Summary
The establishment of SCIPC was approved by the SEC on November 5, 2012. SCIPC meeting dates, minutes and current issues are posted on the SCIPC website. Activity this year focused on review of the “Request for Approval of Outside Professional Work for Compensation” form, a newly proposed IP Agreement form, and proposed revisions to EO 36.

Overview of SCIPC Charge
SCIPC is charged to review all University of Washington policies and practices related to faculty Intellectual Property, including its management and commercialization. These policies are broadly outlined in EO 36 and APS 59.4, and managed in part through the Center for Commercialization (C4C). Any proposed changes to such policies/practices shall be brought to this Special Committee as a part of shared governance. This special committee shall report to the Senate Executive Committee. The committee will consist of at least five faculty members (voting) and a presidential designee (nonvoting). One of the five faculty members will be the Chair of the Faculty Council on Research.

Review of the “Request for Approval of Outside Professional Work for Compensation” form and accompanying “IP Agreement” form
Year 1: In February 2012, Professor Storti brought to the Senate’s attention the need to review new language addressing present assignment of IP that was inserted into the October 2011 revision of the “Request for Approval of Outside Professional Work for Compensation” form. In September 2012, Ana Mari established a Work Group to review/revise the “Request for Approval of Outside Professional Work for Compensation (Rev. Oct 2011)” form. The Work Group submitted their proposed revisions to IPMAC and SCIPC in November 2012. The Work Group proposed two alternatives to the present assignment language in the Outside Work form: 1) narrow assignment or 2) broad assignment. SCIPC proposed a third alternative: no assignment language in the form, as was the case in the previous version of the Outside Work form. SCIPC felt IP assignment issues are too complex and diverse to require assignment prior to invention. IPMAC agreed to remove the present assignment language from the Outside Work form in February contingent on establishing a new stand-alone IP Agreement Form. SCIPC expressed concerns about implementation of present assignment at the UW and requested a meeting with IPMAC on March 20, 2013 to discuss. All agreed a thorough review of IP policy at our peer institutions should be conducted to help guide revision of UW IP Policy. The design and methodology of this review was discussed at the final SCIPC meeting in May 2013. The review will be conducted over the summer in preparation for next year’s SCIPC and IPMAC meetings. In May 2013, UW administration replaced the Oct 2011 revision of Form 1460 with the May 2013 revision. This revision continued to include present assignment language. UW administration did remove the present assignment requirement from the Royalty Research Fund.

Year 2: At the start of Year 2 (2013-14) IPMAC presented SCIPC with an April 2013 draft of Form 1460. C4C presented SCIPC with their “Survey of IP Policies and Processes at UW Peer Institutions” conducted over the summer of 2013. SCIPC and IPMAC agreed to focus their efforts on finalizing Form 1460. Secondary goals for SCIPC included: 1) establishing faculty IP Principles (guided by the 2014 AAUP Recommended Principles & Practices to Guide Academy-Industry Relationships) and 2) establishing IP FAQs to guide/educate faculty.
Over the course of the year, IPMAC presented SCIPC with two more drafts of Form 1460 (Feb 2014 and April 2014) in response to SCIPC’s comments / recommendations (posted on the SCIPC catalyst site). At the close of Year 2, SCIPC members reviewed and approved the April 2014 draft of Form 1460 as an “interim” document to replace the May 2013 version currently in use. The key changes between the May 2013 and April 2014 versions include:

- The Form is simplified and more informative.
- The present assignment language is narrowed in scope.

  May 2013 language: “I agree to assign and I hereby assign to the University all my rights in any intellectual property to which the University has a right of assignment under the Policy”.

  April 2014 language: “The Applicant hereby assigns to the UW all rights, title, and interest in every Patentable Invention conceived or actually reduced to practice in the course of my UW employment. For purposes of this Agreement, the term “Patentable Invention” means only those Inventions that are patentable, i.e., meet the criteria for patentability under U.S. patent laws.”

- The faculty member is informed their signature on the Patent Assignment Agreement form does not prevent them from placing their inventions in the public domain.
- In the event that a faculty member signed the Outside Work Form Patent Assignment Agreement, and the request for Outside Work was not approved, the IP agreement signed by the faculty member would be null and void.

Although SCIPC members felt the April 2014 version of Form 1460 was an improvement over the current May 2013 version of Form 1460, members continued to express concern about the inclusion of present assignment language in the April 2014 version. Members expressed concern that present assignment infringes on academic freedom and management/development of academic work. SCIPC introduced 4 alternatives to the present assignment language at their April 2014 meeting. Potential merits and limitations of each were addressed. Sean O’Connor (Chair of IPMAC) expressed concern that if SCIPC proposed new language for the April 2014 version of Form 1460 at this late date, it would not be possible to obtain review/approval from all necessary partners (IPMAC, C4C, AG’s office) before the end of this academic year. This would postpone replacement of the current May 2013 Form 1460 until well into next Fall. Another option would be for SCIPC to approve the April, 2014 draft of Form 1460 as an “interim” draft to replace the current May 2013 Form 1460, and discuss SCIPC’s alternative suggestions in the next round of discussions in the Fall of 2014. SCIPC felt it was in the faculty’s best interest to replace the current May 2013 Form with the revised April 2014 Form ASAP to take advantage of improvements captured in the April 2014 Form. SCIPC members expressed the importance of referring to the April 2014 version as an “interim” version. The issue of replacing the present assignment language in Form 1460 with alternate language is still on the table and will be the focus of discussion Fall 2014. The SCIPC/IPMAC recommendation to replace the May 2013 Form 1460 with the April 2014 Form 1460 was forwarded to President Young and Vice Provost Rhoads on May 1, 2014.

**Revisions to Executive Order 36**

Revisions to EO 36 were proposed by IPMAC in Feb 2013 to align with IP policies being proposed in the Outside Work form and IP Agreement Form. These revisions were reviewed by SCIPC, but placed on hold until fall 2013 when IPMAC and SCIPC resume meeting. Proposed revisions to EO 36 remained on hold this academic year. IPMAC and SCIPC chose to prioritize revision of the Outside Work Form 1460 (as summarized above).
Committee Members for 2013-14 Academic Year:

**Faculty:** Susan Astley (Epidemiology), Dan Jacoby (Interdisciplinary Arts & Sciences), Kate O’Neil (Law), Ed Rubel (Otolaryngology), Tueng Shen (Ophthalmology/Bioengineering), Duane Storti (Mechanical Engineering), Ankur Teredesai (Institute of Technology)

**Presidential Designee:** Fred Holt (Director for Strategic Initiatives - UW Center for Commercialization)

**Ex-Officio Representatives:** Gerald Miller (Chair – Faculty Council on Research), Songja Gerrard (GPSS), Jeff McNerney (ASUW)