

UNIVERSITY OF WASHINGTON
Certifications and Representations for FEDERAL CONTRACT or FEDERAL GRANT
Funded Purchases

REFERENCE: _____

Certifications and Representations must be made prior to award of contract or purchase order. Each certification is applicable if the amount of the offer, including any offered or anticipated option(s), modification(s) or change order(s) equals or exceeds the below stated threshold.

Instructions: Read and complete each section by initialing and checking each applicable certification and representation, then date, sign and return this form.

Note: If you have questions contact the UW representative named below.

Please fill Section 1 only, if this purchase is made on a Federal Grant or Contract budget and is between \$25,000 to \$100,000:

1. Certification Regarding Debarment, Suspension or Ineligibility for Award (Executive Order 12549). The Offeror certifies, to the best of its knowledge and belief, that -

The Offeror and/or any of its principals:

- A. **[] are, [] are not** presently debarred, suspended, proposed for debarment, or declared ineligible for the award of contracts by any Federal agency, and
- B. **[] have, [] have not**, within a three-year period preceding this offer, been convicted of or had a civil judgment rendered against them for: commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a Federal, state or local government contract or subcontract; violation of Federal or state antitrust statutes relating to the submission of offers; or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, tax evasion, or receiving stolen property; and
- C. **[] are, [] are not** presently indicted for, or otherwise criminally or civilly charged by a Government entity with, commission of any of these offenses.
- D. Offeror shall provide immediate written notice to University of Washington if, at any time prior to contract award, the Offeror learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.

INITIAL HERE: _____

Please complete Sections 1 (above) and 2, if this purchase is made on a Federal Grant or contract budget and is a \$100,000 or more:

- 2. Certification Regarding Payments to Influence Federal Transactions (31 U.S.C. 1352).**
 - A. By submission of its offer, the Offeror certifies to the best of its knowledge and belief that no Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress or an employee of a Member of Congress on his or her behalf in connection with the award of any resultant contract.
 - B. If any funds other than Federal appropriated funds (including profit or fee received under a covered Federal transaction) have been paid, or will be paid, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an

officer or employee of Congress, or an employee of a Member of Congress on his or her behalf in connection with this solicitation, the Offeror shall notify University of Washington and complete and submit, with its offer, OMB standard form LLL, Disclosure of Lobbying Activities; and

- C. He or she will include the language of this certification in all subcontract awards at any tier and require that all recipients of subcontract awards in excess of \$100,000 shall certify and disclose accordingly.

INITIAL HERE: _____

Date and signature of officer or employee responsible for the offer:

Date: _____

Business or Firm Name

By: _____
(Signature)

(Type or Print Name)

(Title)

Return to: University of Washington
Purchasing Department
3917 University Way NE
Seattle, WA 98105-6692

ATTN:
Phone:
Fax:

THIS CERTIFICATION CONCERNS A MATTER WITHIN THE JURISDICTION OF ANY AGENCY OF THE UNITED STATES AND THE MAKING OF A FALSE, FICTITIOUS, OR FRAUDULENT CERTIFICATION MAY RENDER THE MAKER SUBJECT TO PROSECUTION UNDER SECTION 1001, TITLE 18, UNITED STATES CODE OR SECTION 1352, TITLE 31, UNITED STATES CODE.