#### VII. STANDING COMMITTEES

## B. Finance, Audit and Facilities Committee

Grant and Contract Awards - March, 2008

#### **RECOMMENDED ACTION:**

It is the recommendation of the administration and the Finance and Audit Committee that the Board of Regents accept the Grant and Contract Awards as presented on the attached list.

Attachment: Grant and Contract Awards Summary Report of Grant and Contract Awards of \$1,000,000 or More

F-2/207-08 7/17/08

# **Grant and Contract Awards Summary**

to

The Board of Regents

of the

**University of Washington** 

for

## **March 2008**

Office of Research

Office of Sponsored Programs

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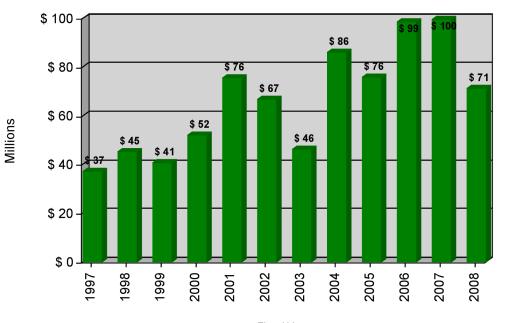
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#### MARCH 2008 HIGHLIGHTS

The *WA State Department of Ecology* awarded a grant in the amount of \$119,137 for a project under the direction of Associate Professor Christian Grue of the *School of Aquatic and Fishery Sciences* entitled "Technical, economic, and environmental assessment of using organic urban waste to produce bio-ethanol." Paper and wood residue makeup approximately 3.5 million tons of Washington's municipal solid waste stream. The objective of the research is to evaluate the potential for using municipal solid waste lignocellulosic material to produce bio-ethanol. The research has three major components: develop a process for producing bio-ethanol from municipal solid waste, perform a techno-economic analysis of that process to assess its energy potential and economic, and perform a lifecycle analysis of converting the municipal solid waste to bio-ethanol to assess the broad environmental impact of the proposed system. The results of this research will define the feasibility, the process, and the impact of using the municipal solid waste stream as a raw material to produce bio ethanol, and should provide a foundation for future research on ways to more effectively use this organic waste stream.

The *John A. Hartford Foundation* awarded a grant in the amount of \$200,000 for a project under the direction of Dr. Stephen M. Thielke of the *Department of Psychiatry and Behavioral Sciences* entitled "The Effects of Pain on Health and Quality of Life in Older Adults." Pain is common in older adults, and causes significant suffering and disability. Recent research has suggested that common painful conditions are associated with greater declines in physical health than are other chronic conditions such as diabetes or congestive heart failure. There is also evidence that pain is associated with higher healthcare costs, and may explain the increased costs in patients with depression. The research will investigate the effects of pain on health outcomes and quality of life measures in four large existing longitudinal datasets. The results will be used to identify patients showing the worst pain-related adverse health outcomes, and to begin development of interventions that can better treat pain and improve health in older adults.

The *Paul G. Allen Family Foundations* awarded a grant in the amount of \$600,000 for a project under the direction of Associate Professor Shannon K. Dudley of the *School of Music* entitled "Seattle Partnership for American Popular Music." The Seattle Partnership for American Popular Music (SPAPM) is a partnership between the University of Washington, the Experience Music Project/Science Fiction Museum, and KEXP radio. It promotes the role of music in our communities and our individual lives, stretches the capacity of all three of the participating organizations, and provides each organization with a reliable network for music resources. Specific projects sponsored by SPAPM will include a series of lectures, performances, and workshops titled, "Music in American Cultures;" KEXP radio modules produced in collaboration with UW staff and students; an annual Pop Music Conference; EMP museum exhibits and associated educational programming; and music education outreach to public schools in Seattle and Yakima.

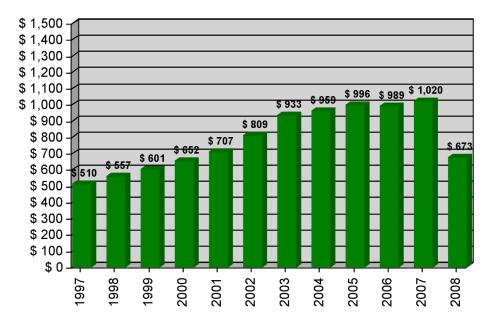


March Only

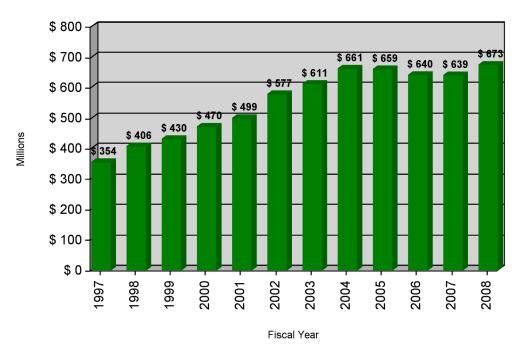
**Comparison of Grant & Contract Awards** 

Fiscal Year

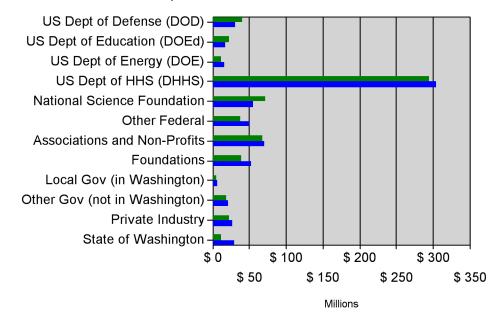
#### **Complete Fiscal Year** Comparison of Grant & Contract Awards



**Fiscal Year to Date** Comparison of Grant & Contract Awards



#### **Fiscal Year to Date** Two-Year Comparison of Grant and Contract Awards



Fiscal Year

Jul-Mar FY07 Jul-Mar FY08

Millions

		FISCAL YEAR 2	2007-2008			
	RESEARCH AND OTHER TRAINING		TRAINING G		Total Grants and	
Month	Federal	Non-Federal	Federal	Non-Federal	Contracts	
July	\$ 54,889,260	\$ 15,048,400	\$ 7,034,788	\$ 5,593,291	\$ 82,565,740	
August	\$ 111,423,800	\$ 21,120,610	\$ 10,878,860	\$ 1,041,935	\$ 144,465,200	
September	\$ 62,869,760	\$ 24,344,880	\$ 11,411,270	\$ 1,857,398	\$ 100,483,300	
October	\$ 75,921,240	\$ 38,888,260	\$ 6,410,827	\$ 2,183,915	\$ 123,404,200	
November	\$ 11,290,950	\$ 14,589,700	\$ 319,941	\$ 1,747,225	\$ 27,947,810	
December	\$ 16,090,690	\$ 16,532,470	\$ 385,596	\$ 1,928,891	\$ 34,937,650	
January	\$ 19,244,150	\$ 17,965,880	\$ 912,526	\$ 1,377,304	\$ 39,499,860	
February	\$ 27,736,200	\$ 18,007,790	\$ 1,215,807	\$ 1,929,782	\$ 48,889,580	
March	\$ 51,435,740	\$ 17,396,780	\$ 1,188,447	\$ 1,207,529	\$ 71,228,500	
FY08 to Date	\$430,511,779	\$184,284,767	\$39,285,942	\$19,339,385	\$673,421,874	
FY07 to Date	\$445,565,647	\$153,834,583	\$31,192,911	\$8,720,311	\$639,313,452	
Over (Under) Previous Year	(\$15,053,868)	\$30,450,184	\$8,093,031	\$10,619,074	\$34,108,421	

## Summary of Grant and Contract Awards

Fiscal Year 2007-2008

Agency	Jul-Mar FY07	Jul-Mar FY08
US Department of Defense (DOD)	\$ 40,373,026	\$ 30,125,982
US Department of Education (DOEd)	\$ 22,074,150	\$ 16,459,371
US Department of Energy (DOE)	\$ 11,052,111	\$ 15,175,749
US Department of Health and Human Services (DHHS)	\$ 294,270,645	\$ 303,752,892
National Science Foundation (NSF)	\$ 71,098,411	\$ 54,350,311
Other Federal	\$ 37,890,215	\$ 49,933,416
Subtotal for Federal :	\$ 476,758,558	\$ 469,797,722
Associations and Non-Profits	\$ 67,301,631	\$ 70,180,843
Foundations	\$ 38,529,807	\$ 51,744,511
Local Government (in Washington)	\$ 4,858,010	\$ 5,485,172
Other Government (not in Washington)	\$ 18,194,955	\$ 20,731,993
Private Industry	\$ 22,249,260	\$ 26,864,564
State of Washington	\$ 11,421,233	\$ 28,617,069
Subtotal for Non-Federal :	\$ 162,554,895	\$ 203,624,152
Grand Total :	\$ 639,313,452	\$ 673,421,874

## Comparison of Grant and Contract Awards by Agency Fiscal Years 2006-2007 and 2007-2008

Amount of Increase (Decrease) :	\$ 34,108,421
Percent of Increase (Decrease) :	5.3 %

## Comparison of Grant and Contract Awards by School/College

Fiscal Years 2006-2007	and 2007-2008
------------------------	---------------

School/Colle	ge	Jul-Mar FY07	Jul-Mar FY08
Upper			
Campus	Architecture and Urban Planning	\$ 805,491	\$ 884,190
	Arts and Sciences	\$ 62,897,613	\$ 66,718,971
	Business Administration	\$ 113,418	\$ 740,458
	Computing & Communications	\$ 100,000	
	Director of Libraries	\$ 6,965	\$ 116,500
	Education	\$ 12,839,790	\$ 2,951,845
	Educational Outreach	\$ 386,028	\$ 287,668
	Engineering	\$ 55,652,491	\$ 56,313,451
	Evans School of Public Affairs	\$ 5,189,657	\$ 2,908,560
	Executive Vice President		\$ 208,000
	Forest Resources	\$ 4,064,597	\$ 4,576,576
	Graduate School	\$ 2,355,258	\$ 1,058,653
	Information School	\$ 1,651,802	\$ 5,531,239
	Law	\$ 114,750	\$ 384,734
	Ocean and Fishery Sciences	\$ 62,341,737	\$ 64,415,339
	Office of Research	\$ 19,155,789	\$ 18,019,265
	Provost		\$ 200,000
	Social Work	\$ 6,946,243	\$ 9,635,700
	Undergraduate Education	\$ 1,250,161	\$ 363,372
	VP Educational Partnerships	\$ 131,347	\$ 43,745
	VP Minority Affairs	\$ 4,801,813	\$ 7,034,709
	 Subtotal :	\$ 240,804,948	\$ 242,392,974
lealth			
Sciences	Dentistry	\$ 5,392,220	\$ 6,393,357
	Health Sciences Admin	\$ 61,000	
	Medical Centers	\$ 1,000	
	Medicine	\$ 313,878,807	\$ 325,851,658
	Nursing	\$ 9,848,401	\$ 10,776,170
	Pharmacy	\$ 6,371,769	\$ 6,362,438
	Public Health and Community Medicine	\$ 46,863,059	\$ 51,987,703
	Subtotal :	\$ 382,416,255	\$ 401,371,326
Special			
Programs	Alcohol and Drug Abuse Institute	\$ 980,035	\$ 3,413,057
	CHDD Administration	\$ 8,386,924	\$ 7,571,760
	Regional Primate Center	\$ 5,812,170	\$ 16,722,351
	Subtotal :	\$ 15,179,129	\$ 27,707,168
Other UW			
Campuses	Bothell	\$ 680,323	\$ 1,301,517

School/Colleg	e		Jul-Mar FY07	Jul-Mar FY08
Other UW	Tacoma		\$ 232,796	\$ 322,285
Campuses		Subtotal :	\$ 913,119	\$ 1,623,802
Not Indicated	Not Indicated			\$ 326,603
		Subtotal :		\$ 326,603
		Grand Total :	\$ 639,313,452	\$ 673,421,874

### List of Awards for Arts and Sciences Fiscal Years 2006-2007 and 2007-2008

Department	Jul-Mar FY07	Jul-Mar FY08
Center for Statistics and the Social Sciences	\$ 809,139	\$ 736,119
Center for Studies in Demography and Ecology	\$ 1,248,780	\$ 1,889,361
Department of Anthropology	\$ 139,029	\$ 249,016
Department of Applied Mathematics	\$ 1,726,247	\$ 477,419
Department of Astronomy	\$ 2,145,052	\$ 4,214,124
Department of Atmospheric Sciences	\$ 5,057,732	\$ 3,212,748
Department of Biology	\$ 8,516,407	\$ 4,982,103
Department of Chemistry	\$ 12,869,390	\$ 17,891,190
Department of Communication	\$ 1,541,100	\$ 1,395,680
Department of Comparative Literature	\$ 21,178	\$0
Department of Earth and Space Sciences	\$ 3,326,383	\$ 4,354,364
Department of Economics	\$ 24,800	\$ 6,575
Department of English	\$ 5,000	\$ 77,573
Department of Geography	\$ 180,909	\$ 336,787
Department of Germanics	\$ 53,000	\$ 98,000
Department of History	\$ 70,694	\$0
Department of Linguistics	\$ 87,119	\$ 255,603
Department of Mathematics	\$ 1,045,925	\$ 2,434,746
Department of Physics	\$ 7,643,197	\$ 8,999,851
Department of Political Science	\$ 558,733	\$ 1,507,855
Department of Psychology	\$ 8,137,053	\$ 7,535,485
Department of Romance Languages	\$ 6,000	\$0
Department of Sociology	\$ 170,649	\$ 10,350
Department of Speech and Hearing Sciences	\$ 950,332	\$ 1,572,028
Department of Statistics	\$ 694,288	\$ 765,171
Department of Women Studies	\$ 23,592	\$ 55,000
Gaining Early Awareness and Readiness for Undergraduate Programs	\$ 750,000	\$ 790,660
Henry M. Jackson School of International Studies	\$ 3,629,331	\$ 1,773,325
Institute for Nuclear Theory	\$ 369,000	\$ 111,000
Language Learning Center	\$ 20,000	\$ 76,000
Law, Societies and Justice Program	\$ 90,017	\$0
Public Performing Arts	\$ 135,565	\$ 150,338
School of Art	\$ 48,000	\$0
School of Drama	\$0	\$ 75,000
School of Music	\$ 300,000	\$ 600,000
Thomas Burke Memorial Washington State Museum	\$ 503,976	\$ 85,495
Total ·	\$ 62.897.610	\$ 66 718 970

Total : \$62,897,610 \$66,718,970

## **Summary of Grant Awards**

#### Fiscal Year 2007-2008

Excluding private awards from Foundations, Industry, Associations and Others

	RESEARCH	AND OTHER	TRAI	NING	
Month	Federal	Non-Federal	Federal	Non-Federal	Total Grants
July	\$ 54,392,780	\$ 1,031,886	\$ 7,034,788	\$ 0	\$ 62,459,460
August	\$ 106,644,800	\$ 2,487,815	\$ 10,875,880	\$ 199,000	\$ 120,207,500
September	\$ 54,737,540	\$ 855,616	\$ 11,410,000	\$ 0	\$ 67,003,160
October	\$ 69,439,460	\$ 3,387,818	\$ 6,360,827	\$ 194,787	\$ 79,382,900
November	\$ 7,672,178	\$ 2,205,321	\$ 319,941	\$ 21,146	\$ 10,218,590
December	\$ 13,839,270	\$ 1,071,375	\$ 385,596	\$ 203,738	\$ 15,499,980
January	\$ 16,138,890	\$ 3,928,468	\$ 624,062	\$ 108,039	\$ 20,799,460
February	\$ 24,350,010	\$ 1,202,499	\$ 1,215,807	\$ 0	\$ 26,768,320
March	\$ 47,558,410	\$ 3,106,175	\$ 1,188,447	\$ 7,500	\$ 51,860,530
Year to Date	\$ 394,773,400	\$ 19,276,970	\$ 39,415,340	\$ 734,210	\$ 454,199,900

## **Summary of Grant Awards**

#### Fiscal Year 2007-2008

Month	RESEARCH AND OTHER	TRAINING	Total Grants
July	\$ 5,529,786	\$ 223,067	\$ 5,752,853
August	\$ 12,792,000	\$ 604,407	\$ 13,396,400
September	\$ 16,434,390	\$ 237,863	\$ 16,672,250
October	\$ 25,846,500	\$ 150,020	\$ 25,996,520
November	\$ 6,912,596	\$ 233,710	\$ 7,146,306
December	\$ 9,944,877	\$ 1,380,140	\$ 11,325,020
January	\$ 8,045,577	\$ 700	\$ 8,046,277
February	\$ 10,692,720	\$ 493,319	\$ 11,186,040
March	\$ 8,725,010	\$ 942,268	\$ 9,667,278
Year to Date	\$ 104,923,400	\$ 4,265,494	\$ 109,188,900

Private awards from Foundations, Industry, Associations and Others

		Fiscal Year 2007-	2008		
	RESEARCH	AND OTHER	TRAII	NING	Total
Month	Federal	Non-Federal	Federal	Non-Federal	Contracts
July	\$ 496,481	\$ 8,486,723	\$ O	\$ 5,370,224	\$ 14,353,430
August	\$ 4,778,947	\$ 5,840,805	\$ 2,977	\$ 238,528	\$ 10,861,260
September	\$ 8,132,220	\$ 7,054,878	\$ 1,273	\$ 1,619,535	\$ 16,807,910
October	\$ 6,481,776	\$ 9,653,934	\$ 50,000	\$ 1,839,108	\$ 18,024,820
November	\$ 3,618,770	\$ 5,471,781	\$ O	\$ 1,492,369	\$ 10,582,920
December	\$ 2,251,427	\$ 5,516,219	\$ O	\$ 345,013	\$ 8,112,658
January	\$ 3,105,263	\$ 5,991,835	\$ 288,464	\$ 1,268,565	\$ 10,654,130
February	\$ 3,386,189	\$ 6,112,575	\$ 0	\$ 1,436,463	\$ 10,935,230
March	\$ 3,877,332	\$ 5,565,595	\$ 0	\$ 257,761	\$ 9,700,688
Year to Date	\$ 36,128,410	\$ 59,694,340	\$ 342,714	\$ 13,867,570	\$ 110,033,000

Summary of Contract Awards

# Report of Grant and Contract Awards of \$1,000,000 or More

## March 2008

**Requiring action of** 

The Board of Regents

of the

**University of Washington** 

Office of Research

**Office of Sponsored Programs** 

Federal

US De	partmer	nt of Energy (DOE)				
US	S Depart	ment of Energy (DOE)				
	To:	Alan Lowell Hoffman, Professor Aeronautics and Astronautics		\$ 1,538,000		
	For: Rotating Magnetic Field Generation & Sustainment of FRCs Using TCS/upgrade					
	Eff:	8/7/1996	Classified: No			
	To:	Derek Storm, Director Department of Physics		\$ 3,506,000		
	For:	Experimental Nuclear Physics				
	Eff:	12/1/2007	Classified: No			
		or US Department of Energy (DOE):		\$ 5,044,000		
То	otal for U	IS Department of Energy (DOE):		\$ 5,044,000		
US De	partmer	nt of Health and Human Services (DHHS)				
Na	ational Ir	nstitutes of Health (NIH)				
	To:	Ann C Collier, Professor Department of Medicine		\$ 1,157,590		
	For:	University of Washington Clinical HIV Integrated Res	search Program			
	Eff:	12/1/2007	Classified: No			
	To:	John J. Albers, Research Professor Department of Medicine		\$ 1,918,273		
	For:	Human Lipoprotein Pathophysiology				
	Eff:	3/1/2008	Classified: No			
	To:	Stanley C Froehner, Professor Department of Physiology and Biophysics		\$ 1,248,156		
	For: Molecular and Cellular Therapies for Muscular Dystrophy					
	Eff:	4/1/2008	Classified: No			
	To:	Bruce M. Psaty, Professor Department of Medicine		\$ 1,381,866		
	For:	WGA Study to identify Genetic Variants Associated in CHS	with CV Events			
	Eff:	3/1/2008	Classified: No			
	To:	Raymond J Monnat, Professor Department of Pathology		\$ 1,367,754		
	For:	Genetic Instability in Werner Syndrome	<b>.</b>			
	Eff:	3/1/2008 or National Institutes of Health (NIH):	Classified: No			
		\$ 7,073,639				
То	\$ 7,073,639					
Other	Federal					
National Sea Grant College Program						
	To: Penelope Dalton, Director Marine Environmental and Resource Programs / Washington Sea Grant			\$ 2,620,000		
	For: Eff:	Program Plan for Renewal of Sea Grant College Sup 2/1/2007	oport 2007-2010 Classified: No			

#### Total for National Sea Grant College Program: 14 of 16

Total for	Other Federal: Detail of Public Grant Awards		\$ 2,620,000			
Federal Total for Fed Other Federa			<del>\$ 14,737,639</del>			
Other Government (not in Washington)						
Arizona S	State University					
To:	Babak Amirparviz, Assistant Professor Electrical Engineering		\$ 1,761,329			
For:	CEGS: Microscale Life Sciences Center					
Eff:	8/1/2007	Classified: No				
Total	for Arizona State University:		\$ 1,761,329			
Total for Other Government (not in Washington):			\$ 1,761,329			
Total Public Gra	\$ 16,498,968					

Federal		
US Departm	ent of Health and Human Services (DHHS)	
National	Institutes of Health (NIH)	
Natio	onal Heart, Lung, and Blood Institute (NHLBI)	
To:	Richard A Kronmal, Professor Department of Biostatistics	\$ 1,098,365
For:	Multi-Ethnic Study of Atherosclerosis	
Eff:	Eff: 12/21/1998 Classified: No	
	Total for National Heart, Lung, and Blood Institute (NHLBI)	): \$ 1,098,365
Tota	\$ 1,098,365	
Total for	\$ 1,098,365	
Total for Fe	\$ 1,098,365	
Total Contracts	\$ 1,098,365	
Grand Total for	\$ 17,597,333	