VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Grant and Contract Awards – October, 2007

RECOMMENDED ACTION:

It is the recommendation of the administration and the Finance and Audit

Committee that the Board of Regents accept the Grant and Contract Awards as
presented on the attached list.

Attachment: Grant and Contract Awards Summary

Report of Grant and Contract Awards of

\$1,000,000 or More

Grant and Contract Awards Summary

to

The Board of Regents

of the

University of Washington

for

October 2007

Office of Research

Office of Sponsored Programs

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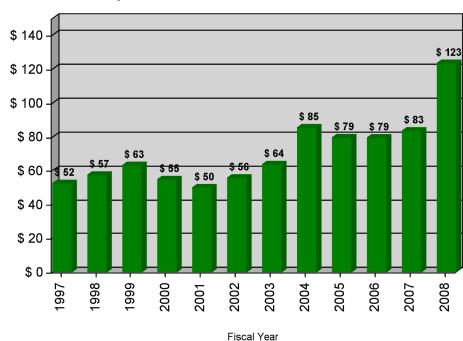
OCTOBER 2007 HIGHLIGHTS

The *National Institutes of Health* awarded a grant in the amount of \$10,470,746 for a project under the direction of Professor Mary L. "Nora" Disis of the *Department of Medicine* entitled "Institute for Translational Health Sciences (ITHS)." This award establishes the Institute of Translational Health Sciences (ITHS) to support the increasingly interdisciplinary and collaborative nature of translational research. The ITHS will enhance collaboration between the UW, FHCRC and CHRMC, and strengthen ties throughout the existing clinical and research infrastructure across the 5-state WWAMI region. The overarching goals of the ITHS are to enhance and develop collaboration networks within the research community, provide education and career development, foster innovative research and create a community that prioritizes translational research.

The *U.S. Department of Energy* awarded a grant in the amount of \$1,000,000 for a project under the direction of Professor Caroline Harwood of the *Department of Microbiology* entitled "Redirection of Metabolism for Hydrogen Production." Photosynthetic microbes like *R. palustris* can produce the clean-burning fuel hydrogen gas using one of nature's most plentiful resources, sunlight. Anoxygenic photosynthetic bacteria generate hydrogen and ammonia during a process known as biological nitrogen fixation. The objective of this project is to develop and apply techniques in metabolic engineering to improve the biocatalytic potential of *R. palustris* for nitrogenase-catalyzed hydrogen production. The challenge is to understand the systems biology of *R. palustris* sufficiently well to engineer cells to produce hydrogen continuously, at as a rapid a rate as possible and with as high an efficiency as possible.

The *National Science Foundation* awarded a grant in the amount of \$951,500 for a project under the direction of Senior Lecturer Susan Joslyn of the *Department of Psychology* entitled "DRU: A Multi-disciplinary Approach to Communicating Weather Forecast Uncertainty." Although information about weather forecast uncertainty has been become increasingly available, it is rarely communicated in public forecasts. The purpose of this project is to bridge that gap. In order to determine how best to communicate forecast uncertainty to the general public, its needs and information processing requirements must first be understood. This project will conduct both naturalistic and experimental research to accomplish these goals. Based on the results of their research, the researchers will create an unprecedented prototype weather forecasting web site that is entirely probabilistic. The principles of uncertainty communication instantiated here will have important implications for any community or organization with weather safety issues.

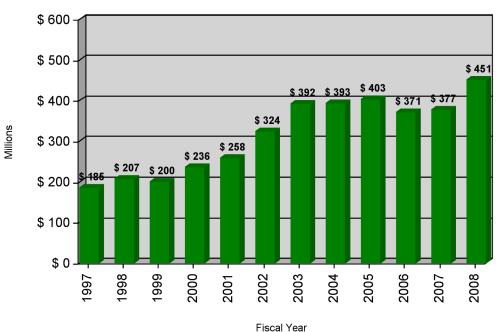
October Only Comparison of Grant & Contract Awards



Millions

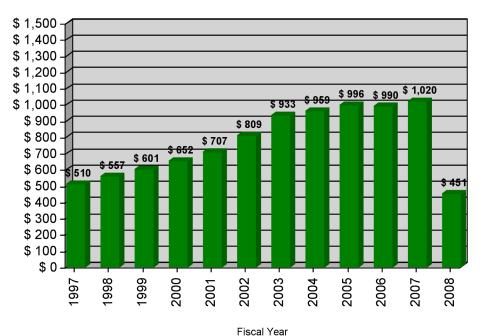
Millions

Comparison of Grant & Contract Awards

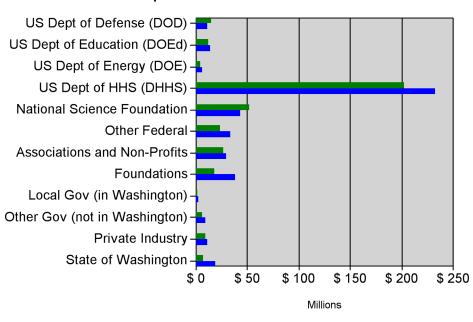


Fiscal Year to Date

Complete Fiscal Year Comparison of Grant & Contract Awards



Fiscal Year to Date Two-Year Comparison of Grant and Contract Awards



Summary of Grant and Contract Awards Fiscal Year 2007-2008

	RESEARCH AND OTHER TRAINING		CH AND OTHER TRAINING		I AND OTHER TRAINING		Total Grants and
Month	Federal	Non-Federal	Federal	Non-Federal	Contracts		
July	\$ 54,889,260	\$ 15,048,400	\$ 7,034,788	\$ 5,593,291	\$ 82,565,740		
August	\$ 111,423,800	\$ 21,120,610	\$ 10,878,860	\$ 1,041,935	\$ 144,465,200		
September	\$ 63,019,760	\$ 24,344,880	\$ 11,261,270	\$ 1,857,398	\$ 100,483,300		
October	\$ 75,921,240	\$ 38,888,260	\$ 6,410,827	\$ 2,183,915	\$ 123,404,200		
FY08 to Date	\$304,864,040	\$99,792,148	\$35,585,741	\$10,676,539	\$450,918,468		
FY07 to Date	\$282,687,827	\$63,471,064	\$27,295,535	\$3,926,295	\$377,380,720		
Over (Under) Previous Year	\$22,176,214	\$36,321,084	\$8,290,206	\$6,750,244	\$73,537,748		

Comparison of Grant and Contract Awards by Agency Fiscal Years 2006-2007 and 2007-2008

Agency	Jul-Oct FY07	Jul-Oct FY08
US Department of Defense (DOD)	\$ 14,836,156	\$ 10,793,306
US Department of Education (DOEd)	\$ 12,441,639	\$ 14,417,443
US Department of Energy (DOE)	\$ 4,391,523	\$ 6,186,475
US Department of Health and Human Services (DHHS)	\$ 202,818,572	\$ 232,530,356
National Science Foundation (NSF)	\$ 51,392,390	\$ 43,515,180
Other Federal	\$ 24,103,081	\$ 33,007,021
Subtotal for Federal :	\$ 309,983,362	\$ 340,449,781
Associations and Non-Profits	\$ 26,326,992	\$ 29,553,930
Foundations	\$ 18,015,287	\$ 38,130,673
Local Government (in Washington)	\$ 956,132	\$ 2,733,510
Other Government (not in Washington)	\$ 5,944,885	\$ 9,347,461
Private Industry	\$ 9,372,364	\$ 11,536,558
State of Washington	\$ 6,781,700	\$ 19,166,555
Subtotal for Non-Federal :	\$ 67,397,359	\$ 110,468,687
Grand Total :	\$ 377,380,720	\$ 450,918,468

Amount of Increase (Decrease) : \$73,537,748

Percent of Increase (Decrease) : 19.5 %

Comparison of Grant and Contract Awards by School/College Fiscal Years 2006-2007 and 2007-2008

School/College	•		Jul-Oct FY07	Jul-Oct FY08
Upper				
Campus	Architecture and Urban Planning		\$ 469,905	\$ 445,412
	Arts and Sciences		\$ 35,314,351	\$ 37,901,990
	Business Administration		\$ 58,147	\$ 724,471
	Director of Libraries		\$ 3,000	\$ 116,500
	Education		\$ 5,576,807	\$ 1,184,785
	Educational Outreach		\$ 366,528	\$ 267,568
	Engineering		\$ 34,770,710	\$ 31,995,049
	Evans School of Public Affairs		\$ 2,633,563	\$ 1,672,520
	Forest Resources		\$ 2,693,868	\$ 3,056,704
	Graduate School		\$ 912,838	\$ 911,288
	Information School		\$ 1,641,990	\$ 4,483,229
	Law		\$ 25,000	\$ 209,134
	Ocean and Fishery Sciences		\$ 31,287,276	\$ 37,116,748
	Office of Research		\$ 13,632,407	\$ 17,011,444
	Provost			\$ 200,000
	Social Work		\$ 4,664,981	\$ 7,513,197
	Undergraduate Education		\$ 250,161	\$ 266,802
	VP Educational Partnerships		\$ 106,347	\$ 43,745
	VP Minority Affairs		\$ 4,009,253	\$ 7,002,709
		Subtotal :	\$ 138,417,132	\$ 152,123,295
Health				
Sciences	Dentistry		\$ 2,503,979	\$ 4,854,356
	Medicine		\$ 179,255,143	\$ 219,064,159
	Nursing		\$ 6,408,682	\$ 9,295,979
	Pharmacy		\$ 5,220,820	\$ 4,265,427
	Public Health and Community Me	edicine	\$ 31,496,559	\$ 34,040,007
		Subtotal :	\$ 224,885,183	\$ 271,519,928
Special				
Programs	Alcohol and Drug Abuse Institute		\$ 617,016	\$ 2,997,632
	CHDD Administration		\$ 8,143,952	\$ 7,055,996
	Regional Primate Center		\$ 4,523,042	\$ 15,871,406
		Subtotal :	\$ 13,284,010	\$ 25,925,034
Other UW				
Campuses	Bothell		\$ 604,108	\$ 1,096,370
	Tacoma		\$ 190,287	\$ 203,450
		Subtotal :	\$ 794,395	\$ 1,299,820
Not Indicated	Not Indicated			\$ 50,391

School/College		Jul-Oct FY07	Jul-Oct FY08
Not Indicated	Subtotal :		\$ 50,391
	Grand Total :	\$ 377,380,720	\$ 450,918,468

List of Awards for Arts and Sciences Fiscal Years 2006-2007 and 2007-2008

Department		Jul-Oct FY07	Jul-Oct FY08
Center for Statistics and the Social Sciences		\$ 82,482	\$ 704,512
Center for Studies in Demography and Ecology		\$ 1,039,885	\$ 1,879,361
Department of Anthropology		\$ 44,850	\$ 246,138
Department of Applied Mathematics		\$ 1,726,247	\$ 7,864
Department of Astronomy		\$ 1,083,936	\$ 1,741,086
Department of Atmospheric Sciences		\$ 2,998,100	\$ 1,417,388
Department of Biology		\$ 5,462,204	\$ 2,409,532
Department of Chemistry		\$ 9,143,546	\$ 12,173,570
Department of Communication		\$ 201,100	\$ 140,680
Department of Comparative Literature		\$ 21,178	\$0
Department of Earth and Space Sciences		\$ 1,472,148	\$ 1,694,899
Department of Economics		\$ 24,800	\$ 6,575
Department of English		\$0	\$ 72,573
Department of Geography		\$ 180,909	\$ 336,787
Department of Germanics		\$ 45,000	\$ 45,000
Department of History		\$ 70,694	\$0
Department of Linguistics		\$0	\$ 164,666
Department of Mathematics		\$ 627,642	\$ 2,289,271
Department of Physics		\$ 2,630,958	\$ 3,527,127
Department of Political Science		\$ 508,696	\$ 1,124,910
Department of Psychology		\$ 5,766,981	\$ 5,482,375
Department of Sociology		\$ 3,846	\$ 10,350
Department of Speech and Hearing Sciences		\$ 643,830	\$ 643,619
Department of Statistics		\$ 109,876	\$ 41,508
Henry M. Jackson School of International Studies		\$ 783,328	\$ 1,473,362
Institute for Nuclear Theory		\$ 135,000	\$0
Language Learning Center		\$0	\$ 76,000
Law, Societies and Justice Program		\$ 90,017	\$0
Public Performing Arts		\$ 21,565	\$ 107,338
School of Art		\$ 48,000	\$0
School of Music		\$ 300,000	\$0
Thomas Burke Memorial Washington State Museum		\$ 47,533	\$ 85,495
	Total :	\$ 35,314,350	\$ 37,901,990

Summary of Grant Awards

Fiscal Year 2007-2008

Excluding private awards from Foundations, Industry, Associations and Others

	RESEARCH	AND OTHER	TRAII	NING	
Month	Federal	Non-Federal	Federal	Non-Federal	Total Grants
July	\$ 54,392,780	\$ 1,031,886	\$ 7,034,788	\$ 0	\$ 62,459,460
August	\$ 106,644,800	\$ 2,487,815	\$ 10,875,880	\$ 199,000	\$ 120,207,500
September	\$ 54,887,540	\$ 855,616	\$ 11,260,000	\$ 0	\$ 67,003,160
October	\$ 69,439,460	\$ 3,387,818	\$ 6,360,827	\$ 194,787	\$ 79,382,900
Year to Date	\$ 285,364,600	\$ 7,763,135	\$ 35,531,490	\$ 393,787	\$ 329,053,000

Summary of Grant Awards

Fiscal Year 2007-2008

Private awards from Foundations, Industry, Associations and Others

Month	RESEARCH AND OTHER	TRAINING	Total Grants
July	\$ 5,529,786	\$ 223,067	\$ 5,752,853
August	\$ 12,792,000	\$ 604,407	\$ 13,396,400
September	\$ 16,434,390	\$ 237,863	\$ 16,672,250
October	\$ 25,846,500	\$ 150,020	\$ 25,996,520
Year to Date	\$ 60,602,670	\$ 1,215,357	\$ 61,818,030

Summary of Contract Awards Fiscal Year 2007-2008

	RESEARCH AND OTHER		TRAII	Total	
Month	Federal	Non-Federal	Federal	Non-Federal	Contracts
July	\$ 496,481	\$ 8,486,723	\$ 0	\$ 5,370,224	\$ 14,353,430
August	\$ 4,778,947	\$ 5,840,805	\$ 2,977	\$ 238,528	\$ 10,861,260
September	\$ 8,132,220	\$ 7,054,878	\$ 1,273	\$ 1,619,535	\$ 16,807,910
October	\$ 6,481,776	\$ 9,653,934	\$ 50,000	\$ 1,839,108	\$ 18,024,820
Year to Date	\$ 19,889,420	\$ 31,036,340	\$ 54,250	\$ 9,067,395	\$ 60,047,410

Report of Grant and Contract Awards of \$1,000,000 or More

October 2007

Requiring action of
The Board of Regents
of the
University of Washington

Office of Research
Office of Sponsored Programs

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International AIDS Education and Training Center

For:

eral		
US Departme	ent of Health and Human Services (DHHS)	
Health Re	esources and Services Administration (HRSA) 4/1/2007	
Total	for Health Resources and Services Administration (HRSA):	\$ 1,431,056
National	Institutes of Health (NIH)	
To:	Dagmar Amtmann, Research Assistant Professor Department of Rehabilitation Medicine	\$ 1,563,681
For:	UW Center on Outcome Research in Rehabilitation	
Eff:	8/1/2007	
То:	King K. Holmes, Professor Department of Medicine	\$ 1,656,393
For:	University of Washington STI-TM Cooperative Research Center Y17	
Eff:	9/1/2007	
Natio	nal Center for Research Resources (NCRR)	
То:	Mary L. Disis, Professor Department of Medicine	\$ 1,864,127
For:	Institute for Translational Health Sciences (ITHS)	
Eff:	9/17/2007	
То:	Mary L. Disis, Professor Department of Medicine	\$ 8,074,693
For:	Institute for Translational Health Sciences (ITHS)	
Eff:	9/17/2007	
	Total for National Center for Research Resources (NCRR):	\$ 9,938,820
Natio	nal Human Genome Research Institute (NHGRI)	
То:	John A Stamatoyannopoulos, Assistant Professor Department of Genome Sciences	\$ 3,114,596
For: Eff:	A comprehensive catalog of human DNasel hypersensitive sites 9/29/2007	
	Total for National Human Genome Research Institute (NHGRI):	\$ 3,114,596
Natio	nal Institute of Diabetes and Digestive and Kidney Diseases (NIDDK)	
To:	Alan Chait, Professor Department of Medicine	\$ 1,034,122
For:	Clinical Nutrition Research Unit	
Eff:	7/1/2007	
	Total for National Institute of Diabetes and Digestive and Kidney Diseases (NIDDK):	\$ 1,034,122
Total	for National Institutes of Health (NIH):	\$ 17,307,612
Total for	US Department of Health and Human Services (DHHS):	\$ 23,877,726
Other Federa	ıl	
	" Oceanic and Atmospheric Administration (NOAA)	
To:	Edward Sarachik, Professor Department of Atmospheric Sciences	\$ 1,360,000
For: Eff:	Center for Science in the Earth System 2007-2008 4/2/2001	

Thomas Ackerman, Director 15 of 18

\$ 6,510,136

To:

Detail of Public Grant Awards

Federal

Other Federal

National Oceanic and Atmospheric Administration (NOAA)

Joint Institute for Study of the Atmosphere and Ocean (JISAO)

For: Joint Institute for the Study of the Atmosphere & Ocean: Tasks I & II

Eff: 4/2/2001

Total for National Oceanic and Atmospheric Administration (NOAA): \$7,870,136

Total for Other Federal: \$ 7,870,136

Total for Federal: \$36,971,781

Other Government (not in Washington)

Arizona State University

To: Babak Amirparviz, Assistant Professor \$ 1,685,295

Electrical Engineering

For: CEGS: Microscale Life Sciences Center

Eff: 1/1/2007

Total for Arizona State University: \$ 1,685,295

Total for Other Government (not in Washington): \$ 1,685,295

Total Public Grants: \$38,657,076

Detail of Private Grant Awards

Foundations

Bill and	Melinda Gates Foundation	
То:	Christopher T. Coward, Director Information School	\$ 2,000,000
For:	Research on Library Systems and the Environment for Public Access to ICT in Selected Countries	
Eff:	9/21/2007	
To:	Connie L. Celum, Professor Department of Medicine	\$ 15,168,648
For:	HSV-2 Suppression to Prevent HIV Transmission	
Eff:	5/1/2003	
Tota	I for Bill and Melinda Gates Foundation:	\$ 17,168,648
Total for Fou	undations:	\$ 17,168,648
Total Private Gra	ants:	\$ 17,168,648

Detail of Contract Awards

Federal

US Departm	ent of Health and Human Services (DHHS)	
National	Institutes of Health (NIH)	
То:	Jeffrey L. Probstfield, Professor Department of Medicine	\$ 2,576,032
For:	ACCORD-Memory In Diabetics	
Eff:	8/1/2007	
Total for National Institutes of Health (NIH):		\$ 2,576,032
Total for US Department of Health and Human Services (DHHS):		\$ 2,576,032
Total for Federal:		\$ 2,576,032
Associations an	d Non-Profits	
George '	Washington University	
To:	Santica M. Marcovina, Research Professor Department of Medicine	\$ 1,250,044
For:	Diabetes Prevention Program Outcome Study (DPPOS) - CBL	
Eff:	2/1/2007	
Total for George Washington University:		\$ 1,250,044
Total for Associations and Non-Profits:		\$ 1,250,044
State of Washin	gton	
Washing	ton State Department of Social and Health Services (DSHS)	
То:	Therese M. Grant, Assoc Professor Department of Psychiatry	\$ 2,491,608
For:	Parent-Child Assistance Program	
Eff:	7/1/2007	
Total for Washington State Department of Social and Health Services (DSHS):		\$ 2,491,608
Total for State of Washington:		\$ 2,491,608
Total Contracts:		\$ 6,317,684
Grand Total for all Awards		\$ 62,143,408