VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Intercollegiate Athletics Report on NCAA Compliance

For information only

BACKGROUND

This is an informational presentation to the Board of Regents providing an overview of ICA actions and efforts regarding NCAA Compliance. The Board was last updated on this topic in July, 2011.

The presentation will provide a general review of NCAA rule infractions that have occurred during the past two years and information on efforts being made to provide rules education to ICA coaches and staff. The presentation will also review follow up from the 2011 committee presentation, specifically the external audit review of the ICA compliance unit which was conducted in February, 2011. NCAA standards, and potential NCAA rule changes will also be reviewed.

Attachment
PowerPoint Presentation "ICA NCAA Compliance"

UW Board of Regents
Academic & Student Affairs Committee

JULY 11, 2013

Two Year Violation Report

Major infractions: None

Secondary infractions:

39 cases reported 2011-12; 23 in 2012-13

- Recruiting 44
- Eligibility 7
- Amateurism 4
- Extra Benefits 3
- Playing Seasons 2
- Personnel 1
- Financial Aid 1

Rules Education

- Monthly coaches compliance meetings.
- Focused sessions for various departments within ICA (e.g., tutors, athletic trainers, strength & conditioning, equipment room, business office, development, etc.).

Rules Education

- Several new educational documents for various situations. Most notably, new coach/employee orientation documents that are covered at the time of hire.

2011 Committee Meeting Item

A follow up from the 2011 Academic and Student Affairs Committee

- ICA Compliance hired an external organization, The Compliance Group, to conduct a comprehensive audit of the compliance systems in February 2011 resulting in 41 recommendations.

- 40 of the recommendations have been addressed and/or implemented into various processes. The 41st will be implemented for the 2013-14 academic year.

2011 Committee Meeting Item

A follow up from the 2011 Academic and Student Affairs Committee

- Significant recommendations were:
  - Increase compliance staff by one FTE
  - Purchase comprehensive compliance software to improve monitoring and efficiency
  - Continue educational efforts with non-coaching staff members.
  - Remaining recommendation: require student-athletes to include their relationship to those who receive their complimentary tickets. The delay in implementation was due to a contract with previous software provider.

National Assoc. of Athletic Compliance (NAAC)

- 11 Reasonable Standards.
- Intended to protect an institution from a “lack of institutional control” violation in the event of a major infraction.
- Faculty Athletic Representative, Dr. Dukes, has agreed to audit the ICA Compliance office regarding the standards in the fall of 2013.

NCAA Changes

1. Rules Working Group
2. Head Coach Responsibility
3. Enforcement Structure