VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Grant and Contract Awards Summary – August, 2011

RECOMMENDED ACTION

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the Board of Regents accept the Grant and Contract Awards of \$1,000,000 or more as presented in the attached report.

Attachment
Grant and Contract Awards Summary for August 2011

Grant and Contract Awards Summary

to

The Board of Regents

of the

University of Washington

for

August 2011

Office of Research

Office of Sponsored Programs

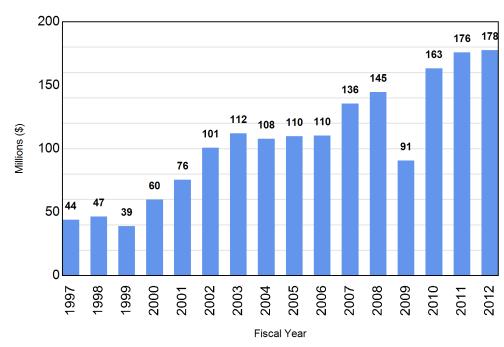
The numbers provided in this report are subject to adjustment at the time that the Annual Report of Sponsored Activity is published.

The changes would reflect modifications and additions to existing awards.

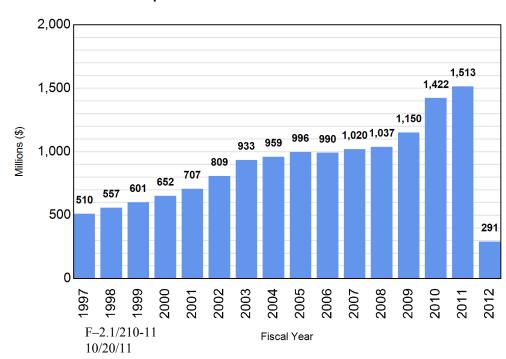
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August Only Comparison of Grant & Contract Awards

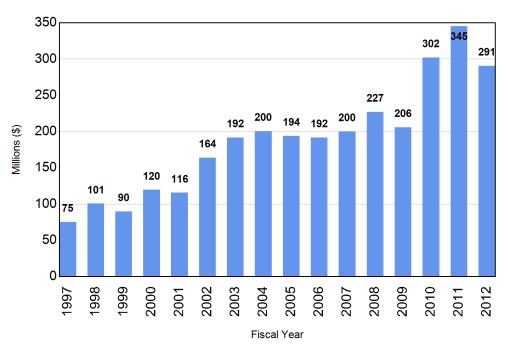


Complete Fiscal Year Comparison of Grant & Contract Awards

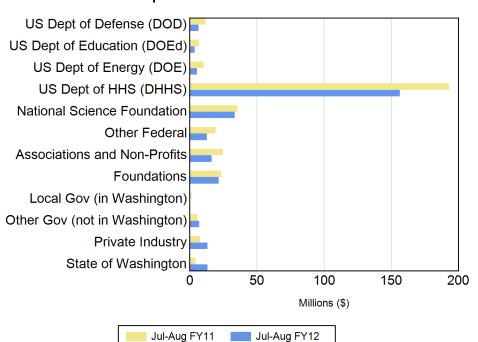


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Fiscal Year to Date Comparison of Grant & Contract Awards



Fiscal Year to Date Two-Year Comparison of Grant and Contract Awards



Jul-Aug FY12

Summary of Grant and Contract Awards

Fiscal Year 2011-2012

	RESEARCH A	AND OTHER	TRAIN	TRAINING	
Month	Federal	Non-Federal	Federal	Non-Federal	Grants and Contracts
July	\$ 72,776,120	\$ 30,196,740	\$ 7,642,882	\$ 2,388,481	\$ 113,004,200
August	\$ 127,093,600	\$ 38,322,890	\$ 11,366,250	\$ 954,419	\$ 177,737,200
FY12 to Date	\$199,869,767	\$68,519,634	\$19,009,127	\$3,342,900	\$290,741,428
FY11 to Date	\$251,065,227	\$65,252,424	\$26,485,205	\$2,648,065	\$345,450,921
Over (Under) Previous Year	(\$51,195,459)	\$3,301,496	(\$7,476,078)	\$694,835	(\$54,675,206)

Comparison of Grant and Contract Awards by Agency Fiscal Years 2010-2011 and 2011-2012

Agency	Jul-Aug FY11	Jul-Aug FY12
US Department of Defense (DOD)	\$ 11,867,882	\$ 6,653,255
US Department of Education (DOEd)	\$ 7,166,777	\$ 3,881,890
US Department of Energy (DOE)	\$ 10,484,360	\$ 5,444,455
US Department of Health and Human Services (DHHS)	\$ 193,135,699	\$ 156,452,019
National Science Foundation (NSF)	\$ 35,636,426	\$ 33,657,995
Other Federal	\$ 19,259,288	\$ 12,789,281
Subtotal for Federal :	\$ 277,550,431	\$ 218,878,894
Associations and Non-Profits	\$ 24,755,194	\$ 16,453,539
Foundations	\$ 23,344,181	\$ 21,613,703
Local Government (in Washington)	\$ 1,393,705	\$ 140,475
Other Government (not in Washington)	\$ 5,981,233	\$ 7,213,789
Private Industry	\$ 7,804,575	\$ 13,203,652
State of Washington	\$ 4,621,601	\$ 13,237,376
Subtotal for Non-Federal :	\$ 67,900,489	\$ 71,862,534
Grand Total :	\$ 345,450,921	\$ 290,741,428

Amount of Increase (Decrease) : (\$ 54,709,492)

Percent of Increase (Decrease) : (15.8 %)

Comparison of Grant and Contract Awards by School/College Fiscal Years 2010-2011 and 2011-2012

School/Colleg	ge		Jul-Aug FY11	Jul-Aug FY12
Upper				
Campus	Architecture and Urban Planning		\$ 1,405,992	\$ 30,000
	Arts and Sciences		\$ 16,253,031	\$ 19,346,269
	College of the Environment		\$ 21,957,444	\$ 20,928,771
	Director of Libraries		\$ 64,500	\$ 5,327,270
	Education		\$ 4,936,570	\$ 613,914
	Engineering		\$ 27,362,104	\$ 23,009,584
	Evans School of Public Affairs		\$ 667,421	\$ 547,304
	Executive Vice President			\$ 19,981
	Foster School of Business		\$ 894,700	\$ 97,700
	Graduate School		\$ 408,606	\$ 2,311,915
	Information School		\$ 915,238	\$ 791,509
	Law		\$ 1,209,134	\$ 298,052
	Office of Research		\$ 19,389,622	\$ 10,157,756
	Provost			\$ 29,468
	Social Work		\$ 8,028,970	\$ 3,798,726
	Undergraduate Education		\$ 289,302	\$ 57,475
	VP Minority Affairs		\$ 1,883,762	\$ 525,841
		Subtotal :	\$ 105,666,396	\$ 87,891,535
Health				
Sciences	Dentistry		\$ 937,042	\$ 1,100,544
	Medicine		\$ 188,963,506	\$ 158,432,450
	Nursing		\$ 8,631,743	\$ 4,941,103
	Pharmacy		\$ 4,922,447	\$ 3,874,156
	Public Health		\$ 28,838,816	\$ 13,798,319
		Subtotal :	\$ 232,293,554	\$ 182,146,572
Special				
Special Programs	Alcohol and Drug Abuse Institute		\$ 1,075,913	¢ 620 140
	Alcohol and Drug Abuse Institute CHDD Administration			\$ 628,148 \$ 1,441,030
			\$ 1,327,618 \$ 4,255,712	\$ 17,106,971
	Regional Primate Center	Subtotal :	\$ 6,659,243	\$ 17,100,971
		Subtotal .	\$ 0,039,243	\$ 19,170,149
Other UW				
Campuses	Bothell		\$ 397,891	\$ 1,173,123
	Tacoma		\$ 433,837	\$ 354,049
		Subtotal :	\$ 831,728	\$ 1,527,172
	Gra	and Total :	\$ 345,450,921	\$ 290,741,428

Summary of Grant Awards

Fiscal Year 2011-2012

Excluding private awards from Foundations, Industry, Associations and Others

	RESEARCH AND OTHER		TRAINING		
Month	Federal	Non-Federal	Federal	Non-Federal	Total Grants
July	\$ 71,450,980	\$ 5,019,858	\$ 7,510,674	\$ 126,824	\$ 84,108,340
August	\$ 120,682,900	\$ 2,948,988	\$ 11,366,250	\$ 314,640	\$ 135,312,800
Year to Date	\$ 192,133,900	\$ 7,968,846	\$ 18,876,920	\$ 441,464	\$ 219,421,200

Summary of Grant Awards

Fiscal Year 2011-2012

Private awards from Foundations, Industry, Associations and Others

Month	RESEARCH AND OTHER	TRAINING	Total Grants
July	\$ 21,192,800	\$ 165,382	\$ 21,358,180
August	\$ 20,936,170	\$ 425,819	\$ 21,361,990
Year to Date	\$ 42,128,970	\$ 591,201	\$ 42,720,170

Summary of Contract Awards

Fiscal Year 2011-2012

	RESEARCH AND OTHER TRAINING		Total		
Month	Federal	Non-Federal	Federal	Non-Federal	Contracts
July	\$ 1,325,143	\$ 3,984,090	\$ 132,208	\$ 2,096,275	\$ 7,537,716
August	\$ 6,410,709	\$ 14,437,730	\$0	\$ 213,960	\$ 21,062,400
Year to Date	\$ 7,735,852	\$ 18,421,820	\$ 132,208	\$ 2,310,235	\$ 28,600,120

Report of Grant and Contract Awards of \$1,000,000 or More

August 2011

Requiring action of
The Board of Regents
of the
University of Washington

Office of Research
Office of Sponsored Programs

US Department of Health and Human Services (DHHS)

Health Resources and Services Administration (HRSA)

To: King Holmes, Professor \$13,456,710

Global Health

For: Building Sustainable Human and Institutional Capacity for HIV Care

Eff: 4/1/2011 Classified: No

To: David H Spach, Professor \$ 2,209,401

Department Of Medicine

For: Regional AIDS Education and Training Centers Program

Eff: 7/1/2011 Classified: No

Total for Health Resources and Services Administration (HRSA): \$15,666,111

National Institute for Occupational Safety and Health (NIOSH)

To: Noah Seixas, Professor \$1,309,984

Enviro & Occup Health

For: Education and Research Center

Eff: 7/1/2011 Classified: No

Total for National Institute for Occupational Safety and Health (NIOSH): \$1,309,984

eral			
JS Departme	ent of Health and Human Services (DHHS)		
National	Institutes of Health (NIH)		
То:	Dennis C Turk, Professor Anesthesiolgy&Pain Med		\$ 1,290,494
For:	Combined Behavioral & Analgesic Trial for Fib (COMBAT-FM)	romyalgia	
Eff:	9/1/2011	Classified: No	
To:	Trisha Nell Davis, Professor Biochemistry		\$ 2,565,863
For:	Comprehensive Biology: Exploiting the Yeast	Genome	
Eff:	9/1/2011	Classified: No	
To:	Bruce M. Psaty, Professor Department Of Medicine		\$ 1,398,235
For:	Prospective meta-analyses of drug-gene intera GWAS consortium	actions: CHARGE	
Eff:	8/10/2011	Classified: No	
To:	Dedra Buchwald, Professor Department Of Medicine		\$ 2,091,137
For:	Center for Native Population Health Disparities	5	
Eff:	5/1/2011	Classified: No	
To:	James Mullins, Professor Microbiology		\$ 2,502,001
For:	Immunological and Virological Events in Early	HIV Infection	
Eff:	8/1/2011	Classified: No	
To:	Dedra Buchwald, Professor Department Of Medicine		\$ 1,711,648
For:	Cerebrovascular Disease and its Consequenc	es in the Strong Study	
Eff:	Cohort 7/1/2011	Classified: No	
To:	John Stamatoyannopoulos, Assistant Profess Genome Sciences	or	\$ 1,440,482
For:	Northwest Reference Epigenome Mapping Ce	nter	
Eff:	7/1/2011	Classified: No	
To:	Robert Vessella, Associate Chair Urology		\$ 2,127,334
For:	Mechanisms and Markers of Prostate Cancer	Metastases	
Eff:	8/1/2011	Classified: No	
To:	Pradipsinh Rathod, Professor Chemistry		\$ 1,459,334
For:	Malaria Evolution in South Asia		
Eff:	7/1/2011	Classified: No	
To:	Bruce Weir, Chair Biostatistics		\$ 1,218,136
For:	Randomized Clinical Trials - Whole Genome S	Studies Coordinating	

Center 8/1/2011

Eff:

Classified: No

US Department of Health and Human Services (DHHS)

National Institutes of Health (NIH)

National Cancer Institute (NCI)

To: Anirban Basu, Associate Professor \$ 1,452,899

Health Services/Main

For: Advancing Instrumental Variable Methods in Comparative

Effectiveness Research

Eff: 8/1/2011 Classified: No

Total for National Cancer Institute (NCI): \$ 1,452,899

National Institute of Allergy and Infectious Diseases (NIAID)

To: Samuel Miller, Professor \$1,146,805

Department Of Medicine

For: Molecular Basis of Nontypoidal Salmonella Emergence

Eff: 8/1/2011 Classified: No

Total for National Institute of Allergy and Infectious Diseases \$ 1,146,805

(NIAID):

National Institute of General Medical Sciences (NIGMS)

To: Kenneth Thummel, Professor \$2,007,290

Pharmaceutics

For: Pharmacogenetics in Rural and Underserved Populations

Eff: 7/1/2011 Classified: No

Total for National Institute of General Medical Sciences (NIGMS): \$2,007,290

Total for National Institutes of Health (NIH): \$ 22,411,658

Total for US Department of Health and Human Services (DHHS): \$39,387,753

National Sci	ence Foundation (NSF)		
National	Science Foundation (NSF)		
To:	Patricia Kuhl, Professor Speech & Hear Sci		\$ 2,200,000
For:	The LIFE Center: :earning In F	Formal & Informal Environments	
Eff:	10/1/2009	Classified: No	
To:	Yoky Matsuoka, Associate Pro Computer Science & Eng	ofessor	\$ 2,925,000
For:	NSF Engineering Research Ce	nter for Sensorimotor Neural	
Eff:	Engineering 6/15/2011	Classified: No	
То:	Larry R. Dalton, Professor Chemistry		\$ 2,656,000
For:	Center on Materials and Device	es for Information Technology	
Eff:	Research 8/1/2002	Classified: No	
To:	David Stahl, Professor Civil & Envir Engineer		\$ 1,854,435
For:	<u>-</u>	earch: Significance of nitrification in	
Г#.	shaping planktonic biodiversity	in the ocean	
Eff:	10/1/2010	Classified: No	*
To:	Daniel Schindler, Professor Aquatic&Fishery Scienc		\$ 1,499,997
For:	CNH: Diversification, Portfolio I Fishing Communities	Effects, and the Sustainability of	
Eff:	9/1/2011	Classified: No	
Tota	for National Science Foundation	on (NSF):	\$ 11,135,432
Total for	National Science Foundation (N	NSF):	\$ 11,135,432
Other Federa	ıl		
National	Oceanic and Atmospheric Adm	inistration (NOAA)	
То:	David Martin, Apl-principal Occ Applied Physics Lab	eanographer	\$ 2,245,000
For:	Sustaining the NANOOS Region	onal Coastal Ocean Observing	
Eff:	System (RCOOS) 6/1/2011	Classified: No	
То:	Penelope Dalton, Director Marine Programs Office		\$ 1,525,000
For:	· ·	Sea Grant College Support 2010-2014	
Eff:	12/1/2009	Classified: No	
Tota	for National Oceanic and Atmo	spheric Administration (NOAA):	\$ 3,770,000
Total for	Other Federal:		\$ 3,770,000
Total for Fed	eral:		\$ 54,293,185
Total Public Gra	nts:		\$ 54,293,185

Detail of Private Grant Awards

Foundations

Bill and I	Melinda Gates Foundation		
To:	Christopher J Murray, Professor Global Health		\$ 7,184,488
For:	Disease Control Priorities Network		
Eff:	4/1/2009	Classified: No	

Total for Bill and Melinda Gates Foundation: \$7,184,488

Total for Foundations: \$7,184,488

Total Private Grants: \$7,184,488

i cuciai			
US Departme	ent of Defense (DOD)		
Naval Su	rface Warfare Center		
То:	Gary Harkins, Associate Director Applied Physics Lab		\$ 1,750,001
For:	FY11 AN/BQH-9 System Upgrades (O&MN)		
Eff:	2/3/2011	Classified: Yes	
Total	for Naval Surface Warfare Center:		\$ 1,750,001
Total for	US Department of Defense (DOD):		\$ 1,750,001
US Departme	ent of Health and Human Services (DHHS)		
National	Institutes of Health (NIH)		
То:	Michael J Gale, Associate Professor Immunology		\$ 2,149,625
For:	RIG-I-LIKE RECEPTORS AND NOVEL INNATE IM PATHWAYS FOR ADJUVANT DISCOVERY AND [DEVELOPMENT	
Eff:	9/30/2009	Classified: No	
Total	for National Institutes of Health (NIH):		\$ 2,149,625
Total for	US Department of Health and Human Services (DH	HS):	\$ 2,149,625
Total for Fed	eral:		\$ 3,899,626
Private Industry			
Edwards	Lifesciences		
То:	Mark Reisman, Clinical Assistant Professor Department Of Medicine		\$ 1,893,569
For:	Placement of Aortic Transcatheter Valves		
Eff:	7/15/2011	Classified: No	
Total	for Edwards Lifesciences:		\$ 1,893,569
Sanofi-A	ventis U.S.		
То:	Jeffrey Probstfield, Professor Department Of Medicine		\$ 3,854,031
For:	Flucuation Reduction with Insulin and GLP-1 added	together	
Eff:	7/11/2011	Classified: No	
Total	for Sanofi-Aventis U.S.:		\$ 3,854,031
Total for Priv	ate Industry:		\$ 5,747,600
State of Washing	ton		
Washing	on State Department of Health		<u> </u>
То:	Nanette Welton, Associate Librarian Library		\$ 5,327,270
For:	HEAL-WA DOH Contract #N17243-2 Amendment		
Eff:	6/30/2011	Classified: No	
Total	for Washington State Department of Health:		\$ 5,327,270
Total for Stat	e of Washington:		\$ 5,327,270
Total Contracts:			\$ 14,974,496

F-2.1/210-11 10/20/11