October 4, 2012

TO: Members of the Board of Regents
    Ex officio Representatives to the Board of Regents

FROM: Joan Goldblatt, Secretary of the Board of Regents

RE: Schedule of Meetings

WEDNESDAY, OCTOBER 10, 2012

5:30 p.m. President’s Residence DINNER FOR REGENTS, AND OTHER GUESTS

THURSDAY, OCTOBER 11, 2012

9:00 to 9:25 a.m. 142 Gerberding Hall ACADEMIC AND STUDENT AFFAIRS COMMITTEE: Regents Ayer (Chair), Cole, Jaech, Jordan

9:35 to 10:45 a.m.* 142 Gerberding Hall FINANCE, AUDIT AND FACILITIES COMMITTEE: Regents Smith (Chair), Blake, Jewell, Shanahan, Simon

10:55 a.m. to 12:40 p.m.* 142 Gerberding Hall ACADEMIC AND STUDENT AFFAIRS COMMITTEE: Regents Ayer (Chair), Cole, Jaech, Jordan

in Joint Session with

FINANCE, AUDIT AND FACILITIES COMMITTEE: Regents Smith (Chair), Blake, Jewell, Shanahan, Simon

1:00 p.m. Petersen Room Allen Library REGULAR MEETING OF BOARD OF REGENTS

*or upon conclusion of the previous session.

Unless otherwise indicated, committee meetings of the Board of Regents will run consecutively; starting times following the first committee are estimates only. If a session ends earlier than expected, the next scheduled session may convene immediately. Committee meetings may be attended by all members of the Board of Regents and all members may participate.

To request disability accommodation, contact the Disability Services Office at: 206.543.6450 (voice), 206.543.6452 (TTY), 206.685.7264 (fax), or email at dso@uw.edu. The University of Washington makes every effort to honor disability accommodation requests. Requests can be responded to most effectively if received as far in advance of the event as possible, preferably at least 10 days.

1.1/210-12
10/11/12
1. Academic and Administrative Appointments
   Ana Mari Cauce, Provost and Executive Vice President
   ACTION A–1

2. Research Update
   Mary Lidstrom, Vice Provost for Research
   INFORMATION A–3

3. Other Business
1. **Report of Contributions – August 2012**
   Walter G. Dryfoos, Associate Vice President, Advancement Services  
   Connie Kravas, Vice President, University Advancement

2. **Grant and Contract Awards Summary – July and August 2012**
   Ana Mari Cauce, Provost and Executive Vice President

3. **Actions Taken Under Delegated Authority**
   Richard Chapman, Associate Vice President, Capital Projects Office

4. **UW Medical Center Expansion Phase II – Informational Update**
   Johnese Spisso, Chief Health System Officer, UW Medicine and Vice President, UW Medicine Health System  
   Lori Mitchell, Chief Financial Officer, UW Medicine  
   Chris Malins, Senior Associate Treasurer, Treasury Office

5. **Asset Liability Management Semi-Annual Borrower Reports (SABRe)**
   Chris Malins  
   Paul King, Senior Associate Athletic Director – Business & Finance, Intercollegiate Athletics  
   Pam Schreiber, Director, Housing & Food Services  
   Lori Mitchell

6. **Executive Session**
   (to consider the selection of a site or the acquisition of real estate by lease or purchase when public knowledge regarding such consideration would cause a likelihood of increased price.)

7. **Other Business**
UNIVERSITY OF WASHINGTON
BOARD OF REGENTS

Academic and Student Affairs Committee
Regents Ayer (Chair), Cole, Jaech, Jordan

In Joint Session with

Finance, Audit and Facilities Committee
Regents Smith (Chair), Blake, Jewell, Shanahan, Simon

October 11, 2012
10:55 a.m. to 12:40 p.m.
142 Gerberding Hall

1. UW Strategy for Administrative Systems and Information Management
   Kelli Trosvig, Vice President for UW Information Technology and Chief Information Officer
   Sara Gomez, Associate Vice President, Information Management

2. Summary of UW 2012 Public Opinion Survey
   Randy Hodgins, Vice President for External Affairs
   Key Nuttall, Assistant Vice President, University Marketing

3. Executive Session
   (to discuss with legal counsel representing the University, litigation or potential litigation to which the University is, or is likely to become, a party, when public knowledge regarding the discussion is likely to result in an adverse legal or financial consequence to the University.)

4. Executive Session
   (to review the performance of public employees.)

5. Other Business
AGENDA
BOARD OF REGENTS
University of Washington

October 11, 2012
1:00 p.m.
Petersen Room, Allen Library

I. CALL TO ORDER

II. ROLL CALL: Assistant Secretary Shelley Tennant

III. CONFIRM AGENDA

IV. REPORT OF THE CHAIR OF THE BOARD OF REGENTS: Regent Harrell

PUBLIC COMMENT PERIOD

Appointment of Special Committee on Legislative Affairs (Action) BP–1
Board of Regents Resolution of Appreciation to Bill Gates Sr. (Action) BP–2

V. REPORT OF THE UNIVERSITY PRESIDENT: President Young

VI. CONSENT AGENDA

Approval of Minutes of Meeting of September 13, 2012
Grant and Contract Awards Summary – July and August 2012 F–2

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee: Regent Aver–Chair

Academic and Administrative Appointments (Action) A–1
Research Update (Information only) A–3
Joint Session
A. Academic and Student Affairs Committee: Regent Ayer – Chair
B. Finance, Audit and Facilities Committee: Regent Smith – Chair

Summary of UW 2012 Public Opinion Survey (Information only) A–4
UW Strategy for Administrative Systems and Information Management (Information only) F–6

B. Finance, Audit and Facilities Committee: Regent Smith – Chair

Report of Contributions – August 2012 (Information only) F–1
Actions Taken Under Delegated Authority (Information only) F–3
UW Medical Center Expansion Phase II – Informational Update (Information only) F–4
Asset Liability Management Semi-Annual Borrower Reports (SABRe) (Information only) F–5

VIII. OTHER BUSINESS

Reports to the Board:
Faculty Senate Chair – Professor Jim Gregory

Student Leaders:

ASUW President – Mr. Evan Smith
GPSS President – Mr. Adam Sherman
ASUW Tacoma President – Ms. Elizabeth Pierini
ASUW Bothell President – Mr. Kevin King

Alumni Association President – Mr. Patrick Crumb

IX. DATE FOR NEXT REGULAR MEETING: Thursday, November 8, 2012

X. ADJOURNMENT
The Board of Regents held its regular meeting on Thursday, October 11, 2012, beginning at 1:00 p.m. in the Petersen Room of the Allen Library. The notice of the meeting was appropriately provided to the public and the media.

CALL TO ORDER

Regent Harrell called the meeting of the Board of Regents to order at 1:00 p.m.

ROLL CALL

Assistant Secretary Tennant called the roll: Present were Regents Harrell (presiding), Ayer, Blake, Cole, Jaech, Jordan, Shanahan, Simon, Smith; President Young, Provost Cauce, Ms. Warren, Ms. Goldblatt; ex officio representatives: Professor Gregory, Mr. Sherman, Mr. Smith, Mr. Crumb.

Absent: Regent Jewell

CONFIRM AGENDA

The agenda was confirmed as presented.

REPORT OF THE CHAIR OF THE BOARD OF REGENTS: Regent Harrell

PUBLIC COMMENT PERIOD

Regent Harrell announced, as required by law, there would be a time for public comment at every meeting of the Board of Regents.

She called the names of those who signed up in advance and invited each to give public comment. Deepa Bhandaru, a UW graduate student and academic student employee, commented on student fees.

Regent Harrell welcomed Jeremy Jaech to the Board. Governor Gregoire appointed Regent Jaech effective on October first. He is a “Double Dawg” holding an undergraduate degree in Mathematics and a Master of Science in Computer Science. Regent Jaech is a technology and software entrepreneur, currently CEO of Watchfrog,
Inc. and a member of the UW College of Engineering Visiting Committee. Originally from Richland, he is a Husky Basketball fan. Regent Jaech agreed to serve on the Academic and Student Affairs Committee. Regent Harrell invited Regent Jaech to say a few words. Regent Jaech described his long-time involvement with the University, as a student, entrepreneur, employer, and volunteer. He feels the UW is a big economic driver. He served as co-chair of the committee that raised funds for construction of the Computer Science & Engineering building. He also volunteered as the Chair of the Communications Committee during UW’s last fundraising campaign. This volunteer service, he said, deepened his engagement with the University. Following his remarks, Regent Jaech received a round of applause.

Regent Harrell said she was also pleased to report the Governor reappointed Kristi Blake to the Board for a second six-year term and congratulated Regent Blake, who received applause.

**Appointment of Special Committee on Legislative Affairs** (Agenda no. BP–1) (Action)

Regent Harrell announced the appointment of a Special Committee on Legislative Affairs. This advisory committee will provide input to the Board, President, and others on legislative matters. The Special Committee will be in place until the completion of the 2013 legislative session.

**MOTION:** Upon the recommendation of the Chair of the Board and the motion made by Regent Ayer, seconded by Regent Smith, the Board voted to approve the appointment of a Special Committee on Legislative Affairs.

Regent Harrell, as Chair of the Board, will serve ex officio on the Committee. She appointed Regent Simon to serve on the Committee as Chair, and thanked him for accepting this important responsibility. With input from Regent Simon, she will select the two additional Regents to serve on the committee and announce their names at the next meeting of the Board.

**Regent Resolution of Appreciation to Bill Gates Sr.** (Agenda no. BP–2) (Action)

Regent Harrell remarked that on September 30, Bill Gates completed fifteen years of service on the Board of Regents. A celebration in his honor is being planned. She read a Resolution of Appreciation and asked for Board approval. Following the reading those attending gave Regent Gates a standing ovation, in absentia.

**MOTION:** Upon the recommendation of the Chair of the Board and the motion made by Regent Cole seconded by Regent Smith, the Board voted to approve the Regent Resolution to Bill Gates Sr.

Regent Harrell invited President Young to deliver his report.

**REPORT OF THE UNIVERSITY PRESIDENT:** President Young
President Young echoed Regent Harrell’s welcome to Jeremy Jaech and commented on his strong leadership and innovative thinking. The President said it’s always great to have more Husky alumni on the Board.

He congratulated Regent Blake on her reappointment to the Board, and said he looks forward to continuing to work with her.

The President acknowledged Bill Gates’ unparalleled contribution to the University. He noted Mr. Gates continues to serve the University in a variety of capacities.

President Young highlighted recent meetings he attended.

At the meeting of the UW Foundation Board, Lyn Grinstein passed the leadership baton to Howard Behar, who will serve as Chair. Bill Ayer will serve as Vice Chair. During that meeting, Tom Stritikus, Dean of the College of Education, brought fourth grade students to the meeting and demonstrated methods to teach them conceptual math.

The President attended the annual Recognition Gala held in the newly-renovated HUB. Dan and Nancy Evans were recognized for their contributions to the University.

The President attended the annual Costco Scholarship Breakfast, where the establishment of a new scholarship for graduate students was announced and $3.5 million was raised. Half of the funds raised are designated to fund scholarships for UW students. Attendees heard from the beneficiaries of Costco scholarships.

The President commented on the recent Freshman Convocation and Dawg Daze events, where he introduced the term “empurpled.”

The President attended the gala held to celebrate the 50th anniversary of the Evans School of Public Affairs. All former living deans of the School attended the event. Melinda Gates was the keynote speaker. He praised the impressive accomplishments of Evans school alumni.

Recent speaking engagements:
- Bellevue Rotary – discussed expanding UW’s presence in Bellevue; the community expressed a need for additional higher education;
- Suburban Cities Association – formalized an expanded internship program;
- C4C receptions for entrepreneurs and Presidential Entrepreneurial Fellows;
- US-China Networking Symposium;
- Ribbon cuttings for a number of major UW construction projects:
  o Molecular Engineering & Sciences Building
  o HUB Grand Reopening
  o UW Medical Center Montlake Tower Opening

The President’s annual address to the University community will be on Thursday, October 18, in Meany Hall. The address will be broadcast and streamed live on UWTv.
President Young highlighted awards and honors recently received by the University:

- Two UW faculty members received NIH New Innovator Awards, which support exceptionally creative new investigators:
  - Kim Woodrow, Assistant Professor, Bioengineering
  - Elhanan Borenstein, Assistant Professor, Genome Sciences
- Professor Dee Boersma, Biology, received the Alumni Achievement Award from the Mortar Board National Honor Society.

President Young listed recent rankings:

- In the area of rankings, the UW was listed as the sixteenth best University in the world in the Shanghai Jiao Tong University rankings.
- The UW did extremely well in the 2012 Performance Ranking of Scientific Papers for World Universities from National Taiwan University, receiving number four in the world for overall rankings, and first among American public universities. This ranking considers the impact and quality of research.
- The UW was ranked the twenty-fourth university in the world by London Times Higher Education rankings, up a spot from last year, and fourth among American public universities. This, the President said, is one of the most comprehensive global ranking systems, which examines a university’s strengths against its core missions – teaching, research, citations, industry income, and international outlook.
- The Washington Monthly ranked the University of Washington is twenty-first in the nation for graduation rates among public universities, with a rate of over 80%. When adjusted for the demographics of the class, the UW ranks sixth in the nation of all colleges and universities for social mobility, research production, and commitment to service.

The President provided some information about the 2012 entering freshman classes at Seattle, Bothell, and Tacoma.

In Seattle, the freshman class is the biggest ever, with 6,049 students. The applicant pool was up 7%. The freshman class has and average GPA of 3.75 and their SAT composite average scores increased to 1820. The proportion of underrepresented minority students is 12.30%, which is slightly higher than last year’s class. 28% of the incoming freshmen would be the first in their families to earn a bachelor’s degree.

UW Bothell welcomed nearly 550 freshmen and nearly 630 transfer students to their campus this fall. 49% of their incoming freshmen would be the first in their families to earn a bachelor’s degree.

UW Tacoma has 338 freshmen, up from 245 last year, and just over 800 transfer students. Almost 60% of UW Tacoma freshmen would be the first in their families to earn a bachelor’s degree.

President Young stated the University is committed to assuring admitted students have the resources to complete their education. In 2011, less than 50% of UW seniors graduated with loan debt; whereas, in 2010, 65% of all college seniors graduated with loan debt nationally.
The President addressed the issue of student debt, and stated in 2011 UW undergraduates who left school with debt borrowed an average of $20,316. This is 20% below the 2010 national average of $25,250. Nationally, there is an increased rate of student loan defaults. In fiscal year 2009, the three-year default rate was 13.4% overall (11% for public schools). UW compares very favorably with these numbers. UW’s three-year rate is a remarkable 3%, more than 10% below the national average. UW’s two-year rate increased slightly from 1.4% to 2.1%, still well below the national average. In summary, the President said UW’s student loan default rate is less than a quarter of the national average.

CONSENT AGENDA

Regent Harrell noted there were two items for approval on the consent agenda, and called for a motion.

**MOTION**: Upon the recommendation of the Chair of the Board and the motion made by Regent Smith, seconded by Regent Ayer, the Board voted to approve the two items on the consent agenda as shown below:

**Minutes for the meeting of September 13, 2012**

**Grant and Contract Awards Summary – July and August 2012** (Agenda no. F–2)

It was the recommendation of the administration and the Finance, Audit and Facilities Committee the Board of Regents accept Grant and Contract Awards for the month of July 2012, in the total amount of $89,026,660 and in August 2012, in the total amount of $132,433,800.

See Attachment F–2.

STANDING COMMITTEES

**ACADEMIC AND STUDENT AFFAIRS COMMITTEE**: Regent Ayer Chair

Regent Harrell asked Regent Ayer to provide a report from the Academic and Student Affairs and Joint Committees.

**Academic and Administrative Appointments** (Agenda no. A–1) (Action)

**MOTION**: Upon the recommendation of the administration and the motion made by Regent Ayer, the Board voted to approve the academic and administrative appointments. Regent Jordan abstained from the vote.

See Attachment A–1.
Research Update (Agenda no. A–3) (Information only)

Regent Ayer described the interesting and encouraging report Regents received from Vice Provost Mary Lidstrom about research at the University, saying it is a large and complex enterprise with significant infrastructure. He added it has earned a well-deserved reputation. Regents learned research revenue has increased due to excellent leadership and a collaborative environment supporting interdisciplinary work. This environment allows the UW to be competitive for large grants. Many of UW’s research grants are large projects; 47% of the research funding supports 200 grants, but smaller grants help accomplish the UW’s educational mission, offering research opportunities for students.

UW has seen a recent increase in federal research funding, which reflects the market share. There is good diversity in awards in different areas of research. Provost Lidstrom described possible implications of a federal sequestration, offering “what if” analyses. The possibility of sequestration is a concern, but the University has strategies in place to deal with this, if it occurs.

See Attachment A–3.

FINANCE, AUDIT AND FACILITIES COMMITTEE: Regent Smith, Chair

Regent Harrell invited Regent Smith to provide a report from the Finance, Audit and Facilities and Joint Committees.

Report of Contributions – August 2012 (Agenda no. F–1) (Information only)

The total amount of gifts received in August 2012 was $27,342,591. The total for the year-to-date is $40,457,023.

See Attachment F–1.

Actions Taken Under Delegated Authority (Agenda no. F–3) (Information Only)

See Attachment F–3.

UW Medical Center Expansion Phase II – Informational Update (Agenda no. F–4) (Information Only)

Regent Smith reported Regents received an update on a ten-year facilities plan for UW Medicine’s core hospital campus. Additions to the plan focused on a hospital remodel to better integrate it with the new Montlake Tower. Proposed changes are intended to improve efficiency and reduce operating costs. Regents will be asked to take action on the expansion at the November 2012 meeting of the Board.

See Attachment F–4.
Asset Liability Management Semi-Annual Borrower Reports (SABRe) (Agenda no. F–5) (Information Only)

UW Treasury Office staff introduced newly designed reports for four major borrowing entities: Intercollegiate Athletics, UW Medicine, UW Medicine South Lake Union, and Housing & Food Services. These four units represent two-thirds of the University’s borrowing. The reports were designed to provide Regents a deeper understanding of the financial health of these borrowing entities.

See Attachment F–5.

Joint Session

ACADEMIC AND STUDENT AFFAIRS COMMITTEE: Regent Ayer, Chair
FINANCE, AUDIT AND FACILITIES COMMITTEE: Regent Smith, Chair

UW Strategy for Administrative Systems and Information Management (Agenda no. F–6) (Information Only)

Regent Smith described a report about administrative systems at the University. Vice President Trosvig proposed incrementally modernizing the University’s administrative systems to improve operations and management capabilities. New systems would replace old and inadequate legacy administrative systems. These new systems would improve the decision-making process. The proposed plan would begin with the health and payroll systems, followed by projects which would improve the student experience, then other value-added projects, including procurement and budget management systems.

See Attachment F–6.

Summary of UW 2012 Public Opinion Survey (Agenda no. A–4) (Information Only)

Regent Ayer said Regents received a report about a survey, conducted by a national firm, to gauge public opinion about the University in Washington state. The objective of this research was to identify focus areas to frame external and internal communications. A statistically significant number of people (550) responded to the residential phone survey. The responses were positive overall, showing improved favorability and ratings since the last survey was conducted in 2010. More people feel a connection to the University of Washington, describing connections in the areas of academics, medicine, and athletics. There is a general understanding of the University’s impact. The survey replies revealed gaps in three areas: 1) the public’s understanding of student demographics, 2) the view of economic diversity – availability of the University to people with lower incomes, and 3) tuition – the public perception is that tuition rates are higher than they really are. These gaps create future opportunities for improved communication.

See Attachment A–4.

OTHER BUSINESS: REPORTS TO THE BOARD OF REGENTS
Regent Harrell invited the student leaders from UW Bothell and Tacoma to join the Board at the table during the reports to the Board of Regents.

**Faculty Senate Chair:** Professor Jim Gregory

Professor Gregory’s remarks are attached to these minutes.

**ASUW President:** Mr. Evan Smith

Mr. Smith thanked Professor Gregory for his remarks and said the students and faculty share the brunt of budget cuts and can stand together with a message of the importance of investment in higher education. Undergraduates, he said, are also “dazed and confused,” but also “hopelessly optimistic” about their educations.

Mr. Smith reported on autumn quarter activities:
- Over 3,000 people attended the Dawg Daze concert held in Red Square.
- ASUW conducted a get-out-the vote effort and registered over 1,500 students to vote and hosted forums about initiatives and featuring candidates to educate students on civic involvement.
- October 27 is the homecoming football event.

In collaboration with GPSS, ASUW is encouraging the establishment of college councils for students. Last year’s pilot project resulted in seven colleges committed to this effort. Mr. Smith believes student college councils reflect a spirit of collaborative decision-making and provide student perspective to decisions.

Mr. Smith said students are concerned and confused about differential tuition. The current law allows differential tuition to be charged in autumn 2013. He believes the stakeholders affected should be aware of, and understand, the nuances of issues related to differential tuition. ASUW plans to convene focus groups in the colleges most affected. ASUW currently opposes differential tuition, but Mr. Smith encourages having all voices at the table to talk about this issue.

He reiterated his role as an ex officio representative to the Board of Regents as ASUW President, saying it was an honor to be elected. In his one-year term he hopes to provide the student perspective and be a resource to inform policy-making decisions for the Board and to the University administration.

**GPSS President:** Mr. Adam Sherman

Mr. Sherman echoed Professor Gregory’s sentiments about the role of public education in today’s society. He also echoed Mr. Smith’s comments about student involvement on college councils so that decision makers hear the student perspective.
In the area of legislative efforts, GPSS held a voter registration drive and registered 375 students. This was part of a state-wide student coalition that registered 10,000 students to vote. A get-out-the-vote effort is forthcoming.

GPSS leaders engaged with legislators to communicate graduate students’ priorities, including finding sources of new revenue to fund higher education, increased financial aid for graduate students, and looking at ways legislature might help strengthen the student voice in shared governance on campus.

Mr. Sherman said the affect of fee based programs concern graduate students. These self-sustaining programs don’t receive state support, but are funded solely by student fees. To monitor this issue, GPSS has assembled a committee to develop a set of recommendations on how students and the administration can work together to improve principles to determine which programs are state supported and which are fee-based. He advocates a collaborative approach.

On the issue of student fees, Mr. Sherman said students are “enraged and engaged.” He encouraged an expeditious closure to this issue. GPSS plans to hold a “fee forum” to educate students and provide them with more information about the origination of the various student fees.

Mr. Sherman described some upcoming events. With a renewed emphasis on science and policy, GPSS is holding a conversation about medical marijuana issues focusing on the science and how research at UW is used to inform policy decisions. GPSS is hosting a Fall Social to encourage interaction between graduate students from different departments. In November, GPSS will sponsor the Sixth Higher Education Summit. One of the panels will explore the role of on-line education on higher education. Other panels will address state funding on higher education and shared governance.

Following the HUB alumni event, GPSS hosted former GPSS leaders in the new HUB office and established relationships as they gained institutional memory.

**ASUW Tacoma President:** Ms. Elizabeth Pierini

In Ms. Pierini’s absence, Mr. Rai Nauman Mumtaz, ASUW Tacoma Vice President, delivered a report from UW Tacoma.

Mr. Mumtaz reported on UW Tacoma’s voter registration drive; they registered over 315 students. They held a Rock-the-Vote concert and invited candidates to attend. The event was attended by over 100 students and many candidates.

A popular event held during autumn quarter welcome days was “Hot Dogs with Top Dawgs” which featured hot dogs cooked and served to students by campus administrators.

ASUW Tacoma is emphasizing transparency with its use of facebook, and by hosting a “Stop By, Say Hi” campaign to invite students into their offices. This event resulted in
thought-provoking conversations about issues faced by students and how student government can help.

ASUW Tacoma student leaders are working with the League of Women Voters to civically engage the campus community.

Mr. Mumtaz identified parking and transportation as challenges for UW Tacoma students.

ASUW Tacoma recently passed a resolution to eliminate the use of plastic water bottles on campus.

“Run the Track with the Pack” is an upcoming 5K race to involve campus community partners with students.

UW Tacoma recently held a Convocation ceremony. This provided an opportunity for students, faculty, administrators, staff, and community members to come together to build a sense of community.

Grand opening ceremonies were held for the newly-constructed Tioga Library. Students are looking into creating increased collaborative study space.

**ASUW Bothell President:** Mr. Kevin King

Mr. King reported on campus construction projects. The sports field will be completed by the end of October. Students are meeting with architects and finalizing financial options for the student activity center. This will be an important space for students.

ASUW Bothell student government is focused on community service and community outreach activities.

ASUWB will hold special elections for freshman running for student senate, a new initiative at UWB. Student leaders are conducting a review of their current by-laws. They also held a voter registration drive, registering over 320 civically engaged students.

Mr. King stated his goal to recruit and activate student volunteers to serve the needs of UW Bothell. To that end he has created a list of 200 students, and plans to engage them on committees and in other activities.

He reported on a good turnout for their recent Convocation.

With Chancellor Kenyon Chan’s resignation effective at the end of the academic year, Mr. King praised Chancellor Chan’s efforts on campus and his support for students. Students are looking forward to being involved in the process to select the new Chancellor.

**Alumni Association President:** Mr. Patrick Crumb
Mr. Crumb announced former Naval Admiral Herb Bridge would be the inaugural recipient of the Distinguished Alumni Veterans Award. The award was developed in partnership between the University and the Veterans Center to recognize military service, issues of importance to veterans, community involvement, and support to the University. The award will be bestowed on Veterans Day, on campus.

Homecoming is in October, a time when UWAA has the opportunity to welcome back, and connect with, alumni and friends. The kick-off activity will be the 27th annual Dawg Dash on Sunday, October 21. Four-thousand people are expected to participate in this event to raise funds for scholarships. The homecoming football game will be played on October 27. Other activities include the 50th reunion for the class of 1962. The Purple and Gold Society, for alumni who have graduated fifty years or more ago, will hold their annual event in conjunction with Homecoming.

Mr. Crumb described some of the advocacy activities of UW Impact. The group is advocating in support of approval of the Senate Joint Resolution 8223 campaign. This constitutional amendment would give the University of Washington and Washington State University improved flexibility to invest pension, retirement and other public funds. The goal is to achieve a higher rate of return. UW Impact hosts community conversations with legislators held in homes in various legislative districts to discuss issues important to the University.

The UWAA Volunteer Appreciation Reception will be held on Saturday, November 3. This event will include a panel discussion on the future of higher education in the state of Washington, featuring President Young, Frank Blethen, and Regents Joanne Harrell and Craig Cole.

DATE FOR NEXT MEETING

The next regular meeting of the Board of Regents will be held on Thursday, November 8, 2012, on campus, at the newly-renovated Husky Union Building.

ADJOURNMENT

The regular meeting was adjourned at 2:25 p.m.

______________________________
Joan Goldblatt
Secretary of the Board of Regents

Approved at the meeting of the Board on November 8, 2012.
IV. REPORT OF THE CHAIR OF THE BOARD OF REGENTS

Appointment of Special Committee on Legislative Affairs

RECOMMENDED ACTION

It is the recommendation of the Chair that the Board of Regents approve the appointment of a Special Committee on Legislative Affairs.

BACKGROUND

Under the by-laws of the Board of Regents, the Board Chair may appoint special committees with the consent of the Board.

The Board Chair, Regent Joanne Harrell, proposes the creation of a Special Committee on Legislative Affairs. The Committee would consist of the Chair of the Board and three Regents to be selected by the Chair.

COMMITTEE MISSION

The Legislative Affairs Committee will provide input to the Board of Regents, the President and/or the President’s designees on legislative matters. The committee would begin upon approval and continue until the end of the 2013 session of the Washington state legislature.
University of Washington  
Board of Regents  
Resolution of Appreciation to  
Bill Gates Sr.

WHEREAS, William H. Gates has completed three terms as a member of the University of Washington Board of Regents, contributing nearly fifteen years of exemplary service to the Board, the University of Washington, and the citizens of the state; and

WHEREAS, his extraordinary career in the practice of law, as well as his leadership in the profession—recognized by numerous awards from State of Washington and American Bar Associations and his being named the 1991 Distinguished Alumnus by the University of Washington School of Law—are tributes to the bachelor’s and law degrees he earned from the University of Washington; and

WHEREAS, his deep commitment to his community and his strong sense of civic responsibility are manifest in his serving on and leading boards and initiatives too numerous to cite in their entirety, but which include the Greater Seattle Chamber of Commerce, King County United Way, the Seattle Foundation, Planned Parenthood Foundation, Seattle Repertory Theatre, the Seattle Symphony Foundation, founder of the Technology Alliance and of the Initiative for Global Development, as well as chairing the Seattle Public School Levy Campaign in 1971, and serving as the founding co-chair of the Bill & Melinda Gates Foundation; and

WHEREAS, his devotion to his alma mater has been exhibited in countless ways over the years, in service on the School of Law Visiting Committee, the UW Medical Center Board, the College of Arts & Sciences Visiting Committee, and the UW Foundation Board as Director for Life; and his unparalleled spirit of generosity led to his robust volunteer leadership as General Chair from 2001 to 2008 of the $2.6 billion UW Campaign, “Creating Futures”; and

WHEREAS, he provided outstanding leadership to the Board of Regents, serving as chair of the Board in 1999-2000, and as chair, vice-chair and long-time member of the Academic and Student Affairs Committee; and

WHEREAS, he is the patriarch of the First Family of the University, whose service and philanthropy in support of the University of Washington are unprecedented and unsurpassed, starting with his late wife three-term Regent Mary Maxwell Gates, serving on the Board with his daughter Regent Kristianne Blake, and culminating in the profoundly generous support from the entire Gates family and especially the Bill & Melinda Gates Foundation; and

WHEREAS, his remarkable intelligence and wisdom, his keen—almost uncanny—insight, and his capacious curiosity and spirit of inquiry have infused the deliberations of the Board with reason, facts, reliable information, and knowledge; and

WHEREAS, his unwavering and uncompromising fidelity to quality, especially quality in the educational experience of students, has helped set a standard for the University to which all may aspire and which is worthy of the very best minds comprising our students, faculty, and staff;

NOW, THEREFORE, BE IT RESOLVED: That the members of the Board of Regents express to Bill Gates Sr. their sincere gratitude and abiding admiration on behalf of the entire University community, and their own personal thanks for his warm friendship, his passionate and loyal service, and his transformative and lasting contributions to the work of the Board—which has never seen his like and may never again—that we consider him a true friend, that we wish him continued success and happiness in all his endeavors, and that this resolution be spread upon the minutes of the Board as a permanent record of the Board’s sincere appreciation.
James Gregory, Faculty Senate Chair  
Report to the Board of Regents  
October 11, 2012

I want to say a little bit about the Faculty Senate and a little about the mood of the faculty, which, just to preview, I would describe as “dazed and confused.”

First, I think I warned you that I am an historian and that this is the 75th anniversary of the Faculty Senate. We historians like to believe that history is instructive, that it deepens our understanding of current situations. Or maybe it is just interesting. Either way I want to share a factoid or two about the history of governance at our university.

The Faculty Senate was created in 1938, at a time when UW enrolled 9,000 students, charging them a modest $15/quarter tuition. There were less than 300 faculty members. That April the faculty voted to endorse a plan that had been worked out with President Lee Paul Sieg. The background to this decision is pretty interesting. Faculty members had been lobbying for a system of shared governance for a generation with success. Then in the late 1930s a group of faculty announced that they were forming a union affiliated with the American Federation of Teachers. President Sieg decided that he would rather deal with a faculty senate than a faculty union. No surprise.

There is another part of this story that is also fascinating. A few months before the agreement, President Sieg fired one of the few female faculty members; an art professor names Lea Miller. Professor Miller was terminated because she had recently gotten married. Unaware of a newly adopted nepotism rule she had married a Zoology professor. The nepotism rule almost cut in one direction: wives were fired, not husbands.

Many of the faculty protested but the President insisted that Lea Miller must go and the Regents concurred. The story, I am glad to say, had a happy ending. Her husband resigned and UC Berkeley quickly hired both of them. They each went on to enjoy prominent careers.

And the incident helped move along the Senate plan. President Sieg worked well with the new framework, which included the beginnings of what we now call the faculty code. A few years later the President and Board of Regents agreed to a system of tenure, understanding that the protections of tenure were necessary to insure academic freedom and unhindered scholarly inquiry.

The faculty senate has served the university well over these past 75 years. Our system of governance is different from other universities—they are all unique. There are places where faculty have more power and universities where the Senate is less powerful. We may be in Goldilocks territory, not too hard, not too soft, in the middle and probably just about right.

Now to Dazed and Confused. First, a caveat. We don’t take polls of faculty opinions and I really can’t pretend to understand the mood of 4,000 faculty members spread across three campuses. And most familiar with faculty in upper campus and my remarks are really about them. Faculty in the Medical School and Public Health had their own reasons to be dazed and confused as they worry about sequestration.

This is what I hear on upper campus. Faculty share the concerns that I know everyone in this room feels. We are confused about what is happening to higher education and disturbed about what is happening to the younger generation. Why are we penalizing the young, saddling them with escalating tuition, impossible debt loads, and unprecedented unemployment rates? Why are we closing down public education at a time when it is needed more than ever before?

Most faculty members are very idealistic and committed to the idea of public education. Most of us are products of public education having grown up in an era when higher education was considered a public good, not just a private benefit. Tuition was low when we grew up because having a highly educated public was understood to benefit the whole society. Now it is pay as you go or borrow and then pay and pay. And for many of us this seems tragic and wrong.
On top of that we know that students on this campus are paying more and getting less. Our classes are bigger, we have dropped lab sections and discussion sections, there are fewer teaching assistants to provide the close contact that students deserve, and we don’t have the proper equipment in many classrooms. The last four years have taken a toll that administrators don’t see firsthand and most students don’t understand because they don’t have the frame of reference. They don’t know what has been lost. But we do. We feel the deterioration.

And many of us have stepped up and tried to help. In my department, quite a number of us have been teaching overloads in the last few years, extra courses. Others are taking funds from endowments or grants that could go for research assistance or summer salary and using them instead to pay the salaries of teaching assistants who otherwise would not be teaching. And we are fund raising like crazy. The numbers that Connie Kravas shows you are impressive. What may not be apparent is that much of the fund raising depends on the volunteer efforts of faculty, who cultivate donors, give talks to private groups, work the phones, etc. This was not in our job description; we are doing it to help out. But it is taxing and can’t go on forever.

Faculty are also dazed and confused about the priorities of the University of Washington. They see the buildings going up all over campus and wonder why some of that money is not available for education. They see resources pouring into the new football stadium and wonder why that is a priority at this moment, why entertainment seems to trump education. Ignorance plays a role in this confusion. They don’t understand the separate of capital and operating budgets. I understand that it actually makes a lot of sense to borrow and build when interest rates and costs are low and when construction jobs are so needed. But few of my colleagues see it that way. They think the priorities are wrong and it is hard to disagree.

This report may be more somber than what you are used to. There are wonderful things happening every day at our University and much good news of the sort that you have been hearing throughout this meeting. But when you look at our core mission it is hard to say anything other than we are not doing well. And many faculty are losing patience. How much longer before things turn around? How much longer before we can do the kind of teaching that young people need?
VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Academic and Administrative Appointments

RECOMMENDED ACTION

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents approve the appointments to the University faculty and administration as presented on the attached list.

Attachment
Academic and Administrative Appointments
ADMINISTRATIVE APPOINTMENTS

College of Arts and Sciences
Department of Classics
Connors, Catherine Marie
Acting Chair, Classics, effective 3/16/2013
Continuing Appointments:
• Associate Professor, Classics
• Adjunct Associate Professor, Gender, Women, and Sexuality Studies

Degrees:
• PhD, 1989, University of Michigan
• MA, 1986, University of Michigan
• BA, 1984, Harvard University

School of Dentistry
Department of Oral Health Sciences
Murphy, Christine A. Riedy
Acting Chair, Oral Health Sciences, effective 9/16/2012
Continuing Appointments:
• Research Associate Professor, Oral Health Sciences
• Adjunct Research Associate Professor, Health Services
• Adjunct Research Associate Professor, Pediatric Dentistry

Degrees:
• MPH, 2002, University of Washington
• PhD, 1997, University of Washington
• MS, 1995, University of Washington
• BA, 1988, Susquehanna University

College of Engineering
Department of Industrial and Systems Engineering
Zabinsky, Zelda Barbara
Acting Chair, Industrial and Systems Engineering, effective 9/16/2012 through 3/15/2013
Continuing Appointments:
• Professor, Industrial and Systems Engineering
• Adjunct Professor, Civil and Environmental Engineering
• Adjunct Professor, Electrical Engineering
• Adjunct Professor, Mechanical Engineering
• Associate Chair, Industrial and Systems Engineering

Degrees:
• PhD, 1985, University of Michigan
• MS, 1984, University of Michigan
• BS, 1977, University of Puget Sound
ENDOWED APPOINTMENTS

College of Arts and Sciences
Department of Chemistry
Robinson, Bruce H.
Larry R. Dalton Endowed Professorship in Chemistry, effective 9/16/2012
Continuing Appointment:
  • Professor, Chemistry
Degrees:
  • PhD, 1975, Vanderbilt University
  • AB, 1967, Princeton University

Department of Economics
Bruce, Neil
Paul F. Glaser Endowed Professorship in Economics, effective 9/16/2012
Continuing Appointments:
  • Professor, Economics
Degrees:
  • PhD, 1975, University of Chicago
  • MA, 1969, Queen’s University at Kingston (Canada)
  • BA, 1968, University of Victoria (Canada)

Thornton, Judy Grouse Leber
Charles R. Nelson Endowed Professorship in Economics, effective 9/16/2012
Continuing Appointments:
  • Professor, Economics
Degrees:
  • PhD, 1960, Radcliffe
  • MA, 1958, Radcliffe
  • BA, 1956, Vassar College

Department of English
Woodward, Kathleen Middlekauff
Byron and Alice L. Lockwood Professorship In The Humanities, effective 10/16/2012
Continuing Appointments:
  • Professor, English
  • Director, Arts and Sciences
Degrees:
  • PhD, 1976, University of California (San Diego)
  • BA, 1966, Smith College
Department of History
Walker, Joel Thomas
Jon Bridgman Endowed Professorship In History, effective 1/1/2013
Continuing Appointments:
  • Associate Professor, History
  • Adjunct Associate Professor, Near Eastern Languages and Civilization
Degrees:
  • PhD, 1998, Princeton University
  • MA, 1994, Princeton University
  • BA, 1991, Rice University

Department of Mathematics
Toro, Tatiana
Robert R. & Elaine F. Phelps Professorship of Mathematics, effective 9/16/2012
Continuing Appointments:
  • Professor, Mathematics
Degrees:
  • PhD, 1992, Stanford University
  • MS, 1989, Stanford University
  • BSc, 1986, Universidad Nacional de Columbia

Department of Sociology
Burstein, Paul
The Samuel and Althea Stroum Chair in Jewish Studies, effective 10/1/2012
Continuing Appointments:
  • Professor, Sociology
  • Adjunct Professor, Political Science
Degrees:
  • PhD, 1974, Harvard University
  • MA, 1971, Harvard University
  • BA, 1968, University of Chicago
Department of Statistics
Fox, Emily Beth
Amazon Professorship in Machine Learning, effective 7/1/2012
Continuing Appointment:
  • Assistant Professor, Statistics
Degrees:
  • PhD, 2009, Massachusetts Institute of Technology
  • EE, 2008, Massachusetts Institute of Technology
  • MEng, 2005, Massachusetts Institute of Technology
  • Scientiae Baccalaureus (SB), 2004, Massachusetts Institute of Technology

College of Engineering
Department of Civil and Environmental Engineering
Fabbricino, Massimiliano
June 2004 Endowed Visiting Professorship, effective 11/1/2012
Continuing Appointment:
  • Visiting Associate Professor, Civil and Environmental Engineering
Degrees:
  • MS, 1996, University of Naples (Italy)
  • PhD, 1995, Polytechnic of Milan (Italy)

School of Business
Department of Management and Organization
Denman, Ken
Edward V. Fritzky Endowed Visiting Chair in Leadership, effective 9/17/2012
Continuing Appointment:
  • Affiliate Professor, Management and Organization
Degrees:
  • MBA, 1984, University of Washington
  • BS, 1977, Central Washington University
NEW APPOINTMENTS

College of Arts and Sciences
Department of Applied Mathematics
Martin, Richard Douglas
Professor, Applied Mathematics, effective 9/16/2012
Prior UW Appointment:
Professor, Statistics
Degrees:
• PhD, 1969, Princeton University
• MS, 1965, University of Washington
• Bachelor of Science Engineering, 1959, Princeton University

Department of Biology
Klicka, John Thomas
Acting Professor, Biology, effective 7/1/2012
Prior Non-UW Appointment:
Curator of Birds and Adjunct Professor, Barrick
Museum of Natural History, School of Life Sciences,
UNLV
Degrees:
• PhD, 1999, University of Minnesota
• MS, 1994, Texas A&M University
• BS, 1987, University of Minnesota

Department of Slavic Languages and Literatures
Mikolajczyk, Jacek
Visiting Assistant Professor, Slavic Languages and Literatures,
effective 9/16/2012
Prior Non-UW Appointment:
Assistant Professor, Institute of Cultural Studies, University
of Silesia, Katowice, Poland
Degrees:
• PhD, 2006, University of Silesia (Poland)
• MA, 2001, University of Silesia (Poland)

College of Education
College of Education
Kauerz, Kristie
Research Assistant Professor, Education, effective 9/16/2012
Degrees:
• Doctor Of Education, 2009, Columbia University
• MA, 1995, American University
• BA, 1991, Colorado College
College of Engineering
Department of Electrical Engineering
Pierquet, Brandon
Assistant Professor, Electrical Engineering, effective 9/16/2012
Degrees:
• PhD, 2011, Massachusetts Institute of Technology
• SM, 2006, Massachusetts Institute of Technology
• BS, 2004, University of Wisconsin (Madison)

School of Medicine
Department of Laboratory Medicine
Edlefsen, Kerstin Lara
Assistant Professor without Tenure, Laboratory Medicine, effective 9/1/2012
Prior UW Appointment:
Acting Assistant Professor, temporary, Laboratory Medicine
Degrees:
• MD, 2002, University of Washington
• BA, 1997, Evergreen State College
• BS, 1997, Evergreen State College

Department of Medicine
Chiorean, Elena Gabriela
Associate Professor without Tenure, Medicine, effective 9/1/2012
Prior Non-UW Appointment:
Associate Professor of Medicine, Indiana University
Degree:
• Bachelor Of Medicine (BM), 1993, University of Medicine and Pharmacy of Cluj-Napoca (Romania)

Fletcher, Grant Selmer
Assistant Professor without Tenure, Medicine, effective 9/1/2012
Prior UW Appointment:
Acting Assistant Professor, temporary, Medicine
Degrees:
• MD, 2002, University of North Carolina
• MPH, 1998, University of North Carolina
• BA, 1992, Oberlin College
Department of Microbiology

Chandler, Josephine Rose
Research Assistant Professor, Microbiology, effective 9/1/2012
Prior UW Appointment:
    Senior Fellow, Microbiology
Degrees:
    • PhD, 2006, University of Minnesota
    • BS, 2000, University of Iowa

Department of Orthopaedics and Sports Medicine

Firoozabadi, Reza
Assistant Professor without Tenure, Orthopaedics and Sports Medicine, effective 9/17/2012
Prior UW Appointment:
    Acting Instructor, Orthopaedics and Sports Medicine
Degrees:
    • MD, 2005, Boston University
    • MA, 2001, Boston University
    • BA, 1999, University of California (Berkeley)

Gee, Albert Ooguen
Assistant Professor without Tenure, Orthopaedics and Sports Medicine, effective 9/1/2012
Degrees:
    • MD, 2005, Washington University
    • BS, 2001, University of Illinois (Urbana)

School of Pharmacy

Department of Pharmaceutics

Klatt, Nichole Rose
Assistant Professor without Tenure, Pharmaceutics, effective 11/1/2012
Degrees:
    • PhD, 2009, Emory University
    • BS, 2004, University of Minnesota
School of Public Health  
Department of Health Services  
Ornelas, India J.  
Assistant Professor without Tenure, Health Services, effective 10/1/2012  
Prior UW Appointment:  
Acting Assistant Professor, temporary, Health Services  
Degrees:  
- PhD, 2009, University of North Carolina (Chapel Hill)  
- MPH, 1999, University of Washington  
- AB, 1996, Brown University

University of Washington, Bothell  
Computing and Software Systems Program, Bothell  
Ryan, Julie Joyce Charity Hughes  
Visiting Associate Professor, Computing and Software Systems, Bothell, effective 7/1/2012  
Prior Non-UW Appointment:  
Associate Professor, Engineering Management and Systems Engineering, George Washington University  
Degrees:  
- DSC OR SCD, 2001, George Washington University  
- MLS, 1996, Eastern Michigan University  

Science and Technology, Bothell  
Lam, Lawrence Kwong-Chung  
Lecturer, Full-time, Science and Technology, Bothell, effective 9/16/2012  
Prior UW Appointment:  
Lecturer Part-Time, Science and Technology, Bothell  
Degrees:  
- MA, 2004, Western Seminary  
- PhD, 1996, University of Washington  
- MSEE, 1988, University of Washington  
- Bachelor Of Electrical Engineering, 1985, University of Texas (Austin)

University of Washington, Tacoma  
Institute of Technology, Tacoma  
Escrig-Monferrer, Maria Teresa  
Visiting Associate Professor, Institute of Technology, Tacoma, effective 7/1/2012  
Degrees:  
- PhD, 1997, Universitat Jaume I (Spain)  
- BS, 1991, U Polytechnique Valencia
College of Engineering
Ramey, Judith Ann
Acting Associate Dean, Engineering, effective September 16, 2012
Continuing appointments:
  • Professor, Human Centered Design & Engineering
  • Adjunct Professor, Information School
Degrees:
  • PhD, 1983, University of Texas
  • MA, 1971, University of Texas
  • BA, 1969, University of Texas
VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Research Update

INFORMATION ONLY
Update on Research Funding: 2012

Mary Lidstrom
Vice Provost for Research

UNIVERSITY of WASHINGTON
Role of Research in our Academic Mission

• Funds experiential learning for graduate students and undergraduate students
  – Estimated to be $200M in FY12
  – Impacts on the order of 5000 students each year

• Funds high-level discovery that contributes to our knowledge base and our reputation
  -- One of our three core missions
Role of Research in our Instructional Mission

Myth: strong researchers are poor teachers

Fact: 1/3 of our distinguished teaching award winners are also strong researchers; over half of the Brotman awards have gone to programs with strength in research

Fact: strong researchers bring the excitement of their discoveries into the classroom

Fact: research enhances the instructional mission inside and outside the classroom
Organizational Improvement
Creating an outstanding Climate for Research

Unit-wide strategic and organizational improvement effort called iSTAR (“Improving Service to Advance Research”).

iSTAR goals are streamlining and creating efficiencies while at the same time, increasing service.

Research Roadmap

Researcher-centric IT initiative

RR goals are to provide personalized service for faculty and staff involved in research administration by the creation of a researcher-centric electronic portal for managing all research tasks.
Total Grant and Contract Awards by Year (in Millions)  
Fiscal Years 1988-2012

$1.47B

Research funding has doubled twice in the past 20 years
### Funding Trends by Category

#### Grant & Contract Awards 2007-2012

<table>
<thead>
<tr>
<th>Year</th>
<th>Federal</th>
<th>Foundations</th>
<th>Private Industry</th>
<th>Other</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>2007</td>
<td>$792,759,604</td>
<td>$55,262,860</td>
<td>$33,807,436</td>
<td>$137,658,711</td>
<td>$1,019,488,611</td>
</tr>
<tr>
<td>2008</td>
<td>$759,529,190</td>
<td>$76,800,793</td>
<td>$37,244,416</td>
<td>$163,620,622</td>
<td>$1,037,195,021</td>
</tr>
<tr>
<td>2009</td>
<td>$799,026,216</td>
<td>$78,737,867</td>
<td>$76,373,517</td>
<td>$195,875,888</td>
<td>$1,150,013,488</td>
</tr>
<tr>
<td>2010</td>
<td>$1,052,254,571</td>
<td>$60,903,168</td>
<td>$49,154,022</td>
<td>$259,311,064</td>
<td>$1,421,642,825</td>
</tr>
<tr>
<td>2011</td>
<td>$1,096,914,907</td>
<td>$87,573,460</td>
<td>$56,317,983</td>
<td>$271,803,870</td>
<td>$1,512,610,220</td>
</tr>
<tr>
<td>2012</td>
<td>$1,000,826,867</td>
<td>$74,966,744</td>
<td>$72,452,658</td>
<td>$323,063,013</td>
<td>$1,471,309,282</td>
</tr>
</tbody>
</table>
UW Marketshare of Federal Research Funding has Increased for the Past 5 Years

UW Marketshare Total

Marketshare %

Year


ave = 2.3%
est. 2.9%

ave = 2.3%
est. 2.9%
Numbers of Large Awards are Increasing

Awards over $1M are 4% of the total awards

Percent of award dollars under $1 million versus percent of awards dollars over $1 million FY08-FY12
Faculty Collaborative Research is Strong

<table>
<thead>
<tr>
<th>Category</th>
<th>Number</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total # of UW Research Centers</td>
<td>286</td>
</tr>
<tr>
<td>Number of Different Departments</td>
<td>164</td>
</tr>
<tr>
<td>Total # External Partners</td>
<td>100</td>
</tr>
<tr>
<td>Universities</td>
<td>57</td>
</tr>
<tr>
<td>Federal</td>
<td>16</td>
</tr>
<tr>
<td>Labs/Institutes/Centers/Hospitals/Clinics</td>
<td>14</td>
</tr>
<tr>
<td>State</td>
<td>5</td>
</tr>
<tr>
<td>Industry/Corporations</td>
<td>4</td>
</tr>
<tr>
<td>International</td>
<td>2</td>
</tr>
<tr>
<td>Foundation/Non-profit organizations</td>
<td>2</td>
</tr>
</tbody>
</table>
Examples of FY12 Awards

• NSF-funded Science and Technology Center
“Center for Sensimotor Neural Engineering”
Engineering, Medicine, Arts & Sciences; MIT, SDSU, Morehouse, Southwestern, Tokyo, UBC
Focused on direct brain-machine and brain-computer neural interfaces.

• NSF-funded Chemical for Chemical Innovation (renewal)
“Center for Enabling New Technologies Through Catalysis”
12 partner universities (Berkeley, Santa Barbara, Texas, Indiana, Wisconsin, Michigan, Ottawa, Rochester, MIT, Rutgers, NC State, North Carolina)
Basic science focused on technological basis for sustainable production of chemicals, pharmaceuticals, and fuels.

• NIH-funded Clinical and Translational Science Award (renewal)
“Institute for Translational Health Science”
Partnership between UW, FHCRC, Children’s, WWAMI institutions
Focused on innovation in biomedical research and training
Positive Signs of Strong Competitiveness

- Federal marketshare is increasing
- Competitive for large, collaborative projects and for single investigator grants

Why are we so competitive?

Main drivers:
- New research space that has come online in the past few years
- High quality faculty do well in a tight funding climate
- We have both breadth and depth
Federal Nondefense R&D Under BCA Caps
With and Without Sequestration
in billions of constant FY 2012 dollars

Source: Based on AAAS estimates of R&D funding and the FY 2013 budget, and CBO analyses of the Budget Control Act.
© 2012 AAAS
We predict an increasing marketshare will largely counterbalance decreases in total funding.

**Predicted Research Funding**

<table>
<thead>
<tr>
<th>Year</th>
<th>FY12</th>
<th>FY13</th>
<th>FY14</th>
<th>FY15</th>
<th>FY16</th>
<th>FY17</th>
</tr>
</thead>
<tbody>
<tr>
<td>$ billion</td>
<td>$1.47B</td>
<td>$1.42B</td>
<td>$1.46B</td>
<td>$1.5B</td>
<td>$1.54B</td>
<td>$1.58B</td>
</tr>
<tr>
<td>%</td>
<td>-3.5%</td>
<td>+3%</td>
<td>+2.5%</td>
<td>+2.5%</td>
<td>+2.5%</td>
<td>+2.5%</td>
</tr>
</tbody>
</table>
Modeling a 5% Decrease Over 3 years

shrinkage of the research enterprise

5% decrease of federal funding = $52.5M
Decreased funding for graduate students
Fewer research-related employees
Fewer publications and research breakthroughs

In the state:
Loss of jobs and tax revenue

How is the UW responding?
Response if Research Funding Declines 5%

Awards forecast expenditures the next year
Timing provides a year for a planned and phased response
Fixed costs cannot be mitigated (mainly debt service)
All other costs can be phased down over a few years
  • Fewer graduate students admitted
  • Fewer post-docs hired
  • Some projects carried out in leased space could move to UW space
  • Support infrastructure phased down through attrition
Proactive Steps to Maintain Competitiveness

• Focus on recruiting and retaining top faculty
• Targeted investment in key research facilities
• Targeted investment in infrastructure for increasing our marketshare
SUMMARY

Increasing competitiveness suggests impacts of decreased federal research funding at UW as a whole will be relatively minor.

Specific areas may have a very difficult time.

Nevertheless, we know how to downsize the research enterprise and have considered steps to take.
VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

In Joint Session with

B. Finance, Audit and Facilities Committee

Summary of UW 2012 Public Opinion Survey

INFORMATION ONLY

Attachment
Public Opinion Research – Community Views of the University of Washington
Public Opinion Research:
COMMUNITY VIEWS OF THE UNIVERSITY OF WASHINGTON

A-4.1/210-12
10/11/12
OCTOBER 11 2012
Table of Contents

Research Objectives and Executive Summary

The UW’s Image

Impact

Perceptions

Financial Issues
Overall Research Objectives

Assess current public views of the UW

Measure against 2008, 2009 and 2010 baselines

Inform strategic UW decisions and identify focus areas

Frame internal and external communications
Methodology

Research conducted by Hanover Research, a research firm headquartered in Washington D.C. with extensive experience with higher education

Representative sample of registered Washington voters

Telephone interviews conducted in June 2012

548 interviews completed
  • Industry-standard margin of error of 4.2% at a 95% confidence interval
People View the UW As …

Positive

Connected

Impactful
Executive Summary

S T R E N G T H S

Overall favorability has increased considerably since 2010.

Across the board, 2012 ratings were generally higher.

More respondents now feel a connection to the University.
Executive Summary

CHALLENGES

Tuition and make-up of undergraduate student body: Opportunities to make public opinion more accurate.

Economic diversity: The UW is generally perceived as more responsive to ethnic diversity than to economic/financial diversity.

Perception that the UW is elitist and arrogant: While the number of people who disagree that the UW is elitist and arrogant increased, there is still a significant percentage of people who do perceive the UW this way.
The UW’s Image

OVERALL IMPRESSIONS AND FAVORABILITY
The UW’s Image Trends Upward

Generally speaking, people view the UW positively. Overall favorability improved significantly since June 2010 – 80% of respondents view the UW favorably, with 46% of those reporting a very favorable view.

“In general, do you have a very favorable, a somewhat favorable, a somewhat unfavorable, or very unfavorable opinion of the University of Washington? If you have no opinion or have never heard of the University, just say so.”
## Factors Affecting Favorability

### BY REGION

<table>
<thead>
<tr>
<th></th>
<th>Total</th>
<th>East</th>
<th>Kits/Pierce</th>
<th>Non-Seattle</th>
<th>Seattle</th>
<th>West</th>
</tr>
</thead>
<tbody>
<tr>
<td>80%</td>
<td>71%</td>
<td>86%</td>
<td>88%</td>
<td>89%</td>
<td>79%</td>
<td></td>
</tr>
</tbody>
</table>

### BY AGE GROUP

<table>
<thead>
<tr>
<th></th>
<th>18-34</th>
<th>35-44</th>
<th>45-54</th>
<th>55-64</th>
<th>65+</th>
</tr>
</thead>
<tbody>
<tr>
<td>80%</td>
<td>66%</td>
<td>71%</td>
<td>82%</td>
<td>83%</td>
<td>88%</td>
</tr>
</tbody>
</table>

### BY LENGTH OF RESIDENCE

<table>
<thead>
<tr>
<th></th>
<th>0-14 yrs</th>
<th>15+ yrs</th>
</tr>
</thead>
<tbody>
<tr>
<td>80%</td>
<td>67%</td>
<td>85%</td>
</tr>
</tbody>
</table>

### BY CONNECTION TO UW

<table>
<thead>
<tr>
<th></th>
<th>No</th>
<th>Yes</th>
</tr>
</thead>
<tbody>
<tr>
<td>80%</td>
<td>68%</td>
<td>95%</td>
</tr>
</tbody>
</table>

### BY GENDER

<table>
<thead>
<tr>
<th></th>
<th>Female</th>
<th>Male</th>
</tr>
</thead>
<tbody>
<tr>
<td>80%</td>
<td>77%</td>
<td>85%</td>
</tr>
</tbody>
</table>

% Selecting “Very Favorable” or “Somewhat Favorable”
Perceptions Hold Steady

Overall perceptions did not significantly shift with most respondents (84%) reporting that they have not changed their opinion of the UW.

“Has your opinion of the University of Washington changed over the past year?”

- Yes, 13%
- No, 84%
- Don’t Know, 4%
Factors Influencing Change

- Personal experience: 23%
- In-state students are penalized: 20%
- Sports teams: 6%
- Other: 5%
- Poor atmosphere: 5%
- Admissions standards: 2%
- Becoming very liberal: 2%
- Coaching scandal: 2%
- Lack of support for nearby businesses: 2%
- Poor outreach: 2%
- Too much crime: 2%

“What was it that changed your mind?”

Note: Small sample (n=65)
Academics Are Top of Mind

“What is the first thing that comes to your mind when you think about the University of Washington?”

At a glance:
The most frequently cited associations with the UW include:
- Academics/Quality of education
- Medical program/Hospital
- Football/Huskies
Primary Associations with the UW: Academics, Sports and Medical

“What is the first thing that comes to your mind when you think about the University of Washington?”

- Academics/Quality of education: 23%
- Football: 13%
- Medical Program/Hospital: 13%
- Huskies: 10%
- Personal Ties/Experiences: 7%
- Size: 5%
- Expensive/High Tuition: 4%
- Seattle: 4%
- Research: 3%
- Nice campus: 3%
- Other: 20%
Awareness of 150th Anniversary

Awareness was measured over the course of the 150th year, with the highest awareness right after the 150th campaign.

“Are you aware or not aware that the University of Washington turned one hundred and fifty years old this year?”

- Sept 2011: 26% Aware, 73% Not aware
- Nov 2011: 48% Aware, 50% Not aware
- Jun 2012: 35% Aware, 65% Not aware
Making a Positive Impact—Statewide

The UW’s positive impact is felt throughout the state. Regardless of whether or not they attended the UW, a majority of respondents believe they benefit from the University.

“Do you agree or disagree that you benefit from the University of Washington whether you attend the University or not? Would you say you strongly agree, somewhat agree, somewhat disagree, or strongly disagree?”

<table>
<thead>
<tr>
<th></th>
<th>2010</th>
<th>2012</th>
</tr>
</thead>
<tbody>
<tr>
<td>Agree</td>
<td>79%</td>
<td>81%</td>
</tr>
<tr>
<td>Disagree</td>
<td>13%</td>
<td>16%</td>
</tr>
<tr>
<td>Don’t know</td>
<td>8%</td>
<td>3%</td>
</tr>
</tbody>
</table>
Benefits: Demographic Breakdown

Whether or not they attended the UW, the groups most likely to believe that they benefit from the University include: Seattle residents, voters who are well educated and have lived in the state for a long period, and liberals.

<table>
<thead>
<tr>
<th>BY REGION</th>
<th>Total</th>
<th>East</th>
<th>Kits/Prce/Snoh. Cos.</th>
<th>Non-Seattle King</th>
<th>Seattle</th>
<th>West</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>82%</td>
<td>71%</td>
<td>79%</td>
<td>90%</td>
<td>98%</td>
<td>83%</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>BY YEARS OF EDUCATION</th>
<th>Total</th>
<th>0-12 yrs</th>
<th>13-15 yrs</th>
<th>16 yrs</th>
<th>17+ years</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>82%</td>
<td>65%</td>
<td>77%</td>
<td>85%</td>
<td>90%</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>BY POLITICAL VIEWS</th>
<th>Total</th>
<th>Conservative</th>
<th>Liberal</th>
<th>Moderate</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>82%</td>
<td>76%</td>
<td>88%</td>
<td>86%</td>
</tr>
</tbody>
</table>
Fostering Connections

“Do you feel you have a connection to the University of Washington, or not?”

In 2012, more respondents reported feeling a connection to the UW. Nearly half of all respondents indicated that this was the case (47%, up from 38% in 2010).

<table>
<thead>
<tr>
<th>Year</th>
<th>Yes</th>
<th>Don't know</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>2010</td>
<td>38%</td>
<td>3%</td>
<td>0%</td>
</tr>
<tr>
<td>2012</td>
<td>47%</td>
<td>0%</td>
<td>52%</td>
</tr>
</tbody>
</table>
Connections Differ by Location, Income and Political Views

The groups most likely to report that they feel a connection to the UW include: voters who live close to the University, individuals with higher incomes, and voters with liberal political views.

<table>
<thead>
<tr>
<th>BY REGION</th>
<th>Total</th>
<th>East</th>
<th>Kits/Prce/ Snoh. Cos.</th>
<th>Non-Seattle King</th>
<th>Seattle</th>
<th>West</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>47%</td>
<td>36%</td>
<td>48%</td>
<td>57%</td>
<td>67%</td>
<td>44%</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>BY HOUSEHOLD INCOME</th>
<th>Total</th>
<th>&lt; 35K</th>
<th>35K-49K</th>
<th>50-74K</th>
<th>75-99K</th>
<th>Over 100K</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>47%</td>
<td>36%</td>
<td>39%</td>
<td>53%</td>
<td>56%</td>
<td>55%</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>BY POLITICAL VIEWS</th>
<th>Total</th>
<th>Conservative</th>
<th>Liberal</th>
<th>Moderate</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>47%</td>
<td>46%</td>
<td>57%</td>
<td>39%</td>
</tr>
</tbody>
</table>
Maintaining Strong Personal Connections

As in previous years, most reported connections with the UW were of a personal nature.

“What is that connection?”

- Know someone connected to the University: 42%
- Attended the University: 23%
- Received medical care: 10%
- Close to campus/from the area: 6%
- Attend sporting events: 6%
- Word of mouth: 3%
- Have worked with the University: 3%
- Student at the University: 3%
- Attend artistic/cultural events: 1%
- Current or former employee of the University: 1%
- Have worked for the University: 1%
- Don't know: 1%
Impact

THE UW’S IMPACT STATEWIDE, REGIONALLY AND LOCALLY
The University of Washington positively impacts the state. (Q13)

The University of Washington positively impacts your local community. (Q14)

The University of Washington positively impacts you and your family. (Q15)

The state, local community and personal lives – according to a majority of respondents, the UW positively impacts all of these areas.
More people than in previous years reported that the UW has a **positive impact** on the state of Washington and on them personally.

<table>
<thead>
<tr>
<th>Statement</th>
<th>2008</th>
<th>2010</th>
<th>2012</th>
</tr>
</thead>
<tbody>
<tr>
<td>The University of Washington positively impacts the state.</td>
<td>89%</td>
<td>90%</td>
<td>93%</td>
</tr>
<tr>
<td>The University of Washington positively impacts your local community.</td>
<td>68%</td>
<td>72%</td>
<td>71%</td>
</tr>
<tr>
<td>The University of Washington positively impacts you and your family.</td>
<td>53%</td>
<td>53%</td>
<td>60%</td>
</tr>
</tbody>
</table>
Going Beyond our Backyard

Perception of the UW’s positive impact extends beyond Seattle. Across the state of Washington, respondents in all five regions report that the University positively impacts the state.

<table>
<thead>
<tr>
<th>Perception</th>
<th>Total</th>
<th>East</th>
<th>Kits/Prce/ Snoh. Cos.</th>
<th>Non-Seattle King Co</th>
<th>Seattle</th>
<th>West</th>
</tr>
</thead>
<tbody>
<tr>
<td>The University of Washington positively impacts the state.</td>
<td>93%</td>
<td>95%</td>
<td>95%</td>
<td>94%</td>
<td>89%</td>
<td>94%</td>
</tr>
<tr>
<td>The University of Washington positively impacts your local community.</td>
<td>71%</td>
<td>53%</td>
<td>77%</td>
<td>86%</td>
<td>89%</td>
<td>67%</td>
</tr>
<tr>
<td>The University of Washington positively impacts you and your family.</td>
<td>60%</td>
<td>48%</td>
<td>64%</td>
<td>70%</td>
<td>76%</td>
<td>60%</td>
</tr>
</tbody>
</table>
From Health to Education: Perceptions of the UW’s Impact Vary

How much of an impact do you think the UW has on...

- Improving the health of Washington residents? 76%
- Creating innovations that help society? 70%
- Finding solutions to the challenges in environmental stewardship and sustainability? 68%
- Helping to drive the state of Washington's economic future through entrepreneurship and commercialization efforts? 67%
- Reinventing public higher education? 60%
- Improving the health of people around the world? 59%
- Improving education for K through 12 and early learning in the state of Washington? 49%

% Indicating Significant or Moderate Impact
Regional Perceptions of the UW’s Impact

% Who Selected Moderate or Significant Impact

<table>
<thead>
<tr>
<th>How much of an impact do you think the UW has on...</th>
<th>Total</th>
<th>East</th>
<th>Kits/Prce/Snoh. Cos.</th>
<th>Non-Seattle King Co</th>
<th>Seattle</th>
<th>West</th>
</tr>
</thead>
<tbody>
<tr>
<td>Reinventing public higher education?</td>
<td>60%</td>
<td>62%</td>
<td>60%</td>
<td>59%</td>
<td>63%</td>
<td>58%</td>
</tr>
<tr>
<td>Improving the health of people around the world?</td>
<td>59%</td>
<td>62%</td>
<td>61%</td>
<td>49%</td>
<td>48%</td>
<td>64%</td>
</tr>
<tr>
<td>Improving education for K through 12 and early learning in the state of Washington?</td>
<td>49%</td>
<td>53%</td>
<td>43%</td>
<td>38%</td>
<td>58%</td>
<td>53%</td>
</tr>
</tbody>
</table>
Generating Jobs in Washington State

“To the best of your knowledge, how many jobs in the state are generated by the University of Washington - including University of Washington jobs and jobs created in support of University of Washington's workforce and visitors?”

There was no clear consensus among voters as to how many jobs the UW generates in the state.

A plurality believe that the University creates fewer than 20,000 jobs. This represents an area of opportunity to improve the public’s awareness of the UW’s significant role as a creator of 70,000 jobs (direct and indirect).
Perceptions

What are people’s perceptions of the UW in key focus areas?
Identifying Gaps in Perceptions

**The University of Washington conducts research that is valuable to the needs of the state and its citizens.**

- Total agree: 87%
- Total disagree: 1%
- No opinion/don’t know: 12%

**The University of Washington is vital to the economy of the state of Washington.**

- Total agree: 86%
- Total disagree: 7%
- No opinion/don’t know: 7%

**The University of Washington provides top quality undergraduate education.**

- Total agree: 83%
- Total disagree: 4%
- No opinion/don’t know: 14%

**The University of Washington educates qualified students regardless of race or ethnic background.**

- Total agree: 76%
- Total disagree: 7%
- No opinion/don’t know: 17%

**The University of Washington educates qualified students regardless of income level or economic background.**

- Total agree: 58%
- Total disagree: 14%
- No opinion/don’t know: 28%

**Strengths:**
Respondents favorably view the UW’s research, economic impact and quality of education.

**Challenges:**
Fewer respondents believe that the University educates qualified students regardless of their income level or economic background.
Perceptions Improve in Key Areas

In all five focus areas, ratings improved compared to previous years.

% Who Agree

<table>
<thead>
<tr>
<th></th>
<th>June 2008</th>
<th>June 2010</th>
<th>June 2012</th>
</tr>
</thead>
<tbody>
<tr>
<td>The University of Washington conducts research that is valuable to the needs of the state and its citizens.</td>
<td>82%</td>
<td>77%</td>
<td>87%</td>
</tr>
<tr>
<td>The University of Washington is vital to the economy of the state of Washington.</td>
<td>80%</td>
<td>81%</td>
<td>86%</td>
</tr>
<tr>
<td>The University of Washington provides top quality undergraduate education.</td>
<td>78%</td>
<td>74%</td>
<td>83%</td>
</tr>
<tr>
<td>The University of Washington educates qualified students regardless of race or ethnic background.</td>
<td>80%</td>
<td>72%</td>
<td>76%</td>
</tr>
<tr>
<td>The University of Washington educates qualified students regardless of income level or economic background.</td>
<td>56%</td>
<td>52%</td>
<td>58%</td>
</tr>
</tbody>
</table>
The UW Conducts Meaningful Research

More people held favorable views of the value of the UW’s research compared to 2010.

“The University of Washington conducts research that is valuable to the needs of the state and its citizens.”
Positive Views of Our Research Role

“As you may know, the University of Washington is a major research university. What, in your opinion is the major benefit of this for the state of Washington?”

- Improving medicine and health care: 24%
- Helps the economy and creates jobs: 17%
- Helpful/good – general: 6%
- Increases learning, offers better education: 5%
- Helps quality of life: 5%
- Improves reputation: 4%
- Well trained students: 3%
- Offers financial grants to the community: 2%
- Breakthrough technology generates income: 2%
- Attracts new businesses to the state: 1%
- Vital for state: 1%
- None/nothing/refused: 8%
- Don't know: 21%

Survey participants recognize the UW’s contributions to improving medicine and health care.

A significant percentage of respondents also believe that the UW’s role as a major research university benefits the economy and helps create jobs.
Moderate Improvements in Perceptions of the UW as “Elitist” and “Arrogant”

“Please tell me if you strongly agree, somewhat agree, somewhat disagree or strongly disagree with the following statement: The University of Washington is elitist and arrogant in its attitudes.”

![Graph showing improvements in perceptions of the UW as elitist and arrogant from June 2009 to June 2012.](image-url)
Perceptions Differ by Region

Survey participants in Eastern Washington, non-Caucasians, and respondents who have been in the state for a long period are more likely to perceive the UW as being “elitist and arrogant in its attitudes.”

<table>
<thead>
<tr>
<th></th>
<th>Total</th>
<th>East</th>
<th>Kits/Prce/Snoh. Cos.</th>
<th>Non-Seattle King</th>
<th>Seattle</th>
<th>West</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>35%</td>
<td>43%</td>
<td>41%</td>
<td>27%</td>
<td>33%</td>
<td>26%</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th></th>
<th>Total</th>
<th>Caucasian</th>
<th>Non-Caucasian</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>35%</td>
<td>31%</td>
<td>48%</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th></th>
<th>Total</th>
<th>0-14 yrs</th>
<th>15+ yrs</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>35%</td>
<td>24%</td>
<td>36%</td>
</tr>
</tbody>
</table>
Financial Issues

PERCEPTIONS REGARDING TUITION, ADMISSIONS AND FUNDING ISSUES
Many Remain Unclear on Costs

Thirty percent (30%) of respondents hold accurate views of tuition/fees for in-state students, correctly identifying the cost between $10,000 and $14,999.

What do you think are the costs of tuition and fees for one year of undergraduate education at the University of Washington for an IN-STATE student?

<table>
<thead>
<tr>
<th>Year Tuition</th>
<th>2008 $6,385</th>
<th>2010 $7,692</th>
<th>2012 $10,574</th>
</tr>
</thead>
<tbody>
<tr>
<td>Less than $5,000</td>
<td>2%</td>
<td>2%</td>
<td>1%</td>
</tr>
<tr>
<td>$5,000 - $9,999</td>
<td>20%</td>
<td>18%</td>
<td>10%</td>
</tr>
<tr>
<td>$10,000 - $14,999</td>
<td>18%</td>
<td>25%</td>
<td>30%</td>
</tr>
<tr>
<td>$15,000 - $19,999</td>
<td>19%</td>
<td>22%</td>
<td>27%</td>
</tr>
<tr>
<td>$20,000 or more a year</td>
<td>15%</td>
<td>20%</td>
<td>22%</td>
</tr>
<tr>
<td>Don’t know</td>
<td>25%</td>
<td>13%</td>
<td>9%</td>
</tr>
</tbody>
</table>
Lack of Awareness of In-State and International Students

Survey respondents are largely unaware of the extent to which the UW serves undergraduate in-state students. While the average response was 58%, in actuality 80% of the UW’s students are from Washington. Respondents also overestimate the number of international undergraduate students on campus. While the average response was 22%, in actuality 8.7% of UW students come from other countries.

<table>
<thead>
<tr>
<th>Question</th>
<th>Average</th>
<th>Actual</th>
</tr>
</thead>
<tbody>
<tr>
<td>To the best of your knowledge, what percentage of University of Washington undergraduate students are from the state of Washington?</td>
<td>58%</td>
<td>80%</td>
</tr>
<tr>
<td>To the best of your knowledge, what percentage of University of Washington undergraduate students are international students?</td>
<td>22%</td>
<td>8.7%</td>
</tr>
<tr>
<td>To the best of your knowledge, what percentage of funds does the state government cover to educate University of Washington undergraduate students?</td>
<td>27%</td>
<td>30%</td>
</tr>
<tr>
<td>To the best of your knowledge, what percentage of undergraduate students attending the University of Washington get some sort of financial aid?</td>
<td>61%</td>
<td>More than 60%</td>
</tr>
</tbody>
</table>
Many Attribute Tuition Increases to Inflation and Budget Cuts

“What is the primary reason that UW students pay a higher tuition today than in the past?”

Respondents were most likely to cite inflation as the primary reason that UW students pay higher tuition today.

Many respondents also cited budget cuts and broad economic trends/factors.
More than half of those surveyed oppose a differential tuition model.

“Now here are some proposals to help the University of Washington address budget cuts. Please tell me if you favor or oppose each: Use a differential tuition model where students pay a higher or lower tuition based on area of study, for example by college, school, major.”
A majority of respondents (56%) oppose a proposal to admit more out of state and international students in order to subsidize tuition for Washington residents.

“Now here are some proposals to help the University of Washington address budget cuts. Please tell me if you favor or oppose each: Admit more out of state and international students in order to get the higher tuition they pay and use that to subsidize tuition for Washington residents.”
Athletics and Husky Stadium Funding

It is still not clear to the public that Athletics is not subsidized by the UW or tax payers.

<table>
<thead>
<tr>
<th>Average</th>
</tr>
</thead>
<tbody>
<tr>
<td>To the best of your knowledge, what percentage of the athletic department's budget comes from taxpayer dollars?</td>
</tr>
</tbody>
</table>
Athletics and Husky Stadium Funding

Many voters believe that the Husky Stadium renovation project will require the use of at least some public funds.

“To the best of your knowledge, how is the Husky Stadium renovation being funded?”

- Mix of private and government funding, 55%
- All government funding, 2%
- All private funding, 21%
- Don’t know, 22%
Budget Priorities

“As the state is recovering from economic challenges, which of the following do you think should receive the highest priority for investment by the state?”

<table>
<thead>
<tr>
<th></th>
<th>June 2009</th>
<th>June 2010</th>
<th>June 2012</th>
</tr>
</thead>
<tbody>
<tr>
<td>K-12 schools</td>
<td>40%</td>
<td>43%</td>
<td>33%</td>
</tr>
<tr>
<td>Basic health</td>
<td>20%</td>
<td>17%</td>
<td>14%</td>
</tr>
<tr>
<td>Public safety</td>
<td>8%</td>
<td>10%</td>
<td>9%</td>
</tr>
<tr>
<td>Two-year community colleges</td>
<td>8%</td>
<td>6%</td>
<td>9%</td>
</tr>
<tr>
<td>Four-year state universities</td>
<td>4%</td>
<td>6%</td>
<td>8%</td>
</tr>
<tr>
<td>Other</td>
<td>2%</td>
<td>1%</td>
<td>8%</td>
</tr>
<tr>
<td>Social services</td>
<td>8%</td>
<td>6%</td>
<td>6%</td>
</tr>
<tr>
<td>Transportation</td>
<td>3%</td>
<td>4%</td>
<td>4%</td>
</tr>
<tr>
<td>Don’t know</td>
<td>7%</td>
<td>6%</td>
<td>9%</td>
</tr>
</tbody>
</table>
Within Seattle and King County, 12% of respondents were aware of The Seattle Times’ Greater Good Campaign. Of those who were aware, 20% report that it increased their level of support for higher education in the state.
Focus Areas

The survey indicates positive momentum in many regards as the UW’s overall favorability rankings increased and more people feel connected to the University.

The research also illuminates areas where we need to work to improve the public’s understanding. These focus areas include:

• The UW’s impact on job creation
• Tuition and make-up of undergraduate student body
• Economic diversity
• Perception that the UW is elitist and arrogant
Questions?
VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Report of Contributions – August 2012

For information only.

Attachment
UW Foundation Report of Contributions for August 2012
Report of Contributions
All Areas | August 2012
ANNUAL PROGRESS BY CONSTITUENCY

<table>
<thead>
<tr>
<th>School</th>
<th>Current Month</th>
<th></th>
<th>Year to Date</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Gifts</td>
<td>Private Grants</td>
<td>Total</td>
<td>Donors 1</td>
</tr>
<tr>
<td>UW Medicine</td>
<td>$1,037,631</td>
<td>$111,169,661</td>
<td>$12,207,292</td>
<td>1,973</td>
</tr>
<tr>
<td>Arts and Sciences</td>
<td>$410,179</td>
<td>$354,762</td>
<td>$764,941</td>
<td>1,142</td>
</tr>
<tr>
<td>Broadcast Services</td>
<td>$136,050</td>
<td>$136,050</td>
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MONTHLY HIGHLIGHTS

The UW received $27.34M in total private voluntary support ($12.45M in gifts and $14.89M in grants) in the current month.

Areas including Arts and Sciences, Engineering, Environment, Evans School of Public Affairs, Information School, Intercollegiate Athletics, Libraries, Minority Affairs, Nursing, Pharmacy, Undergraduate Academic Affairs, University Press, UW Alumni Association, UW Bothell and UW Tacoma are ahead of last year’s year-to-date totals.

1 Donors are defined as those entities who have a credit amount of greater than $0.00.

The donor total at the bottom of the chart is not a cumulative total of the rows above. The donor total is the number of unique donors who have been credited with a gift to the UW during the given time period.
## DEVELOPMENT SUMMARY BY CONSTITUENCY

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<th>School</th>
<th>Current Month Total</th>
<th>Donors</th>
<th>Year to Date Total</th>
<th>Donors</th>
<th>Prior Year to Date Total</th>
<th>Donors</th>
<th>Prior Year Total Total</th>
<th>Donors</th>
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<td>14,779</td>
<td>$51,701,547</td>
<td>19,265</td>
<td>$313,101,782</td>
<td>111,145</td>
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1 The donor total at the bottom of the chart is not a cumulative total of the rows above. The donor total is the number of unique donors who have been credited with a gift to the UW during the given time period.

Source: University Advancement, Information Management Report # devrpts_s1209248
FISCAL YEAR COMPARISON OF TOTAL CONTRIBUTIONS

**Complete Fiscal Year**
- Gifts
- Private Grants
- Total
- Donors

<table>
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<tr>
<th>Fiscal Year</th>
<th>Gifts</th>
<th>Private Grants</th>
<th>Total</th>
<th>Donors</th>
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<td>2011-2012</td>
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<td>2008-2009</td>
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**Year to Date**
- Gifts
- Private Grants
- Total
- Donors

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<th>Fiscal Year</th>
<th>Gifts</th>
<th>Private Grants</th>
<th>Total</th>
<th>Donors</th>
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</thead>
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<tr>
<td>2012-2013</td>
<td>$19,042,199</td>
<td>$21,414,824</td>
<td>$40,457,023</td>
<td>14,779</td>
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<tr>
<td>2011-2012</td>
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Source: University Advancement, Information Management Report # devrpts_s1209248
ANNUAL FUNDING THEME PROGRESS

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DEVELOPMENT ACTIVITY BY DONOR TYPE

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<th>Prior Fiscal Year</th>
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<td>Donors ^1 Total</td>
<td>Donors Total</td>
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</table>

DEVELOPMENT ACTIVITY PYRAMID

^1 Prior Fiscal Year to Date numbers reflect the number of alumni for the reported period based on the state of the data at the end of the prior fiscal year.

Source: University Advancement, Information Management Report # devrpts_s1209248
### ANNUAL PROGRESS BY GIVING LEVEL

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<th>Non Alumni</th>
<th>Family Fndns.</th>
<th>Corporations</th>
<th>Foundations</th>
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<td>$100</td>
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<td>$1,630</td>
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<td><strong>3,727,338</strong></td>
<td><strong>6,068,707</strong></td>
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<td><strong>10,243,851</strong></td>
<td><strong>40,457,023</strong></td>
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### ALUMNI PARTICIPATION BY CONSTITUENCY (CURRENT FISCAL YEAR)

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<th>To UW</th>
<th>To Unit</th>
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</thead>
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<td></td>
<td></td>
<td>Year to Date</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Donors</td>
<td>Part Rate</td>
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<td>UW Medicine</td>
<td>20,206</td>
<td>532</td>
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<td>158,093</td>
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<td>8,782</td>
<td>197</td>
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<td>4,729</td>
<td>180</td>
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<td>18,344</td>
<td>460</td>
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<tr>
<td>Engineering</td>
<td>34,946</td>
<td>635</td>
<td>1.82%</td>
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<td>Environment</td>
<td>12,029</td>
<td>195</td>
<td>1.62%</td>
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<td>110</td>
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<td>280</td>
<td>3.27%</td>
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<td>School of Nursing</td>
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<tr>
<td>UW Tacoma</td>
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<td>1.65%</td>
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<tr>
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<td>8,909</td>
<td>185</td>
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<td>ALL UW TOTAL</td>
<td>345,483</td>
<td>7,580</td>
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### ALUMNI PARTICIPATION BY CONSTITUENCY (PREVIOUS FISCAL YEAR)

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<th>To Unit</th>
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</thead>
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<td></td>
<td></td>
<td>Year to Date</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Donors</td>
<td>Part Rate</td>
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<tr>
<td>UW Medicine</td>
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<td>Environment</td>
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<tr>
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<td>UW Bothell</td>
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<td>UW Tacoma</td>
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<tr>
<td>Unspecified</td>
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<tr>
<td>ALL UW TOTAL</td>
<td>324,647</td>
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</table>

1 Prior Fiscal Year to Date numbers reflect the number of alumni for the reported period based on the state of the data on the date this report was run in the prior fiscal year.

(07/01/2012 - 08/31/2012)

Source: University Advancement, Information Management Report # devrpts_s1209248
The University of Washington Alumni Association is the broad-based engagement vehicle for University Advancement and the University of Washington. Through its strategically designed programs, the UW Alumni Association invites alumni, donors and friends to engage in the life of the UW. Research indicates that engaged alumni and friends are more inclined to support the University and its students. The UW Alumni Association is proud to develop a solid base of support for the University of Washington.

### UWAA Member Giving by Constituency

<table>
<thead>
<tr>
<th>School</th>
<th>Solicitable Alumni</th>
<th>Member Donors</th>
<th>Alumni Giving</th>
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<tbody>
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<td>Members 1</td>
<td>Members</td>
<td>Non Members</td>
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<tr>
<td>UW Medicine</td>
<td>20,206</td>
<td>1,949</td>
<td>180</td>
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<td>158,093</td>
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<td>40,686</td>
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<td>8,782</td>
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<td>1,000</td>
<td>110</td>
</tr>
<tr>
<td>Education</td>
<td>18,344</td>
<td>2,867</td>
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<tr>
<td>Engineering</td>
<td>34,946</td>
<td>4,622</td>
<td>243</td>
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<tr>
<td>Environment</td>
<td>12,029</td>
<td>1,459</td>
<td>61</td>
</tr>
<tr>
<td>Evans School of Public Affairs</td>
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<td>24</td>
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<td>Interdisc. Grad. Programs</td>
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<tr>
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<td>123</td>
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<tr>
<td>School of Nursing</td>
<td>9,128</td>
<td>1,354</td>
<td>102</td>
</tr>
<tr>
<td>Pharmacy</td>
<td>3,851</td>
<td>656</td>
<td>53</td>
</tr>
<tr>
<td>Public Health</td>
<td>5,138</td>
<td>435</td>
<td>33</td>
</tr>
<tr>
<td>Social Work</td>
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<td>611</td>
<td>43</td>
</tr>
<tr>
<td>UW Bothell</td>
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<td>1,027</td>
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<td>UW Tacoma</td>
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<tr>
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<td><strong>Total</strong></td>
<td>345,483</td>
<td>56,021</td>
<td>4,754</td>
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</table>

### Activity Participation - Rolling 3 Year Total

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<th>School</th>
<th>Participants</th>
<th>Part. Donors</th>
<th>% Donors</th>
<th>Alum Non-Part.</th>
<th>Alum Non-Par Donor</th>
<th>% Non-Part Donor</th>
</tr>
</thead>
<tbody>
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<td>22,169</td>
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<td>14,297</td>
<td>2,814</td>
<td>19.68%</td>
<td>146,163</td>
<td>7,152</td>
<td>4.88%</td>
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<td>1,664</td>
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<td>3.71%</td>
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<td>8,924</td>
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<td>2.15%</td>
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<td>3,307</td>
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<td>14.41%</td>
</tr>
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<td>713</td>
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<td>1,072</td>
<td>14.88%</td>
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<td>7.90%</td>
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<td>582</td>
<td>8.83%</td>
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<tr>
<td>UW Bothell</td>
<td>729</td>
<td>203</td>
<td>27.85%</td>
<td>9,435</td>
<td>662</td>
<td>7.02%</td>
</tr>
<tr>
<td>UW Tacoma</td>
<td>718</td>
<td>271</td>
<td>37.74%</td>
<td>10,868</td>
<td>824</td>
<td>7.58%</td>
</tr>
</tbody>
</table>

1 Members include paid Annual Members, Lifetime Members, and TPC Level Donors
2 Activity is based on a unit affiliated Alumni or Donor being labeled as a positive RSVP, host, speaker, or participant at any tracked UW activity.
3 3-Years consists of any activity since 7/1/2009

Source: University of Washington Alumni Association
VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Grant and Contract Awards Summary – July and August 2012

RECOMMENDED ACTION

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the Board of Regents accept the Grant and Contract Awards of $1,000,000 or more as presented in the attached report.

Attachments

2. Grant and Contract Awards Summary for August 2012
Grant and Contract Awards Summary

to

The Board of Regents

of the

University of Washington

for

July 2012

Office of Research

Office of Sponsored Programs

The numbers provided in this report are subject to adjustment at the time that the Annual Report of Sponsored Activity is published. The changes would reflect modifications and additions to existing awards.
<table>
<thead>
<tr>
<th>Section</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>Graphical Summary of Award Activity</td>
<td>3</td>
</tr>
<tr>
<td>Summary of Grant and Contract Awards</td>
<td>4</td>
</tr>
<tr>
<td>Comparison of Grants and Contract Awards by Agency</td>
<td>5</td>
</tr>
<tr>
<td>Comparison of Grants and Contract Awards by School/College</td>
<td>6</td>
</tr>
<tr>
<td>Summary of Grant Awards – Excluding Private Awards</td>
<td>7</td>
</tr>
<tr>
<td>Summary of Grant Awards - Private Awards</td>
<td>8</td>
</tr>
<tr>
<td>Summary of Contract Awards</td>
<td>9</td>
</tr>
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<td>Report of Grant &amp; Contract Awards over $1,000,000</td>
<td>10</td>
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<tr>
<td>Month</td>
<td>RESEARCH AND OTHER</td>
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<tr>
<td></td>
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<tr>
<td>July</td>
<td>$63,287,280</td>
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<td>Over (Under) Previous Year</td>
<td>($9,488,846)</td>
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Summary of Grant and Contract Awards
Fiscal Year 2012-2013

Assuming acceptance of all awards by the Board of Regents
10/11/12
## Comparison of Grant and Contract Awards by Agency

**Fiscal Years 2011-2012 and 2012-2013**

<table>
<thead>
<tr>
<th>Agency</th>
<th>Jul-Jul FY12</th>
<th>Jul-Jul FY13</th>
</tr>
</thead>
<tbody>
<tr>
<td>US Department of Defense (DOD)</td>
<td>$2,194,227</td>
<td>$2,239,975</td>
</tr>
<tr>
<td>US Department of Education (DOEd)</td>
<td>$0</td>
<td>$1,196,317</td>
</tr>
<tr>
<td>US Department of Energy (DOE)</td>
<td>$3,393,278</td>
<td>$167,986</td>
</tr>
<tr>
<td>US Department of Health and Human Services (DHHS)</td>
<td>$62,438,804</td>
<td>$49,611,403</td>
</tr>
<tr>
<td>National Science Foundation (NSF)</td>
<td>$9,282,079</td>
<td>$6,059,422</td>
</tr>
<tr>
<td>Other Federal</td>
<td>$3,110,616</td>
<td>$10,109,054</td>
</tr>
<tr>
<td><strong>Subtotal for Federal</strong></td>
<td>$80,419,004</td>
<td>$69,384,157</td>
</tr>
<tr>
<td>Associations and Non-Profits</td>
<td>$6,430,785</td>
<td>$6,680,313</td>
</tr>
<tr>
<td>Foundations</td>
<td>$11,216,309</td>
<td>$2,634,690</td>
</tr>
<tr>
<td>Local Government (in Washington)</td>
<td>$100,477</td>
<td>$387,474</td>
</tr>
<tr>
<td>Other Government (not in Washington)</td>
<td>$3,207,700</td>
<td>$2,102,377</td>
</tr>
<tr>
<td>Private Industry</td>
<td>$4,430,137</td>
<td>$6,514,162</td>
</tr>
<tr>
<td>State of Washington</td>
<td>$7,199,917</td>
<td>$1,323,492</td>
</tr>
<tr>
<td><strong>Subtotal for Non-Federal</strong></td>
<td>$32,585,325</td>
<td>$19,642,508</td>
</tr>
<tr>
<td><strong>Grand Total</strong></td>
<td>$113,004,329</td>
<td>$89,026,665</td>
</tr>
</tbody>
</table>

**Amount of Increase (Decrease):** ($23,977,665)

**Percent of Increase (Decrease):** (21.2%)
### Comparison of Grant and Contract Awards by School/College

#### Fiscal Years 2011-2012 and 2012-2013

<table>
<thead>
<tr>
<th>School/College</th>
<th>Jul-Jul FY12</th>
<th>Jul-Jul FY13</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Upper Campus</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Architecture and Urban Planning</td>
<td>$ 20,000</td>
<td></td>
</tr>
<tr>
<td>Arts and Sciences</td>
<td>$ 3,356,171</td>
<td>$ 5,177,363</td>
</tr>
<tr>
<td>College of the Environment</td>
<td>$ 9,154,985</td>
<td>$ 7,211,426</td>
</tr>
<tr>
<td>Education</td>
<td>$ 22,721</td>
<td>$ 1,249,811</td>
</tr>
<tr>
<td>Engineering</td>
<td>$ 8,803,859</td>
<td>$ 8,684,408</td>
</tr>
<tr>
<td>Graduate School</td>
<td>$ 1,771,056</td>
<td>$ 45,607</td>
</tr>
<tr>
<td>Information School</td>
<td>$ 80,256</td>
<td></td>
</tr>
<tr>
<td>Law</td>
<td>$ 90,000</td>
<td>$ 10,000</td>
</tr>
<tr>
<td>Office of Research</td>
<td>$ 2,779,729</td>
<td>$ 4,712,673</td>
</tr>
<tr>
<td>Social Work</td>
<td>$ 3,083,801</td>
<td>$ 324,868</td>
</tr>
<tr>
<td>Undergraduate Education</td>
<td>$ 17,477</td>
<td>$ 39,879</td>
</tr>
<tr>
<td>VP Minority Affairs</td>
<td>$ 313,994</td>
<td></td>
</tr>
<tr>
<td><strong>Subtotal</strong></td>
<td>$ 29,180,055</td>
<td>$ 27,770,029</td>
</tr>
<tr>
<td><strong>Health Sciences</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Dentistry</td>
<td>$ 97,239</td>
<td>$ 738,198</td>
</tr>
<tr>
<td>Medicine</td>
<td>$ 59,553,195</td>
<td>$ 42,694,392</td>
</tr>
<tr>
<td>Nursing</td>
<td>$ 3,517,156</td>
<td>$ 1,111,648</td>
</tr>
<tr>
<td>Pharmacy</td>
<td>$ 565,986</td>
<td>$ 1,420,038</td>
</tr>
<tr>
<td>Public Health</td>
<td>$ 3,795,480</td>
<td>$ 7,012,338</td>
</tr>
<tr>
<td><strong>Subtotal</strong></td>
<td>$ 67,529,056</td>
<td>$ 52,976,614</td>
</tr>
<tr>
<td><strong>Special Programs</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Alcohol and Drug Abuse Institute</td>
<td>$ 50,275</td>
<td>$ 54,539</td>
</tr>
<tr>
<td>CHDD Administration</td>
<td>$ 80,000</td>
<td>$ 3,382,342</td>
</tr>
<tr>
<td>Regional Primate Center</td>
<td>$ 15,820,193</td>
<td>$ 4,233,795</td>
</tr>
<tr>
<td><strong>Subtotal</strong></td>
<td>$ 15,950,468</td>
<td>$ 7,670,676</td>
</tr>
<tr>
<td><strong>Other UW Campuses</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Bothell</td>
<td>$ 273,610</td>
<td></td>
</tr>
<tr>
<td>Tacoma</td>
<td>$ 71,140</td>
<td>$ 609,345</td>
</tr>
<tr>
<td><strong>Subtotal</strong></td>
<td>$ 344,750</td>
<td>$ 609,345</td>
</tr>
<tr>
<td><strong>Grand Total</strong></td>
<td>$ 113,004,329</td>
<td>$ 89,026,665</td>
</tr>
</tbody>
</table>

Assuming acceptance of all awards by the Board of Regents
### Summary of Grant Awards

**Fiscal Year 2012-2013**

*Excluding private awards from Foundations, Industry, Associations and Others*

<table>
<thead>
<tr>
<th>Month</th>
<th>RESEARCH AND OTHER</th>
<th>TRAINING</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Federal</td>
<td>Non-Federal</td>
</tr>
<tr>
<td>July</td>
<td>$ 62,351,620</td>
<td>$ 2,447,487</td>
</tr>
<tr>
<td>Year to Date</td>
<td>$ 62,351,620</td>
<td>$ 2,447,487</td>
</tr>
</tbody>
</table>
### Summary of Grant Awards

**Fiscal Year 2012-2013**

*Private awards from Foundations, Industry, Associations and Others*

<table>
<thead>
<tr>
<th>Month</th>
<th>RESEARCH AND OTHER</th>
<th>TRAINING</th>
<th>Total Grants</th>
</tr>
</thead>
<tbody>
<tr>
<td>July</td>
<td>$8,614,872</td>
<td>$360,854</td>
<td>$8,975,726</td>
</tr>
<tr>
<td>Year to Date</td>
<td>$8,614,872</td>
<td>$360,854</td>
<td>$8,975,726</td>
</tr>
</tbody>
</table>

Assuming acceptance of all awards by the Board of Regents

10/11/12
### Summary of Contract Awards

**Fiscal Year 2012-2013**

<table>
<thead>
<tr>
<th>Month</th>
<th>RESEARCH AND OTHER</th>
<th>TRAINING</th>
<th>Total Contracts</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Federal</td>
<td>Non-Federal</td>
<td>Non-Federal</td>
</tr>
<tr>
<td>July</td>
<td>$ 935,659</td>
<td>$ 7,561,125</td>
<td>$ 658,170</td>
</tr>
<tr>
<td>Year to Date</td>
<td>$ 935,659</td>
<td>$ 7,561,125</td>
<td>$ 658,170</td>
</tr>
</tbody>
</table>

Assuming acceptance of all awards by the Board of Regents

10/11/12
Report of Grant and Contract Awards of $1,000,000 or More

July 2012

Requiring action of The Board of Regents of the University of Washington

Office of Research
Office of Sponsored Programs
Federal

US Department of Health and Human Services (DHHS)

National Institute for Occupational Safety and Health (NIOSH)

To: Noah Seixas, Professor
For: Education and Research Center
Eff: 7/1/2012

Total for National Institute for Occupational Safety and Health (NIOSH): $1,298,555

National Institutes of Health (NIH)

To: King K. Holmes, Chair
For: University of Washington Center for AIDS Research
Eff: 6/1/2012

To: James Mullins, Professor
For: Immunological and Virological Events in Early HIV Infection
Eff: 7/1/2012

To: Trisha Nell Davis, Professor
For: Comprehensive Biology: Exploiting the Yeast Genome
Eff: 7/1/2012

To: Paul Yager, Professor
For: A 2DPN-based high-sensitivity low-cost multiplexed POC immunoassay platform
Eff: 7/15/2011

National Institute of Allergy and Infectious Diseases (NIAID)

To: Samuel Miller, Professor
For: ERIN CRC: Molecular Basis of Nontypoidal Salmonella Emergence
Eff: 8/1/2012

Total for National Institute of Allergy and Infectious Diseases (NIAID): $1,143,206

National Institute of Child Health and Human Development (NICHD)

To: Michael Guralnick, Director
For: Research in Intellectual and Developmental Disabilities
Eff: 7/1/2012

Total for National Institute of Child Health and Human Development (NICHD): $2,828,342

Assuming acceptance of all awards by the Board of Regents
Detail of Public Grant Awards

Federal

US Department of Health and Human Services (DHHS)

National Institutes of Health (NIH)

Office of the Director (OD)

To: David M. Anderson, Director
For: WaNPRC Macaca nemestrina Specific Pathogen Free Breeding colony
Eff: 7/1/2012

Total for Office of the Director (OD): $1,967,610
Total for National Institutes of Health (NIH): $14,835,961
Total for US Department of Health and Human Services (DHHS): $16,134,516

Other Federal

Environmental Protection Agency (EPA)

To: Joel Kaufman, Professor
For: Prospective Study of Atherosclerosis, Clinical Cardiovascular Disease, and Long-Term Exposure to Ambient Particulate Matter and Other Air Pollutants in a Multi-Ethnic Cohort
Eff: 8/1/2004

Total for Environmental Protection Agency (EPA): $2,351,124

National Oceanic and Atmospheric Administration (NOAA)

To: Stephen Riser, Professor
For: Argo: Global Observations for Understanding and Prediction of Climate Variability
Eff: 7/1/2010

Total for National Oceanic and Atmospheric Administration (NOAA): $3,006,768

Total for Other Federal: $5,357,892

Total for Federal: $21,492,408

Total Public Grants: $21,492,408

Assuming acceptance of all awards by the Board of Regents

10/11/12
## Associations and Non-Profits

### The Micronutrient Initiative

<table>
<thead>
<tr>
<th>To:</th>
<th>Dilya Walker, Assoc Professor Obgyn/Admin</th>
</tr>
</thead>
<tbody>
<tr>
<td>For:</td>
<td>Improved care and nutrition for women during pregnancy and labour through a novel community-facility linkage program in Kenya</td>
</tr>
<tr>
<td>Eff:</td>
<td>5/1/2012</td>
</tr>
<tr>
<td>Total for The Micronutrient Initiative:</td>
<td>$1,172,201</td>
</tr>
</tbody>
</table>

### Total for Associations and Non-Profits: $1,172,201

## Private Industry

### Pfizer US Pharmaceuticals Group

<table>
<thead>
<tr>
<th>To:</th>
<th>Vivian Oehler, Asst Professor Department Of Medicine</th>
</tr>
</thead>
<tbody>
<tr>
<td>For:</td>
<td>A Phase 1 Study to Evaluate the Safety, Pharmacokinetics, and Pharmacodynamics of PF-04449913, An oral Hedgehog Inhibitor, administered as single agent in select hematologic Malignancies or in combination with Dasatinib in chronic myeloid leukemia</td>
</tr>
<tr>
<td>Eff:</td>
<td>10/13/2009</td>
</tr>
<tr>
<td>Total for Pfizer US Pharmaceuticals Group:</td>
<td>$1,025,919</td>
</tr>
</tbody>
</table>

### Total for Private Industry: $1,025,919

### Total Contracts: $2,198,120

### Grand Total for all Awards: $23,690,528

---

Assuming acceptance of all awards by the Board of Regents

10/11/12
Grant and Contract Awards Summary

to

The Board of Regents

of the

University of Washington

for

August 2012

Office of Research

Office of Sponsored Programs

The numbers provided in this report are subject to adjustment at the time that the Annual Report of Sponsored Activity is published. The changes would reflect modifications and additions to existing awards.
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Graphical Summary of Award Activity  
Summary of Grant and Contract Awards  
Comparison of Grants and Contract Awards by Agency  
Comparison of Grants and Contract Awards by School/College  
Summary of Grant Awards – Excluding Private Awards  
Summary of Grant Awards - Private Awards  
Summary of Contract Awards  
Report of Grant & Contract Awards over $1,000,000
<table>
<thead>
<tr>
<th>Month</th>
<th>RESEARCH AND OTHER</th>
<th>TRAINING</th>
<th>Total Grants and Contracts</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Federal</td>
<td>Non-Federal</td>
<td>Federal</td>
</tr>
<tr>
<td>July</td>
<td>$63,287,280</td>
<td>$18,623,480</td>
<td>$6,096,881</td>
</tr>
<tr>
<td>August</td>
<td>$95,530,390</td>
<td>$29,773,550</td>
<td>$6,407,729</td>
</tr>
<tr>
<td>FY13 to Date</td>
<td>$158,817,665</td>
<td>$48,397,037</td>
<td>$12,504,610</td>
</tr>
<tr>
<td>FY12 to Date</td>
<td>$199,869,767</td>
<td>$68,473,387</td>
<td>$19,009,127</td>
</tr>
<tr>
<td>Over (Under) Previous Year</td>
<td>($41,052,102)</td>
<td>($20,076,350)</td>
<td>($6,504,517)</td>
</tr>
</tbody>
</table>

*Summary of Grant and Contract Awards*

*Fiscal Year 2012-2013*

Assuming acceptance of all awards by the Board of Regents
Comparison of Grant and Contract Awards by Agency
Fiscal Years 2011-2012 and 2012-2013

<table>
<thead>
<tr>
<th>Agency</th>
<th>Jul-Aug FY12</th>
<th>Jul-Aug FY13</th>
</tr>
</thead>
<tbody>
<tr>
<td>US Department of Defense (DOD)</td>
<td>$ 6,653,255</td>
<td>$ 2,769,198</td>
</tr>
<tr>
<td>US Department of Education (DOEd)</td>
<td>$ 3,881,890</td>
<td>$ 6,232,658</td>
</tr>
<tr>
<td>US Department of Energy (DOE)</td>
<td>$ 5,444,455</td>
<td>$ 2,250,870</td>
</tr>
<tr>
<td>US Department of Health and Human Services (DHHS)</td>
<td>$ 156,452,019</td>
<td>$ 112,062,032</td>
</tr>
<tr>
<td>National Science Foundation (NSF)</td>
<td>$ 33,657,995</td>
<td>$ 21,834,814</td>
</tr>
<tr>
<td>Other Federal</td>
<td>$ 12,789,281</td>
<td>$ 26,172,704</td>
</tr>
<tr>
<td><strong>Subtotal for Federal</strong></td>
<td><strong>$ 218,878,894</strong></td>
<td><strong>$ 171,322,275</strong></td>
</tr>
<tr>
<td>Associations and Non-Profits</td>
<td>$ 16,623,792</td>
<td>$ 24,192,228</td>
</tr>
<tr>
<td>Foundations</td>
<td>$ 21,613,703</td>
<td>$ 7,188,294</td>
</tr>
<tr>
<td>Local Government (in Washington)</td>
<td>$ 140,475</td>
<td>$ 855,579</td>
</tr>
<tr>
<td>Other Government (not in Washington)</td>
<td>$ 7,147,289</td>
<td>$ 5,087,000</td>
</tr>
<tr>
<td>Private Industry</td>
<td>$ 13,053,652</td>
<td>$ 11,027,237</td>
</tr>
<tr>
<td>State of Washington</td>
<td>$ 13,237,376</td>
<td>$ 1,787,861</td>
</tr>
<tr>
<td><strong>Subtotal for Non-Federal</strong></td>
<td><strong>$ 71,816,287</strong></td>
<td><strong>$ 50,138,199</strong></td>
</tr>
<tr>
<td><strong>Grand Total</strong></td>
<td><strong>$ 290,695,181</strong></td>
<td><strong>$ 221,460,474</strong></td>
</tr>
</tbody>
</table>

Amount of Increase (Decrease) : ($ 69,234,708)
Percent of Increase (Decrease) : (23.8 %)
## Comparison of Grant and Contract Awards by School/College

**Fiscal Years 2011-2012 and 2012-2013**

### Upper Campus

<table>
<thead>
<tr>
<th>School/College</th>
<th>Jul-Aug FY12</th>
<th>Jul-Aug FY13</th>
</tr>
</thead>
<tbody>
<tr>
<td>Architecture and Urban Planning</td>
<td>$ 30,000</td>
<td>$ 21,528</td>
</tr>
<tr>
<td>Arts and Sciences</td>
<td>$ 19,299,922</td>
<td>$ 16,875,113</td>
</tr>
<tr>
<td>College of the Environment</td>
<td>$ 20,928,771</td>
<td>$ 32,328,864</td>
</tr>
<tr>
<td>Director of Libraries</td>
<td>$ 5,327,270</td>
<td></td>
</tr>
<tr>
<td>Education</td>
<td>$ 613,914</td>
<td>$ 2,217,167</td>
</tr>
<tr>
<td>Engineering</td>
<td>$ 23,009,584</td>
<td>$ 19,599,609</td>
</tr>
<tr>
<td>Evans School of Public Affairs</td>
<td>$ 547,304</td>
<td>$ 160,681</td>
</tr>
<tr>
<td>Executive Vice President</td>
<td>$ 19,981</td>
<td></td>
</tr>
<tr>
<td>Foster School of Business</td>
<td>$ 97,700</td>
<td>$ 292,025</td>
</tr>
<tr>
<td>Graduate School</td>
<td>$ 2,311,915</td>
<td>$ 183,083</td>
</tr>
<tr>
<td>Information School</td>
<td>$ 791,509</td>
<td>$ 192,991</td>
</tr>
<tr>
<td>Law</td>
<td>$ 298,052</td>
<td>$ 10,000</td>
</tr>
<tr>
<td>Office of Research</td>
<td>$ 10,157,756</td>
<td>$ 6,642,460</td>
</tr>
<tr>
<td>Provost</td>
<td>$ 29,468</td>
<td></td>
</tr>
<tr>
<td>Social Work</td>
<td>$ 3,798,726</td>
<td>$ 3,428,961</td>
</tr>
<tr>
<td>Undergraduate Education</td>
<td>$ 57,475</td>
<td>$ 44,697</td>
</tr>
<tr>
<td>VP Minority Affairs</td>
<td>$ 525,841</td>
<td>$ 313,994</td>
</tr>
</tbody>
</table>

Subtotal: $ 87,845,188 $ 82,311,173

### Health Sciences

<table>
<thead>
<tr>
<th>School/College</th>
<th>Jul-Aug FY12</th>
<th>Jul-Aug FY13</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dentistry</td>
<td>$ 1,100,544</td>
<td>$ 1,521,317</td>
</tr>
<tr>
<td>Medicine</td>
<td>$ 158,432,550</td>
<td>$ 99,146,060</td>
</tr>
<tr>
<td>Nursing</td>
<td>$ 4,941,103</td>
<td>$ 2,350,345</td>
</tr>
<tr>
<td>Pharmacy</td>
<td>$ 3,874,156</td>
<td>$ 4,592,560</td>
</tr>
<tr>
<td>Public Health</td>
<td>$ 13,798,319</td>
<td>$ 19,535,290</td>
</tr>
</tbody>
</table>

Subtotal: $ 182,146,672 $ 127,145,572

### Special Programs

<table>
<thead>
<tr>
<th>School/College</th>
<th>Jul-Aug FY12</th>
<th>Jul-Aug FY13</th>
</tr>
</thead>
<tbody>
<tr>
<td>Alcohol and Drug Abuse Institute</td>
<td>$ 628,148</td>
<td>$ 1,712,384</td>
</tr>
<tr>
<td>CHDD Administration</td>
<td>$ 1,441,030</td>
<td>$ 3,415,911</td>
</tr>
<tr>
<td>Regional Primate Center</td>
<td>$ 17,106,971</td>
<td>$ 4,610,080</td>
</tr>
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</table>

Subtotal: $ 19,176,149 $ 9,738,375

### Other UW Campuses

<table>
<thead>
<tr>
<th>School/College</th>
<th>Jul-Aug FY12</th>
<th>Jul-Aug FY13</th>
</tr>
</thead>
<tbody>
<tr>
<td>Bothell</td>
<td>$ 1,173,123</td>
<td>$ 1,233,026</td>
</tr>
<tr>
<td>Tacoma</td>
<td>$ 354,049</td>
<td>$ 1,032,328</td>
</tr>
</tbody>
</table>

Subtotal: $ 1,527,172 $ 2,265,354

Grand Total: $ 290,695,181 $ 221,460,474

Assuming acceptance of all awards by the Board of Regents

F–2.2/210-12

10/11/12
### Summary of Grant Awards

**Fiscal Year 2012-2013**

*Excluding private awards from Foundations, Industry, Associations and Others*

<table>
<thead>
<tr>
<th>Month</th>
<th>RESEARCH AND OTHER</th>
<th></th>
<th>TRAINING</th>
<th></th>
<th></th>
<th>Total Grants</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Federal</td>
<td>Non-Federal</td>
<td>Federal</td>
<td>Non-Federal</td>
<td></td>
<td></td>
</tr>
<tr>
<td>July</td>
<td>$ 62,351,620</td>
<td>$ 2,447,487</td>
<td>$ 6,096,881</td>
<td>$ 0</td>
<td>$ 70,895,980</td>
<td></td>
</tr>
<tr>
<td>August</td>
<td>$ 91,723,700</td>
<td>$ 3,193,595</td>
<td>$ 6,378,898</td>
<td>$ 137,830</td>
<td>$ 101,434,000</td>
<td></td>
</tr>
<tr>
<td>Year to Date</td>
<td>$ 154,075,300</td>
<td>$ 5,641,082</td>
<td>$ 12,475,780</td>
<td>$ 137,830</td>
<td>$ 172,330,000</td>
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</tr>
</tbody>
</table>

Assuming acceptance of all awards by the Board of Regents

10/11/12
### Summary of Grant Awards

**Fiscal Year 2012-2013**

*Private awards from Foundations, Industry, Associations and Others*

<table>
<thead>
<tr>
<th>Month</th>
<th>RESEARCH AND OTHER</th>
<th>TRAINING</th>
<th>Total Grants</th>
</tr>
</thead>
<tbody>
<tr>
<td>July</td>
<td>$8,614,872</td>
<td>$360,854</td>
<td>$8,975,726</td>
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<tr>
<td>August</td>
<td>$21,059,060</td>
<td>$87,229</td>
<td>$21,146,290</td>
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<tr>
<td><strong>Year to Date</strong></td>
<td>$29,673,930</td>
<td>$448,083</td>
<td>$30,122,010</td>
</tr>
</tbody>
</table>

Assuming acceptance of all awards by the Board of Regents

F–2.2/210-12

10/11/12
### Summary of Contract Awards

**Fiscal Year 2012-2013**

<table>
<thead>
<tr>
<th>Month</th>
<th>RESEARCH AND OTHER</th>
<th>TRAINING</th>
<th>Total Contracts</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Federal</td>
<td>Non-Federal</td>
<td>Federal</td>
</tr>
<tr>
<td>July</td>
<td>$935,659</td>
<td>$7,561,125</td>
<td>$0</td>
</tr>
<tr>
<td>August</td>
<td>$3,806,685</td>
<td>$5,520,899</td>
<td>$28,831</td>
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<tr>
<td><strong>Year to Date</strong></td>
<td><strong>$4,742,344</strong></td>
<td><strong>$13,082,020</strong></td>
<td><strong>$28,831</strong></td>
</tr>
</tbody>
</table>
Report of Grant and Contract Awards
of $1,000,000 or More

August 2012

Requiring action of
The Board of Regents
of the
University of Washington

Office of Research
Office of Sponsored Programs
### US Department of Education (DOEd)

**To:** Kathleen Matrone, Clinical Assistant Professor  
**Rehabilitation Medicine**

**For:** ADA National Network Regional Center (Northwest ADA Center) to provide a sustained program of outreach, training, technical assistance, information dissemination, and capacity building

**Eff:** 10/1/2012  
**Classified:** No

**Total for US Department of Education (DOEd):** $1,000,000

### National Institute for Occupational Safety and Health (NIOSH)

**To:** Richard A. Fenske, Professor  
**Enviro & Occ Health**

**For:** Pacific Northwest Agricultural Safety and Health Center

**Eff:** 9/30/2012  
**Classified:** No

**Total for National Institute for Occupational Safety and Health (NIOSH):** $1,548,535
Federal

US Department of Health and Human Services (DHHS)

National Institutes of Health (NIH)

To: Thomas Montine, Acting Chair
Pathology

For: Pacific Northwest Udall Center

Eff: 8/1/2012

To: Donald L. Patrick, Professor
Health Services/Main

For: Patient Reported Outcomes in Routine Clinical Care of Patients Infected with HIV

Eff: 8/1/2012

To: John Stamatoyannopoulos, Assistant Professor
Genome Sciences

For: Northwest Reference Epigenome Mapping Center

Eff: 7/1/2012

To: John D Hawkins, Professor
School Of Social Work

For: The Community Youth Development Study: A Test of Communities That Care

Eff: 9/1/2008

To: Dennis C Turk, Professor
Anesthesiology&Pain Med

For: Combined Behavioral & Analgesic Trial for Fibromyalgia (COMBAT-FM)

Eff: 9/1/2011

To: Michael Schwartz, Professor
Department Of Medicine

For: Nutrition Obesity Research Center

Eff: 7/20/2012

To: Robert Vessella, Associate Chair
Urology

For: Mechanisms and Markers of Prostate Cancer Metastases

Eff: 8/1/2012

To: Karina Walters, Associate Professor
School Of Social Work

For: Indigenous Wellness Research Institute National Center of Excellence

Eff: 8/2/2012

National Institute of General Medical Sciences (NIGMS)

To: Kenneth Thummel, Professor
Pharmaceutics

For: Pharmacogenetics in Rural and Underserved Populations

Eff: 7/1/2012

Total for National Institute of General Medical Sciences (NIGMS): $1,903,052

Assuming acceptance of all awards by the Board of Regents

10/11/12
### Detail of Public Grant Awards

#### Federal

**US Department of Health and Human Services (DHHS)**

**National Institutes of Health (NIH)**

*National Institute on Drug Abuse (NIDA)*

<table>
<thead>
<tr>
<th>To:</th>
<th>Dennis Donovan, Professor / Director</th>
</tr>
</thead>
<tbody>
<tr>
<td>For:</td>
<td>Clinical Trials Network: Pacific Northwest Node</td>
</tr>
<tr>
<td>Eff:</td>
<td>9/1/2012</td>
</tr>
</tbody>
</table>

**Total for National Institute on Drug Abuse (NIDA):** $1,117,527

**Total for National Institutes of Health (NIH):** $16,595,912

**Total for US Department of Health and Human Services (DHHS):** $18,144,447

**National Science Foundation (NSF)**

<table>
<thead>
<tr>
<th>To:</th>
<th>Yoky Matsuoka, Associate Professor</th>
</tr>
</thead>
<tbody>
<tr>
<td>For:</td>
<td>NSF Engineering Research Center for Sensorimotor Neural Engineering</td>
</tr>
<tr>
<td>Eff:</td>
<td>6/15/2011</td>
</tr>
</tbody>
</table>

**Total for National Science Foundation (NSF):** $3,500,000

**Total for National Science Foundation (NSF):** $3,500,000

**Other Federal**

**National Oceanic and Atmospheric Administration (NOAA)**

<table>
<thead>
<tr>
<th>To:</th>
<th>Thomas P Ackerman, Director</th>
</tr>
</thead>
<tbody>
<tr>
<td>For:</td>
<td>Joint Institute for the Study of the Atmosphere and Ocean Tasks I/II</td>
</tr>
<tr>
<td>Eff:</td>
<td>7/1/2010</td>
</tr>
</tbody>
</table>

**Total for National Oceanic and Atmospheric Administration (NOAA):** $8,295,145

**US Department of Agriculture (USDA)**

<table>
<thead>
<tr>
<th>To:</th>
<th>Kerry-Ann Naish, Associate Professor</th>
</tr>
</thead>
<tbody>
<tr>
<td>For:</td>
<td>Ecological drivers of transmission, emergence, and displacement of an aquatic virus in fish hosts</td>
</tr>
<tr>
<td>Eff:</td>
<td>8/15/2012</td>
</tr>
</tbody>
</table>

**Total for US Department of Agriculture (USDA):** $1,950,000

**Total for Other Federal:** $10,245,145

**Total for Federal:** $32,889,592

**Total Public Grants:** $32,889,592
Detail of Private Grant Awards

**Associations and Non-Profits**

**Fred Hutchinson Cancer Research Center (FHCRC)**

To: Janine Maenza, Clinical Associate Professor-salaried (Department Of Medicine)  
For: HVTN Core Travel Budget 2012-2013  
Eff: 6/1/2012  
Total for Fred Hutchinson Cancer Research Center (FHCRC): $2,843,332

**Total for Associations and Non-Profits:** $2,843,332

**Total Private Grants:** $2,843,332

**Detail of Contract Awards**

**Federal**

**US Department of Health and Human Services (DHHS)**

**National Institutes of Health (NIH)**

**National Heart, Lung, and Blood Institute (NHLBI)**

To: Richard A Kronmal, Professor (Biostatistics)  
For: Multi-Ethnic Study of Atherosclerosis  
Eff: 8/15/2012  
Total for National Heart, Lung, and Blood Institute (NHLBI): $2,125,670

**Total for National Institutes of Health (NIH):** $2,125,670

**Total for US Department of Health and Human Services (DHHS):** $2,125,670

**Total for Federal:** $2,125,670

**Associations and Non-Profits**

**Consortium for Ocean Leadership, Inc.**

To: John R. Delaney, Professor (School Of Oceanography)  
For: Ocean Observatories Initiative: Regional Scale Nodes  
Eff: 1/1/2010  
Total for Consortium for Ocean Leadership, Inc.: $6,572,046

**Wake Forest University**

To: Santica Marcovina, Research Professor (Department Of Medicine)  
For: Action to Control Cardiovascular Risk in Diabetes (ACCORD) - Follow-On - Central Laboratory  
Eff: 1/1/2011  
Total for Wake Forest University: $1,114,305

**Total for Wake Forest University:** $1,114,305

**Total for Associations and Non-Profits:** $7,686,351

**Total Contracts:** $9,812,021

**Grand Total for all Awards:** $45,544,945

Assuming acceptance of all awards by the Board of Regents

10/11/12
B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority

Pursuant to the Standing Orders of the Board of Regents, Delegation of Authority, and to the delegation of authority from the President of the University to the Executive Vice President in Executive Order No. 1, to take action for projects or contracts that exceed $1,000,000 in value or cost but are less than $5,000,000, the Administration may approve and execute all instruments.

REPORT OF ACTIONS TAKEN UNDER GENERAL DELEGATED AUTHORITY – CAPITAL PROJECT BUDGETS

1. Mechanical Engineering Building Communications Upgrade
   Project No. 202993
   Action Reported: Adopt Budget

On August 7, 2012, the project budget was established at $1,614,587 for the Mechanical Engineering Building Communications Upgrade project. The original budget established was for design only. This project is designed by ARC Architects, a Seattle firm, with prior UW experience.

This project will upgrade the telephone and data communications system throughout the building. The work includes a new Main Distribution Frame (MDF) room, Intermediate Distribution Frame (IDF) room, distribution infrastructure, and cabling to upgrade the existing Wi-Fi system.

Design will be completed in October 2012. Construction will begin in December 2012 with completion forecast for May 2013.

Funding of $1,590,102 is provided from the 2011-2013 state biennium budget and $24,485 from local funding for a total funding of $1,614,587.

<table>
<thead>
<tr>
<th>Budget Summary:</th>
<th>Current Approved Budget</th>
<th>Forecast Cost At Completion</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total Consultant Services</td>
<td>$215,497</td>
<td>$215,497</td>
</tr>
<tr>
<td>Total Construction Cost*</td>
<td>$1,183,895</td>
<td>$1,183,895</td>
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<tr>
<td>Other Costs</td>
<td>$90,128</td>
<td>$90,128</td>
</tr>
<tr>
<td>Project Administration</td>
<td>$125,067</td>
<td>$125,067</td>
</tr>
<tr>
<td>Total Project Budget</td>
<td>$1,614,587</td>
<td>$1,614,587</td>
</tr>
</tbody>
</table>

* Includes construction contract amount, contingencies and state sales tax.
B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority (continued, p. 2)

Previous Actions Reported:
February 2012 Select Architect - ARC Architects

2. Prairie Line Trail – UWT Station Project No. 203131
(Previously reported as UW Tacoma Hood Corridor Development)
Action Reported: Adopt Budget

On August 23, 2012, the project budget was established at $3,800,000 for the Prairie Trail Line – UWT Station Project. The project is designed by Atelier Dreiseitl of Portland, Oregon.

This project will construct Phase I of the Prairie Line Trail (former BNSF Right of Way) from South 17th Avenue to South 21st Avenue as an essential open space and pedestrian and bicycling corridor for the University of Washington Tacoma (UWT) campus and surrounding community. The design will respond to the industrial past of the surrounding area and honor the historical significance of the railroad terminus. The project provides safe and secure pedestrian access to all campus facilities and will create a vibrant, interactive space that responds to the campus mission and master plan. Accommodation for cyclists and pedestrians using the City’s larger Prairie Line Trail is an important feature of the design. Stormwater management and education is another key component of the design.

Design will be completed by April 2013. Construction will begin in May 2013 with completion forecast for December 2013.

Funding of $1,500,000 is provided from the City of Tacoma via a Washington State Department of Ecology Stormwater Retrofit Grant, $300,000 from an Energy Savings Performance Contracting (ESCO) Grant, and $2,000,000 from UWT Reserves, for a total funding amount of $3,800,000.

<table>
<thead>
<tr>
<th>Budget Summary:</th>
<th>Current Approved Budget</th>
<th>Forecast Cost At Completion</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total Consultant Services</td>
<td>$815,377</td>
<td>$815,377</td>
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<tr>
<td>Total Construction Cost*</td>
<td>$2,710,125</td>
<td>$2,710,125</td>
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<tr>
<td>Other Costs</td>
<td>$65,836</td>
<td>$65,836</td>
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<tr>
<td>Project Administration</td>
<td>$208,662</td>
<td>$208,662</td>
</tr>
<tr>
<td>Total Project Budget</td>
<td>$3,800,000</td>
<td>$3,800,000</td>
</tr>
</tbody>
</table>

* Includes construction contract amount, contingencies and state sales tax.
VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority (continued, p. 3)

Previous Actions Reported:
January 2011   Select Architect - Atelier Dreiseitl

3. Mary Gates Hall Disability Resources for Students Space Reconfiguration
   Project No. 203752
   Action Reported: Award Construction Contract

On August 9, 2012, a construction contract was awarded to Andersen
Construction Company (Andersen) of Seattle, Washington, in the amount of
$656,400 for the Mary Gates Hall Disability Resource for Students project. The
award included acceptance of two bid alternates totaling $21,500. Four bids were
received for this project; the highest bid was $730,900. The budgeted
construction cost was $565,500.

Andersen is a Portland, Oregon based general contractor with over 62 years of
success in the construction industry. Andersen’s Seattle office has successfully
completed projects for the University of Washington, including Magnuson Health
Sciences Classroom Improvements, UW Medical Center (UWMC) Maternal and
Infant Care Clinic ADA Restroom Conversion and UWMC Otolaryngology
Clinic. Andersen is currently working on the Samuel E. Kelly Ethnic Cultural
Center. They are experienced in high tech, educational, government, health care,
and parking structures.

The scope of this project includes renovation of approximately 5,200 assignable
square feet in Suite 011 on the ground floor of Mary Gates Hall. The renovation
will provide a new testing center and associated staff offices for Disability
Resources for Students.

Design was completed in May 2012. Construction began August 2012, with
completion forecast for December 2012.

Funding of $1,201,575 is provided from local sources.
VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority (continued, p. 4)

<table>
<thead>
<tr>
<th>Budget Summary</th>
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<th>Forecast Cost At Completion</th>
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<tbody>
<tr>
<td>Total Consultant Services</td>
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<td>Total Construction Cost*</td>
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<tr>
<td>Other Costs</td>
<td>$83,890</td>
<td>$77,325</td>
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<tr>
<td>Project Administration</td>
<td>$90,879</td>
<td>$90,879</td>
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<tr>
<td>Total Project Budget</td>
<td>$1,100,000</td>
<td>$1,201,575</td>
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</tbody>
</table>

* Includes construction contract amount, contingencies, and state sales tax.

Previous Actions Reported:

June 2012 Select Architect - Schacht Aslani Architects, and Adopt Budget

4. Magnuson Health Sciences Center F-Wing 3rd Floor Lab to Office Conversion Project No. 203788

Actions Reported: Award Construction Contract and Budget Adjustment

On August 9, 2012, a construction contract was awarded to MBR, LLC of Woodinville, Washington, in the amount of $1,217,000 for the Magnuson Health Sciences Center (MHSC) F-Wing 3rd Floor Lab to Office Conversion project. Five bids were received for this project; the highest bid was $1,551,600. The budgeted construction cost was $1,975,241.

On August 21, 2012 the project budget was revised lower due to the bid results, reducing the budget from $3,300,000 to $2,899,000. Furniture costs were originally budgeted in “Total Construction Costs” and are now reflected in “Other Costs.”

MBR, LLC, is a general contractor based in Woodinville, Washington. MBR is currently working on the MHSC E Court Membrane and Courtyard project directly adjacent to the MHSC F-Wing project. Their experiences have been mostly in light commercial.

This project will convert a group of vacant labs and various storage spaces on the third floor of the MHSC F-Wing into new offices and conference rooms for the School of Public Health and the School of Medicine. Approximately 9,734 gross square feet will be included in the remodel.
VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority (continued, p. 5)

Design was completed in July 2012. Construction will begin in September 2012 with completion forecast for February 2013.

The project budget is established at $2,899,000. Funding of $2,466,210 is being provided by the School of Medicine and $432,790 from School of Public Health for a total funding amount of $2,899,000.

<table>
<thead>
<tr>
<th>Budget Summary</th>
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<td>Other Costs</td>
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<td>$542,785</td>
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<tr>
<td>Project Administration</td>
<td>$235,565</td>
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<tr>
<td>Total Project Budget</td>
<td>$3,300,000</td>
<td>$2,899,000</td>
</tr>
</tbody>
</table>

* Includes construction contract amount, contingencies, and state sales tax.

Previous Actions Reported:
November, 2011 Select Architect - Perkins + Will and Adopt Budget

REPORT OF ACTIONS TAKEN UNDER GENERAL DELEGATED AUTHORITY – ACQUISITION OF GOODS AND SERVICES

1. Action Reported: Acquisition of the Mobetron Portable Intraoperative Electron Beam Treatment System

Under delegated authority, the Director of Procurement Services, or his designee, has executed a contract with IntraOp Medical Corporation on August 17, 2012 to procure a Mobetron Portable Intraoperative Electron Beam Treatment System for the UW Medical Center Radiation Oncology Clinical Services for their IORT (Intra Operative Radiation Therapy) program.

This contract was a sole source due to limited market conditions in that IntraOp Medical Corporation is currently the only manufacturer within the United States that provides the IORT technology.
B. Finance, Audit and Facilities Committee

**Actions Taken Under Delegated Authority** (continued, p. 6)

The total contract value including shipping and Washington State Sales Tax is $1,478,250. The price includes a 23.8% discount off of the published list price of this equipment.

A separate solicitation was completed by Procurement Services and Treasury for a capital lease with PNC Equipment Financing to finance this acquisition over a 5 year term at an annual rate of 1.67 percent.
VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

UW Medical Center Expansion Phase II – Informational Update

INFORMATION

The material presented here offers background information on the UWMC Montlake Tower Expansion Phase II project that will be presented to the Board of Regents at the October 2012 meeting. The information in this backgrounder is intended to supplement the presentation to the Board.

This item will be brought forward for financing approval at the November Board meeting.

Attachments
1. UWMC Montlake Tower Expansion Phase II Backgrounder
2. Montlake Tower Expansion Phase II Informational Briefing
UWMC Montlake Tower Expansion Phase II
October 2012

Backgrounder

The UWMC Montlake Tower Expansion (MLT) is a two-phase project. The initial $170 million phase was approved by the Board of Regents in February 2008. To take advantage of a favorable construction cost climate by building out the shelled floors of Phase II and to accommodate the rapid growth of the oncology program, the budget was increased to $204 million in January 2010 and by an additional $11 million in June 2010 for a total of $215 million. Construction was completed on schedule in July 2012 and the facility is scheduled to begin operations in October 2012.

Due to inpatient capacity constraints impacting the execution on the strategic plan, management is requesting an acceleration of the timeframe to build out the shelled areas. The second phase of the MLT project is expected to begin construction in Winter 2013/2014 and be completed by 2017.

Management has completed an update of the UWMC facility plan for the core campus. The plan integrates the MLT capacity and enhances the operational efficiency for the entire campus.

The total project cost for the revised Phase II is $186 million and, subject to Regental approval, will be funded with $50 million in equity and $136 million from an ILP loan.

Before the project can get underway, the Washington State Department of Health must approve a Certificate of Need for the additional bed capacity that Phase II will create. To apply for this CON, the Board must first approve the financing plan for the project.

Over the last three months, UW Medicine leadership has been working with the Treasury Office on the financial feasibility of this project. This working group has reviewed the Medical Center’s long range financial plan and ten year proforma, identified and stress tested key risks, and developed mitigation strategies. The results of this due diligence will be presented to the board in conjunction with a financing action item on November 8, 2012.

It is anticipated that UW Capital Projects Office will be issuing a Request for Proposal for selection of a general contractor/construction manager (GC/CM). The award of GC/CM and approval of the project budget will be brought to the Regents for approval at the February 2013 meeting.
MONTLAKE TOWER (MLT) EXPANSION PHASE II

BOARD OF REGENTS INFORMATIONAL BRIEFING

OCTOBER 11, 2012
DISCUSSION OVERVIEW

• Project Background and Proposed Recommendations

• Proposed Montlake Tower Facility Configuration and Core Hospital Infrastructure Enhancements

• Project Financial Plan

• Next Steps
**2005 - 2007 The Project.** The Expansion project was conceived in FY05 and received Board of Regents (BOR) approval in FY08. The scope of work envisioned an 8-story 226,000 square foot patient care services tower to be built in two phases. The BOR approved funding for Phase I and conceptually agreed to Phase II. **Original project cost - $310 million**

**2010 – Approved Change in Scope to Phase I.** In January 2010, the Board of Regents approved a change in scope to Phase I which included construction of three Phase II shelled floors. Build out of a special air-handling-needs 30 bed Oncology unit was added to the Phase I scope in June 2010. Subsequent Phase II planning was anticipated to begin in FY15 with occupancy occurring in FY17. **Revised project cost - $305 million**

**2012 Proposed Accelerated Phase II recommendation.** Inpatient capacity has become very constrained and is impacting the ability to execute the strategic plan. Management recommends accelerating the build out of the shelled inpatient floors to increase ICU, Medical/Surgical and Operating Room capacity beginning mid-FY13. **Revised project cost - $297 million**

**2012 Core Hospital Infrastructure recommendation.** Management has completed an update of the Medical Center’s 10-year facility plan for its core hospital campus. The plan integrates the MLT capacity and enhances operational efficiencies for the entire campus. Enhanced infrastructure cost $104.5 million. **Total revised/expanded project cost - $401 million**

**Project Financial Plan.** The proposed project financial plan includes $136 million of long term debt and incorporates the $401 million capital costs. The plan is being reviewed/stress tested by the UW Treasury.

**CON Approval.** Increasing UWMC licensed beds from 450 to 529 will require Certificate of Need approval.
The recently updated ten year facility plan includes substantial remodeling of the core hospital to maximize the MLT expansion, address future strategic growth and position the UWMC facility for the next decade. Projects include:

- Preparation and recovery space for the operating rooms and second floor procedure areas
- 7EE Clinic space (to accommodate displaced clinics)
- 8SS Neuro-diagnostic Center (including EEG and EMG)
- 8SE Infusion, Satellite Pharmacy & Oncology Clinic

These additional projects are estimated to cost $104.5 million.

Costs associated with significantly updating the medical center front entrance are not included in the above cost estimate but have been included in the long range capital plan. Front entrance costs are estimated to be $18 million and will be brought forward as an equity financed project for BOR approval in the next three to six months.
### PROPOSED SOURCES AND USES
($ IN MILLIONS)

<table>
<thead>
<tr>
<th>Sources of Funds</th>
<th>Amount</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Equity</td>
<td>$105.2</td>
<td>From UWMC reserves</td>
</tr>
<tr>
<td>ILP Debt Phase I</td>
<td>160.0</td>
<td>Approved 2008</td>
</tr>
<tr>
<td>ILP Debt Phase II</td>
<td>136.1</td>
<td>Regents action in November 2012</td>
</tr>
</tbody>
</table>

**Total Sources of Funds** $401.3

<table>
<thead>
<tr>
<th>Uses of Funds</th>
<th>Amount</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Phase I</td>
<td>$215.0</td>
<td></td>
</tr>
<tr>
<td>Phase II (original scope)</td>
<td>81.8</td>
<td>Includes savings of $8.2m from original estimate</td>
</tr>
<tr>
<td>Additional Projects Phase II</td>
<td>104.5</td>
<td></td>
</tr>
</tbody>
</table>

**Total Uses of Funds** $401.3

**NOTE:** Total project cost and debt includes 1% cost of issuance on the ILP draws
The UWMC Long Range Financial Plan (LRFP) was last updated as a five year projection in the fall of 2011. The current update reflects a 10 year projection in order to fully incorporate the long term impacts of the Montlake Tower.

1. Significant components of the LRFP have been updated to reflect FY12 experience and updated assumptions:
   - Volume and net revenue assumptions
   - Expense assumptions
   - Capital expenditures
   - Financial indicators

2. Phase II impacts are included:
   - Accelerated timing of the build out
   - Volumes for Phase II additional capacity
   - Cost estimates for infrastructure work to update existing facility in order to support new volumes in addition to original Phase II work planned in Montlake Tower
   - Proposed debt/equity assumption of funding
NEXT STEPS

• Concurrence of UW Treasury on debt capacity analysis and proforma – August through October 2012.

Board of Regents informational briefing at the October 11th Facilities and Finance Committee.

UWMC Committee of the UW Medicine Board – approval at the October 25th meeting.

• UW Medicine Board - approval at the November 5th meeting.

• Board of Regents - approval of financing and authority to use the General Contractor/Construction Manager (GC/CM) contracting method at the November 8th meeting.
  • If Regents approval is obtained, the Certificate of Need application will be filed November 9th.

• Board of Regents - approval of GC/CM and project budget at the February 2013 meeting.
VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Asset Liability Management Semi-Annual Borrower Reports (SABRe)

INFORMATION

This presentation will introduce the Board of Regents to the Semi-Annual Borrowers Reports (SABRe) for major borrowing units: Intercollegiate Athletics, Housing and Food Services, UW Medical Center and South Lake Union (School of Medicine). This presentation is for information only.

BACKGROUND

As part of an effort to increase transparency and provide ongoing on major borrowers, SABRe Reports will be shared with the Regents twice each year. The goal of these reports is to provide the Board with up-to-date information on the financial health of major borrowers and present proforma vs. actual performance for key financial indicators.

Intercollegiate Athletics, Housing and Food Services, and the UW Medical Center will present a debt metric, a cash flow metric and a reserves measure. Where available, these measures will be from audited financial statements and benchmarked against the most recent Regent-approved proforma or current financial plan.

The research campus at South Lake Union will look at actual and projected School of Medicine support, five year growth in reserves and five year growth in grants.

The debt from these entities represents over half of UW’s total outstanding debt and developing leading indicators showing changes in financial performance will allow the Regents to see how the entities are responding to a dynamic business and funding environment.

Attachments
1. Intercollegiate Athletics Semi-Annual Borrower’s Report-October 2012
2. Housing and Food Services Semi-Annual Borrower’s Report-October 2012
3. UW Medical Center Semi-Annual Borrower’s Report-October 2012
Summary
New debt service starting in fiscal year 2014 lowers debt service coverage to projected low of 1.30 in 2015. New planned debt, capital expenditures, and loan prepayments reduce future operating reserves below previous projections. Current revenue forecasts exceed previous proforma in the areas of conference TV rights and premium seating contributions in the renovated Husky Stadium.

Risks and Mitigations
• Lower than projected ticket sales, seat-related contributions, and premium seating revenues would stress system resources.
• Projected debt service coverage near minimum requirement allows little room for revenue shortfalls or unexpected expenses.
• Scaling back capital plan, reducing operating expenditures, and eliminating loan prepayments would help mitigate these risks.

Preliminary actuals for fiscal year 2012. Proforma numbers reflect most recent Regents' action as of that fiscal year end.

Debt Service Coverage
Net operating income as a multiple of debt service; ILP minimum is 1.25 ratio

Cash Flow
Net operating income plus gifts less debt service and capital expenses

Reserves
Unrestricted net assets; ILP minimum is one year’s debt service
Summary
There is strong demand for new Phase 1 units. Mercer apartment complex (Phase 2) is scheduled for opening in Autumn 2013. HFS is projected to be at debt capacity through fiscal year 2020 with Housing Master Plan Phases 1-3. Planned Phase 4 will require 1.25 debt service coverage to maintain minimum requirement. To date, financial results have exceeded proforma expectations.

Risks and Mitigations
- Lower student occupancy levels due to a variety of potential issues such as private market competition, price sensitivity, or a change in UW enrollment plan. However, ongoing monitoring of private market conditions and a phased approach for Mercer occupancy plan will help balance apartment and residence hall space availability across the system.
- Projected negative cash flows in fiscal years 2017 to 2018 for capital expenses assumed in lieu of Phase 4. This risk is mitigated by strong cash reserves in excess of requirement available to offset cash flow deficiencies.

Preliminary actuals for fiscal year 2012. Proforma numbers reflect most recent Regents’ action as of that fiscal year end.

### Debt Service Coverage
Net operating income as a multiple of debt service; ILP minimum is 1.25 ratio

<table>
<thead>
<tr>
<th></th>
<th>FY09</th>
<th>FY10</th>
<th>FY11</th>
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<td>1.34</td>
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<td>1.98</td>
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<td>1.52</td>
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<td>1.25</td>
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<td>1.25</td>
<td>1.25</td>
<td>1.25</td>
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### Cash Flow
Net operating income less debt service and capital expenses

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<td>1,377</td>
<td>1,369</td>
<td>(4,702)</td>
<td>4,199</td>
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<tr>
<td>Actual</td>
<td>5,500</td>
<td>1,793</td>
<td>7,342</td>
<td>3,881</td>
<td>1,377</td>
<td>1,369</td>
<td>(4,702)</td>
<td>4,199</td>
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<td>5,217</td>
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<tr>
<td>($000)</td>
<td>17,158</td>
<td>24,262</td>
<td>30,564</td>
<td>25,862</td>
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<td>33,335</td>
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### Reserves
Unrestricted net assets; ILP minimum is 4 months of adjusted operating expenses

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<td>30,564</td>
<td>30,061</td>
<td>33,335</td>
<td>38,552</td>
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<tr>
<td>Actual</td>
<td>15,365</td>
<td>17,158</td>
<td>25,314</td>
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<td>($000)</td>
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</table>
Summary
• UW Medical Center is exceeding proforma projections in all categories. To-date financial results are consistent with A-rated medical centers.
• Debt service coverage exceeds the 1.25 minimum by a significant margin. With the addition of approved Phase 2 debt, debt service coverage remains well above the minimum.
• Days cash on hand exceeds the current Internal Lending Program (ILP) minimum of 75 days.

Risks and Mitigations
• Impacts on net revenue from health care reform and State and Federal budget issues. UW Medicine is working with State and Federal officials to identify alternative funding sources and allocation methodologies to mitigate potential reductions.
• Continued success in executing performance improvement initiatives. Standardized infrastructure has been developed and implemented across the system and is supported by leadership, faculty and staff.
• Payer mix and ability to drive elective volumes. Strategic outreach activities are focused on achieving growth in specific areas. Additional payer contracts are being negotiated in the market.

Preliminary actuals for fiscal year 2012. Proforma numbers reflect most recent Regents’ action as of that fiscal year end.

![Debt Service Coverage Graph](image)
Net operating income as a multiple of debt service; ILP minimum is 1.25

![Total Margin Graph](image)
Operating income plus non-operating income expressed as a percentage of revenue

![Days Cash On Hand Graph](image)
Unrestricted cash divided by daily operating expenses; current ILP minimum is 75 days
Summary
• Required School of Medicine (SoM) support for South Lake Union Phases 1 and 2 is higher than proforma projections in fiscal years 2010 to 2012 due to research density lagging proforma projections.
• SoM had sufficient reserves and overall operating budget to fund additional required support in fiscal year 2012. The SoM required support is $1.75M over the FY12 proforma budget which represents 0.15% of SoM FY12 revenue base and .6% of SoM UW reserves at the end of FY12.
• Federal research was projected at 90% of total research, actual results as of FY11 was 88% federal research as non-federal research was higher than projected at South Lake Union.
• SoM has opportunity to increase research density at South Lake Union with ongoing recruitments.
• Expanded research space capacity at SLU has allowed SoM to successfully expand research activity
• At the same time SoM has also grown its research activity at all other locations as well.

Risks and Mitigations
• Potential federal research funding cutbacks from debt reduction efforts. UW remains highly competitive for reallocated federal research funding.
• Potential shift from federal research to non-federal research at South Lake Union which could result in lower indirect cost recovery. UW highly competitive for recruiting faculty with federal research funding.
VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

   in Joint Session with

B. Finance, Audit and Facilities Committee

UW Strategy for Administrative Systems and Information Management

INFORMATION

This briefing outlines the UW’s strategy for incrementally modernizing its administrative systems and continuing to enhance its information management capabilities. The Board of Regents requested this briefing to provide background and context for the UW’s HR/Payroll Replacement project. This presentation is for information only.

BACKGROUND

The University of Washington (UW) is a premier global research and educational institution with a $4.6 billion budget, over 42,000 students, and a large, complex and diverse workforce of 40,000 people including faculty, researchers, clinical personnel, student workers and administrative staff, making it the third largest employer in the state.

Despite the size, scope and complexity of the institution, the UW’s legacy administrative systems that support its critical business operations are more than 30 years old and are no longer meeting the University’s current business needs. The University has been making incremental enhancements to its systems to keep up with changing business and regulatory requirements, and will continue with this approach. But the University has reached a point where it needs to replace its aging core legacy transactions systems, starting with the human resources and payroll system (HEPPS and other supporting systems).

These legacy systems have limited functionality and are becoming increasingly difficult to upgrade. Resulting operational inefficiencies are negatively impacting the productivity of faculty and staff at every level of the institution. Departments and units have developed hundreds of redundant shadow systems to compensate for missing functionality at considerable cost and effort. University faculty and staff cannot get the information they need to support effective management and decision making.
UW STRATEGY

The University is moving forward with a strategy to replace its core legacy administrative systems, starting with the HR/Payroll system. Given the magnitude of the replacement efforts, only one system can be replaced at any given time. In parallel with the HR/Payroll Replacement project, incremental improvements and infrastructure work will continue in the Financial, Student and Research functional areas.

The UW’s strategy focuses on building an architecture that minimizes vendor lock-in, where possible, and provides flexible, next-generation functionality to support the UW’s current and future business needs. To that end, a combination of commercial solutions, open-source and emerging platforms will be used to enable integration and reporting across the enterprise. The effort is being funded through a combination of operating and capital dollars. Capital funding is included in the UW’s One Capital Plan, pending approval by the Board of Regents.

KEY INITIATIVES

The following replacement and incremental modernization efforts are currently underway:

- **Replace the UW’s legacy HR/Payroll system** (Summer 2011 – Fall 2017)
  The University is moving forward with a project to implement an integrated solution for payroll and human resource management that has the flexibility to respond to complex and changing payroll rules and regulations, as well as the ability to process payroll for all UW employees, including clinical and medical staff. The new system must be able to retain all information about positions, appointments and employees, including a full life cycle of employment—from recruitment to retirement or separation. Key project milestones:
    - Conduct feasibility study (Summer 2011 – Complete).
    - Draft RFP and investment plan (Spring 2012 – Complete).
    - Launch Business Process Redesign (Summer 2012 – Complete).
    - Obtain state approvals for RFP (Summer 2012 – Complete).
VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

in Joint Session with

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UW Strategy for Administrative Systems and Information Management
(continued, p. 3)

- Issue RFP (Fall 2012 – Complete).
- Assess bids and recommend a vendor (Fall 2012 – Fall 2013).
- Seek Board of Regents approval to issue contract (Fall 2013).
- Assuming Regental approval, start implementation (Winter/Spring 2014), with a goal of beginning to deliver functionality in phases, starting in 2017.

- **Modernize the student experience**
  - Continue the UW’s investment in the Kuali Student consortium (authorized by the Board of Regents October 16, 2008), a partnership with peer institutions to develop a next-generation student system.
  - Continue MyPlan Phase 2 with a focus on addressing high-priority needs identified by students, including the addition of time schedule information to support detailed pre-registration planning, advisor created sample plans, and a scaled down mobile version.
  - Continue build-out of financial aid student self-service, building on new features that allow students to track the status of their financial aid and take action on outstanding items that may be blocking their awards (FY13).
  - Initiate Curriculum Management System implementation, to manage information about the UW’s curriculum, including requirements, learning objectives and fees (Kuali first release November 2010; UW implementation FY13 – FY14).
  - Complete Microsoft Dynamics Customer Relationship Management proof of concept, to improve interactions and communications with key UW constituents, including students and parents (Fall/Winter 2012).

- **Deliver high-value Financial system enhancements** (FY13)
  - Expand on current efforts to modernize procurement applications to a broader Procure-to-Pay initiative that makes it easier and more efficient for staff to acquire and pay for goods and services and enables the University to analyze and manage its spending.
  - Continue enhancements to MyFinancial.Desktop, a Web interface that offers staff a convenient way to monitor budget balances, reconcile transactions, build custom reports, plan future budget activities and post expenses online.
VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

in Joint Session with

B. Finance, Audit and Facilities Committee

UW Strategy for Administrative Systems and Information Management
(continued, p. 4)

- Expand user access to financial data analytics (Financial Cube) via the Enterprise Data Warehouse.
- Continue enhancements to faculty effort certification and cost sharing that support grant tracking, management and compliance with federal reporting requirements.

- Invest in Enterprise Information Management (Ongoing)
  - Continue building out the UW’s Enterprise Data Warehouse to provide the University with a “Single Source of Truth,” that includes institutionally agreed upon data definitions.
  - Continue to deliver integrated analytics and reports, including data marts like the Financial Cube that provide enhanced decision support analytics.
  - Continue to develop enterprise workflow functionality to automate and streamline business processes.
  - Implement an Enterprise Document Management system to increase efficiencies by capturing, storing and managing electronic content.
UW Strategy for Administrative Systems & Information Management

Board of Regents Briefing
October 11, 2012

Kelli Trosvig
Vice President for UW Information Technology
and Chief Information Officer

Sara Gomez
Associate Vice President, Information Management
## Problem: The UW Has Changed

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<tr>
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<td><strong>Annual Revenue</strong></td>
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<td>$4.6 billion</td>
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<tr>
<td><strong>Primary Revenue Sources</strong></td>
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<td>State appropriation</td>
<td>28%</td>
<td>8%</td>
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<tr>
<td>Tuition and fees</td>
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<tr>
<td>Research grants and contracts</td>
<td>27%</td>
<td>31%</td>
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<tr>
<td>Patient care</td>
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<td>26%</td>
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<td><strong>Human Resources</strong></td>
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<td>Number of employees</td>
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<tr>
<td>Unionized staff</td>
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</table>
UW Administrative Systems History

- **Initial Automation** (1972)
- **Mainframe Platform**
- **Online Transaction Processing** (1974)
- **Financial**
- **Civil Service Reform** (1982)
  - Purchasing & Accounts Payable
  - Student
  - Payroll
- **Y2K Prep**
- **Incremental Modernization (USER)**
- **Strategic Roadmap**

- **1970s**
- **1980s**
- **1990s**
- **2000s**
- **2010s**
UW Administrative Systems History

1980s

Online Transaction Processing

Sample of HEPPS Screen
UW Administrative Systems History

1990s

Externally Driven Changes
UW Administrative Systems History

2000s

Incremental Modernization (USER)
### Change for:

**Employee Status**: Active

**Payroll Unit**: 0137 UW TECHNOLOGY

**Home Department Budget**: 01-4906 Ovp Of Uw It

**Employment Type**: Permanent

**Employment Date**: 04-17-2009

**UW Service Period**: 0.0

**Payroll Unit**: 0137 UW TECHNOLOGY

**Job Class**: 8516

**Pay Step**: T4-4908 Ovp Of Uw It

**Department Budget**: 8516 UW TECHNOLOGY

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<th>Position # / Sub</th>
<th>Distrib. Amt</th>
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<th>Task</th>
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**Employee last updated online by**: 2011 06:32:24

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*Online Payroll Update System © 2001 - 2012*

*For help email: opushelp@uwashington.edu or contact Client Services and Training 8 a.m. - 5 p.m. Monday - Friday*
UW Administrative Systems History

2010s

UW Strategic Roadmap

Enhance and Renew Systems

Redesign Processes

Prioritize and Make Decisions

Replace the Base

Information for Decision Making
Tomorrow’s Enterprise Systems

- **Financial Management**
  - (Kuali Finance)
  - eFECS, Ariba
  - PurchasePath?

- **HR/Payroll**
  - (SAAS/Outsource)
  - Lawson (UW Medicine)

- **Research Admin**
  - SAGE, SPAERC, SERA

- **Facilities/Services**
  - FacilityMax, FS-WORKS, MyChem, Transportation Services, ROME
  - ID card, CAAMS, SIMS

- **Enterprise Information Management**
  - Enterprise Data Warehouse
  - Enterprise Integration
  - Enterprise Workflow
  - Enterprise Portal
  - Document Management

- **Student**
  - (Kuali Student)
  - MyGradProgram, HFS Systems

- **Advancement**
  - Advance
  - ReportWriter.Net

- **UW-IT supported mainframe systems**

- **UW-IT supported non-mainframe systems**

- **Non-UW-IT supported systems**
Enterprise Systems Transformation Framework

Commodity (Buy)  Market Availability to Meet Needs  Custom (Build)

Important  Value to Mission  Transformative

Enterprise Data Warehouse
Enterprise Portal
Workflow

Budget
Finance
Payroll
Staff HR
Procurement

Academic HR
Faculty Effort
Research Management
Grant Submission
Student
# Peer Benchmarking

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<td>The Florida State University</td>
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<td>Implementing PeopleSoft Campus Solutions</td>
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# Roadmap Initiative Timeline

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</table>

**Significant implementation effort**

**Incremental modernization**

(On-going maintenance and production support not represented)

10/11/12
Roadmap Initiative Timeline

- Launch Business Process Redesign (Summer 2012 - Complete)
- Obtain state approvals (Summer 2012 - Complete)
- Issue RFP (Fall 2012)
- Assess bids, recommend vendor (Fall 2012 – Fall 2013)
- Seek Regents approval to issue contract (Fall 2013)

Significant implementation effort
Incremental modernization

(Oct 11, 2012)
Roadmap Initiative Timeline

- Incrementally implement Kuali Student
- Continue to focus on modernizing the student experience
- Maintain legacy portfolio

**Student**
- Modernize systems
  - MyPlan/Curriculum mgmt.
  - Replace legacy systems

FY 13
FY 14
FY 15
FY 16
FY 17
FY 18

Significant implementation effort
Incremental modernization

(Ongoing maintenance and production support not represented)
Kuali Student 3-Year Roadmap

Not on the KS three-year roadmap:
- KS Financial Aid
- KS Admissions

KS Development
- 3rd Party Integration
- Community Contribution
Customer Relationship Management

<table>
<thead>
<tr>
<th>Information</th>
<th>Collaborate</th>
<th>Process</th>
<th>Data</th>
<th>ExactTarget</th>
</tr>
</thead>
</table>

### Contact

**Noah Farrior**

- **Email Address**: nfarrior89@gmail.com
- **Preferred Method of Contact**: Any
- **Owner**: Julie Neilson

### Profile

**Noah Farrior**

- **DOB**: 12/28/1993
- **Contact Information**: (717) 555-5644 (Home Phone), nfarrior89@gmail.com, 5166 Jenestown Rd, #1, Harrisburg, Pennsylvania 17112, United States

### Recent Communications

<table>
<thead>
<tr>
<th>Subject</th>
<th>Activity Type</th>
<th>Date</th>
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</thead>
<tbody>
<tr>
<td>Pb call Noah ASAP!</td>
<td>phonecall</td>
<td>9/24/2012</td>
</tr>
<tr>
<td>Residency Documentation received.</td>
<td>dated item received</td>
<td>8/7/2012</td>
</tr>
<tr>
<td>Noah, we've received your application for admission!</td>
<td>email</td>
<td>5/24/2012</td>
</tr>
<tr>
<td>Application Ready for Review</td>
<td>task</td>
<td>5/24/2012</td>
</tr>
<tr>
<td>Thanks for your application!</td>
<td>phonecall</td>
<td>5/24/2012</td>
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</table>

### Status

- **Status**: Active
- **Desirability**: 77
- **Probability**: 74

**Contact profile shows recent communications**
Roadmap Initiative Timeline

- Continue to incrementally modernize
- Focus on efficiencies for campus and compliance
- Maintain legacy portfolio

Finance
- Modernize systems
  - MyFD enhancements
  - Procure to Pay
  - Replace legacy systems

Significant implementation effort
Incremental modernization

(On-going maintenance and production support not represented)
My FD Automated Journal Vouchers will automate all internal UW transactions so at the click of a button, authorized users can electronically move funds between budgets.
Non-Catalog Ordering and Non-PO Invoices will allow campus users to order goods and services taking advantage of the eProcurement features like approval flow, electronic order routing, and electronic invoicing.
Electronic Faculty Effort Certification

If your FEC represents a reasonable reflection of your actual effort, click ‘Begin Certification’

Ready to Certify your effort? Click ‘Yes, Certify’
Your FEC is now Certified
You will be returned to your Online FEC
**Roadmap Initiative Timeline**

<table>
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- Critical to our administrative systems replacement strategy
- Plays a key role in our data integration strategy and enterprise reporting

Significant implementation effort
Incremental modernization

(ONGOING maintenance and production support not represented)
Enterprise Document Management
Enterprise Data Warehouse

Expanding UW’s data assets

Currently focused on new research administration data

Certified, institution-wide definitions let us speak one language
Common Data Definitions

How many students attended UW last quarter?

Registered Students?
Enrolled Students?
Enrolled as of Census Day?
Admitted Students?
Fee-paying Students?

Precision is required in questions, and in term definitions
Business Intelligence

To deliver better information to decision makers

Self-service report usage grows to over 120,000 runs annually

New ability to visualize data promotes discovery

UW applicants from Washington, by Zip - Autumn 2012