The Faculty Council on Research met on Monday, October 4, 2004 at 9:00 a.m., in 26 Gerberding Hall. Chair Brent Stewart called the meeting to order at 9:05 a.m.

Synopsis:
1. Introductions, approvals, voting rights, vice chair, brief orientation
2. Review 03-04 FCR Annual Report
3. FCR subcommittees for 04-05
4. Changes to the University Handbook regarding the Faculty Council on Research
5. NIH Open Access Proposal

Introductions, approvals, voting rights, vice chair, brief orientation
Stewart introduced himself and asked council members to introduce themselves. At the conclusion of introductions, it was moved, seconded and passed (MSP) to approve the meeting agenda. It was MSP to approve the minutes of the June meeting as corrected.

Voting Rights - By unanimous vote in quorum, the faculty members of FCR granted voting rights to all eligible ex officio members (Blake - ALUW, G. Parks – ASUW, Dworkin - Emeritus Faculty, Ashby-Larrabee - PSO, and Barker - GPSS).

Vice Chair - Stewart asked for a volunteer to serve as vice-chair, commenting that his own stint as vice chair was a very valuable learning experience. Council members should contact him if they would like to serve. Ross Heath, who also serves as Faculty Senate Chair, said the Senate leadership would very much like to see a vice chair for each council since this provides good continuity from year to year.

Orientation - Stewart provided council members with information about FCR's charge and jurisdiction. Secretary of the Faculty Lea Vaughn will visit the Council at a future meeting to talk more about the work of the Faculty Senate and Faculty Councils. Mac Parks, Associate Vice Provost for Research, agreed to speak at a future meeting about the UW Administration's functions in the research arena.

Review 03-04 FCR Annual Report
Asuman Kiyak led council members in a brief review of the Annual Report. In 2003-2004, FCR enjoyed increased participation on the Research Advisory Board for the Chair and past Chair, including active participation on the Research Infrastructure Review, as well as participation on IPMAC. FCR member Stewart Tolnay was appointed to the Human Subjects Policy Board. There was also increased interaction with Asst Vice Provost Carol Zuiches and Asst. Controller Sue Camber, which adds much to knowledge of new developments and working relationships with researchers.

Some items mentioned in the report will continue to be issues this year, in particular the new "Sensitive But Unclassified" categorization that some research projects are receiving. An FCR subcommittee will continue to review Classified Research proposals as they arise and make the appropriate approval/non-approval recommendations.

FCR subcommittee members for 04-05
FCR Classified, Proprietary, and Restricted Research Subcommittee: Dan Vogt, Jerry Miller, Mehmet Sarikaya, Asuman Kiyak (Chair), Robert Franza
Changes to the University Handbook regarding the Faculty Council on Research

Parks reported on the proposed Executive Order that clarifies the University's position on Classified and Restricted research. The policy reinforces the UW's commitment to an open academic environment that fosters intellectual creativity, freedom, and the open dissemination of research results.

The Council approved the changes in emphasis last year and presented them to the Senate Executive Committee as an information item, which SEC also approved. Parks advised that the resulting Executive Order is now on President Emmert's desk. Parks expects the order to be approved and added to the University Handbook without delay.

NIH Open Access Proposal

Parks presented a UW draft position statement that concurs broadly with the position taken by the American Association of Universities vis-à-vis "the widest possible dissemination of the results of federally-funded research" and commends the National Institutes of Health (NIH) for proposing to "increase public access to published results of NIH-funded research." Congress is trying to make NIH findings more accessible to a wider variety of people, particularly to those interested in global health and health advocacy, and wants NIH findings to be available to the public within six months of publication.

The UW version of the statement cites some specific concerns identified by UW faculty and librarians, including the possibility of adverse impacts to the peer review process and of an undue burden being placed on the UW with regard to compliance.

In discussion, some council members expressed concerns that the new requirement to allow public access within six months after publication will force smaller journals out of print, that revenues will be lost, and that the peer review process will be substantially changed. It is possible that the peer review process could be politicized, if each professional society does the reviewing. There is also some ambiguity about exactly what is covered by the policy, and how much support NIH will provide. Because of budget cuts that affect UW libraries, more and more professional journals are being dropped. In that respect, this move to immediate public access could be helpful. The need to maintain archives in perpetuity, however, is a huge concern. Who will do this, and who will do backups, etc.?

The council voted unanimously in quorum to accept the draft position statement; i.e., to concur with the AAU position, but to address the additional issues in the implementation.

Topics for subsequent meetings:

11/1 – Lea Vaughn on University governance; Mac Parks on U-WISE, Suzette Ashby-Larrabee on FE Certification.

12/6 – Sue Camber on F&A Negotiations, FE Certification, and the major reorganization in Grant and Contract Accounting January 1.

Attendance

Present: **Professors** Benner, Booth-LaForce, Franza, Haeseleer, Heath, Kiyak, Kumar, Miller, Morrison, Sarikaya, Stewart, Tolnay, Vogt

**Ex-Officio** Blake, G. Parks, Camber, M. Parks, Zuiches, Ashby-Larrabee, Barker, Hogan

Absent: **Professors** Rasmussen

**Ex-Officio** Stygall, Dworkin, Kahl

The meeting was adjourned at 10:30 a.m. *Minutes by Linda Fullerton, Recorder.*