

University of Washington  
Quarterly I-MAC Projects Report  
December 31, 2008

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## Project Summary Sheet for October - December 2008

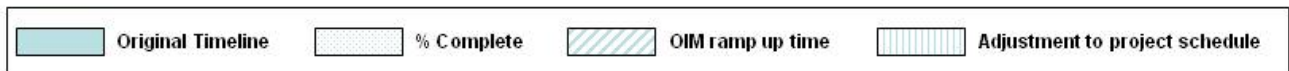
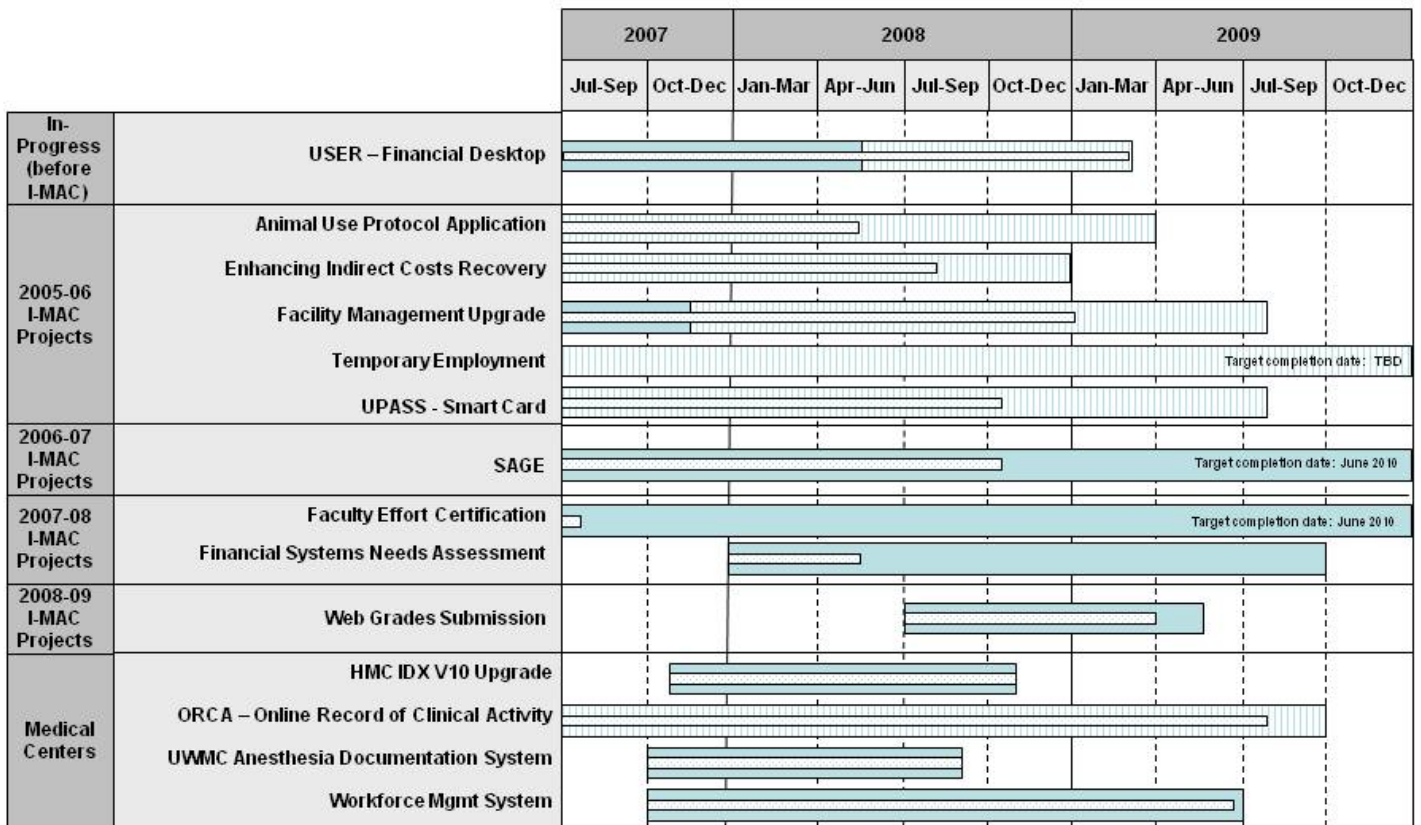
Project Name	Sponsor	Project Manager	Project Status			Corrective Action / Comments
			T	B	S	
<b>In progress (pre-I-MAC)</b>						
USER Project, Financial Desktop Initiative	V'Ella Warren	Melissa Bravo	g	g	g	
<b>2005-06 I-MAC Approved</b>						
Animal Use Protocol Application	Kathryn Waddell	Nona Phillips				Project closed
Enhancing Indirect Costs Recovery	V'Ella Warren	Sue Camber, Tami Sadusky				Project closed
Facilities Management System Upgrade	Charles Kennedy	Eric Hausman	g	g	g	
Temporary Employment	Mindy Kornberg	Liz Coveney	r	g	g	Project is suspended pending resource availability.
UPASS – Smart Card	V'Ella Warren, Eric Godfrey	Ann Gigli				Project will continue when regional transit authorities provide functionality. This is the last status report.
<b>2006-07 I-MAC Approved</b>						
SAGE	Mary Lidstrom, Sue Camber	Darcy VanPatten	g	g	g	
<b>2007-08 I-MAC Approved</b>						
Faculty Effort Certification & Cost-Sharing System, Phase 2 (eFECS)	V'Ella Warren, Mary Lidstrom	Lisa Yeager	y	g	g	Technical challenges in design and architecture for integrating eFECS, SAGE and MyFD
Financial Systems Needs Assessment	V'Ella Warren, Phyllis Wise	Jessica Allen	y	g	g	Delay in campus engagement pending budget communications from Provost's office
<b>2008-09 I-MAC Approved</b>						
Appointment Data						Project not started. On hold.
Kuali Student						Project not started. Currently hiring staff.
SIMS – Space Information Management System						Project not started. Awaiting release of funds.
Web Grades Submission Project	Todd Mildon, Sara Gomez	Marcia Tufarolo	g	g	g	
<b>Medical Centers</b>						
HMC IDX V10 Upgrade	Cindy Hecker, Norm Beauchamp	Peter Ghavami	g	g	g	Project completed. This is the final status report.
ORCA - Online Record of Clinical Activity	James Fine	Wendy Giles	g	g	g	
UWMC Anesthesia Documentation	Debra Schwinn	Bala Nair	g	g	g	Project completed. This is the final status report.
Workforce Mgmt System	Johnese Spisso	Joan Mugford	g	g	g	

### Project Status Key

Dashboard	g = Green	y = Yellow	r = Red
<b>T - Time</b>	Schedule change does not affect the completion date of the current phase.	Schedule change delays current phase's completion date but doesn't affect overall completion date.	Overall project's critical path is delayed by schedule change.
<b>B - Budget</b>	There is little or no budget variance & there is project funding flexibility.	Budget variance is less than 10% of total budget & there is still project funding flexibility.	Budget variance is 10% or more, or there is budget variance with no remaining project funding flexibility.
<b>S - Scope</b>	All major system deliverables will be implemented as planned.	A major deliverable will be delayed to a later phase to meet current phase budget or schedule.	A major deliverable will not be implemented.

*Note: A dashboard area goes back to green if next quarter it remains on track with approved adjustments made last quarter.*

# PROJECT SCHEDULES



## Project Status Over Time

Project	Area	2006				2007				2008			
		Jan-Mar	Apr-Jun	Jul-Sep	Oct-Dec	Jan-Mar	Apr-Jun	Jul-Sep	Oct-Dec	Jan-Mar	Apr-Jun	Jul-Sep	Oct-Dec
<b>In Progress (pre-I-MAC)</b>													
User Project, Financial Desktop Initiative	T	Green				Green				Green, Red			
	B	Green				Green				Green			
	S				Green	Green				Green			
<b>2005-06 I-MAC Approved</b>													
Animal Use Protocol Application (Project Closed)	T	Green		Yellow	Red	Red				Red			
	B	Green				Green				Green			
	S				Green	Green				Green			
Enhancing Indirect Costs Recovery (Project Closed)	T	Green		Yellow	Green				Yellow		Red		
	B	Green				Green				Green			
	S				Green	Green				Green			
Facilities Mgmt System Upgrade	T	Green		Yellow	Green		Yellow	Yellow		Green			
	B	Green				Green				Green			
	S				Green	Green		Yellow	Green				
Temporary Employment	T	Green		Yellow	Yellow				Yellow	Red	Green	Red	
	B	Yellow	Green			Green				Green			
	S				Green	Green				Green			
UPASS - Smart Card (Project Closed)	T	Green		Yellow	Yellow				Yellow				
	B	Green				Green				Green			
	S				Green	Green				Green			
<b>2006-07 I-MAC Approved</b>													
SAGE	T			Green	Yellow				Yellow		Green		
	B			Green	Green				Green				
	S			Green	Green	Yellow	Green		Green				
<b>2007-08 I-MAC Approved</b>													
Faculty Effort Certification, Phase II	T						Green	Yellow	Yellow				
	B						Green	Green					
	S						Green	Green					
Financial Systems Needs Assessment	T										Green	Yellow	
	B										Green	Green	
	S										Green	Green	
<b>2008-09 I-MAC Approved</b>													
Appointment Data	T												
	B												
	S												
Kuali Student	T												
	B												
	S												
SIMS	T												
	B												
	S												
Web Grades Submission Project	T											Green	
	B											Green	
	S											Green	

Status on Scope began Oct-Dec 2006. Budget and Time status ranking starting Oct-Dec '06 uses the current status criteria; prior quarters used the old criteria for Budget and Schedule.

## Project Status Over Time

Project	Area	2006				2007				2008			
		Jan-Mar	Apr-Jun	Jul-Sep	Oct-Dec	Jan-Mar	Apr-Jun	Jul-Sep	Oct-Dec	Jan-Mar	Apr-Jun	Jul-Sep	Oct-Dec
<b>Medical Centers</b>													
HMC IDX V10 Upgrade	T												
	B												
	S												
ORCA	T												
	B												
	S												
UWMC Anesthesia Documentation	T												
	B												
	S												
Workforce Mgmt System	T												
	B												
	S												

Status on Scope began Oct-Dec 2006. Budget and Time status ranking starting Oct-Dec '06 uses the current status criteria; prior quarters used the old criteria for Budget and Schedule.



## Faculty Effort Certification and Cost Sharing System (eFECS)

Executive Sponsors: V'ella Warren, Vice President Financial Management

Mary Lidstrom, Vice Provost for Research

Project Manager: Lisa Yeager

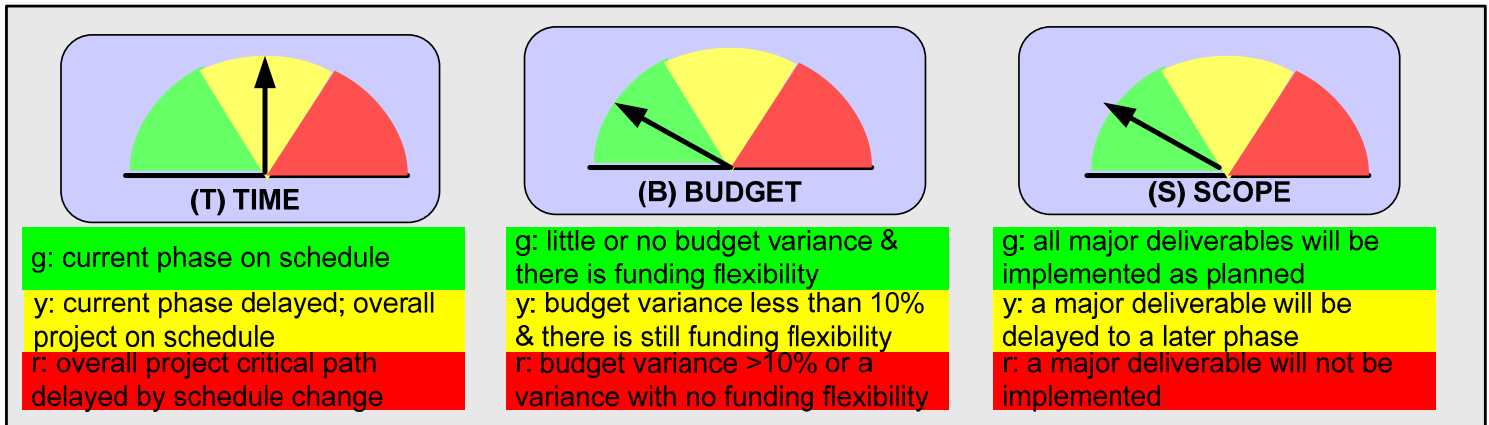
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### Project Description

The purpose of the electronic Faculty Effort Certification and Cost Sharing System Initiative is to enhance compliance by automating systems and streamlining processes for Faculty Effort Certification (FEC) and Cost Share. The Initiative is utilizing the USER project methodology under the auspices of the Strategic Projects & Portfolios division of OIM. The initial phase of this project consisted of a requirements assessment and alternatives analysis. At the completion of the first phase, the project team recommended pursuit of an internally developed solution. The project is now in a development phase which consists of the following primary phases/components:

- Changes to the technical infrastructure and establishing an architecture for eFECS that is integrated with the System to Administer Grants Electronically (SAGE), My Financial Desktop (My FD), and migrates the existing effort and cost share data and functionality off the mainframe Budget system (BGT)
- Creation of an online Faculty Effort Certification form that meets the priority requirements for displaying effort and cost share data online in a user friendly format, simplifying and streamlining the FEC calculation process, and automating cost share input
- Implementation of an automated certification and workflow process to fully automate certification and status tracking of the FEC reports

The first two components will be developed in parallel. Functionality will be released according to a phased release schedule. Following implementation of the initial functionality, the Process Improvement Team will assess and prioritize future functionality.



### Risks / Issues / Mitigation Actions

Item	Next Steps / Action Required	I-MAC Attn Req'd Y/N
(S, T, B) There is potential to utilize the future eFECS platform as an integrated mechanism for	Options for including additional certifications will be prioritized as part of the stage gate decision	N

supporting additional certification requirements such as Medicare Effort Surveys and Grant/Contract Certification Reporting (GCCR). Adding this to the project scope will likely also impact the project timeline or budget.	after the initial functionality is implemented.  On an interim basis, a parallel process improvement effort is underway to pursue interim improvements to GCCRs.	
(B) The project is being budgeted according to the annual budget cycle, though it requires multiple-year funding.	A project memorandum of understanding has been signed by key Executive stakeholders. The project budget will be reviewed annually, and temporary funds assigned to meet the MOU or revised budget amounts.	N
(S, T, B) Integration with other systems A cross functional Architecture team is working to architect a solution that will allow SAGE, eFECS, and MyFD to take advantage of integrated components and reusable services. Because this is utilizing a new approach, the team has run into challenges escalating and resolving design and architecture issues.	Senior management within OIM has engaged with the project teams to more clearly define and document an incremental approach for architecting the solution and to spell out escalation roles and responsibilities along with an overarching decision-making process for the integration team.	N

## Accomplishments

<b>Upcoming Reporting Period Planned Accomplishments</b>
<ul style="list-style-type: none"> <li>• Complete campus training on cost share module</li> <li>• Implement automation of clinical salary data</li> <li>• Develop first iteration of the online FEC</li> <li>• Finalize customer support and training resource plans</li> </ul>
<b>Current Reporting Period Accomplishments</b>
<ul style="list-style-type: none"> <li>• Completed project re-baselining based on current staffing plans</li> <li>• Released cost share module into the production environment</li> <li>• Completed migration from BGT to the new data structures</li> <li>• Finalized solution/approach for obtaining clinical data from the ADP payroll system</li> </ul>

## Schedule – 4 % complete

<b>Summary of Major Milestones – (including revision history)</b>				
<b>Schedule Summary</b>	<b>Target Date</b>	<b>Date Revised</b>	<b>Actual Date</b>	<b>Status</b>
eFECS Foundation/Infrastructure	Feb 08 June 08 Aug 08 Oct 08 Feb 09	Original Dec 07 Feb 08 Jun 08 Aug 08		In Progress
Cost Share Functionality	Apr 08 June 08 Oct 08 Dec 08	Original Dec 07 Feb 08 Jun 08	Dec 08	Complete (Campus rollout in progress; future enhancements scheduled)
Online FEC	Dec 08 Mar 09 Dec 09	Original Dec 07 Feb 08		Not yet started
Certification/Workflow	Dec 09 Dec 10	Original Feb 08		Not yet started
Stage Gate/Prioritize Additional Development Effort	Jun 10	Original		Not yet started

## Project Budget – Phase 2

	<b>Estimate (Original) *</b>	<b>Actual Expended to Date</b>	<b>Current Balance</b>	<b>Cost to Complete</b>	<b>Variance</b>	<b>Current Percent Expended</b>
Business Unit - Project	\$2,472,000	\$ 282,284	\$2,189,716	\$2,189,716	\$0	11%
OIM IT Expenditures	\$3,708,000	\$ 355,976	\$3,352,024	\$3,352,024	\$0	10%
<b>Total Project</b>	<b>\$6,180,000</b>	<b>\$638,260</b>	<b>\$5,541,740</b>	<b>\$5,541,740</b>	<b>\$0</b>	<b>10%</b>

Note that the funding for this project is being approved on an annual basis as part of the annual budgeting cycle. Approved funding for FY 08 is \$1.3 M including carry-over and carry-forward funding from Phase 1.

\* Does not include costs for RST development.

<b>* Estimate History</b>	<b>Amount</b>	<b>Change Due To</b>
Original Estimate	\$6,180,000	

### Previous Phase Budget

	<b>Period</b>	<b>Actual Cost</b>
Phase I – Scoping Study	01/2005 – 7/2006	\$620,000

### Estimated Annual Ongoing Support Cost\*

	<b>OIM</b>	<b>Business Unit</b>	<b>Total</b>
Original Estimate	\$187,000	\$0	\$187,000
Estimate- Revised 10/05	\$200,000	\$0	\$200,000
Estimate- Revised 07/07	\$435,000		\$435,000

\*support costs will be re-estimated close to implementation time when more information is available.

### Estimated 5-Year Lifecycle Cost #

	<b>OIM</b>	<b>Business Unit</b>	<b>Total</b>
Estimated	N/A	N/A	\$6,180,000

# When significant changes are anticipated, these will be reflected in future quarterly status reports.