The Faculty Council on Research met on Thursday, June 3, 2004 at 10:00 a.m., in 26 Gerberding Hall. Chair Asuman Kiyak called the meeting to order at 10:05 a.m.

PRESENT: Professors Booth-LaForce, Kiyak, Rasmussen, Stewart, Vogt
Ex-Officio Camber, Kahl, Parks, Zuiches, Barker

ABSENT: Professors Heath, Kartsonis, Kumar, Morrison, Ruzicka, Sarikaya, Tolnay, Vitaliano
Ex-Officio Stygall, Blake, Hahm, Dworkin, Hogan, McMahan

Synopsis:
1. Update on Faculty Effort Certification by Sue Camber
2. Update on SBU grant applications
3. Update on nominations for Human Subjects Advisory Board
4. Issues for next academic year

The May minutes were approved as corrected.

Faculty Effort Certification (Camber)
Sue Camber described a current project related to Faculty Effort Certification. Faculty effort is the time that faculty spend on instruction, research, patient care, administration, etc., which is compensated by the University of Washington.

Faculty members complete Faculty Effort Certification (FEC) reports, documenting effort paid from both Federal and non-Federal sponsored projects, which include grants and contracts. These reports are subject to audit for compliance with cost-sharing requirements of the various granting bodies.

At present, there are major whistleblower cases pending across the nation on Faculty Effort Certification. Two large research schools have recently paid multimillion-dollar settlements in cases where effort was alleged to be in excess of 100% and other effort reporting guidance may have been violated.

GCA and Management Accounting and Analysis are presently reviewing FEC procedures to be certain that the University is in compliance and reporting correctly. The School of Medicine and the Attorney General's Office are also involved in this effort, which will attempt to identify process inconsistencies and assess the performance of established processes.

Training issues also need to be resolved – for example, should all faculty go through FEC training, or only some subset, in order to make certain everyone has the right information? It is possible that a training module could be added to Faculty Grants Management Training in order to avoid mandatory training for everyone.

The task force working on the FEC would like the project to be completed by the end of summer. Camber may ask FCR to look at the result in Fall Quarter.

Update on SBU grant applications
In May, FCR turned down a grant application for a project that fell under the Air Force's new "Sensitive but unclassified" category. The primary issue was restrictions on the publication of research that is not categorized Classified. This contravenes UW policy and Defense Department policy (NSDD 189) for this kind of unclassified research. Several research universities, including MIT, have taken the same position.
Carol Zuiches reported that she has had further conversations with the Air Force on this issue and she commended FCR for their stance. She has three more applications that fall under this classification and is glad to have established guidelines. Mac Parks also commended the Council on its decision.

Over the summer, Asuman and Brent will document FCR’s position in a formal policy statement for future use by the Classified Research Subcommittee.

**Human Subjects Policy Board**
Asuman Kiyak announced that Stewart Tolnay has been nominated to the Human Subjects Policy Board.

**Items for next year**
Kerry Kahl will have more to tell FCR in Fall Quarter about changes that affect Classified Staff employment. All job classifications are changing, and outsourcing the work of civil service staff is a reality as a result of the new Civil Service Reform Act passed by the Washington State legislature. Kahl expects the work of reclassification to be completed by October and will then be able to give a more complete picture of how this will affect researchers.

Mac Parks asked for time do a UWISE demo at an early fall FCR meeting. Beta testing on the system will be done in July, with deployment scheduled for 12/04.

Incoming Chair Brent Stewart asked members to be thinking about the possibility of volunteering to be vice-chair. Having someone on tap to chair a meeting if needed, and to make a smooth transition as chair for the succeeding year, will provide the continuity needed for a well-functioning council.