR TO THIS APPOINTMENT, DR. DEBLEY WAS AN ACTING ASSISTANT PROFESSOR-TEMPORARY IN THE SAME DEPARTMENT.)

DEPARTMENT OF PHARMACOLOGY

NEW APPOINTMENTS

YAROV-YAROVY, VLADIMIR

(BS, 1990, MOSCOW STATE UNIVERSITY (RUSSIA); MS, 1993, MOSCOW STATE UNIVERSITY (RUSSIA); PHD, 1998, OREGON HEALTH SCIENCES UNIVERSITY) TO BE RESEARCH ASSISTANT PROFESSOR OF PHARMACOLOGY AT A SALARY RATE OF \$69,360 OVER TWELVE MONTHS, EFFECTIVE 6/1/2006. (PRIOR TO THIS APPOINTMENT, DR. YAROV-YAROVY WAS AN ACTING ASSISTANT PROFESSOR-TEMPORARY IN THE SAME DEPARTMENT.)

YU, FRANK

(BS, 1988, UNIVERSITY OF BRITISH COLUMBIA (CANADA); MS, 1990, UNIVERSITY OF BRITISH COLUMBIA (CANADA); PHD, 1997, MCGILL UNIVERSITY (CANADA)) TO BE RESEARCH ASSISTANT PROFESSOR OF PHARMACOLOGY AT A SALARY RATE OF \$52,752 OVER TWELVE MONTHS, EFFECTIVE 7/1/2006. (PRIOR TO THIS APPOINTMENT, DR. YU WAS AN ACTING ASSISTANT PROFESSOR-TEMPORARY IN THE SAME DEPARTMENT.)

DEPARTMENT OF PSYCHIATRY AND BEHAVIORAL SCIENCES

NEW APPOINTMENTS

KAYSEN, DEBRA

(BS, 1991, TUFTS UNIVERSITY; MA, 1997, UNIVERSITY OF MISSOURI; PHD, 2003, UNIVERSITY OF MISSOURI) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF PSYCHIATRY AND BEHAVIORAL SCIENCES AT A SALARY RATE OF \$74,916 OVER TWELVE MONTHS, EFFECTIVE 7/1/2006. (PRIOR TO THIS APPOINTMENT, DR. KAYSEN WAS AN ACTING INSTRUCTOR IN THE SAME DEPARTMENT.)

LEVY, MITCHELL

(BA, 1989, VASSAR COLLEGE; MD, 1996, GEORGE WASHINGTON UNIVERSITY) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF PSYCHIATRY AND BEHAVIORAL SCIENCES AT A SALARY RATE OF \$118,440 OVER TWELVE MONTHS, EFFECTIVE 6/1/2006. (PRIOR TO THIS APPOINTMENT, DR. LEVY WAS AN ACTING ASSISTANT PROFESSOR-TEMPORARY IN THE SAME DEPARTMENT.)

SMITH, WAYNE R.

(BA, 1972, PRINCETON UNIVERSITY; PHD, 1978, WASHINGTON UNIVERSITY; MPH, 1986, UNIVERSITY OF WASHINGTON) TO BE ASSOCIATE PROFESSOR WITHOUT TENURE OF PSYCHIATRY AND BEHAVIORAL SCIENCES AT A SALARY RATE OF \$78,000 OVER TWELVE MONTHS, EFFECTIVE 6/1/2006. (PRIOR TO THIS APPOINTMENT, DR. SMITH WAS A CLINICAL ASSISTANT PROFESSOR IN THE SAME DEPARTMENT.)

DEPARTMENT OF RADIOLOGY

NEW APPOINTMENTS

GUNN, MARTIN

(MBChB, 1994, UNIVERSITY OF AUCKLAND (NEW ZEALAND)) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF RADIOLOGY AT A SALARY RATE OF \$81,600 OVER TWELVE MONTHS, EFFECTIVE 6/1/2006. (PRIOR TO THIS APPOINTMENT, DR. GUNN WAS A CONSULTANT RADIOLOGIST AT WAITEMATA DISTRICT HEALTH CARE.)

QUI, BENSHENG

(BS, 1987, ELECTRONIC SCIENCE & TECHNOLOGY OF CHINA; MS, 1990, NORTHWESTERN POLYTECHNICAL INST (CHINA); PHD, 1995, HEFEI UNIVERSITY OF TECHNOLOGY (CHINA)) TO BE RESEARCH ASSISTANT PROFESSOR OF RADIOLOGY AT A SALARY RATE OF \$70,008 OVER TWELVE MONTHS, EFFECTIVE 7/1/2006. (PRIOR TO THIS APPOINTMENT, DR. QUI WAS A FELLOW IN RADIOLOGY AT JOHNS HOPKINS UNIVERSITY.)

YANG, XIAOMING

(MD, 1983, SUZHOU MEDICAL COLLEGE (CHINA); MS, 1986, PEKING UNION MEDICAL COLLEGE (CHINA); PHD, 1991, UNIVERSITY OF KUOPIO (FINLAND)) TO BE PROFESSOR OF RADIOLOGY AT A SALARY RATE OF \$155,004 OVER TWELVE MONTHS, EFFECTIVE 7/1/2006. (PRIOR TO THIS APPOINTMENT, DR. YANG WAS ASSOCIATE PROFESSOR OF RADIOLOGY AT JOHNS HOPKINS UNIVERSITY.)

YARNYKH, VASILY

(MS, 1988, MOSCOW STATE UNIVERSITY (RUSSIA); PHD, 1992, MOSCOW STATE UNIVERSITY (RUSSIA)) TO BE RESEARCH ASSISTANT PROFESSOR OF RADIOLOGY AT A SALARY RATE OF \$85,008 OVER TWELVE MONTHS, EFFECTIVE 6/1/2006. (PRIOR TO THIS APPOINTMENT, DR. YARNYKH WAS AN ACTING INSTRUCTOR IN THE SAME DEPARTMENT.)

DEPARTMENT OF REHABILITATION MEDICINE

NEW APPOINTMENTS

ASKAY, SHELLY WIECHMAN

(BA, 1990, UNIVERSITY OF CALIFORNIA (SANTA BARBARA); MS, 1994, UNIVERSITY OF ARIZONA; PHD, 2000, UNIVERSITY OF WASHINGTON) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF REHABILITATION MEDICINE AT A SALARY RATE OF \$67,008 OVER TWELVE MONTHS, EFFECTIVE 7/1/2006. (PRIOR TO THIS APPOINTMENT, DR. ASKAY WAS AN ACTING ASSISTANT PROFESSOR-TEMPORARY IN THE SAME DEPARTMENT.)

DEPARTMENT OF SURGERY

NEW APPOINTMENTS

MATHES, DAVID W.

(BA, 1992, VANDERBILT UNIVERSITY; MD, 1996, TULANE UNIVERSITY) TO BE ASSISTANT PROFESSOR WITHOUT TENURE OF SURGERY AT A SALARY RATE OF \$174,000 OVER TWELVE MONTHS, EFFECTIVE 7/1/2006. (PRIOR TO THIS APPOINTMENT, DR. MATHES WAS A RESIDENT IN PLASTIC SURGERY AT THE UNIVERSITY OF TEXAS-SOUTHWESTERN.)

MCINTYRE, LISA

(BS, 1989, UNIVERSITY OF WASHINGTON; MD, 1995, UNIVERSITY OF WASHINGTON) TO BE ASSISTANT PROFESSOR WITHOUT TENURE, PART-TIME, OF SURGERY AT A SALARY RATE OF \$138,739 OVER TWELVE MONTHS, EFFECTIVE 7/1/2006. (PRIOR TO THIS APPOINTMENT, DR. MCINTYRE WAS AN ACTING ASSISTANT PROFESSOR-TEMPORARY IN THE SAME DEPARTMENT.)

UNIVERSITY OF WASHINGTON, BOTHELL

BUSINESS PROGRAM

NEW APPOINTMENTS

HARGRAVE, TIMOTHY J.

(BS, 1983, UNIVERSITY OF CALIFORNIA (BERKELEY); MBA, 1991, UNIVERSITY OF CHICAGO; PHD, 2005, UNIVERSITY OF MINNESOTA) TO BE ASSISTANT PROFESSOR OF BUSINESS AT A SALARY RATE OF \$99,999 OVER NINE MONTHS, EFFECTIVE 9/16/2006. (PRIOR TO THIS APPOINTMENT, DR. HARGRAVE WAS A RESEARCH ASSOCIATE AT THE UNIVERSITY OF MINNESOTA.)

HOEHN-WEISS, MANUELA NANCY

(BS, 1993, SANTA CLARA UNIVERSITY) TO BE ACTING ASSISTANT PROFESSOR OF BUSINESS AT A SALARY RATE OF \$99,999 OVER NINE MONTHS, EFFECTIVE 9/16/2006.

LIN, YUANFANG

(BA, 1998, RENMIN UNIVERSITY OF CHINA (CHINA); MS, 2000, UNIVERSITY OF NEVADA; MS, 2003, WASHINGTON UNIVERSITY) TO BE ACTING ASSISTANT PROFESSOR OF BUSINESS AT A SALARY RATE OF \$99,999 OVER NINE MONTHS, EFFECTIVE 9/16/2006.

INTERDISCIPLINARY ARTS AND SCIENCES PROGRAM

NEW APPOINTMENTS

HILLYARD, CINNAMON

(BS, 1990, UTAH STATE UNIVERSITY; MS, 1993, UTAH STATE UNIVERSITY; PHD, 1999, UTAH STATE UNIVERSITY) TO BE ASSISTANT PROFESSOR OF INTERDISCIPLINARY ARTS AND SCIENCES AT A SALARY RATE OF \$60,003 OVER NINE MONTHS, EFFECTIVE 8/16/2006. (PRIOR TO THIS APPOINTMENT, DR. HILLYARD WAS DIRECTOR OF THE QUANTATATIVE SKILLS CENTER AT THE UW - BOTHELL.)

PRICE, REBECCA MARA

(BS, 1997, UNIVERSITY OF WASHINGTON; MS, 1999, UNIVERSITY OF CHICAGO; PHD, 2003, UNIVERSITY OF CHICAGO) TO BE ASSISTANT PROFESSOR OF INTERDISCIPLINARY ARTS AND SCIENCES AT A SALARY RATE OF \$62,001 OVER NINE MONTHS, EFFECTIVE 8/16/2006. (PRIOR TO THIS APPOINTMENT, DR. PRICE WAS A POST-DOCTORAL FELLOW AT THE UNIVERSTIY OF NORTH CAROLINA, CHAPEL HILL.)

STEWART, JOHN ERIC

(BA, 1993, UNIVERSITY OF CALIFORNIA (BERKELEY); PHD, 2000, UNIVERSITY OF ILLINOIS) TO BE ASSISTANT PROFESSOR OF INTERDISCIPLINARY ARTS AND SCIENCES AT A SALARY RATE OF \$63,999 OVER NINE MONTHS, EFFECTIVE 8/16/2006. (PRIOR TO THIS APPOINTMENT, DR. STEWART WAS A VISITING ASSISTANT PROFESOR OF PSYCHOLOGY AT THE UNIVERSITY OF GEORGIA, ATHENS.)

STOKES, DAVID LAWRENCE

(BA, 1974, WILLIAMS COLLEGE; PHD, 1994, UNIVERSITY OF WASHINGTON) TO BE ASSOCIATE PROFESSOR OF INTERDISCIPLINARY ARTS AND SCIENCES AT A SALARY RATE OF \$70,002 OVER NINE MONTHS, EFFECTIVE 8/16/2006. (PRIOR TO THIS APPOINTMENT, DR. STOKES WAS ASSOCIATE PROFESSOR OF ENVIRONMENTAL PLANNING AND STUDIES AT SONOMA STATE UNIVERSTIY.)

TURNER, ROBERT JOSEPH

(BS, 1985, ALLEGHENY COLLEGE; MS, 1988, WESTERN WASHINGTON UNIVERSITY; PHD, 1999, UNIVERSITY OF NORTH CAROLINA) TO BE ASSISTANT PROFESSOR OF INTERDISCIPLINARY ARTS AND SCIENCES AT A SALARY RATE OF \$63,999 OVER NINE MONTHS, EFFECTIVE 8/16/2006. (PRIOR TO THIS APPOINTMENT, DR. TURNER WAS ASSISTANT PROFESSOR OF GEOLOGY AT C.W. POST CAMPUS OF LONG ISLAND UNIVERSITY.)

UDELL, WADIYA A

(BA, 1996, WHEATON COLLEGE; PHD, 2004, COLUMBIA UNIVERSITY) TO BE ASSISTANT PROFESSOR OF INTERDISCIPLINARY ARTS AND SCIENCES AT A SALARY RATE OF \$64,503 OVER NINE MONTHS, EFFECTIVE 8/16/2006. (PRIOR TO THIS APPOINTMENT, DR. UDELL WAS A NATIONAL INSTITUTE OF MENTAL HEALTH POST-DOCTORAL FELLOW AT COLUMBIA UNIVERSITY.)

UNIVERSITY OF WASHINGTON, TACOMA

INTERDISCIPLINARY ARTS AND SCIENCES PROGRAM

ADMINISTRATIVE APPOINTMENTS

GREENGROVE, CHERYL

(BS, 1979, MORAVIAN COLLEGE; MA, 1982, COLUMBIA UNIVERSITY; MPhil, 1986, COLUMBIA UNIVERSITY; PHD, 1986, COLUMBIA UNIVERSITY) TO BE INTERIM DIRECTOR OF INTERDISCIPLINARY ARTS AND SCIENCES AT THE UNIVERSITY OF WASHINGTON, TACOMA, EFFECTIVE 7/1/2006. (PROFESSOR GREENGROVE WILL CONTINUE AS ASSOCIATE PROFESSOR OF INTERDISCIPLINARY ARTS AND SCIENCES PROGRAM.)

NEW APPOINTMENTS

BEAUFORT, ANNE

(BA, 1969, WHEATON COLLEGE; MA, 1971, PURDUE UNIVERSITY; PHD, 1995, STANFORD UNIVERSITY) TO BE ASSOCIATE PROFESSOR OF INTERDISCIPLINARY ARTS AND SCIENCES AT A SALARY RATE OF \$65,007 OVER NINE MONTHS, EFFECTIVE 9/1/2006. (DR. BEAUFORT IS CURRENTLY AN ASSOCIATE PROFESSOR AT STATE UNIVERSITY OF NEW YORK, STONY BROOK.)

NASCIMENTO, AMOS

(MA, 1991, UNIV METODISTA DE SAO PAULO (BRAZIL); DPhil, 2002, UNIVERSITY OF FRANKFURT (GERMANY)) TO BE ASSISTANT PROFESSOR OF INTERDISCIPLINARY ARTS AND SCIENCES AT A SALARY RATE OF \$59,832 OVER NINE MONTHS, EFFECTIVE 9/1/2006.

SOCIAL WORK PROGRAM

NEW APPOINTMENTS

GARNER, MICHELLE

(BA, 1994, UNIVERSITY OF CALIFORNIA (BERKELEY); MSW, 1998, UNIVERSITY OF WASHINGTON; PHD, 2006 (expected), UNIVERSITY OF WASHINGTON) TO BE ACTING ASSISTANT PROFESSOR OF SOCIAL WORK AT A SALARY RATE OF \$54,000 OVER NINE MONTHS, EFFECTIVE 9/16/2006.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Department of Management Science Name Change to Department of Information Systems and Operations Management

RECOMMENDED ACTION

It is the recommendation of the Academic and Student Affairs Committee that the name of the Department of Management Science be changed to the Department of Information Systems and Operations Management, effective September 1, 2006.

BACKGROUND

The Management Science Department was originally formed in July of 1985, by drawing two areas of expertise from existing departments: (i) Quantitative Methods from (then) Finance, Business Economics and Quantitative Methods and (ii) Operations Management from Management & Organization. These areas were considered to have a similar focus and joining them in one department combined two existing disciplines which had quantitative analysis as an integral part of their curriculum and research. The name Management Science served the Department well at that time, but is no longer descriptively accurate.

First, Information Systems (IS) has become a very important part of the department. At the time of forming the department, IS was only an emerging area. Two faculty members (one from Accounting and the other from Finance) with interest in IS joined the department. Over the years, the IS group has become a significant part; currently it is the largest group in the department with seven tenured or tenure-track faculty members. The existing name does not capture this important expertise of the department in any way.

Second, the name, Management Science, suggests that the focus of research and teaching is on the analytical tools and techniques in management science (including decision theory, operations research, and statistics), not real-world problems in information systems and operations management. As an important part of the Business School, however, the current focus of the Department is primarily on business problems related to information systems and operations management. Management science simply provides the research tools and techniques for understanding, analyzing, and solving these real-world business problems, but is not really the primary focus. The simplest way to communicate this broader mission is to use the name of the application areas, and not that of the basic tools.

Almost all top business schools in the United States have already changed the names of departments with similar mission, faculty composition, curriculum, and research. Typical names that have been used are: Operations and Information

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Department of Management Science Name Change to Department of Information Systems and Operations Management (continued p. 2)

Management, Information Systems and Operations Management, Information Technology and Operations Management, Information and Operations. These names all point towards emphasizing the business functions and the application areas.

The business world expects the Department to prepare future business leaders so that they learn to effectively use and manage information systems, supply chain, and service and logistics. The current name is confusing to the business community. The proposed name would better convey the mission of the Department to this community.

The suggested name change to the Department of Information Systems and Operations Management has the broad support of the departmental faculty, the Business School Faculty Council and Advisory Committee, the Dean, the Provost, and the President.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

New Holistic Review Process Outcome Report – UW Seattle

The Office of Admissions asked the Office of Educational Assessment to conduct research on the new holistic review process, the consistency of the application reviews, and the outcomes of the process. A draft report on this research has been completed, but will not be finalized until after the freshmen enroll this fall. The initial research findings and admission outcomes include:

1. The same application review and admission decision process was used for all applicants. Over 30,000 application reads were done.
2. Reviews by over 50 different readers were demonstrably consistent with over 96% of the reads in accord.
3. 16.5% of the admission decisions under the new process were different than they would have been under an Admission Index-driven system.
4. Last year's class had the strongest academic profile in UW's history – a 3.69 GPA and an 1198 SAT. Even though the College Board announced that the new SAT test will lead to relatively lower scores nationwide this year (5-10 points), UW's confirmed freshman class as of July 10th possessed a 3.68 GPA and an 1190 SAT – virtually identical to last year.
5. Greater numbers of underrepresented minority (URM) students were offered admission than would have been the case under the previous system. Admission rates for most URM groups increased in spite of significantly larger applicant pools. The numbers of underrepresented students will increase. For example:
 - a. African-American applications increased by 13.4%; nearly 50 more applicants were admitted than last year; 156 have confirmed compared to 115 last year (+ 35.7%).
 - b. Hispanic/Latino applications increased by 7.0%; nearly 70 more students were admitted than last year; 314 have confirmed compared to 245 last year (+ 28.2%).
 - c. American-Indian freshmen confirmations have increased by 22.8% and Hawaiian/Pacific Islander confirmations by 37.0%.

Summary

- The new holistic review process worked well.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

New Holistic Review Process Outcome Report – UW Seattle (continued p. 2)

- The consistency and reliability of the readers' application ratings were high.
- Greater numbers of URM students were offered admission than would have been the case under the previous system.
- The class academic profile remains relatively high.

We will do additional analyses to verify and build on these preliminary findings. First, the analyses need to be repeated after fall enrollment has settled. Second, we need to track this entering cohort and assess performance, retention and graduation outcomes.

Attachment: Comparative Autumn 2006 Freshman Class Demographics

Comparative Autumn 2006 Freshman Class Demographics

	2005 Applications	2006 Applications	%Change	2005 Admits	2005 Admit %	2006 Admits	2006 Admit %	2005 Confirms	2005 Yield %	2006 Confirms	2006 Yield %	% Change in Confirms
Total	15,944	16,625	4.30%	10,652	67.00%	11,355	68.00%	5,039	47.30%	5,646	49.70%	12.00%
Resident	9,261	9,243	-0.19%	6,229	67.00%	6,824	74.00%	3,879	62.30%	4,443	65.10%	14.50%
Non-Resident	6,683	7,382	10.50%	4,423	66.00%	4,531	61.00%	1,160	26.20%	1,204	26.60%	3.80%
African-American	471	534	13.40%	231	49.00%	279	52.20%	115	49.90%	156	55.90%	35.70%
American Indian	160	165	3.10%	108	67.50%	123	74.50%	57	52.80%	70	56.90%	22.80%
Asian American	3437	3915	13.90%	2453	71.40%	2810	71.80%	1369	55.80%	1580	56.20%	15.40%
Caucasian	8607	8507	-1.20%	5907	68.60%	6069	71.30%	2656	45.00%	2897	47.70%	9.00%
Hawaiian/Pac. Is.	81	109	34.60%	57	70.40%	61	56.00%	27	47.40%	37	60.70%	37.00%
Hispanic/Latino	832	890	7.00%	514	61.80%	583	65.50%	245	47.70%	314	53.90%	28.20%

VII. STANDING COMMITTEES**A. Academic and Student Affairs Committee**

In Joint Session With

B. Finance, Audit and Facilities Committee

**University of Washington
2007-09 State Operating and Capital Budget Requests**

RECOMMENDED ACTIONS

It is the recommendation of the administration, the Academic and Student Affairs Committee, and the Finance, Audit and Facilities Committee that the Board of Regents approve the 2007-09 capital and operating budget requests to the state as they are presented in the following text and tables. In this action item, the Board of Regents:

- Approves the 2007-09 State Capital Budget Request.
- Approves the 2007-09 Consolidated Capital Project List for the six public 4-year colleges and universities. In approving this list, the University of Washington acknowledges that this approach to prioritization, combined with the current structural state capital funding constraints, will leave substantial critical capital funding needs unmet. The University of Washington will therefore be submitting policy requests to address the current structural issues in the State capital budget and to bring more funds to the overall UW capital program.
- Approves the 2007-09 State Operating Budget Request.
- Approves the pursuit of state policy changes that will provide tuition authority necessary to implement a six-year pilot resource plan, address the current structural issues in the state capital budget, provide general revenue bonding authority to the UW, and increase need-based state financial aid.
- Authorizes the Office of Planning and Budgeting to further develop the descriptions of selected 2007-09 budget requests and to prepare and submit other supporting materials that are required by the Office of Financial Management. In addition, the Office of Planning and Budgeting is authorized to continue to work with Washington State University and Eastern

Washington University on how the costs associated with the WWAMI/RIDE Spokane site request will be reflected in the three institutions budgets.

Previous Discussion of the 2007-09 State Operating and Capital Budget Requests

The University of Washington 2007-09 State Operating and Capital Budget Requests were presented as an information item to a joint session of the Academic and Student Affairs Committee and the Finance, Audit and Facilities Committee at the June 2006 meeting of the Board of Regents.

The only numbers that have been changed materially from those in the June presentation are the request numbers associated with the UW/Medicine and UW/Dentistry – Spokane Expansion Request and the request numbers associated with the global health research and teaching activities request. The request numbers for the University of Washington associated with the UW/Medicine and UW/Dentistry – Spokane Expansion Request initiative were reduced because the earlier figures included expenses that are being requested by Washington State University in their state budget request. The request numbers for the global health research and teaching activities have been increased because the university has received feedback from legislative and Office of Financial Management staff that they consider the supplemental budget appropriation for this program for the current fiscal year to be a temporary allocation.

The order in which the 2007-09 State Operating and Capital Budget Requests are presented in this document has also been changed here – with the State Capital Budget Request presented first, and the State Operating Budget Request presented second, and policy requests presented third.

Vision/Goals/Objectives

The 2007-09 Operating and Capital Budget Requests reflect discussions held throughout 2006 regarding the vision, goals and objectives for the University of Washington. The vision statement for the UW is:

The University of Washington educates a diverse student body to become responsible global citizens and future leaders through a challenging and inclusive learning environment informed by cutting-edge scholarship. Discovery is at the heart of our university. We discover and advance timely and useful solutions to the world's most difficult and complex problems of our times, serving and enriching the lives of all people throughout Washington state, the nation, and the world. We are committed to integrity, diversity, excellence, collaboration, and innovation in all that we do.

Among the characteristics that make the UW unique are commitments to: academic excellence; be educators; be world leaders in research; take action to preserve and

enhance the State of Washington; be an academic community that is committed to scholarly exploration in a wide array of academic endeavors; actively pursue global engagement and connectedness; be a public university that serves all of the citizens of the State of Washington.

Specific goals that are guiding the university's actions are:

Goal 1: attract a diverse and excellent student body and provide a rich learning experience

Goal 2: attract and retain an outstanding and diverse faculty and staff

Goal 3: expand the reach of the UW across the globe

Goal 4: strengthen interdisciplinary research and scholarship to tackle "grand challenge" problems that will benefit society and stimulate economic development

Goal 5: insure the highest level of integrity, compliance and stewardship

The UW's 2007-09 State Operating and Capital Budget Requests are structured to support achieving these goals.

Program Benchmarks

As part of the "Creating UW's Future" discussion that has been presented to the Board, the administration is developing key benchmark indicators that will be used to measure and evaluate the success toward achieving the five goals that are discussed above. A draft of these benchmark indicators will be presented to the Board at the September meeting.

Funding Benchmarks

Funding for the University of Washington must be set at a competitive level if the university is to continue to provide a high quality education to students and to achieve the vision and goals set for the institution. One way to assess the ability to achieve these goals is to compare the UW to other universities on various benchmark measures. In developing the 2007-09 State Operating and Capital Budget Requests, several benchmarks were identified. The UW's current status relative to those benchmarks, the potential progress that could be achieved in 2007-09, and the long-term goal on these benchmarks were all identified. A table showing this information is presented on the following page.

**University of Washington
Short-Term and Long-Term Funding Benchmarks**

Benchmark	Current Gap	2007-09 Progress	Long Term Goal (Fiscal Year 2017)
Funding Per FTE Student	\$3,000 to \$5,000 Less Per FTE Than Competitors	Reduce Difference to \$1,000 to \$3,500 Per FTE Less Than Competitors	Funding Per FTE Equal To Competitors by 2013 and Thereafter
Competitive Compensation			
Faculty	Average Salary \$5,000 to \$9,500 Less Than Market Survey	Average Salary \$3,000 to \$7,500 Less Than Market Survey	0% to 5% Above Market Survey
Librarians	Average Salary \$1,000 to \$4,000 Less Than Market Survey	Average Salary \$0 to \$3,000 Less Than Market Survey	0% to 5% Above Market Survey
Professional Staff	Average Salary \$5,000 to \$6,000 Less Than Market Survey	Average Salary \$3,700 to \$5,000 Less Than Market Survey	0 to 5% Above Market Survey
Classified Staff	Market Survey Being Conducted	Labor Negotiations Have Started	0 to 5% Above Market Survey
Facilities			
Operations and Maintenance of Physical Plant	47% of Benchmark Funding Standard	Move New + Renovated Space To 100% of Funding Standard	80% of Benchmark Funding Standard
Capital Investment Deferred Renewal and Modernization Backlog	Facilities Condition Index at 24%	Progress If Policy Requests Acted Upon	Facilities Condition Index at 10%
Information Systems			
Oper. & Maintenance	to be developed		to be developed
System Development	to be developed		to be developed

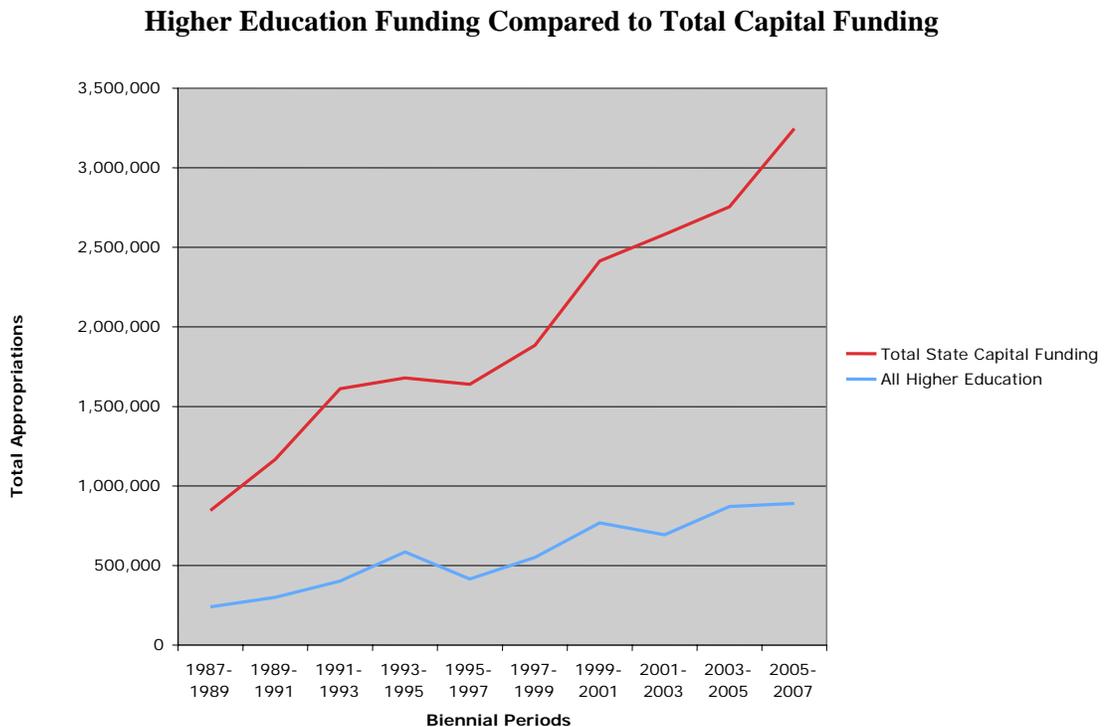
2007-09 Capital Budget Request

Investing in the University of Washington's Future Will Require Alternative Capital Funding Models

Information regarding the decline in higher education capital funding relative to total state capital funding during the past two decades was provided as part of the June 2006 Academic and Student Affairs Committee/Finance, Audit and Facilities Committee meeting materials.

The accumulated demands on the state capital budget have outpaced the capacity of the state to respond adequately to the needs of higher education; these pressures are creating significant and growing structural problems in the capital budget. Since 1985, major new programs in transportation, K-12 education, corrections, and the environment have placed significant demands on the capital budget, and although higher education makes up about two-thirds of all state-owned facilities, the higher education portion of the budget has not kept up with the needs, and in fact has recently declined relative to total capital funding as shown in Figure 1 below.

Figure 1

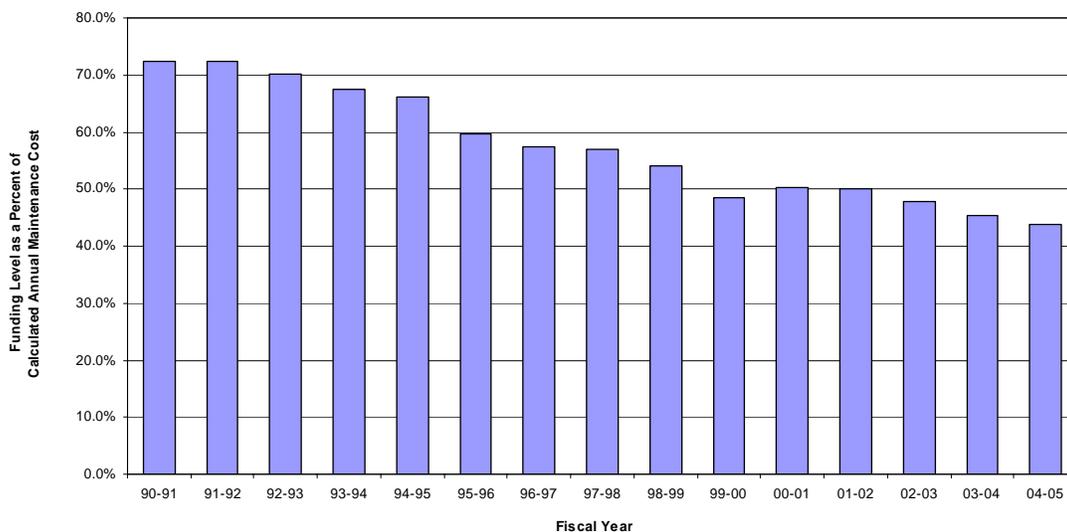


New capital needs in higher education have also placed demands on the capital budget. In 1991, the state's community college system was expanded by the addition of five technical colleges, for which funding was moved from the local school districts and a local bond funding model, to the state funded community and technical college program. In addition to these five technical colleges, Pierce and Cascadia Community Colleges were also added to the state system, increasing the total number of 2-year campuses from 27 in 1991 to the current 34. During this same time frame, "branch" campuses of Washington State University and the University of Washington have been developed in Vancouver, Spokane, Tri-Cities, Tacoma and Bothell, totaling over 1.6 million gross square feet. Capital funding for this expansion has put increasing pressure on the funds needed by the existing campuses and facilities which were generally built prior to the mid-1980's.

The current state capital budgeting approach is unable to generate the amount of capital funds necessary to adequately address the needs of higher education and the needs of the University of Washington specifically. Declining capital support from the state is seriously jeopardizing our long term ability to modernize and maintain our significant capital assets, while meeting the demands of enrollment and program growth. We are not alone in experiencing this state facilities funding shortfall. Many other states have recognized this problem and have developed alternative funding approaches. (Refer to the 2007-09 Policy Requests).

In addition to these capital investments in facilities, operating budget funding for ongoing maintenance and operations has declined significantly over the past several decades. Over time, state operations and maintenance funding has declined to the point that the University of Washington currently receives less than 50% of the recommended formula funding level as illustrated in Figure 2 on the following page.

Figure 2
Operations and Maintenance Funding has Declined to Less Than Half of the Recommended Funding Formula



Budget composed of Facilities Services Building and Utility Maintenance (program category 092) budgets. Annual maintenance cost based on calculated Plant Operations and Maintenance formula.

When building maintenance is not completed according to recommended schedules, systems fail and often create the need for more expensive capital projects. For example, when water pipes are not periodically replaced, they fail, causing water damage and creating the need for not only replacing the system, but also repairing the water damage. The long-term underfunding of operations and maintenance has contributed to the significant backlog of deferred renewal projects at the University of Washington. While it may be unrealistic to achieve 100% of the recommended funding level for ongoing operations and maintenance, clearly the current funding level is inadequate. The ten-year plan establishes a goal of increasing the operations and maintenance funding level to an average of 80% of the recommended formula for all state supported space to ensure that our physical plant assets are maintained in good working order. If the 80% goal had been met in 2005-07, the University of Washington would have received about \$70 million in operations and maintenance funding compared to the \$40 million that was budgeted.

Catching Up and Keeping Up

Support for our facilities is critical to the delivery of our programs and to maintaining a nationally competitive research university. In 2003-05 the university set in motion a long-term strategy to address the restoration and preservation of our core campus facilities. The “Restore the Core” program of major building renovations is underway and continues to form a foundation element in our ten-year capital plan. However, to provide the necessary facilities to fully realize our goals, a comprehensive approach of “catching up” and “keeping up” is needed. As we continue implementation of the restoration program to catch up with our backlog, we will also need to increase our ongoing investment in the physical plant in order to keep up with our maintenance and modernization needs.

In 2005-07, the UW’s capital budget request to the state included approximately \$195 million for investments in the existing physical plant. The UW, which accounts for 34% of all higher education total square footage and 28% of all state-supported program space in the state, hit a historic low point in state capital budget support, receiving only about 10% of state higher education capital funding. This funding level does not even approach a “depreciation” funding level.

While public agencies do not budget for depreciation *per se*, budgeting for renewal and modernization as a percentage of current replacement value (CRV) is a nationally recognized approach. This depreciation allowance is intended to maintain the physical plant in good condition for its present use, and allow for modernization to alter facilities for changes in program use and address building and safety codes. Applying a range of 2% to 3% depreciation funding, and using a CRV of approximately \$4.7 billion in UW state-supported space (excluding our auxiliary units such as housing and dining services, parking, athletics, and the hospital), the level of depreciation funding that would be required for the University of Washington would be roughly \$90 to \$140 million annually.

Higher education facilities managers frequently use a benchmark known as the Facilities Condition Index or “FCI” to monitor overall building condition. FCI is the cost of the backlog of facility repairs divided by the current replacement value of the facility. At the University of Washington we track our backlog of repair and modernization needs and currently report a campuswide average of around 24% FCI for our state-supported facilities (excluding auxiliaries).

As part of our ten-year capital plan, the University of Washington has established a goal of achieving and maintaining an overall facilities condition index, or needs index of around 10% for our state-supported facilities. In round numbers, in order to reduce the current backlog of about \$1.3 billion to about \$500 million over the ten-year plan period (approximately 10% of our \$4.7 billion physical plant assets) we would want to invest approximately \$160 million each biennium. Therefore, as shown in the table below, the total capital investment required to catch-up with our facilities needs,

including depreciation and backlog reduction is approximately \$350 million per biennium.

**Depreciation and Backlog Reduction Funding
for Existing UW State-Supported Facilities
(in millions)**

	Current <u>2005-07</u>	<u>2007-09</u>	Six-Year Plan	
			<u>2009-11</u>	<u>2011-13</u>
Depreciation @ 2% of CRV	\$188	\$195	\$200	\$205
Backlog Reduction	\$160	\$160	\$160	\$160
Total Need	\$348	\$355	\$360	\$365
Budget/Planned Request	\$160	\$210	\$213	\$175
Remaining Need	\$188	\$145	\$147	\$190

If our proposed 2007-09 capital request to the state were to be fully funded it would be a record high level of UW capital support from the state, and as shown above would still leave a shortfall of approximately \$150 to \$200 million a biennium in the six-year plan before addressing new growth. Clearly the current state system of capital funding is unable to generate sufficient capital funds to meet the University of Washington’s needs. The recommended policy requests will initiate discussion between the University of Washington and the state and aimed at finding new approaches to address these needs.

Capacity and Program Growth

Our capital facilities needs are much more than just maintaining our existing physical plant. In order to achieve our goals with regard to capacity and program growth, new facilities at the Seattle campus, and continued expansion at Bothell and Tacoma are also necessary. We are requesting planning and design funding for a new interdisciplinary academic building on the Seattle campus which will provide program expansion space for research in molecular engineering and related disciplines. We are also requesting funding for computing and communications infrastructure including a state contribution to expansion of our data center capacity. Our request includes pre-design and design funding for Phase 3 of the capacity expansion at Bothell and completion of the pre-design for Phase 3 at Tacoma. We are also requesting pre-design funding for an expansion to Gould Hall to modernize and expand program space for the College of Architecture and Urban Planning. In total (as shown in the table below), the request includes \$30 million in capital investment

for capacity and program growth, which will place important new construction projects in the funding schedule for the next two biennia.

**Capital Investment Needed to Increase Capacity and Support Program Growth
(in millions)**

	Current <u>2005-07</u>	<u>2007-09</u>	Six-Year Plan	
			<u>2009-11</u>	<u>2011-13</u>
Capacity and Program Growth	\$4	\$30	\$112	\$62

Six Year Capital Plan

The 2007-09 six-year capital plan has been developed in the context of the current state capital budget structure, and in recognition of the fact that the state is currently not capable of providing adequate capital funding to meet the needs of catching up, keeping up, and growing our capacity and programs. Details of the proposed six-year state funded capital plan for the UW are shown in Table 1 on the following page.

**Table 1
2007-09 Six-Year Capital Plan**

State-Funded Projects		2007-2009 State Funds	Local Funds UW BLDG.	2007-2009 All Sources Total	Total Anticipated Need 2009-2011	Total Anticipated Need 2011-2013	6 yr. Request Total
1	Minors "A"		28,000	28,000	50,000	50,000	128,000
2	Restoration Phase III (IV & V) ¹	97,042		97,042	99,100	62,000	258,142
3	Interdisc. Academic Bdg #2, P/D	5,000		5,000	57,000		62,000
4	Computing & Comm/Data Center	25,000		25,000	15,000	5,000	45,000
5	Agg. Intermd. Stdnt. Svs. & Classrm. Imprv	15,000		15,000	5,000	2,000	22,000
6	UW Tacoma Phase 3, P	150		150	6,000	54,000	60,150
7	UW Bothell Phase 3, P/D	5,000		5,000	48,000		53,000
8	Agg. Intermd. Infrastructure Project	15,000	3,000	18,000	8,000	8,000	34,000
9	Preservation Minors "B"	20,000		20,000	20,000	20,000	60,000
10	Program Minors "B"	10,000		10,000	10,000	10,000	30,000
11	Gould Hall Build Out, P	150		150	600	7,500	8,250
12	UW Tacoma Assembly Hall C	1,600		1,600			1,600
Total Request		193,942	31,000	224,942	318,700	218,500	762,142

¹ The Restoration phases consist of the projects below from the Building Restoration and Renewal Prioritization Study	
Phase III 2007-2009	Phase IV 2009-2011
Savery Hall - Construction 54,910	Denny Hall - Construction 45,000
Clark Hall - Construction 15,554	Lewis Hall - Construction 11,600
Playhouse Theater - Construction 6,578	Balmer Hall - Construction 36,000
H-Wing - Construction 10,000	Miller Hall - Predesign/Design 4,000
Denny Hall - Predesign/Design 4,000	Anderson - Predesign/Design 2,500
Lewis Hall - Predesign/Design 2,000	TOTAL 99,100
Balmer Hall - Predesign/Design 4,000	
TOTAL 97,042	Phase V 2011-13
	Miller Hall - Construction 40,000
	Anderson Hall - Construction 16,000
	Hutchinson Hall - Predesign/Design 3,000
	Harris Hydraulics - Predesign/Design 2,000
	Eagleson Hall - Predesign/Design 1,000
	TOTAL 62,000

UW Building Account Projection	2007-2009
Sources:	
Metro Tract	16,000
Building Fee	21,000
Timber Revenue	1,000
	\$ 38,000
Uses:	
Existing Debt	7,000
	\$ (7,000)
Available for Appropriation	\$ 31,000

Washington State Public Baccalaureate Institution Prioritized Capital Project List

For the second biennium, Washington’s six public baccalaureate institutions have been required by the state to prepare a single prioritized list of capital project requests. The important information collected as part of this exercise is the level of total state needs for the four-year institutions, relative to the size of the statewide capital budget. If taken collectively, the ten-year plan needs of the baccalaureate institutions would exceed \$5 billion. The proportionality reflected in the 2007-09 prioritized list is summarized in the table below.

**4-Year Institution Prioritized Capital Project List
Funding Percentages at Various State Capital Budget Funding Levels**

	<u>\$300M</u>	<u>\$350M</u>	<u>\$400M</u>
UW	\$93M (31%)	\$127M (35%)	\$142M (34%)
WSU	\$103M (34%)	\$118M (33%)	\$147M (36%)
WWU	\$35M (12%)	\$35M (10%)	\$43M (10%)
CWU	\$33M (11%)	\$40M (11%)	\$43M (10%)
EWU	\$26M (9%)	\$26M (7%)	\$26M (6%)
TESC	\$10M (3%)	\$12M (3%)	\$12M (3%)

The University of Washington has complied with this required action and the prioritized list is shown in Table 2 (on the following two pages). However, the creation and use of this list does not address the fundamental problem of the insufficient level of state capital funding for the UW in particular and for the higher education sector in general.

Table 2
Public Baccalaureate Institution Prioritized Capital Project List
 (page 1)

INSTIT	PROJECT	STATE FUNDS REQ	TYPE	PHASE	COP RANK	RUNNING TOTAL
UW	MINOR WORKS PRESERVATION A	0				0
WSU	MINOR WORKS PRESERVATION A	18,900,000				18,900,000
CWU	MINOR WORKS PRESERVATION A	6,000,000				24,900,000
EWU	MINOR WORKS PRESERVATION A	12,000,000				36,900,000
WWU	MINOR WORKS PRESERVATION A	10,000,000				46,900,000
TESC	MINOR WORKS PRESERVATION A	4,100,000				51,000,000
UW	MINOR WORKS PROGRAM A	0				51,000,000
WSU	MINOR WORKS PROGRAM A	0				51,000,000
CWU	MINOR WORKS PROGRAM A	4,000,000				55,000,000
EWU	MINOR WORKS PROGRAM A	4,000,000				59,000,000
WWU	MINOR WORKS PROGRAM A	3,000,000				62,000,000
TESC	MINOR WORKS PROGRAM A	930,000				62,930,000
WSU	Life Sciences (R&EC #2)	58,000,000	Program	C	1	120,930,000
WWU	Miller Hall Renovation	5,523,000	Preserv	D	2	126,453,000
WWU	Carver Academic Renovation	400,000	Preserv	P	3	126,853,000
WSU	Utilities Extension	11,536,000	Preserv	C	4	138,389,000
WWU	(A I) Academic Facility Modernization Projects	16,000,000	Program	D C	5	154,389,000
UW	Savery Hall	54,910,000	Preserv	C	6	209,299,000
WSU	Library Rd. Infrastructure	15,000,000	Preserv	C	7	224,299,000
TESC	CAB Building	4,900,000	Preserv	C	8	229,199,000
CWU	Dean Hall	23,200,000	Preserv	C	9	252,399,000
EWU	Hargreaves Hall	10,821,000	Preserv	C	10	263,220,000
UW	Clark Hall	15,554,000	Preserv	C	11	278,774,000
UW	Playhouse Theater	6,578,000	Preserv	C	12	285,352,000
UW	MHSC H-Wing	10,000,000	Preserv	C	13	295,352,000
UW	Denny Hall	4,000,000	Preserv	P D	14	299,352,000
UW	Lewis Hall	2,000,000	Preserv	P D	15	301,352,000
UW	Balmer Hall	4,000,000	Preserv	P D	16	305,352,000
UW	Interdisciplinary Academic Building # 2	5,000,000	Program	P D	17	310,352,000
UW	Computing & Communications/Data Center	25,000,000	Program	C	18	335,352,000
TESC	Longhouse Expansion	1,700,000	Program	C	19	337,052,000
CWU	Combined Utilities	6,800,000	Preserv	D C	20	343,852,000
WSU	(A I) University-Wide Infrastructure	14,360,000	Preserv	C	21	358,212,000
WSU	(A I) Preservation Projects	4,740,000	Preserv	C	22	362,952,000
CWU	Hogue Renovation/Addition	3,000,000	Program	D	23	365,952,000
UW	(A I) Student Services and Classroom Improvements	15,000,000	Program	C	24	380,952,000
WWU	(A I) Safety & Risk Reduction Projects	8,000,000	Preserv	D C	25	388,952,000
WSU	Vancouver: Undergraduate Classroom Bldg	24,350,000	Preserv	C	26	413,302,000
EWU	Robert Reid Lab School Renovation	3,500,000	Preserv	C	27	416,802,000
EWU	Patterson Hall Renovation	2,000,000	Program	D	28	418,802,000
WWU	(A I) Systems Modernization Projects	6,400,000	Program	D C	29	425,202,000
WSU	Dana Renovation	3,700,000	Preserv	D	30	428,902,000
TESC	COMM Building	8,700,000	Program	D C	31	437,602,000
UW	Tacoma 3	150,000	Program	P	32	437,752,000
UW	Bothell 3	5,000,000	Program	P D	33	442,752,000
UW	(A I) Infrastructure Projects	15,000,000	Preserv	P D C	34	457,752,000
WSU	Biomedical Sciences (R&EC#4)	7,400,000	Program	D	35	465,152,000
WSU	Multi-discipline Facility Renovation	15,200,000	Preserv	C	36	480,352,000
EWU	Riverpoint (OFM Proviso)	0	Program	P D	37	480,352,000
CWU	(A I) Building Renovation/System Modernization/Consolidation	4,800,000	Program	D C	38	485,152,000
CWU	(A I) Academic Facility & Systems Modernization	7,600,000	Preserv	D C	39	492,752,000
EWU	Martin-Williamson Hall	2,000,000	Program	D	40	494,752,000
WWU	Wilson Library Renovation	350,000	Preserv	P	41	495,102,000
WWU	Art Annex Renovation	4,850,000	Preserv	D C	42	499,952,000
WWU	Campus Roadways Development	3,500,000	Program	D	43	503,452,000

Table 2
Public Baccalaureate Institution Prioritized Capital Project List
(Page 2)

WWU	Rec/PE Fields Phase II	4,900,000	Program	D C	44	508,352,000
WSU	Wastewater Reclamation	12,700,000	Preserv	C	45	521,052,000
WSU	Washington Building	5,600,000	Preserv	C	46	526,652,000
TESC	CRC	200,000	Program	P	47	526,852,000
WSU	Prosser: Multipurpose Bldg. Phase 2	1,500,000	Program	C	48	528,352,000
WSU	Riverpoint: S. Campus Facility, Phase 2	3,800,000	Preserv	C	49	532,152,000
WSU	(A) University-Wide Network Infrastructure	8,000,000	Preserv	C	50	540,152,000
WSU	Animal Diagnostic & Research Biocontainment	7,200,000	Program	D	51	547,352,000
EWU	Physical Education Facility Improvements	3,000,000	Program	C	52	550,352,000
WSU	Troy Renovation	1,800,000	Preserv	D	53	552,152,000
EWU	Recreation Facilities Improvements	3,500,000	Program	C	54	555,652,000
UW	Gould Hall Buildout	150,000	Program	P	55	555,802,000
UW	UW-Tacoma Assembly Hall	1,600,000	Program	C	56	557,402,000
WSU	Vancouver: Library 2nd Floor	3,700,000	Preserv	C	57	561,102,000
EWU	Washington Street Boulevard Improvements	5,000,000	Program	C	58	566,102,000
TOTAL REQUEST ALL PUBLIC BACCALAUREATE INSTITUTIONS		566,102,000				566,102,000
UW	Minor Works Preservation B	40,000,000				606,102,000
UW	Minor Works Program B	15,000,000				621,102,000
WSU	Minor Works Preservation B	15,000,000				636,102,000
WSU	Minor Works Program B	22,000,000				658,102,000
CWU	Minor Works Preservation B	9,500,000				667,602,000
CWU	Minor Works Program B	8,500,000				676,102,000
EWU	Minor Works Preservation B	5,000,000				681,102,000
EWU	Minor Works Program B	2,000,000				683,102,000
WWU	Minor Works Preservation B	8,000,000				691,102,000
WWU	Minor Works Program B	8,000,000				699,102,000
TESC	Minor Works Preservation B	9,600,000				708,702,000
TESC	Minor Works Program B	1,700,000				710,402,000

Goal 1: Attract a Diverse and Excellent Student Body and Provide a Rich Learning Experience

Five budget requests related to this goal are summarized below:

- a consolidated UW new enrollment request;
- an undergraduate experience request;
- a support services for diverse populations request;
- a graduate/professional student career advising/mentoring request; and
- a K-12 outreach activities request.

These five requests combined would add \$8,860,000 of State General Fund support in FY 2008, \$17,720,000 of State General Fund support in FY 2009 – for a total of \$26,580,000 for the 2007-09 biennium.

Consolidated UW New Enrollment Request

Proposed new student enrollments by campus and type are shown in table on the following page. The UW is requesting \$7,360,000 of State General Fund support in FY 2008 and \$14,720,000 of State General Fund support in FY 2009 for enrollment growth on all three campuses.

**2007-09 Biennium State Operating Budget Request
Requested New Enrollments**

<u>UW/Campus</u>	<u>FY 2007 Student FTE</u>	<u>New FTE in FY 2008</u>	<u>New FTE in FY 2009</u>	<u>FY 2009 Student FTE</u>
<u>UW/Seattle</u>				
Undergraduate		250	250	
Graduate		75	75	
Professional		25	25	
Total UW/Seattle:	33,367	350	350	34,067
<u>UW/Bothell</u>				
Undergraduate - lower division		125	125	
Undergraduate - upper division		95	95	
Graduate		30	30	
Total UW/Bothell:	1,540	250	250	2,040
<u>UW/Tacoma</u>				
Undergraduate - lower division		125	125	
Undergraduate - upper division		115	115	
Graduate		30	30	
Total UW/Tacoma:	1,869	270	270	2,409
Three campus total:	36,776	870	870	38,516

As can be seen in the table, the UW is requesting a total of 870 additional student FTE in each year of the 2007-09 biennium. To the extent possible, the undergraduate expansion at UW/Seattle will be in areas that have been discussed as “high demand” areas (i.e. math, science, engineering and health sciences). The requested new enrollments at UW/Bothell and UW/Tacoma are large additions compared to the current student base – approximately 15% growth per year at both campuses.

Although the UW’s 2007-09 new enrollment request is shown by campus for this discussion with the Board, in the materials actually submitted to the Office of Financial Management the administration intends to present a consolidated new enrollment request – in the hope of initiating a discussion

with the Office of Financial Management about giving the UW greater flexibility in determining how new enrollments allocated to the UW are assigned by campus.

2007-09 State General Fund Support Request

	<u>FY 2008</u>	<u>FY 2009</u>	<u>2007-09 Biennium</u>
UW Request	7,360,000	14,720,000	22,080,000

Undergraduate Experience Request

The UW is requesting \$750,000 in State General Fund support in FY 2008 and \$1,500,000 of State General Fund support in FY 2009 for initiatives to improve the undergraduate experience.

The request for state support for initiatives to improve the undergraduate experience at the UW will have a number of components that could include:

- support for a virtual/physical Student Resource Center;
- student advising reform and expansion of pool of advisors;
- further development/expansion of the honors program.

This request will focus on elements that either improve the ease with which students can conduct transactions that they need to do, provide access to alternative options for receiving advising, increase the availability of advising, or expand the availability of special programs available to undergraduates.

2007-09 State General Fund Support Request

	<u>FY 2008</u>	<u>FY 2009</u>	<u>2007-09 Biennium</u>
UW Request	750,000	1,500,000	2,250,000

Support Services for Diverse Populations Request

The UW is requesting \$250,000 in State General Fund support in FY 2008 and \$500,000 of State General Fund support in FY 2009 for initiatives to better support the diverse student population at the UW.

Overall the UW has been successful at recruiting a student population that includes: students from low income families; students from almost every state in the nation; international students; and students from various ethnic, racial

and religious groups. In order to increase the likelihood that the university both

retains students from these diverse groups and maximizes the likelihood that these students are academically successful, the university will seek state funding to expand the availability of support services for these diverse populations.

2007-09 State General Fund Support Request

	FY 2008	FY 2009	2007-09 Biennium
UW Request	250,000	500,000	750,000

Graduate/Professional Student Career Advising/Mentoring Request

The UW is requesting \$250,000 in State General Fund support in FY 2008 and \$500,000 of State General Fund support in FY 2009 for initiatives to provide better advising and mentoring services to graduate and professional students.

A number of the university’s professional schools have established programs that provide career advising services to their students. However, the Graduate and Professional Student Senate has consistently highlighted that the availability of services in this general area is not coming close to meeting the needs that many graduate and professional students have identified. While the university has made some investments in this area in recent years from local funds, much more could be accomplished with additional funding.

2007-09 State General Fund Support Request

	FY 2008	FY 2009	2007-09 Biennium
UW Request	250,000	500,000	750,000

Support for K-12 Outreach Activities Request

The UW is requesting \$250,000 in State General Fund support in FY 2008 and \$500,000 of State General Fund support in FY 2009 for initiatives to expand selected activities with the K-12 educational sector.

The university has many activities that either directly or indirectly support the K-12 education system including programs that: assist students in being

better prepared to apply for college; involve undergraduate students in tutoring and other activities that support K-12 students; and provide teacher training. For many years, the state has been interested in how the state's higher education institutions can assist the K-12 system even more than they do now in smoothing the transition of students from the K-12 system to the higher education system. This is currently a topic of the governor's "Washington Learns" initiative. The university will seek additional state funding to expand its efforts in this realm.

2007-09 State General Fund Support Request

	<u>FY 2008</u>	<u>FY 2009</u>	<u>2007-09 Biennium</u>
UW Request	250,000	500,000	750,000

Goal 2: Attract and Retain an Outstanding and Diverse Faculty and Staff

The success of the university is a combination of the quality of its faculty, staff and facilities. The university is proposing three operating budget requests that relate to the goal of attracting and retaining an outstanding and diverse faculty and staff:

a competitive compensation request;

a request for funding for salary awards for teaching excellence; and

a request to support the Leadership, Community and Values Initiative.

These three requests combined would add \$20,850,000 of State General Fund support in FY 2008, \$40,550,000 of State General Fund support in FY 2009 – for a total of \$61,400,000 for the 2007-09 biennium.

Competitive Compensation Request

The UW is requesting \$20,500,000 in State General Fund support in FY 2008 and \$40,100,000 of State General Fund support in FY 2009 for competitive compensation.

In order to attract and retain the best faculty and staff, the university must pay competitive salaries for all classes of employees. Currently salaries for all classes of employees at the UW lag behind relevant comparison groups. If the UW is to continue to be competitive, progress must be made at closing the salary gaps that currently exist. In the 2007-09 State Operating Budget Request the UW will ask for funding to support a 5% average salary increase in both FY 2008 and FY 2009 for all employee groups.

2007-09 State General Fund Support Request

	<u>FY 2008</u>	<u>FY 2009</u>	<u>2007-09 Biennium</u>
UW Request	20,500,000	40,100,000	60,600,000

Salary Awards for Teaching Excellence Request

The UW is requesting \$100,000 in State General Fund support in FY 2008 and \$200,000 of State General Fund support in FY 2009 so that permanent salary awards can be provided for distinguished teaching.

Faculty members who receive a distinguished teaching award currently receive a one-time monetary award. The university will seek state funding so

that during the 2007-09 biennium it can provide permanent salary increases to faculty members who receive distinguished teaching awards or other awards recognizing their academic or service excellence (such as being named to a national academy).

2007-09 State General Fund Support Request

	<u>FY 2008</u>	<u>FY 2009</u>	<u>2007-09 Biennium</u>
UW Request	100,000	200,000	300,000

Leadership/Community/Values Initiative Request

The UW is requesting \$250,000 in State General Fund support in FY 2008 and \$250,000 of State General Fund support in FY 2009 for the Leadership, Community and Values Initiative.

The Leadership, Community and Values Initiative focuses on several key issues for the university: effective leadership and decision-making, engagement, job satisfaction and provision of resources, and the climate for diversity. The university has supported this effort for several years with both permanent and temporary local funds. The university will seek state support for an expansion of efforts in this area.

2007-09 State General Fund Support Request

	<u>FY 2008</u>	<u>FY 2009</u>	<u>2007-09 Biennium</u>
UW Request	250,000	250,000	500,000

Goal 3: Expand the Reach of the UW Across the Globe

The university is proposing two operating budget requests that relate to the goal of expanding the UW’s reach across the globe:

a request for support to expand the international opportunities available to students; and

a request for additional support for global health teaching and research activities.

These two requests combined would add \$1,500,000 of State General Fund support in FY 2008, \$2,500,000 of State General Fund support in FY 2009 – for a total of \$4,000,000 for the 2007-09 biennium.

Expansion of International Programs/Opportunities Request

The UW is requesting \$500,000 in State General Fund support in FY 2008 and \$1,000,000 of State General Fund support in FY 2009 to expand the international opportunities available to students.

While UW students currently have many opportunities to participate in international programs, the potential to expand these opportunities is almost endless. International experiences provide valuable educational perspective to students. In addition, as companies become “multi-national” and the economy becomes more global, international experiences are important in helping graduates become more competitive candidates for employment. The university will seek state support for an expansion of efforts in this area.

2007-09 State General Fund Support Request

	<u>FY 2008</u>	<u>FY 2009</u>	<u>2007-09 Biennium</u>
UW Request	500,000	1,000,000	1,500,000

Global Health Research and Teaching Activities Request

The UW is requesting \$1,000,000 in State General Fund support in FY 2008 and \$1,500,000 of State General Fund support in FY 2009 for global health research and teaching activities.

The School of Medicine and the School of Public Health and Community Medicine have formed a new Global Health Department.

In the 2006 legislative session, state funding was provided to the university to initiate this effort. Many of the issues that will be addressed by the faculty in this department are critical to the state, the nation and the world. In addition to state support, the university is seeking donor/foundation support for critical initiatives that might be undertaken by this department. Furthermore, the university believes that additional state investment in this area will leverage a substantial amount of federal research support. The university will seek further support from the state for this important effort.

The request figures have been adjusted upward to reflect the current view of legislative and Office of Financial Management staff that the supplemental budget funding approved for this initiative for FY 2007 was intended to be temporary funding.

2007-09 State General Fund Support Request

	<u>FY 2008</u>	<u>FY 2009</u>	<u>2007-09 Biennium</u>
UW Request	1,000,000	1,500,000	2,500,000

Goal 4: Strengthen Interdisciplinary Research and Scholarship to Tackle “Grand Challenge” Problems that will Benefit Society and Stimulate Economic Development

The university is proposing three operating budget requests related to the goal of strengthening interdisciplinary research:

- a request for support of expansion of medical and dental student education in Spokane;
- a request for funds to support research efforts in cutting-edge fields, and
- a request for increased operations and maintenance funding for research space.

These three requests combined would add \$3,884,000 of State General Fund support in FY 2008 and \$9,362,000 of State General Fund support in FY 2009 – for a total of \$13,246,000 for the 2007-09 biennium.

UW/Medicine and UW/Dentistry – Spokane Expansion Request

The UW is requesting \$884,000 in State General Fund support in FY 2008 and \$3,362,000 of State General Fund support in FY 2009 for the expansion of UW/Medicine and UW/Dentistry programs in Spokane.

Both the UW School of Medicine and the UW School of Dentistry have been engaged in feasibility studies of expanding their programs in the Spokane area. For the School of Medicine, this would involve adding a WWAMI site in Spokane and expanding the number of medical students educated in the state. For the School of Dentistry, a new Regional Initiatives in Dental Education (RIDE) program would be initiated. Both of these proposed program expansions are described in more detail in Appendix 1.

2007-09 State General Fund Support Request

	<u>FY 2008</u>	<u>FY 2009</u>	<u>2007-09 Biennium</u>
UW Request	884,000	3,362,000	4,246,000

Note that there is a capital component of this request as well. Approximately \$6.5 million of additional capital would have to be allocated out of the “minor works” capital allocation to pay for remodels of School of Medicine and School of Dentistry teaching space in Seattle.

Interdisciplinary Research Support Request

The UW is requesting \$1,000,000 in State General Fund support in FY 2008 and \$2,000,000 of State General Fund support in FY 2009 for interdisciplinary research efforts.

In the past, the university has been successful at receiving state support for research efforts in cutting-edge fields. The university will once again seek state support for new interdisciplinary research initiatives. The concept is basically the same as that in past budget requests – the university would hire a small cluster of faculty members in an up and coming interdisciplinary research area. Among the interdisciplinary research areas that are possibilities for this funding are: a nanotechnology institute; the NEPTUNE Project; molecular engineering; regenerative sciences; computational intensive research efforts; or selected environmental stewardship projects.

2007-09 State General Fund Support Request

	<u>FY 2008</u>	<u>FY 2009</u>	<u>2007-09 Biennium</u>
UW Request	1,000,000	2,000,000	3,000,000

Request for Increased Support for Operations and Maintenance of Research Space

The UW is requesting \$2,000,000 in State General Fund support in FY 2008 and \$4,000,000 of State General Fund support in FY 2009 for operations and maintenance of research space.

For a number of years, the university has been in an ongoing discussion on the importance of state support for research activities of the UW. One element of such support is operations and maintenance funding for research buildings. In the 2006 legislative session, the university was successful in receiving additional state funds to support the operations and maintenance of research buildings. The university will again seek incremental state support for the operations and maintenance of research space.

2007-09 State General Fund Support Request

	<u>FY 2008</u>	<u>FY 2009</u>	<u>2007-09 Biennium</u>
UW Request	2,000,000	4,000,000	6,000,000

Goal 5: Insure the Highest Level of Integrity, Compliance and Stewardship

The university is proposing four operating budget requests that relate to the goal of insuring the highest level of integrity, compliance and stewardship:

a request for funding to support the university’s enterprise risk management efforts;

a request for funding to support technology advisory committee recommendations;

a request to increase building operations and maintenance funding for selected buildings toward a benchmark level; and

a request to restore building operations and maintenance funding temporarily paid for from the capital budget during the 2005-05 and 2005-07 biennia.

These four requests combined would add \$4,360,000 of State General Fund support in FY 2008 and \$5,860,000 of State General Fund support in FY 2009 – for a total of \$10,220,000 for the 2007-09 biennium.

Enterprise Risk Management Request

The UW is requesting \$500,000 in State General Fund support in FY 2008 and \$1,000,000 of State General Fund support in FY 2009 for the expansion of enterprise risk management activities.

A university committee been assessing enterprise risk management practices. A number of recommendations have come out of this effort. Some local funding is being allocated to this purpose as part of the UW’s FY 2007 budget. However, if the state provided additional support, it would be possible to expand efforts even further in critical areas like information technology security and internal audit staffing.

2007-09 State General Fund Support Request

	<u>FY 2008</u>	<u>FY 2009</u>	<u>2007-09 Biennium</u>
UW Request	500,000	1,000,000	1,500,000

Support for Implementation of Technology Advisory Committee Recommendations

The UW is requesting \$2,000,000 in State General Fund support in FY 2008 and \$3,000,000 of State General Fund support in FY 2009 for the implementation of recommendations of campus technology advisory committees.

The university has established technology advisory committees that regularly assess the status and needs in both the academic technology realm and in the administrative computing realm. The university will seek state support for implementation of some of the recommendations coming from these advisory committees. Some of this funding could be for the replacement/expansion of classroom technology and for expansion of programs that help faculty integrate new technology into their teaching. The funding could also be used for support of maintenance of existing administrative computing systems, enhancements to those systems, and to support initial planning for the eventual replacement of those systems.

2007-09 State General Fund Support Request

	<u>FY 2008</u>	<u>FY 2009</u>	<u>2007-09 Biennium</u>
UW Request	2,000,000	3,000,000	5,000,000

Request to Increase Building Operations and Maintenance Funding Toward Benchmark Level

As was presented in the “funding benchmarks” section above, the university has established a funding target for building operations and maintenance expenses. As part of the 2007-09 State Operating Budget request, the university will request state support to move building operations and maintenance funding for selected buildings to the benchmark that has been identified. The trigger point for making such an operations and maintenance funding request will be when a new facility is constructed or when a major renovation of an existing facility is completed. The university will request that new operations and maintenance funding be provided to bring the operations and maintenance funding for such buildings up to the funding goal of 80% of the recommended formula level.

2007-09 State General Fund Support Request

	<u>FY 2008</u>	<u>FY 2009</u>	<u>2007-09 Biennium</u>
UW Request	1,860,000	1,860,000	3,720,000

Request to Restore Building Operations and Maintenance Funding Temporarily Funded from the Capital Budget During the 2003-05 and 2005-07 Biennia

In the 2003-05 and 2005-07 state budgets, the legislature transferred a substantial amount of building operations and maintenance expenses for all higher education institutions from the operating budget to the capital budget. As the appropriations in the state capital budget are two-year appropriations, the state support for these critical building operations and maintenance expenses expires at the end of the biennium. The state must make the choice to either: continue to fund these expenses from the 2007-09 capital budget; restore these funds in the operating budgets of the higher education institutions; or do neither of these two options which would be significant budget cut for all of the higher education institutions.

2007-09 State General Fund Support Request

	<u>FY 2008</u>	<u>FY 2009</u>	<u>2007-09 Biennium</u>
UW Request	13,000,000	13,000,000	26,000,000

2007-09 Policy Requests

During the 2007 legislative session, the Board of Regents authorizes the administration to pursue a number of policy initiatives.

Undergraduate Resident Tuition Increase Authority

For a number of years, the university has discussed the importance of competitive funding with the governor and the legislature. As this discussion is continued during the 2007 legislative session, the UW's funding gap relative to comparison institutions is \$3,000 - \$5,000/student FTE. The university will again point out that if the UW is to continue to provide the quality of education students and their parents expect and deserve, the size of this funding gap needs to close. In order to make progress on closing the funding gap, both State General Fund investment and tuition revenue need to increase – and the greater the increase in State General Fund investment the less pressure there is to increase tuition.

If most of the requests for funding that are included in the university's 2007-09 State Operating Budget Request were funded, the pressure to raise undergraduate resident tuition in order to maintain a competitive funding level would be reduced. To the extent that the governor and legislature are unable to provide sufficient additional State funds to make progress on competitive funding, there will be greater pressure to raise undergraduate resident tuition. If this is the situation faced during the 2007 legislative session, the university will ask that the governor and legislature provide some additional local authority over the level of undergraduate resident tuition for a test period of six years. Such time-limited authority would give the governor, the legislature and the university time to work on achieving an agreed upon balance of State General Fund support, tuition support, access and agreed upon program goals.

Investigation of Alternative Capital Funding Mechanisms

During the 2006 legislative session, the university started a discussion with the legislature about the inability of the State's current capital funding mechanisms to adequately address the significant capital funding needs of its higher education institutions. The administration wants to continue this discussion during the 2007 legislative session. The university will make the case that if the State is unable to address the UW's capital funding needs because of the problem in the State's capital funding structure, alternative options for raising critically needed capital funds need to be considered. The option of simply letting the UW's existing facilities deteriorate and not constructing new facilities to meet new educational/student service needs is not a viable choice for the state.

There are alternative funding models that we can consider to help ensure that we can invest wisely and responsibly, and continue to serve the state as effective stewards of the University of Washington's capital assets. In order to do this, the administration will enter into discussions with the state about ways to bring new funds to the capital program, including:

- Providing new state general funds
- Creating a new dedicated tax or bond to support higher education capital needs
- Providing state dollars as a matching pool for private funds
- Providing more flexibility to the University of Washington to raise and use local funds (e.g., increase the Building Fee).

UW General Revenue Bonding Authority

In order to maximize the University of Washington's ability to utilize these funding options (e.g. private gifts, fees, etc.), the administration will seek legislative authority to issue bonds backed by UW general revenues (excluding state general funds). This general revenue bonding authority would give the UW much greater flexibility to address the institution's capital needs, and would reduce the cost of borrowing.

Need-Based State Financial Aid

A critical component of the need-based financial aid that supports students from low income families at the UW is the State Need Grant Program – which is part of the Higher Education Coordinating Board budget. In order for necessary financial aid to be available to needy UW students, the university will support two elements of increased funding for the State Need Grant Program for the 2007-09 biennium:

incremental funding for State Need Grant program to cover increased need resulting from anticipated tuition increases for FY 2008 and FY 2009 for students currently eligible for the program; and

expansion of State Need Grant program eligibility (graduated awards for students from families with family incomes above 65% State Median Income).

As a component of Creating UW's Future, the UW is formulating a new institutional commitment to financial aid to complement the State Need Grant program. The goal of this expanded financial aid commitment would be to insure the adequacy of access to the UW for children from low and middle income families even as tuition increases over the next six years.

Appendix 1

Description of UW/Medicine WWAMI Expansion and UW/Dentistry RIDE Expansion in Spokane

WWAMI Expansion. The idea of expanding publicly supported medical education in Spokane and across Eastern Washington has been discussed for many years among politicians, medical educators, local citizens, and others. Beginning in 2003, a group of Spokane community leaders representing business, higher education, health care, biomedical research, and government assembled to consider options for expanding publicly supported medical education in Spokane. A number of alternatives were evaluated. Under the aegis of the Spokane County Regional Chamber of Commerce, consensus was achieved to pursue the feasibility of expanding the University of Washington School of Medicine's (UWSOM) WWAMI program to include a first-year site based in Spokane at the Riverpoint campus in conjunction with Washington State University, Eastern Washington University, and potentially other Spokane-area colleges.

Three goals were identified:

1. To increase the number of physicians trained in the Spokane area, thereby increasing the supply of physicians in the state, with particular emphasis on Spokane and eastern Washington;
2. To respond to the need to train physicians for underserved rural areas in Washington;
3. To increase local support for biomedical research and, correspondingly, to increase economic development and establishment of new industry within the Inland Northwest.

The first two goals are consistent with the emerging national consensus that a shortage of physicians is predicted in the United States. This emerging shortage is based on the continued growth and diversity of the population within the United States, the aging of the population, the continued shortage of healthcare professionals, especially in rural areas, and other changes within the physician workforce.

The proposed expansion of WWAMI in Spokane builds on the outstanding national reputation of the University of Washington School of Medicine and the success of its regional medical education programs across the states of Washington, Alaska, Montana, Idaho and Wyoming over the past three decades.

The proposed expansion would increase the class size of the University of Washington School of Medicine (UWSOM) by 20 medical students per year. These students would begin their medical education in Spokane at the Riverpoint campus. They would be selected from an already highly qualified applicant pool of over 650 students from Washington who apply to the University of Washington School of Medicine on an annual basis. The first year of matriculants' medical education would be spent in Spokane, and the second year would be spent in Seattle, along with the approximately 180 students who currently constitute the UW School of Medicine class drawn from Washington (currently 120 students), Wyoming (currently 12 students, expanding to 16 students over the next three years), Alaska (currently 10 students), Montana (currently 20 students), and Idaho (currently 18 students). The students' third and fourth years would be spent at sites distributed across the five-state region. A particular emphasis would be placed on the expansion of community clinical sites within Spokane and rural sites in eastern Washington. This would include a continued emphasis on the expansion of the "Spokane track" that allows students to complete a majority of their third-year clinical graduation requirements within Spokane and/or eastern Washington.

RIDE Expansion. The Regional Initiatives in Dental Education (RIDE) will increase access to publicly-funded dental education for Washington students without major new capital expenditures. The proposed RIDE site in Spokane assumes the concurrent development of a new first-year site for medical students at the Riverpoint campus, as part of the WWAMI (Washington, Wyoming, Alaska, Montana and Idaho) program for regional medical education. The new Spokane Riverpoint Campus houses branch campuses of Washington State University (WSU) and Eastern Washington University (EWU), providing both biomedical science resources and a School of Dental Hygiene (EWU) with clinical facilities and dental faculty. The RIDE program will share resources developed for medical and other health professional students at the Riverpoint campus, creating cost-efficiencies while enhancing interdisciplinary training of medical, dental and other health professional students.

A first-year program at the Riverpoint campus with clinical rotations in Eastern Washington will facilitate wider distribution of the dental workforce to less served regions of the State. The choice of the Spokane location is ideal, given its centrality to the rural populations of eastern Washington, its existing educational infrastructure, and the support of its dental community for the RIDE program. The expansion of dental education in this region will also promote the recruitment of dental students from underserved and rural areas, as well as provide additional clinical services for underserved populations, both missions of the School of Dentistry. RIDE will take advantage of the latest in distance learning technologies to ensure equivalency of dental education without duplication of resources.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

In Joint Session With

B. Finance, Audit and Facilities Committee

Draft Elements of a UW Six-Year Pilot Resource Plan

I. Specify shared values/goals; specify how we know if we are being successful

(Specific initiative priorities and metrics for success for the six year period are being developed.)

Goal 1: attract a diverse and excellent student body and provide a rich learning experience

Goal 2: attract and retain an outstanding and diverse faculty and staff

Goal 3: expand the reach of the UW across the globe

Goal 4: strengthen interdisciplinary research and scholarship to tackle “grand challenge” problems that will benefit society and stimulate economic development

Goal 5: insure the highest level of integrity, compliance and stewardship

II. Specify what we need to invest: core funding targets (\$ per student FTE)

Total funding, from both state funds and tuition, reaches a competitive funding goal by 2013 and the state provides XX% of the funding required to reach this goal.

<u>Actual</u>	<u>Six-Year Plan</u>					
<u>FY 2007</u>	<u>FY 2008</u>	<u>FY 2009</u>	<u>FY 2010</u>	<u>FY 2011</u>	<u>FY 2012</u>	<u>FY 2013</u>
\$19,400	\$20,500	\$21,600	\$22,900	\$24,100	\$25,500	\$26,900

III. Specify how we will fund the investment: state general fund and tuition and fees

Achieve investment goals via a combination of State General Fund Contributions, and tuition and fees - potential alternative combinations:

a) Assuming State funding of all 2007-09 requests – expected resident undergraduate tuition would be:

	<u>Actual</u>	<u>Six-Year Plan</u>		
	<u>FY 2007</u>	<u>FY 2008</u>	<u>FY 2009</u>	<u>FY 2010 - FY 2013</u>
UWS	\$5,460	\$5,534	\$5,941	To be determined
UWB	\$5,460		To be determined	
UWT	\$5,460		To be determined	

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

In Joint Session With

B. Finance, Audit and Facilities Committee

Draft Elements of a UW Six-Year Pilot Resource Plan (continued p. 2)

Or b) Assuming State funding of inflation salary increases & enrollments – expected resident undergraduate tuition would be:

	<u>Actual</u>	<u>Six-Year Plan</u>		
	<u>FY 2007</u>	<u>FY 2008</u>	<u>FY 2009</u>	<u>FY 2010 - FY 2013</u>
UWS	\$5,460	\$6,000	\$6,600	To be determined
UWB	\$5,460		To be determined	
UWT	\$5,460		To be determined	

Or c) Assuming State funding remains at FY 2007 level of \$361 million – expected resident undergraduate tuition would be:

	<u>Actual</u>	<u>Six-Year Plan</u>		
	<u>FY 2007</u>	<u>FY 2008</u>	<u>FY 2009</u>	<u>FY 2010 - FY 2013</u>
UWS	\$5,460	\$6,675	\$7,817	To be determined
UWB	\$5,460		To be determined	
UWT	\$5,460		To be determined	

IV. Specify our financial aid and accessibility goals and commitments

Increase investment by both the State Need Grant and UW local funds to support need-based financial aid in order to address the increased needs from increased tuition for both the low and middle income

The outcome would be measured by maintaining or increasing the number of low and middle income resident undergraduates attending the University

V. Specify our investments in facilities and capital projects

State General Fund – return funding for operations and maintenance from the capital budget to the operating budget

State Capital Budget – increase funding (State or local) to 1) allow the UW to catch-up to a reasonable deferred renewal level over 10 years and 2) expand facilities as needed to support new enrollments and programs, particularly at UWB and UWT

To the extent that more local funding is used for capital projects, allow for some additional flexibility to raise local funds and issue debt to fund some of the capital plan.

VII. Services and Activities Fee—University of Washington, Seattle: Revised Guidelines

A. Academic and Student Affairs Committee

RECOMMENDED ACTION:

The S&A Fee Committee has developed a revised set of proposed operating Guidelines within the statutory framework laid out in RCW 28B.15.045. The recommendation for their adoption is rooted in the need to formalize a number of procedures, policies and norms that have been informally practiced by the Committee for several years, which have had the effect of improving the Committee's budgetary review and recommendation process. Codifying these practices into proposed Guidelines is the result of extensive collaboration between Committee members, units, and the Administration.

ATTACHMENTS

- I. Executive Summary of Proposed Guidelines
- II. Proposed Services & Activities Fee Guidelines



Services & Activities Fee Committee

Executive Summary of Proposed Guidelines

Presented July 20, 2006

History

During the 2004-05 Term of the Services & Activities Fee Committee members identified a pressing need to revise the existing S&A Fee Guidelines to use uniform language, provide greater clarity, and codify existing practices. After an initial attempt to modify the existing document failed, the Committee Chair determined an entirely new draft was required to meet the Committee's goal.

The next year's Committee took on the task during Autumn quarter of 2005, producing an entirely new document. The document then went through a public comment period, internal review by the Office of the Vice President for Student Affairs, additional revision by the Committee, and finally review and revision by representatives from, the President's Office, the S&A Fee Committee the Vice Provost for Student Life and the University Attorney General's Office.

Revision Goals

The revised Guidelines seek to accomplish five specific goals:

- Implement the underlying state statutes
- Outline a road map for S&A Fee Committees in carrying out their statutory obligations
- Codify existing S&A Fee Committee practices
- Provide flexibility in the budgeting process
- Retain appropriate Regental oversight and approval

Significant or Noteworthy Changes

The following are significant or noteworthy changes from the existing S&A Fee Guidelines. The revision includes many other language changes which seek to clarify, but do not substantially alter, the S&A Fee process.

1. Clarifies that the Board of Regents may exempt classes of students from the S&A Fee and recommends that the opinion of the S&A Fee Committee be sought prior to granting an exemption. Title I, §6.

2. States the scope of the S&A Fee Committee is to “review and make recommendations regarding the collection, allocation, budgeting and use of the S&A Fee.” Title I, §8.
3. Provides a mechanism for the S&A Fee Committee to recommend Committee Policies, not inconsistent with the Guidelines, which must be first approved by the University Administration and enforced by the same. Title II, §3.
4. Allows the Committee Chair to request the removal of committee members who fail to meet certain attendance requirements and provides a mechanism to reappoint vacant positions. Title III, §2(F).
5. Provides for an Interim S&A Fee Committee should time sensitive issues arise during the Summer Quarter when the Committee is not in session. Title III, §5.
6. Clarifies public notice requirements under the OPMA and S&A Fee Committee membership notice requirements. Title IV, §§1(A)-(C).
7. Reinforces the statutory requirement that a recommendation from the S&A Fee Committee and the Administration is required before any S&A Fee funding decisions may be made by the Board of Regents. An exception for situations involving emergencies and defaulting on bonds is provided. Title IV, §11(D).
8. Provides a mechanism for the S&A Fee Committee to request existing non-confidential information and requires that the Administration comply with the request within three weeks unless cause for delay is shown. Title V, §2.
9. Codifies the implied authority to participate in budgetary oversight of any unit receiving S&A Fee Funding. Title V, §3.
10. Authorizes the S&A Fee Committee to request the Regents to set aside reserve funds for units which would require approval from both the S&A Fee Committee and the University Administration before an allocation is made from the reserve. Title V, §5.

Alternative Language Regarding Future Changes to these Guidelines

During discussions regarding the proposed Guidelines, the S&A Fee Committee and the Administration discussed alternative language regarding the Regent’s ability to make future changes to these Guidelines. Reproduced here are the two current proposals.

Committee's Proposed Language	Administration's Alternative Proposed Language
<p>The Board of Regents may amend or adopt new guidelines as necessary provided representatives from the Student Government and the current Committee are given sufficient notice and an opportunity to comment.</p>	<p>The Board of Regents may amend or adopt new Guidelines as it deems necessary. It is recommended that representatives from the Student Government and the then current Committee be given sufficient notice and an opportunity to comment on such proposed changes.</p>

Under the Committee's proposed language the Regents would be required to give the Student Governments and the Committee "sufficient notice" and an "opportunity to comment." Under the Administration's alternative, language providing notice and comment is recommended but not required. The Committee and the Administration agreed that, the two proposals should be given to the Regents to select from or to propose alternative language.



Services & Activities Fee Committee Draft Committee Guidelines

Revised July 20, 2006

Title I: The Services & Activities Fee

1. The Services & Activities Fee (the “S&A” Fee) is authorized by state statute for the express purpose of funding student activities and programs. This may include, but is not limited to, the operation of student related services and the acquisition, construction, equipping, and betterment of lands, buildings and facilities.
2. Student services and activities fees are defined in state law as “fees, other than tuition fees, charged to all students registering at the...state universities.” (RCW 28B.15.041.) For the purposes of these Guidelines, the S&A Fee is defined as the compilation of such fees charged to all students registering at the University of Washington Seattle Campus.
3. The S&A Fee and revenues generated by units funded by the S&A Fee are deposited and expended through the University's budget and financial accounting systems, the responsibility for which resides with the University's chief fiscal officer. The S&A Fee and associated revenues are subject to University policies, regulations and procedures, and to the Budget and Accounting Act of the State of Washington. (RCW 43.88)
4. The allowable level of the S&A Fee is authorized by the State Legislature.
5. The Board of Regents may exercise its authority to increase the S&A Fee to the authorized level and allocates funds derived provided the Board of Regents has first sought the recommendation of the S&A Fee Committee or meets an exception as defined within these Guidelines.
6. The Board of Regents may exempt classes of students from the S&A Fee and may levy the fees at a rate proportionate to the credit load of any part time student. It is recommended the Board of Regents seek the opinion of the S&A Fee Committee prior to approving an exemption.
7. Any University unit or Registered Student Organization may request funding from the S&A Fee.
8. The S&A Fee Committee (the Committee) is appointed pursuant to RCW 28B.15.044 and 28B.15.045 to review and make recommendations regarding the collection, allocation, budgeting and use of the S&A Fee.
9. All non-confidential information pertaining to the S&A Fee's budget shall be available to interested parties.

Title II: Source of Committee Authority

1. State Statute

- A. All decisions made regarding the S&A Fee will be made in accordance with relevant state statutes. Should it be determined that any guidelines,

policies, or decisions contradicts current state law every effort will be made to construct the language to avoid the contradiction. If it is not possible to avoid a contradiction the state statute has precedence.

- B. The Committee may exercise authority granted directly by state law or delegated by either the Board of Regents or University Administration through these Guidelines and policies.
- C. The Committee may develop recommended changes to the state law, as needed, related to the S&A Fee. Such recommendations will be communicated to the appropriate University and Student Government representatives.

2. Committee Guidelines

These Guidelines delineate the process and procedure to be used for developing recommendations regarding the collection, allocation, budgeting, and use of the S&A Fee at the University of Washington Seattle Campus.

- A. [*SAF Committee Proposal*] The Board of Regents may amend or adopt new Guidelines as necessary provided representatives from the Student Government and the then current Committee are given sufficient notice and an opportunity to comment on such proposed changes.

[*Alternative proposal*] The Board of Regents may amend or adopt new Guidelines as it deems necessary. It is recommended that representatives from the Student Government and the then current Committee be given sufficient notice and an opportunity to comment on such proposed changes.

- B. The Committee may recommend changes to these Guidelines. Such recommendations shall be subject to review by the University Administration prior to being submitted for consideration by the Regents. The University Administration may suggest changes to the Committee recommendation which the Committee shall be given the opportunity to review before they are submitted for approval from the Regents.

3. Committee Policies

- A. The Committee may recommend policies (“Committee Policies”) not inconsistent with state law and these Committee Guidelines.
- B. Committee Policies may relate to any topic, issue, or authority delegated to Committee through these Guidelines.
- C. Procedure for Adopting and Changing Committee Policies
 - i. The Committee may issue draft proposals to adopt new Committee Policies, amend current policies, or remove old policies up until the end of Winter Quarter of its Term (see Title III, §1).
 - ii. Draft proposals must include the specific language to be added, changed, or deleted along with a statement explaining the intent of the change and the Committee's rationale for adoption.
 - iii. The draft proposals must be made public along with directions on how to provide comments to the Committee. The comment period will last a minimum of three academic weeks but may be longer if:
 - a. the Committee chooses to extend the period; or
 - b. the President of the Graduate & Professional Student Senate or the President of the Associated Students of the University of Washington requests additional time during the first two weeks of

the comment period for the purposes of securing an official opinion from their respective student government. Such an extension will last no longer than four academic weeks from the date requested or until an official opinion is issued, whichever is sooner.

- iv. At the close of the comment period the Committee will consider all relevant comments and make changes to the proposal as necessary.
- v. The Committee may recommend the final proposal to the University Administration for adoption. If the University Administration adopts the proposal it will become a fully enforceable Committee Policy. If the University Administration does not adopt the proposal within two academic weeks of the Committee's recommendation, the Committee may submit the matter for resolution under the Dispute Resolution Procedure contained within these Guidelines. The University Administration may request additional time when proposed policies are complex or require additional consultation.
- vi. Any proposal not enacted into policy by the end of the current Committee's Term expires. The next Committee may choose to reconsider any proposal which has expired.

4. Sturgis Standard Code of Parliamentary Procedure

- A. In all matters not covered within these Guidelines or Committee Policies, the Committee will adhere to the most current edition of *Sturgis Standard Code of Parliamentary Procedure* (Sturgis).
- B. At the request of any Committee Member, and with the permission of the Committee Chairperson, the Committee may enter into informal discussion during which Sturgis will not apply. During this period minutes will still be taken and no final decisions may be made. The Committee will leave informal discussion at the request of any Committee Member and with permission of the Committee Chairperson.

Title III: Committee Structure

1. Committee Term

- A. The Committee's Term begins once at least 2/3rds of all Student Government Representatives and at least one University Administration Representative have been appointed.
- B. The Committee's Term expires at the end of Spring Quarter of each year or the Regental approval of a final budget, whichever is later, or earlier if the Committee votes to dissolve.

2. Committee Membership

- A. The Committee is appointed by the University President (or his or her delegee), and shall consist of seven voting members and five non-voting members. Committee members are appointed for a single term, but may serve multiple terms if eligible, subject to being re-nominated and re-appointed as provided herein.
- B. All groups listed below will nominate the number of appointees as specified to the University Administration no later than the fifth week of Autumn Quarter. Returning committee members must be re-nominated

and re-appointed each year.

- i. Four nominees from the Associated Students of the University of Washington
 - a. No more than one nominee may be a ASUW Director
 - b. It is recommend that at least one nominee be eligible to serve two full terms.
 - ii. Three nominees from the Graduate & Professional Student Senate
 - a. No more than one nominee may be a GPSS Officer
 - b. It is recommend that at least one nominee be eligible to serve two full terms.
 - iii. Three nominees from the University Administration. It is recommended, although not required, that:
 - a. one appointee be from the Office of the Vice President for Minority Affairs;
 - b. one appointee be from the Office of the Vice Provost for Student Life; and
 - c. one appointee be from the Office of the Associate Vice President for Medical Affairs.
 - iv. Two nominees from the Faculty Senate. It is recommended, although not required, that one appointee be from the Faculty Council for Student Affairs.
- C. All groups making nominations should make every effort to nominate appointees who reflect the diversity of their constituency.
- D. The University Administration will make every effort to appoint nominated members in a timely fashion. If any nominee is rejected, the University Administration will, to the extent possible, provide written explanation to the group responsible for making the nomination and the Interim Committee Chairperson.
- E. For the purposes of these Guidelines, any nominated member properly appointed by the University Administration will be referred to as a “Representative” of the group that nominated that member, i.e., Student Government Representative, Faculty Representative, or Administration Representative.
- F. Membership Privileges & Responsibilities
- i. Only Student Government Representatives may vote on matters before the Committee. Student Government Representatives may not send a proxy. Administration Representatives may proxy for the purposes of quorum.
 - ii. The Committee Chairperson may request that the appointing authority remove from the Committee a voting member who fails to attend at least 80% of all regular meetings and that such member be replaced by a new representative from that member's nominating group.
 - iii. The Committee Chairperson may request that the appointing authority remove from the Committee a non-voting member who fails to attend at least 60% of all regular meetings and that such member be replaced by a new representative from that member's nominating group.
 - iv. All members carry the responsibility to engage in Committee business and keep informed of topics relevant to the S&A Fee. Members

should make themselves available for public questioning and comment, either through the campus press or the Student Governments.

- G. Committee vacancies will be filled by persons nominated by the original nominating group. If a vacancy makes it impossible for a quorum to assemble, the nominating group has two weeks to forward such nomination. After such time, the University President or his or her delegatee may appoint a person nominated by the Committee Chairperson to fill the longest standing vacancy.

3. Committee Chairperson

- A. At the second regular meeting the Interim Committee Chairperson will ask for nominations for Chairperson. All nominees will be given the opportunity to speak to the Committee. The nominee receiving a majority vote will become the new Committee Chairperson effective immediately. At any Committee Member's request the vote will be delayed a period of one week for further deliberation.
- B. The Committee Chairperson must be a Student Government Representative.
- C. The Committee Chairperson will preside over all Committee meetings. The Chairperson may appoint an acting Chairperson in his or her absence.
- D. If the Committee Chairperson is either an Officer of the Graduate Professional Student Senate or a Director of the Associated Student of the University of Washington, they must appoint an acting Committee Chairperson from the other Student Government when considering funding requests from their Student Government. This clause does not apply during the Final Budget Deliberation.
- E. The Committee Chairperson will keep informed of all issues related to the S&A Fee and advise the Committee on issues as they arise. The University Administration and all units will honor requests for information from the Committee Chairperson as if they originated from the Committee.
- F. A new election for Committee Chairperson may be called by three voting members of the Committee. Notice of a new election must be served to all Committee Members. The vote must take place at the next Regular Committee Meeting following the request for a new election.

4. University Administration Designee

- A. The University President may appoint a University Administration Designee to fulfill functions as assigned in these Guidelines and Committee Policies. The Designee need not be one of the Committee's University Administration Representatives.
- B. The Designee will act as the principal intermediary between the Committee and the Board of Regents
- C. The Designee appointed should have significant experience with issues related to the S&A Fee, student activities, and the campus community.
- D. The Designee will make him/herself available to the Committee to answer questions or respond to concerns as necessary.

5. Interim Committee

- A. During the period falling outside of the Committee's Term, an Interim

Committee will exist for time sensitive issues. The Interim Committee will be comprised of the Interim Committee Chairperson, the President of the Associated Student of Washington (or designee), the President of the Graduate & Professional Student Senate (or designee), and one representative from the University of Administration (who may not vote).

- B. The Interim Committee may only hold Special Meetings called by any two members of the Interim Committee and may do so no later than the sixth week of Autumn Quarter. The Interim Committee may never meet during the Committee's Term.
- C. The Interim Committee may exercise any authority, answer any question, or give any directive consistent with the Committee's authority. The Interim Committee may not make recommendations to alter the Guidelines or initiate a change in Committee Policy.

6. Interim Committee Chairperson

- A. At the final Committee Meeting before the end of the Committee's Term, the Chairperson will ask for nominations for Interim Committee Chairperson. Nominees must be a Student Government Representative but need not be eligible to serve the following Committee's Term. All nominees will be given the opportunity to speak to the Committee. The nominee receiving a majority vote will become the Interim Committee Chairperson.
- B. If there are no nominees, the Committee Chairperson and the University Administration Designee will select a student from the student body to serve as the Interim Committee Chairperson.
- C. The Interim Committee Chairperson's primary responsibility is to organize the first Committee meeting of the following Term. This may involve meeting with the appropriate representatives of the recommending groups with the goal of completing all appointments by the fifth week of Autumn Quarter.
- D. The Interim Committee Chairperson's secondary responsibility is to ensure the decisions of the previous Committee are faithfully executed and that ongoing discussions from previous Committees are properly communicated to the next Committee.
- E. The Interim Committee Chairperson, in consultation with the University Administration, may exercise any authority, answer any question, or give any directive consistent with the Committee's authority provided it has no direct budgetary impact. The Interim Committee Chairperson may not initiate a change in Committee Policy or make recommendations to alter the Guidelines.

7. Committee Staff

- A. The Committee may recommend the funding for the employment of Committee staff using funds generated by the S&A Fee.
- B. Each staff position must have a written job description detailing qualifications and expectations of the position.
- C. The Committee Chairperson will act as a liaison between the Committee and the Committee Staff.
- D. University employees filling Committee Staff positions may also work for other University entities with costs of employment shared proportionate to

the hours rendered between the Committee and such other entity.

Title IV: Committee Procedure

1. Committee Meetings

A. Regular Meetings

- i. The Committee Chairperson will establish a Regular Meetings schedule of the Committee at the beginning of each quarter.
- ii. The agenda for Regular Meetings will be distributed to Committee Members at least 24 hours prior to the meeting.
- iii. Any agenda including a vote to make a final recommendation must be distributed to Committee members at least 72 hours prior to the meeting.

B. Special Meetings

- i. A Special Meeting can be called by three or more of the Student Government Representatives.
- ii. A Special Meeting can be called by the University Administration Designee.
- iii. The agenda for a Special Meeting must accompany the call for a Special Meeting and must be issued to all Committee Members at least 72 hours prior to the meeting.

C. Open Public Meetings Act Compliance

- i. In addition to the notice requirements in Section 1(A)(iii) and Section 1(B)(iii), the Committee shall comply with the Open Public Meeting Act.

D. A quorum of the Committee will consist of at least four voting members, with at least one representative from each Student Government, and one University Administration Representative.

- i. Absent a quorum the Committee may make no final decision.
- ii. Absent a quorum the Committee may proceed with Unit Orientations and Budget Hearings, provided minutes are kept and the lack of quorum is clearly indicated.

E. All meetings must be open and publicly announced.

F. Minutes will be taken at all meetings and following their approval by the Committee will be published for public review.

2. Committee Training

- A. All members of the Committee will be trained in the operations of the Committee, briefed on current discussions relating to the S&A Fee, and other materials deemed necessary by the Committee Chairperson or the University Administration.

3. Committee Work Schedule

- A. Following Committee Training the Committee will prepare the work schedule for the Term of the Committee. The work schedule will include the period during which Unit Orientations will be given, the date on which budget requests are due, the period during which Budget Hearings will be held, and the date for the Final Budget Deliberation.

B. The Committee will also approve at this time:

- i. all budget request forms to be completed by units seeking funding; and

ii. general criteria by which budget requests will be evaluated.

4. Unit Orientations

- A. All units seeking funding from the S&A Fee must give an orientation prior to the submission of their budget request.
- B. Orientations will include a review of all services provided, including those not directly funded by the S&A Fee, current status of any capital assets, and a budget breakdown from the previous year.
- C. Units are requested, but not required, to submit materials prior to their orientation for the committee to review.
- D. The Committee may request, and units will provide, additional information as needed.
- E. All materials related to an orientation will be published for public review.

5. Budget Requests

- A. The Committee may establish through Committee Policies different funds (i.e. operating, capital, savings) supplied by the S&A Fee. Each fund may require a different budget request form providing information deemed relevant by the Committee.
- B. Budget Requests must be submitted in the form designated by the Committee. Deviation from the designated form may result in the rejection of the request.
- C. Once the deadline for Budget Requests has passed all submitted requests will be distributed to Committee Members.
- D. Units that fail to submit their requests by the deadline may submit late only with the permission of the Committee upon showing of good cause.
- E. Units that wish to amend their request after the deadline may do so with the permission of the Committee.
- F. All budget requests will be published for public review.

6. Budget Hearings

- A. The Committee may call for any unit submitting a budget request to answer questions regarding the unit's services, including those related but not directly funded by the S&A Fee, current status of any capital assets, previous budget breakdowns, and the current budget request.
- B. Should the Committee determine a single hearing is insufficient to discuss all issues presented in a unit's request additional hearings may be scheduled.
- C. The Committee may not recommend any extraordinary changes without hold a hearing on the impacted unit.
- D. Any Committee member may send written questions to a unit seeking funding which will be answered as fully as possible. Written questions and answers must be distributed to other Committee members.

7. Final Budget Deliberations

- A. Following the completion of all Budget Hearings and any additional meetings the Committee may require, the Committee will hold Final Budget Deliberations.
- B. The Committee Chairperson should take special care to invite all units requesting funding, officials from the Student Governments, campus press, and the public at large.
- C. The University Administration Designee will attend and participate in the

Final Budget Deliberation.

- D. During the Final Budget Deliberation the Committee must approve:
 - i. The amount to be recommended for allocation to each unit requesting funding for the following academic year; and
 - ii. The level of the fee to be recommended for the following academic year.
- E. The Committee may also decide any additional issues as deemed appropriate.
- F. During these deliberations the Committee Chairperson will recognize those in attendance to make comments on pending decisions. The Committee Chairperson is authorized to close the comment period on the particular question after everyone present has had the opportunity to speak at least once.

8. Chairperson's Letter

- A. Following the Final Budget Deliberations the Committee Chairperson will draft a letter to the University Administration detailing the decisions of the Committee and the associated rationales for each decision.
- B. The Chairperson will ensure minority viewpoints expressed during the Final Budget Deliberation are included in the letter.
- C. The letter will be distributed to the Committee for review and comment prior to being sent to the University Administration.

9. Administrative Review

- A. Upon receipt of the Chairperson's Letter, the University Administration will review the recommendations of the Committee. The University Administration may review any materials provided to the Committee in its review, but should refrain from considering materials not available to the Committee.
- B. If the University Administration disagrees with any of the Committee recommendations it will notify the Committee in writing. The Chairperson will call a Committee meeting to discuss the disagreement with the University Administration. The Committee may decide to change the recommendation to conform with the concerns of the University Administration. However, should the Committee reject those concerns the matter will be referred to the Dispute Resolution process.
- C. If the University Administration agrees with all of the Committee recommendations it will draft a letter to the Board of Regents stating that opinion. The Committee Chairperson's Letter will be attached to the letter to the Board of Regents.

10. Dispute Resolution

- A. The following process is to be used if any issue is referred to the Dispute Resolution process through these Guidelines or Committee Policy, or if any Committee member claims that the Guidelines have not been followed, that the Committee participation has been unduly curtailed, or that funds have been used inappropriately.
- B. Parties involved in an issue referred to the Dispute Resolution process shall meet in good faith in an effort to achieve a decision which is best for all involved.
- C. If, after engaging in a good faith effort to achieve a resolution, any of the

parties involved determines that the issue cannot be resolved through further good faith discussions, the issue shall be considered a “Dispute,” and within fourteen days thereafter, a Dispute Resolution Committee will be convened by the S&A Fee Committee Chairperson comprised of:

- i. Three S&A Fee Committee members chosen by the S&A Fee Committee Chairperson from among the Student Government Representatives;
 - ii. Three representatives chosen by the Board of Regents;
 - iii. One student selected by the S&A Fee Committee who will chair the Dispute Resolution Committee and only vote in the case of a tie; and
 - iv. Two representatives from the University Administration who will serve in an advisory capacity and may not vote.
- D. The President of the Associated Student of the University of Washington and the President of the Graduate & Professional Student Senate may attend meetings of the Dispute Resolution Committee, but may not vote.
- E. The Dispute Resolution Committee will meet in good faith, and settle by vote any and all Disputes.
- F. All decisions of the Dispute Resolution Committee will be presented to the Board of Regents for their consideration along with appropriate materials.
- G. The decision of the Board of Regents regarding the resolution of all Disputes is final.

11.Regential Approval

- A. After receiving both letters, as specified in Section 9, the Board of Regents will consider the recommendations of the Committee and the University Administration.
- B. All recommendations emerging from the Dispute Resolution Process will be considered by the Board of Regents.
- C. The recommendations of the Committee, University Administration, and the Resolution Process will be presented by the Committee Chairperson and the University Administration Designee.
- D. The Committee’s recommendations and those of the University Administration shall be required before a final decision unless the Board of Regents determine such a decision is necessary to avoid defaulting on debt to be repaid by the S&A Fee or an emergency. The Board of Regents must give the Committee adequate notice in all other cases to render an opinion on any issue related to the collection or distribution of funds from the S&A Fee. (RCW 28B.15.045)
- E. Members of the Board of Regents shall adhere to the principle that Committee recommendations be given priority consideration on funding items that do not fall into the categories of preexisting contractual obligations, bond covenant agreements, or stability for programs affecting students.

12.Notification of Budget Request Determination

- A. The Committee Chairperson shall provide notice to all units submitting an application for funding stating the outcome of their request following a final decision by the Board of Regents.
- B. This notice must state specific amounts to be allocated, any specific

instructions approved by the Regents, and any recommendations issued by the Committee.

C. Notice must be in writing and copies must be retained by Committee Staff.

13. Letter to the Next Committee

- A. After the Board of Regents issues its final decision regarding the Committee's recommendations the Committee Chairperson, with advice from the Interim Committee Chairperson, will draft a letter to be distributed to next Committee's membership.
- B. The letter should:
 - i. detail the recommendations and outcomes from the Board of Regents meeting;
 - ii. outline discussions and thinking from the Committee's deliberative process;
 - iii. discuss input and instructions given to units to provide evidence to the next Committee of what is reasonably expected from units receiving funding;
 - iv. suggest topics for Committee consideration; and
 - v. describe the outgoing Committee's long-term planning and how this year's decisions fit into that plan.

Title V: Committee Powers and Limitations

1. Annual Reporting

- A. During Committee Training the University Administration will present to the Committee a summary of projected income and costs of all funded units for the current academic year.
- B. Prior to the Final Budget Deliberation the University Administration will present to the Committee projected income from the S&A Fee at its current level and other reasonable alternatives for the next academic year.
- C. During the Budget Hearings the University Administration will present a report on the current state of all debt to which S&A Fee funds are obligated.

2. Requests for Information

- A. The Committee may make reasonable requests for access to any existing non-confidential information, report, legal opinion, analysis, or policy decision reasonably related to the S&A Fee. Such requests will be filled by the University Administration within three academic weeks, unless the Administration shows reasonable cause for an extension.
- B. The Committee will be apprised of the cost to comply with a request for information that requires expenditure of university resources beyond those already budgeted. The Committee may allocate funds from its budget to cover such costs or choose to forgo the requested information.

3. Oversight

- A. The Committee may participate in the budgetary oversight of any unit receiving funding to ensure allocations are spent in accordance with budget requests and Committee instructions.
- B. The Committee may give input on the operation of any unit receiving funding at either the Unit Orientation, Budget Hearing, or Final Budget

Deliberation. Units are encouraged to incorporate such input whenever possible.

- C. The Committee may recommend an instruction be issued with an allocation specifying restrictions on how the funds may be spent. Such instructions may not be used by the Committee to engage in micromanaging; however, should the Committee determine a unit is consistently disregarding input, specific instructions may be recommended. All such instructions must be incorporated into the Chairperson's Letter and shall be subject to Administration Review and Regent Approval.

4. Recommendations

A. The Committee may issue recommendations to the Board of Regents on any of the following issues:

- i. Level to be assessed by the S&A Fee. Such levels must consistent with the restrictions imposed by RCW 28B.15.041.
- ii. Annual allocations for the next academic year.
- iii. Alterations to allocations for the current academic year.
- iv. Issuing bonds to which S&A Fee funds will be obligated.
- v. Classes of students to be exempted from the S&A Fee.
- vi. The creation of new specialized S&A Fees.
- vii. Capital expenditures and savings plans.
- viii. The management of the Fund Balance.
- ix. Revisions to related State Statutes and Committee Guidelines.
- x. University investigations of units that the Committee believes have improperly spent allocated funds or disregarded Committee instructions.

B. The Committee may not issue recommendations to the Board of Regents which:

- i. Reduce the annual funding allocation to the Student Governments unless 2/3rds of the all voting members agree.
- ii. Threaten to default on bonds to which S&A Fee funds are obligated.
- iii. Involve issues not reasonably related to the S&A Fee.

5. Mid-year Allocations

A. The Committee may recommend, with the approval of the University Administration and subject to approval by the Board of Regents, changes to a unit's allocation at the unit's request. The Committee may not recommend decreasing the level of allocation.

B. The Committee may authorize, with the approval of the University Administration, the spending of funds reserved in the Fund Balance for specific purpose approved during previous Committee Terms. All such previous designations must be approved by the Board of Regents.

6. Committee Funding

A. The Committee Chairperson, with the assistance of Committee Staff, may request funds from the S&A Fee for the purposes of operating the Committee.

B. Committee Staff may spend from the Committee's allocation with the approval of the Committee Chairperson for regularly budgeted items. The Committee Chairperson will report all such authorizations to the

Committee.
C. Non-budgeted items must be approved by the Committee.

University of Washington

GUIDELINES for the SERVICES AND ACTIVITIES FEE COMMITTEE

These guidelines describe the operation of the Services and Activities Fee Committee (SAFC) and are to be adhered to in the operation of the SAFC.

I. SERVICES AND ACTIVITIES FEES

Services and Activities Fees is defined in RCW 28B.15.041 to mean "fees, other than tuition fees, charged all students registering at the . . . state universities." "Services and Activities Fees shall be used . . . for the express purpose of funding student activities and programs." RCW 28B.10.300 authorizes the expenditure of Services and Activities Fees for the acquisition, construction, equipping, and betterment of lands, buildings and facilities.

The level of services and activities fees is authorized by the state legislature. The Board of Regents may exercise its authority to increase the fees to that level and formally allocates the total fees for both full-time and part-time students during the academic year.

Services and activities fees and revenues generated by programs and activities funded by such fees are deposited and expended through the University's budget and financial accounting systems, the responsibility for which resides with the University's chief fiscal officer. Such fees and revenues are subject to University policies, regulations and procedures as documented in the Handbook, Operations Manual and elsewhere, and to the Budget and Accounting Act of the State of Washington, Chapter 43.88 RCW.

All information pertaining to services and activities fee budgets shall be made available to interested parties.

With the exception of any funds needed for bond covenant obligations, once the budget for expending services and activities fees is approved by the Board of Regents, funds shall not be shifted from funds budgeted for associated students or departmentally-related categories or the reserve fund until the administration provided written justification to the Committee and the Board of Regents, or the Board of Regents gives its express approval.

Members of the Board of Regents shall adhere to the principle that Committee desires be given priority consideration on funding items that do not fall into the

categories of preexisting contractual obligations, bond covenant agreements, or stability for programs affecting students.

Services and activities fees expenditures for programs devoted to political or economic philosophies will result in the presentation of a spectrum of ideas.

II. COMMITTEE ON SERVICES AND ACTIVITIES FEES

The Committee on Services and Activities Fees (hereafter called Committee) is a committee appointed pursuant to RCW 28B.15.044 and 28B.15.045 to review all requests for support from the services and activities fees.

A. NUMBER OF MEMBER-REPRESENTATION AND CHAIR PERSON

The Committee is appointed by the President of the University and shall consist of seven voting members and five ex-officio members entitled to cast advisory ballots. The voting members shall be students, four recommended by the ASUW (Associated Students of the University of Washington) and three recommended by the GPSS (Graduate and Professional Student Senate). In making their recommendations for Committee appointments, the ASUW and GPSS should strive for a committee that represents diverse student interests. Up to three of the ex-officio members shall be from the administration, including one from the Office of the Vice President for Student Affairs, and one from the Office of the Vice President for Minority Affairs. Up to two of the ex-officio members shall be from the faculty, nominated by the chairperson of the Faculty Senate. It is recommended that one of the faculty representatives be from the Faculty Council for Student Affairs.

The voting members of the Committee shall annually select the chairperson of the Committee. An interim chairperson shall be selected at the last meeting of the academic year. The permanent chairperson shall be selected by the newly appointed committee no later than the first meeting of winter quarter. In the event that no current Committee members are to serve on the Committee the following year, the chairperson shall be selected as soon as practical after new members are appointed to the Committee.

B. TERMS OF MEMBERSHIP

Voting and non-voting members will be selected for one-year terms. Efforts should be made by the ASUW, the GPSS, the Vice President for Student Affairs, and the Chair of the Faculty Senate to select at least one member from each group who is willing to commit to serve more than a one-year term. In the event individuals are identified who are willing to serve more than a one-year term, each individual is subject to renomination for a second year by the respective organization they are chosen to represent.

No individual shall serve on the Committee for more than five consecutive years. Beginning in the 1999-2000 school year any voting and non-voting members who have served more than five consecutive years must be replaced. Individuals who have served for five or more consecutive years may be reappointed after a one-year hiatus at which time they will once again be subject to a new five-year limit.

No more than one GPSS officer and one ASUW Board of Control member can sit on the Committee at any one time. New administrations of both the ASUW and GPSS may request the President to replace any of their respective representatives at the beginning of each academic year.

The term of appointment will normally be from July 1 to June 30 or at the date of appointment by the President of the University until a replacement is named. Appointments shall be made by July 1 when practical.

Vacancies will be replaced in the same manner as provided for new appointments. In the case that an individual is unable to serve the duration of his/her term, a replacement will be appointed to serve out the duration of the vacated term.

C. RESPONSIBILITIES OF MEMBERSHIP

The responsibility for developing and maintaining effective communications within the Committee and therefore with the rest of the campus community represented thereon is shared by all members. Active participation in the deliberations of the Committee and its various subcommittees is expected of all members, as is a willingness to engage in constructive dialogue on any issues being considered. To assure a common understanding of the responsibilities and expectations associated with Committee membership and the respective roles of its voting and ex-officio members, these matters will be incorporated into orientation programs for new and continuing members.

D. ADVISORY RESPONSIBILITIES AND PROCEDURES

1. ALL SERVICES AND ACTIVITIES FEES

At the beginning of each academic year, a summary of projected income and expenditures from the services and activities fees shall be provided to the Committee by the Office of the Vice president for Student Affairs. The Committee may send comments on this report to the Vice President for Student Affairs.

The Committee shall concern itself primarily with requests for new programs for the portions of the services and activities fees designated as "Student Facilities and Programs."

2. SERVICES AND ACTIVITIES FEES (STUDENT ACTIVITY FACILITIES AND STUDENT FACILITIES AND PROGRAMS)

The responsibility for proposing to the administration and the Board of Regents program priorities and budget levels will reside with the Committee. The Committee will review all requests for services and activities fees funding.

The Committee may request information for the purposes of evaluating existing and proposed programs and projects.

The Committee's recommendations and those of the Vice President for Student Affairs shall be required before funding will be recommended for new projects, for existing programs not now funded by services and activities fees, or for major increases in existing programs funded by services and activities fees.

The Vice President for Student Affairs will be the principal intermediary between the Committee and the Board of Regents. The Vice President for Student Affairs will meet with the Committee at appropriate intervals in its budget-formulation process to respond to emergent ideas and issues. In addition to participating in the budget hearings of the Committee to the extent necessary to achieve an understanding of the activities being considered for funding, the Vice President for Student Affairs will respond in writing to specific written proposals submitted by the Committee and take other actions as needed to assure that the lines of communication to the Committee remain open.

The recommendations with supporting documentation of the Committee which are developed as a result of its budget hearings shall be sent to the Vice President for Student Affairs and the Board of Regents.

When a Committee decision is not unanimous, minority opinions may be reported by the dissenting member(s) to the Vice President for Student Affairs.

The Vice President shall respond to the Committee recommendations in writing, outlining areas of agreement and potential areas of

disagreement, allowing reasonable time for response, and clearly setting forth the next step in the review process. In the event of a dispute or disputes involving the Committee recommendations, the administration shall meet with the Committee in a good faith effort to resolve such dispute or disputes prior to submittal of final recommendations to the Board of Regents.

If the dispute is not resolved within fourteen days, a dispute resolution committee shall be convened by the chair of the Committee within fourteen days.

The dispute resolution committee shall be selected as follows: The administration shall appoint two nonvoting advisory members; the Board of Regents shall appoint three voting members; and the Committee chairperson shall appoint three student members of the Committee who will have a vote, and one student representing the Committee who will chair the dispute resolution committee and be nonvoting except in the case of a tie vote.

The Board of Regents may take action on those portions of the services and activities fees budget not in dispute and shall consider the results, if any, of the dispute resolution committee and take action.

The Vice President for Student Affairs and student representatives of the Committee will distribute to the Board of Regents the recommendations on the distribution of services and activities fees budget and dispute resolution, and all pertinent documentation.

E. COMMITTEE OPERATING PROCEDURES

1. MEETINGS

- a. Meetings shall be held on a regularly scheduled basis.
- b. Special meetings may be called by the chairperson of the Committee on his/her own initiative or:
 1. at the request of the Vice President for Student Affairs,
or
 2. at the request of three or more members of the Committee.
- c. A basic responsibility of the Committee is to provide full information to the community (especially the students) concerning projects funded from the services and activities fees. To achieve this objective, the Committee shall hold

open meetings and may arrange to hold public hearings on major issues presented to it. The Committee shall give adequate notice to the campus community through such devices as The Daily and special communications to any portion of the University community especially affected.

- d. Notice will be sent to members with an agenda at least five days in advance of regular meetings and three days in advance of a special meeting.
- e. A quorum shall consist of five voting members of the Committee and two ex-officio members. Proxies will not be permitted for voting purposes.
- f. All meetings shall be conducted in accordance with the latest edition of Sturgis Standard Code of Parliamentary Procedures. Deviations from such procedures will be at the discretion of the chair with the approval of the Committee.

1. REQUESTS FOR OPERATION OR CAPITAL FUNDING

- . All requests shall be submitted in writing to the chairperson, who will review them to insure that adequate information is provided.
- a. All requests received shall be so listed by title and source in the next agenda for a regular meeting.
- b. Any request so listed may be called for under new business by any members.
- c. Copies of all requests will be distributed to all members of the Committee in advance with the agenda for the meeting at which the request will be considered.
- d. Any member of the University campus community may submit a request.
- e. Consideration of a request will be by motion and vote taken; a majority of those voting members present is necessary for approval by the Committee of a project.
- f. The chairperson shall transmit all recommendations of the Committee (including reports on any dissenting opinions) for a project to the Vice President for Student Affairs.
- g. The Committee may appeal to the Board of Regents if they feel that these guidelines have not been followed, that their participation has been unduly curtailed, or that the funds have been used for purposes outside of these guidelines. In any event, the decision of the Board of Regents shall be final.

2. ADDITIONAL RESPONSIBILITIES OF CHAIR PERSON

- . Calling and presiding over meetings (designating an acting chairperson in the absence of the chairperson)

- a. Being informed of all projects committed, approved or pending
 - b. Issuing an annual report of the Committee activities to the Board of Regents if the budget recommendations of the Committee (item 2g) do not sufficiently explain the full activities of the Committee.
3. STAFF ASSISTANCE
- . Information, background material, and staff help will be provided by the Office of the Vice President for Student Affairs.

The original version of these guidelines was approved by the President of the University on August 18, 1972. Subsequent revisions were approved by the President on July 14, 1978, June 8, 1979, October 4, 1980 and January 8, 1982. As mandated by newly adopted law, the Board of Regents approved later revisions on December 12, 1980 and January 15, 1982 and July 19, 1991. The present version was approved by the Services and Activities Fee Committee on June 3, 1999 and by the University Board of Regents on September 17, 1999.

CONFIDENTIAL

UW BOARD OF REGENTS

2005-2006

**PRESIDENT
EVALUATION**

For completion by all members of the UW Board of Regents

Your Name: _____
*For Consultant's tracking purposes only,
no attribution will be made.*

Date of Completion: _____

**Please return your completed evaluation by
Monday, August 14, 2006**

CONFIDENTIAL

I.

**EVALUATION
PROCESS**

Each year, in July-August, the UW Board of Regents evaluates the President's performance for the just-ended year and preliminarily approves his proposed goals and priorities for the coming year.

All members of the Board of Regents and all members of the President's cabinet participate in this confidential process.

To ensure the confidentiality and objectivity of the evaluation process, the Regents employ an outside, independent governance consultant who assists in developing the annual survey instrument, administers the process, receives all completed responses, and prepares the President Evaluation Report.

No individual respondents are identified in this process.

The Chair of the Board of Regents and others that the Chair may select review the report with the President and reach agreement on the goals and priorities for the coming year, as well as agree on any appropriate development activities.

Confidential copies of the Evaluation Report are available to all Regents.

A.

EVALUATION PACKET

To assist the evaluators, the President prepares a summary report of the University's performance in the past year and a self-assessment of his leadership.

The President also proposes goals and priorities for the coming year for comment and affirmation.

Finally, the President may also include additional explanatory information so that the evaluators have additional dimensions upon which to make their judgments.

B.

KEY TASKS OF PRESIDENT

Following are the eight key task areas upon which the President is evaluated:

1. Institutional Agenda
2. Academic Leadership
3. General Management and Planning
4. Fiscal Management and Budgeting
5. Internal Relationships
6. External Relationships
7. Decision Making and Problem Solving
8. Modeling UW Values

C.

SURVEY FORMAT

The President Evaluation form requests two types of responses from evaluators:

1. **QUANTITATIVE** – A 1-5 rating scale on which performance is to be judged.
(See Section D below)
2. **QUALITATIVE** – Space for brief written comments on each major topic.

All evaluators are urged to complete both responses for each question.

There are also some open-ended questions on which evaluators are offered the opportunity to add additional comments.

Members of the Board of Regents are asked to respond to all questions. Other respondents are asked to complete all but the last two questions (*Section II.G., page 10*).

D.

RATING SCALE

The following rating scale is used for the President Evaluation:

- 5 = OUTSTANDING** - Performance consistently and significantly exceeds all expectations.
- 4 = EXCELLENT** – Performance frequently exceeds, and always meets, all expectations.
- 3 = GOOD** – Performance meets all expectations and minimum requirements, but may have some inconsistencies. Development opportunities exist.
- 2 = MARGINAL** – There are gaps in some performance areas. Expectations occasionally not met. Corrective action is required.
- 1 = UNSATISFACTORY** – Performance does not meet the minimum expectations and requirements of the President job. Immediate action essential.

E.

YOUR RESPONSE

Your thorough and timely response to the President Evaluation is important. Please return the completed evaluation to our independent, outside consultant, **George Corcoran**, who is assisting with this process. We need to receive your completed evaluation by:

Monday, August 14, 2006

Please forward it to George by any one of these means:

- Email keypeopl@mindspring.com
- FAX (206) 682-5392
- Regular Mail c/o Key People
1904 Third Avenue, Suite 336
Seattle, WA 98101

If you have any questions or require additional materials, please call George at (206) 323-9384.

Thank you so much for your participation!

<p>II.</p> <p>PERFORMANCE AS PRESIDENT</p>
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Using the rating scale (Section I.D., page 4), please assign a grade to the President's performance in each area and add written comments explaining your rating.

A.

INSTITUTIONAL AGENDA

- | | | | | | |
|--|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|
| | 1 | 2 | 3 | 4 | 5 |
| | (Unsatisfactory) | (Marginal) | (Good) | (Excellent) | (Outstanding) |
| 1. How has the UW progressed during the president's tenure? | <input type="checkbox"/> |

Your Comments:

B.

ACADEMIC LEADERSHIP

	1 (Unsatisfactory)	2 (Marginal)	3 (Good)	4 (Excellent)	5 (Outstanding)
2. How has the UW's general academic standing advanced and how has that been influenced by the president's leadership?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Your Comments:

C.

GENERAL MANAGEMENT AND PLANNING

	1 (Unsatisfactory)	2 (Marginal)	3 (Good)	4 (Excellent)	5 (Outstanding)
3. How effectively is the president overseeing the UW's leadership, staffing and infrastructure?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Your Comments:

D.

FISCAL MANAGEMENT AND BUDGETING

- | | | | | | |
|--|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|
| | 1 | 2 | 3 | 4 | 5 |
| | (Unsatisfactory) | (Marginal) | (Good) | (Excellent) | (Outstanding) |
| | <input type="checkbox"/> |

4. How effective are the UW's budgeting and financial management processes and how has the president influenced them?

Your Comments:

E.

INTERNAL RELATIONSHIPS

- | | | | | | |
|--|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|
| | 1 | 2 | 3 | 4 | 5 |
| | (Unsatisfactory) | (Marginal) | (Good) | (Excellent) | (Outstanding) |
| | <input type="checkbox"/> |

5. How effective is the president in promoting an environment to enable student, faculty, and staff success?

Your Comments:

F.

EXTERNAL RELATIONSHIPS

	1	2	3	4	5
	(Unsatisfactory)	(Marginal)	(Good)	(Excellent)	(Outstanding)
	<input type="checkbox"/>				

6. How effective has the president been in nurturing external relationships for the benefit of the UW?

Your Comments:

G.

DECISION MAKING AND PROBLEM SOLVING

	1	2	3	4	5
	(Unsatisfactory)	(Marginal)	(Good)	(Excellent)	(Outstanding)
	<input type="checkbox"/>				

7. How well does the president delegate, make difficult decisions and facilitate problem solving?

Your Comments:

H.

**MODELING
UW VALUES**

- | | | | | | |
|--|------------------------------|--------------------------|--------------------------|--------------------------|---------------------------|
| | 1
(Unsatisfactory) | 2
(Marginal) | 3
(Good) | 4
(Excellent) | 5
(Outstanding) |
| 8. How well does the President keep the UW's core values and guiding principles highly visible and integrated into the UW's daily business practices? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

Your Comments:

I.

FOR REGENTS ONLY

(Only members of the Board of Regents are asked to respond to these two questions)

- | | | | | | |
|--|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|
| | 1 | 2 | 3 | 4 | 5 |
| | (Unsatisfactory) | (Marginal) | (Good) | (Excellent) | (Outstanding) |
| 9. How well is the president effectively engaging and maximizing the potential value of the Board of Regents? | <input type="checkbox"/> |

Your Comments:

- | | | | | | |
|--|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|
| | 1 | 2 | 3 | 4 | 5 |
| | (Unsatisfactory) | (Marginal) | (Good) | (Excellent) | (Outstanding) |
| 10. Please rate the amount of information Regents receive from the President and his staff. | <input type="checkbox"/> |

Your Comments:

III.
**2005-2006 GOAL
ACHIEVEMENT**

Based on the self-assessment of his performance for 2005-2006, which the President provided, would you please offer your comments on:

11. The President's progress on the UW's longer-term strategic directions:

Your Comments:

12. Areas of exceptional achievement:

Your Comments:

13. Areas that require more attention:

Your Comments:

14. What one piece of advice would you give the President regarding his leadership of the UW?

Your Comments:

IV.

**AREAS FOR FOCUS
DURING 2006-2007**

Based on the President's proposed goals and areas of emphasis for 2006-2007, which he also provided:

- 15. Would you please offer your comments or observations regarding the planned direction of the UW in 2006-2007?**

Your Comments:

**Please return the completed survey
by Monday, August 14, 2006.**

**THANK YOU FOR TAKING TIME
TO COMPLETE THIS EVALUATION.**

BP-1/207-06
7/20/2006

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

UW

Report of Contributions

University of Washington
University of Washington Foundation

May 2006

NOTES AS OF MAY 31, 2006

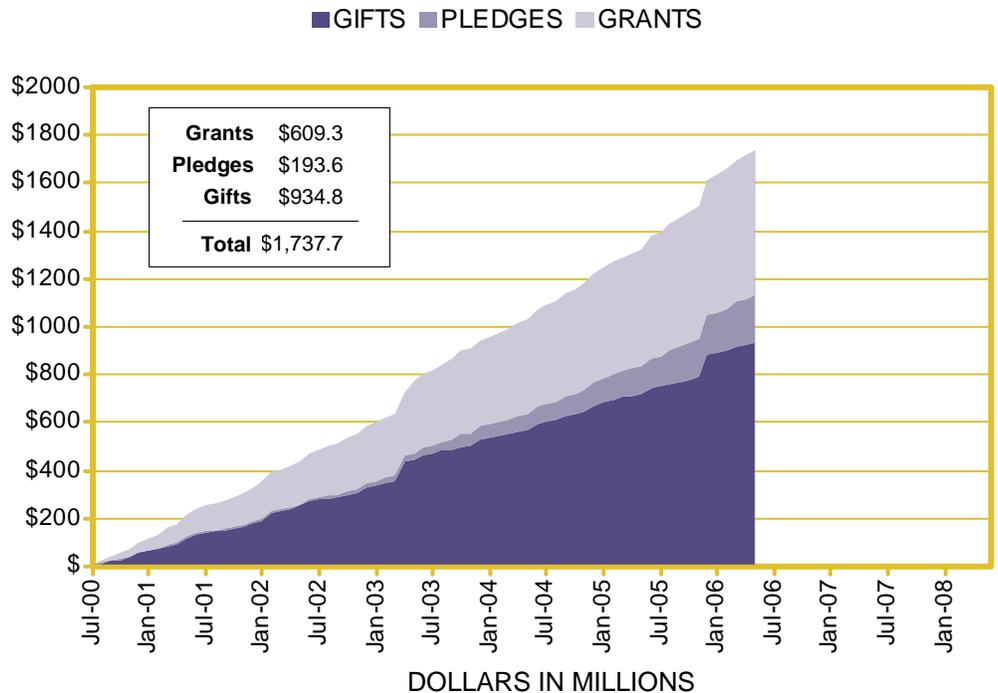
DATA POINTS

\$1,737,718,785 has been raised toward our campaign goal of \$2 billion.

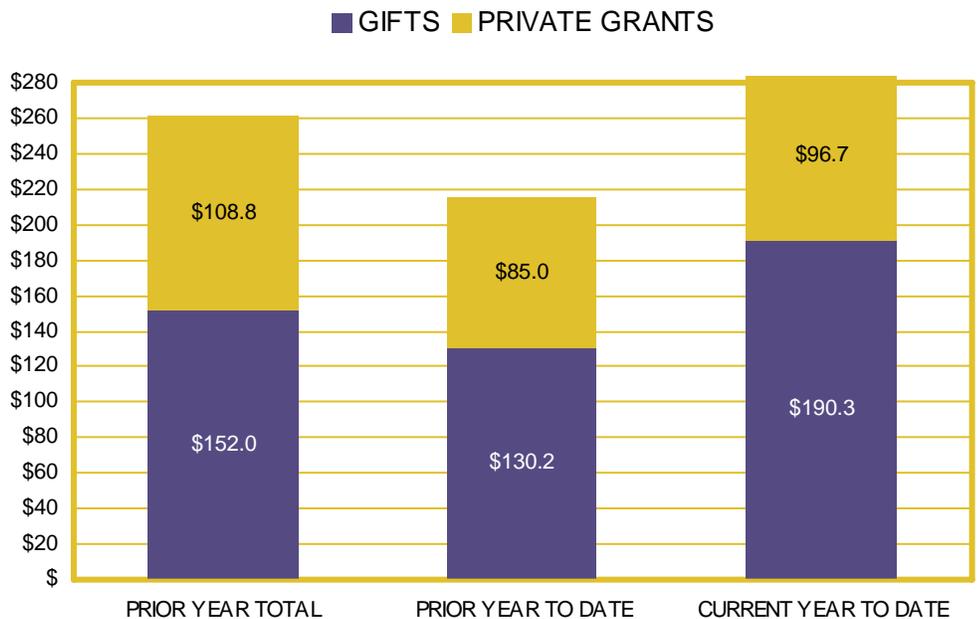
The UW received \$16.4 million in total private voluntary support (\$9.8 million in gifts and \$6.6 in grants) in May.

Areas including Architecture, Dentistry, Engineering, Forest Resources, Information School, Intercollegiate Athletics, Law, Libraries, Nursing, Ocean and Fisheries, Scholar. & Student Progs., UW Alumni Association, UW Bothell, UW Medicine and UW Tacoma are ahead of last year's year-to-date totals.

FUNDRAISING PROGRESS SINCE JULY 1, 2000



CURRENT GIFT AND PRIVATE GRANT TOTALS



MAY 2006 GIFTS AND IMPACT

Selected gifts representing private support for one of the University of Washington's key fundraising priorities -- student, faculty, program and facility support.

Bryan and Linda Edgar – \$20,000 to the School of Dentistry

- Bryan ('72, '76) and Linda ('73) Edgar, practicing dentists and co-chairs of the School of Dentistry's Campaign committee, pledged \$250,000 to the School in November 2004 for an endowed professorship in implantology.
- This is their fourth payment on the pledge to establish the Bryan and Linda Edgar Microscopic Implant Instructional Professorship. Dental implants offer a permanent alternative to dentures and bridges and help stop the progressive bone loss that sometimes results from missing teeth.
- With this gift the Edgars are helping to ensure that future generations of dentistry students are afforded the opportunity to study with distinguished professionals in the field of oral health and to benefit from the knowledge and expertise these educators have to share.

Palmer and Evelyn McCarter – \$250,000 to the School of Nursing

- Palmer and Evelyn McCarter established the McCarter Family Endowed Scholarship in Nursing to provide general support for students in the School of Nursing with a \$51,000 gift in November 2005.
- With this \$250,000 testamentary commitment, Mr. and Mrs. McCarter have pledged to support the endowment and the School of Nursing through their will. In making their decision, they referenced the School's ranking as the #1 School of Nursing in the country by *U.S. News and World Report*.
- Testamentary gifts allow schools and colleges to count bequests as campaign gifts and to honor donors at the corresponding giving level (i.e. Benefactors, Laureates, etc.) In Fiscal Year 2006, the University has booked \$47 million in testamentary gifts; over \$102 million have been booked so far during Campaign UW: Creating Futures.

Donald and Jody Petersen - \$20,000 to the College of Arts and Sciences

- This gift represents Mr. and Mrs. Petersen's second payment on a \$100,000 pledge to the David and Valerie Hodge Endowed Scholarship.
- The Scholarship, named for outgoing Arts and Sciences dean David C. Hodge and his wife Valerie, provides four years of support for undergraduate students in the College of Arts and Sciences, with a preference for first generation college students.
- In April 2006, Dean Hodge announced his intention to leave Seattle and become president of Miami University in Oxford, Ohio. He had been with the University of Washington for 31 years.
- Don Petersen is an active member of the College of Arts and Sciences Advisory Board and Campaign Committee, as well as the UW Foundation Board. He served as co-chair of the University's first capital Campaign, the Campaign for Washington.





REPORT OF CONTRIBUTIONS

May 2006

CAMPAIGN UW: CREATING FUTURES

Report prepared by: Office of Development and Alumni Relations
Advancement Services, Information Management

6/7/2006 03:43 PM

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*All Campaign totals represented are from July 1, 2000 through the end of the preceeding calendar month. Please note that grant revenue totals in Campaign Reports may contain clinical trials. Fundraising totals from all affiliated non-profit organizations are also included in UW Campaign totals.

The UW Campaign Executive Committee developed the counting policy for Campaign reports, based on Council for Advancement and Support of Education (CASE) campaign counting standards.

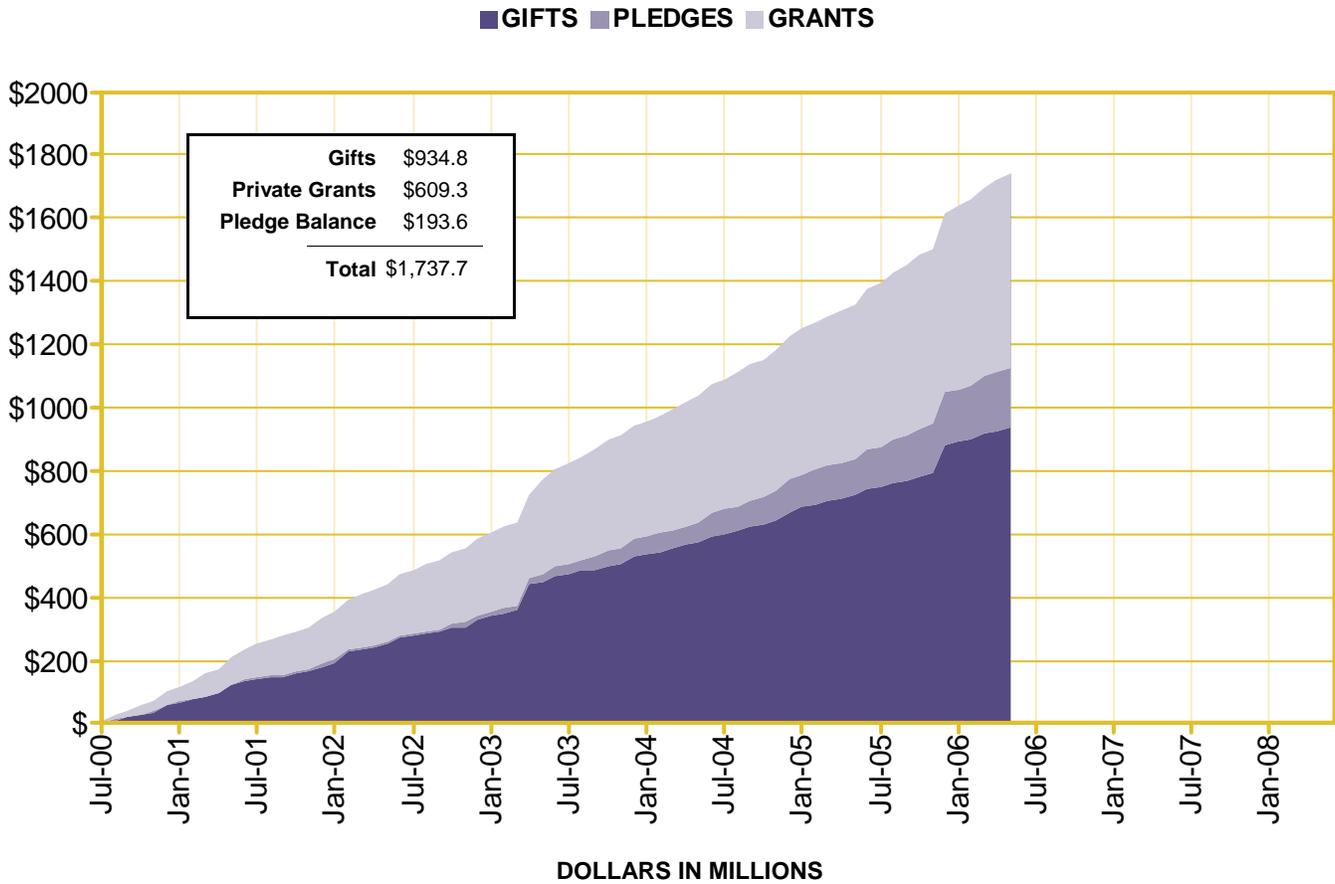
Annual reporting is July 1, 2005 through the end of the preceeding calendar month.

Job Number: 70821
May 2006

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CAMPAIGN PROGRESS SINCE JULY 1, 2000



Source: UW Office of Development

Summarizes Total Private Voluntary Support since July 1, 2000. Testamentary Commitments included in Pledge Balance total. All dollar totals in millions.

Job Number: 70821
 May 2006

Fundraising Progress Since July 1, 2000



CAMPAIGN PROGRESS BY GIVING LEVEL

DOLLARS RAISED							
Gifts, Pledges & Grants							
Giving Level *	Alumni	Non Alumni	Family Fndns.	Corporations	Foundations	Other Orgs.	Total
\$100M+	\$0	\$32,720	\$201,422,682	\$0	\$0	\$0	\$201,455,402
\$50M - \$99,999,999	\$0	\$0	\$0	\$0	\$0	\$0	\$0
\$10M - \$49,999,999	\$42,516,205	\$58,709,718	\$21,492,500	\$53,387,782	\$94,329,028	\$11,623,161	\$282,058,394
\$5M - \$9,999,999	\$23,126,854	\$5,111,250	\$0	\$54,027,863	\$30,882,849	\$67,380,943	\$180,529,759
\$1M - \$4,999,999	\$95,967,637	\$53,301,372	\$25,460,592	\$113,215,405	\$106,486,656	\$73,771,786	\$468,203,448
\$100,000 - \$999,999	\$87,513,032	\$67,321,465	\$22,476,960	\$112,467,485	\$58,048,835	\$51,238,306	\$399,066,083
\$25,000 - \$99,999	\$22,766,547	\$17,219,141	\$2,941,681	\$28,009,413	\$6,819,522	\$9,393,277	\$87,149,580
\$10,000 - \$24,999	\$15,463,535	\$11,493,731	\$918,810	\$9,014,038	\$1,395,581	\$2,138,903	\$40,424,598
\$5,000 - \$9,999	\$10,880,581	\$8,418,359	\$257,543	\$4,657,773	\$556,045	\$663,204	\$25,433,505
\$2,000 - \$4,999	\$9,152,884	\$7,388,567	\$75,808	\$3,156,032	\$263,821	\$447,245	\$20,484,357
\$1,000 - \$1,999	\$4,994,855	\$3,969,013	\$23,676	\$1,334,537	\$74,014	\$196,605	\$10,592,700
\$500 - \$999	\$4,681,309	\$3,439,865	\$4,460	\$656,069	\$29,024	\$87,700	\$8,898,427
\$250 - \$499	\$3,208,638	\$2,200,010	\$900	\$287,035	\$8,852	\$41,520	\$5,746,955
\$100 - \$249	\$2,859,111	\$1,939,019	\$2,465	\$265,295	\$8,597	\$30,250	\$5,104,737
\$1 - \$99	\$1,333,248	\$1,158,211	\$189	\$68,462	\$998	\$9,733	\$2,570,840
Total	\$324,464,435	\$241,702,440	\$275,078,266	\$380,547,189	\$298,903,822	\$217,022,633	\$1,737,718,785
DONOR COUNTS							
Giving Level	Alumni	Non Alumni	Family Fndns.	Corporations	Foundations	Other Orgs.	Total
\$100M+	0	2	1	0	0	0	3
\$50M - \$99,999,999	0	0	0	0	0	0	0
\$10M - \$49,999,999	9	7	3	4	6	1	30
\$5M - \$9,999,999	6	6	0	8	5	9	34
\$1M - \$4,999,999	80	79	13	54	44	41	311
\$100,000 - \$999,999	494	541	71	422	173	171	1,872
\$25,000 - \$99,999	914	884	66	616	144	190	2,814
\$10,000 - \$24,999	1,878	1,761	62	672	97	145	4,615
\$5,000 - \$9,999	2,804	2,555	46	781	92	105	6,383
\$2,000 - \$4,999	4,840	4,928	26	1,203	89	160	11,246
\$1,000 - \$1,999	5,771	5,749	21	1,209	61	170	12,981
\$500 - \$999	9,786	9,006	10	1,203	48	148	20,201
\$250 - \$499	11,946	10,093	3	999	28	134	23,203
\$100 - \$249	22,743	19,458	16	2,073	60	238	44,588
\$1 - \$99	36,699	39,994	4	1,766	22	281	78,766
Total	97,970	95,063	342	11,010	869	1,793	207,047

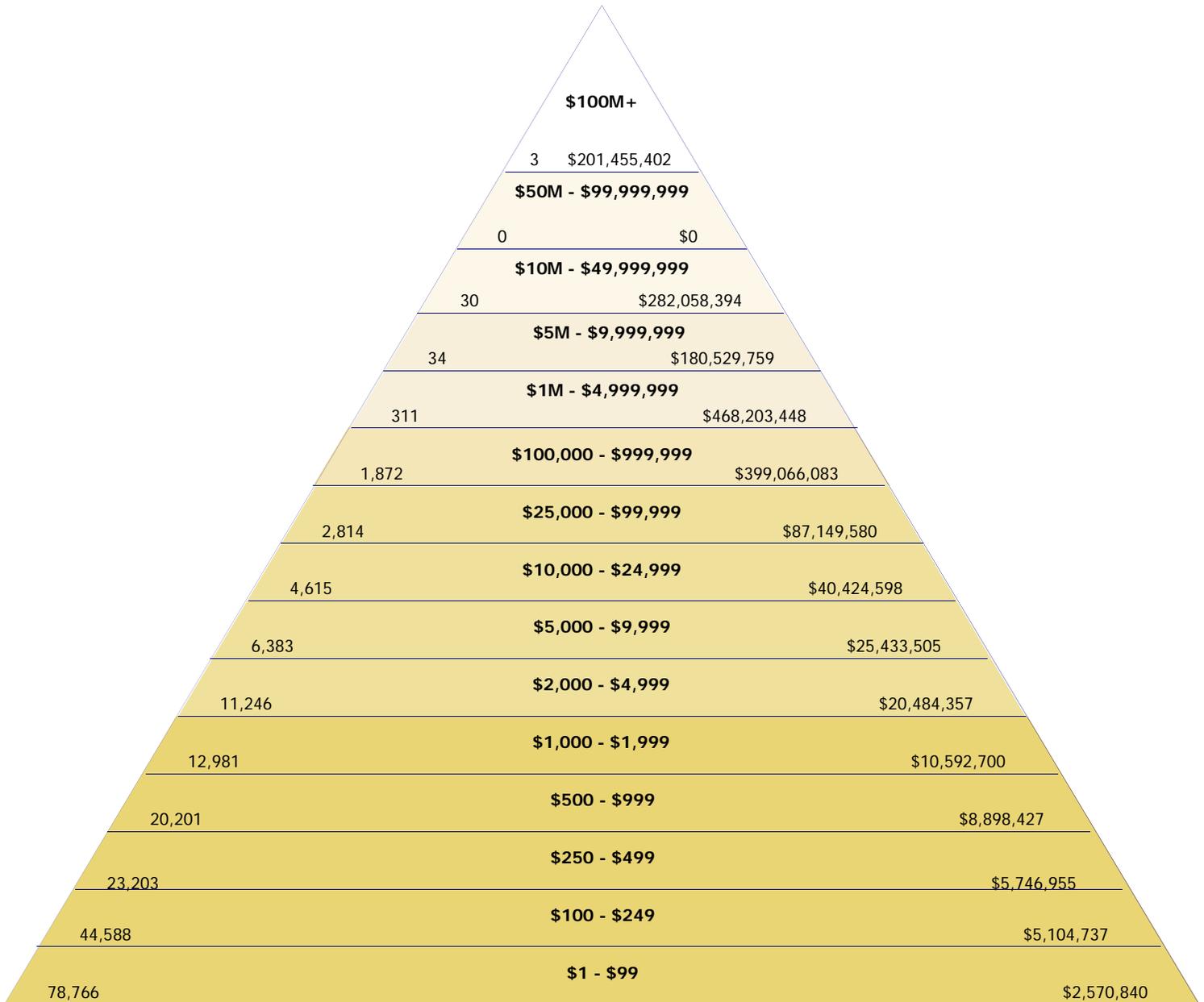
Source: UW Office of Development & Alumni Relations

This report shows the count of distinct donors and campaign total by giving level and donor type since July 1, 2000.

**"Giving Level" is determined by summing all gift record types (including grants)



CAMPAIGN PROGRESS BY PYRAMID, SINCE JULY 1, 2000



207,047 Donor Count

Campaign Total: \$1,737,718,785

*Campaign Working Goal: \$2,000,000,000

Percent Complete: 86.9%

Source: UW Office of Development

The counts of distinct donors and fundraising totals by giving level are shown.

*Unit campaign goals are still being finalized.

Pyramid Levels are determined by summing all gift record types (including grants)



CAMPAIGN PROGRESS BY CONSTITUENCY

	GIFTS	Irrevocable Deferred Gifts		PLEDGES	Testamentary Commitments		GRANTS	Campaign - Private Voluntary Support		
		Donor Value	Discount Value		Donor Value	Discount Value		TOTAL	Working Goal	% of Goal
UW Medicine	\$266,046,253	\$11,148,133	\$5,932,972	\$18,835,380	\$21,232,853	\$6,835,823	\$302,753,608	\$620,016,227	\$1,000,000,000	62.0%
Architecture	\$6,972,035	\$2,244,117	\$658,000	\$1,859,677	\$2,000,000	\$1,142,468	\$3,701,923	\$16,777,752	\$19,000,000	88.3%
Arts and Sciences	\$89,912,545	\$6,466,274	\$3,964,216	\$10,633,664	\$34,894,000	\$21,617,603	\$62,507,732	\$204,414,215	\$240,000,000	85.2%
Broadcast Services	\$39,661,467	\$0	\$0	\$14,695	\$0	\$0	\$0	\$39,676,162	N/A	N/A
Business School	\$53,157,924	\$1,024,449	\$709,750	\$25,368,094	\$3,580,271	\$2,317,929	\$294,988	\$83,425,727	\$135,000,000	61.8%
Dentistry	\$6,862,044	\$246,992	\$110,376	\$964,342	\$775,000	\$431,459	\$3,831,497	\$12,679,876	\$15,000,000	84.5%
Education	\$9,266,407	\$28,552	\$10,686	\$3,414,586	\$50,000	\$33,842	\$12,780,440	\$25,539,985	\$23,000,000	111.0%
Engineering	\$120,969,557	\$2,023,461	\$1,111,714	\$7,354,989	\$3,950,011	\$1,483,406	\$69,223,085	\$203,521,102	\$250,000,000	81.4%
Evans Schl. of Pub. Affairs	\$9,362,133	\$57,994	\$69,964	\$379,152	\$500,000	\$265,793	\$36,255,465	\$46,554,745	\$40,000,000	116.4%
Forest Resources	\$8,404,949	\$646,572	\$516,844	\$422,665	\$2,500,000	\$1,266,903	\$3,380,285	\$15,354,471	\$17,700,000	86.7%
Friday Harbor Labs	\$5,831,818	\$153,242	\$78,775	\$354,198	\$3,305,000	\$2,258,599	\$775,938	\$10,420,197	\$12,000,000	86.8%
Information School	\$2,744,535	\$0	\$0	\$148,515	\$100,000	\$64,666	\$875,683	\$3,868,733	\$5,000,000	77.4%
Intercollegiate Athletics	\$85,801,748	\$246,570	\$166,700	\$6,798,230	\$25,000	\$10,388	\$0	\$92,871,548	\$110,000,000	84.4%
Law	\$58,614,205	\$7,026	\$4,595	\$1,008,755	\$0	\$0	\$989,542	\$60,619,528	\$70,000,000	86.6%
Libraries	\$5,349,740	\$442,146	\$351,456	\$80,862	\$772,000	\$379,527	\$475,708	\$7,120,456	\$9,000,000	79.1%
Nursing	\$8,277,762	\$285,000	\$210,008	\$964,968	\$3,095,000	\$1,615,808	\$7,579,660	\$20,202,390	\$24,000,000	84.2%
Ocean and Fisheries	\$11,789,516	\$5,812,500	\$2,679,448	\$82,658	\$0	\$0	\$22,860,422	\$40,545,096	\$34,000,000	119.3%
Pharmacy	\$8,126,730	\$0	\$0	\$488,284	\$0	\$0	\$4,255,504	\$12,870,518	\$10,260,000	125.4%
President's Funds	\$5,345,085	\$738,472	\$543,577	\$429,384	\$2,750,000	\$1,353,977	\$0	\$9,262,942	N/A	N/A
Public Health	\$13,409,214	\$50,259	\$33,185	\$821,750	\$0	\$0	\$58,016,936	\$72,298,159	\$90,000,000	80.3%
Scholar. & Student Progs.	\$28,786,508	\$654,684	\$334,149	\$1,925,150	\$1,035,000	\$550,758	\$691,327	\$33,092,669	\$40,000,000	82.7%
Social Work	\$1,984,122	\$290,566	\$158,012	\$60,186	\$0	\$0	\$5,280,271	\$7,615,146	\$10,000,000	76.2%
University Press	\$1,844,348	\$83,788	\$62,116	\$23,125	\$1,300,000	\$1,421,362	\$0	\$3,251,260	\$3,000,000	108.4%
University Support	\$31,528,026	\$1,510,063	\$757,793	\$7,675,369	\$11,300,000	\$4,855,765	\$11,978,477	\$63,991,935	N/A	N/A
UW Bothell	\$2,150,124	\$0	\$0	\$61,850	\$0	\$0	\$750,500	\$2,962,474	\$5,700,000	52.0%
UW Tacoma	\$18,254,625	\$195,000	\$173,651	\$7,316,159	\$2,925,000	\$1,657,696	\$74,690	\$28,765,474	\$30,000,000	95.9%
All UW Total	\$900,453,421	\$34,355,859	\$18,637,987	\$97,486,689	\$96,089,135	\$49,563,772	\$609,333,681	\$1,737,718,785	\$2,000,000,000	86.9%

Source: UW Office of Development

Fundraising progress toward campaign working goals by constituency area (school/college/program). Campaign total is the sum of gifts, grants, active pledges and donor values of irrevocable deferred gifts and testamentary commitments. "N/A" is not applicable. 1 - "Pledges" are those in active status only. 2 - "Grants" are private grants only. 3 - Unit campaign working goals are still being finalized.

Job Number: 70821

May 2006

Campaign Progress by Constituency - Since July 2000



CAMPAIGN FUNDING THEME PROGRESS - JULY 2000 - PRESENT

THEME	CURRENT USE	ENDOWMENT	TOTAL
Student Support	\$81,033,788	\$145,850,826	\$226,884,615
Faculty Support	\$80,979,856	\$108,310,762	\$189,290,618
Program Support for Faculty and Students	\$767,045,347	\$112,679,620	\$879,724,967
Capital	\$260,865,293	\$1,778,269	\$262,643,562
Unrestricted	\$149,118,749	\$30,056,274	\$179,175,023
Total	\$1,339,043,034	\$398,675,751	\$1,737,718,785
Goal	\$1,600,000,000	\$400,000,000	\$2,000,000,000
% to Goal	83.7%	99.7%	86.9%

Source: UW Office of Development

This report shows contribution totals by campaign theme/priority since July 1, 2000.

Job Number: 70821
 May 2006

Campaign Theme Progress



DEVELOPMENT SUMMARY - TOTAL PRIVATE VOLUNTARY SUPPORT

AREA	YEAR TO DATE DONOR VALUES		
	GIFTS	PRIVATE GRANTS	TOTAL
UW Seattle			
UW Medicine	\$47,404,301	\$45,088,650	\$92,492,951
Architecture	\$3,068,053	\$2,179,148	\$5,247,201
Arts and Sciences	\$14,843,163	\$7,171,546	\$22,014,709
Broadcast Services	\$6,426,468		\$6,426,468
Business School	\$9,814,097	\$94,188	\$9,908,285
Dentistry	\$1,373,393	\$325,238	\$1,698,631
Education	\$1,498,288	\$1,126,181	\$2,624,469
Engineering	\$17,554,684	\$17,277,248	\$34,831,933
Evans Schl. of Pub. Affairs	\$713,239	\$5,099,560	\$5,812,799
Forest Resources	\$2,361,308	\$578,267	\$2,939,575
Graduate School	\$2,086,860	\$109,794	\$2,196,654
Information School	\$391,859	\$38,736	\$430,595
Intercollegiate Athletics	\$12,774,911		\$12,774,911
Law	\$36,007,067	\$51,598	\$36,058,665
Libraries	\$1,168,992	\$65,382	\$1,234,374
Nursing	\$1,432,907	\$974,535	\$2,407,442
Ocean and Fisheries	\$7,376,232	\$7,054,643	\$14,430,875
Pharmacy	\$1,472,064	\$246,250	\$1,718,315
President's Funds	\$697,639		\$697,639
Public Health	\$839,564	\$6,911,659	\$7,751,223
Scholar. & Student Progs.	\$8,332,331	\$66,456	\$8,398,787
Social Work	\$576,856	\$605,749	\$1,182,605
UW Alumni Association	\$974,374		\$974,374
University Press	\$420,557		\$420,557
University Support	\$7,507,769	\$937,360	\$8,445,129
UW Bothell	\$168,473	\$700,000	\$868,473
UW Tacoma	\$3,004,625	\$4,990	\$3,009,615
All UW Total	\$190,290,088	\$96,707,180	\$286,997,267

Source: UW Office of Development

Contribution totals for the major Development areas of the University are shown.

Job Number: 70821

May 2006

Development Area Summary - Total Private Voluntary Support



DEVELOPMENT SUMMARY - GIFTS AND PRIVATE GRANTS

AREA	CURRENT MONTH		YEAR TO DATE		PRIOR YEAR TO DATE		PRIOR FISCAL YEAR	
	Donors	Value	Donors	Value	Donors	Value	Donors	Value
UW Seattle								
UW Medicine	2,875	\$5,403,681	17,139	\$92,492,951	17,525	\$73,181,478	18,807	\$89,363,526
Architecture	117	\$131,501	1,253	\$5,247,201	1,092	\$877,882	1,125	\$900,689
Arts and Sciences	1,547	\$1,354,895	15,042	\$22,014,709	14,753	\$23,128,760	15,435	\$25,765,062
Broadcast Services	282	\$405,665	2,861	\$6,426,468	3,007	\$7,328,301	3,217	\$8,301,726
Business School	426	\$826,471	4,122	\$9,908,285	3,951	\$10,378,796	4,296	\$12,344,544
Dentistry	166	\$139,975	1,302	\$1,698,631	1,212	\$1,298,236	1,270	\$1,418,395
Education	360	\$61,970	1,845	\$2,624,469	1,424	\$3,655,772	1,475	\$7,555,540
Engineering	378	\$3,360,437	4,030	\$34,831,933	3,825	\$26,044,457	4,024	\$31,841,841
Evans Schl. of Pub. Affairs	92	\$256,155	522	\$5,812,799	669	\$9,313,479	721	\$9,948,313
Forest Resources	40	\$258,689	949	\$2,939,575	966	\$1,779,728	1,023	\$2,002,612
Graduate School	22	\$51,638	667	\$2,196,654	521	\$3,777,017	535	\$4,065,408
Information School	26	\$24,075	568	\$430,595	539	\$382,200	569	\$400,100
Intercollegiate Athletics	325	\$332,528	11,701	\$12,774,911	11,618	\$11,503,257	23,274	\$15,865,980
Law	208	\$70,674	1,823	\$36,058,665	1,580	\$1,502,057	1,728	\$1,831,593
Libraries	298	\$31,820	4,118	\$1,234,374	4,024	\$957,350	4,105	\$1,030,319
Nursing	91	\$57,213	1,728	\$2,407,442	1,581	\$2,263,610	1,627	\$2,439,883
Ocean and Fisheries	46	\$147,283	686	\$14,430,875	638	\$9,627,160	679	\$9,870,610
Pharmacy	83	\$58,415	1,192	\$1,718,315	1,003	\$2,773,836	1,031	\$3,133,622
President's Funds	109	\$37,725	1,681	\$697,639	1,786	\$648,357	2,237	\$693,593
Public Health	112	\$127,726	727	\$7,751,223	614	\$8,394,269	639	\$10,248,558
Scholar. & Student Progs.	344	\$954,311	3,065	\$8,398,787	3,218	\$4,887,477	3,358	\$5,087,417
Social Work	61	\$64,260	796	\$1,182,605	700	\$1,290,118	788	\$1,456,040
UW Alumni Association	2,079	\$110,565	18,759	\$974,374	18,411	\$904,343	20,482	\$1,034,131
University Press	25	\$24,900	386	\$420,557	331	\$434,304	357	\$465,799
University Support	423	\$1,028,564	2,533	\$8,445,129	1,843	\$7,992,210	2,202	\$9,634,062
UW Bothell	112	\$33,756	505	\$868,473	464	\$275,470	520	\$286,256
UW Tacoma	135	\$1,034,938	678	\$3,009,615	589	\$673,097	650	\$3,786,663
All UW Unique Total	10,213	\$16,389,840	83,574	\$286,997,267	81,488	\$215,273,035	95,227	\$260,772,296

Source: UW Office of Development

The number of donors and contribution totals for the major Development areas of the University are shown. Dollar values are based on donor values.

Job Number: 70821

May 2006

Development Area Summary - Gifts and Private Grants



DEVELOPMENT SUMMARY - GIFTS

AREA	CURRENT MONTH		YEAR TO DATE		PRIOR YEAR TO DATE		PRIOR FISCAL YEAR	
	Donors	Value	Donors	Value	Donors	Value	Donors	Value
UW Seattle								
UW Medicine	2,802	\$2,319,264	16,875	\$47,404,301	17,263	\$34,405,249	18,519	\$38,381,050
Architecture	117	\$131,501	1,251	\$3,068,053	1,090	\$495,516	1,123	\$518,323
Arts and Sciences	1,539	\$847,045	14,970	\$14,843,163	14,692	\$16,865,837	15,367	\$18,075,018
Broadcast Services	282	\$405,665	2,861	\$6,426,468	3,007	\$7,328,301	3,217	\$8,301,726
Business School	425	\$798,273	4,119	\$9,814,097	3,950	\$10,327,996	4,295	\$12,293,744
Dentistry	165	\$73,975	1,293	\$1,373,393	1,208	\$1,126,766	1,265	\$1,207,976
Education	360	\$61,970	1,838	\$1,498,288	1,419	\$1,562,075	1,468	\$1,809,231
Engineering	372	\$907,901	3,963	\$17,554,684	3,762	\$18,011,739	3,953	\$20,659,919
Evans Schl. of Pub. Affairs	90	\$102,155	508	\$713,239	649	\$520,399	699	\$544,233
Forest Resources	38	\$228,017	933	\$2,361,308	960	\$1,285,844	1,015	\$1,434,077
Graduate School	22	\$51,638	665	\$2,086,860	515	\$1,472,253	529	\$1,760,644
Information School	26	\$24,075	564	\$391,859	538	\$309,930	568	\$327,830
Intercollegiate Athletics	325	\$332,528	11,701	\$12,774,911	11,618	\$11,503,257	23,274	\$15,865,980
Law	208	\$70,674	1,821	\$36,007,067	1,577	\$1,357,060	1,725	\$1,686,596
Libraries	298	\$31,820	4,116	\$1,168,992	4,024	\$957,350	4,105	\$1,030,319
Nursing	89	\$21,163	1,711	\$1,432,907	1,571	\$1,483,159	1,616	\$1,569,608
Ocean and Fisheries	44	\$12,389	653	\$7,376,232	615	\$1,943,422	654	\$2,060,947
Pharmacy	82	\$44,535	1,187	\$1,472,064	997	\$2,099,967	1,024	\$2,155,260
President's Funds	109	\$37,725	1,681	\$697,639	1,786	\$648,357	2,237	\$693,593
Public Health	111	\$77,726	681	\$839,564	577	\$933,834	596	\$951,832
Scholar. & Student Progs.	344	\$954,311	3,063	\$8,332,331	3,217	\$4,764,174	3,357	\$4,964,114
Social Work	60	\$14,260	789	\$576,856	688	\$562,201	775	\$578,123
UW Alumni Association	2,079	\$110,565	18,759	\$974,374	18,411	\$904,343	20,482	\$1,034,131
University Press	25	\$24,900	386	\$420,557	331	\$434,304	357	\$465,799
University Support	423	\$1,028,564	2,528	\$7,507,769	1,841	\$7,987,467	2,199	\$9,529,319
UW Bothell	112	\$33,756	504	\$168,473	464	\$275,470	520	\$286,256
UW Tacoma	135	\$1,034,938	677	\$3,004,625	588	\$670,697	649	\$3,784,263
All UW Unique Total	10,124	\$9,781,343	83,120	\$190,290,088	81,044	\$130,236,981	94,742	\$151,969,925

Source: UW Office of Development

The number of donors and contribution totals (gifts only) for the major Development areas of the University are shown. Dollar values are based on donor values.

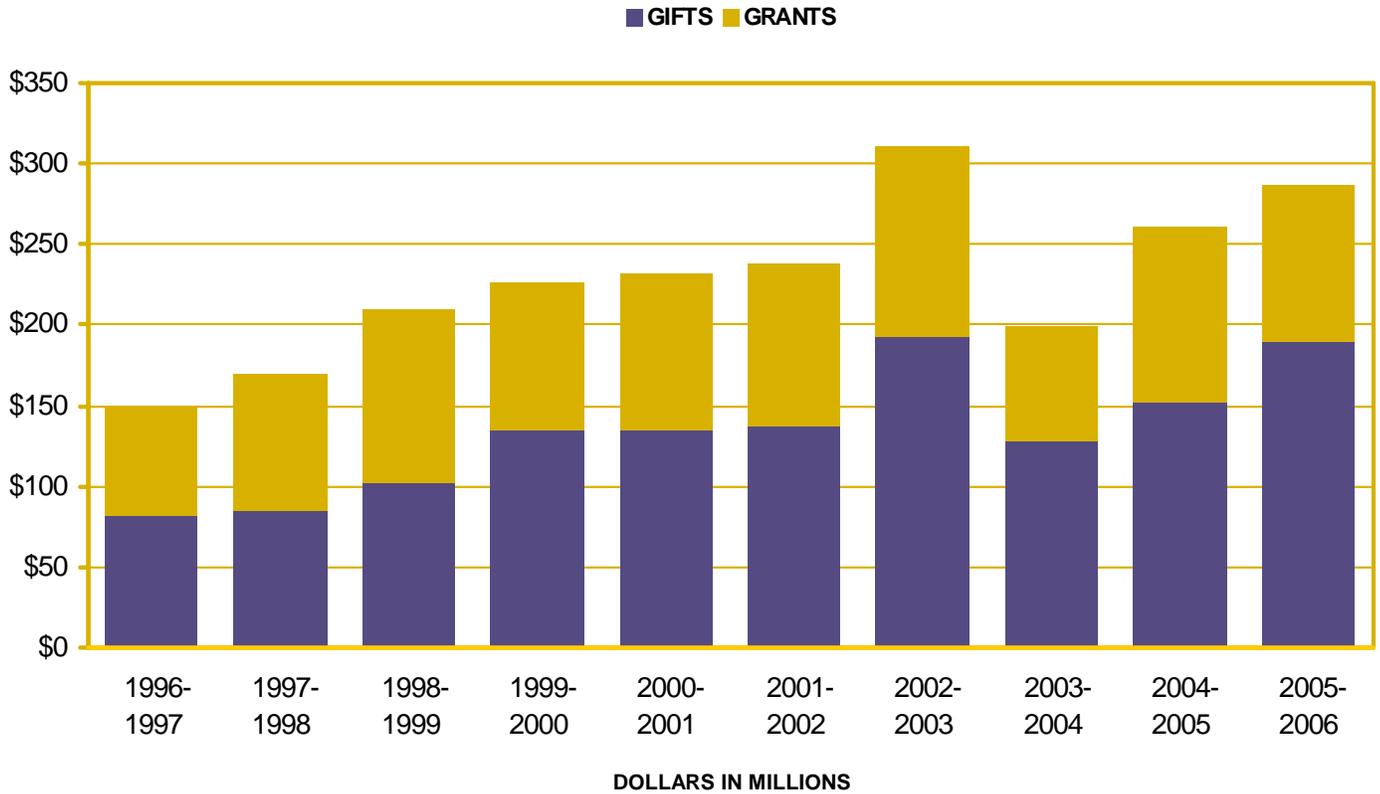
Job Number: 70821

May 2006

Development Area Summary - Gifts



COMPLETE FISCAL YEAR COMPARISON OF TOTAL CONTRIBUTIONS RECEIVED



FISCAL YEAR	GIFTS	GRANTS	TOTAL CONTRIBUTIONS
2005- 2006	\$190,290,088	\$96,707,180	\$286,997,267
2004- 2005	\$151,969,925	\$108,802,371	\$260,772,296
2003- 2004	\$128,174,367	\$71,603,323	\$199,777,690
2002- 2003	\$192,573,183	\$118,677,722	\$311,250,905
2001- 2002	\$137,959,340	\$100,820,547	\$238,779,887
2000- 2001	\$134,805,190	\$97,112,979	\$231,918,169
1999- 2000	\$134,037,997	\$91,536,165	\$225,574,162
1998- 1999	\$102,925,077	\$107,619,586	\$210,544,663
1997- 1998	\$84,718,016	\$85,276,615	\$169,994,631
1996- 1997	\$81,892,963	\$67,425,874	\$149,318,837

Source: UW Office of Development

This graph compares the current fiscal year's contribution totals to each of the previous nine fiscal year's contribution totals.

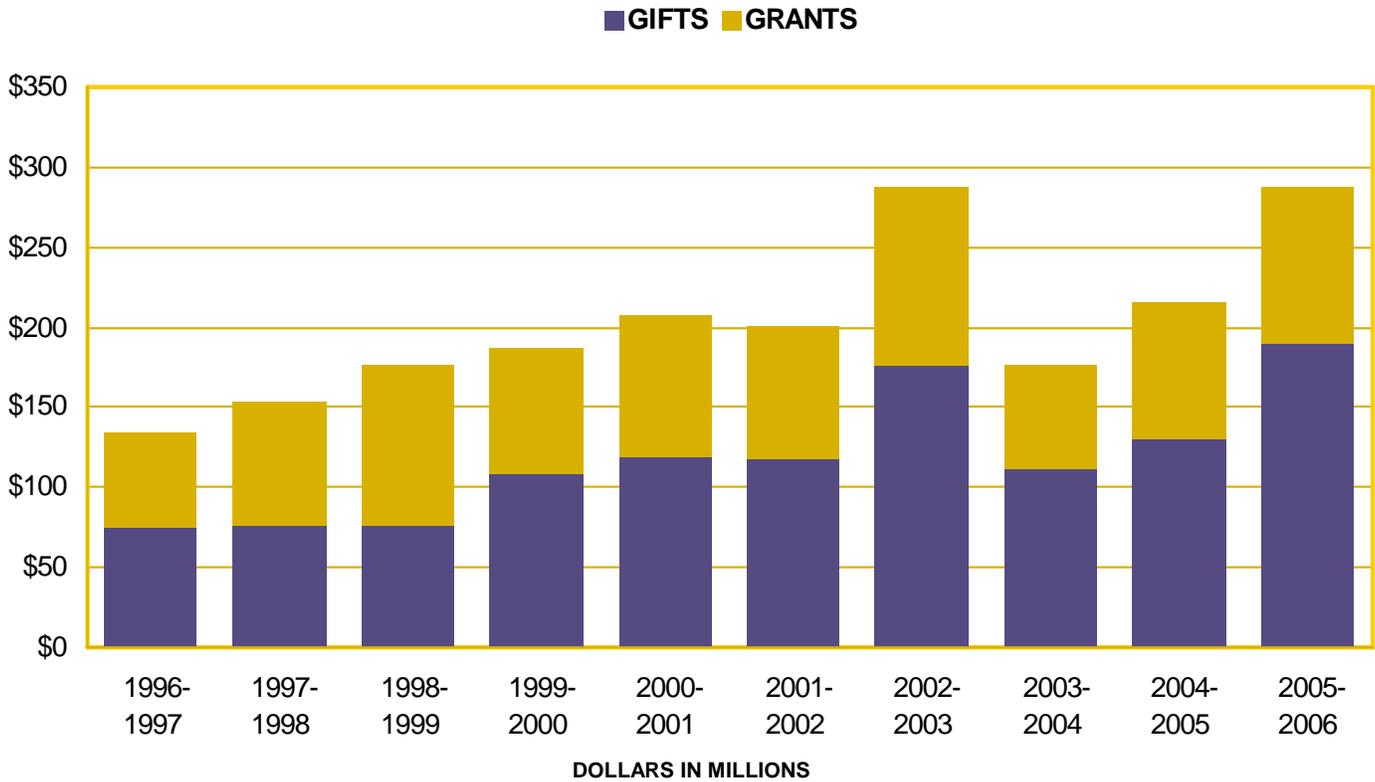
Job Number: 70821

May 2006

Fiscal Year Totals Graph



YEAR TO DATE CONTRIBUTION TOTALS



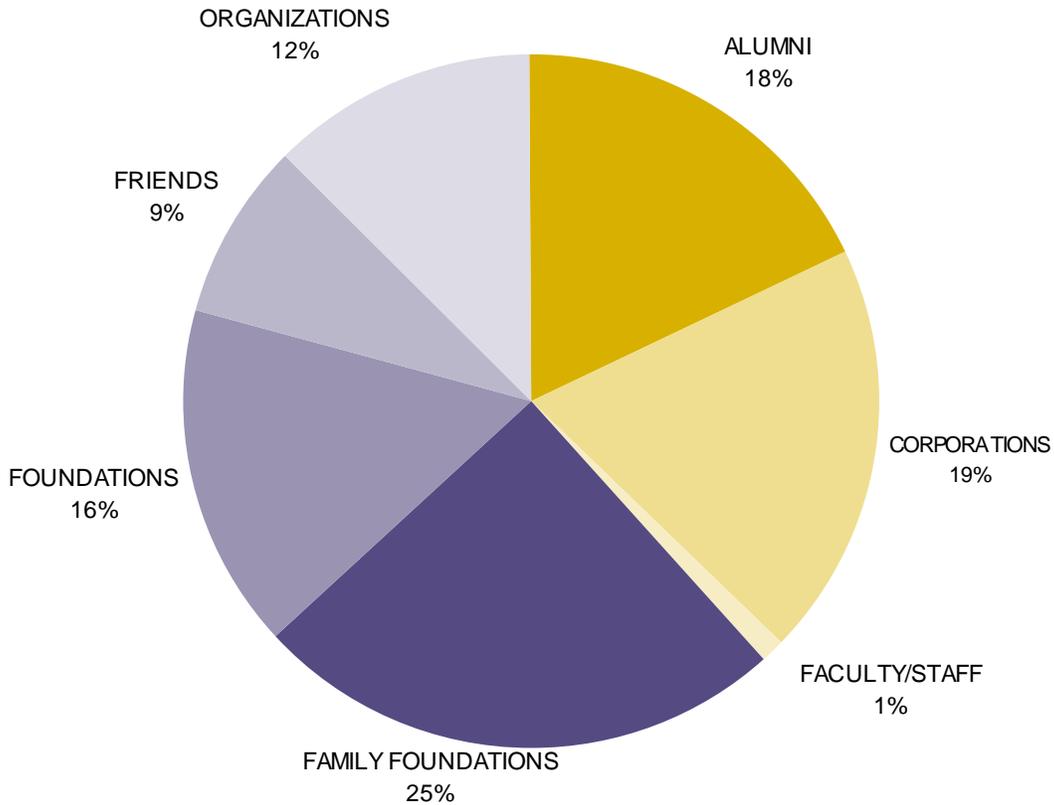
FISCAL YEAR	GIFTS	GRANTS	TOTAL CONTRIBUTIONS
2005- 2006	\$190,290,088	\$96,707,180	\$286,997,267
2004- 2005	\$130,236,981	\$85,036,054	\$215,273,035
2003- 2004	\$110,607,045	\$65,698,478	\$176,305,523
2002- 2003	\$176,755,934	\$111,251,784	\$288,007,718
2001- 2002	\$118,125,206	\$82,636,715	\$200,761,921
2000- 2001	\$119,218,804	\$88,148,568	\$207,367,372
1999- 2000	\$108,698,852	\$78,589,207	\$187,288,059
1998- 1999	\$75,706,933	\$100,303,872	\$176,010,805
1997- 1998	\$75,716,002	\$77,814,496	\$153,530,498
1996- 1997	\$74,063,976	\$60,592,327	\$134,656,303

Source: UW Office of Development

This graph compares the current fiscal year's contribution totals to each of the previous nine fiscal year's contribution totals.



DEVELOPMENT ACTIVITY BY DONOR TYPE IN CURRENT FISCAL YEAR



DONOR TYPE	YEAR TO DATE		PRIOR YEAR TO DATE		PRIOR FISCAL YEAR	
	Donors	Value	Donors	Value	Donors	Value
Alumni	44,881	\$51,637,646	43,712	\$37,890,591	49,406	\$45,066,818
Corporations	3,059	\$55,315,433	3,239	\$51,741,478	3,775	\$61,273,024
Faculty/Staff	3,225	\$2,712,760	3,202	\$3,992,171	3,656	\$4,572,200
Family Foundations	168	\$71,683,311	171	\$14,822,271	176	\$20,706,692
Foundations	420	\$45,617,105	371	\$49,889,002	398	\$60,401,770
Friends	31,467	\$24,473,723	30,431	\$25,044,828	37,429	\$28,361,471
Organizations	511	\$35,557,290	486	\$31,892,693	553	\$40,390,320

Source: UW Office of Development

This graph shows the sources of contributions for the current year to date. Dollar values are based on donor value.

Job Number: 70821
 May 2006

Development Activity by Donor Type in Current Fiscal Year Chart



VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Grant and Contract Awards – May, 2006

RECOMMENDED ACTION:

It is the recommendation of the administration and the Finance and Audit Committee that the Board of Regents accept the Grant and Contract Awards as presented on the attached list.

Attachment: Grant and Contract Awards Summary
Report of Grant and Contract Awards of
\$1,000,000 or More

Grant and Contract Awards Summary

to

The Board of Regents

of the

University of Washington

for

May 2006

Office of Research

Office of Sponsored Programs

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MAY HIGHLIGHTS

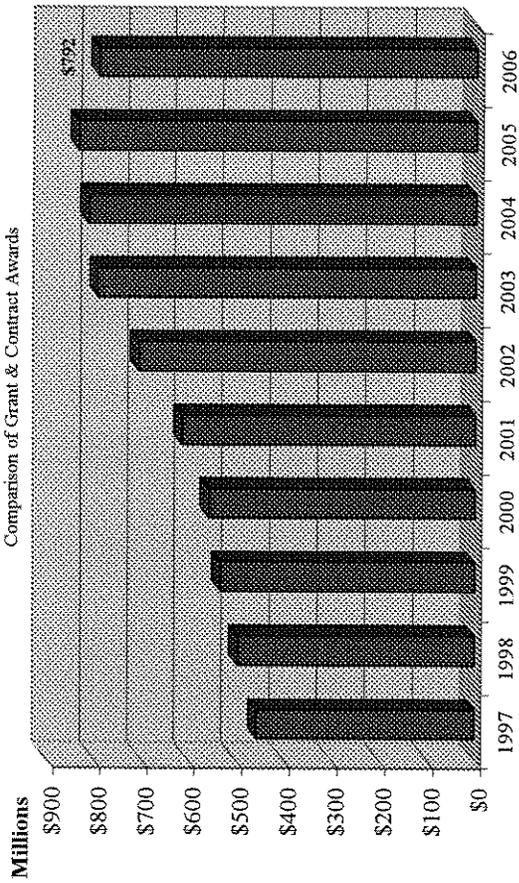
The Axio Research Corporation awarded a grant in the amount of \$284,729 for initial funding of an approximately two million dollar project under the direction of Professor Jeffrey L/ Probstfield of the Department of Medicine entitled "Atherothrombosis Intervention..." Coronary heart disease remains the leading cause of death and disability in the Western world. The economic burden of treating this and associated conditions is extremely costly. The investigators hope to demonstrate combined niacin plus statin therapy will lead to a significant approach to optimizing event-free survival in a large and growing population of high risk patients for whom current treatment is less than adequate.

The National Science Foundation awarded a grant in the amount of \$315,255 for a project under the direction of Research Professor Gerritt J. Van Den Engh of the School of Oceanography entitled "A Micro-Plankton Detector For Deployment In The Marine Environment." The purpose of this project is to develop a commercial detector for identifying and counting marine plankton that will be integrated into an instrument for extended use at sea. The detector will be supplemented with position sensitive detectors that sense the position of particles that flow through its observation area. Small particle detection is an essential aspect of a plankton counting system. The new detection system will be at least two orders of magnitude more sensitive than the older design. With the new detector the smallest and dimmest cultures will be well separated from background interference caused by scattered laser light.

The Health Resources and Services Administration (HRSA) awarded a grant in the amount of \$2,000,000 for initial funding of a five year project under the direction of Professor King K. Holmes of the Department of Medicine entitled "University of Washington/Coptic Hospital Collaborative HIV-1-Care." The purpose of this project is to undertake the improvement of care of people living with HIV/AIDS in heavily affected and low resource areas outside the United States by increasing the capacity for training of care providers including physicians, nurses, clinical administrators and other key personnel. By the end of the project period, training and technical assistance activities undertaken will serve the goal of expanding human and systemic capacity for the effective and efficient delivery of HIV/AIDS care and treatment in the countries and regions to which HRSA directs its activities.

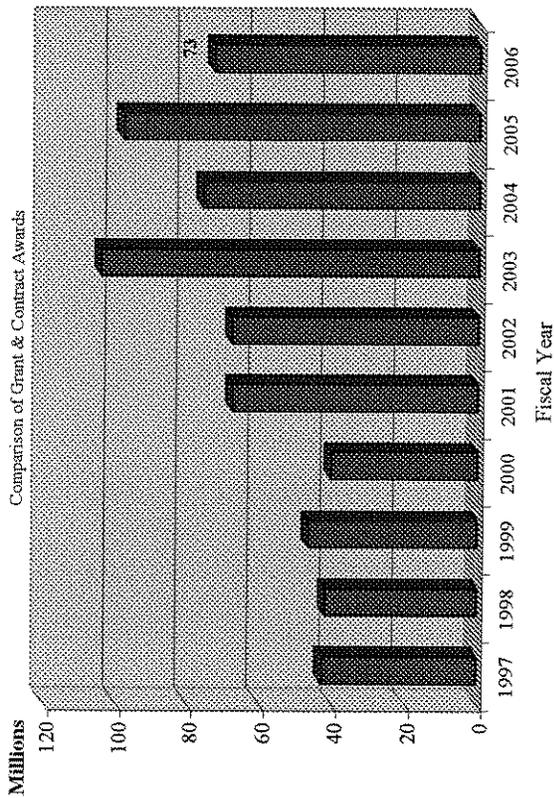
YEAR-TO-DATE

Comparison of Grant & Contract Awards



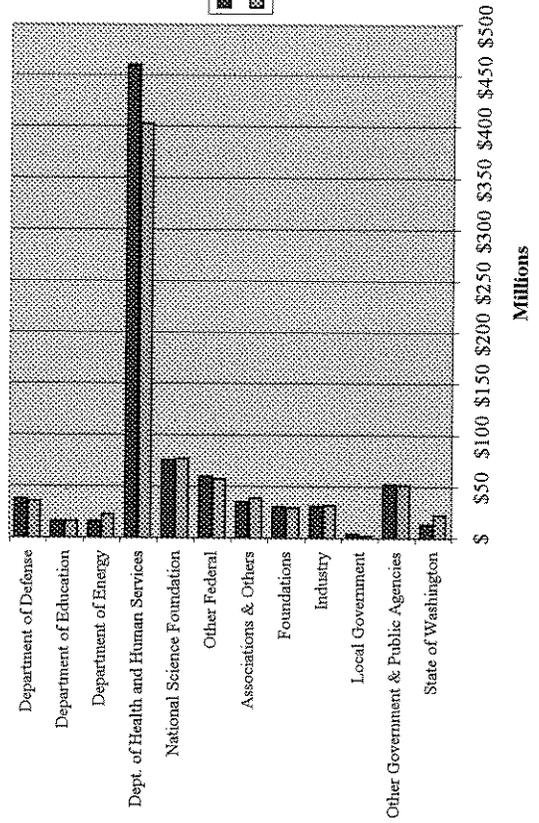
MAY ONLY

Comparison of Grant & Contract Awards



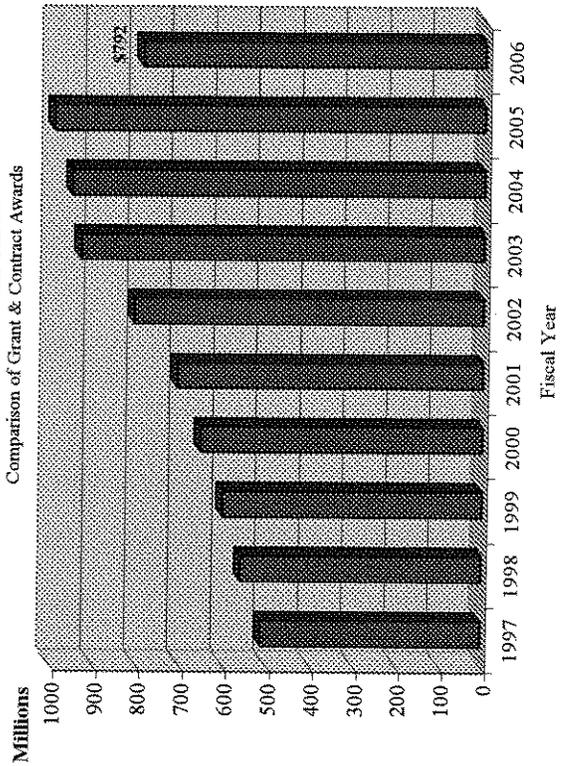
JULY THROUGH MAY

Two Year Comparison of Grant & Contract Awards



COMPLETE FISCAL YEAR

Comparison of Grant & Contract Awards



Summary of Grant and Contract Awards
Fiscal Year 2005-2006

Month	RESEARCH		TRAINING		Total
	Federal	Non-Federal	Federal	Non-Federal	Grants and Contracts
July	\$66,463,818	\$6,444,105	\$7,164,851	\$1,418,430	\$81,491,204
August	\$82,136,975	\$15,186,181	\$6,605,300	\$6,661,367	\$110,589,823
September	\$66,526,770	\$14,825,079	\$15,124,518	\$3,290,200	\$99,766,567
October	\$53,095,542	\$15,762,787	\$6,572,165	\$3,939,508	\$79,370,002
November	\$16,529,650	\$13,396,926	\$11,726,933	\$2,978,338	\$44,631,847
December	\$10,773,984	\$17,755,025	\$493,868	\$4,542,319	\$33,565,196
January	\$24,587,503	\$14,716,842	\$723,481	\$1,596,296	\$41,624,122
February	\$31,412,762	\$11,985,384	\$6,256,413	\$1,141,717	\$50,796,276
March	\$80,208,568	\$13,829,789	\$1,873,339	\$2,627,929	\$98,539,625
April	\$64,382,981	\$10,135,071	\$1,001,866	\$3,228,766	\$78,748,684
May	\$53,269,846	\$10,896,339	\$6,553,716	\$2,201,531	\$72,921,431
June					
Current Year to Date	\$549,388,399	\$144,933,527	\$64,096,450	\$33,626,401	\$792,044,777
Previous Year to Date	\$560,103,156	\$142,333,123	\$106,166,539	\$26,529,674	\$835,132,492
Over (Under) Previous Year	(\$10,714,757)	\$2,600,404	(\$42,070,089)	\$7,096,727	(\$43,087,715)

Assuming acceptance of all awards by the Board of Regents

Comparison of Grant and Contract Awards by Agency
Fiscal Years 2004-2005 and 2005-2006

Agency	July-May FY05	July-May FY06
Department of Defense	\$37,769,272	\$36,220,883
Department of Education	\$16,099,924	\$16,123,753
Department of Energy	\$16,199,724	\$23,547,820
Dept. of Health and Human Services	\$460,907,086	\$403,569,848
National Science Foundation	\$75,558,784	\$76,885,253
Other Federal	\$59,734,905	\$57,137,292
Subtotal for Federal:	\$666,269,695	\$613,484,849
Associations .Others	\$35,240,372	\$39,415,180
Foundations	\$30,882,586	\$29,550,369
Industry	\$31,721,885	\$32,388,772
Local Government	\$4,680,404	\$2,676,598
Other Government and Public Agencies	\$52,430,106	\$51,748,900
State of Washington	\$13,907,444	\$22,780,109
Subtotal for Non-Federal:	\$168,862,797	\$178,559,927
Grand Total :	\$835,132,492	\$792,044,777
Amount of Increase (Decrease):		(\$43,087,715)
Percent of Increase (Decrease):		-5.2%

Assuming acceptance of all awards by the Board of Regents

Comparison of Grant and Contract Awards by School/College
Fiscal Years 2004-2005 and 2005-2006

School/College	July-May FY05	July-May FY06
Upper Campus		
Architecture and Urban Planning	\$823,063	\$3,908,233
Arts and Science	\$67,310,527	\$68,156,908
Business Administration	\$396,250	\$421,240
Education	\$11,823,514	\$11,316,281
Engineering	\$67,292,553	\$84,352,405
Evans School of Public Affairs	\$8,925,167	\$3,596,429
Forest Resources	\$6,064,729	\$6,951,212
Graduate School	\$4,049,203	\$4,532,453
Information School	\$1,379,930	\$1,321,326
Law	\$2,306,775	\$440,715
Ocean and Fishery Sciences	\$69,363,689	\$70,724,014
Office of Research	\$16,485,207	\$16,962,712
Social Work	\$15,738,896	\$14,849,981
Undergraduate Education	\$141,666	\$117,165
Subtotal :	\$272,101,169	\$287,651,074
Health Sciences		
Dentistry	\$13,246,141	\$11,218,104
Medicine	\$401,542,196	\$372,301,575
Nursing	\$12,541,287	\$15,385,875
Pharmacy	\$13,602,820	\$9,948,692
Public Health and Community Medicine	\$72,247,051	\$68,810,521
Subtotal :	\$513,179,495	\$477,664,767
Special Programs		
Health Sciences Special Programs	\$36,756,206	\$16,482,885
Other Special Programs	\$10,924,949	\$8,131,427
Subtotal :	\$47,681,155	\$24,614,312
Branch Campuses		
Branch Campuses (Bothell)	\$1,782,187	\$1,513,636
Branch Campuses (Tacoma)	\$388,486	\$600,987
Subtotal :	\$2,170,673	\$2,114,623
Grand Total :	\$835,132,492	\$792,044,777

Assuming acceptance of all awards by the Board of Regents

List of Awards for Arts and Sciences

Department	July-May FY05	July-May FY06
Center for Humanities	\$0	\$100,000
Center for Statistics and the Social Sciences	\$876,482	\$1,410,420
Center for Studies in Demography and Ecology	\$1,474,457	\$1,319,368
College of Arts and Sciences	\$1,150,692	\$0
Department of Anthropology	\$334,398	\$1,800,429
Department of Applied Mathematics	\$522,378	\$981,664
Department of Asian Languages and Literature	\$10,000	\$140,000
Department of Astronomy	\$2,044,980	\$2,140,554
Department of Atmospheric Sciences	\$5,051,157	\$5,064,521
Department of Biology	\$4,691,333	\$7,362,366
Department of Chemistry	\$16,487,788	\$12,823,437
Department of Classics	\$0	\$30,000
Department of Communication	\$889,500	\$1,135,181
Department of Earth and Space Sciences	\$7,718,232	\$6,198,846
Department of Economics	\$18,052	\$7,250
Department of Geography	\$364,528	\$20,596
Department of Germanics	\$36,000	\$44,000
Department of History	\$120,000	\$354,000
Department of Mathematics	\$4,019,888	\$1,360,159
Department of Near Eastern Languages & Civilization	\$2,000	\$0
Department of Physics	\$10,309,356	\$8,872,137
Department of Political Science	\$290,964	\$349,966
Department of Psychology	\$5,869,007	\$7,497,796
Department of Scandinavian Languages and Literature	\$27,273	\$0
Department of Speech and Hearing Sciences	\$683,283	\$1,260,628
Department of Statistics	\$1,204,702	\$1,074,320
Halbert Robinson Center for the Study of Capable Y	\$0	\$500
Henry M. Jackson School of International Studies	\$2,127,289	\$1,650,641
Institute for Nuclear Theory	\$195,000	\$4,416,700
Language Learning Center	\$0	\$20,274
Public Performing Arts	\$73,390	\$98,350
School of Art	\$127,400	\$0
School of Music	\$11,180	\$0
Society and Justice Program	\$0	\$30,000
Sociology	\$22,718	\$410,396
Thomas Burke Memorial Washington State Museum	\$554,145	\$182,409
Undergraduate Studies	\$2,955	\$0
	\$67,310,527	\$68,156,908

Assuming acceptance of all awards by the Board of Regents

Summary of Grant Awards

Fiscal Year 2005-2006

(Excluding Private Awards from Foundations, Industry, Associations and Other)

Month	RESEARCH		TRAINING		Total Grants
	Federal	Non-Federal	Federal	Non-Federal	
July	\$63,379,387	\$2,308,194	\$7,159,851	\$368,324	\$73,215,756
August	\$81,212,598	\$5,167,397	\$6,185,083	\$1,116,369	\$93,681,447
September	\$64,172,453	\$4,008,427	\$14,974,336	\$859,951	\$84,015,167
October	\$47,210,635	\$4,970,887	\$5,793,886	\$1,715,466	\$59,690,874
November	\$13,731,096	\$5,729,102	\$10,643,700	\$435,777	\$30,539,675
December	\$10,043,736	\$5,368,829	\$414,736	\$1,628,842	\$17,456,143
January	\$19,718,642	\$3,392,647	\$225,411	\$422,201	\$23,758,901
February	\$29,373,775	\$3,282,531	\$5,484,530	\$281,087	\$38,421,923
March	\$79,617,768	\$4,941,080	\$1,537,756	\$701,378	\$86,797,982
April	\$60,724,563	\$2,512,765	\$438,459	\$1,128,617	\$64,804,404
May	\$49,582,965	\$3,624,994	\$6,184,435	\$511,898	\$59,904,292
June					
Year to Date	\$518,767,618	\$45,306,853	\$59,042,183	\$9,169,910	\$632,286,564

Assuming acceptance of all awards by the Board of Regents

Summary of Grant Awards

Fiscal Year 2005-2006

(Private Awards from Foundations, Industry, Associations and Other)

Month	RESEARCH	TRAINING	Total Grants
July	\$2,778,247	\$341,543	\$3,119,790
August	\$6,311,912	\$2,075,600	\$8,387,512
September	\$6,422,372	\$2,206,643	\$8,629,015
October	\$6,012,089	\$1,082,512	\$7,094,601
November	\$5,856,127	\$2,115,378	\$7,971,505
December	\$9,813,819	\$2,757,138	\$12,570,957
January	\$9,616,281	\$674,697	\$10,290,978
February	\$6,409,330	\$466,196	\$6,875,526
March	\$5,147,729	\$1,082,529	\$6,230,258
April	\$3,187,789	\$1,470,011	\$4,657,800
May	\$5,013,454	\$905,098	\$5,918,552
June			
Year to Date	\$66,569,147	\$15,177,345	\$81,746,492

Assuming acceptance of all awards by the Board of Regents

Summary of Contract Awards

Fiscal Year 2005-2006

Month	RESEARCH		TRAINING		Total Contracts
	Federal	Non-Federal	Federal	Non-Federal	
July	\$3,084,431	\$1,357,664	\$5,000	\$708,563	\$5,155,658
August	\$924,377	\$3,706,872	\$420,217	\$3,469,398	\$8,520,864
September	\$2,354,317	\$4,394,280	\$150,182	\$223,606	\$7,122,385
October	\$5,884,907	\$4,779,812	\$778,279	\$1,141,530	\$12,584,528
November	\$2,798,554	\$1,811,697	\$1,083,233	\$427,183	\$6,120,667
December	\$730,248	\$2,572,377	\$79,132	\$156,339	\$3,538,096
January	\$4,868,861	\$1,707,914	\$498,070	\$499,398	\$7,574,243
February	\$2,038,987	\$2,293,523	\$771,883	\$394,434	\$5,498,827
March	\$590,800	\$3,740,980	\$335,583	\$844,022	\$5,511,385
April	\$3,658,418	\$4,434,517	\$563,407	\$630,138	\$9,286,480
May	\$3,686,881	\$2,257,891	\$369,281	\$784,535	\$7,098,588
June					
Year to Date	\$30,620,781	\$33,057,527	\$5,054,267	\$9,279,146	\$78,011,721

Assuming acceptance of all awards by the Board of Regents

**Report of Grant and Contract Awards
Of \$1,000,000 or More**

May 2006

**Requiring Action of
The Board of Regents**

**of the
University of Washington**

**Office of Research
Office of Sponsored Programs**

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Detail of Grant Awards (Excluding Private Awards) for
May 2006

FEDERAL

DEPARTMENT OF ENERGY

U.S. Department of Energy

To: ALAN L. HOFFMAN, PROFESSOR \$1,600,000
DEPARTMENT OF AERONAUTICS AND ASTRONAUTICS
For: ROTATING MAGNETIC FIELD GENERATION AND SUSTAINMENT OF FRCS USING TCS/UPGRADE

Eff: 1/7/2001

Department of Energy Subtotal:

\$1,600,000

DEPT. OF HEALTH AND HUMAN SERVICES

Centers for Disease Control

To: GRACE JOHN-STEWART, ASSOCIATE PROFESSOR \$1,220,000
DEPARTMENT OF MEDICINE
For: UNIVERSITY OF WASHINGTON/COPTIC HOSPITAL COLLABORATIVE HIV-1 CARE

Eff: 4/1/2006

Health Resources and Services Administration

To: KING K. HOLMES, PROFESSOR \$2,000,000
DEPARTMENT OF MEDICINE
For: INTERNATIONAL AIDS EDUCATION AND TRAINING CENTER

Eff: 4/1/2006

National Institutes of Health

To: HARVEY CHECKOWAY, PROFESSOR \$2,635,030
DEPARTMENT OF ENVIRONMENTAL HEALTH
For: EFFECT-RELATED BIOMARKERS OF TOXIC EXPOSURE

Eff: 4/1/2006

To: JAMES I. MULLINS, PROFESSOR \$1,801,614
DEPARTMENT OF MICROBIOLOGY
For: SEATTLE PRIMARY INFECTION PROGRAM: IMMUNOLOGY AND VIROLOGY OF ACUTE HIV INFECTION

Eff: 4/1/2006

**Detail of Grant Awards (Excluding Private Awards) for
May 2006**

To: ROBERT E. BRAUN, ASSOCIATE PROFESSOR \$1,697,334
GENOME SCIENCES
For: SCCPRR: CLINICAL AND BASIC STUDIES IN MALE REPRODUCTION

Eff: 4/1/2006

To: STANLEY C. FROEHNER, PROFESSOR/CHAIR \$1,323,843
DEPARTMENT OF PHYSIOLOGY AND BIOPHYSICS
For: MOLECULAR AND CELLULAR THERAPIES FOR MUSCULAR DYSTROPHY

Eff: 4/1/2006

Dept. of Health and Human Services Subtotal: \$10,677,821

OTHER FEDERAL

U.S. Department of Commerce

To: LOUIE S. ECHOLS, DIRECTOR \$2,617,800
WASHINGTON SEA GRANT PROGRAM
For: WSG: PROGRAM PLAN FOR RENEWAL OF SEA GRANT COLLEGE SUPPORT, 2004-2006

Eff: 6/1/2005

Other Federal Subtotal: \$2,617,800

Total for Federal: \$14,895,621

Detail of Private Grant Awards for
May 2006

PRIVATE

FOUNDATIONS

Gordon and Betty Moore Foundation

To: BABAK AMIRPARVIZ, ASSISTANT PROFESSOR \$1,545,338
DEPARTMENT OF ELECTRICAL ENGINEERING
For: PDA-SIZED REAL-TIME DNA SEQUENCING FOR FIELD MICRO-BIOLOGY AND OTHER APPLICATIONS
(ELECTRONIC SEQUENCING OF DNA)
Eff: 3/16/2006

Foundations Subtotal:

\$1,545,338

Total for Private:

\$1,545,338

Detail of Contract Awards for
May 2006

FEDERAL

DEPARTMENT OF DEFENSE

U.S. Department of the Navy

To: JAN A. NEWTON, AFFILIATE ASSISTANT PROFESSOR \$1,685,000
APPLIED PHYSICS LABORATORY
For: HOOD CANAL LOW DISSOLVED OXYGEN PROGRAM-INTEGRATED ASSESSMENT AND MODELING

Eff: 2/18/2005

Department of Defense Subtotal:

\$1,685,000

Total for Federal:

\$1,685,000

Total Awards \$1,000,000 or Greater:

\$18,125,959

VII. STANDING COMMITTEE**B. Finance, Audit and Facilities Committee****UW Bothell/CCC South Campus Access – Deed and Easement To Be Granted To Washington State Department of Transportation****RECOMMENDED ACTION:**

It is the recommendation of the Finance, Audit and Facilities Committee that the Board of Regents authorize the President or his designee to execute a Statutory Warranty Deed and Easement transferring certain ownership and possessory rights on the UW Bothell/Cascadia (CCC) property to the Washington State Department of Transportation for the new south campus access to the UW Bothell/CCC campus.

BACKGROUND:

Local ordinance currently prohibits the Bothell campus from growing beyond 3,000 FTE students. To exceed 3,000, the campus must have a new south access connection road, which will become a City of Bothell street, and will connect to the new I-405 / SR 522 South Access Interchange (see attached plans). The deed and easement will grant permanent rights to the Washington State Department of Transportation to allow roadway construction consistent with the anticipated design of the south access connection road, and the Interchange.

Once the access road is constructed, the campus will be allowed to expand enrollment to 10,000 FTE students. Construction is scheduled to occur January 2007 through September 2008.

The proposed deed and easement are considered within the campus master plan, and have been reviewed and approved by the administration at UW Bothell/CCC.

The Deed and Easement have been recommended for approval by the Executive Vice President and the Director of Real Estate.

Attachments: Aerial Photo
Survey Plan

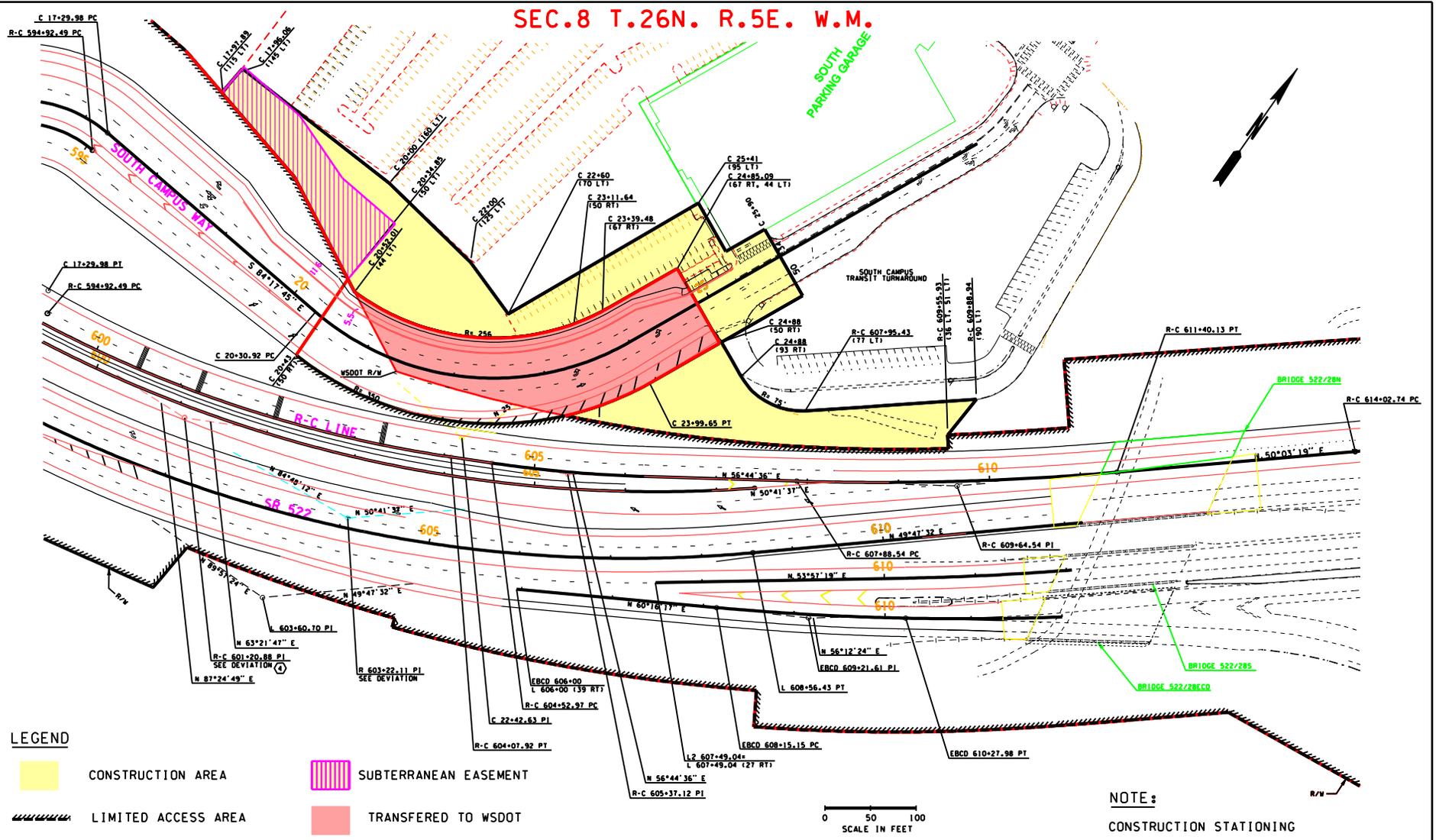
Proposed Improvements



SR522 South Access – University of Washington, Bothell and Cascadia Community College Campus

SEC. 8 T. 26N. R. 5E. W.M.

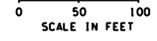
SOUTH
PARKING GARAGE



LEGEND

- CONSTRUCTION AREA
- SUBTERRANEAN EASEMENT
- LIMITED ACCESS AREA
- TRANSFERRED TO WSDOT

NOTE:
CONSTRUCTION STATIONING



FILE NAME *****DESIGNFILENAME*****		REGION NO.	STATE	FED. AID PROJ. NO.			SR 522 UNIVERSITY OF WASHINGTON BOTHELL CASCADIA CC CAMPUS SOUTH ACCESS EXHIBIT A	PLOT 2
TIME	DATE	10	WASH					SHEET OF SHEETS
DESIGNED BY S. LEMMONS		JOB NUMBER		CONTRACT NO.	DATE	P.E. STAMP BOX		
ENTERED BY A. NGUYEN				LOCATION NO.				
CHECKED BY G. MCKEE								
PROJ. ENGR. D. EDWARDS								
REGIONAL ADM.	REVISION	DATE	BY					

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority**Reported to the Finance, Audit & Facilities Committee
July 20, 2006**

Pursuant to the Standing Orders of the Board of Regents, Delegation of Authority, and to the delegation of authority from the President of the University to the Executive Vice President in Executive Order No. 1, to take action for projects or contracts that exceed \$1,000,000 in value or cost but are less than \$5,000,000, the Administration may approve and execute all instruments.

**Project Name: 1932 Pacific Avenue, Tacoma
Action Reported: Purchase of Property**

The University has contracted to purchase property at 1932 Pacific Avenue within the UW Tacoma campus master plan boundaries, with a closing date of July 31, 2006. The property is referred to as the "Artist Lofts Building" and is being purchased from Graham and Carole Fenton for \$3.5 million, with a portion to be gifted in the form of a bargain-sale. The contract was signed under delegated authority of September 17, 1990 for purchases of property within the Tacoma campus boundaries. Funds for this acquisition were appropriated in the 2006 special session.

**Project Name: School of Medicine Equipment
Action Reported: Interest Rate on Capital Lease**

On July 10, 2006, the School of Medicine entered into a capital lease with Bank of America to finance \$1.7 million in medical equipment at the Brotman Building in South Lake Union. This transaction was completed under general delegated authority. The term of the lease is 7 years and the tax-exempt interest rate was 3.818%.

UWMC Surgery Pavilion 1102 Vascular/ISIS Suite, Project No. 200906

Action Reported: Separation of a Single Project into Two Projects and Establishment of Budgets

On April 4, 2006, an agreement for architectural services was awarded to NBBJ in the amount of \$2,730,840 for the UWMC Surgery Pavilion 1102 Vascular/Institute for Surgical and Interventional Simulation (ISIS) Suite project, as was reported to the Board of Regents in May 2006.

The Vascular Clinic has an urgent completion requirement that is earlier than ISIS. It was determined that the most efficient and effective means for constructing the Vascular and ISIS Suite improvements was to divide the work into separate projects, because each

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority (continued p. 2)

improvement has separate funding and schedule needs. The separation is primarily for purposes of completion construction documents and starting construction earlier for Vascular Clinic. The architect will remain the same; however, the ISIS work will be performed on a separate consultant contract.

In June 2006, the project was separated into two projects: Vascular Clinic and ISIS Suite. Separate budgets have been established for each project; Vascular was established at \$1,714,408 and ISIS Suite was established at \$1,016,432. The original total budgeted amount remains unchanged. In the future, the projects will be reported separately.

The Vascular Clinic is approximately 6,500 square feet of tenant improvements in a shelled space of the UWMC Surgery Pavilion. It includes a conference room/backup UWMC emergency operations command center as part of the project. Design began in November 2005; the project is anticipated to advertise for bids in August 2006, and is anticipated to be complete in February 2007. The project budget for the Vascular project is established at \$1,714,408. Funding is available from the University of Washington Medical Center patient revenues.

The ISIS Suite project, also in the Surgery Pavilion, is approximately 2,500 square feet of tenant improvements to create a venue for surgical planning and the training of surgeons and residents, using simulation equipment. Design began in November 2005; the project is anticipated to advertise for bids in October 2006, and is anticipated to be complete in June 2007. The project budget for the ISIS project is established at \$1,016,432. Funding is available from the University of Washington Medical Center patient revenues.

Budget Summary:	Current Approved Budget	Vascular Revised Budget (6/22/06)	ISIS Revised Budget (6/22/06)
Total Consultant Services	\$354,556	\$231,757	\$122,799
Total Construction Cost	\$2,149,004	\$1,330,356	\$788,648
Other Costs	\$22,735	\$16,486	\$6,249
Project Administration	\$204,545	\$135,809	\$98,736
Total Project Budget	\$2,730,840	\$1,714,408	\$1,016,432

Magnuson Health Sciences Center AA Wing Minus One MRI Replacement Project, Project No. 4433

Action Reported: Budget Adjustment

In July 2004, Taylor Gregory Butterfield Architects, under their master agreement dated February 23, 2004, provided a feasibility study to remodel of the Minus One level of the AA Wing for the Department of Radiology. Based on findings from the feasibility study, the project budget was established at \$2,537,668. This was reported to the Regents in

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority (continued p. 3)

February 2005. The new project budget is \$1,454,895 and reflects a reduction in the scope of work.

The original project would have replaced an existing 1.5T Magnetic Resonance Imaging unit (MRI) with two new MRIs: 1.5T MRI and a 3.0T MRI. The work included demolition, new finishes, new casework, replacement of radio frequency shielding, additional work updating adjacent spaces, fire safety system, HVAC, lighting and electrical upgrades, and replacement of the MRI units and supporting equipment.

Since the project budget was first established, Preliminary Design was completed. The estimated cost based on that effort was greater than the Department chose to fund. The project was put on hold until a substantially reduced scope could be developed. On May 1, 2006, the consultant was authorized to develop a revised reduced scope. The new project scope will replace the existing 1.5T MRI with a 3.0T MRI. Only the supporting equipment, shielding and fire safety systems will be modified as part of the project. The new project budget is \$1,454,895 and reflects the current reduced scope.

The project has now re-commenced design and is in the Construction Document phase. Construction is projected to start in September 2006 and is expected to be completed by the end of 2006.

The project is currently funded for work through design with \$450,000 (\$35,000 from Radiology Research and Training, and \$415,000 from Program Renewal 2001-2003 biennium). The balance of funding is expected to be funded by the Department of Radiology prior to bidding the construction work.

Budget Summary	Original Budget Approved in February 2005	Current Budget
Total Consultant Services	\$ 364,943	\$ 349,774*
Total Construction Cost	\$1,929,056	\$ 896,784
Other Costs	\$ 48,747	\$ 70,769
Project Administration	\$ 194,885	\$ 137,568*
Total Project Budget	\$2,537,668	\$1,454,895

*NOTE: The current budget includes \$122,552 of professional services and \$20,000 in project management fees previously spent developing the original scope of work between 2002 and 2005.

Communications Building Exterior Masonry Repairs, Project No. 10323
Action Reported: Contract Award /Budget Adjustment

In February 2005, the project budget was established at \$2,421,159 and reported to the Regents. In April 2006, we concluded a series of value engineering work which reduced

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority (continued p. 4)

the project to \$1,820,863. On May 15, 2006, a construction contract was awarded to Pioneer Masonry Restoration Company, Inc., of Seattle, in the amount of \$1,093,698, including three additive alternates for the Communications Building Exterior Masonry Repair Project.

Two bids were received for the project; the second bid was \$1,331,500. The budgeted construction cost was \$1,103,000. Because the base bid came in below the budgeted amount, all bid alternates were executed. The base bid was \$916,283, and the total of the alternates was \$177,415

Pioneer Masonry Restoration Company, Inc., is contractor specializing in masonry restoration projects. They have performed work in the past for the University and other owners in the region both as a general contractor and as a subcontractor. In the last five years, Pioneer has completed masonry repair work for Johnson, Denny and Mary Gates Halls, as well as the Suzzallo Library on the Seattle Campus. Pioneer has also completed numerous projects for the State of Washington including multiple phases of the Legislative Building Restoration in Olympia.

This project repairs an incorrectly installed parapet flashing in the original 1950 building construction. It will remove and replace the upper courses of the perimeter brick parapet walls to allow installation of a waterproof wall flashing. The project will also abate an asbestos-containing sealant in terra cotta window sills, selectively re-point and patch weathered brick and terra cotta wall elements, and clean and seal the entire masonry facade of the building. Construction work began June 12, 2006 and is expected to be substantially complete in December 2006.

The project is funded by the 2005-2007 Building Renewal Program.

Budget Summary:	Original Budget	Current Budget
Total Consultant Svcs	\$280,342	\$240,166
Total Construction Cost*	\$1,911,552	\$1,396,776
Other Costs	\$70,824	\$58,826
Project Administration	\$158,441	\$125,095
Total Project Budget	\$2,421,159	\$1,820,863

*includes construction contract amount, construction contingency and state sales tax.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority (continued p. 5)

Wilcox Hall Infrastructure Upgrades, Project No. 10614

Action Reported: Contract Award

On May 18, 2006, a construction contract was awarded to Western Ventures Construction, Inc., in the amount of \$1,163,400, including two additive alternates, for the Wilcox Hall Infrastructure Upgrades project.

Three bids were received for the project; the high bid was \$1,273,458. The budgeted construction cost was \$1,172,100. Because the bids came in well below budget, all bid alternates were executed. The base bid was \$874,900 and the total of the alternates was \$288,500.

Western Ventures is located in Mountlake Terrace. Past projects that they have completed for the University include Johnson Hall QRC, UWMC PET/CT, HMC Elevator Upgrades, UWMC Comparative Medicine, Lander Hall Communications and Stevens Court.

The project scope includes replacing five air handling units, and adds a local modular air cooled chiller with process chilled water loop serving each floor. It also includes installation of self acting thermostats throughout the building, as well as a dedicated HVAC unit for a second floor conference room. Western Ventures will commence work in July 2006 and be complete in December 2006.

Building Renewal Budgets for 03-05 and 05-07 will fund \$887,721, and the College of Engineering Research Initiative Budget will fund the remaining \$972,953.

Budget Summary:	Current Approved Budget	Forecast/Actual
Total Consultant Svcs	\$258,584	\$258,584
Total Construction Cost*	\$1,392,357	\$1,392,357
Other Costs	\$70,810	\$70,810
Project Administration	\$136,236	\$136,236
Total Project Budget	\$1,860,674	\$1,860,674

*includes construction contract, construction contingency and state sales tax.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority (continued p. 6)

Meany Theater 164 Dimming System, Project No. 200350

Action Reported: Engineer Appointment/Establish Project Budget

On June 14, 2006, an engineering agreement in the amount of \$201,400 was awarded to Sparling Engineers under their Master Agreement for the design of the Meany Theater Dimming System, and the budget was established at \$2,940,668.

The fee for this contract is \$201,400. The balance of the design budget is intended for hazardous material design, existing conditions survey, a previously completed pre-design report, construction testing and other miscellaneous design services.

Founded in Seattle more than 59 years ago, Sparling is the largest specialty electrical engineering and technology consulting firm in the United States. The firm's 145 local professionals have worked on many UW projects in addition to numerous local theater lighting projects.

The project will replace the failing stage and auditorium lighting control with a reliable and programmable system. It also includes assessment and replacement of damaged wiring currently out of code, replaces existing drop boxes with new cable, adds circuit raceways on stage to serve overhead electrical fixtures with multi-circuit cables, and installs additional overhead circuits.

The project budget is \$2,940,668. The project is currently funded with \$1,500,000 from 2005-2007 Arts and Sciences (A&S) Program Renewal Funds and \$1,000,000 from 2005-2007 Central Administration Capital Reserves. The remaining \$440,668 will be funded from the 2007-2009 A&S Program Renewal allocation.

Budget Summary:	Current Apprv'd Budget	Forecast Cost At Completion
Total Consultant Svcs	\$331,768	\$331,768
Total Construction Cost*	\$2,255,076	\$2,255,076
Other Costs	\$145,685	\$145,685
Project Administration	\$208,139	\$208,139
Total Project Budget	\$2,940,668	\$2,940,668

* Includes construction contract amount, contingencies and state sales tax.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority (continued p. 7)

Health Sciences Center T-Wing 5th Floor Teaching Space, Project No. 200669

Action Reported: Architect Appointment

On May 23, 2006, an agreement for architectural services was awarded to NBBJ Architecture for the Health Sciences Center (HSC) T-Wing 5th Floor Teaching space. Total fees for under this contract for Basic Services are expected to be approximately \$160,000. The total budget for design services is estimated to be approximately \$494,000 including hazardous materials design, programming, furniture design and specialty consultants.

On March 1, 2006, the Capital Projects Office advertised for firms interested in providing architectural services for two projects, the HSC T-Wing 5th Floor Teaching Space and the T-439 Lecture Hall. Three firms responded to the Request for Qualifications. The three firms were interviewed on May 5, 2006, and NBBJ was selected. The firms not selected were Schreiber Starling and Lane Architects, and Integrus Architecture.

NBBJ Architecture has an extensive history at the University of Washington and at a national level working at educational facilities. Recent projects at the University of Washington include the UWMC Surgery Pavilion and UWMC Vascular Lab Renovation.

The T-Wing 5th Floor Teaching Space and T-439 Lecture Hall projects are thought of as a single initiative to address a forecasted expansion of the academic programs for the School of Medicine. The two projects will be designed concurrently. The T-439 Lecture Hall Capacity Increase currently has a project budget of \$616,700, which is below the Regents reporting threshold.

The project encompasses the renovation of 8,500 sq. ft. of the 5th floor T-Wing teaching space for the School of Medicine to improve the teaching environment and to accommodate a forecasted increase in enrollment. The space will be reconfigured to address current teaching methods and improve the flexibility of use for the variety of courses taught. In addition to classroom renovations, mechanical and electrical systems will be renovated to accommodate the new configuration. Asbestos-containing materials will also be abated within the project area.

The project budget will be established based on scope finalized in this design effort and will be reported at that time. The budget is currently forecasted to be between \$3,800,000 is \$4,300,000 (for the T-Wing 5th Floor teaching space only).

The project is currently funded through Construction Document phase for \$500,000. Funding for design is available from Central Administration (\$454,142) and the School of Medicine (\$45,858). The balance of the project will be funded from other monies from the same sources to be identified when the budget is established.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority (continued p. 8)

PCB Transformer & MV Switch Replacement, Project No. 200737
Action Reported: Engineer Appointment/Establish Project Budget

In March 2006, an engineering agreement was awarded to Casne Engineers for the design of the PCB Transformer & Medium Voltage Switch Replacement Project under their Master Agreement. The project budget was established at \$1,850,285.

The fee for this contract is \$104,093. The budget for consultant services is \$216,511; the balance of the design budget is intended for hazardous material design, existing conditions survey, a previously completed pre-design report, construction testing and other miscellaneous design services.

Casne Engineering is a local electrical engineering firm, based in Bellevue. Casne has performed the engineering for the recently completed 03-05 PCB Transformer Replacement projects along with numerous other campus infrastructure projects.

The project will replace PCB-containing transformers in the following buildings with transformers of equal capacity: one in Gould Hall, two in MHSC I-wing, three in MHSC G-wing, and one in Fisheries Center. The project will also replace primary switches: two in Gould Hall, five in Aerospace Engineering, and one in Fisheries Center. The project will also modify primary switches: two in MHSC I - wing and three in G-wing. The modification will consist of installing barriers between the primary and secondary side of the switches.

The estimate that resulted from pre-design indicates that the existing funding will not support all of the above work. However, design work will be done on the full scope, and some of the work will be identified as additive alternates to assure that the project remains within funding levels.

The project budget is \$1,850,285. Funding is available from 2005-2007 Utility Renewal Funds.

Budget Summary:	Current Apprv'd Budget	Forecast Cost At Completion
Total Consultant Svcs	\$216,511	\$216,511
Total Construction Cost*	\$1,471,153	\$1,471,153
Other Costs	\$18,971	\$18,971
Project Administration	\$143,650	\$143,650
Total Project Budget	\$1,850,285	\$1,850,285

* Includes construction contract amount, contingencies and state sales tax.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority (continued p. 9)

Emergency Power – Phase 2A, Project No. 201028

Action Reported: Engineer Appointment /Establish Project Budget

On May 16, 2006, an amendment was issued to Abacus' engineering agreement to include design work for Emergency Power Phase 2A project in the amount of \$208,790, and the budget was established at \$2,993,691.

The contract is in the amount of \$208,790 and the budget for consultant services is \$475,508. The balance of the design budget is intended for hazardous material design, existing conditions survey, a previously completed pre-design report, construction testing and other miscellaneous design services.

Abacus Engineered System, Inc., is the local branch of a worldwide engineering firm offering mechanical, electrical, and fire protection engineering services. In addition to the recently completed Emergency Power Phase 2 project, Abacus performed the engineering for several other campus infrastructure projects. Abacus has also served as an engineering sub-consultant to numerous projects on campus.

In the late 1990s, the University developed a comprehensive plan to upgrade its emergency power capacity. In the 2001-2003 biennium, the state funded a request to upgrade and expand the generators and switchgear for the campus emergency power system. In the 2003 – 2005 biennium, they funded a request for the campus-wide distribution and connection of the emergency power system. This project is the University's infrastructure priority for 2005-2007 and continues the expansion of the campus-wide distribution and connection of this system.

The scope of this project was included as part of the original consultant service advertisement in October 2003 for campus-wide distribution and connection of the emergency power system. Because this project implements the remaining scope of the previous campus power distribution project, it was determined there was value in the continuity of consulting services and that an amendment to the Emergency Power Phase 2 consultant contract was in the University's best interest.

Emergency Power Phase 2A continues installation of emergency power distribution infrastructure to various campus facilities. Based on the priorities provided by Engineering Services and analyzed in the pre-design, the following areas will be addressed: Feeder GE 4 and Wilcox, Mueller, Roberts, Bloedel, Anderson, Winkenwerder, Benson, Bagley, Kincaid, and More; and Feeder GD4 and Sieg, Suzzallo/Allen, Smith/Gowen/Mueller, Savery/Raitt, and Atmospheric Sciences. Due to the volatile nature of the construction materials market and the current bidding environment, multiple alternates will be identified to offer appropriate levels of bid protection.

The project budget is \$3,000,000. Funding is available from state appropriations to the UW Building Account for Emergency Power.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority (continued p. 10)

Emergency Power – Phase 2A, Project No. 201028 (cont.)

Budget Summary:	Current Apprv'd Budget	Forecast Cost At Completion
Total Consultant Svcs	\$475,508	\$475,508
Total Construction Cost*	\$2,260,088	\$2,260,088
Other Costs	\$258,095	\$258,095
Project Administration	\$106,200	\$106,200
Total Project Budget	\$2,993,691	\$2,993,691

* Includes construction contract amount, contingencies and state sales tax.

Magnuson Health Sciences Center AA-Wing Court Roof Replacement, Project No. 201129

Action Reported: Establish Project Budget

On December 15, 2005, an architectural agreement was awarded to Cornerstone Architects for design services for the Magnuson Health Sciences Center (MHSC) AA-Wing Court Roof Replacement Project. This action was reported in February 2006. At that time the project budget had not been established. Based on findings from the design effort, the project budget is now established at \$1,240,310.

This project includes replacement of approximately 18,500 square feet of roofing including the roof of the Health Sciences AA- Wing Court and the related mezzanine roof. The project is scheduled to go to construction during the summer of 2006 and be completed in the fall of 2006.

Funding is available from the 2005-2007 Building Renewal Budget.

Estimated Budget Summary:	Current Approved Budget	Forecast Cost At Completion
Total Consultant Services	\$123,308	\$123,308
Total Construction Cost*	\$1,022,781	\$1,022,781
Other Costs	\$16,315	\$16,315
Project Administration	\$77,906	\$77,906
Total Project Budget	\$1,240,310	\$1,240,310

* Includes construction contract amount, contingencies and state sales tax.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority (continued p. 11)

Power Cable Replacements '05-'07, Project No. 201114

Action Reported: Engineer Appointment/Establish Project Budget

On June 12, 2006, an engineering agreement was awarded to Casne Engineers for the design of the Power Cable Replacement Project in the amount of \$91,442 under Casne's Master Agreement. The budget was established at \$1 million.

The budget for consultant services is \$150,580 and the balance of the design budget is intended for hazardous material design, existing conditions survey, a previously completed pre-design report, construction testing and other miscellaneous design services.

Casne Engineering is a local electrical engineering firm, based in Bellevue. Casne has performed the engineering for the recently completed 2003-2005 Cable Replacement project along with numerous other campus infrastructure projects.

Based on the results of a pre-design effort, this project will remove existing feeders and replace with new feeders along with other associated electrical improvements.

The project budget is \$1,000,000. The project is funded from 2005-2007 Utility Renewal Funds.

Budget Summary:	Current Apprv'd Budget	Forecast Cost At Completion
Total Consultant Svcs	\$ 150,580	\$150,580
Total Construction Cost*	\$ 712,900	\$712,900
Other Costs	\$ 52,318	\$52,285
Project Administration	\$ 85,202	\$85,202
Total Project Budget	\$1,000,000	\$999,967

Includes construction contract amount, contingencies and state sales tax.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority (continued p. 12)

Health Sciences Center I-Wing Minus 2 Level HVAC Improvements, Project No. 201245

Action Reported: Project Budget Increase

On January 12, 2006, an agreement for architectural services was awarded for the Health Sciences Center (HSC) I-Wing Minus 2 Level HVAC Improvement project to Perkins + Will Architecture as reported to Regents in February 2006, under their existing Master Agreement for Architectural Services. The budget reported at that time was \$1,595,511. The budget has been increased to \$1,808,000.

The scope of the project upgrades the existing lab exhaust ducts, filters, fans, and controls to ensure negative pressure relationships and biological containment in the lab suite in accordance with BSL3 certification requirements. During the design phase of the project it was determined that eight non-certified biological safety cabinets required replacement and that central supply air humidification would be added to the project scope, in accordance with animal care standards.

The scope changes resulted in an increased project forecast to \$1,808,000. After deliberations within the University, the decision was made to include these scope items into the project budget and the project budget is increased from \$1,595,511 to \$1,808,000.

The project is scheduled to open bids July 13, 2006.

The project funding at this time is \$1,810,000. Funding is available from two sources: Central Administration Capital Reserves 2005-2007 for \$1,750,000 and 2003 –2005 Safety Budget for \$60,000 for a total of \$1,810,000.

Budget Summary:	Original Budget Approved in February 2006	Forecast Cost At Completion
Total Consultant Svcs	\$ 220,447	\$261,830
Total Construction Cost	\$1,196,715	\$1,337,006
Other Costs	\$49,763	\$64,670
Project Administration	\$127,586	\$ 144,495
Total Project Budget	\$1,595,511	\$1,808,000

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority (continued p. 13)

UWMC NN-143J Radiology PET/CT SOM Project, Project No. 201329

Action Reported: Appoint Architect/ Establish Project Budget

In March 2006, Taylor Gregory Butterfield Architects was appointed the architect for the UWMC NN-143J Radiology PET/CT SOM project under their master agreement, and the project budget was established at \$1,098,357.

The fees for consultant services are expected to be approximately \$80,000. The total budget for consulting services is expected to be approximately \$180,498, which includes hazardous materials abatement design, programming and other specialized design requirements.

Taylor Gregory Butterfield Architects was formed in 1993 and has a long and successful history of designing PET/CTs at the University and elsewhere.

The project includes renovation of UWMC NN-143 to allow installation of a PET/CT on the first floor of the UWMC NN-Wing. Construction is projected to start in October, 2006 and expected to be completed in February 2007.

The project is currently funded for work through the programming phase with \$50,000 from the School of Medicine. The balance of funding is expected to come from the Department of Radiology.

Estimated Budget Summary:	Current Approved Budget	Forecast Cost At Completion
Total Consultant Services	\$ 180,498	\$ 180,498
Total Construction Cost*	\$ 804,846	\$ 804,846
Other Costs	\$ 18,390	\$ 18,390
Project Administration	\$ 94,623	\$ 94,623
Total Project Budget	\$1,098,357	\$1,098,357

* Includes construction contract amount, contingencies and state sales tax.

VII. STANDING COMMITTEES**B. Finance, Audit and Facilities Committee****Adoption of Supplemental Trust Agreement, Student Facilities Fee Refunding Revenue Bonds, Series 2005****RECOMMENDED ACTION:**

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the Board of Regents adopt the supplemental Trust Agreement in association with the Student and Activities Fee Refunding Revenue Bonds, Series 2005.

BACKGROUND:

When the Student Facilities Fee Revenue Bonds, Series 2000 were issued in November 2000 (and subsequently refunded in March 2005), the use of the bond proceeds was limited to the following:

- Improvements to the Intramural Activities (IMA) Building
- Improvements to the Golf Driving Range
- Night lighting and artificial turf on an outdoor sports field

In spring of 2006, the Services and Activities Fee Committee, in conjunction with the Office of Student Affairs, voted to broaden the scope of the uses of the remaining bond proceeds to include “any other capital facilities approved by the Services and Activities Fee Committee”.

The First Supplemental Trust Agreement makes the necessary modification to the project definition to incorporate this decision while retaining all of the other covenants and definitions associated with the original bonds.

This modification to the project definition required approval of Financial Security Assurance, the bond insurer. This approval was granted on May 31st, 2006.

INTERNAL REVIEWS AND APPROVALS:

The adoption of the modified Trust Agreement has been reviewed and approved by the Executive Vice President and the Vice Provost for Student Life.

Attachments:

- 1) First Supplemental Trust Agreement
- 2) FSA Approval

CONSENT OF FINANCIAL SECURITY ASSURANCE INC.

The undersigned hereby certifies that she is authorized to execute and deliver this Consent on behalf of Financial Security Assurance Inc. ("Financial Security"), as issuer of a municipal bond insurance policy for University of Washington, Student Facilities Fee Revenue Bonds, Series 2000 and Student Facilities Fee Refunding Revenue Bonds Series 2005 (collectively, the "Bonds"). Financial Security hereby consents to the First Supplemental Trust Agreement (in the form attached hereto as Exhibit A). Financial Security's consent is provided pursuant to Section 1201(a)(2) of the Trust Agreement between the University of Washington and Bank of New York Trust Company, N.A., dated as of June 1, 2005.

By granting this Consent Financial Security expresses no opinion as to whether the consent of any other person is required for such a supplement.

FINANCIAL SECURITY ASSURANCE INC.



Elizabeth Hill
Managing Director

Dated: May 31, 2006

FIRST SUPPLEMENTAL TRUST AGREEMENT

THE TRUST AGREEMENT (the “Original Agreement”) dated as of June 1, 2005, by and between the UNIVERSITY OF WASHINGTON (the “University”), an institution of higher education of the State of Washington, and THE BANK OF NEW YORK TRUST COMPANY, N.A., a national banking association, as Trustee (the “Trustee”) is hereby amended by this FIRST SUPPLEMENTAL TRUST AGREEMENT (the “Supplemental Agreement”), dated as of June 1, 2006, by and between the University and the Trustee, as follows:

WITNESSETH

WHEREAS, on June 7, 2005, the University issued its University of Washington Student Facilities Fee Refunding Revenue Bonds, Series 2005 (the “Bonds”) in the principal amount of \$43,610,000; and

WHEREAS, the Bonds were issued pursuant to a Resolution of the Board of Regents of the University adopted on March 17, 2005 and the Original Agreement; and

WHEREAS, the Bonds refunded the University of Washington Student Facilities Fee Revenue Bonds, Series 2000, which were issued to pay costs of the Project (as defined below), to fund a debt service reserve account, and to pay costs of issuance; and

WHEREAS, the Project is defined in the Original Agreement to consist of the construction and equipping of the following capital facilities in the following order of priority: (i) improvements to the Intramural Activities Building; (ii) improvements to the Golf Driving Range; and (iii) night lighting and artificial turf on an outdoor sports field; and

WHEREAS, the University may determine not proceed with the improvements to the Golf Driving Range; and

WHEREAS, the University desires to amend the Project definition in the Original Agreement to replace the improvements to the Golf Driving Range with other capital facilities approved by the Services and Activities Fee Committee and payable from the Student Facilities Fee; and

WHEREAS, Financial Security Assurance Inc. (the “Bond Insurer”) issued its municipal insurance policy with respect to the Bonds; and

WHEREAS, pursuant to Section 12.01(a) of the Original Agreement, the Original Agreement may be amended “in regard to matters or questions arising under this Trust Agreement as the University may deem necessary or desirable and not inconsistent with this Trust Agreement and which shall not adversely affect the interest of the Registered Owners of any Parity Bonds”, upon compliance with the following conditions:

- A. Receipt of the written consent of the Bond Insurer;

- B. Receipt of an opinion of Preston Gates & Ellis LLP, Bond Counsel to the University, to the effect that the Supplemental Agreement complies with the provisions of Article XII of the Original Agreement, and does not adversely affect the interests of the Registered Owners of any Parity Bond; and
- D. Notice by the Trustee to Standard & Poor's Ratings Services, a Division of The McGraw-Hill Companies, Inc., and Moody's Investor Service, as rating agencies for the Bonds; and

WHEREAS, each of the foregoing conditions has or will have been satisfied as of the date of execution of this Supplemental Agreement; and

NOW THEREFORE, the University does hereby agree and covenant with the Trustee and with the Registered Owners of the Bonds as follows:

Section 1. Except as amended by this Supplement Agreement, the Original Agreement is in all respects ratified and confirmed, and all the terms, provision and conditions thereof shall remain in full force and effect. This Supplemental Agreement shall be deemed to be part of the Original Agreement.

Section 2. All words and phrases not otherwise defined herein shall have the meanings given to them in the Original Agreement.

Section 3. All references in the Original Agreement to "this Trust Agreement" or words of similar import, and the terms "hereby," "hereof," "hereto," "herein," "hereunder" and any similar terms, as used in the Original Agreement shall be deemed to refer to the Original Agreement, as amended by this Supplemental Agreement.

Section 4. The definition of "Project" in Exhibit B to the Original Agreement is hereby amended to read as follows (deletions are stricken and additions are underlined):

"Project" means the construction and equipping of the following capital facilities in the following order of priority: (i) improvements to the IMA; (ii) ~~improvements to the Golf Driving Range; and (iii)~~ night lighting and artificial turf on an outdoor sports field; and (iii) any other capital facilities approved by the Services and Activities Fee Committee and payable from the Student Facilities Fee.

Section 5. This Supplemental Agreement may be simultaneously executed in several counterparts, each of which shall be an original and all of which shall constitute one instrument.

IN WITNESS WHEREOF, the University and the Trustee have caused this Supplemental Agreement to be signed by their respective and duly authorized representatives, all as of the date above.

UNIVERSITY OF WASHINGTON

By _____

Its _____

THE BANK OF NEW YORK TRUST
COMPANY, N.A., as Trustee

By _____

Title _____

Attachments:

1. Consent of Bond Insurer
2. Copy of notice to ratings agencies
3. Opinion of Bond Counsel

CONSENT OF FINANCIAL SECURITY ASSURANCE INC.

The undersigned hereby certifies that she is authorized to execute and deliver this Consent on behalf of Financial Security Assurance Inc. ("Financial Security"), as issuer of a municipal bond insurance policy for University of Washington, Student Facilities Fee Revenue Bonds, Series 2000 and Student Facilities Fee Refunding Revenue Bonds Series 2005 (collectively, the "Bonds"). Financial Security hereby consents to the First Supplemental Trust Agreement (in the form attached hereto as Exhibit A). Financial Security's consent is provided pursuant to Section 1201(a)(2) of the Trust Agreement between the University of Washington and Bank of New York Trust Company, N.A., dated as of June 1, 2005.

By granting this Consent Financial Security expresses no opinion as to whether the consent of any other person is required for such a supplement.

FINANCIAL SECURITY ASSURANCE INC.



Elizabeth Hill
Managing Director

Dated: May 31, 2006

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WITNESSETH

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WHEREAS, the Bonds were issued pursuant to a Resolution of the Board of Regents of the University adopted on March 17, 2005 and the Original Agreement; and

WHEREAS, the Bonds refunded the University of Washington Student Facilities Fee Revenue Bonds, Series 2000, which were issued to pay costs of the Project (as defined below), to fund a debt service reserve account, and to pay costs of issuance; and

WHEREAS, the Project is defined in the Original Agreement to consist of the construction and equipping of the following capital facilities in the following order of priority: (i) improvements to the Intramural Activities Building; (ii) improvements to the Golf Driving Range; and (iii) night lighting and artificial turf on an outdoor sports field; and

WHEREAS, the University may determine not proceed with the improvements to the Golf Driving Range; and

WHEREAS, the University desires to amend the Project definition in the Original Agreement to replace the improvements to the Golf Driving Range with other capital facilities approved by the Services and Activities Fee Committee and payable from the Student Facilities Fee; and

WHEREAS, Financial Security Assurance Inc. (the “Bond Insurer”) issued its municipal insurance policy with respect to the Bonds; and

WHEREAS, pursuant to Section 12.01(a) of the Original Agreement, the Original Agreement may be amended “in regard to matters or questions arising under this Trust Agreement as the University may deem necessary or desirable and not inconsistent with this Trust Agreement and which shall not adversely affect the interest of the Registered Owners of any Parity Bonds”, upon compliance with the following conditions:

- A. Receipt of the written consent of the Bond Insurer;

- B. Receipt of an opinion of Preston Gates & Ellis LLP, Bond Counsel to the University, to the effect that the Supplemental Agreement complies with the provisions of Article XII of the Original Agreement, and does not adversely affect the interests of the Registered Owners of any Parity Bond; and
- D. Notice by the Trustee to Standard & Poor's Ratings Services, a Division of The McGraw-Hill Companies, Inc., and Moody's Investor Service, as rating agencies for the Bonds; and

WHEREAS, each of the foregoing conditions has or will have been satisfied as of the date of execution of this Supplemental Agreement; and

NOW THEREFORE, the University does hereby agree and covenant with the Trustee and with the Registered Owners of the Bonds as follows:

Section 1. Except as amended by this Supplement Agreement, the Original Agreement is in all respects ratified and confirmed, and all the terms, provision and conditions thereof shall remain in full force and effect. This Supplemental Agreement shall be deemed to be part of the Original Agreement.

Section 2. All words and phrases not otherwise defined herein shall have the meanings given to them in the Original Agreement.

Section 3. All references in the Original Agreement to "this Trust Agreement" or words of similar import, and the terms "hereby," "hereof," "hereto," "herein," "hereunder" and any similar terms, as used in the Original Agreement shall be deemed to refer to the Original Agreement, as amended by this Supplemental Agreement.

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Section 5. This Supplemental Agreement may be simultaneously executed in several counterparts, each of which shall be an original and all of which shall constitute one instrument.

IN WITNESS WHEREOF, the University and the Trustee have caused this Supplemental Agreement to be signed by their respective and duly authorized representatives, all as of the date above.

UNIVERSITY OF WASHINGTON

By _____

Its _____

THE BANK OF NEW YORK TRUST
COMPANY, N.A., as Trustee

By _____

Title _____

Attachments:

1. Consent of Bond Insurer
2. Copy of notice to ratings agencies
3. Opinion of Bond Counsel

CONSENT OF FINANCIAL SECURITY ASSURANCE INC.

The undersigned hereby certifies that she is authorized to execute and deliver this Consent on behalf of Financial Security Assurance Inc. ("Financial Security"), as issuer of a municipal bond insurance policy for University of Washington, Student Facilities Fee Revenue Bonds, Series 2000 and Student Facilities Fee Refunding Revenue Bonds Series 2005 (collectively, the "Bonds"). Financial Security hereby consents to the First Supplemental Trust Agreement (in the form attached hereto as Exhibit A). Financial Security's consent is provided pursuant to Section 1201(a)(2) of the Trust Agreement between the University of Washington and Bank of New York Trust Company, N.A., dated as of June 1, 2005.

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FINANCIAL SECURITY ASSURANCE INC.



Elizabeth Hill
Managing Director

Dated: May 31, 2006

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- A. Receipt of the written consent of the Bond Insurer;

- B. Receipt of an opinion of Preston Gates & Ellis LLP, Bond Counsel to the University, to the effect that the Supplemental Agreement complies with the provisions of Article XII of the Original Agreement, and does not adversely affect the interests of the Registered Owners of any Parity Bond; and
- D. Notice by the Trustee to Standard & Poor's Ratings Services, a Division of The McGraw-Hill Companies, Inc., and Moody's Investor Service, as rating agencies for the Bonds; and

WHEREAS, each of the foregoing conditions has or will have been satisfied as of the date of execution of this Supplemental Agreement; and

NOW THEREFORE, the University does hereby agree and covenant with the Trustee and with the Registered Owners of the Bonds as follows:

Section 1. Except as amended by this Supplement Agreement, the Original Agreement is in all respects ratified and confirmed, and all the terms, provision and conditions thereof shall remain in full force and effect. This Supplemental Agreement shall be deemed to be part of the Original Agreement.

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UNIVERSITY OF WASHINGTON

By _____

Its _____

THE BANK OF NEW YORK TRUST
COMPANY, N.A., as Trustee

By _____

Title _____

Attachments:

1. Consent of Bond Insurer
2. Copy of notice to ratings agencies
3. Opinion of Bond Counsel

VII. STANDING COMMITTEE

A. Academic and Student Affairs Committee

Services and Activities Fee – University of Washington, Seattle: Revised Guidelines

Information will be mailed under separate cover.



Services & Activities Fee Committee

Executive Summary of Proposed Guidelines

Presented July 20, 2006

History

During the 2004-05 Term of the Services & Activities Fee Committee members identified a pressing need to revise the existing S&A Fee Guidelines to use uniform language, provide greater clarity, and codify existing practices. After an initial attempt to modify the existing document failed, the Committee Chair determined an entirely new draft was required to meet the Committee's goal.

The next year's Committee took on the task during Autumn quarter of 2005, producing an entirely new document. The document then went through a public comment period, internal review by the Office of the Vice President for Student Affairs, additional revision by the Committee, and finally review and revision by representatives from, the President's Office, the S&A Fee Committee the Vice Provost for Student Life and the University Attorney General's Office.

Revision Goals

The revised Guidelines seek to accomplish five specific goals:

- Implement the underlying state statutes
- Outline a road map for S&A Fee Committees in carrying out their statutory obligations
- Codify existing S&A Fee Committee practices
- Provide flexibility in the budgeting process
- Retain appropriate Regental oversight and approval

Significant or Noteworthy Changes

The following are significant or noteworthy changes from the existing S&A Fee Guidelines. The revision includes many other language changes which seek to clarify, but do not substantially alter, the S&A Fee process.

1. Clarifies that the Board of Regents may exempt classes of students from the S&A Fee and recommends that the opinion of the S&A Fee Committee be sought prior to granting an exemption. Title I, §6.

2. States the scope of the S&A Fee Committee is to “review and make recommendations regarding the collection, allocation, budgeting and use of the S&A Fee.” Title I, §8.
3. Provides a mechanism for the S&A Fee Committee to recommend Committee Policies, not inconsistent with the Guidelines, which must be first approved by the University Administration and enforced by the same. Title II, §3.
4. Allows the Committee Chair to request the removal of committee members who fail to meet certain attendance requirements and provides a mechanism to reappoint vacant positions. Title III, §2(F).
5. Provides for an Interim S&A Fee Committee should time sensitive issues arise during the Summer Quarter when the Committee is not in session. Title III, §5.
6. Clarifies public notice requirements under the OPMA and S&A Fee Committee membership notice requirements. Title IV, §§1(A)-(C).
7. Reinforces the statutory requirement that a recommendation from the S&A Fee Committee and the Administration is required before any S&A Fee funding decisions may be made by the Board of Regents. An exception for situations involving emergencies and defaulting on bonds is provided. Title IV, §11(D).
8. Provides a mechanism for the S&A Fee Committee to request existing non-confidential information and requires that the Administration comply with the request within three weeks unless cause for delay is shown. Title V, §2.
9. Codifies the implied authority to participate in budgetary oversight of any unit receiving S&A Fee Funding. Title V, §3.
10. Authorizes the S&A Fee Committee to request the Regents to set aside reserve funds for units which would require approval from both the S&A Fee Committee and the University Administration before an allocation is made from the reserve. Title V, §5.

Alternative Language Regarding Future Changes to these Guidelines

During discussions regarding the proposed Guidelines, the S&A Fee Committee and the Administration discussed alternative language regarding the Regent’s ability to make future changes to these Guidelines. Reproduced here are the two current proposals.

Committee's Proposed Language	Administration's Alternative Proposed Language
<p>The Board of Regents may amend or adopt new guidelines as necessary provided representatives from the Student Government and the current Committee are given sufficient notice and an opportunity to comment.</p>	<p>The Board of Regents may amend or adopt new Guidelines as it deems necessary. It is recommended that representatives from the Student Government and the then current Committee be given sufficient notice and an opportunity to comment on such proposed changes.</p>

Under the Committee's proposed language the Regents would be required to give the Student Governments and the Committee "sufficient notice" and an "opportunity to comment." Under the Administration's alternative, language providing notice and comment is recommended but not required. The Committee and the Administration agreed that, the two proposals should be given to the Regents to select from or to propose alternative language.



Services & Activities Fee Committee Draft Committee Guidelines

Revised July 20, 2006

Title I: The Services & Activities Fee

1. The Services & Activities Fee (the “S&A” Fee) is authorized by state statute for the express purpose of funding student activities and programs. This may include, but is not limited to, the operation of student related services and the acquisition, construction, equipping, and betterment of lands, buildings and facilities.
2. Student services and activities fees are defined in state law as “fees, other than tuition fees, charged to all students registering at the...state universities.” (RCW 28B.15.041.) For the purposes of these Guidelines, the S&A Fee is defined as the compilation of such fees charged to all students registering at the University of Washington Seattle Campus.
3. The S&A Fee and revenues generated by units funded by the S&A Fee are deposited and expended through the University's budget and financial accounting systems, the responsibility for which resides with the University's chief fiscal officer. The S&A Fee and associated revenues are subject to University policies, regulations and procedures, and to the Budget and Accounting Act of the State of Washington. (RCW 43.88)
4. The allowable level of the S&A Fee is authorized by the State Legislature.
5. The Board of Regents may exercise its authority to increase the S&A Fee to the authorized level and allocates funds derived provided the Board of Regents has first sought the recommendation of the S&A Fee Committee or meets an exception as defined within these Guidelines.
6. The Board of Regents may exempt classes of students from the S&A Fee and may levy the fees at a rate proportionate to the credit load of any part time student. It is recommended the Board of Regents seek the opinion of the S&A Fee Committee prior to approving an exemption.
7. Any University unit or Registered Student Organization may request funding from the S&A Fee.
8. The S&A Fee Committee (the Committee) is appointed pursuant to RCW 28B.15.044 and 28B.15.045 to review and make recommendations regarding the collection, allocation, budgeting and use of the S&A Fee.
9. All non-confidential information pertaining to the S&A Fee's budget shall be available to interested parties.

Title II: Source of Committee Authority

1. State Statute

- A. All decisions made regarding the S&A Fee will be made in accordance with relevant state statutes. Should it be determined that any guidelines,

policies, or decisions contradict current state law, state statute has precedence.

- B. The Committee may exercise authority granted directly by state law or delegated by either the Board of Regents or University Administration through these Guidelines and policies.
- C. The Committee may develop recommended changes to the state law, as needed, related to the S&A Fee. Such recommendations will be communicated to the appropriate University and Student Government representatives.

2. Committee Guidelines

These Guidelines delineate the process and procedure to be used for developing recommendations regarding the collection, allocation, budgeting, and use of the S&A Fee at the University of Washington Seattle Campus.

- A. The Board of Regents may amend or adopt new guidelines as it deems necessary, provided representatives from the Student Government and the current Committee are given notice and an opportunity to comment on proposed changes unless the Board determines that the interests of the University would be harmed by a delay.
- B. The Committee may recommend changes to these Guidelines. Such recommendations shall be subject to review by the University Administration prior to being submitted for consideration by the Regents. The University Administration may suggest changes to the Committee recommendation which the Committee shall be given the opportunity to review before they are submitted for approval from the Regents.

3. Committee Policies

- A. The Committee may recommend policies (“Committee Policies”) not inconsistent with state law and these Committee Guidelines.
- B. Committee Policies may relate to any topic, issue, or authority delegated to Committee through these Guidelines.
- C. Procedure for Adopting and Changing Committee Policies
 - i. The Committee may issue draft proposals to adopt new Committee Policies, amend current policies, or remove old policies up until the end of Winter Quarter of its Term (see Title III, §1).
 - ii. Draft proposals must include the specific language to be added, changed, or deleted along with a statement explaining the intent of the change and the Committee's rationale for adoption.
 - iii. The draft proposals must be made public along with directions on how to provide comments to the Committee. The comment period will last a minimum of three academic weeks but may be longer if:
 - a. the Committee chooses to extend the period; or
 - b. the President of the Graduate & Professional Student Senate or the President of the Associated Students of the University of Washington requests additional time during the first two weeks of the comment period for the purposes of securing an official opinion from their respective student government. Such an extension will last no longer than four academic weeks from the date requested or until an official opinion is issued, whichever is sooner.

- iv. At the close of the comment period the Committee will consider all relevant comments and make changes to the proposal as necessary.
- v. The Committee may recommend the final proposal to the University Administration for adoption. If the University Administration adopts the proposal it will become a fully enforceable Committee Policy. If the University Administration does not adopt the proposal within two academic weeks of the Committee's recommendation, the Committee may submit the matter for resolution under the Dispute Resolution Procedure contained within these Guidelines. The University Administration may request additional time when proposed policies are complex or require additional consultation.
- vi. Any proposal not enacted into policy by the end of the current Committee's Term expires. The next Committee may choose to reconsider any proposal which has expired.

4. Sturgis Standard Code of Parliamentary Procedure

- A. In all matters not covered within these Guidelines or Committee Policies, the Committee will adhere to the most current edition of *Sturgis Standard Code of Parliamentary Procedure* (Sturgis).
- B. At the request of any Committee Member, and with the permission of the Committee Chairperson, the Committee may enter into informal discussion during which Sturgis will not apply. During this period minutes will still be taken and no final decisions may be made. The Committee will leave informal discussion at the request of any Committee Member and with permission of the Committee Chairperson.

Title III: Committee Structure

1. Committee Term

- A. The Committee's Term begins once at least 2/3rds of all Student Government Representatives and at least one University Administration Representative have been appointed.
- B. The Committee's Term expires at the end of Spring Quarter of each year or the Regental approval of a final budget, whichever is later, or earlier if the Committee votes to dissolve.

2. Committee Membership

- A. The Committee is appointed by the University President (or his or her delegee), and shall consist of seven voting members and five non-voting members. Committee members are appointed for a single term, but may serve multiple terms if eligible, subject to being re-nominated and re-appointed as provided herein.
- B. All groups listed below will nominate the number of appointees as specified to the University Administration no later than the fifth week of Autumn Quarter. Returning committee members must be re-nominated and re-appointed each year.
 - i. Four nominees from the Associated Students of the University of Washington
 - a. No more than one nominee may be a ASUW Director
 - b. It is recommend that at least one nominee be eligible to serve two

assemble, the nominating group has two weeks to forward such nomination. After such time, the University President or his or her delegatee may appoint a person nominated by the Committee Chairperson to fill the longest standing vacancy.

3. Committee Chairperson

- A. At the second regular meeting the Interim Committee Chairperson will ask for nominations for Chairperson. All nominees will be given the opportunity to speak to the Committee. The nominee receiving a majority vote will become the new Committee Chairperson effective immediately. At any Committee Member's request the vote will be delayed a period of one week for further deliberation.
- B. The Committee Chairperson must be a Student Government Representative.
- C. The Committee Chairperson will preside over all Committee meetings. The Chairperson may appoint an acting Chairperson in his or her absence.
- D. If the Committee Chairperson is either an Officer of the Graduate Professional Student Senate or a Director of the Associated Student of the University of Washington, they must appoint an acting Committee Chairperson from the other Student Government when considering funding requests from their Student Government. This clause does not apply during the Final Budget Deliberation.
- E. The Committee Chairperson will keep informed of all issues related to the S&A Fee and advise the Committee on issues as they arise. The University Administration and all units will honor requests for information from the Committee Chairperson as if they originated from the Committee.
- F. A new election for Committee Chairperson may be called by three voting members of the Committee. Notice of a new election must be served to all Committee Members. The vote must take place at the next Regular Committee Meeting following the request for a new election.

4. University Administration Designee

- A. The University President may appoint a University Administration Designee to fulfill functions as assigned in these Guidelines and Committee Policies. The Designee need not be one of the Committee's University Administration Representatives.
- B. The Designee will act as the principal intermediary between the Committee and the Board of Regents
- C. The Designee appointed should have significant experience with issues related to the S&A Fee, student activities, and the campus community.
- D. The Designee will make him/herself available to the Committee to answer questions or respond to concerns as necessary.

5. Interim Committee

- A. During the period falling outside of the Committee's Term, an Interim Committee will exist for time sensitive issues. The Interim Committee will be comprised of the Interim Committee Chairperson, the President of the Associated Student of Washington (or designee), the President of the Graduate & Professional Student Senate (or designee), and one representative from the University of Administration (who may not vote).

- B. The Interim Committee may only hold Special Meetings called by any two members of the Interim Committee and may do so no later than the sixth week of Autumn Quarter. The Interim Committee may never meet during the Committee's Term.
- C. The Interim Committee may exercise any authority, answer any question, or give any directive consistent with the Committee's authority. The Interim Committee may not make recommendations to alter the Guidelines or initiate a change in Committee Policy.

6. Interim Committee Chairperson

- A. At the final Committee Meeting before the end of the Committee's Term, the Chairperson will ask for nominations for Interim Committee Chairperson. Nominees must be a Student Government Representative but need not be eligible to serve the following Committee's Term. All nominees will be given the opportunity to speak to the Committee. The nominee receiving a majority vote will become the Interim Committee Chairperson.
- B. If there are no nominees, the Committee Chairperson and the University Administration Designee will select a student from the student body to serve as the Interim Committee Chairperson.
- C. The Interim Committee Chairperson's primary responsibility is to organize the first Committee meeting of the following Term. This may involve meeting with the appropriate representatives of the recommending groups with the goal of completing all appointments by the fifth week of Autumn Quarter.
- D. The Interim Committee Chairperson's secondary responsibility is to ensure the decisions of the previous Committee are faithfully executed and that ongoing discussions from previous Committees are properly communicated to the next Committee.
- E. The Interim Committee Chairperson, in consultation with the University Administration, may exercise any authority, answer any question, or give any directive consistent with the Committee's authority provided it has no direct budgetary impact. The Interim Committee Chairperson may not initiate a change in Committee Policy or make recommendations to alter the Guidelines.

7. Committee Staff

- A. The Committee may recommend the funding for the employment of Committee staff using funds generated by the S&A Fee.
- B. Each staff position must have a written job description detailing qualifications and expectations of the position.
- C. The Committee Chairperson will act as a liaison between the Committee and the Committee Staff.
- D. University employees filling Committee Staff positions may also work for other University entities with costs of employment shared proportionate to the hours rendered between the Committee and such other entity.

Title IV: Committee Procedure

1. Committee Meetings

- A. Regular Meetings
 - i. The Committee Chairperson will establish a Regular Meetings schedule of the Committee at the beginning of each quarter.
 - ii. The agenda for Regular Meetings will be distributed to Committee Members at least 24 hours prior to the meeting.
 - iii. Any agenda including a vote to make a final recommendation must be distributed to Committee members at least 72 hours prior to the meeting.
 - B. Special Meetings
 - i. A Special Meeting can be called by three or more of the Student Government Representatives.
 - ii. A Special Meeting can be called by the University Administration Designee.
 - iii. The agenda for a Special Meeting must accompany the call for a Special Meeting and must be issued to all Committee Members at least 72 hours prior to the meeting.
 - C. Open Public Meetings Act Compliance
 - i. In addition to the notice requirements in Section 1(A)(iii) and Section 1(B)(iii), the Committee shall comply with the Open Public Meeting Act.
 - D. A quorum of the Committee will consist of at least four voting members, with at least one representative from each Student Government, and one University Administration Representative.
 - i. Absent a quorum the Committee may make no final decision.
 - ii. Absent a quorum the Committee may proceed with Unit Orientations and Budget Hearings, provided minutes are kept and the lack of quorum is clearly indicated.
 - E. All meetings must be open and publicly announced.
 - F. Minutes will be taken at all meetings and following their approval by the Committee will be published for public review.
- 2. Committee Training**
- A. All members of the Committee will be trained in the operations of the Committee, briefed on current discussions relating to the S&A Fee, and other materials deemed necessary by the Committee Chairperson or the University Administration.
- 3. Committee Work Schedule**
- A. Following Committee Training the Committee will prepare the work schedule for the Term of the Committee. The work schedule will include the period during which Unit Orientations will be given, the date on which budget requests are due, the period during which Budget Hearings will be held, and the date for the Final Budget Deliberation.
 - B. The Committee will also approve at this time:
 - i. all budget request forms to be completed by units seeking funding; and
 - ii. general criteria by which budget requests will be evaluated.
- 4. Unit Orientations**
- A. All units seeking funding from the S&A Fee must give an orientation prior to the submission of their budget request.
 - B. Orientations will include a review of all services provided, including those

not directly funded by the S&A Fee, current status of any capital assets, and a budget breakdown from the previous year.

- C. Units are requested, but not required, to submit materials prior to their orientation for the committee to review.
- D. The Committee may request, and units will provide, additional information as needed.
- E. All materials related to an orientation will be published for public review.

5. Budget Requests

- A. The Committee may establish through Committee Policies different funds (i.e. operating, capital, savings) supplied by the S&A Fee. Each fund may require a different budget request form providing information deemed relevant by the Committee.
- B. Budget Requests must be submitted in the form designated by the Committee. Deviation from the designated form may result in the rejection of the request.
- C. Once the deadline for Budget Requests has passed all submitted requests will be distributed to Committee Members.
- D. Units that fail to submit their requests by the deadline may submit late only with the permission of the Committee upon showing of good cause.
- E. Units that wish to amend their request after the deadline may do so with the permission of the Committee.
- F. All budget requests will be published for public review.

6. Budget Hearings

- A. The Committee may call for any unit submitting a budget request to answer questions regarding the unit's services, including those related but not directly funded by the S&A Fee, current status of any capital assets, previous budget breakdowns, and the current budget request.
- B. Should the Committee determine a single hearing is insufficient to discuss all issues presented in a unit's request additional hearings may be scheduled.
- C. The Committee may not recommend any extraordinary changes without hold a hearing on the impacted unit.
- D. Any Committee member may send written questions to a unit seeking funding which will be answered as fully as possible. Written questions and answers must be distributed to other Committee members.

7. Final Budget Deliberations

- A. Following the completion of all Budget Hearings and any additional meetings the Committee may require, the Committee will hold Final Budget Deliberations.
- B. The Committee Chairperson should take special care to invite all units requesting funding, officials from the Student Governments, campus press, and the public at large.
- C. The University Administration Designee will attend and participate in the Final Budget Deliberation.
- D. During the Final Budget Deliberation the Committee must approve:
 - i. The amount to be recommended for allocation to each unit requesting funding for the following academic year; and
 - ii. The level of the fee to be recommended for the following academic

year.

- E. The Committee may also decide any additional issues as deemed appropriate.
- F. During these deliberations the Committee Chairperson will recognize those in attendance to make comments on pending decisions. The Committee Chairperson is authorized to close the comment period on the particular question after everyone present has had the opportunity to speak at least once.

8. Chairperson's Letter

- A. Following the Final Budget Deliberations the Committee Chairperson will draft a letter to the University Administration detailing the decisions of the Committee and the associated rationales for each decision.
- B. The Chairperson will ensure minority viewpoints expressed during the Final Budget Deliberation are included in the letter.
- C. The letter will be distributed to the Committee for review and comment prior to being sent to the University Administration.

9. Administrative Review

- A. Upon receipt of the Chairperson's Letter, the University Administration will review the recommendations of the Committee. The University Administration may review any materials provided to the Committee in its review, but should refrain from considering materials not available to the Committee.
- B. If the University Administration disagrees with any of the Committee recommendations it will notify the Committee in writing. The Chairperson will call a Committee meeting to discuss the disagreement with the University Administration. The Committee may decide to change the recommendation to conform with the concerns of the University Administration. However, should the Committee reject those concerns the matter will be referred to the Dispute Resolution process.
- C. If the University Administration agrees with all of the Committee recommendations it will draft a letter to the Board of Regents stating that opinion. The Committee Chairperson's Letter will be attached to the letter to the Board of Regents.

10. Dispute Resolution

- A. The following process is to be used if any issue is referred to the Dispute Resolution process through these Guidelines or Committee Policy, or if any Committee member claims that the Guidelines have not been followed, that the Committee participation has been unduly curtailed, or that funds have been used inappropriately.
- B. Parties involved in an issue referred to the Dispute Resolution process shall meet in good faith in an effort to achieve a decision which is best for all involved.
- C. If, after engaging in a good faith effort to achieve a resolution, any of the parties involved determines that the issue cannot be resolved through further good faith discussions, the issue shall be considered a "Dispute," and within fourteen days thereafter, a Dispute Resolution Committee will be convened by the S&A Fee Committee Chairperson comprised of:
 - i. Three S&A Fee Committee members chosen by the S&A Fee

- Committee Chairperson from among the Student Government Representatives;
- ii. Three representatives chosen by the Board of Regents;
 - iii. One student selected by the S&A Fee Committee who will chair the Dispute Resolution Committee and only vote in the case of a tie; and
 - iv. Two representatives from the University Administration who will serve in an advisory capacity and may not vote.
- D. The President of the Associated Student of the University of Washington and the President of the Graduate & Professional Student Senate may attend meetings of the Dispute Resolution Committee, but may not vote.
 - E. The Dispute Resolution Committee will meet in good faith, and settle by vote any and all Disputes.
 - F. All decisions of the Dispute Resolution Committee will be presented to the Board of Regents for their consideration along with appropriate materials.
 - G. The decision of the Board of Regents regarding the resolution of all Disputes is final.

11.Regential Approval

- A. After receiving both letters, as specified in Section 9, the Board of Regents will consider the recommendations of the Committee and the University Administration.
- B. All recommendations emerging from the Dispute Resolution Process will be considered by the Board of Regents.
- C. The recommendations of the Committee, University Administration, and the Resolution Process will be presented by the Committee Chairperson and the University Administration Designee.
- D. The Committee's recommendations and those of the University Administration shall be required before a final decision unless the Board of Regents determine such a decision is necessary to avoid defaulting on debt to be repaid by the S&A Fee or an emergency. The Board of Regents must give the Committee adequate notice in all other cases to render an opinion on any issue related to the collection or distribution of funds from the S&A Fee. (RCW 28B.15.045)
- E. Members of the Board of Regents shall adhere to the principle that Committee recommendations be given priority consideration on funding items that do not fall into the categories of preexisting contractual obligations, bond covenant agreements, or stability for programs affecting students.

12.Notification of Budget Request Determination

- A. The Committee Chairperson shall provide notice to all units submitting an application for funding stating the outcome of their request following a final decision by the Board of Regents.
- B. This notice must state specific amounts to be allocated, any specific instructions approved by the Regents, and any recommendations issued by the Committee.
- C. Notice must be in writing and copies must be retained by Committee Staff.

13.Letter to the Next Committee

- A. After the Board of Regents issues its final decision regarding the

Committee's recommendations the Committee Chairperson, with advice from the Interim Committee Chairperson, will draft a letter to be distributed to next Committee's membership.

- B. The letter should:
 - i. detail the recommendations and outcomes from the Board of Regents meeting;
 - ii. outline discussions and thinking from the Committee's deliberative process;
 - iii. discuss input and instructions given to units to provide evidence to the next Committee of what is reasonably expected from units receiving funding;
 - iv. suggest topics for Committee consideration; and
 - v. describe the outgoing Committee's long-term planning and how this year's decisions fit into that plan.

Title V: Committee Powers and Limitations

1. Annual Reporting

- A. During Committee Training the University Administration will present to the Committee a summary of projected income and costs of all funded units for the current academic year.
- B. Prior to the Final Budget Deliberation the University Administration will present to the Committee projected income from the S&A Fee at its current level and other reasonable alternatives for the next academic year.
- C. During the Budget Hearings the University Administration will present a report on the current state of all debt to which S&A Fee funds are obligated.

2. Requests for Information

- A. The Committee may make reasonable requests for access to any existing non-confidential information, report, legal opinion, analysis, or policy decision reasonably related to the S&A Fee. Such requests will be filled by the University Administration within three academic weeks, unless the Administration shows reasonable cause for an extension.
- B. The Committee will be apprised of the cost to comply with a request for information that requires expenditure of university resources beyond those already budgeted. The Committee may allocate funds from its budget to cover such costs or choose to forgo the requested information.

3. Oversight

- A. The Committee may participate in the budgetary oversight of any unit receiving funding to ensure allocations are spent in accordance with budget requests and Committee instructions.
- B. The Committee may give input on the operation of any unit receiving funding at either the Unit Orientation, Budget Hearing, or Final Budget Deliberation. Units are encouraged to incorporate such input whenever possible.
- C. The Committee may recommend an instruction be issued with an allocation specifying restrictions on how the funds may be spent. Such instructions may not be used by the Committee to engage in

micromanaging; however, should the Committee determine a unit is consistently disregarding input, specific instructions may be recommended. All such instructions must be incorporated into the Chairperson's Letter and shall be subject to Administration Review and Regent Approval.

4. Recommendations

A. The Committee may issue recommendations to the Board of Regents on any of the following issues:

- i. Level to be assessed by the S&A Fee. Such levels must consistent with the restrictions imposed by RCW 28B.15.041.
- ii. Annual allocations for the next academic year.
- iii. Alterations to allocations for the current academic year.
- iv. Issuing bonds to which S&A Fee funds will be obligated.
- v. Classes of students to be exempted from the S&A Fee.
- vi. The creation of new specialized S&A Fees.
- vii. Capital expenditures and savings plans.
- viii. The management of the Fund Balance.
- ix. Revisions to related State Statutes and Committee Guidelines.
- x. University investigations of units that the Committee believes have improperly spent allocated funds or disregarded Committee instructions.

B. The Committee may not issue recommendations to the Board of Regents which:

- i. Reduce the annual funding allocation to the Student Governments unless 2/3rds of the all voting members agree.
- ii. Threaten to default on bonds to which S&A Fee funds are obligated.
- iii. Involve issues not reasonably related to the S&A Fee.

5. Mid-year Allocations

A. The Committee may recommend, with the approval of the University Administration and subject to approval by the Board of Regents, changes to a unit's allocation at the unit's request. The Committee may not recommend decreasing the level of allocation.

B. The Committee may authorize, with the approval of the University Administration, the spending of funds reserved in the Fund Balance for specific purpose approved during previous Committee Terms. All such previous designations must be approved by the Board of Regents.

6. Committee Funding

A. The Committee Chairperson, with the assistance of Committee Staff, may request funds from the S&A Fee for the purposes of operating the Committee.

B. Committee Staff may spend from the Committee's allocation with the approval of the Committee Chairperson for regularly budgeted items. The Committee Chairperson will report all such authorizations to the Committee.

C. Non-budgeted items must be approved by the Committee.

University of Washington

GUIDELINES for the SERVICES AND ACTIVITIES FEE COMMITTEE

These guidelines describe the operation of the Services and Activities Fee Committee (SAFC) and are to be adhered to in the operation of the SAFC.

I. SERVICES AND ACTIVITIES FEES

Services and Activities Fees is defined in RCW 28B.15.041 to mean "fees, other than tuition fees, charged all students registering at the . . . state universities." "Services and Activities Fees shall be used . . . for the express purpose of funding student activities and programs." RCW 28B.10.300 authorizes the expenditure of Services and Activities Fees for the acquisition, construction, equipping, and betterment of lands, buildings and facilities.

The level of services and activities fees is authorized by the state legislature. The Board of Regents may exercise its authority to increase the fees to that level and formally allocates the total fees for both full-time and part-time students during the academic year.

Services and activities fees and revenues generated by programs and activities funded by such fees are deposited and expended through the University's budget and financial accounting systems, the responsibility for which resides with the University's chief fiscal officer. Such fees and revenues are subject to University policies, regulations and procedures as documented in the Handbook, Operations Manual and elsewhere, and to the Budget and Accounting Act of the State of Washington, Chapter 43.88 RCW.

All information pertaining to services and activities fee budgets shall be made available to interested parties.

With the exception of any funds needed for bond covenant obligations, once the budget for expending services and activities fees is approved by the Board of Regents, funds shall not be shifted from funds budgeted for associated students or departmentally-related categories or the reserve fund until the administration provided written justification to the Committee and the Board of Regents, or the Board of Regents gives its express approval.

Members of the Board of Regents shall adhere to the principle that Committee desires be given priority consideration on funding items that do not fall into the

categories of preexisting contractual obligations, bond covenant agreements, or stability for programs affecting students.

Services and activities fees expenditures for programs devoted to political or economic philosophies will result in the presentation of a spectrum of ideas.

II. COMMITTEE ON SERVICES AND ACTIVITIES FEES

The Committee on Services and Activities Fees (hereafter called Committee) is a committee appointed pursuant to RCW 28B.15.044 and 28B.15.045 to review all requests for support from the services and activities fees.

A. NUMBER OF MEMBER-REPRESENTATION AND CHAIR PERSON

The Committee is appointed by the President of the University and shall consist of seven voting members and five ex-officio members entitled to cast advisory ballots. The voting members shall be students, four recommended by the ASUW (Associated Students of the University of Washington) and three recommended by the GPSS (Graduate and Professional Student Senate). In making their recommendations for Committee appointments, the ASUW and GPSS should strive for a committee that represents diverse student interests. Up to three of the ex-officio members shall be from the administration, including one from the Office of the Vice President for Student Affairs, and one from the Office of the Vice President for Minority Affairs. Up to two of the ex-officio members shall be from the faculty, nominated by the chairperson of the Faculty Senate. It is recommended that one of the faculty representatives be from the Faculty Council for Student Affairs.

The voting members of the Committee shall annually select the chairperson of the Committee. An interim chairperson shall be selected at the last meeting of the academic year. The permanent chairperson shall be selected by the newly appointed committee no later than the first meeting of winter quarter. In the event that no current Committee members are to serve on the Committee the following year, the chairperson shall be selected as soon as practical after new members are appointed to the Committee.

B. TERMS OF MEMBERSHIP

Voting and non-voting members will be selected for one-year terms. Efforts should be made by the ASUW, the GPSS, the Vice President for Student Affairs, and the Chair of the Faculty Senate to select at least one member from each group who is willing to commit to serve more than a one-year term. In the event individuals are identified who are willing to serve more than a one-year term, each individual is subject to renomination for a second year by the respective organization they are chosen to represent.

No individual shall serve on the Committee for more than five consecutive years. Beginning in the 1999-2000 school year any voting and non-voting members who have served more than five consecutive years must be replaced. Individuals who have served for five or more consecutive years may be reappointed after a one-year hiatus at which time they will once again be subject to a new five-year limit.

No more than one GPSS officer and one ASUW Board of Control member can sit on the Committee at any one time. New administrations of both the ASUW and GPSS may request the President to replace any of their respective representatives at the beginning of each academic year.

The term of appointment will normally be from July 1 to June 30 or at the date of appointment by the President of the University until a replacement is named. Appointments shall be made by July 1 when practical.

Vacancies will be replaced in the same manner as provided for new appointments. In the case that an individual is unable to serve the duration of his/her term, a replacement will be appointed to serve out the duration of the vacated term.

C. RESPONSIBILITIES OF MEMBERSHIP

The responsibility for developing and maintaining effective communications within the Committee and therefore with the rest of the campus community represented thereon is shared by all members. Active participation in the deliberations of the Committee and its various subcommittees is expected of all members, as is a willingness to engage in constructive dialogue on any issues being considered. To assure a common understanding of the responsibilities and expectations associated with Committee membership and the respective roles of its voting and ex-officio members, these matters will be incorporated into orientation programs for new and continuing members.

D. ADVISORY RESPONSIBILITIES AND PROCEDURES

1. ALL SERVICES AND ACTIVITIES FEES

At the beginning of each academic year, a summary of projected income and expenditures from the services and activities fees shall be provided to the Committee by the Office of the Vice president for Student Affairs. The Committee may send comments on this report to the Vice President for Student Affairs.

The Committee shall concern itself primarily with requests for new programs for the portions of the services and activities fees designated as "Student Facilities and Programs."

2. SERVICES AND ACTIVITIES FEES (STUDENT ACTIVITY FACILITIES AND STUDENT FACILITIES AND PROGRAMS)

The responsibility for proposing to the administration and the Board of Regents program priorities and budget levels will reside with the Committee. The Committee will review all requests for services and activities fees funding.

The Committee may request information for the purposes of evaluating existing and proposed programs and projects.

The Committee's recommendations and those of the Vice President for Student Affairs shall be required before funding will be recommended for new projects, for existing programs not now funded by services and activities fees, or for major increases in existing programs funded by services and activities fees.

The Vice President for Student Affairs will be the principal intermediary between the Committee and the Board of Regents. The Vice President for Student Affairs will meet with the Committee at appropriate intervals in its budget-formulation process to respond to emergent ideas and issues. In addition to participating in the budget hearings of the Committee to the extent necessary to achieve an understanding of the activities being considered for funding, the Vice President for Student Affairs will respond in writing to specific written proposals submitted by the Committee and take other actions as needed to assure that the lines of communication to the Committee remain open.

The recommendations with supporting documentation of the Committee which are developed as a result of its budget hearings shall be sent to the Vice President for Student Affairs and the Board of Regents.

When a Committee decision is not unanimous, minority opinions may be reported by the dissenting member(s) to the Vice President for Student Affairs.

The Vice President shall respond to the Committee recommendations in writing, outlining areas of agreement and potential areas of

disagreement, allowing reasonable time for response, and clearly setting forth the next step in the review process. In the event of a dispute or disputes involving the Committee recommendations, the administration shall meet with the Committee in a good faith effort to resolve such dispute or disputes prior to submittal of final recommendations to the Board of Regents.

If the dispute is not resolved within fourteen days, a dispute resolution committee shall be convened by the chair of the Committee within fourteen days.

The dispute resolution committee shall be selected as follows: The administration shall appoint two nonvoting advisory members; the Board of Regents shall appoint three voting members; and the Committee chairperson shall appoint three student members of the Committee who will have a vote, and one student representing the Committee who will chair the dispute resolution committee and be nonvoting except in the case of a tie vote.

The Board of Regents may take action on those portions of the services and activities fees budget not in dispute and shall consider the results, if any, of the dispute resolution committee and take action.

The Vice President for Student Affairs and student representatives of the Committee will distribute to the Board of Regents the recommendations on the distribution of services and activities fees budget and dispute resolution, and all pertinent documentation.

E. COMMITTEE OPERATING PROCEDURES

1. MEETINGS

- a. Meetings shall be held on a regularly scheduled basis.
- b. Special meetings may be called by the chairperson of the Committee on his/her own initiative or:
 1. at the request of the Vice President for Student Affairs,
or
 2. at the request of three or more members of the Committee.
- c. A basic responsibility of the Committee is to provide full information to the community (especially the students) concerning projects funded from the services and activities fees. To achieve this objective, the Committee shall hold

open meetings and may arrange to hold public hearings on major issues presented to it. The Committee shall give adequate notice to the campus community through such devices as The Daily and special communications to any portion of the University community especially affected.

- d. Notice will be sent to members with an agenda at least five days in advance of regular meetings and three days in advance of a special meeting.
- e. A quorum shall consist of five voting members of the Committee and two ex-officio members. Proxies will not be permitted for voting purposes.
- f. All meetings shall be conducted in accordance with the latest edition of Sturgis Standard Code of Parliamentary Procedures. Deviations from such procedures will be at the discretion of the chair with the approval of the Committee.

1. REQUESTS FOR OPERATION OR CAPITAL FUNDING

- . All requests shall be submitted in writing to the chairperson, who will review them to insure that adequate information is provided.
- a. All requests received shall be so listed by title and source in the next agenda for a regular meeting.
- b. Any request so listed may be called for under new business by any members.
- c. Copies of all requests will be distributed to all members of the Committee in advance with the agenda for the meeting at which the request will be considered.
- d. Any member of the University campus community may submit a request.
- e. Consideration of a request will be by motion and vote taken; a majority of those voting members present is necessary for approval by the Committee of a project.
- f. The chairperson shall transmit all recommendations of the Committee (including reports on any dissenting opinions) for a project to the Vice President for Student Affairs.
- g. The Committee may appeal to the Board of Regents if they feel that these guidelines have not been followed, that their participation has been unduly curtailed, or that the funds have been used for purposes outside of these guidelines. In any event, the decision of the Board of Regents shall be final.

2. ADDITIONAL RESPONSIBILITIES OF CHAIR PERSON

- . Calling and presiding over meetings (designating an acting chairperson in the absence of the chairperson)

- a. Being informed of all projects committed, approved or pending
 - b. Issuing an annual report of the Committee activities to the Board of Regents if the budget recommendations of the Committee (item 2g) do not sufficiently explain the full activities of the Committee.
3. STAFF ASSISTANCE
- . Information, background material, and staff help will be provided by the Office of the Vice President for Student Affairs.

The original version of these guidelines was approved by the President of the University on August 18, 1972. Subsequent revisions were approved by the President on July 14, 1978, June 8, 1979, October 4, 1980 and January 8, 1982. As mandated by newly adopted law, the Board of Regents approved later revisions on December 12, 1980 and January 15, 1982 and July 19, 1991. The present version was approved by the Services and Activities Fee Committee on June 3, 1999 and by the University Board of Regents on September 17, 1999.

VII. STANDING COMMITTEES**B. Finance, Audit and Facilities Committee**Savery Hall Renovation – Schematic Design PresentationRECOMMENDED ACTION:

The Schematic Design phase of the project is nearing completion. This presentation is for information only.

Project Description

Savery Hall, located on the Arts and Sciences quadrangle of the Seattle campus, was built in two phases. The north structure was built in 1916 and the south addition in 1919. Savery Hall is a major instructional building with 35 percent of the assignable area in classroom use. Altogether there are 25 general assignment classrooms with a total of 1,025 seats. Savery Hall houses the College of Arts and Sciences' Departments of Economics, Philosophy, and Sociology, and also provides space for the Center of Social Science Computation and Research (CSSCR), a computer resource center that provides facilities and support of all the social science units. The project is proposed to seismically stabilize the building, abate an exterior asbestos masonry sealer, restore the building infrastructure and in general renew the facility for the current occupants.

Previous Action

The project was first presented to the Board of Regents in June 2005 and delegated authority was approved to award a design contract. At the July 2005 meeting, the selection and appointment of SRG Partnership was reported. At the November 2005 meeting, the Project Presentation was made to the Finance, Audit and Facilities Committee: the project budget was established at \$61,200,000; the use of alternative public works utilizing the General Contractor/Construction Manager (GC/CM) method of contracting was approved; and the President was delegated authority to award construction contracts, subject to no significant change in the scope, the forecast cost being within 10% of the budget and funding being in place.

Scope of the Project

Savery Hall, with 102,105 gross square feet, is planned for a major renovation in 2007-2009. Savery Hall has been identified as one of the most critical renovation projects on the Seattle Campus. A comprehensive remodel will be required of most of the building's occupied areas. Savery Hall has been evaluated for seismic conditions and will be strengthened to better resist earthquakes and tie the two building structures together. There are numerous ornamental masonry

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Savery Hall Renovation – Schematic Design Presentation (continued p. 2)

attachments that are required to be better secured to the building's structure. The building exterior will be abated for asbestos, cleaned and sealed and architectural features will be preserved where appropriate in the building hallways and common areas. The project scope will include correction of seismic deficiencies, as well as a replacement of the electrical, lighting, mechanical, and communications systems. A second elevator, replacement of the existing elevator, modifications to restrooms, ramping and other access improvements will also be included in the renovation to bring this heavily used instructional building into current ADA compliance. The proposed project budget includes converting unused attic space by adding new structure, systems, finishes and equipment for an additional 10,000 assignable square feet within the current building envelope.

This project is one of the four projects in the third sequence of the University's restoration program and is dependent upon both the Architecture and Guggenheim restoration projects being completed on schedule to have Condon Hall available for surge space. This project needs to be done on schedule to allow the next phase of restoration projects access to the Condon Hall surge space.

Schedule

Architect Selection	June 2005
Pre-design	July 2005 to December 2005
Funding Allotment	December 2005 to April 2006
Design	April 2006 to April 2008
Award GC/CM Contract	July 2006
Move to Condon Hall	September 2007
Construction	September 2007 to July 2009
Occupancy and Use	September 2009

Current Project Status

The schematic design submittal and cost estimate have been prepared by SRG Architects. Currently the cost estimate is approximately \$1,600,000 over the construction budget of \$36,200,000. The University will work with SRG Architects to refine the schematic design to meet the project budget. The revised schematic design, that meets the project budget, will be submitted to the University for review and approval.

The University is in the process of selecting a general contractor/construction manager (GC/CM). In response to the request for qualifications, two firms submitted proposals for GC/CM services. The proposals were evaluated and the two firms were interviewed on June 28, 2006. Both firms were chosen to submit

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Savery Hall Renovation – Schematic Design Presentation (continued p. 3)

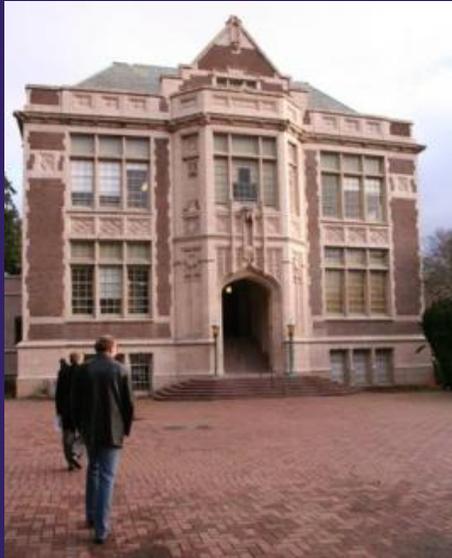
final proposals for fee and general conditions with the final proposals due in late July.

Significant Risks or Opportunities

- The State has approved funding of \$6.6 million for pre-design and design. The construction funding of \$54.6 million must be approved in the 2007 legislative session.
- The current budget includes the estimated amount required to achieve the recent state requirement of LEED Silver for this type of project.
- Surge space for the occupants of Savery Hall will be in Condon Hall and is dependent on the completion of Architecture Hall and Guggenheim Hall renovation construction in fall 2007.
- Other issues may arise as part of the pre-design as the building is more fully studied.
- Extraordinary escalation due to market conditions in the Seattle commercial building marketplace has greatly increased construction costs and will affect the extent of building renovation that can be realized.

Capital Projects Office Savery Hall Renovation Project

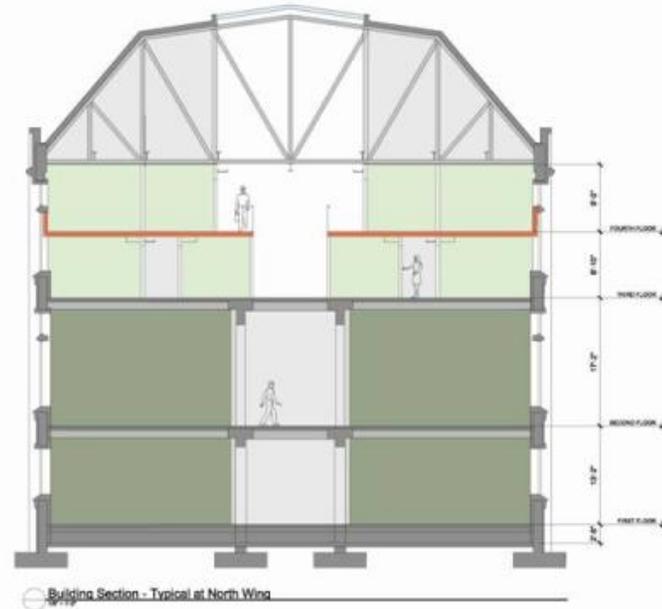




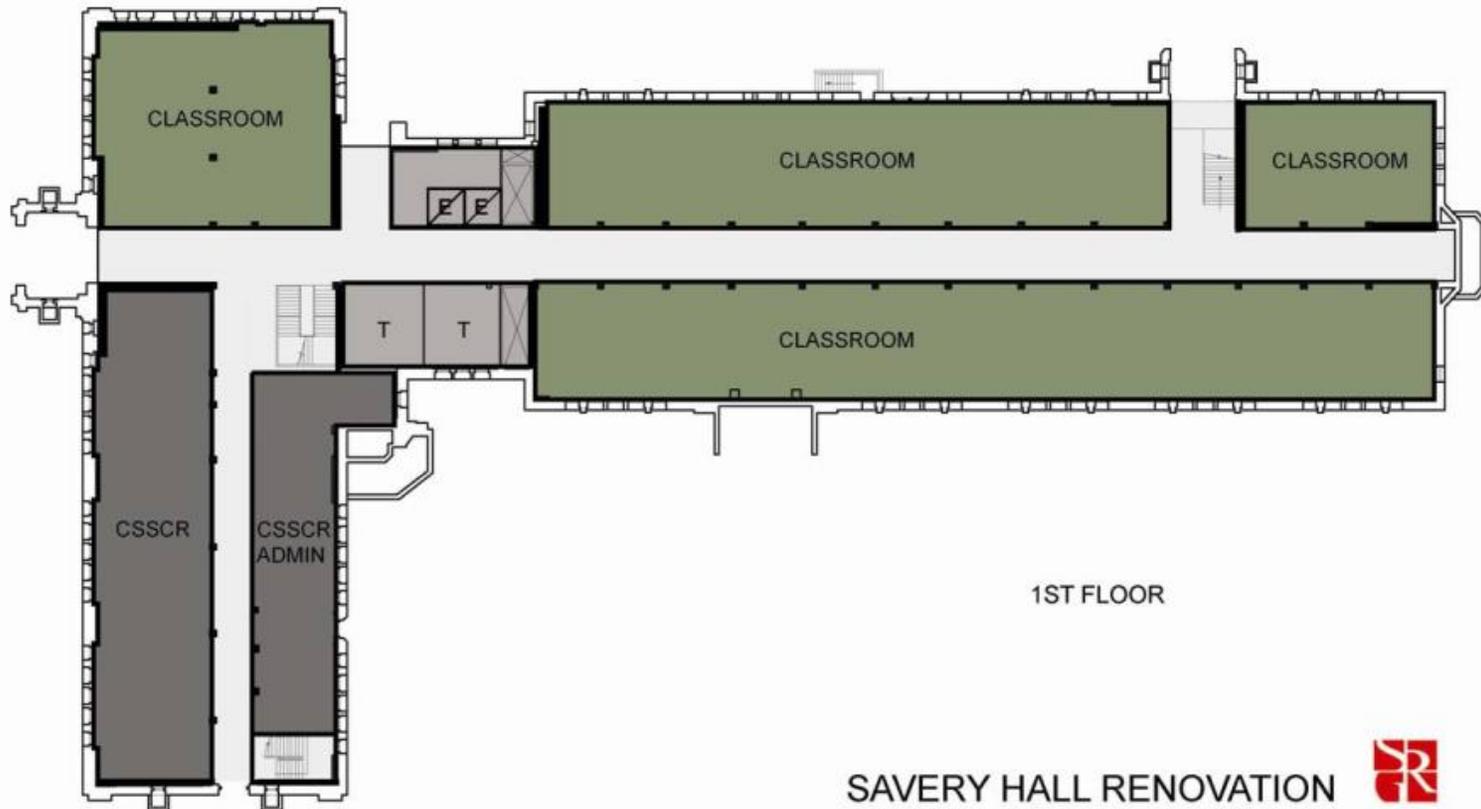
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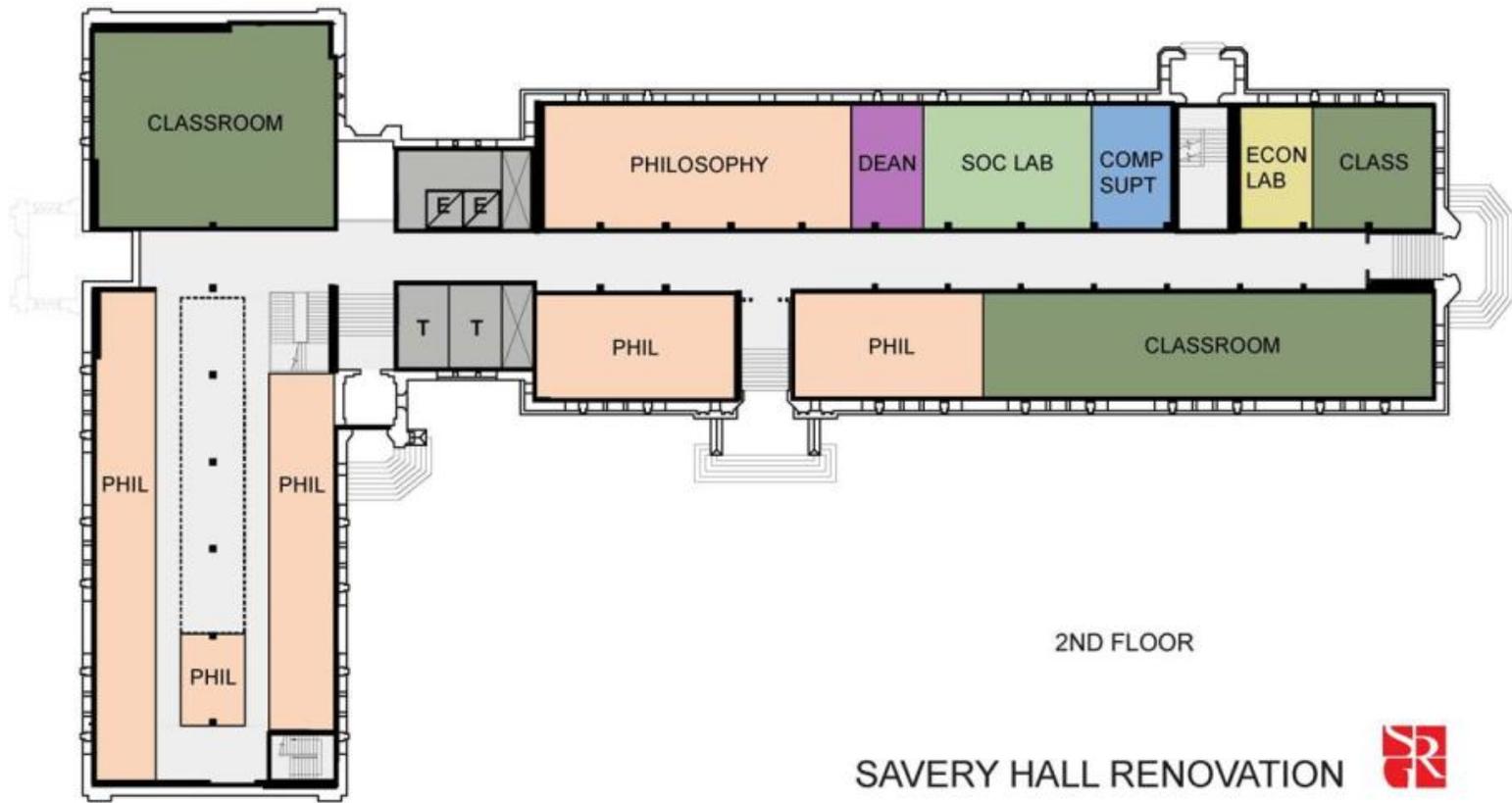
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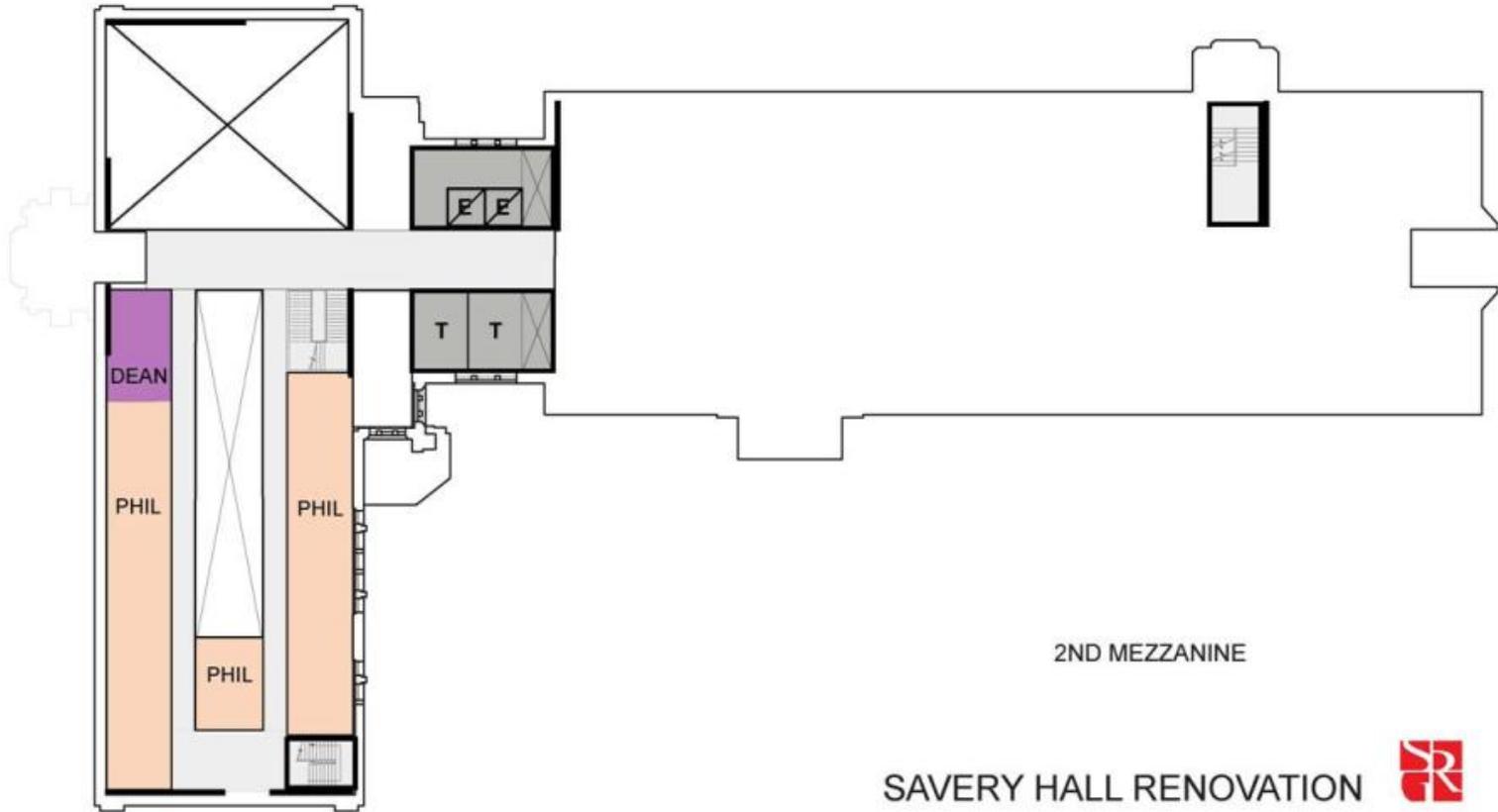
Transverse Sections

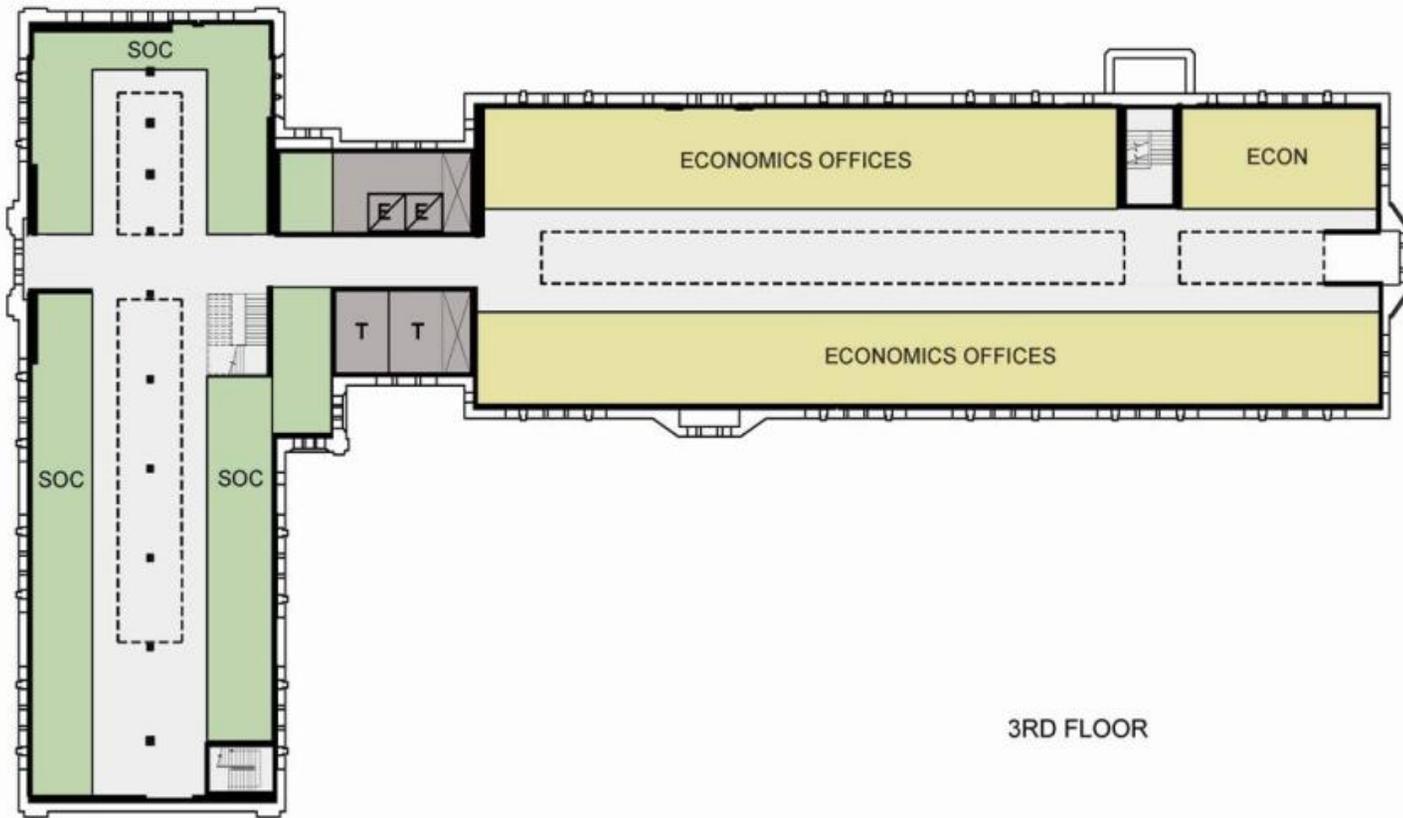


SAVERY HALL RENOVATION 





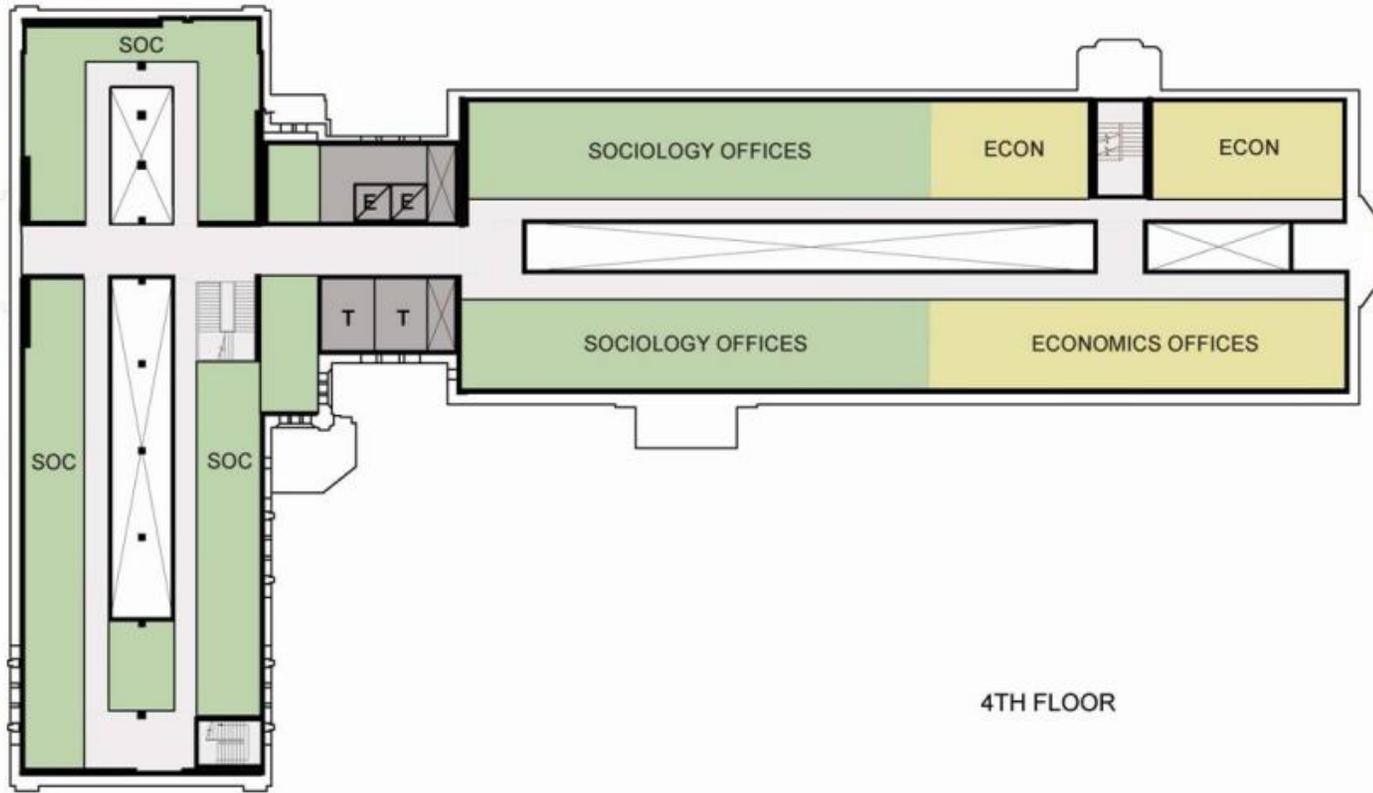




3RD FLOOR

SAVERY HALL RENOVATION

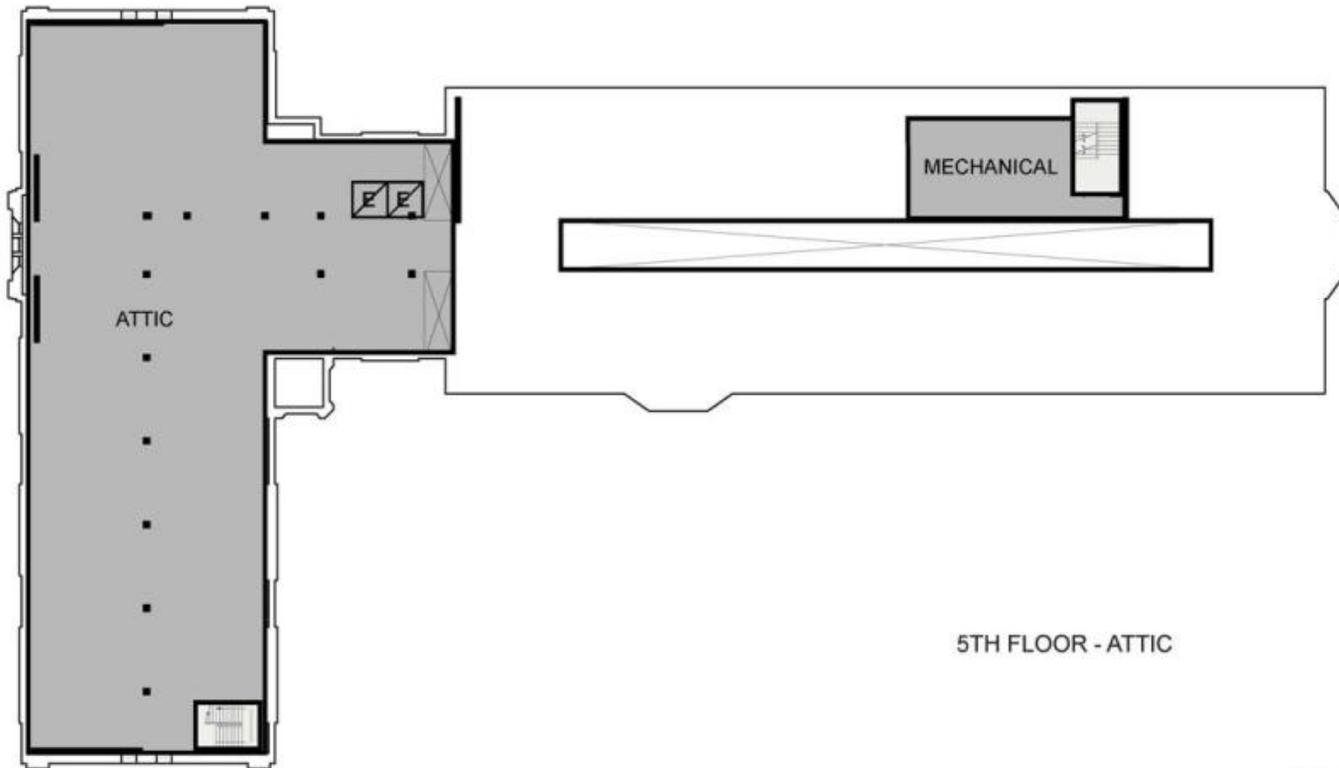




4TH FLOOR

SAVERY HALL RENOVATION





5TH FLOOR - ATTIC

SAVERY HALL RENOVATION

