

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Grant and Contract Awards Summary – February, 2011

RECOMMENDED ACTION:

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the Board of Regents accept the Grant and Contract Awards of \$1,000,000 or more as presented in the attached report.

*Attachment*

Grant and Contract Awards Summary for February 2011

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# **Grant and Contract Awards Summary**

**to**

**The Board of Regents**

**of the**

**University of Washington**

**for**

**February 2011**

**Office of Research**

**Office of Sponsored Programs**

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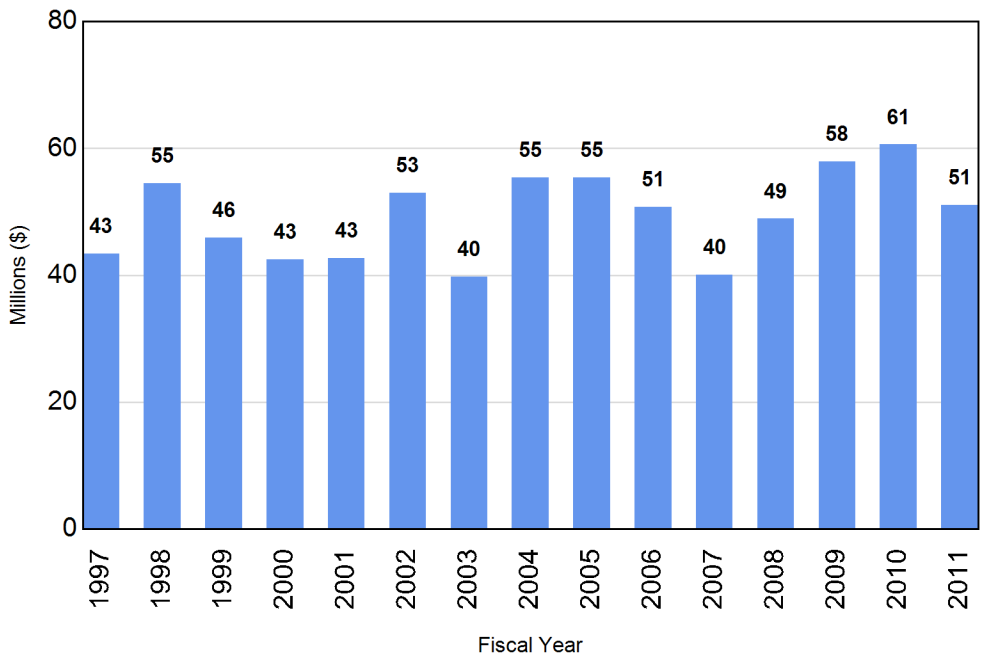
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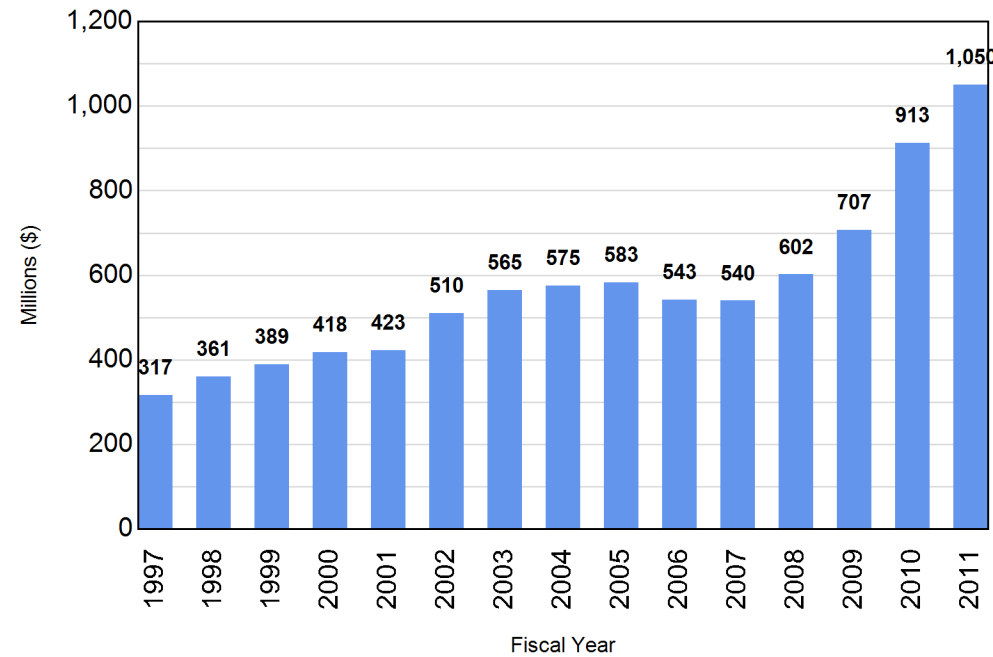
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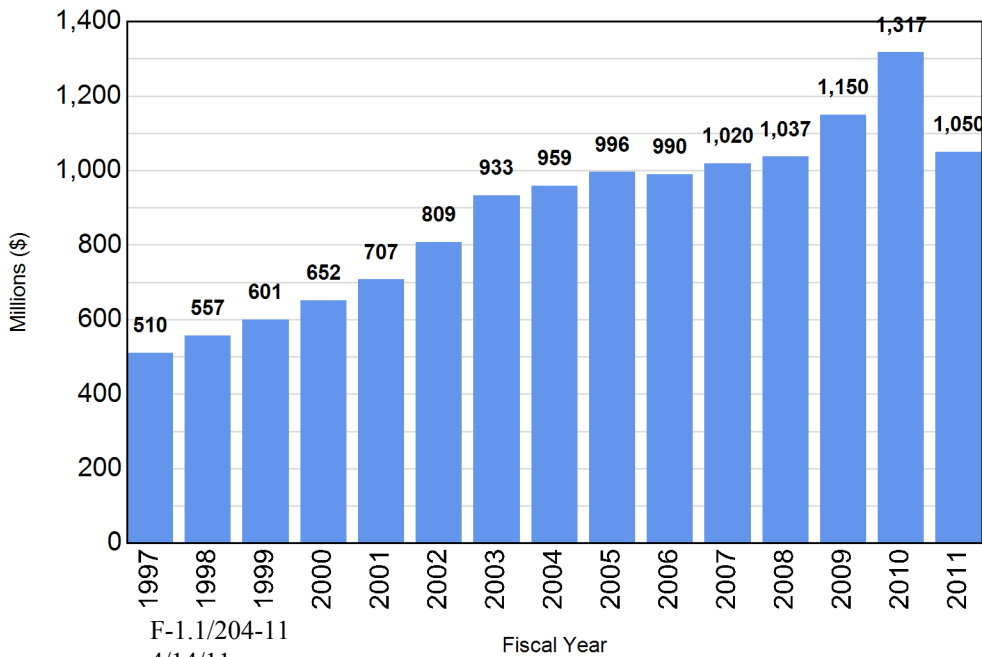
**February Only**  
**Comparison of Grant & Contract Awards**



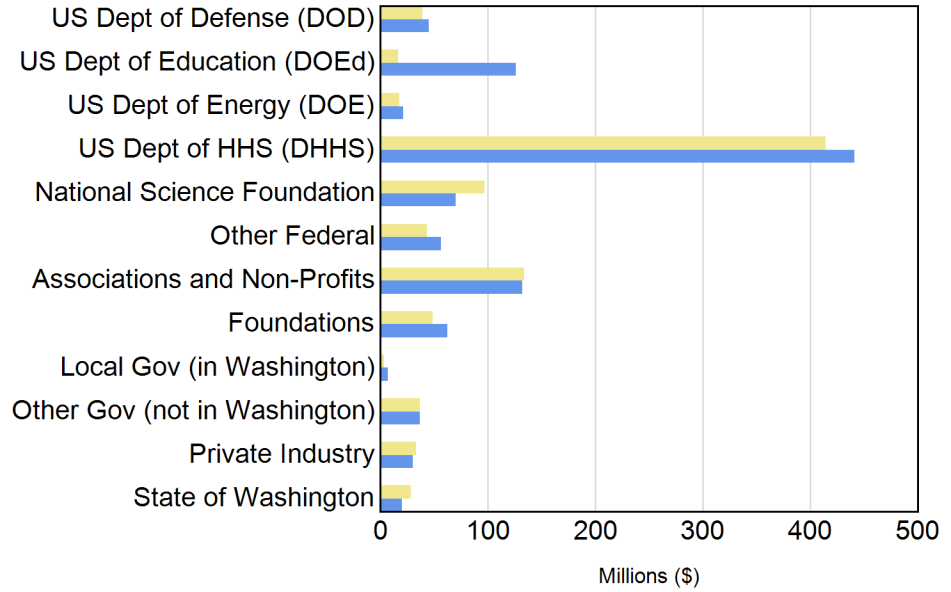
**Fiscal Year to Date**  
**Comparison of Grant & Contract Awards**



**Complete Fiscal Year**  
**Comparison of Grant & Contract Awards**



**Fiscal Year to Date**  
**Two-Year Comparison of Grant and Contract Awards**



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**Summary of Grant and Contract Awards**Fiscal Year 2010-2011

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Month	RESEARCH AND OTHER		TRAINING		Total Grants and Contracts
	Federal	Non-Federal	Federal	Non-Federal	
July	\$ 116,733,700	\$ 35,329,850	\$ 15,457,740	\$ 2,100,929	\$ 169,622,200
August	\$ 177,960,700	\$ 29,923,070	\$ 11,027,470	\$ 547,136	\$ 219,458,300
September	\$ 163,760,900	\$ 43,728,850	\$ 46,816,820	\$ 5,296,927	\$ 259,603,500
October	\$ 51,765,760	\$ 29,066,660	\$ 4,078,383	\$ 318,448	\$ 85,229,260
November	\$ 24,811,640	\$ 25,350,030	\$ 377,023	\$ 2,265,686	\$ 52,804,390
December	\$ 30,371,510	\$ 69,486,650	\$ 903,062	\$ 2,025,040	\$ 102,786,300
January	\$ 85,486,100	\$ 22,538,260	\$ 313,312	\$ 1,315,247	\$ 109,652,900
February	\$ 29,168,220	\$ 20,090,650	\$ 1,804,443	\$ 81,596	\$ 51,144,920
<b>FY11 to Date</b>	<b>\$680,058,415</b>	<b>\$275,514,034</b>	<b>\$80,778,254</b>	<b>\$13,951,008</b>	<b>\$1,050,301,711</b>
<b>FY10 to Date</b>	<b>\$542,973,735</b>	<b>\$275,815,807</b>	<b>\$85,068,784</b>	<b>\$9,321,173</b>	<b>\$913,179,499</b>
<b>Over (Under) Previous Year</b>	<b>\$137,084,680</b>	<b>\$292,605</b>	<b>(\$4,290,530)</b>	<b>\$4,629,835</b>	<b>\$137,716,590</b>

*Assuming acceptance of all awards by the Board of Regents*

**Comparison of Grant and Contract Awards by Agency**

**Fiscal Years 2009-2010 and 2010-2011**

<b>Agency</b>	<b>Jul-Feb FY10</b>	<b>Jul-Feb FY11</b>
US Department of Defense (DOD)	\$ 39,514,611	\$ 45,267,650
US Department of Education (DOEd)	\$ 16,413,667	\$ 126,497,120
US Department of Energy (DOE)	\$ 17,895,440	\$ 21,176,154
US Department of Health and Human Services (DHHS)	\$ 414,279,300	\$ 441,059,103
National Science Foundation (NSF)	\$ 96,732,360	\$ 70,265,155
Other Federal	\$ 43,207,141	\$ 56,571,488
<b>Subtotal for Federal :</b>	<b>\$ 628,042,519</b>	<b>\$ 760,836,669</b>
Associations and Non-Profits	\$ 134,034,016	\$ 132,260,424
Foundations	\$ 48,848,133	\$ 62,296,626
Local Government (in Washington)	\$ 3,483,241	\$ 7,100,206
Other Government (not in Washington)	\$ 37,152,059	\$ 36,988,045
Private Industry	\$ 33,368,803	\$ 30,468,441
State of Washington	\$ 28,250,730	\$ 20,351,300
<b>Subtotal for Non-Federal :</b>	<b>\$ 285,136,980</b>	<b>\$ 289,465,042</b>
<b>Grand Total :</b>	<b>\$ 913,179,499</b>	<b>\$ 1,050,301,711</b>
<b>Amount of Increase (Decrease) :</b>		<b>\$ 137,122,212</b>
<b>Percent of Increase (Decrease) :</b>		<b>15.0 %</b>

*Assuming acceptance of all awards by the Board of Regents*

**Comparison of Grant and Contract Awards by School/College**

Fiscal Years 2009-2010 and 2010-2011

School/College	Jul-Feb FY10	Jul-Feb FY11
<b>Upper Campus</b>		
Architecture and Urban Planning	\$ 761,430	\$ 2,016,165
Arts and Sciences	\$ 74,635,400	\$ 64,233,590
Center for Commercialization		\$ 291,264
College of the Environment	\$ 27,334,410	\$ 74,080,370
Director of Libraries	\$ 132,000	\$ 282,381
Education	\$ 3,958,976	\$ 19,181,493
Educational Outreach	\$ 100,000	\$ 175,000
Engineering	\$ 69,254,041	\$ 72,165,497
Evans School of Public Affairs	\$ 1,194,988	\$ 1,587,417
Executive Vice President		\$ 236,340
Forest Resources	\$ 1,874,103	\$ 360,234
Foster School of Business	\$ 834,880	\$ 1,150,823
Graduate School	\$ 3,802,291	\$ 2,029,500
Information School	\$ 5,965,224	\$ 1,694,688
Law	\$ 1,350,056	\$ 1,962,988
Ocean and Fishery Sciences	\$ 79,809,120	\$ 18,689,255
Office of Research	\$ 31,602,252	\$ 48,329,349
Social Work	\$ 16,489,564	\$ 15,356,335
Undergraduate Education	\$ 213,373	\$ 1,578,269
VP Minority Affairs	\$ 5,338,505	\$ 7,373,431
VP Student Affairs	\$ 280,137	
VP Student Life	\$ 20,715	\$ 104,400,671
<b>Subtotal :</b>	<b>\$ 324,951,466</b>	<b>\$ 437,175,060</b>
<b>Health Sciences</b>		
Dentistry	\$ 5,158,514	\$ 2,513,227
Medicine	\$ 480,609,195	\$ 480,190,618
Nursing	\$ 12,367,555	\$ 12,141,692
Pharmacy	\$ 12,300,621	\$ 12,278,280
Public Health	\$ 57,638,963	\$ 83,735,178
<b>Subtotal :</b>	<b>\$ 568,074,848</b>	<b>\$ 590,858,995</b>
<b>Special Programs</b>		
Alcohol and Drug Abuse Institute	\$ 2,806,563	\$ 3,521,556
CHDD Administration	\$ 5,916,823	\$ 4,519,090
Hall Health Primary Care Center		\$ 283,155
Regional Primate Center	\$ 9,028,403	\$ 6,762,331
<b>Subtotal :</b>	<b>\$ 17,751,789</b>	<b>\$ 15,086,132</b>

School/College	Jul-Feb FY10	Jul-Feb FY11
<b>Other UW Campuses</b>		
Bothell	\$ 1,959,596	\$ 1,931,304
Tacoma	\$ 441,800	\$ 5,250,220
<b>Subtotal :</b>	\$ 2,401,396	\$ 7,181,524
<b>Grand Total :</b>	\$ 913,179,499	\$ 1,050,301,711

**Note:** Effective with the 2011 fiscal year, awards in the College of the Environment include awards previously included in Forest Resources, Ocean and Fishery Sciences, and the Office of Research. As a result, comparisons between 2010 and 2011 are affected.

*Assuming acceptance of all awards by the Board of Regents*



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**Summary of Grant Awards****Fiscal Year 2010-2011***Excluding private awards from Foundations, Industry, Associations and Others*

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<b>Month</b>	<b>RESEARCH AND OTHER</b>		<b>TRAINING</b>		<b>Total Grants</b>
	<b>Federal</b>	<b>Non-Federal</b>	<b>Federal</b>	<b>Non-Federal</b>	
July	\$ 110,707,300	\$ 3,759,472	\$ 15,393,240	\$ 1,192,557	\$ 131,052,500
August	\$ 173,709,400	\$ 3,781,791	\$ 11,027,470	\$ 202,523	\$ 188,721,200
September	\$ 160,838,900	\$ 11,810,130	\$ 46,627,480	\$ 4,129,317	\$ 223,405,800
October	\$ 39,992,300	\$ 6,303,664	\$ 4,078,383	\$ 70,000	\$ 50,444,340
November	\$ 19,016,630	\$ 5,976,814	\$ 295,100	\$ 272,797	\$ 25,561,340
December	\$ 29,895,950	\$ 5,642,230	\$ 903,062	\$ 450,000	\$ 36,891,240
January	\$ 82,339,600	\$ 3,825,736	\$ 313,312	\$ 379,061	\$ 86,857,710
February	\$ 27,714,370	\$ 2,549,086	\$ 1,804,443	\$ 0	\$ 32,067,900
<b>Year to Date</b>	<b>\$ 644,214,500</b>	<b>\$ 43,648,920</b>	<b>\$ 80,442,480</b>	<b>\$ 6,696,255</b>	<b>\$ 775,002,100</b>

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*Assuming acceptance of all awards by the Board of Regents*

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**Summary of Grant Awards****Fiscal Year 2010-2011***Private awards from Foundations, Industry, Associations and Others*

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<b>Month</b>	<b>RESEARCH AND OTHER</b>	<b>TRAINING</b>	<b>Total Grants</b>
July	\$ 24,991,310	\$ 369,153	\$ 25,360,460
August	\$ 22,428,710	\$ 121,830	\$ 22,550,540
September	\$ 27,554,490	\$ 573,762	\$ 28,128,250
October	\$ 15,102,430	\$ 237,448	\$ 15,339,870
November	\$ 17,563,950	\$ 752,796	\$ 18,316,740
December	\$ 56,292,190	\$ 1,182,000	\$ 57,474,190
January	\$ 12,018,840	\$ 878,186	\$ 12,897,030
February	\$ 14,473,500	\$ 31,596	\$ 14,505,100
<b>Year to Date</b>	<b>\$ 190,425,400</b>	<b>\$ 4,146,771</b>	<b>\$ 194,572,200</b>

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*Assuming acceptance of all awards by the Board of Regents*

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**Summary of Contract Awards****Fiscal Year 2010-2011**

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<b>Month</b>	<b>RESEARCH AND OTHER</b>		<b>TRAINING</b>		<b>Total Contracts</b>
	<b>Federal</b>	<b>Non-Federal</b>	<b>Federal</b>	<b>Non-Federal</b>	
July	\$ 6,026,372	\$ 6,579,072	\$ 64,500	\$ 539,219	\$ 13,209,160
August	\$ 4,251,216	\$ 3,712,571	\$ 0	\$ 222,783	\$ 8,186,570
September	\$ 2,921,990	\$ 4,364,239	\$ 189,348	\$ 593,848	\$ 8,069,425
October	\$ 11,773,470	\$ 7,660,575	\$ 0	\$ 11,000	\$ 19,445,040
November	\$ 5,795,018	\$ 1,809,275	\$ 81,923	\$ 1,240,093	\$ 8,926,309
December	\$ 475,560	\$ 7,552,227	\$ 0	\$ 393,040	\$ 8,420,827
January	\$ 3,146,495	\$ 6,693,681	\$ 0	\$ 58,000	\$ 9,898,176
February	\$ 1,453,857	\$ 3,068,064	\$ 0	\$ 50,000	\$ 4,571,921
<b>Year to Date</b>	<b>\$ 35,843,970</b>	<b>\$ 41,439,700</b>	<b>\$ 335,771</b>	<b>\$ 3,107,983</b>	<b>\$ 80,727,430</b>

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*Assuming acceptance of all awards by the Board of Regents*

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**Report of Grant and Contract Awards  
of \$1,000,000 or More**

**February 2011**

**Requiring action of  
The Board of Regents  
of the  
University of Washington**

**Office of Research  
Office of Sponsored Programs**

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**Detail of Public Grant Awards**

**Federal**

**US Department of Defense (DOD)**

**Office of Naval Research (ONR)**

To: James A Mercer, Apl-principal Physicist \$ 1,103,103

For: North Pacific Laboratory

Eff: 4/15/2008 Classified: No

**Total for Office of Naval Research (ONR): \$ 1,103,103**

**US Department of Defense (DOD)**

To: Pierre Mourad, Assoc Professor \$ 2,602,379  
Neurological Surgery

For: Towards Development of a Field-Deployable Imaging Device for TBI

Eff: 2/15/2011 Classified: No

**Total for US Department of Defense (DOD): \$ 2,602,379**

**Total for US Department of Defense (DOD): \$ 3,705,482**

**US Department of Energy (DOE)**

**US Department of Energy (DOE)**

To: David B. Kaplan, Director \$ 2,655,000  
Inst Nuclear Theory

For: National Institute for Nuclear Theory

Eff: 3/1/2009 Classified: No

**Total for US Department of Energy (DOE): \$ 2,655,000**

**Total for US Department of Energy (DOE): \$ 2,655,000**

**US Department of Health and Human Services (DHHS)**

**National Institutes of Health (NIH)**

To: Xiaoming Yang, Professor \$ 1,122,121  
Radiology

For: Intrabiliary MR/RF-enhanced chemotherapy of malignant biliary obstructions

Eff: 2/15/2011 Classified: No

**Total for National Institutes of Health (NIH): \$ 1,122,121**

**Total for US Department of Health and Human Services (DHHS): \$ 1,122,121**

**National Science Foundation (NSF)**

**National Science Foundation (NSF)**

To: Gerald Baldasty, Dean \$ 1,100,000  
Dean Grad School Admin

For: 2010-2012 Graduate Research Fellowship

Eff: 6/16/2010 Classified: No

**Total for National Science Foundation (NSF): \$ 1,100,000**

**Total for National Science Foundation (NSF): \$ 1,100,000**

**Detail of Public Grant Awards**

**Federal**

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**Other Federal**

**US Geological Survey (USGS)**

To: John E Vidale, Professor \$ 1,045,397  
Earth & Space Sciences

For: Pacific Northwest Seismic Network Operations

Eff: 2/1/2011 Classified: No

**Total for US Geological Survey (USGS): \$ 1,045,397**

**Total for Other Federal: \$ 1,045,397**

**Total for Federal: \$ 9,628,000**

**Total Public Grants: \$ 9,628,000**

**Detail of Contract Awards**

**Associations and Non-Profits**

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**Consortium for Ocean Leadership, Inc.**

To: John R. Delaney, Professor \$ 3,764,604  
School Of Oceanography

For: Ocean Observatories Initiative: Regional Scale Nodes

Eff: 1/1/2010 Classified: No

**Total for Consortium for Ocean Leadership, Inc.: \$ 3,764,604**

**Total for Associations and Non-Profits: \$ 3,764,604**

**Total Contracts: \$ 3,764,604**

**Grand Total for all Awards \$ 13,392,604**