Faculty:
Cathryn Booth-LaForce, Nursing – Chair
Jerry Finrow, Architecture
David Fluharty, Marine Affairs
Mark Haselkorn, Technical Communication
Sanjeev Khagram, Evans School of Public Affairs
Gerald Miller, Physics
Axel Roesler, Art
Ilene Schwartz, Special Education
Ronald Stenkamp, Biological Structure
Daniel Vogt, Forest Resources
Richard Wright, Linguistics

Ex Officio:
Karen Russell, PSO Representative
Theresa Barker, GPSS Representative
Poonam Nathu, ASUW Representative
David Foster, UWRA Representative
Mary Lidstrom, Vice Provost for Research
James Harrington, Faculty Legislative Rep.
Susanne Redalje, ALUW Representative

Meeting Synopsis:

1. Call to Order and Approval of Agenda
Cathryn Booth-LaForce opened the meeting at 11:04 AM by asking for the approval of the agenda. The agenda was approved as written. Cathryn welcomed Barbara Perry who was attending via teleconferencing.

2. Approve minutes from 3 March 2008 FCR meeting

3. Announcements

4. Requests for Information and Updates
   • Office of Research (Mary Lidstrom, Vice Provost for Research, Office of Research)
   • NIH Public Access Mandate (Nanette Welton, Head, Information Resources & Acting Associate Director, Health Sciences Libraries; & Bonnie McTaggart Resources Management Librarian, Health Sciences Libraries)
   • Faculty Effort Certification (Richard Wright)

5. Old Business
   • Royalty Research Fund – recommendations for review committee (Gerald Miller and Mark Haselkorn)
   • CPR Conflict of Interest Policy (Mark Haselkorn)

6. New Business

7. Adjournment

1. Call to order and approval of agenda
Cathryn Booth-LaForce opened the meeting at 11:04 AM by asking for the approval of the agenda. The agenda was approved as written. Cathryn welcomed Barbara Perry who was attending via teleconferencing.
2. Approval of minutes from the 3 March 2008 FCR meeting

The minutes of the March meeting were approved as written.

3. Announcements

Cathryn had two announcements to make. The first one was the letter from the Provost responding to the Faculty Council on Research’s thoughts of the current levels of funding for the Office of Sponsored Programs, in the Office of Research, and Grant and Contract Accounting, in the Office of Financial Management. Cathryn sent copies to the council members via email. In the Provost’s letter, she thanked the council members for their concern and the information they provided. She will be in discussion with Mary Lidstrom about the OSP budget for next year and forwarded a copy of the letter and attachment to Senior Vice President V’Ella Warren.

The second announcement was regarding an update on the contract between the Applied Physics Laboratory (APL) and the Navy. The FCR will need input to vote to approve this contract at the June 5th FCR meeting. APL is planning on giving council members background on this contract before the next meeting, so council members can be prepared to hear their presentation and be able to act on it at the June meeting. Daniel Vogt is chair of the Classified, Proprietary, and Restricted Research Committee, which consists of Daniel Vogt, Gerry Miller and Ronald Stenkamp. Daniel Vogt is on sabbatical at this time, so Gerald Miller will be acting chair.

4. Requests for Information and Updates

- Office of Research (Mary Lidstrom, Vice Provost for Research)

Mary didn’t have anything to update in the Office of Research, but she did have some good news to share. The University of Washington did receive some money from the state for the eScience Institute initiative. With the rapid emergence of a new world of science driven by very large-scale data, next generation sensors, and advanced robotic instruments, in a host of disciplines from the environmental, physical and other sciences and engineering through public health and medicine, requires research universities to make a new set of high-level specialty faculty and technical skills and resources available to research endeavors and proposals in order for them to remain competitive. This high performance computing and expertise to run clusters and with its advancing technology and tools will help scientists with the data problems by hiring faculty in key areas such as data visualization, data mining, database management etc. This institute plans to provide both infrastructure and consulting expertise in the areas mentioned above to help UW researchers with their specific data-specific research problems. The money that was received was only half of the amount requested, but it is a start in the right direction. Chance Reschke has been hired as a research consultant for the eScience Institute, and he is totally focused on helping with research.

A council member asked Mary about the start-up funds from the state. We did receive $1.2 million for a current nanophotonics hire. However, the total allocated for this
program is only about $1M/yr, and we share this with Washington State University (WSU). The UW is working with WSU to try to increase these funds.

- NIH Public Access Mandate (Nanette Welton, Head, Information Resources & Acting Associate Director, Health Sciences Libraries; & Bonnie McTaggart, Resources Management Librarian, Health Sciences Libraries).

Nanette Welton, Head, Information Resources and Acting Associate Director and Bonnie McTaggart, Resource Management Librarian for the Health Sciences Libraries, came to update council members on the new NIH Public Access Policy. Lynne Chronister, OSP Director, distributed a handout regarding information on this new mandate.

As of April 7, 2008, the NIH requires that all journal articles that arise from NIH support (whole or in part) be submitted to the digital archive PubMed Center upon acceptance for publication, if:
- Supported from direct costs on an NIH grant or cooperative agreement active on October 1, 2007 and beyond.
- Supported by direct costs on a contract signed after April 7, 2008.
- Directly funded by an NIH Intramural Program or if NIH pays for salary.

As of May 25, 2008, NIH applications, proposals and progress reports must include the PubMed Central reference number when citing an article that is covered by the policy. The Principal Investigator and the Institution are responsible for ensuring that all articles authored as a result of the grant are submitted to PubMed Central. Even if the PI is not an author on the article, they are responsible for ensuring compliance with this condition of the award. All peer-reviewed journal articles, research reports, and reviews are covered by the policy. The article must be made available to the public no later than 12 months of the journal publication.

The handout explained the steps for:
- What do I need to do?
- How do I comply?
- Additional Guidance and Resources to help PIs ensure compliance to this new law.

PIs should be aware that they are responsible for ensuring compliance for multi-investigator, multi-site and multi-institutional research, training and instructional grants and contracts. Authors should be careful to communicate with publishers on issues of copyrights and transfer of rights to ensure that they can meet the conditions of the Public Access Policy and any copyright agreement should reserve the rights to comply with NIH policies and conditions and reserve use right for internal research and education purposes.

This is a broad issue and NIH has a “comment period”, during which they are seeking input and they will respond back to congress in September.

Mary Lidstrom pointed out that some journals offer to put an article on PubMed (public access), but sometimes for a fee. One should find out if there is a charge for this
service. Sometimes there are fees and sometimes they provide licenses for authors to do it themselves.

The OSP website contains information on this mandate as well as links to the important NIH FAQ (http://publicaccess.nih.gov)

- Faculty Effort Certification (Richard Wright)

The Effort Reporting Compliance Advisory Team is a joint team of faculty and university administrators addressing faculty effort reporting. They have worked to draft communication with the deans and with individual faculty. The Provost’s office sent out the letter to individual faculty dated March 31, 2008, highlighting the rules for FECs and where individual faculty could go for help. This is a continuing issue that has increased attention to enforcement of FEC regulations, particularly in relation to research faculty, and to WOT faculty who are 100% research funded, yet have other departmental commitments (e.g., student advising, committee work) as well as need to spend time writing new grant applications. Provost Wise worked with various Deans to address this issue, and received input from FCR via the Faculty Senate Executive Committee. Richard Wright represents FCR on Effort Report Compliance Advisory Team that started about a year ago. The FCR also worked with Sue Camber, Associate Vice President, Research Accounting and Analysis, on communication with the faculty about national efforts of university consortia to respond to FEC issues and local UW efforts in this regard. We are considering seeking approval for a change to the timing of FECs reporting. There is the possibility that reporting every six months might be a better option than quarterly, if approved by the federal government. Please contact Richard Wright via email or phone or Sue Camber on any comments or concerns that you have.

5. Discussion

- Royalty Research Fund (RRF)

At the last meeting there was general agreement that the FCR should undertake some form of an independent review of the RRF. Gerald Miller presented some suggestions for the form of the review and composition of the review committee.

He suggested that a review committee should be governed by the FCR but not be operated by it, and that the committee membership be very broad. The suggested membership of the committee:

Benjamin Hall or a member chosen by him—should be chosen as Chair, three Chairs of departments, one from each of the sub-sections of the RRF – Arts/Humanities, Physical Sciences, and Engineering, along with three faculty professors from each of the sub-sections. Being a former recipient of an RRF award should not be a requirement for being a member.

The FCR should agree on a specific charge, and the committee report back to the FCR.

Mary Lidstrom envisions that the recommendations be sent onto the Research Advisory Board and to the Board of Deans, and then send a report to the Senate for their
feedback. She thought that we need a clear process and a subcommittee with representation. Mary agreed with Gerald Miller about reviewing the policies and procedures of the RRF, which has never been done. She also thought that Ben Hall would probably not want to chair this committee but he could be asked to be on it.

A formal motion was made that Mary Lidstrom would write up a charge letter to the Faculty Council on Research regarding a review of the RRF policies and procedures in collaboration with the Office of Research. This charge would be based on the points raised at the previous FCR meeting. The RRF has been a successful program and it doesn’t hurt to review all parts to make sure the Royalty Research Funds are being used wisely. The Faculty Council on Research approved this motion unanimously.

**Motion approved.**

- CPR Conflict of Interest Policy (Mark Haselkorn)

Mark Haselkorn did not have any progress to report but will comment at the next meeting.

Mark Haselkorn distributed a handout regarding the Faculty Senate resolution on fostering multi-unit interdisciplinary research proposed by the Faculty Research Council and the Senate Sub-committee on cross-campus interdisciplinary research. The Class C resolution was adopted at the March 13, 2008 Faculty Senate Meeting.

**6. New Business**

Mark Haselkorn asked council members about hosting a CIA visiting scholar. Who has the authority to approve this? Council members thought that department Chairs would handle this.

**Adjournment**

Meeting adjourned at 12:29 PM. *Minutes by Peggy Fanning.*

**Present:** *Faculty members:* Booth-LaForce, Fluharty, Haselkorn, Miller, Stenkamp, and Wright

*President’s designee:* Lidstrom

*Other ex officio members:* Redalje, Russell

**Absent:**

*Faculty members:* Finrow, Khagram, Roesler, Schwartz, Vogt (sabbatical)

*Ex-officio members:* Allen, Barker, Foster, Harrington, Nathu

**Guests:** Lynne Chronister, Peggy Fanning, Bonnie McTaggart, Barbara Perry (Via teleconferencing), Nanette Welton